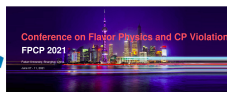


# Tau physics at B-factories

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(Belle collaboration)

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**FPCP 2021**  
June 09, 2021

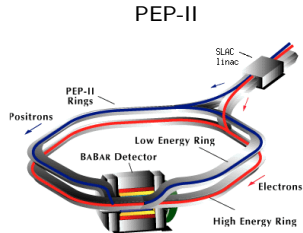
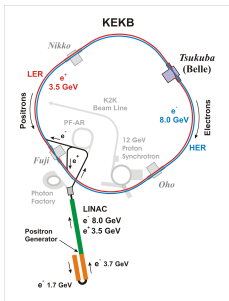


**BABAR**

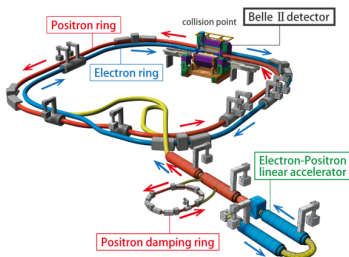


- $B$  factories
- Diversity of  $\tau$  physics
- LFV searches  
 $\tau \rightarrow l\gamma$  ( $l = e$  or  $\mu$ )
- LNV and BNV searches  
 $\tau \rightarrow p\ell\ell'$  ( $\ell' = \mu, e$ )
- Summary

# $\tau$ and $e^+e^-$ colliders

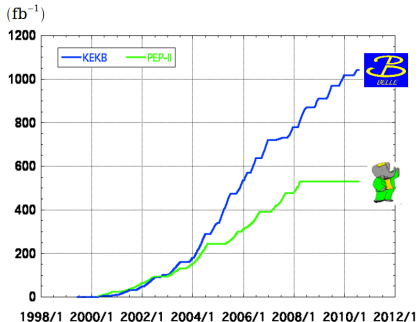


## SuperKEKB



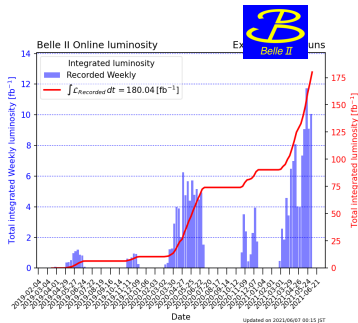
- $\tau$  lepton: The heaviest lepton known to date: Sensitive to new Physics  
The only lepton heavy enough to decay hadronically
- Low background, high trigger efficiency, well known missing energy and mass make the  $e^+e^-$  colliders suitable for  $\tau$  physics study

## Integrated luminosity of B factories



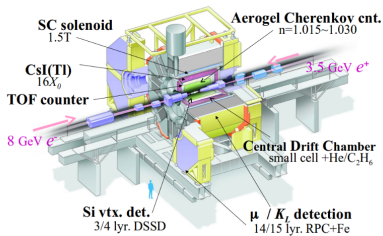
**> 1  $\text{ab}^{-1}$**   
**On resonance:**  
Y(5S): 121  $\text{fb}^{-1}$   
Y(4S): 711  $\text{fb}^{-1}$   
Y(3S): 3  $\text{fb}^{-1}$   
Y(2S): 25  $\text{fb}^{-1}$   
Y(1S): 6  $\text{fb}^{-1}$   
**Off reson./scan:**  
~ 100  $\text{fb}^{-1}$

**~ 550  $\text{fb}^{-1}$**   
**On resonance:**  
Y(4S): 433  $\text{fb}^{-1}$   
Y(3S): 30  $\text{fb}^{-1}$   
Y(2S): 14  $\text{fb}^{-1}$   
**Off resonance:**  
~ 54  $\text{fb}^{-1}$

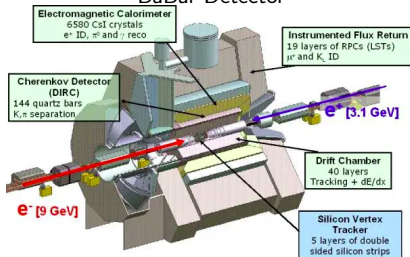


- The B factories: Belle (BaBar) ran from 1999 to 2010 (2008)
- Belle and BaBar recorded over 1.5  $\text{ab}^{-1}$  data
- Now SuperKEKB and Belle II are on the field!

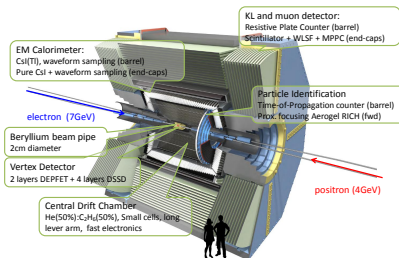
## Belle Detector



## BaBar Detector



## Belle II Detector



## Why $\tau$ in B-factory?

Cross section @  $\sqrt{S} = 10.58$  GeV :

$$\sigma(e^+e^- \rightarrow B\bar{B}) = 1.1 \text{ nb,}$$

$$\sigma(e^+e^- \rightarrow \tau\tau) = 0.9 \text{ nb,}$$

Even more, taus are collected in off-resonance also, but no Bs.

$\Rightarrow$  B-Factory  $\equiv$   $\tau$ -Factory

# Diversity of tau physics

## $\tau$ property measurements

- Mass
- Lifetime
- EDM
- Michel parameters

## Precision measurements

- $|V_{us}|$  measurement
- 2<sup>nd</sup> class current search:  $\tau^- \rightarrow \pi^- \eta \nu_\tau$
- Hadronic currents:  $\tau^- \rightarrow \pi^- \pi^0 \nu_\tau$ ,  $\tau^- \rightarrow hh \nu_\tau \dots$
- Branching fraction measurements:  $\tau^- \rightarrow K_S^0 \pi^- \nu_\tau$ ,  $\tau^- \rightarrow \phi K^- \nu_\tau \dots$
- Lepton universality

## BSM Searches

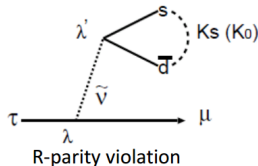
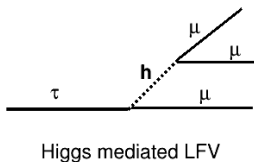
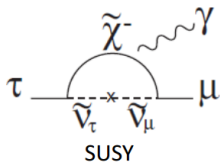
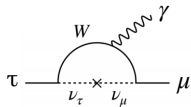
- LFV, LNV, BNV:  $\tau \rightarrow 3\ell$ ,  $\tau \rightarrow \ell V^0 \dots$   
 $\tau \rightarrow \ell \gamma$  ( $\ell = e$  or  $\mu$ ) [K. Uno *et al.* arXiv:2103.12994]  
 $\tau \rightarrow p\ell\ell'$  ( $\ell' = \mu, e$ ) [D. Sahoo *et al.* PRD 102, 111101 (2020)]  
World's leading result are expected
- CPV:  $\tau^- \rightarrow K_S^0 \pi^- \nu_\tau$

# $\tau$ Lepton Flavor Violation

Neutrino Sector: Observation of neutrino oscillation

Charge sector: Has not been observed

- In the SM +  $\nu$  Oscillations, CLFV is highly suppressed  
Impossible to access in current experiments,  $\mathcal{B}(\tau \rightarrow \mu\gamma) < O(10^{-40})$
  - Many extensions of the SM predict LFV decays
  - Extensions of SM predict enhancement up to the sensitivity of current experiments
- An observation of LFV would be a clear signature of BSM
- Many LFV modes with NP models:



A. Brignole *et al.*, Nucl. Phys. B 701, 3 (2004) C.-X. Yue *et al.*, EPJC 50, 897 (2007)

R. Barbier *et al.*, Phys. Rep. B 420, 1 (2005)



Model	Reference	$\tau \rightarrow \mu\gamma$	$\tau \rightarrow \mu\mu\mu$
SM + $\nu$ Oscillations	EPJ C8 (1999) 513	$10^{-40}$	–
SM + heavy Maj $\nu_R$	PRD 66 (2002) 034008	$10^{-9}$	$10^{-10}$
Non universal $Z'$	PLB 547 (2002) 252	$10^{-9}$	$10^{-8}$
SUSY SO(10)	PRD 68 (2003) 033012	$10^{-8}$	$10^{-10}$
mSUGRA + seesaw	PRD 66 (2002) 115013	$10^{-7}$	$10^{-9}$
SUSY Higgs	PLB 566 (2003) 217	$10^{-10}$	$10^{-7}$

## Experimental results:

BaBar:  $\mathcal{B}(\tau \rightarrow \mu\gamma) < 4.4 \times 10^{-8}$

PRL 104, 021802 (2010)

Belle:  $\mathcal{B}(\tau \rightarrow \mu\gamma) < 4.5 \times 10^{-8}$

Phys. Lett. B 666, 16 (2008)

New Belle Result will be discussed!!

Belle:  $\mathcal{B}(\tau \rightarrow \mu\mu\mu) < 2.1 \times 10^{-8}$

Phys. Lett. B 687, 139 (2010)

BaBar:  $\mathcal{B}(\tau \rightarrow \mu\mu\mu) < 3.3 \times 10^{-8}$

PRD 81, 111101 (R) (2010)

LHCb:  $\mathcal{B}(\tau \rightarrow \mu\mu\mu) < 4.6 \times 10^{-8}$

JHEP 02, 121 (2015)

CMS:  $\mathcal{B}(\tau \rightarrow \mu\mu\mu) < 8.0 \times 10^{-8}$

JHEP 01, 163 (2021)

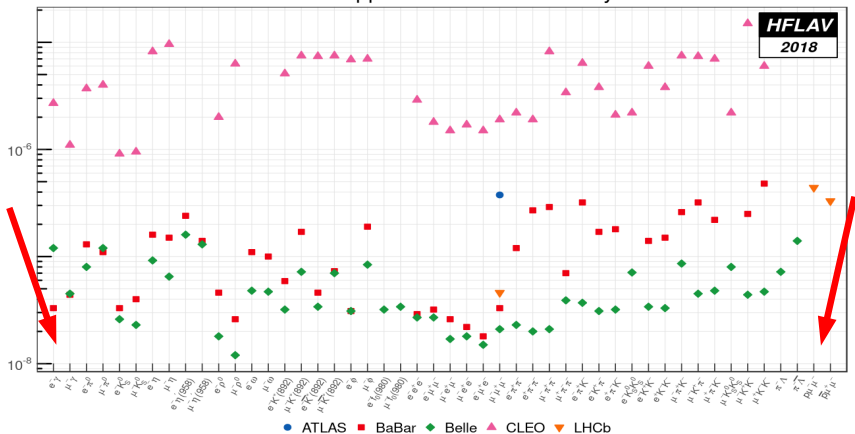
ATLAS:  $\mathcal{B}(\tau \rightarrow \mu\mu\mu) < 3.8 \times 10^{-7}$

Eur. Phys. J. C 76, 232 (2016)

# $\tau$ LFV summary plot: HFLAV

Several LFV modes has been studied!

90% CL upper limits on  $\tau$  LFV decays

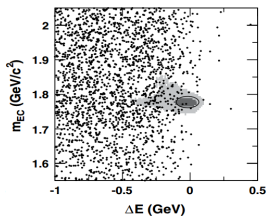
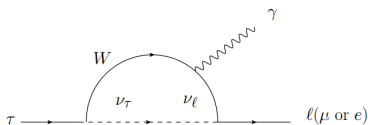


No result is available from B-factories in  $\tau \rightarrow pll'$

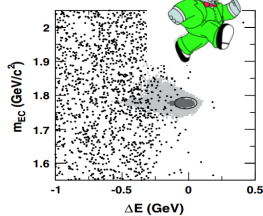
Needs to be updated!!

# Search for $\tau \rightarrow \ell\gamma$

- Observation of CLFV: Clear signal of New Physics



$\tau \rightarrow \mu\gamma$



$\tau \rightarrow e\gamma$

90% CL	Belle	BaBar
Luminosity	$535 \text{ fb}^{-1}$	$515 \text{ fb}^{-1}$
$\mathcal{B}(\tau \rightarrow \mu\gamma)$	$4.5 \times 10^{-8}$	$4.4 \times 10^{-8}$
$\mathcal{B}(\tau \rightarrow e\gamma)$	$12.0 \times 10^{-8}$	$3.3 \times 10^{-8}$
Ref.	PLB (2008)666	PRL (2010)021802

$$m_{\text{EC}} = \sqrt{(E_{\text{beam}}^{\text{CM}})^2 - (p_{\ell\gamma})^2}$$

$$\Delta E = E_{\ell\gamma}^{\text{CM}} - E_{\text{beam}}^{\text{CM}}$$

$$E_{\text{beam}}^{\text{CM}} = \sqrt{s}/2$$

- New result from Belle using full ( $988 \text{ fb}^{-1}$ ) data sets

# Analysis technique



- Signal side:  $N_\ell = 1$ ,  $N_\gamma = 1$   
 $\ell = e$  or  $\mu$   
 Tag Side: 1-prong decay (Eg.  
 $\tau \rightarrow \ell \nu \nu, \pi \nu, \rho \nu$ )

- Signal region:

$$M_{bc} = \sqrt{(E_{\text{beam}}^{\text{CM}})^2 - (p_{\ell\gamma})^2}$$

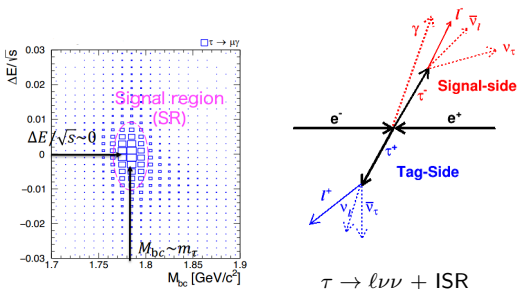
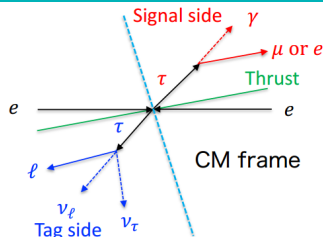
$$\Delta E/\sqrt{s} = (E_{\ell\gamma}^{\text{CM}} - E_{\text{beam}}^{\text{CM}})/\sqrt{s}$$

$$E_{\text{beam}}^{\text{CM}} = \sqrt{s}/2$$

- Backgrounds:  
 $\tau \rightarrow \ell \nu \nu$  + ISR or beam background  
 $ee \rightarrow \ell\ell$  + ISR or beam background

- Signal extraction:  
 UEML fit to the signal region

- Blind Analysis technique



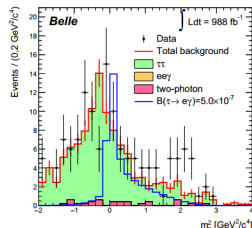
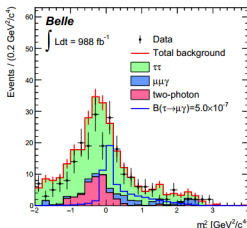
# Selection Criteria

Many variables are used

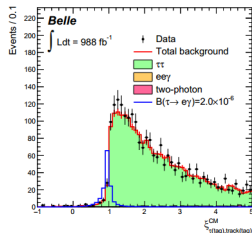
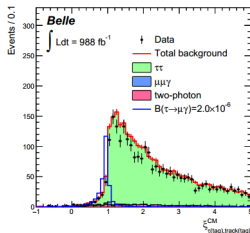
- Total Energy,  $E_{\text{tot}}/\sqrt{s}$
- Missing momenta,  $p_{\text{miss}}$
- $\cos(\theta_{\text{miss}})$
- $m_{\nu}^2 = (E_{\ell\gamma}^{\text{CM}} - E_{\text{tag}}^{\text{CM}})^2 - |p_{\text{miss}}^{\text{CM}}|^2$
- New variable:

- Energy asymmetry in the signal side

$$\xi_{\tau(\text{tag}),\text{track}(\text{tag})}^{\text{CM}} = \frac{p_{\tau(\text{tag})}^{\text{CM}} \cdot p_{\text{track}(\text{tag})}^{\text{CM}}}{|p_{\tau(\text{tag})}^{\text{CM}}| |p_{\text{track}(\text{tag})}^{\text{CM}}|}$$

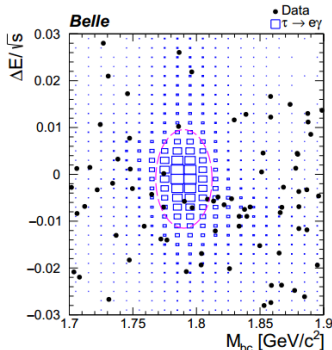
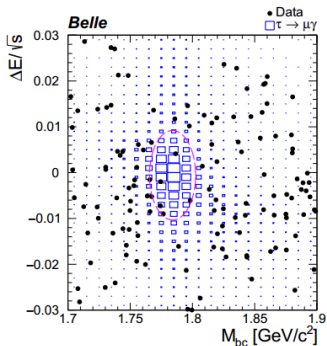


$m_{\nu}^2$



$\xi_{\tau(\text{tag}),\text{track}(\text{tag})}^{\text{CM}}$

# Result



Channel	$\tau \rightarrow \mu\gamma$	$\tau \rightarrow e\gamma$
Signal efficiency	3.7%	2.9%
Exp. # bkgs.	$5.8 \pm 0.4$	$5.1 \pm 0.4$
Obs. event	5	5
$N_{\text{sig}}^{\text{UL}}$	2.8	3.0

Use formula:  $\mathcal{B}(\tau \rightarrow \ell\gamma) < \frac{N_{\text{sig}}^{\text{UL}}}{2N_{\tau\tau\epsilon}}$

Observed:  $\mathcal{B}(\tau \rightarrow \mu\gamma) < 4.2 \times 10^{-8}$ ,  $\mathcal{B}(\tau \rightarrow e\gamma) < 5.6 \times 10^{-8}$  @ 90% CL

Expected:  $\mathcal{B}(\tau \rightarrow \mu\gamma) < 4.9 \times 10^{-8}$ ,  $\mathcal{B}(\tau \rightarrow e\gamma) < 6.4 \times 10^{-8}$  @ 90% CL

# Lepton and Baryon number violation

# Why BNV?

- Necessary to explain the dominance of matter over antimatter in the universe by Sakharov [[JETP Lett. 5, 24-27, 1967](#)]

1. Baryon number violation
2. C-symmetry and CP-symmetry violation
3. Interaction out of thermal equilibrium



- In the SM, BNV can happen through nonperturbative effects but with an extremely low probability.

[[G. 't Hooft PRL 37, 8 \(1976\)](#)]

- Such processes are studied in different scenarios of physics BSM such as SUSY, grand unification and models with Black holes
- Search for BNV processes could give the hint of new Physics.
- **BNV in charged lepton decays often implies lepton number violation**
- Conservation of angular momentum requires:  $\Delta(B - L) = 0$  or 2



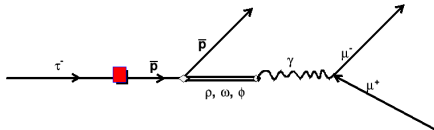
$\tau$  is the only lepton may decay to Baryons

Experimental search:

Belle: $\mathcal{B}(\tau^- \rightarrow \Lambda\pi^-) < 0.72 \times 10^{-7}$	Phys.Lett.B 632, 51-57 (2006)
Belle: $\mathcal{B}(\tau^- \rightarrow \bar{\Lambda}\pi^-) < 1.4 \times 10^{-7}$	Phys.Lett.B 632, 51-57 (2006)
CLEO: $\mathcal{B}(\tau^- \rightarrow \bar{p}\gamma) < 3.5 \times 10^{-6}$	Phys. Rev. D 59, 091303(R) (1999)
CLEO: $\mathcal{B}(\tau^- \rightarrow \bar{p}\pi^0) < 1.5 \times 10^{-5}$	Phys. Rev. D 59, 091303(R) (1999)
CLEO: $\mathcal{B}(\tau^- \rightarrow \bar{p}2\pi^0) < 3.3 \times 10^{-5}$	Phys. Rev. D 59, 091303(R) (1999)
CLEO: $\mathcal{B}(\tau^- \rightarrow \bar{p}\eta) < 8.9 \times 10^{-6}$	Phys. Rev. D 59, 091303(R) (1999)
CLEO: $\mathcal{B}(\tau^- \rightarrow \bar{p}\pi^0\eta) < 2.7 \times 10^{-5}$	Phys. Rev. D 59, 091303(R) (1999)
LHCb: $\mathcal{B}(\tau^- \rightarrow p\mu^-\mu^-) < 4.4 \times 10^{-7}$	Phys. Lett. B 724 (2013)
LHCb: $\mathcal{B}(\tau^- \rightarrow \bar{p}\mu^-\mu^+) < 3.3 \times 10^{-7}$	Phys. Lett. B 724 (2013)

New Belle results will be discussed!!

- Decay channels:  $\tau^- \rightarrow \bar{p}e^+e^-$ ,  $pe^-e^-$ ,  $\bar{p}e^+\mu^-$ ,  $\bar{p}e^-\mu^+$ ,  $p\mu^-\mu^-$ , and  $\bar{p}\mu^-\mu^+$  using 921 fb<sup>-1</sup> Belle data sets
- A diagram for  $\tau^- \rightarrow \bar{p}\mu^+\mu^-$  possible in a new physics scenario proposed by Fuentes-Martin et al. [JHEP 1501,134 (2015)]



# Analysis approach

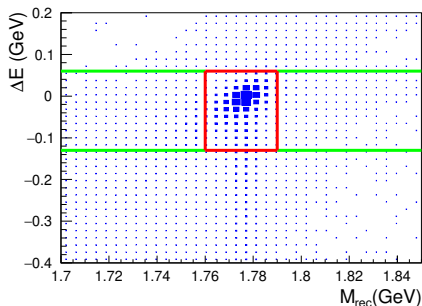
Reconstruct  $\tau \rightarrow p\ell\ell'$  ( $\ell' = \mu, e$ )

- Variables to identify signal:

$$M_{\text{rec}} = \sqrt{E_{p\ell\ell'}^2 - \vec{p}_{p\ell\ell'}^2}$$

$$\Delta E = E_{p\ell\ell'}^{\text{CM}} - E_{\text{beam}}^{\text{CM}}$$

- Red box denotes the signal region.
- The sideband is the  $\Delta E - M_{\text{rec}}$  region outside the red box
- The  $\Delta E$  strip, indicated by the region between two green lines excluding the red box is used to calculate the expected background yield in the signal region.
- Blind Analysis technique

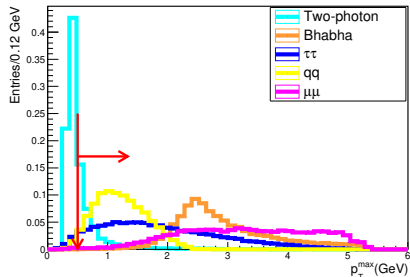
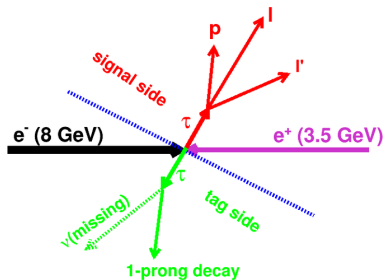


$\Delta E - M_{\text{rec}}$  distribution for  $\tau^- \rightarrow \bar{p}e^-e^+$  in signal MC

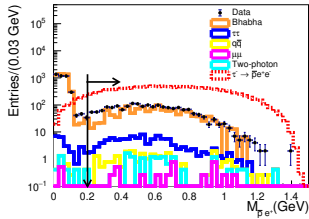
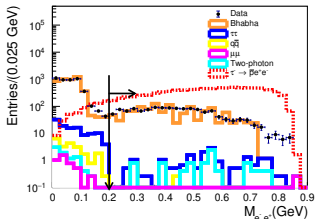
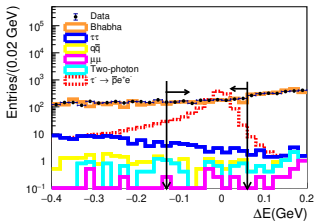
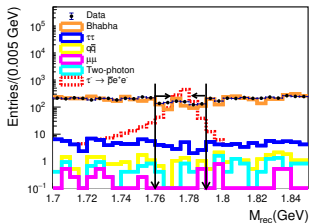
# Selection criteria

Several selection criteria are applied

- 3-1 event topology is used to select the  $\tau\tau$  events.
- Maximum  $p_T^{\max}$  of charged tracks
- Selection on event shape variable such as thrust
- Selections on  $\cos\theta_{\text{tag-miss}}^{\text{CM}}$  and  $\theta_{\text{miss}}$  are applied for all the channels.
- $\gamma$  conversion veto on  $\tau^- \rightarrow \bar{p}e^-e^+$ ,  $\tau^- \rightarrow pe^-e^-$ ,  $\tau^- \rightarrow \bar{p}e^+\mu^-$  and  $\tau^- \rightarrow p\mu^-\mu^-$  channels (on oppositely-charged track pairs assuming electron mass hypothesis).

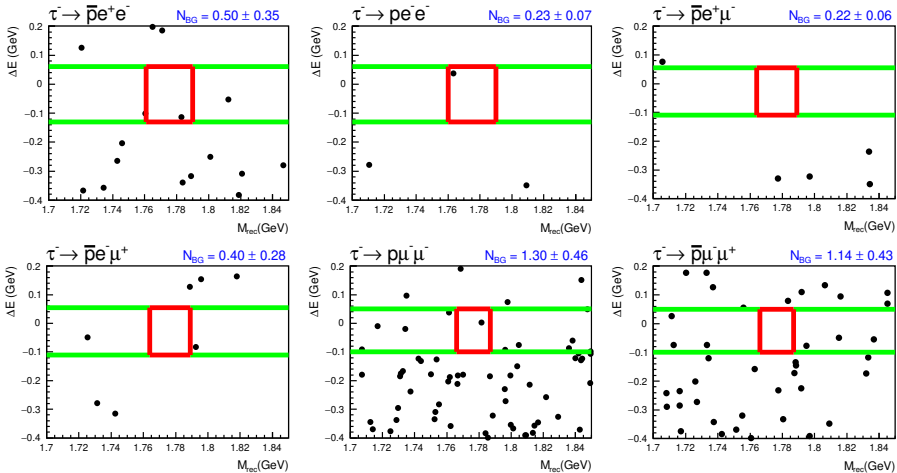


# Sideband study



$M_{e^-e^+}$  and  $M_{p^+e^-}$  are obtained assuming electron mass hypothesis.

# Results



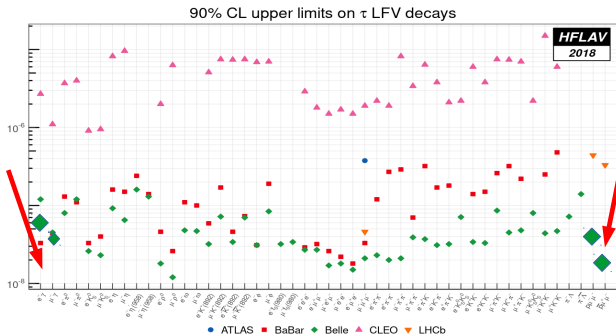
- Number of observed events in the signal region are consistent with the background prediction.

# Limits

Upper limit on

$$\mathcal{B}(\tau \rightarrow p\ell\ell') < \frac{N_{\text{sig}}^{\text{UL}}}{2N_{\tau\tau}\epsilon}$$

All channels	$\epsilon$ (%)	$N_{\text{sig}}^{\text{UL}}$	$\mathcal{B}(\times 10^{-8})$
$\tau^- \rightarrow \bar{p}e^+e^-$	7.8	3.9	< 3.0
$\tau^- \rightarrow pe^-e^-$	8.0	4.1	< 3.0
$\tau^- \rightarrow \bar{p}e^+\mu^-$	6.5	2.2	< 2.0
$\tau^- \rightarrow \bar{p}e^+\mu^+$	6.9	2.1	< 1.8
$\tau^- \rightarrow p\mu^-\mu^-$	4.6	3.1	< 4.0
$\tau^- \rightarrow \bar{p}\mu^-\mu^+$	5.0	1.5	< 1.8



All limits are @  $10^{-8}$  level

For  $\tau^- \rightarrow p\mu^-\mu^-$  and  $\tau^- \rightarrow \bar{p}\mu^-\mu^+$ : **Improving the world's best measurements by an order of magnitude**

For other four channels: **world's first limit**



Huge amount of data from Belle II will allow to probe several  $\tau$  physics aspects

## $\tau$ property measurements

- Mass  $\rightarrow$  Talk by Robert Karl on June 8
- Lifetime, EDM, Michel parameters

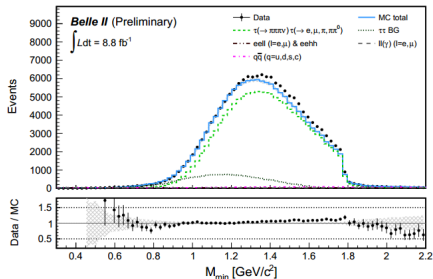
## Precision measurements

- $|V_{us}|$  measurement
- 2<sup>nd</sup> class current search
- Hadronic currents
- Branching fraction measurements
- Lepton universality

## BSM Searches

- LFV, LNV, BNV:  $\tau \rightarrow \ell\gamma$ ,  $\tau \rightarrow 3\ell$ ,  $\tau \rightarrow \ell V^0$ ,  $\tau \rightarrow \mu\eta$ ,  $\tau \rightarrow p\gamma$ ,  $\tau \rightarrow pll'$ ,  $\tau \rightarrow \Lambda\pi\dots$
- CPV:  $\tau^- \rightarrow K_S^0\pi^-\nu_\tau$

arXiv:2008.04665

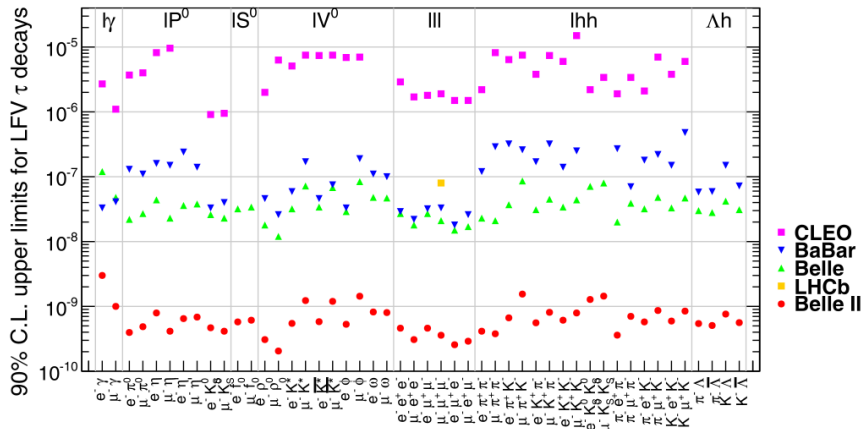


$$m_\tau = 1777.28 \pm 0.75 \pm 0.33 \text{ MeV}/c^2$$



# $\tau$ LFV prospectus @ Belle II

arXiv:1808.10567



Many experiments contributing

Belle II extrapolation to  $50 \text{ ab}^{-1}$  assuming zero background

# Summary

- $B$  factory experiments are  $\tau$ -factory experiment at the same time
- $\tau$  physics covers from precision test of SM to direct new Physics Searches
- Recent  $\tau \rightarrow \ell\gamma$  search @ Belle:
  - # of observed events consistent with background prediction
  - Obtained upper limit @ 90% Confidence level  
 $\mathcal{B}(\tau \rightarrow \mu\gamma) < 4.2 \times 10^{-8} \rightarrow$  **Most stringent Limit**  
 $\mathcal{B}(\tau \rightarrow e\gamma) < 5.6 \times 10^{-8}$
- $\tau \rightarrow p\ell\ell'$  search @ Belle:
  - $\mathcal{B}(\tau^- \rightarrow p\mu^- \mu^-) < 4.0 \times 10^{-8}$  &  $\mathcal{B}(\tau^- \rightarrow \bar{p}\mu^- \mu^+) < 1.8 \times 10^{-8}$  @ 90% confidence level  
**Improve by an order of magnitude than that of LHCb**
  - For  $\tau^- \rightarrow \bar{p}e^+ e^-$ ,  $pe^- e^-$ ,  $\bar{p}e^+ \mu^-$  and  $\bar{p}e^- \mu^+$  channels Belle set the **world's first limit**
- Belle II is currently collecting data with final goal of  $50 \text{ ab}^{-1}$  by 2031.
- Huge amount of data will enable researchers to perform analyses probing new physics or testing SM parameters with high precision

THANK YOU  
FOR YOUR ATTENTION!!

# Backup: Cross sections @ $\Upsilon(4S, 5S)$ peak

Process	$\sigma$ at $\Upsilon(4S)$	$\sigma$ at $\Upsilon(5S)$
$\tau^+\tau^-$	$0.919 \pm 0.003$ nb	$0.875 \pm 0.003$ nb
Di-muon	$1.005 \pm 0.001$ nb	0.951 nb
Bhabha	$123.5 \pm 0.2$ nb	116 nb
$e^+e^-e^+e^-$	40.9 nb	42.0 nb
$e^+e^-\mu^+\mu^-$	18.9 nb	19.4 nb
$e^+e^-u\bar{u}$	11.7 nb	12.0 nb
$e^+e^-s\bar{s}$	0.227 nb	0.233 nb
$e^+e^-c\bar{c}$	0.030 nb	0.0308 nb
$B^0\bar{B}^0$	0.525 nb	0.249 nb
$B^+B^-$	0.525 nb	0.249 nb
$c\bar{c}$	1.3 nb	1.23 nb
$uds$	2.09 nb	1.98 nb

KKMC generates  $e^+e^- \rightarrow \tau^+\tau^-(\gamma)$  events  
 TAUOLA or PYTHIA handles  $\tau$  decays

$$\mathcal{B}(\tau \rightarrow \mu\gamma) < 4.9 \times 10^{-8}$$

$$\mathcal{B}(\tau \rightarrow e\gamma) < 6.4 \times 10^{-8} \text{ @ 90\% CL}$$

90% CL

Belle

BaBar

$\mathcal{B}(\tau \rightarrow \mu\gamma)$

obs:  $4.5 \times 10^{-8}$ , exp:  $8.0 \times 10^{-8}$

obs:  $4.4 \times 10^{-8}$ , exp:  $8.2 \times 10^{-8}$

$\mathcal{B}(\tau \rightarrow e\gamma)$

obs:  $12.0 \times 10^{-8}$ , exp:  $12.0 \times 10^{-8}$

obs:  $3.3 \times 10^{-8}$ , exp:  $9.8 \times 10^{-8}$

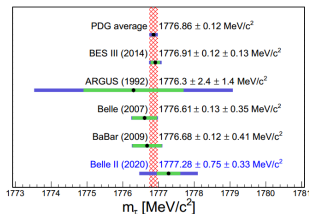
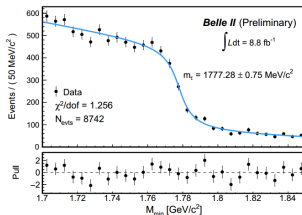
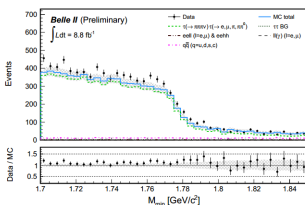
Ref.

PLB (2008)666

PRL (2010)021802

Factor 1.5-1.7 improved

# $\tau$ mass measurement @ Belle II



The leading source of systematic uncertainty is the momentum scale factor, which is expected to be reduced in the near future.