



# Pre-equilibrium QCD plasma in heavy-ion collisions

Seminar @ High Energy Nuclear Physics in China (Online)  
Sept. 23, 2021

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# Outline

- **Pre-equilibrium QCD plasmas**
  - The motivation and the theoretical tool
- **Turbulence in pre-equilibrium QCD plasmas**
  - The turbulent nature of quark-gluon plasma
- **Pre-equilibrium QCD plasmas in early stage of HICs**
  - Attractor theory of quark-gluon plasma and its applications
- **Including space-time fluctuation**
  - Towards a complete picture of pre-equilibrium stage in HICs

[1] XD, Schlichting, PRL127(2021)122301.

[2] XD, Schlichting, PRD104(2021)054011

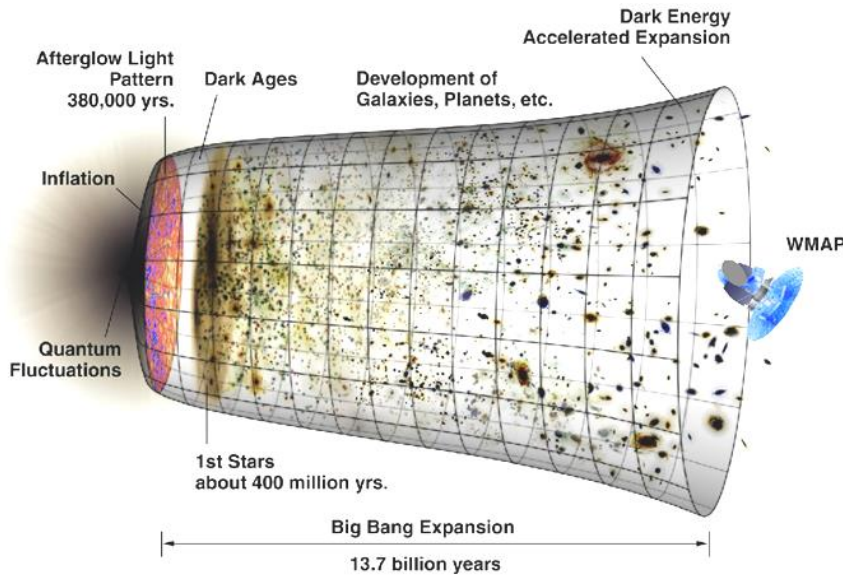
[3] Coquet, XD, Ollitrault, Schlichting, Winn, PLB821(2021)136626

[4] Others, in progress...

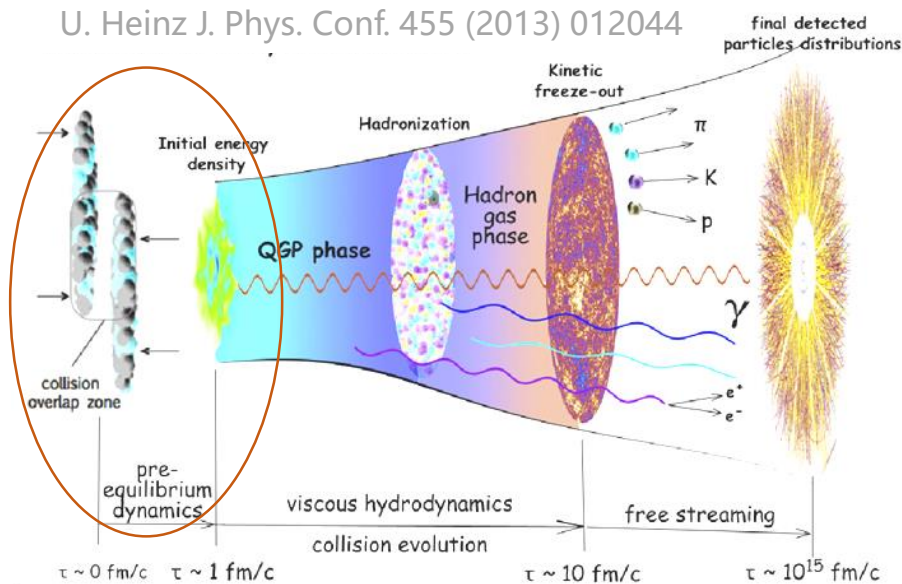
# Equilibration after bangs

## Early universe (Big Bang)

Standard Model matter produced and equilibrated between inflation and Big Bang nucleosynthesis (BBN)



U. Heinz J. Phys. Conf. 455 (2013) 012044



## Heavy-ion collision (little bang)

Off-thermal plasma produced in initial collision and equilibrated into thermal hydrodynamic states:

Kinetic equilibration

Yang-Mills plasma (gluon saturated) equilibrated into quark-gluon plasma (quarks + gluon):

Chemical equilibration

# QCD Effective Kinetic Theory

Simulation with QCD Effective Kinetic Theory (EKT)

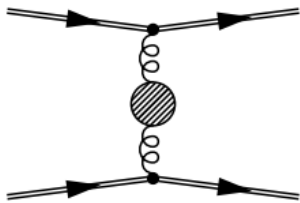
$$\left(\frac{\partial}{\partial\tau} - \frac{p_{\parallel}}{\tau} \frac{\partial}{\partial p_{\parallel}}\right) f_a(\tau, p_T, p_{\parallel}) = -C_a^{2\leftrightarrow 2}[f](\tau, p_T, p_{\parallel}) - C_a^{1\leftrightarrow 2}[f](\tau, p_T, p_{\parallel})$$

Arnold, Moore, Yaffe, JHEP01 (2003) 030  
Arnold, Moore, Yaffe, JHEP0206 (2002) 030  
Kurkela, Mazeliauskas, PRD99 (2019) 054018

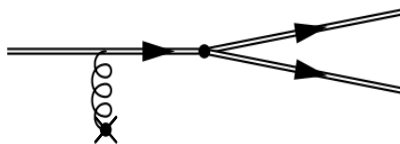
Solving a set of coupled Boltzmann equations

Including all light **quarks/antiquarks** and **gluon**  $a = g, u, \bar{u}, d, \bar{d}, s, \bar{s}$

Including LO  $2\leftrightarrow 2$  elastic scatterings and  $1\leftrightarrow 2$  inelastic scatterings with back reaction



$2\leftrightarrow 2$ : Color screening by Debye mass fit to Hard Thermal Loop (HTL) calculation



$1\leftrightarrow 2$ : Collinear radiation including Landau-Pomeranchuk-Migdal (LPM) effect via effective vertex resummation

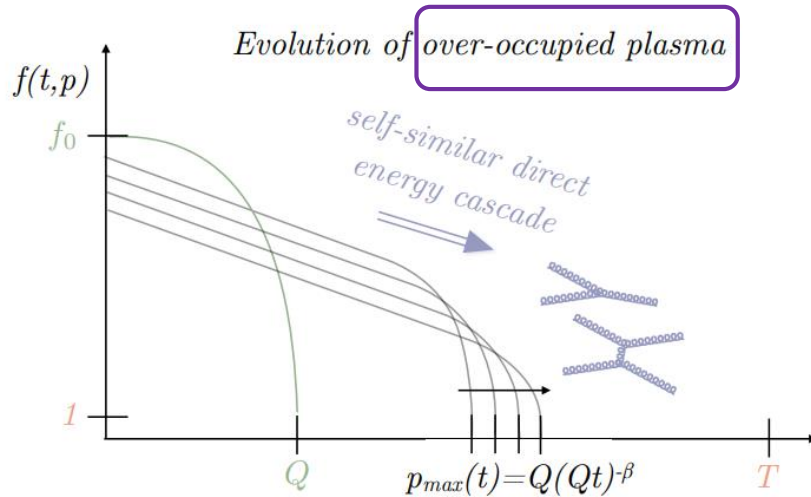
The slide features two triangular sections of abstract, colorful marbled patterns. The top-left triangle is filled with swirling, wavy lines of blue, green, yellow, and red, creating a complex, organic texture. The bottom-right triangle mirrors this style, with similar swirling patterns in shades of blue, pink, and yellow. The central area of the slide is plain white, providing a clear background for the text.

# **Equilibration of QCD plasmas**

The turbulent nature of quark-gluon plasma

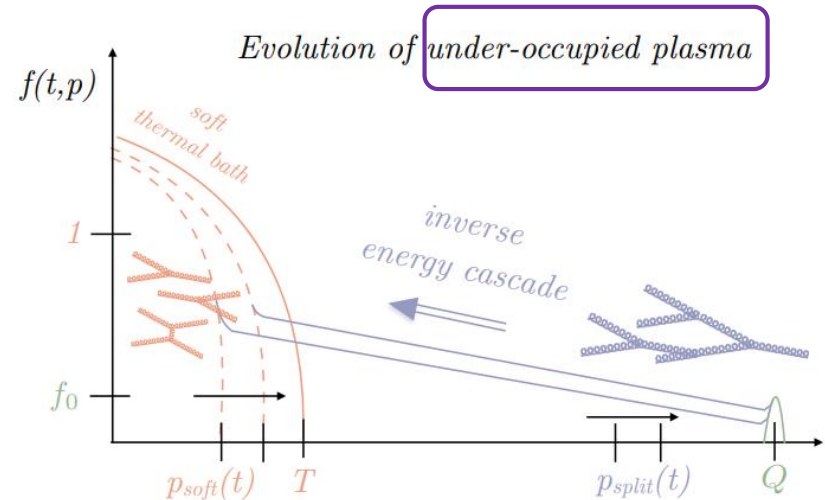
# Turbulence in QCD equilibration

Two typical far-from-equilibrium systems



## Over-occupied plasma

- Separation of scale  
 $\langle p \rangle_0 \ll T$
- Direct energy cascade  
**low**  $\rightarrow$  **high** momentum
- Initial state in HICs

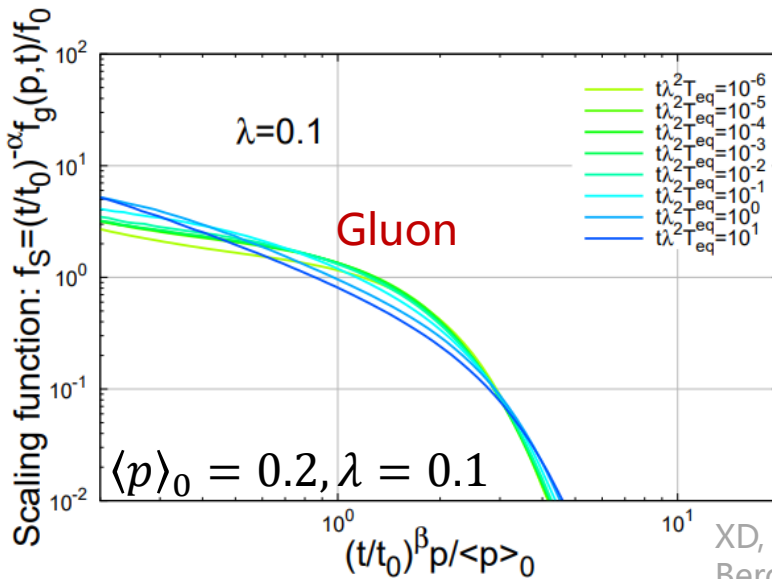
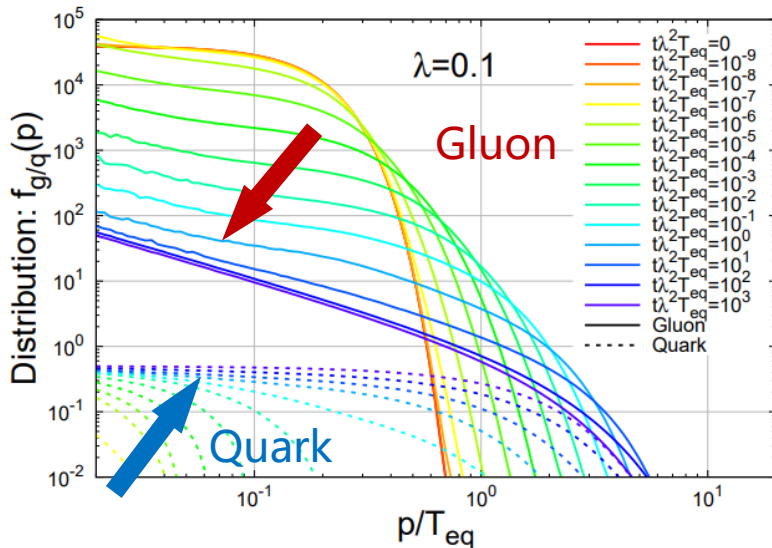


## Under-occupied plasma

- Separation of scale  
 $\langle p \rangle_0 \gg T$
- Inverse energy cascade  
**high**  $\rightarrow$  **low** momentum
- Jets in HICs

Schlichting, Teaney, ARNPS 69 (2019) 447

# Over-occupied plasma



## Self-similar energy cascade

Self-similar scaling spectra

$$f_g(p, t) = (t/t_0)^\alpha f_0 f_S \left( (t/t_0)^\beta \frac{p}{\langle p \rangle_0} \right)$$

Universal Scaling Function

$$f_S \left( (t/t_0)^\beta \frac{p}{\langle p \rangle_0} \right)$$

Scaling Exponents from Yang-Mills plasma

$$\alpha = -\frac{4}{7}, \beta = -\frac{1}{7}$$

Also work for quark-gluon plasma  
**gluon dominated**

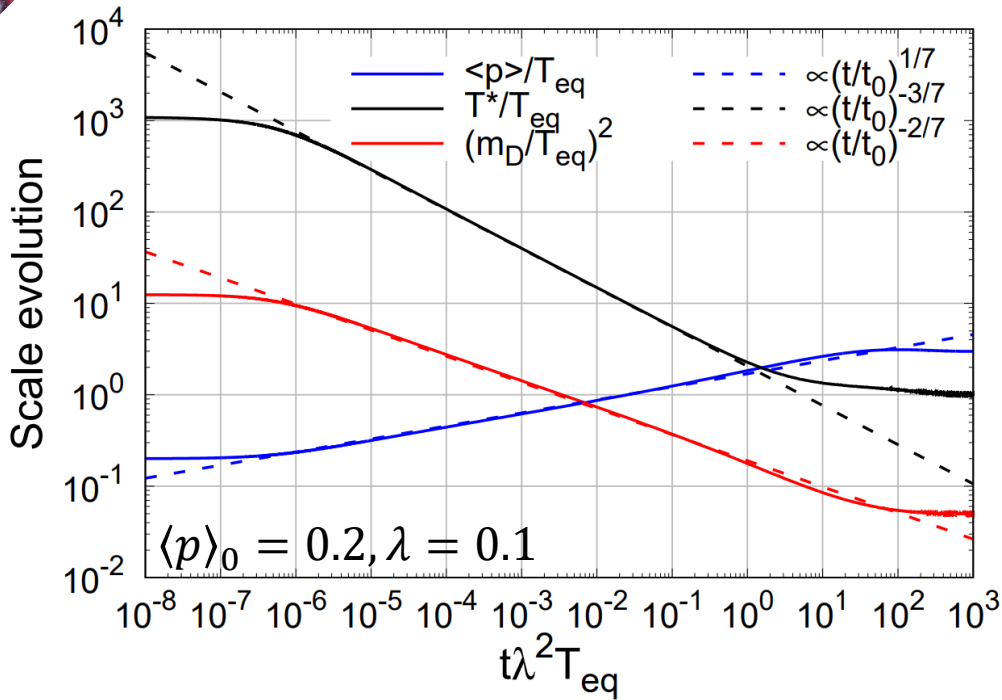
Quark spectra following gluon spectrum

XD, Schlichting, PRD104(2021)054011

Berges, Boguslavski, Schlichting, Venugopalan, PRD89 (2014) 114007

Abraao York, Kurkela, Lu, Moore, PRD89(2014)074036

# Over-occupied plasma



Self-similar scaling

$$f \sim f_0 \left( \frac{t}{t_0} \right)^{-\frac{4}{7}}$$

Power-law evolution

$$p \sim \langle p \rangle_0 \left( \frac{t}{t_0} \right)^{\frac{1}{7}} \quad T \sim g^2 f_0 \langle p \rangle_0 \left( \frac{t}{t_0} \right)^{-\frac{3}{7}}$$

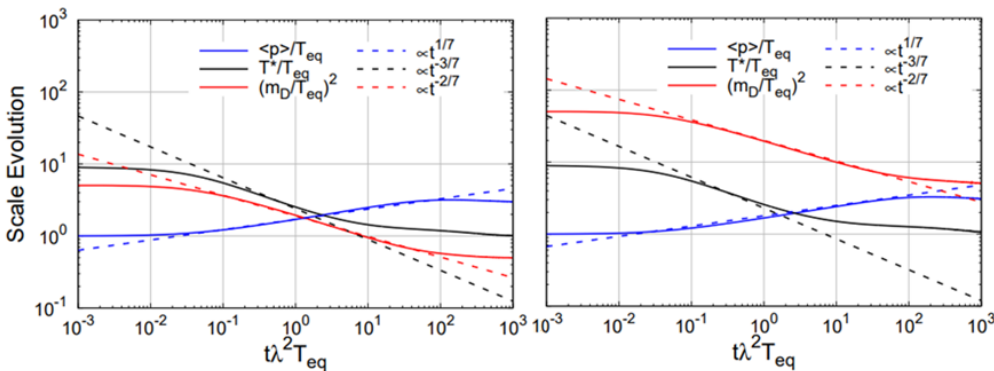
$$m_D^2 \sim g^2 f_0 \langle p \rangle_0^2 \left( \frac{t}{t_0} \right)^{-\frac{2}{7}}$$

Not limited to Yang-Mills plasma  
But also for quark-gluon plasma

Even work for stronger coupling

t'Hooft coupling

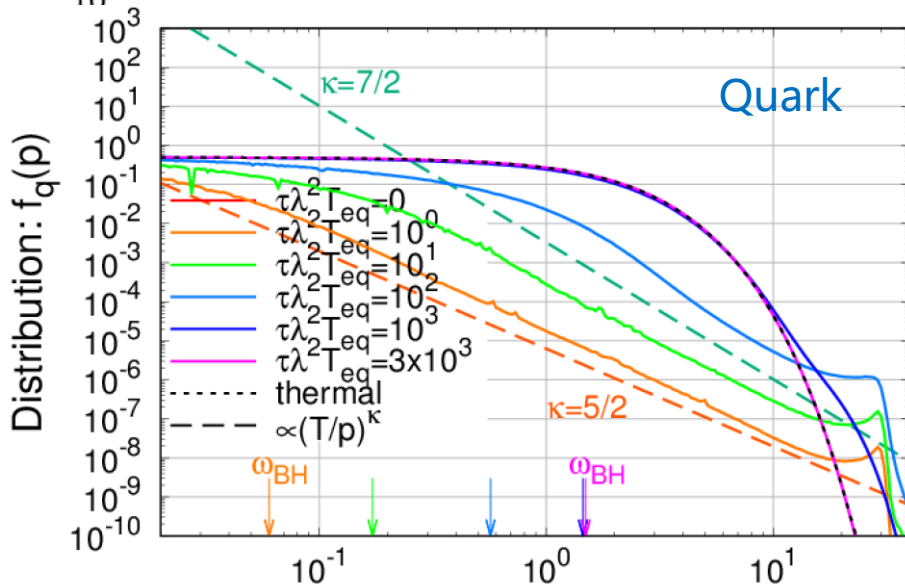
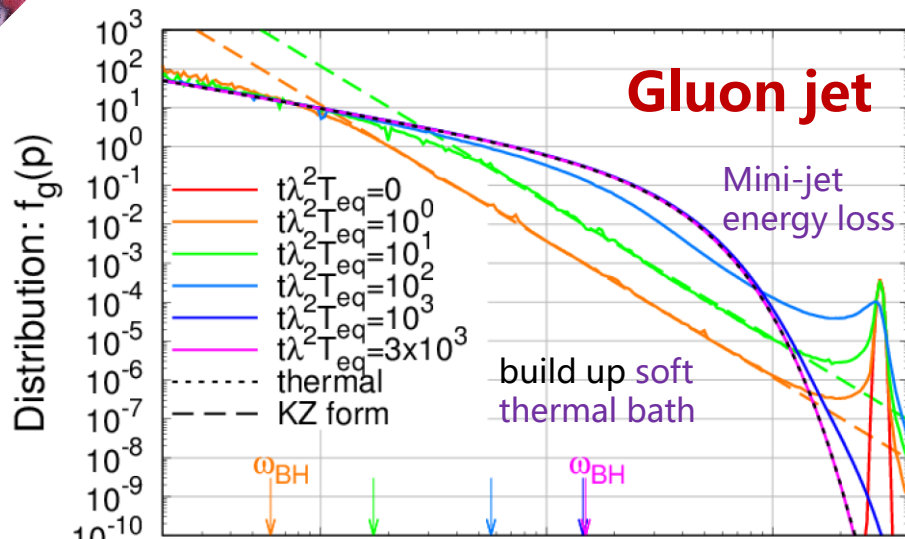
$$\lambda = 4\pi\alpha_s N_c$$



XD, Schlichting, PRD104(2021)054011



# Under-occupied plasma



$\langle p \rangle_0 = 30, \lambda = 1$        $p/T_{eq}$

## Wave turbulence

Kolmogorov-Zakharov spectrum  
(exponent  $\kappa = 7/2$  for gluon)

$$f_{KZ}(p, t) = \eta(t) \left( \frac{\langle p \rangle_0}{p} \right)^\kappa$$

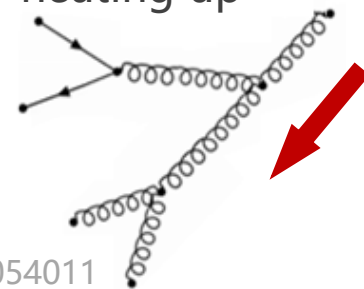
Blaizot, Iancu, Mehtar-Tani, PRL 111, 052001 (2013)  
Mehtar-Tani, Schlichting, JHEP 09, 144 (2018)

## Bottom-up thermalization

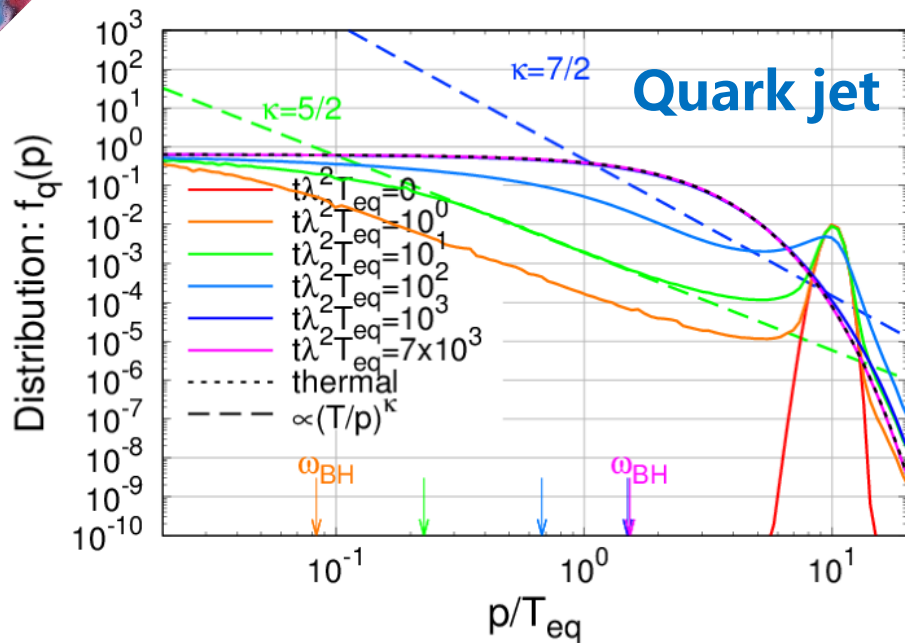
1. Emission of (soft) quarks and gluon
2. Radiative breakup by multiple branchings  $\rightarrow$  build up soft thermal bath
3. Mini-Jet energy loss  $\rightarrow$  heating up thermal bath

Baier, et al. PLB 502 (2001) 51

XD, Schlichting, PRD104(2021)054011



# Under-occupied plasma



## Wave turbulence

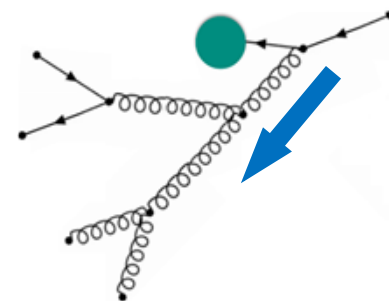
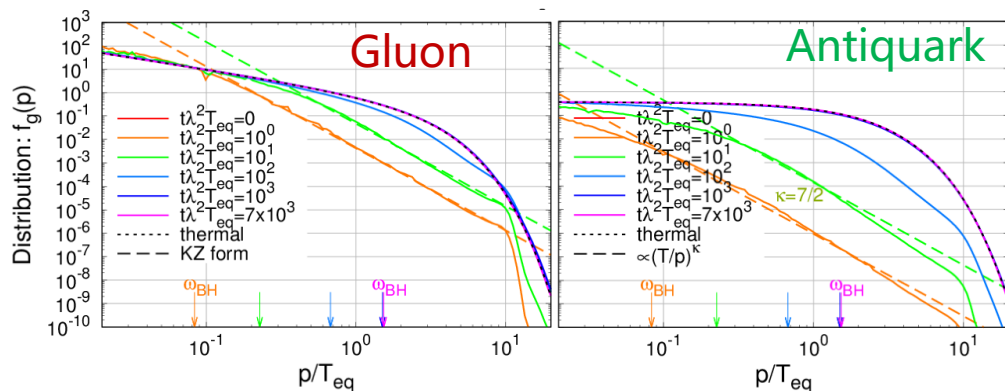
1. Quark follows  $\kappa=5/2$  to  $\kappa=7/2$
2. Gluon follows  $\kappa=7/2$
3. Antiquark follows gluon (secondary production)

$$f_{KZ}(p, t) = \eta(t) \left( \frac{\langle p \rangle_0}{p} \right)^\kappa$$

## Bottom-up thermalization

Same pattern as for in-medium jet energy loss and equilibration

with unified description of soft and hard sectors



$$\langle p \rangle_0 = 10, \lambda = 1$$

XD, Schlichting, PRD104(2021)054011

For turbulence from jet  
Soudi, Schlichting, JHEP07(2021)077

The slide features abstract, colorful fractal-like patterns in the top-left and bottom-right corners. These patterns consist of overlapping, semi-transparent layers of light blue, green, and purple, creating a complex, organic structure. The background is white, and the text is centered in the middle.

# **Early stage of heavy-ion collisions**

Attractor of quark-gluon plasma and its applications

# Hydrodynamization in HICs

## Isotropization

longitudinal pressure/energy density  
 0 (initial)  $\rightarrow$  1/3 (final equilibrium)

Hydrodynamic constitutive relation:

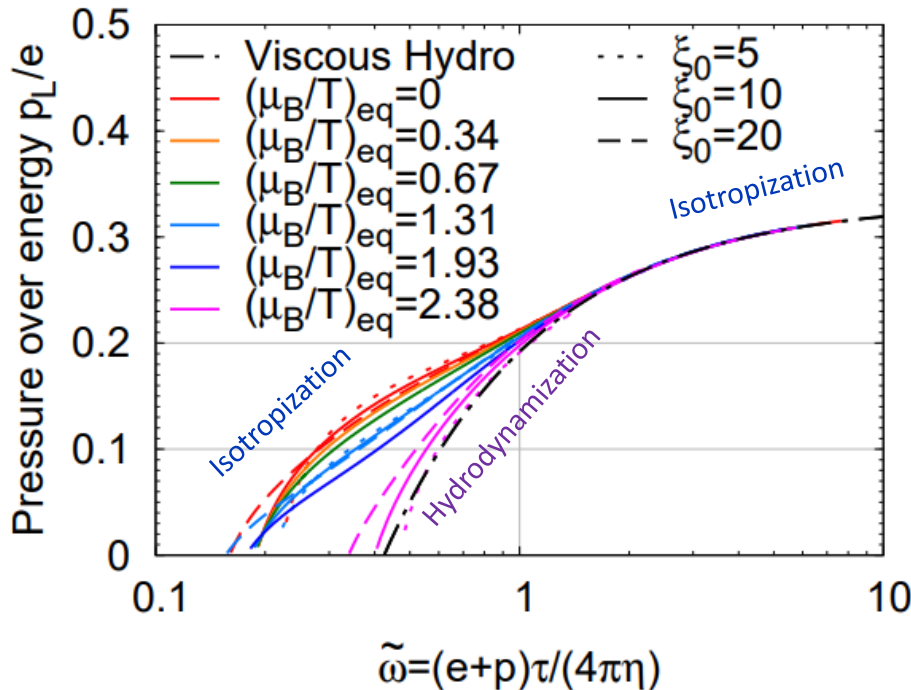
$$\frac{p_L}{e} = \frac{1}{3} - \frac{16\eta}{9(e+p)\tau}$$

## Universal scaling

$$\tilde{\omega} = \frac{(e+p)\tau}{4\pi\eta}$$

Recast:

$$\frac{p_L}{e} = \frac{1}{3} - \frac{4}{9\pi\tilde{\omega}}$$



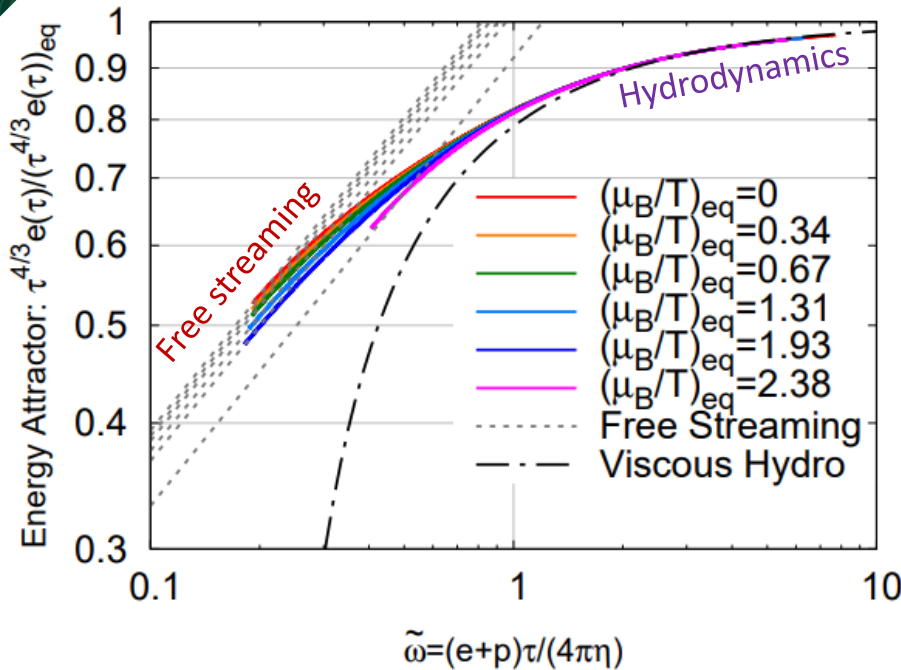
## Pressure attractor

Effective constitutive relation from EKT

$$\frac{p_L}{e} = f(\tilde{\omega})$$

Kurkela, Mazeliauskas, PRD99(2019)054018  
 KOMPOST, PRC99(2019)034910  
 XD, Schlichting, PRL127(2021)122301

# Attractor in Hydrodynamization



## Energy attractor

$$\mathcal{E} \left( \tilde{\omega} = \frac{(e+p)\tau}{4\pi\eta} \right) = \frac{\tau^{4/3} e}{(\tau^{4/3} e)_{eq}}$$

Asymptotically

$$\mathcal{E}(\tilde{\omega} \gg 1) \approx 1 - \frac{2}{3\pi\tilde{\omega}}$$

$$\mathcal{E}(\tilde{\omega} \gg 1) \approx C_\infty^{-1} \tilde{\omega}^{4/9}$$

Hydrodynamics

Free streaming

## Universal non-equilibrium attractor

Pre-equilibrium description connects **initial** to **hydro** in HICs

$$(\tau^{4/3} e)_{\tilde{\omega}} = \left( 4\pi \frac{\eta T_{\text{eff}}}{e+p} \right)^{\frac{4}{9}} \left( \frac{\pi^2}{30} v_{\text{eff}} \right)^{\frac{1}{9}} (\tau e)_0^{\frac{8}{9}} C_\infty \mathcal{E}(\tilde{\omega})$$

$$(\tau \Delta n_f)_{\tilde{\omega}} = (\tau \Delta n_f)_0$$



Two-way

Provide input for hydrodynamics  
Learn the **past** !(pre-eq, initial)

Giacalone, Mazeliauskas, Schlichting PRL123(2019)262301  
XD, Schlichting, PRL127(2021)122301

# Pre-equilibrium QGP trajectory

Fix the final equilibrium quantities

From EKT: entropy

$$(\tau S)_{eq} = \frac{\tau(e + p - \sum_f \mu_f \Delta n_f)}{T}$$

From data: charged particle multiplicity

$$\frac{dN_{ch}}{d\eta} = \frac{N_{ch}}{JS} (\tau S)_{eq} S_T \approx 0.12 (\tau S)_{eq} S_T$$

Learn the pre-equilibrium QGP

1. Apply non-equilibrium attractor

$$(\tau^{4/3} e)_{\tilde{\omega}} = \mathcal{E}(\tilde{\omega}) (\tau^{4/3} e)_{eq}$$

$$(\tau \Delta n_f)_{\tilde{\omega}} = (\tau \Delta n_f)_{eq}$$

2. Define effective  $T$  and  $\mu_B$  (Landau matching)

**Non-equilibrium QGP trajectory**

(at large baryon density)

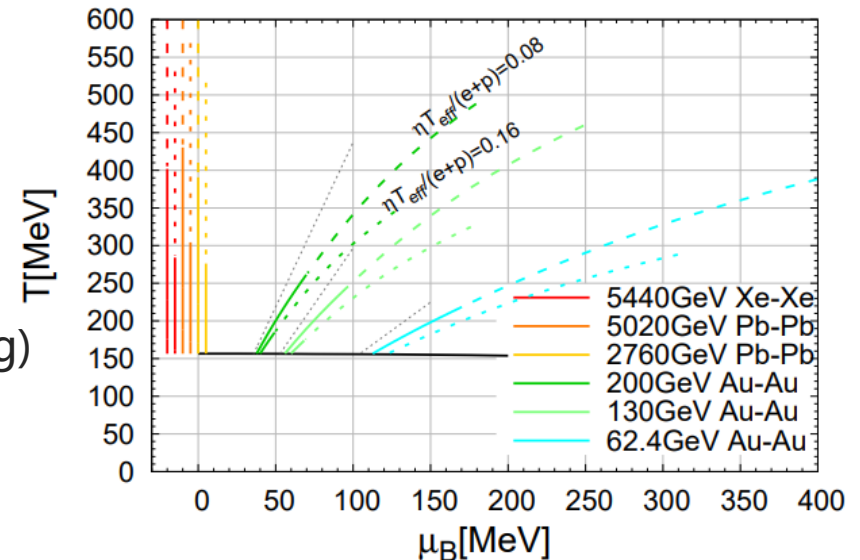
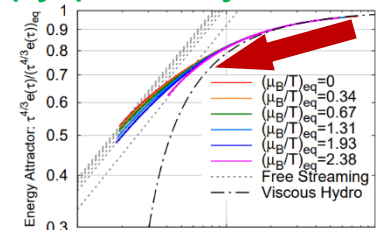
XD, Schlichting, PRL127(2021)122301

From EKT: net baryon number

$$\Delta n_B = \frac{1}{3} \Delta n_u + \frac{1}{3} \Delta n_d$$

From data: entropy per baryon

$$\frac{S}{N_B} = \left( \frac{\tau S}{\tau \Delta n_B} \right)_{eq}$$



# Inferring the initial state

Push attractor further to initial state

$$\frac{dN_{ch}}{d\eta} = \frac{1}{J} \frac{4}{3} C_{\infty}^{3/4} \left(4\pi \frac{\eta}{s}\right)^{1/3} \left(\frac{\pi^2}{30} v_{\text{eff}}\right)^{1/3} \frac{N_{ch}}{S} \int d^2b (\tau e)_0^{2/3}$$

with equation of state  $e \approx 3p$

Model the initial state distribution

An energy deposition model:  $k_T$ -factorized Color Glass Condensate (CGC) form with Golec-Biernat Wusthoff (GBW) gluon distribution

$$(\tau e)_0 = \frac{(N_c^2 - 1)}{4g^2 N_c \sqrt{\pi}} \frac{Q_A^2 Q_B^2}{(Q_A^2 + Q_B^2)^{5/2}} (2Q_A^4 + 7Q_A^2 Q_B^2 + 2Q_B^4) \quad Q_{A/B}^2(x, b) \sim (Q_{s,0}, \lambda, \eta/s)$$

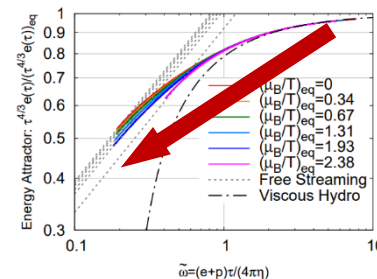
parameters ( $x$ )  $\leftrightarrow$  (CGC+EKT)  $\leftrightarrow$  observables ( $y_{\text{exp}}$ )

Bayes' theorem and Bayesian Inference

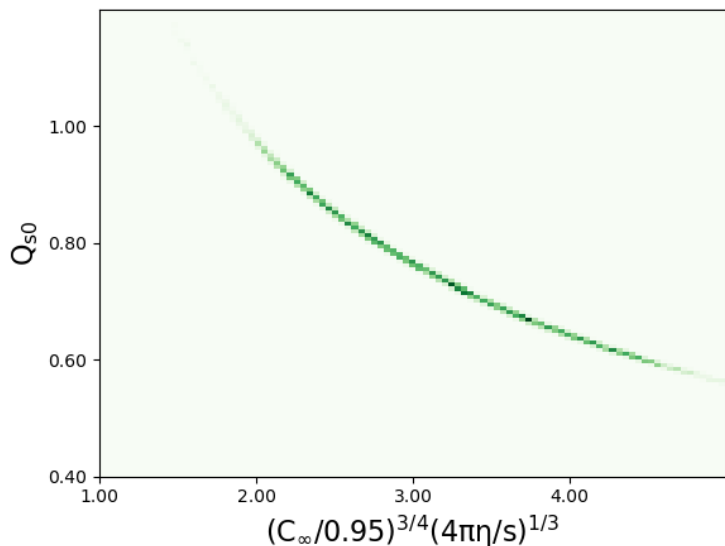
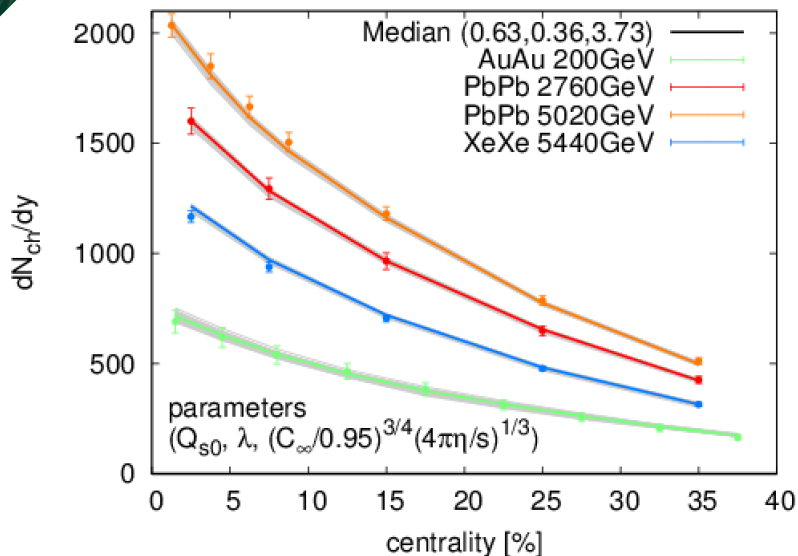
We want to construct the initial distribution by constraining **parameters** from **observables**. Find **probability of parameters** using **Bayesian inference** updating the **likelihood function** from model simulation

$$P(x|y_{\text{exp}}) = \frac{P(y_{\text{exp}}|x)P(x)}{P(y_{\text{exp}})}$$

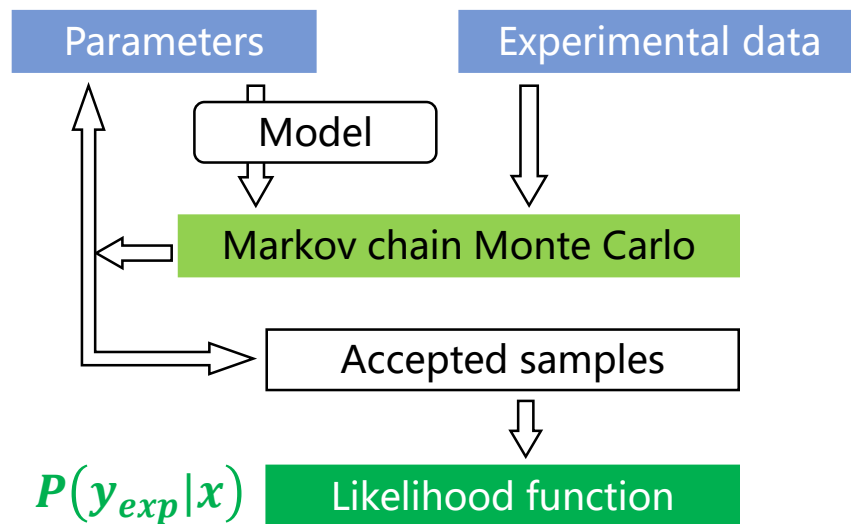
XD, Hoffmann, Schlichting, in progress



# Inferring the initial state



## Bayesian Inference



## Fitting and correlations

Can find best fit parameters

Can find correlations between parameters

Further constrain initial distribution

XD, Hoffmann, Schlichting, in progress



# Pre-equilibrium di-lepton production

Coquet, XD, Ollitrault, Schlichting, Winn, PLB821(2021)136626

## Electromagnetic probes

Produced through-out HICs, not interacted with QGP, photon, di-lepton

Di-lepton production proportional to  $\exp(-M/T)$ , important at early stage of HICs

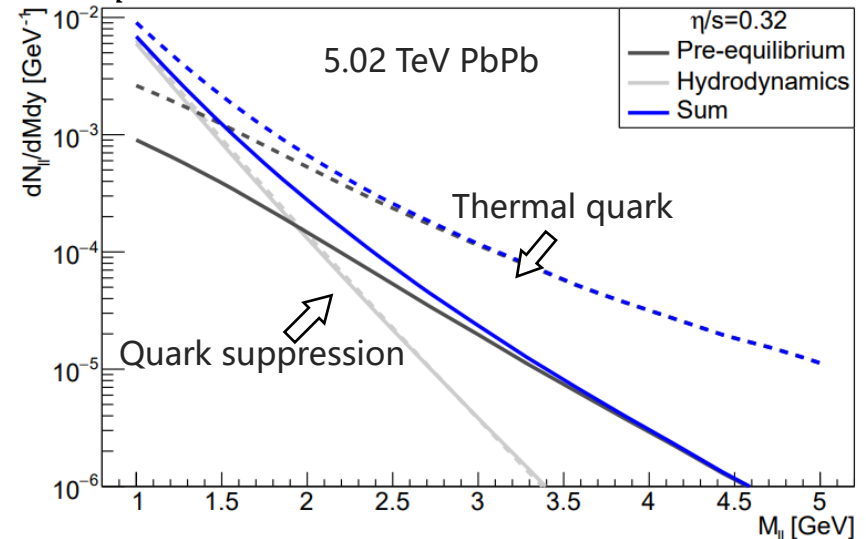
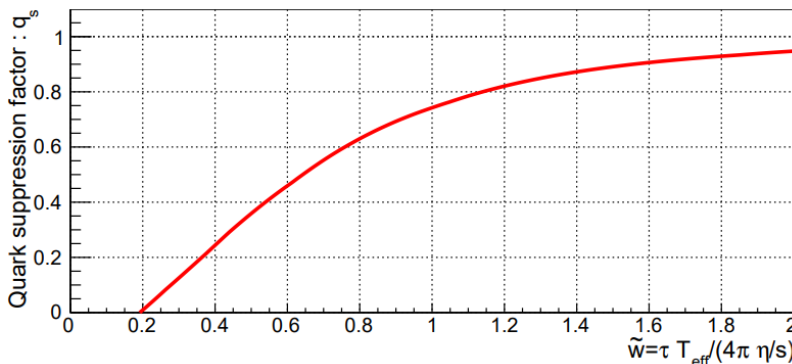
$$\frac{dN^{l+l-}}{d^4x d^4K} = \int \frac{d^3p_1}{(2\pi)^3} \frac{d^3p_2}{(2\pi)^3} 4N_c \sum_f f_q(x, p_1) f_{\bar{q}}(x, p_2) v_{q\bar{q}} \sigma_{q\bar{q}}^{l+l-} \delta^{(4)}(K - P_1 - P_2)$$

## Pre-equilibrium quark suppression

- Thermal di-lepton production:  $f_{q/\bar{q}}(x, p)$  Fermi-Dirac distribution
- Pre-equilibrium di-lepton production:  $q(\tau) = \frac{e_g^{eq} e_q(\tilde{\omega})}{e_q^{eq} e_g(\tilde{\omega})}$

## Chemical equilibration of QGP

Quark abundance increases  
(YM plasma  $\rightarrow$  QCD plasma)





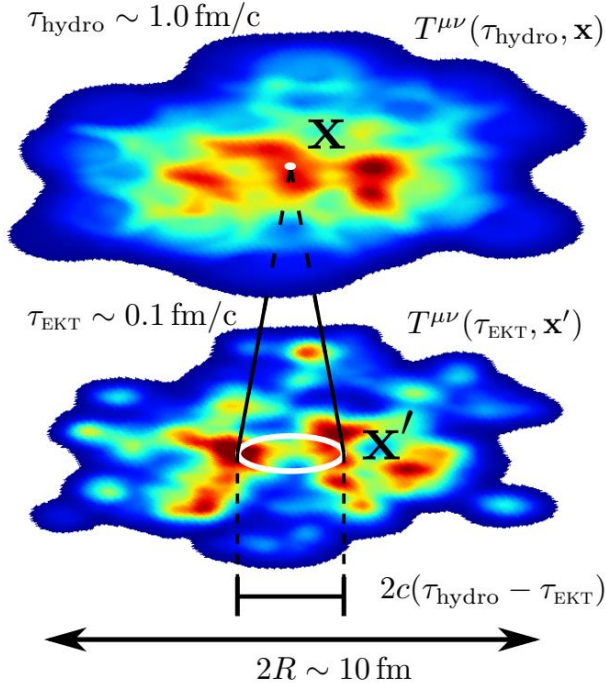
# **Space-time fluctuation**

Towards a complete picture of pre-equilibrium stage in HICs

# Linearized Effective Kinetic Theory

## Linearized Effective Kinetic Theory

For spatial inhomogeneous and momentum anisotropic evolution



$$T^{\mu\nu}(\tau_{\text{EKT}}, \mathbf{x}') = \boxed{\bar{T}_{\mathbf{x}}^{\mu\nu}(\tau_{\text{EKT}})} + \boxed{\delta T_{\mathbf{x}}^{\mu\nu}(\tau_{\text{EKT}}, \mathbf{x}')}$$

Background

Perturbation

Effective kinetic theory (EKT)

Linearized EKT

KøMPøST framework:

Kurkela, Mazeliauskas, Paquet, Schlichting, Teaney,  
PRL122 (2019) 12, 122302, PRC99 (2019) 3, 034910

## Linear response/Green's function

Propagate energy-momentum fluctuation in both position and momentum space (with FFT)

$$\delta T_{\mathbf{x}}^{\mu\nu}(\tau_{\text{hydro}}, \mathbf{x}) = \int d^2\mathbf{x}' G_{\alpha\beta}^{\mu\nu}(\mathbf{x}, \mathbf{x}', \tau_{\text{hydro}}, \tau_{\text{EKT}}) \delta T_{\mathbf{x}'}^{\alpha\beta}(\tau_{\text{EKT}}, \mathbf{x}') \frac{\bar{T}_{\mathbf{x}}^{\tau\tau}(\tau_{\text{hydro}})}{\bar{T}_{\mathbf{x}}^{\tau\tau}(\tau_{\text{EKT}})}$$

Extended from RTA: Kamata, Martinez, Plaschke, Ochsenfeld, Schlichting, PRD102(2020)056003

Extended from nonlinear Boltzmann: XD, Schlichting, in progress (both Yang-Mills and QCD)

# Response functions

## Response function in Fourier space

$$G_{\alpha\beta}^{\mu\nu}(\mathbf{x} - \mathbf{x}_0, \tau, \tau_0) = \int \frac{d^2\mathbf{k}}{(2\pi)^2} \tilde{G}_{\alpha\beta}^{\mu\nu}(\mathbf{k}, \tau, \tau_0) e^{i\mathbf{k}\cdot(\mathbf{x}-\mathbf{x}_0)}$$

## Energy perturbation

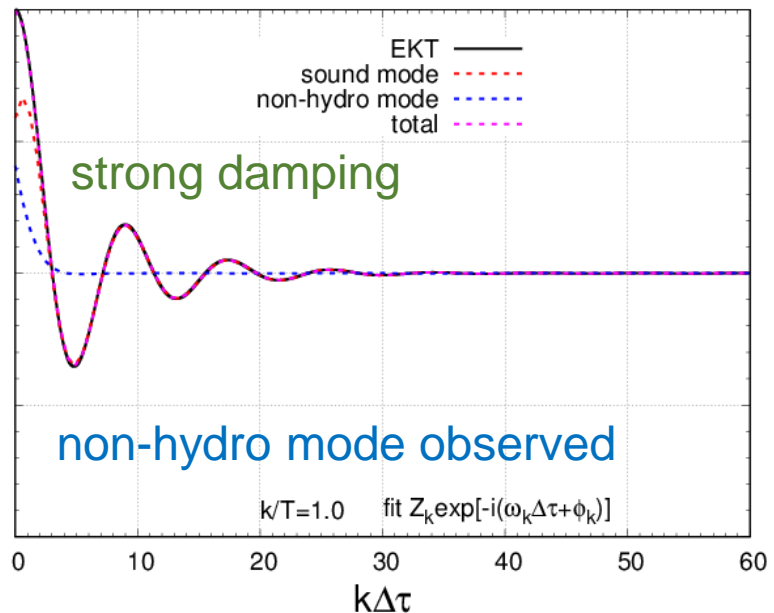
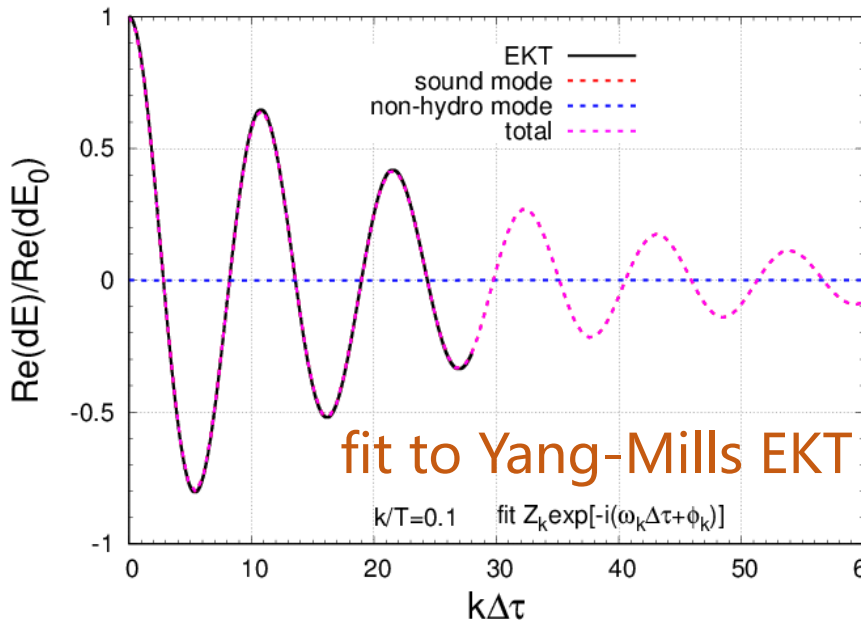
Simple relation to calculate response function

$$\delta T_{\mathbf{k},(s)}^{\mu\nu}(\tau, \mathbf{k}) = \frac{T^{\tau\tau}(\tau)}{T^{\tau\tau}(\tau_0)} \tilde{G}_{\alpha\beta}^{\mu\nu}(\mathbf{k}, \tau, \tau_0) \delta T_{\mathbf{k},(s)}^{\mu\nu}(\tau_0, \mathbf{k})$$

## Initial conditions

$$f_a(\tau_0, \vec{p}) = \frac{1}{e^{p/T} - 1}$$

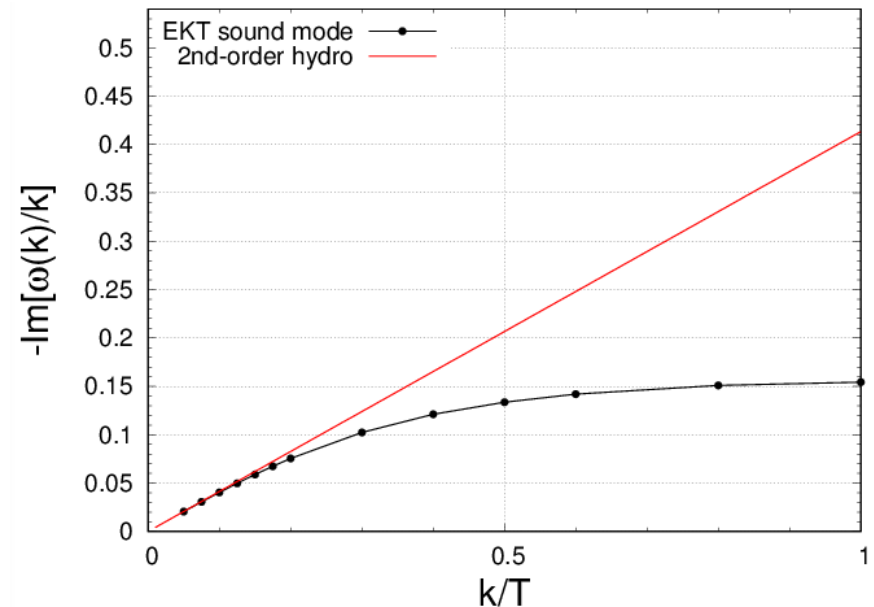
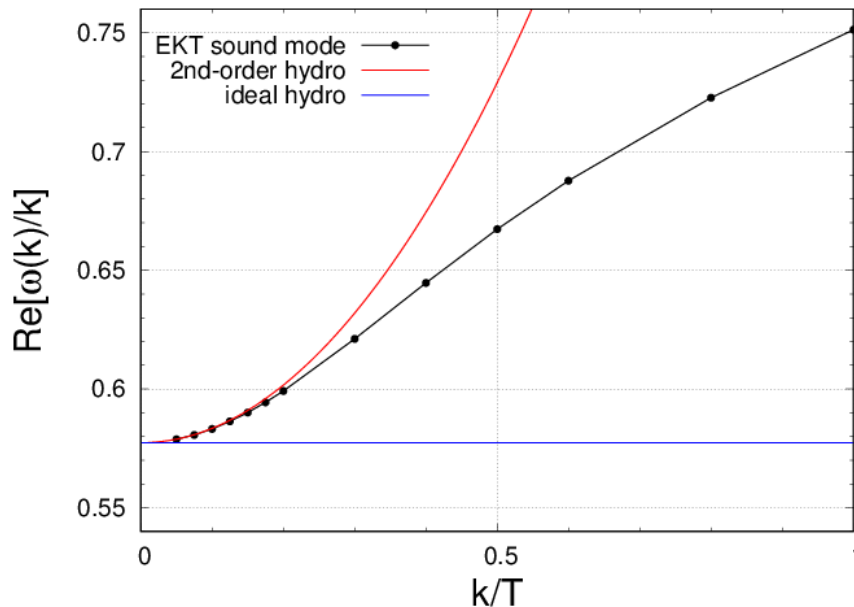
$$\delta f_{\mathbf{k},a}(\tau_0, \vec{p}) = -\frac{\delta T}{T} p \partial_p f_a(\tau_0, \vec{p})$$



# Comparing to hydrodynamics

## Dispersion relations in Yang-Mills EKT

For different k-wave modes



## Compare to 2<sup>nd</sup>-order hydrodynamic

For different k-wave modes, 2<sup>nd</sup>-order hydro has

$$\omega_{1,2} = \pm c_s k - i\Gamma k^2 \pm \frac{\Gamma}{c_s} \left( c_s^2 \tau_{\Pi} - \frac{\Gamma}{2} \right) k^3 + \mathcal{O}(k^4), \quad \Gamma = \frac{d-2}{d-1} \frac{\eta}{\varepsilon + P}$$

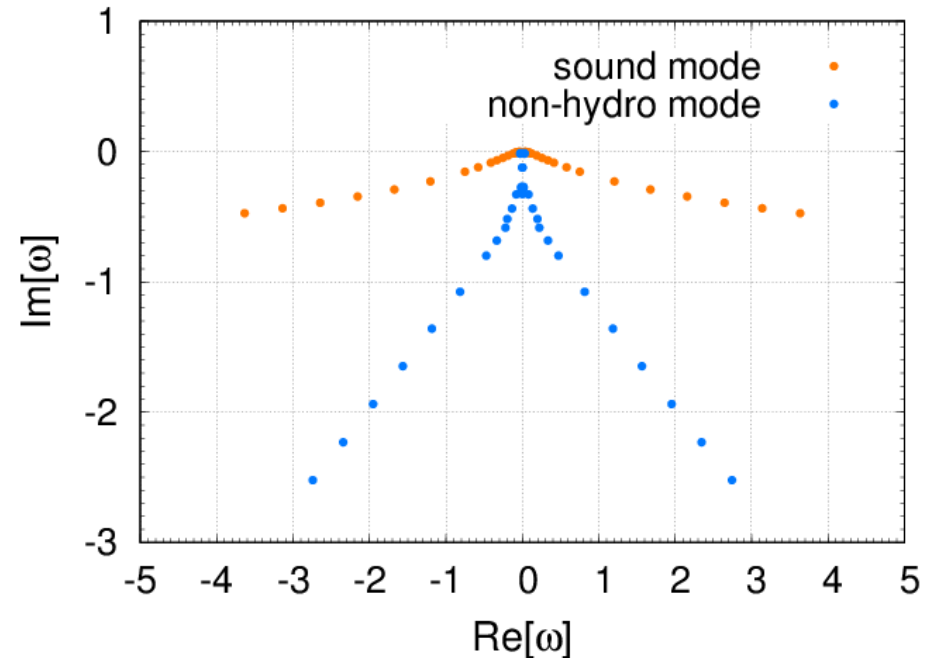
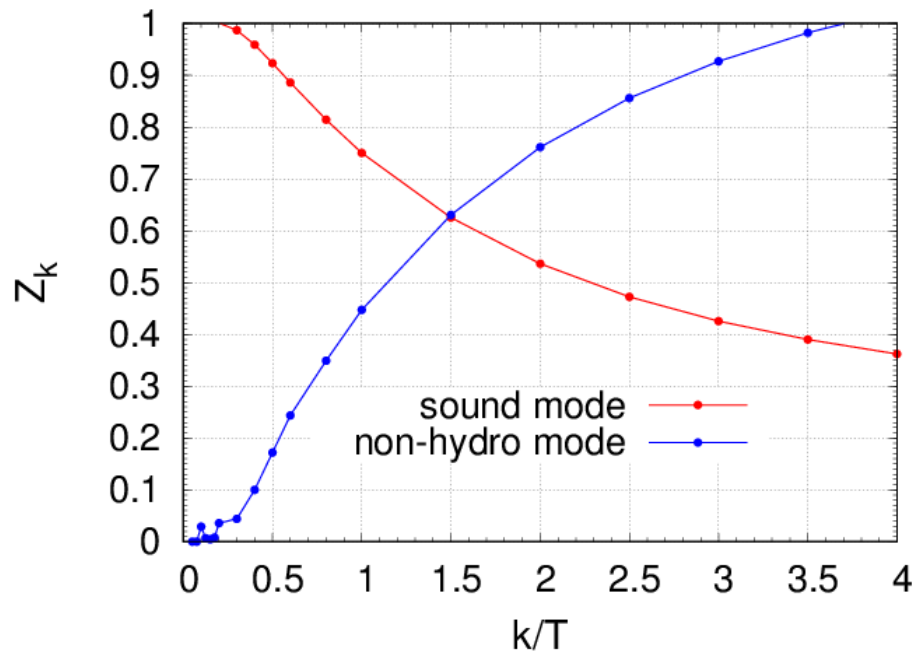
Baier, Romatschke, Son, Starinets, Stephanov, JHEP04(2008)100

Keegan, Kurkela, Mazeliauskas, Teaney, JHEP08(2016)171

# Non-hydrodynamic modes

## Residue and poles in the complex plane

Pre-equilibrium Yang-Mills plasma described by a **sound mode** + a **non-hydro mode**



More discussion:

RTA: Romatschke, EPJC76(2016)352, Kurkela, Wiedeman, EPJC79(2019)776

AdS/CFT: Buchel, Heller, Noronha, PRD94(2016)106011



# Conclusions

From theory to practice



# Conclusions

- **Pre-equilibrium QCD plasmas**
  - QCD effective kinetic theory numerical solver at finite density
- **Turbulence in pre-equilibrium QCD plasmas**
  - Self-similar scaling equilibration
  - Kolmogorov-Zakharov spectrum
  - Bottom-up thermalization
- **Pre-equilibrium QCD plasmas and early stage of HICs**
  - Universal attractor solution and its applications:
  - Pre-equilibrium QGP Trajectory in HICs
  - Inferring the initial state from observables via attractor
  - Pre-equilibrium di-lepton production in HICs
- **Including space-time fluctuation**
  - Extension with linearized EKT (now Yang-Mills)
  - Yang-Mills plasma described by a sound mode+a non-hydro mode
  - Towards a complete pre-eq picture in HIC: Extension to QCD in the future!

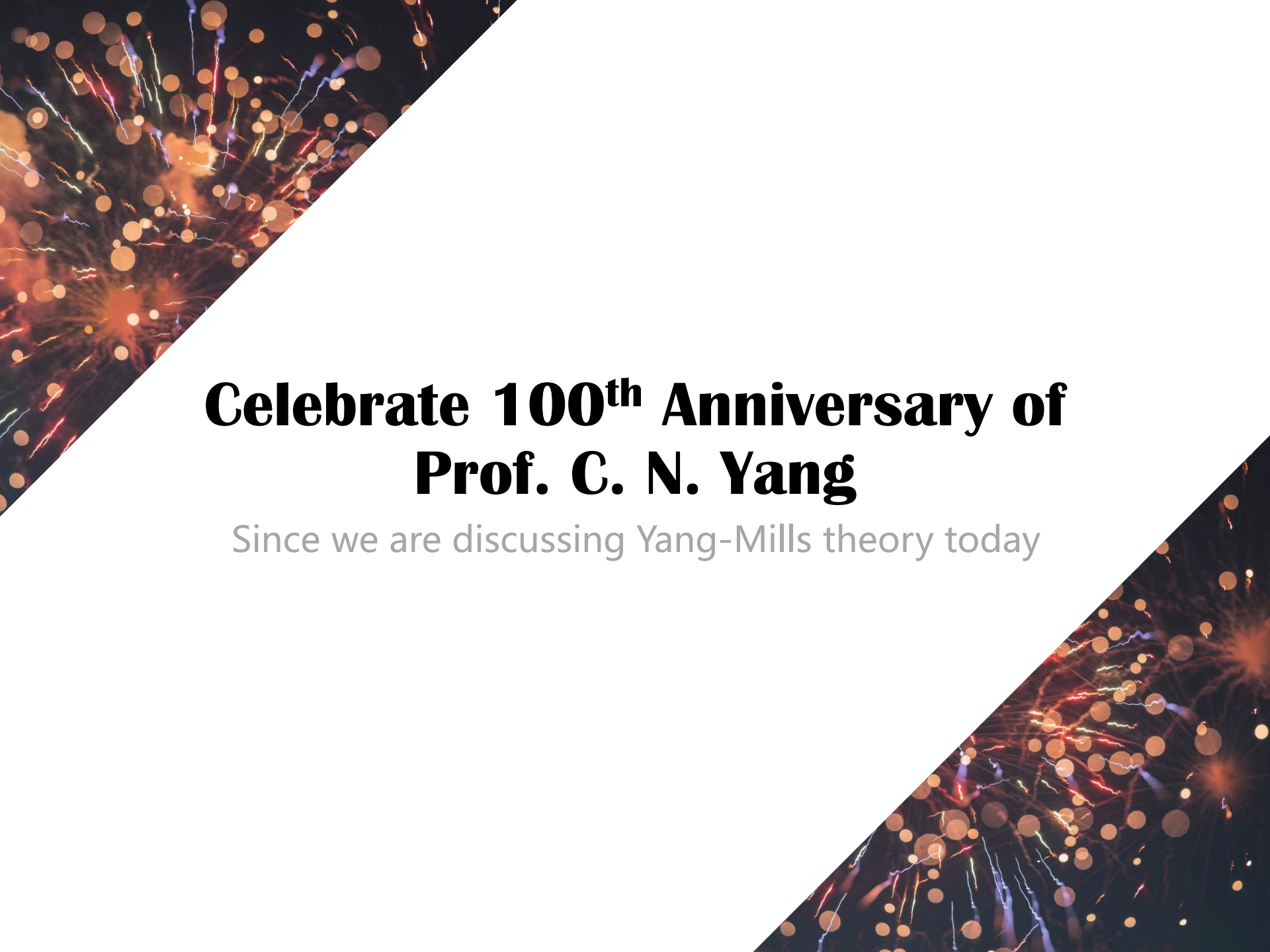
[1] XD, Schlichting, PRL127(2021)122301.

[2] XD, Schlichting, PRD104(2021)054011

[3] Coquet, XD, Ollitrault, Schlichting, Winn, PLB821(2021)136626

[4] Others, in progress...





# **Celebrate 100<sup>th</sup> Anniversary of Prof. C. N. Yang**

Since we are discussing Yang-Mills theory today