

Neutrino Physics

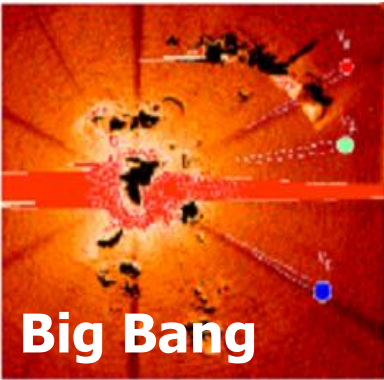
Zhi-zhong Xing
(IHEP, Beijing)

- ★ Neutrino's history & lepton families
- ★ Dirac & Majorana neutrino masses
- ★ Lepton flavor mixing & CP violation
- ★ Neutrino oscillation phenomenology
- ★ Seesaw & leptogenesis mechanisms
- ★ Extreme corners in the neutrino sky

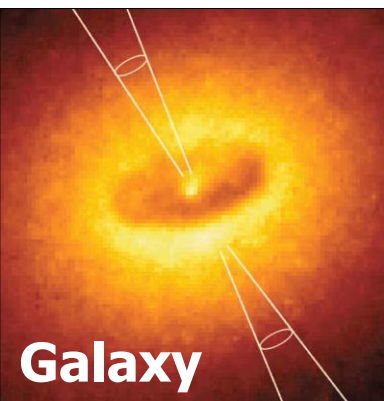
Lecture A

© 第六期理论物理前沿暑期讲习班——TeV 高能物理, 27/7— 8/8, 2015

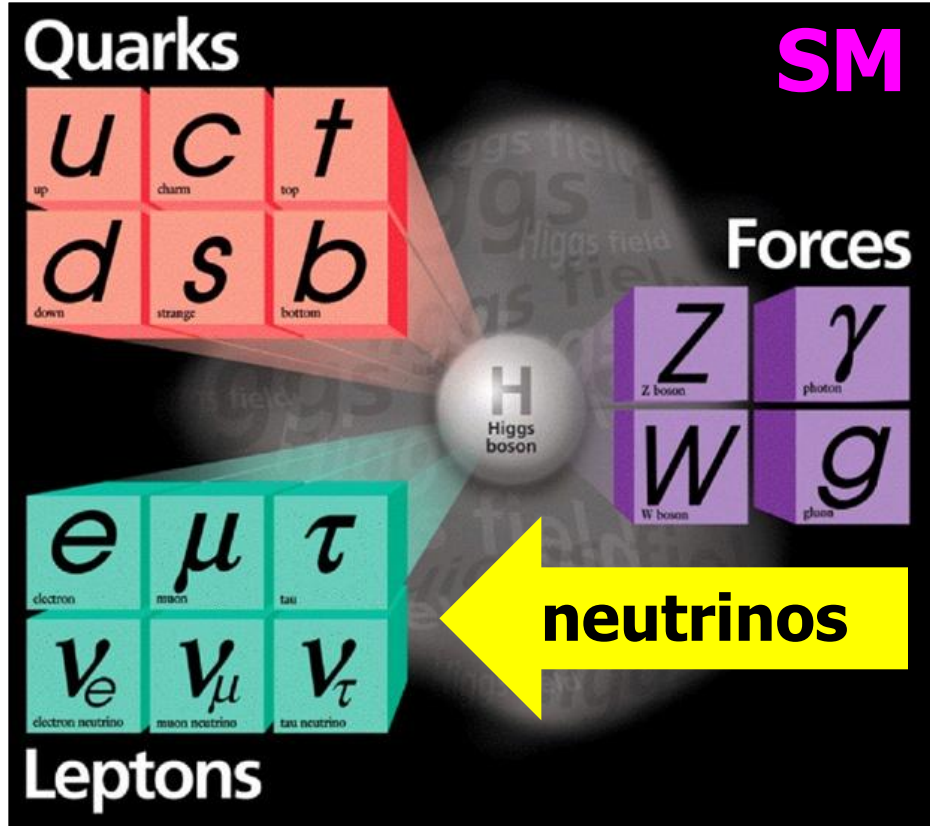
Neutrinos everywhere



Big Bang



Galaxy



Properties:
charge = 0
spin = 1/2
mass = 0
speed = c

Human Body
 $\Phi_\nu = 340 \times 10^6 \nu/\text{day}$

A line drawing of the Vitruvian Man, a human figure inscribed within a circle and a square.

Human



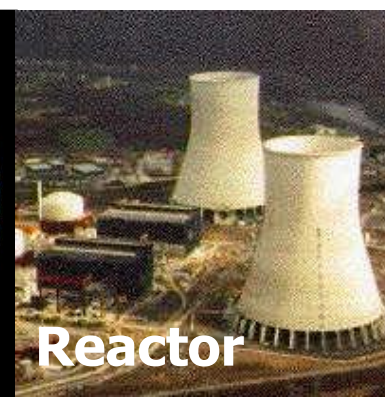
Supernova



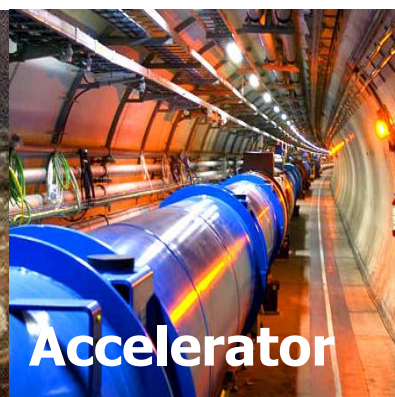
Sun



Earth



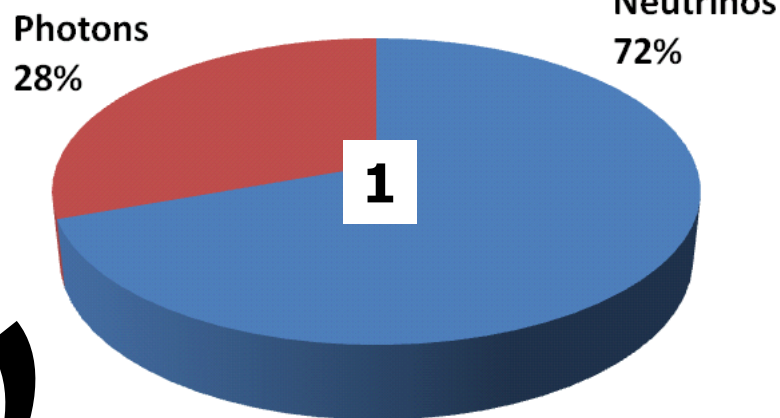
Reactor



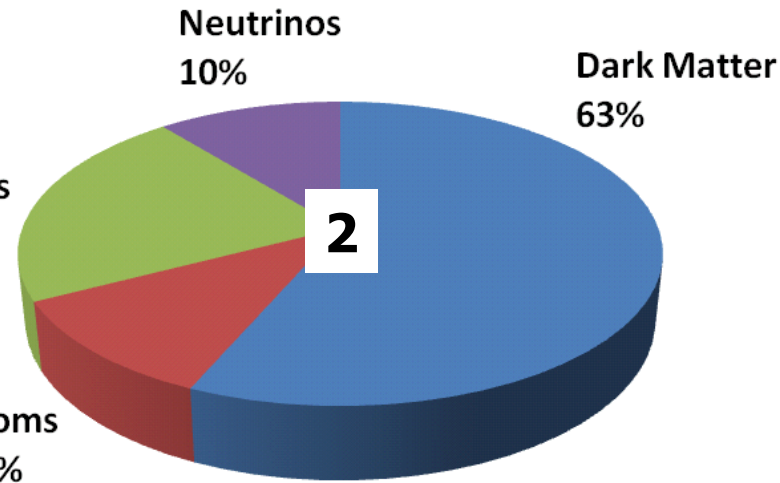
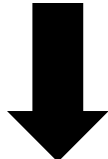
Accelerator

Neutrinos:

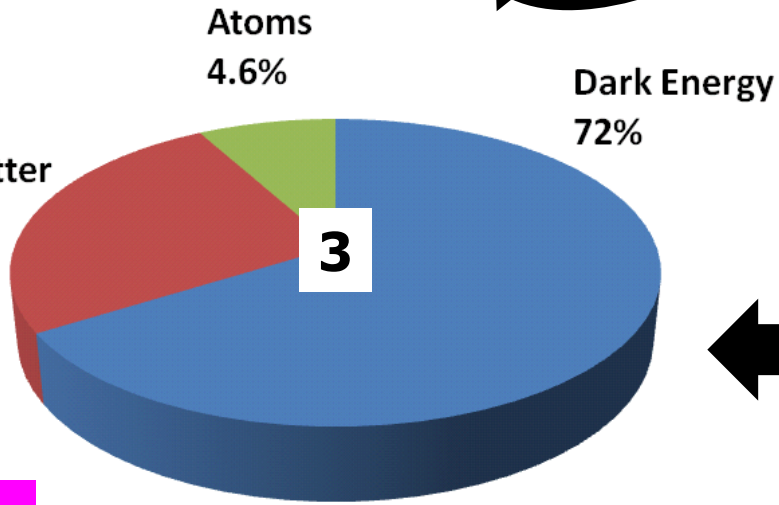
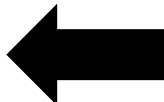
witness and participant
in the evolution of
the Universe



neutrino decoupling
t = 1 second



photon decoupling
t = 380 000 years



Today
t = 13.7 billion years

< 1%

Some open questions

3

♣ the absolute ν mass scale?

♣ the mass hierarchy?

♣ the flavor desert?

♣ leptonic CP violation?

♣ the Majorana nature?

♣ How many species?

♣ cosmic ν background?

♣ supernova & stellar ν 's?

♣ UHE cosmic ν 's?

♣ warm dark matter?

♣ matter-antimatter asymmetry...



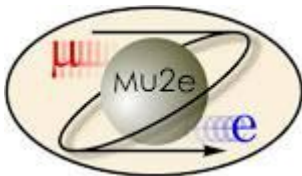
♣ cosmic ν background?

♣ supernova & stellar ν 's?

♣ UHE cosmic ν 's?

♣ warm dark matter?

♣ matter-antimatter asymmetry...



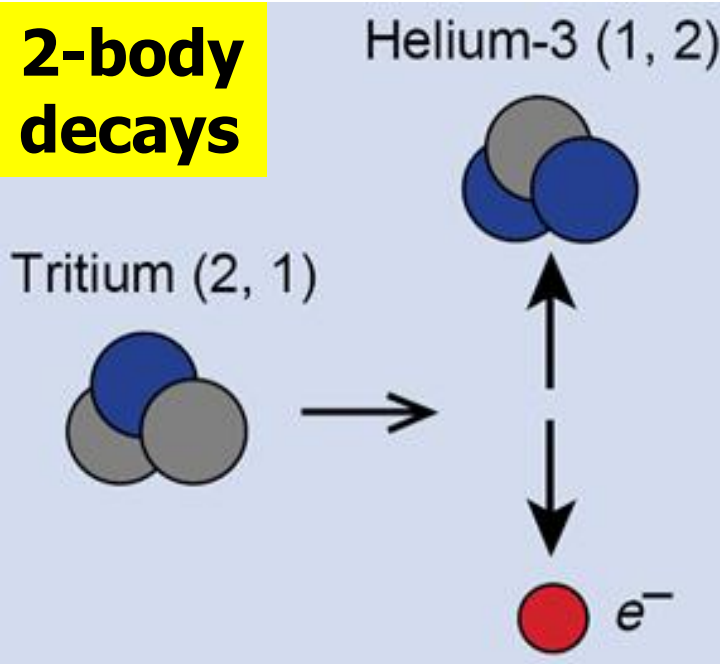
Lecture A1

- ★ **Neutrinos from new physics**
- ★ **Interactions and discoveries**
- ★ **Flavors / families of leptons**

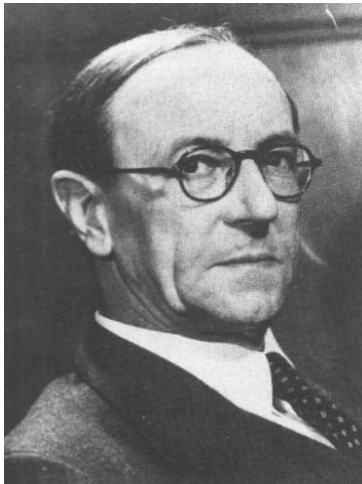
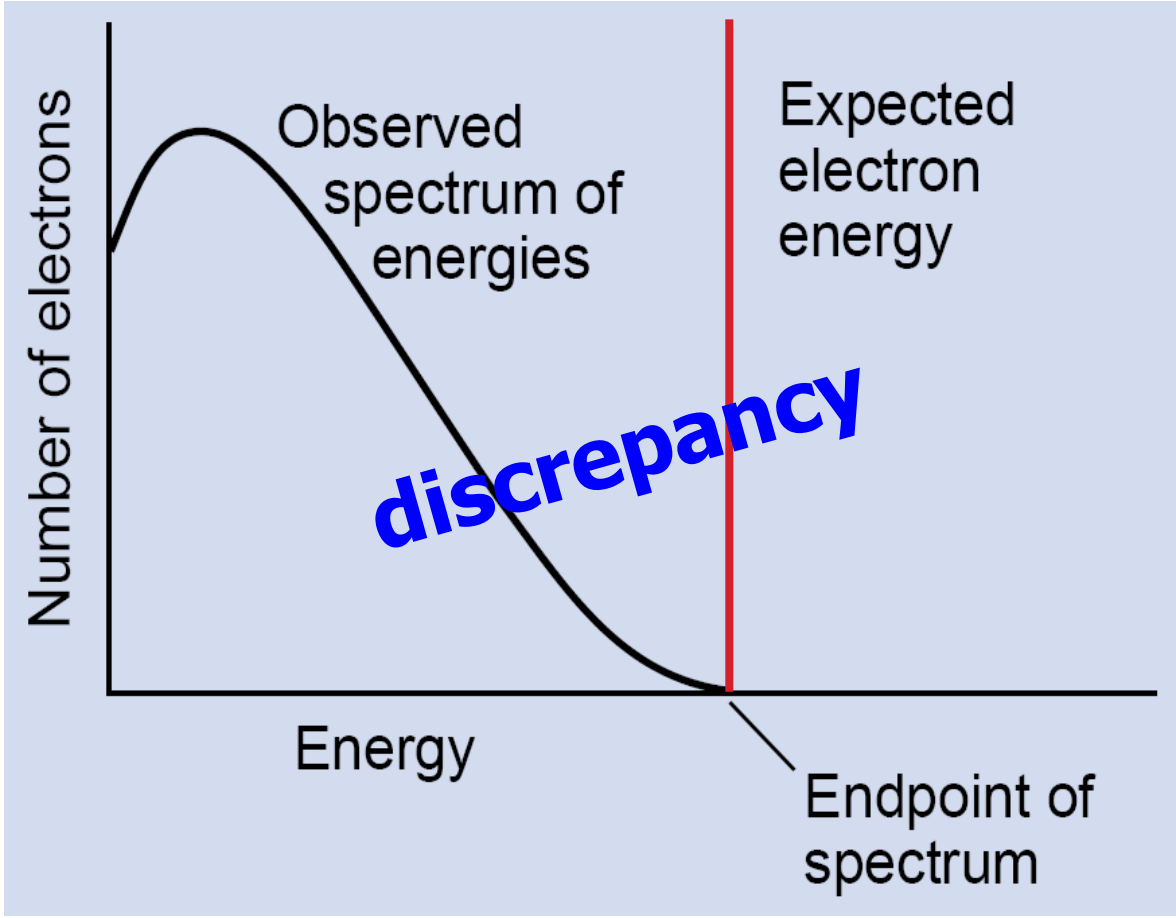
Beta decays in 1930

5

2-body decays



Energy crisis = New physics ?



J. Chadwick 1914 / C. Ellis 1920-1927

What to do?

Two ways out?



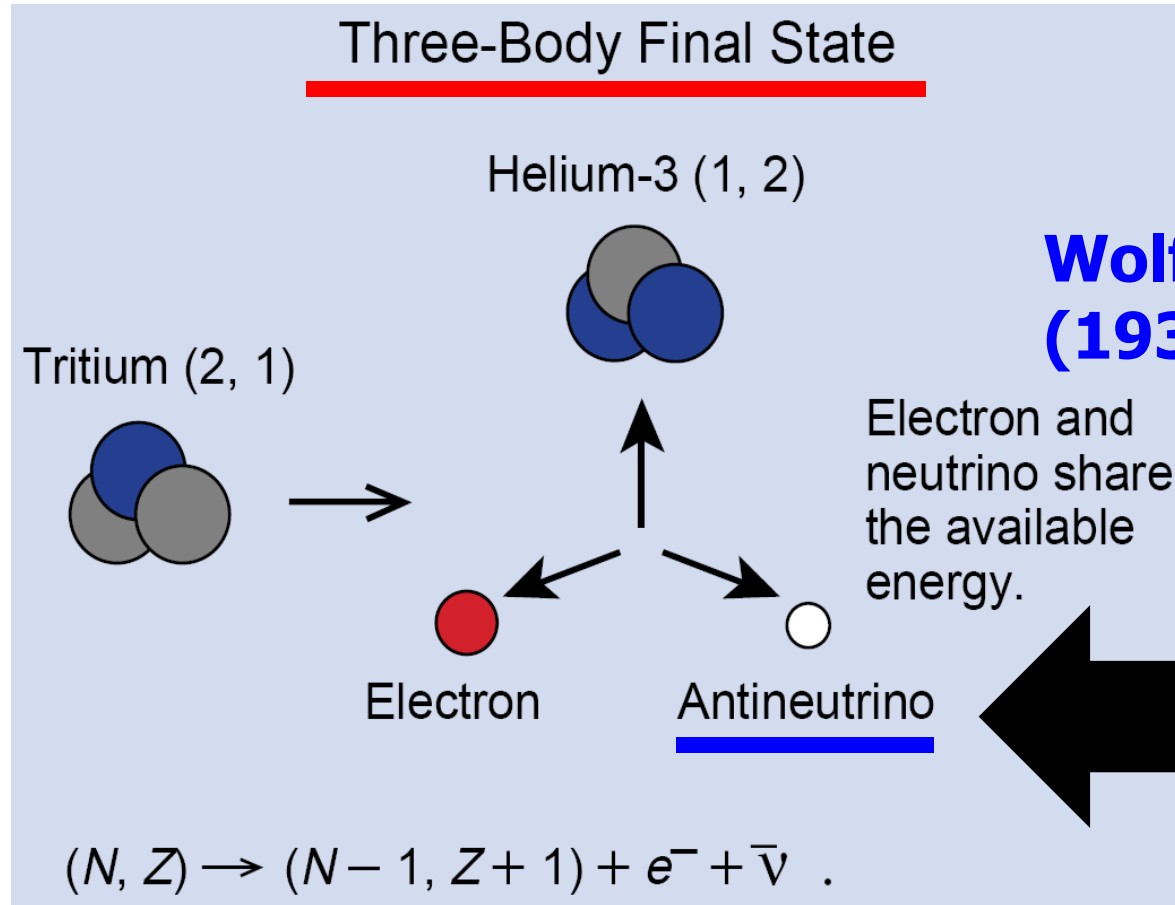
Niels Bohr



♣ giving up sth
♣ adding in sth



Wolfgang Pauli
(1930)



Pauli put forward this idea in a **letter** instead of a **paper**.....

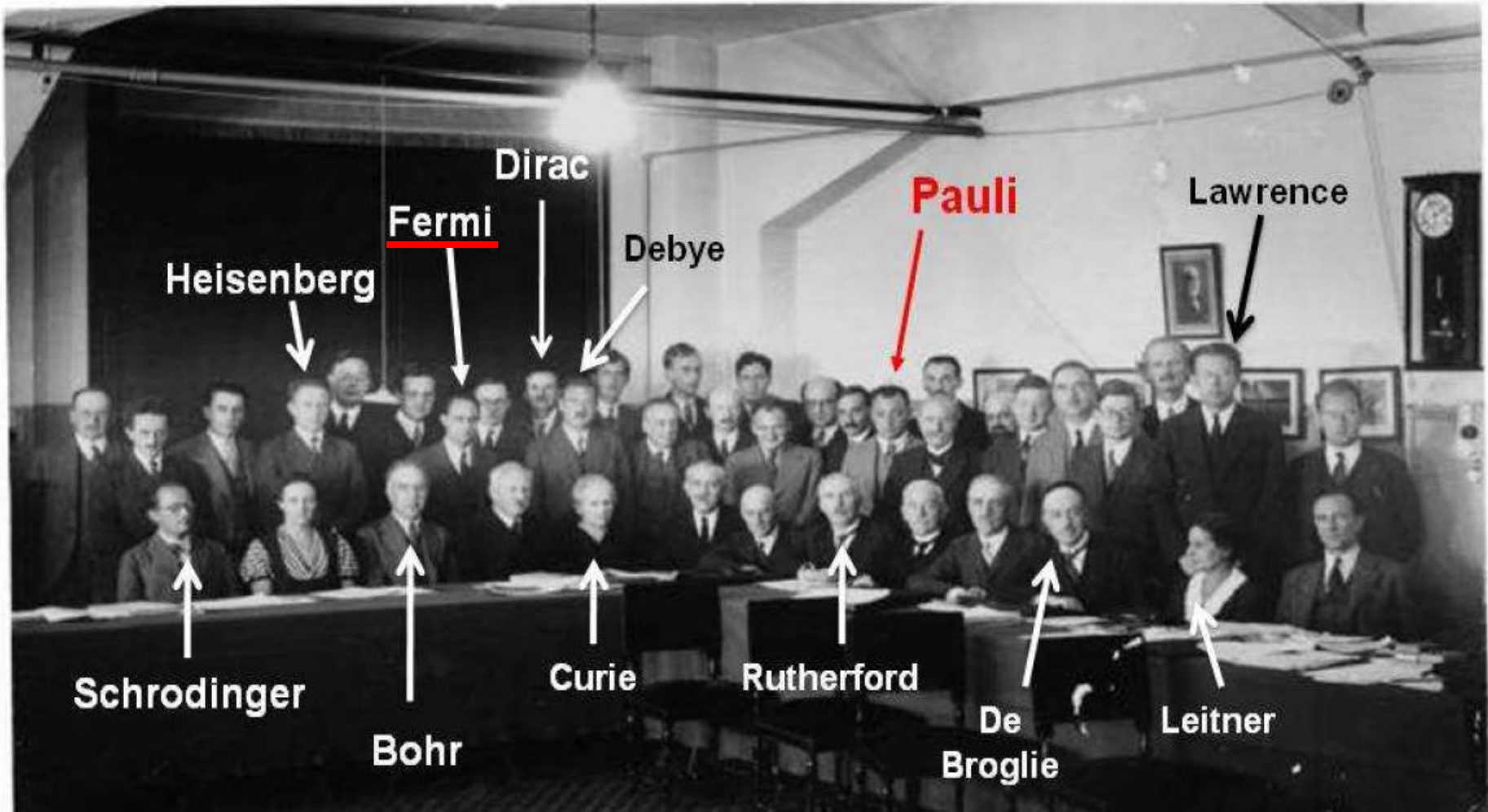
Solvay 1933

7

Pauli gave a talk on his **neutrino** proposal in this congress.

INSTITUT INTERNATIONAL DE PHYSIQUE SOLVAY
SEPTIÈME CONSEIL DE PHYSIQUE -- BRUXELLES, 22-29 OCTOBRE 1933

22 – 29 Octobre 1933



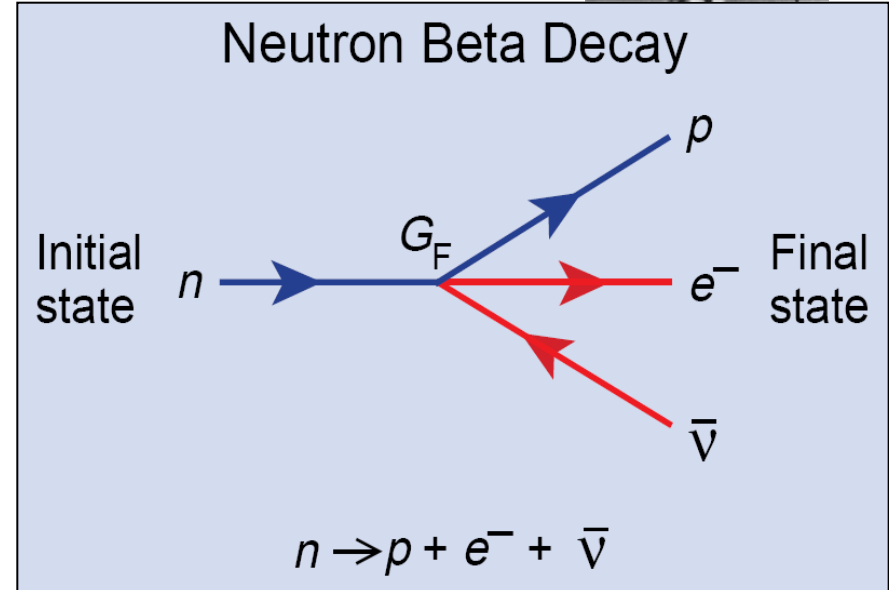
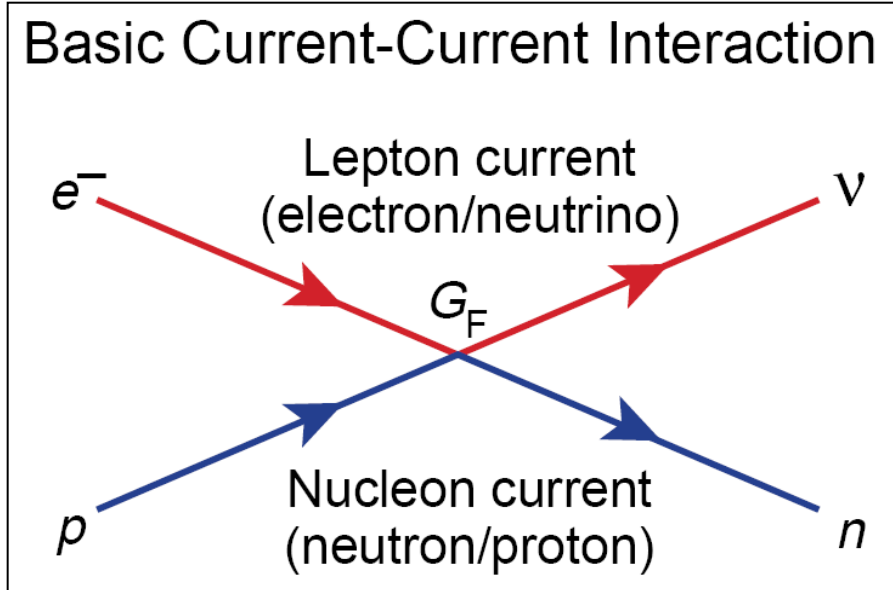
Fermi's theory

Enrico Fermi assumed a new force for β decay by combining 3 new concepts:

I will be remembered for this paper.

----- **Fermi in Italian Alps, Christmas 1933**

- ★ **Pauli's idea: neutrinos**
- ★ **Dirac's idea: creation of particles**
- ★ **Heisenberg's idea: isospin symmetry**



Fermi's paper

E. Fermi's publications on the Weak Interaction

REJECTED

E. Fermi, "Tentative Theory of Beta Rays"
Letter Submitted to Nature (1933)

31 Dec, 1933

ANNO IV - VOL. II - N. 12 QUINDICIALE 31 DICEMBRE 1933 - XII

LA RICERCA SCIENTIFICA

ED IL PROGRESSO TECNICO NELL'ECONOMIA NAZIONALE

Tentativo di una teoria dell'emissione
dei raggi "beta"

Nota del prof. ENRICO FERMI

Riassunto: Teoria della emissione dei raggi β delle sostanze radioattive, fondata sull'ipotesi che gli elettroni emessi dai nuclei non esistano prima della disintegrazione ma vengano formati, insieme ad un neutrino, in modo analogo alla formazione di un quanto di luce che accompagna un salto quantico di un atomo. Confronto della teoria con l'esperienza.

This is Fermi's best theoretical work!

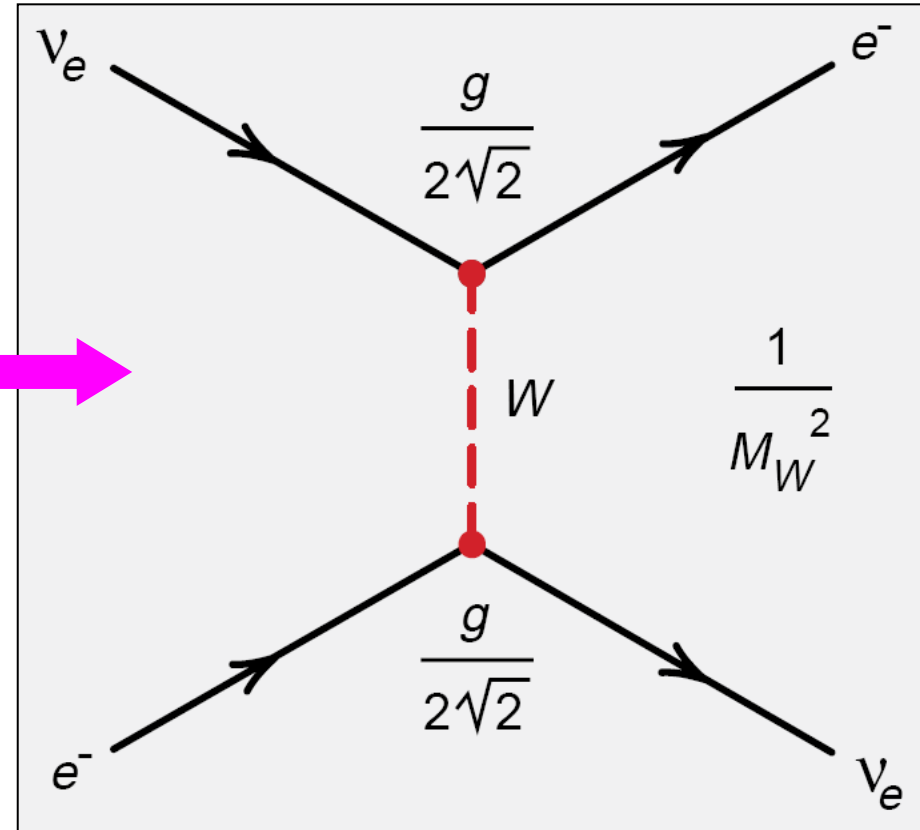
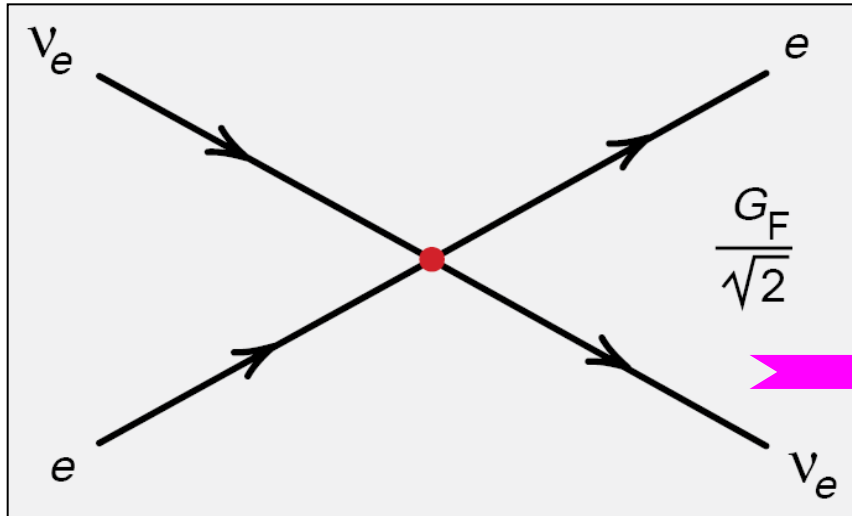
---- C.N. Yang



Published first in this journal and later in Z. Phys. in 1934

Weak interactions

From Fermi's current-current interaction to weak charged-current gauge interactions



$$\frac{G_F}{\sqrt{2}} = \frac{g^2}{8M_W^2}$$

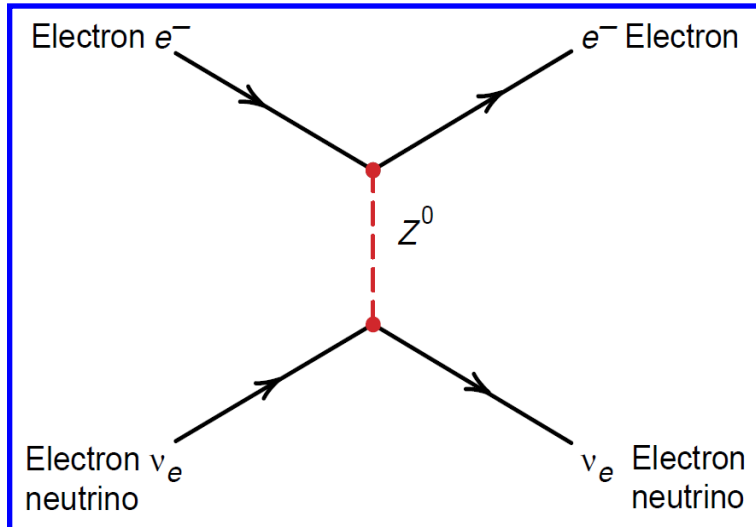
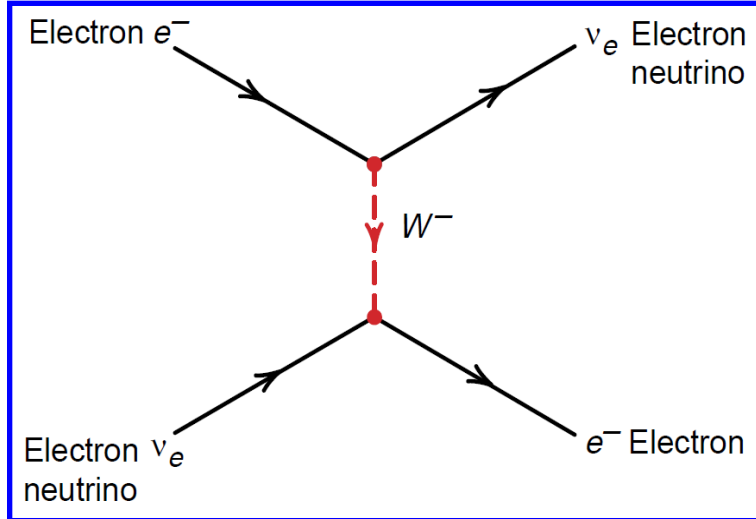


$$M_W = 80.4 \text{ GeV}$$

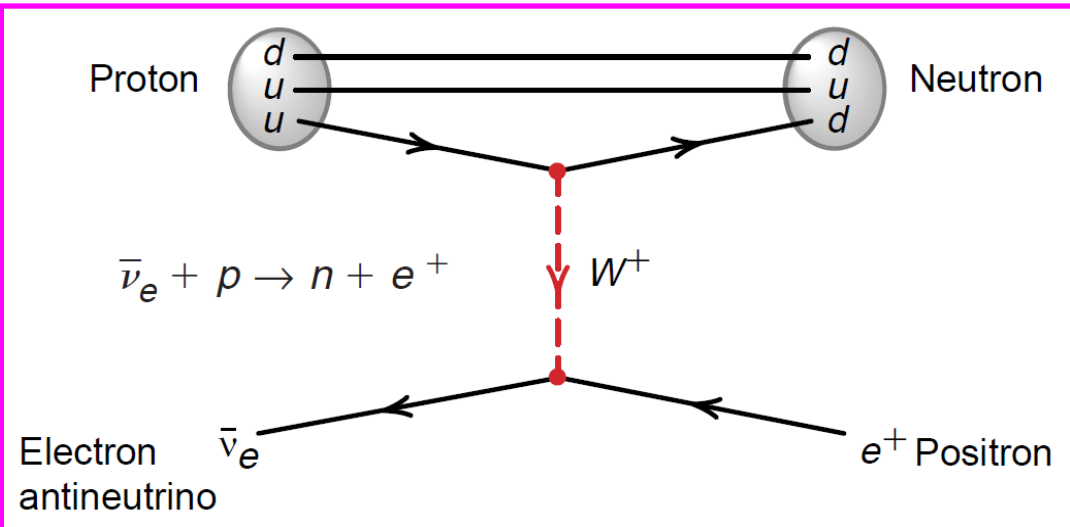
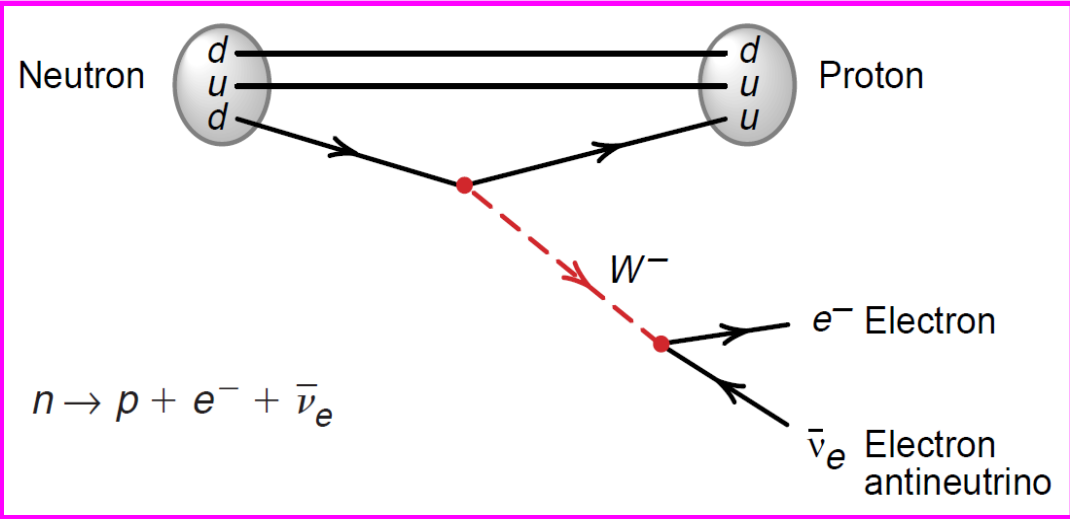
$$G_F = 1.66 \times 10^{-5} \text{ GeV}^{-2}$$

Weak interactions

Electron-neutrino scattering



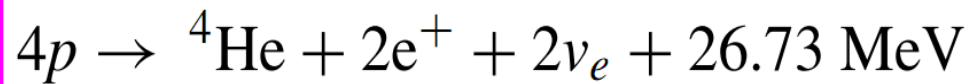
Neutron β decay / inverse β decay



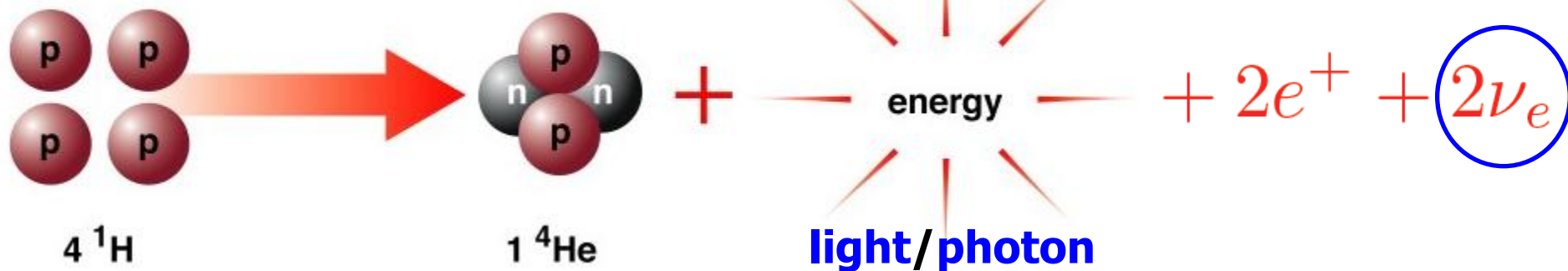
Exercise: draw an electron-antineutrino scattering Feynman diagram.

Why the sun shines?

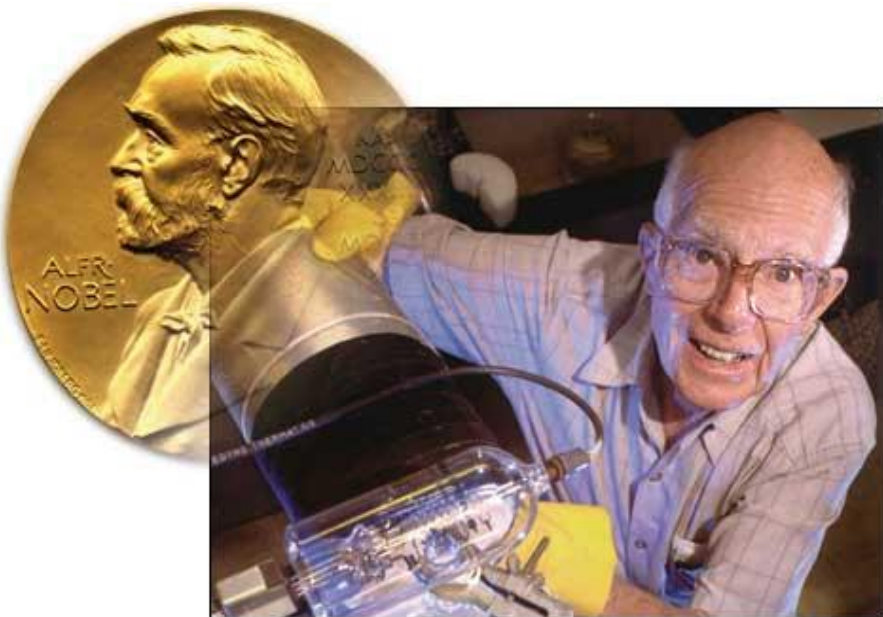
12



Only the **neutrinos** could be observed.



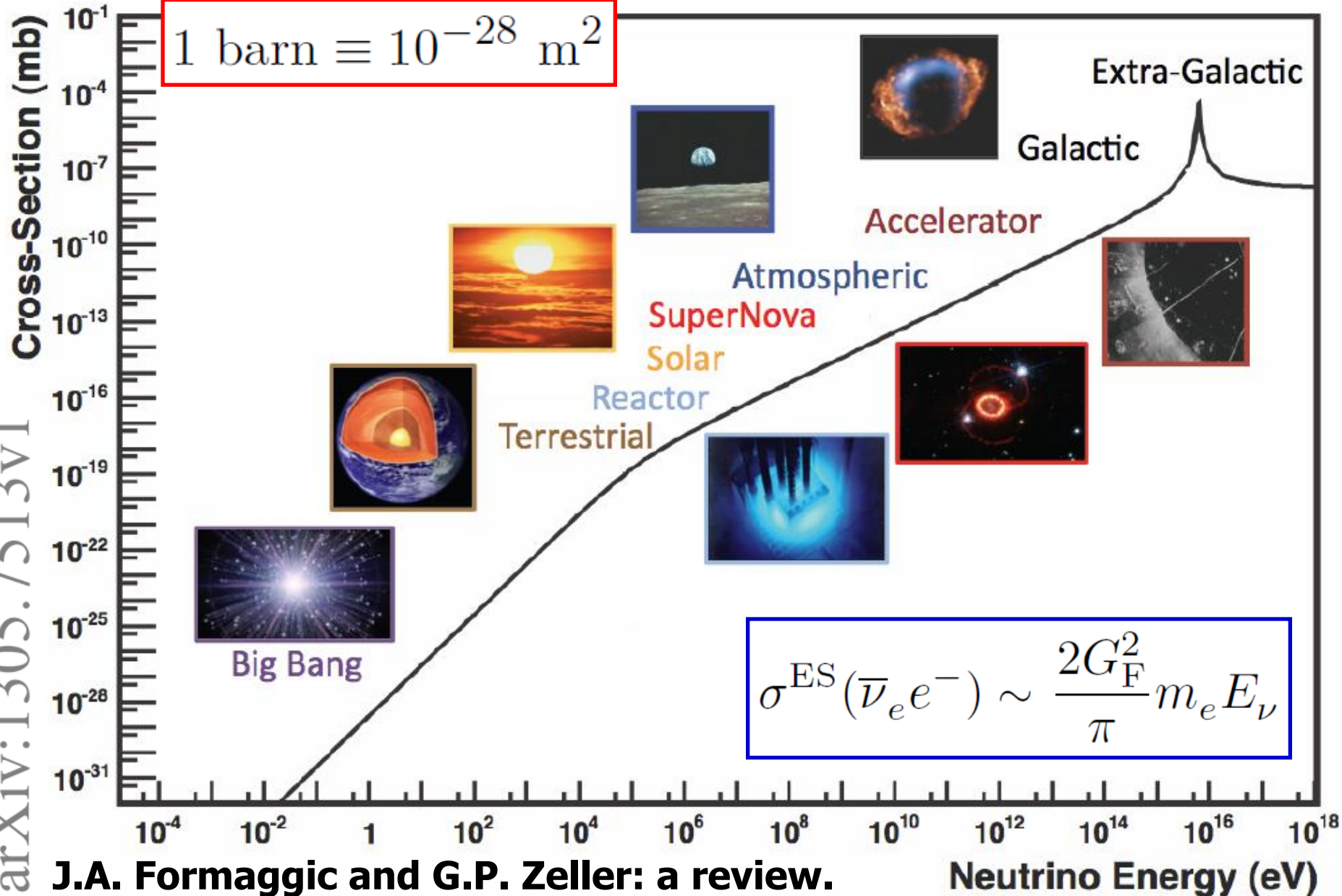
Hans Bethe (1939), George Gamow & Mario Schoenberg (1940, 1941)



Raymond Davis: born in 1914, discovery in 1968 and Nobel Prize in 2002

Observed the solar neutrino and its anomaly in 1968

Too shy to be seen?

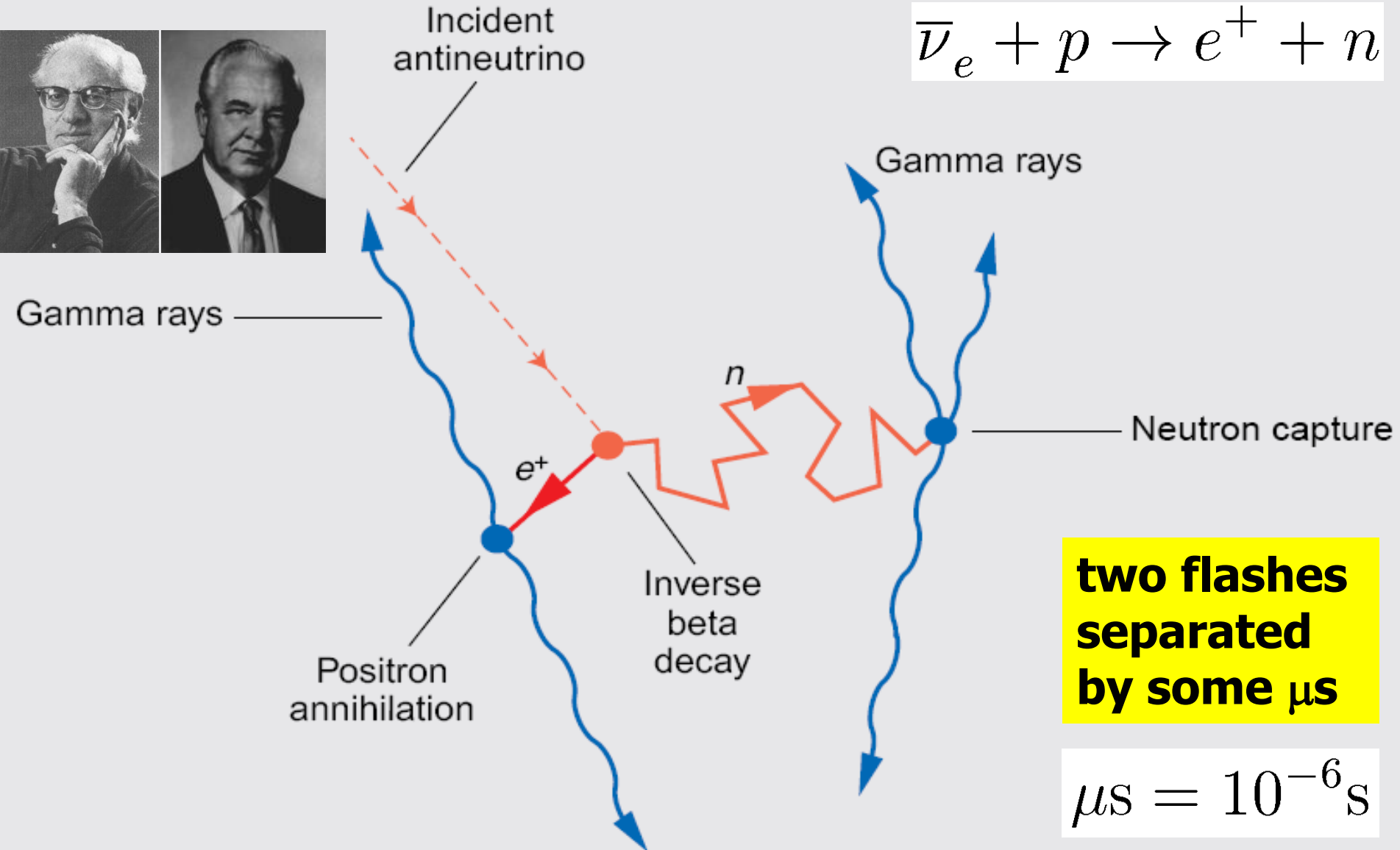
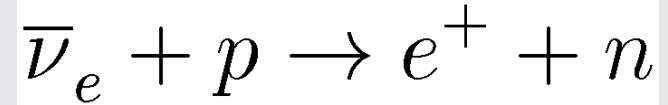
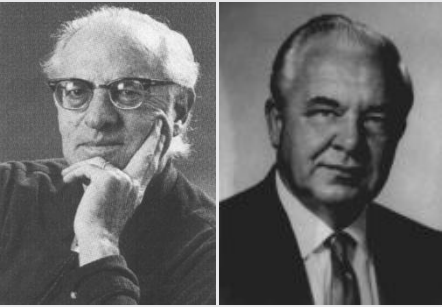


arXiv:1305.7513v1

Neutrinos in 1956

14

F. Reines and C. Cowan detected reactor antineutrinos via



**two flashes
separated
by some μs**

$$\mu\text{s} = 10^{-6}\text{s}$$

Positive result?

15

Reines and Cowan's telegram to Pauli on 14/06/1956:

We're happy to inform you that we've definitely detected neutrinos from fission fragments by observing inverse β decay of protons. Observed cross section agrees well with expected $6 \times 10^{-44} \text{ cm}^2$. (Pauli didn't reply, a case of champagne)

Such a theoretical value was based on a **parity-conserving** formulation of the β decay with **4** independent degrees of freedom for **ν 's**.

$$\sigma(\bar{\nu}_e p) = \sigma(\nu_e n) \approx 9.1 \times 10^{-44} \left(\frac{E_\nu}{\text{MeV}} \right)^2 \text{ cm}^2$$

This value is at least **doubled** after the discovery of **parity violation** in 1957, leading to the two-component neutrino theory in 1957 and the **V-A** weak theory in 1958.

Reines' excuse

16

A new paper on this experiment published in Phys. Rev. in 1960 reported a cross section **twice** as large as that given in 1956.

Reines (1979): **our initial analysis grossly overestimated the detection efficiency with the result that the measured cross section was at first thought to be in good agreement with [the pre-parity violation] prediction.**



The **Nobel Prize** finally came to **Frederick Reines** in 1995!

★ Theory of the Symmetry of Electrons and Positrons

Ettore Majorana

Nuovo Cim. 14 (1937) 171

Are massive **neutrinos** and **antineutrinos** identical or different — a fundamental puzzling question in particle physics.



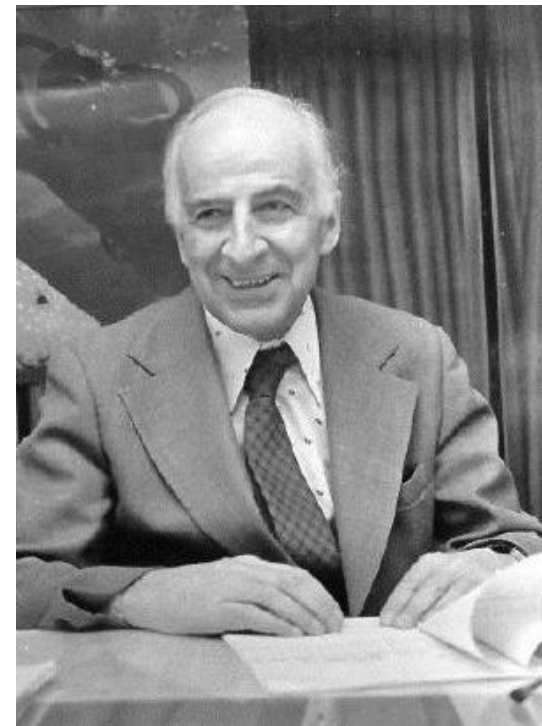
★ Mesonium and Anti-mesonium

Bruno Pontecorvo

Zh. Eksp. Teor. Fiz. 33 (1957) 549

Sov. Phys. JETP 6 (1957) 429

If the two-component neutrino theory turned out to be incorrect and if the conservation law of neutrino charge didn't apply, then **neutrino-antineutrino** transitions would in principle be possible to take place in vacuum.

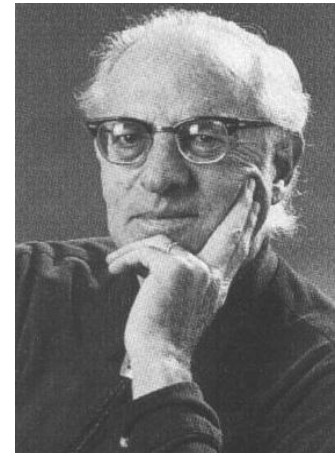
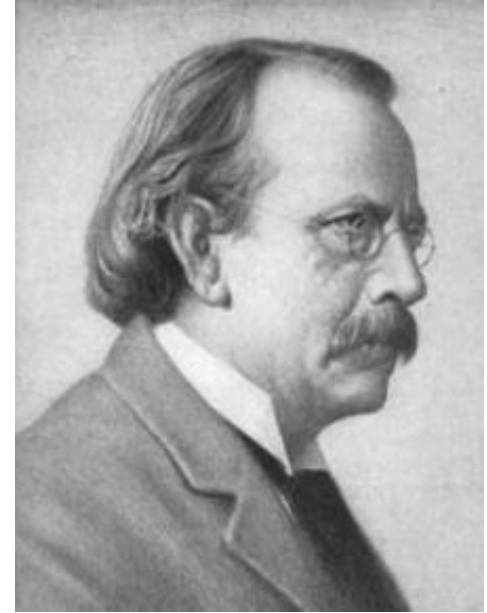


Electron and its neutrino

18

The **electron** was discovered in 1897, by **Joseph Thomson**.

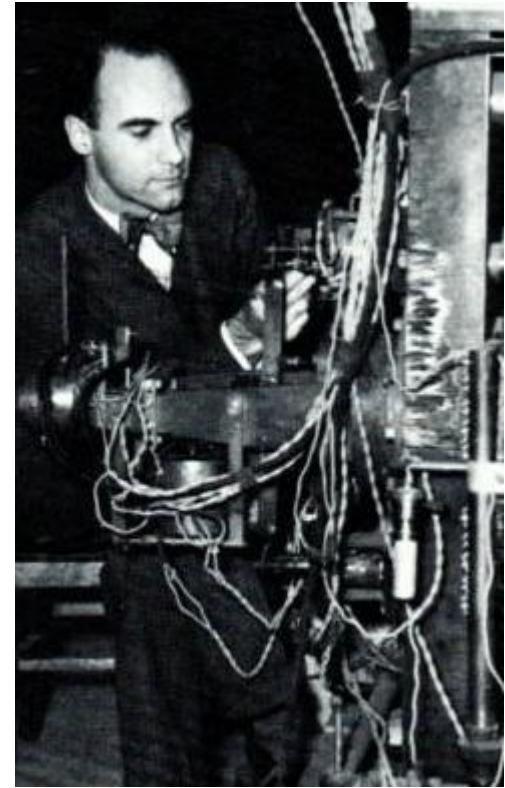
The electron's anti-particle, **positron**, was predicted by **Paul Dirac** in 1928, and discovered by **Carl Anderson** in 1932.



In 1956 **Clyde Cowan** and **Frederick Reines** discovered the positron's partner, **electron antineutrino**.

Muon

The **muon** particle, a sister of the electron, was discovered in 1936 by **Carl Anderson** and his first student **S. Neddermeyer**; and independently by **J. Street *et al.***



It was not **Hideki Yukawa's** "pion". And it was the first flavor puzzle.

Isidor Rabi famously asked:

Who ordered that?



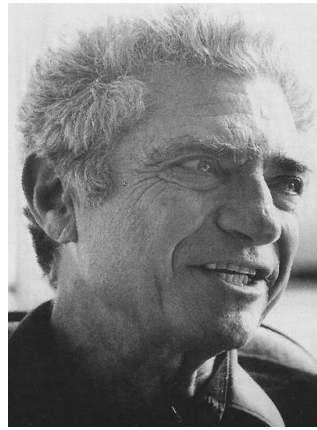
Isidor Isaac Rabi

FAMILY

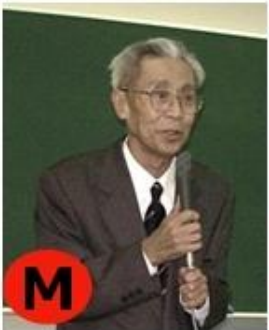
Muon neutrino

20

The **muon neutrino**, the muon's neutral counterpart, was discovered by **Leon Lederman**, **Melvin Schwartz** and **Jack Steinberger** in 1962.



Neutrino flavor conversion was proposed by **Z. Maki**, **M. Nakagawa** and **S. Sakata** in 1962.



Neutrinos convert into **antineutrinos** first proposed by **Bruno Pontecorvo** in 1957.

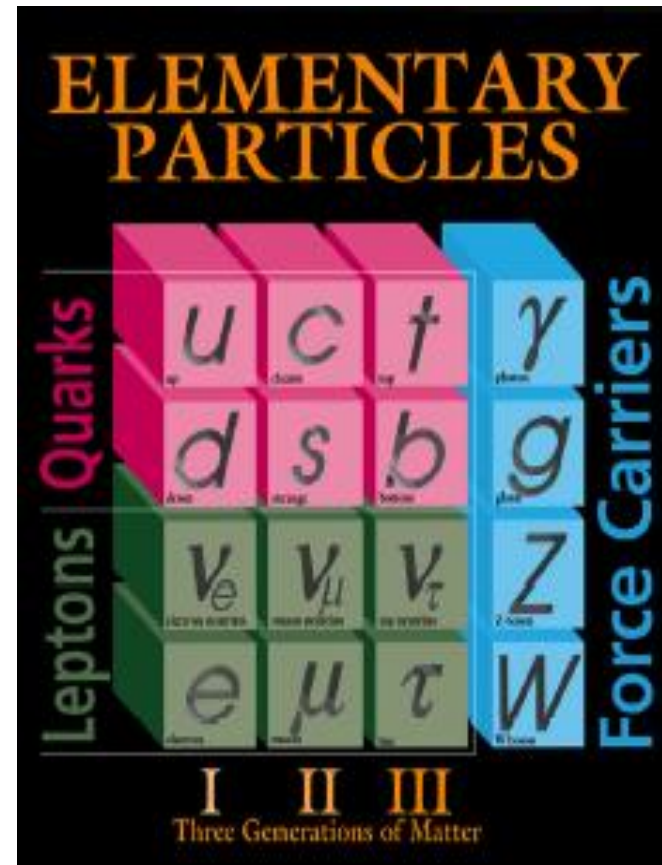
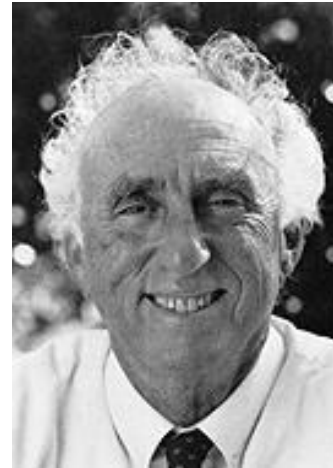
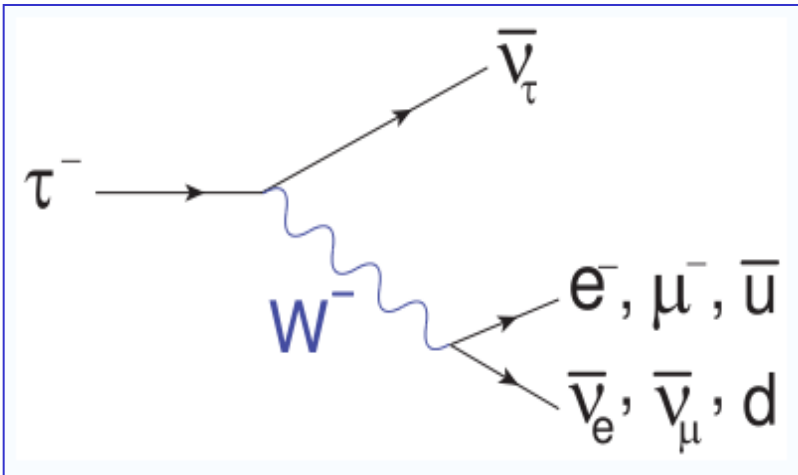


Tau and its neutrino

21

The **tau** particle was discovered by **Martin Perl** in 1975 via:

$$e^+ + e^- \rightarrow e^\pm + \mu^\mp + \text{undetected particles}$$



In 2000, the **tau neutrino** was finally discovered at the Fermilab.

The lepton family is complete!

Leptons and Nobel Prizes

22

e	J.J. Thomson 1897 😊	J.J. Thomson 1906 (NP)
ν_e	C.L. Cowan et al. 1956	F.J. Reines 1995 (NP)
μ	J.C. Street et al. C.D. Anderson 1936 😊	1975 – 1936 = 1936 – 1897 = 39
ν_μ	G. Danby et al. 1962	M. Schwartz, L.M. Lederman, J. Steinberger 1988 (NP)
τ	M.L. Perl et al. 1975 😊	M.L. Perl 1995 (NP)
ν_τ	K. Kodama et al. 2000	

Antimatter: Positron.

Predicted by P.A.M. Dirac in 1928.

Discovered by C.D. Anderson in 1932; Nobel Prize in 1936.

Sarma-Xing theorem

23

In 1995 it was an Indian theorist who first discovered the **39-year gap** of charged leptons.

2) NOBEL LEPTONS.

By [K.V.L. Sarma \(Tata Inst.\)](#), TIFR-TH-95-56, Dec 1995. 13pp.

Submitted to Curr. Sci.

e-Print Archive: [hep-ph/9512420](#)

A summary of the discoveries made in the world of leptons is given in Table 1. We see that the third generation has started getting Nobel prizes. It is amusing that the charged-leptons crop up with a 39-year gap and may be the 4th one would show up in the year 2114. For the present, the available experimental information implies that there are no charged leptons which are heavier than tau and lighter than 45 GeV.

$$1975 + 39 = 2014$$

My contribution: corrected **2114** to **2014**, so the discovery would be possible **100** years earlier (just this year)!

Lecture A2

- ★ **The Dirac mass term**
- ★ **The Majorana mass term**
- ★ **On the neutrinoless $\beta\beta$ decay**

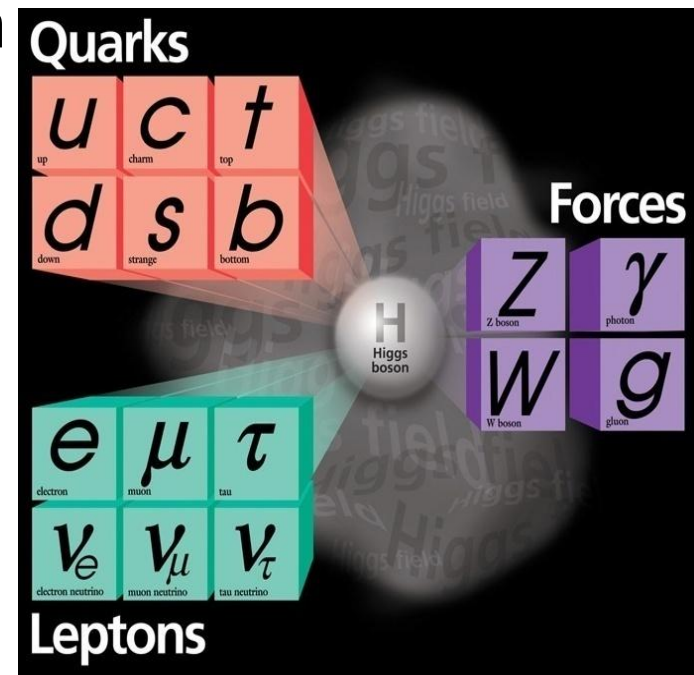
Mass is the inertial energy of a particle existing at rest.

- A **massless** particle has no way to exist at rest. It must always move at the speed of light.
- A **massive** fermion (lepton or quark) must exist in both the left- and right-handed states.

The **Brout-Englert-Higgs** mechanism is responsible for the origin of W/Z and fermion masses in the SM.

$$L_{\text{SM}} = L(f, G) + L(f, H) + L(G, H) + L(G) - V(H)$$

All the **bosons** were discovered in **Europe**, and most of the **fermions** were discovered in **America**.



Four forces

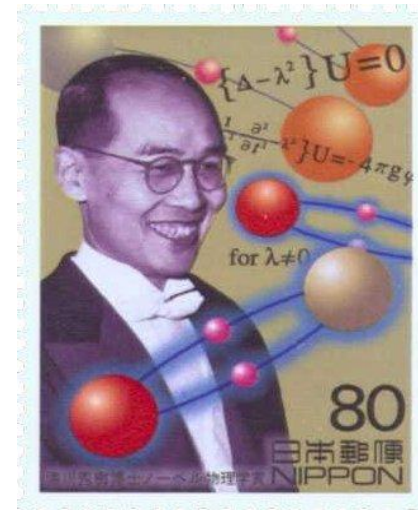
26

force	strength	range	mediator	mass
strong	1	10^{-15} m	gluon/ π	$\sim 10^2$ MeV
EM	1 / 137	∞	photon	= 0
weak	10^{-6}	10^{-18} m	W/Z/H	$\sim 10^2$ GeV
gravitation	6×10^{-39}	∞	graviton	= 0

Yukawa relation for the mediator's mass M and the force's range R :

$$M \propto \frac{200 \text{ MeV} \times 10^{-15} \text{ m}}{R}$$

汤川秀树 (Hideki Yukawa): His first paper in **1935** made him get the Nobel Prize in **1949**.



All ν 's are **massless** due to the model's simple structure:

----- $SU(2) \times U(1)$ **gauge symmetry and Lorentz invariance**

Fundamentals of a quantum field theory

----- Economical **particle content:**

No right-handed neutrino; only a single Higgs doublet

----- Mandatory **renormalizability:**

No dimension ≥ 5 operator ($B-L$ conserved in the SM)

Neutrinos are **massless** in the SM: Natural or not?

YES: It's tooooooo light and almost left-handed;

NO: No fundamental symmetry/conservation law.

Define the **left-** and **right-**handed neutrino fields:

$$\nu_L = \begin{pmatrix} \nu_{eL} \\ \nu_{\mu L} \\ \nu_{\tau L} \end{pmatrix} \quad N_R = \begin{pmatrix} N_{1R} \\ N_{2R} \\ N_{3R} \end{pmatrix}$$

Extend the SM's particle content

$$\psi_L \equiv \frac{1 - \gamma_5}{2} \psi$$

$$\psi_R \equiv \frac{1 + \gamma_5}{2} \psi$$

The **charge-conjugate counterparts** are defined below and transform as **right-** and **left-**handed fields, respectively:

$$(\nu_L)^c \equiv \mathcal{C} \overline{\nu_L}^T, \quad (N_R)^c \equiv \mathcal{C} \overline{N_R}^T$$

$$\overline{(\nu_L)^c} = (\nu_L)^T \mathcal{C}, \quad \overline{(N_R)^c} = (N_R)^T \mathcal{C}$$

$$(\nu_L)^c = (\nu^c)_R \text{ and } (N_R)^c = (N^c)_L \text{ hold}$$

Properties of the charge-conjugation matrix:

$$\mathcal{C} \gamma_\mu^T \mathcal{C}^{-1} = -\gamma_\mu, \quad \mathcal{C} \gamma_5^T \mathcal{C}^{-1} = \gamma_5, \quad \mathcal{C}^{-1} = \mathcal{C}^\dagger = \mathcal{C}^T = -\mathcal{C}$$

They are from the requirement that **the charge-conjugated field** must satisfy the same **Dirac** equation ($\mathcal{C} = i\gamma^2\gamma^0$ in the **Dirac** representation)

A **Dirac** neutrino is described by a **4-component spinor**:

$$\nu = \nu_L + N_R$$

Step 1: the gauge-invariant **Dirac** mass term and **SSB**:

$$-\mathcal{L}_{\text{Dirac}} = \bar{\ell}_L Y_\nu \tilde{H} N_R + \text{h.c.}$$



$$-\mathcal{L}'_{\text{Dirac}} = \bar{\nu}_L M_D N_R + \text{h.c.}$$

$$M_D = Y_\nu \langle H \rangle \text{ with } \langle H \rangle \simeq 174 \text{ GeV}$$

Step 2: basis transformation:

$$V^\dagger M_D U = \widehat{M}_\nu \equiv \text{Diag}\{m_1, m_2, m_3\}$$

$$-\mathcal{L}'_{\text{Dirac}} = \bar{\nu}'_L \widehat{M}_\nu N'_R + \text{h.c.}$$

Mass states link to flavor states:

$$\nu'_L = V^\dagger \nu_L \text{ and } N'_R = U^\dagger N_R$$

$$\nu' = \nu'_L + N'_R = \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

Step 3: physical mass term and kinetic term:

$$-\mathcal{L}'_{\text{Dirac}} = \bar{\nu}' \widehat{M}_\nu \nu' = \sum_{i=1}^3 m_i \bar{\nu}_i \nu_i$$

$$\mathcal{L}_{\text{kinetic}} = i\bar{\nu}_L \gamma_\mu \partial^\mu \nu_L + i\bar{N}_R \gamma_\mu \partial^\mu N_R = i\bar{\nu}' \gamma_\mu \partial^\mu \nu' = i \sum_{k=1}^3 \bar{\nu}_k \gamma_\mu \partial^\mu \nu_k$$

Dirac neutrino mixing

Weak charged-current interactions of leptons:

$$\mathcal{L}_{cc} = \frac{g}{\sqrt{2}} \overline{(e \ \mu \ \tau)}_L \gamma^\mu \begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix}_L W_\mu^- + \text{h.c.}$$

In the flavor basis

$$\mathcal{L}_{cc} = \frac{g}{\sqrt{2}} \overline{(e \ \mu \ \tau)}_L \gamma^\mu V \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}_L W_\mu^- + \text{h.c.}$$

In the mass basis

One may take **mass states = flavor states** for the **charged leptons**. So **V** is just the **MNSP** matrix of **neutrino mixing**.

Both the mass and CC terms are invariant with respect to a **global** phase transformation, and thus **lepton number is conserved**. However, **lepton flavors are violated**.

$$l(x) \rightarrow e^{i\Phi} l(x)$$

$$\nu'_L(x) \rightarrow e^{i\Phi} \nu'_L(x)$$

$$N'_R(x) \rightarrow e^{i\Phi} N'_R(x)$$



	e^-	ν_e	e^+	$\bar{\nu}_e$	μ^-	ν_μ	μ^+	$\bar{\nu}_\mu$	τ^-	ν_τ	τ^+	$\bar{\nu}_\tau$
L	+1	+1	-1	-1	+1	+1	-1	-1	+1	+1	-1	-1
L_e	+1	+1	-1	-1	0	0	0	0	0	0	0	0
L_μ	0	0	0	0	+1	+1	-1	-1	0	0	0	0
L_τ	0	0	0	0	0	0	0	0	+1	+1	-1	-1

Majorana mass term (1)

31

A **Majorana** mass term can be obtained by introducing the **Higgs triplet** into the SM, writing out the gauge-invariant Yukawa interactions and Higgs potentials, integrating out heavy degrees of freedom (**type-II seesaw mechanism**):

$$-\mathcal{L}'_{\text{Majorana}} = \frac{1}{2} \overline{\nu}_L M_L (\nu_L)^c + \text{h.c.}$$

The **Majorana** mass matrix must be a **symmetric** matrix. It can be diagonalized by a unitary matrix

$$\overline{\nu}_L M_L (\nu_L)^c = [\overline{\nu}_L M_L (\nu_L)^c]^T = -\overline{\nu}_L C^T M_L^T \overline{\nu}_L^T = \overline{\nu}_L M_L^T (\nu_L)^c$$

Diagonalization:

$$-\mathcal{L}'_{\text{Majorana}} = \frac{1}{2} \overline{\nu}'_L \widehat{M}_\nu (\nu'_L)^c + \text{h.c.}$$

$$V^\dagger M_L V^* = \widehat{M}_\nu \equiv \text{Diag}\{m_1, m_2, m_3\}$$

$$\nu'_L = V^\dagger \nu_L \text{ and } (\nu'_L)^c = C \overline{\nu}'_L^T$$

$$\nu' = \nu'_L + (\nu'_L)^c = \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

Physical mass term:

$$-\mathcal{L}'_{\text{Majorana}} = \frac{1}{2} \overline{\nu}' \widehat{M}_\nu \nu' = \frac{1}{2} \sum_{i=1}^3 m_i \overline{\nu}_i \nu_i$$

$$\text{Majorana condition } (\nu')^c = \nu'$$

Majorana mass term (2)

Kinetic term (you may prove $\overline{(\psi_L)^c} \gamma_\mu \partial^\mu (\psi_L)^c = \overline{\psi_L} \gamma_\mu \partial^\mu \psi_L$)

$$\mathcal{L}_{\text{kinetic}} = i\overline{\nu_L} \gamma_\mu \partial^\mu \nu_L = i\overline{\nu'_L} \gamma_\mu \partial^\mu \nu'_L = \frac{i}{2} \overline{\nu'} \gamma_\mu \partial^\mu \nu' = \frac{i}{2} \sum_{k=1}^3 \overline{\nu_k} \gamma_\mu \partial^\mu \nu_k$$

Question: why is there a factor **1/2** in the **Majorana** mass term?

Answer: it allows us to get the correct **Dirac** equation of motion.

A proof: write out the Lagrangian of free massive **Majorana** neutrinos

$$\begin{aligned} \mathcal{L}_\nu &= i\overline{\nu_L} \gamma_\mu \partial^\mu \nu_L - \left[\frac{1}{2} \overline{\nu_L} M_L (\nu_L)^c + \text{h.c.} \right] \\ &= i\overline{\nu'_L} \gamma_\mu \partial^\mu \nu'_L - \left[\frac{1}{2} \overline{\nu'_L} \widehat{M}_\nu (\nu'_L)^c + \text{h.c.} \right] \\ &= \frac{1}{2} \left(i\overline{\nu'} \gamma_\mu \partial^\mu \nu' - \overline{\nu'} \widehat{M}_\nu \nu' \right) = -\frac{1}{2} \left(i\partial^\mu \overline{\nu'} \gamma_\mu \nu' + \overline{\nu'} \widehat{M}_\nu \nu' \right) \end{aligned}$$



Euler-Lagrange equation:

$$\partial^\mu \frac{\partial \mathcal{L}_\nu}{\partial (\partial^\mu \overline{\nu'})} - \frac{\partial \mathcal{L}_\nu}{\partial \overline{\nu'}} = 0$$



$$\begin{aligned} i\gamma_\mu \partial^\mu \nu' - \widehat{M}_\nu \nu' &= 0 \\ i\gamma_\mu \partial^\mu \nu_k - m_k \nu_k &= 0 \end{aligned}$$

Majorana neutrino mixing

Weak charged-current interactions of leptons:

$$\mathcal{L}_{cc} = \frac{g}{\sqrt{2}} \overline{(e \ \mu \ \tau)_L} \gamma^\mu \begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix}_L W_\mu^- + \text{h.c.}$$

In the flavor basis

$$\mathcal{L}_{cc} = \frac{g}{\sqrt{2}} \overline{(e \ \mu \ \tau)_L} \gamma^\mu V \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}_L W_\mu^- + \text{h.c.}$$

In the mass basis

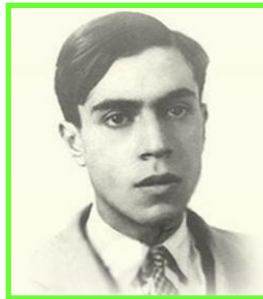
The **MNSP** matrix **V** contains 2 extra CP-violating phases.

Mass and CC terms are not simultaneously invariant under a global phase transformation --- **Lepton number violation**

$$l(x) \rightarrow e^{i\Phi} l(x)$$

$$\nu'_L(x) \rightarrow e^{i\Phi} \nu'_L(x)$$

$$\overline{\nu'_L} \rightarrow e^{-i\Phi} \overline{\nu'_L} \text{ and } (\nu'_L)^c \rightarrow e^{-i\Phi} (\nu'_L)^c$$



$$-\mathcal{L}'_{\text{Majorana}} = \frac{1}{2} \overline{\nu'_L} \widehat{M}_\nu (\nu'_L)^c + \text{h.c.}$$



$$e^{-2i\Phi}$$

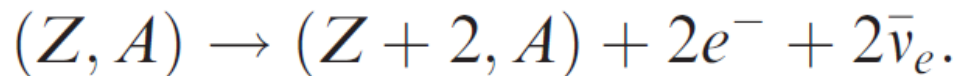
The $\beta\beta$ decay

$\beta\beta$ decay: certain **even-even** nuclei have a chance to decay into the second nearest neighbors via two simultaneous β decays (equivalent to the decays of two neutrons).

necessary conditions:

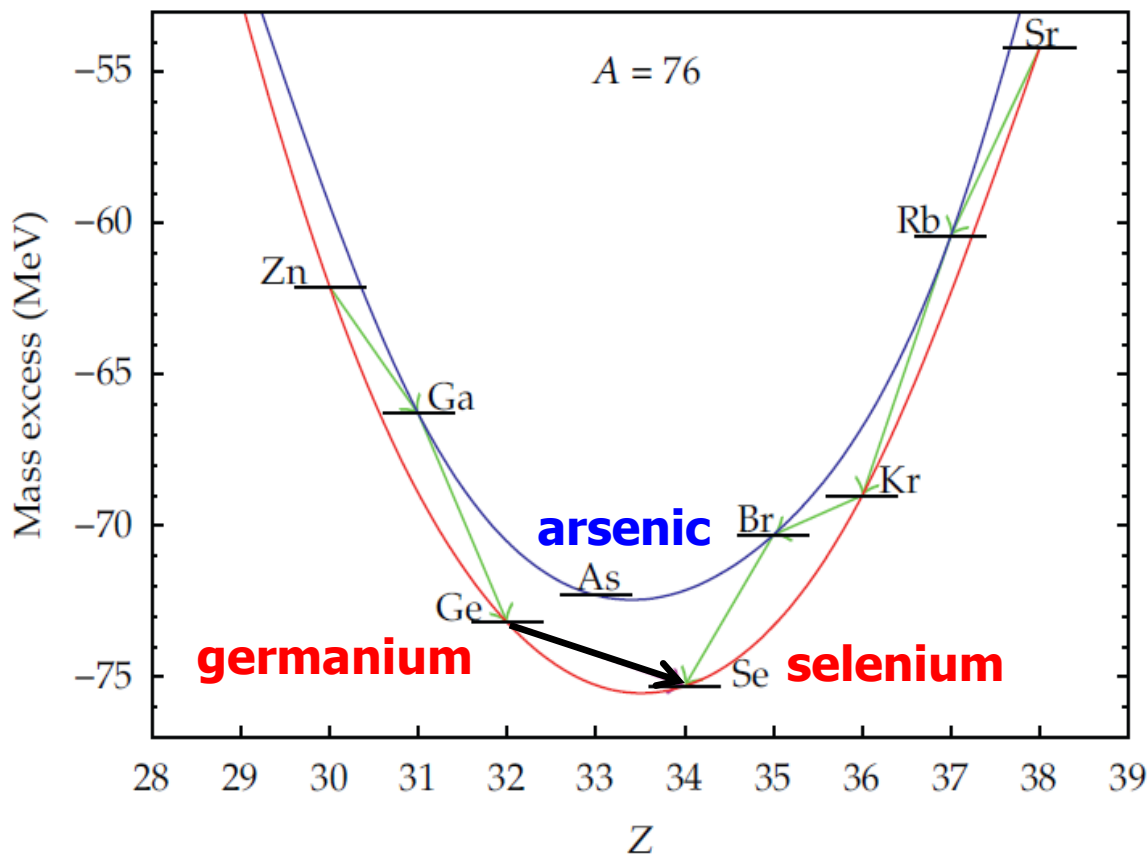
$$m(Z, A) > m(Z + 2, A)$$

$$m(Z, A) < m(Z + 1, A)$$



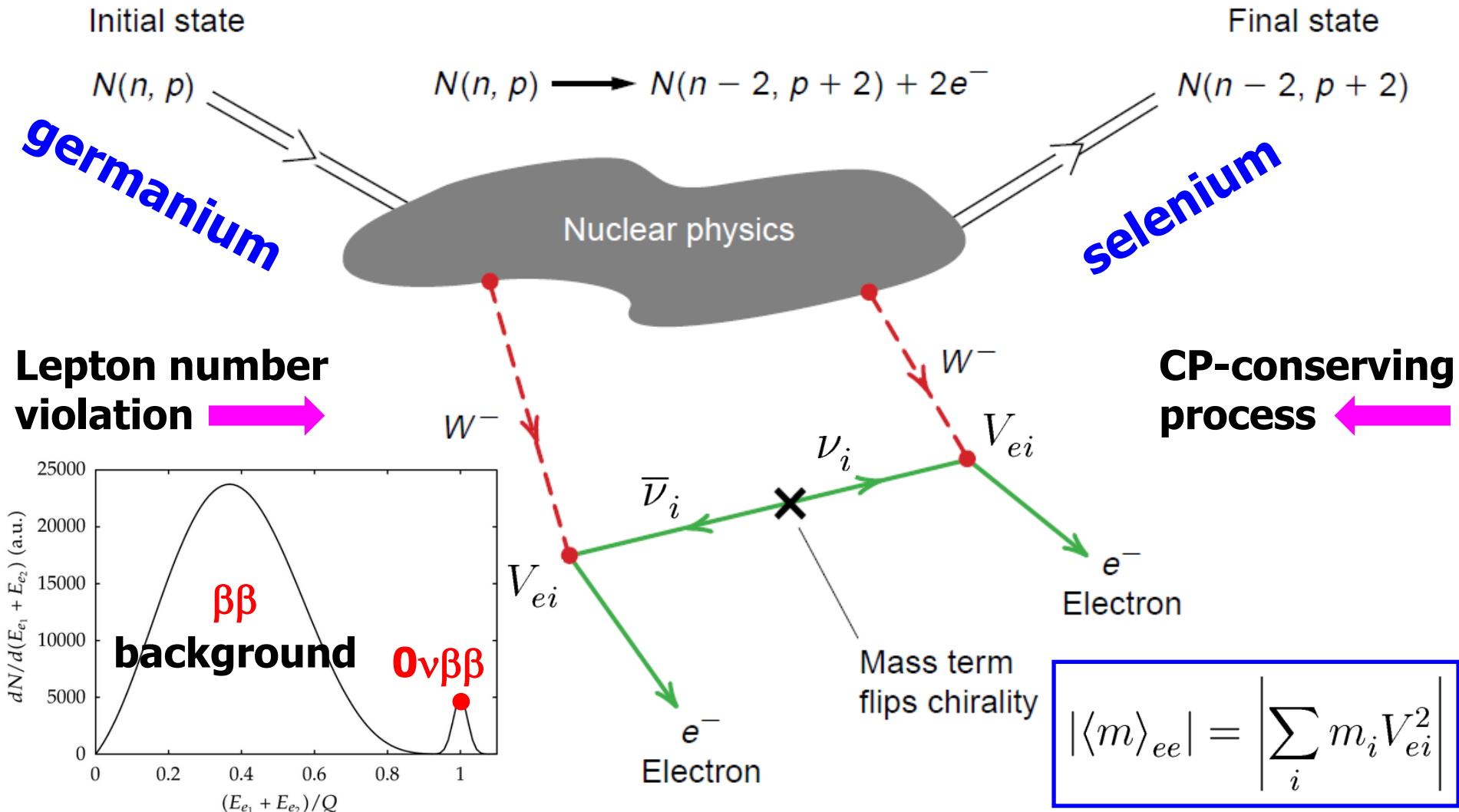
1935

Maria Goeppert Mayer



The $0\nu\beta\beta$ decay

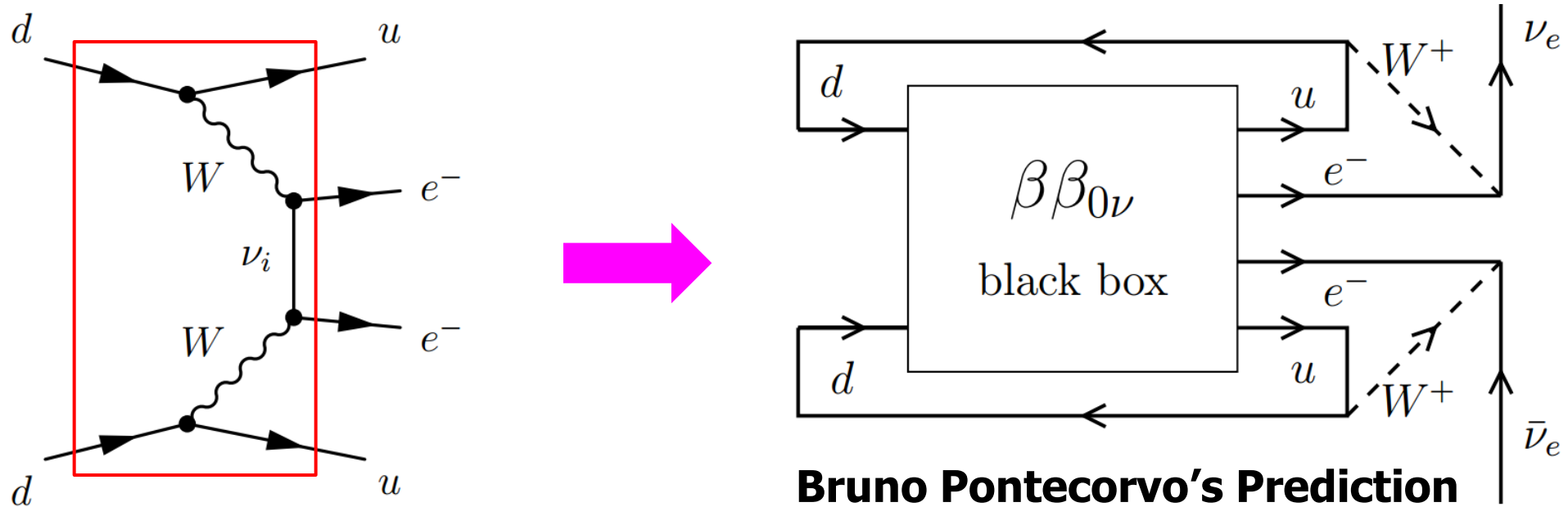
The **neutrinoless** double beta decay can happen if massive neutrinos are the Majorana particles (W.H. Furry 1939):



Schechter-Valle theorem

36

THEOREM (1982): if a $0\nu\beta\beta$ decay happens, there must be an effective **Majorana** mass term.



Bruno Pontecorvo's Prediction

That is why we want to see $0\nu\beta\beta$

Four-loop ν mass:

$$\delta m_\nu = \mathcal{O}(10^{-24} \text{ eV}) \quad (\text{Duerr, Lindner, Merle, 2011})$$

Note: The **black box** can in principle have many different processes (new physics). Only in the simplest case, which is most interesting, it's likely to constrain neutrino masses

Current limits on $0\nu\beta\beta$

GERDA has killed the **Heidelberg-Moscow's** claim on $0\nu\beta\beta$.

PRL 111, 122503 (2013)

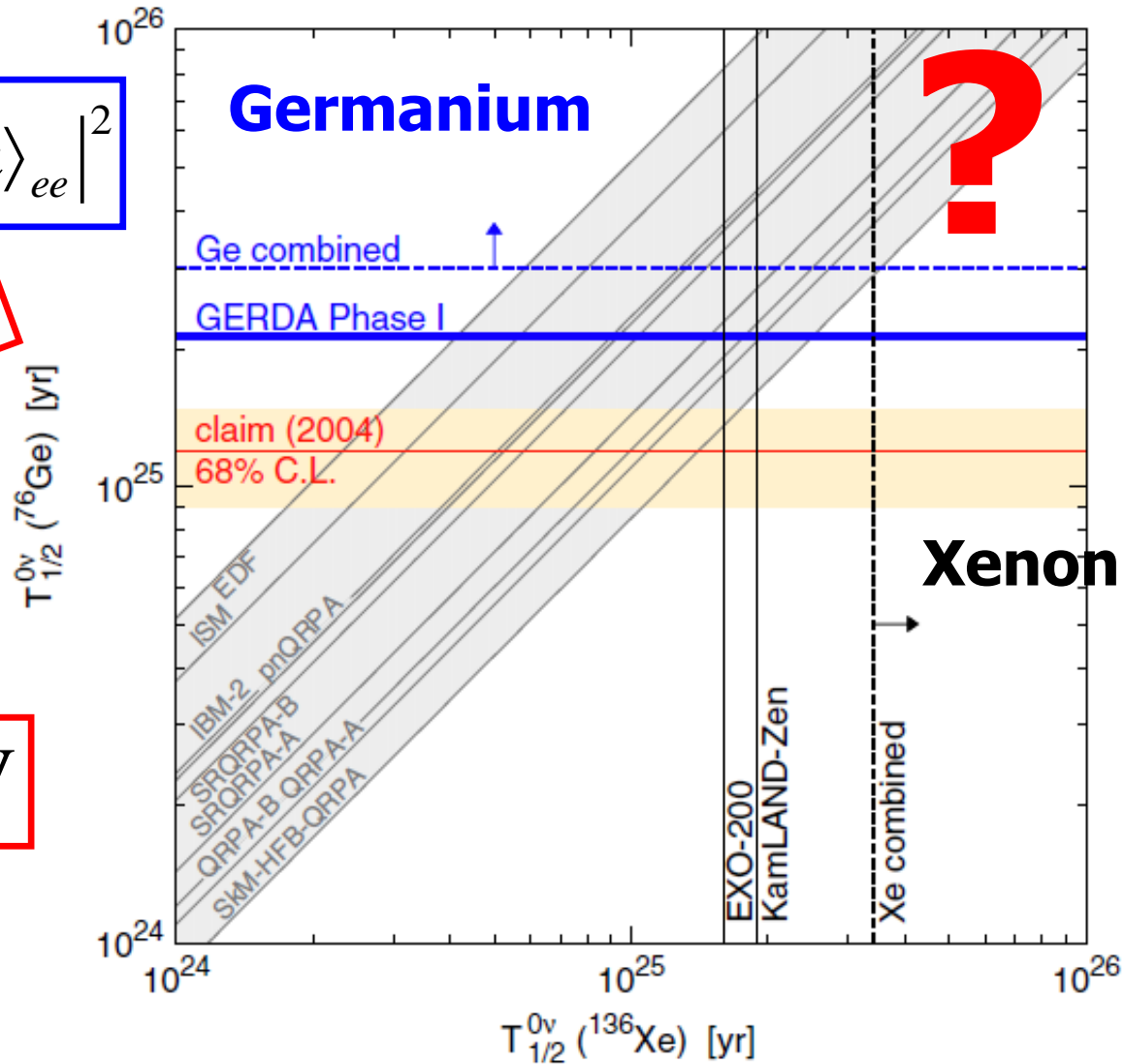
$$\left(T_{1/2}^{0\nu}\right)^{-1} = G^{0\nu} \left|M^{0\nu}\right|^2 \left|\langle m \rangle_{ee}\right|^2$$

$$T_{1/2}^{0\nu} > 3.0 \times 10^{25} \text{ yr (90\% C.L.)}$$



$$\left|\langle m \rangle_{ee}\right| < 0.2 \rightarrow 0.4 \text{ eV}$$

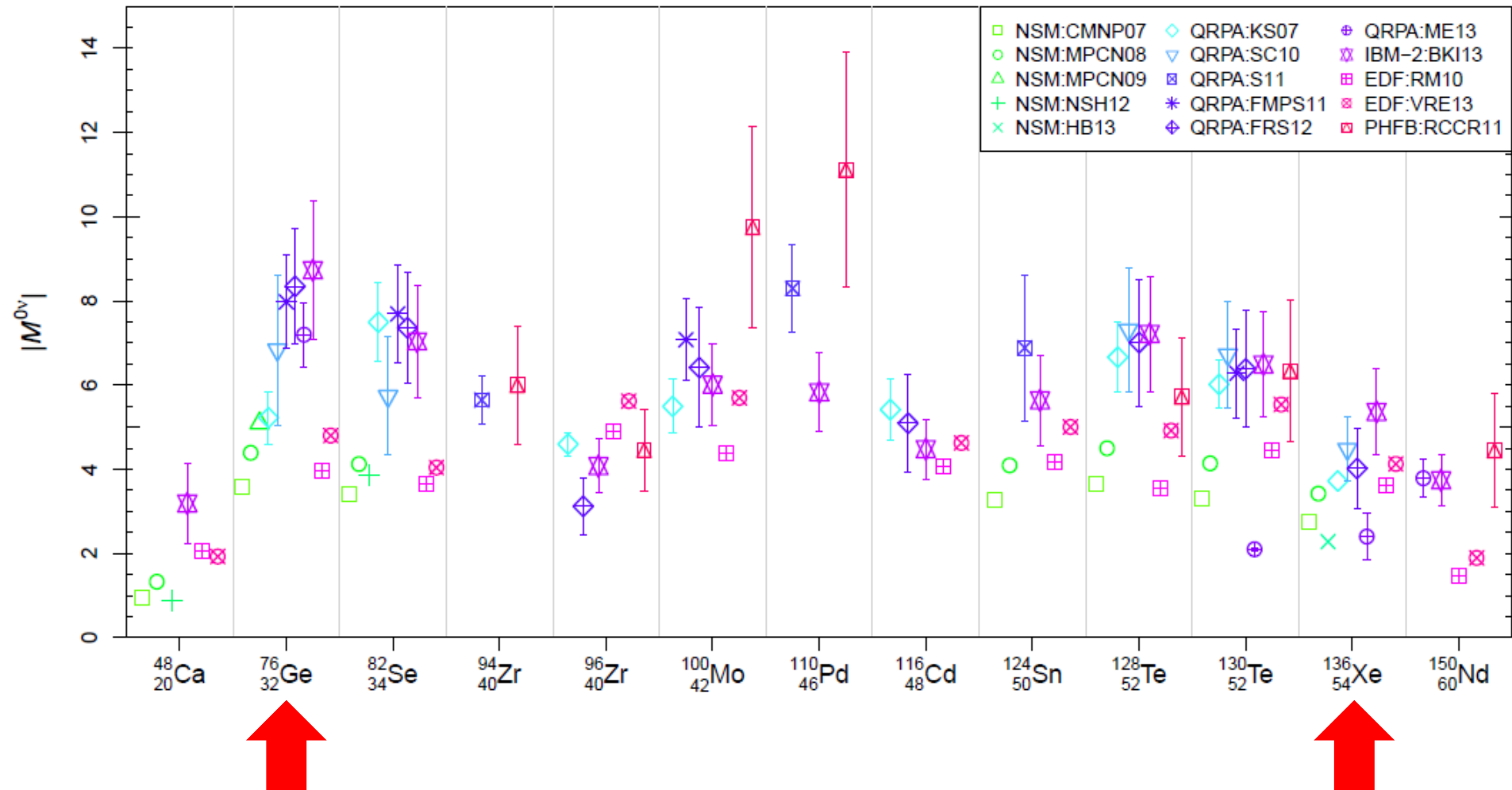
$$\left|\langle m \rangle_{ee}\right| = \left| \sum_i m_i V_{ei}^2 \right|$$



Nuclear matrix elements

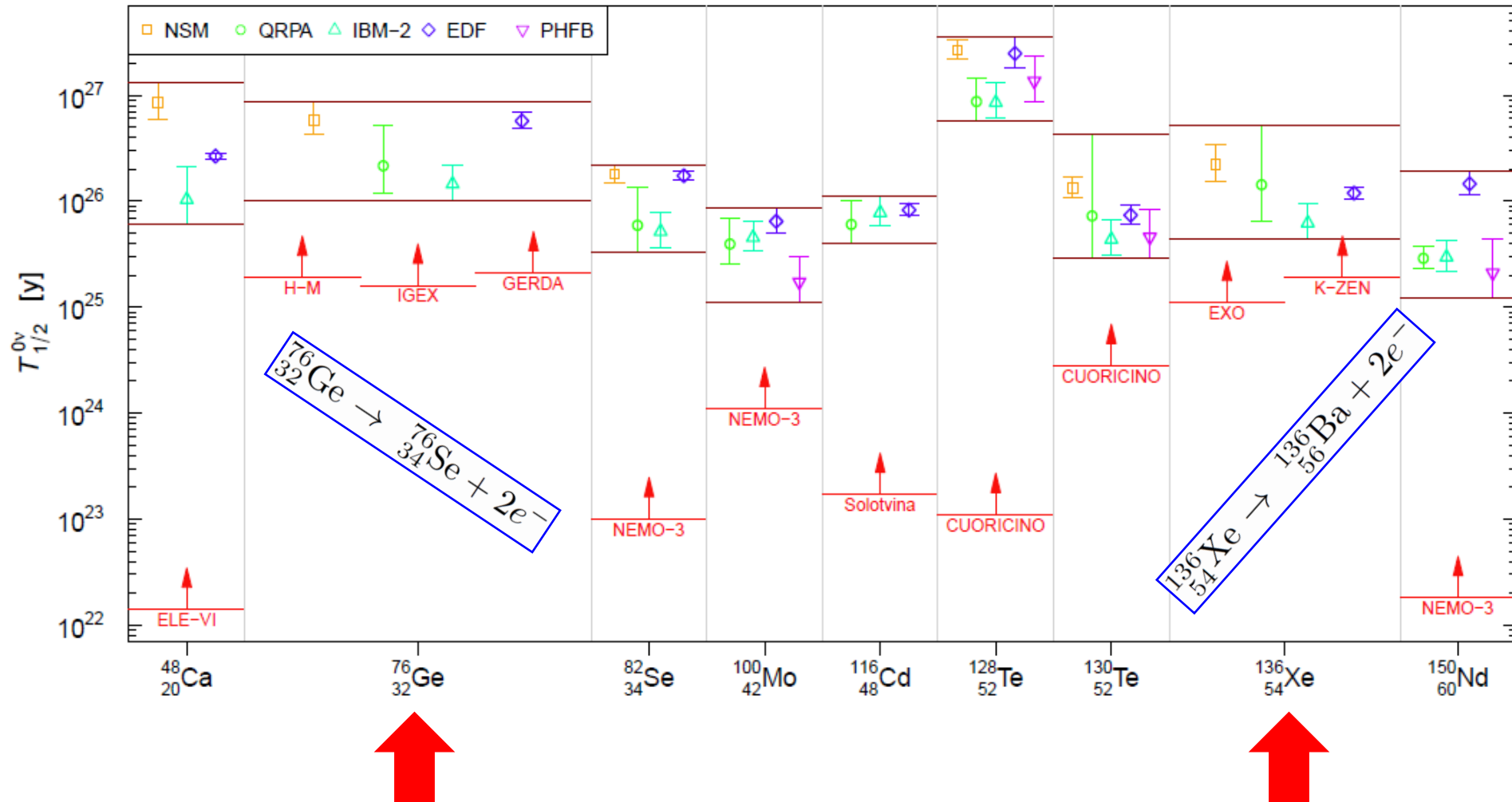
38

Unfortunately, nuclear matrix elements can be calculated only based on some models which describe many-body interactions of nucleons in nuclei. Since different models focus on different aspects of nuclear physics, **large uncertainties (a factor of 2 or 3)** are unavoidable.

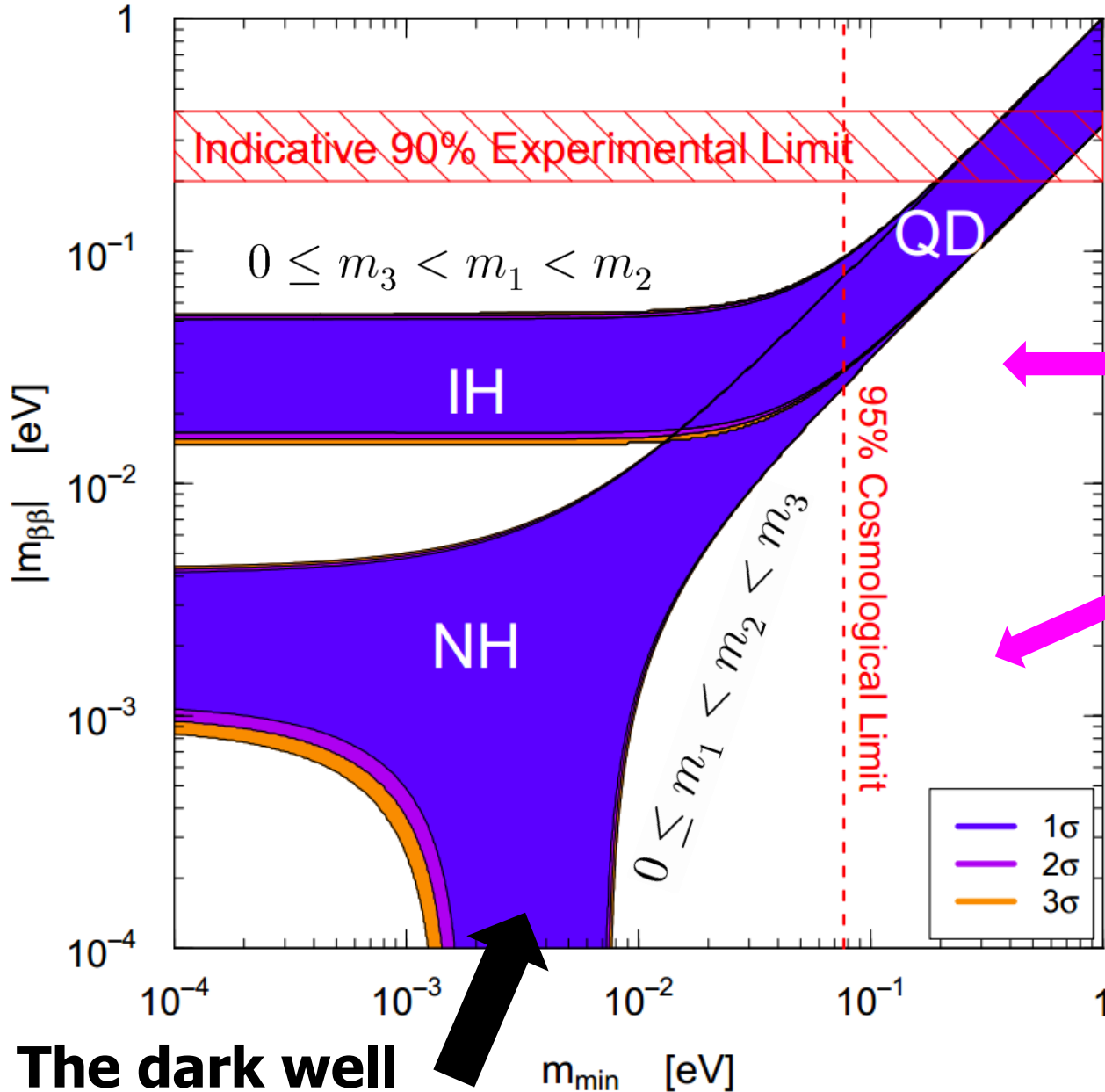


Half-life

Comparing the 90% C.L. experimental lower limits on the half-life of a $0\nu\beta\beta$ -decaying nuclide with the corresponding range of theoretical prediction, given a value of **0.1 eV** for the effective Majorana neutrino mass term (Bilenky and Giunti, 1411.4791).



Effective $0\nu\beta\beta$ mass



The effective mass

$$|\langle m \rangle_{ee}| = \left| \sum_i m_i V_{ei}^2 \right|$$

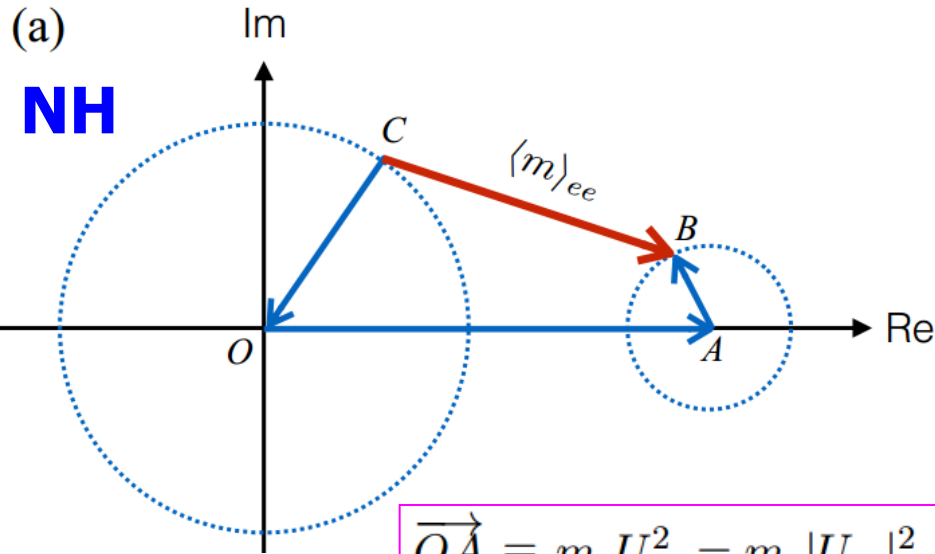
Maury Goodman asks
An intelligent design?

I asked myself in 2003
Vanishing $0\nu\beta\beta$ mass?
hep-ph/0305195, PRD

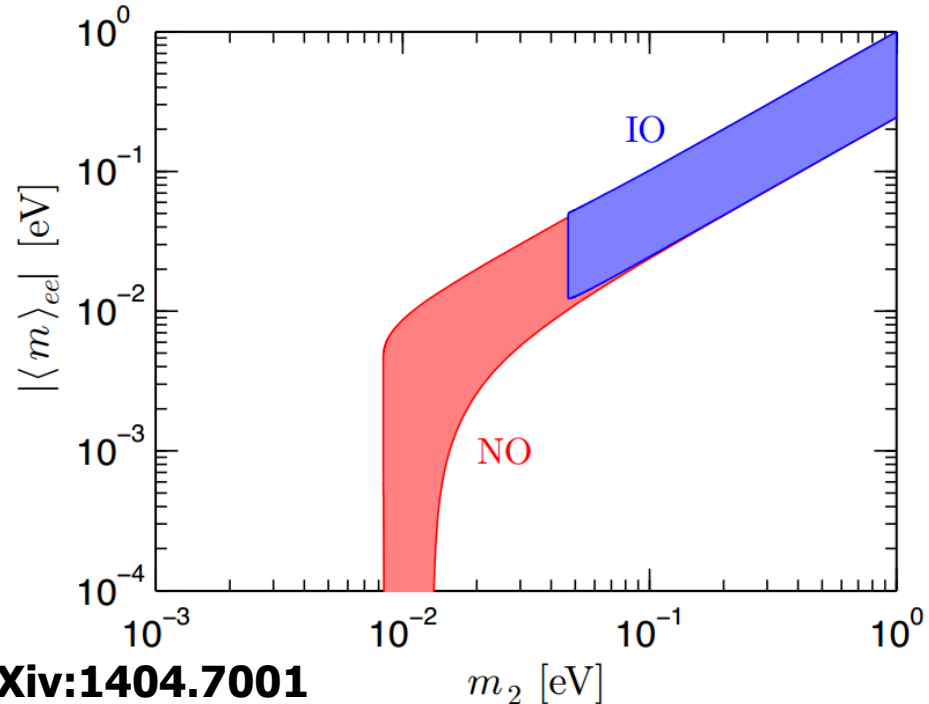
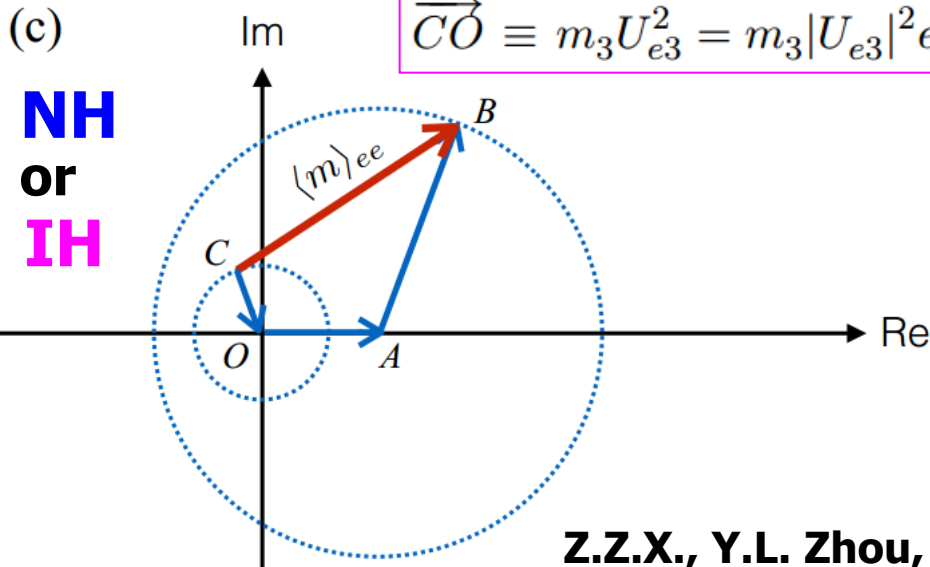
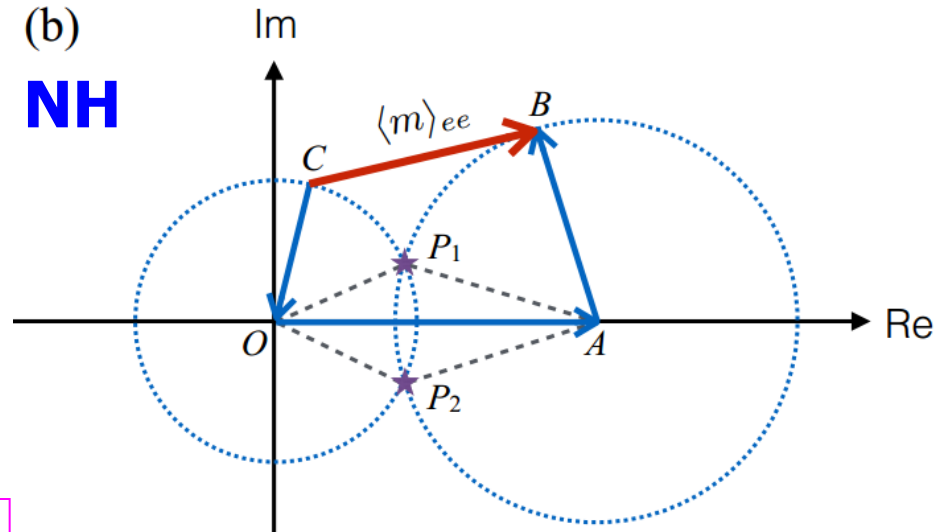
CP phases also matter

In case of new physics,
is it destructive or
constructive?

Coupling-rod diagram



$$\begin{aligned} \vec{OA} &\equiv m_2 U_{e2}^2 = m_2 |U_{e2}|^2, \\ \vec{AB} &\equiv m_1 U_{e1}^2 = m_1 |U_{e1}|^2 e^{i\rho}, \\ \vec{CO} &\equiv m_3 U_{e3}^2 = m_3 |U_{e3}|^2 e^{i\sigma} \end{aligned}$$

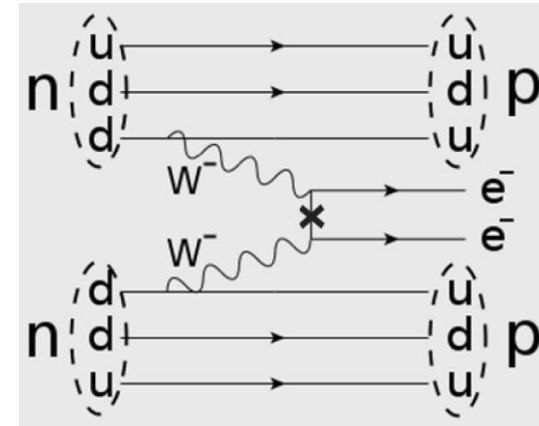


Type (A): NP directly related to extra species of neutrinos.

Example 1: heavy Majorana neutrinos from type-I seesaw

$$-\mathcal{L}_{\text{lepton}} = \bar{l}_L Y_l H E_R + \bar{l}_L Y_\nu \tilde{H} N_R + \frac{1}{2} \overline{N_R^c} M_R N_R + \text{h.c.}$$

$$\Gamma_{0\nu\beta\beta} \propto \left| \sum_{i=1}^3 V_{ei}^2 m_i - \sum_{k=1}^n \frac{R_{ek}^2}{M_k} M_A^2 \mathcal{F}(A, M_k) \right|^2$$



In most cases the heavy contribution is negligible

Example 2: light sterile neutrinos from LSND etc

$$\langle m \rangle'_{ee} \equiv \sum_{i=1}^6 m_i V_{ei}^2 = \underline{\langle m \rangle_{ee}} (c_{14} c_{15} c_{16})^2 + \underline{m_4 (\hat{s}_{14}^* c_{15} c_{16})^2} + m_5 (\hat{s}_{15}^* c_{16})^2 + m_6 (\hat{s}_{16}^*)^2$$

In this case the new contribution might be constructive or destructive

Type (B): NP has little to do with the neutrino mass issue.

SUSY, Left-right, and some others that I don't understand

QUESTION: are massive neutrinos the **Majorana** particles?

One might be able to answer **YES** through a measurement of the $0\nu\beta\beta$ decay or other **LNV** processes someday, but how to answer with **NO**?



YES
or
I don't know!



The same question: how to distinguish between **Dirac** and **Majorana** neutrinos in a realistic experiment?

Answer 1: The $0\nu\beta\beta$ decay is currently the only possibility.

Answer 2: In principle their dipole moments are different.

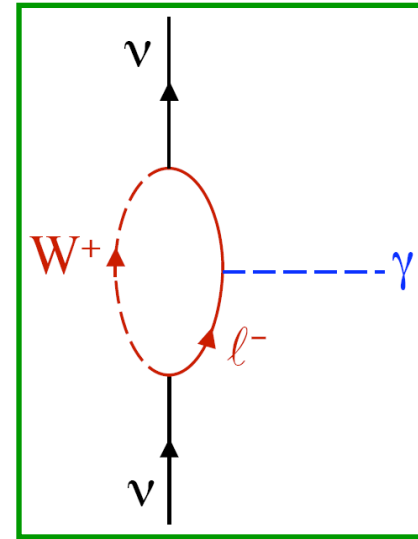
Answer 3: They show different behavior if nonrelativistic.

Electromagnetic properties

Without electric charges, neutrinos have **electromagnetic interactions** with the photon via quantum loops.

Given the SM interactions, a massive **Dirac** neutrino can only have a tiny **magnetic** dipole moment:

$$\mu_\nu \sim \frac{3eG_F}{8\sqrt{2}\pi^2} m_\nu = 3 \times 10^{-20} \frac{m_\nu}{0.1 \text{ eV}} \mu_B$$



A massive **Majorana** neutrino can **not** have **magnetic** & **electric** dipole moments, as its antiparticle is itself.

Proof: **Dirac** neutrino's electromagnetic vertex can be parametrized as

$$\Gamma_\mu(p, p') = f_Q(q^2)\gamma_\mu + f_M(q^2)i\sigma_{\mu\nu}q^\nu + f_E(q^2)\sigma_{\mu\nu}q^\nu\gamma_5 + f_A(q^2)(q^2\gamma_\mu - q_\mu q^\nu\gamma_\nu)\gamma_5$$

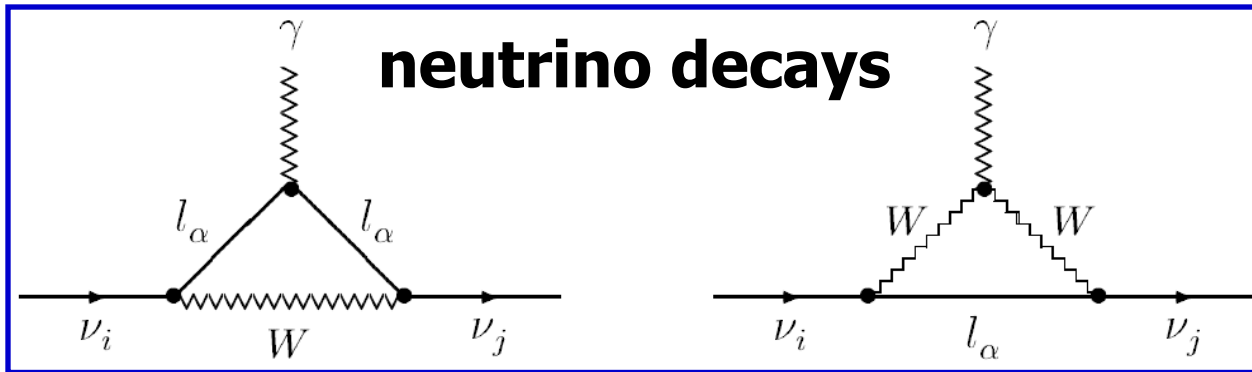
Majorana
neutrinos

$$\bar{\psi}\Gamma_\mu\psi = \bar{\psi}^c\Gamma_\mu\psi^c = \psi^T C\Gamma_\mu C\bar{\psi}^T = (\psi^T C\Gamma_\mu C\bar{\psi}^T)^T = -\bar{\psi}C^T\Gamma_\mu^T C^T\psi = \bar{\psi}C\Gamma_\mu^T C^{-1}\psi$$

➔ $f_Q(q^2) = f_M(q^2) = f_E(q^2) = 0$ intrinsic property of **Majorana v's**.

Transition dipole moments

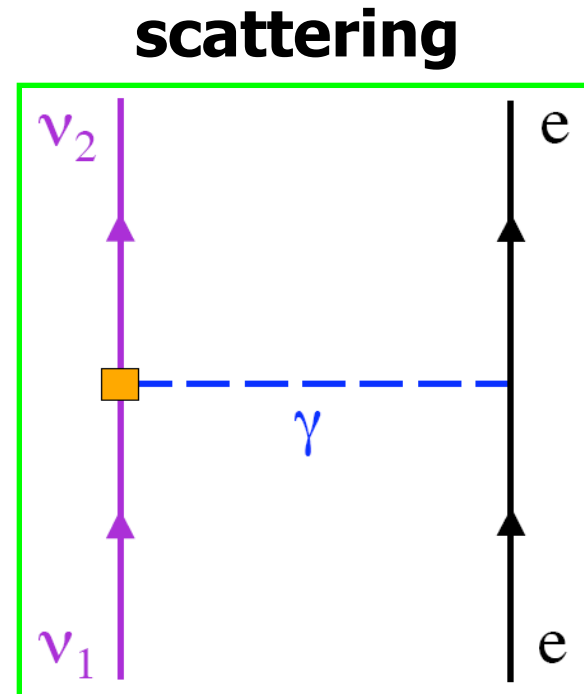
Both **Dirac** & **Majorana** neutrinos can have *transition* dipole moments (of a size comparable with μ_{ν}) that may give rise to neutrino decays, scattering with electrons, interactions with external magnetic field & contributions to ν masses. (**Data:** $< \text{a few} \times 10^{-11}$ Bohr magneton).



$$\mu_{\text{eff}} \equiv \sqrt{|\mu_{ij}|^2 + |\epsilon_{ij}|^2}$$

$$\Gamma_{\nu_i \rightarrow \nu_j + \gamma} = 5.3 \times \left(1 - \frac{m_j^2}{m_i^2}\right)^3 \left(\frac{m_i}{1 \text{ eV}}\right)^3 \left(\frac{\mu_{\text{eff}}}{\mu_B}\right)^2 \text{ s}^{-1}$$

$$\frac{d\sigma'_{\mu}}{dT} = \frac{\alpha^2 \pi}{m_e^2} \sum_{k=1}^3 \left| \sum_{j=1}^3 e^{iq_j L} V_{ej} \left(i \frac{\mu_{jk}}{\mu_B} + \frac{\epsilon_{jk}}{\mu_B} \right) \right|^2 \left(\frac{1}{T} - \frac{1}{E_{\nu}} \right)$$



- (A) Three reasons for neutrinos to be massless in the SM.
- (B) The **Dirac** mass term and **lepton number conservation**.
- (C) The **Majorana** mass term and **lepton number violation**.
 - the **Majorana** mass matrix must be **symmetric**;
 - factor **1/2** in front of the mass term makes sense.
- (D) The **$0\nu\beta\beta$** decay can determine the nature of neutrinos.
 - if a signal is seen, neutrinos must be of **Majorana**;
 - if a signal is not seen, then there is no conclusion.
- (E) Electromagnetic dipole moment of massive neutrinos.
 - **Dirac** neutrinos have **magnetic** dipole moments;
 - **Majorana** neutrinos have no dipole moments;
 - **Dirac & Majorana** neutrinos: **transition** moments.

The phenomenology of massive neutrinos will be explored