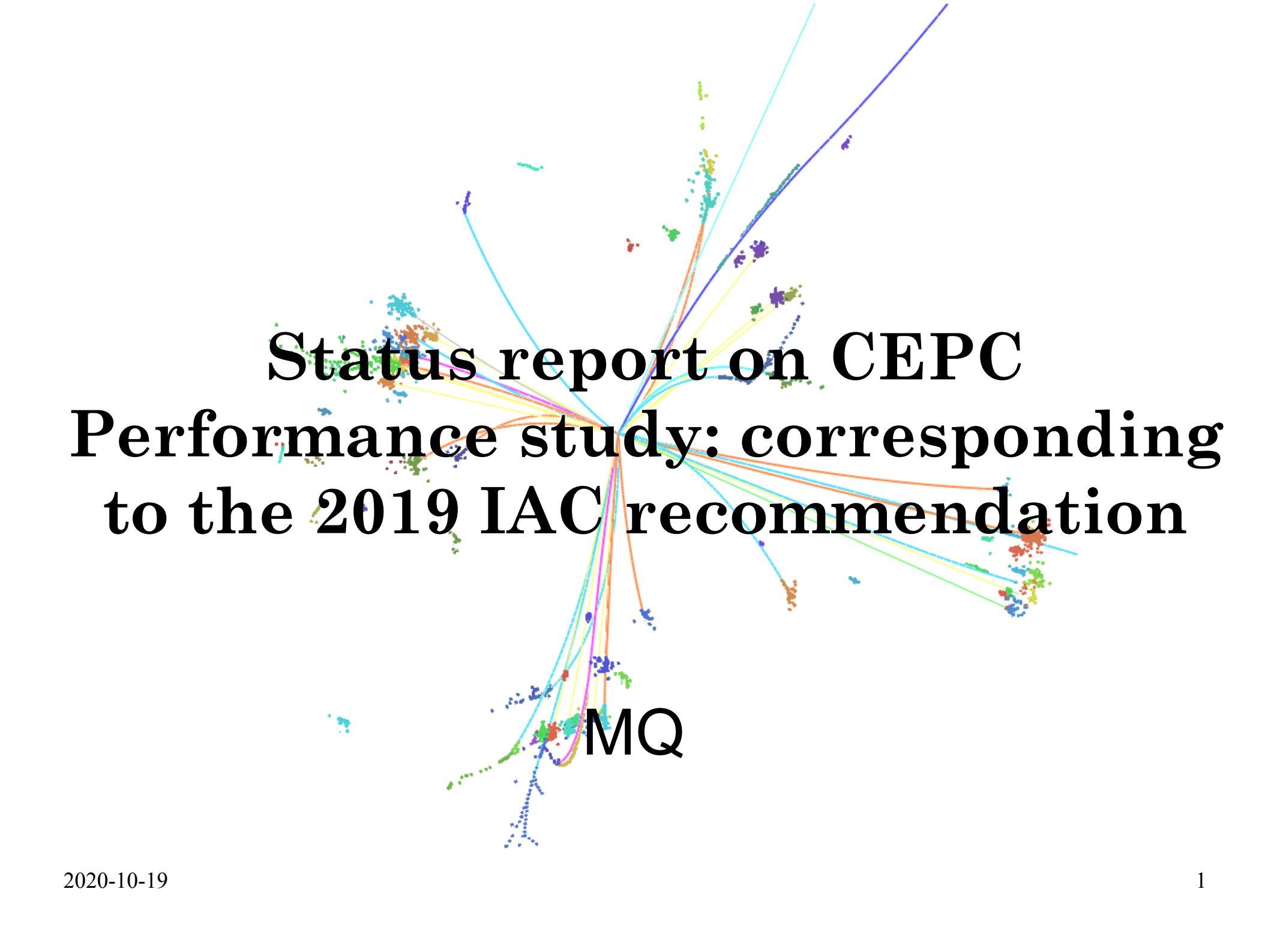




Status report on CEPC Performance study: corresponding to the 2019 IAC recommendation

MQ

From IAC report 2019

Other recommended detector and physics studies:

Recommendation 16:

- *Perform detailed simulation studies to better understand the physics needs from the detector at the various CEPC energy stages; draw consequences about the corresponding detector performance requirements (e.g. photon resolution, jet resolution, added value of PID) and study how this influences the detector design.*
- *Study the physics case for performing flavor physics including the tau lepton at the Z-peak. Draw conclusions on a possible impact on the detector design.*
- *Given that time-of-flight detectors with a time resolution in the 30-50 ps are becoming available, study their potential added value for a CEPC detector by assessing a few key physics benchmarks.*
- *Assess the added value of dE/dx capabilities in the tracker.*
- *Assess the added value of the muon detector system. As a result, define the number of muon detection layers to include, together with their required performance.*

From IAC report 2019

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- **Key words: Requirement, and Flavor**

From IAC report 2019

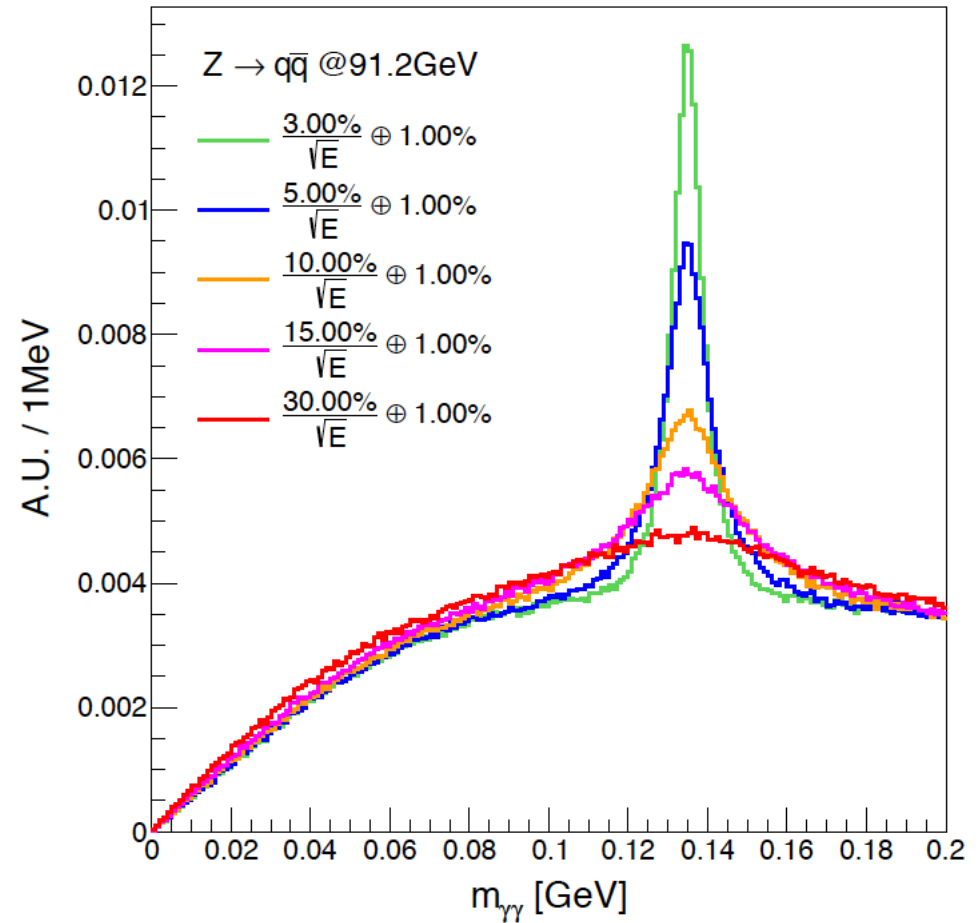
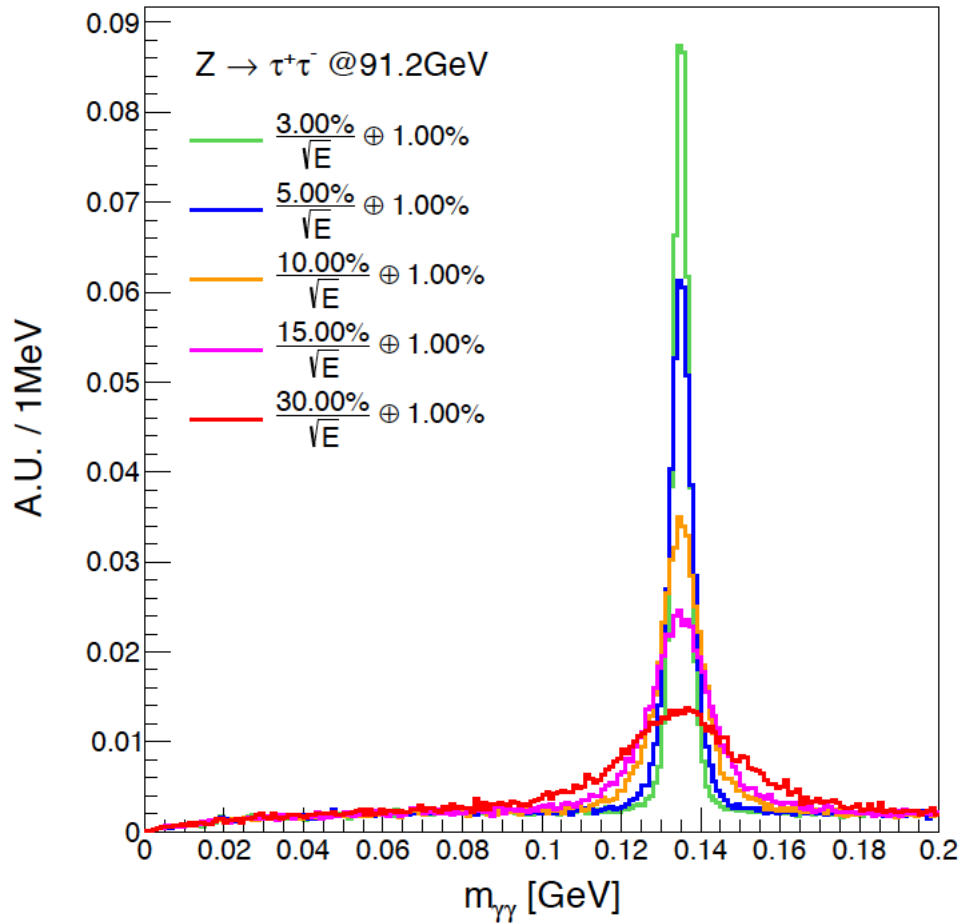
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- **Key Physics Objects...**

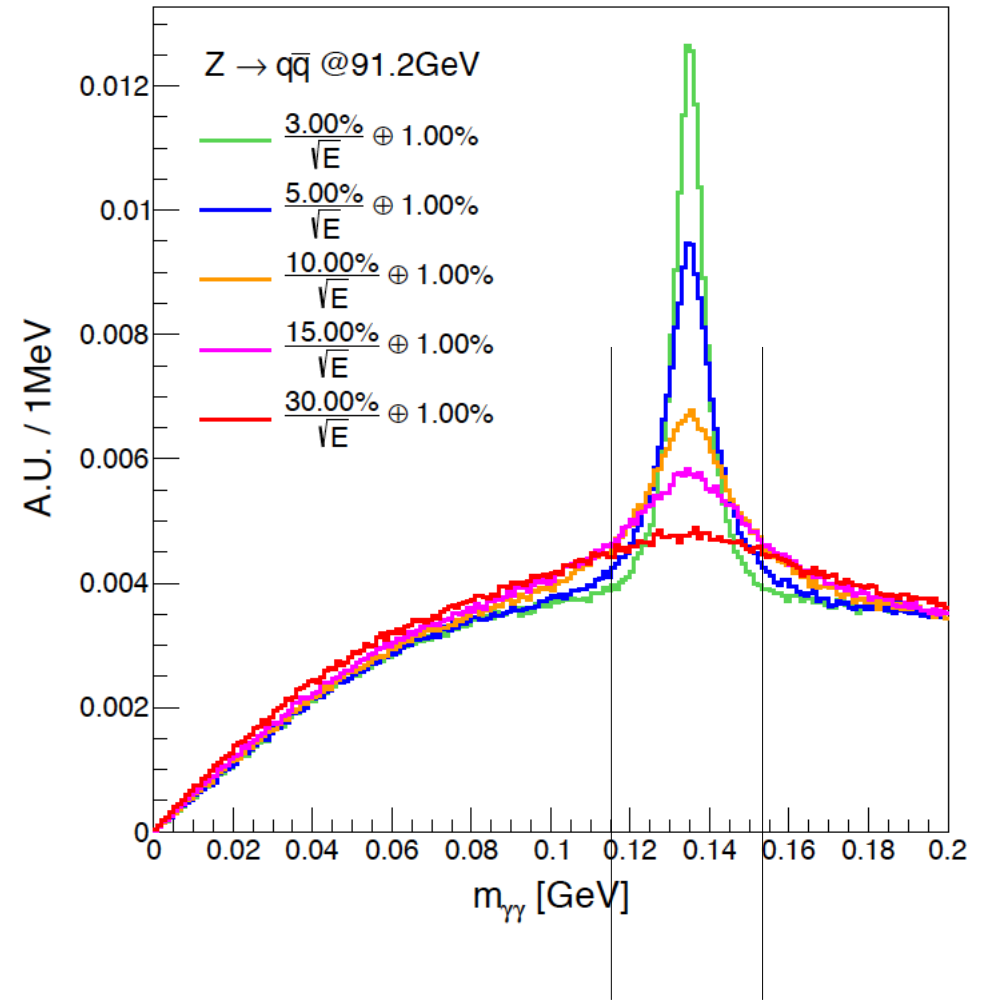
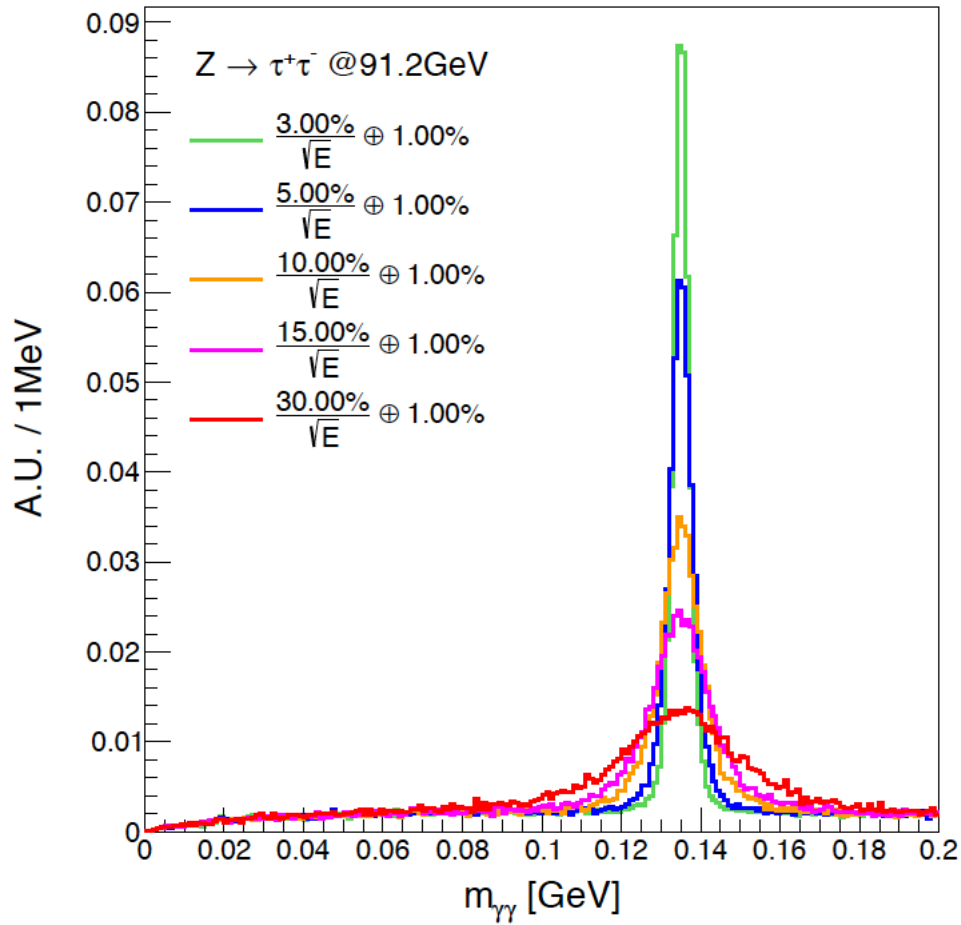
pi-0: truth level analysis

Yuexin

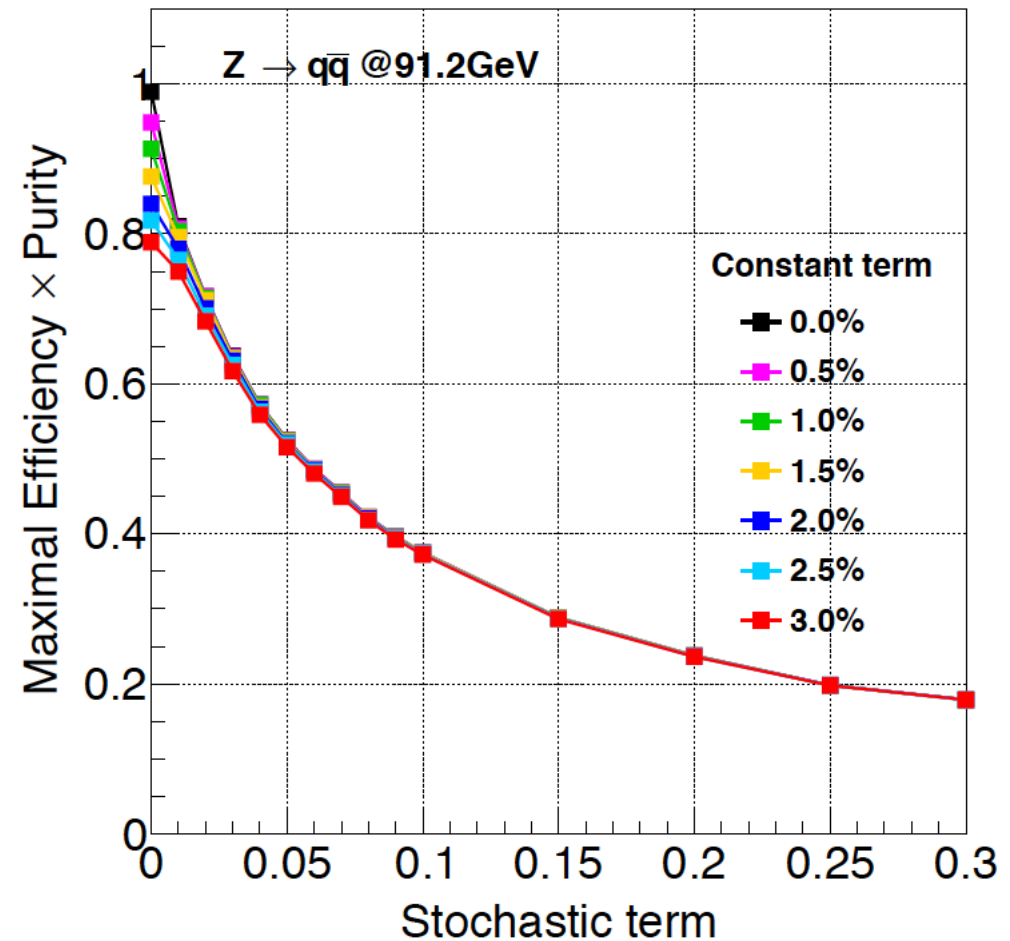
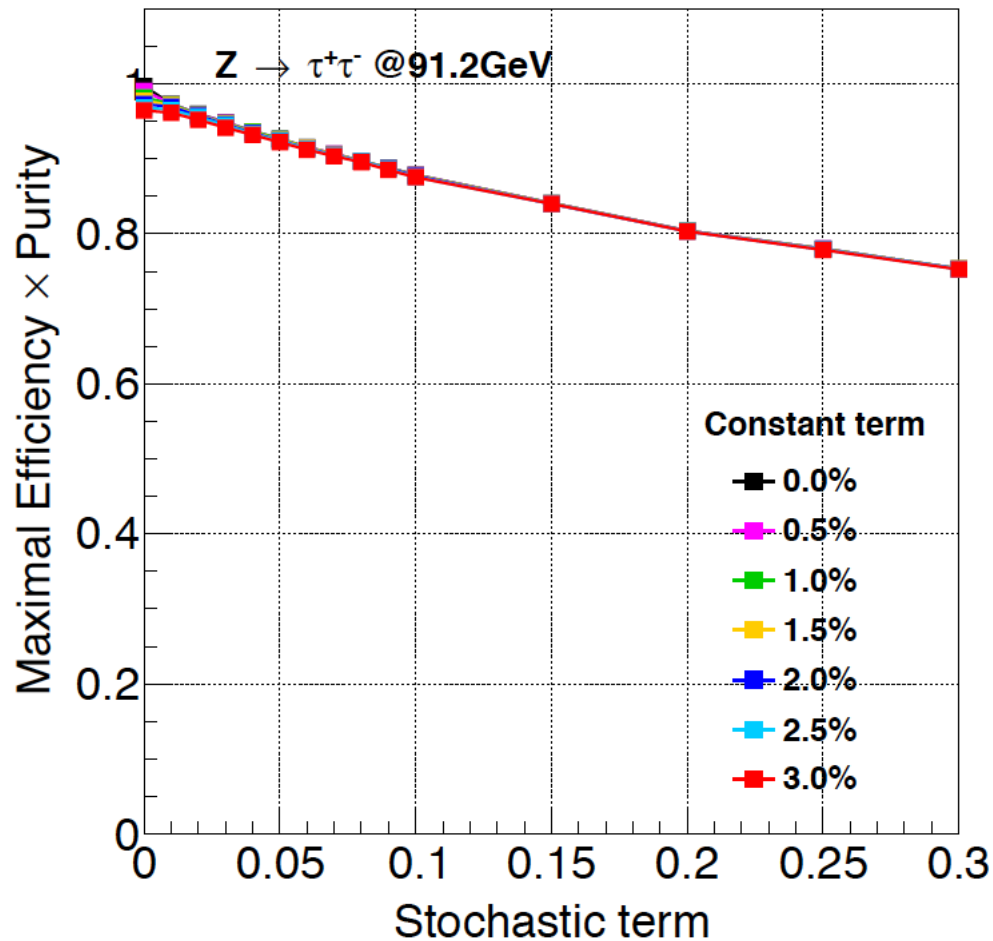


pi-0: truth level analysis

Yuexin



Impact of ECAL resolution on pi-0 finding



Performance dependency on pi-0 energy

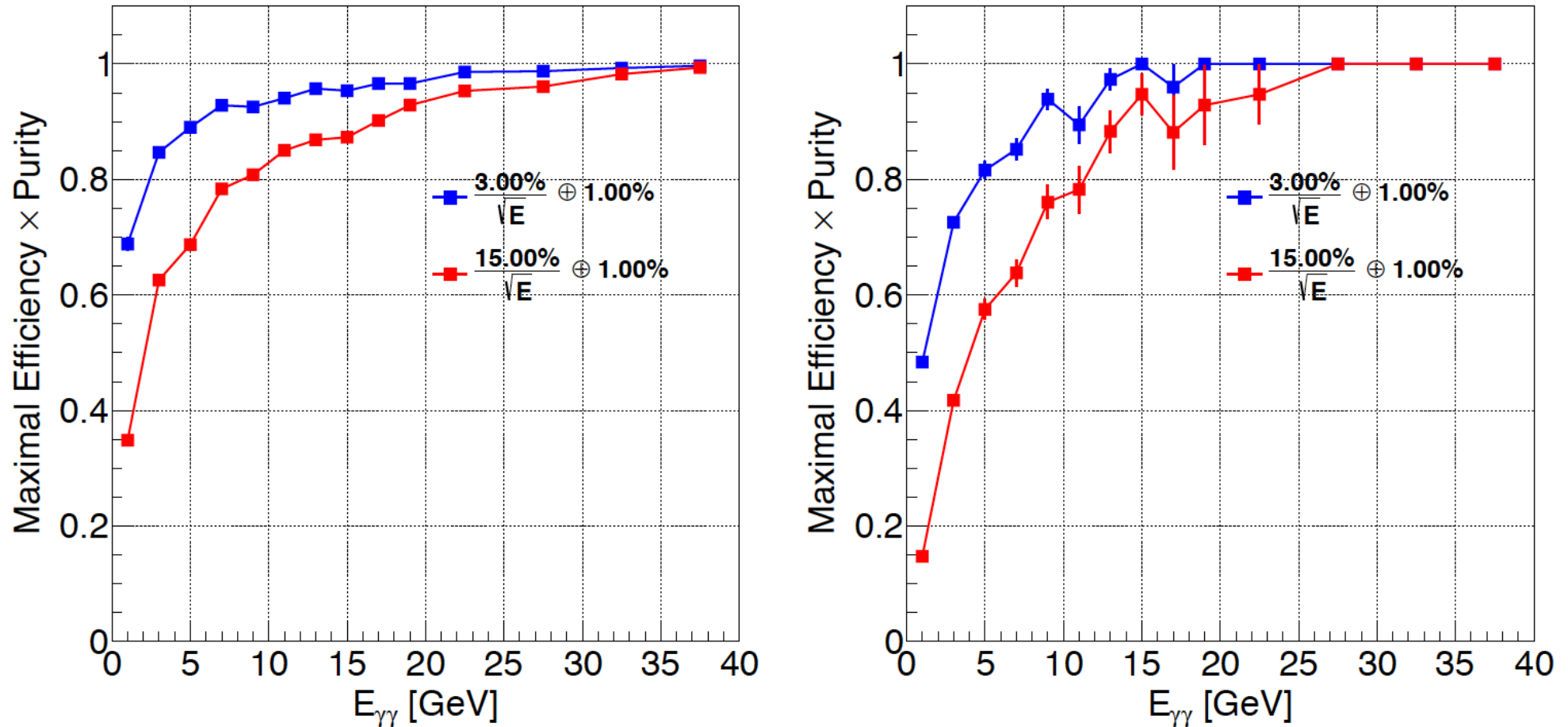


Figure 13: Energy differential maximal $\epsilon \times p$ for $Z \rightarrow \tau^+\tau^-$ (left) and $Z \rightarrow q\bar{q}$ (right).

π^0 : energy spectrum of different components

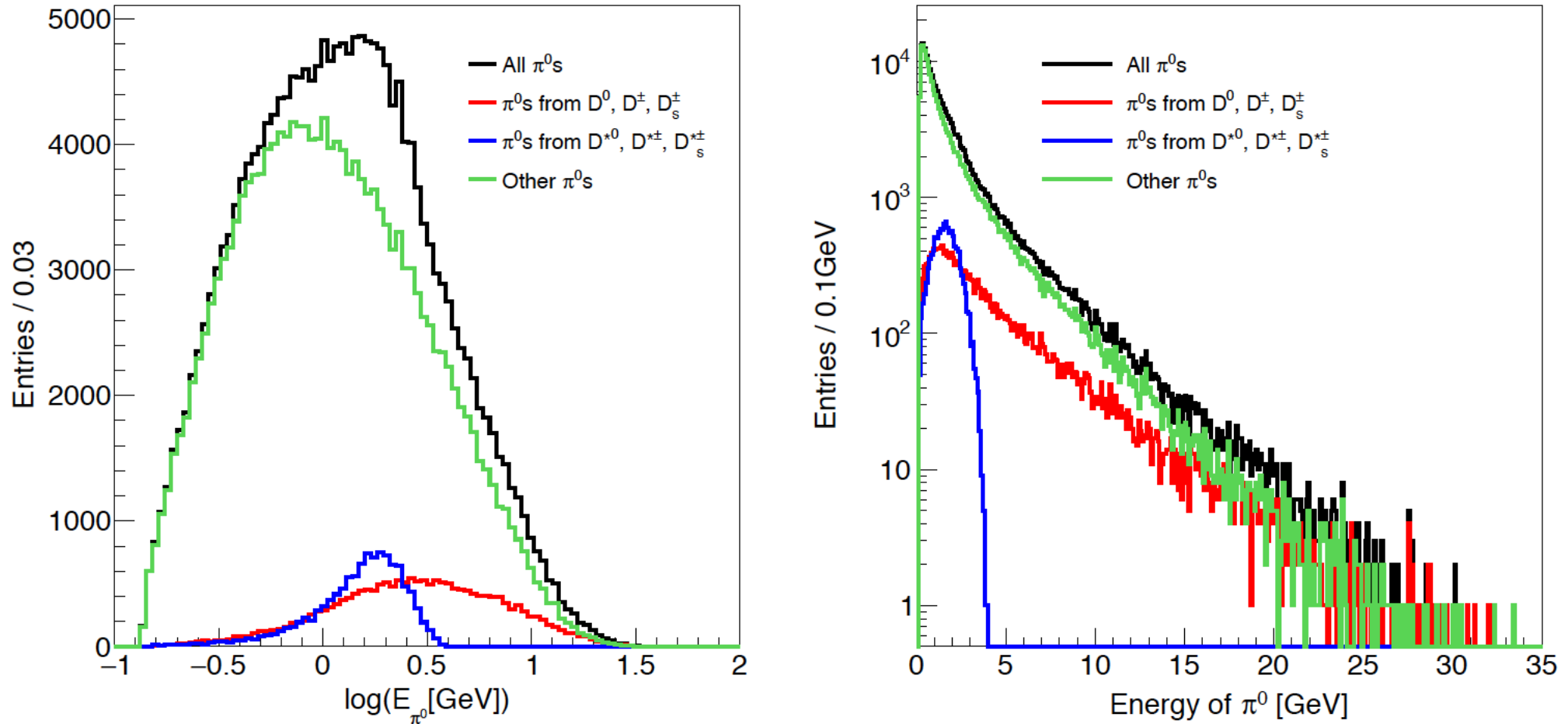


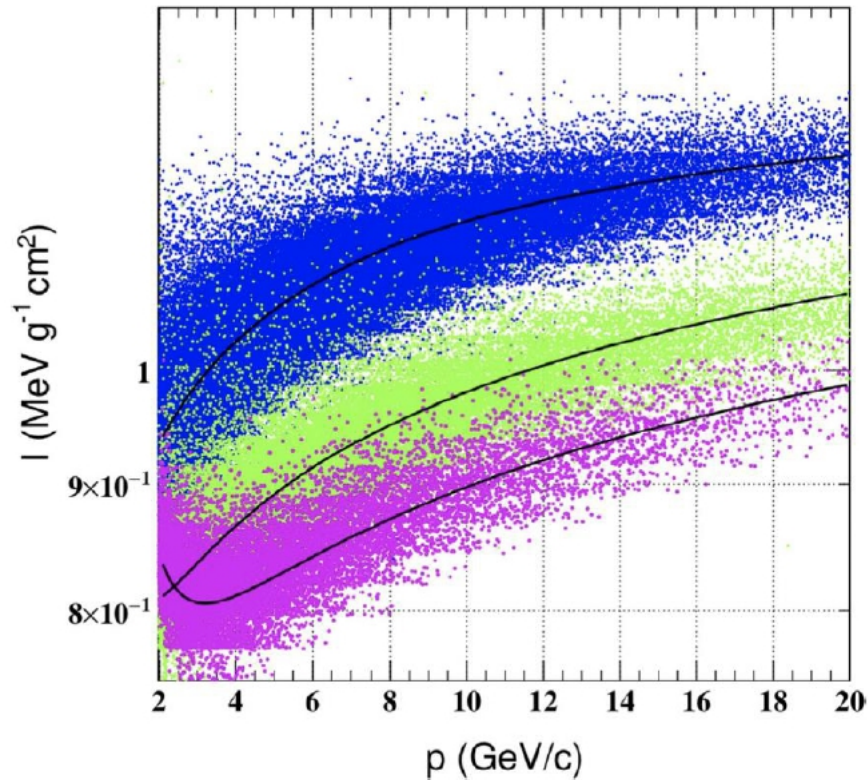
Figure 13: Energy spectrum of π^0 from different origins in $Z \rightarrow c\bar{c}$.

pi-0 reco

- ECAL resolution is critical: improving the ECAL resolution from $15\%/\sqrt{E}$ to $5\%/\sqrt{E}$ (with 1% constant term) significantly improve the inclusive pi-0 reconstruction efficiency at jet final state
 - From 85% to 92% at $Z \rightarrow \tau\tau$
 - From 30% to 50% at $Z \rightarrow qq$
- Low energy pi-0 is more sensitive to ECAL energy resolution.
- Further quantification needs physics benchmarks
 - Narrow States $\rightarrow n \cdot \pi^0 + X$, X are a set of charged Particle
 - Existed States?

Pid & dEdx

Fenfen, Taifan, Zhiyang, etc



MC result of single-particle events with the theoretical prediction by the Bethe equation [16] overlaid. In the right plot the dots are from simulation of $e^+e^- \rightarrow Z \rightarrow q\bar{q}$ events

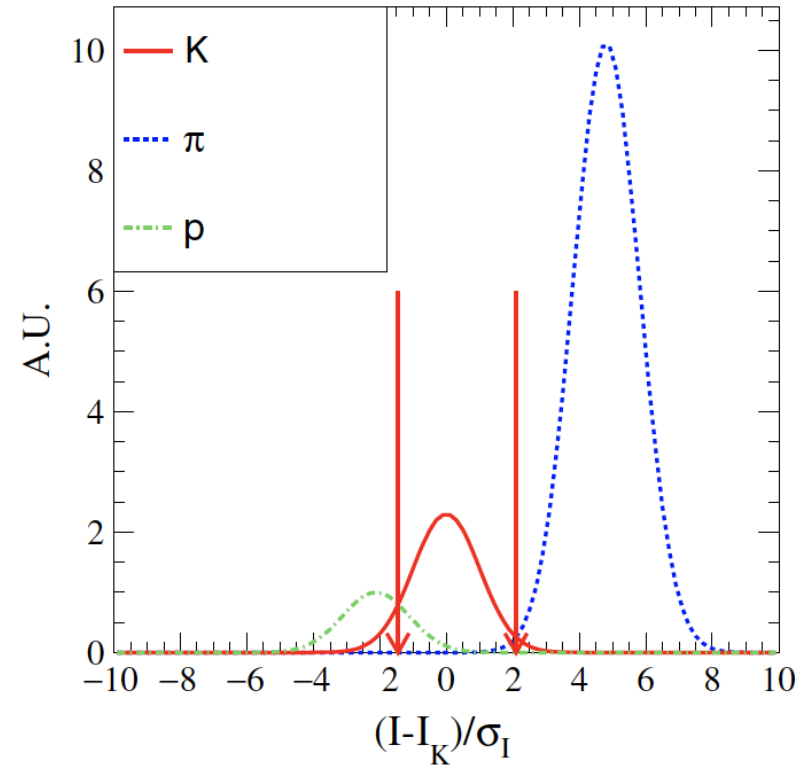


Fig. 6 The scaled spectra of $(I - I_K)/\sigma_I$ using dE/dx measurements alone for particles with a momentum of 5 GeV/c, assuming a 20% degradation. The relative populations are $N_\pi = 4.4N_K$ and $N_K = 2.3N_p$ according to MC simulation. The intersections marked by the arrows are chosen as the cut points

Kaon: energy up to 20 GeV ... even higher

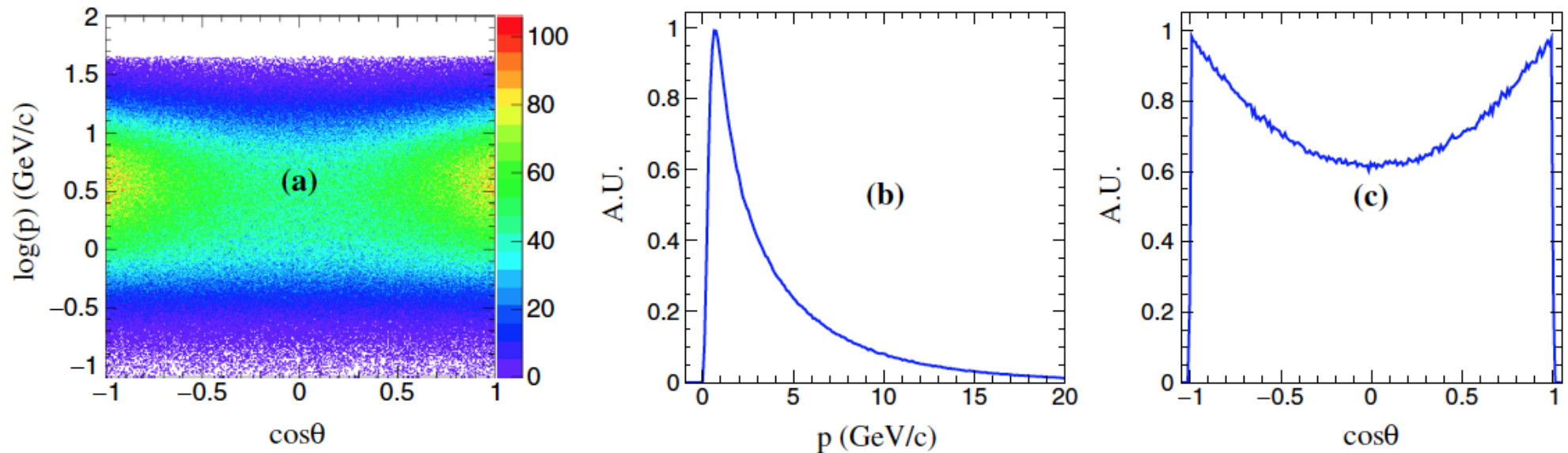
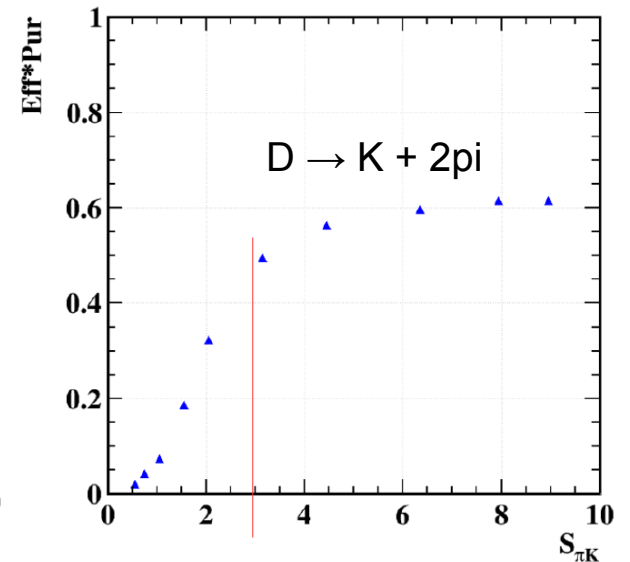
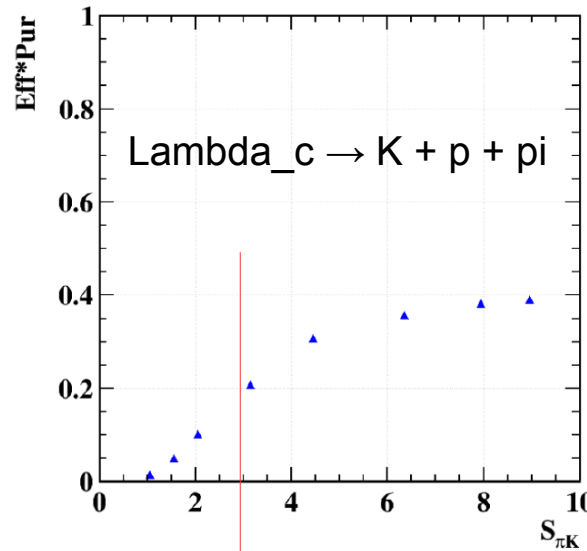
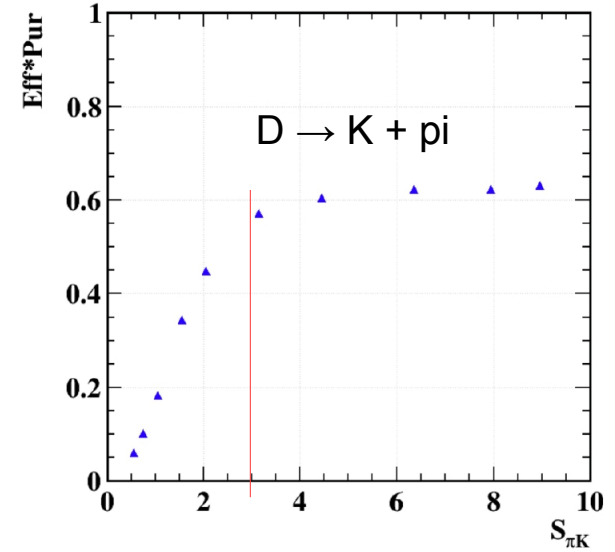
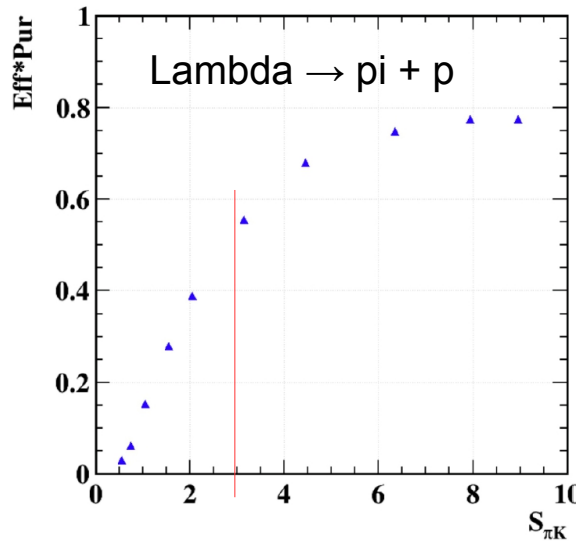
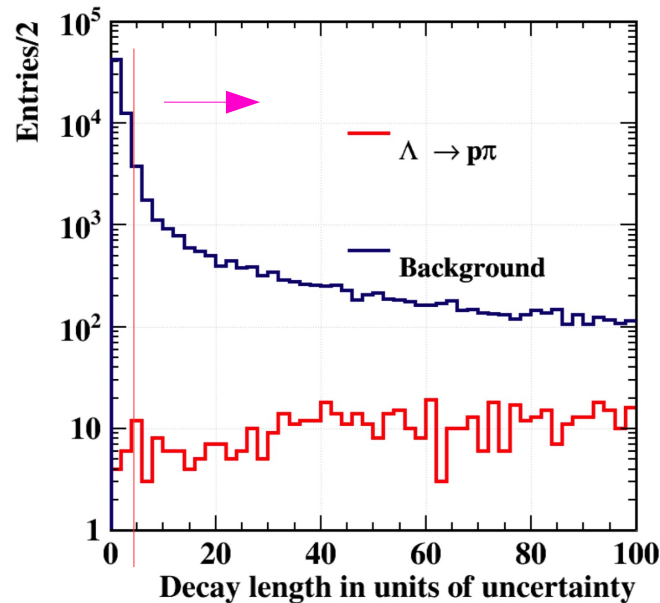


Fig. 3 Kinematic distribution of kaons in $e^+e^- \rightarrow Z \rightarrow q\bar{q}$ MC events as a function of $\log(p)$ and $\cos\theta$ (a), p (b), and $\cos\theta$ (c)

Pid & Objective Hadron finding

$$S_{AB} = \frac{|I_A - I_B|}{\sqrt{\sigma_{I_A}^2 + \sigma_{I_B}^2}},$$



Pid & dEdx

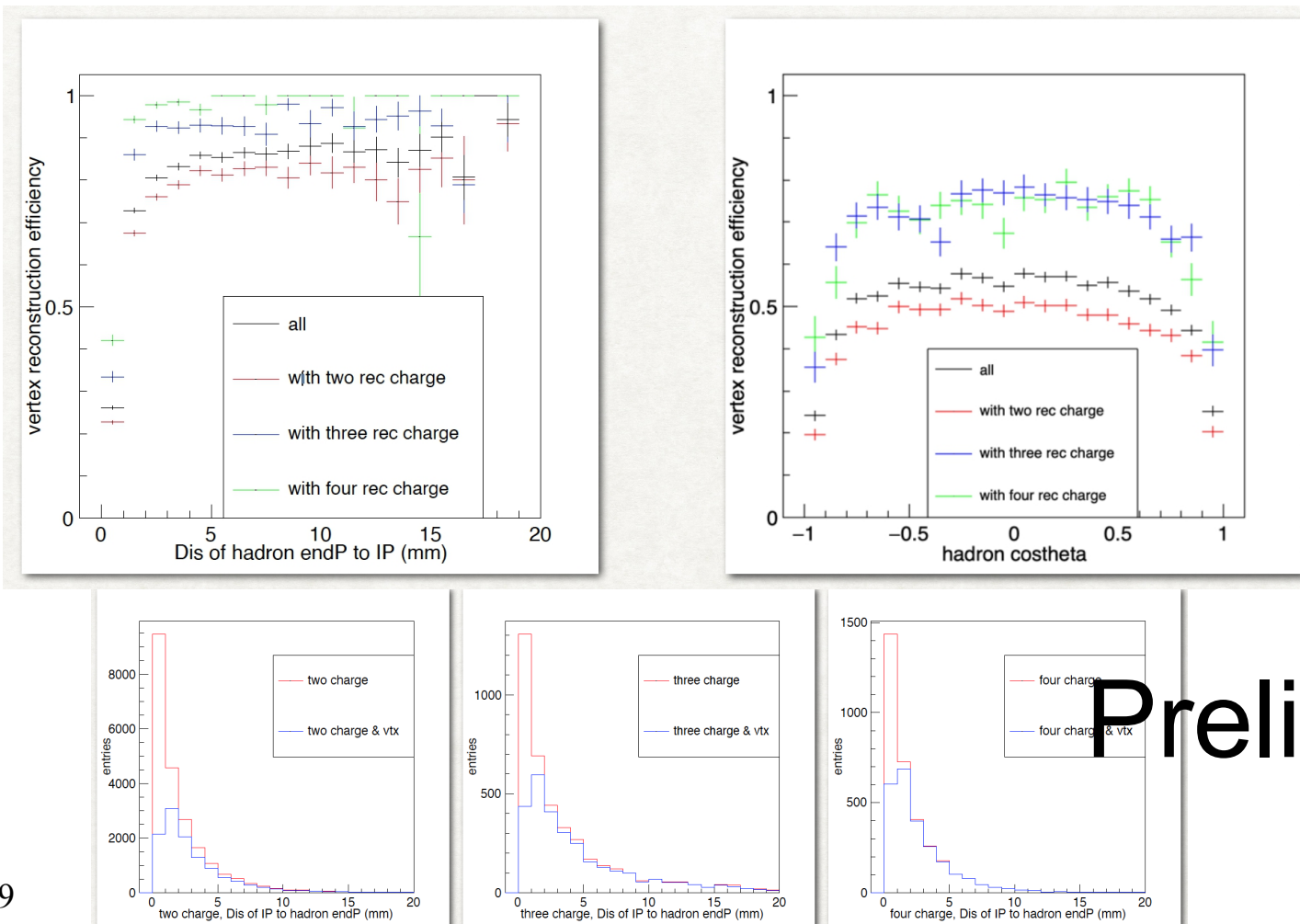
- Physics: Kaon up to 20 GeV should be identified...
- For objects with kaon and/or proton in its decay product: performance depends on
 - Momentum (fully charged final state)
 - Hadron separation, especially pi-K separation
 - VTX reco. (for heavy flavor hadrons)
- Preliminary: 3-sigma separation of pi-Kaon, corresponding to 2% of dEdx resolution, is appreciated

VTX reconstruction: Diagnosis

should been reconstructed vertex && have been reconstructed vertex
should been reconstructed vertex

- At vvH, $H \rightarrow cc$ events.

C-hadron with given charge multiplicity && corresponding tracks reconstructed



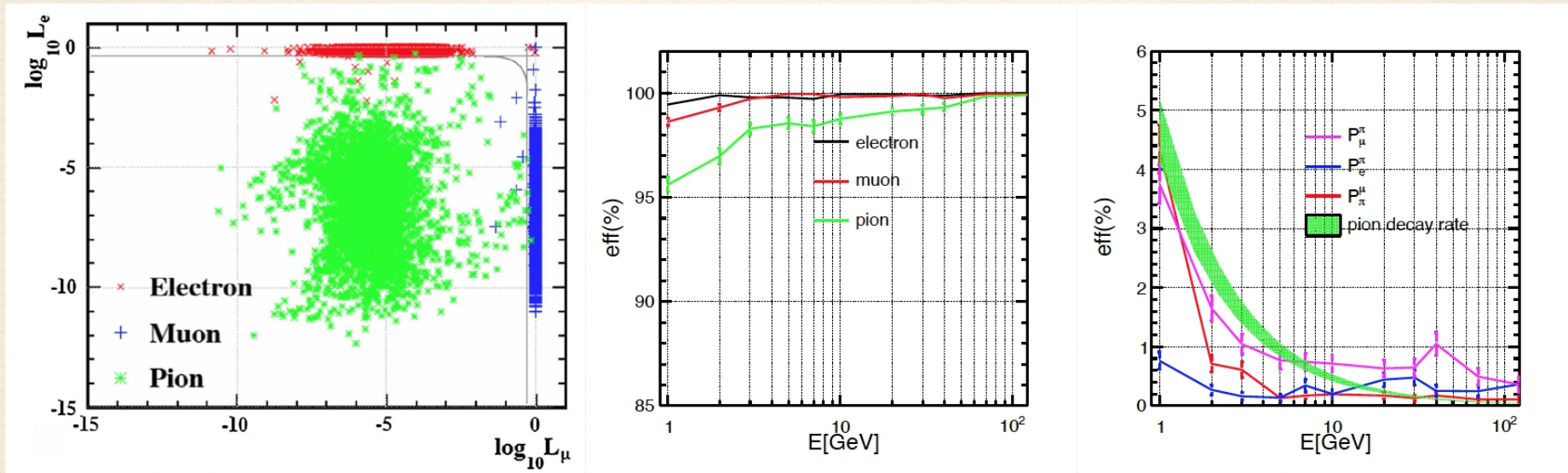
Yongfeng

Preliminary

Light Lepton Identification

Dan Yu

- LICH uses TMVA methods to summarize 24 input variables into two likelihoods, corresponding to electrons and muons.
- The efficiency for electron and muon is higher than 99.5% ($E > 2$ GeV). Pion efficiency $\sim 98\%$.



Migration Matrix at 40GeV (LICH)

Type	$e^- \text{ like}$	$\mu^- \text{ like}$	$\pi^+ \text{ like}$
e^-	99.71 ± 0.08	< 0.07	0.21 ± 0.07
μ^-	< 0.07	99.87 ± 0.08	0.05 ± 0.05
π^+	0.14 ± 0.05	0.35 ± 0.08	99.26 ± 0.12

Migration Matrix for ALEPH PID (> 2 GeV)(*Eur.Phys.J.C*20:401-430,2001)

Type	$e^- \text{ like}$	$\mu^- \text{ like}$	$\pi^+ \text{ like}$	undefined
e^-	99.57 ± 0.07	< 0.01	0.32 ± 0.0	0.09 ± 0.04
μ^-	< 0.01	99.11 ± 0.08	0.88 ± 0.08	0.01 ± 0.01
π^+	0.71 ± 0.04	0.72 ± 0.04	98.45 ± 0.06	0.12 ± 0.03

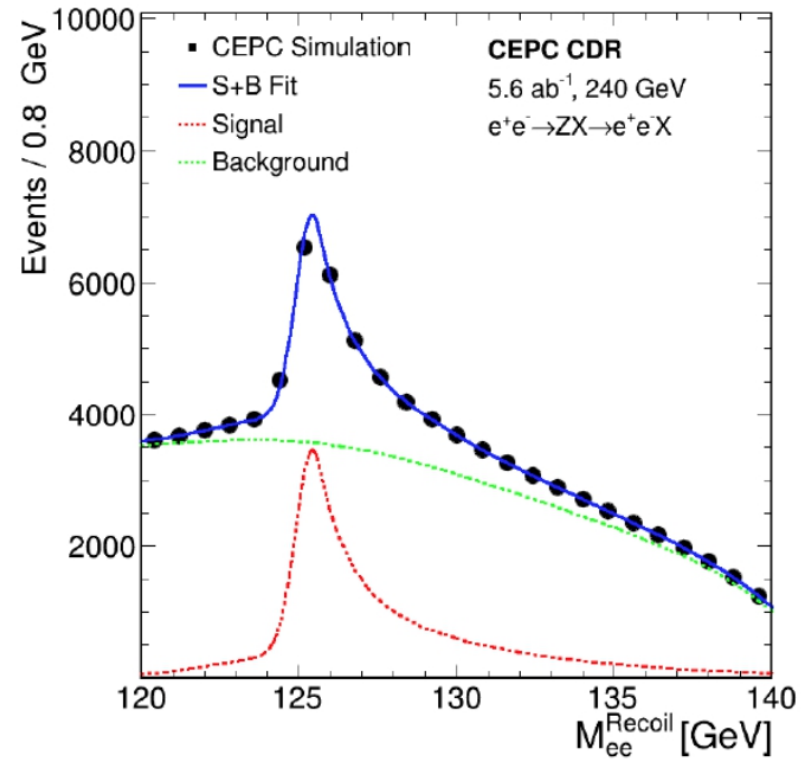
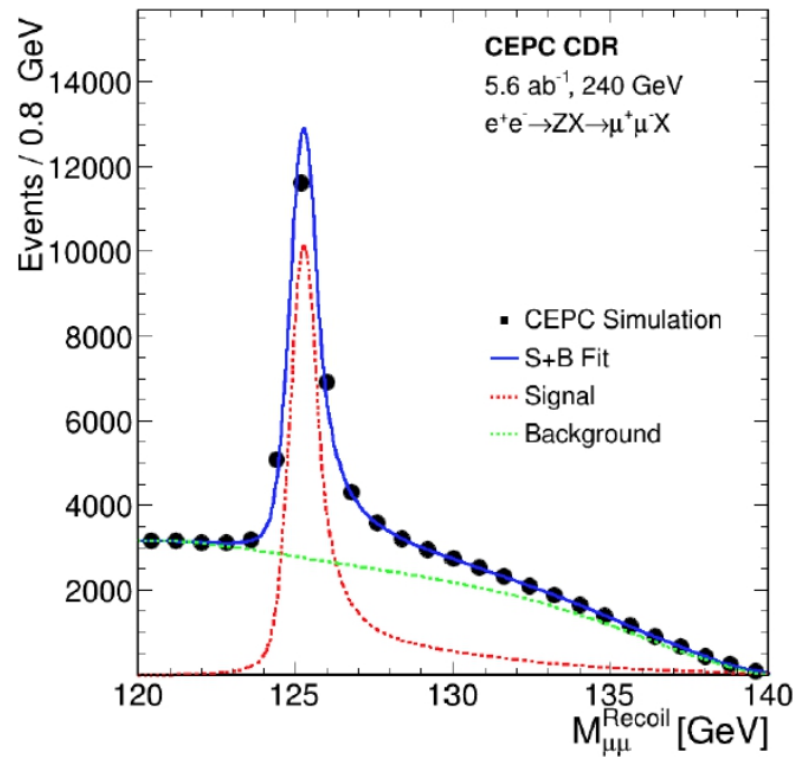
Eur. Phys. J. C (2017) 77: 591

7

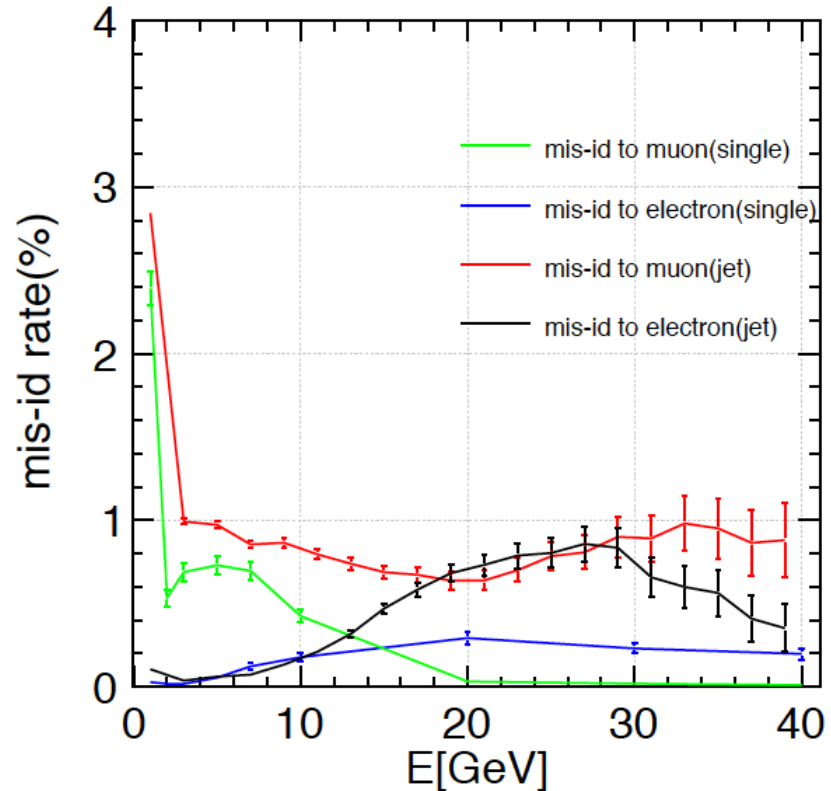
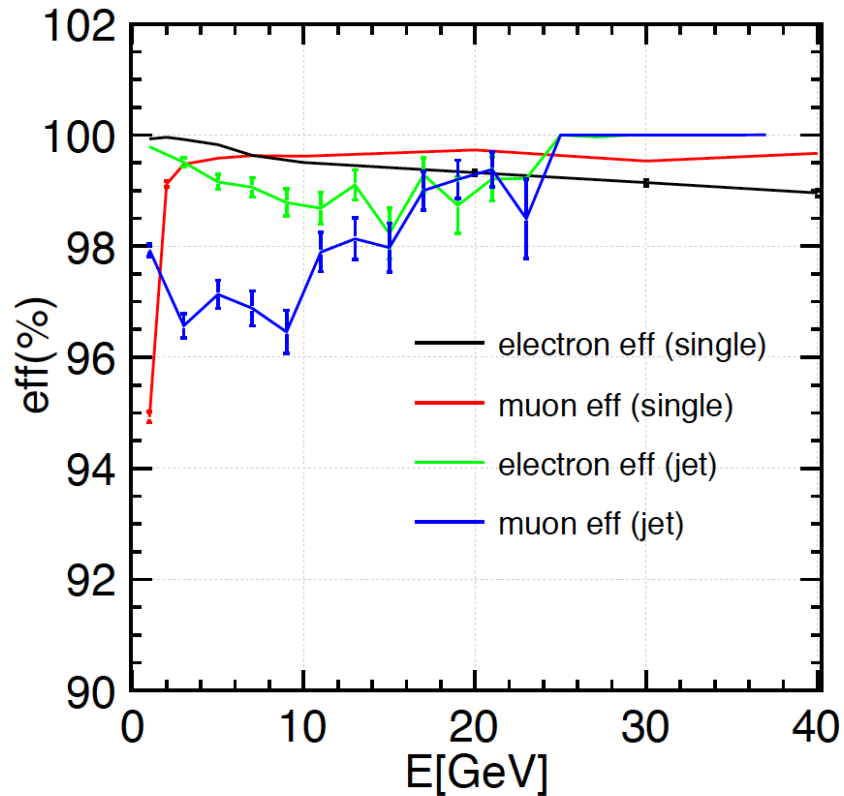
FCC Workshop 2020

Light Lepton Identification

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- The efficiency for electron and muon is higher than 99.5% ($E > 2$ GeV). Pion efficiency $\sim 98\%$.



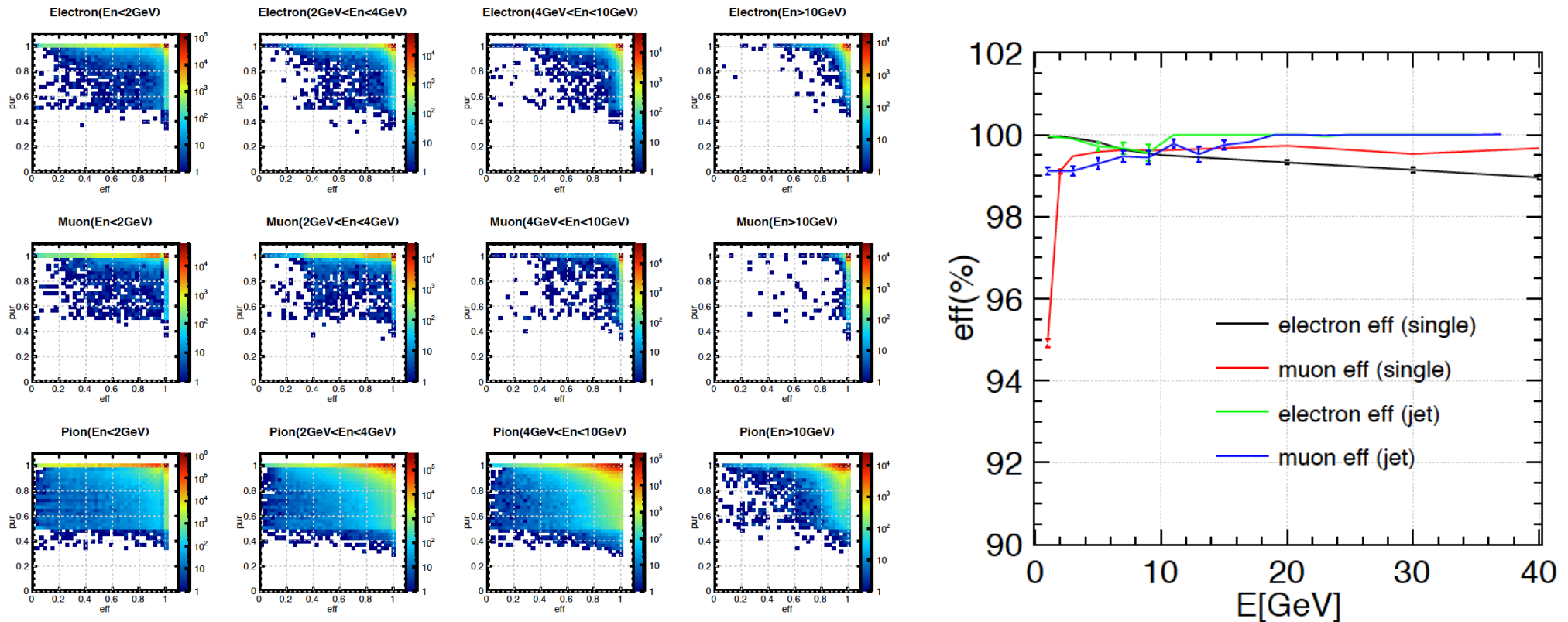
Jet lepton



Compared the single particle sample, the jet lepton (at $Z \rightarrow b\bar{b}$ sample at $\sqrt{s} = 91.2$ GeV) Performance will be slightly degraded. At the same working point,

The efficiency can be reduced by up to 3%; while mis-id rate increases up to 1%. Marginal Impact on Flavor Physics measurements as $B_c \rightarrow \tau \nu$.

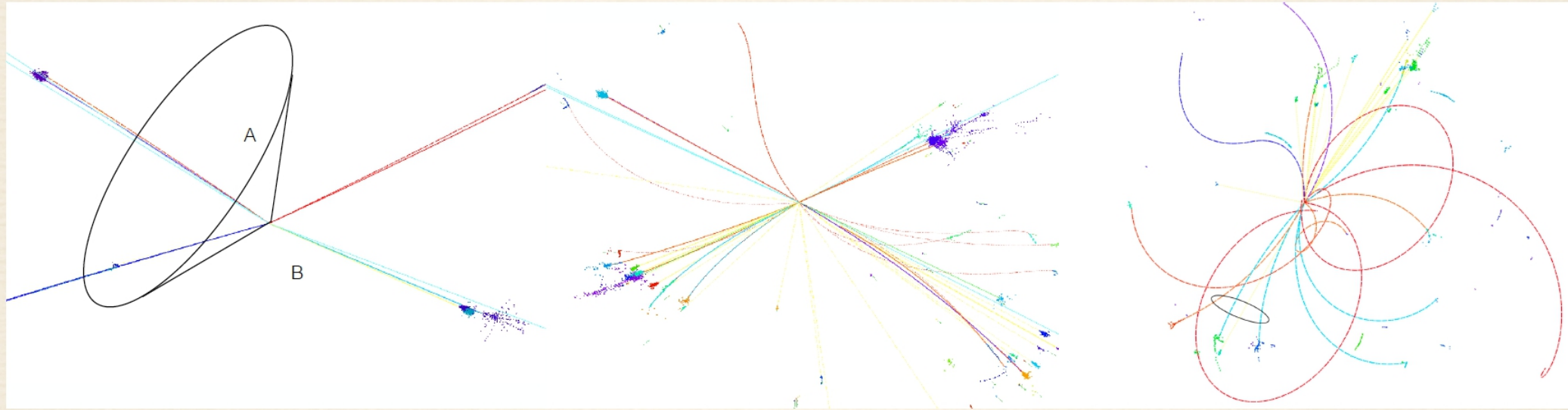
Jet lepton: identification performance dependence on calorimeter clustering



Relative difference reduced once require a good Calorimeter Clustering Performance

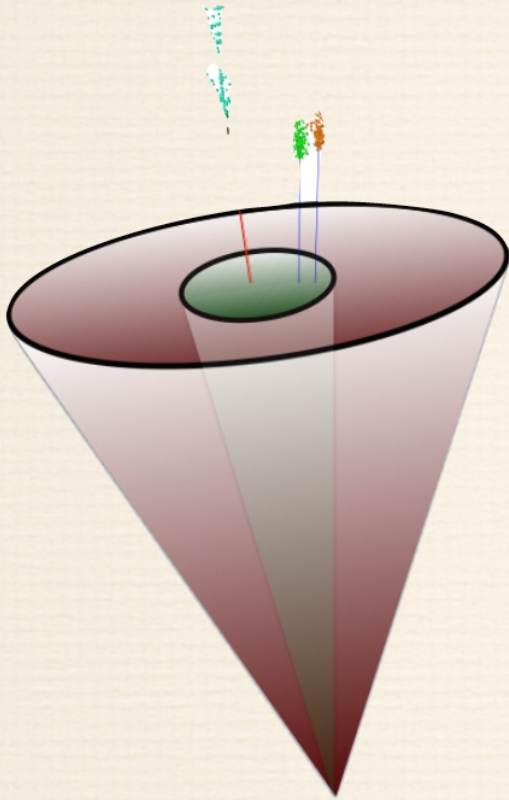
Event topology

- ❖ llH channel / $Z \rightarrow \tau\tau$
- ❖ qqH (isolate τ with jets)
- ❖ τ inside jets



- ❖ (Veto the two isolate lepton)
- ❖ Divide the whole space into 2 part
- ❖ Multiplicity & Impact parameter
- ❖ Tau jet reconstruction package: **TAURUS**
- ❖ TAURUS with different parameters

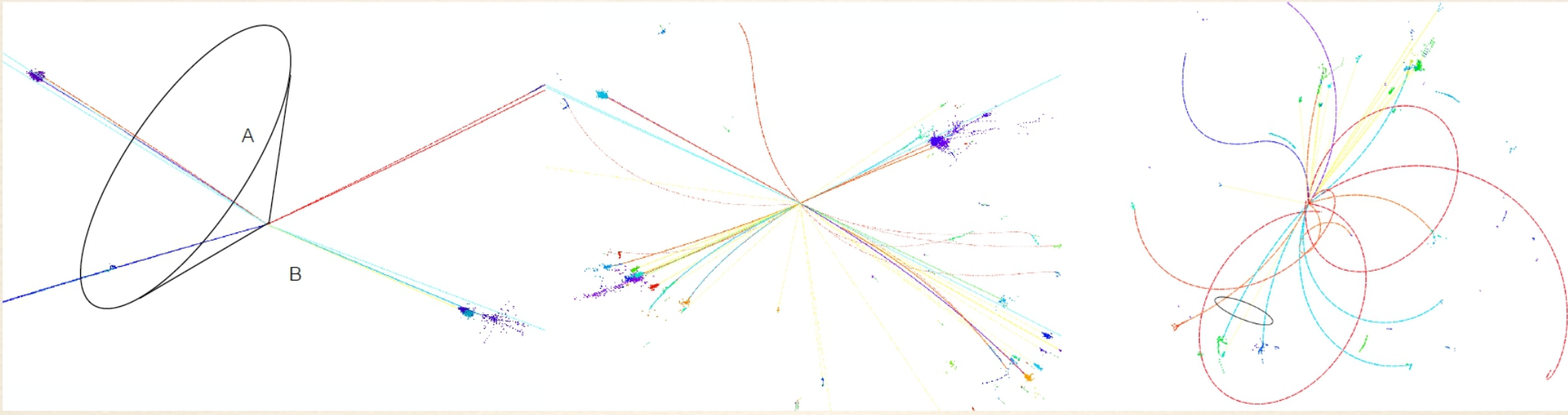
Taurus



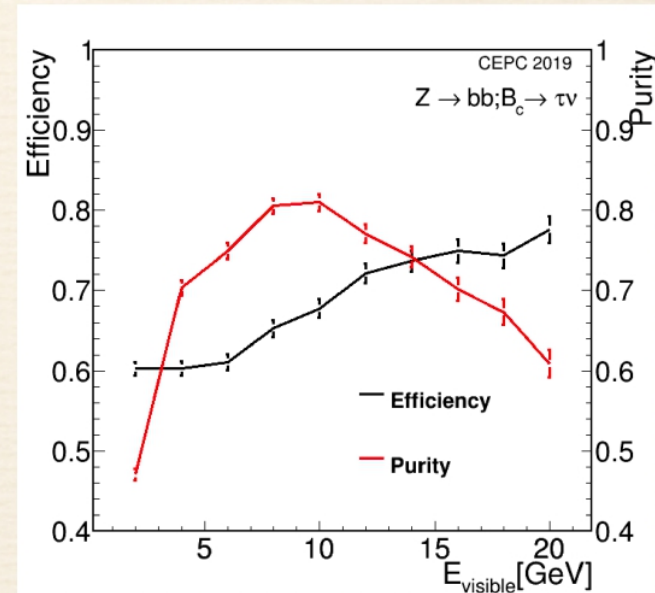
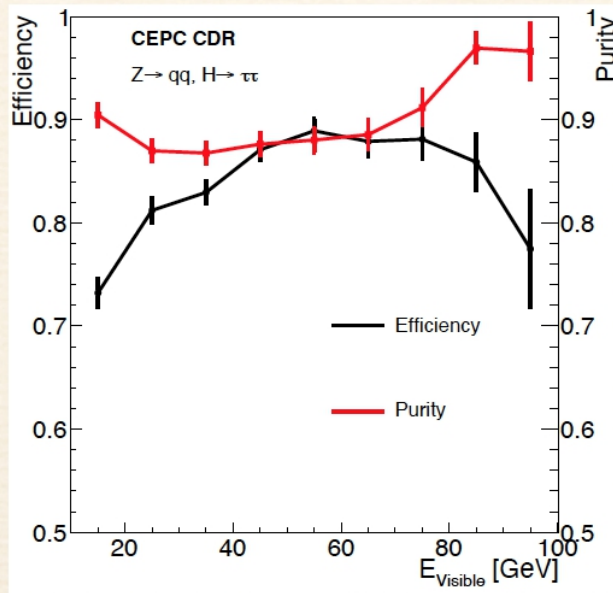
- Double cone based algorithm
- Find seeds(Tracks with enough energy)
- Collect particle in two cones
- Use the multiplicity, energy ratio between two cones, invariant mass for τ tagging

Event topology

- ❖ llH channel / $Z \rightarrow \tau\tau$
- ❖ qqH (isolate τ with jets)
- ❖ τ inside jets



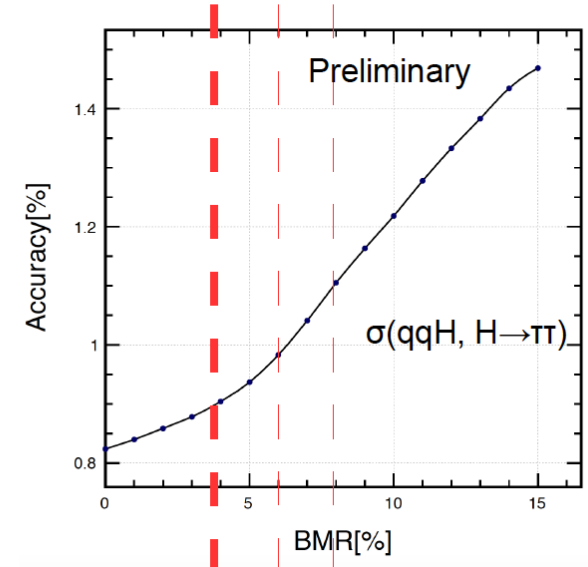
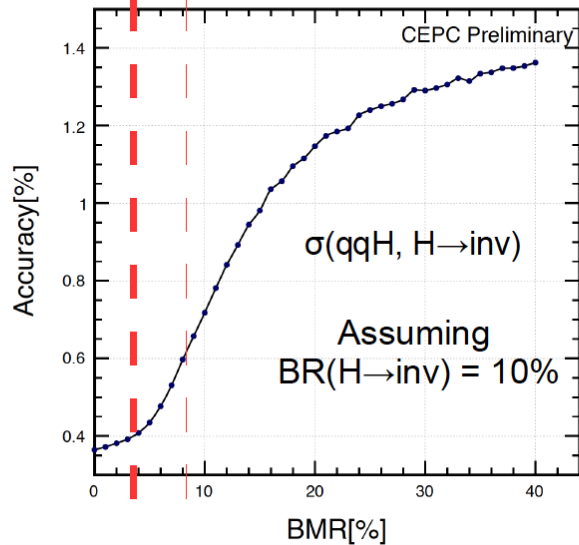
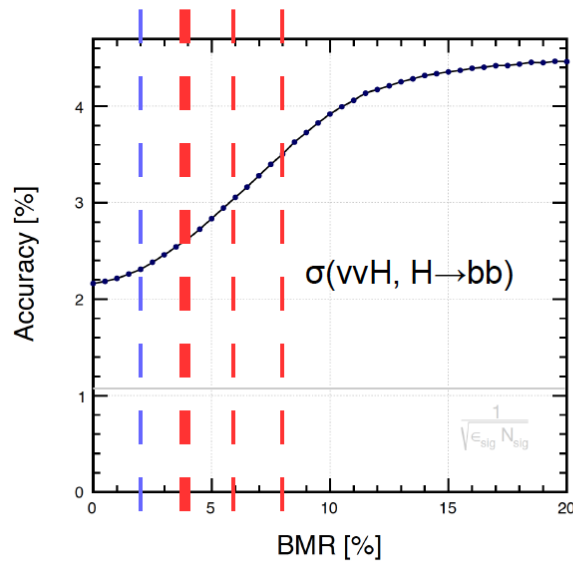
- ❖ (Veto the two isolate lepton)
- ❖ Divide the whole space into 2 part
- ❖ Multiplicity & Impact parameter
- ❖ Efficiency $> 90\%$



Lepton & Tau at the baseline

- Leptons: identified with LICH
 - Isolated ones: (eff $\sim$ 99.5-99.9%, mis-id $<$ 1%) the best for e+e- Higgs factories full simulation, approaching the physics limit
 - Jet leptons: $\sim$ o(1%) degrading w.r.t. Isolated ones
 - not significant impact on current physics benchmarks
 - caused by calorimeter clustering performance, in-turn become an estimator on clustering performance
- Taus: identified with Taurus
 - Isolated ones: eff*purity $\sim$ 0.7;
 - Inside jets (as Z $\rightarrow$ bb): eff*purity $\sim$ 0.5;
 - Further optimization on going.
 - Next step: Identification of tau decay modes at different benchmark.

Requirement from benchmark analysis: BMR < 4%



- Boson Mass Resolution: relative mass resolution of $vvH, H \rightarrow gg$ events
 - Free of Jet Clustering
 - Be applied directly to the Higgs analyses
- The CEPC baseline reaches 3.8%

	BMR = 2%	4%	6%	8%
$\sigma(vvH, H \rightarrow bb)$	2.3%	2.6%	3.0%	3.4%
$\sigma(vvH, H \rightarrow inv)$	0.38%	0.4%	0.5%	0.6%
$\sigma(qqH, H \rightarrow \pi\pi)$	0.85%	0.9%	1.0%	1.1%

18/09/19

CEPC WS@Chicago U

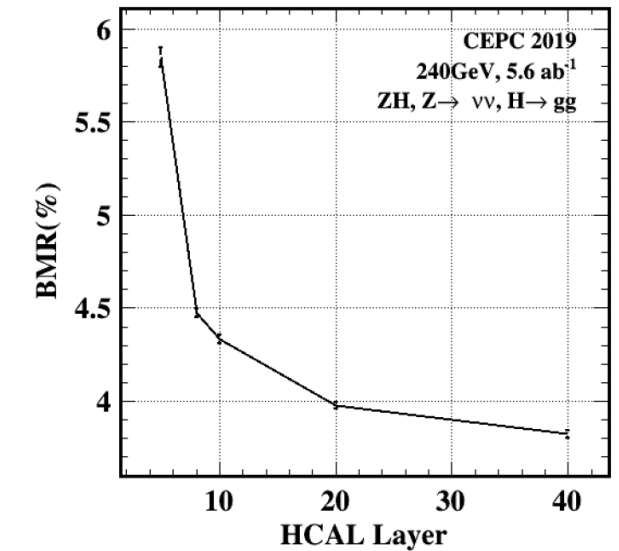
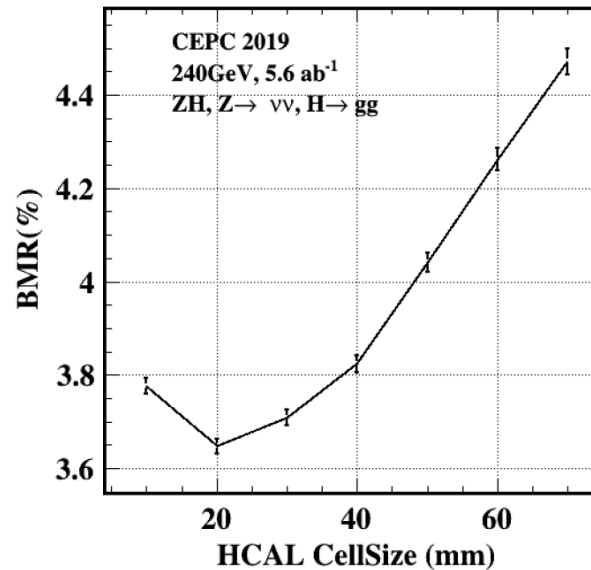
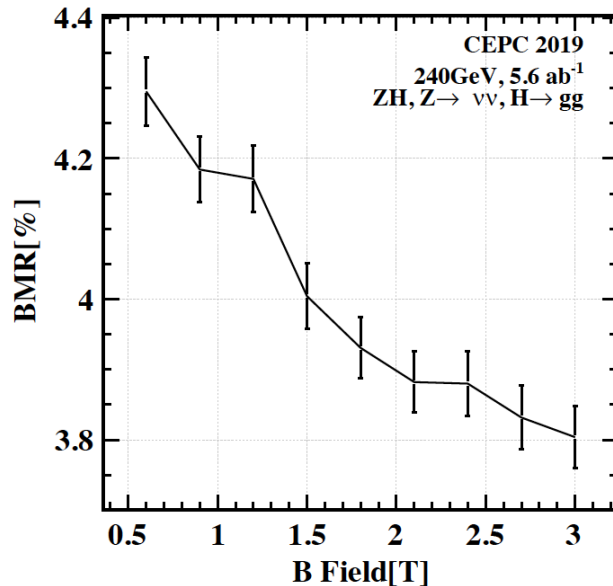
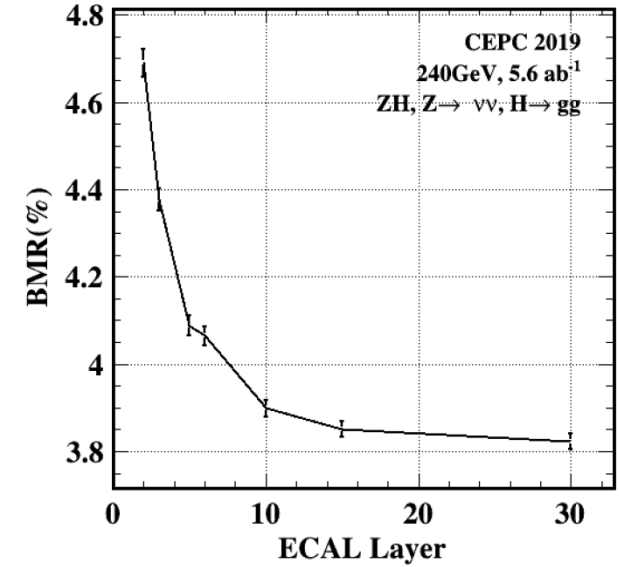
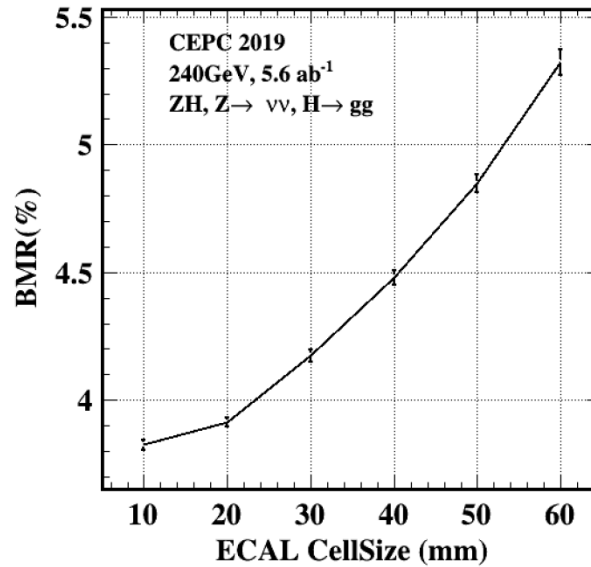
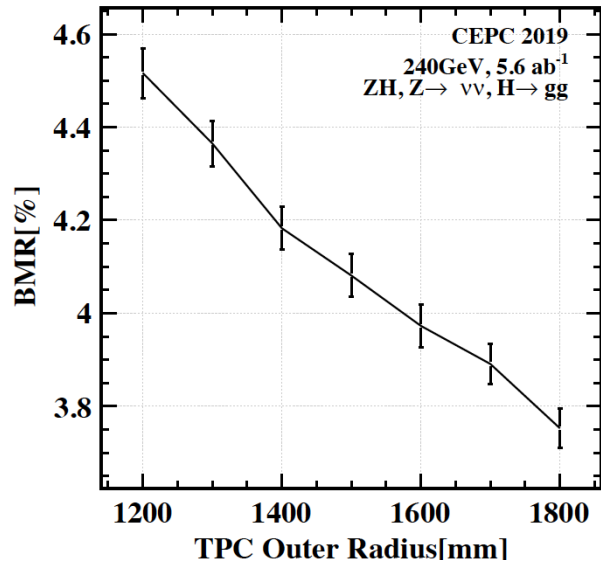
14

BMR: an example from Higgs measurements
Goal: Provide similar plots for Flavor Benchmarks

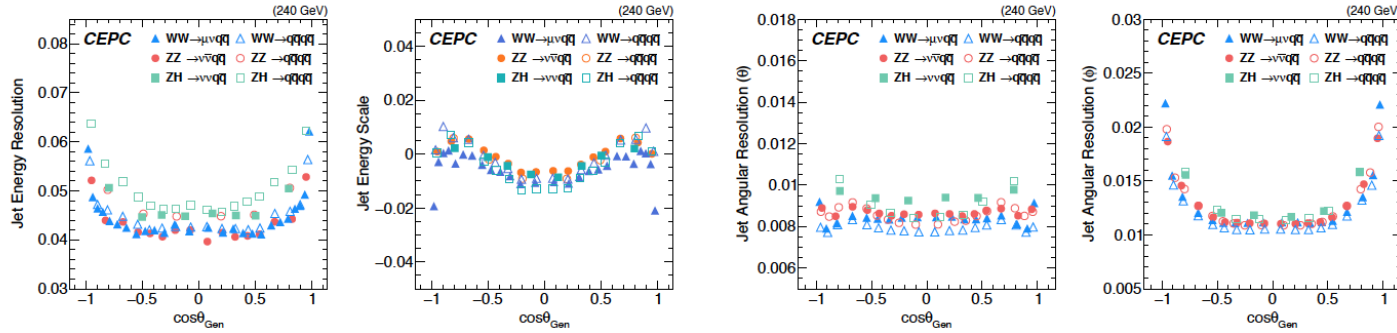
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24

BMR V.S. Geometry



New Progress: Differential jet response on Jet energy & Polar angle

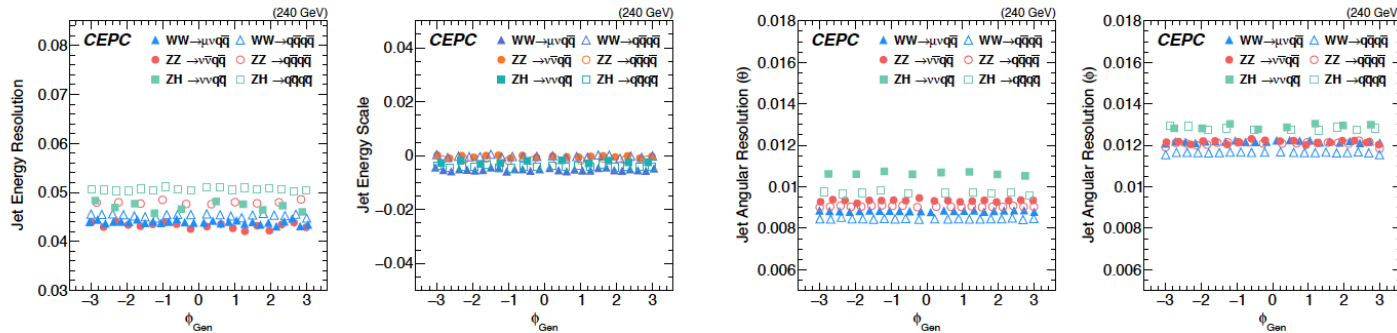


(a)

(b)

(c)

(d)

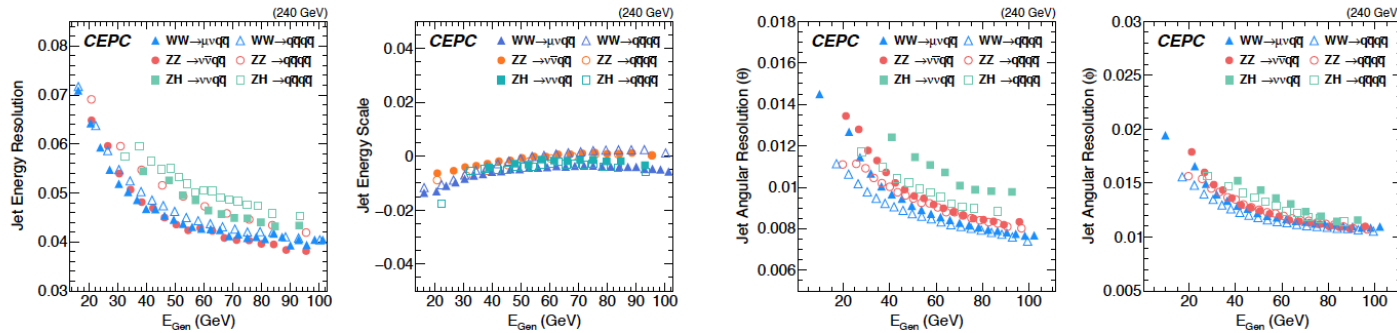


(c)

(d)

(e)

(f)



(e)

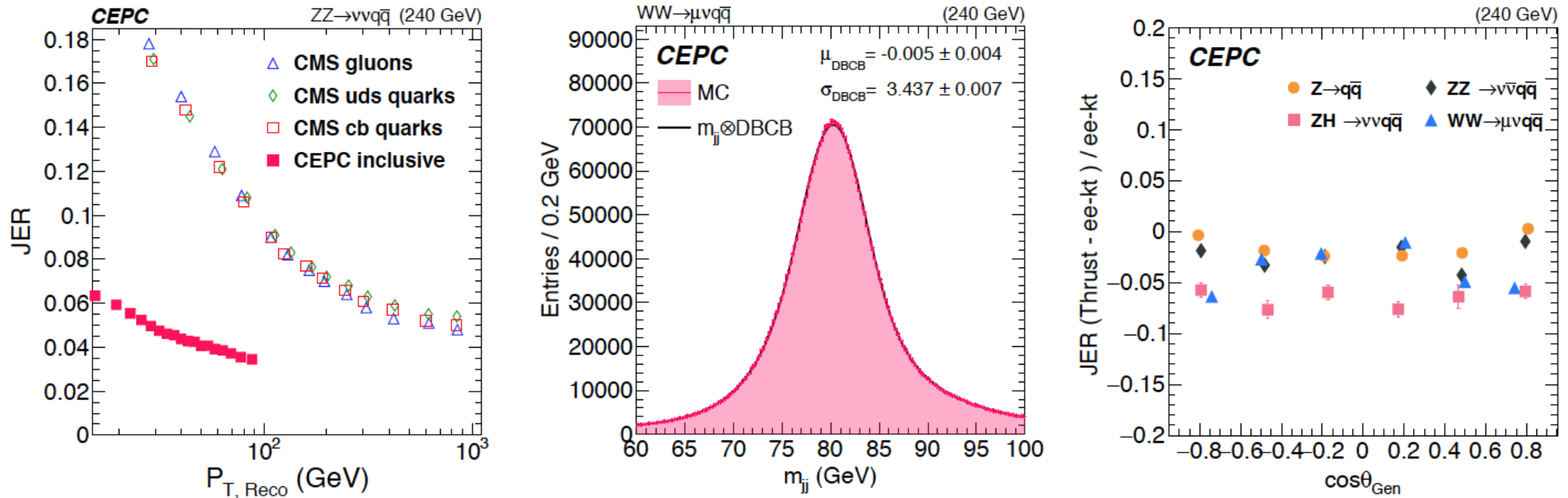
(f)

(g)

(h)

Peizhu

Jet Response

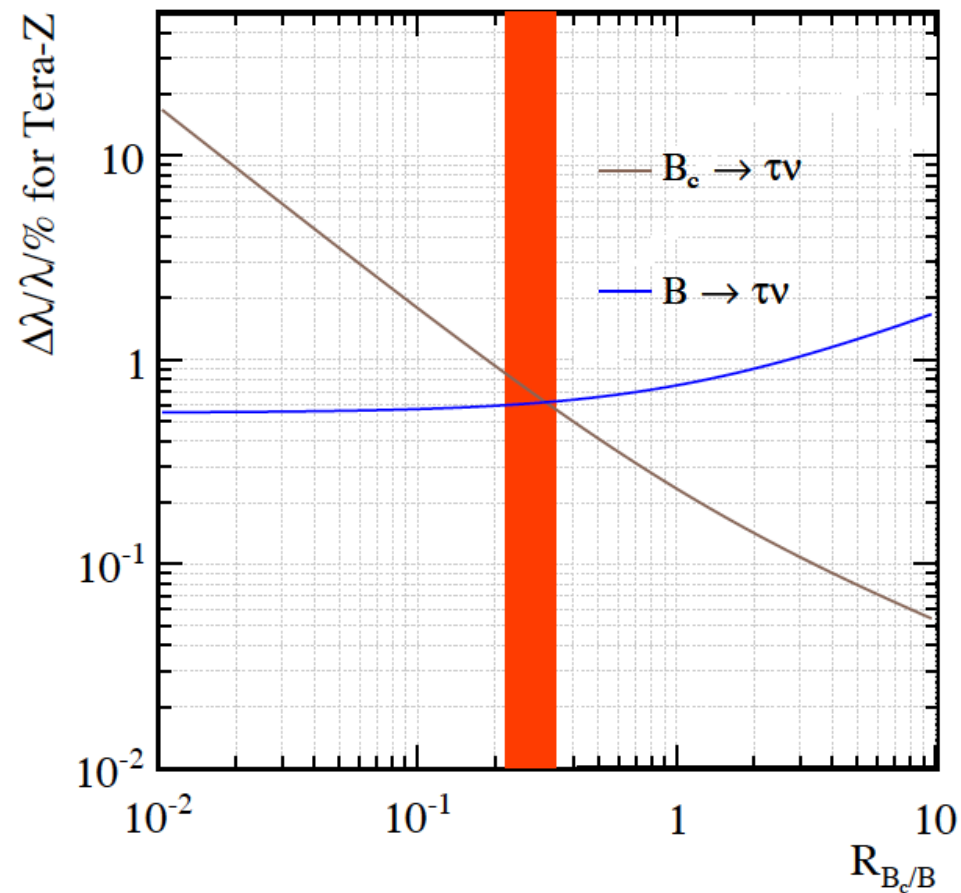
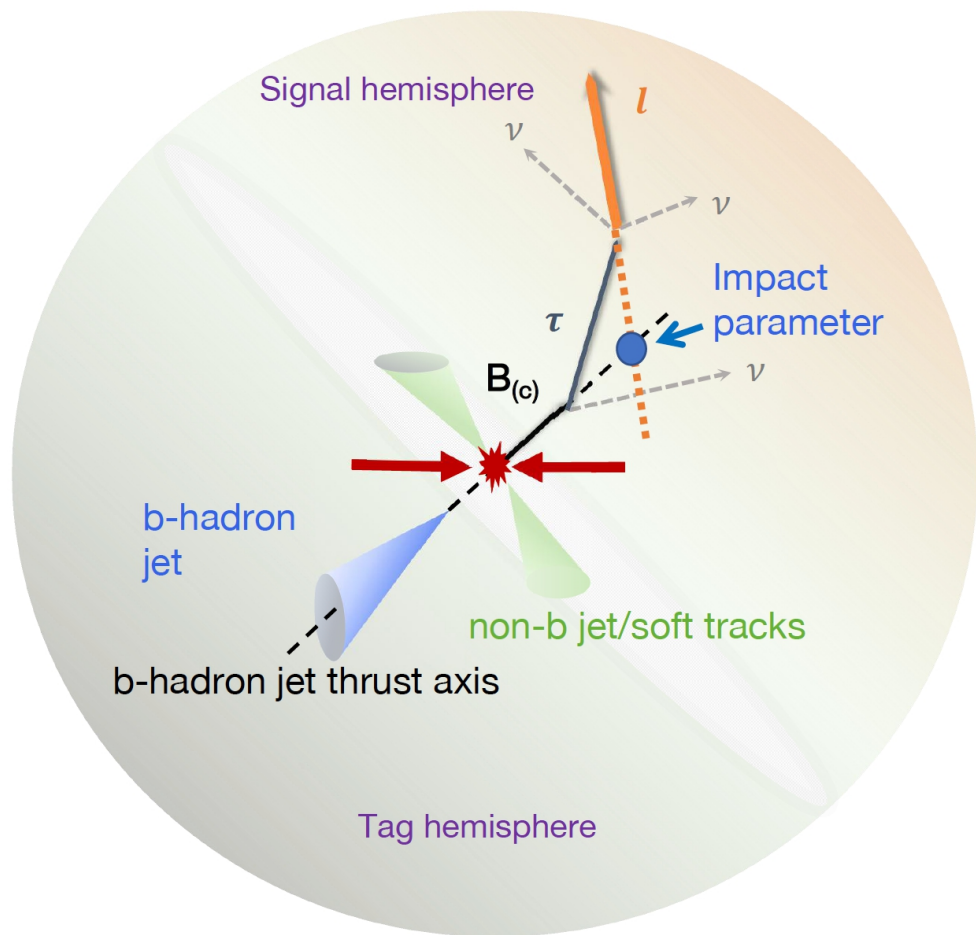


- Significantly better than LHC experiments (at 0 PU);
- Jet Calibration: control the W mass measurements at Higgs run ~ 10 MeV
- Thrust based JC could improve JER ~ 5 -10% w.r.t baseline (ee-kt);

Flavor Benchmark analyses: status

- $B_c \rightarrow \tau + \nu \rightarrow e + 3\nu$ (In finalization, by Taifan Zhen, Fenfen An, [Lu. Cao](#))
 - *Rely on the flavor tagging ($Z \rightarrow b\bar{b}$), jet lepton identification*
 - *Percentage level accuracy could be achieved at the CEPC*
 - *Current identification of **jet lepton** is good enough for this channel*
- $B_0 \rightarrow J/\psi + \Phi \rightarrow \mu\mu KK$ (by [Mingrui Zhao of 401](#))
 - *Rely on the Jet Charge measurement,*
 - *MCTruth level study, to mount/Xcheck corresponding performance study*
- $\tau \rightarrow \mu + \text{photon}$ (by Yudong Wang, etc)
 - *Photon energy resolution, lepton id*
 - *MCTruth + Smearing level.*
- $b \rightarrow s\tau\tau$ (by [Linfeng Li of HKUST](#))
 - *Reducible background might strongly limit the final accuracy*

Bc → $\tau \nu$

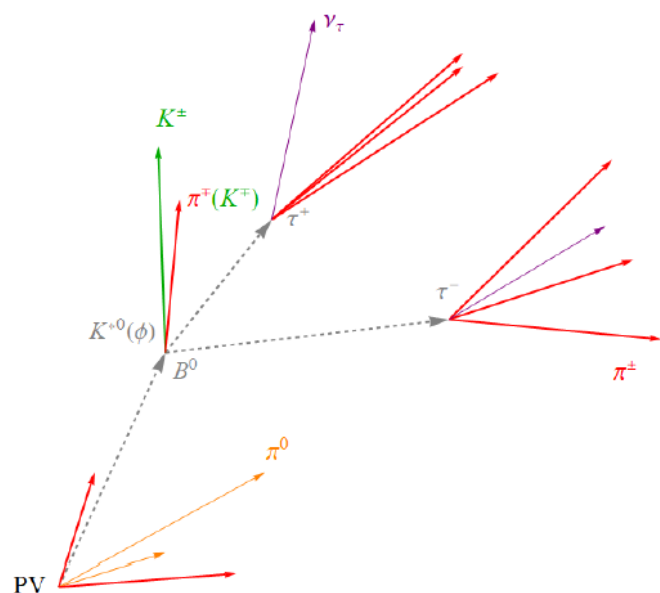


Taifan, etc, Submitted to CPC.

Example 1: $b \rightarrow s\tau\tau$ measurements

Use $\tau \rightarrow \pi^\pm \pi^\pm \pi^\mp \nu$

decay, locate each vertex
and reconstruct



$\text{BR}(B^0 \rightarrow K^{*0} \tau^+ \tau^-) \sim$
 10^{-7} in the SM

Overwhelmingly large background
from $D_{(s)}^\pm \rightarrow \pi^\pm \pi^\pm \pi^\mp + X$ decays:

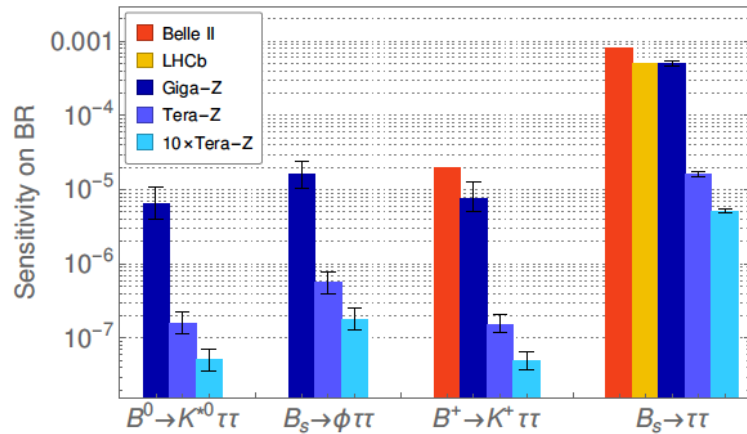
Example	Typical BR
$b \rightarrow c\bar{c}s$ Type	
e.g. $B_s \rightarrow K^{*0} D_s^{(*)+} D^{(*)-}$	$\mathcal{O}(10^{-2} - 10^{-3})$
$b \rightarrow c\tau\nu$ Type	
e.g. $B^0 \rightarrow K^{*0} D_s^{(*)-} \tau^+ \nu$	$\mathcal{O}(10^{-3} - 10^{-5})$
$b \rightarrow c\bar{u}d$ Type	
e.g. $B^0 \rightarrow D^{(*)-} \pi^+ \pi^+ \pi^-$	$\mathcal{O}(10^{-2} - 10^{-3})$

No relevant background studies
before!

Result of $b \rightarrow s\tau\tau$ at Z Pole (Preliminary)

$\mathcal{O}(10^{-5} - 10^{-7})$ precision at

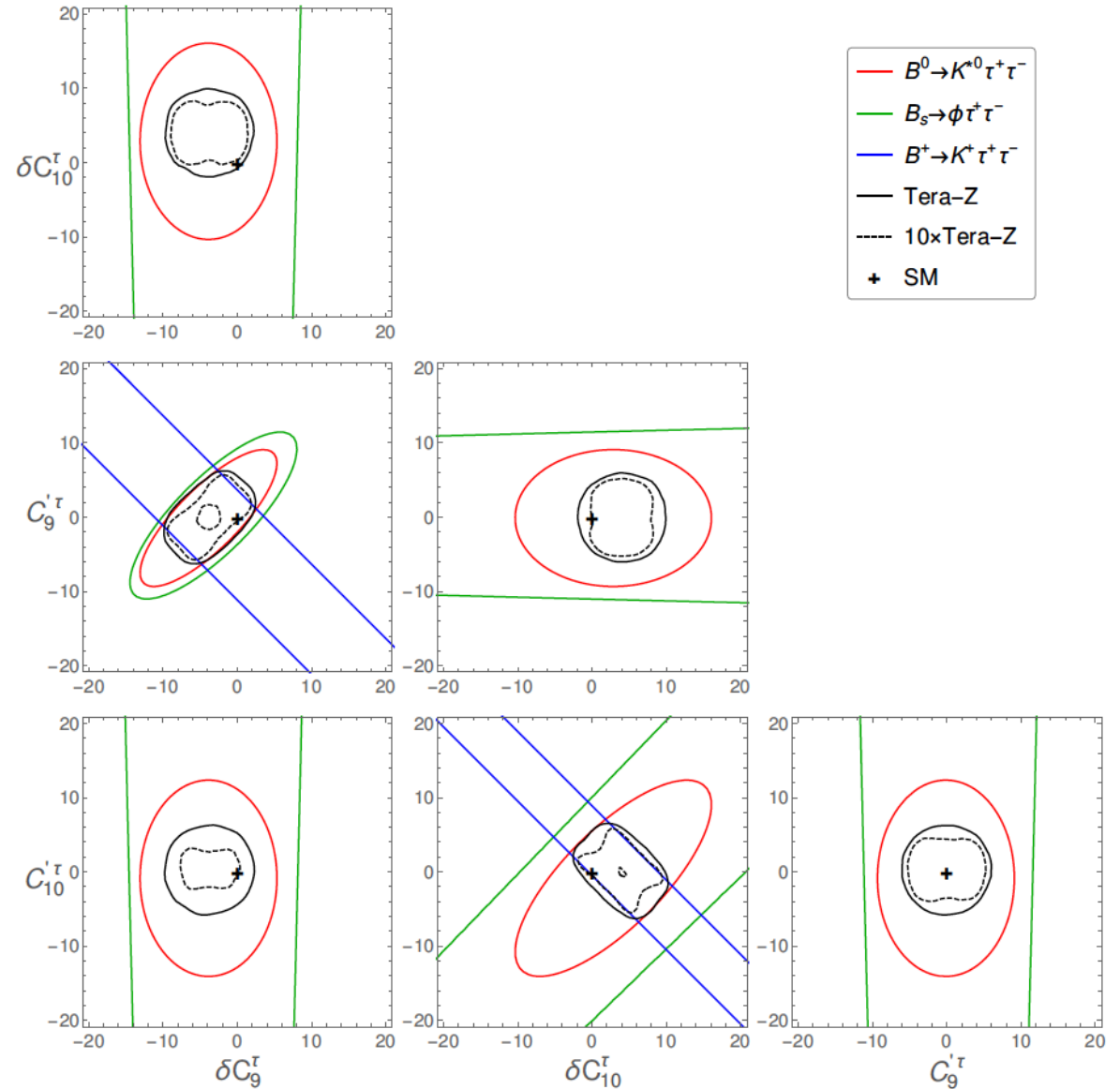
Tera-Z:



$$O_{9(10)}^\tau = \frac{\alpha}{4\pi} [\bar{s}\gamma^\mu P_L b][\bar{\tau}\gamma_\mu(\gamma^5)\tau] ,$$

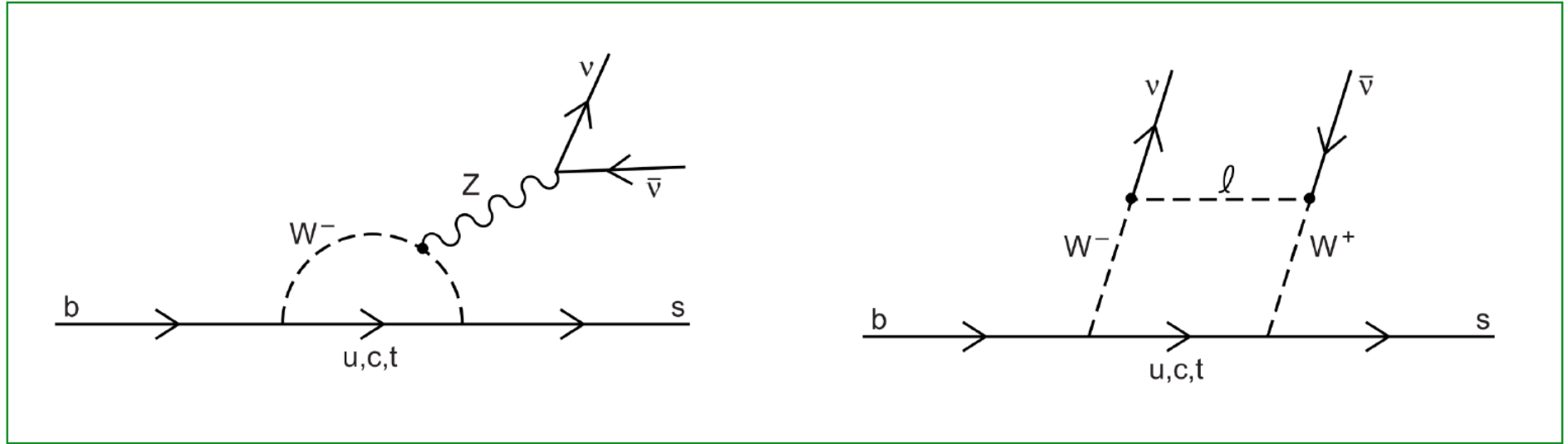
$$O'_{9(10)}^\tau = \frac{\alpha}{4\pi} [\bar{s}\gamma^\mu P_R b][\bar{\tau}\gamma_\mu(\gamma^5)\tau] .$$

Constraining LFUV new physics:



$$b \rightarrow s \nu \bar{\nu}$$

Flavor-change-neutral-current(FCNC) process. Be suppressed by the loop factor and heavy weak boson mass .



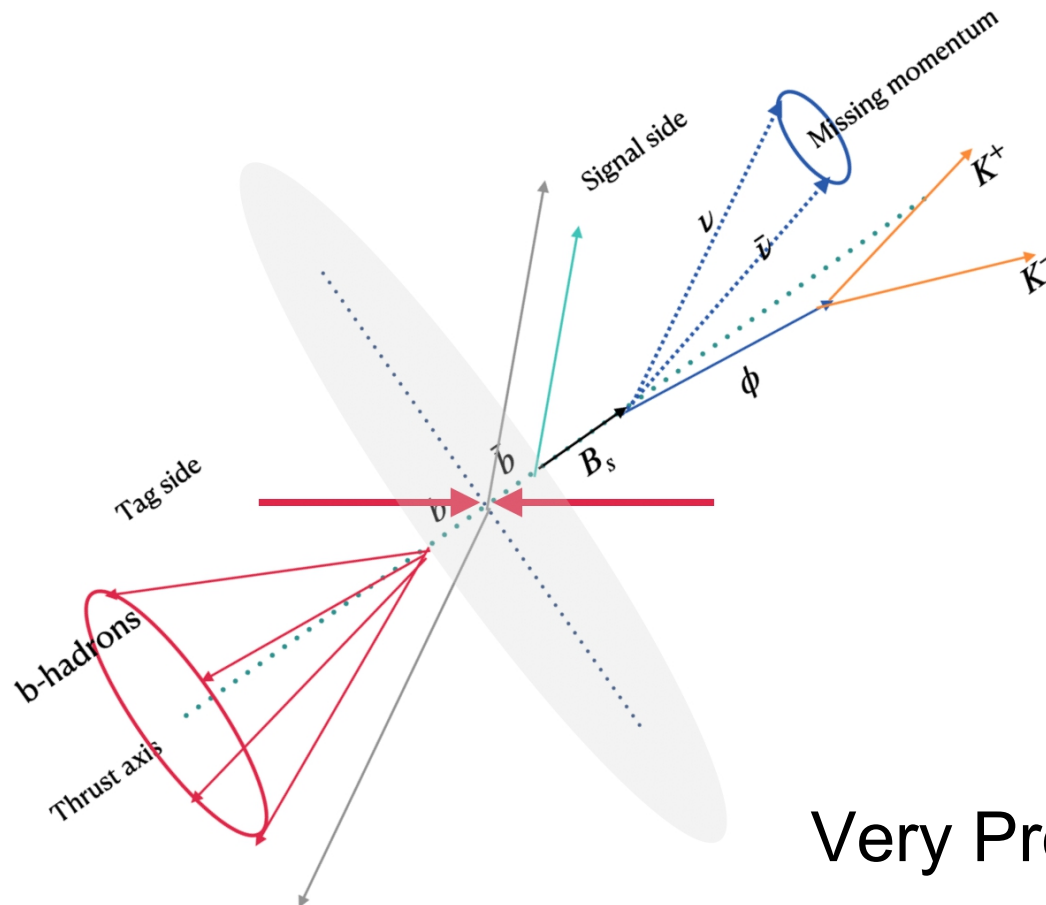
One-loop level in the Standard Model (SM) via “penguin” and “box” diagrams.

	Experimental [1]	SM Prediction [2]
$\text{BR}(B^0 \rightarrow K^0 \nu \bar{\nu})$	$< 2.6 \times 10^{-5}$	$(2.17 \pm 0.30) \times 10^{-6}$
$\text{BR}(B^0 \rightarrow K^{*0} \nu \bar{\nu})$	$< 1.8 \times 10^{-5}$	$(9.48 \pm 1.10) \times 10^{-6}$
$\text{BR}(B^\pm \rightarrow K^\pm \nu \bar{\nu})$	$< 1.6 \times 10^{-5}$	$(4.68 \pm 0.64) \times 10^{-6}$
$\text{BR}(B^\pm \rightarrow K^{*\pm} \nu \bar{\nu})$	$< 4.0 \times 10^{-5}$	$(10.22 \pm 1.19) \times 10^{-6}$
$\text{BR}(B_s \rightarrow \phi \nu \bar{\nu})$	$< 5.4 \times 10^{-3}$	$(11.84 \pm 0.19) \times 10^{-6}$

Table 1: Constraints and predictions for various $b \rightarrow s \nu \bar{\nu}$ decays.

[1] M. Tanabashi *et al.*, “Review of Particle Physics,” *Phys. Rev.*, vol. D98, no. 3, p. 030001, 2018.

[2] D. M. Straub, “ $b \rightarrow k^{(*)} \nu \bar{\nu}$ sm predictions,” Dec 2015.



Very Preliminary

Conditions	Signal	$b\bar{b}$	$\sqrt{S+B}/S$
Total	150000	3e+11	3.651
$N_\phi > 0$	68798	8.15e+09	1.312
$E_{lepton} > 0.2 \text{ GeV}$	66039	3.55e+09	0.902
$m_{inv} > 2.2 \text{ GeV}$	52385	1.06e+08	0.197
$\alpha < 0.8$	20578	204783	0.023
$M_{B_s}^* > 4.5 \text{ GeV}$	20571	163044	0.021
$M_{B_s}^* < 6.5 \text{ GeV}$	10153	2608	0.011
Efficiency	0.068	8.70e-09	

Summary

- Much better understanding to IAS recommendation 2019 topics.
- π^0 (photon...)
 - ECAL impact on different benchmark analyzed
 - Increasing the π^0 reconstruction eff*purity from 30% to 50% at $Z \rightarrow qq$ sample once stochastic term improved from 15%/sqrt(E) to 5%/sqrt(E).
 - Significantly depends on the process (abundance of photon) & π^0 energy
 - Benchmark physics channel analysis is needed
- Charged Kaon
 - Decent Kaon id required, up to 20 GeV
 - VTX, Momentum resolution & Pid: complementary to each other
 - Preliminary: dE/dx of o(2%) will be needed.

Summary

- Leptons
 - A solved problem for both isolated leptons and **Jet lepton**
 - Taus, both isolated and inside jet, can be decently identified; further optimization is expected to improve the performance significantly;
- Jet
 - BMR: standard, physics requirements, geometry dependence.
 - Differential Jet response analyzed, confirming
 - Jet energy response (JER/JES) ~ 3 times better than LHC experiments;
 - W mass could be measured $\sim \mathcal{O}(10)$ MeV using Higgs run data
 - Jet Clustering is essential
- Several Flavor Physics benchmarks are processing as the Snowmass Lols. One is submitted; others need ~ 1 year to converge.

Back up

BMR oriented Optimization

- BMR = 3.8%, 3.6% seems reachable without fundamental change..
 - Preliminary... HCAL optimal size ~ 2 cm $\rightarrow$ reduce the HCAL # Channel to a quarter (digital read mode at AHCAL...)
- Allowance of 4%, BMR = 3.6/3.8% means:
 - *Reduce the tracker R&Z by 24/12%*
 - *Reduce the ECAL #Channels to a quarter or more: either by double Cell size, or reduce the #Layer*
 - *Reduce the B-Field from 3T to 1T/1.5T*
 - *Increase the HCAL Cell size ~ 5 cm or even larger! HCAL #Cell reduced to 4%...*
 - *Reduce the HCAL #Layer by 50%*
 - *Optimal geometry can be determined once cost dependence on above parameters is clear.*
- Improving BMR... is the key objective for reconstruction.

Working Group and Conveners

Chapter One: Introduction

Conveners: Hai-Bo Li, Jonathan Rosner

Chapter Two: Leptonic and semileptonic b -hadron decays

Conveners: Sebastien Descotes-Genon, Jeorme Charles,
Abner Soffer, Florian Bernlochner, Bob Kowalewski



Sebastien Descotes-Genon

Chapter Three: b -hadronic decays and CP violation

Conveners: I.I. Bigi, Chao-Qiang Geng, Abner Soffer,
Yue-Hong Xie



Abi Soffer

Yu-Kuo Hsiao

Chapter Four: Rare and forbidden b -hadron decays

Conveners: Wolfgang Altmannshofer, Soeren A. Prell,
Emmanuel Stamou

Chapter Five: Charm physics

Conveners: Chun-Hui Chen, Hai-Yang Cheng,
Jonathan Rosner



Marek Karliner & Jon Rosner

Hai-Yang Cheng

Chapter Six: Exotic hadron and Spectroscopy with heavy flavors

Conveners: Marek Karliner, Jonathan Rosner, Wei Wang



Marek Karliner & Jon Rosner

Chapter Seven: τ Physics

Conveners: Emilie Passemar, Emmanuel Stamou,
Lorenzo Calibbi



Lorenzo Calibbi

Chapter Eight: Flavor physics in Z decays

Conveners: Wolfgang Altmannshofer, Lorenzo Calibbi



Lorenzo Calibbi

Chapter Nine: Two photon and ISR physics with heavy flavors

Conveners: S. I. Eidelman, Alexey Zhemchugov,
D. Dedovich, Lian-Tao Wang ??



Vladimir Bytev

Chapter Ten: Summary and Conclusion

Conveners: Hai-Bo Li, Manqi Ruan

Dan Yu & Taifan Zheng

Flavor: Performance and Analysis

- Flavor: Tera Z data cannot all be processed with Full simulation
- Method
 - Performance via Full Simulation:
 - Understand the dependence on detector, provide different working curve/working points
 - Physics analysis relies strongly on Fast Simulation.
 - MCTruth level analysis – ideal detector – analysis the irreducible background;
 - Smearing: irreducible background with different detector resolution;
 - Identification: [contamination by mis-id](#) (using different working point provided by the full sim studies)
 - Theory interpretation (i.e. Wei Wang from SJTU)

Performance

- Isolated Leptons (EPJC 2017):
 - *Baseline provides excellent identification for isolated Lepton with energy > 2 GeV, without muon chamber.*
- VTX Optimization on Flavor tagging (JINST 2018)
 - *Closer $>$ Lighter $>$ Smaller Pixel*
- Pid (EPJC 2018)
 - *eff & purity of 95% at $Z \rightarrow qq$ samples, for tracks with $E > 2$ GeV*
- K_long, Lambda performance (EPJP accepted, 2020)
 - *Inclusive eff of 40%/30% achieved with purity $> 90\%$*
- Photon/pi-0 reconstruction performance (EPJP submitted)
 - *pi-0 with $E < 30$ GeV can be successfully reconstructed*
- Jet lepton identification (On going)
 - *Degrading induced by the separation/calorimeter - clustering performance*
- Jet Charge measurement using Kaon, Lepton, and VTX charge (initiated)
 - *Subtle, Critical for CP and EW (AFB) measurements!*

Flavor Physics benchmarks

- Many Benchmarks proposed at PKU meeting (July 2019)
 - Sebastian Descotes-Genon: $B \rightarrow \tau$ physics
 - Marek Karliner: P_c , 4/5 quark states, ISR return
 - Lorenzo Calibbi & Haibo: Tau exotic decays
 - Abi Soffer: semileptonic b-decay and CP violation
 - Wenbing: CP measurement via $J/\psi\phi$
 - Yu-Kuo: Baryonic B-decay...
- Half of these topics are covered by current flavor physics analysis effort

Benchmark analyses: status

- $B_c \rightarrow \tau + \nu \rightarrow e + 3\nu$ (In finalization, by Taifan Zhen, Fenfen An, [Lu. Cao](#))
 - *Rely on the flavor tagging ($Z \rightarrow b\bar{b}$), jet lepton identification*
 - *Percentage level accuracy could be achieved at the CEPC*
 - *Current identification of **jet lepton** is good enough for this channel*
- $B_0 \rightarrow J/\psi + \Phi \rightarrow \mu\mu KK$ (by [Mingrui Zhao of 401](#))
 - *Rely on the Jet Charge measurement,*
 - *MCTruth level study, to mount/Xcheck corresponding performance study*
- $\tau \rightarrow \mu + \text{photon}$ (by Yudong Wang, etc)
 - *Photon energy resolution, lepton id*
 - *MCTruth + Smearing level.*
- $b \rightarrow s\tau\tau$ (by [Linfeng Li of HKUST](#))
 - *Reducible background might strongly limit the final accuracy*

Flavor Physics benchmarks + Key performances

	B→tau	B semileptonic	B Baryonic	Tau	CP	Pc
Flavor Tagging	Y	Y	Y		Y	
Jet lepton	Y	Y		Y		
Pid			Y		Y	Y
Jet Charge					Y	
ECAL	Y	Y	Y	Y	Y	Y
Tracker			Y	Y	Y	Y
Current Benchmark	Bc→tauv→ evvv; B→stautau			Tau exotic decays	B0 → J/psi + Phi → mumu KK	

Goal: CEPC Flavor Physics white paper (report)

- The IAC recommendation shall be addressed by this report and corresponding supporting studies/citables
 - Landscape of CEPC flavor program + A series of Benchmark analysis
 - Performance – requirement analysis
 - Physics interpretation and comparison with other facilities
- Timeline: Many performance/benchmark studies will take lots of time. Status report can be made in 2020 IAC meeting.
- Difficulties: Lacking of manpower and communication
 - Many topics – important topics, such as the VTX reconstruction and jet charge measurement, are very subtle and need lots of experiences.
 - The current analysts – for example my students – are good at performance but lacking flavor physics experiences
 - Key physics benchmark analysis would be, ideally composed of actually analysts from other experiments, analysts, and theorists

Conclusion

- The IAC recommendation is highly consistent with current CEPC simulation efforts: requirements, performance, analysis, and flavor physics
- Plan to address the IAC recommendation by the CEPC flavor physics white paper and corresponding documents. Performance – accuracy plots analogy to the BMR – Higgs accuracy plots shall be included.
- CEPC flavor simulation/analyses need to combine different methods:
 - Performance via Full Simulation and Analysis relies on Fast Simulation.
 - Proper modeling of the identification & reducible background contamination
- Significant progress on the flavor physics simulation
 - Good progress/coverage in Performance & object reconstruction
 - Multiple benchmark channels proposed, and half are covered by existing analysis

Conclusion

- Strong collaboration interests
 - Involvement of HKUST, 401, SJTU, Shanxi Normal University, etc
 - Domestic experts can be further activated...
 - Many international leading experts
- Difficulties: manpower & communication
 - The flavor physics is very rich and sometime complicated, and facing strong competition from LHCb/Belle-II
 - Dedicated Workshops, to review the progress on physics studies, conclusions, and report writing are essential
 - The Corona Virus brings extra difficulties/uncertainties
 - Extra manpower, Postdoc - analysts, and supporting for the WS would be really helpful.