Workshop on grand-unified theories:Phenomenology and Cosmology HIAS, 8 – 12 April 2024

### Dark matter from a (bright) sterile – (dark) sterile neutrino mixing



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## Why new physics?

Even ignoring:

(more or less) compelling theoretical motivations (quantum gravity theory, flavour problem, hierarchy and naturalness problems,...) and

 $\Box$  Experimental anomalies (e.g., (g-2)<sub>µ</sub>, R<sub>K</sub>, R<sub>K</sub><sup>\*</sup>,...)

Standard physics (SM+GR) cannot explain:



### Dark Matter

#### At the present time dark matter acts as a cosmic glue keeping together



#### ...but it also needs to be primordial to understand structure formation and CMB anisotropies



### WIMP miracle



Freeze-out + WIMP  $\Rightarrow$  EW scale (WIMP miracle)  $< \sigma_{ann} v >_{th} \simeq 3 \times 10^{-26} \text{ cm}^3 s^{-1}$   $< \sigma_{ann}^{\text{weak}} v > = \frac{\alpha_{\text{weak}}^2}{m_X^2} = < \sigma_{ann} v >_{th}$  $\Rightarrow m_X \sim 100 \text{ GeV-1TeV} \sim \text{EW scale}$ 

- embeddable in models addressing naturalness+hierarchy problems
- $\square \Rightarrow$  new physics at the 100 GeV TeV scale
- The WIMP miracle has been for long time regarded as a strong argument in favour of WIMPs as dark matter particles.
- The lack of evidence of new physics at the TeV scale makes the WIMP miracle, if not completely ruled out, certainly less compelling.
- □ WIMPs are nowadays still a viable option but one out of many possible ones

### Indirect DM searches with y-ray experiments

(from Aldo Morselli @ CORFU 2022)



### Beyond the WIMP paradigm: the DM particle zoo (from Baer et al.1407.0017)





### Examples of DM beyond the standard WIMPs:

Freeze-in solution (FIMPs)



$$\Omega_{DM0}\,h^2\propto \left<\sigma_{\rm ann}\beta_{\rm rel}\right>$$

- Dark matter could decay after freeze-out example: gravitino dark matter with R parity breaking (Buchmuller, Covi, Hamaguchi Ibarra, Yanagida hep--ph/0702184)
- Or both: freeze-in and decaying DM! (example: keV seesaw neutrino solution)

### Minimal seesaw mechanism (type I) • Dirac + (right-right) Majorana mass term

(Minkowski '77; Gell-mann, Ramond, Slansky; Yanagida; Mohapatra, Senjanovic '79)

$$-\mathcal{L}_{mass}^{v} = \overline{v}_{L} m_{D} v_{R} + \frac{1}{2} \overline{v_{R}^{c}} M v_{R} + h.c. = -\frac{1}{2} (\overline{v}_{L} \overline{v_{R}^{c}}) \begin{pmatrix} 0 & m_{D}^{T} \\ m_{D} & M \end{pmatrix} \begin{pmatrix} v_{L} \\ v_{R}^{c} \end{pmatrix} + h.c.$$

In the see-saw limit (M >> m<sub>D</sub>) the mass spectrum splits into 2 sets:

- 3 light Majorana neutrinos with masses (seesaw formula):  $m_v = -m_D M^{-1} m_D^T \Rightarrow \text{diag}(m_1, m_2, m_3) = -U^{\dagger} m_v U^*$
- 3(?) very heavy Majorana neutrinos  $N_{1}$ ,  $N_{2}$ ,  $N_{3}$  with  $M_{3} > M_{2} > M_{1} >> m_{D}$

### 1 generation toy model : $m_D \sim m_{top}$ ,

 $m \sim m_{atm} \sim 50 \text{ meV}$  $\Rightarrow M \sim 10^{15} \text{ GeV}$ 



### Dark matter from active-sterile neutrino mixing

(Dodelson Widrow '94; Shi, Fuller '99; Dolgov and Hansen '00; Asaka, Blanchet, Shaposhnika

$$V_{1L} \simeq U_{1\alpha}^{\dagger} \left( V_{L\alpha} - \frac{m_{D\alpha 1}}{M_1} V_{R1}^c \right)$$

 LH-RH (active-sterile) neutrino mixing

 $N_{1R} \simeq V_{1R} + \frac{m_{D\alpha 1}}{M_1} V_{L\alpha}^c$  — lightest RH neutrino

• Solving Boltzmann equations an abundance is produced at T~100 MeV:

$$\Omega_{N_{1}}h^{2} \sim 0.1 \frac{\theta^{2}}{10^{-8}} \left(\frac{M_{1}}{\text{keV}}\right)^{2} \sim \Omega_{DM,0}h^{2} \qquad \theta^{2} \equiv \frac{\sum_{\alpha} |m_{D\alpha 1}|^{2}}{M_{1}^{2}}$$
  
• For  $M_{1} < m_{e} \implies \tau_{1} = 5 \times 10^{26} s \left(\frac{M_{1}}{keV}\right)^{-5} \left(\frac{10^{-8}}{\theta^{2}}\right) \gg t_{0}$ 

- The lightest neutrino mass  $m_1 \lesssim 10^{-5} \text{ eV} \Rightarrow$  hierarchical neutrino masses
- The N<sub>1</sub>'s also radiatively decay and this produces constraints from X-rays (or opportunities to observe it).
- Considering also structure formation constraints, one is forced to consider a resonant production induced by a large lepton asymmetry
- L~10<sup>-4</sup> : 3.5 keV line? (Horiuchi et al. '14; Bulbul at al. '14; Abazajian '14) The XRISM satellite (launched last Summer) should soon give a final answer

### Heavy RH neutrino as dark matter ?

(Anisimov.PDB '08)

What production mechanism? For high masses just a tiny abundance is needed:

$$N_{DM} \simeq 10^{-9} (\Omega_{DM,0} h^2) N_{\gamma}(t_{prod}) \frac{\text{TeV}}{M_{DM}}$$

Suppose there is a RH neutrino with tiny Yukawa couplings (e.g., proportional to a small symmetry breaking parameter) referred to as dark neutrino  $N_D$ :

$$m_{D} \simeq \begin{pmatrix} \varepsilon_{e_{1}} & m_{De_{2}} & m_{De_{3}} \\ \varepsilon_{\mu_{1}} & m_{D\mu_{2}} & m_{D\mu_{3}} \\ \varepsilon_{\tau_{1}} & m_{D\tau_{2}} & m_{D\tau_{3}} \end{pmatrix} \text{ or } m_{D} \simeq \begin{pmatrix} m_{De_{1}} & \varepsilon_{e_{2}} & m_{De_{3}} \\ m_{D\mu_{1}} & \varepsilon_{\mu_{2}} & m_{D\mu_{3}} \\ m_{D\tau_{1}} & \varepsilon_{\tau_{2}} & m_{D\tau_{3}} \end{pmatrix} \text{ or } m_{D} \simeq \begin{pmatrix} m_{De_{1}} & m_{De_{2}} & \varepsilon_{e_{3}} \\ m_{D\mu_{1}} & m_{D\mu_{2}} & \varepsilon_{\mu_{3}} \\ m_{D\tau_{1}} & \varepsilon_{\tau_{2}} & m_{D\tau_{3}} \end{pmatrix}$$

$$m_D = V_L^{\dagger} D_{m_D} U_R$$
  $D_{m_D} \equiv v \operatorname{diag}(h_A, h_B, h_C)$  with  $h_A \leq h_B \leq h_C$ 

$$\tau_{DM} = \frac{4\pi}{h_A^2 M_{DM}} = 0.87 h_A^2 10^{-26} \frac{\text{TeV}}{M_{DM}} s \implies \tau_{DM} \approx 10^{28} s \Rightarrow h_A < 10^{-27} \sqrt{\frac{\text{TeV}}{M_{DM}}} \times \frac{10^{28} \text{s}}{\tau_{DM}^{\min}} s$$

Too small to reproduce the correct abundance with any production mechanism within a minimal type-I seesaw extension

#### 5-dimensional Higgs portal-like operators as a way out

(Anisimov hep-ph/0612024, Bezrukov, Gorbunov, Shaposhnikov 0812.3622 Anisimov, PDB 0812.5085)

$$\mathcal{L} = \mathcal{L}_{SM} + \mathcal{L}_{Y+M}^{v} + \mathcal{L}_{A}$$

- from SMEFT to vSMEFT
- They are Weinberg-like operators but a further step up
- They extend Higgs portal renormalizable operator (Patt, Wilczek hep-ph/ 0605188)

### **RHINO dark matter**

(Anisimov '06, Anisimov,PDB '08)

Focus on the RH-RH Higgs-induced neutrino mixing (RHINO) operator:

$$\mathcal{L}_{A} = \frac{\lambda_{DS}}{\Lambda} \phi^{\dagger} \phi \, \overline{N_{D}^{c}} N_{S} \qquad \qquad \widetilde{\Lambda}_{DS} = \Lambda \, / \, \lambda_{DS}$$

2 s  $\Delta M^2 \equiv M_S^2 - M_D^2$ 

In general,  $\lambda_{DS} \neq 0$  generates a dark-source RH neutrino mixing. The Yukawa and Anisimov interactions both generate effective potentials from self-energies:



**Effective mixing Hamiltonian :** 

$$\Delta \mathcal{H} \simeq \begin{pmatrix} -\frac{\Delta \mathcal{M}^2}{4p} - \frac{T^2}{16p} h_s^2 & \frac{T^2}{12\tilde{\Lambda}_{DS}} \\ \frac{T^2}{12\tilde{\Lambda}_{DS}} & \frac{\Delta \mathcal{M}^2}{4p} + \frac{T^2}{16p} \end{pmatrix}$$

#### Density matrix calculation of the relic abundance (P. Di Bari, K. Farrag, R. Samanta, Y. Zhou, 1908.00521)

Density matrix equation for the dark-bright mixed RH neutrinos (using a monocromatic approximation p~3T)

$$\frac{dN_{IJ}}{dt} = -i\left[\Delta H, N\right]_{IJ} - \begin{pmatrix} 0 & \frac{1}{2}(\Gamma_{D} + \Gamma_{S})N_{DS} \\ \frac{1}{2}(\Gamma_{D} + \Gamma_{S})N_{SD} & (\Gamma_{D} + \Gamma_{S})(N_{N_{S}} - N_{N_{S}}^{eq}) \end{pmatrix}$$

Assuming an initial thermal N<sub>s</sub>-abundance



### Dark neutrinos are necessarily unstable (Anisimov, PDB '08; Anisimov, PDB'10; P.Ludl. PDB, S.Palomarez-Ruiz'16) <u>2 body decays (M<sub>S</sub>>M<sub>W</sub>)</u>

Dark neutrinos unavoidably decay today into A+leptons (A=H,Z,W) through the same mixing that produced them in the very early Universe



4 body decays

$$\theta_{\Lambda 0} = \frac{2 v^2 / \widetilde{\Lambda}_{\rm DS}}{M_{\rm D} \left(1 - M_{\rm S} / M_{\rm D}\right)}$$

$$\Gamma_{\mathrm{D}\to A+\ell_{\mathrm{S}}} = \frac{h_{\mathrm{S}}^2}{\pi} \left(\frac{v^2}{\widetilde{\Lambda}}\right)^2 \frac{M_{\mathrm{D}}}{(M_{\mathrm{D}}-M_{\mathrm{S}})^2}$$

 $\Rightarrow$  Lower bound on  $M_D$ 

mixing angle today

(for  $\theta_{AO} \ll 1$ )



$$N_{\rm DM} \to 2A + N_{\rm S} \to 3A + \nu_{\rm S} \ (A = W^{\pm}, Z, H).$$
$$\Gamma_{\rm D\to 3A+\ell_{\rm S}} = \frac{\Gamma_{\rm S}}{15 \cdot 2^{11} \cdot \pi^4} \frac{M_{\rm D}}{M_{\rm S}} \left(\frac{M_{\rm D}}{\widetilde{\Lambda}_{\rm DS}}\right)^2$$

 $\Rightarrow$  Upper bound on  $M_{\rm D}$ 

3 body decays and annihilations can also occur but yield weaker constraints

#### DM lifetime vs. mass plane: allowed regions (P.Di Bari, K. Farrag, R. Samanta, Y. Zhou, 1908.00521)



It works only for initial thermal N<sub>s</sub> abundance, unless  $M_s \sim 1$  GeV and  $M_b \gtrsim 10^7$  GeV

Can one think of processes able to thermalize the N<sub>s</sub> abundance prior to the oscillations? Two good motivations

### Unifying Leptogenesis and Dark Matter

(PDB, K. Farrag, R. Samanta, Y. Zhou, 1908.00521)

A solution for initial thermal N<sub>S</sub> abundance:



### IceCube



- Neutrinos are perfect astronomical messengers (from the edge of the universe)
- In the range 10 TeV 10 EeV only neutrinos are unabsorbed and undeflected
- 2013: IceCube discovered cosmic VHE neutrinos (30 TeV 1 PeV range)
- Some observed in coincidence with blazar  $\gamma$ -ray flare: extragalactic origin
- High Energy Starting Events (HESE) veto to reduce overwhelming atmospheric background at energies ≤ 300 TeV ⇒ first evidence of cosmic neutrinos
- Up-going muon data set has confirmed the existence of cosmic neutrinos but ....

### IceCube up-going muon neutrinos

IceCube 8 years data



Standard single powerlaw spectrum for an astrophysical flux

$$\frac{d\Phi}{dE} = \Phi_0 \cdot \left(\frac{E_v}{100 \,\mathrm{TeV}}\right)^{-\gamma_{astro}}$$

Best fit

$$\frac{d\Phi_{\nu+\bar{\nu}}}{dE} = (1.01 \pm ^{0.26}_{0.23}) \left(\frac{E}{100 \,\mathrm{TeV}}\right)^{-2.19 \pm 0.10} \cdot 10^{-18} \mathrm{GeV}^{-1} cm^{-2} s^{-1} sr^{-1}.$$

### An extra component at ~100 TeV ?

#### IceCube 6 year HESE data (1710.01191)/



### A multimessenger analysis confirms an 100 TeV excess



IceCube 6 year HESE data (1710.01191)

#### Very high energy neutrinos from N<sub>D</sub> decays (Anisimov, PDB, 0812.5085; PDB, P.Ludl, S. Palomarez-Ruiz 1606.06238)

- Dark neutrinos unavoidably decay today into A+leptons (A=H,Z,W) through the same mixing that produced them in the very early Universe
- > The produced neutrinos can be responsible for the excess at ~100 TeV in IceCube



#### Example: M<sub>DM</sub>=300TeV

(from 1606.06238)

Searches for Connections between Dark Matter and High-Energy Neutrinos with IceCube

IceCube Collaboration



2.5  $\sigma$  significance when compared to the null hypothesis best fit point: m<sub>D</sub>=386 TeV,  $\tau_D$ =2.8x10<sup>27</sup> s

### Lower bound on the lifetime of decaying DM



FIG. 2: Constraints on the lifetime of dark matter decaying to neutrinos  $\chi \to \bar{\nu}\nu$ . Solid lines bordering shaded regions represent limits from existing neutrino telescope data, solid lines without shading correspond to limits from existing gamma-ray observatories (as shown in Fig. 3), and dashed lines show the reach of future experiments. Labels with a heart symbol ( $\heartsuit$ ) correspond to limits derived for this work.

### Absence of strong anisotropies



This disfavours scenarios with strong Galactic emissions, the dominant component is of extra-galactic origin

#### Observation of high energy neutrinos from the Galactic plane

(IceCube 10 years data 2011-2021 2307.04427)



Figure 4: **All-sky point source search.** The best-fitting pre-trial significance for the all-sky search is shown as a function of direction in an Aitoff projection of the celestial sphere, in equatorial coordinates (J2000 equinox). The Galactic plane is indicated by a grey curve, and the Galactic Center as a dot. Although some locations appear to have significant emission, the trial factor for the number of points searched means these points are all individually statistically consistent with background fluctuations.

# Search for DM decays in nearby galaxy clusters and galaxies with IC (IceCube 2308.04833)



LHAASO = Large High Altitude Air Shower Observatory

(P.Di Bari, K. Farrag, R. Samanta, Y. Zhou, 1908.00521)



What processes can thermalize the N<sub>s</sub>-abundance prior to the oscillations?

### Including Higgs portal interactions for N<sub>s</sub>



Can these interactions thermalise the source neutrinos prior to oscillations? Let us modify the kinetic equations including these processes:

$$\frac{dN_{IJ}}{dt} = -i[\Delta H, N]_{IJ} - \begin{pmatrix} 0 & \frac{1}{2}(\Gamma_{D} + \Gamma_{S})N_{DS} \\ \frac{1}{2}(\Gamma_{D} + \Gamma_{S})N_{SD} & (\Gamma_{D} + \Gamma_{S})(N_{N_{S}} - N_{N_{S}}^{eq}) + \frac{\langle \sigma_{\phi\phi \to N_{S}N_{S}} V \rangle}{R^{3}}(N_{N_{S}}^{2} - N_{N_{S}}^{eq}) \end{pmatrix}$$
$$A(z) \equiv \frac{\langle \sigma_{\phi\phi \to N_{S}N_{S}} V \rangle}{R^{3}Hz} = \frac{A(z=1)}{z^{2}}; \quad \langle \sigma_{\phi\phi \to N_{S}N_{S}} V \rangle_{T >> M_{S}} \approx \frac{1}{4\pi\Lambda_{SS}} \quad (\text{Kolb. Long.})$$
$$\Rightarrow A(z=1) \approx g_{N} \frac{3}{16} \frac{\xi(3)}{\pi^{3}} \sqrt{\frac{90}{8\pi^{3}g_{R}}} \frac{M_{D}M_{Pl}}{\Lambda_{SS}}$$

### Condition for the thermalisation of the N<sub>s</sub> abundance

(PDB, A. Murphy, arXiv 2210,10801)

$$\Rightarrow N_{N_{s}}(z_{in} \ll z \ll 1) - N_{N_{s}}(z_{in}) \simeq \frac{A_{1}}{z_{in}} \simeq 1.0 \times \left(\frac{T_{in}}{10^{16} \text{GeV}}\right) \left(\frac{10^{16} \text{GeV}}{\Lambda_{SS}}\right)^{2} \simeq 1$$



#### The scale 10<sup>16</sup> GeV maximises the production of DM



(PDB, A. Murphy, 2210.10801)



Decaying DM best fit (2.5 $_{\sigma}$ ) from IceCube 7.5 year data (2205,12950)

(PDB, A. Murphy, 2210.10801)



The scale of new physics cannot be made too much lower the GUT scale in order to explain the IceCube excess (respecting the LHAASO lower bound)

(PDB, A. Murphy, 2210,10801)



#### Upper bound on the seesaw (=leptogenesis) scale (PDB, A. Murphy, 2210,10801)



The mechanism is compatible with (resonant) leptogenesis at a scale between 10 and 100 TeV

#### A possible GUT origin ? Heavy scalar H as mediator

(Anisimov,PDB, 2008; PDB, P. Ludl, S. Palomarez-Ruiz 2016; Kolb and Long 1708.04293; PDB, A. Murphy, 2210.10801)

$$\mathcal{L}_H = \frac{1}{2} \partial_\mu H \partial^\mu H - \frac{1}{2} M_H^2 H^2 - \sum_{I,J} \lambda_{IJ} H \overline{N_I^c} N_J - \mu H \phi^{\dagger} \phi \,.$$



$$\mathcal{L}_{H}^{\text{eff}} = \frac{1}{2} \sum_{I,J,K,L} \frac{\lambda_{IJ} \lambda_{KL}}{M_{H}^{2}} \left( \overline{N_{I}^{c}} N_{J} \right) \left( \overline{N_{K}^{c}} N_{L} \right) + \frac{1}{2} \frac{\mu^{2}}{M_{H}^{2}} \left( \phi^{\dagger} \phi \right)^{2} + \sum_{I,J} \frac{\mu \lambda_{IJ}}{M_{H}^{2}} \Phi^{\dagger} \Phi \overline{N_{I}^{c}} N_{J} . \Longrightarrow \tilde{\Lambda}_{IJ} = \Lambda / \lambda_{IJ}, \text{ and } \Lambda = M_{H}^{2} / \mu$$

For  $\mu \sim 10^9$ GeV and  $M_H \sim 10^{16}$ GeV one can have  $\Lambda_{DS} \sim 10^{23}$  GeV and  $\lambda_{DS} \sim O(1)$  but one cannot reproduce simultaneously  $\tilde{\Lambda}_{SS} \sim 10^{16}$ GeV with the same scale  $\Lambda$ 



This time one can have one scale  $\Lambda = M_F \sim M_{GUT}$  and for  $y_S \sim 1$  and  $y_D \sim 10^{-7}$ :

$$\widetilde{\Lambda}_{DS} = \frac{\Lambda}{\gamma_{D}\gamma_{S}} \sim 10^{23} \text{GeV} \qquad \widetilde{\Lambda}_{SS} = \frac{\Lambda}{\gamma_{S}\gamma_{S}} \sim \Lambda \sim 10^{16} \text{GeV} \qquad \widetilde{\Lambda}_{DD} = \frac{\Lambda}{\gamma_{D}\gamma_{D}} \sim 10^{30} \text{GeV}$$

 $y_{D} \sim 10^{-7}$  can be understood as a small symmetry (e.g.  $Z_{2}$ ) breaking parameter



- The DM puzzle might have a solution at higher scales than those traditionally explored so far and....
- ....heavy RH neutrinos provide an interesting option. An heavy RH neutrino playing the role of DM requires an extension of the usual type-I seesaw Lagrangian
- Higgs induced sterile-sterile neutrino mixing provides not only a way to produce dark neutrinos with the right abundance but also it makes them detectable at neutrino telescopes.
- Higgs portal interactions for the seesaw (source) neutrino enhance the dark neutrino production and allow to lift the scale of leptogenesis up to 100 TeV.
- Interestingly, the IceCube collaboration finds an excess in the neutrino flux at energies well explained by RHINO DM decays (with M<sub>D</sub>~100 TeV) and further support comes from multimessenger astronomy
- Soon (?) new analysis of anisotropies in the IceCube high energy neutrino flux might provide a crucial test for heavy decaying DM
- The emerging scale of new physics that can accommodate all constraints and also address the IceCube excess at ~ 100 TeV is  $M_{GUT} \sim 10^{15} 10^{16}$  GeV: a GUT RHINO miracle!

New frontiers

(SHIP proposal, 1504.04855)



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- 3(?) very heavy Majorana neutrinos  $N_{1}$ ,  $N_{2}$ ,  $N_{3}$  with  $M_{3} > M_{2} > M_{1} >> m_{D}$

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 $m \sim m_{atm} \sim 50 \text{ meV}$  $\Rightarrow M \sim 10^{15} \text{ GeV}$ 





### Many proposed production mechanisms

- Many production mechanisms have been proposed especially to address **IceCube** initially seemingly anomalous PeV neutrino events:
- •from SU(2)<sub>R</sub> extra-gauge interactions (LRSM) (Fornengo, Niro, Fiorentin);
- •from inflaton decays (Anisimov,PDB'08; Higaki, Kitano, Sato '14);
- from resonant annihilations through SU(2)' extra-gauge interactions (Dev, Kazanas, Mohapatra, Teplitz, Zhang '16);
- •From new U(1), interactions connecting DM to SM (Dev, Mohapatra, Zhang '16);
- •From U(1)<sub>B-L</sub> interactions (Okada, Orikasa '12);

•.....

In all these models IceCube data are fitted through fine tuning of parameters responsible for decays (they are post-dictive)

#### Freeze-in solution for annihilating particles (FIMPs)



FIMPs evade all constraints, even too much: they are typically untestable!

### Very high energy neutrinos from N<sub>D</sub> decays

(Anisimov, PDB, 0812, 5085; PDB, P. Ludl, S. Palomarez-Ruiz 1606, 06238)

- > DM neutrinos unavoidably decay today into A+leptons (A=H,Z,W) through the same mixing that produced them in the very early Universe
- > Potentially testable high energy neutrino contribution

#### Energy neutrino flux

#### Flavour composition at the detector



#### Neutrino events at IceCube: 2 examples

10

10

Deposited EM-Equivalent Energy in Detector [TeV]





#### $M_{DM}$ =8 PeV

#### Flavour composition at the production Flavour composition at the detector



