

CP violation in charm

Hsiang-nan Li

Presented at JNU

Nov. 24, 2019

Will emphasize comparison with
Cheng-Chiang's approach

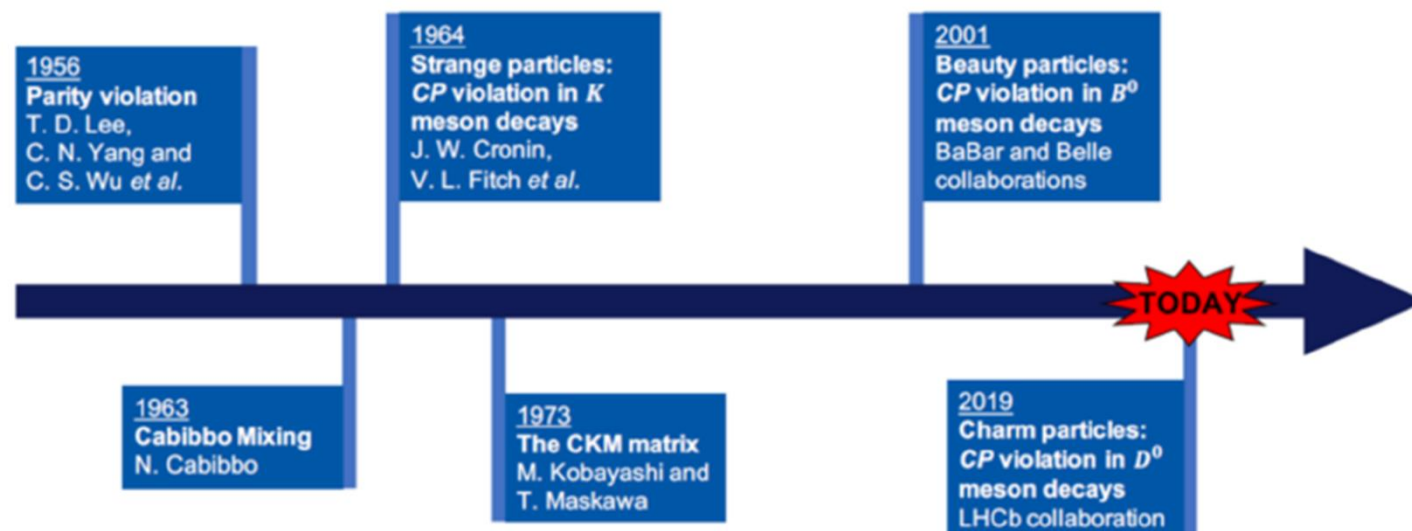
LHCb observes charm CPV

21 March 2019: Discovery of CP violation in charm particle decays.

An important milestone in the history of particle physics.

$$[\Delta A_{CP} = (-0.154 \pm 0.029)\%]$$

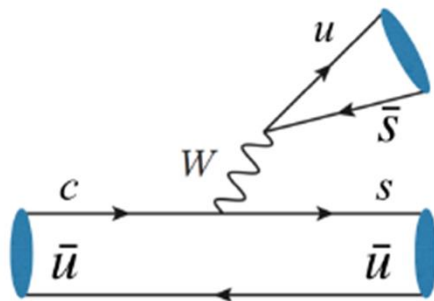
The LHCb collaboration has just presented at the Rencontres de [Moriond EW](#) and in a [special CERN Seminar](#) the first observation of CP violation in charm particle decays. Quarks can be split into two sectors: those with the same electrical charge as the up quark (up-type quarks, charge +2/3), and those with the same as the down quark (down-type quarks, charge -1/3). Differences in the properties of matter and antimatter, arising from the so-called phenomenon of CP violation, had been observed in the past using the decays of K and B mesons, i.e. of particles that contain strange or beauty quarks, which are both down-type quarks. By contrast, despite decades of experimental searches, CP violation in the decays of charmed particles, i.e. containing the charm quark, which is an up-type quark, escaped detection so far. The result announced today constitutes the first observation of CP violation in decays of a charmed particle.



Direct CPV in charm

singly Cabibbo suppressed: **SCS**

tree



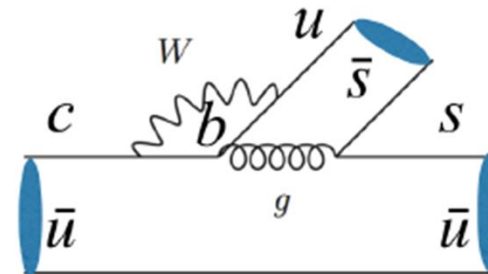
$$V_{cd}V_{ud}/V_{cs}V_{us}$$

λ

v.s.

need
strong
phase
between
them

penguin



$$V_{cb}V_{ub}$$

$$\lambda^5 + i\lambda^5$$

weak phase

$$\Delta A_{CP} \equiv A_{CP}(K^- K^+) - A_{CP}(\pi^- \pi^+) \sim 0.01\%$$

Data before 2012

- Large observed DCP $\sim 1\%$ signal new physics?

Experiment	$A_{CP}^{D^0 \rightarrow K^+ K^-} (\%)$	$A_{CP}^{D^0 \rightarrow \pi^+ \pi^-} (\%)$	$\Delta A_{CP} (\%)$
BaBar PRL 100, 061803 (2008)	$0.00 \pm 0.34 \pm 0.13$	$-0.24 \pm 0.52 \pm 0.22$	N.A.
LHCb PRL 108, 111602 (2012)	N.A.	N.A.	$-0.82 \pm 0.21 \pm 0.11$
CDF	$-0.24 \pm 0.22 \pm 0.09$ PRD 85, 012009 (2012) with 6.0 fb^{-1}	$+0.22 \pm 0.24 \pm 0.11$ PRD 85, 012009 (2012) with 6.0 fb^{-1}	$-0.62 \pm 0.21 \pm 0.10$ CHARM (2012) with 9.6 fb^{-1}
Belle preliminary (2012)	$-0.32 \pm 0.21 \pm 0.09$	$+0.55 \pm 0.36 \pm 0.09$	$-0.87 \pm 0.41 \pm 0.06$

- Stimulated lots of theoretical studies!

Range of SM predictions

- Order of magnitude estimate in terms of CKM and Wilson coefficients (Bigi et al): $10E-4$
- Topological amplitude with **penguin** $\sim W$ **exchange** (Cheng, Chiang); factorization-assisted topological amplitude (Li, Lu, Yu): $10E-3$
- Fit to LHCb data (Gronau et al.): $10E-2$
- Allowing penguin $>$ tree (Silvestrini et al.): wide

Grossman, Kagan, Nir, '07; Bigi, Paul, '11; Isidori, Kamenik, Ligeti, Perez, '11;
Brod, Grossmann, Kagan, Zupan, '11, '12; Feldmann, Nandi, Soni, '12;
Bhattacharya, Gronau, Rosner, '12; **Cheng, Chiang, '12; Li, Lu, **FSY**, '12;**
Franco, Mishima, Silvestrini, '12; Hiller, Jung, Schacht, '12.
Khodjamirian, Petrov, 17.

Theoretical difficulty

- Charm quark mass $m_C \sim 1.3$ GeV not big enough to have small expansion parameters
 $\alpha_s(m_c) \sim 0.3, \quad \Lambda_{QCD}/m_c \sim 0.3$
- Perturbation does not apply to D decays
- Soft gluon corrections could make 10-100 times difference (Tseng and Li, 98)
- m_C too heavy to apply chiral perturbation
- Charm decays sensitive to SU(3) symmetry breaking, difficult to estimate

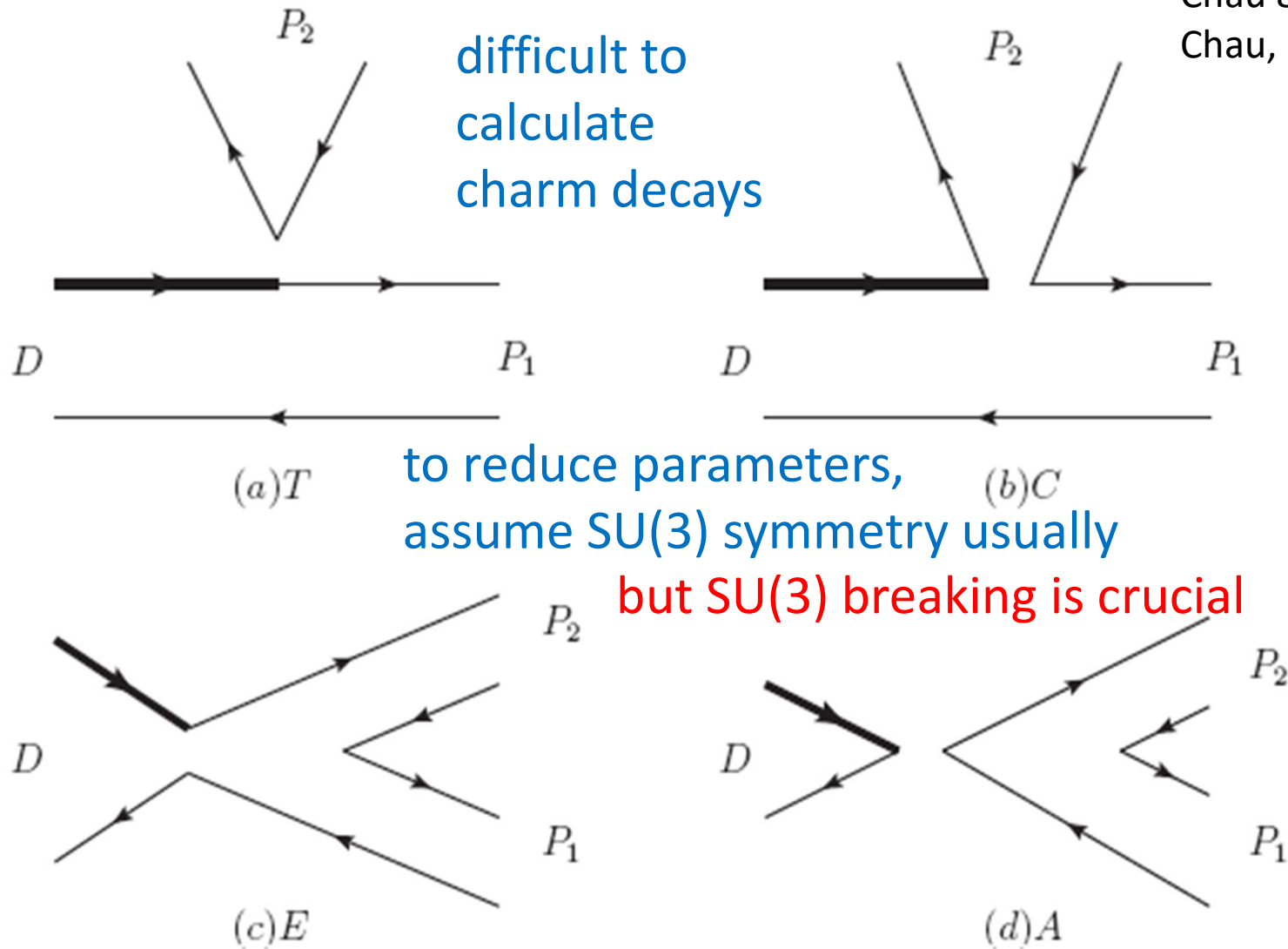
	<u>Br(exp)</u>
$D^0 \rightarrow \pi^+ \pi^-$	1.45 ± 0.05
$D^0 \rightarrow K^+ K^-$	4.07 ± 0.10

The most difficult part is how to
get **penguin** in charm decays

Topological diagrams

Chau 80

Chau, Cheng 86

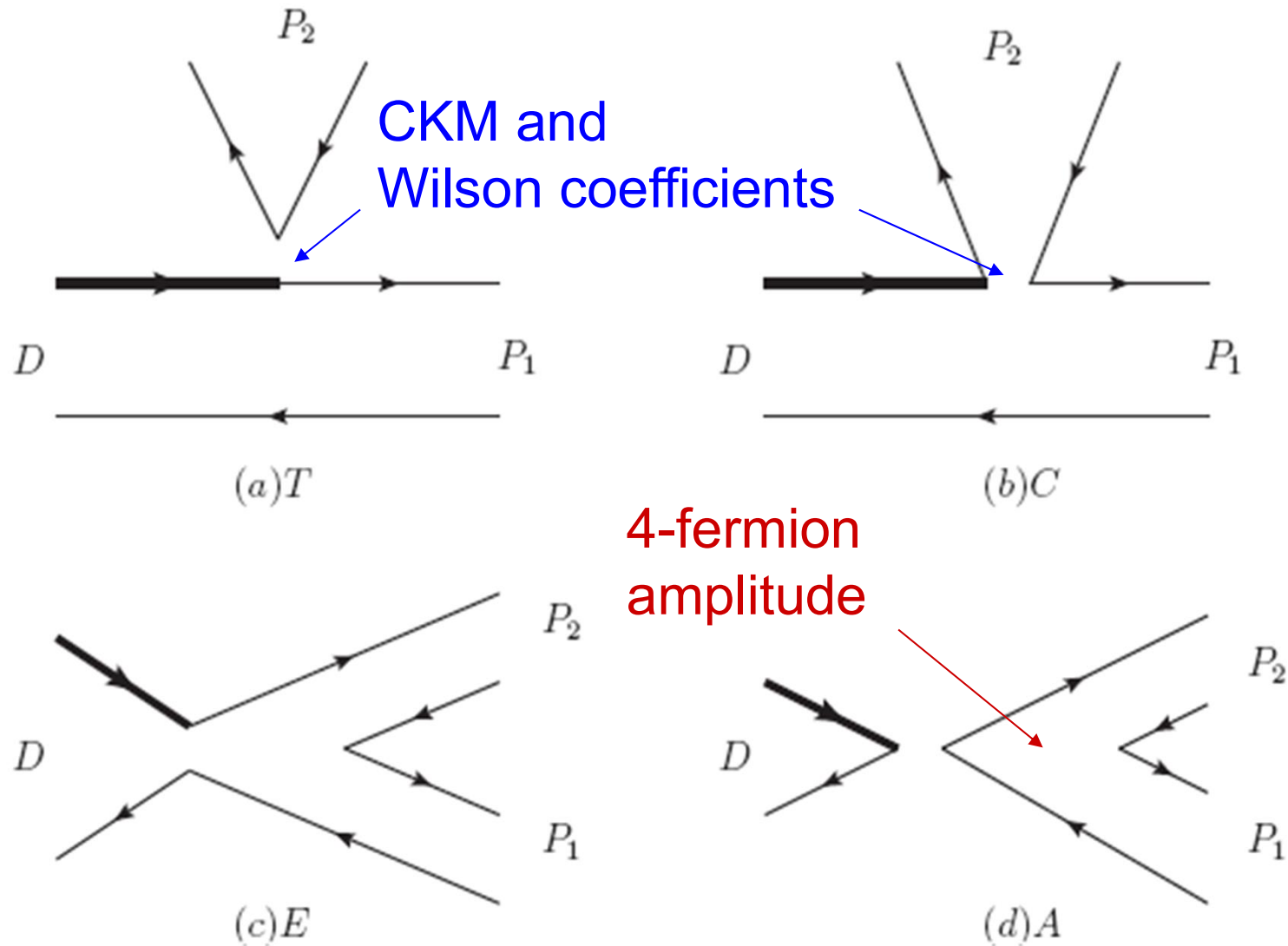


Factorization-assisted Topological amplitude

- Factorization of short- and long-distance dynamics into Wilson coefficients and matrix elements of 4-fermion operators
- SU(3) breaking included into matrix elements
- Use abundant data of branching ratios (dominated by trees) to fix parameters
- Penguin either related to tree via matrix elements, factorizable (constrained by other data), or helicity suppressed, if not related
- Predict CP asymmetries

Li, Lu, Yu 1203.3120

Tree topologies



Emission amplitudes

- Standard parameterization of color-favored and color-suppressed

$$\langle P_1 P_2 | \mathcal{H}_{eff} | D \rangle_{T,C}$$

$$= \frac{G_F}{\sqrt{2}} V_{CKM} a_{1,2}(\mu) f_{P_2} (m_D^2 - m_{P_1}^2) F_0^{DP_1}(m_{P_2}^2)$$

neglect nonfactorizable
contribution due to large
factorizable one

$$a_1(\mu) = C_2(\mu) + \frac{C_1(\mu)}{N_c},$$

$$a_2(\mu) = C_1(\mu) + C_2(\mu) \left[\frac{1}{N_c} + \chi_{nf} e^{i\phi} \right]$$

↑
nonfactorizable contribution

relative phase
between T and C

W-exchange & W-annihilation

- For E and A, factorizable contribution helicity suppressed

$$\langle P_1 P_2 | \mathcal{H}_{eff} | D \rangle_{E,A} = \frac{G_F}{\sqrt{2}} V_{CKM} b_{q,s}^{E,A}(\mu) f_D m_D^2 \left(\frac{f_{P_1} f_{P_2}}{f_\pi^2} \right)$$

for pi pi final state

differentiate quark pairs from vacuum

sources of SU(3) breaking

$$b_{q,s}^E(\mu) = C_2(\mu) \chi_{q,s}^E e^{i\phi_{q,s}^E}$$

$$b_{q,s}^A(\mu) = C_1(\mu) \chi_{q,s}^A e^{i\phi_{q,s}^A}$$

magnitudes, phases

Cabbibo-suppressed BRs

$\chi^2 = 7.3$
per d.o.f.
compared to 87

SU(3)

Modes	Br(FSI)	Br(diagram)	Br(pole)	Br(exp)	Br(this work)
$D^0 \rightarrow \pi^+ \pi^-$	1.59	2.24 ± 0.10	2.2 ± 0.5	1.45 ± 0.05	1.44
$D^0 \rightarrow K^+ K^-$	4.56	1.92 ± 0.08	3.0 ± 0.8	4.07 ± 0.10	4.19
$D^0 \rightarrow K^0 \bar{K}^0$	0.93	0	0.3 ± 0.1	0.320 ± 0.038	0.35
$D^0 \rightarrow \pi^0 \pi^0$	1.16	1.35 ± 0.05	0.8 ± 0.2	0.81 ± 0.05	0.55
$D^0 \rightarrow \pi^0 \eta$	0.58	0.75 ± 0.02	1.1 ± 0.3	0.68 ± 0.07	0.94
$D^0 \rightarrow \pi^0 \eta'$	1.7	0.74 ± 0.02	0.6 ± 0.2	0.91 ± 0.13	0.64
$D^0 \rightarrow \eta \eta$	1.0	1.44 ± 0.08	1.3 ± 0.4	1.67 ± 0.18	1.48
$D^0 \rightarrow \eta \eta'$	2.2	1.19 ± 0.07	1.1 ± 0.1	1.05 ± 0.26	1.52
$D^+ \rightarrow \pi^+ \pi^0$	1.7	0.88 ± 0.10	1.0 ± 0.5	1.18 ± 0.07	0.88
$D^+ \rightarrow K^+ \bar{K}^0$	8.6	5.46 ± 0.53	8.4 ± 1.6	6.12 ± 0.22	5.97
$D^+ \rightarrow \pi^+ \eta$	3.6	1.48 ± 0.26	1.6 ± 1.0	3.54 ± 0.21	3.37
$D^+ \rightarrow \pi^+ \eta'$	7.9	3.70 ± 0.37	5.5 ± 0.8	4.68 ± 0.29	4.54
$D_S^+ \rightarrow \pi^0 K^+$	1.6	0.86 ± 0.09	0.5 ± 0.2	0.62 ± 0.23	0.65
$D_S^+ \rightarrow \pi^+ K^0$	4.3	2.73 ± 0.26	2.8 ± 0.6	2.52 ± 0.27	2.21
$D_S^+ \rightarrow K^+ \eta$	2.7	0.78 ± 0.09	0.8 ± 0.5	1.76 ± 0.36	1.00
$D_S^+ \rightarrow K^+ \eta'$	5.2	1.07 ± 0.17	1.4 ± 0.4	1.8 ± 0.5	1.92

Penguin parameterization and direct CP asymmetries

Must explain BRs first

Otherwise, some important dynamics may be missed

Penguin operators

$$O_3 = \sum_{q'=u,d,s} (\bar{u}_\alpha c_\alpha)_{V-A} (\bar{q}'_\beta q'_\beta)_{V-A}$$

$$O_5 = \sum_{q'=u,d,s} (\bar{u}_\alpha c_\alpha)_{V-A} (\bar{q}'_\beta q'_\beta)_{V+A}$$

new operators

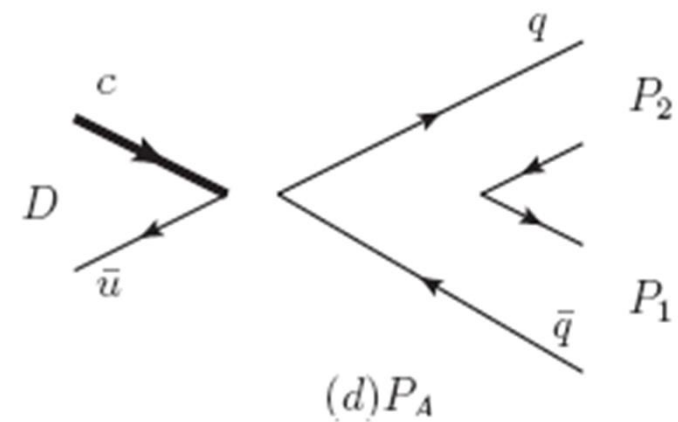
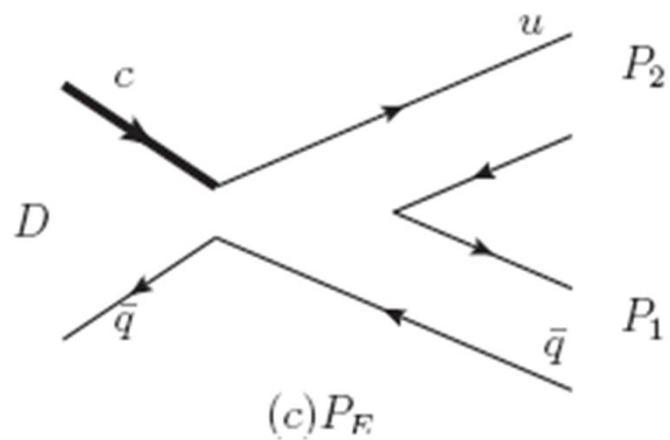
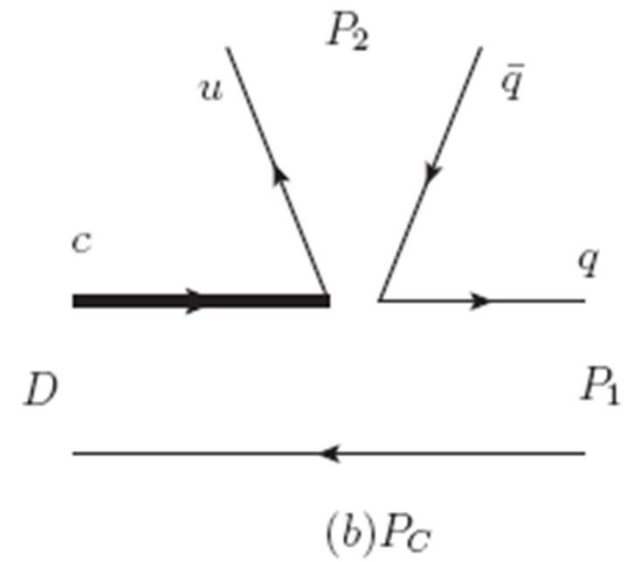
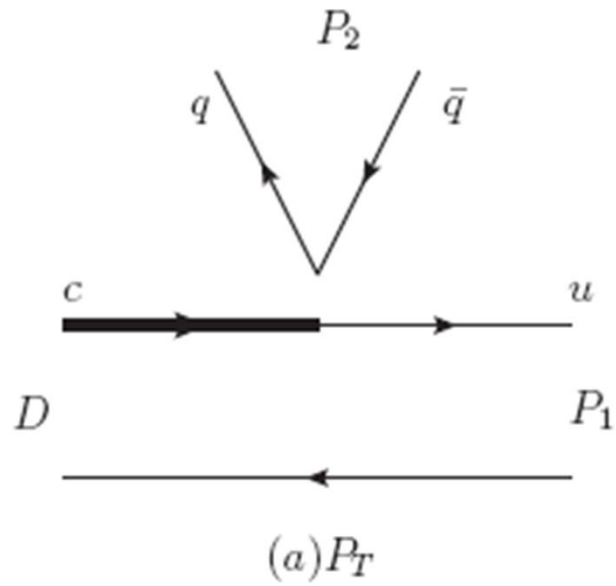
$$O_4 = \sum_{q'=u,d,s} (\bar{u}_\alpha c_\beta)_{V-A} (\bar{q}'_\beta q'_\alpha)_{V-A}$$

$$O_6 = \sum_{q'=u,d,s} (\bar{u}_\alpha c_\beta)_{V-A} (\bar{q}'_\beta q'_\alpha)_{V+A}$$

$$O_{8g} = \frac{g}{8\pi^2} m_c \bar{u} \sigma_{\mu\nu} (1 + \gamma_5) T^a G^{a\mu\nu} c$$

contribute to Wilson coefficients

Penguin topologies



Penguin color-favored emission

- Penguin color-favored emission is trivially related to T

$$\begin{aligned}P_T &= a_3(\mu) \langle P_2 | (\bar{q}q)_{V-A} | 0 \rangle \langle P_1 | (\bar{u}c)_{V-A} | D \rangle \\&\quad + a_5(\mu) \langle P_2 | (\bar{q}q)_{V+A} | 0 \rangle \langle P_1 | (\bar{u}c)_{V-A} | D \rangle \\&= [a_3(\mu) - a_5(\mu)] f_{P_2} (m_D^2 - m_{P_1}^2) F_0^{DP_1}(m_{P_2}^2)\end{aligned}$$

matrix element
same as T

$$a_3(\mu) = C_3(\mu) + \frac{C_4(\mu)}{N_c}$$

$$a_5(\mu) = C_5(\mu) + \frac{C_6(\mu)}{N_c}$$

Penguin annihilation

- O3,O5 negligible
- O4 and O6 contribute to penguin annihilation, and **helicity suppression applies**

$$\begin{aligned} & \langle P_1(q\bar{q}')P_2(q'\bar{q}) | (\bar{u}c)_{V-A}(\bar{q}'q')_{V+A} | D(c\bar{u}) \rangle \\ &= \langle P_1(q\bar{q}')P_2(q'\bar{q}) | (\bar{u}c)_{V-A}(\bar{q}'q')_{V-A} | D(c\bar{u}) \rangle \end{aligned}$$

- Only nonfactorizable contribution, and **related to W-annihilation trivially** **matrix element same as A**
- O4,O6 combined into

$$P_A = [C_4(\mu) + C_6(\mu)]\chi_{q,s}^A e^{i\phi_{q,s}^A} f_D m_D^2 \left(\frac{f_{P_1} f_{P_2}}{f_\pi^2} \right)$$

Penguin exchange

- O3, O5, O6 contribute to penguin exchange.
Helicity suppression applies to O3, but not to O5, O6 (relation between PE and E nontrivial)
- New factorizable contribution from O5,O6 exists
- Factorization hypothesis holds

$$\begin{aligned} & \langle P_1(q'\bar{q})P_2(u\bar{q}') | (\bar{u}c)_{V-A}(\bar{q}'q')_{V+A} | D(c\bar{q}) \rangle \\ &= -2 \langle P_1(q'\bar{q})P_2(u\bar{q}') | (\bar{u}q')_{S+P} | 0 \rangle \langle 0 | (\bar{q}'c)_{S-P} | D(c\bar{q}) \rangle \end{aligned}$$

Scalar penguin

- Other process help fix factorizable amplitude

- Assume dominance of scalar resonance

$$\langle P_1 P_2 | \bar{q}_1 q_2 | 0 \rangle = \langle P_1 P_2 | S \rangle \langle S | \bar{q}_1 q_2 | 0 \rangle = g_S B_S(q^2) m_S \bar{f}_S$$

$a_0(1450)$ for the $\pi\eta$, $\pi\eta'$, and $(KK)^\pm$ final states

$f_0(1370)$, $f_0(1500)$, and $f_0(1710)$

for the $\pi^0\pi^0$, $\pi^+\pi^-$, $K^0\bar{K}^0$, K^+K^- , and $\eta\eta^{(\prime)}$

$K_0^*(1430)$ for the $K\pi$ and $K\eta^{(\prime)}$ final states

- Formalism work for $\tau \rightarrow K\pi\nu_\tau$ decay rate

Parameterization of PE

- Combined with nonfactorizable contribution from O3

$$P_E = C_3(\mu) \chi_{q,s}^E e^{i\phi_{q,s}^E} f_D m_D^2 \left(\frac{f_{P_1} f_{P_2}}{f_\pi^2} \right) + 2 \left[C_6(\mu) + \frac{C_5(\mu)}{N_c} \right] g_S B_S(m_D^2) m_S \bar{f}_S f_D \frac{m_D^2}{m_c}$$

↑
Breit-Wigner of
scalar resonance

Predictions

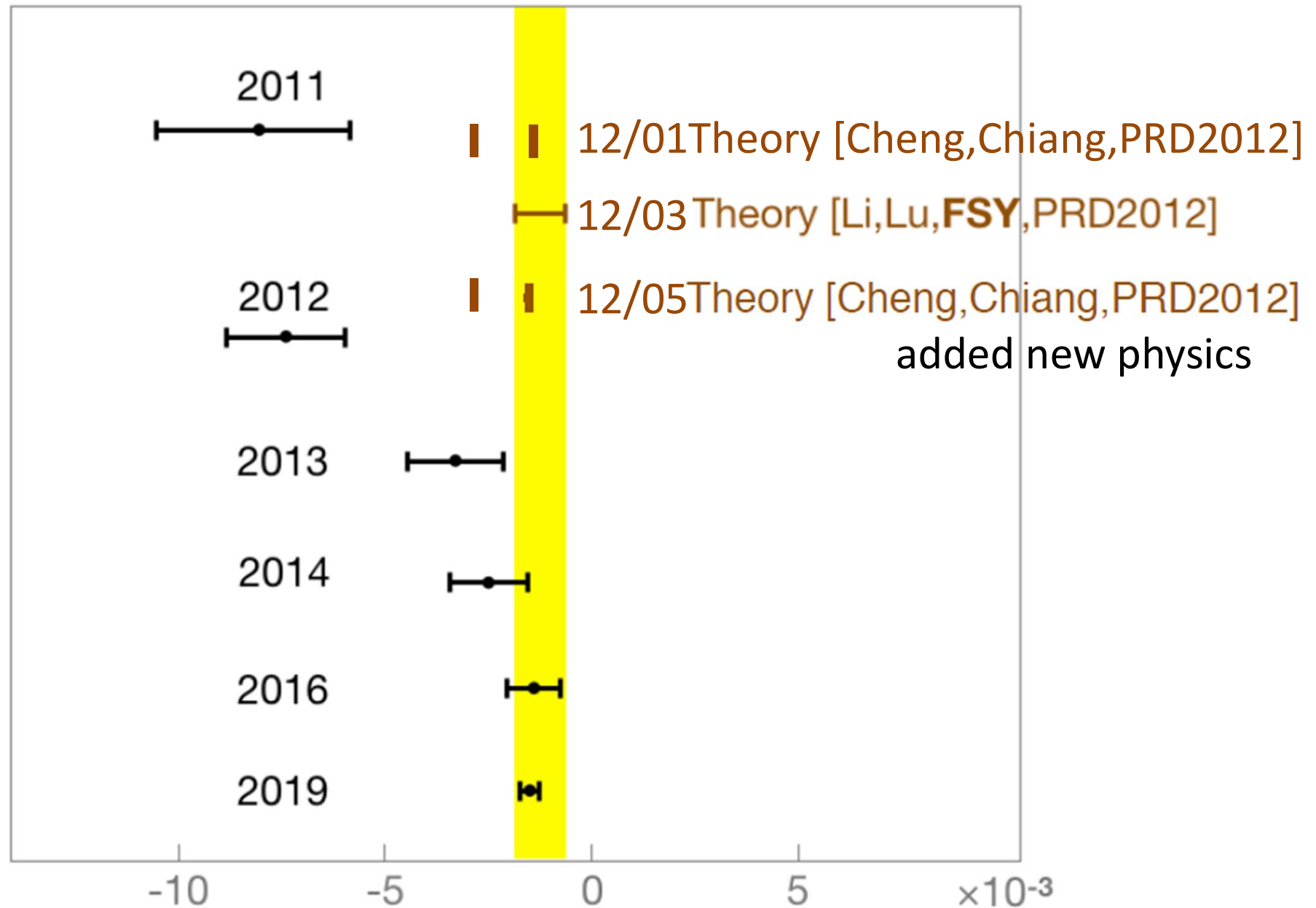
- formulate penguin contributions without introducing additional free parameters
- Penguin matrix elements are either related to tree matrix elements, or factorizable, helicity suppressed, if not related
- If factorizable, scalar resonances dominate and fixed by tau decay
- Unambiguous prediction: $\Delta A_{CP} = -1.00 \times 10^{-3}$
- next mode to measure A_{CP} : $D^+ \rightarrow K^+ K^- \pi^+$

Li, Lu, Yu 1903.10638

Direct CP asymmetries

Modes	$A_{CP}(FSI)$	$A_{CP}(\text{diagram})$	A_{CP}^{tree}	A_{CP}^{tot}	
$D^0 \rightarrow \pi^+ \pi^-$	0.02 ± 0.01	0.86	0	0.58	←
$D^0 \rightarrow K^+ K^-$	0.13 ± 0.8	-0.48	0	-0.42	←
$D^0 \rightarrow \pi^0 \pi^0$	-0.54 ± 0.31	0.85	0	0.05	
$D^0 \rightarrow K^0 \bar{K}^0$	-0.28 ± 0.16	0	1.11	1.38	
$D^0 \rightarrow \pi^0 \eta$	1.43 ± 0.83	-0.16	-0.33	-0.29	
$D^0 \rightarrow \pi^0 \eta'$	-0.98 ± 0.47	-0.01	0.53	1.53	
$D^0 \rightarrow \eta \eta$	0.50 ± 0.29	-0.71	0.29	0.18	
$D^0 \rightarrow \eta \eta'$	0.28 ± 0.16	0.25	-0.30	-0.94	
$D^+ \rightarrow \pi^+ \pi^0$		0	0	0	
$D^+ \rightarrow K^+ \bar{K}^0$	-0.51 ± 0.30	-0.38	-0.13	-0.93	
$D^+ \rightarrow \pi^+ \eta$		-0.65	-0.54	-0.26	
$D^+ \rightarrow \pi^+ \eta'$		0.41	0.38	1.18	
$D_S^+ \rightarrow \pi^0 K^+$		0.88	0.32	0.39	
$D_S^+ \rightarrow \pi^+ K^0$		0.52	0.13	0.84	
$D_S^+ \rightarrow K^+ \eta$		-0.19	0.80	0.70	
$D_S^+ \rightarrow K^+ \eta'$		-0.41	-0.45	-1.60	

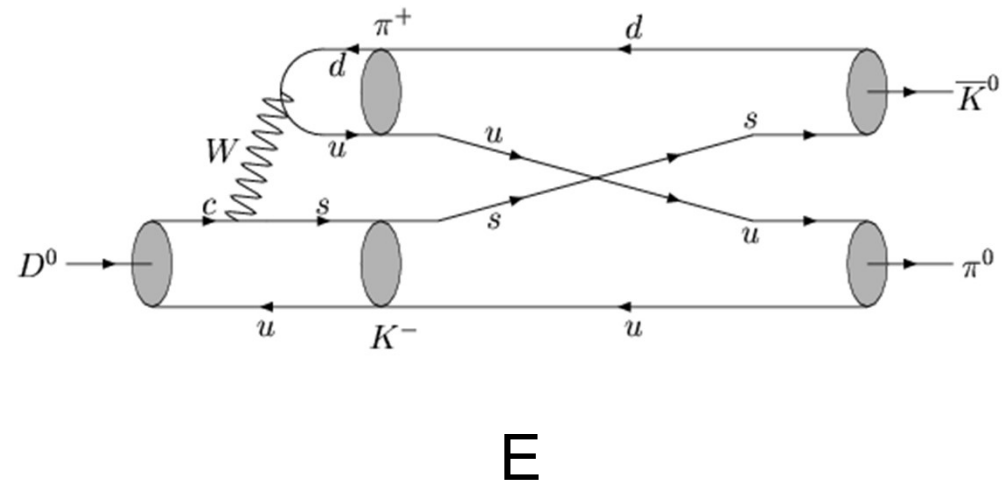
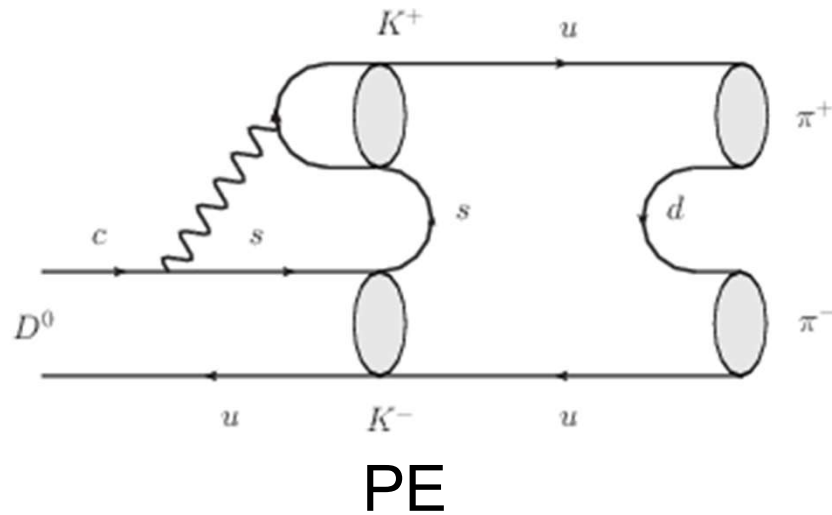
Exp Averages



Cheng-Chiang's approach

- Adopt topological amplitudes, so tree and penguin are **independent**
- Use Cabibbo allowed BRs to determine topological amplitudes T, C, **E**, A
- Use semileptonic data to determine **T(KK)**, **T(pipi)** via relevant form factors
- Use singly Cabibbo suppressed BRs of K^+K^- , $\pi^+\pi^-$, $\pi^0\pi^0$ and $K^0\bar{K}^0$ to determine **Ed**, **Es**
- **Assume major penguin PE=E, get CPV of SCS D decays from T(KK), T(pipi), Ed, Es, PE**

Large LD contribution to PE can arise from $D^0 \rightarrow K^+ K^-$ followed by a resonant like FSI



My comment: **this is a strong assumption**

PE from $T(KK)$, E from T , they differ;
 PE not from quark exchange, but E is;
 involve different resonances;
 difficult to estimate theoretical uncertainty;

**tiny error
from E**

$$\Delta A_{CP} = \begin{array}{l} \downarrow \\ -(0.139 \pm 0.004)\% \\ -(0.151 \pm 0.004)\% \\ 1205.0589 \end{array}$$

Long-distance penguin

1909.03063

- Set $PE=E$, and vary the central values by
20% for magnitude and 30% for phase

small SD from QCDF

$$\left(\frac{P_s + PE_s^{\text{LD}}}{T + E + \Delta P} \right)_{\pi\pi} = 0.77 e^{i114^\circ}, \quad \left(\frac{P_d + PE_d^{\text{LD}}}{T + E - \Delta P} \right)_{KK} = \begin{cases} 0.45 e^{i137^\circ} \\ 0.45 e^{i120^\circ} \end{cases}$$

$$a_{CP}^{\text{dir}}(\pi^+\pi^-) = (0.80 \pm 0.22) \times 10^{-3},$$

$$a_{CP}^{\text{dir}}(K^+K^-) = \begin{cases} (-0.33 \pm 0.14) \times 10^{-3} & \text{Solution I,} \\ (-0.44 \pm 0.12) \times 10^{-3} & \text{Solution II} \end{cases}$$

$$\Delta a_{CP}^{\text{dir}} = \begin{cases} (-1.14 \pm 0.26) \times 10^{-3} & \text{Solution I,} \\ (-1.25 \pm 0.25) \times 10^{-3} & \text{Solution II} \end{cases}$$

closer to LLY

Detailed comparison

CC: For Cabibbo-allowed $D \rightarrow PP$ decays (in units of 10^{-6} GeV)

$$\mathbf{T} = 3.113 \pm 0.011 \quad (\text{taken to be real})$$

$$\text{CC: } 1909.03063$$

$$\mathbf{C} = (2.767 \pm 0.029) \exp[i(-151.3 \pm 0.3)^\circ]$$

$$|T_{KK}/T| = 1.269$$

$$\mathbf{E} = (1.48 \pm 0.04) \exp[i(120.9 \pm 0.4)^\circ]$$

$$|T_{\pi\pi}/T| = 0.964$$

$$\mathbf{A} = (0.55 \pm 0.03) \exp[i(23^{+7}_{-10})^\circ]$$

$$\text{I: } E_d = 1.10 e^{i15.1^\circ} E, \quad E_s = 0.62 e^{-i19.7^\circ} E$$

$$\text{II: } E_d = 1.10 e^{i15.1^\circ} E, \quad E_s = 1.42 e^{-i13.5^\circ} E$$

$$(PE)^{\text{LD}} \approx (1.48 \pm 0.30) e^{i(120.9 \pm 30.0)^\circ}$$

$$\text{LLY: } T^{\pi\pi} = 2.73, \quad E^{\pi\pi} = 0.82 e^{-i142^\circ},$$

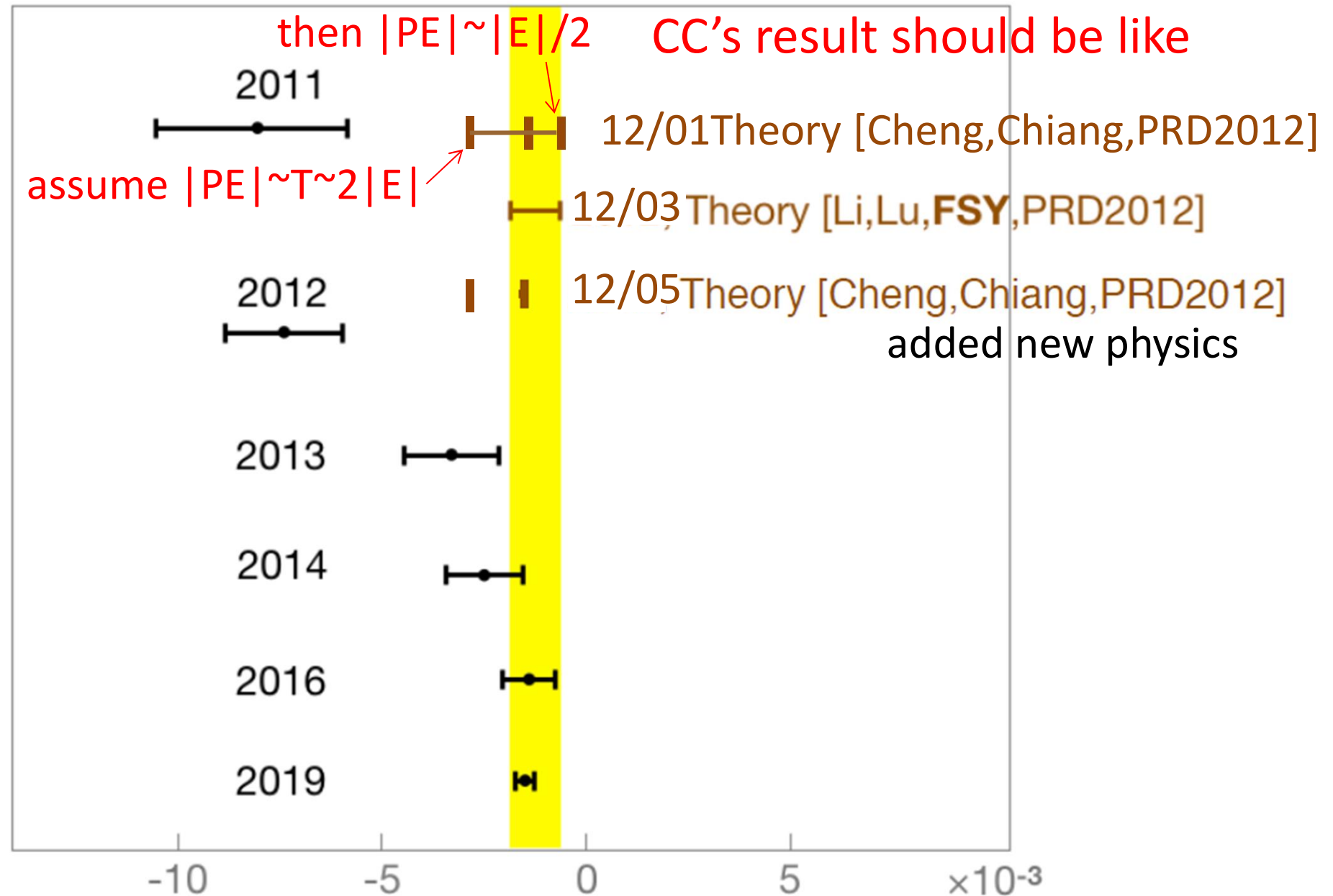
$$T^{KK} = 3.65, \quad E^{KK} = 1.2 e^{-i85^\circ},$$

Pc more important

$$P_C^{\pi\pi} = 0.87 e^{i134^\circ}, \quad P_E^{\pi\pi} = 0.81 e^{i111^\circ}, \quad P_A^{\pi\pi} = 0.25 e^{-i43^\circ},$$

$$P_C^{KK} = 1.21 e^{i135^\circ}, \quad P_E^{KK} = 0.87 e^{i111^\circ}, \quad P_A^{KK} = 0.45 e^{-i5^\circ},$$

Exp Averages



Summary

- Factorization provides platform, on which $SU(3)$ breaking can be introduced; and prescription, through which penguin can be related to tree
- Use abundant data of branching ratios to fix hadronic parameters and then **predict penguins**
- Not just analysis of $D \rightarrow PP$, but proposal of framework for general two-body hadronic D decays with predictive power
- Different from Cheng and Chiang's **phenomenological** approach, but results turn out to be similar

Back-up slides

Penguin color-suppressed emission

- Penguin color-suppressed emission is similar

$$\begin{aligned}
 P_C &= a_4(\mu) \langle P_2 | (\bar{u}q)_{V-A} | 0 \rangle \langle P_1 | (\bar{q}c)_{V-A} | D \rangle \\
 &\quad - 2a_6(\mu) \langle P_2 | (\bar{u}q)_{S+P} | 0 \rangle \langle P_1 | (\bar{q}c)_{S-P} | D \rangle \\
 &= [a_4(\mu) + a_6(\mu)r_\chi] f_{P_2} (m_D^2 - m_{P_1}^2) F_0^{DP_1}(m_{P_2}^2)
 \end{aligned}$$

$$\begin{aligned}
 a_4(\mu) &= C_4(\mu) + C_3(\mu) \left[\frac{1}{N_c} + \chi_{nf} e^{i\phi} \right] \\
 a_6(\mu) &= C_6(\mu) + C_5(\mu) \left[\frac{1}{N_c} + \chi_{nf} e^{i\phi} \right]
 \end{aligned}
 \quad r_\chi = \frac{2m_{P_2}^2}{m_c(m_u + m_q)}$$

- PQCD formula for (S-P)(S+P) nonfactorizable contribution reveals similarity to (V-A)(V-A)