

Flavor Physics - A Theory Review

Wolfgang Altmannshofer
waltmann@ucsc.edu



International Workshop on the High Energy
Circular Electron Positron Collider
October 26 - 28, 2020

- 1 Flavor Introduction
- 2 Overview of Flavor at Circular e^+e^- Colliders
- 3 Anomalies in Neutral Current B Decays
- 4 Anomalies in Charged Current B Decays
- 5 Summary

Flavor Introduction

Why are there **three flavors** of quarks and leptons?



What is the origin of the hierarchies in the **fermion spectrum**?

What is the origin of the hierarchies in the **quark mixing**?

Is **lepton mixing** anarchic?

The connection of **flavor** and **new physics** is two-fold

- (1) The **flavor puzzle motivates models of new physics** that address some of the mysteries (e.g. fermion mass hierarchies from flavor symmetries, from extra-dimensions, from loops, ...)
- (2) Flavor and CP violating processes are **highly sensitive probes of new physics** (e.g. meson oscillations, $\mu \rightarrow e\gamma$, EDMs, rare B decays, ...)

$$\begin{aligned}\mathcal{L}_{\text{SM}} \sim & \Lambda^4 + \Lambda^2 H^2 + \lambda H^4 \\ & + \bar{\Psi} \not{D} \Psi + (D_\mu H)^2 + (F_{\mu\nu})^2 + F_{\mu\nu} \tilde{F}^{\mu\nu} \\ & + Y H \bar{\Psi} \Psi\end{aligned}$$

Flavor and New Physics

The diagram illustrates the Standard Model Lagrangian \mathcal{L}_{SM} and its associated problems. The Lagrangian is given by:

$$\mathcal{L}_{\text{SM}} \sim \Lambda^4 + \Lambda^2 H^2 + \lambda H^4 + \bar{\Psi} \not{D} \Psi + (D_\mu H)^2 + (F_{\mu\nu})^2 + F_{\mu\nu} \tilde{F}^{\mu\nu} + Y H \bar{\Psi} \Psi$$

Callouts identify the following issues:

- CC problem**: Callout pointing to the Λ^4 term.
- Hierarchy problem**: Callout pointing to the $\Lambda^2 H^2$ term.
- Vacuum stability?**: Callout pointing to the λH^4 term.
- Strong CP problem**: Callout pointing to the $F_{\mu\nu} \tilde{F}^{\mu\nu}$ term.
- SM flavor puzzle**: Callout pointing to the $Y H \bar{\Psi} \Psi$ term.

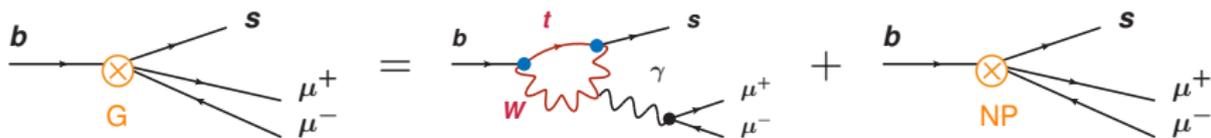
Flavor and New Physics

$$\begin{aligned}
 \mathcal{L}_{\text{SM}} \sim & \Lambda^4 + \Lambda^2 H^2 + \lambda H^4 \\
 & + \bar{\Psi} \not{D} \Psi + (D_\mu H)^2 + (F_{\mu\nu})^2 + F_{\mu\nu} \tilde{F}^{\mu\nu} \\
 & + Y H \bar{\Psi} \Psi + \frac{1}{\Lambda} (LH)^2 + \frac{1}{\Lambda^2} \sum_i \mathcal{O}_i^{\text{dim6}} + \dots
 \end{aligned}$$

CC problem Hierarchy problem Vacuum stability? Strong CP problem

SM flavor puzzle Neutrino masses Flavorful new physics?

Probing New Physics with Flavor



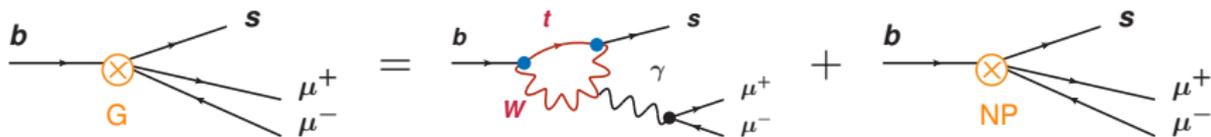
$$G \sim \frac{e^2}{16\pi^2} G_F \frac{m_t^2}{m_W^2} V_{tb} V_{ts}^* + \frac{C_{NP}}{\Lambda_{NP}^2}$$

measure
precisely

calculate precisely
the SM contribution

get information on
NP coupling and scale

Probing New Physics with Flavor



$$G \sim \frac{e^2}{16\pi^2} G_F \frac{m_t^2}{m_W^2} V_{tb} V_{ts}^* + \frac{C_{NP}}{\Lambda_{NP}^2}$$

measure
precisely

calculate precisely
the SM contribution

get information on
NP coupling and scale

“Anomalies” in flavor observables could establish
a new scale in particle physics

Flavor at Circular e^+e^- Colliders

Running on the Z pole allows one to probe the flavor structure of Z couplings with extreme precision.

Running on the Z pole allows one to probe the flavor structure of Z couplings with extreme precision.

In addition one gets very large samples of
all b hadrons, c hadrons, τ 's
with large boost in a clean environment.

Running on the Z pole allows one to probe the flavor structure of Z couplings with extreme precision.

In addition one gets very large samples of
all b hadrons, c hadrons, τ 's
with large boost in a clean environment.

Running in Higgs factory mode can probe
FCNC single top production

Running on the Z pole allows one to probe the flavor structure of Z couplings with extreme precision.

In addition one gets very large samples of
all b hadrons, c hadrons, τ 's
with large boost in a clean environment.

Running in Higgs factory mode can probe
FCNC single top production

\Rightarrow unique sensitivity to a large number of flavor
processes that are not accessible at LHC(b) or Belle II

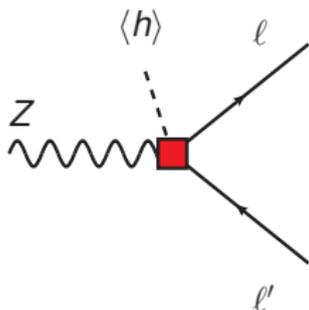
Flavor Violating Z Decays

- ▶ SM (with neutrino masses) predicts vanishingly small rates. Any observation in the foreseeable future would be a **clear sign of new physics**.
- ▶ **Existing Bounds** from LEP and LHC around 10^{-6} . Expect sensitivities to **improve by ~ 1 order of magnitude** at the HL-LHC.
- ▶ Expected Sensitivity with 10^{12} Z bosons: preliminary study in the context of FCC-ee (Mogens Dam 1811.09408)
 $BR(Z \rightarrow \mu e) \sim 10^{-10}$ and $BR(Z \rightarrow \tau \ell) \sim 10^{-9}$

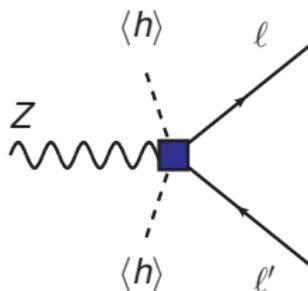
Complementarity with Low Energy Probes

- ▶ Parameterize New Physics in a systematic and controlled way in terms of dim-6 operators of the SMEFT

dipoles

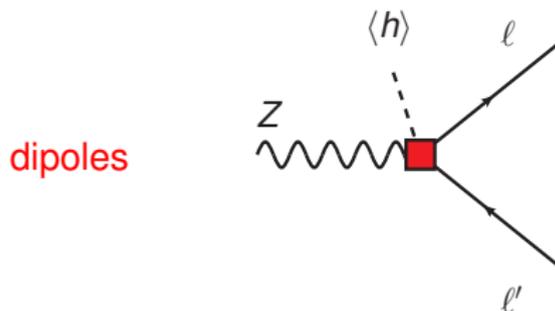


Higgs
currents

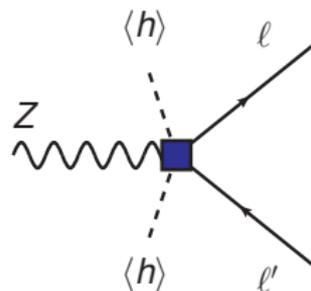


Complementarity with Low Energy Probes

- ▶ Parameterize New Physics in a systematic and controlled way in terms of dim-6 operators of the SMEFT



Higgs
currents



- ▶ Many flavor violating **low energy processes** will be affected as well.
- ▶ Severe indirect constraints on $Z \rightarrow \mu e$ from $\mu \rightarrow e\gamma$, $\mu \rightarrow 3e$, $\mu \rightarrow e$ conversion (barring accidental cancellations).
- ▶ **Complementary** sensitivity in the case of taus.
(see talk by Lorenzo Calibbi)

b Hadrons from 10^{12} Z bosons

Particle	@ Tera- Z	@ Belle II		@ LHCb
b hadrons				
B^+	6×10^{10}	3×10^{10}	(50 ab^{-1} on $\Upsilon(4S)$)	3×10^{13}
B^0	6×10^{10}	3×10^{10}	(50 ab^{-1} on $\Upsilon(4S)$)	3×10^{13}
B_s	2×10^{10}	3×10^8	(5 ab^{-1} on $\Upsilon(5S)$)	8×10^{12}
b baryons	1×10^{10}			1×10^{13}
Λ_b	1×10^{10}			1×10^{13}

► CEPC vs. Belle II:

- similar numbers of B^+ and B^0 , but not much B_s and no Λ_b at Belle II.
- $b\bar{b}$ from Z decays are **boosted**; efficient b tag from vertexing.

► CEPC vs. LHCb:

- lower yields at CEPC, but **cleaner environment** (e^+e^- vs. pp).
- much better access to final states with neutrals (π^0, γ, \dots).

Rare $b \rightarrow s\tau\tau$ Decays

- ▶ Rare b decays with taus in the final state are very weakly constrained at the moment.
- ▶ Expected sensitivities at LHCb and Belle II still **far from the SM predictions**.

$$\text{BR}(B_s \rightarrow \tau\tau)_{\text{SM}} = (7.7 \pm 0.5) \times 10^{-7} \quad (\text{Bobeth et al. 1311.0903})$$

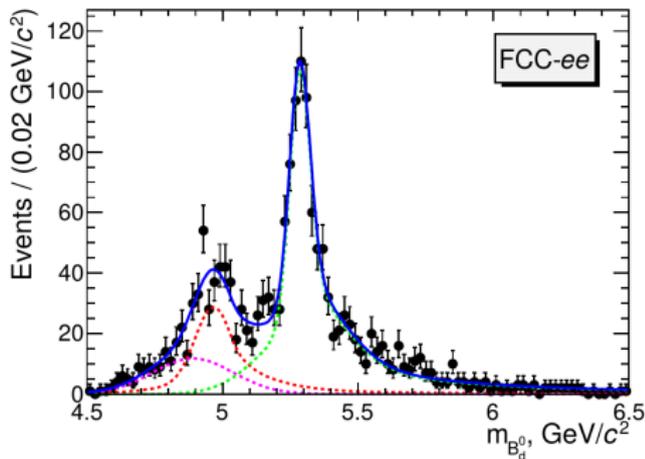
$$\text{BR}(B \rightarrow K\tau\tau)_{\text{SM}} = (1.2 \pm 0.1) \times 10^{-7} \quad (\text{Du et al. 1510.02349})$$

Observables	Belle 0.71 ab^{-1} (0.12 ab^{-1})	Belle II 5 ab^{-1}	Belle II 50 ab^{-1}
$\text{Br}(B^+ \rightarrow K^+\tau^+\tau^-) \cdot 10^5$	< 32	< 6.5	< 2.0
$\text{Br}(B^0 \rightarrow \tau^+\tau^-) \cdot 10^5$	< 140	< 30	< 9.6
$\text{Br}(B_s^0 \rightarrow \tau^+\tau^-) \cdot 10^4$	< 70	< 8.1	–

(Belle II Physics Book 1808.10567)

$B \rightarrow K^*_{\tau\tau}$ at the Z Pole

- ▶ Z vertex from primary tracks
 - ▶ B vertex from $K\pi$
 - ▶ tau vertices from 3 prong tau decays
- ⇒ decay can be fully reconstructed



(Kamenik, Monteil, Semkiv, Silva 1705.11106)

- ▶ with 10^{12} Z bosons expect **O(50) reconstructed $B \rightarrow K^*_{\tau\tau}$ events**
- ▶ backgrounds? (see talk by Lingfeng Li in the BSM session)

The Decays $B_c \rightarrow \ell \nu$

- ▶ Measuring $B_c \rightarrow \ell \nu$ branching ratios offers **determinations of V_{cb}** without form-factor uncertainties.
- ▶ Ratios of branching ratios are a probe of **lepton universality** of the weak interactions

$$\text{BR}(B_c \rightarrow \tau \nu) : \text{BR}(B_c \rightarrow \mu \nu) : \text{BR}(B_c \rightarrow e \nu) = m_\tau^2 : m_\mu^2 : m_e^2$$

- ▶ Signature of $B_c \rightarrow \ell \nu$:
on signal side **single charged track + missing energy**
Precision measurements of $B_c \rightarrow \tau \nu$ should be possible.
(see talk by Taifan Zheng)

Taus at the Z pole

Particle	@ Tera-Z	@ Belle II	
τ^+	3×10^{10}	5×10^{10}	(50 ab^{-1} on $\Upsilon(4S)$)

- ▶ Similar statistics w.r.t. Belle II, but larger boost at Z pole
- ▶ **Lepton universality tests in tau decays**

$$\frac{\text{BR}(\tau \rightarrow \mu\nu\nu)}{\text{BR}(\tau \rightarrow e\nu\nu)} = 0.9796 \pm 0.0016 \pm 0.0036 \quad (\text{BaBar } 0912.0242)$$

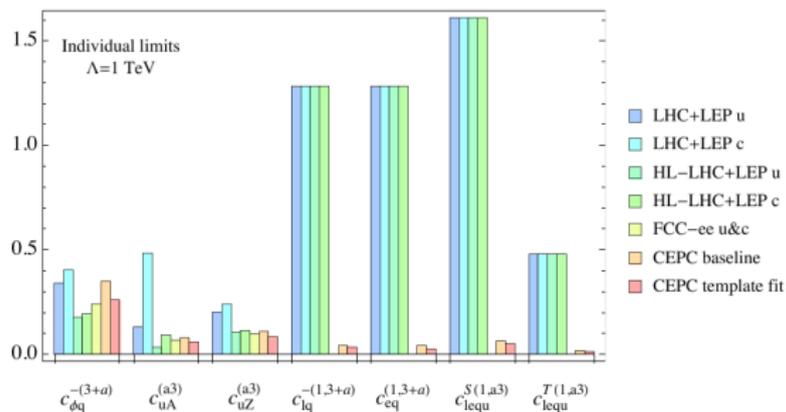
enormous statistics should help with the systematics (10^{-3} ? 10^{-4} ?).

- ▶ **Flavor violating tau decays** ($\tau \rightarrow \ell\gamma$, $\tau \rightarrow 3\ell$, $\tau \rightarrow \ell$ hadrons, ...)

Current limits (mainly) from B factories at the level of 10^{-7} to 10^{-8} .
Sensitivity improves by 1-2 orders of magnitude at Belle II.
Should be comparable at CEPC/FCC-ee.

Non-Standard Top Production @ 240 GeV

- ▶ Running in Higgs factory mode (240 GeV) is not sufficient for $t\bar{t}$ production. But, **single top production** possible in the presence of **non-standard flavor violating interactions** ($\bar{t}q$)($\bar{e}e$) (negligible in the SM)

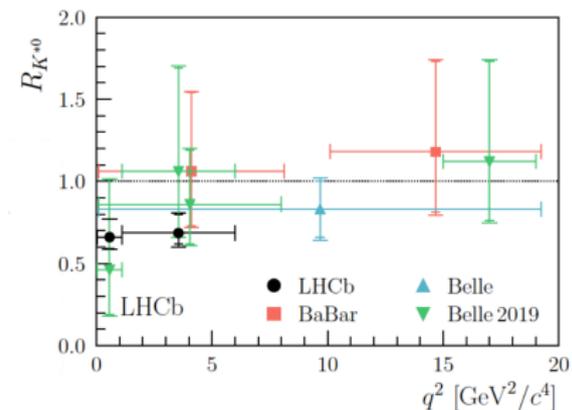
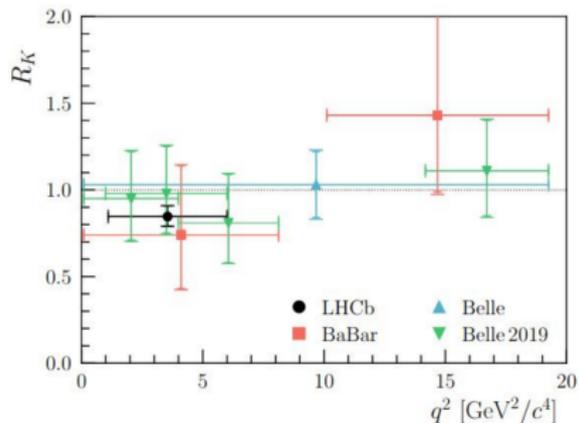


- ▶ Four fermion operators ($\bar{t}q$)($\bar{e}e$) can be probed up to **several TeV**

(Shi, Zhang 1906.04573)

Anomalies in Neutral Current B Decays

R_K and R_{K^*} : Experimental Situation



$$R_{K^{(*)}} = \frac{BR(B \rightarrow K^{(*)} \mu \mu)}{BR(B \rightarrow K^{(*)} e e)}$$

$$R_K^{[1,6]} = 0.846_{-0.054}^{+0.060} \pm 0.016$$

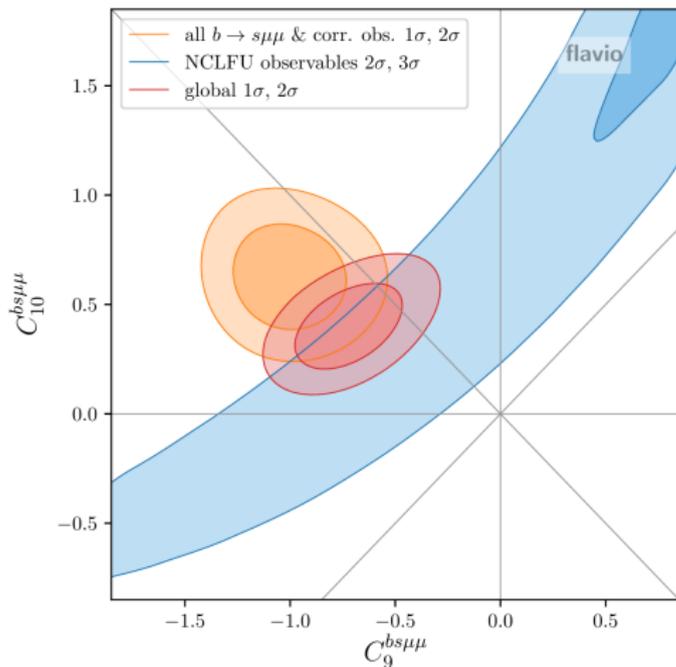
$$R_{K^*}^{[0.045, 1.1]} = 0.66_{-0.07}^{+0.11} \pm 0.03$$

$$R_{K^*}^{[1.1, 6]} = 0.69_{-0.07}^{+0.11} \pm 0.05$$

3 observables
deviating by $\sim 2\sigma - 2.5\sigma$
from the SM predictions $R \simeq 1$

$$\text{also: } R_{pK}^{[0.1, 6]} = 0.86_{-0.11}^{+0.14} \pm 0.05$$

Compatibility with Other $b \rightarrow s\mu\mu$ Anomalies



(Peter Stangl @ Beyond the Flavour Anomalies workshop
April 1, 2020; update of 1903.10434)

the LFU observables are fully compatible with other anomalies that are seen in $b \rightarrow s\mu\mu$ transitions (“ P'_5 and friends”)

Sufficient to describe all $b \rightarrow sll$ anomalies:

new physics in final states with muons

$$C_9^\mu(\bar{s}\gamma_\mu P_L b)(\bar{\mu}\gamma^\mu \mu)$$

+SM-like final states with electrons

Implications for the New Physics Scale

unitarity bound $\frac{4\pi}{\Lambda_{\text{NP}}^2} (\bar{s}\gamma_\nu P_L b)(\bar{\mu}\gamma^\nu \mu)$ $\Lambda_{\text{NP}} \simeq 120 \text{ TeV} \times (C_9^{\text{NP}})^{-1/2}$

generic tree $\frac{1}{\Lambda_{\text{NP}}^2} (\bar{s}\gamma_\nu P_L b)(\bar{\mu}\gamma^\nu \mu)$ $\Lambda_{\text{NP}} \simeq 35 \text{ TeV} \times (C_9^{\text{NP}})^{-1/2}$

MFV tree $\frac{1}{\Lambda_{\text{NP}}^2} V_{tb} V_{ts}^* (\bar{s}\gamma_\nu P_L b)(\bar{\mu}\gamma^\nu \mu)$ $\Lambda_{\text{NP}} \simeq 7 \text{ TeV} \times (C_9^{\text{NP}})^{-1/2}$

generic loop $\frac{1}{\Lambda_{\text{NP}}^2} \frac{1}{16\pi^2} (\bar{s}\gamma_\nu P_L b)(\bar{\mu}\gamma^\nu \mu)$ $\Lambda_{\text{NP}} \simeq 3 \text{ TeV} \times (C_9^{\text{NP}})^{-1/2}$

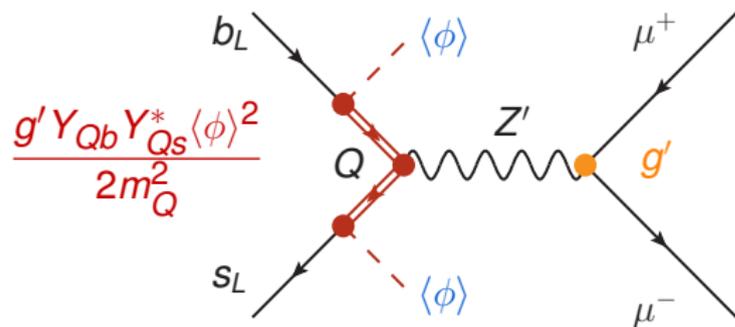
MFV loop $\frac{1}{\Lambda_{\text{NP}}^2} \frac{1}{16\pi^2} V_{tb} V_{ts}^* (\bar{s}\gamma_\nu P_L b)(\bar{\mu}\gamma^\nu \mu)$ $\Lambda_{\text{NP}} \simeq 0.6 \text{ TeV} \times (C_9^{\text{NP}})^{-1/2}$

(MFV = Minimal Flavor Violation)

My Favorite Model

Z' based on gauging $L_\mu - L_\tau$
with effective flavor violating couplings to quarks

WA, Gori, Pospelov, Yavin 1403.1269; WA, Yavin 1508.07009

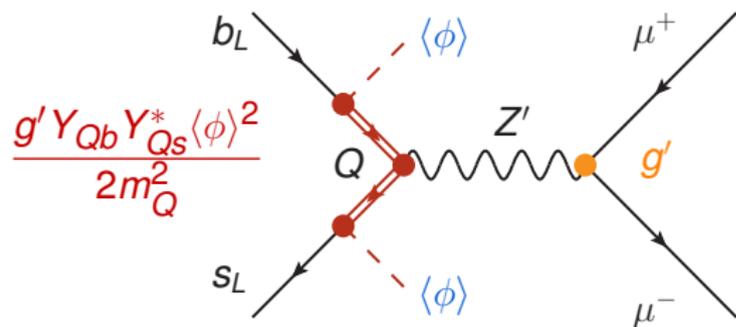


Q : heavy vectorlike fermions with mass $\sim 1 - 10$ TeV
 ϕ : scalar that breaks $L_\mu - L_\tau$

My Favorite Model

Z' based on gauging $L_\mu - L_\tau$
with effective flavor violating couplings to quarks

WA, Gori, Pospelov, Yavin 1403.1269; WA, Yavin 1508.07009



predicted Lepton
Universality Violation!

predicts absence of
Lepton Flavor Violation

Q : heavy vectorlike fermions with mass $\sim 1 - 10$ TeV
 ϕ : scalar that breaks $L_\mu - L_\tau$

see also recent extension of the model that includes flavor universal axial vector currents

WA, Davighi, Nardecchia 1909.02021

Testing the Anomalies at the Z Pole (1)

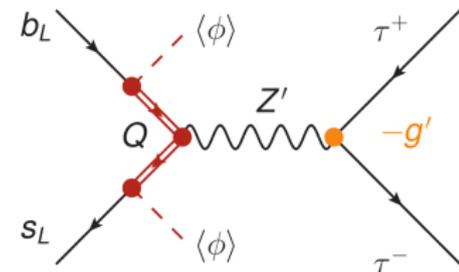
- ▶ Many models that address the anomalies in $R_{K^{(*)}}$ predict characteristic effects in $b \rightarrow s\tau\tau$

- ▶ Model with gauged $L_\mu - L_\tau$ predicts

(WA, Gori, Pospelov, Yavin 1403.1269)

$\text{BR}(B_s \rightarrow \tau\tau)$ is SM-like

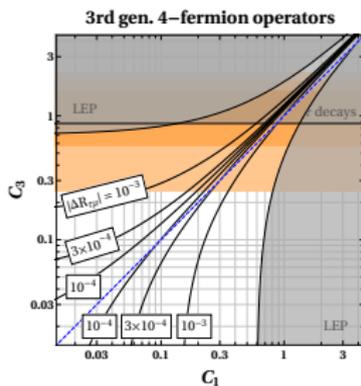
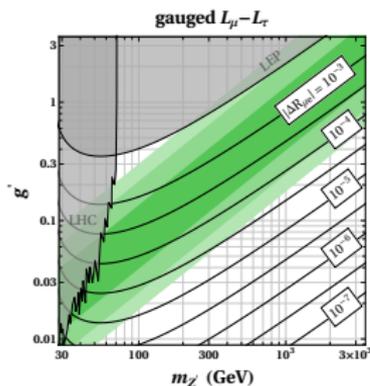
$\text{BR}(B \rightarrow K^{(*)}\tau\tau)$ is enhanced by $\sim 25\%$
with respect to SM



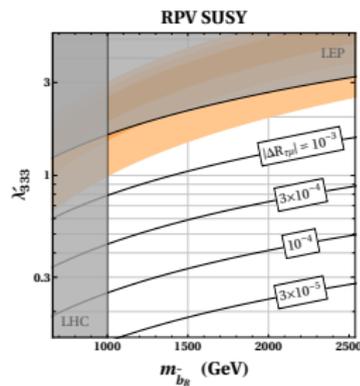
- ▶ Some **leptoquark** models predict order of magnitude enhanced $b \rightarrow s\tau\tau$ transitions. (see talk by Andreas Crivellin)

Testing the Anomalies at the Z Pole (2)

- ▶ Most models that address the anomalies in $R_{K^{(*)}}$ (and $R_{D^{(*)}}$) predict **lepton flavor universality violation in Z decays**
- ▶ With 10^{12} Z bosons, statistics is not an issue.
- ▶ Key is the control of **systematic uncertainties ($e/\mu/\tau$ efficiencies)**.
- ▶ relative BR measurements with 10^{-4} could probe essentially all parameter space of many models that explain $R_K, R_{K^*}, R_D, R_{D^*}$.



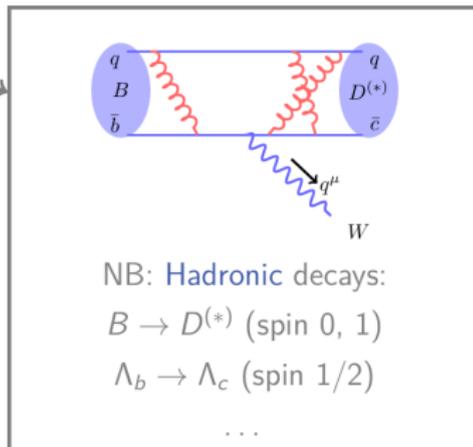
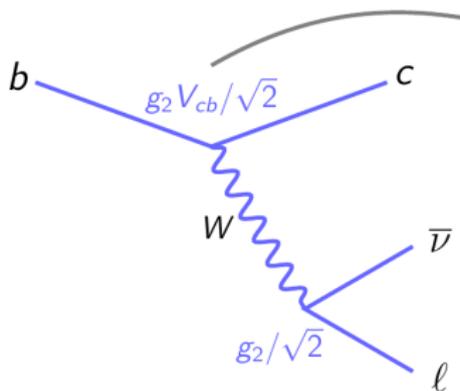
(WA, unpublished)



Anomalies in Charged Current B Decays

(slides prepared by Dean Robinson)

Semileptonic Decays: $b \rightarrow cl\nu$



- Tree-level W exchange (in the SM)
- Approx. 25% of all B decays: huge statistics!
- **Theoretically clean:**

Probe of **lepton flavor universality**
 $(\ell = e, \mu, \tau)$ up to masses: **PS**
 and **FF** effects

Measurement of $|V_{cb}|$ **inclusively** (OPE)
Hadronic matrix elements \implies **measure**
 $|V_{cb}|$ in exclusive modes

$|V_{cb}|$ anomaly

Inclusive $B \rightarrow X_c l \nu$ versus exclusive $B \rightarrow D^* l \nu$ ($l = e, \mu$)

$$|V_{cb}|_{X_c} \simeq (42.2 \pm 0.8) \times 10^{-3}$$

$$|V_{cb}|_{D^*} \simeq (38.7 \pm 0.7) \times 10^{-3}$$

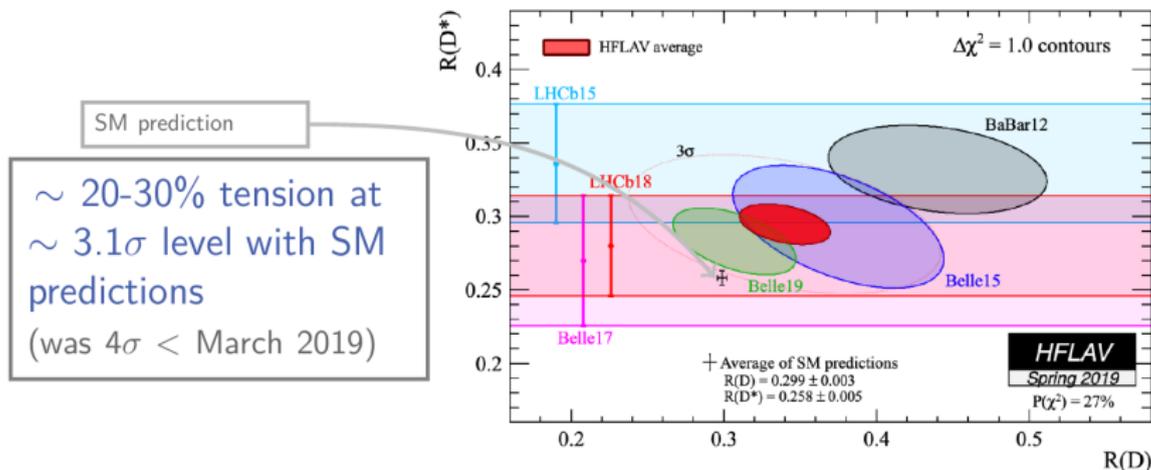
A 3σ tension?!?

$R(D^{(*)})$ anomaly

Can factor out $|V_{cb}|$, and measure the ratios

$$R(D^{(*)}) \equiv \frac{\Gamma[B \rightarrow D^{(*)}\tau\nu]}{\Gamma[B \rightarrow D^{(*)}l\nu]}, \quad l = e, \mu.$$

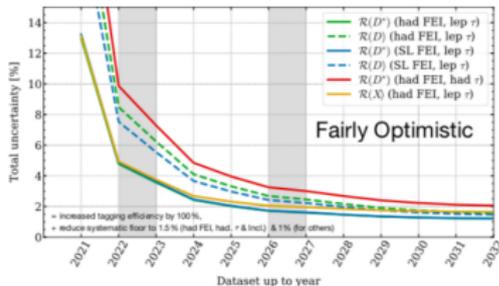
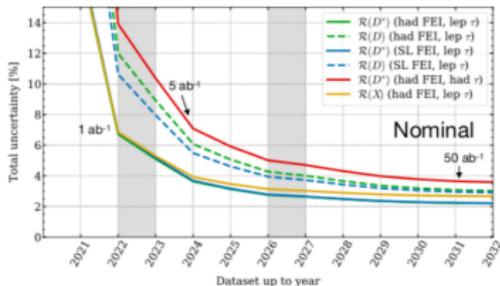
Persistent signals **lepton flavor universality violation** for 8+ years



Also mild anomaly in $B_c \rightarrow J/\psi\tau\nu$, and (possibly) in $B \rightarrow X_c\tau\nu$.

Future precision/measurements

- Belle II will likely achieve percent level precision in measurements of $R(D^{(*)})$.



Rough estimates of stat+sys uncertainties folded with lumi profile.

[Courtesy F Bernlochner]

- Can a future Tera-Z machine do better? Unclear....
 - Belle II: Will produce 10^{11} $B\bar{B}$ pairs; similar precision to LHCb including efficiencies etc
 - Tera-Z: Roughly few $\times 10^{10}$ $B\bar{B}$ pairs
- But....

"Golden"(?) Alternatives

Two important processes for $|V_{cb}|$ and LFUV ratios; neither will likely be measured at Belle II

1. $B_c \rightarrow \tau \nu$

- Approx few $\times 10^9$ B_c produced at a Tera-Z machine (approximately 10^{12} at LHCb, but much less clean!)
- $B_c \rightarrow J/\psi l \nu$ will be well-measured by LHCb, but $B_c \rightarrow \tau \nu$ is **hard** (2+ missing neutrinos)
- **Theoretically extremely clean** for $|V_{cb}|$ (only uncertainty from f_{B_c})
- Chiral suppression makes μ, e modes hard measure, but will set strong constraints on (pseudo)scalar NP operators

$$\Gamma[B_c \rightarrow \tau \nu] = \Gamma_{\text{SM}} \left[1 + C_{RL}^V + \frac{m_{B_c}^2}{m_\tau(m_b - m_c)} (C_{LL}^S - C_{RL}^S) \right]^2$$

"Golden"(?) Alternatives

2. $\Lambda_b \rightarrow \Lambda_c \ell \nu$

- Approx 10^{11} Λ_b produced at a Tera-Z machine (approximately 10^{14} at LHCb)
- **Some HQET:**
 - The brown muck is in spin-0 state: $\frac{1}{2}^+ \otimes 0^+ = \frac{1}{2}^+$. **The $\Lambda_{c,b}$ have the simplest HQET!**
 - Corrections to HQ limit enter at $1/m_c^2$. HQ expansion has only 2 unknown hadronic (Isgur Wise) functions at NNLO!
 - Size of one term already measured non-zero at 3σ
- $\Lambda_b \rightarrow \Lambda_c \ell \nu$ might be the **theoretically cleanest SL laboratory** for precision exclusive $|V_{cb}|$ measurements at a Tera Z facility. SM prediction $R(\Lambda_c) = 0.3237 \pm 0.0036$ already known to percent level

$b \rightarrow u$ Transitions

3. $b \rightarrow ul\nu$

- There is a similar inclusive/exclusive tension for $|V_{ub}|$
- The DCS mode $\Lambda_b \rightarrow p l \nu$ might be similarly powerful for measuring $|V_{ub}|$ at a Tera Z facility.
- Belle II will measure $B \rightarrow \pi / \rho l \nu$, including LFUV ratios for the τ mode
- Can one contemplate measuring $B_c \rightarrow D^{(*)} \tau \nu$ at a Tera Z facility?

- ▶ CEPC has unique sensitivity to a large number of flavor processes that are not accessible at LHC(b) or Belle II.
- ▶ Examples: Flavor violating Z decays, Lepton Universality in Z decays, rare $b \rightarrow s\tau\tau$ decays, rare $b \rightarrow s\nu\nu$ decays, B_c decays, $\Lambda_b \rightarrow \Lambda_c \ell\nu$ and $\Lambda_b \rightarrow p\ell\nu$ decays, flavor violating tau decays, lepton universality in tau decays, FCNC single top production, ...
- ▶ Dream scenario: (1) LHCb/Belle II conclusively establish new physics in $R_{K^{(*)}}$ and/or $R_{D^{(*)}}$; (2) CEPC observes predicted effects in $b \rightarrow s\tau\tau$ and $Z \rightarrow \mu\mu/Z \rightarrow ee$; (3) 100 TeV collider directly discovers the new physics.