



武汉大学
WUHAN UNIVERSITY

Charm (Semi-)leptonic Decays at LHCb

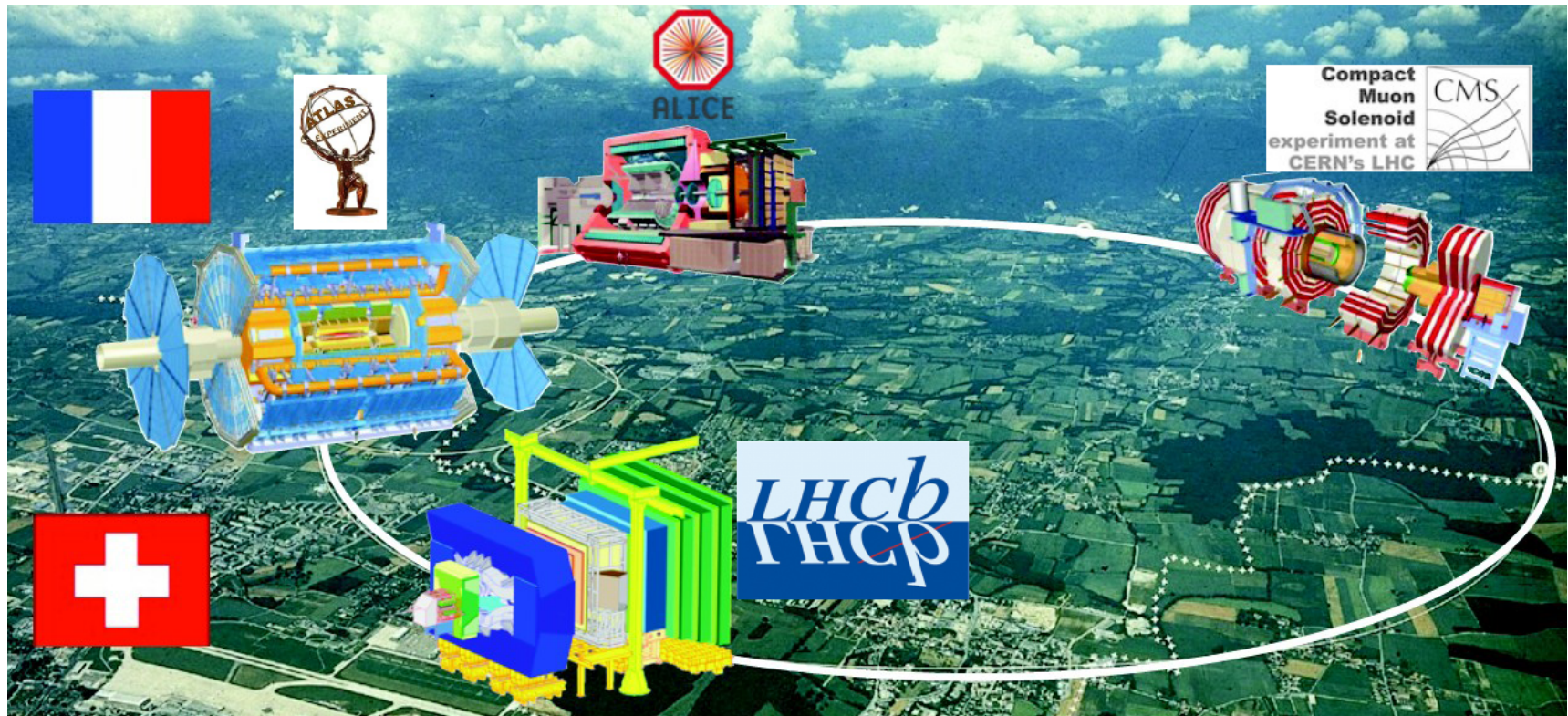
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2017/09/24, Nankai

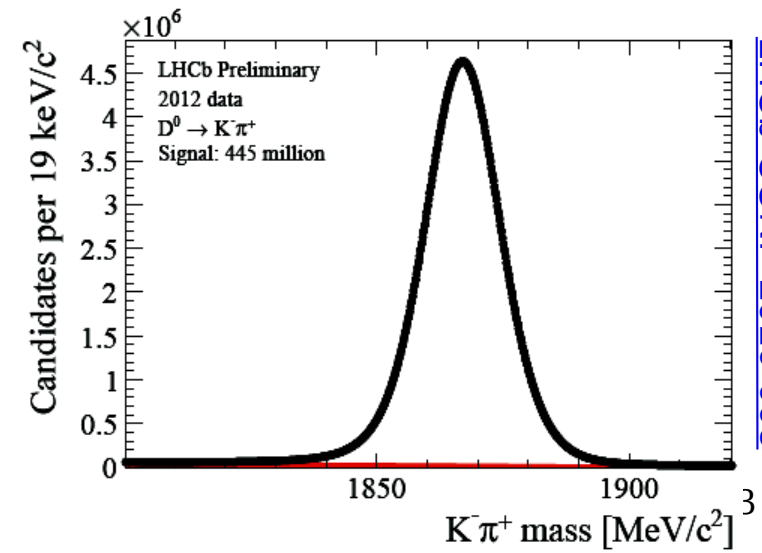
Outline

- Recent LHCb results on charm rare decays
 - $D^0 \rightarrow K^+K^- (\pi^+\pi^-) \mu^+\mu^-$
 - $D^0 \rightarrow K^-\pi^+ \mu^+\mu^-$ in ρ/ω region of dimuon mass
 - $D^0 \rightarrow \mu^+\mu^-$, $D_{(s)}^+ \rightarrow \pi^+ \mu^+\mu^- / D_{(s)}^+ \rightarrow \pi^- \mu^+\mu^+$
 - $D^0 \rightarrow e^+ \mu^-$
- Charm semileptonic decays: prospects
- Summary

LHCb experiment

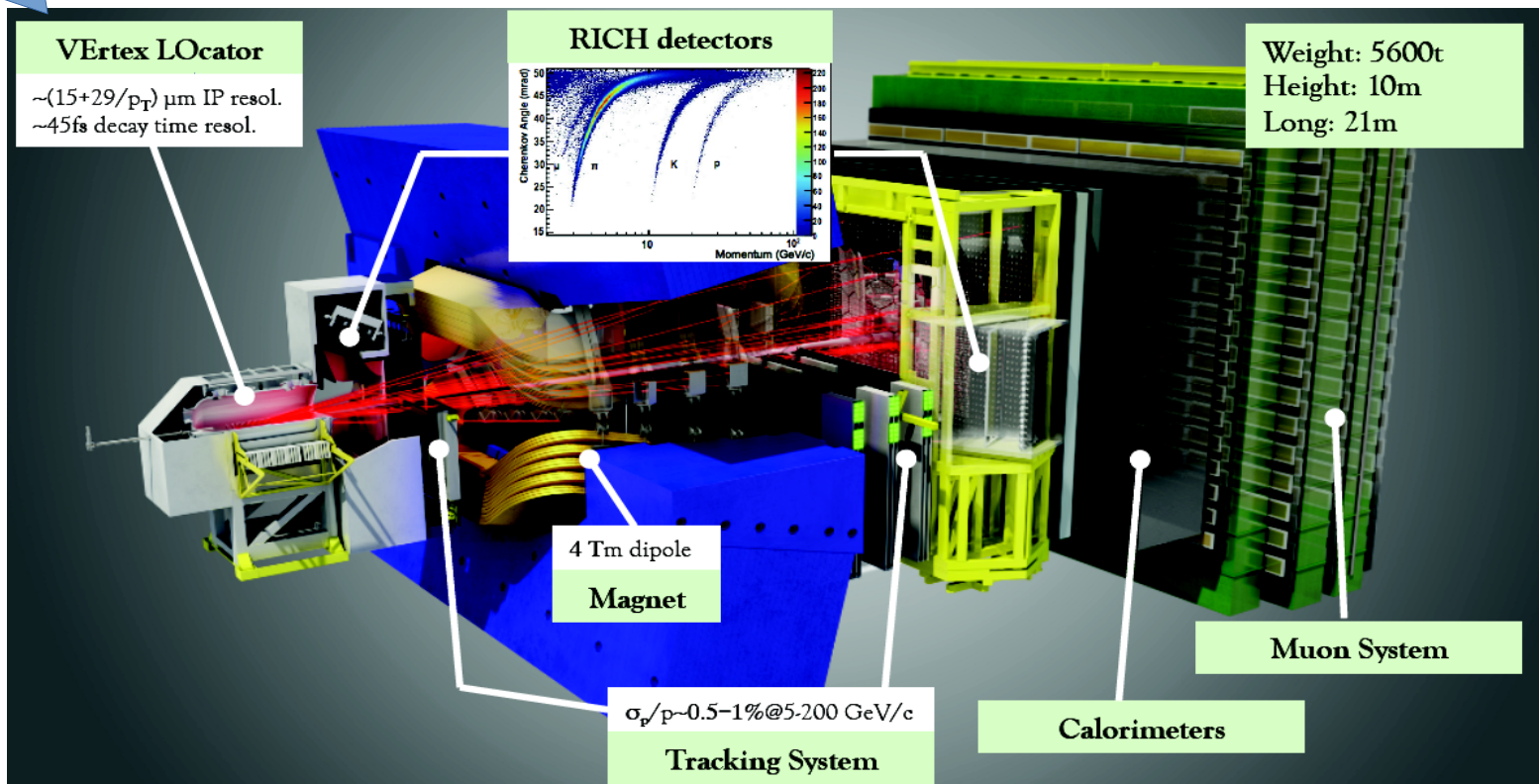


- LHCb acceptance: $2 < \eta < 5$ (forward region)
- All the results presented today are based on (a subset of) 3fb^{-1} Run1 data of LHCb collected within 2011-2012
- 1.15 billion charm hadron decays reconstructed in Run1

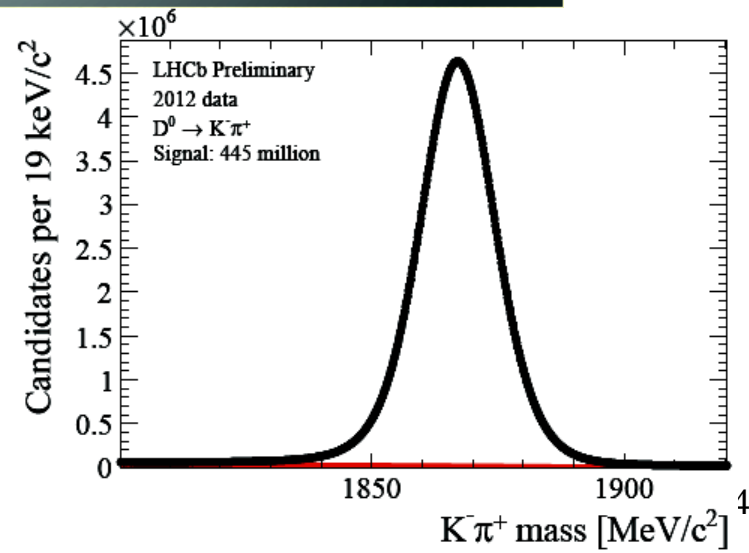


Superb!

LHCb experiment



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Overview of charm rare decays

$$D^0 \rightarrow \mu^+ e^-$$

$$D^0 \rightarrow p e^-$$

$$D_{(s)}^+ \rightarrow h^+ \mu^+ e^-$$

Flavor Changing
Neutral Currents

$$D_{(s)}^+ \rightarrow \pi^+ l^+ l^-$$

$$D_{(s)}^+ \rightarrow K^+ l^+ l^-$$

$$D^0 \rightarrow K^- \pi^+ l^+ l^-$$

$$D^0 \rightarrow K^{*0} l^+ l^-$$

Vector Meson Dominance

$$D^0 \rightarrow \pi^- \pi^+ V (\rightarrow ll)$$

$$D^0 \rightarrow \rho^- V (\rightarrow ll)$$

$$D^0 \rightarrow K^+ K^- V (\rightarrow ll)$$

$$D^0 \rightarrow \phi^- V (\rightarrow ll)$$

$$D^0 \rightarrow K^{*0} \gamma$$

$$D^0 \rightarrow (\phi, \rho, \omega) \gamma$$

$$D_s^+ \rightarrow \pi^+ \phi (\rightarrow ll)$$

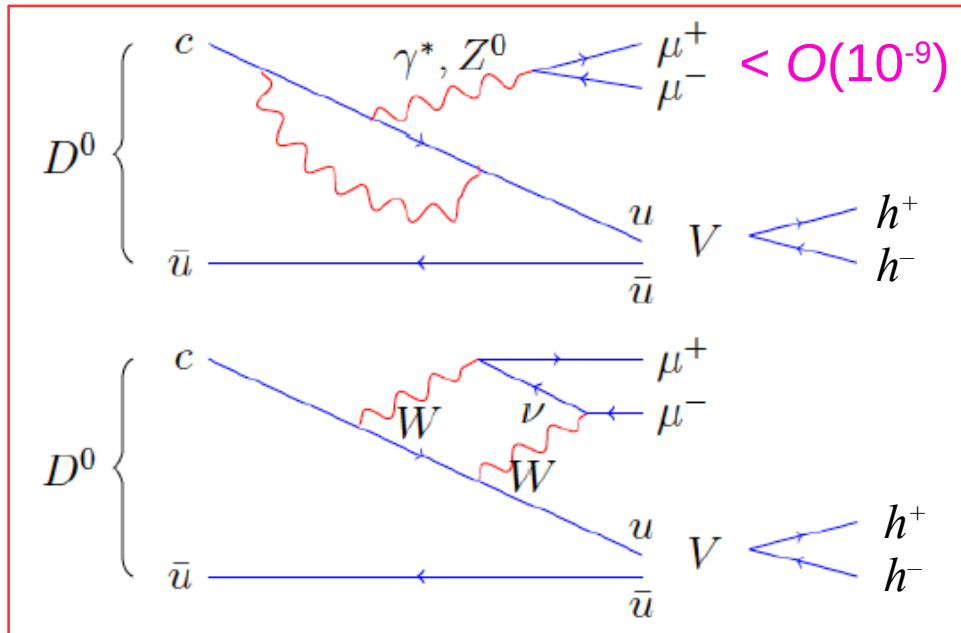
LFV, LNV, BNV	FCNC						VMD			Radiative		
0	10^{-15}	10^{-14}	10^{-13}	10^{-12}	10^{-11}	10^{-10}	10^{-9}	10^{-8}	10^{-7}	10^{-6}	10^{-5}	10^{-4}
$D_{(s)}^+ \rightarrow h^- l^+ l^+$						$D^0 \rightarrow \mu\mu$	$D^0 \rightarrow \pi^- \pi^+ l^+ l^-$	$D^0 \rightarrow K^+ \pi^- V (\rightarrow ll)$	$D^+ \rightarrow \pi^+ \phi (\rightarrow ll)$			
$D^0 \rightarrow X^0 \mu^+ e^-$					$D^0 \rightarrow ee$	$D^0 \rightarrow \rho^- l^+ l^-$	$D^0 \rightarrow K^+ K^- l^+ l^-$	$D^0 \rightarrow \bar{K}^{*0} V (\rightarrow ll)$	$D^0 \rightarrow K^- \pi^+ V (\rightarrow ll)$			
$D^0 \rightarrow X^- l^+ l^+$						$D^0 \rightarrow \phi^- l^+ l^-$	$D^0 \rightarrow \phi^- l^+ l^-$	$D^0 \rightarrow \gamma\gamma$	$D^0 \rightarrow K^{*0} V (\rightarrow ll)$			

[PRD 66 (2002) 014009]

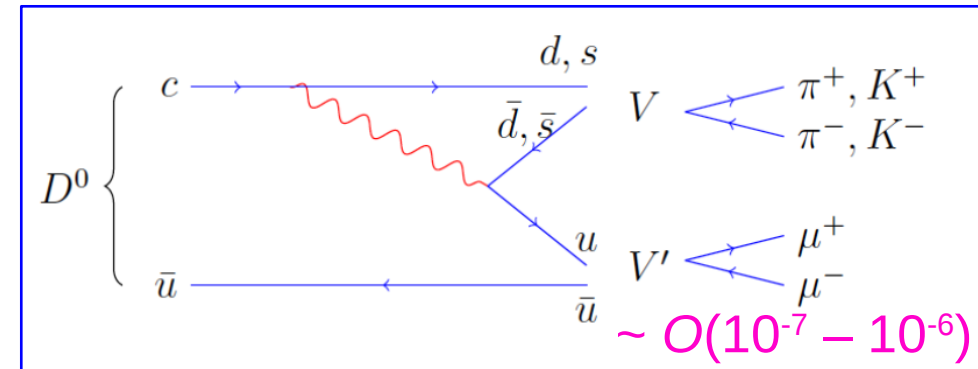
- Short-distance FCNC contributions to $c \rightarrow u$ processes are tiny $< 10^{-9}$
 - Only possible at the loop level
 - More suppressed than B decays due to GIM mechanism
 - Up-type quark FCNCs complementary to those in B and K sectors
- Branching fractions of $D \rightarrow X \ell^+ \ell^-$ are dominated by resonant long-distance VMD contributions

$D^0 \rightarrow h^+ h^- \mu^+ \mu^-$ decays

SD contributions, good for NP probes



LD contributions, hard to predict theoretically



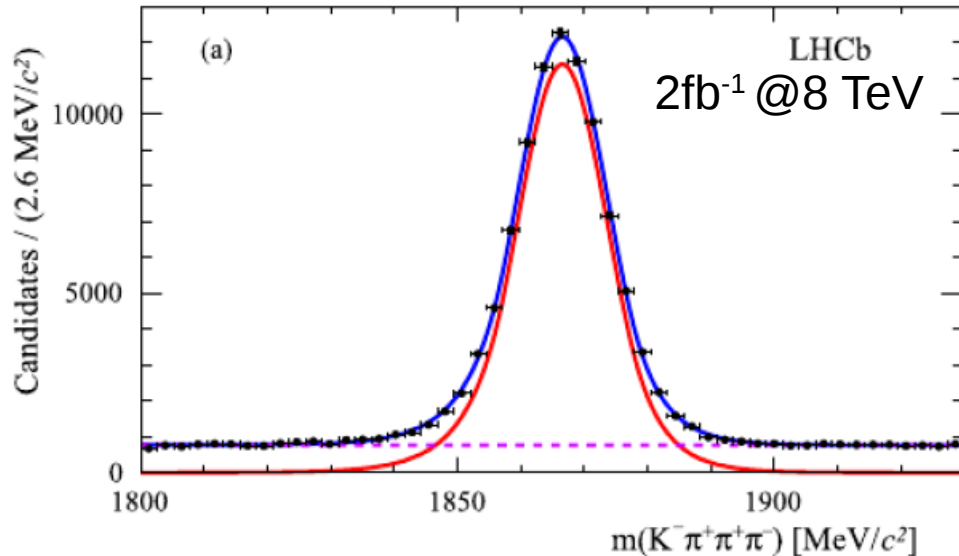
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$D^0 \rightarrow K^- \pi^+ \mu^- \mu^+$ BF in ρ^0/ω region of dimuon mass

- Not related to the FCNCs, still this decay mode provides an excellent normalization for all the other 4 body modes
- Analysis overview (2012 data, 2fb^{-1}):
 - Dimuon mass in the ρ^0/ω region: [675, 875] MeV
 - $D^0 \rightarrow K^- \pi^+ \pi^- \pi^+$ as the normalization channel, also the major background source
 - Careful estimation of peaking backgrounds due to misID or $\pi^+ \rightarrow \mu^+ \nu_\mu$

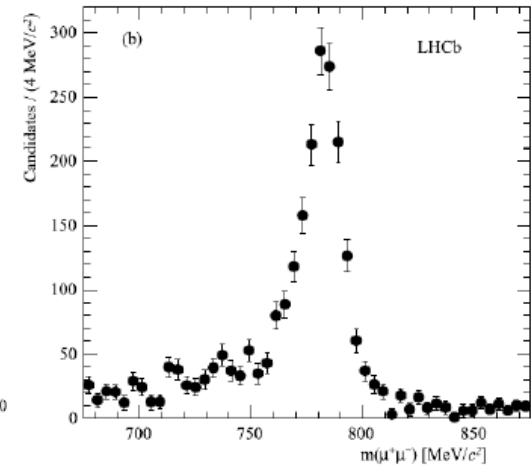
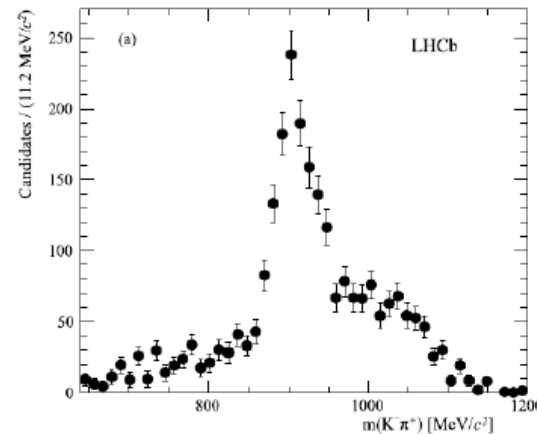
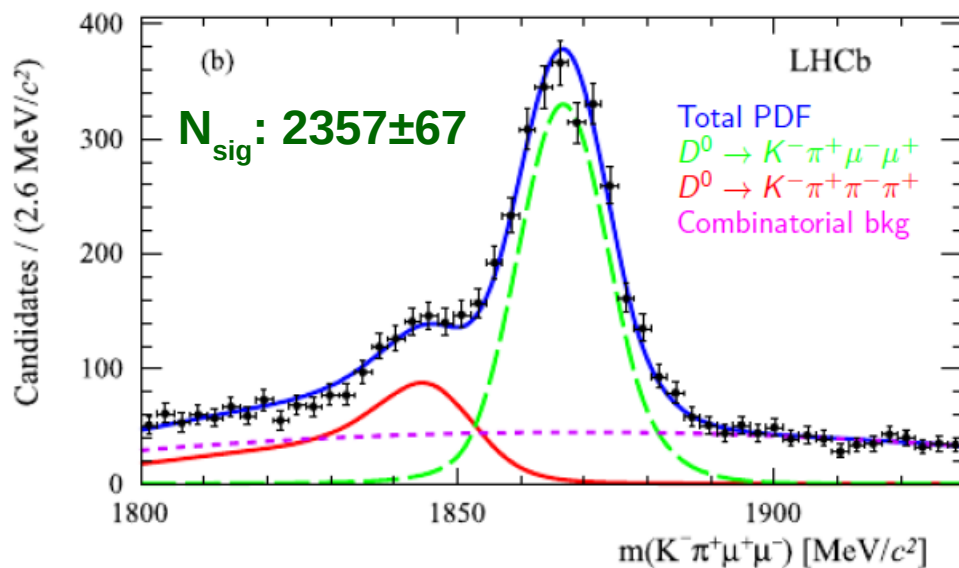
$$\begin{aligned}
 \mathcal{B}(D^0 \rightarrow K^- \pi^+ \mu^+ \mu^-) &= \frac{N_{D^0 \rightarrow K^- \pi^+ \mu^+ \mu^-}}{N_{D^0 \rightarrow K^- \pi^+ \pi^+ \pi^-}} \\
 &\times \frac{\varepsilon_{D^0 \rightarrow K^- \pi^+ \pi^+ \pi^-}}{\varepsilon_{D^0 \rightarrow K^- \pi^+ \mu^+ \mu^-}} \\
 &\times \mathcal{B}(D^0 \rightarrow K^- \pi^+ \pi^+ \pi^-)
 \end{aligned}$$

$D^0 \rightarrow K^- \pi^+ \rho^0/\omega (\rightarrow \mu^- \mu^+)$: First observation



$$\mathcal{B}(D^0 \rightarrow K^- \pi^+ \mu^+ \mu^-) = (4.17 \pm 0.12 \text{ (stat)} \pm 0.40 \text{ (syst)}) \times 10^{-6}$$

- $D^0 \rightarrow K^- \pi^+ \pi^+ \pi^-$ as the normalization channel
- Compatible with SM expectation [JHEP 04(2013)135]

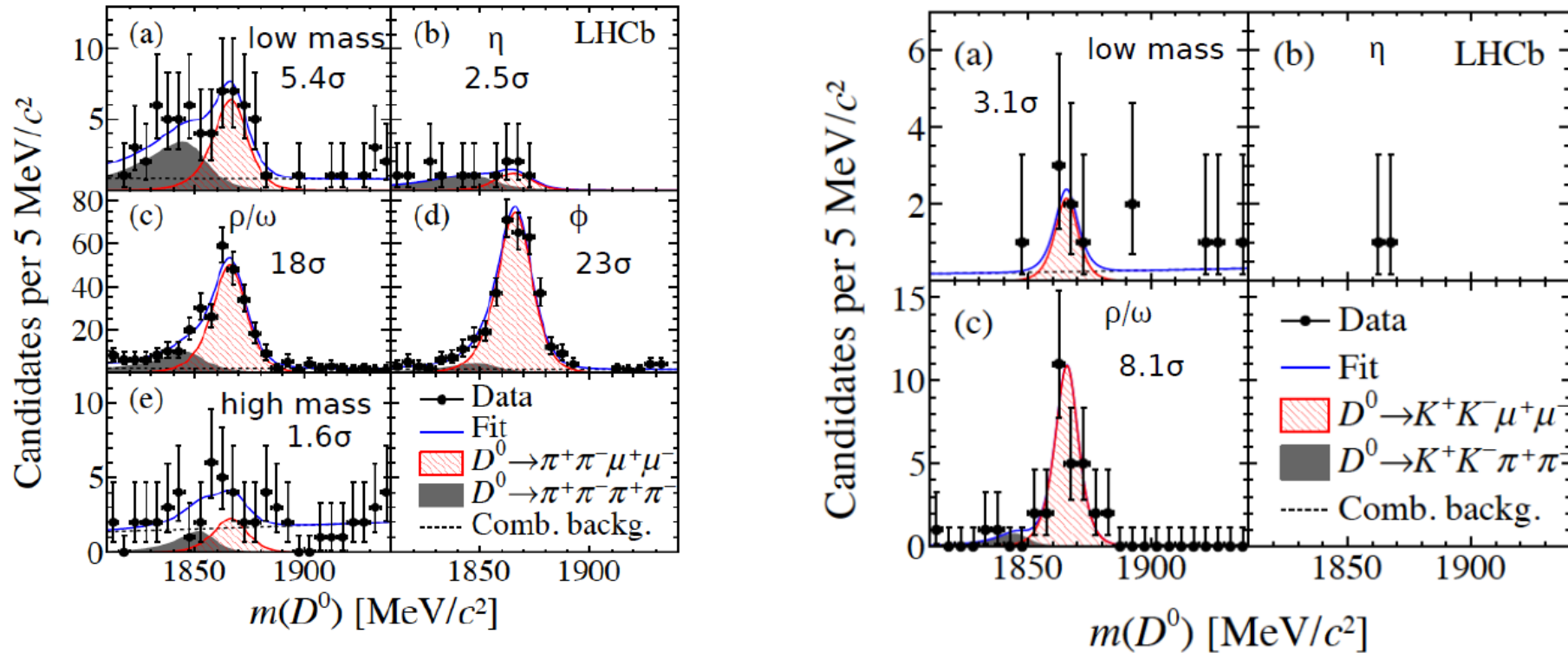


$D^0 \rightarrow K^+K^-/\pi^+\pi^- \mu^+\mu^-$ decays

- Previous LHCb search for the decay $D^0 \rightarrow \pi^+\pi^-\mu^+\mu^-$ published in 2013 with 1 fb^{-1} data [PLB 728 (2014) 234-243]
 - Best upper limits at the time
- Overview of this analysis (2012 data, 2fb^{-1}):
 - $D^0 \rightarrow K^-\pi^+ \rho^0/\omega(\rightarrow \mu^-\mu^+)$ as the perfect normalization channel
 - Binned measurement in $m(\mu\mu)$ to separate resonant and nonresonant contributions
 - Signal extraction in signal and normalization mode by a fit to D^0 mass

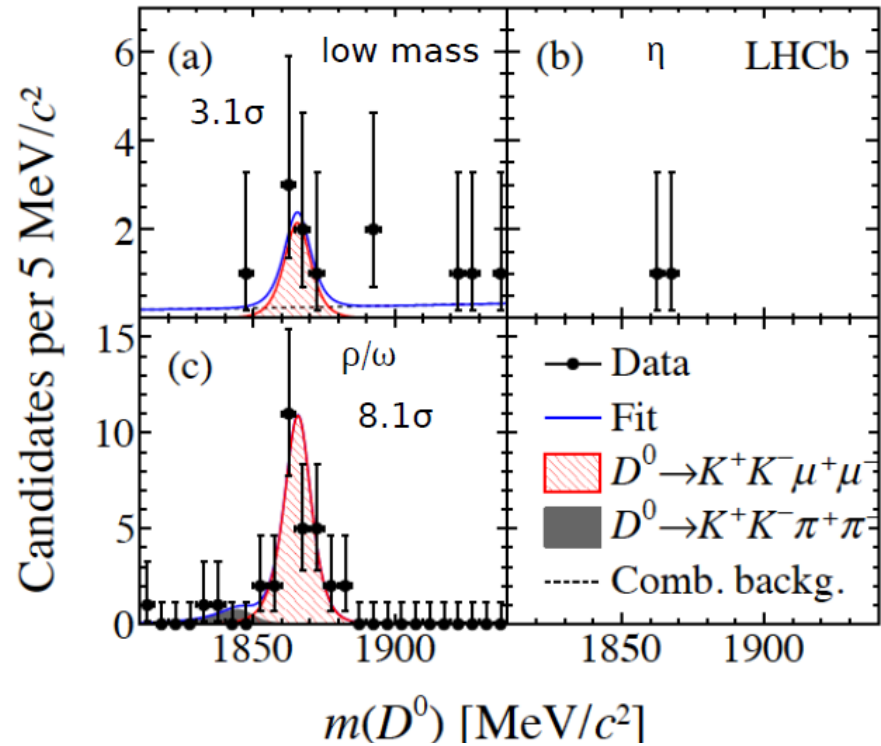
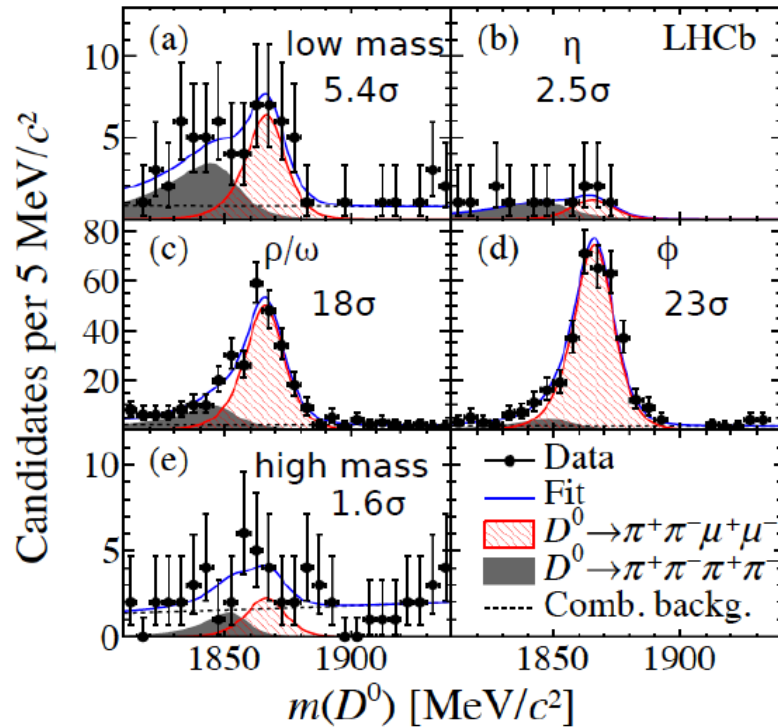
$$BF(D^0 \rightarrow hh\mu\mu) = BF(D^0 \rightarrow K\pi\mu\mu) \cdot \frac{N_{D^0 \rightarrow hh\mu\mu}}{N_{D^0 \rightarrow K\pi\mu\mu}} \cdot \frac{\epsilon_{D^0 \rightarrow K\pi\mu\mu}}{\epsilon_{D^0 \rightarrow hh\mu\mu}}$$

First observation of $D^0 \rightarrow K^+K^-/\pi^+\pi^- \mu^+\mu^-$



Dimuon-mass region	low mass	η	ρ^0/ω	ϕ	high mass
$m(\mu^+\mu^-)$ [MeV/c ²]	< 525	525–565	565–950	950–1100	> 1100
$N^{\text{sig}}(D^0 \rightarrow \pi^+\pi^- \mu^+\mu^-)$	27 ± 6	5 ± 3	208 ± 17	312 ± 20	9 ± 6
Signal significance	5.4 σ	2.5 σ	18 σ	23 σ	1.6 σ
$R^\epsilon(D^0 \rightarrow \pi^+\pi^- \mu^+\mu^-)$	0.73 ± 0.04	0.84 ± 0.07	1.08 ± 0.05	1.45 ± 0.07	1.5 ± 0.1
$N^{\text{sig}}(D^0 \rightarrow K^+K^- \mu^+\mu^-)$	5 ± 3	–	29 ± 5	–	–
Signal significance	3.1 σ	–	8.1 σ	–	–
$R^\epsilon(D^0 \rightarrow K^+K^- \mu^+\mu^-)$	0.49 ± 0.03	0.53 ± 0.04	0.55 ± 0.03	–	–

First observation of $D^0 \rightarrow K^+K^-/\pi^+\pi^- \mu^+\mu^-$



Rarest charm decays measured to date:

$$\mathcal{B}(D^0 \rightarrow \pi^+\pi^-\mu^+\mu^-) = (96.4 \pm 4.8(\text{stat}) \pm 5.1(\text{sys}) \pm 9.7(\mathcal{B}_{\text{norm}})) \cdot 10^{-8}$$

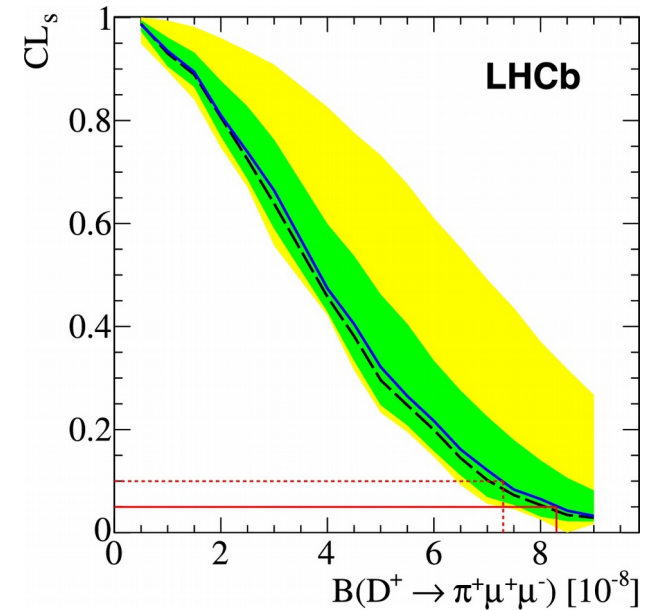
$$\mathcal{B}(D^0 \rightarrow K^+K^-\mu^+\mu^-) = (15.4 \pm 2.7(\text{stat}) \pm 0.9(\text{sys}) \pm 1.6(\mathcal{B}_{\text{norm}})) \cdot 10^{-8}$$

$m(\mu^+\mu^-)$ [MeV/c ²]	$\mathcal{B}(D^0 \rightarrow \pi^+\pi^-\mu^+\mu^-)$ [10 ⁻⁸]
< 525	$7.8 \pm 1.9 \pm 0.5 \pm 0.8$
525–565	< 2.4 (2.8) at 90% (95%) CL
565–950	$40.6 \pm 3.3 \pm 2.1 \pm 4.1$
950–1100	$45.4 \pm 2.9 \pm 2.5 \pm 4.5$
> 1100	< 2.8 (3.3) at 90% (95%) CL
$m(\mu^+\mu^-)$ [MeV/c ²]	$\mathcal{B}(D^0 \rightarrow K^+K^-\mu^+\mu^-)$ [10 ⁻⁸]
< 525	$2.6 \pm 1.2 \pm 0.2 \pm 0.3$
525–565	< 0.7 (0.8) at 90% (95%) CL
> 565	$12.0 \pm 2.3 \pm 0.1 \pm 0.1$

LHCb upper limits based on 2011 data, 1 fb^{-1} :

$$\begin{aligned} \mathcal{B}(D^+ \rightarrow \pi^+ \mu^+ \mu^-) &< 7.3(8.3) \cdot 10^{-8} \text{ at } 90\%(95\%) \text{ CL} \\ \mathcal{B}(D_s^+ \rightarrow \pi^+ \mu^+ \mu^-) &< 4.1(4.8) \cdot 10^{-7} \text{ at } 90\%(95\%) \text{ CL} \\ \mathcal{B}(D^+ \rightarrow \pi^- \mu^+ \mu^+) &< 2.2(2.5) \cdot 10^{-8} \text{ at } 90\%(95\%) \text{ CL} \\ \mathcal{B}(D_s^+ \rightarrow \pi^- \mu^+ \mu^+) &< 1.2(1.4) \cdot 10^{-7} \text{ at } 90\%(95\%) \text{ CL} \end{aligned}$$

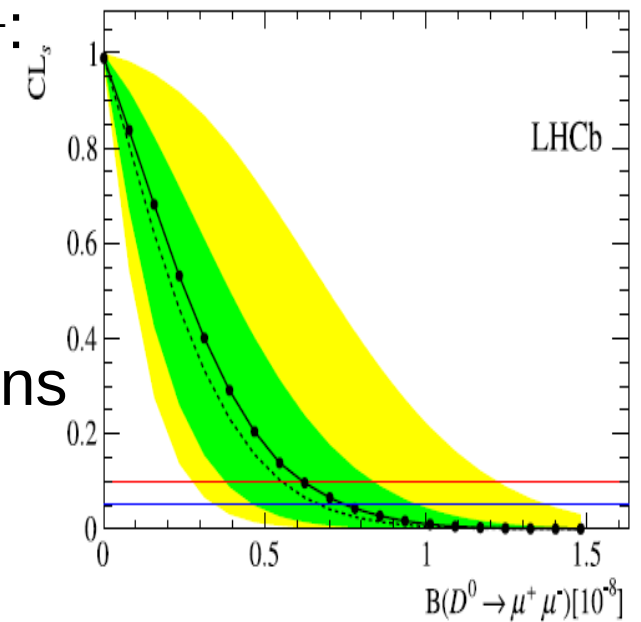
- Improved by a factor of 50



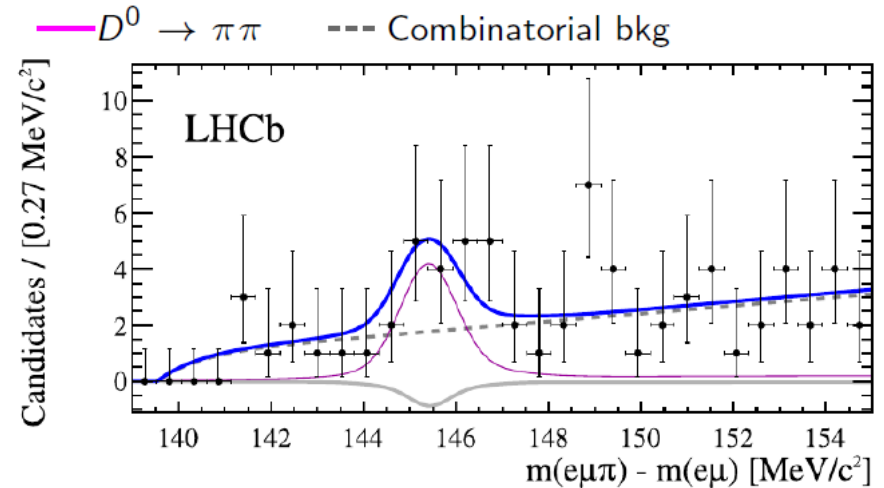
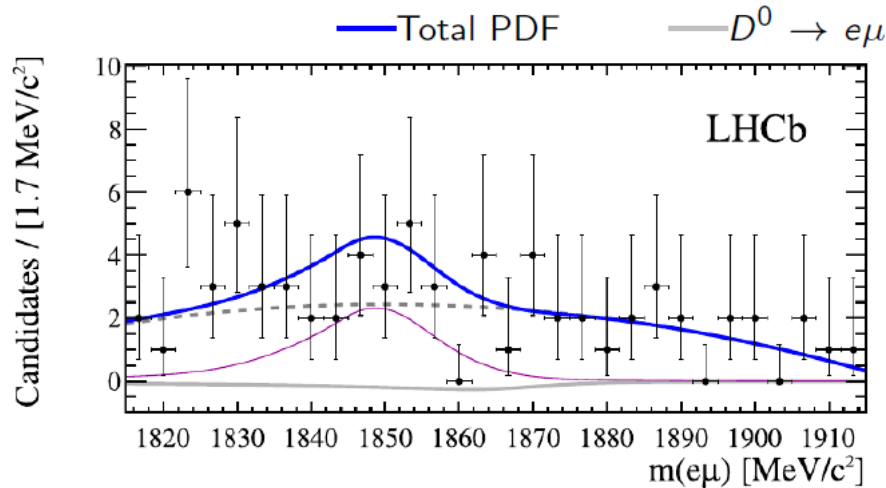
LHCb upper limits based on 2011 data, 0.9 fb^{-1} :

$$\mathcal{B}(D^0 \rightarrow \mu^+ \mu^-) < 6.2(7.6) \cdot 10^{-9} \text{ at } 90\%(95\%) \text{ CL}$$

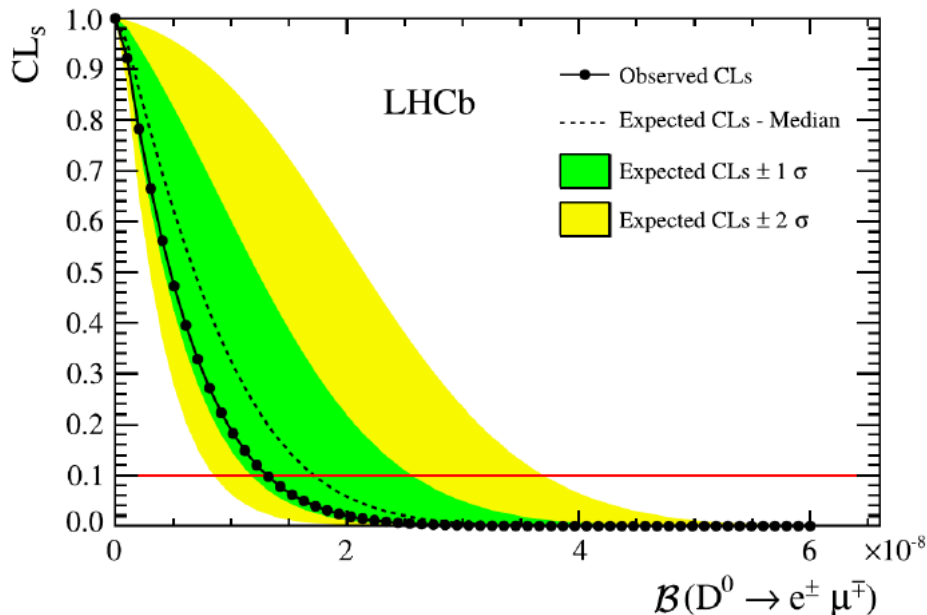
- Improved by a factor of 20
- Two orders of magnitude above SM predictions



Search for LFV decay $D^0 \rightarrow e^+ \mu^-$



No evidence seen



- Use $D^{*\pm} \rightarrow D^0 \pi^\pm$ decays
- Normalised to $D^0 \rightarrow K^- \pi^+$ mode

New world best limit

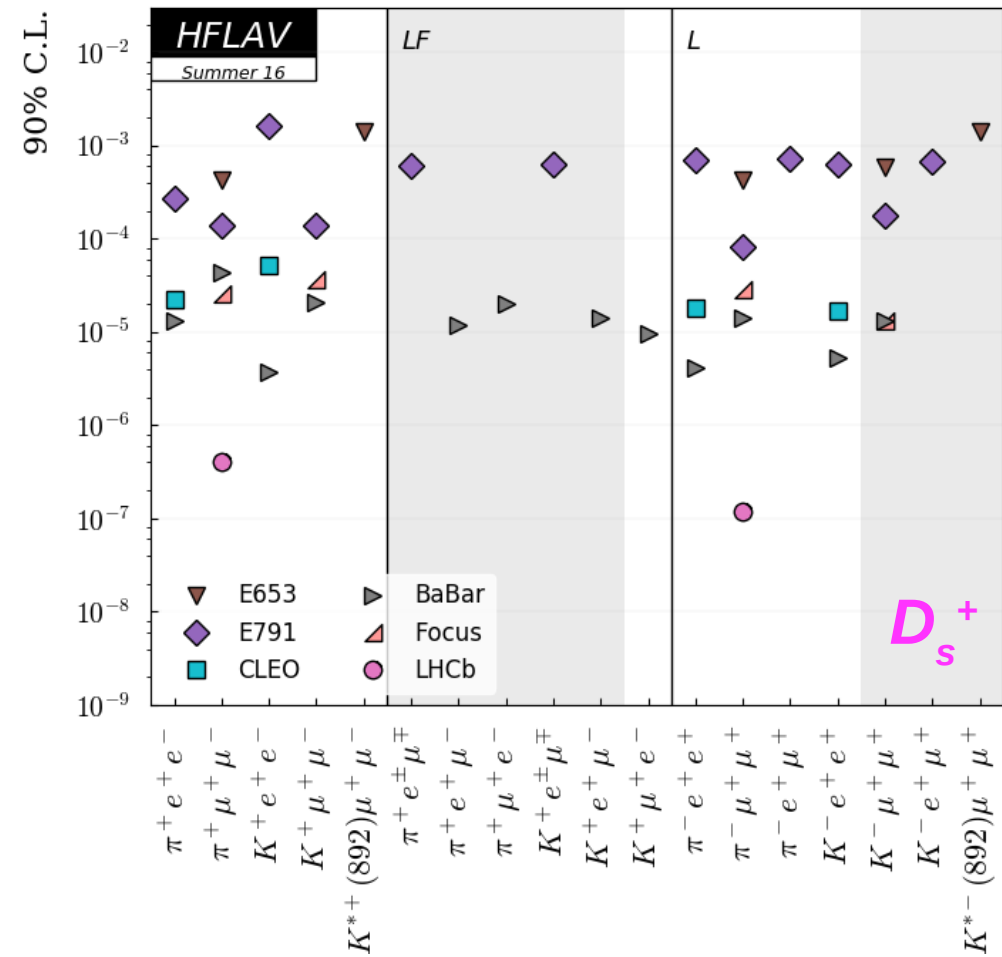
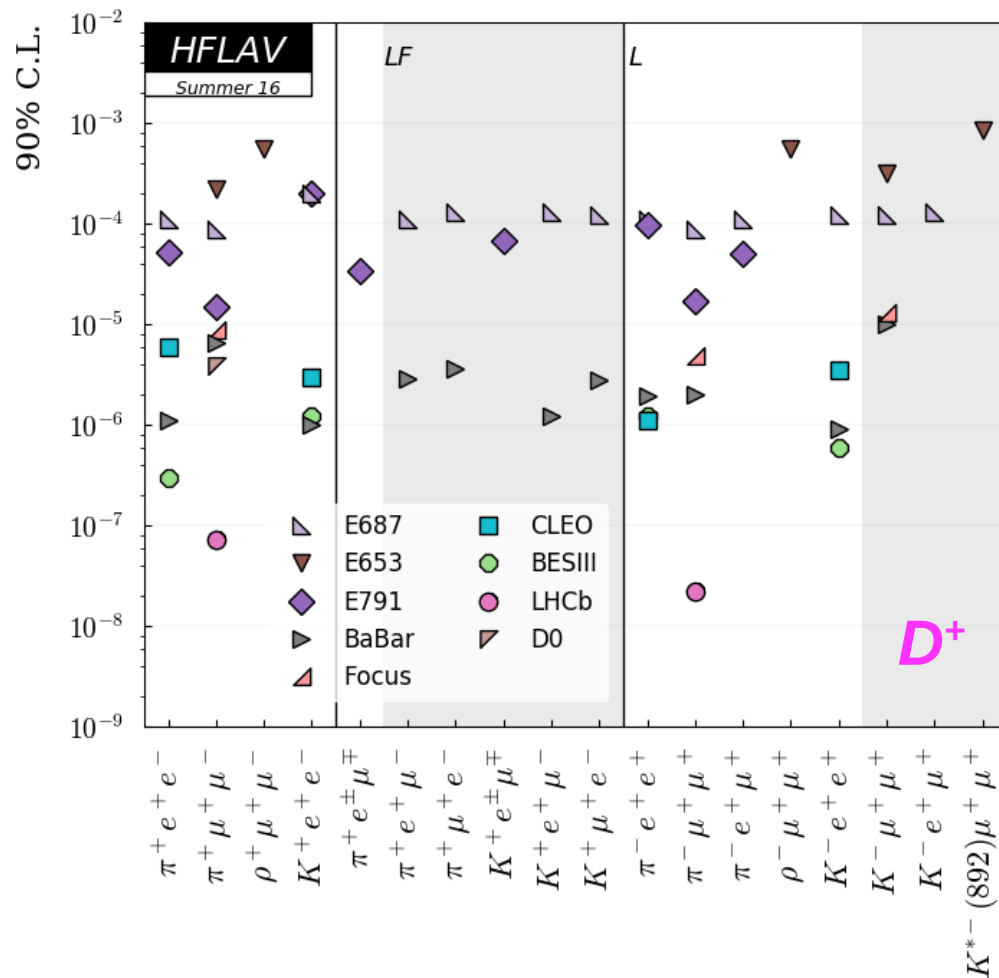
$$BF(D^0 \rightarrow e\mu) < 1.3(1.6) \times 10^{-8}$$

at 90(95)%CL

$$\mathcal{B}(D^0 \rightarrow e^\pm \mu^\mp) = \frac{N_{e\mu}/\epsilon_{e\mu}}{N_{K\pi}/\epsilon_{K\pi}} \times \mathcal{B}(D^0 \rightarrow K^- \pi^+)$$

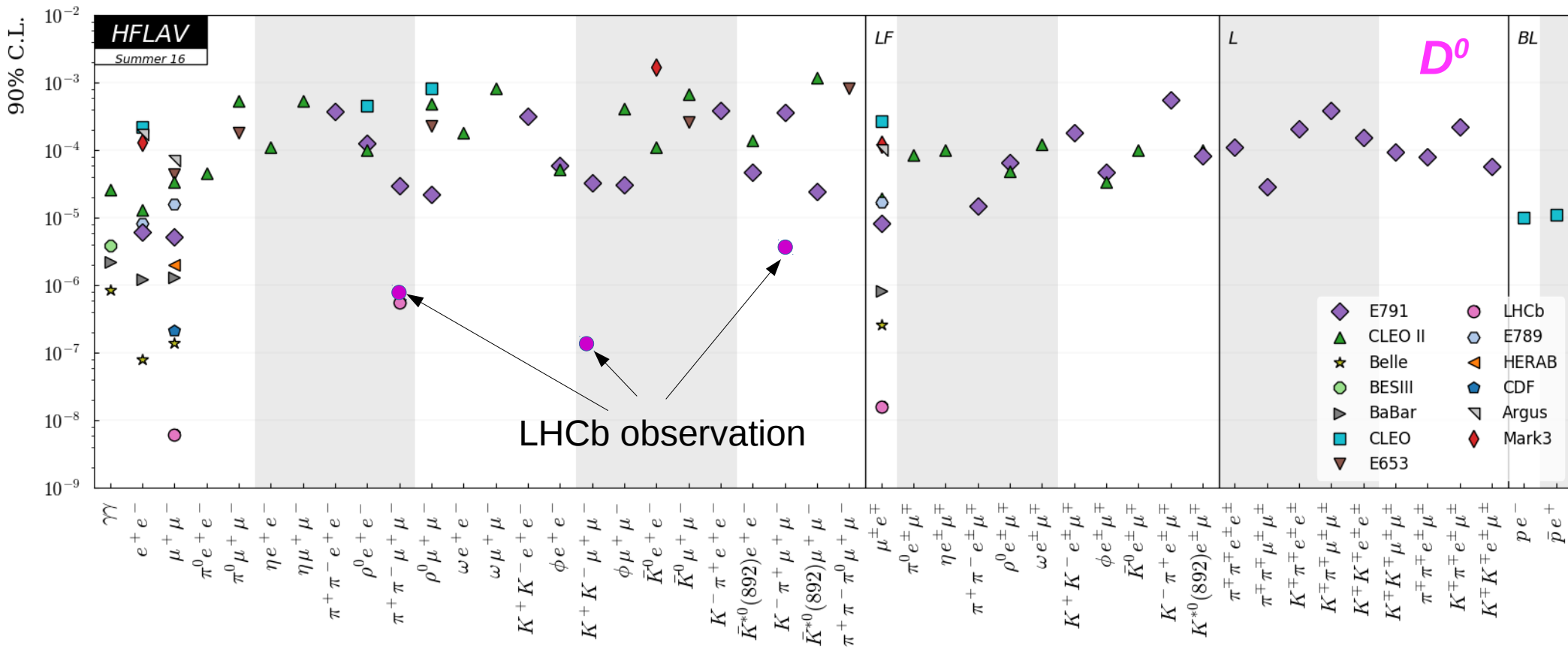
Overview of results on D rare decays

Almost every LHCb measurement is the world's best



Overview of results on D rare decays

Almost every LHCb measurement is the world's best
 Also first observations!



Prospects on rare charm decays

- During Run2 and beyond, SM predictions can be reached for more dimuon modes

$\sigma_{c\bar{c}}(14 \text{ TeV}) \approx 2\sigma_{c\bar{c}}(7 \text{ TeV})$ & assuming same efficiency and S/B ratio

Mode	8 fb^{-1}	50 fb^{-1}	300 fb^{-1}
$D^0 \rightarrow \mu^+ \mu^-$	fewer 10^{-9}	few 10^{-10}	fewer 10^{-10}
$D^0 \rightarrow e^+ \mu^-$	few 10^{-9}	fewer 10^{-9}	few 10^{-10}
$D^+ \rightarrow \pi^+ \mu^+ \mu^-$	fewer 10^{-8}	few 10^{-9}	fewer 10^{-9}
$D_s^+ \rightarrow K^+ \mu^+ \mu^-$	fewer 10^{-7}	few 10^{-8}	fewer 10^{-8}
$D^0 \rightarrow hh \mu^+ \mu^-$	fewer 10^{-7}	few 10^{-8}	fewer 10^{-8}

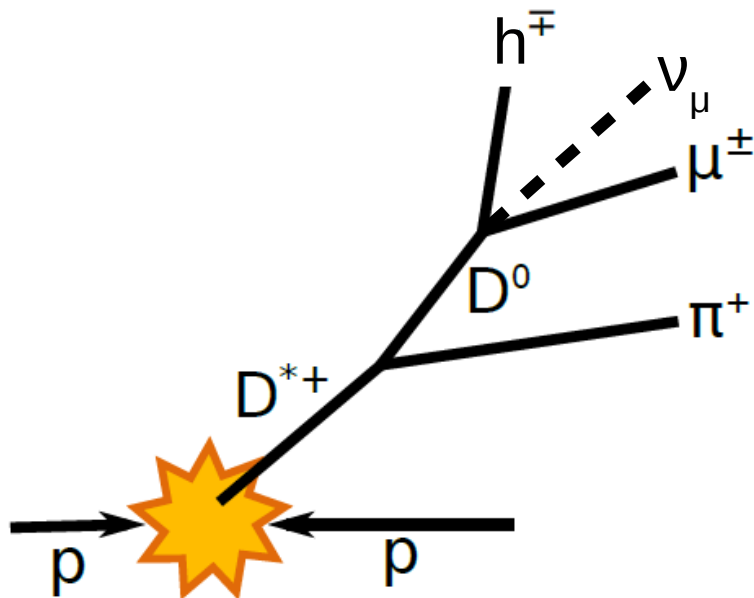
Prospects on rare charm decays

- During Run2 and beyond, SM predictions can be reached for more dimuon modes
- Promising for first investigation of angular/ CP asymmetries in $D^0 \rightarrow h^+h^-\mu^+\mu^-$ decays including Run2 data
 - Precision possibly at tens of percent level by end of 2017

Mode	Run II 8 fb ⁻¹	Upgrade 50 fb ⁻¹
$D^+ \rightarrow \pi^+ \mu^+ \mu^-$	0.6%(30K events)	0.2% (300K events)
$D^0 \rightarrow \pi^+ \pi^- \mu^+ \mu^-$	3%(1500 events)	1%(15K events)
$D^0 \rightarrow K^- \pi^+ \mu^+ \mu^-$	1%(10K events)	0.3%(100K events)
$D^0 \rightarrow K^+ \pi^- \mu^+ \mu^-$	40%(30 events)	12%(300 events)
$D^0 \rightarrow K^+ K^- \mu^+ \mu^-$	11%(150 events)	4%(1500 events)

Predictions on asymmetries' sensitivity by assuming the same efficiency and signal-to-background ratio

Charm semileptonic decays at LHCb: the prospects



See A. Davis's CKM2016 [talk](#)

Why charm SL decays?

- Well-known form of D^0 differential decay rate:

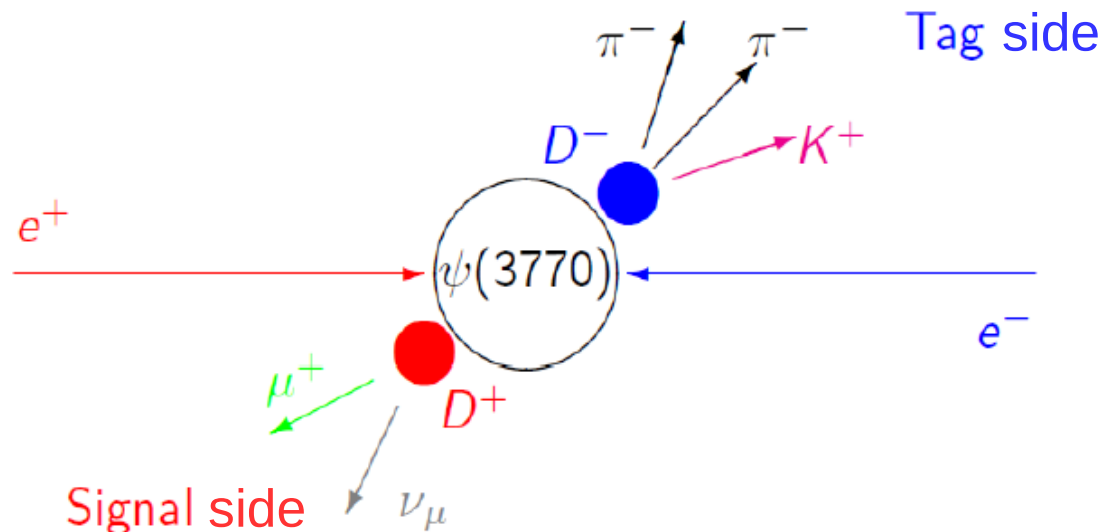
EPJC 77, no. 2, 112 (2017)

$$\frac{d\Gamma(D^0 \rightarrow P^- \ell^+ \nu_\ell)}{dq^2} = |V_{cQ}|^2 \frac{G_F^2}{24\pi^3} \frac{(q^2 - m_\ell^2)^2 \sqrt{E_P^2 - m_P^2}}{q^4 m_{D^0}^2} \times \left[\left(1 + \frac{m_\ell^2}{2q^2}\right) m_{D^0} (E_P^2 - m_P^2) |f_+(q^2)|^2 + \frac{3m_\ell^2}{8q^2} (m_{D^0}^2 - m_P^2)^2 |f_0(q^2)|^2 \right]$$

- With q^2 dependent rates, we can measure
 - CKM factor $|V_{cQ}|$, useful for testing unitarity of the CKM matrix, or
 - The form factor dependence $|f_+(q^2)|$ and $|f_0(q^2)|$, useful input to Lattice QCD calculations, or
 - Lepton universality

Challenge for LHCb: missing neutrinos

- Only partially reconstructed final state: $D^0 \rightarrow h \mu^+$
- For e^+e^- machines, neutrino info could be deduced from the beam energy and the other side of the event: the missing 4-momentum
- Not possible at a hadron collider!



Neutrino and q^2 reco: possible solutions

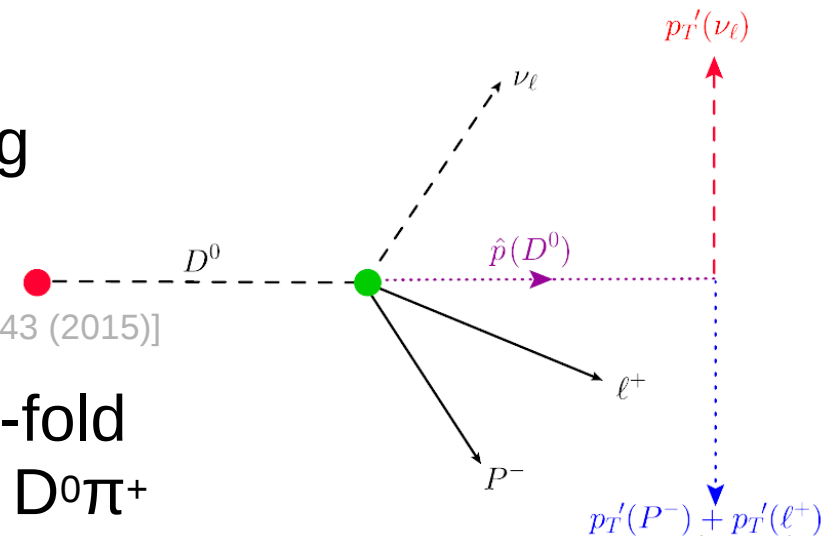
- Still, a number of proven experimental techniques are out there...
- Flight direction of the D can be used to constrain p_T'
- New problem: two-fold ambiguity for total neutrino momentum
- Possible solutions:

- Corrected D mass with one missing massless particle:

$$M_{corr} = \sqrt{m(K\ell)^2 + p_T'^2} + p_T' \quad [\text{LHCb, Nature Phys. 11, 743 (2015)}]$$

- Cone-closure method to break two-fold ambiguity: requiring D^0 from $D^{*+} \rightarrow D^0\pi^+$ and enforcing D^* mass constraint

[E687, FERMILAB-THESIS-1995-05]



Neutrino momentum is completely constrained perpendicular to the D^0 flight direction:

$$p_T'(v) = -(p_T'(P) + p_T'(l))^{21}$$

Experimental opportunities: one example

- ▶ Measure

$$\frac{|V_{cs}|^2}{|V_{cd}|^2} \text{ using } \frac{\mathcal{B}(D \rightarrow K\mu\nu)}{\mathcal{B}(D \rightarrow \pi\mu\nu)}$$

Expecting ~ 4 M $D \rightarrow K\mu\nu$ events in Run1

- ▶ Analogous to measurement of $|V_{ub}|$ from $\Lambda_b \rightarrow p\mu\nu$ ([Nature Physics 10 \(2015\) 1038](#))
- ▶ Experimental advantages:
 - ▶ Use $D^{*+} \rightarrow D^0\pi_s^+$ → gives access to Δm for background rejection, q^2 constraint
 - ▶ μ, π_s detection efficiencies cancel in ratio
 - ▶ K, π detection efficiencies known well from CP measurements
 - ▶ μ easily detectable
 - ▶ Use M_{corr} to reduce multibody/neutral backgrounds
- ▶ The hard parts
 - ▶ Trigger on the inclusive D event → possible biases vs q^2 depending on data-taking conditions
 - ▶ MC statistics will be a limiting factor
 - ▶ $f_+^K(q^2), f_+^\pi(q^2)$ knowledge will play a large role in the extraction

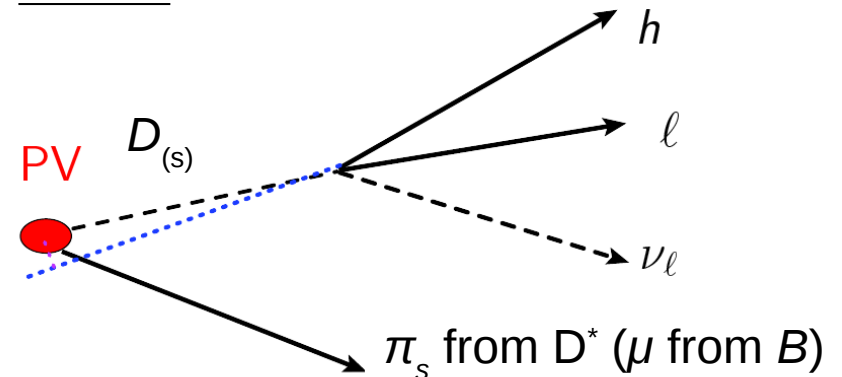
Estimation on the relative systematic uncertainty: **0.2%**
Comparable to the world average!

SL opportunities @ LHCb

$\overline{D^0}$		$\underline{D^+}$		$\underline{D_s}$	
$\overline{D^0} \rightarrow \pi \mu \nu$	$(\mathcal{B} = 0.238 \pm 0.024\%)$	$\underline{D^+} \rightarrow K \pi \mu \nu$	$(\mathcal{B} = 3.9 \pm 0.4\%)$	$\underline{D_s^+} \rightarrow \phi \mu \nu$	$(\mathcal{B} \sim 2\%)$
$\overline{D^0} \rightarrow K \mu \nu$	$(\mathcal{B} = 3.3 \pm 0.13\%)$	$\underline{D^+} \rightarrow K^0 \mu \nu$	$(\mathcal{B} = 9.3 \pm 0.7\%)$	$\underline{D_s^+} \rightarrow K^0 \mu \nu$	$(\mathcal{B} \sim 0.3\%)$
$\overline{D^0} \rightarrow K^*(892)^- \mu \nu$	$(\mathcal{B} = 1.92 \pm 0.25\%)$	$\underline{D^+} \rightarrow K^{*0} \mu \nu$	$(\mathcal{B} = 5.3 \pm 0.15\%)$	$\underline{D_s^+} \rightarrow \eta^{(\prime)} \mu \nu$	$(\mathcal{B} \sim 3\%)$
		$\underline{D^+} \rightarrow \eta \mu \nu$	$(\mathcal{B} \sim 1\%)$		

- Items in red are unlikely
- $D_{(s)}$ from D^* or B are possible
- A control channel is needed for each decay
- Λ_c will be more challenging due to its short lifetime $\sim 0.5 \tau(D^0)$

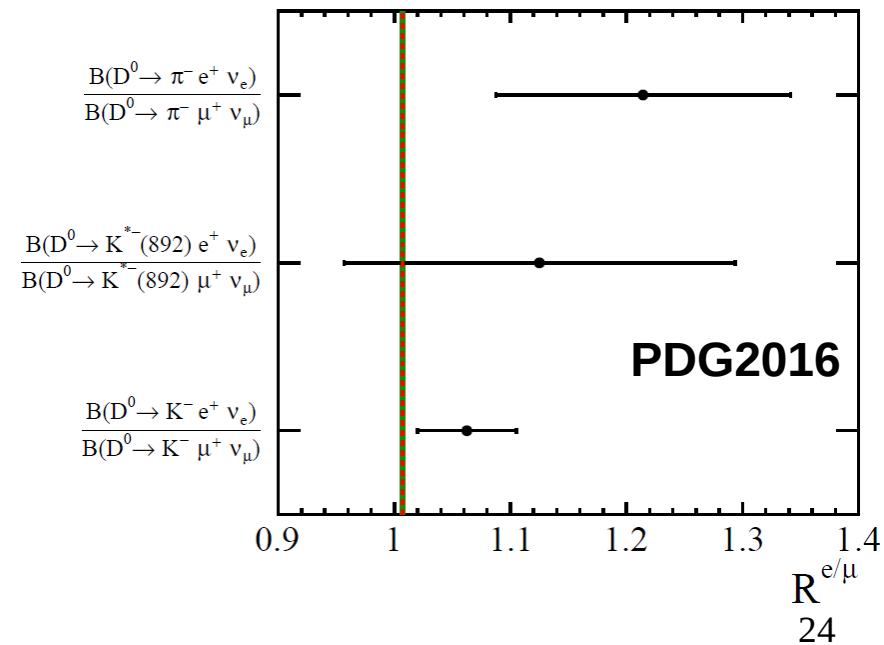
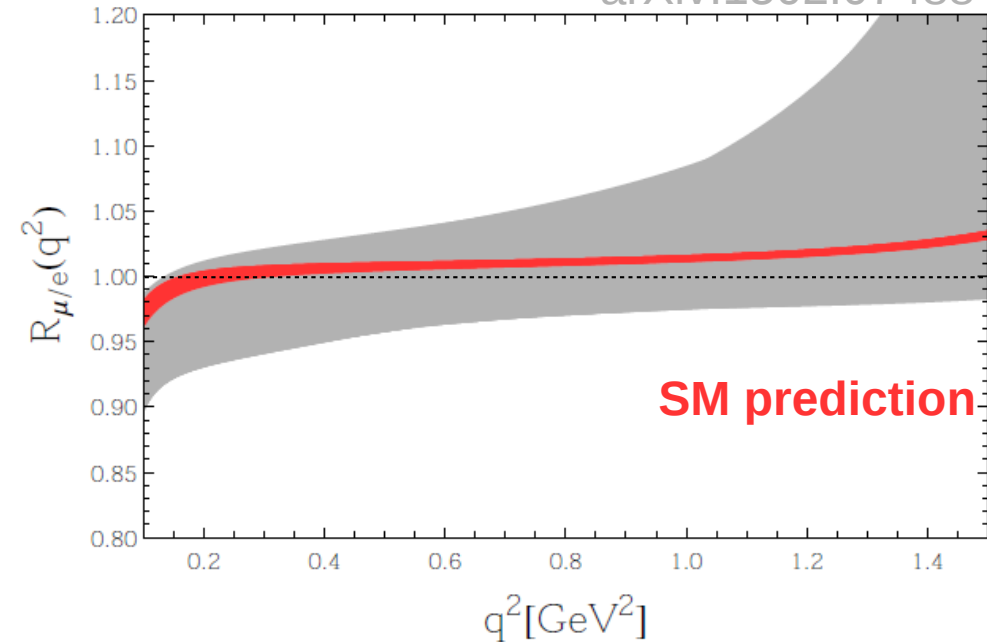
Prompt



LU in SL D decays

arXiv:1502.07488

- Lepton universality a hot topic recently
- The decay ratio of $D \rightarrow K\mu\nu$ to $D \rightarrow Ke\nu$ could differ significantly from unity in the presence of NP
- Current PDG values for BFs of muon modes are rather inaccurate and hint μ/e ratios possibly below 1
- $D \rightarrow Ke\nu$ is hard, but not impossible @ LHCb



Summary

- LHCb is more than a B factory, with a lot of charm opportunities
- Huge statistics is a blessing for rare charm decays
- First observations on a number of dimuon charm decays
- World's best upper limits on dimuon and LFV charm decays
- Ongoing work on charm SL decays
- More to come for LHCb in Run2 & upgrade, including NP searches in rare charm decays