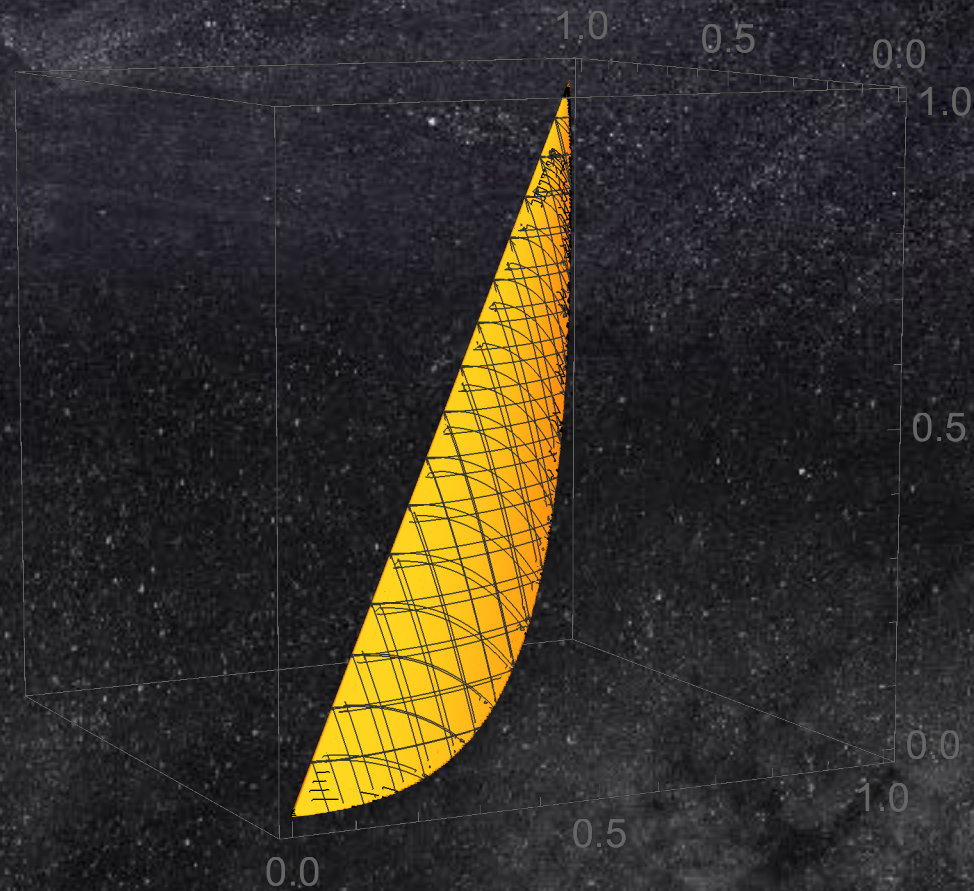
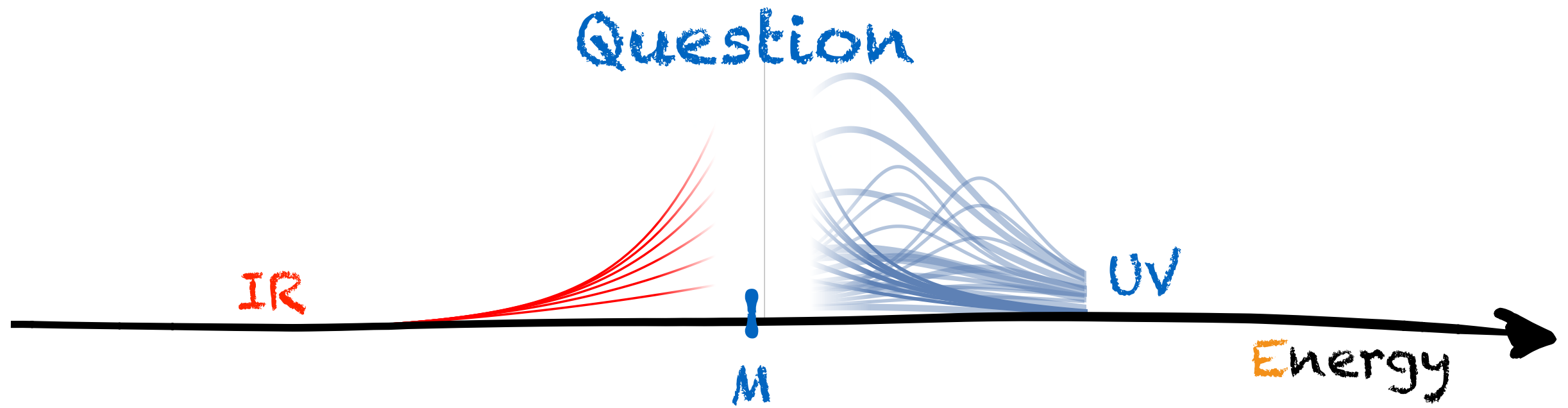


# Positive Moments for Scattering Amplitudes



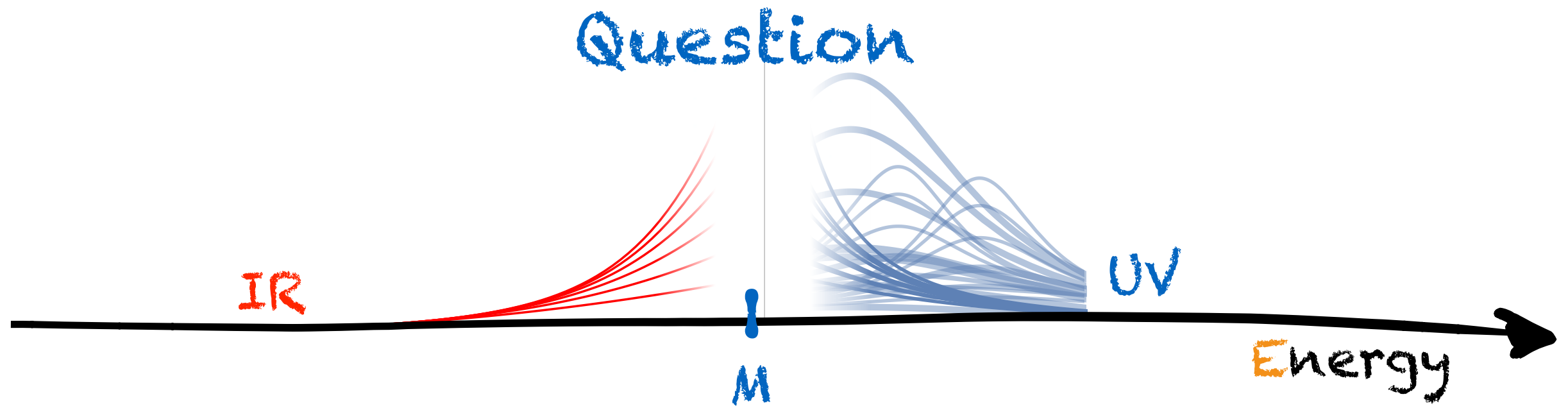
Francesco Riva  
(Geneva University)

with Bellazzini, Elias-Miro, Rattazzi, Riembau  
(and parts with Sgarlata and Serra)



Effective Field Theories: at the frontier with unknown/incalculable  
(SM EFT, Quantum Gravity, Strong Coupling,...)



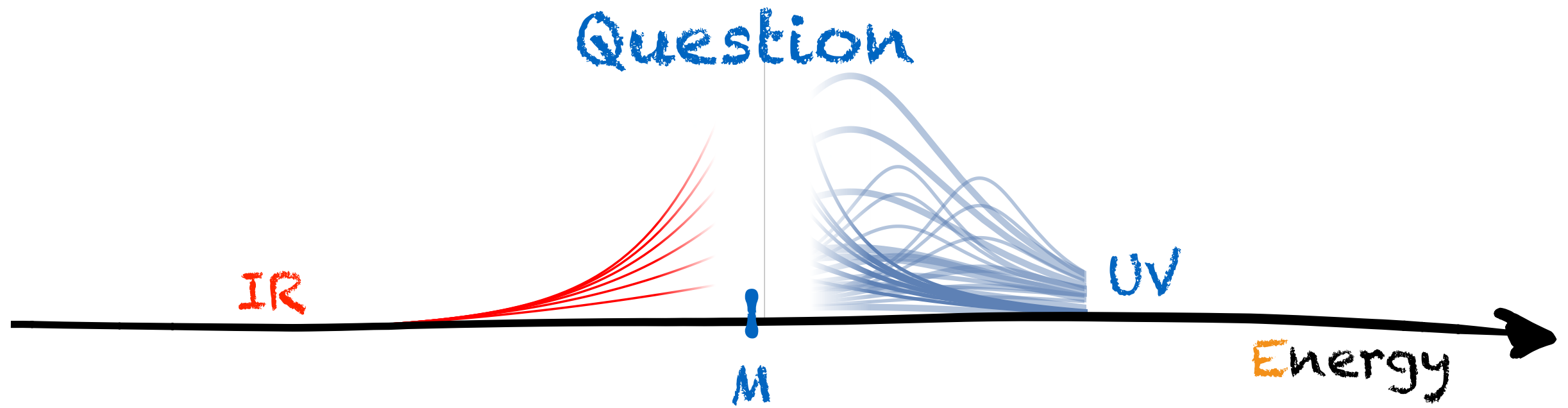


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Model Independence



Broadest possible hypotheses



**E**ffective **F**ield **T**heories: at the frontier with **unknown/incalculable**  
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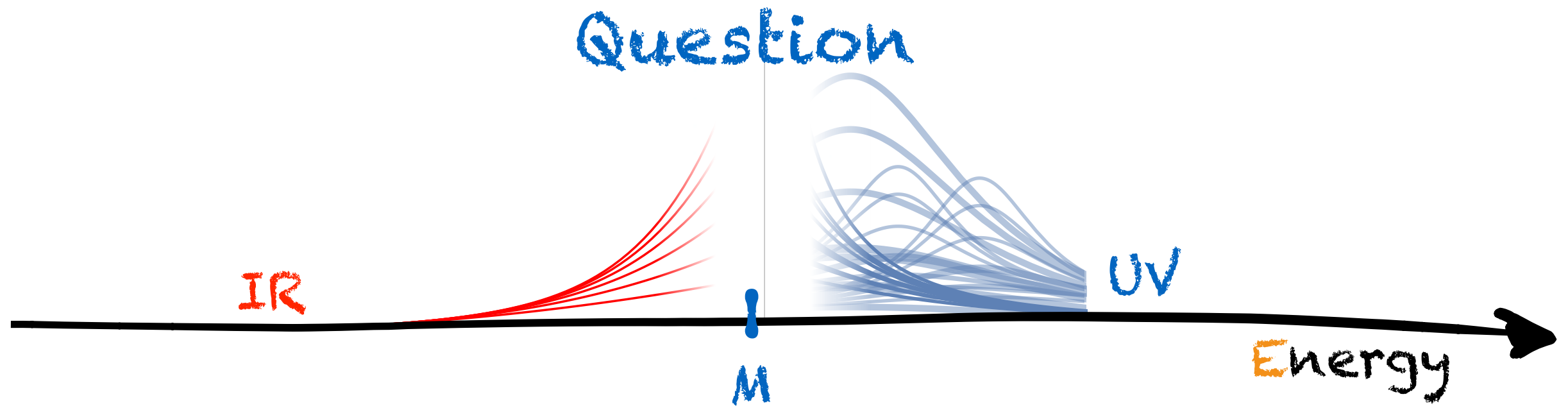
Model Independence  $\longleftrightarrow$  Broadest possible hypotheses

Different **E**-behaviours **IR** consistent!

$$A_{2 \rightarrow 2}(s, t) = c_0 + c_2 s^2 + c_{2,1} s^2 t + c_4 s^4 + \dots + c_{n,m} s^n t^m$$

(e.g. tree-level)





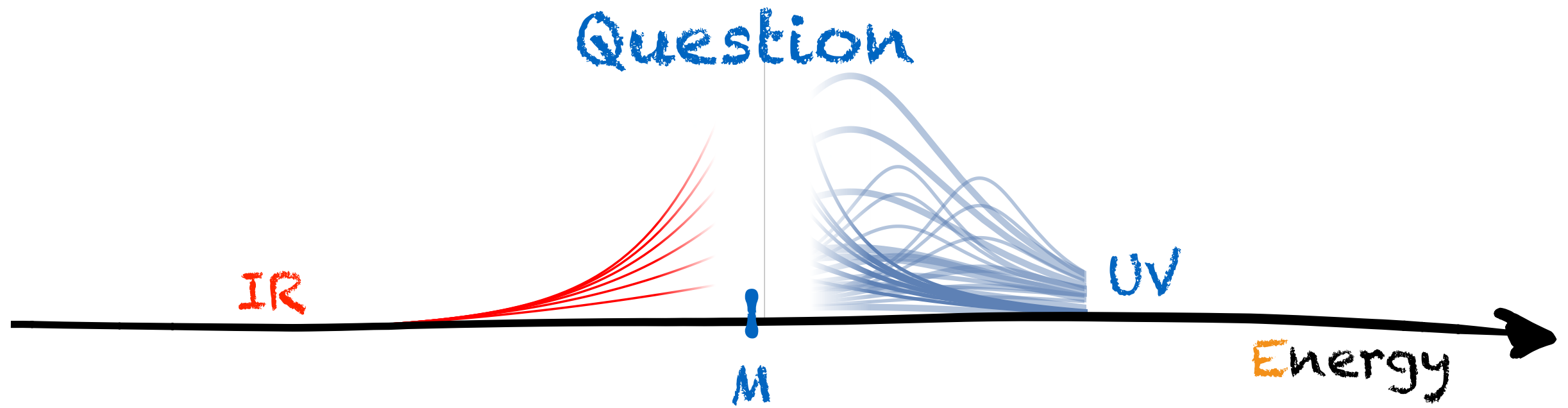
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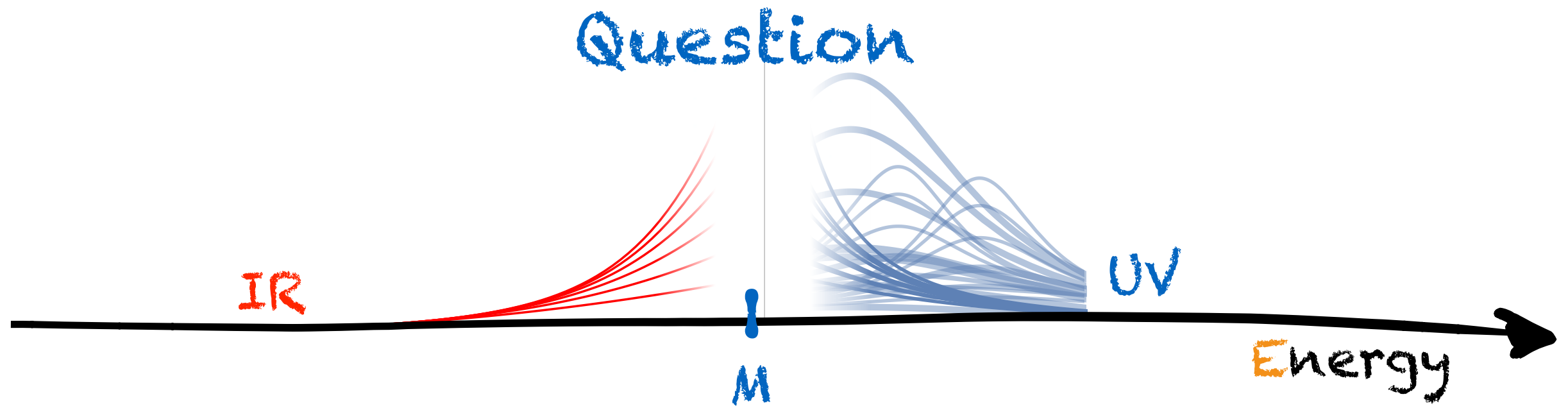
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Which **IR** theories are **Causal** and **Unitary** in **UV**?

# Notation/Outline

$$A_{2 \rightarrow 2}(s, t) = c_0 + c_2 s^2 + c_{2,1} s^2 t + c_4 s^4 + \dots + c_{n,m} s^n t^m$$

(e.g. tree-level)



# Notation/Outline

	powers of t			
powers of s	$c_2$	$c_{2,1}$	$c_{2,2}$	$\dots$
	$c_4$	$c_{4,1}$	$c_{4,2}$	$\dots$
	$c_6$	$c_{6,1}$	$c_{6,2}$	$\dots$
	$\vdots$	$\vdots$	$\vdots$	

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# Notation/Outline

## 1. IR

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# Notation/Outline

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2. UV  $\rightarrow$  IR

(2  $\rightarrow$  2 amplitude, dispersion relation, arcs, moments)

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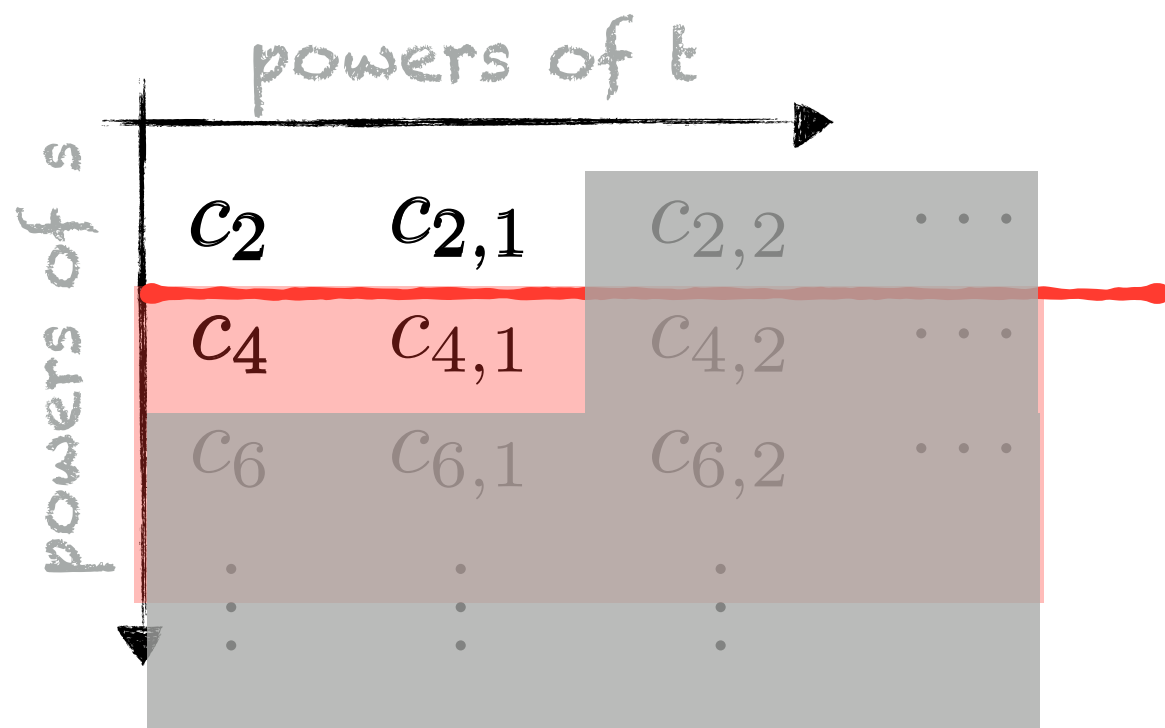
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3. Bounds at Tree-level

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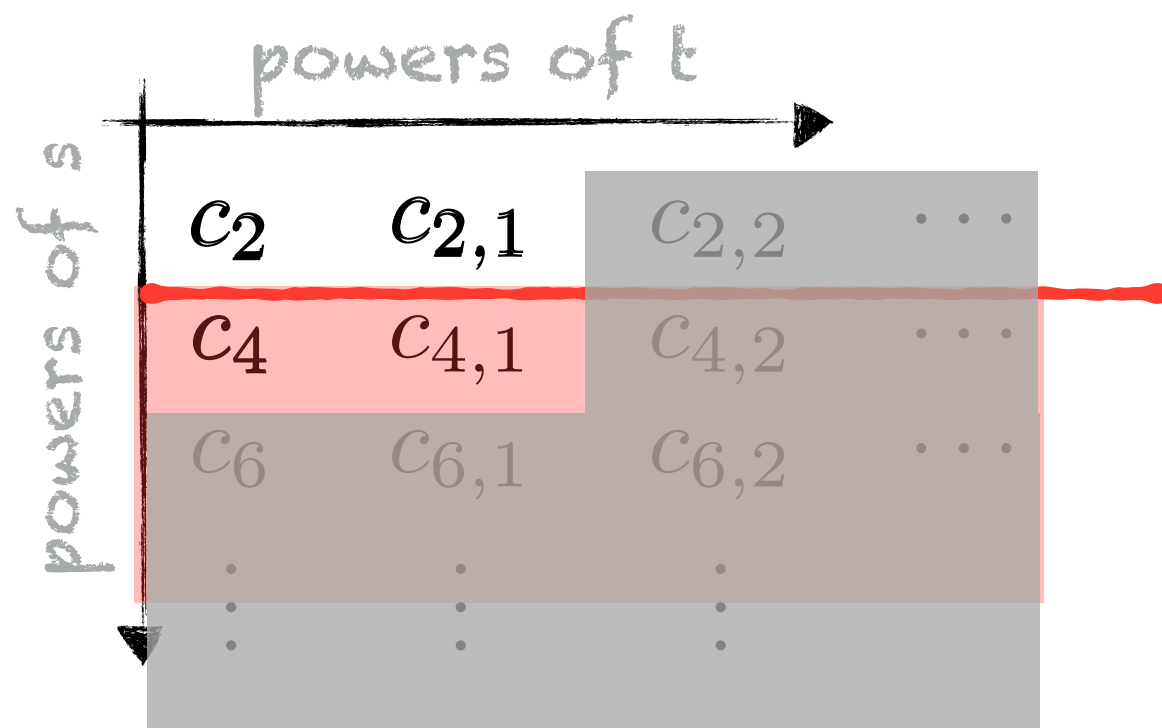
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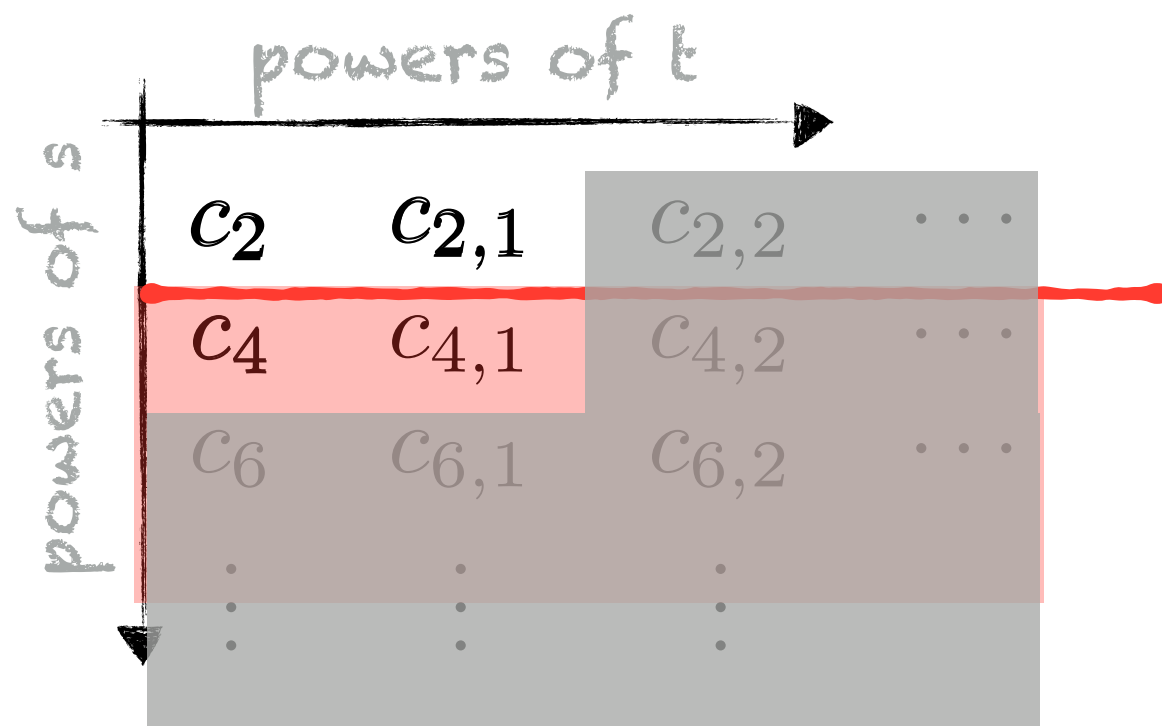
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Field Content: for most of the talk, a single scalar  $\pi$

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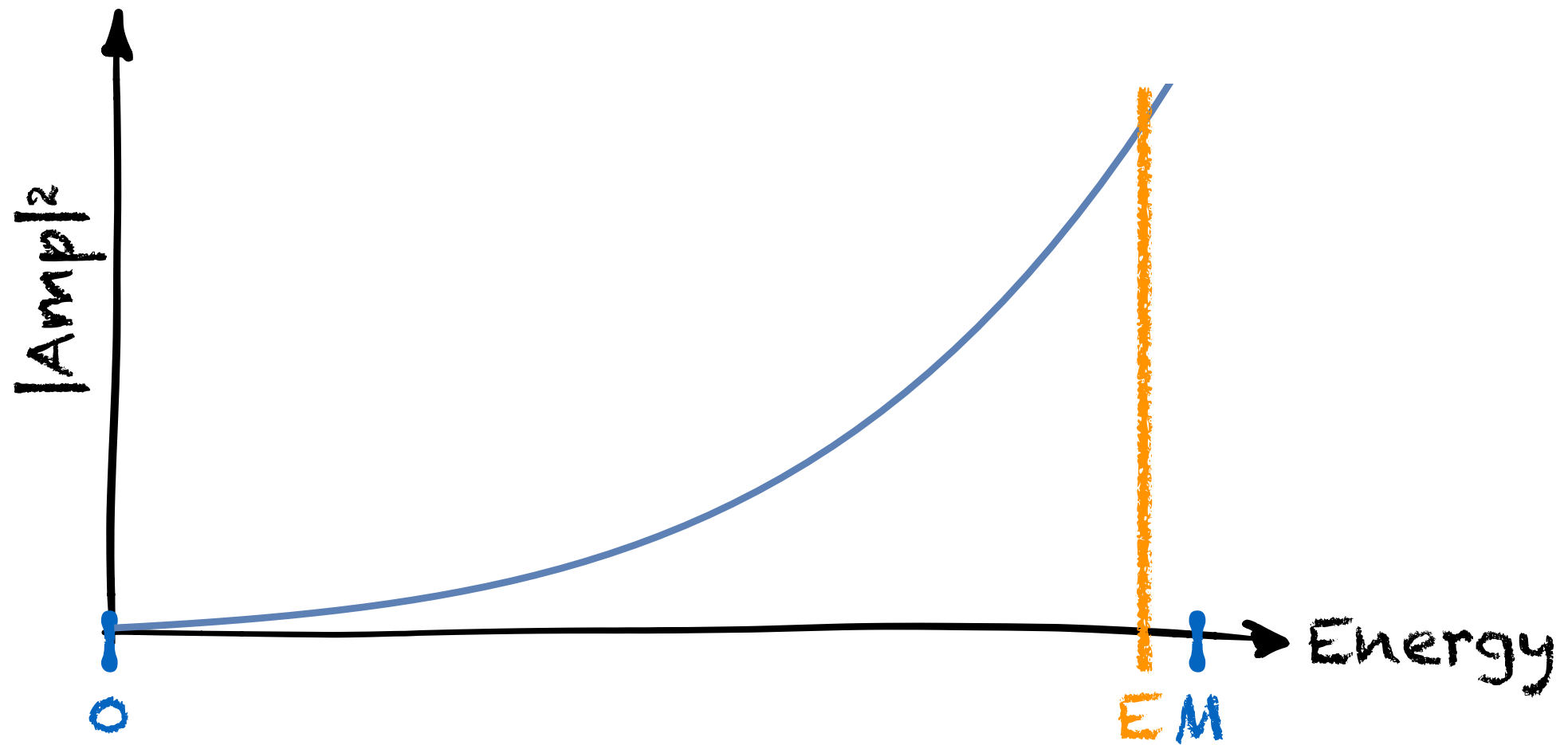
Symmetries: sometimes shift symmetries  $\pi \rightarrow \pi + \alpha + \beta x \dots + \gamma x^n$



1. IR

# Effective Field Theory

2>2 scattering



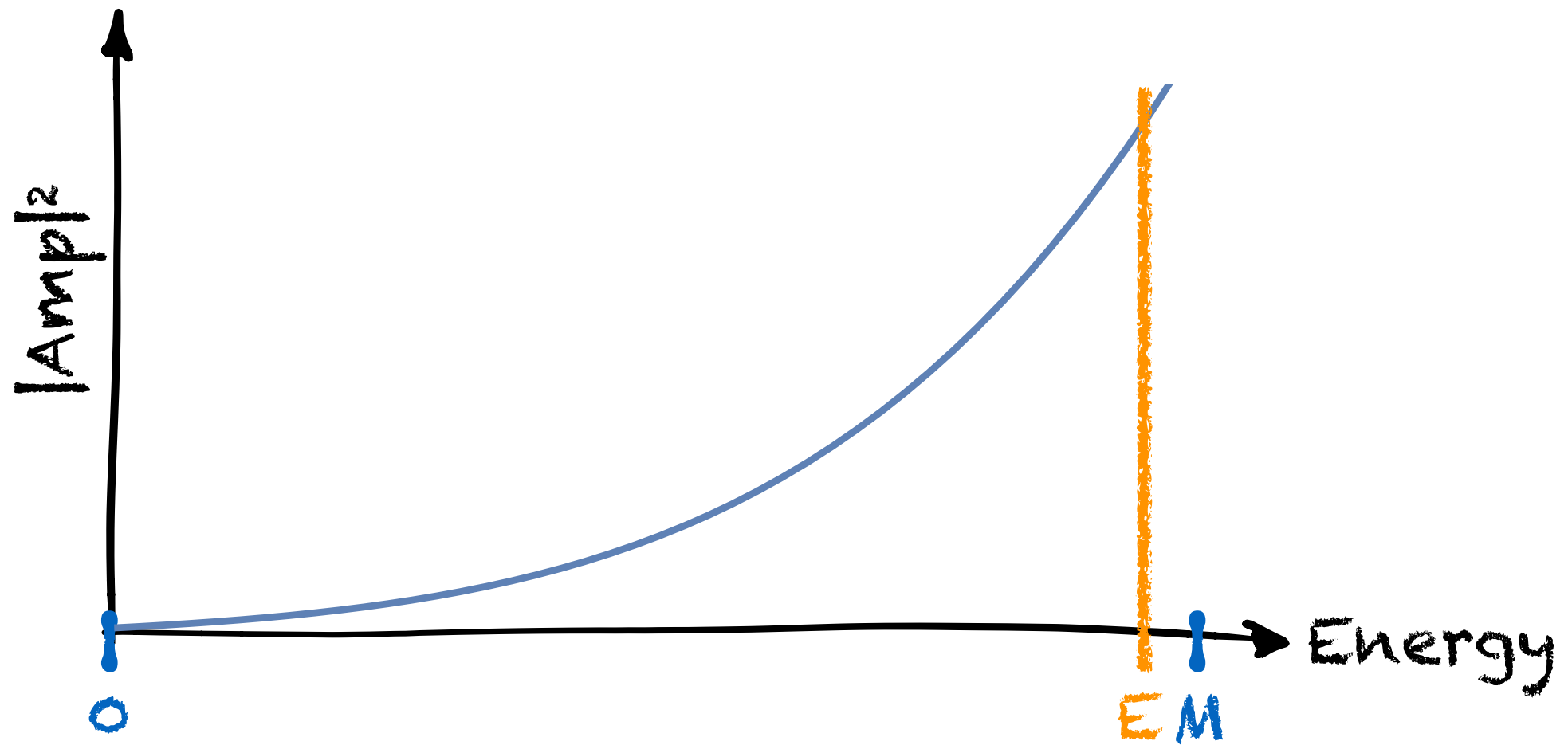
generic UV theory generates  
generic coefficients at  $E=M$

$$A = c_0 + c_1 \frac{E^2}{M^2} + c_2 \frac{E^4}{M^4} + c_3 \frac{E^6}{M^6} + c_4 \frac{E^8}{M^8} + \dots$$

The equation shows a series expansion of the amplitude  $A$  in powers of energy  $E$  divided by a mass scale  $M$ . The coefficients  $c_0, c_1, c_2, c_3, c_4$  are highlighted in yellow boxes. Orange arrows point from the text above to these coefficient boxes.

# Effective Field Theory

2>2 scattering



← in the EFT,  
coefficients run

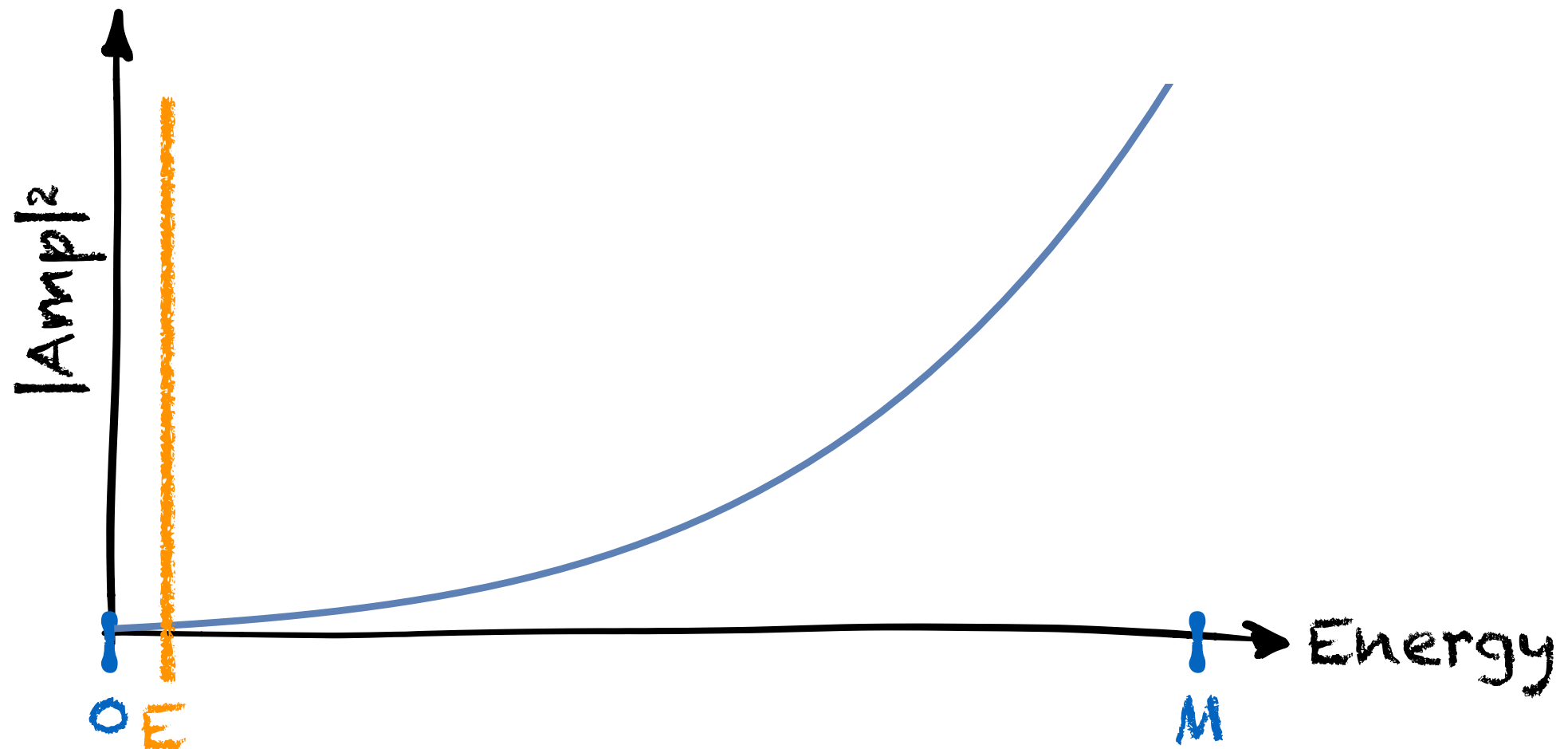
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Four orange arrows point from the 'EM' label in the graph above to the coefficients  $c_0, c_1, c_3,$  and  $c_4$  in the equation below.

# Effective Field Theory

2>2 scattering



in the EFT,  
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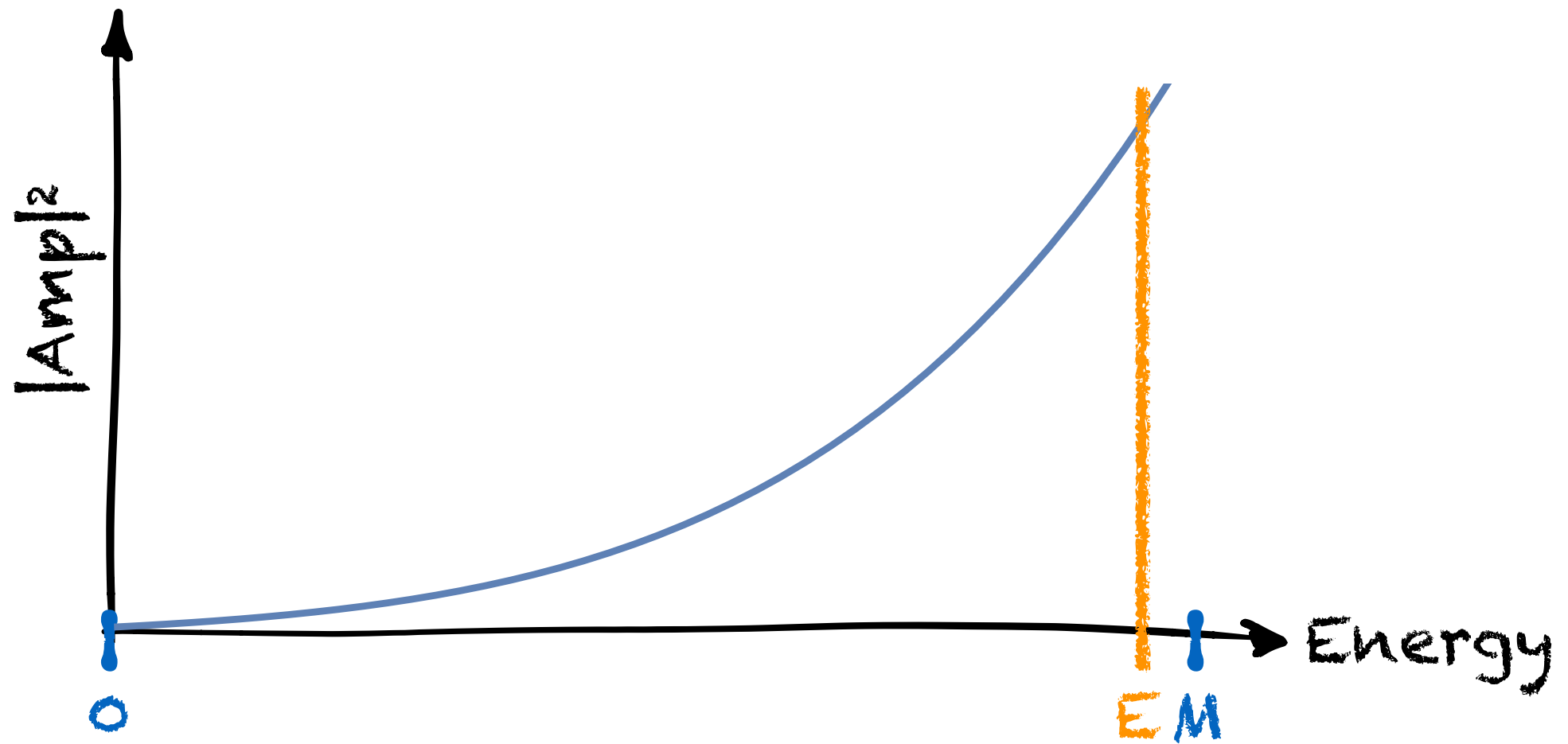
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The equation shows a series expansion of the amplitude A. The coefficients  $c_0, c_1, c_2, c_3, c_4$  are highlighted in yellow boxes. Orange arrows point from the text above to these coefficients: from 'coefficients run' to  $c_1$ , from 'generic UV theory generates generic coefficients at  $E=M$ ' to  $c_2, c_3, c_4$ .

# Effective Field Theory

2>2 scattering



Can coefficients be hierarchical?

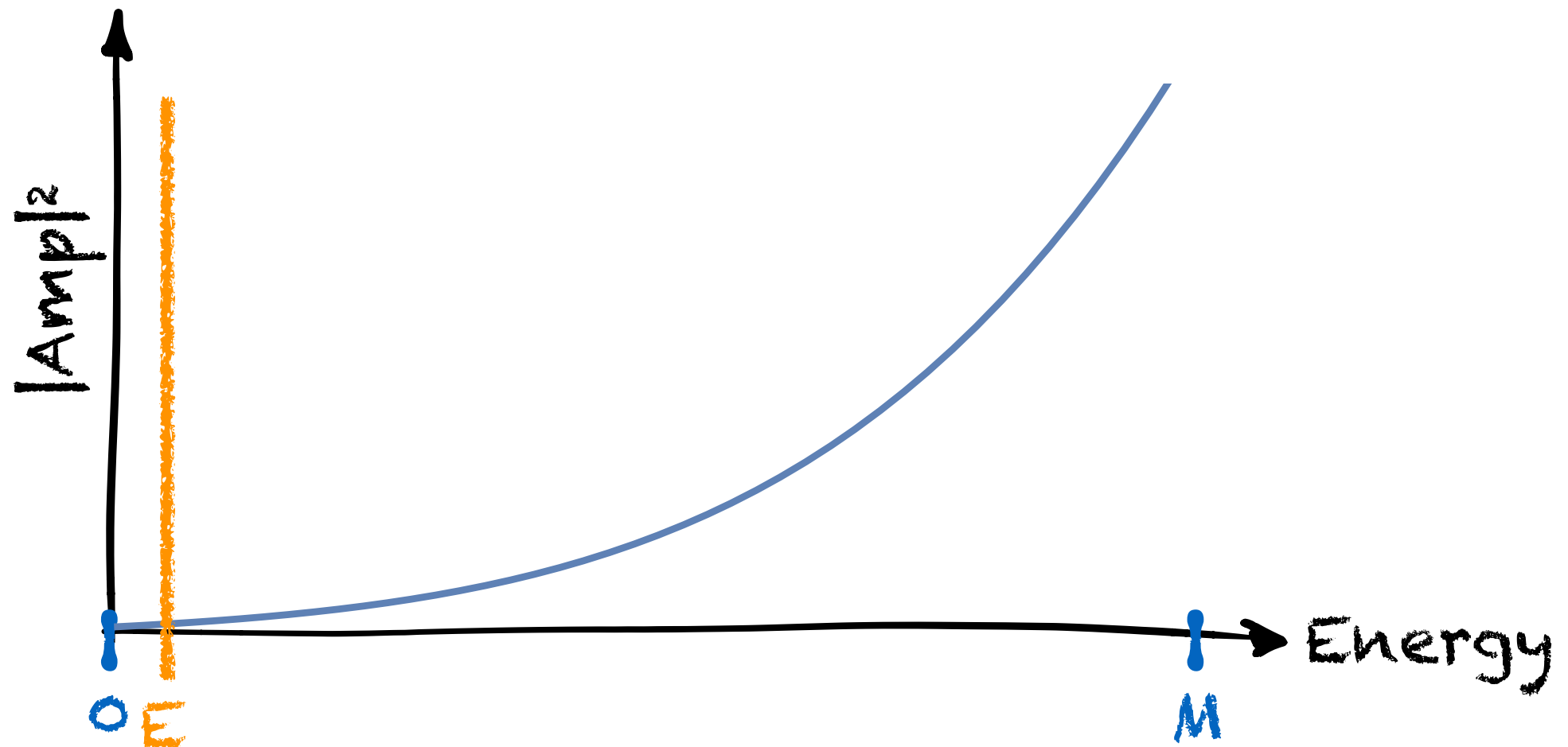
$$A = c_0 + c_1 \frac{E^2}{M^2} + c_2 \frac{E^4}{M^4} + c_3 \frac{E^6}{M^6} + c_4 \frac{E^8}{M^8} + \dots$$

The coefficients  $c_0, c_1, c_2, c_3, c_4$  are highlighted in yellow boxes. Orange arrows point from the boxes for  $c_2$  and  $c_3$  to the corresponding terms in the series.



# Effective Field Theory

2>2 scattering



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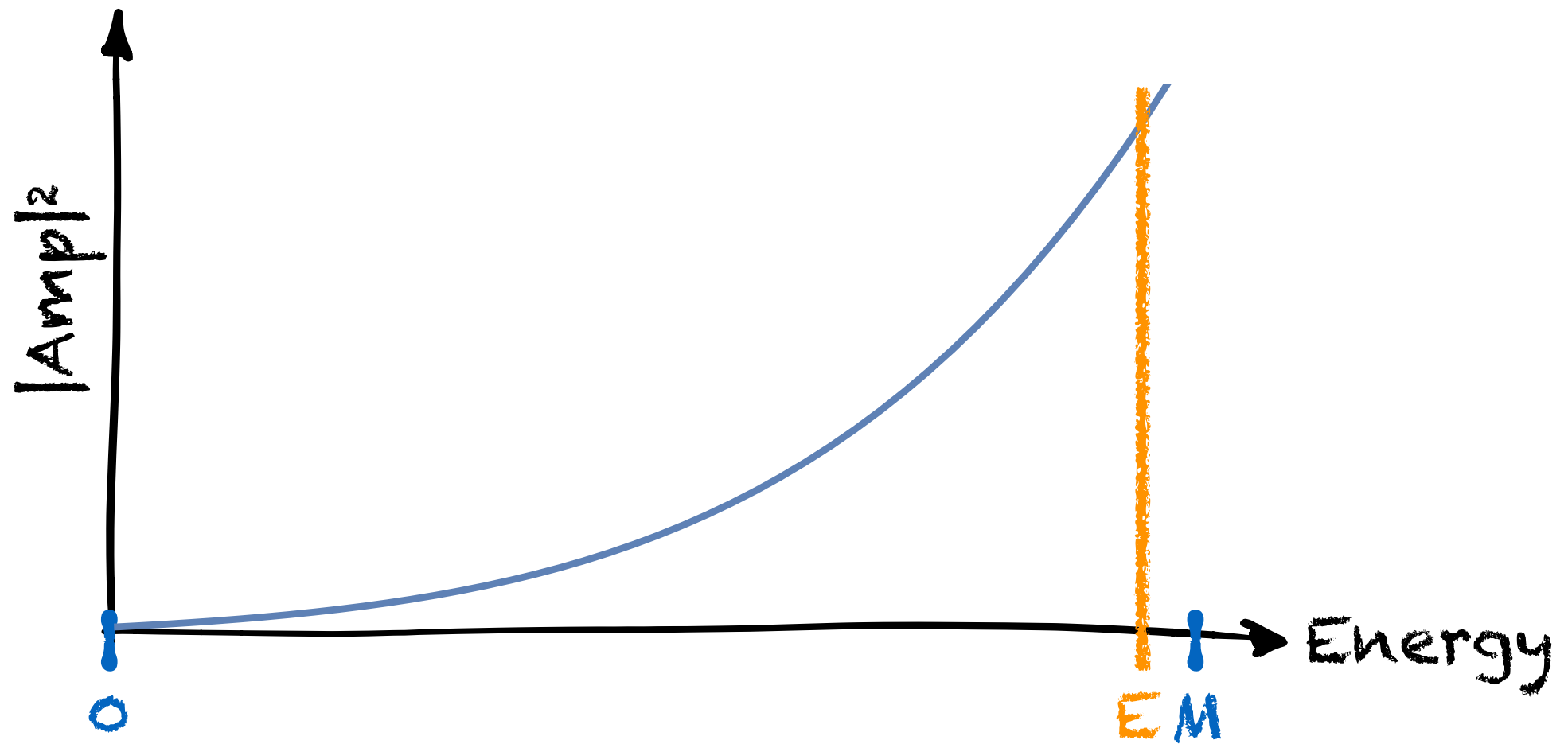
**No!** Quantum effects homogenise them

$$c_1 \sim c_2 \sim c_3 \sim \dots$$

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2>2 scattering



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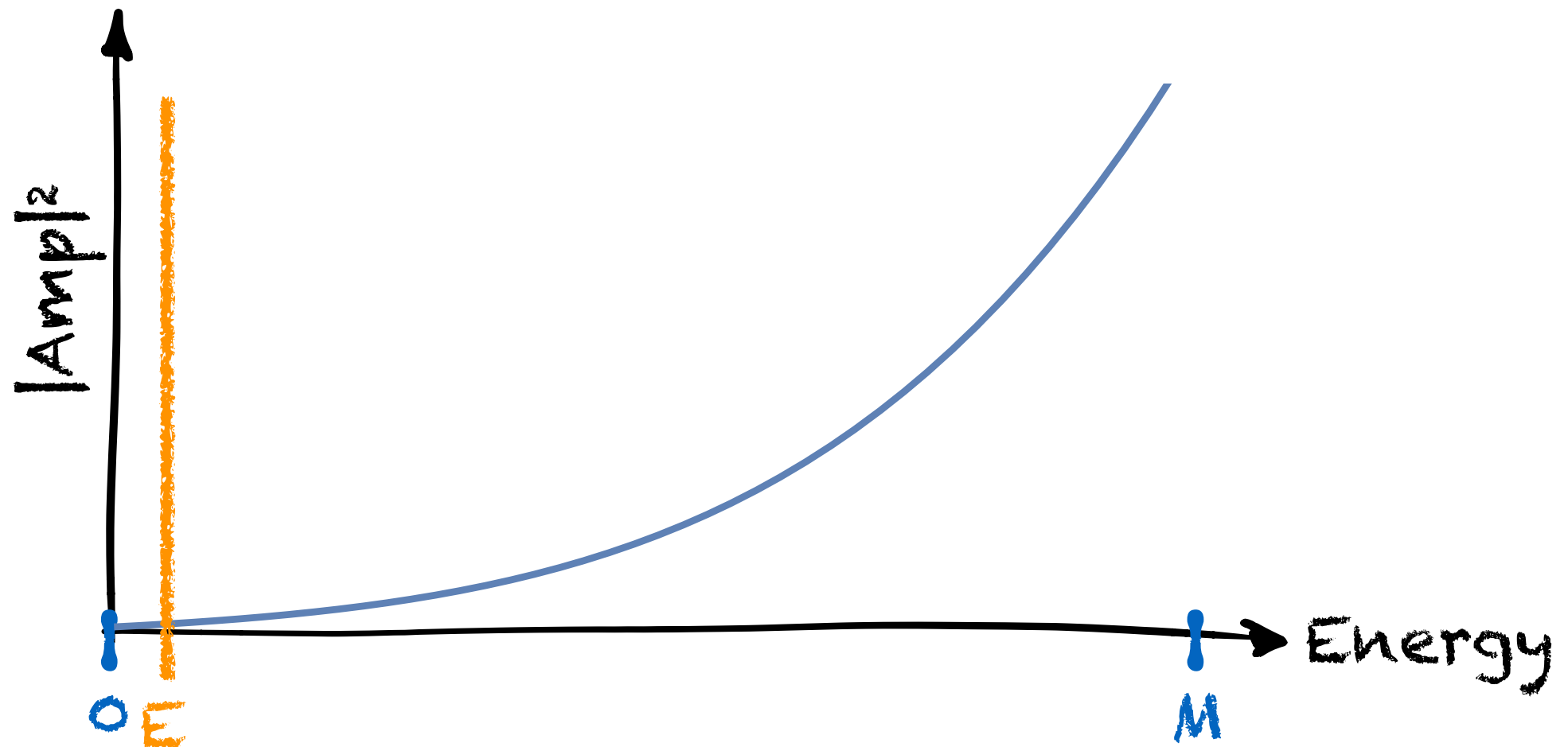
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Two orange arrows point from the text above to the  $c_2$  and  $c_3$  terms in the equation.

# Effective Field Theory

2>2 scattering



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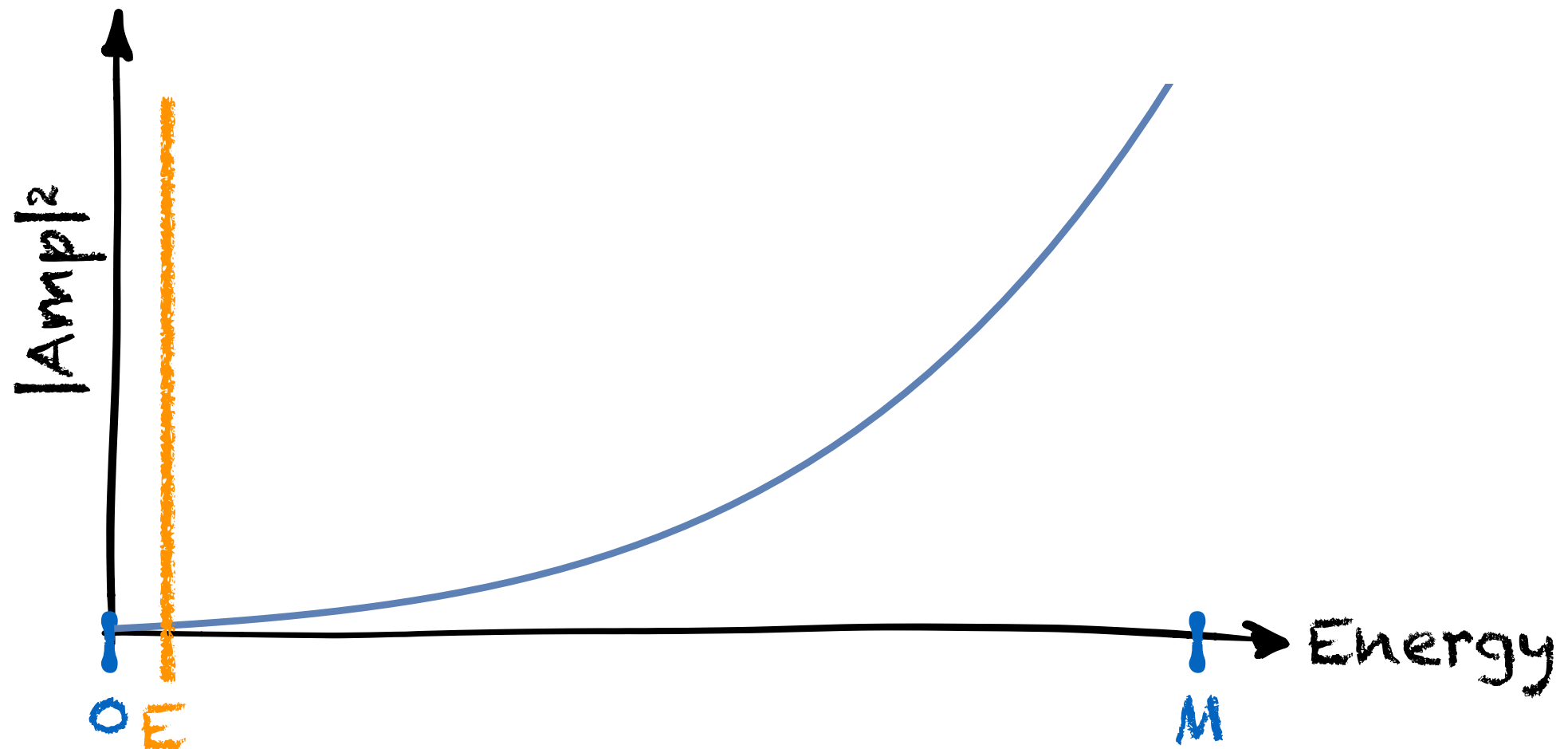
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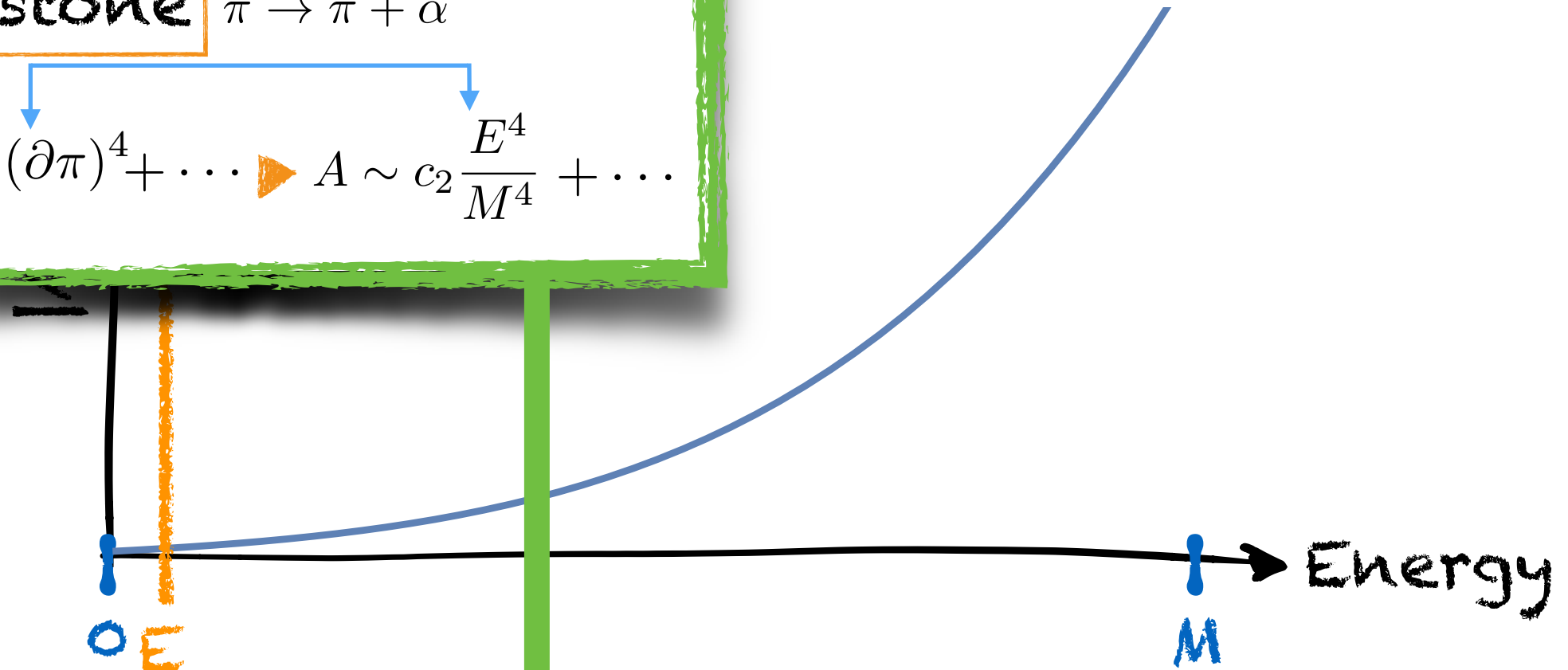
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# Effective Field Theory

## 2>2 scattering

**Goldstone**  $\pi \rightarrow \pi + \alpha$

$$\mathcal{L} = \frac{c_2}{M^4} (\partial\pi)^4 + \dots \rightarrow A \sim c_2 \frac{E^4}{M^4} + \dots$$


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# Effective Field Theory

## 2>2 scattering



**Galileons**  $\pi \rightarrow \pi + \alpha + \beta_\mu x^\mu$

Nicolis, Rattazzi, Trincherini'08

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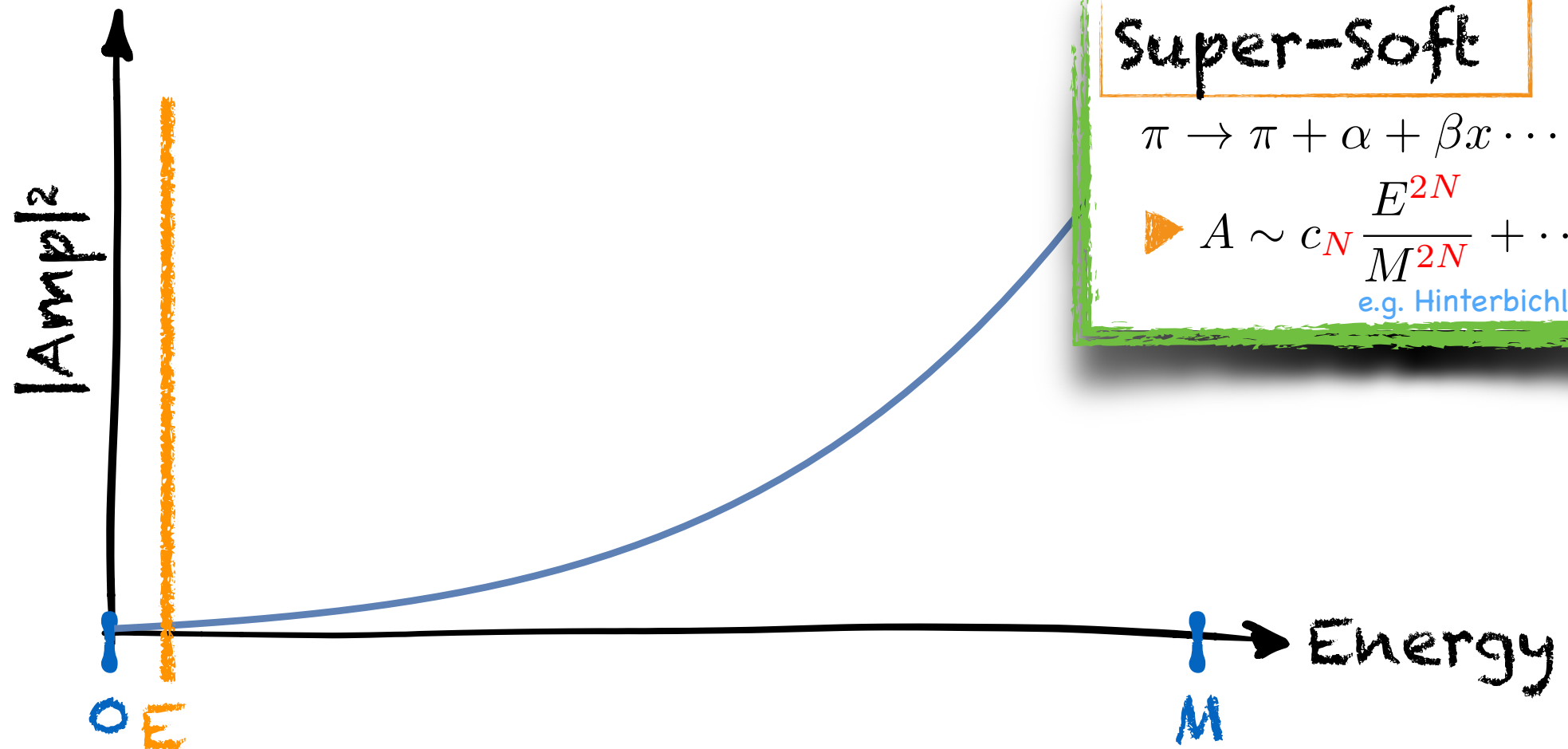
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# Effective Field Theory

2>2 scattering



## Super-Soft

$$\pi \rightarrow \pi + \alpha + \beta x \dots + \gamma x^n$$

$$\triangleright A \sim c_N \frac{E^{2N}}{M^{2N}} + \dots$$

e.g. Hinterbichler, Joyce'14

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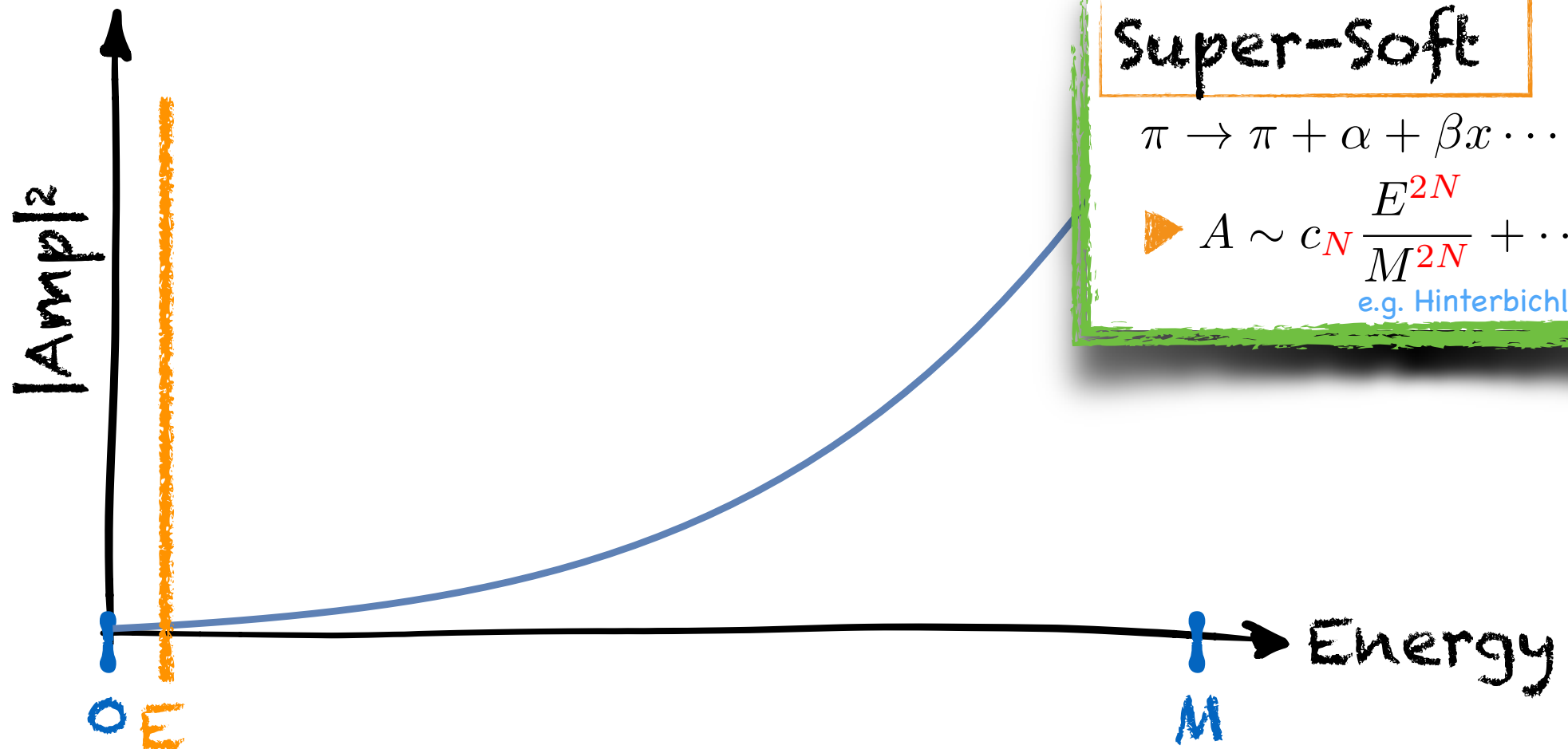
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Can supersoft theories be UV completed in QFT?

2. UV → IR

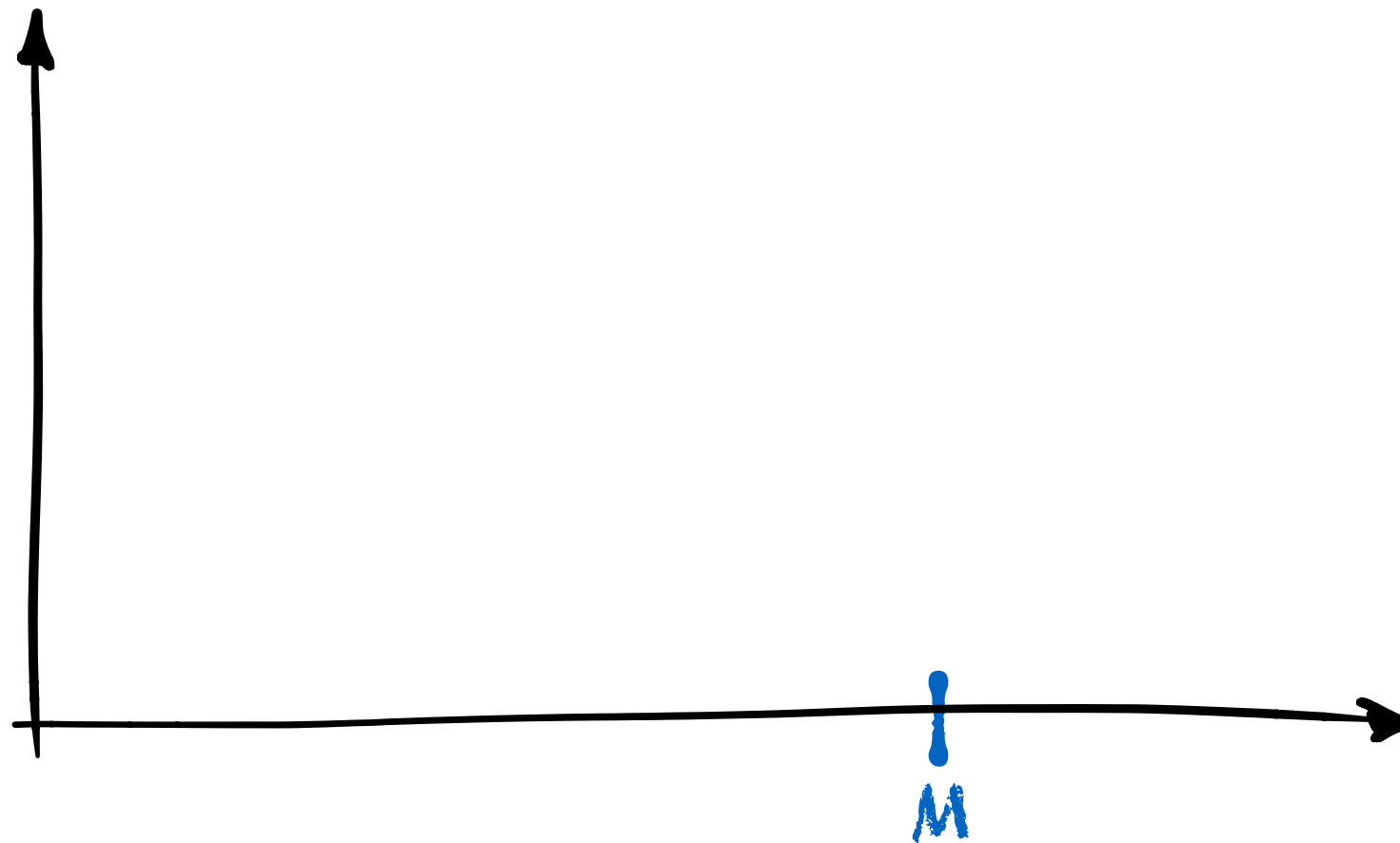
# UV-IR Connection

Froissart, Martin', ... 60s  
Adams, Arkani-Hamed, Dubovsky,  
Nicolis, Rattazzi '06,  
...

Forward Scattering  $t \sim \theta^2 = 0 \rightarrow$



Total energy  $\uparrow s = E^2$



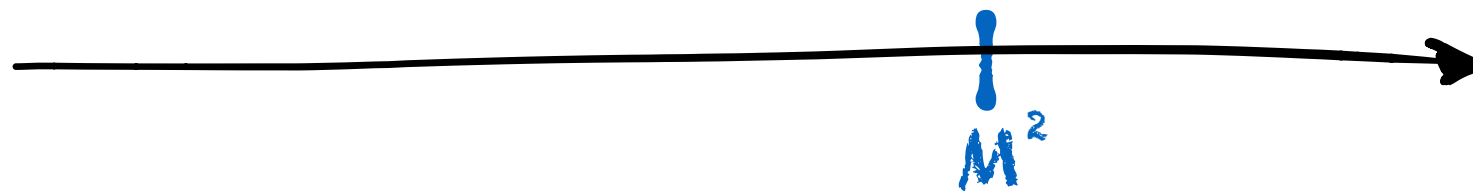
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$s = \text{Energy}_{\text{cm}}^2$



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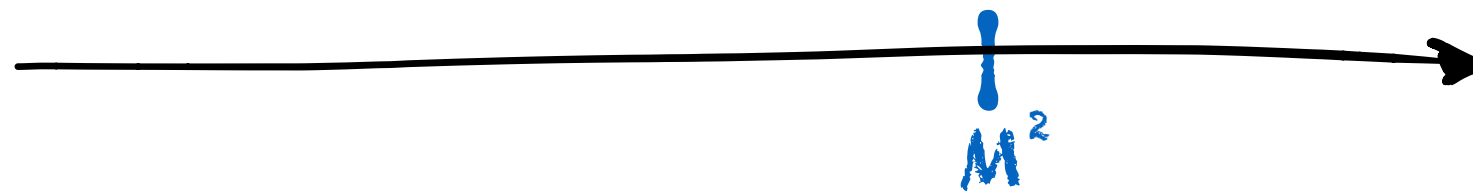


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## Physical Properties

Causality

Unitarity



$s = \text{Energy}_{\text{cm}}^2$

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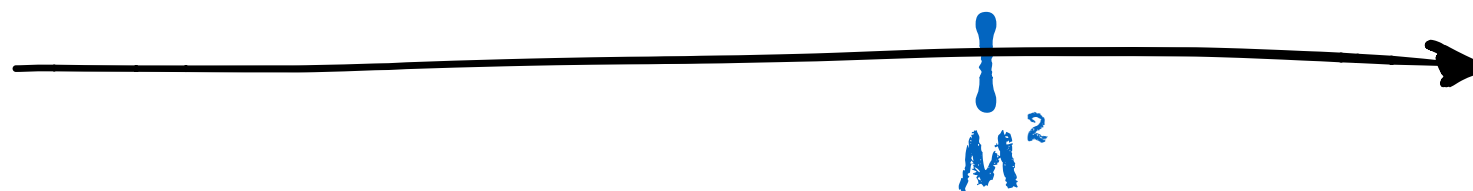
Unitarity

## Mathematical Properties of $2 \rightarrow 2$ forward amplitude $A(s)/s^n$

Analytic in  $s \in \mathbb{C}/phys$

Positive across  $s \in \mathbb{R}$

(optical theorem)



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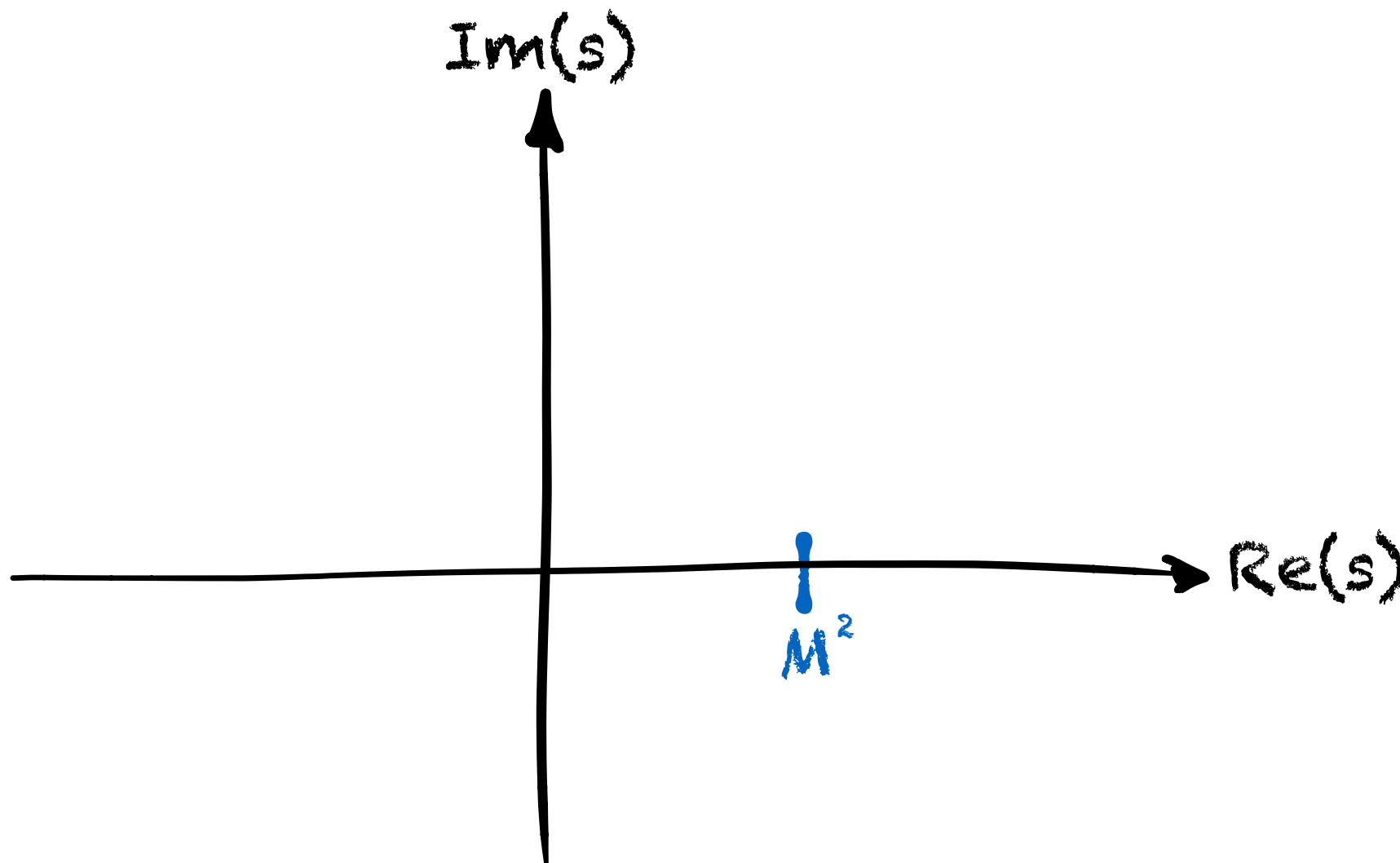
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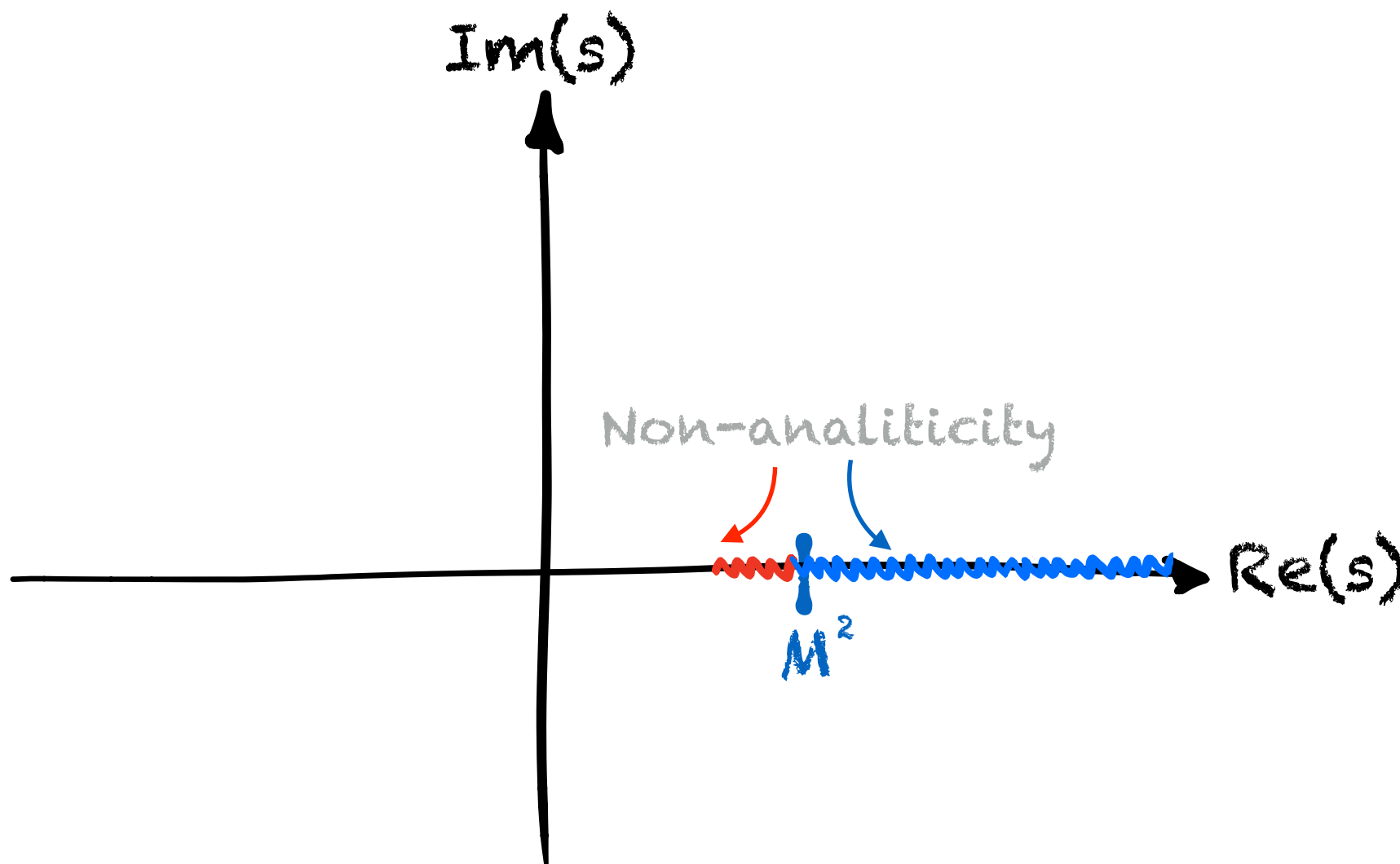
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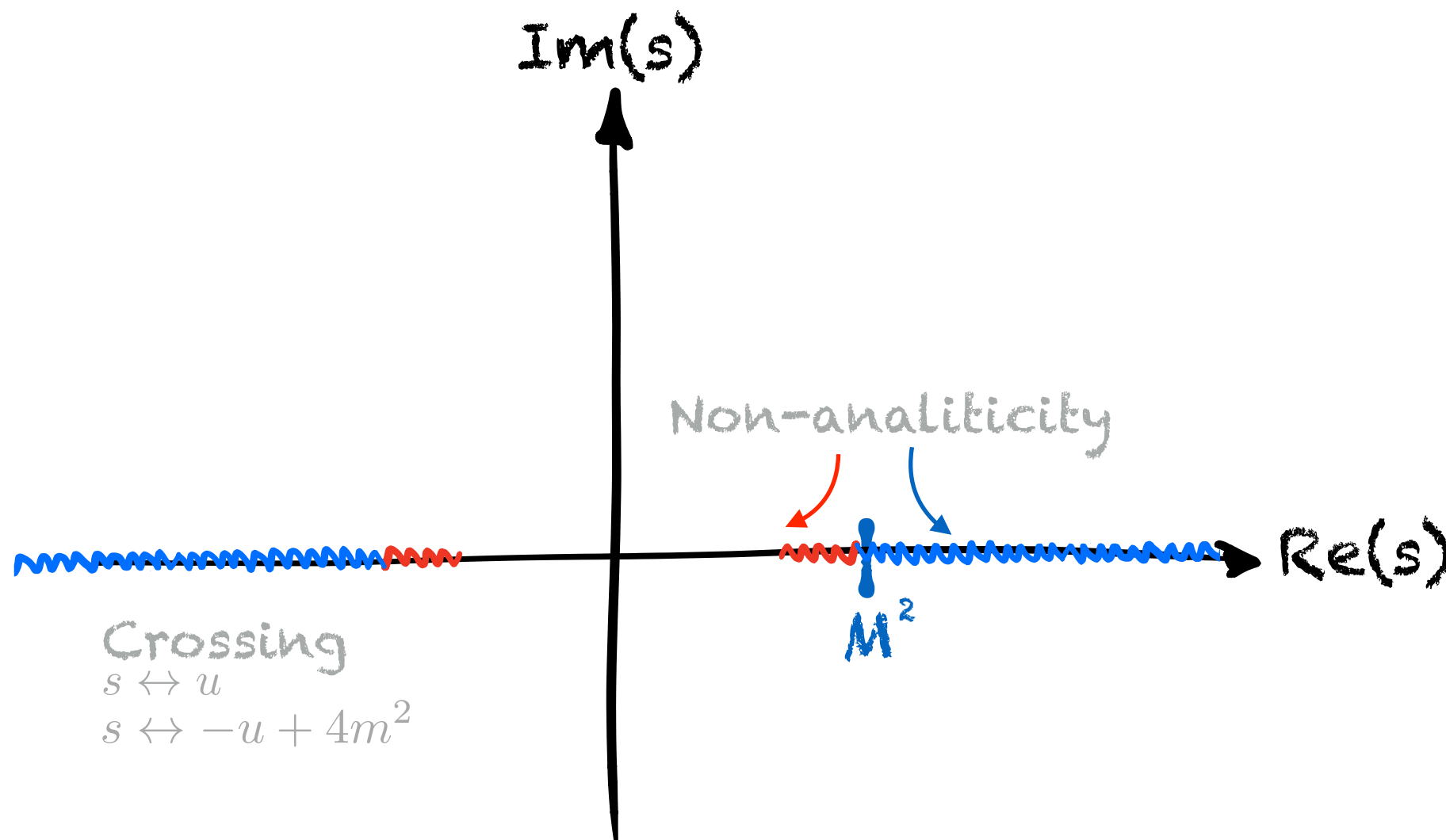
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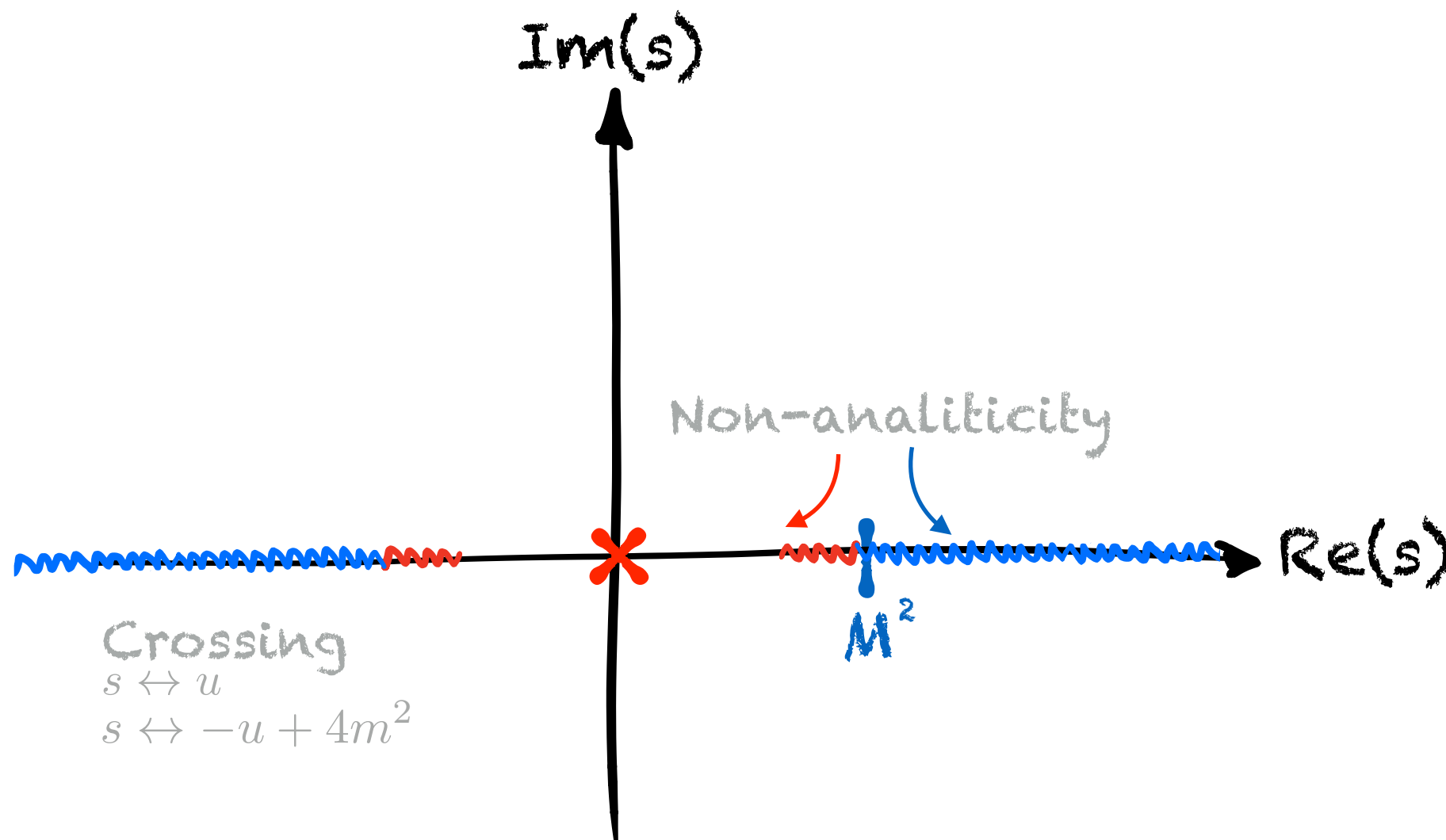
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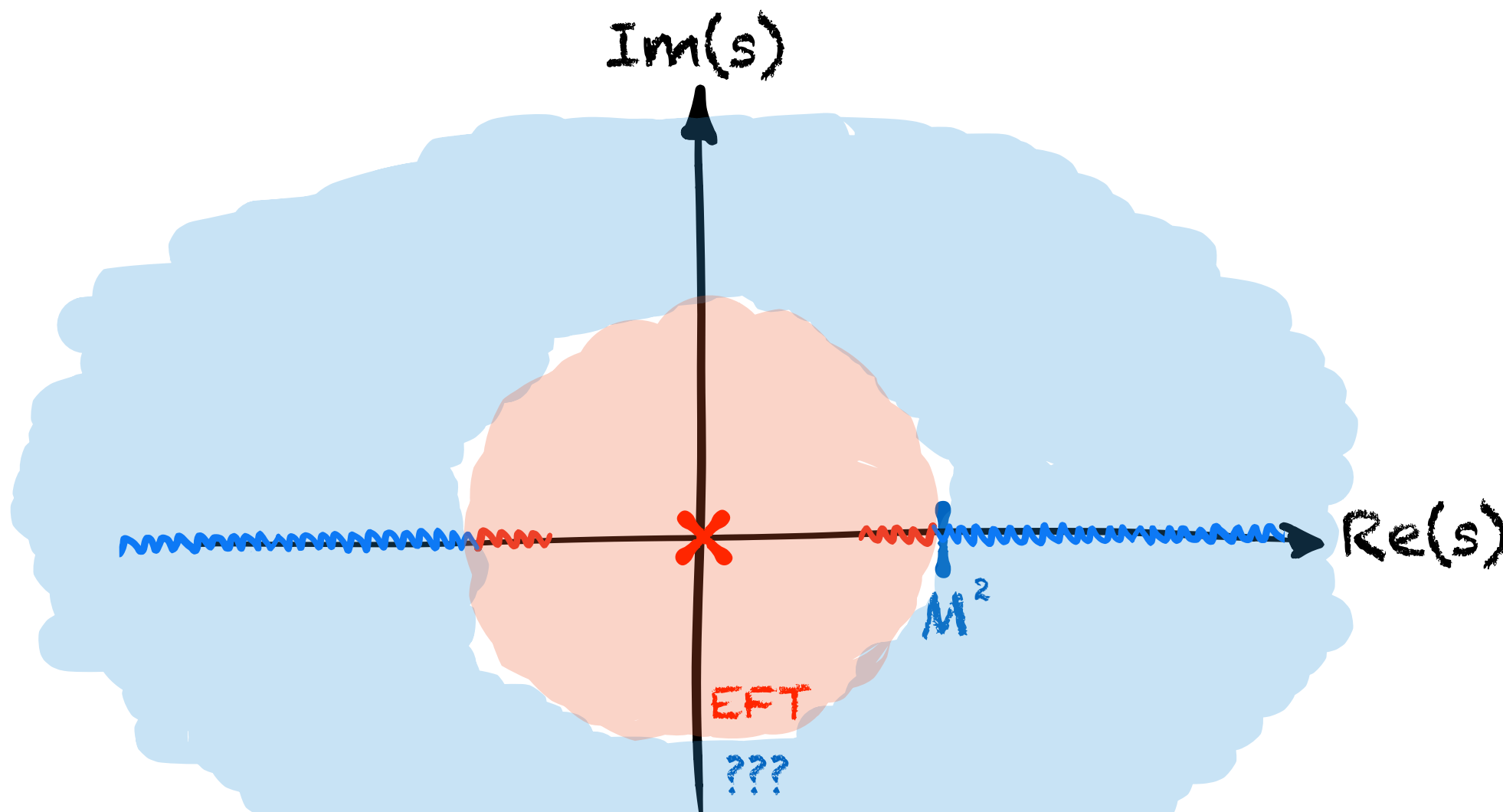
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# UV-IR Connection

Froissart, Martin', ... 60s  
 Adams, Arkani-Hamed, Dubovsky,  
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 ...

Forward Scattering  $t \sim \theta^2 = 0 \rightarrow$



Total energy  $\uparrow s = E^2$

## Physical Properties

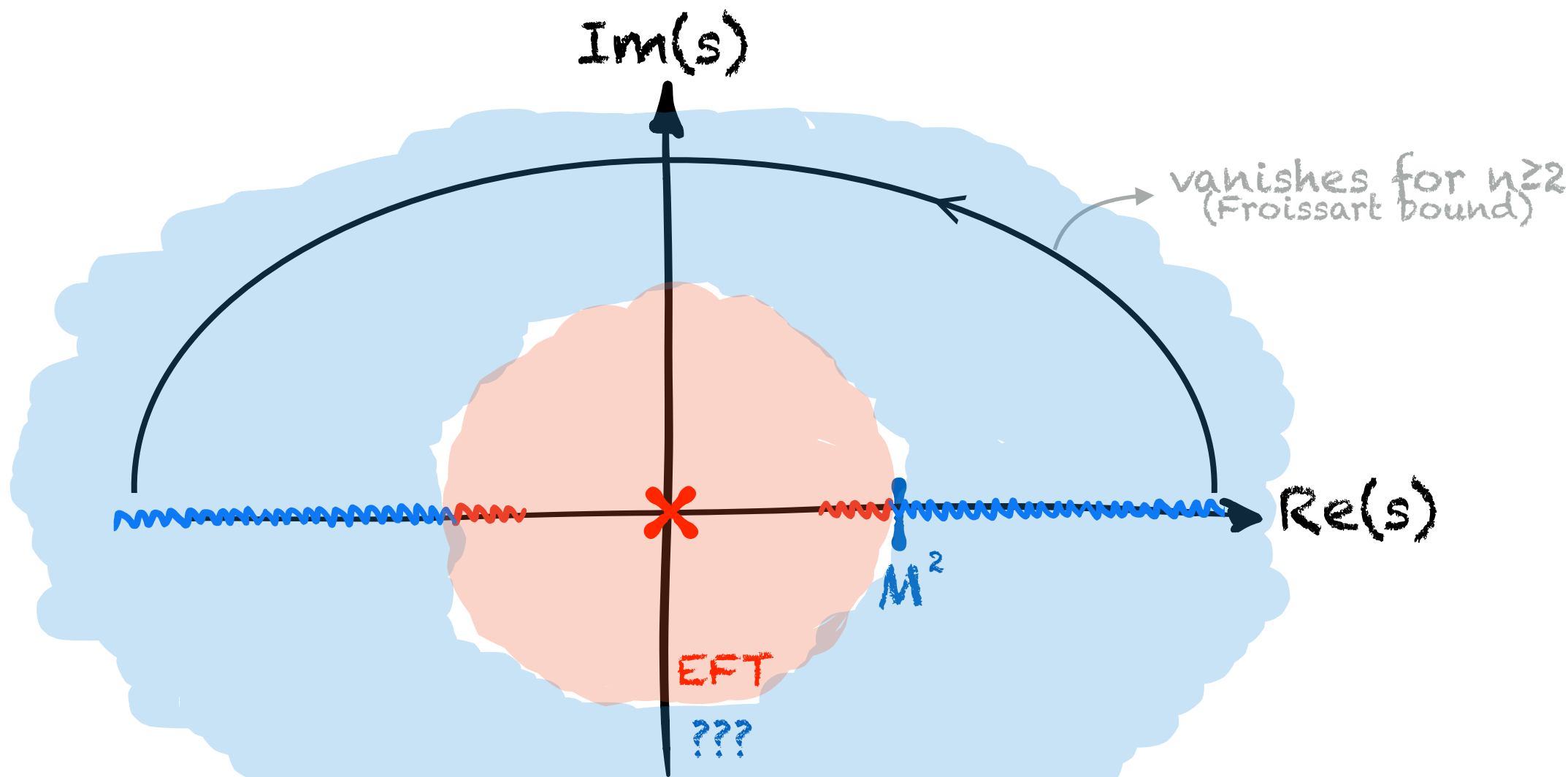
Causality

Unitarity

Mathematical Properties  
 of  $2 \rightarrow 2$  forward amplitude  $A(s)/s^n$   
 Analytic in  $s \in \mathbb{C}/phys$

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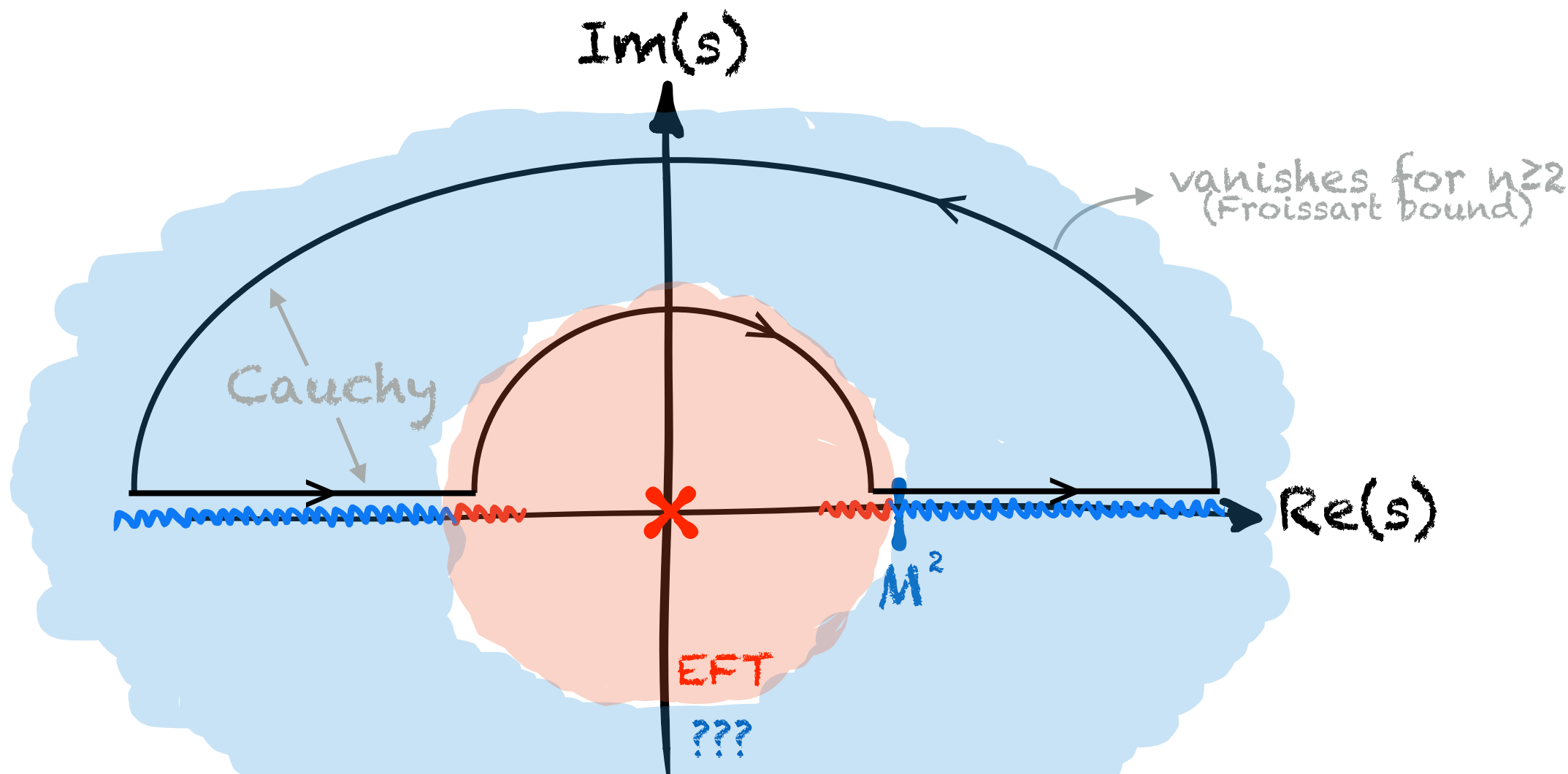
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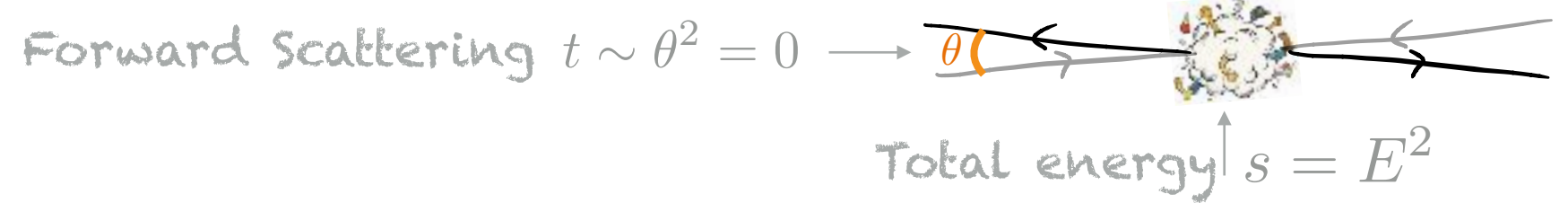
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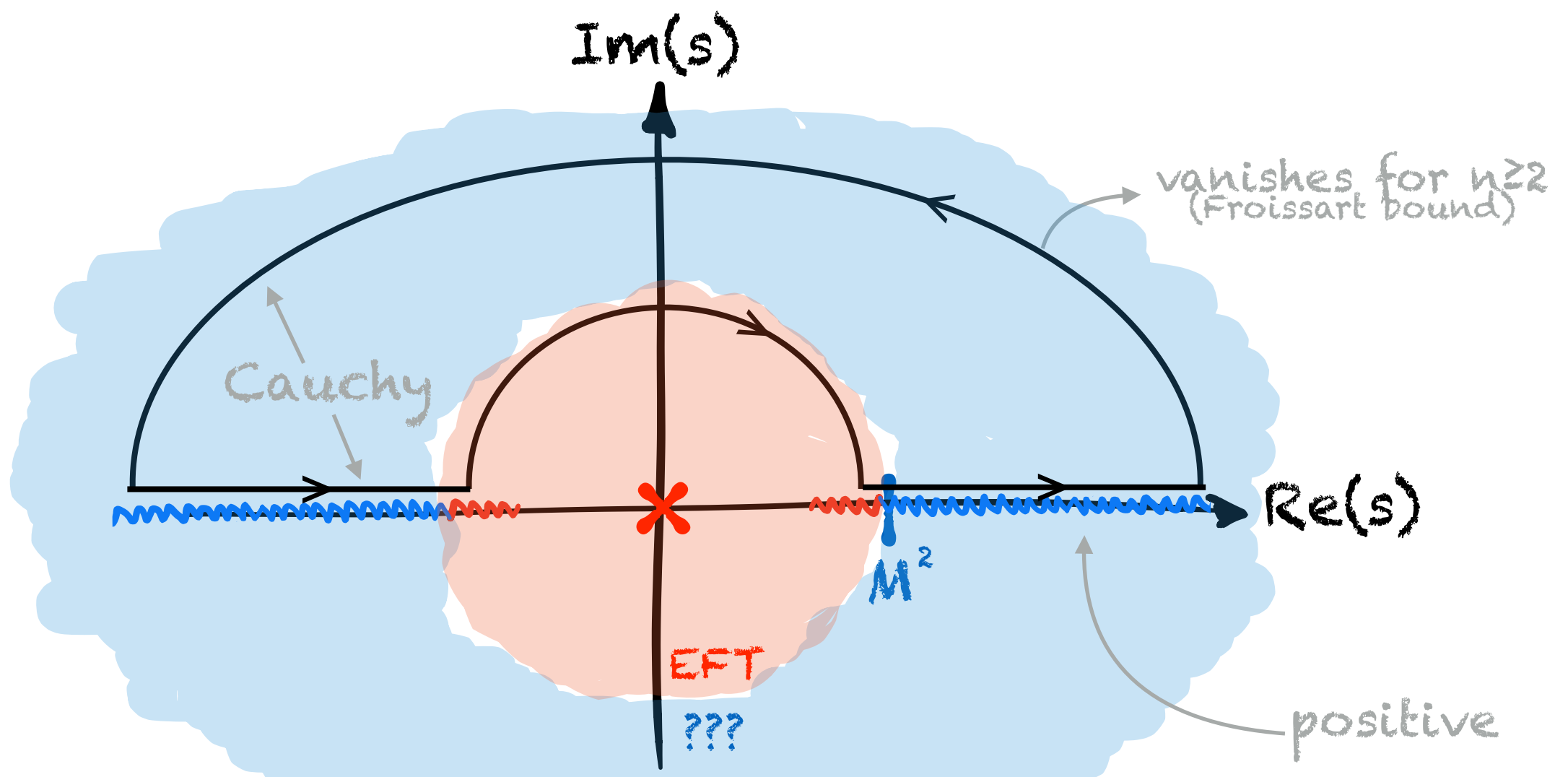
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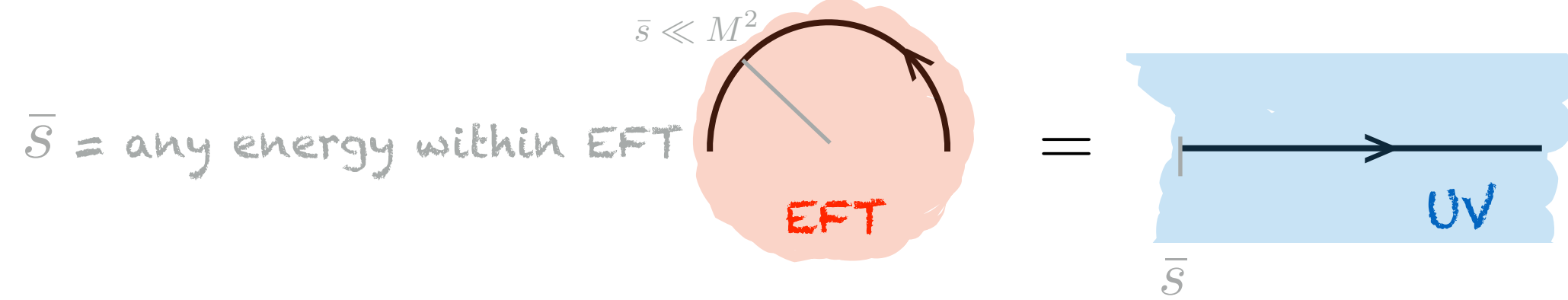
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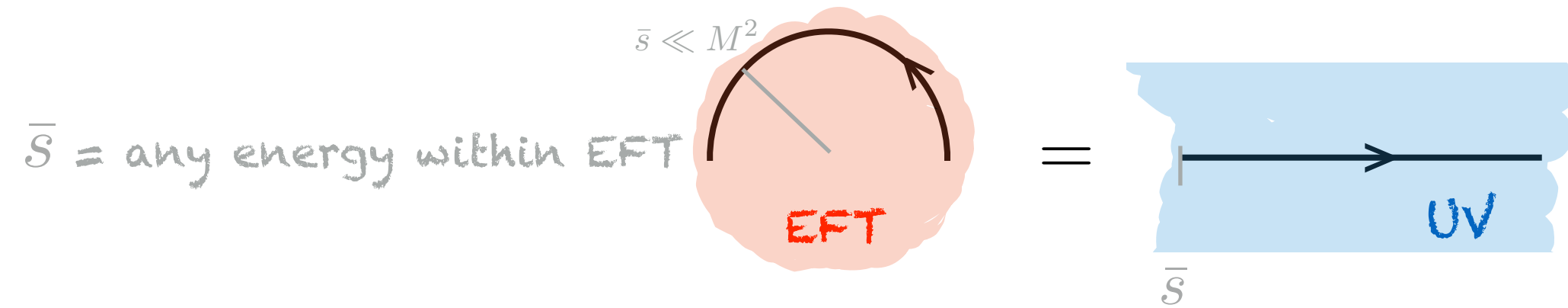
▶ (optical theorem)



# Arcs: UV-IR Connection

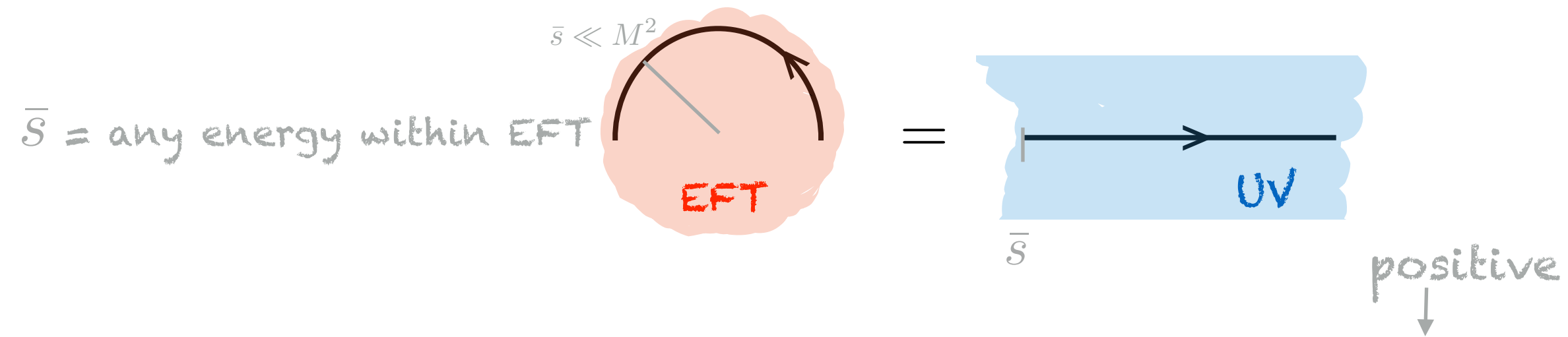


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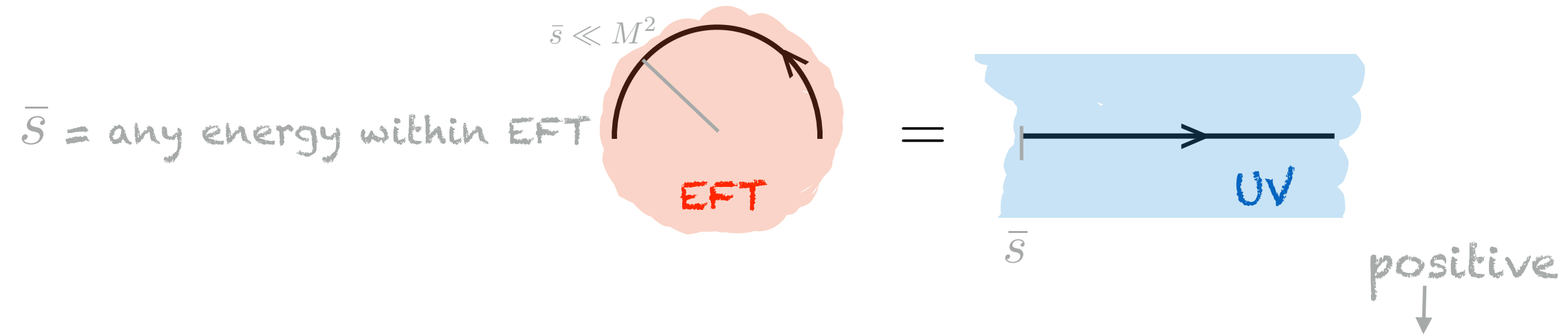
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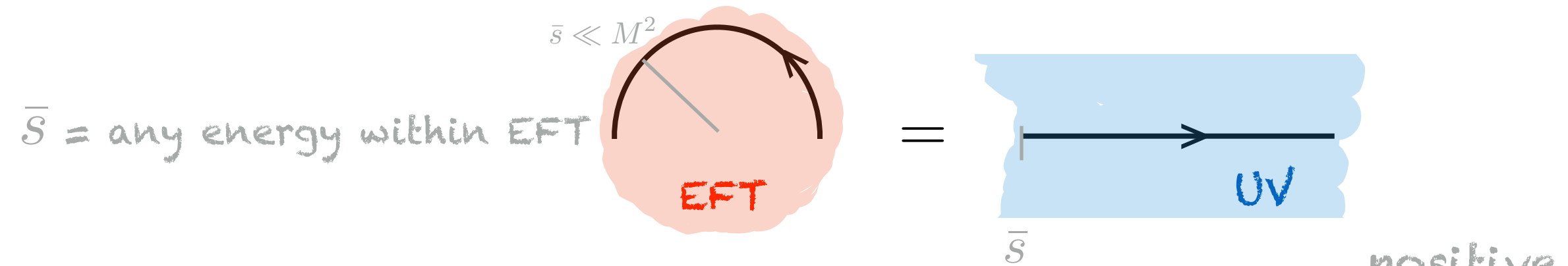


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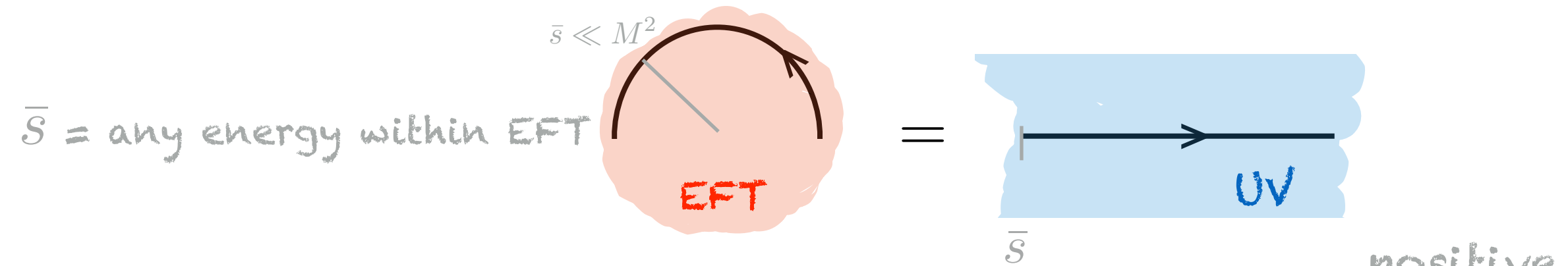
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Calculable in EFT

(e.g. at tree-level  $\mathcal{A}_n = c_n$ , the energy coefficients in EFT)

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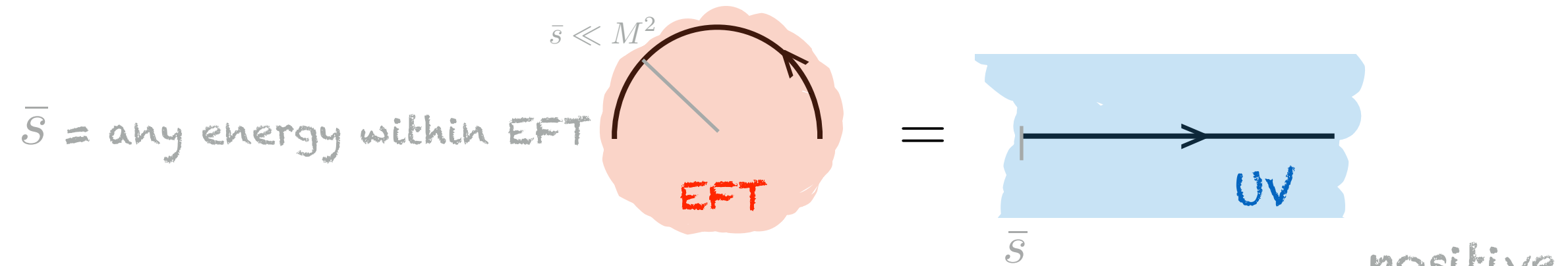
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Adams, Arkani-Hamed, Dubovsky,  
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► Consistency condition for EFTs

# More UV-IR Connections

Bellazzini, Elias-Miro, Rattazzi, Riembau, FR'20

$$\frac{2}{\pi} \int_{\bar{s}}^{\infty} ds \frac{\overset{\text{positive}}{\downarrow} \text{Im}A(s)}{s^{n+1}}$$

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Moments

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variables  
change  
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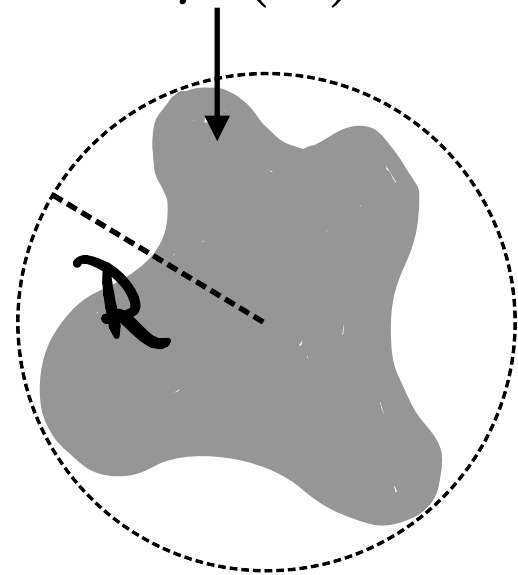
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Moments appear everywhere in physics...

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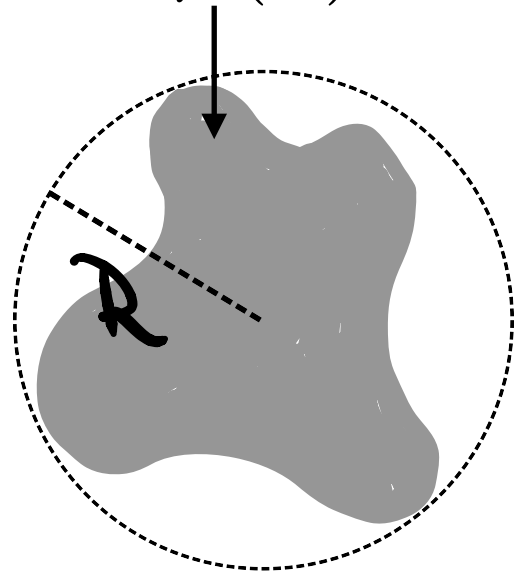
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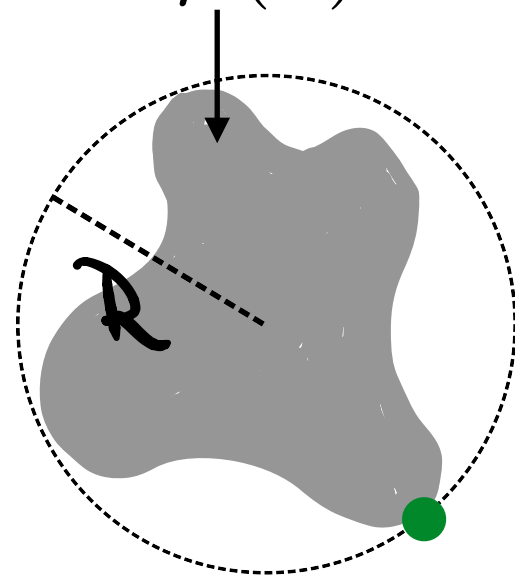
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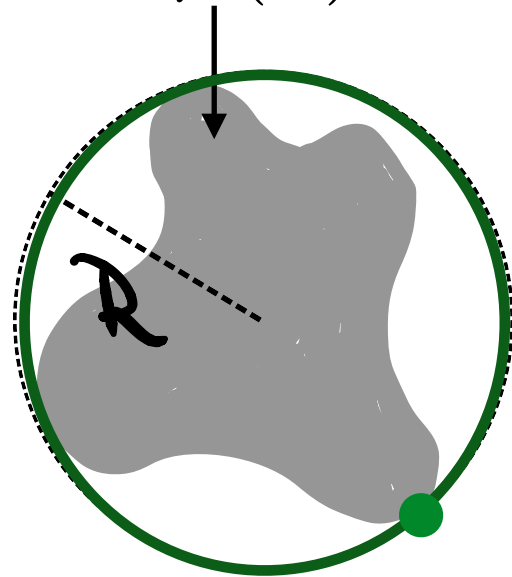
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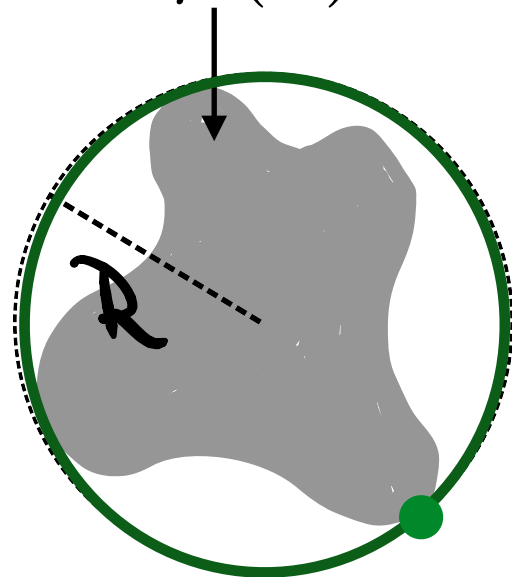
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Bounded

What **bounds** do moments satisfy?

# ALL Bounds $\leftrightarrow$ ALL Positive Polynomials

Bellazzini, Elias-Miro, Rattazzi, Riembau, FR'20

Bounds  
on  
EFT arcs  
 $\sim$  Wilson coef.

$$A_n = \int_0^1 d\mu(x) x^n$$

Bounds  
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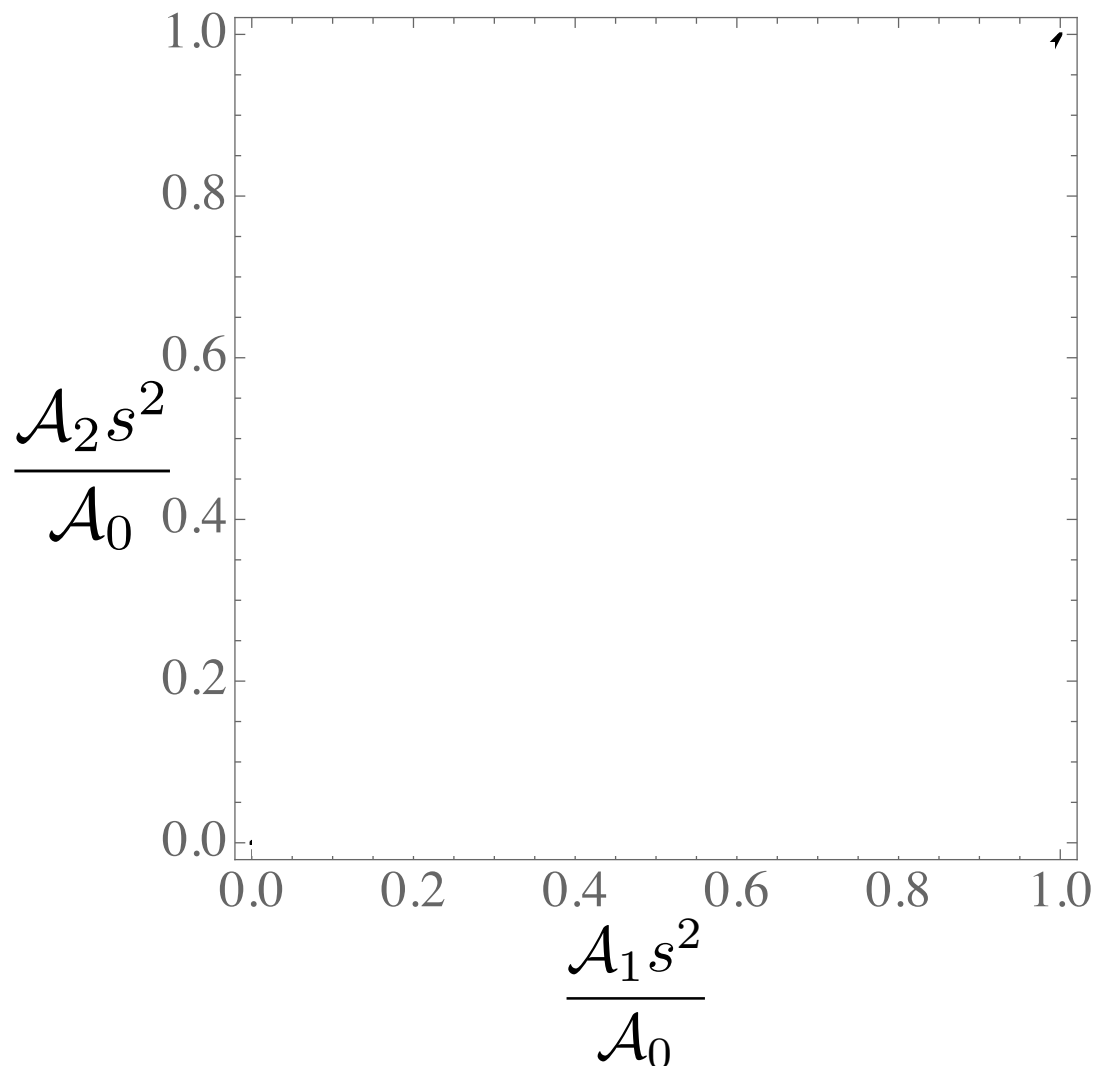
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For the first 3 arcs:



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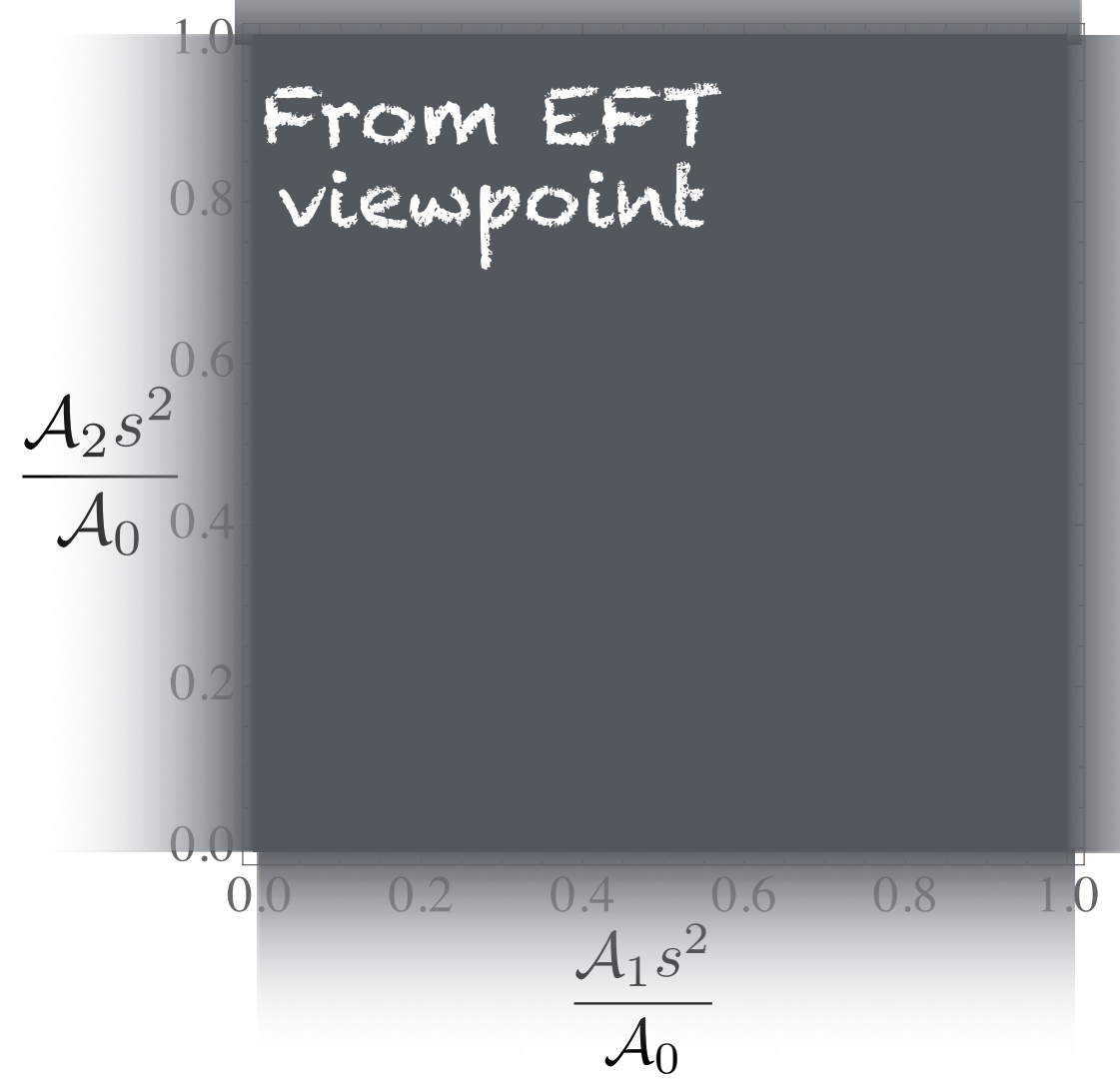
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For the first 3 arcs:

From EFT  
viewpoint





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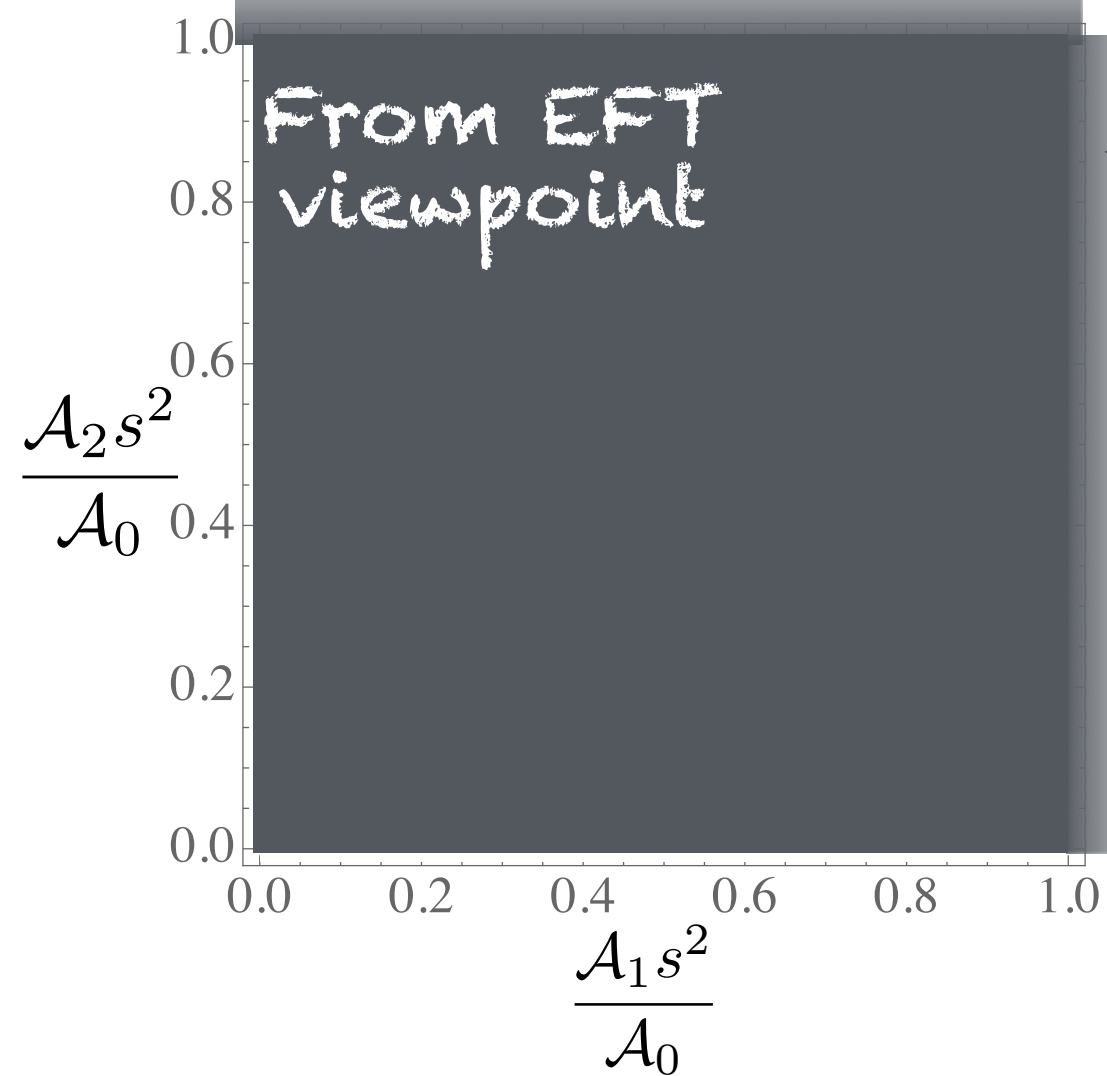
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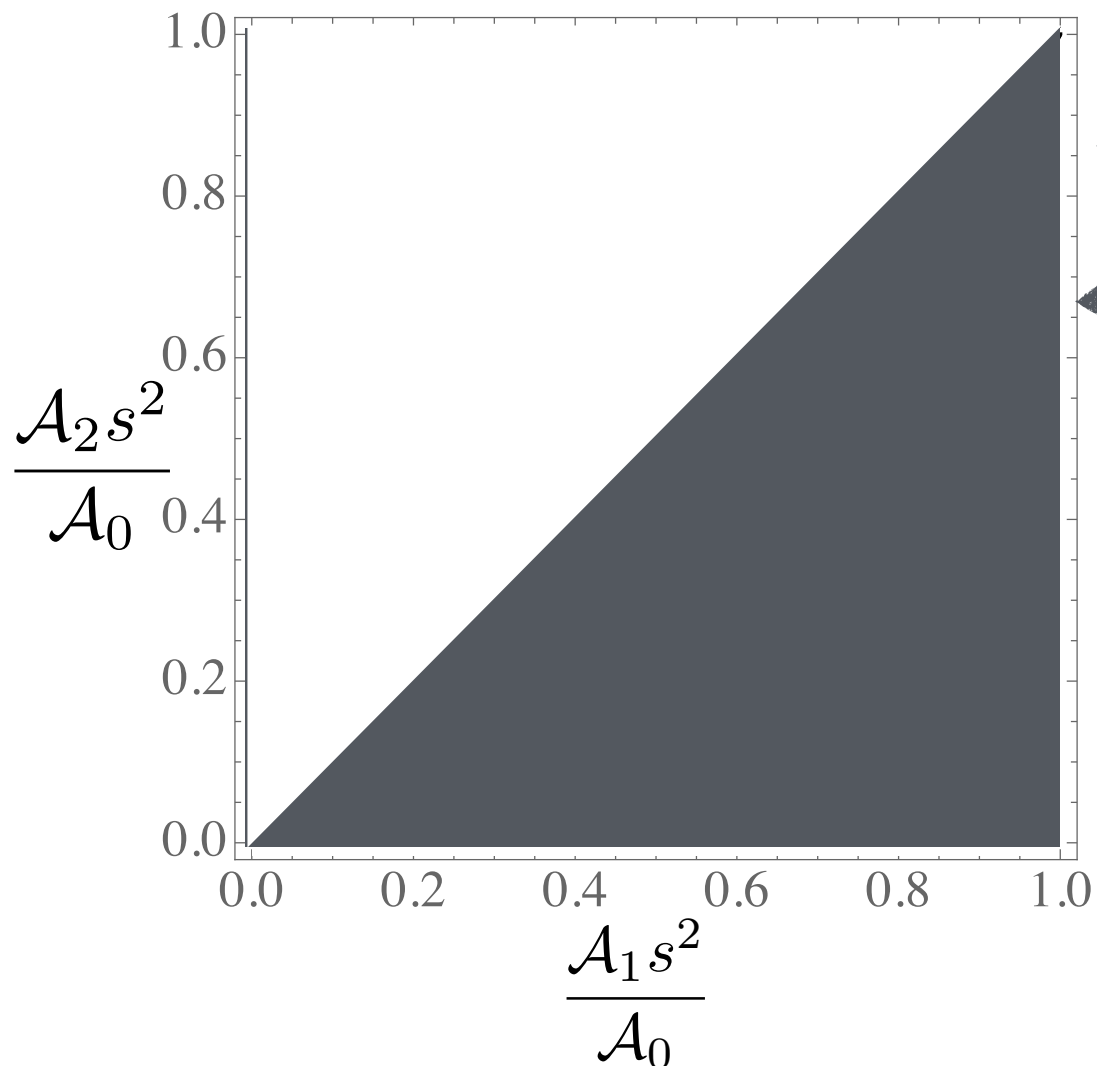
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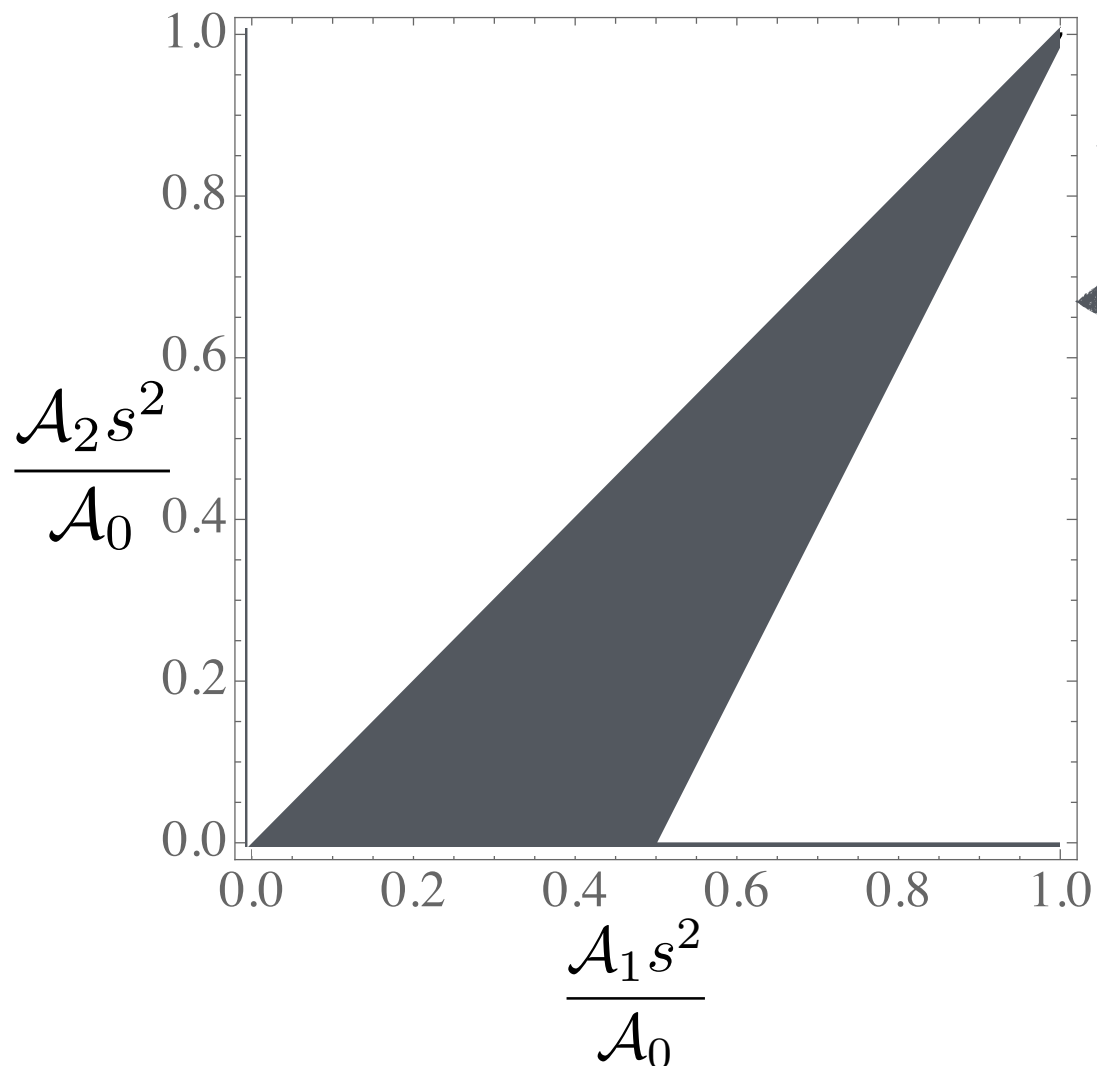
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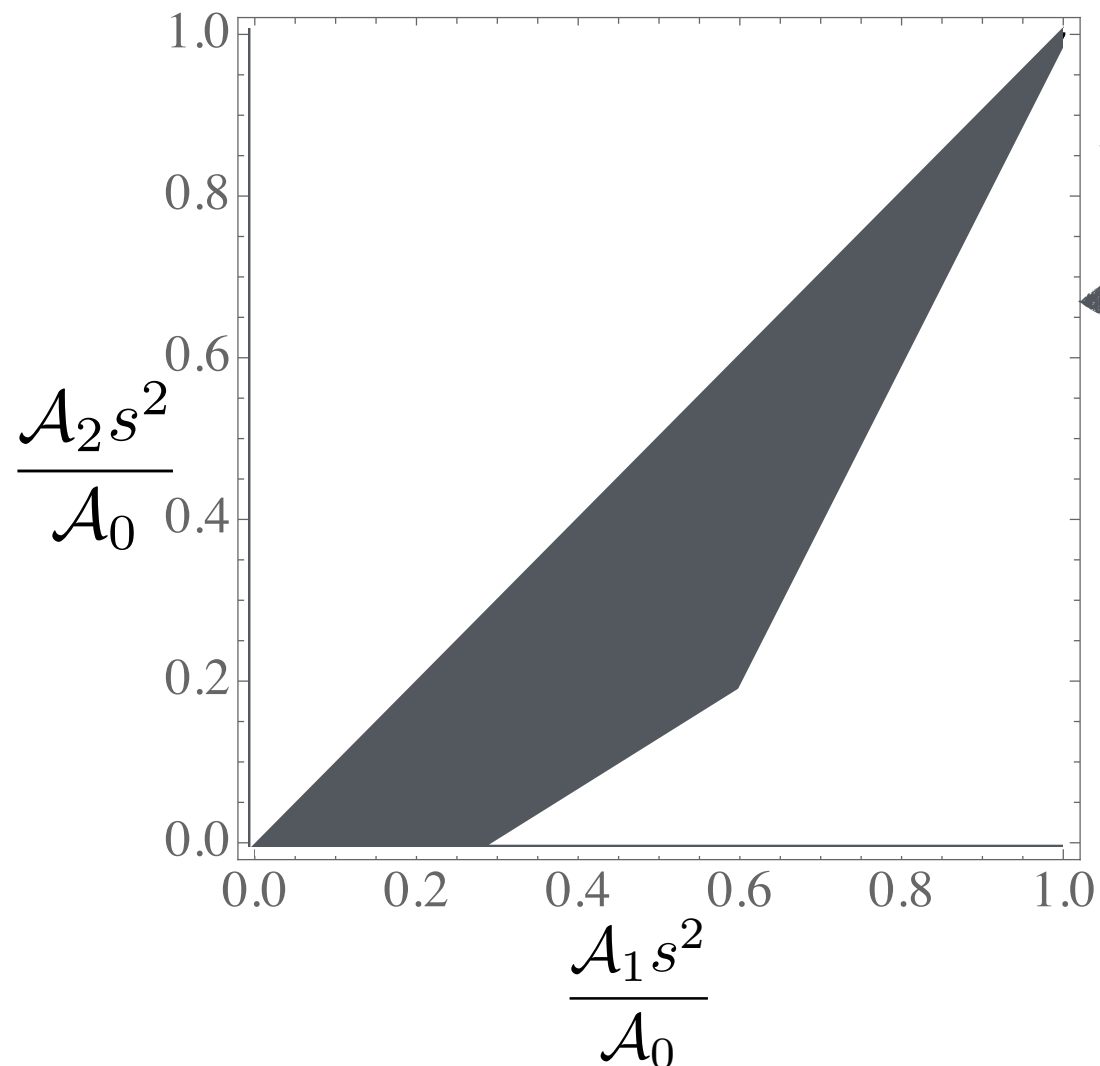
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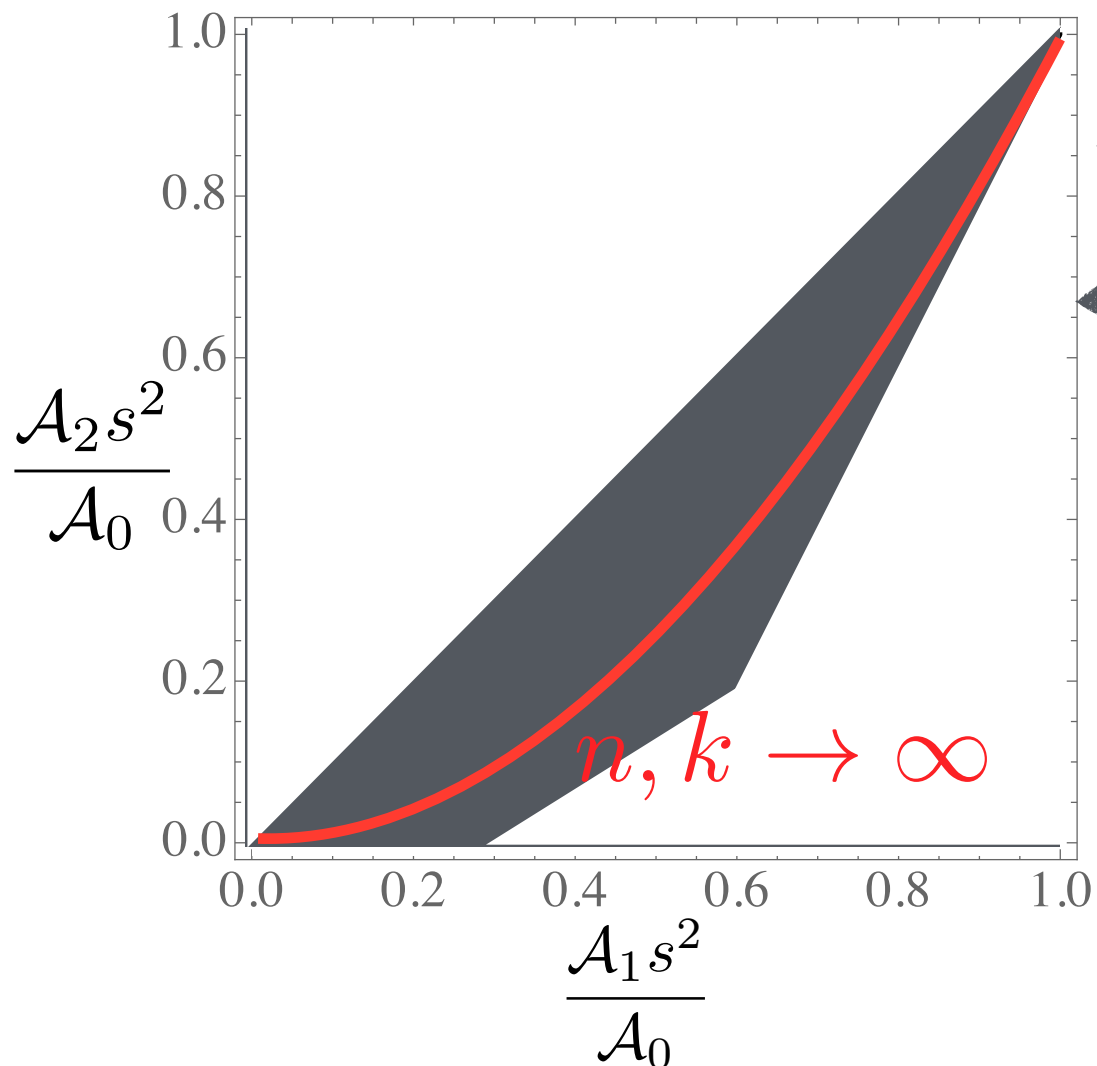
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ALL polynomials:

$$p = x^n (1 - x)^k$$

(Bernstein)

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Better: **finite** number of bounds for **finite** number of arcs  
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Polynomials with  $N \leq N_{\max}$

$$p = q_1^2 + xq_2^2 + (1-x)q_3^2 + x(1-x)q_4^2$$



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Must be Positive Definite

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Must be Positive Definite

For  $N_{\max}=4$   $0 \prec \begin{pmatrix} A_0 & A_1 & A_2 \\ A_1 & A_2 & A_3 \\ A_2 & A_3 & A_4 \end{pmatrix} \equiv H_4^0$  Hankel Matrix

# Optimal Bounds for EFTs

Bellazzini, Elias-Miro, Rattazzi, Riembau, FR'20

ALL **Optimal** conditions involving  $N$  arcs  
**only**, written as Hankel Matrices:

e.g.  $H_4^0 \equiv \begin{pmatrix} \mathcal{A}_0 & \mathcal{A}_1 & \mathcal{A}_2 \\ \mathcal{A}_1 & \mathcal{A}_2 & \mathcal{A}_3 \\ \mathcal{A}_2 & \mathcal{A}_3 & \mathcal{A}_4 \end{pmatrix}$

$$\begin{aligned} H_N^0 &\succ 0 \\ H_N^1 &\succ 0 \\ H_{N-1}^0 - \hat{s}^2 H_N^1 &\succ 0 \\ H_{N-1}^1 - \hat{s}^2 H_N^2 &\succ 0 \end{aligned}$$

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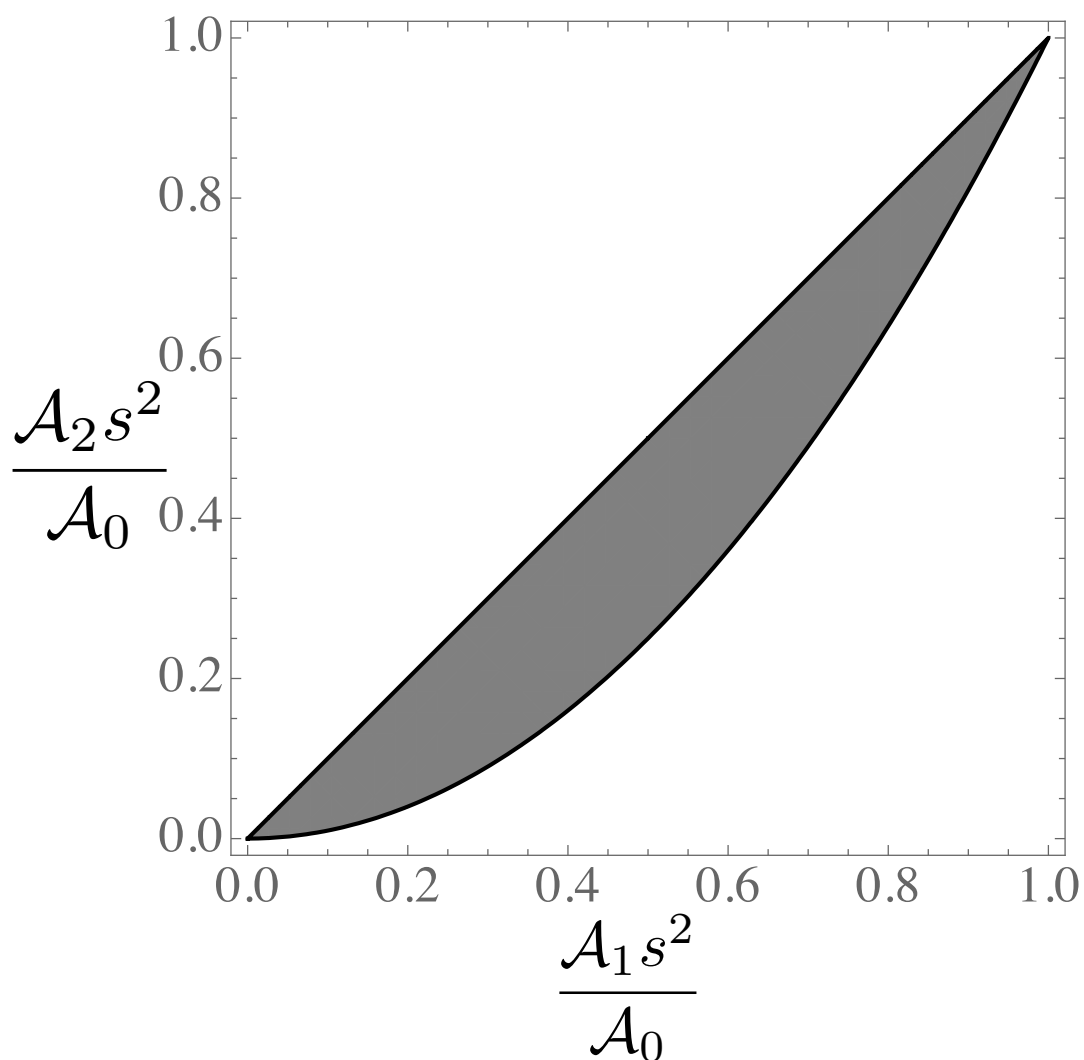
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...up to 3 arcs...

$$A_0 > s^2 A_1 \quad A_1 > s^2 A_2 \quad A_1^2 < A_2 A_0$$



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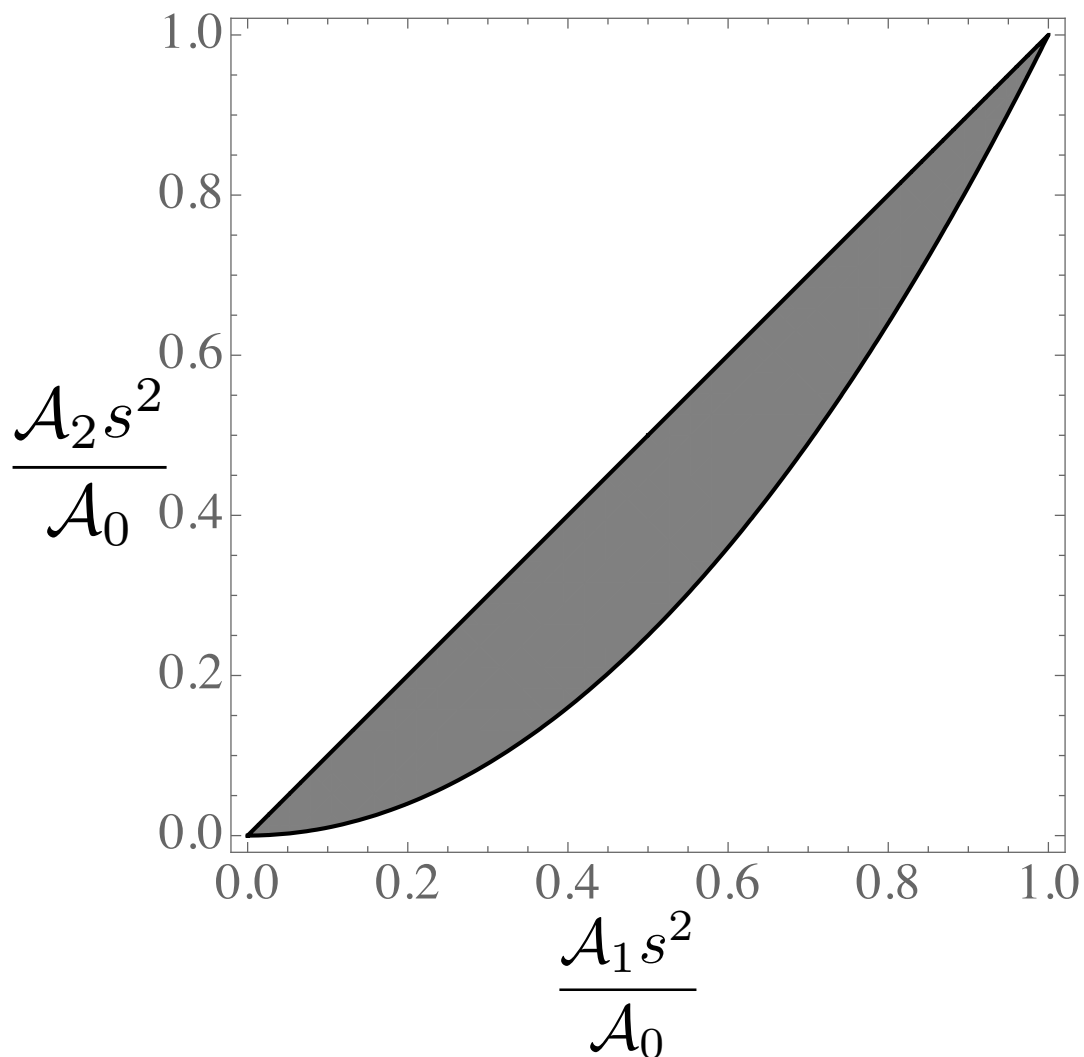
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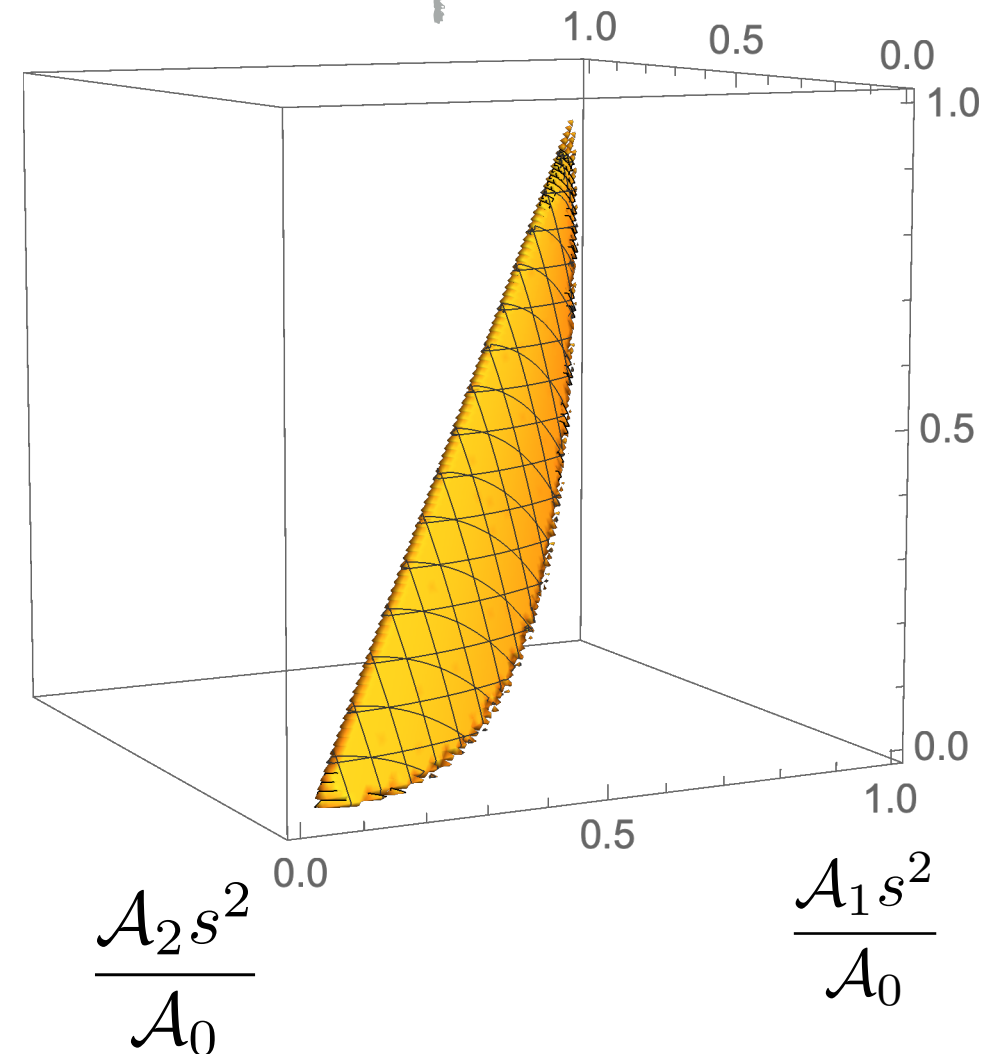
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$$A_0 > s^2 A_1 \quad A_1 > s^2 A_2 \quad A_1^2 < A_2 A_0$$

...up to 4 arcs...



$$\frac{A_3 s^6}{A_0}$$



### 3. Applications at tree-level

# 1. Super-Softness

Forward Limit, tree-level:

$$A(s) = c_0 + c_2 s^2 + c_4 s^4 + c_6 s^6 + c_8 s^8 + \dots$$



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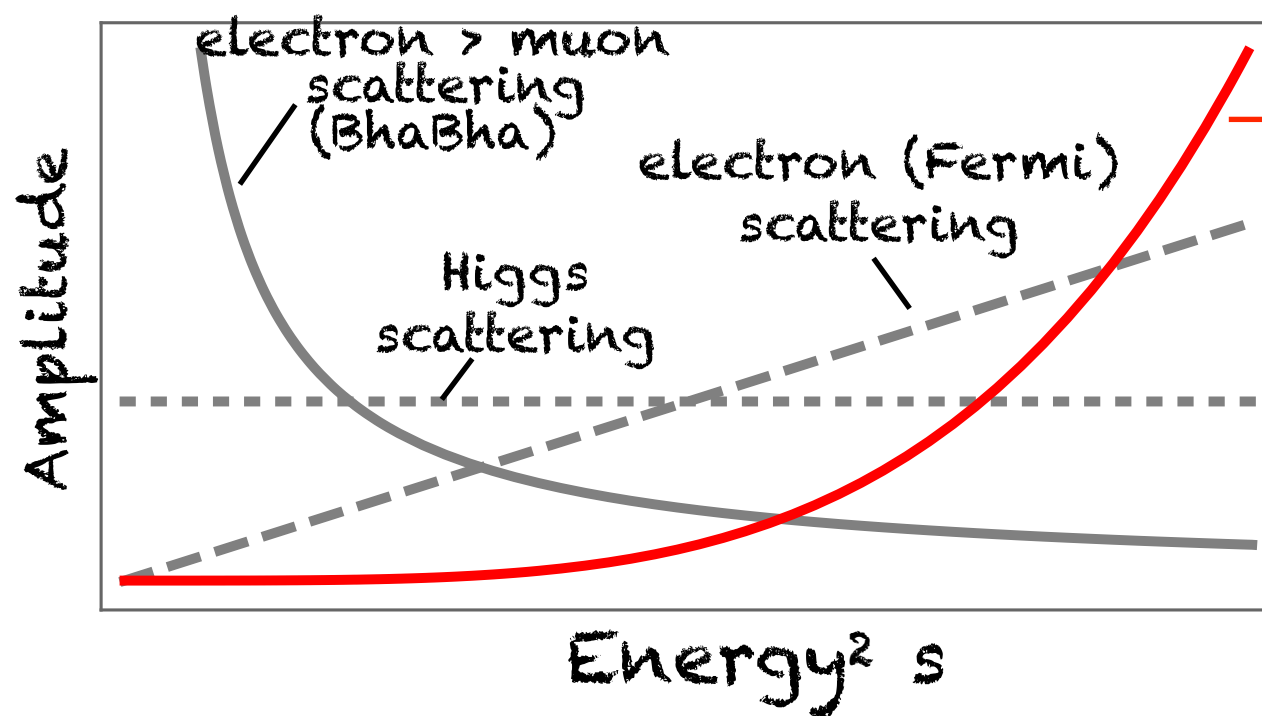
**Question:** can the scattering amplitude grow fast with energy  $A(s, 0) \sim s^n$  in some regime? (supersoft)

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**is this possible?**

(it's natural and IR consistent, see intro)

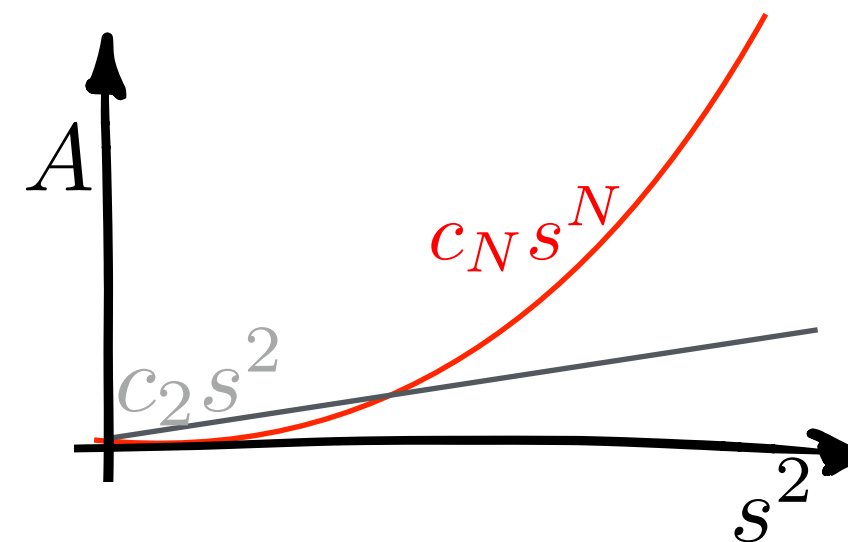
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Bellazzini, Elias-Miro, Rattazzi, Riembau, FR'20

see also  
Englert, Giudice, Greljo, McCullough'19,  
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Arcs in tree-level approximation:

$$A(s) = \cancel{c_0} + c_2 s^2 + c_4 s^4 + c_6 s^6 + \dots$$



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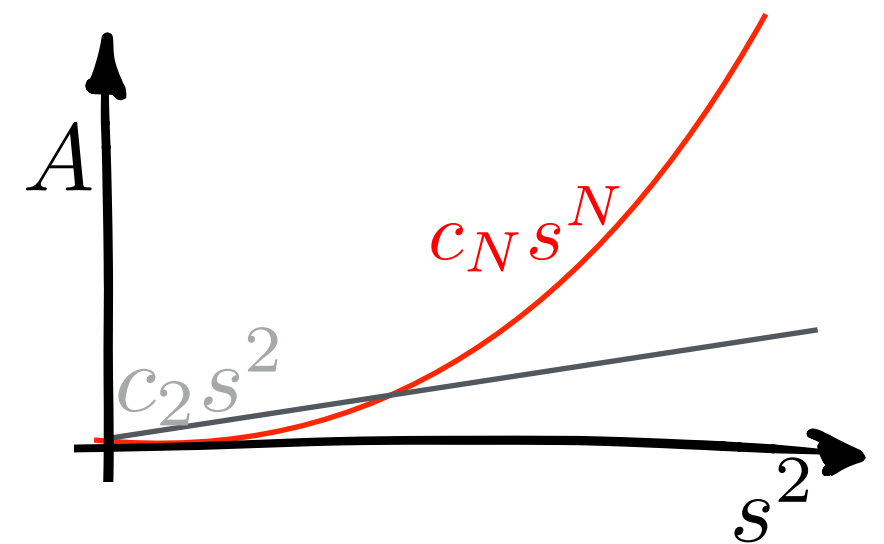
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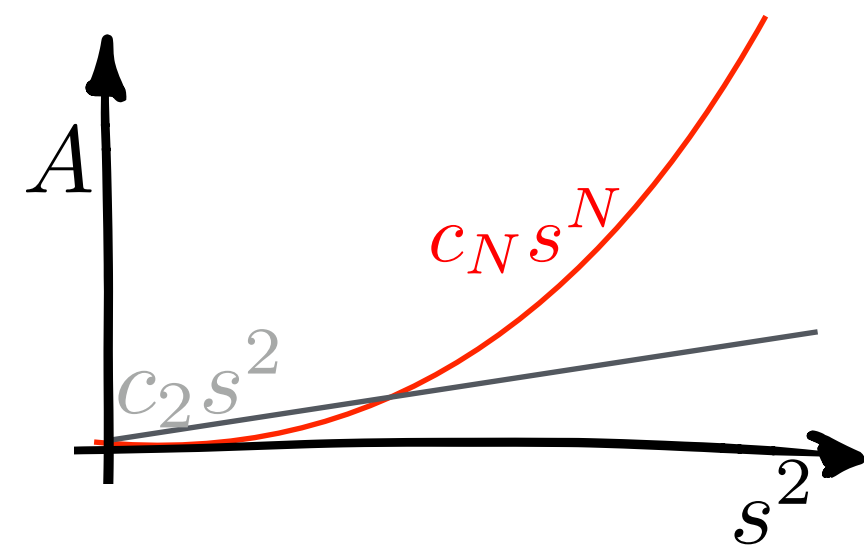
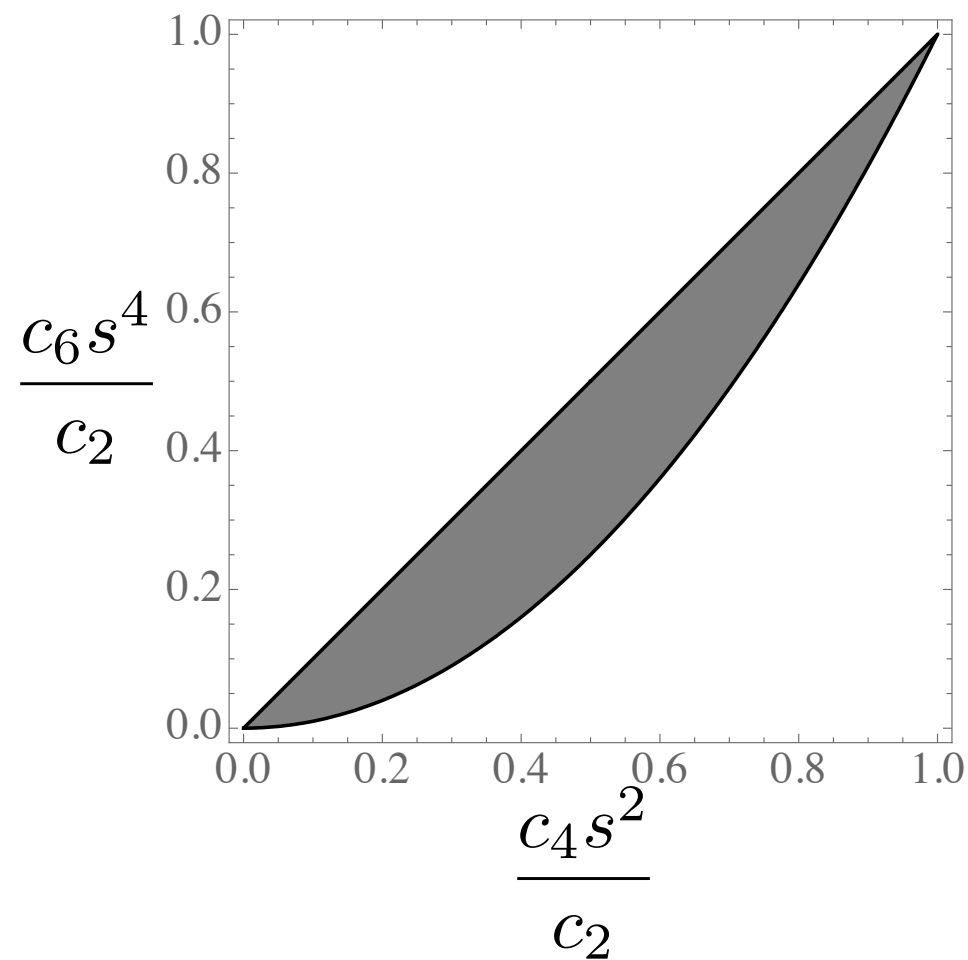
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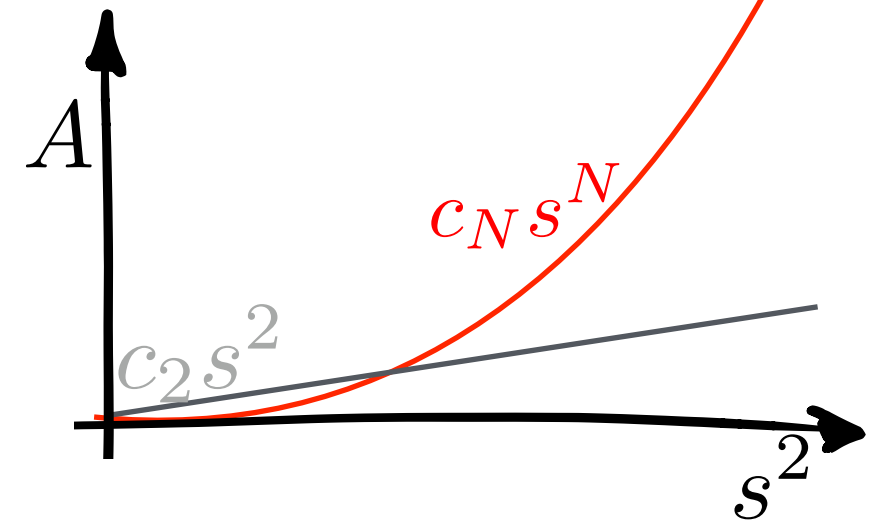
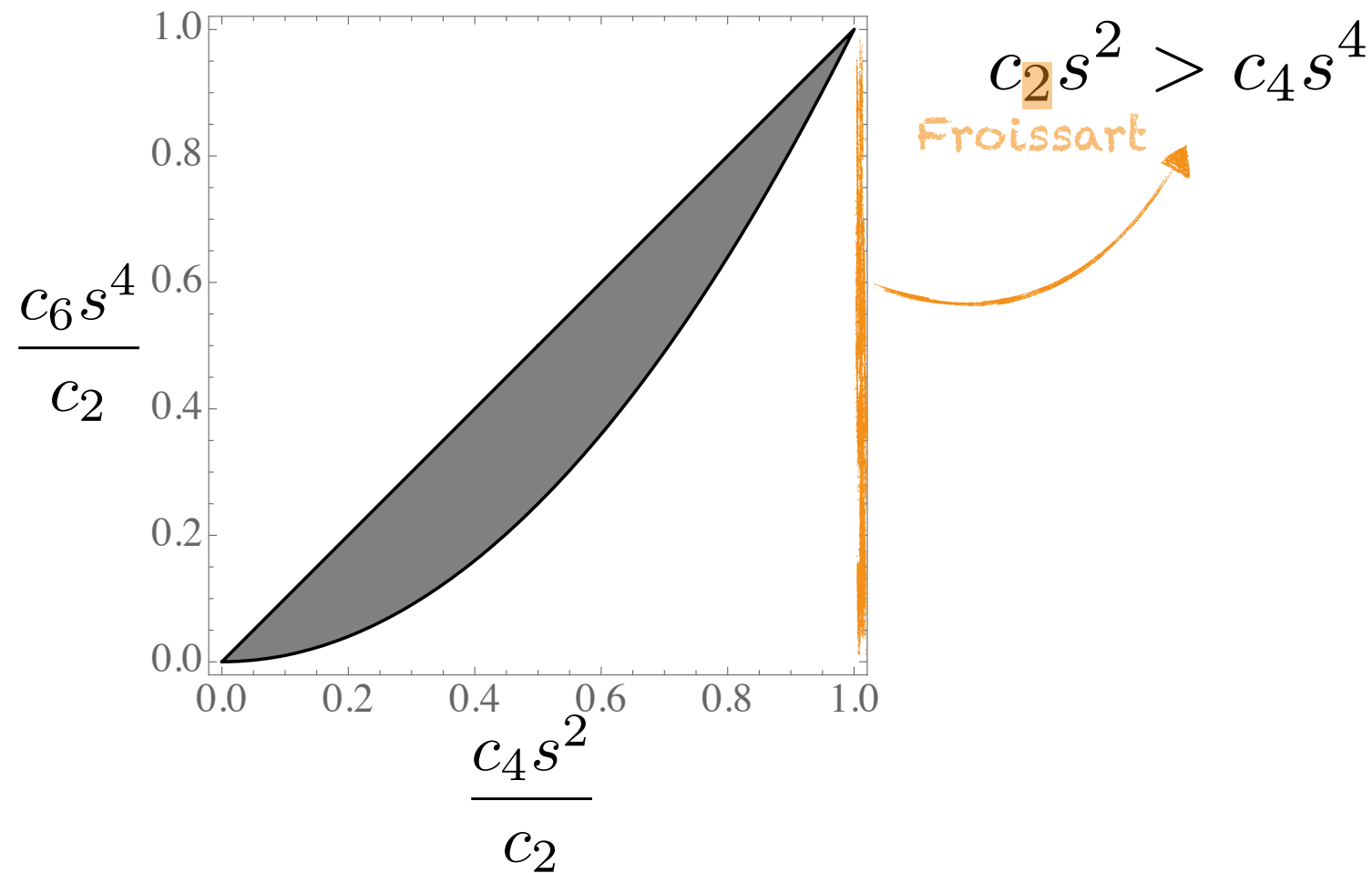
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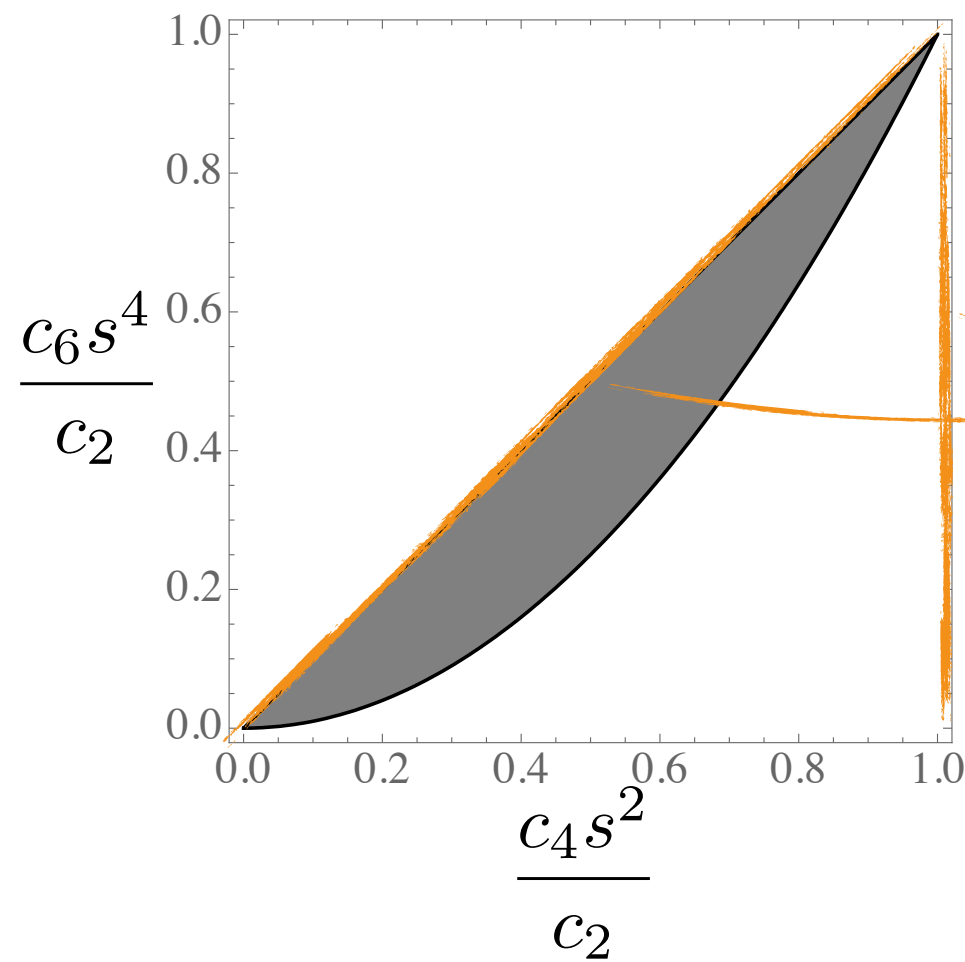
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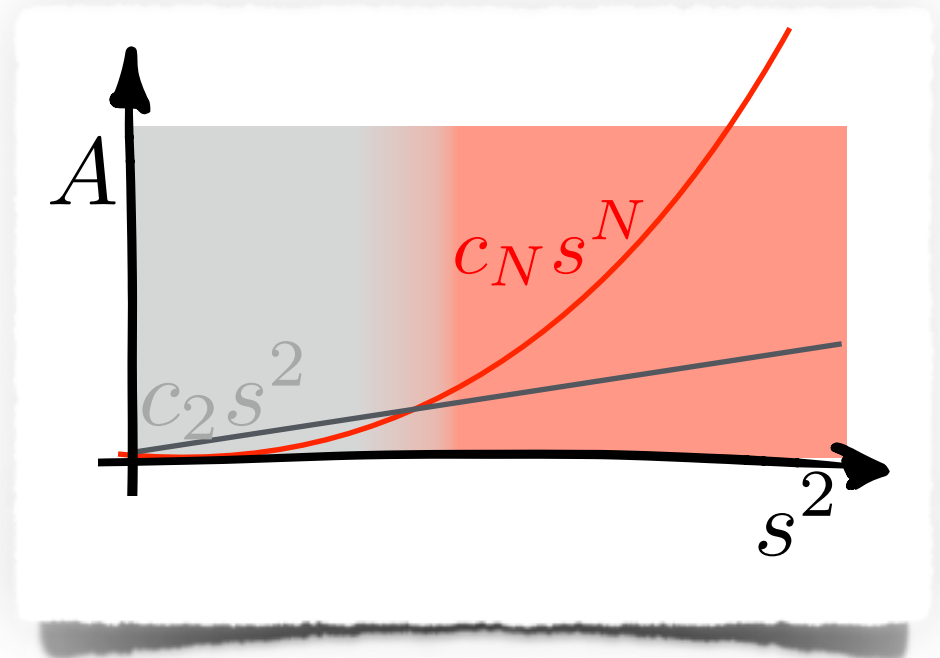
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Froissart



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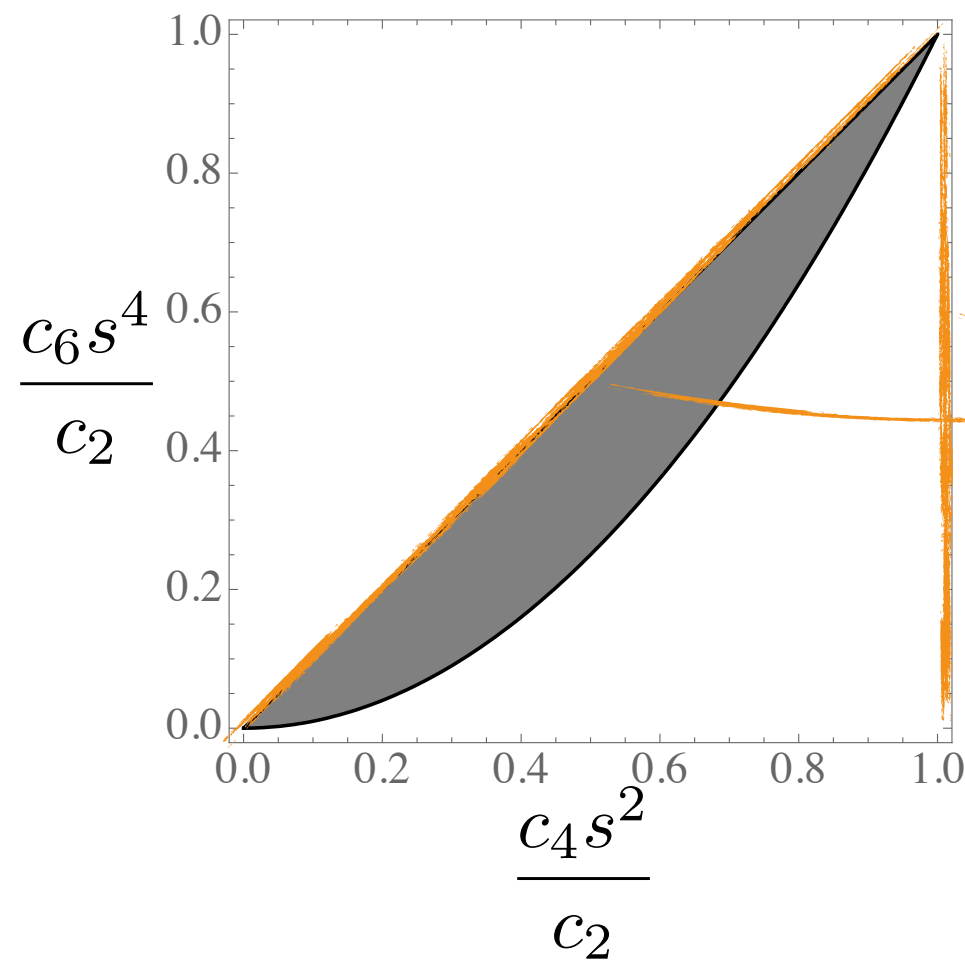
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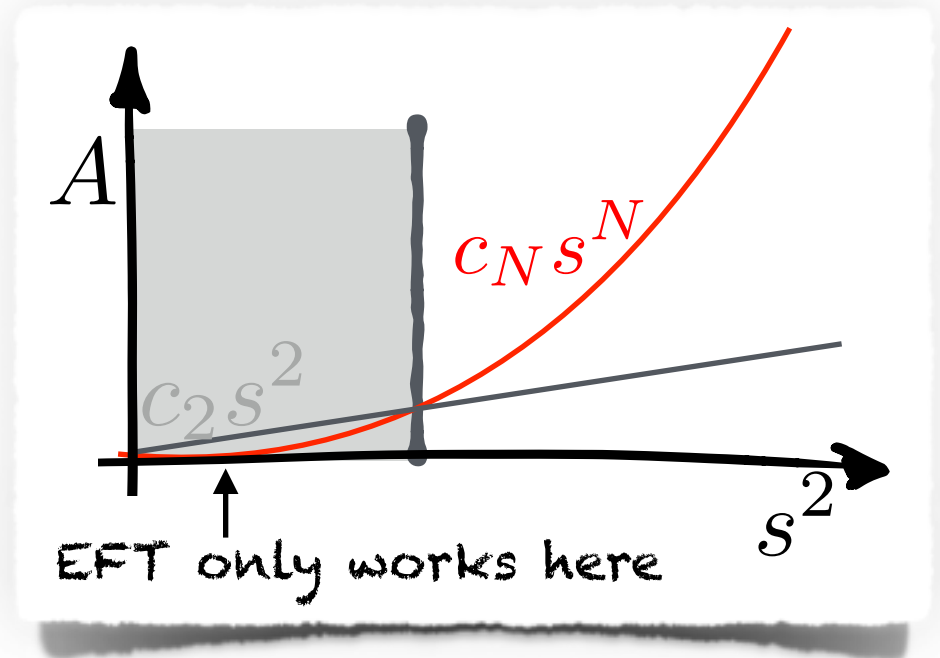
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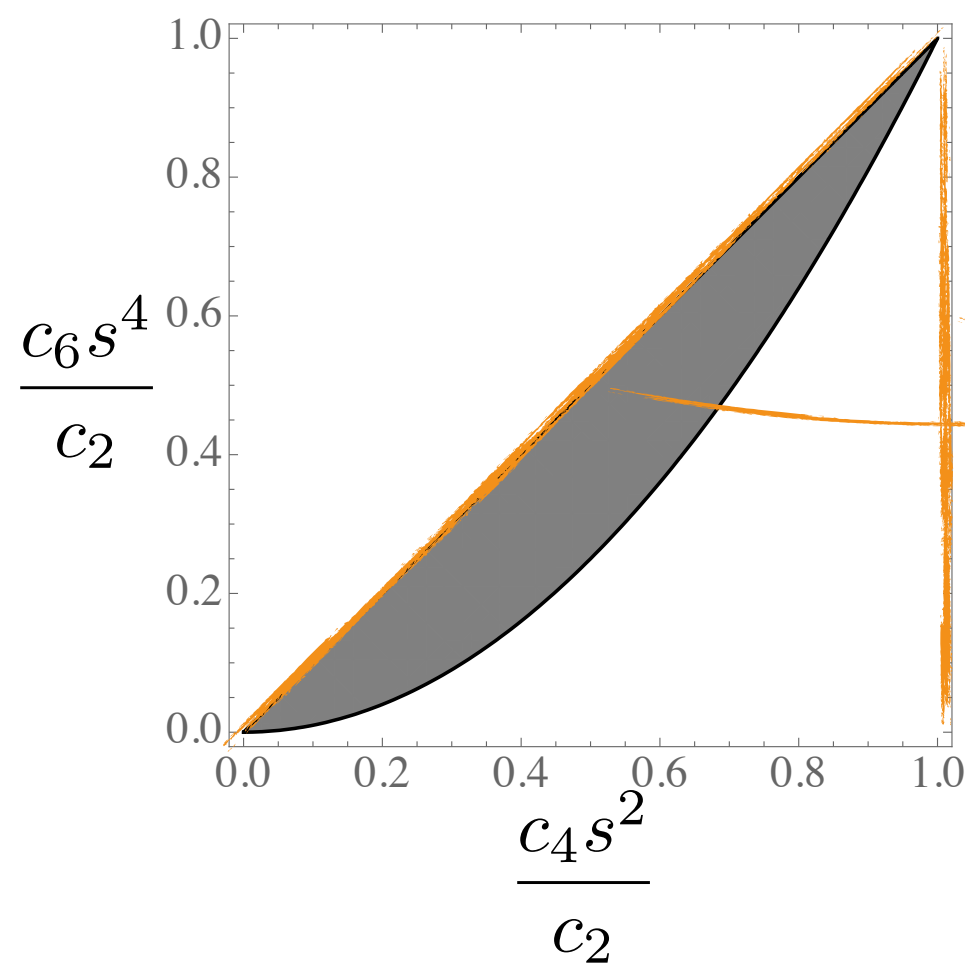
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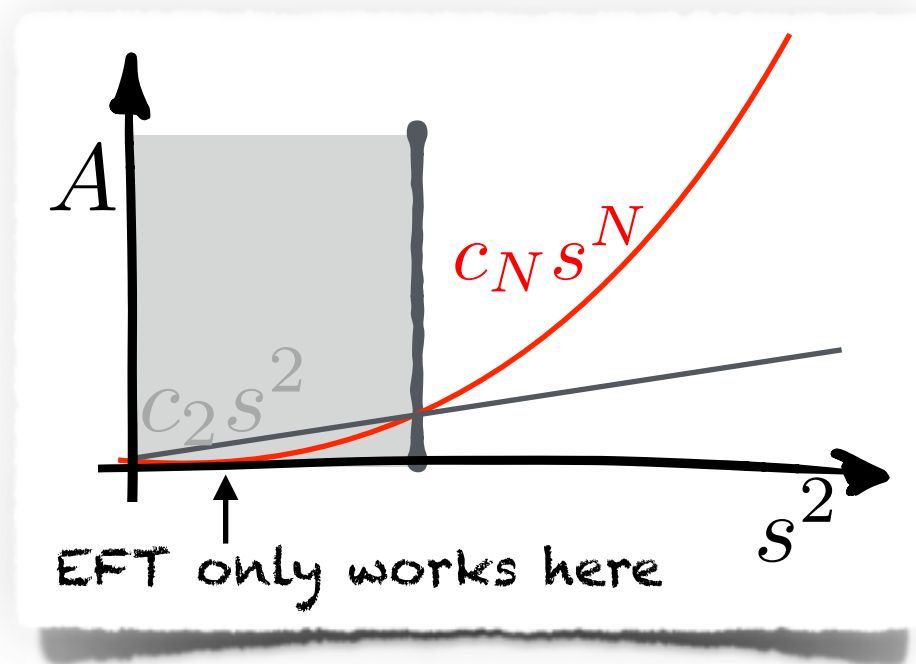
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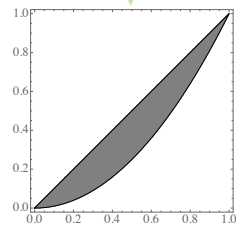
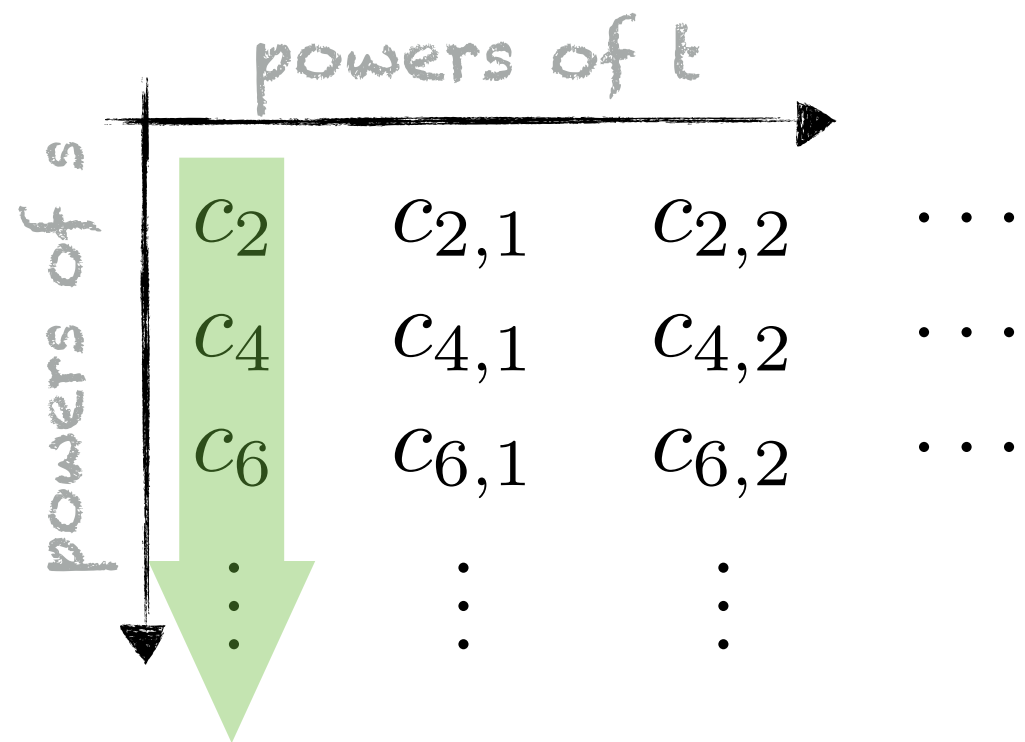


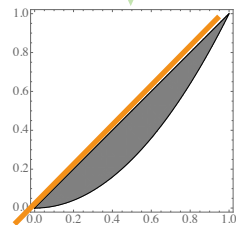
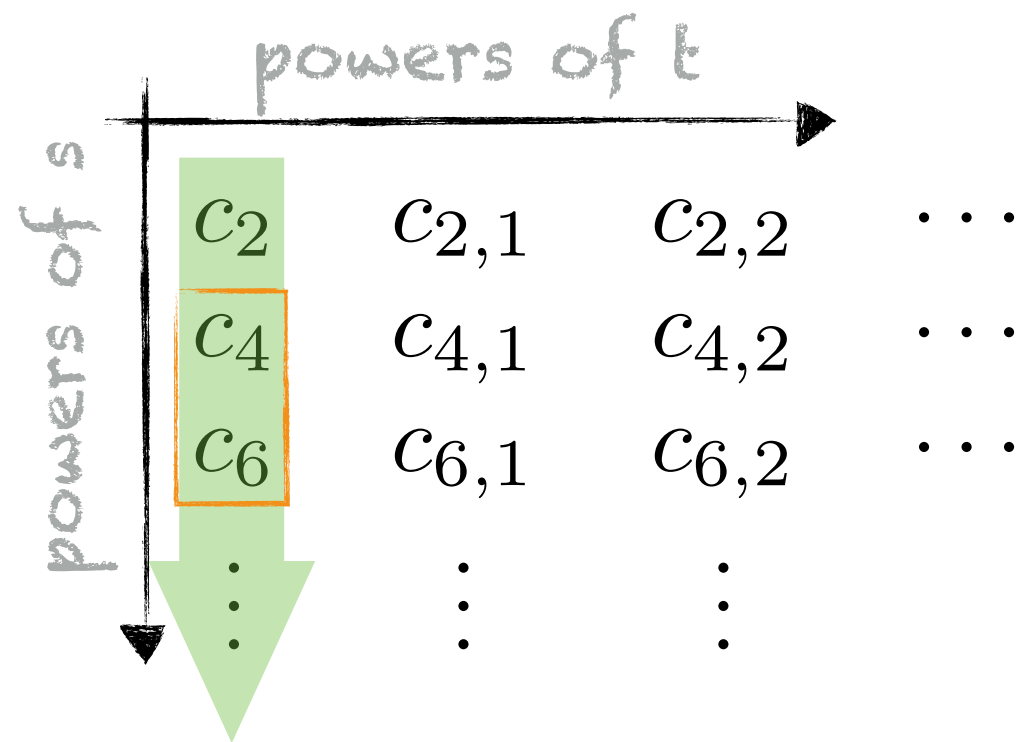
Optimal bounds involving  $s$ , have **info on theory cutoff!**

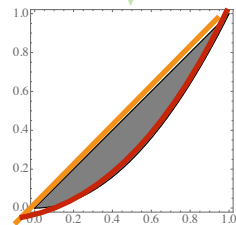
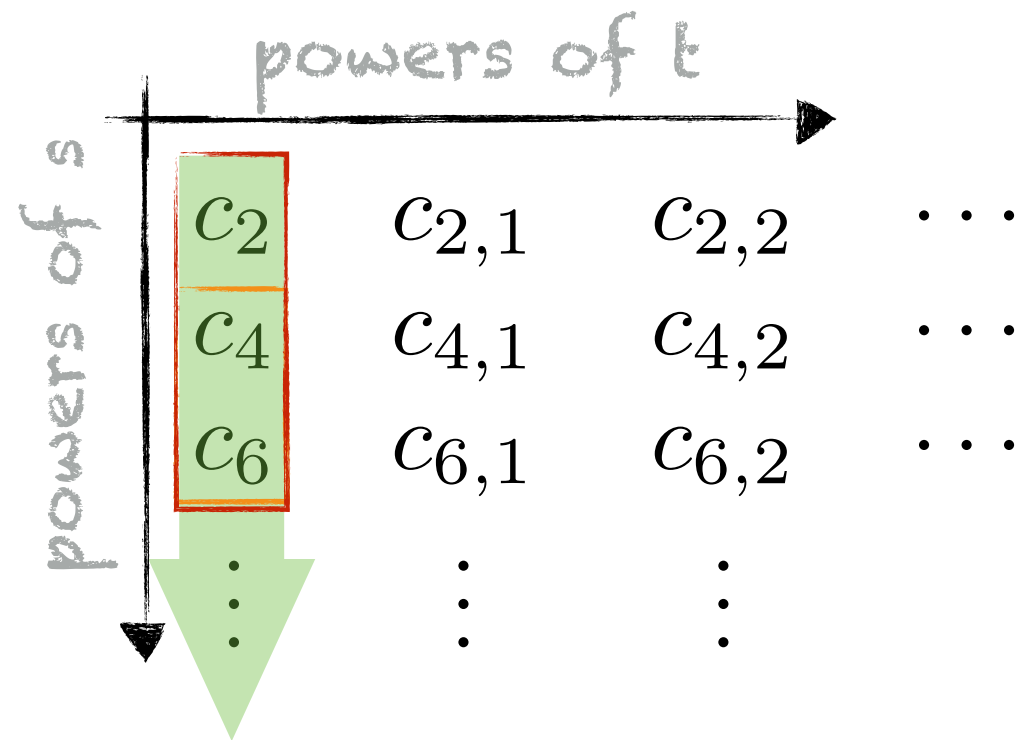
Supersoft theories have low cutoff... so low that supersoftness unobservable!

powers of  $t$

powers of $s$	$C_2$	$C_{2,1}$	$C_{2,2}$	$\dots$
$C_4$	$C_{4,1}$	$C_{4,2}$	$\dots$	
$C_6$	$C_{6,1}$	$C_{6,2}$	$\dots$	
$\vdots$	$\vdots$	$\vdots$		
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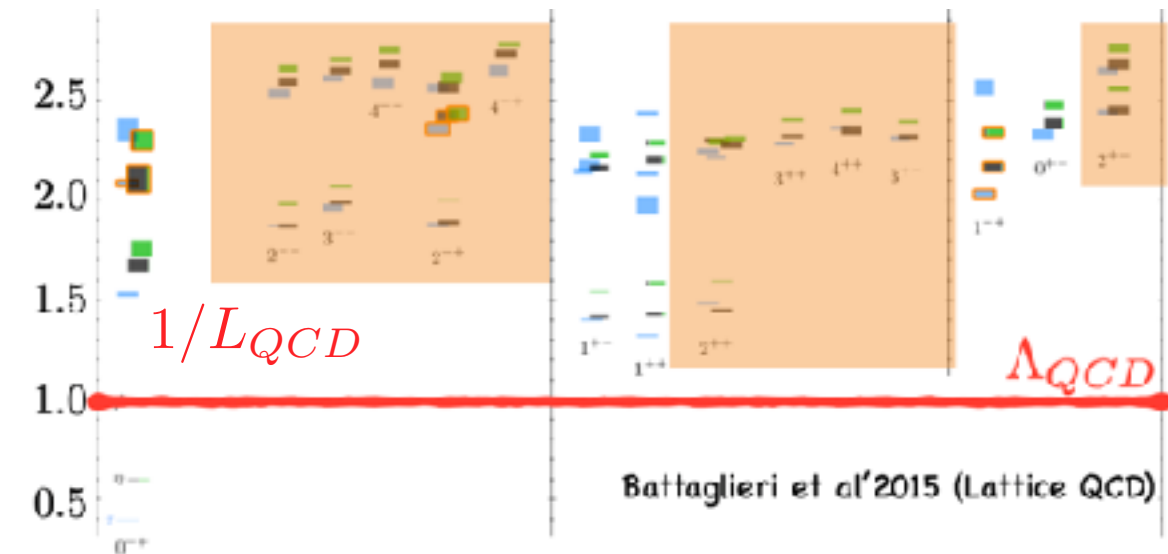
## 2. Massive Higher Spin

Bellazzini, Serra, Sgarlata, FR'19

$$\Phi^{\mu_1 \cdots \mu_J}$$

Higher Spin resonances exist in QCD, Nuclei/atoms, Strings, ...  
( $J > 2$ )

$$m_{HS} \gtrsim \frac{1}{L_{HS}}$$



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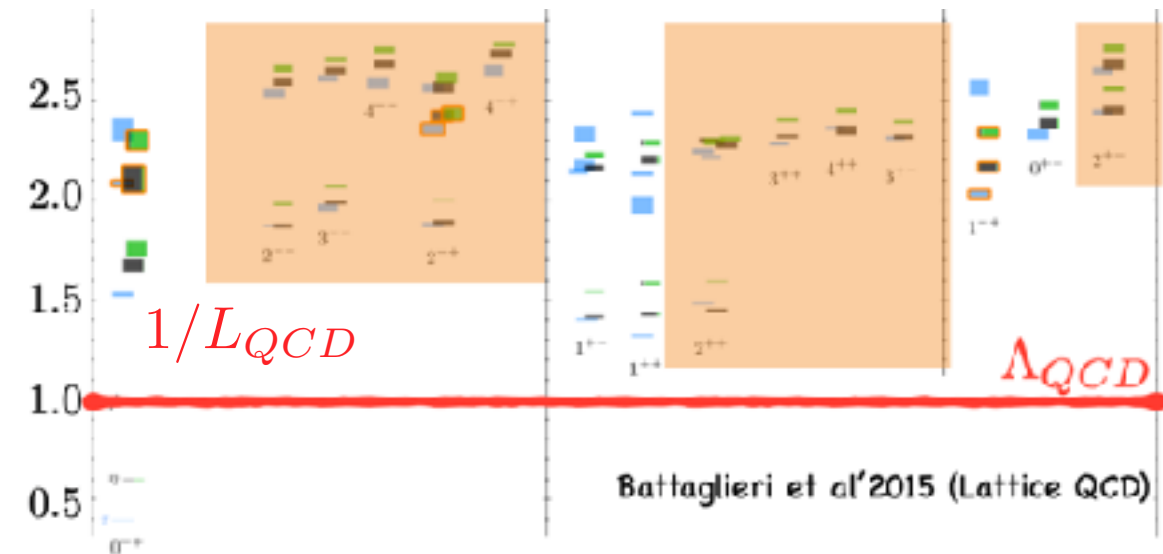
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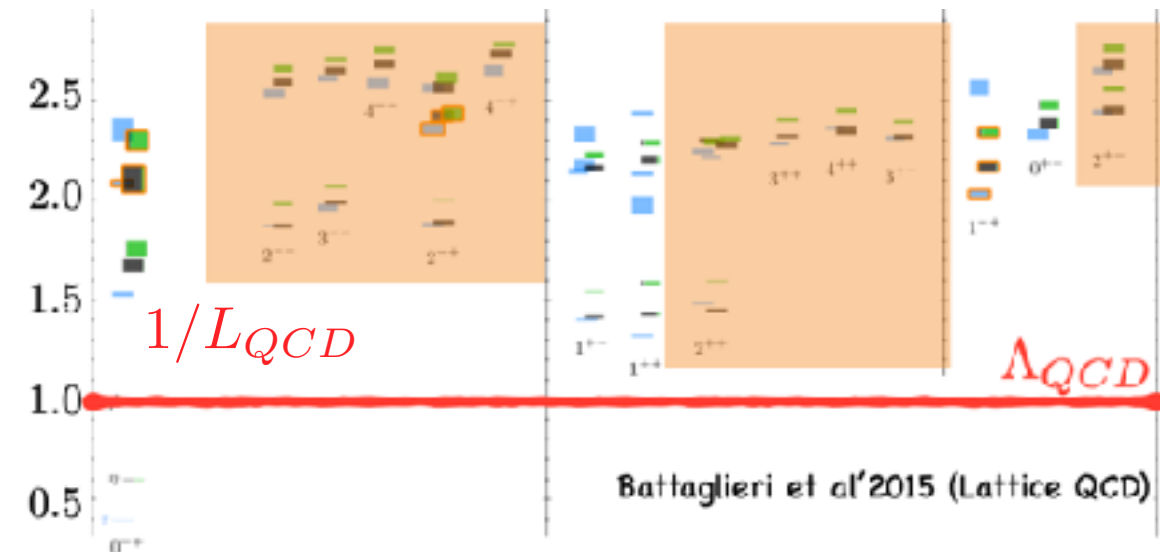
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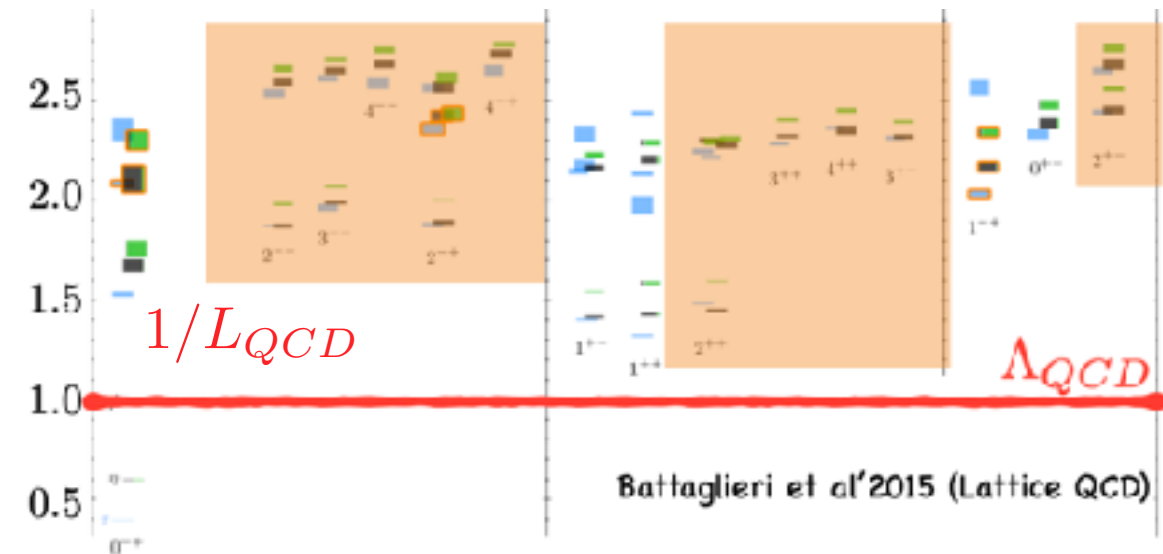
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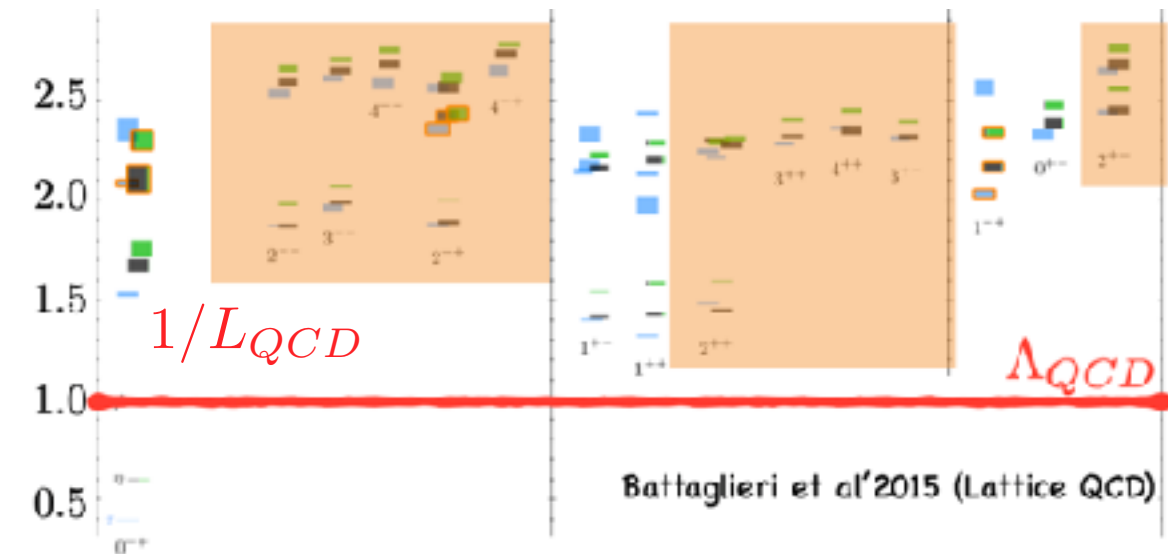
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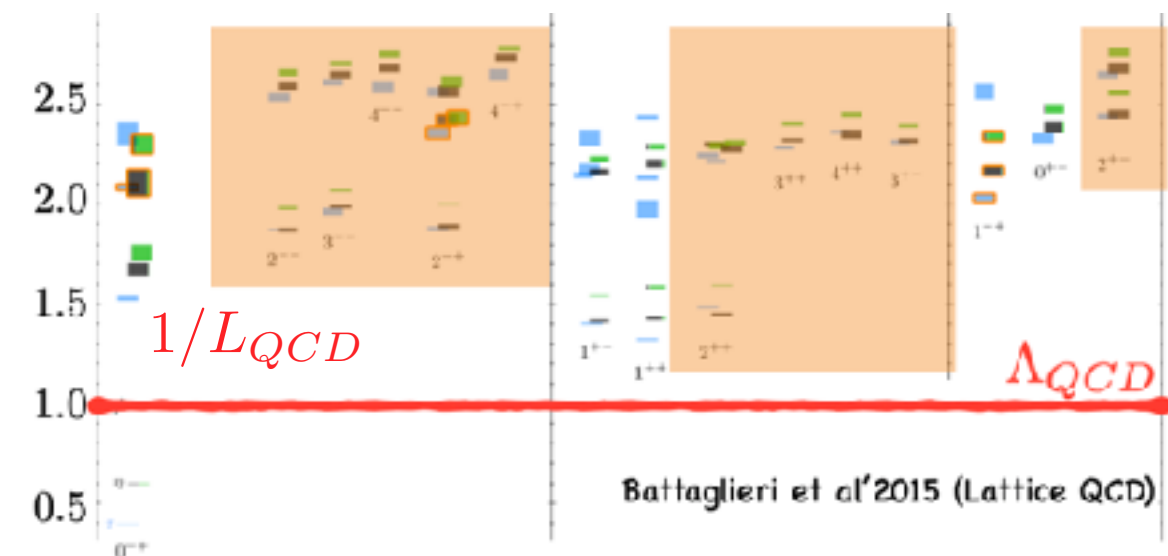
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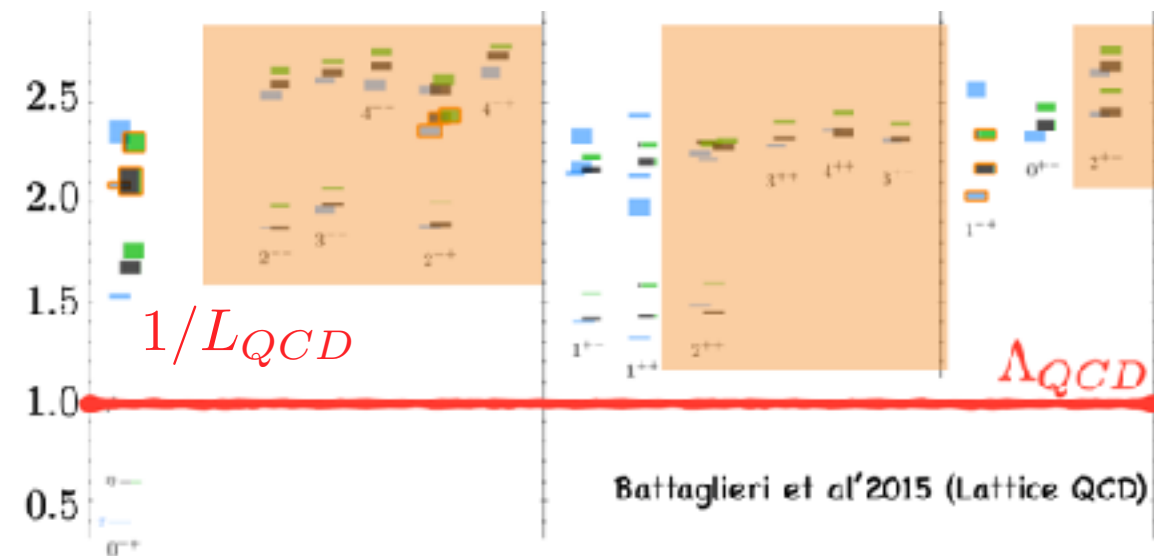
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$$m_{HS} \gtrsim \frac{1}{L_{HS}} \leftarrow \propto c_2 > \frac{1}{s}^{2J-2} c_{2J}$$

Higher Spin always heavier than their size<sup>-1</sup>

### 3. Finite-t supersoftness and Galileons

Beyond forward:

$$A_{2 \rightarrow 2}(s, t) = c_0 + c_2 s^2 + c_{2,1} s^2 t + c_4 s^4 + \dots + c_{n,m} s^n t^m$$

### 3. Finite-t supersoftness and Galileons

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Galileon Nicolis, Rattazzi, Trincherini'08

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powers of t

	$c_2$	$c_{2,1}$	$c_{2,2}$	$\dots$
	$c_4$	$c_{4,1}$	$c_{4,2}$	$\dots$
	$c_6$	$c_{6,1}$	$c_{6,2}$	$\dots$
	$\vdots$	$\vdots$	$\vdots$	
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powers of s

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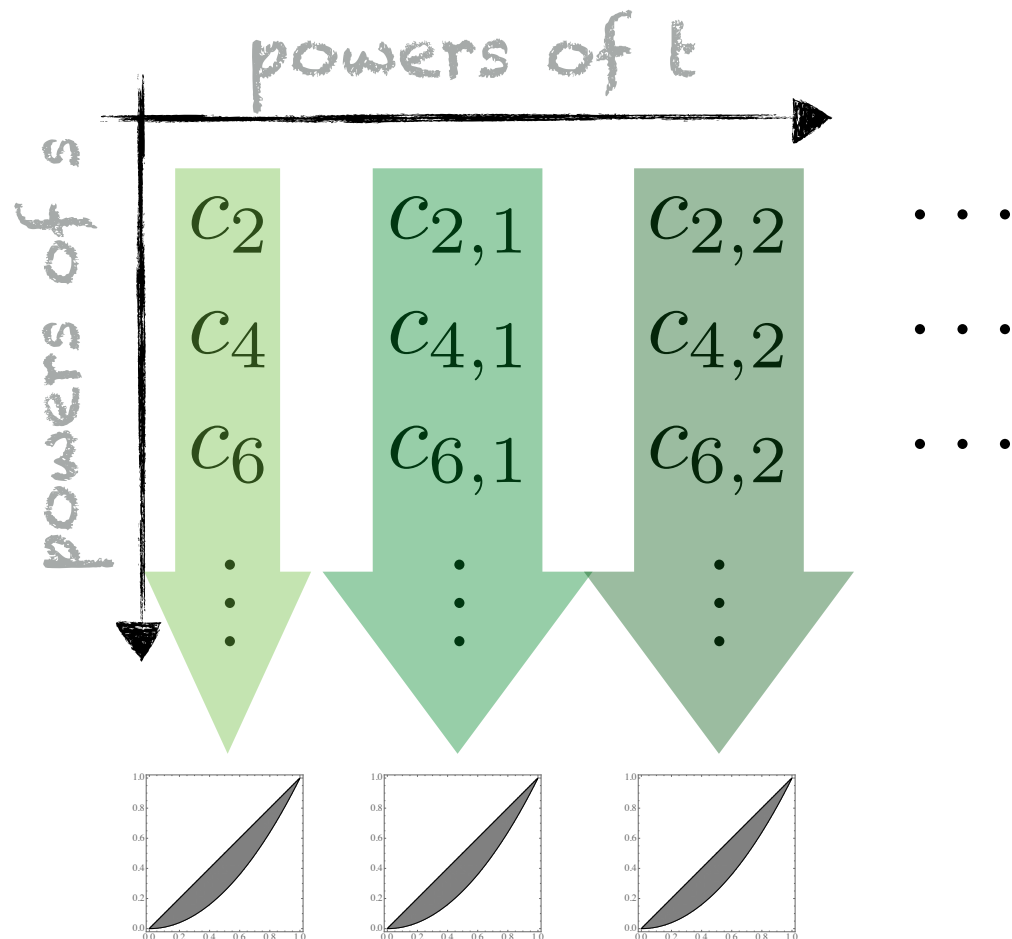
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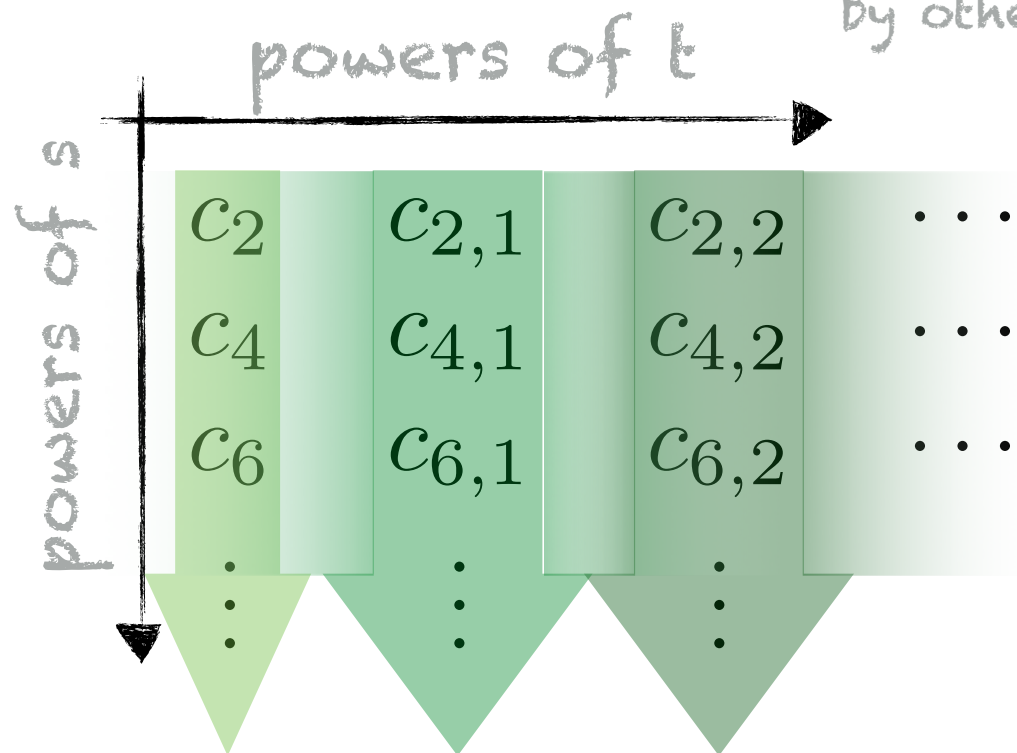
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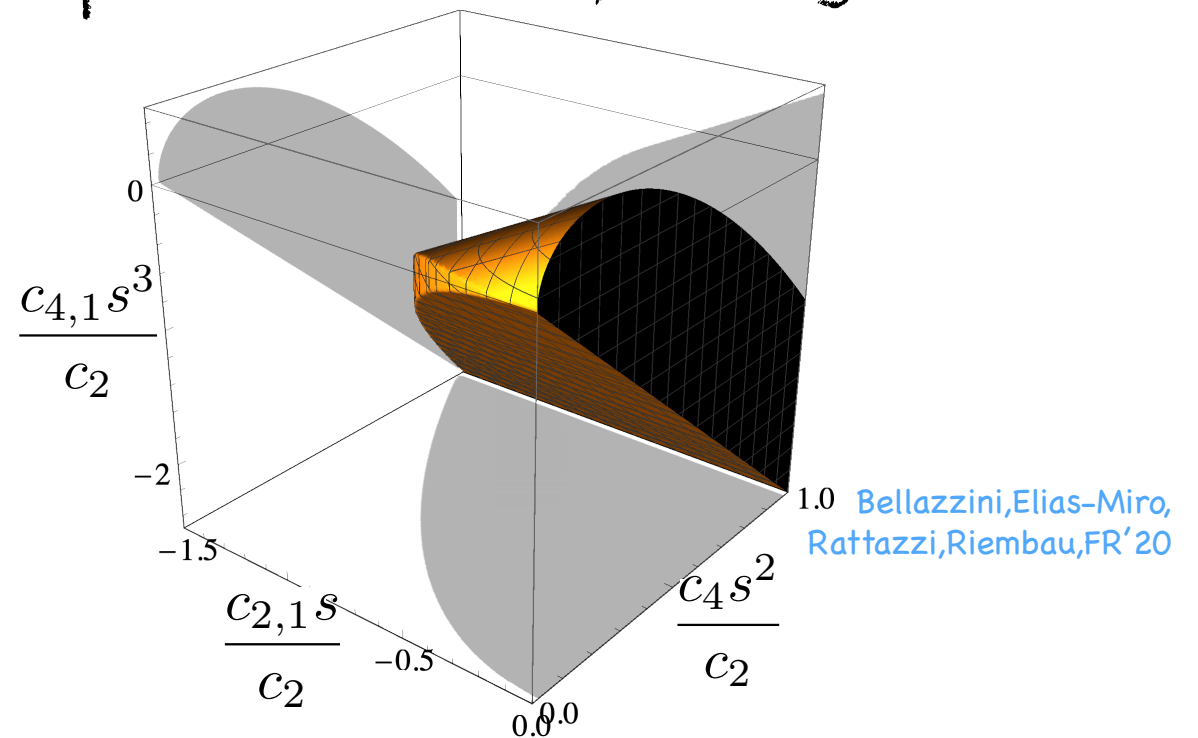
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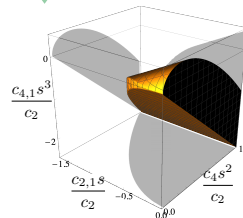
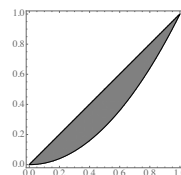
Negative, but limited by other moments



Optimal bounds for single t-derivative:



1.0 Bellazzini, Elias-Miro, Rattazzi, Riemann, FR'20



# 3. Finite-t supersoftness and Galileons

Beyond forward:

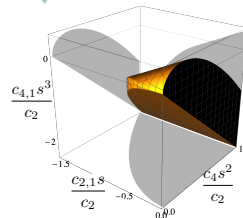
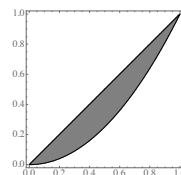
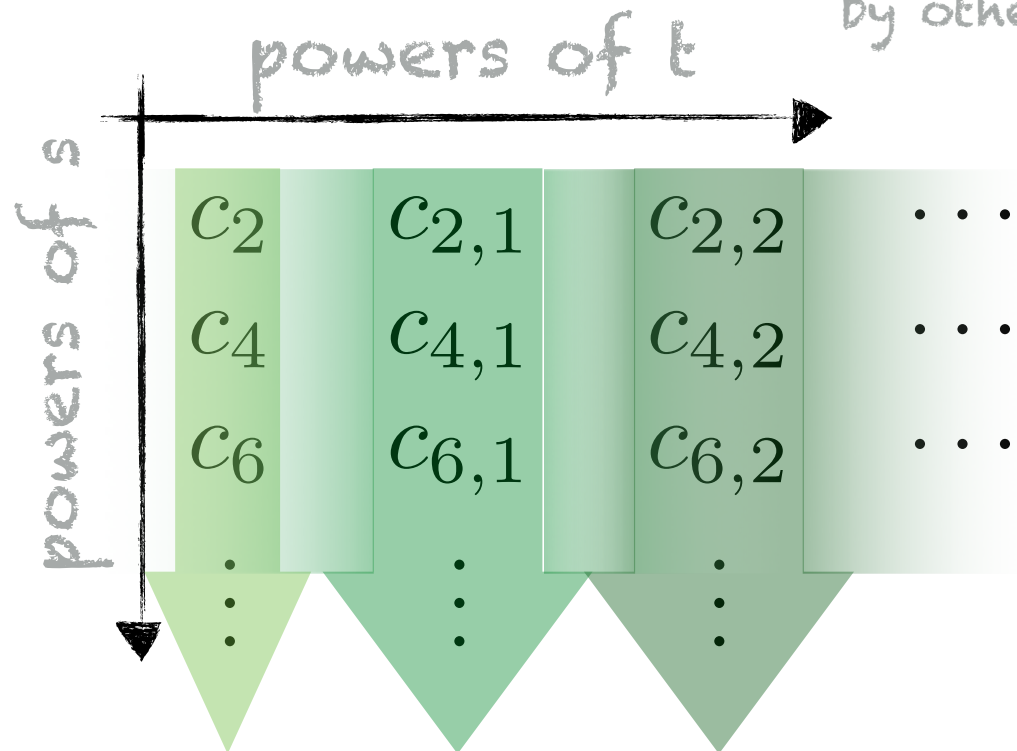
Galileon Nicolis,Rattazzi,Trincherini'08

$$A_{2 \rightarrow 2}(s, t) = c_0 + c_2 s^2 + c_{2,1} s^2 t + c_4 s^4 + \dots + c_{n,m} s^n t^m$$

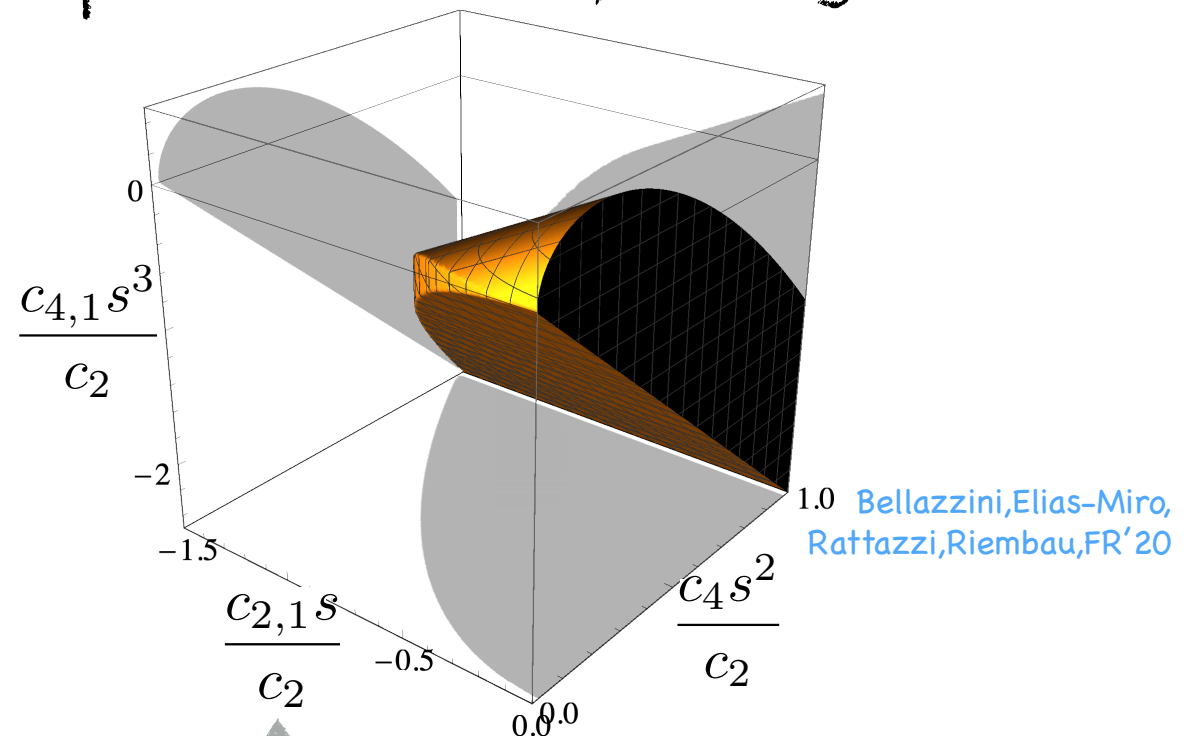
At tree level:

→  $c_{p,q} = \partial_t^q A_n(s, t) = \partial_t^q \frac{2}{\pi} \int_s^\infty ds' \frac{\text{Im}A(s', t)}{(\hat{s}' + \frac{t}{2})^{2n+3}}$   $\partial_t^q \text{Im}A|_{t=0} > 0$  Martin'65

Negative, but limited by other moments



Optimal bounds for single t-derivative:



Can be slightly negative  $c_{2,1} > -\frac{3}{2} \sqrt{c_4 c_2}$

also deRham,Melville,Tolley,Zhou'17 :  $> -3c_2/2s$

# 3. Finite-t supersoftness and Galileons

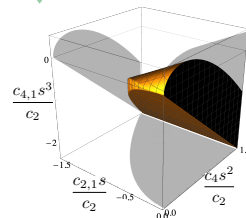
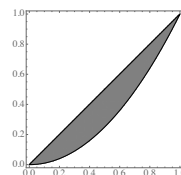
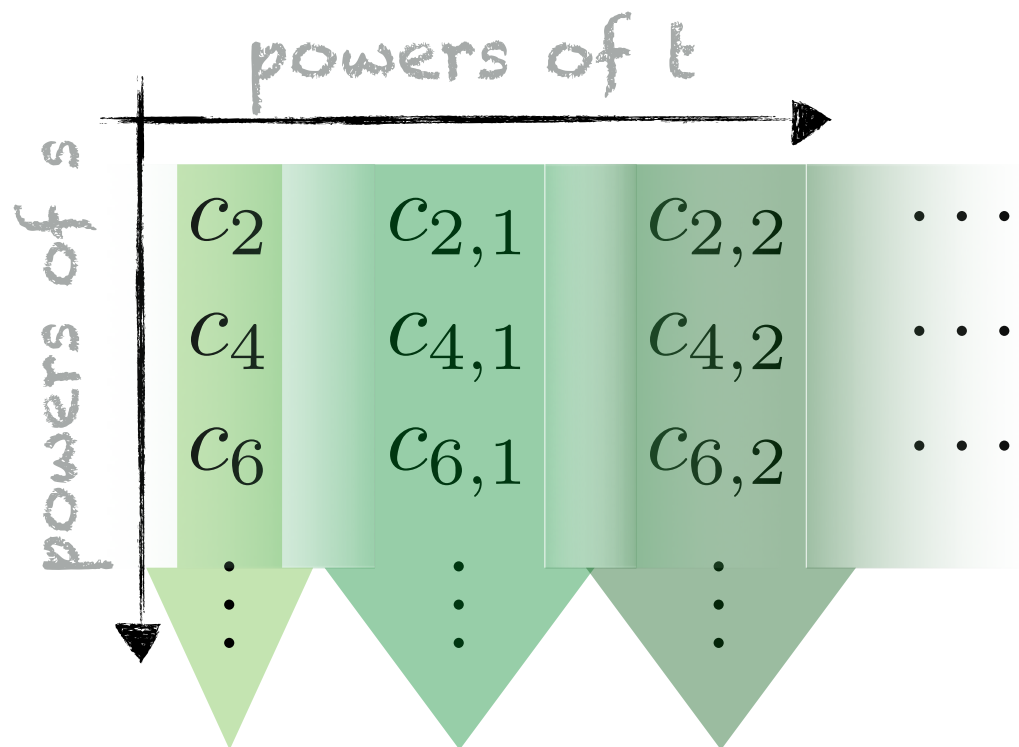
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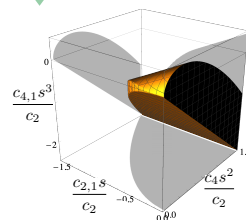
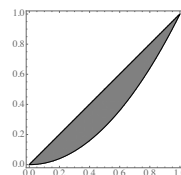
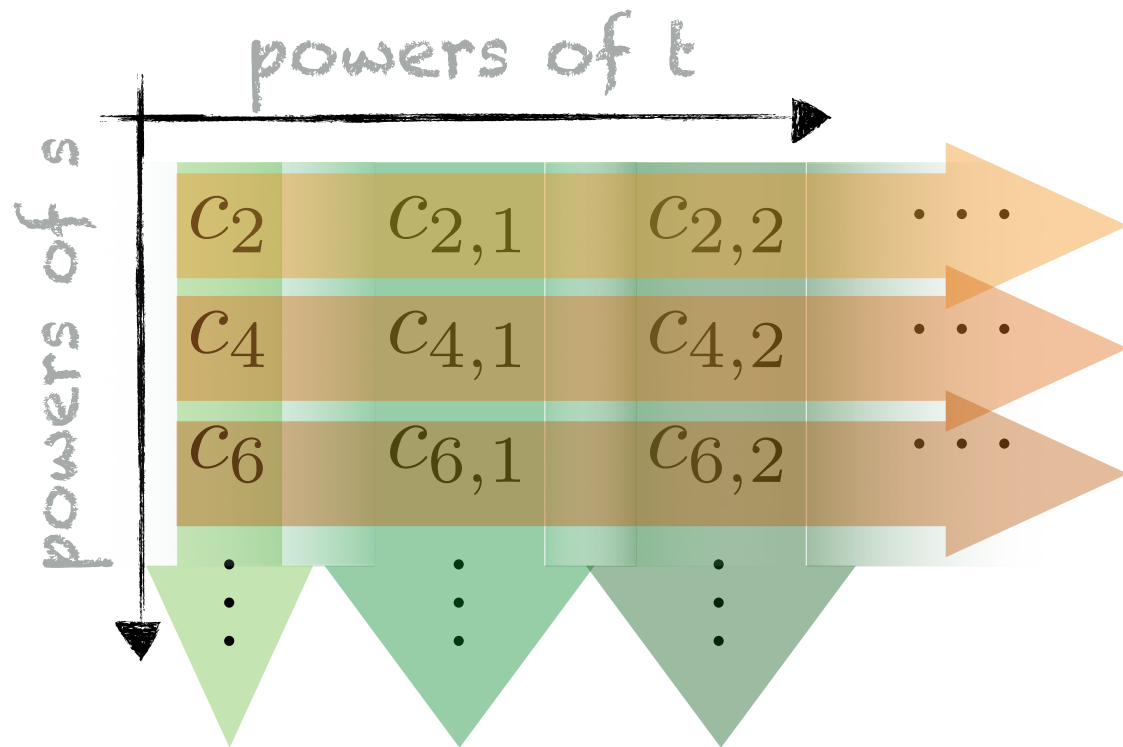
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$$\partial_t^q \text{Im}A|_{t=0} = \sum_{\ell=0}^{\infty} \frac{(\ell+q)!}{(\ell-q)!q!} \text{Im}f_\ell(s)$$

Arkani-Hamed, Huang<sup>2</sup>, 2020





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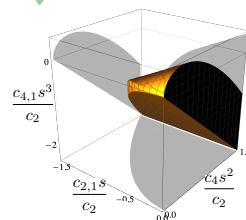
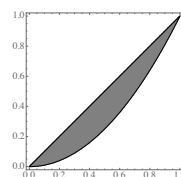
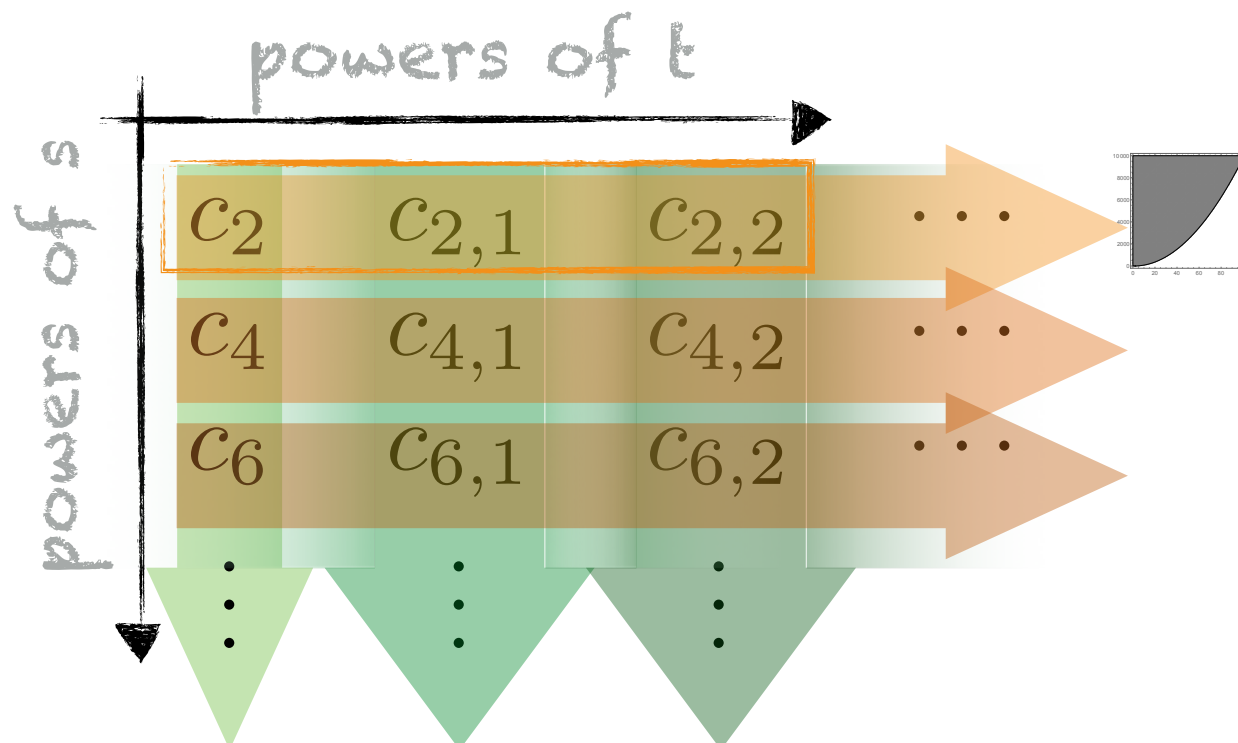
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$$\sim \int_0^\infty d\mu(l) l^{2q}$$

Moments in L  
Bellazzini et al, to appear



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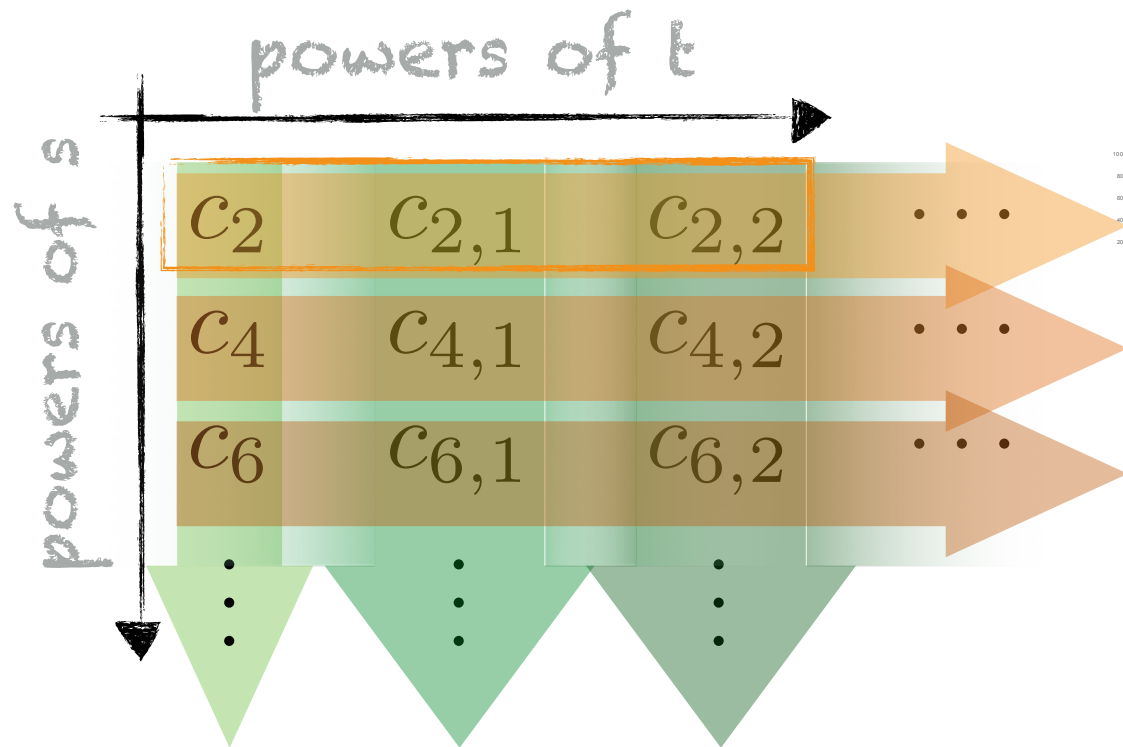
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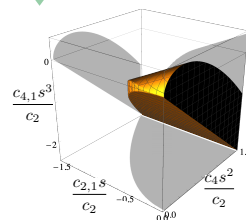
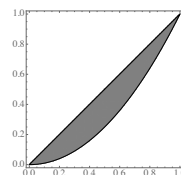
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At tree-level\* x-ing symmetry implies

$$A(s, t) = \dots + g_4 \underbrace{(s^2 + t^2 + u^2)}_{c_{2,2} = 2c_4} + \dots$$





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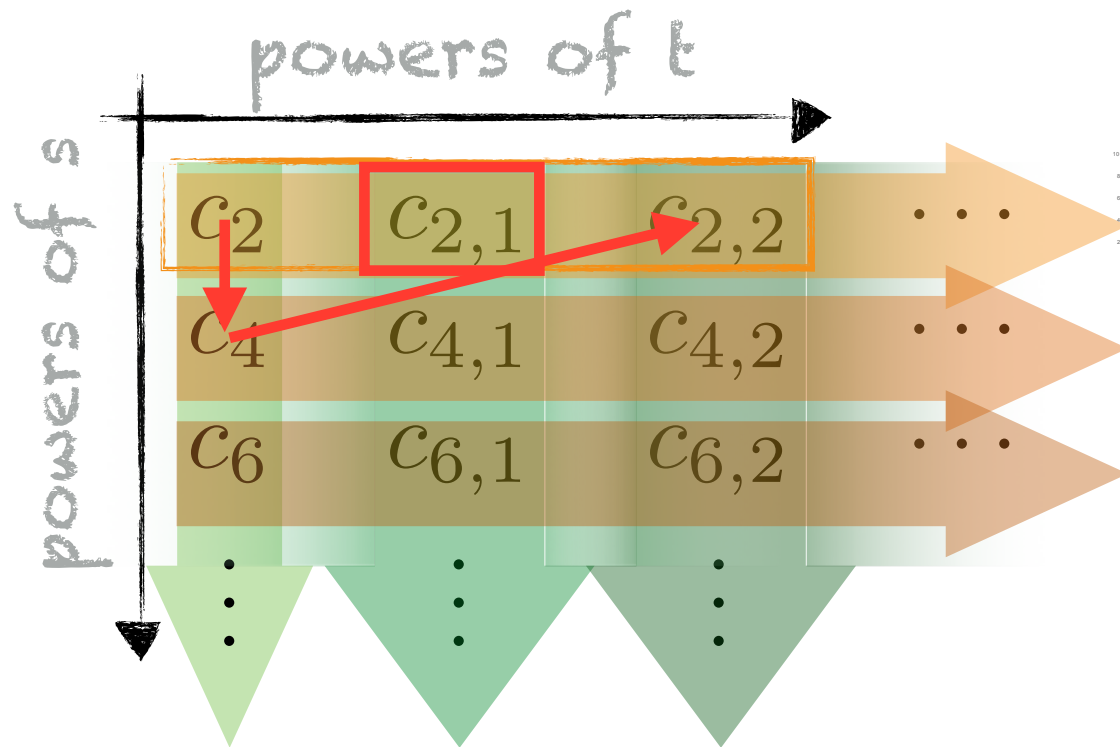
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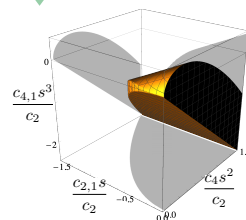
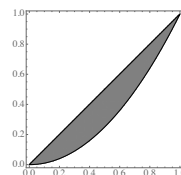


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$$A(s, t) = \dots + g_4 \underbrace{(s^2 + t^2 + u^2)}_{c_{2,2} = 2c_4}^2 + \dots$$

$$\rightarrow -\frac{3}{2}c_2 < c_{2,1}s < 8c_2$$

Tolley, Wang, Zhou'20  
Caron-Huot, vanDuong'20



Galileons tightly bounded!

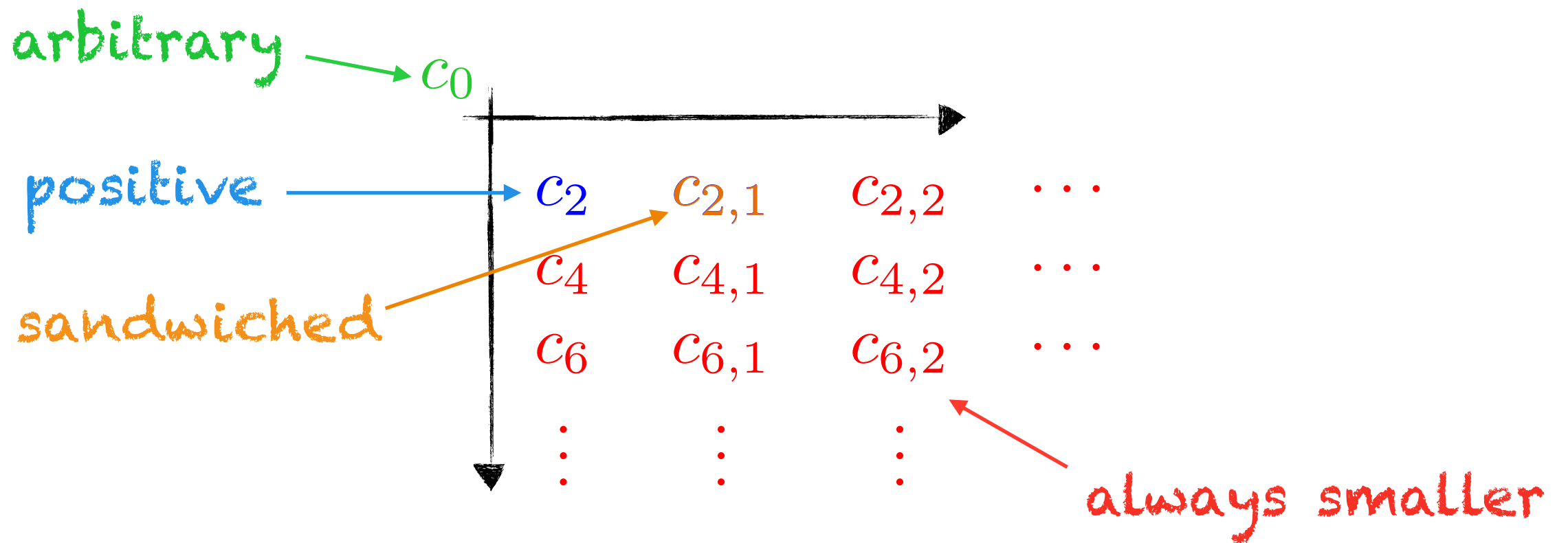
\* See loop effects later

# Super-Softness

Tree-level, beyond forward:

$$A(s, t) = \sum_{p, q} c_{p, q} s^p t^q = c_0 + c_2 s^2 + c_{2,1} s^2 t + \dots$$

Of  $\infty$  many coefficients, only 3 can lead the amplitude:



## 4. Beyond Tree

(for simplicity: only for Goldstone Boson)

# IR Effects alter Bounds

Change relation Wilson coeff.  $\longleftrightarrow$  arcs (on which bounds apply)

Running

```
graph TD; A[Running] <--> B[Collinear Divergences]; A --> C[ ]; B --> D[ ]
```

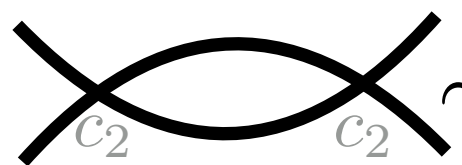
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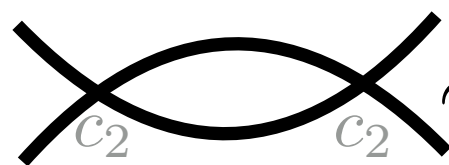
Collinear Divergences


$$\sim \frac{c_2^2 s^4}{16\pi^2} \log \frac{s}{\mu}$$

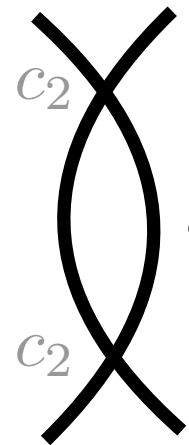
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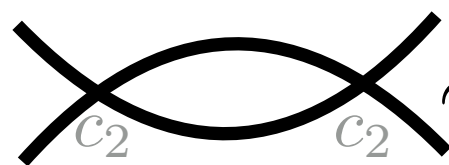
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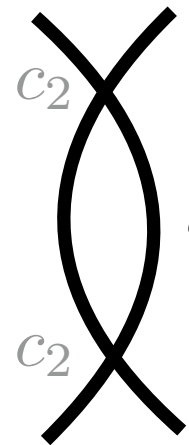
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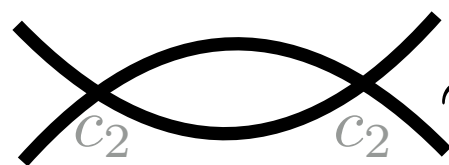
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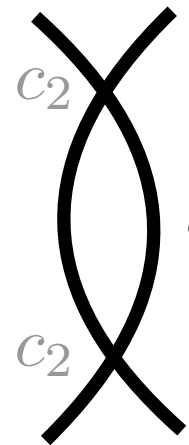
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k powers of t

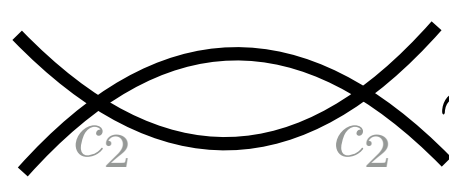
n powers of s	$c_2$	$c_{2,1}$	$c_{2,2}$	$\dots$
	$c_4$	$c_{4,1}$	$c_{4,2}$	$\dots$
	$c_6$	$c_{6,1}$	$c_{6,2}$	$\dots$
	$\vdots$	$\vdots$	$\vdots$	
	$\vdots$	$\vdots$	$\vdots$	



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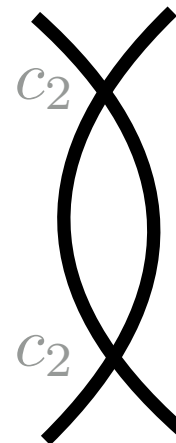
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Collinear Divergences



$$\sim \frac{c_2^2 s^2 t^2}{16\pi^2} \log \frac{s}{t}$$

$$\delta \partial_t^k A_n \sim \left( \frac{c_2^2}{16\pi^2} \log \frac{s}{m^2} \right)^{k-2}$$

k powers of t

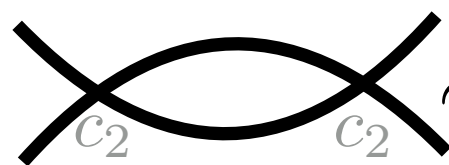
c <sub>2</sub>	c <sub>2,1</sub>	c <sub>2,2</sub>	...
c <sub>4</sub>	c <sub>4,1</sub>	c <sub>4,2</sub>	...
c <sub>6</sub>	c <sub>6,1</sub>	c <sub>6,2</sub>	...
⋮	⋮	⋮	⋮

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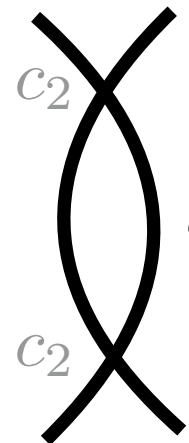
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$c_6$	$c_{6,1}$	$c_{6,2}$	$\dots$
$\vdots$	$\vdots$	$\vdots$	$\vdots$

# Running

EFT Wilson coefficients run: Do bounds apply for  $c_n(s)$ ?

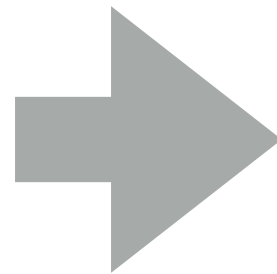
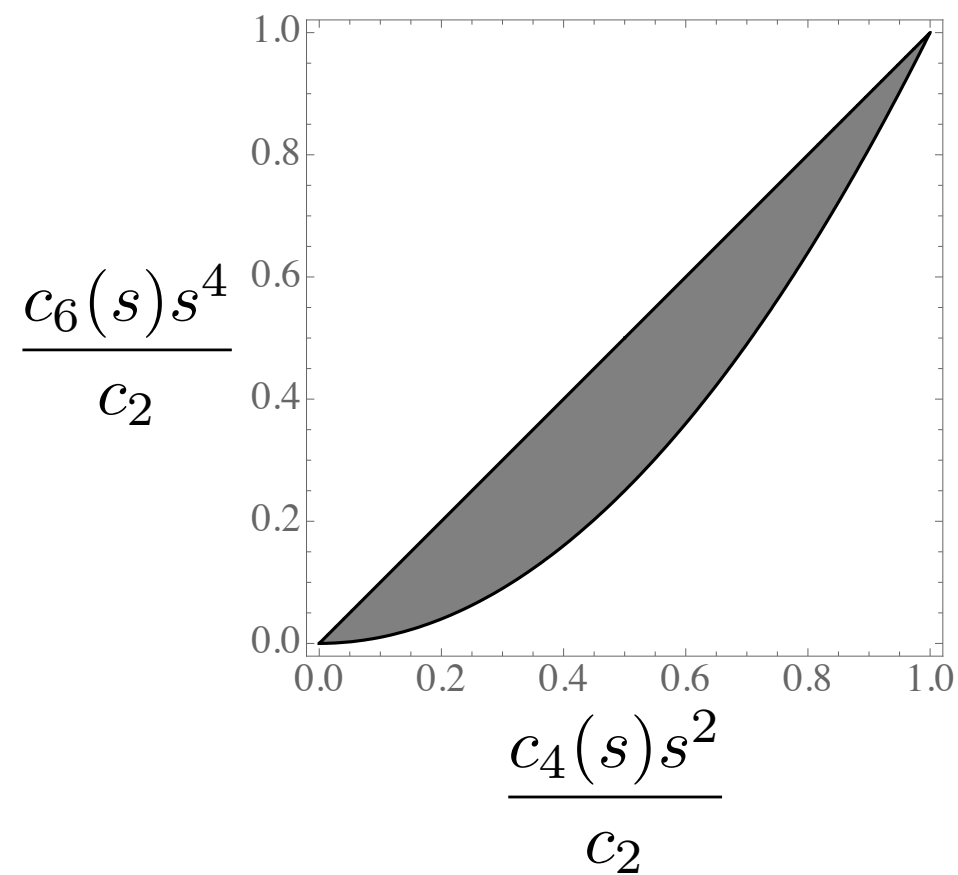
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$s$

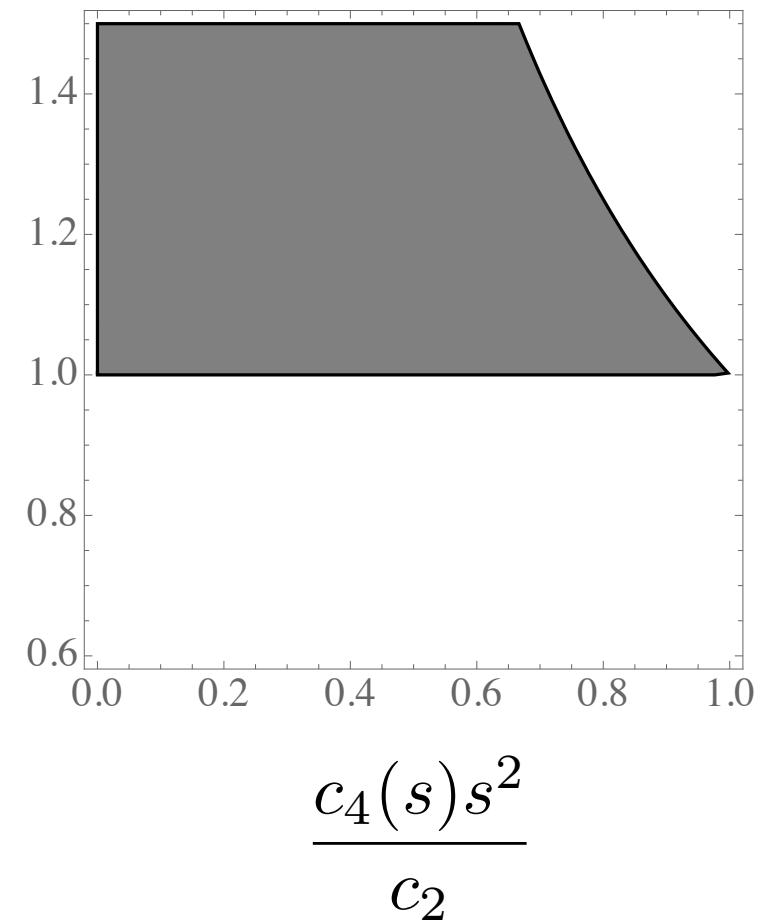
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$$\frac{c_6(s)c_2}{c_4(s)^2}$$

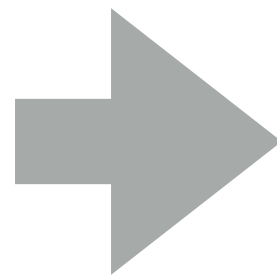
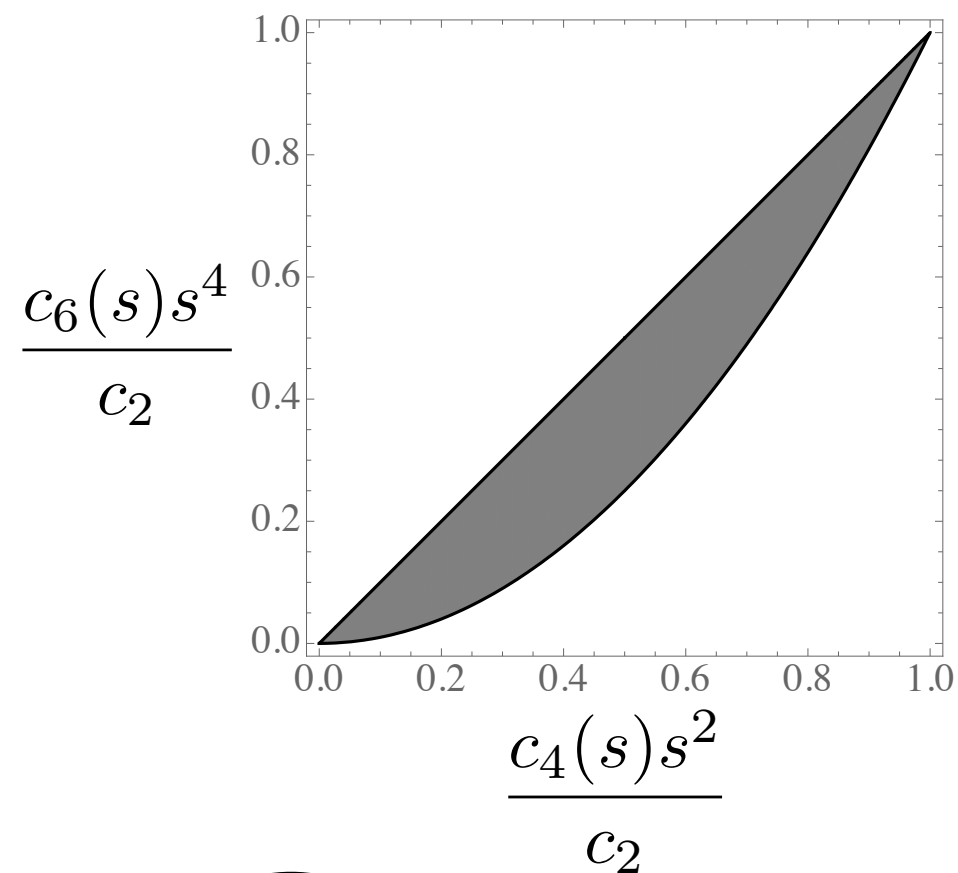


$s$

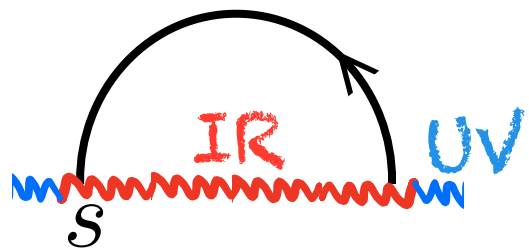
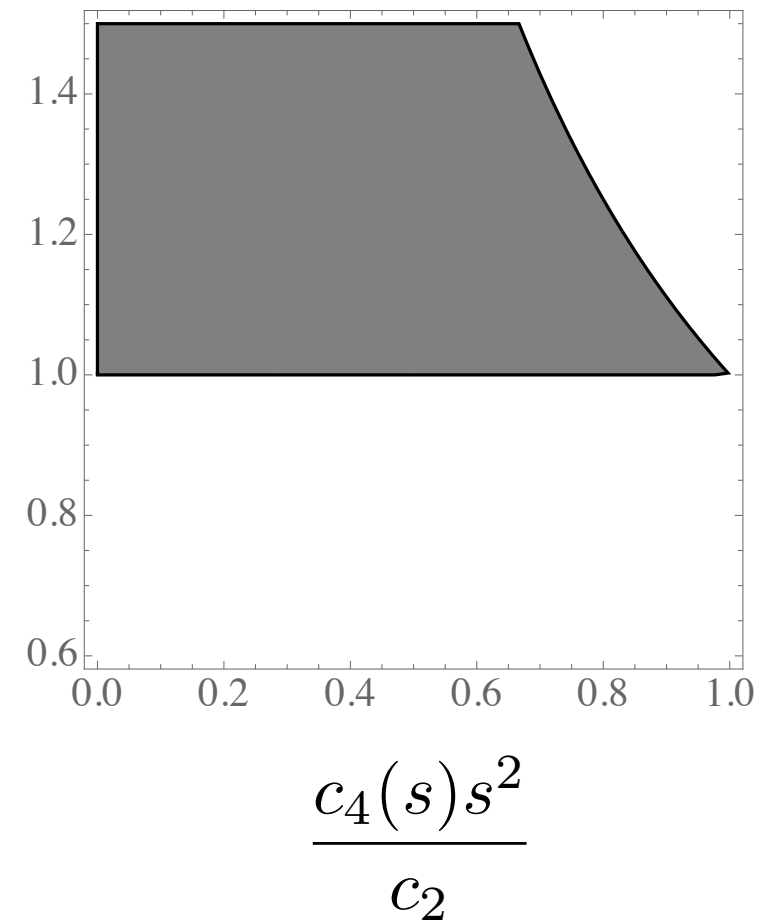
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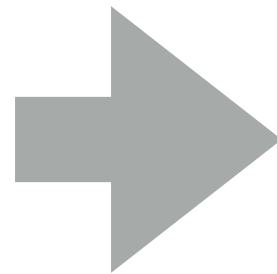
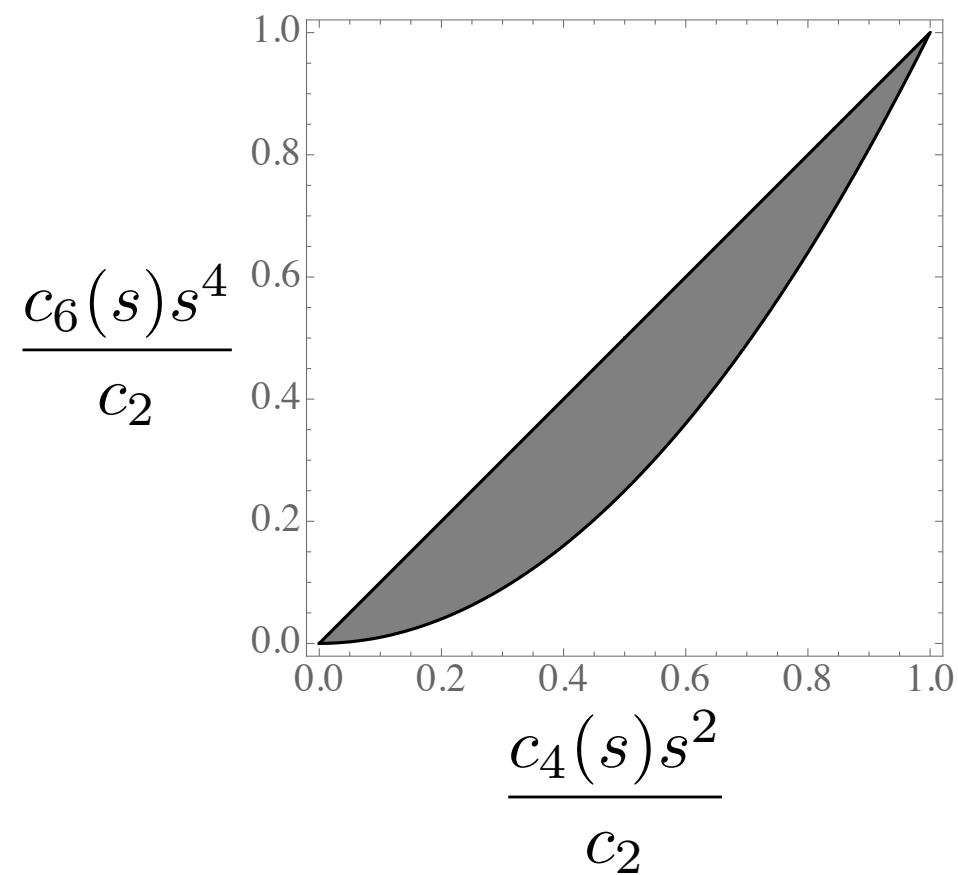


Arcs: suitable to access running coefficients

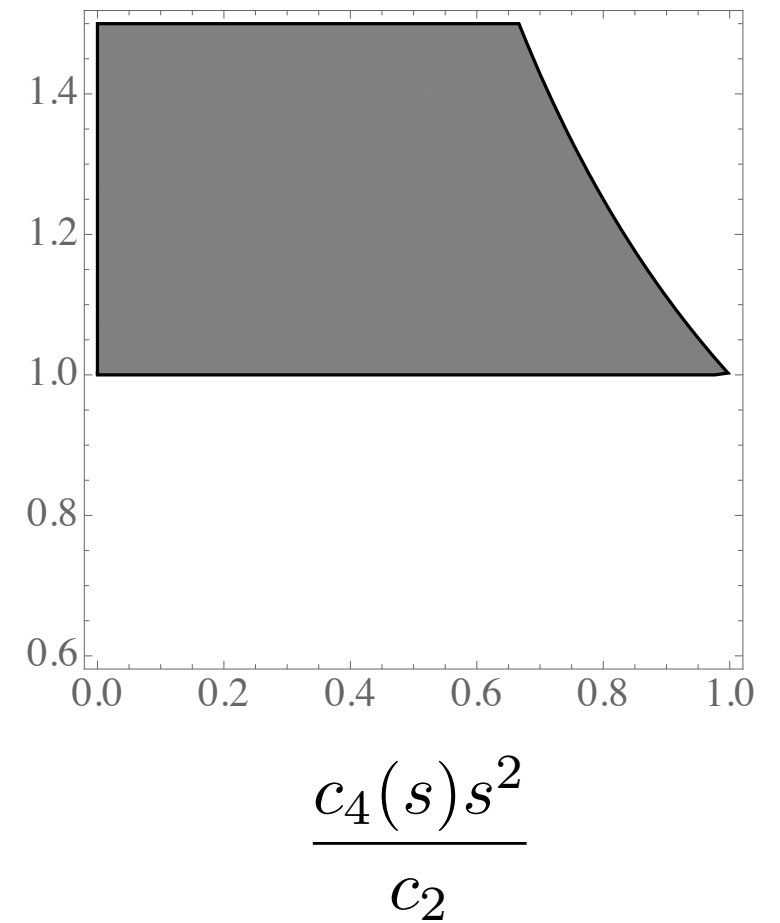
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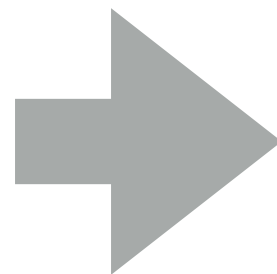
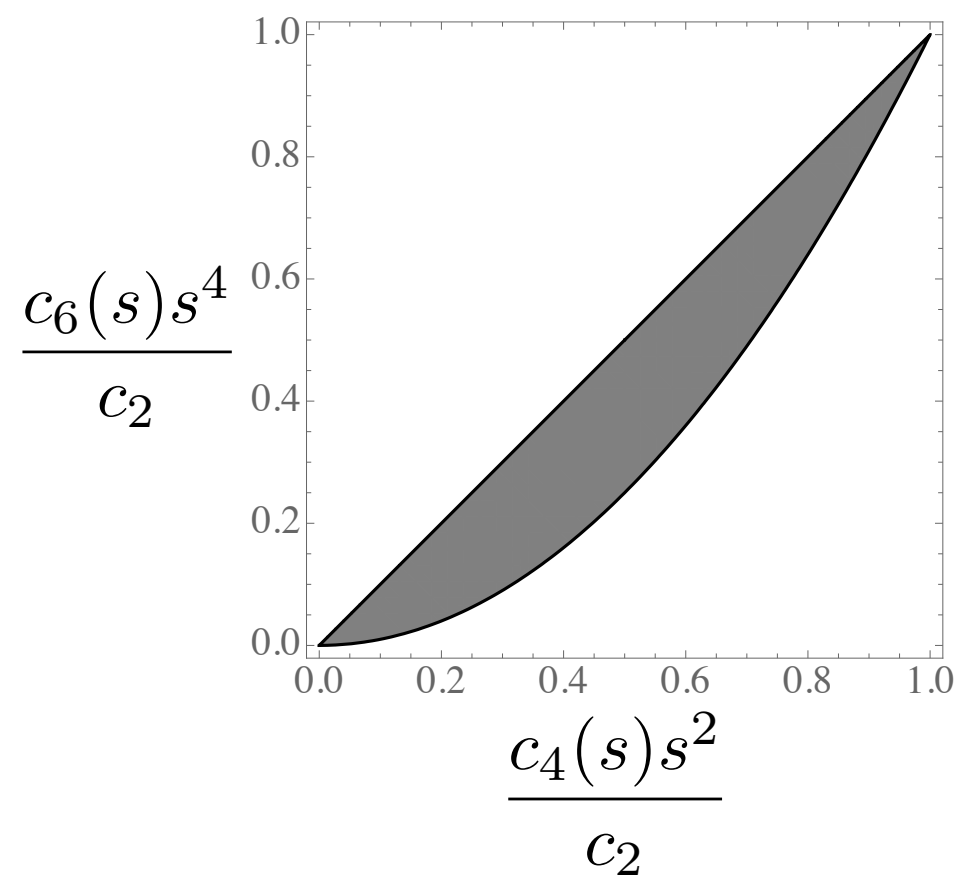


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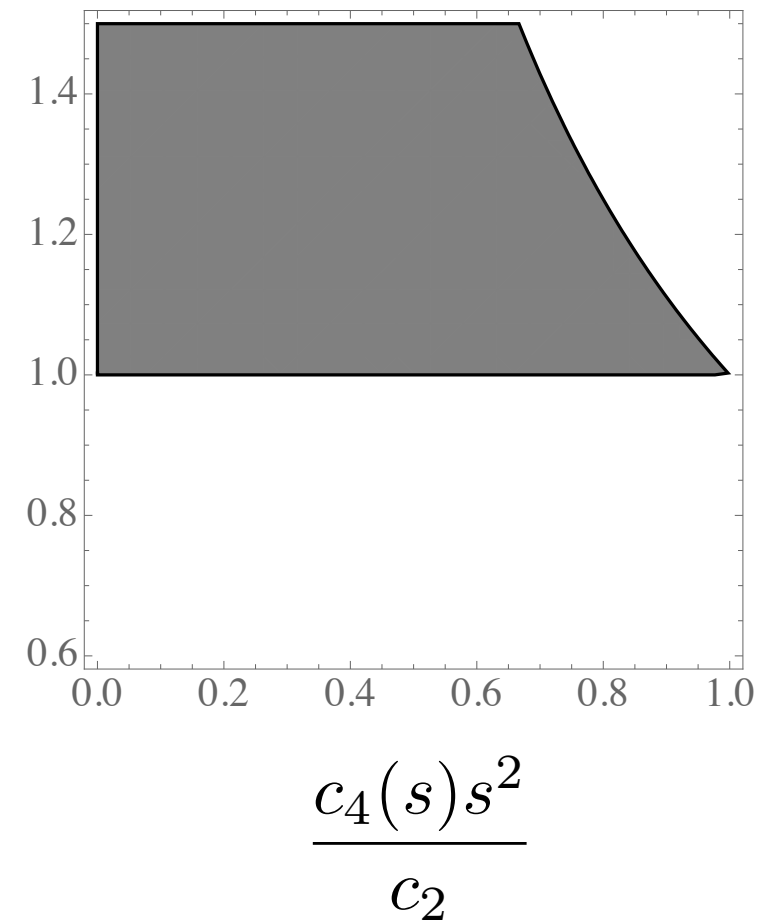
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$$A_0 = c_2 + \dots$$

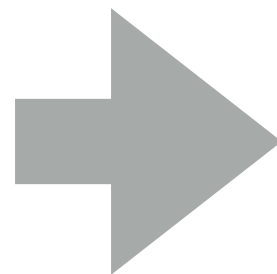
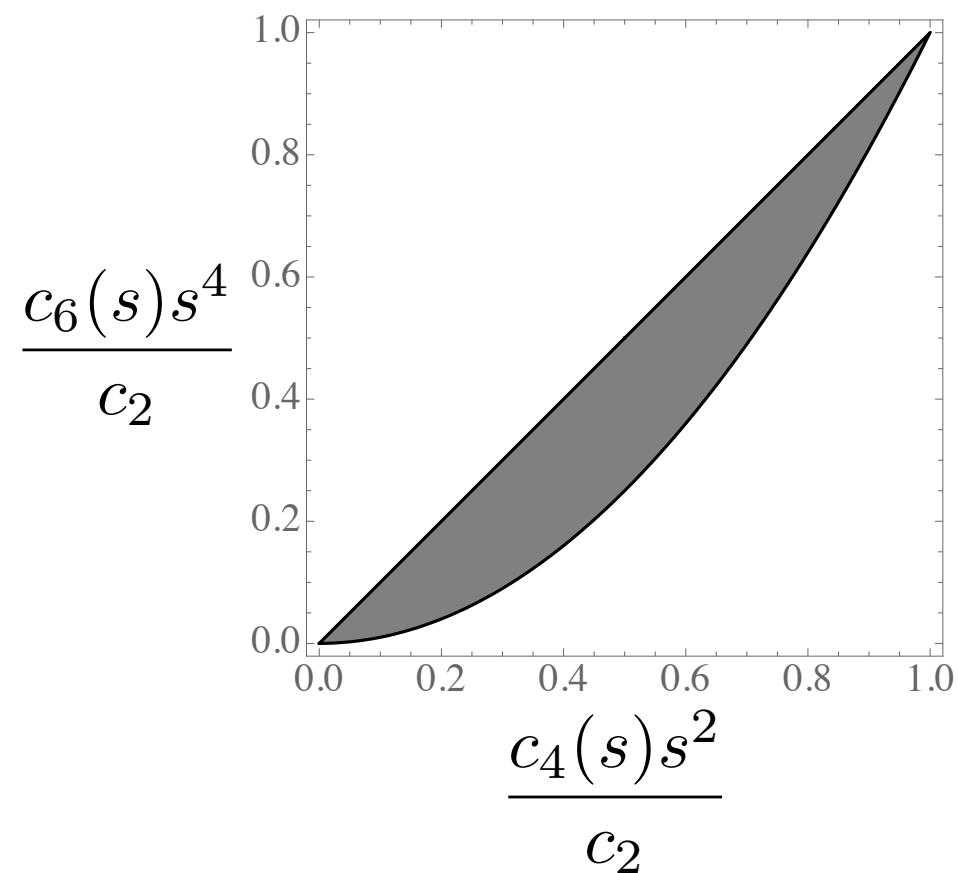


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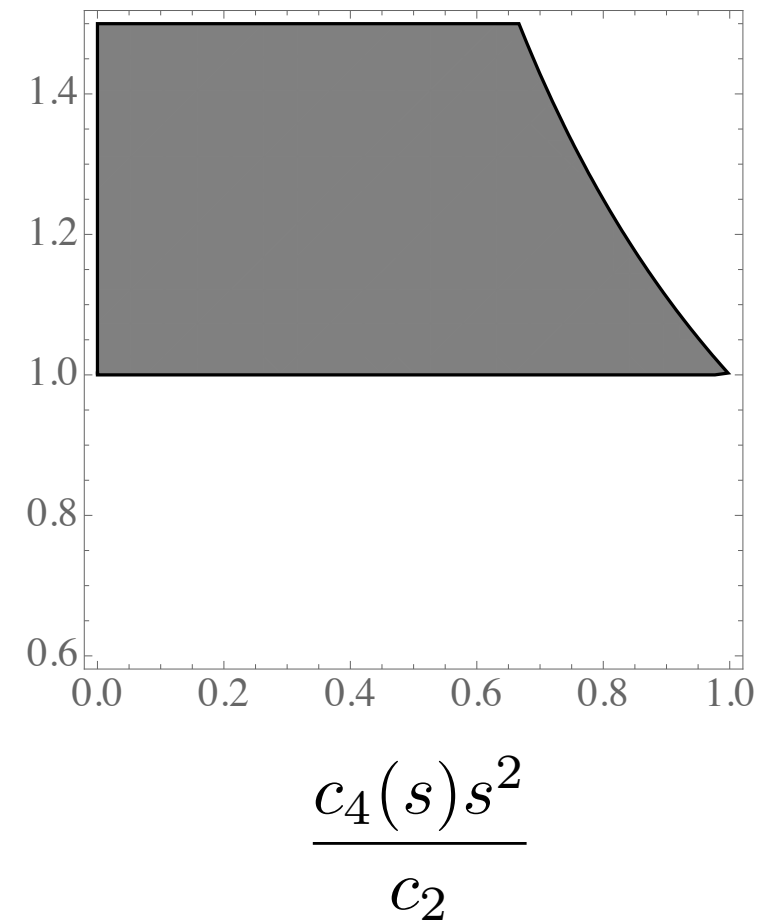
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$$\frac{c_6(s)c_2}{c_4(s)^2}$$



$$A_0 = c_2 + \dots$$

$$A_1 = c_4(s) + \dots$$

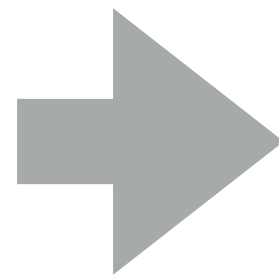
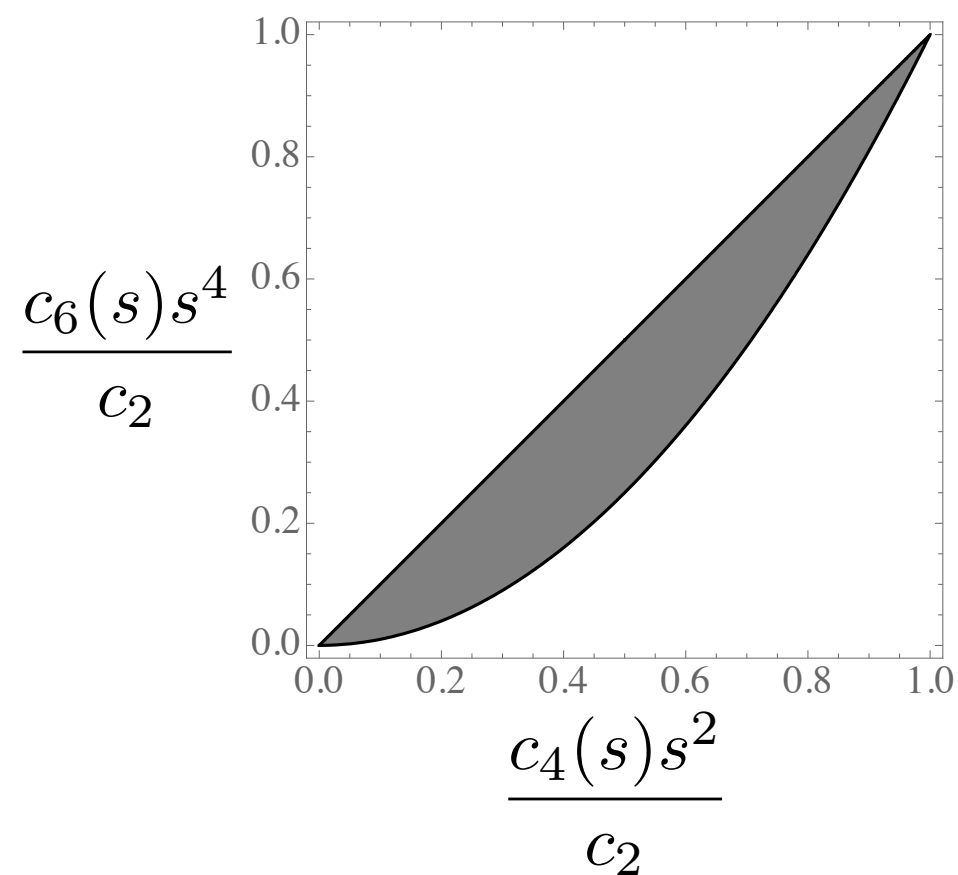
Arcs: suitable to access running coefficients



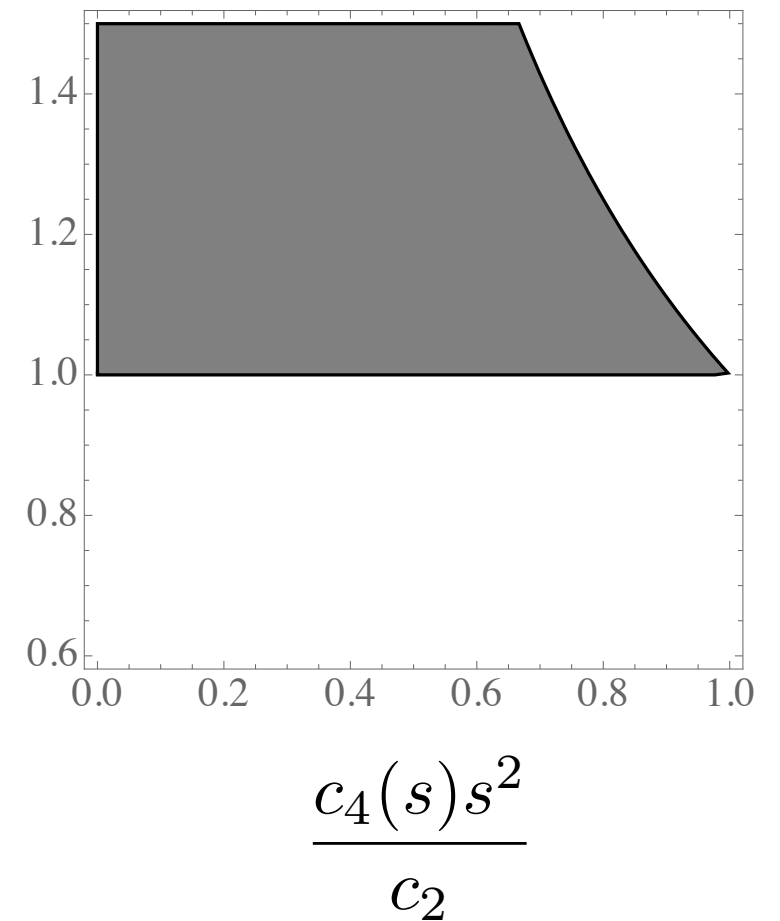
# Running

EFT Wilson coefficients run: Do bounds apply for  $c_n(s)$ ?

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$$\frac{c_6(s)c_2}{c_4(s)^2}$$



Arcs: suitable to access running coefficients

$$A_0 = c_2 + \dots$$

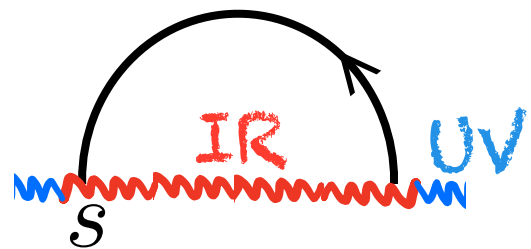
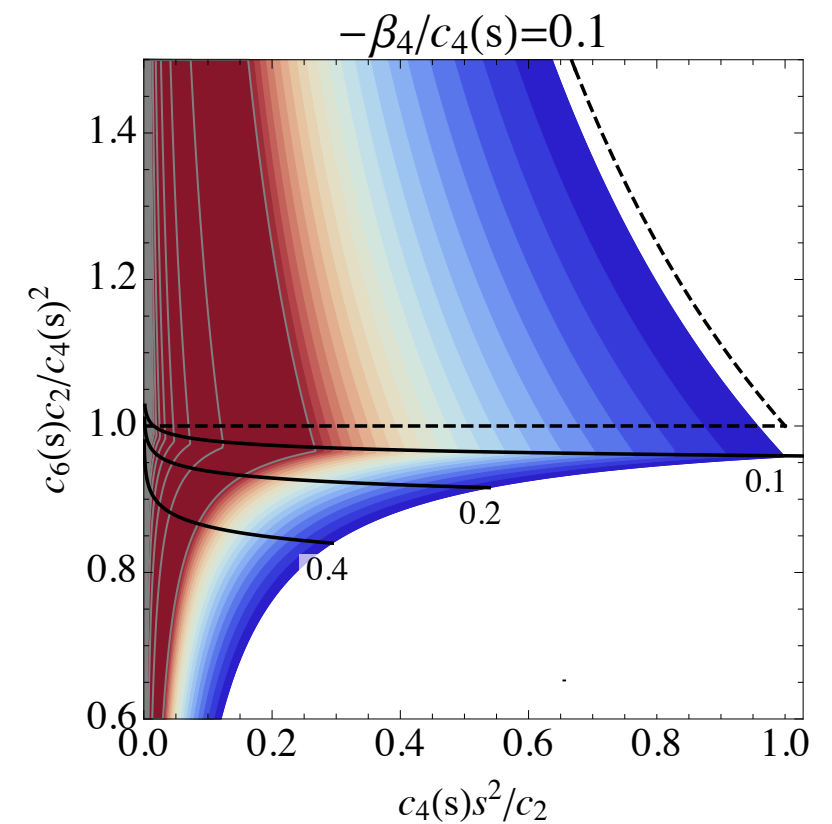
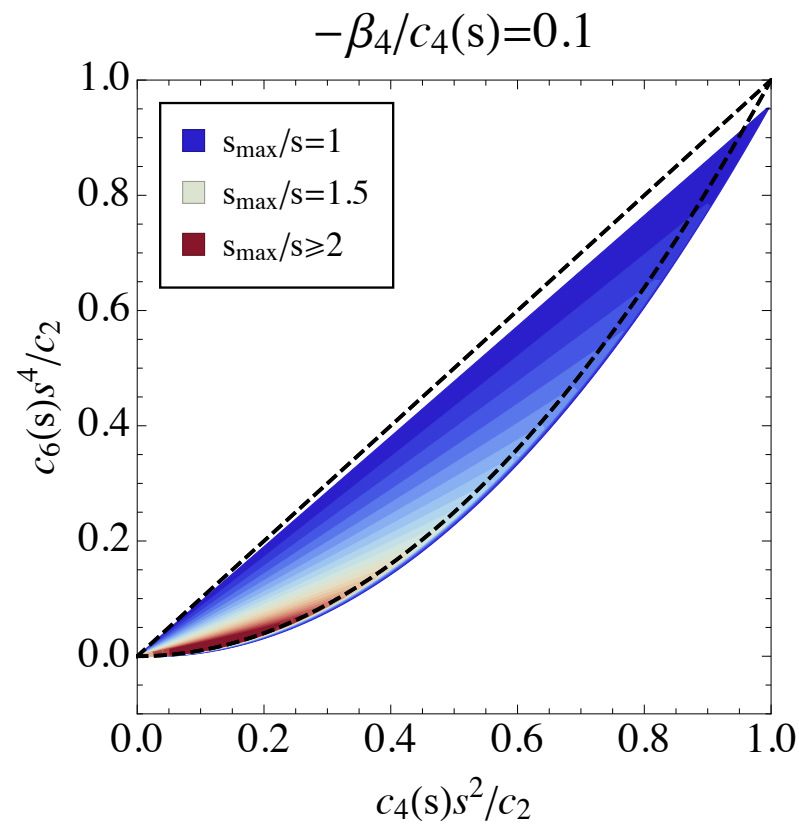
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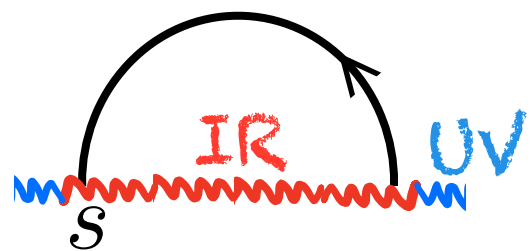
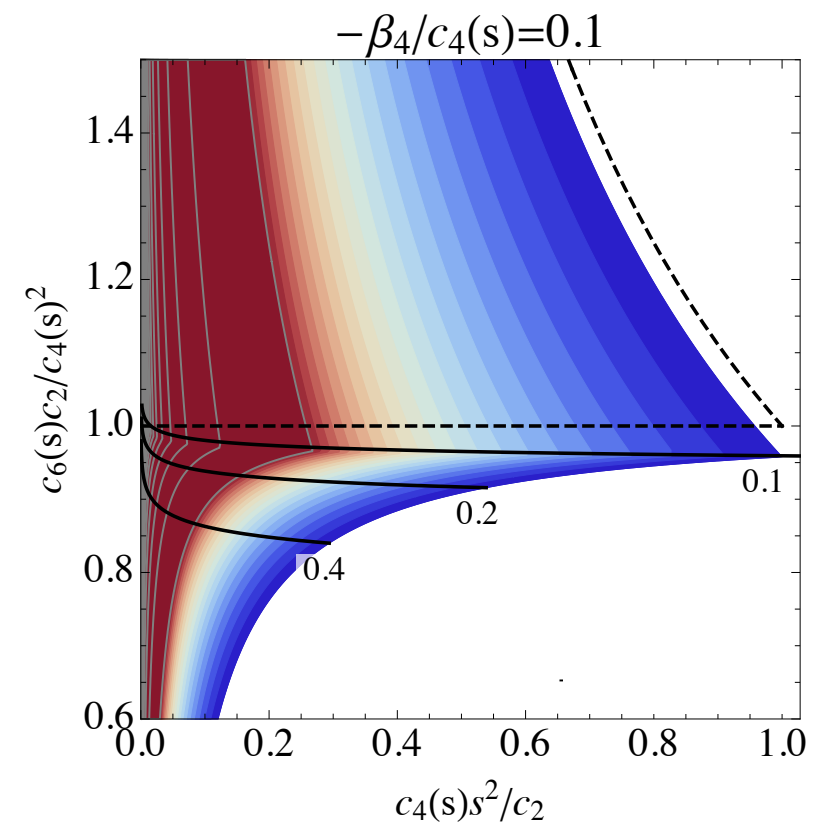
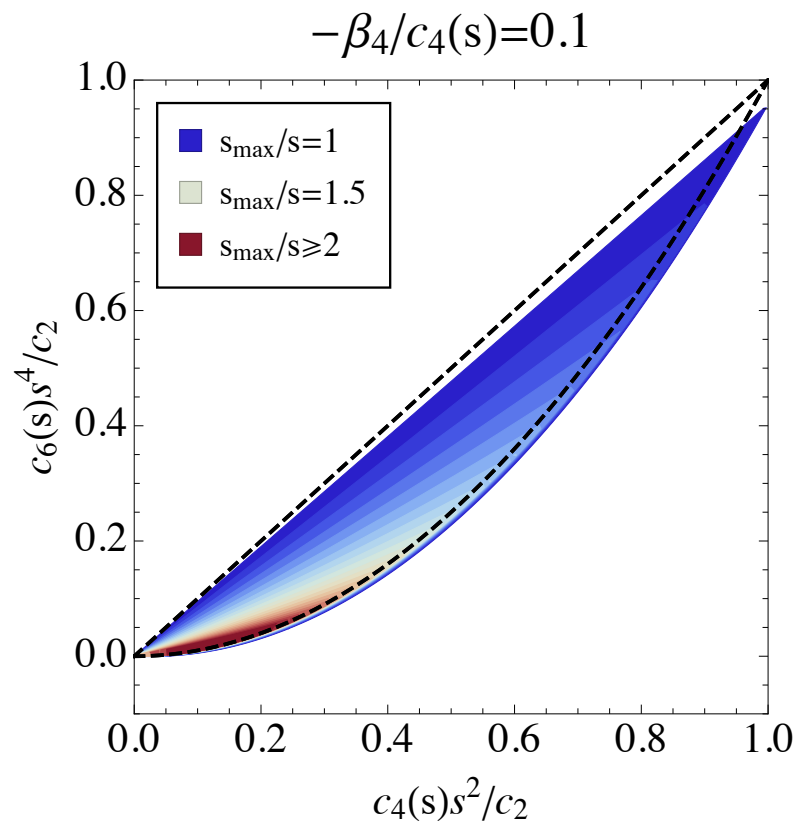
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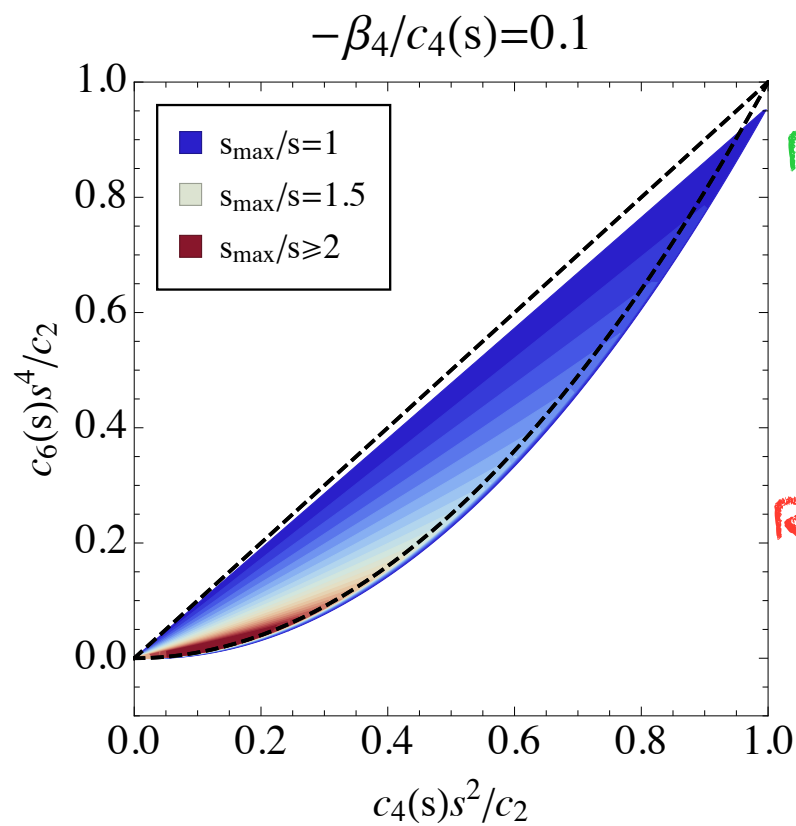
powers of  $t$

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powers of $s$	$\vdots$	$\vdots$	$\vdots$	

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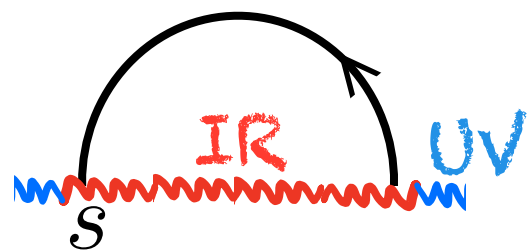
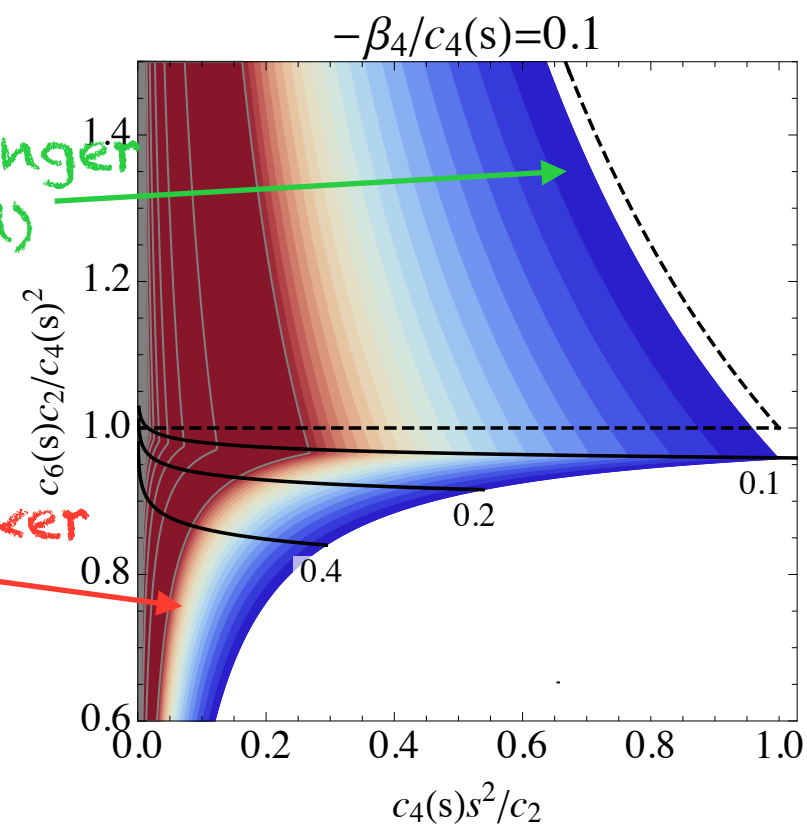
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Real bounds little stronger  
(supersoftness still dead)

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e.g.  $c_6(s) < 0$  ok



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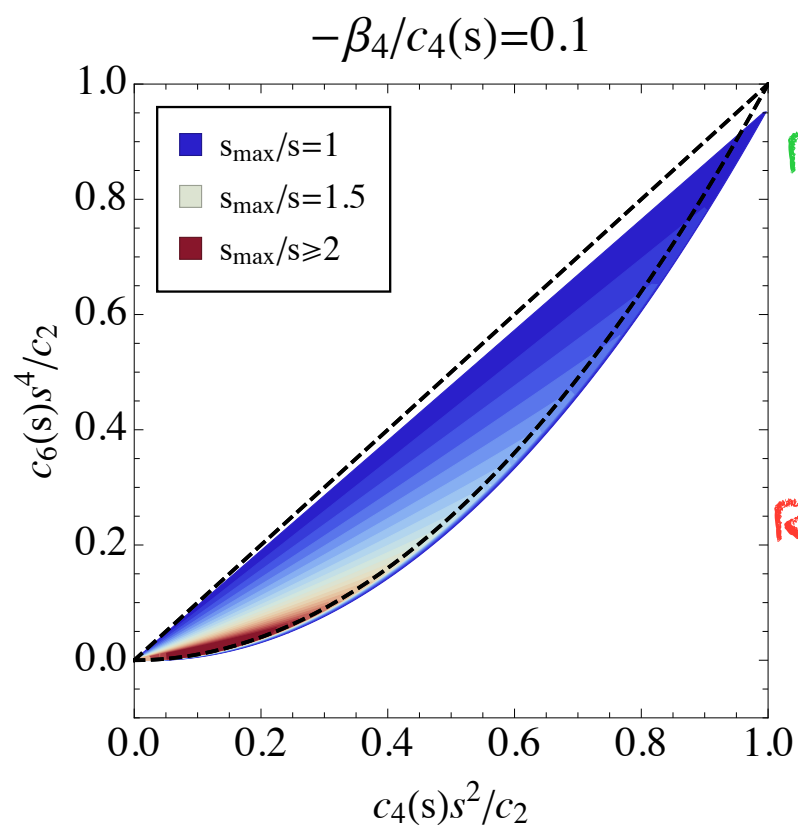
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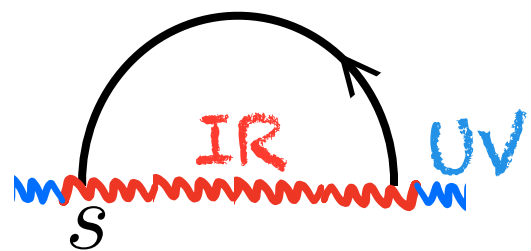
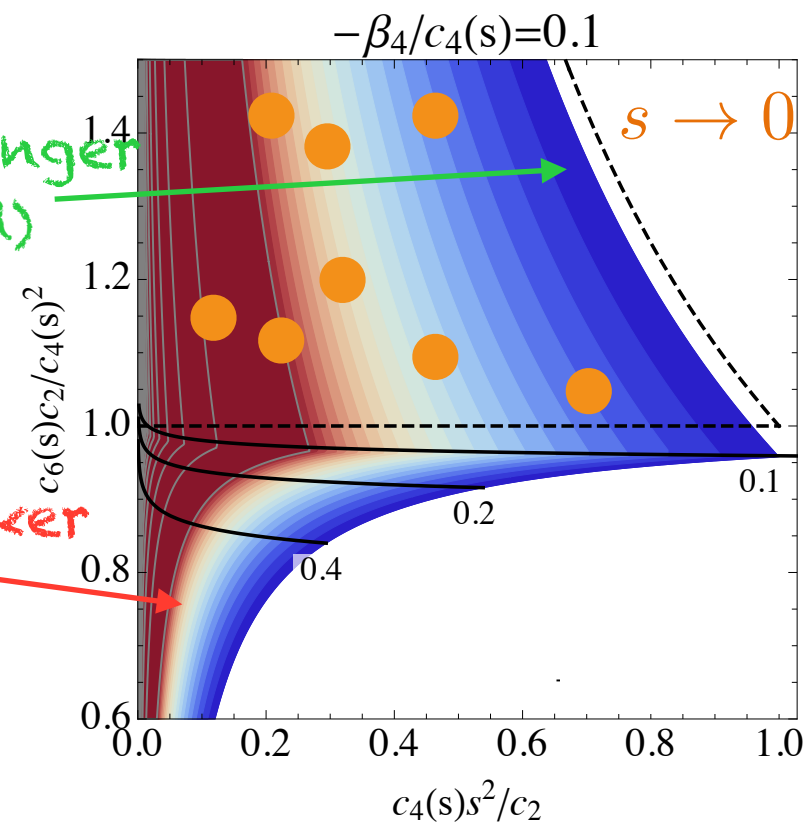
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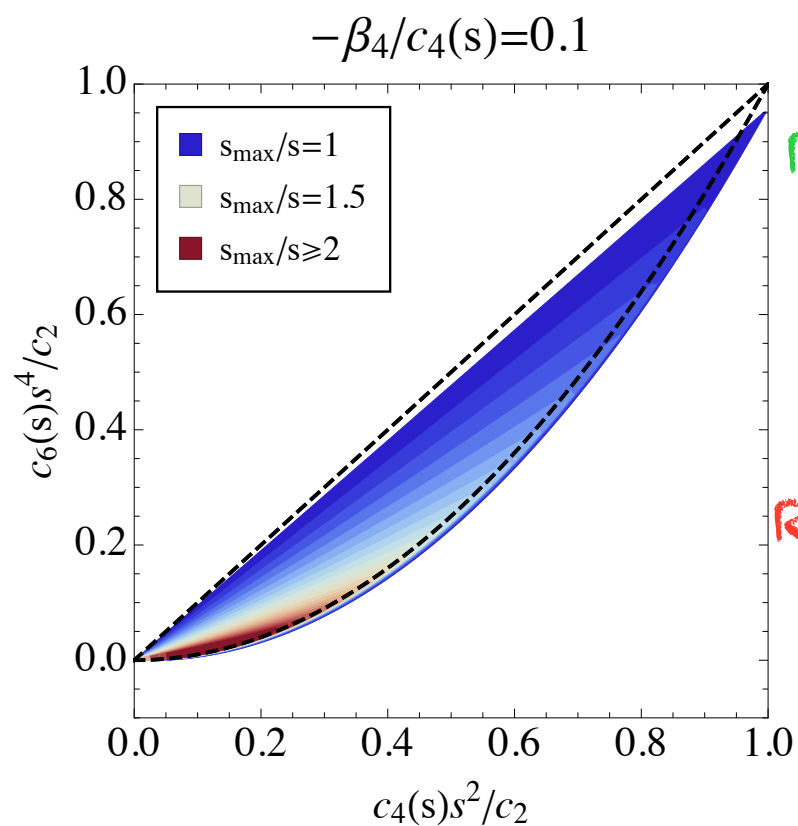
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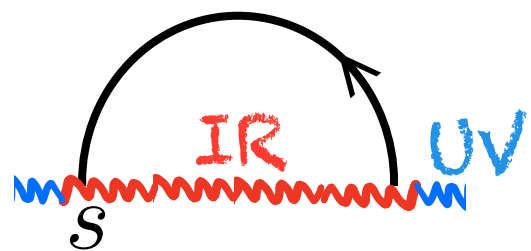
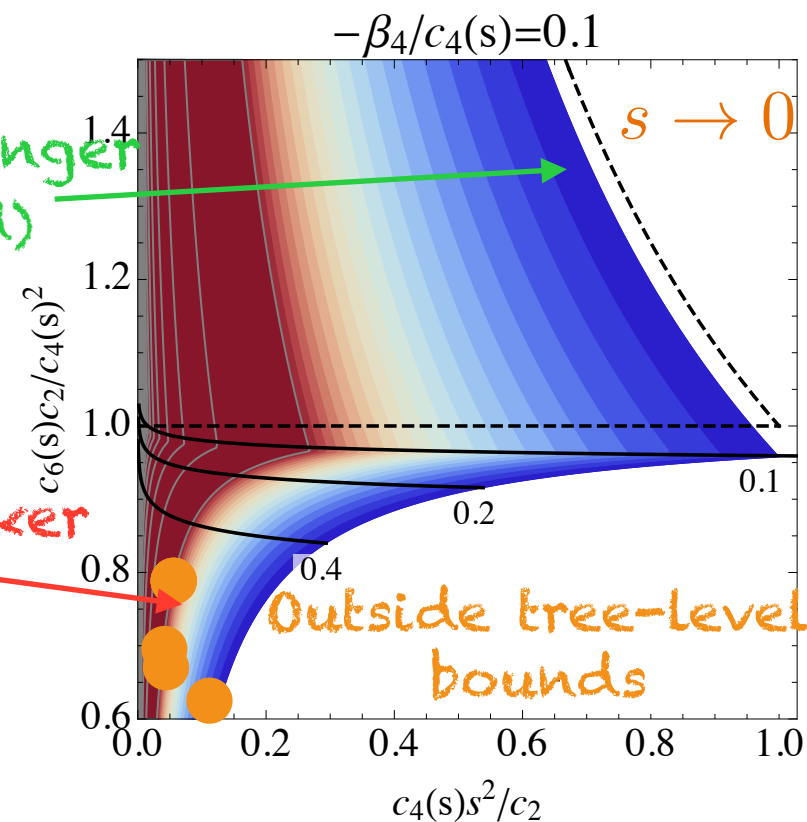
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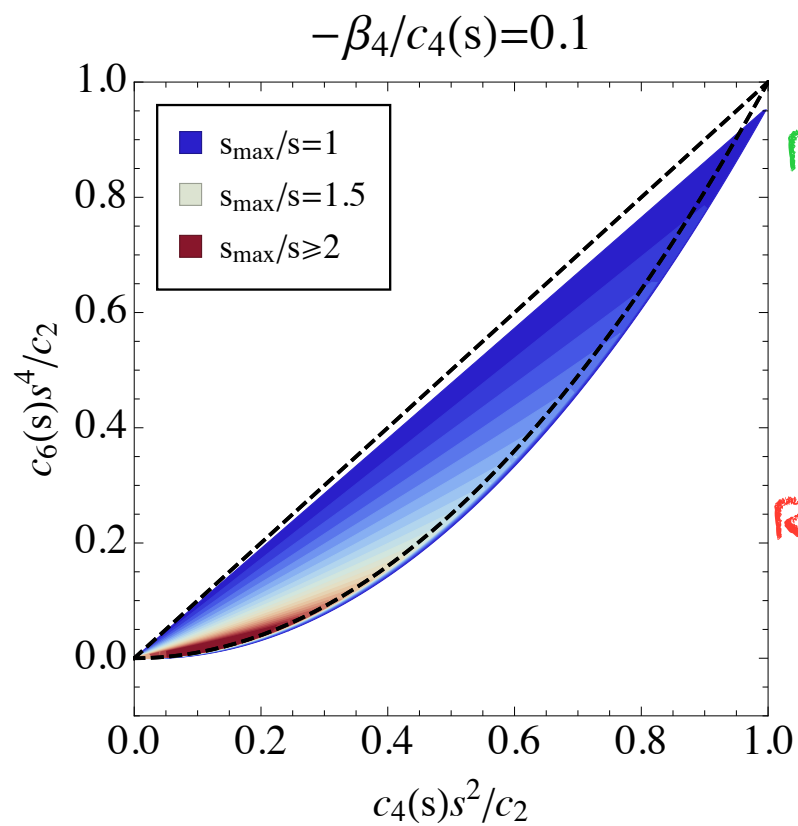
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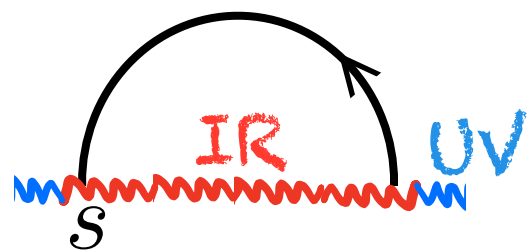
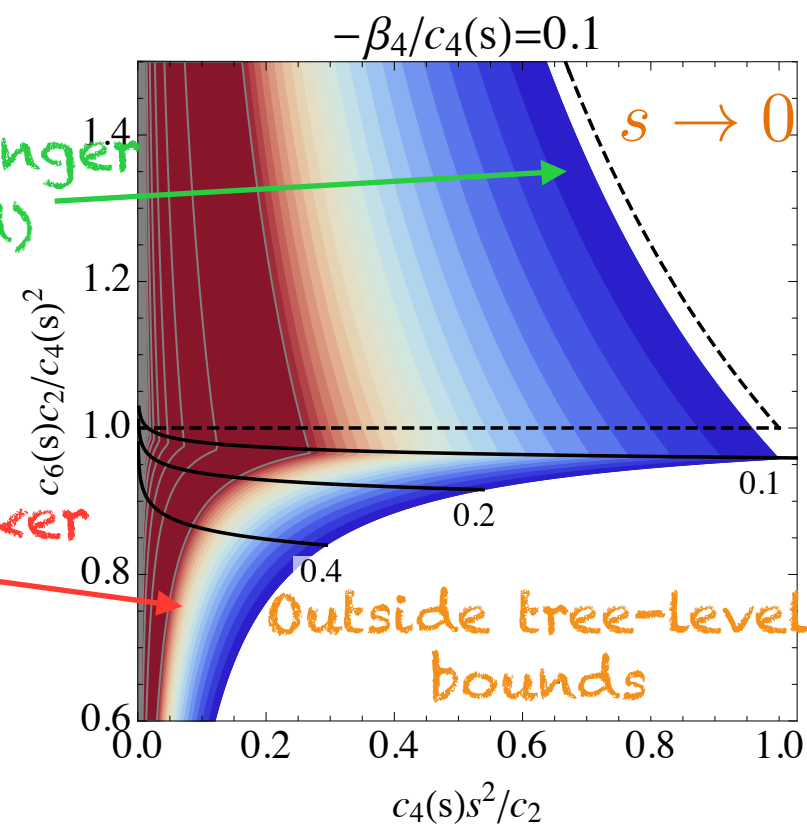
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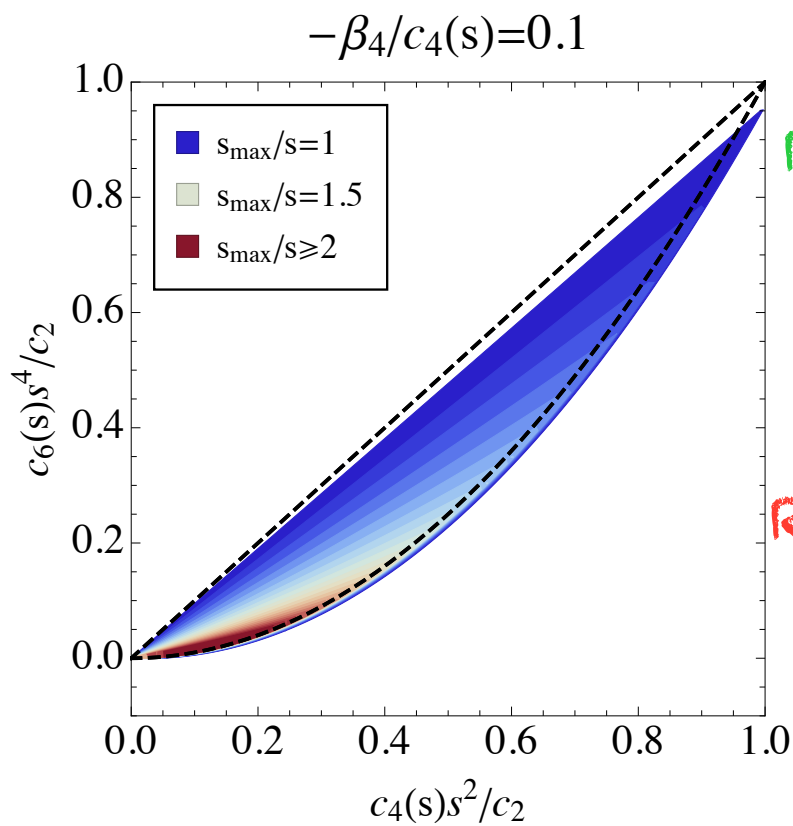
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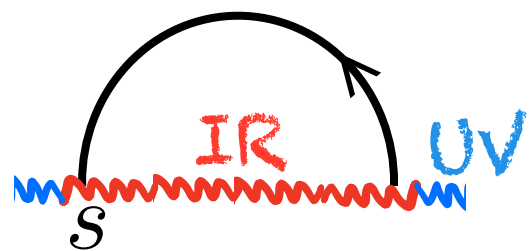
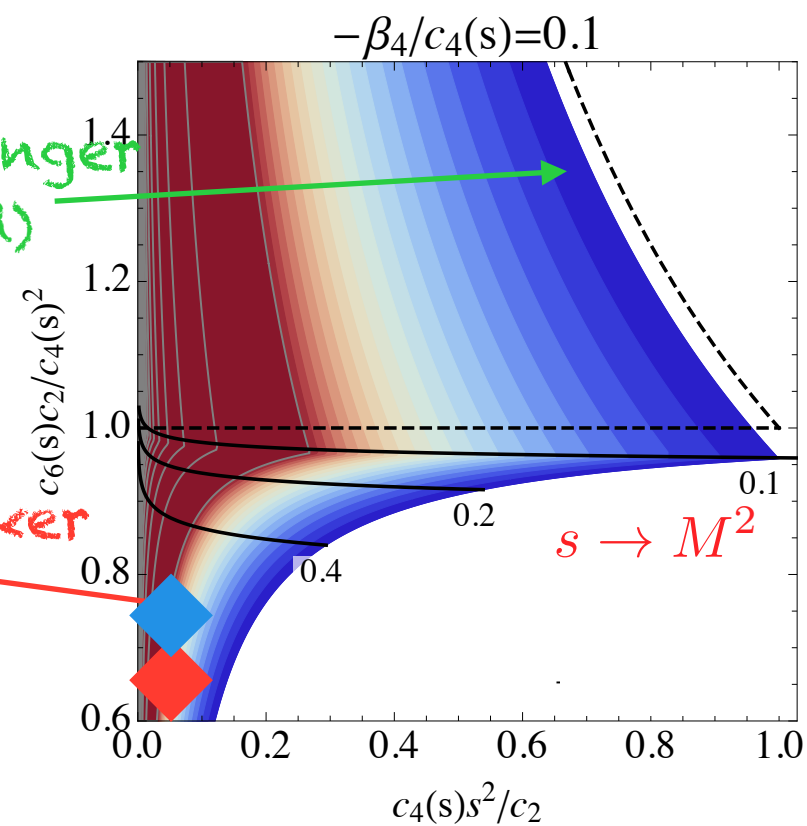
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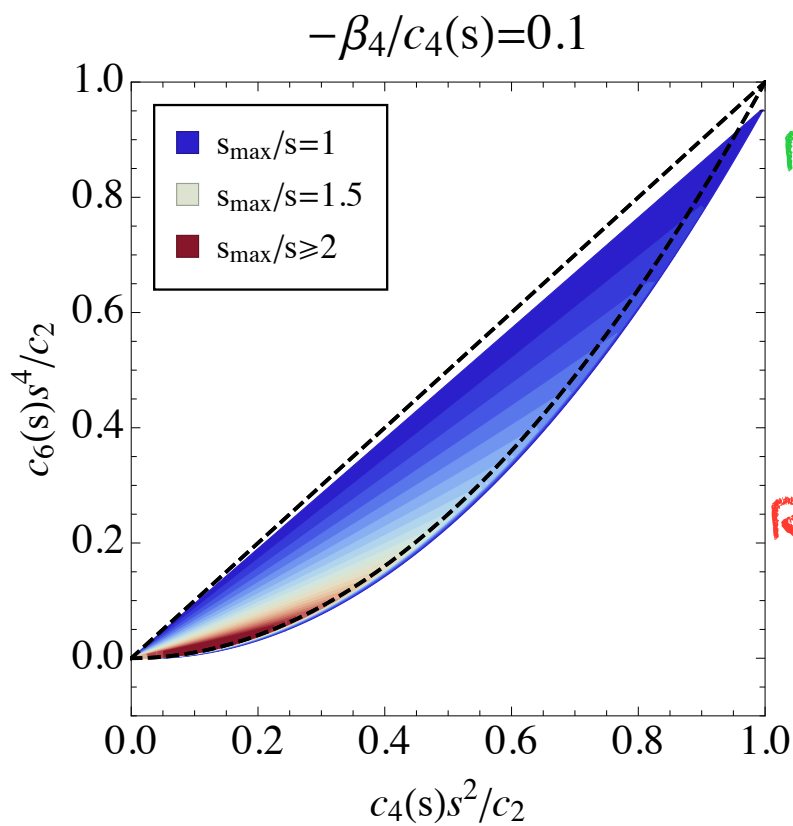
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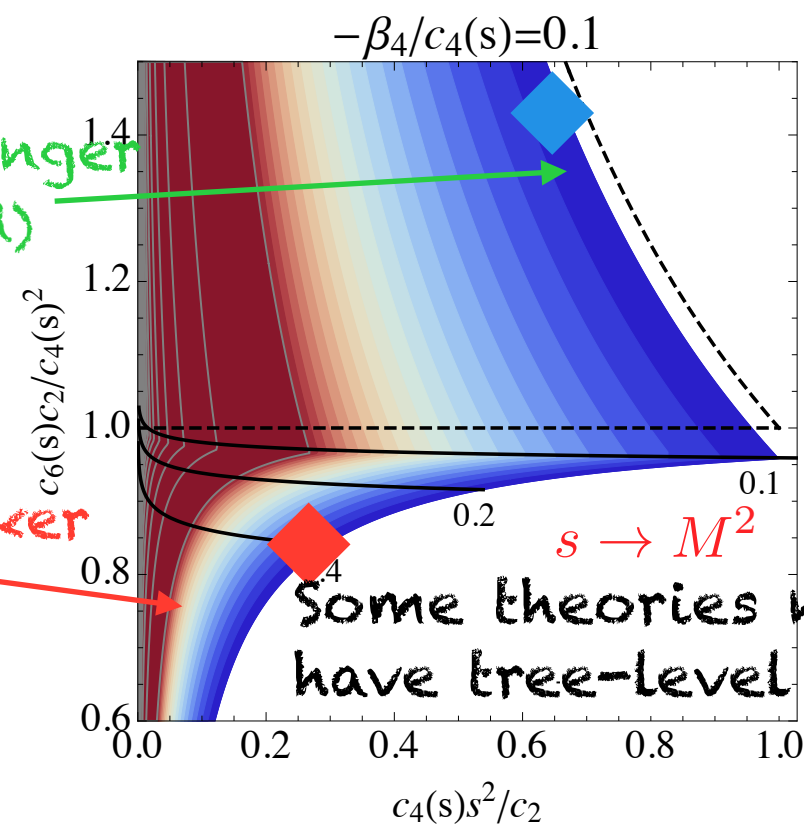
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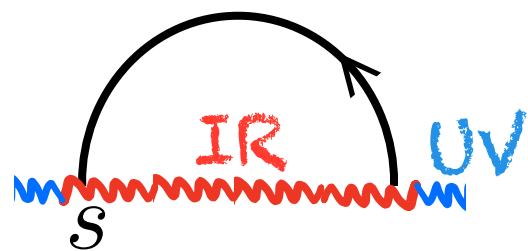


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Some theories never have tree-level regime



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# Collinear Divergences

Bellazzini et al, to appear

Large scale separation:  $|t| \leq 4m^2 \ll s$

Amplitude  
analytic

Large EFT  
validity range

$$A(s, t) = \dots + c_{2,2}(s)s^2t^2 + \frac{c_2^2}{16\pi^2}s^2t^2 \log \frac{s}{m^2} + \dots$$

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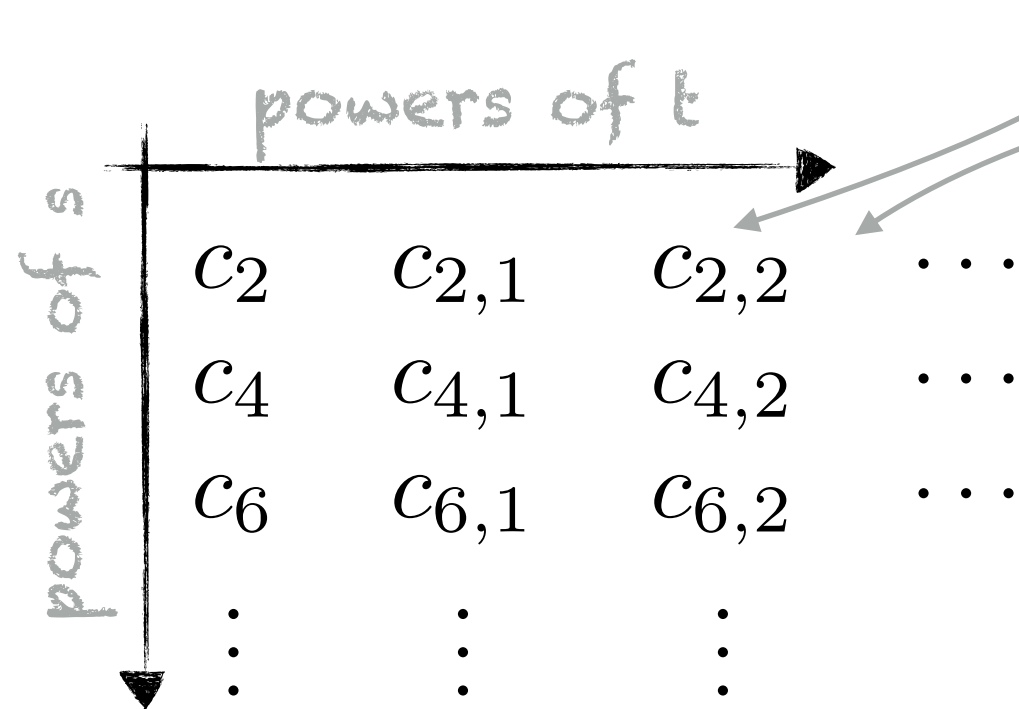
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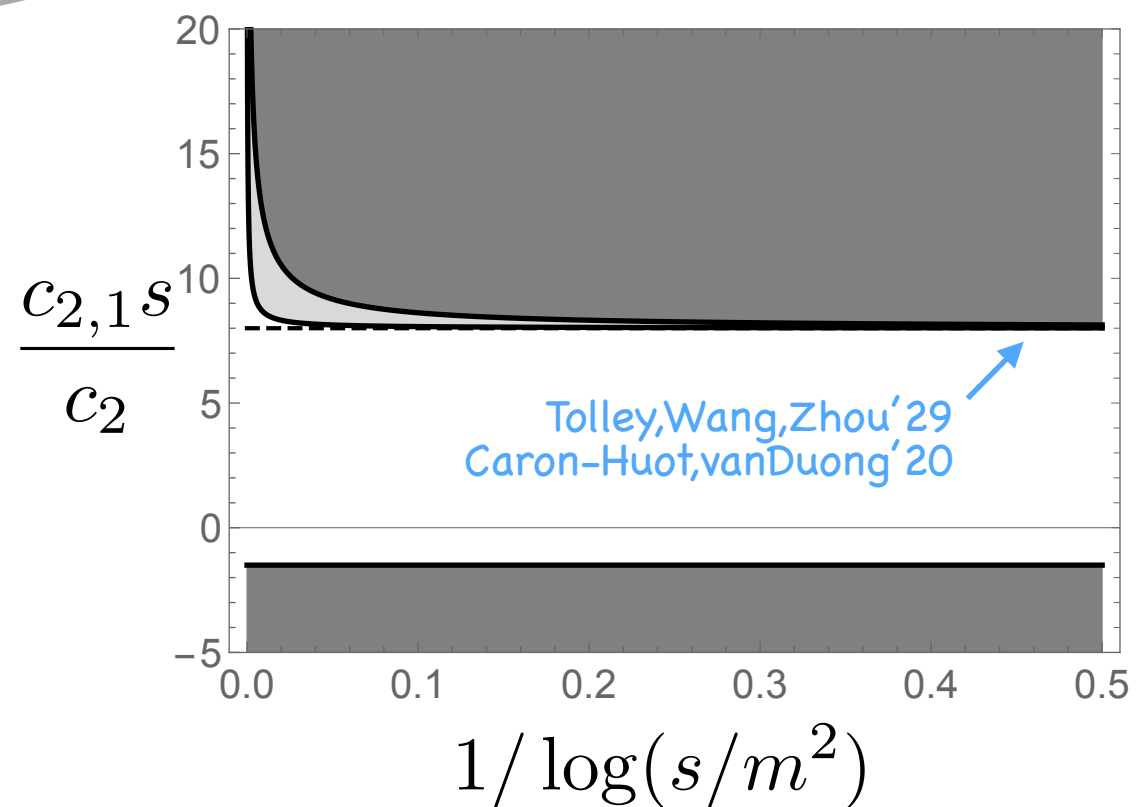
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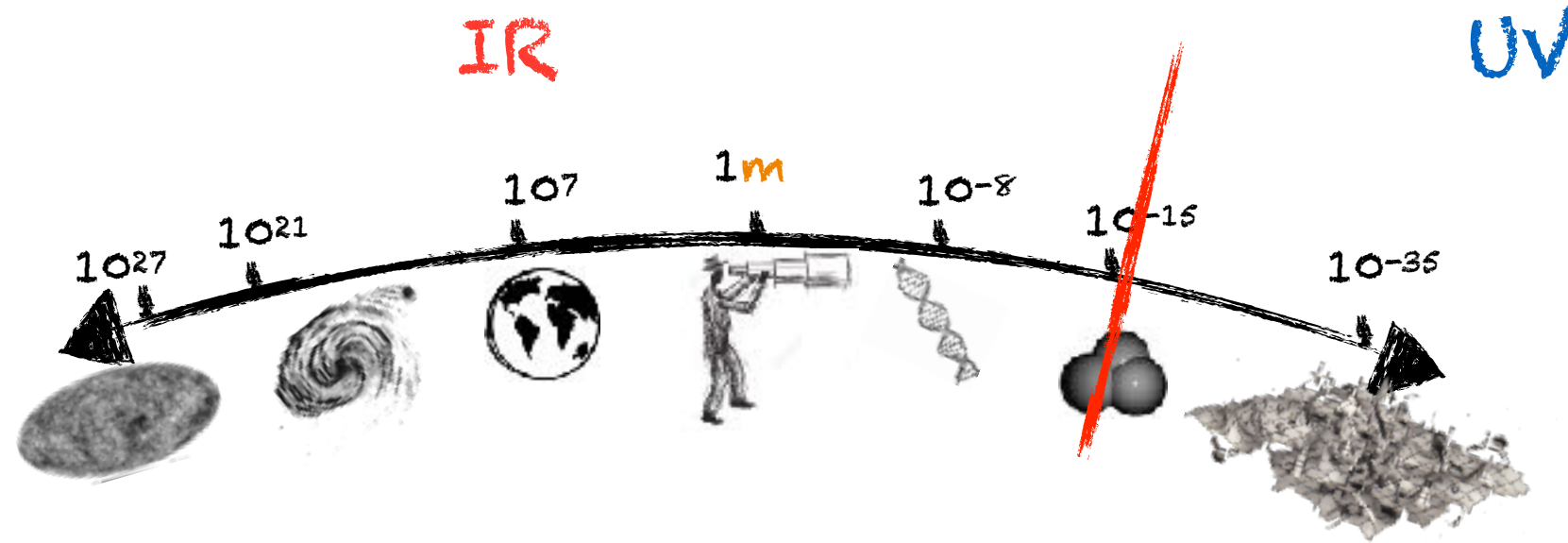
powers of  $s$



Bounds in  $t$  always affected: disappear for  $m \rightarrow 0$

Effect small for finite mass

# Summary



## Constrained EFTs

- ▶ Only 3(2) coefficients can dominate
- ▶ ~~Supersoftness~~
- ▶  $MHS > 1/LHS$

← moments ←

Causality  
Unitarity  
Lorentz invariance  
Locality

IR running important

powers of  $t$

	$C_2$	$C_{2,1}$	$C_{2,2}$	$\dots$
	$C_4$	$C_{4,1}$	$C_{4,2}$	$\dots$
	$C_6$	$C_{6,1}$	$C_{6,2}$	$\dots$
	$\vdots$	$\vdots$	$\vdots$	$\vdots$
	$\vdots$	$\vdots$	$\vdots$	$\vdots$

powers of  $s$

# Polygons vs Polynomials

Arkani-Hamed, Huang<sup>2</sup>, 2020

Bellazzini, Elias-Miro, Rattazzi, Riembau, FR'20

Positivity from geometry

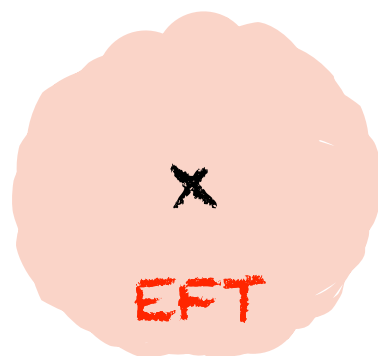
Different "functional" approach

Forward Bounds for infinite arcs **same**

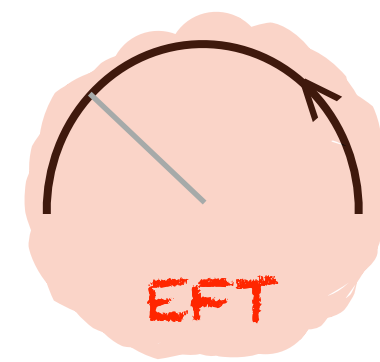
$$1 - x/2 - x^2/8 + \dots = q(x) = \sqrt{1-x}$$

- Focus on "Optimal" bounds for finite many arcs, (both forward and at finite-t)
- Two-sided bounds

Residues



Arcs



- Suitable for EFT cutoff estimate
- Ideal for running



# Galileon Bounds

Galileons have tree-amplitudes Nicolis,Rattazzi,Trincherini'09

$$A = -c_{2,1}stu = c_{2,1}s^2t + c_{2,1}st^2.$$

vanish in the forward limit, but enter at loop in  $\beta_6$

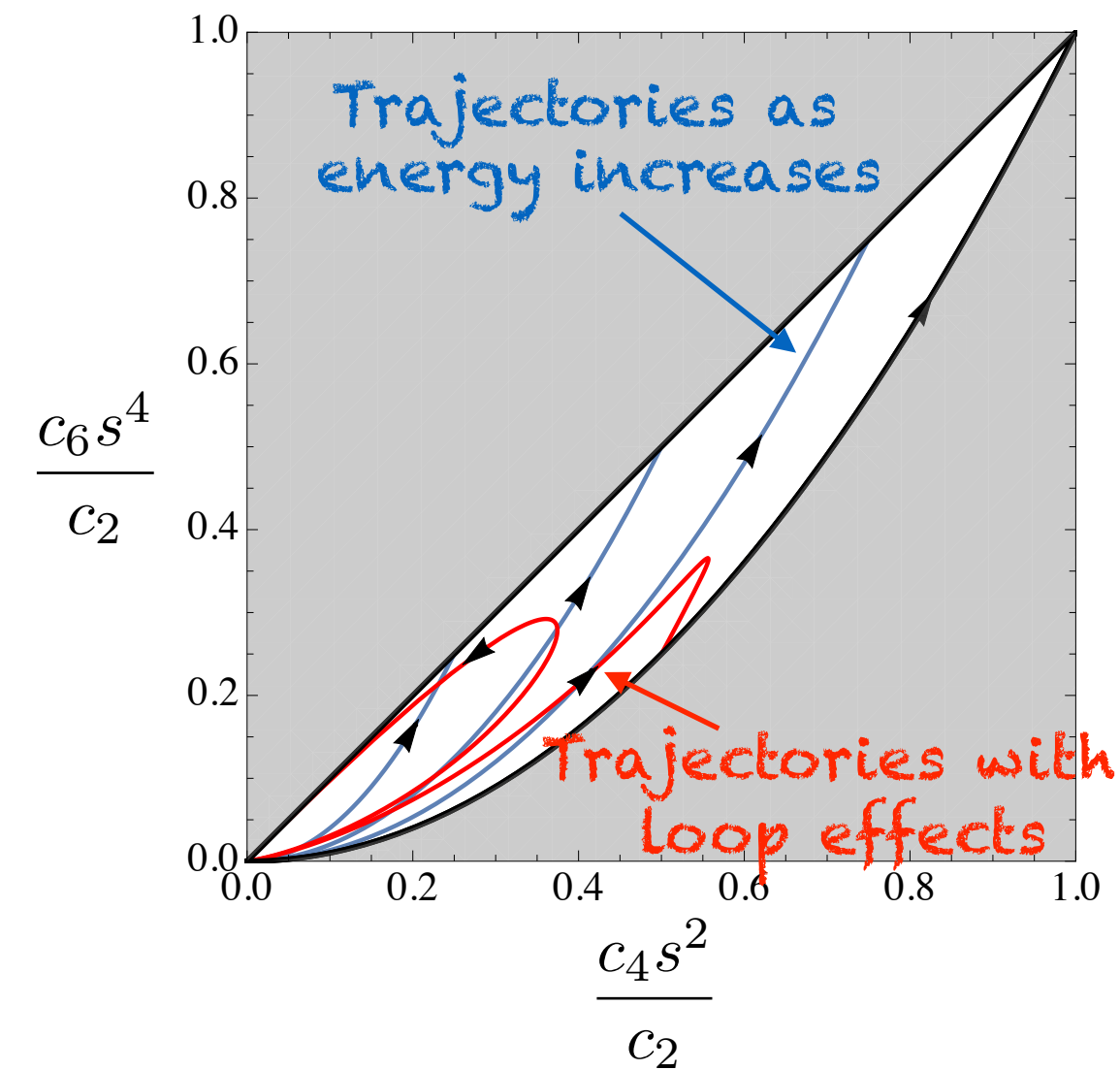
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$$c_{2,1}^2 < 16\pi^2 c_4 s^3$$

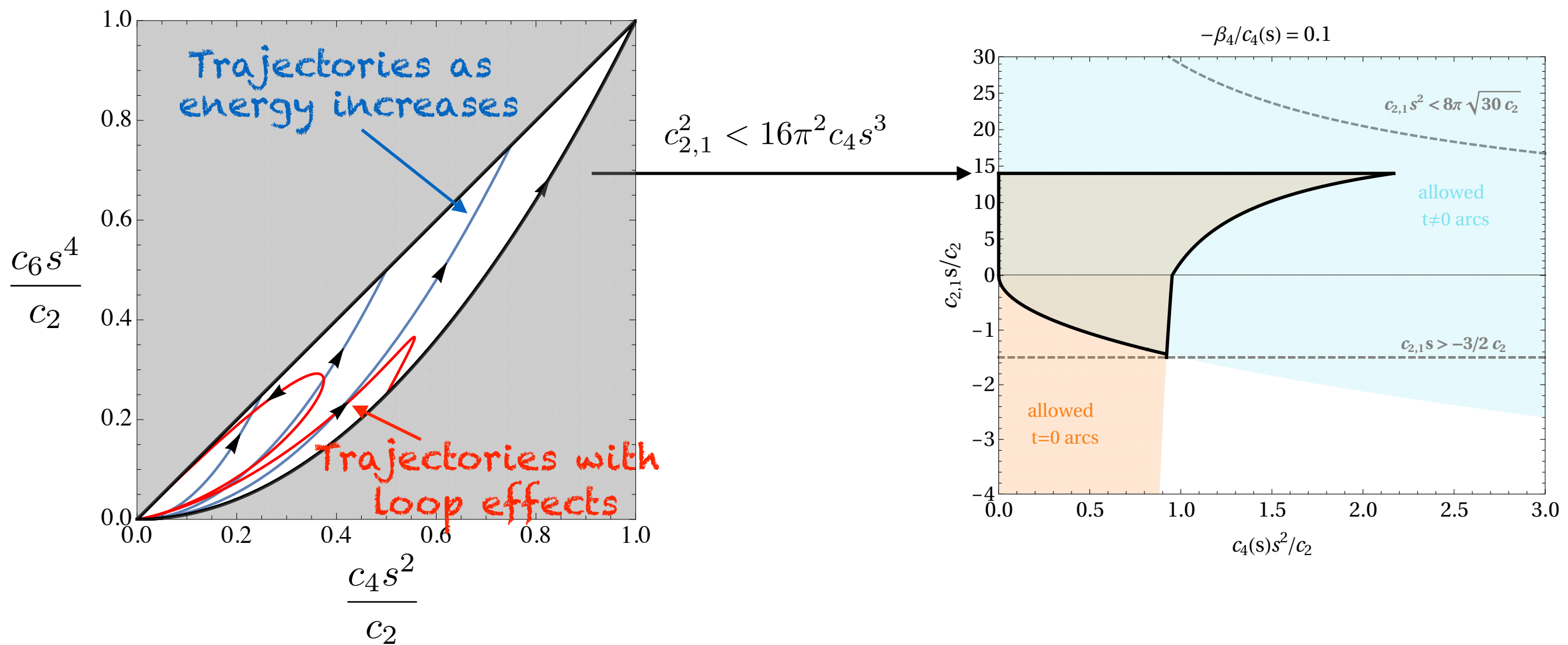
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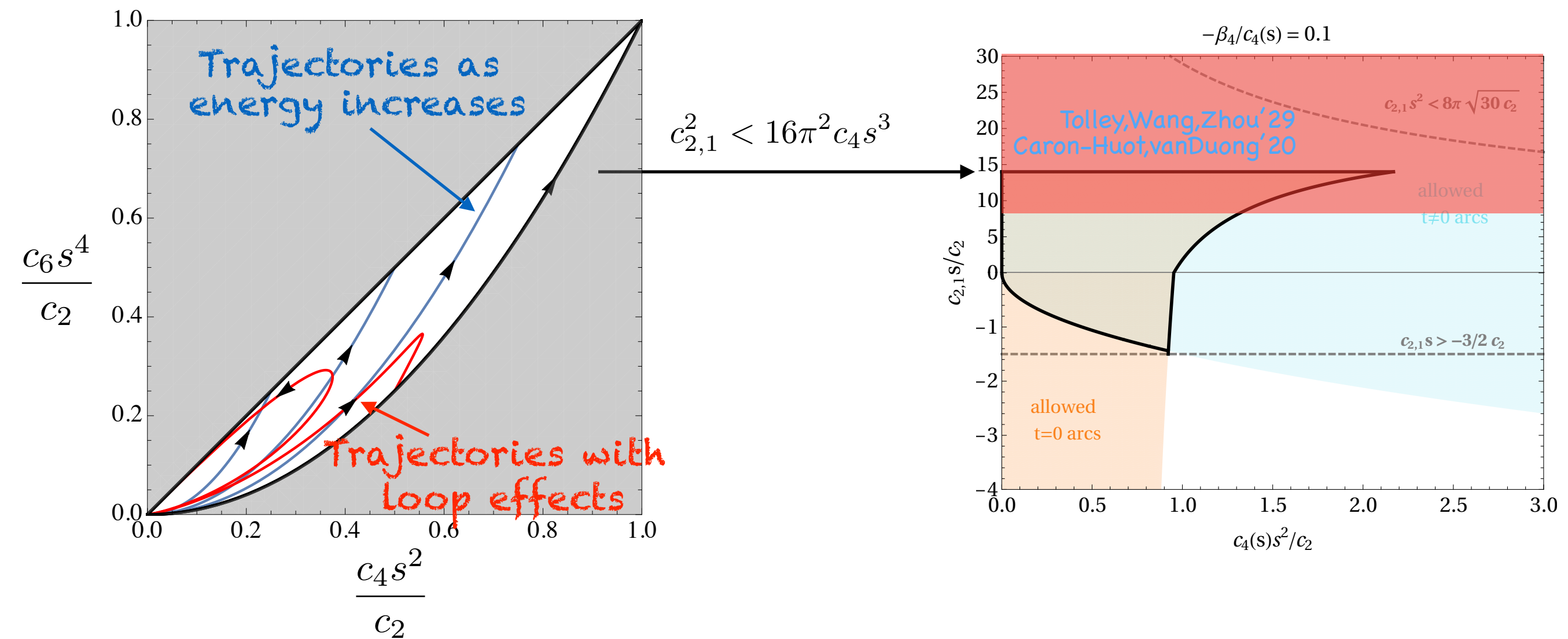
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► Upper bound crucial for massive gravity

Bellazzini, FR, Serra, Sgarlata '17(PRL)