

# Beyond the Standard Model

## 2. Neutrino mass models

# Neutrinos have 'BSM' mass

- Neutrinos fit into SM very well, except they are observed to oscillate.

Solar, Atmospheric,  
Accelerator, Reactor

$$P(\nu_\alpha \rightarrow \nu_\beta) = \sin^2 2\theta \sin^2 \left( \frac{\delta m^2 L}{4E} \right)$$



2002



2015

Neutrinos ( $\Gamma_{Z,inv.} : N\nu = 2.98$ , LEP, 1989) mix and carry mass (differences).

$$V = \begin{bmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{bmatrix} \begin{bmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} \end{bmatrix} \begin{bmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} 1 & 0 & 0 \\ 0 & e^{i\phi_2/2} & 0 \\ 0 & 0 & e^{i\phi_3/2} \end{bmatrix}$$

Neutrino mixing  
'PMNS matrix'

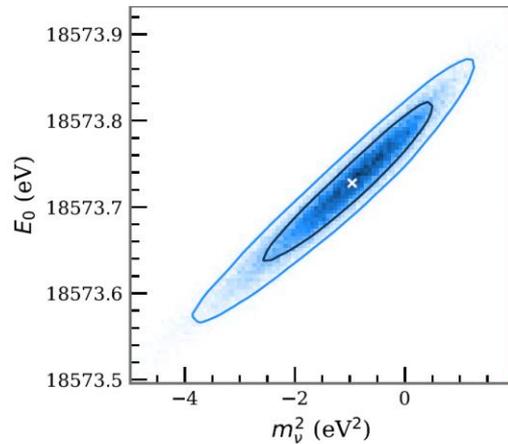
$$\nu_\alpha = \sum V_{\alpha i}^* \nu_i$$

Z. Maki, M. Nakagawa, S. Sakata 62'  
B. Pontecorvo 57'

Current PDG  
on  $\Delta m_{ij}^2$  :

$\sin^2(\theta_{12})$	$0.307 \pm 0.013$
$\Delta m_{21}^2$	$(7.53 \pm 0.18) \times 10^{-5} \text{ eV}^2$
$\sin^2(\theta_{23})$	$0.546 \pm 0.021 \quad \dots$
$\Delta m_{32}^2$	$0.002453 \pm 0.000033 \text{ eV}^2$
$\sin^2(\theta_{13})$	$0.0220 \pm 0.0007$

# Neutrino mass-scale is quite light



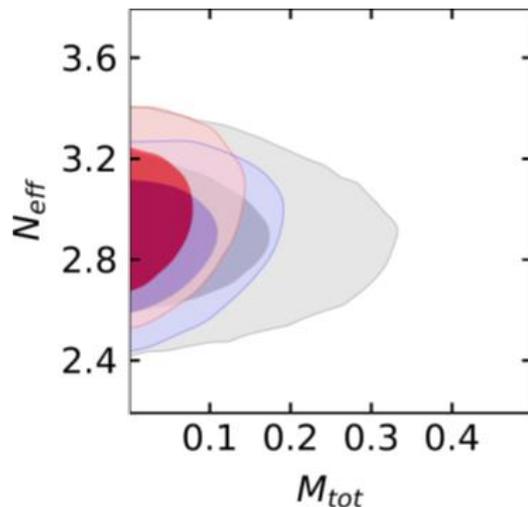
3H beta decay,  ${}^3\text{H} \rightarrow {}^3\text{He}^+ + e^- + \bar{\nu}_e$

$m_\nu < 1.1 \text{ eV}$ , [KATRIN, 2019](#)

$0\nu\beta\beta$  decay, upper limits  
( $\nu$  Majorana mass)

$< 0.075\text{--}0.350 \text{ eV}$ , [CUORE \(2020\)](#)

$< 0.2\text{--}0.433 \text{ eV}$ , [MAJORANA Demo \(2019\)](#)



Cosmological (CMB & BAO),

$\sum m_\nu < 0.16 \text{ eV}$  (95% C.L.).  
[PLANCK & BOSS \(2019\)](#)

# The Weinberg operator

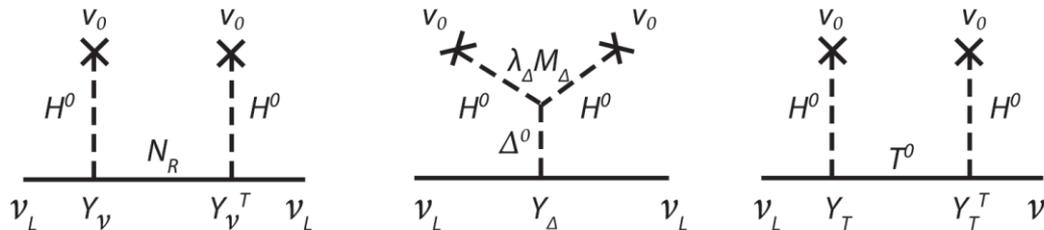
- The SM only has a left-handed neutrino inside the lepton doublet.

$$\left(\frac{\kappa}{\Lambda}\right) L_i L_j H H \quad \text{S. Weinberg, 79'}$$

A (effective) Majorana mass term, SM gauge invariant, with  $\Delta L = 2$

$$m_\nu = \kappa v_0^2 / \Lambda$$

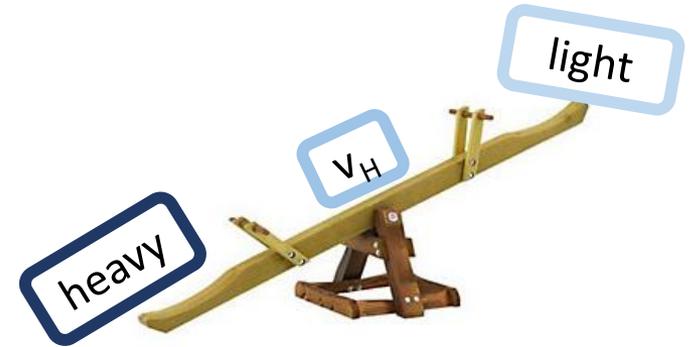
$$m_\nu \lesssim 1 \text{ eV implies that } \Lambda \gtrsim 10^{14-15} \text{ GeV.}$$



Light Maj. mass generation in Type I, II, III seesaw

# 'Seesaw' mechanism

A small 'active neutrino' mass can derive from the mixing with some heavy scale.



$$\begin{array}{c}
 \nu_L \quad \text{New state} \\
 \begin{pmatrix} 0 & m_D \\ m_D & m_R \end{pmatrix}
 \end{array}
 \xrightarrow[\substack{\text{Diagonalization} \\ m_R \gg m_D}]{\text{green arrow}}
 \begin{array}{c}
 \nu_1 \quad \nu_2 \\
 \begin{pmatrix} -\frac{m_D^2}{m_R} & 0 \\ 0 & m_R + O\left(\frac{m_D^2}{m_R}\right) \end{pmatrix}
 \end{array}
 \end{array}$$

$$\text{rotational angle } \theta^2 \sim \frac{m_D^2}{m_R^2} \sim m_{\nu_1} / m_{\nu_2}$$

# Type I: $N_R$ extension to SM

P. Minkowski, 77; T. Yanagida, 79;  
M. Gell-Mann, P. Ramond, and  
R. Slansky, 1979; S. L. Glashow, 1980.

$\Delta L=2$

$$- \mathcal{L}_\nu^I = \bar{l}_L Y_\nu^D \tilde{H} \nu_R + \frac{1}{2} \overline{(\nu^c)_L} M_N \nu_R + \text{h.c.}$$

$$\tilde{H} = i\sigma_2 H^* \quad \nu_R \text{ is SM singlet, } Y^D, M_N \text{ are } 3 \times 3 \text{ matrices}$$

after EWSB  $m_D = Y_\nu^D v_0 / \sqrt{2}$ .

$$- \mathcal{L}_\nu^m = \frac{1}{2} \left( \bar{\nu}_L m_D \nu_R + \overline{(\nu^c)_L} m_D^T (\nu^c)_R + \overline{(\nu^c)_L} M_N \nu_R \right) + \text{h.c.}$$

$$\begin{pmatrix} \nu_L \\ (\nu^c)_L \end{pmatrix} = \mathbb{N} \begin{pmatrix} \nu_L \\ (\nu^c)_L \end{pmatrix}_{\text{mass}}, \quad \mathbb{N} = \begin{pmatrix} U & V \\ V_C & U_C \end{pmatrix}.$$

$$\mathbb{N}^\dagger \begin{pmatrix} 0 & m_D \\ m_D^T & M_N \end{pmatrix} \mathbb{N}^* = \begin{pmatrix} m & 0 \\ 0 & M \end{pmatrix},$$

6x6 mass matrix  
( $3\nu_L$  and  $3\nu_R$ )

$$\mathbb{N} = \begin{pmatrix} U & V \\ V_C & U_C \end{pmatrix}$$

U and V blocks mix the light and heavy states into weak gauge eigenstates

Diagonalization (by 3x3 blocks)

$$\begin{aligned} V_C^\dagger m_D^T U^* + U^\dagger m_D V_C^* + V_C^\dagger M_N V_C^* &= m, & m &\approx \frac{m_D^2}{M_N}, & M &\approx M_N \\ U_C^\dagger m_D^T V^* + V^\dagger m_D U_C^* + U_C^\dagger M_N U_C^* &= M, & U^2 &\approx \mathcal{O}(1), & V^2 &\approx \frac{m}{M} \\ V_C^\dagger m_D^T V^* + U^\dagger m_D U_C^* + V_C^\dagger M_N U_C^* &= 0, \end{aligned}$$

Unitarity condition

$$\begin{aligned} UU^\dagger + VV^\dagger &= U^\dagger U + V_C^\dagger V_C = V_C V_C^\dagger + U_C U_C^\dagger = V^\dagger V + U_C^\dagger U_C = I, \\ UV_C^\dagger + VU_C^\dagger &= U^\dagger V + V_C^\dagger U_C = 0. \end{aligned}$$

see: [Atre, Han, Pascoli, Zhang, 0901.3589](#)

Neutrino to lepton transition via weak charged current interaction (Wlv)

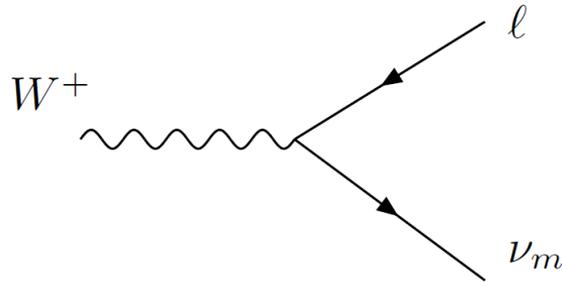
(E diagonalizes the charged lepton mass)

$$E^\dagger U \equiv V_{PMNS}, \quad E^\dagger V \equiv V_{\ell N},$$

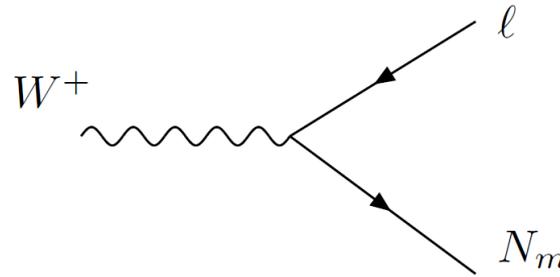
$$V_{PMNS} V_{PMNS}^\dagger + V_{\ell N} V_{\ell N}^\dagger = I,$$

$$V_{\ell N}^* M V_{\ell N}^\dagger = -V_{PMNS}^* m V_{PMNS}^\dagger$$

Weak charged current vertices:



$$-i \frac{g}{\sqrt{2}} U_{\ell m}^* \gamma^\mu P_L$$



$$-i \frac{g}{\sqrt{2}} V_{\ell m}^* \gamma^\mu P_L$$

Heavy neutrino (mass eigenstate) talks weakly via its  $\nu_L$  component

'Casas-Ibarra ansatz', [hep-ph/0103065](https://arxiv.org/abs/hep-ph/0103065)

$$V_{\ell N} = V_{PMNS} m^{1/2} \Omega M^{-1/2}, \quad \Omega^T \Omega = 1$$

$$\Omega(w_{21}, w_{31}, w_{32}) = R_{12}(w_{21}) R_{13}(w_{31}) R_{23}(w_{32})$$

$$R_{12} = \begin{pmatrix} u_{21} & -w_{21} & 0 \\ w_{21} & u_{21} & 0 \\ 0 & 0 & 1 \end{pmatrix}, \quad R_{13} = \begin{pmatrix} u_{31} & 0 & -w_{31} \\ 0 & 1 & 0 \\ w_{31} & 0 & u_{31} \end{pmatrix}, \quad R_{23} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & u_{32} & -w_{32} \\ 0 & w_{32} & u_{32} \end{pmatrix}$$

# Type II: triplet scalar extension

W. Konetschny, W. Kummer, 77'; T. P. Cheng, L. F. Li, 80';  
G. Lazarides, Q. Shafi, C. Wetterich, 81'; J. Schechter,  
J. Valle, 80'; R. N. Mohapatra and G. Senjanovic, 81'

Type II seesaw Lagrangian

$$\mathcal{L}_{\text{TypeII}} = (D_\mu H)^\dagger (D^\mu H) + \text{Tr}(D_\mu \Delta)^\dagger (D^\mu \Delta) - V(H, \Delta) \\ - Y_\nu l_L^T C i\sigma_2 \Delta l_L + \text{h.c.}$$

$$\Delta = \begin{pmatrix} \delta^+/\sqrt{2} & \delta^{++} \\ \delta^0 & -\delta^+/\sqrt{2} \end{pmatrix}$$

$\Delta$ : in adjoint rep. of  $SU(2)_L$   
(1, 3, 1) under  $SU(3) \times SU(2)_L \times U(1)_Y$

The scalar potential

$$V(H, \Delta) = -m_H^2 H^\dagger H + \frac{\lambda}{4} (H^\dagger H)^2 + M_\Delta^2 \text{Tr} \Delta^\dagger \Delta + \left( \mu H^T i\sigma_2 \Delta^\dagger H + \text{h.c.} \right) + \\ + \lambda_1 (H^\dagger H) \text{Tr} \Delta^\dagger \Delta + \lambda_2 \left( \text{Tr} \Delta^\dagger \Delta \right)^2 + \lambda_3 \text{Tr} \left( \Delta^\dagger \Delta \right)^2 + \lambda_4 H^\dagger \Delta \Delta^\dagger H.$$

$$V(H, \Delta) = -m_H^2 H^\dagger H + \frac{\lambda}{4} (H^\dagger H)^2 + M_\Delta^2 \text{Tr} \Delta^\dagger \Delta + \left( \mu H^T i\sigma_2 \Delta^\dagger H + h.c. \right) +$$
~~$$+ \lambda_1 (H^\dagger H) \text{Tr} \Delta^\dagger \Delta + \lambda_2 \left( \text{Tr} \Delta^\dagger \Delta \right)^2 + \lambda_3 \text{Tr} \left( \Delta^\dagger \Delta \right)^2 + \lambda_4 H^\dagger \Delta \Delta^\dagger H.$$~~

assuming  $\Delta$  heavy  $M_\Delta > v_H$  and ignore  $\lambda$  terms, minimization (from 1<sup>st</sup> line) gives

$$-m_H^2 + \frac{\lambda}{4} v_0^2 - \sqrt{2} \mu v_\Delta = 0,$$

$$v_\Delta = \frac{\mu v_0^2}{\sqrt{2} M_\Delta^2} \quad v_0^2 + v_\Delta^2 \approx (246 \text{ GeV})^2$$

The neutrino gets a Maj. mass with  $\Delta$  vev,

$$M_\nu = \sqrt{2} Y_\nu v_\Delta = Y_\nu \frac{\mu v_0^2}{M_\Delta^2}$$

proportional to  $\mu$   
(which breaks L#)

In type II seesaw: no heavy neutrino. 7 scalars after EWSB, 6 are heavy

$$H_1 = \cos \theta_0 h^0 + \sin \theta_0 \Delta^0, \quad H_2 = -\sin \theta_0 h^0 + \cos \theta_0 \Delta^0,$$

$$A = -\sin \alpha \xi^0 + \cos \alpha \eta^0,$$

$$H^\pm = -\sin \theta_\pm \phi^\pm + \cos \theta_\pm \delta^\pm$$

$$H^{\pm\pm} = \delta^{\pm\pm}$$

$$\theta_0 \approx \frac{2v_\Delta}{v_0}$$

$$\alpha \approx \frac{2v_\Delta}{v_0}$$

$$\theta_\pm \approx \frac{\sqrt{2}v_\Delta}{v_0}$$

'seesaw':  
scale suppression  
among scalar  
mixings

$$M_{H_2} \simeq M_A \simeq M_{H^\pm} \simeq M_{H^{++}} = M_\Delta$$

# Type III: triplet fermion extension

$\Sigma$ : triplet fermion, (3, 0) under SM  $SU(2)_L \times U(1)_Y$ .

R. Foot, H. Lew, X. G. He and G. C. Joshi, 89'

$$\Sigma_L = \Sigma_L^a \sigma^a = \begin{pmatrix} \Sigma_L^0/\sqrt{2} & \Sigma_L^+ \\ \Sigma_L^- & -\Sigma_L^0/\sqrt{2} \end{pmatrix}, \quad \Sigma_L^\pm \equiv \frac{\Sigma_L^1 \mp i\Sigma_L^2}{\sqrt{2}}, \quad \Sigma_L^0 = \Sigma_L^3,$$

(RH) charge conjugate form

$$\Sigma_R^c = \begin{pmatrix} \Sigma_R^{0c}/\sqrt{2} & \Sigma_R^{-c} \\ \Sigma^{+c} & -\Sigma_R^{0c}/\sqrt{2} \end{pmatrix} \quad \psi_R^c \equiv (\psi^c)_R = (\psi_L)^c$$

The type-III Lagrangian

$$\begin{aligned} \mathcal{L}_T = & \frac{1}{2} \text{Tr} [\overline{\Sigma}_L i \not{D} \Sigma_L] - \left( \frac{M_\Sigma}{2} \overline{\Sigma}_L^0 \Sigma_R^{0c} + M_\Sigma \overline{\Sigma}_L^- \Sigma_R^{+c} + \text{H.c.} \right) \\ & - Y_\Sigma \overline{L} \Sigma_R^c i\sigma^2 H^* + \text{H.c.} \end{aligned}$$

mass terms ( $v_0$  as Higgs vev)

$$\mathcal{L}_{\text{III}}^m = - \left( \overline{l_R} \ \overline{\Psi_R} \right) \begin{pmatrix} m_l & 0 \\ Y_\Sigma v_0 & M_\Sigma \end{pmatrix} \begin{pmatrix} l_L \\ \Psi_L \end{pmatrix} \quad \Psi_L \equiv \Sigma_L^-, \ \Psi_R \equiv \Sigma_L^{+c},$$

$$- \left( \overline{\nu_L^c} \ \overline{\Sigma_L^{0c}} \right) \begin{pmatrix} 0 & Y_\Sigma^T v_0 / 2\sqrt{2} \\ Y_\Sigma v_0 / 2\sqrt{2} & M_\Sigma / 2 \end{pmatrix} \begin{pmatrix} \nu_L \\ \Sigma_L^0 \end{pmatrix} + \text{H.c.}$$

diagonalize neutral & charged mass matrix

$$U_0^\dagger \begin{pmatrix} 0 & Y_\Sigma^\dagger v_0 / \sqrt{2} \\ Y_\Sigma^* v_0 / \sqrt{2} & M_\Sigma^* \end{pmatrix} U_0^* = \begin{pmatrix} m_\nu^{diag} & 0 \\ 0 & M_N^{diag} \end{pmatrix} \quad \text{seesaw occurs} \\ \text{between } Y_\Sigma v_0 \text{ and } M_\Sigma$$

$$U_L^\dagger \begin{pmatrix} m_l^\dagger & Y_\Sigma^\dagger v_0 \\ 0 & M_\Sigma^\dagger \end{pmatrix} U_R = \begin{pmatrix} m_l^{diag} & 0 \\ 0 & M_E^{diag} \end{pmatrix}$$

via mixing matrices

$$\begin{pmatrix} l_{L,R} \\ \Psi_{L,R} \end{pmatrix} = U_{L,R} \begin{pmatrix} l_{mL,R} \\ \Psi_{mL,R} \end{pmatrix}, \quad \begin{pmatrix} \nu_L \\ \Sigma_L^0 \end{pmatrix} = U_0 \begin{pmatrix} \nu_{mL} \\ \Sigma_{mL}^0 \end{pmatrix},$$

$$U_L \equiv \begin{pmatrix} U_{Lll} & U_{Ll\Psi} \\ U_{L\Psi l} & U_{L\Psi\Psi} \end{pmatrix}, \quad U_R \equiv \begin{pmatrix} U_{Rll} & U_{Rl\Psi} \\ U_{R\Psi l} & U_{R\Psi\Psi} \end{pmatrix}, \quad U_0 \equiv \begin{pmatrix} U_{0\nu\nu} & U_{0\nu\Sigma} \\ U_{0\Sigma\nu} & U_{0\Sigma\Sigma} \end{pmatrix},$$

light and heavy neutrino masses:

$$m_\nu \approx \frac{Y_\Sigma^2 v_0^2}{2M_\Sigma}, \quad M_N \approx M_\Sigma$$

+ heavy charged leptons (mix with SM leptons)

light leptons gets mass correction  $\sim O\left(\frac{Y_\Sigma^2 v_0^2}{2M_\Sigma^2}\right)$ , heavy leptons  $M_E \approx M_\Sigma$

Heavy neutrino obtain an effective coupling to W (and Z, h by equivalence th.)

$$V_{\ell N} = -Y_\Sigma^\dagger v_0 M_\Sigma^{-1} / \sqrt{2}.$$

Heavy neutrino decay width

$$\Gamma(N \rightarrow lW) = \frac{G_F}{4\sqrt{2}\pi} \sum_l |V_{lN}|^2 M_\Sigma^3$$

+  $N \rightarrow \nu Z/h$  decays

# Hybrid models

- Type I + II

$$M_\nu^{light} = M_L - M_D M_R^{-1} M_D^T.$$

negative sign between type II ( $M_L$ ) and type I contribution

Phenomenology studies,  
see hep-ph/0504181,  
hep-ph/0609046,  
0709.1069, 0907.0935

- Left-right symmetric model (LRSM)

Pati, Salam, 74';  
Mohapatra, Pati, 75'

An  $SU(2)_L \times SU(2)_R \times U(1)_{B-L}$  model, features LR symmetry

One bi-doublet  $(2_L, 2_R, 0)$   
Two triplet scalars  $(3_L, 1, 2), (1, 3_R, 2)$

$$\Phi = \begin{pmatrix} \phi_1^0 & \phi_2^+ \\ \phi_1^- & \phi_2^0 \end{pmatrix}, \quad \Delta_{L,R} = \begin{pmatrix} \delta^+/\sqrt{2} & \delta^{++} \\ \delta^0 & -\delta^+/\sqrt{2} \end{pmatrix}_{L,R}$$

$$\langle \Delta_R \rangle = \sqrt{1/2} \begin{pmatrix} 0 & 0 \\ v_R & 0 \end{pmatrix} \quad \langle \phi \rangle = \sqrt{1/2} \begin{pmatrix} \kappa & 0 \\ 0 & \kappa' e^{i\alpha} \end{pmatrix} \quad \langle \Delta_L \rangle = \sqrt{1/2} \begin{pmatrix} 0 & 0 \\ v_L e^{i\theta_L} & 0 \end{pmatrix}$$

$v_R$  breaks  $SU(2)_L \times SU(2)_R \times U(1)_{B-L}$   
to  $SU(2)_L \times U(1)_Y$

$v_\phi$  for EWSB  $\rightarrow U(1)_{EM}$

$v_L \leq O(\text{eV})$

# Inverse seesaw

“Majorana ISS”, a  $U(1)_{B-L}$  conserving Lagrangian

$$\mathcal{L}_{\text{Maj}} = Y \bar{L}^c \tilde{H} N + \lambda \bar{S}^c \chi S + M \bar{S}^c N + \text{h.c.} \\ + \lambda' \bar{N}^c \chi^* N + \text{h.c.}$$

New singlet fermions  $N$ ,  $S$  and scalar  $\chi$ ,  $B-L = (1, -1, 2)$

$U(1)$  breaks into  $Z_2$  after  $\chi$  gets a vev  $v_\chi = u$ , Higgs vev =  $v$

Fields	$SU(2)_L \otimes U(1)_Y$	$U(1)_{B-L} \rightarrow Z_2$	Fields	$SU(2)_L \otimes U(1)_Y$	$U(1)_{B-L} \rightarrow Z_2$
$L$	$(\mathbf{2}, -1/2)$	$-1 \rightarrow -1$			
$N$	$(\mathbf{1}, 0)$	$1 \rightarrow -1$	$S$	$(\mathbf{1}, 0)$	$-1 \rightarrow -1$
$H$	$(\mathbf{2}, 1/2)$	$0 \rightarrow 1$	$\chi$	$(\mathbf{1}, 0)$	$2 \rightarrow 1$

### The ISS mass terms

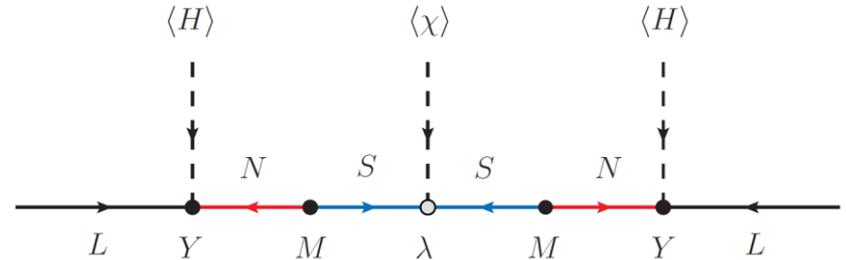
$$\mathcal{L}_m = \begin{pmatrix} \bar{\nu}^c & \bar{N}^c & \bar{S}^c \end{pmatrix} \begin{pmatrix} 0 & Y v & 0 \\ Y^T v & \mu' & M^T \\ 0 & M & \mu \end{pmatrix} \begin{pmatrix} \nu \\ N \\ S \end{pmatrix} \quad \begin{array}{l} \mu = \lambda u \\ \mu' = \lambda' u \end{array}$$

with ISS hierarchy condition  $\mu, \mu' \ll Y v \ll M$

the lightest masses are approx.

$$m_\nu = (Y v \ 0) \begin{pmatrix} \mu' & M^T \\ M & \mu \end{pmatrix}^{-1} \begin{pmatrix} Y^T v \\ 0 \end{pmatrix}$$

$$m_\nu = Y^2 \frac{v^2 \mu}{\mu \mu' - M^2} \rightarrow -Y^2 \frac{v^2 \mu}{M^2}$$



Alternative ISS model:  
(w Dirac term)

$$-\mathcal{L}_\nu = \bar{\ell}_L Y_\nu \tilde{H} N_R + \bar{S}_L Y_S N_R \Phi + \frac{1}{2} \bar{S}_L \mu S_L^c + \text{h.c.}$$

$$M_\nu \approx -M_D M_S^{-1} \mu (M_D M_S^{-1})^T$$

# Radiative $m_\nu$

For review,  
see 1706.08524

A. Zee, 86'; K. S. Babu, 88'

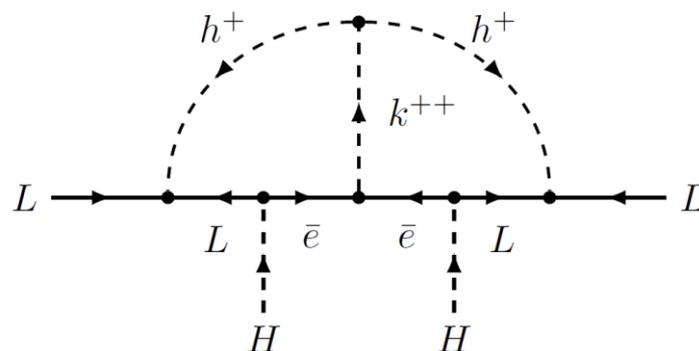
## Zee-Babu Model

$$\Delta\mathcal{L} = \bar{L}Y^\dagger e_R H + \tilde{L}fLh^+ + \overline{e_R^c}g e_R k^{++} + \mu_{ZB}h^+h^+k^{--} + \text{H.c.}$$

$$\tilde{L} \equiv i\tau_2 L^c = i\tau_2 C \bar{L}^T$$

$$|\mu_{ZB}| \ll 4\pi \min(m_h, m_k)$$

Field	Spin	$G_{\text{SM}}$
$h^+$	0	(1, 1, 1)
$k^{++}$	0	(1, 1, 2)



neutrino mass generation at two loop

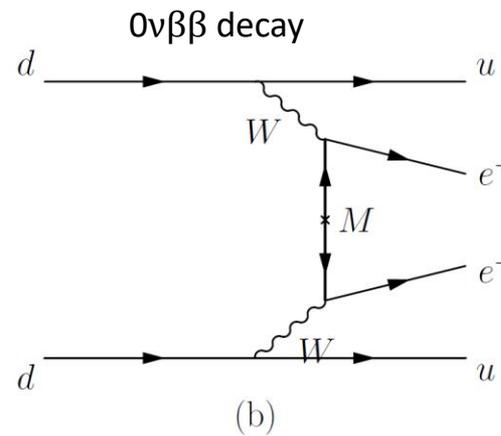
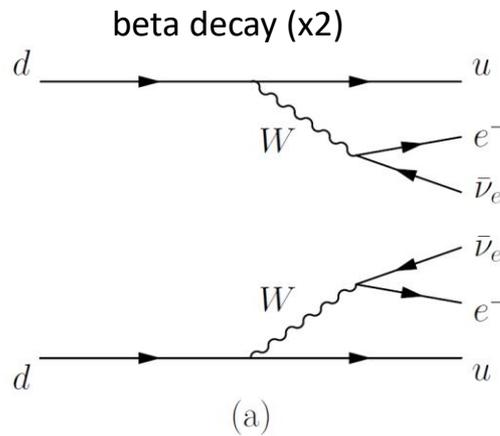
$$\mathcal{M}_\nu \simeq \frac{v^2 \mu_{ZB}}{96\pi^2 M^2} f Y g^\dagger Y^T f^T$$

# Test of seesaw

- (Active) neutrino has Majorana mass?
- Search for BSM fields: massive neutrinos, scalars
- Others?

# Neutrinoless double $\beta$ -decay ( $0\nu\beta\beta$ )

- Require Majorana mass



$$\Gamma_{0\nu 2\beta} \propto G_F^4 |\tilde{M}_{0\nu 2\beta}|^2 \left| \sum_j U_{ej}^2 m_j \right|^2 p_e^2$$

$\tilde{M}$ : nuclear form factor;  $p_e$ : energy scale

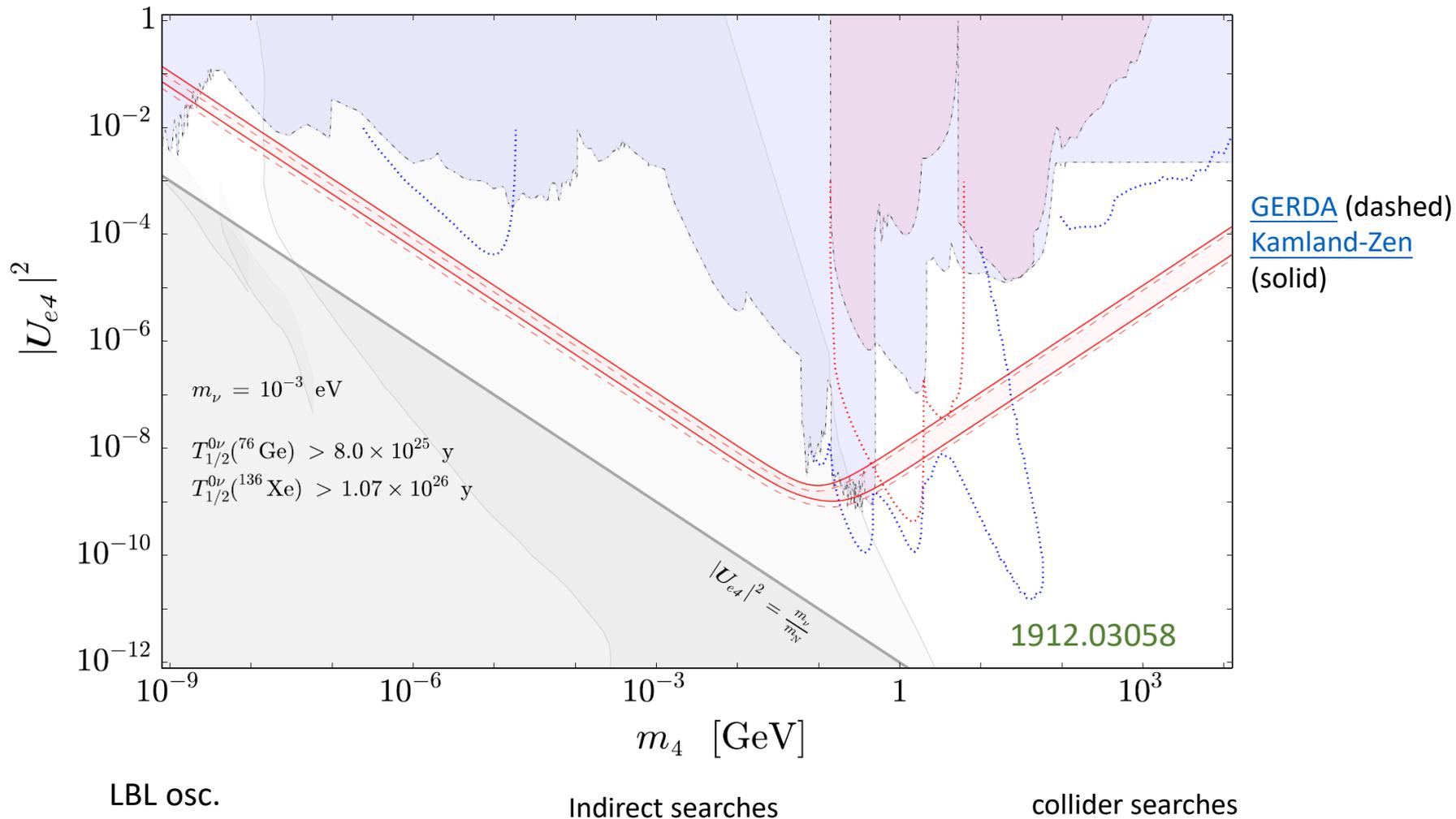
Give limits on the effective mass

$$m_{0\nu 2\beta}^{\text{eff}} \equiv \sum_j U_{ej}^2 m_j$$

[GERDA](#), [Kamland](#),  
[CUORE](#), [MAJORANA](#), [PANDAX](#), etc.

# $0\nu\beta\beta$ also probes a fourth $\nu$

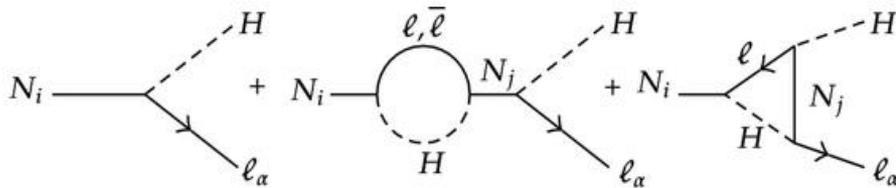
(if  $\nu_4$  has a  $\nu_e$  component, e.g. to explain [LSND anomaly](#), etc.)



# “Leptogenesis”

- If a heavy seesaw neutrino exists, it may satisfy Sakharov conditions

- \* Nonthermal: massive thus decouple;
- \* CP violating phases exist in Yukawas & mass matrices;  
N decay and its CP-conjugate process has slightly different partial width;
- \* Sphalerons communicate L# into B# (while conserving B-L)



CP asymmetry from interference  
between tree & loop diagrams  
(CPV requires more than one species)

$$\epsilon_i = \frac{\Gamma(N_i \rightarrow lH) - \Gamma(N_i \rightarrow \bar{l}H^*)}{\Gamma(N_i \rightarrow lH) + \Gamma(N_i \rightarrow \bar{l}H^*)}$$

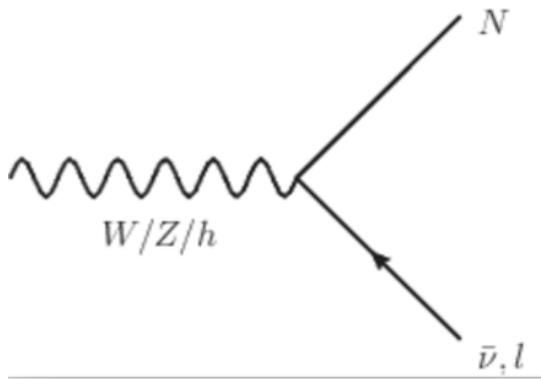
$$\epsilon_i = \frac{1}{8\pi} \sum_{k \neq i} f \left( \frac{|M_k|^2}{|M_i|^2} \right) \frac{\text{Im}[(h^\dagger h)_{ik}^2]}{(h^\dagger h)_{ii}}$$

$$h^\dagger h = \frac{1}{v^2} U_R^\dagger (m_D^{diag})^2 U_R$$

see [hep-ph/0305322](https://arxiv.org/abs/hep-ph/0305322), [hep-ph/9710460](https://arxiv.org/abs/hep-ph/9710460)

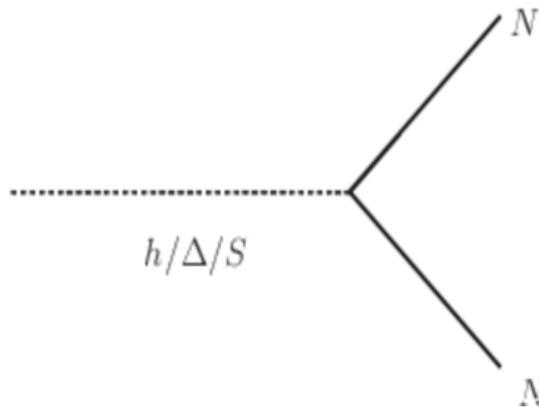
# Collider search for seesaw ( $N_R$ )

- Heavy neutrino search



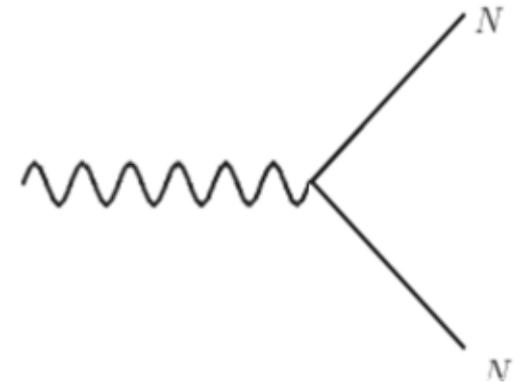
Weak production  
(via  $\nu_L$  mixing)  
effective couplings  $\propto |V_{IN}|^2$

pair prod.  $\propto |V_{IN}|^4$



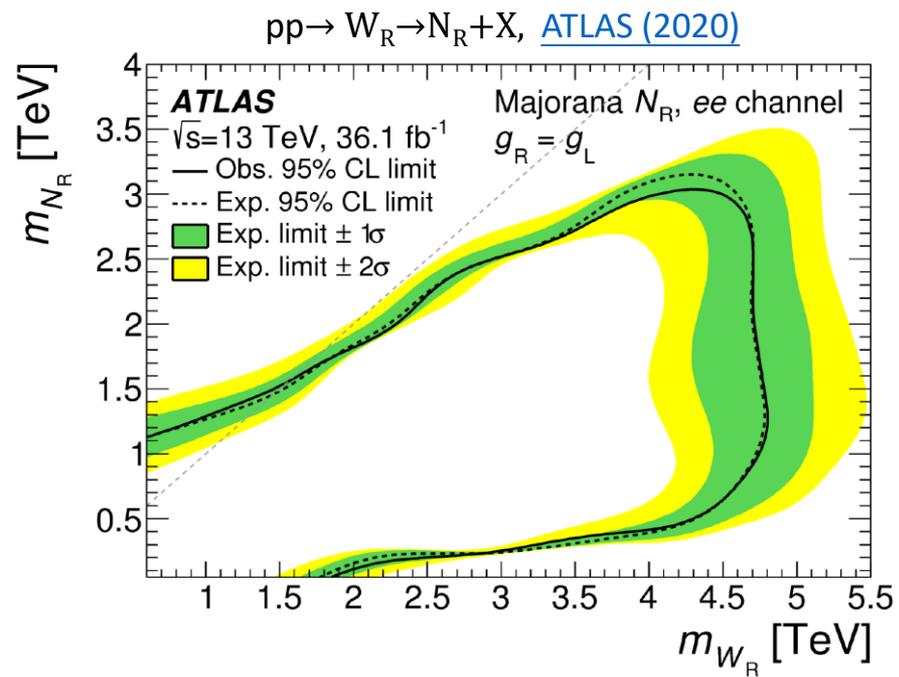
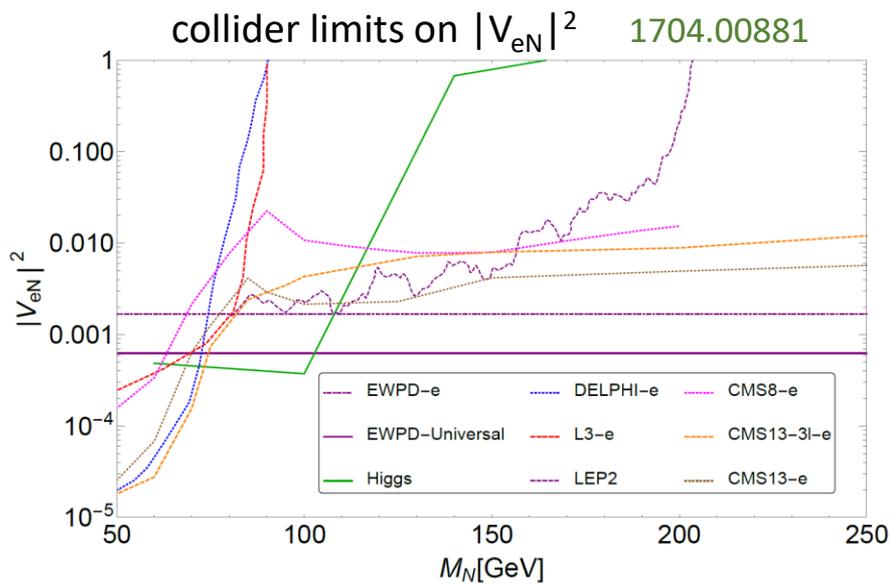
Scalar mediated production  
(extra scalar)  
scalar couplings  $\propto |\sin\alpha|^2$

relatively large scalar mixing  
is allowed. Wait for Higgs precision.

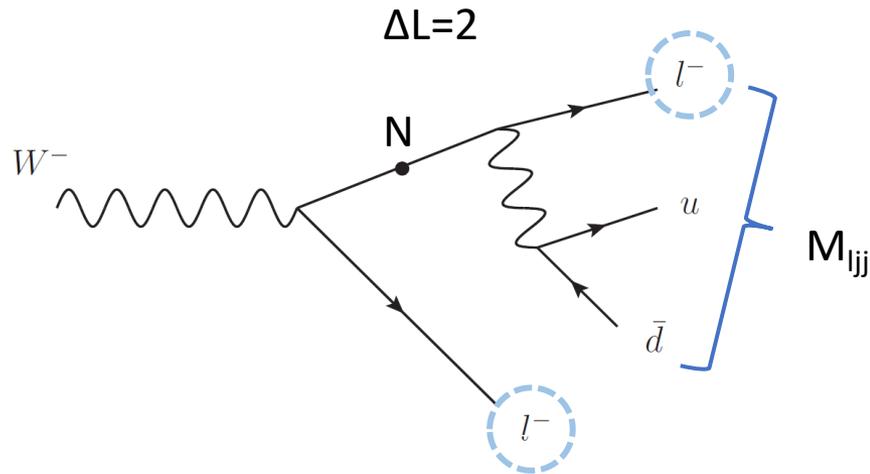


Extra gauge production  
(BSM gauge group for  $N_R$ )  
 $\propto g^2$

extra gauge bosons  
are likely heavy

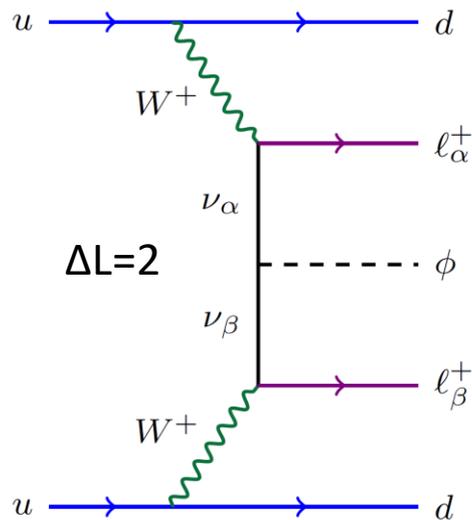


# Collider search for $\Delta L \neq 0$



Same-sign (SS) dilepton  
with  $M_{ljj}$  peaking at  $M_N$

for review,  
see 1711.02180



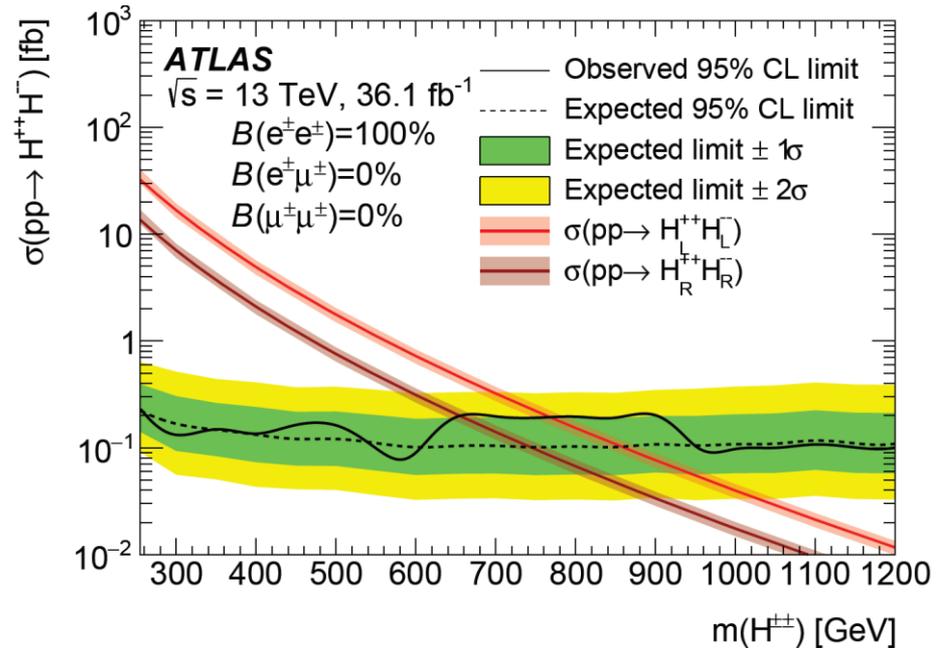
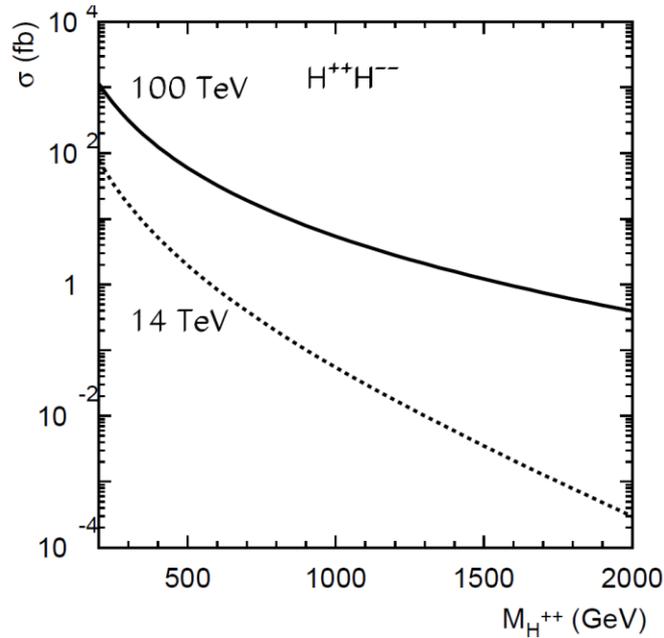
Production via Higgs,  
[1503.06834](#), [1904.12325](#)

neutrino Maj. mass is small;  
its coupling may be not.

Same-sign (SS) dilepton+VBF+?

1910.01132

# Collider search for seesaw ( $\Delta$ )



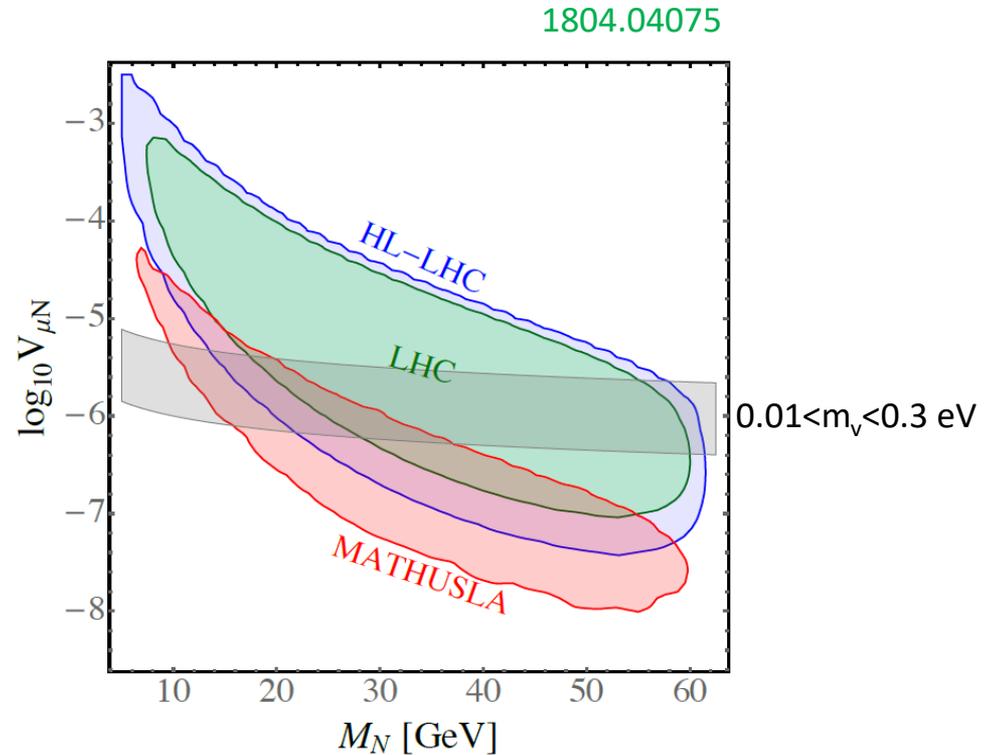
$\delta^+$  and  $\delta^{++}$  can be easily produced via Drell-Yan, VBF, etc.

[1710.09748](https://arxiv.org/abs/1710.09748)

# $N_R$ 'displaced vertex'

In some (Type I & alikes) models  
 $N_R$  decay width is suppressed,  
esp. for  $m_{NR} < m_W$

$$L_N \approx 0.025 \text{ m} \cdot \left( \frac{10^{-6}}{V_{\mu N}} \right)^2 \cdot \left( \frac{100 \text{ GeV}}{M_N} \right)^5$$



# Beyond the Standard Model

## 3. Strong CP & Axions

# CP in strong interaction?

- We know: weak interaction violates both  $P$  and  $CP$ .
- $CP$  in strong interaction?

Yang, Li, 56'; Wu, 57'  
Cronin, Fitch, 64'

$$\mathcal{L} \supset -\frac{1}{4}G^2 + \frac{\theta g_s^2}{32\pi^2}G\tilde{G}$$

The  $\theta$  term is CP-violating, yet it is a total derivative:

$$G\tilde{G} = \partial_\mu K^\mu$$
$$K^\mu = \epsilon^{\mu\nu\rho\sigma} A_\nu^a \left[ F_{\rho\sigma}^a - \frac{g}{3} f^{abc} A_\rho^b A_\sigma^c \right]$$

Naively it won't have an effect if  $K$  vanishes at infinity.

$$S = \int d^4x \mathcal{L} \supset \int d^4x \frac{\theta g_s^2}{32\pi^2} G\tilde{G} = \int d^3x \frac{\theta g_s^2}{32\pi^2} K^{\hat{r}} \Big|_{r \rightarrow \infty}$$

Classical vacuum energy minimized by pure-gauge configurations  $G^{(n)}$  fall into homotopy classes, categorized by  $\pi_3(SU(3)) = \mathbb{Z}$ , or 'winding number'  $n$ :  
( class noted as  $|n\rangle$  )

$$\int d^4x \frac{1}{32\pi^2} G\tilde{G} = n_1 - n_2$$

for review, see  
[hep-ph/0009136](https://arxiv.org/abs/hep-ph/0009136)

Configurations  $G^{(n)}$  are 'pure-gauge' and separated by finite  $\Delta S$  barriers.

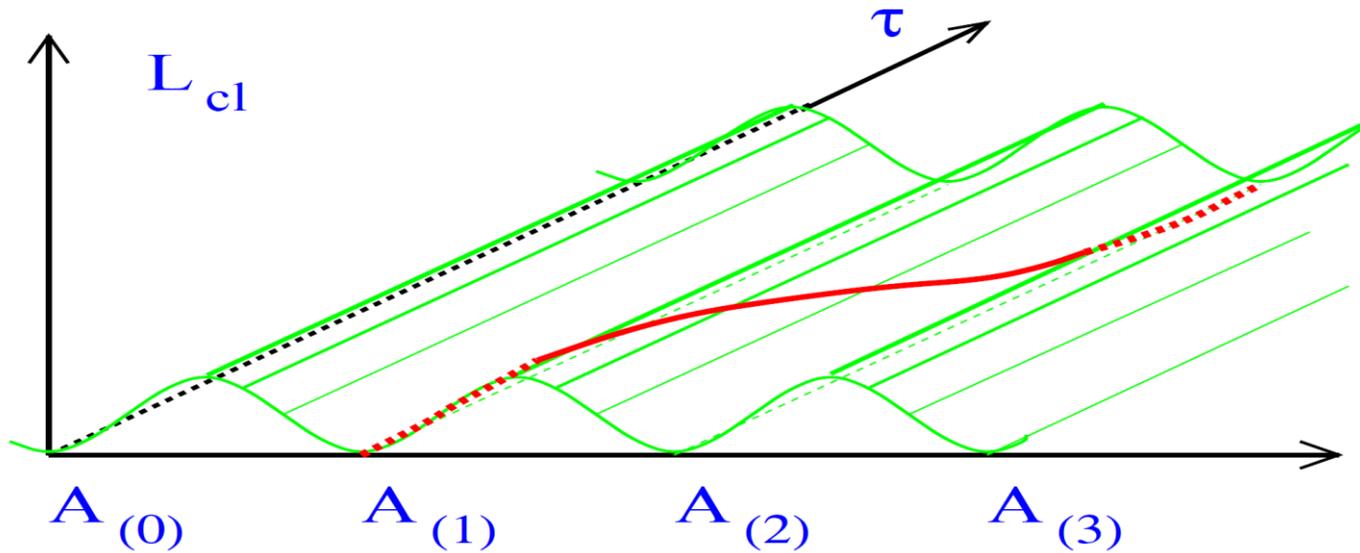
Instantons are found to flip  $G^{(n)} \rightarrow G^{(m \neq n)}$ , breaking their degeneracy.

Mixed (by instantons)  $G^{(n)}$  states form new eigenstates of the Hamiltonian.

$$|\theta\rangle = N \sum e^{i\theta n} |n\rangle \quad \text{'}\theta\text{ vacua'}$$

as 'true' eigenstates of  $H$  and their energy  $\propto -\cos(\theta)$  (a.k.a. Instanton potential)  
the value of  $\theta$  must be pre-set as super-selection rule.

 our  $SU(3)$  vacuum should have a  $\theta$ -term with  $\theta \sim O(1)$



mixed  $|n\rangle$  illustrated in a form of circulant matrix.

$$(1 \ 2 \ 3 \ \dots \ D-1) \cdot \begin{pmatrix} E & \epsilon_1 & \epsilon_2 & \dots & \epsilon_{D-1} \\ \epsilon_{D-1} & E & \epsilon_1 & \epsilon_2 & \dots \\ \cdot & \cdot & \cdot & \cdot & \cdot \\ \epsilon_1 & \epsilon_2 & \dots & \epsilon_{D-1} & E \end{pmatrix} \cdot \begin{pmatrix} 1 \\ 2 \\ 3 \\ \dots \\ D-1 \end{pmatrix}$$

$j^{\text{th}}$  eigenvector:

$$(1 \ w_j \ w_j^2 \ \dots \ w_j^{D-1}), \quad w_j = e^{2\pi i j / D} \xrightarrow{D \rightarrow \infty} |\theta\rangle = N \sum e^{i\theta n} |n\rangle$$

$$b = |b|e^{i\theta}$$

$$U = e^{i\frac{\Pi^a}{\sqrt{2}f_\pi}\sigma^a}$$

$$M = \begin{pmatrix} m_u e^{i\theta_u} & 0 \\ 0 & m_d e^{i\theta_d} \end{pmatrix}$$

$\theta$ -term identifies with the chiral current anomaly.

Minimization of effective strong interaction potential

$$\mathcal{L} = f_\pi^2 \text{Tr} \partial_\mu U \partial^\mu U^\dagger + \underbrace{af_\pi^3 \text{Tr} MU}_{\text{breaks } U(1)_A} + bf_\pi^4 \det U + h.c.$$

Yields the minimum at  $V = -m_\pi^2 f_\pi^2 \sqrt{1 - \frac{4m_u m_d}{(m_u + m_d)^2} \sin^2 \frac{\bar{\theta}}{2}}$

$\bar{\theta} = \theta + \theta_u + \theta_d$  is invariant under the anomalous symmetry:

$$U \rightarrow e^{i\alpha} U, \quad \theta \rightarrow \theta - 2\alpha, \quad M \rightarrow e^{-i\alpha} M$$

'Naturally' the combined  $\bar{\theta}$  should take  $O(1)$  values.

# The strong CP problem

$\bar{\theta}$  causes CP-violating effect and appears in baryon EDM:

$$\mathcal{L} = -\bar{\theta} \frac{c_+ \mu}{f_\pi} \pi^a N \tau^a N^c - i \frac{g_A m_N}{f_\pi} \pi^a N \tau^a N^c,$$

$$\mu = \frac{m_u m_d}{m_u + m_d} \quad g_A \approx 1.27$$

$$c_+ \approx 1.7$$

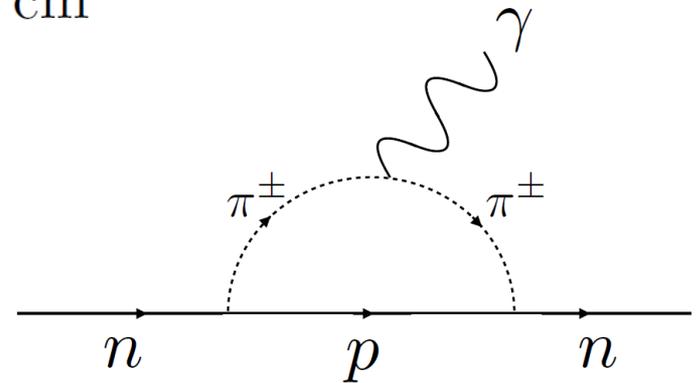
$$\bar{\theta} = \theta + \theta_u + \theta_d$$

$$d_n = \frac{e \bar{\theta} g_A c_+ \mu}{8\pi^2 f_\pi^2} \log \frac{\Lambda^2}{m_\pi^2} \sim 3 \times 10^{16} \bar{\theta} \text{ e cm}$$

see 1509.04411

Experiment:  $\bar{\theta} \lesssim 10^{-10}$

➡ 10 orders of fine-tuning.



Feynman diagram for neutron EDM

# Non-axion solutions

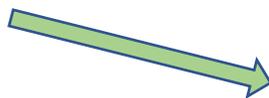
1. zero u-quark mass:  $m_u < 10^{-10} m_d$

$$u \rightarrow e^{i\alpha} u, \quad \theta_u \rightarrow \theta_u - \alpha, \quad \theta \rightarrow \theta + \alpha$$

$$d \rightarrow e^{i\alpha} d, \quad \theta_d \rightarrow \theta_d - \alpha, \quad \theta \rightarrow \theta + \alpha.$$

G't Hooft, 76'

$$\mathcal{L} \supset m_u e^{i\theta_u} u u^c + m_d e^{i\theta_d} d d^c + \frac{\theta g_s^2}{32\pi^2} G \tilde{G}$$



$$u \rightarrow e^{i\alpha} u, \quad \theta \rightarrow \theta + \alpha$$

$$d \rightarrow e^{i\alpha} d, \quad \theta_d \rightarrow \theta_d - \alpha, \quad \theta \rightarrow \theta + \alpha.$$

After  $m_u \rightarrow 0$ ,  $\theta$  and  $\theta_d$  may vanish by field redef. Restores CP.

Tension with lattice QCD results ☹

## • 2. Parity

Babu, Mohapatra, 90'

In a L-R model with  $SU(2)_L \times SU(2)_R$ , symmetric under parity:

$$SU(2)_L \leftrightarrow SU(2)_R, \quad Q_L \leftrightarrow Q_R^\dagger, \quad H_L \leftrightarrow H_R^\dagger, \quad L_L \leftrightarrow L_R^\dagger,$$

$\theta$  term violates P and is forbidden. Yukawa takes the form:

$$\mathcal{L} \supset \frac{y_u Q_L H_L Q_R H_R}{\Lambda_u} + \frac{y_d Q_L H_L^\dagger Q_R H_R^\dagger}{\Lambda_d} + h.c.$$

After  $SU(2)_R$  breaking, the Yukawas required by parity

$$Y_u = \frac{y_u v_R}{\Lambda_u} = Y_u^\dagger, \quad Y_d = \frac{y_d v_R}{\Lambda_d} = Y_d^\dagger$$

Hermitian matrix:  $\arg \det(Y) = 0$ . so that combined  $\bar{\theta} = 0$

Good at tree level, complications arises at loop level.

- Nelson-Barr (spontaneously broken CP)

In a minimal Bento, Branco, Parada setup (Phys.Lett. B267 (1991) 95–99)

$$\mathcal{L} = \mu \bar{q}q + a_{af} \eta_a \bar{d}_{\bar{f}} q + y_{f\bar{f}} H Q_f \bar{d}_{\bar{f}} + \dots$$

q are new vector-like quarks,

$\eta_a$  develop vevs with relative phases, breaking CP

$$\mathcal{M} = \begin{pmatrix} \mu & B \\ 0 & m_d \end{pmatrix}; \quad m_d \equiv yv; \quad B_f = a_{af} \eta_a$$

After diag., the SM 3x3:

assuming  $\mu^2 + |B_f|^2 \gg m_d^2$

$$\left( (m_d m_d^T)_{ij} - \frac{(m_d)_{ik} B_k^\dagger B_\ell (m_d^T)_{\ell j}}{\mu^2 + B_f B_f^\dagger} \right)$$

@ Tree level,  $\arg \det (M) = 0$ .

Diagonalizing matrix (CKM) carry CP phase

Likely tuned to have large CKM phase.

For many model variants,

see review: 1506.05433

# Peccei-Quinn (axion)

Assume a global  $U(1)_{PQ}$  as good UV symmetry

$$\begin{aligned} Q_i / U_i^c / D_i^c / L_i / E_i^c &\longrightarrow e^{i\alpha} Q_i / U_i^c / D_i^c / L_i / E_i^c , \\ H_d / H_u &\longrightarrow e^{-i2\alpha} H_d / H_u . \end{aligned} \quad (\text{as in PQWW})$$

$U(1)_{PQ}$  breaks after a (charged) scalar gets vev  $\sim O(f_a)$ , leaving out a goldstone field (a).  
The goldstone can acquire an effective coupling term:

$$\frac{a}{f_a} G\tilde{G}$$

so that 'extends' the QCD theta into a dynamic field:

$$\mathcal{L} \supset \left( \frac{a}{f_a} + \theta \right) \frac{1}{32\pi^2} G\tilde{G}.$$

$$\bar{\theta} \rightarrow \bar{\theta} = \theta + \theta_u + \theta_d + \frac{a}{f_a}$$

Not an exact Goldstone. QCD vacuum has  $V_{\text{inst}}$ . The pNGB has a mass

$$m_a = \frac{m_\pi f_\pi}{f_a} \frac{\sqrt{m_u m_d}}{m_u + m_d} \approx \frac{\Lambda_{\text{QCD}}^2}{f_a}$$

At low energy,  $a$  makes  $V_{\text{QCD}}$  go to its minimum at  $\bar{\theta} + \frac{a}{f_a} = 0$ .

 Strong CP solved by *relaxation*.

New pNGB is the 'axion'

composed of imaginary part(s)  
of scalars that transform under  $U(1)_{\text{PQ}}$

Low-E effective Lagrangian:

$$\mathcal{L}_{\text{int}} = -\frac{g_{\phi\gamma}}{4} \phi F_{\mu\nu} \tilde{F}^{\mu\nu} + \frac{g_{\phi N}}{2m_N} \partial_\mu \phi (\bar{N} \gamma^\mu \gamma_5 N) + \frac{g_{\phi e}}{2m_e} \partial_\mu \phi (\bar{e} \gamma^\mu \gamma_5 e) - \frac{i}{2} g_d \phi \bar{N} \sigma_{\mu\nu} \gamma_5 N F^{\mu\nu}$$

(Peccei, Quinn, Weinberg, Wilczek)

# The PQWW model

$$-\mathcal{L} = y_{ij}^u Q_i U_i^c H_u + y_{ij}^d Q_i D_i^c H_d + y_{ij}^e L_i E_i^c H_d + V \left( H_u^\dagger H_u, H_d^\dagger H_d, (H_d^\dagger H_u)(H_u^\dagger H_d) \right) .$$

Two Higgs doublets ( $H_u$  &  $H_d$ ). The PQ transformation:

$$Q_i / U_i^c / D_i^c / L_i / E_i^c \longrightarrow e^{i\alpha} Q_i / U_i^c / D_i^c / L_i / E_i^c , \\ H_d / H_u \longrightarrow e^{-i2\alpha} H_d / H_u .$$

axion is a mixture of neutral scalar components.

$$a \equiv \sin \beta \text{Im} H_d^0 + \cos \beta \text{Im} H_u^0 , \quad \text{where } \tan \beta \equiv \frac{\langle H_u^0 \rangle}{\langle H_d^0 \rangle} .$$

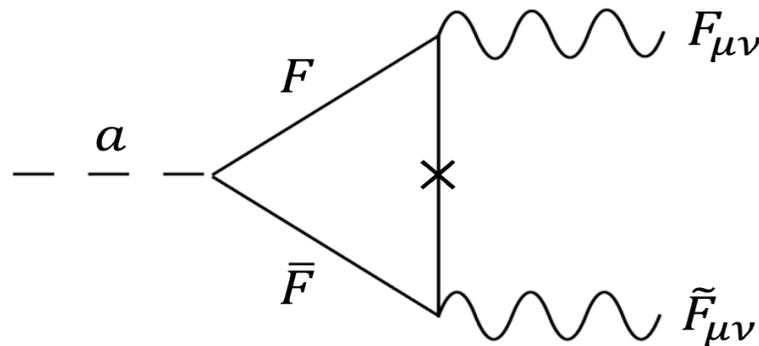
Axion is CP odd and can mix with a pion.

In PQWW,  $f_a \sim O(v_H)$  ruled out by meson decays.

$f_a < 10^4$  GeV,  $m_a < 10^2$  MeV  
constrained by [rare decay](#)  
of mesons.

# 'Invisible' axion models

Need a large  $f_a$  to avoid beam dump, astrophysical constraints ( $f_a > 10^9$  GeV)



$aGG\sim$  generated  
at loop level.

KSVZ model : heavy vector-like quarks, with coupling  $\lambda_Q Q^c Q S$

The PQ U(1):  $Q^c/Q \rightarrow e^{i\alpha} Q^c/Q, \quad S \rightarrow e^{-i2\alpha} S$

$a = \text{Im}(S), \quad f_a \sim v_S$

Kim,79;  
Shifman, Vainshtein, Zakharov, 80'

- The DSVZ model

Zhitnitsky, 80'  
Dine, Fischler, and Srednicki, 81'

$$\lambda H_u H_d S^2$$

$H_u, H_d, S$  charged as -1, -1, +1 under  $U(1)_{PQ}$

$$f_a = \sqrt{v_u^2 + v_d^2 + v_s^2} \text{ raised by } S \text{ vev.}$$

The axion is dominated by  $\text{Im}S$ .

$$a = \frac{1}{\sqrt{v_u^2 + v_d^2 + v_s^2}} (v_u \text{Im}H_d + v_d \text{Im}H_u + v_s \text{Im}S)$$

SM quarks runs the fermion loop to generate effective  $aGG \sim$ .

For review, see  
[0807.3125](#), [1510.07633](#)

# Quality problem

$$\text{EFT: } \epsilon^2 \Phi^2$$

$$V \sim \epsilon^2 f_a^2 \cos\left(\frac{a}{f_a} + \phi\right)$$

$$\text{Gravity: } V \sim \frac{\Phi^n}{M_p^{n-4}}$$

$$V \sim \frac{f_a^n}{M_p^{n-4}} \cos\left(\frac{a}{f_a} + \phi_n\right)$$

$n > 14$  not to spoil strong CP.

# 'Axion like' particle (ALP)

Any pseudoscalar with  $\frac{a}{\Lambda} F \tilde{F}$  coupling w/o solving the strong CP problem.

$$\mathcal{L} = \frac{1}{2} m_a^2 a^2 + \frac{g_{a\gamma\gamma} a}{4f} F \tilde{F}$$

Copious candidates from BSM UV theories, string theory, etc.

For EM couplings, axion mixes the E and B fields:

$$\mathcal{L}_{a\gamma\gamma} = \frac{a}{f} \vec{E} \cdot \vec{B} = -\frac{1}{4f} a F \tilde{F}$$

# Axion as cold dark matter

For review,  
see 1510.07633

A fast oscillating field at the bottom of a  $V(\phi) \sim (\phi - \phi_0)^2$  potential behaves as matter-like:  $\rho(z) \sim (1+z)^3$  M.Turner, 83'

axion starts to oscillate after strong PT.

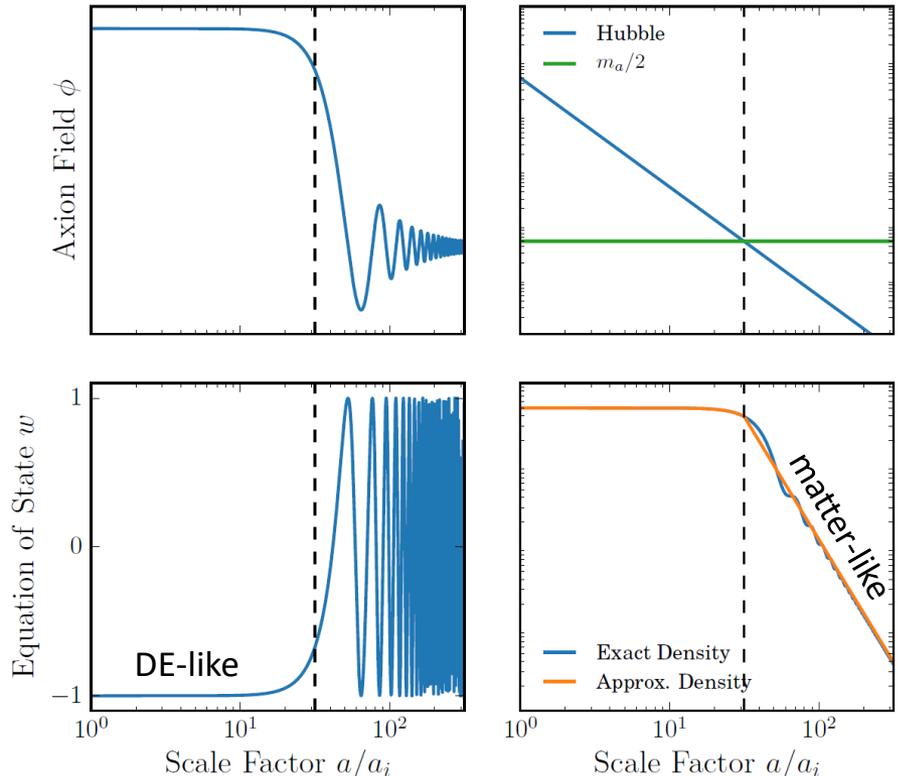
$$a(t) = a_0 \left( \frac{R_{m \sim H}}{R(t)} \right)^{2/3} \cos(m_a t)$$

Misalignment Mechanism:

axion gets a homogeneous initial value  $a_0$  via inflation. Gives the DM abundance:

$$\Omega_a h^2 \sim 2 \times 10^4 \left( \frac{f_a}{10^{16} \text{ GeV}} \right)^{7/6} \langle \theta_{a,i}^2 \rangle$$

(topological defect corrections apply if  $f_a$  is lower than inflation scale)



axion dark matter requires  $f_a \sim 10^{11} \text{ GeV}$ ,  $m_a \approx 50 \mu\text{eV}$

Recent calculations:

$$m_a = 5.70(7) \mu\text{eV} \left( \frac{10^{12} \text{ GeV}}{f_a} \right) .$$

G. Grilli di Cortona, E. Hardy, J. Pardo Vega and G. Villadoro, JHEP 1601, 034 (2016)

$$m_a = 60\text{--}150 \mu\text{eV}$$

T. Hiramatsu, et.al., PRD 85, 105020 (2012)  
M. Kawasaki, et.al. PRD 91, no. 6, 065014(2015)

$$m_a = 26.5 \pm 3.4 \mu\text{eV}$$

V.B.Klaer, G.D.Moore, JCAP 1711, no.11, 049 (2017)

DM axion has macroscopic de Broglie wave length:  $\lambda \sim \beta^{-1} \text{ O}(\text{cm})$

DM axion soliton may form 'bosonic stars':

miniclusters with mass  $\sim 10^{-15} \text{--} 10^{-9} M_{\text{sun}}$  [2006.08637](#)

# Searches for axion (ALP)

EM coupling allows axion – photon conversion in external field.

Photon- axion oscillation ( in relativistic limit)

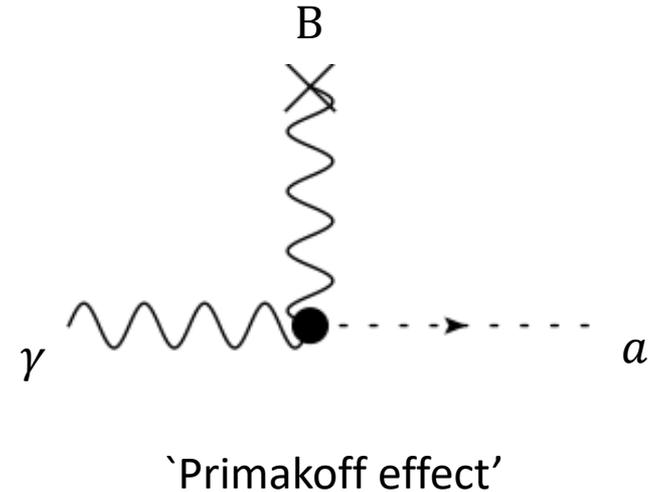
May imprint oscillation feature on photon spectrum: e.g. astrophysical sources

$$\left(\omega - i\frac{d}{dx} + \mathcal{M}\right) \begin{pmatrix} A_{\perp} \\ A_{\parallel} \\ a \end{pmatrix} = 0.$$

$$\mathcal{M} \approx \begin{pmatrix} -\omega_{\text{pl}}^2/2\omega & & \\ & -\omega_{\text{pl}}^2/2\omega & g_{a\gamma\gamma}B_{\text{T}}/2 \\ & g_{a\gamma\gamma}B_{\text{T}}/2 & -m_a^2/2\omega \end{pmatrix}$$

$$P_{\gamma \rightarrow a}(E_{\gamma}) = \left(1 + \frac{E_c^2}{E_{\gamma}^2}\right)^{-1} \sin^2\left(\frac{g_{a\gamma\gamma}B_{\text{T}}L}{2} \sqrt{1 + \frac{E_c^2}{E_{\gamma}^2}}\right)$$

$$E_c = |m_a^2 - \omega_{\text{pl}}^2|/2g_{a\gamma\gamma}B_{\text{T}}$$

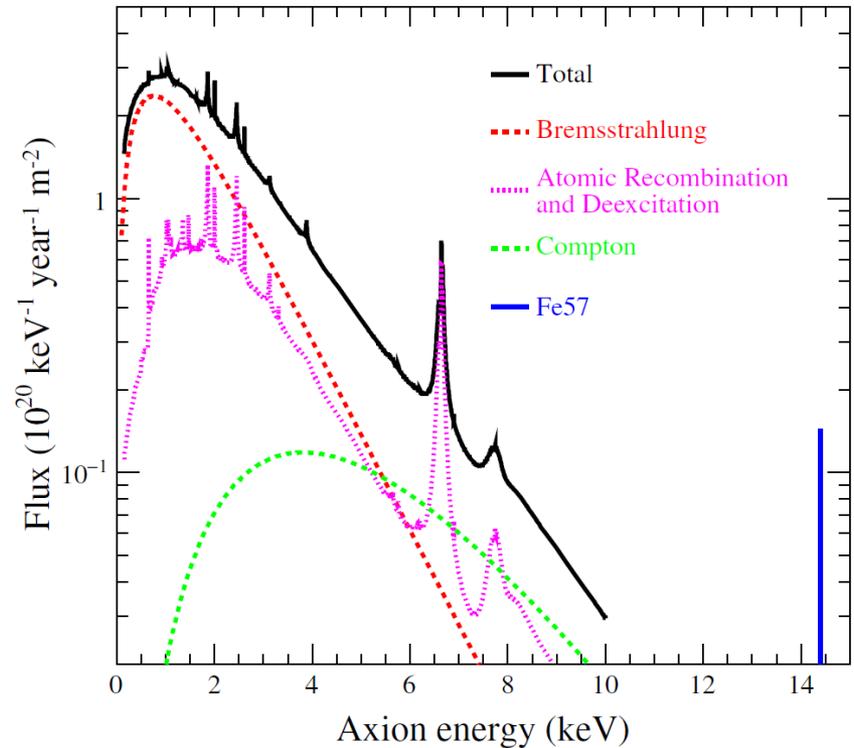


# Solar axions

axion couples to nucleus  $g_{aN}$ ,  
electrons,  $g_{ae}$ , and photons  $g_{a\gamma}$

axion emission via: fermion  
radiation, nuclear transitions,  
(Primakoff) conversion from  
photons, etc.

Experiments: CAST;  
Direct detection Experiments



## Stellar cooling exclusions

Axion emission via  $e + \gamma \rightarrow e + a$ ,  $N + e \rightarrow N + e + a$   
cools stars if  $m_a$  is light.

HB stars:  $g_{ae} < 4 \cdot 10^{-13} \text{ GeV}^{-1}$  N. Viaux et.al. PRL 111, 231301 (2013).

Supernova 1987A:  $g_{a\gamma} < 5.3 \times 10^{-12} \text{ GeV}^{-1}$ , for  $m_a < 4.4 \times 10^{-10} \text{ eV}$

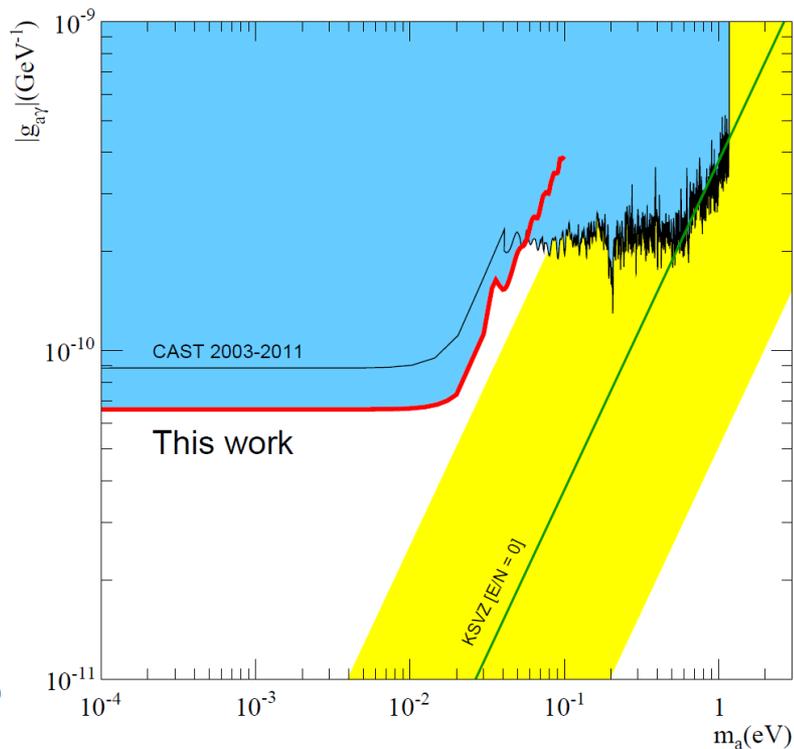
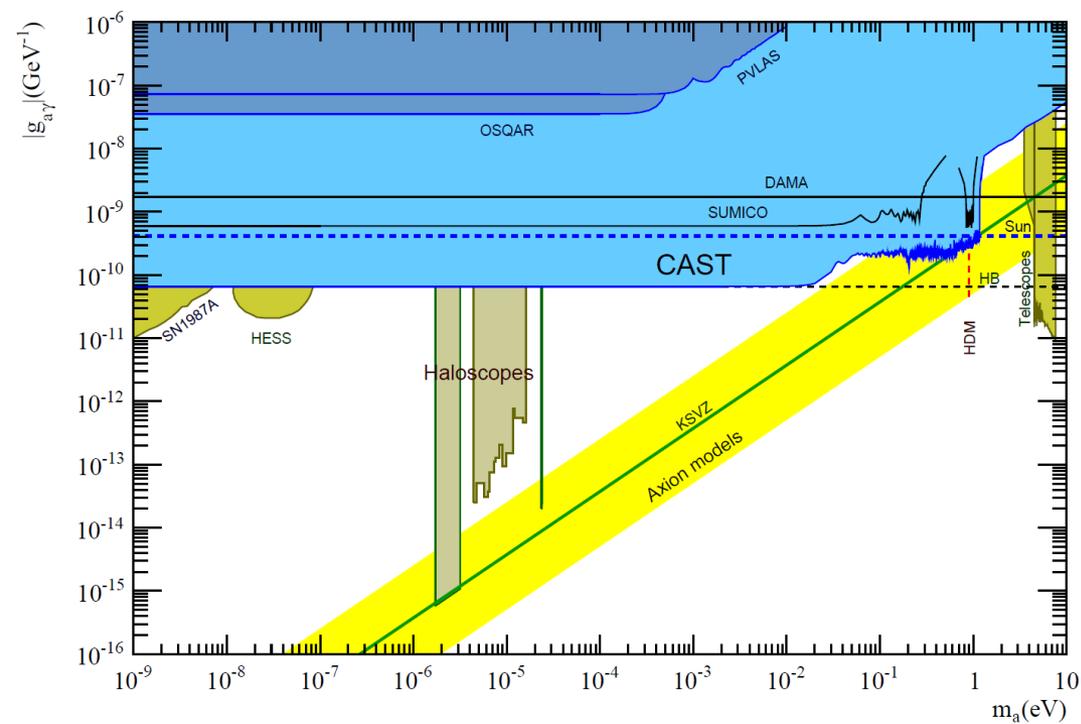
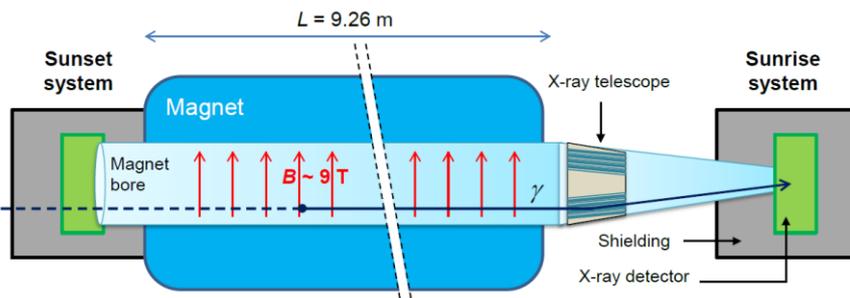
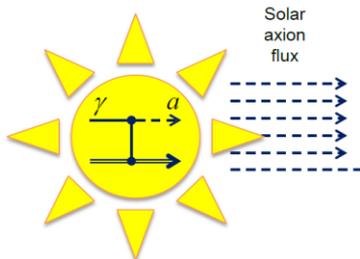
Payez, et.al. JCAP 1502 (2015) 006

Armengaud, et al., J. Cosmol.  
Astropart. Phys. 2013, 067 (2013).

# CAST

CAST (2017): 1705.02290

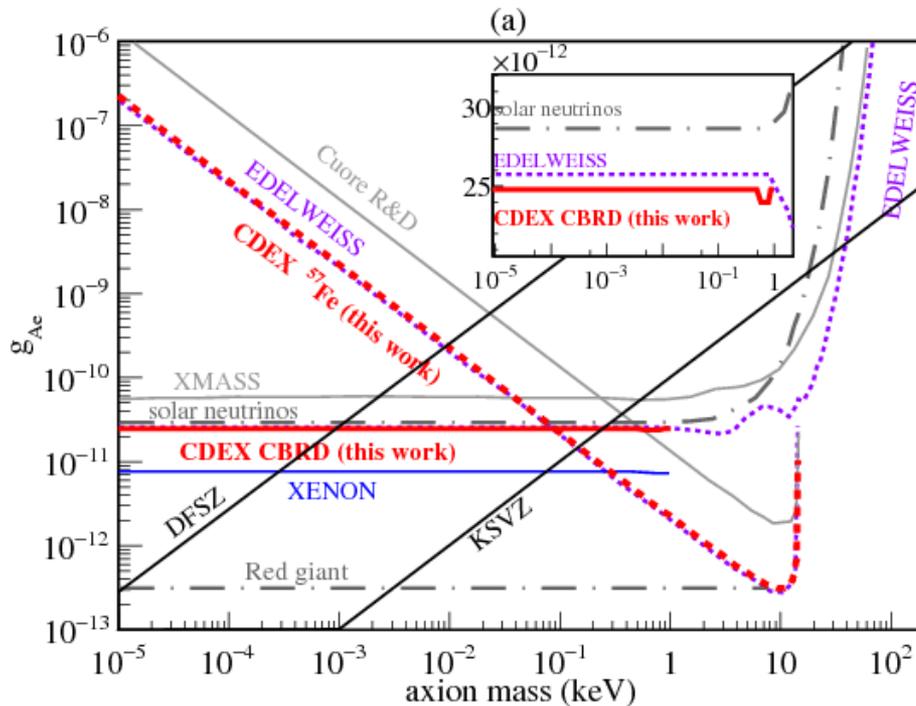
- Expected solar flux  $\sim$  KeV
- Accelerator magnets converts axions back to KeV photons



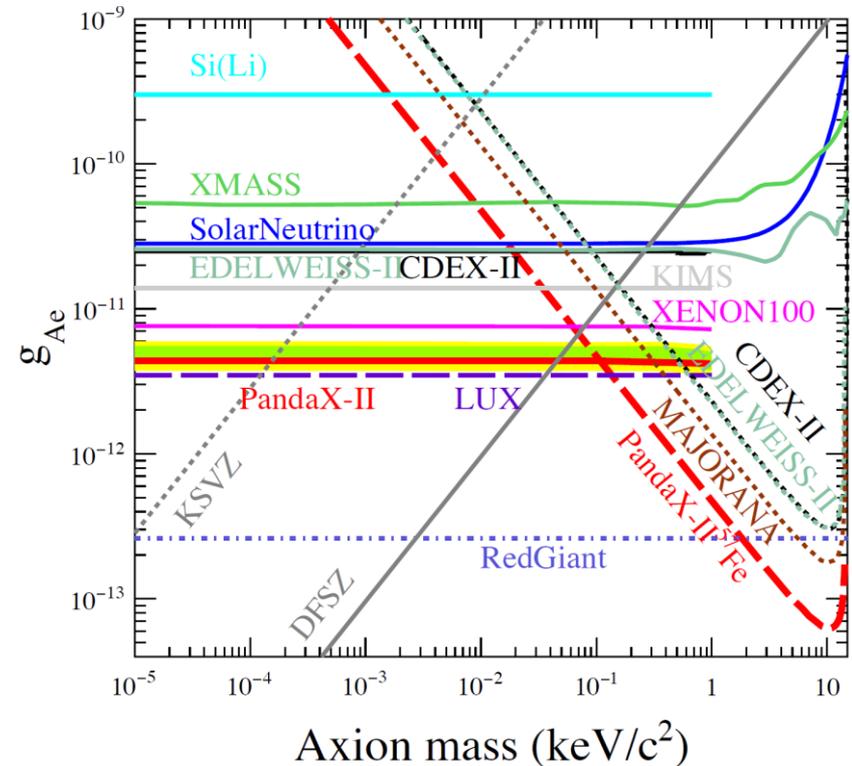
# Recoil (underground exp.)

Recent KeV 'bump'  
see [XENON1T 2020](#)  
& [PANDAX 2020](#) results

Electron recoils (axio-electric absorptions) test axion-electron coupling  $g_{ae}$



CDEX, Phys.Rev. D95 (2017) no.5, 052006



PANDAX, PRL 119 (2017)

# Fifth force?

$\partial_\mu (a/f_a) \bar{\Psi} \gamma^\mu \gamma^5 \Psi$  axion-fermion coupling leads to spin-dependent interactions

Effective interaction potential btw test objects

$$U_{pp}(r) = \frac{\hbar^3 c}{16\pi} \frac{g_{p1} g_{p2}}{m_{f1} m_{f2}} ((\hat{\sigma}_1 \cdot \hat{\sigma}_2) \left( \frac{1}{r^2 \lambda_a} + \frac{1}{r^3} \right) e^{-\frac{r}{\lambda_a}} - (\hat{\sigma}_1 \cdot \hat{r})(\hat{\sigma}_2 \cdot \hat{r}) \left( \frac{1}{r \lambda_a^2} + \frac{3}{r^2 \lambda_a} + \frac{3}{r^3} \right) e^{-\frac{r}{\lambda_a}})$$

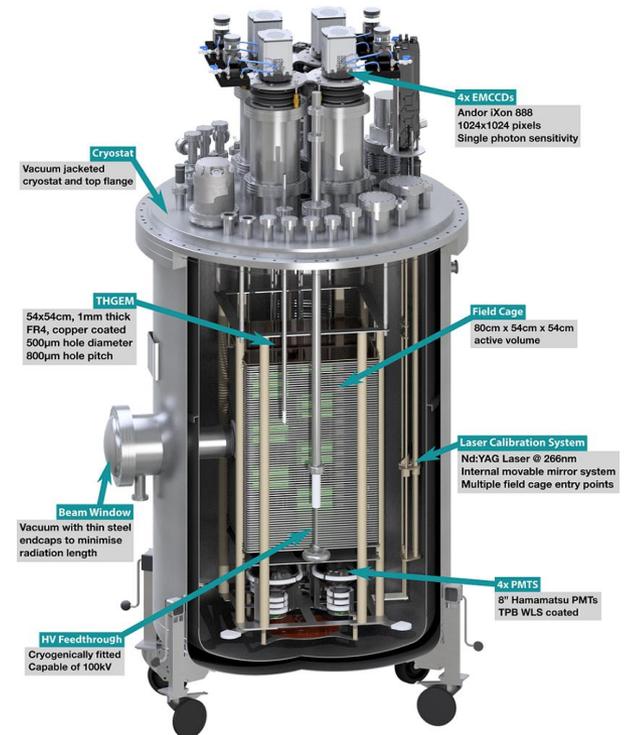
$$U_{sp}(r) = \frac{\hbar^2 g_s g_p}{8\pi m_f} \left( \frac{1}{r \lambda_a} + \frac{1}{r^2} \right) e^{-\frac{r}{\lambda_a}} (\hat{\sigma} \cdot \hat{r})$$

Can be tested by precision measurement.

MNR proposal: ARIADNE [PRL. 113 \(2014\) no.16, 161801](#)

Developments at UTSC: [2009.09257](#), [2010.14199](#)

1910.05406



# Axion DM - 'haloscope'

DM axion has a *preferred* parameter range.

**Assumption: DM is mostly axions**

**Resonant cavities (Sikivie, 1983)**

- Primakoff conversion inside a "tunable" resonant cavity
- Energy of photon =  $m_a c^2 + O(b^2)$

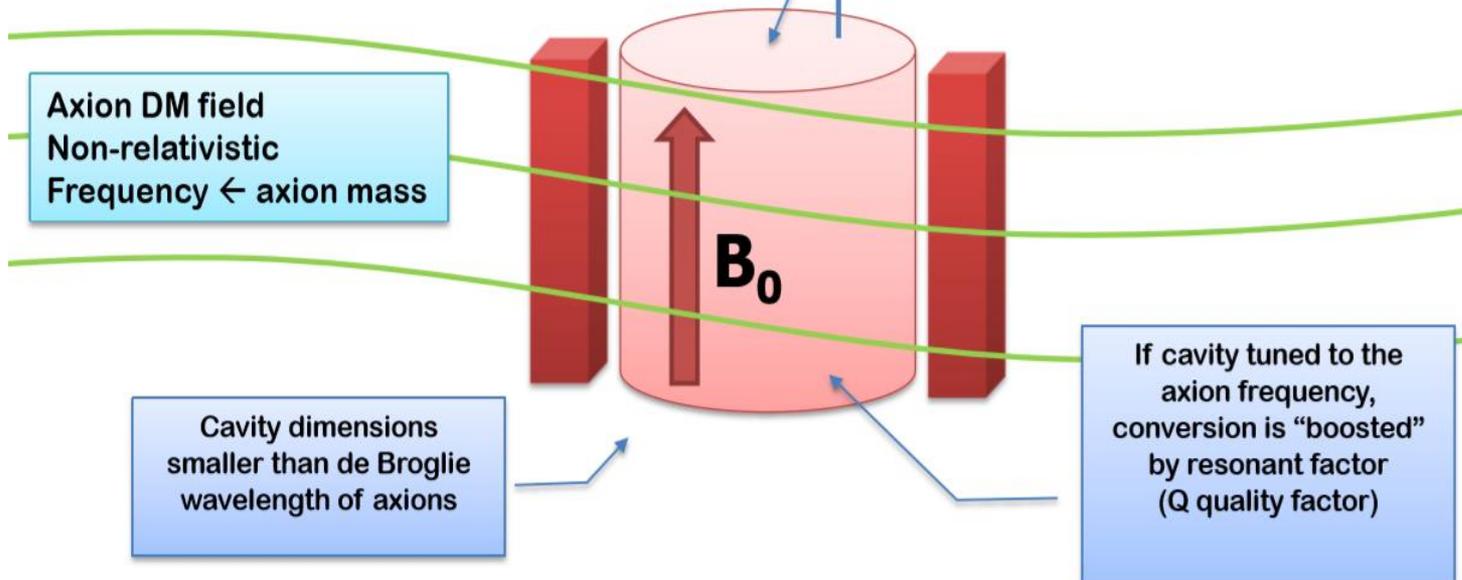
Primakoff conversion of DM axions into microwave photons inside cavity

$$P_0 = g_{a\gamma}^2 V B^2 C \frac{\rho_a}{m_a} Q$$

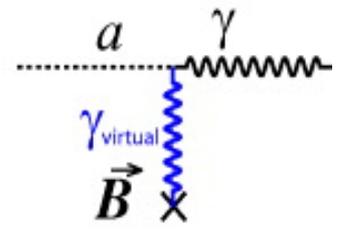
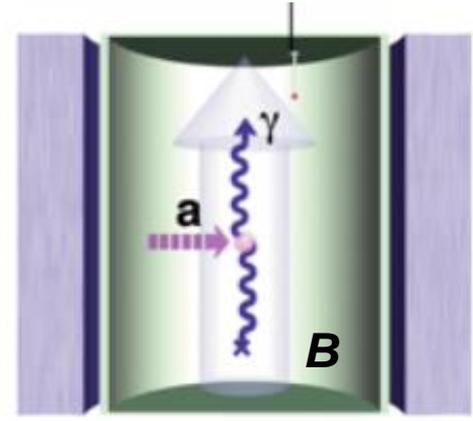
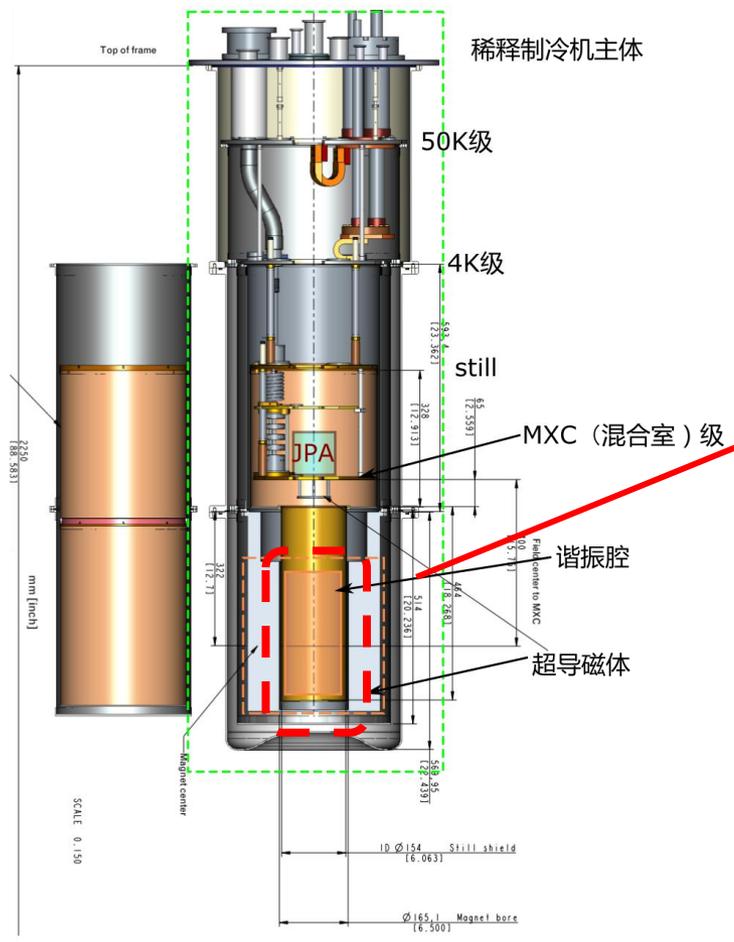
Axion DM field  
Non-relativistic  
Frequency  $\leftarrow$  axion mass

Cavity dimensions smaller than de Broglie wavelength of axions

If cavity tuned to the axion frequency, conversion is "boosted" by resonant factor (Q quality factor)



# Cryogenic resonance cavity



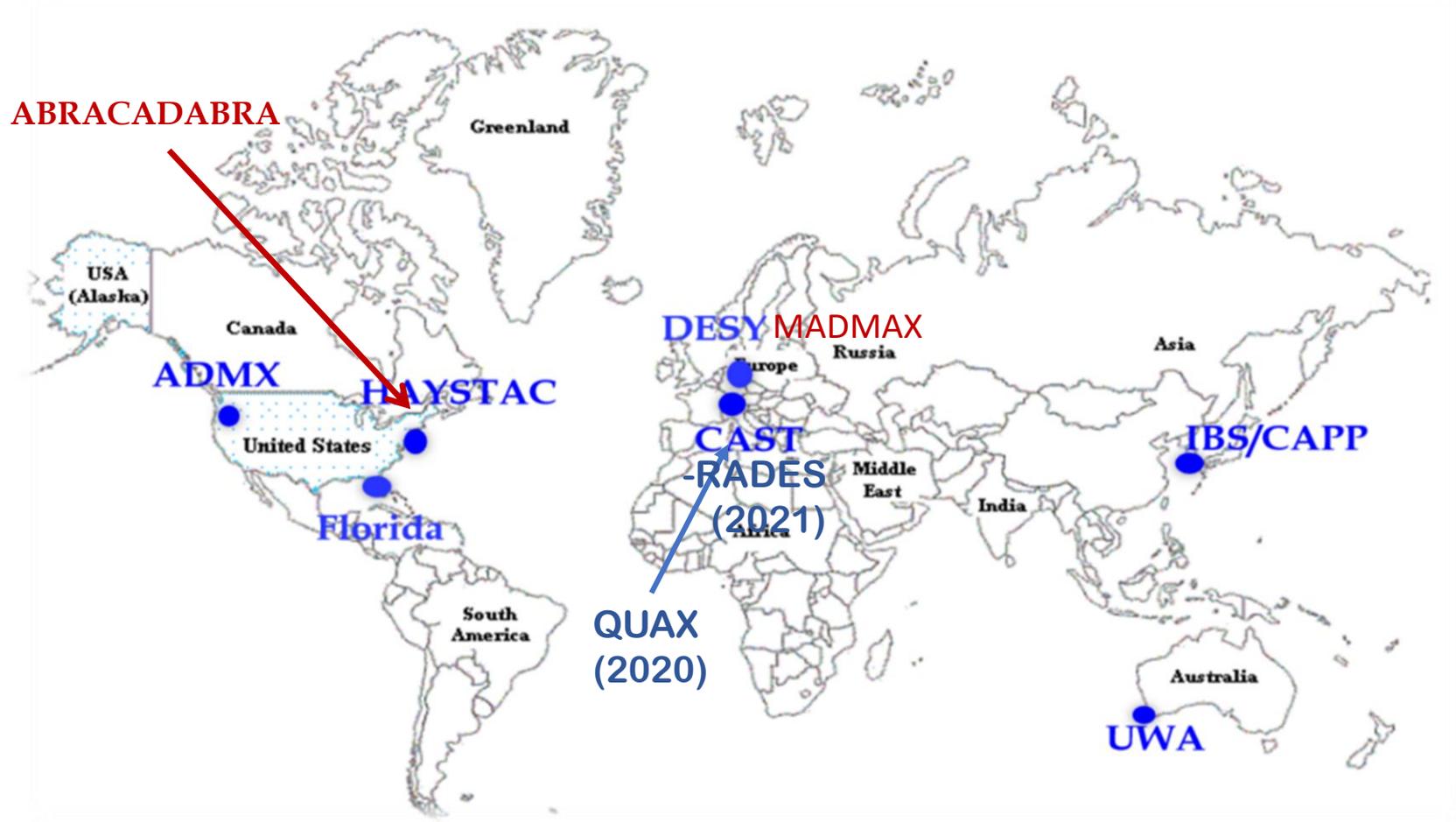
Cavity frequency tuned to expected axion decay signal frequency

QCD axion DM: emergence of a microwave signal

$$P_{\text{axion}} = 2.2 \cdot 10^{-23} \text{ W} \left( \frac{V}{136 \text{ L}} \right) \left( \frac{B}{7.6 \text{ T}} \right)^2 \left( \frac{C}{0.4} \right) \cdot \left( \frac{g_\gamma}{0.36} \right)^2 \left( \frac{\rho_a}{0.45 \text{ GeV cm}^{-3}} \right) \left( \frac{f}{740 \text{ MHz}} \right) \left( \frac{Q}{30000} \right)$$

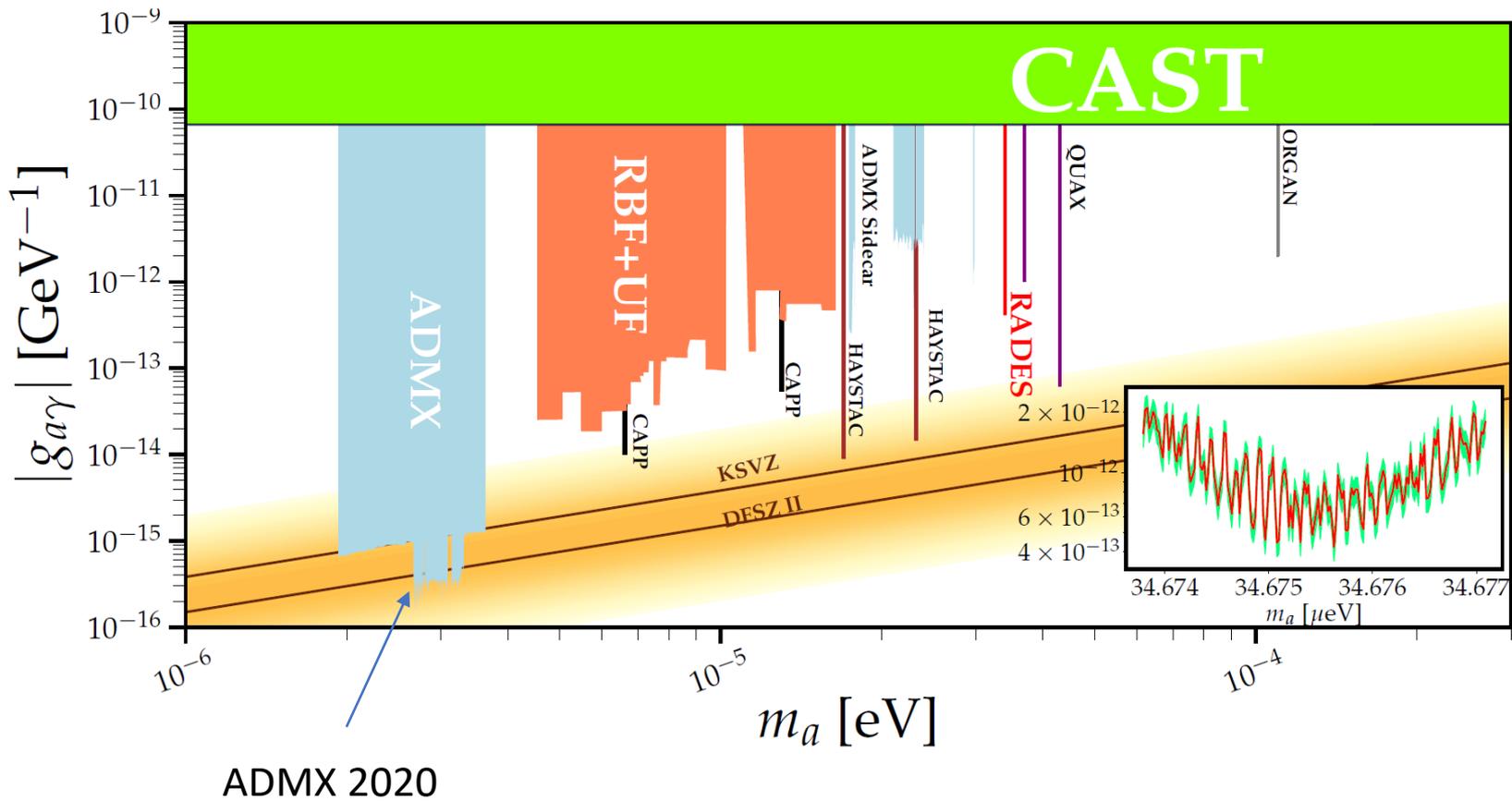
Cavity experiment sites (blue)

Non-cavity axion DM experiments (red)



# status of haloscopes

2104.13798



# Axion DM – EM effects

- axion-modified Maxwell equations:

$$\begin{aligned} \vec{\nabla} \cdot \vec{E} &= \rho_e + \underline{g\vec{B} \cdot \nabla a} && \text{Effective charge: (how to search?)} \\ \vec{\nabla} \times \vec{B} - \frac{\partial \vec{E}}{\partial t} &= \underline{g\vec{E} \times \vec{\nabla} a} - \underline{g\vec{B} \frac{\partial a}{\partial t}} + \vec{j}_e \\ \vec{\nabla} \cdot \vec{B} &= 0 && \text{Axio-magnetic current:} \\ &&& \text{Abracadabra (MIT)} \\ &&& \underline{1905.06882} \\ \vec{\nabla} \times \vec{E} &= -\frac{\partial \vec{B}}{\partial t}, \end{aligned}$$

Axio-electric current  $\vec{j}_a = g\vec{E} \times \vec{\nabla} a$

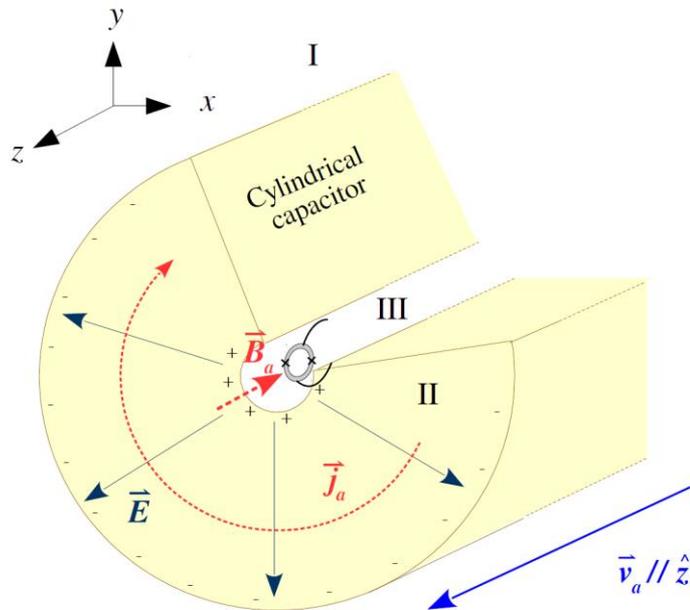
DM axion flow Induces a magnetic signal inside E field: see [2012.13946](#)

$$\vec{\nabla} \times \vec{B} = g_{a\gamma}(\vec{E}_0 \times \vec{v})\sqrt{2\rho_{\text{DM}}}\cos[m_a(1 + \frac{1}{2}v^2)t] \equiv \vec{j}_a$$

One effective charge

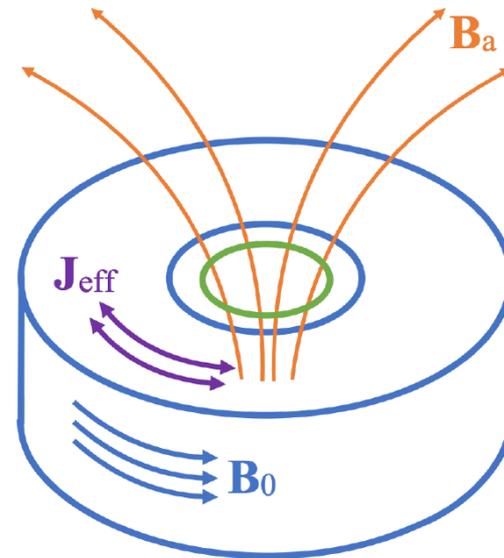
Two effective displacement currents

# Sketch of axio-electric and axio-magnetic effective currents



$$\vec{E} \times \vec{k}_a$$

Depend on both E field and axion flux directions



$$\vec{B} \cdot \partial_t a$$

(anti)parallel with B field direction

# Birefringence (axion as medium)

Axion field is a parity-violating medium.  
It rotates the linear polarization of light.

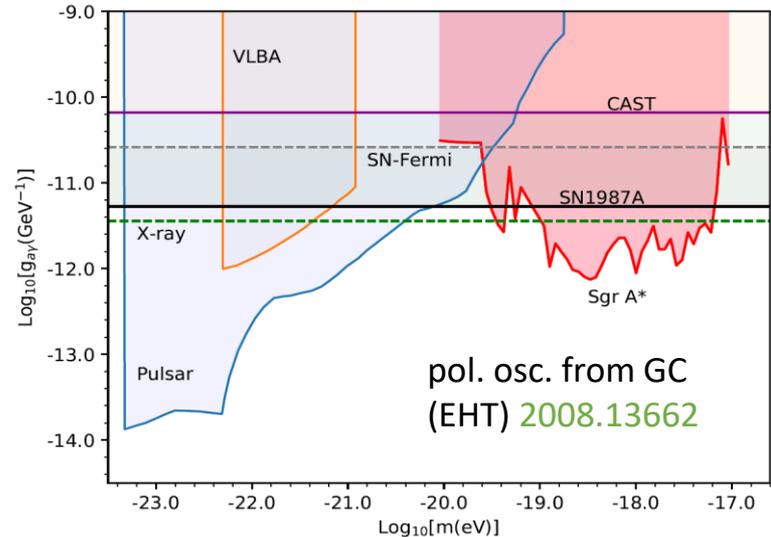
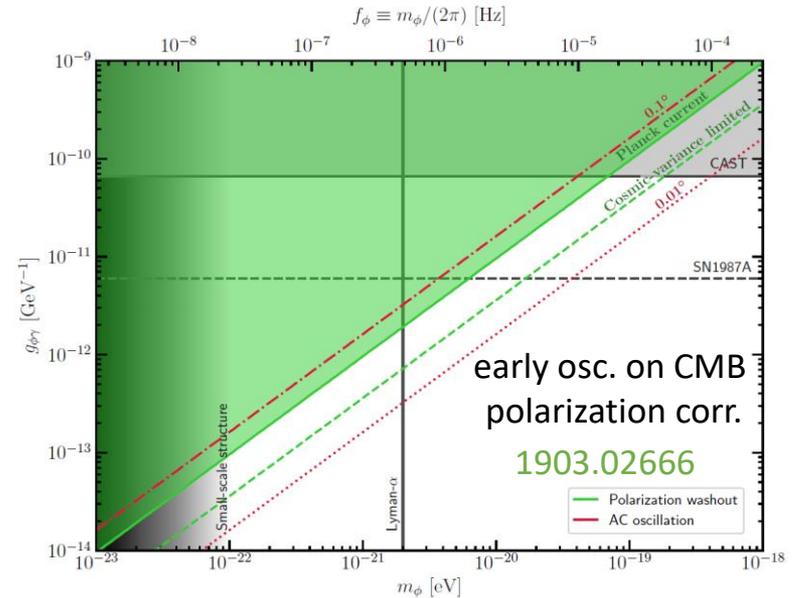
$$\Delta\theta = \frac{1}{2} g_{a\gamma} \Delta a$$

only determined by a field difference  
between initial & final positions (for  
freq.  $\omega \gg m_a$ ) Harari, Sikivie, 92'

Comparison: Faraday effect

$$\theta_{\text{Faraday}} = \frac{2\pi e^3}{m_e^2 k^2} \int d\mathbf{x} \cdot \mathbf{B}(x) n_e(x)$$

lab birefringence & dichroism  
under B-field, see



# Search summary

