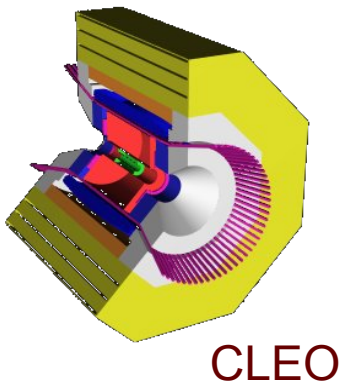
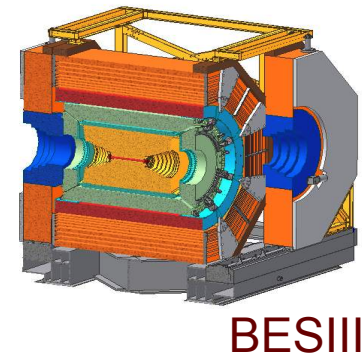


Semileptonic Decays of D and D_s



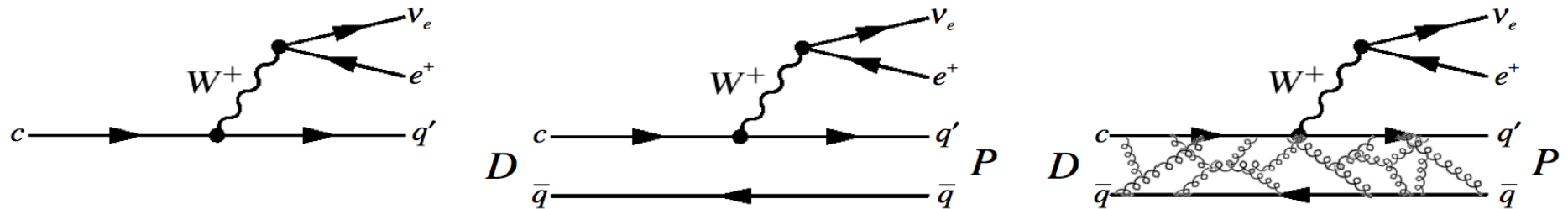
Results from CLEO-c and
Prospects for BESIII



Ron Poling
University of Minnesota



A window on weak and strong physics



- Expt. meets LQCD now: $D \rightarrow K(\pi) e \nu$

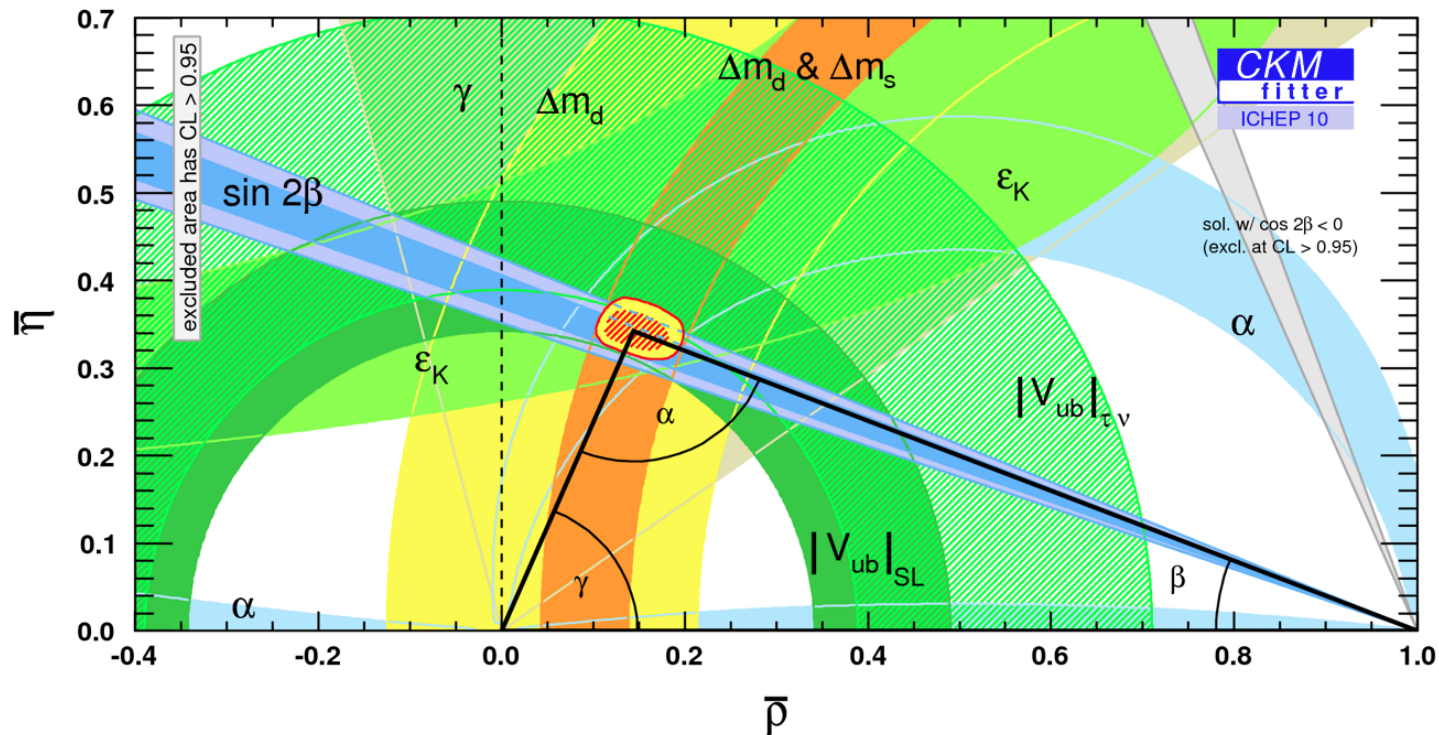
$$\frac{d\Gamma(D \rightarrow K(\pi) e \nu)}{dq^2} = \frac{G_F^2 [V_{cs(d)}]^2 P_{K(\pi)}^3}{24\pi^3} |f_+(q^2)|^2$$

Use theory for form factors
Extract CKM parameters

Use unitarity for CKM
parameters, test QCD

- Expt. meets LQCD future: $P \rightarrow V$ SL decays (3 hadronic form factors, no unquenched LQCD calculation yet)

Charm's supporting role...



$$D \rightarrow \pi e \nu$$

$$B \rightarrow \pi e \nu$$

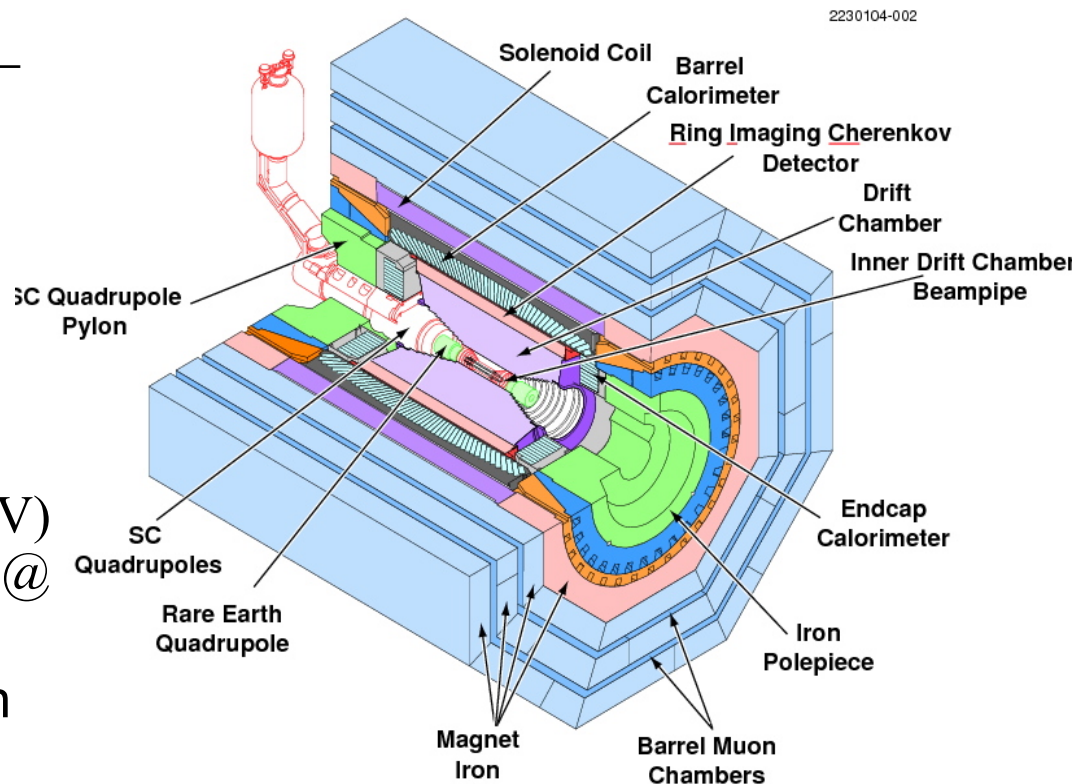
- Use charm to beat down theoretical uncertainties in $|V_{ub}|$ and mixing
- UT angles vs. sides: inconsistency $\Rightarrow$ New Physics

Topics for this talk:

- Tools and data samples: CLEO-c
- Analysis techniques for charm physics in e^+e^- near threshold
- Inclusive D and D_s semileptonic decays
- Exclusive semileptonic decays
 - $D \rightarrow K(\pi) e \nu$
 - $D \rightarrow \eta e \nu, \eta' e \nu, \rho e \nu, K \pi e(\mu) \nu$
 - Exclusive D_s
- Comments and prospects for BESIII

CLEO-c at CESR-c

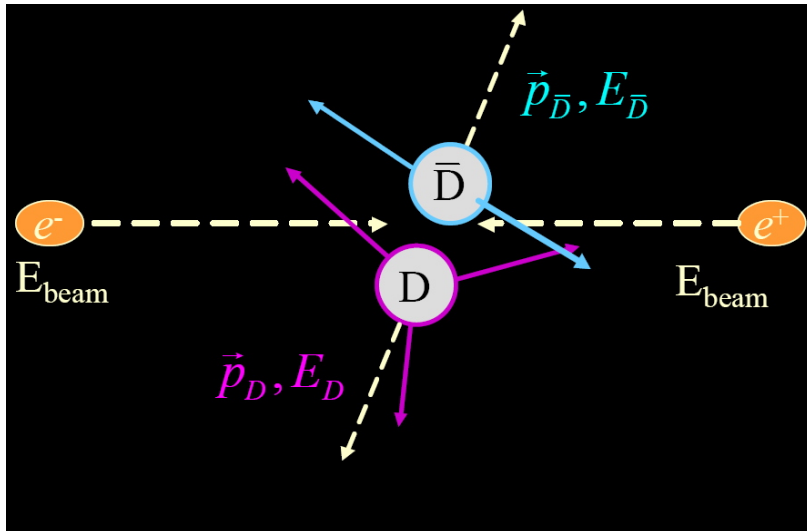
- Symmetric, single-ring, e^+e^- at $E_{\text{CM}} \sim 4 \text{ GeV}$
 - CESR + wigglers
- Detector descended from CLEO II, CLEO III
 - Acceptance $\sim 93\%$ of 4π
 - Great tracking (0.6% @ 1 GeV) and CsI EM calorimeter (2% @ 1 GeV, 5% @ 100 MeV)
 - Excellent K/π separation with dE/dx and RICH



Data Samples

- D^0 and $D^\pm$ Physics: 818 pb^{-1} at $E_{\text{CM}} = M[\psi(3770)]$
- D_s Physics: 602 pb^{-1} at $E_{\text{CM}} = 4170 \text{ MeV}$

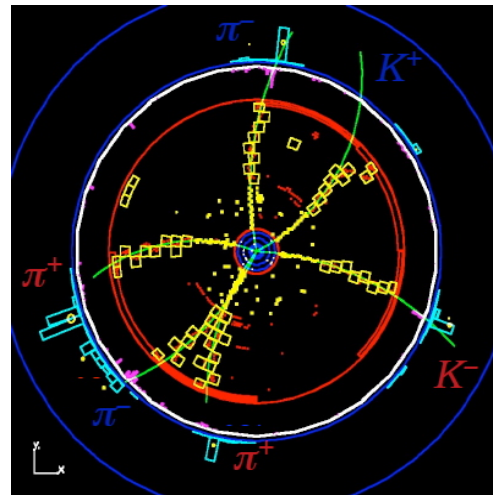
Tools for Charm Decays near Threshold



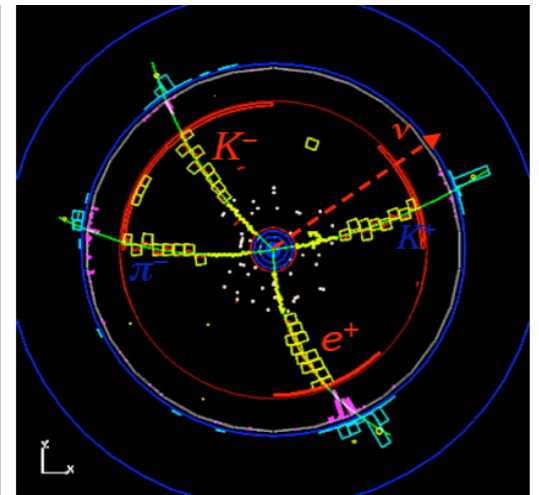
$$\Delta E = E_D - E_{\text{Beam}}$$

$$M_{\text{BC}} = \sqrt{E_{\text{Beam}}^2 - p_D^2}$$

- Extremely clean
- ~20% of $\psi(3770)$ events have a fully reconstructed D^0 or $D^\pm$ hadronic decay
- Extended to $D_s D_s^*$ events at $E_{\text{CM}}=4170 \text{ MeV}$



$K^- \pi^+ \pi^+$ vs. $K^+ \pi^- \pi^-$

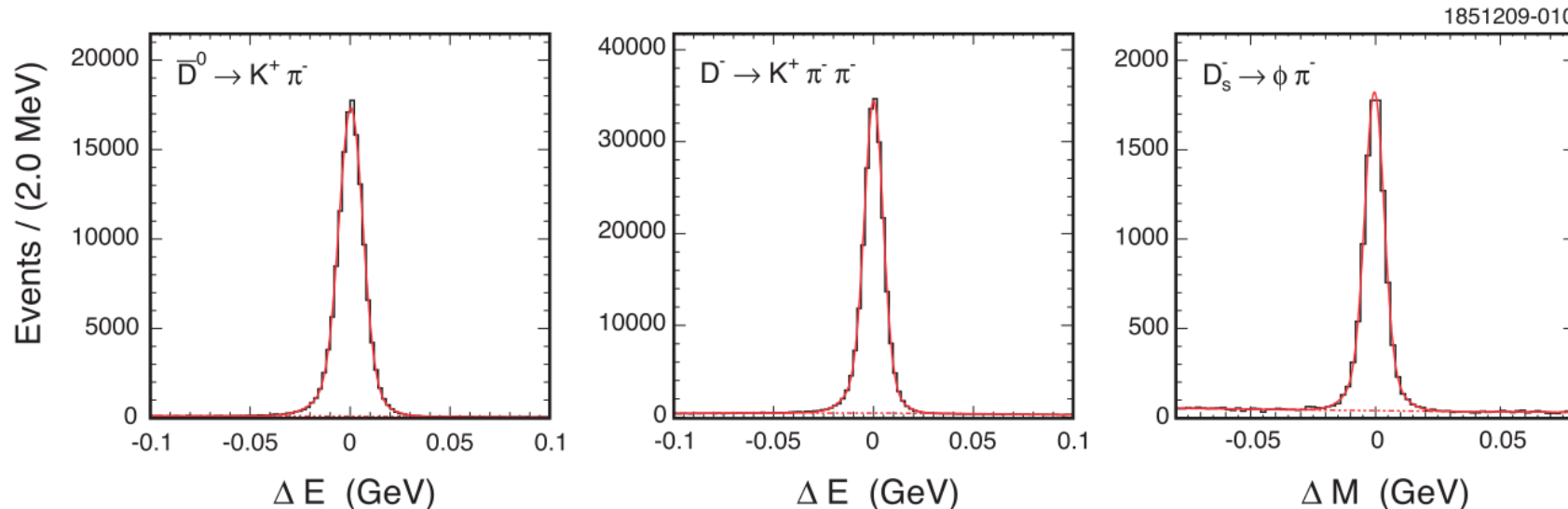


$K^- e^+ \nu$ vs. $K^+ \pi^-$

Inclusive Semileptonic D and D_s Decays

PRD **81**, 052007 (2010)

- Measure SL branching fractions, widths, momentum spectra for verification that overall behavior aligns with expectations
- Insights on relations among modes? Possible implications for B
- Uses all CLEO-c open-charm data (818/pb at $\psi(3770)$ and 602/pb at $E_{\text{CM}}=4170$ MeV), just the cleanest tag for each of D^+ , D^0 and D_s

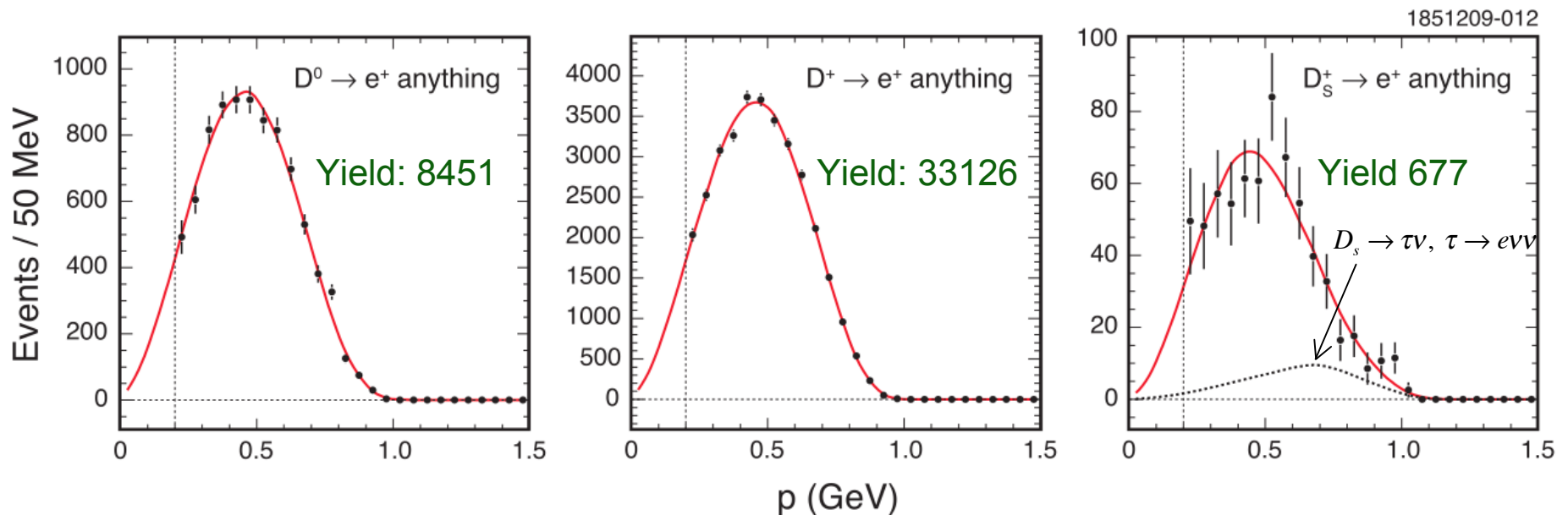


- Pick tag, find accompanying good track ($p \geq 200$ MeV/c) ID'ed as e

Inclusive Electron Spectra

PRD **81**, 052007 (2010)

- Unfolding process extracts true e spectrum from measured π , K and e spectra using response matrices incorporating efficiencies, resolutions, mis-ID probabilities
- Wrong-sign candidates used for real-electron BG correction



- Extrapolate spectrum below cutoff (using measured exclusive BFs and ISGW2 model for excess) to get total SL yield

Results and Interpretation

PRD 81, 052007 (2010)

	Partial BF $p \geq 200 \text{ MeV}/c$ ↓	Full BF with extrapolation ↓	Final BF with τ correction & systematics ↓
Tag mode	$B_{\text{trunc}}(e^+ X) (\%)$	$B(e^+ X) (\%)$	$B(Xe^+ \nu_e) (\%)$
$\bar{D}^0 \rightarrow K^+ \pi^-$	5.958 ± 0.084	6.460 ± 0.091	$6.46 \pm 0.09 \pm 0.11$
$D^- \rightarrow K^+ \pi^- \pi^-$	14.863 ± 0.092	16.147 ± 0.100	$16.13 \pm 0.10 \pm 0.29$
$D_s^- \rightarrow \phi \pi^-$	7.002 ± 0.361	7.525 ± 0.387	$6.52 \pm 0.39 \pm 0.15$

$$\frac{\Gamma(D^+ \rightarrow Xe^+ \nu)}{\Gamma(D^0 \rightarrow Xe^+ \nu)} = 0.985 \pm 0.015 \pm 0.024$$

Charged and neutral D decays
show expected isospin symmetry

$$\frac{\Gamma(D_s^+ \rightarrow Xe^+ \nu)}{\Gamma(D^0 \rightarrow Xe^+ \nu)} = 0.825 \pm 0.051 \pm 0.022$$

Charm and charm-strange meson
decay widths are different

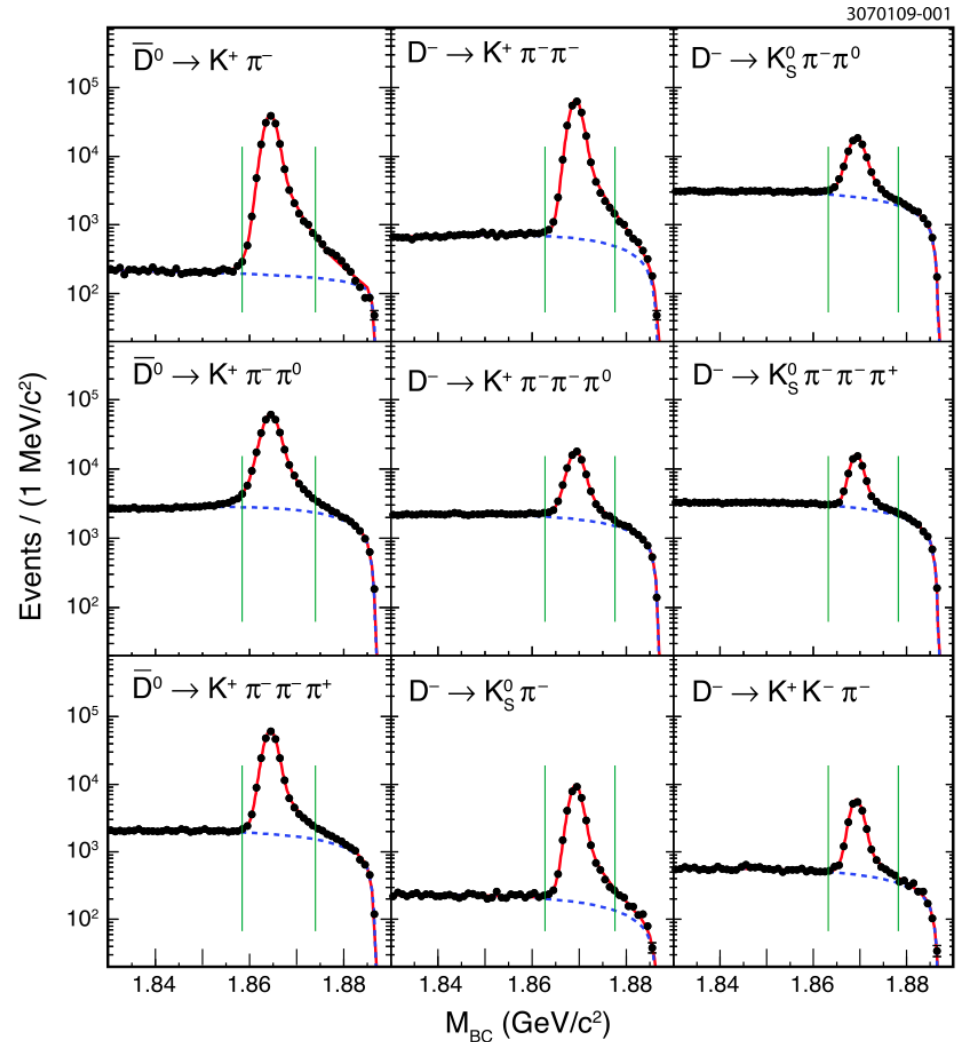
- Voloshin (PLB 515, 74 (2001)): Charm/charm-strange SL width ratio can constrain B^+/B^0 differences in inclusive $|V_{ub}|$ determination
- Recent work (Ligeti *et al.*, Gambino and Kamenik): CLEO-c result limits Weak annihilation contribution to B decays to a few % or less

Improved Measurement of D SL Decays to π and K

PRD **80**, 032005 (2009)

- Full CLEO-c $\psi(3770)$ sample
- Very clean: 3 D^0 and 6 D^+ tag modes
- Total of 1.15M D tags ($\sim 20\%$ of $D\bar{D}$ events)
- Search single-tag events for semileptonic decay on the other side: detect hadron tracks and demand that what is missing is consistent with a single neutrino

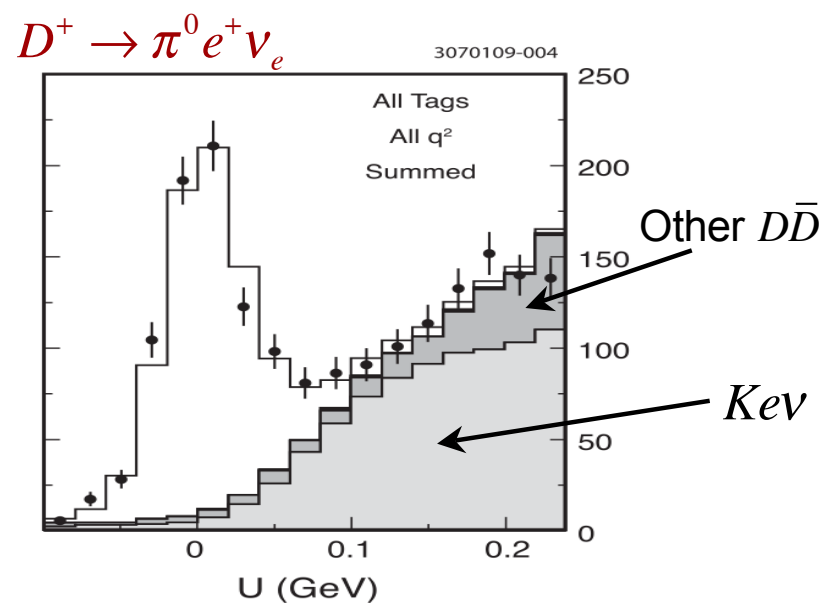
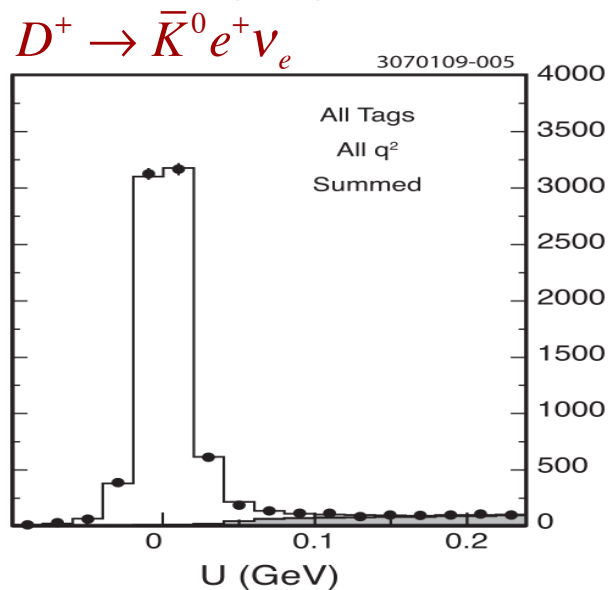
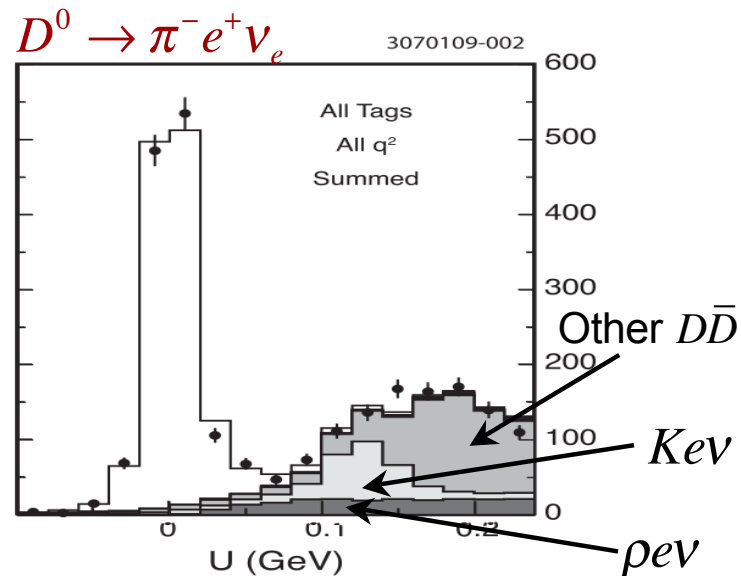
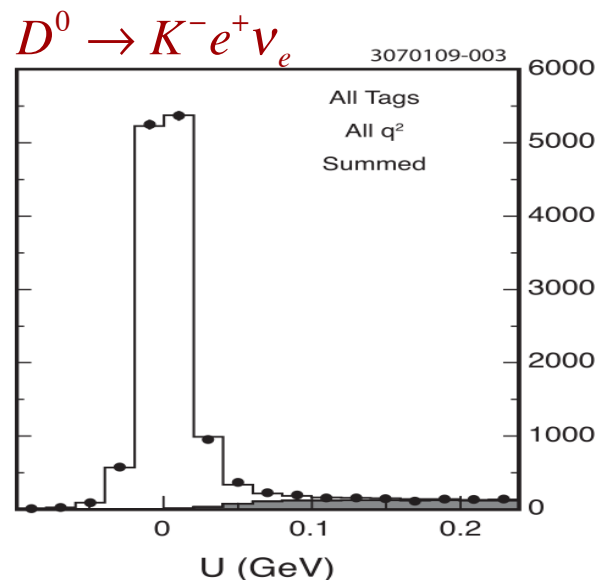
$$U = E_{\text{miss}} - c \left| \vec{P}_{\text{miss}} \right| \approx 0$$

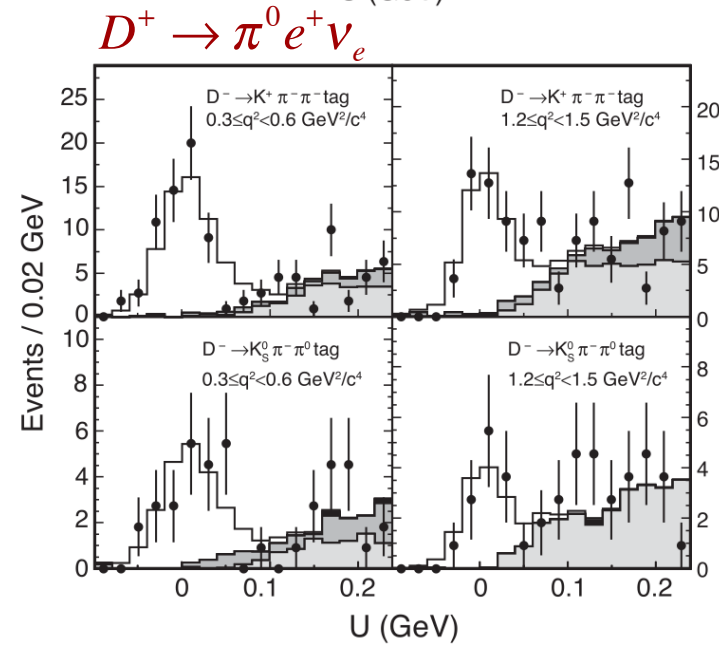
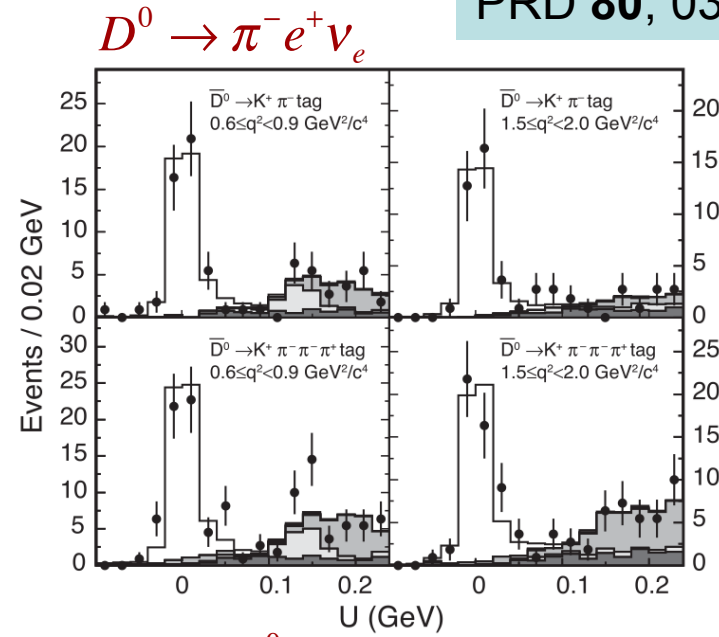
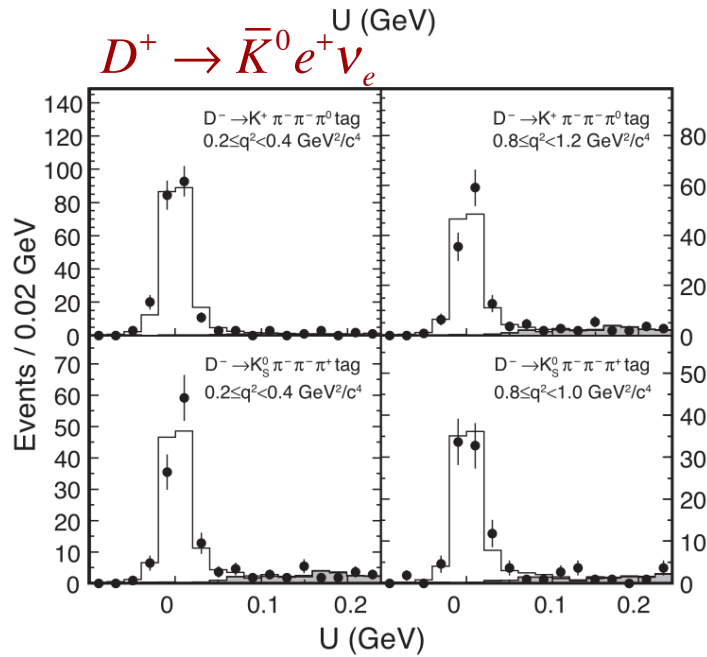
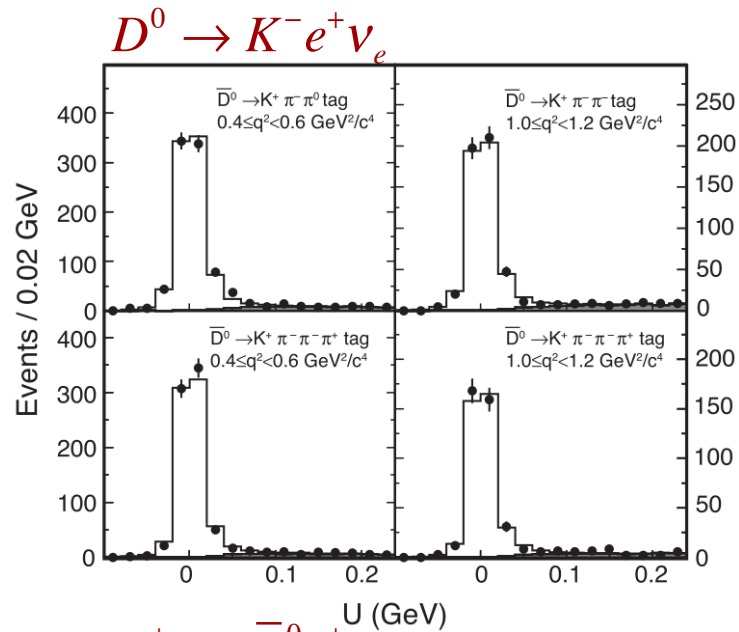


Semileptonic Yield Determination

PRD **80**, 032005 (2009)

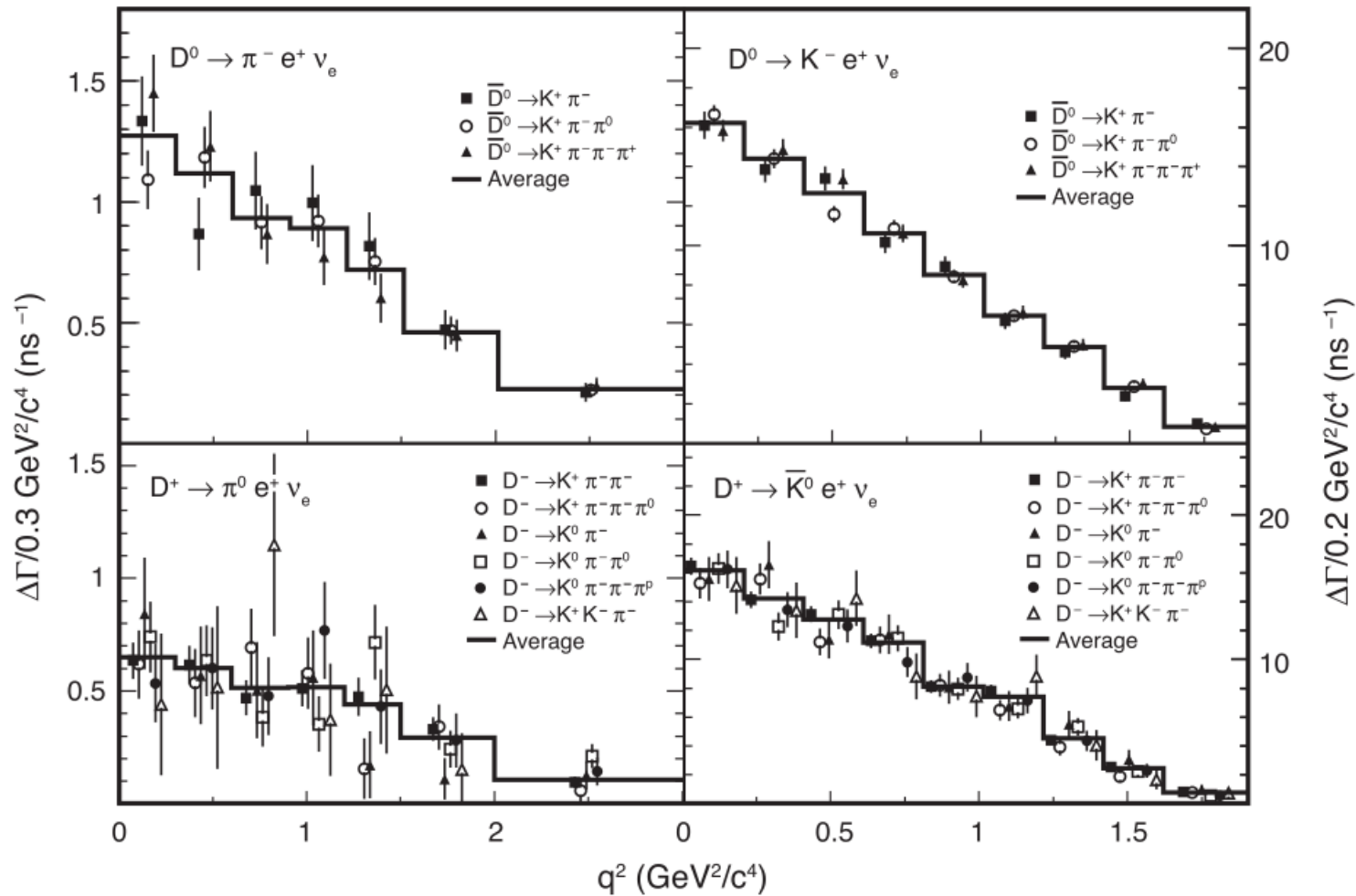
Fit U signal with smeared MC. Overall fits set normalizations of BG components. Separate fits by tag mode and q^2 bin for final results.





Measured Partial Rates

PRD 80, 032005 (2009)



Very good consistency among tag modes. Fit projections agree well with MC. Use to extract $f_+(q^2)$.

Form Factor Representations

$$D \rightarrow \pi(K) e \nu$$

Simple Pole Model

(Lowest H^* dominates)

$$f_+(q^2) = \frac{f_+(0)}{\left(1 - \frac{q^2}{m_{H^*}^2}\right)}$$

Modified Pole Model

(additional poles)

Becirevic and Kaidalov

PLB 478, 417 ('00)

$$f_+(q^2) = \frac{f_+(0)}{\left(1 - \frac{q^2}{m_{H^*}^2}\right) \left(1 - \alpha \frac{q^2}{m_{H^*}^2}\right)}$$

Series Expansion

Becher and Hill

PLB 633, 61 ('06)

$$f_+(q^2) = \frac{1}{P(q^2)\phi(q^2, t_0)} \sum_{k=0}^{\infty} a_k(t_0) \left[z(q^2, t_0) \right]^k$$

$$z(q^2, t_0) = \frac{\sqrt{t_+ - q^2} - \sqrt{t_+ - t_0}}{\sqrt{t_+ - q^2} + \sqrt{t_+ - t_0}} \quad t_{\pm} = (m_D \pm m_X)^2$$

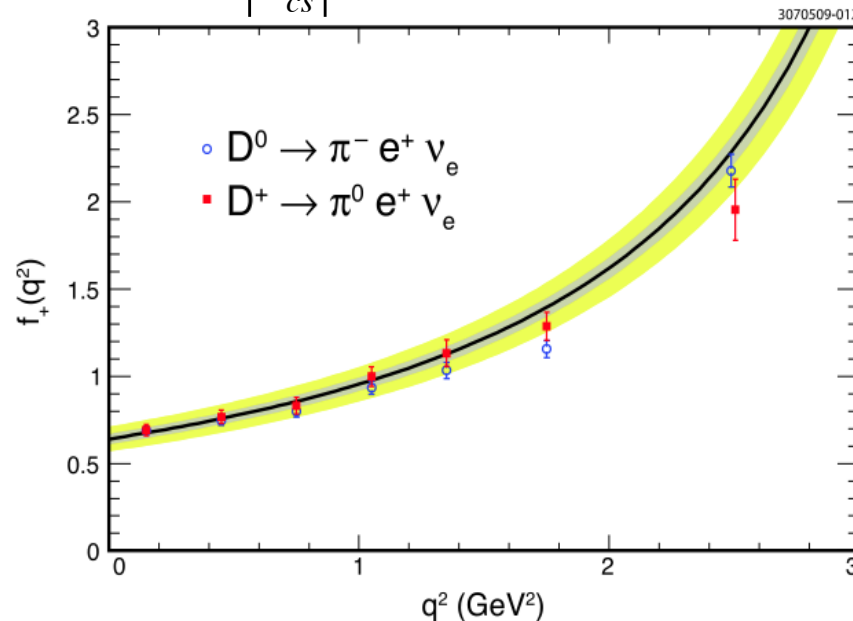
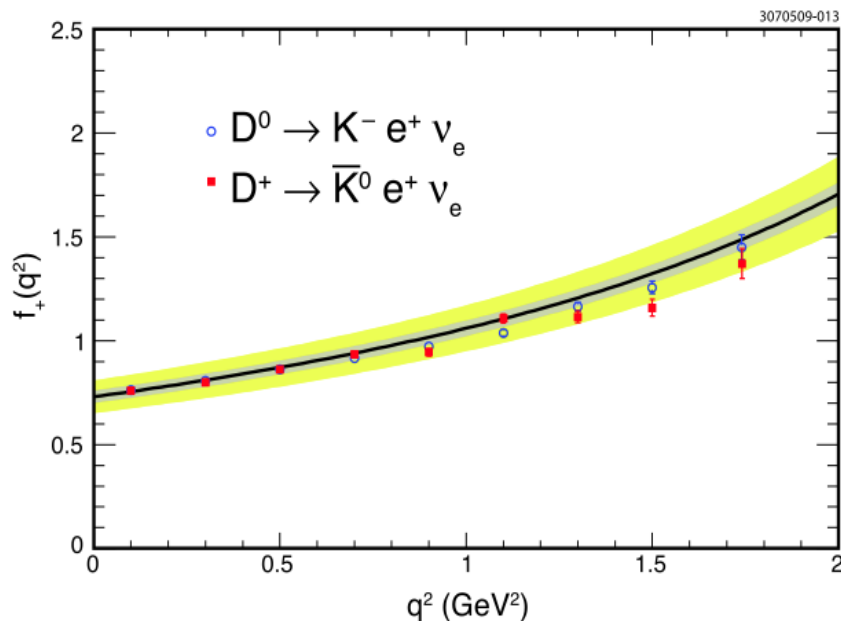
Confront parameters extracted from data with theory predictions

Form Factor Results and Comparisons PRD **80**, 032005 (2009)

Use PDG for CKM (I.e., assume unitarity):

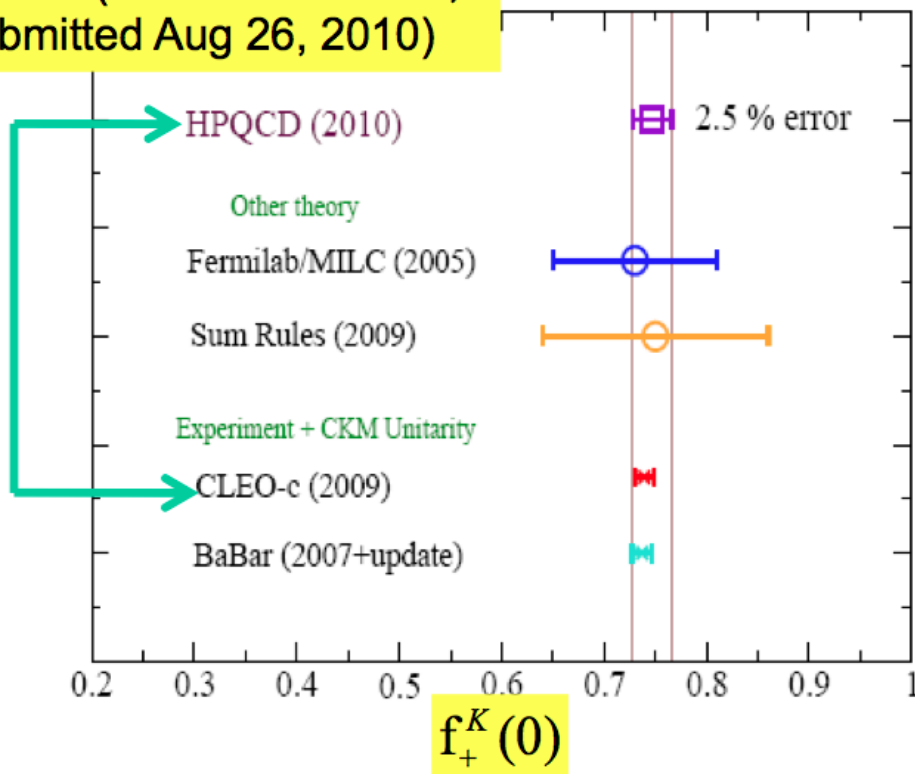
$$|V_{cd}| = 0.2256 \pm 0.0010$$

$$|V_{cs}| = 0.97334 \pm 0.00023$$

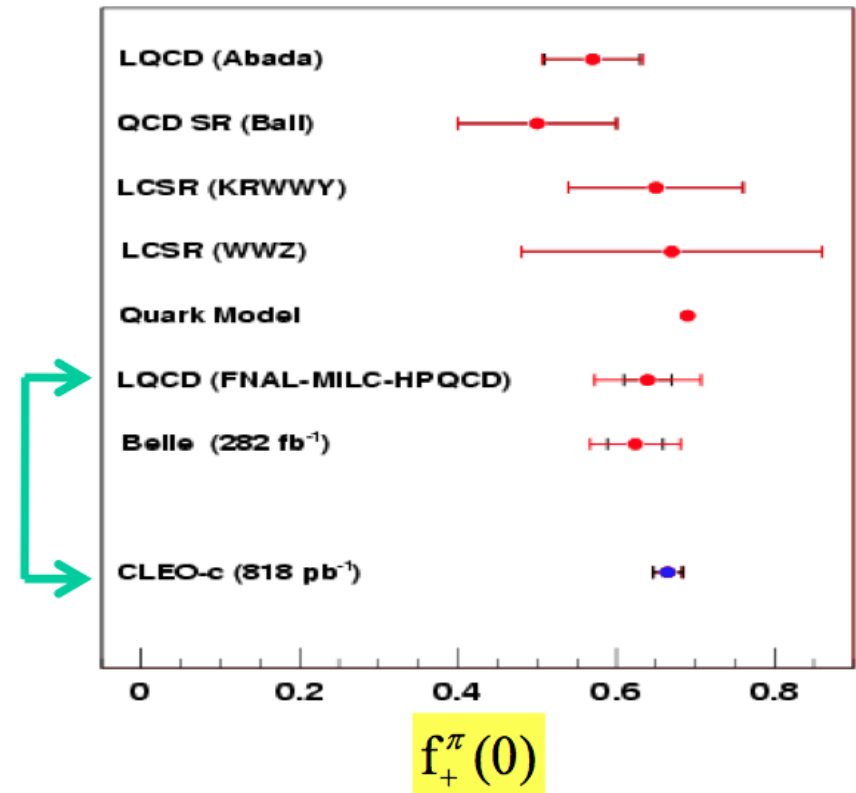


- Isospin-conjugate modes agree within 1.4σ
- Solid line best fit to LQCD with modified pole model
 - Aubin *et al.*, PRL 94, 011601 ('05); Bernard *et al.*, PRD 80, 034026 ('09)
 - Inner bands give LQCD stat. uncert., outer band LQCD stat.+syst.

New $D \rightarrow K$ form factor results (arXiv: 1008.4562, submitted Aug 26, 2010)



From Bo Xin



The LQCD uncertainty on $f_+^K(0)$ was 10% (in 2005), now 2.5%!

The same LQCD technique can be used for $D \rightarrow \pi$ to further reduce the theory uncertainty on $f_+^\pi(0)$.

CLEO-c results **consistent** with LQCD, but more precise. $f_+^K(0)$: 1% vs 3%, $f_+^\pi(0)$: 3% vs. 10%

From Bo Xin

The data determine $|V_{cs(d)}|f_+(0)$.

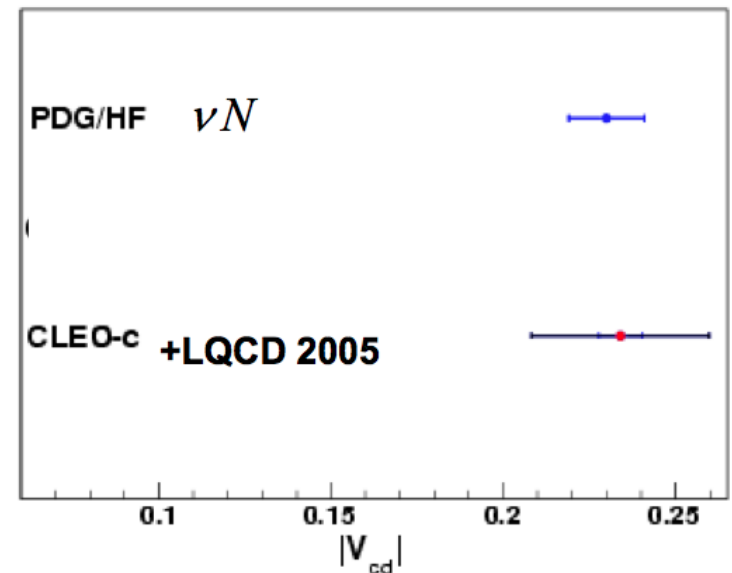
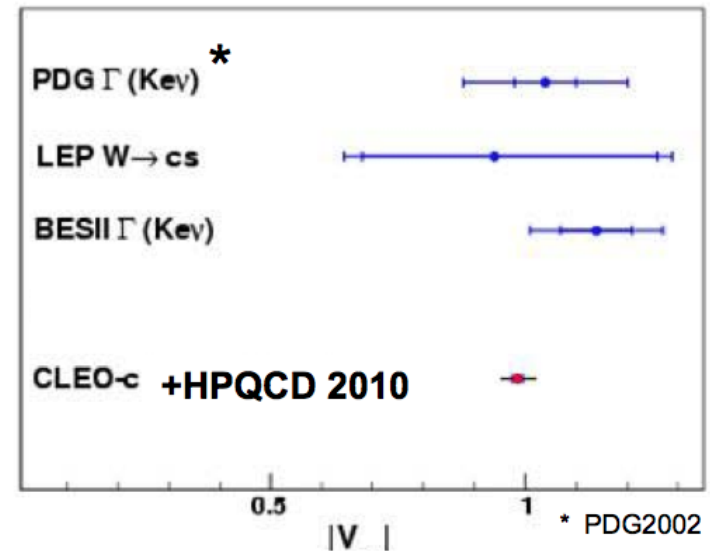
To extract $|V_{cs(d)}|$, we combine the measured $|V_{cs(d)}|f_+(0)$ values using the Becher-Hill parameterization with (FNAL-MILC-HPQCD) for $f_+(0)$

CLEO-c: the most precise *direct* determination of $|V_{cs}|$ $\sigma(|V_{cs}|)/|V_{cs}| \sim 1.1\%(\text{expt}) \oplus 2.5\%(\text{theory})$

<i>CLEO - c</i>	$ V_{cs} $
(818 pb^{-1})	$0.963 \pm 0.009 \pm 0.006 \pm 0.024$
	stat syst theory

CLEO-c: $\sigma(|V_{cd}|)/|V_{cd}| \sim 3.1\%(\text{expt}) \oplus 10\%(\text{theory})$
 νN remains most precise determination

<i>CLEO - c</i>	$ V_{cd} $
(818 pb^{-1})	$0.234 \pm 0.007 \pm 0.002 \pm 0.025$
	stat syst theory



$$D^+ \rightarrow \{\eta', \eta, \phi\} e^+ \nu_e$$

CLEO-c Preliminary

$\psi(3770) - 818/\text{pb}$

First Observation

Improved BF
 $d\Gamma/dq^2$

Improved U.L

- Toward a complete picture of SL charm decay
- Possible info on η/η' mixing, QCD anomalies in heavy meson decays to η'
- Search for surprises: $D \rightarrow \phi e \nu$ would reveal ω/ϕ mixing
- Two approaches:

Tagged

- Same 6 D^+ tag modes
- Select
 - $\eta \rightarrow \gamma\gamma, \eta \rightarrow \pi^+ \pi^- \pi^0$
 - $\eta' \rightarrow \pi^+ \pi^- \eta$
 - $\phi \rightarrow K^+ K^-$
- Add e^+ and select on U

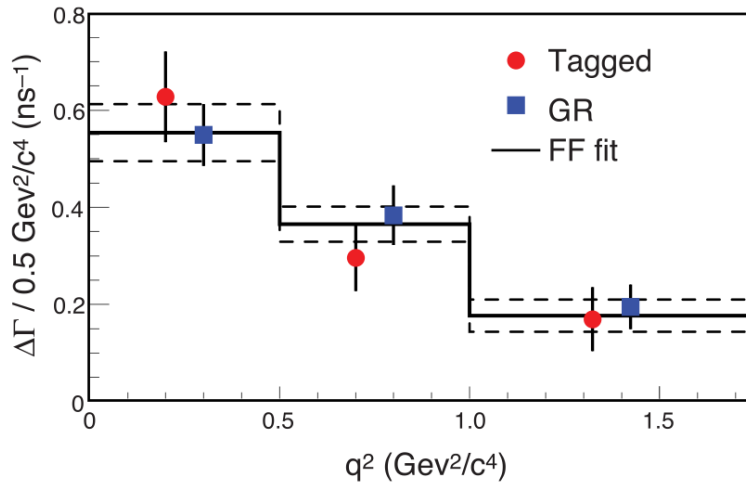
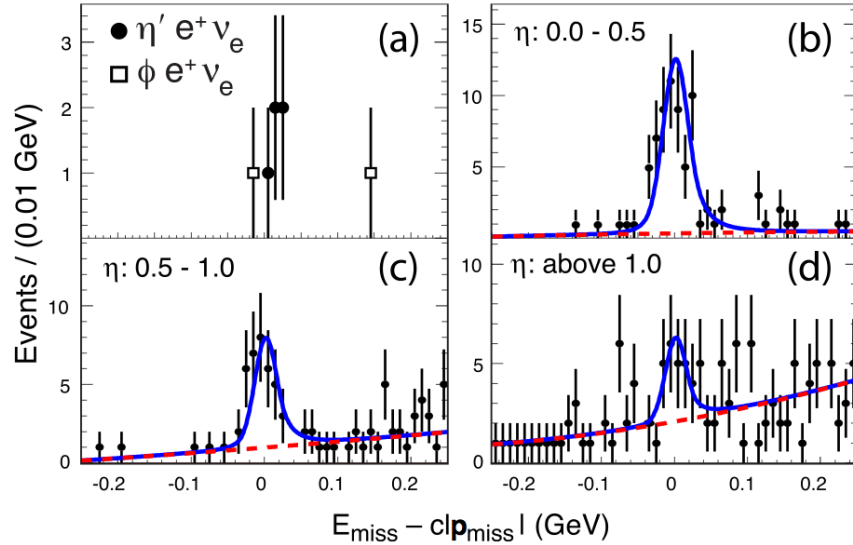
“Generic Reconstruction”

- Select η/η'
- Event cuts to minimize missing particles
- Select e^+
- Require leftovers $\sim D$
- Use $p_{\nu} \approx p_{\text{miss}}$ and select on M_{BC}

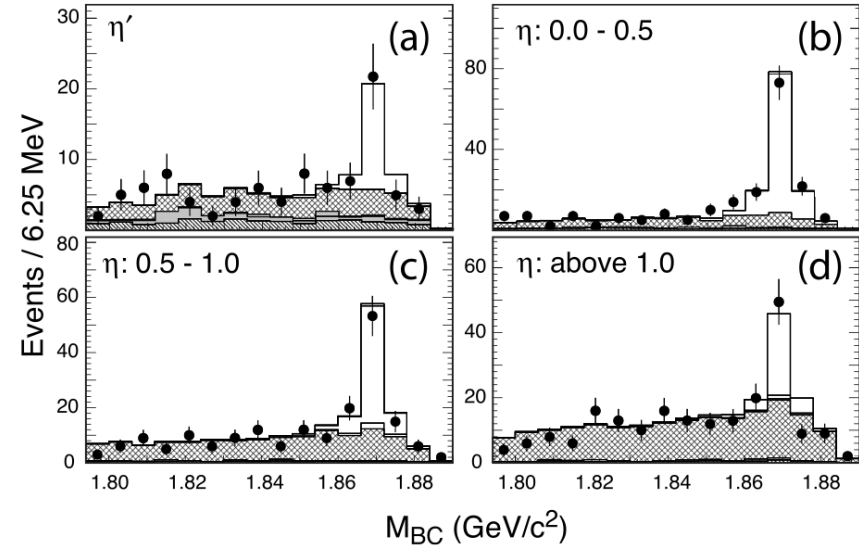
Results

CLEO-c Preliminary

Tagged Analysis U Distributions



GR Analysis M_{BC} Distributions



Fit of $D^+ \rightarrow \eta e \nu$ to series expansion gives

$$f_+(0)|V_{cd}| = 0.086 \pm 0.006 \pm 0.001$$

$$f_+(0) = 0.381 \pm 0.027 \pm 0.005$$

$$\mathcal{B}(D^+ \rightarrow \eta e^+ \nu_e) = (11.4 \pm 0.9 \pm 0.4) \times 10^{-4},$$

$$\mathcal{B}(D^+ \rightarrow \eta' e^+ \nu_e) = (2.16 \pm 0.53 \pm 0.07) \times 10^{-4},$$

$$\mathcal{B}(D^+ \rightarrow \phi e^+ \nu_e) < 0.9 \times 10^{-4} \quad (90\% \text{ C.L.})$$

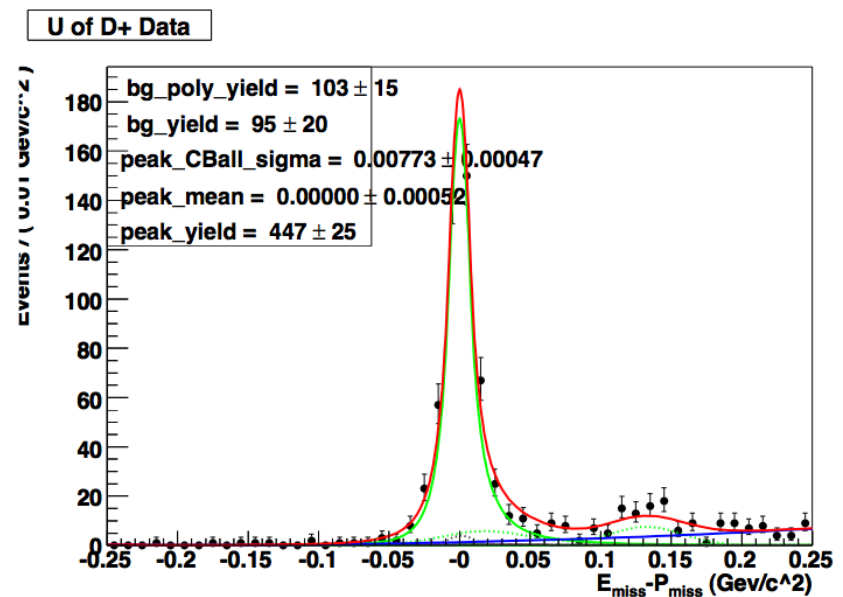
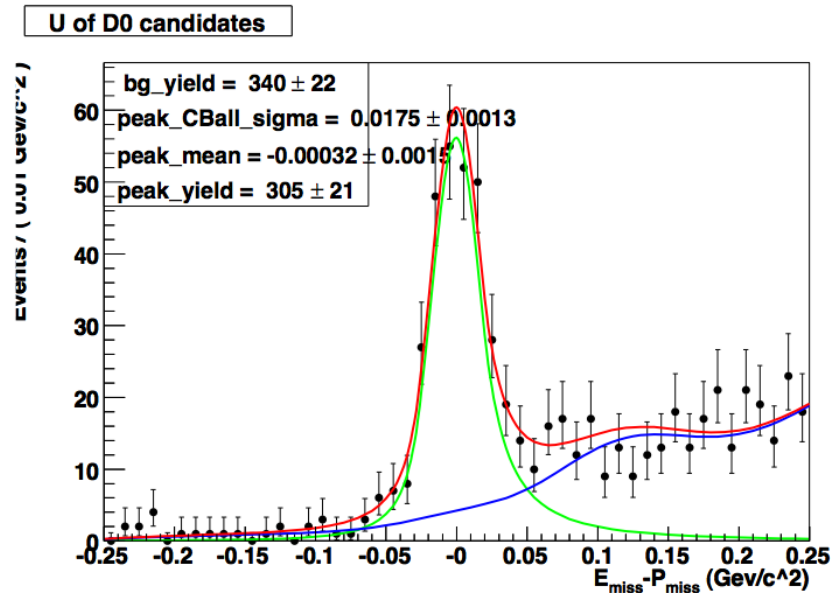
First observation of $D^+ \rightarrow \eta' e \nu$ (5.6σ)

$D \rightarrow \rho e \nu$

- Importance: $|V_{ub}|$
 - Grinstein/Pirjol PRD 70, 114005 ('04)
- No published measurements on Cabibbo-suppressed $P \rightarrow V$ FFs
- 818/pb $\psi(3770)$, tagged analysis: measure and fit distribution of $U = E_{\text{miss}} - c p_{\text{miss}}$ (signal: two-sided CB, BG: generic $\psi(3770)$ MC)

$$\frac{d\Gamma(B \rightarrow \rho e \nu)/dq^2}{d\Gamma(B \rightarrow K^* l^+ l^-)/dq^2} \propto \frac{|V_{ub}|^2}{|V_{cb}|^2}$$

Need $D \rightarrow K^* e \nu$ and $D \rightarrow \rho e \nu$ FFs



$D \rightarrow \rho e \nu$ BF Results

CLEO-c Preliminary

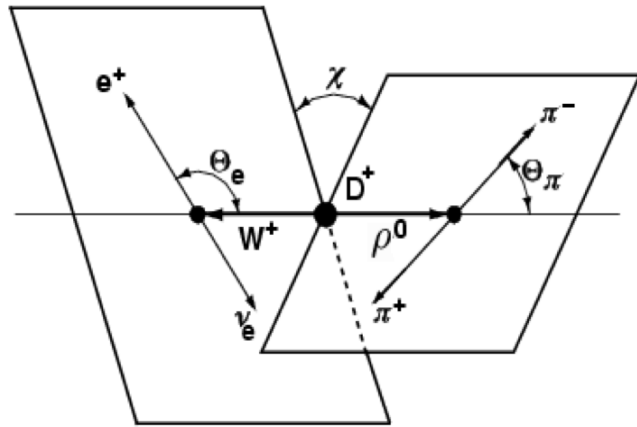
	56/pb(%)	281/pb(%)	This work 818/pb(%)
$D^0 \rightarrow \rho^- e^+ \nu$	$0.19 \pm 0.04 \pm 0.01$	$0.158 \pm 0.016 \pm 0.009$	$0.177 \pm 0.011 \pm 0.010$
$D^+ \rightarrow \rho^0 e^+ \nu$	$0.21 \pm 0.04 \pm 0.01$	$0.236 \pm 0.020 \pm 0.012$	$0.217 \pm 0.013 \pm 0.011$
$D^+ \rightarrow \omega e^+ \nu$	$0.16^{+0.070}_{-0.06} \pm 0.01$	$0.151 \pm 0.027 \pm 0.007$	$0.182 \pm 0.018 \pm 0.008$

$$\Gamma(D^0 \rightarrow \rho^- e^+ \nu_e) = [4.29 \pm 0.27(\text{stat}) \pm 0.24(\text{syst})] \text{ ns}^{-1}$$

$$\Gamma(D^+ \rightarrow \rho^0 e^+ \nu_e) = [2.05 \pm 0.11(\text{stat}) \pm 0.90(\text{syst})] \text{ ns}^{-1}$$

$$\frac{\Gamma(D^0 \rightarrow \rho^- e^+ \nu_e)}{2\Gamma(D^+ \rightarrow \rho^0 e^+ \nu_e)} = 1.03 \pm 0.08$$

$D \rightarrow \rho e \nu$ Form Factors



$$\frac{d\Gamma(D^+ \rightarrow \rho^0 e^+ \nu_e, \rho^0 \rightarrow \pi^+ \pi^-)}{dq^2 d\cos\theta_\pi d\cos\theta_e d\chi dm(\pi\pi)} = \frac{3}{8(4\pi)^4} G_F^2 |V_{cd}|^2 \frac{p_{\rho^0} q^2}{M_D^2} \mathcal{B}(\rho^0 \rightarrow \pi\pi) \mathcal{BW}^2(m(\pi\pi)) \times$$

$$\begin{aligned} & \{ (1 + \cos\theta_e)^2 \sin^2\theta_\pi |H_+(q^2, m(\pi\pi))|^2 \\ & + (1 - \cos\theta_e)^2 \sin^2\theta_\pi |H_-(q^2, m(\pi\pi))|^2 \\ & + 4 \sin^2\theta_e \cos^2\theta_\pi |H_0(q^2, m(\pi\pi))|^2 \\ & + 4 \sin\theta_e (1 + \cos\theta_e) \sin\theta_\pi \cos\theta_\pi \cos\chi H_+(q^2, m(\pi\pi)) H_0(q^2, m(\pi\pi)) \\ & - 4 \sin\theta_e (1 - \cos\theta_e) \sin\theta_\pi \cos\theta_\pi \cos\chi H_-(q^2, m(\pi\pi)) H_0(q^2, m(\pi\pi)) \\ & - 2 \sin^2\theta_e \sin^2\theta_\pi \cos 2\chi H_+(q^2, m(\pi\pi)) H_-(q^2, m(\pi\pi)) \} \end{aligned}$$

Helicity
Amplitudes

$$H_\pm(q^2) = (M_D + M_{\pi\pi}) A_1(q^2) \mp 2 \frac{M_D p_{\pi\pi}}{M_D + M_{\pi\pi}} V(q^2)$$

$$H_0(q^2) = \frac{1}{2M_{\pi\pi} \sqrt{q^2}} \left[(M_D^2 - M_{\pi\pi}^2 - q^2) (M_D + M_{\pi\pi}) A_1(q^2) - 4 \frac{M_D^2 p_{\pi\pi}^2}{M_D + M_{\pi\pi}} A_2(q^2) \right]$$

Assume Simple Pole form for the Form Factors $V(q^2)$, $A_1(q^2)$, $A_2(q^2)$

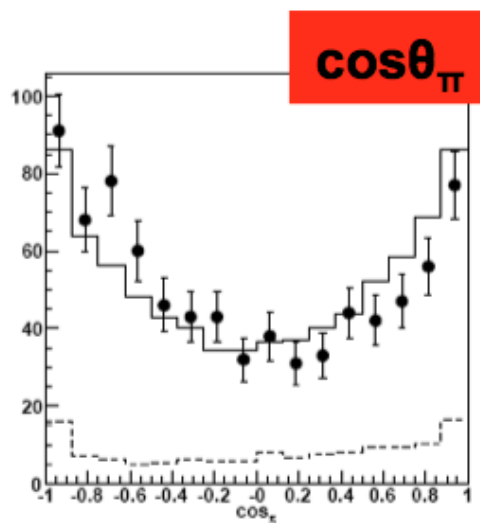
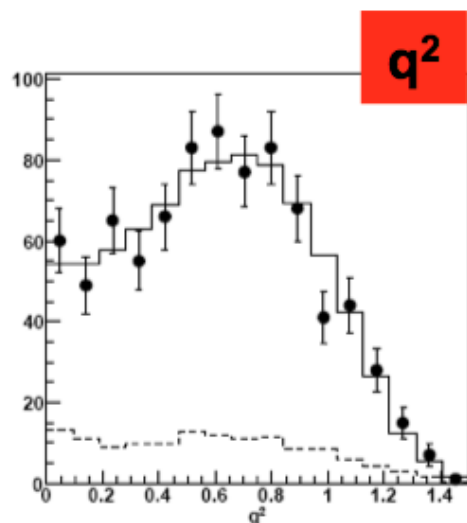
Fit decay rate in 4D to extract FF ratios

$$R_V = V(0) / A_1(0)$$

$$R_2 = A_2(0) / A_1(0)$$

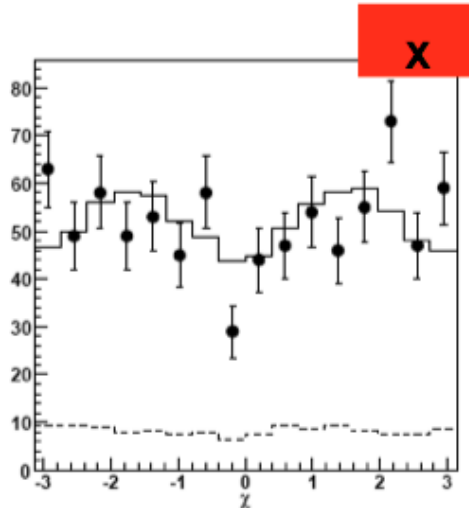
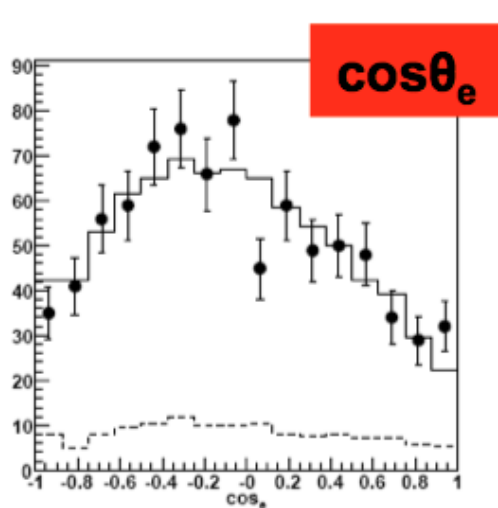
$D \rightarrow \rho e \nu$ Form Factor Results

CLEO-c Preliminary



$$R_V = 1.48 \pm 0.15 \pm 0.03$$

$$R_2 = 0.83 \pm 0.11 \pm 0.04$$



First measurement of these ratios in Cabibbo-suppressed mode

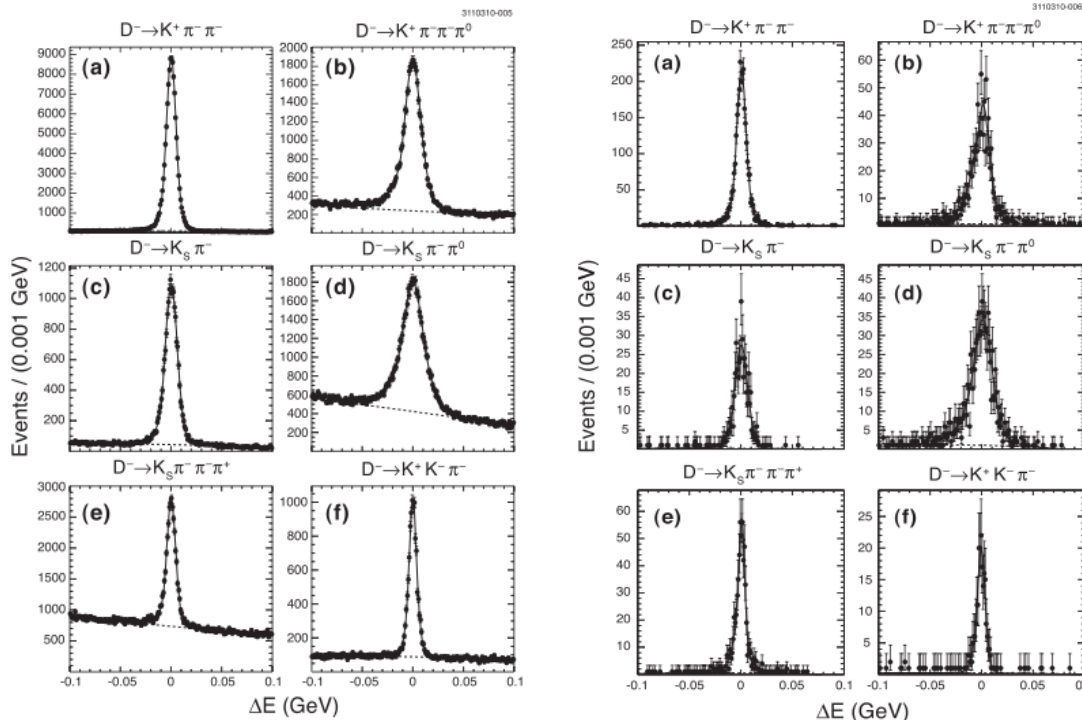
$D^+ \rightarrow K^- \pi^+ e^- \nu$ and $D^+ \rightarrow K^- \pi^+ \mu^- \nu$

PRD 81, 112001 (2010)

- 818/pb of $\psi(3770)$ data - tagged analysis with 6 D^- tag modes
- μ/e separation purely kinematic
- Measuring both μ and e provides sensitivity to mass-suppressed helicity amplitudes

$$BF \sim \frac{DT}{ST}$$

$\xleftarrow{\text{Hadronic } D^- \text{ tag}}$ SL Candidate $\xleftarrow{\text{Hadronic } D^- \text{ tag}}$



$$\mathcal{B}(D^+ \rightarrow \bar{K}^{*0} e^+ \nu_e) = (5.52 \pm 0.07 \pm 0.13)\%$$

$$\mathcal{B}(D^+ \rightarrow \bar{K}^{*0} \mu^+ \nu_\mu) = (5.27 \pm 0.07 \pm 0.14)\%$$

$$\mathcal{B}_\mu / \mathcal{B}_e = (94.64 \pm 1.95 \pm 1.03)\%$$

Phase space ~91%

$D^+ \rightarrow K^- \pi^+ e^- \nu$ and $D^+ \rightarrow K^- \pi^+ \mu^- \nu$

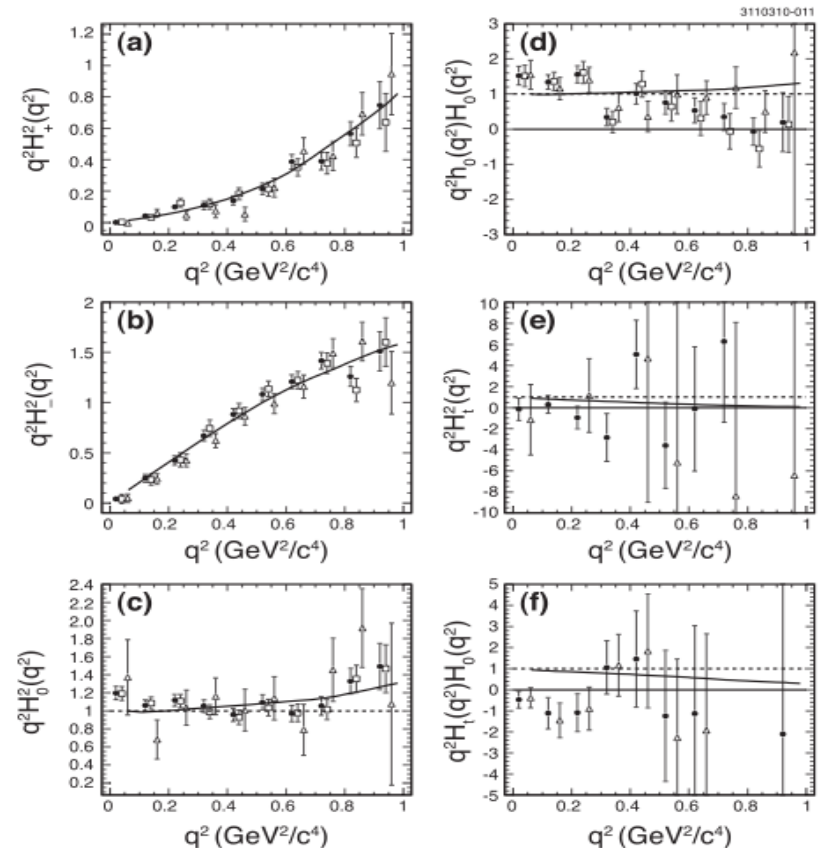
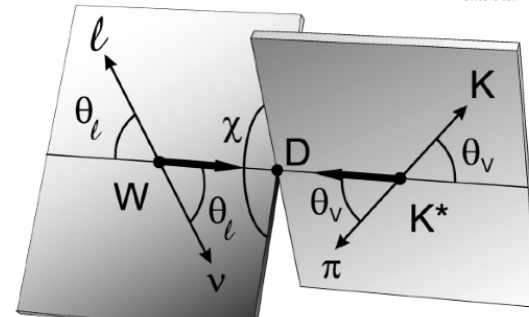
PRD **81**, 112001 (2010)

Form Factor Determination

- 5 kinematic variables
 - $M(K\pi)$, $q^2 = M^2(l\nu)$, θ_ν , θ_ℓ , χ
- Model-independent extraction of 6 helicity-basis FFs

$$H_+^2(q^2), H_-^2(q^2), H_0^2(q^2),$$

$$H_t^2(q^2), H_t H_0(q^2), h_0 H_0(q^2)$$
- Projective weighting technique
 - FOCUS: PLB 633, 183 (2006)
 - Distinguish contributions of FFs by characteristic decay-angle dists.
- Muons $\rightarrow$ mass-suppressed FF
- Good agreement with SPD model (curves) for dominant FFs. Smaller H_t than expected from LQCD?



Exclusive D_s SL Decays

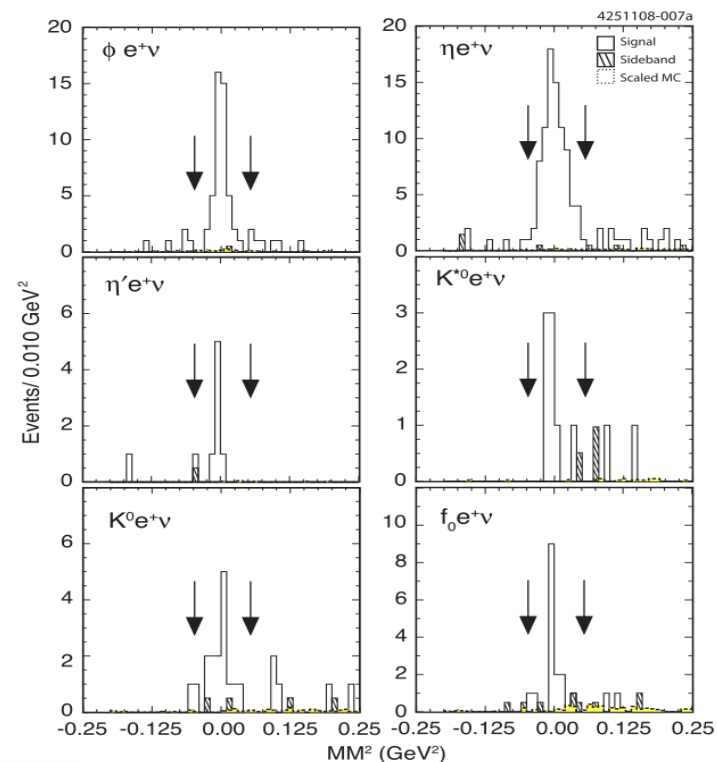
$E_{\text{CM}} = 4170 \text{ MeV} - \sim 0.9 \text{ nb of } D\bar{D}^*$

PRD 80, 052007 (2009) - 6 modes 310/pb

PRD 80, 052009 (2009) - partial update 600/pb

Extra γ complicates tag selection, but SL samples are still very clean

Include first measurements of exclusive D_s SL decays



Signal Mode	n^i	n_{bkg}^i	ϵ_{SL}^i (%)	$\mathcal{B}$ (%)
$D_s^+ \rightarrow \eta e^+ \nu_e$	82.49	0.32	37.65 ± 0.27	$2.48 \pm 0.29 \pm 0.13$
$D_s^+ \rightarrow \eta' e^+ \nu_e$	7.50	0.06	21.04 ± 0.22	$0.91 \pm 0.33 \pm 0.05$
$D_s^+ \rightarrow K^0 e^+ \nu_e$	13.99	0.29	33.14 ± 0.26	$0.37 \pm 0.10 \pm 0.02$
$D_s^+ \rightarrow K^{*0} e^+ \nu_e$	7.50	0.18	27.52 ± 0.23	$0.18 \pm 0.07 \pm 0.01$

$$\mathcal{B}(D_s^+ \rightarrow \phi e \nu) = (2.36 \pm 0.23 \pm 0.13)\%$$

$$\mathcal{B}(D_s^+ \rightarrow f_0 e \nu) \mathcal{B}(f_0 \rightarrow \pi^+ \pi^-) = (0.20 \pm 0.03 \pm 0.01)\%$$

Full analysis of 600/pb data sample coming soon

Parting comments...

- CLEO-c will soon complete analysis of what has been a unique data sample for charm physics
 - Rigorous tests of QCD theory in SL decays (as well as leptonic, hadronic) have been made. Experiment is ahead of theory for now
- The job is clearly not done
 - Statistics-limited results on Cabibbo-suppressed SL FF measurement. Many details even of Cabibbo-favored modes need greater statistics. Most D_s measurements are statistics limited
- Where do we go next?

BESIII

Main Drift Chamber (MDC)

$$\Delta P/P (^{\circ}/_0) = 0.5-0.7 \%$$

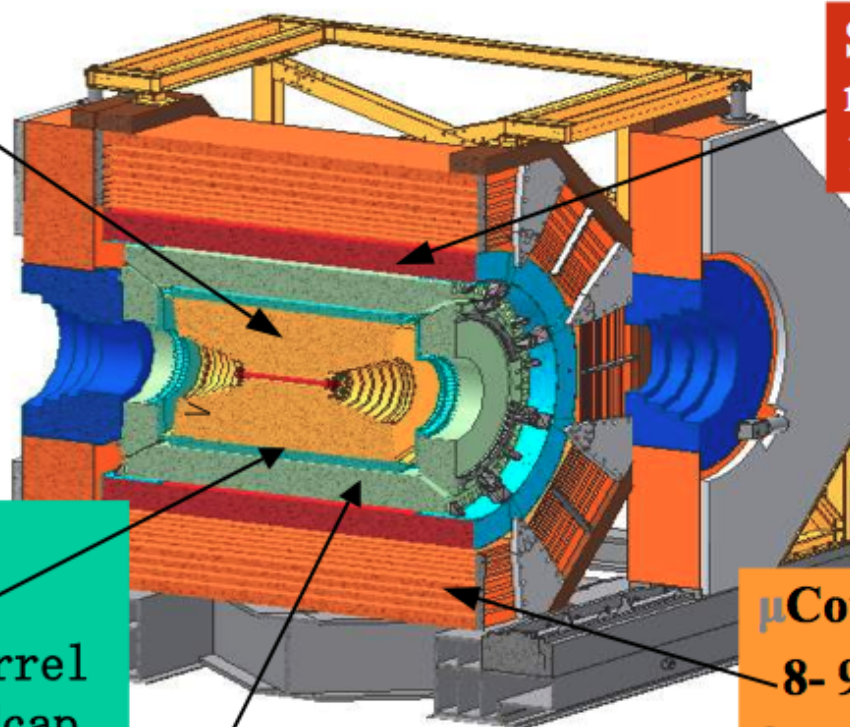
(1 GeV)

$$\sigma_{dE/dx} (^{\circ}/_0) = 6-8\%$$

Time Of Flight (TOF)

$$\sigma_T (\text{ps}) = 100-120 \text{ ps Barrel}$$

$$110-130 \text{ ps endcap}$$



Super-conducting magnet
1.0 tesla

μ Counter

8- 9 layers

$$\delta R\Phi = 1.4 \text{ cm} \sim 1.7 \text{ cm}$$

EMC: $\Delta E / \sqrt{E} (^{\circ}/_0) = 2.5 - 3 \% (1 \text{ GeV})$

$$\sigma_{z,\phi} (\text{cm}) = 0.5 \text{ cm} - 0.7 \text{ cm} / \sqrt{E}$$

Parting comments...

- BESIII already has 910/pb of $\psi(3770)$ data, with a plan for $\sim 4/\text{fb}$ total to be in hand within a year or two
- Precision physics is hard! Much work remains to be done, but much work is under way and powerful tools have been inherited from BESII and CLEO-c
- D_s poses special opportunities and challenges
 - Where to collect D_s data?
- Optimistic that BEPCII design luminosity will be achieved, that data samples $>10/\text{fb}$ are in reach
- Beyond that, there will doubtless still be compelling charm physics for a future super facility....

