



Test of lepton flavor universality in the semileptonic B decays at LHCb

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Outline

1. Introduction.

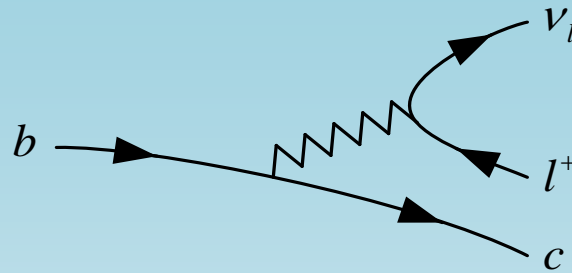
2. $\mathcal{R}(D^*)$ with $\frac{\mathcal{B}(B^0 \rightarrow D^{*-} \tau^+ \nu_\tau)}{\mathcal{B}(B^0 \rightarrow D^{*-} \mu^+ \nu_\mu)}$, $\tau^+ \rightarrow \mu^+ \bar{\nu}_\tau \nu_\mu$

3. $\mathcal{R}(J/\psi)$ with $\frac{\mathcal{B}(B_c^+ \rightarrow J/\psi \tau^+ \nu_\tau)}{\mathcal{B}(B_c^+ \rightarrow J/\psi \mu^+ \nu_\mu)}$, $\tau^+ \rightarrow \mu^+ \bar{\nu}_\tau \nu_\mu$

4. $\mathcal{R}(D^*)$ with $\frac{\mathcal{B}(B^0 \rightarrow D^{*-} \tau^+ \nu_\tau)}{\mathcal{B}(B^0 \rightarrow D^{*-} \mu^+ \nu_\mu)}$, $\tau^+ \rightarrow \pi^+ \pi^- \pi^+ (\pi^0) \bar{\nu}_\tau$

5. Prospect, Conclusion.

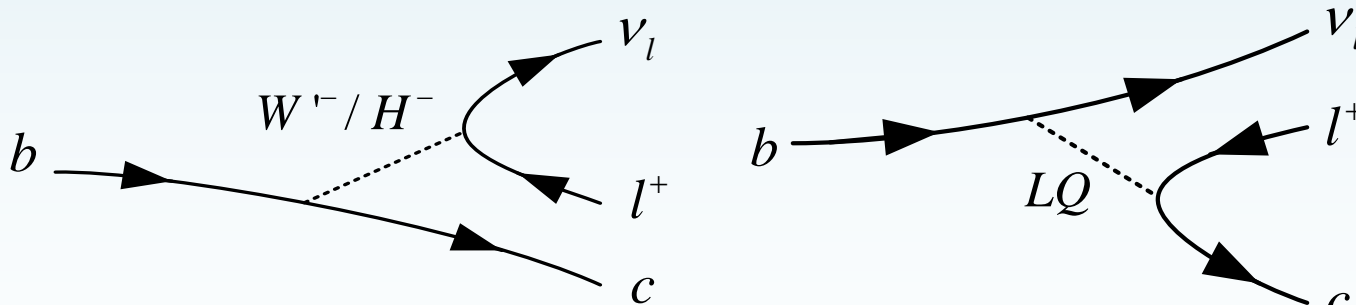
Lepton flavor universality



- In SM, electroweak couplings to gauge bosons are trivially equal for leptons.
 - Amplitudes involving leptons differs from their masses and helicities.

$$\mathcal{H}_{eff} = \frac{4}{\sqrt{2}} V_{cb} \bar{c} \gamma_\mu P_L b \times G_F \sum_{l=e,\mu,\tau} (\bar{l} \gamma^\mu P_L \nu_l) + \text{h.c.}$$

- New physics may have increased coupling to heavier fermions.
 - Semileptonic decays $b \rightarrow c \tau \nu$ are sensitive to exotic particles.



LFU test on other systems

- Searches on lepton universality on different systems for years.
 - $J/\psi \rightarrow ll, \tau \rightarrow lv\bar{\nu}, \pi \rightarrow lv, K \rightarrow \pi lv, \dots$
- More tests involve the 1st and 2nd quark and lepton generations.
 - $m > m(\tau)$ to allow taunic decay: Z^0, W^\pm, H^0, b .

In order to test lepton universality in Z decays quantitatively, the ratios of the leptonic partial widths or equivalently the ratios of the leptonic branching fractions are calculated. The results are:

$$\frac{\Gamma_{\mu\mu}}{\Gamma_{ee}} = \frac{B(Z \rightarrow \mu^+\mu^-)}{B(Z \rightarrow e^+e^-)} = 1.0009 \pm 0.0028, \quad (7.4)$$

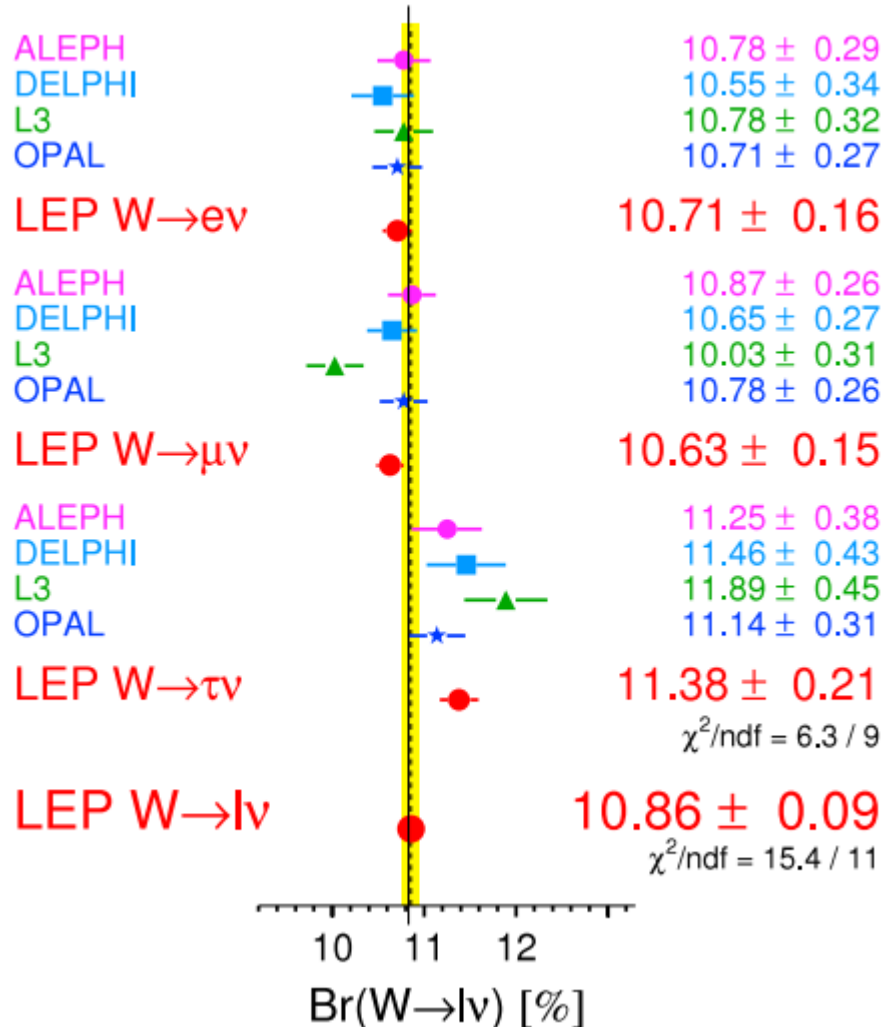
$$\frac{\Gamma_{\tau\tau}}{\Gamma_{ee}} = \frac{B(Z \rightarrow \tau^+\tau^-)}{B(Z \rightarrow e^+e^-)} = 1.0019 \pm 0.0032 \quad (7.5)$$

with a correlation of +0.63. In both cases, good agreement with lepton universality is observed Assuming lepton universality, τ mass effects are expected to decrease $\Gamma_{\tau\tau}$ and $B(Z \rightarrow \tau^+\tau^-)$ as quoted here by 0.23% relative to the light lepton species e and μ .

PR 427(2006)257-454

LFU test on W bosons

W Leptonic Branching Ratios



LFU test on on-shell W bosons:

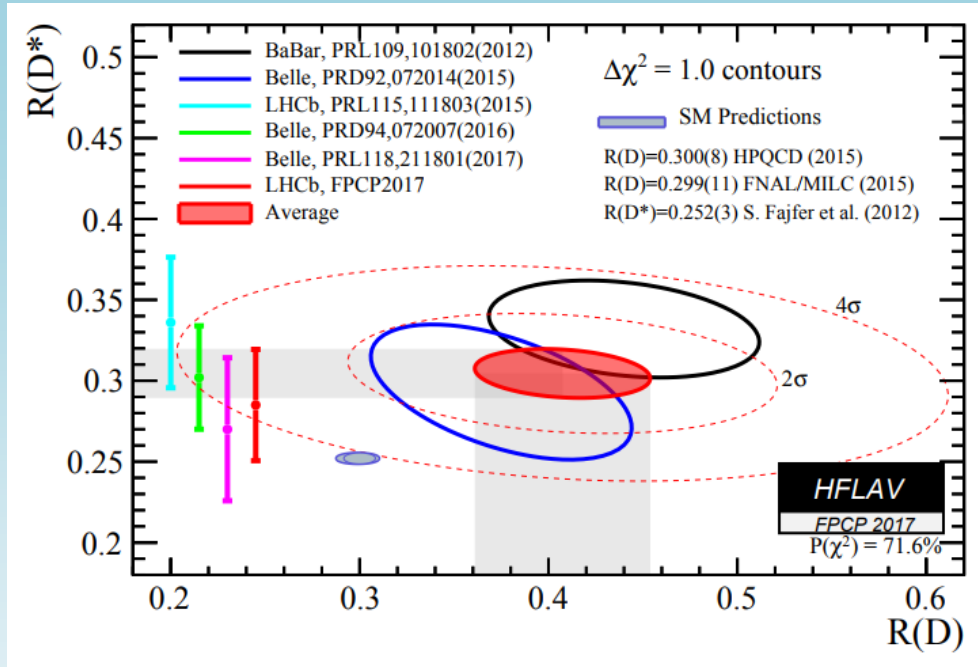
$$\frac{\mathcal{B}(W \rightarrow \mu\bar{\nu}_\mu)}{\mathcal{B}(W \rightarrow e\bar{\nu}_e)} = 0.993 \pm 0.019$$

$$\frac{\mathcal{B}(W \rightarrow \tau\bar{\nu}_\tau)}{\mathcal{B}(W \rightarrow e\bar{\nu}_e)} = 1.063 \pm 0.027$$

$$\frac{\mathcal{B}(W \rightarrow \tau\bar{\nu}_\tau)}{\mathcal{B}(W \rightarrow \mu\bar{\nu}_\mu)} = 1.070 \pm 0.026$$

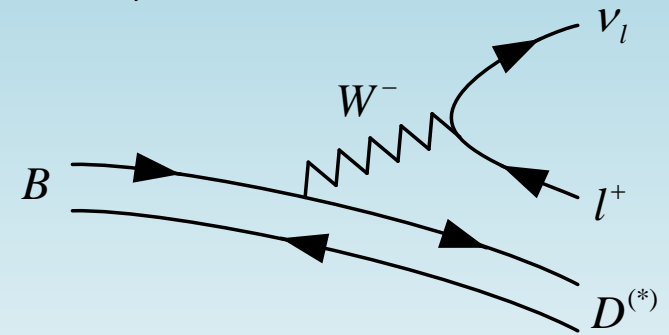
} 2σ

LFU test on B mesons



$$\mathcal{R}(D^{(*)}) = \frac{\mathcal{B}(B \rightarrow D^{(*)} \tau \bar{\nu}_\tau)}{\mathcal{B}(B \rightarrow D^{(*)} l \bar{\nu}_l)}$$

$$l = e, \mu$$



Exp.	Channel	τ reconstruction	Deviation from SM
BaBar 2012	$\mathcal{B}(\bar{B} \rightarrow D^{(*)} \tau \bar{\nu}_\tau) / \mathcal{B}(\bar{B} \rightarrow D^{(*)} l \bar{\nu}_l)$	$\tau \rightarrow l \nu \bar{\nu}$	$+3.4\sigma$
Belle 2015	$\mathcal{B}(\bar{B} \rightarrow D^{(*)} \tau \bar{\nu}_\tau) / \mathcal{B}(\bar{B} \rightarrow D^{(*)} l \bar{\nu}_l)$	$\tau \rightarrow l \nu \bar{\nu}$	$+1.8\sigma$
LHCb 2015	$\mathcal{B}(\bar{B}^0 \rightarrow D^{*+} \tau^- \bar{\nu}_\tau) / \mathcal{B}(\bar{B}^0 \rightarrow D^{*+} \mu^- \bar{\nu}_\mu)$	$\tau \rightarrow \mu \nu \bar{\nu}$	$+2.1\sigma$
Belle 2016	$\mathcal{B}(\bar{B}^0 \rightarrow D^{*+} \tau^- \bar{\nu}_\tau) / \mathcal{B}(\bar{B}^0 \rightarrow D^{*+} l^- \bar{\nu}_l)$	$\tau \rightarrow l \nu \bar{\nu}$	$+1.6\sigma$
Belle 2017.5	$\mathcal{B}(\bar{B} \rightarrow D^* \tau^- \bar{\nu}_\tau) / \mathcal{B}(\bar{B} \rightarrow D^* l^- \bar{\nu}_l)$	$\tau^- \rightarrow \pi^- \nu_\tau, \rho^- \nu_\tau$	$<1\sigma$
LHCb 2017.8	$\mathcal{B}(\bar{B}^0 \rightarrow D^{*+} \tau^- \bar{\nu}_\tau) / \mathcal{B}(\bar{B}^0 \rightarrow D^{*+} \mu^- \bar{\nu}_\mu)$	$\tau^- \rightarrow \pi^- \pi^+ \pi^- (\pi^0) \nu$	$+1.0\sigma$

Semileptonic B decay in LHCb: Difficulties

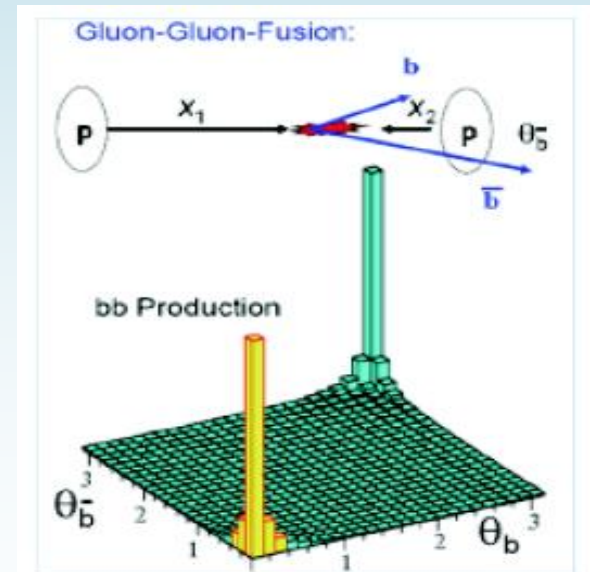
LHCb2015 Muonic decay: $\bar{B}^0 \rightarrow D^{*+} \tau^- \bar{\nu}_\tau, \tau^- \rightarrow \mu^- \bar{\nu}_\tau \bar{\nu}_\mu$

LHCb2017 Muonic decay: $B_c^+ \rightarrow J/\psi \tau^+ \bar{\nu}_\tau, \tau^+ \rightarrow \mu^+ \bar{\nu}_\tau \nu_\mu$

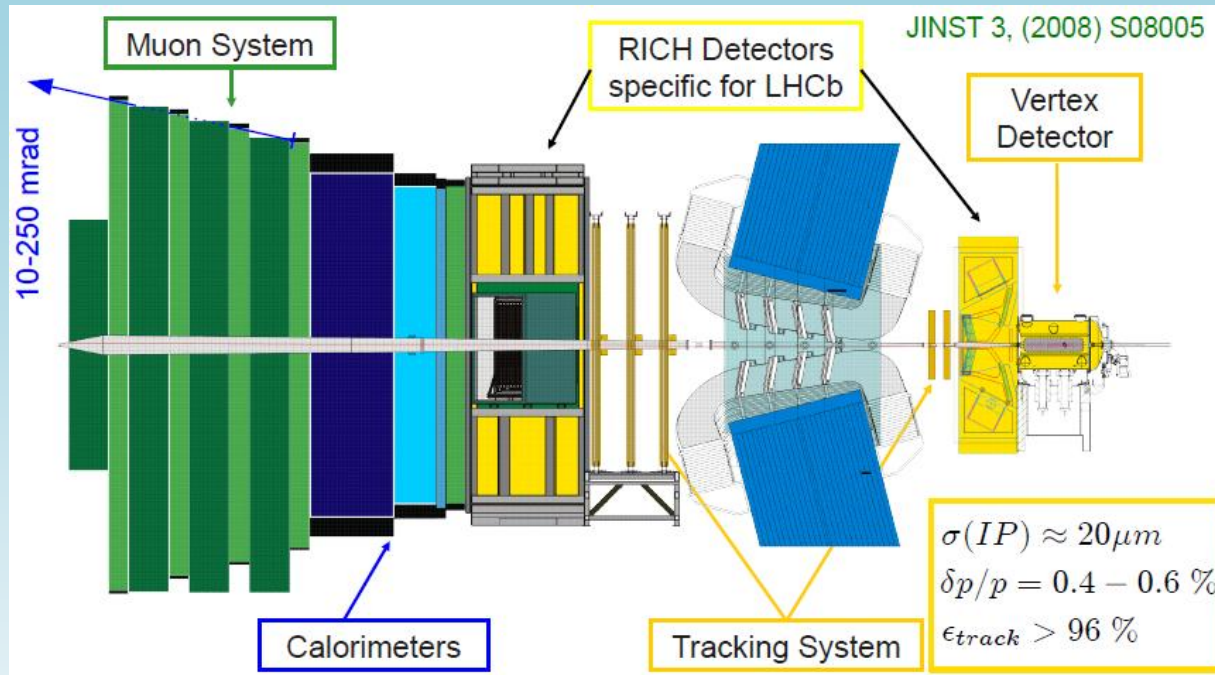
LHCb2017 Hadronic decay: $\bar{B}^0 \rightarrow D^{*+} \tau^- \bar{\nu}_\tau, \tau^- \rightarrow \pi^- \pi^+ \pi^- \nu_\tau$

Difficulties:

- No center mass constrain, no tag side, neutrinos.
 - Partial rec. mass peak washed out.
- Main background: partial reconstructed B decays.
 - $B \rightarrow D^{**} l \nu$ as D^* side background.
 - $B \rightarrow D^* D_{(s)}^{(\pm 0*)}$ as τ side background.

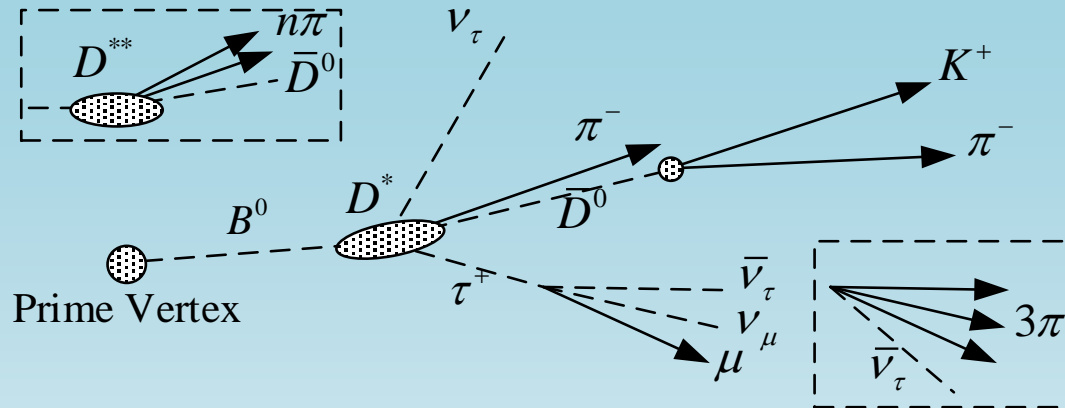


Keys: LHCb detector



- Excellent vertexing and tracking
 - Prime vertex & secondary vertex separation
 - decay time, length, angle...
- High efficiency for muon, D trigger.

Analysis methods for LFU test



Solution:

- Precise secondary vertex reconstruction and correction.
 - Soft π for D^* , $\pi^- \pi^+ \pi^-$ for τ decay vertex.
- B flight direction \rightarrow approximation to rest frame kinematics.

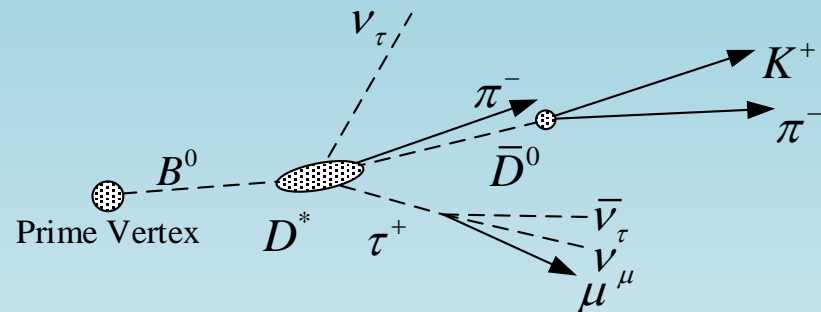
$$\frac{(p_B)_z}{m_B} \simeq \frac{(p_{\text{visible}})_z}{m_{\text{visible}}} \rightarrow |p_B| = (p_B)_z \sqrt{1 + \tan^2 \alpha}$$

- Isolation method for reconstructed candidates.
 - Signal hadrons are isolated, BGs' are not.

$R(D^*)$
with tau leptonic decay

$R(D^*)$ with tau leptonic decay

$$\mathcal{R}(D^*) = \frac{\mathcal{B}(\bar{B}^0 \rightarrow D^{*+} \tau^- \bar{\nu}_\tau)}{\mathcal{B}(\bar{B}^0 \rightarrow D^{*+} \mu^- \bar{\nu}_\mu)}, \begin{cases} \tau^- \rightarrow \mu^- \nu_\tau \bar{\nu}_\mu \\ D^{*+} \rightarrow D^0 \pi^+ \\ D^0 \rightarrow K^- \pi^+ \end{cases}$$



- Theoretically clean due to cancellation of form factor uncertainties.
- Large, well measured BF
 - $\mathcal{B}(\tau^+ \rightarrow \mu^+ \bar{\nu}_\tau \nu_\mu) = (17.41 \pm 0.04)\%$

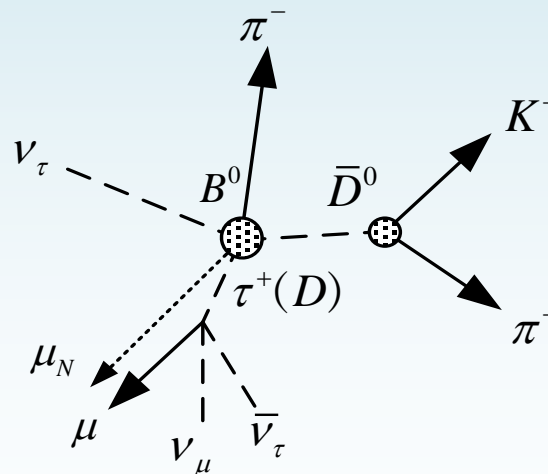
- Three dimensional template fit:

in B rest frame

- Muon energy. E_μ^*
- Missing mass square.

$$m_{miss}^2 = (p_B^\mu - p_{D^*}^\mu - p_l^\mu)^2$$
- Transferred 4-momentum square.

$$q^2 = (p_B^\mu - p_{D^*}^\mu)^2$$



signal & D^*D

soft E_μ^*

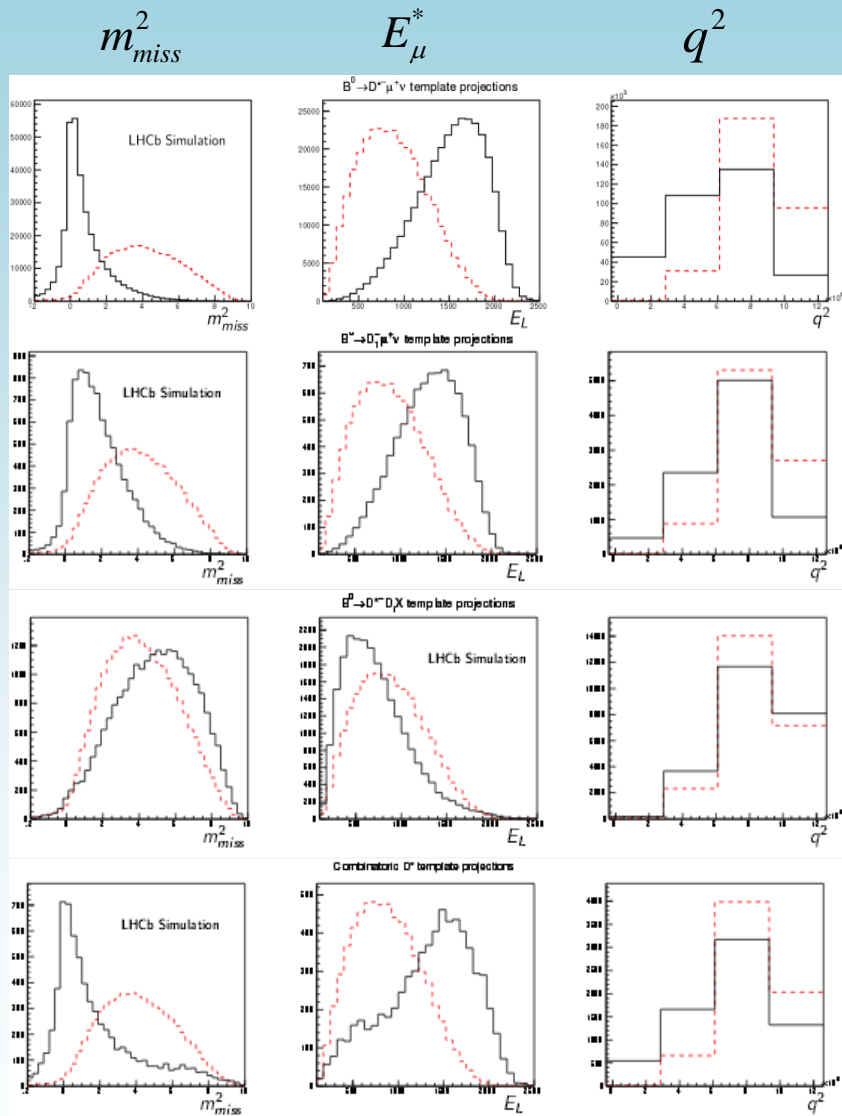
larger $q^2 \rightarrow m_{miss} > 0$

Normalization CH

hard E_μ^*

Average $q^2 \rightarrow m_{miss} \approx 0$

$R(D^*)$ with tau leptonic decay



2017/9/23

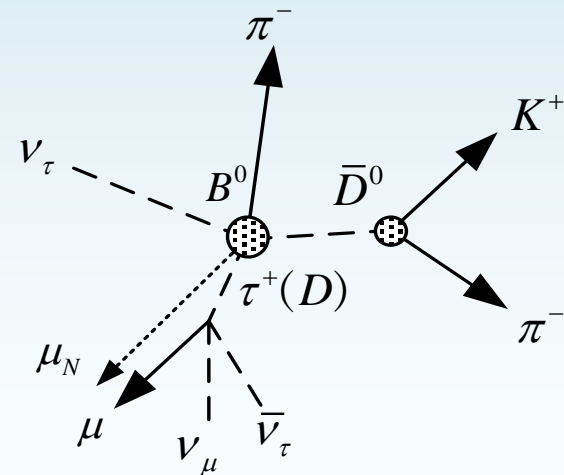
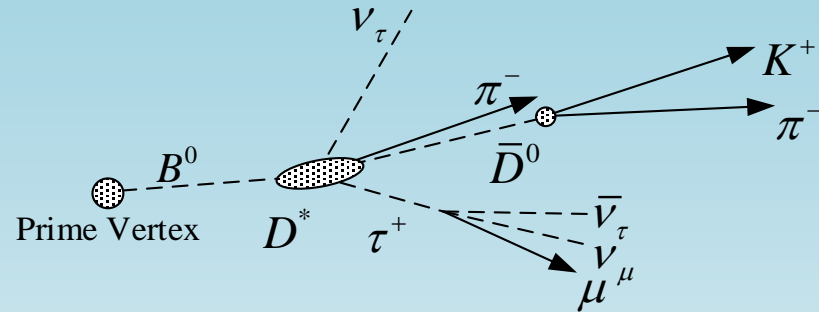
Normalize

Signal

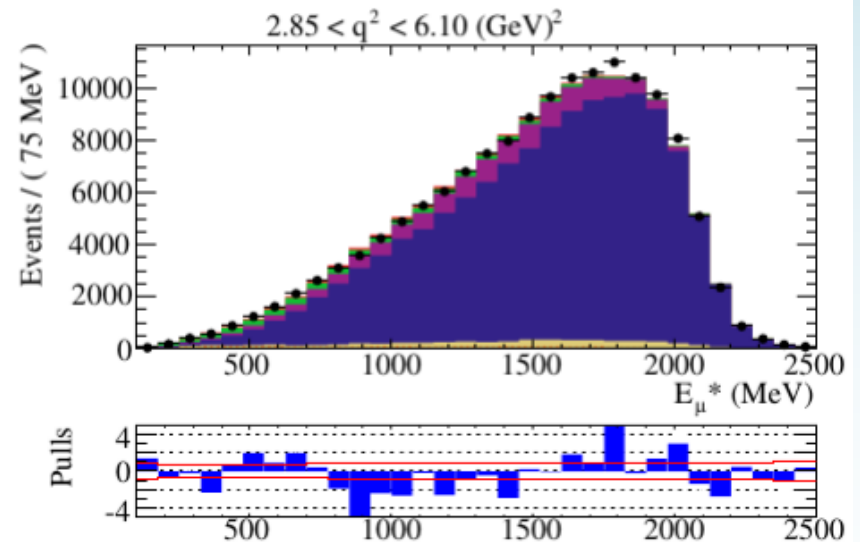
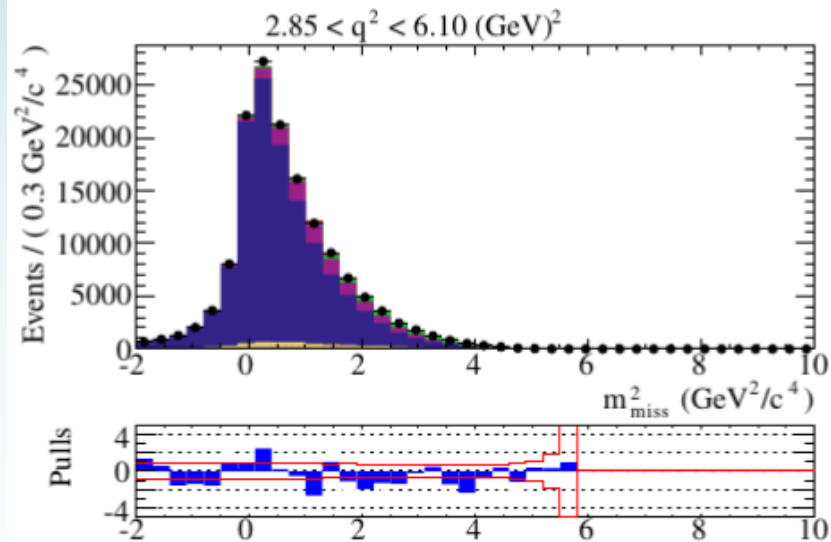
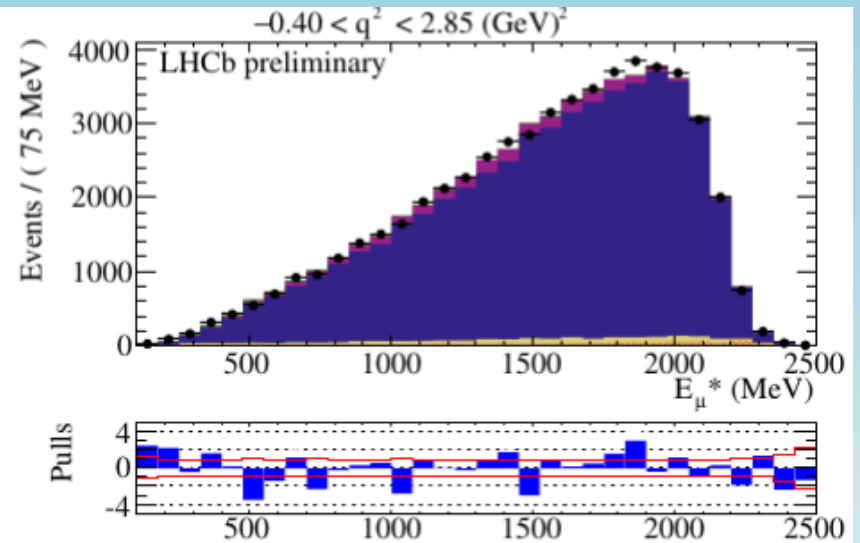
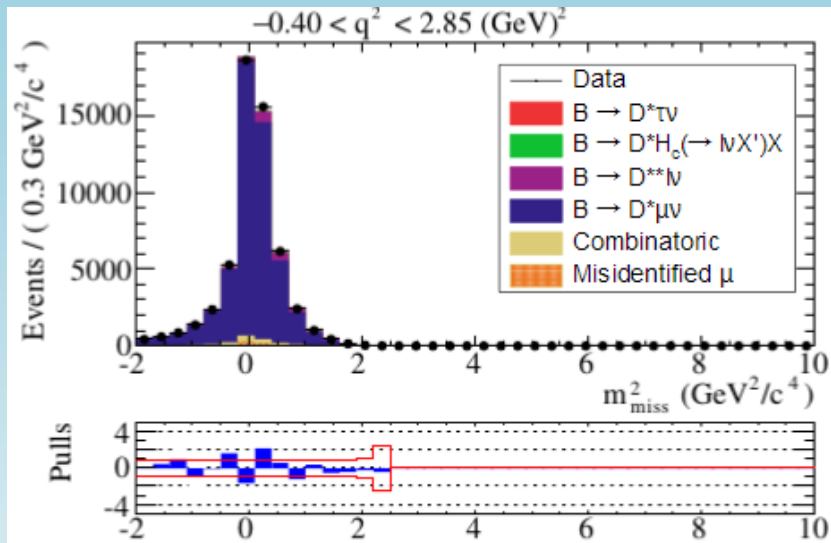
$D^{**}lv$

D^*D

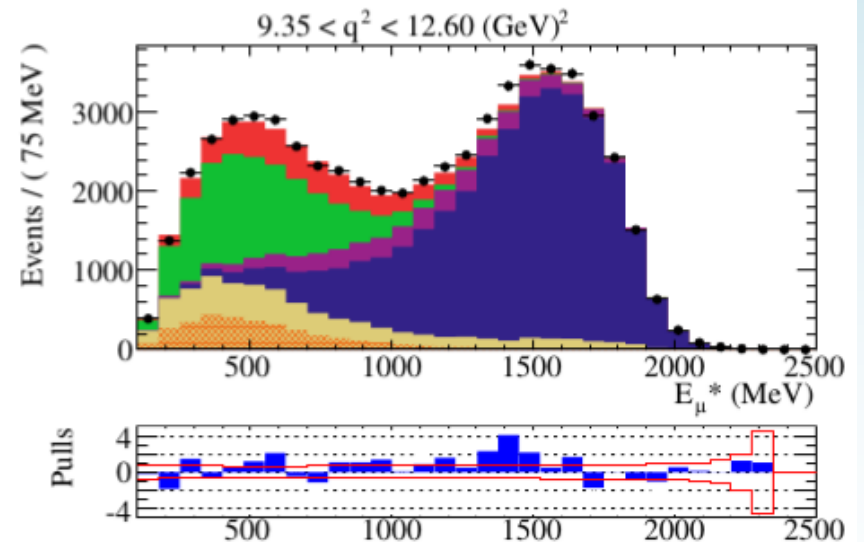
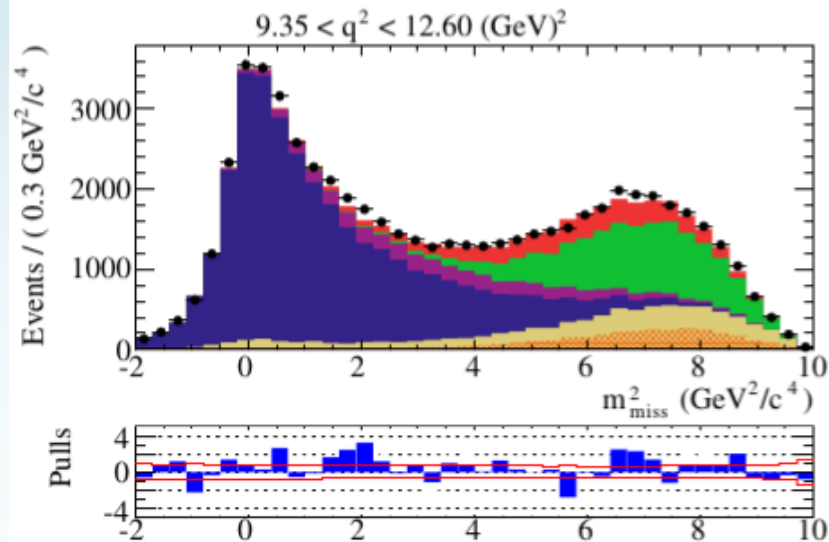
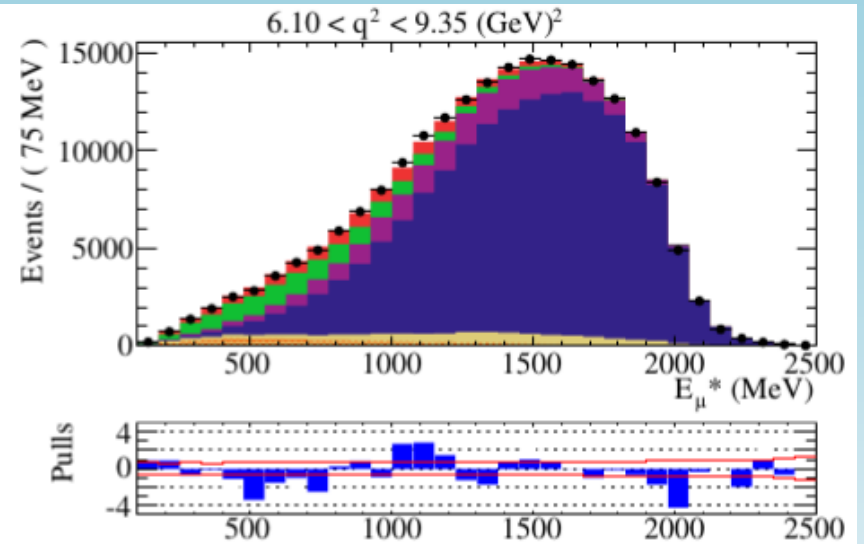
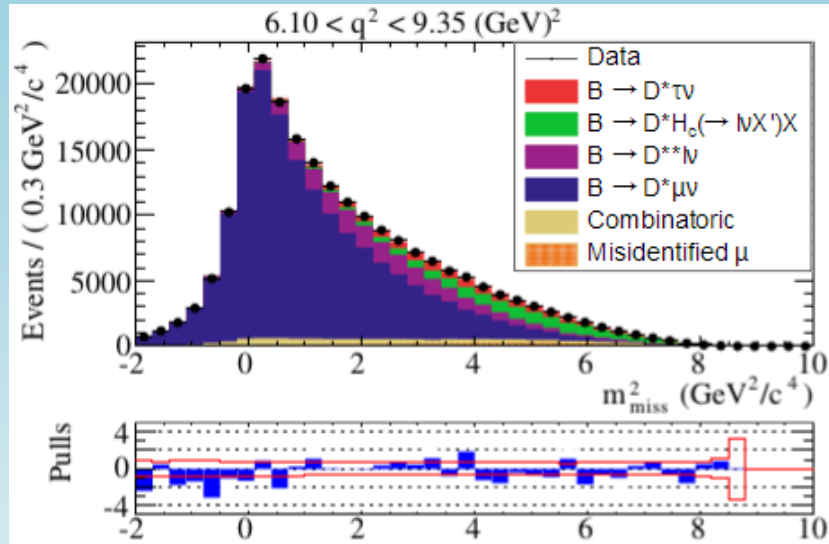
Comb.



Leptonic $R(D^*)$ 3D fit result: small q^2

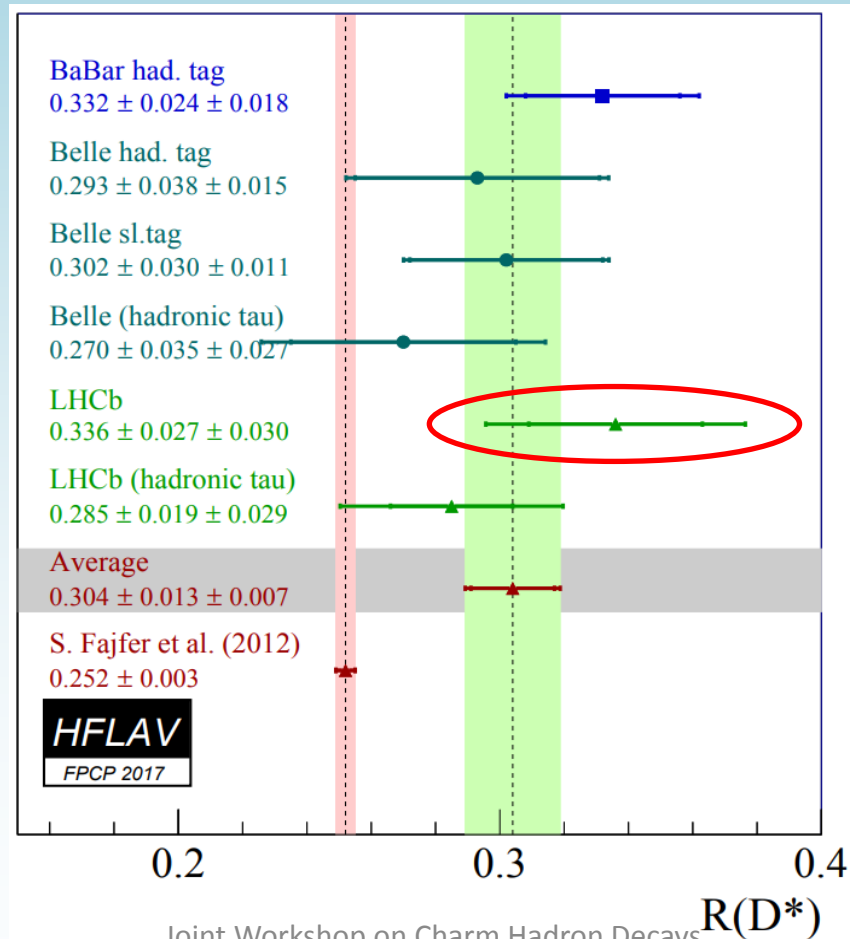


Leptonic $R(D^*)$ 3D fit result: large q^2



$R(D^*)$ - leptonic tau result

- Using LHC 3.0fb⁻¹ data in 2011 & 2012 @ 7TeV & 8TeV
- $\mathcal{R}(D^*) = 0.336 \pm 0.027(\text{stat}) \pm 0.030(\text{syst})$, 2.1 σ larger than prediction.

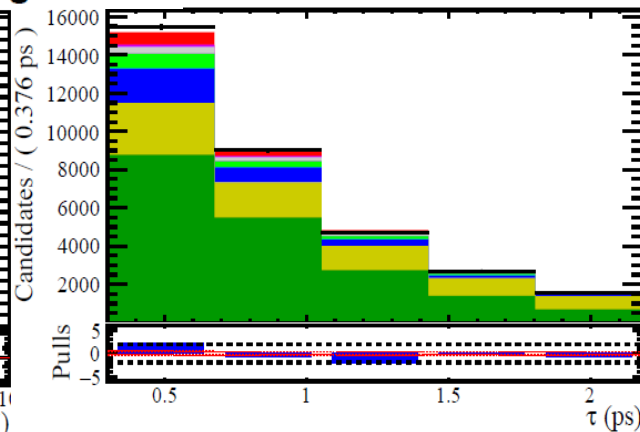
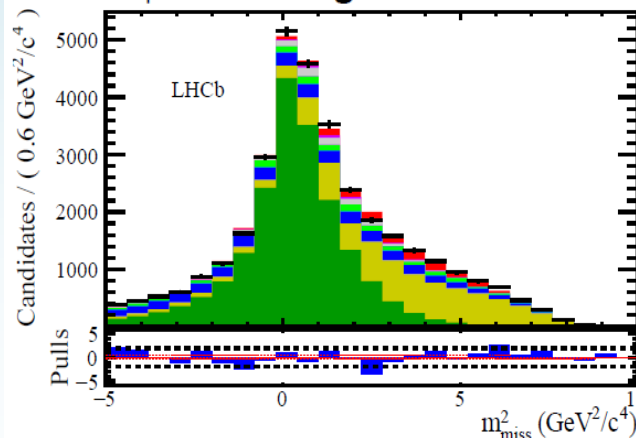
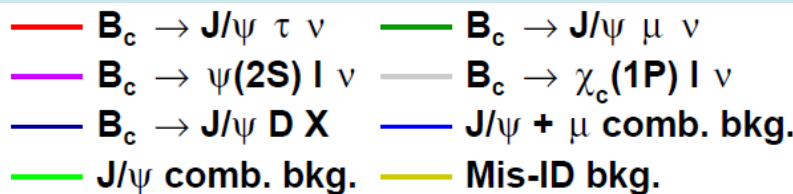
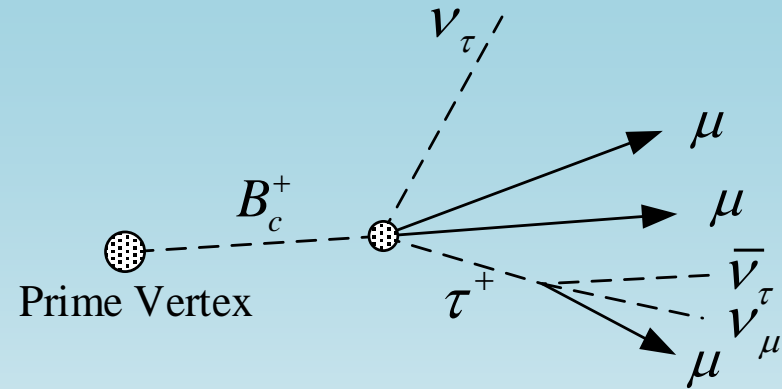


$R(J / \psi)$
with tau leptonic decay

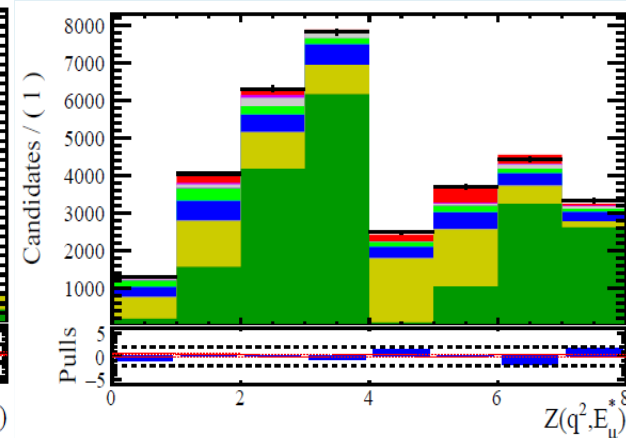
$R(J/\psi)$ with tau leptonic decay

$$\mathcal{R}(J/\psi) = \frac{\mathcal{B}(B_c^+ \rightarrow J/\psi \tau^+ \nu_\tau)}{\mathcal{B}(B_c^+ \rightarrow J/\psi \mu^+ \nu_\mu)} \cdot \begin{cases} \tau^+ \rightarrow \mu^+ \bar{\nu}_\tau \nu_\mu \\ J/\psi \rightarrow \mu\mu \end{cases}$$

- Same methods as $R(D^*)$
- Bc decay time $\tau(B_c) < \tau(B_{u,d,s})$

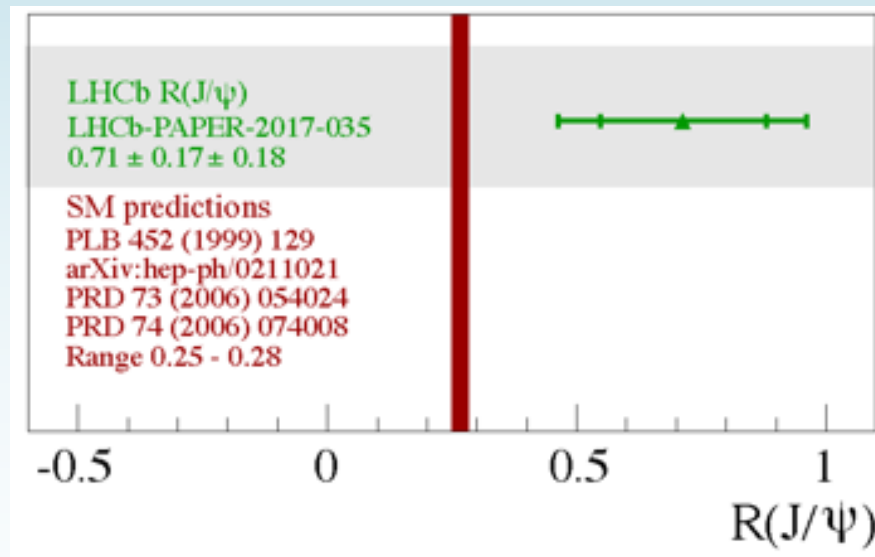


Z: the bin number of (q^2, E_μ^*)



$R(J/\psi)$ with tau leptonic decay

- Using LHC 3.0fb⁻¹ data in 2011 & 2012 @ 7TeV & 8TeV.
- Evidence for $B_c^+ \rightarrow J/\psi\tau^+\nu_\tau$ at a significance of 3σ .
- $\mathcal{R}(J/\psi) = 0.71 \pm 0.17(\text{stat}) \pm 0.18(\text{syst})$,
2 σ higher than prediction under SM framework: 0.25~0.28.



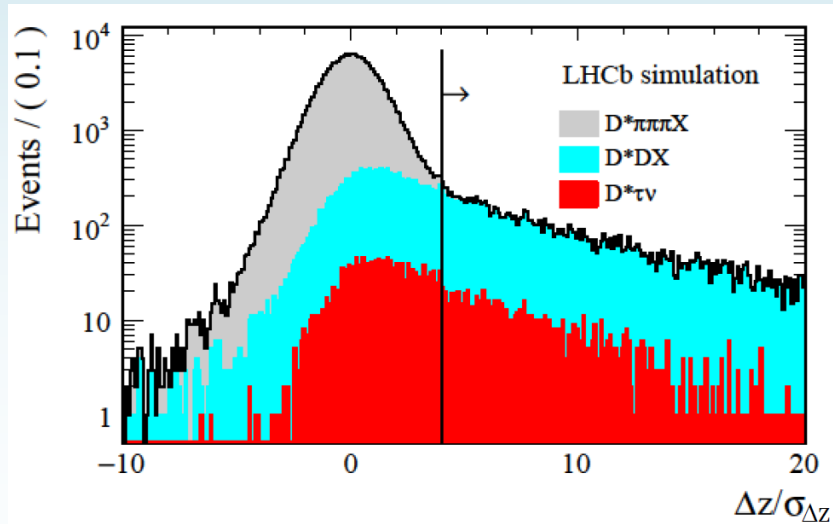
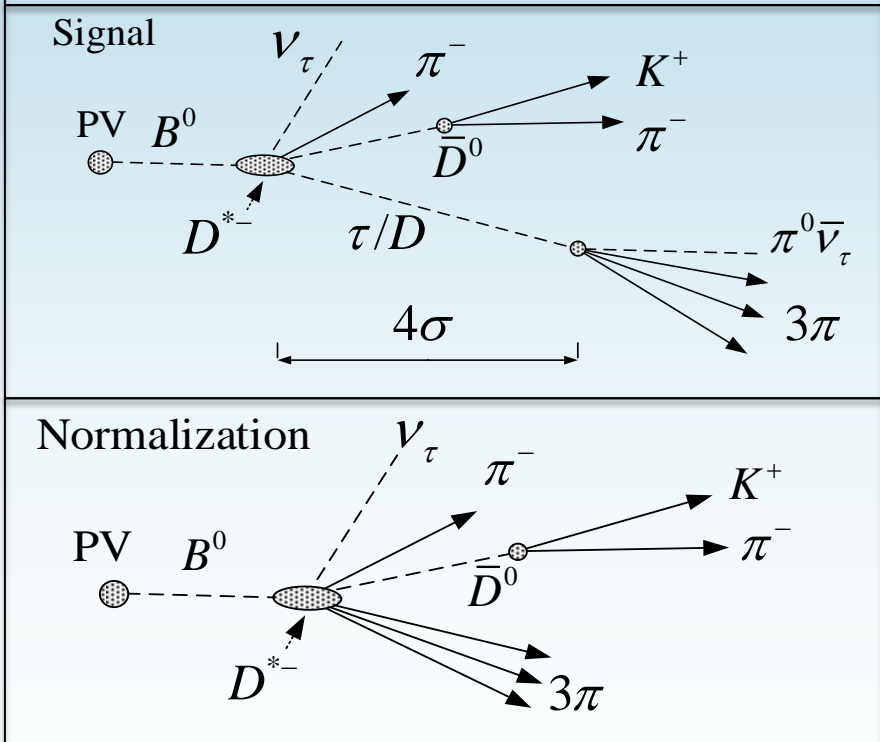
$R(D^*)$
with tau hadronic decay

$R(D^*)$ with tau hadronic decay

$$\mathcal{R}(D^*) = \frac{\mathcal{B}(\bar{B}^0 \rightarrow D^{*+} \tau^- \bar{\nu}_\tau)}{\mathcal{B}(\bar{B}^0 \rightarrow D^{*+} \mu^- \bar{\nu}_\mu)} \begin{cases} \tau^- \rightarrow \pi^- \pi^+ \pi^- (\pi^0) \nu_\tau \\ D^{*+} \rightarrow D^0 \pi^+ \\ D^0 \rightarrow K^+ \pi^- \end{cases}$$

$$= \underbrace{\frac{\mathcal{B}(\bar{B}^0 \rightarrow D^{*+} \tau^- \bar{\nu}_\tau)}{\mathcal{B}(\bar{B}^0 \rightarrow D^{*+} \pi^- \pi^+ \pi^-)}}_{\text{Signal}} \times \underbrace{\frac{\mathcal{B}(\bar{B}^0 \rightarrow D^{*+} \pi^- \pi^+ \pi^-)}{\mathcal{B}(\bar{B}^0 \rightarrow D^{*+} \mu^- \bar{\nu}_\mu)}}_{\text{Normalization}}$$

- $B \rightarrow D^* 3\pi$ as normalization channel, rest with high precision.
- With a detached 3π vertex cut,
 - $D3\pi$ restrained (10^3 suppression),
 - 35% signal efficiency,
 - double charm remaining.



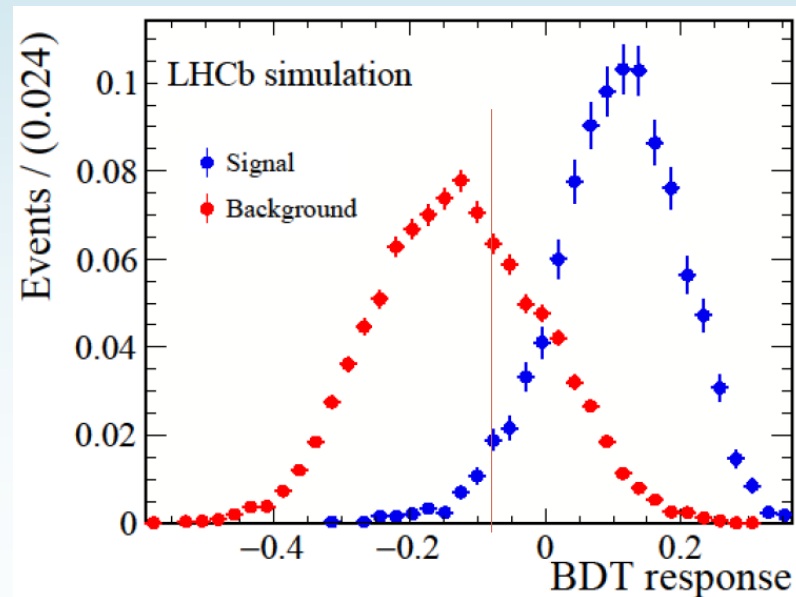
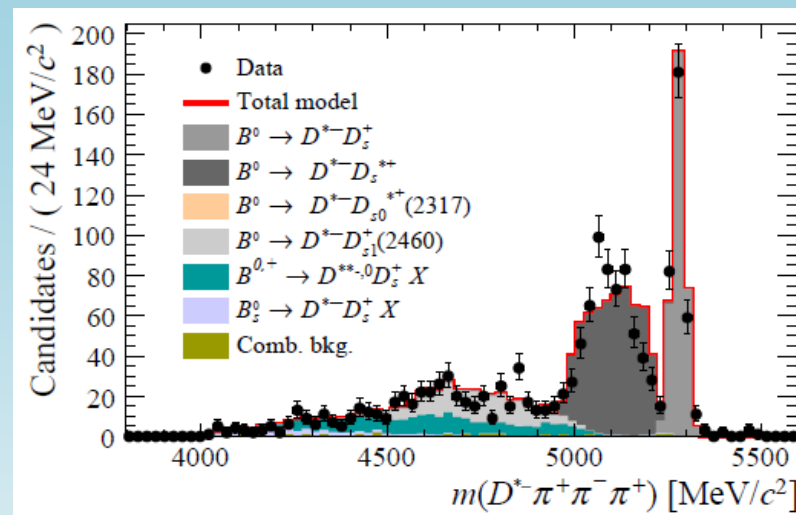
$R(D^*)$ with tau hadronic decay double charm rejection

$$X_b \rightarrow D^{*-} D^0 X : 0.2 \times \text{signal}$$

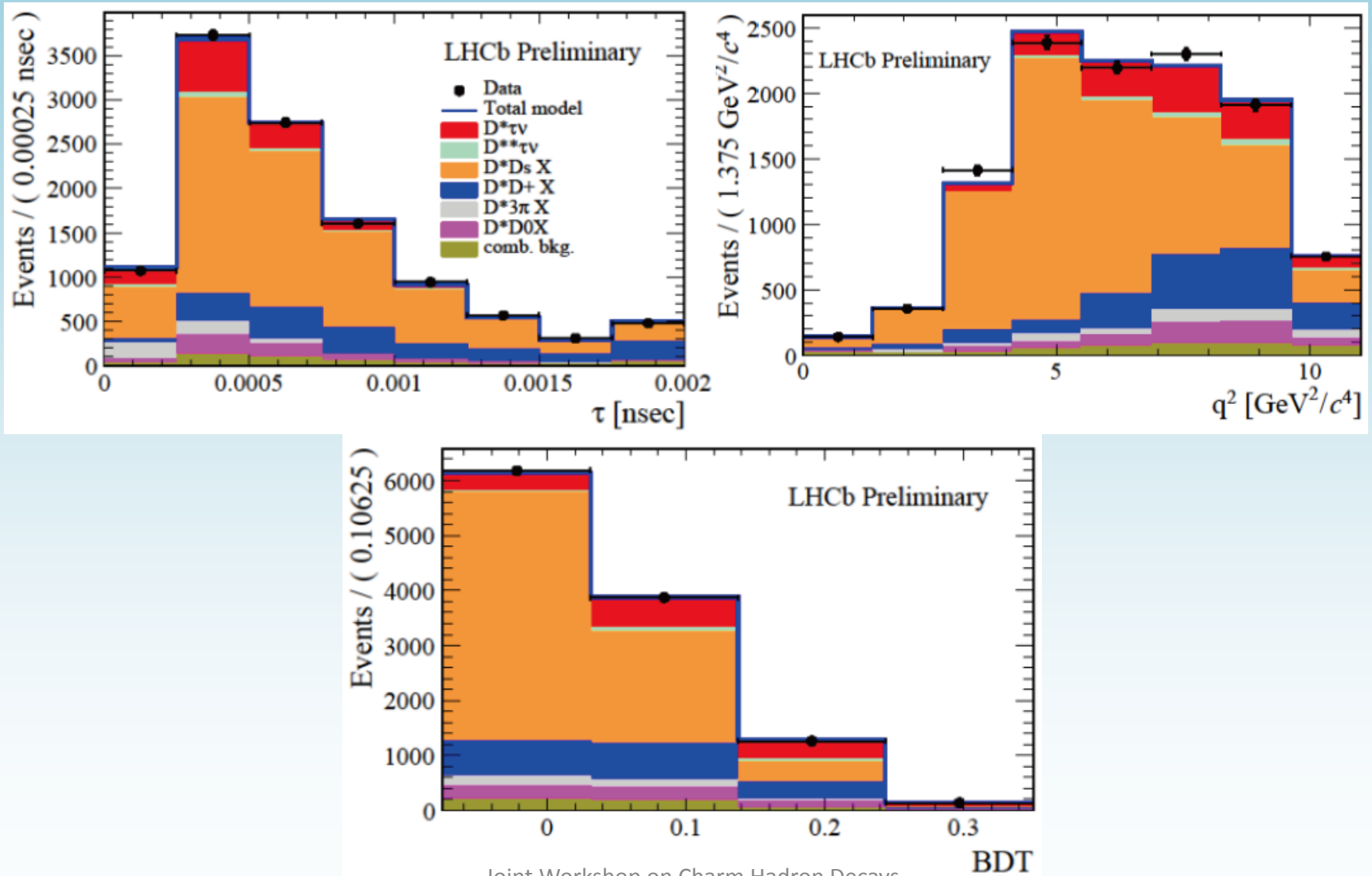
$$X_b \rightarrow D^{*-} D^+ X : 1 \times \text{signal}$$

$$X_b \rightarrow D^{*-} D_s^+ X : 10 \times \text{signal}$$

- The BG leads to nice mass peak and not the signal
- Train a BDT with variables sensitive to
 - Additional neutral energy
 - Different resonant structure of 3π system.



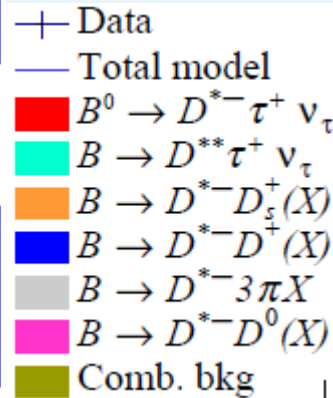
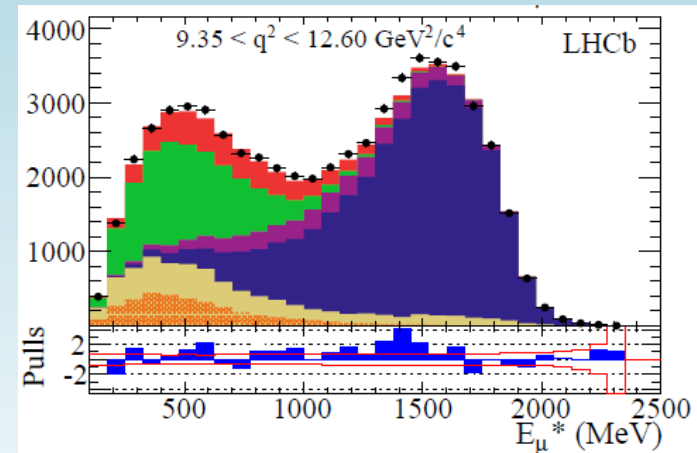
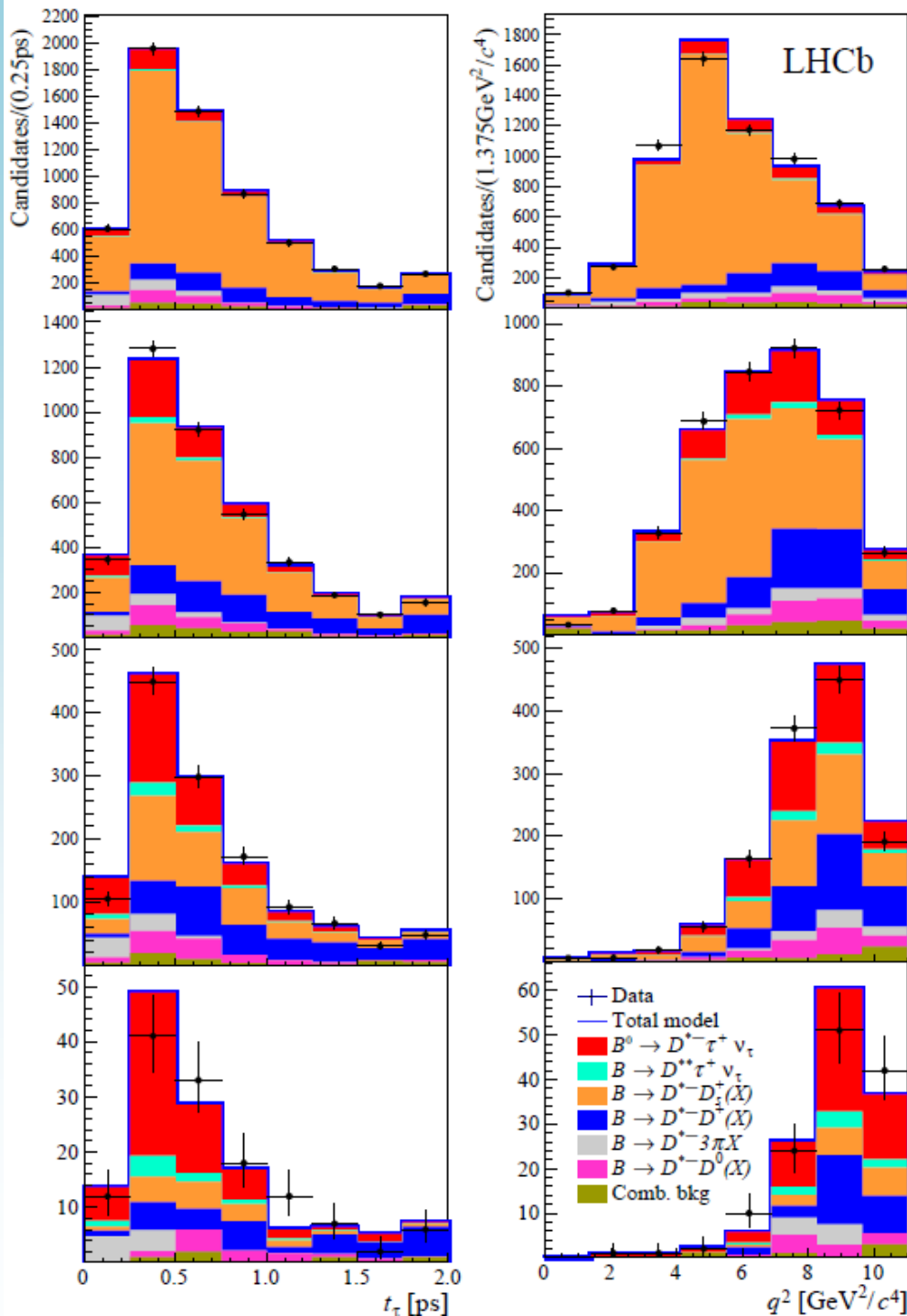
Hadronic $R(D^*)$ 3D fit result



Hadronic $R(D^*)$

Fit result divided by BDT bins

Hadronic rec. method can lead to high purity samples, comparing to muonic one.



$R(D^*)$ hadronic tau result

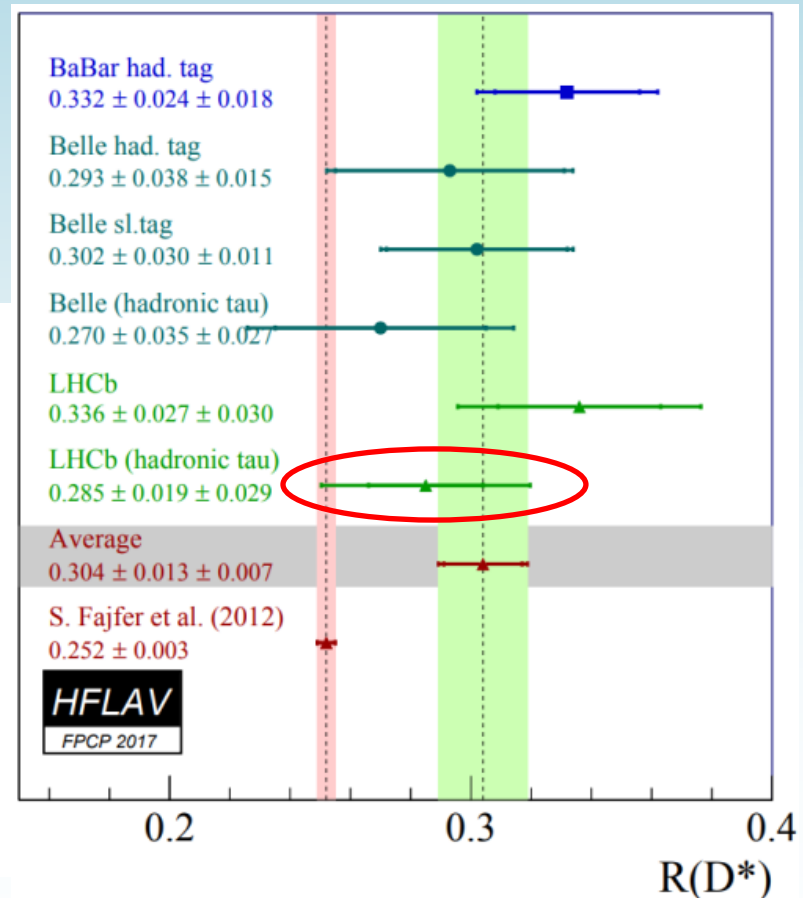
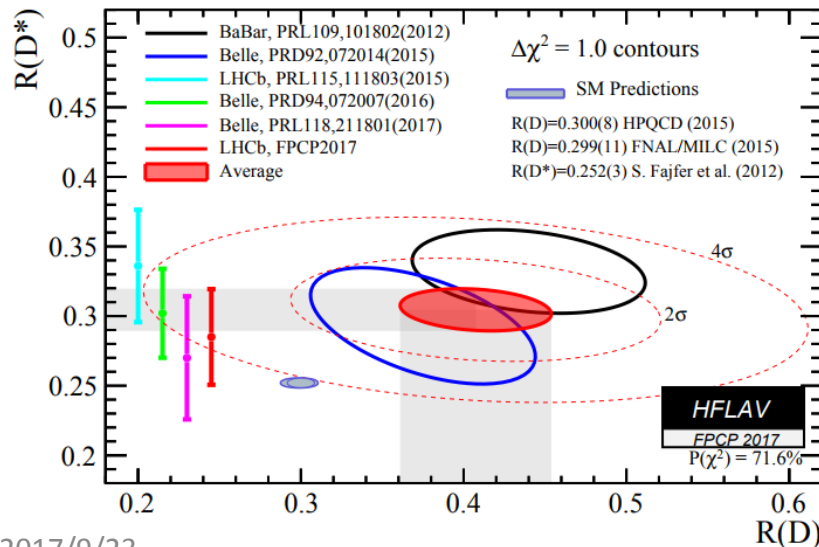
Using LHC 3.0fb⁻¹ data in 2011 & 2012 @ 7TeV & 8TeV.

$$\frac{\mathcal{B}(B^0 \rightarrow D^{*-} \tau^+ \nu_\tau)}{\mathcal{B}(\bar{B}^0 \rightarrow D^{*+} \pi^- \pi^+ \pi^-)} = 1.93 \pm 0.13_{stat} \pm 0.17_{syst}$$

$R(D^{*-}) = 0.285 \pm 0.019_{stat} \pm 0.025_{syst} \pm 0.13_{ext}$, 1.0 σ higher than prediction.

World average $R(D^*) = 0.304 \pm 0.015$,
3.4 σ above prediction.

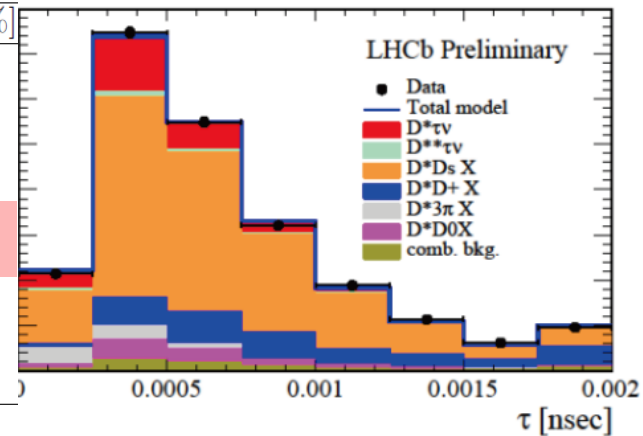
$R(D)$ and $R(D^*)$ give 4.1 σ above prediction.



Prospect & cooperation

More precise branch ratio

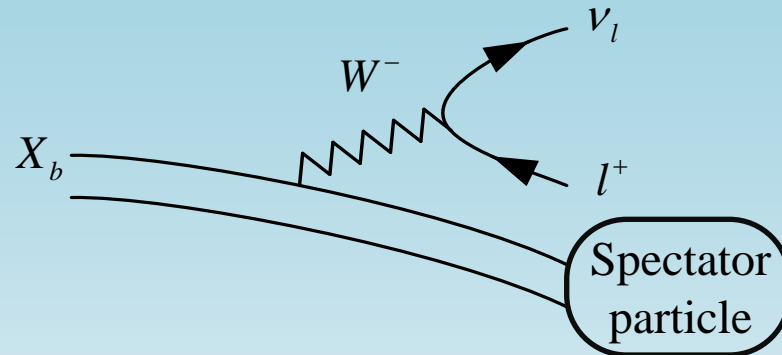
Source	$\delta R(D^{*-})/R(D^{*-})[\%]$
Simulated sample size	4.7
Empty bins in templates	1.3
Signal decay model	1.8
$D^{**}\tau\nu$ and $D_s^{**}\tau\nu$ feeddowns	2.7
$D_s^+ \rightarrow 3\pi X$ decay model	2.5
$B \rightarrow D^{*-}D^+X$, $B \rightarrow D^{*-}D^0X$ backgrounds	3.9
Combinatorial background	0.7
$B \rightarrow D^{*-}3\pi X$ background	2.8
Efficiency ratio	3.9
Total uncertainty	8.9



- $D_s \rightarrow 3\pi X$ has large BR($\sim 25\%$)
 - $D_s \rightarrow (\eta / \eta' / \phi / \omega)(\pi / \rho) X$
 - $(K^0 \eta \eta' \omega \dots) 3\pi$
 - We do not have precise BR for all of these.
- $D^+ \rightarrow K 3\pi \pi^0$ is poorly known, the inclusive BR not measured.
- For all these D decays, contacts have been established with BESIII collaboration to measure these numbers.

Need Your Help!

Other LFU SL researches in LHCb



Muonic channel:

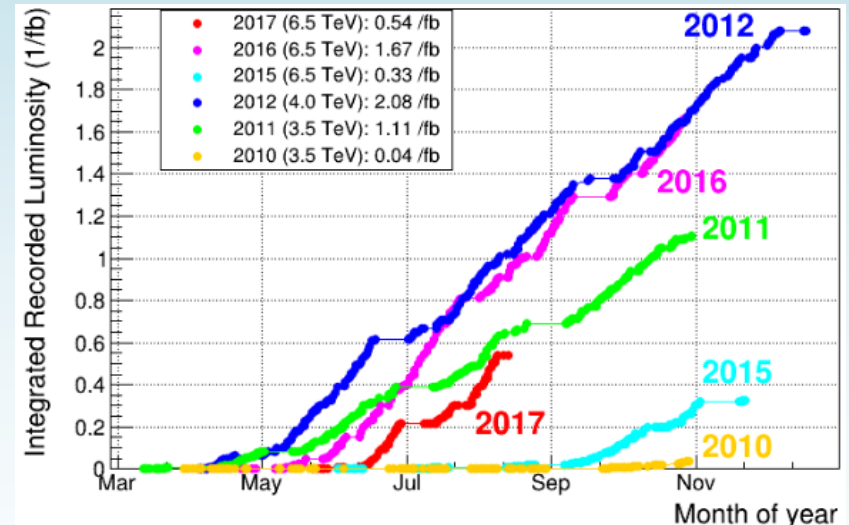
$$R(D), R(D_s), R(\Lambda_c^*)$$

Hadronic channel:

$$R(D), R(J/\psi), R(\Lambda_c), R(D_s)$$

Light lepton:

$$R_{unnamed} = \frac{B \rightarrow D^{(*)} e \nu / B \rightarrow D^{(*)} \mu \nu}{D^0 \rightarrow K e \nu / D^0 \rightarrow K \mu \nu}$$



Conclusion

- Semileptonic B decays are good probe to new physics:
 - High SM precision, high rate and high sensitivity
- Due to LHCb excellent vertex reconstruction capabilities, LFU test on $\mathcal{R}(D^*)$, $\mathcal{R}(J/\psi)$, with τ leptonic and hadronic decay.
- World average exceed prediction by 3.4σ and 2σ deviation in $\mathcal{R}(D^*)$ and $\mathcal{R}(J/\psi)$.
- We need more knowledge on D_s , D^0 , D^+ to $3\pi X$ decays, and LHCb run II data, to have more precisely measurement.



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University of Chinese Academy of Sciences



Thank you !