



CLEO<sub>c</sub>



# Measurements of $\bar{D}^0$ - $D^0$ mixing and searches for *CPV*: HFAG combination of all data

Alan Schwartz  
*University of Cincinnati*

*BES-Belle-CLEO-BaBar*  
Joint Workshop on Charm Physics  
IHEP, Beijing  
November 26th, 2007



- the HFAG charm group
- $D^0$  meson mixing
- old and new measurements
- combining all results assuming *no CPV*
- combining all results *allowing for CPV*

<http://www.slac.stanford.edu/xorg/hfag/charm/index.html>

# ***HFAG charm group*** <http://www.slac.stanford.edu/xorg/hfag/charm/index.html>

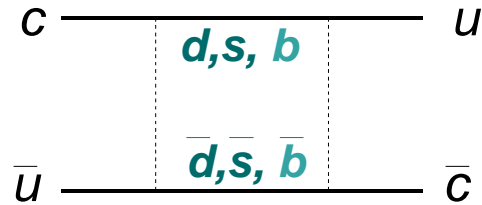
---

***Began fall 2006 with representatives from relevant experiments:***

|               |   |
|---------------|---|
| <b>BABAR</b>  | <i>Milind Purohit (+E791), Brian Petersen</i> |
| <b>BELLE</b>  | <i>Bostjan Golob, Alan Schwartz</i>           |
| <b>BES</b>    | <i>Changzheng Yuan</i>                        |
| <b>CDF</b>    | <i>Mark Mattson</i>                           |
| <b>CLEO-c</b> | <i>Lawrence Gibbons, David Asner</i>          |
| <b>D0</b>     | <i>Brendan Casey</i>                          |
| <b>FOCUS</b>  | <i>Daniele Pedrini</i>                        |

***Although the “youngest” HFAG group, now one of the largest***

# Neutral meson mixing I:



Flavor eigenstates are  
not mass eigenstates:

$$i\frac{\partial}{\partial t} \begin{pmatrix} |D^0\rangle \\ |\bar{D}^0\rangle \end{pmatrix} = \left( M - \frac{i}{2}\Gamma \right) \begin{pmatrix} |D^0\rangle \\ |\bar{D}^0\rangle \end{pmatrix}$$

$$\begin{aligned} |D_1\rangle &= p|D^0\rangle + q|\bar{D}^0\rangle \\ |D_2\rangle &= p|D^0\rangle - q|\bar{D}^0\rangle \end{aligned}$$

$$\begin{aligned} |D_1(t)\rangle &= |D_1\rangle e^{-(\Gamma_1/2 + im_1)t} \\ |D_2(t)\rangle &= |D_2\rangle e^{-(\Gamma_2/2 + im_2)t} \end{aligned}$$

$$|D^0\rangle = \frac{1}{2p} (|D_1\rangle + |D_2\rangle)$$

$$|\bar{D}^0\rangle = \frac{1}{2q} (|D_1\rangle - |D_2\rangle)$$

$$\begin{aligned} |D^0(t)\rangle &= e^{-(\bar{\Gamma}/2 + i\bar{m})t} \left\{ \cosh [(\Delta\gamma/4 + i\Delta m/2)t] |D^0\rangle + \left(\frac{q}{p}\right) \sinh [(\Delta\gamma/4 + i\Delta m/2)t] |\bar{D}^0\rangle \right\} \\ |\bar{D}^0(t)\rangle &= e^{-(\bar{\Gamma}/2 + i\bar{m})t} \left\{ \left(\frac{p}{q}\right) \sinh [(\Delta\gamma/4 + i\Delta m/2)t] |D^0\rangle + \cosh [(\Delta\gamma/4 + i\Delta m/2)t] |\bar{D}^0\rangle \right\} \end{aligned}$$

$$\bar{m} \equiv \frac{1}{2} (m_1 + m_2) \quad \bar{\Gamma} \equiv \frac{1}{2} (\Gamma_1 + \Gamma_2) \quad \Delta m \equiv m_2 - m_1 \quad \Delta\gamma \equiv \Gamma_2 - \Gamma_1$$

# Neutral meson mixing II

$$\langle f|H|D^0(t)\rangle = e^{-(\bar{\Gamma}/2+i\bar{m})t} \left\{ \cosh [(\Delta\gamma/4 + i\Delta m/2)t] \mathcal{A}_f + \left(\frac{q}{p}\right) \sinh [(\Delta\gamma/4 + i\Delta m/2)t] \bar{\mathcal{A}}_f \right\}$$

$$\langle \bar{f}|H|\bar{D}^0(t)\rangle = e^{-(\bar{\Gamma}/2+i\bar{m})t} \left\{ \left(\frac{p}{q}\right) \sinh [(\Delta\gamma/4 + i\Delta m/2)t] \mathcal{A}_{\bar{f}} + \cosh [(\Delta\gamma/4 + i\Delta m/2)t] \bar{\mathcal{A}}_{\bar{f}} \right\}$$

$$\begin{aligned} \mathcal{A}_f &\equiv \langle f|H|D^0\rangle & \bar{\mathcal{A}}_f &\equiv \langle f|H|\bar{D}^0\rangle \\ \mathcal{A}_{\bar{f}} &\equiv \langle \bar{f}|H|D^0\rangle & \bar{\mathcal{A}}_{\bar{f}} &\equiv \langle \bar{f}|H|\bar{D}^0\rangle \end{aligned}$$

Since  $\Delta m t \ll 1$  and  $\Delta\gamma t \ll 1$ , expand  $\cos(\Delta m t)$ ,  $\cosh(\Delta\gamma/2)t$ ,  $\sin(\Delta m t)$ ,  $\sinh(\Delta\gamma/2)t$ :

$$\begin{aligned} R(D^0(t) \rightarrow f) &\propto e^{-\bar{\Gamma}t} \left\{ 1 + [y \operatorname{Re}(\lambda) - x \operatorname{Im}(\lambda)] (\bar{\Gamma}t) + |\lambda|^2 \frac{(x^2 + y^2)}{4} (\bar{\Gamma}t)^2 \right\} \\ R(\bar{D}^0(t) \rightarrow \bar{f}) &\propto e^{-\bar{\Gamma}t} \left\{ 1 + [y \operatorname{Re}(\bar{\lambda}) - x \operatorname{Im}(\bar{\lambda})] (\bar{\Gamma}t) + |\bar{\lambda}|^2 \frac{(x^2 + y^2)}{4} (\bar{\Gamma}t)^2 \right\} \end{aligned}$$

**Direct**

**Interference**

**Mixing**

$$x \equiv \frac{\Delta m}{\bar{\Gamma}}$$

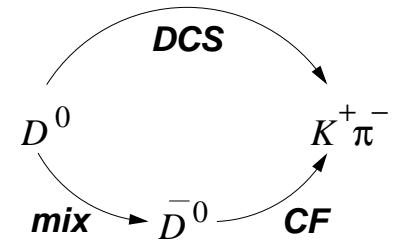
$$y \equiv \frac{\Delta\Gamma}{2\bar{\Gamma}}$$

$$\lambda \equiv \frac{q}{p} \frac{\bar{\mathcal{A}}_f}{\mathcal{A}_f}$$

$$\bar{\lambda} \equiv \frac{p}{q} \frac{\mathcal{A}_{\bar{f}}}{\bar{\mathcal{A}}_{\bar{f}}}$$

**MIXING PARAM.**

**CPV enters here**



# $D^0$ mixing measurements



Moriond'07

- **Wrong-sign semileptonic  $D^0(t) \rightarrow K^+ l^- \nu$  decays**  
measures  $x^2 + y^2$ , no  $DCS$  contamination



Moriond'07

- **Wrong-sign hadronic  $D^0(t) \rightarrow K^+ \pi^-$  decays**  
measures  $x' = x \cos \delta + y \sin \delta$ ,  $y' = y \cos \delta - x \sin \delta$ ,  
where  $\delta$  is a strong phase difference, and  $R_D$



Moriond'07



LP'07

- **Decays to  $CP$  eigenstates:  $D^0(t) \rightarrow K^+ K^-, \pi^+ \pi^-$**   
measures  $y \cos \phi$  and  $x \sin \phi$ , where  $\phi$  is a weak phase difference



Moriond'07

- **Dalitz plot analysis of  $D^0(t) \rightarrow K^0 \pi^+ \pi^-$  decays**  
measures  $x$ ,  $y$ ,  $|q/p|$ , and  $\phi$



LP'07

- **Wrong-sign hadronic  $D^0 \rightarrow K^+ \pi^- \pi^+ \pi^-, K^+ \pi^- \pi^0$  decays**  
measures  $x^2 + y^2$ ,  $x$ ,  $y$

**CLEOc**

CHARM'07

- **Quantum correlations in  $e^+ e^- \rightarrow D^0 \bar{D}^0(n\pi^0), D^0 \bar{D}^0 \gamma(n\pi^0)$**   
measures  $R_M$ ,  $y$ ,  $R_D$ ,  $\cos \delta$

# HFAG references I

## $D^0(t) \rightarrow K^+ l^- \nu$

- \* E. M. Aitala et al. (E791 Collab.), Phys. Rev. Lett. 77, 2384 (1996).
- \* C. Cawlfeld et al. (CLEO Collab.), Phys. Rev. D 71, 077101 (2005).
- \* U. Bitenc et al. (Belle Collab.), Phys. Rev. D 72, 071101 (2005).
- \* B. Aubert et al. (BaBar Collab.), arXiv:0705.0704 (submitted to Phys. Rev. D).

### *not used (superseded):*

- \* B. Aubert et al. (BaBar Collab.), Phys. Rev. D 70, 091102 (2004).

## $D^0(t) \rightarrow K^+ \pi^-$

- \* E. M. Aitala et al. (E791 Collab.), Phys. Rev. D 57, 13 (1998).
- \* R. Godang et al. (CLEO Collab.), Phys. Rev. Lett. 84, 5038 (2000).
- \* J. M. Link et al. (E831 FOCUS Collab.), Phys. Lett. B 618, 23 (2005).
- \* L. M. Zhang et al. (Belle Collab.), Phys. Rev. Lett. 96, 151801 (2006).
- \* A. Abulencia et al. (CDF Collab.), Phys. Rev. D 74, 031109(R) (2006).
- \* B. Aubert et al. (BaBar Collab.), Phys. Rev. Lett. 98, 211802 (2007).

### *not used (low statistics/superseded/no preprint):*

- \* J. C. Anjos et al. (E691 Collab.), Phys. Rev. Lett. 60, 1239 (1988).
- \* D. Cinabro et al. (CLEO Collab.), Phys. Rev. Lett. 72, 1406 (1994).
- \* R. Barate et al. (ALEPH Collab.), Phys. Lett. B 436, 211 (1998).
- \* J. M. Link et al. (E831 FOCUS Collab.), Phys. Rev. Lett. 86, 2955 (2001).
- \* J. Li et al. (Belle Collab.), Phys. Rev. Lett. 94, 071801 (2005).
- \* B. Aubert et al. (BaBar Collab.), Phys. Rev. Lett. 91, 171801 (2003).
- \* K. Tollefson (CDF Collab.), presented at LP'07, Daegu, S. Korea, 13 August 2007.

# *HFAG references II*

<http://www.slac.stanford.edu/xorg/hfag/charm/index.html>

$$D^0 \rightarrow K^+ \pi^- \pi^+ \pi^-, K^+ \pi^- \pi^0$$

- \* X. C. Tian et al. (Belle Collab.), Phys. Rev. Lett. 95, 231801 (2005).
- \* B. Aubert et al. (BaBar Collab.), hep-ex/0607090 (unpublished).
- \* W. Lockman (BaBar Collab.), presented at LP'07, Daegu, S. Korea, 13 August 2007.

*not used (low statistics/superseded):*

- \* E. M. Aitala et al. (E791 Collab.), Phys. Rev. D 57, 13 (1998).
- \* G. Brandenburg et al. (CLEO Collab.), Phys. Rev. Lett. 87, 071802 (2001).
- \* S. A. Dytman et al. (CLEO Collab.), Phys. Rev. D 64, 111101 (2001).
- \* B. Aubert et al. (BaBar Collab.), Phys. Rev. Lett. 97, 221803 (2006).

$$D^0(t) \rightarrow K^+ K^-, \pi^+ \pi^-$$

- \* E. M. Aitala et al. (E791 Collab.), Phys. Rev. Lett. 83, 32 (1999).
- \* J. M. Link et al. (E831 FOCUS Collab.), Phys. Lett. B 485, 62 (2000).
- \* S. E. Csorna et al. (CLEO Collab.), Phys. Rev. D 65, 092001 (2002).
- \* K. Abe et al. (Belle Collab.), Phys. Rev. Lett. 88, 162001 (2002).
- \* M. Staric et al. (Belle Collab.), Phys. Rev. Lett. 98, 211803 (2007).
- \* W. Lockman (BaBar Collab.), presented at LP'07, Daegu, S. Korea, 13 August 2007.

*not used (superseded):*

- \* K. Abe et al. (Belle Collab.), hep-ex/0308034 (unpublished).
- \* B. Aubert et al. (BaBar Collab.), Phys. Rev. Lett. 91, 121801 (2003).

## **Dalitz plot analysis of $D^0(t) \rightarrow K^0 \pi^+ \pi^-$ decays**

- \* D. M. Asner et al. (CLEO Collab.), Phys. Rev. D 72, 012001 (2005).  
([hep-ex/0503045](#) revised April 2, 2007)
- \* L. M. Zhang et al. (Belle Collab.), Phys. Rev. Lett. 99, 131803 (2007).

## $e^+e^- \rightarrow \psi(3770) \rightarrow D^0 D^0(n\pi^0), D^0 D^0 \gamma(n\pi^0)$

- \* W. Sun (CLEO Collab.), presented at Charm'07 Workshop, Cornell University, 5 August 2007.

*not used (superseded):*

- \* D. M. Asner et al. (CLEO Collab.), Int. J. Mod. Phys. A21 5456 (2006).



# *HFAG combination of all results*

---

## Two methods:

- (1) *convert all measurements to log(likelihood) functions in a 3-dimensional space of  $(x, y, \delta)$ . Add all log(likelihood) functions together. Final likelihood function can be projected onto axes to give (conservative) confidence intervals.*

**ADVANTAGE:** correlations and non-Gaussian errors are automatically accounted for

**DISADVANTAGE:** must obtain log(likelihood) function from experiments. Binning will be different  $\Rightarrow$  requires interpolation.

- (2) *do MINUIT fit of 8 parameters  $(x, y, \delta, R_D, A_D, |q/p|, \phi, \delta_2)$  to 26 observables.*

**ADVANTAGE:** uses published measurements; easy to include/exclude *CPV*

**DISADVANTAGE:** must obtain correlation matrix from experiments. Does not account for non-Gaussian errors.

# HFAG input values I:

$$D^0 \rightarrow K^+ K^- / \pi^+ \pi^-$$



$$D^0 \rightarrow K_S^0 \pi^+ \pi^-$$

$$D^0 \rightarrow K^+ l^- \nu$$

| Index           | Observable  | Value   | Source  |   |        |                 |        |        |   |                 |        |                 |                 |   |                 |        |        |                 |   |
|-----------------|---|---|---|---|--------|-----------------|--------|--------|---|-----------------|--------|-----------------|-----------------|---|-----------------|--------|--------|-----------------|---|
| 1               | $y_{CP}$  | $(1.234 \pm 0.275)\%$   | World average (COMBOS combination) of $D^0 \rightarrow K^+ K^- / \pi^+ \pi^-$ results   |   |        |                 |        |        |   |                 |        |                 |                 |   |                 |        |        |                 |   |
| 2               | $A_\Gamma$  | $(0.123 \pm 0.248)\%$   | World average (COMBOS combination) of $D^0 \rightarrow K^+ K^- / \pi^+ \pi^-$ results   |   |        |                 |        |        |   |                 |        |                 |                 |   |                 |        |        |                 |   |
| (3-5)           | $x$ (no CPV)<br>$y$ (no CPV)<br>$ q/p $ (no dCPV)<br>$\text{Arg}(q/p)=\phi$ (no dCPV) | $(0.811 \pm 0.334)\%$<br>$(0.309 \pm 0.281)\%$<br>$0.95 \pm 0.22^{+0.10}$<br>$-0.09$<br>$(-0.035 \pm 0.19 \pm 0.09)$<br>radians                       | No CPV: World average (COMBOS combination) of $D^0 \rightarrow K_S^0 \pi^+ \pi^-$ results<br><br>CPV-allowed: Belle $D^0 \rightarrow K_S^0 \pi^+ \pi^-$ results; correlation coefficients:  |   |        |                 |        |        |   |                 |        |                 |                 |   |                 |        |        |                 |   |
| 6               | $x$<br>$y$<br>$ q/p $<br>$\phi$   | $(0.81 \pm 0.30^{+0.13} - 0.17)\%$<br>$(0.37 \pm 0.25^{+0.10} - 0.15)\%$<br>$0.86 \pm 0.30^{+0.10} - 0.09$<br>$(-0.244 \pm 0.31 \pm 0.09)$<br>radians | <table border="0"> <tr> <td>1</td> <td>-0.007</td> <td>-0.255<math>\alpha</math></td> <td>+0.216</td> </tr> <tr> <td>-0.007</td> <td>1</td> <td>-0.019<math>\alpha</math></td> <td>-0.280</td> </tr> <tr> <td>-0.255<math>\alpha</math></td> <td>-0.019<math>\alpha</math></td> <td>1</td> <td>-0.128<math>\alpha</math></td> </tr> <tr> <td>+0.216</td> <td>-0.280</td> <td>-0.128<math>\alpha</math></td> <td>1</td> </tr> </table><br>(Note: $\alpha = ( q/p +1)^2/2$ is a variable transformation factor) | 1 | -0.007 | -0.255 $\alpha$ | +0.216 | -0.007 | 1 | -0.019 $\alpha$ | -0.280 | -0.255 $\alpha$ | -0.019 $\alpha$ | 1 | -0.128 $\alpha$ | +0.216 | -0.280 | -0.128 $\alpha$ | 1 |
| 1               | -0.007  | -0.255 $\alpha$   | +0.216  |   |        |                 |        |        |   |                 |        |                 |                 |   |                 |        |        |                 |   |
| -0.007          | 1   | -0.019 $\alpha$   | -0.280  |   |        |                 |        |        |   |                 |        |                 |                 |   |                 |        |        |                 |   |
| -0.255 $\alpha$ | -0.019 $\alpha$   | 1   | -0.128 $\alpha$   |   |        |                 |        |        |   |                 |        |                 |                 |   |                 |        |        |                 |   |
| +0.216          | -0.280  | -0.128 $\alpha$   | 1   |   |        |                 |        |        |   |                 |        |                 |                 |   |                 |        |        |                 |   |
| 7               | $R_M$   | $(0.0173 \pm 0.0387)\%$   | World average (COMBOS combination) of $D^0 \rightarrow K^+ l^- \nu$ results   |   |        |                 |        |        |   |                 |        |                 |                 |   |                 |        |        |                 |   |

# HFAG input values II:



$$D^0 \rightarrow K^+ \pi^- \pi^0$$



$$D^0 \rightarrow K^+ \pi^- \pi^+ \pi^-$$

**CLEOc**

$$\psi(3770) \rightarrow D^0 D^0 (n\pi^0), \\ D^0 D^0 \gamma (n\pi^0)$$



$$D^0 \rightarrow K^+ \pi^-$$

|         |  |  |  |   |         |        |        |         |       |         |         |        |         |   |         |        |         |         |   |
|---------|--|--|--|---|---------|--------|--------|---------|-------|---------|---------|--------|---------|---|---------|--------|---------|---------|---|
| 8       | $x''$<br>$y''$                           | $(2.39 \pm 0.61 \pm 0.32)\%$<br>$(-0.14 \pm 0.60 \pm 0.40)\%$  | BaBar $K^+ \pi^- \pi^0$ result; correlation coefficient = $-0.34$ .<br>Note: $x'' = x \cos \theta'' + y \sin \theta''$ , $y'' = y \cos \theta'' - x \sin \theta''$ .   |   |         |        |        |         |       |         |         |        |         |   |         |        |         |         |   |
| 9       | $R_M$                                    | $(0.019 \pm 0.0161)\%$   | BaBar $K^+ \pi^- \pi^+ \pi^-$ result   |   |         |        |        |         |       |         |         |        |         |   |         |        |         |         |   |
| 10      | $R_M$<br>$y$<br>$r^2$<br>$r \cos \delta$ | $(0.199 \pm 0.173 \pm 0)\%$<br>$(-5.207 \pm 5.571 \pm 2.737)\%$<br>$(-2.395 \pm 1.739 \pm 0.938)\%$<br>$(8.878 \pm 3.369 \pm 1.579)\%$ | CLEOc $\Psi(3770)$ results; correlation coefficients:<br><table style="margin-left: auto; margin-right: auto;"> <tr> <td>1</td> <td>-0.0644</td> <td>0.0072</td> <td>0.0607</td> </tr> <tr> <td>-0.0644</td> <td>1</td> <td>-0.3172</td> <td>-0.8331</td> </tr> <tr> <td>0.0072</td> <td>-0.3172</td> <td>1</td> <td>+0.3893</td> </tr> <tr> <td>0.0607</td> <td>-0.8331</td> <td>+0.3893</td> <td>1</td> </tr> </table> | 1 | -0.0644 | 0.0072 | 0.0607 | -0.0644 | 1     | -0.3172 | -0.8331 | 0.0072 | -0.3172 | 1 | +0.3893 | 0.0607 | -0.8331 | +0.3893 | 1 |
| 1       | -0.0644                                  | 0.0072   | 0.0607   |   |         |        |        |         |       |         |         |        |         |   |         |        |         |         |   |
| -0.0644 | 1  | -0.3172  | -0.8331  |   |         |        |        |         |       |         |         |        |         |   |         |        |         |         |   |
| 0.0072  | -0.3172                                  | 1  | +0.3893  |   |         |        |        |         |       |         |         |        |         |   |         |        |         |         |   |
| 0.0607  | -0.8331                                  | +0.3893  | 1  |   |         |        |        |         |       |         |         |        |         |   |         |        |         |         |   |
| 11      | $R_D$<br>$x'^{2+}$<br>$y'^{+}$           | $(0.303 \pm 0.0189)\%$<br>$(-0.024 \pm 0.052)\%$<br>$(0.98 \pm 0.782)\%$   | BaBar $K^+ \pi^-$ results; correlation coefficients:<br><table style="margin-left: auto; margin-right: auto;"> <tr> <td>1</td> <td>+0.77</td> <td>-0.87</td> </tr> <tr> <td>+0.77</td> <td>1</td> <td>-0.94</td> </tr> <tr> <td>-0.87</td> <td>-0.94</td> <td>1</td> </tr> </table>  | 1 | +0.77   | -0.87  | +0.77  | 1       | -0.94 | -0.87   | -0.94   | 1      |         |   |         |        |         |         |   |
| 1       | +0.77                                    | -0.87  |  |   |         |        |        |         |       |         |         |        |         |   |         |        |         |         |   |
| +0.77   | 1  | -0.94  |  |   |         |        |        |         |       |         |         |        |         |   |         |        |         |         |   |
| -0.87   | -0.94                                    | 1  |  |   |         |        |        |         |       |         |         |        |         |   |         |        |         |         |   |
| 12      | $A_D$<br>$x'^{2-}$<br>$y'^{-}$           | $(-2.1 \pm 5.4)\%$<br>$(-0.020 \pm 0.050)\%$<br>$(0.96 \pm 0.75)\%$  | BaBar $K^+ \pi^-$ results; correlation coefficients same as above.   |   |         |        |        |         |       |         |         |        |         |   |         |        |         |         |   |

# HFAG input values III:



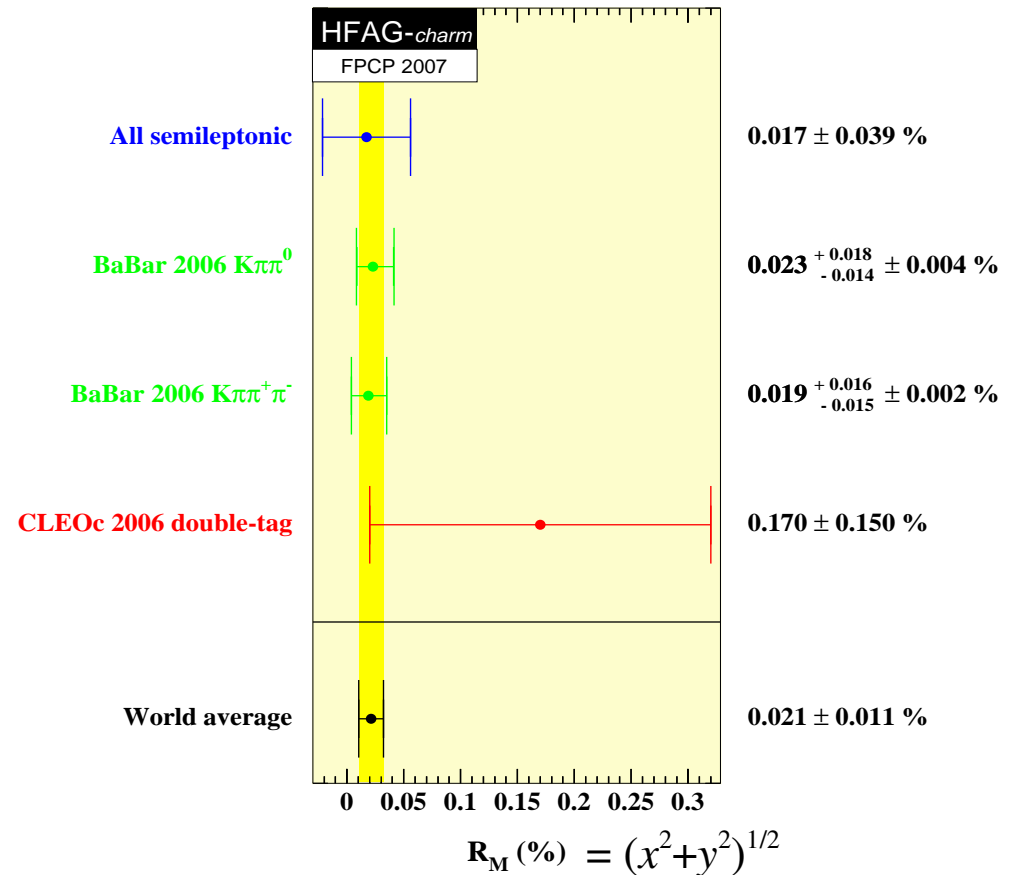
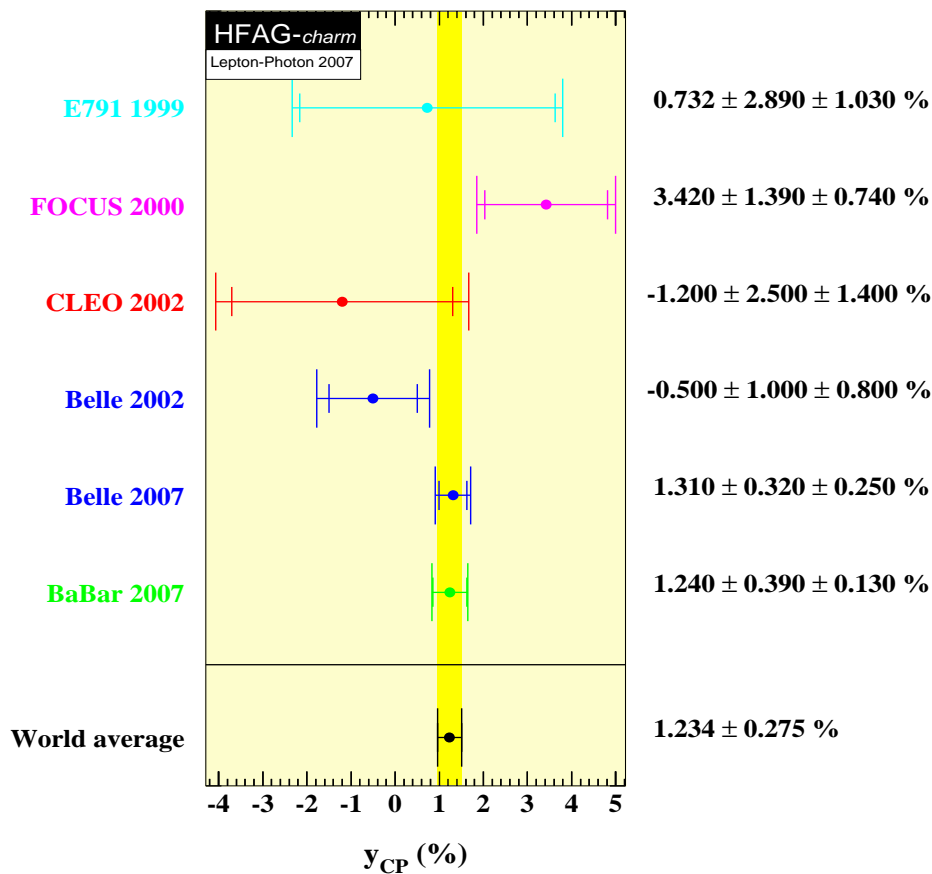
$D^0 \rightarrow K^+ \pi^-$

|    |          |                       |  |   |        |   |        |        |
|----|----------|-----------------------|--|---|--------|---|--------|--------|
| 13 | $R_D$    | $(0.364 \pm 0.018)\%$ | Belle $K^+ \pi^-$ results; correlation coefficients:               |   |        |   |        |        |
|    | $x^{2+}$ | $(0.032 \pm 0.037)\%$ |  |   |        | 1 | +0.655 | -0.834 |
|    | $y^{2+}$ | $(-0.12 \pm 0.58)\%$  | +0.655   | 1 | -0.909 |   |        |        |
| 14 | $A_D$    | $(2.3 \pm 4.7)\%$     | Belle $K^+ \pi^-$ results; correlation coefficients same as above. |   |        |   |        |        |
|    | $x^{2-}$ | $(0.006 \pm 0.034)\%$ |  |   |        |   |        |        |
|    | $y^{2-}$ | $(0.20 \pm 0.54)\%$   |  |   |        |   |        |        |

# HFAG world average values:

We also calculate WORLD AVERAGE values of mixing parameters using the COMBOS program. We apply this to similar measurements from different experiments:

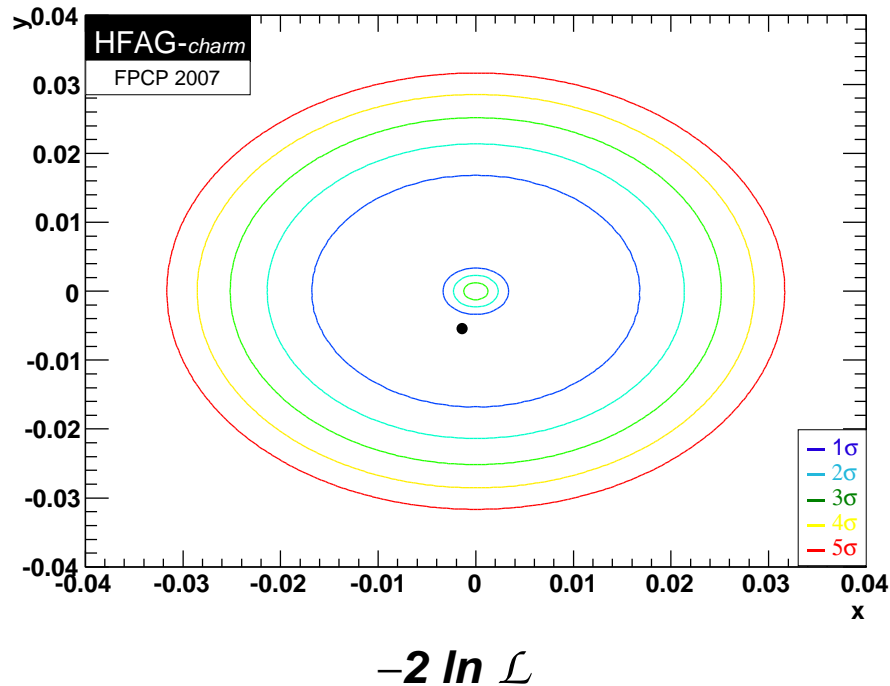
TO BE UPDATED:



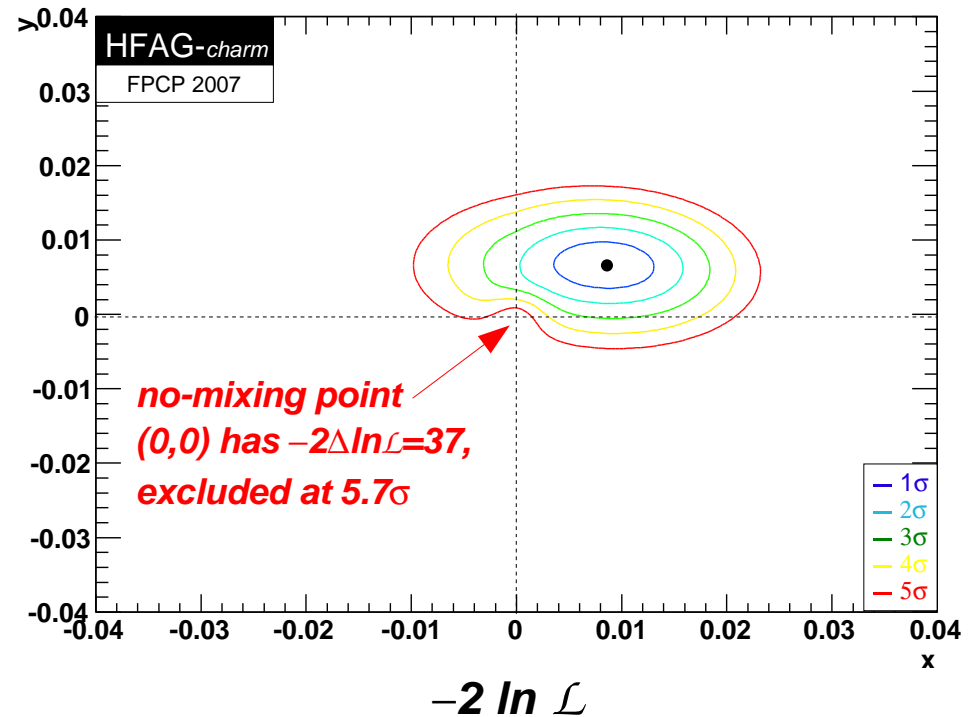
# HFAG method #1: combining likelihood functions

$\ln \mathcal{L}(R_D, x'^2, y')$  for  $D^0(t) \rightarrow K^+ \pi^-$  measurements:

- project onto  $(x'^2, y')$  plane by allowing  $R_D$  to always take its preferred value
- map likelihood values to  $(x, y, \delta)$  volume
- project onto  $(x, y)$  plane by allowing  $\delta$  to always take its preferred value:



Adding  $-2 \ln \mathcal{L}$  functions from  $K^+ \pi^-$ , semileptonic decays,  $K_S \pi^+ \pi^-$ ,  $y_{CP}$ ,  $K^+ \pi^- \pi^0$ ,  $K^+ \pi^- \pi^+ \pi^-$ ,  $\psi(3770)$ :



# HFAG method #2: MINUIT fit

Do global MINUIT fit: 8 parameters ( $x, y, \delta, \delta_2, R_D, A_D, |q/p|, \phi$ ), 26 observables:

$$R_M = \frac{1}{2}(x^2 + y^2)$$

$$2y_{CP} = (|q/p| + |p/q|)y \cos \phi - (|q/p| - |p/q|)x \sin \phi$$

$$2A_\Gamma = (|q/p| - |p/q|)y \cos \phi - (|q/p| + |p/q|)x \sin \phi$$

$$x_{K^0\pi\pi} = x$$

$$y_{K^0\pi\pi} = y$$

$$|q/p|_{K^0\pi\pi} = |q/p|$$

$$\text{Arg}(q/p)_{K^0\pi\pi} = \phi$$

$$x'^{\pm} = \left( \frac{1 \pm A_M}{1 \mp A_M} \right)^{1/4} (x' \cos \phi \pm y' \sin \phi) \quad A_M = \frac{|q/p|^2 - |p/q|^2}{|q/p|^2 + |p/q|^2}$$

$$y'^{\pm} = \left( \frac{1 \pm A_M}{1 \mp A_M} \right)^{1/4} (y' \cos \phi \mp x' \sin \phi) \quad \begin{pmatrix} x' \\ y' \end{pmatrix} = \begin{pmatrix} \cos \delta & \sin \delta \\ -\sin \delta & \cos \delta \end{pmatrix} \begin{pmatrix} x \\ y \end{pmatrix}$$

$$\frac{1}{2} [R(D^0 \rightarrow K^+\pi^-) + \bar{R}(\bar{D}^0 \rightarrow K^-\pi^+)] = R_D$$

$$\frac{R(D^0 \rightarrow K^+\pi^-) - \bar{R}(\bar{D}^0 \rightarrow K^-\pi^+)}{R(D^0 \rightarrow K^+\pi^-) + \bar{R}(\bar{D}^0 \rightarrow K^-\pi^+)} = A_D$$

# HFAG method #2: MINUIT fit

FCN= 24.43161 FROM MINOS STATUS=SUCCESSFUL 2127 CALLS 150920 TOTAL  
EDM= 0.26E-05 STRATEGY=1 ERROR MATRIX UNCERTAINTY= 4.4%

| EXT PARAMETER |        | PARABOLIC   |           | MINOS ERRORS |             |
|---------------|--------|-------------|-----------|--------------|-------------|
| NO.           | NAME   | VALUE       | ERROR     | NEGATIVE     | POSITIVE    |
| 1             | x      | 0.95990 %   | 0.24840   | -0.28459     | 0.27081 %   |
| 2             | y      | 0.81043 %   | 0.18261   | -0.18719     | 0.18254 %   |
| 3             | delta  | 0.41333 rad | 0.19682   | -0.21706     | 0.20009 rad |
| 4             | rd     | 0.33468 %   | 0.0061084 | -0.0093041   | 0.0092887 % |
| 5             | ad     | -2.2165     | 2.3845    | -2.4993      | 2.4876      |
| 6             | qovp   | 0.85944     | 0.16656   | -0.14889     | 0.17661     |
| 7             | phi    | -0.16410    | 0.15085   | -0.16173     | 0.14231     |
| 8             | delta2 | 0.59056 rad | 0.43650   | -0.44818     | 0.43464 rad |

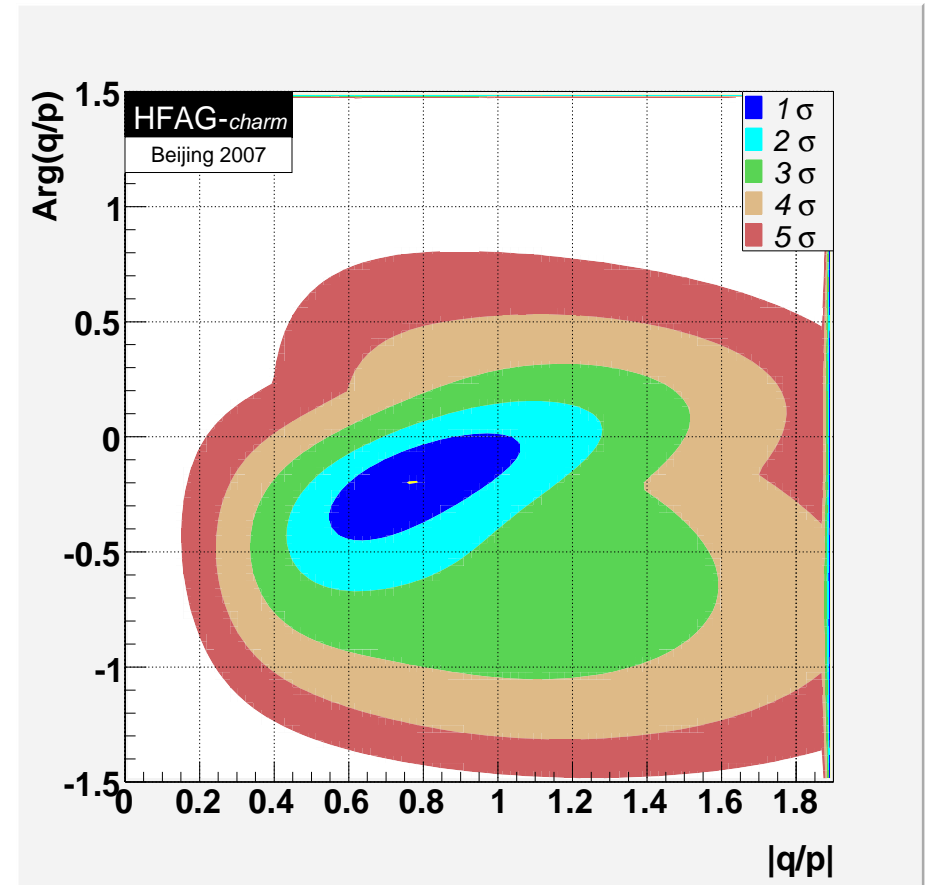
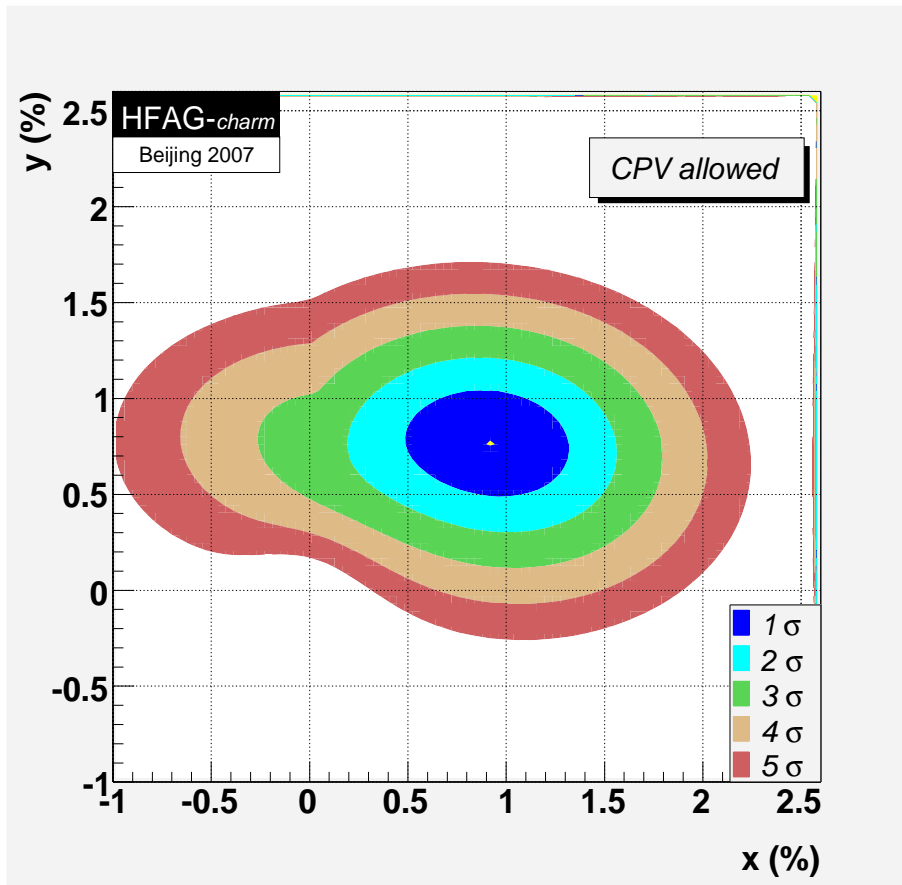
## NO DIRECT CPV:

| EXT PARAMETER |        | PARABOLIC   |           | MINOS ERRORS |             |
|---------------|--------|-------------|-----------|--------------|-------------|
| NO.           | NAME   | VALUE       | ERROR     | NEGATIVE     | POSITIVE    |
| 1             | x      | 0.96523 %   | 0.27871   | -0.28553     | 0.27196 %   |
| 2             | y      | 0.81941 %   | 0.18589   | -0.18754     | 0.18416 %   |
| 3             | delta  | 0.44107 rad | 0.20435   | -0.21628     | 0.20215 rad |
| 4             | rd     | 0.33462 %   | 0.0092147 | -0.0092496   | 0.0091855 % |
| 5             | ad     | 0.0000      | fixed     |              |             |
| 6             | qovp   | 0.94615     | 0.14159   | -0.13438     | 0.14883     |
| 7             | phi    | -0.046634   | 0.094019  | -0.099127    | 0.092676    |
| 8             | delta2 | 0.59479 rad | 0.43535   | -0.44674     | 0.43337 rad |

| Observable                     | $\chi^2$ | $\Sigma \chi^2$ |
|--------------------------------|----------|-----------------|
| f[y_CP ] =                     | 2.66     | 2.66            |
| f[A_Gamma ] =                  | 0.12     | 2.78            |
| f[x(K0p+p-) ] =                | 0.19     | 2.97            |
| f[y(K0p+p-) ] =                | 2.26     | 5.23            |
| f[ q/p  (K0p+p-) ] =           | 0.00     | 5.23            |
| f[Arg(q/p) (K0p+p-) ] =        | 0.53     | 5.76            |
| f[R_M(semilept) ] =            | 0.06     | 5.82            |
| f[x(K+p-p0) ] =                | 1.04     | 6.86            |
| f[y(K+p-p0) ] =                | 1.74     | 8.60            |
| f[RM/ysq/rsq/rcd (psi_3770)] = | 5.64     | 14.24           |
| f[K+pi- BaBar+ ] =             | 2.55     | 16.79           |
| f[K+pi- BaBar- ] =             | 1.77     | 18.55           |
| f[K+pi- Belle+ ] =             | 3.97     | 22.52           |
| f[K+pi- Belle- ] =             | 1.44     | 23.96           |
| f[R_M(K+p-p+p-) ] =            | 0.48     | 24.43           |

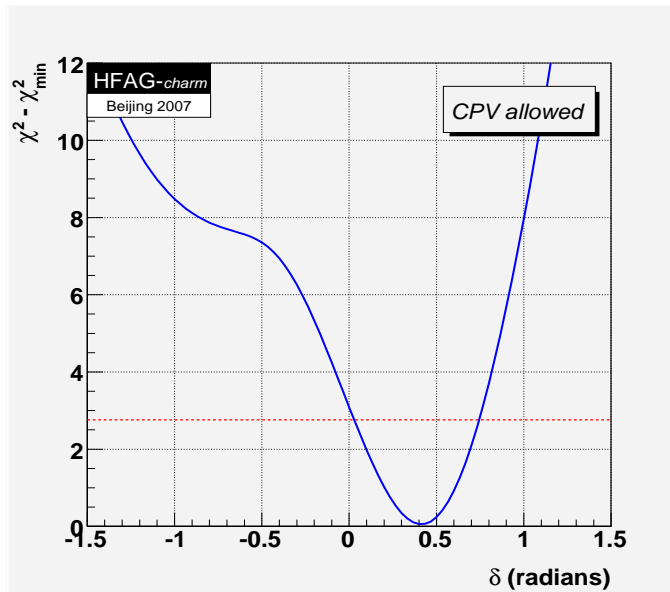


# HFAG 2-dim. likelihood plots (MNCOUTOUR-like) :

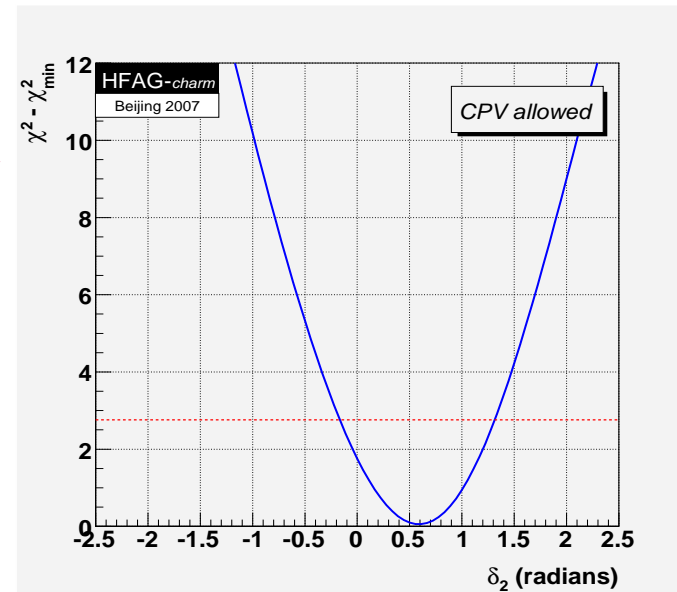


# HFAG 1-dim. likelihood curves (MNCONTOUR-like) :

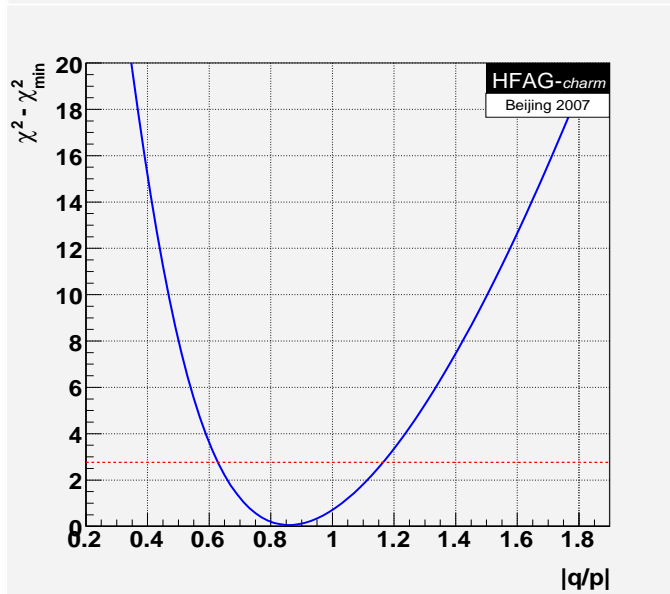
$\delta$



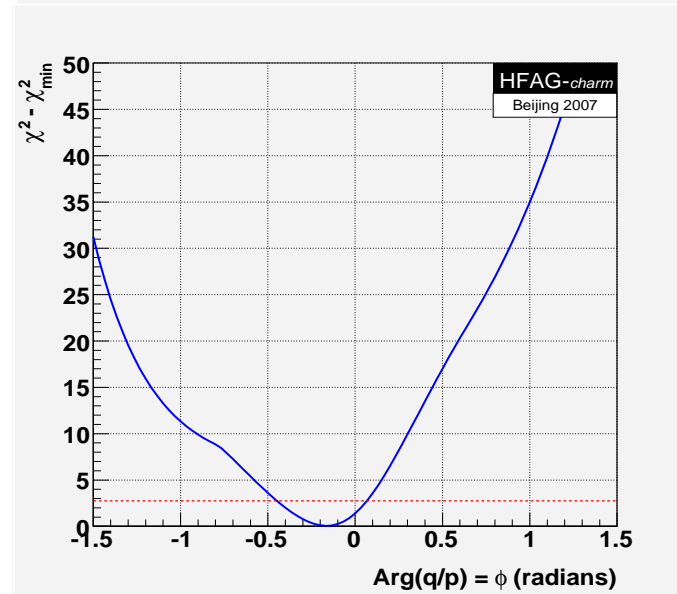
$\delta_2$



$|q/p|$

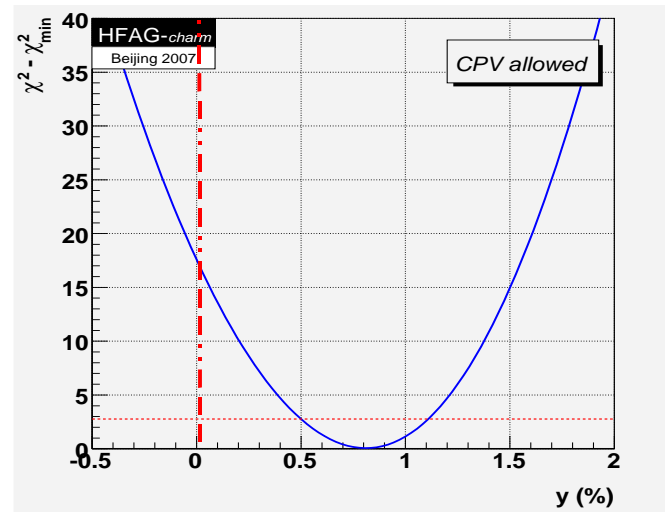
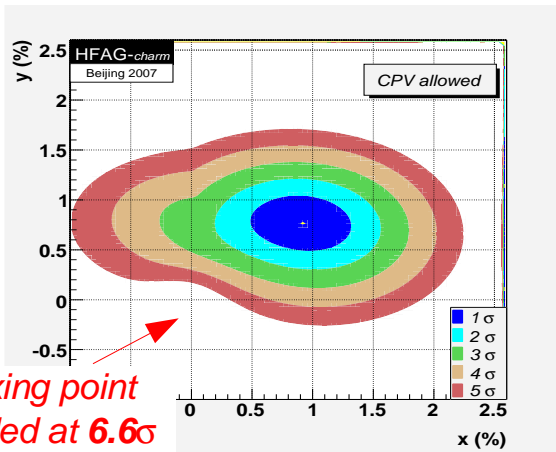


$\phi$



# Summary

All data [semileptonic decays,  $K^+\pi^-$ ,  $K_S^0\pi\pi$ ,  $y_{CP}$ ,  $K^+\pi^-\pi^0$ ,  $K^+\pi^-\pi^+\pi^-$ ,  $\psi(3770)$ ]:



$$x = (0.96^{+0.27}_{-0.28})\%$$

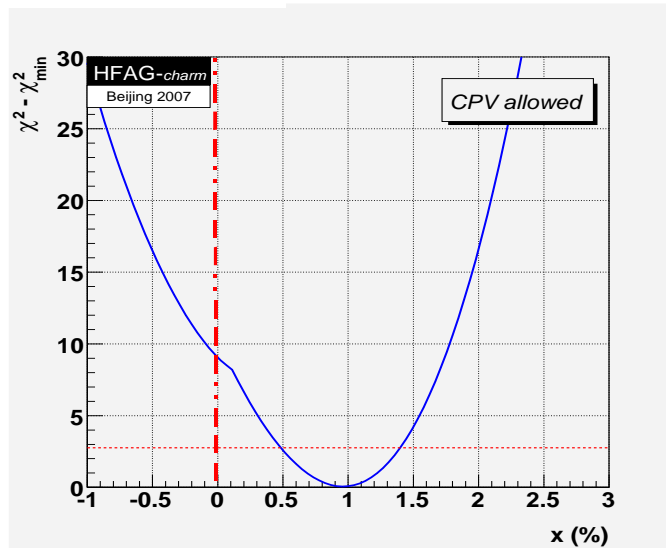
(3.4σ above zero)

$$y = (0.81^{+0.18}_{-0.19})\%$$

(4.3σ above zero)

$$\delta = (24^{+11}_{-12})^\circ$$

(2σ above zero)



y projection

## Conclusions:

Evidence is consistent and convincing that  $D^0$ 's mix; effect is dominated by non-perturbative processes. Unless  $|x| \gg |y|$ , may be hard to identify new physics.

Since  $y_{CP}$  is positive, CP-odd state is longer-lived (like other neutral meson systems); but positive  $x/y$  implies CP-odd is lighter (unlike  $K^0$  system)

No evidence yet for CPV (a true sign of NP)

x projection

# Belle $D^0(t) \rightarrow K^+ \pi^-$ allowing for CPV ( $400 \text{ fb}^{-1}$ )

$$\lambda = \left(\frac{q}{p}\right) \frac{\overline{\mathcal{A}}_f}{\mathcal{A}_f} = \left|\frac{q}{p}\right| \sqrt{R_D} e^{i(\phi+\delta)} \quad \bar{\lambda} = \left(\frac{p}{q}\right) \frac{\mathcal{A}_{\bar{f}}}{\overline{\mathcal{A}}_{\bar{f}}} = \left|\frac{p}{q}\right| \sqrt{\overline{R}_D} e^{i(-\phi+\delta)}$$

$$R_{D^0 \rightarrow f} \propto e^{-\bar{\Gamma}t} \left\{ R_D + \sqrt{R_D} \left|\frac{q}{p}\right| (y' \cos \phi - x' \sin \phi)(\bar{\Gamma}t) + \left|\frac{q}{p}\right|^2 \frac{(x'^2 + y'^2)}{4} (\bar{\Gamma}t)^2 \right\}$$

$$R_{\overline{D}^0 \rightarrow \bar{f}} \propto e^{-\bar{\Gamma}t} \left\{ \overline{R}_D + \sqrt{\overline{R}_D} \left|\frac{p}{q}\right| (y' \cos \phi + x' \sin \phi)(\bar{\Gamma}t) + \left|\frac{p}{q}\right|^2 \frac{(x'^2 + y'^2)}{4} (\bar{\Gamma}t)^2 \right\}$$

$$A_D \equiv (R_D - \overline{R}_D)/(R_D + \overline{R}_D) \neq 0 \quad \text{CPV in the decay amplitude (direct CPV)}$$

$$A_M \equiv (|q|^4 - |p|^4)/(|q|^4 + |p|^4) \neq 0 \quad \text{CPV in mixing}$$

$$\phi \neq 0 \quad \text{CPV in mixed/direct interference}$$

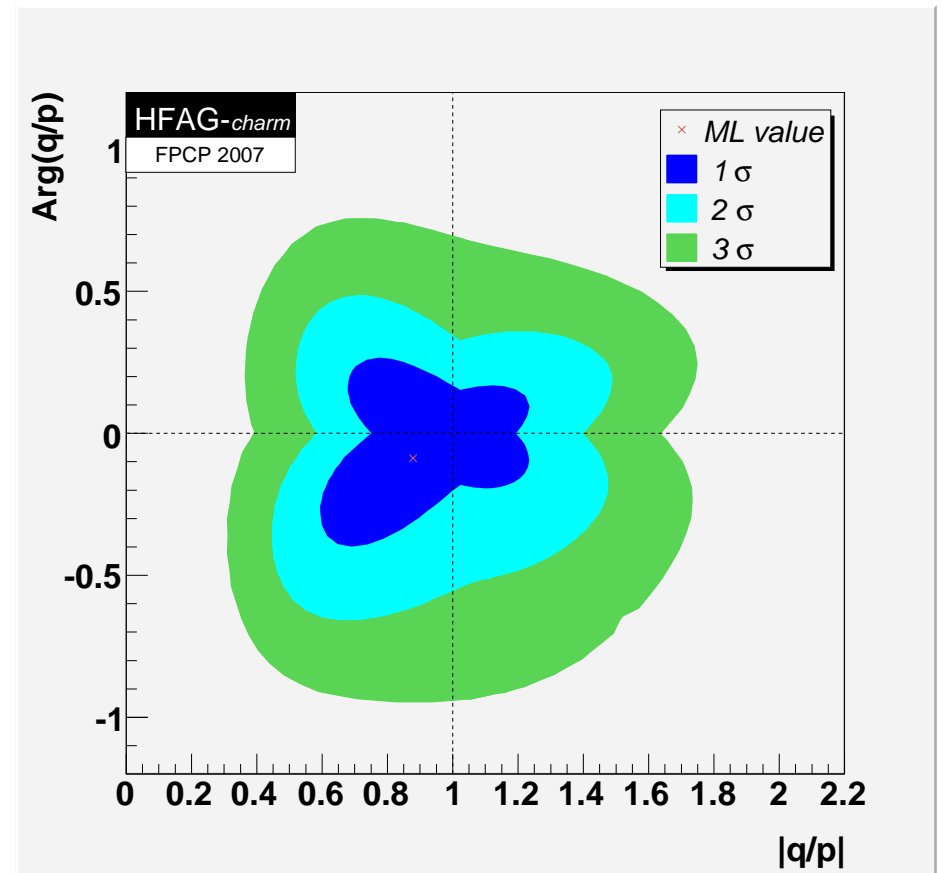
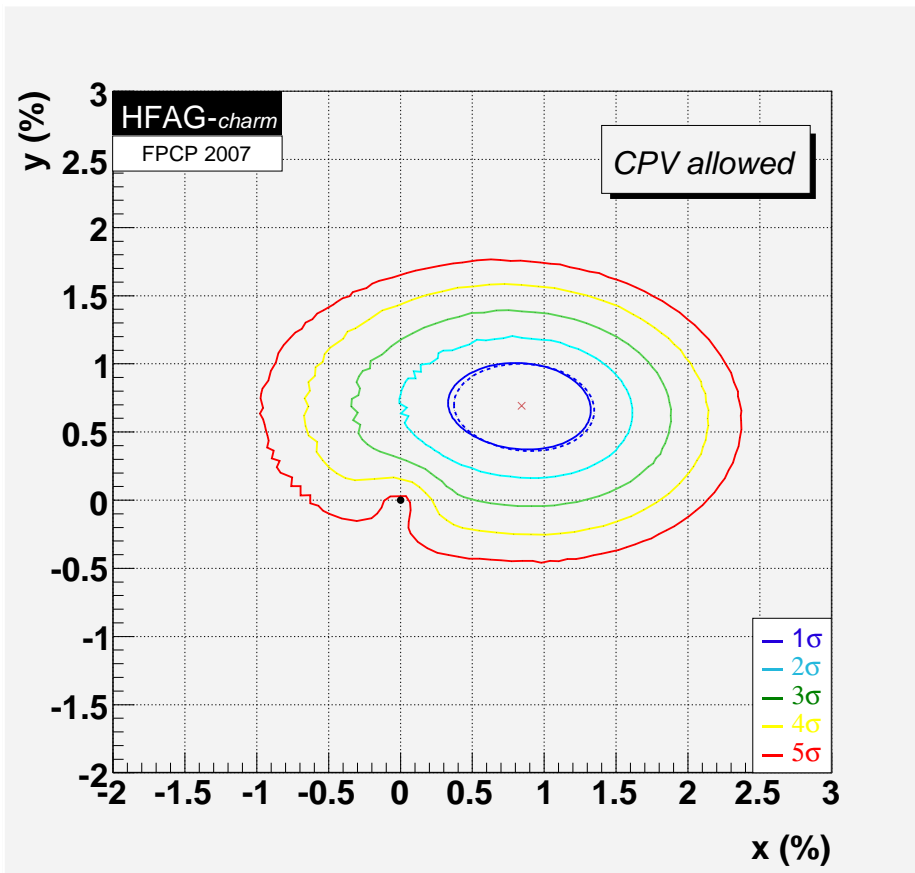
**6 total parameters; in practice, we fit for  $R_D, \overline{R}_D$  and**

$$x'^{\pm} = \left(\frac{1 \pm A_M}{1 \mp A_M}\right)^{1/4} (x' \cos \phi \pm y' \sin \phi)$$

$$y'^{\pm} = \left(\frac{1 \pm A_M}{1 \mp A_M}\right)^{1/4} (y' \cos \phi \mp x' \sin \phi)$$

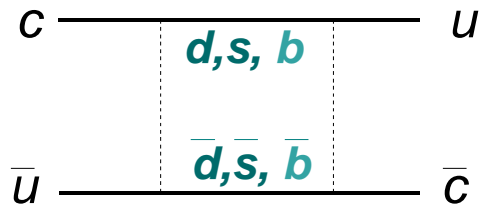
**from these we calculate  $A_D, A_M, \phi, x'$  and  $y'$  (note sign ambiguity for  $x'^{\pm}$ )**

# Older HFAG, with CPV



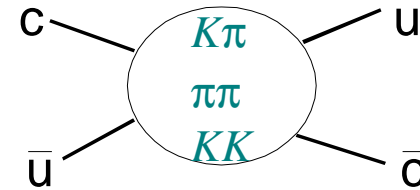
# $D^0$ meson mixing:

e.g., Bianco *et al.*, Riv.Nuov.Cim.26N7-8 (2003)



“box” diagram:  $\Delta m$

- doubly-Cabibbo-suppressed w/r/t  $\Gamma_D$
- GIM cancellation:  $V_{cd}^* V_{ud} + V_{cs}^* V_{us} + V_{cb}^* V_{ub} = 0$



but mixing dominated by long-distance contributions (both  $\Delta m$  and  $\Delta \Gamma$ )

| Meson   | flavors    | $\Delta m/\Gamma$ | $\Delta\Gamma/2\Gamma$ | observed?  |
|---------|------------|-------------------|------------------------|------------|
| $K^0$   | $\bar{s}d$ | 0.474             | 0.997                  | 1958       |
| $B^0$   | $\bar{b}d$ | 0.77              | < 1%                   | 1987       |
| $B_s^0$ | $\bar{b}s$ | 27                | $0.15 \pm 0.07$        | 2006       |
| $D^0$   | $c\bar{u}$ | < 0.029           | $0.011 \pm 0.005$      | March 2007 |

$$x \lesssim y \sim \begin{cases} 10^{-6} - 10^{-3} & \text{(short distance)} \\ 10^{-3} - 10^{-2} & \text{(long distance)} \end{cases}$$

