

# 量子色动力学

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QCD Lectures

Perturbative Theory  
and Factorization



Versions:

2006: Notes , hand-writing on papers.

2007:

2008:

2017: Remarked on Ipad .

2018: Improved

## Contents :

1. QCD Lagrangian

2. Divergences in QCD and

$e^+ e^- \rightarrow$  hadrons

3. DIS and QCD Factorization

4. QCD Factorization in  $e^+ e^- \rightarrow h + X$

5. TMD Factorization for SIDIS

6. SCET

7. ....

L-1

## 1. QCD Lagrangian:

$SU(3)$  gauge group, or  $SU(N_c)$

$$L_{QCD} = -\frac{1}{2} \text{Tr } G^{\mu\nu} G_{\mu\nu} + \sum_{i=1}^{N_f} \bar{s}_i (i\gamma^\mu D - m_i) s_i,$$

$s_i(x)$ : quark field,

$G^\mu(x)$ : gauge field,  $N_c \times N_c$  matrix.

$$G^\mu = G^{\alpha,\mu} T^\alpha, \quad \alpha = 1, \dots, N_c^2 - 1, \quad \text{Tr } T^\alpha T^\beta = \frac{1}{2} \delta^{\alpha\beta}.$$

$$D^\mu = \partial^\mu + i g_s G^\mu, \quad \text{covariant derivative}$$

$$G^{\mu\nu} = \partial^\mu G^\nu - \partial^\nu G^\mu + i g_s [G^\mu, G^\nu] = G^{\alpha,\mu\nu} T^\alpha,$$

$$G^{\alpha,\mu\nu} = \partial^\mu G^{\alpha,\nu} - \partial^\nu G^{\alpha,\mu} - g_s f^{\alpha\beta\gamma} G^{\beta,\mu} G^{\gamma,\nu}$$

Gauge transformation:  $u(x)$ , element of  $SU(N_c)$

$$s(x) \rightarrow u(x) s(x),$$

$$G^\mu(x) \rightarrow u(x) G^\mu(x) u^+(x) - \frac{i}{g_s} u(x) \partial^\mu u^+(x),$$

$L_{QCD}$  is invariant under the transformation.

1.2.

quantisation:

$$\mathcal{L}_{\text{eff}} = \mathcal{L}_{\text{cov}} + \mathcal{L}_{\text{GF}} + \mathcal{L}_{\text{FP}},$$

$\mathcal{L}_{\text{GF}}$ : gauge fixing term.

covariant gauge:  $\mathcal{L}_{\text{GF}} = -\frac{1}{2g} (\partial_\mu G^{\mu\nu})^2,$

$\mathcal{L}_{\text{FP}}$ : Faddeev-Popov term. ghost field.

⇒ Feynman rule. Feynman diagrams

$\xi = 1$ : Feynman gauge

other useful gauge: light cone gauge

$$n \cdot G = 0, \quad n^2 = 0 \text{ or } (\neq 0)$$

Physical gauge, no ghost needed.

Parameters in QCD:

$m_i$ : mass of quark.

$$m_u \sim m_d \sim 0(1) \text{ MeV}, \quad m_s \sim 100 \text{ MeV}$$

$$m_c \sim 1.4 \text{ GeV}, \quad m_b \sim 5 \text{ GeV}, \quad m_g = 175 \text{ GeV}.$$

$\alpha_s$ : coupling constant

$$\text{Def: } \alpha_s = \frac{\alpha_s^2}{4\pi} = \alpha_s(\mu),$$

$\mu$ : renormalization scale

$$\mu^2 \frac{d\alpha_s(\mu)}{d\mu^2} = \beta(\alpha_s) = - (b_0 \alpha_s^2 + b_1 \alpha_s^3 + b_2 \alpha_s^4 + \dots),$$

$$b_0 = \frac{1}{4\pi} \left( 11 - \frac{2}{3} N_f \right), \quad b_1 = \frac{1}{8\pi^2} \left( 51 - \frac{19}{3} N_f \right),$$

$$b_2 = \frac{1}{128\pi^3} \left( 2857 - \frac{5033}{9} N_f + \frac{325}{27} N_f^2 \right),$$

$$\Rightarrow \beta < 0, \quad \mu^2 \rightarrow \infty, \quad \alpha_s(\mu) \rightarrow 0$$

Asymptotic freedom, (2014 Nobel Prize)

1.4

Feynman rule :



momentum flow

External lines :

$$g \xrightarrow[p]{\quad} \bullet \quad u(p),$$

$$\bullet \xrightarrow{\quad} \bar{u}(p)$$

$$\bar{g} \xleftarrow[p]{\quad} \bullet \quad \bar{v}(p), \quad \bullet \xleftarrow{\quad} v(p)$$

$$a, \mu \text{ momenta } g^\mu, \quad \text{correspond} \quad g^{\mu\nu}$$

g

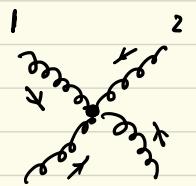
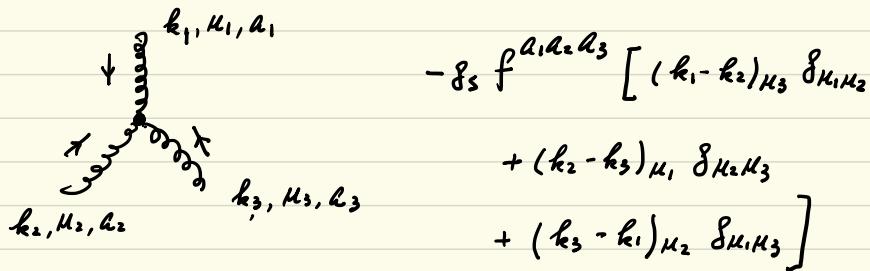
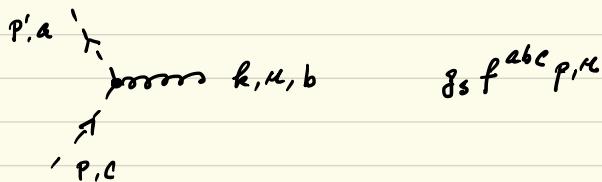
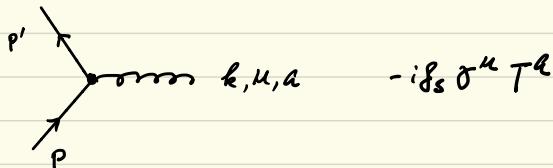
Propagators :

$$\bullet \xrightarrow[p]{\quad} \bullet \quad \frac{i}{\delta \cdot p - m + i\varepsilon}, \quad a, \mu \text{ momenta } \frac{b, \nu}{p} \quad \frac{-i g^{\mu\nu} g^{ab}}{p^2 + i\varepsilon}.$$

$$\begin{array}{c} a \\ \bullet \end{array} \cdots \begin{array}{c} b \\ \bullet \end{array} \quad \frac{i g^{ab}}{p^2 + i\varepsilon},$$

1.5.

Vertex :



$(1, 2, 3, 4), (2, 3, 4, 1)$   
 $(4, 1, 2, 3), \underline{(3, 4, 1, 2)}$

$$i g_s^2 \left[ f^{a_1 a_2 b} f^{a_3 a_4 b} (δ_{μ_2 μ_3} δ_{μ_1 μ_4} - δ_{μ_1 μ_3} δ_{μ_2 μ_4}) \right]$$

+ "cylinder circular  
permutation" ] ,

1.6.

Perturbative theory :

V.V. divergences, d-dim. regularization,

$$\boxed{\left( \frac{z}{\epsilon} - \delta + \ln 4\pi \right)} \quad (\text{Buras, Barden})$$

$\overline{\text{MS}}$  schema of renormalization :

subtraction of those pole combinations.

0-term.

Massless QCD: high energy scattering + light hadrons

$\Rightarrow$  We can neglect mass of light quarks and heavy quarks.

$$\Rightarrow L_{\text{QCD}} = -\frac{1}{2} \text{Tr} G^{\mu\nu} G_{\mu\nu} + \sum \bar{q} i \gamma^\mu D_\mu q$$

$q = u, d, s$

Only one dimensionless parameter:  $\beta_s$  or  $\alpha_s$

energy scale.  $\Lambda_{\text{QCD}} \sim 100 \text{ MeV}$

2.1

## 2. Divergences in QCD and $e^+e^- \rightarrow \text{hadrons}$ .

\* Light-cone coordinate system:

A momentum  $P$  (or vector) in Cartesian coordinate system:  $P^\mu = (P^0, P^1, P^2, P^3)$ , metric  $\delta_{\mu\nu}$

In light-cone coordinate system:

$$P^\mu = (P^+, P^-, P^1, P^2), \quad P_\perp^\mu = (0, 0, P^1, P^2),$$

$$P^+ = \frac{1}{\sqrt{2}}(P^0 + P^3), \quad P^- = \frac{1}{\sqrt{2}}(P^0 - P^3).$$

Dot-product of two vectors:

$$\underline{A \cdot B = A^+ B^- + A^- B^+ - A^1 B^1 - A^2 B^2 - A^3 B^3}.$$

metric  $\delta_{\mu\nu}$

Lorentz boost along the  $z$ -direction:

$$P^\mu \rightarrow P'^\mu, \quad P'^+ = \gamma P^+, \quad P'^- = \frac{1}{\gamma} P^-, \quad P'_\perp^\mu = P_\perp^\mu.$$

2.2.

The advantage: Large momentum in the  $z$ -direction:

$$p^3 \rightarrow \infty,$$

$$p^\mu = (p^+, p^-, p_1^1, p_1^2) \approx (p^+, 0, 0, 0)$$

Two light-cone vectors:

$$\ell^\mu = (1, 0, 0, 0), \quad n^\mu = (0, 1, 0, 0), \quad \ell^2 = n^2 = 0$$

$$\ell \cdot n = 1, \quad g_{\perp}^{\mu\nu} = g^{\mu\nu} - n^\mu \ell^\nu - \ell^\mu n^\nu,$$

$$A_\perp^\mu = g_{\perp\mu\nu} A^\nu.$$

rapidity:  $y = \frac{1}{2} \ln \frac{p^+}{p^-}$

$$n \cdot A = A^+, \quad \ell \cdot A = A^-.$$

2.3.

## Divergences in QCD:

U. V. divergences

Regulation + renormalization

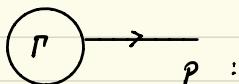
Collinear divergences

I. R. divergences

Glauber divergences

??

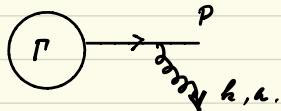
Consider a massless quark in final state:



$$\bar{u}(p) \Gamma(p)$$

$$p^\mu = (p^+, 0, 0, 0)$$

The quark can emit gluons.



$$\bar{u}(p) \left( -i g_s \delta \epsilon^\mu T^a \right) \frac{i \delta \cdot (p+k)}{(p+k)^2 + i\epsilon} \Gamma(p+k),$$

Collinear divergence:  $k^\mu \sim (1, \lambda^2, \lambda, \lambda)$ ,  $\lambda \rightarrow 0$

$$\frac{i \delta \cdot (p+k)}{(p+k)^2 + i\epsilon} = \frac{i \delta \cdot (p+k)^+}{2 p^+ k^- + i\epsilon} (1 + O(\lambda)) \sim \frac{1}{\lambda^2}, \text{ divergent!}$$

2.4.

I.R. divergence:  $k^\mu \sim (\lambda, \lambda, \lambda, \lambda)$ , soft gluon

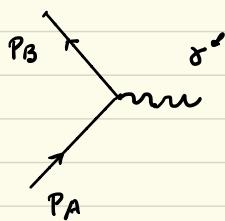
$$\frac{i\delta \cdot (p+k)}{(p+k)^2 + i\varepsilon} = \frac{i\delta^- p^+}{2p^+ k^- + i\varepsilon} \left( 1 + O(\lambda) \right) \sim \frac{1}{\lambda}, \text{ divergent!}$$

In QED: such divergences are "eliminated" with physical requirements.

in QCD: We have no quarks and gluons as observable states. ??

A loop-example: quark form factor

Tree-level:



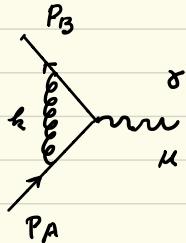
$$p_A^\mu = (p_A^+, 0, 0, 0),$$

$$\bar{v}(p_B) \delta^\mu u(p_A), \quad p_B^\mu = (0, p_B^-, 0, 0).$$

Corrections from high orders of  $\alpha_s$ .

$$\delta \cdot p_A u(p_A) = \delta^- p_A^+ u(p_A) = 0, \quad \bar{v}(p_B) \delta \cdot p_B = \bar{v}(p_B) \delta^+ p_B^- = 0$$

2.5



$$\Gamma = \bar{v}(P_B) \int \frac{d^4 k}{(2\pi)^4} (-i g_S \delta_\rho T^\alpha) \frac{i \sigma \cdot (-P_B - k)}{(P_B + k)^2 + i\varepsilon}$$

$$+ g^\mu \frac{i \sigma \cdot (P_A - k)}{(P_A - k)^2 + i\varepsilon} (-i g_S \delta^\rho T^\alpha) v(P_A)$$

$$\cdot \frac{-i}{k^2 + i\varepsilon},$$

$$\begin{aligned} \delta_\rho \otimes r^\rho &\sim \delta^- \otimes \delta^+ \\ &+ \delta_1 \otimes \delta_L \end{aligned}$$

Collinear to A;  $k^\mu \sim (1, \lambda^2, \lambda, \lambda)$ ,  $\lambda \ll 1$

Expand the integrand in  $\lambda$ , the leading order:

$$\begin{aligned} \Gamma_A &= \int \frac{d^4 k}{(2\pi)^4} \frac{-i}{k^2 + i\varepsilon} \bar{v}(P_B) \left( \frac{-g_S n_\rho T^\alpha}{k^4 + i\varepsilon} \right) g^\mu \frac{i \sigma \cdot (P_A - k)}{(P_A - k)^2 + i\varepsilon} \\ &\quad \cdot (-i g_S \delta^\rho T^\alpha) v(P_A) \end{aligned}$$

$$\sim \int \frac{d^4 k}{(2\pi)^4} \cdot O\left(\frac{1}{\lambda^4}\right), \quad k^2 \sim \lambda^2, \\ (P_A - k)^2 \sim \lambda^2$$

divergent !!

$$d^4 k \sim \lambda^4.$$

Power counting

2.6.

Collinear to B:  $k^\mu \sim (\lambda^2, 1, \lambda, \lambda)$

$$\Rightarrow \Gamma_B = \int \frac{d^4 k}{(2\pi)^4} \frac{-i}{k^2 + i\varepsilon} \bar{v}(p_B) (-i\delta_s \delta_\rho T^\alpha) \frac{i\delta \cdot (-p_B - k)}{(p_B + k)^2 + i\varepsilon} \delta^\mu \\ \left( \frac{-\delta_s \ell^\rho T^\alpha}{k^- - i\varepsilon} \right) u(p_A),$$

divergent!

(\*)

I.R. divergence:  $k^\mu \sim (\lambda_s, \lambda_s, \lambda_s, \lambda_s)$   $\lambda_s, \lambda$ .

$$\Gamma_s = \int \frac{d^4 k}{(2\pi)^4} \frac{-i}{k^2 + i\varepsilon} \frac{1}{k^+ + i\varepsilon} \frac{1}{k^- - i\varepsilon} \\ \bar{v}(p_B) \left( -\delta_s n_\rho T^\alpha \right) \delta^\mu \left( -\delta_s \ell^\rho T^\alpha \right) u(p_A),$$

divergent.

Subtraction:

$$\Gamma = (\Gamma - \Gamma_A - \Gamma_B + \Gamma_s) + (\Gamma_A + \Gamma_B - \Gamma_s)$$

free from collinear-  
and I.R. divergences !!

\*  $\Gamma_A, \Gamma_B$  also  
have I.R.! !  
(-)

But: light-cone singularity . . .

2.7

Glauber - gluon:  $k^\mu \sim (\lambda^2, \lambda^2, \lambda, \lambda)$

$$\Gamma_G = \int \frac{d^4 k}{(2\pi)^4} \frac{-i}{-k_+^2 + i\varepsilon} \frac{1}{k^+ + i\varepsilon} \frac{1}{k^- - i\varepsilon} \bar{v}(p_B) (-g_s n_p T^a) \gamma^\mu (-g_s l^p T^a) u(p_A),$$

it gives a divergent absorptive part, it is similar to Coulomb singularity.

⇒ Perturbation theory of QCD contains  
I.R. singularity and collinear singularity !!

Perturbation theory of QCD is meaningless ??

There are no S-matrix elements with quarks or gluons  
as physical states. Unlike QED !

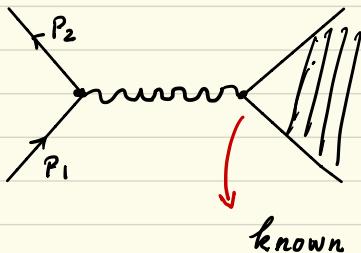
But

2.8.

Consider:

$$e^+ e^- \rightarrow \text{hadrons}, \text{ or } e^+ e^- \rightarrow X$$

Leading order of QED



$$s = (P_1 + P_2)^2$$

$$\hat{O}(x) = e^{i\hat{P} \cdot x} \hat{O}(0) e^{-i\hat{P} \cdot x}$$

known

$$\Rightarrow \bar{\sigma} = \frac{1}{2s} L^{\mu\nu} - \frac{1}{s^2} W^{\mu\nu},$$

$$L^{\mu\nu} = \sum' \bar{v}(P_2) \delta^\mu v(P_1) \bar{u}(P_1) \delta^\nu u(P_2)$$

$$= (P_1^\mu P_2^\nu + P_1^\nu P_2^\mu - P_1 \cdot P_2 \delta^{\mu\nu}),$$

Hadronic tensor:

$$W^{\mu\nu} = \int d^4x e^{i\vec{q} \cdot \vec{x}} \sum_x \langle 0 | J^\nu(x) | x \rangle \langle x | J^\mu(0) | 0 \rangle,$$

T-order product:

$$T(J^\nu(x) J^\mu(0)) = O(x_0) J^\nu(x) J^\mu(0) + O(-x_0) J^\mu(x) J^\nu(0),$$

2. P

Def:

$$T^{\mu\nu}(g) = \int d^4x e^{igx} \langle 0 | T(J^\mu(x) J^\nu(0)) | 0 \rangle,$$

Using  $\langle 0 | t | 0 \rangle = \frac{i}{2\pi} \int_{-\infty}^{+\infty} dw \frac{e^{-iwt}}{w + ig} = \begin{cases} 1, & t > 0 \\ 0, & t < 0 \end{cases}$

$$\Rightarrow W^{\mu\nu}(g) = 2 \operatorname{Im} T^{\mu\nu}(g)$$

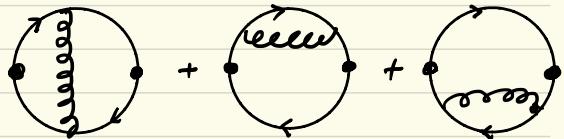
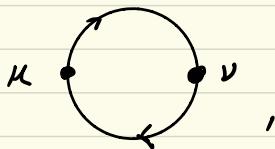
"Cutkosky rule,  
cutting diagrams"

Now: we know  $J^\mu$ ,  $J^\mu(x) = \sum g(x) \delta^\mu(x) e Q_g$ ,

we can calculate  $T^{\mu\nu}$  with perturbative theory of QCD.

Tree-level:

One-loop:



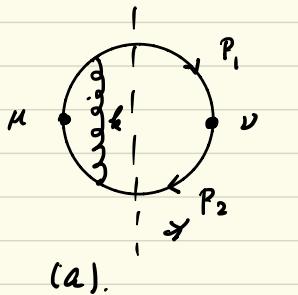
$$R = \frac{\sigma(e^+e^- \rightarrow X)}{\sigma(e^+e^- \rightarrow \mu^+\mu^-)} = N_c \sum Q_g^2 \left\{ 1 + \frac{\alpha_s(m)}{\pi} + O(\epsilon^2) \right\} \alpha(s - 4m_g^2)$$



It is finite, it contains no collinear- or I.R. divergences! Why ??  $N_c = 3$

2.10.

What? consider:  $W^{uv}$  or  $\text{Im } T^{uv}$ , cut diagrams



$$\begin{aligned}
 W_a^{uv} = & \frac{1}{2} \int \frac{d^4 p_1 d^4 p_2}{(2\pi)^4 (2\pi)^4} 2\pi \delta(p_1^2) 2\pi \delta(p_2^2) \\
 & \cdot (2\pi)^4 \delta^4(p_1 + p_2 - k) \int \frac{d^4 k}{(2\pi)^4} (-1) \\
 & \cdot \frac{-i}{k^2 + i\varepsilon} \frac{i}{(p_1 - k)^2 + i\varepsilon} \\
 & \cdot \frac{i}{(p_2 + k)^2 + i\varepsilon} \cdot \text{Tr} \left[ \delta \cdot p_1 (-i \delta_s \delta^\rho T^a) \right. \\
 & \left. \delta \cdot (p_2 - k) \delta^\mu \delta \cdot (-p_2 - k) (-i \delta_s \delta_\rho T^a) \delta \cdot p_2 \delta^\nu \right],
 \end{aligned}$$

Consider: the gluon is soft,  $k^\mu \sim (\lambda, \lambda, \lambda, \lambda)$ ,

2.10.1

Expanding in  $\lambda$ , leading order: ( $P_1^2 = P_2^2 = 0$  !)

$$W_{a, \text{IR}}^{\mu\nu} = \frac{1}{2} \int \frac{d^4 p_1 d^4 p_2}{(2\pi)^4 (2\pi)^4} 2\pi \delta(P_1^2) 2\pi \delta(P_2^2)$$

$$(2\pi)^4 \delta^4(p_1 + p_2 - k) \Pi_{a, \text{IR.}}^{\mu\nu},$$

$$\begin{aligned} \Pi_{a, \text{IR.}}^{\mu\nu} &= i g_s^2 \int \frac{d^4 k}{(2\pi)^4} \text{Tr} \left[ \delta \cdot p_1 \delta^\rho \delta \cdot p_1 \delta^\mu \delta \cdot (-p_2) \delta_\rho \delta p_2 \delta^\nu \right] \\ &\cdot \frac{1}{(-2p_1 \cdot k + i\varepsilon)(2p_2 \cdot k + i\varepsilon)(k^2 + i\varepsilon)} (N_c C_F). \end{aligned}$$

If we take  $p_1^\mu = (p_1^+, 0, 0, 0)$ ,  $p_2^\mu = (0, p_2^-, 0, 0)$

The  $k^+$ -integration can be done with Cauchy theorem:

$$\Rightarrow \Pi_{a, \text{IR.}}^{\mu\nu} = -g_s^2 \int_0^\infty \frac{dk^-}{2\pi} \int \frac{d^2 k_\perp}{(2\pi)^2} \frac{1}{(2p_1^+ k^- + i\varepsilon)(2p_2^- k_\perp^2 - i\varepsilon)} \\ \text{Tr} \left[ \delta \cdot p_1 \delta^\rho \delta \cdot p_1 \delta^\mu \delta \cdot (-p_2) \delta_\rho \delta p_2 \delta^\nu \right] (N_c C_F),$$

2.11

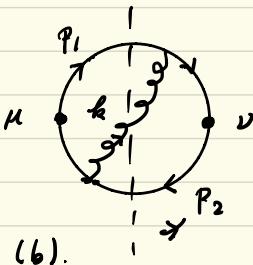
The contribution comes from the pole  $k^2 + i\epsilon = 0$

We can re-write the results as.

$$\Pi_{a, \text{I.R.}}^{\mu\nu} = -g_s^2 \int \frac{d^4 k}{(2\pi)^4} 2\pi \delta(k^2) \frac{1}{(2p_1 \cdot k + i\epsilon)(2p_2 \cdot k - i\epsilon)} \\ \text{Tr} \left[ \delta \cdot p_1 \delta^\rho \delta \cdot p_1 \delta^\mu \delta \cdot (-p_2) \delta_\rho \delta \cdot p_2 \delta^\nu \right] (N_c C_F),$$

Without specification of  $p_1^\mu$  and  $p_2^\mu$ , covariant form.

Now consider I.R. contribution from the diagram:



$$k^\mu \sim (\lambda, \lambda, \lambda, \lambda), \quad \lambda \rightarrow 0.$$

Overall factor:

$$8^4 (\delta - p_1 - p_2 - k) \approx 8^4 (\delta - p_1 - p_2)$$

(6).

$$W_{b, \text{I.R.}}^{\mu\nu} = \frac{1}{2} \int \frac{d^4 p_1 d^4 p_2}{(2\pi)^4 (2\pi)^4} \cdot 2\pi \delta(p_1^2) 2\pi \delta(p_2^2)$$

$$(2\pi)^4 \delta^4(p_1 + p_2 - \delta) \quad \Pi_{b, \text{I.R.}}^{\mu\nu},$$

2.11.1

Following the same steps :

⇒

$$\Pi_{b, I.R.}^{\mu\nu} = + g_s^2 \int \frac{d^4 k}{(2\pi)^4} 2\pi \delta(k^2) \frac{i}{(2p_1 \cdot k - i\varepsilon)(2p_2 \cdot k + i\varepsilon)} \\ \text{Tr} \left[ \delta \cdot p_1 \delta^\rho \delta \cdot p_1 \delta^\mu \delta \cdot (-p_2) \delta_\rho \delta \cdot p_2 \delta^\nu \right] (N_c C_F),$$

Neglecting the differences in " $i\varepsilon$ ", one has already:

$$\Pi_a^{\mu\nu}_{I.R.} + \Pi_b^{\mu\nu}_{I.R.} = 0.$$

Or :

$$W_a^{\mu\nu}_{I.R.} + W_b^{\mu\nu}_{I.R.} = 0$$

2.12

With the difference: the I.R. contribute to  $\sigma$ :

$$\sigma \sim \pi_{a, \text{I.R.}}^{\mu\nu} + \pi_{b, \text{I.R.}}^{\mu\nu} + \left( \pi_{a, \text{I.R.}}^{\mu\nu} + \pi_{b, \text{I.R.}}^{\mu\nu} \right)^2 = 0.$$

$\Rightarrow \sigma$  has no I.R. divergences at one-loop.

One can also show in a similar way that  
 $\sigma$  has no collinear divergences at one-loop.

Common statement: The divergence from  
virtual part is cancelled by that from real part.

General statement:

KLN theorem !!

2. 13.

KLN theorem: (Kinoshita, Lee, N

state  $a, b$ ;  $a \rightarrow b$

The probability:  $|S_{ba}|^2 = |\langle b | S | a \rangle|^2$ .

In general, it contains divergences from degenerate states of  $|a\rangle$  and  $|b\rangle$ , like I.R.- and collinear divergences. Suppose: These divergences are regularized by a set of parameters  $[\mu]$ , e.g., quark mass ...  $\mu \rightarrow 0$ , divergences appear.

If we sum those energy degenerate states of  $|a\rangle$  and  $|b\rangle$ , then the sum:

$$\sum_{D[a]} \sum_{D[b]} |S_{ba}|^2 \text{ is free from these divergences! !}$$

Note:  $a$  and  $b$  do not have the same energy, or in the same state.

2.14.

For  $e^+e^- \rightarrow \text{hadrons}$ , special case of KLN,

because of that hadrons or QCD states only appear in final state. Block - Nordsieck theorem.

OPE:

$$J^\mu(x) J^\nu(0) = C_0^{\mu\nu}(x) I + C_1^{\mu\nu}(x) : \bar{s} \bar{s} : + \dots$$

We have only take the leading order here.

The remaining terms are power-suppressed

$$\sim 1/s$$

SVZ - sum-rule.

R - ratio

Renormalon  $\Rightarrow$  power correction at  $1/s$ .



3.1.

### 3. DIS and QCD Factorization !!

DIS: Deeply Inelastic Scattering

A classical example of QCD applications

DIS: (unpolarized case)

$$e(k) + h(p) \rightarrow e(k') + X,$$

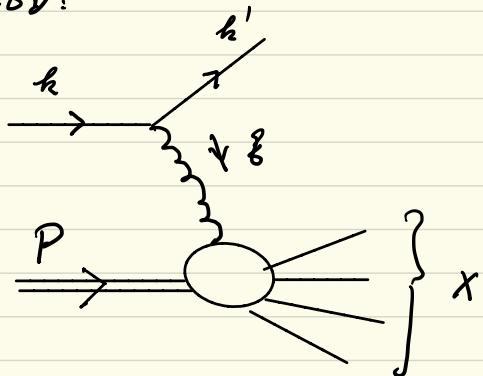
$h$ : hadron, usually it is a proton.

At leading order of QED:

$$\vec{\epsilon} = \vec{k} - \vec{k}',$$

$$\vec{\epsilon}^2 = (\vec{k} - \vec{k}')^2 = -Q^2 < 0,$$

$$y = \frac{\vec{\epsilon} \cdot \vec{P}}{\vec{k} \cdot \vec{P}},$$



$$X_B = \frac{Q^2}{2\vec{\epsilon} \cdot \vec{P}}, \quad \text{Bjorken variable}$$

3.2.

The cross-section:

$$k'^{\alpha} \frac{d\sigma}{d^3 k'} = \frac{e^2}{h \cdot p} \left( \frac{\alpha^2}{g^2} \right)^2 L_{\mu\nu} W^{\mu\nu},$$

$$L^{\mu\nu} = h'^{\mu} h^{\nu} + h^{\mu} h'^{\nu} - h \cdot h' g^{\mu\nu}, \text{ the leptonic tensor}$$

The hadronic tensor:

$$W^{\mu\nu} = \frac{1}{4\pi} \int d^4 x e^{i \vec{g} \cdot \vec{x}} \sum_x \langle h(p) | J^\mu(x) | x \rangle \langle x | J^\nu(0) | h(p) \rangle,$$

$$J^\mu = Q_g \bar{s} \gamma^\mu s, \quad (\text{take } Q_g = 1 \text{ for brevity})$$

The decomposition:

$$W^{\mu\nu}(p, g) = \left( -g^{\mu\nu} + \frac{g^\mu g^\nu}{g^2} \right) F_1(x, \alpha^2) + \frac{\hat{p}^\mu \hat{p}^\nu}{p \cdot g} F_2(x, \alpha^2),$$

$$g_\mu W^{\mu\nu} = g_\nu W^{\mu\nu} = 0 \qquad \hat{p}^\mu = p^\mu - \frac{g \cdot p}{g^2} g^\mu,$$

em-gauge invariance.

$$x = x_B.$$

Need to know  $F_1, F_2$  !!

3.3.

kinematical region of DIS: Bjorken limit

$$Q^2 \rightarrow \infty, \quad 28 \cdot p \rightarrow \infty, \quad x_B = \frac{Q^2}{28 \cdot p} \quad \text{fixed}$$

$$0 < x_B < 1.$$

Bjorken scaling:  $F_{1,2}(x, Q^2) \approx F_{1,2}(x), \quad Q^2 \rightarrow \infty$ ,

Pre-QCD:

(Naive) parton model:

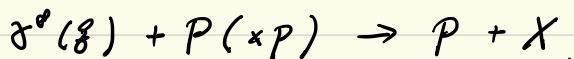
$$\bar{F}_2(x, Q^2) = x f_p(x), \quad 2x F_1(x, Q^2) = \bar{F}_2(x, Q^2)$$

Automatical scaling. "p" for parton,

The initial hadron  $h(p)$  consists of many partons.

$f_p(x)$ : the probability to find a parton  
with the momentum  $xP, \quad x \quad \%$ .

DIS:



3.4

With QCD: Improved parton model

QCD factorization theorem for DIS:

$$F_2(x, Q^2) = x \sum_a \int_x^1 \frac{ds}{s} C_a\left(\frac{x}{s}, Q^2, \mu^2\right) f_{a/h}(s, \mu^2) + \dots$$
$$= x \sum_a C_a \otimes f_{a/h}\left(1 + O\left(\frac{1}{Q^2}\right)\right),$$

$a = g, \bar{g}, q,$

$f_{a/h}(s, \mu^2)$ : parton distribution function (PDF).

defined with QCD operators, %.

It is a distribution, not a probability. (!)

$C_a\left(\frac{x}{s}, Q^2, \mu^2\right)$ : perturbative coefficient function,  
free from collinear- and I.R. divergences.

At leading order:  $C_g(z, Q^2, \mu^2) = \delta(1-z) + O(\alpha_s),$

it reproduces the (naive) parton model,

and "Partons" = quarks,  $\bar{g}$ .

3.5.

**Question:** We don't know the inner-structure of hadrons, how we make predictions?

Traditional way: Operator Product Expansion (OPE).

Modern way to discuss DIS.

Breit frame for Bjorken limit.

$h$ : moving in the  $z$ -direction:  $P^\mu = (P^+, \frac{m_h^2}{2P^+}, 0, 0)$ ,

$\gamma^*$ : moving in the  $-z$ -direction:  $\gamma^\mu = (\gamma^+, \gamma^-, 0, 0)$

$$\gamma^+ < 0.$$

Bjorken limit is realized  $\gamma^- > 0$

by  $\gamma^- \rightarrow \infty$ ,  $\Rightarrow Q^2 = -2\gamma^+\gamma^- \rightarrow \infty$ ,

$$2P \cdot \gamma = 2P^+ \gamma^- + 2P^- \gamma^+ \rightarrow \infty$$

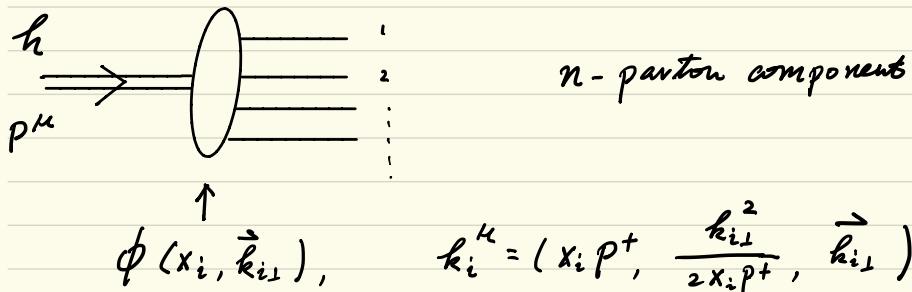
$$x = \frac{Q^2}{2P \cdot \gamma} = -\frac{\gamma^+}{P^+}, \text{ fixed.}$$

If  $P^+$  is large,  $P^\mu \approx (P^+, 0, 0, 0)$ .

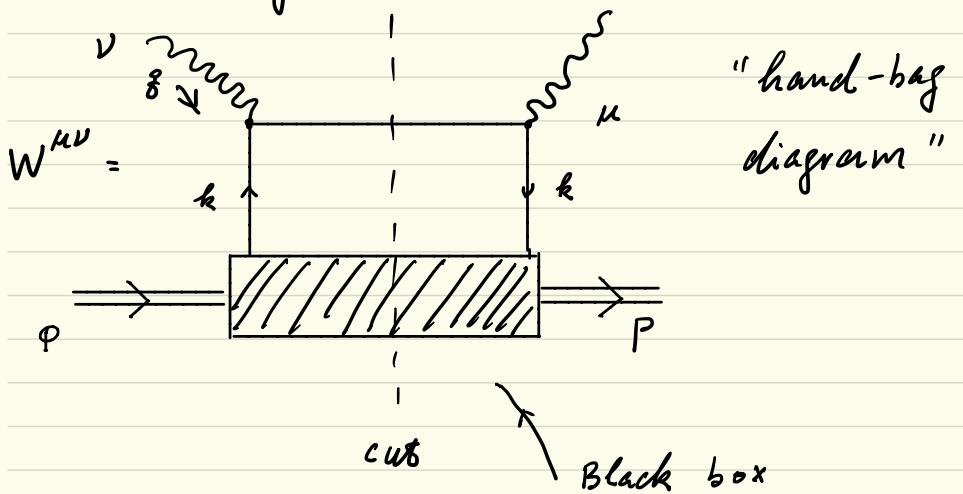
3.6.

We know: A hadron consists of partons.

partons:  $\bar{q}, \bar{\bar{q}}, \bar{g}$ .



At leading order:  $\pi^* + \bar{q}$



3.7

To derive it,

Def:  $T^{\mu\nu}(g, p) = \frac{1}{4\pi} \int d^4x e^{igx} \langle P | T(J^\mu(x) J^\nu(0)) | P \rangle,$

$\Rightarrow W^{\mu\nu}(g, p) = 2 \operatorname{Im} T^{\mu\nu}(g, p).$

Perturbation theory: leading order  $\delta_s = 0$

$$T^{\mu\nu}(g, p) = \frac{1}{4\pi} \int d^4x e^{igx} \langle P | T(\bar{\delta}(x) \delta^\mu g(x) \bar{\delta}(0) \delta^\nu g(0)) | P \rangle$$

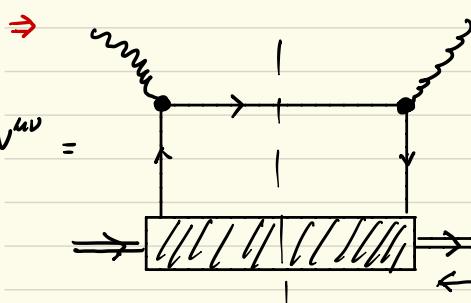
$$= \frac{1}{4\pi} \int d^4x e^{igx} \langle P | T(\bar{\delta}(x) \delta^\mu g(x) \bar{\delta}(0) \delta^\nu g(0)) | P \rangle$$

\_\_\_\_\_

+ .....

\_\_\_\_\_

$\bar{\delta}$ -contribution + vacuum bubble



$$\langle P | \bar{\delta}_i(x) \delta_j(0) | P \rangle$$

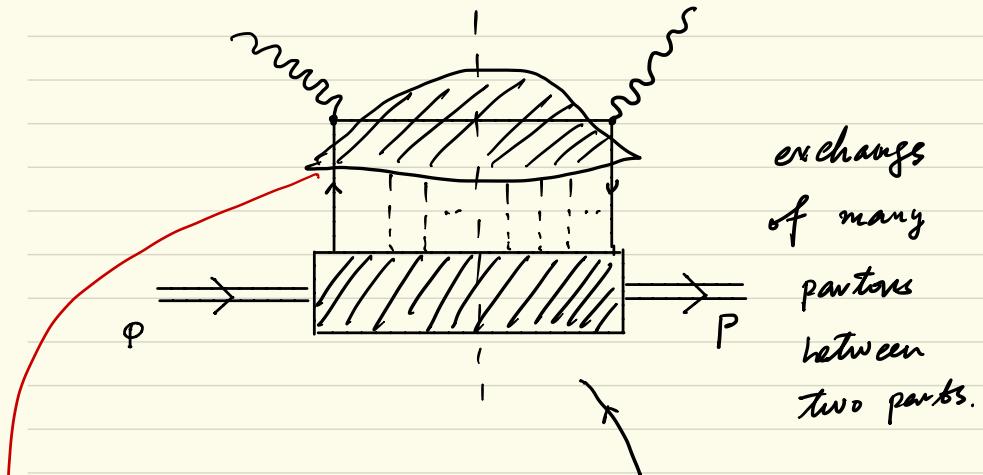
Revising the line-direction  $\Rightarrow \bar{\delta}$ -con.

We look at  $\bar{\delta}$ -contribution.

3.8

$\delta_S \neq 0$ .

The structures of diagrams.

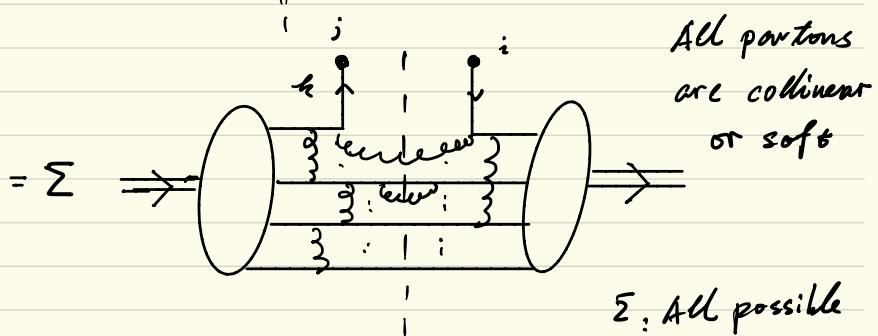
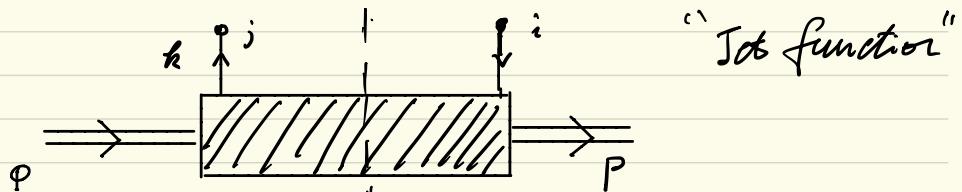


The bubble : tree-level, one-loop, ---

Back to the hand-bag diagram  $\Rightarrow$

3. 9

The black box:



$\Sigma$ : All possible  
diagrams !!

$$= \Gamma_{ji}(k, p),$$

$$\Gamma_{ji}(k, p) = \int d^4x e^{-ik \cdot x} \langle h(p) | \bar{\psi}_i(x) \psi_j(0) | h(p) \rangle$$

$i, j$ : Indices of spinor and color.

The wave functions:  $\phi(k_i)$

$$k_{iz} \sim 1, \quad k_i^- \sim 1^2/p^+, \quad 1 \sim 1_{\text{cc}}, \quad m_h$$

No large momentum transfer !!

3.10

$\Rightarrow$  Parton momentum  $k$  scales:

$$k^\mu \sim Q(1, \lambda^2, \lambda, \lambda), \quad \lambda = \frac{1}{Q} \ll 1.$$

The hand-bag diagram:

$$W^{\mu\nu} = \frac{1}{2} \int \frac{d^4 k}{(2\pi)^4} \left( \delta^\mu \delta \cdot (k+g) \delta^\nu \delta((k+g)^2) \right)_{ij} \Gamma_{ji}(k, p),$$

↑  
Expanding around  $k^\mu = \hat{k}^\mu (1 + O(\lambda))$ ,  
 $\hat{k}^\mu = (k^+, 0, 0, 0)$

$$= \frac{1}{2} \int dk^+ \left( \delta^\mu \delta \cdot (\hat{k} + g) \delta^\nu \right)_{ij} \delta((\hat{k} + g)^2) \int \frac{dx^-}{2\pi} e^{-ik^+ x^-} \langle h(p) | \bar{g}_i(x^-) g_j(0) | h(p) \rangle$$

↑ equivalent to expand  $\langle h | \bar{g}_i(x) g_i(0) | h \rangle$  in  $\vec{x}_\perp$  and  $x^+$   $\underbrace{\dots}_{\text{---}}$

The quark field:  $i \delta \cdot D \bar{g} = \left( i D^+ \delta^- + i D^- \delta^+ - i \vec{\delta}_\perp \cdot \vec{D}_\perp \right) \bar{g}(x) = 0$   
in the hadron  $O(P^+), \quad O(\alpha^2), \quad O(\lambda),$

↓

3.11

Not all components of  $\delta(x)$  are important.

$$\delta(x) = \delta^{(+)}(x) + \delta^{(-)}(x), \quad \delta^{(+)}(x) = \frac{1}{2} \delta^- \delta^+ \delta(x),$$

$$\delta^{(-)}(x) = \frac{1}{2} \delta^+ \delta^- \delta(x).$$

$$\delta^- \delta^+ + \delta^+ \delta^- = 2, \quad \delta^- \delta^- = \delta^+ \delta^+ = 0.$$

Using EOM:  $\delta^{(-)} \sim O\left(\frac{\Lambda}{p^+}\right) \delta^{(+)}$ .

Power-counting for  $\delta$ .

$\Rightarrow \delta^{(+)}(x)$  is the large component.

$$\int \frac{dx^-}{2\pi} e^{-ik^+ x^-} \langle h(p) | \bar{\delta}_i(x^-) \delta_j(0) | h(p) \rangle$$

$$= \frac{1}{2N_c} (\delta^-)_{ji} f_{\delta/p}(z) + O(1),$$

color diagonal.

PDF:

$$f_{\delta/p}(z) = \frac{1}{2} \int \frac{d\lambda}{2\pi} e^{-ik^+\lambda} \langle h(p) | \bar{\delta}(0) \delta^+ \delta(0) | h(p) \rangle,$$

↑  
dimensionless, depends only on  $k^+/p^+ = z$ .

momentum conservation:  $-p^+ < k^+ < p^+$ ,

$k^+ < 0$ , antiquark. we take  $k^+ > 0$

$$(\hat{h} + \hat{g})^2 = 2 (\hat{h} + \hat{g})^+ \hat{g}^- = 0$$

$$\Rightarrow h^+ + g^+ = 0, \quad (\hat{h} + \hat{g})^\mu = (0, \hat{g}^-, 0, 0)$$

!!

3.12

$$W^{\mu\nu} = \frac{1}{4Nc} \int_0^{p^+} dk^+ f_{g/p}(z) \delta((\hat{h} + \hat{g})^2) \text{Tr} \left[ \delta^\mu \delta \cdot (\hat{h} + \hat{g}) \delta^\nu \delta^- \right],$$

$$\Rightarrow F_1(x, Q^2) = \frac{1}{2} \int_0^1 dz \delta(x-z) f_{g/p}(z) = \frac{1}{2} f_{g/p}(x),$$

$$F_2(x, Q^2) = 2x F_1(x, Q^2) = x f_{g/p}(x) *$$

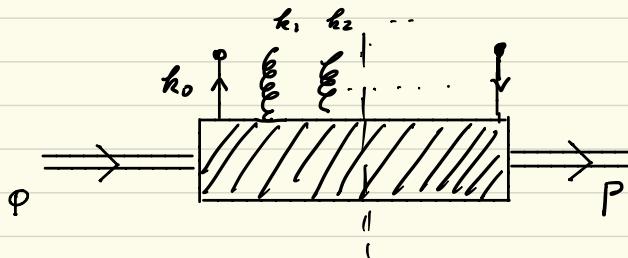
But:

$$f_{g/p}(z) = \frac{1}{2} \int \frac{d\lambda}{2\pi} e^{-i k^+ \lambda} \langle h(p) | \bar{g}(\lambda u) \delta^+ g(0) | h(p) \rangle$$

*It is not gauge invariant!*

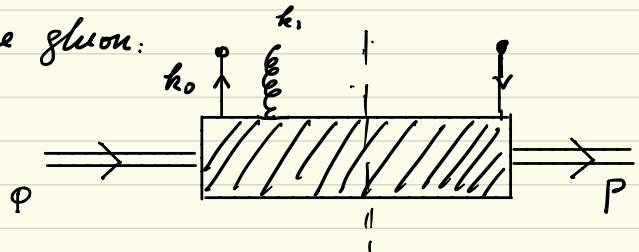
In fact, at tree-level there are many diagrams at the leading order of 1 or  $\lambda = \frac{1}{p^+}$  !!

Back to the black box, it can have gluons.



3.13

The case of one gluon:



$$FT: \langle h(P) | \bar{q}(x_0) G^\mu(x_1) q(0) | h(P) \rangle$$

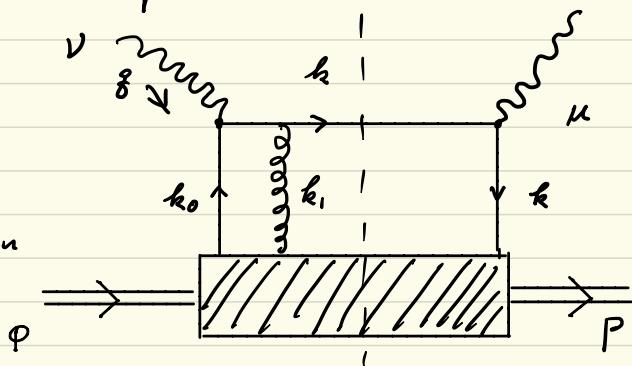
$$\sim P^+ \left( 1, \frac{\Lambda^2}{(P^+)^2}, \frac{1}{P^+}, \frac{\Lambda}{P^+} \right) \sim k_{0,1}^\mu$$

$\mu = +$ : The largest component.

The contribution  
to  $W^{\mu\nu}$ :

After expansion in

$\Lambda$ :



$$W_{1g}^{\mu\nu} = \frac{1}{2} \int d\hat{k}^+ d\hat{k}_1^+ \left[ \delta^\mu \delta(\hat{k} + \hat{g}) (-i \delta_s \delta^-) \frac{i \delta(\hat{k} + \hat{g} - \hat{k}_1)}{(\hat{k} + \hat{g} - \hat{k}_1)^2 + i\varepsilon} \right]$$

$$\cdot \delta^\nu \delta((\hat{k} + \hat{g})^2) \left[ \frac{1}{2N_c} (\delta^-)_{ji} \right]$$

$$\cdot \int \frac{d\lambda d\lambda_1}{(2\pi)^2} e^{-i\lambda \hat{k}^+ + i\lambda_1 \hat{k}_1^+} \langle h | \bar{q}(\lambda n) \gamma^+ G^+(\lambda_1 n) \gamma^0 | h \rangle,$$

3.14

$$\hat{k} = (k^+, 0, 0, 0), \quad \hat{k}_i = (k_i^+, 0, 0, 0), \quad \rho = -$$

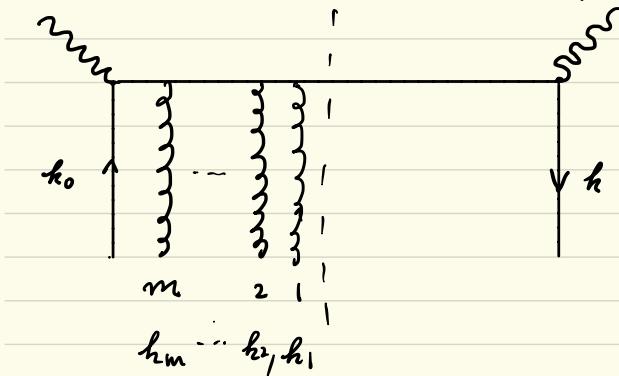
$$\delta^\rho \frac{\delta \cdot (\hat{k} + \vec{g} - \hat{k}_i)}{(\hat{k} + \vec{g} - \hat{k}_i)^2 + i\epsilon} \delta^\nu \delta^- = \delta^\nu \delta^- \frac{1}{-k_i^+ + i\epsilon} n^\rho$$

Note:  $\nu = 1$  and  $k^+ + g^+ = 0$ , on-shell.

$$\Rightarrow W_{ij}^{\mu\nu} = \frac{1}{4N_c} \int dk^+ \left[ \text{Tr} \left( \delta^\mu \delta \cdot (\hat{k} + \vec{g}) \delta^\nu \delta^- \right) \delta((\hat{k} + \vec{g})^2) \right] \\ \cdot \frac{1}{2} \int dk_i^+ \frac{i}{-k_i^+ + i\epsilon} (-i g_s n_\rho) \int \frac{d\lambda d\lambda_i}{(2\pi)^2} e^{-i\lambda k^+ + i\lambda_i k_i^+} \\ \langle h | \bar{g}(n) \delta^+ G^\rho(\lambda, n) \delta^{(0)} | h \rangle,$$

$n_\rho G^\rho = G^+$ , the factor in  $[ \dots ]$  is the same as before.

One can work out the result for exchanges of m-gluons.



3.15

The contribution with  $m$ -gluons:

$$W_{mg}^{\mu\nu} = \frac{1}{4N_c} \int dk^+ \left[ \text{Tr} \left( \delta^\mu \delta \cdot (\hat{k} + g) \delta^\nu \delta^- \right) \delta((\hat{k} + g)^2) \right]$$

$$\frac{1}{2} \int_{i=1}^m \frac{1}{\pi} dk_i^+ \frac{g_s}{(-k_1^+ + i\varepsilon)} \frac{g_s}{(-\hat{k}_2^+ + i\varepsilon)} \dots \frac{g_s}{(-\hat{k}_m^+ + i\varepsilon)}$$

$$\cdot \int \frac{d\lambda}{2\pi} e^{-i\lambda k_i^+} \frac{1}{\pi} \int_{i=1}^m \frac{d\lambda_i}{2\pi} e^{+i\lambda_i \hat{k}_i^+}$$

$$\cdot \langle h(p) | \bar{g}(\lambda n) \delta^+ G^+(\lambda_1 n) G^+(\lambda_2 n) \dots G^+(\lambda_m n) g(o) | h(p) \rangle,$$

$$\hat{k}_i^+ = k_1^+ + k_2^+ + \dots + k_i^+$$

↳ ordered  
"statistical factor"

The contributions are at the same order of 1 !!

need to be summed.

Gauge link: ↳ path-ordered along  $n$ -direction

$$V(x, \infty) = P \exp \left\{ -i g_s \int_0^\infty d\lambda G^+(\lambda n + x) \right\}$$

$$= 1 + \sum_{i=1} \left( -i g_s \right)^i \int_{j=1}^i \frac{1}{\pi} d\lambda_j G^+(\lambda_1 n + x) G^+(\lambda_2 n + x)$$

$$G^+(\lambda_3 n + x) \dots G^+(\lambda_i n + x) \cdot \delta(\lambda_1 - \lambda_2) \delta(\lambda_2 - \lambda_3)$$

$$\delta(\lambda_3 - \lambda_4) \dots \delta(\lambda_{j-1} - \lambda_j) \delta(\lambda_j),$$

3.16

$$\text{Using } \mathcal{O}(\lambda) = i \int \frac{dw}{2\pi} \frac{e^{-i w \lambda}}{w + i\varepsilon}$$

$$V(x, \infty) = 1 + \sum_{i=1}^{\infty} (-i g_s)^i \int \prod_{j=1}^i \frac{i}{2\pi} dh_j^+$$

$$\frac{(-h_1^+ + i\varepsilon)(-h_2^+ + i\varepsilon)(-h_3^+ + i\varepsilon)\dots(-h_i^+ + i\varepsilon)}{\int \prod_{j=1}^i \frac{dh_j}{2\pi} e^{ih_j^+ \lambda_j}}$$

$$G^+(x_1 n + x) G^+(x_2 n + x) \dots G^+(x_i n + x),$$

$\Rightarrow \sum_{m=0}^{\infty} W_m^{\mu\nu}$  can be summed with  $V$ . the left part.

Doing the same for the right part - -

After the sum: the leading order of  $1$  at tree-level:

$$W^{\mu\nu} = \frac{1}{4N_c} \int dh^+ \text{Tr} \left[ \delta^\mu \delta \cdot (\hat{h} + \vec{g}) \delta^\nu \delta^- \right] \delta((\hat{h} + \vec{g})^2)$$

$$\cdot \frac{1}{2} \int \frac{d\lambda}{2\pi} e^{-i\lambda h^+} \langle h | \bar{g}(x n) V^+(\lambda n, \infty) \delta^+ V(0, \infty) g(0) | h \rangle$$

The gauge invariant definition of PDF:

$$f_{g/p}(\vec{x}, \mu) =$$

$$\cdot \frac{1}{2} \int \frac{d\lambda}{2\pi} e^{-i\lambda h^+} \langle h | \bar{g}(x n) V^+(\lambda n, \infty) \delta^+ V(0, \infty) g(0) | h \rangle$$

$$h^+ = \vec{z} P^+,$$

3.17.

$\mu$ : renormalization scale, introduced by V.V. subtraction. Because of the subtraction, one can not show that PDF is positive as a probability!

Gauge transformation:

$$g(x) \rightarrow u(x) g(x), \quad V(x, \infty) \rightarrow u(\infty) V(x, \infty) u^+(x).$$

The defined PDF is gauge invariant!

Is the obtained  $W^{\mu\nu}$  gauge invariant??

We need to check the factor  $\text{Tr}[\delta^\mu \delta^{(\hat{k} + g)} \delta^\nu \delta^-]$   
with  $(\hat{k} + g)^2 = 0$ .

$$\frac{1}{2N_G} \text{Tr}[\delta^\mu \delta^{(\hat{k} + g)} \delta^\nu \delta^-] = \frac{1}{k^+} \sum' \bar{u}(\hat{k}) \delta^\mu u(\hat{k} + g) u(\hat{k} + g) \delta^\nu u(\hat{k})$$

$$\hat{k}^2 = 0$$

$u(\hat{k} + g) \delta^\nu u(\hat{k})$ : the scattering amplitude for

$$\delta^* + g(\hat{k}) \rightarrow g(\hat{k} + g)$$

Therefore, the obtained  $W^{\mu\nu}$  is gauge invariant.

3.18

\* For gauge invariance it is crucial that the initial quark is on-shell! It is also important for summing of collinear gluons by Ward identity beyond tree-level to prove the factorization.

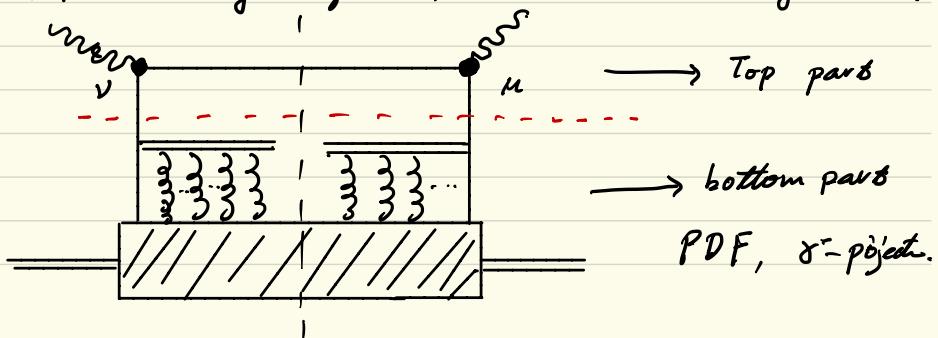
To include antiquark contributions:  $\bar{z} < 0$ .

$$f_{\bar{g}/p}(z) = -f_{g/p}(-z).$$

$$F_2(x, Q^2) = x \left( f_{g/p}(x) + f_{\bar{g}/p}(x) \right) \#$$

To discuss the factorization beyond tree-level, we modify the notation of the "black box".

After summing all gluons, our result can be given as:



3.19

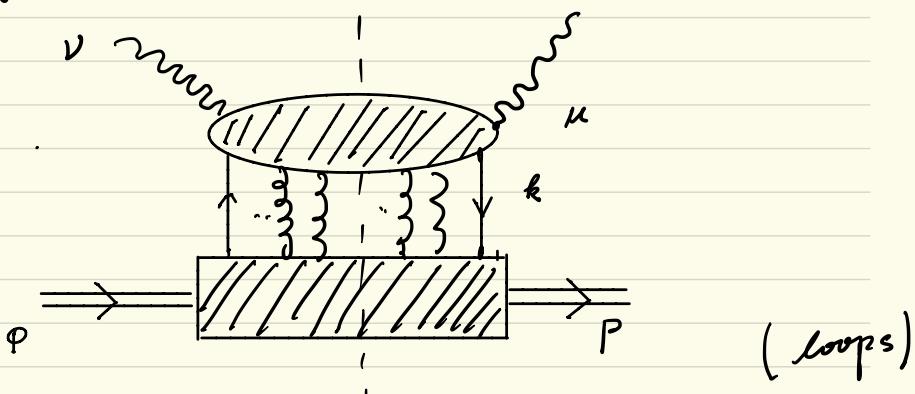
Feynman rule for  $V(x, \infty)$ :

Vertex:  $(-i g_s T^a n^\mu)$ ,

Propagator:

$$\frac{i}{k^+ + i\epsilon}$$

Beyond tree-level:



The top bubble can be at any order of  $\alpha_s$ .

We have studied the case at order  $\alpha_s^0$ .

3.20.

We need to sum all contributions of gluon exchanges.

After the collinear expansion: All parton lines carries "+" momentum  $\hat{k} = (\hat{k}^+, 0, 0, 0)$ ,

the quark lines are for on-shell quarks.

The gluon lines are for  $G^+$  gluons !

In covariant gauge, one can derive

Ward identity : (BRST)

$$\langle f | \partial^{\mu_1} G_{\mu_1}(x_1) \partial^{\mu_2} G_{\mu_2}(x_2) \dots \partial^{\mu_n} G_{\mu_n}(x_n) | i \rangle = 0$$

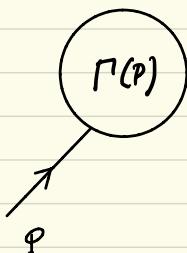
$n = 1, 2, 3 \dots$ , matrix relation !

$| i \rangle$ ,  $| f \rangle$ , physical states, on-shell !!

3.21

Illustration: one gluon attachment, one quark  
in the initial state

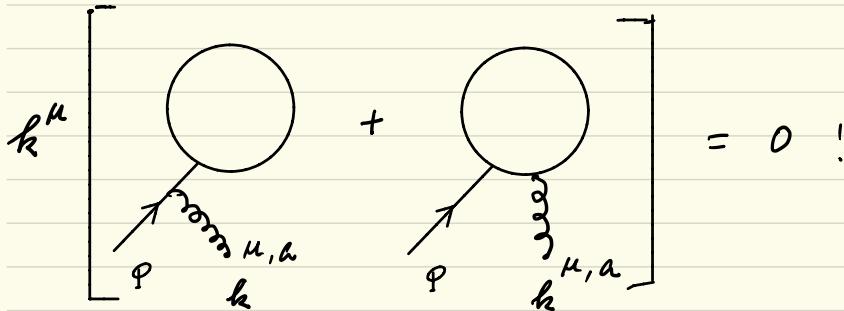
$$P^\mu = (P^+, 0, 0, 0)$$



$$\langle f | g(p) \rangle = \Gamma(p) u(p)$$

One-gluon insertion:

$$\langle f | \partial_\mu G^{a,\mu}(x) | i \rangle = 0.$$



(a)

(b)

(b): All possible

insertions except (a).



3.22

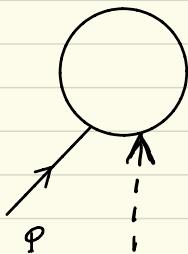
We decompose

$$G^{a,\mu}(k) = \int d^4x e^{ik \cdot x} G^{a,\mu}(x)$$

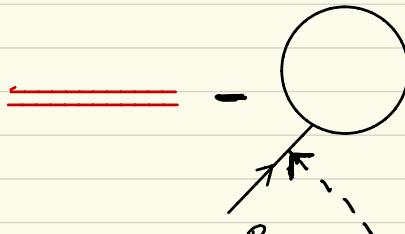
$$= \frac{k^\mu}{n \cdot k} n \cdot G^a(k) + \left( G^{a,\mu}(k) - \frac{k^\mu}{n \cdot k} n \cdot G^a(k) \right)$$

↑  
scalar gluon, longitudinal polarized.

All possible attachment  
of scalar gluon in (b) = Attachments to the  
external leg.



(b)



(a)

$$\text{Fig. (a)} = (-) \frac{1}{n \cdot k} \Gamma(p+k) \frac{i \delta \cdot (p+k)}{(p+k)^2 + i\epsilon} (-i g_s \delta \cdot k T^a) u(p)$$

$$= - \frac{g_s}{n \cdot k} \Gamma(p+k) T^a \left[ 1 - \frac{\delta \cdot (p+k)}{(p+k)^2 + i\epsilon} \delta \cdot p \right] u(p)$$

↑

$$\delta \cdot p u(p) = 0 \quad \sim 0$$

3. 23.

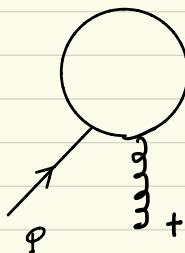
$$\text{Fig. (a)} = - \frac{\delta s}{n \cdot k} \Gamma(p+k) T^k u(p)$$

for on-shell quark.

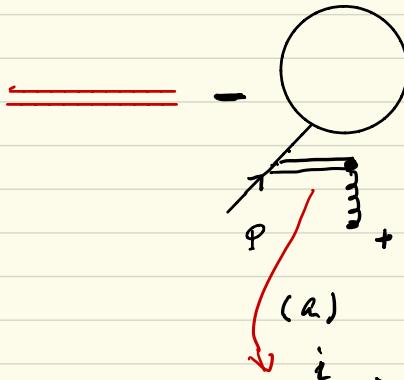
In our case :  $k^\mu \sim (1, \lambda^2, \lambda, \lambda)$ ,

$$G^\mu \sim (1, \lambda^2, \lambda, \lambda).$$

$$\Rightarrow G^\mu(k) = \frac{k^\mu}{k^+} G^+ (1 + O(\lambda)),$$



(b)



(a)

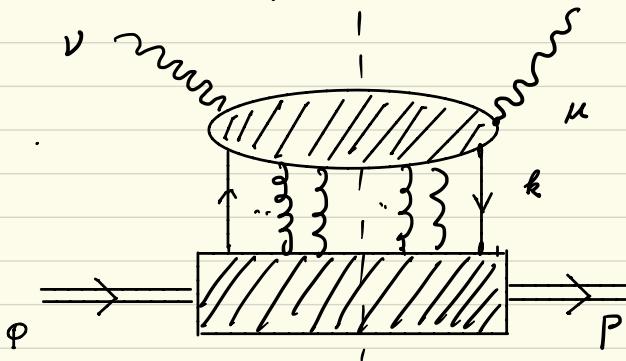
$$\frac{i}{k^+} \rightarrow \frac{i}{k^+ \pm i\epsilon}$$

This can be generalized to insertion of any number of gluons. The sum gives gauge links.

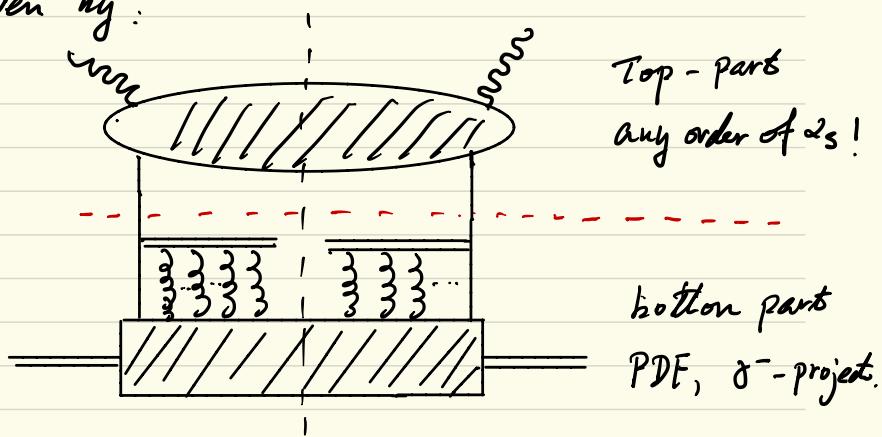
$\pm i\epsilon$  irrelevant here

3.24

With Ward - Identity, the leading contribution from the sum of



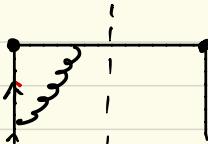
is given by:



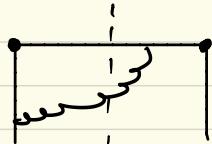
**Note:** crucial that the quark lines stand for on-shell quarks.

3. 2.5

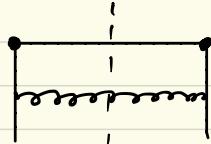
At one-loop level, the top part:



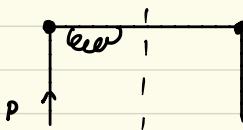
(a)



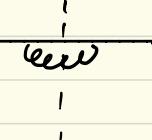
(b)



(c)



(d)



+ h.c. of (a,b,c)

With the projection from the bottom:

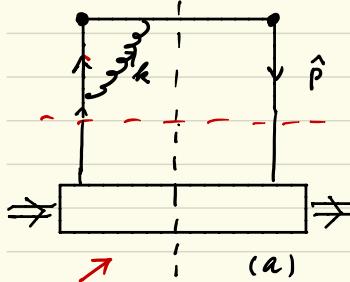
$$\frac{1}{2N_c} (\delta^-)_{ji} f_{8/p}(z), \quad \hat{p}^+ = z P^+$$

After the projection and taking  $\hat{p}^\mu = (\hat{p}^+, 0, 0, 0)$ ,  
the initial quark lines stand for on-shell quarks.

- We will use the subtractive approach (Collins), it has a similarity to BHEP for U-V.

3.26

Consider Fig. (a) :



The black box + gauge  
links

$$W_a^{uv} = \frac{1}{4N_c} \int d\hat{p}^+ \delta((\hat{p} + g)^2)$$

$$\int \frac{d^4k}{(2\pi)^4} \frac{-i}{k^2 + i\varepsilon} \text{Tr} \left[ \delta^u \delta \cdot (\hat{p} + g) \right]$$

$$(-i\delta s \delta_p T^a) \frac{i\delta \cdot (\hat{p} + g - k)}{(\hat{p} + g - k)^2 + i\varepsilon} \delta^v$$

$$\frac{i\delta \cdot (\hat{p} - k)}{(\hat{p} - k)^2 + i\varepsilon} (-i\delta s \delta_p T^a) \delta^- \left] f_{g/p}(z) \right.$$

$$\hat{p}^+ = z P^+$$

Consider the momenta region  $k^\mu \sim (1, \lambda^2, \lambda, \lambda)$ ,  
collinear to  $\hat{p}$  or  $P$ . Expanding in  $\lambda$ ,  
the leading order is:

$$W_{a,c}^{uv} = \frac{1}{4N_c} \int d\hat{p}^+ \delta((\hat{p} + g)^2) \text{Tr} \left[ \delta^u \delta \cdot (\hat{p} + g) \delta^v \delta^- \right]$$

$$\cdot \left\{ \int \frac{d^4k}{(2\pi)^4} \frac{-i}{k^2 + i\varepsilon} \text{Tr} \left[ \delta^+ \frac{i\delta \cdot (\hat{p} - k)}{(\hat{p} - k)^2 + i\varepsilon} (-i\delta s \delta_p T^a) \delta^- \right] \right.$$

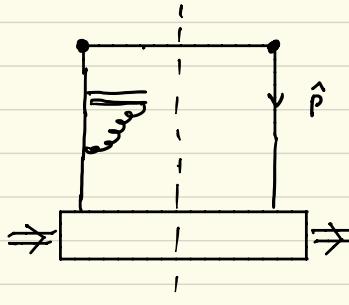
$$\left. \cdot \frac{i}{-k^2 + i\varepsilon} (-i\delta s m^p T^a) \frac{1}{4N_c} f_{g/p}(z) \right\}$$

The integration over  $k$  is divergent,  
Note ..... is tree-level result. | collinear divergence!

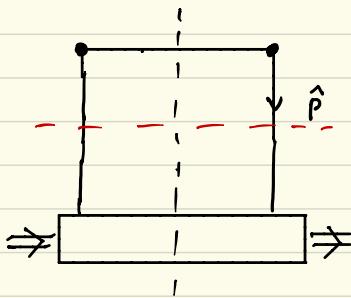
3.27

This collinear divergent contribution is represented by the diagram:

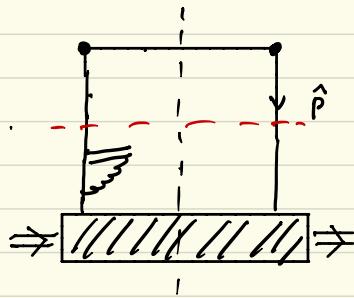
$$W_{a,c}^{\mu\nu} =$$



In the tree-level, there is the same contribution:



contains.



- Including  $W_{a,c}^{\mu\nu}$  at one-loop results in a double counting. To avoid it,  $W_{a,c}^{\mu\nu}$  must be subtracted. (subtructive approach)

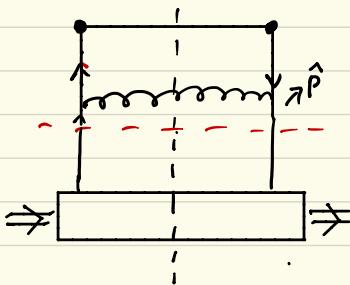
3.28

⇒ The contribution from Fig. (a) at one-loop  
is given by :

$$W_a^{\mu\nu} - W_{a,c}^{\mu\nu}$$

It is free from the collinear singularity  
from the region where the gluon is collinear  
to  $P!!$

Fig. (c) :



$$\begin{aligned} W_e^{\mu\nu} &= \frac{1}{4N_c} \int d\hat{p}^+ \int \frac{d^4k}{(2\pi)^2} \delta((\hat{p}^+ + \vec{k} - h)^2) \\ &\cdot \text{Tr} \left[ (ig_s \delta_P T^a) \frac{-i\delta \cdot (\hat{p} - h)}{(\hat{p} - h)^2 - i\epsilon} \right. \\ &\quad \left. \delta^\mu \delta \cdot (\hat{p} + \vec{q} - h) \delta^\nu \frac{i\delta \cdot (\hat{p} - h)}{(\hat{p} - h)^2 - i\epsilon} \right. \\ &\quad \left. (-ig_s \delta_P T^a) \delta^- \right] \\ &\quad (-2\pi \delta(h^2)) f_{g/P}(z) \end{aligned}$$

Consider the contribution from the collinear  
region with  $k^\mu \sim (1, \lambda^2, \lambda, \lambda)$ ,

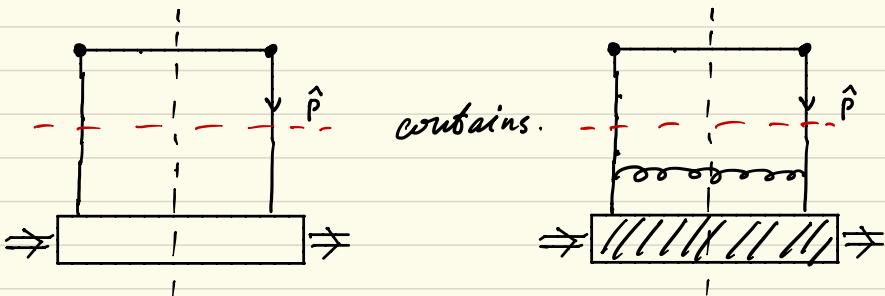
3. 2P

The collinearly divergent contribute of F.g.(e):

$$W_{e,c}^{\mu\nu} = \frac{1}{4N_c} \int d\hat{k} \delta^+(\hat{k}^2 + 8) (\hat{k}^2 + 8)^2 \text{Tr} [\delta^\mu \delta^+(\hat{k} + 8) \delta^\nu \delta^-]$$
$$\left\{ \frac{d^4 k}{(2\pi)^4} (-2\pi \delta(k^2)) \text{Tr} \left[ \delta^+ \frac{i\delta \cdot (\hat{p} - \hat{k})}{(\hat{p} - \hat{k})^2 + i\varepsilon} (-i g_s \delta_\rho T^\alpha)$$
$$\cdot \delta^- \frac{-i\delta \cdot (\hat{p} - \hat{k})}{(\hat{p} - \hat{k})^2 - i\varepsilon} (i g_s \delta^\rho T^\alpha) \right] \frac{1}{4N_c} f_{g_P}(z),$$

$$\hat{k}^\mu = (\hat{p}^+ - k^+, 0, 0, 0).$$

Again, there is a double counting. For the collinear gluon, there is the same contribution in tree level results:



Subtraction is needed.

3. 30

→ the contribution from Fig. (e) at one-loop

$$W_e^{uv} - W_{e,c}^{uv}$$

It is free from the collinear divergence!

Only Fig. (a), (b) and (c) contain the divergences when the exchanged gluon is collinear to  $P$ .

Doing the same for Fig. (b) .....

→ The contribution to  $W^{uv}$  hasn't the collinear divergences.

There are I.R. divergences in each diagram.

They are cancelled in the sum, because we sum all final states, as discussed in Sect. 3.

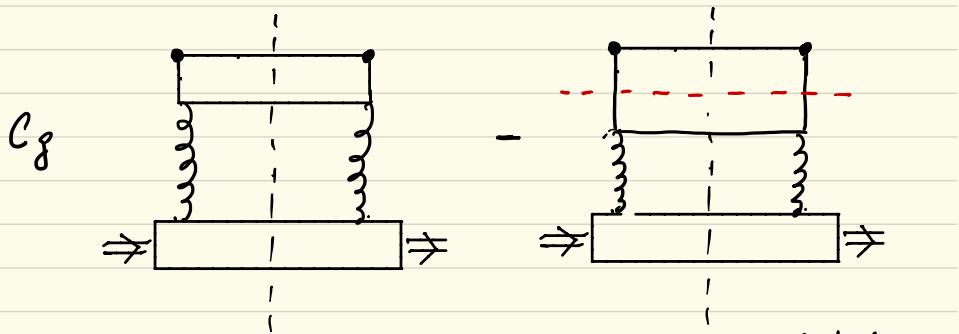
There are collinear divergences when the exchanged gluon is collinear to the final quark.

They are cancelled as I.R. one's

3. 3. 1.

**Conclusion:** The perturbative coefficient function  $C_g$  in  $W^{UU}$  at one-loop is finite!

At one-loop, there are gluonic contribution



Gluon PDF:

collinear contribution  
is already included  
in tree-level diagram

$$f_{g/p}(z) = -\frac{1}{z p^+} \int \frac{d\lambda}{2\pi} e^{-i\lambda p^+ z}$$

$$\langle h(p) | \left( G^{+\mu}(x_n) V^+(\lambda_n, \infty) \right)^a \left( V(0, \infty) G^{+\mu}_a(0) \right)^a | h(p) \rangle,$$

$V$ : in adjoint representation.

One can show  $C_g$  is finite at one-loop.

3.32

One can go iteratively beyond one-loop,  
and show the factorization.

$$F_2(x, Q^2) = x \sum_a \int_x^1 \frac{ds}{s} C_a\left(\frac{x}{s}, Q^2, \mu^2\right) f_{g/h}(s, \mu^2) + \dots$$
$$= x \sum_a C_a \otimes f_{g/h}\left(1 + O\left(\frac{1^2}{Q^2}\right)\right),$$

The operators used to define PDF are twist-2 operators.

Theoretical predictions?  $\mu \rightarrow \infty, \omega s \rightarrow 0$ .

Evolution: DGLAP

Bjorken scaling.

$$\frac{\partial}{\partial \ln \mu^2} \begin{pmatrix} f_{g/p}(x) \\ f_{g/p}(s) \end{pmatrix} = \frac{\omega s}{2\pi} \int_x^1 \frac{ds}{s} \begin{pmatrix} P_{gg}(z), P_{g\bar{g}}(z) \\ P_{g\bar{g}}(z), P_{gg}(z) \end{pmatrix} \begin{pmatrix} f_{g/p}(s) \\ f_{g/p}(s) \end{pmatrix},$$

$$z = \frac{x}{s}, \quad P_{ab}(z): \text{ splitting kernel}$$

$P_{ab}$  can be calculate with perturbative theory,  
known at three-loop level.

3. 33

$$F_i(x, Q^2) = \sum_a C_a(\mu^2, Q^2) \otimes f_{g/p}^a(\mu^2),$$

$C_a$  depend on  $\ln \frac{\mu^2}{Q^2}$ ,  $C_a(\mu^2, Q^2) = C_a\left(\frac{\mu^2}{Q^2}\right)$ ,

$$\text{Taking } \mu^2 = Q^2, \quad F_i(x, Q^2) = \sum_a C_a(1) \otimes f_{g/p}^a(Q^2),$$

$Q^2$ -dependence is determined by DGLAP.

Bjorken scaling:  $F_i(x, Q^2) = F_i(x)$ ,

Scaling violation is predicted.

The prediction agrees with experiment. 

Experiment of unpolarized DIS has told us:

① Partons are quarks and gluons

② Scaling violation from experiment is predicted correctly.

③ Extract PDF's for other usages.

"Classical test of QCD"

## Theory VS. Experiment

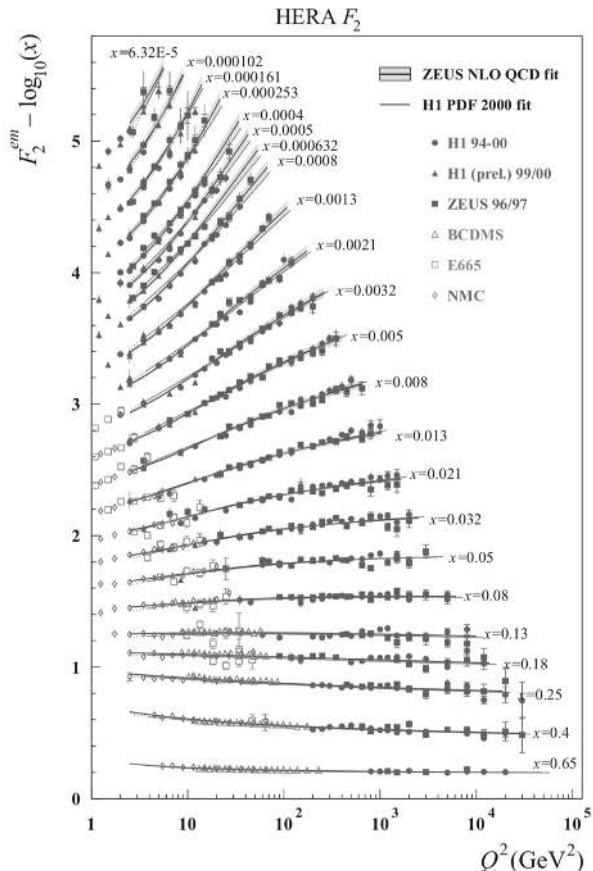


Fig. 2.7. Compilation of the world  $F_2$  data for DIS on a proton. The proton  $F_2$  structure function is plotted as a function of  $Q^2$  for a range of values of  $x$ , as indicated next to the data. It can be seen that, except for very small  $x$ ,  $F_2$  is independent of  $Q^2$ , a manifestation of Bjorken scaling. (We thank Kunihiro Nagano for providing us with this figure.) A color version of this figure is available online at [www.cambridge.org/9780521112574](http://www.cambridge.org/9780521112574).

3. 35

polarized DIS with proton:

The proton is polarized, a spin vector  $s$

The decomposition:

$$W^{\mu\nu}(p, g) = \left( -g^{\mu\nu} + \frac{g^\mu g^\nu}{g^2} \right) F_1(x, Q^2) + \frac{\hat{p}^\mu \hat{p}^\nu}{p \cdot g} F_2(x, Q^2)$$
$$+ i g^{\mu\nu\beta} \frac{\partial \alpha}{p \cdot g} \left[ S_\beta \gamma_1(x, Q^2) \right.$$
$$\left. + (p \cdot g S_\beta - g \cdot s P_\beta) \gamma_2(x, Q^2) \right],$$

Two-additional structure functions.

$\gamma_1$ : can be measured with longitudinally polarized proton. Its factorization is similar to that of  $F_1$  with twist-2 operators.

experimental study of  $\gamma_1$ :

"Spin crisis"

3. 36

$\mathcal{G}_2$ : can be measured with transversely polarized proton. Its factorization is with twist-3 operators, complicated.

#

Momentum sum rule

Spin sum rule

3. 37

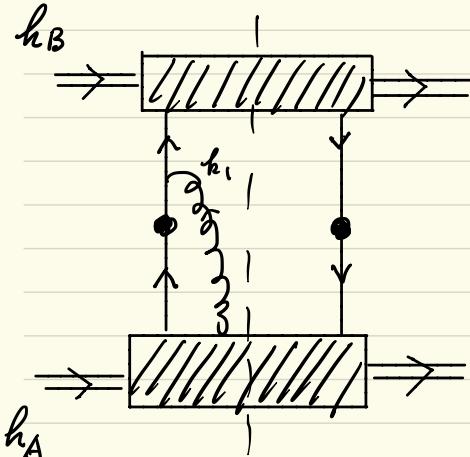
Universality of PDF's: (very important)

Drell-Yan process: (DY)

$$h_A(P_A) + h_B(P_B) \longrightarrow \gamma^* + X$$
$$\quad \quad \quad \downarrow \ell^+ \ell^-$$

$$P_A^u \approx (P_A^+, 0, 0, 0), \quad P_B^u \approx (0, P_B^-, 0, 0)$$

One can do the analysis as done before.



gives a factor

$$\frac{i}{-k_1^+ - i\varepsilon} (-i\delta_S n^u T^a)$$

$$\frac{i}{-k_1^+ + i\varepsilon} (-i\delta_S n^u T^a)$$

DIS

$h_A(P_A)$

Feynman diagram illustrating Deep Inelastic Scattering (DIS). An incoming gluon  $h_A$  (represented by a box with diagonal hatching) interacts with an incoming lepton-antilepton pair ( $\ell^+ \ell^-$ ) via a virtual photon exchange (represented by a box with vertical hatching). The outgoing lepton-antilepton pair is labeled  $\ell^+ \ell^-$ . A curved arrow points from the first diagram to this one.

3. 38

$\pm i\varepsilon$  has a physical meaning:

$+i\varepsilon$ : final state interaction

This leads to that PDF defined in DIS

is with the gauge link  $V(x, \infty)$

pointing to the future.

$-i\varepsilon$ : Initial state interaction

This leads to that PDF defined in DY

is with the gauge link  $V(x, -\infty)$

pointing to the past.

PDF in DY:

$$V(x, -\infty) = P \exp \left\{ -i g_s \int_{-\infty}^0 d\lambda G^+(\lambda n + x) \right\},$$

$$f_{g/p}(z) \Big|_{DY} = \frac{1}{2} \int \frac{d\lambda}{2\pi} e^{-i\lambda p_A^+ z}$$

$$\langle h_A | \bar{z}(\lambda n) V(\lambda n, -\infty) z^+ |$$

$$V^+(0, -\infty) \bar{z}(0) | h_A \rangle_\#$$

3. 39

Symmetry of Parity + Time-reversal



$$f_{\delta/p}(z) \Big|_{DY} = f_{\delta/p}(z) \Big|_{DIS}$$

or non-Abelian Stoke theorem



The area inside the close contour is zero!!

3.40

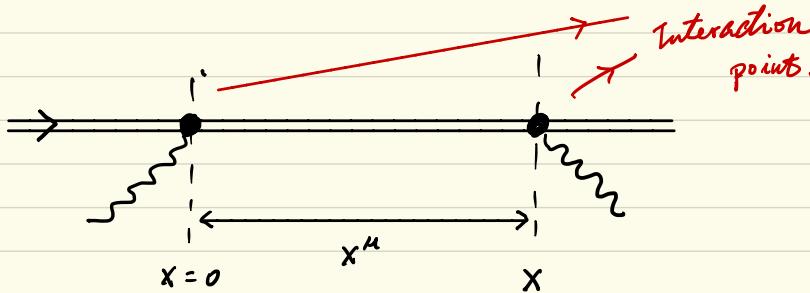
Physical picture of DIS:

Space-time structure of DIS:

rewrite:

$$W^{\mu\nu} = \frac{1}{2\pi} \text{Im} \left[ \int d^4x e^{i\vec{q}\cdot\vec{x}} \langle h(p) | T(J^\mu(x) J^\nu(x)) | h(p) \rangle \right]$$

Forward scattering:



Typical  $x$ -range, interact range, or observation range is given  $\approx x \sim 1$ .

We take the frame: The initial proton is in rest,

$$\mathbf{p}^0 = m, \quad \vec{\mathbf{p}} = 0.$$

The virtual photon moves in the  $-\vec{q}$ -direction:

$$\vec{q}^\mu = (\vec{q}^0, 0, 0, \vec{q}^3) = (\vec{q}^0, 0, 0, -|\vec{q}^3|),$$

$$\vec{q}^+ = \frac{1}{\sqrt{2}} (\vec{q}^0 - |\vec{q}^3|), \quad \vec{q}^- = \frac{1}{\sqrt{2}} (\vec{q}^0 + |\vec{q}^3|).$$

3. 41

$$Q^2 \rightarrow \infty, \text{ or } Q^2 > m^2,$$

$$2P \cdot g = 2m g^0 = \frac{Q^2}{x_B} \Rightarrow g^0 = \frac{Q}{2mx_B} Q \gg Q,$$

$$Q^2 = -g^2 = -((g^0)^2 - |g^3|^2) > 0 \Rightarrow |g^3| > g^0.$$

For  $g^4$ :  $g^0 \sim |g^3| \gg Q$ ,

$$g^- \sim \sqrt{2} g^0, \quad g^+ = \frac{-Q^2}{2g^-} \sim -\frac{Q^2}{2\sqrt{2} g^0} = -\frac{1}{\sqrt{2}} mx_B,$$

The typical range:  $x^- \sim \frac{1}{|g^+|} \sim \frac{\sqrt{2}}{x_B m} \gtrsim \frac{1}{\Lambda}$ .

$$x^+ \sim \frac{1}{g^-} \approx \frac{\sqrt{2} x_B m}{Q^2} \ll \frac{1}{\Lambda},$$

$\Lambda$ :  $\Lambda_{\text{QCD}}$ , or  $m$ , soft scale,

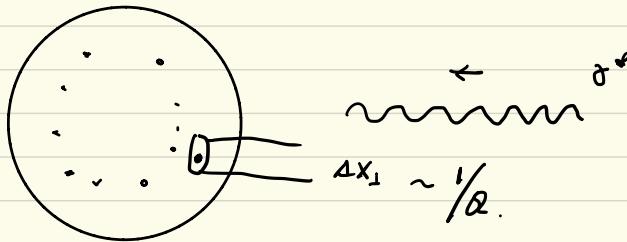
proton size  $R \sim \frac{1}{\Lambda}$ .  $x^- = \frac{1}{\sqrt{2}} t_{\text{Ioffe}}$

Transverse range:

$$\begin{aligned} x^2 > 0 \\ \text{causality} \end{aligned} \Rightarrow x_\perp^2 < 2x^+x^- \sim \frac{4}{Q^2} \ll \frac{1}{\Lambda^2}.$$

Ioffe time.

3. 42



$\Delta x_1$  small, dominant contribution  
is from the case of finding one-parton,

The probability to find two partons  
is power suppressed by  $1/\alpha$ , part of high-twist  
effect.

Interaction time: partons inside a hadron in rest,  
the interaction time between partons: (soft).

$$\delta p \sim \frac{1}{\lambda} \sim R.$$

The time range between two interaction points:

$$\delta_{DIS} \sim \frac{1}{\vec{s}^0} = \frac{2 x_B m}{Q^2} \ll \frac{1}{\lambda}$$

$\Rightarrow$  The parton interacts with a free parton.  
Physical reason why the factorization can be done.

3. 43

An interesting observation:

$$x^- \sim \frac{1}{|g^+|} \sim \frac{\sqrt{2}}{x_B m} \gtrsim \frac{1}{\lambda} \sim R$$

If  $x_B$  is small enough,  $x^- > R$  or  $x^- \gg R$ .

At least, one interaction point is not located inside the hadron. How can the interaction happens outside the hadron?

Hot topic !!

Small- $x$  physics

Section end.

## 4.1.

### 4. QCD Factorization in $e^+ e^- \rightarrow h + X$

$$e^+ e^- \rightarrow \gamma^*(\gamma) \rightarrow h(P) + X$$

$$g^2 > 0, \quad g^2 \gg \Lambda^2, \quad \Lambda \sim \Lambda_{\text{QCD}}$$

Similarly to DIS, we define the hadronic tensor:

$$W^{\mu\nu} = \int d^4x e^{i\gamma^* x} \sum_x \langle 0 | J^\mu(x) | h, x \rangle \\ \langle h, x | J^\nu(0) | 0 \rangle,$$

Taking a frame:

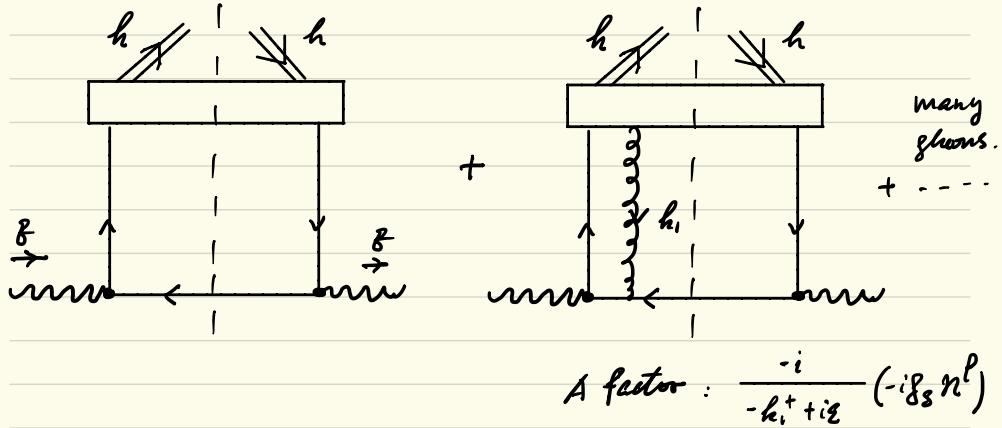
$$P^\mu \approx (P^+, 0, 0, 0), \quad \gamma^\mu = (\gamma^+, \gamma^-, 0, 0)$$

The analysis is very similar to that of DIS, with the difference of jet functions or "black box".

The same power counting

At leading order:

4.2



The sum of exchanges of gluons can be done with gauge links  $V(x, \infty)$ . This leads to the definition of parton Fragmentation Functions (FF's).

Quark FF:

$$D_{h/g}(z) = \frac{z}{4\pi} \int d\lambda e^{-i\lambda k^+} \frac{1}{2N_c} \sum_x \text{Tr} \left( \delta^+$$

$$\langle 0 | V^+(0, \infty) g(o) | h(p), x \rangle$$

$$\langle x, h(p) | \bar{g}(\lambda n) V(\lambda n, \infty) | o \rangle \Big),$$

$$k^+ = \frac{p^+}{z},$$

4.3.

it describes that a quark with the momentum  $k^+$  fragments into a hadron with the momentum  $z k^+ = p^+$ .

$|z| \leq 1$ ,  $z > 0$  for quark FF,

$z < 0$  for antiquark FF.

The gluon FF:

$$D_{\text{FF}}(z) = -\frac{z}{4\pi (N_c^2 - 1) k^+} \int d\lambda e^{-i\lambda k^+}$$
$$\langle 0 | G^{+u}(0) \tilde{V}^+(0, \infty) | h(p), x \rangle$$
$$\langle x, h(p) | \tilde{V}(\lambda u, \infty) G^+_u(\lambda u) | 0 \rangle.$$

The FF' also depend on  $\mu$ . Their evolutions are in the form of DGLAP. The leading order of evolutions are the same as those of PDF.

The differences appear at two-loop.

4.4.

Statement of QCD factorization:

$$\frac{d\sigma(e^+e^- \rightarrow h+x)}{dz} = \sum_a \int \frac{d\zeta}{\zeta} H_a(\frac{z}{\zeta}, Q^2, \mu^2) D_{h/a}(\zeta, \mu^2) \cdot \left\{ 1 + O(\frac{\Lambda^2}{Q^2}) \right\}$$

$$\approx \sum_g \sigma(e^+e^- \rightarrow g\bar{g}) \left( D_{h/g}(z) + D_{h/\bar{g}}(z) \right)$$

2. Energy fraction.  $\cdot \left\{ 1 + O(\alpha_s) + O(\frac{\Lambda^2}{Q^2}) \right\}$ .

$$z = \frac{2\sqrt{s}}{Q}, \quad Q^2 = z^2$$

$H_a$ : perturbative coefficient functions, finite !!

Universality of FF's ?

PT-symmetry does not apply,

$$PT |h,x\rangle_{out} = |\overbrace{h,x}^{e^{i\alpha}}\rangle_{in} \neq |\overbrace{h,x}\rangle_{out}$$



5.1.

## 5. TMD Factorization for SIDIS

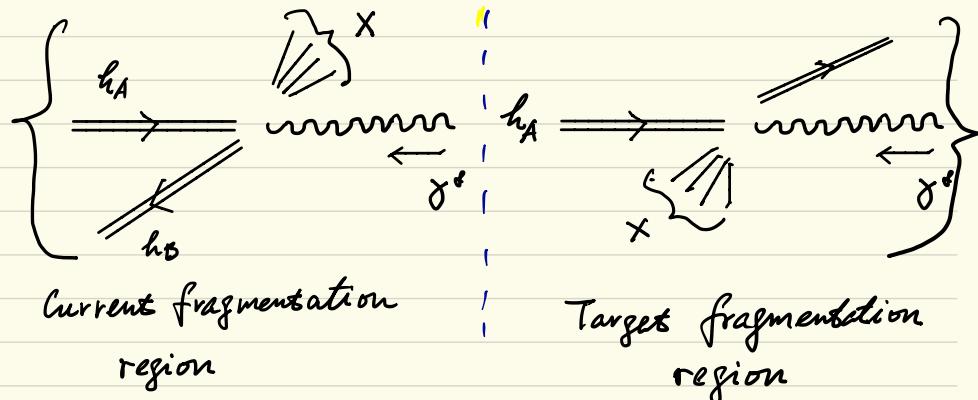
Semi-inclusive DIS (SIDIS) :

$$e(k) + h_A(p) \rightarrow e(k') + h_B(p_h) + X,$$

Kinematics : One-photon-exchange

$$\vec{q} = \vec{k} - \vec{k}', \quad q^2 \rightarrow -\infty$$

$$\delta^*(q) + h_A(p) \rightarrow h_B(p_h) + X$$



$$P^\mu \approx (P_A^+, 0, 0, 0),$$

"Fracture functions"

$$q^\mu = (q^+, q^-, 0, 0), \quad q^+ < 0.$$

We don't consider  
this region.

$$p_h^\mu \approx \left( \frac{p_{h\perp}^2}{2p_h^-}, p_h^-, p_{h\perp}^1, p_{h\perp}^2 \right).$$

5. 2.

$$\lambda \sim \lambda_{\text{cav}}, m_h \dots$$

Three kinematical regions.

soft scale.

a.  $P_{ht}^2 \sim Q^2 \gg 1^2$ , collinear factorization

$$d\sigma \sim H \otimes f \otimes D \left\{ 1 + O\left(\frac{1^2}{Q^2}\right) \right\}$$

PDF                    FF

H: perturbative coefficient function, finite.

b.  $Q^2 > P_{h^\pm}^2 > \Lambda^2$ , collinear factorization, still.

But: large log's  $\ln \frac{p_{h_1}^2}{Q^2}$  in H,  
 resummation is needed.

c.  $\Omega^2 > p_{\perp}^2 \sim \Lambda^2$ , no collinear factorization.

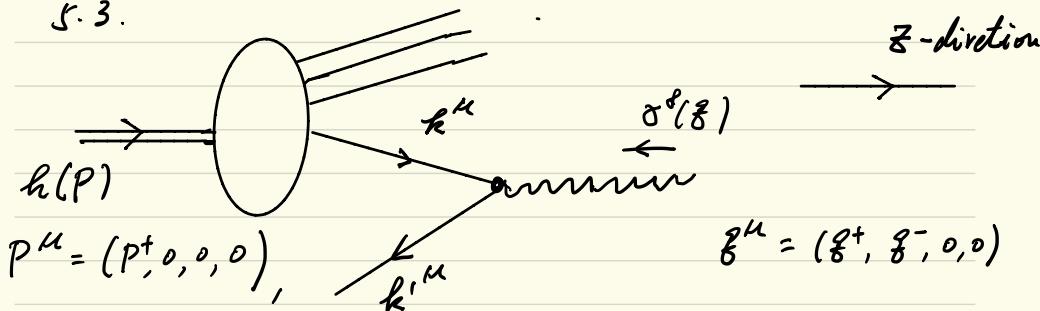
small  $P_T$ : sensitive to transverse momenta  
of partons, neglected in collinear  
factorizat.

## Transverse-Momentum-Dependent factorization

TMD

for c. and b.

5.3.



$$q^\mu = (q^+, q^-, 0, 0)$$

The parton inside  $h$  has the momentum

$$k^\mu = (k^+, k^-, \vec{k}_\perp) \sim (1, 1^2, 1, 1),$$

The outgoing parton has also the transverse momentum  $\vec{k}'_\perp = \vec{k}_\perp$

If we don't observe  $\vec{k}'_\perp$ , i.e.,  $\vec{k}'_\perp$  is integrated, we can make the approximation by setting  $\vec{k}_\perp = 0$ . This brings an error at the order  $k_\perp^2/Q^2 \sim 1^2/Q^2 \ll 1$ . like DIS.

If we do observe  $\vec{k}'_\perp$ , it implies that we detect  $\vec{k}_\perp$  of the parton inside the hadron.  
 $\Rightarrow$  Here we can not set  $\vec{k}_\perp = 0$ . !!

5.3

Difficulty for defining TMD PDF:

$$h(p), \quad p^\mu \approx (p^+, 0, 0, 0)$$

We re-write the collinear PDF

$$f_{\vec{g}/p}(x) = \int \frac{d\lambda}{4\pi} e^{-i\lambda k^+} \langle h | \bar{g}(xu) V^+(\lambda u, \infty) \delta^+ V(0, \infty) g(0) | h \rangle$$

$$k^+ = x p^+ = \int d^2 k_\perp \underbrace{\int \frac{d^3 \vec{g}}{2(2\pi)^3}}_{e^{-i\vec{g} \cdot \vec{k}}} e^{-i\vec{g} \cdot \vec{k}}$$

$$\langle h | \bar{g}(\vec{g}) V^+(\vec{g}, \infty) \delta^+ V(0, \infty) g(0) | h \rangle,$$

$$\vec{g}^\mu = (0, \vec{g}^-, \vec{g}_\perp), \quad \vec{g}^- = \lambda, \quad \vec{k}^\mu = (k^+, 0, \vec{k}_\perp),$$

Define TMD PDF

$$f_{\vec{g}/p}(x, \vec{k}_\perp) = \int \frac{d^3 \vec{g}}{2(2\pi)^3} e^{-i\vec{g} \cdot \vec{k}}$$

$$\langle h | \bar{g}(\vec{g}) V^+(\vec{g}, \infty) \delta^+ V(0, \infty) g(0) | h \rangle$$

?

But: The definition is inconsistent !!

5.4

the gauge link:

$$V(\vec{g}, \infty) = P \exp \left\{ -i g_s \int_0^\infty d\lambda G^+(\lambda n + \vec{g}) \right\}$$

under gauge transformation:

$$V^+(\vec{g}, \infty) V(0, \infty) \Rightarrow$$

$$U(\vec{g}) V^+(\vec{g}, \infty) U^+(\vec{g}_\perp, g^- = \infty) U(0, g^- = \infty) V(0, \infty) U^+(0)$$

                 ≠ 1 !!

⇒ No gauge invariance !!

In fact, in non singular gauge, like Feynman gauge,  $U(\vec{g}_\perp, g^- = \infty) = 1$ .

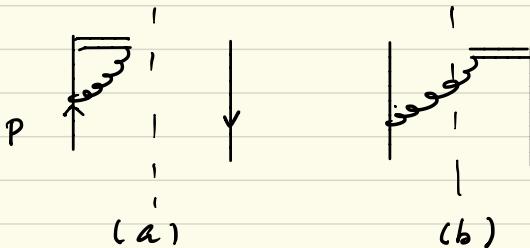
In other gauge, transverse gauge links at  $g^- = \infty$  need to be added.

Singular gauge:  $n \cdot G = 0$ .

۵۵

Light-cone singularity:

If we calculate  $f_{g/g}(x, \vec{k}_\perp)$  at one-loop,



$$\left| \frac{f_{g/\bar{g}}(x, \vec{k}_\perp)}{g} \right| \sim g^{(1-\alpha)} g^2(\vec{k}_\perp) \int_0^1 \frac{dy}{1-y},$$

$\star^0 \quad \nearrow \text{divergent}$

$$\left. f_{g/g}(x, \vec{h}_\perp) \right|_b \sim (x, \vec{h}_\perp) \frac{1}{1-x}, \quad x \rightarrow 1$$

## Collinear PDF

$$\int d^2k_\perp \left[ f_{g/g} \Big|_a + f'_{g/g} \Big|_b \right] = "finite"$$

The divergence : Light-cone singularity.  
related to gauge links.

5.6.

$$\overbrace{k^+}^{\infty} \Rightarrow \frac{1}{n \cdot k + i\varepsilon} = \frac{1}{k^+ + i\varepsilon} \Rightarrow \frac{1}{1-x}$$

$k^+ \rightarrow 0$ , divergent.

One possible way to regularize the divergence:

Gauge link off light-cone:

$u^\mu = (u^+, u^-, 0, 0)$  instead of  $n^\mu = (0, 1, 0, 0)$

Def:

$$V_u(\vec{g}, \infty) = P \exp \left\{ -i \oint_S \int_0^\infty d\lambda u \cdot G(\lambda u + \vec{g}) \right\},$$

$$f(x, k_\perp) = \int \frac{\alpha^3 \vec{g}}{2(2\pi)^3} e^{-i \vec{g} \cdot k}$$

$$\langle h | \bar{g}(i\vec{g}) V_u^+(\vec{g}, \infty) g^+ V_u(0, \infty) f(0) | h \rangle,$$

$u^+ \rightarrow 0$ , but finite.

$$f \text{ depends on } u, \quad \vec{g}_u^2 = \frac{4(u \cdot p)^2}{u^2} \approx \frac{2u^-}{u^+} (p^+)^2$$

f depends on the energy of the hadron !!

5.7.

## Evolution of TMD PDF:

$$\mu \frac{\partial}{\partial \mu} f(x, k_\perp, \mu, \xi_u) = 2 \gamma_F f(x, k_\perp, \mu, \xi_u)$$

$\gamma_F$ : Anomalous dimension of the quark field in the axial gauge  $u \cdot G = 0$ .

$$\gamma_F = \frac{3\alpha_s}{4\pi} C_F + O(\alpha_s^2)$$

It is much more simple than DGLAP.

The reason:

$\int d^2 k_\perp f(x, k_\perp)$  has more U.V. divergences, more U.V. subtraction.

⇒

$$\int d^2 k_\perp f(x, k_\perp) \neq f_{g/p}(x) !!$$

5.8:

The evolution of  $\xi_u$ : Collins-Soper Eq. !!

It takes simple form in  $b$ -space,  $b^\mu = (b^1, b^2)$ .

$$f(x, b, \mu, \xi_u) = \int d\vec{k}_\perp e^{i\vec{b} \cdot \vec{k}_\perp} f(x, k_\perp, \mu, \xi_u)$$

$$\xi_u \frac{\partial}{\partial \xi_u} f(x, b, \mu, \xi_u) = \left( K(\mu, b) + G(\mu, \xi_u) \right) f(x, b, \mu, \xi_u)$$

$$K(\mu, b) + G(\mu, \xi_u) = - \frac{\omega_s}{\pi} C_F \ln \frac{\xi_u^2 b^2 e^{2\xi_u - 1}}{4},$$

$$\mu \frac{\partial}{\partial \mu} K = - \delta_K = - \mu \frac{\partial}{\partial \mu} G,$$

$\delta_K$ : cusp anomalous dimension

$$\delta_K = \frac{\omega_s}{\pi} 2 C_F$$

CS equation  $\Rightarrow$  CSS resummation

Very important !

5. 9.

Similarly, we can define TMD FF's.

$$h(P_h), \quad P_h^\mu \approx (0, \vec{P}_h, 0, 0),$$

Def:  $V_V(\vec{\xi}, -\infty) = P \exp \left\{ -i \vec{\xi} \cdot \int_{-\infty}^0 d\lambda V \cdot G(\lambda V + \vec{\xi}) \right\},$

$$V^\mu = (V^+, V^-, 0, 0), \quad V^+ \gg V^-.$$

(Kinematics).

TMD FF:

$$\hat{g}(z, k_\perp) = \frac{1}{2\pi} \int \frac{d^3 \hat{\xi}}{(2\pi)^3} e^{-i \hat{\xi} \cdot \vec{k}} \frac{1}{N_C} \sum_x T r$$

$$\langle 0 | \delta^+ V_V^+(0) \delta(0) | h x \rangle \langle h x | \hat{g}(\vec{\xi}) V_V(\vec{\xi}) | 0 \rangle,$$

here:  $\hat{g}^\mu = (\xi^+, 0, \vec{\xi}_\perp), \quad k^\mu = (0, \frac{1}{z} \vec{P}_h, -\frac{1}{z} \vec{P}_{h\perp}),$

$\hat{g}$  depends on  $\mu$ ,  $\xi_V^2 = \frac{4(V \cdot \vec{P}_h)^2}{V^2}$  ↑  
expand..

5. 10

Factorization: Breit frame

$$h_A(P) + \delta^*(g) \rightarrow h_B(P_h) + X$$

$$g^2 = -Q^2 \rightarrow -\infty, \quad P_{h\perp}^2/Q^2 \ll 1$$

Def:

$$X = \frac{-g^2}{2P \cdot g} = \frac{Q^2}{2P \cdot g} = -\frac{g^+}{P^+}, \quad X_B.$$

$$\bar{g} = \frac{P \cdot P_h}{P \cdot g} = \frac{P_h^-}{g^-}, \quad P_{h\perp}^\mu = g_{\perp}^{\mu\nu} P_{h\nu}$$

The hadronic tensor:

$$W^{\mu\nu}(P, g, P_h) = \frac{1}{g} \int \frac{d^4 k}{(2\pi)^4} e^{ik \cdot x} \sum_X$$

$$\langle h_A | J^\mu(x) | h_B X \rangle \langle X h_B | J^\nu(o) | h_A \rangle$$

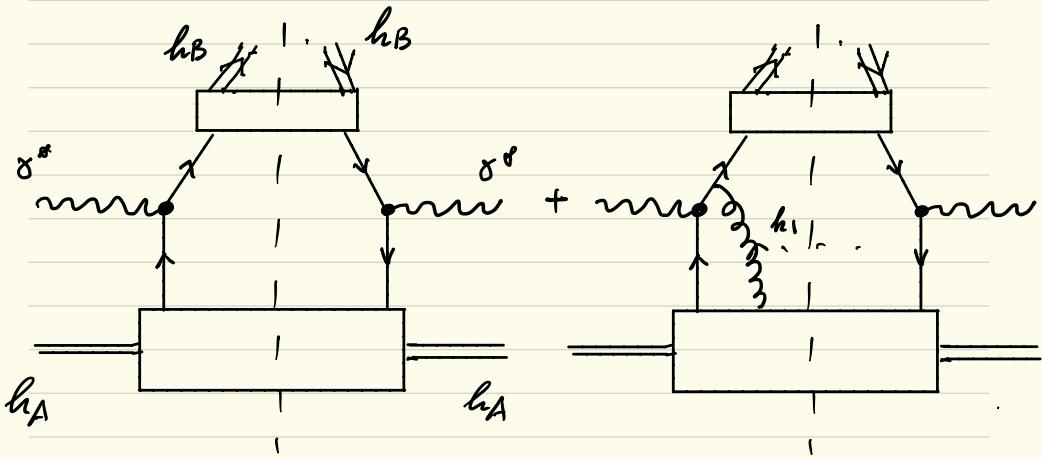
$$= -\frac{1}{2} g_{\perp}^{\mu\nu} F(x, g, P_{h\perp}, Q)$$

+ "Power suppressed"

$g_{\perp}^{\mu\nu} = g^{\mu\nu} - n^\mu n^\nu - n^\nu n^\mu$ , can be defined covariantly.

5.11.

Factorization at tree-level:



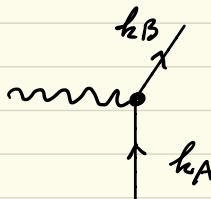
A factor:

$$\frac{i}{-k_i^+ - i\epsilon} (-i g_s n^\mu T^a)$$

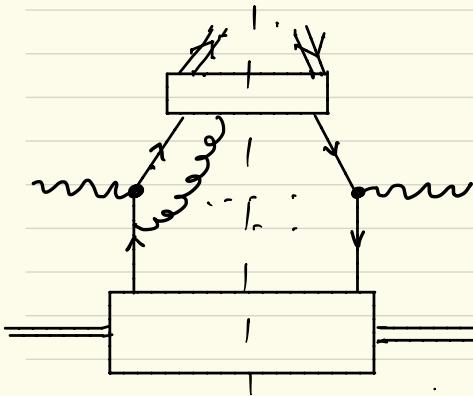
Summing all  $G^+$  gluon  
from the bottom

$\Rightarrow$  gauge link  $V_\mu$ ,

replace  $k_i^+ \rightarrow u \cdot k$  !!



5.12.

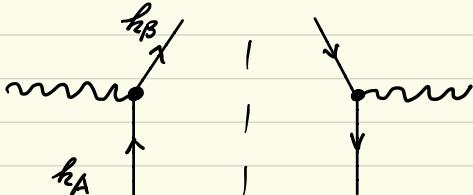


summed  
with the gauge  
link  $V_\nu$

Important : to calculate the middle part

In the middle  
part:

$$h_A^\mu = (h_A^+, 0, 0, 0)$$



$$h_B^\mu = (0, h_B^-, 0, 0)$$

on-shell amplitudes

$\Rightarrow$  gauge invariant

$h_{A\perp}$ ,  $h_{B\perp}$  only included in the momentum  
conservation .

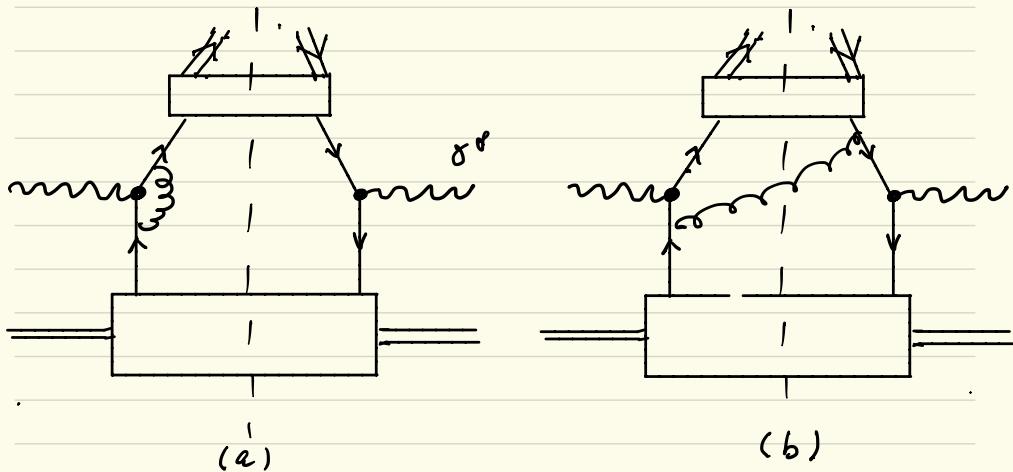
5.13.

⇒ Tree-level factorization:

$$F(x, \vec{z}, p_{A\perp}, Q) = \int d^2 k_{A\perp} d^2 k_{B\perp} \delta^2(\vec{z} \vec{k}_{B\perp} + \vec{k}_{A\perp} - \vec{p}_{A\perp}) f(x, k_{A\perp}) \hat{g}(\vec{z}, k_{B\perp})$$

But this is not correct beyond tree-level.

At one-loop:



Because the sum of final states is incomplete,  
KLN theorem does not apply !!

5.14.

Following the analysis of DIS, one finds:

\* The gluon collinear to  $h_A$  or  $h_B$ ,

with the momentum  $k^\mu \sim (1, \lambda^2, \lambda, \lambda)$

is factorized into TMD PDF of  $h_A$ .

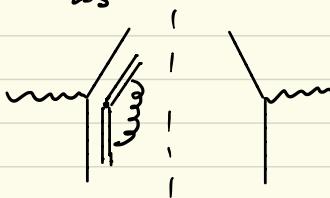
\* The gluon collinear to  $h_B$  or  $h_A$ ,

with the momentum  $k^\mu \sim (\lambda^2, 1, \lambda, \lambda)$

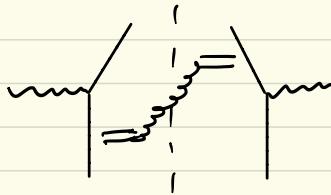
is factorized into TMD FF of  $h_B$ .

The contribution from the soft gluon  
with  $k^\mu \sim (\lambda, \lambda, \lambda, \lambda)$  is still there and  
divergent, because no KLN.

The soft gluon Fig. (a) and (b) can be factorized  
as



(a)



(b)

5.15

There are also extra soft-gluon-contributions  
in TMD PDF and TMD FF.

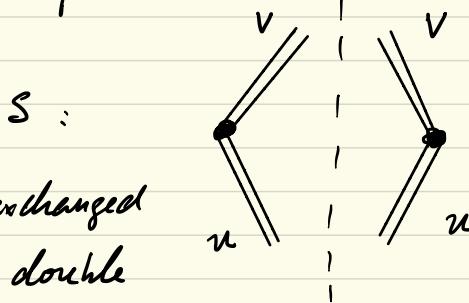
$$\rho^2 = \frac{4(u \cdot v)^2}{u^2 v^2}$$

The soft factor:

$$S(\vec{k}_\perp, \mu, \rho) = \frac{1}{N_c} \text{Tr} \langle 0 | V_V^+(\vec{k}_\perp, -\infty) V_u^+(\infty, \vec{k}_\perp)$$

$$V_u(0, \infty) V_V(0, -\infty) | 0 \rangle,$$

Diagram representation:



Def:

$$\tilde{S}(\vec{k}_\perp, \mu, \rho) = \int \frac{\alpha^2 \vec{k}_\perp}{(2\pi)^2} e^{i \vec{k}_\perp \cdot \vec{h}_\perp} [S(\vec{k}_\perp, \mu, \rho)]^{-1}$$

(-1) !!

5.16.

The correct factorization beyond tree-level:

$$F(x, z, \vec{p}_{h\perp}, Q) = H(Q, \mu, \xi_u, \xi_v) \cdot \int d^2 k_{A\perp} d^2 k_{B\perp} d^2 k_\perp \delta^2(z \vec{k}_{B\perp} + \vec{k}_{A\perp} + \vec{k}_\perp - \vec{p}_{h\perp}) f(x, k_{A\perp}, \mu, \xi_u) \hat{g}(z, k_{B\perp}, \mu, \xi_v) \tilde{s}(k_\perp, \mu, \rho)$$

H: Perturbative coefficient, finite.

$$H = 1 + O(\alpha_s)$$

In b-space:

$$F(x, z, \vec{b}, Q) = H(Q, \mu, \xi_u, \xi_v) S^{-1}(b, \mu, \rho) f(x, b, \mu, \xi_u) \hat{g}(z, b, \mu, \xi_v)$$

5.17.

## Collinear PDF's vs TMD PDF's

Collinear PDF's: longitudinal motion of partons,  
one-dimension.

TMD PDF's: Three-dimensional motion of partons,  
more about inner structure.

At leading twist, only 3 PDF's of spin- $\frac{1}{2}$

$$\int \frac{d\lambda}{2\pi} e^{-i\lambda P^+ x} \langle h(p) | \bar{g}(\lambda n) g(0) | h(p) \rangle$$

$$= \frac{1}{2N_c} \left[ \delta^- g(x) + r \gamma^- \lambda g_L(x) - i \partial^- \partial_\perp^\mu \tilde{S}_{\perp\mu} \delta_T(x) \right]$$

+ " - - "

$\lambda$ : helicity of  $h$

high twist

$\tilde{S}_\perp$ : transverse spin of  $h$ ,  $\tilde{S}_\perp^\mu = \epsilon_\perp^{\mu\nu} S_{\perp\nu}$ .

5.18

At leading power or twist-3, there are

8 TMD PDF's !! TMD FF's

"TMD Physics"

Strong experimental programs at J-Lab, COMPASS  
and Belle, even BES II

→ Collins effect



6.1

6.0000.

