## Charm Rare Decays at BESIII

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- Rare charm decays offer the possibility to search for signals beyond Standard Model in the up-quark sector.
  - There might be CP conserving and CP violating contributions within the Standard Model and beyond
- Will focus on
  - FCNC c->u : c->u I I, c->u gamma
  - LFV, LNV, LNV & BNV
- Refer to other BESIII talks:

BESIII 实验上粲重子 Ac+研究现状	李培荣
BESIII D0/+介子纯轻和半轻实验研究	方易
BESIII DS+衰变实验研究	李蕾
prospect of Charm physics at BESIII	吕晓睿

#### Rare D<sup>0</sup> Decays (I)









#### Rare D<sup>0</sup> Decays (II)







#### **Rare D<sup>+</sup> Decays**







	beam	Sample
E791	$\pi$ 500 GeV	$2.5 \times 10^5$ D
CDF	$p\overline{p}$ 1 GeV	$1.5 \times 10^{6} D$
FOCUS	γ 200 GeV	$1 \times 10^{6} D$
CLEO	$e^+e^-$ (Y(4s))	$1.5 \times 10^5 \text{ D}$
CLEO-C	$e^+e^-$ ( $\psi(3770)$ )	881 nb cc
BABAR	$e^+e^-$ (Y(4s))	$6 \times 10^8 \ \mathrm{c}\overline{\mathrm{c}}$
LEP	$e^+e^-(Z^0))$	$1 \times 10^{5} D$
BELLE	$e^+e^-$ (Y(4s))	$8 \times 10^8$ cc
BESIII	$e^+e^-(\psi(3770))$	1.4 ∞ 10 <sup>8</sup> DD
LHCb	рр	<mark>~10 ∞ 10</mark> 9 <sup>—</sup>

BESI



#### **BESIII charm data samples**



7

~ 0.5 B $\psi$ (3686) events	~ 24×CLEO-c
~ 1.3 B $J/\psi$ events	~ 21×BESII
~ 2.9/fb $\psi(3770)$	~ 3.5×CLEO-c yellow book: 90M DDbar
~ 0.482/fb 4.009	Ds study
~ 0.6/fb $\Lambda_c$ pairs at threshold U	Jnique
<ul> <li>~ 9/fb XYZ above 4 GeV</li> <li>20 points for R &amp;QCD Scan: 500/pb finished in May 2015</li> <li>Y(2175) resonance: 100 /pb</li> <li>2016: 3/fb Ds data at 4170 MeV</li> <li>~ 5×CLEO-c</li> <li>2017: Y(4260), X(3872)</li> <li>2018: 6-8B J/ψ (NEW)</li> <li>~ other data sets: tau, resonance scan and continuum etc.</li> </ul>	3000 2500 2000 1500 1000 500 MARKI DELCO MARKII MARKIII BESII CLEOC BESIII 4.03/4.14 GeV 4.17 GeV 4.009/4.178/4.6 GeV 3000 1000
2017/9/23 Charm rare decays at BESIII	BES CLEOC BESIII



#### **BESIII Detector**





## **Tagging technique at threshold**

Double tag analysis

✓ Tagging D<sup>-</sup>( $\overline{D}^0$ ),  $\Lambda_c^-$  from

hadronic decay modes

 $M_{
m BC} = \sqrt{E_{
m beam}^2 - p_{ar{D}_{
m tag}}^2}$ 

 $\checkmark$  (semi-)leptonic decay event can

 $M_{missing}^2 = E_{miss}^2 - p_{miss}^2 \sim 0$ 

 $U_{\rm miss} \equiv E_{\rm miss} - |\vec{p}_{\rm miss}| \sim 0$ 

recoil side of the tagged  $\overline{D}$  ( $\Lambda_c^{-}$ )

be well reconstructed in the





- Event is very clean
- □ High tagging efficiency
- Most systematic uncertainties can be cancelled
- Could measure absolute BFs



# **FCNC processes**



#### **Search for** $D^0 \to \pi^0 \nu \bar{\nu}$ :



- FCNC transitions only occur at loop order in the SM
- Electro-magnetic dynamics is absent for the neutrinos
- LD contributions are suppressed to be lower than SD
- Much clean to study the FCNC transitions.

$ \frac{D^+ \rightarrow X_u^+ e^+ e^-}{D^+ \rightarrow \pi^+ e^+ e^-} $	$< 4.5 \times 10^{-5}$	2×10 <sup>-8</sup>		
$D^+ \rightarrow \pi^+ e^+ e^-$	$\leq 4.5 \times 10^{-5}$			
			$2 \times 10^{-6}$	
$D^+ \rightarrow \pi^+ \mu^+ \mu^-$	$< 1.5 \times 10^{-5}$		$1.9 \times 10^{-6}$	
$D^+ \rightarrow \rho^+ e^+ e^-$	$< 1.0 \times 10^{-4}$		$4.5 \times 10^{-6}$	Unique for
$D^0 \rightarrow X^0_{\mu} e^+ e^-$		$0.8 \times 10^{-8}$		
$D^0 \rightarrow \pi^0 e^+ e^-$	$< 6.6 \times 10^{-5}$		$0.8 \times 10^{-6}$	BESIII
$D^0 \rightarrow \rho^0 e^+ e^-$	$< 5.8 \times 10^{-4}$		$1.8 \times 10^{-6}$	
$D^0 \rightarrow \rho^0 \mu^+ \mu^-$	$< 2.3 \times 10^{-4}$		$1.8 \times 10^{-6}$	
$D^+ \rightarrow X^+_{\nu} \overline{\nu \nu}$		$1.2 \times 10^{-15}$		
$D^+ \rightarrow \pi^+ \nu \overline{\nu}$			$5 \times 10^{-16}$	
$D^0 \rightarrow \overline{K}^0 \nu \overline{\nu}$			$2.4 \times 10^{-16}$	
$D_s \rightarrow \pi^+ \nu \overline{\nu}$			$8 \times 10^{-15}$	
Dhue Day D CC 01	1000			

Belle  $B \rightarrow h$  (\*) vv: Phys. Rev. Lett. 99, 221802 (2007). BaBar B 0  $\rightarrow \gamma vv$ : Phys. Rev. Lett. 93, 091802 (2004).

## ₿€SШ



- FCNC mode, forbidden at tree level
- → Larger GIM suppression
- → Short distance: BF~10<sup>-11</sup> [PRD66 (2002) 014009]
- → Long distance due to VMD: BF~10<sup>-8</sup> [PRD66 (2002) 014009]
- $\rightarrow$  MSSM up to BF~10<sup>-6</sup> [PLB500(2001)304], i.e. c $\rightarrow$ u $\gamma$  via gluino exchange

#### Measurements at B factories:

- Reconstruct through D<sup>\*+</sup> → D<sup>0</sup>(→ γγ) π<sup>+</sup>
   normalized by D<sup>\*+</sup> → D<sup>0</sup>(→ K<sub>S</sub>π<sup>0</sup>) π<sup>+</sup>.
- Peaking background from  $D^0 \rightarrow \pi^0 \pi^0$ .

#### Measurement at BESIII

- Double tag
- Fitting delta(E)
- Major background: D<sup>0</sup>->pi<sup>0</sup>pi<sup>0</sup>



## D<sup>0</sup>->yy: analysis method



### We could use similar technique to perform other D rare decay search, and estimate the sensitivity

2017/9/23 Charm rare decays at BESIII

Number of events/0.00025 GeV/c<sup>2</sup>



#### D<sup>0</sup>->yy Results





#### **Comparison and prospects**



PhysRevD(2016).93.051102



Uncertainties independent of fitting procedure

Source	Relative uncertainty (%)
Photon reconstruction	2.0
$M_{\rm BC}^{\gamma\gamma}$ requirement	3.1
ST $D^0$ yields	1.0
Total	3.8





- BESIII has the least background contamincation
- **D** and very good control of systematics
- Could still be competitive with the final DDbar sample
- Detailed projection study is needed to check what is the critical points for DDbar sample size



#### D->h(h')ee processes



	TT 1		37	D (
Decay	Upper limit	Experiment	Year	Ref.
$D^0  ightarrow \pi^0 e^+ e^-$	45.0	CLEO	1996	[14]
$D^0  o \eta e^+ e^-$	110.0	CLEO	1996	[14]
$D^0  ightarrow \omega e^+ e^-$	180.0	CLEO	1996	[14]
$D^0  ightarrow \overline{K}{}^0 e^+ e^-$	110.0	CLEO	1996	[14]
$D^0  ightarrow  ho e^+ e^-$	124.0	E791	2001	[15]
$D^0  o \phi e^+ e^-$	59.0	E791	2001	[15]
$D^0 \to \overline{K}^{*0} e^+ e^-$	47.0	E791	2001	[15]
$D^0 \to \pi^+\pi^- e^+ e^-$	370.0	E791	2001	[15]
$D^0 \to K^+ K^- e^+ e^-$	315.0	E791	2001	[15]
$D^0 \to K^- \pi^+ e^+ e^-$	385.0	E791	2001	[15]
$D^+ \rightarrow \pi^+ e^+ e^-$	1.1	BaBar	2011	[16]
$D^+ \rightarrow K^+ e^+ e^-$	1.0	BaBar	2011	[16]
$D^+ \to \pi^+ \pi^0 e^+ e^-$				
$D^+ \rightarrow \pi^+ K^0_S e^+ e^-$				
$D^+ \to K^+ \pi^0 e^+ e^-$				
$D^+ \to K^+ \overline{K}{}^0 e^+ e^-$				

In unit of 10<sup>-6</sup>
 BESIII could update all of them
 Work in progress, will come soon

# **BESI** $D^+ \rightarrow h^+ e^+ e^-$ and $D^+ \rightarrow h^- e^+ e^+$



- Flavor Changing Neutral Current (FCNC) (e.g. D<sup>+</sup>→h<sup>+</sup>e<sup>+</sup>e<sup>-</sup>) processes are expected to be very rare since it can not occur at tree level in the SM. Short distance: ~ 10<sup>-10~-9</sup> level, MPLA8 (1993) 967 Long distance: ~ 10<sup>-6~-5</sup> level, PRD76 (2007) 074010
- Lepton Number Violation (LNV) (e.g. D<sup>+</sup>→h<sup>-</sup>e<sup>+</sup>e<sup>+</sup>) decays are forbidden in the SM, while beyond the SM, e.g., Majorana neutrino: ~ 10<sup>-30~-23</sup> level, PRD64 (2001) 114009 may be greatly enhanced to ~10<sup>-5~-6</sup> with EPJC71 (2011) 1715)
- Thus, processes of the form D<sup>+</sup>→hee provide a sensitive and an ideal lab for investigating new physics within and beyond the SM. Any observation of definite signals would be clear evidence of NP.
- BESIII has collected a huge open charm data sample, it's expected to get better results for these decays.





$\mathcal{B}(D^+  o) \setminus [ imes 10^{-6}]$	$K^+e^+e^-$	$K^-e^+e^+$	$\pi^+ e^+ e^-$	$\pi^- e^+ e^+$
CLEO[1]	-	-	2600	-
MARK2[2]	4800	9100	2500	4800
E687[3]	200	120	110	110
E791[4]	200	-	52	96
CLEO <sup>[5]</sup>	3.0	<b>3.5</b>	5.9	1.1
Babar[6]	1.0	0.9	1.1	1.9
PDG[7]	1.0	0.9	1.1	1.1

P. Haas et al. (CLEO Collaboration), Phys. Rev. Lett. 60, 1614 (1988).
 A. J. Weir et al. (MarkII Collaboration), Phys. Rev. D 41, 1384 (1990).
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 P. Rubin el al. (CLEO Collaboration), Phys. Rev. D 82, 092007 (2010).
 J. P. Lees el al. (BaBar Collaboration), Phys. Rev. D 84, 072006 (2011).
 K. A. Olive et al. (Particle Data Group), Chin. Phys. C, 38, 090001 (2014).

# **BESIT Preliminary results (to update soo**



Scatter plots for  $M_{BC}$  versus  $\Delta E$ , where the signal regions are shown as a blue rectangle. The contours are determined from MC simulation to enclose 84% of signal events for each channel.

# **Here Interview Preliminary results (to update soo**

₿€SIII							
Preliminary	$N_{ m inside}^{ m data}$	$N_{ m outside}^{ m data}$	$f_{ m scale}$	$\epsilon$ [%]	$\Delta_{\rm sys}$ [%]	$s_{90}$	$\mathcal{B}[ imes 10^{-6}]$
$D^+ \to K^+ e^+ e^-$	5	69	$0.08\pm0.01$	22.53	5.4	19.4	< 1.2
$D^+ \to K^- e^+ e^+$	3	55	$0.08\pm0.01$	24.08	6.1	10.2	< 0.6
$D^+ \to \pi^+ e^+ e^-$	3	65	$0.09\pm0.02$	25.72	5.9	4.2	< 0.3
$D^+ \to \pi^- e^+ e^+$	5	68	$0.06\pm0.02$	28.08	6.8	20.5	< 1.2

• Where s<sub>90</sub> is estimated with **TROLKE** program, and the upper limit of branching fraction is calculated by

$$\mathcal{B} < rac{s_{90}}{N_{D^+}^{
m tot}}$$

• where  $N_{D^+}^{\rm tot} = (1.681 \pm 0.032) \times 10^7$ 





#### <mark>€€S</mark> Preliminary

Source $[\%]$	$\mathcal{B}_{D^+  o K^+ e^+ e^-}$	$\mathcal{B}_{D^+ \to K^- e^+ e^+}$	$\mathcal{B}_{D^+  ightarrow \pi^+ e^+ e^-}$	$\mathcal{B}_{D^+  ightarrow \pi^- e^+ e^+}$
$N_{D^+}^{ m tot}$	1.9	1.9	1.9	1.9
Tracking	3.0	3.0	3.0	3.0
Particle ID	1.2	1.2	1.2	1.2
MC modeling	1.1	1.1	1.8	1.8
Scale factor	3.7	4.6	4.2	5.3
$E_{ m charge}  { m Cut}$	0.1	0.1	0.1	0.1
$M_{ee}  { m Cut}$	0.1	0.1	0.1	0.1
Signal Box Cut	0.1	0.1	0.1	0.1
Total	5.4	6.1	5.9	6.8





Signal decays	PDG [10] (×10 <sup>-5</sup> )
$D^+ \to \pi^+ \pi^0 e^+ e^-$	-
$D^+ \to K^+ \pi^0 e^+ e^-$	-
$D^+ \rightarrow K^0_S \pi^+ e^+ e^-$	-
$D^+ \to K_S^0 K^+ e^+ e^-$	-
$\overline{D^0 \to K^- K^+ e^+ e^-}$	< 31.5
$D^0 \to \pi^+ \pi^- e^+ e^-$	< 37.3
$D^0 \to K^- \pi^+ e^+ e^{-\dagger}$	< 38.5
$D^0 \to \pi^0 e^+ e^-$	< 4.5
$D^0 \to \eta e^+ e^-$	< 11
$D^0 \rightarrow \omega e^+ e^-$	< 18
$D^0 \to K^0_S e^+ e^-$	< 11
<sup>†</sup> in $M_{e^+e^-}$ regions:	
$[0.00, 0.20) \text{ GeV}/c^2$	-
$[0.20, 0.65) \text{ GeV}/c^2$	-
$[0.65, 0.90] \text{ GeV}/c^2$	-

- With double tag technique at threshold, both D0 and D+ FCNC are studied.
- UL for D+ 4-track events could be provided for 1<sup>st</sup> time
- other FCNC upper limits could be greatly improved
- divide the M(ee) distribution
   into 3 regions for Kpiee to
   help separate LD effect
- Work in progress, to come out soon



- Belle Collaboration (2004)
  - $B(D^0 \to \phi \gamma) = \left[2.60^{+0.70}_{-0.61}(stat)^{+0.15}_{-0.17}(syst)\right] \times 10^{-5}$
- BABAR Collaboration (2008) •  $B(D^0 \to \phi \gamma) = (2.78 \pm 0.30 \pm 0.27) \times 10^{-5}$ •  $B(D^0 \to \overline{K}^{*0} \gamma) = (3.28 \pm 0.20 \pm 0.27) \times 10^{-4}$
- Belle Collaboration (2017)
  B(D<sup>0</sup> → φγ) = (2.76 ± 0.19 ± 0.10) × 10<sup>-5</sup>
  B(D<sup>0</sup> → K<sup>\*0</sup>γ) = (4.66 ± 0.21 ± 0.21) × 10<sup>-4</sup>

- **D** BESIII work in progress
- With present data set, gamma K\* could be within
  - gamma K\* could be within reach
- Difficult for phi, due to phi pi0 and phi KL backgrounds
- Not so comeptitive with more data





## Symmetry breaking processes

2017/9/23 Charm rare decays at BESIII

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# **EXAMPLE Symmetry breaking process:** possibilities



BESIII is more competitive in channels with low energy electron/photons, neutrons, pi0's

$\mu^{\pm}e^{\mp}$	LFV
$\pi^0 e^{\pm} \mu^{\mp}$	LFV
$\eta e^{\pm} \mu^{\mp}$	LFV
$\pi^+\pi^-e^\pm\mu^\mp$	LFV
$\rho e^{\pm} \mu^{\mp}$	LFV
$\omega e^{\pm} \mu^{\mp}$	LFV
$K^+K^-e^{\pm}\mu^{\mp}$	LFV
$\phi e^{\pm} \mu^{\mp}$	LFV
$K^0 e^{\pm} \mu^{\mp}$	LFV
$K^{-}\pi^{+}e^{\pm}\mu^{\mp}$	LFV
$K^{*0}e^{\pm}\mu^{\mp}$	LFV
$\pi^{\mp}\pi^{\mp}e^{\pm}e^{\pm}$	LNV
$\pi^{\mp}\pi^{\mp}\mu^{\pm}\mu^{\pm}$	LNV
$K^{\mp}\pi^{\mp}e^{\pm}e^{\pm}$	LNV
$K^{\mp}\pi^{\mp}\mu^{\pm}\mu^{\pm}$	LNV
$K^{\mp}K^{\mp}e^{\pm}e^{\pm}$	LNV
$K^{\mp}K^{\mp}\mu^{\pm}\mu^{\pm}$	LNV
$\pi^{\mp}\pi^{\mp}e^{\pm}\mu^{\pm}$	LNV
$K^{\mp}\pi^{\mp}e^{\pm}\mu^{\pm}$	LNV
$K^{\mp}K^{\mp}e^{\pm}\mu^{\pm}$	LNV
$pe^{-}$	BNV+LNV
$\overline{p}e^+$	BNV+LNV

## **ECT** Lepton number violation



 $\mathcal{B}(D^0 \to e^{\pm} \mu^{\mp}) < 1.5 \times 10^{-8} \text{ at } 90\% \text{ CL}$ 

These are difficult for BESIII to be competetive,

 $\mathcal{B}(D^+ \to \pi^- \mu^+ \mu^+) < 2.2 \cdot 10^{-8}$  $\mathcal{B}(D_s^+ \to \pi^- \mu^+ \mu^+) < 1.1 \cdot 10^{-8}$ 

• LNV :  $c \rightarrow u\mu^{+}\mu^{+}$  forbidden in SM

✓ Majorana neutrino: ~ 10<sup>-30~-23</sup> level, PRD64 (2001) 114009 ✓ May be greatly enhanced: ~10<sup>-5~-6</sup> with EPJC71 (2011) 1715) H.R. Dong. F. Feng and H.B. Li. Chin. Phys. C 39 013101 (2015)





#### **BNV & LNV processes**



Х

D<sup>+</sup>

 $D^+$ 

d

D+->Lambda-bar(Sigma-bar)e+ Ds->Lambda e D+ ->nbar e+ D0 ->pbar e+ All started at BESIII, will benefit from the final charm dataset



Many standard model (SM) extensions and Grand Unified Theories (GUTs) such as superstring models and SUSY predict proton decays. In this case, baryon number is violated while the difference  $\Delta({\rm B-L})$  is conserved.

In the assumption of the heavy bosons X (4/3e) and Y (1/3e), there exists baryon number violation processes via  $c(u)X\bar{u}$  or  $c(u)Y\bar{d}(\bar{s})$  coupling.



#### Rare $D_s$ and $\Lambda_c$ Decays





Special for BESIII:  $\Lambda_{c}^{+} \rightarrow \gamma \Sigma^{+}$ 

#### **Reach of rare charm decays**







## Thanks!

Extra slides...

# **Here is a set of the set of the**



clean exp signature; robust theory calc; high sensitivity

Effective theory: model independent descriptions

$$\mathcal{H}_{\rm eff} = -\frac{4G_F}{\sqrt{2}} V_{\rm tb} V_{\rm tq}^* \sum_i \underbrace{\mathcal{C}_i \mathcal{O}_i}_{i} + \underbrace{\mathcal{C}'_i \mathcal{O}'_i}_{i} + \sum \frac{c}{\Lambda_{\rm NP}^2} \underbrace{\mathcal{O}_{\rm NP}}_{i=3-6,8} \quad \begin{array}{ll} i=1,2 & \text{Tree} \\ i=3-6,8 & \text{Gluon penguin} \\ i=7 & \text{Photon penguin} \\ i=9,10 & \text{EW penguin} \\ i=S,P & (\text{Pseudo)scalar penguin} \end{array}$$

Differenct processes have sensitivities to different operators

 $\begin{array}{cccc} & \mathcal{O}perator \ \mathcal{O}_i & \mathcal{B}_{s,d} \to X_{s,d} \mu^+ \mu^- & \mathcal{B}_{s,d} \to \mu^+ \mu^- & \mathcal{B}_{s,d} \to X_{s,d} \gamma \\ \\ & \mathcal{O}_7 \sim m_b (\bar{s}_L \sigma^{\mu\nu} b_R) F_{\mu\nu} & \checkmark & \checkmark & \checkmark \\ & \mathcal{O}_9 \sim (\bar{s}_L \gamma^\mu b_L) (\bar{\ell} \gamma_\mu \ell) & \checkmark & \checkmark & \\ & \mathcal{O}_{10} \sim (\bar{s}_L \gamma^\mu b_L) (\bar{\ell} \gamma_5 \gamma_\mu \ell) & \checkmark & \checkmark & \checkmark \\ & \mathcal{O}_{5,P} \sim (\bar{s}b)_{S,P} (\bar{\ell}\ell)_{S,P} & (\checkmark) & \checkmark & \end{array}$ 

## **Herent NP models**





- Z' many ...
- $SU(2)_L$  singlet or triplet
- $U(1)_{L_{\mu}-L_{\tau}}, U(1)_{B_{3}-L_{\mu}}$



- Leptoquark
- Scalar  $(S_1, S_3)$  or Vector  $(R_2, U_1, U_3)$ arXiv: 1511.01900, 1503.01084, 1704.05835 1512.01560, 1511.06024 tharmare decays at BESIII 1408.1627 .....



• New Scalar or Vectors or leptoquarks possible arXiv: hep-ph/06100371509.05020,1608.07832 1704.05438,1607.01659 1704.07845... <sup>32</sup>

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