

UV/IR

and

EFT

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# Glory of Wilsonian Paradigm

Decoupling of UV + IR

$(\vec{x}-\vec{y})^2$  tiny  $\leftrightarrow$  Need Microscope  
to Probe Short Dist!

\* Fundamentally

\* Barn out

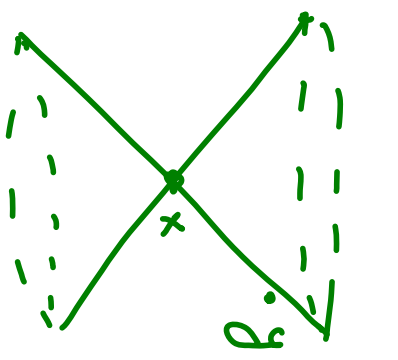
in.

EUCLEIDEAN

CONDENSED  
MATTER

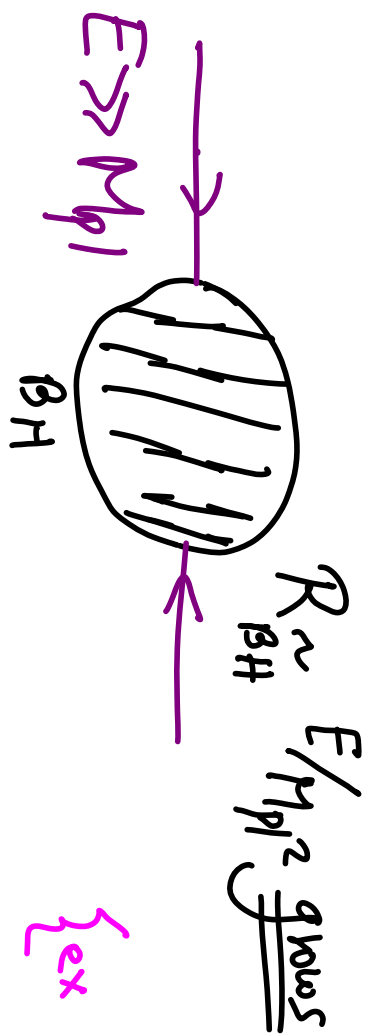
"The World is Not a Crappy Metal"

(A) LORENTZIAN



$(x-y)^2$  near zero can be probed at macroscopic distances close to light cone  
 {ex Boards on e.g. =  $(\partial\phi)^4$   
 $\Rightarrow$  0 from no superlum.}

(B) GRAVITATIONAL



We know HE states, BH thermo  $\leftrightarrow$  IR consistency  
 {ex No Glob symm  $\rightarrow$  WGC}

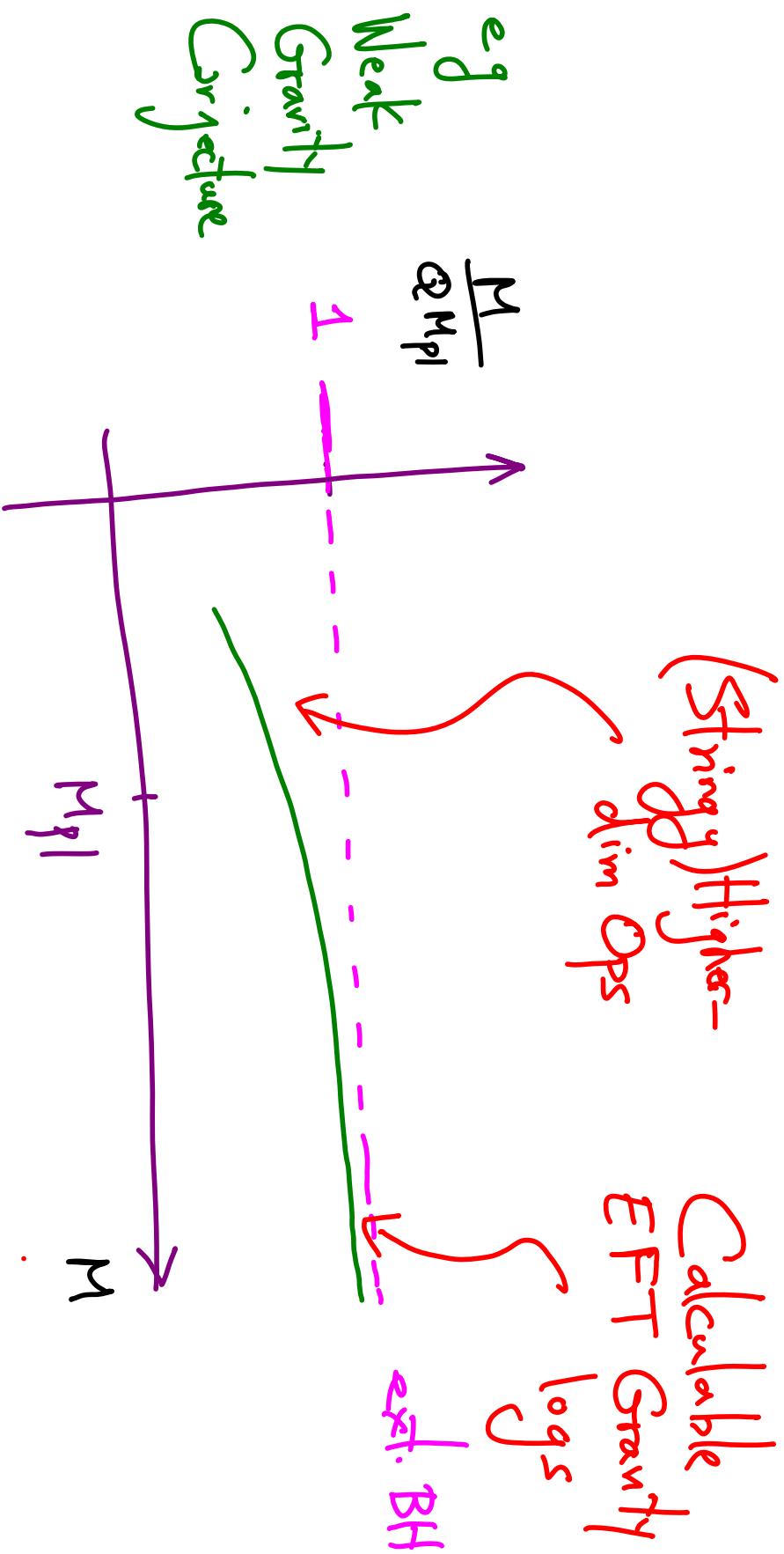
[Not to mention]

(c) The Spectacular Failure of  
the Millsonian notion of Naturalness  
- which works beautifully in QM -

$$f_{\alpha} \quad \Delta_{cc} + m_h^2$$

{ Dramatically }  
{ If you turn into a pumpkin @ midnight, its 11.45 pm... }

... (A) + (B) are clearly related ...



# Three Frontiers in UV/IR-EFT

- (I) "Positive Semidefiniteness" of EFT:  
"EFT heuristics" + beyond
- (II) IR  $\leftrightarrow$  UV Completion + Strings
- (III) Celestial Amplitudes + Anti-Weak Gravity Conjecture

EFFI-Neura

Locality / Causality  
Unitarity

→

Analyticity  
Positivity

vs.

Locality / Causality  
Unitarity

→

Positive Semidefiniteness  
(Amplitudes, Associated, Clusterheads...)



Locality / Causality  
Unitarity



Analyticity  
Positivity



Infinately  
more Hidden  
Positivity  
[EFT header]

vs.

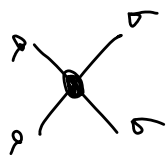
Locality / Causality  
Unitarity



Positive  
Entropy  
(Amplified header, Associated header, Cluster header...)



# Dispersive Representation of

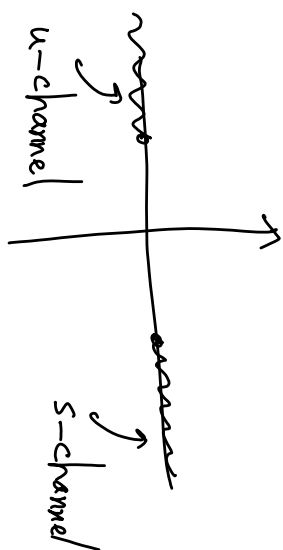


LS

Fixed  $|t| \ll M^2$  [Avoid Anom. thresholds]

\* With gap  $A(s, t) < s \log^2$  (From assuming analyticity in  $t$ ,  $A < s^N$ )

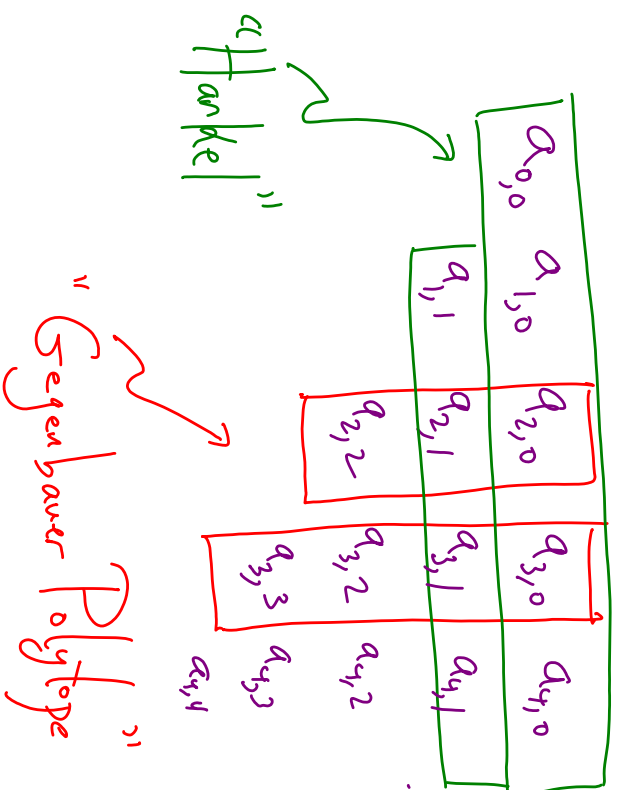
\* Grav. theory w/ weak coupling  $A < \frac{s^{2-\epsilon}}{t}$  (Eikonal/Shockwave)



$$-A(s, t) = -A_0(t) - A_1(t) s + \int dM^2 \sum_{\rho \geq 0} \rho_{\rho}(M^2) G_{\rho} \left(1 + \frac{2t}{M^2}\right)^{\rho}$$

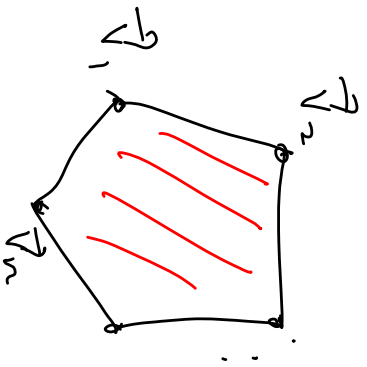
$$\left[ \frac{1}{M^2 - s} + \frac{1}{M^2 - u} \right]$$

$$\text{EFT: } A(s,t) = \sum_{D,q} a_{D,q} s^{D-q} t^q$$



Are forced to lie in  
 "EFT heckon" —  $\infty$ ly  
 many linear + non-linear  
 constraints

# Polytopes 101



$$\vec{A} = \frac{w_1 \vec{v}_1 + \dots + w_n \vec{v}_n}{w_1 + \dots + w_n}$$

Better Projectively:

$$A^I = \begin{pmatrix} 1 \\ \vec{x} \end{pmatrix}, v_i^I = \begin{pmatrix} 1 \\ \vec{v}_i \end{pmatrix}$$

$$\boxed{w_a > 0}$$

$$A^I = w_1 v_1^I + \dots + w_n v_n^I$$

"Convex Hull"

Check in  $A$  is inside? "Face" description

$$A^I w^I \geq 0$$

Face structure captured by  $\langle v_{a_1}, \dots, v_{a_d} \rangle \begin{cases} > 0 \\ < 0 \\ = 0 \end{cases}$

# Very Special Class of Polytopes

$$P = \begin{pmatrix} v_1 & v_2 & \dots & v_n \end{pmatrix}$$

← Ordering

$$\langle v_{a_1} \dots v_{a_p} \rangle \gg 0 \text{ for } a_1 < \dots < a_p$$

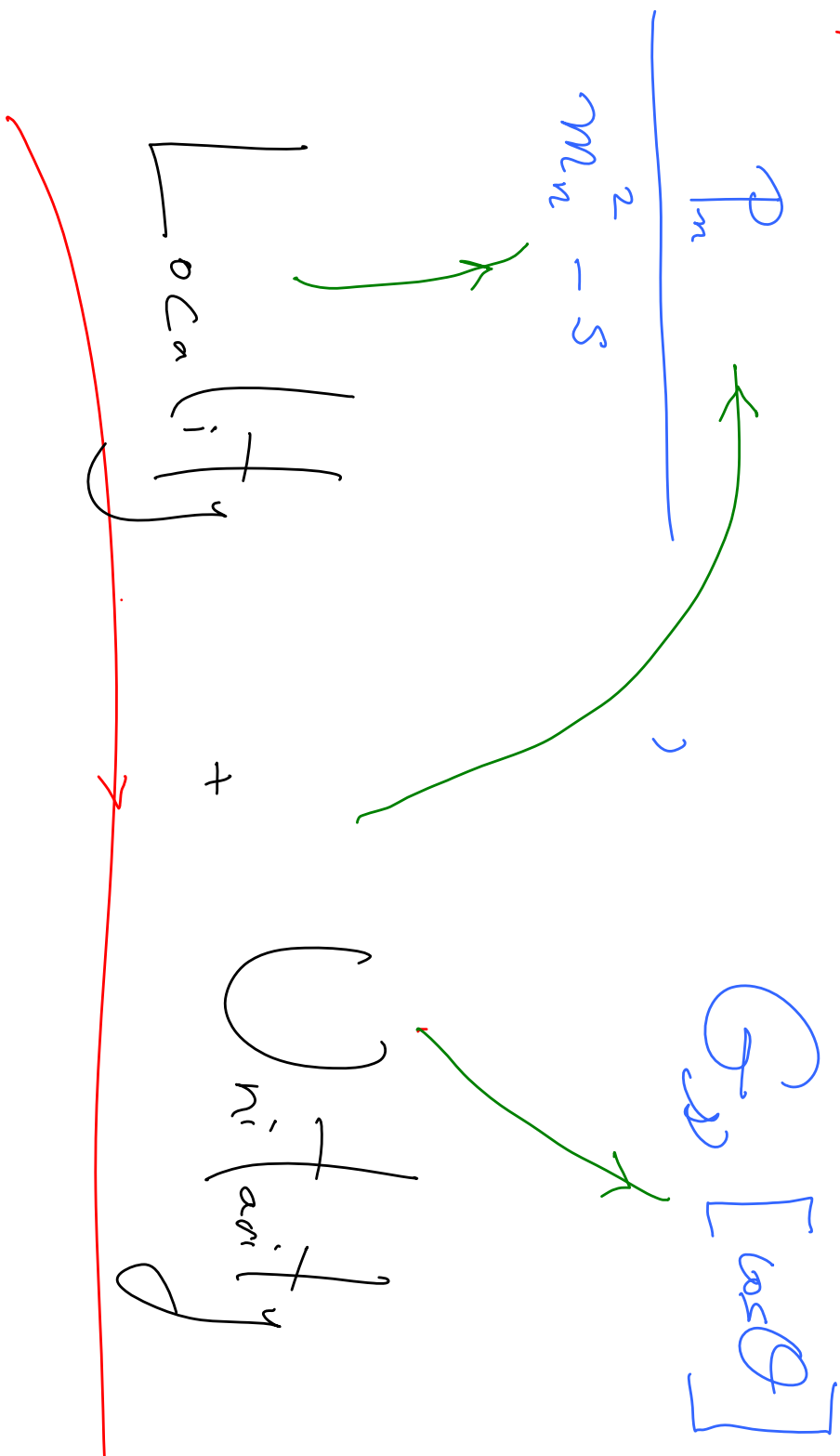
Matrix: "Total Positivity", "Positive Semidefinite"  
Vectors, vertices of "Cyclic Polytope" = "k=1 Amplification"

Know all Facets!

$$\langle A v_i v_{i+1} v_j v_{j+1} \dots v_k v_{k+1} \rangle \geq 0$$

Surprise: Hidden Total Positivity In

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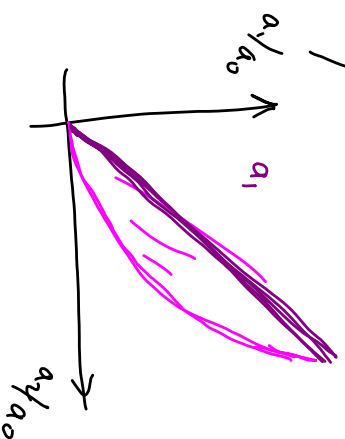
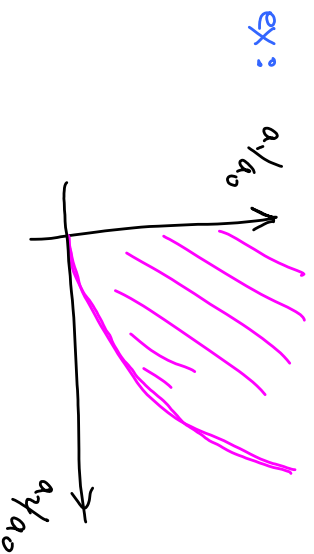


$$\text{S-Plane Hankel} : -A(s) = \sum_{M^2} \frac{P(M^2)}{M^2 - s} = \sum_n a_n s^n$$

$$* \begin{pmatrix} a_0 \\ a_1 \\ a_2 \\ \vdots \end{pmatrix} \rightarrow A_{ij} = a_{i+j} = \begin{pmatrix} a_0 & a_1 & a_2 & \dots \\ a_1 & a_2 & a_3 & \dots \\ a_2 & a_3 & a_4 & \dots \\ \vdots & \vdots & \vdots & \vdots \end{pmatrix}$$

Positivity of  $M^2, P(M^2)$   
 All minors of  $A$  are positive

{ \* If the gap  $M_*$  is also given, working in  $M_* \rightarrow 1$  units,  
 $\begin{pmatrix} a_0 \\ a_1 \\ a_2 \\ \vdots \end{pmatrix}, \begin{pmatrix} a_1 - a_2 \\ a_2 - a_3 \\ a_3 - a_4 \\ \vdots \end{pmatrix}, \begin{pmatrix} (a_2 - a_3) - (a_3 - a_4) \\ (a_3 - a_4) - (a_4 - a_5) \\ \vdots \end{pmatrix}$  all have positive Hankel matrices }



$$a_2 a_0 - a_1^2 > 0, a_2, a_0 > 0$$

$$\text{Also } \frac{a_1}{a_0} - \frac{M_* a_2}{a_0} > 0$$

# Eigenbauer Polytrope

s-channel ex: 
$$\sum_{\mu^2} \frac{P_{\mu^2}(M^2) G_{SD} \left[ \left( 1 + \frac{2t}{M^2} \right) \right]}{M^2 - s} = \sum_D \sum_{s, f=0}^D \frac{P_{SD, s} s^{D-1} t^f G_{SD}^{[f]}(1)}{M^2 - s}$$

So,  $\begin{pmatrix} a_{D,0} \\ a_{D,1} \\ \vdots \\ a_{D,D} \end{pmatrix}$  lie in convex hull of  $\begin{pmatrix} G_{SD}^{(0)} \\ G_{SD}^{(1)} \\ \vdots \\ G_{SD}^{(D)} \end{pmatrix} = \text{cyclic polytope!}$

\* Reason is a hidden positivity of  $G$   $G_{\text{avg}} = G_{SD}^{(f)}(1)$  has all minors positive!

$$G = \begin{pmatrix} G_0^{(0)} & G_1^{(0)} & G_2^{(0)} \\ \vdots & \vdots & \vdots \\ G_1^{(1)} & G_2^{(1)} & G_3^{(1)} \\ \vdots & \vdots & \vdots \\ G_2^{(2)} & G_3^{(2)} & G_4^{(2)} \\ \vdots & \vdots & \vdots \end{pmatrix}$$

\* (Equivalent to a result known since 60's, true for any orthogonal polynomials wrt a positive measure)

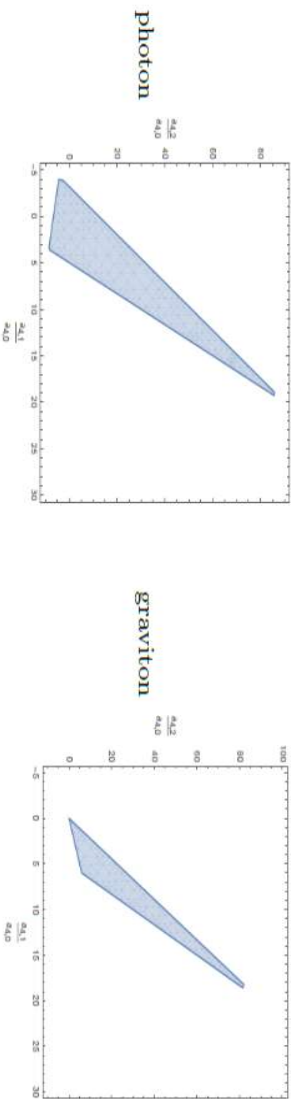
\* But there is even more hidden Positivity! [ + useful ]  
[ for EF Theorem ]



configuration, where it's identical helicity in the  $s$ -channel, the amplitude for the  $D^8 F^4$  and  $D^8 R^4$  operator takes the form:

$$(12)^{2h} [34]^{2h} (a_{4,0} s^4 + a_{4,1} s^3 t + a_{4,2} s^2 t^2 \dots). \quad (1.10)$$

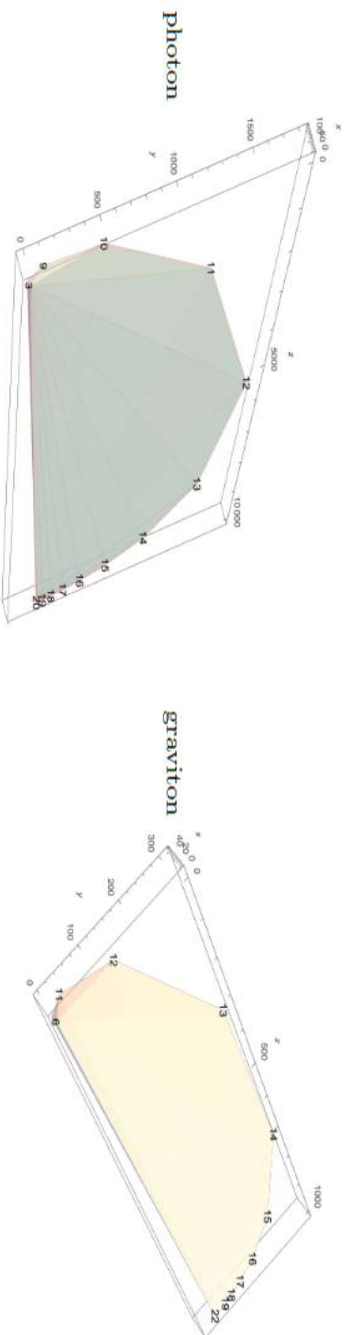
where  $h = 1, 2$  for photon and graviton respectively. The allowed region for  $\frac{a_{4,1}}{a_{4,0}}, \frac{a_{4,2}}{a_{4,0}}$  is given as:



Note that the allowed region are bounded. Considering instead  $(+, -, -)$  helicity configuration, the  $D^{16} F^4$  and  $D^{16} R^4$  operator leads to the amplitude

$$(23)^{2h} [14]^{2h} (a_{8,0} z^8 + a_{8,2} z^6 t^2 + a_{8,4} z^4 t^4 + a_{8,2} z^2 t^6 + \dots). \quad (1.11)$$

where  $z = \frac{t}{s} + s$  and the even dependence on  $z$  is reflecting the  $2 \leftrightarrow 3$  symmetry of the helicity configuration. The coefficients  $\frac{a_{8,2}}{a_{8,0}}, \frac{a_{8,4}}{a_{8,0}}, \frac{a_{8,6}}{a_{8,0}}$  are bounded as:



It is also important to note that, while the EFTTheorn places extremely constraints on the effective field theory expansion, sensible effective field theories do not appear to populate the entire region allowed by the EFTTheorn, but cluster close to its boundaries. The reason is likely that the physical constraints we have imposed, while clearly necessary, are still not

# Simpler Cousin of Amplification

$$a_{\mathcal{D}, \mathcal{I}} = C_{\mathcal{D}, \mathcal{W}} G_{\mathcal{W}, \mathcal{I}}$$

Non-linear Hankel Positivity

Positive

# Simpler Cousin of Hyperbhedron

$$a_{\alpha I} = C_{\alpha, a} Z_{\alpha, I}$$

Non-linear  
Grassmannian Positivity

Positive

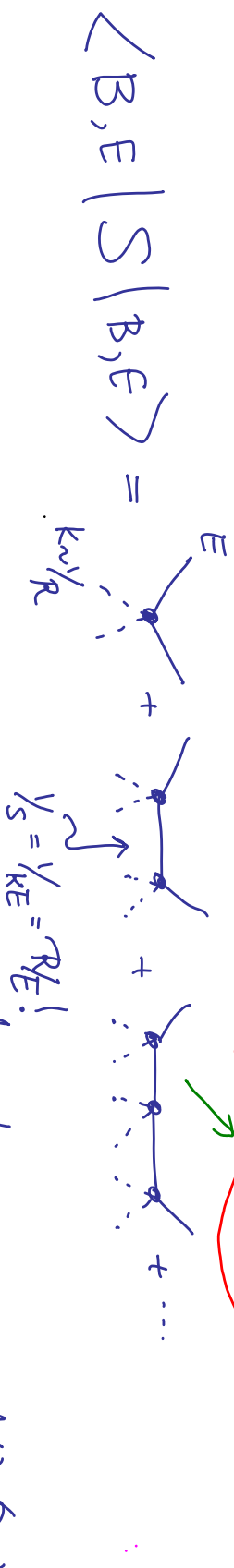
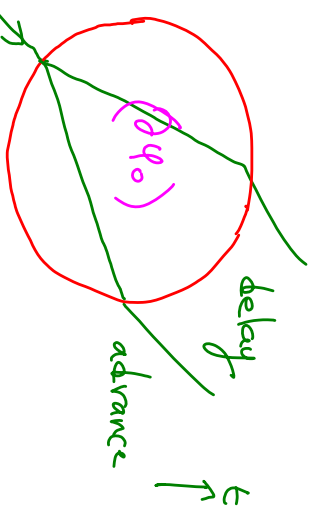
II. Gelfand ~ '90s. "You Polytope people are doing trivial things. You should generalize polytopes into Grassmannians!"



# Comments

(1) Causality in more detail:

Block  $\downarrow$   $R$



$$\langle B, E | S | B, E \rangle = \sum_m \binom{N}{m} \left( i A(s=kE) \frac{k}{E} \right)_m \rightarrow e^{i \frac{A k N}{E}} \quad ; \quad \delta(E) = \frac{A(s)}{s} \quad (990)^2$$

Time delay  $\Rightarrow \frac{\partial}{\partial E} \delta(E) < 0 \Rightarrow \boxed{\frac{\partial}{\partial s} \left( -\frac{A(s)}{s} \right) > 0}$

This follows from dispersive representation (for  $A(s=0) \geq 0$ , fact above)

$$-A(s) = -A(s=0) + \int dk^2 \rho(k^2) \left[ \frac{1}{M^2-s} + \frac{1}{M^2+s} - \frac{2}{M^2} \right]$$

$$\frac{\partial}{\partial s} \left[ \left( \frac{1}{M^2-s} + \frac{1}{M^2+s} - \frac{2}{M^2} \right) \frac{1}{s} \right] = \frac{2(M^2+s^2)}{M^4(M^2-s)^2} > 0!$$

(2) A big missing ingredient in all these arguments, is that by using fixed- $t$  dispersion relations, we can't input crucial constraints of UV completion — softness of H E, fixed angle scattering.

Among other things — in all sensible UV examples the contribution to the disc from higher spins is suppressed for large spin — but this is not reflected in any of our analysis.....

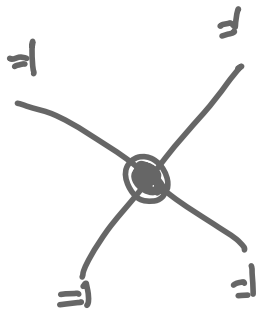
Strings + IR Challenge

of MV Competition

Q: Why is gravity, the most obvious force of daily macro life, hardest to UV complete, while much more hidden weak interactions were discovered + UV completed in  $\sim 70$  years?

A: Another Aspect of UV-IR!





$$A^{\text{LE}} \sim -\frac{1}{f^2} (s+t)$$

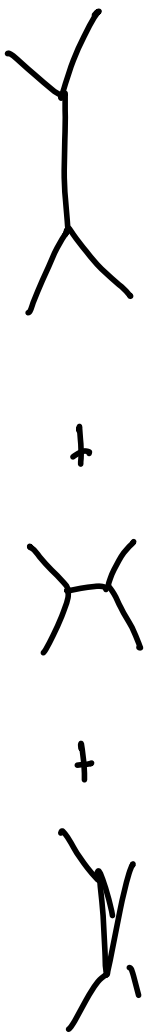
UV complete

$$A \sim -\frac{1}{f^2} \left( \frac{s}{1 - s/M^2} + \frac{t}{1 - t/M^2} \right)$$

Correct sign residue

Higgs Pole

TRIVIAL TREE UV COMPLETION



$$\mathcal{M} \sim g^2 \left( \frac{1}{s} + \frac{1}{t} + \frac{1}{u} \right)$$

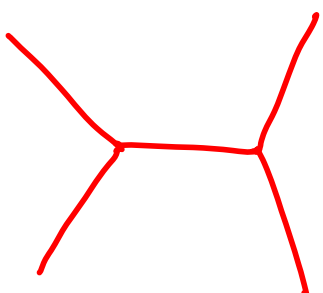
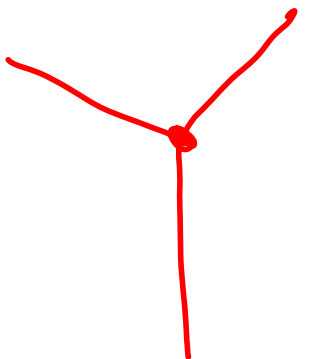


$$g^2 \left( \frac{1}{s(1-s/\Lambda^2)} + \frac{1}{t(1-t/\Lambda^2)} + \frac{1}{u(1-u/\Lambda^2)} \right) \quad \text{No!}$$

UV

Massless Residues  $> 0 \xRightarrow{\text{improv}}$  Some

Massive Residues Must Be Negative!



IR  
Poles

3 pt Amp.

⇒ Impossible to have UV complete  
with finite # of particles/poles!

Need INFINITE Tower  
of MASSES + SPINS

# Bottom-Up Tipping Over Strings

$$* \mathcal{M}_{\substack{\text{Grav} \\ (1,2,3,4)}} = G_N \langle 2,4 \rangle^4 [1,3]^4 \xrightarrow{\text{string}} \left( \quad \right) \sim \frac{N}{\prod (s-m_i^2) (t-m_i^2) (u-m_i^2)}$$

\*  $N(\text{string})$  should have zeros to kill  $\frac{1}{(t-m_i^2)} \frac{1}{(-m_j^2-m_i^2-t)}$

when  $s \rightarrow m_j^2$ .

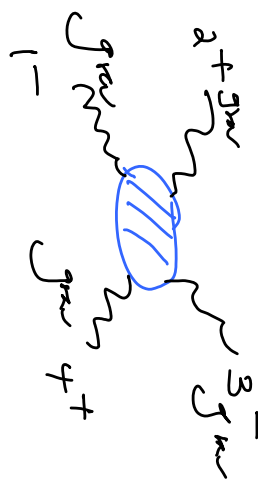
\* Minimal Ansatz  $\mathcal{N} = \prod (s+r_j)(t+r_j)(u+r_j)$

$\Rightarrow \{r_i\}$  should contain  $\{m_i^2, m_i^2+m_j^2\}$

$\Rightarrow \{m_i^2\} = M_s^2$  linear spectrum!

$$* \mathcal{M} = G_N \langle 2,4 \rangle^4 [1,3]^4 \frac{\prod_{j=1}^{\infty} (s+r_j)(t+r_j)(u+r_j)}{\prod_{j=0}^{\infty} (s-j)(t-j)(u-j)}$$

$$= G_N \langle 2,4 \rangle^4 [1,3]^4 \frac{\Gamma(-s)\Gamma(-t)\Gamma(-u)}{\Gamma(1+s)\Gamma(1+t)\Gamma(1+u)} \quad \begin{array}{l} \text{Virasoro-} \\ \text{Shapiro} \end{array}$$



$$A = \int^2 \langle 13 \rangle^4 [24]^4 \frac{\Gamma(-s) \Gamma(-t) \Gamma(-u)}{\Gamma(1+s) \Gamma(1+t) \Gamma(1+u)}$$

Q: Is this "unique" weakly coupled (only poles) UV completion of gravity?

# Real Miracle: Positivity/Unitarity for Open Systems

$$\prod_{i=1}^{N-1} \left[ \cos \theta - \frac{(N-2i)}{N} \right] = \sum_{\sigma} c_{\sigma} G_{\sigma}^{(d)} [\cos \theta], d \leq 9$$

spin 2 ↓
spin 0 ↓

Note already @  $N=3$ :

$$\left[ \cos \theta - \frac{1}{3} \right] \left[ \cos \theta + \frac{1}{3} \right] = \left( \cos^2 \theta - \frac{1}{9} \right) = \left( \cos^2 \theta - \frac{1}{d} \right) + \left( \frac{1}{d} - \frac{1}{9} \right)$$

⇒ spin 0 ghost unless  $d \leq 9$ , critical dim!

A: At least only checking consistency of massless scattering, No :

eg.  $A = \langle 13 \rangle^4 [24]^4 \left( \frac{\Gamma(-s)\Gamma(-t)\Gamma(-u)}{\Gamma(1+s)\Gamma(1+t)\Gamma(1+u)} + \frac{e\Gamma(1-s)\Gamma(1+t)\Gamma(1+u)}{\Gamma(2+s)\Gamma(2+t)\Gamma(2+u)} + \dots \right)$

for  $1 \geq \epsilon \geq 20$

Need to consider massive consistency too



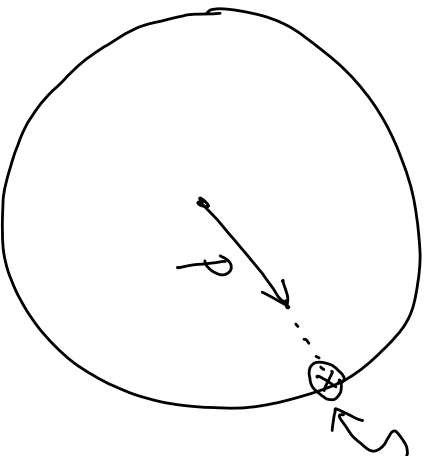
Celestial Amplifiers

and the

Anti-Missionary Paradigm

Null Momenta  $P_{\alpha i} = \lambda_{\alpha} \tilde{\lambda}_i$

$S^2$  on (Riemann) Celestial Sphere



$$\lambda_{\alpha} = \sqrt{2\omega} \begin{pmatrix} 1 \\ z \end{pmatrix}, \quad \tilde{\lambda}_{\dot{\alpha}} = \pm \sqrt{2\omega} \begin{pmatrix} 1 \\ \bar{z} \end{pmatrix}$$

Identify  $SL(2, \mathbb{C})$ :

$$\sqrt{\omega} \begin{pmatrix} 1 \\ z \end{pmatrix} \rightarrow \begin{pmatrix} a & b \\ c & d \end{pmatrix} \sqrt{\omega} \begin{pmatrix} 1 \\ z \end{pmatrix} = e^{i\theta} \sqrt{\omega'} \begin{pmatrix} 1 \\ z' \end{pmatrix},$$

$$z' = \frac{az + b}{cz + d}, \quad \omega' = |\omega| e^{2i\theta} = \frac{c\bar{z} + d}{\bar{c}\bar{z} + \bar{d}} \quad [\text{little group}]$$



Natural to consider momentum eigenstates,

but Boost Eigenstates:

$$|\Delta, z\rangle \equiv \int_0^\infty \frac{d\omega}{\omega} \omega^\Delta | \omega, z \rangle$$

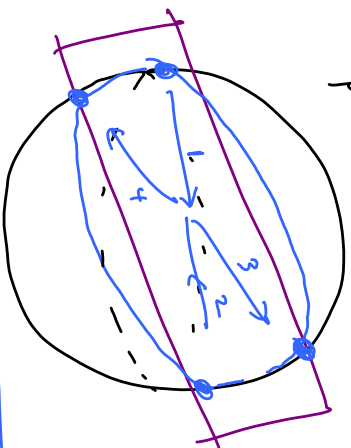
$$|\Delta, z\rangle \xrightarrow{\text{Lorentz}} |c z + d|^{2\Delta} \left| \Delta, \frac{a z + b}{c z + d} \right\rangle$$

(Eigenstates of Boosts in  $z$ -direction)

# Scattering Amplitude for Bound Eigenstates

$$A(\Delta_i, z_i) = \int_0^\infty \frac{d\omega_i}{\omega_i} \omega_i \Delta_i A(\omega_i, z_i) \quad [\text{Mellin Transform}]$$

4 pts: SLE2  $\Rightarrow$  dependence only on cross-ratio  $z = \frac{z_{13} z_{24}}{z_{12} z_{34}}$



Momentum conservation  $\rightarrow$  1,2,3,4 co-circular  $\rightarrow$   $\delta(z - \bar{z})$

$\Delta_{1+\dots+4}$   $\rightarrow$  Fixed Angle Amp

$z = -\frac{1}{2}(1 + \cos\theta)$ ,  $\omega = E_{\text{CM}}$

$$A(\omega_i, z_i) = (\text{helicity}) S(z - \bar{z}) \times \int_0^\infty \frac{d\omega}{\omega} \omega^\beta M(\omega, z)$$

... The Study of Celestial Amplitudes  
has already clarified, unified + revealed  
many aspects of IR / "Soft" Amplitudes  
...

# Boos Eigenstate Scattering is Perfect Failure of UV=IR

\* Maximally violates Wilsonian intuition —  
we are scattering states with arbitrarily  
high energies!

\* "EFT" amplitudes don't make sense —  
must deal with UV completion issue from  
the get-go — this is good!

{e.g.  $A(\omega) \sim \omega^p$ ,  $\int_0^\infty \frac{d\omega}{\omega} \omega^{(\beta+p)}$  ill-defined for any  $p > 0$ }

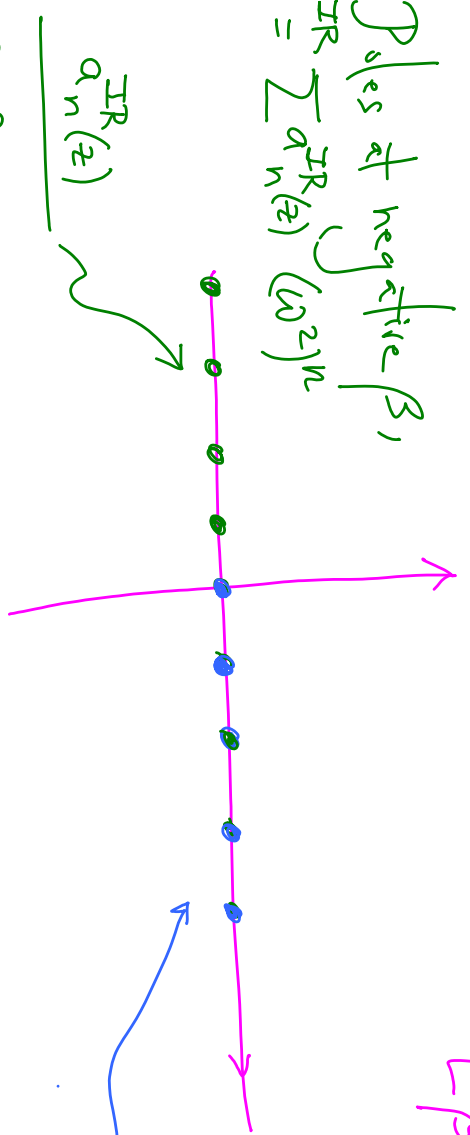
UV Completion  $\leftrightarrow$  Analytic Structure in  $\beta$ -plane

EX:   $\mathcal{M} = \frac{\lambda \mu^2}{s - \mu^2}$  ( $\lambda \equiv g^2/\mu^2$ )

$$A(\beta) = \int_0^\infty \frac{d\omega}{\omega} \omega^\beta \mathcal{M} = \frac{\lambda \pi \mu^\beta}{1 - e^{i\pi\beta}}$$

$L\beta$

Poles at negative  $\beta$ ,  
 $\mathcal{M}^{\text{IR}} = \sum a_n^{\text{IR}}(z) (\omega^2)^n$



$\frac{a_n^{\text{IR}}(z)}{\beta + 2n}$   
 Residues are coeff of high dim ops!

$$\mathcal{M}^{\text{UV}} = \sum a_m^{\text{UV}} \omega^{-2m}$$

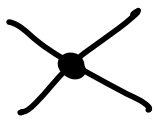
$\frac{a_m^{\text{UV}}(z)}{\beta - 2m}$   
 power-law fall off in UV

# Anti-Wilsonian



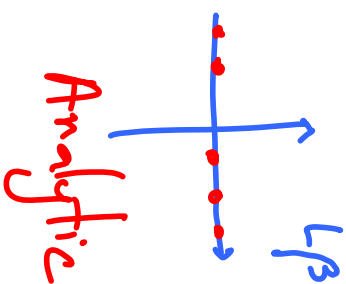
$$\mathcal{M} = -\frac{\lambda M^2}{s - M^2}$$

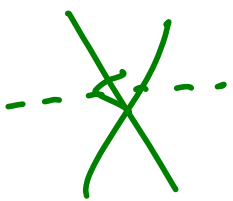
$$M \rightarrow \infty$$



$$\mathcal{M}^{\text{EFT}} = \lambda$$

$$A(\beta) = \frac{\lambda M \beta}{1 - e^{i\pi\beta}}$$





$$M \rightarrow \infty$$

$$\mathcal{A}^{\text{EFT}}(\beta) = S(\beta)$$

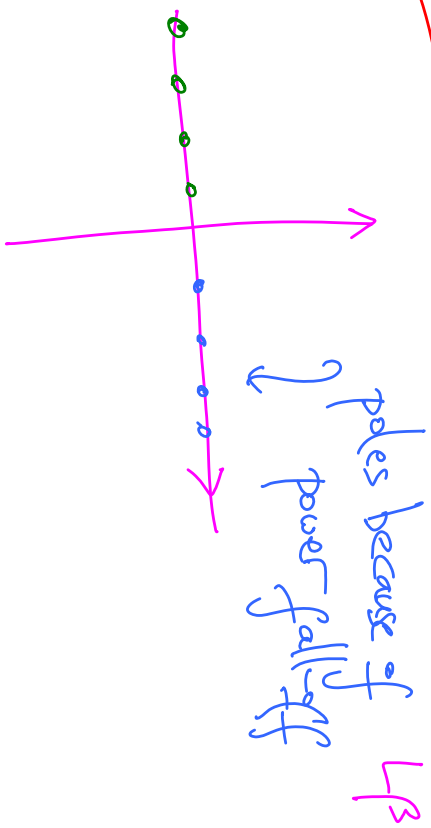
NOT  
ANALYTIC

⋮

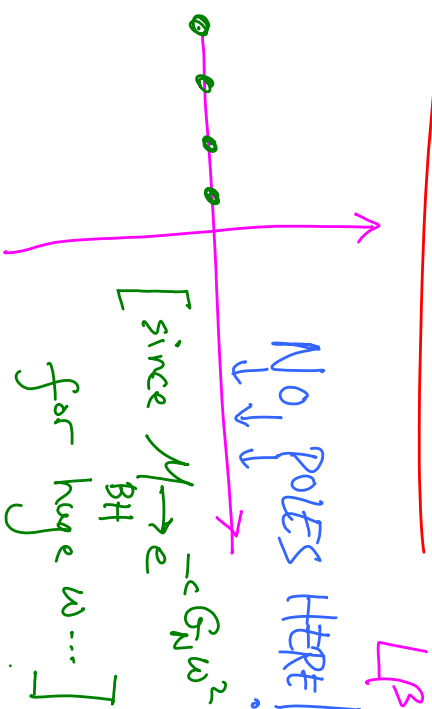
$$\int_{\omega^*}^{\omega} \frac{d\omega}{\omega} \omega^{\beta} \omega^{+2m} \longrightarrow \frac{1}{\beta+2m} \quad IR$$

$$\int_{\omega^*}^{\omega} \frac{d\omega}{\omega} \omega^{\beta} \omega^{-2m} \longrightarrow \frac{1}{\beta-2m} \quad UV$$

# Radical Difference Between Quantum Gravity & Field Theory



Field Theory



Strings  $\rightarrow$  Gravity

(Analogy of absence of "Bulk Pain Singularity" in ADS)



~~Log-runing of higher-dim ops  $\rightarrow$  Higher order poles in  $\beta$~~

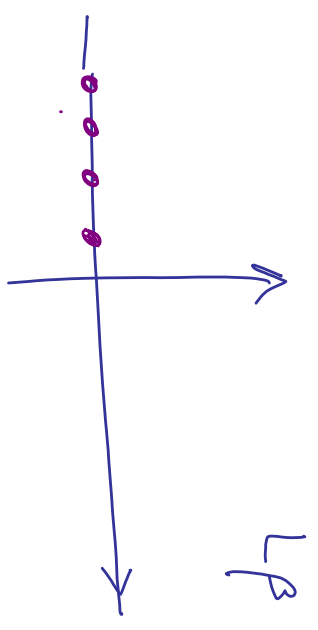
$$Y = \sum_{r \leq m, n} a_{n,m,r}(z) \omega^{2n} (G_N \omega^2)^m \log^r \left( \frac{\omega}{N\omega} \right) \begin{pmatrix} \text{masses} \\ \text{loop} \\ \text{in} \\ \text{low-E} \\ \text{theory} \end{pmatrix}$$

$$\int_{\omega_A}^{\omega_X} \frac{d\omega}{\omega} \omega^a \log^k \omega \sim \frac{\partial^k}{\partial a^k} \int_0^{\omega_X} \frac{d\omega}{\omega} \omega^\beta \sim \frac{1}{a^{(1+k)}}$$

$$A(\beta \rightarrow -2N, z) = \sum_{r=0}^{(N-1)} \frac{1}{(\beta+2N)^r} \sum_n a_{n,m=N-n,r}(z)$$

Only sing are (multiple) poles in  $\beta$ :

Residues are (running) FT coefficients!



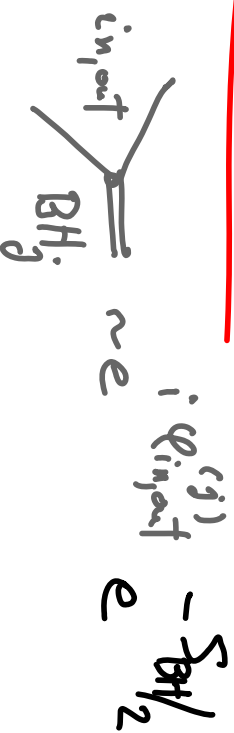
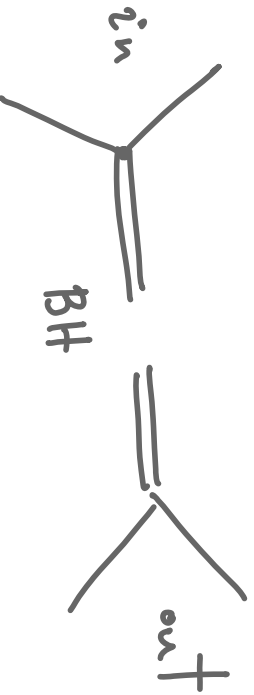
Large  $\beta$ -behavior

\*  $\beta \rightarrow \infty$ ,  $\int_0^{\infty} \frac{d\omega}{\omega} \omega^{\beta} M(\omega, z)$  dominated by large  $\omega$ .

In quantum gravity where  $\mathcal{M}(\omega \rightarrow \infty) \sim e^{-c G_N \omega^2}$  Dies Exponentially

$|\mathcal{A}(\beta \rightarrow \infty)|^2 \rightarrow G_N^{-\beta} \Gamma(\beta)$  Grows Exponentially

# Chaotic vs. Smooth



$$* \overline{\sigma}_{BH}^{tot} \sim \sum_j \left| \int_{in}^j \right|^2 \sim \underbrace{e^{N_{BH}}}_{\text{Random Phases}} \times \left( e^{-S_{BH}/2} \right)^2 \sim 1.$$

$$* \mathcal{M}(in \rightarrow out) \sim \sum_j e^{i(\varphi_{in}^{(j)} - \varphi_{out}^{(j)})} - S_{BH}$$

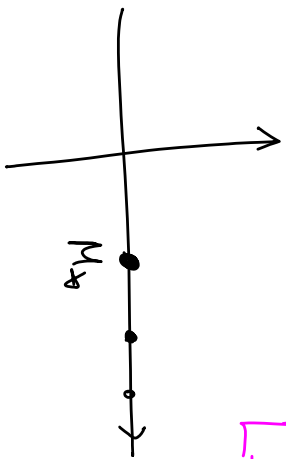
$$\Rightarrow \left| \mathcal{M}(in \rightarrow out) \right|^2 \sim e^{-S_{BH}} \text{ but Chaotic}$$

\* But  $A(\beta)$  is averaging over  $E$  + is smooth!

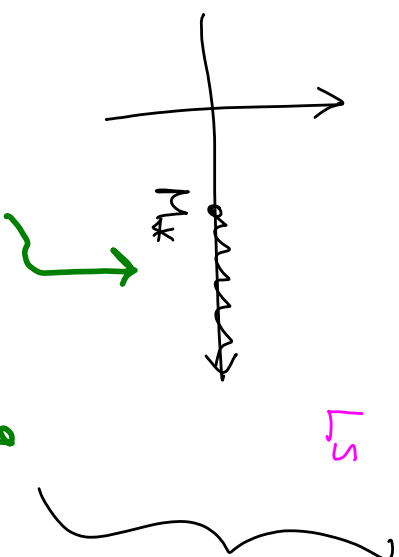
Large  $\beta$ -behavior

$$\beta \rightarrow -\infty : A(\beta) \rightarrow \frac{\mu_*^\beta}{(1 - e^{i\pi\beta})} \beta^{-C+2}$$

where  $\mu_*$  is the gap to the massive states



or



$\sigma \sim (s - \mu_*)^2$  near threshold

What determines  $A(\beta z)$ ?

\* Poles in  $\beta \leftrightarrow$  EFT couplings,  
constrained by EFT theory.

\*  $\beta \rightarrow \infty$  prescribed by BH physics,  
Leading  $\beta \rightarrow \infty$  controlled by  $\frac{y_*^\beta}{1 - e^{\pi i \beta}}$  the gap

\* So we know about poles + leading behavior @  $\infty$ , ... what else is needed?

... Something more is needed, to reflect reasonable analyticity back in momentum space.

e.g. can shift  $A(\beta) \rightarrow A(\beta) + c\mu^\beta$  with  $\mu > \mu_k$ .

$$\mathcal{M}(w) \rightarrow \mathcal{M}(w) + c\delta(s-\mu^2)$$

Horribly non-analytic in  $s$ !

