# Latest 3-Flavor Neutrino Oscillation Results From T2K and NOvA



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# Neutrinos and the Standard Model

#### **Standard Model of Elementary Particles**



- Neutrinos in the standard model are massless
- Neutrino Oscillations
  - establish neutrinos have mass
  - physics beyond the standard model

### The Nobel Prize in Physics 2015



Takaaki Kajita Super-Kamiokande Collaboration University of Tokyo, Kashiwa, Japan



Arthur B. McDonald Sudbury Neutrino Observatory Collaboration Queen's University, Kingston, Canada

#### "for the discovery of neutrino oscillations, which shows that neutrinos have mass"

### **3-Flavor Neutrino Oscillations**

$$P(\nu_{\alpha} \to \nu_{\beta}) = \left| \sum_{j} U_{\beta j}^{*} \exp\left[ -i \frac{m_{j}^{2} L}{2E_{\nu}} \right] U_{\alpha j} \right|^{2}$$



Transitions between the known neutrino flavors  $\nu_e, \nu_\mu, \nu_\tau$ at distance ("baseline") *L* and neutrino energy  $E_\nu$ 

### **3-Flavor Neutrino Oscillations**

$$P(\nu_{\alpha} \to \nu_{\beta}) =$$

$$\int U^*_{\beta j} \exp\left[-i\frac{m_j^2 L}{2E_\nu}\right] U_{\alpha j}$$

Unitary PMNS matrix:

- Parameterizes mixing between flavor eigenstates  $\nu_e$ ,  $\nu_\mu$ ,  $\nu_\tau$  and mass eigenstates  $\nu_1$ ,  $\nu_2$ ,  $\nu_3$
- 3 mixing angles  $\theta_{12}$ ,  $\theta_{13}$ ,  $\theta_{23}$
- CP violating phase  $\delta_{CP}$

$$\begin{bmatrix} \nu_{e} \\ \nu_{\mu} \\ \nu_{\tau} \end{bmatrix} = \begin{bmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} \end{bmatrix} \begin{bmatrix} \nu_{1} \\ \nu_{2} \\ \nu_{3} \end{bmatrix}$$

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Current strongest constraints:

- $\theta_{12}$  from solar exp.
- $\theta_{13}$  from reactor exp.
- $\theta_{23}$ ,  $\delta_{CP}$  from long baseline (LBL) accelerator exp.

### **3-Flavor Neutrino Oscillations**

$$P(\nu_{\alpha} \to \nu_{\beta}) = \left| \sum_{j} U_{\beta j}^{*} \exp\left[ -i \frac{m_{j}^{2} L}{2E_{\nu}} \right] U_{\alpha j} \right|^{2}$$

Oscillation probability also depend on mass eigenstate differences  $\Delta m_{ij}^2 = m_i^2 - m_j^2$   $\Delta m^2_{21}\simeq 8\times 10^{-5}~{\rm eV}^2$ 

Strongest constraints from reactor exp.

$$\Delta m_{31}^2 \approx \Delta m_{32}^2 \approx 2 \times 10^{-3} \text{ eV}^2$$

Strongest constraints from reactor and accelerator LBL exp.

# Quark vs. Neutrino Mixing



- Neutrino mixing stronger than quark mixing
- Jarlskog invariant could be  $\mathcal{O}(10^3)$  larger for neutrinos than quarks:

$$J_{CP}^{CKM} \simeq 3 \times 10^{-5} \qquad \qquad J_{CP}^{PMNS} \lesssim 0.03$$

# **Open Questions**



- 1) Is the neutrino mass hierarchy "Normal" or "Inverted"?
  - Symmetry governing order of neutrino and charged lepton masses?



- 2) Maximal mixing of  $\nu_{\mu}$ ,  $\nu_{\tau}$  with  $\nu_2$ ,  $\nu_3$ ?
  - $\theta_{23} = \pi/4$  ?
  - +  $\nu_{\mu} \nu_{\tau}$  symmetry?

- 3) Do neutrinos violate CP?
  - $\delta_{CP}/\pi$  non-integral?
  - May help explain matterantimatter asymmetry in universe

# Long Baseline Accelerator $\nu$ Experiments



High-intensity  $\nu_{\mu}$  or  $\overline{\nu}_{\mu}$ beam at  $E_{\nu}$  ~ 1 to 10 GeV

#### Measure

- +  $\nu_{\mu}$  and  $\overline{\nu}_{\mu}$  disappearance
- +  $\nu_e$  and  $\overline{\nu}_e$  appearance over baseline of 100s of km

Count charged current (CC) interactions (mostly  $\nu A$ )

- $\nu$  flavor determined from final state  $\mu^{\pm}, e^{\pm}$
- $E_{\nu}$  measured from final state particles

# $\nu_{\mu}$ and $\overline{\nu}_{\mu}$ Disappearance

$$P\left(\stackrel{(-)}{\nu}_{\mu} \rightarrow \stackrel{(-)}{\nu}_{\mu}\right) \approx 1 - \sin^2(2\theta_{23})\sin^2\left(\frac{1.27\Delta m_{32}^2 L}{E_{\nu}}\right)$$



Oscillation "dip" in  $\stackrel{(-)}{\nu}_{\mu}E_{\nu}$ spectrum at far detector:

• Depth: 
$$\sin^2(2\theta_{23})$$

• Position:

 $\Delta m^2_{32}$  and L (fixed)

# $\nu_e$ and $\overline{\nu}_e$ Appearance

$$P(\nu_{\mu} \rightarrow \nu_{e}) \text{ and } P(\overline{\nu}_{\mu} \rightarrow \overline{\nu}_{e})$$

- Leading dependence on  $\sin^2 \theta_{23}, \ \sin^2 \theta_{13}, \ |\Delta m_{32}^2|$
- Sub-leading dependence on  $\delta_{CP} \text{ and mass hierarchy } (\pm \Delta m_{32}^2)$



# $\nu_e$ and $\overline{\nu}_e$ Appearance: Mass Hierarchy

$$P(\nu_{\mu} \rightarrow \nu_{e}) \text{ and } P(\overline{\nu}_{\mu} \rightarrow \overline{\nu}_{e})$$

- Leading dependence on  $\sin^2 \theta_{23}, \ \sin^2 \theta_{13}, \ |\Delta m_{32}^2|$
- Sub-leading dependence on  $\delta_{CP} \text{ and mass hierarchy } (\pm \Delta m_{32}^2)$

### MSW ("Matter") Effect:

- $\nu_e$ ,  $\overline{\nu}_e$  forward scattering in matter changes effective masses of neutrinos
- Normal Hierarchy:  $\Uparrow \nu_e, \Downarrow \overline{\nu}_e$  app.
- Inverted Hierarchy:  $\Downarrow \nu_e, \Uparrow \overline{\nu}_e$  app.
- Size of effect is
  - ~10% for T2K (L = 296 km)
  - ~30% for Nova (L = 810 km)



# $\nu_e$ and $\overline{\nu}_e$ Appearance: $\theta_{23}$ Octant

$$P(\nu_{\mu} \rightarrow \nu_{e}) \text{ and } P(\overline{\nu}_{\mu} \rightarrow \overline{\nu}_{e})$$

- Leading dependence on  $\sin^2 \theta_{23}, \ \sin^2 \theta_{13}, \ |\Delta m_{32}^2|$
- Sub-leading dependence on  $\delta_{CP} \text{ and mass hierarchy } (\pm \Delta m_{32}^2)$

### For non-maximal mixing:

- Lower Octant:  $\theta_{23} < \pi/4$
- Upper Octant:  $\theta_{23} > \pi/4$



# $\nu_e$ and $\overline{\nu}_e$ Appearance: $\delta_{CP}$

$$P(\nu_{\mu} \rightarrow \nu_{e}) \text{ and } P(\overline{\nu}_{\mu} \rightarrow \overline{\nu}_{e})$$

- Leading dependence on  $\sin^2 \theta_{23}, \ \sin^2 \theta_{13}, \ |\Delta m_{32}^2|$
- Sub-leading dependence on  $\delta_{CP} \text{ and mass hierarchy } (\pm \Delta m_{32}^2)$

 $\delta_{CP}$  can give an asymmetry between  $\nu_e$  and  $\overline{\nu}_e$  appearance:

- $\delta_{CP} = \pi/2$ :  $\Downarrow \nu_e$ ,  $\Uparrow \overline{\nu}_e$  app.
- $\delta_{CP} = 3\pi/2$ :  $\Uparrow \nu_e, \Downarrow \overline{\nu}_e$  app.
- +  $\delta_{CP} = 0, \ \pi$  : CP conserved



# T2K Experiment



- $\nu_{\mu}~(\overline{
  u}_{\mu})$  beam generated at J-PARC
- Far detector (Super Kamiokande) at L = 295 km
- Narrow-band neutrino beam (red) peaked at  $E_{\nu}$  = 0.6 GeV near oscillation maxima at L = 295 km



# T2K Near Detector: ND280



#### ND280

- CH and water targets (2000 kg)
- Magnetized tracker to measure momentum and charge
- Constrains neutrino interaction and flux models



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# T2K Far Detector: Super Kamiokande



- 50 kt water-Cherenkov detector
- Inner detector
  - 11k 20" PMTs
  - 40% photo coverage
- Outer detector
  - 2k 8" PMTs
  - Cosmic veto and exiting particles
- Particle ID by Cherenkov ring pattern:
  - $\mu^{\pm}$  sharp rings
  - e<sup>±</sup> blurred rings due to showering







# **T2K Oscillation Analysis**



- Analysis strategy is to define a model and constrain with external and T2K data
- Perform different analyses to extract oscillation parameters and cross-check:
  - Sequential ND-FD vs. simultaneous fit
  - Frequentist vs. Bayesian statistics

#### Near Detector: ND280



#### Far Detector: Super Kamiokande







# T2K $\nu_{\mu}$ , $\overline{\nu}_{\mu}$ Data



- Two samples (1  $\nu$ -mode and 1- $\overline{\nu}$  mode) with  $\mu$ -like rings
- Systematic uncertainty (red band) on best-fit is 3.0% (4.0%) in  $\nu$ -mode ( $\overline{\nu}$ -mode)

# T2K $\nu_e$ , $\overline{\nu}_e$ Data



Three samples with electron-like Cherenkov rings

- Two (1  $\nu$ -mode and 1  $\overline{\nu}$ -mode) with e-ring only targeting 0 $\pi$  events
- One in  $\nu$ -mode with e-ring and e from  $\pi$  decay targeting  $1\pi$  events

Systematic uncertainty (red band) on best-fit is 4.7-5.9% for  $0\pi$  samples and 14.3% for  $1\pi$  sample

# T2K Results: $\Delta m_{32}^2$ , $\theta_{23}$



Preference for normal hierarchy and upper octant



# T2K Results: $\nu_e$ , $\overline{\nu}_e$ Appearance



~45% difference in electron-like event rate between  $\delta_{CP} = \pm \pi/2$ 

# T2K Results: $\nu_e$ , $\overline{\nu}_e$ Appearance



~45% difference in electron-like event rate between  $\delta_{CP} = \pm \pi/2$ 

Preference for hierarchy-octant- $\delta_{CP}$  combination giving enhanced  $\nu_e$  appearance

• Normal hierarchy, upper octant

• 
$$\delta_{CP}$$
 near  $-\pi/2$ 



# T2K Results: $\delta_{CP}$



35% of δ<sub>CP</sub> values excluded at 3σ marginalized over hierarchies
 CP conserving values (δ<sub>CP</sub> = 0, π) excluded at >90%

# NOvA Experiment



# NOvA Neutrino Beam



# **NOvA Detectors**



### **NOvA Near and Far Detectors**

- Functionally equivalent tracking calorimeters
- Extruded PVC cells filled with liquid scintillator (mineral oil + 5% pseudocumene)
- WLS fiber collects and transports light to APD
- Optimized for electron ID: Low-Z, 62% active

#### Far Detector

- 14 kton, 344k channels
- 810 km from source
   Near Detector
- 0.3 kton, 20k channels
- 1 km from source

### **NOvA Event Topologies**



# **NOvA Event Classification**



Events classified by a Convolutional Neural Network (CNN)

- Computer vision technique
- Learns topological features
- Maps features to analysis event categories

# **NOvA Far Detector Predictions**



Simulated ND spectra corrected to ND data and extrapolated to FD, accounting for

- Energy smearing
- Acceptance and selection efficiency
- Beam divergence
- Oscillations

Data-driven FD predictions of

- $u_{\mu}, \, \overline{
  u}_{\mu} \, \text{disappearance}$
- $\nu_e, \, \overline{\nu}_e$  appearance
- Beam backgrounds

Uncertainties correlated between detectors significantly reduced:

• e.g. Flux:  $7\% \rightarrow 0.3\%$ 

# NOvA $\nu_{\mu}, \, \overline{\nu}_{\mu}$ Data



# NOvA $\nu_e, \ \overline{\nu}_e$ Data



# NOvA Results: $\Delta m_{32}^2$ , $\theta_{23}$



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# NOvA Results: $\theta_{23}$ , $\delta_{CP}$



#### **Best Fit:**

- Normal Hierarchy, Upper Octant
- $\Delta m_{32}^2 = +2.41 \pm 0.07 \ (10^{-3} \text{ eV}^2)$
- $\sin^2\theta_{23} = 0.57 + 0.03/ 0.04$

• 
$$\delta_{CP} = 0.82\pi$$

# NOvA Results: $\nu_e/\overline{\nu}_e$ Appearance Asymmetry



No strong asymmetry observed in  $\nu_e$  and  $\overline{\nu}_e$  appearance rates

# NOvA Results: $\nu_e / \overline{\nu}_e$ Appearance Asymmetry



No strong asymmetry observed in  $\nu_{\rho}$  and  $\overline{\nu}_{\rho}$  appearance rates

producing strong asymmetry disfavored

- IH,  $\delta_{CP} = \pi/2$  excluded at >3 $\sigma$
- NH,  $\delta_{CP} = 3\pi/2$  disfavored at ~2 $\sigma$

# NOvA Results: $\nu_e/\overline{\nu}_e$ Appearance Asymmetry



No strong asymmetry observed in  $\nu_{\rho}$  and  $\overline{\nu}_{\rho}$  appearance rates



No strong preferences for hierarchy, octant:

- Normal hierarchy preferred at  $1.0\sigma$
- Upper octant preferred at  $1.2\sigma$

Consistent with hierarchy-octant- $\delta_{CP}$ combinations giving "cancellation" of asymmetry

# World Results



Consistency amongst precision measurements of  $\sin^2 \theta_{23}$  and  $\Delta m_{32}^2$ 



- NOvA and T2K are narrowing allowed regions in  $\delta_{CP}$
- Quantifying consistency requires joint analysis of NOvA and T2K data

# T2K and NOvA: MH and $\delta_{CP}$



#### Nova and T2K each have mild preference for the NH

- In the IH, NOvA and T2K each have preference for  $\delta_{CP}$  near  $3\pi/2$
- A NOvA-T2K joint fit could converge on the IH [Phys. Rev. D 103, 013004]



# **NOvA-T2K Joint Analysis**



- NOvA and T2K have different energies, baselines, and degeneracies
- Collaboration formed to perform joint analysis of NOvA and T2K data
- Leverage statistics and break degeneracies
- Aiming for initial results in 2022

## NOvA-T2K Joint Analysis



#### NOvA-T2K, Fermilab



#### NOvA-T2K, J-PARC



# Summary

- Latest 3-flavor  $\nu$  oscillation results from NOvA and T2K prefer
  - normal mass hierarchy
  - $\theta_{23}$  upper octant
- T2K observes stronger  $\nu_e, \, \overline{\nu}_e$  appearance asymmetry than NOvA
- NOvA and T2K are narrowing allowed regions in  $\delta_{CP}$
- Joint analysis of NOvA and T2K data underway

### **T2K Systematic Uncertainties**

#### After ND Fit

Before ND Fit

Table 20: Uncertainty on the number of event in each SK sample broken by error source after the BANFF fit. To obtain error rates comparable with the "Flux+Xsec (ND constrained)" presented by MaCh3 [22], square sum the "Flux+Xsec (ND constr)", " $\sigma(\nu_e)$ ,  $\sigma(\bar{\nu}_e)$ ", "NC  $\gamma$ ".

Table 21: Uncertainty on the number of event in each SK sample broken by error source before the BANFF fit.

	$ $ 1R $\mu$ $ $		1Re				
Error source	FHC	RHC	FHC	RHC	FHC CC1 $\pi^+$	FHC/RHC	
Flux Cross-section (all) SK+SI+PN	$   5.1\% \\ 10.1\% \\ 2.9\%$	$\begin{array}{c} 4.7\% \\ 10.1\% \\ 2.5\% \end{array}$	$ \begin{array}{c} 4.8\% \\ 11.9\% \\ 3.3\% \end{array}$	$\begin{array}{c} 4.7\% \\ 10.3\% \\ 4.4\% \end{array}$	4.9% 12.0% 13.4%	$\begin{array}{ c c c c c c c c c c c c c c c c c c c$	
Total	11.1%	11.3%	13.0%	12.1%	18.7%	10.7%	

	1I	$R\mu$			$1 \mathrm{R}e$	
Error source	FHC	RHC	FHC	RHC	FHC CC1 $\pi^+$	FHC/RHC
Flux	2.9	2.8	2.8	2.9	2.8	1.4
Xsec (ND constr)	3.1	3.0	3.2	3.1	4.2	1.5
Flux+Xsec (ND constr)	$\parallel 2.1$	2.3	2.0	2.3	4.1	1.7
2p2h Edep	0.4	0.4	0.2	0.2	0.0	0.2
$\mathrm{BG}_{A}^{\mathrm{RES}}$ low- $p_{\pi}$	0.4	2.5	0.1	2.2	0.1	2.1
$\sigma( u_e),\sigma(ar{ u}_e)$	0.0	0.0	2.6	1.5	2.7	3.0
NC $\gamma$	0.0	0.0	1.4	2.4	0.0	1.0
NC Other	0.2	0.2	0.2	0.4	0.8	0.2
SK	2.1	1.9	3.1	3.9	13.4	1.2
Total	3.0	4.0	4.7	5.9	14.3	4.3

# **NOvA Systematic Uncertainties**



### NOvA FD Event Counts

	Neutrino	beam	Antineutrino beam		
	$ u_{\mu}  { m CC}$	$\nu_e  {\rm CC}$	$\overline{ u}_{\mu}  { m CC}$	$\bar{\nu}_e  {\rm CC}$	
$\overline{ u_{\mu}  ightarrow  u_{\mu}}$	201.1	1.7	26.0	0.2	
$\bar{ u}_{\mu}  ightarrow \bar{ u}_{\mu}$	12.6	0.0	77.2	0.2	
$ u_{\mu}  ightarrow  u_{e}$	0.1	59.0	0.0	2.3	
$\bar{\nu}_{\mu}  ightarrow \bar{\nu}_{e}$	0.0	1.0	0.0	19.2	
Beam $\nu_e + \overline{\nu}_e$	0.0	14.1	0.0	7.3	
NC	2.6	6.3	0.8	2.2	
Cosmic	5.0	3.1	0.9	1.6	
Others	0.9	0.5	0.4	0.3	
Signal	$214.1^{+14.4}_{-14.0}$	$59.0^{+2.5}_{-2.5}$	$103.4^{+7.1}_{-7.0}$	$19.2^{+0.6}_{-0.7}$	
Background	$8.2^{+1.9}_{-1.7}$	$26.8^{+1.6}_{-1.7}$	$2.1^{+0.7}_{-0.7}$	$14.0^{+0.9}_{-1.0}$	
Best fit	222.3	85.8	105.4	33.2	
Observed	211	82	105	33	

Event counts at the FD, both observed and predicted at the best-fit point

### **NOvA Future Sensitivities**



~2.5X increase in  $\nu$  and  $\overline{\nu}$  exposure

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~2.5X increase in  $\nu$  and  $\overline{\nu}$  exposure

### **NOvA Oscillation Probabilities**

 $\nu_e, \, \overline{\nu}_e \, \text{Appearance}$ 





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# NOvA $E_{\nu}$ Resolution



calorimetric energy

calorimetric energies

# T2K Beam Exposure



Accumulated protons on target (POT) for these results

- $\nu$ -mode:  $1.97 \times 10^{21}$  POT
- $\overline{\nu}$ -mode:  $1.63 \times 10^{21}$  POT

33% increase in  $\nu$ -mode exposure (Run 10) for these results

# NOvA Beam Exposure



Accumulated protons on target (POT) for these results (2020 analysis)

- $\nu$ -mode:  $1.36 \times 10^{21}$  POT
- $\overline{\nu}$ -mode:  $1.25 \times 10^{21}$  POT

54% increase in  $\nu$ -mode exposure over 2019 analysis

### **T2K Neutrino Beam**





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# **NOvA Neutrino Beam**



120 GeV protons from Fermilab Main Injector on graphite target

Magnetic horns focus produced  $\pi^{\pm}, K^{\pm}$  down decay tunnel

Horn polarity gives  $u_{\mu}$  or  $\overline{\nu}_{\mu}$  enhanced beam

Near and Far detectors 14.6 mrad off-axis giving narrow band beam peaked near 2 GeV

### **T2K Near Detectors**



- 2.5° off-axis (same as Super-K)
- CH and water targets (2000 kg)
- Magnetized tracker to measure momentum and charge
- Constrains neutrino interaction and flux models

### **INGRID**

- **On-axis detector**
- Monitors beam direction and stability

# **T2K Oscillation Analysis**



- Analysis strategy is to define a model and constrain with data
- Perform different analyses and cross-check:
  - Sequential ND-FD vs. simultaneous fit
  - Bayesian vs. Frequentist

Joint fit of

- $\nu_{\mu}, \, \overline{\nu}_{\mu}$  disappearance
- $\nu_e, \, \overline{\nu}_e$  appearance

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