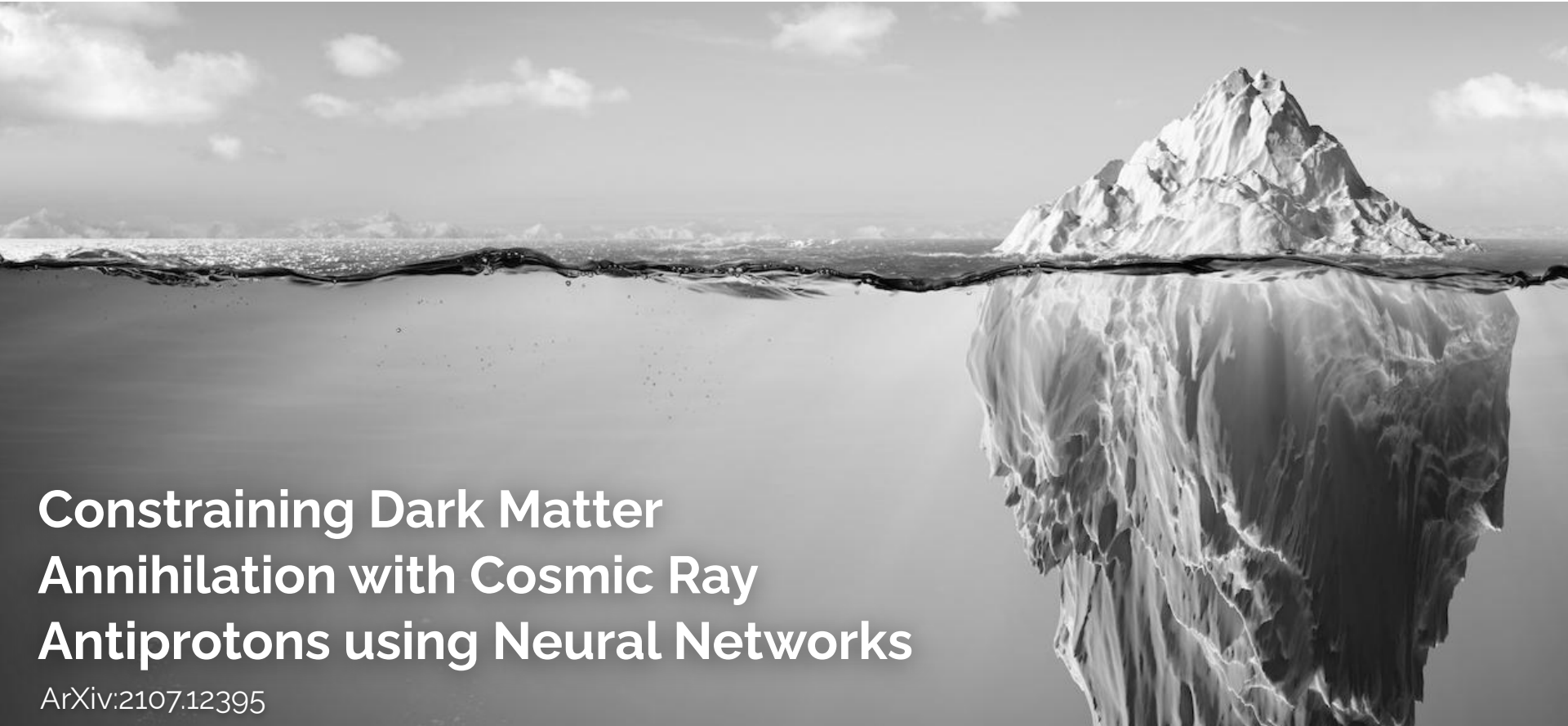


**TeV Particle Astroparticle 2021 // 29.10.2021**

Kathrin Nippel, Felix Kahlhöfer, Michael Korsmeier,  
Michael Krämer, Silvia Manconi

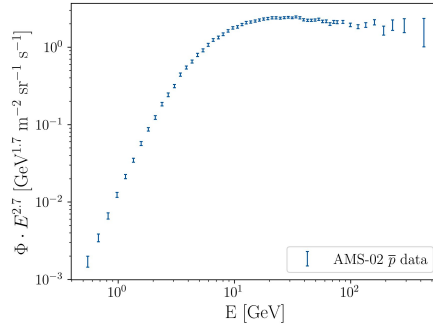


# Constraining Dark Matter Annihilation with Cosmic Ray Antiprotons using Neural Networks

ArXiv:2107.12395

# Antiprotons at AMS-02 for Indirect DM Searches

AMS-02 Measurement  
→ Phys. Rept. 894 (2021) 1–116.



Compare using  
 $\chi^2$  statistic

Model // Simulations

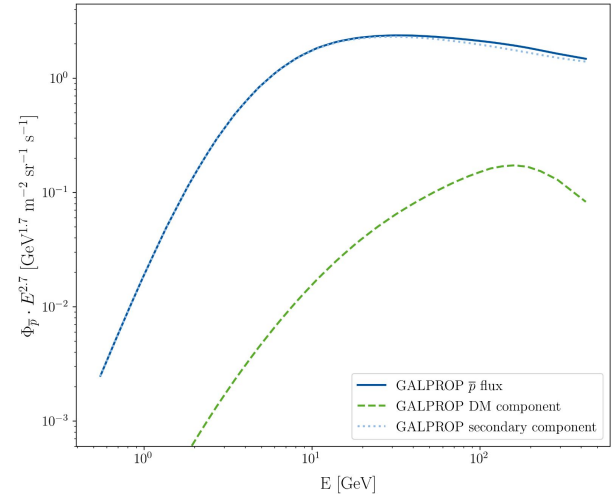


Dark Matter  
Annihilation

+



Cosmic-Ray  
Propagation



# Antiprotons at AMS-02 for Indirect DM Searches

## Model Parameters



Dark Matter Annihilation

$$m_{\text{DM}}$$

$$\langle \sigma v \rangle_{\text{ann}}$$

$$\chi\chi \rightarrow q\bar{q}, c\bar{c}, b\bar{b}, t\bar{t}, W^+W^-, ZZ, gg, hh$$



Cosmic Ray Propagation

$$z_h \quad D_0 \quad \delta$$

$$v_a \quad v_{0,c} \quad R_0$$

$$s \quad \gamma_1 \quad \gamma_2$$

$$\gamma_{1,p} \quad \gamma_{2,p}$$

Profiled Parameters

$$\varphi_{\text{Solar modulation, AMS-02}}$$

(Force Field Approximation:  
Astrophys. J. 206 (1976) 333–341)

$$A_{\bar{p}, \text{AMS-02}}$$

## Simulation

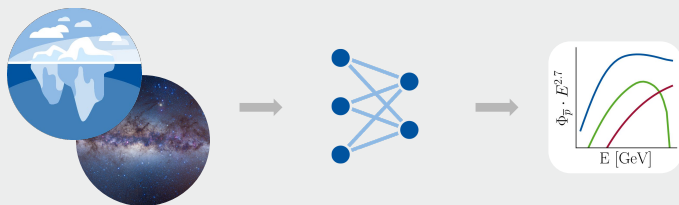


(Astrophys. J. 537 (2000) 763–784)

→ Computationally expensive!

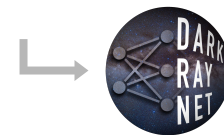
$\mathcal{O}(10^5)$  cpu hours scan for this number of parameters

# Outline



## 1. Artificial Neural Network Development

- 1.1. Training set
- 1.2. Architecture & training
- 1.3. Validation



## 2. Application to ID Analysis

- 2.1. Marginalization using importance sampling
- 2.2. Constraining  $\chi\chi \rightarrow b\bar{b}$
- 2.3. Constraining scalar singlet DM

## 3. Conclusion



## Dark Matter Annihilation

WIMP, 5 GeV - 5 TeV  
Injection spectra  
following "PPPC4DMID"  
[1012.4515]

Choose branching  
fractions randomly



## Cosmic Ray Propagation

MultiNest fit to AMS-02  
data (assuming no DM)

→ Understand relevant  
parameter space

see e.g. [1903.01472]

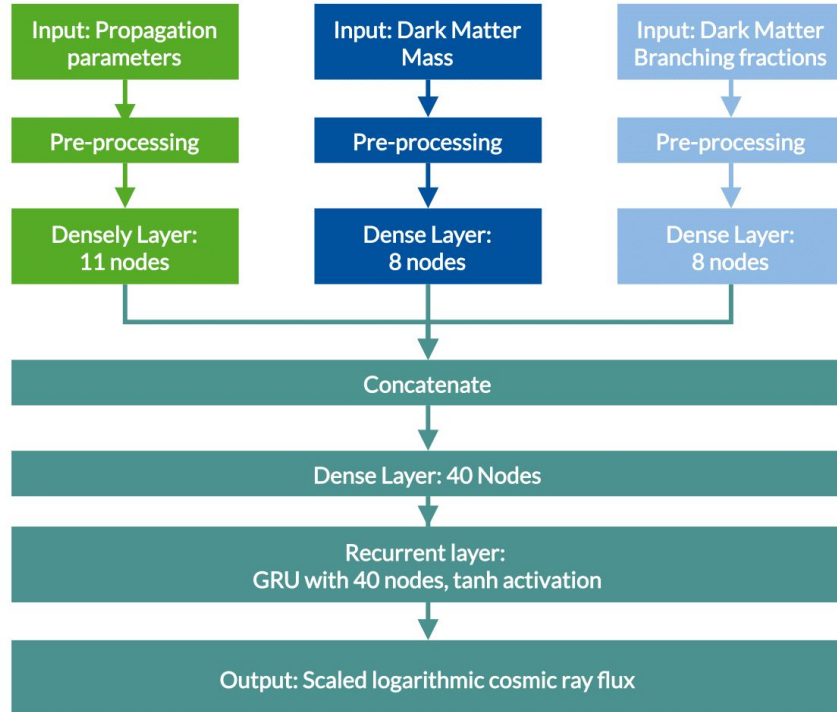


Extensive training set  
based on physical reasoning

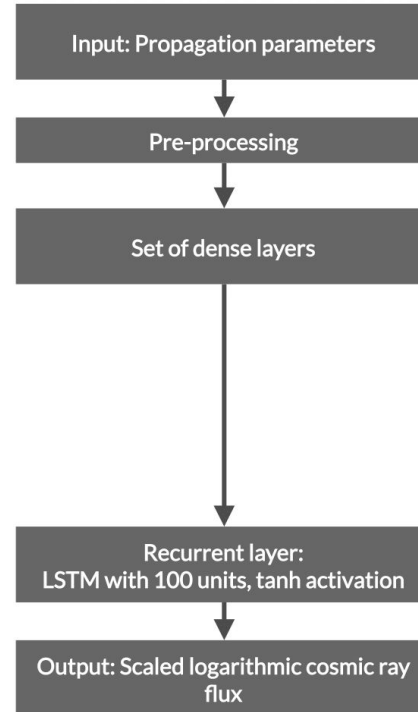
**Goal:** Neural network is suited to well  
represent relevant parameter space

# Architecture & Training

DMNet



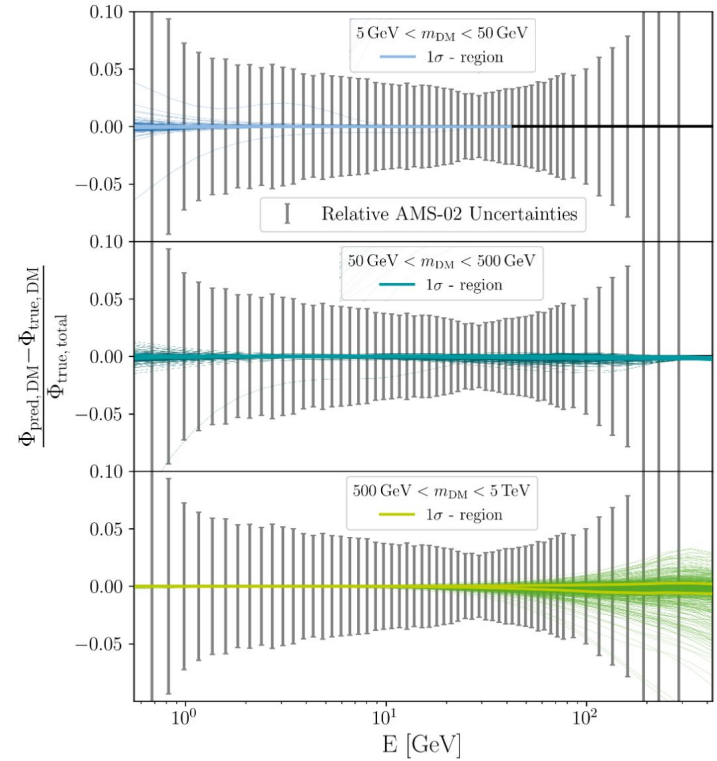
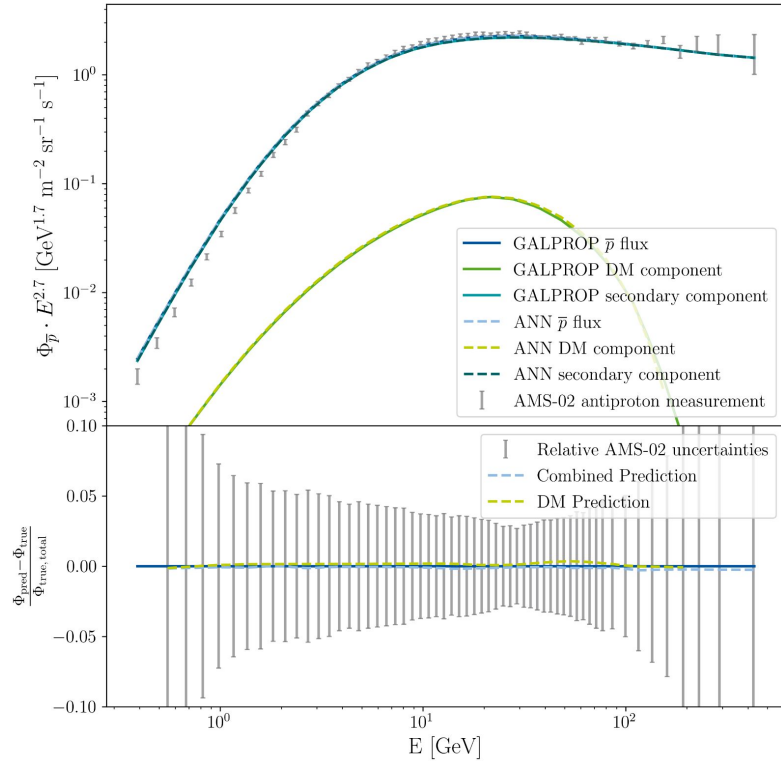
sNet



- Inputs normalized
  - Outputs scaled with
$$\tilde{\Phi}_{\text{DM}}(x) = \log_{10} (m_{\text{DM}}^3 x \Phi(E))$$
$$\tilde{\Phi}_{\text{s}}(E) = \log_{10} (\Phi(E) E^{2.7})$$
  - Recurrent layer used to learn smooth spectrum
- Exact input to output mapping

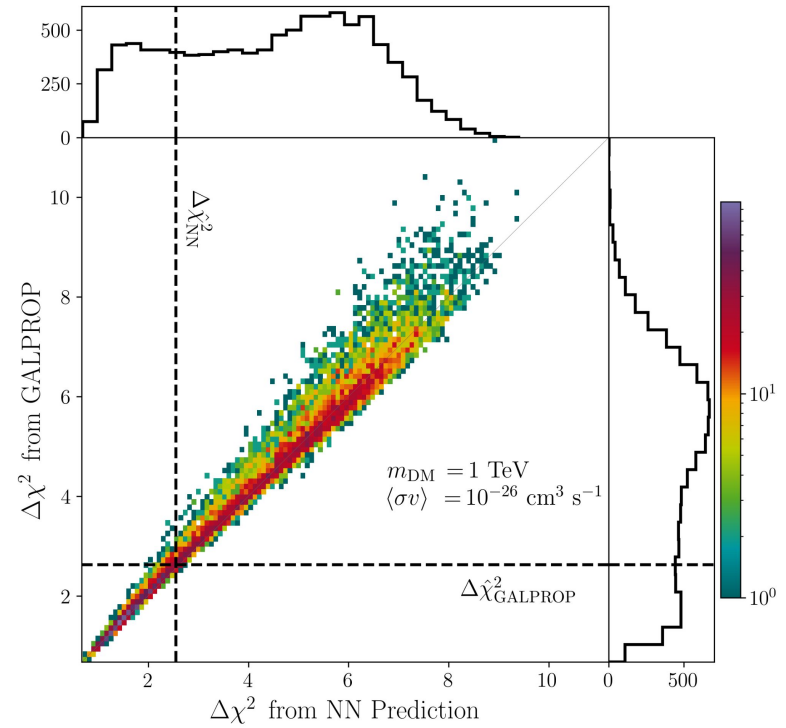
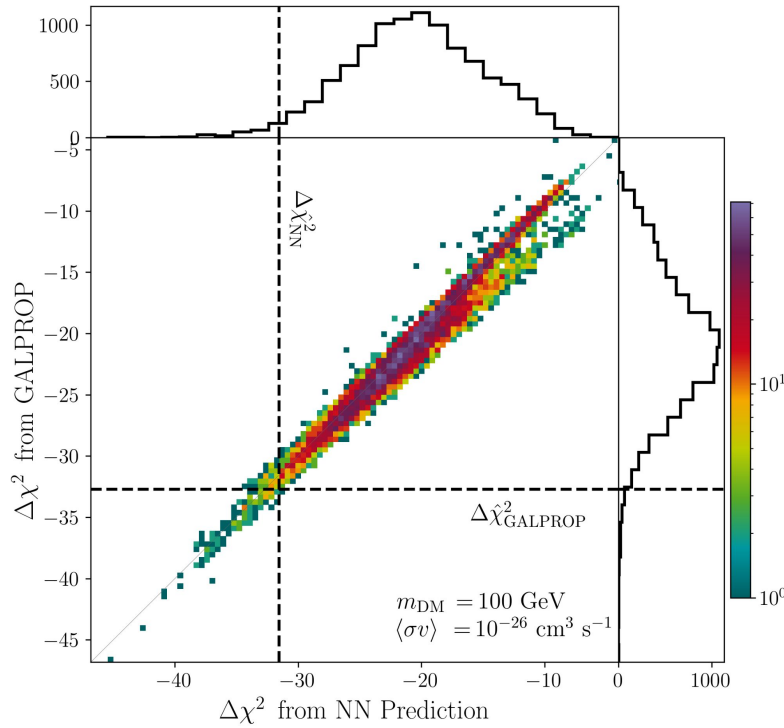
# Validation

## Direct Comparison of Spectra



→ Differences between network predictions and simulations of spectra sufficiently small compared to measurement uncertainties

# Validation Comparison of $\chi^2$



→  $\chi^2$  distributions agree for individual DM models and large sample of propagation parameter points



# Marginalization using Importance Sampling

Importance sampling  
see e.g.  
[2102.05407]

$$\bar{\mathcal{L}}(\mathbf{x}_{\text{DM}}) = \int \mathcal{L}(\mathbf{x}_{\text{DM}}, \boldsymbol{\theta}_{\text{prop}}) p(\boldsymbol{\theta}_{\text{prop}}) d\boldsymbol{\theta}_{\text{prop}}$$

$$\bar{\mathcal{L}}(\mathbf{x}_{\text{DM}}) \approx \frac{\sum_{i=1}^N \mathcal{L}(\mathbf{x}_{\text{DM}}, \boldsymbol{\theta}_i) \frac{p(\boldsymbol{\theta}_i)}{q(\boldsymbol{\theta}_i)}}{\sum_{i=1}^N \frac{p(\boldsymbol{\theta}_i)}{q(\boldsymbol{\theta}_i)}}$$

$$p(\boldsymbol{\theta}_i)/q(\boldsymbol{\theta}_i) \propto 1/\mathcal{L}_0(\boldsymbol{\theta}_i)$$

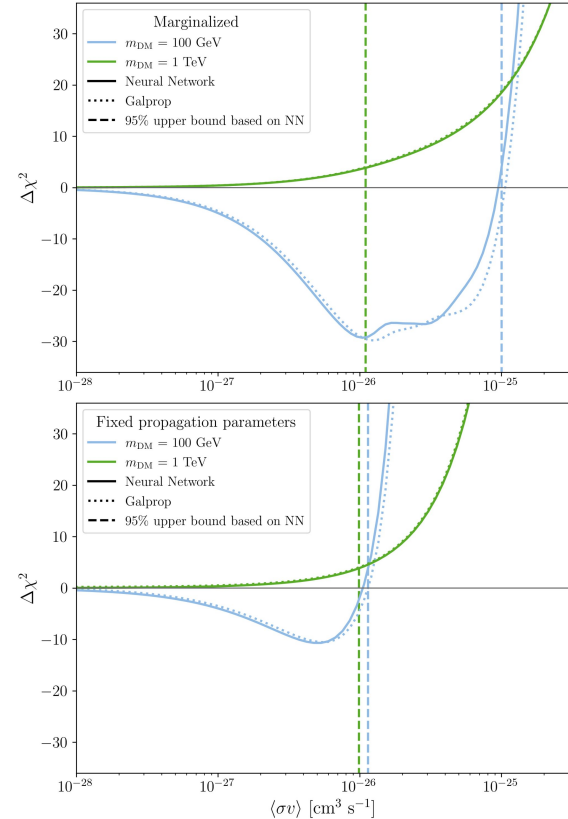
Posterior probability  
in absence of  
DM signal

$\chi^2 \propto -2 \log \mathcal{L}$

$$\Delta \bar{\chi}^2(\mathbf{x}_{\text{DM}}) = -2 \log \frac{\sum_{i=1}^N \exp\left(-\frac{\Delta \chi^2(\mathbf{x}_{\text{DM}}, \boldsymbol{\theta}_{\text{prop}})}{2}\right)}{\sum_{i=1}^N \exp\left(-\frac{\chi_0^2(\boldsymbol{\theta}_{\text{prop}})}{2}\right)} - \bar{\chi}_0^2$$

Resulting  $\chi^2\langle\sigma v\rangle$  distribution  
(before and after marginalization)

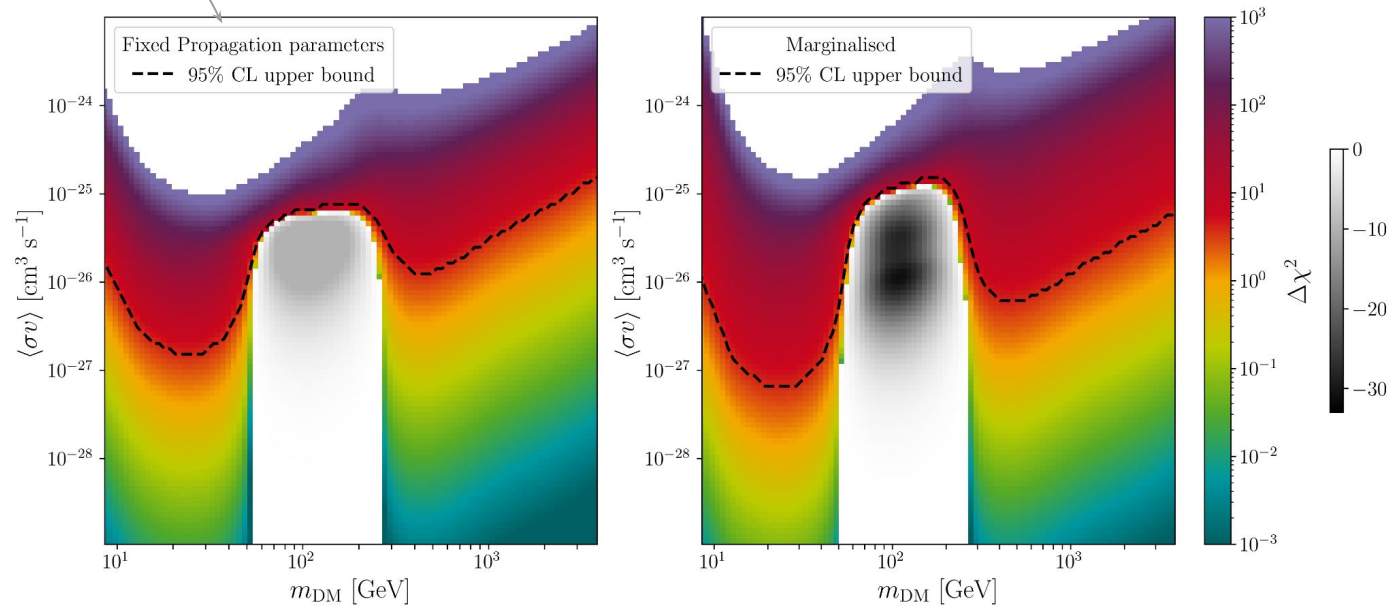
→ Calculation of 95% CL upper bounds on  $\langle\sigma v\rangle$



# Constraining $\chi\chi \rightarrow b\bar{b}$

Resulting  $\Delta\chi^2$  distribution for  $m_{\text{DM}} - \langle\sigma v\rangle$  parameter space + 95% CL exclusion bounds

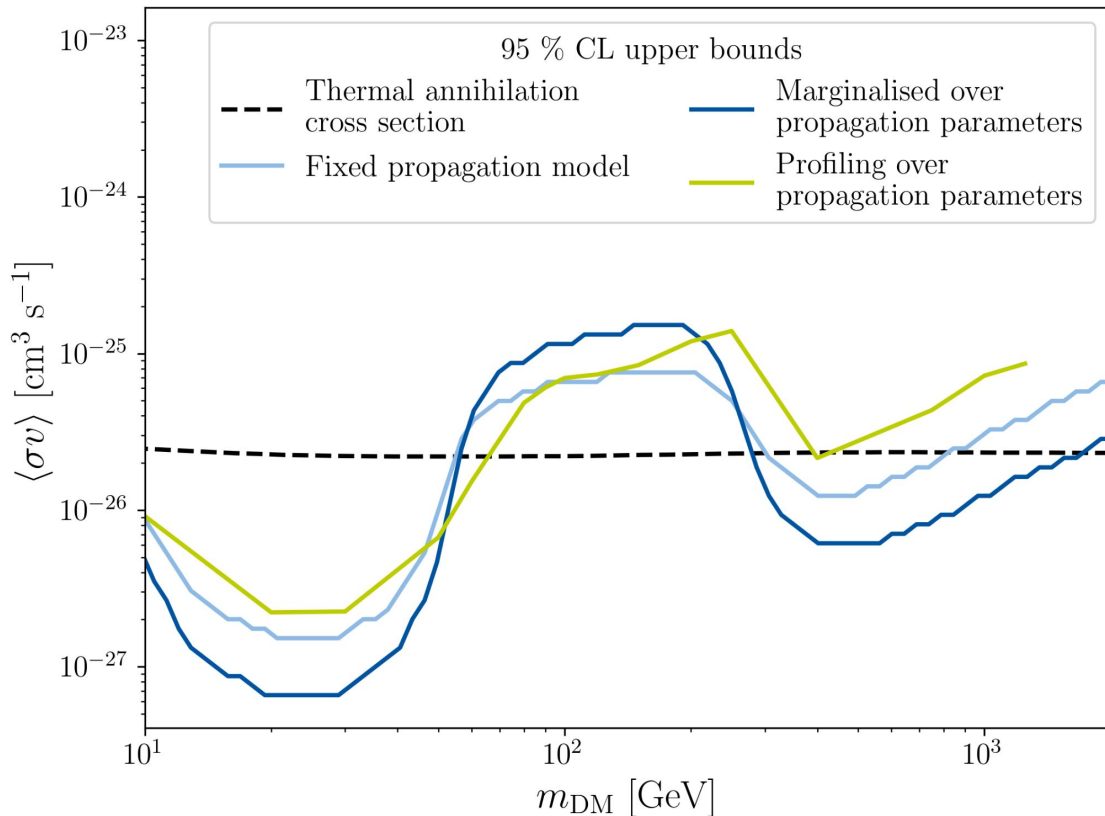
Best fit value  
(without DM)



→ Preference for DM with masses in the range of  $\sim 50\text{--}250$  GeV  
Marginalisation leads to relaxed exclusion bounds

# Constraining

$$\chi\chi \rightarrow b\bar{b}$$



→ Differences between bounds are result of the respective methods

→ Significant speed-up achieved by implementing ANN

- individual data points:  $> \mathcal{O}(10^3)$
- marginalization:  $\mathcal{O}(10^3)$
- profiling:  $\mathcal{O}(10^2)$

Profiling over propagation parameters:

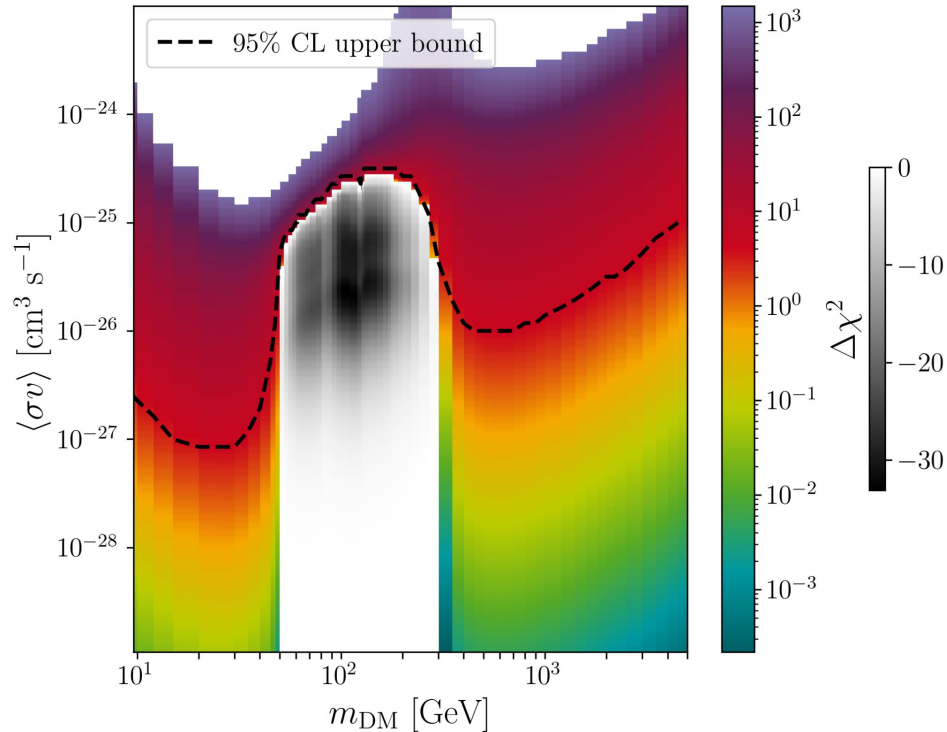
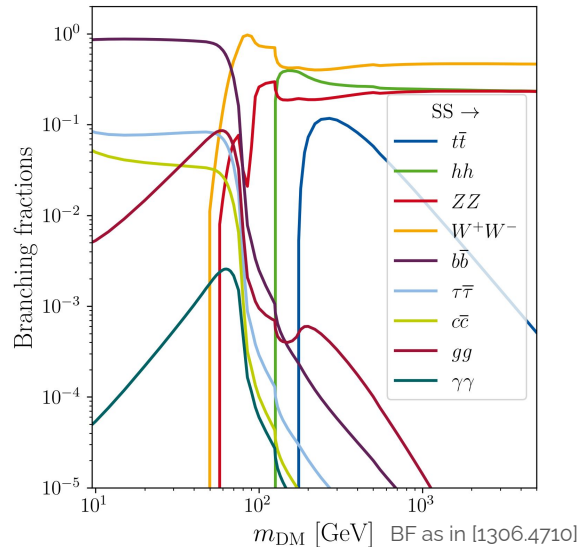
- MultiNest fit based on NN spectra
- Need to ensure no convergence towards untrained parameter regions

# Constraining Scalar Singlet Dark Matter

$$\mathcal{L} \supset \frac{1}{2} \partial_\mu S \partial^\mu S - \frac{1}{2} m_{S,0}^2 S^2 - \frac{1}{4} \lambda_S S^4 - \frac{1}{2} \lambda_{hs} S^2 H^\dagger H$$

→ see e.g. [hep-ph/0011335]

$$m_S = \sqrt{m_{S,0}^2 + \frac{1}{2} \lambda_{hs} v_0^2}$$



→ Neural network approach well suited for models with rich branching fraction structure

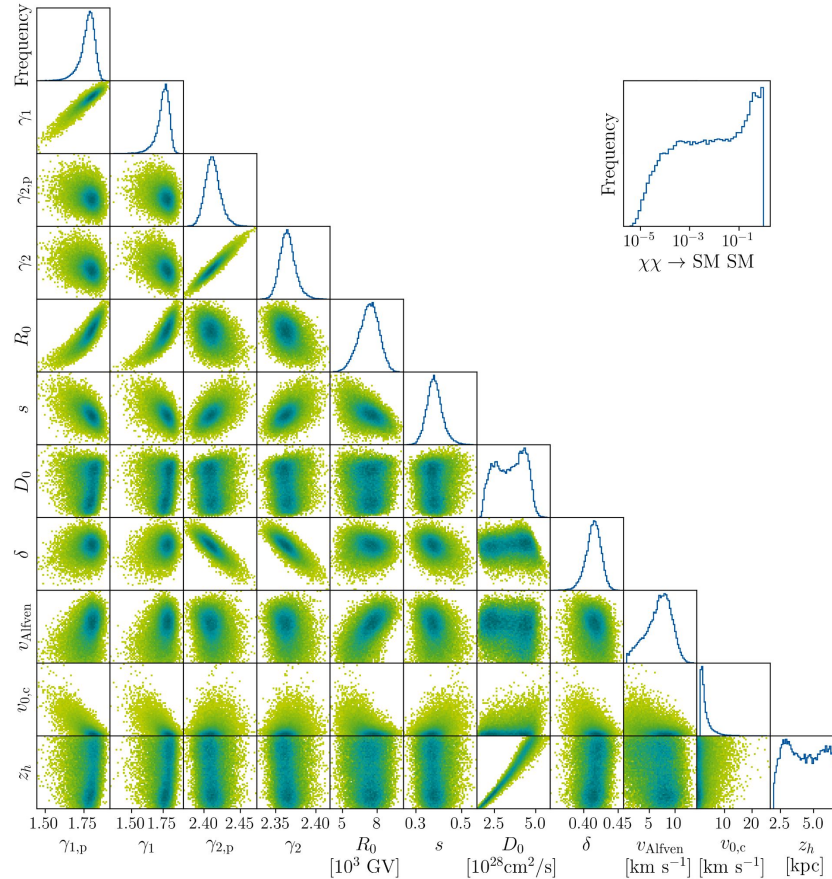
# Conclusions



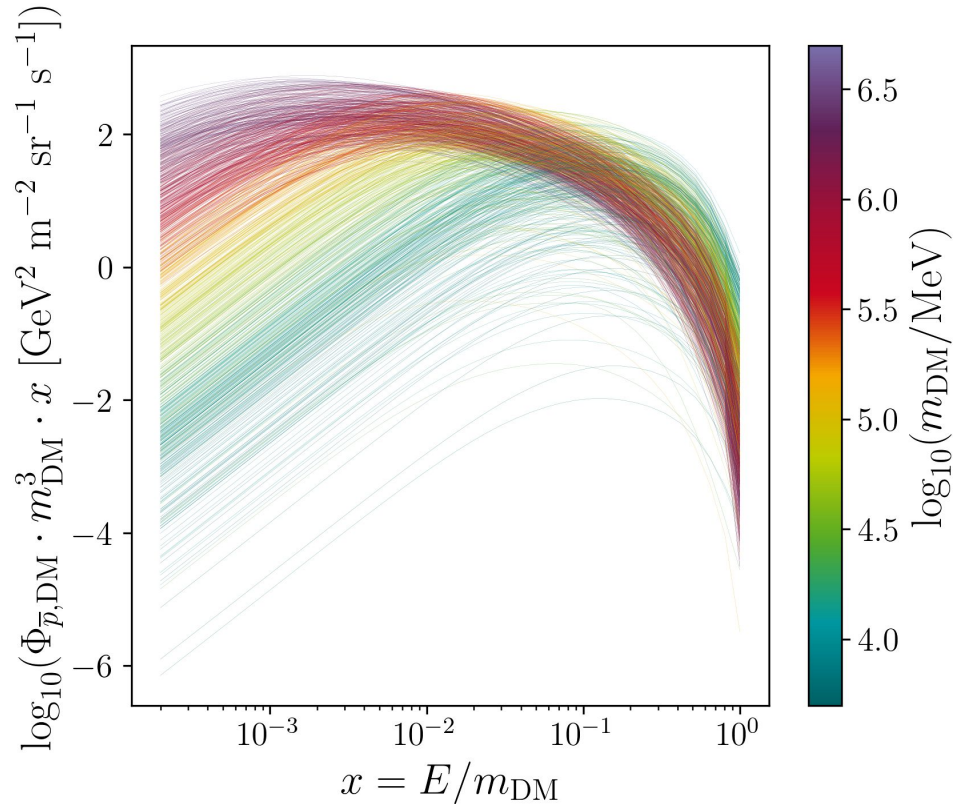
[github.com/kathrinnp/DarkRayNet](https://github.com/kathrinnp/DarkRayNet)

- Replace GALPROP with Neural Network in indirect DM analysis
  - Based on AMS-02 antiproton measurement
  - Physically motivated training set
  - Recurrent network well suited
  - Multiple checks of network accuracy
  
- Constrain  $\chi\chi \rightarrow b\bar{b}$  and SSDM
  - Use advantage of previous fit of CR propagation for importance sampling
  - Speed up of two to three orders of magnitude
  - Network and method can be applied to further models
  
- Future Direction:
  - Bayesian Neural Network
  - Include different models for CR propagation

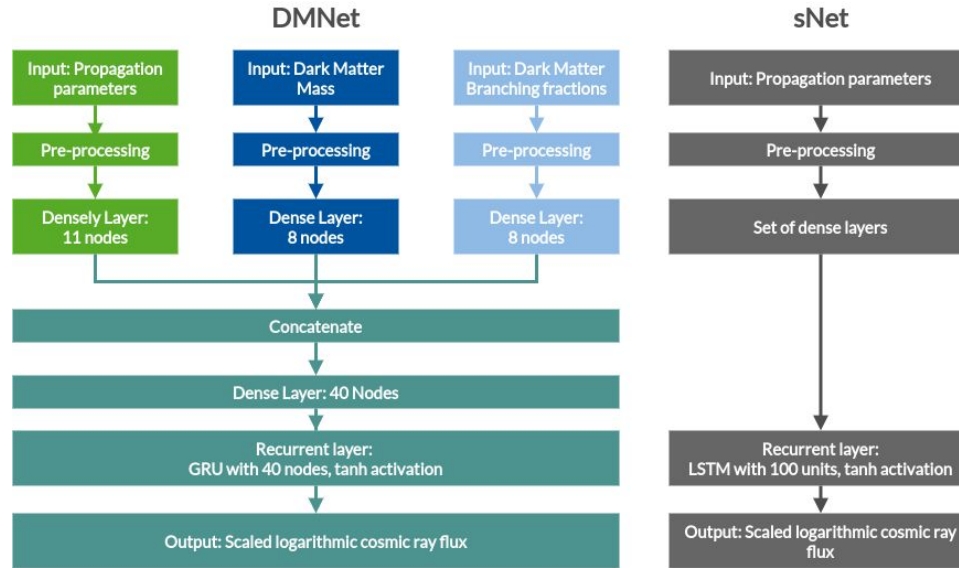
# Backup: Propagation Parameter Space



# Backup: Scaling of DM Spectra



# Backup: Neural Network Hyperparameters



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## Hyperparameters

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Activation	ReLU
Dropout fraction	0.1 %
Optimizer	Adam, learning rate scheduling $l \in [10^{-2}, 10^{-5}]$ , patience 10 epochs
Loss	Mean squared error (MSE)
Batch size	500
Validation split	20 %
Early stopping	Monitor val. loss, patience = 40

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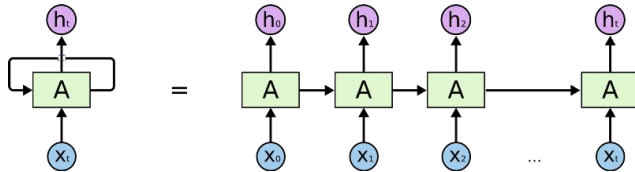


# Backup: Recurrent Neural Networks

Idea:

- Neural Network with connections with nodes
- Optimization: 'Back propagation through time'
- Sequence processing

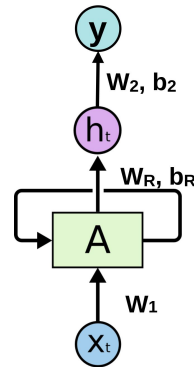
Basic Concept:



$$h_t = f(h_{t-1}, x_t)$$

$$= g(x_t, x_{t-1}, x_{t-2}, \dots, x_0)$$

↑  
recursive formula

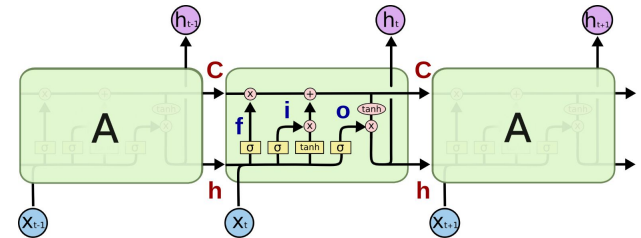


$$h_t = \tanh(W_R h_{t-1} + W_1 x_t + b_R)$$

$$y = \sigma(W_2 h + b_2)$$

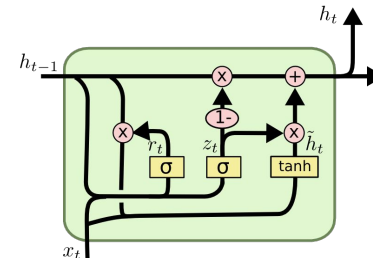
Variations:

LSTM:



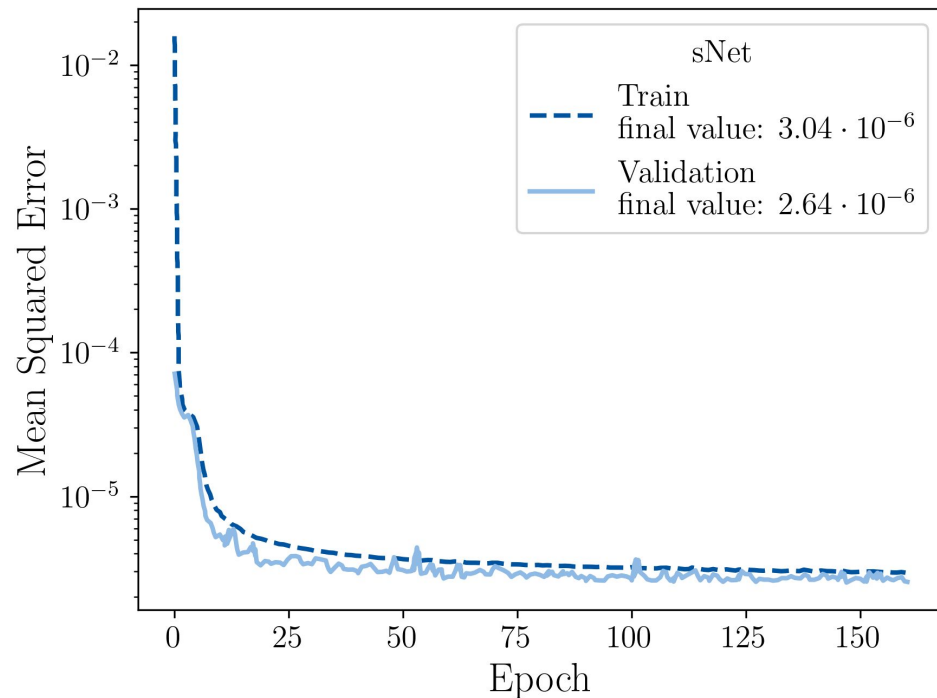
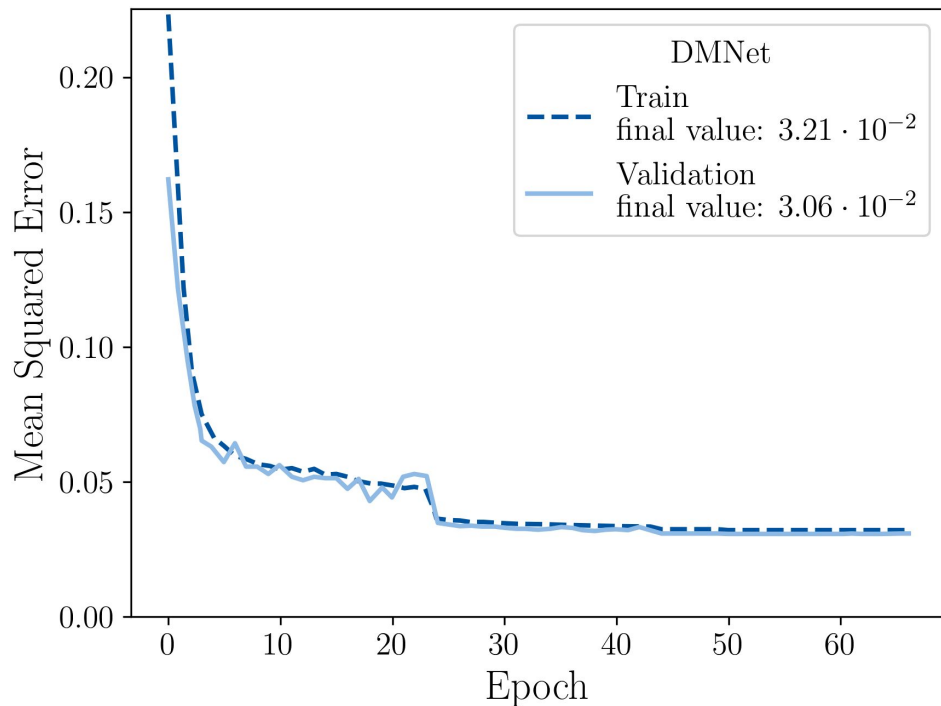
**c** - cell state  
**h** - hidden / normal state  
**f** - forget gate  
**i** - input gate  
**o** - output gate

GRU:

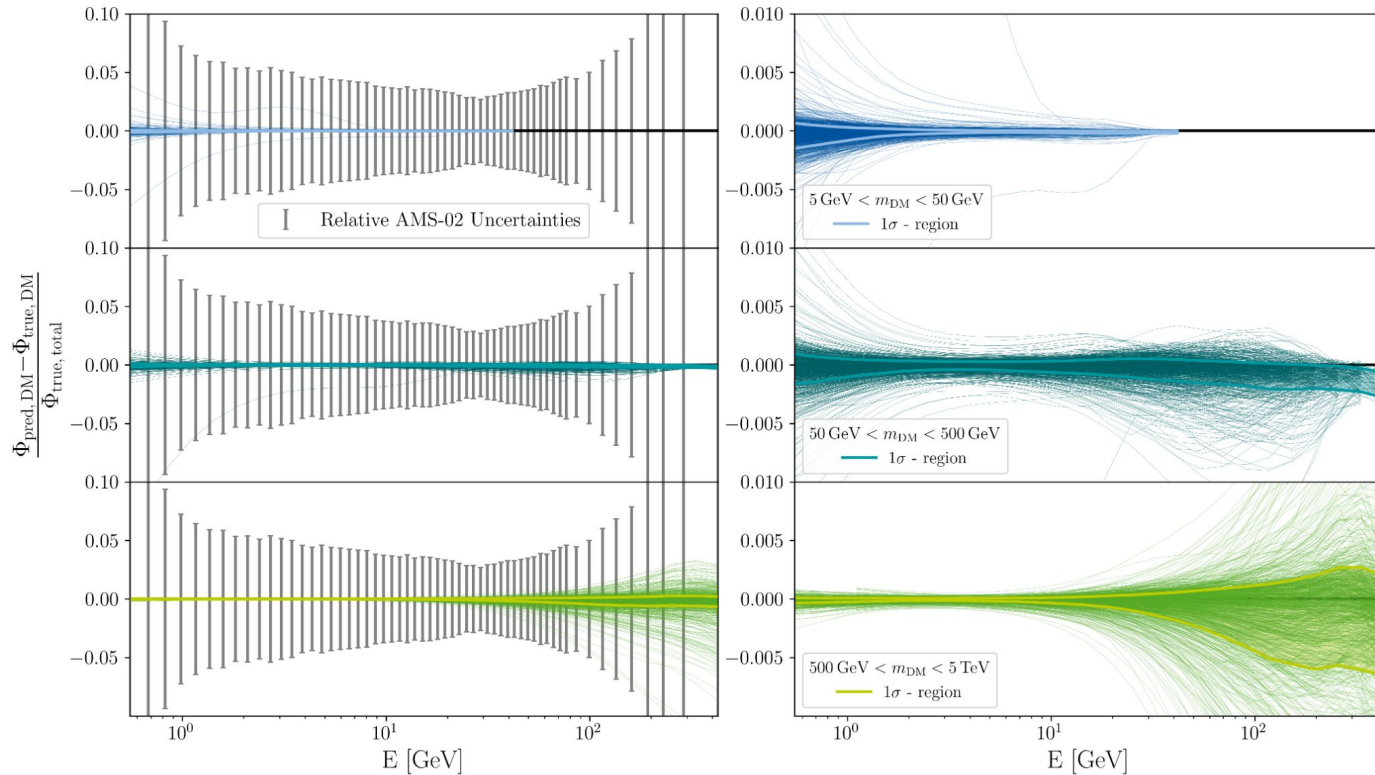


Update Gate:  
 $z_t = \sigma(W_z h_{t-1} + U_z x_t)$   
Reset gate:  
 $r_t = \sigma(W_r h_{t-1} + U_r x_t)$   
Hidden state:  
 $\tilde{h}_t = \tanh(r_t W_h h_{t-1} + U_h x_t)$   
 $h_t = (1 - z_t) h_{t-1} + z_t \tilde{h}_t$

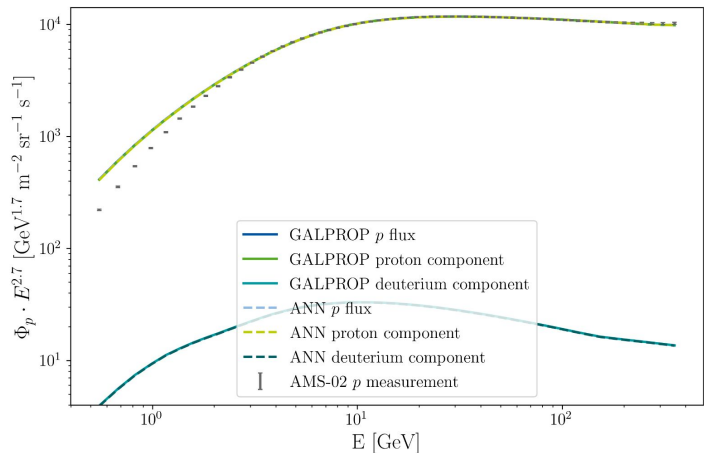
# Backup: Loss Curves



# Backup: Zoomed-in Spectra Validation



# Backup: Proton and Helium Networks

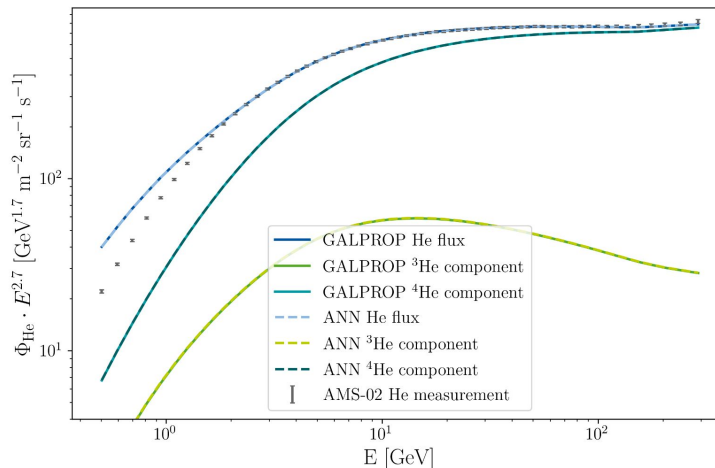


Propagation parameters:

$\gamma_{1,p} = 1.79$   
 $\gamma_1 = 1.77$   
 $\gamma_{2,p} = 2.42$   
 $\gamma_2 = 2.37$   
 $R_0 = 7417.87 \text{ MV}$   
 $s = 0.35$   
 $D_0 = 2.52e+28 \text{ cm}^2/\text{s}$   
 $\delta = 0.41$   
 $v_{\text{Alfven}} = 6.65 \text{ km s}^{-1}$   
 $v_{0,c} = 0.86 \text{ km s}^{-1}$   
 $z_h = 3.19 \text{ kpc}$

Profiled parameters:

GALPROP:  
 $\varphi_{\text{AMS-02}} = 0.25 \text{ GV}$   
 $A_{\text{AMS-02}} = 1.18$   
 ANN:  
 $\varphi_{\text{AMS-02}} = 0.25 \text{ GV}$   
 $A_{\text{AMS-02}} = 1.18$



Propagation parameters:

$\gamma_{1,p} = 1.87$   
 $\gamma_1 = 1.85$   
 $\gamma_{2,p} = 2.41$   
 $\gamma_2 = 2.36$   
 $R_0 = 9285.81 \text{ MV}$   
 $s = 0.34$   
 $D_0 = 3.64e+28 \text{ cm}^2/\text{s}$   
 $\delta = 0.41$   
 $v_{\text{Alfven}} = 10.44 \text{ km s}^{-1}$   
 $v_{0,c} = 1.13 \text{ km s}^{-1}$   
 $z_h = 4.47 \text{ kpc}$

Profiled parameters:

GALPROP:  
 $\varphi_{\text{AMS-02}} = 0.29 \text{ GV}$   
 $A_{\text{AMS-02}} = 1.23$   
 ANN:  
 $\varphi_{\text{AMS-02}} = 0.29 \text{ GV}$   
 $A_{\text{AMS-02}} = 1.23$

