

# A sterile neutrino signal in the neutrinoless double beta decay?

Jacobo López-Pavón



Nufact 13

IHEP, Beijing (China), August 19-24, 2013

Based on:

Blennow, Fernández-Martínez, JLP, Menéndez

**ArXiv:1005.3240** (JHEP 1007 (2010) 096)

JLP, Pascoli and Wong

**ArXiv:1209.5342** (PRD 87, 093007 (2013))

# Very Brief Motivation

- The recent LHC results seem to indicate that the Higgs mechanism, with  $m_H \sim 125$  GeV, is the responsible of the mass generation of the SM particles.

ATLAS, CMS 2012

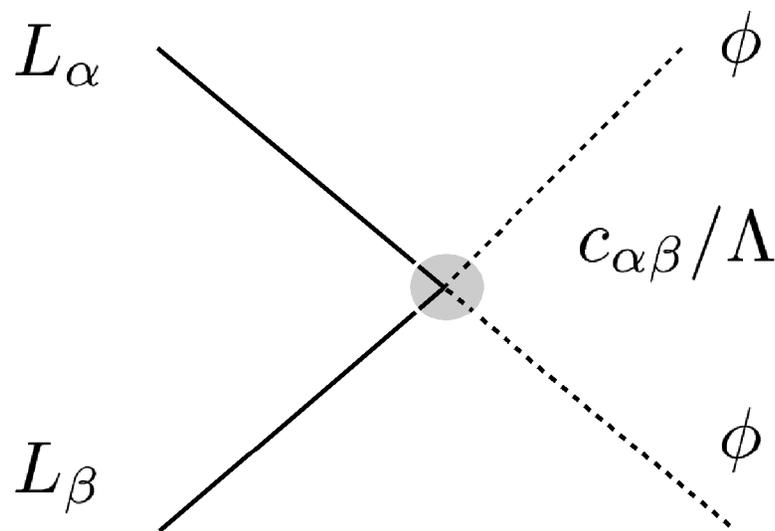
- However, the origin of light neutrino masses, which existence is supported by neutrino oscillation experiments, still remains unknown.
- Although the light neutrino masses could also be generated through the Higgs mechanism, their smallness in comparison with the SM particles calls for a more natural explanation.

# Very Brief Motivation

- Consider SM as a low energy effective theory. With the SM field content, the lowest dimension effective operator is the following (d=5):

$$\frac{c_{\alpha\beta}}{\Lambda} \left( \overline{L^c_{\alpha}} \tilde{\phi}^* \right) \left( \tilde{\phi}^{\dagger} L_{\beta} \right) \xrightarrow{\text{SSB}} \frac{c\nu^2}{\Lambda} \overline{\nu^c_{\alpha}} \nu_{\alpha}$$

Weinberg 76



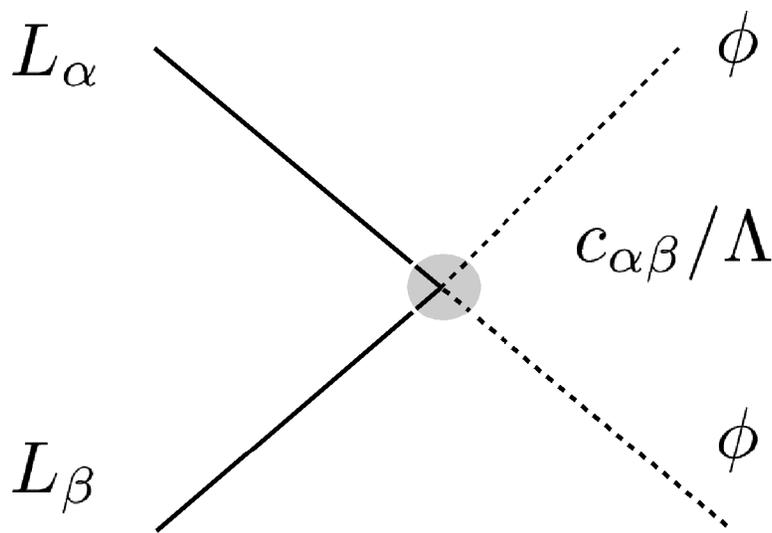
☺ Smallness of neutrino masses can be explained

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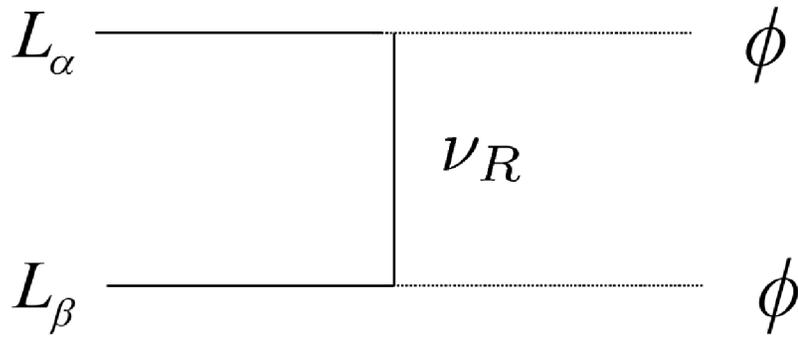


☺ Smallness of neutrino masses can be explained

☺ Majorana masses  
Lepton number is violated

$0\nu\beta\beta$  decay

# Seesaw Models

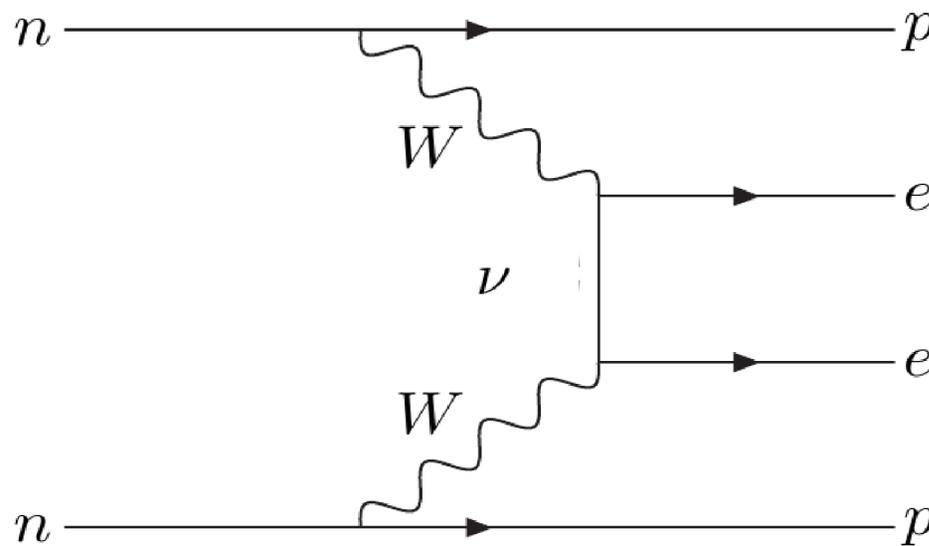


Heavy fermion singlet:  $\nu_R$ . **Type I seesaw.**  
Minkowski 77; Gell-Mann, Ramond, Slansky 79; Yanagida 79; Mohapatra, Senjanovic 80.

In this talk, we will focus on the following extension of SM:

$$\mathcal{L} = \mathcal{L}_{\text{SM}} + \mathcal{L}_{\text{kin}} - \frac{1}{2} \overline{\nu_{si}} M_{ij} \nu_{sj}^c - (Y)_{i\alpha} \overline{\nu_{si}} \tilde{\phi}^\dagger L_\alpha + \text{h.c.}$$

# Neutrinoless double beta decay



- Contribution of a single neutrino to the amplitude of  $0\nu\beta\beta$  decay:

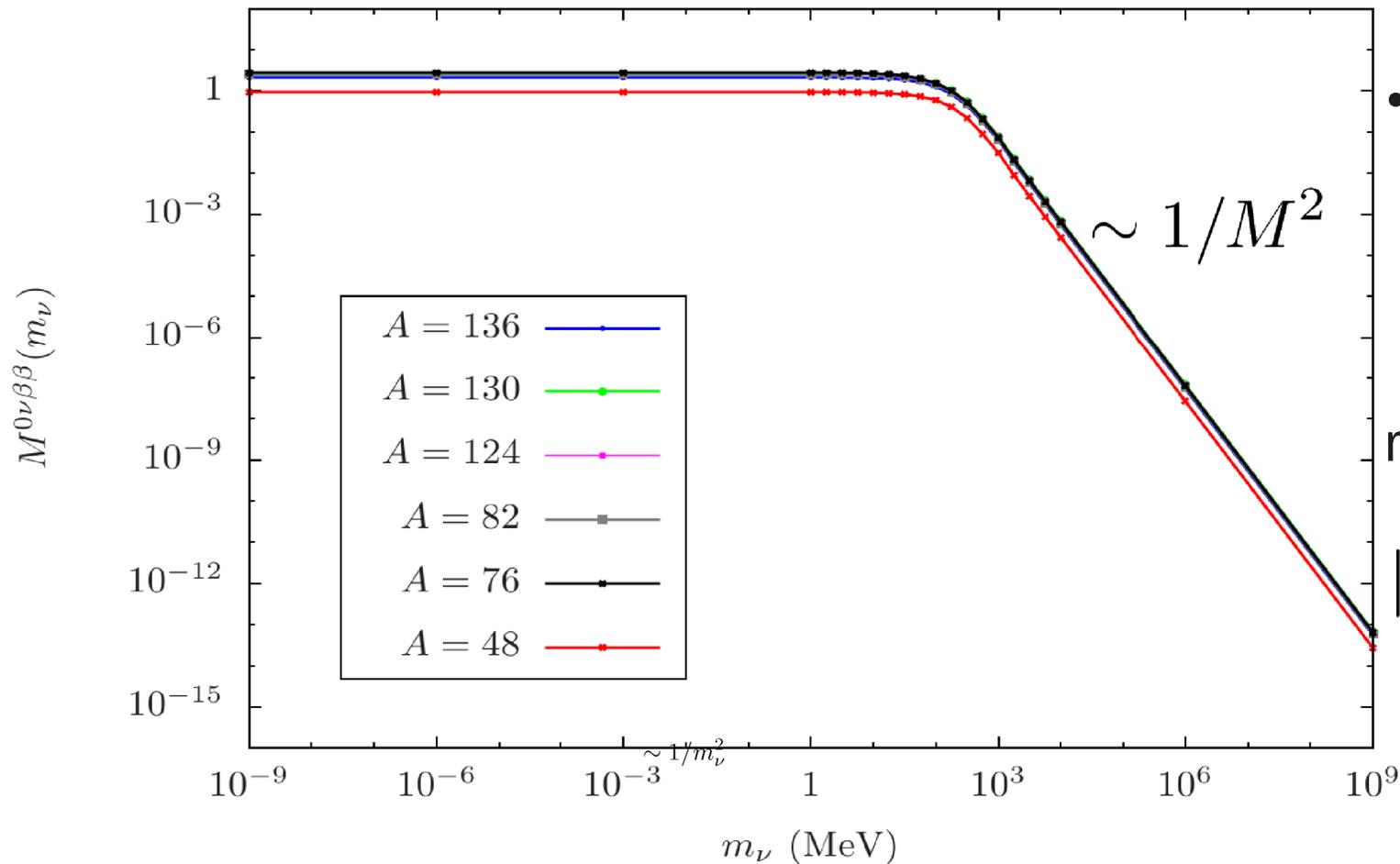
$$A_i \propto m_i U_{ei}^2 M^{0\nu\beta\beta}(m_i)$$

mass of propagating  
neutrino

Lepton mixing  
matrix

NME

# Nuclear Matrix Elements (NME)



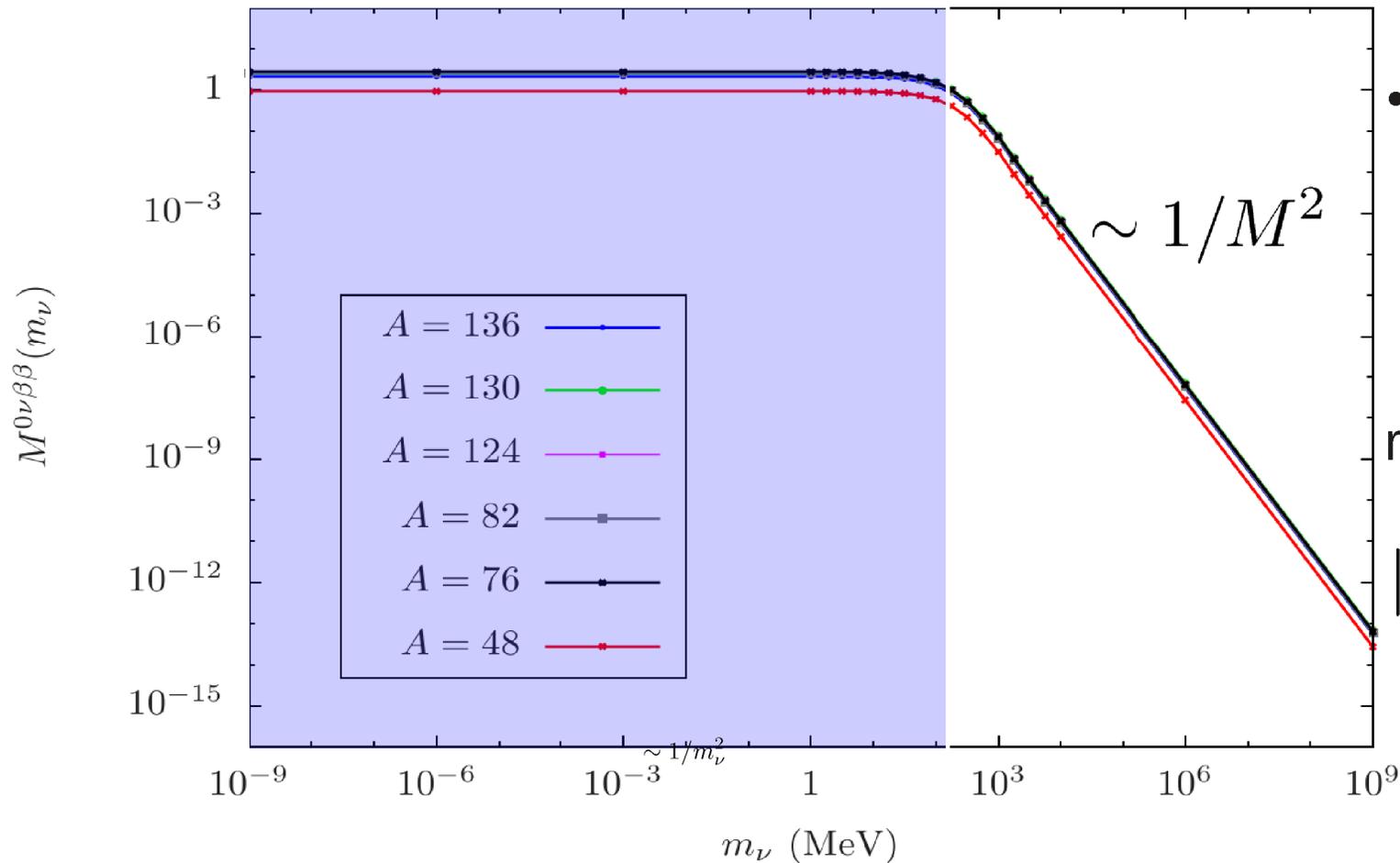
- Mild dependence on the nuclei

- Two different regions separated by nuclear scale  $|p^2| \simeq 100 \text{ MeV}$

Data available @

[http://www.th.mppmu.mpg.de/members/blennow/nme\\_mnu.dat](http://www.th.mppmu.mpg.de/members/blennow/nme_mnu.dat)

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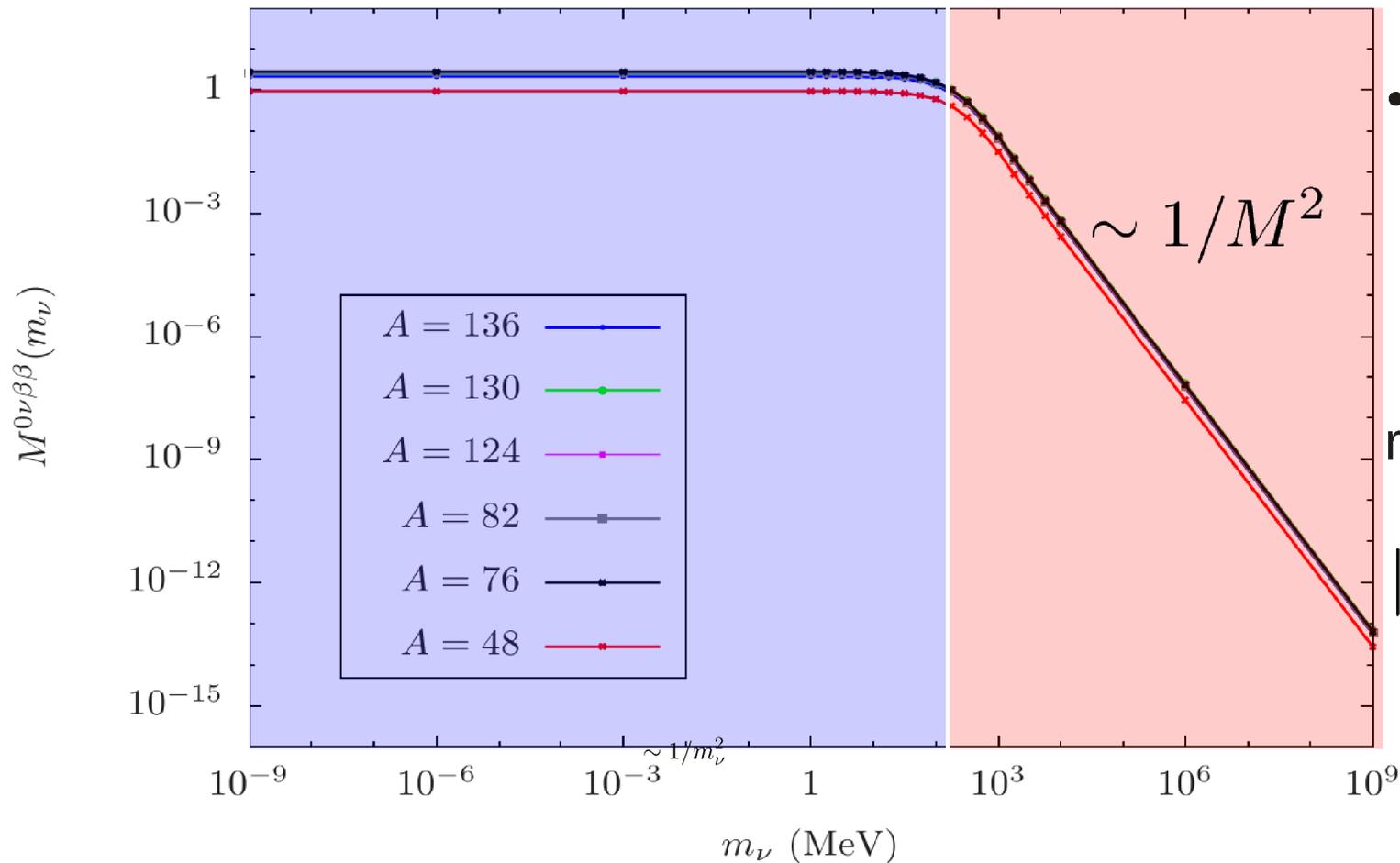
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*light regime*  
 $m_i^2 \ll |p^2|$

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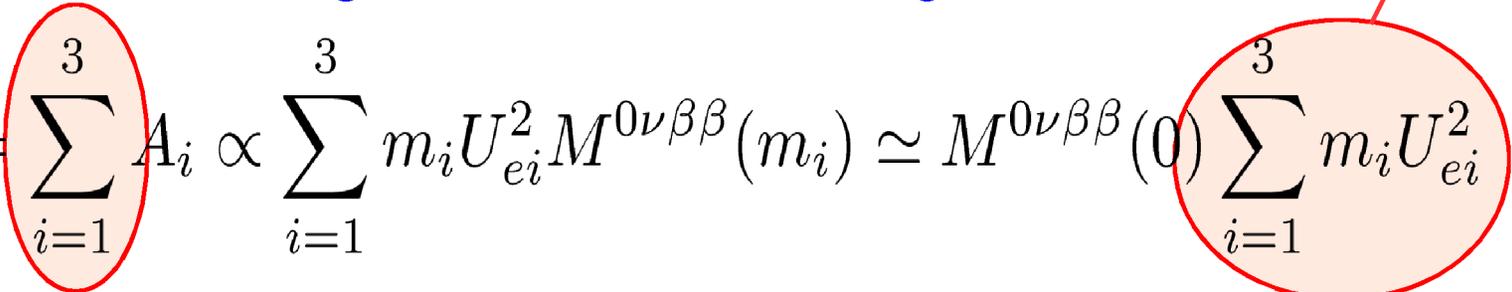
*heavy regime*  
 $m_i^2 \gg |p^2|$

Data available @

[http://www.th.mppmu.mpg.de/members/blennow/nme\\_mnu.dat](http://www.th.mppmu.mpg.de/members/blennow/nme_mnu.dat)

# standard approach

Contribution of extra degrees of freedom is neglected

$$A_{0\nu\beta\beta} = \sum_{i=1}^3 A_i \propto \sum_{i=1}^3 m_i U_{ei}^2 M^{0\nu\beta\beta}(m_i) \simeq M^{0\nu\beta\beta}(0) \sum_{i=1}^3 m_i U_{ei}^2$$


Using PMNS matrix parameterisation:

$$m_{\beta\beta} = m_1 c_{12}^2 c_{13}^2 + m_2 s_{12}^2 c_{13}^2 e^{2i\alpha_1} + m_3 s_{13}^2 e^{2i\alpha_2}$$

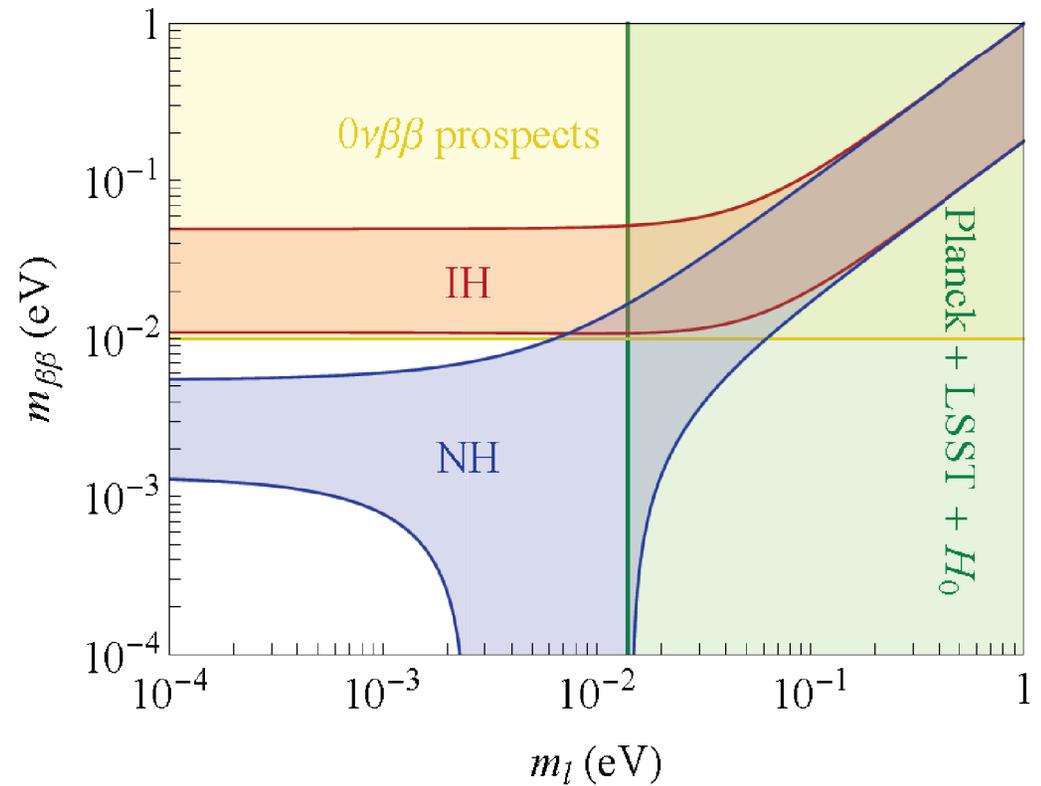
→ Valid if "SM" neutrinos dominate the process

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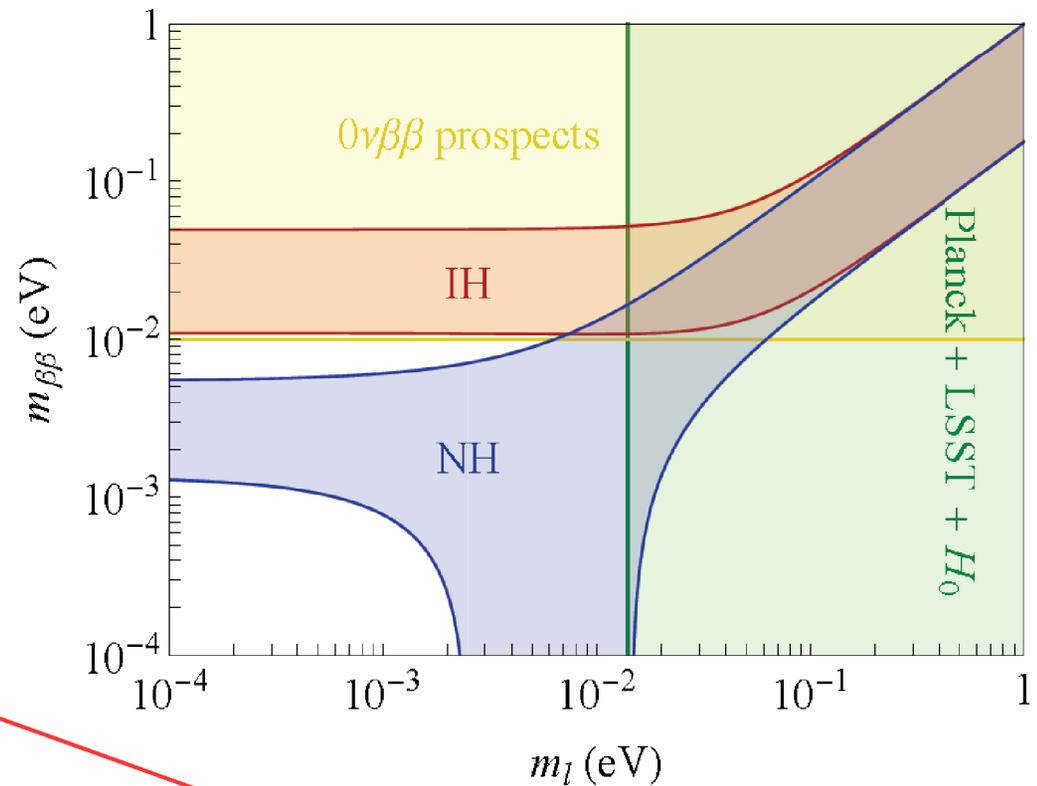
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Valid if "SM" neutrinos dominate the process

But the ~~SM has to be extended with heavy degrees of freedom,~~ not considered above, otherwise  $0\nu\beta\beta$  decay would be forbidden.



They can be very relevant !!

# $0\nu\beta\beta$ in seesaw models

$$-\mathcal{L}_{mass} = \frac{1}{2}\overline{\nu_{Ri}}(M_N)_{ij}\nu_{Rj}^c - (Y_\nu)_{i\alpha}\overline{\nu_R}\tilde{\phi}^\dagger L_\alpha$$

The neutrino mass matrix is then given by:

$$\begin{pmatrix} 0 & Y_N^* v / \sqrt{2} \\ Y_N^\dagger v / \sqrt{2} & M_N \end{pmatrix}.$$

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$(3 + n_R) \times (3 + n_R)$  unitary mixing matrix

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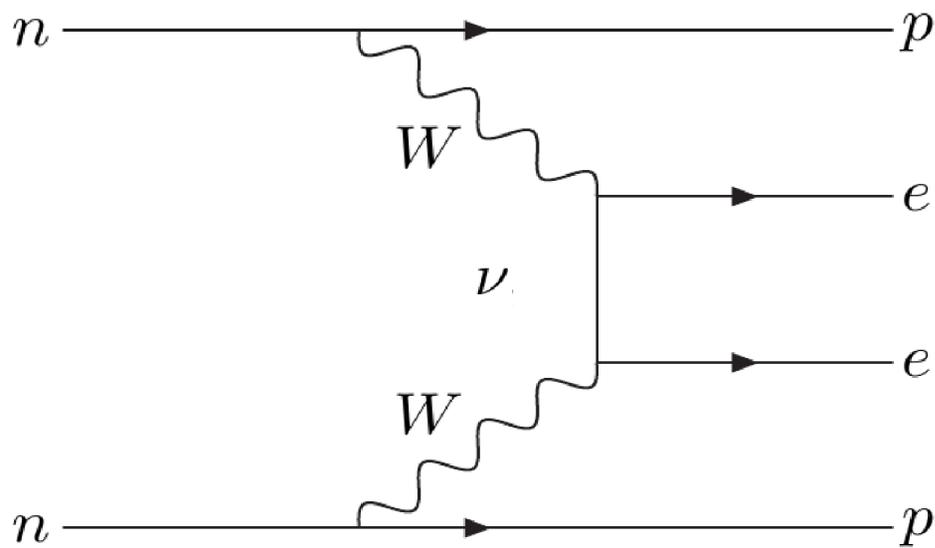
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~~$$\overline{\nu_{\alpha L}}\nu_{\alpha L}^c$$~~

$$\sum_i^{\text{SM}} m_i U_{ei}^2 + \sum_I^{\text{extra}} m_I U_{eI}^2 = 0$$

Simple relation between "light" parameters and extra degrees of freedom!

# $0\nu\beta\beta$ decay in seesaw models



$$A \propto \sum_i^{\text{SM}} m_i U_{ei}^2 M^{0\nu\beta\beta}(0)$$

light mostly-active states

$$+ \sum_I^{\text{extra}} m_I U_{eI}^2 M^{0\nu\beta\beta}(m_I)$$

extra degrees of freedom



Different phenomenologies depending on their mass regime

# sterile neutrinos in light regime

$$A \propto \sum_i^{\text{SM}} m_i U_{ei}^2 M^{0\nu\beta\beta}(m_i) + \sum_I^{\text{light}} m_I U_{eI}^2 M^{0\nu\beta\beta}(m_I)$$

Remember

1.  ~~$\overline{\nu_{\alpha L}} \nu_{\alpha L}^c$~~   $\sum_i^{\text{SM}} m_i U_{ei}^2 + \sum_I^{\text{light}} m_I U_{eI}^2 = 0$

2.  $M^{0\nu\beta\beta}(m_i) = M^{0\nu\beta\beta}(0)$  (light regime)

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Remember

- ~~$\overline{\nu_{\alpha L}} \nu_{\alpha L}^c$~~   $\sum_i^{\text{SM}} m_i U_{ei}^2 + \sum_I^{\text{light}} m_I U_{eI}^2 = 0$
- $M^{0\nu\beta\beta}(m_i) = M^{0\nu\beta\beta}(0)$  (light regime)

$$A \propto - \sum_I^{\text{light}} m_I U_{eI}^2 (M^{0\nu\beta\beta}(0) - M^{0\nu\beta\beta}(m_I))$$

strong suppression for  $m_{\text{extra}} < 100\text{MeV}$

!

# sterile neutrinos in heavy regime

"canonical" Type-I seesaw scenario

$$A \propto - \sum_I^{\text{heavy}} m_I U_{eI}^2 (M^{0\nu\beta\beta}(0) - M^{0\nu\beta\beta}(m_I))$$

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negligible!

$$\approx - \sum_I^{\text{heavy}} m_I U_{eI}^2 M^{0\nu\beta\beta}(0) = \sum_i^{\text{SM}} m_i U_{ei}^2 M^{0\nu\beta\beta}(0).$$

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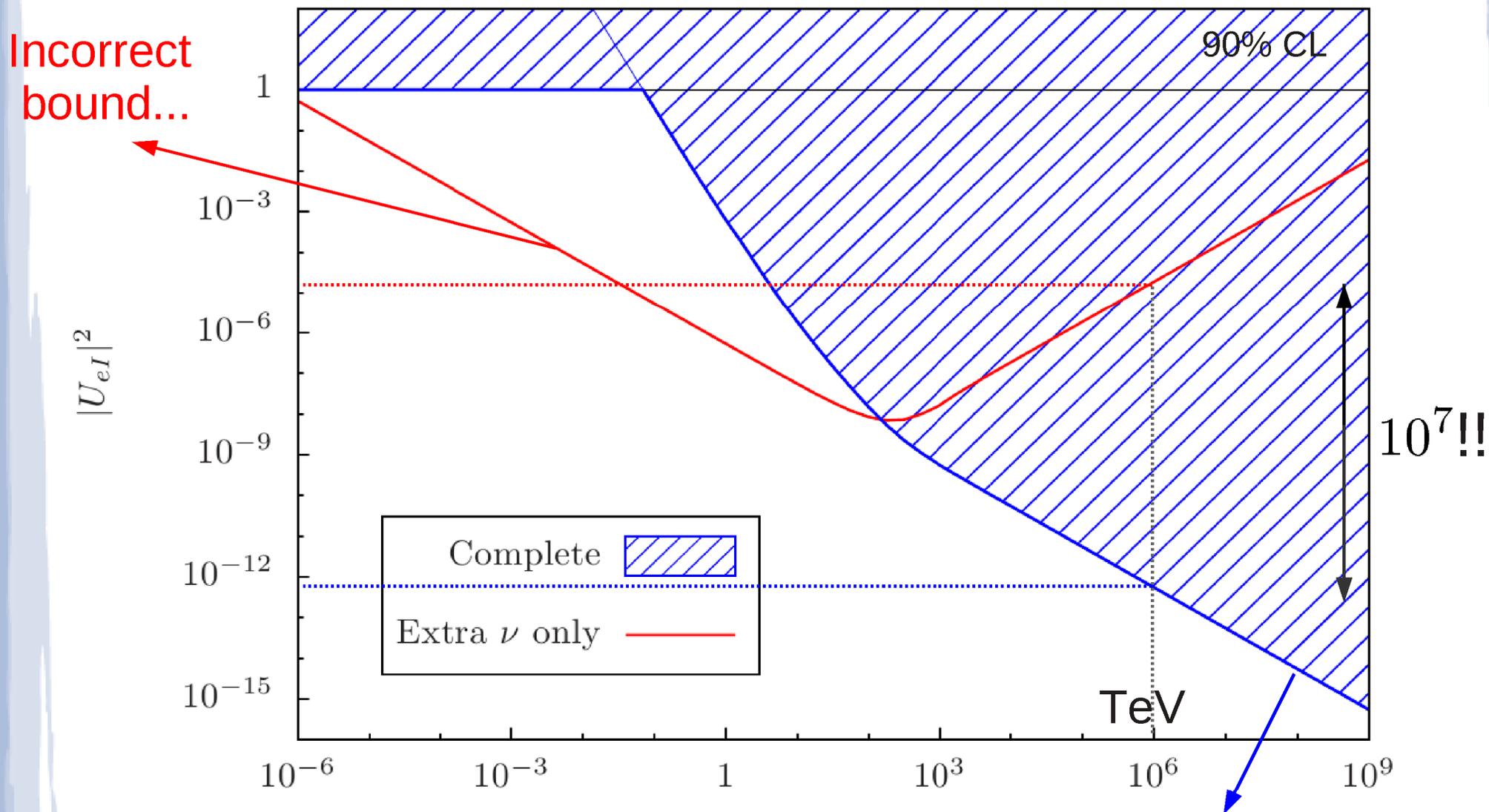
Constrain mixing with heavy neutrinos through light contribution!!

*(Much stronger than the bounds usually considered in the literature)*

Zhi- Zhong Xing 09

Blennow, Fernandez-Martinez, Menendez, JLP 10  
arXiv:1005.324

# Bound on mixing active-sterile neutrino



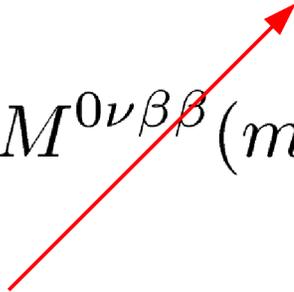
Bounds from COURICINO (with  $^{130}\text{Te}$ )  $m_I$  (MeV)  
**Non-hierarchical** extra neutrinos assumed

Much stronger  
 Constraint !!

# sterile neutrinos in heavy & light regime

$$A \propto - \sum_I^{\text{heavy}} m_I U_{eI}^2 (M^{0\nu\beta\beta}(0) - M^{0\nu\beta\beta}(m_I))$$

negligible!



$$\approx \left( \sum_i^{\text{SM}} m_i U_{ei}^2 + \sum_{\text{extra}}^{\text{light}} m_I U_{eI}^2 \right) M^{0\nu\beta\beta}(0)$$

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$$\approx \left( \sum_i^{\text{SM}} m_i U_{ei}^2 + \sum_{\text{extra}}^{\text{light}} m_I U_{eI}^2 \right) M^{0\nu\beta\beta}(0)$$

Extra states with masses below 100 MeV  
can give a relevant contribution!

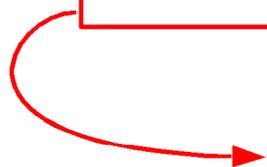
The sterile neutrinos can dominate the process

Is there any other case in which  
the sterile neutrinos  
can dominate the decay rate?

JLP, Pascoli and Wong  
[ArXiv:1209.5342](https://arxiv.org/abs/1209.5342) (PRD **87**, 093007 (2013))

Yes, there is an important exception

$$A \propto \sum_i^{SM} m_i U_{ei}^2 M^{0\nu\beta\beta}(0) + \sum_I^{heavy} m_I U_{eI}^2 M^{0\nu\beta\beta}(m_I)$$


$$\sum_i^{SM} m_i U_{ei}^2 = \sum_I^{heavy} m_I U_{eI}^2 = 0$$

Ibarra, Molinaro, Petcov 2010

Mitra, Senjanovic, Vissani 2011

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Heavy neutrinos dominate process at tree level...

...is it really possible to have a dominant and measurable contribution once the one-loop corrections are considered?

# Parameterization

In the appropriate basis, without loss of generality

$$M_\nu = \begin{pmatrix} 0 & Y_1^T v / \sqrt{2} & \epsilon Y_2^T v / \sqrt{2} \\ Y_1 v / \sqrt{2} & \mu' & \Lambda \\ \epsilon Y_2 v / \sqrt{2} & \Lambda^T & \mu \end{pmatrix}$$

$\epsilon, \mu, \mu'$  = lepton number violation parameters

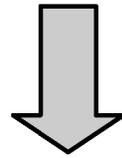
$0\nu\beta\beta$  decay rate should depend on them

Also light majorana masses

# Tree level Cancellation of light contribution

At tree level in the seesaw limit, the cancellation condition reads:

$$A_{light} \propto - (m_D^T M^{-1} m_D)_{ee} M^{0\nu\beta\beta}(0) = 0$$



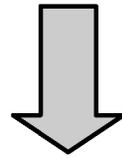
SM + 2 ×  $\nu_R$

$$\mu Y_{1e}^2 + \epsilon Y_{2e} (\epsilon \mu' Y_{2e} - 2\Lambda Y_{1e}) = 0$$

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$$\mu Y_{1e}^2 + \epsilon Y_{2e} (\epsilon \mu' Y_{2e} - 2\Lambda Y_{1e}) = 0$$

$\mu = \epsilon = 0$  is the most stable solution under corrections

→ Tree level light active neutrino masses vanish !!

$$A_{heavy} \propto - (m_D^T M^{-3} m_D) = \frac{v^2 \mu' Y_{1e}^2}{2\Lambda^4}$$

# Heavy contribution

$$A_{heavy} \propto - (m_D^T M^{-3} m_D) = \frac{v^2 \mu' Y_{1e}^2}{2\Lambda^4}$$

→ To have a phenomenologically relevant contribution, a **large**  $\mu'$  and/or a rather **small**  $\Lambda$  are in principle required.

→ Does it induce too large radiative corrections?

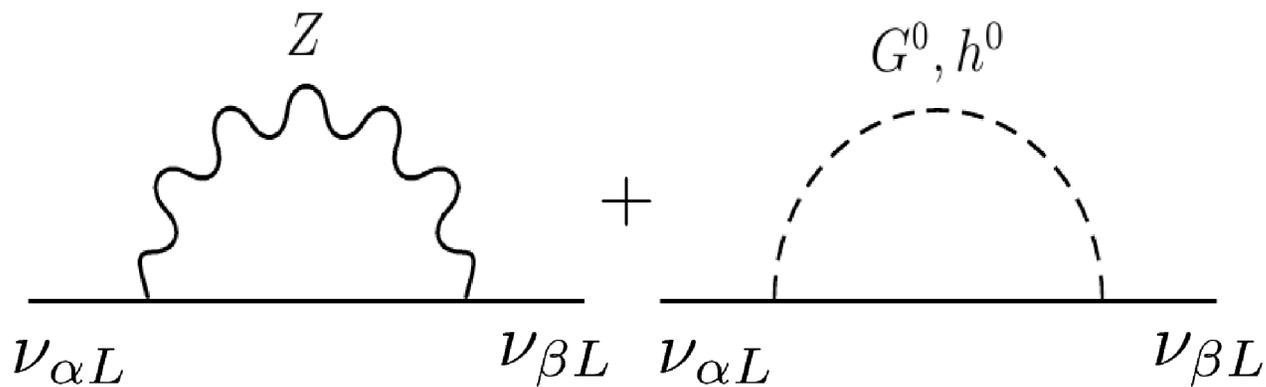
→ What about the higher order corrections in the seesaw expansion? **NO, they vanish for**  
 $\mu = \epsilon = 0$

# 1-loop corrections

- **Finite corrections.** 1-loop generated **Majorana mass term** for the **active neutrinos** is the dominant contribution:

Pilaftsis 92; Grimus & Lavoura 2002; Aristizabal Sierra & Yaguna 2011

$$\delta m_{LL} = \frac{1}{(4\pi)^2} m_D^T M \left\{ \frac{3 \ln(M^2/M_Z^2)}{M^2/M_Z^2 - 1} + \frac{\ln(M^2/M_h^2)}{M^2/M_h^2 - 1} \right\} m_D$$



Similar structure as tree level masses, but **no cancellation** for  $\mu = \epsilon = 0$ . Light masses generated at 1-loop.

# 1-loop corrections

$$U^* \text{diag} \{m_1, m_2, \dots, m_n\} U^\dagger = \begin{pmatrix} \delta m_{LL} & Y_N^* v / \sqrt{2} \\ Y_N^\dagger v / \sqrt{2} & M_N \end{pmatrix}.$$

If tree level cancelation takes place ( $\mu = \epsilon = 0$ ):

$$\left\{ \begin{array}{l} A_{extra} \propto \sum_I^{\text{extra}} U_{eI}^2 m_I M^{0\nu\beta\beta}(m_I) \neq 0 \\ A_{active} \propto (\delta m_{LL})_{ee} M^{0\nu\beta\beta}(0) \neq 0 \end{array} \right.$$

# Constraints

1

Neutrino oscillations  $\sqrt{\delta m_{solar}^2} < \delta m_{LL} < 0.23 eV$

$2 eV$

Absolute mass  
scale experiments  
(PLANCK)

( ${}^3H$   $\beta$ -decay)

# Constraints

1 Neutrino oscillations  $\sqrt{\delta m_{solar}^2} < \delta m_{LL} < 0.23 eV$  Absolute mass scale experiments (PLANCK)  
 $2 eV$  ( ${}^3H$   $\beta$ -decay)

2 Dominant or not, the heavy contribution should respect the present constraint and be measurable, to be phenomenologically interesting

$$10^{-2} eV < m_{\beta\beta}^{heavy} < 0.38 eV$$

Present bound  
EXO using  
ISM NME

Next-to-Next generation sensitivity

MAJORANA, Super-Nemo, etc, etc

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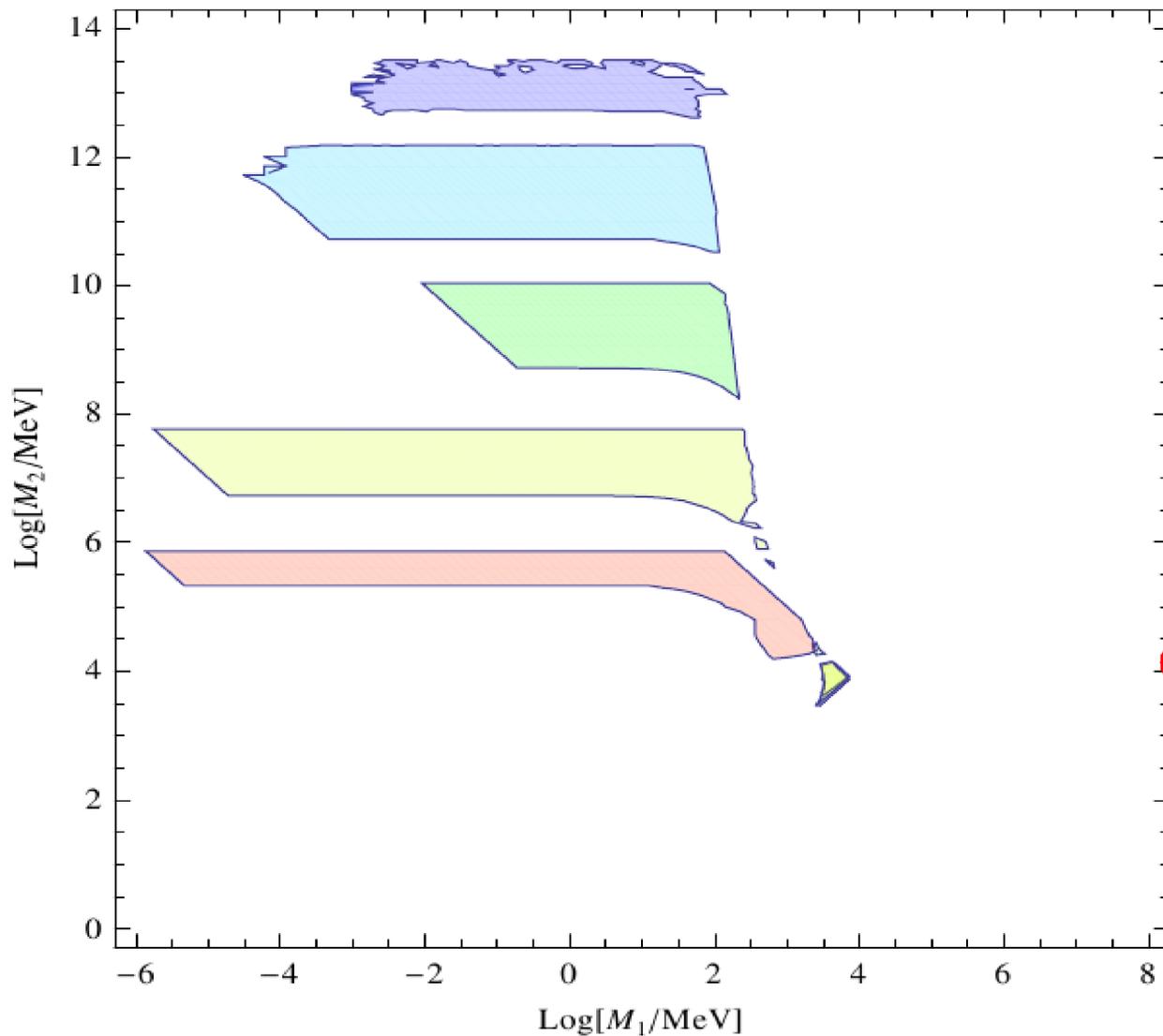
3 Constraints on the mixing with heavy neutrinos from weak decays, lepton number violation processes and non-unitarity.

Atre, Han, Pascoli, Zhang 2009

Antusch, Biggio, Fernandez-Martinez, Gavela, JLP 2006

etc

# Sterile Neutrino signal



$$Y_{1\alpha} = 10^{-2}$$

$$Y_{1\alpha} = 10^{-3}$$

$$Y_{1\alpha} = 10^{-4}$$

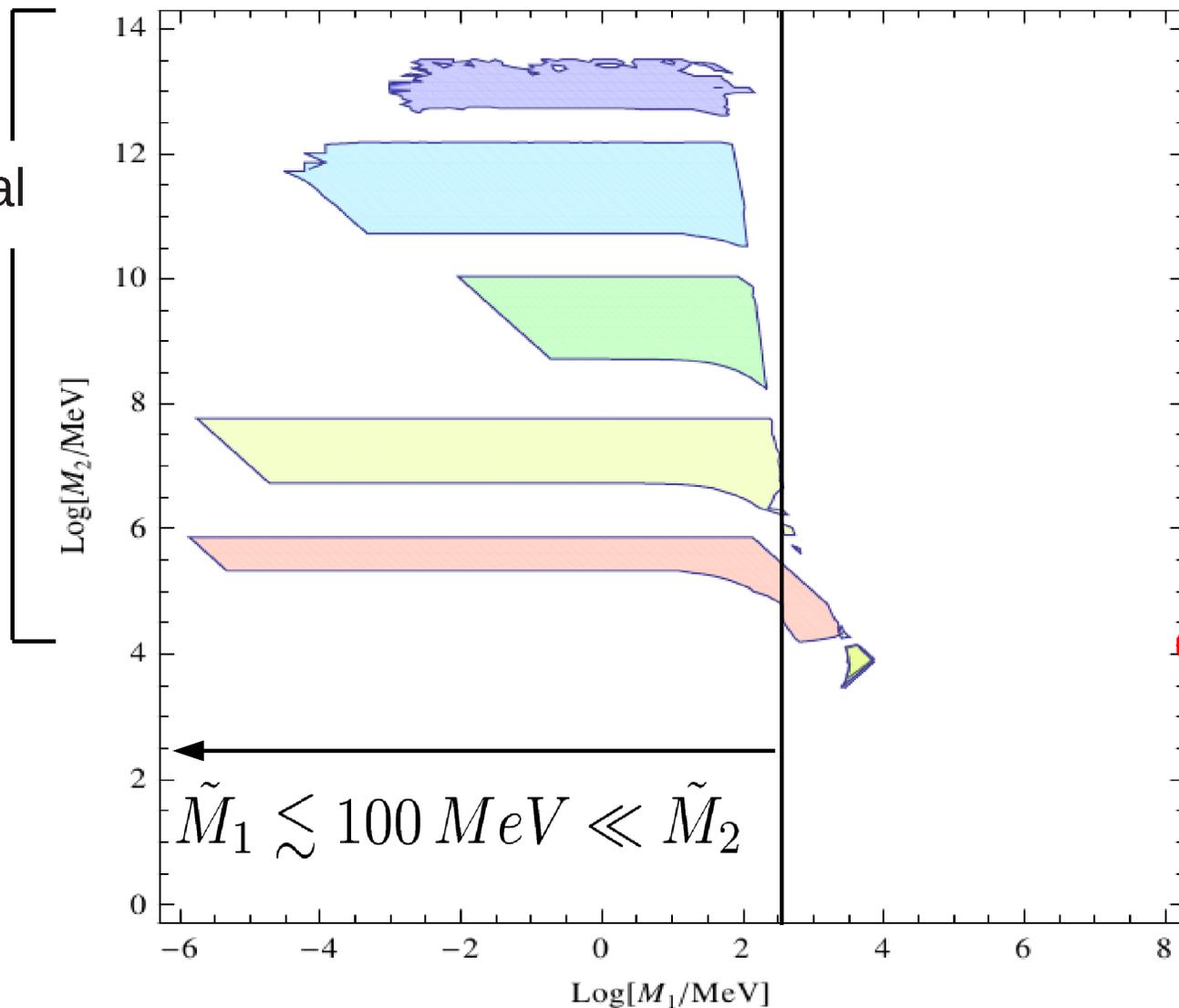
$$Y_{1\alpha} = 10^{-5}$$

$$Y_{1\alpha} = 2 \cdot 10^{-6}$$

# Sterile Neutrino signal

Hierarchical  
seesaw

ESS



$$Y_{1\alpha} = 10^{-2}$$

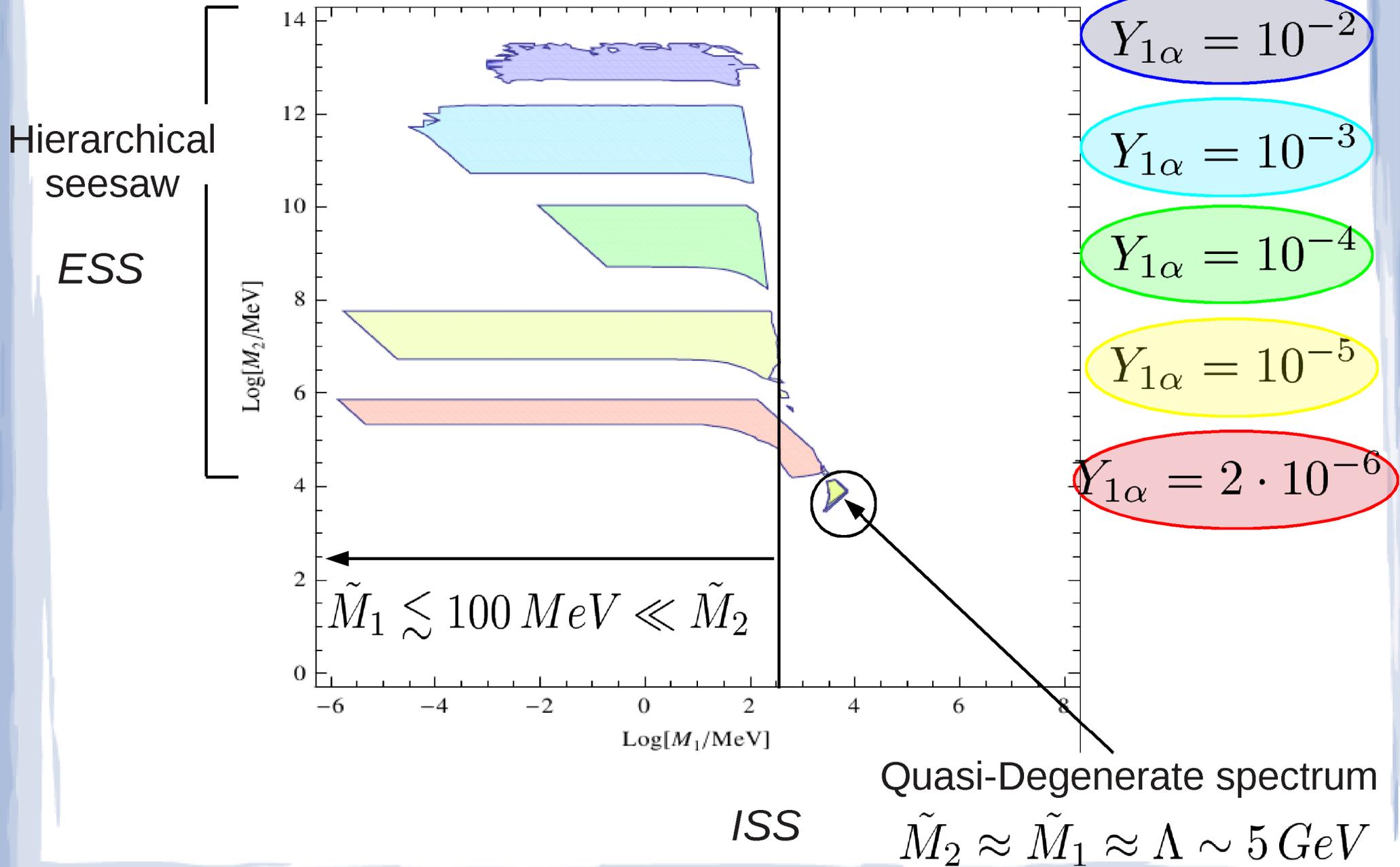
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# Sterile Neutrino signal



# Sterile Neutrino signal

It can take place in two limits:

- Quasi-Degenerate heavy spectrum (ISS limit):  $\Lambda \gg \mu'$

Mohapatra, Valle 86; Branco, Grimus, Lavoura 89

$$\tilde{M}_2 \approx -\tilde{M}_1 \approx \Lambda$$

$$\delta m_{LL} \approx \frac{1}{(4\pi)^2} \frac{Y_1^T Y_1}{2} \frac{M_H^2 + 3M_Z^2}{\Lambda^2} \mu'$$

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$$\delta m_{LL} \approx \frac{1}{(4\pi)^2} \frac{Y_1^T Y_1}{2} \frac{M_H^2 + 3M_Z^2}{\Lambda^2} \mu'$$

- "Hierarchical" heavy spectrum (ESS limit):  $\Lambda \ll \mu'$

Kang, Kim 2007; Majee, Parida, Raychaudhuri 2008

$$\tilde{M}_2 \approx \mu' \gg \tilde{M}_1 \approx \frac{\Lambda^2}{\mu'}$$

$$\delta m_{LL} \approx \frac{1}{(4\pi)^2} \frac{Y_1^T Y_1}{2} \left[ \frac{3M_Z^2}{\mu'} \ln \left( \frac{\Lambda^4}{M_Z^4} \right) + \frac{M_H^2}{\mu'} \ln \left( \frac{\Lambda^4}{M_H^4} \right) \right]$$

# $0\nu\beta\beta$ decay + Neutrino Oscillations + Cosmology

1.  $0\nu\beta\beta$  signal in agreement with the forecasted rates

- Light active neutrinos dominate the  $0\nu\beta\beta$
- New physics above the nuclear scale, but its contribution is suppressed.

2.  $m_{\beta\beta}$  measured in  $0\nu\beta\beta$  larger than the forecasted rates

- Light active neutrinos do not dominate the  $0\nu\beta\beta$
- A dominant New physics contribution is required

3.  $m_{\beta\beta}$  measured in  $0\nu\beta\beta$  smaller than the forecasted rates

- Partial cancellation between light active neutrino contribution and extra degrees of freedom ( example: sterile neutrinos around the nuclear scale)

# $0\nu\beta\beta$ decay + Neutrino Oscillations + Cosmology

4. No  $0\nu\beta\beta$  signal observed but forecasted.

- Neutrinos are Dirac particles
- Neutrinos are Majorana particles but all masses below nuclear scale

5. NO  $0\nu\beta\beta$  signal observed and NOT forecasted.

- Very pessimistic!
- **Impossible to draw any conclusion** about origin and nature of neutrino masses.

# Conclusions

- Computed the NME as a function of the mass of the mediating fermions, estimating its relevant theoretical error.

Data available @

[http://www.th.mppmu.mpg.de/members/blennow/nme\\_mnu.dat](http://www.th.mppmu.mpg.de/members/blennow/nme_mnu.dat)

- Contributions of **light and heavy neutrinos should not be treated as if they were independent**:
  - Light contribution usually dominates the process.
  - ***Much stronger constraints*** on heavy mixing obtained considering relation between light and heavy degrees of freedom
  - If all extra states are in the light regime: strong cancellation leads to an experimentally inaccessible result.
- **Same phenomenology for the type-II and type-III seesaws as for the type I seesaw.**

# Conclusions

- Sterile neutrinos can give a signal in  $0\nu\beta\beta$  decay. This basically requires a hierarchical spectrum with (at least) one sterile neutrino lighter than the  $0\nu\beta\beta$  decay scale (around 100 MeV) and (at least) other sterile neutrino heavier than 100 MeV.

Blennow, Fernandez-Martinez, Menendez, JLP. arXiv:1005.324

- Even if the light neutrino contribution cancels out at tree-level, a measurable heavy neutrino contribution requires to introduce violation of L through the heavy sector, which appears naturally at the one-loop level making very difficult a dominant heavy contribution.

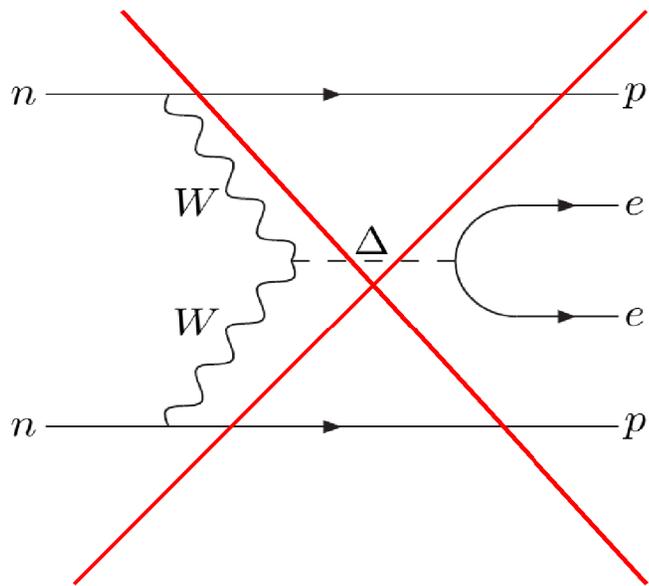
JLP, Pascoli and Wong, arXiv:1209.5342

Thank you!

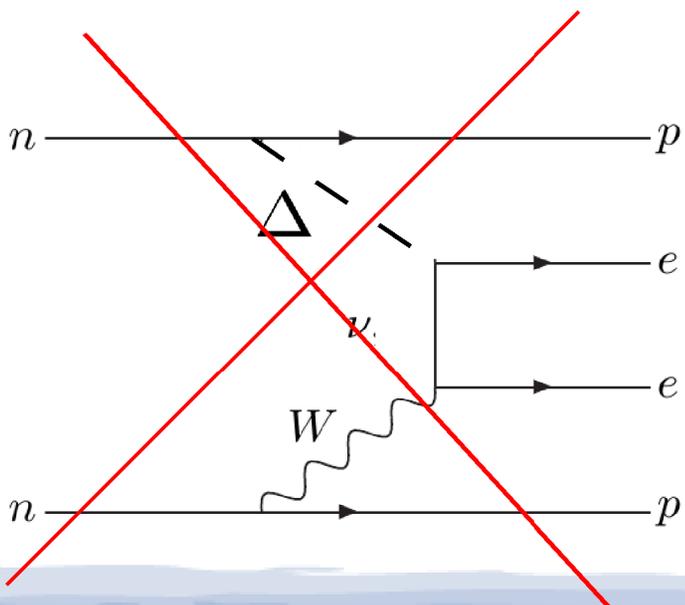
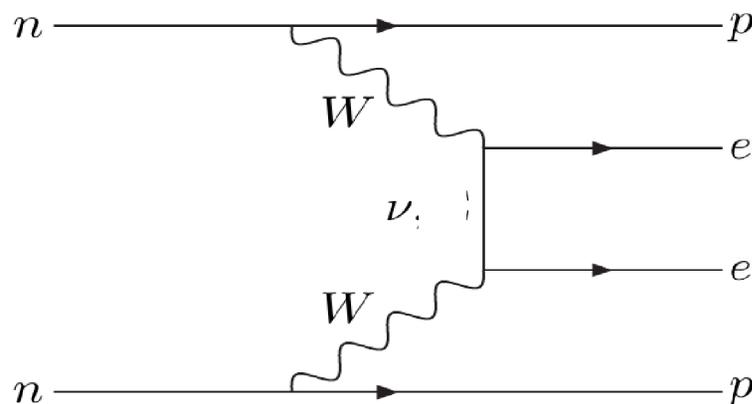
Back-up

# $0\nu\beta\beta$ in Type-II seesaw models

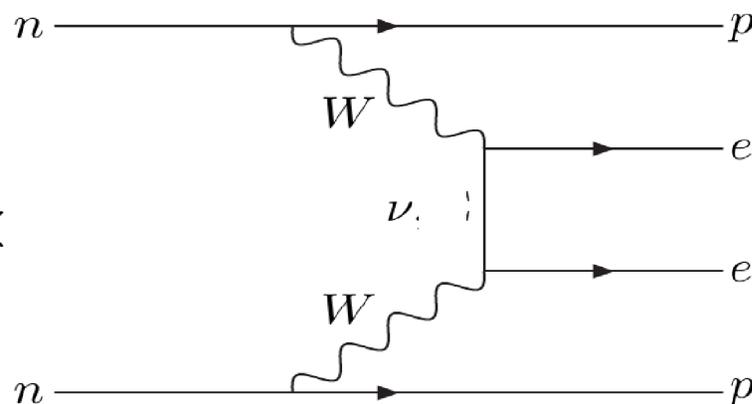
But the scalars can also mediate the process:



$$\sim \frac{p^2}{M_\Delta^2} \times < 10^{-6}$$

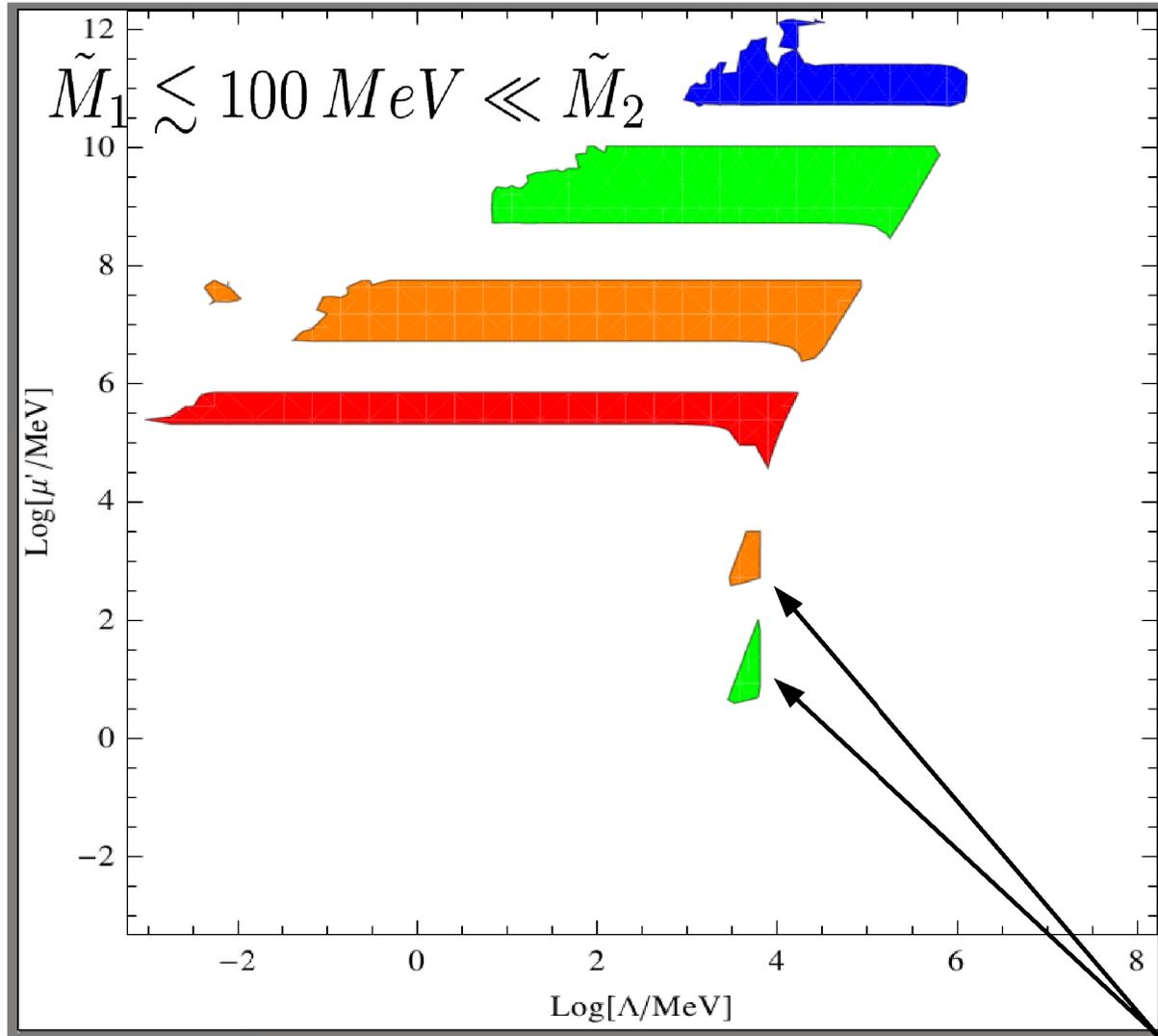


$$\sim \frac{m_q}{M_\Delta} \times < 10^{-5}$$



# Dominant Heavy Neutrino Contribution

Hierarchical  
seesaw



$Y_{1\alpha} = 10^{-3}$

$Y_{1\alpha} = 10^{-4}$

$Y_{1\alpha} = 10^{-5}$

$Y_{1\alpha} = 2 \cdot 10^{-6}$

Quasi-Degenerate  
heavy spectrum

$\tilde{M}_2 \approx \tilde{M}_1 \approx \Lambda \sim 5 \text{ GeV}$

# Type-I: All extra masses in light regime

$$A \propto - \sum_I^{\text{light}} m_I U_{eI}^2 (M^{0\nu\beta\beta}(0) - M^{0\nu\beta\beta}(m_I))$$

