

# The KATRIN Neutrino Mass Experiment

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Institute of Experimental Nuclear Physics

NPB 2012

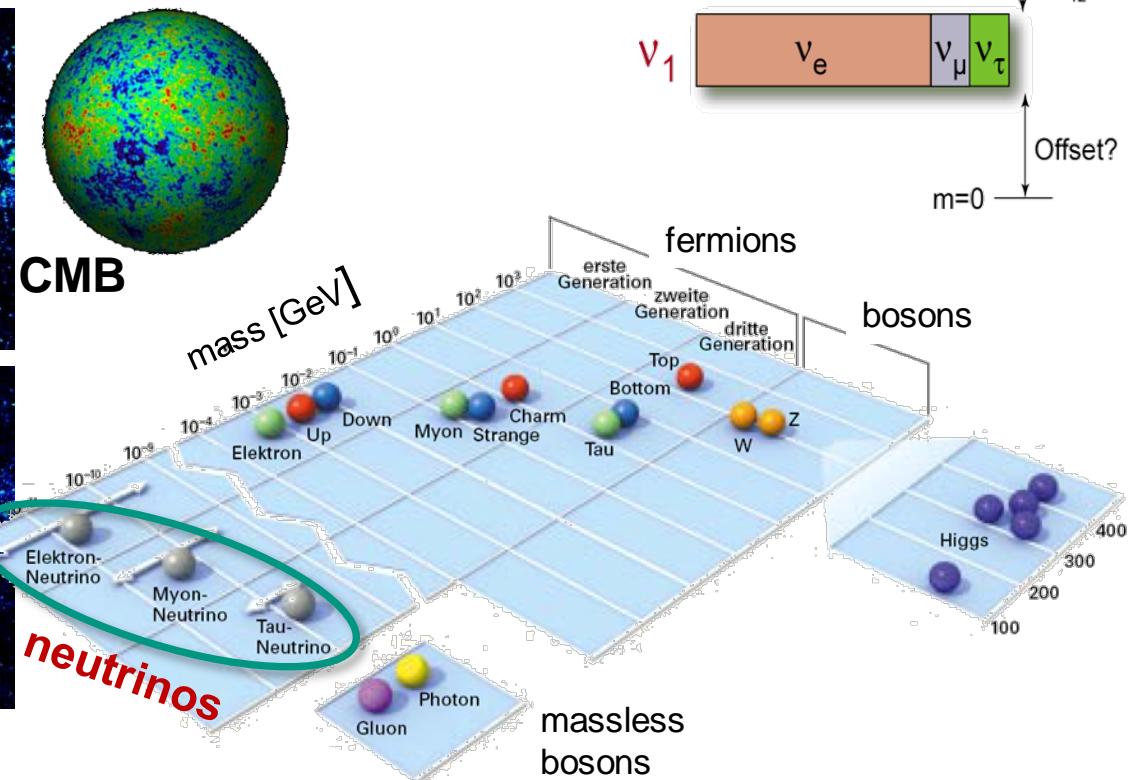
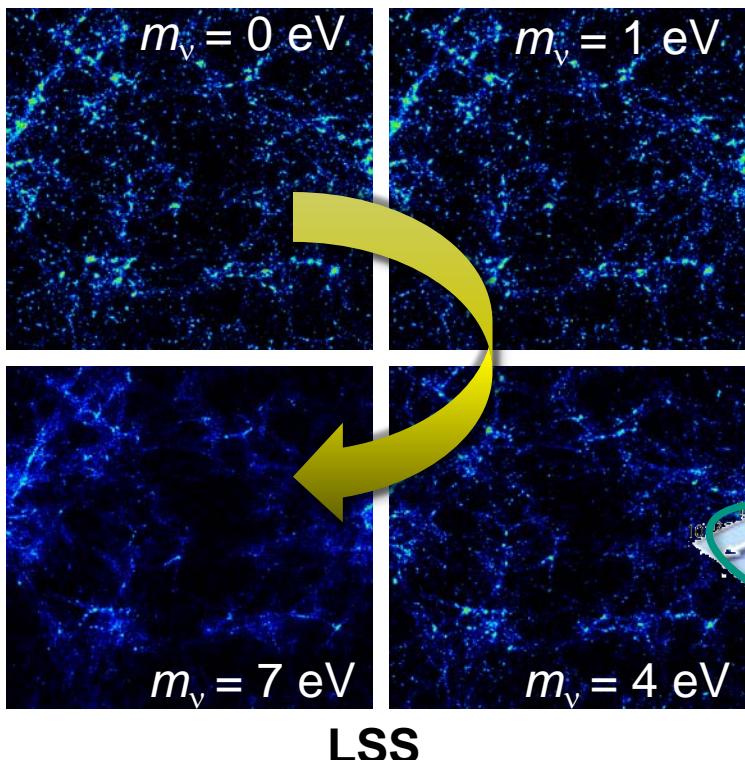


- Neutrino mass measurement
- $\beta$ -decay and MAC-E-Filter
- KATRIN experiment
  - setup
  - test measurements
  - sensitivity
  - schedule and future goals
- Conclusions

# Motivation: $\nu$ 's in Astroparticle Physics

**cosmology:** role of  $\nu$ 's as hot (warm) dark matter?  
**particle physics:** origin and hierarchy of the  $\nu$ -mass?  
 Majorana/Dirac, CP, sterile  $\nu$ , ... ?

cosmology  $\leftrightarrow$  particle physics



# Current limits for the neutrino mass

## Cosmology:

- LSS, CMB, ...
- model dependent
- status:  $\sum m_\nu < 0.4 \text{ eV}$  (0.3 – 2.0 eV)
- future sensitivity: 0.1 – 0.6 eV

$$\sum_{j=1}^3 m_j$$

S. Hannestad et al., *JCAP* 08 (2010) 001

## Supernova:

- ToF measurement SN 1987A
- status:  $m_\nu < 5.7 \text{ eV}$  (PDG 2006)
- future sensitivity: sub-eV ?

## $0\nu\beta\beta$ -decay:

- eff. Majorana mass
- model dependent (NME)
- cancellation ? (Majorana phase  $\alpha$ )
- status:  $m_{\beta\beta} = 0.32 \text{ eV}$  ? (K.-K.)
- future sensitivity: 20 – 50 meV

$$\sum_{j=1}^3 |U_{ej}|^2 \cdot e^{i\alpha_j} \cdot m_j$$

complementary measurements

## $\beta$ -decay:

- eff. neutrino mass
- model independent (kinematics)
- status:  $m_\beta < 2 \text{ eV}$  (Mainz, Troitsk)
- future sensitivity: 200 meV

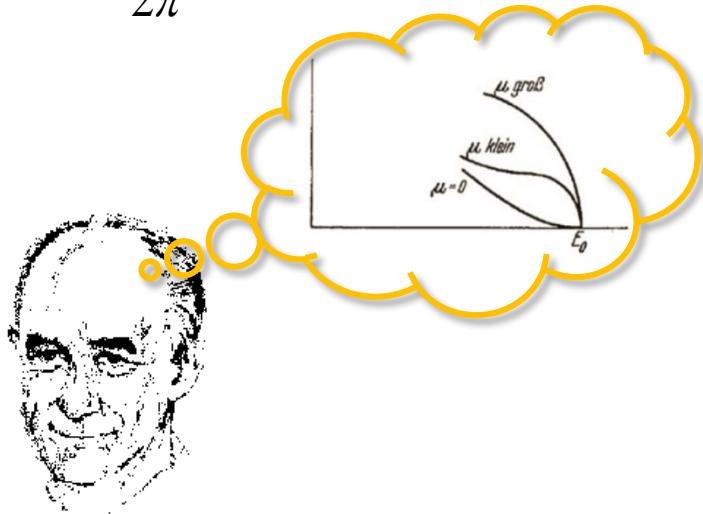
$$\sum_{j=1}^3 |U_{ej}|^2 \cdot m_j$$

# $\beta$ -decay – Fermi theory & neutrino mass

$\beta$ -decay kinematics close to endpoint  $E_0$ : model independent measurement of  $m(\nu_e)$ , based solely on **kinematic parameters & energy conservation**

$$\frac{d\Gamma_i}{dE} = C \cdot p \cdot (E + m_e) \cdot (E_0 - E) \cdot \sqrt{(E_0 - E)^2 - m_i^2} \cdot F(E, Z) \cdot \theta(E_0 - E - m_i)$$

$$G_F^2 \cdot \frac{m_e^5}{2\pi^3} \cdot \cos^2 \theta_C \cdot |M|^2$$



observable  $m_\beta^2$   
effective  
'electron-v-mass'

$$m_\beta = \sqrt{\sum_{i=1}^3 |U_{ei}|^2 \cdot m_i^2}$$

'incoherent' sum of the  
mass eigenstates  $m_i$

small modifications by final states, radiative & recoil corrections

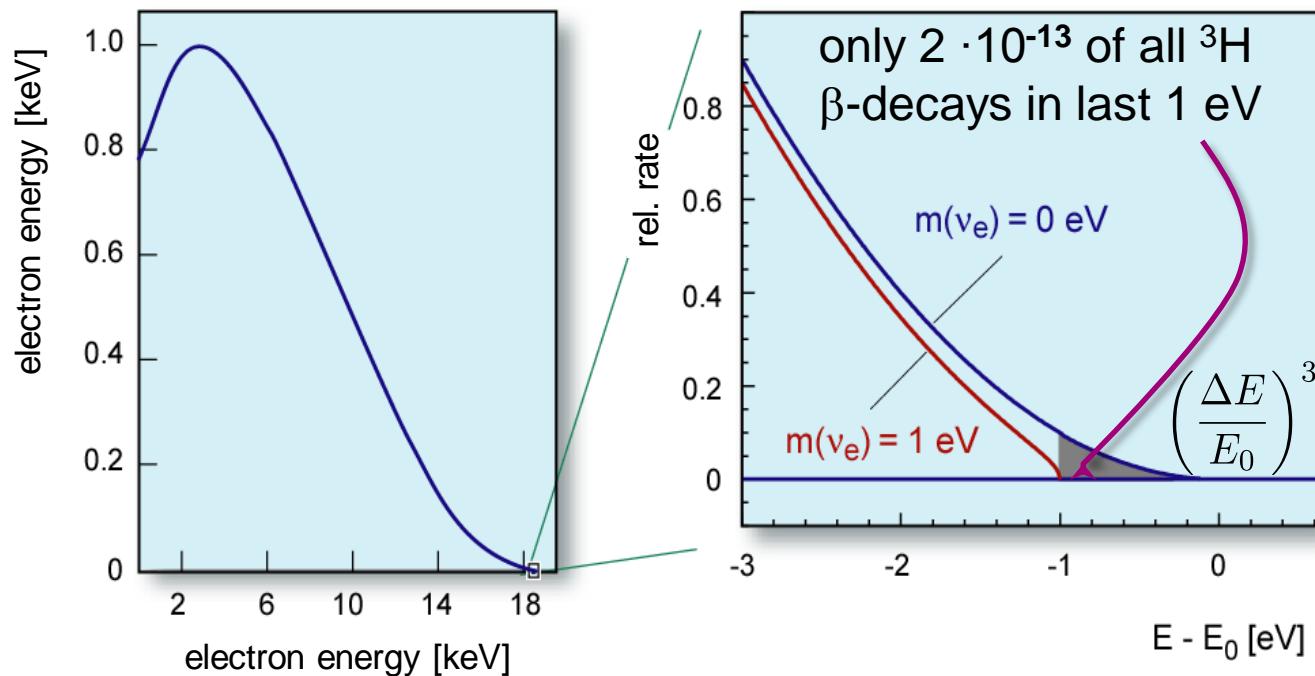
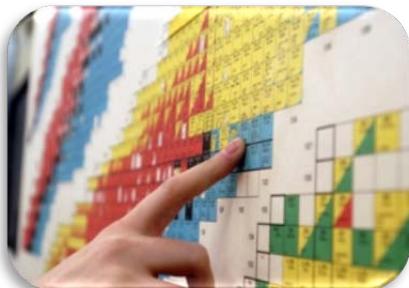
E W Otten and C Weinheimer  
Rep. Prog. Phys. 71 086201 (2008)

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which isotope yields the highest  $\beta$ -intensity &  $\nu$ -mass sensitivity?

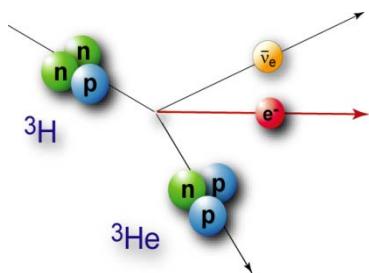


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which isotope yields the highest  $\beta$ -intensity &  $\nu$ -mass sensitivity?



## $\beta$ -source requirements

short half life  $t_{1/2} \Rightarrow$  high luminosity

low endpoint energy  $E_0$

superallowed/allowed transition

simple atomic/molecular structure

## ${}^3\text{H}$ : super-allowed

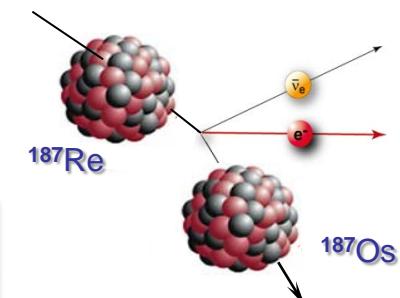
$E_0$  18.6 keV

$t_{1/2}$  12.3 y

## ${}^{187}\text{Re}$ : unique 1<sup>st</sup>

$E_0$  2.47 keV

$t_{1/2}$  43.2 Gy



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which detector?



## $\beta$ -detection requirements

large solid angle ( $\sim 2\pi$ )

low background rate

high energy resolution ( $\sim$ eV)

short dead time, no pile up

## spectrometer

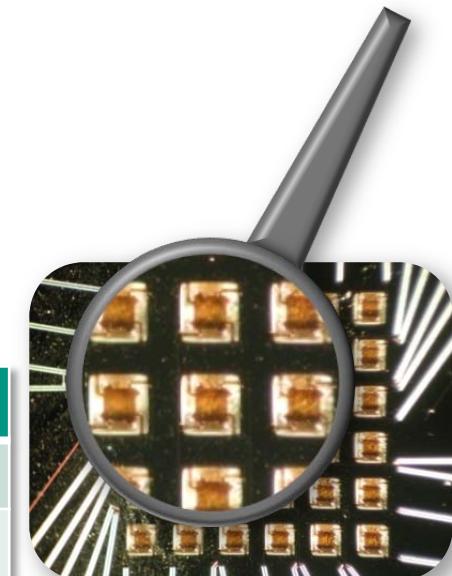
external  $\beta$ -source

$\beta$ -source:  $^3\text{H}$

## calorimeter

$\beta$ -source = detector

$\beta$ -source:  $^{187}\text{Re}, ^{163}\text{Ho}$



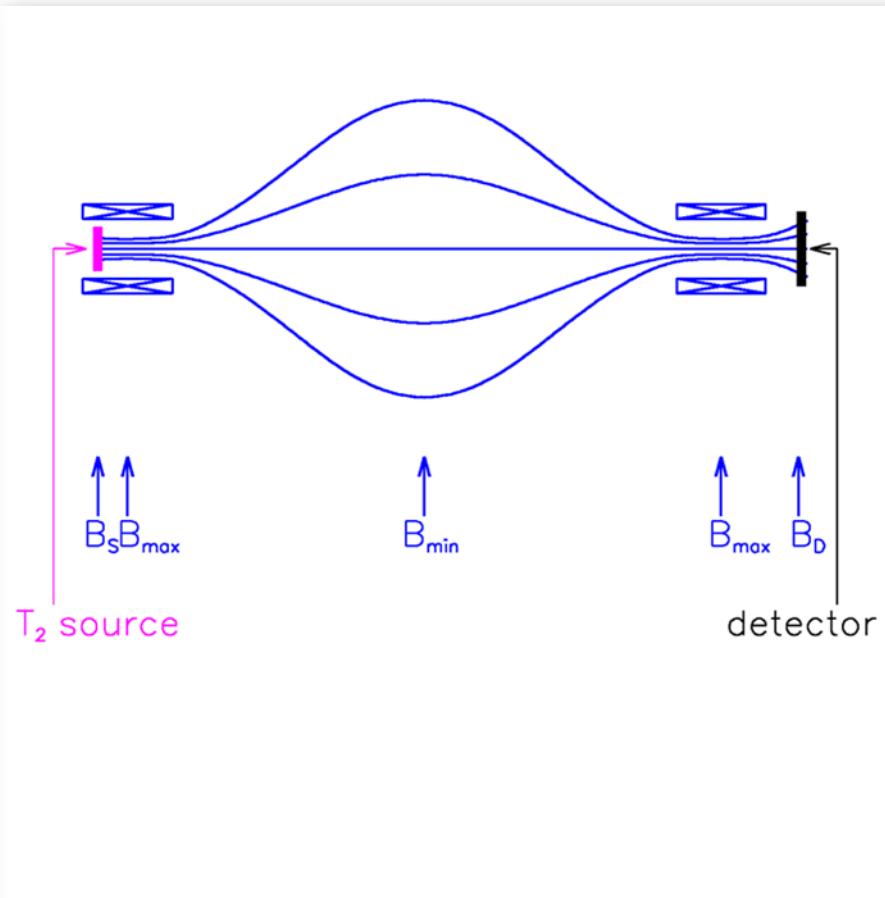
# Principle of the MAC-E-Filter

Magnetic Adiabatic Collimation + Electrostatic Filter



A. Picard et al.,  
Nucl. Instr. Meth. 63 (1992) 345

- Two supercond solenoids compose magnetic guiding field
- Electron source ( $T_2$ ) in left solenoid
- Detector in right solenoid



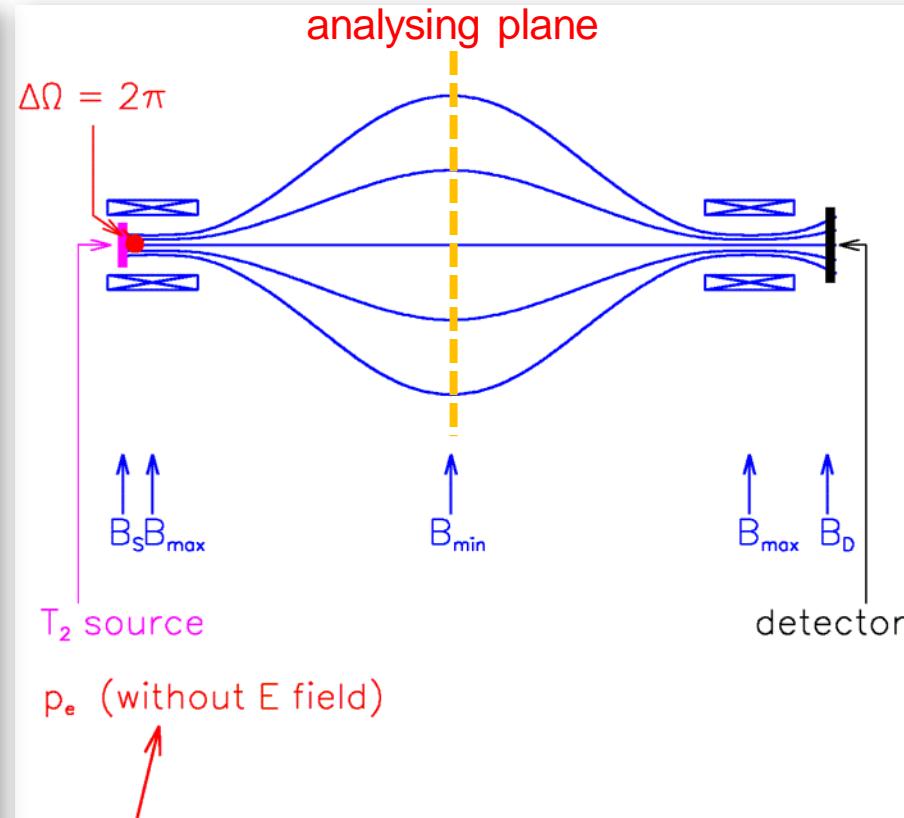
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- Electron source ( $T_2$ ) in left solenoid
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- $e^-$  magnetically guided
  - cyclotron motion
- adiabatic transformation:
  - $\mu = E_\perp / B = \text{const.}$  (magn. moment)
  - parallel  $e^-$  beam (analysing plane)



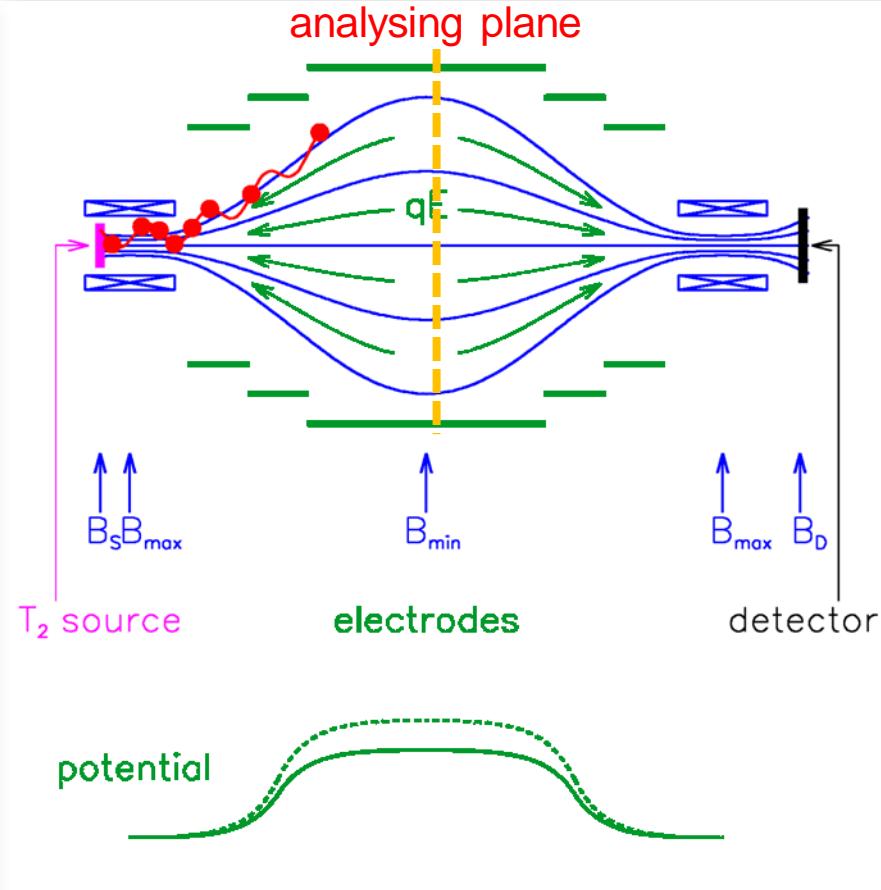
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- adiabatic transformation:
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  - parallel  $e^-$  beam (analysing plane)
- Energy analysis by electric field
  - $\Delta E = E \cdot B_{\min}/B_{\max}$  (magn. moment)
  - $\Delta E = E \cdot A_{\text{src}}/A_{\text{ana}}$  (magn. fluxtube)



# The KATRIN Experiment @ KIT

(KArlsruhe TRItium Neutrino experiment)

## Collaboration:

- 130 scientists
- 5 countries
- 14 institutions



THE UNIVERSITY  
of NORTH CAROLINA  
at CHAPEL HILL

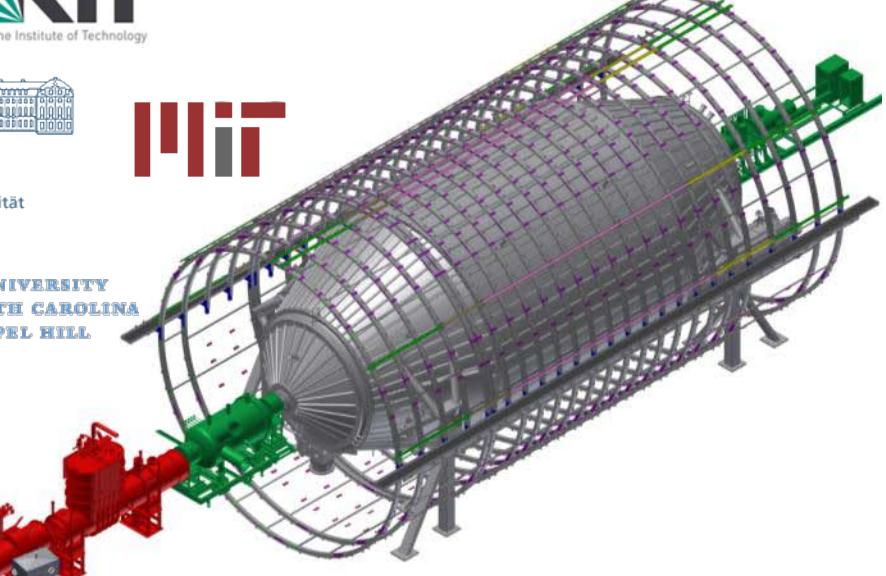


Fachhochschule Fulda  
University of Applied Sciences



bmb+f - Förderschwerpunkt  
Astroteilchenphysik  
Großgeräte der physikalischen  
Grundlagenforschung

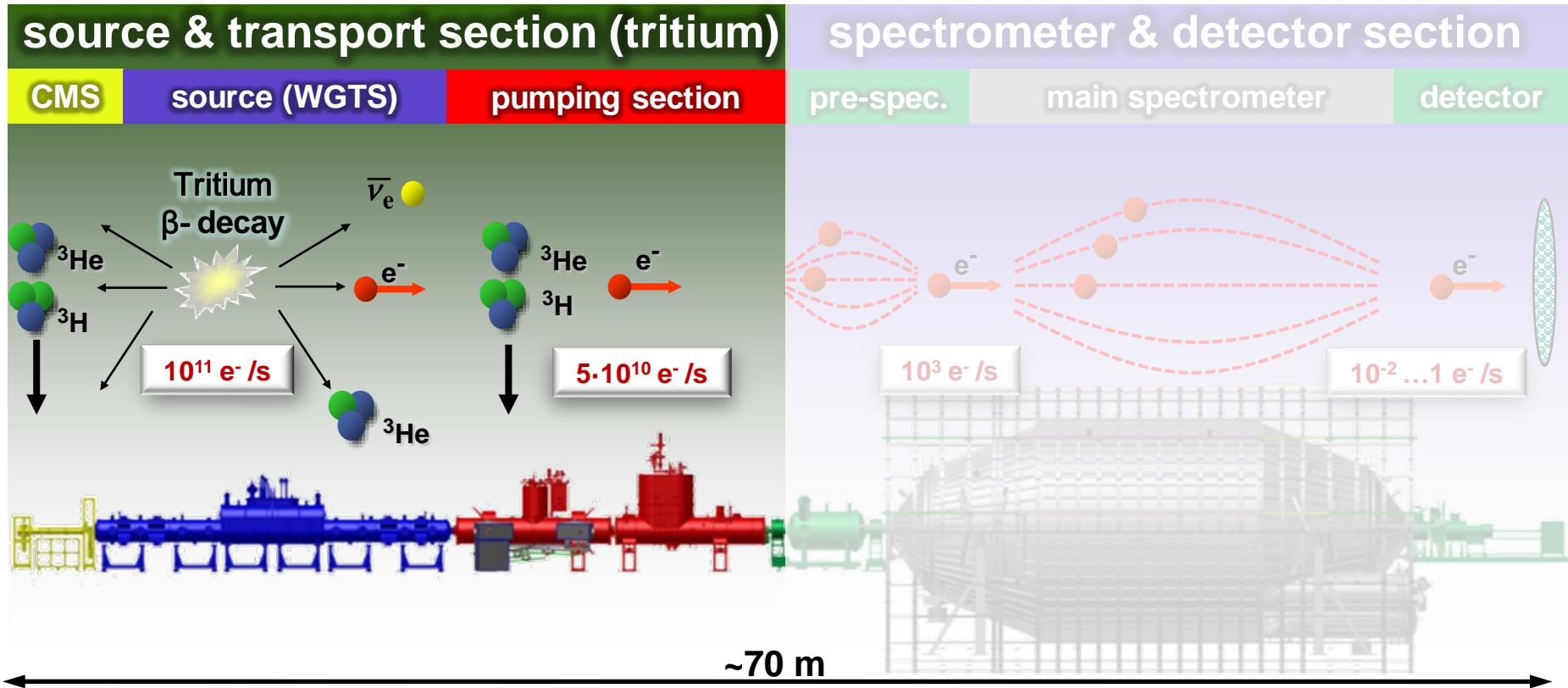
Deutsche  
Forschungsgemeinschaft



## Experimental objective:

- model-independent neutrino mass
- sensitivity:  $0.2 \text{ eV}/c^2$
- source: gaseous tritium ( $\beta$ -decay)

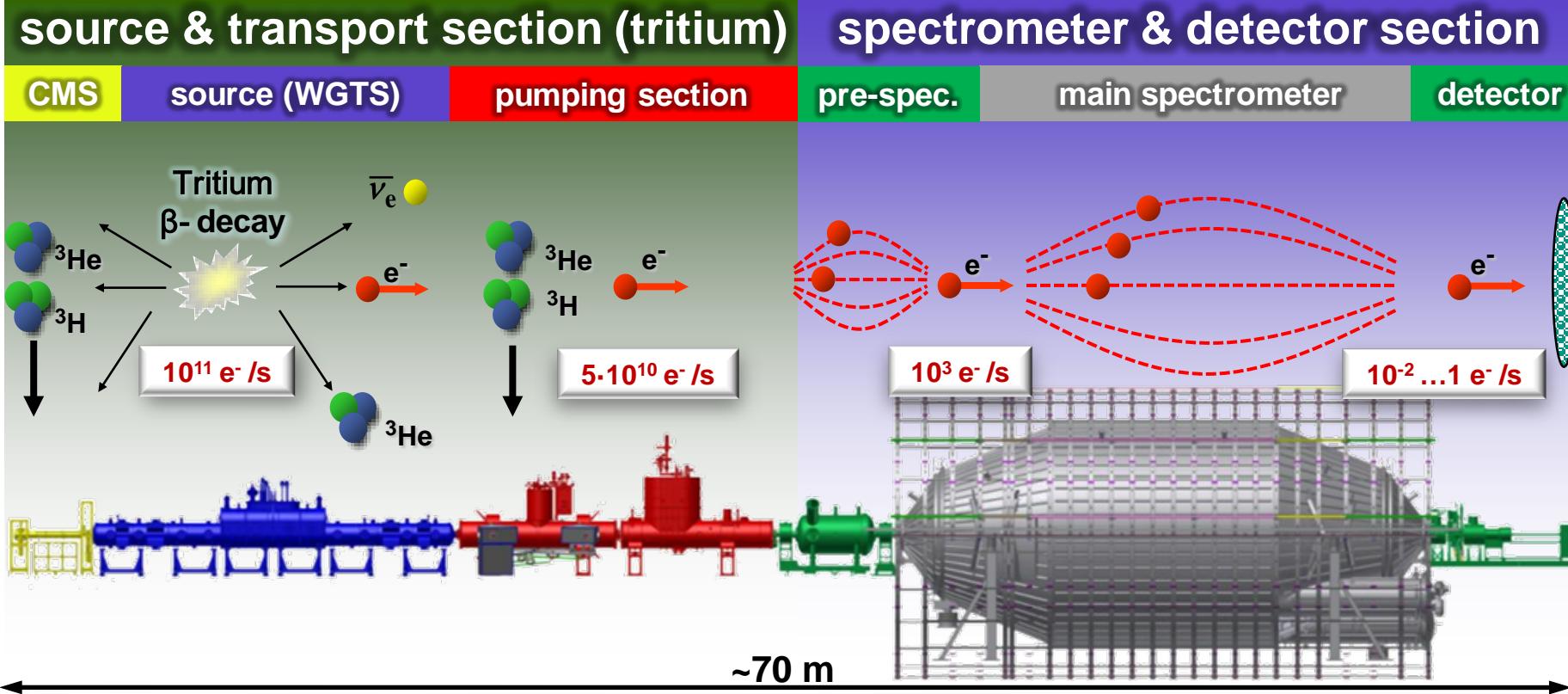
# KATRIN Setup and Requirements



- $<10^{-3}$  stability of tritium column density  $\rho d$
- tritium suppression factor  $>10^{14}$
- effective removal of ions ( $R = 10^8$ )
- fully adiabatic (meV scale) transport of  $e^-$

- energy resolution = 0.93 eV
- absolute energy scale: ppm accuracy
- avoid particle storage in Penning-like traps
- background rate  $< 10^{-2}$  cps

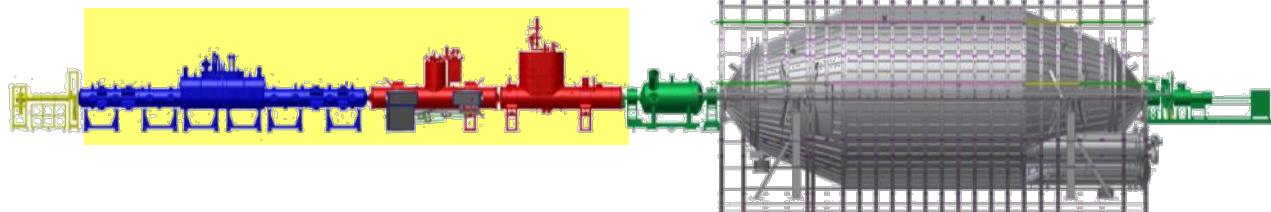
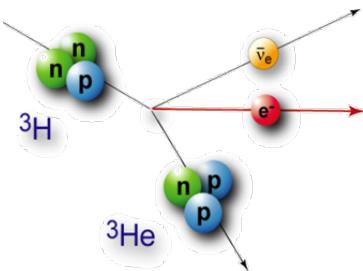
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# Tritium Source: Loop System

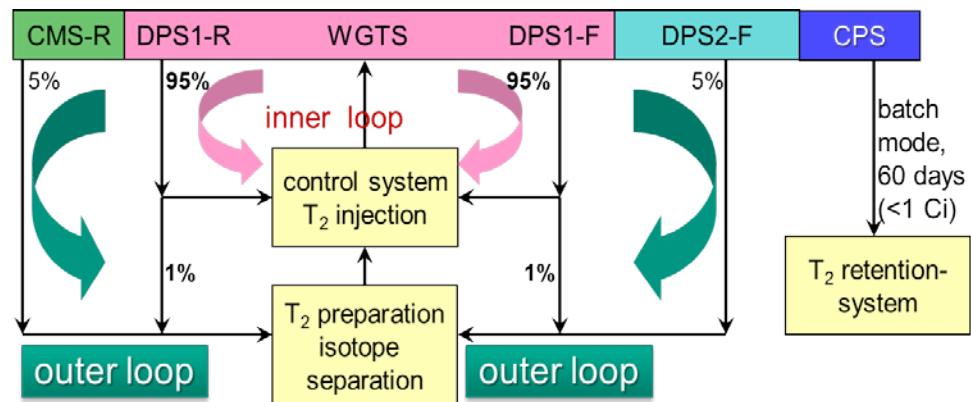
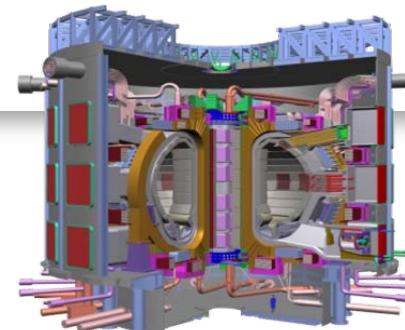


**KATRIN tritium throughput per year is equivalent to ITER fusion facility**

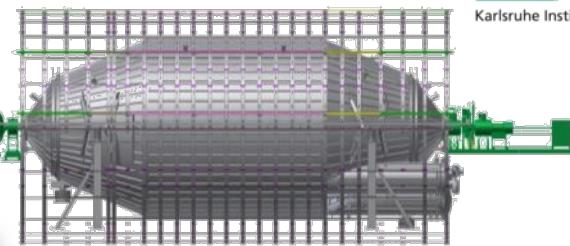
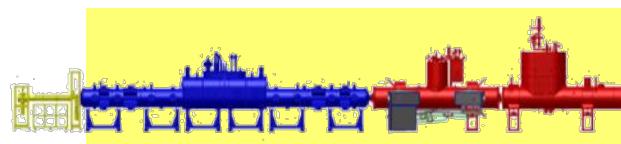
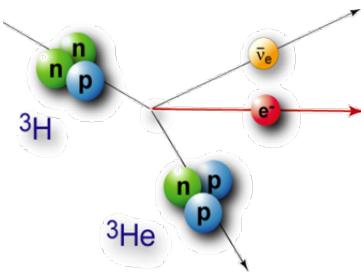
- tritium source is operated as closed cycle:
- throughput of 40 kg/year



TLK – a unique research facility



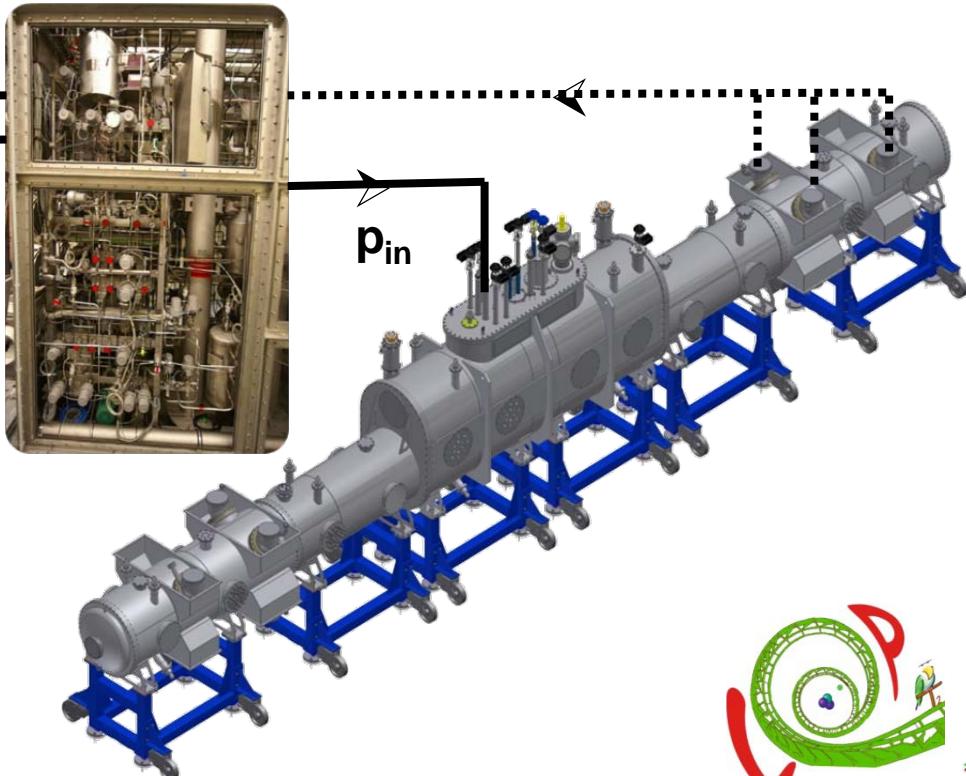
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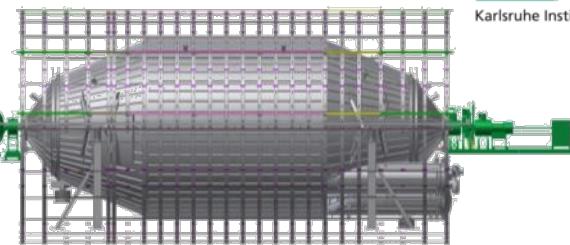
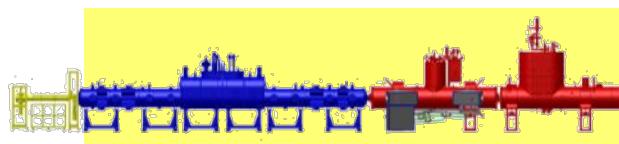
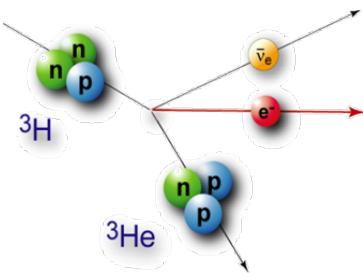
$10^{-4}$  stability for  $p_{in}$  achieved in test set-up



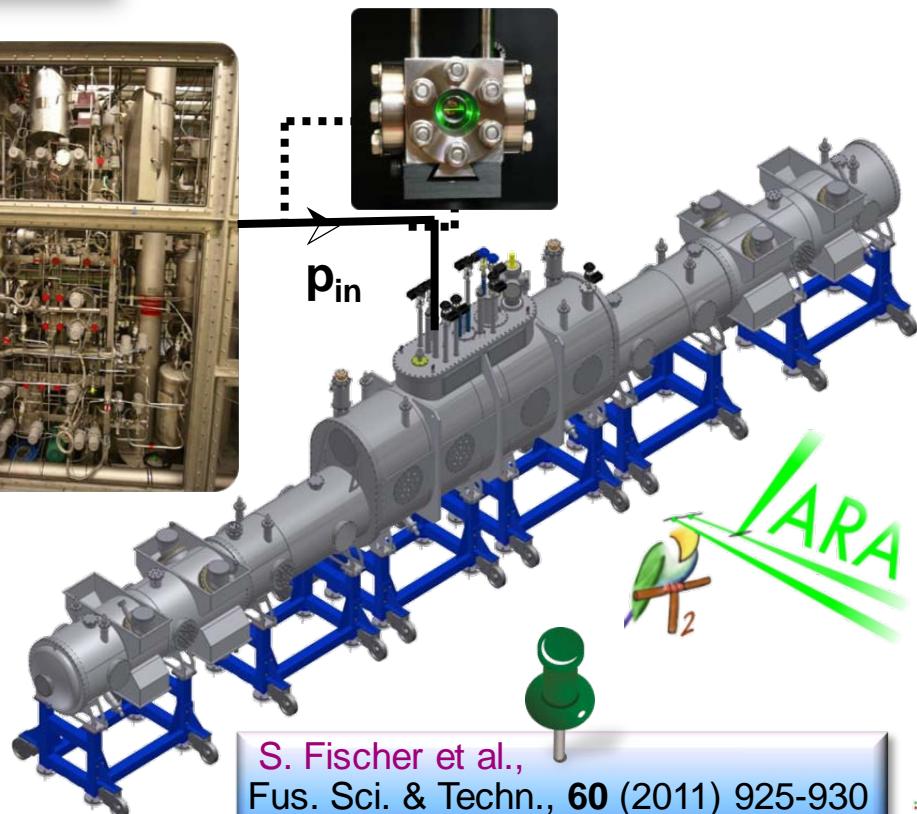
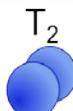
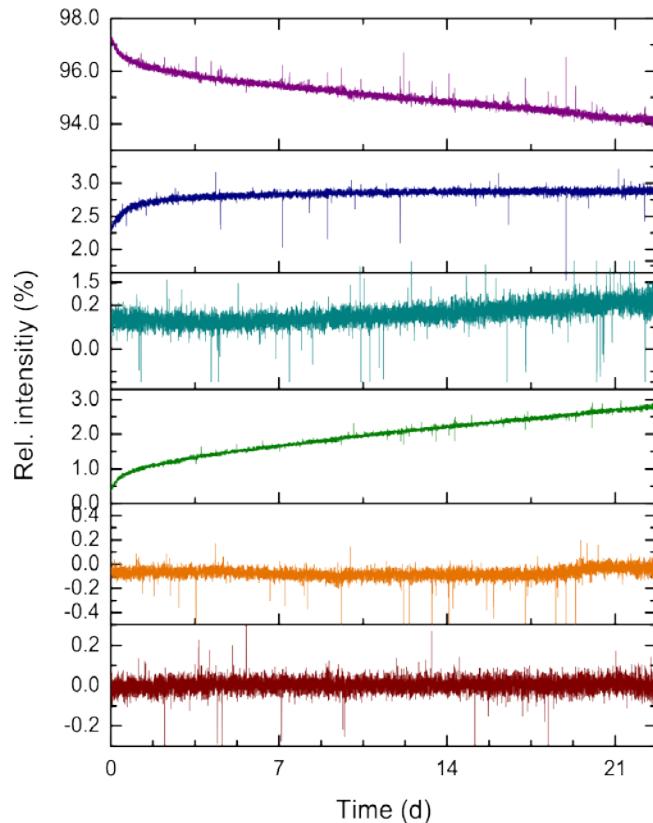
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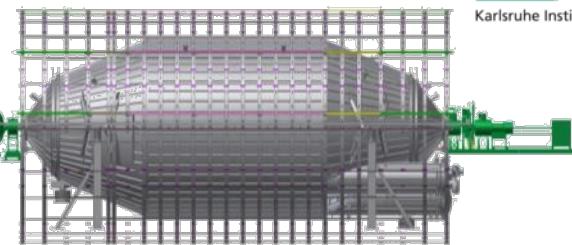
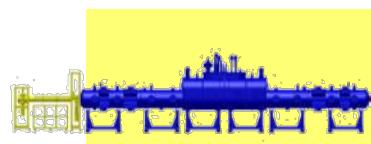
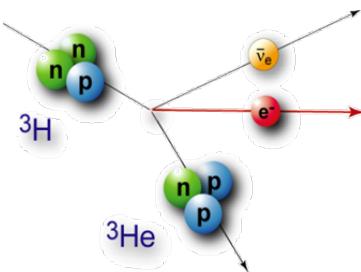
# Tritium Source: Loop System



Laser-Raman spectroscopy:  $10^{-3}$  in 60 s



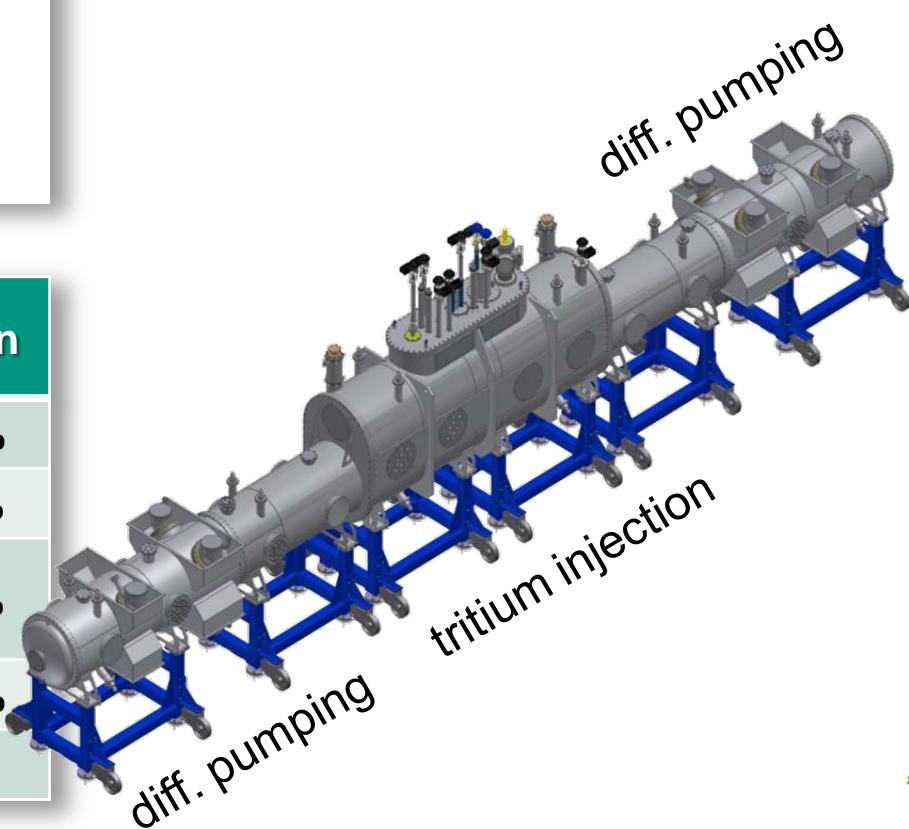
# Tritium Source: WGTS



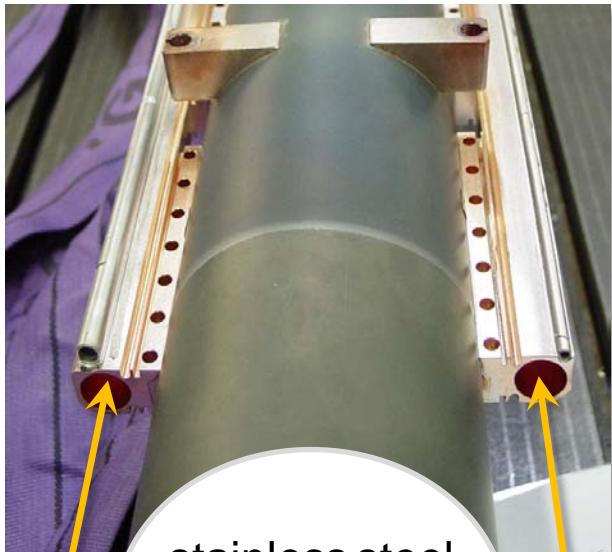
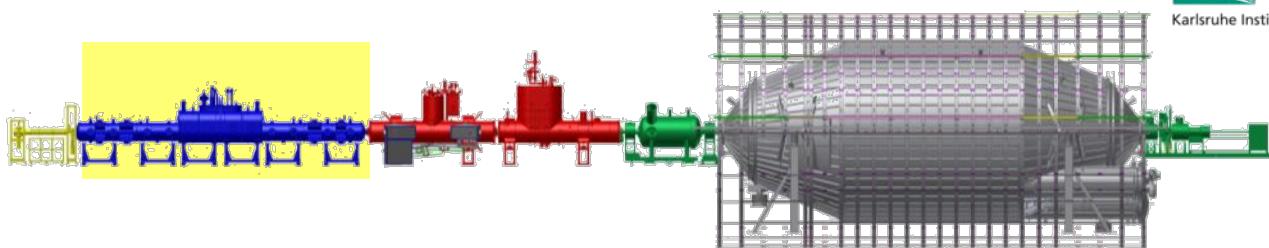
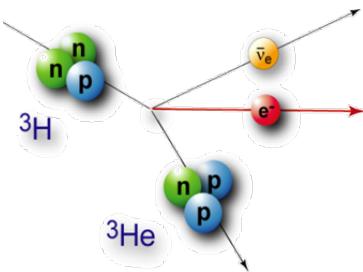
## Windowless Gaseous Tritium Source

- molecular tritium source
- high luminosity
- high stability

WGTS	design value	precision
luminosity	$1.7 \times 10^{11}$ Bq	$\pm 0.1\%$
injection rate	$5 \times 10^{19}$ mol/s	$\pm 0.1\%$
column density pd	$5 \times 10^{17}$ mol/cm <sup>2</sup>	$\pm 0.1\%$
tritium purity	> 95%	$\pm 0.1\%$
magnetic field	3.6 T	$\pm 2\%$



# Tritium Source: Demonstrator



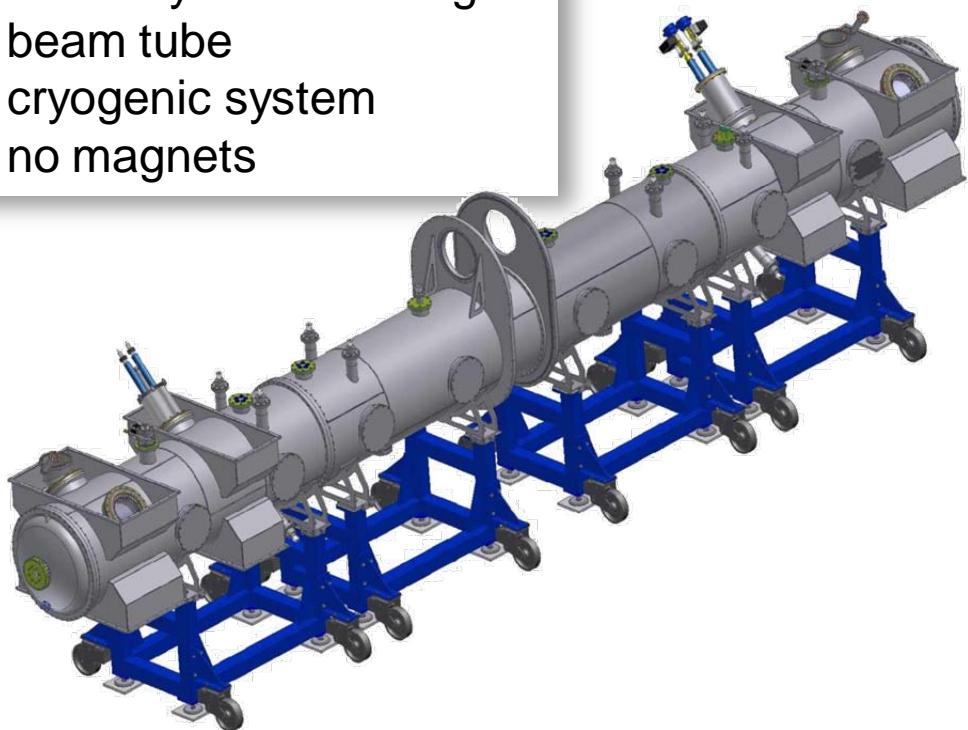
stainless steel  
beam tube  
 $\varnothing=90\text{mm}$

2-phase  
Neon

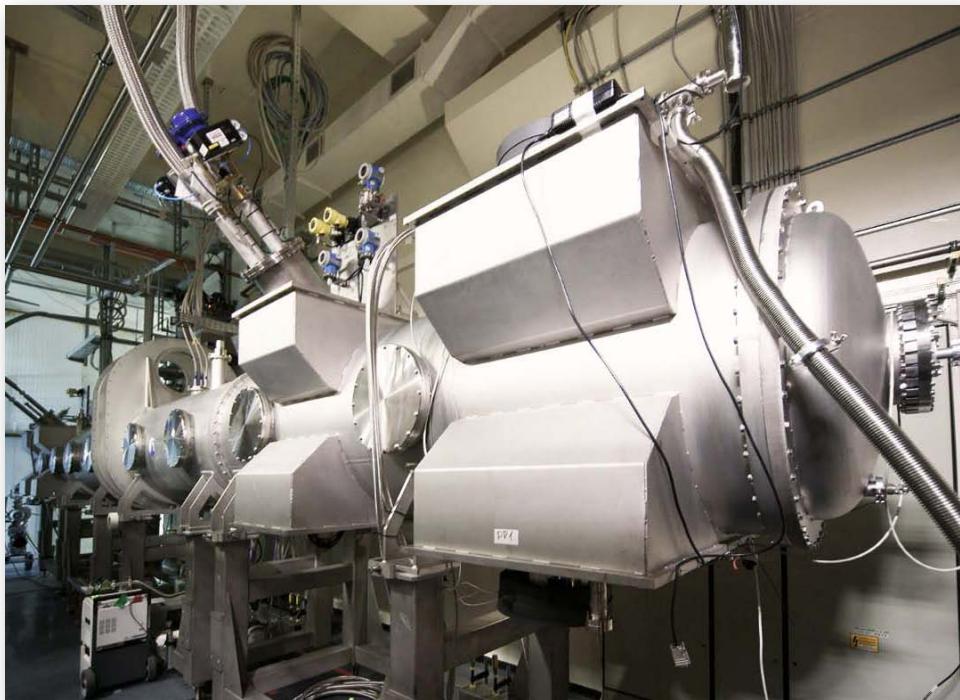
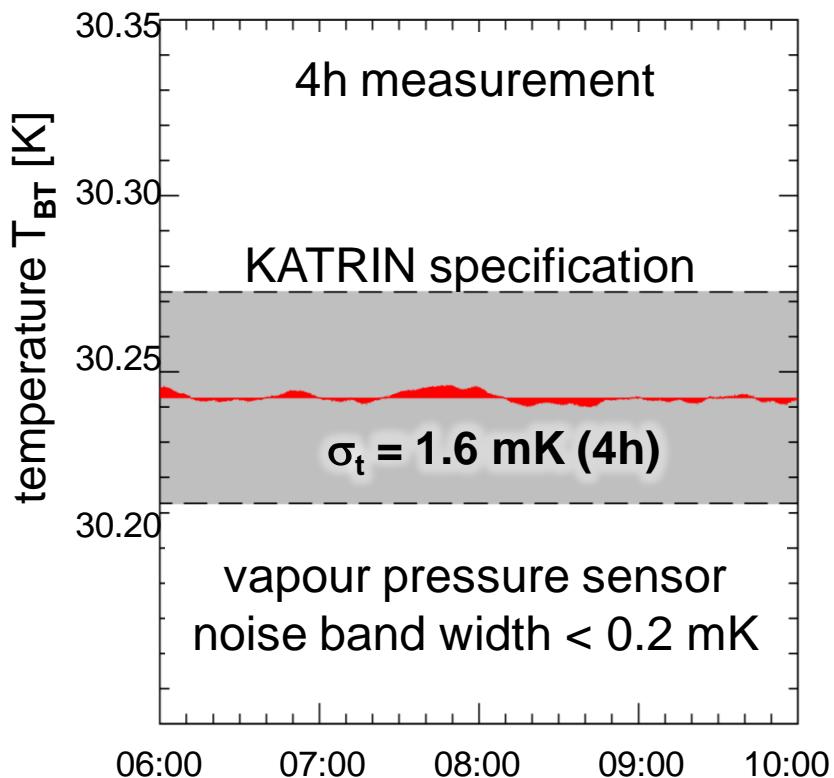
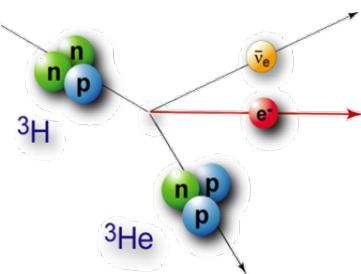
2-phase  
Neon

## Components of WGTS:

- outer cryostat housing
- beam tube
- cryogenic system
- no magnets

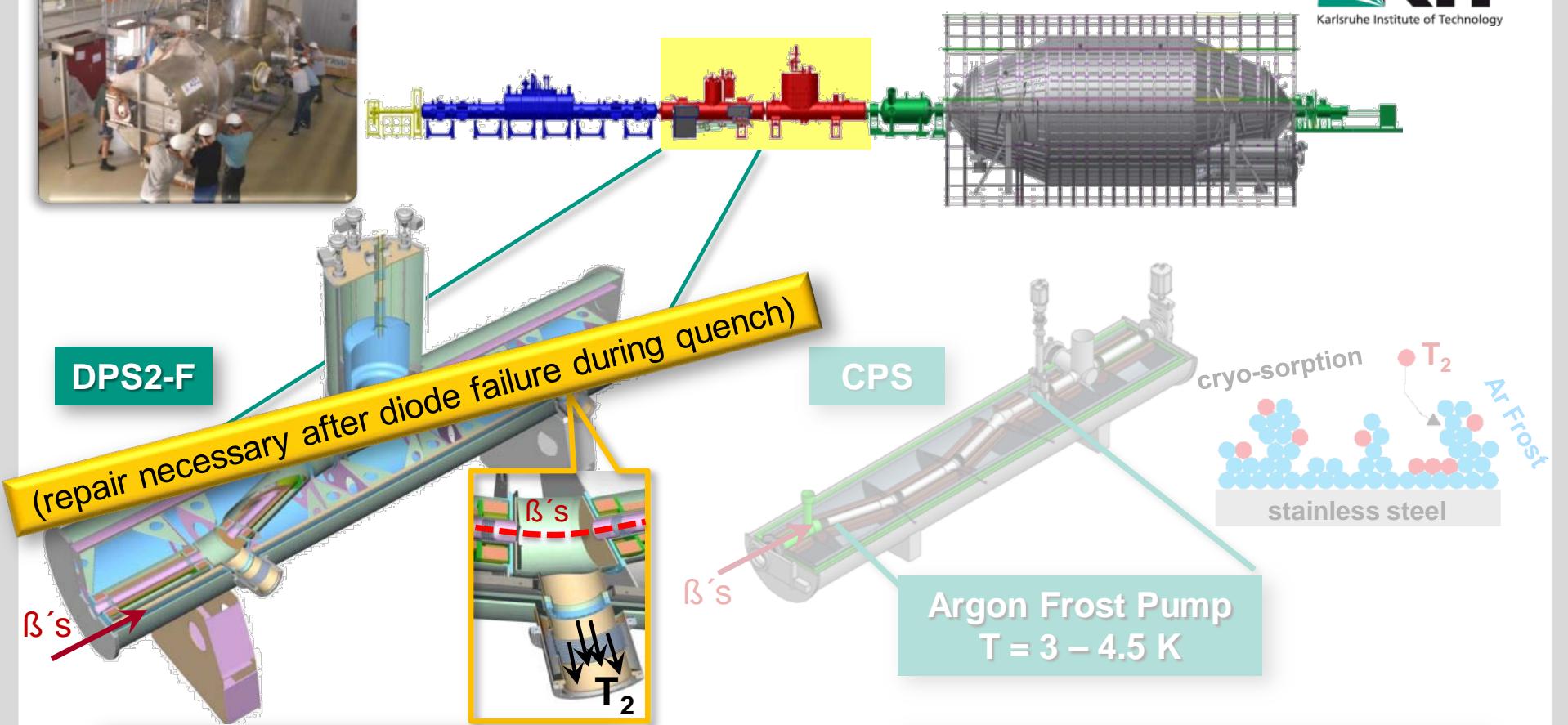


# Tritium Source: Demonstrator





# Transport & Pumping Sections

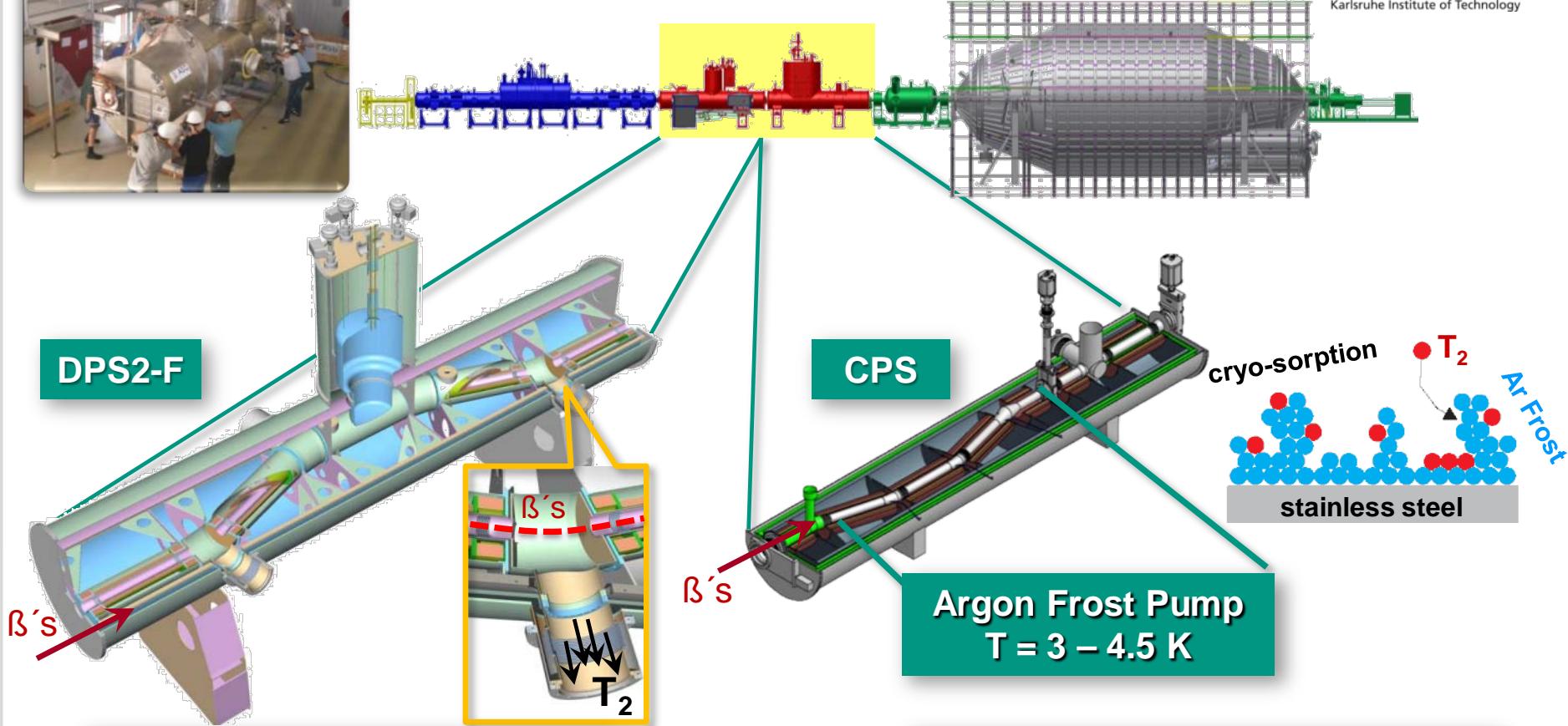


- active pumping, 4 TMPs
- Tritium retention  $10^5$
- magnetic field: 5.6 T
- on-site since 08/2009

- pumping by cryo-sorption
- Tritium retention  $>10^7$
- magnetic field: 5.6 T
- on schedule: delivery 2013



# Transport & Pumping Sections

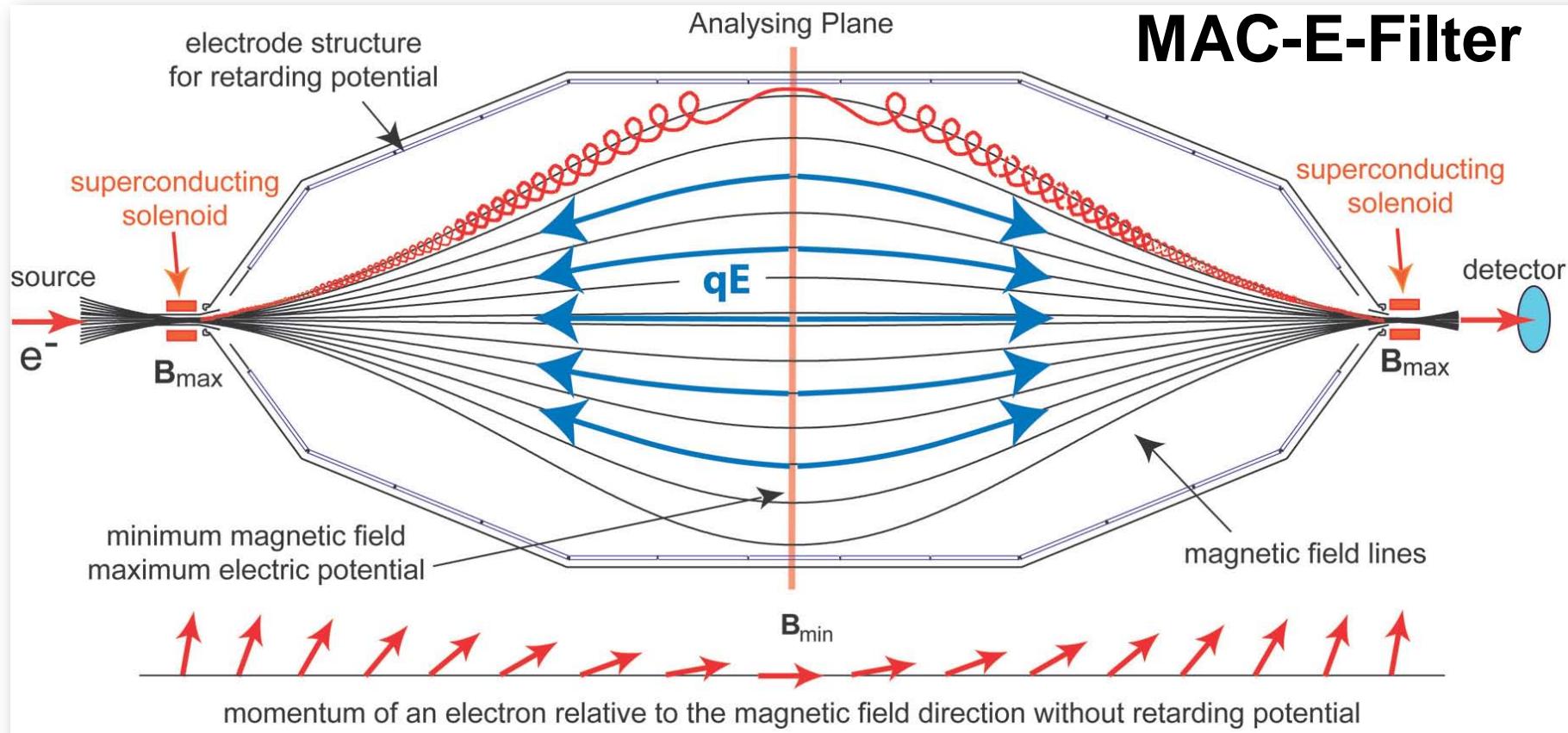
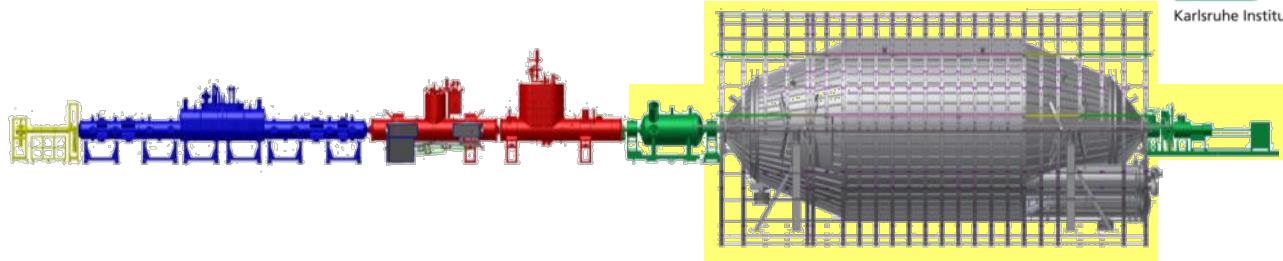


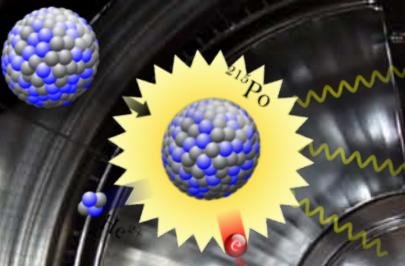
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# Spectrometer and Detector Section



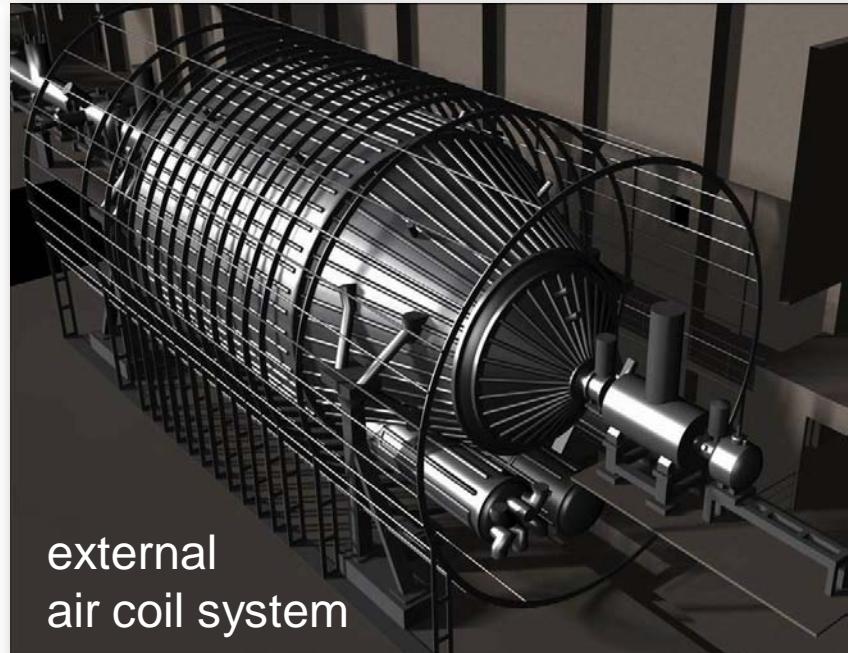


## Pre-spectrometer

- pre-filter for electrons
- prototype for main spectrometer
  - vacuum design
  - electro-magnetic design
  - background suppression

# Main Spectrometer

- **Ultra-precise energy analysis of electrons close to endpoint  $E_0$** 
  - energy resolution:  $\Delta E = 0.93 \text{ eV}$  (0% → 100% transmission) @ 18.6 keV
- **Features:**
  - $\emptyset = 10 \text{ m}$ , length = 24 m, surface = 690 m<sup>2</sup>, volume = 1240 m<sup>3</sup>,
  - $p < 10^{-11} \text{ mbar}$  (world's largest UHV recipient)
  - inner wire electrode system & external Helmholtz-type air coil system



external  
air coil system

LFCS

EMCS

large  
Helmholtz  
coil system

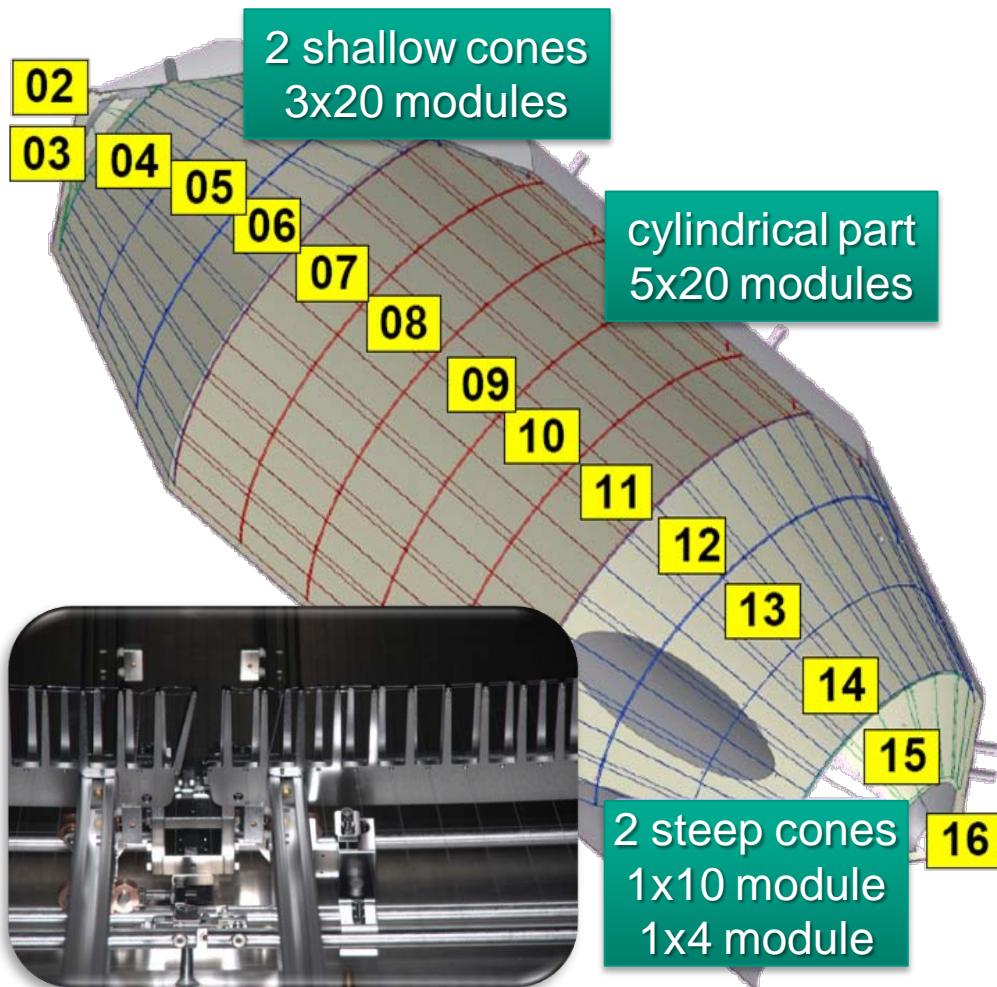
main  
spectrometer  
vessel

$\varnothing = 12.7 \text{ m}$



# Inner Electrode System – Objectives

double-layered inner wire electrode system



#1: background suppression

**inelastic reactions of cosmic  $\mu$**

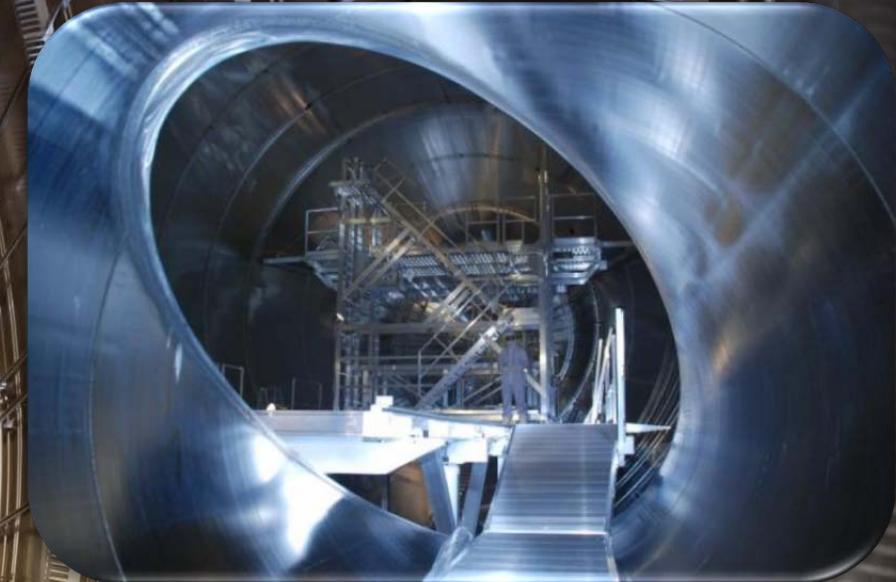
↳ low-energy secondary electrons from the 690 m<sup>2</sup> inner surface are **repelled** electrostatically

#2: fine forming of retarding field

- **precision HV power supplies:** intrinsic HV precision ~1 ppm
- **dipole/ECR mode:** eject particles stored in **Penning traps**

≈24,000 wires  
(in 248 frames)

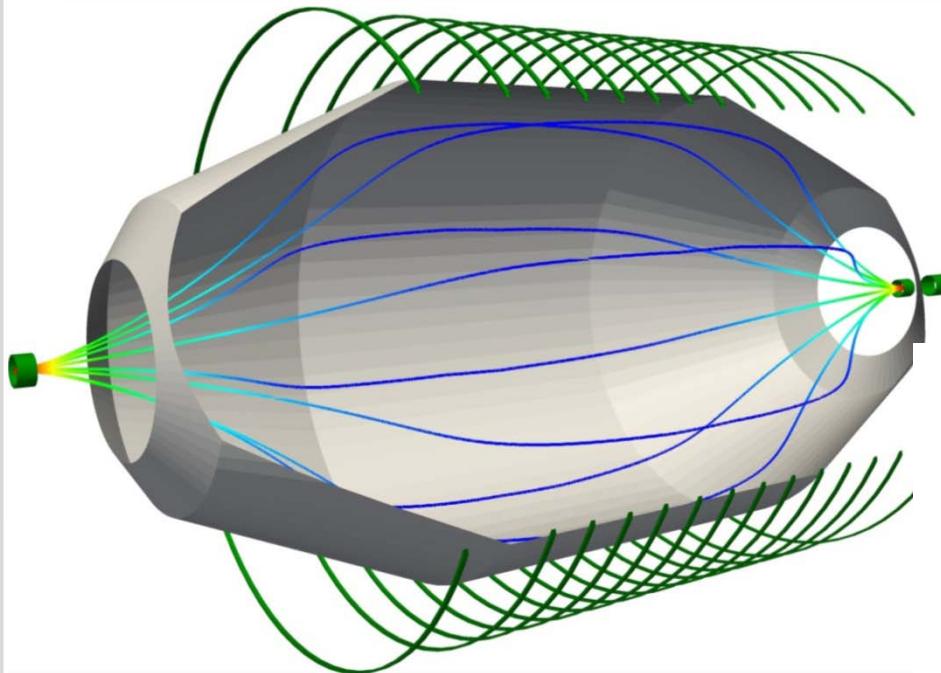
# Main Spectrometer: Inner Electrode System



Jan. 31, 2012

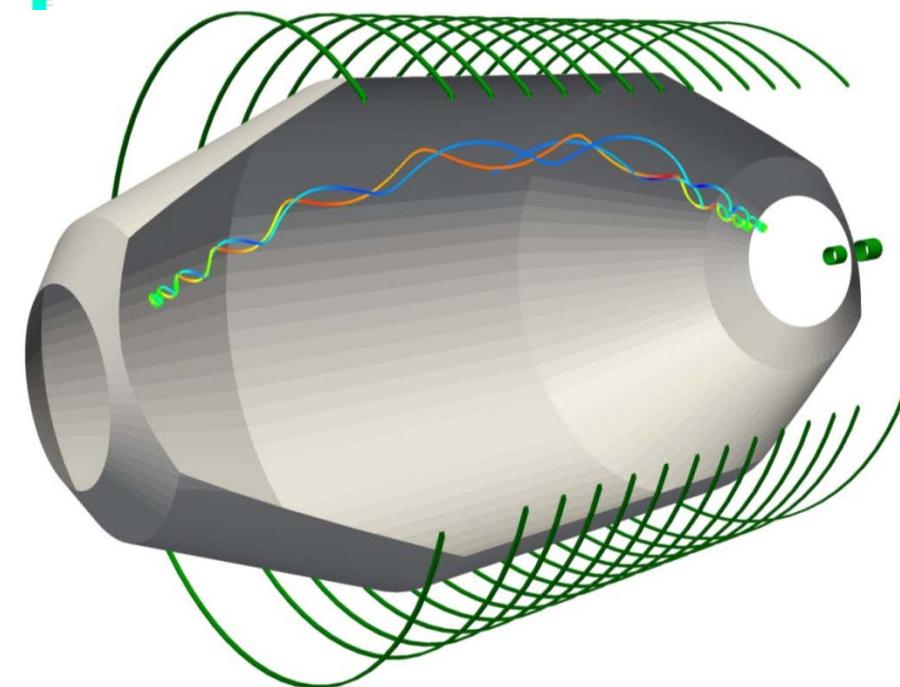
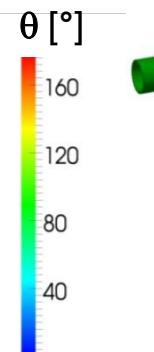
# KASSIOPEIA: signal & background

**KASSIOPEIA:** KATRIN simulation code for electron trajectories



## trapping of bg-electrons:

- spectrometer acts as a magnetic bottle,
- long storage time (h)

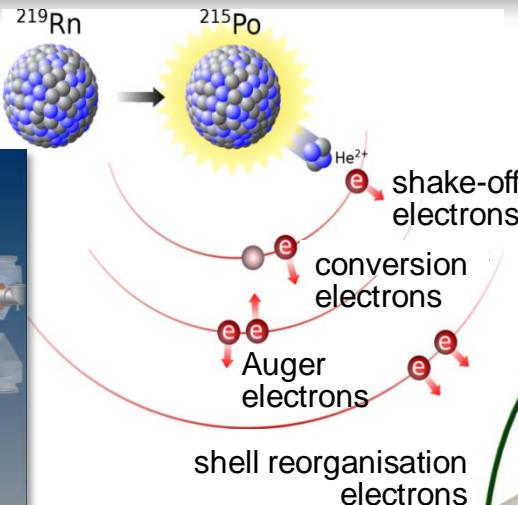
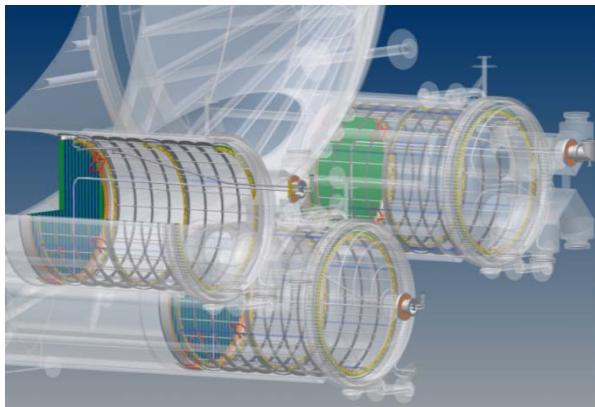


## transmission of $\beta$ -electrons:

- magnetic guiding
- electrostatic retardation

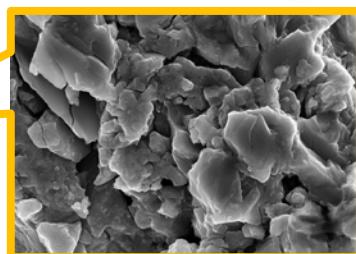
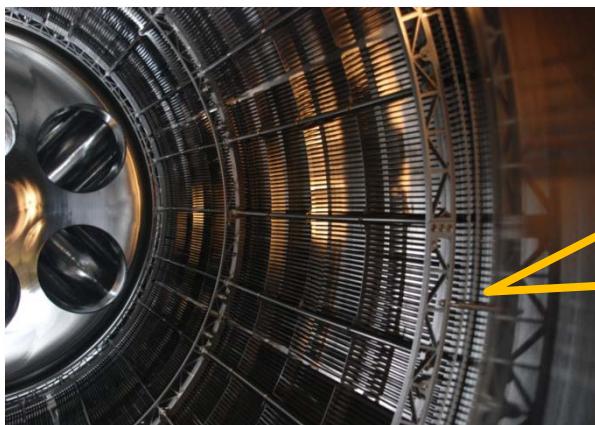
# Radon induced background

$^{219}\text{Rn}$  emanation from St707 NEG getter strips (3 x 1 km)  
in pump ports of spectrometer

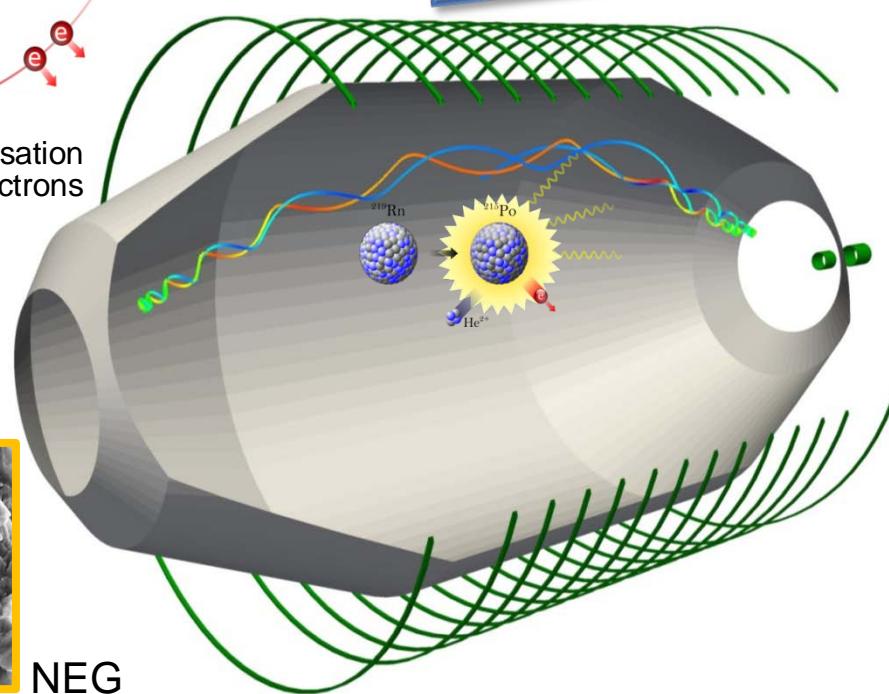


F.M. Fränkle et al.,  
Astropart. Phys. 35 (2011) 128

S. Mertens,  
PhD thesis KIT (2012)

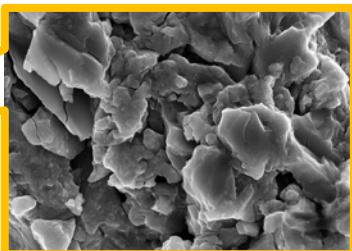
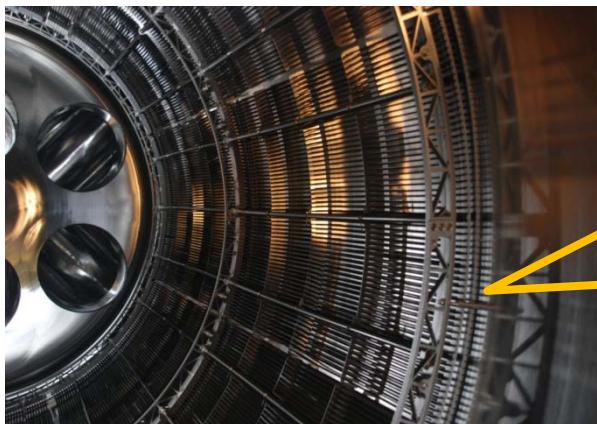
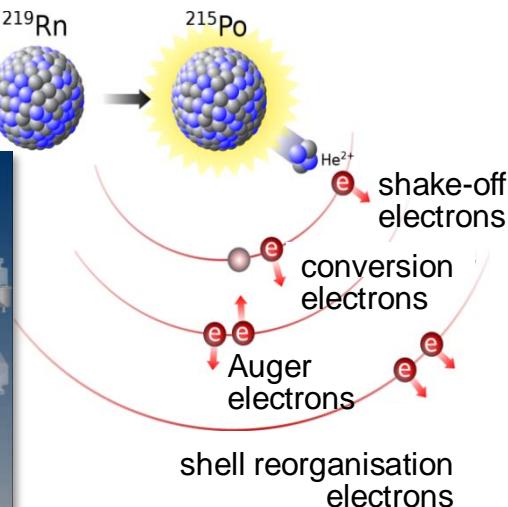
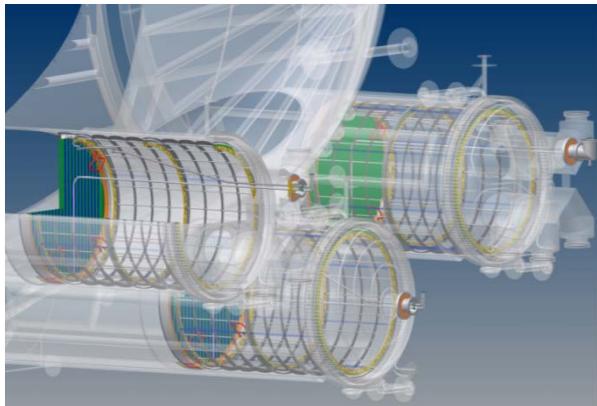


NEG



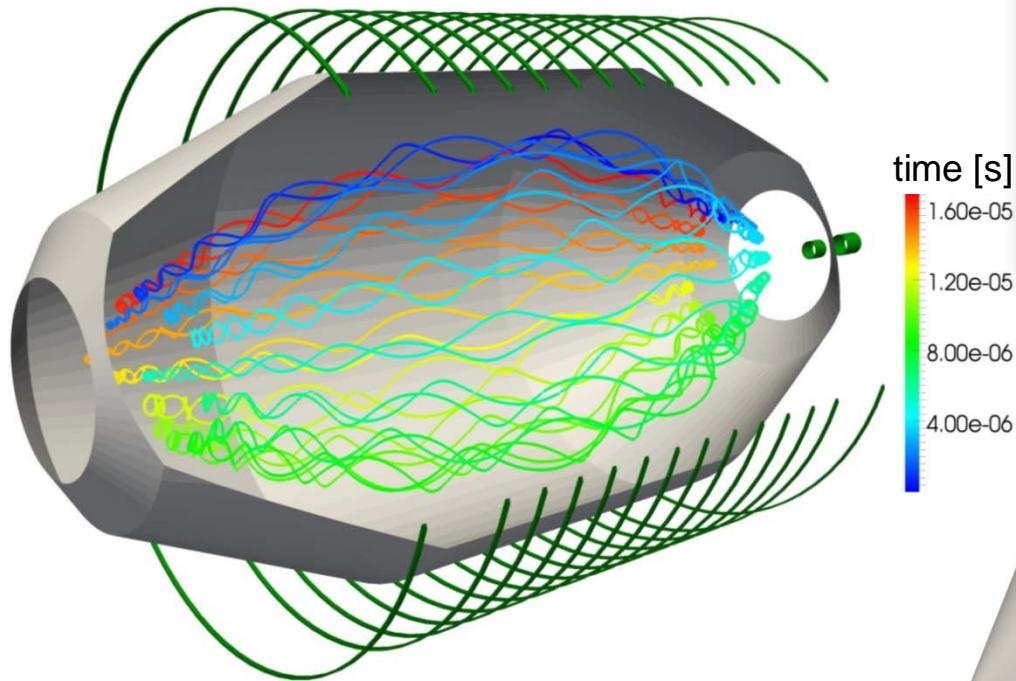
# Radon induced background

Passive background reduction: **LN<sub>2</sub>-cooled baffles** to cryosorb <sup>219</sup>Rn



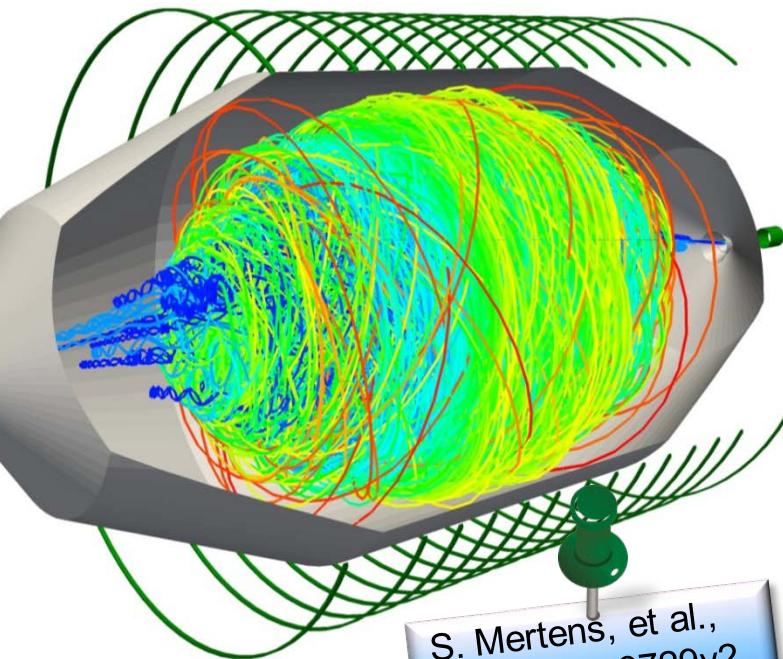
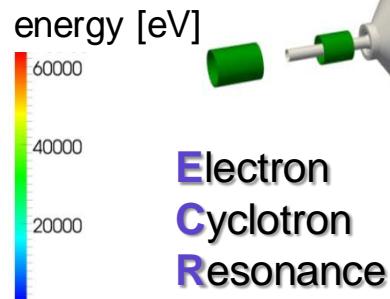
# KASSIOPEIA: background reduction

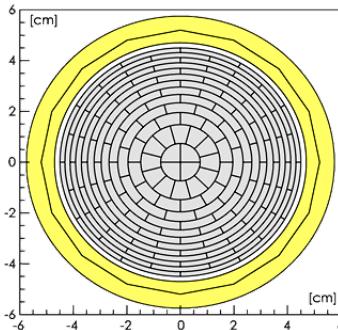
$^{219,220}\text{Rn}$  emanation from bulk material of vessel: need active bg-suppression



- **stored multi-keV electrons:**  
rapid cyclotron motion  
intermediate axial oscillation  
slow magnetron drift

- **ECR technique:**  
stochastic heating  
by short RF pulses  
with  $\omega_{\text{RF}} = \omega_{\text{cycl}}$

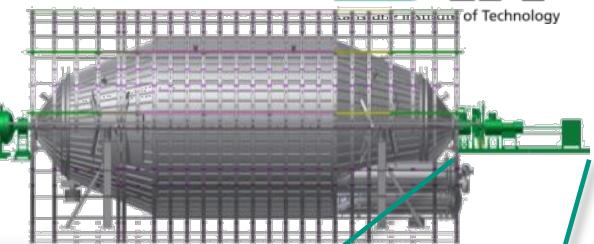




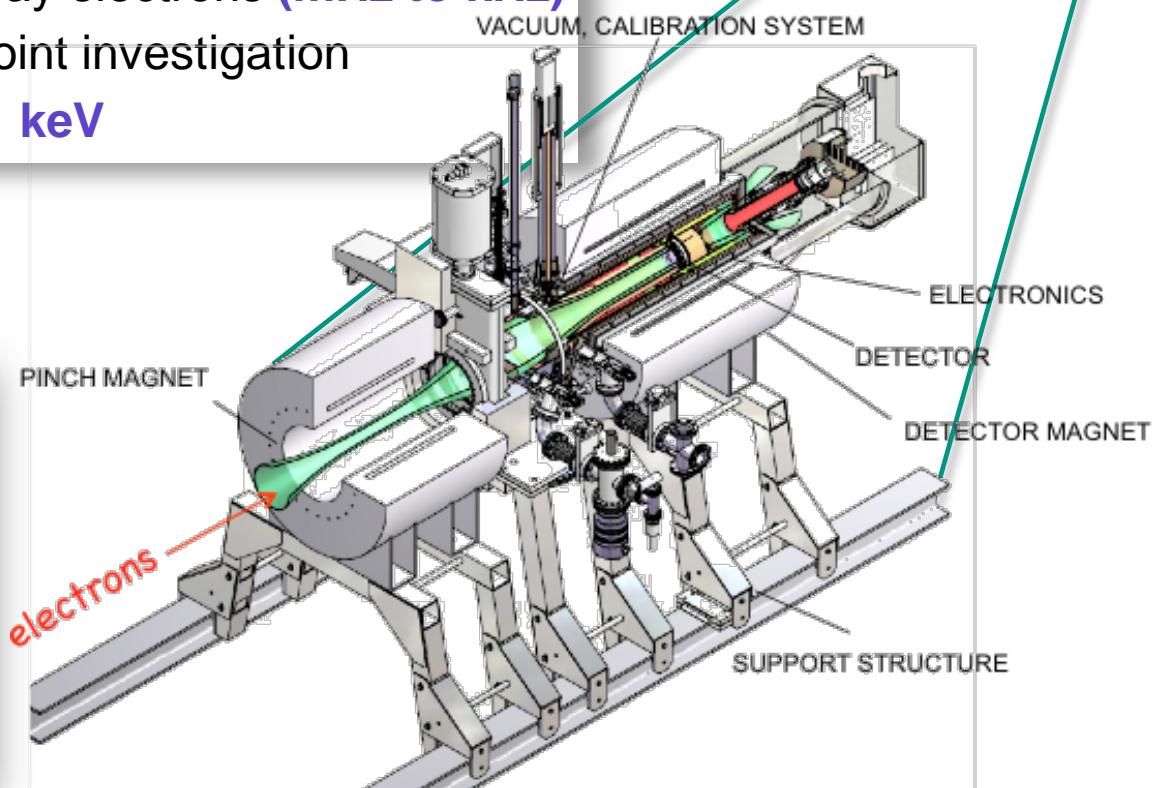
# Main Detector



- segmented Si-PIN diode (**148 pixel**)
- detection of transmitted  $\beta$  decay electrons (**mHz to kHz**)
- **low background** for  $T_2$  endpoint investigation
- high energy resolution  $\Delta E \approx 1 \text{ keV}$



commissioning  
at KIT in 2011



# KATRIN sensitivity

## reference $\nu$ -mass sensitivity

for 3 'full beam' years:

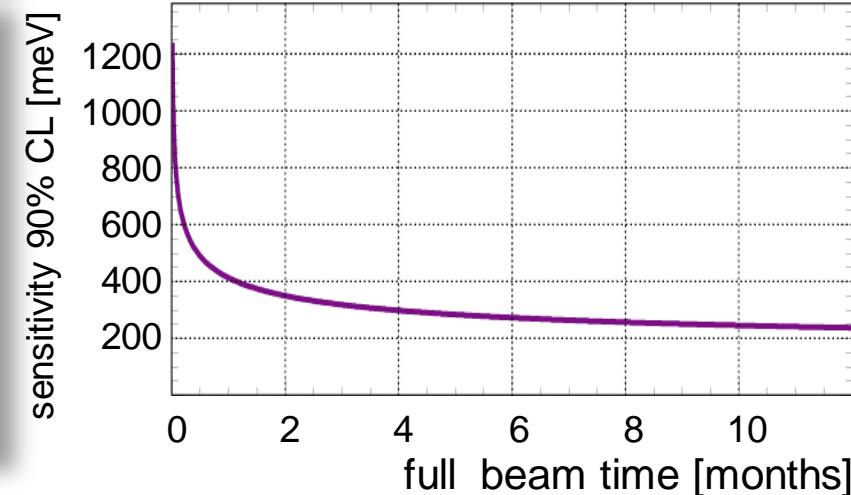
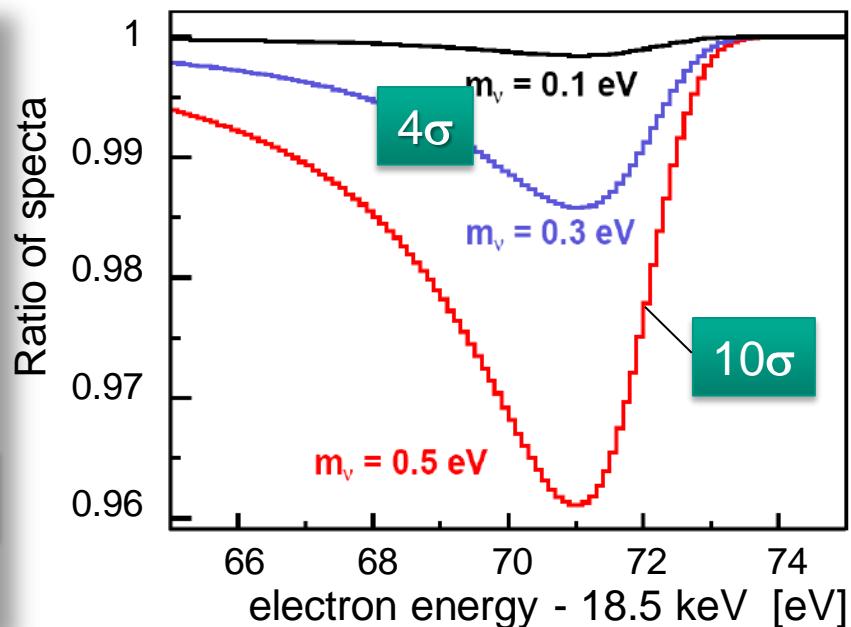
- statistical & systematic errors contribute equally:
  - statistics  $\sigma_{\text{stat}} = 0.018 \text{ eV}^2$
  - systematics  $\sigma_{\text{syst}} < 0.017 \text{ eV}^2$

sensitivity  $m(\nu) = 200 \text{ meV}$  (90% CL)

350 meV (5 $\sigma$ )

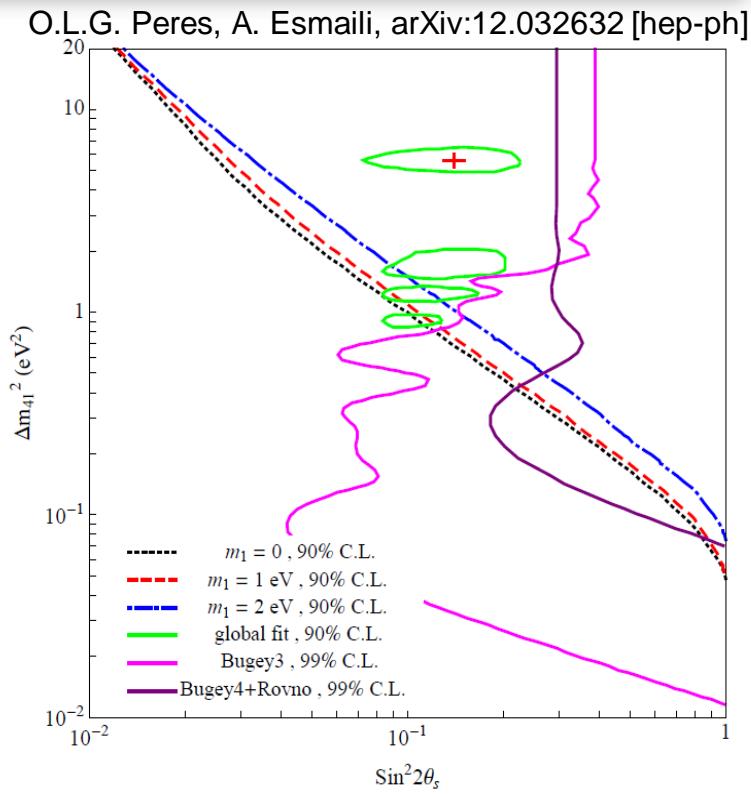
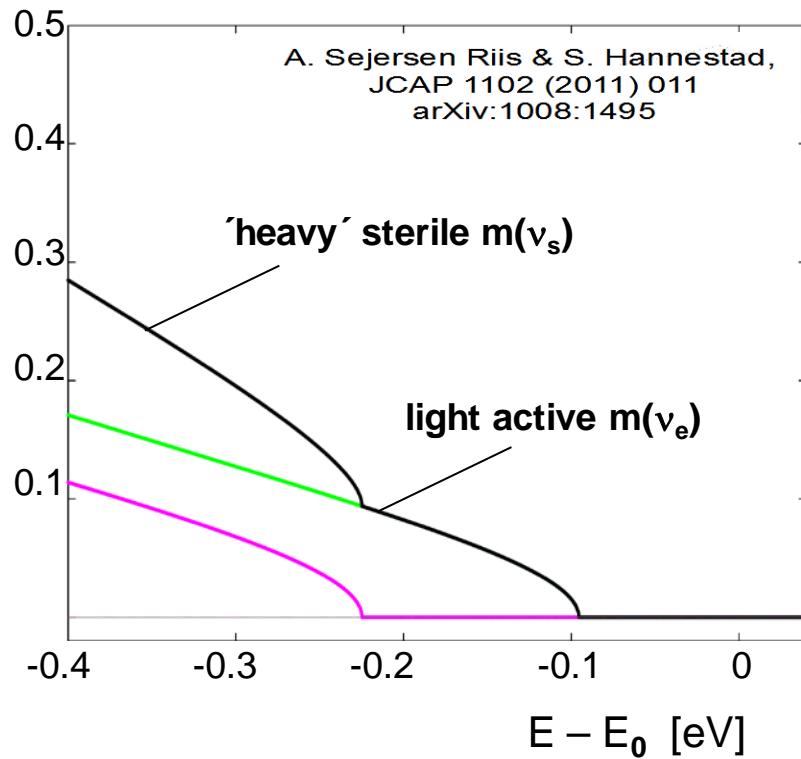
## plans for a later KATRIN phase II:

- differential  $\beta$ -energy spectrum:
  - cryo-bolometer array with  $\Delta E \sim 1 \text{ eV}$ ?
  - synchrotron emission (GHz-range)?
- precision external value end point  $E_0$
- atomic tritium source?



# Sterile neutrinos: (sub-)eV scale

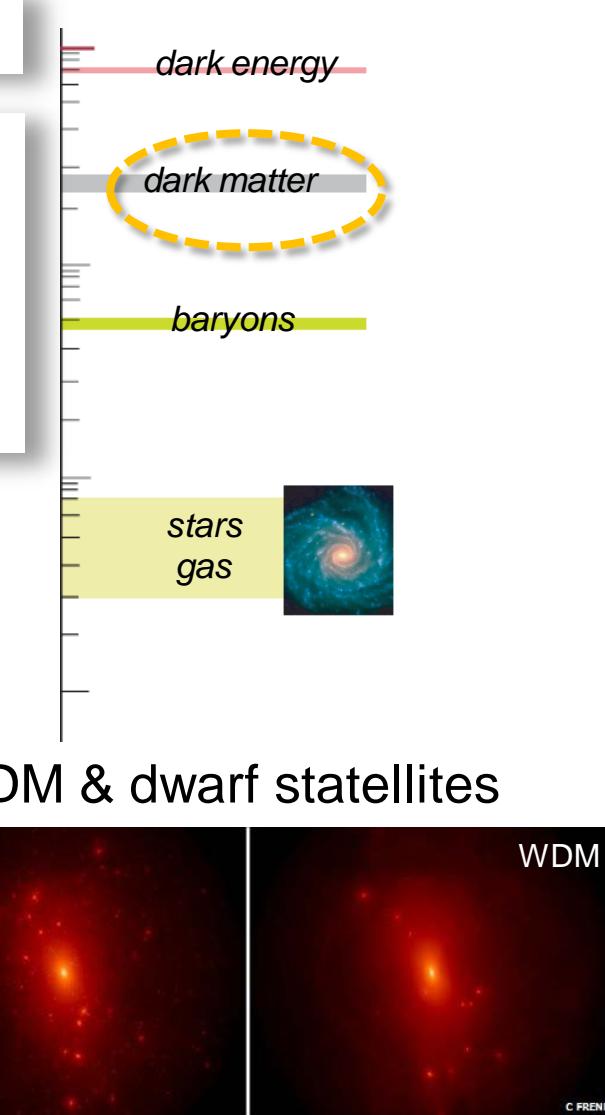
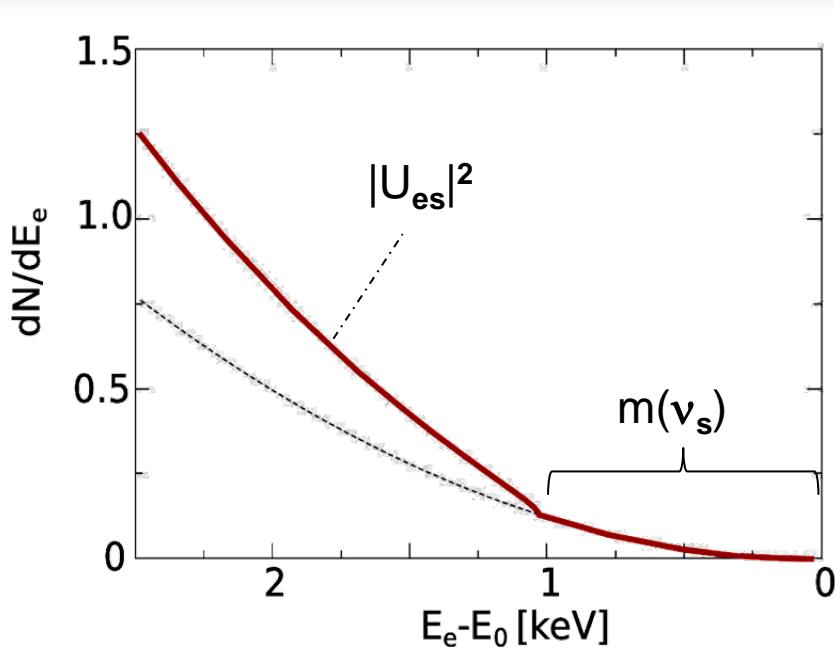
- Hannestad et al. - initial estimates of KATRIN sensitivity for sterile  $\nu$ 's assume **very light active neutrinos  $m(\nu_e) \sim 0$  eV, mixed with sterile  $m(\nu_s)$**
- $3\sigma$  detection of 'kink' by  $m_{\text{sterile}}$  if active-sterile mixing  $|U_{es}|^2 \geq 0.055$
- 3+2 scenarios can also be disentangled, **measure absolute value  $m(\nu_s)$**



# Sterile neutrinos in astroparticle physics

## Cosmology: role of sterile $\nu$ 's as warm dark matter

- idea: sterile  $\nu$ 's in the **1-10 keV** mass regime would constitute **warm dark matter**
- sterile neutrino  $\nu_s$  would manifest itself as a **kink ( $10^{-7}$ - $10^{-10}$ ) deep in the  $\beta$ -spectrum**
  - ↳ need reliable calculation of spectral shape

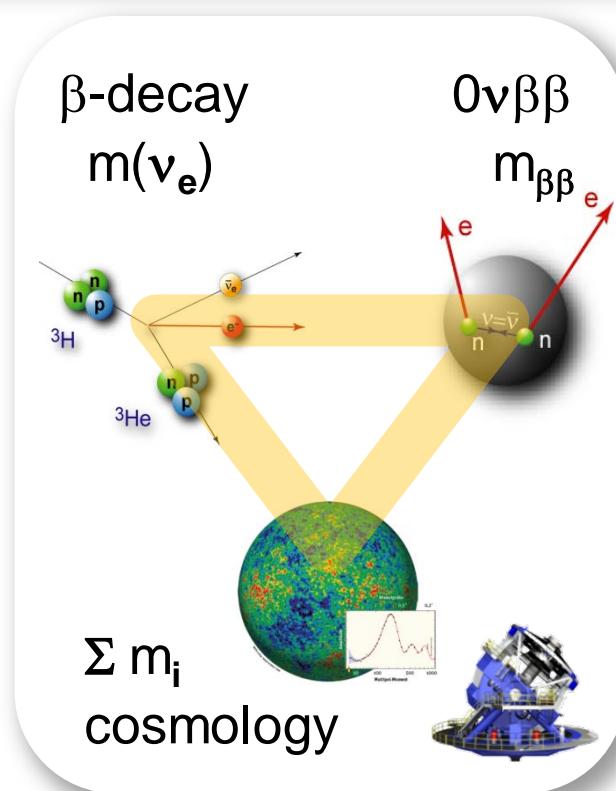


# Conclusions

- **Studies of  $\beta$ -decay/EC kinematics**
  - only model-independent method to determine absolute  $\nu$ -mass scale
  - KATRIN will probe cosmologically relevant scale down to  $m(\nu_e) = 200 \text{ meV}$
  - studies for phase II to go beyond this value
- **Calorimetric experiments will provide an independent check**
  - **MARE**:  $^{187}\text{Re}$  ( $\beta$ -decay); **ECHO**:  $^{163}\text{Ho}$  (electron capture)
  - advantage: scalable approach
  - still a lot of R&D work for  $m(\nu_e) = 200 \text{ meV}$
- New ideas: **Project 8** and others
- **KATRIN next steps:**
  - electromagnetic tests of main spectrometer (start in Oct. 2012)
  - commissioning of CPS (end of 2013) and WGTS (end of 2014)
  - beginn of neutrino measurement: 2015

# Conclusions

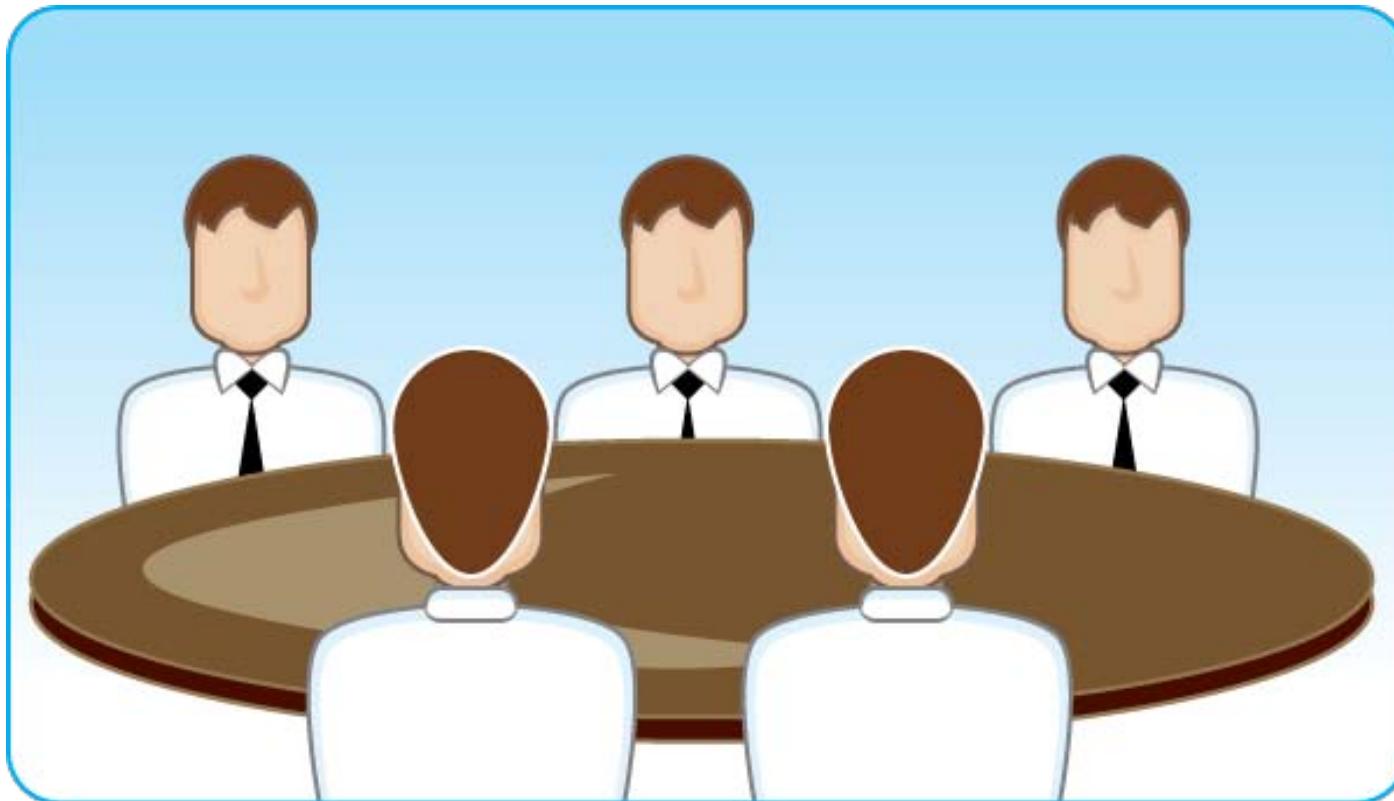
The **complete picture** of neutrino masses is obtained only by comparing high-precision results from **direct neutrino mass searches** with  **$0\nu\beta\beta$  experiments** and **cosmological studies**



# Thank you for your attention!

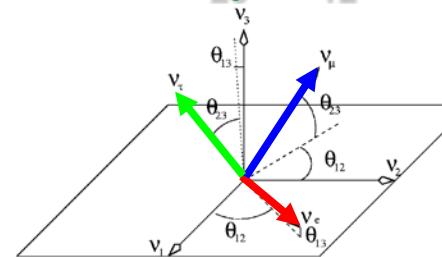
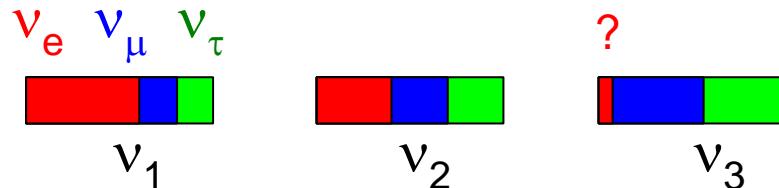


# Discussion



# Absolute neutrino mass

Results of recent oscillation experiments:  $\Theta_{23}$ ,  $\Theta_{12}$ ,  $\Delta m^2_{23}$ ,  $\Delta m^2_{12}$



$$\Omega = 1$$

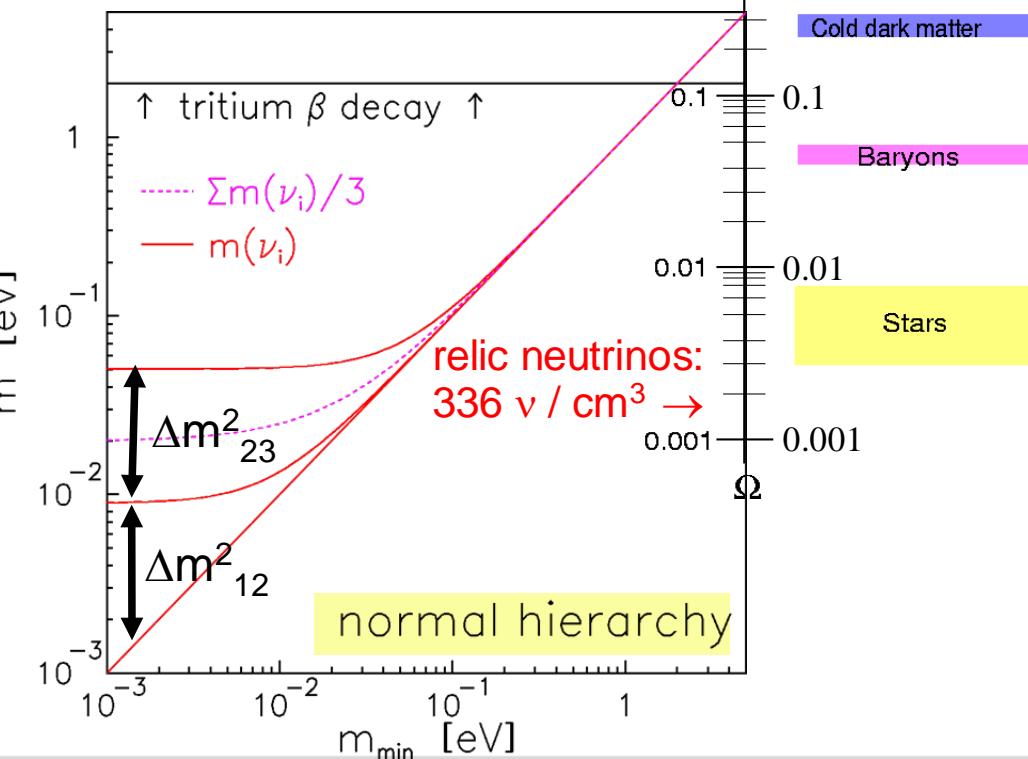
**degenerated masses**

accessible to  $\beta$ -experiments

cosmologically relevant:

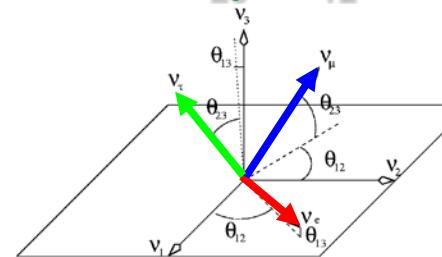
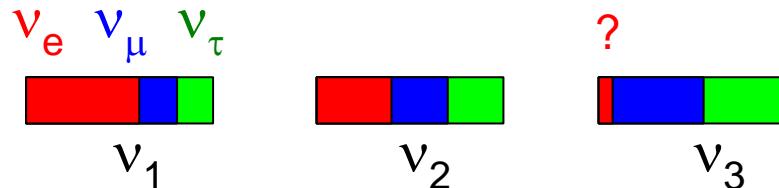
- HDM
- large scale structure formation

**hierarchical masses**



# Absolute neutrino mass

Results of recent oscillation experiments:  $\Theta_{23}$ ,  $\Theta_{12}$ ,  $\Delta m^2_{23}$ ,  $\Delta m^2_{12}$



$$\Omega = 1 \quad \Lambda$$

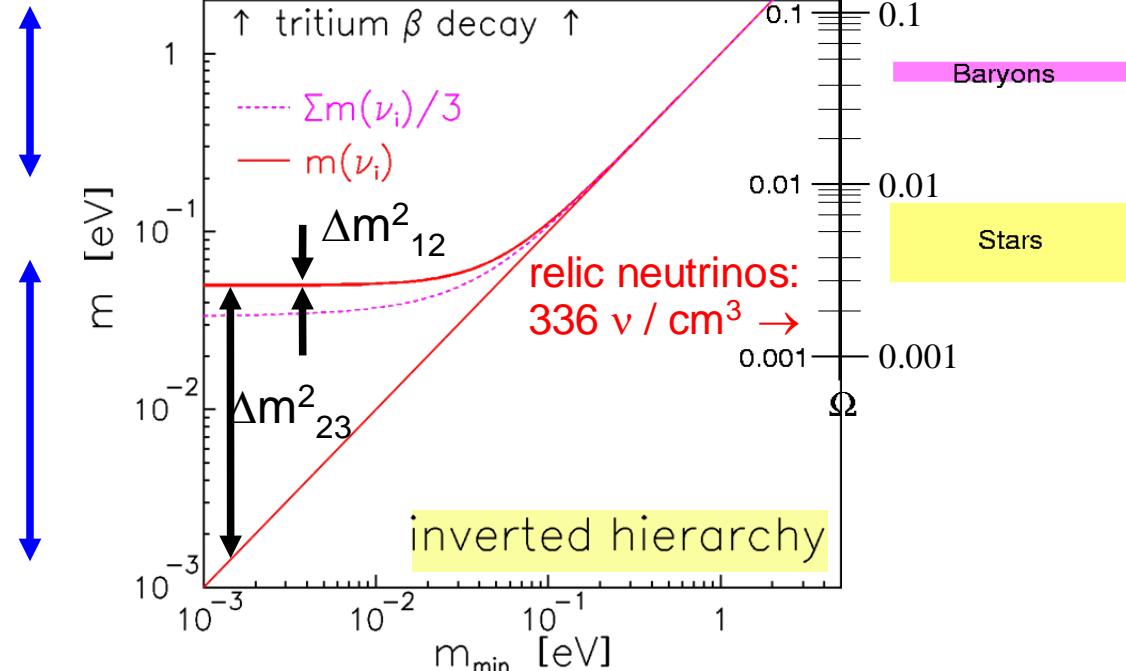
**degenerated masses**

accessible to  $\beta$ -experiments

cosmologically relevant:

- HDM
- large scale structure formation

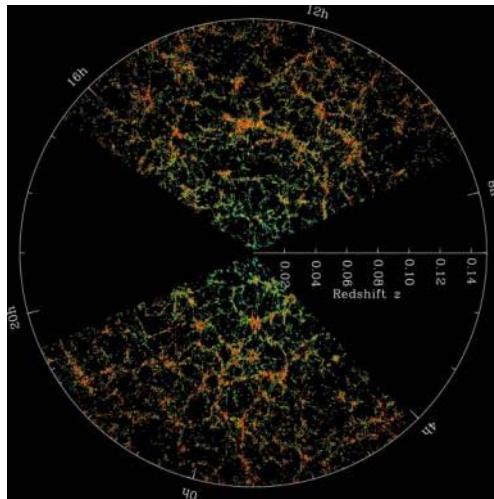
**hierarchical masses**



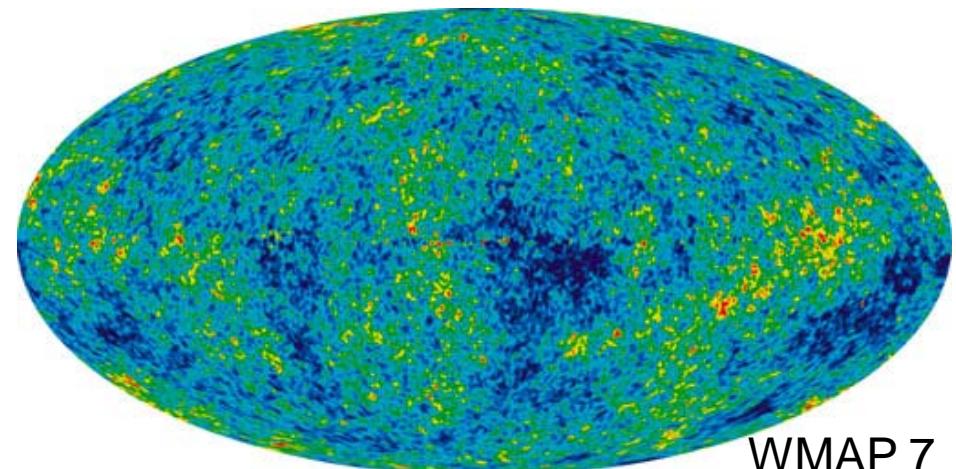
# Cosmology and neutrino mass

- massive neutrinos contribute to **hot dark matter**
- kinematic effect of HDM on **structure formation**
- sensitive to **total energy density** of neutrinos ( $\Sigma m_i$ )
- **different models** using various sets of parameters and data
- minimal  $\Lambda$ CDM plus  $m_\nu$  :  $\Sigma m_j < 0.4 \text{ eV}$  (CMB + LSS)
- current bounds:  $0.3 \text{ eV} \leq \Sigma m_j \leq 2 \text{ eV}$

S. Hannestad: arXiv:1007.0658v2



SDSS DR7



WMAP 7

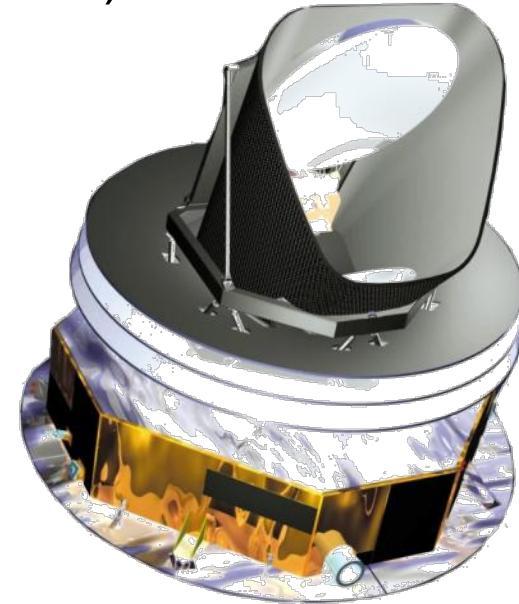
# Cosmology and neutrino mass

## Future probes of neutrino mass:

- new galaxy redshift surveys (BOSS, HETDEX, WFMOS,...)
- weak lensing surveys
- CMB: PLANCK satellite (launched: 14.May 2009)
- Lyman- $\alpha$  forest measurements (BOSS)
- cluster surveys
- 21 cm measurements

## Expected sensitivity:

- short term (5-7 y):  $0.1 \text{ eV} \leq \sum m_j \leq 0.6 \text{ eV}$
- long term (7-15 y):  $0.05 \text{ eV} \leq \sum m_j \leq 0.4 \text{ eV}$



S. Hannestad: arXiv:1007.0658v2

# Neutrino-less double- $\beta$ -decay and neutrino mass

O. Cremonesi: arXiv: 1002.1437v1

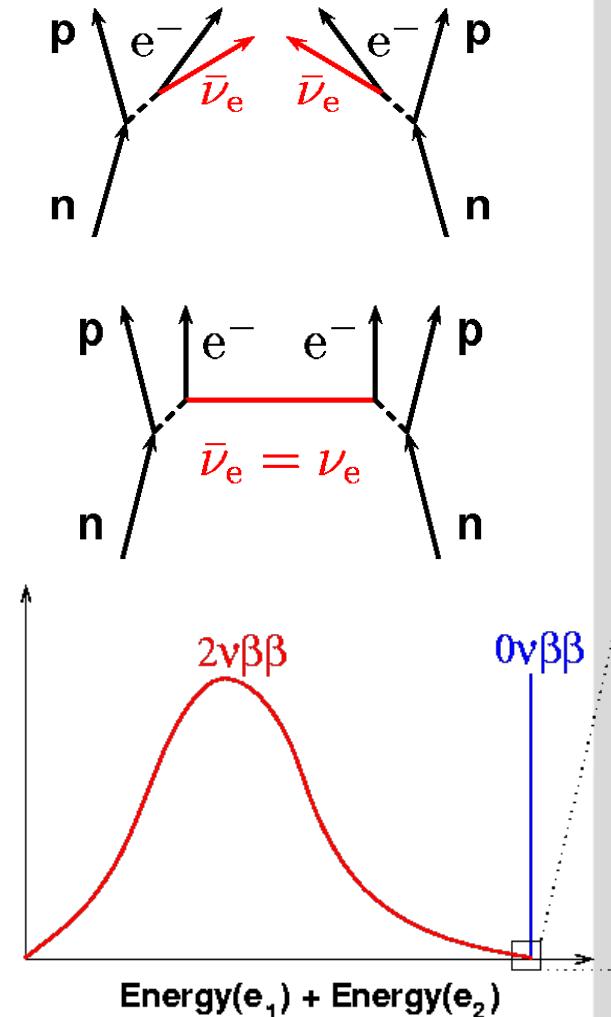
- 2 decay modes in double- $\beta$ -decay:

- **normal ( $2\nu 2\beta$ )**

- $(A, Z) \rightarrow (A, Z + 2) + 2e^- + 2\bar{\nu}_e$
    - allowed** by standard model
    - continuous energy spectrum**
    - has been **observed** ( $t \sim 10^{-19} - 10^{-21}$  y)

- **neutrinoless ( $0\nu 2\beta$ )**

- $(A, Z) \rightarrow (A, Z + 2) + 2\bar{\nu}_e$
    - needs **massive Majorana neutrinos**
    - energy peak at endpoint**
    - $\tau > 10^{25}$  y
    - violation of total lepton number conservation



# Neutrino-less double- $\beta$ -decay and neutrino mass

- **Measurement: decay rate**

$$\frac{1}{T_{1/2}^{0\nu}} = G^{0\nu} \cdot |M^{0\nu}|^2 \cdot |m_{\beta\beta}|^2$$

- $G^{0\nu}$  **phase space integral**  
(exactly calculable)
- $M^{0\nu}$  **nuclear matrix element**  
(wide range of different calculations)
- $m_{\beta\beta}$  **effective neutrino mass with Majorana phases  $\alpha$**   
(cancellation of mass terms possible)

$$m_{\beta\beta} = \sum_{j=1}^3 |U_{ej}|^2 \cdot e^{i\alpha_j} \cdot m_j$$

# Neutrino-less double- $\beta$ -decay and neutrino mass

accuracy limited by nuclear matrix element calculation

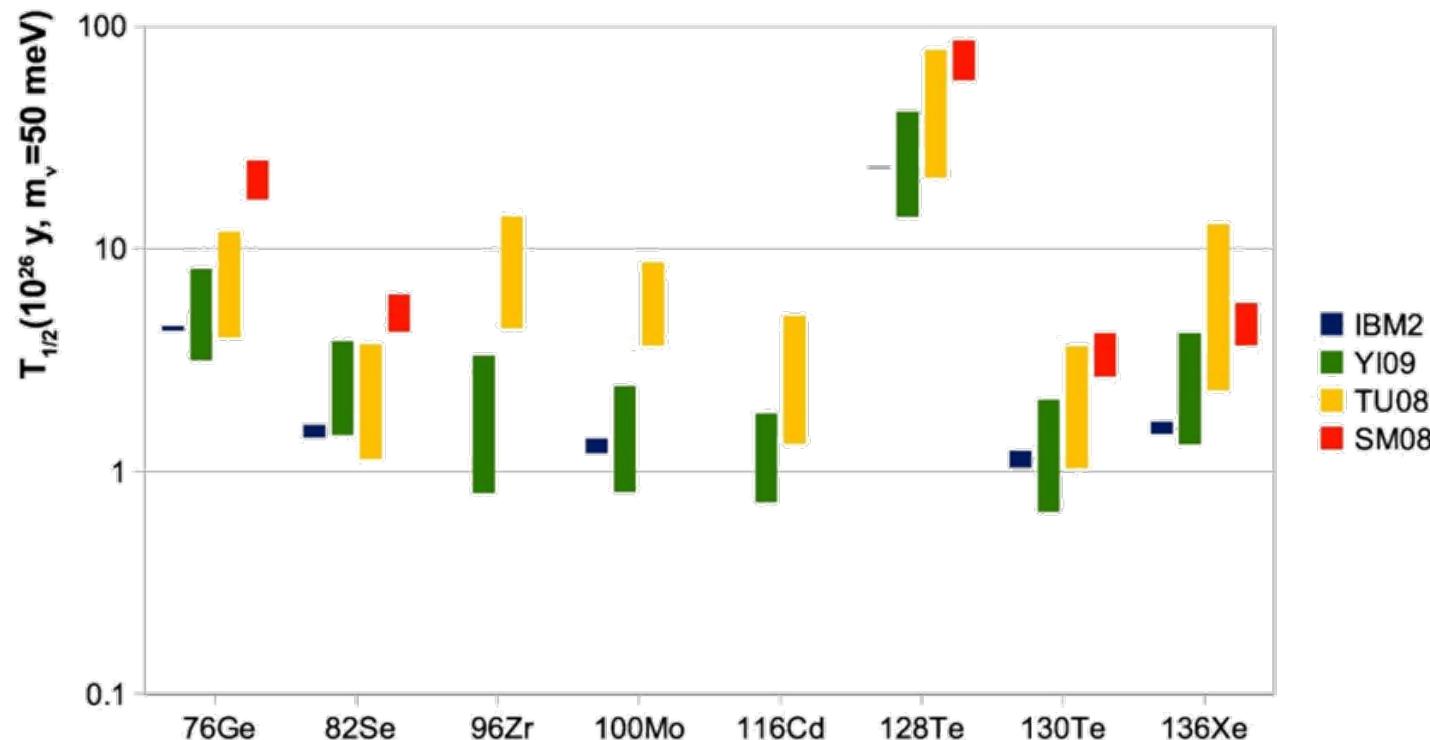
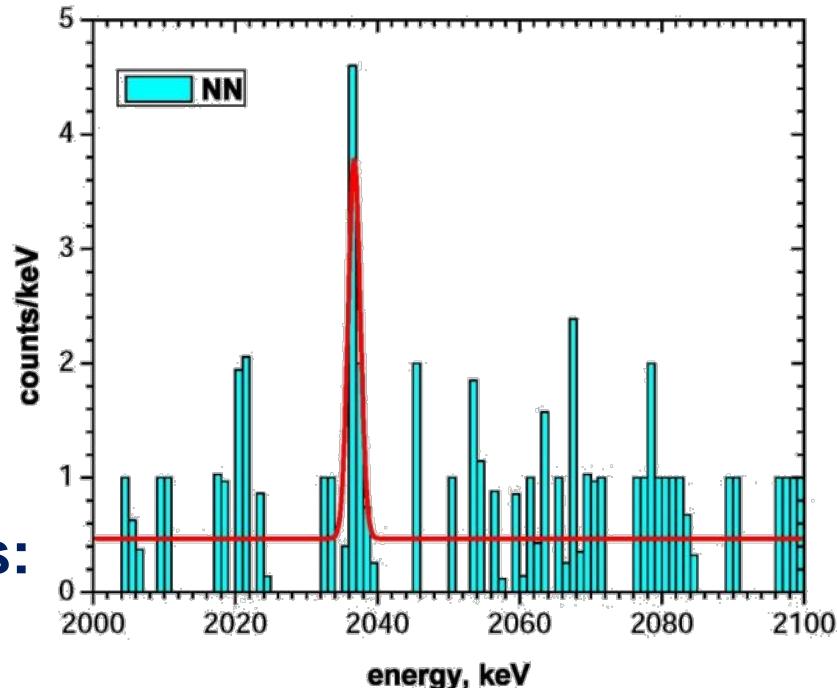


Figure 1: Expected  $\beta\beta(0\nu)$  half lives for 50 meV effective neutrino mass and different NME calculations: IBM2 [17], YI09 [18], TU08 [19] and SM08 [20].

O. Cremonesi: arXiv: 1002.1437v1

# Neutrino-less double- $\beta$ -decay and neutrino mass

- Current results:
  - Heidelberg-Moskau ( $^{76}\text{Ge}$ )
  - KHDH analysis:
    - $T_{1/2} = 2.23 \times 10^{25} \text{ y} (6\sigma)$
    - $m_{\beta\beta} = 0.32 \pm 0.03 \text{ eV}$
  - physics beyond the SM ?
  - right-handed weak parameters:
    - $\langle \eta \rangle = 3.05 \pm 0.26 \times 10^{-9}$
    - $\langle \lambda \rangle = 6.92 \pm 0.58 \times 10^{-7}$
- $0\nu 2\beta$  only provides upper limit on neutrino mass



H. V. Klapdor-Kleingrothaus and I. V. Krivoshein,  
 Mod. Phys. Let. A, Vol. 21, No. 20 (2006) 1547



# Standard $\beta$ -decay and neutrino mass

kinetic measurement of the effective neutrino mass



E W Otten and C Weinheimer  
Rep. Prog. Phys. 71 086201 (2008)

Fermi's golden rule:

$$\frac{d\Gamma}{dE} = C \cdot F(E) \cdot p \cdot (E + m_e)(E_0 - E) \cdot \sum_i |U_{ei}|^2 \cdot \sqrt{(E_0 - E)^2 - m_{\nu_i}^2}$$

If the energy resolution is much larger than  $\Delta m_\nu$   
we see only an **effective neutrino mass  $m_\beta$** :

$$\sqrt{(E_0 - E)^2 - \sum_i |U_{ei}|^2 \cdot m_{\nu_i}^2}$$

with

$$m_\beta^2 = \sum_i |U_{ei}|^2 \cdot m_{\nu_i}^2$$

**measurement:** look for missing energy close to the endpoint

- high energy resolution
- high activity source

# Measurement of the $\beta$ -spectrum

## Spectrometer (tritium)

- energy selected by electric or magnetic fields
- external  $\beta$ -source
- energy loss due to scattering
- energy resolution 0.93 eV (100%)
- low count rate in detector
- lower energies rejected
- event fraction in last 10 eV:  $3 \cdot 10^{-10}$
- present sensitivity: 2 eV
- planned sensitivity: 0.2 eV



**KATRIN**

## Micro-calorimeter ( $^{187}\text{Re}$ )

- energy measured by cryogenic bolometer
- $\beta$ -source = detector
- measures entire  $\beta$ -decay energy
- energy resolution  $\approx 5 - 10$  eV (FWHM)
- full count rate (pile-up !)
- many small detectors needed
- event fraction in last 10 eV:  $1.3 \cdot 10^{-7}$
- present sensitivity: 15 eV
- planned sensitivity I: 2 eV
- planned sensitivity II: 0.2 eV

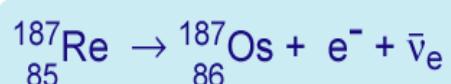


**MARE**

# bolometer experiments for $^{187}\text{Re}$

## ■ $^{187}\text{Re}$ -experiments (MANU, MIBETA, MARE)

$^{187}\text{Re}$  as  $\beta$ -emitter: natural isotope content = 62.8 %



$5/2^+ \rightarrow 1/2^-$  'unique' 1<sup>st</sup> forbidden transition (shape factor), BEFS

$^{187}\text{Re}$ : unique 1<sup>st</sup>

$E_0$	2.47 keV
$t_{1/2}$	43.2 Gy

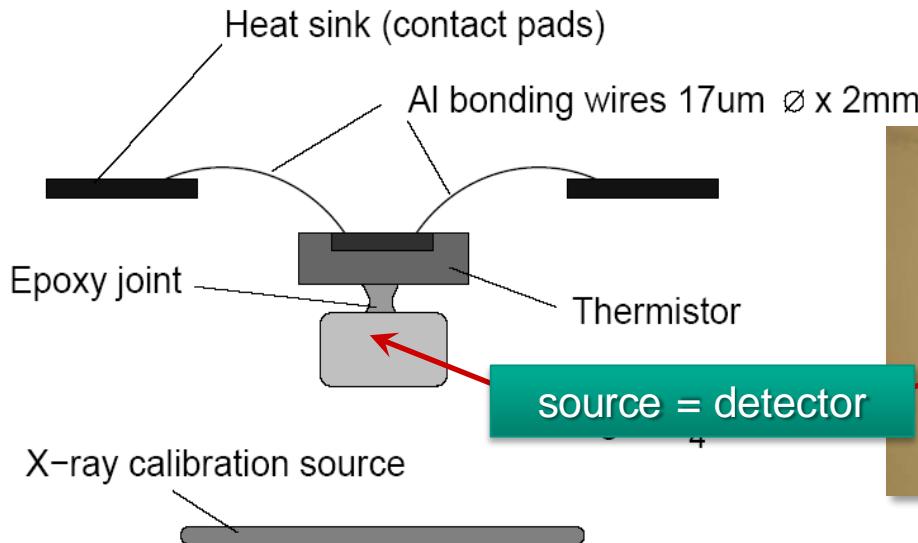
## ■ previous $^{187}\text{Re}$ -experiments MANU, MIBETA

**MANU:** metallic Rhenium

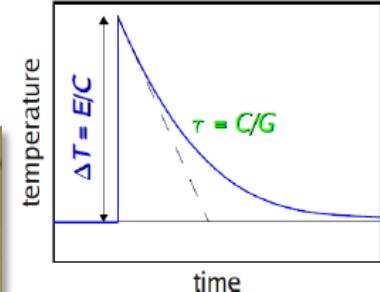
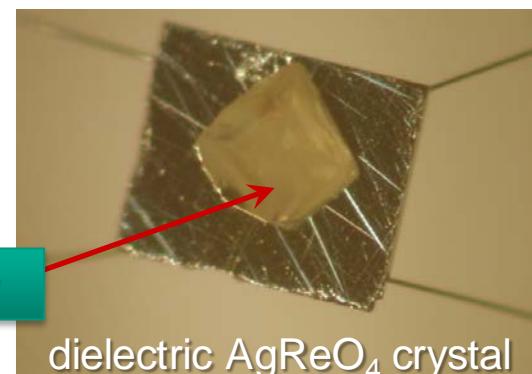
**MIBETA:** dielectric  $\text{AgReO}_4$  crystals

group in Genova

group in Milano



measure entire  
 $\beta$ -decay energy



MIBETA:  
10 crystals

# MARE experiment

## ■ Microcalorimeter Arrays for a Rhenium Experiment

### ■ general strategy to increase sensitivity to sub-eV regime:

- deploy large arrays of cryogenic micro-bolometers
- up-scaling of source intensity with  $1 \text{ mg Re} \approx 1 \text{ decay/s}$
- avoid pulse pile-up: develop faster detectors
- develop multiplexed read-out technologies
- improve energy resolution to 1 eV-level

#### MARE-I

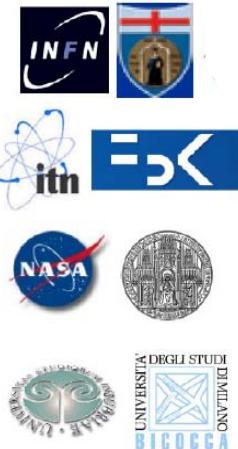
$\sim 10^9\text{-}10^{10}$   $\beta$ -decays

- set-up small bolometer array:  $\nu$ -mass sensitivity  $m(\nu_e) \sim \text{few eV}$
- test & select different isotopes ( $^{163}\text{Ho-EC}/^{187}\text{Re-}\beta\text{-decay}$ )  
and read-out/sensor techniques (TES, Si-thermistor, MMC, ...)

#### MARE-II

$\sim 10^{14}$   $\beta$ -decays

- full set-up, large bolometer array with  $10^4\text{-}10^5$  pixels
- aim for statistical  $\nu$ -mass sensitivity  $m(\nu_e) \sim 0.1\text{-}0.2 \text{ eV}$

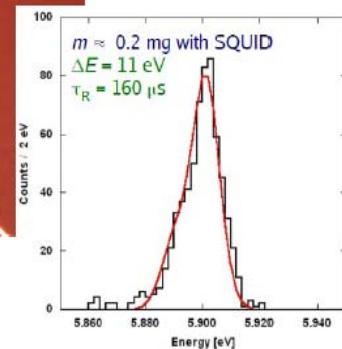
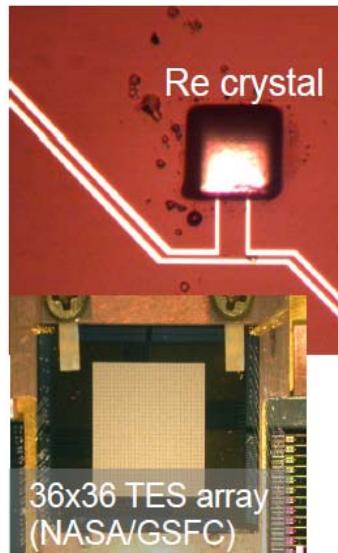


# MARE experiment: phase-I

Genova

$m(v_e) \sim 2 \text{ eV}$

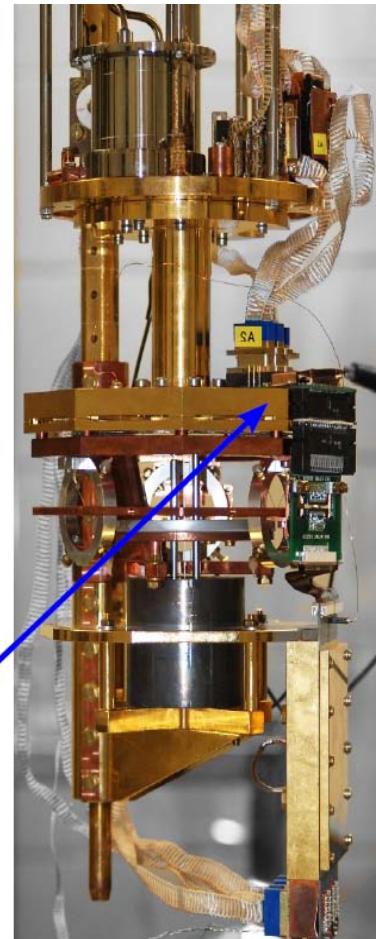
- metallic Re absorbers, up to 300
- $m = (0.2-0.3) \text{ g} \Leftrightarrow \sim 0.25 \text{ Bq}$
- TES sensors (Ir-Au bi-layer), multiplexed SQUID read-out
- $\Delta E \sim 11 \text{ eV}$
- $\tau_{\text{rise}} \sim 160 \mu\text{s}$



Milano-Bicocca

$m(v_e) \sim 3-4 \text{ eV}$

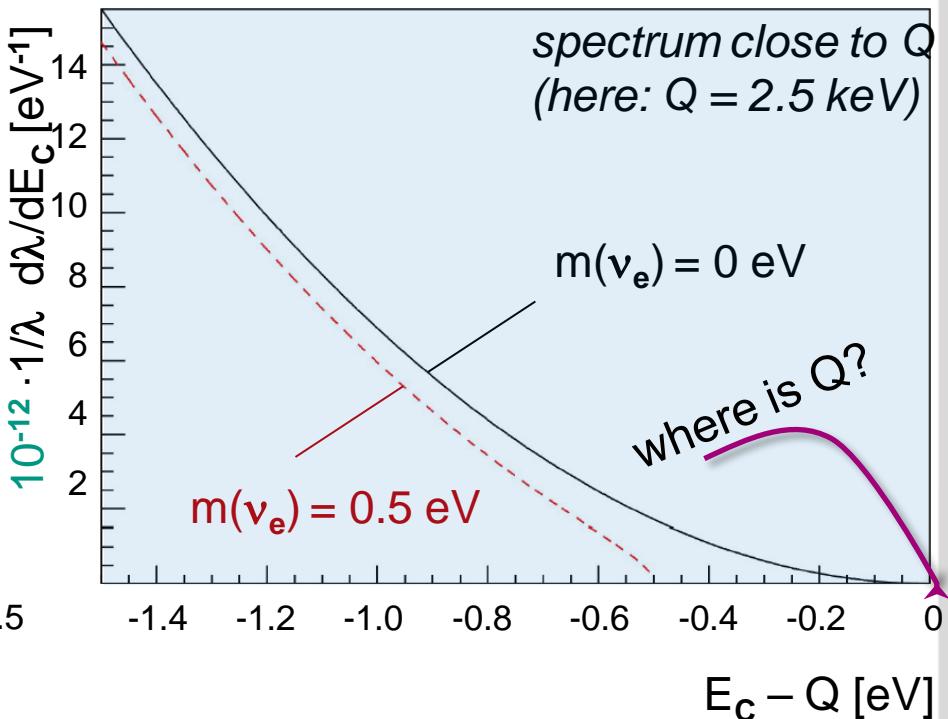
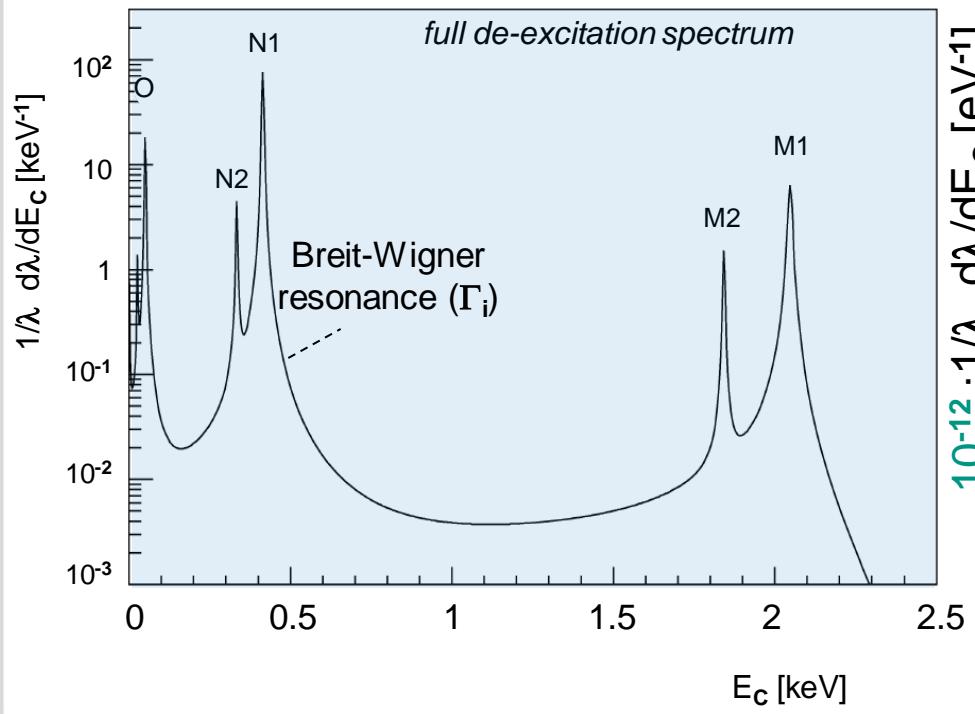
- 6x6 arrays of AgReO<sub>4</sub> crystals (up to 8 arrays can be housed in cryostat)
- $m = 0.5 \text{ mg} \Leftrightarrow 0.27 \text{ Bq}$
- readout: Si-implanted thermistors
- $\Delta E \sim 25 \text{ eV}$
- $\tau_{\text{rise}} \sim 250 \mu\text{s}$



# electron capture & $\nu$ -mass

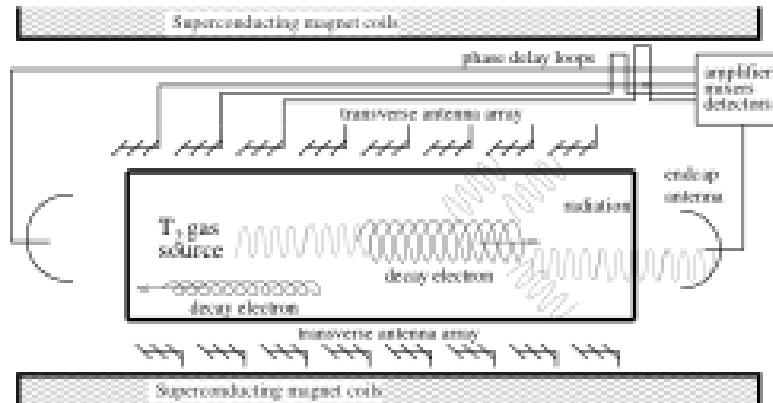
- electron capture: non-zero  $m(\nu_e)$  value affects the EC de-excitation spectrum  
**EC of  $^{163}\text{Ho}$  is suitable candidate:**  $^{163}\text{Ho} + e^- \rightarrow \nu_e + ^{163}\text{Dy}^* \rightarrow ^{163}\text{Dy} + E_C$

$$\frac{d\lambda_{EC}}{dE_C} \sim (Q - E_C) \cdot \sqrt{(Q - E_C)^2 - m^2(\nu_e)} \cdot \sum_i n_i \cdot C_i \cdot \beta_i^2 \cdot B_i \cdot \frac{\Gamma_i}{2\pi} \cdot \frac{1}{(E_C - E_i)^2 + \Gamma_i^2 / 4}$$



# Measuring the Neutrino Mass

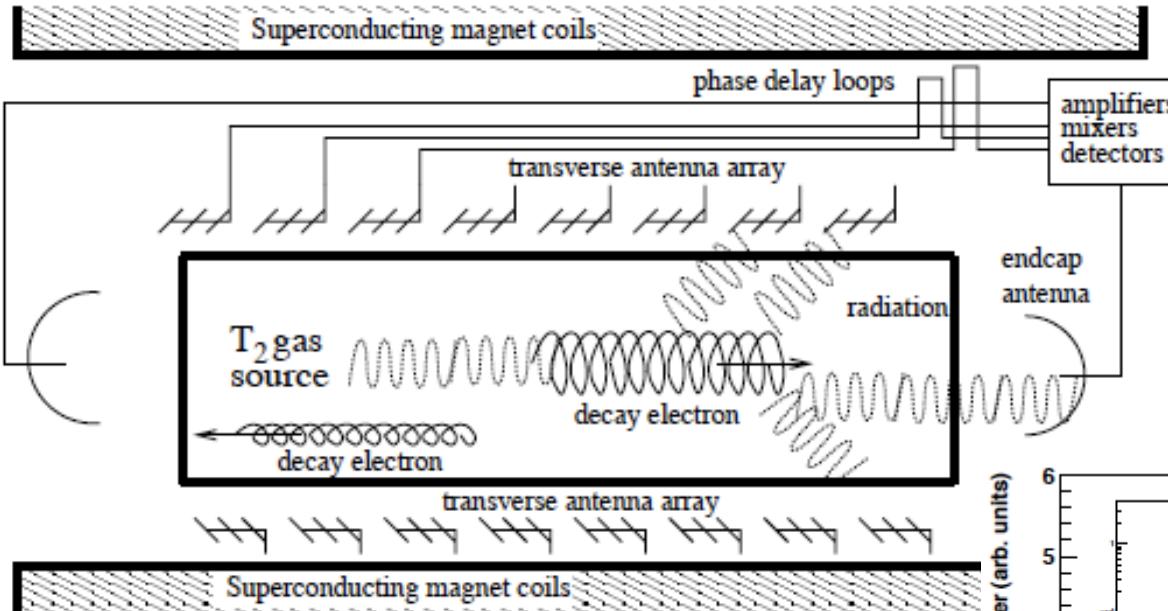
## 3<sup>rd</sup> approach, proposed recently: Project 8



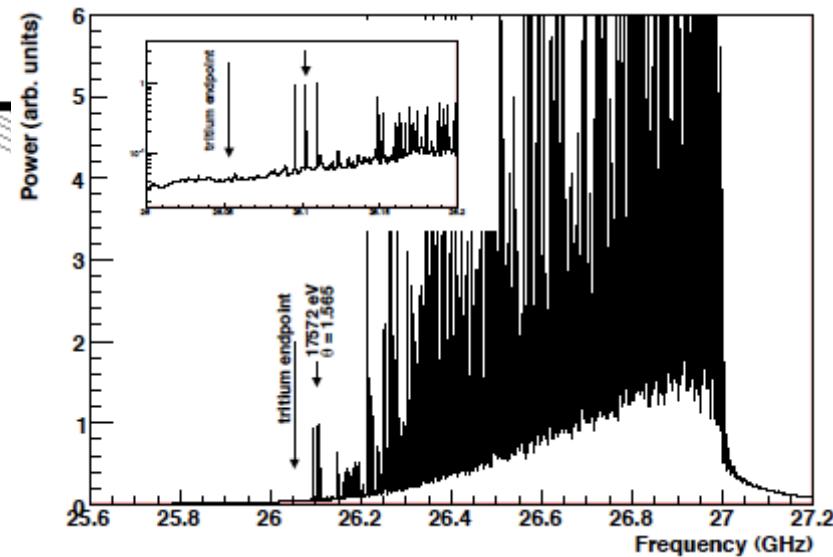
- source: gaseous  $T_2$
- technique: radio-frequency spectroscopy of coherent cyclotron radiation of  $\beta$  decay electrons
- more details: arXiv:0904.2860v1 [nucl-ex]
  
- design values: projected energy resolution: 1 eV  
estimated sensitivity on  $m(\nu_e)$ : 0.1 eV
  
- status: preparations for a proof-of-principle experiment

# Project 8 collaboration

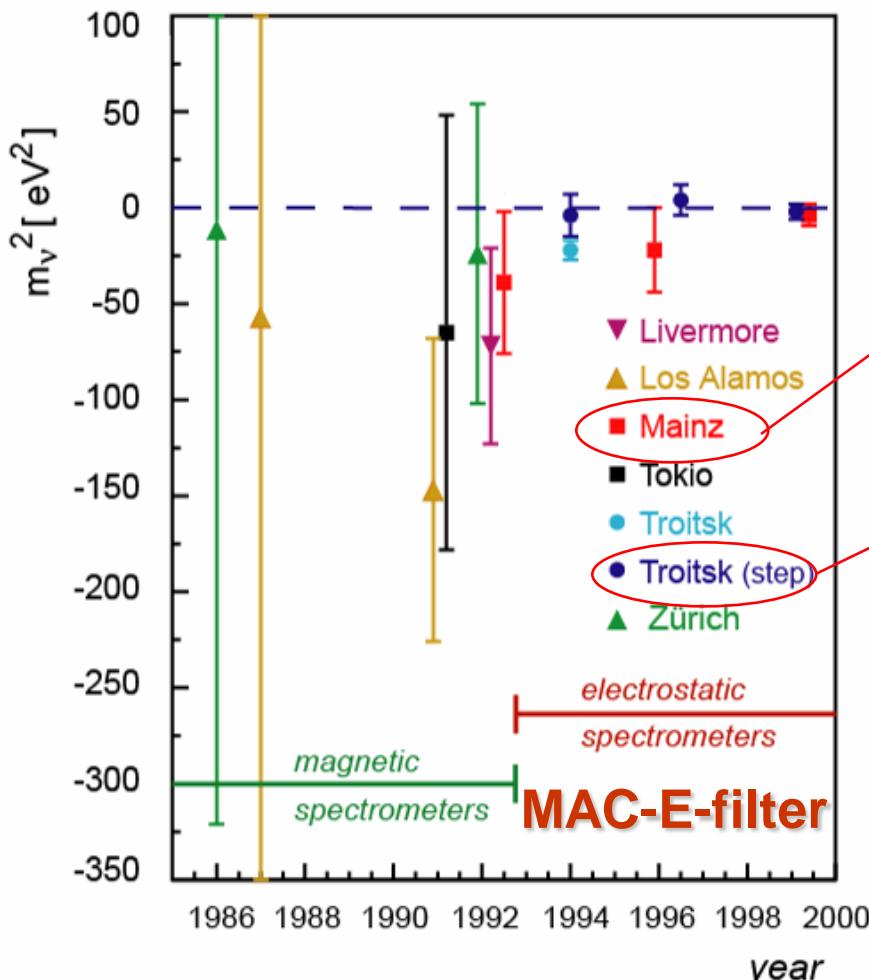
- Cyclotron radiation from  $T_2$
- first prototype at UW, Seattle



$$\omega = \frac{eB}{\gamma m_e} = \frac{\omega_c}{\gamma} = \frac{\omega_c}{1 + \frac{K_e}{m_e c^2}}$$



# History of ${}^3\text{H}$ $\beta$ -decay experiments



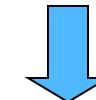
$$m_\nu^2 = -0.6 \pm 2.2_{\text{stat}} \pm 2.1_{\text{syst}} \text{ eV}^2$$

$$m_\nu \leq 2.3 \text{ eV} (95\% CL)$$

$$m_\nu^2 = -1.2 \pm 2.2_{\text{stat}} \pm 2.1_{\text{syst}} \text{ eV}^2$$

$$m_\nu \leq 2.2 \text{ eV} (95\% CL)$$

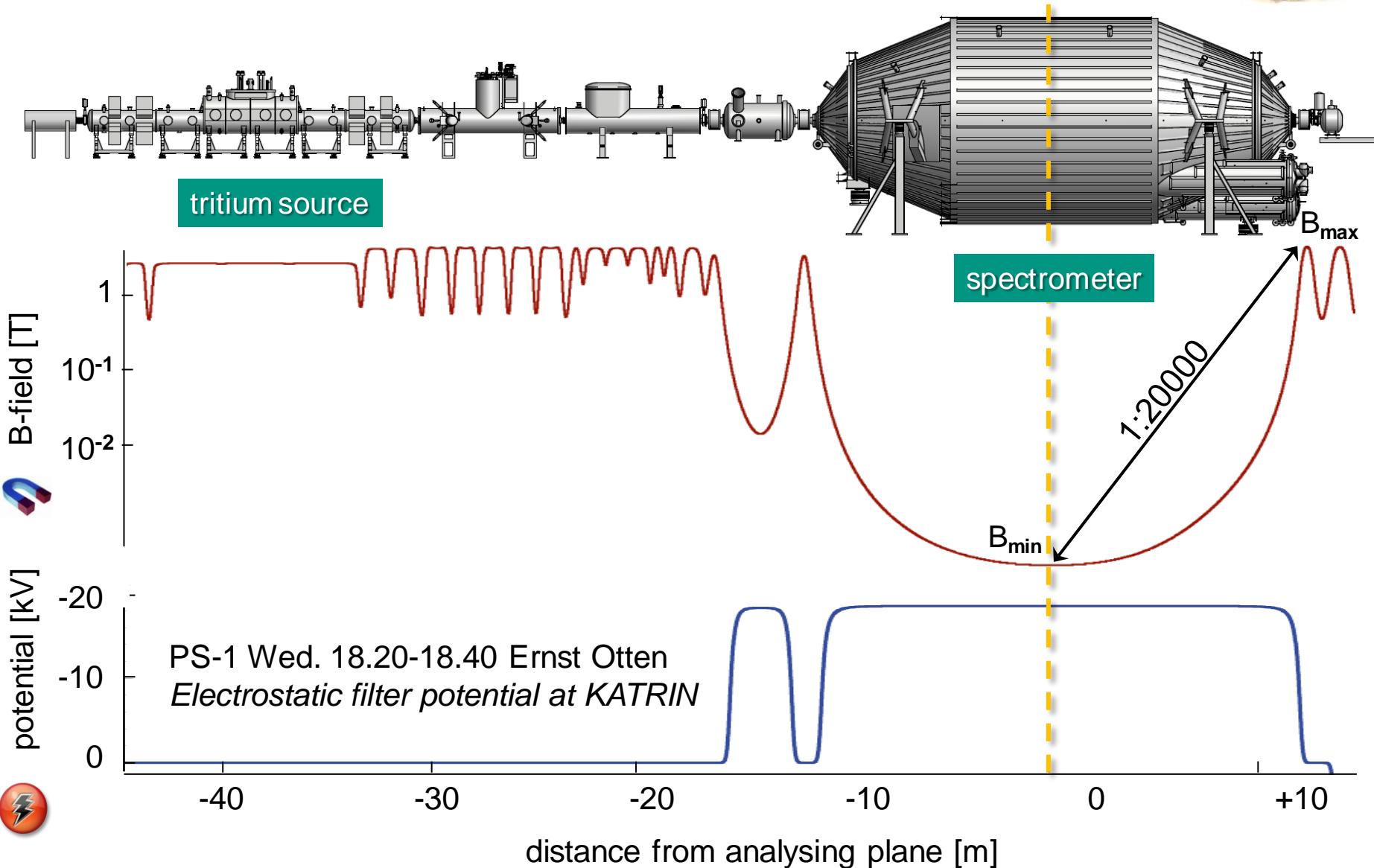
Mainz & Troitsk have reached  
the limit of their sensitivity



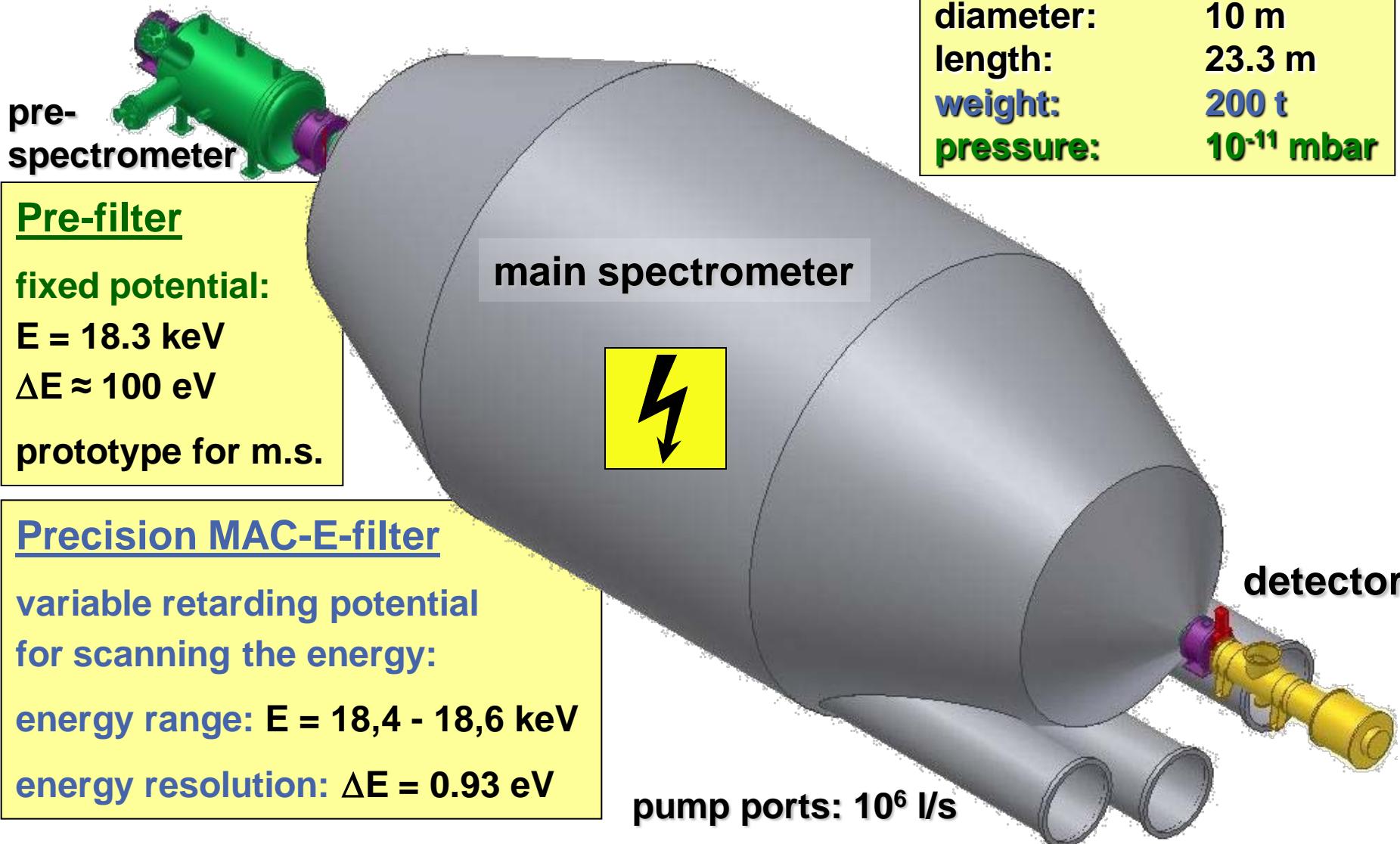
both experiments are used now  
to conduct systematic studies  
for the next generation:

**KATRIN**

# magnetic field & electrostatic potential



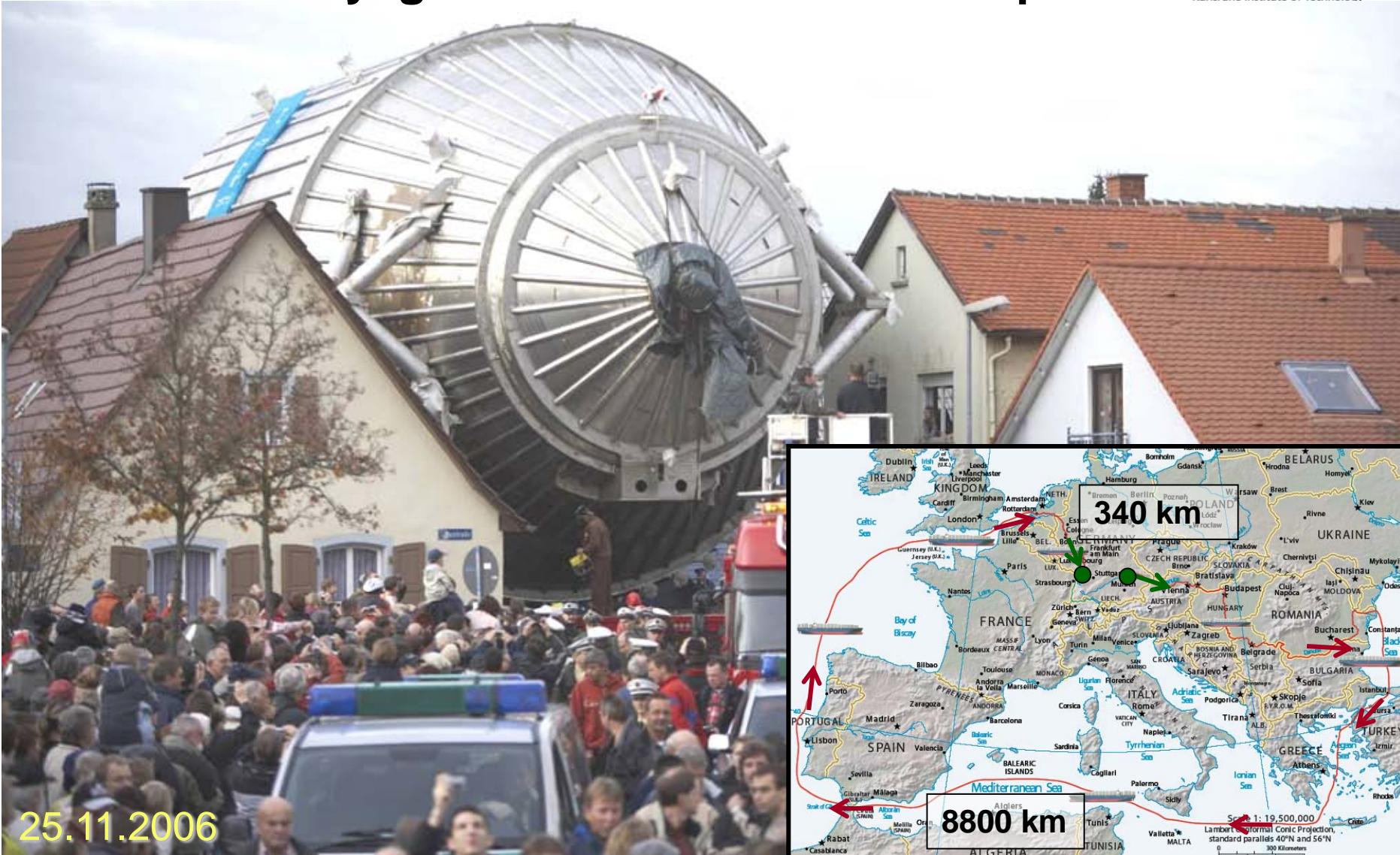
# The electro-static tandem-spectrometer



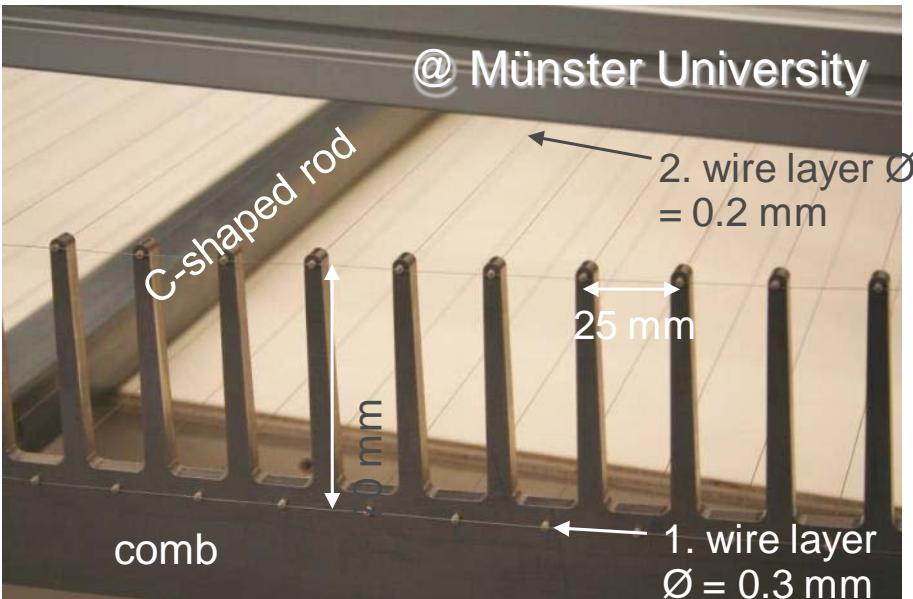
# Requirements for the vacuum system

- **final pressure:**  $< 10^{-11}$  mbar
- **outgassing:**  $< 10^{-12}$  mbar l/s cm<sup>2</sup> (innere surface: 690 m<sup>2</sup>)
- **effective pumping speed**
  - 3000m getter strips: 1 000 000 l/s (H<sub>2</sub> and other active gases)
  - 6 turbo-molecular pumps: 8 400 l/s (all gases)
- **max. allowed gasload**
  - H<sub>2</sub>  $< 10^{-5}$  mbar l/s
    - outgassing vessel:  $< 6 \times 10^{-6}$  mbar l/s
    - outgassing electrodes:  $< 3 \times 10^{-6}$  mbar l/s
    - 6 TMPs, beamline, gauges:  $< 10^{-6}$  mbar l/s
  - non-getterable gases  $< 10^{-7}$  mbar l/s (hydrocarbons, noble gases,...)

# Arrival of the main spectrometer after a voyage of 8800 km around Europe



# KATRIN: $\approx 240$ double layer wire electrode modules



# Systematic uncertainties

any unaccounted variance  $\sigma^2$  leads to negative shift of  $m_\nu^2$ :  $\Delta m_\nu^2 = -2 \sigma^2$

## 1. inelastic scatterings of $\beta$ 's inside WGTS

- requires dedicated e-gun measurements,  
unfolding techniques for response fct.

## 2. fluctuations of WGTS column density (required < 0.1%)

- rear detector, Laser-Raman spectroscopy, T=30K stabilisation,  
e-gun measurements

## 3. transmission function

- spatial resolved e-gun measurements

## 4. WGTS charging due to remaining ions (MC: $\phi < 20\text{mV}$ )

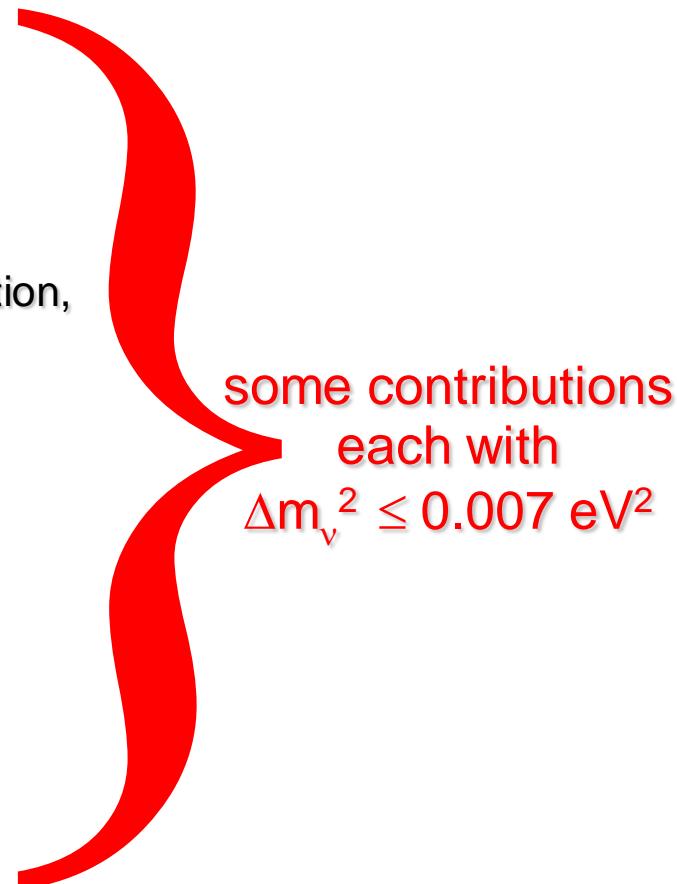
- inject low energy meV electrons from rear side,  
diagnostic tools available

## 5. final state distribution

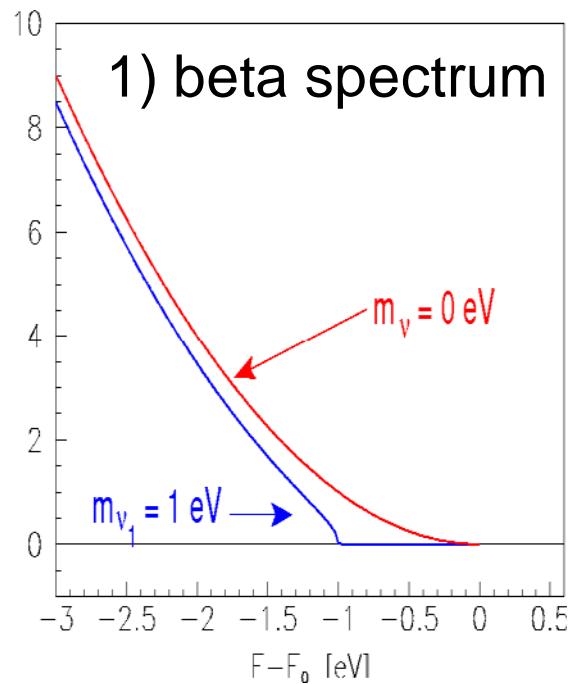
- reliable quantum chem. calculations

## 6. HV stability of retarding potential on ~3ppm level required

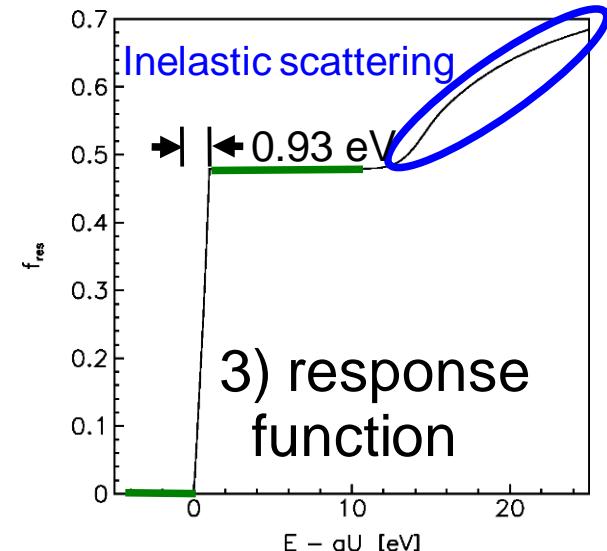
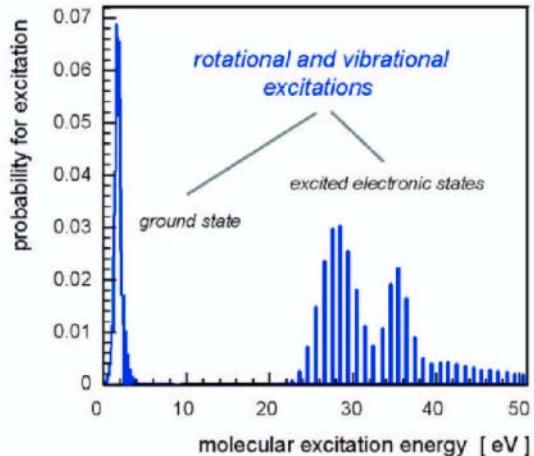
- precision HV divider (PTB), monitor spectrometer beamline



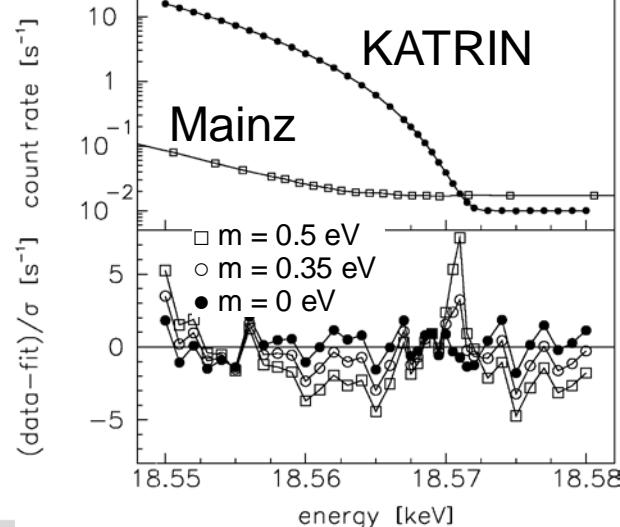
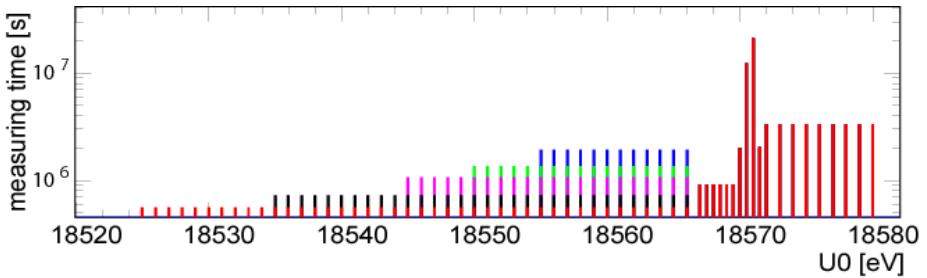
# Statistics



2) final states



4) measurement point distrib.  $\Rightarrow$  MC data



# Recent developments in $\beta$ -spectroscopy

- **Sterile neutrinos *not* disfavoured by cosmology**
  - might be seen by KATRIN
    - if mass of  $\nu_s$  is large enough (for instance LSND neutrinos)
    - mixing with  $\bar{\nu}_e$  is large enough

Hamann et al.: arXiv: 1006.5276
- **New ideas**
  - **Project 8:** measures  $E_\beta$  via cyclotron radiation
 

Monreal, Formaggio, Phys. Rev. D80, 051301(R) (2009)
  - search for **ultra-low Q value** isotopes
    - decay in excited daughter states
    - partial ionization of parent isotope
  - **radioactive ions in storage ring**
  - **ultra-cold atoms in trap ( $E_\beta$ ,  $p_\beta$ ,  $p_{\text{rec}}$ )**
  - **direct mass difference and heat**

Riis, Hannestad: arXiv: 1008.1495

Kopp, Merle: arXiv: 0911.3329v2

Lindroos et al.: arXiv: 0904.1089

Jerkins et al.: arXiv: 0901.3111v4

Matsuzaki et al.: arXiv: 0908.4163v3