

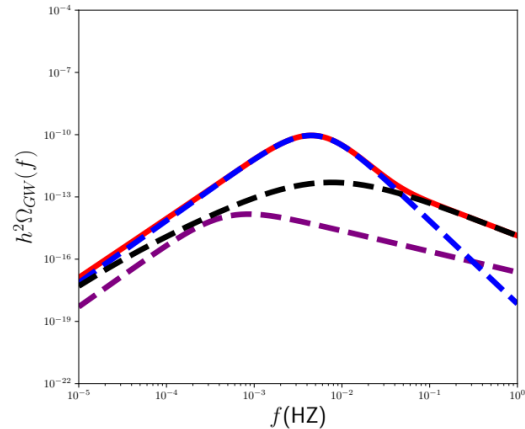


**NNU · 南京师范大学**  
NANJING NORMAL UNIVERSITY



# From first order phase transitions to Gravitational waves

Peter Athron  
(Nanjing Normal University)



Hangzhou: GUTPC

Note **GUTs** do not appear in the title

But this talk *is* relevant for GUTs, its just much broader

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Consider e.g. the following breaking pattern

$$\begin{aligned}SO(10) &\rightarrow SU(4) \times SU(2)_L \times SU(2)_R && \text{Pati-Salam} \\ &\rightarrow SU(3)_C \times SU(2)_L \times SU(2)_R \times U(1)_{B-L} && \text{LR symmetric} \\ &\rightarrow SU(3)_C \times SU(2)_L \times U(1)_Y \\ &\rightarrow SU(3)_C \times U(1)_e\end{aligned}$$

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When one of these steps involves a **first order phase transition...**

**GW signals may arise**

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This talk is mostly an **overview** based on this review article:

- [PA, C. Balázs, A. Fowlie, L. Morris, L. Wu, Prog.Part.Nucl.Phys 135 \(2024\) 104094](#)

+ original insights from related works:

- [PA, C. Balázs, L. Morris, JCAP 03 \(2023\), 006,](#)
- [PA, L. Morris, Z. Xu , arXiv:2309.05474,](#)
- [PA, A. Fowlie, Chih-Ting Lu, L. Morris, L. Wu, Yongcheng Wu, Zhongxiu Xu, arXiv:2306.17239,](#)
- [PA, C. Balázs, T. Gonzalo, M. Pearce, PRD 109 \(2024\) 6, L061303,](#)
- [PA, C. Balázs, A. Fowlie, L. Morris, G. White, Y. Zhang, JHEP 01 \(2023\) 050,](#)
- [PA, C. Balázs, A. Fowlie, G. Pozzo, G. White, Y. Zhang, JHEP 11 \(2019\) 151](#)

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From this talk you will learn:

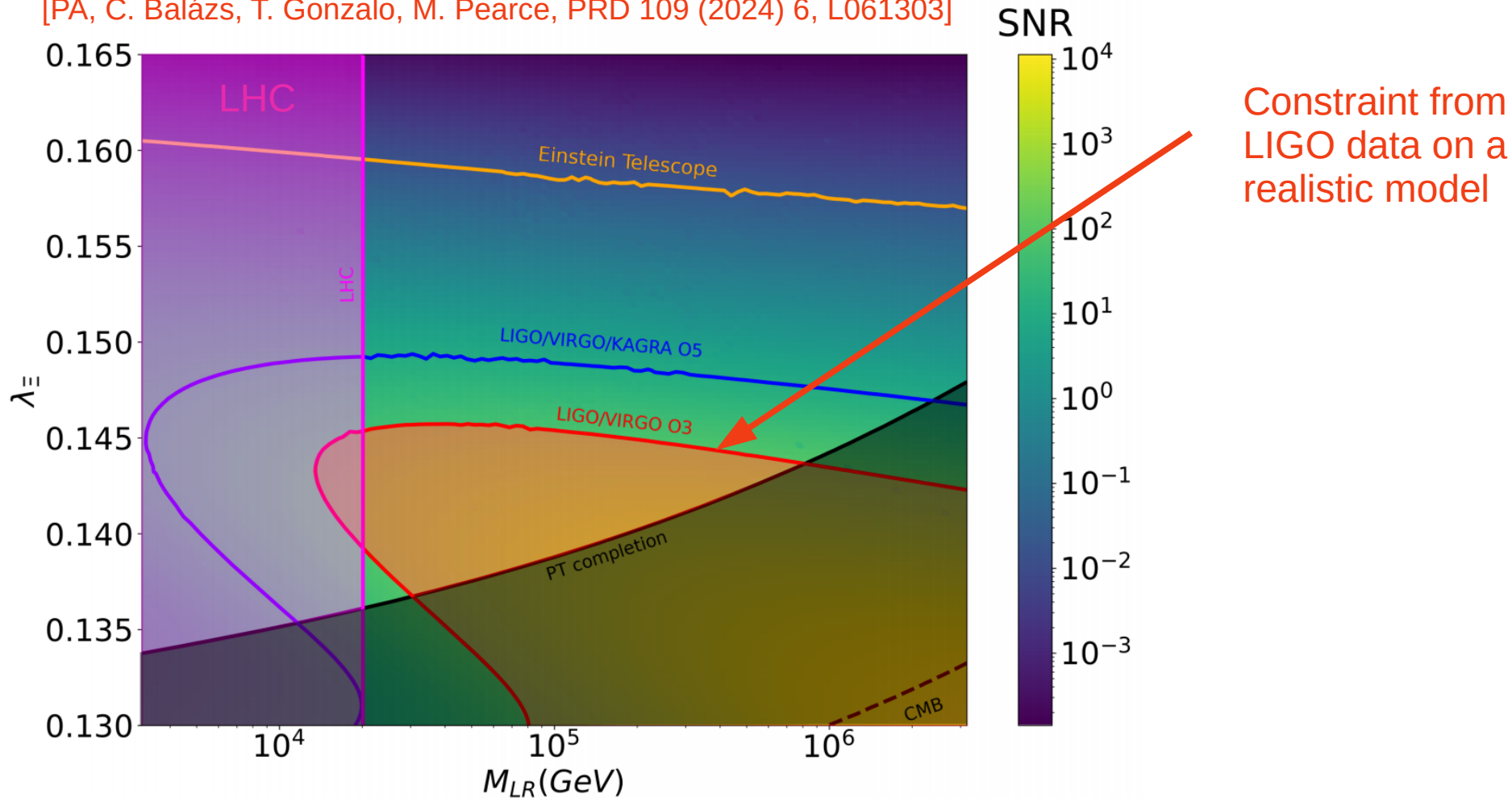
- Why its really important to have robust predictions for GWs now
- State of the art approaches
- Some **big uncertainties** in the predictions from first order phase transitions
- the cost of common approximations

We are entering an era  
where  
robust GWs predictions matter

# Precise GWs predictions matter

LIGO data already constrains well motivated Pati-Salam GUT models

[PA, C. Balázs, T. Gonzalo, M. Pearce, PRD 109 (2024) 6, L061303]

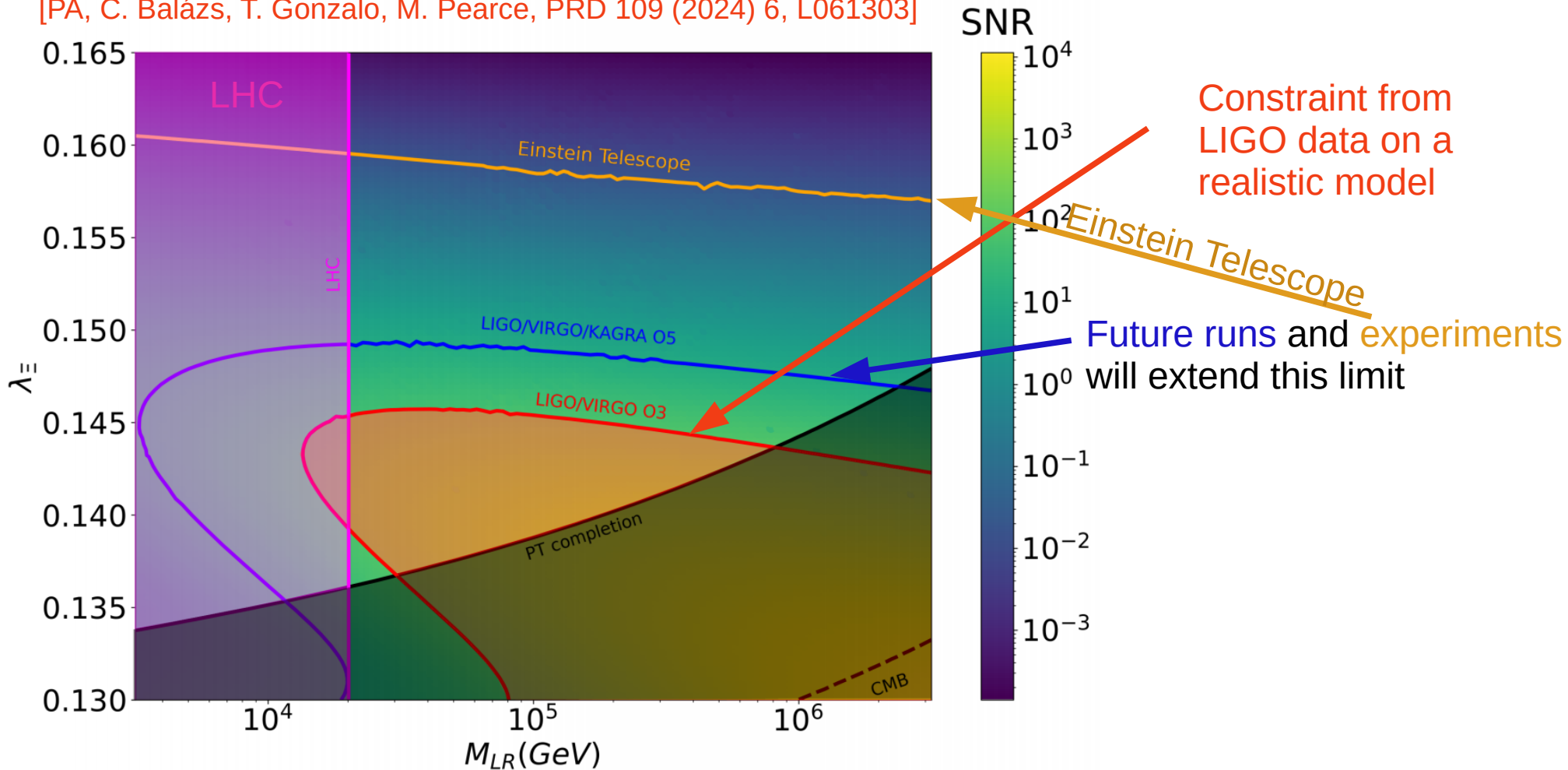




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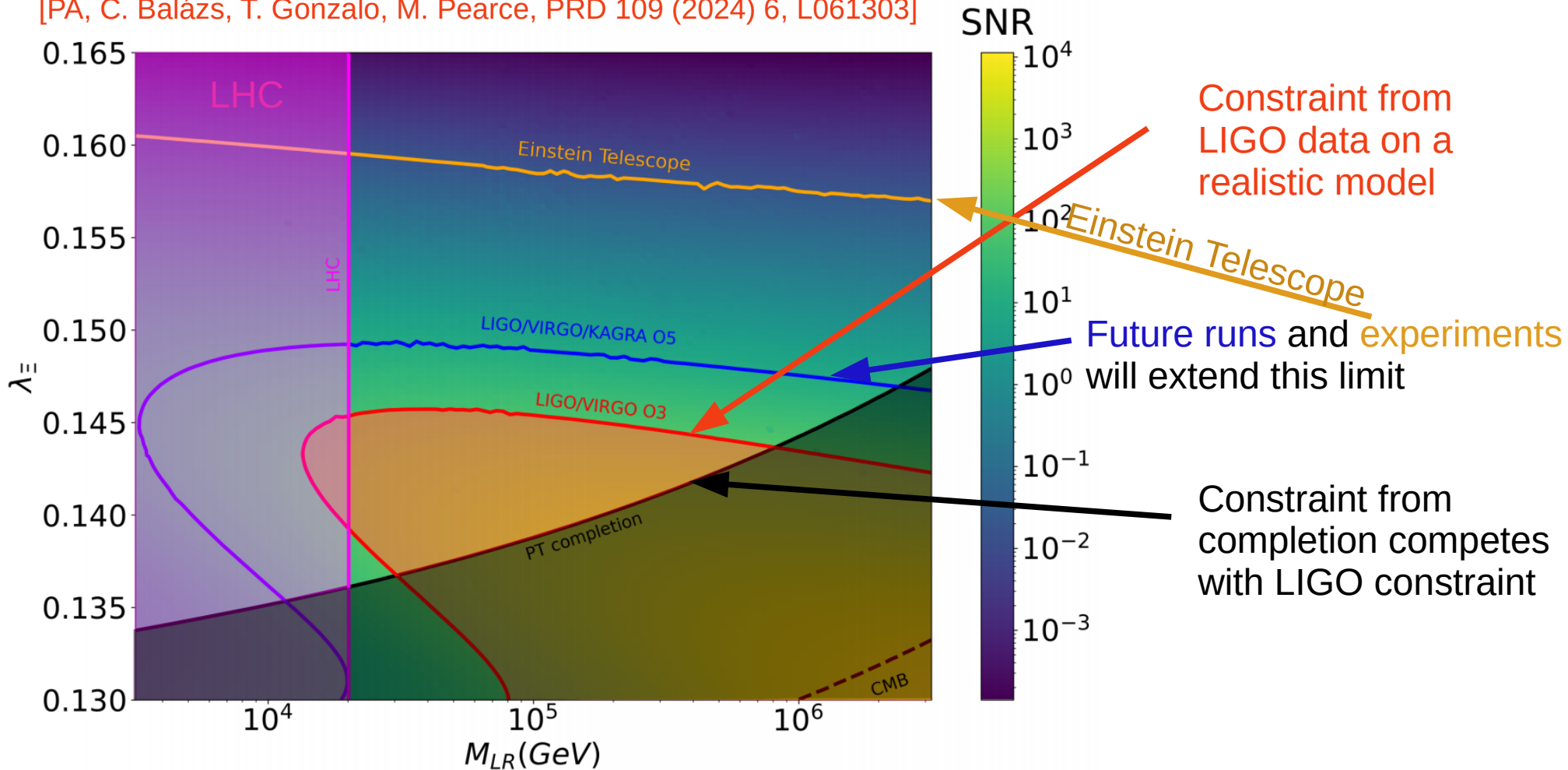
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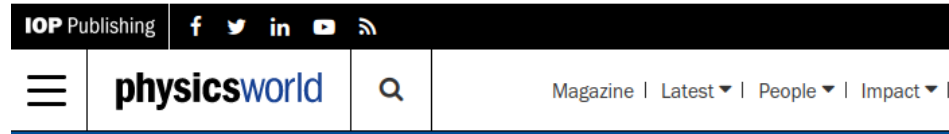
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# Big news last month: A stochastic gravitational wave background has been observed by multiple Pulsar Timing Arrays experiments



ASTRONOMY AND SPACE | RESEARCH UPDATE

## Pulsar timing irregularities reveals hidden gravitational-wave background

29 Jun 2023



Cosmic claims: researchers have used radiotelescopes around the world to hunt for gravitational waves using the subtle variations in the timing of pulsars. (Courtesy: Aurore Simonnet for the NANOGrav Collaboration)

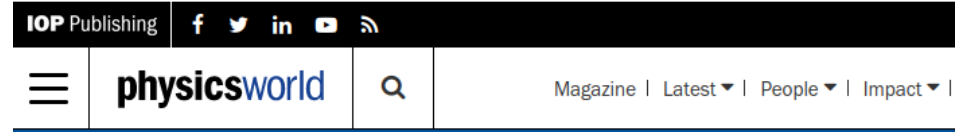
Big news this summer:

A **stochastic gravitational wave background** has been **observed**  
by multiple Pulsar Timing Arrays experiments



Conservative interpretation:  
a population of  
supermassive black holes binaries

But more exotic  
interpretations are possible



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# DOUBLE WARNING

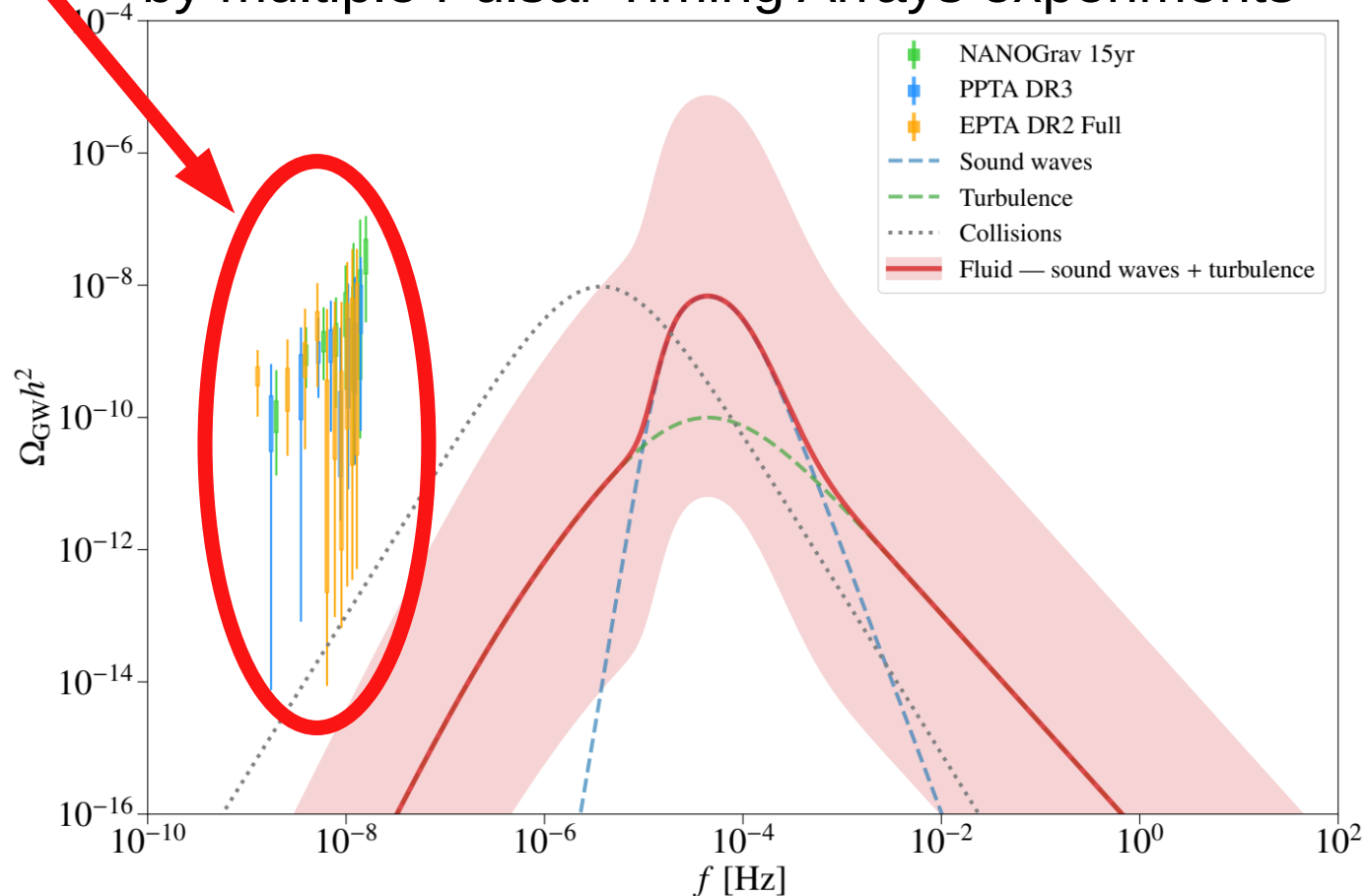


For specific models these predictions require great care!

We looked at one model  
prominently cited by NANOGRAV  
as able to explain nHz signals from PTAs...

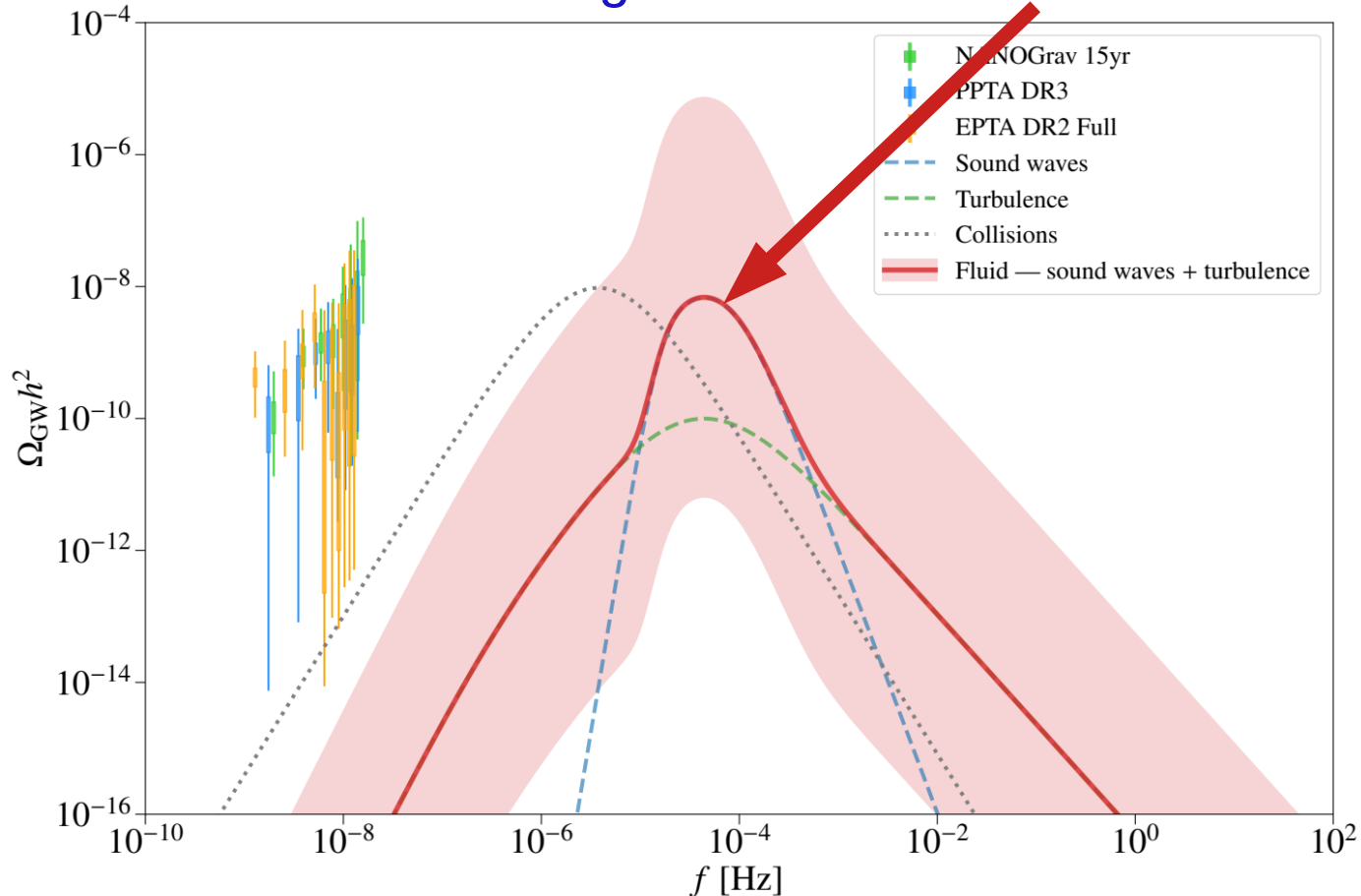
## Big news last month:

A stochastic gravitational wave background has been observed by multiple Pulsar Timing Arrays experiments



But for the prototypical model of supercooled PTs cited by NANOgrav as a possible explanation:

**GWs can't fit the signal with careful calculation**

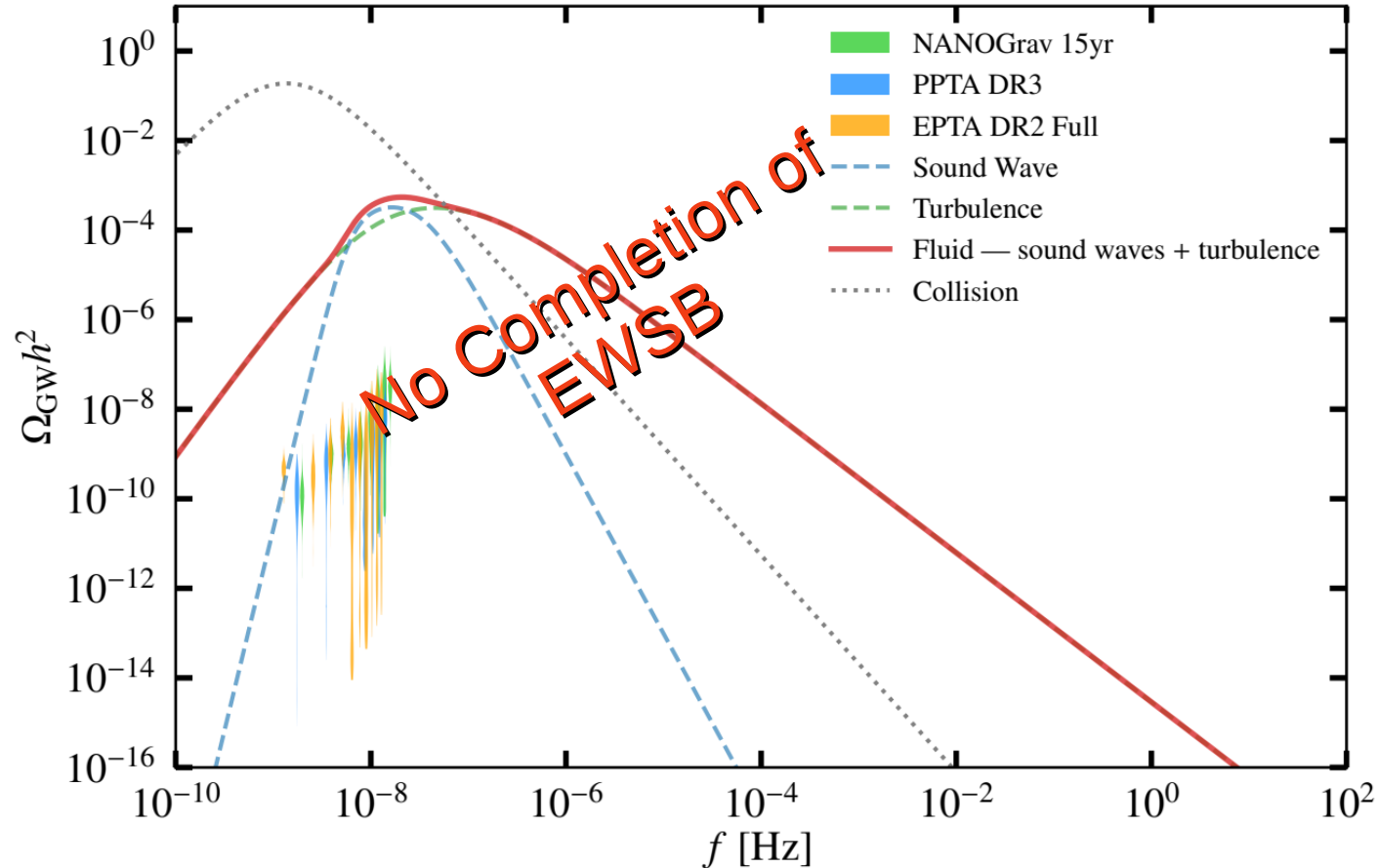


Big news last month:

A stochastic gravitational wave background has been observed  
by multiple Pulsar Timing Arrays experiments

Larger signals are ruled  
out in this model  
because the PT does not  
complete

This is one of the  
subtle effects  
I will discuss today!



[PA, A. Fowlie, Chih-Ting Lu, L. Morris, L. Wu, Yongcheng Wu, Zhongxiu Xu, arXiv:2306.17239]

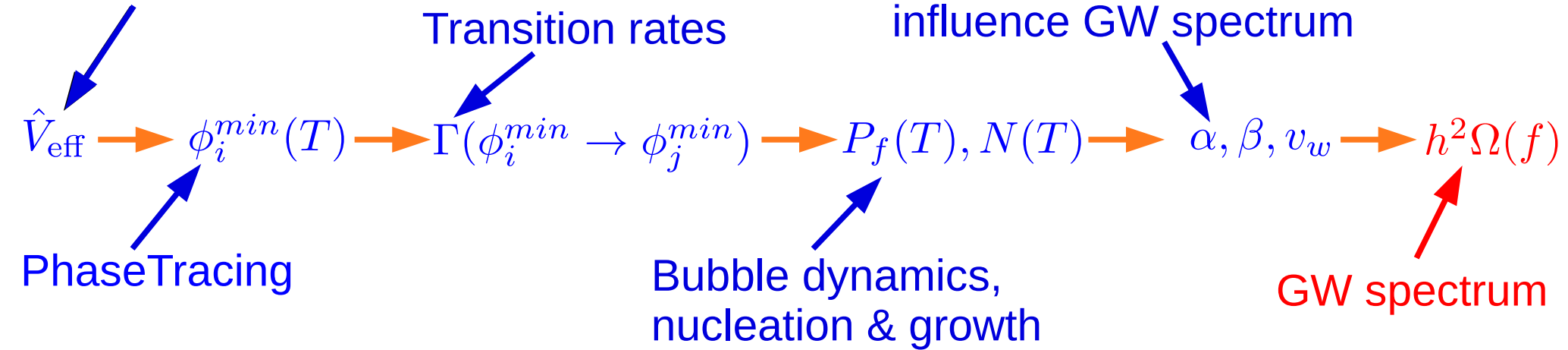


From  
particle physics theory  
to GWs

# From particle physics theory to GWs

There is a long chain of steps needed to make GW predictions

Effective Potential

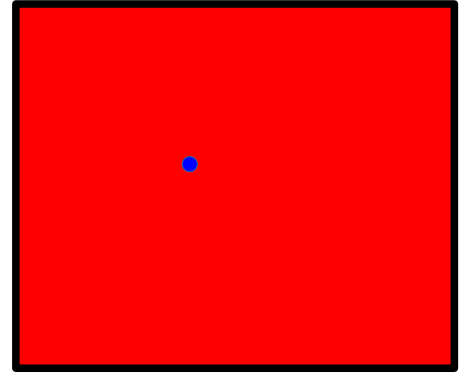


- At **every step** there are challenges :
- open questions & active investigation
  - Tensions between rigour and feasibility,
  - Subtle issues leading to common misunderstandings / mistakes

## Does the Phase transition complete?

Many studies only check **nucleation**

**Nucleation: one bubble per Hubble volume**



Hubble volume

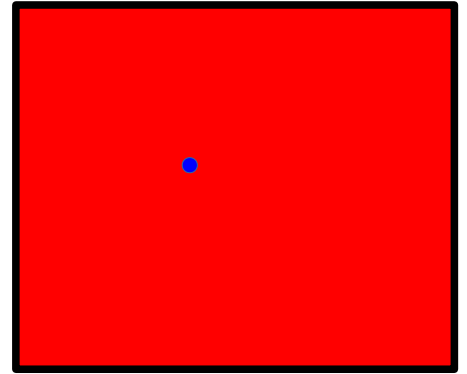
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Often estimated with simple heuristics

$$S(t)/T = 140 \quad \text{“bounce action” in} \quad \Gamma(t) = Ae^{-S(t)}$$



Hubble volume

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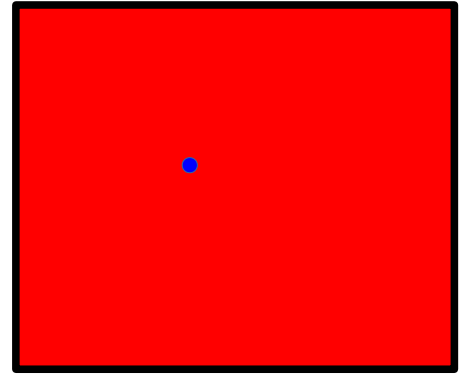
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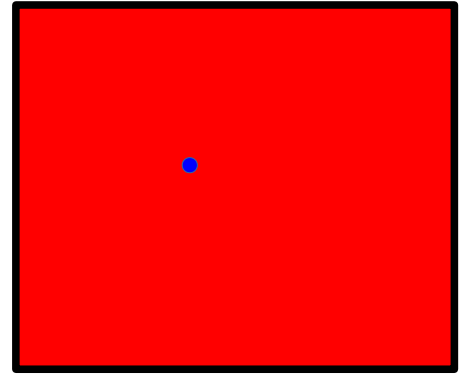
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If the barrier dissolves quickly with temperature

→ Exponential nucleation rate → Bubbles rapidly fill space

“Fast transition” or “low supercooling”



Hubble volume

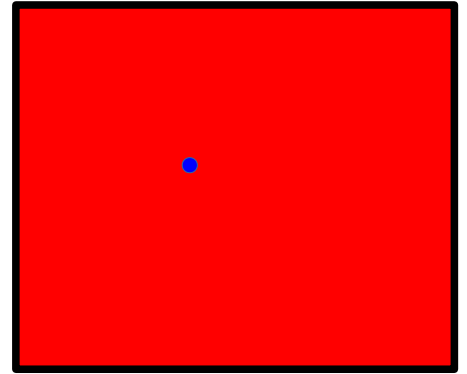
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If the barrier persists to low temperatures,  
→ nucleation rate can reach a maximum



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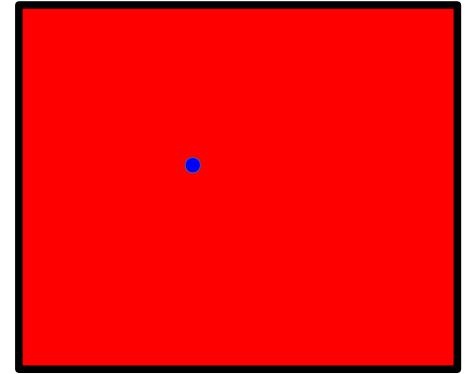
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Hubble volume

For such slow transitions we need the **false vacuum fraction**  $P_f \rightarrow 0$

$$P_f(T) = \exp \left[ -\frac{4\pi}{3} \int_T^{T_c} \frac{dT'}{T'^4} \frac{\Gamma(T')}{H(T')} \left( \int_T^{T'} dT'' \frac{v_w(T'')}{H(T'')} \right)^3 \right]$$

Stochastic so  
actually check:

$$P_f < \epsilon$$



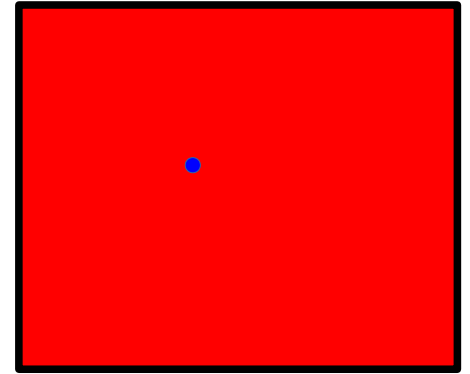
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**Warning: even this is not enough because space is expanding**

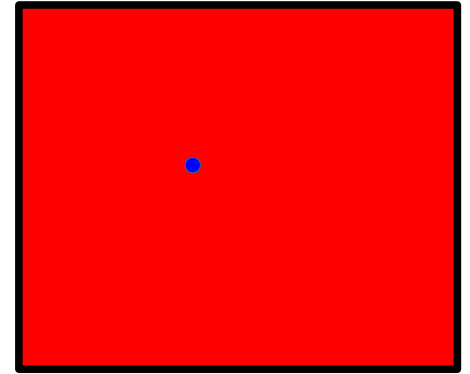
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**Account for expansion of space-time and check**  $\frac{d\mathcal{V}_f^{\text{phys}}}{dT} < 0$

# Gravitational wave amplitude and frequency

Each component of the amplitude  $h^2\Omega_{\text{GW-tot}} = h^2\Omega_{\text{coll}} + h^2\Omega_{\text{sw}} + h^2\Omega_{\text{turb}}$

is defined in terms of the energy density  $\rho$  via  $\Omega_{\text{GW}}(f) \equiv \frac{1}{\rho_{\text{tot}}} \frac{d\rho_{\text{GW}}}{d \ln f}$

$$\Omega_{\text{GW}}(f) \propto R_{\Omega} K^n L^m$$

Diagram illustrating the components of the gravitational wave amplitude:

- $R_{\Omega}$  is labeled as the **redshift factor** (indicated by a red arrow).
- $K^n$  is labeled as the **Kinetic energy fraction** (indicated by a blue arrow).
- $L^m$  is labeled as the **Length scale related to duration** (indicated by a purple arrow).

Redshift factor to account for redshifting from the transition time to today

Kinetic energy fraction is the energy that can be available to source GWs

Length scale that is sensitive to the lifetime of the source

Implicit dependence of the transition temperature and the velocity the bubble walls expand also influences things

Powers depend on the source and the modelling, coefficients found in simulation/calculations

# Kinetic energy fraction

Common approach to generalise bag model

Kinetic energy fraction  $\rightarrow K = \frac{\kappa \alpha_i}{1 + \alpha_i} \sim \text{energy released by PT}$

Efficiency coefficient

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pressure

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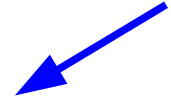
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overestimate

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“Latent heat”

>

$$\alpha_\theta = \frac{\Delta(V - \frac{1}{4}T \frac{\partial V}{\partial T})}{\rho_R}$$

Trace anomaly  $\theta = \frac{1}{4}(\rho - 3p)$

>

$$\alpha_p = \frac{\Delta p}{\rho_R}$$

pressure

underestimate



# Kinetic energy fraction

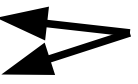
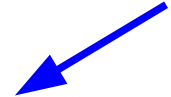
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$\mathcal{O}(10)$

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Important for fast transitions

[PA, L. Morris, Z. Xu , arXiv:2309.05474]

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pressure

$\mathcal{O}(1/10)$

underestimate





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Common approach to generalise bag model

Efficiency coefficient

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pressure

underestimate



New improvement

$$K = \frac{\bar{\theta}_f(T_*) - \bar{\theta}_t(T_*)}{\rho_{\text{tot}}(T_*)} \kappa_{\bar{\theta}}(\alpha_{\bar{\theta}}(T_*), c_{s,f}(T_*)) \quad \bar{\theta} = \frac{1}{4}(\rho - \frac{p}{c_{s,t}^2})$$

## Time scales / length scales

Lattice simulations use the **mean bubble separation**

$$R_{\text{sep}}(T) = (n_B(T))^{-\frac{1}{3}} \quad n_B(T) = T^3 \int_T^{T_c} dT' \frac{\Gamma(T') P_f(T')}{T'^4 H(T')}$$

 bubble number density

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Often estimated by Taylor expanding the **bounce action**  $\Gamma(t) = A e^{-S(t)}$

$$S(t) \approx S(t_*) + \left. \frac{dS}{dt} \right|_{t=t_*} (t - t_*) + \frac{1}{2} \left. \frac{d^2 S}{dt^2} \right|_{t=t_*} (t - t_*)^2 + \dots,$$

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**1<sup>st</sup> order**  $\longrightarrow$  exponential nucleation rate  $\Gamma(t) = \Gamma(t_*) \exp(\beta(t - t_*))$ ,

$$\beta = - \left. \frac{dS}{dt} \right|_{t=t_*} = HT_* \left. \frac{dS}{dT} \right|_{T=T_*}$$

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**Only valid for fast transitions (weak supercooling)**

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**Only valid for fast transitions (weak supercooling)**

Even for fast transitions can give factor 2 or 3 error

The temperature choice really matters  
for gravitational wave signatures



The **nucleation temperature** is frequently used for evaluating GW signals

$$N(T_n) = 1$$

$$N(T) = \int_T^{T_c} dT' \frac{\Gamma(T')}{T' H^4(T')}$$

But it may happen long before collisions or long after **or may not even exist...**

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False vacuum fraction  $\longrightarrow$  several important milestone temperatures

Completion temperature:  $T_f: P_f(T_f) = 0.01$

Percolation temperature:  $T_p: P_f(T_p) = 0.71$

$T_e: P_f(T_e) = 1/e$

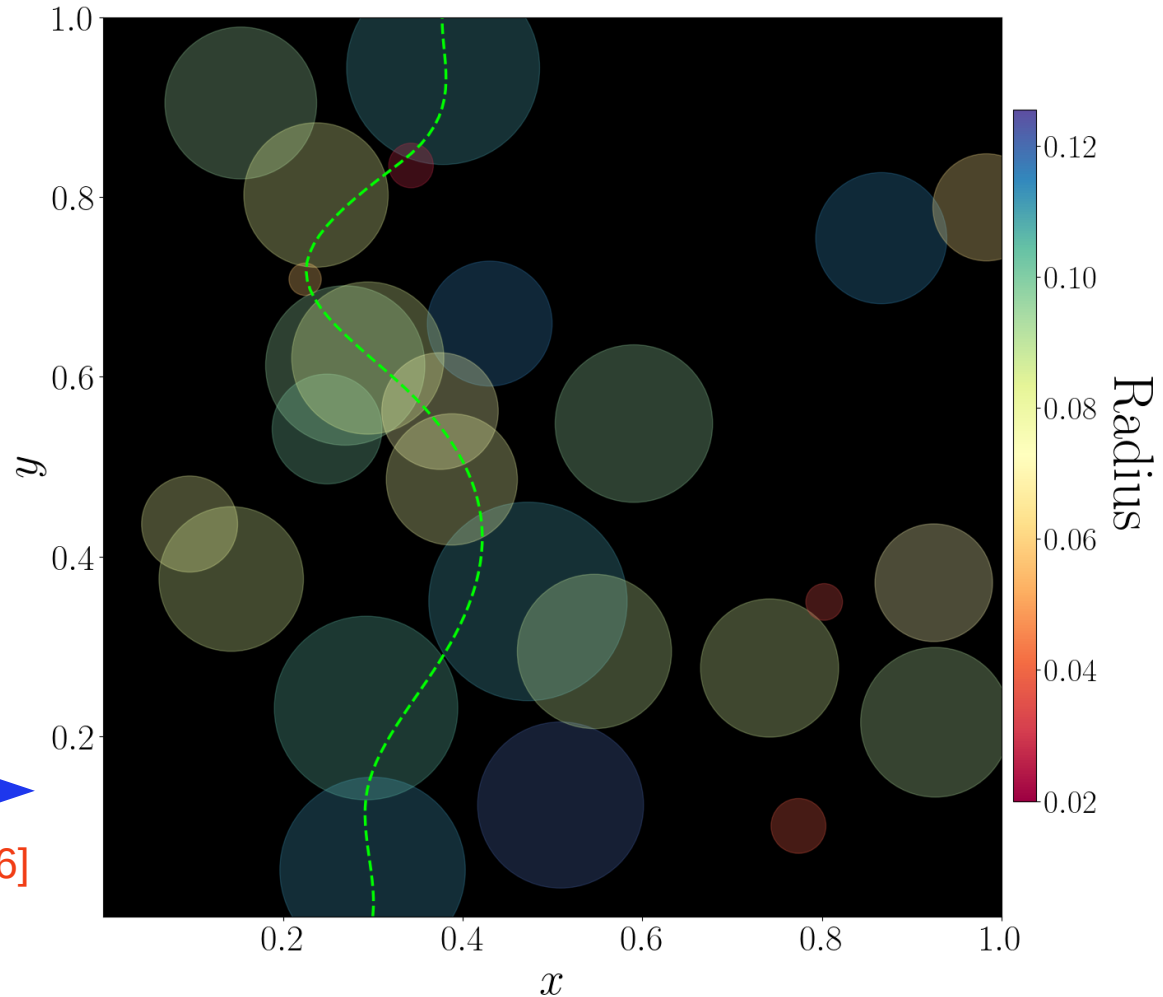
# Percolation temperature

$$T_p: P_f(T_p) = 0.71$$

- Percolation is when there is a connected path between bubbles across the space
- Strongly linked to bubble collisions
- Good choice for a temperature at which to evaluate the GWs spectrum

Example from simple simulation 

[PA, C. Balázs, L. Morris, JCAP 03 (2023), 006]



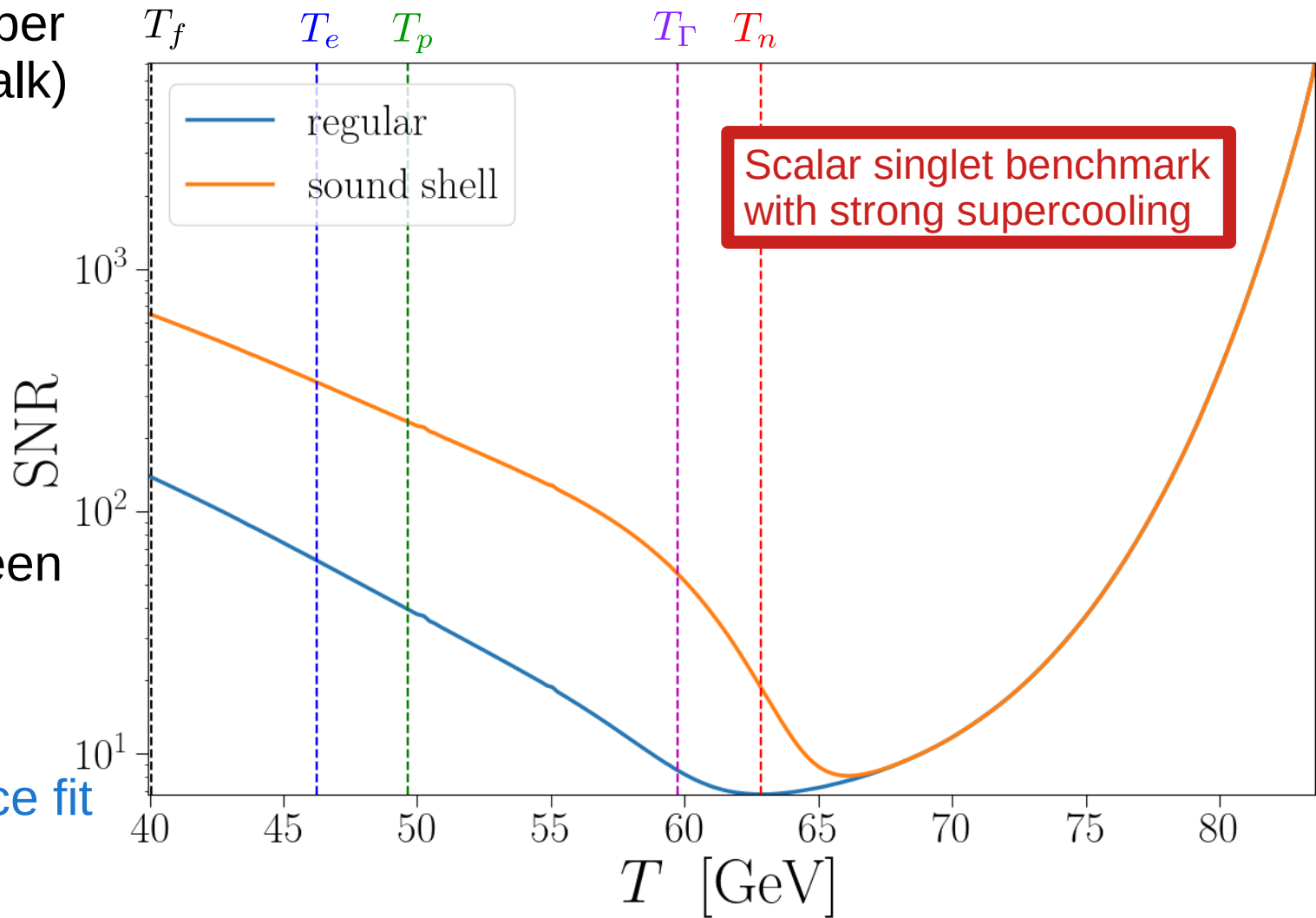
# Temperature dependence

Point from same paper  
(plot made for this talk)

Slow transition,  
**nucleation**  
far earlier than  
**percolation**

Detectability  
(SNR for LISA)  
very different between  
**percolation** vs  
**nucleation!**

**Sound shell** and **lattice fit**  
also very different



# Temperature dependence

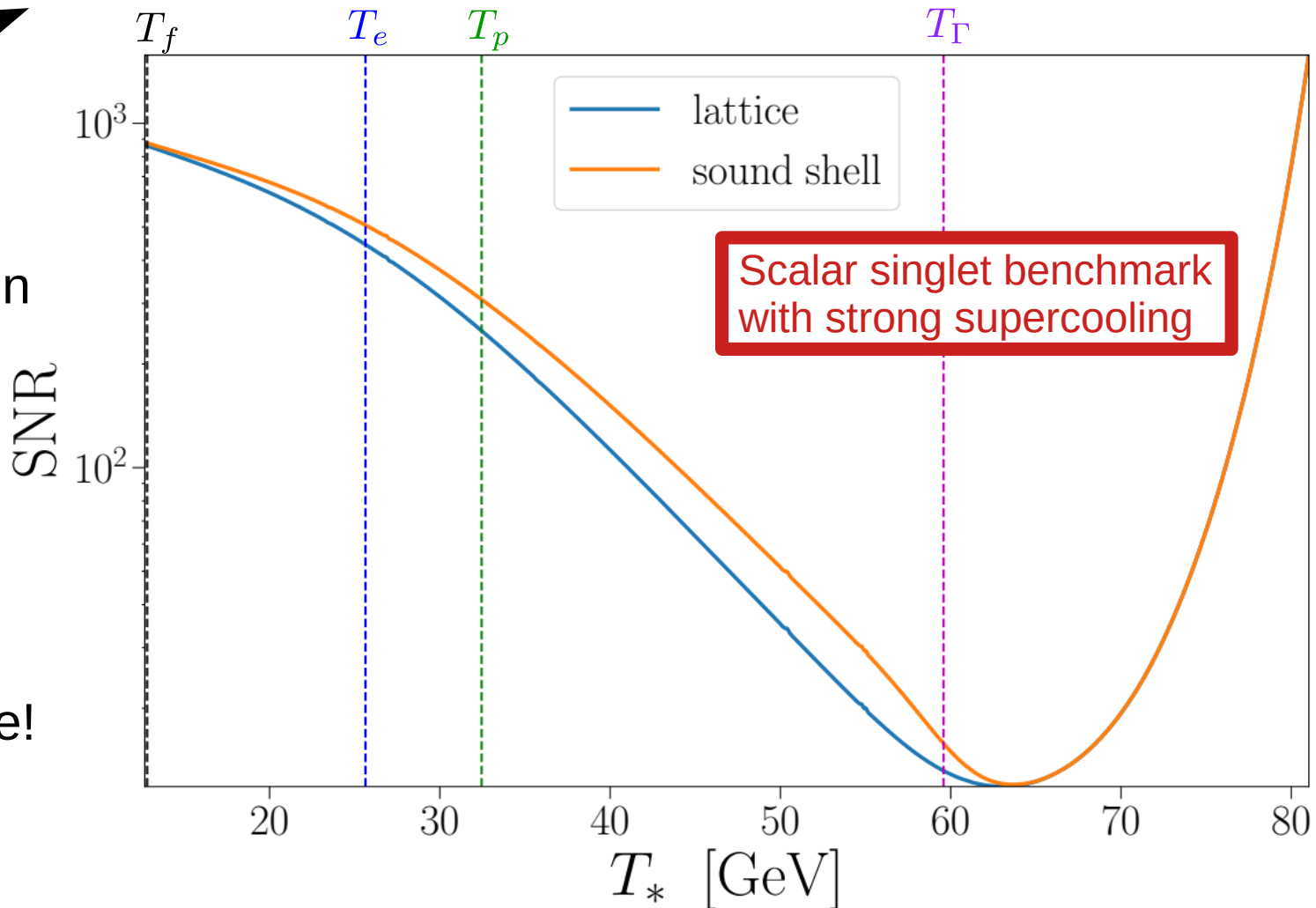
[PA, L. Morris, Z. Xu, arXiv:2309.05474]



From here  
(but plot simplified)

Another slow transition  
but **percolates** and  
completes *before*  
**nucleation**

LISA SNR  
varies more than  
an order of magnitude!



Scalar singlet benchmark  
with strong supercooling

# Temperature dependence

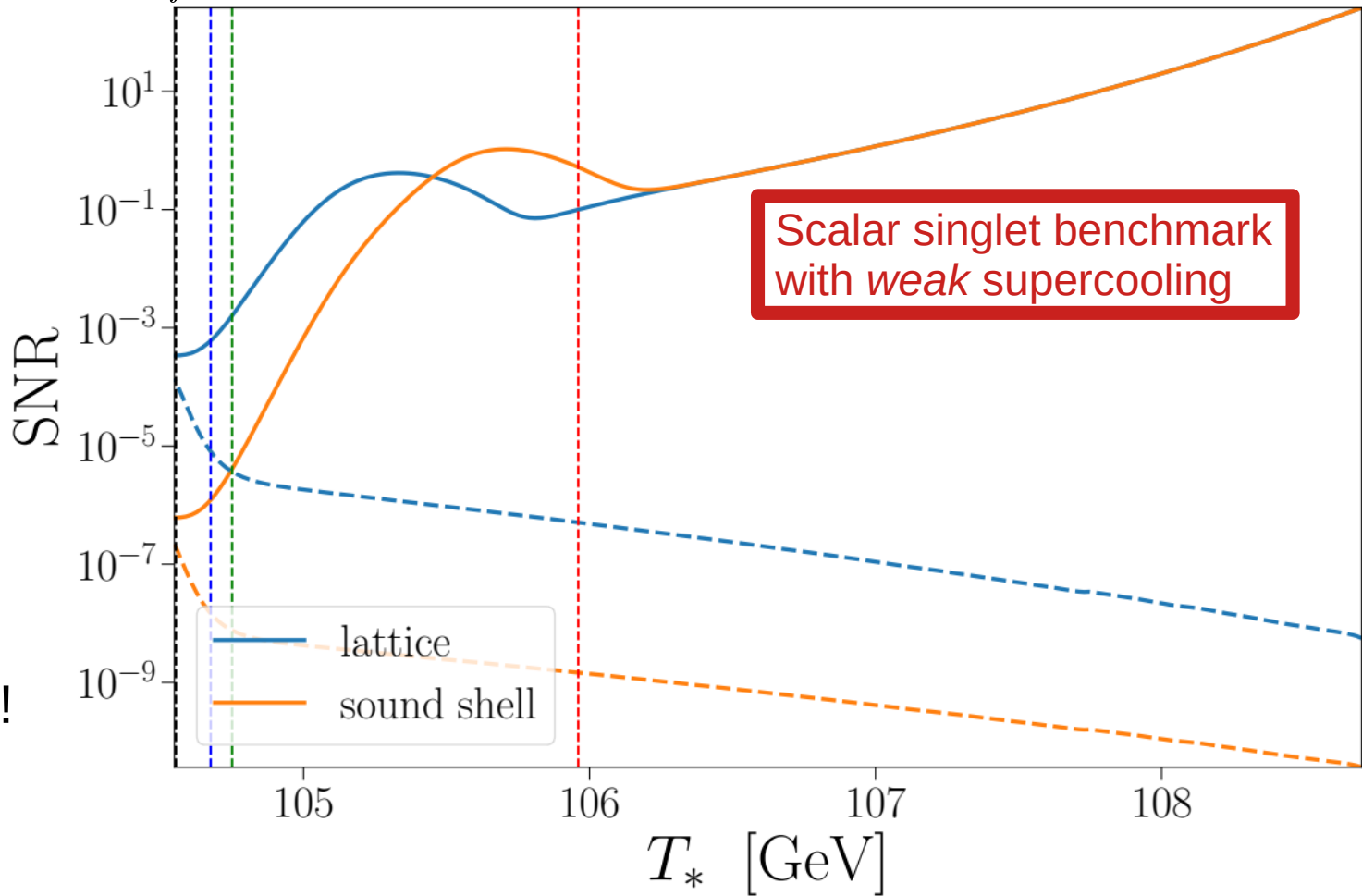
[PA, L. Morris, Z. Xu, arXiv:2309.05474]

$T_f T_e T_p$   $T_n$

Plot from here

A **fast** transition still has big variation between  $T_n$  &  $T_p$

LISA SNR Still varies more by orders of magnitude!



## Temperature dependence

Many studies evaluate GW spectrim at the **nucleation temperature**

But the **nucleation temperature** is not really conncted to bubble collisions

**Percolation** is directly defined in terms of contact between bubbles

**Nucleation** is a bad choice, **Percolation** much better, but...

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—————▶ **Temperature dependence represents a significant uncertainty**

# Numerical Packages

The good news is many of these issues can be avoided with careful numerical implementations

We are developing a set of numerical packages for PhaseTransitions:  
[PhaseTracer](#), [BubbleProfiler](#) and...

[TransitionSolver](#) is designed to treat nucleation and Gws as well as can feasibly be done in BSM studies

[TransitionSolver](#) finds possible FOPTs, checks they complete, computes thermal parameters and gravitational wave spectra as well as we are able.

→ v1 Release is imminent, ETA by end of ~~summer~~ winter 2023

→ Future releases (v2) will automate effective potential,  
Combine with [PhaseTracer 2](#) / [BubbleProfiler 2](#)  
link to [DRalgo](#) and [BubbleDet](#) for best feasible handling  
of the effective potential and nucleation rate!

# Conclusions

- Very exciting recent results indicate we have entered an era where GW experiments have sensitivity to SGBG from BSM physics **including scenarios with grand unification**
- Now it's very important to do calculations as carefully as possible – Many issues:
  - \* Effective potential – IR divergences, scale & gauge dependence
  - \* Vacuum Decay – bounce, double counting, and prefactor
  - \* Completion of the Phase Transition
  - \* Reference Temperature dependence of GW predictions.
  - \* Thermal parameters - kinetic energy & length scales (& bubble wall vlocity)
- It's very important that the theory community takes this seriously and BSM predictions are done as well as possible, as well as improving methods and understanding of uncertainties.
- **We hope our review helps:**

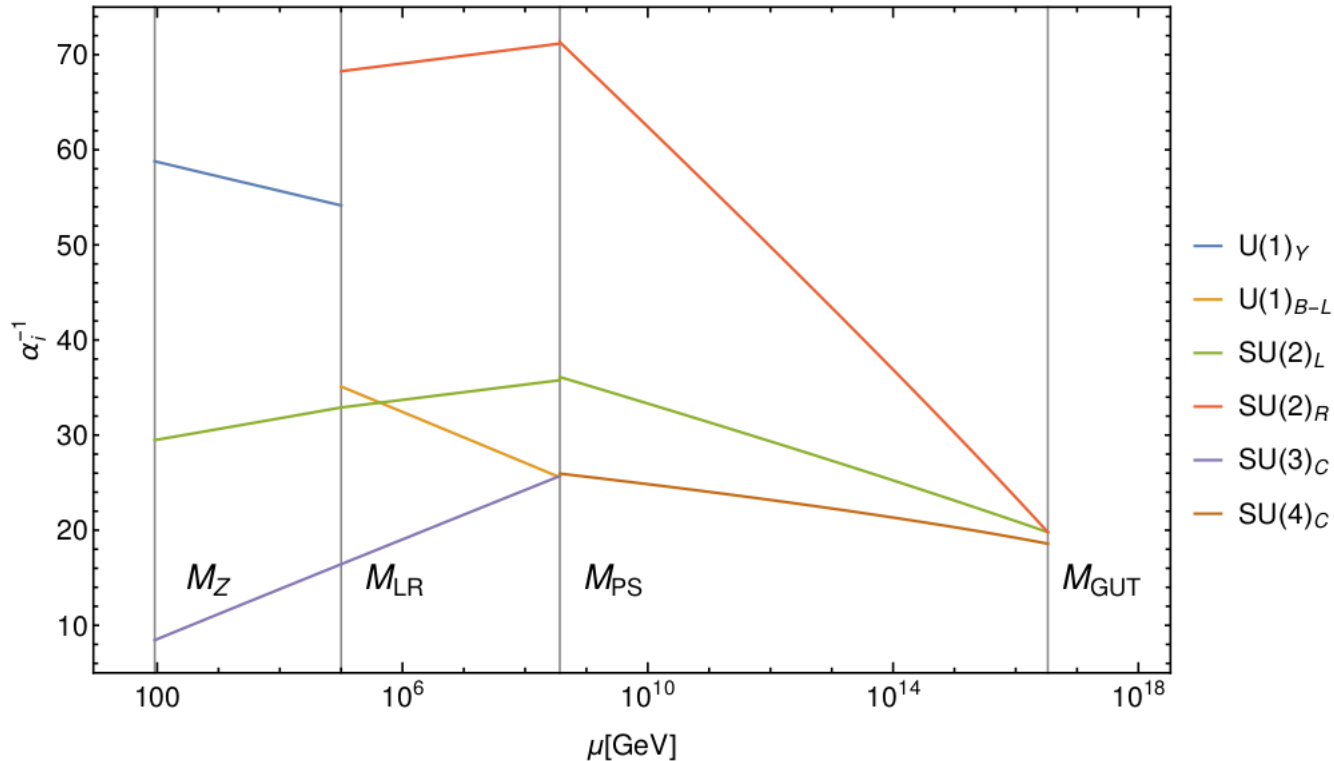
PA, Csaba Balazs, Andrew Fowlie, Lachlan Morris, Lei Wu,  
Prog.Part.Nucl.Phys 135 (2024) 104094

The END

Thanks for listening!

# Pati-Salam two step grand unification

$$\begin{aligned}SO(10) &\rightarrow SU(4) \times SU(2)_L \times SU(2)_R \\ &\rightarrow SU(3)_C \times SU(2)_L \times SU(2)_R \times U(1)_{B-L} \\ &\rightarrow SU(3)_C \times SU(2)_L \times U(1)_Y\end{aligned}$$



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### Scalar fields at the Pati-Salam scale

Fields	$SU(4)_c$	$SU(2)_L$	$SU(2)_R$	Purpose
$\phi$	1	2	2	Breaks SM
$\Delta_R$	$\overline{10}$	1	3	Breaks LR
$\Delta_L$	$\overline{10}$	3	1	Seesaw
$\Xi$	15	1	1	Breaks PS
$\Omega_R$	15	1	3	Unification

# Gravitational waves and thermal parameters

Lattice fit to single broken power law for sound wave source :

[M. Hindmarsh, S. J. Huber, K. Rummukainen and D. J. Weir, PRD 96 (2017) 103520]

$$h^2 \Omega_{\text{sw}}^{\text{lat}}(f) = 5.3 \times 10^{-2} R_{\Omega} K^2 \left( \frac{H L_*}{c_{s,f}} \right) \Upsilon(\tau_{\text{sw}}) S_{\text{sw}}(f),$$

Speed of sound in false vacuum  $\rightarrow$   $c_{s,f}$   $\leftarrow$  Accounts for finite lifetime of source  $\leftarrow$  Shape

Sound shell model:

[Hindmarsh PRL 120 (2018) 071301, (+Hijazi) JCAP 12 (2019) 062, + (C. Gowling, D.C. Hooper and J. Torrado), JCAP 04 (2023) 061]

$$h^2 \Omega_{\text{sw}}(f) = 0.03 R_{\Omega} K^2 \left( \frac{H_* L_*}{c_{s,f}} \right) \Upsilon(\tau_{\text{sw}}) \frac{M(s, r_b, b)}{\mu_f(r_b)} \leftarrow \text{Shape}$$

Sound shell model is new but very promising

Turbulence also contributes, but not well modeled



Significant uncertainty!



# Comparison of predictions for a weakly supercooled point

[PA, L. Morris, Z. Xu, arXiv:2309.05474]

Variation	$h^2\Omega_{\text{sw}}^{\text{lat}}$ ( $\times 10^{-13}$ )	$h^2\Omega_{\text{sw}}^{\text{ss}}$ ( $\times 10^{-14}$ )	$f_{\text{sw}}^{\text{lat}}$ ( $\times 10^{-5}$ )	$f_{\text{sw}}^{\text{ss}}$ ( $\times 10^{-4}$ )	$h^2\Omega_{\text{turb}}$ ( $\times 10^{-16}$ )	$f_{\text{turb}}$ ( $\times 10^{-5}$ )	$\text{SNR}_{\text{lat}}$	$\text{SNR}_{\text{ss}}$	$\alpha$ ( $\times 10^{-2}$ )	$\kappa$	$K$ ( $\times 10^{-3}$ )
None	3.590	5.673	129.6	60.20	3.898	287.0	10.08	2.031	5.450	0.2074	10.64
$T_* = T_e$	2.552	4.042	159.9	73.75	2.662	354.2	8.763	1.204	5.575	0.2096	10.99
$T_* = T_f$	2.146	3.410	181.7	82.91	2.187	402.5	8.110	0.8892	5.771	0.2129	11.54
$T_* = T_n$	676.5	1046	2.189	1.098	8968	4.849	1.310	5.142	4.297	0.1857	7.597
$R_{\text{sep}}(\beta)$	2.019	3.191	177.5	82.45	2.078	393.1	7.510	0.8449			
$K(\alpha(\theta))$	3.372	5.329			3.676		9.469	1.908	5.362	0.2011	10.23
$K(\alpha(p))$	1.428	2.256			1.649		4.010	0.8081	3.698	0.1682	5.997
$K(\alpha(\rho))$	14.45	22.84			14.61		40.59	8.172	10.35	0.2736	25.68
$\epsilon_2$					11.03		10.11	2.064			
$\epsilon_3$					290.2		11.21	3.406			
$\epsilon_4$					301.7		11.26	3.462			

# Comparison of predictions for a weakly supercooled point in SSM

[PA, L. Morris, Z. Xu, arXiv:2309.05474]

Differences in K: trace anomaly approximation is quite good in this case

Variation	$h^2\Omega_{\text{sw}}^{\text{lat}}$ ( $\times 10^{-13}$ )	$h^2\Omega_{\text{sw}}^{\text{ss}}$ ( $\times 10^{-14}$ )	$f_{\text{sw}}^{\text{lat}}$ ( $\times 10^{-5}$ )	$f_{\text{sw}}^{\text{ss}}$ ( $\times 10^{-4}$ )	$h^2\Omega_{\text{turb}}$ ( $\times 10^{-16}$ )	$f_{\text{turb}}$ ( $\times 10^{-5}$ )	$\text{SNR}_{\text{lat}}$	$\text{SNR}_{\text{ss}}$	$\alpha$ ( $\times 10^{-2}$ )	$\kappa$	$K$ ( $\times 10^{-3}$ )
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# Comparison of predictions for a weakly supercooled point in SSM

[PA, L. Morris, Z. Xu, arXiv:2309.05474]

Differences in sound wave amplitude (sound shell): latent heat (and pressure) variants give substantial differences

Variation	$h^2\Omega_{\text{sw}}^{\text{lat}}$ ( $\times 10^{-13}$ )	$h^2\Omega_{\text{sw}}^{\text{ss}}$ ( $\times 10^{-14}$ )	$f_{\text{sw}}^{\text{lat}}$ ( $\times 10^{-5}$ )	$f_{\text{sw}}^{\text{ss}}$ ( $\times 10^{-4}$ )	$h^2\Omega_{\text{turb}}$ ( $\times 10^{-16}$ )	$f_{\text{turb}}$ ( $\times 10^{-5}$ )	$\text{SNR}_{\text{lat}}$	$\text{SNR}_{\text{ss}}$	$\alpha$ ( $\times 10^{-2}$ )	$\kappa$	$K$ ( $\times 10^{-3}$ )
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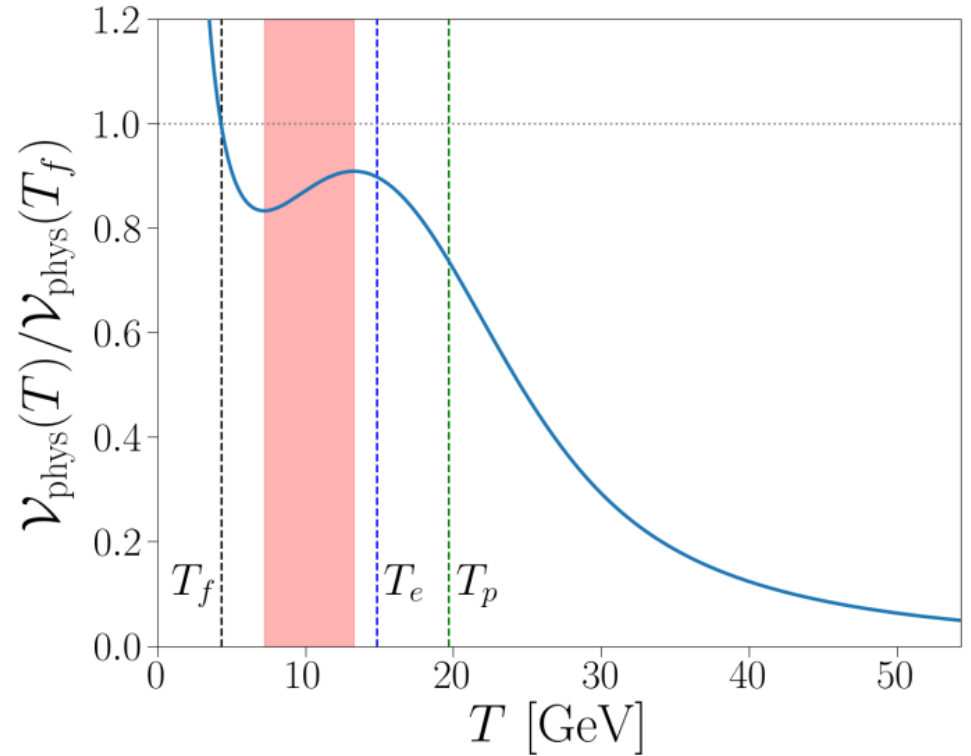
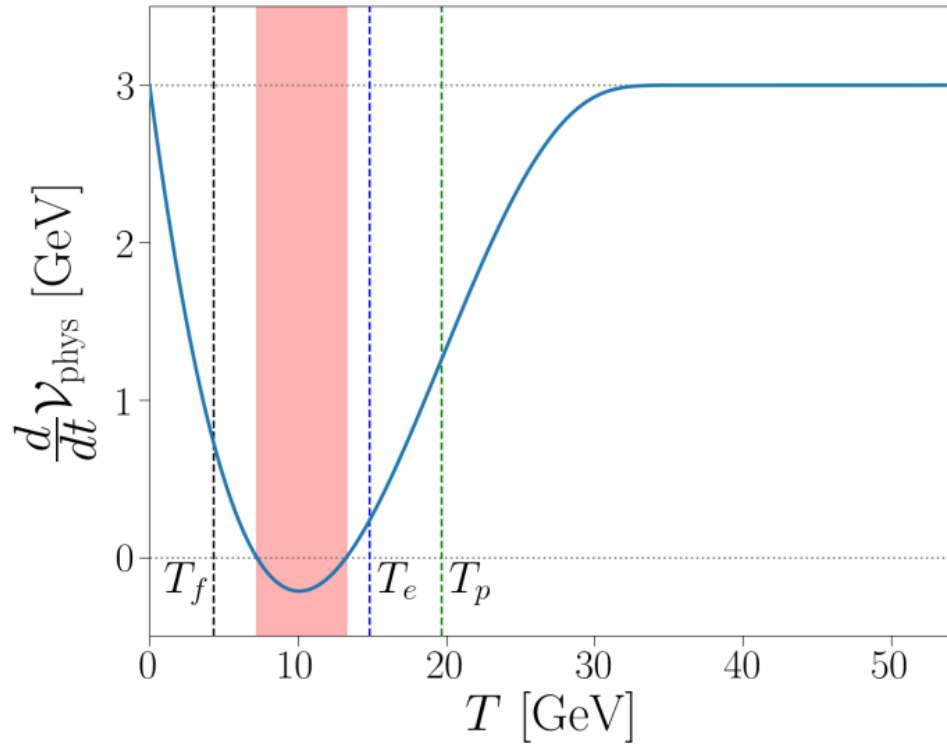
# Comparison of predictions for a strongly supercooled point

[PA, L. Morris, Z. Xu, arXiv:2309.05474]

However the variation in  $K$  estimates is much smaller for strongly supercooled scenarios

Variation	$h^2\Omega_{\text{sw}}^{\text{lat}}$ ( $\times 10^{-7}$ )	$h^2\Omega_{\text{sw}}^{\text{ss}}$ ( $\times 10^{-8}$ )	$f_{\text{sw}}^{\text{lat}}$ ( $\times 10^{-6}$ )	$f_{\text{sw}}^{\text{ss}}$ ( $\times 10^{-6}$ )	$h^2\Omega_{\text{turb}}$ ( $\times 10^{-10}$ )	$f_{\text{turb}}$ ( $\times 10^{-6}$ )	$\text{SNR}_{\text{lat}}$	$\text{SNR}_{\text{ss}}$	$\alpha$	$\kappa$	$K$
None	1.861	3.748	9.345	23.48	6.348	20.70	249.6	307.7	1.651	0.7175	0.4536
$T_* = T_e$	4.318	8.872	7.908	19.12	14.74	17.52	443.7	498.2	4.257	0.8422	0.6950
$T_* = T_f$	17.04	35.42	4.111	9.722	81.84	9.106	864.5	876.4	71.06	0.9831	0.9803
$R_{\text{sep}}(\beta_V)$	1.193	2.402	12.80	32.17	3.394	28.36	222.6	356.9			
$K(\alpha(\theta))$	1.819	3.663			6.227		244.9	301.5	1.605	0.7269	0.4478
$K(\alpha(p))$	1.768	3.560			6.083		239.2	294.2	1.564	0.7269	0.4409
$K(\alpha(\rho))$	1.967	3.962			6.646		261.4	323.0	1.728	0.7383	0.4677
$\epsilon_2$					17.95		700.0	742.2			
$\epsilon_3$					0		18.36	130.9			
$\epsilon_4$					288.4		11210	11230			

## Additional check for Percolation / completion



[PA, C. Balázs, L. Morris, JCAP 03 (2023), 006]

To ensure it really completes, also require:  $\frac{d\mathcal{V}_f^{\text{phys}}}{dT} < 0$

Non-trivial because whole volume is expanding

The duration affects the of the source of gravitational waves affects the GW signal a lot

This depends on the particle physics model

The duration can be related to a length scale and in hydrodynamical simulations of sound waves contributions the **mean bubble separation** is used:

$$R_{\text{sep}}(T) = (n_B(T))^{-\frac{1}{3}} \quad n_b(T) = T^3 \int_T^{T_c} dT' \frac{\Gamma(T') P_f(T')}{T'^4 H(T')}$$

bubble number density

Best treatment

This can also be estimated by taylor expanding the **bounce action**

$$S(t) \approx S(t_*) + \left. \frac{dS}{dt} \right|_{t=t_*} (t - t_*) + \frac{1}{2} \left. \frac{d^2S}{dt^2} \right|_{t=t_*} (t - t_*)^2 + \dots,$$

1<sup>st</sup> order  $\longrightarrow$  exponential nucleation rate  $\Gamma(t) = \Gamma(t_*) \exp(\beta(t - t_*))$ ,

$$\beta = - \left. \frac{dS}{dt} \right|_{t=t_*} = HT_* \left. \frac{dS}{dT} \right|_{T=T_*}$$

Widely used to replace  
mean bubble separation

$$R_{\text{sep}} = (8\pi)^{\frac{1}{3}} \frac{v_w}{\beta}$$

Rough approximation

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$$\beta = - \left. \frac{dS}{dt} \right|_{t=t_*} = HT_* \left. \frac{dS}{dT} \right|_{T=T_*}$$

Sometimes can't even use  $\beta$  :

If  $\Gamma$  reaches a maximum

$\Rightarrow \beta < 0$  after or tiny close to maximum



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2<sup>nd</sup> order  $\longrightarrow$  Gaussian nucleation rate  $\Gamma(t) = \Gamma(t_*) \exp\left(\frac{\beta_V^2}{2} (t - t_*)^2\right),$

$$\beta_V = \sqrt{\left. \frac{d^2 S}{dt^2} \right|_{t=t_\Gamma}}$$

Can be used to replace  
mean bubble separation

$$R_{\text{sep}} = \left( \sqrt{2\pi} \frac{\Gamma(T_\Gamma)}{\beta_V} \right)^{-\frac{1}{3}}$$

Rough approximation

The **mean bubble separation** varies a lot with temperature

Should not be used until  $T \approx T_p$

### For fast transitions

Estimating this with  $\beta(T_p)$  GW amp. falls by factor 2 (larger variation in SNR)

Worse if using  $\beta(T_n)$  as is standard practise

**Mean bubble radius** is more stable and  $\beta(T)$  tracks this better.

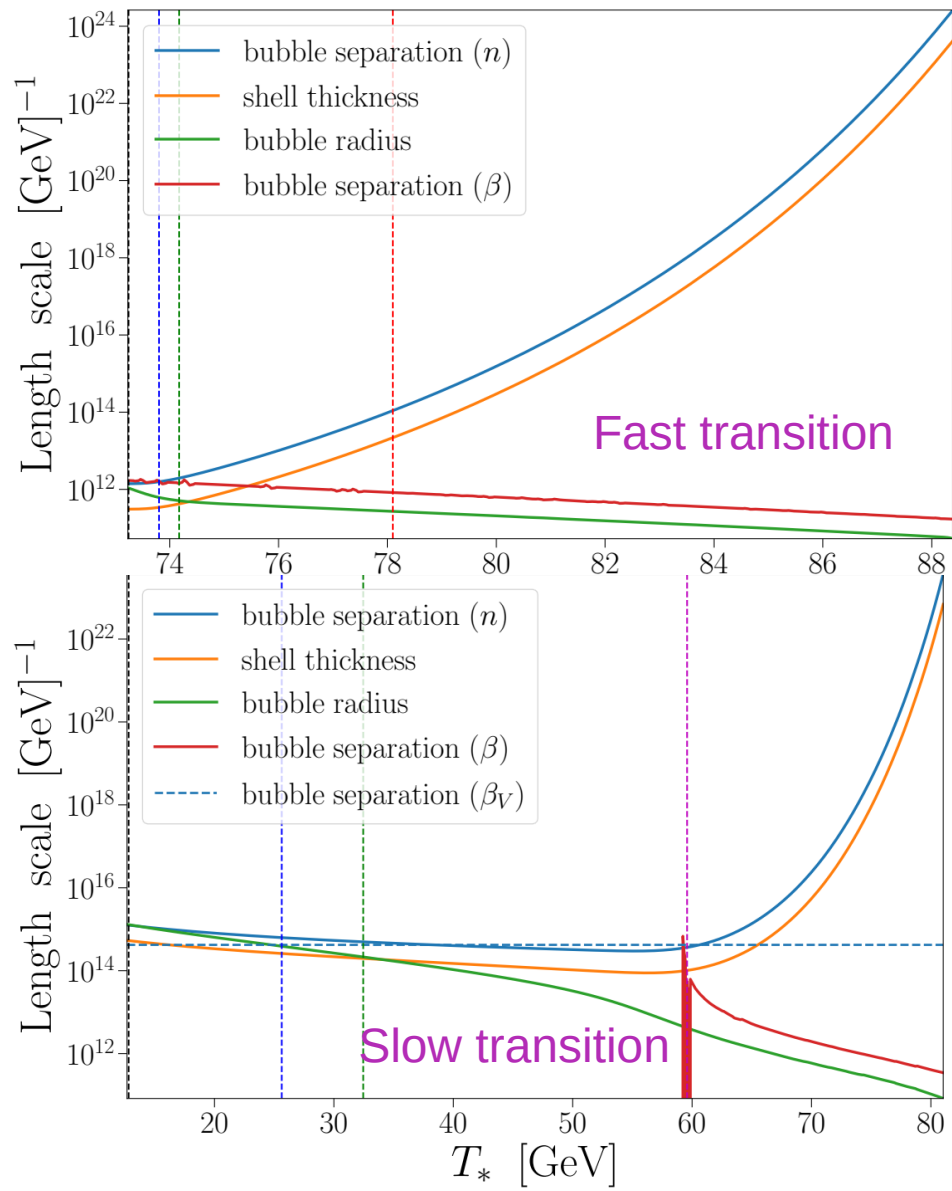
### For slow transitions

**Mean bubble radius** varies more as bubbles have longer to grow.

Using  $\beta(T_p)$  makes no sense below  $T_{\Gamma}$  orders of magnitude errors above

$\beta_V$  gives a factor 1.5 drop in GW amplitude

[PA, L. Morris, Z. Xu, arXiv:2309.05474]



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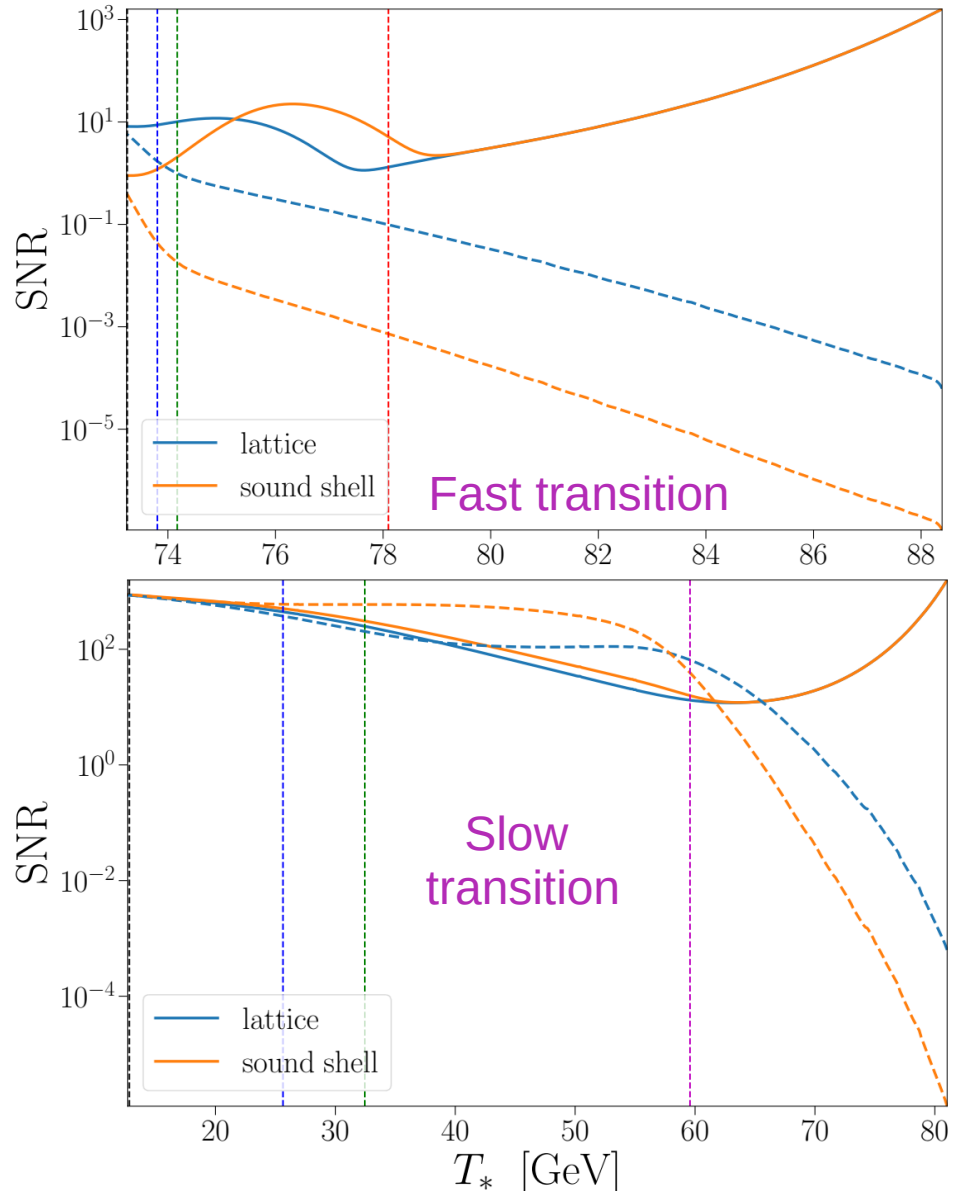
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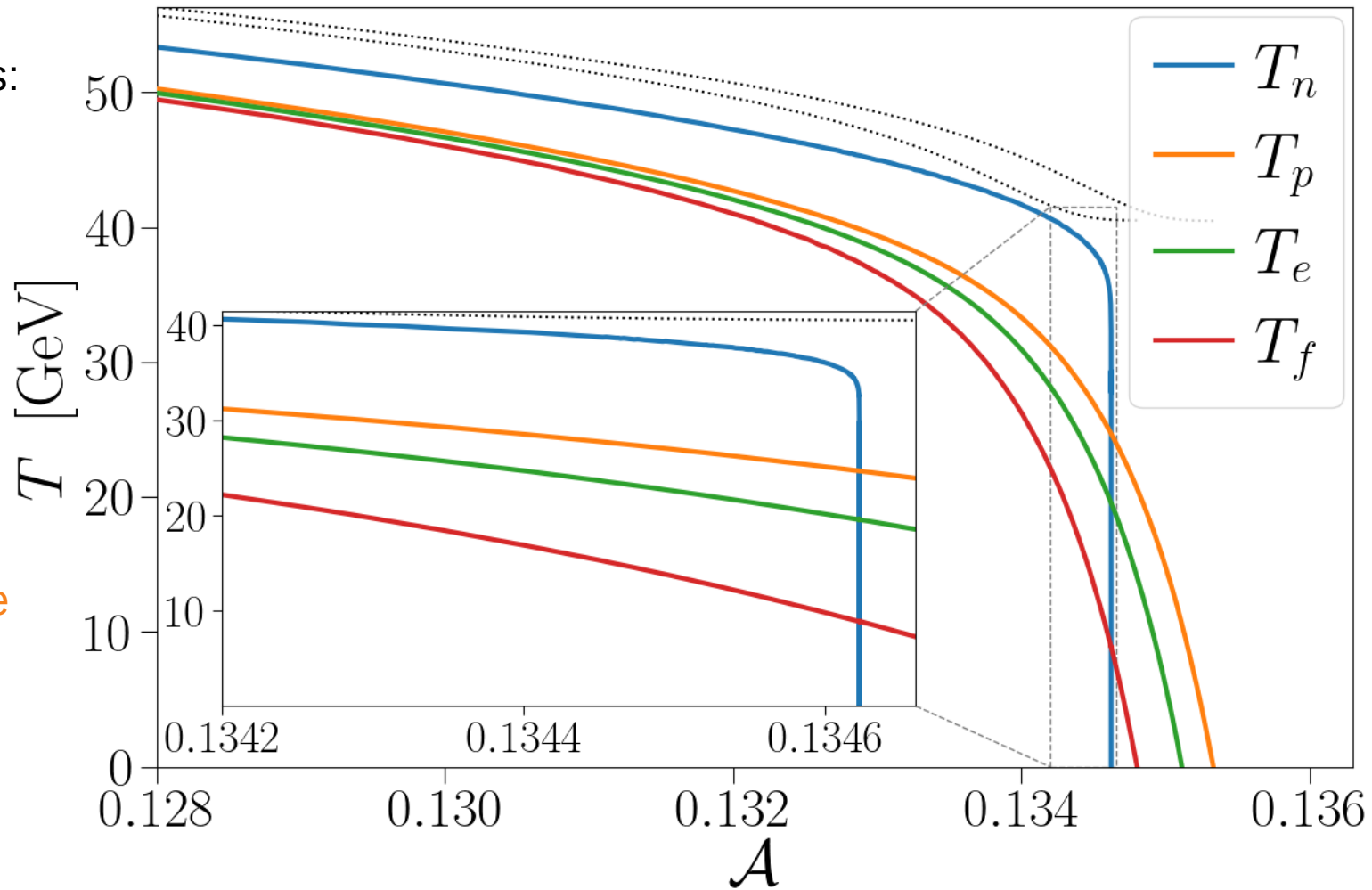
# Milestone temperatures

[PA, C. Balázs, L. Morris, JCAP 03 (2023), 006]

Nucleation temperature is:

- Not related to bubble collisions
- Not related to other temperatures
- **May not even exist**

Percolation temperature  
is a better choice  
for GWs



# From particle physics theory to GWs

$$\hat{V}_{\text{eff}} \longrightarrow \phi_i^{\text{min}}(T) \longrightarrow \Gamma(\phi_i^{\text{min}} \rightarrow \phi_j^{\text{min}}) \longrightarrow P_f(T), N(T) \longrightarrow \alpha, \beta, v_w \longrightarrow h^2 \Omega(f)$$

**Effective Potential:** can be computed perturbatively with finite temperature quantum field theory

$$\hat{V}_{\text{eff}} = V_0 + V_1^{T=0} + V_{1T}$$

Tree-level  $\nearrow$   $V_0$

$\uparrow$   $V_1^{T=0}$  Zero temperature Coleman-Weinberg corrections

$\nwarrow$   $V_{1T}$  Finite temperature corrections

**Effective Potential:** can be computed perturbatively with  
finite temperature quantum field theory

$$\hat{V}_{\text{eff}} = V_0 + V_{1,T=0} + V_{1T}$$

$$V_{1,T=0}^{R\xi} = \frac{1}{4(4\pi)^2} \left[ \sum_{\phi} n_{\phi} m_{\phi}^4(\{\phi_j\}, \xi) \left( \ln \left( \frac{m_{\phi}^2(\{\phi_j\}, \xi)}{Q^2} \right) - k_s \right) \right. \\ \left. + \sum_V n_V m_V^4(\{\phi_j\}) \left( \ln \left( \frac{m_V^2(\{\phi_j\})}{Q^2} \right) - k_V \right) - \sum_V (\xi m_V^2(\{\phi_j\}))^2 \left( \ln \left( \frac{\xi m_V^2(\{\phi_j\})}{Q^2} \right) - k_V \right) \right. \\ \left. - \sum_f n_f m_f^4(\{\phi_j\}) \left( \ln \left( \frac{m_f(\{\phi_j\})^2}{Q^2} \right) - k_f \right) \right],$$

$$V_{1T}^{R\xi} = \frac{T^4}{2\pi^2} \left[ \sum_i n_{\phi} J_B \left( \frac{m_{\phi_i}^2(\xi)}{T^2} \right) + \sum_j n_V J_B \left( \frac{m_{V_j}^2}{T^2} \right) - \frac{1}{3} \sum_j n_V J_B \left( \frac{\xi m_{V_j}^2}{T^2} \right) + \sum_l n_f J_F \left( \frac{m_{f_l}^2}{T^2} \right) \right]$$

$$J_B(y^2) = \int_0^{\infty} dk k^2 \log \left[ 1 - e^{-\sqrt{k^2+y^2}} \right] \quad J_F(y^2) = \int_0^{\infty} dk k^2 \log \left[ 1 + e^{-\sqrt{k^2+y^2}} \right]$$

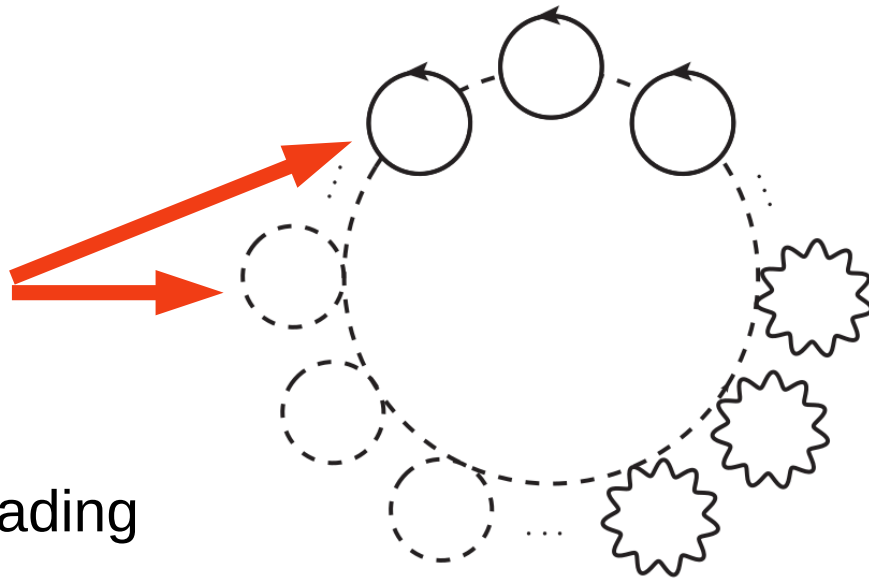
# Effective Potential

Perturbative estimates of the effective potential can be tricky

Resummation needed to deal with high temperatures spoiling perturbativity

Daisy diagram with N-loops:

Individual petals are inserted  
one-loop corrections



Resum daisy diagrams for leading  
order  $\frac{T^2}{m^2}$

## From particle physics theory to GWs

$$\hat{V}_{\text{eff}} \longrightarrow \phi_i^{\text{min}}(T) \longrightarrow \Gamma(\phi_i^{\text{min}} \rightarrow \phi_j^{\text{min}}) \longrightarrow P_f(T), N(T) \longrightarrow \alpha, \beta, v_w \longrightarrow h^2 \Omega(f)$$

**Effective Potential:** can be computed perturbatively with finite temperature quantum field theory

However there are problems applying this for phase transitions at finite temp

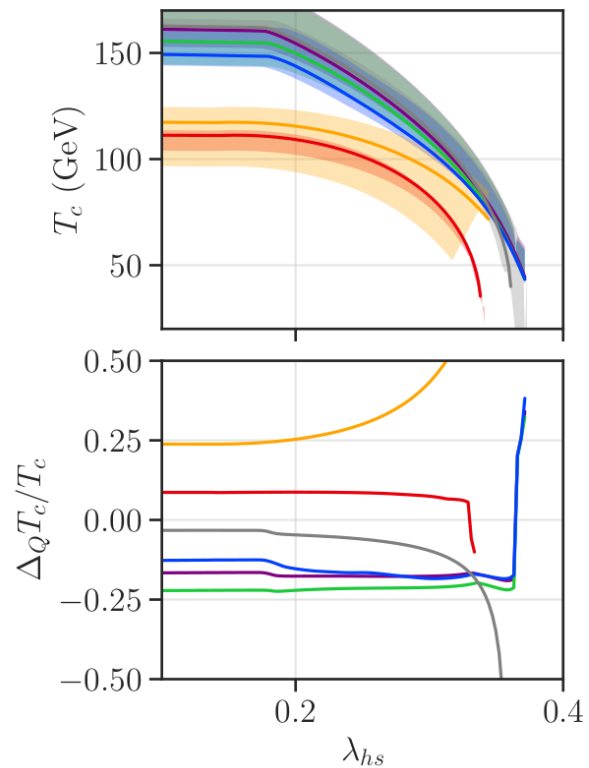
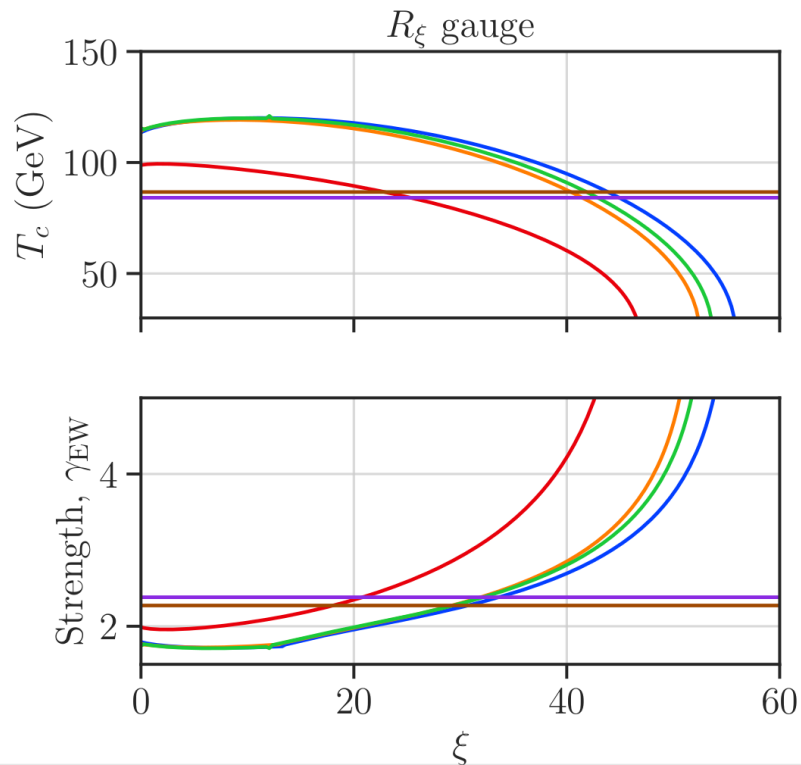
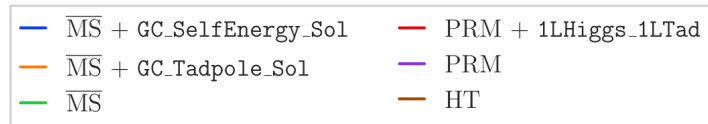
- Unphysical Gauge dependence
- Infrared divergences / problems with perturbativity for large  $T^2/m^2$
- Many different scales in the problem
- thus large dependence on the renormalisation scale



# Effective Potential

[PA, C. Balazs, A. Fowlie, L. Morris, G. White and Y.-Zhang, JHEP 01 (2023) 050]

## Significant variance from gauge and renormalisation scale



## Effective Potential

These issues have substantial impact on uncertainties in GW predictions

[Djuna Croon, Oliver Gould, Philipp Schicho, Tuomas V. I. Tenkanen, Graham White, JHEP 04 (2021) 055 ]

$\Delta\Omega_{\text{GW}}/\Omega_{\text{GW}}$	4d approach	3d approach
RG scale dependence	$\mathcal{O}(10^2 - 10^3)$	$\mathcal{O}(10^0 - 10^1)$
Gauge dependence	$\mathcal{O}(10^1)$	$\mathcal{O}(10^{-3})$
High- $T$ approximation	$\mathcal{O}(10^{-1} - 10^0)$	$\mathcal{O}(10^0 - 10^2)$
Higher loop orders	unknown	$\mathcal{O}(10^0 - 10^1)$
Nucleation corrections	unknown	$\mathcal{O}(10^{-1} - 10^0)$
Nonperturbative corrections	unknown	unknown

High temperature effects can be resummed by effective field theory techniques

**But non-perturbative effects may cause problems**

# Effective Potential

Most rigorous approach is to do this non-perturbatively on lattice

This is how we know SM **EW** and **QCD** transitions are smooth cross-overs

[K. Kajantie, M. Laine, J. Peisa, K. Rummukainen, M. Shaposhnikov, PRL 77 (1996) 2887-2890,  
Y. Aoki, G. Endrodi\*, Z. Fodor\*, S. D. Katz\*, and K. K. Szabo, Nature, 443:675–678, 2006]  
[\*Eötvös affiliation]

Downside: Very **time consuming** to do this on the lattice

**Not feasible** in general for new physics, we have:

- many models
- many transitions in specific models
- huge parameter spaces

→ Tension between rigour and feasibility

# Effective Potential

- Standard: 4D Perturbative approach with “Daisy resummation”  
Easy to implement  
Feasible for scans
- Better: 3D EFT Perturbative calculation  
Hard to implement\*  
Feasible for scans
- Gold standard: non-perturbative lattice  
Hard to implement  
Not feasible for scans

\* Very recently **DRalgo code** was developed to make this easier!

[Andreas Ekstedt, Philipp Schicho, Tuomas V. I. Tenkanen, Comp.Phys.Comm. 288 (2023) 108725]

**State of the art:** match to 3DEFT models with lattice results where possible,  
use 3DEFT where not available (or create new lattice results...)

See e.g. [PRD 100 (2019) 11, 115024, Phys.Rev.Lett. 126 (2021) 17, 171802]

## From particle physics theory to GWs

$$\hat{V}_{\text{eff}} \longrightarrow \phi_i^{\text{min}}(T) \longrightarrow \Gamma(\phi_i^{\text{min}} \rightarrow \phi_j^{\text{min}}) \longrightarrow P_f(T), N(T) \longrightarrow \alpha, \beta, v_w \longrightarrow h^2 \Omega(f)$$

### Phase Tracing

So cubic terms are generated at finite temperature

Tree-level cubic terms can also be introduced in SM extensions

These **may or may not** lead to **first order phase transitions**

Depends on detailed calculation, e.g. SM is a smooth cross-over for the measured Higgs mass..

...but could have been first order if the Higgs mass was much lighter.

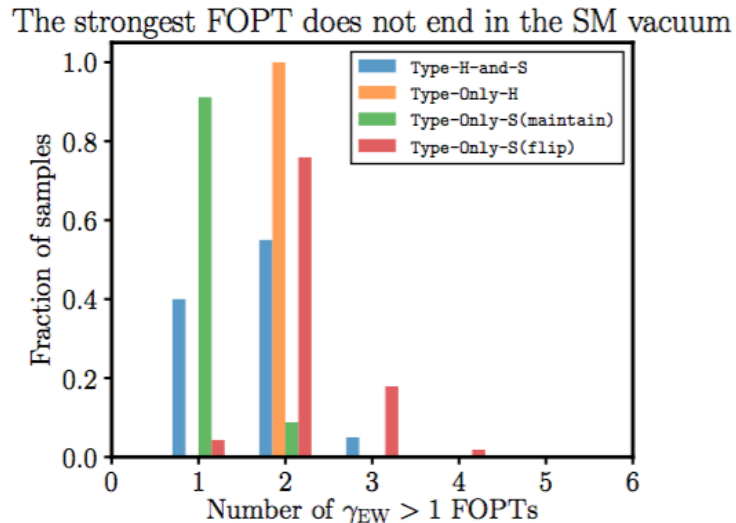
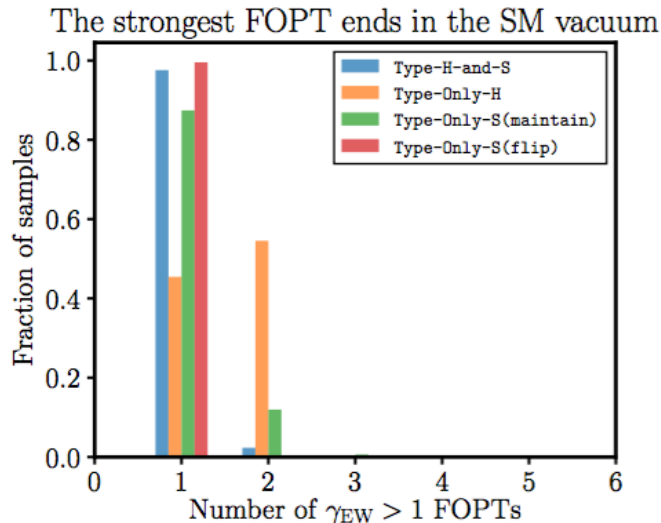
# From particle physics theory to GWs

$$\hat{V}_{\text{eff}} \longrightarrow \phi_i^{\text{min}}(T) \longrightarrow \Gamma(\phi_i^{\text{min}} \rightarrow \phi_j^{\text{min}}) \longrightarrow P_f(T), N(T) \longrightarrow \alpha, \beta, v_w \longrightarrow h^2 \Omega(f)$$

## Phase Tracing

This is not straightforward:

multiple FOPTs and possible paths common in realistic models

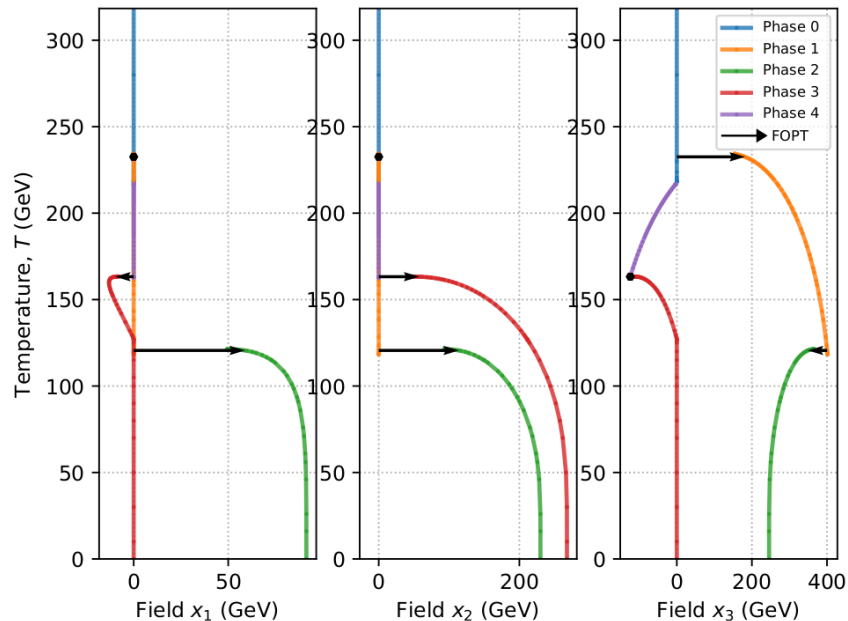


# From particle physics theory to GWs

$$\hat{V}_{\text{eff}} \longrightarrow \phi_i^{\text{min}}(T) \longrightarrow \Gamma(\phi_i^{\text{min}} \rightarrow \phi_j^{\text{min}}) \longrightarrow P_f(T), N(T) \longrightarrow \alpha, \beta, v_w \longrightarrow h^2 \Omega(f)$$

## PhaseTracing

This is not straightforward: multiple FOPTs and possible paths common in realistic models



Careful algorithms needed to handle this, e.g.

- **PhaseTracer** ← *My own code, but I do recommend this one*
- **Cosmotransitions** ← *Tricky to use, often just hangs or exits*
- **BSMPT** ← *Simple and fast but won't get complicated patterns, multiple PTs*

# From particle physics theory to GWs

$$\hat{V}_{\text{eff}} \longrightarrow \phi_i^{\text{min}}(T) \longrightarrow \Gamma(\phi_i^{\text{min}} \rightarrow \phi_j^{\text{min}}) \longrightarrow P_f(T), N(T) \longrightarrow \alpha, \beta, v_w \longrightarrow h^2 \Omega(f)$$

Transition rates

Semi-classical approx

$$\Gamma \approx A e^{-B}$$

Action at  
saddle point

$B$  solved by finding a “bounce” instanton solution numerically

Tricky numerical problem, many public bounce solvers

Fluctuations  
around  
saddle point

[CosmoTransitions](#) [C. L. Wainwright, CPC 183 (2012) 2006–2013,],

[AnyBubble](#) [A. Masoumi, K. D. Olum and B. Shlaer, JCAP 1701 (2017) 051],

[BubbleProfiler](#) [PA, Balazs, Bardsley, Fowlie, Harries & White CPC 244 (2019) 448-468]

[SimpleBounce](#) [Ryosuke Sato, CPC 258 (2021) 107566]

All bounce solvers to date have some significant drawbacks

(numerical stability, reliability, noise/precision, speed, number of fields)



# From particle physics theory to GWs

$$\hat{V}_{\text{eff}} \longrightarrow \phi_i^{\text{min}}(T) \xrightarrow{\text{orange}} \Gamma(\phi_i^{\text{min}} \rightarrow \phi_j^{\text{min}}) \longrightarrow P_f(T), N(T) \longrightarrow \alpha, \beta, v_w \longrightarrow h^2 \Omega(f)$$

Transition rates

Semi-classical approx

$$\Gamma \approx A e^{-B}$$

Action at saddle point

$A$  usually assumed less important,  
Often estimated on dimensional grounds

Fluctuations around saddle point

$$A \approx T^4$$

$$A \approx T^4 \left( B / (2\pi T)^{3/2} \right)$$

**Problem:** what if  $A$  has exponential dependence?

→ Calculate it directly → **BubbleDet**

[Ekstedt, Gould, and Hirvonen, arXiv:2308.15652]

# Bubble nucleation

Bubbles of the new phase form at random locations

The bubbles that already formed grow in size

while more bubbles nucleate

As the bubbles grow, and the number increases, collisions become more likely

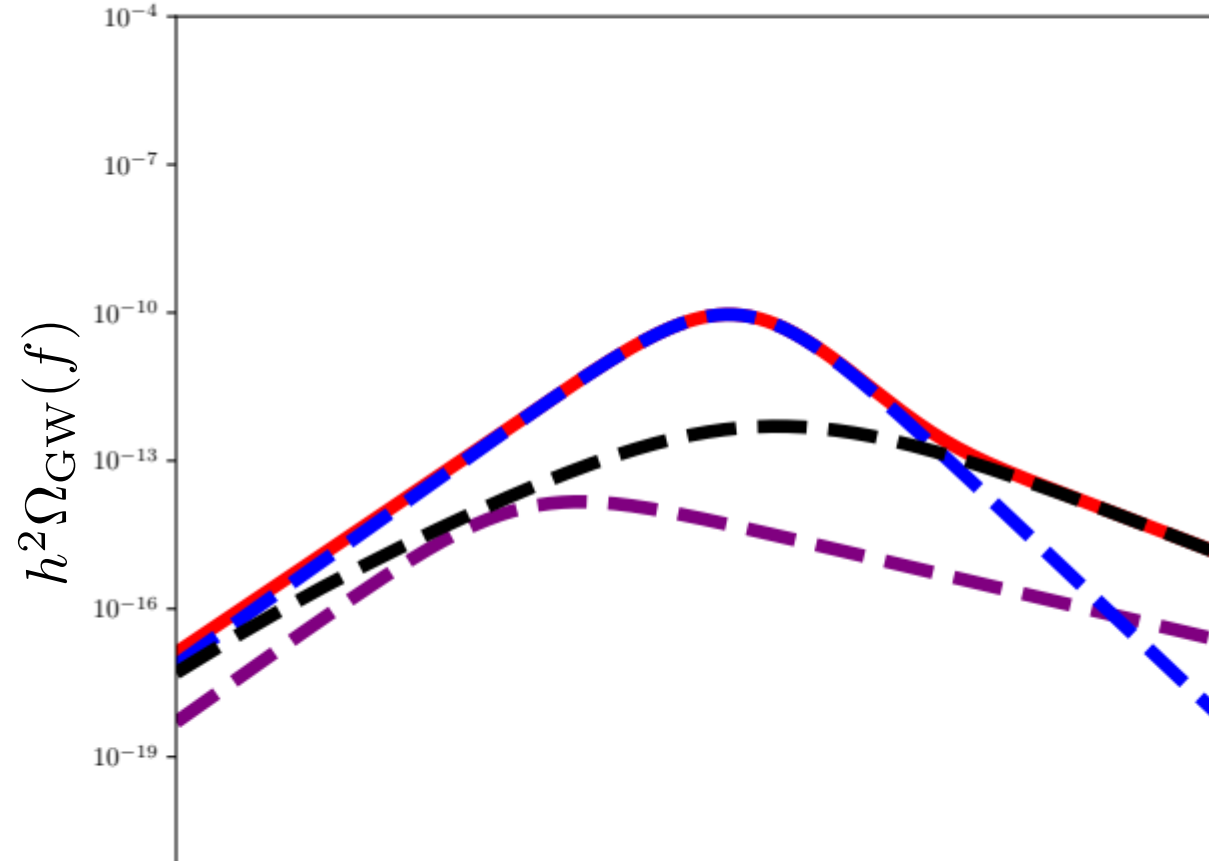
And more and more of the space is converted to the true vacuum

[image: from Lachlan Morris]



$$\Omega_{\text{GW}}(f) \equiv \frac{1}{\rho_{\text{tot}}} \frac{d\rho_{\text{GW}}}{d \ln f}$$

$$h^2 \Omega_{\text{GW}} = h^2 \Omega_{\text{coll}} + h^2 \Omega_{\text{sw}} + h^2 \Omega_{\text{turb}}$$



The peak amplitude varies with the frequency

The signal has several contributions:

- 1) the collision of bubbles – which breaks their spherical symmetry.
- 2) waves of plasma accelerated by the bubble wall.
- 3) shocks in the fluid leading to turbulence

Understanding this quantitatively requires hydrodynamical simulations and/or clever modeling of how it happens

Times scales for sources gravitational waves affect the GWs signal

Depends on the particle physics model

Can be related to a length scale, **mean bubble separation** used in hydrodynamical simulations of sound:

$$R_{\text{sep}}(T) = (n_B(T))^{-\frac{1}{3}} \quad n_b(T) = T^3 \int_T^{T_c} dT' \frac{\Gamma(T') P_f(T')}{T'^4 H(T')}$$

bubble number density Best treatment

Often estimated by taylor expanding the **bounce action**  $\Gamma(t) = Ae^{-S(t)}$

$$S(t) \approx S(t_*) + \left. \frac{dS}{dt} \right|_{t=t_*} (t - t_*) + \frac{1}{2} \left. \frac{d^2 S}{dt^2} \right|_{t=t_*} (t - t_*)^2 + \dots,$$

2<sup>nd</sup> order  $\longrightarrow$  Gaussian nucleation rate  $\Gamma(t) = \Gamma(t_*) \exp\left(-\frac{\beta_V^2}{2} (t - t_*)^2\right),$

$$\beta_V = \sqrt{\left. \frac{d^2 S}{dt^2} \right|_{t=t_\Gamma}}$$

Can be used to replace mean bubble separation

$$R_{\text{sep}} = \left( \sqrt{2\pi} \frac{\Gamma(T_\Gamma)}{\beta_V} \right)^{-\frac{1}{3}}$$

Rough approximation

Times scales for sources gravitational waves affect the GWs signal

Depends on the particle physics model

Can be related to a length scale, **mean bubble separation** used in hydrodynamical simulations of sound:

$$R_{\text{sep}}(T) = (n_B(T))^{-\frac{1}{3}} \quad n_b(T) = T^3 \int_T^{T_c} dT' \frac{\Gamma(T') P_f(T')}{T'^4 H(T')}$$

bubble number density

One more thing:

Alternative length scale - **mean bubble radius**

$$\bar{R}(T) = \frac{T^2}{n_B(T)} \int_T^{T_c} dT' \frac{\Gamma(T') P_f(T')}{T'^4 H(T')} \int_T^{T'} dT'' \frac{v_w(T'')}{H(T'')}.$$

This has been proposed in the literature but not used in simulations