

The asymptotic-safety paradigm for gravity and matter

Astrid Eichhorn

All Things EFT online seminar series

May 19, 2021

CP3

CP3-Origins

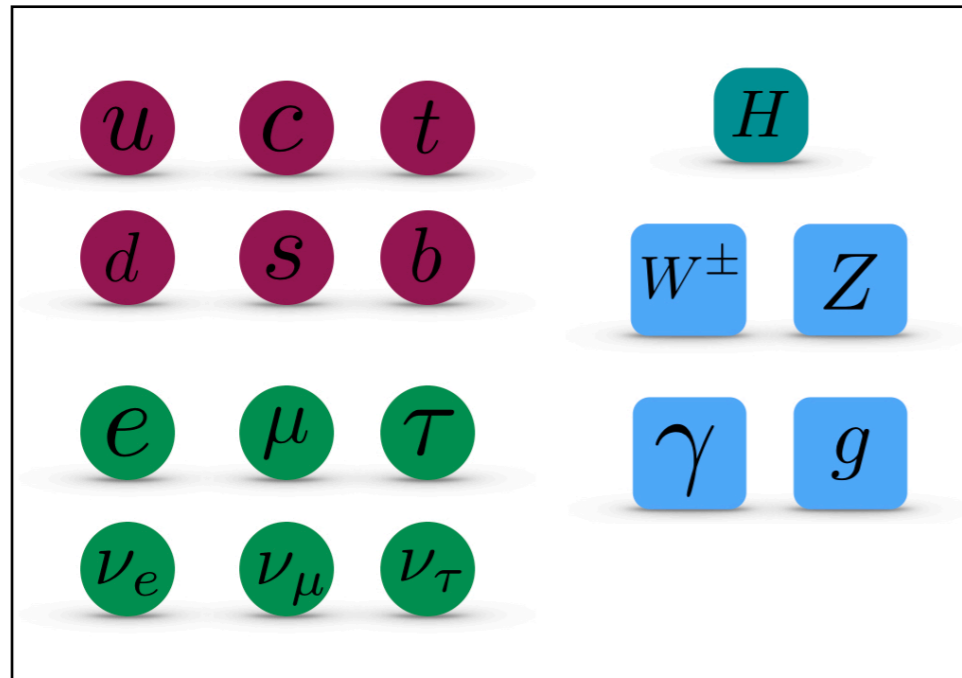
SDU 

University of
Southern Denmark

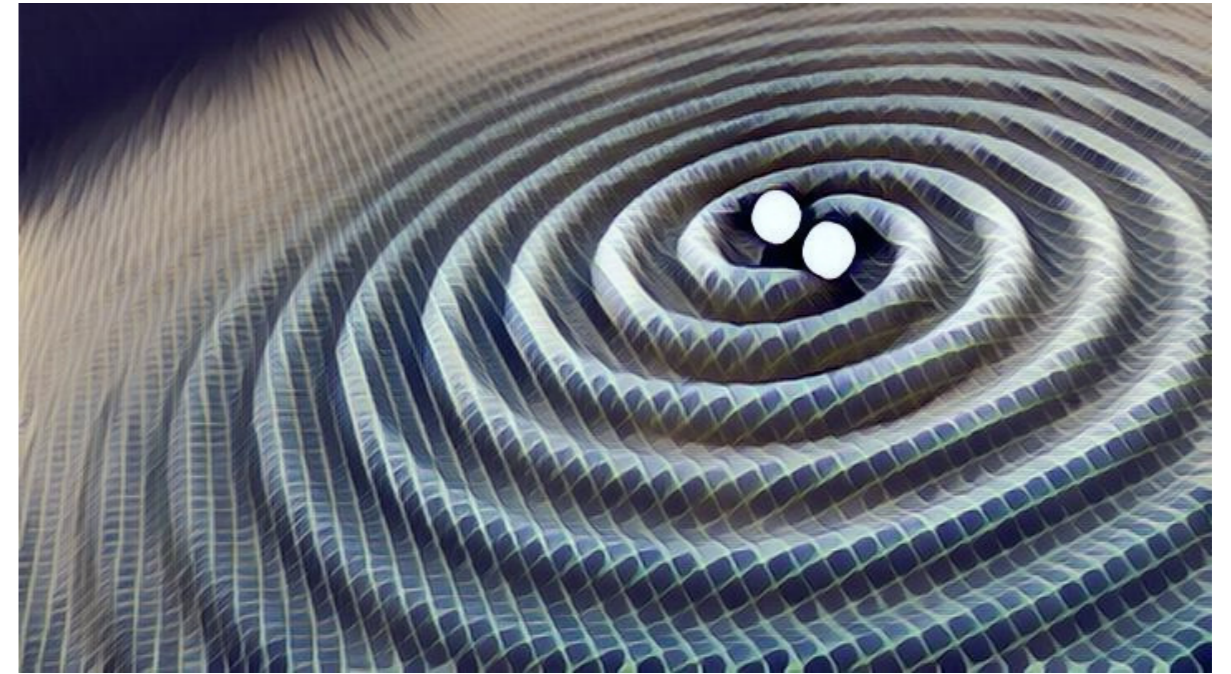
THE VELUX FOUNDATIONS

VILLUM FONDEN  VELUX FONDEN

Effective field theories of nature



Standard Model

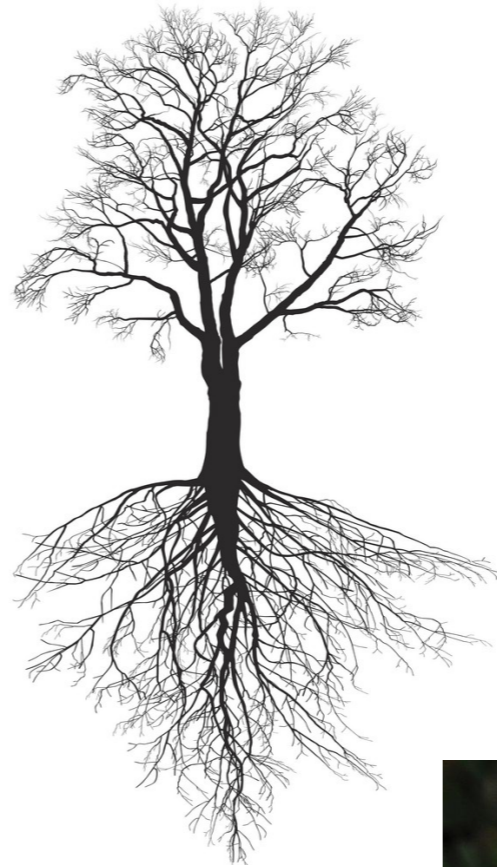


Einstein gravity

- Can asymptotic safety help? {
- highly successful in experiments/observations
 - not ultraviolet (UV) complete
 - breakdown of predictivity at high energies/small distances; occurrence of Landau poles
 - unknown how to combine the two beyond EFT regime

Asymptotic safety: a symmetry principle

Could scale symmetry be a deep principle of nature?

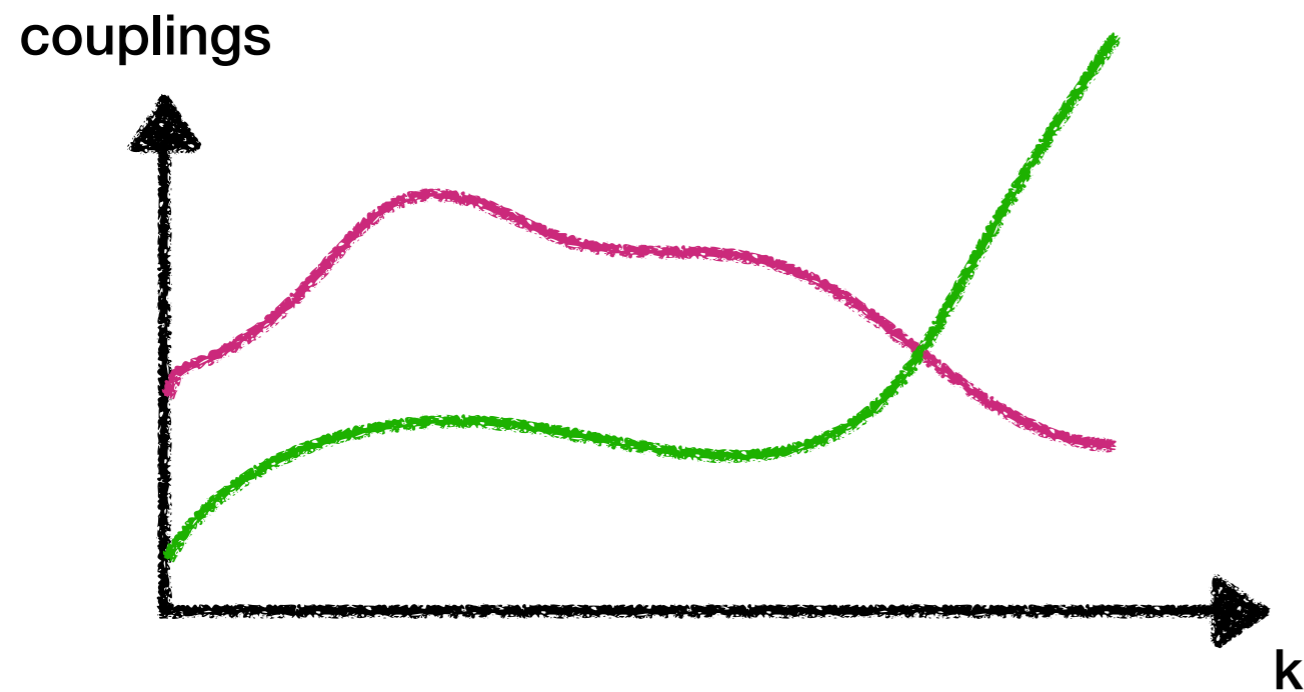


Asymptotic safety

Could scale symmetry be a deep principle of nature?

Presence of quantum fluctuations:

Theory is scale dependent:
Renormalization Group flow



Asymptotic safety

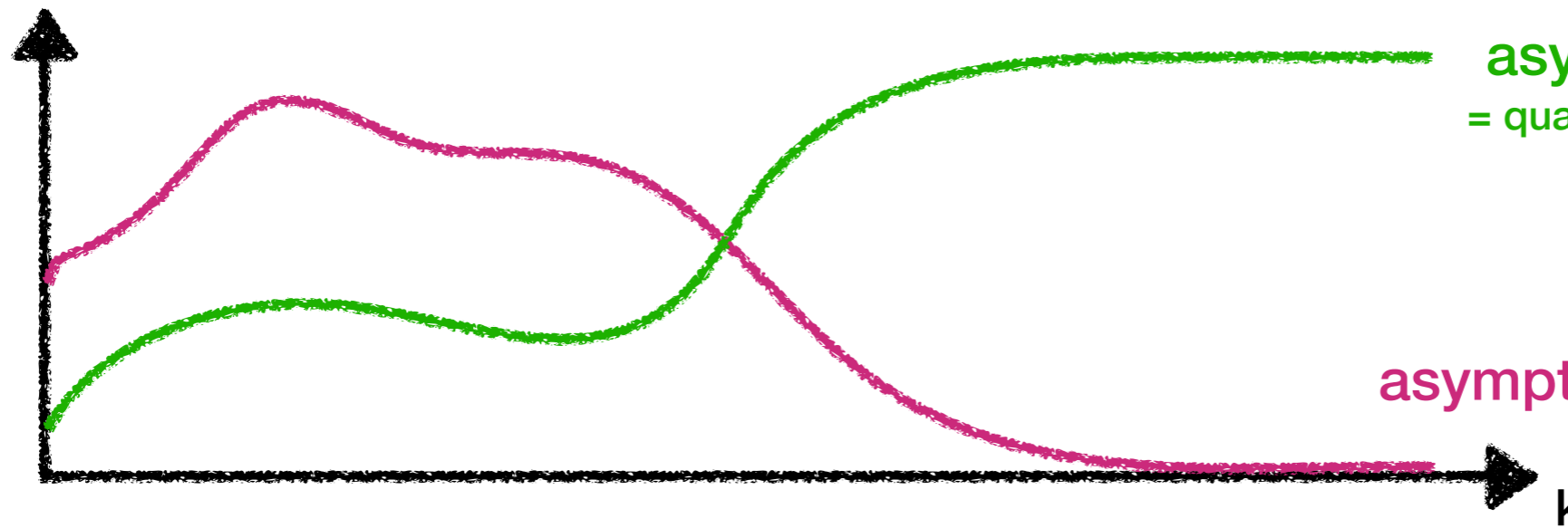
Could scale symmetry be a deep principle of nature?

Presence of quantum fluctuations:

Theory is scale dependent:
Renormalization Group flow

Scale symmetry:
Renormalization Group fixed point

couplings



asymptotic safety
= quantum scale symmetry

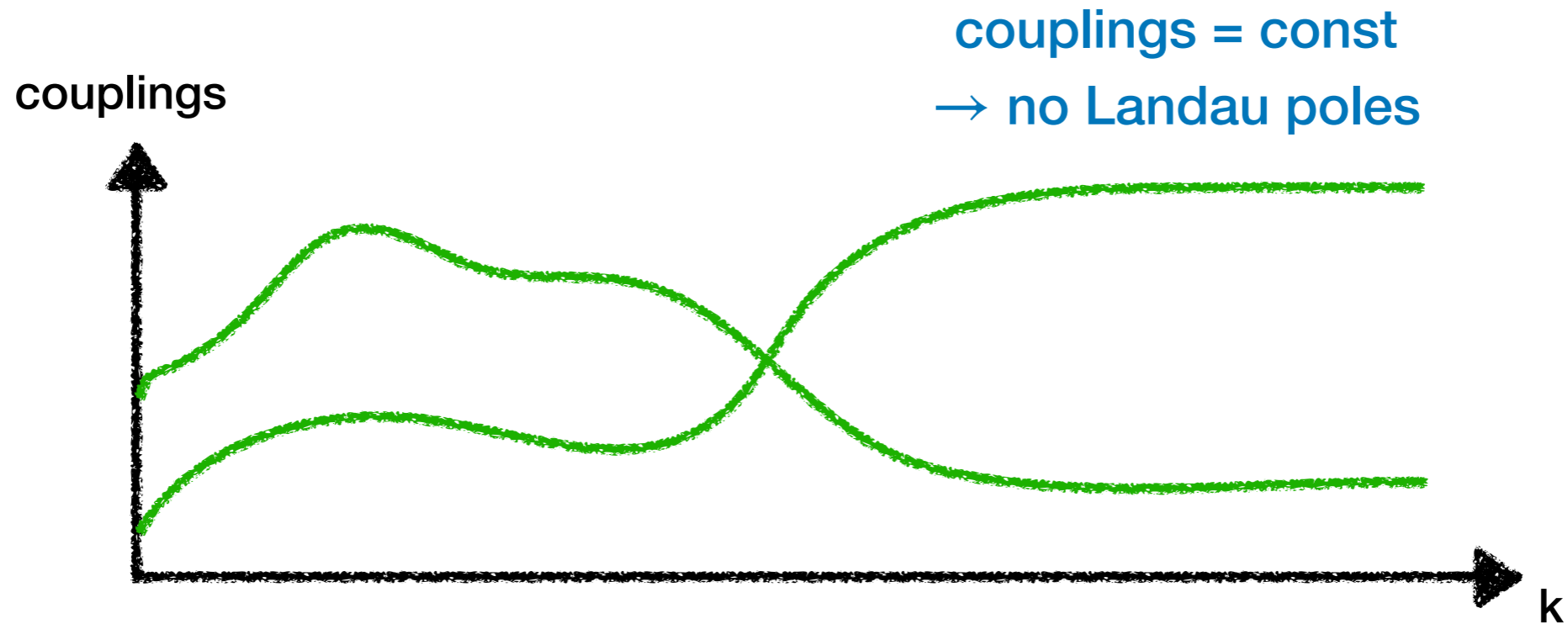
asymptotic freedom

k

Asymptotic safety

Asymptotic safety = EFT + UV quantum scale symmetry

→ UV extensions/completion & predictivity



$$\Gamma = \int d^4x (g_1 \mathcal{O}_1 + g_2 \mathcal{O}_2 + g_3 \mathcal{O}_3 + g_4 \mathcal{O}_4 \dots)$$

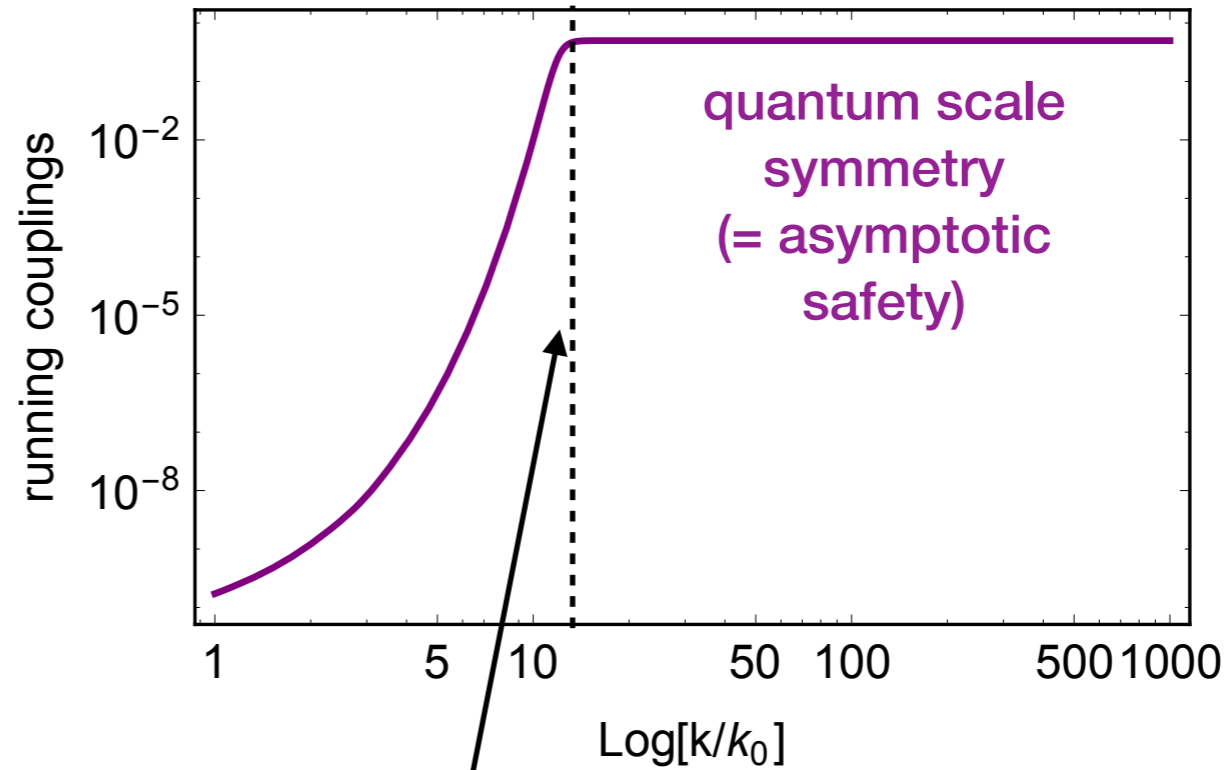
Asymptotic safety:

All g_i start at a fixed point in the UV

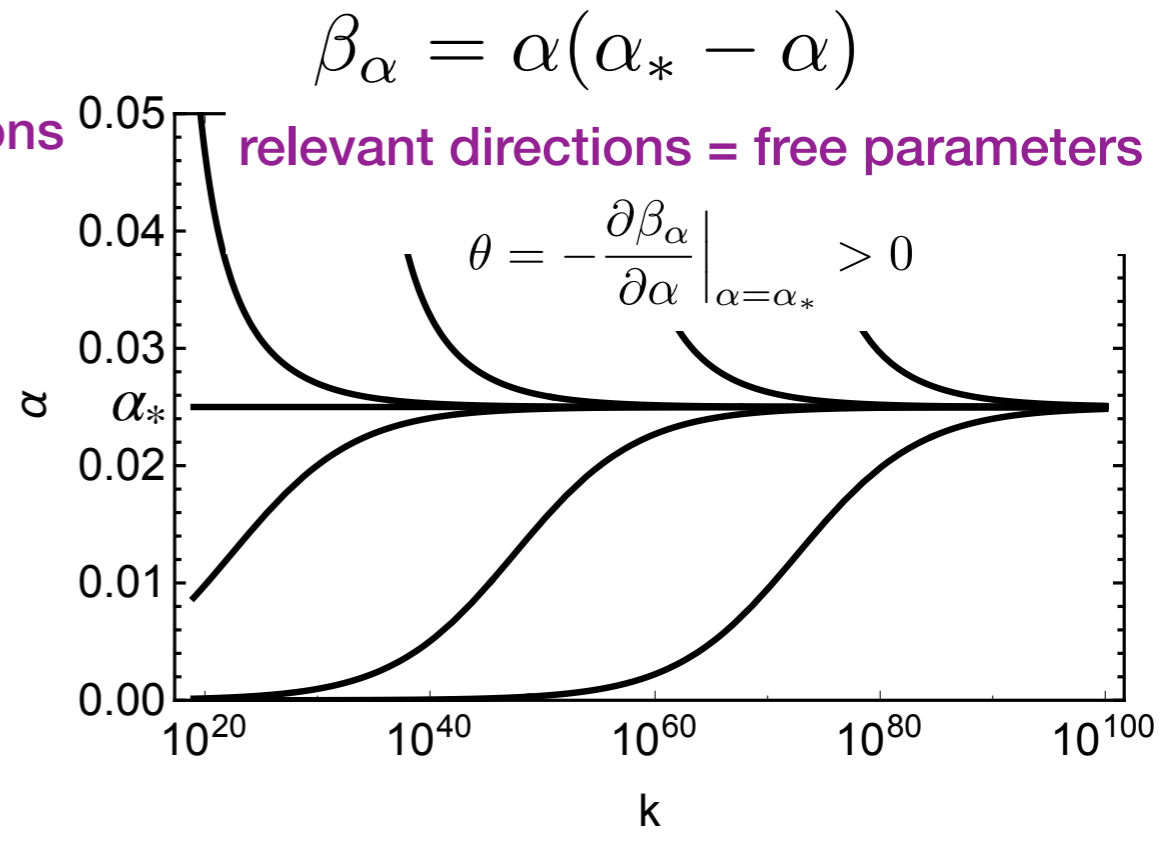
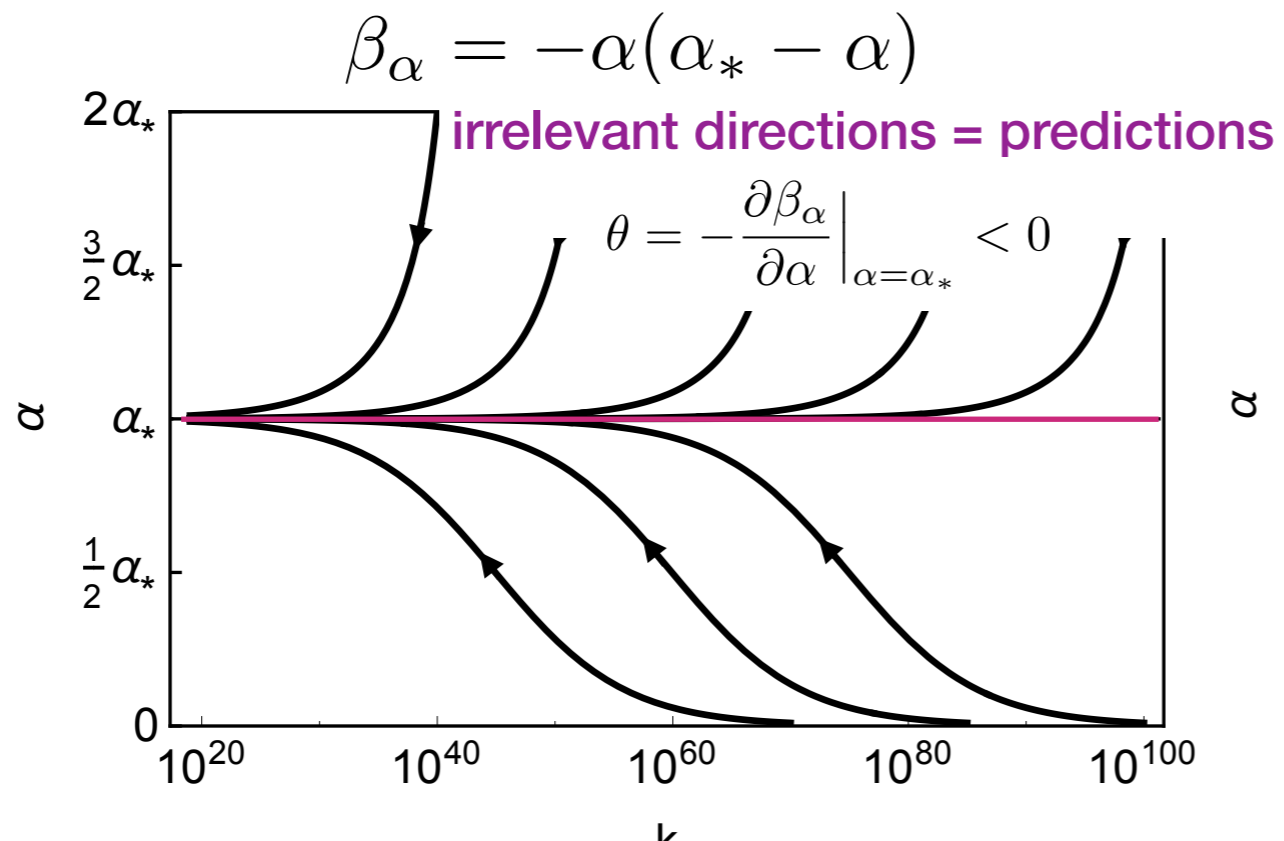
⇒ relations $g_4 = g_4(g_1, g_2, g_3)$

EFT: independent free parameters

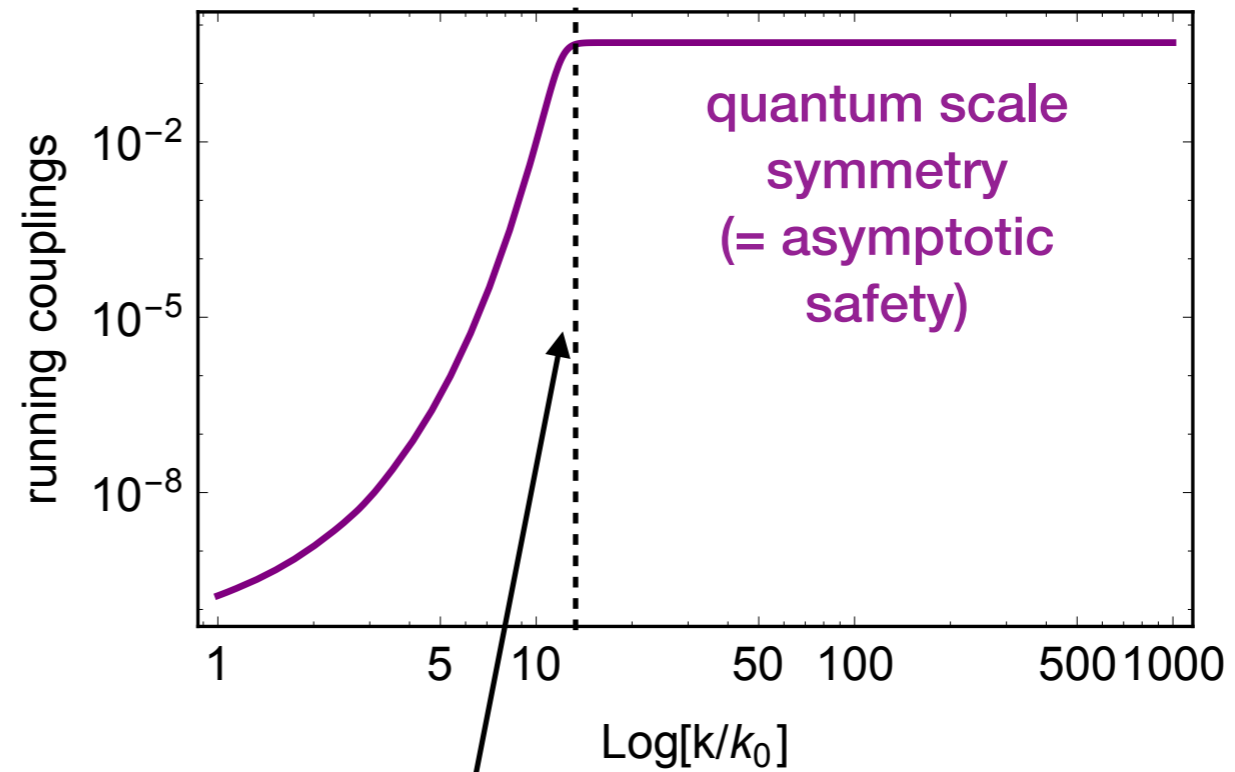
Predictive power of asymptotic safety



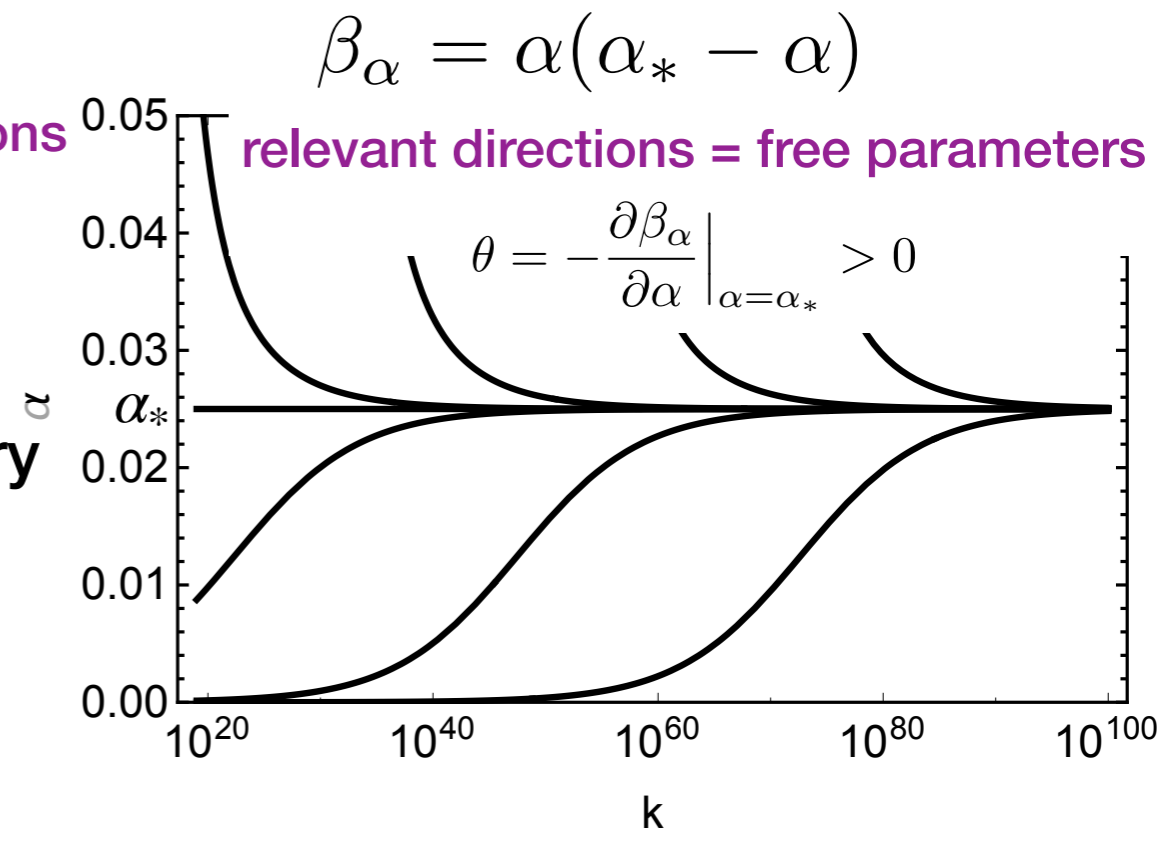
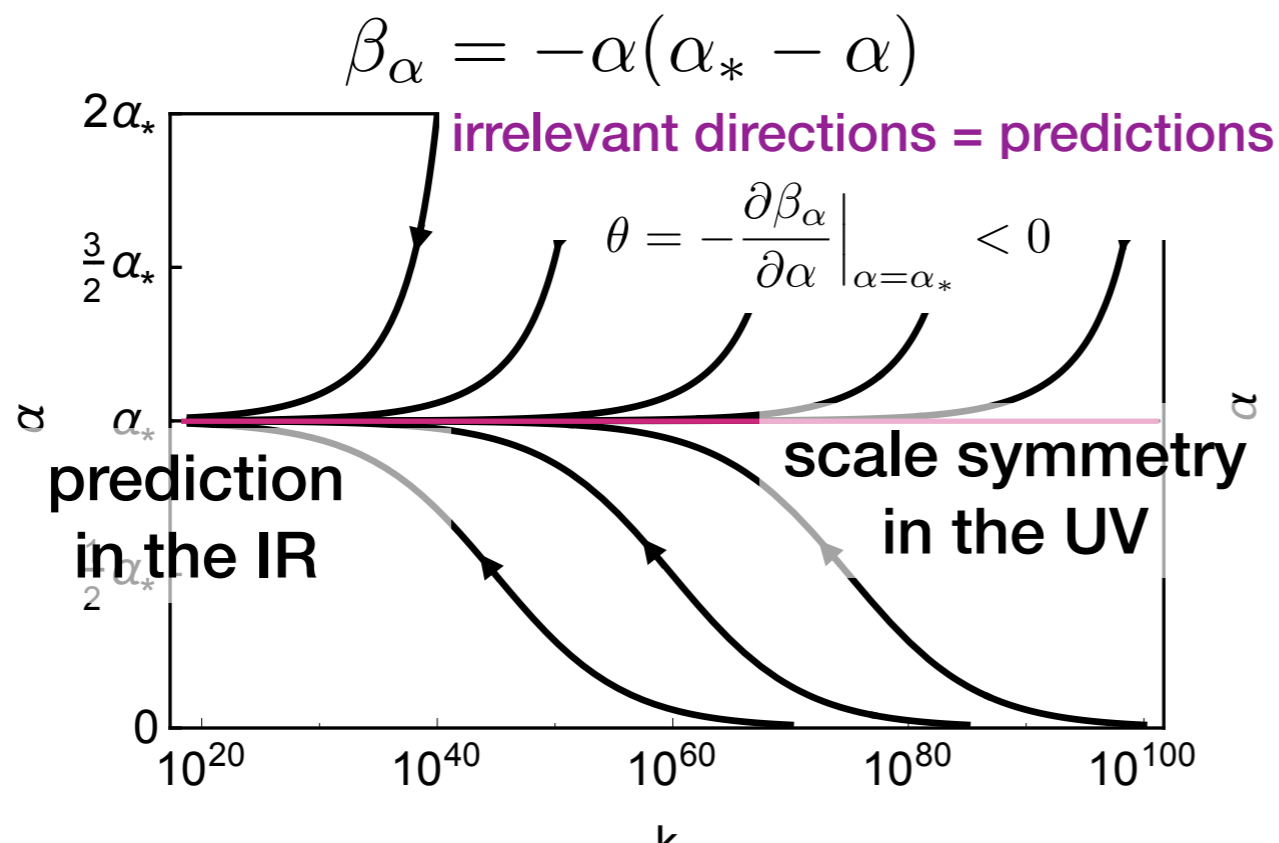
departure from scale symmetry



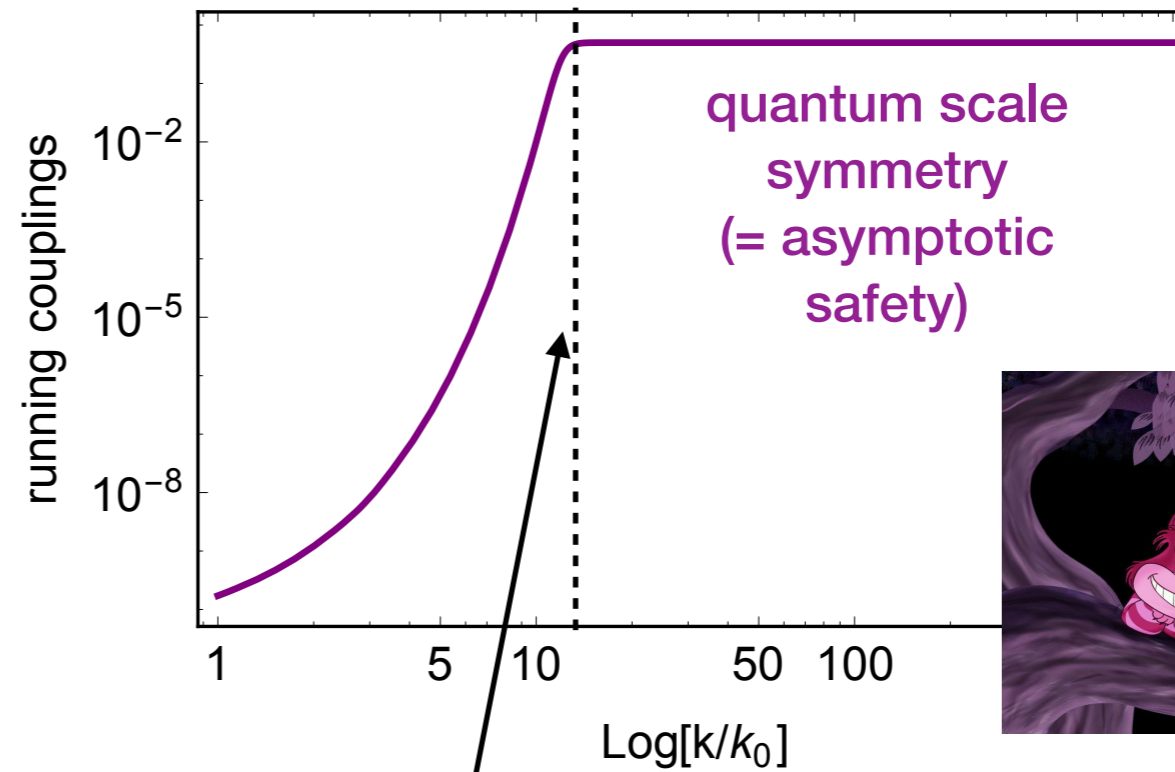
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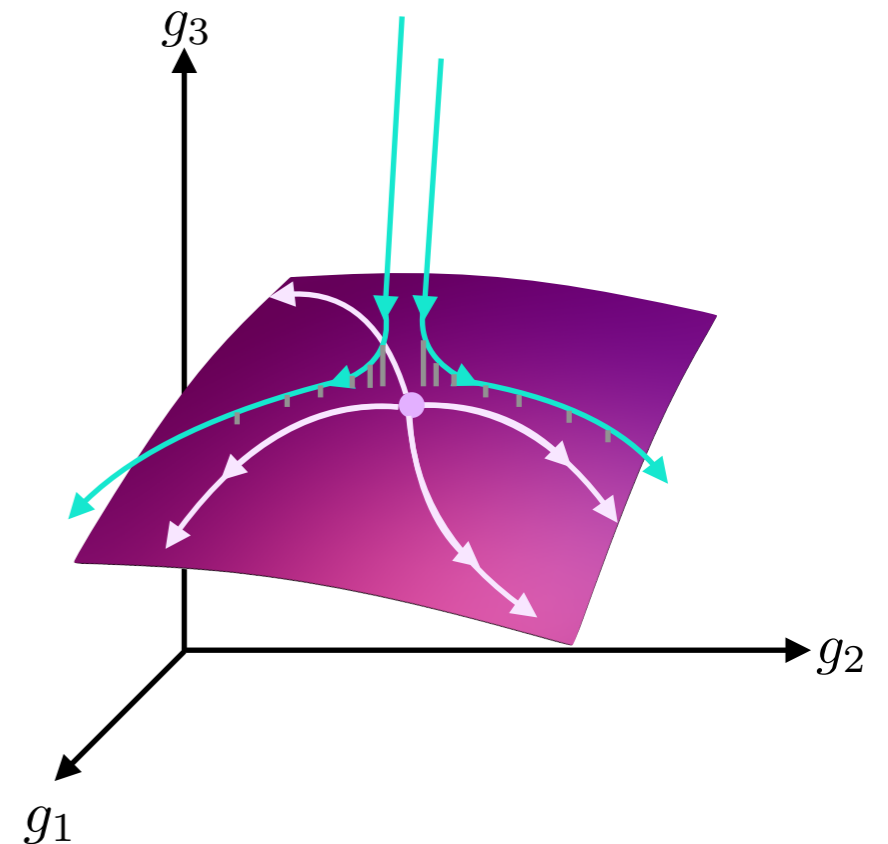
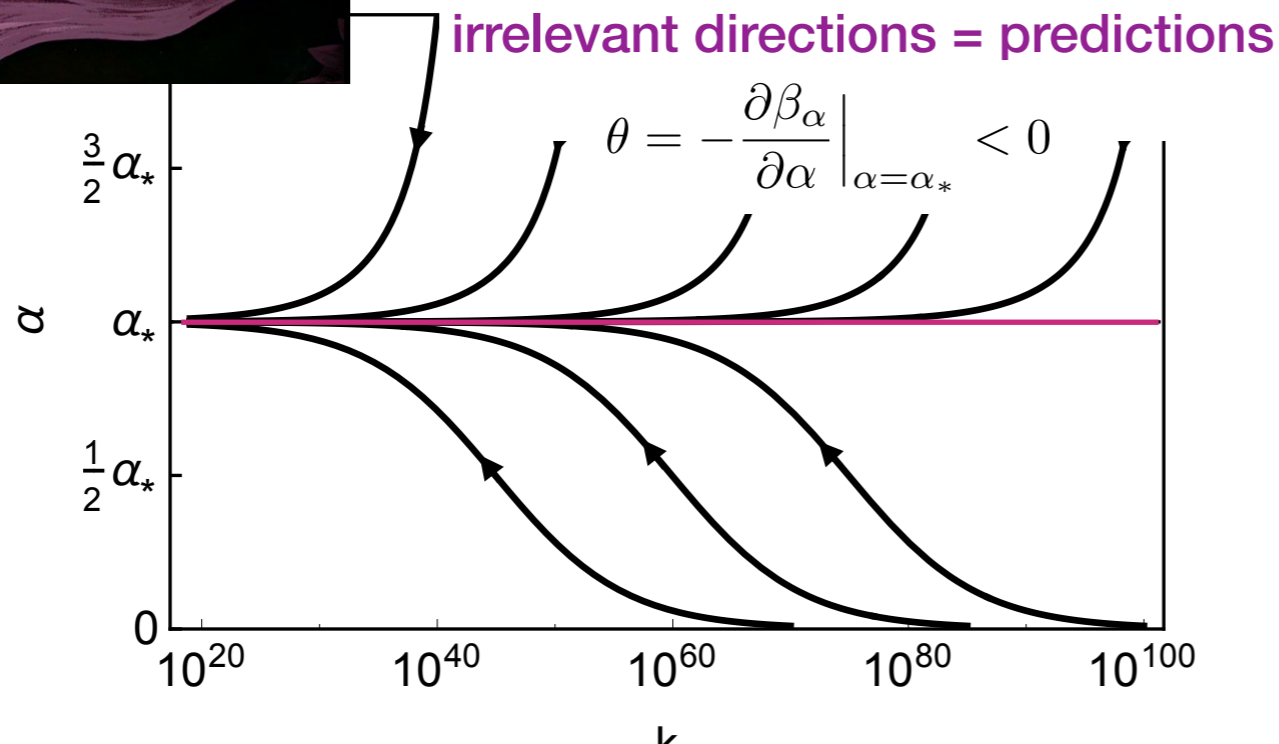
Predictive power of asymptotic safety



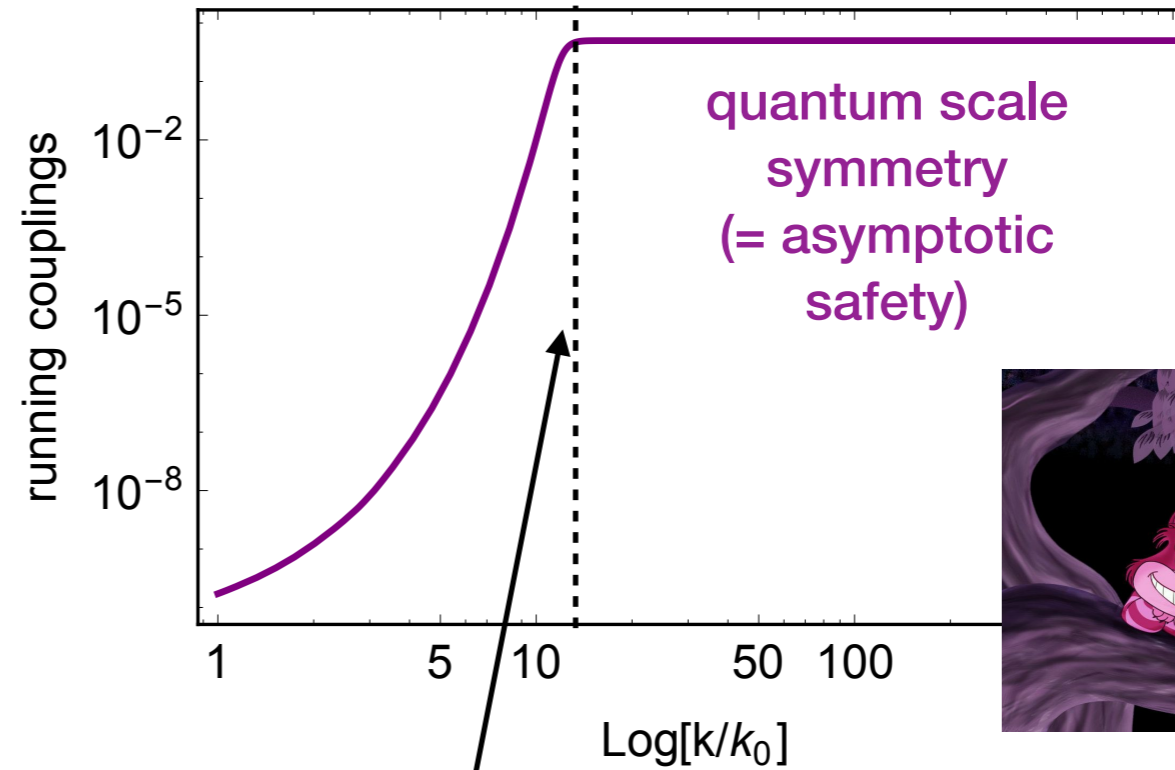
IR consequences of UV scale symmetry:
relations between couplings/predictions
of coupling values



departure from scale symmetry



Predictive power of asymptotic safety



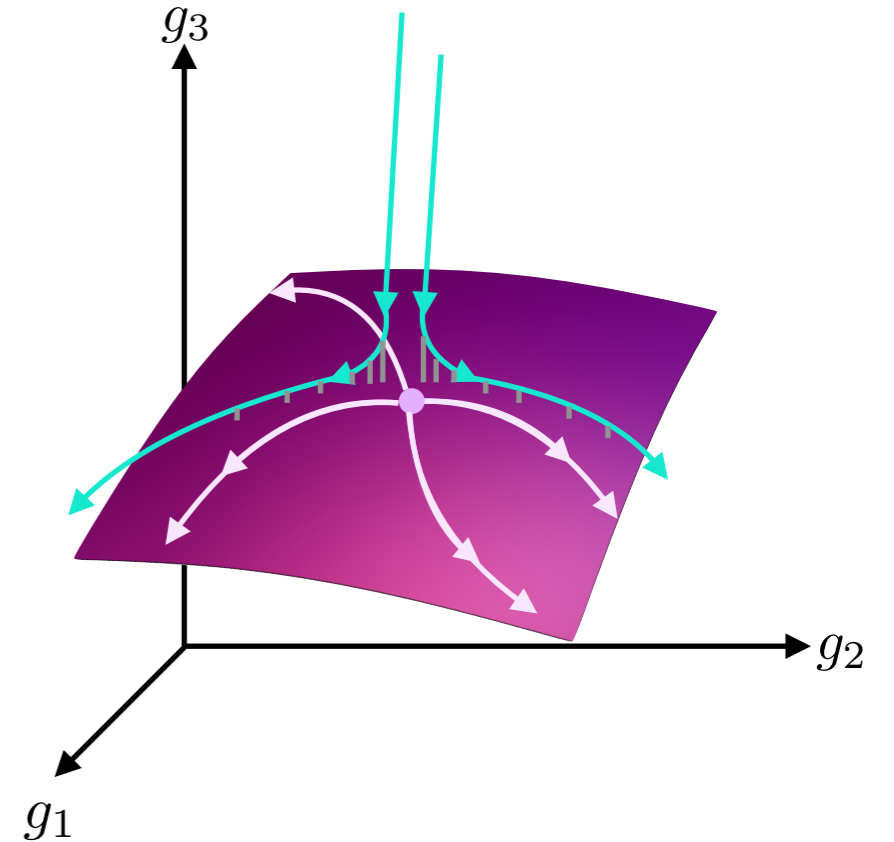
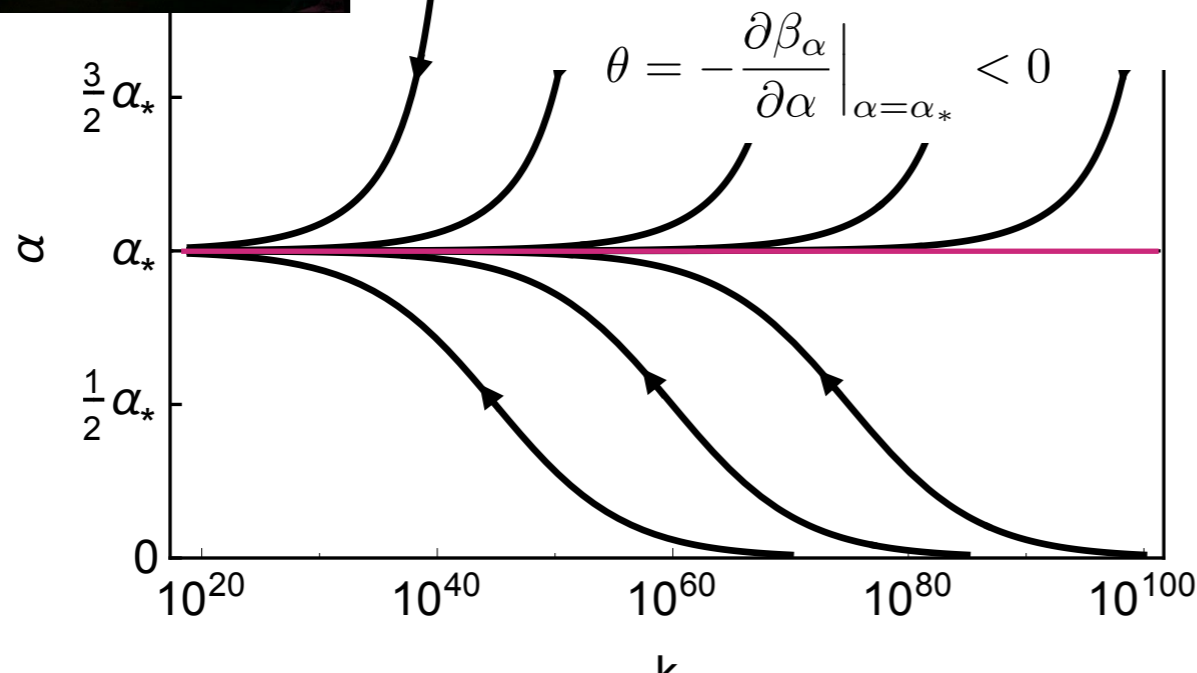
IR consequences of UV scale symmetry:
relations between couplings/predictions
of coupling values

departure from scale symmetry



predictive power of new symmetry

irrelevant directions = predictions



Asymptotic safety - an example in 4d perturbative QFT

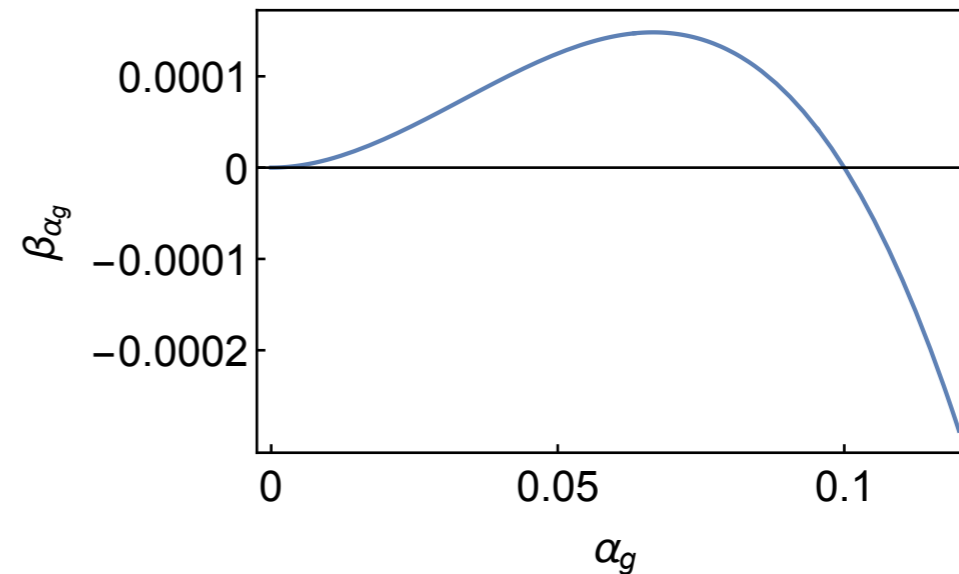
Gauge-Yukawa theory:

Litim, Sannino '14

$$\beta_{\alpha_g} = (-B + C\alpha_g - D\alpha_y) \alpha_g^2 + \mathcal{O}(\alpha_g^4)$$

$$\beta_{\alpha_y} = (E\alpha_y - F\alpha_g) \alpha_y + \mathcal{O}(\alpha_y^3)$$

B, C, D, E, F depend on number of charged fermions and scalars



Asymptotic safety - an example in 4d perturbative QFT

Gauge-Yukawa theory:

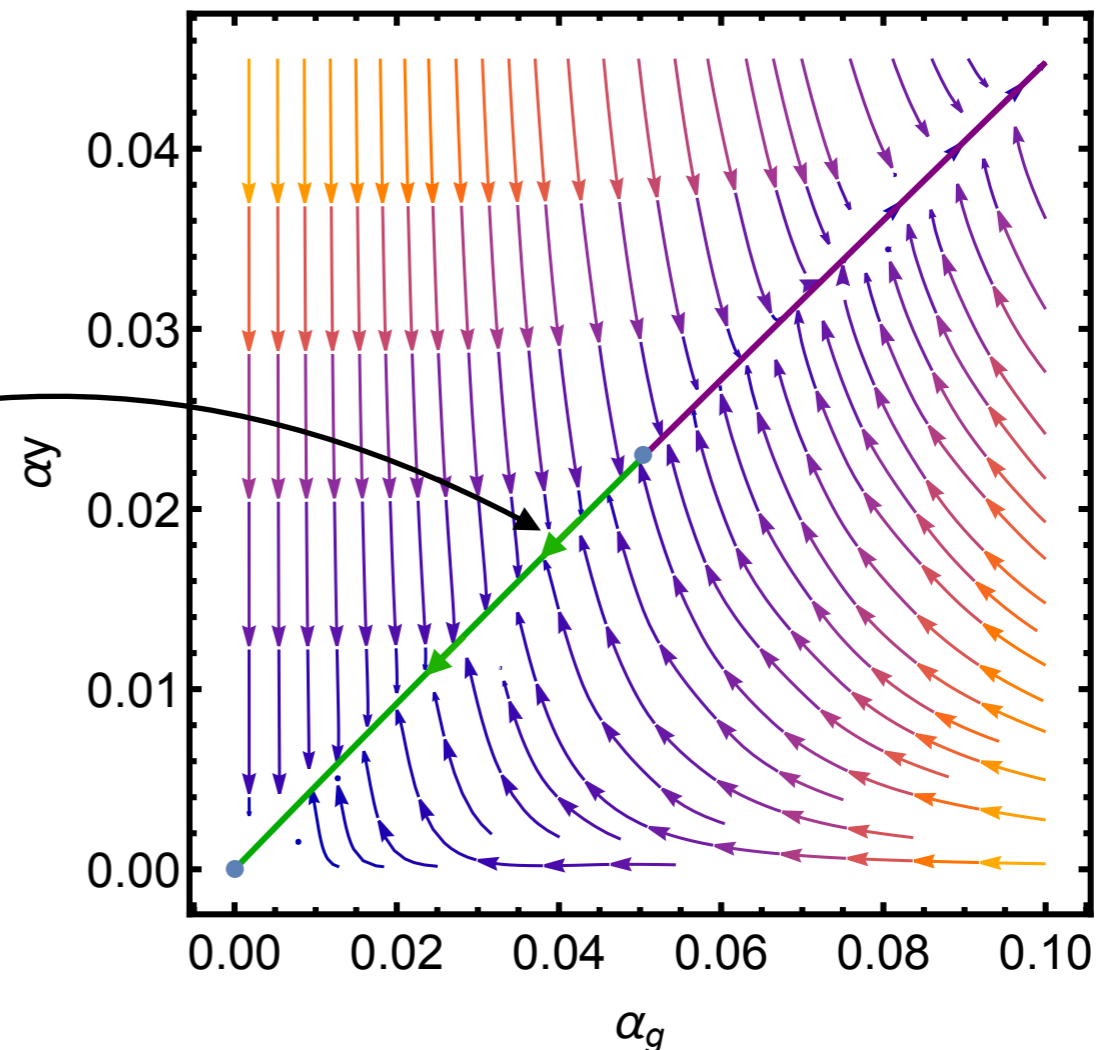
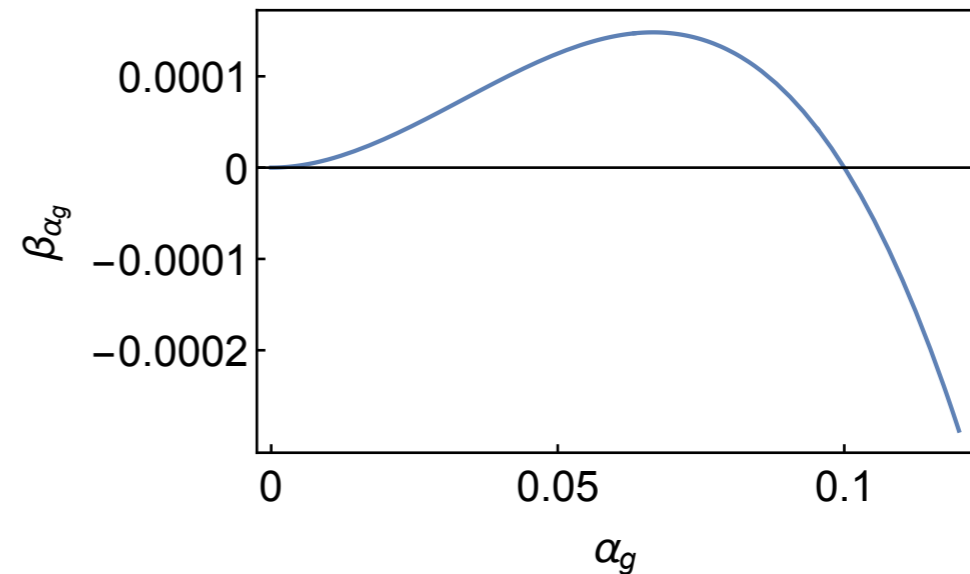
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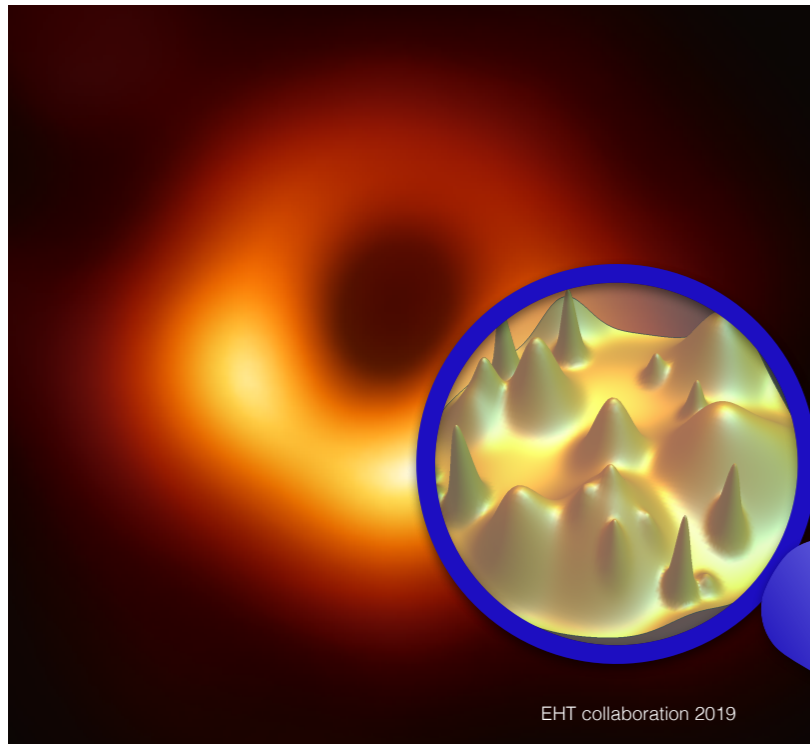
α_y calculable as function of α_g



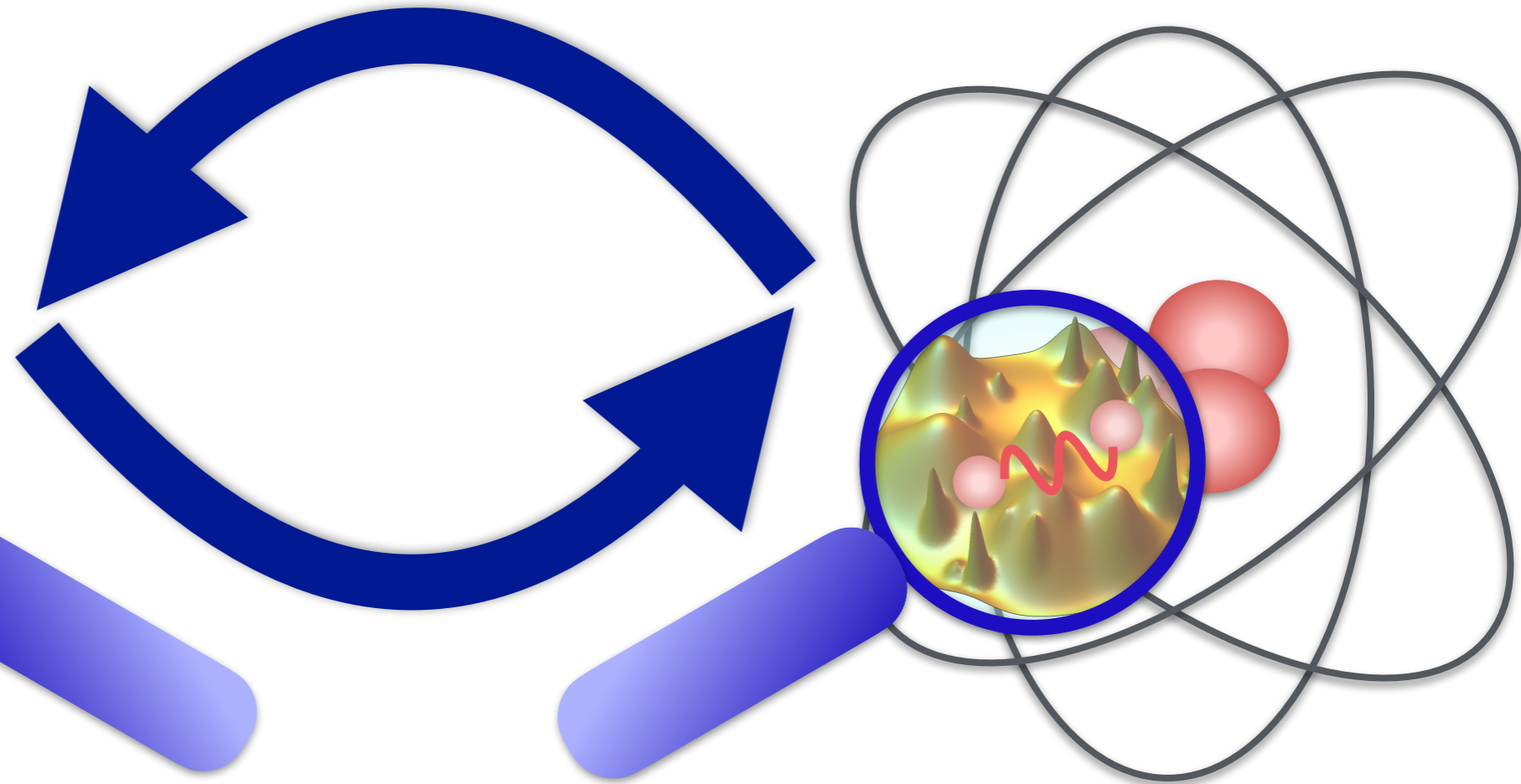
The search for asymptotic safety in gravity-matter systems

Motivation:

Microstructure of spacetime

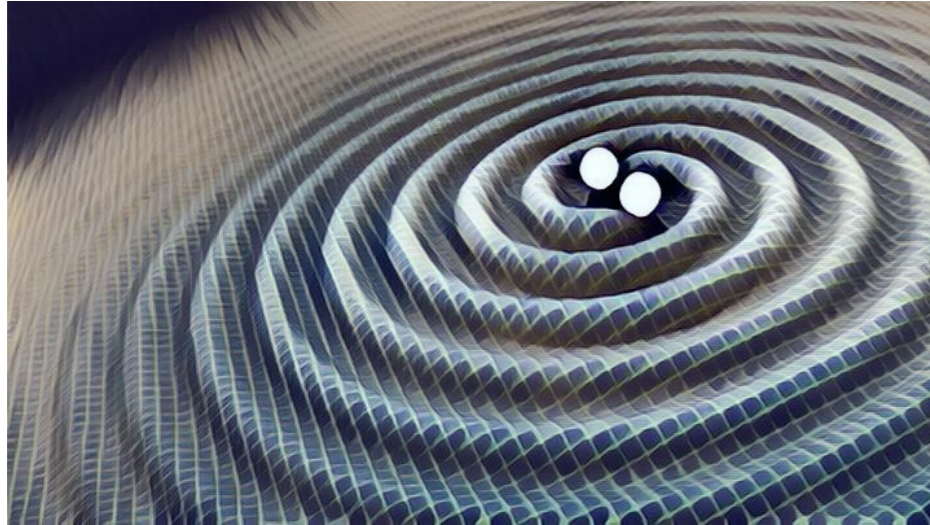


Constituents of matter



The search for asymptotic safety in gravity-matter systems

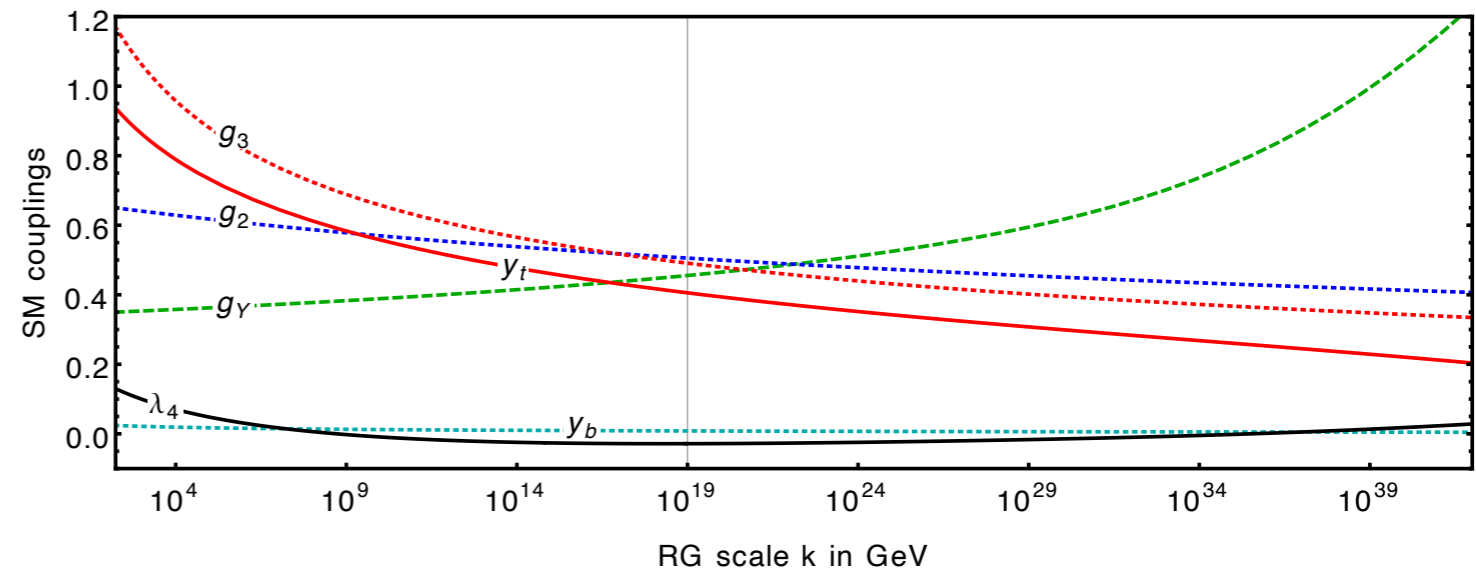
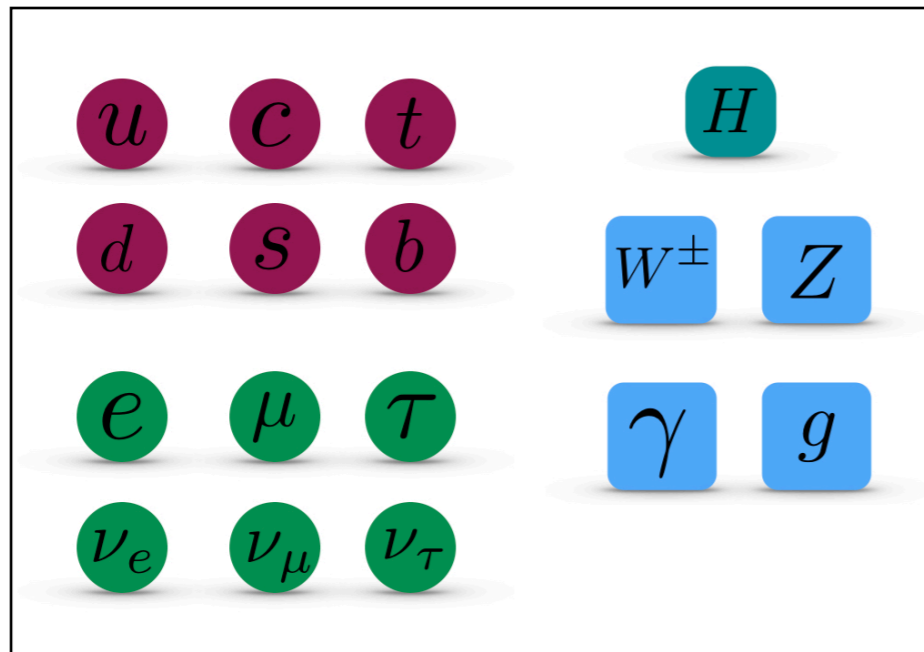
Motivation:



Einstein gravity:
perturbatively non-renormalizable

$$\Gamma = \int d^4x \sqrt{-g} \left(\Lambda(k) + \frac{1}{16\pi G_N(k)} R + a_1(k) R^2 + b(k) R_{\mu\nu} R^{\mu\nu} + a_2(k) R^3 + \dots \right)$$

→ loss of predictivity at Planck scale

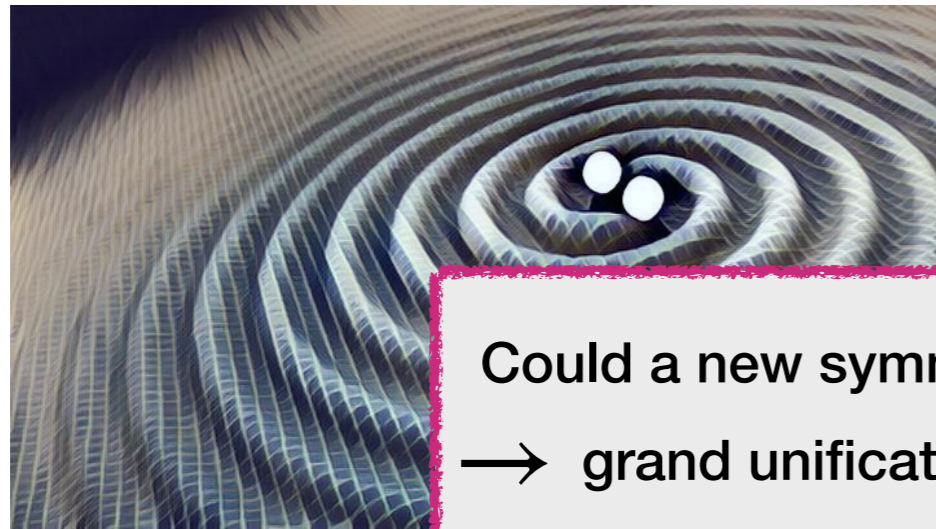


→ transplanckian Landau poles

→ 19 free parameters

The search for asymptotic safety in gravity-matter systems

Motivation:



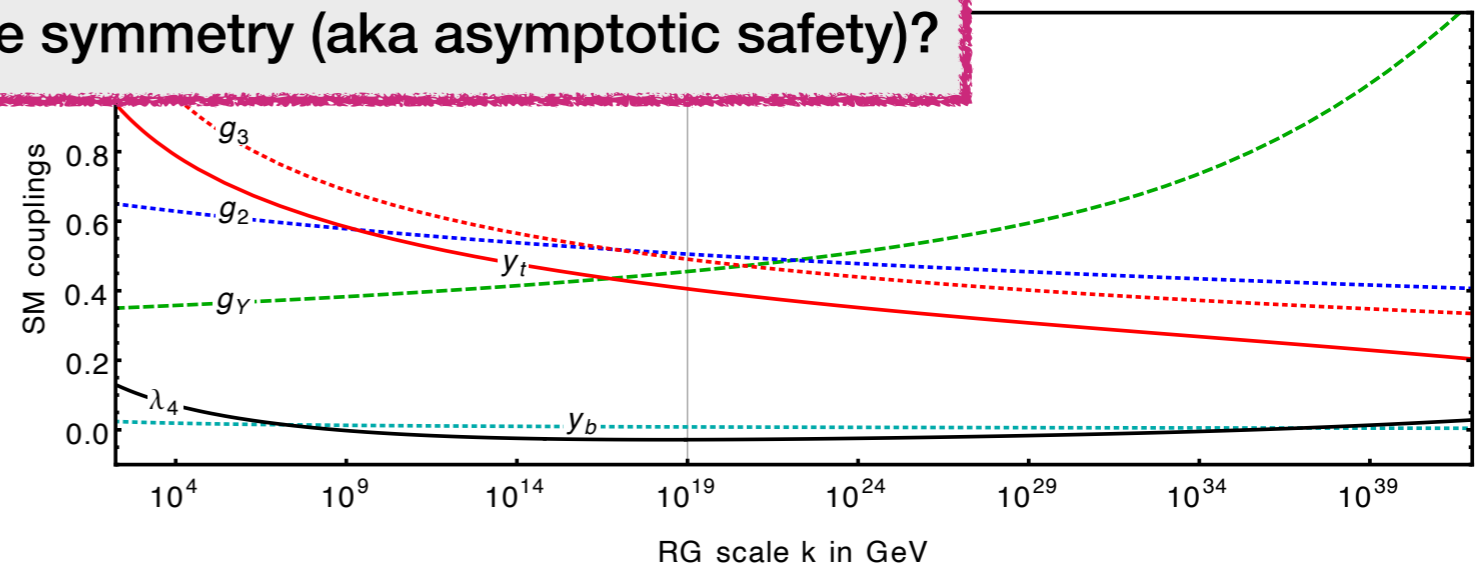
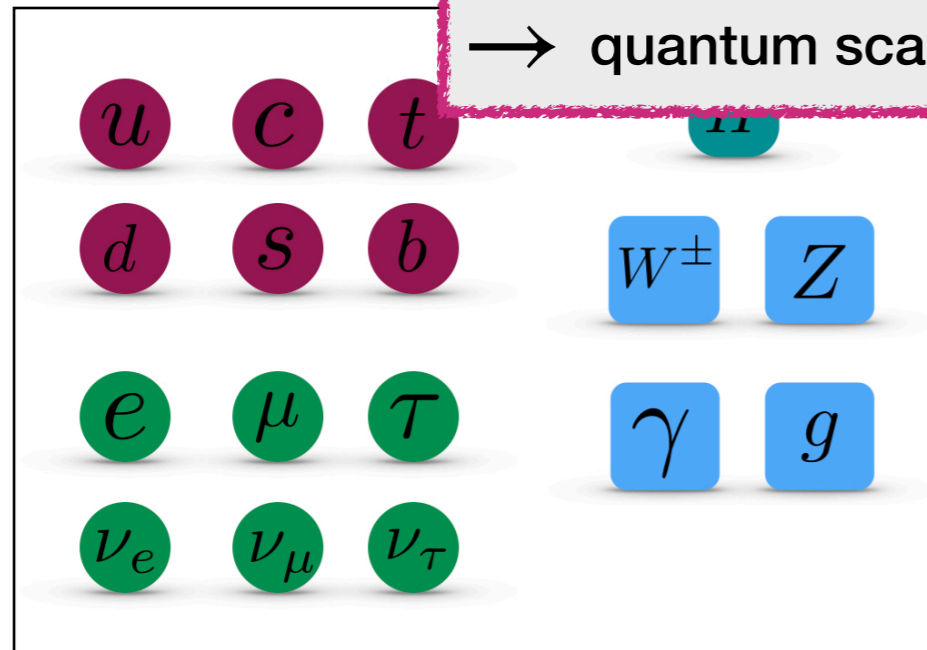
Einstein gravity:
perturbatively non-renormalizable

Could a new symmetry help?

- grand unification?
- supersymmetry?
- quantum scale symmetry (aka asymptotic safety)?

$$S(k) = \int d^4x \sqrt{g} \left(\frac{1}{2} R_{\mu\nu} R^{\mu\nu} + a_2(k) R^3 + \dots \right)$$

scale

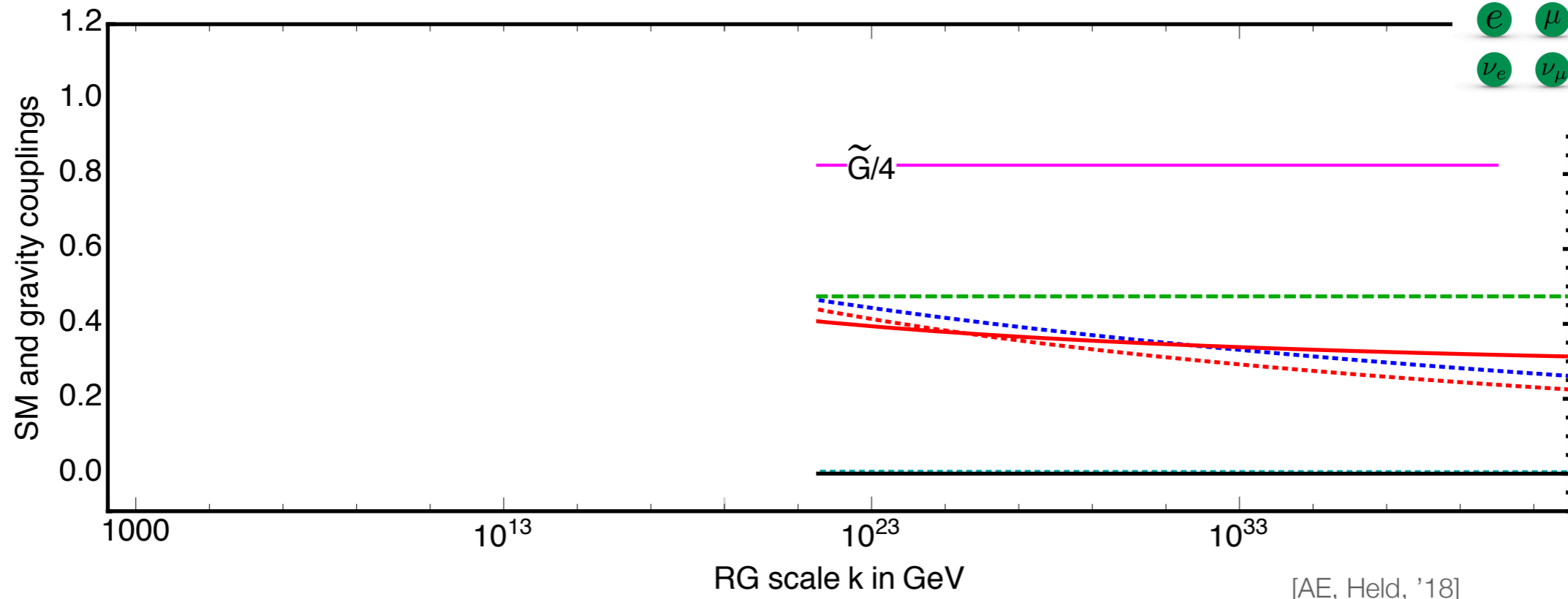
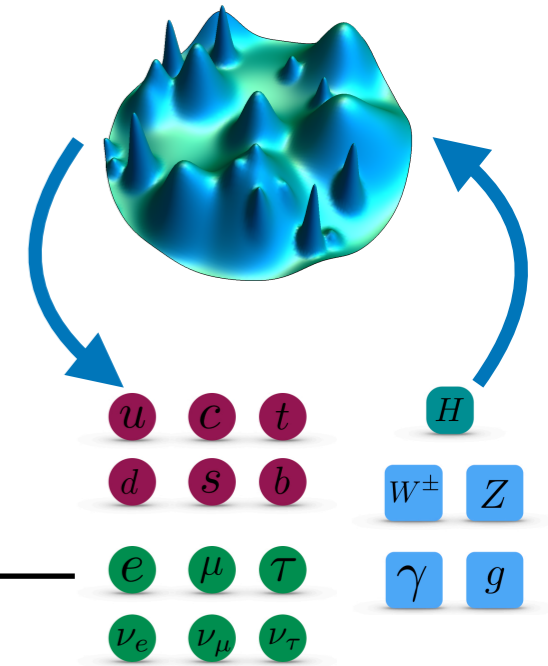


- transplanckian Landau poles
- 19 free parameters

The search for asymptotic safety in gravity-matter systems

- brief introduction to the technical setup
- status of asymptotic safety in gravity-matter systems
& open questions
- predictive power of asymptotic safety
 - Abelian gauge coupling
 - Yukawa sector
 - dark sectors

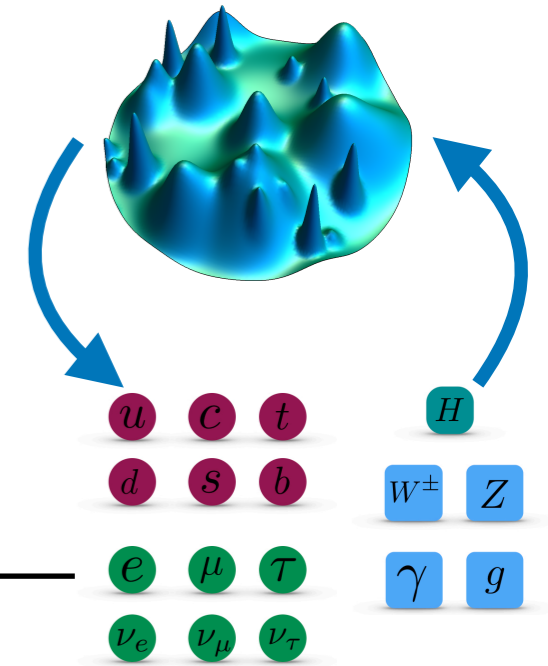
Three regimes of the gravity-matter functional RG flow



quantum fluctuations integrated out:

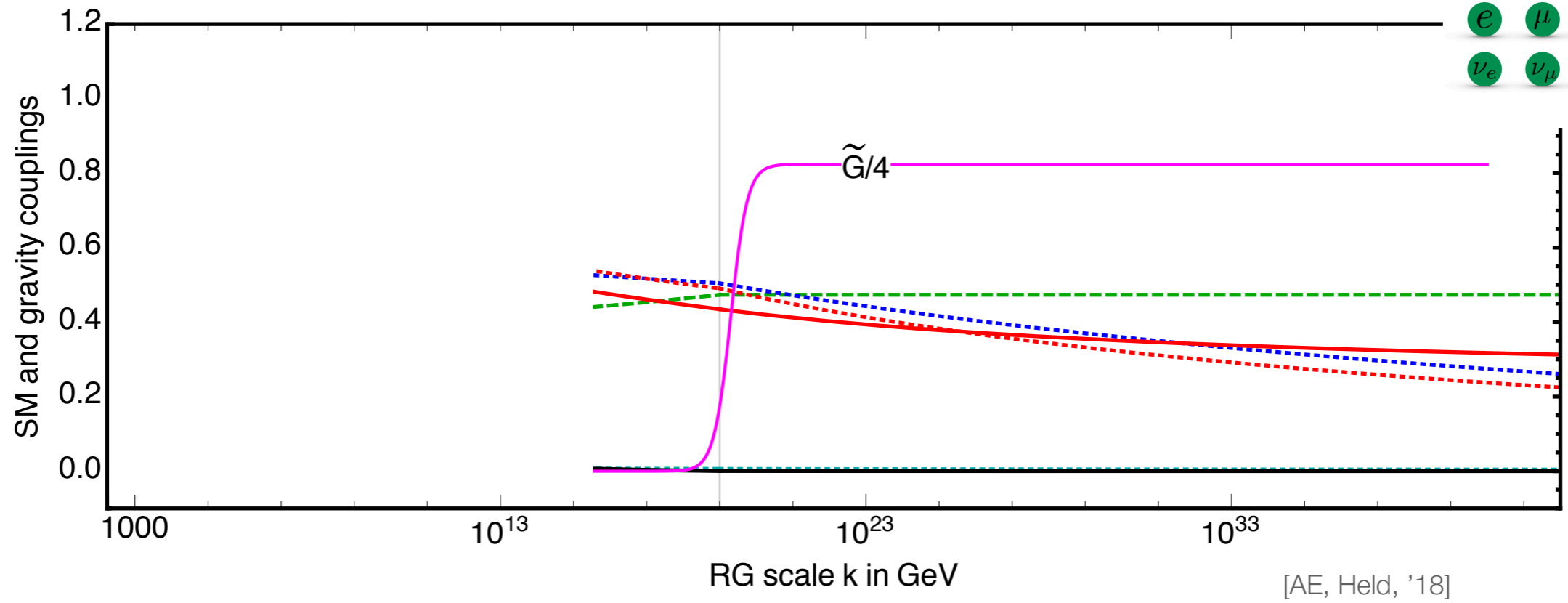


Three regimes of the gravity-matter functional RG flow



gravitational fluctuations decouple dynamically:
 $\tilde{G} = G k^2$

fixed-point regime

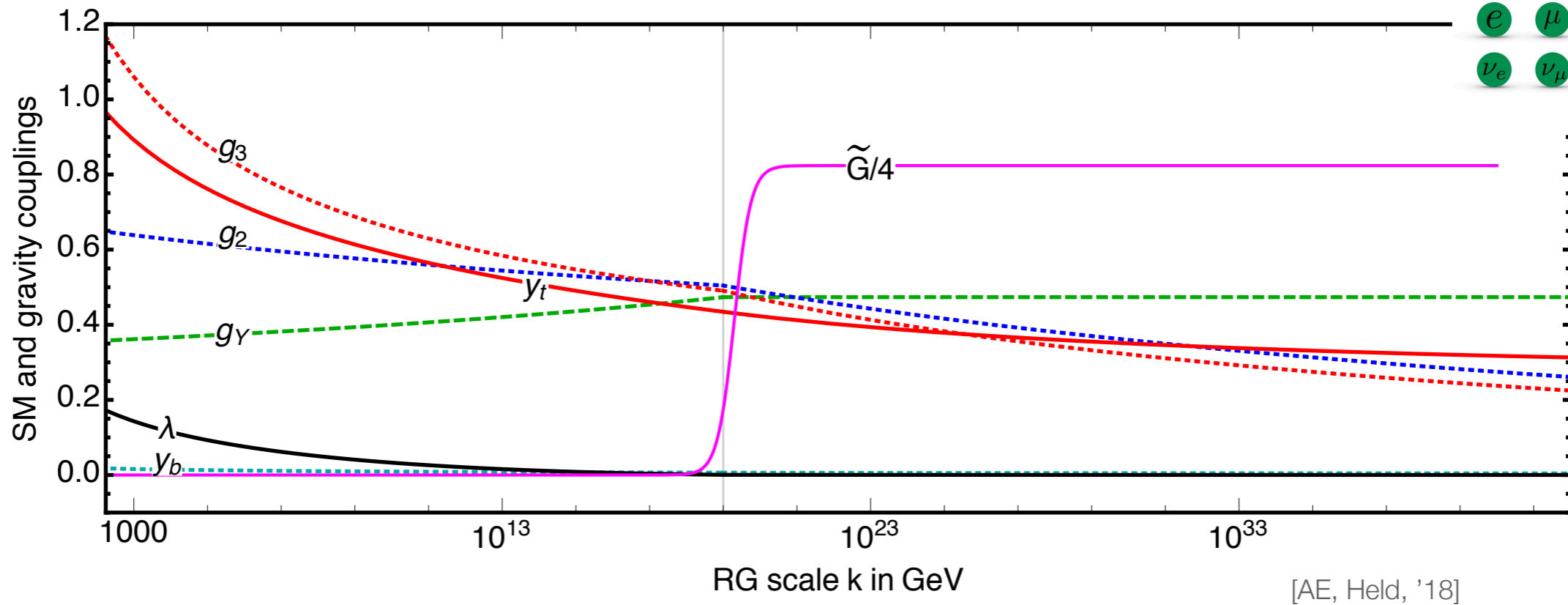
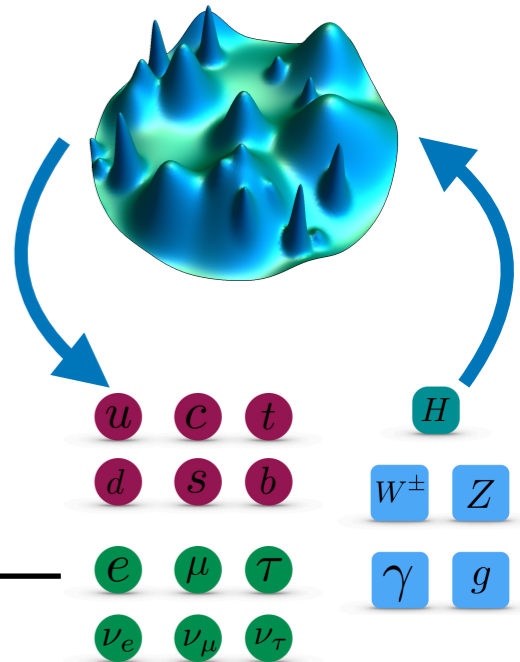
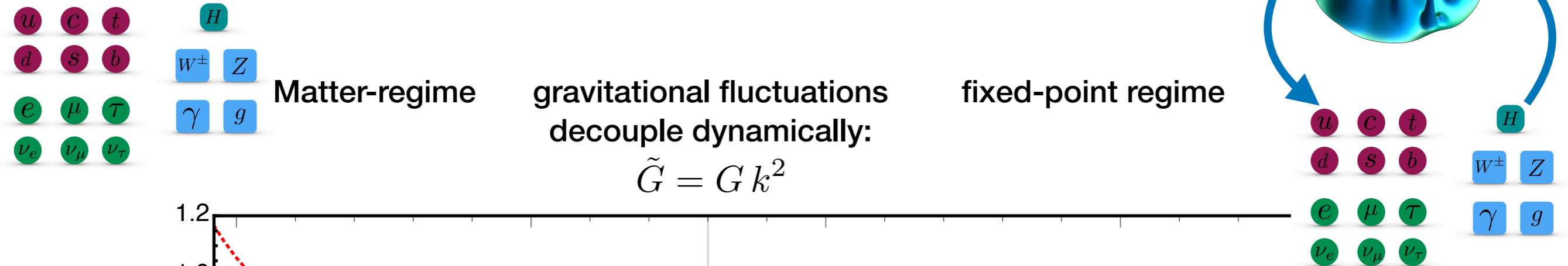


quantum fluctuations integrated out:



[AE, Held, '18]

Three regimes of the gravity-matter functional RG flow

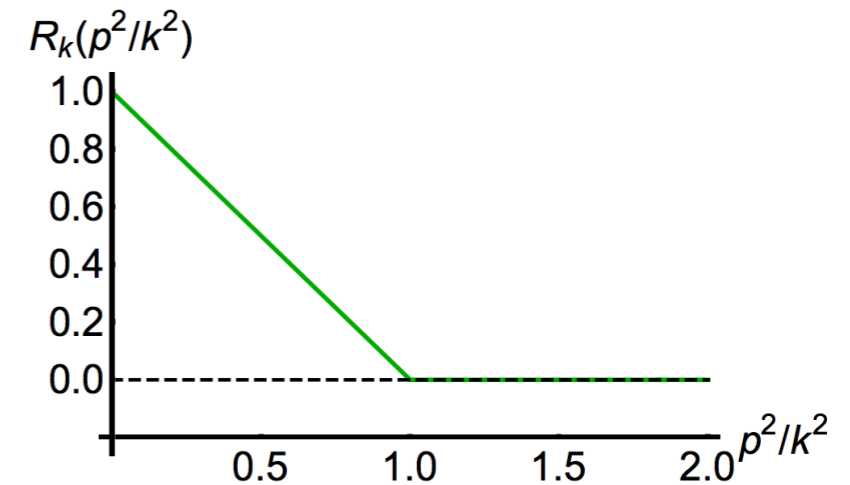


quantum fluctuations integrated out:



Functional Renormalization Group

$$e^{-\Gamma_k[\phi]} = \int \mathcal{D}\varphi e^{-S[\varphi] - \frac{1}{2} \int \varphi(-p) R_k(p) \varphi(p)}$$



quantum fluctuations integrated out:

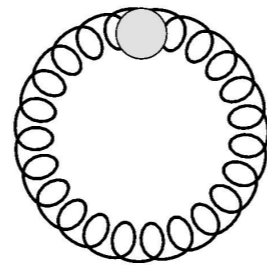
IR

← **k**

UV

$$\partial_k \Gamma_k = \frac{1}{2} \text{STr} \left(\Gamma_k^{(2)} + R_k \right)^{-1} \partial_k R_k =$$

Wetterich '92



used from in- and out-of-equilibrium statistical physics to quantum many-particle systems, high-energy physics to quantum gravity

recent review: Dupuis, Canet, AE et al. '20

- 1) find fixed points (aka quantum scale symmetry) by solving $\partial_k \Gamma_k = 0$
- 2) integrate to $k = 0$ from fixed point as initial condition

in practise: beta functions for couplings in truncations of Γ_k

Status of asymptotic safety

- existence of Reuter fixed point

[Weinberg '76; Reuter '96]

- for pure gravity ✓

selected examples:

Einstein-Hilbert $+R^2 + R_{\mu\nu}^2 + E$
 Falls, Ohta, Percacci, '20

Einstein-Hilbert $+R^2 + \dots + R^{70}$
 Falls, Litim Schröder '18

Einstein-Hilbert $+R_{\mu\nu\kappa\lambda}R^{\kappa\lambda\rho\sigma}R_{\rho\sigma}^{\mu\nu}$
 Gies, Knorr, Lippoldt, Saueressig '16

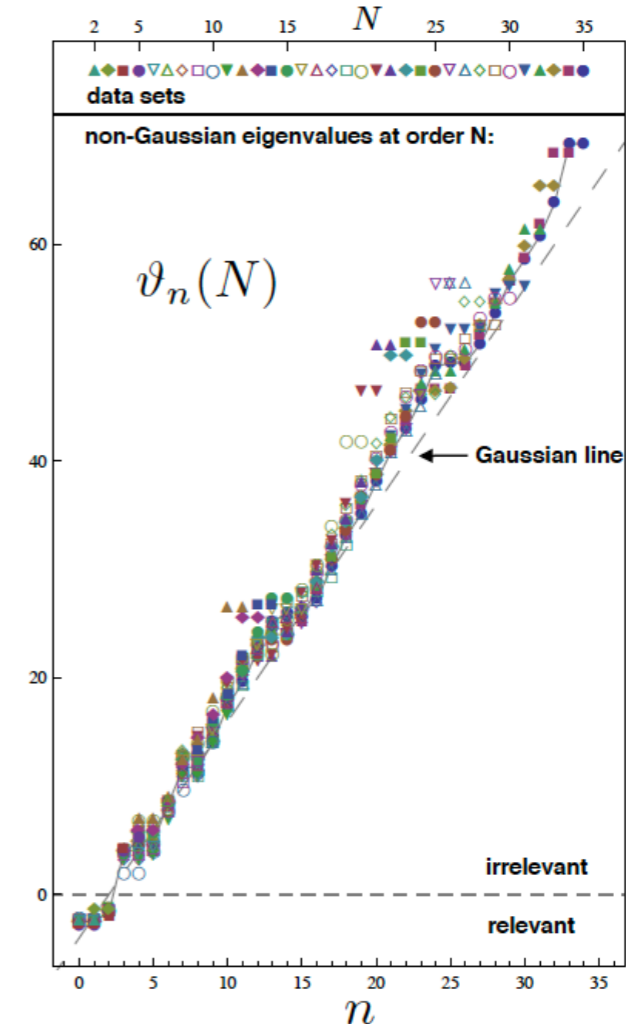
near-canonical scaling
 (guiding principle for robust truncations)

Falls, Litim, Nikolakopoulos, Rahmede, '13, '14
 Falls, Litim Schröder '18

also indicated by near-trivial
 symmetry identities

[AE, Labus, Pawłowski, Reichert '18;
 AE, Lippoldt, Pawłowski, Reichert, Schiffer '18
 AE, Lippoldt, Schiffer '18]

critical exponents:



Status of asymptotic safety

- existence of Reuter fixed point

[Weinberg '76; Reuter '96]

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selected examples:

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Einstein-Hilbert $+R_{\mu\nu\kappa\lambda}R^{\kappa\lambda\rho\sigma}R_{\rho\sigma}^{\mu\nu}$
 Gies, Knorr, Lippoldt, Saueressig '16

Open questions:

see “Critical reflections on asymptotically safe gravity”
 Bonanno, AE, Gies, Pawłowski, Percacci, Reuter, Saueressig, Vacca
 '20 for further details

- Phenomenological viability?

Matter matters!

critical exponents:

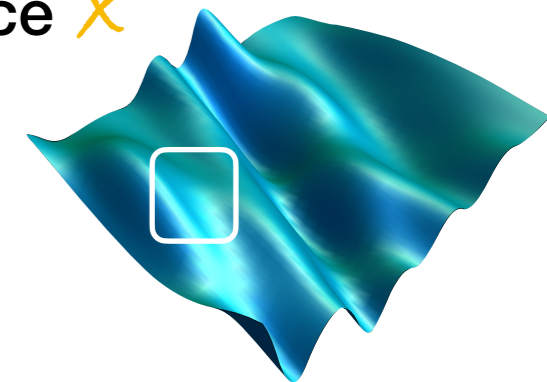


- background independence ✗

Morris '16

Becker, Reuter '14

Denz, Pawłowski, Reichert '16



- Lorentzian signature ✗

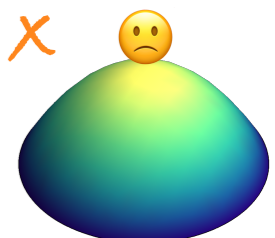
Manrique, Rechenberger, Saueressig '11

- propagating modes/instabilities ✗

Draper, Knorr, Ripken, Saueressig '20

Platania, Wetterich '20

Bonanno, Denz, Pawłowski, Reichert '21



Guide to the literature on asymptotically safe gravity-matter models

Effect of gravity on Standard Model:

Non-Abelian gauge sector:

[Daum, Harst, Reuter '10; Folkerts, Litim, Pawłowski '12; Christiansen, Litim, Pawłowski, Reichert '18]

Abelian gauge sector:

[Harst, Reuter '11; Christiansen, AE '17; AE, Versteegen '18; AE, Schiffer '19; de Brito, AE, Pereira '19]

Yukawa sector

[Oda, Yamada '16; AE, Held, Pawłowski '16; AE, Held '17a '17b '18; Alkofer, AE, Held, Percacci, Nieto, Schröfl '20]

Higgs potential

[Narain, Percacci '09; Shaposhnikov, Wetterich '10, Hamada, Yamada '17; AE, Hamada, Lumma, Yamada '17; Pawłowski, Reichert, Wetterich, Yamada '18; AE, Pauly '20]

Induced matter interactions

[AE '11 '12; AE, Held, Pawłowski '16; AE, Held '17; Christiansen, AE '17; AE, Schiffer '19]

Beyond SM:

Grand Unified Theories [AE, Held, Wetterich '17 '19]

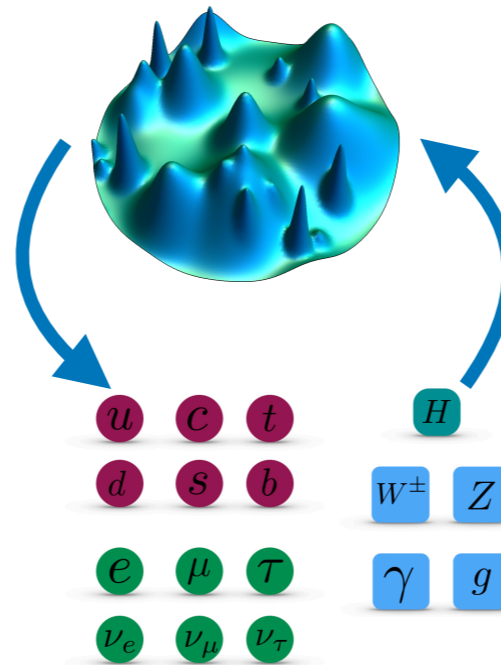
Dark sector

[AE, Hamada, Lumma, Yamada, '17; Hamada, Tsumura, Yamada '19; Reichert, Smirnov '19; Kwapisz '19; AE, Pauly '20]

Majorana masses [Brito, Hamada, Pereira, Yamada '19]

Flavor structure [Kowalska, Sessolo '20]

Muon $g-2$ [Kowalska, Sessolo '20]



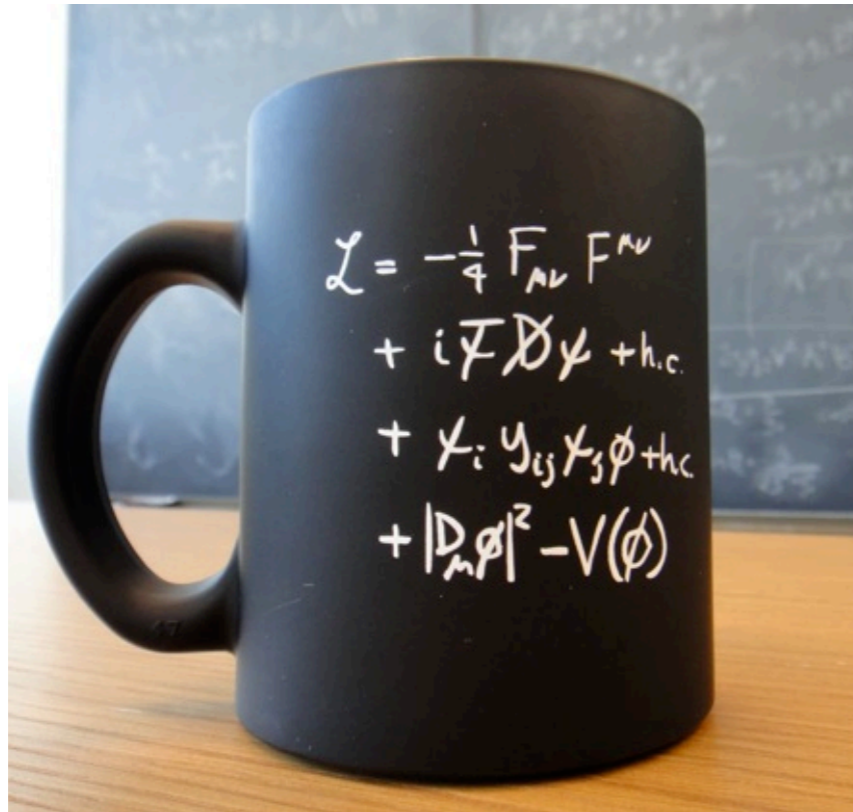
Effect of scalars, fermions and vectors on gravitational fixed point:

- **Einstein-Hilbert truncation & minimal coupling:**
[Dona, AE, Percacci '13; Meibohm, Pawłowski, Reichert '15; Dona, AE, Labus, Percacci '15; Biemans, Platania, Saueressig '17; AE, Lippoldt, Pawłowski, Reichert, Schiffer '18; Yamada, Wetterich '19]
- **Beyond minimal coupling:**
[AE, Lippoldt '16; AE, Lippoldt, Skrinjar '17; AE, Lippoldt, Schiffer '18]
- **Beyond Einstein-Hilbert truncation:**
[Alkofer, Saueressig '18; Bürger, Pawłowski, Schäfer, Reichert '18]

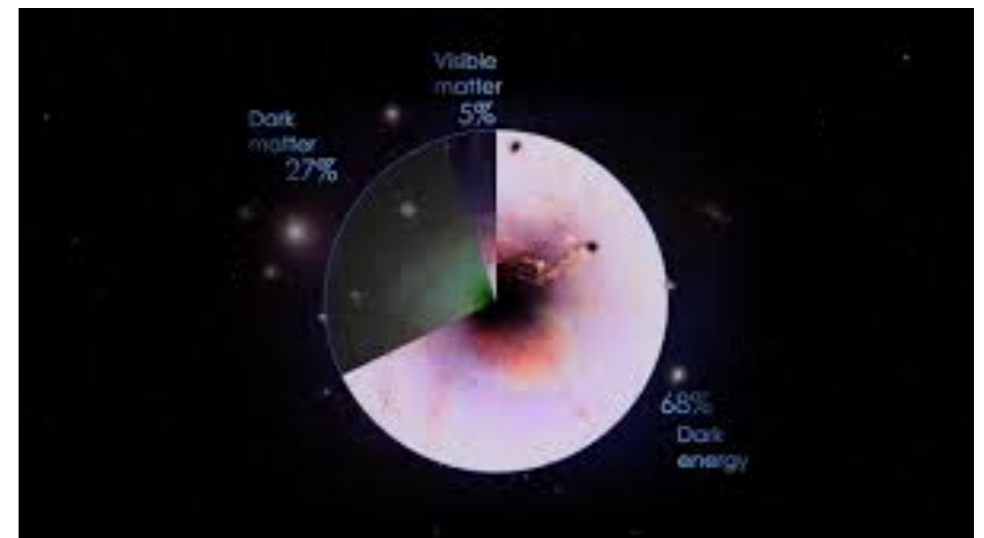
Predictive power of asymptotic safety

3 examples with gravity in

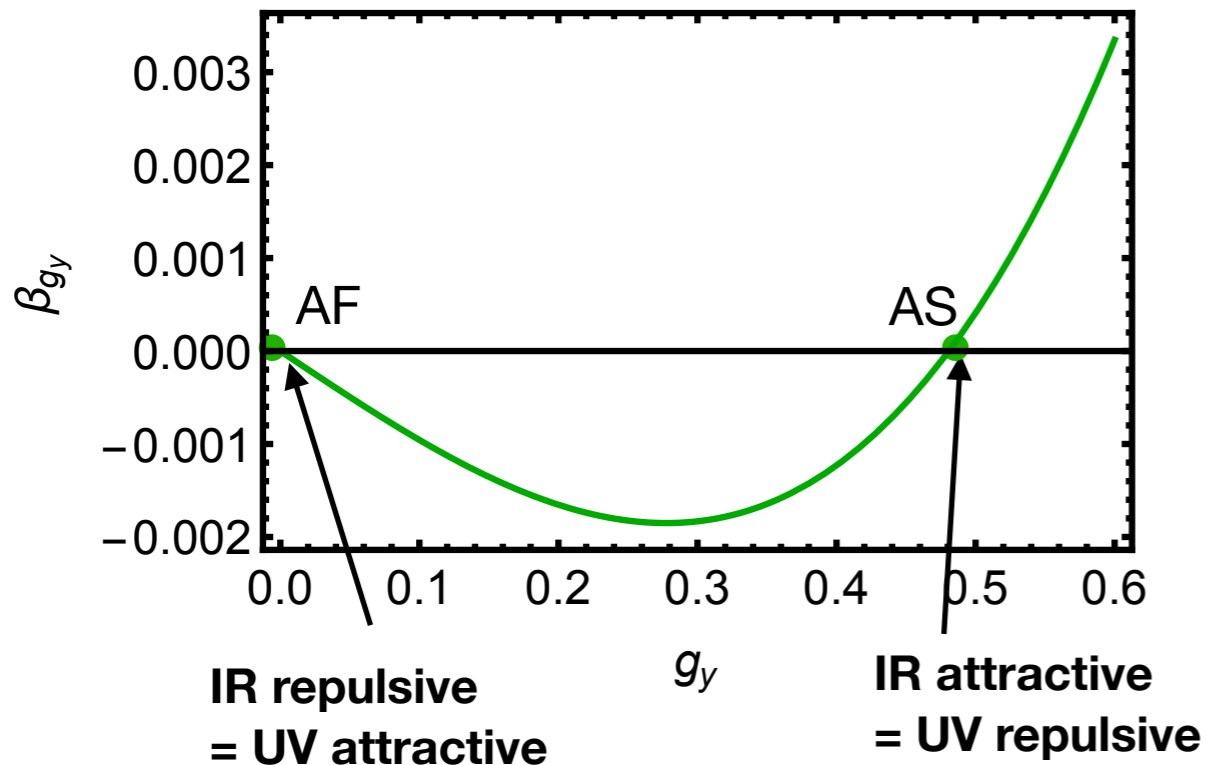
Standard-Model like systems



& beyond



Upper bound on the Abelian gauge coupling



$$\beta_{g_Y} = \frac{g_Y^3}{16\pi^2} \frac{41}{6} - \boxed{f_g g_Y} + \dots$$

metric fluctuations

$$f_g = G \frac{5}{36\pi} \left(\frac{8}{1-2\Lambda} + \frac{8}{(1-2\Lambda)^2} \right)$$

$$f_g = \text{const} \geq 0 \quad \text{above } M_{\text{pl}}$$

$$f_g \rightarrow 0 \quad \text{below } M_{\text{pl}}$$

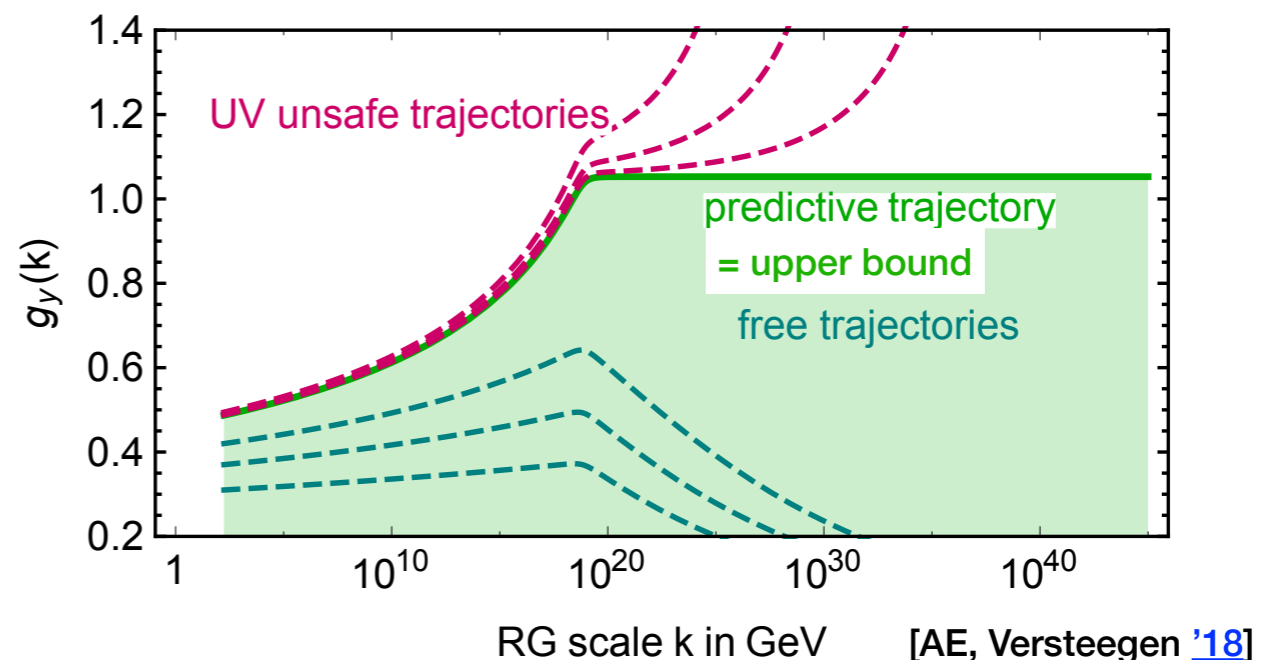
[Daum, Harst, Reuter '10; Harst, Reuter '11; Folkerts, Litim, Pawłowski '12; Christiansen, Litim, Pawłowski, Reichert '18; Christiansen, AE '17; AE, Versteegen '18; AE, Schiffer '19; de Brito, AE, Pereira '19]

matter & gravity fluctuations compete:

strong gravity: asymptotically free

strong matter: UV unsafe

balance: UV safe & interacting



[AE, Versteegen '18]

Gauge-Yukawa systems with gravity

Motivation: Where does the difference between top and bottom quark mass come from?

Quark masses \sim Yukawa couplings

Step 1:

Implications of asymptotic safety for a single Yukawa coupling (top quark)

[AE, Held ['17a](#) ['17b](#)]

Step 2:

Generalization to two Yukawa couplings (top-bottom system)

[AE, Held ['18](#)]

Step 3:

Generalization to three generations of quarks with mixing

[Alkofer, AE, Held, Percacci, Nieto, Schröfl ['20](#)]

Step 4:

Generalization to three generations of quarks and leptons

work in progress

Asymptotic safety and the top quark

$$\beta_{y_t} = \frac{9}{32\pi^2} y_t^3 - \underbrace{f_y}_{\text{metric fluctuations}} y_t + \dots$$

metric fluctuations

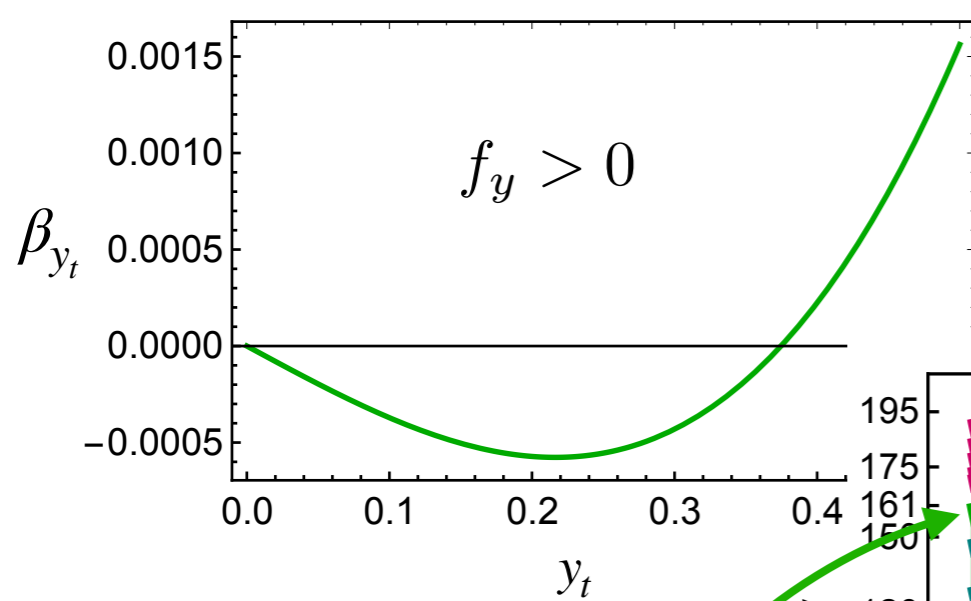
Einstein-Hilbert truncation:

[Oda, Yamada ['16](#);
AE, Held, Pawłowski ['16](#)]

+ higher-order interactions

[Hamada, Yamada ['17](#);
AE, Held ['17a](#)]

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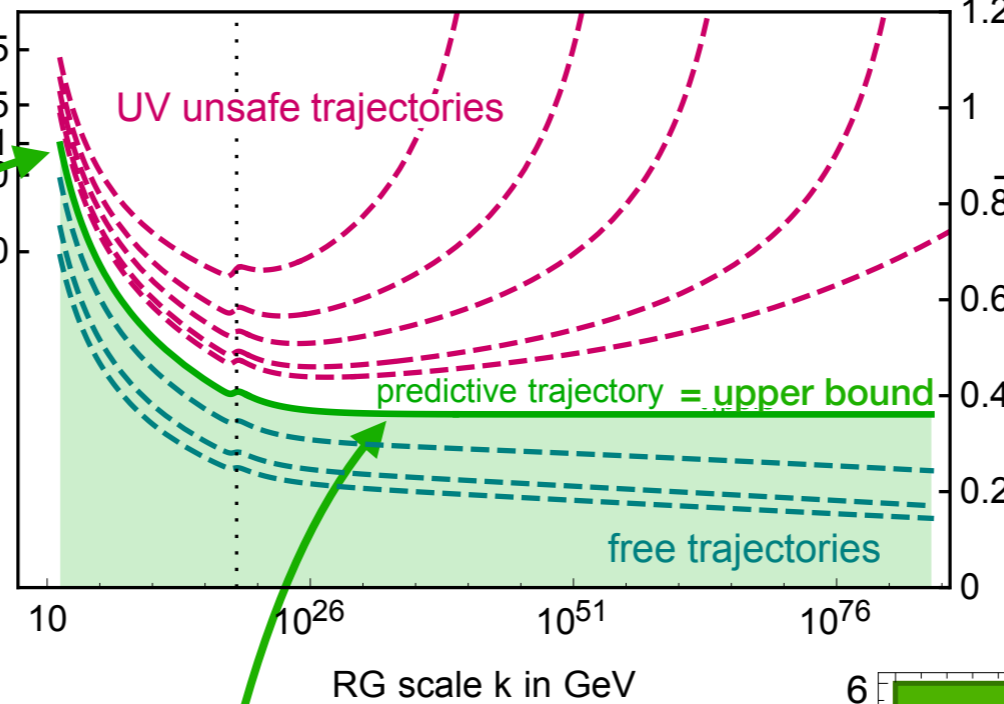
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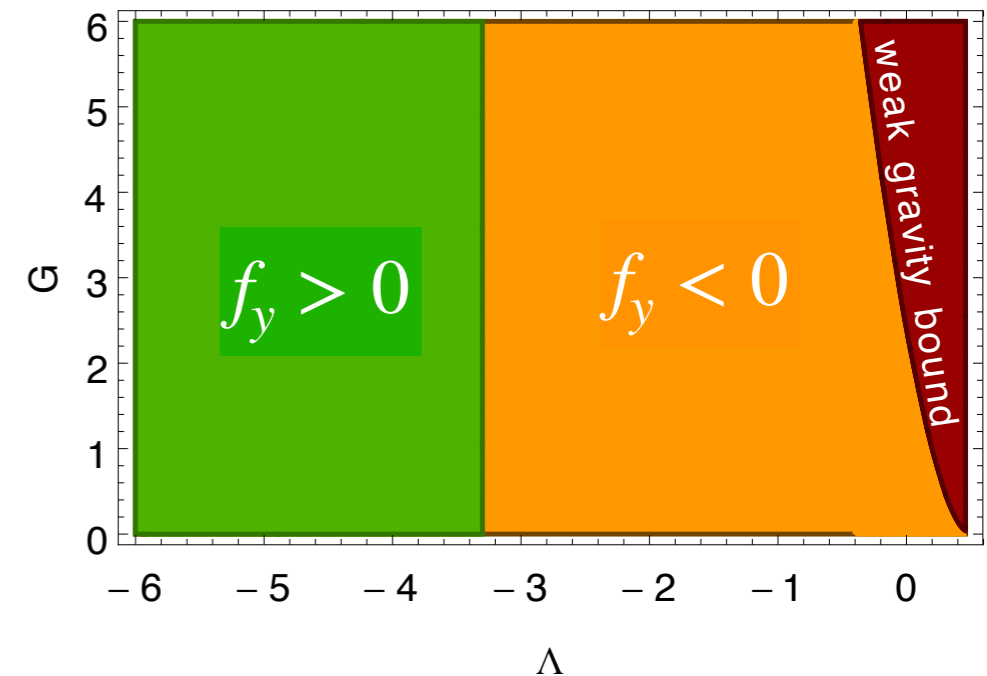
+ higher-order interactions

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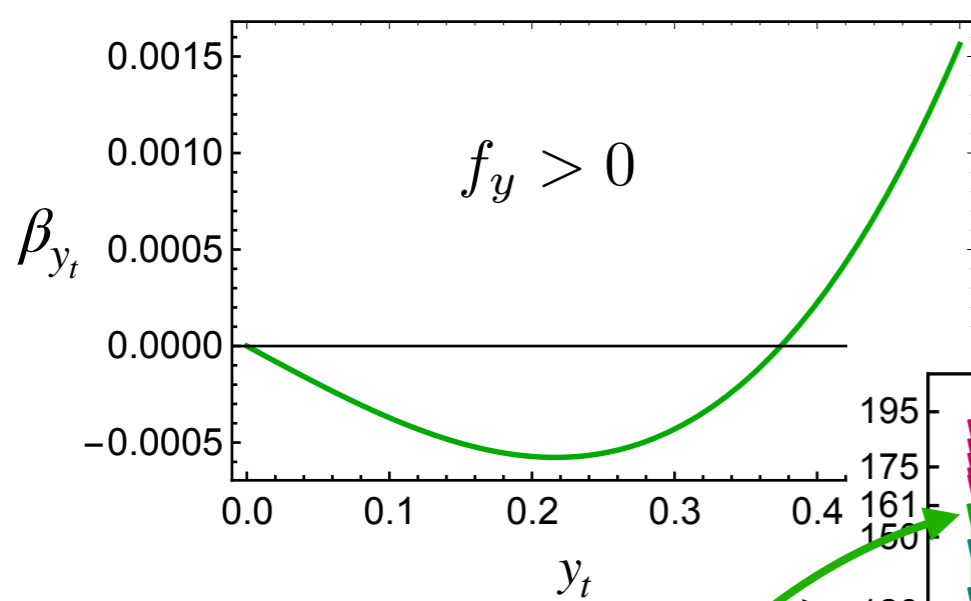
unique value in IR*

quantum scale-symmetry in UV



*systematic uncertainty due to truncation

Asymptotic safety and the top quark



$$\beta_{y_t} = \frac{9}{32\pi^2} y_t^3 - \underbrace{f_y}_{\text{metric fluctuations}} y_t + \dots$$

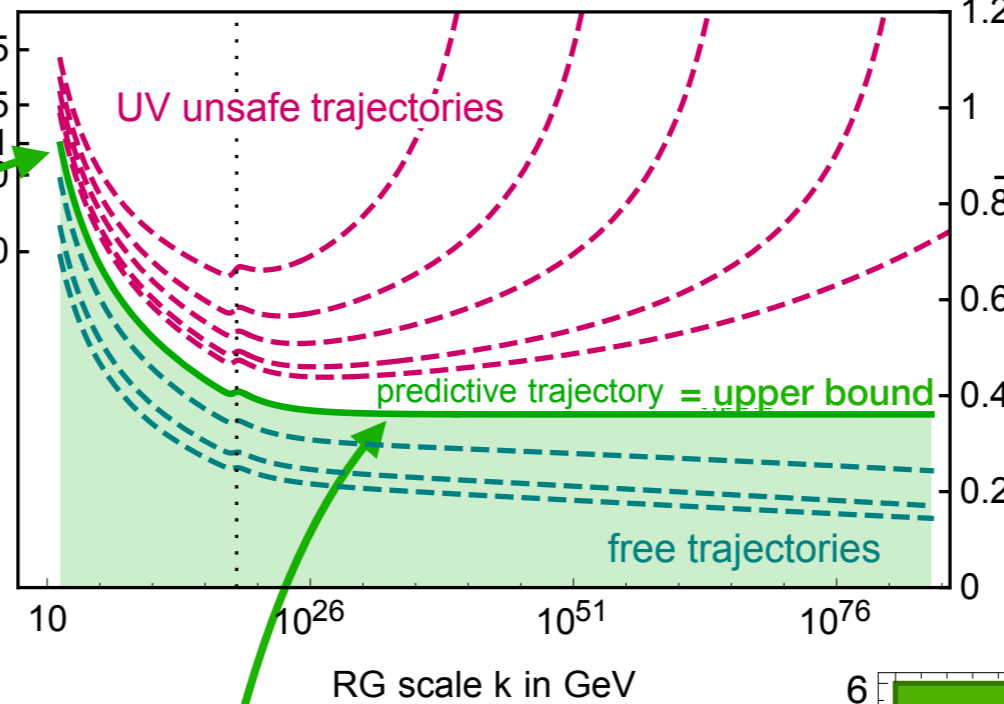
metric fluctuations

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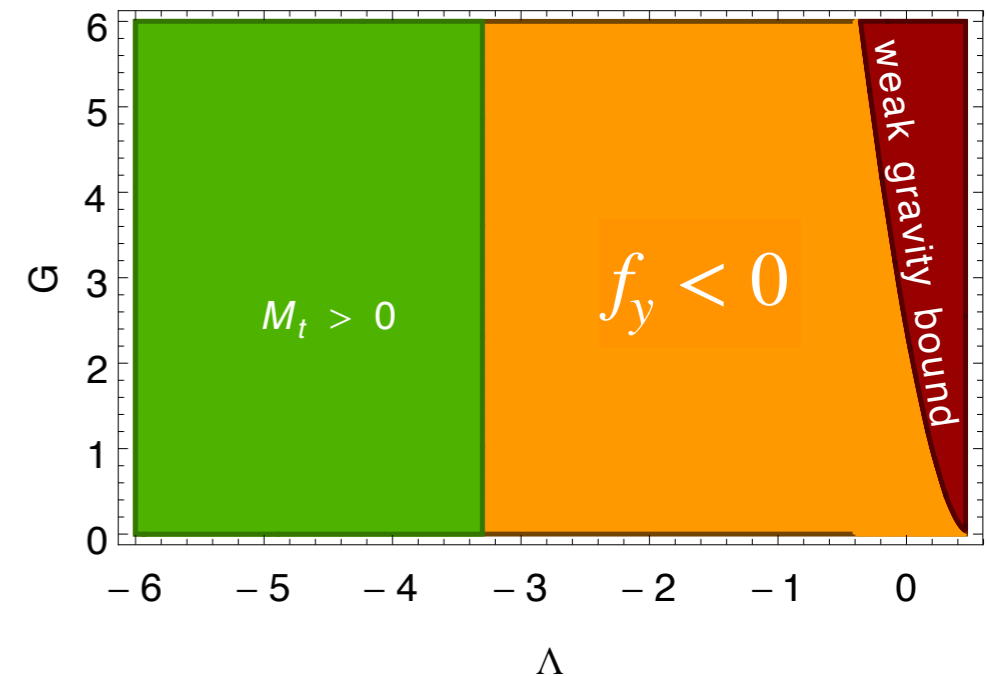
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[Hamada, Yamada '17;
AE, Held '17a]



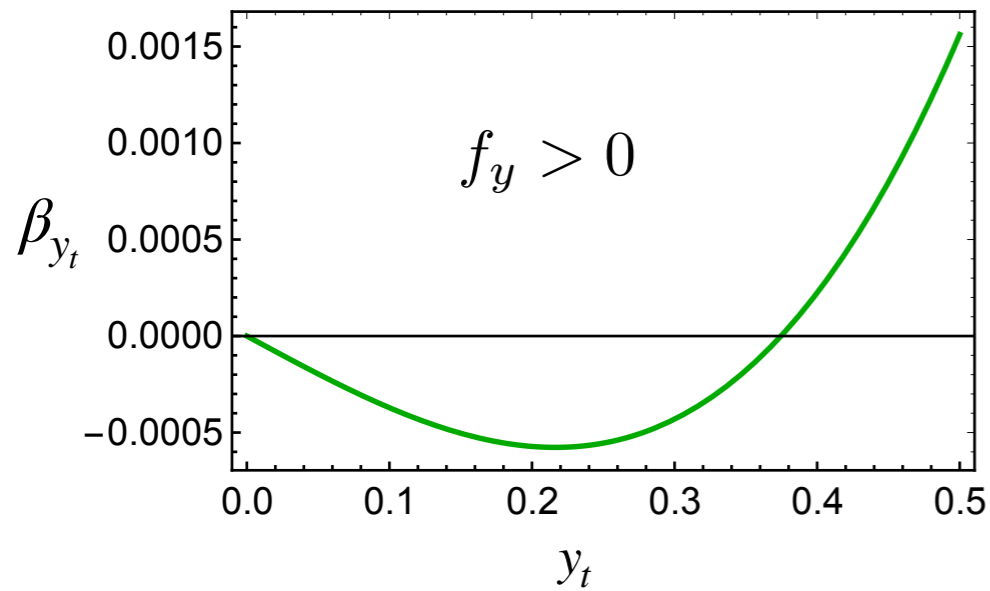
unique value in IR*

quantum scale-symmetry in UV



*systematic uncertainty due to truncation

Asymptotic safety and the top quark



$$\beta_{y_t} = \frac{9}{32\pi^2} y_t^3 - \underbrace{f_y}_{\text{metric fluctuations}} y_t + \dots$$

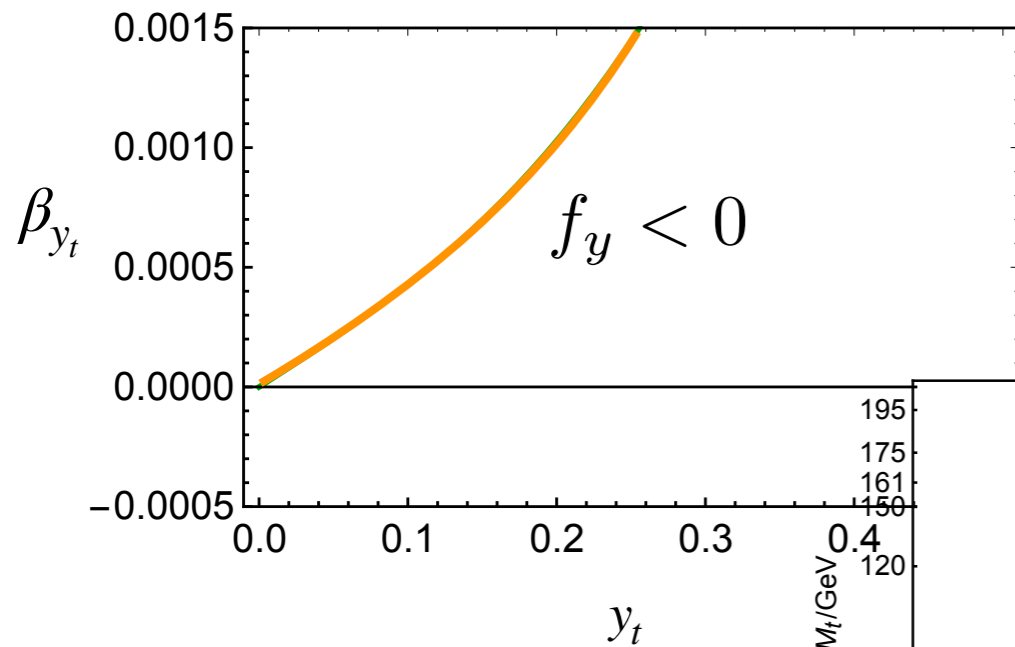
metric fluctuations

Einstein-Hilbert truncation:

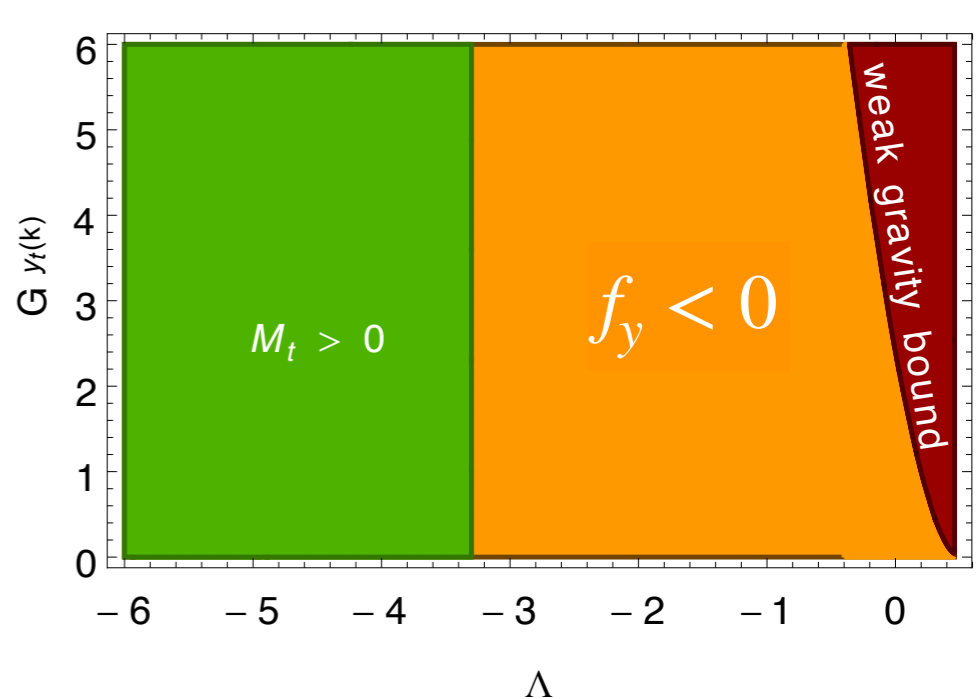
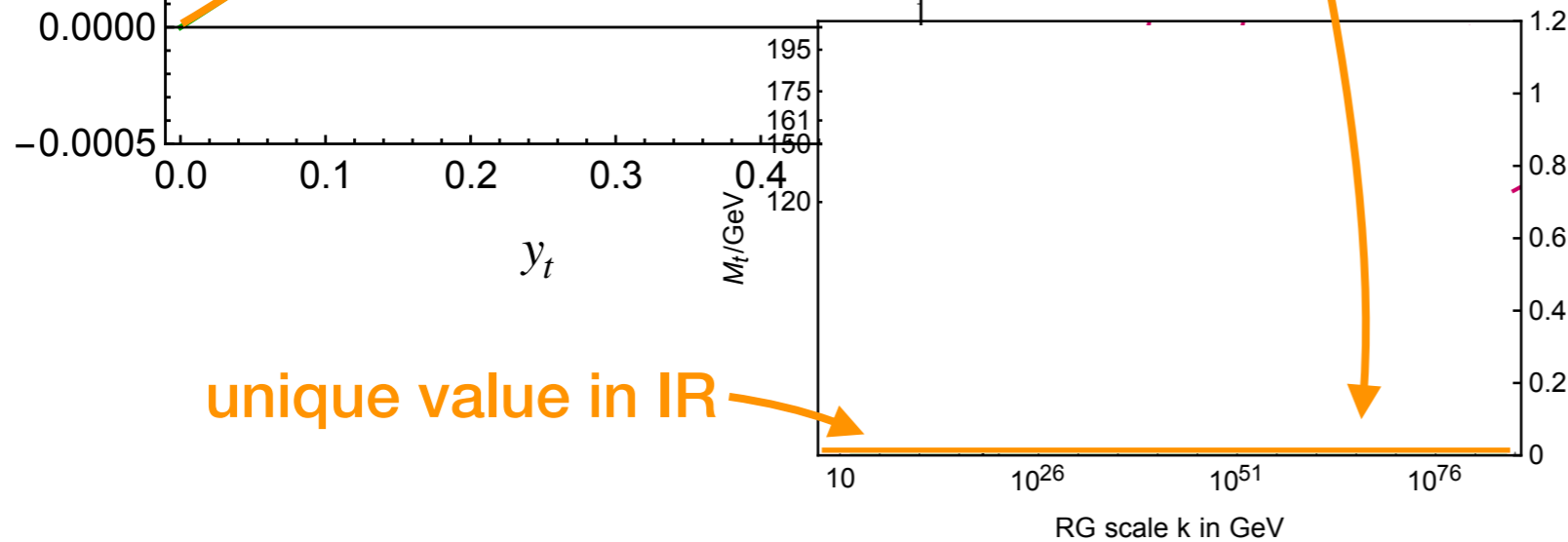
[Oda, Yamada '16;
AE, Held, Pawłowski '16]

+ higher-order interactions

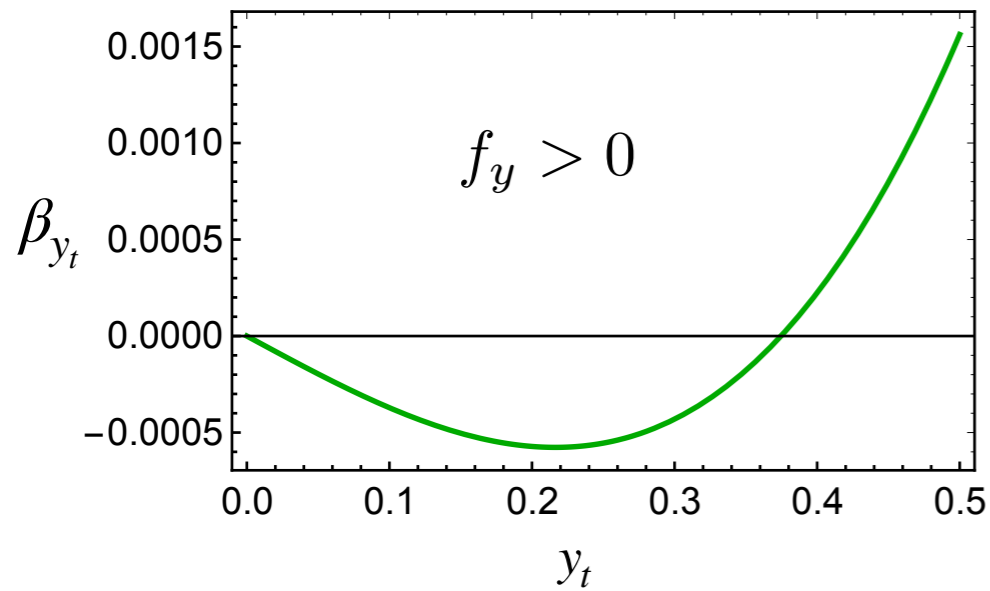
[Hamada, Yamada '17;
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scale-symmetry in UV



Asymptotic safety and the top quark



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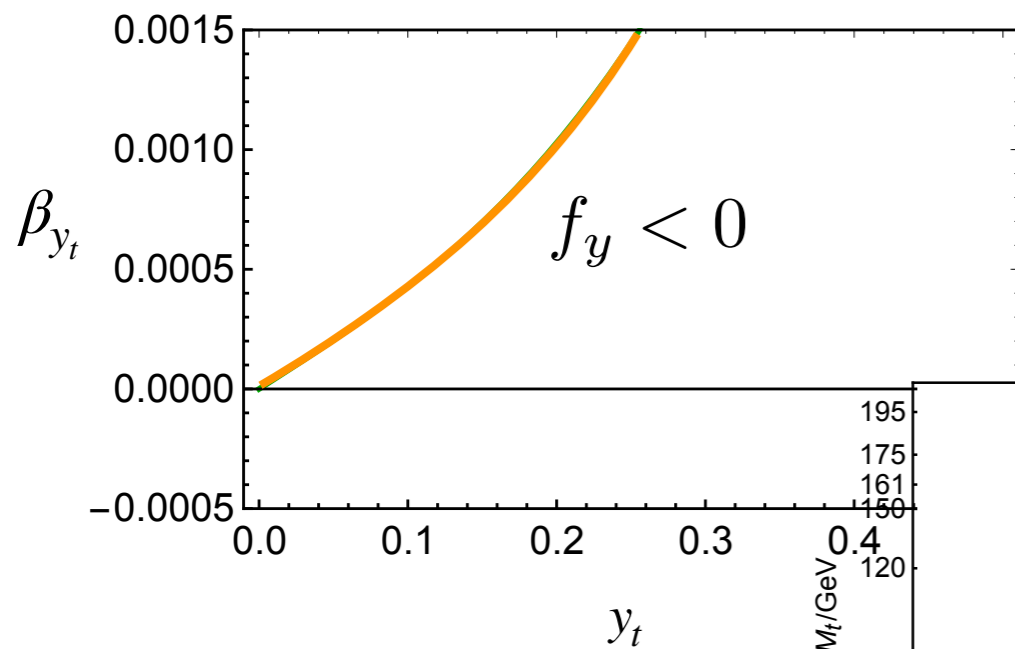
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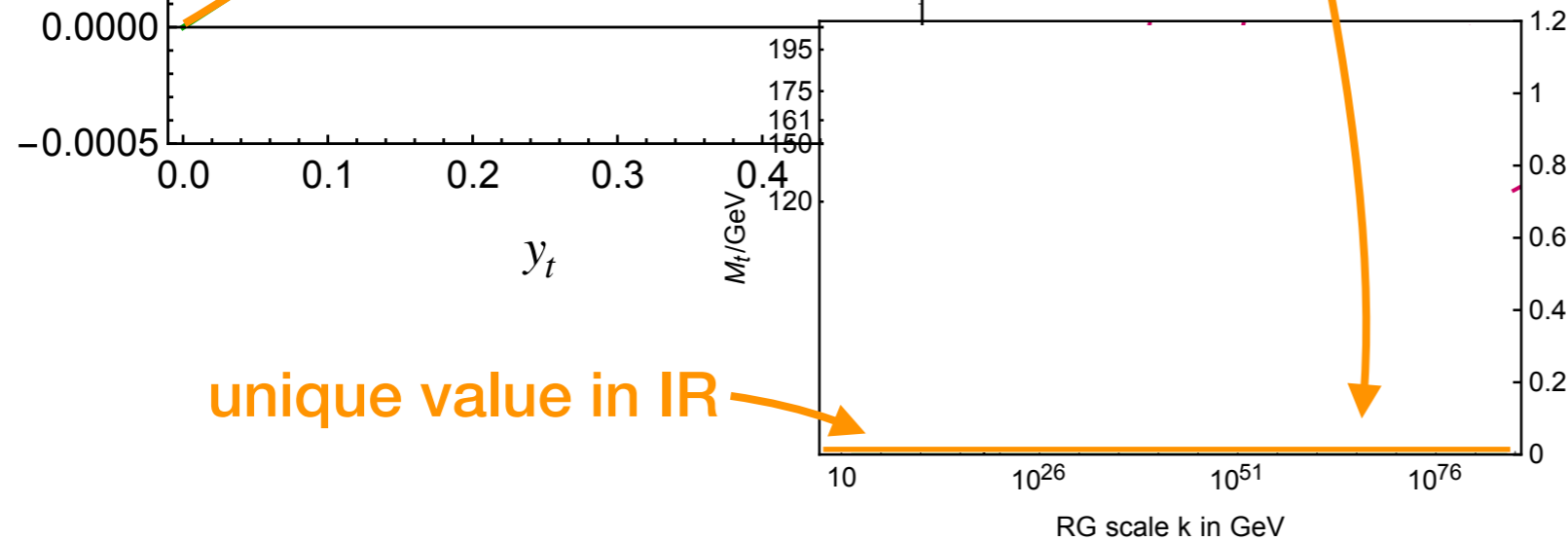
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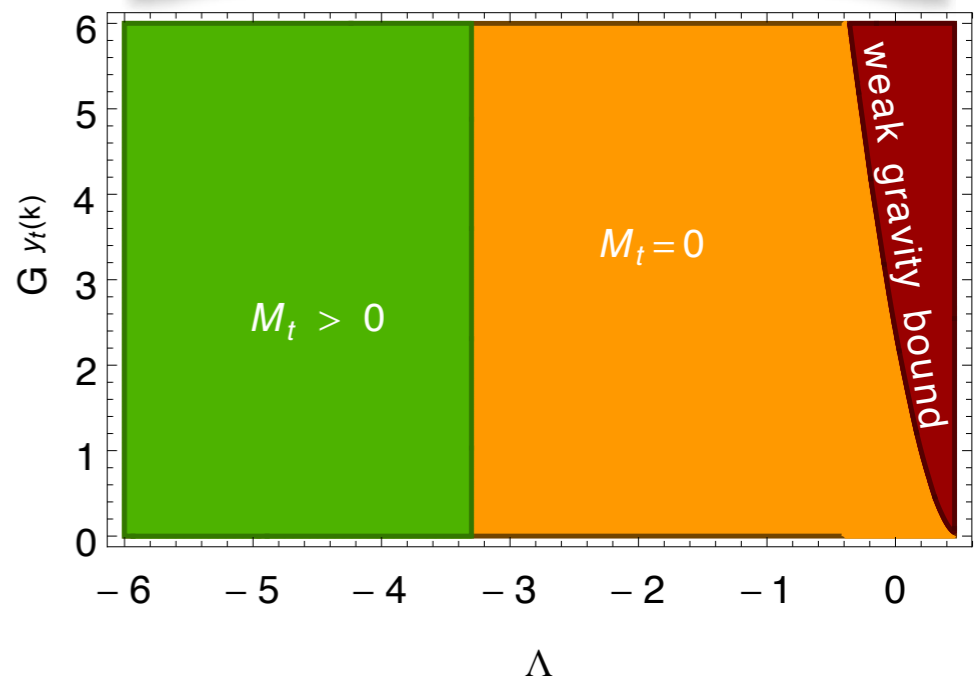


scale-symmetry in UV

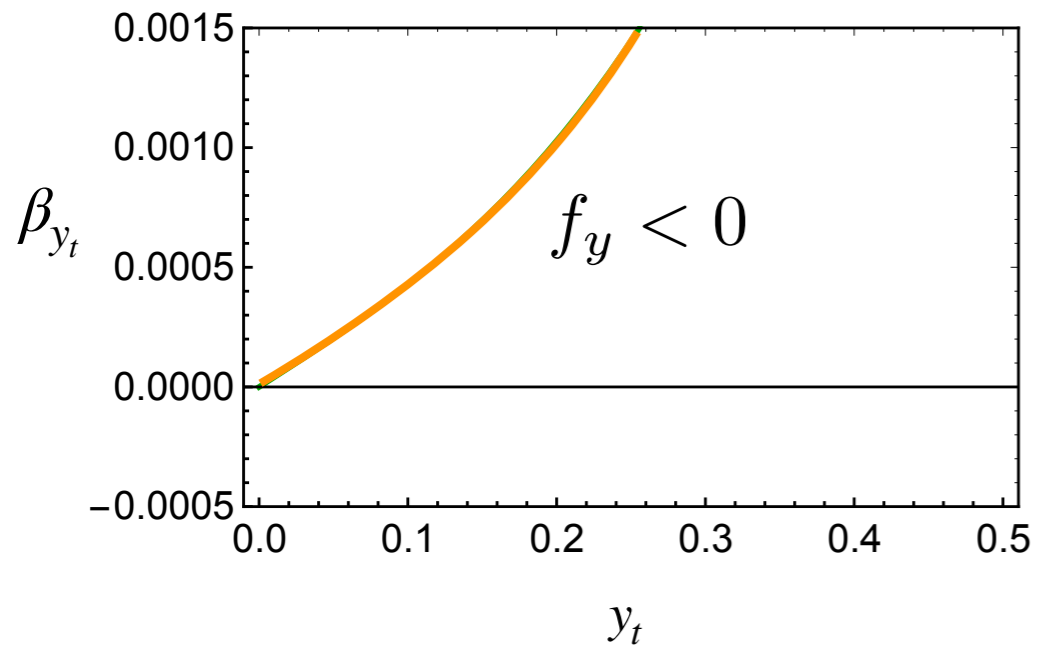
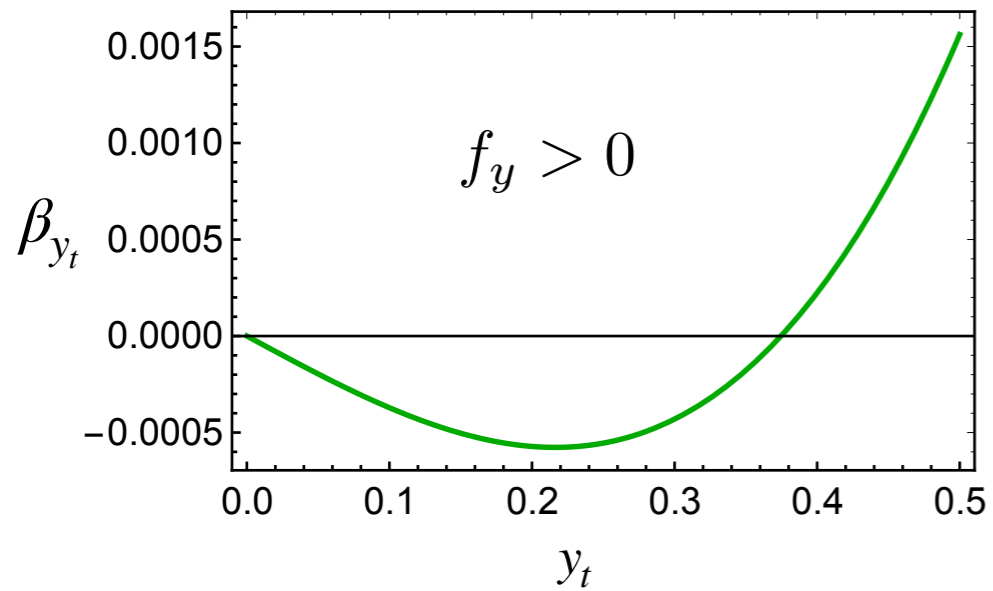


**LHC: top-quark Yukawa
nonzero**

[CMS '20; ATLAS '18]



Asymptotic safety and the top quark



$$\beta_{y_t} = \frac{9}{32\pi^2} y_t^3 - \underbrace{f_y}_{\text{metric fluctuations}} y_t + \dots$$

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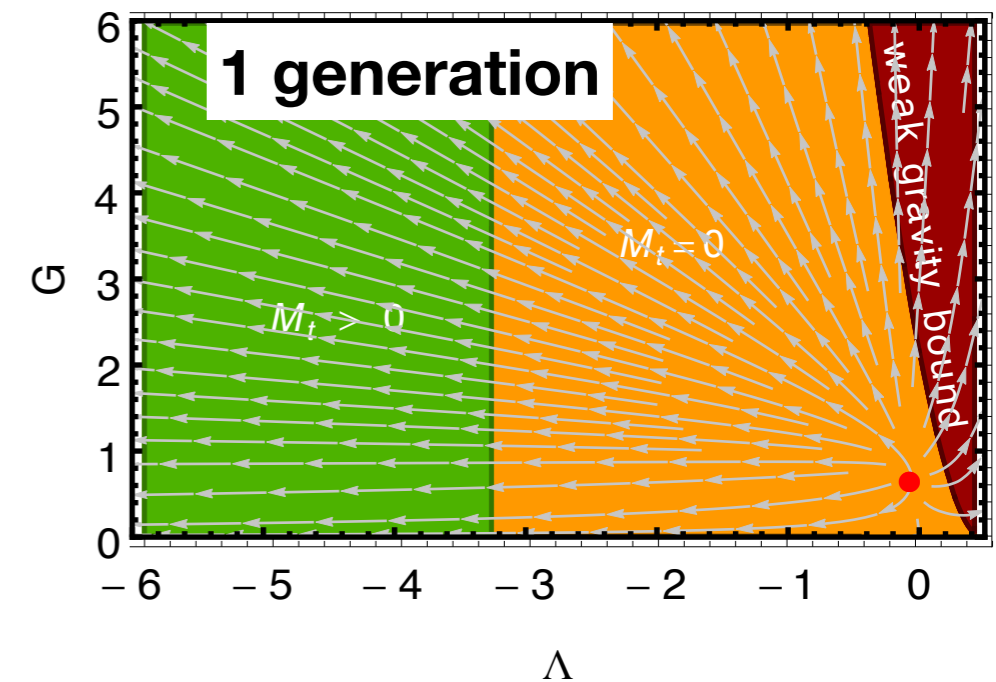
[Oda, Yamada '16;
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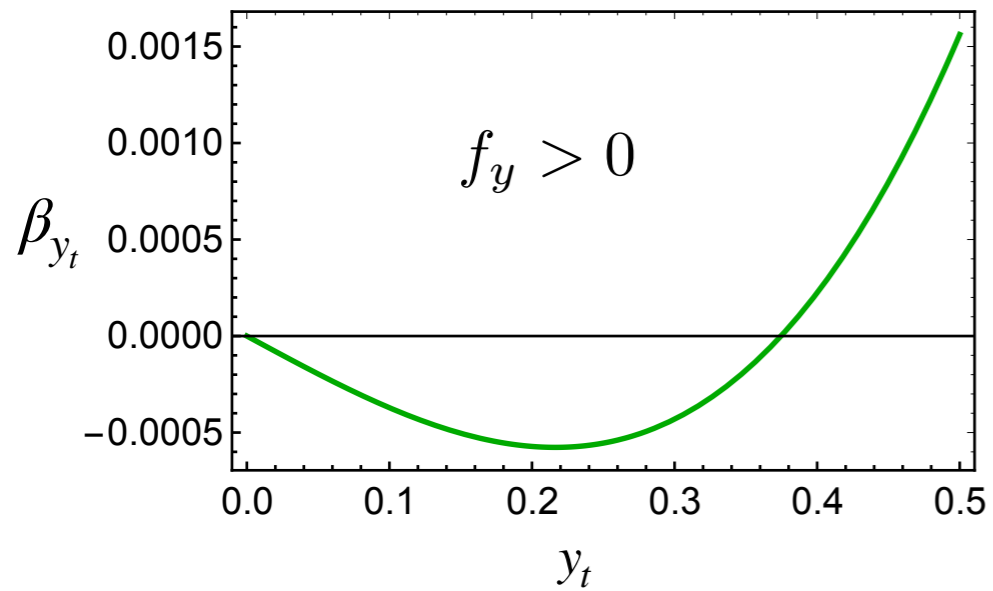
[Hamada, Yamada '17;
AE, Held '17a]

Gravitational fixed-point values?

[Dona, AE, Percacci '13]



Asymptotic safety and the top quark



$$\beta_{y_t} = \frac{9}{32\pi^2} y_t^3 - \underbrace{f_y}_{\text{metric fluctuations}} y_t + \dots$$

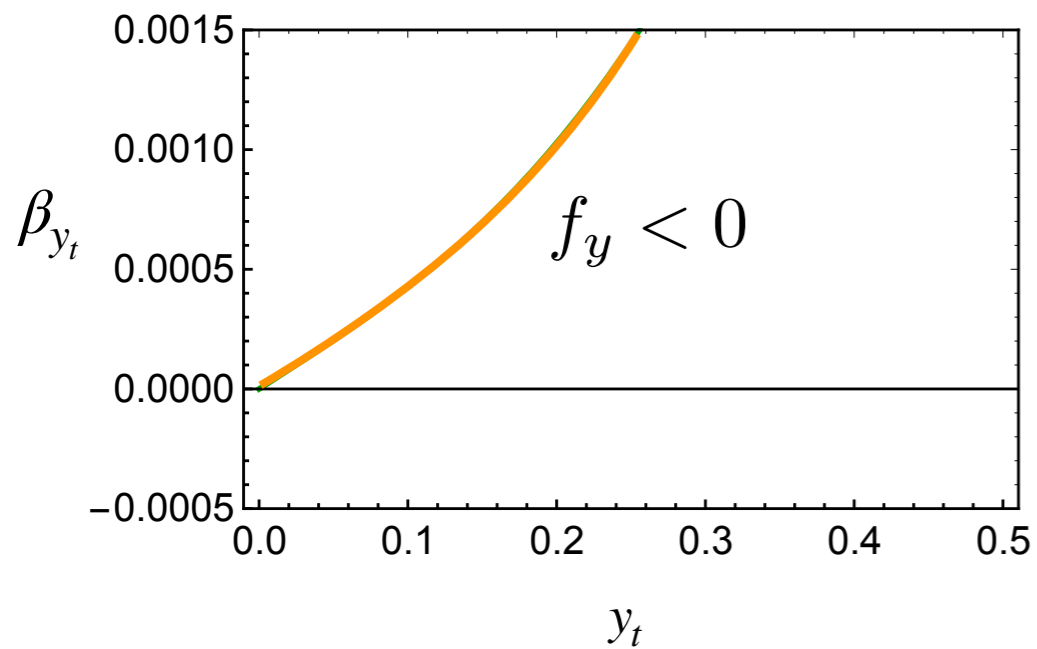
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[Oda, Yamada '16;
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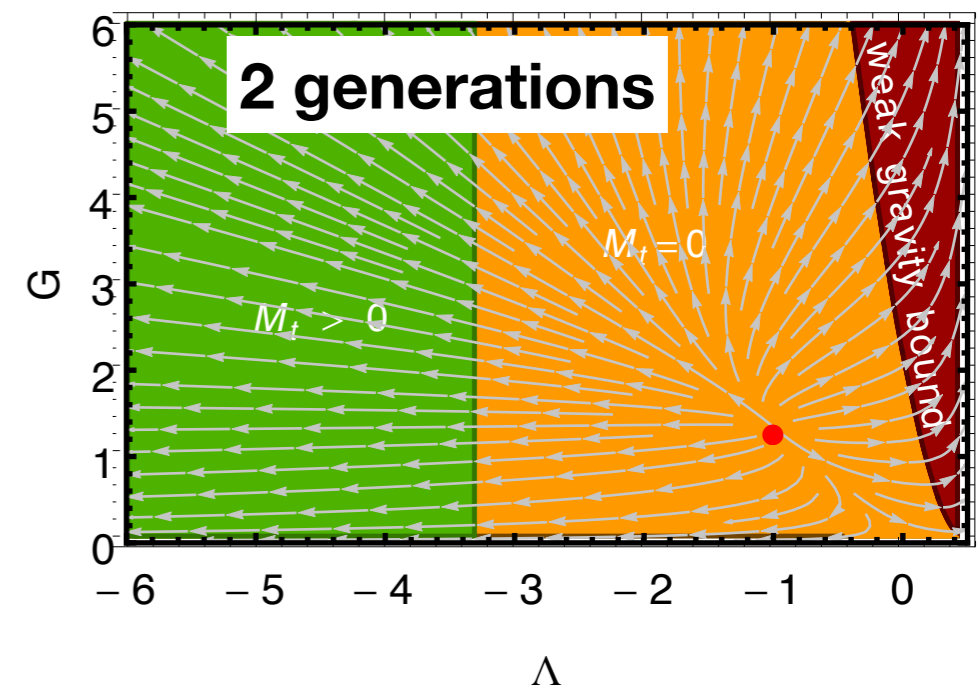
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[Hamada, Yamada '17;
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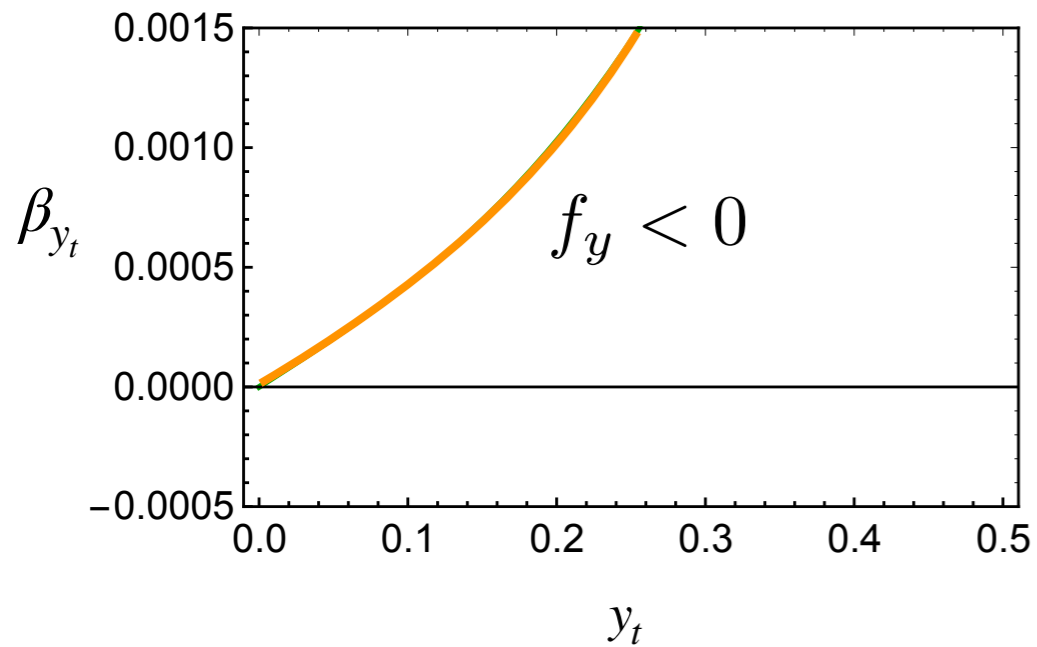
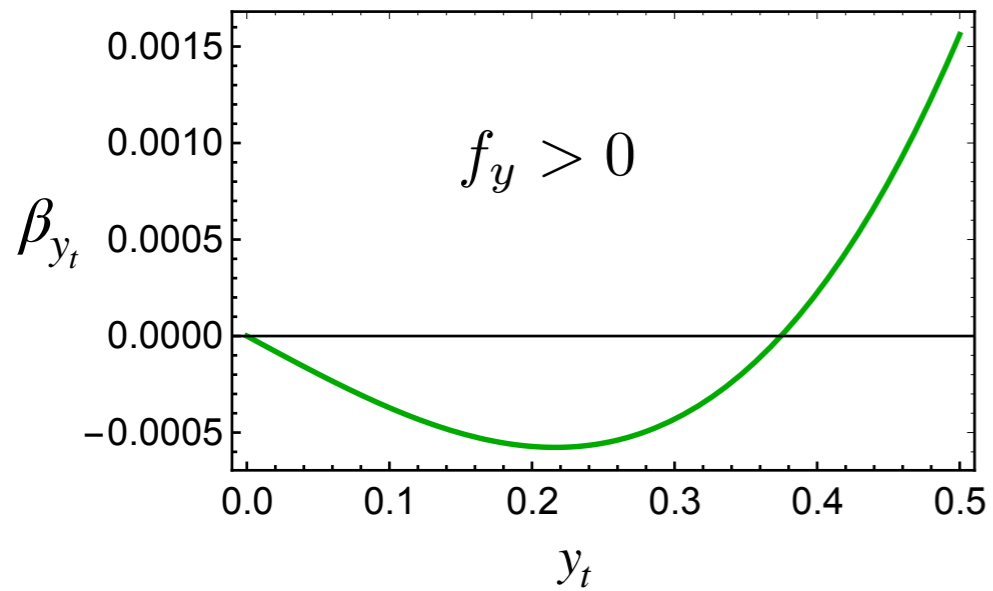


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Einstein-Hilbert truncation:

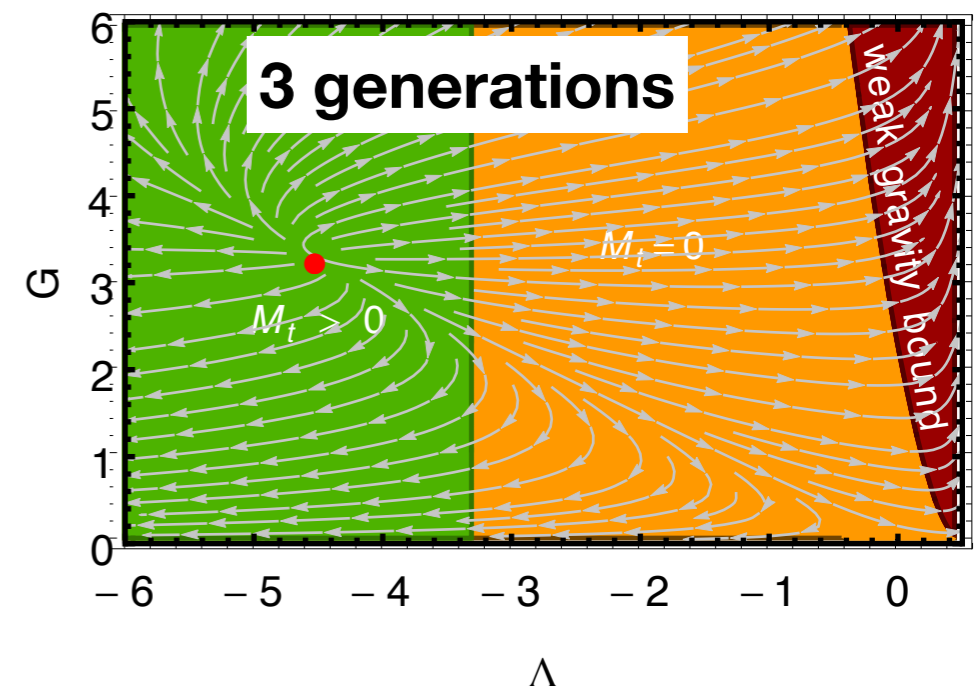
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+ higher-order interactions

[Hamada, Yamada '17;
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Gravitational fixed-point values?

[Dona, AE, Percacci '13]



Gauge-Yukawa systems with gravity

Predictive power: Finite fermion masses from Yukawa interactions

Top-bottom system: [AE, Held '18]

generalization to 3 generations: Alkofer, AE, Held, Percacci, Nieto, Schröfl '20

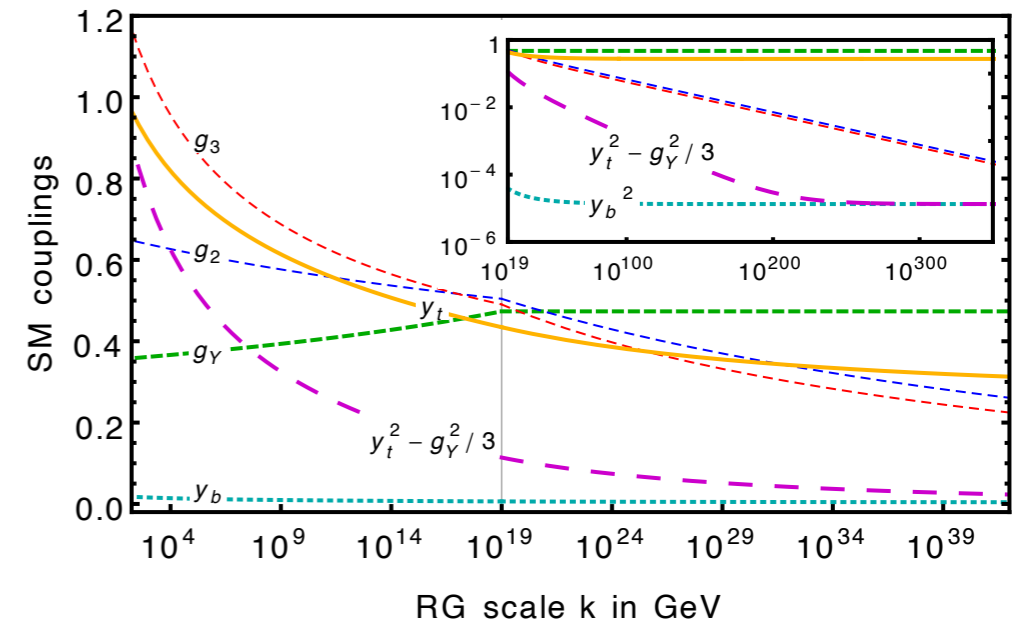
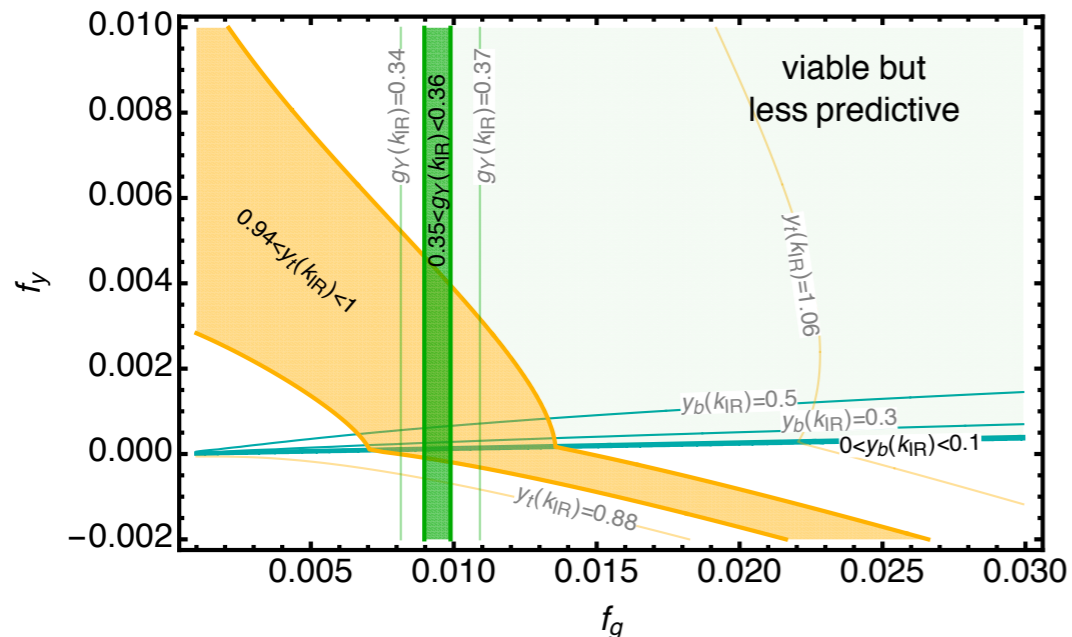
fixed-point relation: $y_{t*}^2 - y_{b*}^2 = \frac{1}{3} g_{Y*}^2$

$$\beta_{y_{t/b}} = \frac{3}{16\pi^2} y_{t/b}^3 - f_y y_{t/b} - \frac{3y_{t/b}}{16\pi^2} \left(\frac{1}{36} + Y_{t/b}^2 \right) g_Y^2 + \dots$$

unequal hypercharge of top, bottom:
unequal fixed-point values

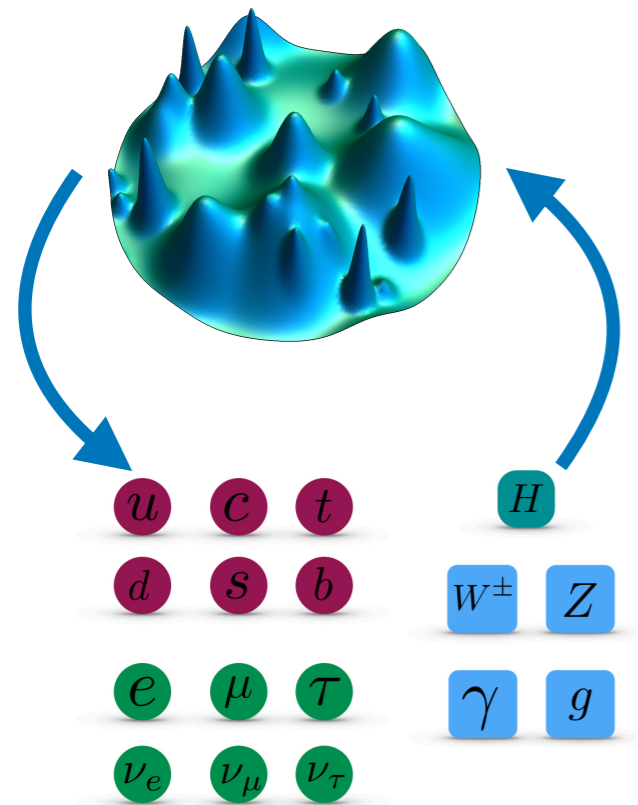


Abelian hypercharge: $\beta_{g_Y} = \frac{g_Y^3}{16\pi^2} \frac{41}{6} - f_g g_Y$



Top-bottom mass ratio as a consequence of gravity-induced scale symmetry in the UV

Shining a light onto dark sectors: The asymptotically safe perspective



Standard Model + gravity:

probably not sufficient to describe all observations

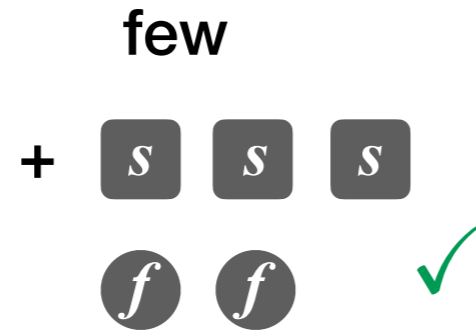
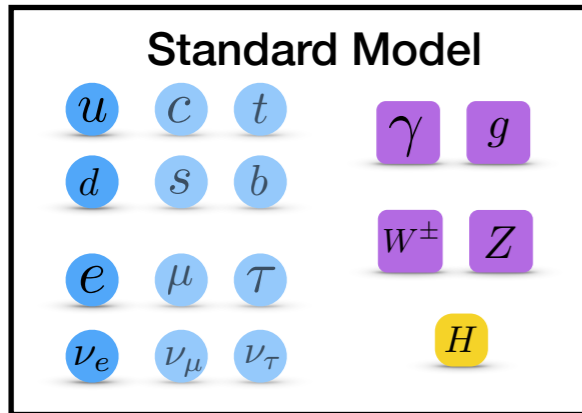
+ d_1 d_2 + ...

How many fields and which interactions?

**Bold interpretation of lack of discovery to date:
Time for a new theoretical paradigm!**

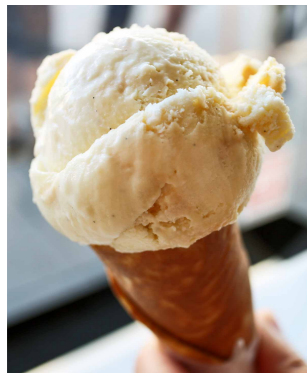
Asymptotically safe constraints on dark sectors

How many new fields? “asymptotically safe island”

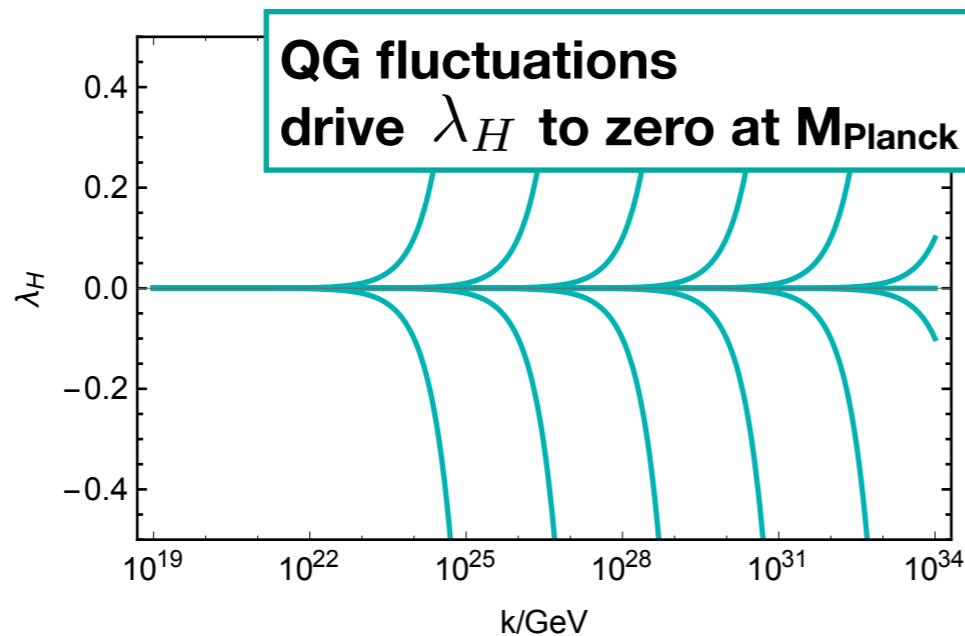


[Dona, AE, Percacci '13;
 Meibohm, Pawłowski, Reichert '15;
 Dona, AE, Labus, Percacci '15;
 Biemans, Platania, Saueressig '17;
 AE, Lippoldt, Pawłowski, Reichert, Schiffer '18;
 Yamada, Wetterich '19]

The vanilla model:



Higgs portal to one singlet scalar $\lambda_H H^2 s^2$ AE, Hamada, Lumma, Yamada '17



→ generically:
 quantum gravity flattens scalar potentials
 Higgs prediction [Shaposhnikov, Wetterich '10]

cosmo consequences: AE, Pauly '20

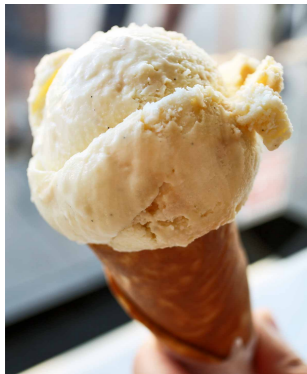
→ decoupling of dark matter;
 cannot be produced as thermal relic

→ single, uncharged dark scalar with
 Higgs portal incompatible with
 asymptotic safety

Asymptotically safe constraints on dark sectors

Proof of principle: Upgrade and exploit the predictive power

see also:
Hamada, Tsumura, Yamada ['19](#);
Reichert, Smirnov ['19](#)

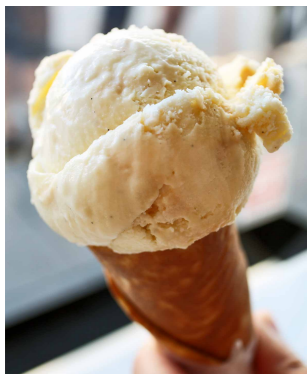


toy model SM Higgs portal to singlet scalar + dark fermion

AE, Pauly ['20](#)

Asymptotically safe constraints on dark sectors

Proof of principle: Upgrade and exploit the predictive power



toy model SM Higgs portal to singlet scalar + dark fermion

AE, Pauly '20

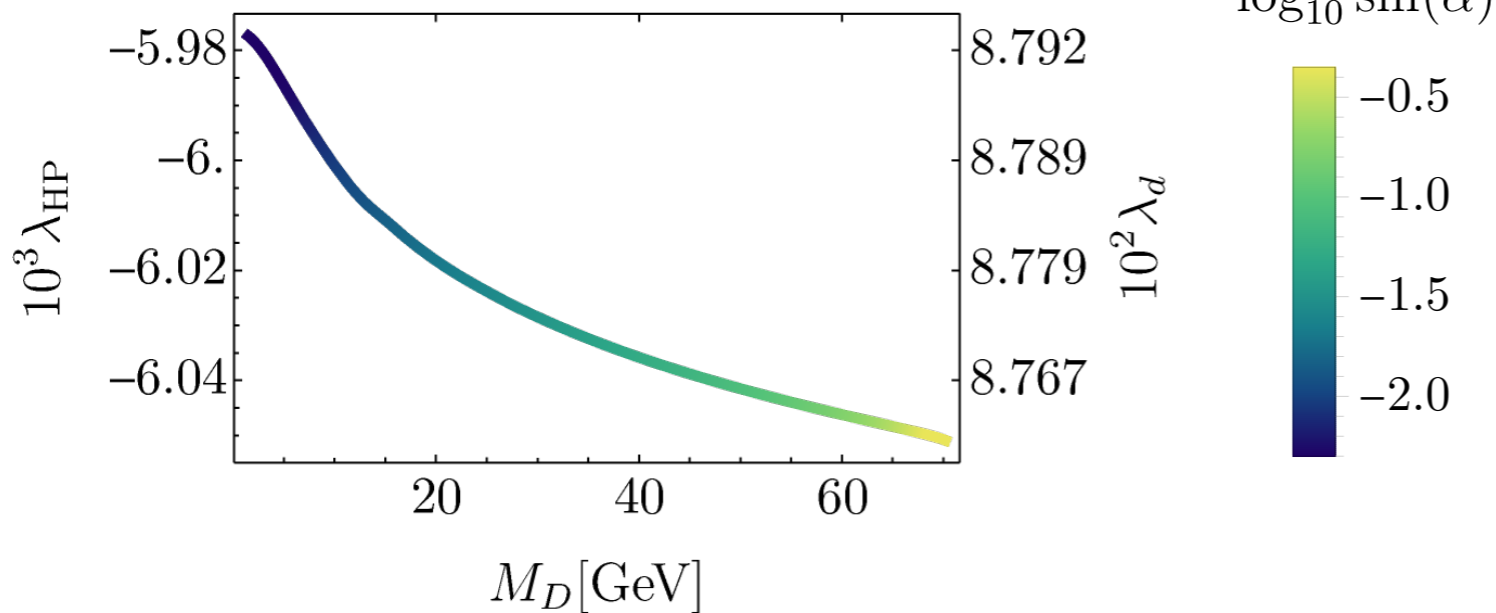
$$\Gamma_k = \int d^4x \sqrt{g} \frac{\lambda_{\text{HP}}}{4} \phi_v^2 \phi_d^2 + \Gamma_k^{\text{visible}} + \Gamma_k^{\text{visible} \rightarrow \text{dark}} + \Gamma_k^{\text{Einstein-Hilbert}}$$

$$\Gamma_k^{\text{visible}} = \int d^4x \sqrt{g} \left(\frac{Z_{\phi_v}}{2} g^{\mu\nu} \partial_\mu \phi_v \partial_\nu \phi_v + \frac{\bar{m}_v^2}{2} \phi_v^2 + \frac{\lambda_v}{8} \phi_v^4 + \xi_v \phi_v^2 R + i Z_{\psi_v} \bar{\psi}_v \not{\nabla} \psi_v + i y_v \phi_v \bar{\psi}_v \psi_v \right)$$

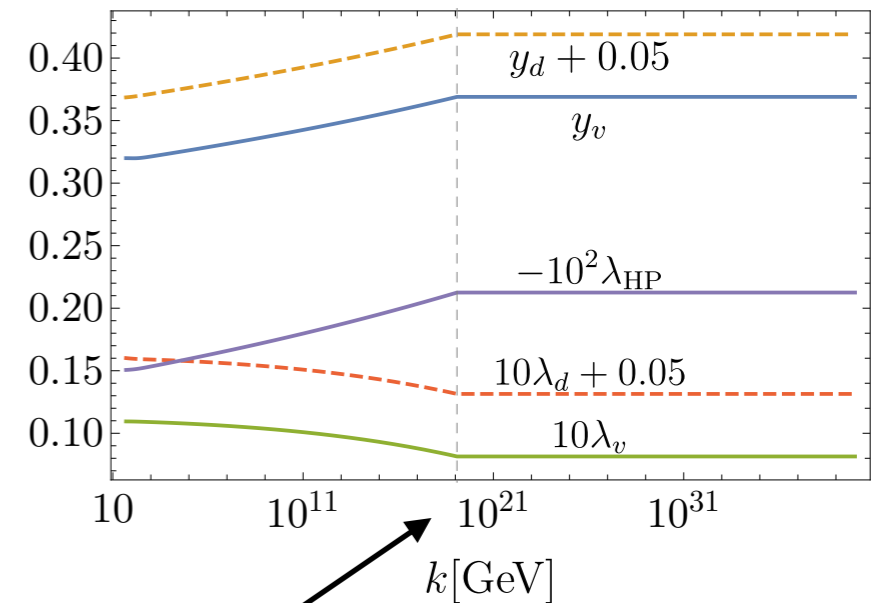


$M_{\psi_d} [\text{GeV}]$

22 43 65



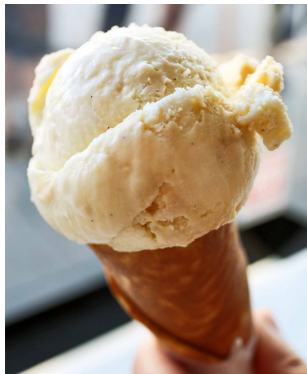
predictive relations from quantum gravity



QG fluctuations
turn off
dynamically

Asymptotically safe constraints on dark sectors

Proof of principle: Upgrade and exploit the predictive power



toy model SM Higgs portal to singlet scalar + dark fermion

AE, Pauly '20

$$\Gamma_k = \int d^4x \sqrt{g} \frac{\lambda_{\text{HP}}}{4} \phi_v^2 \phi_d^2 + \Gamma_k^{\text{visible}} + \Gamma_k^{\text{visible} \rightarrow \text{dark}} + \Gamma_k^{\text{Einstein-Hilbert}}$$

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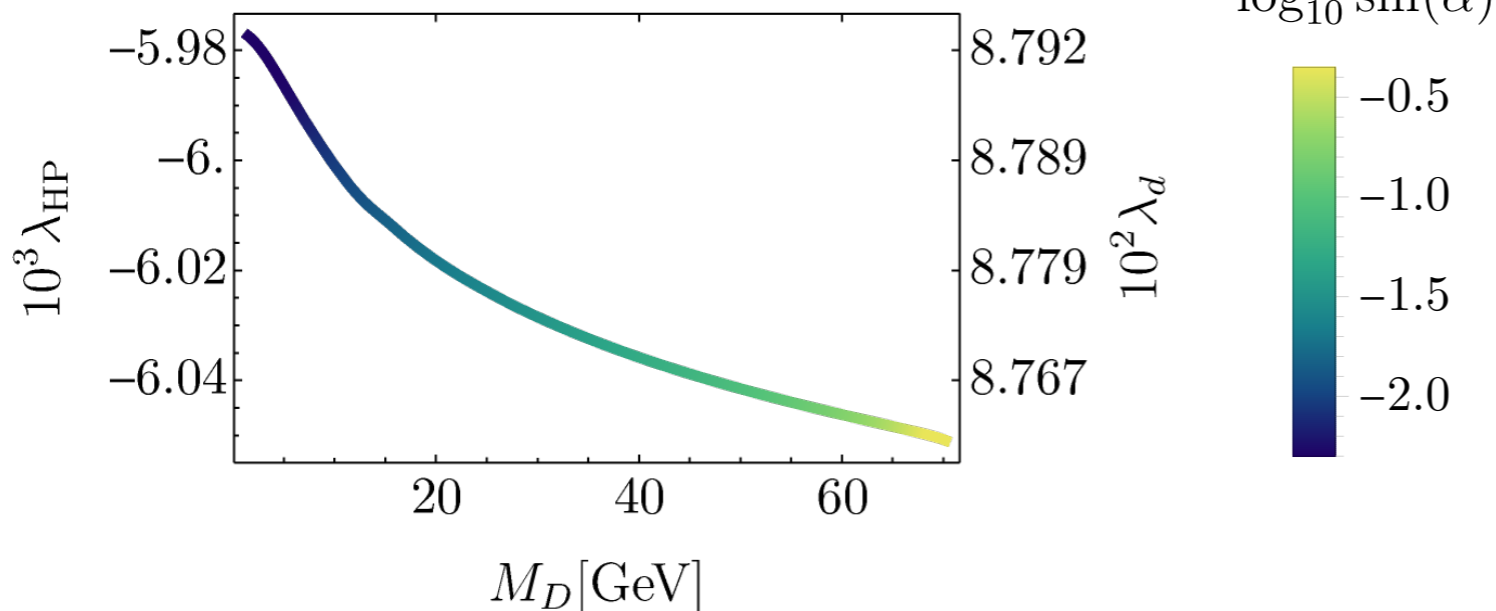


$M_{\psi_d} [\text{GeV}]$

22

43

65



Upshot of dark- sector explorations so far:

- predictive power of asymptotic safety:
 - simplest dark sector model excluded
 - extended dark sector much more constrained than in effective-field theory setting

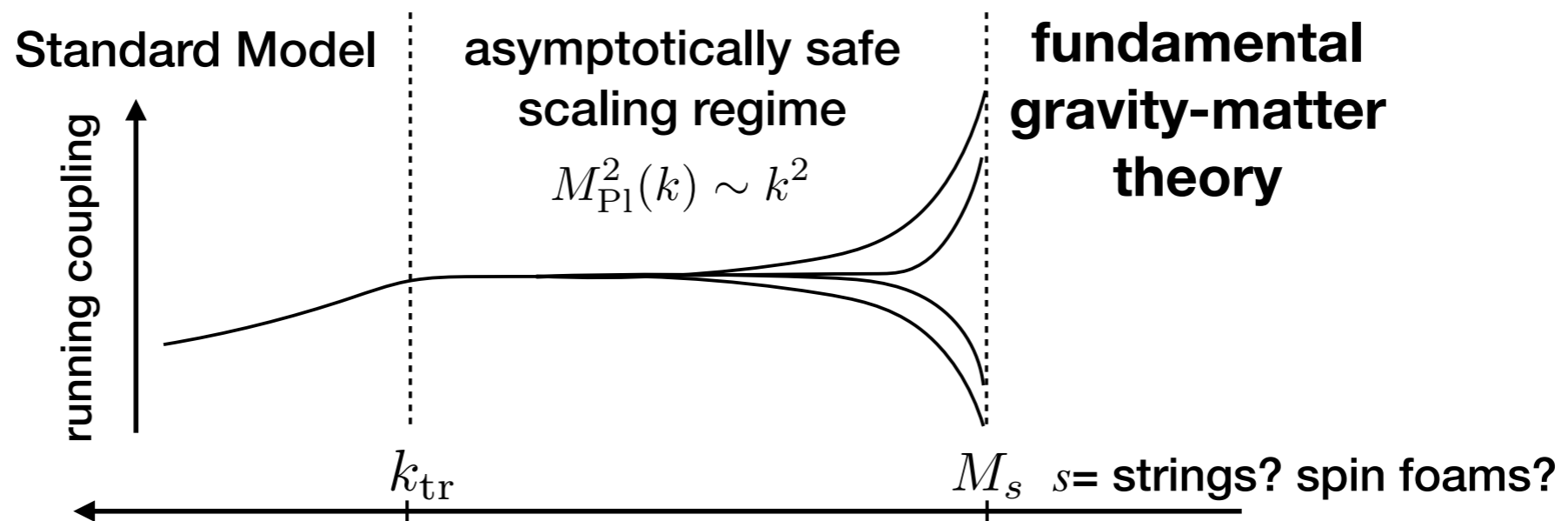
predictive relations from quantum gravity

Future perspectives: Links

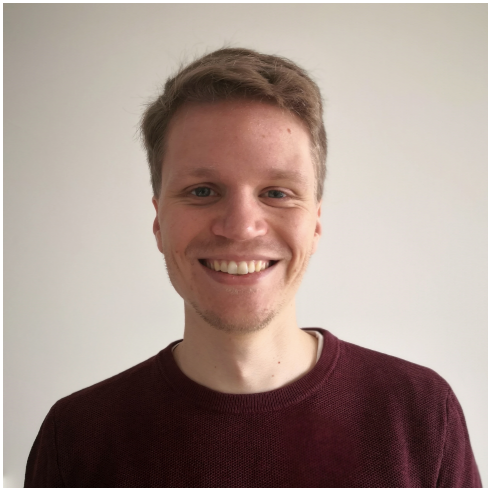
Phenomenology: Links to Standard Model + dark sector

Open theoretical questions (e.g., background independence, Lorentzian signature): link to lattice approaches

Link to other settings: Effective asymptotic safety



Thanks to the team!



Aaron Held
(now at Imperial College)



Antonio Pereira
(now at Fluminense
Federal &
Radboud-University)



Alessia Platania
(now at Perimeter Institute)



Andreas Pithis
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Fleur Versteegen
(now ASML, Netherlands)



Johannes Lumma
(now at Ecole
Polytechnique)



Gustavo Brito
(current member)



Marc Schiffer
(current member; soon
at Perimeter Institute)



Martin Pauly
(current member)



**Rafael Robson Lino
dos Santos**
(current member)

as well as bachelor students Johanna Borissova and Philipp Johannsen, master students Jan Engelhardt, Artiom Zaitev, Ademola Adeifeoba, Giovanni Biondo, Peter Vander Griend,
visiting PhD students Jan Kwapisz, João Miqueleto, Carlos Nieto, Shouryya Ray, Arslan Sikandar, Vedran Skrinjar,
as well as former postdoc Nicolai Christiansen