



中国科学院大学
University of Chinese Academy of Sciences



Flavour anomalies at LHCb

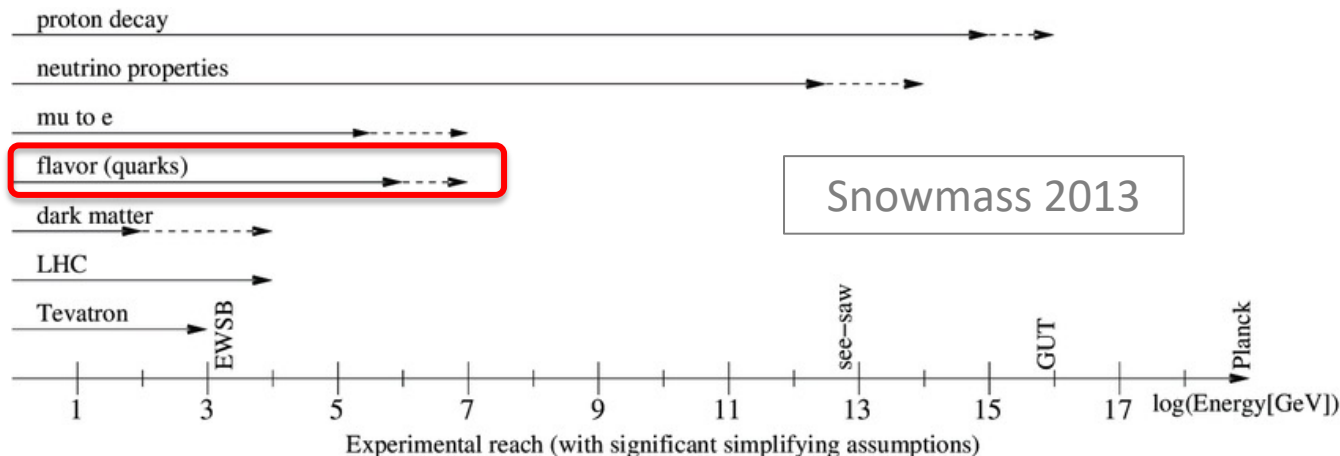
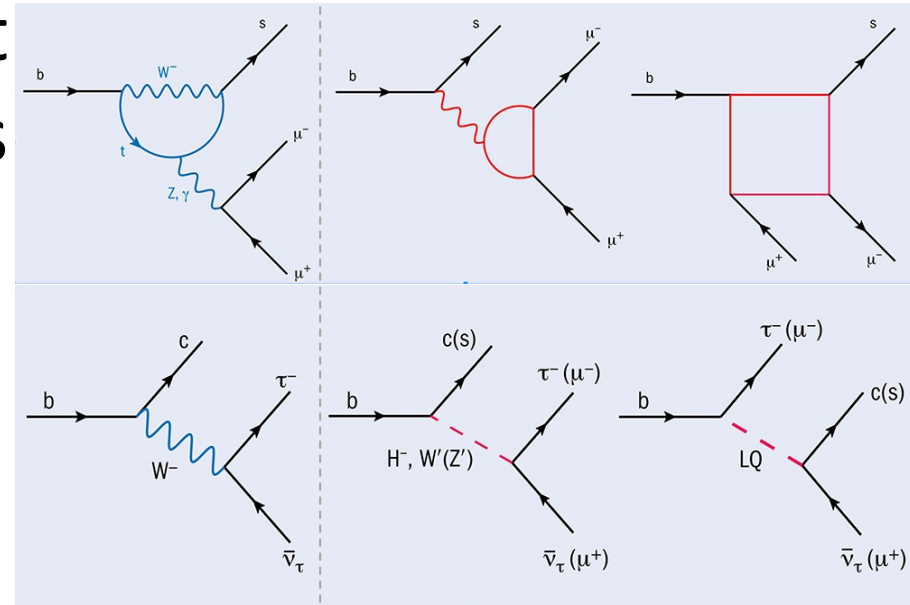
何吉波 (Jibo HE)

全国第十八届重味物理与CP破坏研讨会
(HFCPV2021)

2021年11月11-14日

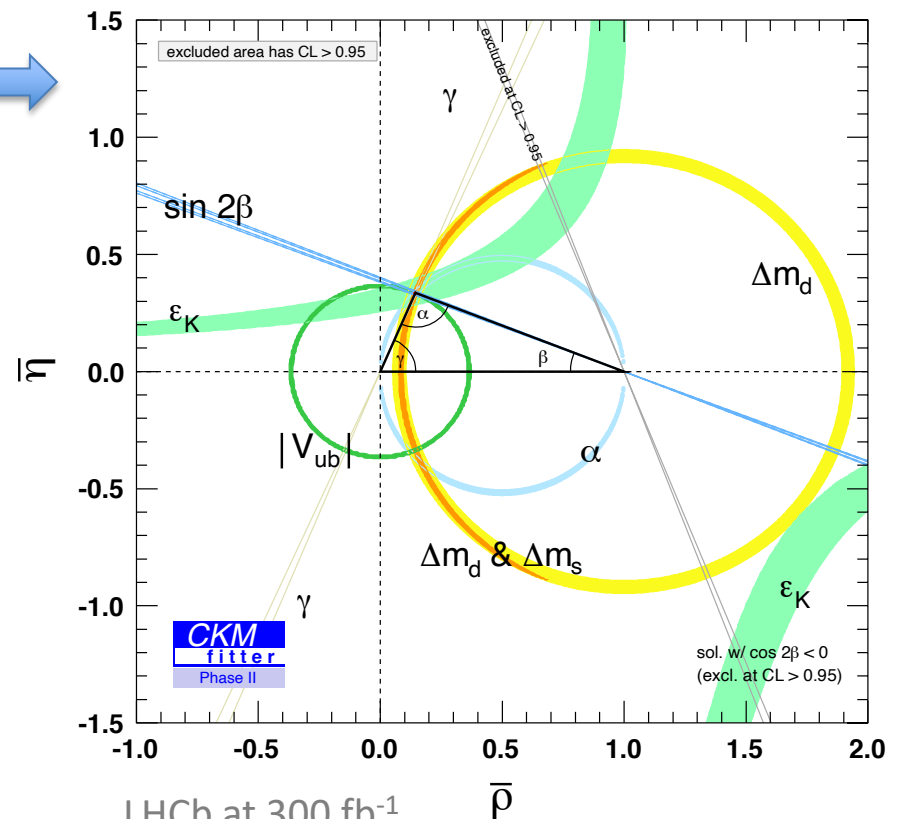
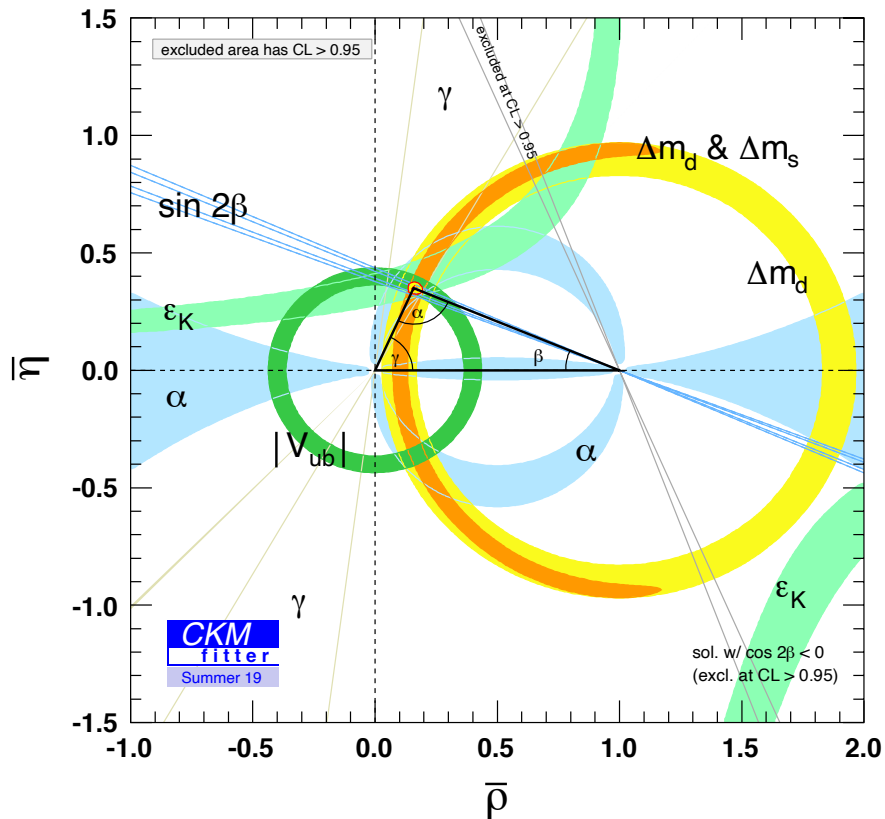
Indirect search for New Physics

- Precision measurement of heavy hadron decays
 - Flavour-Changing NC
 - Flavour-Changing CC
- Probe New Physics at high energy scale



Indirect search for NP (cont.)

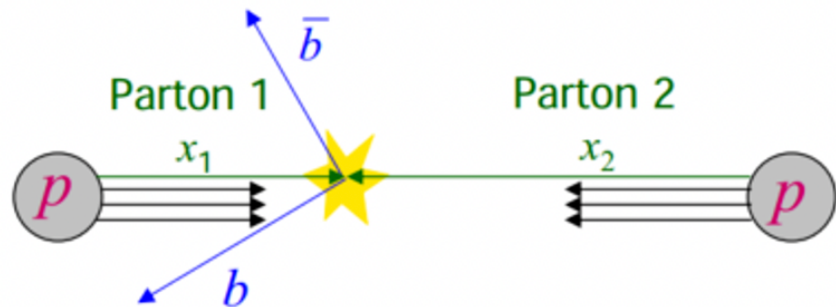
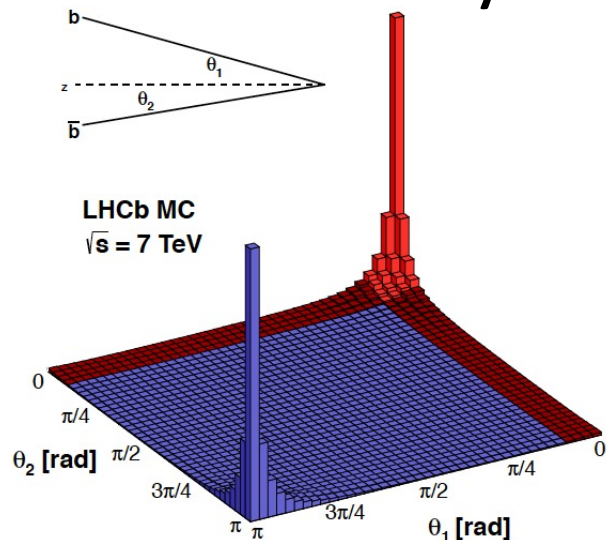
- Overconstrain the CKM triangle



LHCb at 300 fb⁻¹,
CMS/ATLAS at 3000 fb⁻¹, Belle II at 50 ab⁻¹.

Beauty/charm production

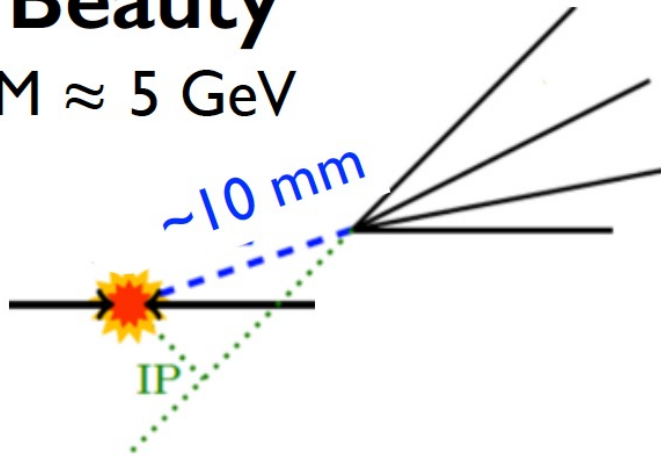
- Large production cross-section @ 7 TeV
 - Minibias ~ 60 mb
 - Charm ~ 6 mb
 - Beauty ~ 0.3 mb c.f. 1nb @ $\Upsilon(4S)$
- } Flavor factory!
- Predominantly in forward/backward cones



Beauty/charm signature

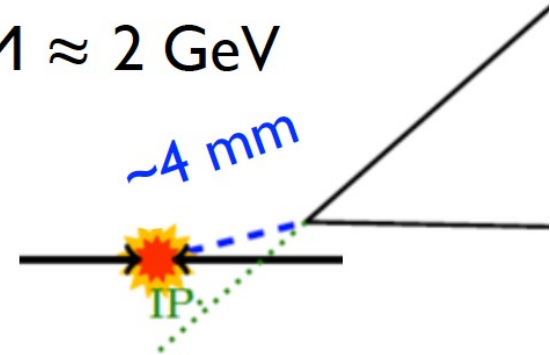
Beauty

$M \approx 5 \text{ GeV}$



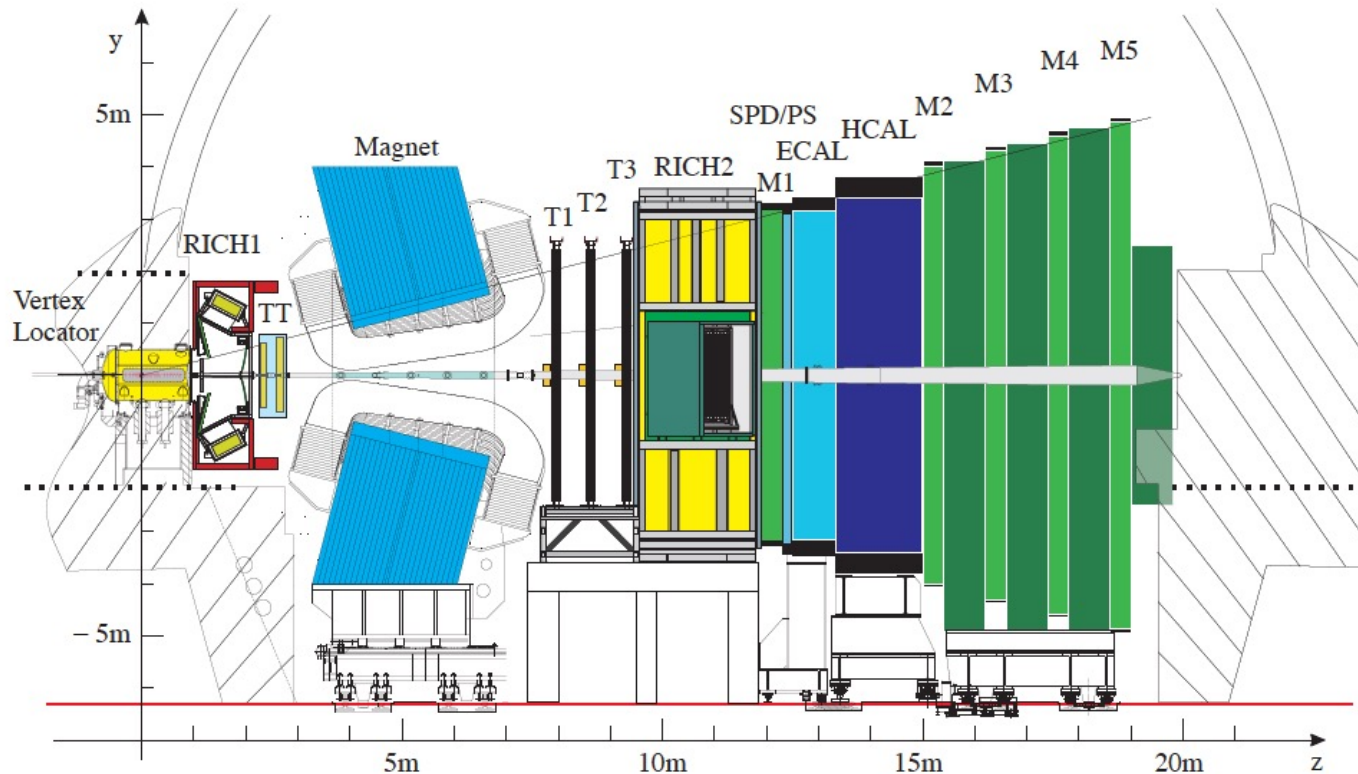
Charm

$M \approx 2 \text{ GeV}$



- Compared to minimum bias (background)
 - Relatively high mass $\rightarrow$ high *transverse momentum*
 - Relatively long lifetime $\rightarrow$ large impact parameter (IP)
- Requires excellent vertexing, tracking, particle-identification

The LHCb experiment



Vertex Locator

Tracking (TT, T1-T3)

RICHs

Muon system (M1-M5)

ECAL

HCAL

$$\sigma_{PV,x/y} \sim 10 \mu\text{m}, \sigma_{PV,z} \sim 60 \mu\text{m}$$

$$\Delta p/p: 0.4\% \text{ at } 5 \text{ GeV}/c, \text{ to } 0.6\% \text{ at } 100 \text{ GeV}/c$$

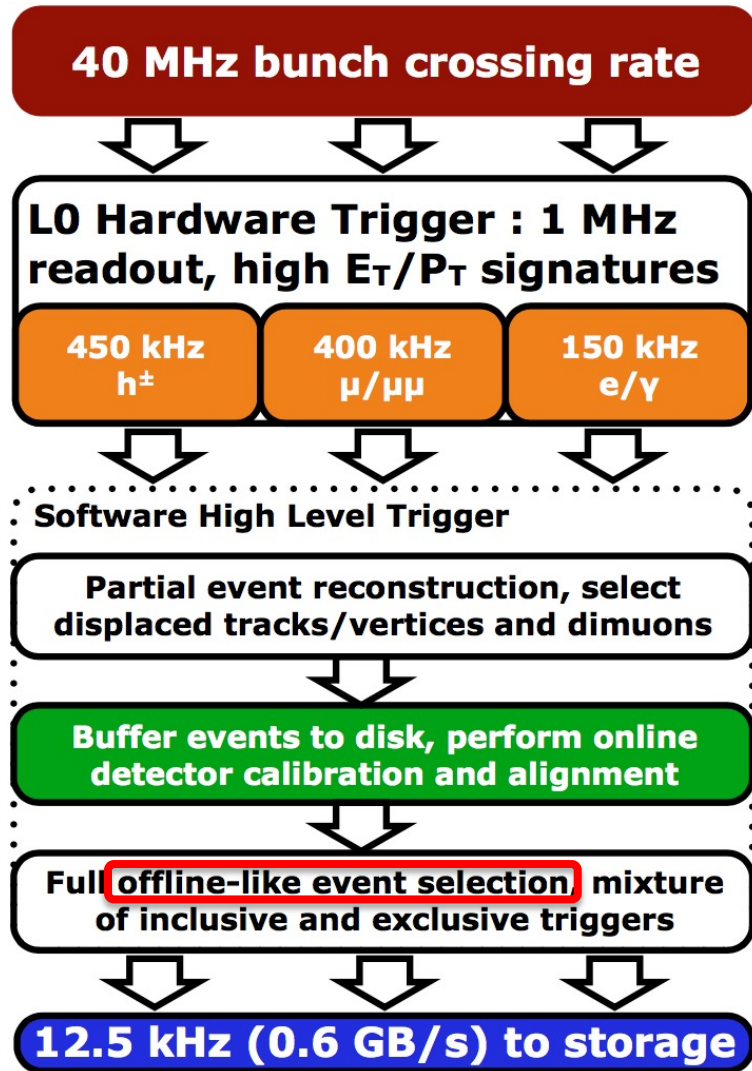
$$\varepsilon(K \rightarrow K) \sim 95\%, \text{ mis-ID rate } (\pi \rightarrow K) \sim 5\%$$

$$\varepsilon(\mu \rightarrow \mu) \sim 97\%, \text{ mis-ID rate } (\pi \rightarrow \mu) = 1 - 3\%$$

$$\sigma_E/E \sim 10\%/\sqrt{E} \oplus 1\% \text{ (E in GeV)}$$

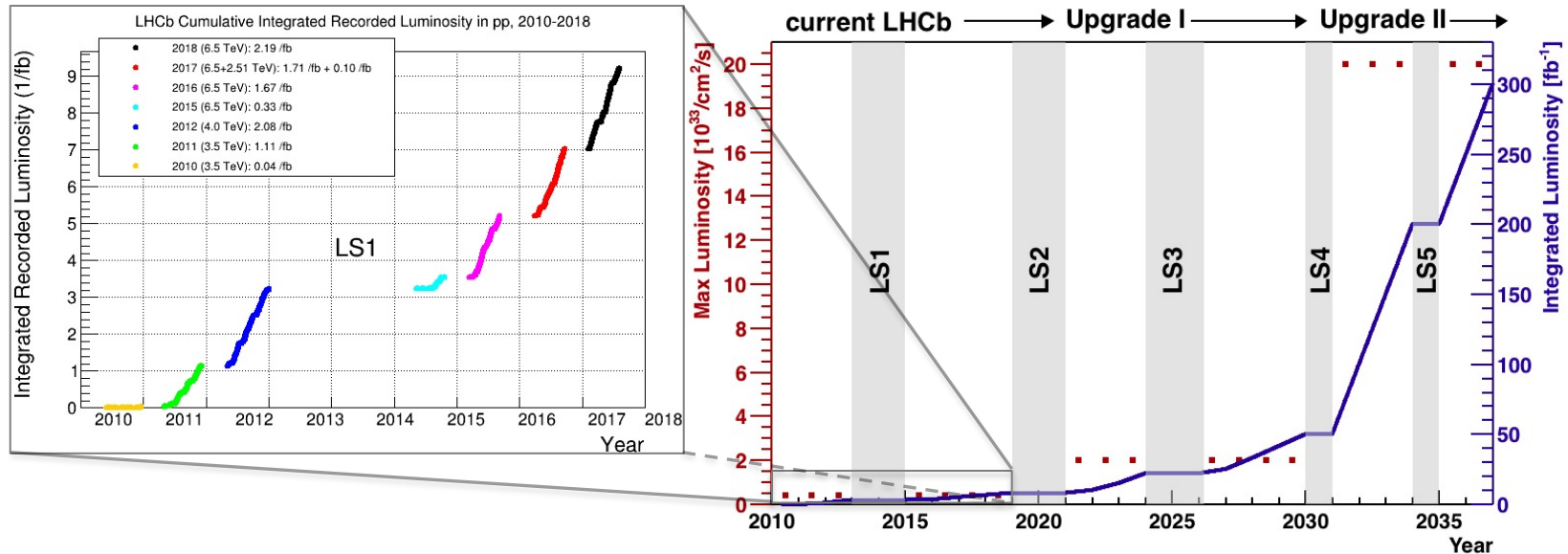
$$\sigma_E/E \sim 70\%/\sqrt{E} \oplus 10\% \text{ (E in GeV)}$$

The LHCb trigger (2018)



- L0, Hardware
 - $p_T(\mu_1) \times p_T(\mu_2) > (1.5 \text{ GeV})^2$
 - $p_T(\mu) > 1.8 \text{ GeV}$
 - $E_T(e) > 2.4 \text{ GeV}$
 - $E_T(\gamma) > 3.0 \text{ GeV}$
 - $E_T(h) > 3.7 \text{ GeV}$
- High Level Trigger
 - Stage1, p_T , IP
 - Stage2, full selection

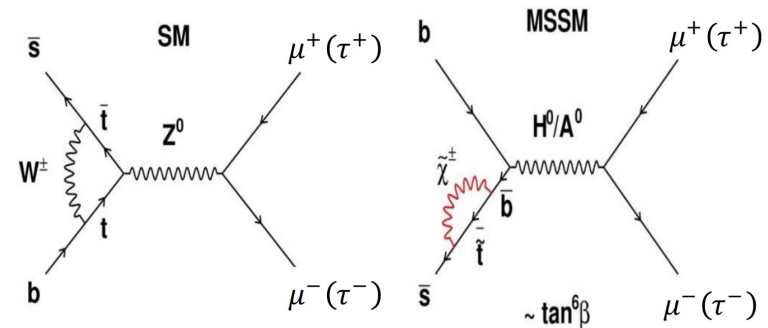
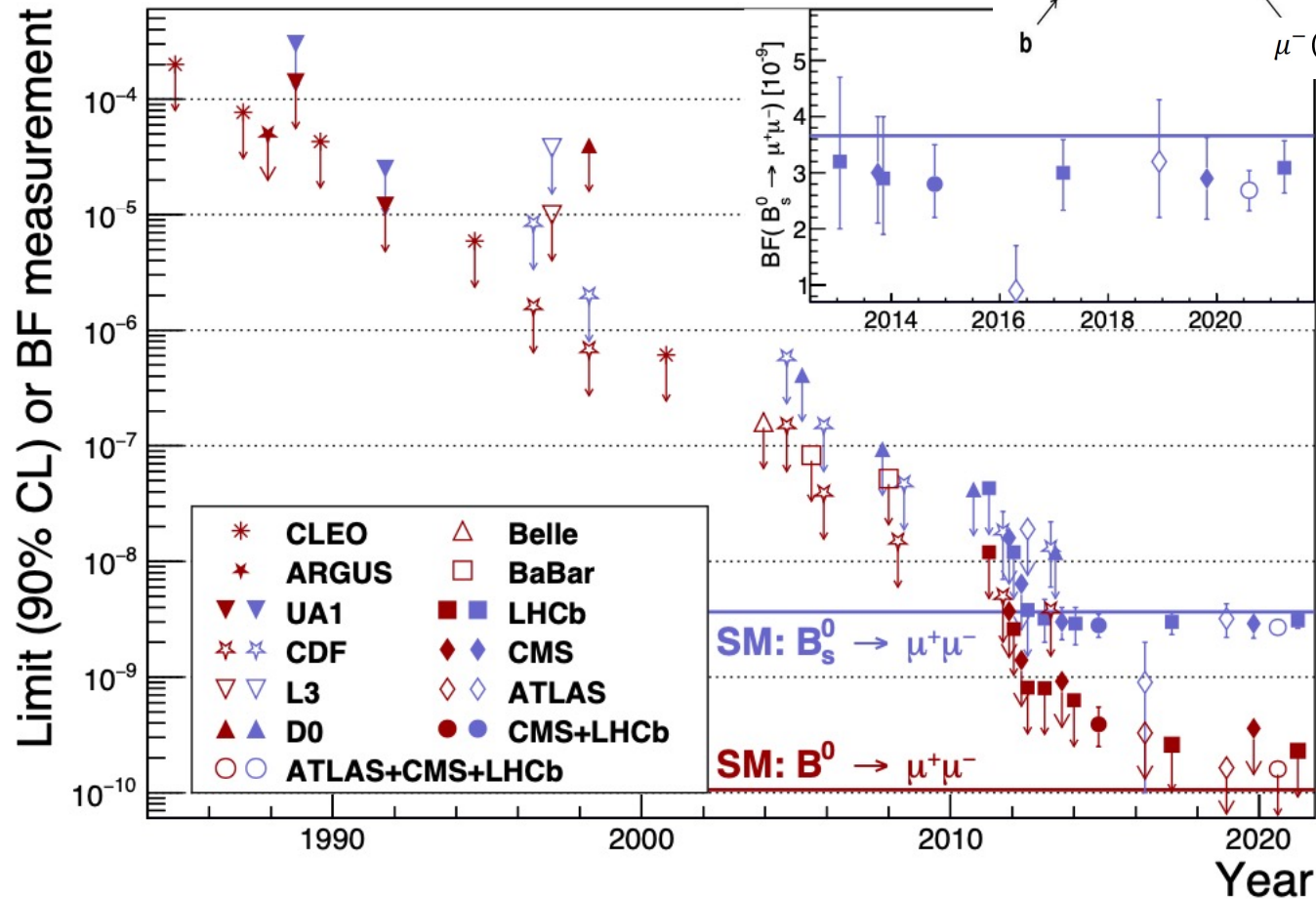
LHCb luminosity prospects



LHC era			HL-LHC era	
Run 1 (2010-12)	Run 2 (2015-18)	Run 3 (2022-24)	Run 4 (2027-30)	Run 5+ (2031+)
3 fb^{-1}	6 fb^{-1}	23 fb^{-1}	46 fb^{-1}	>300 fb^{-1} ??
			Phase-1b Upgrade!?	Phase-2 Upgrade??

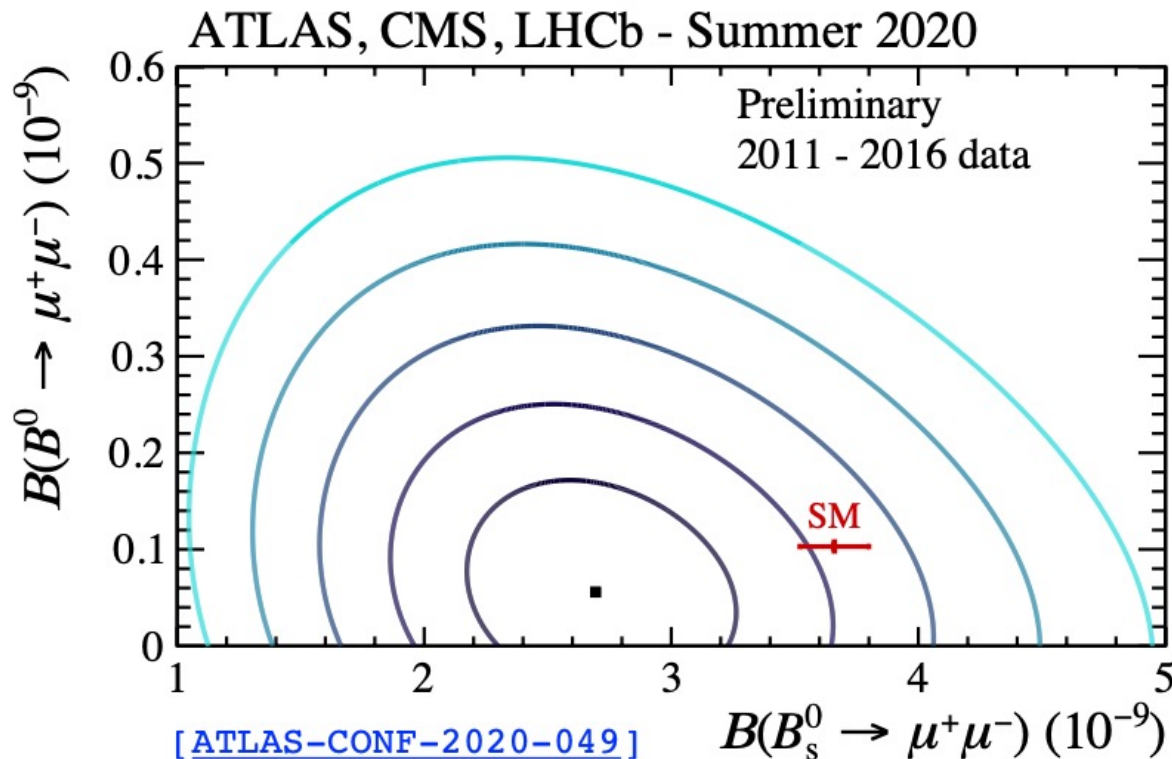
$$B_{(s)}^0 \rightarrow \mu^+ \mu^-$$

- Road to $B_{(s)}^0 \rightarrow \mu^+ \mu^-$



$B_{(s)}^0 \rightarrow \mu^+ \mu^-$, recent results

- $B_s^0 \rightarrow \mu^+ \mu^-$ observed in single experiment(s)
LHCb (4.6 fb^{-1}): 7.8σ , [ATLAS \(\$26 \text{ fb}^{-1}\$ \): \$4.6\sigma\$](#) , CMS (61 fb^{-1}): 5.6σ
- Still compatible with SM, start to be interesting



$B_s^0 \rightarrow \mu^+ \mu^-$ effective lifetime

- B_s^0 mixing $\Rightarrow$ effective τ

$$\tau_{\mu^+\mu^-} = \frac{\tau_{B_s}}{1 - y_s^2} \left[\frac{1 + 2A_{\Delta\Gamma}^{\mu^+\mu^-} y_s + y_s^2}{1 + A_{\Delta\Gamma}^{\mu^+\mu^-} y_s} \right]$$

$$A_{\Delta\Gamma}^{\mu^+\mu^-} \equiv \frac{R_H^{\mu^+\mu^-} - R_L^{\mu^+\mu^-}}{R_H^{\mu^+\mu^-} + R_L^{\mu^+\mu^-}} \quad A_{\Delta\Gamma}=1 \text{ in SM}$$

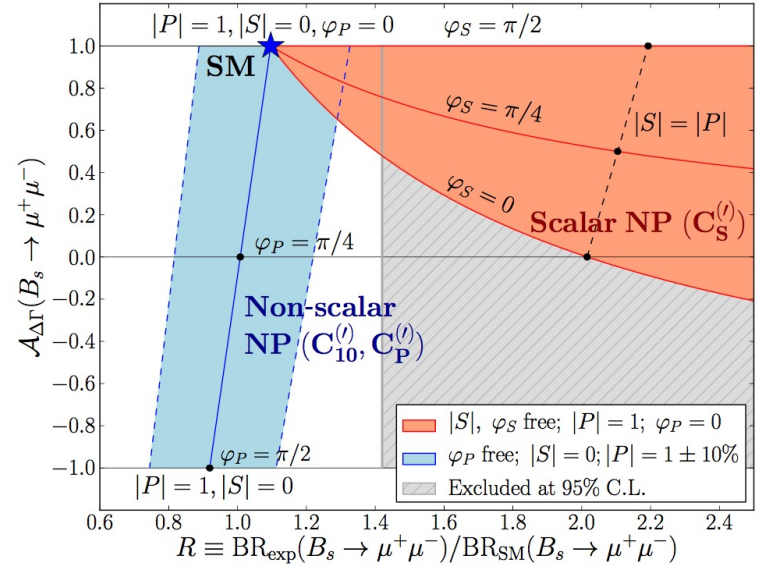
$$y_s = \frac{\Delta\Gamma_s}{2\Gamma_s}$$

- First measurement, not yet sensitive to $A_{\Delta\Gamma}$

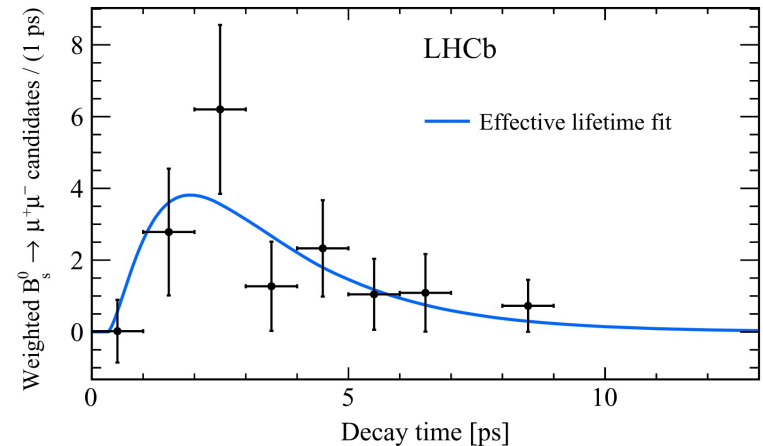
$$\tau(B_s^0 \rightarrow \mu^+ \mu^-) = 2.04 \pm 0.44 \pm 0.05 \text{ ps}$$

$$1.70^{+0.61}_{-0.44} \text{ ps}$$

[CMS-PAS-BPH-16-004]



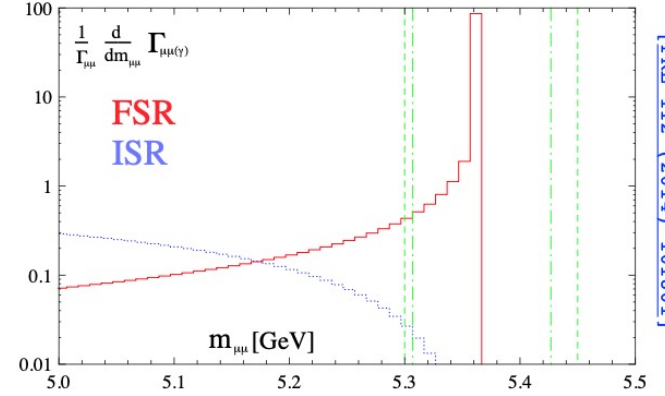
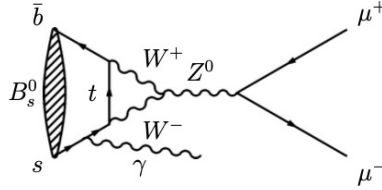
[De Bruyn *et al.*, PRL 109 (2012) 041801]



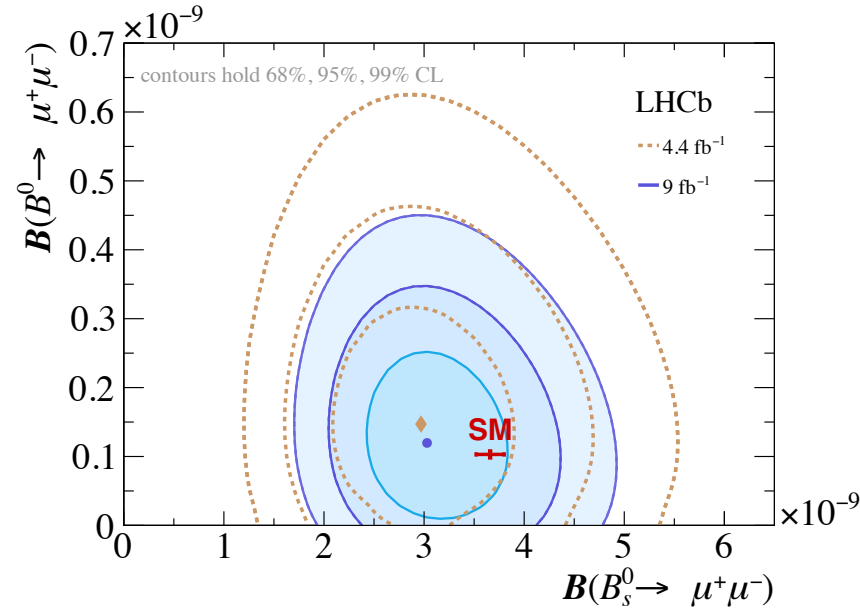
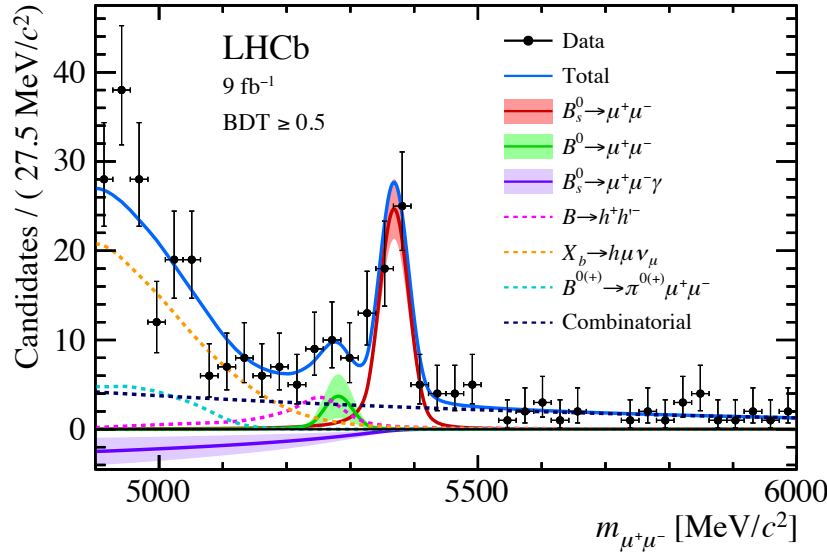
$$B_{(s)}^0 \rightarrow \mu^+ \mu^-$$

- Using all data, first limit on

$$B_s^0 \rightarrow \mu^+ \mu^- \gamma$$



[PRL 112 (2014) 101801]



$$\mathcal{B}(B_s^0 \rightarrow \mu^+ \mu^-) = (3.09^{+0.46}_{-0.43} {}^{+0.15}_{-0.11}) \times 10^{-9}$$

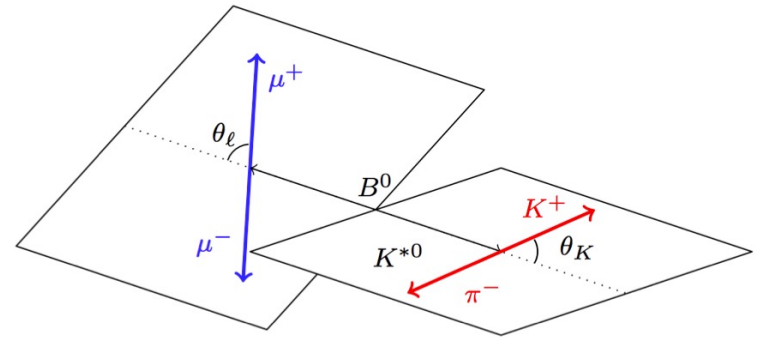
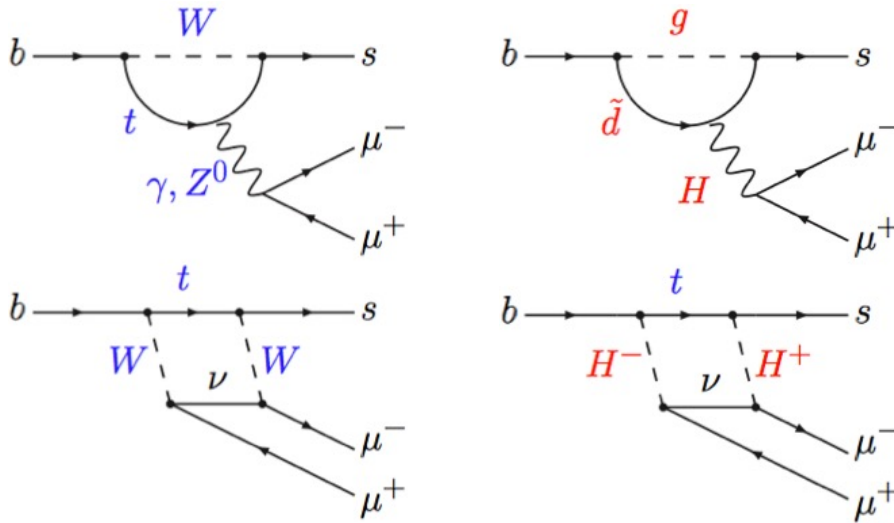
$$\mathcal{B}(B^0 \rightarrow \mu^+ \mu^-) < 2.6 \times 10^{-10} \text{ (95\% CL)}$$

$$\mathcal{B}(B_s^0 \rightarrow \mu^+ \mu^- \gamma)_{m_{\mu^+ \mu^-} > 4.9 \text{ GeV}} < 2.0 \times 10^{-9} \text{ (95\% CL)}$$

$$\tau_{\mu\mu} = 2.07 \pm 0.29 \pm 0.03 \text{ ps}$$

$$B^0 \rightarrow K^{*0} \mu^+ \mu^-$$

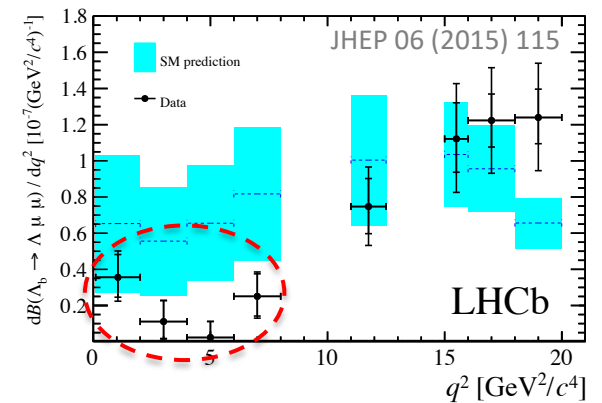
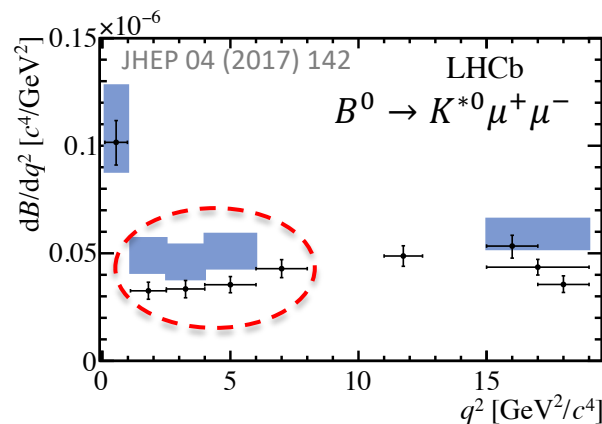
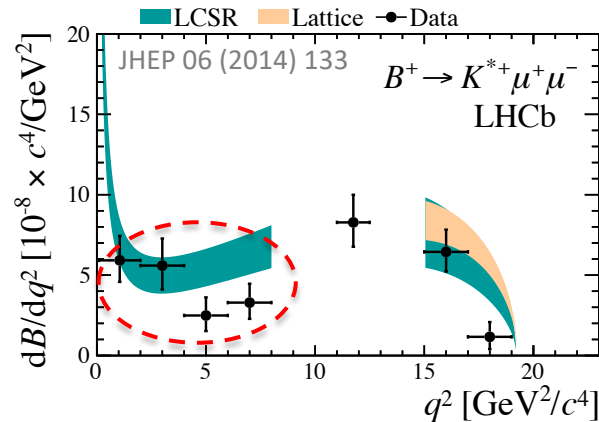
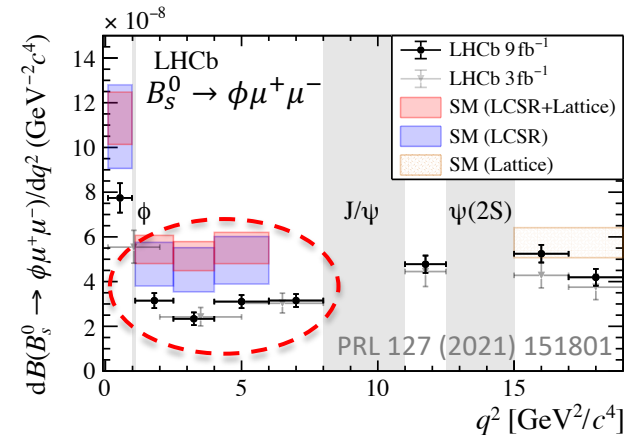
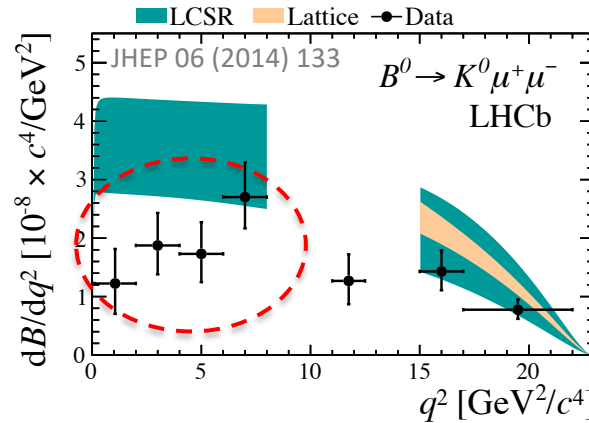
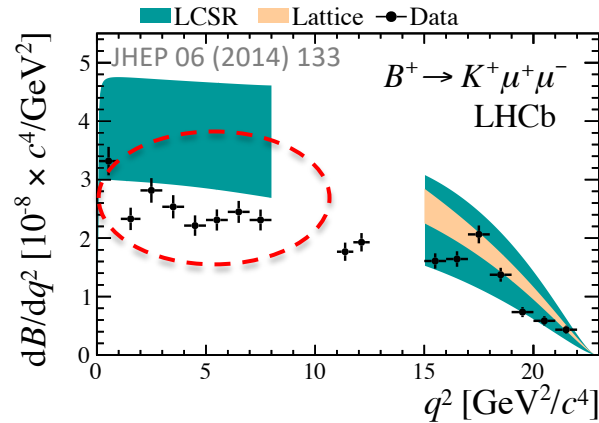
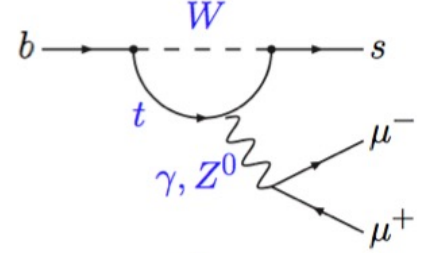
- Rates and angular distributions sensitive to NP



$$\begin{aligned} \frac{1}{d(\Gamma + \bar{\Gamma})/dq^2} \frac{d^3(\Gamma + \bar{\Gamma})}{d\vec{\Omega}} &= \frac{9}{32\pi} \left[\frac{3}{4}(1 - F_L) \sin^2 \theta_K + F_L \cos^2 \theta_K + \frac{1}{4}(1 - F_L) \sin^2 \theta_K \cos 2\theta_\ell \right. \\ &\quad - F_L \cos^2 \theta_K \cos 2\theta_\ell + S_3 \sin^2 \theta_K \sin^2 \theta_\ell \cos 2\phi \\ &\quad + S_4 \sin 2\theta_K \sin 2\theta_\ell \cos \phi + S_5 \sin 2\theta_K \sin \theta_\ell \cos \phi \\ &\quad + \frac{4}{3} A_{FB} \sin^2 \theta_K \cos \theta_\ell + S_7 \sin 2\theta_K \sin \theta_\ell \sin \phi \\ &\quad \left. + S_8 \sin 2\theta_K \sin 2\theta_\ell \sin \phi + S_9 \sin^2 \theta_K \sin^2 \theta_\ell \sin 2\phi \right] \end{aligned}$$

Branching fraction of $b \rightarrow s\mu^+\mu^-$

- Pattern of tensions seen, theoretical uncertainty?

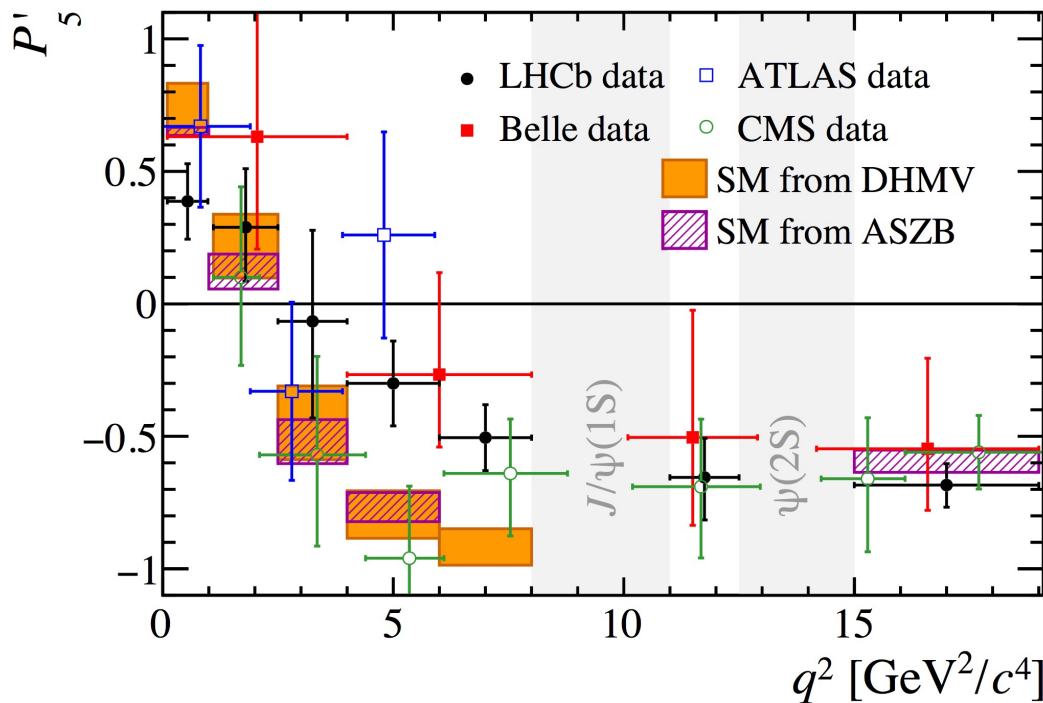


P'_5 with $B^0 \rightarrow K^{*0} \mu^+ \mu^-$

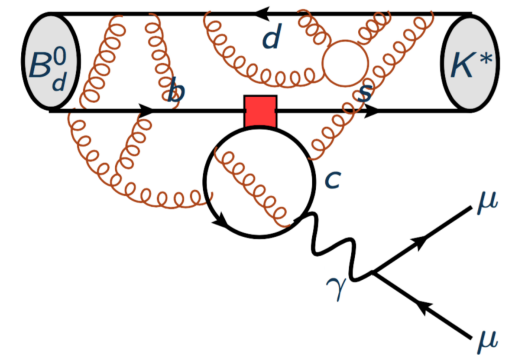
- $P'_5 = \frac{S_5}{\sqrt{F_L(1-F_L)}}$, less form-factor dependent

[S. Descotes-Genon, *et al.*, JHEP 01 (2013) 048]

- Also measured by Belle, ATLAS, CMS



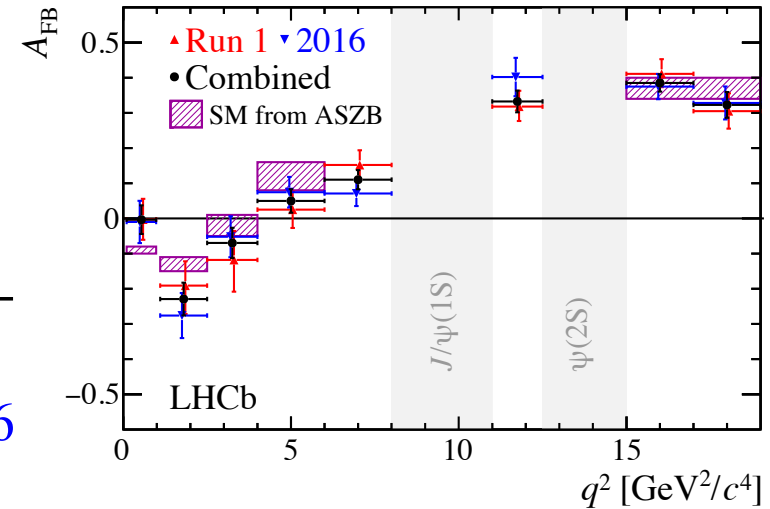
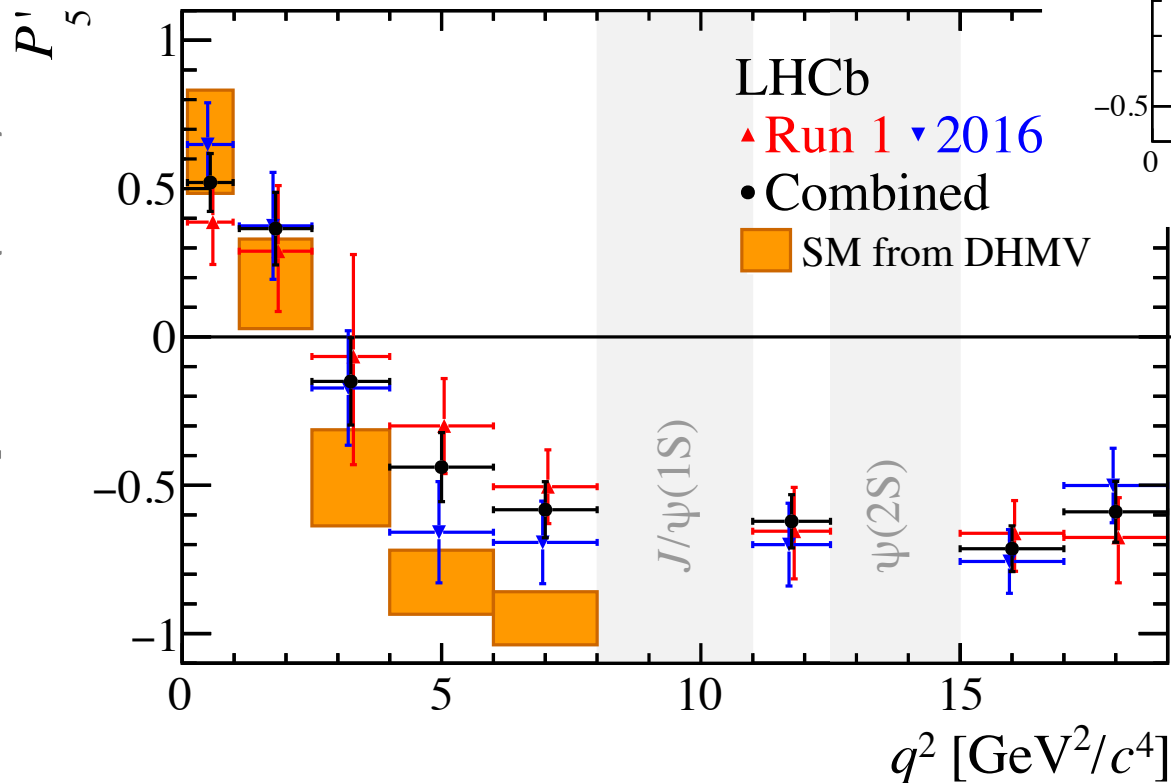
[LHCb, JHEP 02 (2016) 104]
 [Belle, PRL 118 (2017) 111801]
 [ATLAS, JHEP 10 (2018) 047]
 [CMS, PLB 781 (2018) 517]



$B^0 \rightarrow K^{*0} \mu^+ \mu^-$, latest results

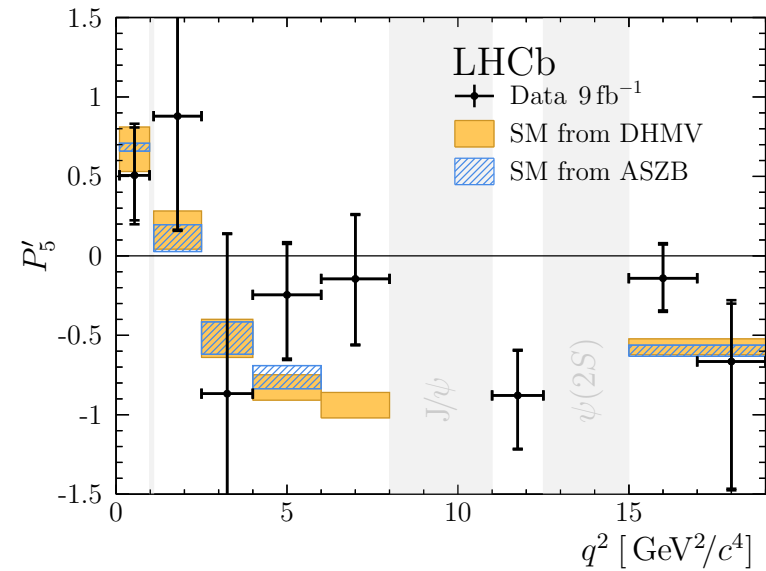
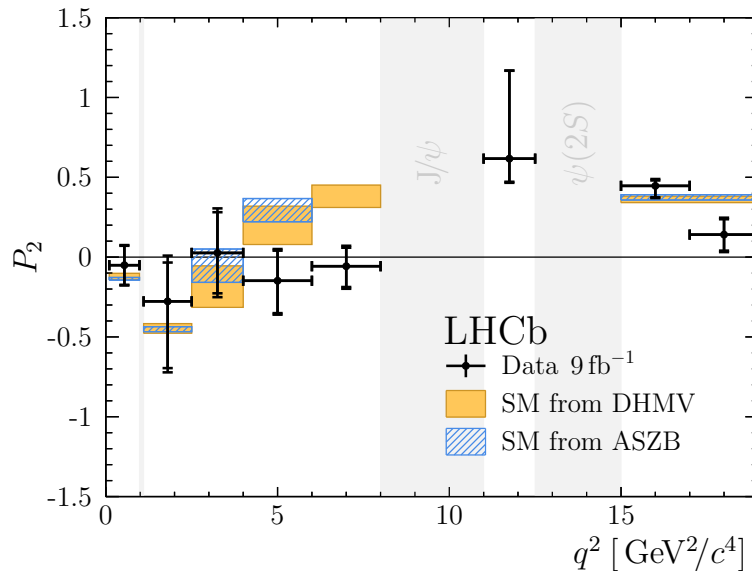
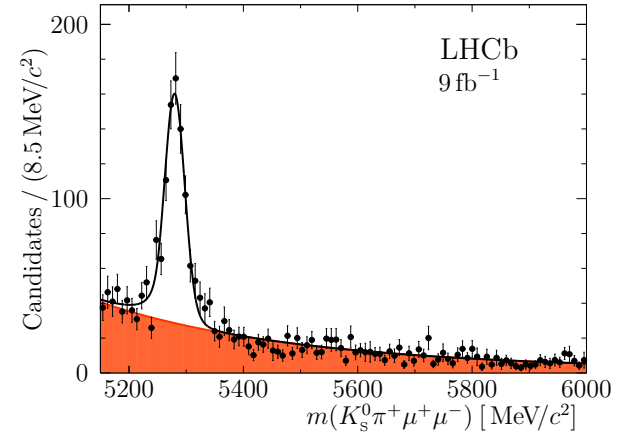
- Updated with 2016 data

[PRL 125 (2020) 011802]



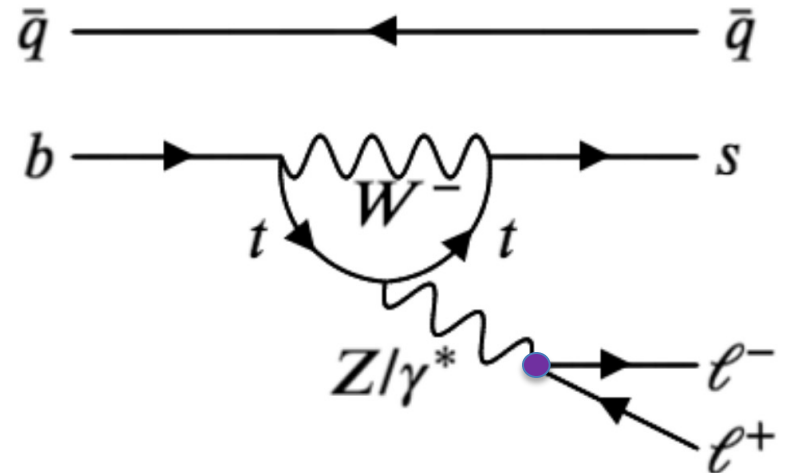
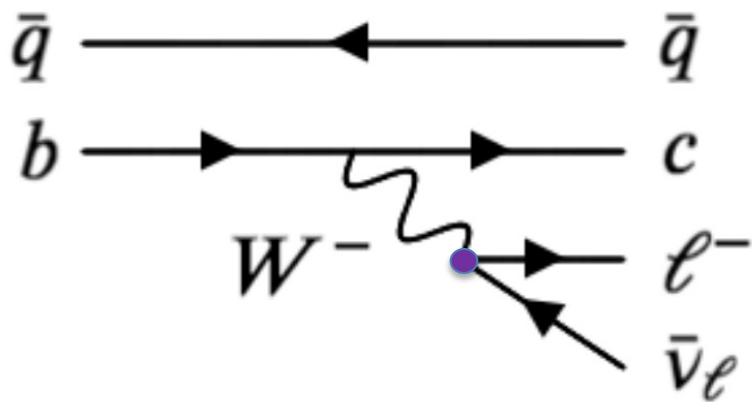
$P'_{5,2}$ with $B^+ \rightarrow K^{*+} \mu^+ \mu^-$

- All data, $K^{*+} \rightarrow K_S^0 \pi^+$
- Local deviation from SM,
 3σ in $P'_2 = \frac{2}{3} A_{\text{FB}} / (1 - F_L)$



Lepton flavour universality

- In SM, three lepton families (e, μ, τ) have identical couplings to the gauge bosons



– which means, e.g.,

$$R_K = \frac{\mathcal{B}(B^+ \rightarrow K^+ \mu^+ \mu^-)}{\mathcal{B}(B^+ \rightarrow K^+ e^+ e^-)} \cong 1$$

$\mathcal{O}(10^{-4})$ uncertainty

[C. Bobeth *et al.*, JHEP 12 (2007) 040]

$\mathcal{O}(1\%)$ QED correction

[M. Bordone *et al.*, EJPC 76 (2016) 440]

- Lepton flavor universality violation? **New Physics!**

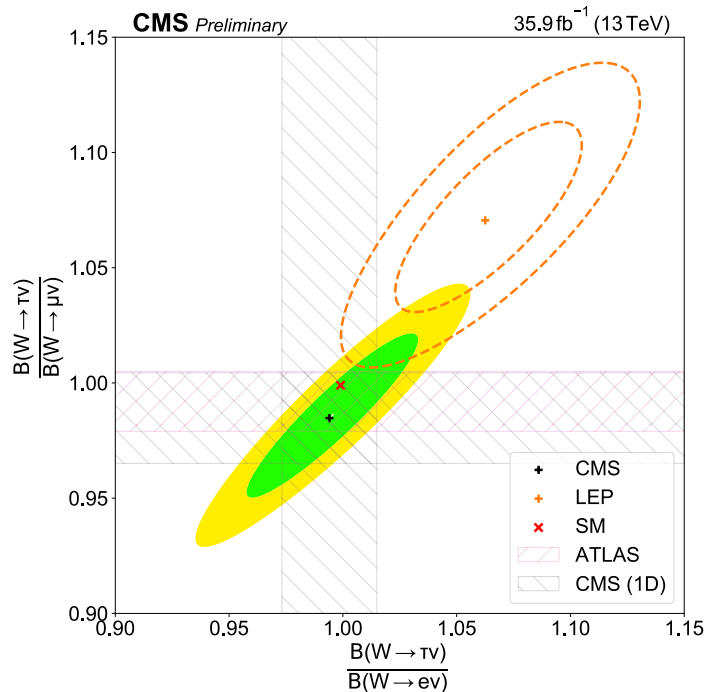
Experimental test of LFU

- Well established in SM, e.g. $W \rightarrow \ell \nu$

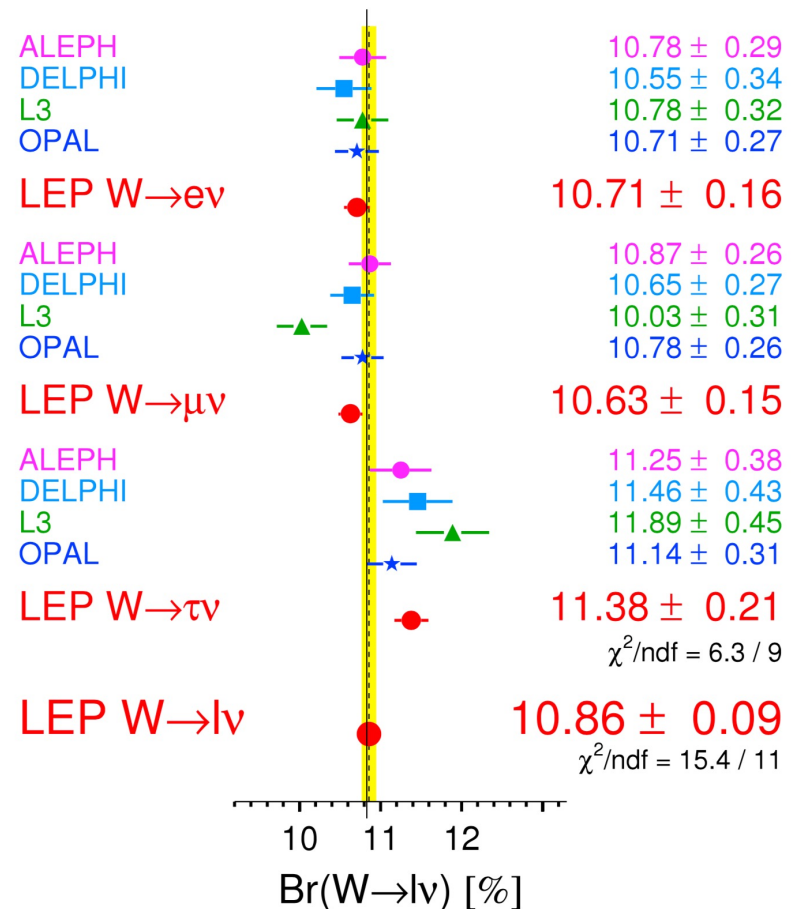
– Some tension at LEP,

addressed by ATLAS/CMS

[arXiv:2007.14040, CMS PAS SMP-18-011]



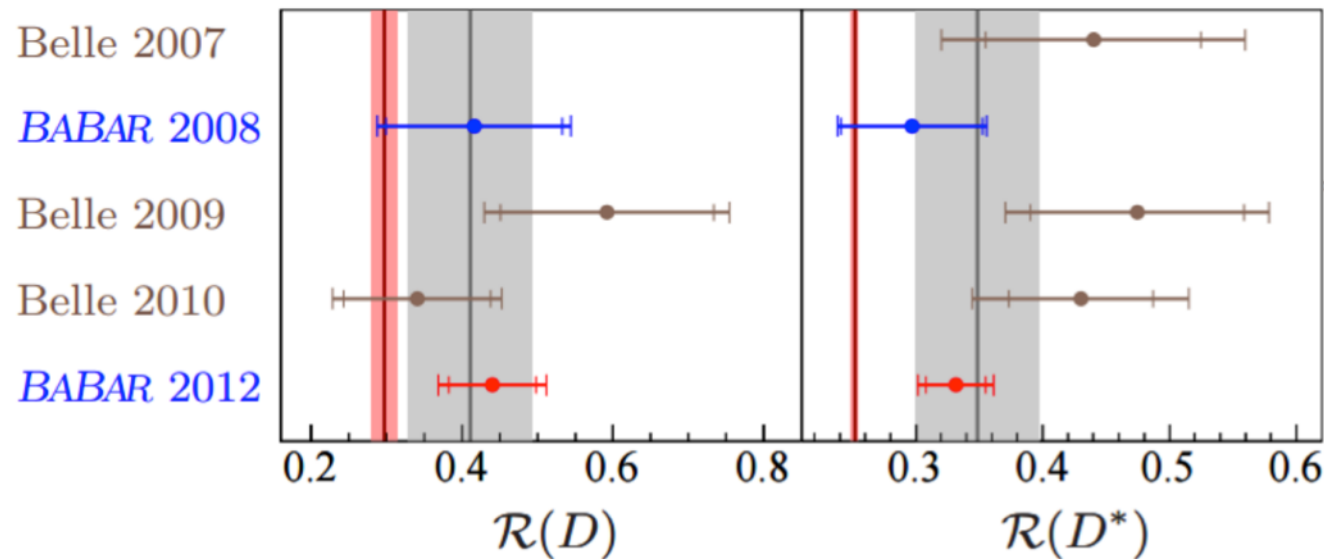
W Leptonic Branching Ratios



LFU in B system, pre-LHCb

- $\mathcal{R}(D^{(*)})$, Babar reported deviation of $\sim 3.2\sigma$

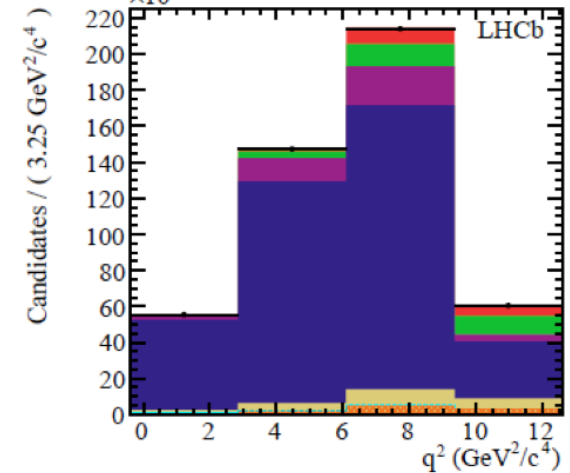
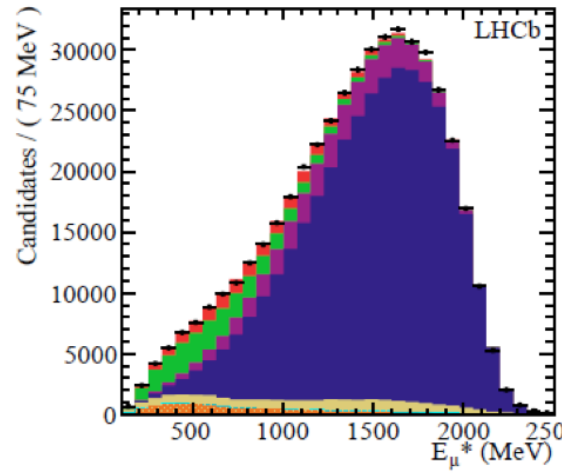
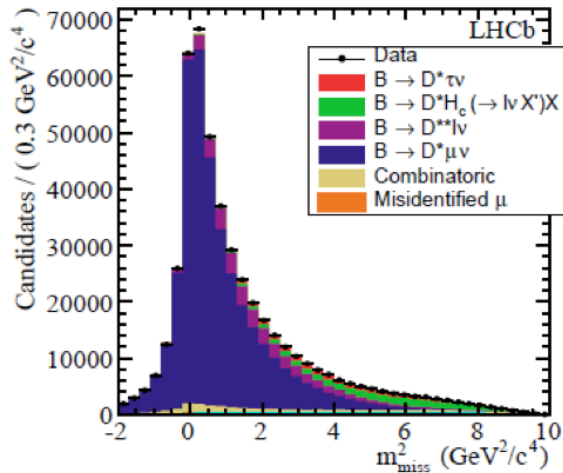
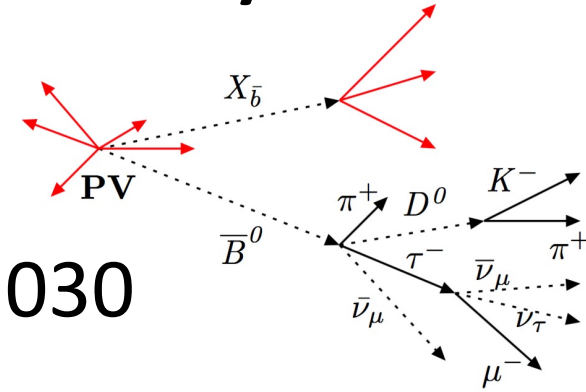
$$\mathcal{R}(D^{(*)}) \equiv \frac{\mathcal{B}(B \rightarrow D^{(*)} \tau \nu)}{\mathcal{B}(B \rightarrow D^{(*)} \ell \nu)} \quad [\text{Babar, PRD 88 (2013) 072012}]$$



- No deviation seen in FCNC $b \rightarrow s \ell^+ \ell^-$ decays

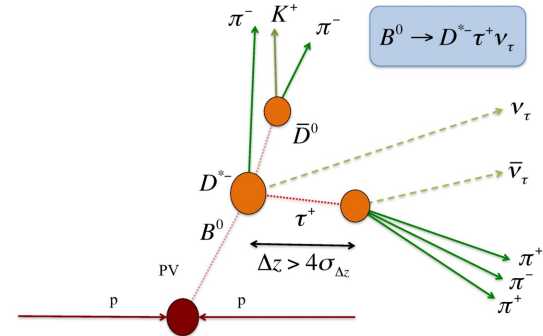
R(D^{*}) using muonic τ decays

- $\mathcal{B}(\tau \rightarrow \mu X) \sim 17.4\%$
- 3D fits, $R(D^*) = 0.336 \pm 0.027 \pm 0.030$
 - Signal yields: $16\,500 \pm 1\,670$



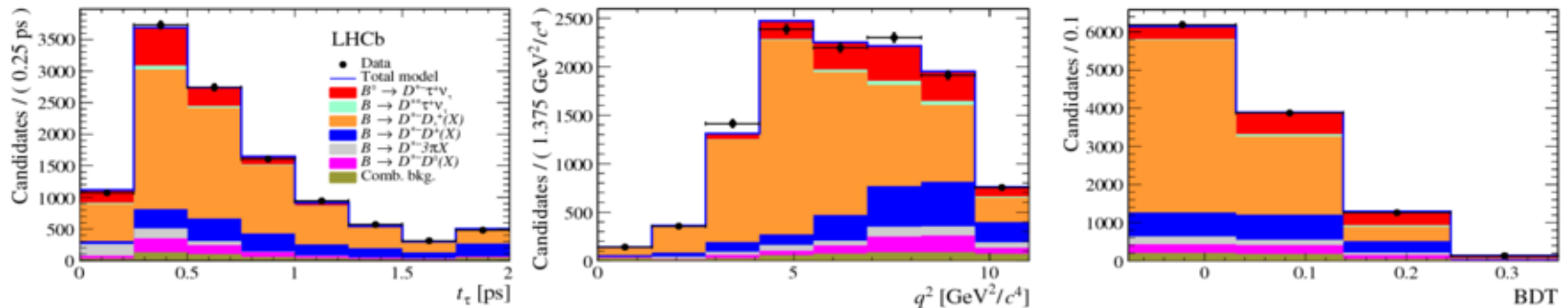
R(D*) using 3-prong τ decays

- $\mathcal{B}(\tau \rightarrow 3\pi^\pm X) \sim 9\% + 4\% (\geq 1\pi^0)$
- Normalized to $B^0 \rightarrow D^{*-} 3\pi$



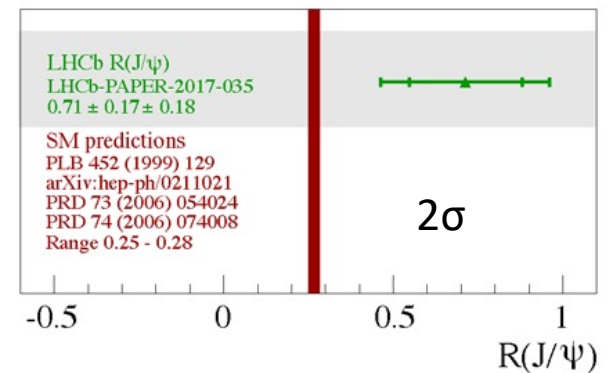
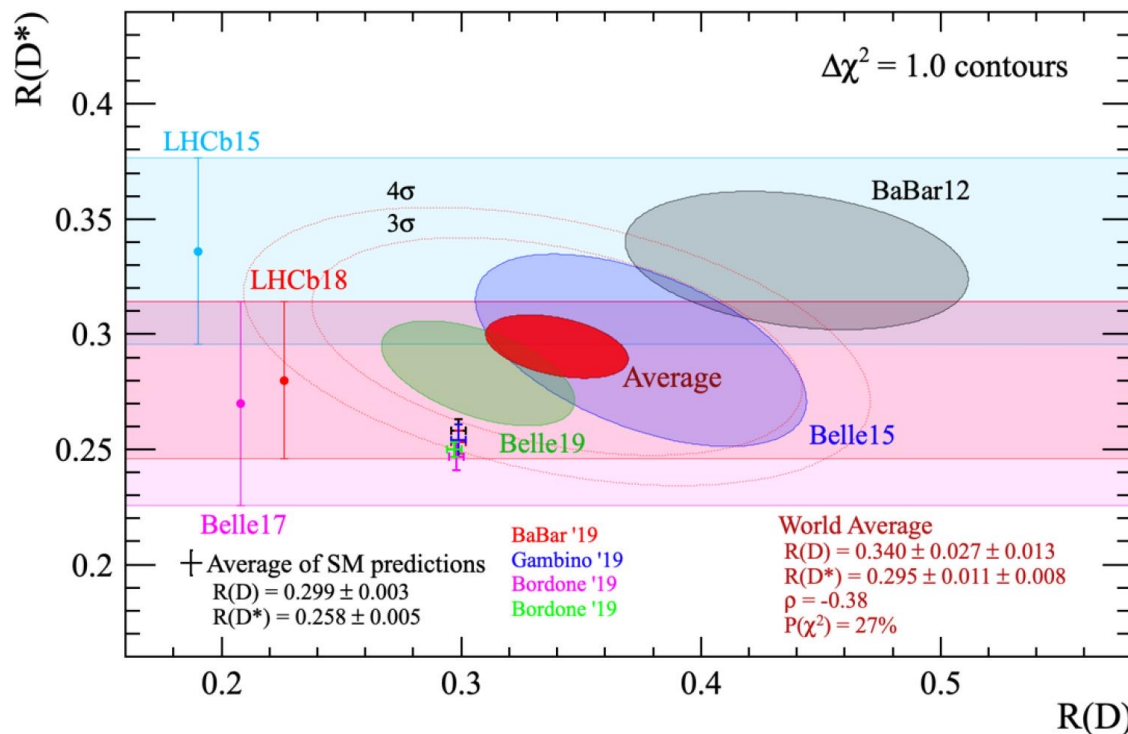
$$R_{had}(D^*) = \frac{\mathcal{B}(B^0 \rightarrow D^{*-} \tau^+ \nu_\tau)}{\mathcal{B}(B^0 \rightarrow D^{*-} \pi^+ \pi^- \pi^+)} \quad R(D^*) = R_{had}(D^*) \times \frac{\mathcal{B}(B^0 \rightarrow D^{*-} \pi^+ \pi^- \pi^+)}{\mathcal{B}(B^0 \rightarrow D^{*-} \mu^- \nu_\mu)}$$

- 3D fits, $R(D^*) = 0.286 \pm 0.019 \pm 0.025 \pm 0.021$
 – Signal yields: 1273 ± 85



Summary of LFU in $b \rightarrow c\ell\nu$ decays

- Deviations from SM seen by Babar/Belle/LHCb

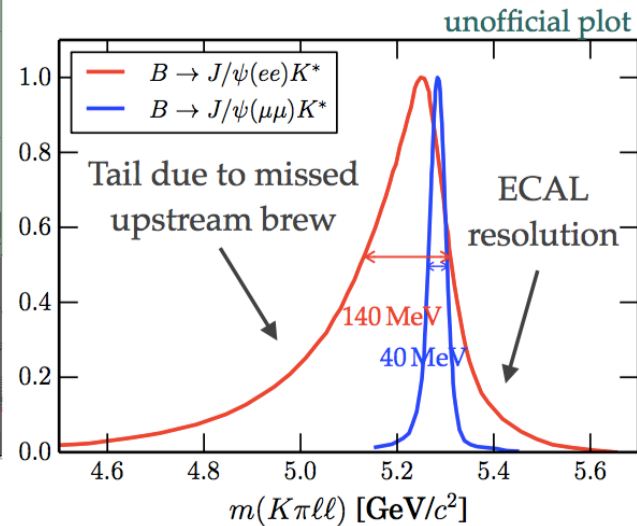
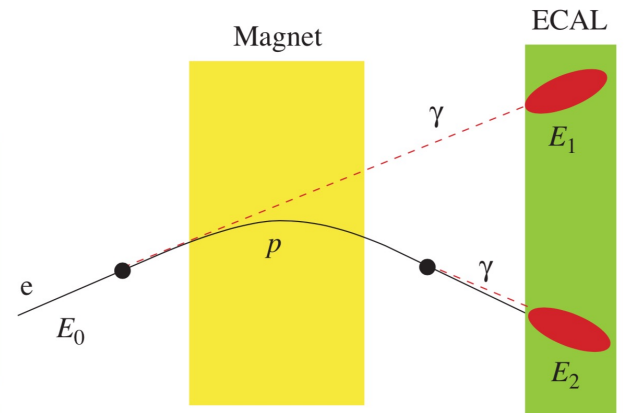
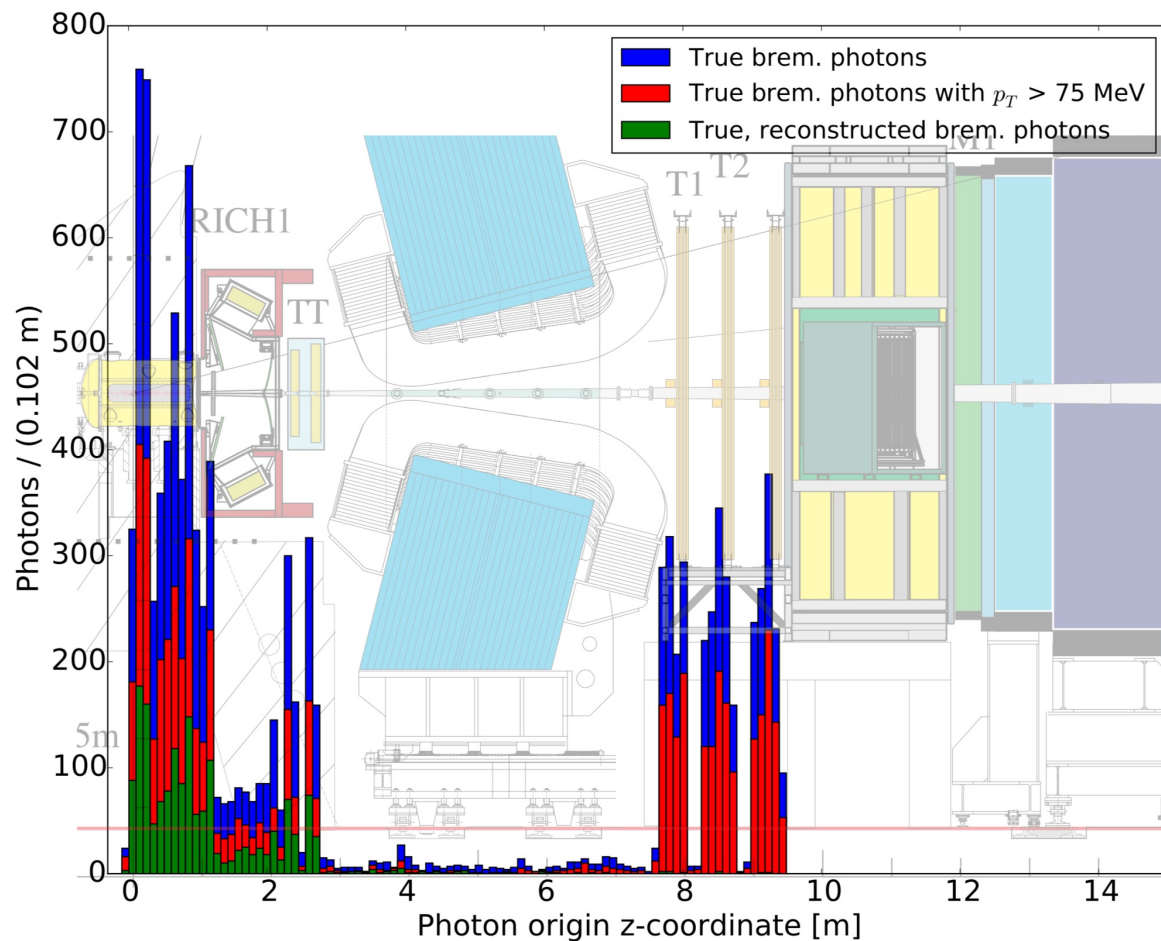


$$R(H_c) = \frac{\mathcal{B}(H_b \rightarrow H_c \tau^- \bar{\nu}_\tau)}{\mathcal{B}(H_b \rightarrow H_c \mu^- \bar{\nu}_\mu)}$$

Back to 3.8 σ ?

[arXiv:1912.09335]

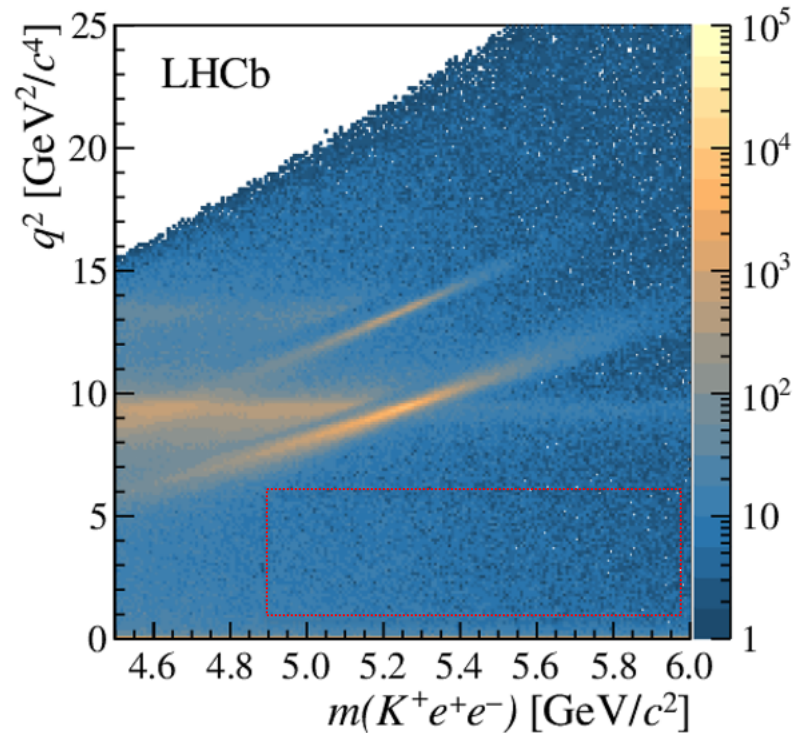
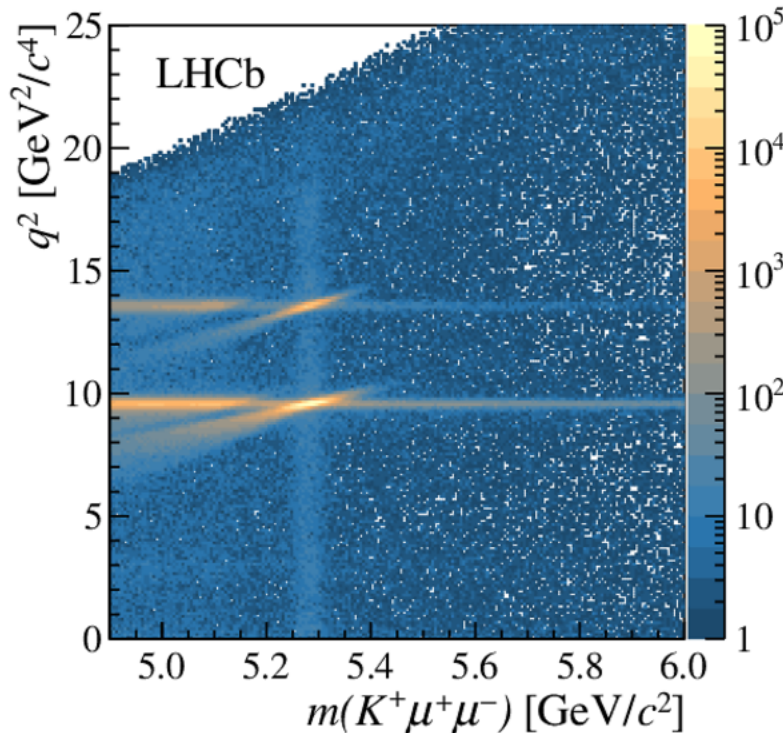
Bremsstrahlung corrections



R(K), introduction

- Double ratio to control systematics

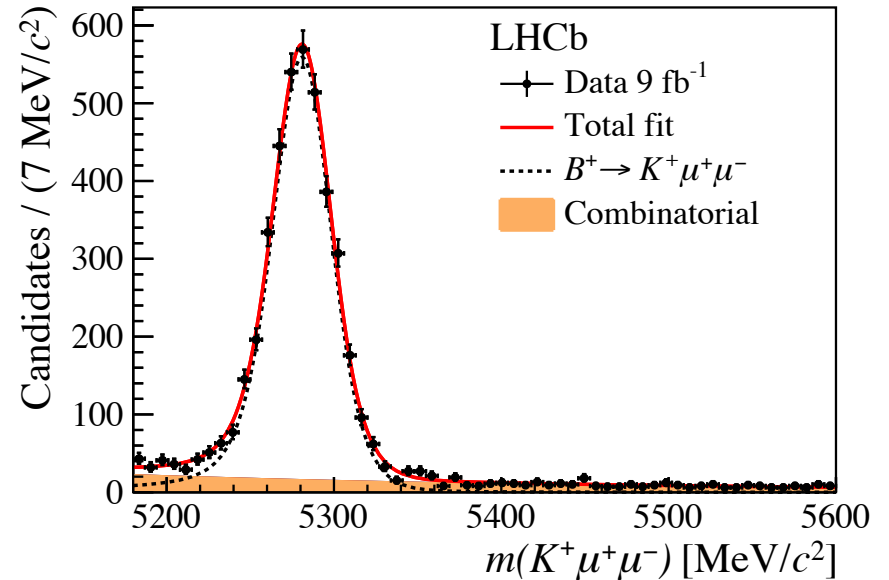
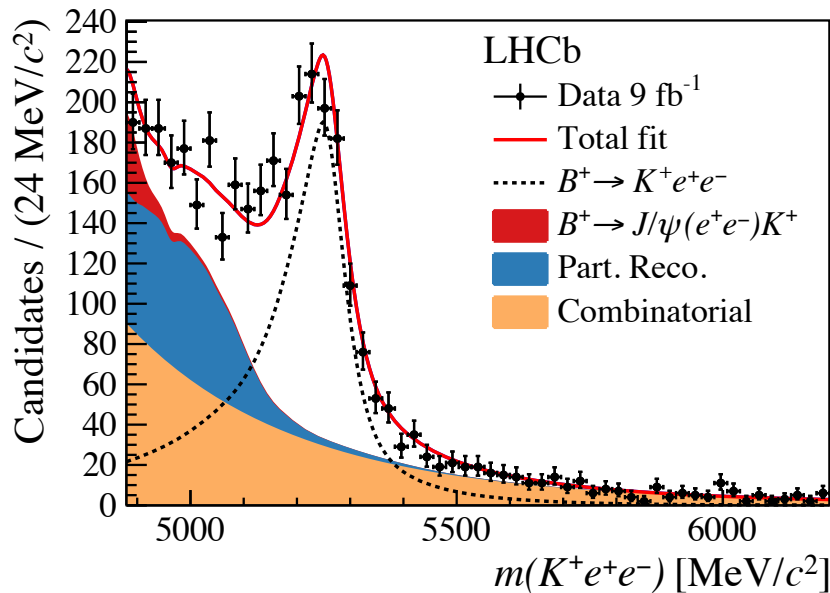
$$\mathcal{R}_K = \left(\frac{\mathcal{N}_{K^+\mu^+\mu^-}}{\mathcal{N}_{K^+e^+e^-}} \right) \left(\frac{\mathcal{N}_{J/\psi(e^+e^-)K^+}}{\mathcal{N}_{J/\psi(\mu^+\mu^-)K^+}} \right) \left(\frac{\varepsilon_{K^+e^+e^-}}{\varepsilon_{K^+\mu^+\mu^-}} \right) \left(\frac{\varepsilon_{J/\psi(\mu^+\mu^-)K^+}}{\varepsilon_{J/\psi(e^+e^-)K^+}} \right)$$



Signal yields with all data

- 9 fb⁻¹ of data, $1.1 < q^2 < 6.0 \text{ GeV}^2/c^4$
 - $N(B^+ \rightarrow K^+ e^+ e^-) = 1640 \pm 70$
 - $N(B^+ \rightarrow K^+ \mu^+ \mu^-) = 3850 \pm 70$

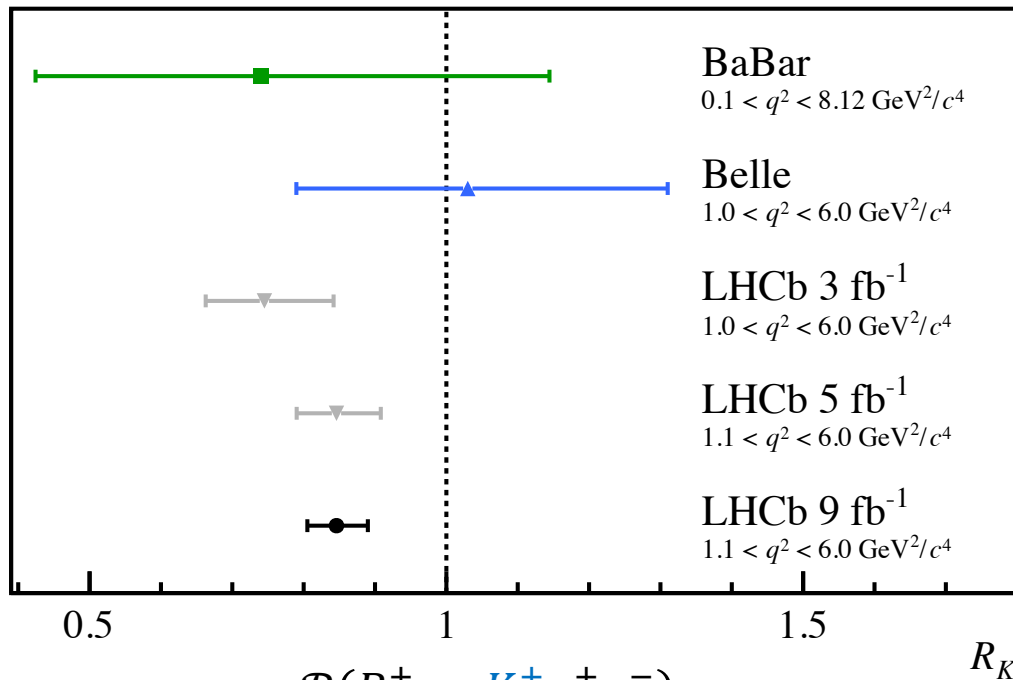
[arXiv:2103.11769]



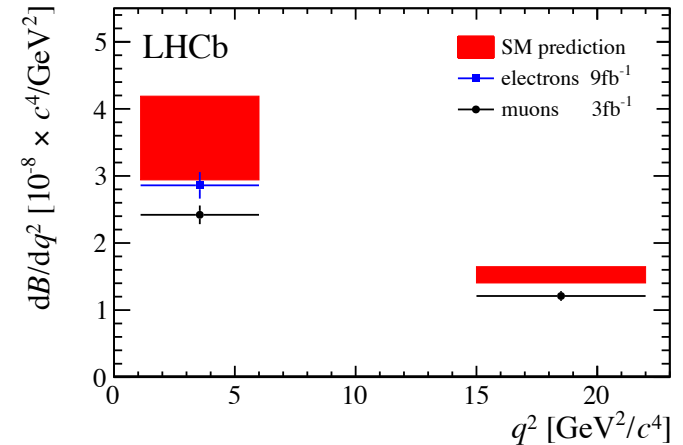
R(K), latest results

- Deviation from SM, 3.1σ by LHCb
- Electron mode more close to SM prediction?

[arXiv:2103.11769]

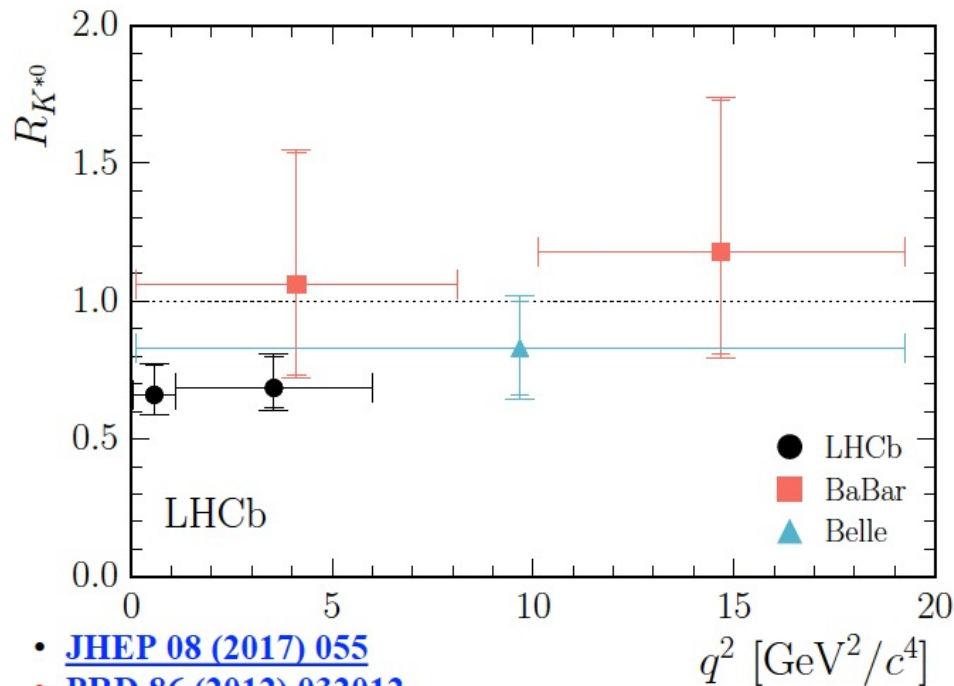


$$R_K = \frac{\mathcal{B}(B^+ \rightarrow K^+ \mu^+ \mu^-)}{\mathcal{B}(B^+ \rightarrow K^+ e^+ e^-)}$$



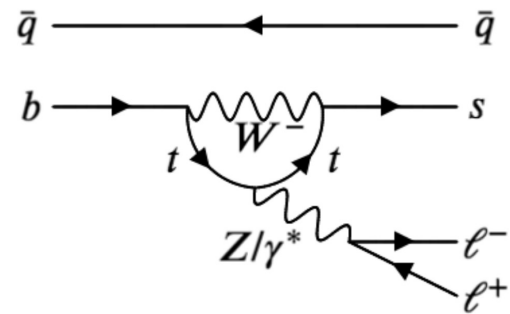
$R(K^{*0})$, results with Run-I data

- Deviations from SM seen by LHCb ($\sim 2.4\sigma$)



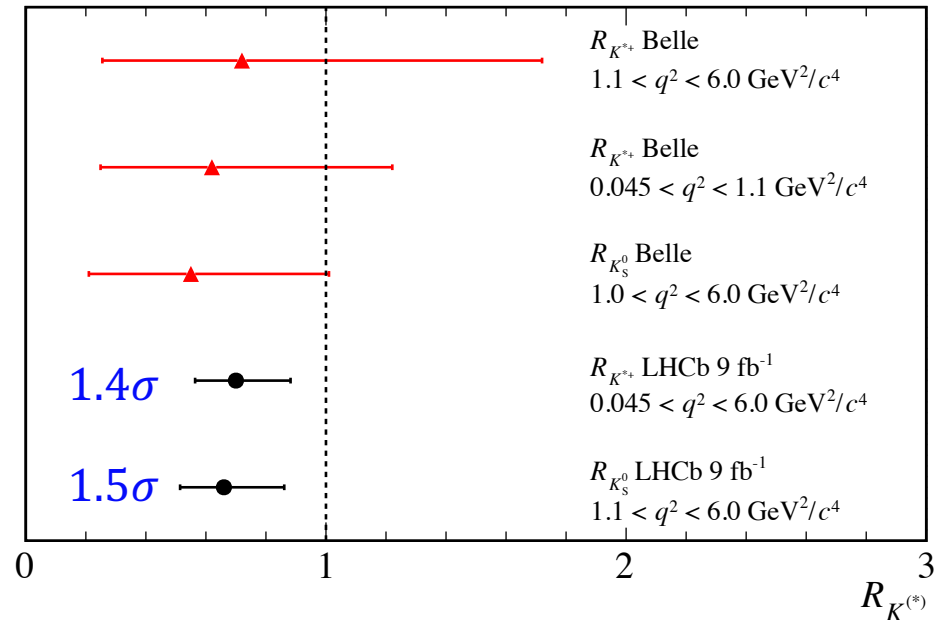
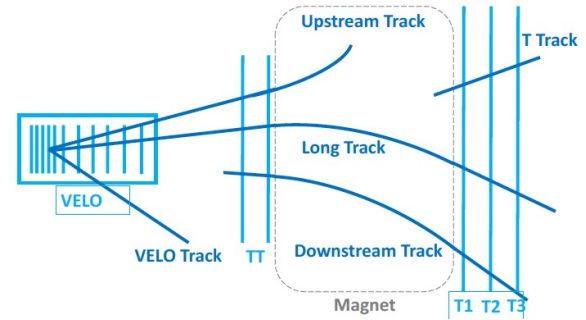
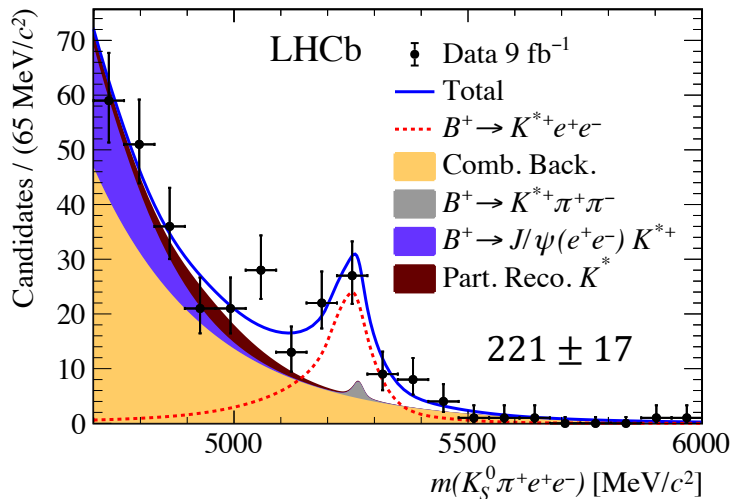
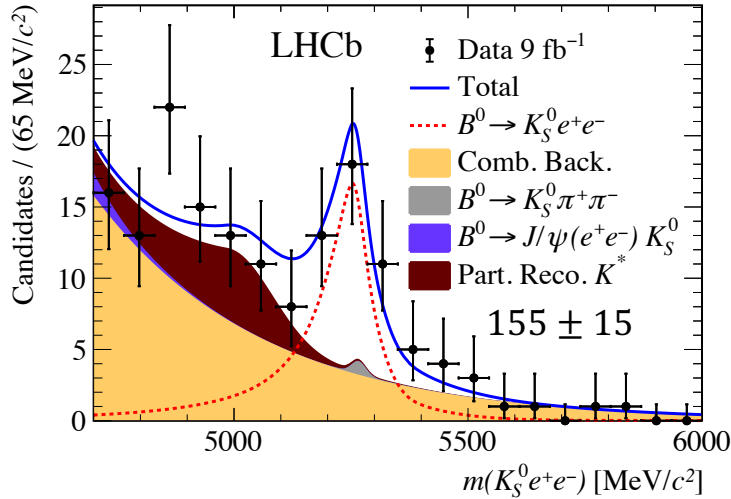
- [JHEP 08 \(2017\) 055](#)
- [PRD 86 \(2012\) 032012](#)
- [PRL 103 \(2009\) 171801](#)

$$R_{K^{*0}} = \frac{\mathcal{B}(B^0 \rightarrow K^{*0} \mu^+ \mu^-)}{\mathcal{B}(B^0 \rightarrow K^{*0} e^+ e^-)}$$



$R(K_S^0)$ & $R(K^{*+})$

- Tests of LFU using K_S^0

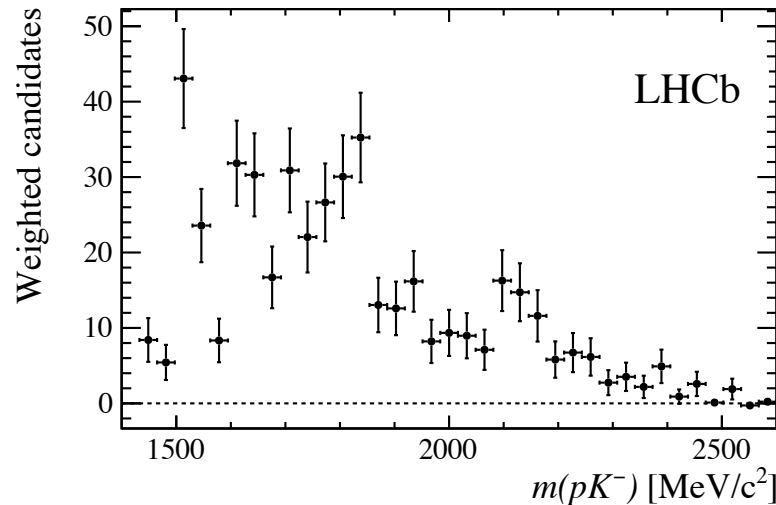
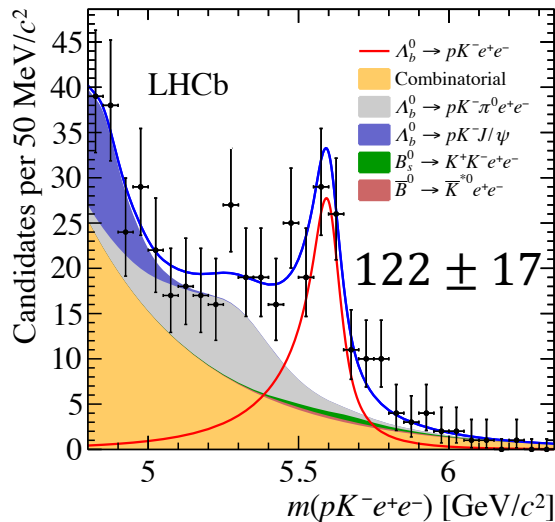
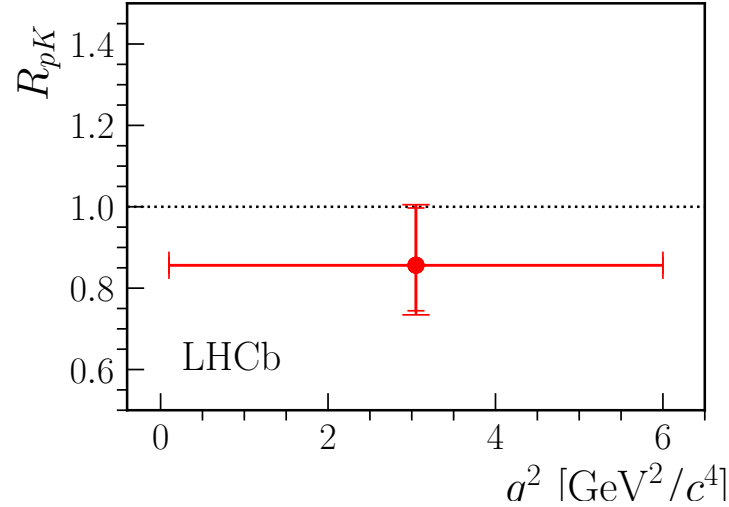
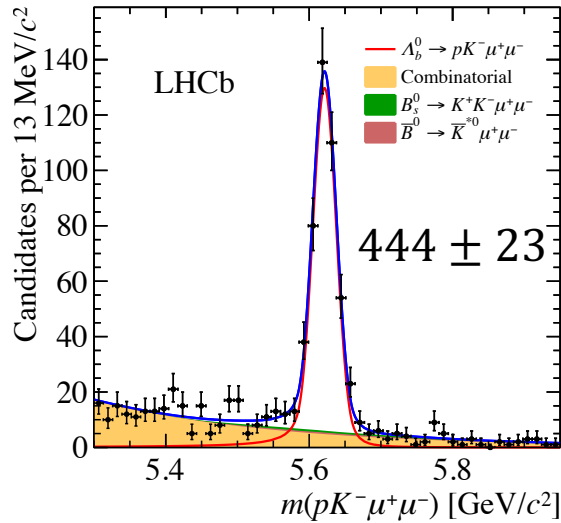


LHCb combined significance w.r.t. SM: 2σ

R(pK), results with Run-I+2016

- Compatible with 1, difficult to predict R(pK)?

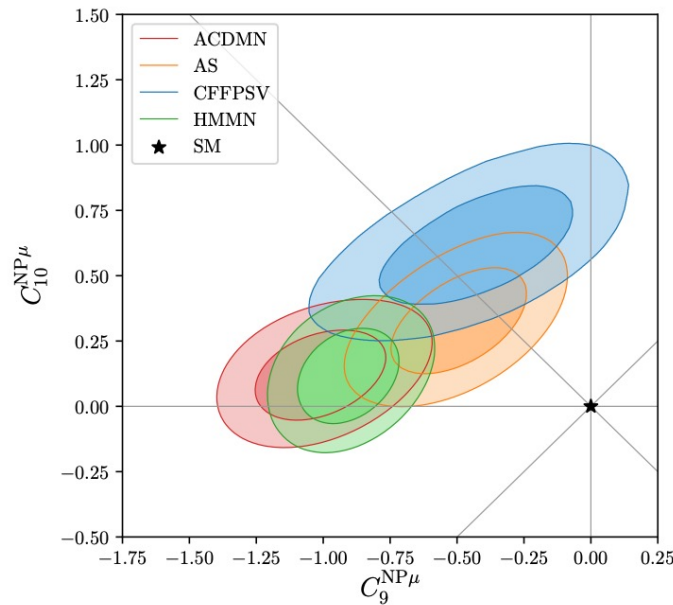
[JHEP 05 (2020) 040]



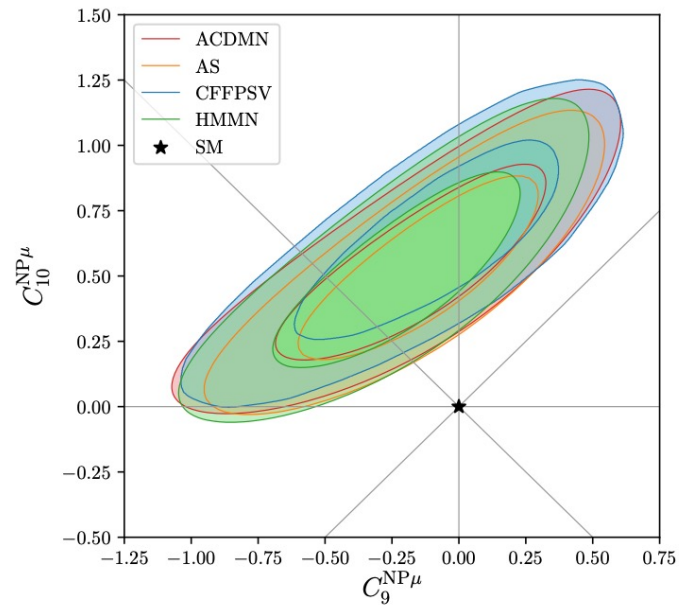
Global fit

- Different experimental inputs, form factors, assumptions about non-local ME, stat. frameworks

B. Capdevila, M. Fedele, S. Neshatpour, P. Stangl @ LHCb implications 2021 [\[slides\]](#)



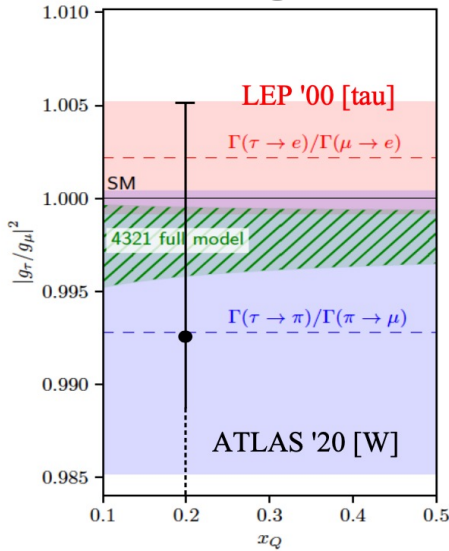
global fit



fit to LFU observables + $B_s \rightarrow \mu\mu$

Implications?

G. Isidori @ NJNU



A. Greljo @ LHCb implication 2021 [\[slides\]](#)

Azatov, Garosi, AG, Marzocca, Salko, Trifinopoulos; w.i.p

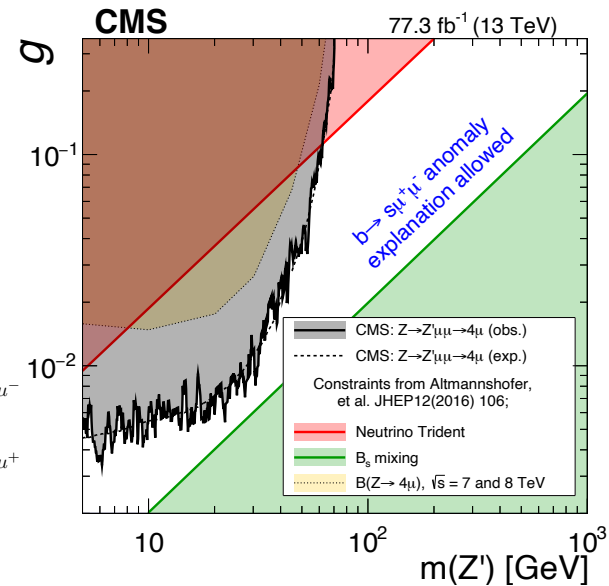
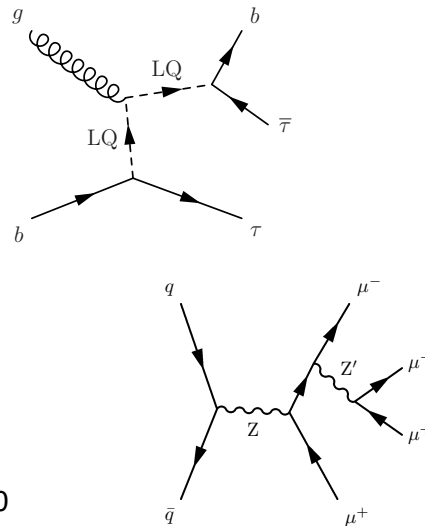
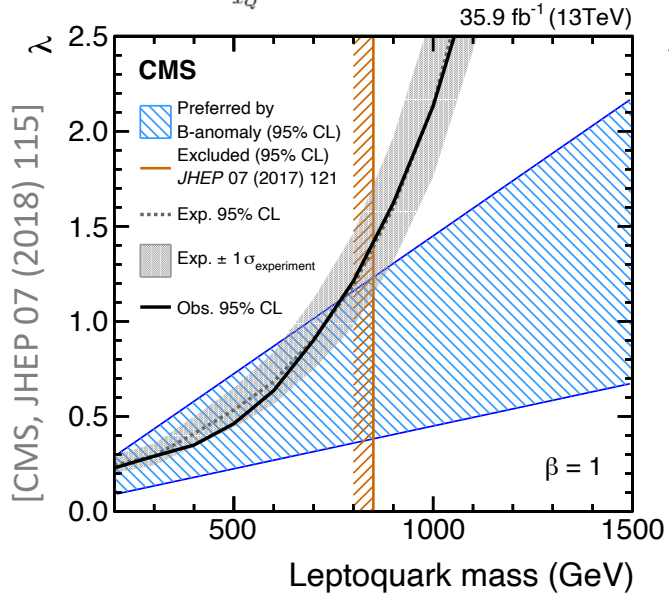
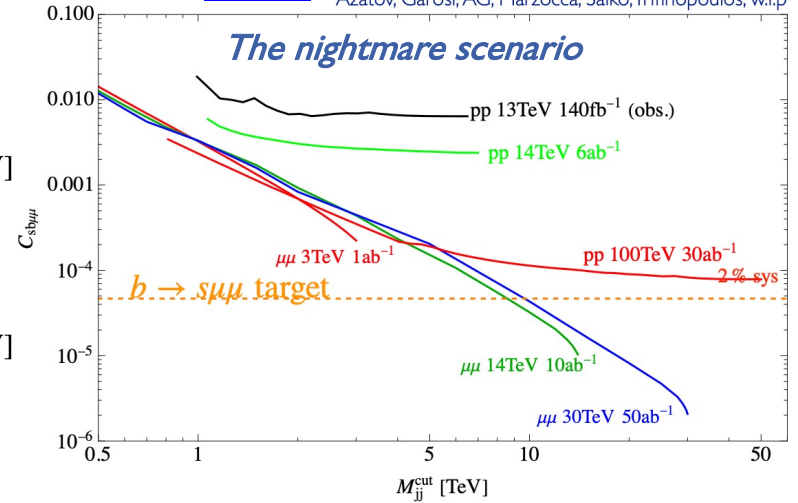
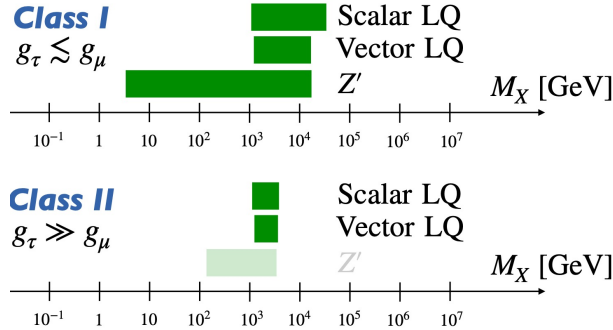
Reasonable scenario

Class I

$$g_\tau \lesssim g_\mu$$

Class II

$$g_\tau \gg g_\mu$$



[CMS, PLB 792 (2019) 345]

Prospects

- LHCb upgrades (2025: 23 fb⁻¹, Upgrade-II: 300 fb⁻¹)

Observable	Current LHCb	LHCb 2025	Belle-II	LHCb Upgrade-II	ATLAS & CMS
$R_K(1 < q^2 < 6 \text{ GeV})$	0.1	0.025	0.036	0.007	
$R_{K^*}(1 < q^2 < 6 \text{ GeV})$	0.1	0.031	0.032	0.008	
R_ϕ, R_{pK}		0.08, 0.06		0.02, 0.02	
$\frac{\mathcal{B}(B^0 \rightarrow \mu^+ \mu^-)}{\mathcal{B}(B_s^0 \rightarrow \mu^+ \mu^-)}$	90%	34%		10%	21%
$\tau_{B_s^0 \rightarrow \mu^+ \mu^-}$	14%	8%		2%	4%?
$R(D^*)$	0.026	0.0072	0.005	0.002	
$R(J/\psi)$	0.24	0.071		0.02	

Summary

- Some anomalies seen at LHCb
 - Electroweak penguin, differential branching fraction, P'_5 in $B \rightarrow K^* \mu^+ \mu^-$, $\mathcal{R}_{K^{(*)0}}$
 - LFU in semi-leptonic decay, $\mathcal{R}_{D^*}$
to be confirmed or refuted with more data
- Your suggestions are always appreciated!

