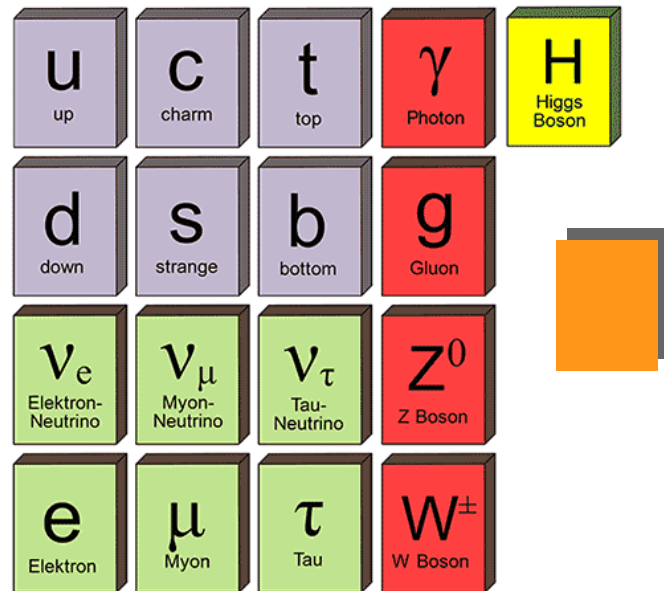




# The Top-Bottom Connection in SMEFT

Susanne Westhoff  
Heidelberg University

# Adding the B to the SM



Standard Model as Effective Field Theory

$$\mathcal{L}_{\text{SMEFT}} = \mathcal{L}_{\text{SM}} + \frac{C^{(5)}}{\Lambda} O^{(5)} + \sum_i \frac{C_i^{(6)}}{\Lambda^2} O_i^{(6)} + \dots$$

Local operators preserve SM symmetries.

Where should we look for UV physics?

- high energies:  $\frac{\text{BSM}}{\text{SM}} \sim C_i \frac{E^2}{\Lambda^2}$  **LHC**
- broken symmetries:  $\frac{\text{BSM}}{\text{SM}} \sim C_i \frac{m_W^2}{m_b^2}, C_i \frac{4\pi}{\alpha V_{tb} V_{ts}^*}, \dots$  **b factories**



# High energies

2-2 scattering amplitude (dim. 6): Hagiwara et al. 1987

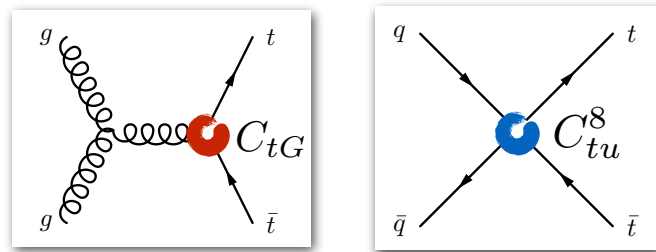
$$\mathcal{M} \sim \frac{v^m}{\Lambda^2} \frac{E^{2-m+n}}{m_V^n}$$

$m$  vevs;  $n$  Goldstones

Maltoni, Mantani, Mimasu 1904.05637

- $O_{4f} = (\bar{f}\gamma_\mu f)(\bar{f}\gamma^\mu f) \rightarrow \frac{E^2}{\Lambda^2}$  quadratic growth
- $O_{Hf} = (H^\dagger iD_\mu H)(\bar{f}\gamma^\mu f) \rightarrow \frac{vE}{\Lambda^2}$  linear growth (longitudinal mode)
- $O_{fV} = (\bar{F}_L \sigma^{\mu\nu} f_R) H V_{\mu\nu} \rightarrow \frac{m_f v}{\Lambda^2}$  helicity suppression (ffV)

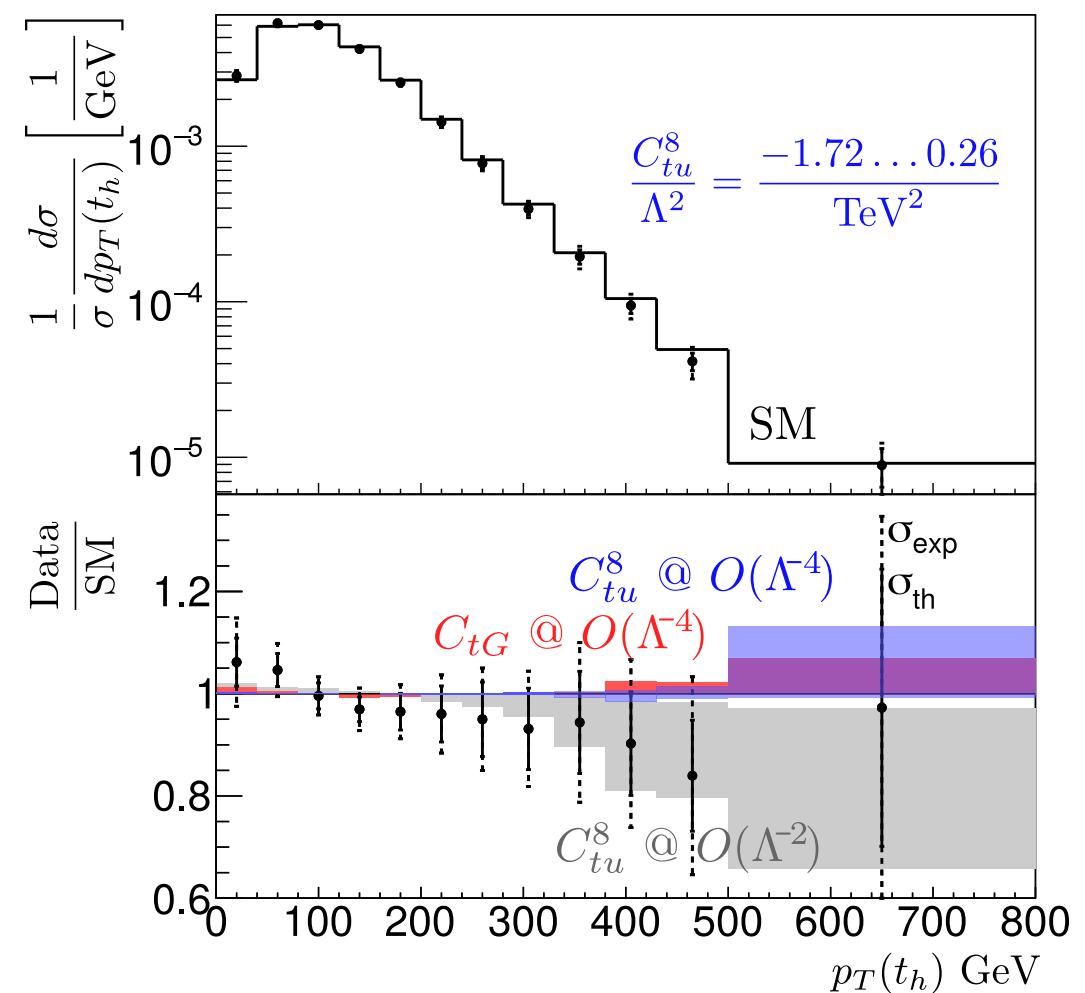
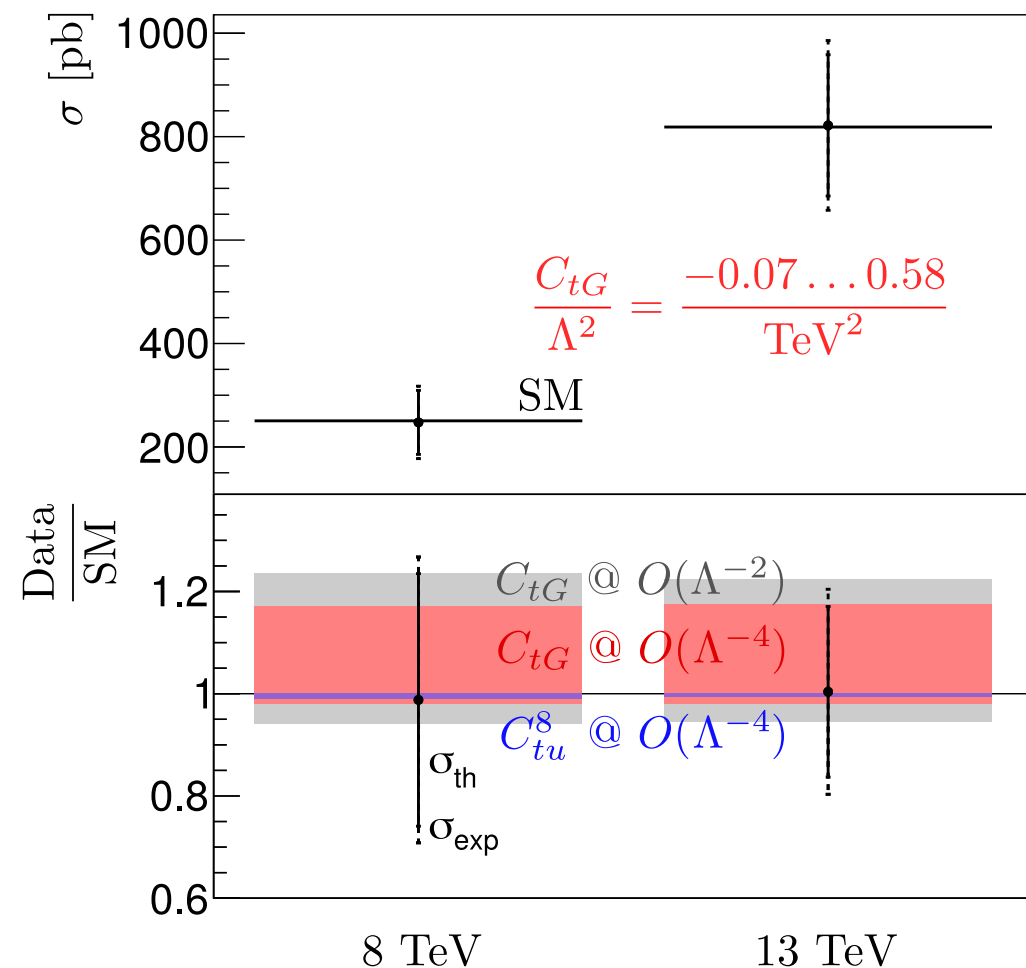
# Top pair production at high energies



$$O_{tG} = (\bar{Q}_L \sigma^{\mu\nu} T^A t_R) \tilde{H} G_{\mu\nu}^A$$

$$O_{tu}^8 = (\bar{t} \gamma_\mu T^A t) (\bar{u}_i \gamma^\mu T^A u_i)$$

$$\sigma_{t\bar{t}}(s) \sim \sigma_{\text{SM}} \left( 1 + \frac{m_t v}{\Lambda^2} C_{tG} + \frac{s}{\Lambda^2} C_{tu}^8 + \mathcal{O}\left(\frac{s^2}{\Lambda^4}\right) C_i C_j \right)$$



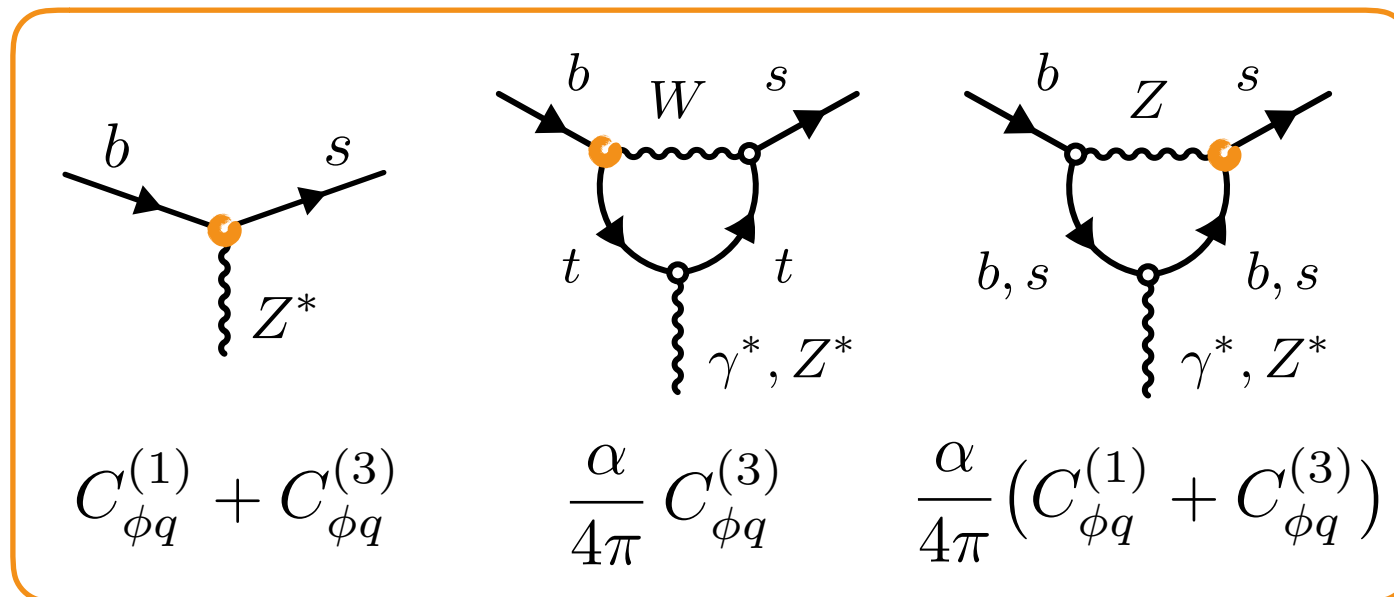


# Flavor breaking in down-quark FCNCs

W and Z couplings:

$$O_{\phi q}^{(1)} = (H^\dagger \overleftrightarrow{D}^\mu H) (\bar{Q} \gamma_\mu Q)$$

$$O_{\phi q}^{(3)} = (H^\dagger \overleftrightarrow{D}^\mu \tau^a H) (\bar{Q} \gamma_\mu \tau^a Q)$$

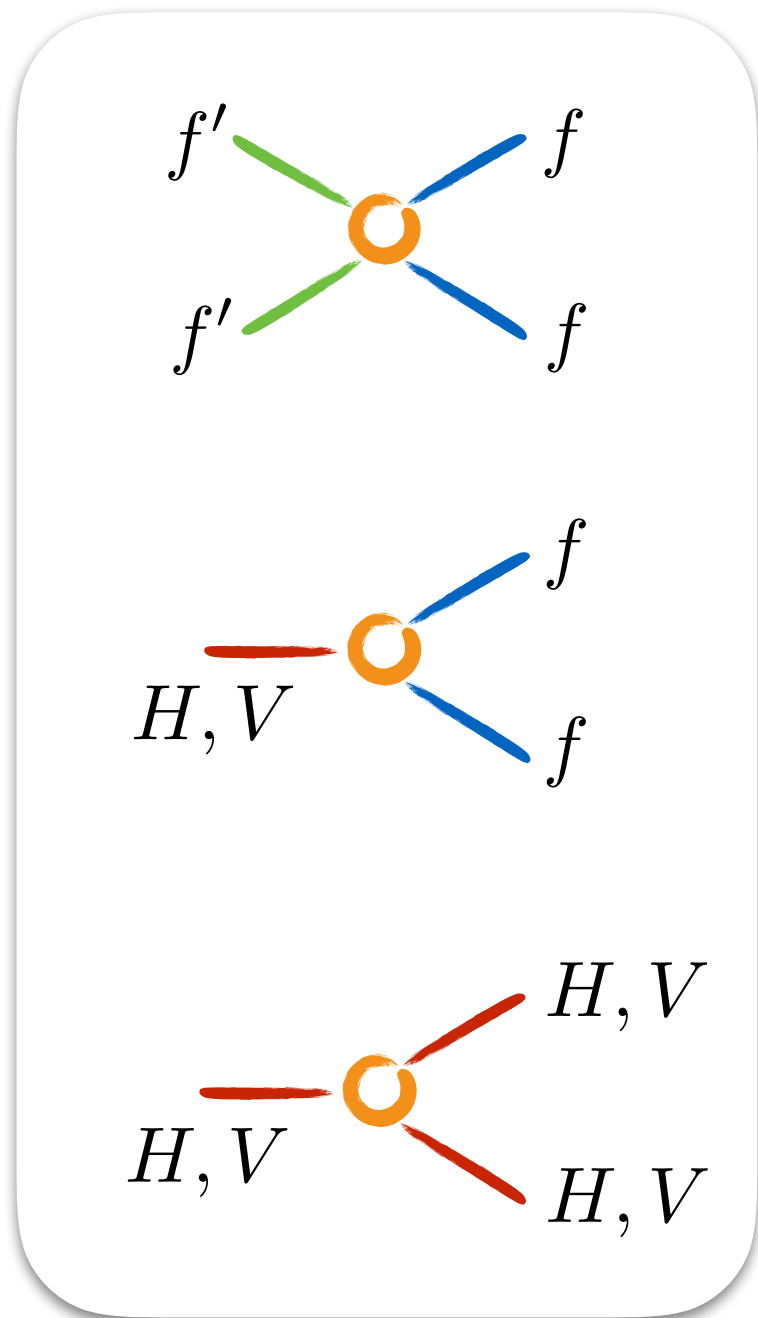


Flavor-breaking:  $B \rightarrow X_s \gamma$ ,  $B_s \rightarrow \mu^+ \mu^-$ ,  $B \rightarrow K^* \mu^+ \mu^-$   
 kaon decays, meson mixing

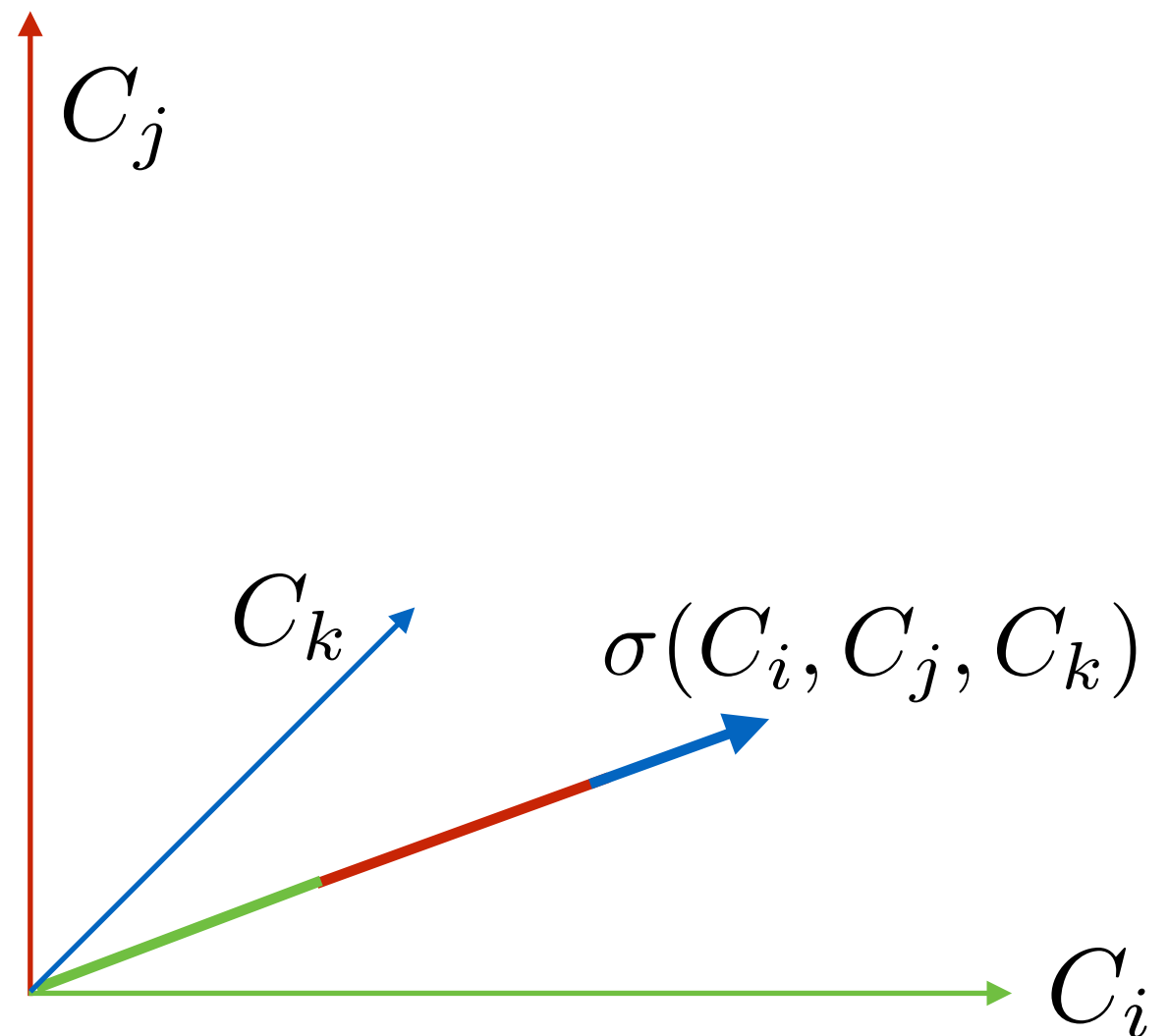
Flavor-diagonal:  $Z \rightarrow b\bar{b}$  (LEP)

VBF @ high pT Araz, Banerjee, Gupta, Spannowsky 2011.03555

# The vast space of UV physics

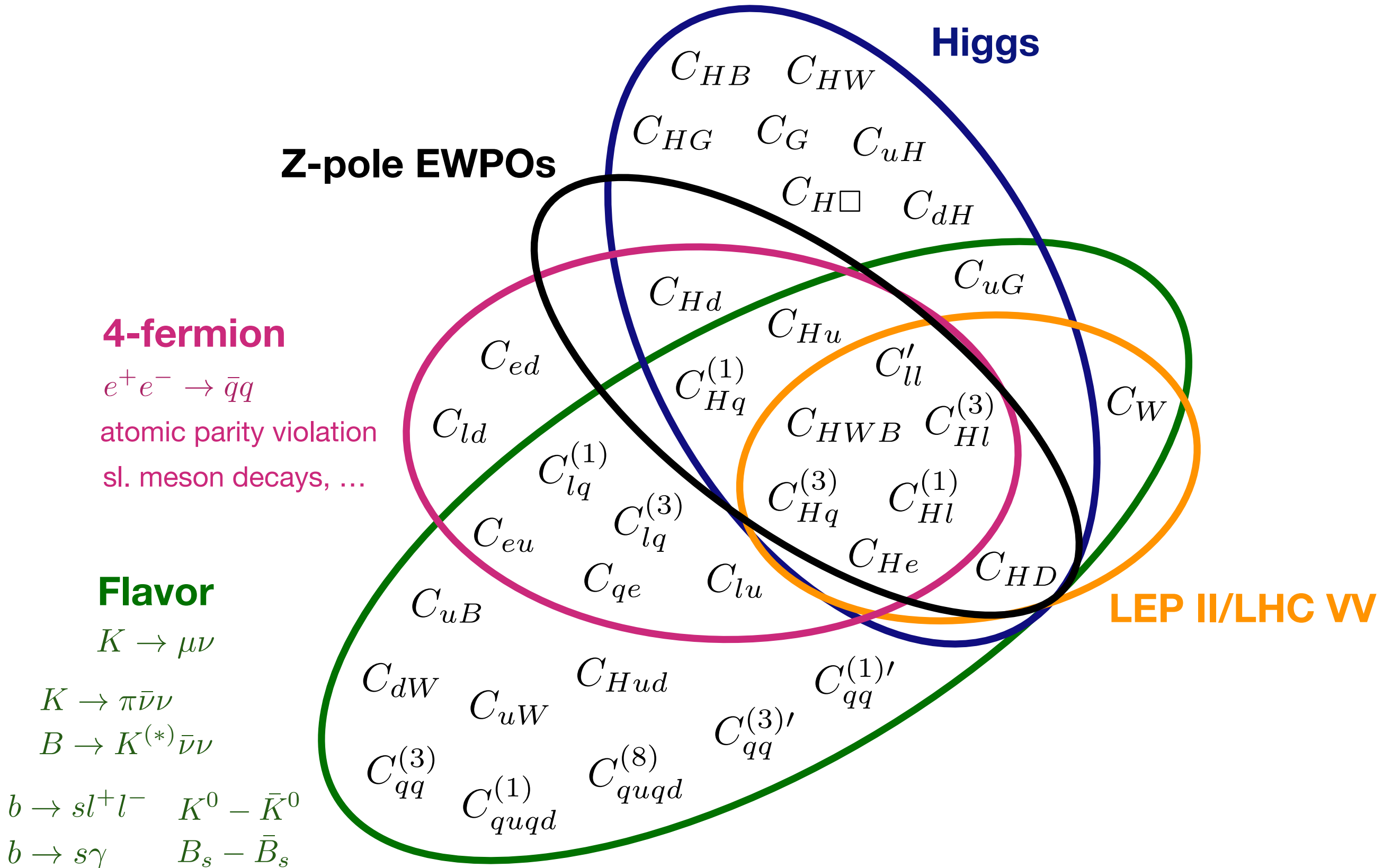


$$\sigma = \sigma_{\text{SM}} + \sum_a \frac{C_a}{\Lambda^2} \sigma_a + \sum_{a,b} \frac{C_a C_b}{\Lambda^4} \sigma_{ab}$$

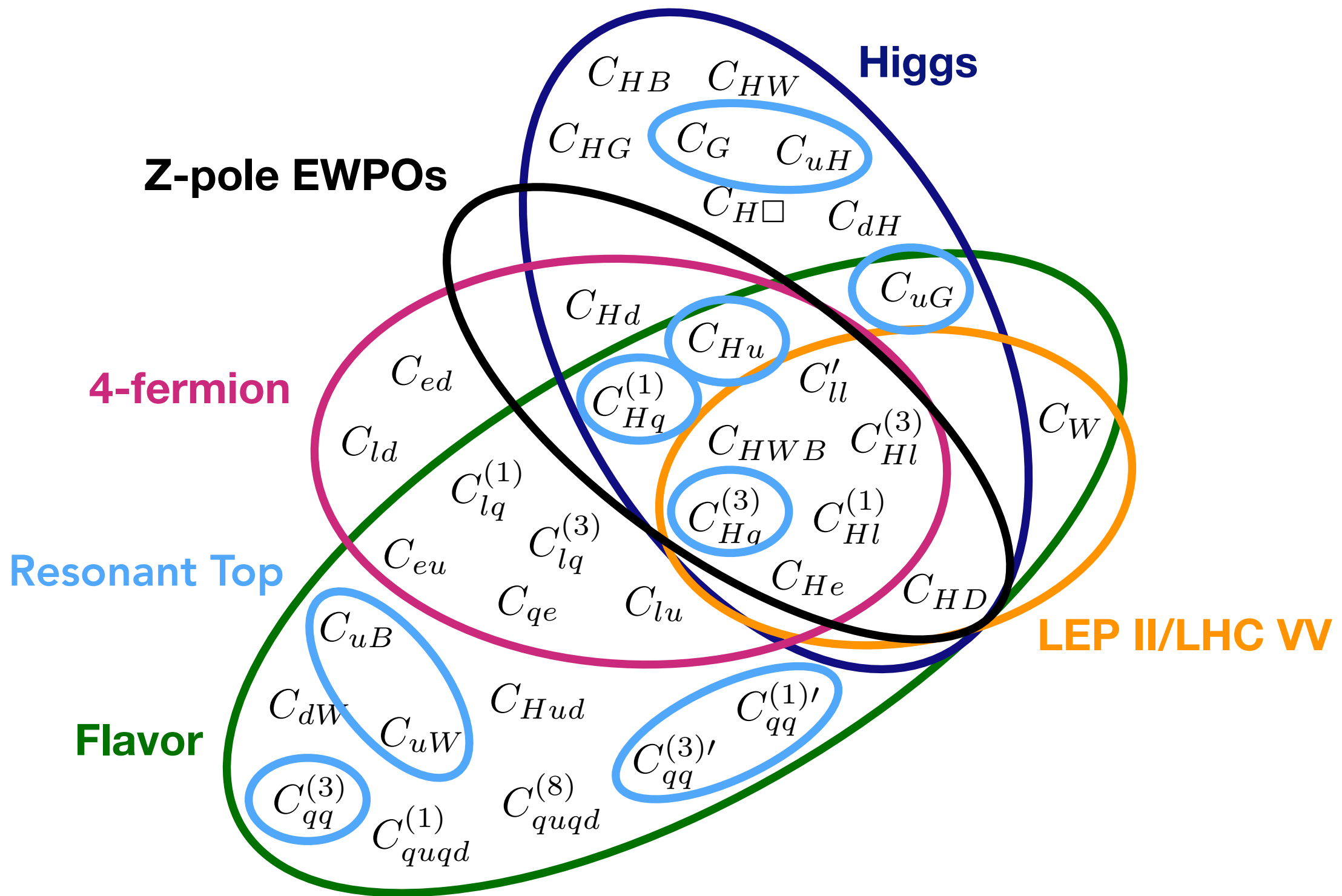




# Probing the SMEFT at dim 6



# The role of the top

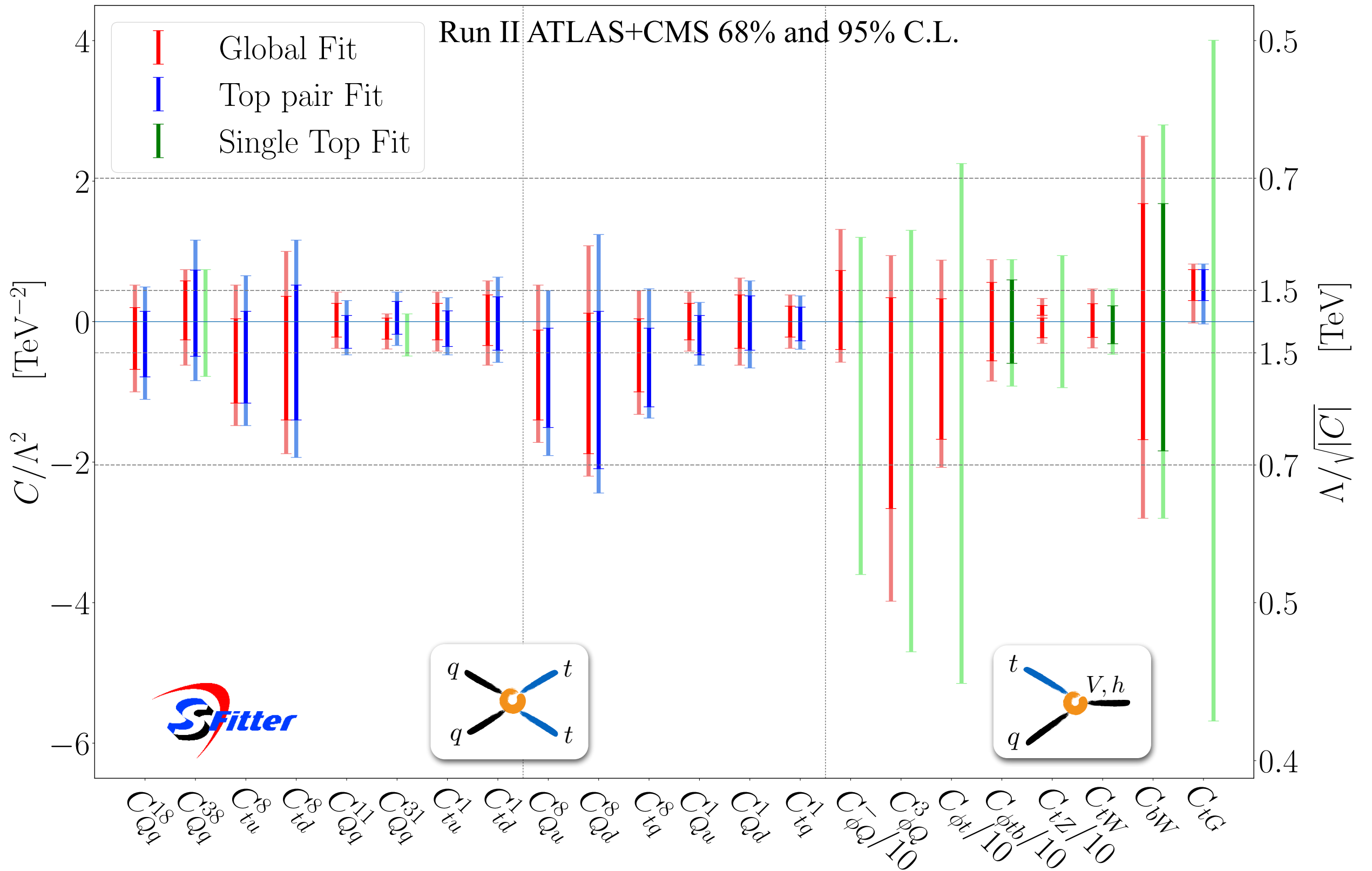


Top fits: Englert et al. 2016+, Hartland et al. 2019, Brivio et al. 2019, Ellis et al. 2020

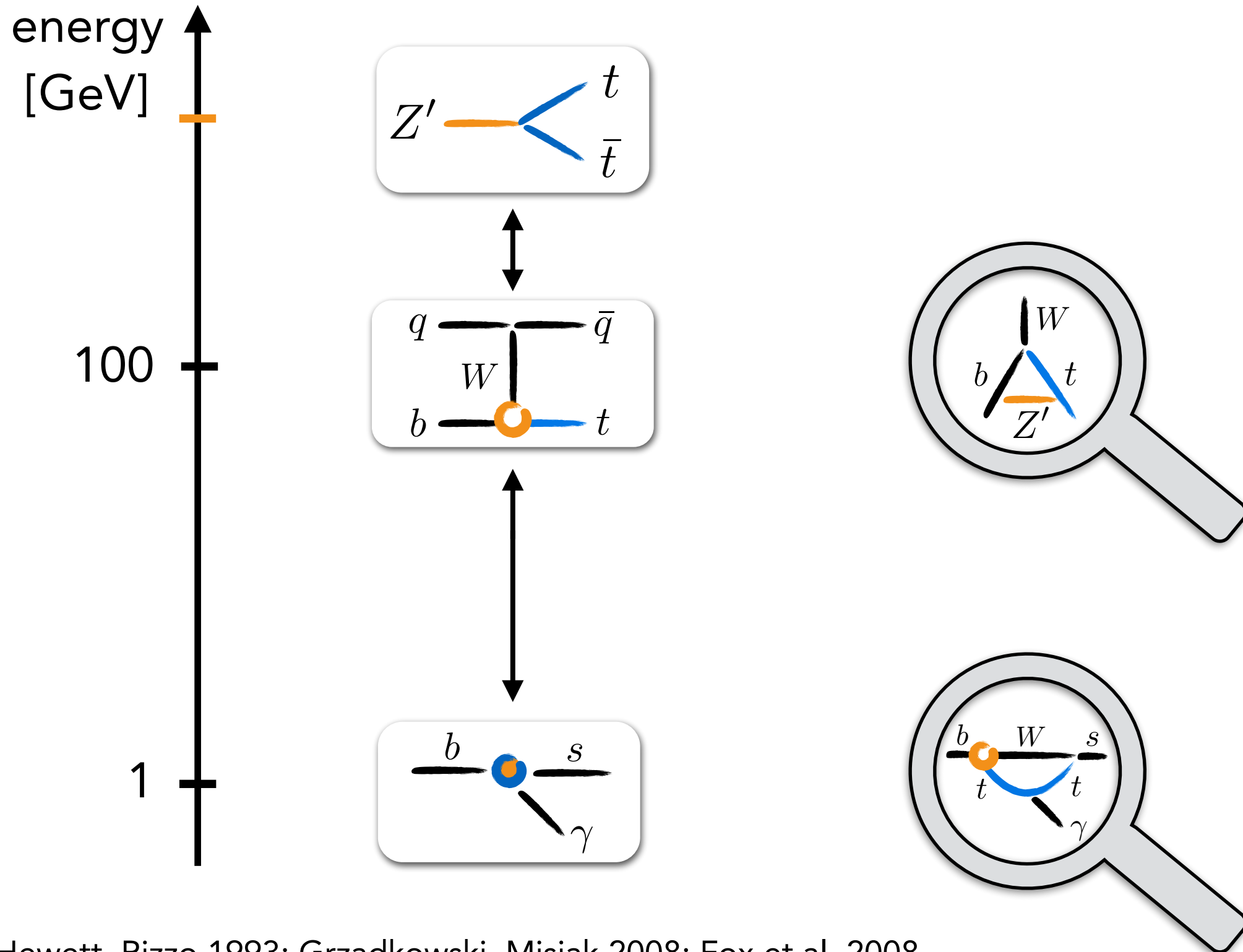
Top & flavor fits: Bissmann et al. 2019+, Bruggisser et al. 2021    Tops in EWPOs: Dawson, Giardino 2019    8



# Global analysis of top LHC data



# Top & Bottom

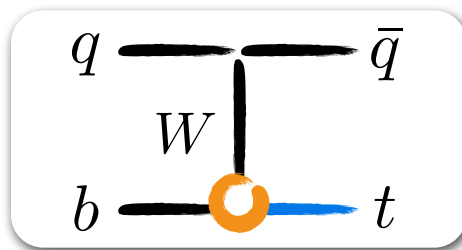
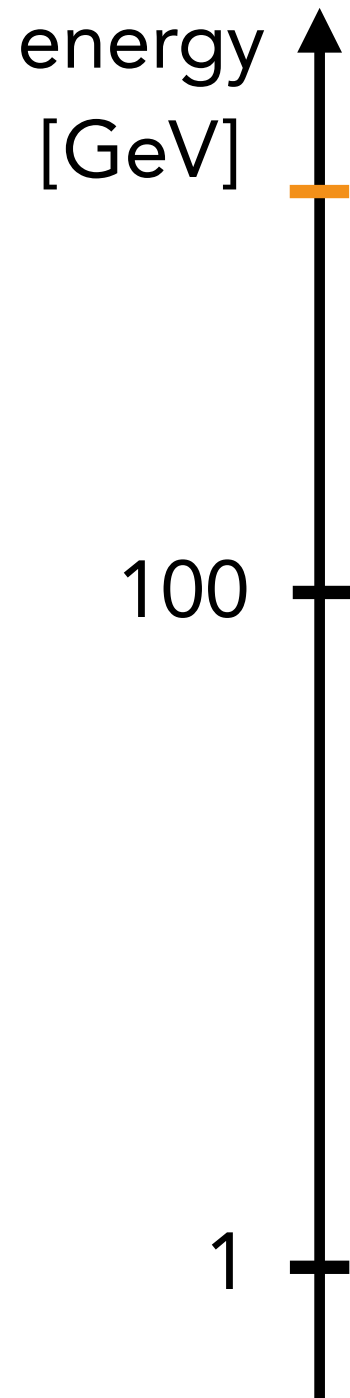


Hewett, Rizzo 1993; Grzadkowski, Misiak 2008; Fox et al. 2008

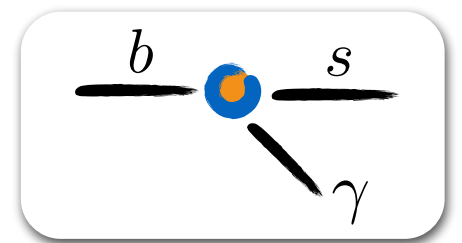
Brod et al. 2014; Cirigliano et al. 2016



# Top & Bottom



flavor-sensitive



$$\mathcal{L}_{\text{SMEFT}} = \mathcal{L}_{\text{SM}} + \sum_i \frac{C_i}{\Lambda^2} O_i + \dots$$

$$C_a(m_b) = F(C_i(m_t))$$

match  
&  
run

$$\mathcal{L}_{\text{WET}} = \mathcal{L}_{\text{QCD}} + \mathcal{L}_{\text{QED}} + \sum_a C_a O_a + \dots$$

Aebischer, Crivellin, Fael, Greub, Virto 2015 & 2017

Dekens, Jenkins, Manohar, Stoffer 2017 & 2019; Hurth, Renner, Shepherd 2019 11

# Flavor structures

$$C_{\phi q}^{(1),kl} O_{\phi q}^{(1),kl} = C_{\phi q}^{(1),kl} (H^\dagger \overleftrightarrow{D}^\mu H) (\overline{Q}^k \gamma_\mu Q^l)$$

Gauge sector:  $U(3)_Q \times U(3)_U \times U(3)_D \times U(3)_L \times U(3)_E$

Minimal flavor violation:

$$C_{\phi q}^{(1)} = a \mathbf{1} + b Y_U Y_U^\dagger + c Y_D Y_D^\dagger + \dots = \begin{pmatrix} a & & \\ & a & \\ & & A \end{pmatrix} + \mathcal{O}(y_b^2)$$

$A = a + b y_t^2$

Universality:  $U(3)^5$

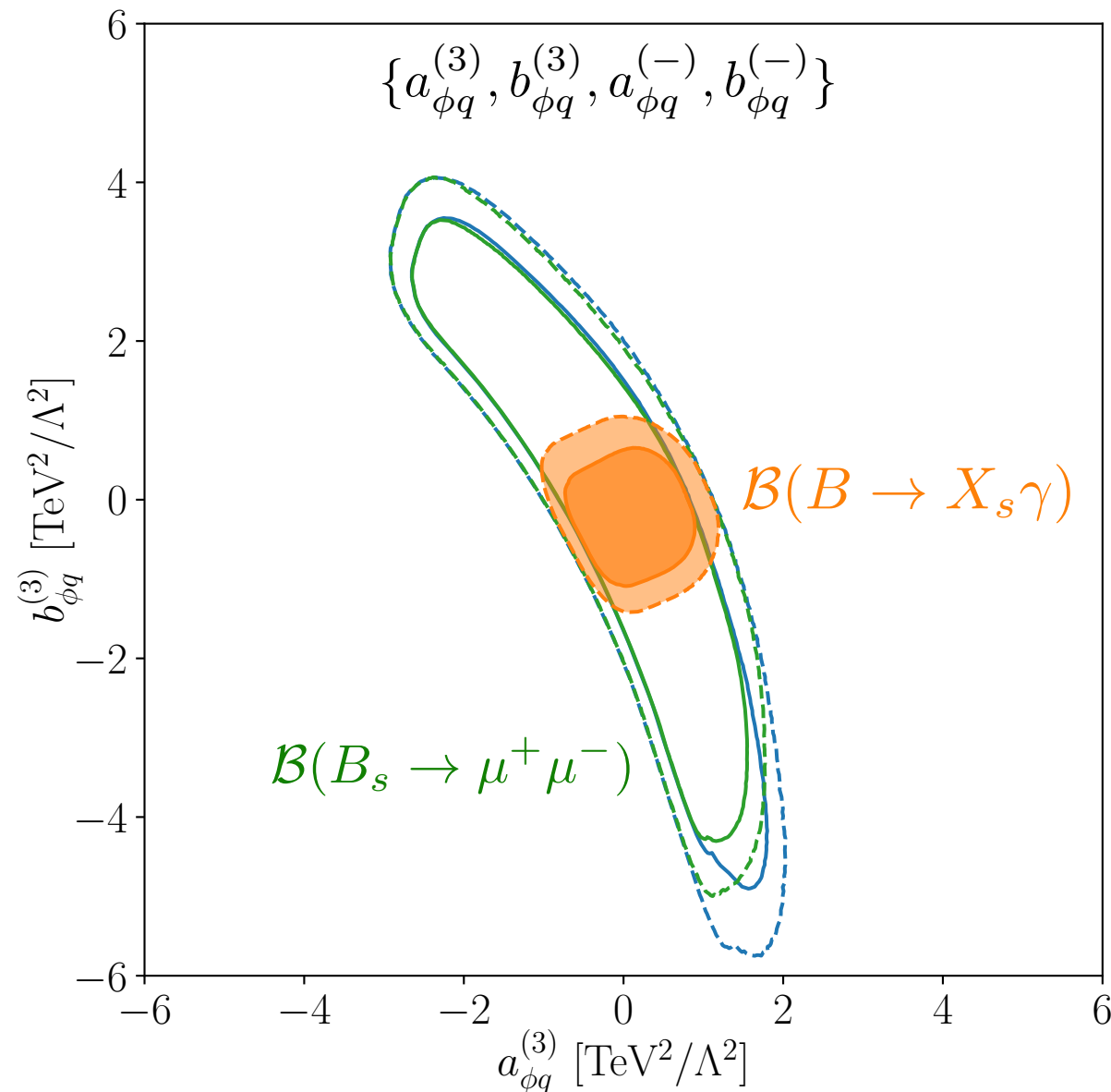
$$C_{\phi q}^{(1)} = \begin{pmatrix} a & & \\ & a & \\ & & a \end{pmatrix}$$

Misalignment:  $U(2)^5$

$$C_{\phi q}^{(1)} = \begin{pmatrix} a & & b \\ & a & c \\ b & c & d \end{pmatrix}$$

# Flavor universality in W and Z couplings

$$\mathcal{B}(B \rightarrow X_s \gamma) \times 10^4 = 3.26 + 0.36 a_{\phi q}^{(3)} - 0.76 b_{\phi q}^{(3)}$$



top

$$pp \rightarrow t\bar{t}$$

$$pp \rightarrow t\bar{t}Z, t\bar{t}W$$

$$pp \rightarrow tj, tZj$$

$$pp \rightarrow tW$$

$$t \rightarrow bW$$

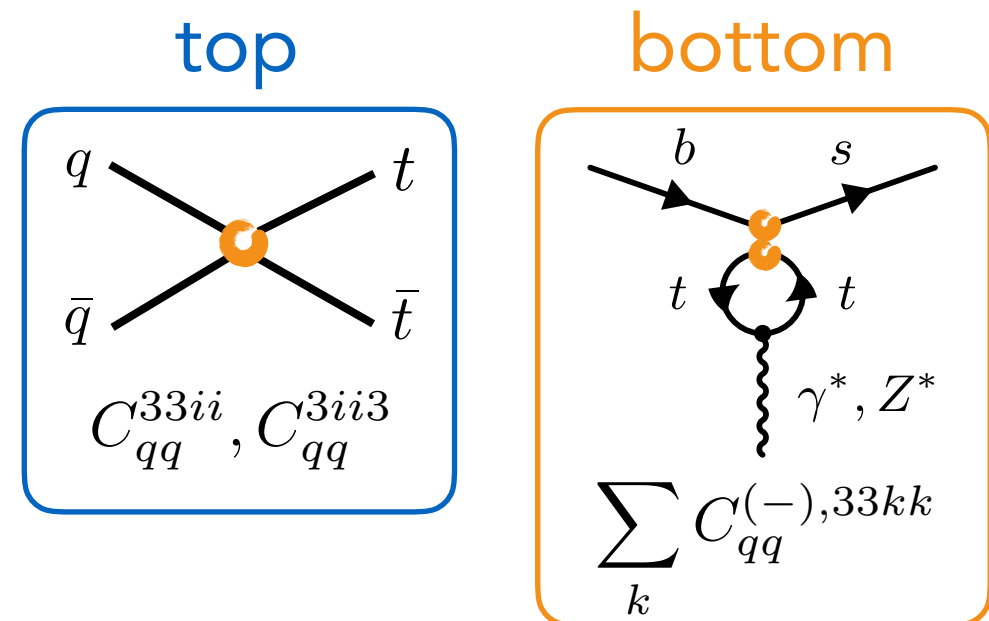
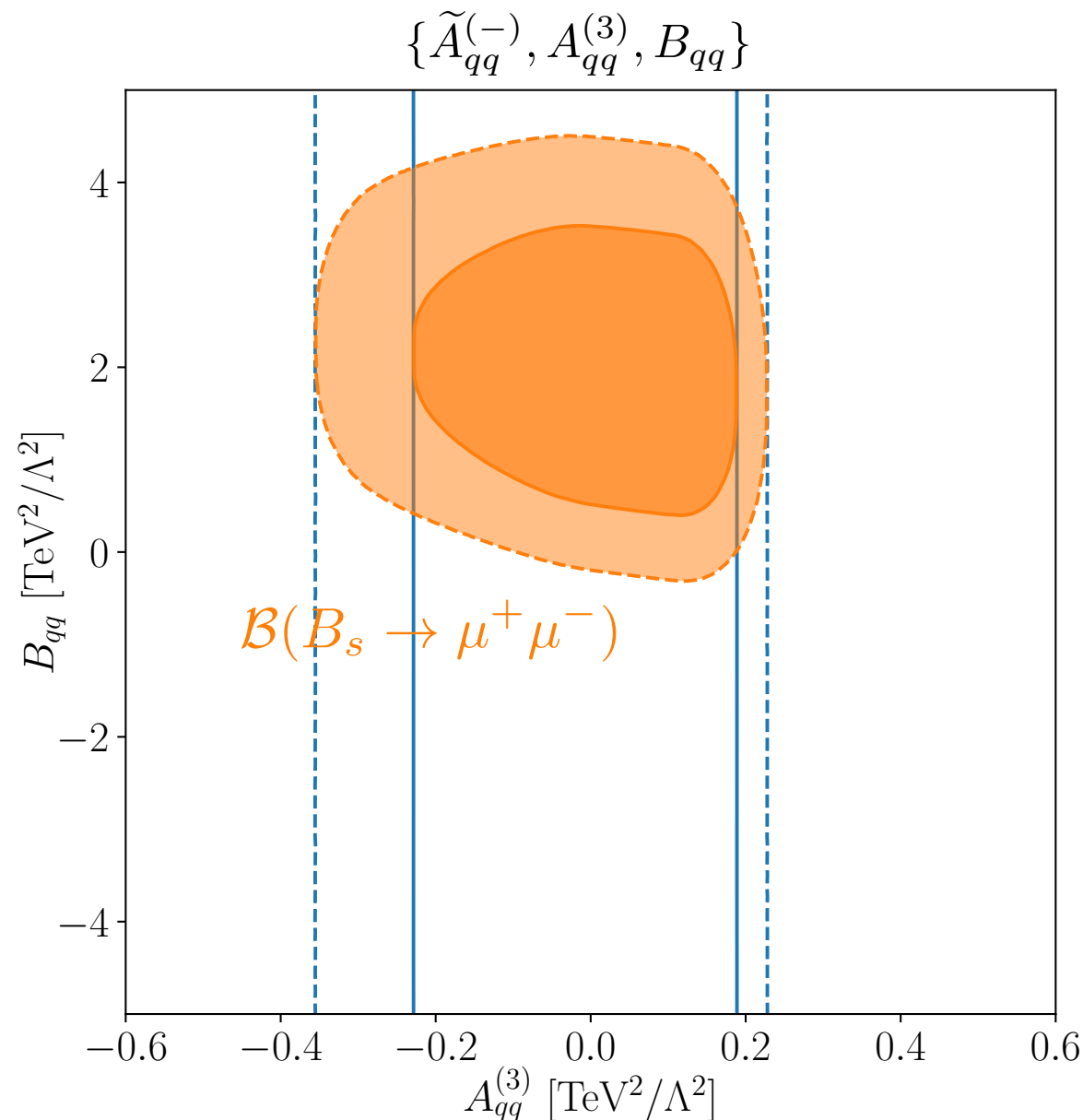
$$O_{\phi q}^{(1)} = (H^\dagger \overleftrightarrow{D}^\mu H)(\bar{Q}\gamma_\mu Q)$$

$$O_{\phi q}^{(3)} = (H^\dagger \overleftrightarrow{D}^\mu \tau^a H)(\bar{Q}\gamma_\mu \tau^a Q)$$

Top-bottom connection resolves flavor structure.

# Flavor breaking in four-quark couplings

$$\mathcal{C}_{10}(m_b) = 0.29 \left( A_{qq}^{(3)}(m_t) + B_{qq}^{(3)}(m_t) y_t^2 \right) + 0.03 \left( \tilde{A}_{qq}^{(-)}(m_t) + B_{qq}^{(-)}(m_t) y_t^2 \right)$$



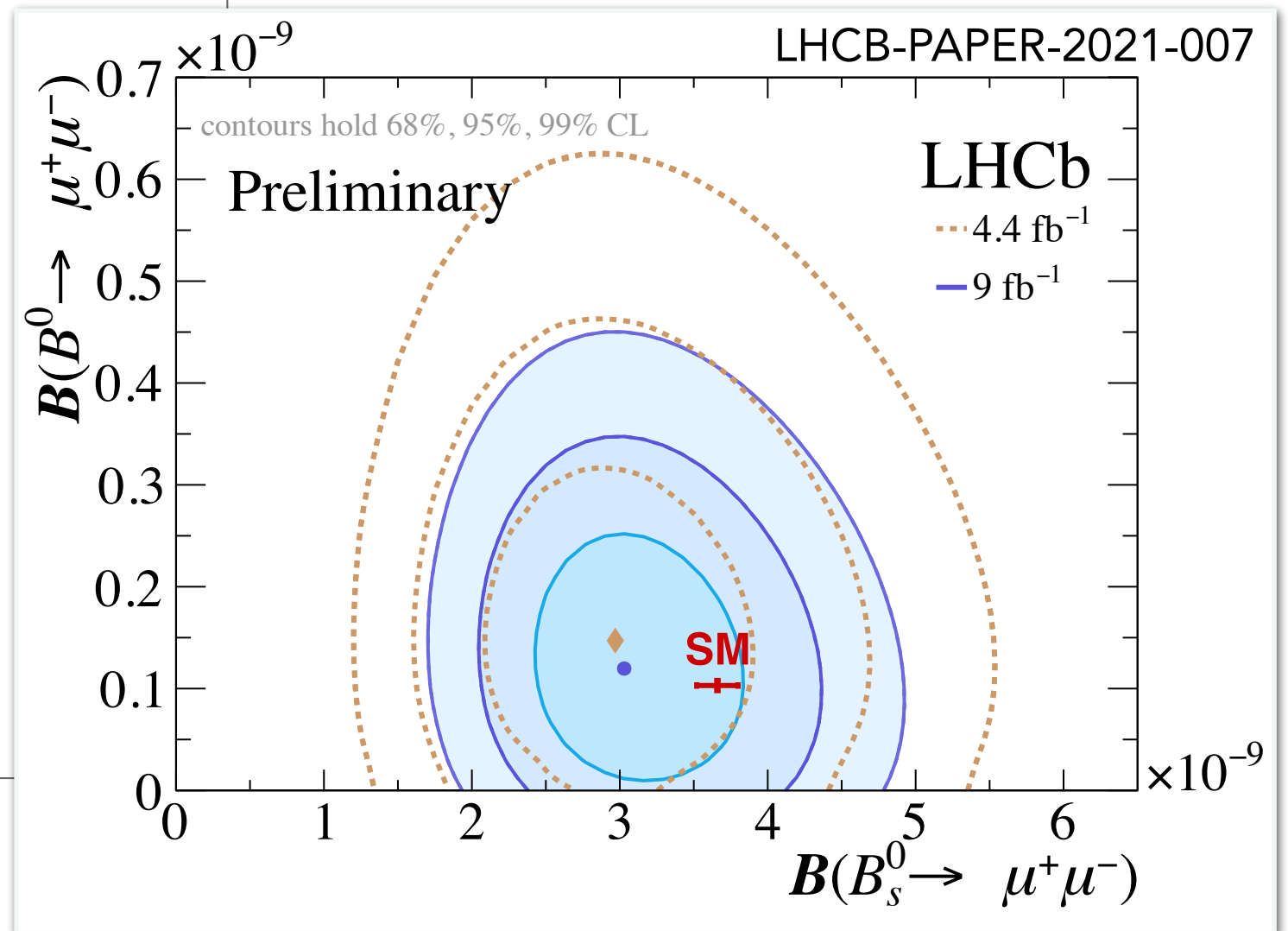
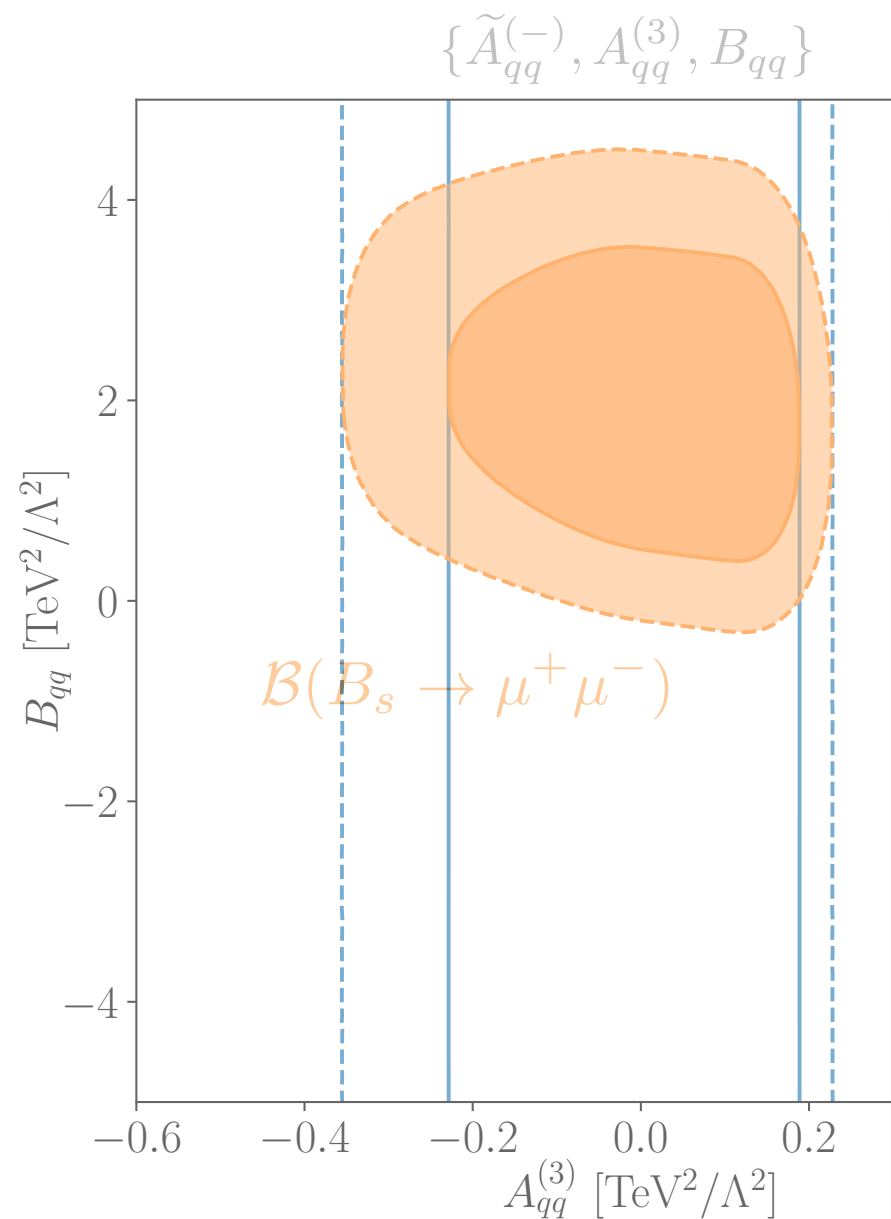
$$O_{qq}^{(1)} = (\bar{Q} \gamma_\mu Q) (\bar{Q} \gamma^\mu Q)$$

$$O_{qq}^{(3)} = (\bar{Q} \gamma_\mu \tau^a Q) (\bar{Q} \gamma^\mu \tau^a Q)$$



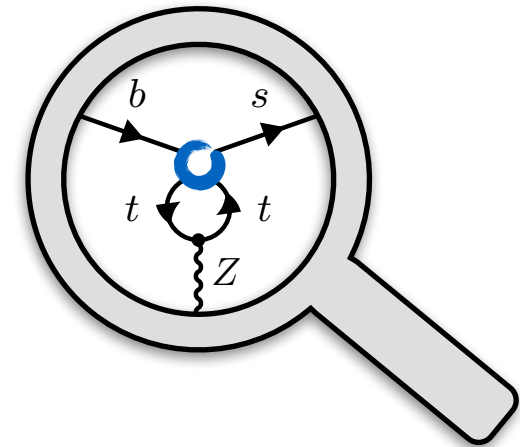
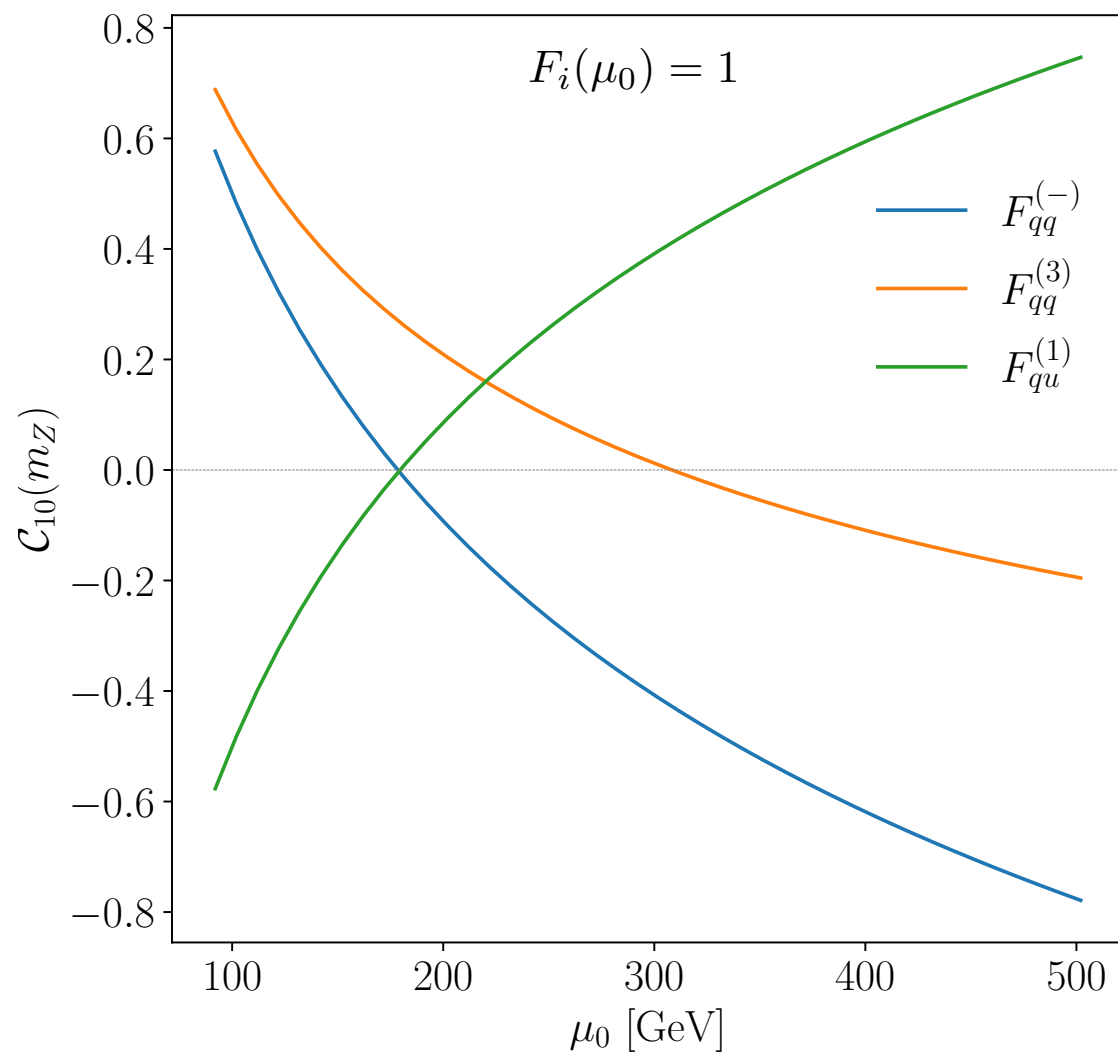
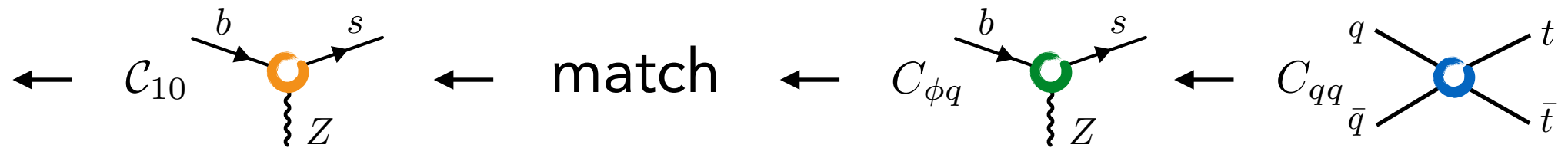
# Flavor breaking in four-quark couplings

$$\mathcal{B}(B_s \rightarrow \mu^+ \mu^-) \times 10^9 = 3.57 - 1.71 \mathcal{C}_{10} + 0.21 \mathcal{C}_{10}^2$$



# Operator mixing in SMEFT

$$C_a(m_b) = \left( \mathcal{R}^{\text{WET}}(m_b, m_Z) \right)_{ab} \left( \mathcal{M}(m_Z) \right)_{bc} \left( \mathcal{R}^{\text{SMEFT}}(m_Z, m_t) \right)_{cd} C_d(m_t)$$

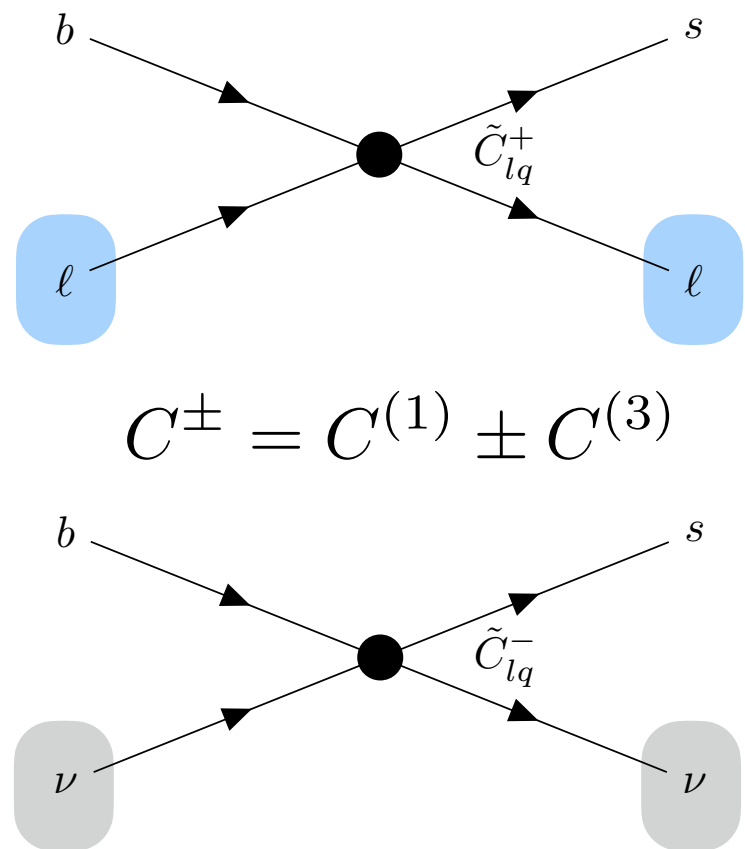


High sensitivity to operator mixing:

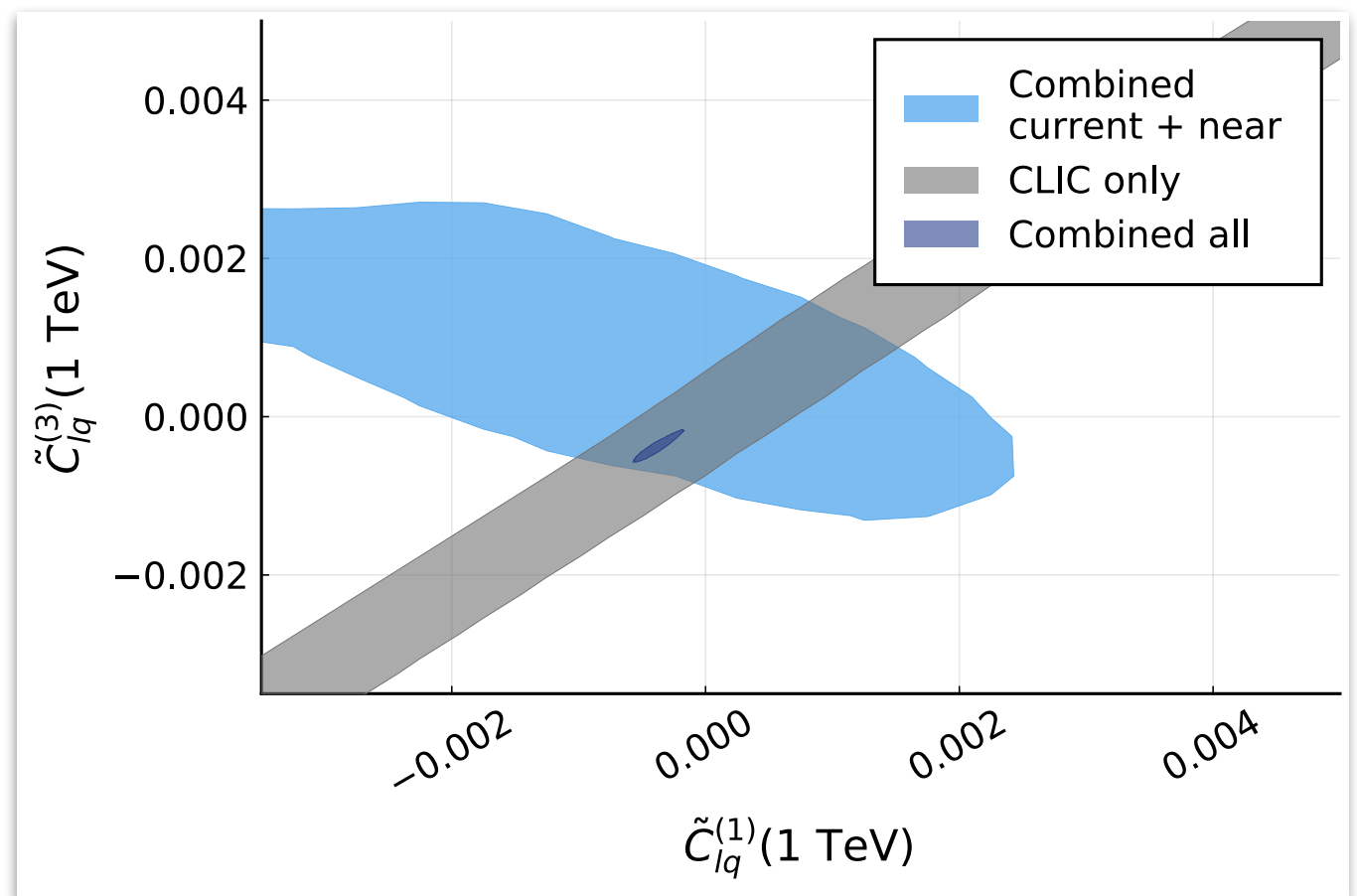
$$C_{10} = F \left( \frac{4\pi}{\alpha} C_{\phi q}(m_t), C_{qq}(m_t) \right)$$

# Quark-lepton couplings

$$O_{lq}^{(1)} = (\bar{l}_L \gamma_\mu l_L) (\bar{q}_L \gamma^\mu q_L) \quad O_{lq}^{(3)} = (\bar{l}_L \gamma_\mu \tau^I l_L) (\bar{q}_L \gamma^\mu \tau^I q_L)$$



sensitivity: rare B decays & top



Charged leptons and neutrinos probe orthogonal weak couplings.

# Outlook

Flavor observables in global SMEFT fits:

- high sensitivity to effective couplings and flavor breaking
- non-local contributions to Wilson coefficients  $C_7, C_9$
- compatibility of flavor and LHC fit results

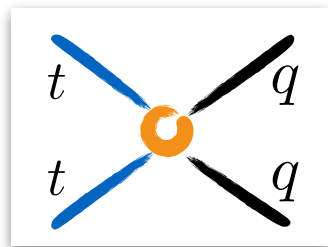
Probing the flavor structure in SMEFT:

- top-bottom connection is flavor-sensitive
- interplay of
  - kaons - jets
  - bottom/charm - top
  - leptons, neutrinos - electroweak, Higgs

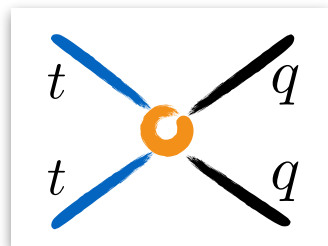
Backup



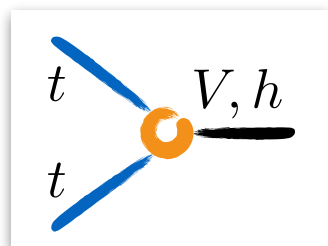
# LHC processes in top EFT



$LL, RR$



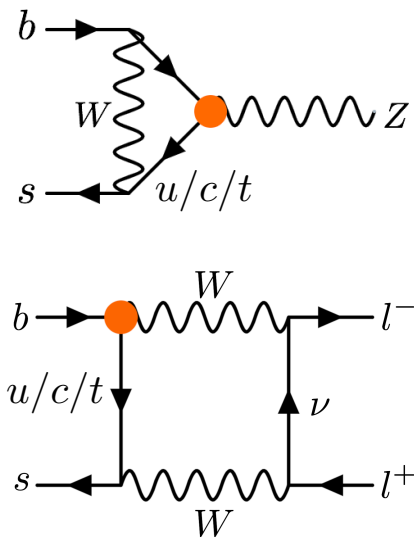
$LR, RL$



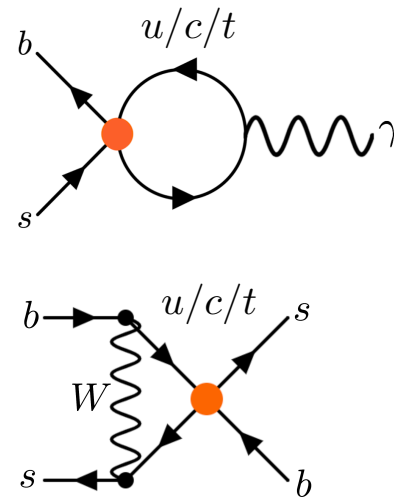
| parameter            | $t\bar{t}$                        | single $t$                        | $tW$           | $tZ$                              | $t$ decay                         | $t\bar{t}Z$                       | $t\bar{t}W$                       |
|----------------------|-----------------------------------|-----------------------------------|----------------|-----------------------------------|-----------------------------------|-----------------------------------|-----------------------------------|
| $C_{Qq}^{1,8}$       | $\Lambda^{-2}$                    | –                                 | –              | –                                 | –                                 | $\Lambda^{-2}$                    | $\Lambda^{-2}$                    |
| $C_{Qq}^{3,8}$       | $\Lambda^{-2}$                    | $\Lambda^{-4}$ [ $\Lambda^{-2}$ ] | –              | $\Lambda^{-4}$ [ $\Lambda^{-2}$ ] | $\Lambda^{-4}$ [ $\Lambda^{-2}$ ] | $\Lambda^{-2}$                    | $\Lambda^{-2}$                    |
| $C_{tu}^8, C_{td}^8$ | $\Lambda^{-2}$                    | –                                 | –              | –                                 | –                                 | $\Lambda^{-2}$                    | –                                 |
| $C_{Qq}^{1,1}$       | $\Lambda^{-4}$ [ $\Lambda^{-2}$ ] | –                                 | –              | –                                 | –                                 | $\Lambda^{-4}$ [ $\Lambda^{-2}$ ] | $\Lambda^{-4}$ [ $\Lambda^{-2}$ ] |
| $C_{Qq}^{3,1}$       | $\Lambda^{-4}$ [ $\Lambda^{-2}$ ] | $\Lambda^{-2}$                    | –              | $\Lambda^{-2}$                    | $\Lambda^{-2}$                    | $\Lambda^{-4}$ [ $\Lambda^{-2}$ ] | $\Lambda^{-4}$ [ $\Lambda^{-2}$ ] |
| $C_{tu}^1, C_{td}^1$ | $\Lambda^{-4}$ [ $\Lambda^{-2}$ ] | –                                 | –              | –                                 | –                                 | $\Lambda^{-4}$ [ $\Lambda^{-2}$ ] | –                                 |
| $C_{Qu}^8, C_{Qd}^8$ | $\Lambda^{-2}$                    | –                                 | –              | –                                 | –                                 | $\Lambda^{-2}$                    | –                                 |
| $C_{tq}^8$           | $\Lambda^{-2}$                    | –                                 | –              | –                                 | –                                 | $\Lambda^{-2}$                    | $\Lambda^{-2}$                    |
| $C_{Qu}^1, C_{Qd}^1$ | $\Lambda^{-4}$ [ $\Lambda^{-2}$ ] | –                                 | –              | –                                 | –                                 | $\Lambda^{-4}$ [ $\Lambda^{-2}$ ] | –                                 |
| $C_{tq}^1$           | $\Lambda^{-4}$ [ $\Lambda^{-2}$ ] | –                                 | –              | –                                 | –                                 | $\Lambda^{-4}$ [ $\Lambda^{-2}$ ] | $\Lambda^{-4}$ [ $\Lambda^{-2}$ ] |
| $C_{\phi Q}^-$       | –                                 | –                                 | –              | $\Lambda^{-2}$                    | –                                 | $\Lambda^{-2}$                    | –                                 |
| $C_{\phi Q}^3$       | –                                 | $\Lambda^{-2}$                    | $\Lambda^{-2}$ | $\Lambda^{-2}$                    | $\Lambda^{-2}$                    | –                                 | –                                 |
| $C_{\phi t}$         | –                                 | –                                 | –              | $\Lambda^{-2}$                    | –                                 | $\Lambda^{-2}$                    | –                                 |
| $C_{\phi tb}$        | –                                 | $\Lambda^{-4}$                    | $\Lambda^{-4}$ | $\Lambda^{-4}$                    | $\Lambda^{-4}$                    | –                                 | –                                 |
| $C_{tZ}$             | –                                 | –                                 | –              | $\Lambda^{-2}$                    | –                                 | $\Lambda^{-2}$                    | –                                 |
| $C_{tW}$             | –                                 | $\Lambda^{-2}$                    | $\Lambda^{-2}$ | $\Lambda^{-2}$                    | $\Lambda^{-2}$                    | –                                 | –                                 |
| $C_{bW}$             | –                                 | $\Lambda^{-4}$                    | $\Lambda^{-4}$ | $\Lambda^{-4}$                    | $\Lambda^{-4}$                    | –                                 | –                                 |
| $C_{tG}$             | $\Lambda^{-2}$                    | [ $\Lambda^{-2}$ ]                | $\Lambda^{-2}$ | –                                 | [ $\Lambda^{-2}$ ]                | $\Lambda^{-2}$                    | $\Lambda^{-2}$                    |

# Flavor observables in SMEFT

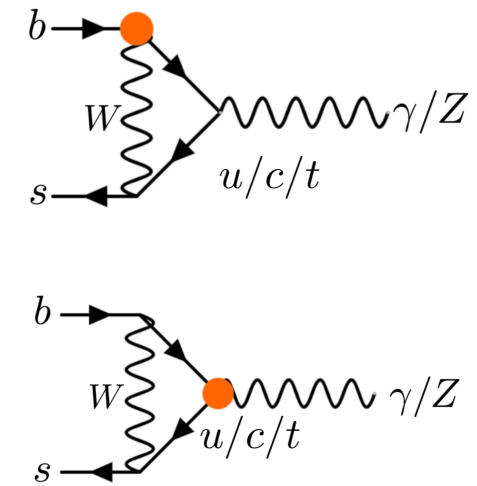
## Higgs-quark



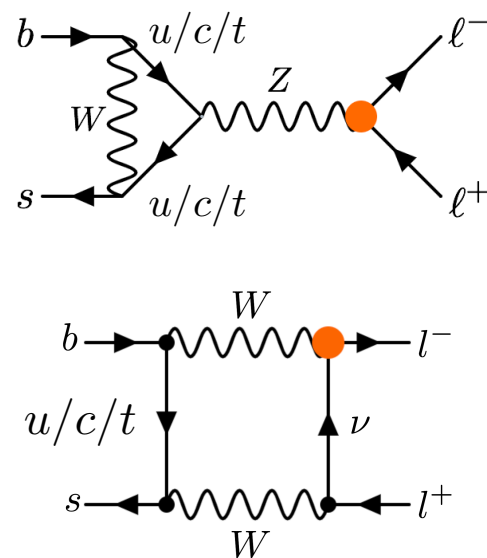
## 4-quark



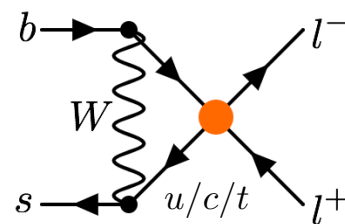
## dipole



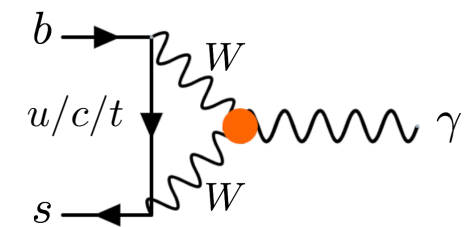
## Higgs-lepton



## 2-quark 2-lepton



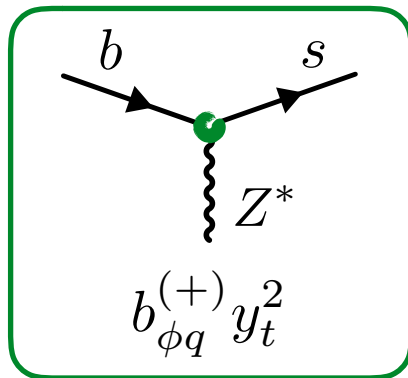
## triple-gauge



# Flavor in bottom observables

Tree level: flavor-breaking.

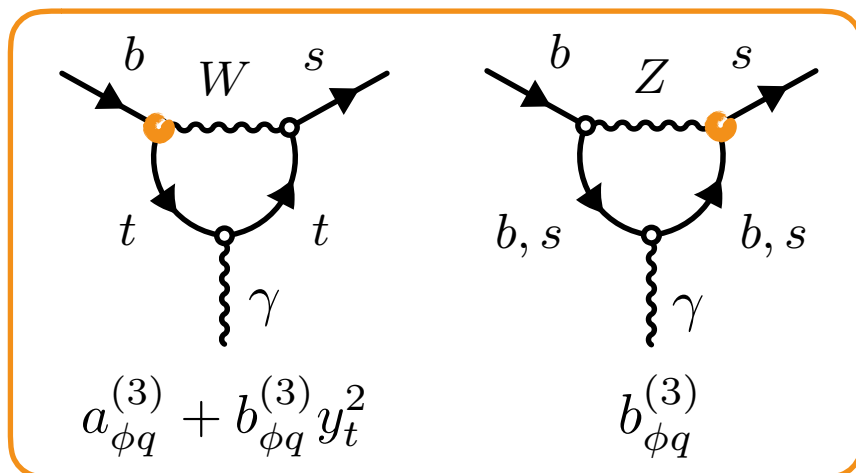
$$\sum (C_{\phi q}^{(1),kk} + C_{\phi q}^{(3),kk}) V_{k3} V_{k2}^* \sim (b_{\phi q}^{(1)} + b_{\phi q}^{(3)}) y_t^2$$



$$\mathcal{O}_{10} = \frac{4G_F}{\sqrt{2}} V_{tb} V_{ts}^* \frac{\alpha_e}{4\pi} (\bar{s} \gamma_\mu P_L b) (\bar{\mu} \gamma^\mu \gamma_5 \mu)$$

$$\mathcal{B}(B_s \rightarrow \mu^+ \mu^-) \times 10^9 = 3.57 - 41.0 b_{\phi q}^{(+)} + 117.8 (b_{\phi q}^{(+)})^2$$

Loop level: flavor-diagonal & flavor-breaking.



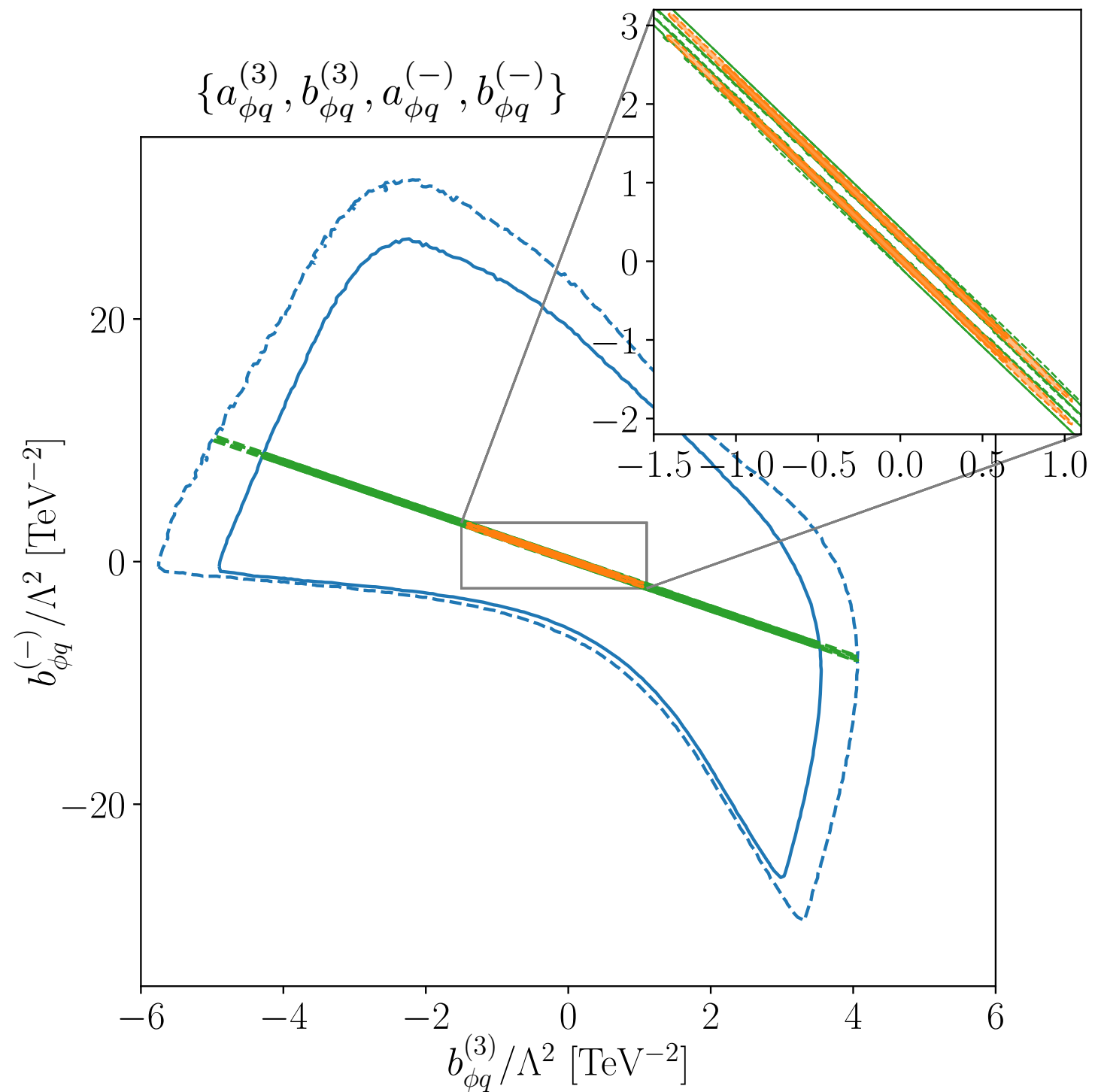
$$\mathcal{O}_7 = \frac{4G_F}{\sqrt{2}} V_{tb} V_{ts}^* \frac{e}{16\pi^2} m_b (\bar{s} \sigma^{\mu\nu} P_R b) F_{\mu\nu}$$

$$\mathcal{O}_8 = \frac{4G_F}{\sqrt{2}} V_{tb} V_{ts}^* \frac{g_s}{16\pi^2} m_b (\bar{s} \sigma^{\mu\nu} T^A P_R b) G_{\mu\nu}^A$$

$$\mathcal{B}(B \rightarrow X_s \gamma) \times 10^4 = 3.26 + 0.36 a_{\phi q}^{(3)} - 0.76 b_{\phi q}^{(3)}$$

# Flavor breaking in W and Z couplings

Combined fit to **top data** &  $\mathcal{B}(B_s \rightarrow \mu^+ \mu^-)$  &  $\mathcal{B}(B \rightarrow X_s \gamma)$



# Flavor in four-quark couplings

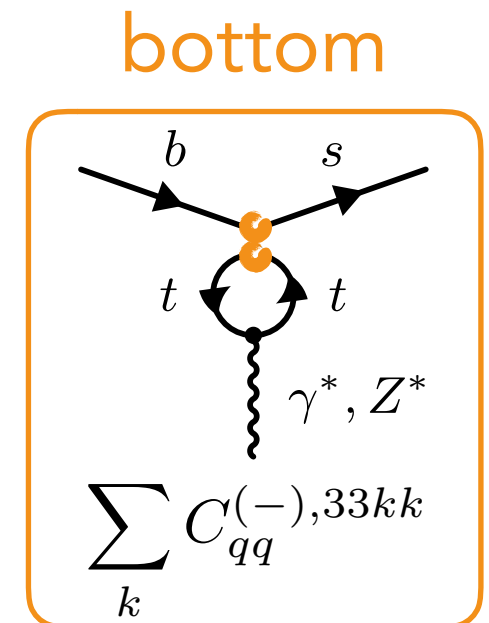
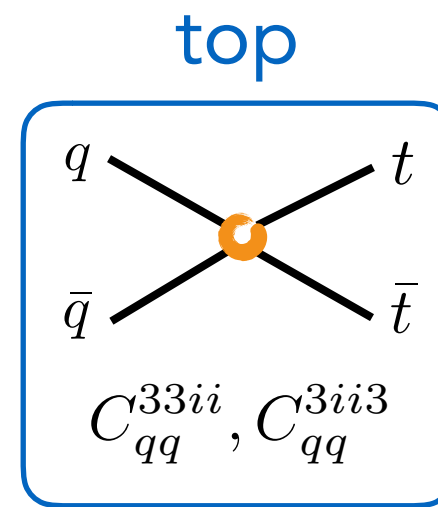
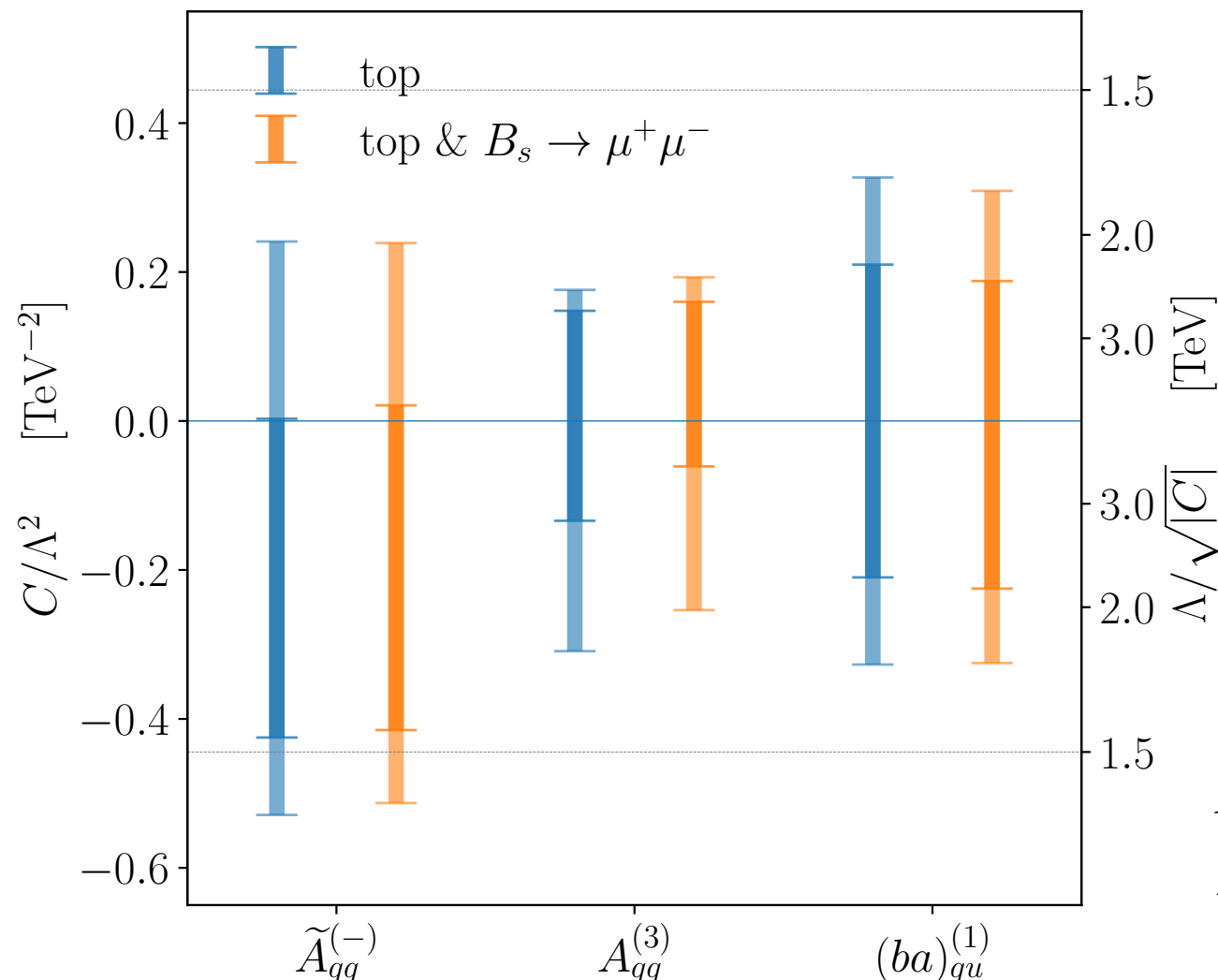
$$O_{qq}^{(1)} = (\bar{Q}\gamma_\mu Q)(\bar{Q}\gamma^\mu Q)$$

$$O_{qq}^{(3)} = (\bar{Q}\gamma_\mu\tau^a Q)(\bar{Q}\gamma^\mu\tau^a Q)$$

$$(C_{qq})^{33ii} = A_{qq} \quad \text{color singlet}$$

$$(C_{qq})^{3ii3} = \tilde{A}_{qq} \quad \text{color octet}$$

$$(C_{qq})^{3333} = A_{qq} + \tilde{A}_{qq} + B_{qq}$$

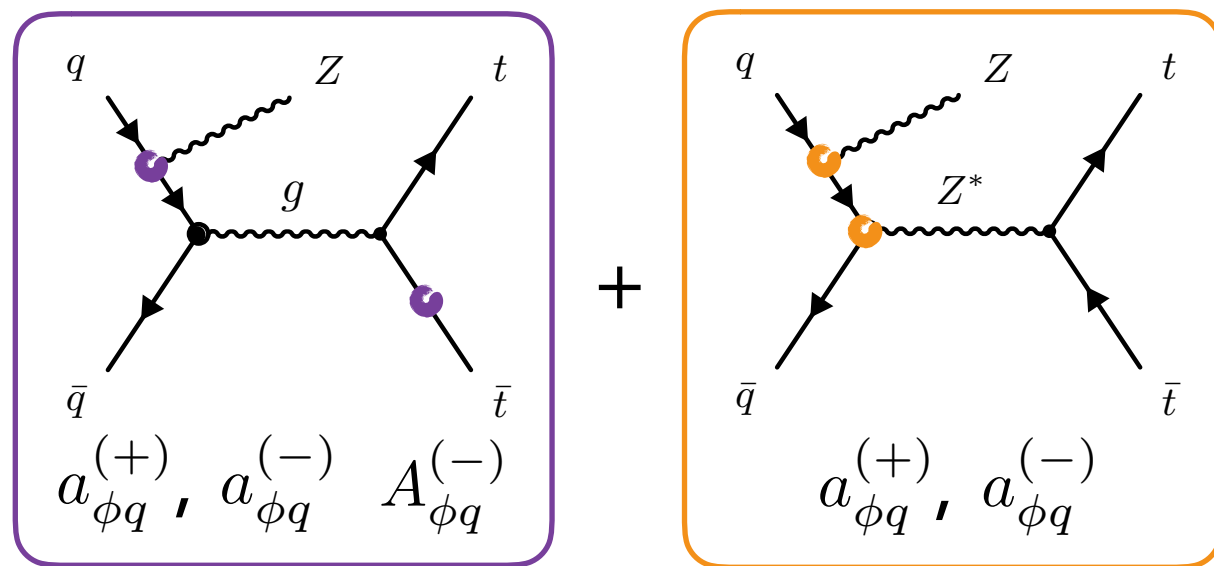


$$A_{qq} = (aa) + (ba)y_t^2$$

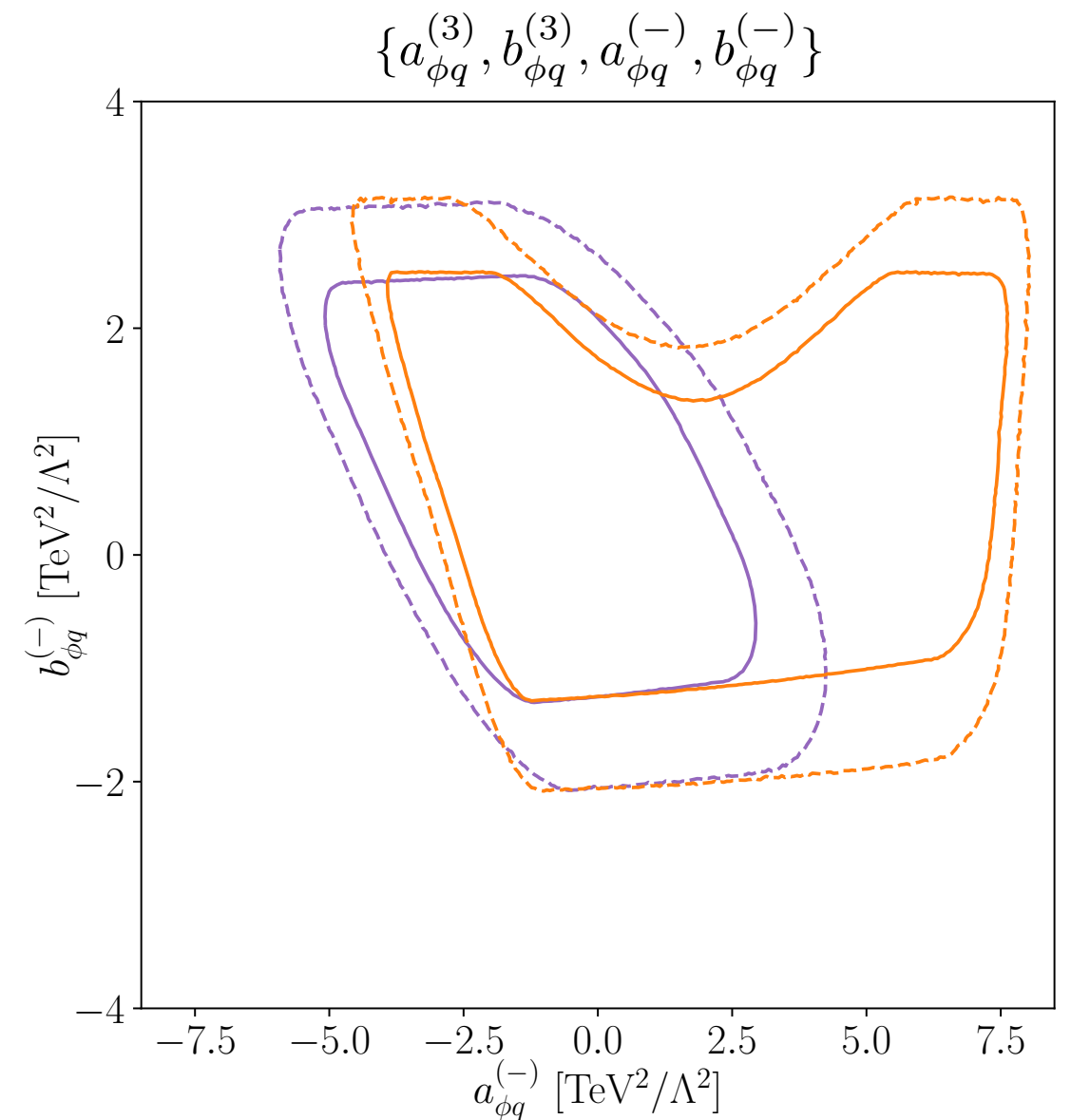
$$B_{qq} = (ba) + (\tilde{b}a)$$

# Flavor in top observables

Electroweak contributions matter!



$$\sigma_{t\bar{t}Z} [\text{pb}] = 0.679 + 0.023 a_{\phi q}^{(3)} - 0.070 A_{\phi q}^{(-)} + 0.008 (a_{\phi q}^{(-)})^2 + 0.004 (a_{\phi q}^{(+)})^2$$



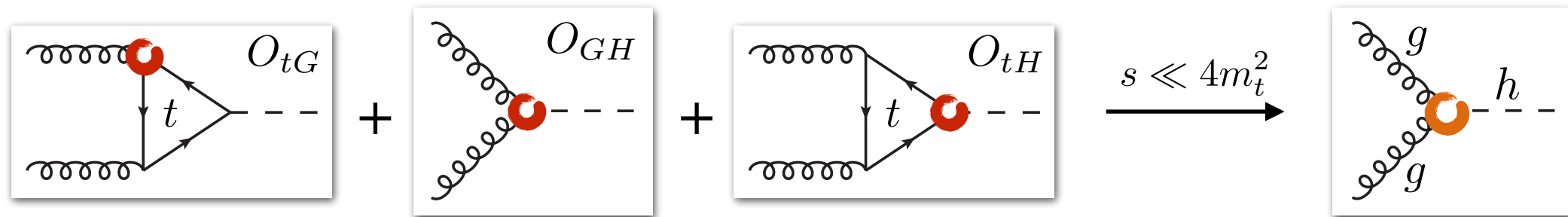
Top observables are flavor-sensitive.

(against folklore)

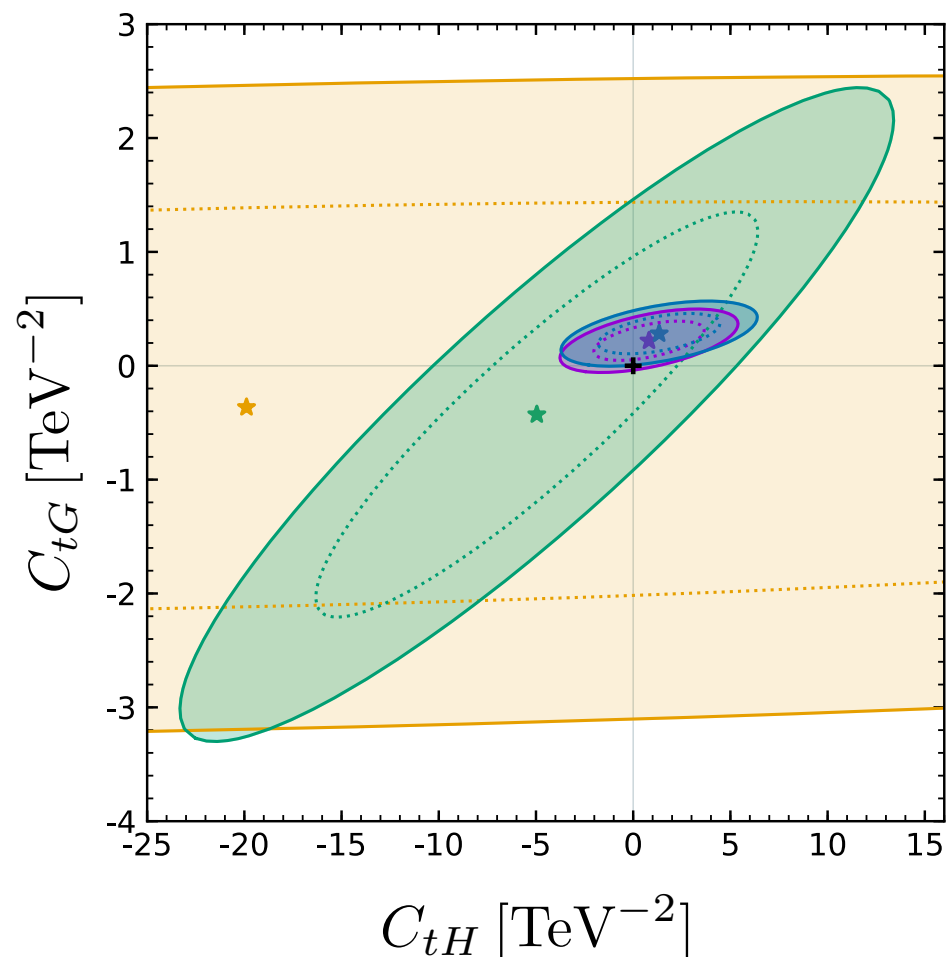


# Top & Higgs

Higgs production via gluon fusion:



Top resolves blind directions in Higgs data:



*Marginalised 95% C. L.*

- Higgs data (no  $t\bar{t}H$ )
- Higgs data
- Higgs & Top data
- Higgs & Top data (+4F)
- SM

$$O_{tH} = (H^\dagger H)(\bar{Q} t \tilde{H})$$

$$O_{tG} = (\bar{Q} \sigma^{\mu\nu} T^A t) \tilde{H} G_{\mu\nu}^A$$

Ellis, Madigan, Mimasu, Sanz, You 2012.02779