

Phase diagram, fluctuations, thermodynamics and hadron chemistry

Claudia Ratti
University of Houston (USA)

Quantum Chromodynamics

QCD describes interactions among quarks q and gluons g

Quarks are described in terms of Dirac fields $\psi_{\alpha}^{ir}(x)$, where:

α : Dirac spinor index

i : $SU(3)$ color index (1, 2, 3)

r : flavor index (u, d, s, c, b, t)

Gluons are described in terms of vector fields $A_{\mu}^a(x)$, where:

μ : Lorentz vector index

a : color index (1, 2, ...8)

Gell-Mann matrices λ^a : 3×3 matrices in **color space**: $(\lambda^a)_{ij}$, $i, j = 1, 2, 3$

$$\left[\frac{\lambda_a}{2}, \frac{\lambda_b}{2} \right] = if_{abc} \frac{\lambda_c}{2}$$

The QCD Lagrangian

Local $SU(3)$ color transformation: $U(x) = \exp \left[i \frac{\lambda^a}{2} \theta^a(x) \right] = \exp \left[\frac{i}{2} \vec{\lambda} \cdot \vec{\theta}(x) \right]$

$U(x) = 3 \times 3$ matrix in the color space

$$\begin{aligned} \psi(x) &\rightarrow U(x)\psi(x) & \psi^\dagger(x) &\rightarrow \psi^\dagger(x)U^\dagger(x) \\ \psi_i(x) &= U_{ij}(x)\psi_j(x) & \psi_i^*(x) &= \psi_j^*(x)U_{ji}^*(x) \end{aligned}$$

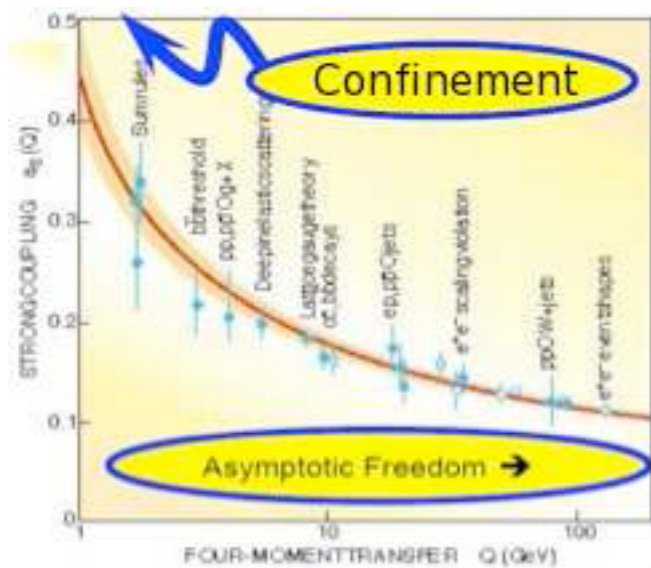
QCD Lagrangian: $\mathcal{L}_{QCD} = -\frac{1}{4}F_{\mu\nu}^a F_a^{\mu\nu} + \bar{\psi}^r (i\not{D} - m_r) \psi^r$, where:

$$\begin{aligned} F_{\mu\nu}^a &\equiv \partial_\mu A_\nu^a - \partial_\nu A_\mu^a + gf^{abc} A_\mu^b A_\nu^c \\ F_{\mu\nu} &\rightarrow UF_{\mu\nu}U^\dagger \quad \left(F_{\mu\nu} \equiv F_{\mu\nu}^a \frac{\lambda^a}{2} \right) \\ D_\mu &= \partial_\mu - igA_\mu = \partial_\mu - ig\frac{\lambda^a}{2} A_\mu^a \\ D_\mu^{ab} &= \delta_{ab}\partial_\mu - ig\left(\frac{\lambda^c}{2}\right)_{ab} A_\mu^c \end{aligned}$$

- m_r : quark mass for flavor r ; $m = \text{diag}(m_u, m_d, m_s, m_c, m_b, m_t)$
- g : coupling constant, with $g^2/(4\pi) = \alpha_s$

\mathcal{L}_{QCD} invariant for local $SU(3)$ color transformations

QCD Thermodynamics

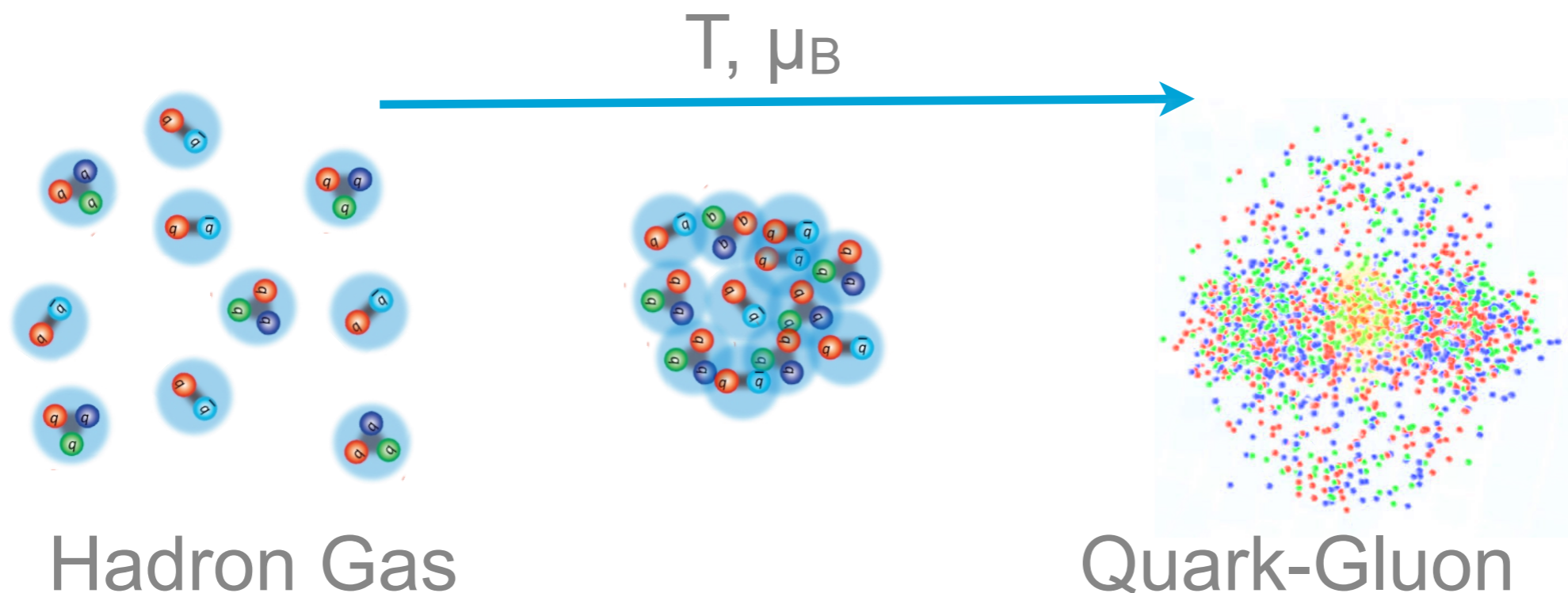


* Confinement

- * At large distances the effective coupling is large
- * Free quarks are not observed in nature

* Asymptotic freedom

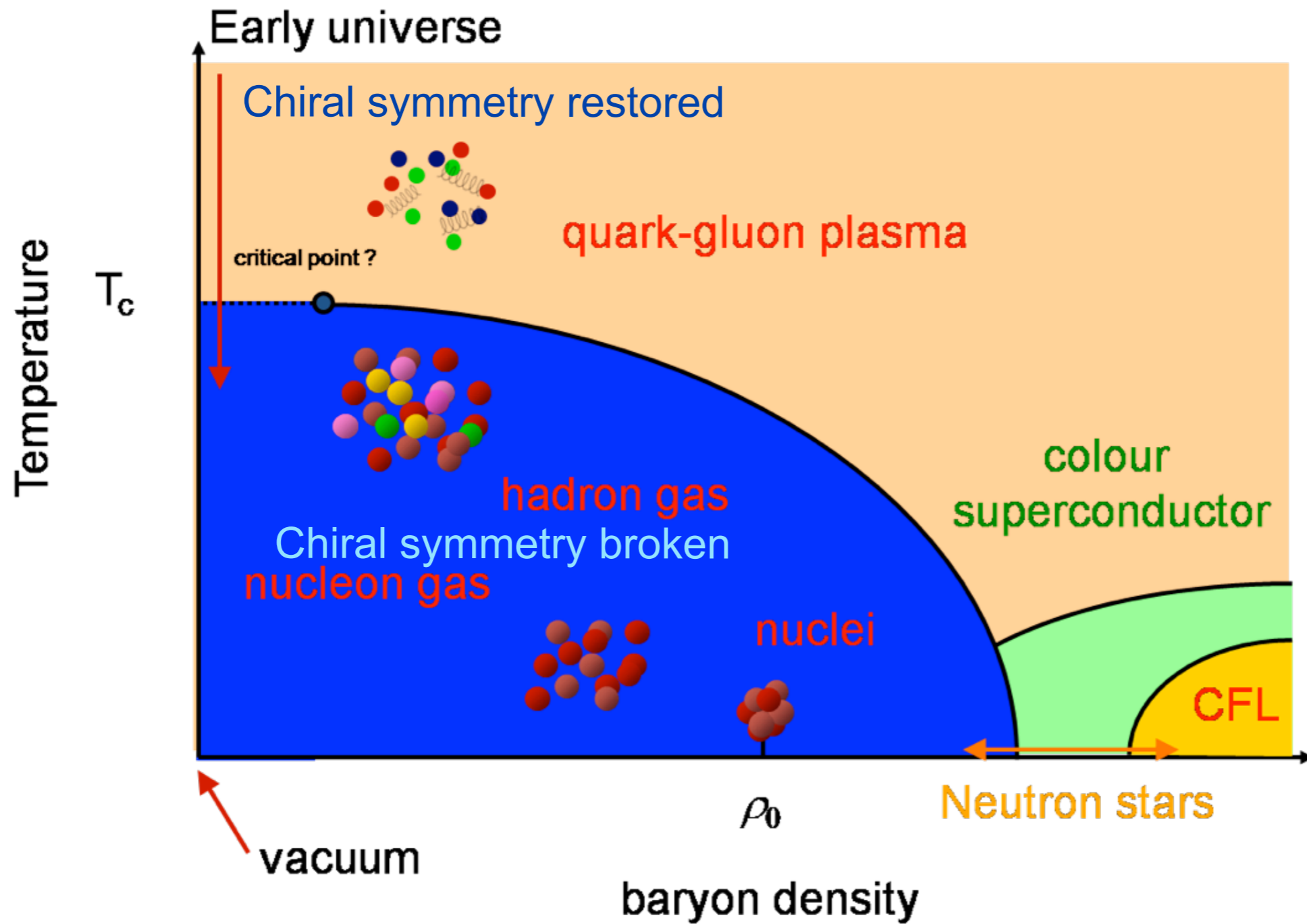
- * At short distances the effective coupling decreases
- * Quarks and gluons appear to be quasi-free



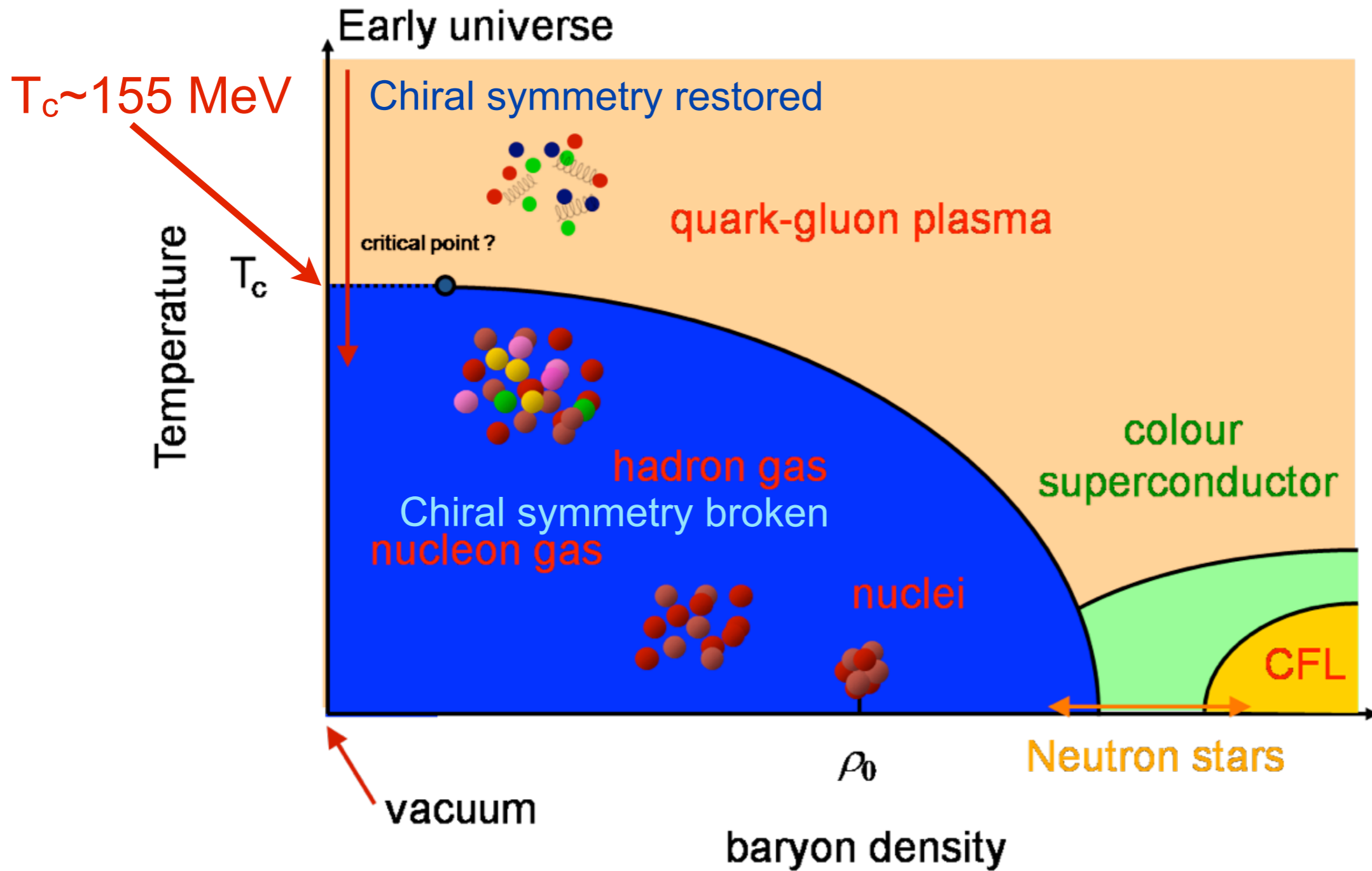
Chiral Symmetry: broken

Chiral Symmetry: restored

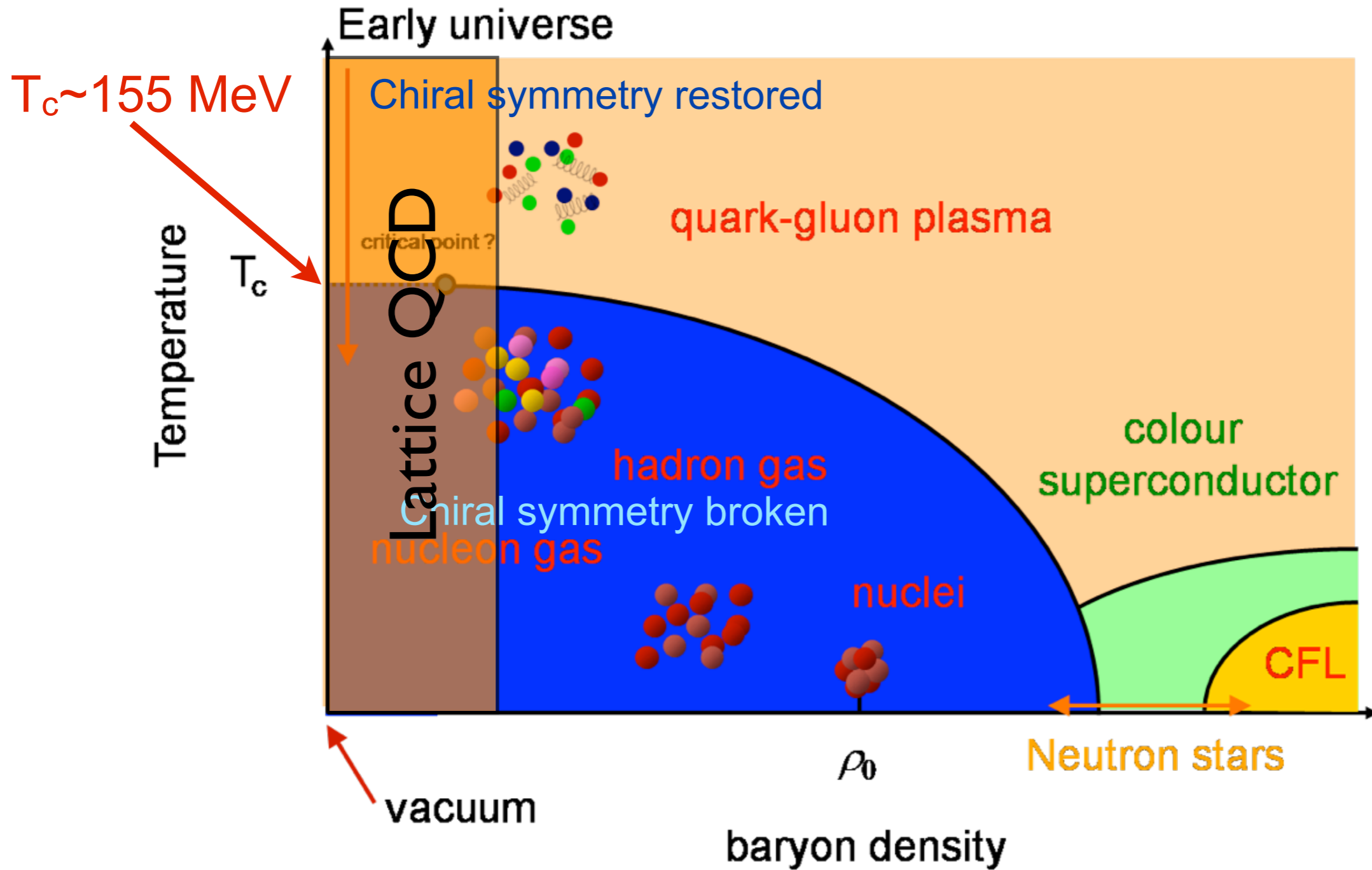
QCD Phase Diagram



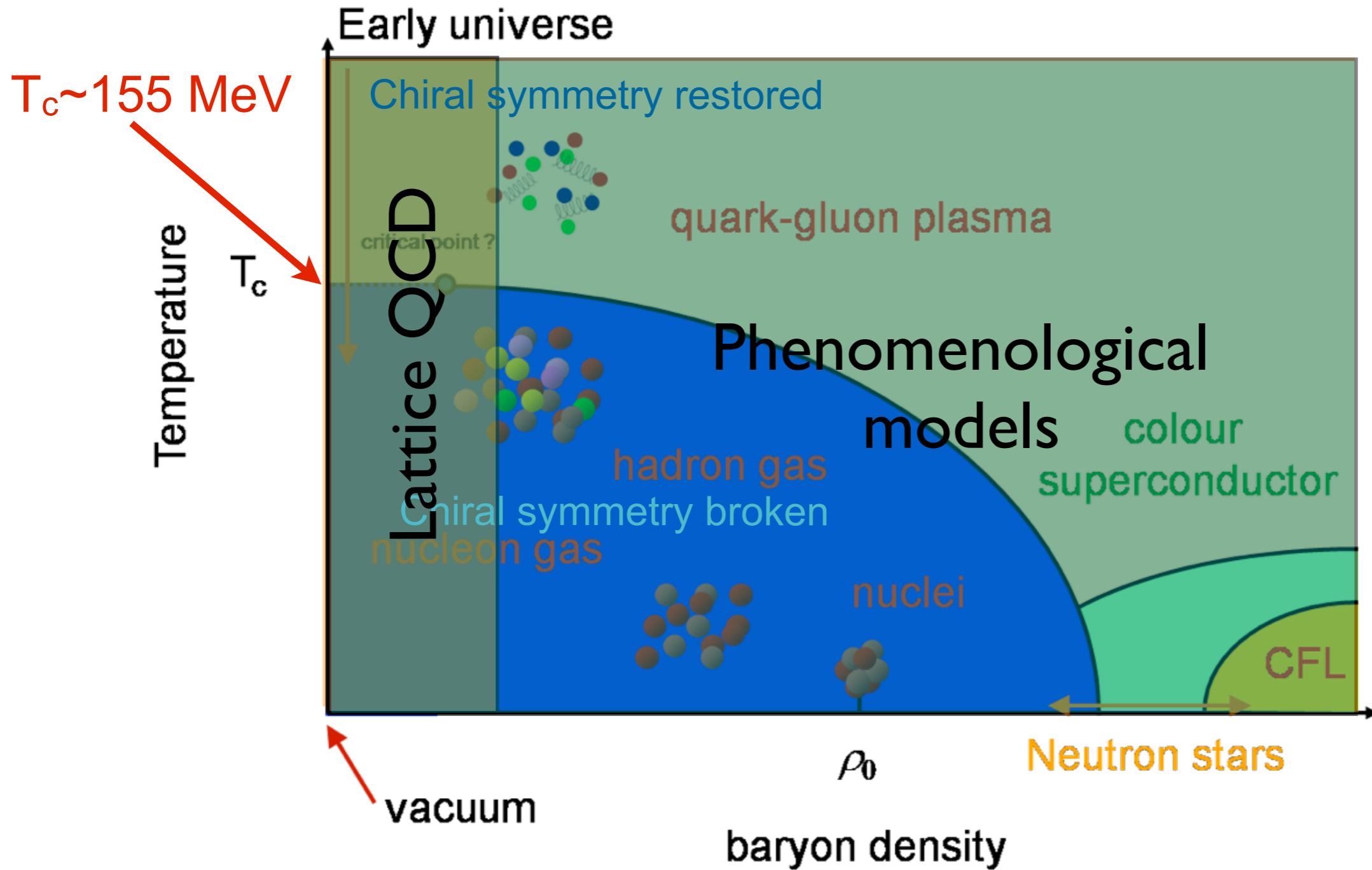
QCD Phase Diagram



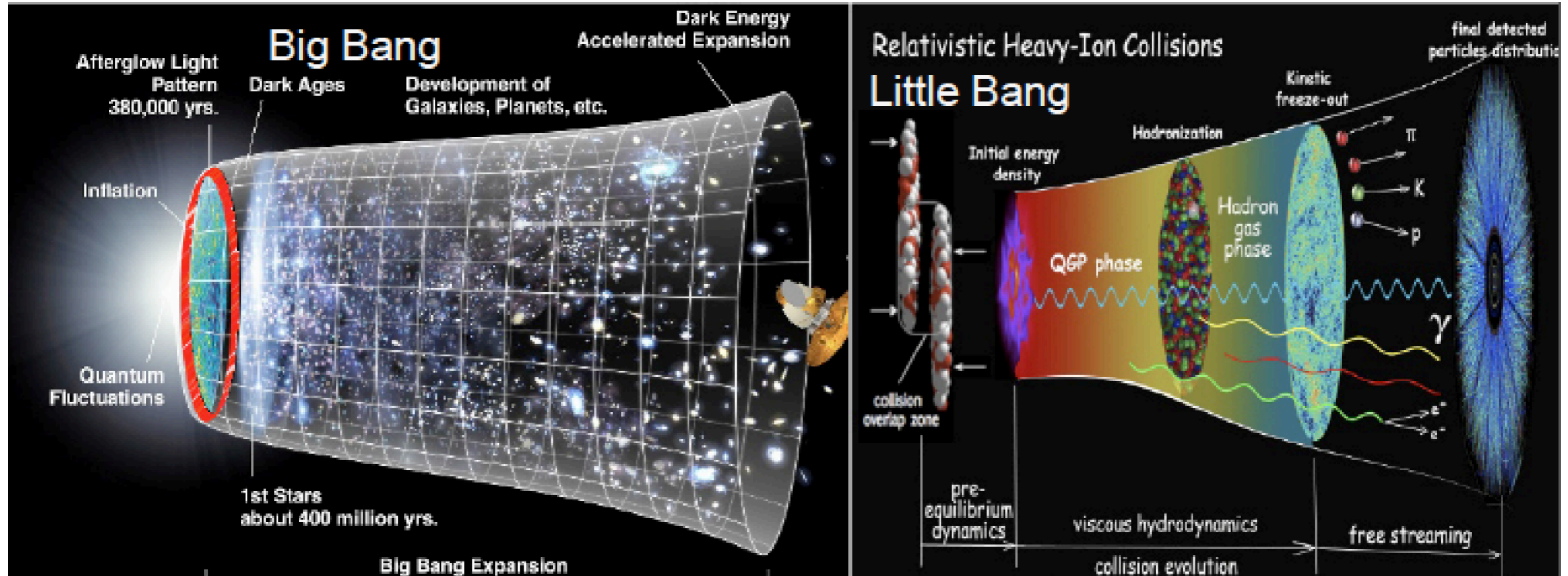
QCD Phase Diagram



QCD Phase Diagram



Motivation



- * The universe was in a QGP phase at the beginning of its evolution
- * We can re-create this phase in the laboratory!

Statistical Mechanics reminder

In **equilibrium statistical mechanics** one normally encounters **three types of ensemble**

- **microcanonical ensemble**: used to describe an isolated system with fixed E, N, V
- **canonical ensemble**: used to describe a system in contact with a heat bath with fixed T, N, V
- **grand canonical ensemble**: used to describe a system in contact with a heat bath, with which it can exchange particles: fixed T, V, μ

In a **relativistic quantum system**, where particles can be **created** and **destroyed**, one computes observables in the **grand canonical ensemble**

Partition function

The grand canonical **PARTITION FUNCTION** reads:

$$Z = \text{Tr} \left[e^{-\beta(\hat{H} - \mu_i \hat{N}_i)} \right]$$

with \hat{H} Hamiltonian of the system, \hat{N}_i set of conserved number operators and $\beta = 1/k_B T$

$Z = Z(V, T, \mu_1, \mu_2, \dots)$ is the most important function in thermodynamics

All other standard **thermodynamic properties** may be determined from it:

$$\begin{aligned} P &= T \frac{\partial \log Z}{\partial V}; & N_i &= T \frac{\partial \log Z}{\partial \mu_i}; \\ S &= \frac{\partial T \log Z}{\partial T} & E &= -PV + TS + \mu_i N_i \end{aligned}$$

Phase transitions and order parameters

- * We want to study the transition **from hadrons to the QGP**: **deconfinement** and **chiral symmetry restoration**
- * A **phase transition** is the transformation of a thermodynamic system from one phase or state of matter to another
- * During a phase transition of a given medium **certain properties of the medium change**, often discontinuously, as a result of some **external conditions**
- * The measurement of the external conditions at which the transformation occurs is called the **phase transition point**
- * **Order parameter**: some observable physical quantity that is able to **distinguish between two distinct phases**
- * We need to find observables which allow us to **distinguish** between **confined/deconfined** system and between **chirally broken/restored** phase

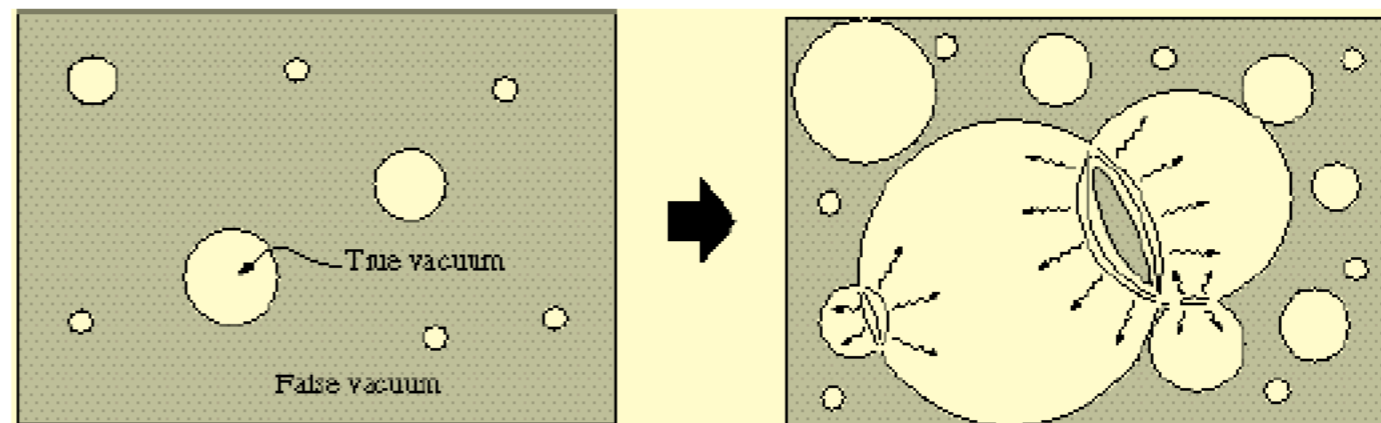
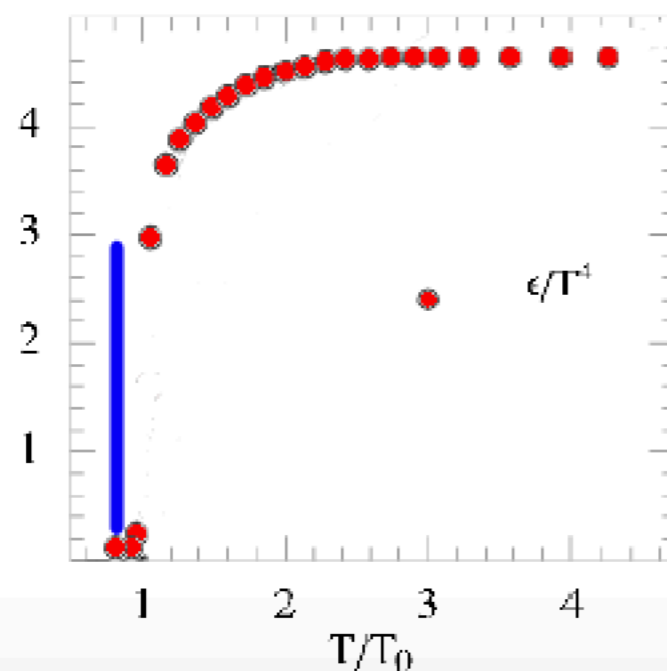
Phase transition classification (I)

Paul Ehrenfest classified phase transitions based on the **behavior of the thermodynamic free energy** as a function of other thermodynamic variables

First-order phase transitions exhibit a discontinuity in the **first derivative** of the free energy with respect to some thermodynamic variable

→ involve a **latent heat**

→ the system is in a **"mixed-phase regime"** in which some parts of the system have completed the transition and others have not



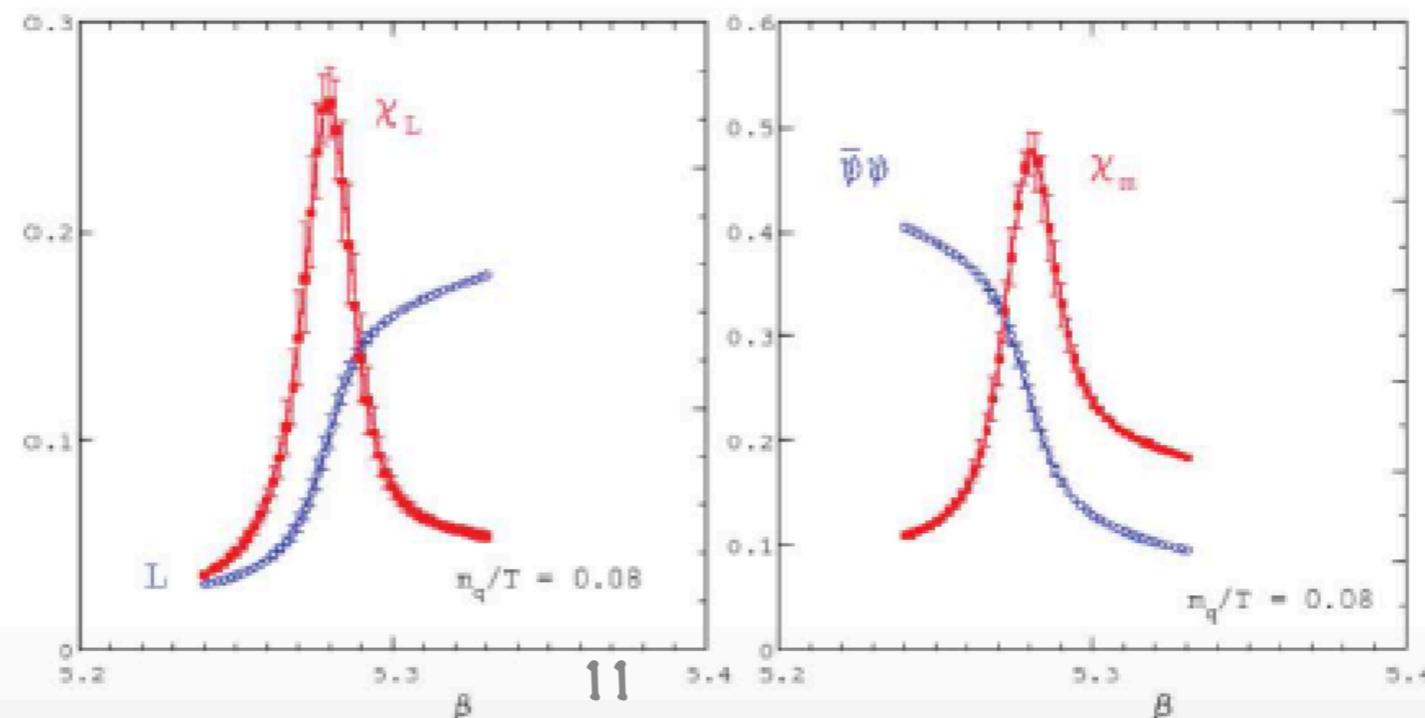
Phase transition classification (II)

Second-order phase transitions: free energy and its first derivative continuous at T_c

- A new state grows continuously out of the previous one
- for $T \rightarrow T_c$ the two states become quantitatively the same
- second derivative can be **discontinuous** or **diverge** at T_c
- **power law behavior** in $|1 - T/T_c|$ at T_c

Analytic crossover: free energy and all its derivative continuous at T_c

- System changes smoothly from one phase to the other
- Phase transition point identified by **peak of susceptibility**



Different limits

$m_q \rightarrow \infty$: pure gauge QCD

- only **gluons** are relevant degrees of freedom
- Z_3 **symmetry** of QCD: **confinement/deconfinement** phase transition: **first order**
- **Polyakov loop**: order parameter

$m_q \rightarrow 0$: chiral limit of QCD

- **chiral symmetry** spontaneously broken
- **chiral condensate**: order parameter; **second order**

real world: $m_q \neq 0$: but small for u , d , s quarks

- **chiral symmetry** explicitly broken by the finite quark mass
- Z_3 **symmetry**: explicitly broken by the presence of quarks
- QCD transition is **analytic crossover**

Polyakov loop: deconfinement

* We consider a system of gluons in which we put a heavy quark-antiquark pair as a probe

$$\langle \Phi \rangle \sim e^{-F/T}$$

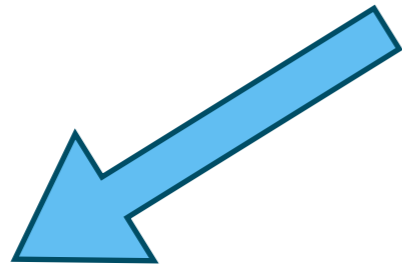
→ How much energy F is needed to extract the heavy quark from the system?

Polyakov loop: deconfinement

* We consider a system of gluons in which we put a heavy quark-antiquark pair as a probe

$$\langle \Phi \rangle \sim e^{-F/T}$$

→ How much energy F is needed to extract the heavy quark from the system?



Confined system
Infinite energy is
needed

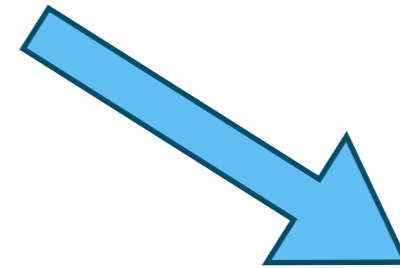
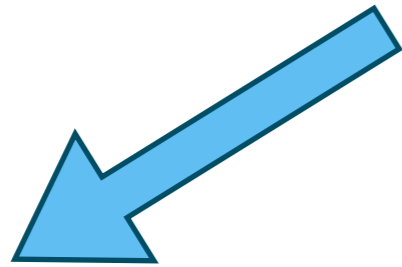
$$\langle \Phi \rangle = 0$$

Polyakov loop: deconfinement

* We consider a system of gluons in which we put a heavy quark-antiquark pair as a probe

$$\langle \Phi \rangle \sim e^{-F/T}$$

→ How much energy F is needed to extract the heavy quark from the system?



Confined system
Infinite energy is
needed

$$\langle \Phi \rangle = 0$$

Deconfined system
Finite energy is
needed

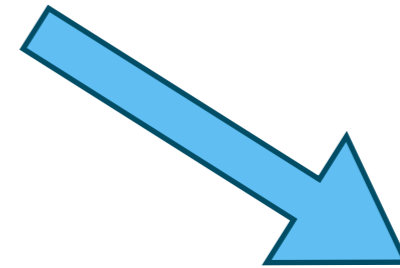
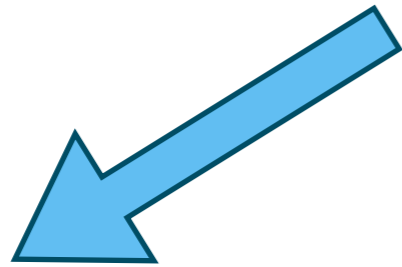
$$\langle \Phi \rangle \rightarrow 1$$

Polyakov loop: deconfinement

* We consider a system of gluons in which we put a heavy quark-antiquark pair as a probe

$$\langle \Phi \rangle \sim e^{-F/T}$$

→ How much energy F is needed to extract the heavy quark from the system?



Confined system
Infinite energy is
needed

$$\langle \Phi \rangle = 0$$

Deconfined system
Finite energy is
needed

$$\langle \Phi \rangle \rightarrow 1$$

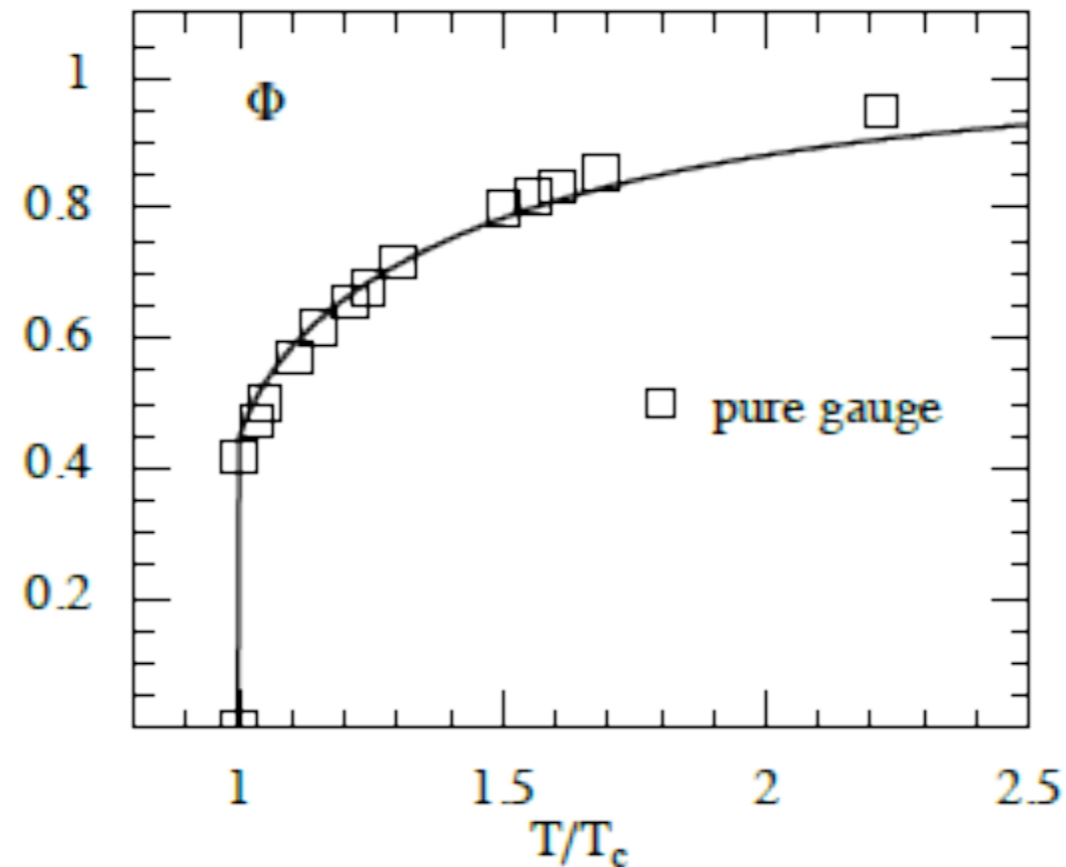
Polyakov loop: order parameter for deconfinement

Polyakov loop: deconfinement

* We consider a system of gluons in which we put a heavy quark-antiquark pair as a probe

$$\langle \Phi \rangle \sim e^{-F/T}$$

➔ How much energy F is needed to extract the heavy quark from the system?



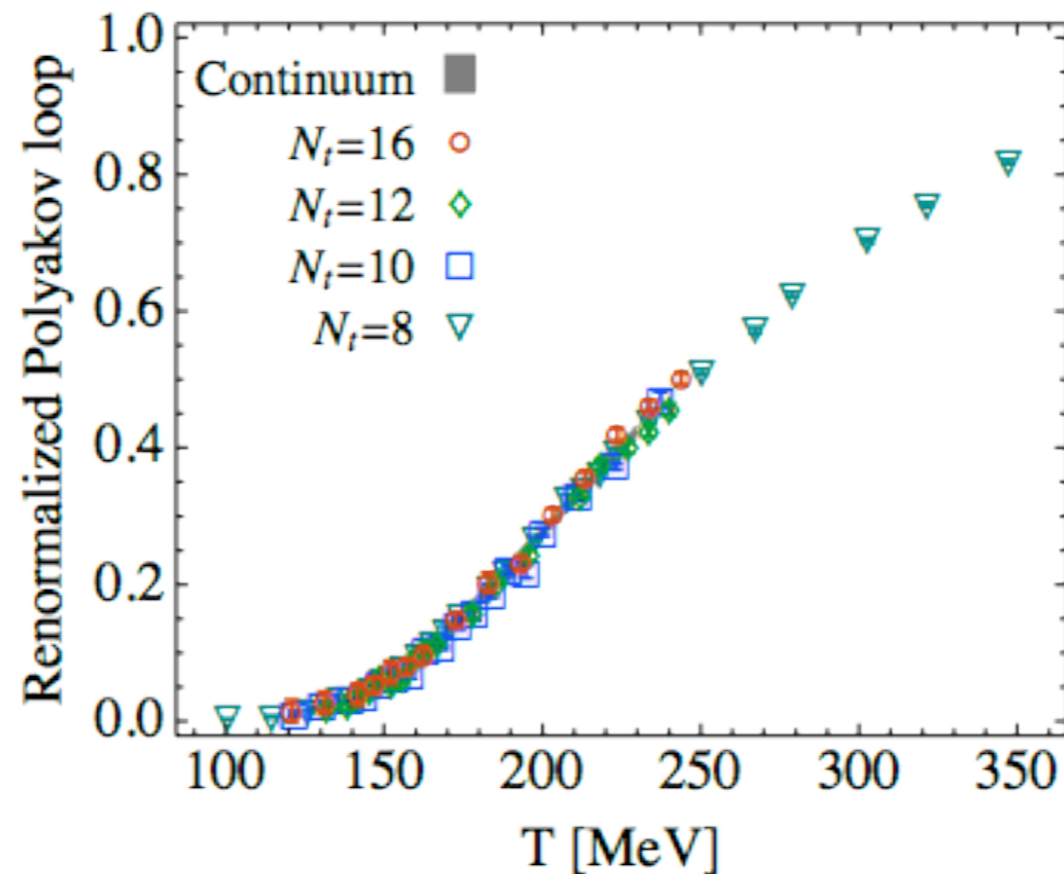
Polyakov loop: order parameter for deconfinement

Polyakov loop: deconfinement

* We consider a system of gluons in which we put a heavy quark-antiquark pair as a probe

$$\langle \Phi \rangle \sim e^{-F/T}$$

➔ How much energy F is needed to extract the heavy quark from the system?



For QCD with physical quark masses the transition is a smooth crossover

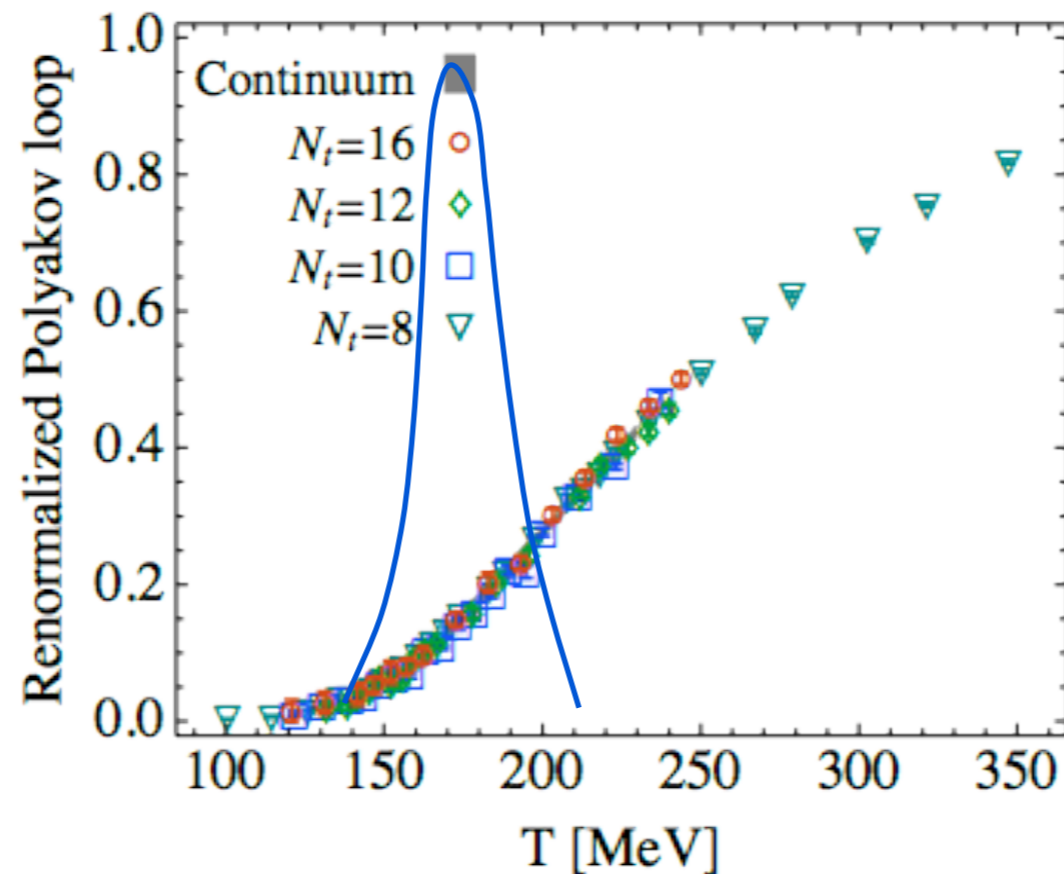
Polyakov loop: order parameter for deconfinement

Polyakov loop: deconfinement

* We consider a system of gluons in which we put a heavy quark-antiquark pair as a probe

$$\langle \Phi \rangle \sim e^{-F/T}$$

➔ How much energy F is needed to extract the heavy quark from the system?



For QCD with physical quark masses the transition is a smooth crossover

Polyakov loop: order parameter for deconfinement

Chiral condensate: chiral transition

* The chiral condensate $\langle \bar{\psi}\psi \rangle$ is the vacuum expectation value of the operator $\bar{\psi}\psi$

* The magnitude of the constituent quark mass is proportional to it

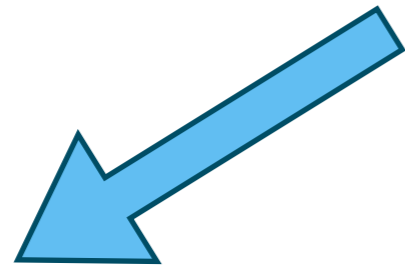
→ Even if the “bare” quark mass in the QCD Lagrangian is small, they develop a constituent one, through interaction with the chiral condensate

Chiral condensate: chiral transition

* The chiral condensate $\langle \bar{\psi}\psi \rangle$ is the vacuum expectation value of the operator $\bar{\psi}\psi$

* The magnitude of the constituent quark mass is proportional to it

→ Even if the “bare” quark mass in the QCD Lagrangian is small, they develop a constituent one, through interaction with the chiral condensate



Chirally broken system
Large effective quark
mass

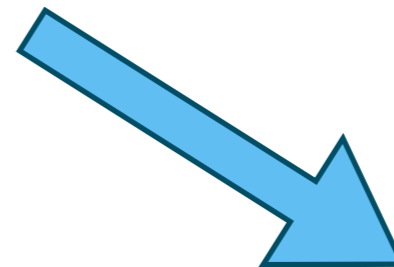
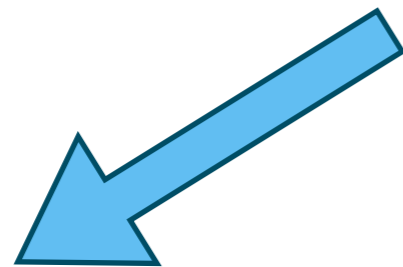
$$\langle \bar{\psi}\psi \rangle \neq 0$$

Chiral condensate: chiral transition

* The chiral condensate $\langle \bar{\psi}\psi \rangle$ is the vacuum expectation value of the operator $\bar{\psi}\psi$

* The magnitude of the constituent quark mass is proportional to it

➔ Even if the “bare” quark mass in the QCD Lagrangian is small, they develop a constituent one, through interaction with the chiral condensate



Chirally broken system
Large effective quark
mass

$$\langle \bar{\psi}\psi \rangle \neq 0$$

Chirally restored system
Small effective quark
mass

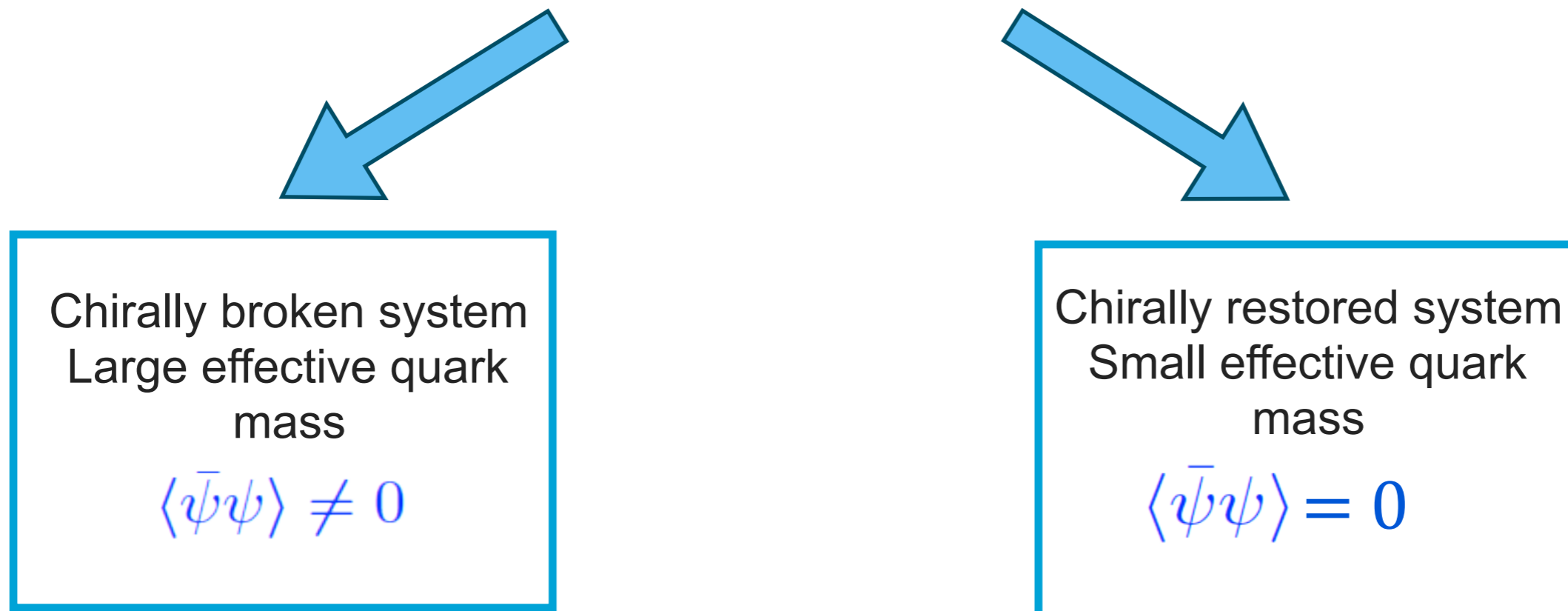
$$\langle \bar{\psi}\psi \rangle = 0$$

Chiral condensate: chiral transition

* The chiral condensate $\langle \bar{\psi}\psi \rangle$ is the vacuum expectation value of the operator $\bar{\psi}\psi$

* The magnitude of the constituent quark mass is proportional to it

➔ Even if the “bare” quark mass in the QCD Lagrangian is small, they develop a constituent one, through interaction with the chiral condensate



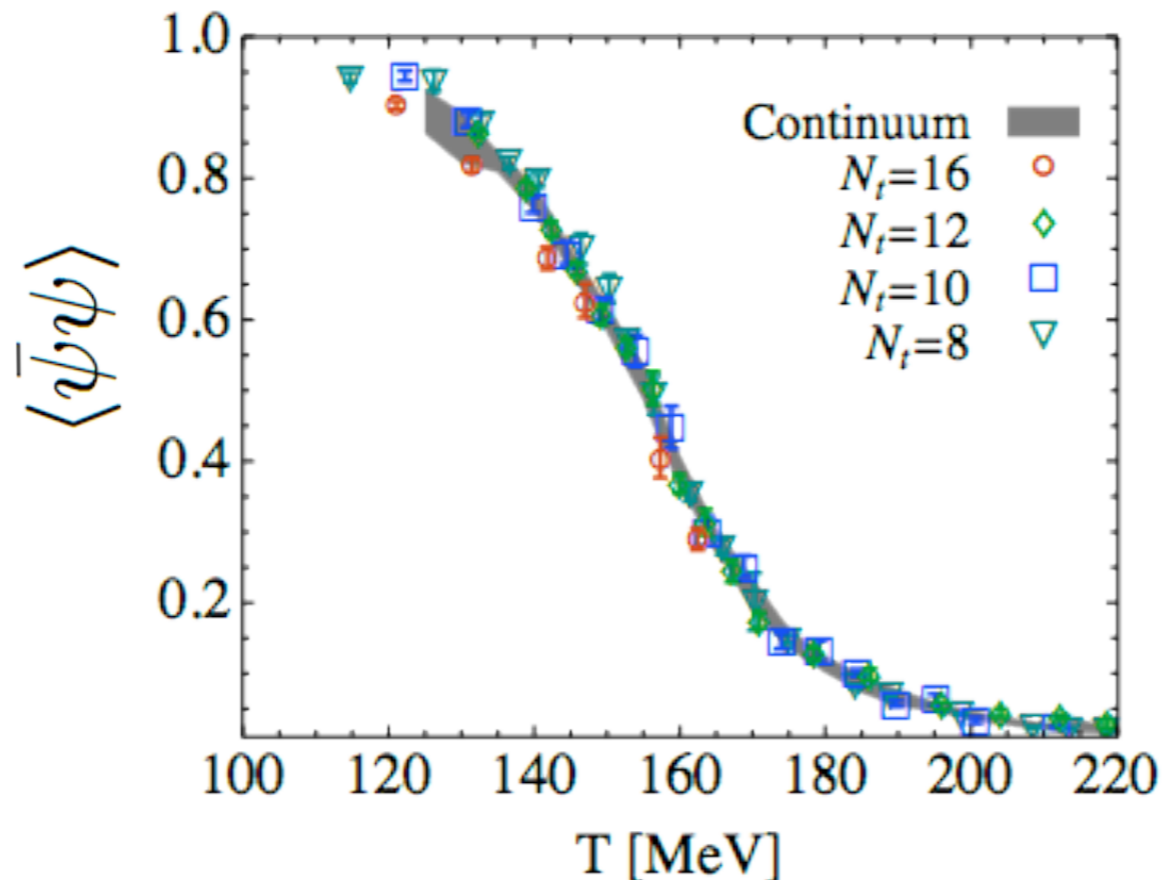
Chiral condensate: order parameter for chiral phase transition

Chiral condensate: chiral transition

* The chiral condensate $\langle\bar{\psi}\psi\rangle$ is the vacuum expectation value of the operator $\bar{\psi}\psi$

* The magnitude of the constituent quark mass is proportional to it

➔ Even if the “bare” quark mass in the QCD Lagrangian is small, they develop a constituent one, through interaction with the chiral condensate



For QCD with physical quark masses the transition is a smooth crossover

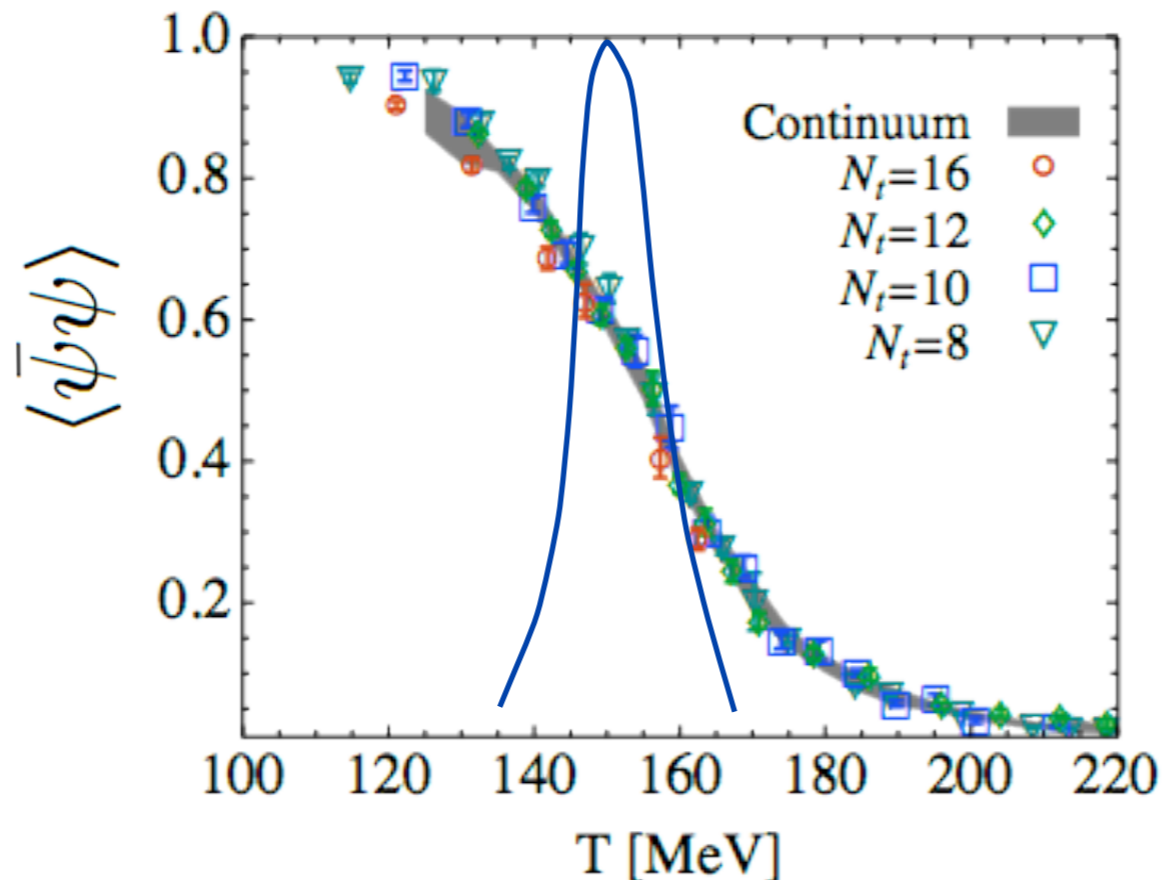
Chiral condensate: order parameter for chiral phase transition

Chiral condensate: chiral transition

* The chiral condensate $\langle\bar{\psi}\psi\rangle$ is the vacuum expectation value of the operator $\bar{\psi}\psi$

* The magnitude of the constituent quark mass is proportional to it

➔ Even if the “bare” quark mass in the QCD Lagrangian is small, they develop a constituent one, through interaction with the chiral condensate



For QCD with physical quark masses the transition is a smooth crossover

Chiral condensate: order parameter for chiral phase transition

Stefan-Boltzmann limit

- ◆ Simplest possible system: non-interacting gas of massless quarks and gluons
- ◆ we expect QCD thermodynamics to reach this limit at $T \rightarrow \infty$

$$n = \int \frac{d^3p}{(2\pi)^3} \frac{1}{e^{p/T} \pm 1} = \nu \frac{\zeta(3)}{\pi^2} T^3 \quad \nu = \begin{cases} 1 & \text{bosons} \\ \frac{3}{4} & \text{fermions} \end{cases}$$

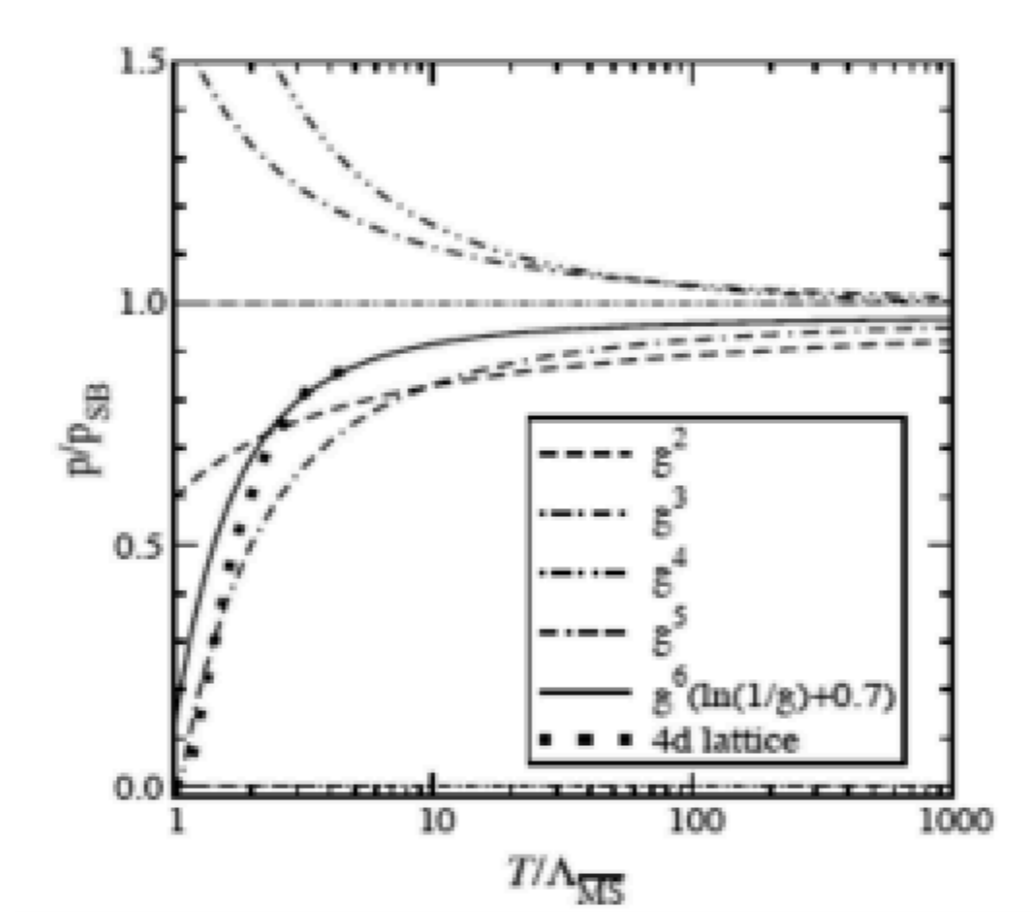
where $\zeta(3) = 1.202$ (Riemann ζ function)

$$\epsilon = \int \frac{d^3p}{(2\pi)^3} \frac{p}{e^{p/T} \pm 1} = \nu' \frac{\pi^2}{30} T^4 \quad \nu' = \begin{cases} 1 & \text{bosons} \\ \frac{7}{8} & \text{fermions} \end{cases}$$

pressure: $p = \frac{\epsilon}{3}$ entropy density: $Ts = \epsilon + P = \frac{4}{3}\epsilon \implies s = \frac{4}{3} \frac{\epsilon}{T} = 2\nu' \frac{\pi^2}{45} T^3$

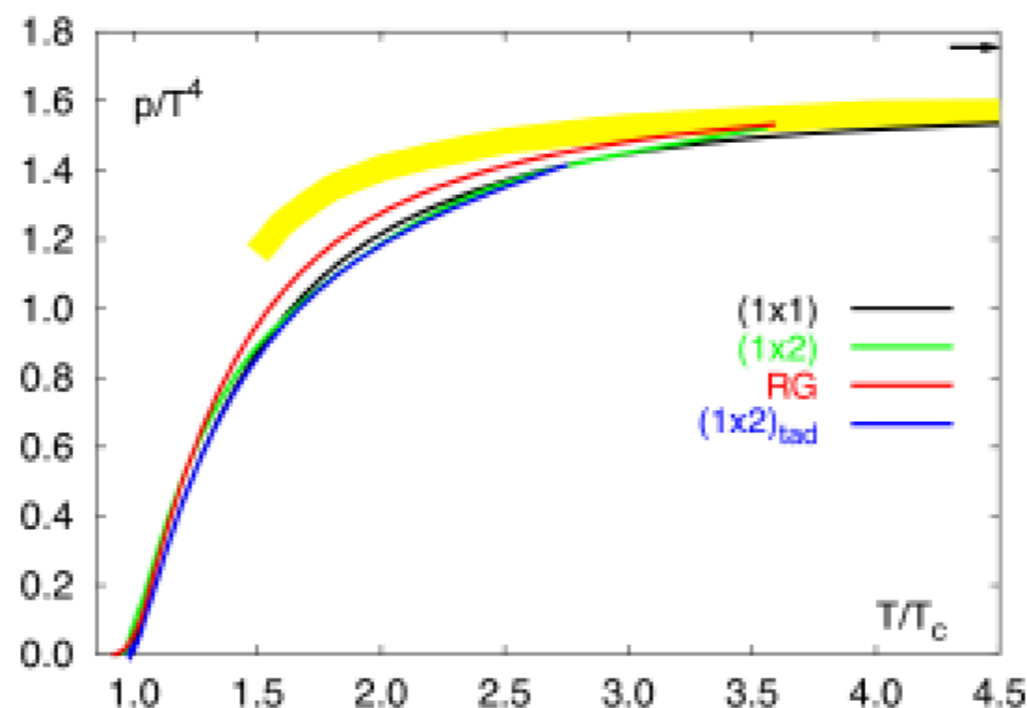
$\frac{n}{T^3}, \frac{p}{T^4}, \frac{s}{T^3}, \frac{\epsilon}{T^4}$ constant in SB limit!

Switch on interaction: perturbative QCD



- Much effort put into calculating the successive orders of the perturbative expansion for the pressure
- the series is known now up to order $g^6 \log g$
- perturbation theory makes sense only for **very small values** of the coupling constant
- For not too small values of the coupling, the successive terms in the expansion **oscillate**

Improve series convergence

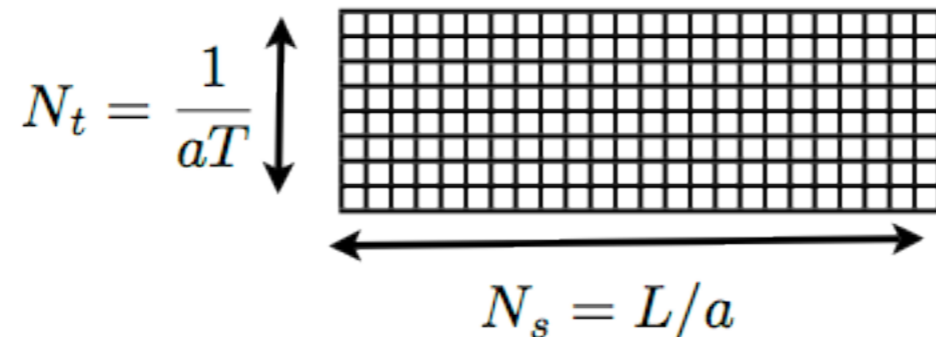


J.P. Blaizot, E. Iancu, A. Rebhan, PLB470

- ◆ One can improve the convergence of the series by some clever resummation
- ◆ Hard Thermal Loop: Quark and Gluon propagators are **dressed** by some effective mass
- ◆ this improves the series convergence and the agreement to lattice data down to $T \sim 3T_c$

Lattice QCD

- * Analytic or perturbative solutions in low-energy QCD are hard or impossible due to the highly nonlinear nature of the strong force
- * Lattice QCD: well-established non-perturbative approach to solving QCD
- * Solving QCD on a grid of points in space and time
- * The lattice action is the parameterization used to discretize the Lagrangian of QCD on a space-time grid



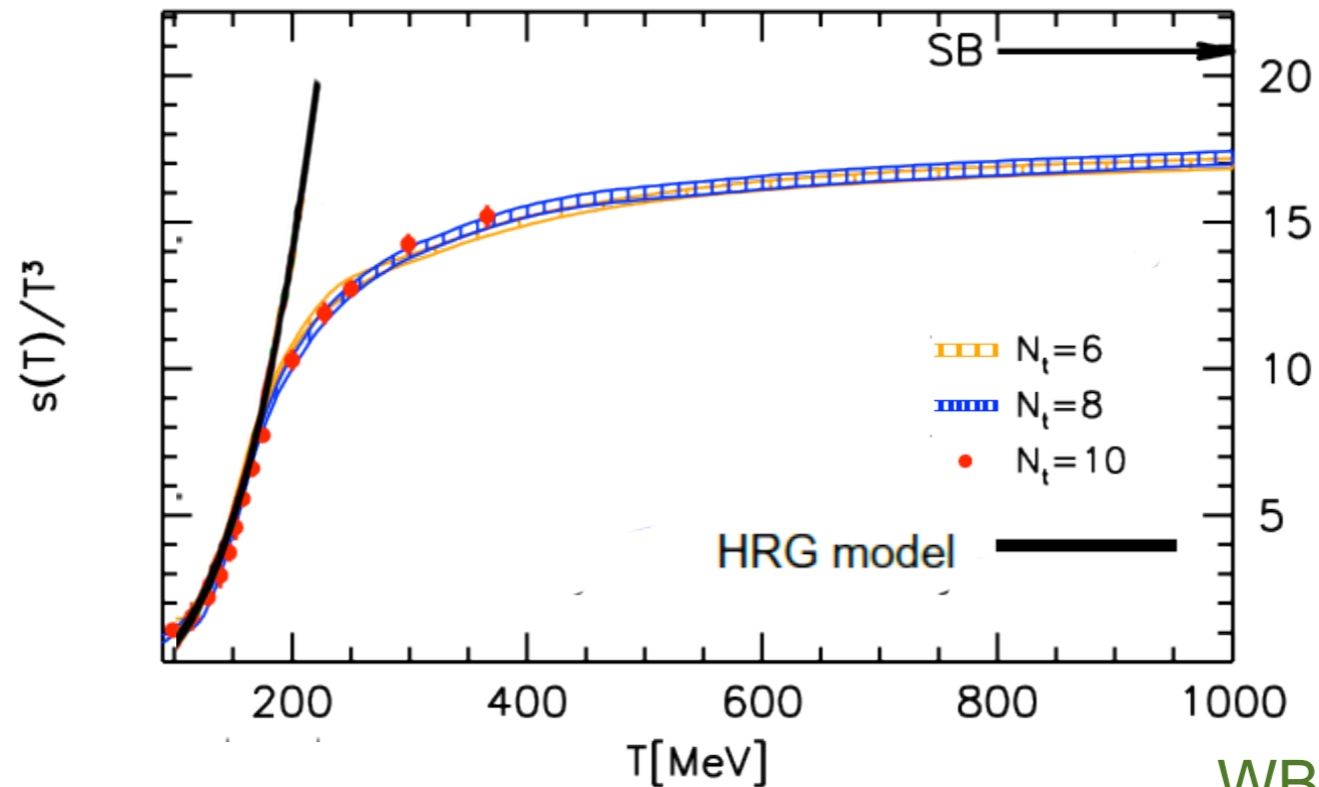
- * From the partition function Z , knowledge of all the thermodynamics

$$Z_W = \text{Tr} \exp(-H/T) = \int [dU][d\psi d\bar{\psi}] \exp(-S) \quad S = S_G + S_F$$

$$S_G = \frac{6}{g^2} \sum_{x, \mu < \nu} [1 - \text{Re Tr } U_P(x; \mu, \nu)/3]$$

$$S_F = \sum_x \bar{\psi}(x)\psi(x) - \kappa \sum_{x, \mu} [\bar{\psi}(x)(1 + \gamma_\mu)U_\mu(x)\psi(x + \hat{\mu}) + \bar{\psi}(x + \hat{\mu})(1 - \gamma_\mu)U_\mu^\dagger(x)\psi(x)]$$

Transition from QCD Thermodynamics



WB Collab., JHEP (2010)

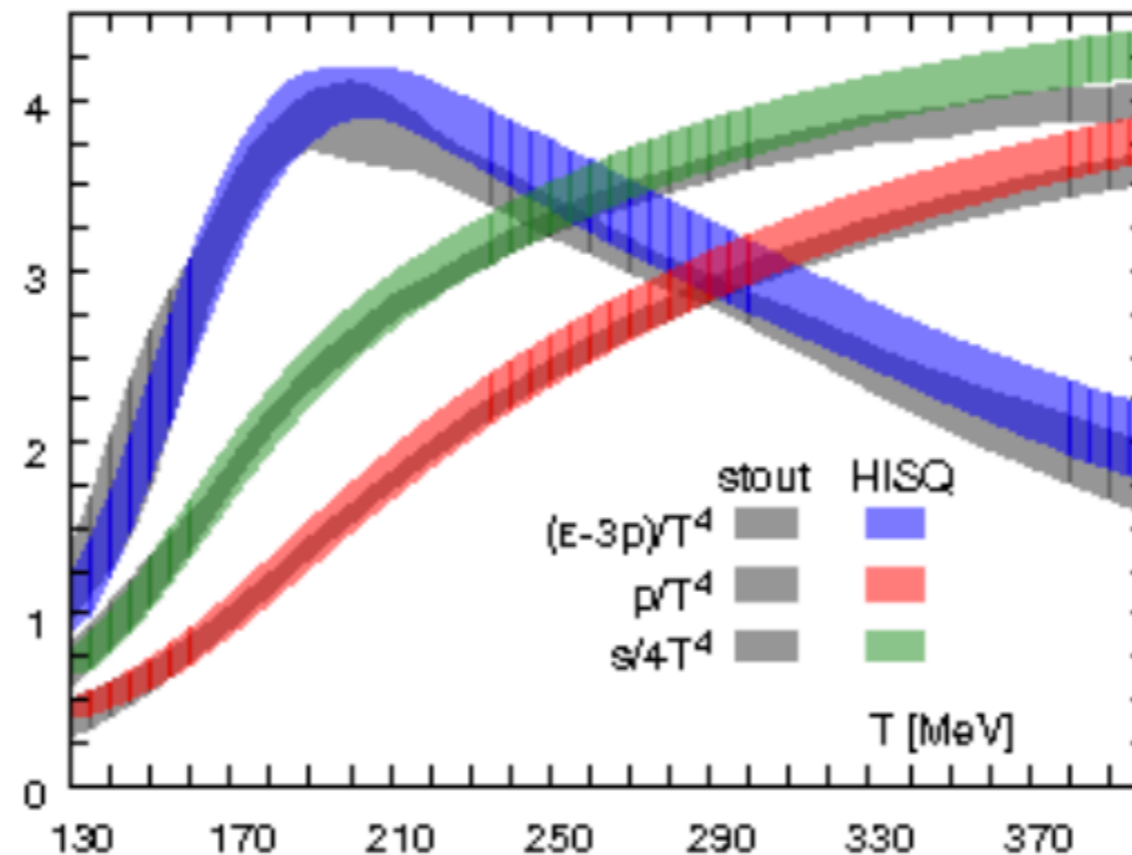
- * s/T^3 indicates the number of particle species
- * Rapid rise = liberation of degrees of freedom
- * Compare to an ideal gas of quarks and gluons

$$s = \frac{4g}{\pi^2} T^3$$

- * This gives us an idea of how strong the interaction is

Equation of state for $N_f=2+1$

Two independent and compatible results for the $\mu = 0$ and $N_f = 2 + 1$

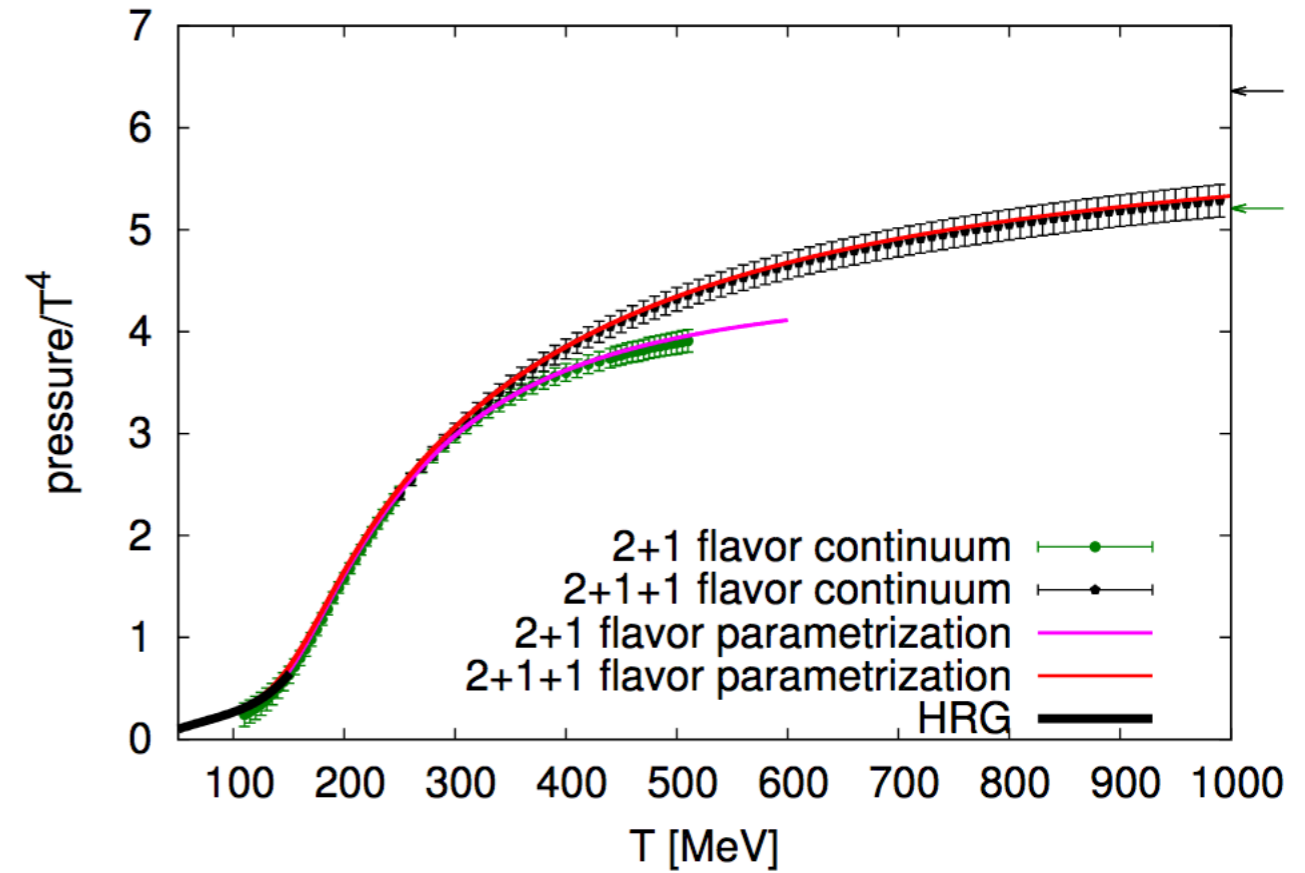
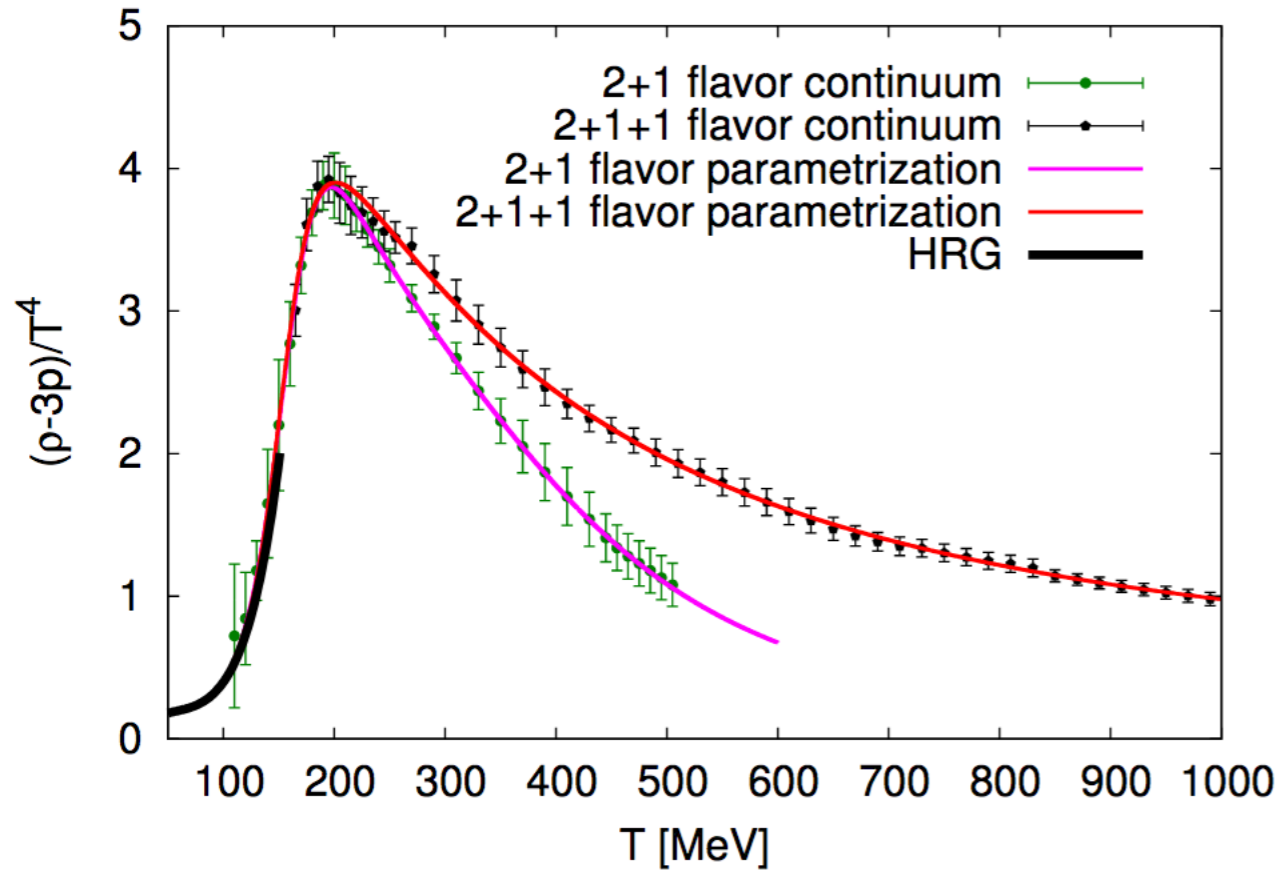


WB: S. Borsanyi et al., 1309.5258, PLB (2014)
HotQCD: A. Bazavov et al., 1407.6387, PRD (2014)

This is nice, but...

- (1) Heavy ion physics: needs $\mu > 0$
- (2) Cosmology: needs higher temperature, therefore more quark flavours

Equation of state with dynamical charm



WB (S. Borsanyi et al.), Nature 2016

QCD in the grand canonical ensemble

Grand canonical partition function:

$$e^{-F/T} = \mathcal{Z}(T; \mu_u, \mu_d, \mu_s) = \text{Tr} \left(e^{-\beta(H - \mu_u N_u - \mu_d N_d - \mu_s N_s)} \right)$$

\implies 4D phase diagram.

$$\text{Quark number density} \quad \langle n_q \rangle = \frac{T}{V} \frac{\partial \log \mathcal{Z}}{\partial \mu_q}$$

$$\text{Baryon number density} \quad \langle n_B \rangle = \frac{1}{3} (\langle n_u \rangle + \langle n_d \rangle + \langle n_s \rangle)$$

$$\text{Isospin density} \quad \langle n_I \rangle = \frac{1}{2} (\langle n_u \rangle - \langle n_d \rangle)$$

$$\text{Electric charge} \quad \langle n_Q \rangle = \frac{2}{3} \langle n_u \rangle - \frac{1}{3} \langle n_d \rangle - \frac{1}{3} \langle n_s \rangle$$

Both for heavy ion physics and neutron star physics, we need:

- $\langle n_I \rangle < 0$ not a problem
 - $\langle n_B \rangle > 0$ complex action problem
- } I will shortly review why

Euclidean path integral

Start with a grand canonical partition function: $Z = \text{Tr} \left(e^{-(H-\mu N)/T} \right)$
Notation: $H - \mu N \rightarrow H$ for simplicity

The partition function $Z = \text{Tr} \left(e^{-H/T} \right)$ written as a path integral:

$$Z = \sum_{c_1, c_2, \dots} \langle c_1 | e^{-aH} | c_2 \rangle \langle c_2 | e^{-aH} | c_3 \rangle \dots \langle c_{n-1} | e^{-aH} | c_1 \rangle =: \sum_{[c]} w[c]$$

- Maps the quantum system to a classical system, with configurations c
- $w(c)$ = weight of a configuration c
- $w(c) \geq 0 \implies$ can use Monte Carlo
- $w(c)$ can be negative or complex \implies sign or complex action problem
- Sign problem property of the system **AND** the basis we used

Euclidean path integral for QCD

$$\begin{aligned} \mathcal{Z} &= \text{Tr} \left(e^{-\beta(\hat{H} - \mu_u \hat{N}_u - \mu_d \hat{N}_d - \mu_s \hat{N}_s)} \right) \\ &= \int \mathcal{D}U \mathcal{D}\bar{\Psi} \mathcal{D}\Psi e^{-S_{YM} - (\bar{\Psi} M \Psi)} \\ &= \int \mathcal{D}U e^{-S_{YM}[U]} \det M[U; m_u, \mu_u] \det M[U; m_d, \mu_d] \end{aligned}$$

Fermion determinant

If positive, we can treat $w := e^{-S_{YM}} \det M \det M$ to be a probability distribution, we can generate configurations of the gauge field with these probabilities and calculate observable on these configurations

The complex action problem at finite μ

$$[\det M(\mu)]^* = \det M(-\mu^*) \in \mathbb{C}$$

$w := e^{-S_{YM}} \det M \det M$ complex \implies cannot use importance sampling

Cases without a complex action/sign problem:

- $\mu = 0$ Calculate derivatives of $\log \mathcal{Z}$ at $\mu = 0$
- Purely imaginary μ
- Isospin chemical potential $\mu_u = -\mu_d \implies$ Study pion condensation.
- The Dirac operator can have an additional symmetry, e.g. $SU(2)$ gauge theory with $N_f = \text{even}$

Taylor expansion of the pressure

Suppose we either:

- fix $\mu_S = 0 = \mu_Q$ for simplicity
- fix $\langle S \rangle = 0$ and $\langle Q \rangle = 0.4 \langle B \rangle$ for HIC

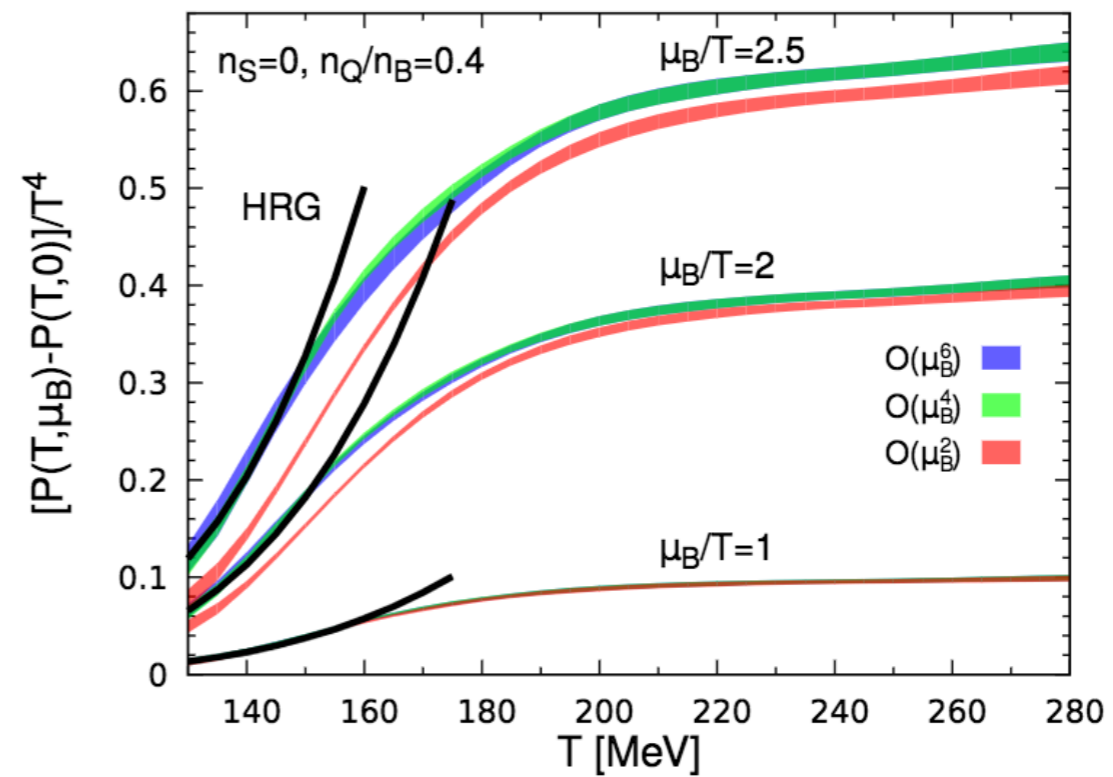
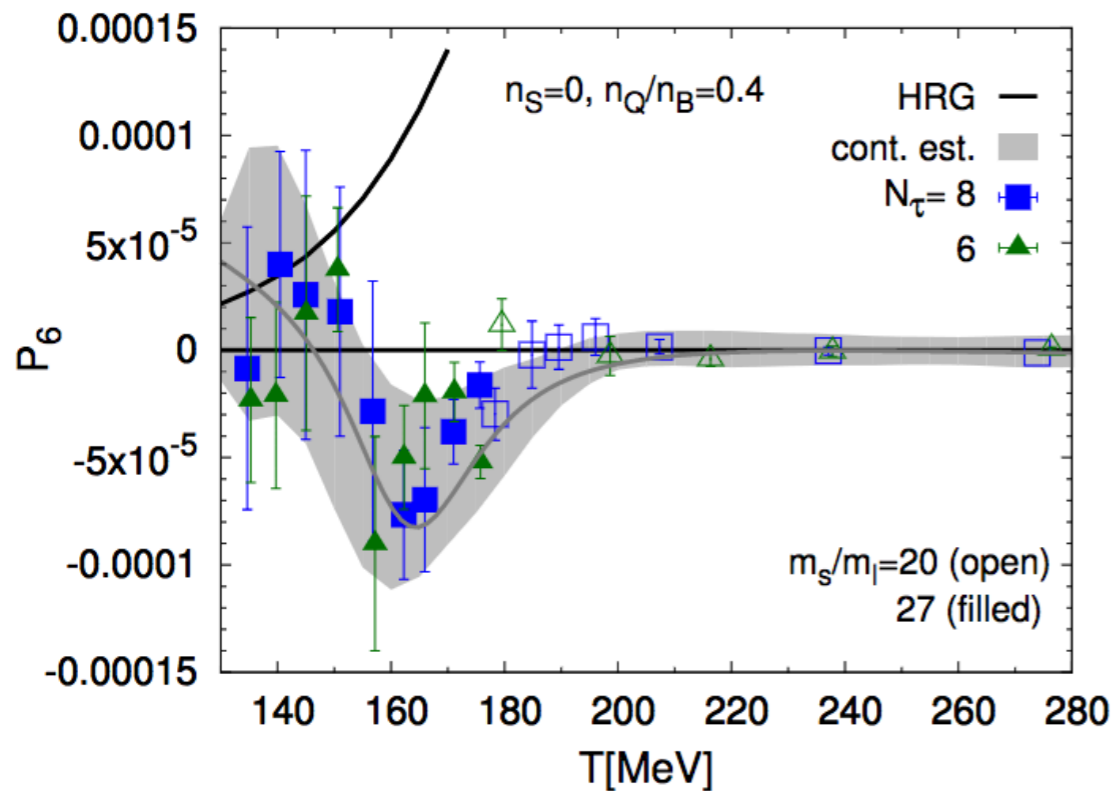
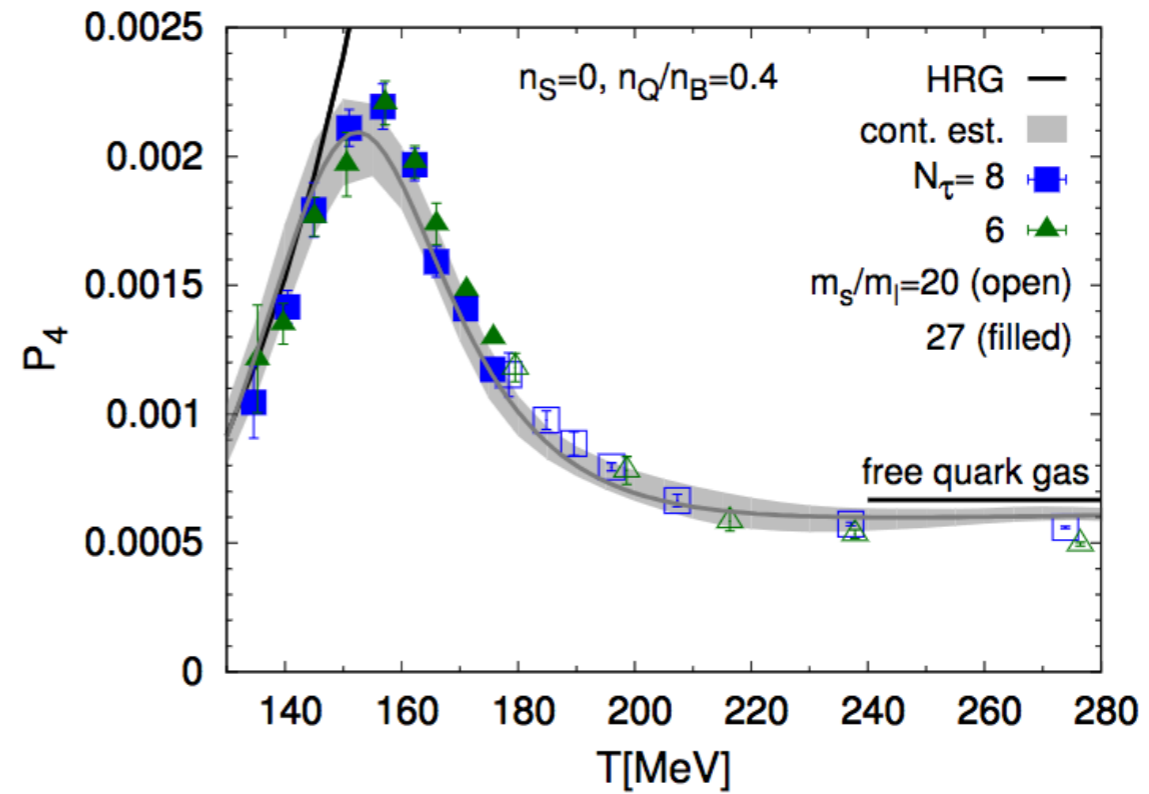
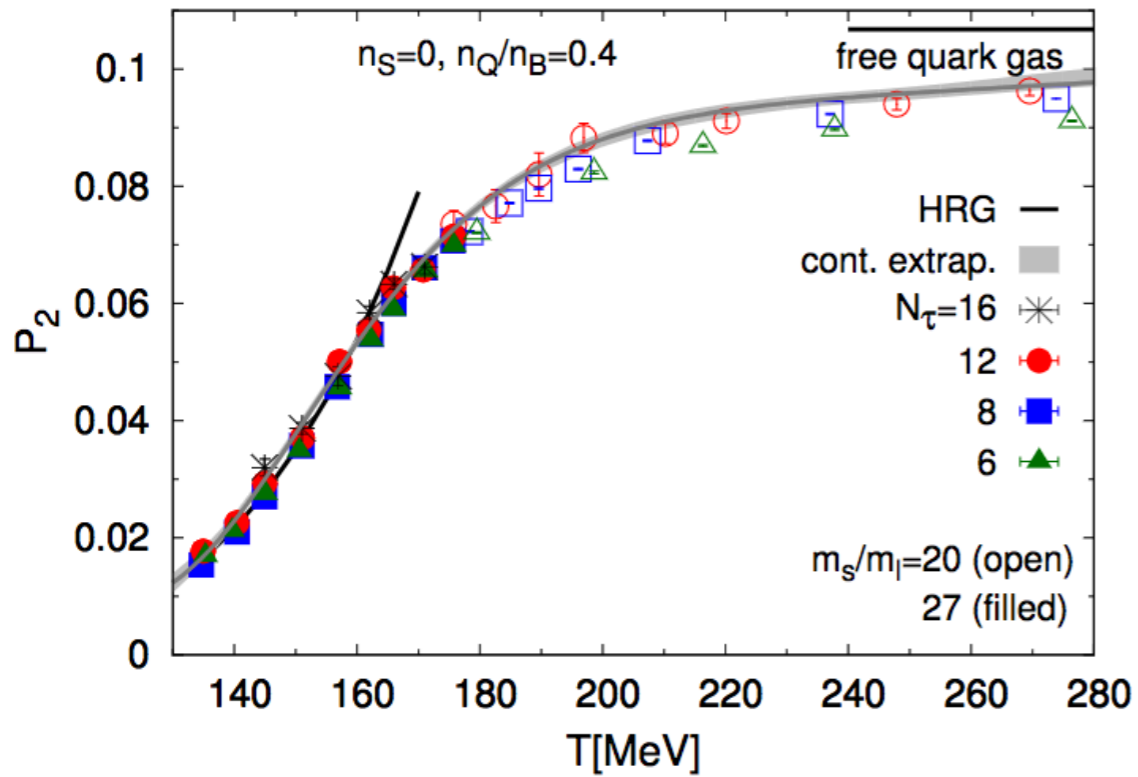
The pressure is now:

$$\frac{P}{T^4} = P(T, \mu = 0) + \sum_{k=1}^{\infty} c_{2k} \left(\frac{\mu_B}{T} \right)^{2k}$$

Alternatively, I can fix nothing and calculate the 3 variable Taylor expansion. The coefficients contain lots of info:

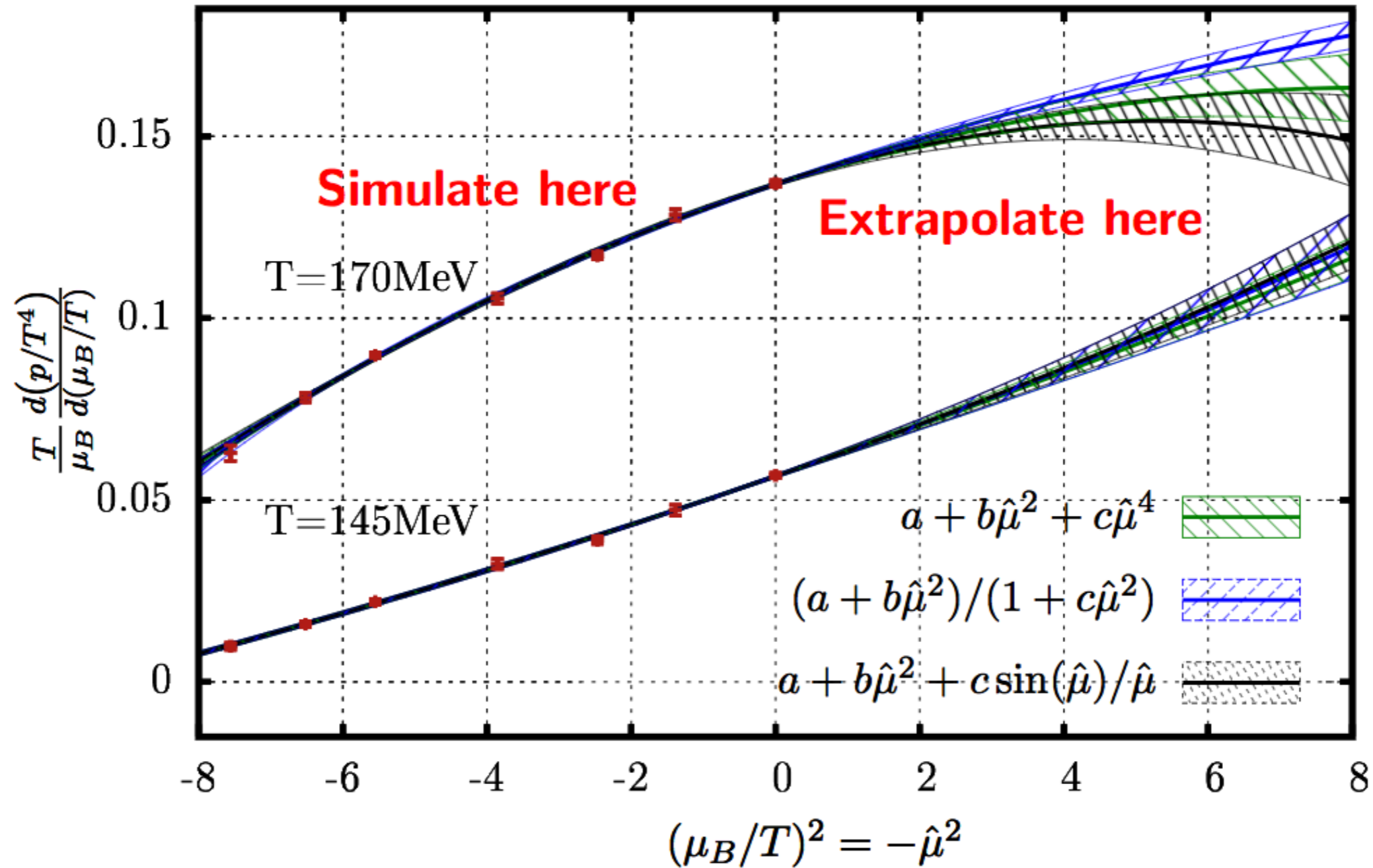
- $T_c(\mu)$
- EoS
- Lower limit on location of critical point
- ...

Taylor expansion of the pressure

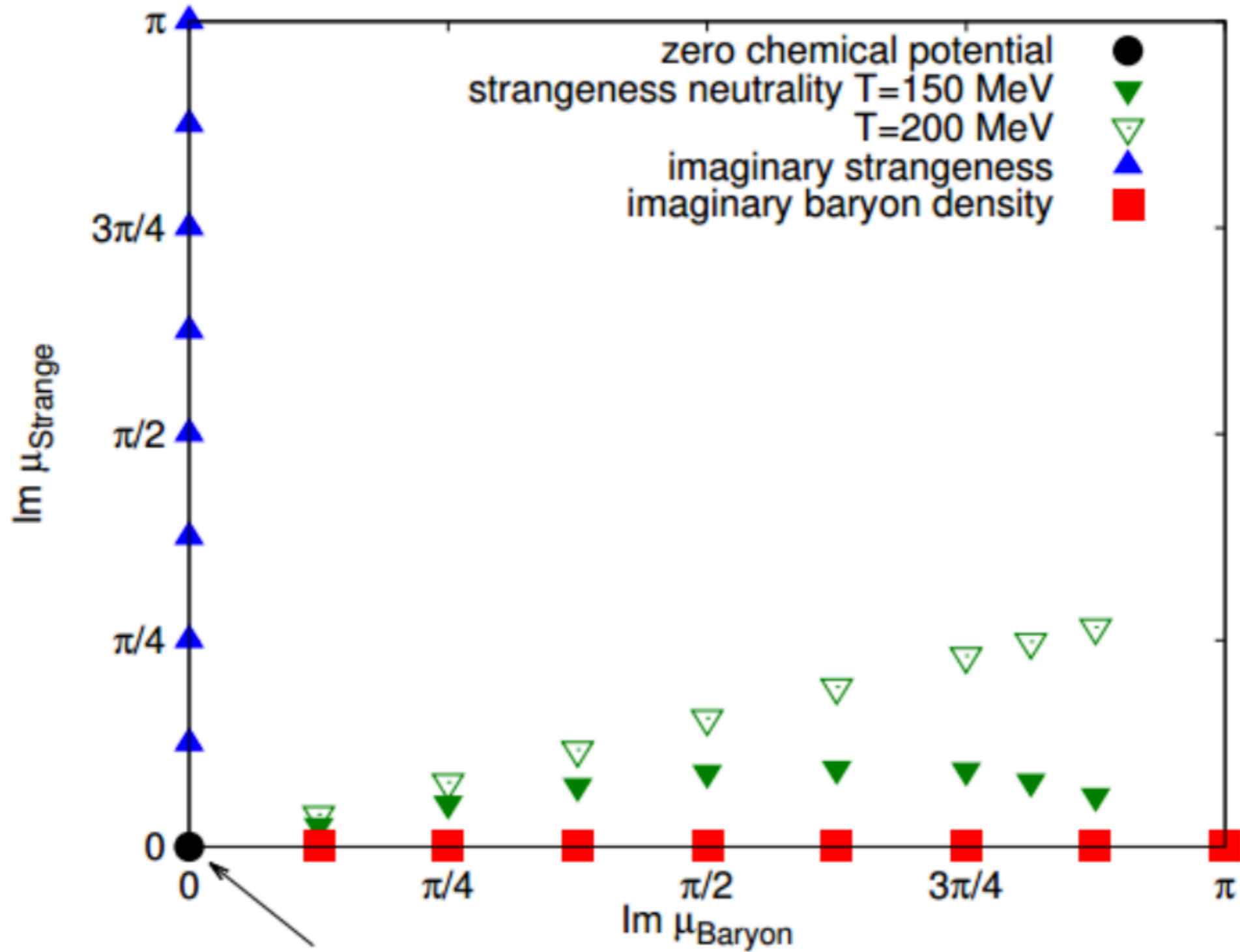


Analytical continuation

Analytical continuation on $N_t = 12$ raw data



Simulation landscape

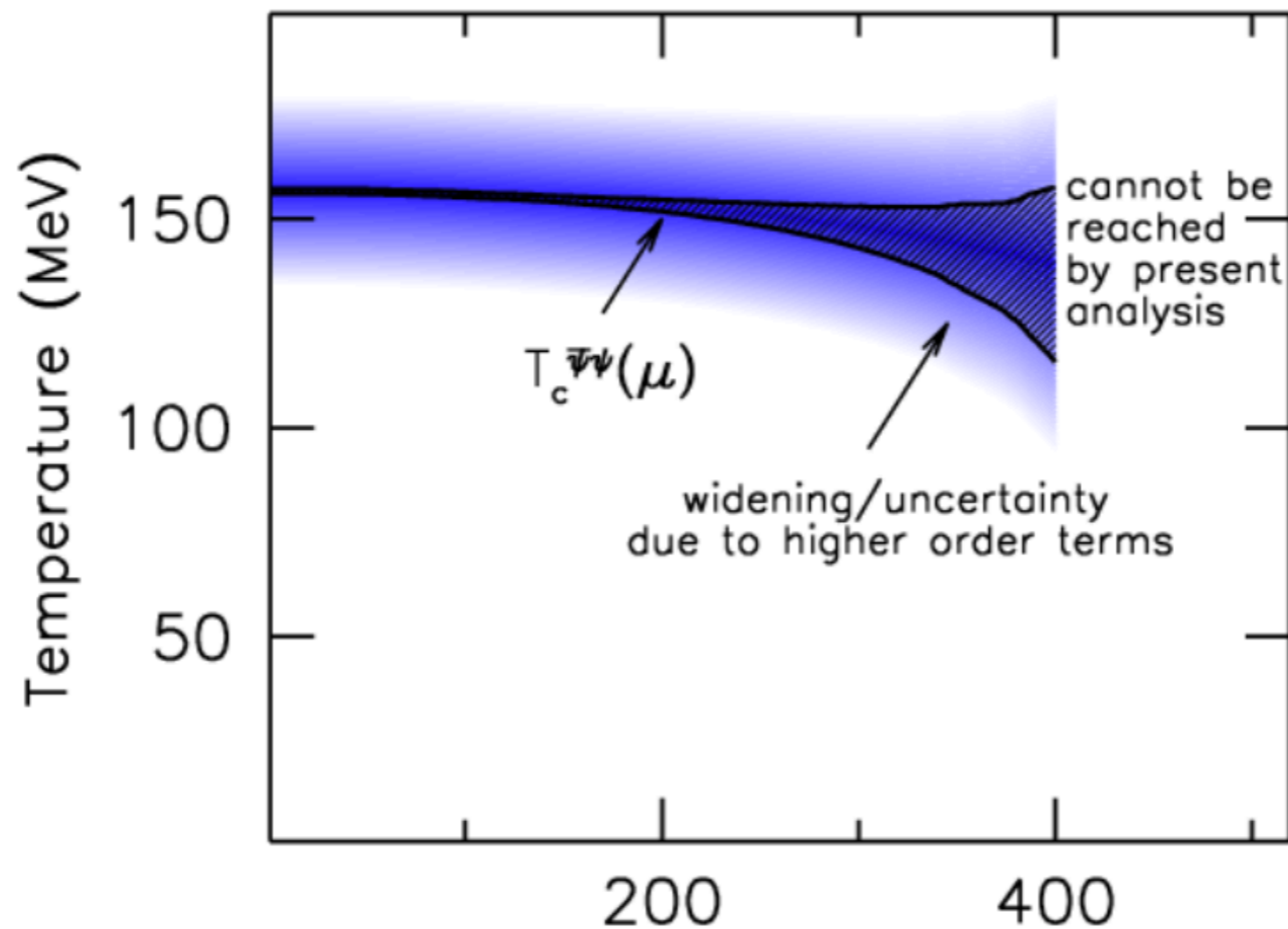


The BNL-Bielefeld-CCNU effort focuses to this point

The crossover line from analytical continuation

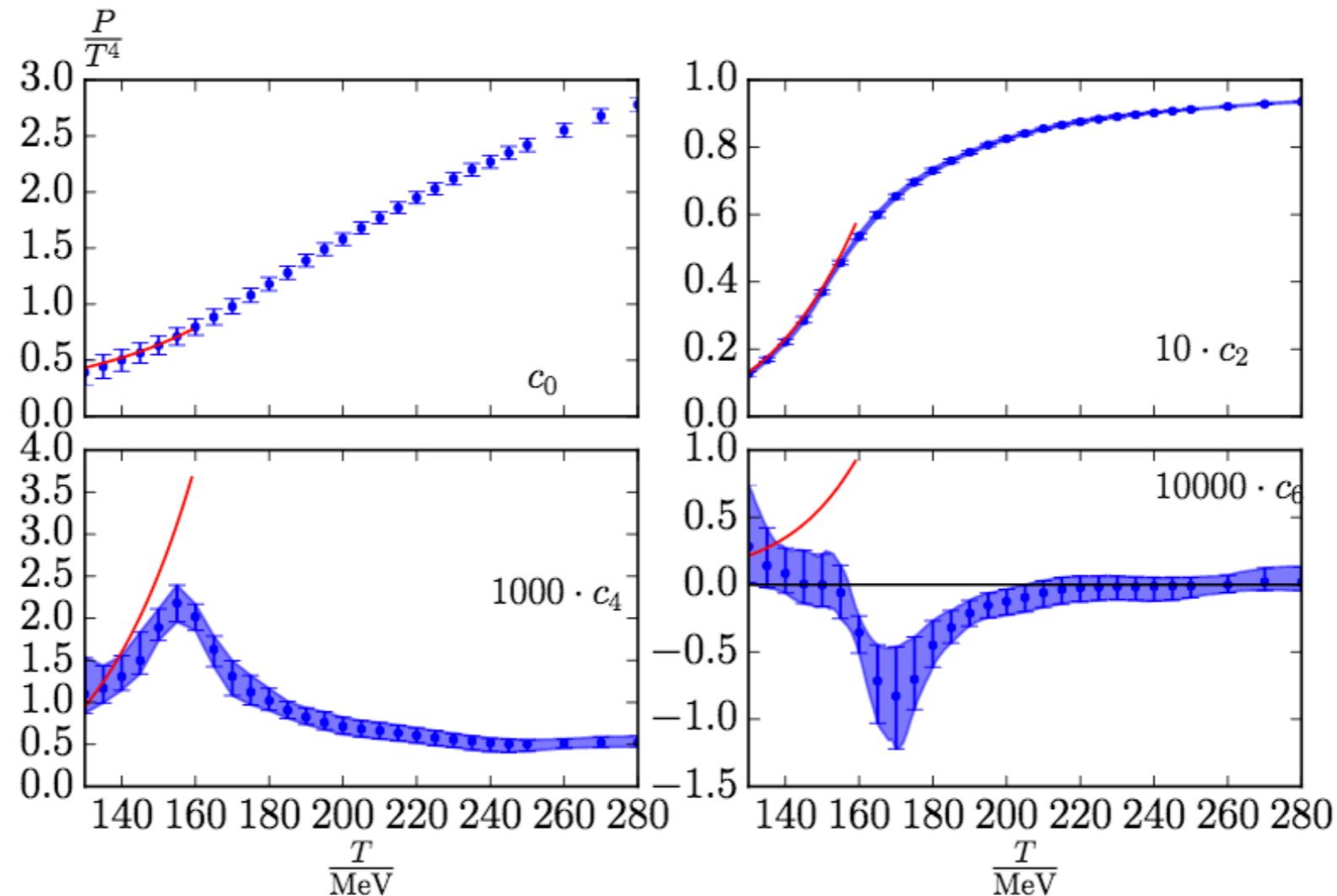
$$\frac{T_c(\mu_B)}{T_c(0)} = 1 - \kappa (\mu_B/T_c(\mu_B))^2 \quad \kappa = 0.0149(21)$$

WB: hep-lat/1507.07510 μ_S and μ_Q from $\langle S \rangle = 0$ and $\langle Q \rangle = 0.5 \langle B \rangle$



Equation of state from analytical continuation

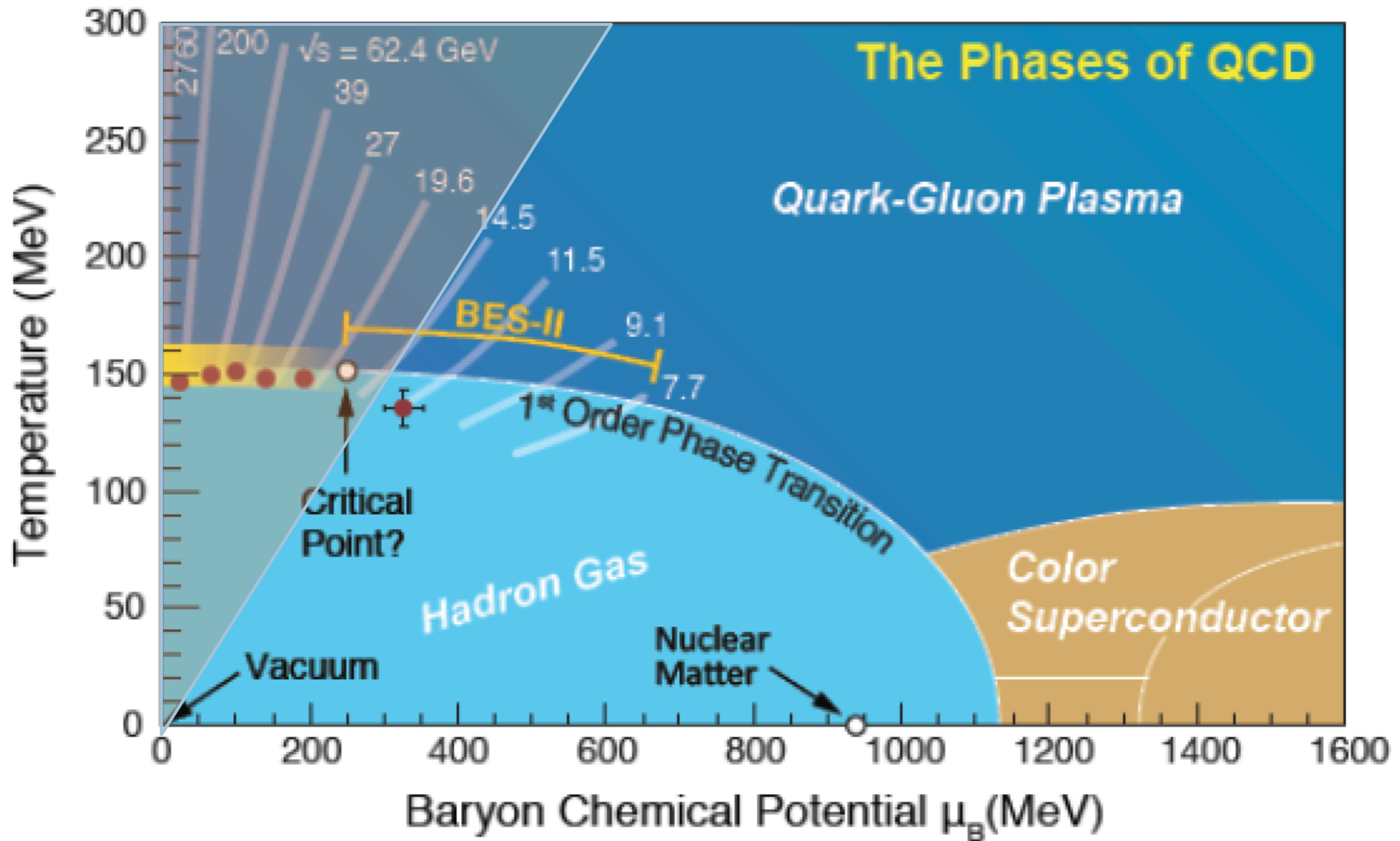
WB: hep-lat/1507.07510 μ_S and μ_Q from $\langle S \rangle = 0$ and $\langle Q \rangle = 0.4 \langle B \rangle$



It appears Taylor expansion is under control for $\mu_B/T \leq 2$. This is not so bad. It means it can be used for RHIC energies:

$$\sqrt{s} = 200, 62.4, 39, 27, 19.6, 14.5 \text{ GeV}$$

Equation of state from analytical continuation



What happens below T_c ?

* At low T and $\mu_B=0$, QCD thermodynamics is dominated by **pions**

* as T increases, heavier hadrons start to contribute

* Their mutual interaction is suppressed:

$$n_i n_k \sim \exp[-(M_i + M_k)/T]$$

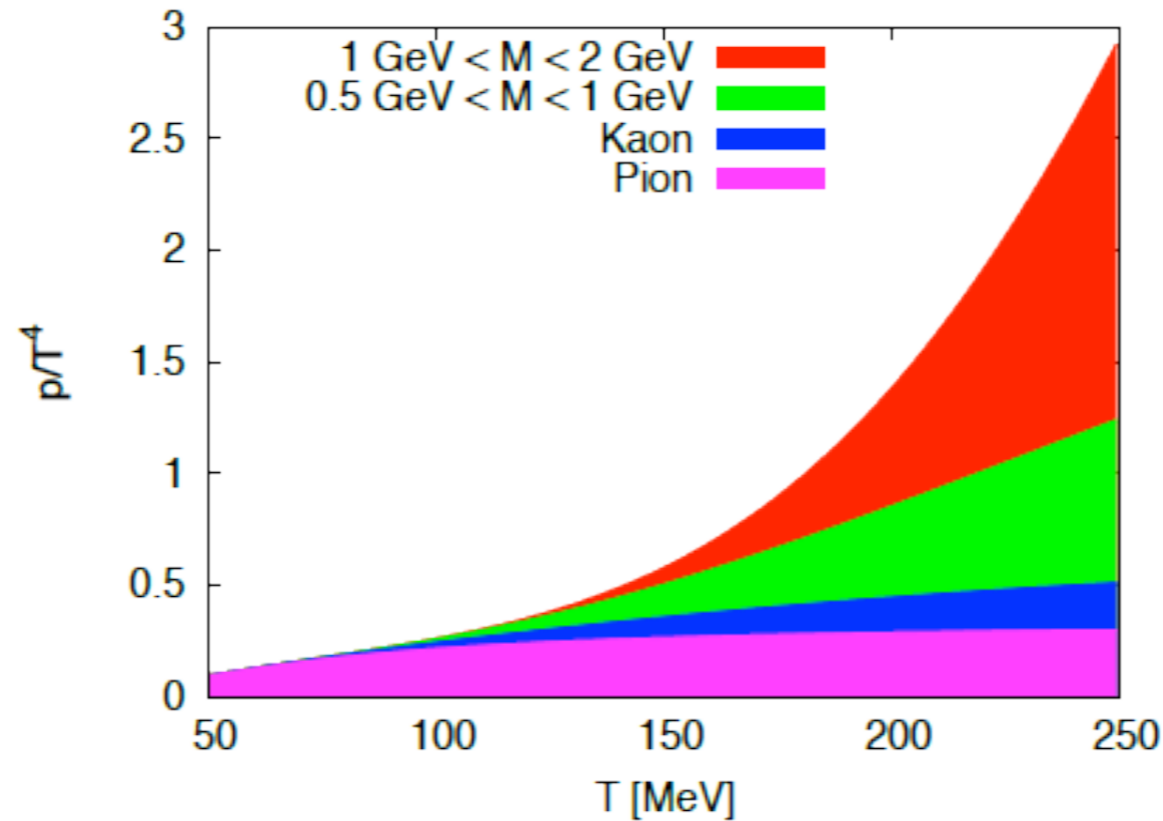
* **Interacting** hadronic matter in the ground state can be well approximated by a **non-interacting** gas of **hadronic resonances**

$$p^{HRG}/T^4 = \frac{1}{VT^3} \sum_{i \in \text{mesons}} \ln Z_{m_i}^M(T, V, \mu_{X^a}) + \frac{1}{VT^3} \sum_{i \in \text{baryons}} \ln Z_{m_i}^B(T, V, \mu_{X^a}),$$

with $\ln Z_{m_i}^{M/B} = \mp \frac{V d_i}{2\pi^2} \int_0^\infty dk k^2 \ln(1 \mp z_i e^{-\varepsilon_i/T})$ $\varepsilon_i = \sqrt{k^2 + m_i^2}$

$z_i = \exp\left(\frac{\sum_a X_i^a \mu_{X^a}}{T}\right)$ and X^a are all conserved charges.

How many resonances do we include?

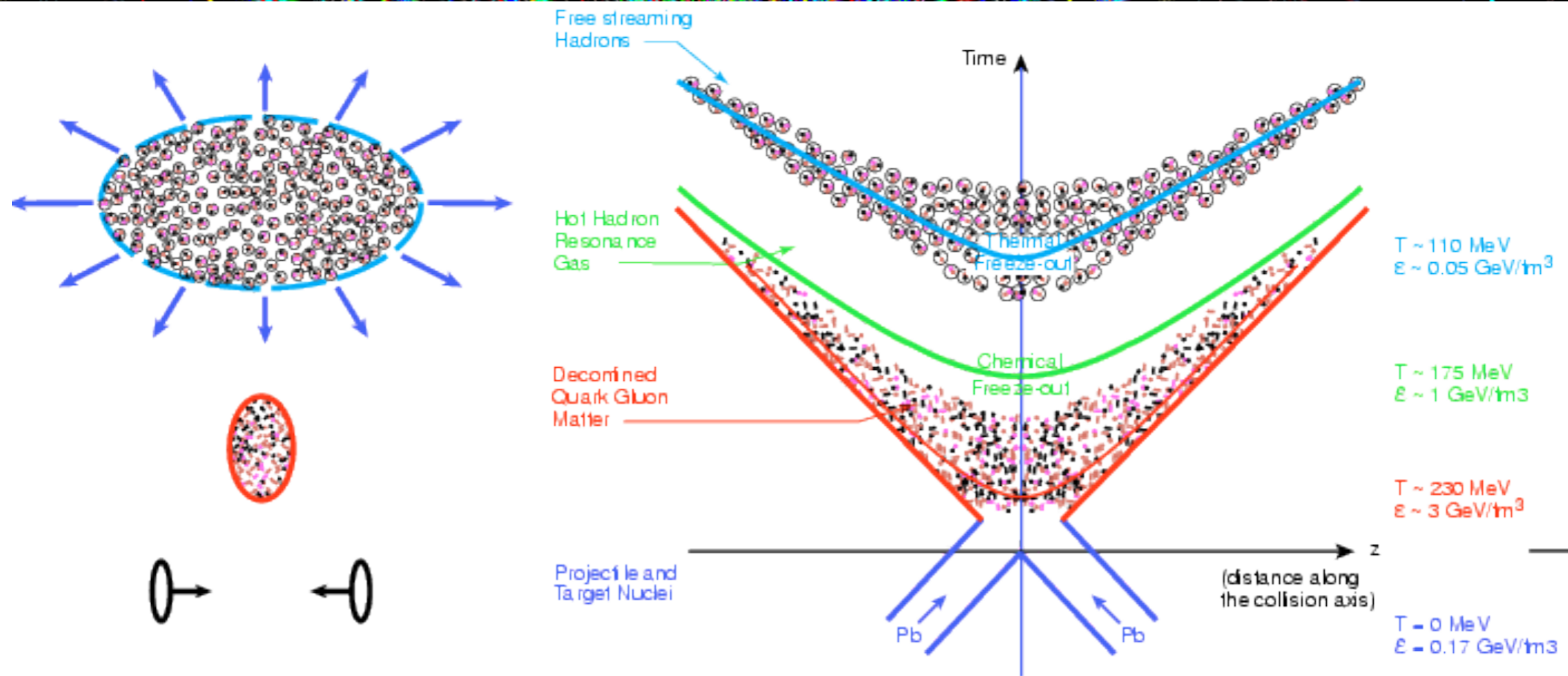


* With different mass cut-offs we can separate the contribution of different particles

* Known resonances up to $M=2.5 \text{ GeV}$

* ~ 170 different masses \longleftrightarrow **1500 resonances**

Evolution of a heavy-ion collision

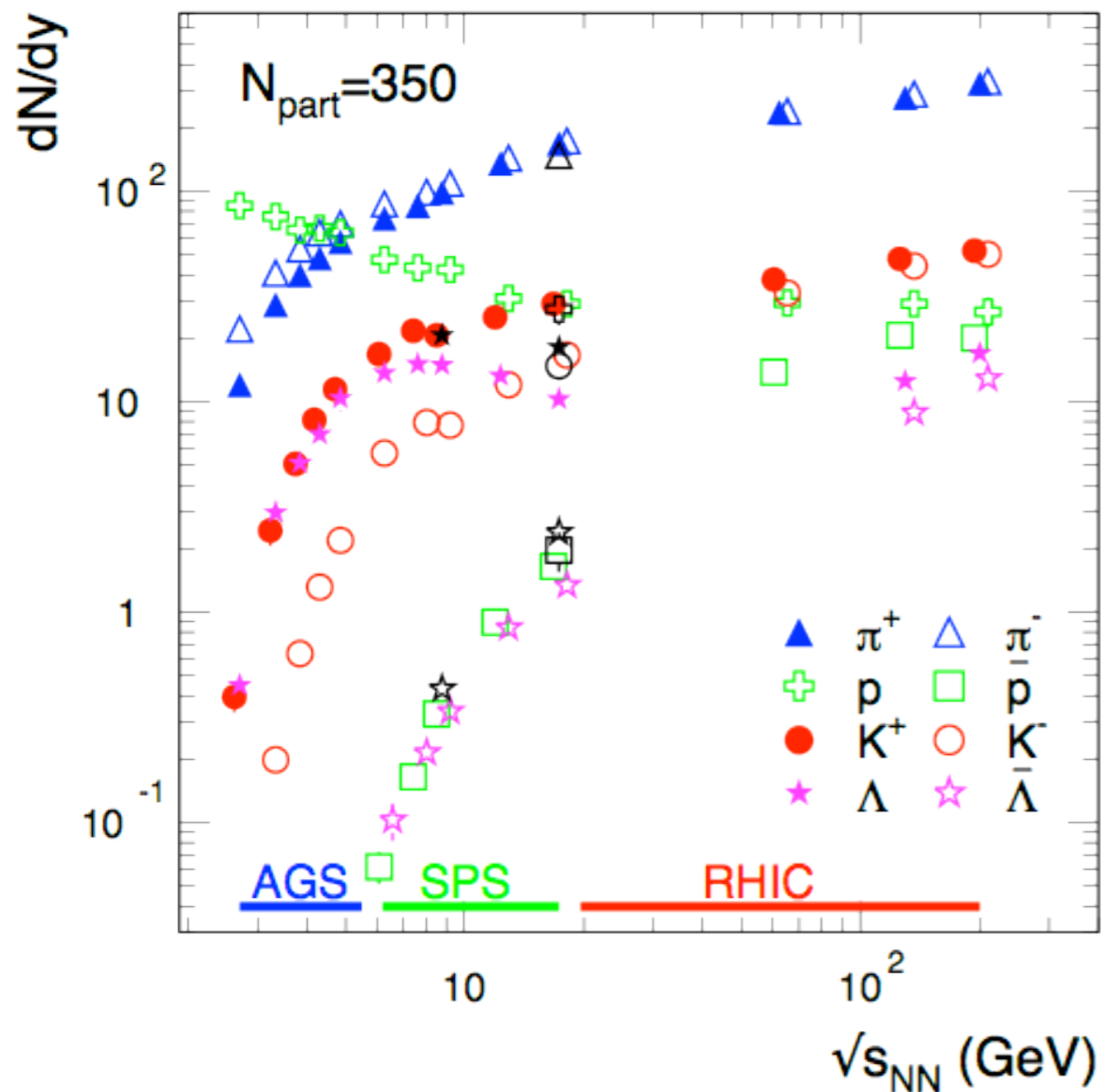


* **Chemical freeze-out:** inelastic reactions cease: the chemical composition of the system is fixed (particle yields and fluctuations)

* **Kinetic freeze-out:** elastic reactions cease: spectra and correlations are frozen (free streaming of hadrons)

* Hadrons reach the detector

Hadron yields



* $E=mc^2$: lots of particles are created

* Particle counting (average over many events)

* Take into account:

* detector inefficiency

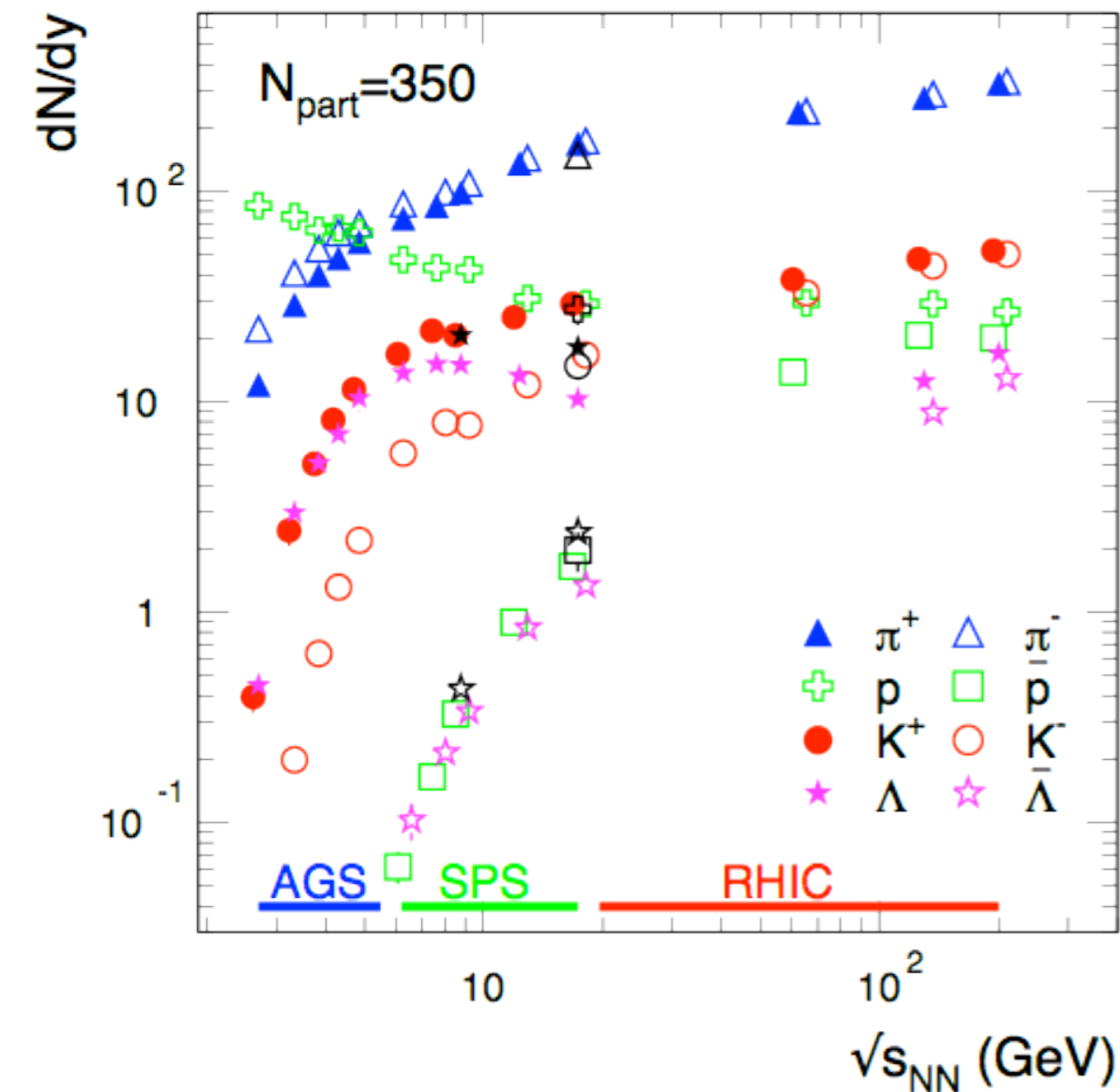
* missing particles at low p_T

* decays

* HRG model: test hypothesis of hadron abundancies in equilibrium

$$N_i = -T \frac{\partial \ln Z_i}{\partial \mu} = \frac{g_i V}{2\pi^2} \int_0^\infty \frac{p^2 dp}{\exp[(E_i - \mu_i)/T] \pm 1}$$

Hadron yields



* $E=mc^2$: lots of particles are created

* Particle counting (average over many events)

* Take into account:

* detector inefficiency

* missing particles at low p_T

* decays

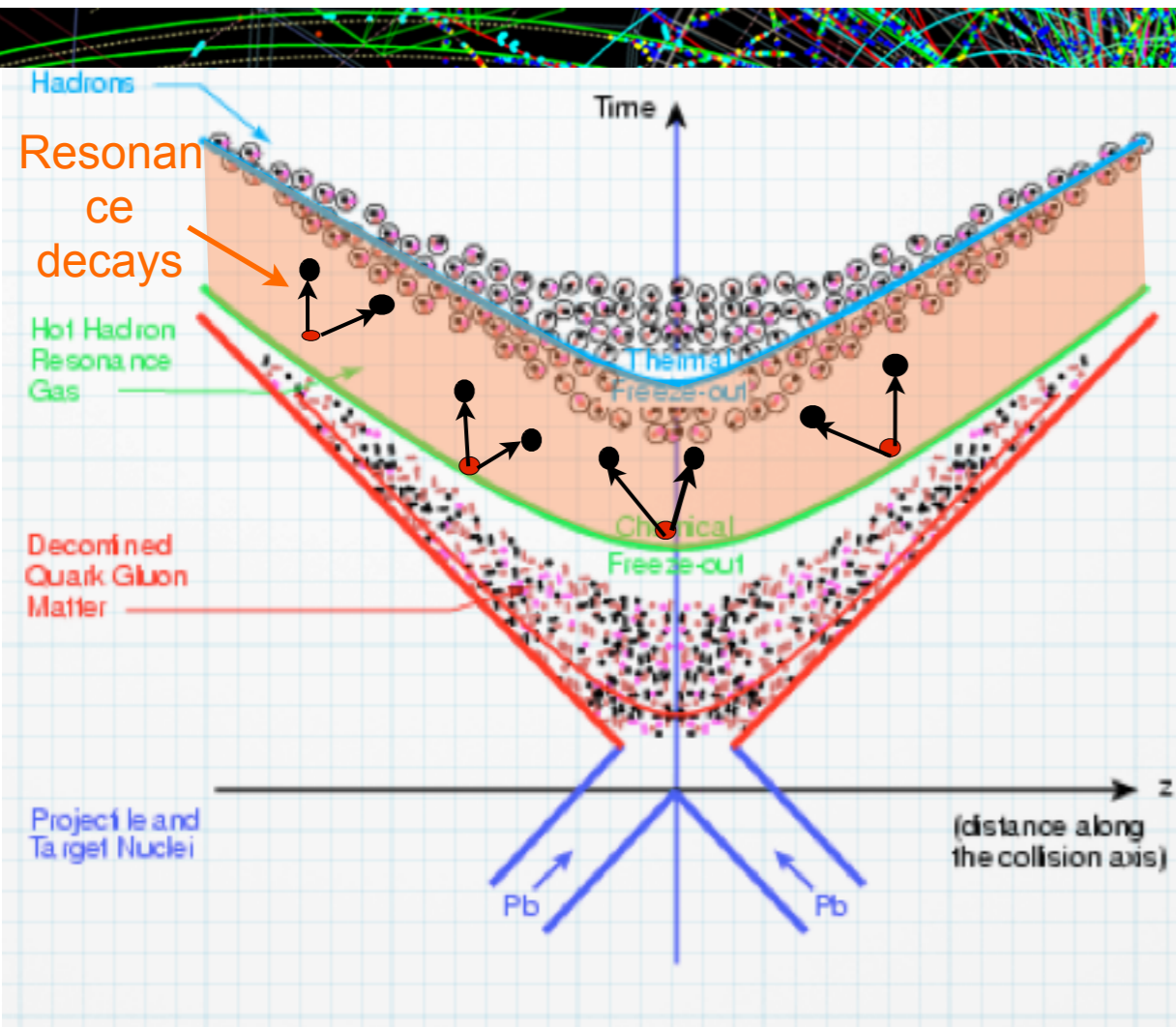
* HRG model: test hypothesis of hadron abundancies in equilibrium

* We need:

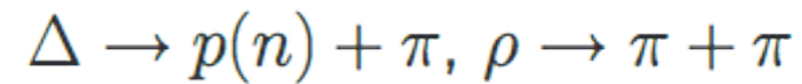
* a complete hadron spectrum

* control the hadron fraction from decays

Decays

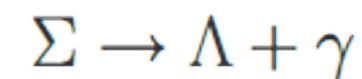


- * Most hadrons are subject to **strong and electromagnetic decays**

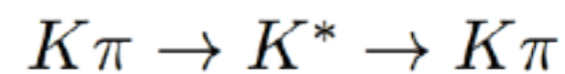
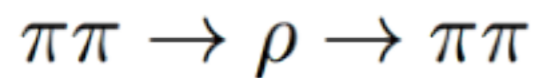


- * e.g. pions: **1/4** primordial, **3/4** from strong decays

- * Weak decays can be treated too:

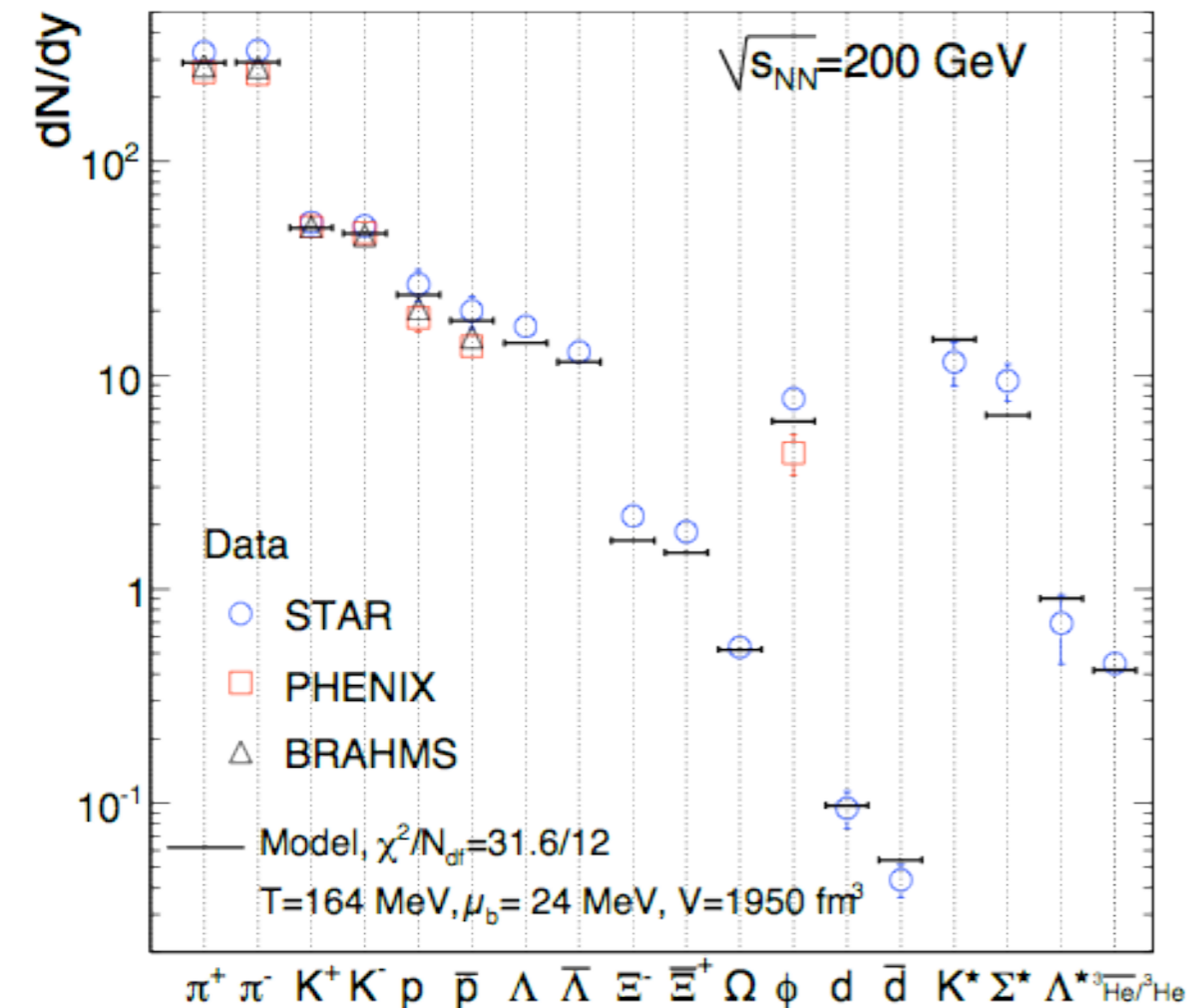


- * **after chemical freeze-out:** only elastic and quasi-elastic scatterings take place:



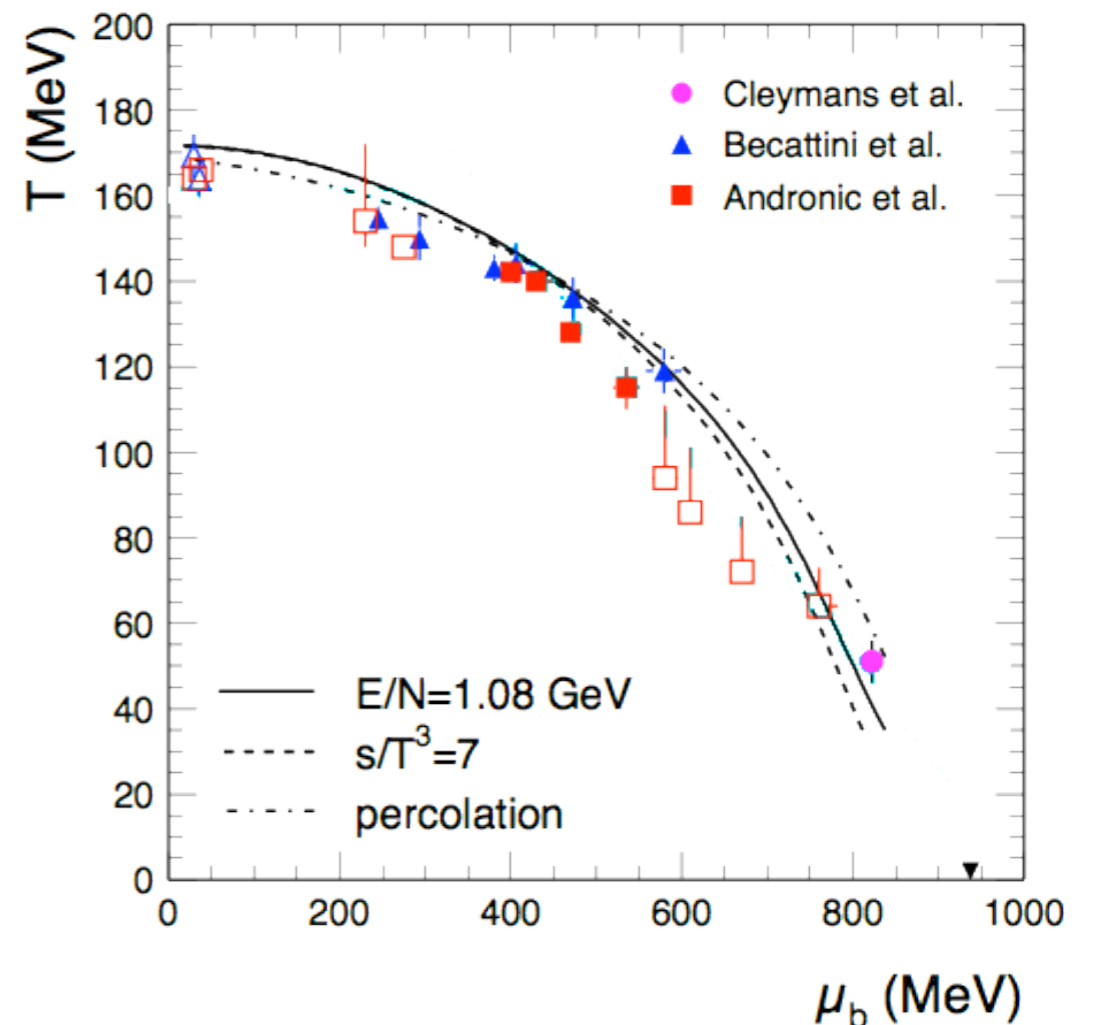
$$\bar{N}_i = N_i + \sum_r d_{r \rightarrow i} N_r$$

The thermal fits



- * Fit is performed minimizing the χ^2
- * **Fit to yields:** parameters T, μ_B, V
- * **Fit to ratios:** the volume V cancels out

* Changing the collision energy, it is possible to draw the freeze-out line in the T, μ_B plane



Cleymans et al, Becattini et al, Andronic et al.

Caveats

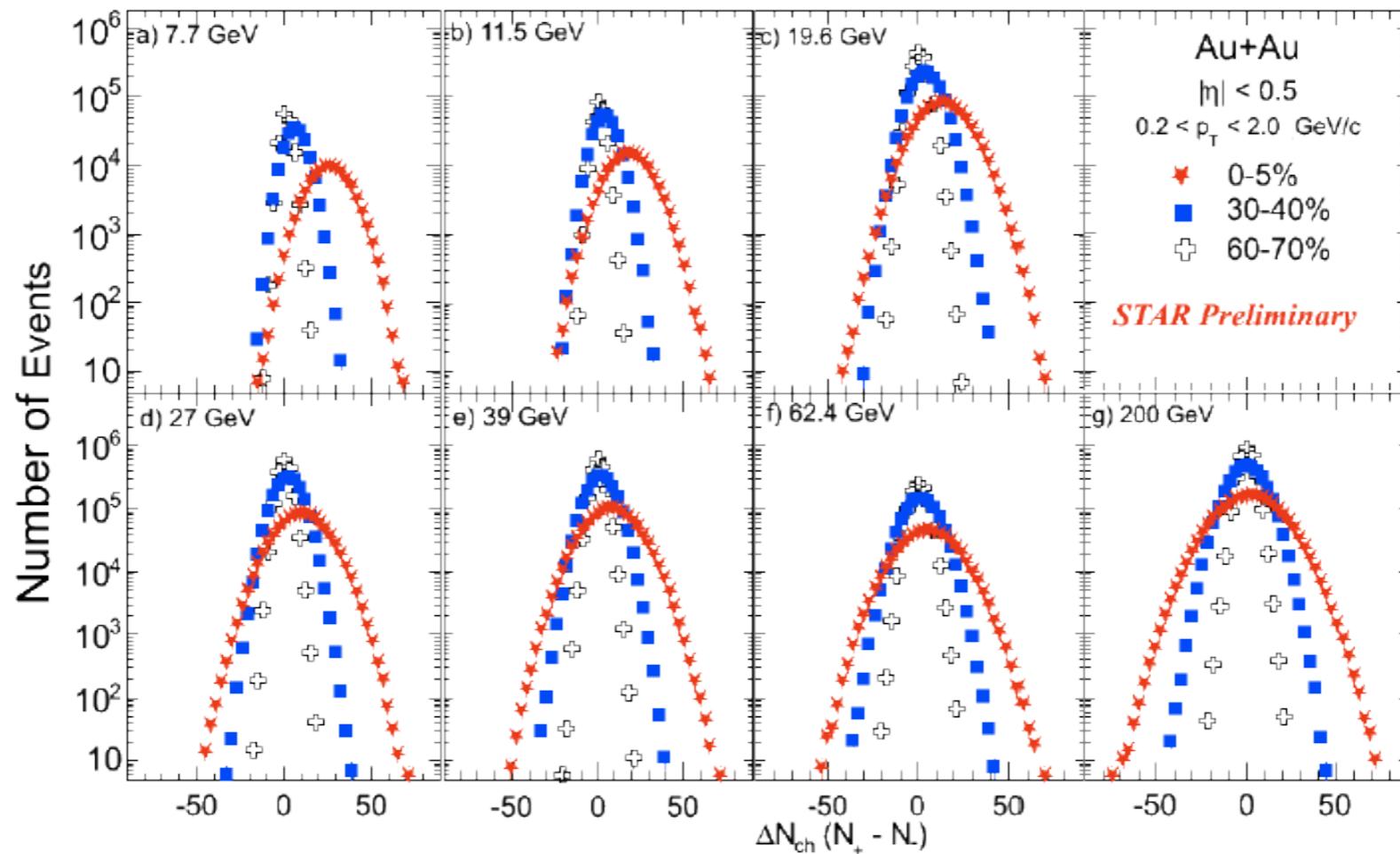
- * These results are model-dependent
 - * they depend on the **particle spectrum** which is included in the model
 - * possibility of having heavier states with **exponential mass spectrum**
 - * not known experimentally but can be postulated
 - * their decay modes are not known

Caveats

- * These results are model-dependent
 - * they depend on the **particle spectrum** which is included in the model
 - * possibility of having heavier states with **exponential mass spectrum**
 - * not known experimentally but can be postulated
 - * their decay modes are not known
- * Purpose: extract freeze-out parameters **from first principles**
 - * direct comparison between **experimental measurement** and **lattice QCD results**
 - * observable: fluctuations of conserved charges (electric charge, baryon number and strangeness)
 - * directly related to moments of multiplicity distribution (measured)
 - * lattice QCD looks at conserved charges rather than identified particles

Fluctuations of conserved charges

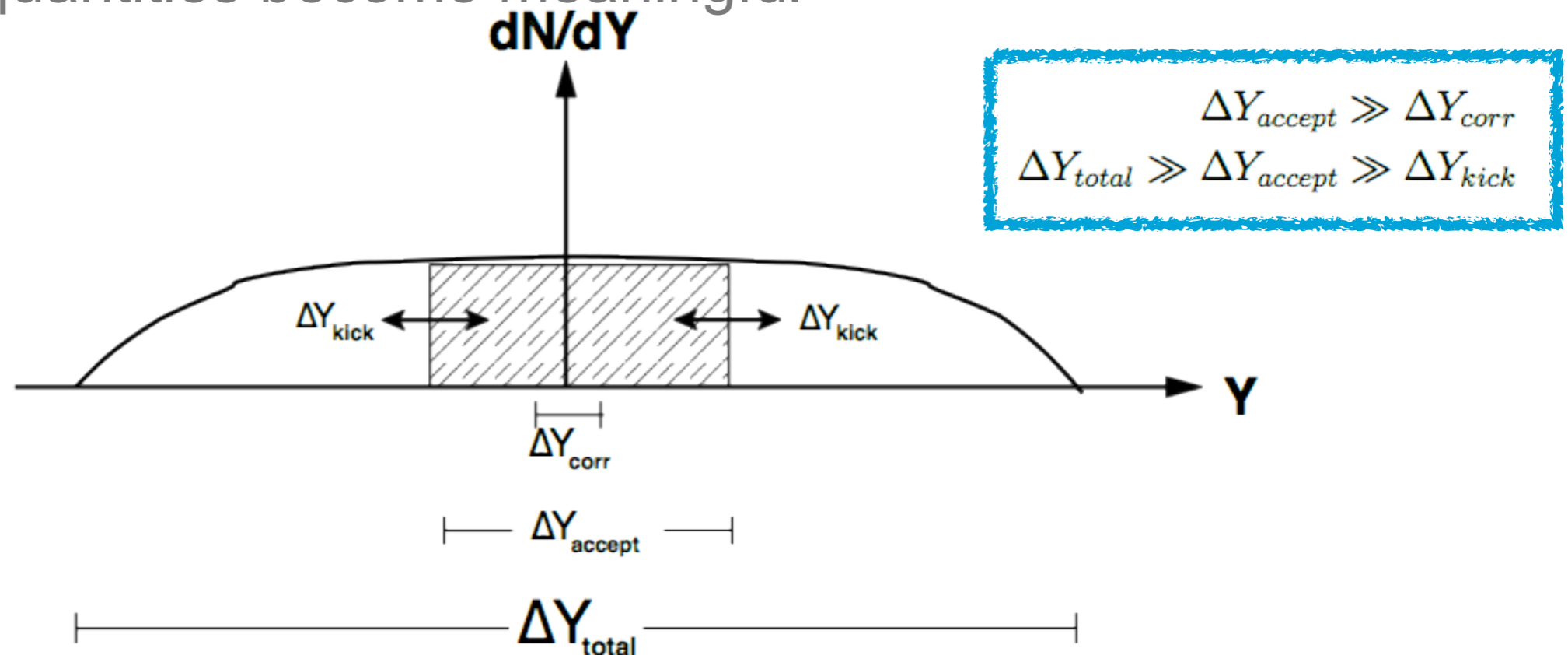
- * Consider the number of electrically charged particles N_Q
- * Its average value over the whole ensemble of events is $\langle N_Q \rangle$
- * In experiments it is possible to measure its event-by-event distribution



Fluctuations of conserved charges???

* If we look at the **entire system**, **none of the conserved charges will fluctuate**

* By studying a sufficiently **small subsystem**, the fluctuations of conserved quantities become meaningful



➔ ΔY_{total} : range for total charge multiplicity distribution

➔ ΔY_{accept} : interval for the accepted charged particles

➔ ΔY_{corr} : charge correlation length characteristic to the physics of interest

➔ ΔY_{kick} : rapidity shift that charges receive during and after hadronization

Cumulants of multiplicity distribution

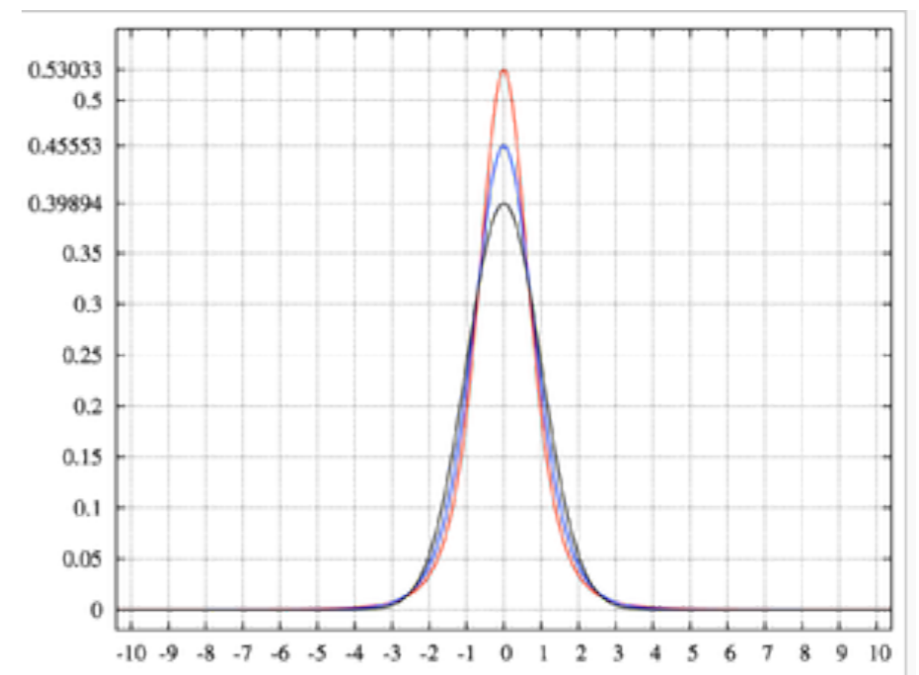
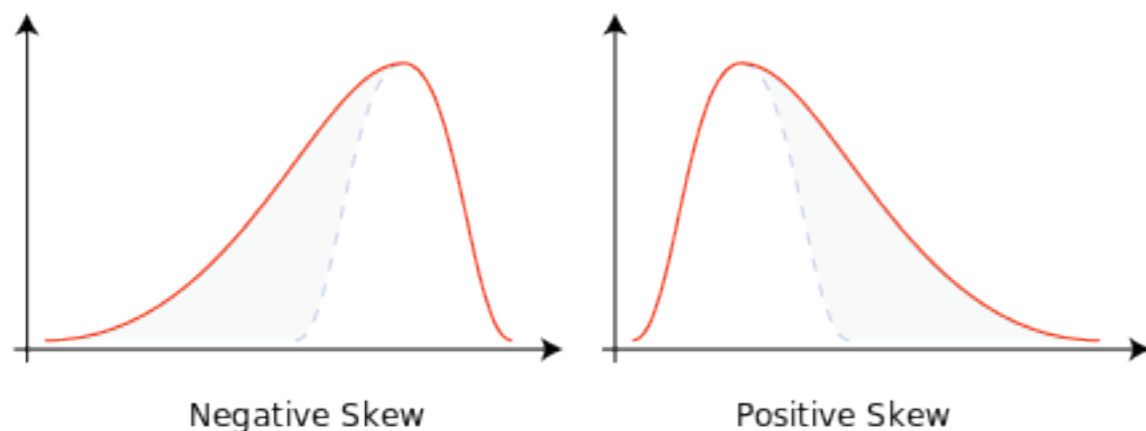
* Deviation of N_Q from its mean in a single event: $\delta N_Q = N_Q - \langle N_Q \rangle$

* The cumulants of the event-by-event distribution of N_Q are:

$$K_2 = \langle (\delta N_Q)^2 \rangle \quad K_3 = \langle (\delta N_Q)^3 \rangle \quad K_4 = \langle (\delta N_Q)^4 \rangle - 3 \langle (\delta N_Q)^2 \rangle^2$$

* The cumulants are related to the central moments of the distribution by:

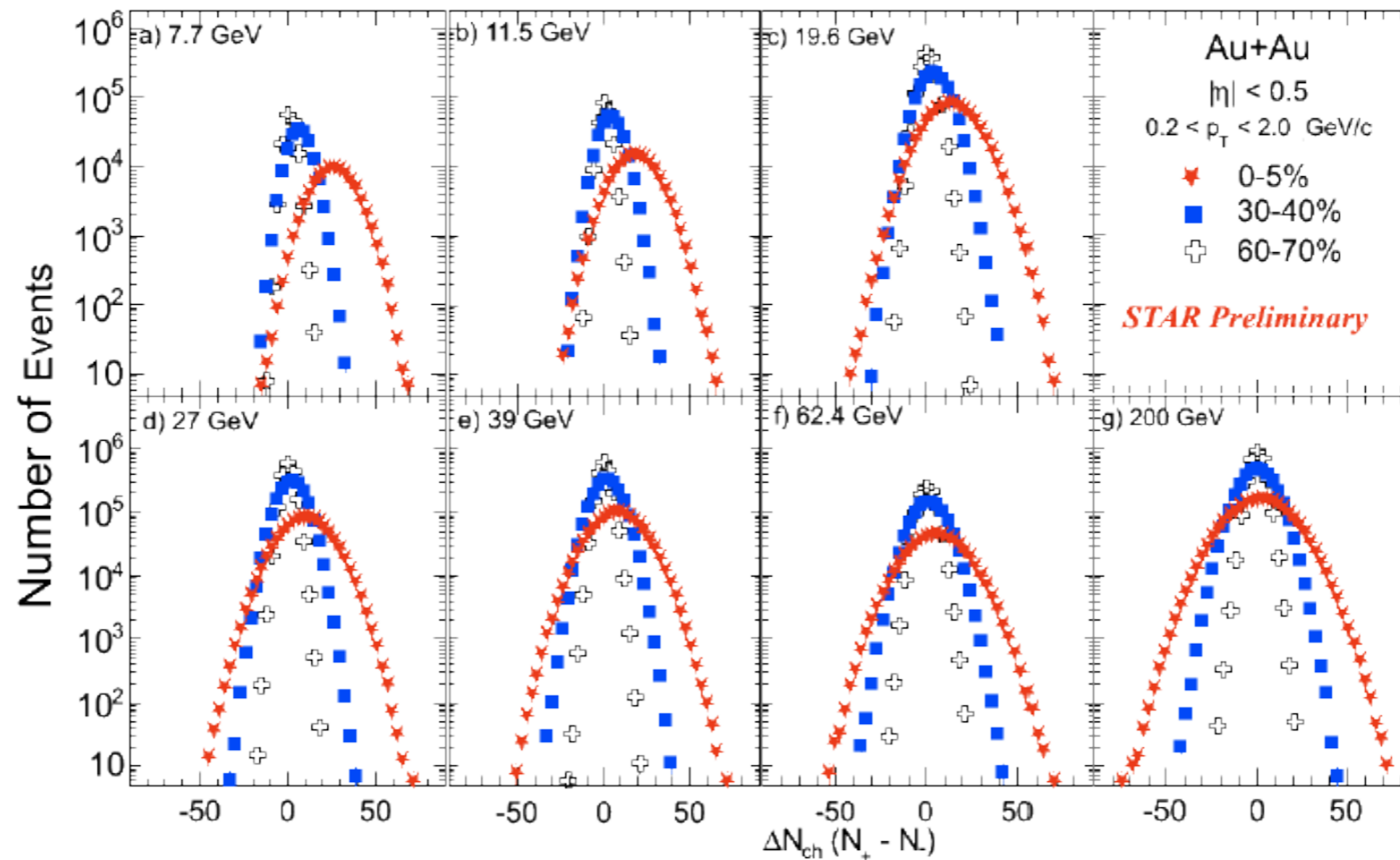
variance: $\sigma^2 = K_2$ Skewness: $S = K_3 / (K_2)^{3/2}$ Kurtosis: $\kappa = K_4 / (K_2)^2$



Experimental measurement

* Volume-independent ratios:

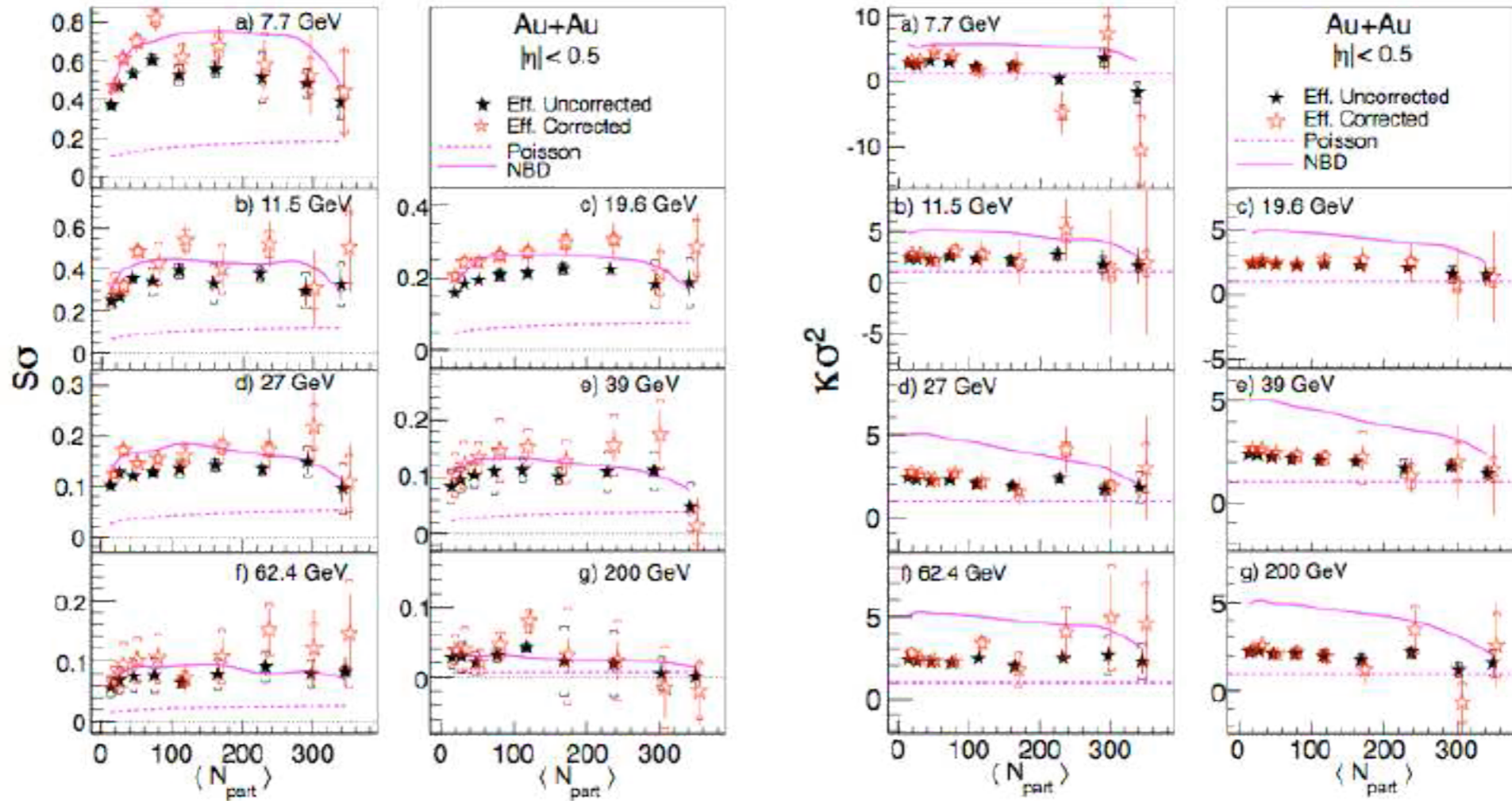
$$M/\sigma^2 = K_1/K_2 \quad S\sigma = K_3/K_2 \quad \kappa\sigma^2 = K_4/K_2 \quad S\sigma^3/M = K_3/K_1$$



Experimental measurement

* Volume-independent ratios:

$$M/\sigma^2 = K_1/K_2 \quad S\sigma = K_3/K_2 \quad \kappa\sigma^2 = K_4/K_2 \quad S\sigma^3/M = K_3/K_1$$



STAR Collab.: 1402.1558

Susceptibilities of conserved charges

* Susceptibilities of conserved charges

$$\chi_{lmn}^{BSQ} = \frac{\partial^{l+m+n} p / T^4}{\partial(\mu_B/T)^l \partial(\mu_S/T)^m \partial(\mu_Q/T)^n}$$

* **Susceptibilities** of conserved charges are the **cumulants** of their event-by-event distribution

$$\text{mean : } M = \chi_1$$

$$\text{variance : } \sigma^2 = \chi_2$$

$$\text{skewness : } S = \chi_3 / \chi_2^{3/2}$$

$$\text{kurtosis : } \kappa = \chi_4 / \chi_2^2$$

$$S\sigma = \chi_3 / \chi_2$$

$$\kappa\sigma^2 = \chi_4 / \chi_2$$

$$M/\sigma^2 = \chi_1 / \chi_2$$

$$S\sigma^3 / M = \chi_3 / \chi_1$$

* Lattice QCD results are functions of **temperature** and **chemical potential**

➔ By comparing lattice results and experimental measurement we can **extract the freeze-out parameters from first principles**

Baryometer and thermometer

* Let us look at the Taylor expansion of $R^{B_{31}}$

$$R_{31}^B(T, \mu_B) = \frac{\chi_3^B(T, \mu_B)}{\chi_1^B(T, \mu_B)} = \frac{\chi_4^B(T, 0) + \chi_{31}^{BQ}(T, 0)q_1(T) + \chi_{31}^{BS}(T, 0)s_1(T)}{\chi_2^B(T, 0) + \chi_{11}^{BQ}(T, 0)q_1(T) + \chi_{11}^{BS}(T, 0)s_1(T)} + \mathcal{O}(\mu_B^2)$$

* To order μ_B^2 it is independent of μ_B : it can be used as a **thermometer**

* Let us look at the Taylor expansion of $R^{B_{12}}$

$$R_{12}^B(T, \mu_B) = \frac{\chi_1^B(T, \mu_B)}{\chi_2^B(T, \mu_B)} = \frac{\chi_2^B(T, 0) + \chi_{11}^{BQ}(T, 0)q_1(T) + \chi_{11}^{BS}(T, 0)s_1(T)}{\chi_2^B(T, 0)} \frac{\mu_B}{T} + \mathcal{O}(\mu_B^3)$$

* Once we extract T from $R^{B_{31}}$, we can use $R^{B_{12}}$ to extract μ_B

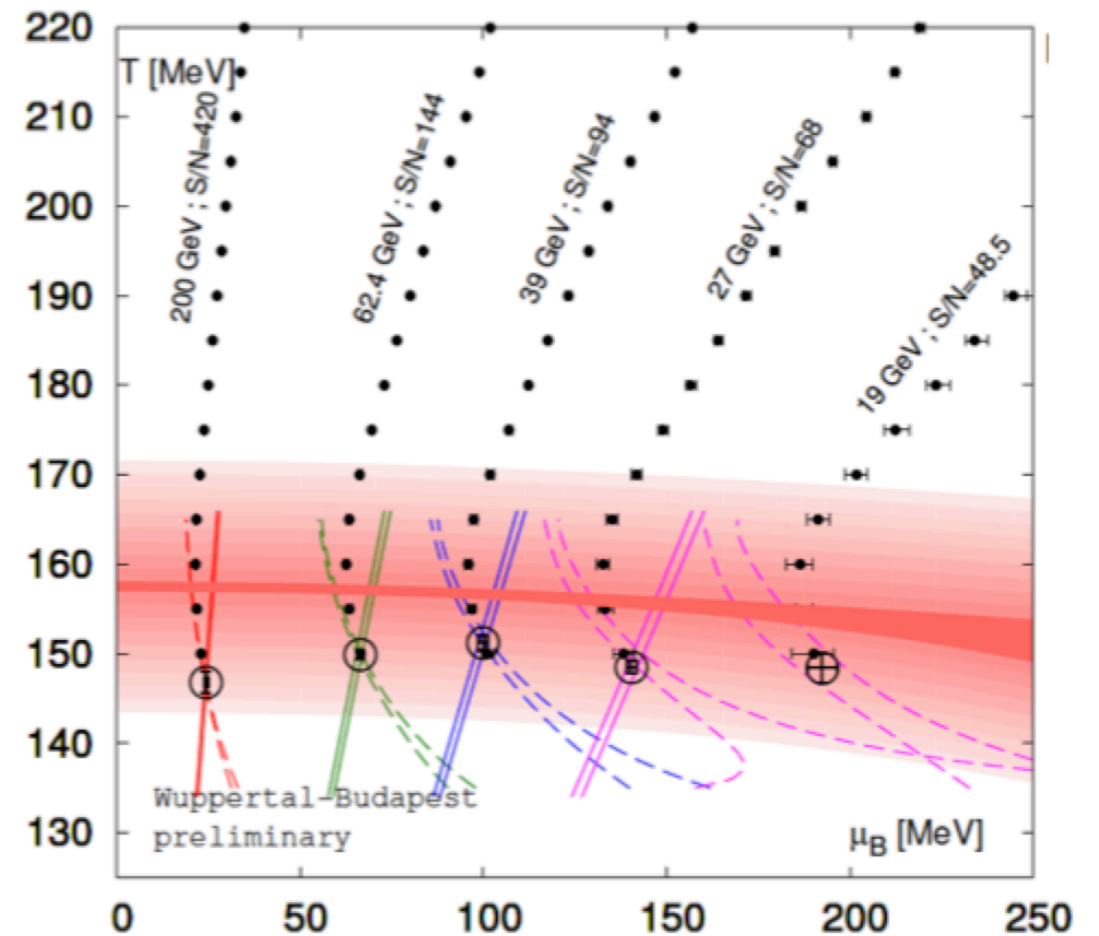
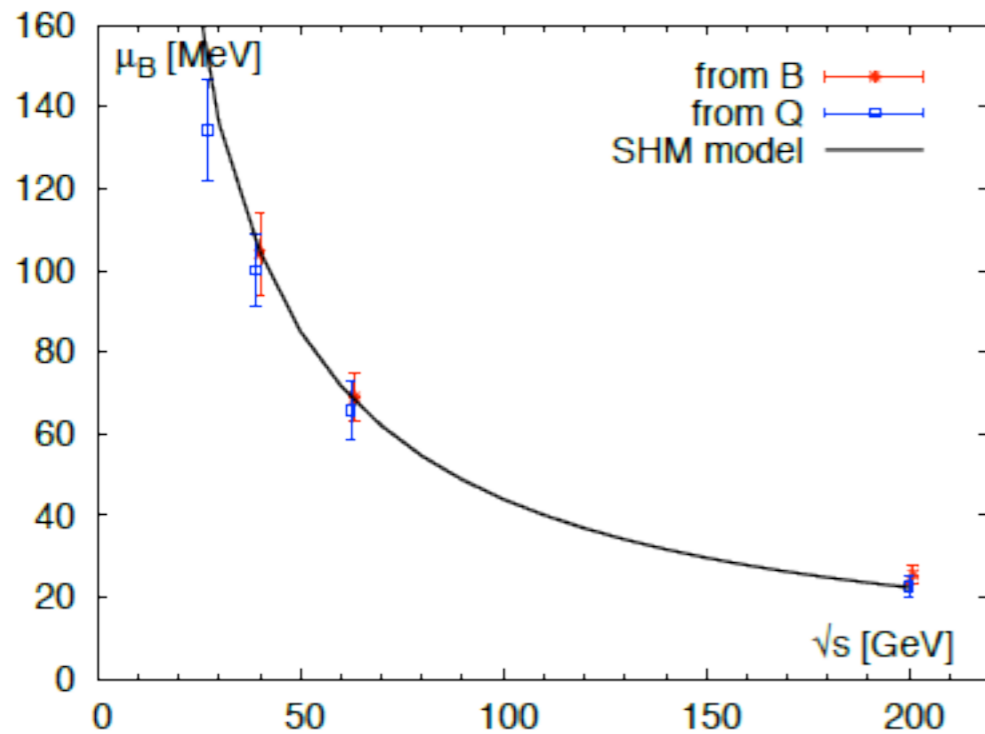
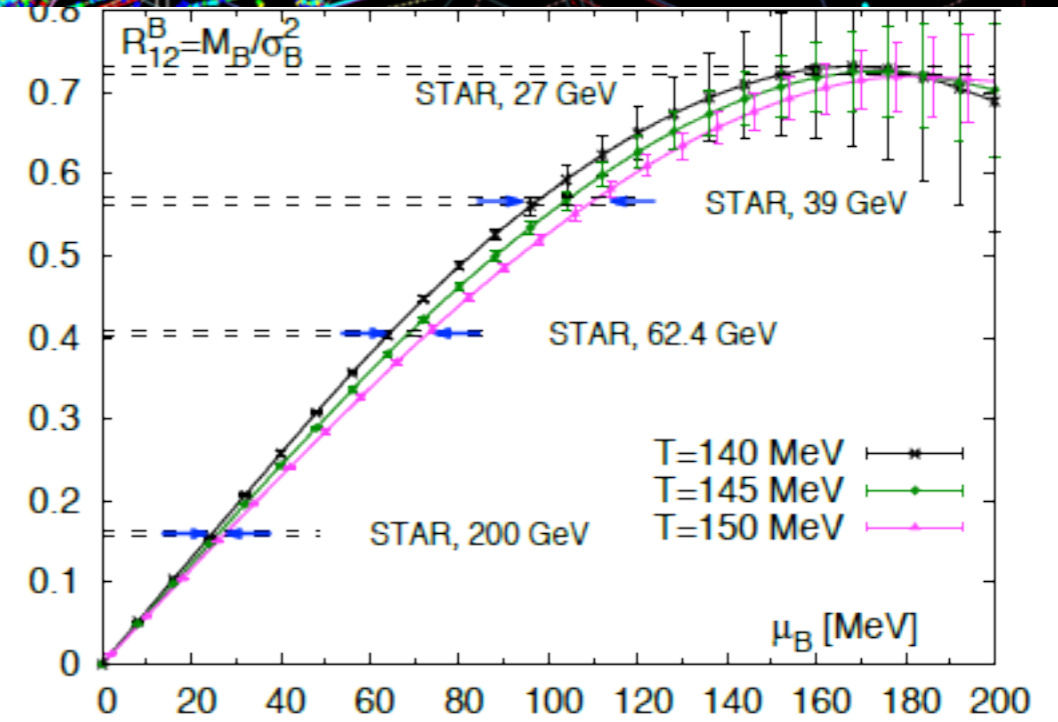
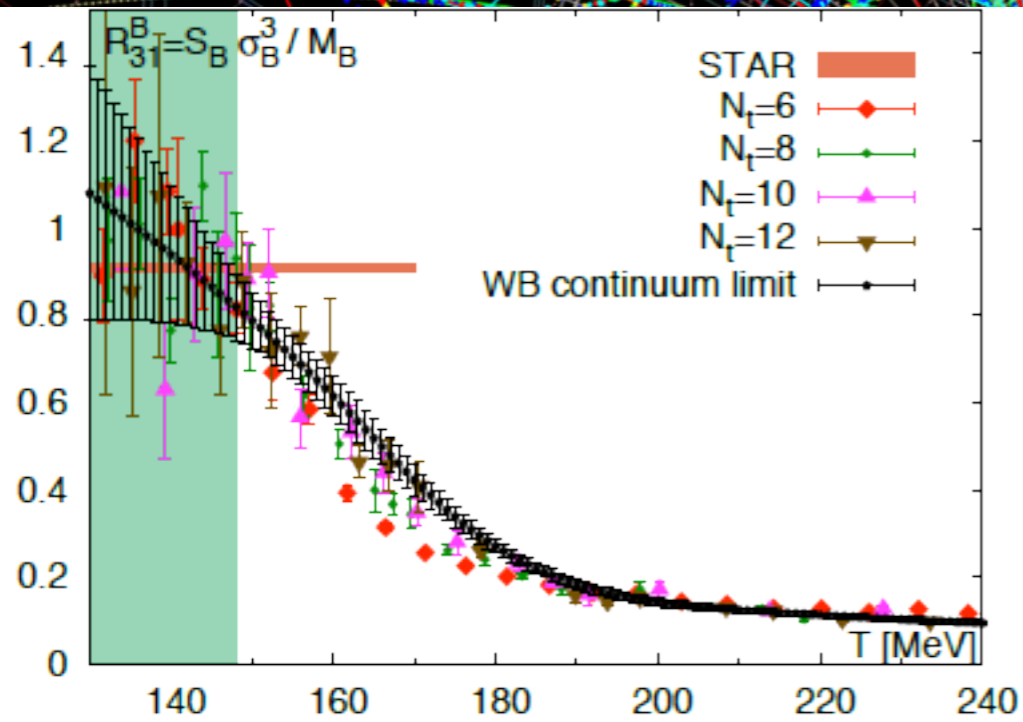
Caveats

- * Effects due to volume variation because of finite centrality bin width
- * Finite reconstruction efficiency
- * Spallation protons
- * Canonical vs Grand Canonical ensemble
- * Proton multiplicity distributions vs baryon number fluctuations
- * Final-state interactions in the hadronic phase

Caveats

- * Effects due to volume variation because of finite centrality bin width
 - Experimentally corrected by centrality-bin-width correction method
- * Finite reconstruction efficiency
 - Experimentally corrected based on binomial distribution
A.Bzdak, V.Koch, PRC (2012)
- * Spallation protons
 - Experimentally removed with proper cuts in p_T
- * Canonical vs Grand Canonical ensemble
 - Experimental cuts in the kinematics and acceptance V. Koch, S. Jeon, PRL (2000)
- * Proton multiplicity distributions vs baryon number fluctuations
 - Numerically very similar once protons are properly treated
M. Asakawa and M. Kitazawa, PRC(2012), M. Nahrgang et al., 1402.1238
- * Final-state interactions in the hadronic phase
 - Consistency between different charges = fundamental test
J.Steinheimer et al., PRL (2013)

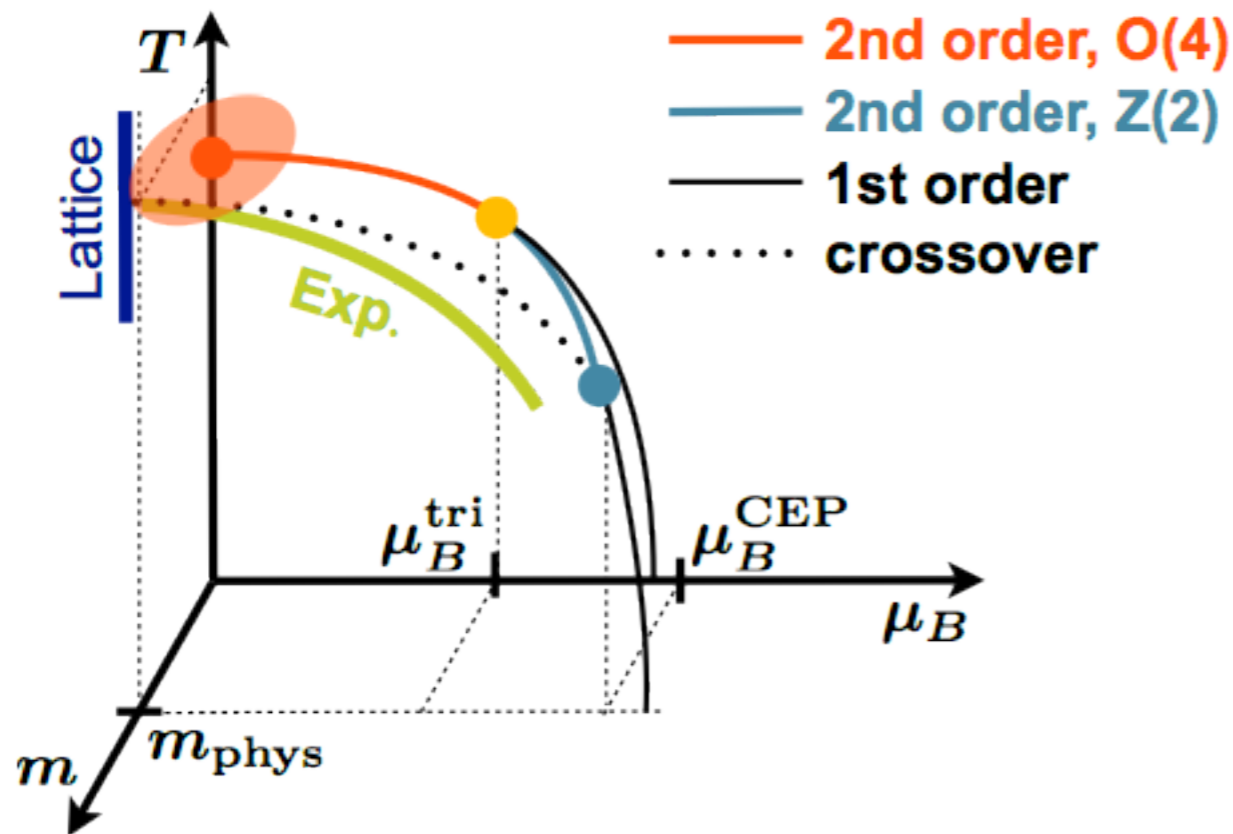
Results



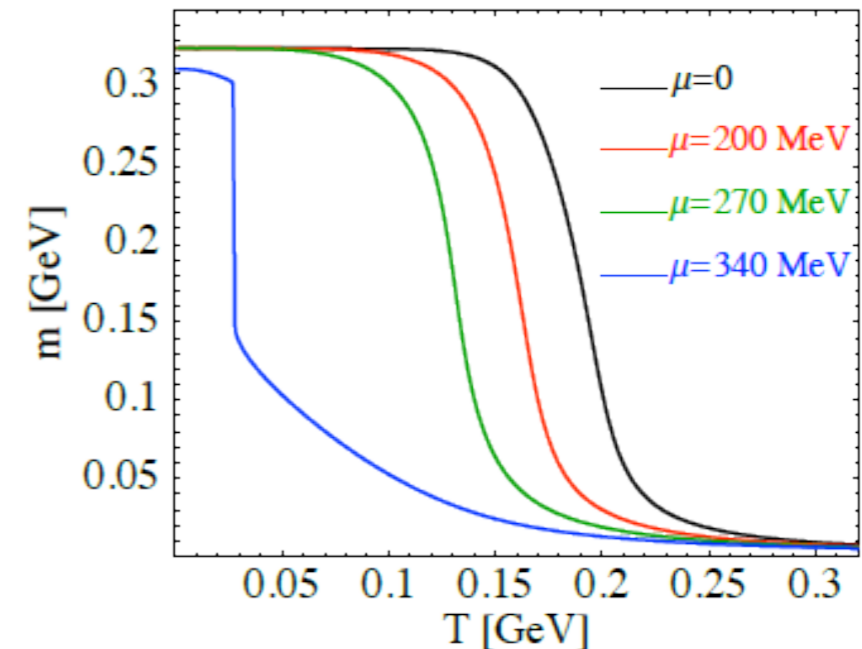
WB Collab.: PRL (2014)

Our world is not ideal:

neither chiral symmetry ($m_q=0$) nor confinement ($m_q=\infty$) is well defined.

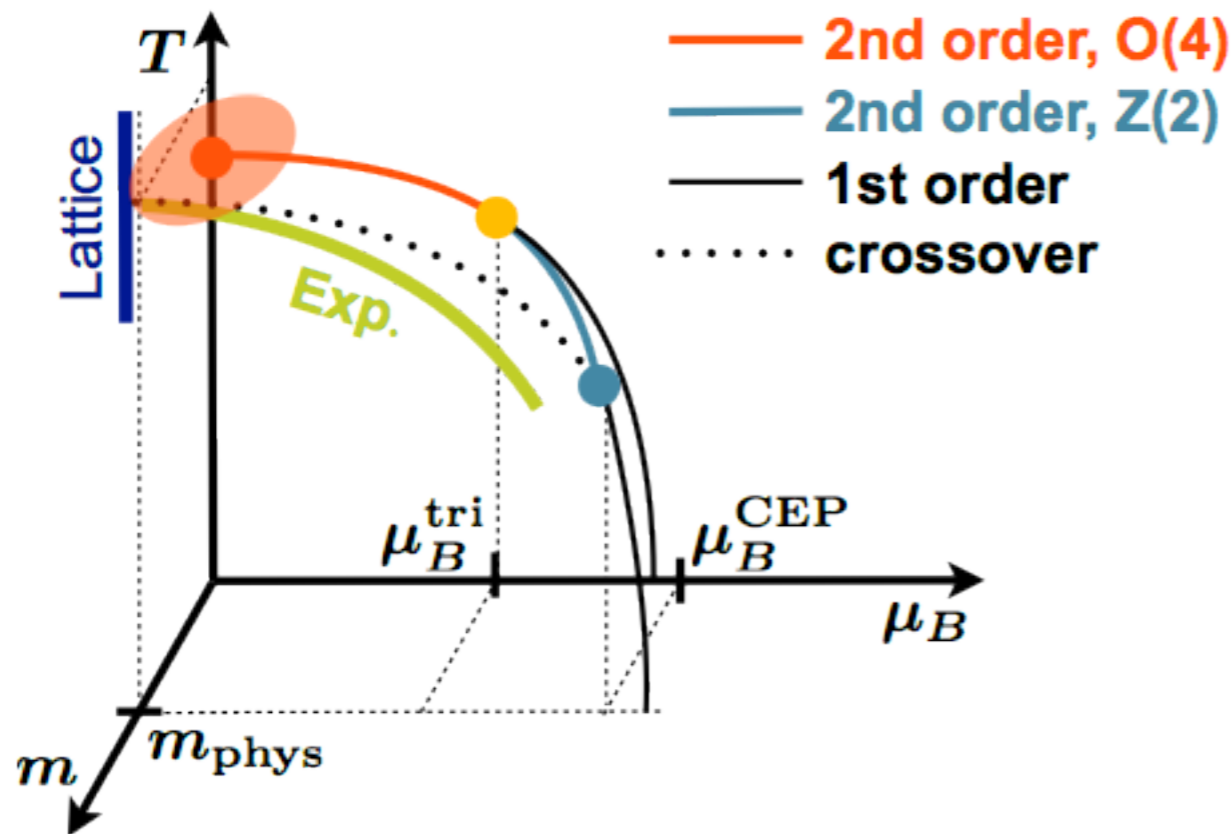


Existence of QCD critical point predicted by models

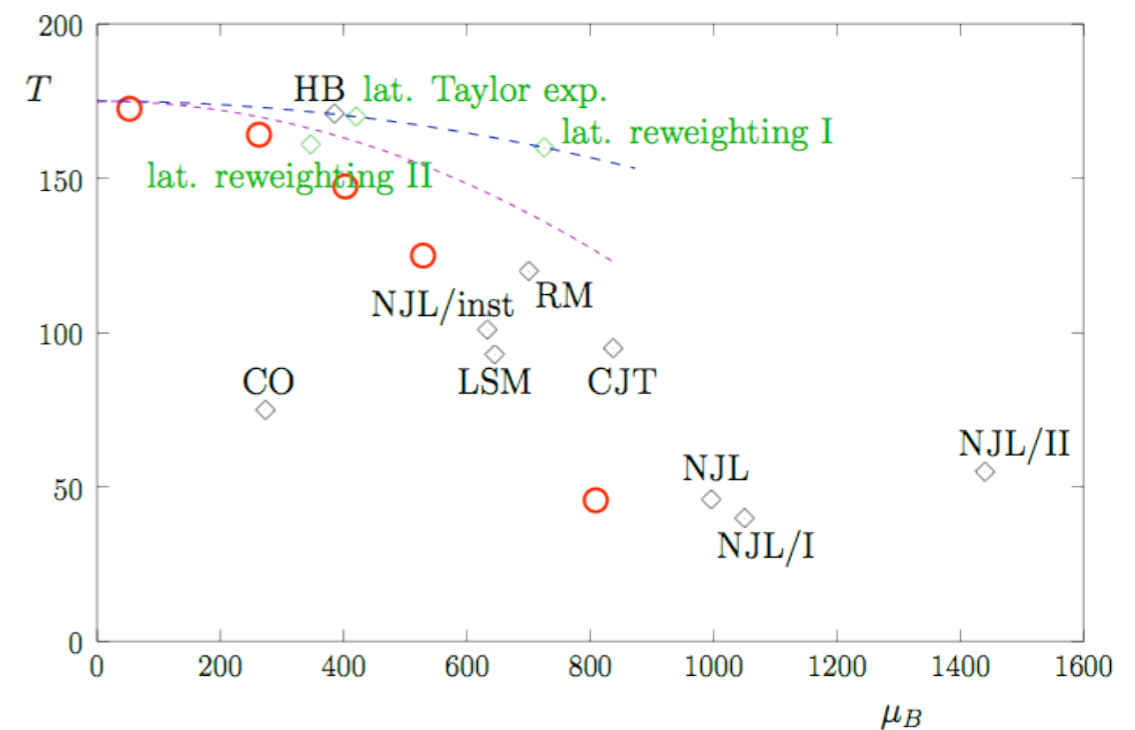


Our world is not ideal:

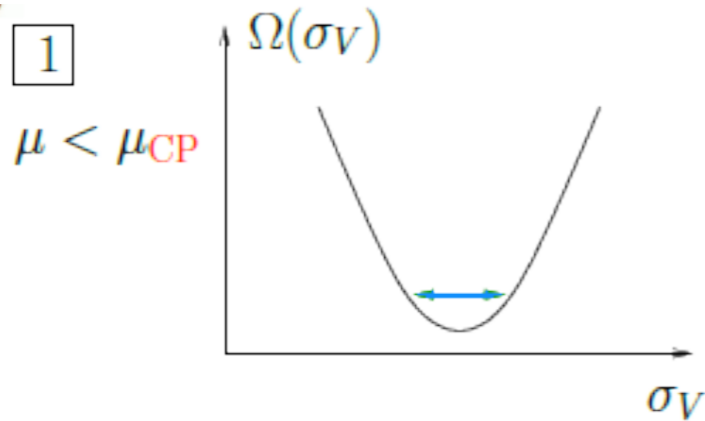
neither chiral symmetry ($m_q=0$) nor confinement ($m_q=\infty$) is well defined.



Existence of QCD critical point predicted by models

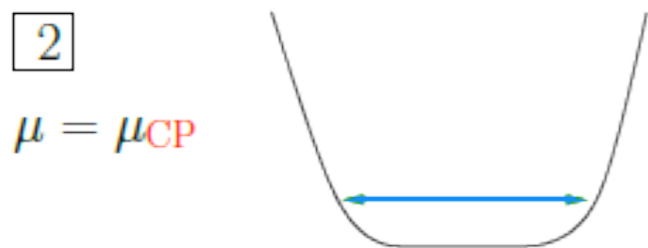


Fluctuations at the critical point



Consider the order parameter for the chiral phase transition $\sigma \sim \langle \bar{\psi}\psi \rangle$

It has a probability distribution of the form:



$$P[\sigma] \sim \exp \{ -\Omega[\sigma]/T \},$$

$$\Omega = \int d^3x \left[\frac{1}{2} (\nabla \sigma)^2 + \frac{m_\sigma^2}{2} \sigma^2 + \frac{\lambda_3}{3} \sigma^3 + \frac{\lambda_4}{4} \sigma^4 + \dots \right].$$



where: $m_\sigma \equiv \xi^{-1}$

and, near the critical point, $\xi \rightarrow \infty$:

$$\lambda_3 = \tilde{\lambda}_3 T (T \xi)^{-3/2}, \quad \text{and} \quad \lambda_4 = \tilde{\lambda}_4 (T \xi)^{-1}$$

$$\kappa_3 = \langle \sigma_V^3 \rangle = 2VT^{3/2} \tilde{\lambda}_3 \xi^{4.5}$$

$$\kappa_4 = \langle \sigma_V^4 \rangle = 6VT^2 [2(\tilde{\lambda}_3)^2 - \tilde{\lambda}_4] \xi^7$$

M. Stephanov (2009)

correlation length ξ is **limited** due to critical slowing down, together with the finite time the system has to develop the correlations: $\xi < 2-3 \text{ fm}$

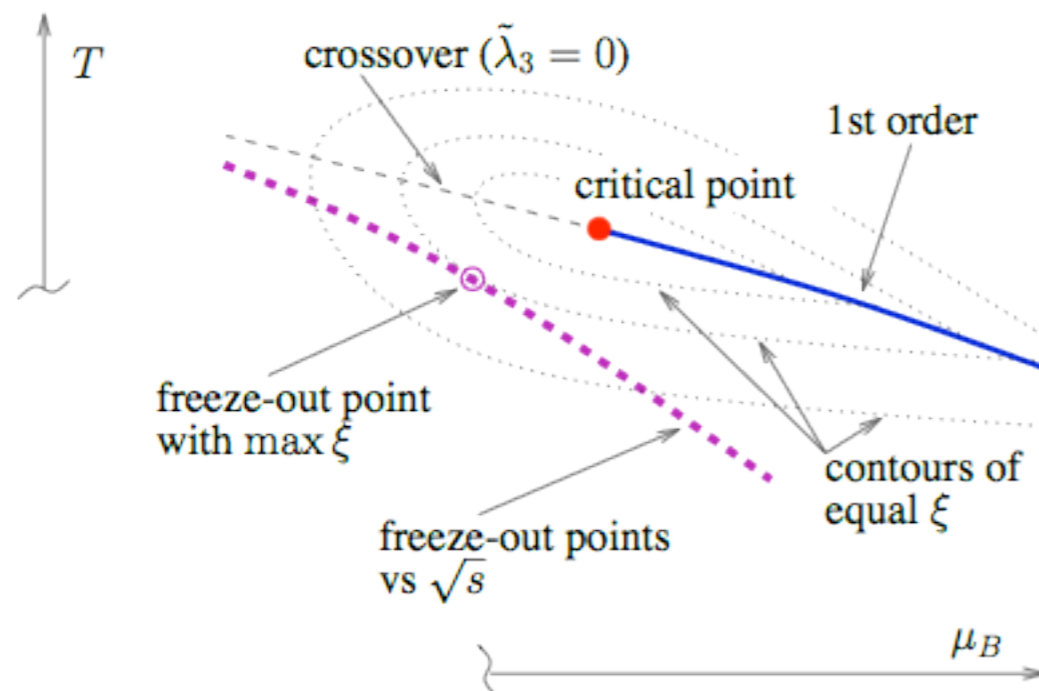
Experimental fluctuations

We consider the fluctuation of an observable (e.g. proton multiplicity)

$$\delta N = \sum_{\mathbf{p}} \delta n_{\mathbf{p}}$$

At the critical point, it receives both a regular and a singular contribution. The latter comes from the coupling to the σ field:

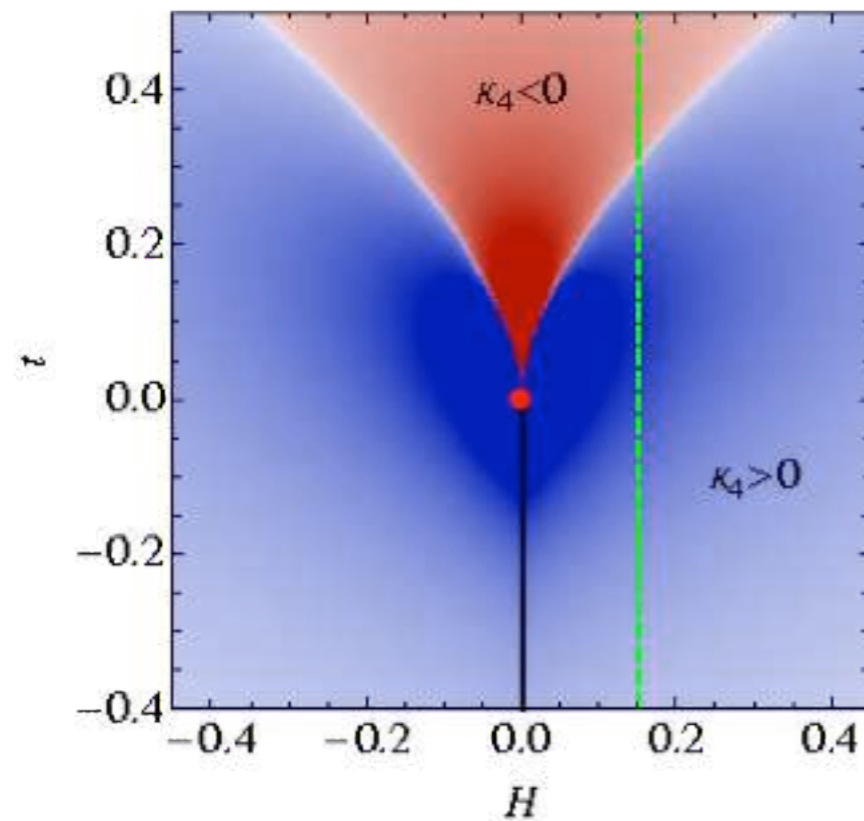
$$\delta n_{\mathbf{p}} = \underbrace{\delta n_{\mathbf{p}}^0}_{\substack{\text{statistical} \\ \text{(Poisson)}}} + \underbrace{\frac{\partial \bar{n}_{\mathbf{p}}}{\partial m} g \delta \sigma}_{\text{critical}}$$



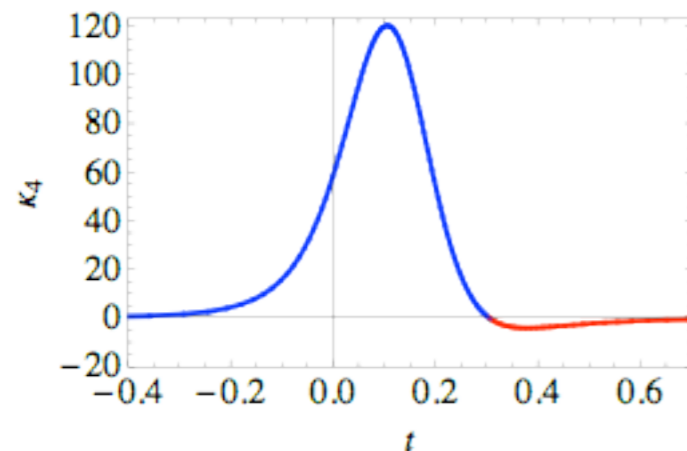
Higher order moments have **stronger dependence on ξ** : they are more sensitive signatures for the critical point

M. Stephanov

Sign of kurtosis



(a)



The 4th order cumulant becomes **negative** when the critical point is **approached from the crossover side**: from Ising model:

$$M=R^\beta\theta, \quad t=R(1-\theta^2), \quad H=R^{\beta\delta}h(\theta)$$

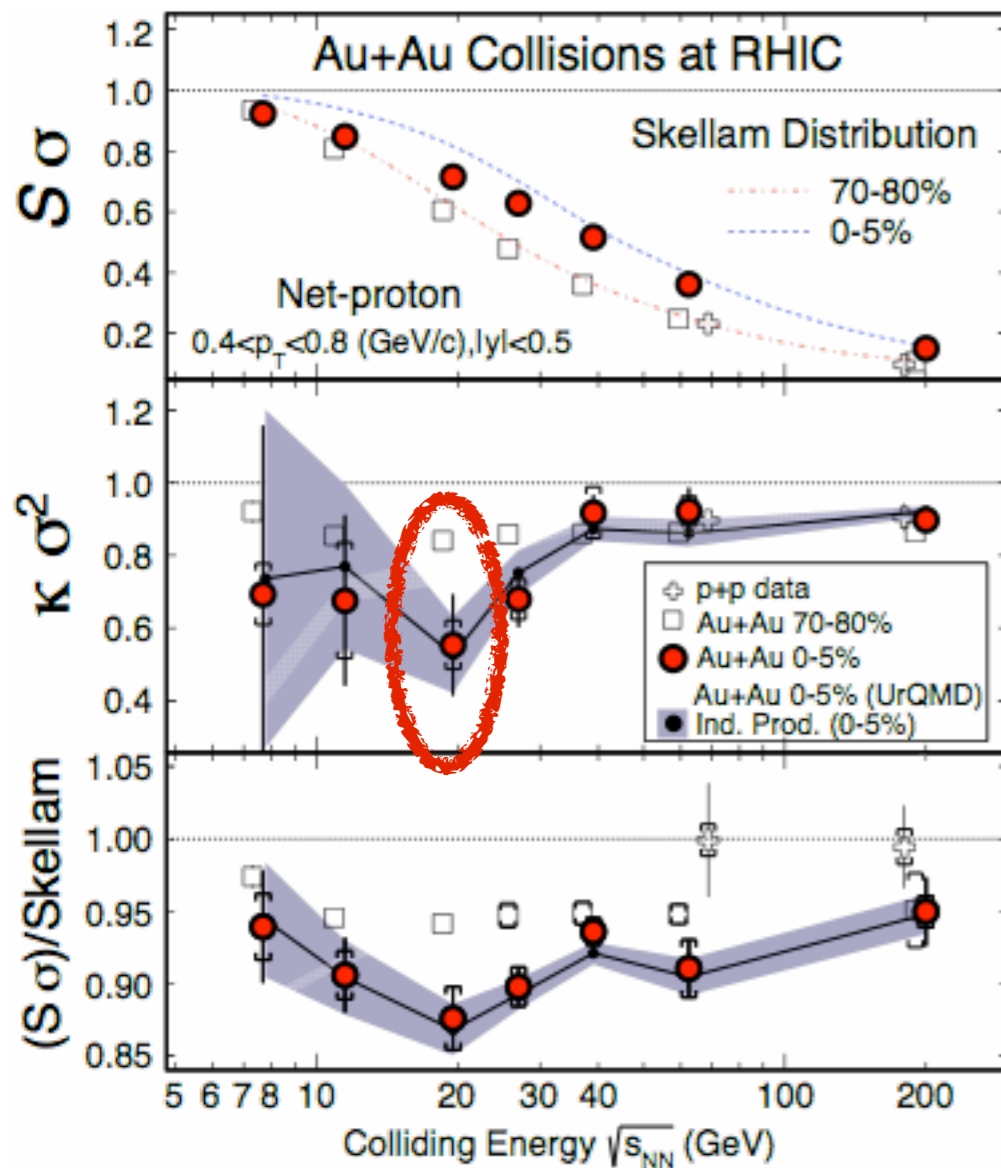
$$\kappa_4=\langle M^4 \rangle \quad (t,H) \rightarrow (\mu-\mu_{CP}, T-T_{CP})$$

Consequently, the experimental 4th order fluctuation will be **smaller than its Poisson value** (precise value depends on ξ , on how close the freeze-out occurs to the critical point...)

$$\langle (\delta N)^4 \rangle = \langle N \rangle + \langle \sigma^4_V \rangle \dots$$

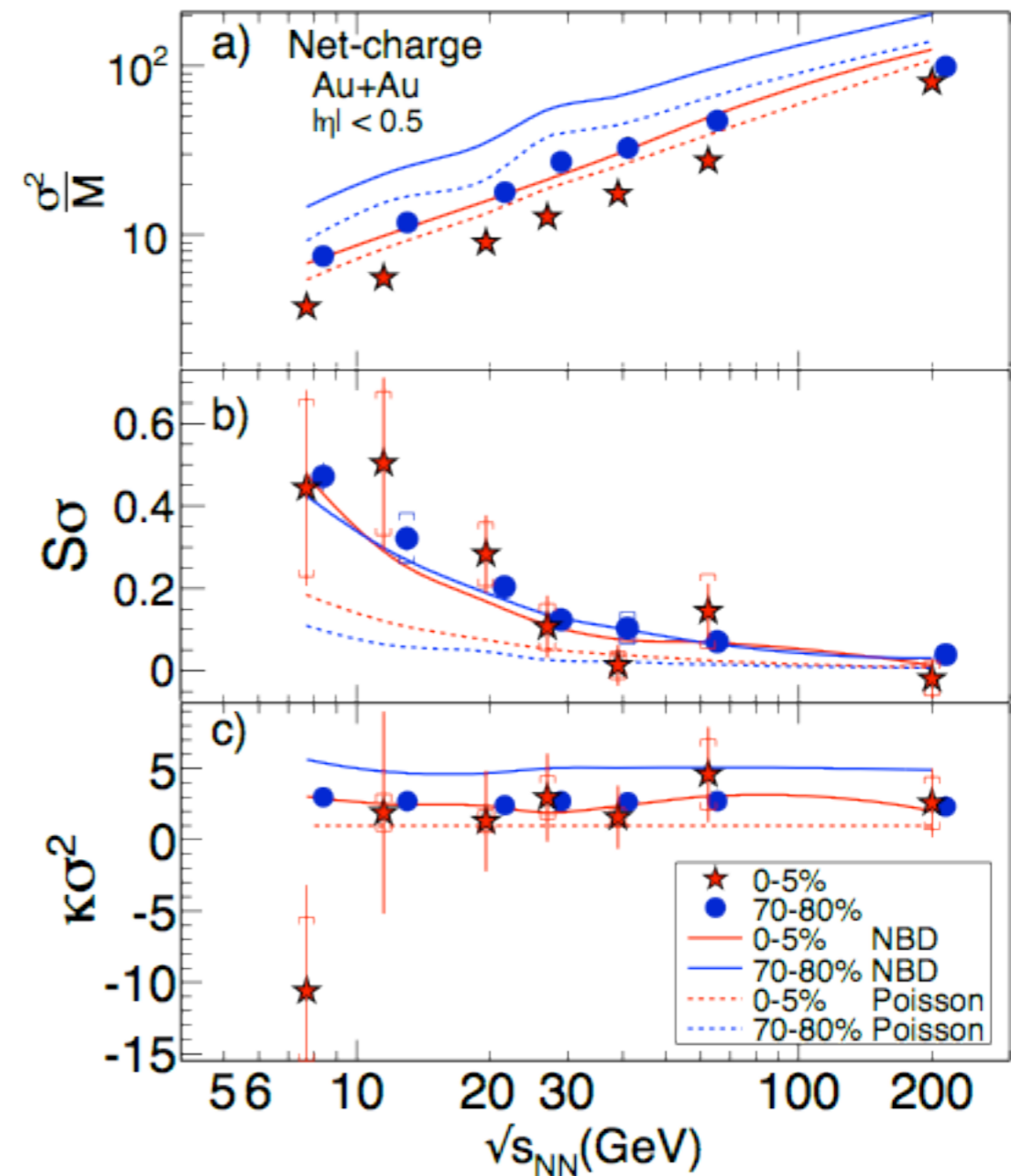
M. Stephanov (2011)

Experimental results on kurtosis



STAR Collab.: 1309.5681

Kurtosis of Net-protons shows anomalous dip at $\sqrt{s_{NN}} = 19$ GeV. Not confirmed by kurtosis of Net-charge.



STAR Collab.: 1402.1558

Conclusions

- * QCD transition: a **smooth crossover** at $\mu_B=0$; expected to become **first order** at large μ_B (**critical point**)
- * Lattice QCD simulations: equilibrium, thermodynamic quantities at small μ_B .
- * HRG model: good description below the transition. Fit of hadron **yields and ratios** -> **freeze-out parameters**
- * Alternative: fluctuations of conserved charges. **Determination of freeze-out parameters from first principles**
- * Fluctuations at the critical point: expected to scale with **some power of correlation length**
- * The kurtosis **changes sign** in the vicinity of the critical point