Coexistence of Cluster and Mean-Field Dynamics and Duality of Many-Nucleon Wave Function

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1. Introduction

Structure of cluster states is very different from that of mean-field-type states.

But there is an important link between two structures.

It is the duality of of mean-field-type structure and cluster structure possessed by the ground state and some excited states.

The purpose of this talk is to discuss this duality.

- The duality is clearly seen in large magnitude of monopole transition between cluster states and the ground state.
- The existence of cluster states can be said to be an inevitable consequence of the duality of the ground state.
- We show this fact through the AMD reproduction of very many observables up to ⁴⁴Ti.

The duality of the ground state can be seen also in nuclear reactions.

The duality of the spatially-compact cluster state is important for understanding the cluster-gas state and liquid-gas phase transition, which is discussed by using AMD calculation of caloric curves. This talk will be published in the paper with the same title as this talk which is to appear In *Romanian Journal of Physics*, special volume celebrating 80th birthday of Prof. A.E. Sandulescu.

Detailed explanation of the many parts of this talk will be given in the paper by H.Horiuchi, K. Ikeda, and K. Kato in *Progress of Theoreticla Physics*, supplement volume, next April.

Some parts of this talk were published in previous papers;

H. Horiuchi, Lecture Notes in Physics (Springer), vol.818, 57-108 (2010).

T. Yamada, et al., Prog. Theor. Phys. 120, 1139 (2008).

2. Large difference of structure but strong monopole transitions between cluster states and the ground state

Structure of cluster states is very different from that of mean-field-type states.

For example, the Hoyle state of ¹²C Is a 3-alpha gas-like state whose density is about 1/3 of the ground-state density.

However, the observed strengths of the monopole transitions between the cluster states and the ground state are large and comparable with the single-nucleon strength.

This looks very contradictory because cluster states are described by superposed many-particle many-hole configurations.

E0 transition of the Hoyle state and those of many cluster states in ¹⁶O are good examples.

Single-nucleon strength of *E0* transition $M(E0) \sim \langle u_f | r^2 | u_i \rangle \sim (3/5)R^2 = 5.4 \text{ fm}^2 \text{ (for } R = \text{nuclear radius} = 3 \text{ fm} \text{)}$ uniform-density approximation for $u_f(r)$ and $u_i(r)$ $u(r) = (3/R^3)^{1/2}$ for $0 \leq r \leq R$ u(r) = 0 for R < r

Observed M(EO) in ¹⁶O and ¹²C

Single particle $M(E0) \sim 5.4 \text{ fm}^2$



Calculate *M(E0)* for 0_6^+ is 1.0 fm²

3. Duality of mean-field-type structure and cluster structure possessed by the ground state wave function

Large monopole transitions between cluster states and the ground state imply deep relation between cluster structure and ground-state structure.

It is the duality of of mean-field-type structure and cluster structure possessed by the ground state.

We explain this in the case of the *E0* transition of the Hoyle state to the ground state:

Main component of the ground state of ¹²C: $|(0s)^4(0p)^8, (\lambda, \mu) = (04)J = 0\rangle$ $= N_0 \mathcal{A} \{ R_{4,0}(\eta_1, (8/3)\nu) R_{4,0}(\eta_2, 2\nu) \phi(\alpha_1) \phi(\alpha_2) \phi(\alpha_3) \} g(X_G, 12\nu)$

Duality of shell model and cluster model structures

Bayman-Bohr theorem

α

 η_1

 $\widehat{}$

 η_2

α

Hoyle state:

$$\mathcal{A}\left\{\exp\left[-\gamma\sum_{i=1}^{3}(\boldsymbol{X}_{i}-\boldsymbol{X}_{G})^{2}\right]\phi(\alpha_{1})\phi(\alpha_{2})\phi(\alpha_{3})\right\}$$
$$=\mathcal{A}\left\{\exp\left[-\gamma((8/3)\eta_{1}^{2}+2\eta_{2}^{2})\right]\phi(\alpha_{1})\phi(\alpha_{2})\phi(\alpha_{3})\right\}$$

E0 transition is the transition of relative motion

$$R_{4,0}(\eta_1, (8/3)\nu)R_{4,0}(\eta_2, 2\nu) \iff \exp[-\gamma((8/3)\eta_1^2 + 2\eta_2^2)]$$

E0 transition

Actually, in the E0 transition operator,

 $O(E0,^{12}C) = \frac{1}{2} \sum_{i=1}^{12} (r_i - X_G)^2$ = $O(E0, \alpha_1) + O(E0, \alpha_2) + O(E0, \alpha_3) + \frac{1}{2} ((8/3)\eta_1^2 + 2\eta_2^2))$

only the relarive motion part, $((8/3)\eta_1^2 + 2\eta_2^2)$, contributes.

Analytic formula for the *E0* matrix element

$$M(E0, 0_{2}^{+} - 0_{1}^{+}) = \sqrt{\frac{7}{6}} \sqrt{\frac{\langle F_{4} \rangle}{\langle F_{5} \rangle}} \xi_{5} \langle R_{40}(r, \nu_{N}) | r^{2} | R_{60}(r, \nu_{N}) \rangle,$$

$$\begin{split} \langle F_n \rangle &= \langle Q_n | \mathcal{A} \{ Q_n \} \rangle, \quad Q_n = F_n(\xi_1, \xi_2) \phi(\alpha_1) \phi(\alpha_2) \phi(\alpha_3), \\ F_n(\xi_1, \xi_2) &= \frac{1}{4\pi} \sum_{n_1 + n_2 = n} \sqrt{\frac{(2n_1 + 1)!!(2n_2 + 1)!!}{2n_1!!2n_2!!}} R_{2n_1,0}(\xi_1, (8/3)\nu) R_{2n_2,0}(\xi_2, 2\nu), \\ \Phi_{0\frac{1}{2}} &= \sum_{n=5}^{\infty} \xi_n (e_n \mathcal{A} \{ Q_n \}), \quad ||e_n \mathcal{A} \{ Q_n \} || = 1. \end{split}$$

<Fn> represent the antisymmetrization effect, but they appear only in the form of ratio <F4>/<F5>.

This formula shows clearly that the *E0* strength is comparable with the single-nucleon strength.

 SU_3 shell model ground state $\longrightarrow M(E0) = 1.3 \text{ fm}^2$ Order of magnitude of the observed $M(E0) = 5.4 \text{ fm}^2$ is already obtained without G.S. correlation

With G.S. correlation due to 3-alpha motion, we get $M(E0) = 6.7 \text{ fm}^2$

Similar explanation applies to the case of ¹⁶O.

Main component of the ground state of ¹⁶O is the doubly-closed-shell wave function:

$$\det |(0s)^{4}(0p)^{12}| = C_{L}\mathcal{A} \left[R_{4,L}(r_{C-\alpha}, 3\nu) [Y_{L}(\hat{r}_{C-\alpha})\phi_{L}(^{12}C)]_{J=0}\phi(\alpha) \right] g(X_{G}, 16\nu)$$

$$= D_{L_{1,L_{2},L}}\mathcal{A} \left[R_{L_{1,L_{2},L}}^{12,J=0}(\eta_{1}, \eta_{2}, \eta_{3})\phi(\alpha_{1})\phi(\alpha_{2})\phi(\alpha_{3})\phi(\alpha_{4}) \right] g(X_{G}, 16\nu)$$

 $R_{L_1,L_2,L}^{12,J=0}(\eta_1,\eta_2,\eta_3) = [[R_{4,L_1}(\eta_1,(8/3)\nu)R_{4,L_2}(\eta_2,2\nu)]_L R_{4,L}(\eta_3,3\nu)]_{J=0}$

Doubly-closed-shell wave function = ${}^{12}C + \alpha$ wave function (most compact) = 4α wave function (most compact)



Duality of mean-field-type wave function and cluster wave function

E0 transitions between cluster states and the ground state are the <u>*E0* transitions of inter-cluster relative motions</u> of the cluster states and the ground state.

 0_2^+ state: main component is ${}^{12}C(0_1^+) + \alpha(S)$

$$\rightarrow \mathcal{A}\{\chi_L(r)[Y_L(\hat{r})\phi_L(^{12}\mathrm{C})]_0\phi(\alpha)\}$$

 0_3^+ state: main component is ${}^{12}C(2_1^+) + \alpha(D)$



E0 transition is the transition of relative motion $\chi_L(r) \longleftarrow R_{4,L}(r)$ (H.O. function, 4=2n+L)

 $M(E0) \equiv \langle n_{cl} \mathcal{A}\{\chi_L(r)[Y_L(\hat{r})\phi_L(^{12}C)]_0\} | \sum_{protons} r_p^2 | n_0 \mathcal{A}\{R_{4L}(r)[Y_L(\hat{r})\phi_L(^{12}C)]_0\} \rangle$

$$\begin{split} \nu_{N} &= \frac{m\omega}{2\hbar} & \tau_{L,N} \text{ represent the antisymmetrization effect, but they} \\ \pi_{L,N} &= \langle \Psi_{L,N} | \mathcal{A} \{ \Psi_{L,N} \} \rangle \\ \Psi_{L,N} &= R_{N,L}(r_{\alpha-C}, 3\nu) [Y_{L}(\hat{r}_{\alpha-C})\phi_{L}(^{12}\mathrm{C})]_{0}\phi(\alpha) \\ |0_{2}^{+}\rangle &= \sum_{N=6}^{\infty} \eta_{N}(C_{N}\mathcal{A} \{ \Psi_{0,N} \}), \quad ||C_{N}\mathcal{A} \{ \Psi_{0,N} \} || = 1, \\ |0_{3}^{+}\rangle &= \sum_{N=6}^{\infty} \zeta_{N}(D_{N}\mathcal{A} \{ \Psi_{2,N} \}), \quad ||D_{N}\mathcal{A} \{ \Psi_{2,N} \} || = 1, \end{split}$$

No contribution from ¹²C part and α part

E0 strength comes only from relative motion $O(E0, {}^{16}\text{O}) = (1/2) \sum_{i=1}^{16} (r_i - X_G)^2$ $= (1/2) \sum_{i \in {}^{12}\text{C}} (r_i - r_C)^2 + (1/2) \sum_{i \in \alpha} (r_i - r_\alpha)^2 + (1/2) \frac{12 \times 4}{16} r_{C-\alpha}^2$

With doubly-closed shell wave function, the order of magnitude of observed *E0* strengths are repoduced.

	Cal.	Obs.
$M(E0, 0^+_2 \to 0^+_1)$	1.97	3.55
$M(E0, 0_3^+ \to 0_1^+)$	3.89	4.03
	1	

4. Existence of cluster states as an inevitable consequence of the duality of the ground state

The ground states of ¹²C and ¹⁶O have dual characters of mean-field-type structure and cluster structure.

It implies that the ground states have both degrees of freedom of mean-field-type dynamics and clustering dynamics.

Therefore,

Excitation of mean-field degree of freedom \implies mean-field-type excited states Excitation of clustering degree of freedom \implies cluster-type excited states

A typical example can be seen in ¹⁶O: excitation by mean-field dynamics \implies 1p-1h states; (3⁻)₁, (1⁻)₁, (2⁻)₁, (0⁻)₁,..... excitation by clustering dynamics \implies ¹²C + α states; (0⁺)₂, (2⁺)₁, (1⁻)₂, (3⁻)₂,

 $\begin{aligned} &\det |(0s)^4 (0p)^{12}| \\ &= \boldsymbol{C}_L \mathcal{A} \{ \underline{R}_{4,L}(r) [Y_L(\hat{r})\phi_L(^{12}\mathrm{C})]_0 \phi(\alpha) \} \Longrightarrow \mathcal{A} \{ \underline{\chi_\ell(r)} [Y_\ell(\hat{r})\phi_L(^{12}\mathrm{C})]_J \phi(\alpha) \} \end{aligned}$

¹²C + α OCM calculation

Y.Suzuki, Prog. Theor. Phys. 56 (1976) 111.



Calculation (1) is for mean-field-type states, while calculations (2) – (6) are for cluster states with main components Lx I (${}^{12}C(L) x \alpha$ (I)).

The dual character of the ground state explained in ¹²C and ¹⁶O is common to all the N=Z=even light nuclei.

AMD studies have confirmed the existence of lots of clustering excited states by good reproduction of many experimental data. These cluster states are due to the excitation of the clustering degree of freedom imbedded in the ground state with dualilty character.

Typical examples are as follows:

²⁰Ne The ground band contains the ¹⁶O + α component at most 70 % whis is mostly equivalent to SU_3 shell model wave function due to Bayman-Bohr theorem:

 $|(0s)^{4}(0p)^{12}(1s,0d)^{4}; SU_{3}(8,0)J\rangle = C_{J}\mathcal{A}\{R_{8,J}(r_{\alpha-1^{6}O})\phi(\alpha)\phi(^{1^{6}O})\}\phi_{G}(r_{G})$

excitation by mean-field degree of freedom $\implies K^{\pi} = 2^{-}$ band (5p-1h) excitation by clustering degree of freedom $\implies {}^{16}\text{O} + \alpha$ states of $K^{\pi} = 0^{-}$ and $K^{\pi} = 0^{+}_{4}$ bands

> $K^{\pi} = 0^{-}$ band: Almost pure ¹⁶O+ α clustering for low spins: 2n+L = 9 $K^{\pi} = 0^{+}_{4}$ band: ¹⁶O+ α component is about 82% for low spins: 2n+L = 10 $\mathcal{A}\{\chi_{L}(r)Y_{L}(\hat{r})\phi(^{16}O)\phi(\alpha)\}$

AMD+GCM

M. Kimura Phys. Rev. C 69, 044319 (2004)



Observed levels of ²⁰Ne



$$\frac{1.03 \ 2}{1}$$

$$\frac{0. \ 0}{1}^{1}$$

$$K^{\pi} = 0_{1}^{*} \quad K^{\pi} = 0_{2}^{*} \quad K^{\pi} = 0_{3}^{*} \quad K^{\pi} = 0_{4}^{*} \quad (K^{\pi} = 2^{*}) \quad K^{\pi} = 2^{-} \quad K^{\pi} = 0^{-}$$

⁴⁴Ti

The ground band contains the ⁴⁰Ca + α component at most 40 % whis is mostly equivalent to SU₃ shell model wave function due to Bayman-Bohr theorem:

 $|^{40}$ Ca $(0f, 1p)^4$; $SU_3(12, 0)J\rangle = D_J \mathcal{A}\{R_{12,J}(\boldsymbol{r}_{\alpha-40}Ca)\phi(\alpha)\phi(^{40}Ca)\}\phi_G(\boldsymbol{r}_G)$

excitation by mean-field degree of freedom $\implies K^{\pi} = 3^{-}$ band (5p-1h), superdeformed band ($K^{\pi} = 0^{+}$), its side-band ($K^{\pi} = 2^{+}_{1}$)

excitation by clustering degree of freedom $\implies {}^{40}Ca + \alpha$ states of $K^{\pi} = 0^{-}$, N = 14 ($K^{\pi} = 0^{+}$), and N = 15 ($K^{\pi} = 0^{-}$) bands

 $K^{\pi} = 0^{-}$ band: ⁴⁰Ca+ α component is about 55 % for low spins: 2n+L = 13, N = 14 band: ⁴⁰Ca+ α component is about 46 % for low spins: 2n+L = 14, N = 15 band: ⁴⁰Ca+ α component is about 63 % for low spins: 2n+L = 15

 $\mathcal{A}\{\chi_L(r)Y_L(\hat{r})\phi(^{40}\mathrm{Ca})\phi(\alpha)\}$



⁴⁰Ca + α component is contained much in bold line levels

We have seen that the cluster states are formed inevitably by the excitaion of the degrees of freedom of inter-cluster relative motion embedded in the ground state having the dual character.

Namely, the existence of cluster states is an inevitable consequence of the dual character of the ground state.

²⁰Ne Ground band : ${}^{16}O_{+} \alpha$ component: about 70 % for low spins, (duality component) about 30 % for high spins.

⁴⁴Ti Ground band : ${}^{40}Ca+\alpha$ component: about 40 % for low spins, (duality component) about 5 % for high spins.

Cluster components (duality components) contained in the ground state (band) become increasingly minor in hevier nuclei. It Is the decrease of the SU₃ components with dual character in heavier nuclei.

However, there may be excited mean-field-type states which have dual character.

Duality of of mean-field-type structure and cluster structure is possessed also by some excited states.

These excited states are formed by the mean-field dynamics from the ground state.

Two examples are as follows:

²⁸Si

The ground state is a band head of an oblate band, while there exists <u>a band with prolate deformation upon 6.7 MeV 0</u>⁺ <u>whose main component has an SU₃ symmetry (12,0).</u> This SU₃ wave function has the following duality character:

$$\begin{aligned} |(1s0d)^{12}[4](12,0)J,S &= T = 0 \rangle \\ &= b_J \mathcal{A} \left\{ [R_{16}(r_{\rm O-C},(48/7)\nu)\phi_{(0,4)}(^{12}{\rm C})]_{(12,0),J}\phi(^{16}{\rm O}) \right\} g(\boldsymbol{X}_G,28\nu) \\ &\quad [R_{16}(r_{\rm O-C},(48/7)\nu)\phi_{(0,4)}(^{12}{\rm C})]_{(12,0),J} \\ &= \sum_i \langle (16,0)\ell_i,(0,4)L_i || (12,0)J \rangle R_{16,\ell_i}(r_{\rm O-C},(48/7)\nu) [Y_{\ell_i}(\hat{r}_{\rm O-C})\phi_{L_i}(^{12}{\rm C})]_J \end{aligned}$$

The excitation of degree of freedom of ¹⁶O-¹²C clustering gives rise to the formation of ¹⁶O-¹²C molecular states.



D.Baye Nucl.Phys.A272(1976),445. D.Baye and P.H.Heenen,Nucl.Phys.A283(1977),176.

> Calculations: lines with crosses and circles Experiments: triangles and squares ¹⁶O+¹²C resonances

Any Hartree-Fock calculations and also AMD calculation predict the existence of the superdeformed band which starts from $E_x \sim 9$ MeV.

HF(B) calculations for ³²S



R. R. Rodriguez-Guzma'n, et. al. Phys. Rev. C62 (2000), 054308.



- SD magic # N=Z=16
- HF(B) calculations predict the superdeformed minima.
- SD band starts about 9 MeV above the g.s.
- Density distribution shows two centre nature.

32**S**

AMD calculation for ³²S



M. Kimura and H. Horiuchi, Phys. Rev. C69, 051304 (R) (2004)

The superdeformed band has a 4p-4h excited structure from the ground state.

Its main configuration has an SU₃ symmetry (24,0) and the following duality character:

4p - 4h excitation

 $= (0, 0, 0)^{4} (1, 0, 0)^{4} (0, 1, 0)^{4} (0, 0, 1)^{4} (1, 0, 1)^{4} (0, 1, 1)^{4} (0, 0, 2)^{4} (0, 0, 3)^{4}$ $= n \mathcal{A} \{ \underline{X_{(0,0,24)}(r_{O-O})} \phi(^{16}O) \phi(^{16}O) \} \phi_{G}(r_{G})$

3-dimensional Cartesian H.O. w.f. $X_{(n_x,n_y,n_z)}(r)$

The excitation of the ¹⁶O-¹⁶O clustering degree of freedom imbedded in the superdeformed band states gives rise to the formation of ¹⁶O-¹⁶O molecular states.

Actually, the AMD+GCM calculation along the energy curve does give rise to the formation of ¹⁶O-¹⁶O molecular states.

Numbers are amount of $^{16}O + ^{16}O$ component in the wave function



³²S

5. The duality of the ground state appearing in nuclear reactions

Cluster transfer reactions

Alpha transfer reaction Theoretical description is always based on the duality of the ground state wave function:

(6Li, d): $6Li = \alpha + d$, (7Li, t): $7Li = \alpha + t$, (16O, 12C): $16O = 12C + \alpha$, etc.

Related reaction processes :

- i. breakup reaction into alpha + others,
- ii. knockout reaction of alpha(s) such as quasi-elastic process,
- iii. dynamical fragmentation reaction (non-statistical),

(Takemoto et al. Phys. Rev. C54, 266 (1996))

¹⁴N+¹²C collisions at 35 MeV/u

AMD calculation

H.Takemoto, H.Horiuchi, and A.Ono, Phys.Rev.C54, 266(1996); C57, 811(1998)



6. Cluster-gas state, liquid-gas phase transition, and the duality of compact nuclear states



Nuclear states near zero temperature (T=0)

The lowest-energy spatially-localized cluster states, $C_1+C_2+\ldots+C_n$, are states of almost zero temperature, which are located near the threshold of $C_1+C_2+\ldots+C_n$.

The lowest-energy cluster states are not liquid-like,

because the "liquid-like compact cluster state" is equivalent to mean-field-type state and so is not a real cluster state. This is just due to the dual character of the

compact cluster wave function.

When the temperature is raised from zero, two types of excitation occur: one is the mean-field-type excitation of individual clusters with liquid nature, and the other is the excitation of the inter-cluster relative motions.

These features can be studied by the AMD calculation of the caloric curve of finite nuclei.

Cluster dissociation thresholds



T=0

¹²C



FIG. 3. The constant volume caloric curves for the A = 36 system obtained by AMD. The lines correspond to the container size $r_{wall} = 5, 5.5, 6, 6.5, 7, 8, 9$, and 11 fm from the top. Statistical uncertainty is shown by error bars. The line of $E/A = (E^* + E_{g.s.})/A = (3/2)T$ is drawn for comparison.



PRC 74, 014612

Caloric curves of a nucleus with N = Z = 18

AMD calculation (Furuta-Ono)

FIG. 5. (Color online) The constant pressure caloric curves for the A = 36 system obtained by AMD. The lines correspond to the pressure P = 0.02, 0.03, 0.05, 0.07, 0.10, 0.15, 0.20, 0.25, 0.30 and 0.40 MeV/fm³ from the bottom. Statistical uncertainty is shown by error bars. The curves of $E^*/A = T^2/(8 \text{ MeV})$ and $E^*/A = T^2/(13 \text{ MeV})$, and the line of E/A = (3/2)T are drawn for comparison.

Fragment mass distribution on the caloric curve of a nucleus with N = Z = 18

AMD calculation (Furuta-Ono) PRC 74, 014612



FIG. 6. (Color online) The fragment mass distributions along the $P = 0.05 \text{ MeV/fm}^3$ line. Full lines are the distributions obtained with $r_{\text{clust}} = 2.5 \text{ fm}$.

Constant-presuure caloric curve before reaching nucleon-gas phase comes down towards the zero-temperature line (abscissa) when the presuure becomes smaller.

(similarly, constant-volume caloric curve before reaching nucleon-gas phase comes down towards the zero-temperature line (abscissa) when the volume becomes larger.)

Therefore, the nuclear configuration along the caloric curve for low pressure has a close relation with the nuclear configuration along the zero temperature line.

The fragment-mass distribution along the caloric curve calculated with AMD looks consistent with the distribution of the dissociation thresholds into clusters.

It is to be noted that the lowest-energy cluster states on the zero-temperature line are not liquid-like as explained above.

7. Summary

- (1) Although cluster states are very different from the ground state in structure, monopole transitions between them are strong in general having comparable magnitude with single-nucleon strength.
- (2) Duality of mean-field-type structure and cluster structure possessed by the ground state explains the large *E0* strengths of cluster states to the ground state.
- (3) Existence of cluster states is an inevitable consequence of the duality of the ground state.

This is supported by AMD studies of many nuclei. There are cluster states which are formed due to the duality of excited mean-field-type states.

- (4) The duality of the ground state appears in several types of nuclear reactions.
- (5) The duality of compact nuclear states play important roles for the study of liquid-gas phase transition and cluster-gas states.