

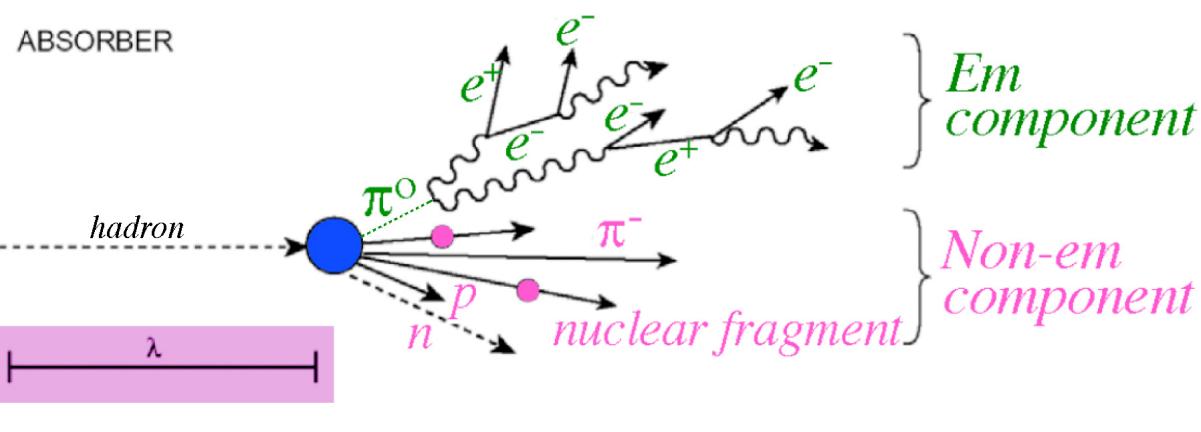
Dual Readout Calorimeter Performance in IDEA

Iacopo Vivarelli
University of Sussex

On behalf of the IDEA detector concept

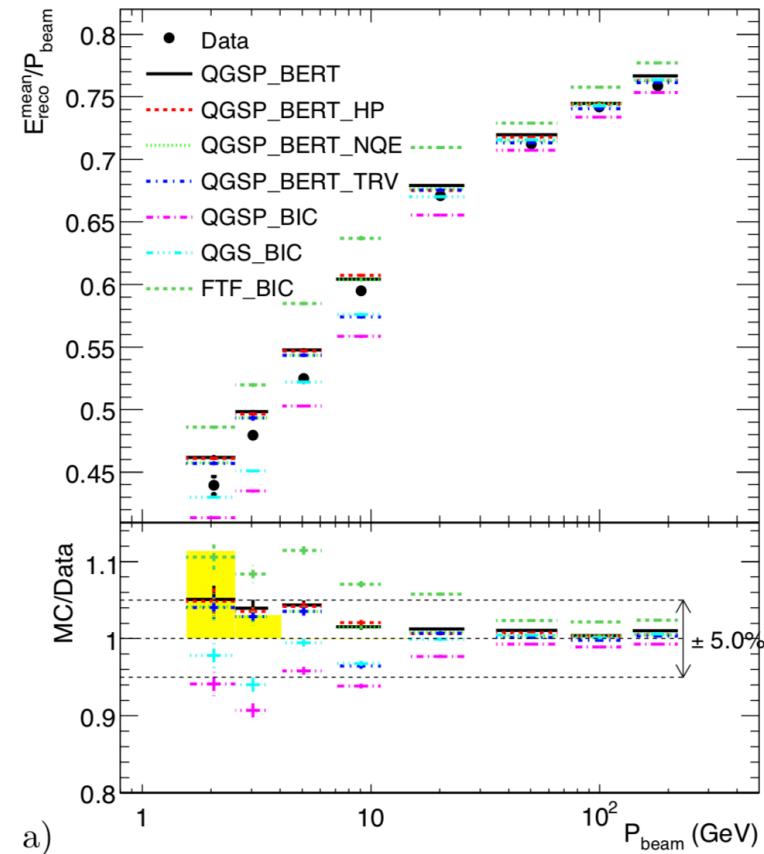


The curse of hadronic calorimetry



- **Non-compensating calorimeters:** response to em part different from that to non-em part. $h/e < 1$
- $\langle f_{\text{em}} \rangle$ energy dependent \Rightarrow **Non-linear calorimeter response** to hadrons (and large fluctuations)

ATL-CAL-PUB-2010-001



a)

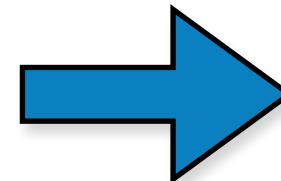
$$E_{\text{meas}} = E \left(f_{\text{em}} + \frac{h}{e} (1 - f_{\text{em}}) \right)$$

Dual readout - the principle

- Suppose I read out **two calorimeter signals, S and C, with different h/e**. Then:

$$E_S = E \left(f_{\text{em}} + \left(\frac{h}{e} \right)_S (1 - f_{\text{em}}) \right)$$

$$E_C = E \left(f_{\text{em}} + \left(\frac{h}{e} \right)_C (1 - f_{\text{em}}) \right)$$

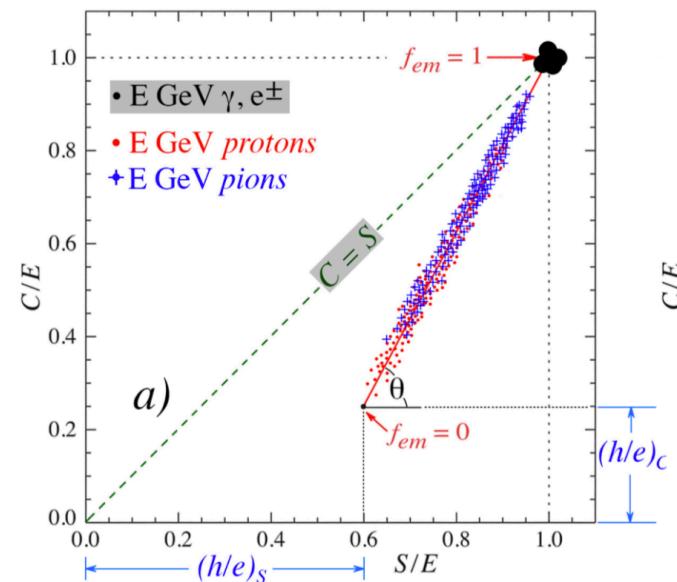


$$f_{\text{em}} = \frac{\left(\frac{h}{e} \right)_C - \left(\frac{h}{e} \right)_S \left(\frac{E_C}{E_S} \right)}{\left(\frac{E_C}{E_S} \right) \left(1 - \left(\frac{h}{e} \right)_S \right) - \left(1 - \left(\frac{h}{e} \right)_C \right)}$$

$$E = \frac{(E_S - \chi E_C)}{1 - \chi}$$

$$\chi = \frac{1 - \left(\frac{h}{e} \right)_S}{1 - \left(\frac{h}{e} \right)_C}$$

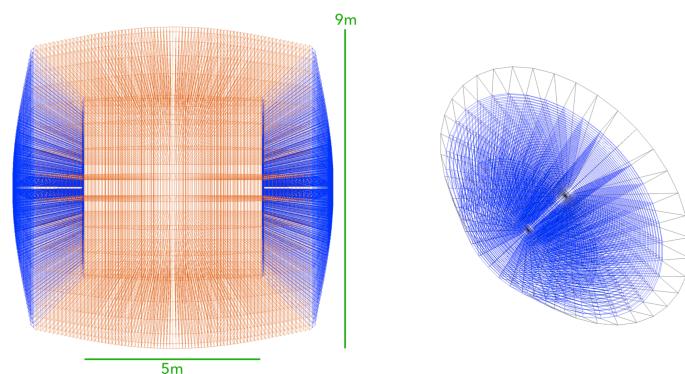
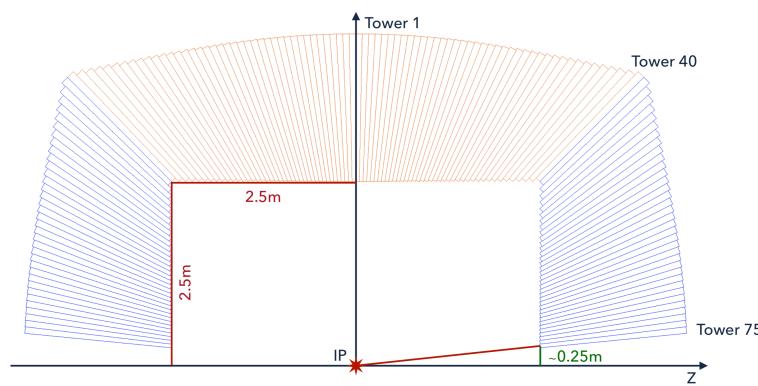
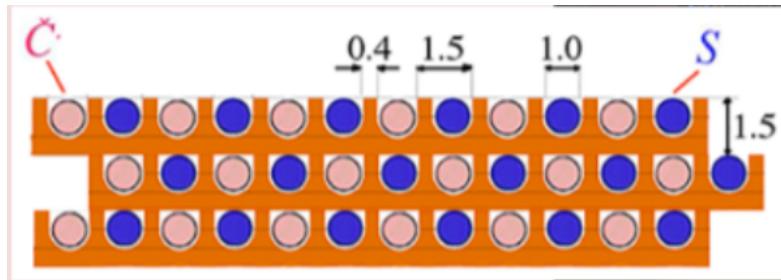
Depends **only on the detector**, it can be determined in test beam, for example.



In this talk

- **Single electron** and **single pion** performance using the **IDEA calorimeter simulation**
 - Another DR calorimeter Geant4 simulation using the ILC 4th concept geometry developed within the collaboration, see [here](#)
- Di-jet event performance
- Using $H \rightarrow \gamma\gamma$ as a candle for photons.
- Other ongoing DR activities

Simulation



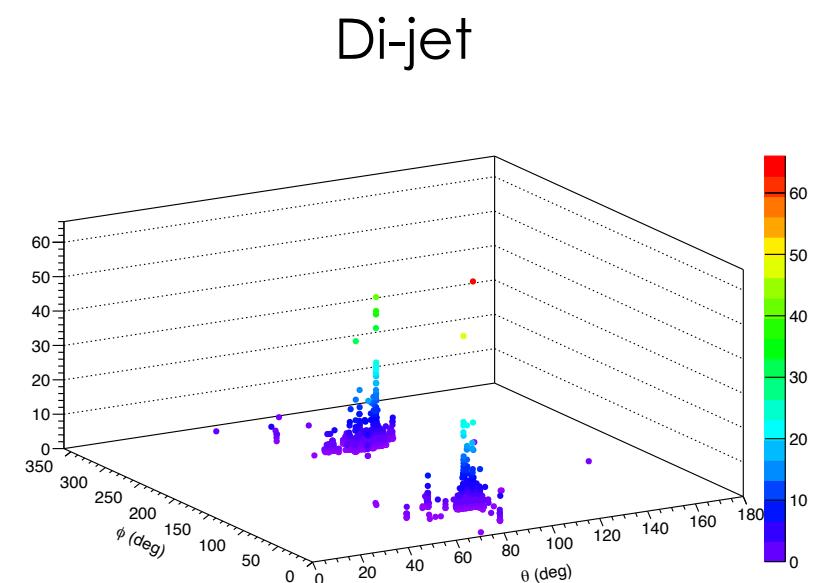
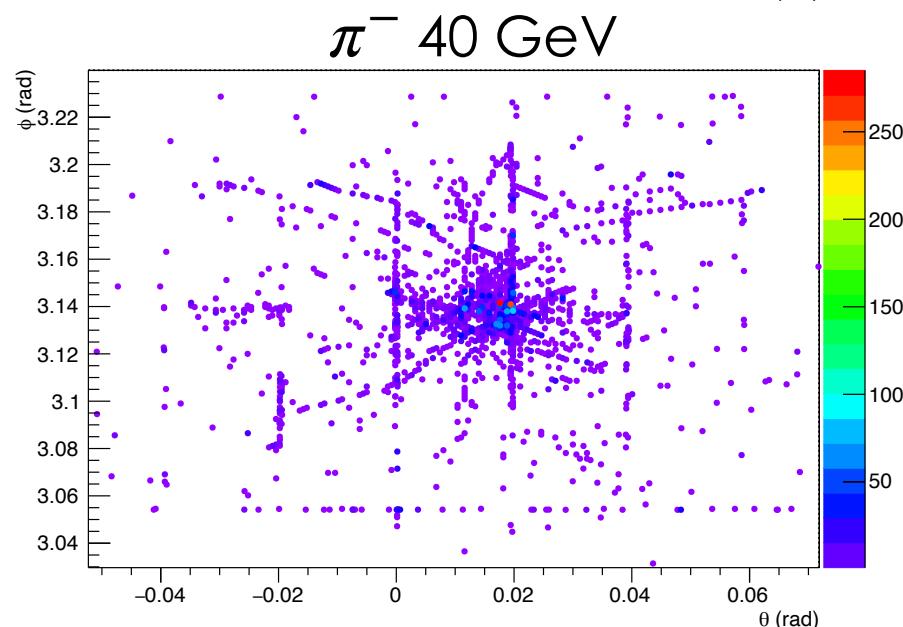
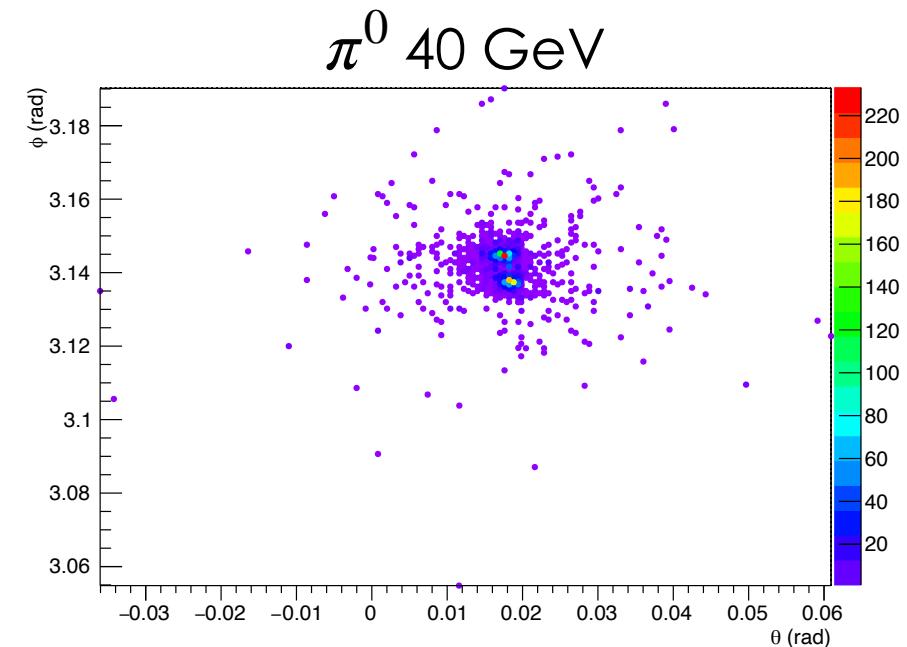
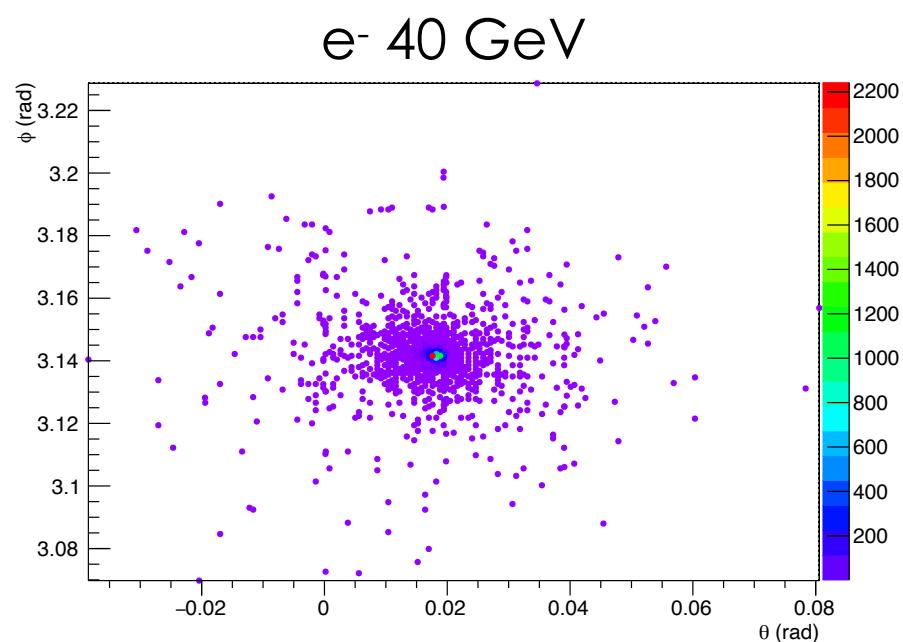
- Full G4 simulation of “final” geometry **is available**:
 - Cu absorber, 1 mm fibers, 1.5 mm pitch
- Also existing parametrised simulation for physics studies
- **Read out the single fibre: 130 M channels:**
 - Excellent angular resolution, lateral shower shape sensitivity
- In most studies, a coarser granularity is used
 - **75 projective elements x 36 slices**

$$\Delta\theta = 1.125^\circ$$

$$\Delta\phi = 10^\circ$$

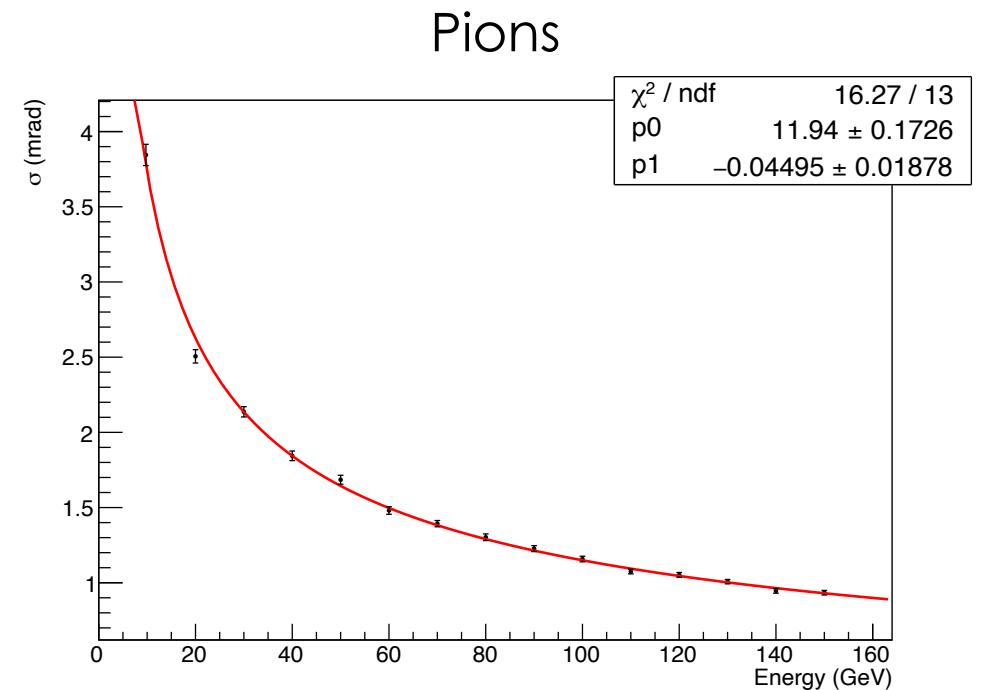
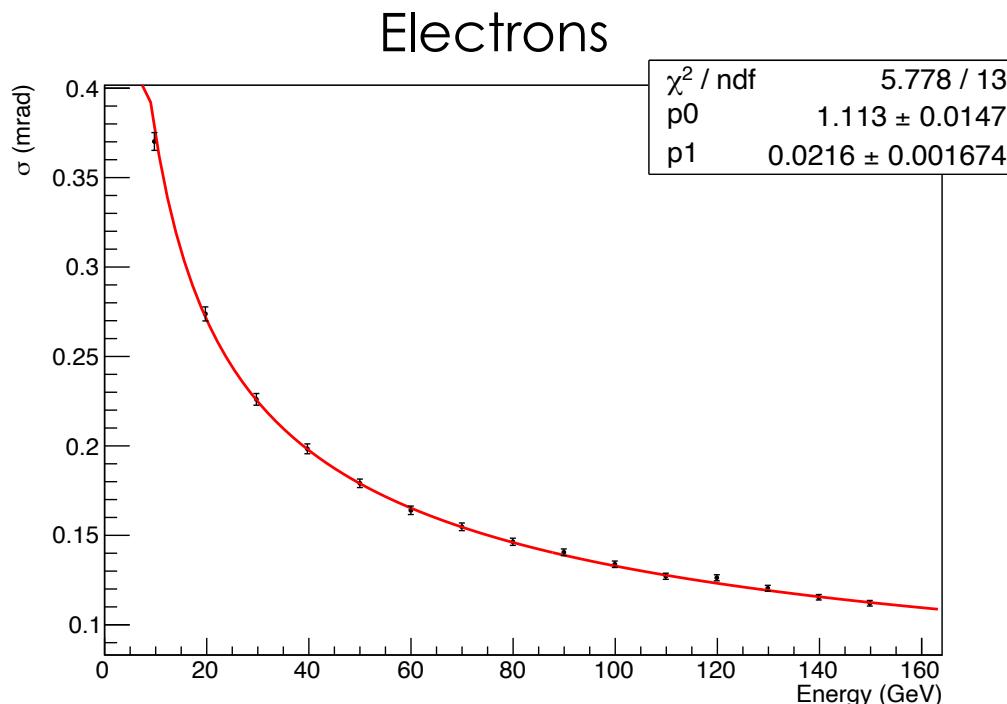
This is what I will refer to as “tower” in the following

How events look like (full granularity)



Angular resolutions

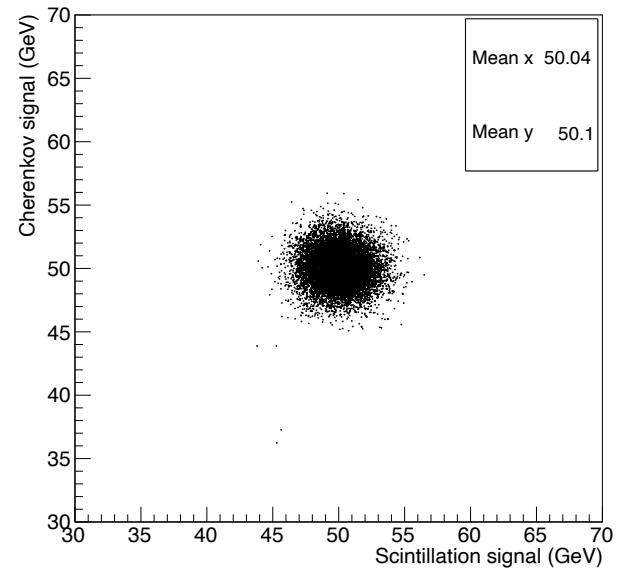
- The use of the **single fibre granularity** yields the ultimate angular resolution of the calorimeter.
- Position obtained as the **energy-weighted fibre mean**
- Fit with $\sigma(\text{rad}) = \frac{p_0}{\sqrt{E(\text{GeV})}} + p_1$



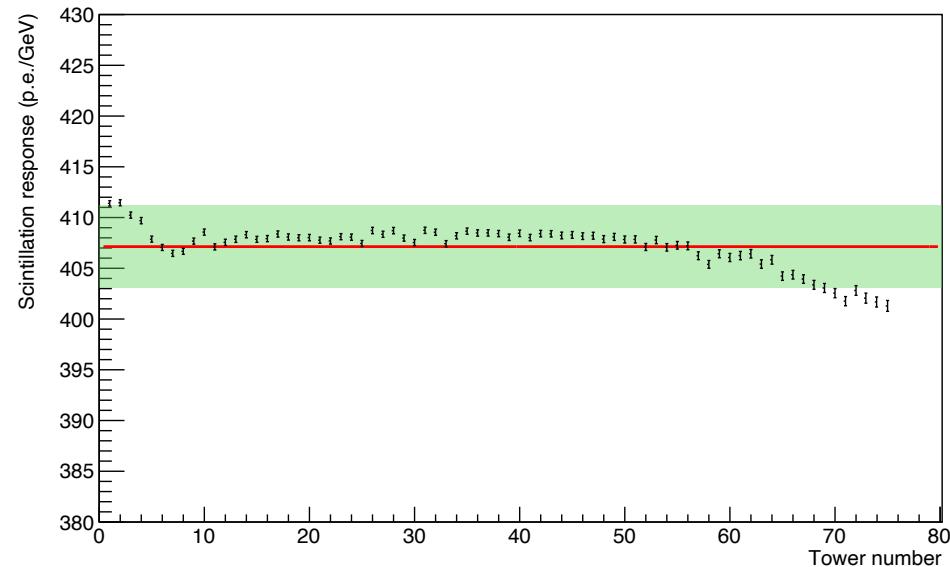
Calibration with electrons

- Light yield chosen **according to TB results**
- Energy deposited by electrons in a tower (90% containment) used as pe/GeV calibration factor

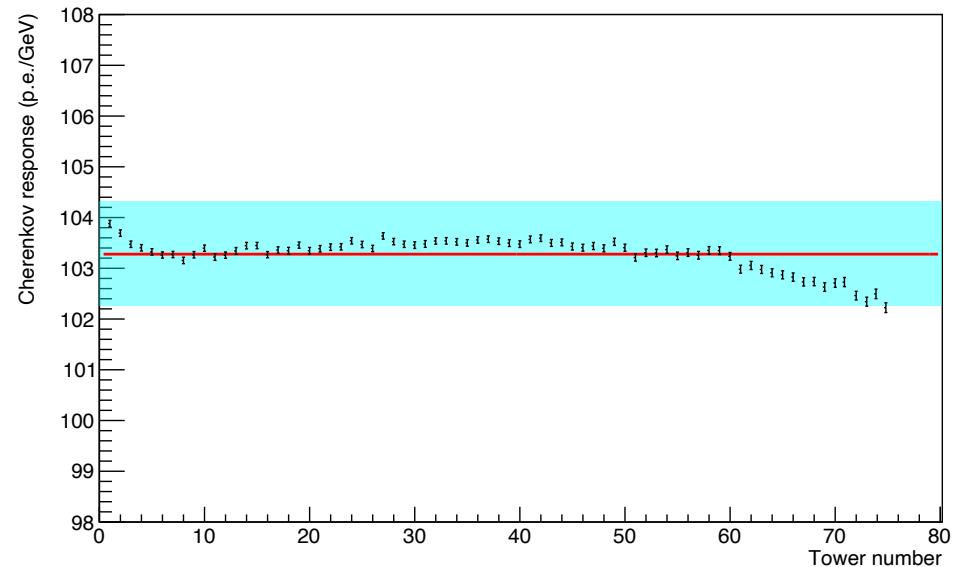
50 GeV electrons



40 GeV electrons - S channel

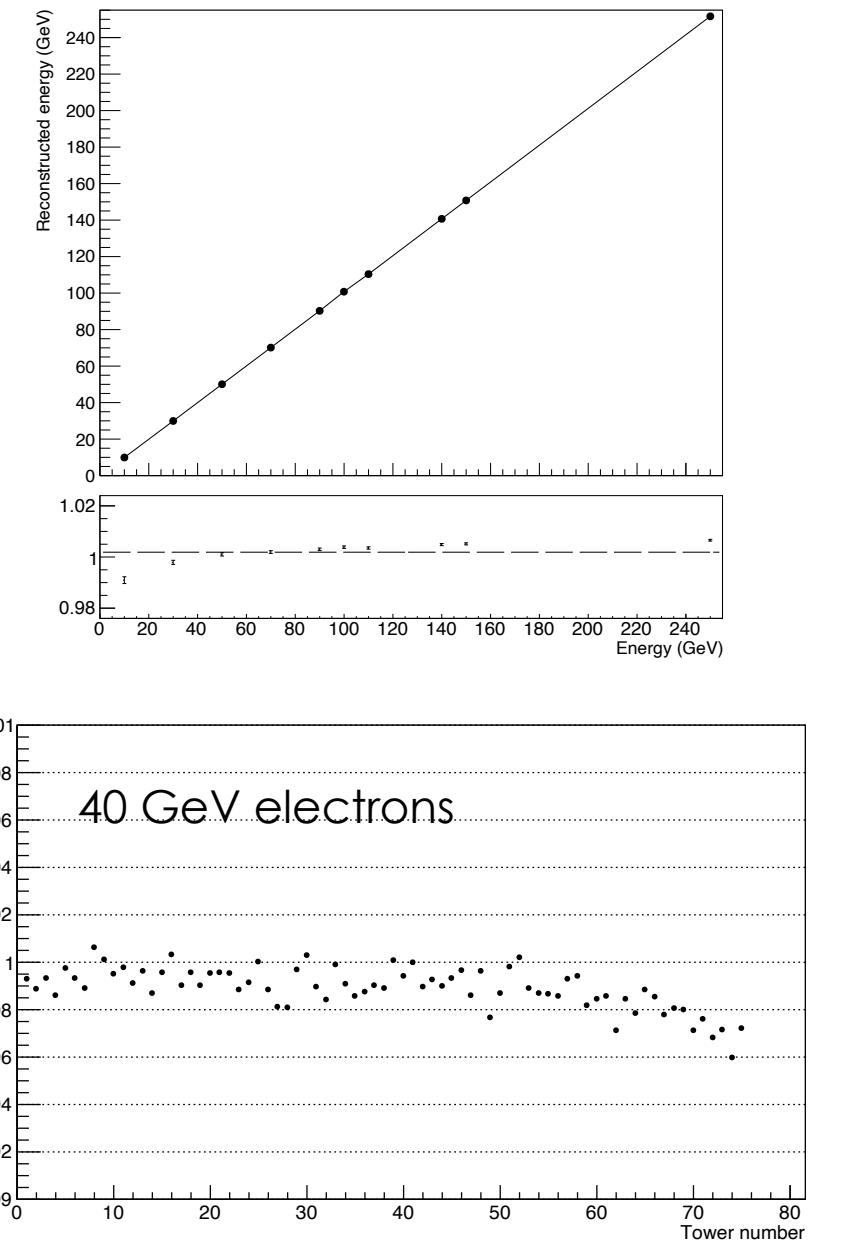
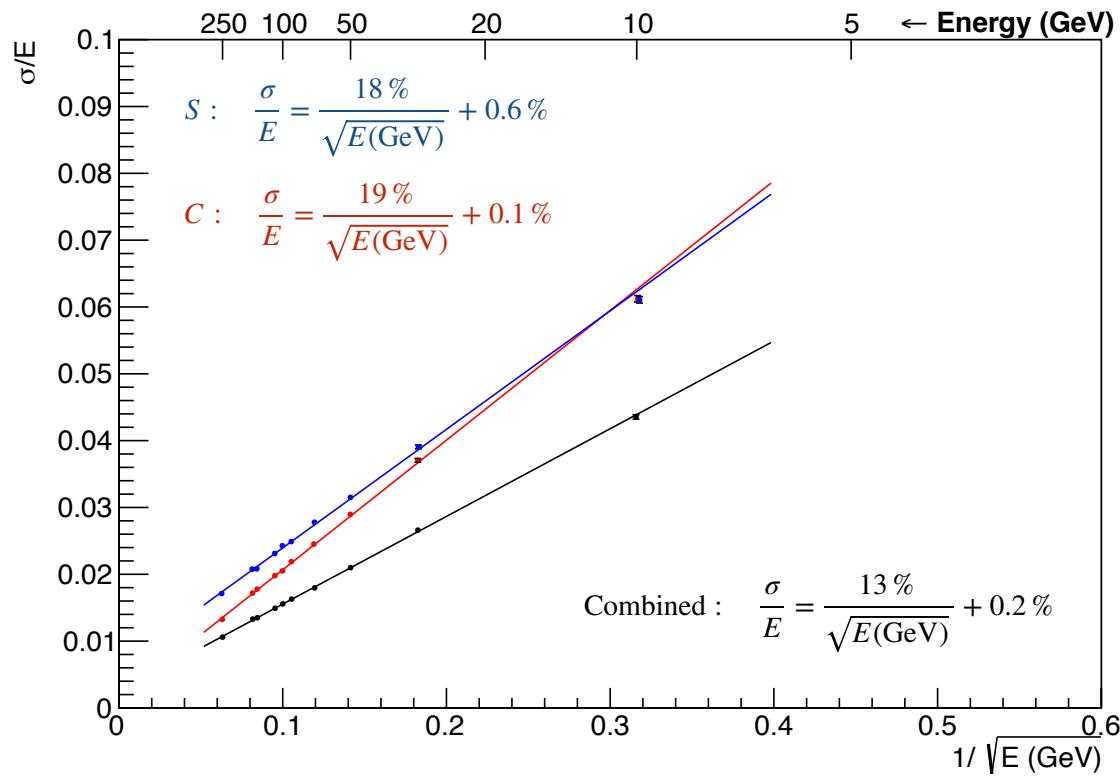


40 GeV electrons - C channel



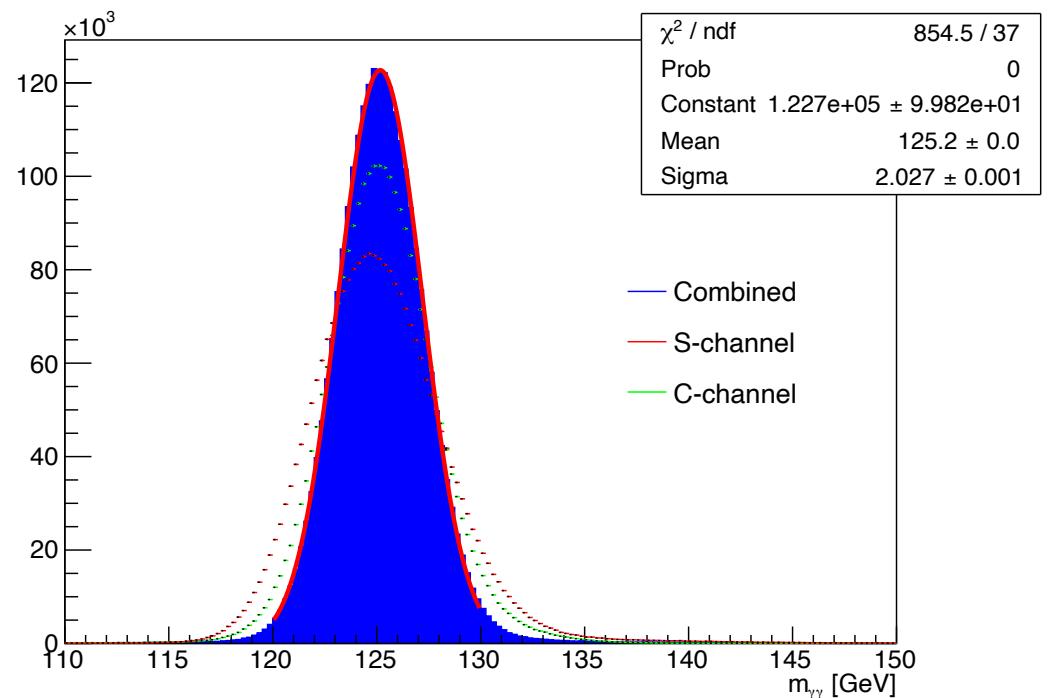
Electromagnetic performance

- From now on using tower granularity
- Linearity Vs Energy within 1%, and uniform over towers
- **Competitive electromagnetic resolution** for combined energy reconstruction



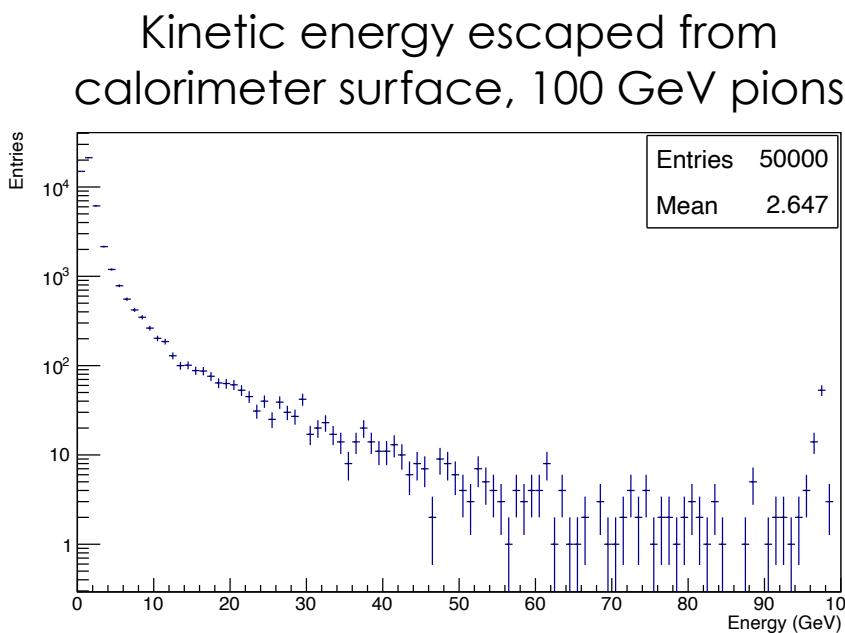
$H \rightarrow \gamma\gamma$ as a photon candle

- Using $5M e^+e^- \rightarrow ZH \rightarrow \nu\nu\gamma\gamma$ events and clustering opposite calorimeter hemispheres as photons.
- Dedicated calibration corrections for impact point on tower
- Using tower granularity (estimated use of full granularity further improves mass resolution by 20%)
- Combined mass resolution ~ 2 GeV

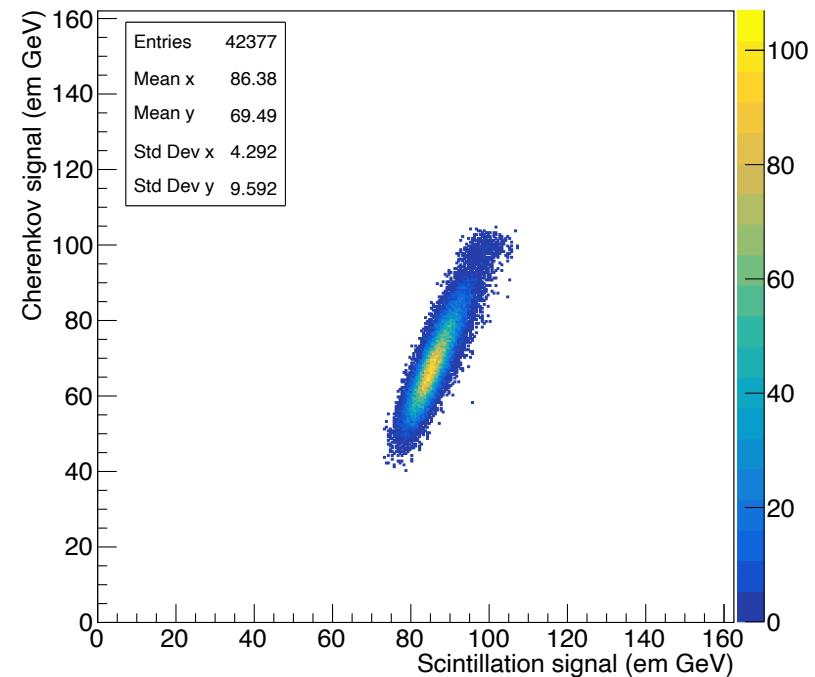


Single pion response

- Current IDEA calorimeter inner radius 2.5 m; outer radius 4.5.
 - **Reject events with poor containment** to focus on performance
- Correlation between S and C in agreement with what seen at the test beam with RD52

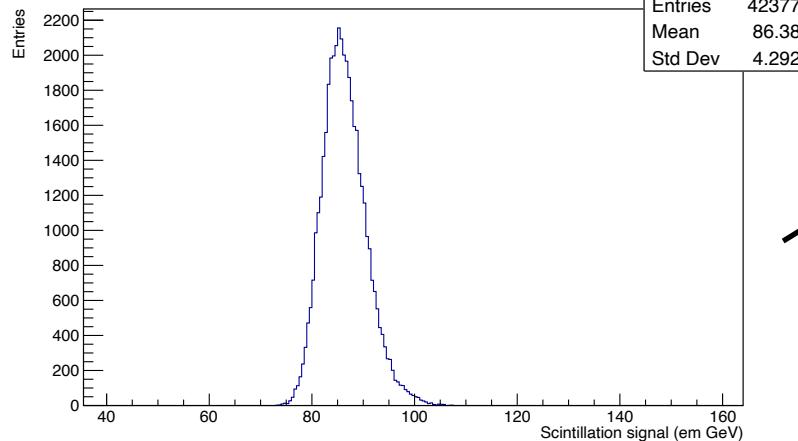


Kinetic energy escaped from calorimeter surface, 100 GeV pions



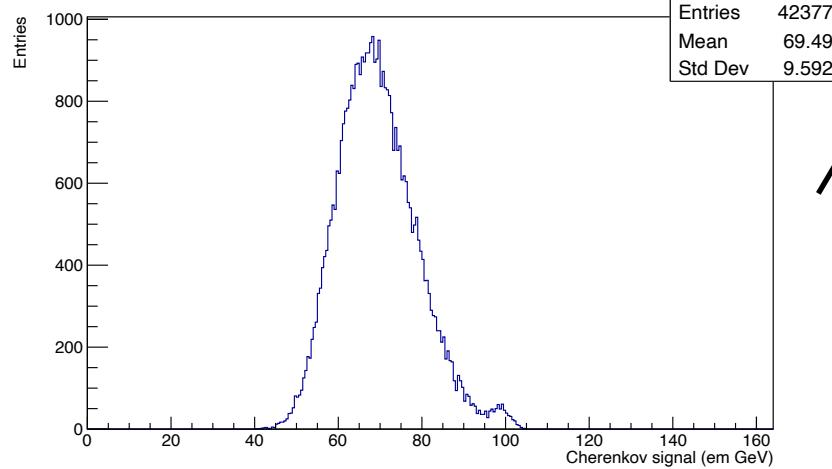
Single pion response

Scintillation signal

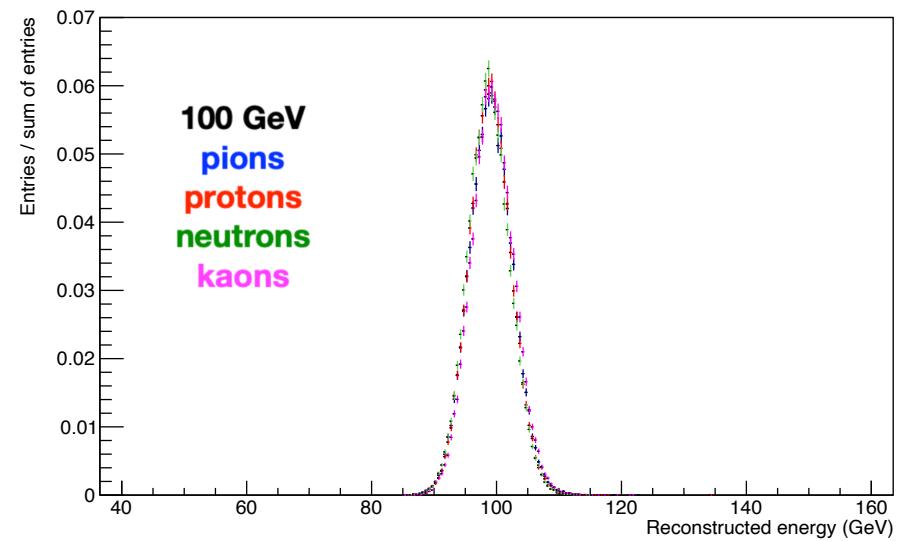


$$E = \frac{E_S - \chi E_C}{1 - \chi}$$

Cherenkov signal

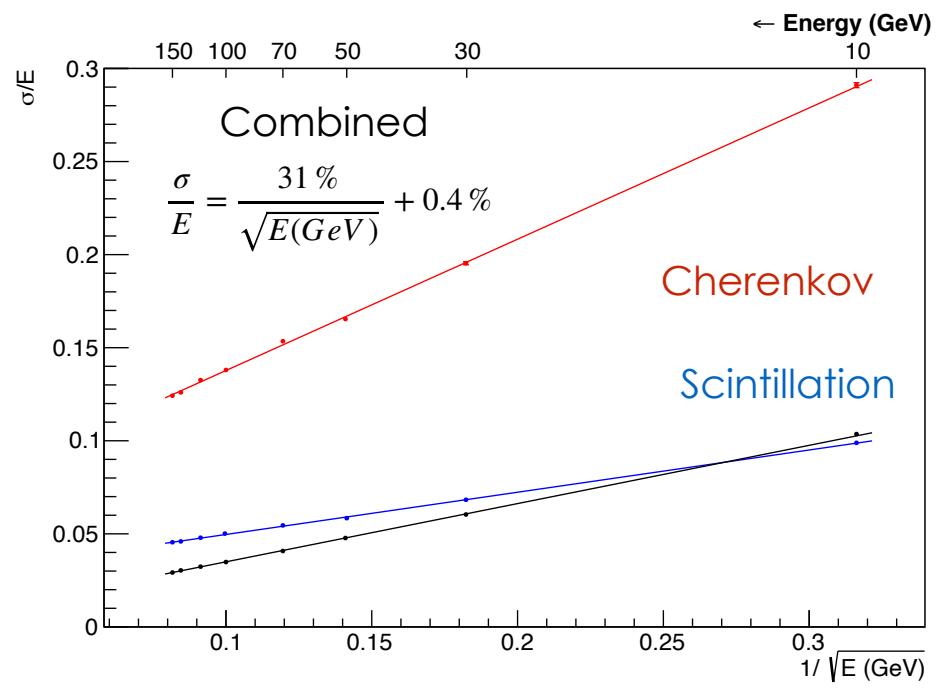
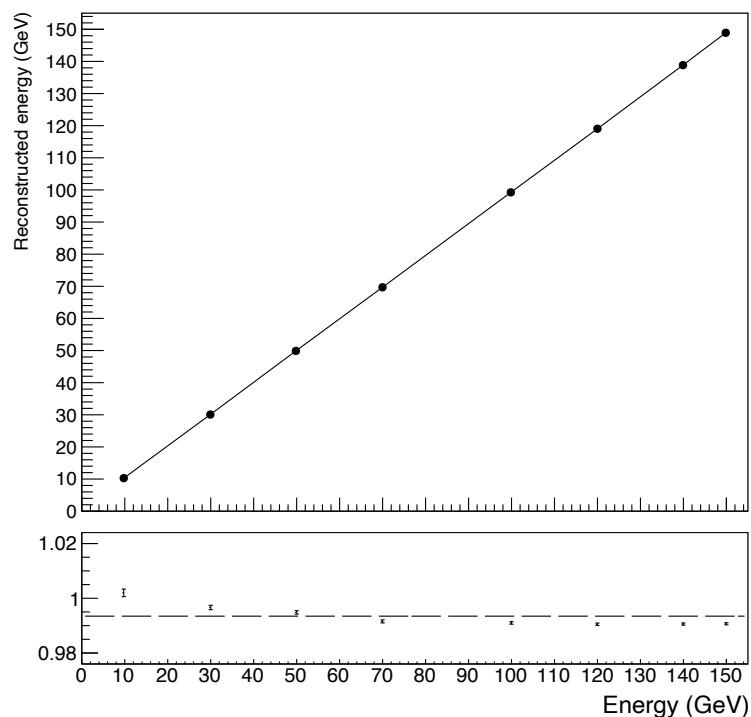


Uniform response from different hadrons with a single χ



Single pion response

- Linearity **within 1%** “out of the box”.
- Resolution **dominated by the S channel** and **clearly improved** by Dual readout



Jet response

- Studied in **di-jet events so far** (reconstructed with ee_genkt algorithm in two exclusive jets)
- Separately reconstructing **S, C and truth-level jets.**
- Event cleaning: **central jets only** considered; reject events with **muons or neutrinos or poor containment.**
- Two options considered (with and without $1X_0$ of additional “tracker” material):

Calo only

$$E_j^r = \frac{E_j^s + \chi E_j^c}{1 - \chi} + \text{dedicated calibration}$$

Calo + charged

$$E_j^{r*} = E_j^{ch} + E_j^s - \frac{E_j^s E_j^{ch}}{E_j^r} + \text{dedicated calibration}$$

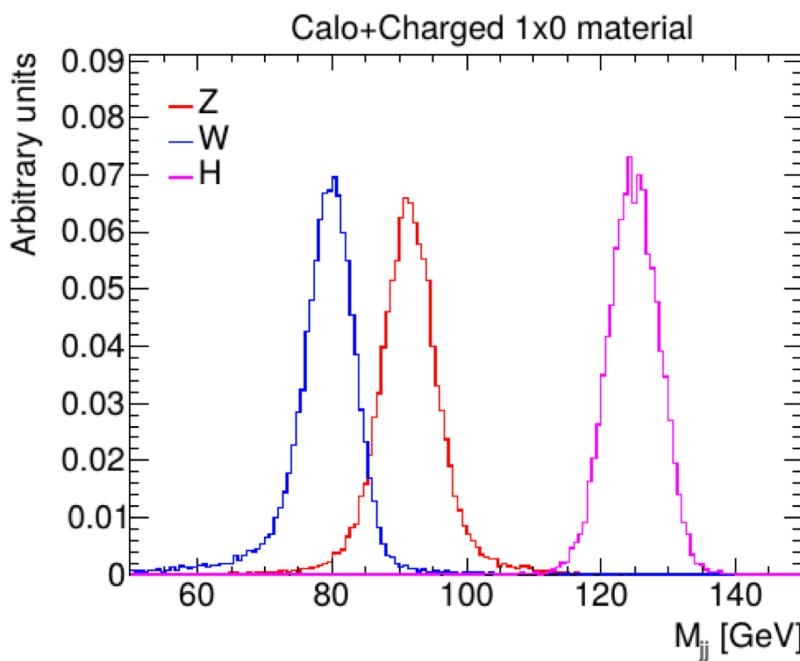
(Sum charged component and total energy, then correct for double counting)

Jet response

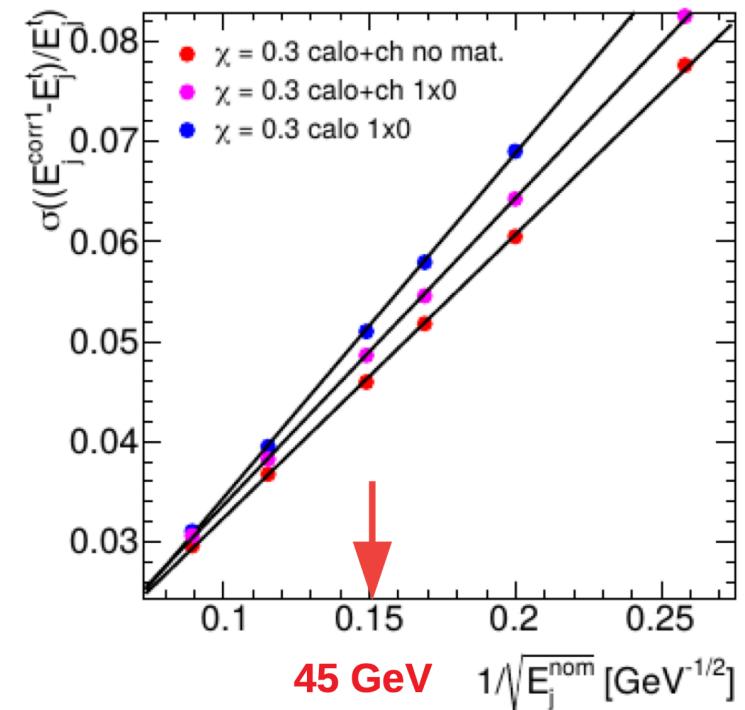
Dual readout achieves linearity with a resolution of **30%/ \sqrt{E}** with **constant term $\sim 0.5\%$**

Resonances studied with

$$\begin{aligned} e^+e^- &\rightarrow ZH \rightarrow jj\tilde{\chi}_0^1\tilde{\chi}_0^1 \\ e^+e^- &\rightarrow WH \rightarrow jj\tilde{\chi}_0^1\tilde{\chi}_0^1 \\ e^+e^- &\rightarrow ZH \rightarrow \nu\nu bb \end{aligned}$$

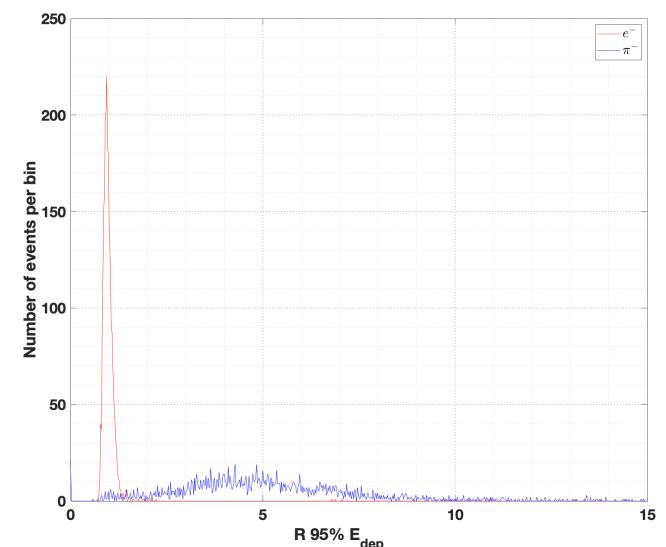
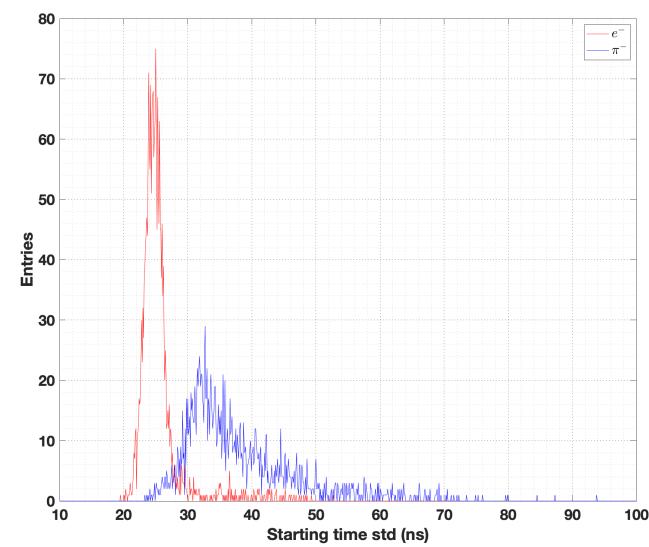
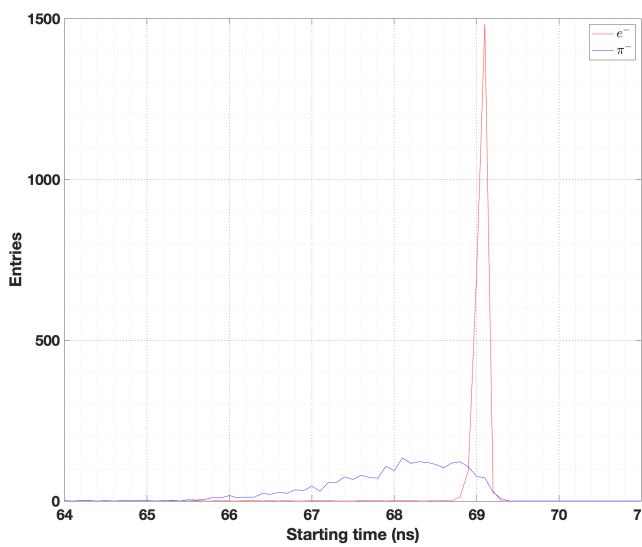
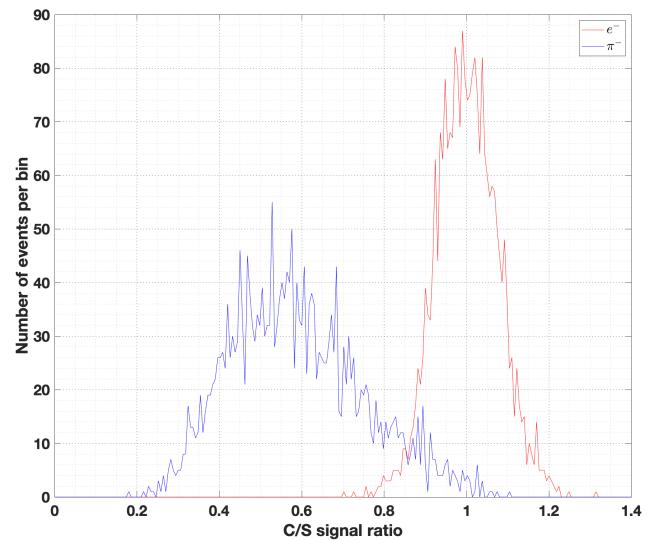


Configuration	W		Z		h	
	Δm	σ	Δm	σ	Δm	σ
Calo no material	-0.108	3.02	-0.009	3.14	-0.01	3.72
Calo+Ch no material	0.07	2.86	0.18	3.05	0.10	3.48
Calo 1X0	-0.08	3.14	-0.13	3.73	-0.18	3.95
Calo+Ch 1X0	0.08	3.01	0.21	3.26	-0.13	3.72



Particle identification

- Compare **electron and pion** shower shapes (20 GeV)
- Consider also **Time of arrival** of signal to SiPM (fiber propagation and SiPM + electronics time response parametrised in full sim)
- Combined performance: $\epsilon = 99.5\%$, fake $\sim 1\%$



Tau decay identification

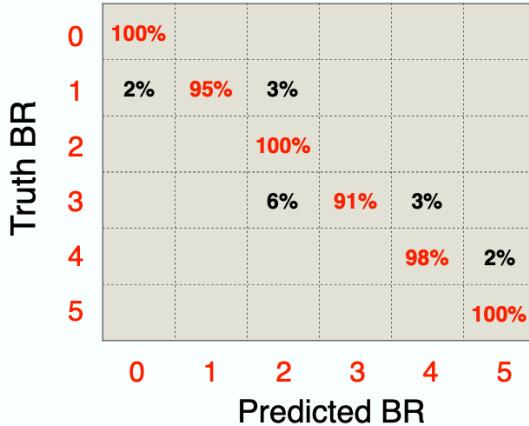
Advanced Machine Learning Applications

Some advanced applications on object reconstruction and identification are proceeding in parallel to the analytical approach. Some examples: tau lepton decays identification.

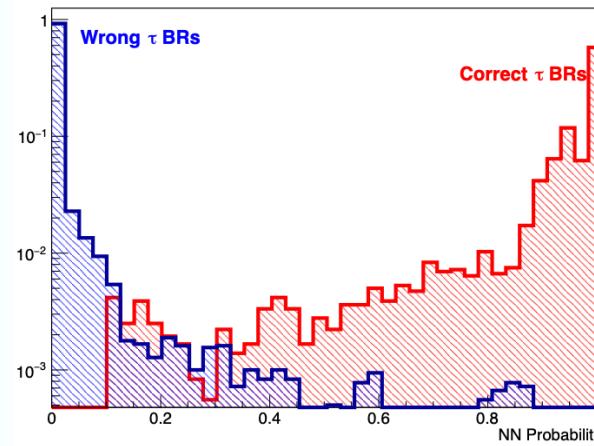
Data preprocessing needed to reduce data size and fit GPU memory

- Signals from fibers in each $1.2 \times 1.2 \text{ cm}^2$ module are integrated to obtain a 111×111 matrix
- 5 information used for each matrix element: signal integral, signal height, peak position, time of crossing threshold and time-over-threshold
- Independently done for scintillation and Cherenkov fibers
- Each event is a $111 \times 111 \times 10$ tensor

Confusion matrix shows a 97,3% average accuracy.



CNN output on test sample:



0	pi0 pi- nu_tau
1	e- anti_nu_e nu_tau
2	mu- anti_nu_mu nu_tau
3	pi- nu_tau
4	pi- pi+ nu_tau
5	pi0 pi0 pi- nu_tau

An outlook on ongoing activities

- Ongoing studies on **4- and 6-jet events**
 - requiring a more detailed final state reconstruction.
- Preparation for **TB activities**:
 - 10x10x100 cm³ module @DESY dates to be confirmed.
- Software:
 - Using Gan for simulations
 - Simulation integration in DD4Hep
 - Speed up of optical photon transportation in G4
 - Simulation of SiPM/digitization

Summary

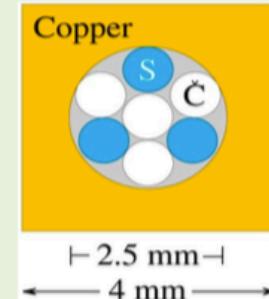
- I presented the **simulated performance** (response linearity, energy and angular resolution) of the **IDEA Dual Readout calorimeter** for:
 - Electrons and photons
 - Single hadrons
 - Jets
- Discussed **particle identification** for e/π and τ decay identification.
- Lots of **parallel efforts in many directions**: an exciting and lively collaboration!
- If you are interested:
 - Subscribe on egroups.cern.ch to idea.dualreadout@cern.ch

Backup

Dual readout calorimeters (PMT readouts)

2003
DREAM

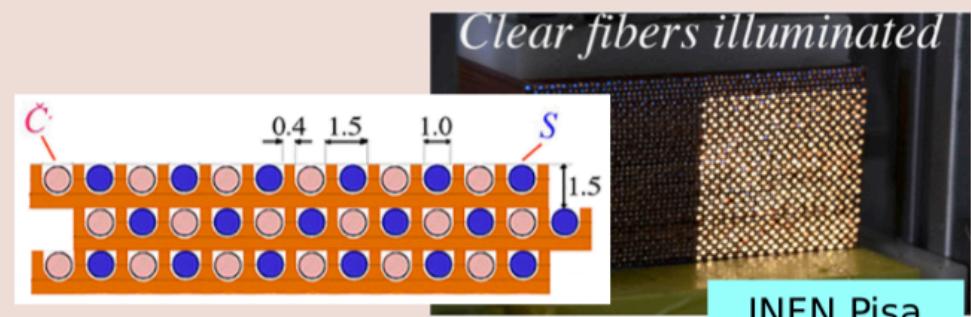
Cu: 19 towers, 2 PMT each
2m long, 16.2 cm wide
Sampling fraction: 2%



Texas Tech Uni

2012
RD52

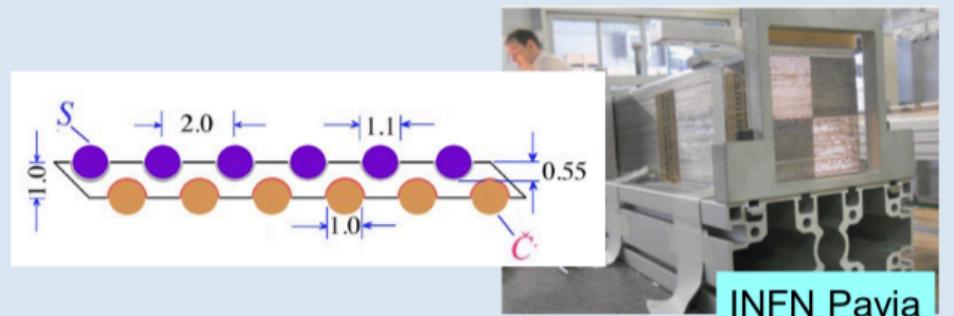
Cu, 2 modules
Each module: $9.2 \times 9.2 \times 250$ cm 3
Fibers: 1024 S + 1024 C, 8 PMT
Sampling fraction: ~4.6%
Depth: $\sim 10 \lambda_{\text{int}}$



INFN Pisa

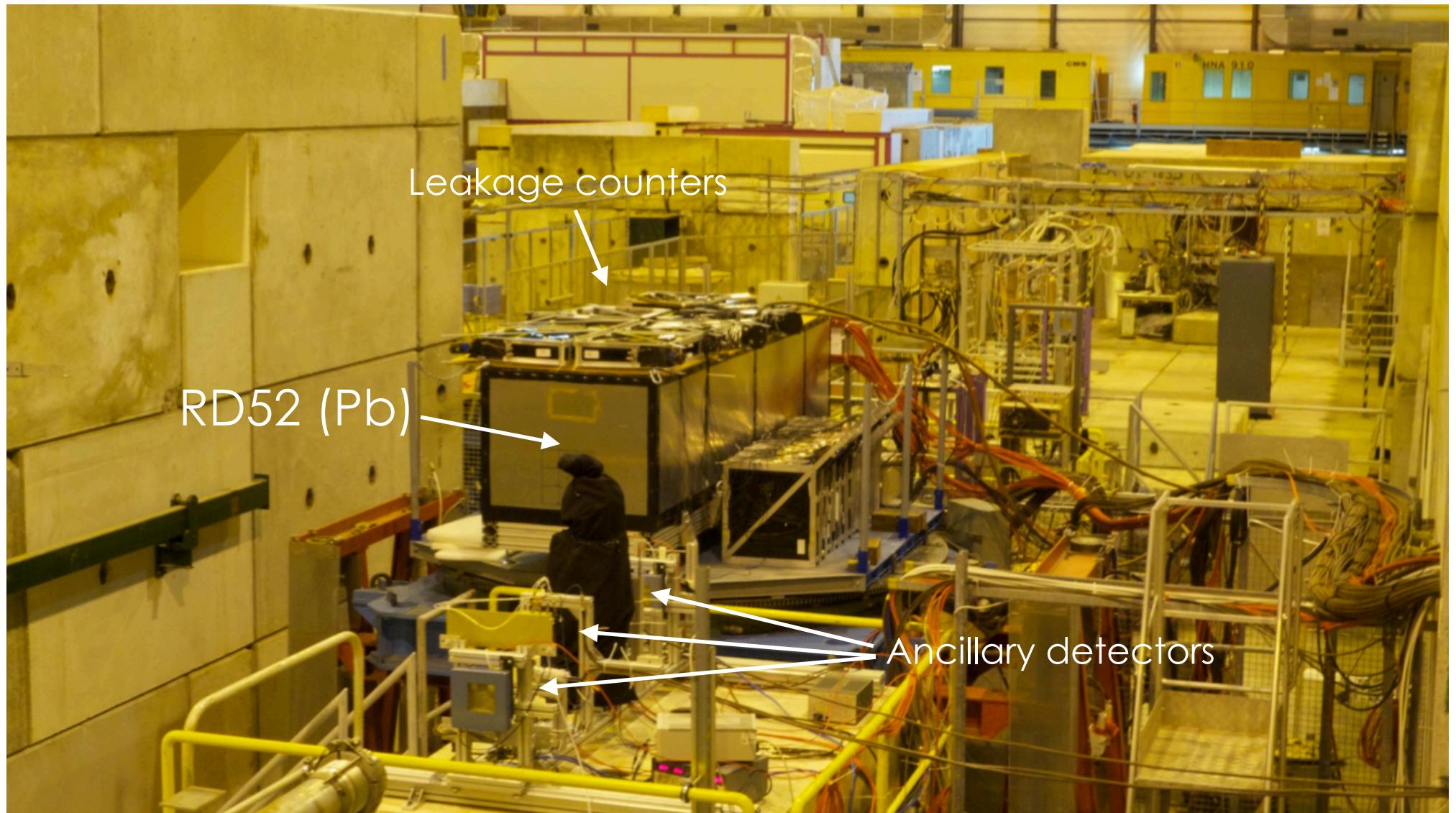
2012
RD52

Pb, 9 modules
Each module: $9.2 \times 9.2 \times 250$ cm 3
Fibers: 1024 S + 1024 C, 8 PMT
Sampling fraction: ~5.3%
Depth: $\sim 10 \lambda_{\text{int}}$

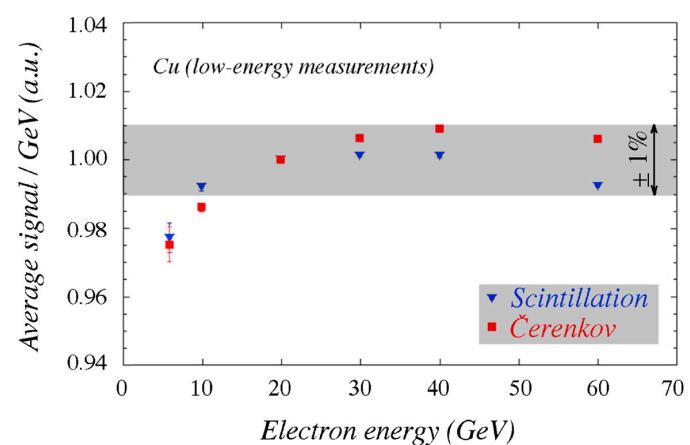
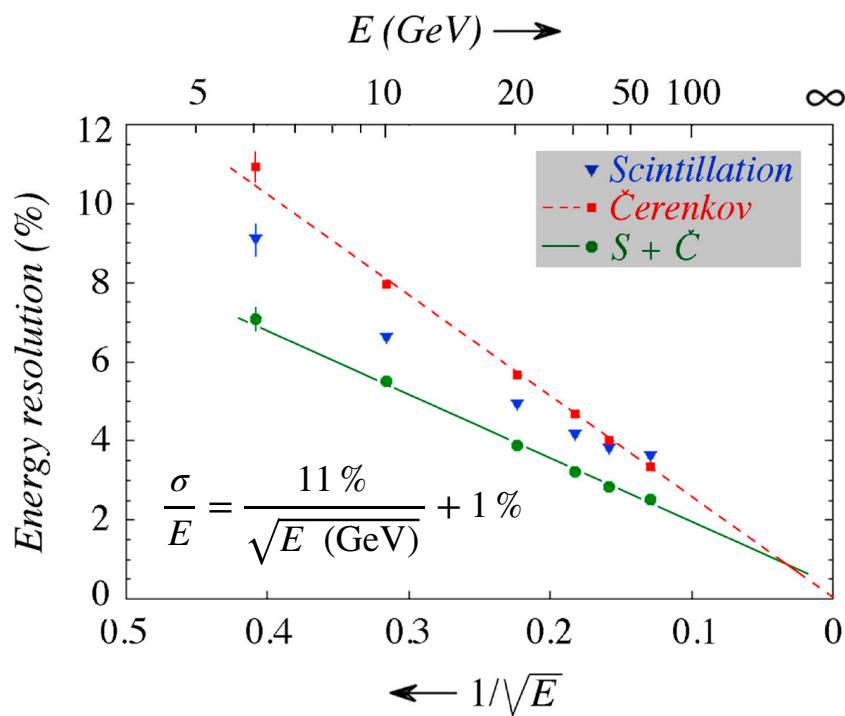


INFN Pavia

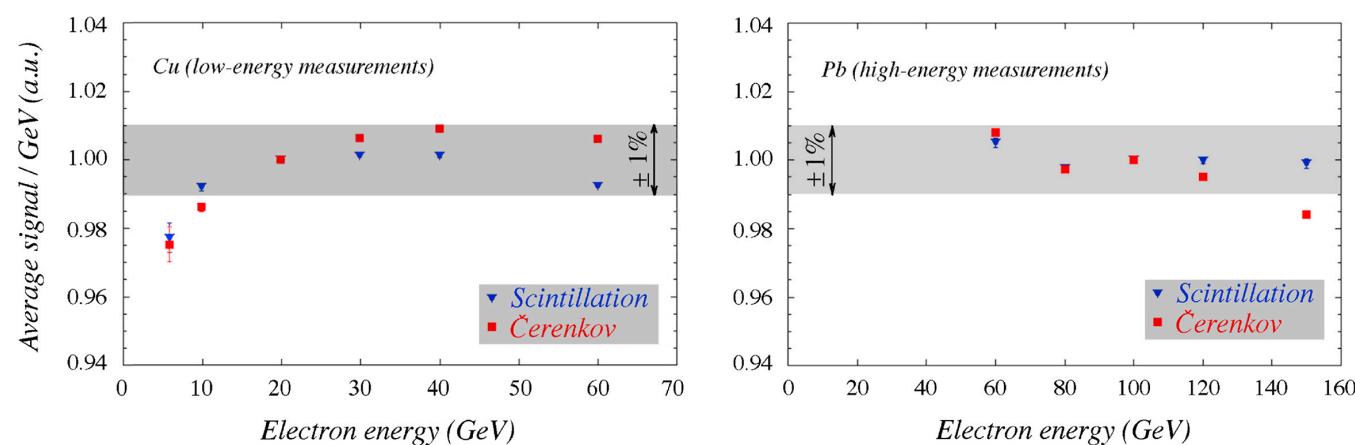
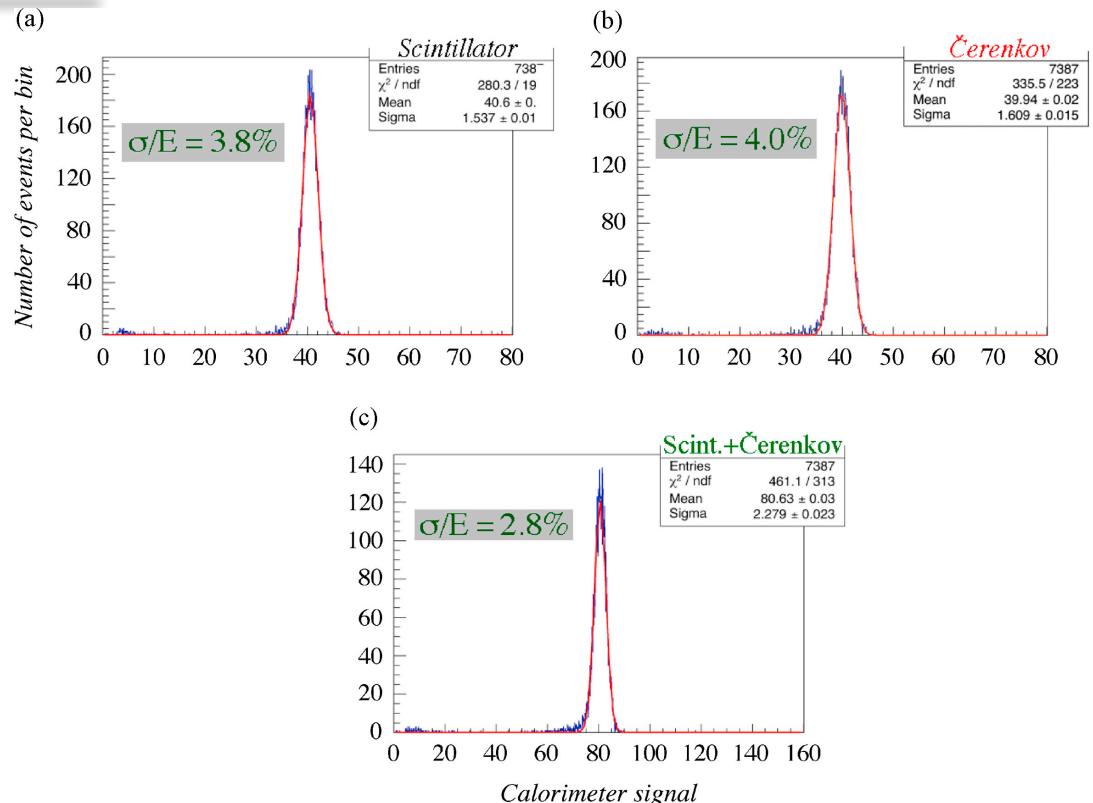
Dual readout calorimeter at work



Electron response



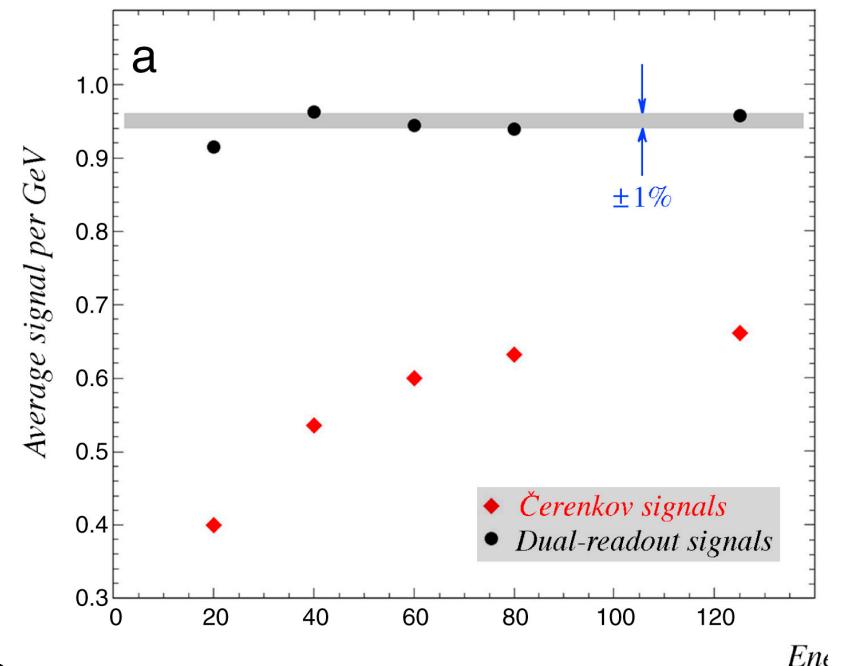
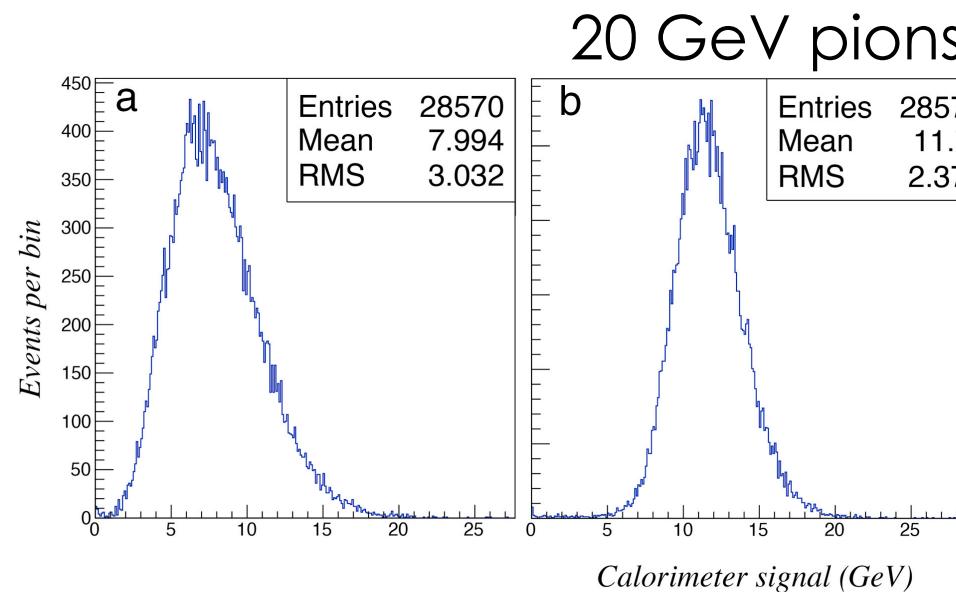
40 GeV electrons



Single hadron response - linearity

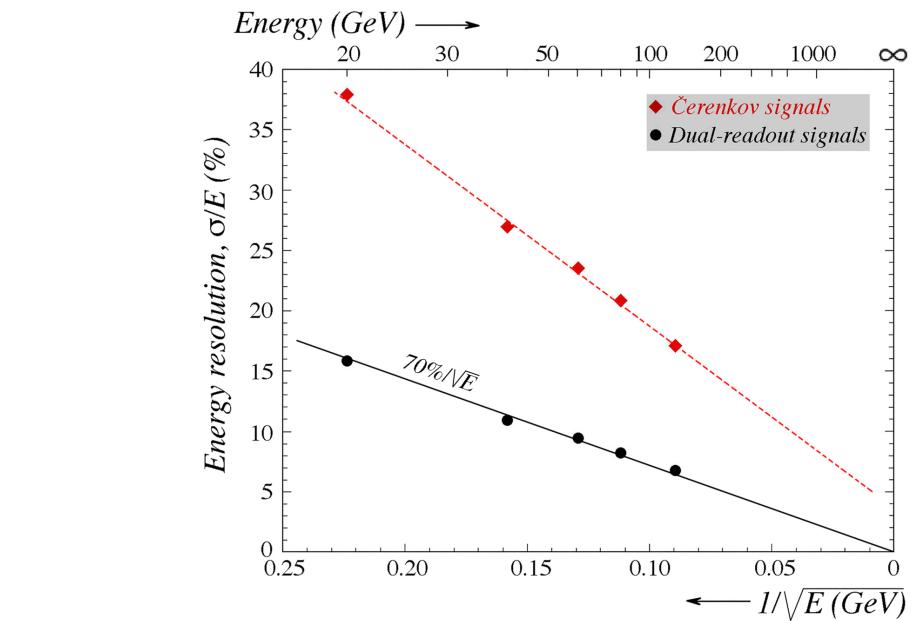
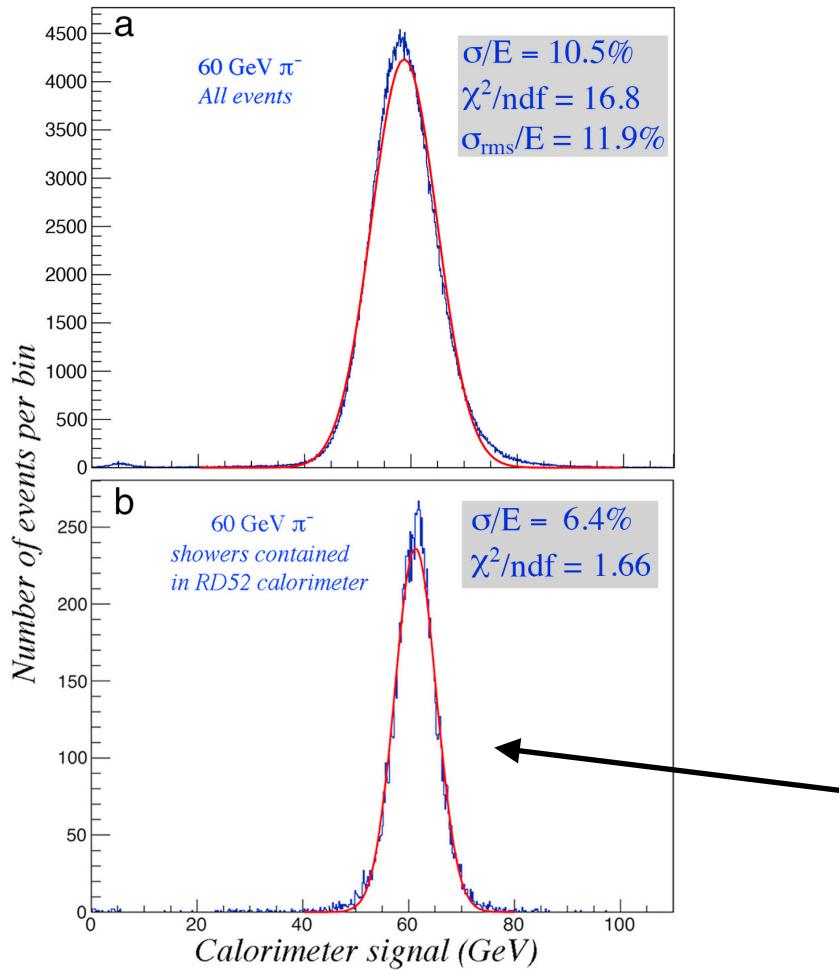
NIM A 866 (2017) 76

- Dual readout signal **largely recovers linearity** while vastly improving resolution.



Single hadron response - resolution

- Problem of calorimeter R&D: a **fully containing calorimeter is expensive.**



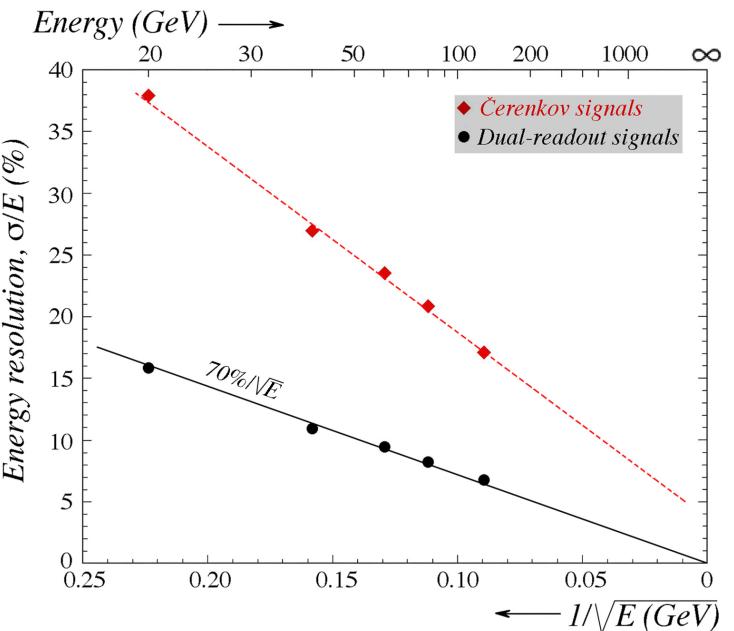
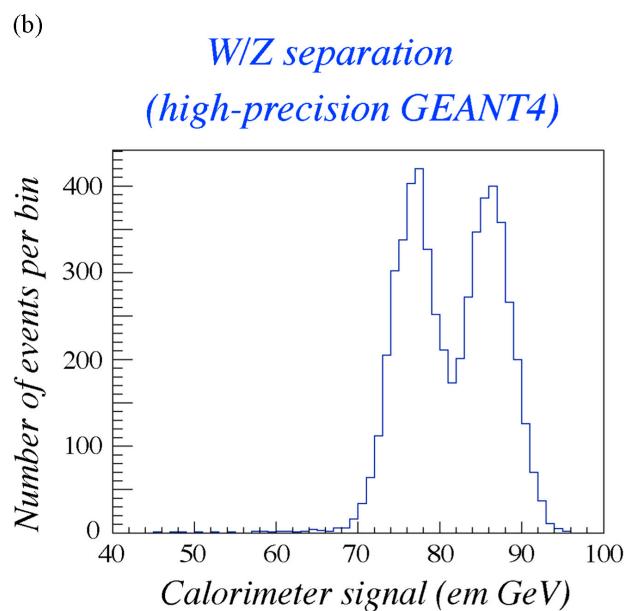
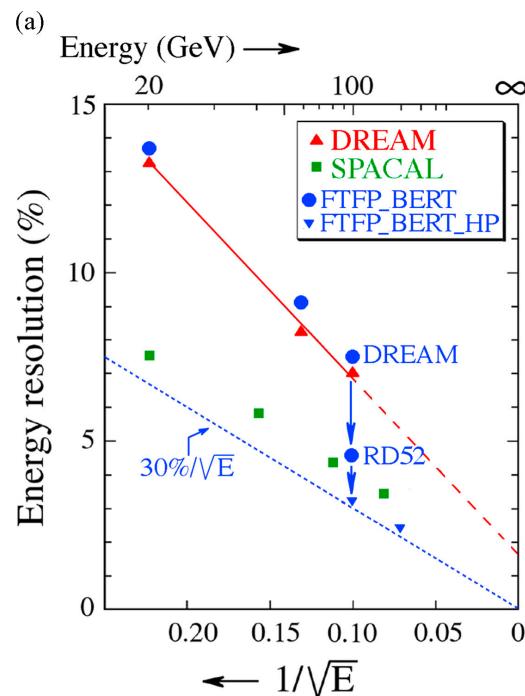
No signal in leakage counters



Performance of Dual Readout

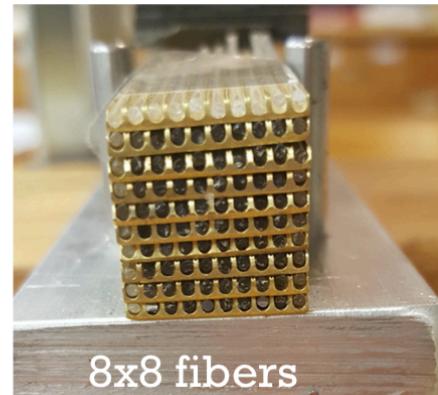
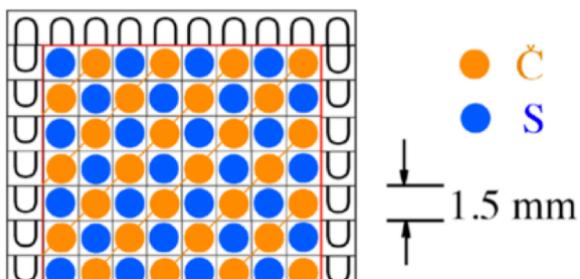
- Hadronic resolution comparable to compensating calorimeters.
 - Resolution at TB (dominated by leakage). G4 estimate **with full containment**

$$\frac{\sigma}{E} = \frac{34\%}{\sqrt{E}}$$

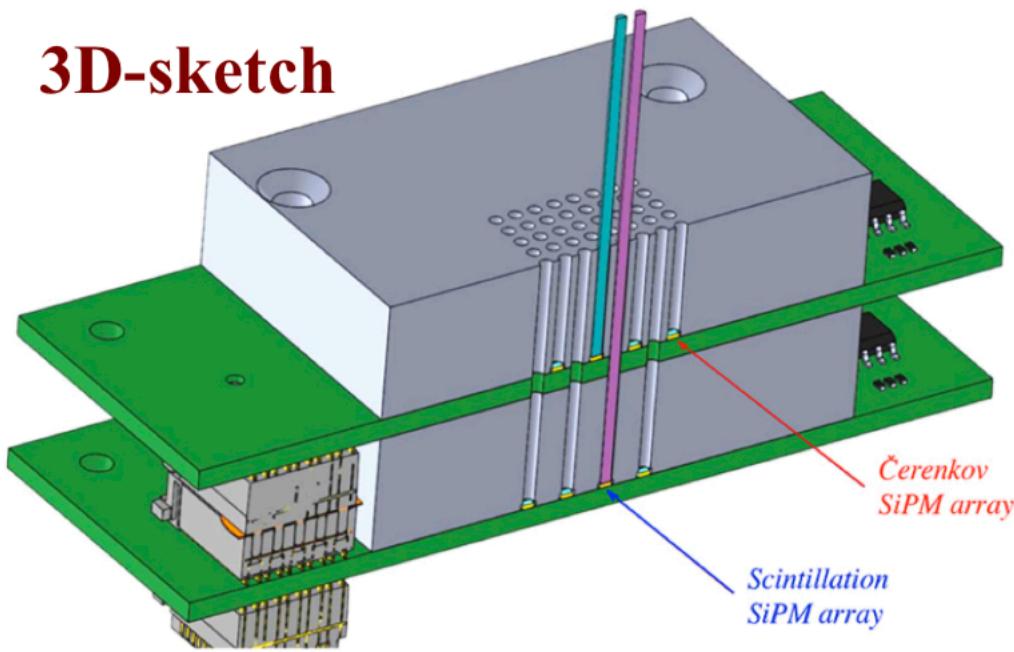


See <https://doi.org/10.1016/j.ppnp.2018.07.003>

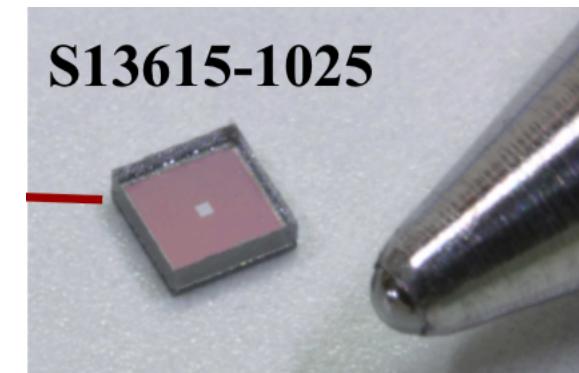
SiPM dual readout



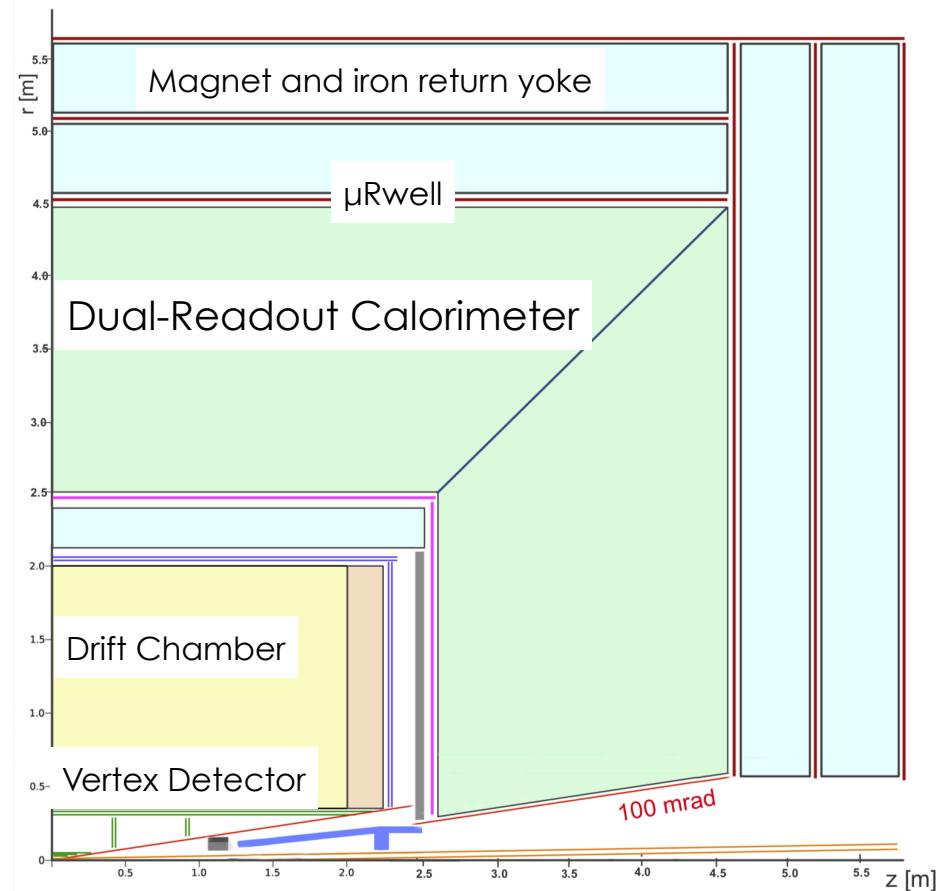
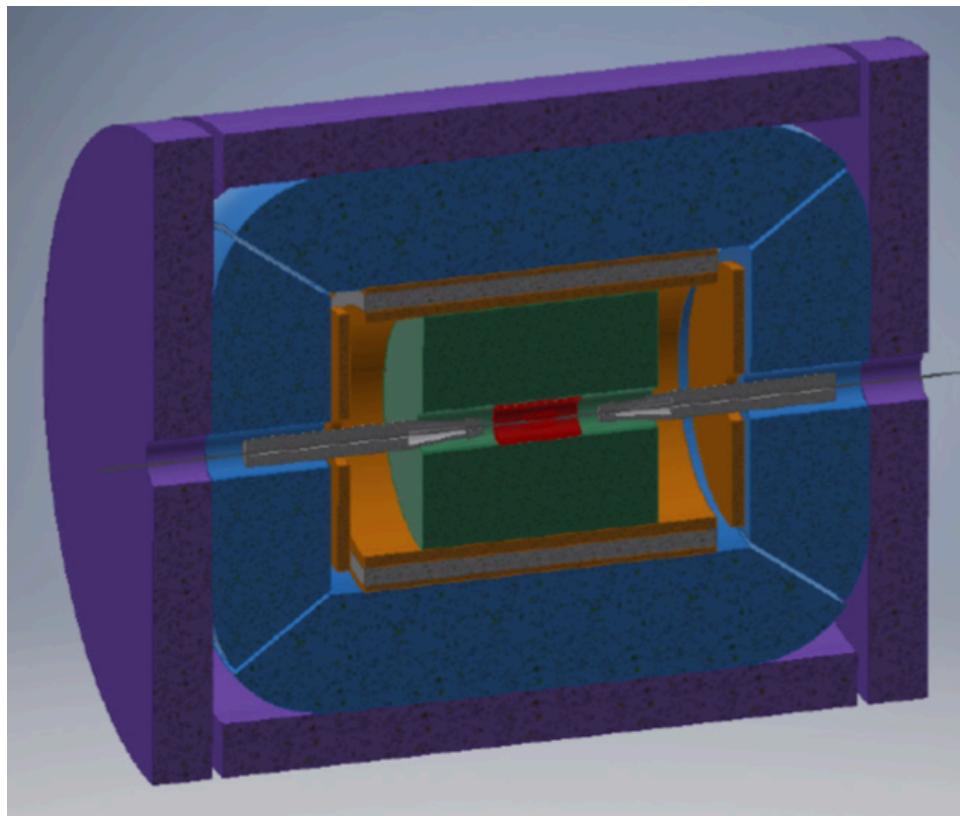
3D-sketch



- Single fibre readout with **HAMAMATSU SiPM**.
- Readout for Cherenkov and Scintillation light **separated to minimise cross talk** (the latter expected to be ~ 50 times larger if not attenuated).

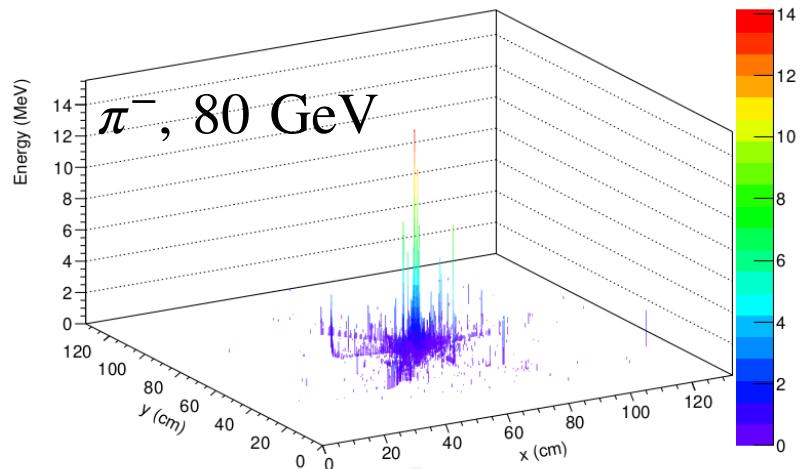
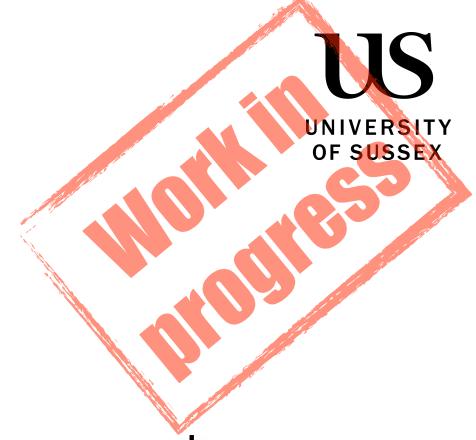


A practical implementation: IDEA

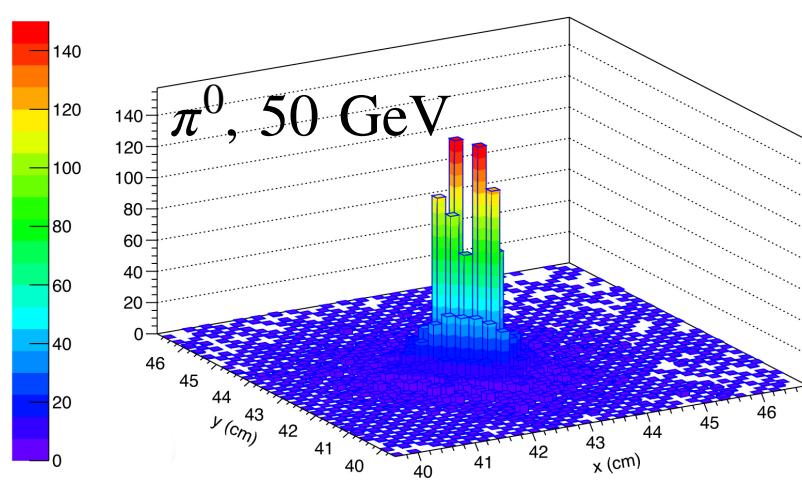
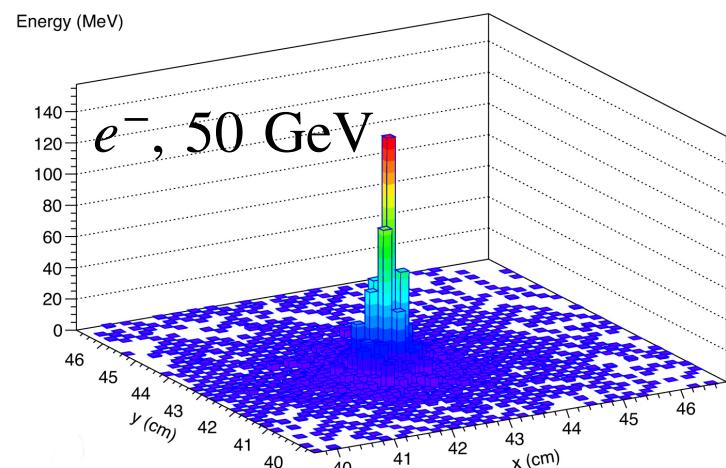


See [here](#) for additional information

Shower shape

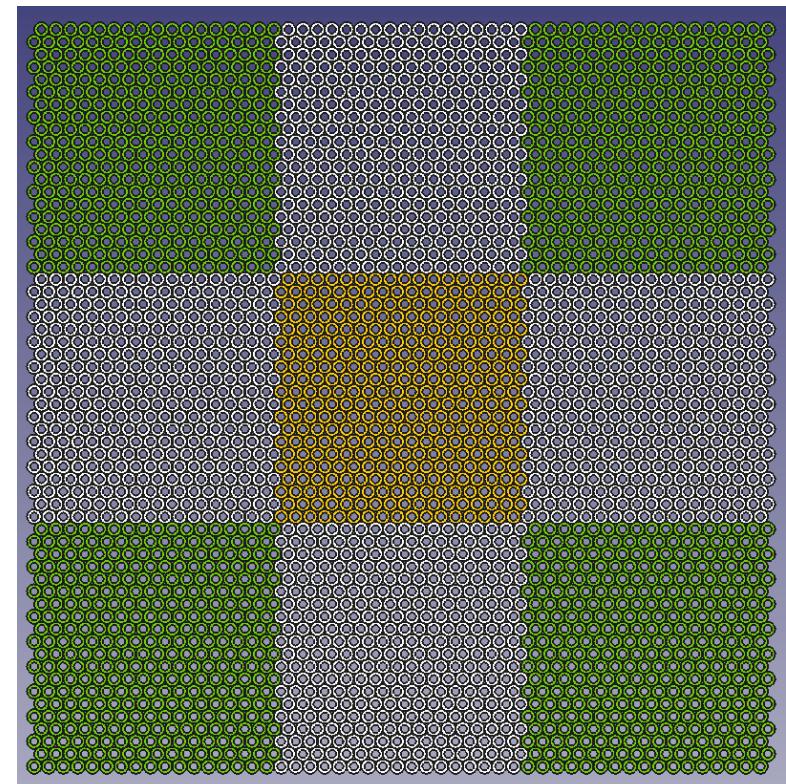
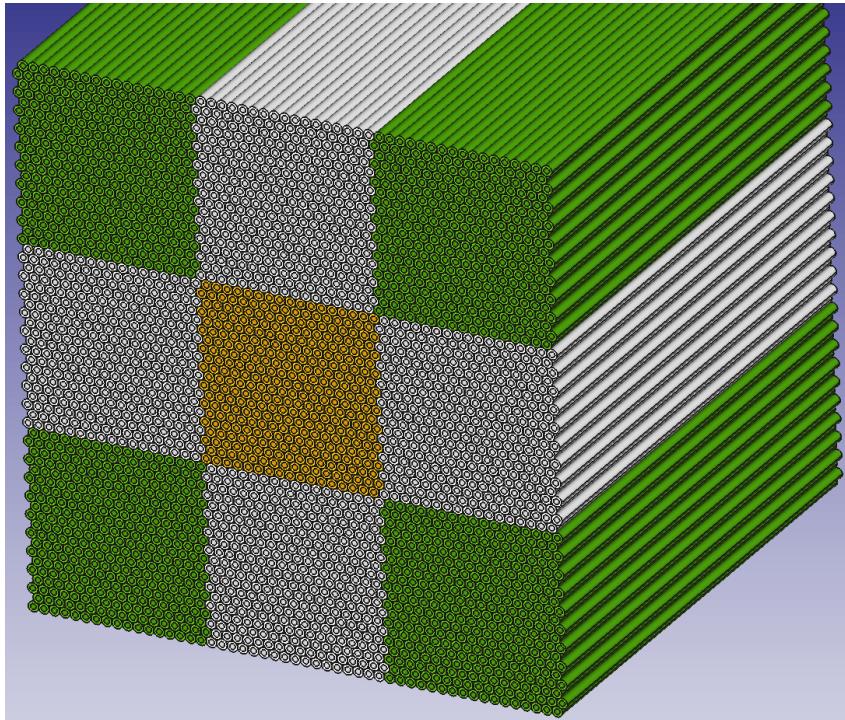


- Single particle shower shape
 - Using full implemented granularity



2020 target

- Build a $10 \times 10 \times 100 \text{ cm}^3$ prototype:
 - Use **2 mm diameter** tubelets (CuZn37, glued with araldite)
 - 60 horizontal layers of 51 tubes
 - 9 readout towers of 17x20 tubes each
 - **SiPM** readout for the **central tower**, PMs (with reduced granularity) otherwise

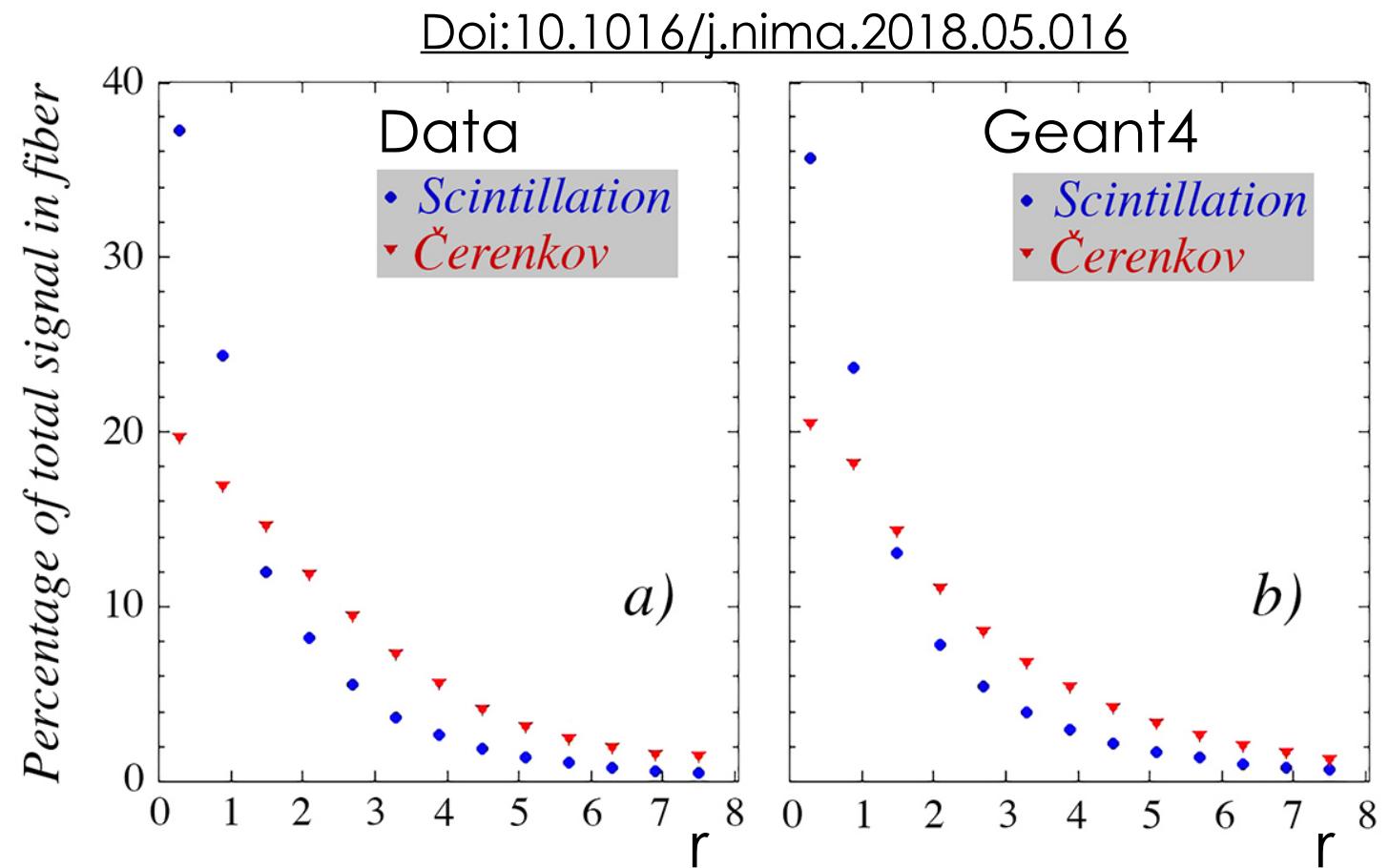


SiPM dual readout (shower shape)

- Readout of single fibre gives **unprecedented lateral segmentation**.
- Em lateral shower shape measured with **~ 1 mm precision**.

$$\bar{x} = \frac{\sum_i x_i E_i}{\sum_i E_i}; \bar{y} = \frac{\sum_i y_i E_i}{\sum_i E_i}$$

$$r = \sqrt{(x_i - \bar{x})^2 + (y_i - \bar{y})^2}$$



Studies on χ values

