

# $\tau$ Decay Mode Classification with Neural Network

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**NJU  $\tau$  meeting**  
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# Introduction

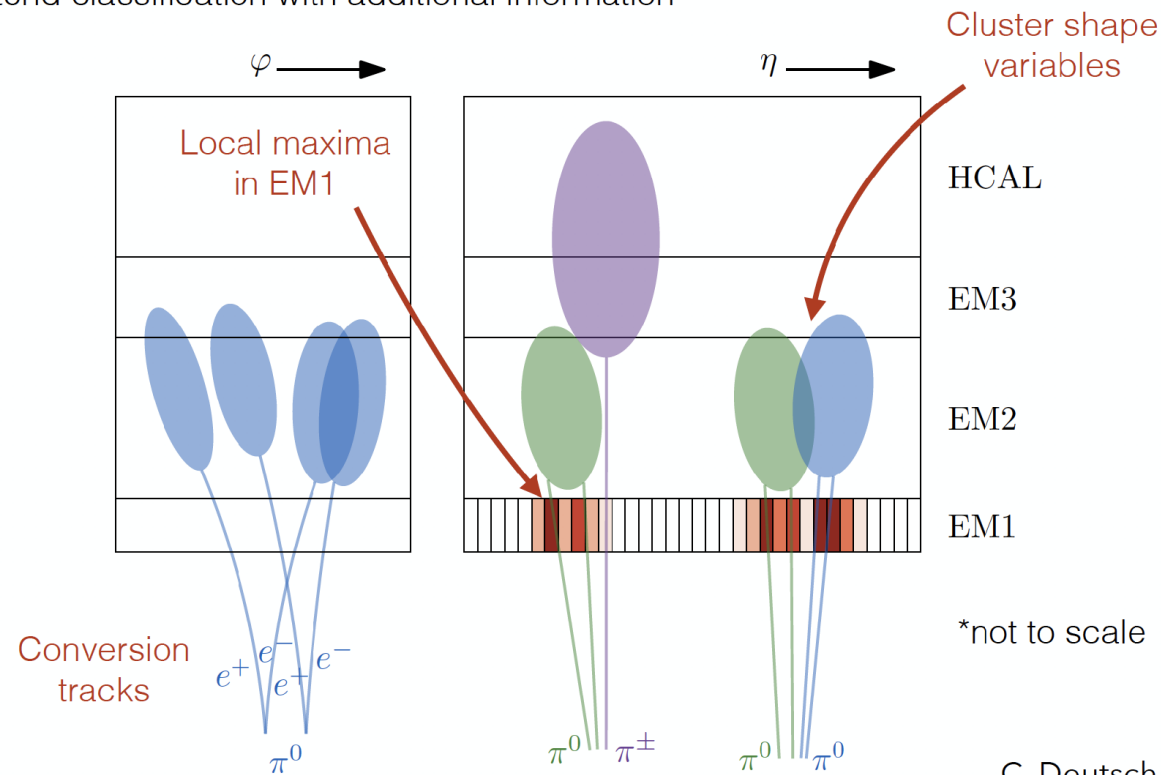
- Improve the five-way  $\tau$  decay mode classification using machine learning techniques:
- Categorized by the number of charged and neutral products
- Previously use kinematics of the decay products, the identification score of  $\pi^0$  and the number of photons

| Decay mode test             | $N(\pi_{\text{cand}}^0)$ | $N(\pi_{\text{ID}}^0)$ | Variables                                                                    |
|-----------------------------|--------------------------|------------------------|------------------------------------------------------------------------------|
| $h^\pm \{0, 1\}\pi^0$       | $\geq 1$<br>1            | 0<br>1                 | $S_1^{\text{BDT}}, f_{\pi^0,1}, \Delta R(h^\pm, \pi^0), D_{h^\pm}, N_\gamma$ |
| $h^\pm \{1, \geq 2\}\pi^0$  | $\geq 2$<br>$\geq 2$     | 1<br>$\geq 2$          | $S_2^{\text{BDT}}, f_{\pi^0}, m_{\pi^0}, N_{\pi^0}, N_\gamma$                |
| $3h^\pm \{0, \geq 1\}\pi^0$ | $\geq 1$<br>$\geq 1$     | 0<br>$\geq 1$          | $S_1^{\text{BDT}}, f_{\pi^0}, \sigma_{E_T, h^\pm}, m_{h^\pm}, N_\gamma$      |

# Introduction

- The algorithm will be upgraded to neural network version in Run3
- Use information from:
  - Charged PFO, Neutral PFO, Photon Shots and Conversion Tracks

- Extend classification with additional information

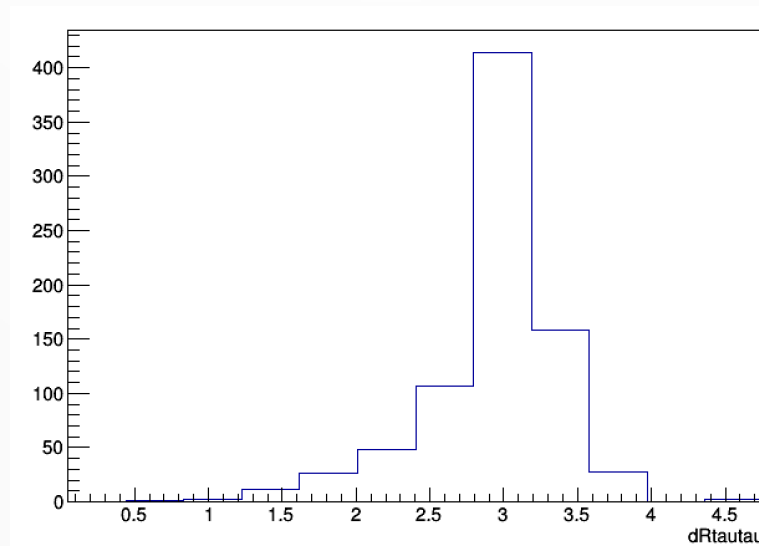


# Recap

- Last presentation:
  - Replace  $\text{Pi}^0$  BDT score by the  $\text{Pi}^0$  BDT ID inputs → gain the same performance
  - Show rough performance plots with python package
- Remaining task:
  - Overfitting issue
  - Impact of pt and tau ID requirements
  - C++ implementation

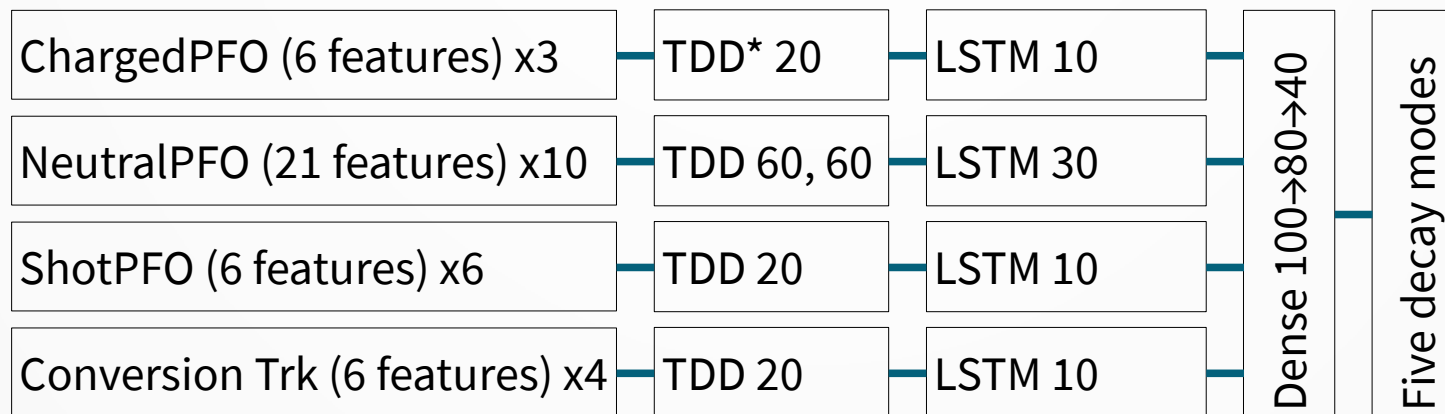
# Sample

- Still using mc16d Gammatautau
  - mc16\_13TeV:mc16\_13TeV.425200.Pythia8EvtGen\_A14NNPDF23L0\_Gammatautau\_MassWeight.merge.A0D.e5468\_s3126\_r10201\_r10210
- 4M for training (20% of it for validation) 4M for testing (split by mcEventNumber)
- pt selection:
  - Last time:  $pt < 100$  GeV, **this time:  $pt < 300$  GeV**



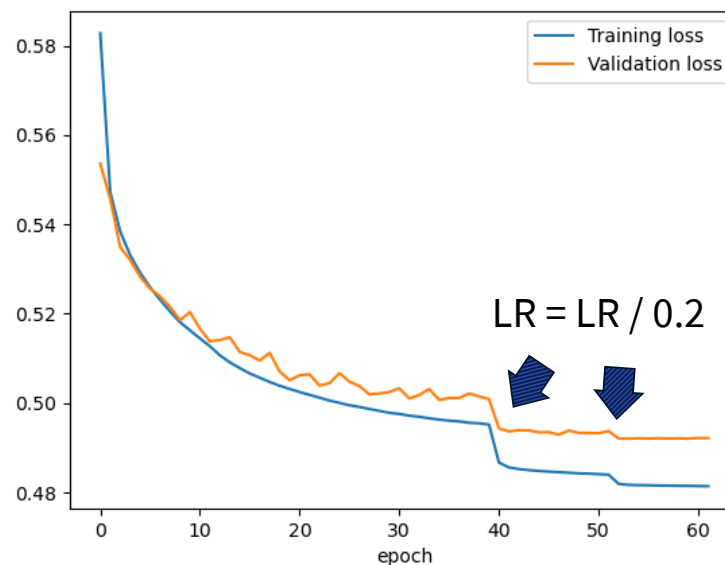
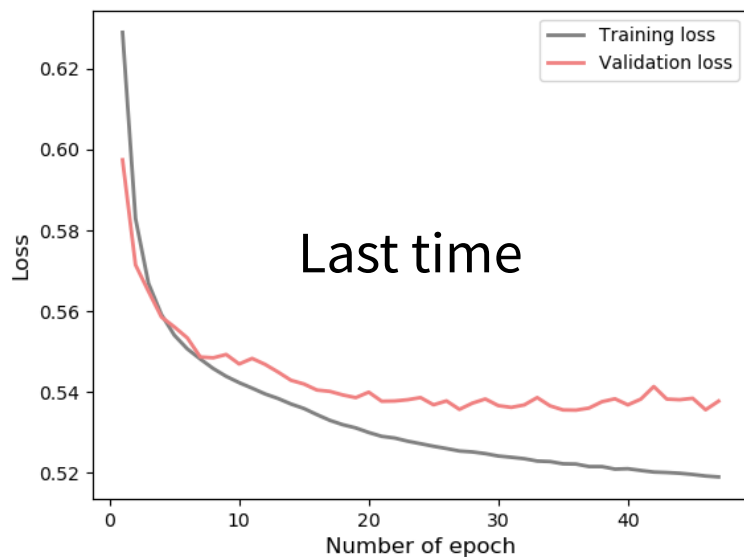
# RNN Model

- Simplify the model. Number of parameters is reduced by ~ a factor of 3. (Now ~40k) → overfit mitigates (see next)



;; Number of nodes roughly optimised by RandomSearch using KerasTuner package

# Overfitting issue



## Conclusion:

- The overfitting issue was mitigated and the validation performance improved a little.
- Further regularization method (Cross validation, drop out, ...) and learning rate schedule can still be considered.

# Evaluation

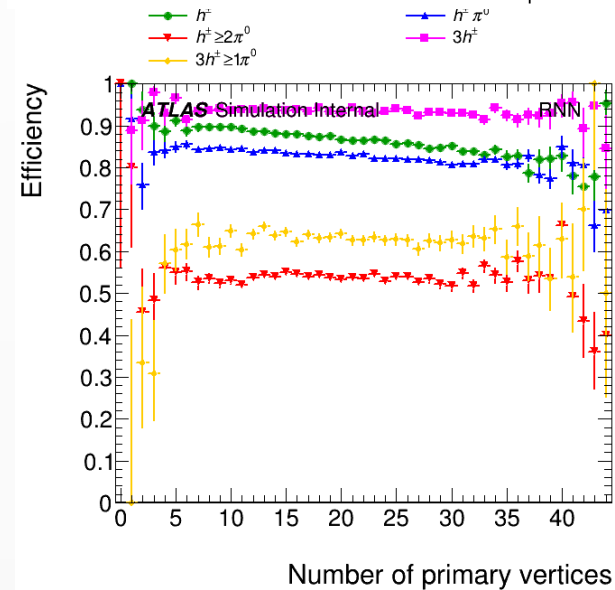
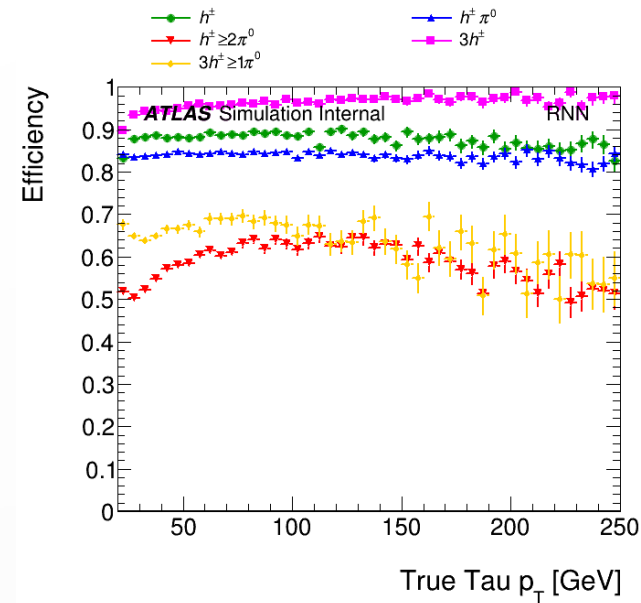
- The networks are converted and processed by LWTNN.
- The performance are evaluated by THOR/LOKI.
- THOR:
  - DecayModeClassifier (EnsureTrackConsistency=T)
  - NN Classifier prediction must be consistent with tau prongness. Avoid transition between 1-track and 3-track taus
- LOKI:
  - Same setup as PanTau PlotBook except some baseline cuts.
  - taus.pt10Truth → taus.pt20Truth, add truth match kinematic (eta25Truth, vetoCrackTruth)
  - No maximum pt requirement, ID WP = BDT medium

# Performance of RNN

| Reco Tau Decay Mode | ATLAS Simulation Internal |      |      | Diagonal: 79.5% Efficiency |      |
|---------------------|---------------------------|------|------|----------------------------|------|
|                     | 1p0n                      | 1p1n | 1pXn | 3p0n                       | 3pXn |
| 3pXn                | 0.0                       | 0.5  | 0.6  | 3.3                        | 63.2 |
| 3p0n                | 0.1                       | 0.2  | 0.1  | 93.5                       | 31.5 |
| 1pXn                | 0.7                       | 7.4  | 53.7 | 0.2                        | 1.2  |
| 1p1n                | 12.2                      | 83.0 | 43.4 | 1.3                        | 3.3  |
| 1p0n                | 87.1                      | 8.9  | 2.2  | 1.8                        | 0.8  |
|                     | 1p0n                      | 1p1n | 1pXn | 3p0n                       | 3pXn |

| Reco Tau Decay Mode | ATLAS Simulation Internal |      |      | Diagonal: 79.5% Purity |      |
|---------------------|---------------------------|------|------|------------------------|------|
|                     | 1p0n                      | 1p1n | 1pXn | 3p0n                   | 3pXn |
| 3pXn                | 0.1                       | 5.9  | 2.4  | 11.2                   | 80.5 |
| 3p0n                | 0.1                       | 0.5  | 0.1  | 88.2                   | 11.1 |
| 1pXn                | 1.2                       | 27.0 | 71.1 | 0.2                    | 0.5  |
| 1p1n                | 5.6                       | 78.7 | 15.0 | 0.4                    | 0.3  |
| 1p0n                | 80.3                      | 17.0 | 1.5  | 1.0                    | 0.2  |
|                     | 1p0n                      | 1p1n | 1pXn | 3p0n                   | 3pXn |

True Tau Decay Mode



# Performance of RNN vs BDT

BDT

| Reco Tau Decay Mode PanTau | <b>ATLAS</b> Simulation Internal |      |      |                            |      |
|----------------------------|----------------------------------|------|------|----------------------------|------|
|                            |                                  |      |      | Diagonal: 73.1% Efficiency |      |
|                            | 3pXn                             | 3p0n | 1pXn | 1p1n                       | 1p0n |
|                            | 0.0                              | 0.6  | 0.6  | 5.6                        | 58.8 |
|                            | 0.1                              | 0.1  | 0.1  | 91.2                       | 35.9 |
|                            | 2.0                              | 11.3 | 40.2 | 0.6                        | 1.7  |
| True Tau Decay Mode        | 1p0n                             | 1p1n | 1pXn | 3p0n                       | 3pXn |
|                            | 17.1                             | 77.5 | 56.4 | 1.4                        | 3.2  |
|                            | 80.9                             | 10.5 | 2.8  | 1.2                        | 0.4  |

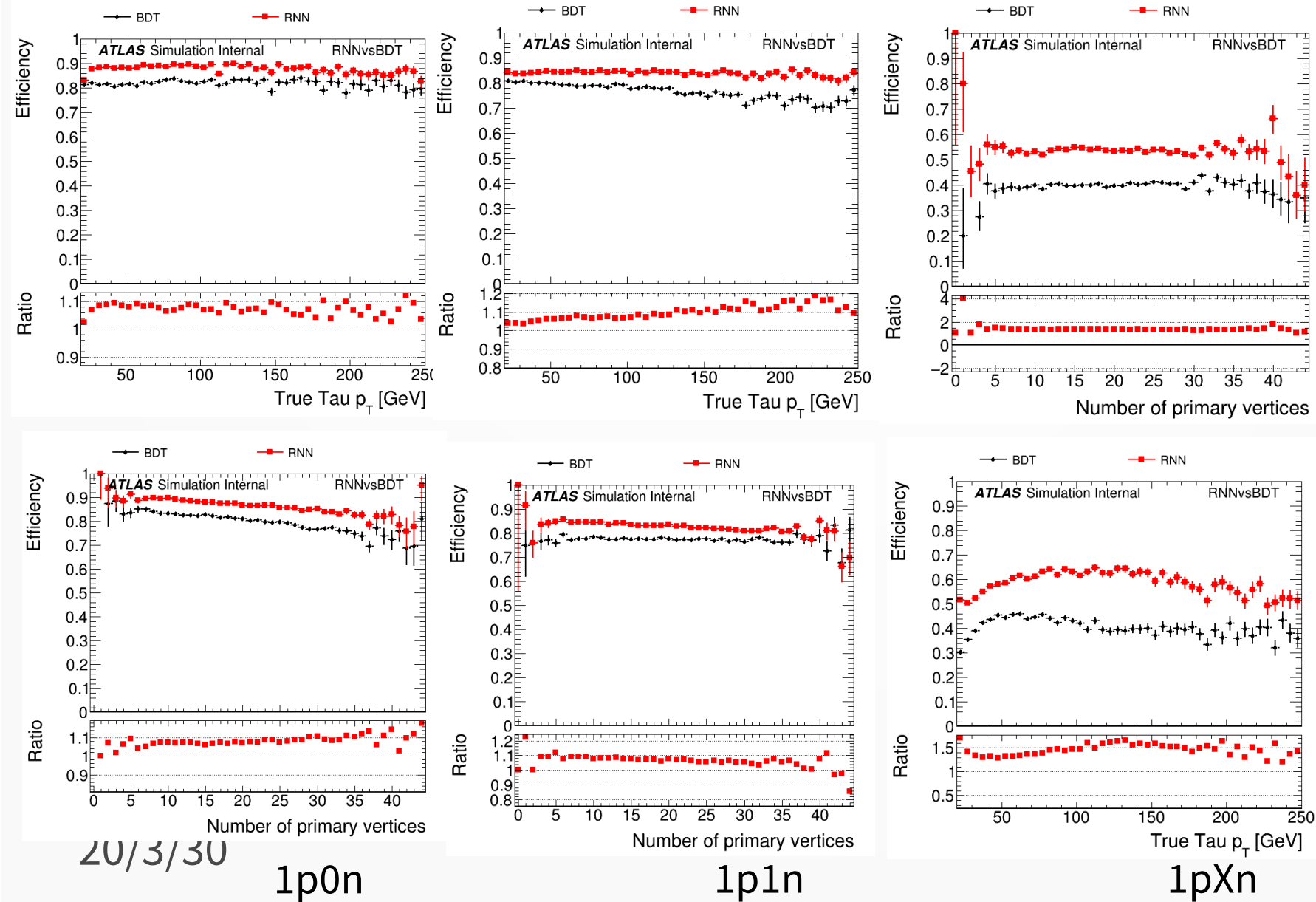
| Reco Tau Decay Mode PanTau | <b>ATLAS</b> Simulation Internal |      |      |                        |      |
|----------------------------|----------------------------------|------|------|------------------------|------|
|                            |                                  |      |      | Diagonal: 73.1% Purity |      |
|                            | 3pXn                             | 3p0n | 1pXn | 1p1n                   | 1p0n |
|                            | 0.1                              | 6.2  | 2.4  | 18.6                   | 72.7 |
|                            | 0.1                              | 0.4  | 0.1  | 86.8                   | 12.7 |
|                            | 3.5                              | 41.5 | 53.7 | 0.6                    | 0.7  |
| True Tau Decay Mode        | 1p0n                             | 1p1n | 1pXn | 3p0n                   | 3pXn |
|                            | 7.7                              | 72.4 | 19.2 | 0.4                    | 0.3  |
|                            | 76.6                             | 20.6 | 2.0  | 0.7                    | 0.1  |

RNN

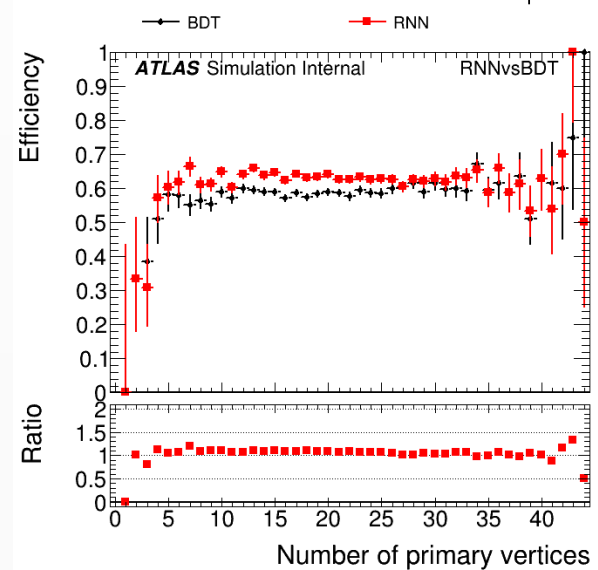
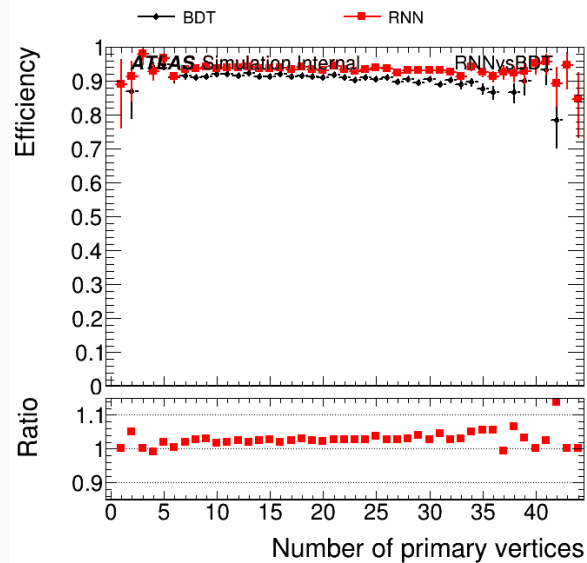
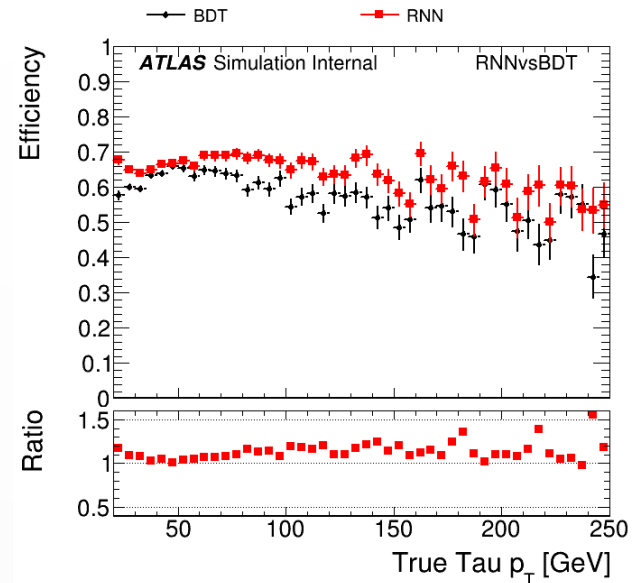
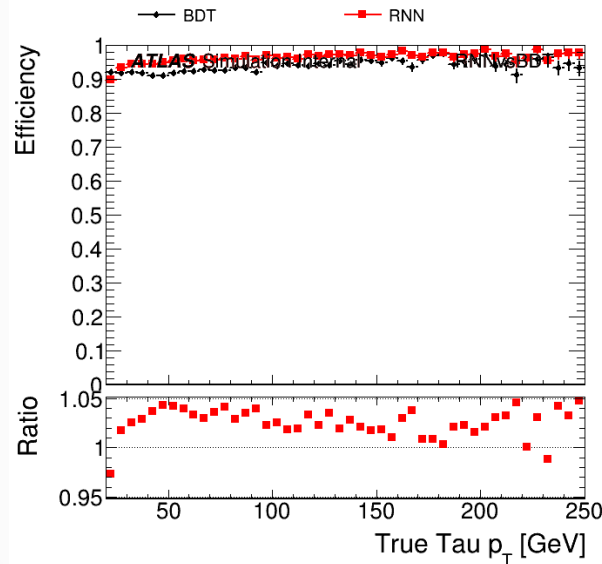
| Reco Tau Decay Mode | <b>ATLAS</b> Simulation Internal |      |      |                            |      |
|---------------------|----------------------------------|------|------|----------------------------|------|
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|                     | 3pXn                             | 3p0n | 1pXn | 1p1n                       | 1p0n |
|                     | 0.0                              | 0.5  | 0.6  | 3.3                        | 63.2 |
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|                     | 12.2                             | 83.0 | 43.4 | 1.3                        | 3.3  |
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| Reco Tau Decay Mode | <b>ATLAS</b> Simulation Internal |      |      |                        |      |
|---------------------|----------------------------------|------|------|------------------------|------|
|                     |                                  |      |      | Diagonal: 79.5% Purity |      |
|                     | 3pXn                             | 3p0n | 1pXn | 1p1n                   | 1p0n |
|                     | 0.1                              | 5.9  | 2.4  | 11.2                   | 80.5 |
|                     | 0.1                              | 0.5  | 0.1  | 88.2                   | 11.1 |
|                     | 1.2                              | 27.0 | 71.1 | 0.2                    | 0.5  |
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|                     | 5.6                              | 78.7 | 15.0 | 0.4                    | 0.3  |
|                     | 80.3                             | 17.0 | 1.5  | 1.0                    | 0.2  |

# Performance of RNN vs BDT



# Performance of RNN vs BDT



# Deep Sets: Brief Introduction

- Developed by Machine learning community:
  - [Paper](#) | [GitHub](#)
- To handle the case when the inputs (or outputs) are permutation invariant and have variable size.
- Collision events have the similar properties.
- Current algorithms do not work well with them:
  - DNNs: inputs have fixed size.
  - RNNs: have to define the order of the sequences
- Deep Sets in HEP
  - Energy Flow Networks: [Paper](#)
  - Jet flavour tagging: RNNIP

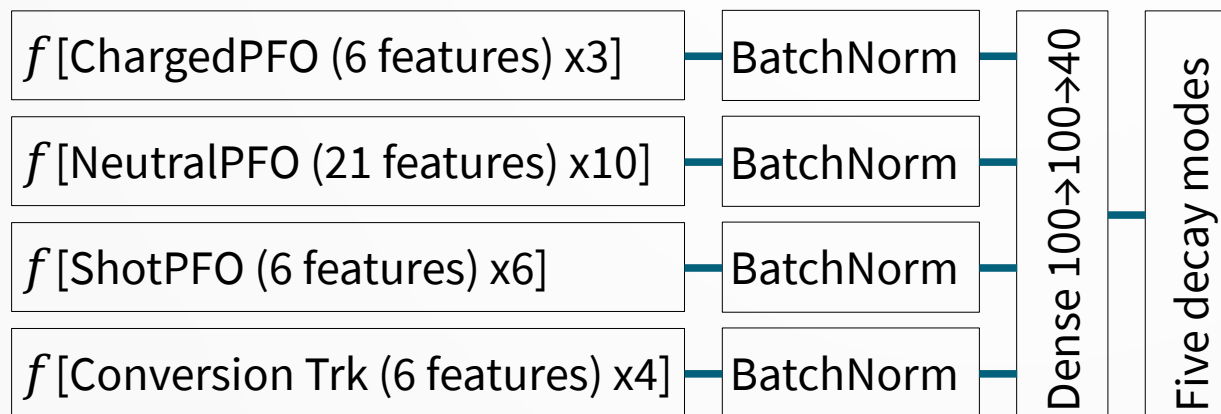
# Deep Sets: Invariant model

- Theorem:
  - A function  $f(X)$  operating on a set  $X$  is invariant to the permutation of instances in  $X$ , iff it can be decomposed in the form  $\rho(\sum \phi(x))$ , summing over element  $x$  in set  $X$ , with suitable transformation  $\phi$  and  $\rho$ .
- Network Architecture
  - $x \rightarrow$  representation  $\phi(x)$  by  $\phi$  network.
  - The representations are added up (SumLayer)
  - Then the sum is processed by  $\rho$  network.

There are also equivariant models, in which all layers are equivariant to the permutations of  $x$ . Here only consider invariant model.

# Deep Sets Model

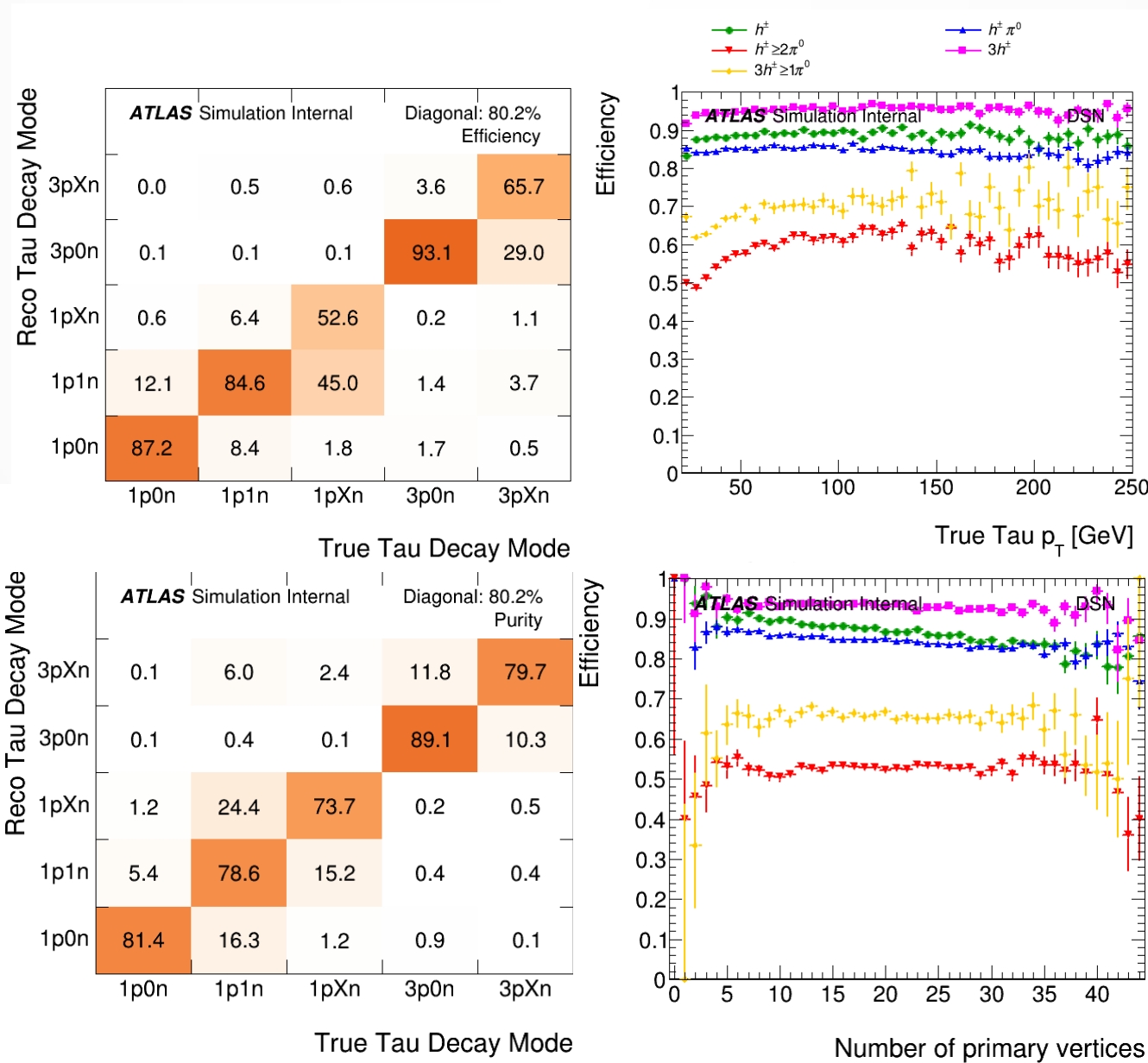
- Each PFO/track set is represented by  $f(x)=\rho(\Sigma\phi(x))$ , then passed to a batch normalisation layer and then merged.
- ~45k parameters in total. Training history much similar like RNN.



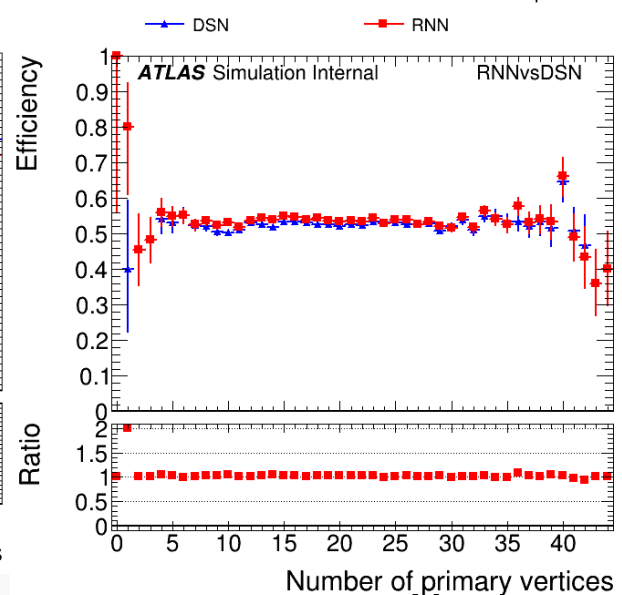
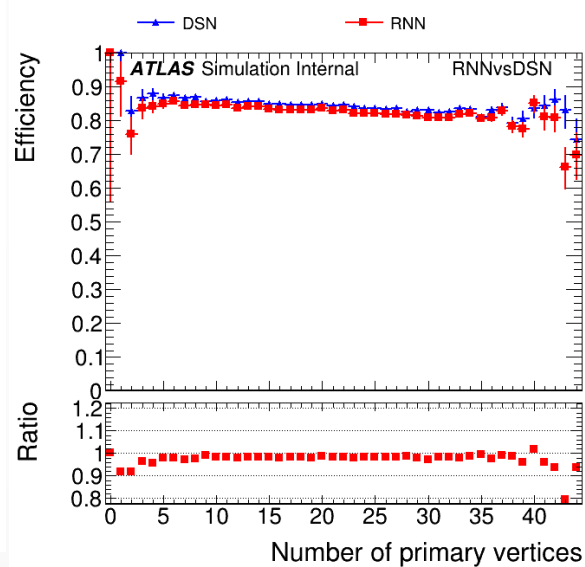
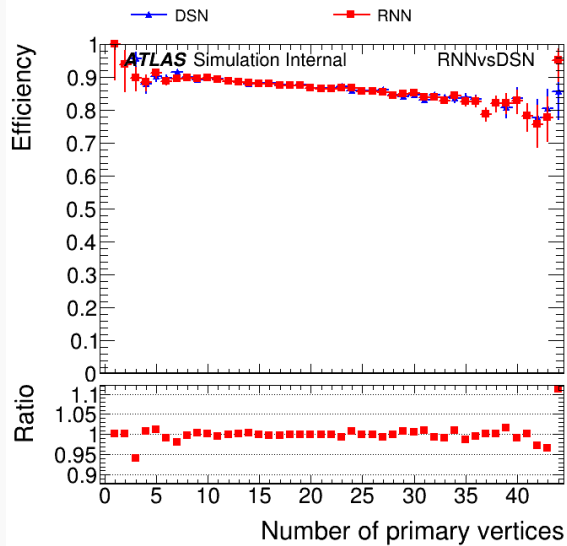
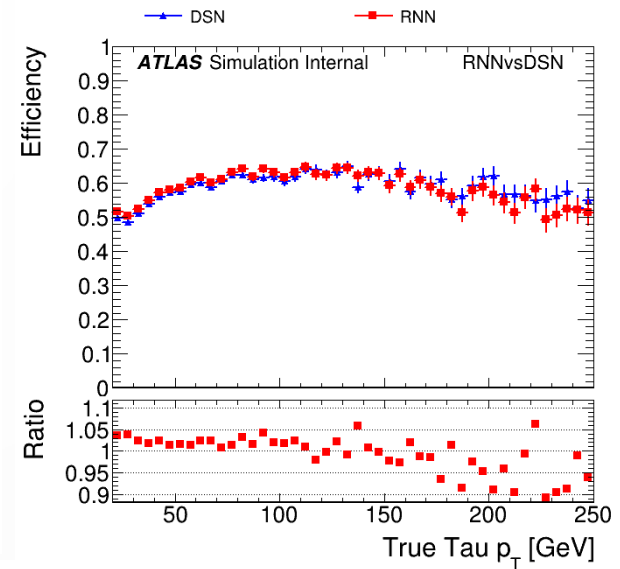
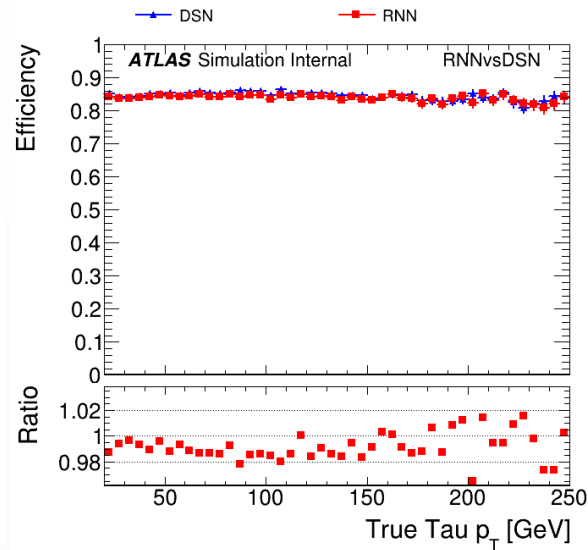
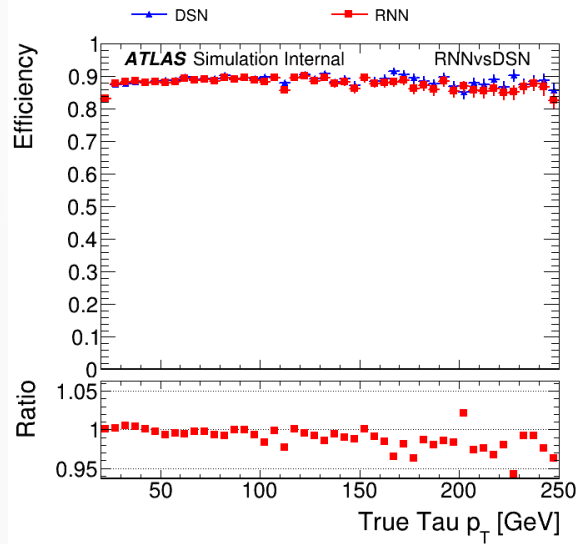
| nodes   | $\phi$ =TDD | $\rho$ =Dense |
|---------|-------------|---------------|
| Charged | 20,20,20    | 20,20,20      |
| Neutral | 80,80,60,60 | 60,60,40      |
| Shot    | 20,20,20    | 20,20,20      |
| ConvTrk | 20,20,20    | 20,20,20      |

;; Number of nodes roughly optimised by RandomSearch using KerasTuner package

# Performance of DSN



# Performance of DSN vs RNN



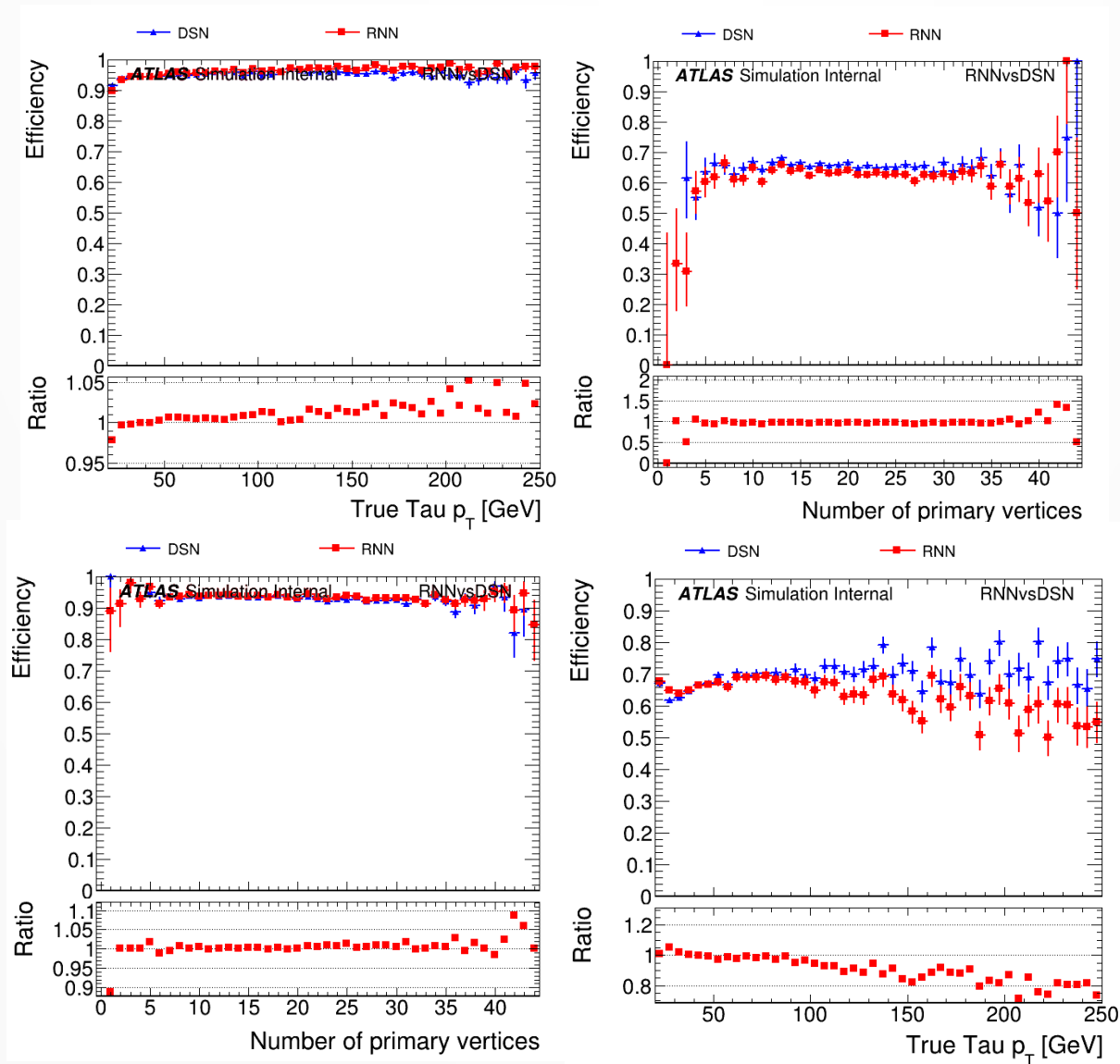
20/3/30

1p0n

1p1n

1pXn

# Performance of DSN vs RNN



# Summary

- Overtraining issue:
  - Mitigated by simplifying the model and tuning the LR schedule
    - The performance doesn't get worse.
    - Better than last time but still need some investigate.
- Rough hyper-parameter scan was attempted for RNN (and DSN)
- Evaluate on medium BDT ID taus shows better result
- Can use up to 300GeV taus for training (or remove the pt requirement?)
- Performance are evaluated using THOR/LOKI. Networks are inferred by LWTNN.
- RNN is compared with BDT:
  - Better performance for all mode
- RNN is compared with DSN:
  - Consistent behaviour.
  - DSN slightly outperforms. Needs double-check.

# Backup

