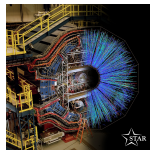


# Spin Physics at the STAR Experiment

Zilong Chang

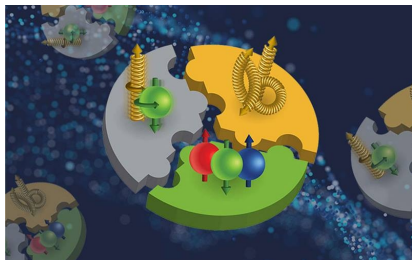
Brookhaven National Laboratory, Upton, New York 11973

January 21st, 2021



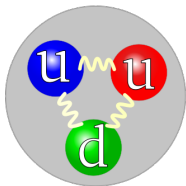
- **The proton structure**
  - Proton helicity structure
    - ① Gluon polarization: inclusive jet, and di-jet production
    - ② Sea quark polarization:  $W^\pm/Z$  boson production
  - 3D Structure of the proton
    - ① TMD parton distribution function
    - ② Transversity and TMD fragmentation function, identified hadrons in jets
- **Future STAR measurements**
  - STAR forward upgrade at  $\eta$  as large as 4
- **Conclusion**

# Proton Helicity Structure from Longitudinally Polarized Proton Beam



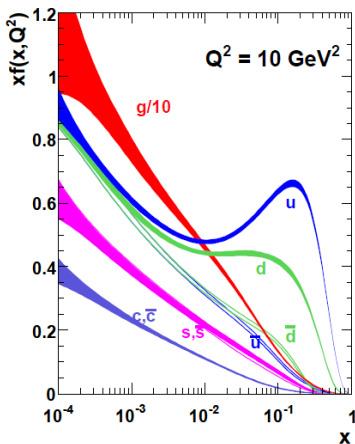
# The Proton Structure

- **Constituents:** quarks and gluons



- **Parton distribution functions:**  $f(x, Q^2)$ , the probability of a probe at momentum transfer  $Q^2$  encountering a parton in the proton with momentum fraction  $x$
- gluons dominate at low  $x$

- $xf(x, Q^2 = 10 \text{ GeV}^2)$  vs.  $x$  for quarks and gluons, MSTW, EPJC63, 189



# The Proton Helicity Distribution

Proton spin sum rule:

$$S_z = \frac{1}{2} = \frac{1}{2}\Delta\Sigma + \Delta G + L_{q,g}$$

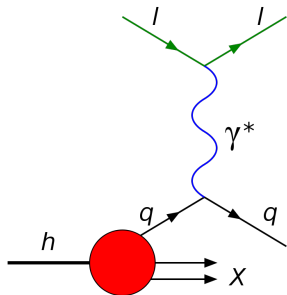
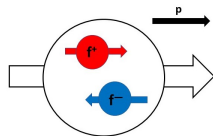
- In longitudinally polarized proton, PDF also depends on parton helicity
- Quark:  $\Delta\Sigma(Q^2) = \int_0^1 dx \Delta f_q(x, Q^2) = \int_0^1 dx (f_q^+(x, Q^2) - f_q^-(x, Q^2))$
- Gluon:  $\Delta G(Q^2) = \int_0^1 dx \Delta g(x, Q^2) = \int_0^1 dx (g^+(x, Q^2) - g^-(x, Q^2))$

Deep inelastic scattering:

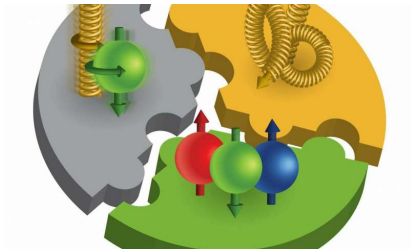
- Quark contribution  $\Delta\Sigma$ : constrained

$$\Delta\Sigma = 0.254 \pm 0.042, \text{ Leader et al, PRD 82, 114018}$$

- Gluon contributions  $\Delta G$ : **poorly** constrained  
Fixed targets experiments  $\rightarrow$  Limited in  $x - Q^2$  space  
Constrained through scaling violation
- Orbital angular momentum contribution,  $L_{q,g}$ : not constrained

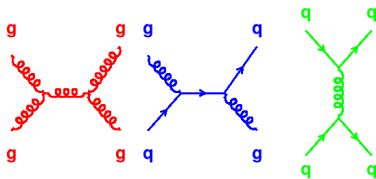


# Gluon Polarization at RHIC

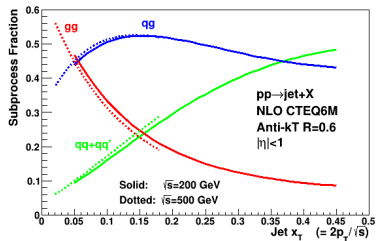


# Exploring Gluon Polarization at Hadron-hadron Collider

- Asymptotic freedom at short distances  $\rightarrow$  parton-parton scattering
- At RHIC, jets, clusters of collimated particles, are dominated by  $gg$  and  $qg$  processes, therefore allow direct access to  $\Delta g$



PRD86, 094009

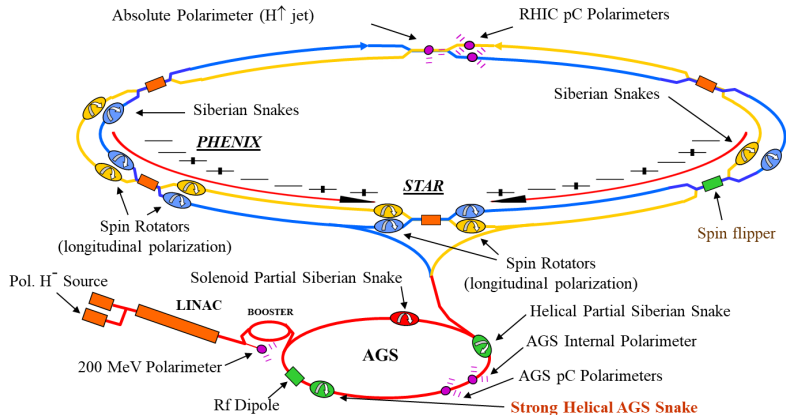


- Define longitudinal double-spin asymmetry  $A_{LL}$ :

$$A_{LL} = \frac{\sigma^{++} - \sigma^{+-}}{\sigma^{++} + \sigma^{+-}} \sim \frac{\Delta f_a \Delta f_b}{f_a f_b} \hat{a}_{LL}$$

- Theory predicts large partonic  $\hat{a}_{LL}$  involving  $gg$  and  $qg$  processes
- Making  $A_{LL}$  sensitive to gluon polarization

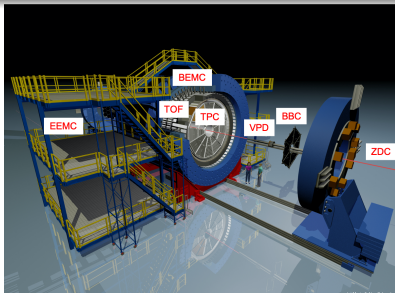
# RHIC Facilities, World-only Polarized Collider



- 2.4 mile in circumference, two lane "racetrack"
- 120 bunches around each ring
- Polarization orientation varies from bunch to bunch to minimize systematic uncertainty
- Spin rotators provide choice of polarization orientation (longitudinal or transverse).
- Beam polarizations are around 55 to 65%

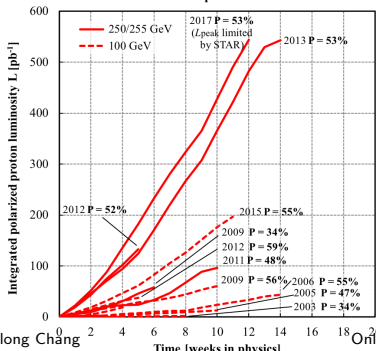


# STAR Detectors



- Full  $2\pi$  coverage in azimuthal
- Tracking with TPC:  $|\eta| < 1.3$
- EM energy and triggering with:
  - BEMC:  $-1.0 < \eta < 1.0$ ,
  - EEMC:  $1.0 < \eta < 2.0$
- Spin-sorted relative luminosity monitoring detectors:
  - VPD, BBC and ZDC

Polarized protons



- Polarized  $pp$  dataset collected at RHIC over the past two decades
- At both  $\sqrt{s} = 200$  GeV and  $\sqrt{s} = 500/510$  GeV
- Two large dataset collected in 2013 (longitudinally polarized) and 2017 (transverse polarized) at 510 GeV
- Recent 200 GeV data from 2015 have equal amount of transverse and longitudinal polarizations

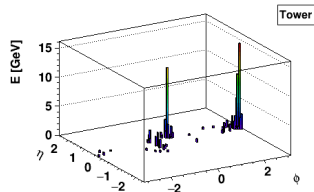
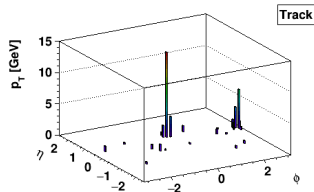
# Longitudinal Double-spin Asymmetry $A_{LL}$ for Jets

- Inputs to jet finder, reconstructed charged TPC tracks and electromagnetic towers
- Anti- $k_T$  algorithm with  $R = 0.6$  for 200 GeV and  $R = 0.5$  for 510 GeV
- Count number of jets when beams have the same and the opposite helicity,  $N^{++}$  and  $N^{+-}$
- Measure:

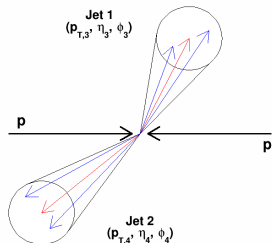
$$A_{LL} = \frac{N^{++} - RN^{+-}}{P_B P_Y (N^{++} + RN^{+-})}$$

$P_{B(Y)}$ : beam polarizations, and

$R = \frac{L^{++} + L^{--}}{L^{+-} + L^{-+}}$ : spin-sorted relative luminosity



- Two leading  $p_T$  jets in an event
- $p_{3,T} > 6 \text{ GeV}/c$ ,  $p_{4,T} > 8 \text{ GeV}/c$ , theoretical consideration
- Opening angle  $\Delta\phi = \phi_3 - \phi_4 > \frac{2\pi}{3}$ , remove hard gluon emissions
- $|\Delta\eta| = |\eta_3 - \eta_4| < 1.6$ , limit detector acceptance
- Dijets are measured in topology bins defined by  $\eta_3$  and  $\eta_4$
- Topology bins sample different pairs of  $x_1$  and  $x_2$  and scattering angles  $\cos\theta^*$ , therefore constrain the shape of  $\Delta g(x)$



$$x_1 = \frac{1}{\sqrt{s}}(p_{T,3}e^{\eta_3} + p_{T,4}e^{\eta_4})$$

$$x_2 = \frac{1}{\sqrt{s}}(p_{T,3}e^{-\eta_3} + p_{T,4}e^{-\eta_4})$$

$$M = \sqrt{x_1 x_2 s}$$

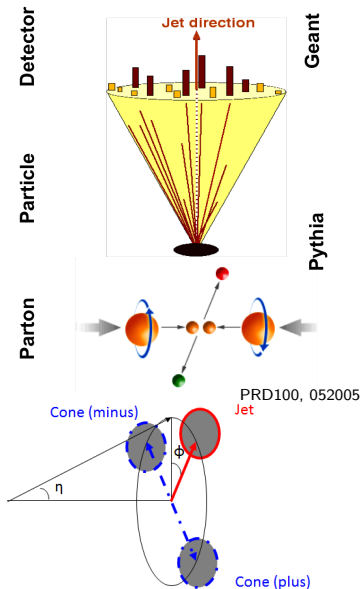
$$|\cos\theta^*| = \tanh \frac{|\eta_3 - \eta_4|}{2}$$

# Newly Developed Techniques in the Analysis

- Data-driven modified PYTHIA6 Perugia 2012  
Tune with reduced  $P_{90} = 0.213$  from 0.24

$$\sigma \sim \frac{1}{(p_T^2 + p_{T,0}^2)^2}$$
$$p_{T,0} = p_{T,ref} \times \left(\frac{\sqrt{s}}{\sqrt{s_{ref}}}\right)^{P_{90}}$$

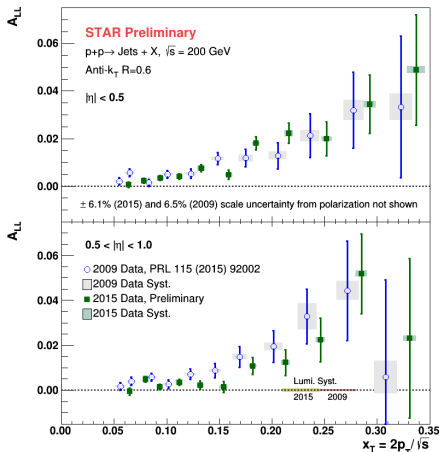
- Jet reconstruction at three levels: **simulated detector response**, PYTHIA **particle** and **parton** jets
- Simulated jet quantities match data very well
- **Two off-axis cones** centered at  $\pm \frac{\pi}{2}$  away in  $\phi$  and the same  $\eta$  relative to a given jet are used to estimate underlying event for that jet, ALICE, PRD 91, 112012
  - 1 Corrected the measured jet energy due to underlying event contribution
  - 2 Estimated the underlying event contribution to jet  $A_{LL}$



# STAR Inclusive Jet Results at $\sqrt{s} = 200$ GeV

NNPDF, NPB887, 276

- Inclusive jet  $A_{LL}$  at  $\sqrt{s} = 200$  GeV

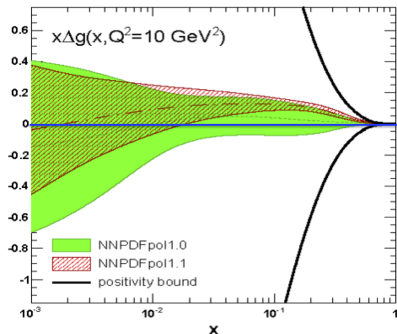


- Reduced statistical uncertainty from the recent 2015 data comparing to the 2009 data

- $x\Delta g(x, Q^2)$  vs.  $x$  at  $Q^2 = 10\text{GeV}^2$

- With STAR 2009 data only:

$$\int_{0.05}^{0.5} dx \Delta g(x) = 0.23 \pm 0.07$$

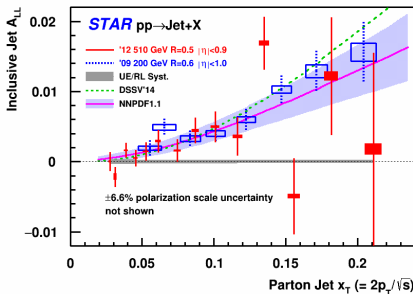


- Significant impact on  $x\Delta g(x, Q^2)$
- Uncertainty on  $x\Delta g$  still large when  $x < 0.05$
- To constrain  $x\Delta g$  at  $x < 0.05$  better: increasing  $\sqrt{s}$

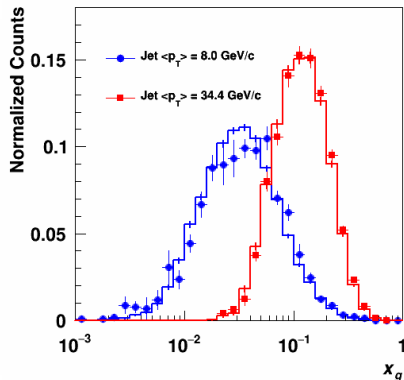
# STAR Inclusive Jet $A_{LL}$ Results at $\sqrt{s} = 510$ GeV

- Inclusive jet  $A_{LL}$  vs. jet  $x_T = \frac{2p_T}{\sqrt{s}}$  together with 2009 200 GeV results,

PRD100, 052005



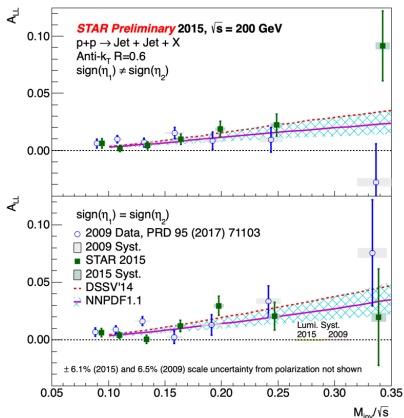
- Sampled  $x_g$  distribution by jet with  $\langle p_T \rangle = 8$  GeV/c and 34.4 GeV/c



- Agree with previously published data at  $\sqrt{s} = 200$  GeV
- Also agree well with pQCD calculation with recent polarized PDF
- At 510 GeV, access  $x_g$  as low as **0.015**

# STAR Dijet $A_{LL}$ Results at $\sqrt{s} = 200$ GeV

## • Dijet $A_{LL}$ in $|\eta| < 0.8$ , barrel region

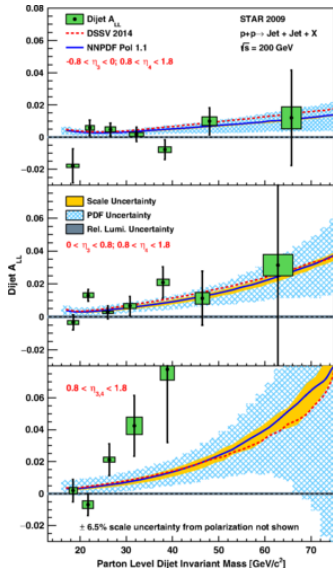


## • With STAR 2009 dijet results, the

DSSV study shows PRD100, 114027:

$$\int_{0.01}^1 \Delta g(x, Q^2 = 10 \text{ GeV}^2) = 0.296 \pm 0.108$$

## • Dijet $A_{LL}$ for $|\eta| < 1.8$ , endcap region



# STAR Dijet $A_{LL}$ Results at $\sqrt{s} = 510$ GeV

- Dijet  $A_{LL}$  vs.  $M_{inv}$  in four  $\eta$  topology bins

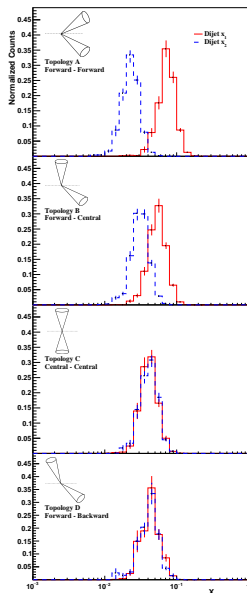
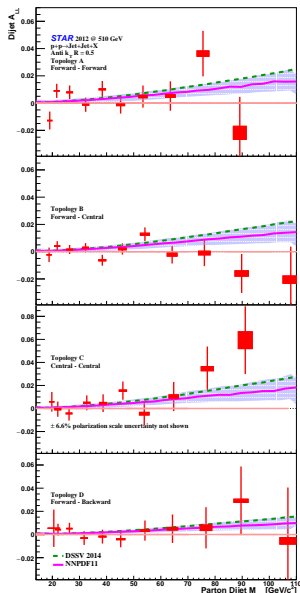
- Topology bins sample  $x_1$ ,  $x_2$  and  $\cos\theta^*$  bins

$$x_1 = \frac{1}{\sqrt{s}}(p_{T,3}e^{\eta_3} + p_{T,4}e^{\eta_4})$$

$$x_2 = \frac{1}{\sqrt{s}}(p_{T,3}e^{-\eta_3} + p_{T,4}e^{-\eta_4})$$

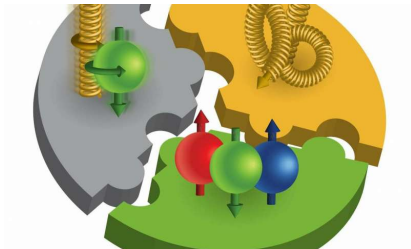
$$|\cos\theta^*| = \tanh \frac{|\eta_3 - \eta_4|}{2}$$

- Constrain the shape of  $\Delta g(x)$
- More inclusive jet and dijet results from 2013 at  $\sqrt{s} = 510$  GeV will come out soon





# Sea Quark Polarization at RHIC

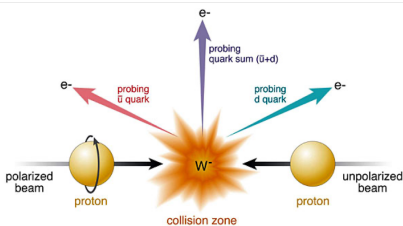


# W Production in Longitudinally Polarized $pp$ Collisions

- $W$ s are produced through left-handed quarks and right-handed anti-quarks
- $W$  are identified from  $e^\pm$  with:
  - 1 Large isolated energy deposition in EM-cal
  - 2 Large  $p_T$  imbalance due to missing neutrino
- Longitudinal single-spin asymmetry,  $A_L = \frac{\sigma^+ - \sigma^-}{\sigma^+ + \sigma^-}$

$$A_L^{W^-} \sim \frac{-\Delta d(x_1)\bar{u}(x_2) + \Delta\bar{u}(x_1)d(x_2)}{d(x_1)\bar{u}(x_2) + \bar{u}(x_1)d(x_2)}$$

$$A_L^{W^+} \sim \frac{-\Delta u(x_1)\bar{d}(x_2) + \Delta\bar{d}(x_1)u(x_2)}{u(x_1)\bar{d}(x_2) + \bar{d}(x_1)u(x_2)}$$

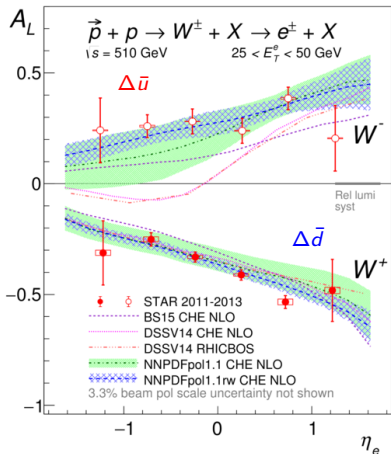


- $W^-$  with backward  $e^-$ :  
 $A_L^{W^-} \approx \frac{\Delta\bar{u}(x_1)}{\bar{u}(x_1)}$ ,  $y_{W^-} \ll 0$  ( $x_1 \ll x_2$ )
- $W^+$  with forward  $e^+$ :  
 $A_L^{W^+} \approx \frac{\Delta\bar{d}(x_1)}{\bar{d}(x_1)}$ ,  $y_{W^+} \ll 0$  ( $x_1 \ll x_2$ )

$W^\pm A_L$  sensitive to sea quark polarization

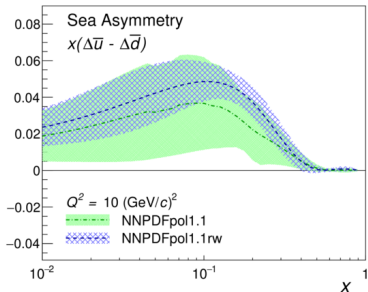
# W $A_L$ from 510 GeV $pp$ Collisions and its Impact

- W  $A_L$  vs.  $\eta_e$ , STAR, PRD 99, 051102



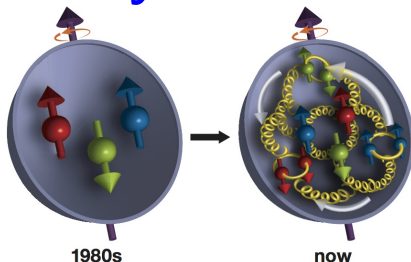
- $W^-$ ,  $\eta_e < 0 \approx \frac{\Delta\bar{u}(x_1)}{\bar{u}(x_1)}$
- $W^+$ ,  $\eta_e > 0 \approx \frac{\Delta\bar{d}(x_1)}{\bar{d}(x_1)}$

- $\Delta\bar{u} - \Delta\bar{d}$  vs.  $x$ , NNPDF, NPB887, 276



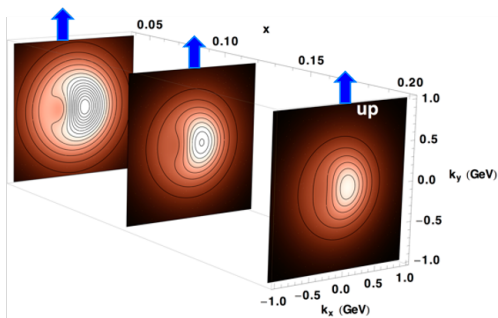
- By reweighting NNPDF replicas at  $Q^2 = 10 \text{ GeV}^2$ :  $\Delta\bar{u} > \Delta\bar{d}$  unlike  $\bar{d} > \bar{u}$

# Proton 3D Structure from Transversely Polarized Beam

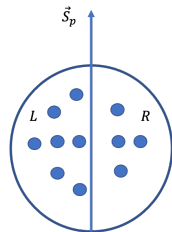


# Transverse Structure of the Proton

- At given  $Q^2$ , described by **transverse momentum dependent** (TMD) parton distribution function,  $f(x, k_T)$

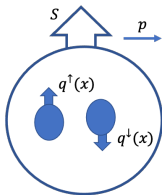


- In **polarized** case, becoming spin dependent
- Predict **single spin azimuthal asymmetry**  $A_N = \frac{\sigma^{\uparrow} - \sigma^{\downarrow}}{\sigma^{\uparrow} + \sigma^{\downarrow}}$ , for example jets, hadron in jets, W/Z bosons, and so on

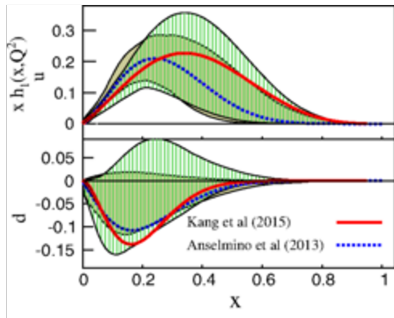
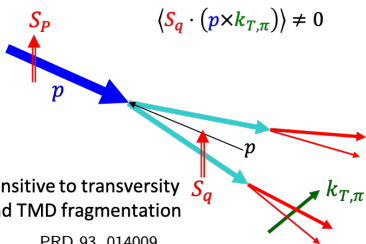


# Transversity

- Definition:  $\delta q(x) = q^\uparrow(x) - q^\downarrow(x)$



- Chiral odd, need to couple with another chiral-odd distribution, TMD fragmentation function (FF)
- TMD FF is universal for example Collins FF
- Large uncertainties from DIS measurements



# Collins Asymmetry for $\pi^\pm$ in Jets

- Transverse azimuthal asymmetry:

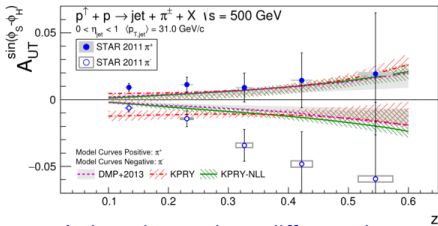
$$d\sigma^\uparrow - d\sigma^\downarrow \sim d\Delta\sigma_0 \sin\phi_S + d\Delta\sigma_1^- \sin(\phi_S - \phi_H) + d\Delta\sigma_1^+ \sin(\phi_S + \phi_H) + d\Delta\sigma_2^- \sin(\phi_S - 2\phi_H) + d\Delta\sigma_2^+ \sin(\phi_S + 2\phi_H)$$

PRD, 83, 034021

- Collins asymmetry:  $A_{UT}^{\sin(\phi_S - \phi_H)} \sim d\Delta\sigma_1^-$

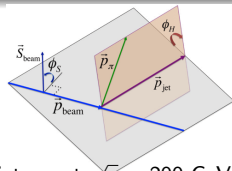
- $A_{UT}^{\sin(\phi_S - \phi_H)}$  vs  $z$  (longitudinal momentum fraction of  $\pi^\pm$  relative to the jet), at  $\sqrt{s} = 500$  GeV

- $\langle p_T \rangle = 31$  GeV/c PRD 97, 032004



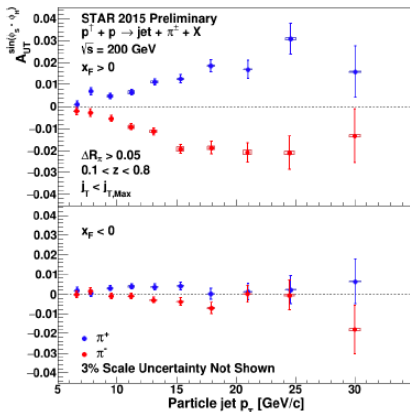
- At large jet  $p_T$ , large difference between  $\pi^+$  and  $\pi^-$  in forwarding moving jets

- First indication of transversity in  $pp$  collisions



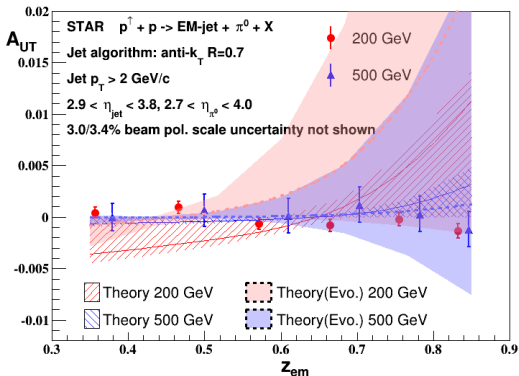
- $A_{UT}^{\sin(\phi_S - \phi_H)}$  vs jet  $p_T$  at  $\sqrt{s} = 200$  GeV

- $0.1 < z < 0.8$



# Collins Asymmetry for $\pi^0$ in EM-Jets

- $A_{UT}$  vs.  $z_{EM}$  for  $\pi^0$  in electromagnetic jets in forward region at  $\sqrt{s} = 200$  and 500 GeV, [arXiv2012.11428](#)
- $2.9 < \eta_{jet} < 3.8, 2.7 < \eta_{\pi^0} < 4.0$



- Small asymmetry over measured  $z_{EM}$
- Compared with theory predictions with and without TMD evolutions, PLB774, 635

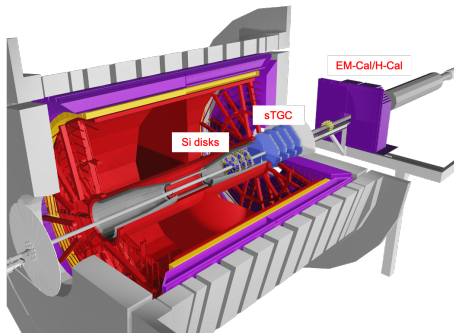


# STAR Forward Upgrade



# STAR Forward Upgrade

- STAR forward upgrade has been fully funded and approved in time for polarized 510 GeV run in 2022
- **Forward Calorimeter System (FCS)**, an EMCal and a HCal
- **Forwarding Tracking System (FTS)**, silicon detectors (Si) and small thin gap chamber (sTGC)
- Lay the groundwork for the realization of the future Electron Ion Collider (EIC)

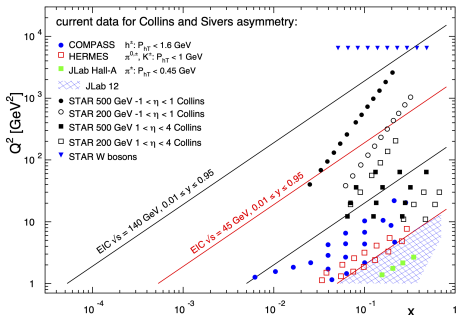


<https://drupal.star.bnl.gov/STAR/starnotes/public/sn0648>

# Future Plan with STAR Forward Upgrade

- FCS system has been fully installed and is ready for test in the upcoming run
- STAR will be continuing running till 2025, including two sets of transversely polarized  $pp$  collisions at  $\sqrt{s} = 200$  and 510 GeV

| Year | $\sqrt{s}$ (GeV) | Sampled Luminosity ( $\text{pb}^{-1}$ ) | Polarization | Duration |
|------|------------------|---|--------------|----------|
| 2022 | 510              | 400                                     | Transverse   | 16 weeks |
| 2024 | 200              | 235                                     | Transverse   | TBD      |



- Extend coverage of valence quark up to  $x > 0.3$ , where no current experiment

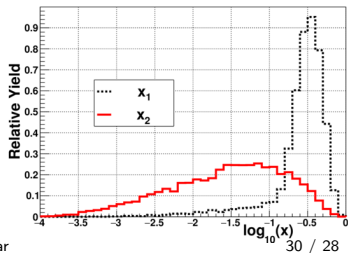
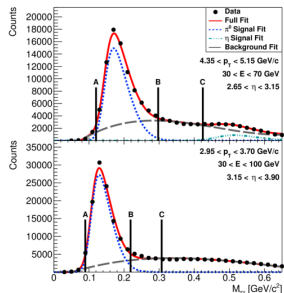
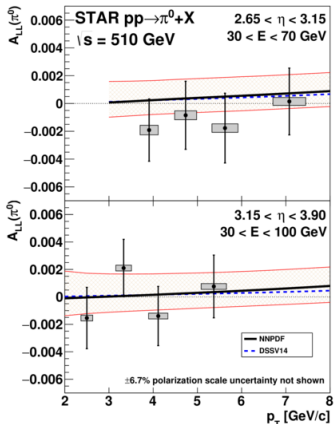
- STAR longitudinal program has been complete
  - STAR inclusive jet and dijet  $A_{LL}$  measurements have provided evidence of positive gluon polarization in the proton, with  $\sqrt{s} = 510$  data pushing gluon polarization at  $x < 0.02$
  - $W^\pm A_L$  at  $\sqrt{s} = 510$  GeV measures the sea quark polarization and shows that  $\Delta\bar{u} > \Delta\bar{d}$
- STAR transverse program is a great tool to test universality and factorization breaking in TMD formalism from  $pp$  collisions
  - STAR  $A_{UT}^{\sin(\phi_S - \phi_H)}$  provided first evidence of transversity
  - More new results coming out soon including dijet Sivvers asymmetry,  $W^\pm/Z$  boson  $A_N$  from 2017 data, etc.
- The forward upgrade will further explore the transverse spin structure for valence quark at new regime,  $x > 0.3$

Expect Another 5 Successful Years at STAR



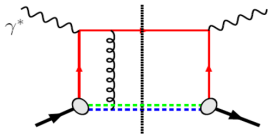
# Forward Neutral Pions at $2.7 < \eta < 3.9$ from 510 GeV Collisions

- Reconstructed  $\pi^0$  from its  $\gamma$  decays, STAR, PRD 98, 032013
- Access  $x_g$  as low as **0.001**

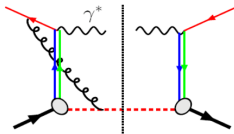


# Sivers Function

- DIS, final state interaction, opposite colors attract



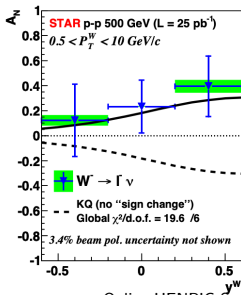
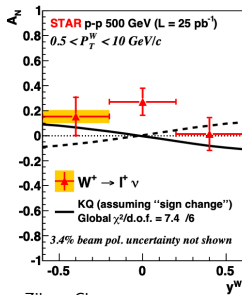
- Drell-Yan,  $W^\pm/Z^0$ , initial state interaction, same colors repel



- $Sivers_{DIS} = -Sivers_{Drell-Yan}$  or,  $-Sivers_{W^\pm}$  or  $-Sivers_{Z^0}$

- $A_N = \frac{1}{P_{beam}} \frac{\sqrt{N^\uparrow(\phi)N^\downarrow(\phi+\pi)} - \sqrt{N^\uparrow(\phi+\pi)N^\downarrow(\phi)}}{\sqrt{N^\uparrow(\phi)N^\downarrow(\phi+\pi)} + \sqrt{N^\uparrow(\phi+\pi)N^\downarrow(\phi)}}$ , where  $\cos(\phi) = \frac{\vec{S}_p \cdot (\vec{p}_T, W \times \vec{p}_{beam})}{|p_T, W|}$

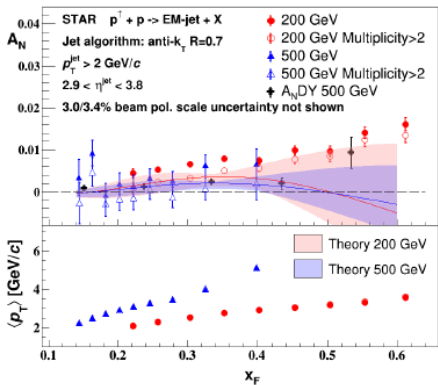
PRL 106, 62002



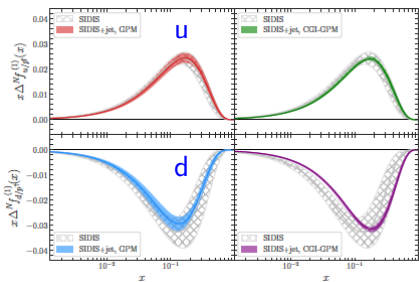
- Cross ratio formula cancels out left-right detector efficiency and relative luminosity
- $W^\pm A_N$ s favor sign change if no TMD evolution effect
- New measurements from 2017 dataset will reduce statistical uncertainty by a factor of 4

# $A_N$ for EM-Jet at $\sqrt{s} = 200$ and 500 GeV

- $A_N = \frac{\sigma^\uparrow - \sigma^\downarrow}{\sigma^\uparrow + \sigma^\downarrow}$  as a function of Feynman- $x$ , at  $2.9 < \eta^{jet} < 3.8$  [arXiv2012.11428](#)



- Siverson first  $k_T$  moments as a function of  $x$ , top  $u$  quark and down:  $d$  quark, [arXiv2101.03955](#)

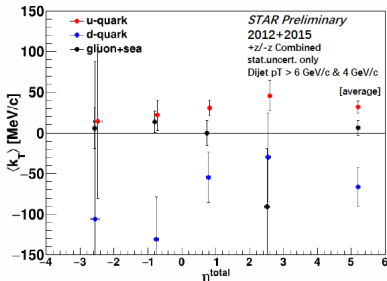
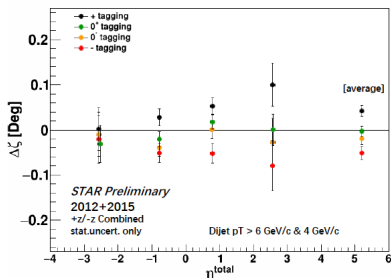
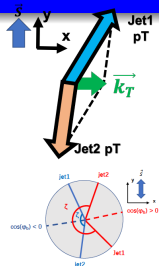


- Small EM-jet  $A_N$  as seen in STAR inclusive  $\pi^0$   $A_N$
- STAR EM-jet has a big impact on constraining the Siverson function than SIDIS data especially at large  $x$



# Dijet Sivers Asymmetry at $\sqrt{s} = 200$ GeV

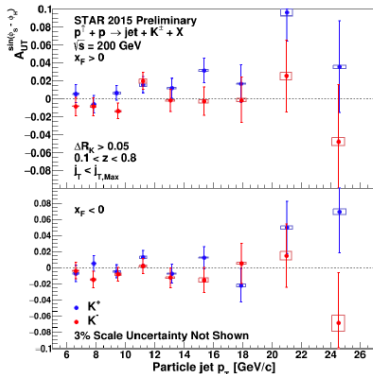
- Parton  $k_T$  leads to asymmetry in the centroid of dijet signed opening angle,  $\zeta$
- Measure  $\Delta\zeta = \frac{\langle\zeta\rangle^{\uparrow} - \langle\zeta\rangle^{\downarrow}}{P_{beam}}$  vs.  $\eta_{total} = \eta_{jet,1} + \eta_{jet,2} \sim \ln(\frac{x_1}{x_2})$
- Associate jet with the polarized beam through the jet motion along the beam
- Weighted charge tagging to separate quark flavors  $u$  and  $d$



- $\Delta\zeta$ :  $5\sigma$  separation between  $+$  tagging and  $-$  tagging
- Unfold  $\Delta\zeta$  to  $k_T$ : at  $Q^2 = 160\text{GeV}^2$ ,  $k_{T,u} > 0$ ,  $k_{T,d} < 0$  and  $k_{T,gluon} \approx 0$
- $\left| \frac{\langle k_{T,d} \rangle}{\langle k_{T,u} \rangle} \right| \sim 2$

# Collins Asymmetry for $K^\pm$ in Jets at $\sqrt{s} = 200$ GeV

- Jet  $p_T$  and asymmetries are corrected for underlying event contribution
- Integrated over hardron  $0.1 < z < 0.8$

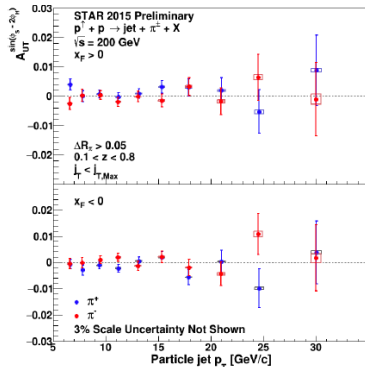
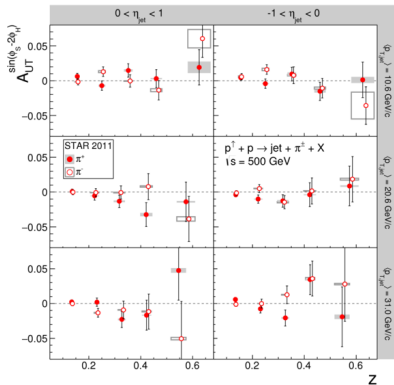


- Like  $\pi^+$ ,  $K^+$  in the forward moving jets shows positive asymmetry

# Collins-like Asymmetry for $\pi^\pm$ in Jets

- Transverse azimuthal asymmetry:  
 $d\sigma^\uparrow - d\sigma^\downarrow \sim d\Delta\sigma_0 \sin\phi_S + d\Delta\sigma_1^- \sin(\phi_S - \phi_H) + d\Delta\sigma_1^+ \sin(\phi_S + \phi_H) + d\Delta\sigma_2^- \sin(\phi_S - 2\phi_H) + d\Delta\sigma_2^+ \sin(\phi_S + 2\phi_H)$  PRD, 83, 034021
- Collins-like asymmetry:  $\sin(\phi_S - 2\phi_H)$  modulation,  $A_{UT}^{\sin(\phi_S - 2\phi_H)} \sim d\Delta\sigma_1^-$
- Sensitive to **gluon linear polarization**

PRD 97, 032004



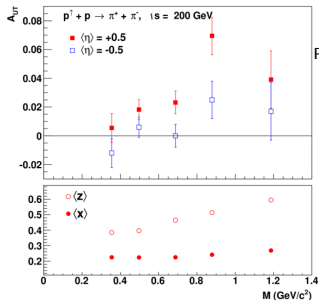
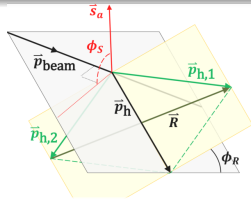
- At both  $\sqrt{s} = 500$  and  $200$  GeV, Collins-like asymmetries are consistent with zero

# Transverse Spin-dependent Azimuthal Correlation of Charged Pion Pairs

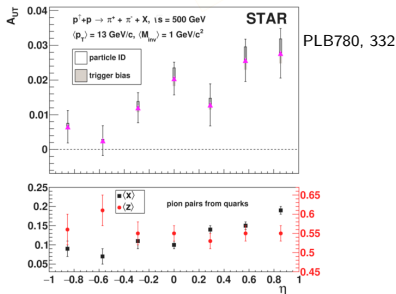
- $\phi_{RS} = \phi_R - \phi_S$ :  $\phi_S$  angle between polarization and production plane,  $\phi_R$  angle between production plane and hadron plane

- $$\frac{N^\uparrow(\phi_{RS}) - rN^\downarrow(\phi_{RS})}{N^\uparrow(\phi_{RS}) + rN^\downarrow(\phi_{RS})} = P_{beam} \cdot A_{UT} \cdot \sin(\phi_{RS}),$$
 where 
$$r = \frac{L^\uparrow}{L^\downarrow}$$

- Pion selected by TPC  $\frac{dE}{dx}$ : purity of pion > 95%



PRL115, 242501



PLB780, 332

- For  $\sqrt{s} = 200$  and 500 GeV, backward pairs corresponding to quarks with smaller  $x$  have smaller asymmetries
- At  $\sqrt{s} = 200$  GeV, enhancement around  $\rho$  mass due to vector meson decay

# Future plan with STAR Forward Upgrade

- $A_N$  of  $\pi^\pm$  in the forward region
- In the meantime with recently installed iTPC which will improve particle identification, STAR will measure  $\pi^\pm$  Collins asymmetry more precisely

