



中国科学院高能物理研究所
Institute of High Energy Physics Chinese Academy of Sciences

3D Crystal Calorimeter: R&D status

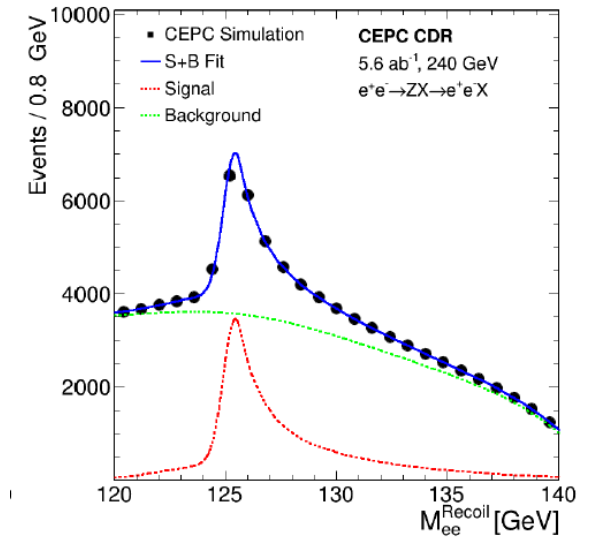
Yong Liu (Institute of High Energy Physics, CAS),
on behalf of the CEPC Crystal Calorimeter Team

CEPC Day
Dec. 28, 2020



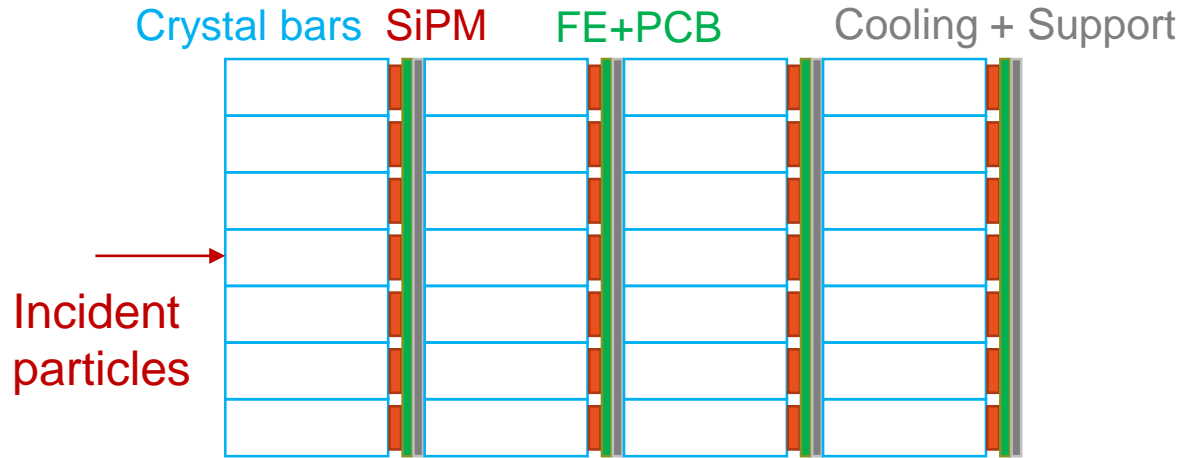
Motivations (reminder)

- Background: future lepton colliders (e.g. CEPC)
 - Precision measurements with Higgs and Z/W
- Why crystal calorimeter?
 - Homogeneous structure
 - Optimal intrinsic energy resolution: $\sim 3\%/\sqrt{E} \oplus \sim 1\%$
 - Energy recovery of electrons: to improve Higgs recoil mass
 - Corrections to the Bremsstrahlung of electrons
 - Capability to trigger single photons
 - Flavour physics at Z-pole, potentials in search of new physics, ...
- Fine segmentation
 - PFA capability for precision measurements of jets



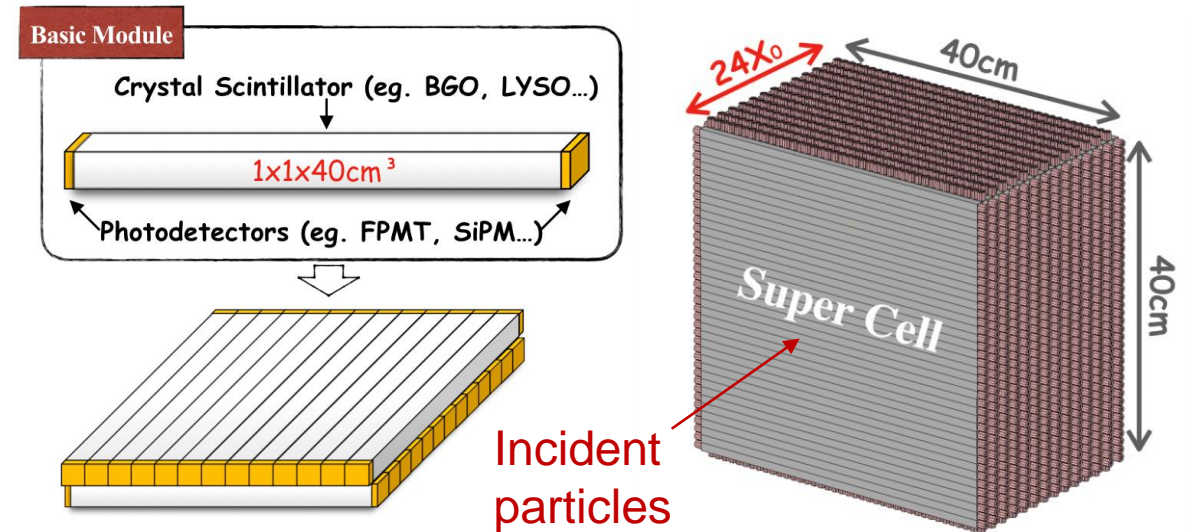
3D crystal ECAL: 2 major designs

Design 1



- Longitudinal segmentation
- Fine transverse segmentation
- Single-ended readout with SiPM
- Potentials with PFA

Design 2

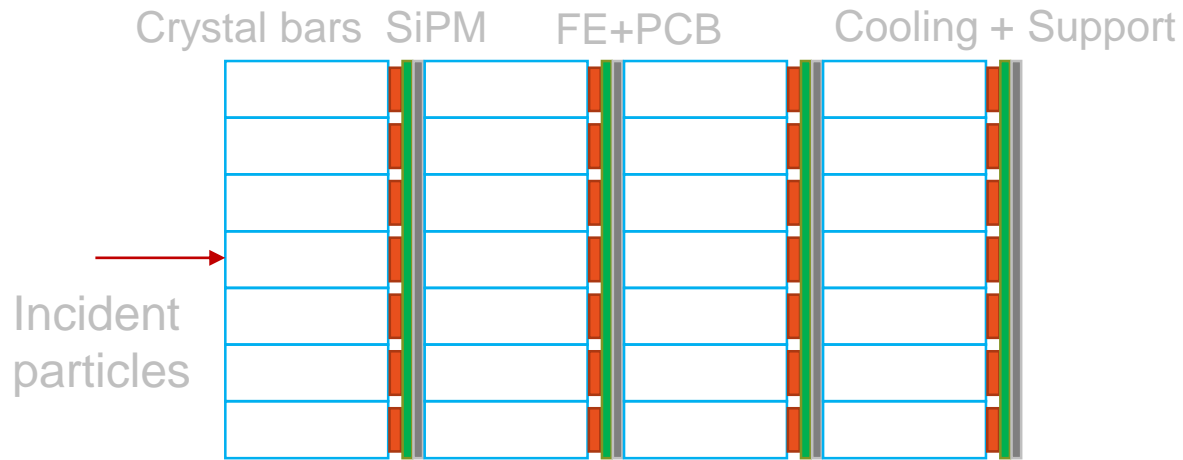


- Long bars: 1x40cm, double-sided readout
 - Super cell: 40x40cm (24X0 depth)
- Crossed arrangement in adjacent layers
- Significant reduction of #channels
- Timing at two sides: positioning along bar



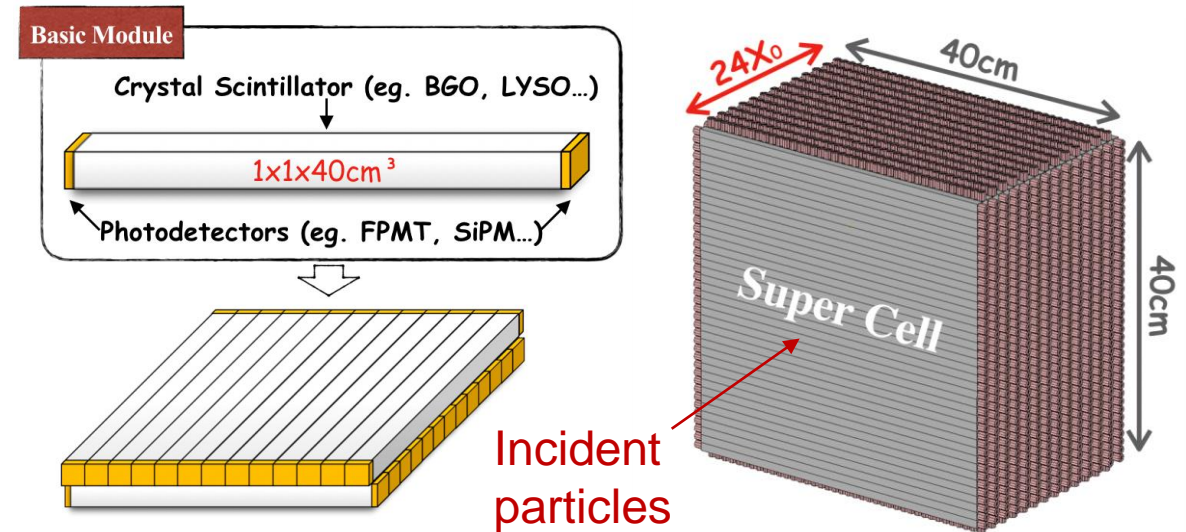
3D crystal ECAL: 2 major designs

Design 1: short bars



- Longitudinal segmentation
- Fine transverse segmentation
 - $1 \times 1\text{cm}$ or $2 \times 2\text{cm}$ cells
- Single-ended readout with SiPM
- Potentials with PFA

Design 2: long bars (current focus)



- **Advantages**
 - Longitudinal granularity: e.g. 28 layers of BGO, 1cm per layer
 - Save #channels: e.g. ~15 times less
 - De facto 3D calorimeter: timing for hit positions for transverse granularity
- **Key issues**
 - Ambiguity: multiple incident particles within one super cell
 - Separation of nearby showers
 - Impact on the Jet Energy Resolution (JER)



General status

- Reminders from physics
 - Multiplicity with jets
 - Impressions about jets from event display
- R&D progress
 - Selected results from the weekly group meetings
 - <https://indico.ihep.ac.cn/category/748/>
 - Implementations in the new software framework CEPCSW
 - EM shower studies: comparison of bar geometry with cubes
 - Hardware progress
 - Preparations of a test stand for crystal-SiPM readout
 - Key question: timing resolution, digitization model



Studies on physics requirements: reminder

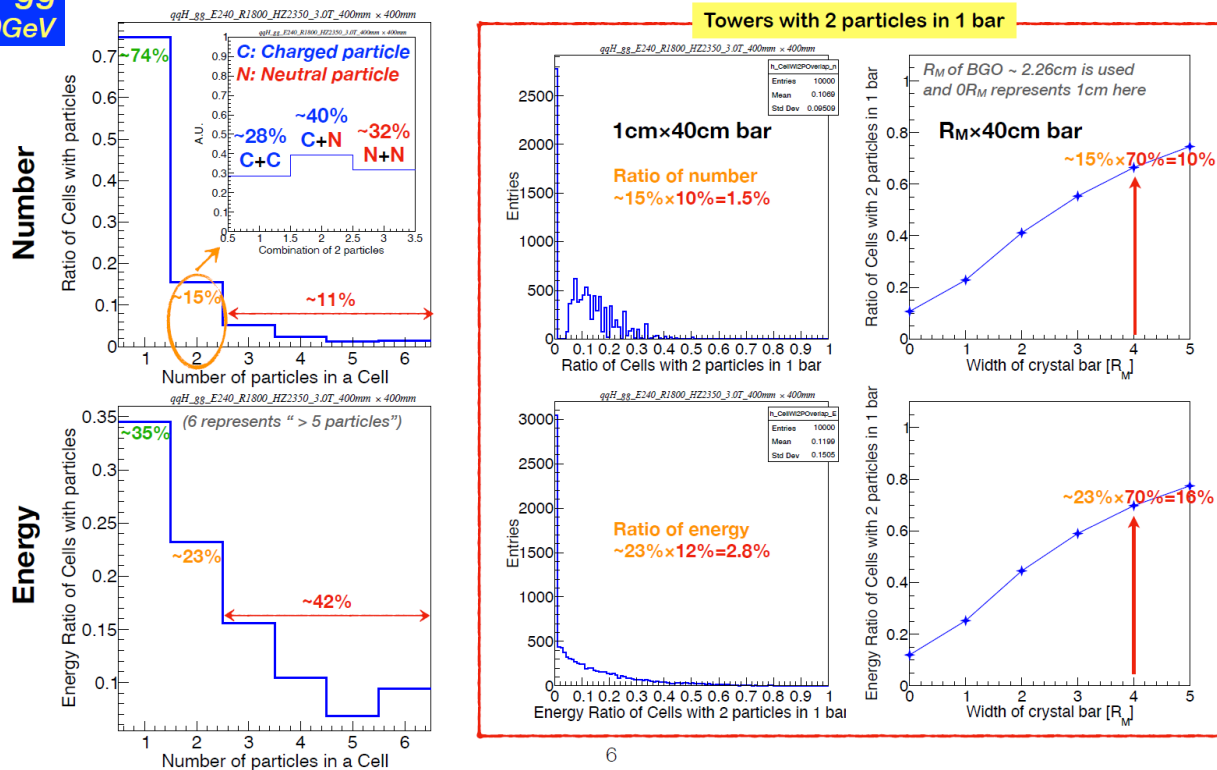
Yuexin Wang (IHEP)

- Estimate the multiplicity level of jets: fast simulation
 - Detailed studies with incident particles (from a jet) hitting the hottest tower

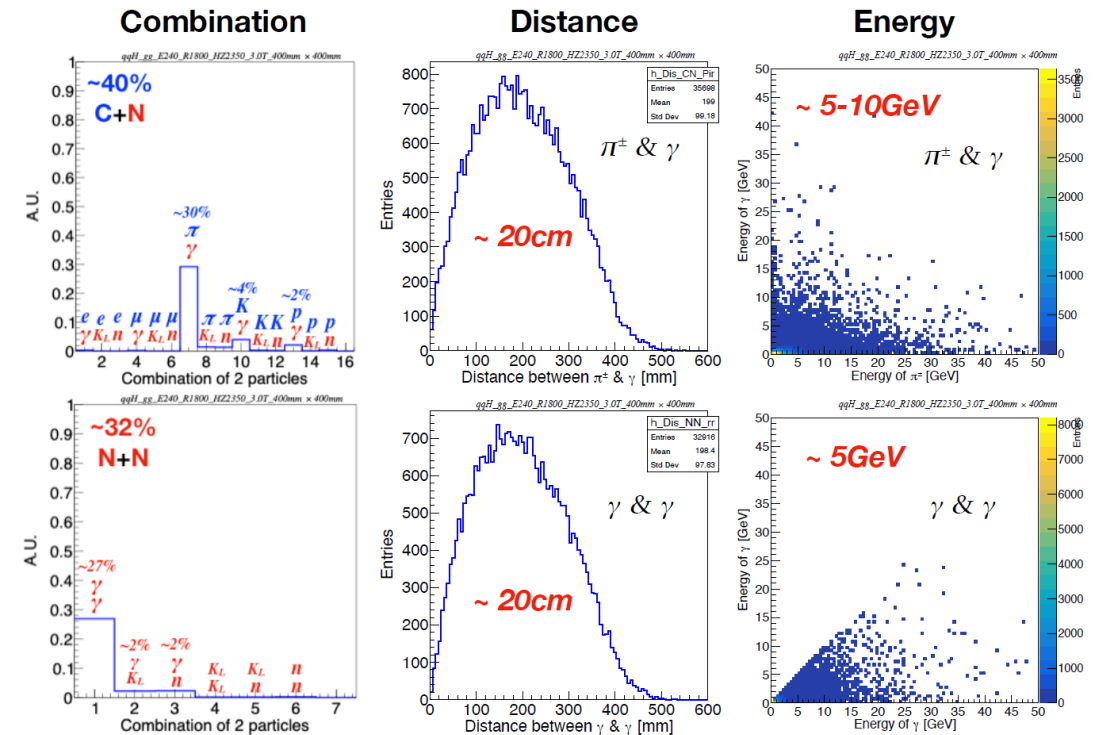
Z → qq
H → gg
240GeV

Z → qq
H → gg
240GeV

Multiplicity in a 40cm×40cm tower



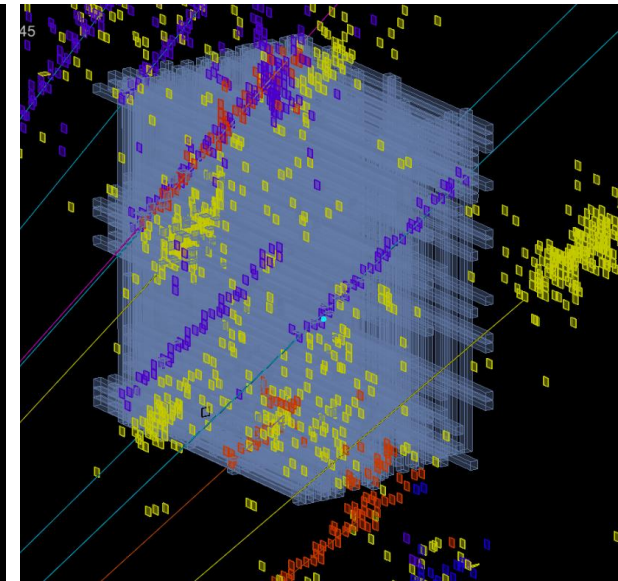
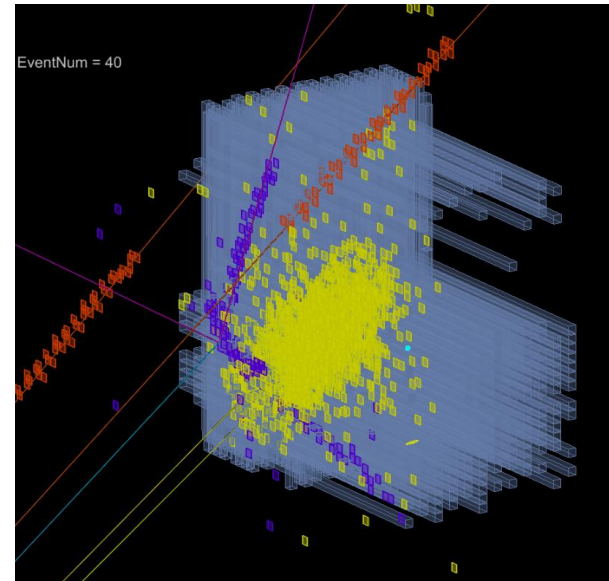
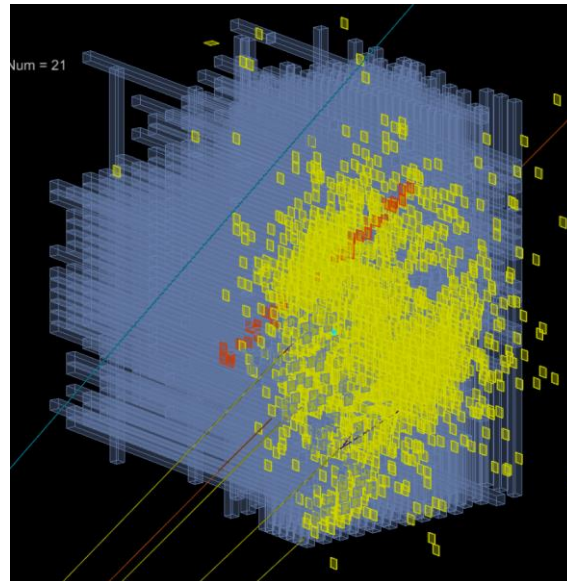
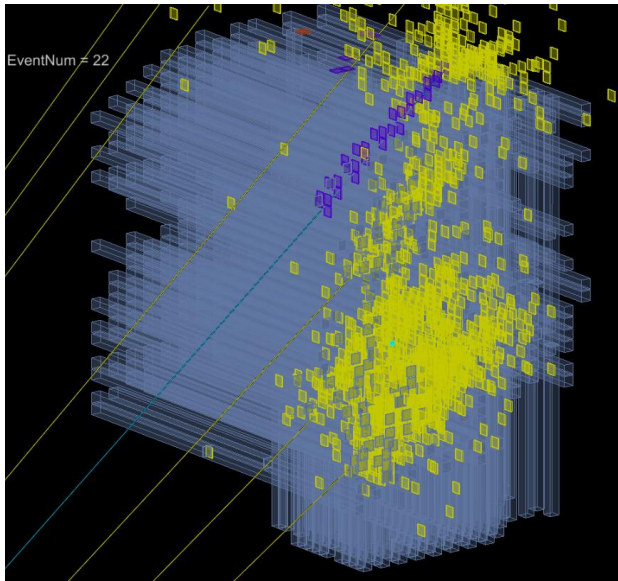
Tower with 2 particles: distance & energy distribution



Jets in Event Display

Di-jet events from $Z \rightarrow qq$

- Impressions of topology of EM/hadron showers within jets
- Intuitive guidance for the reconstruction development
- Current strategy: first studies with (close-by) EM showers, then hadron showers (due to the intrinsic complexity)



Multiple gammas and a charged pion

Multiple gammas and hadrons



Crystal Calorimeter in CEPCSW

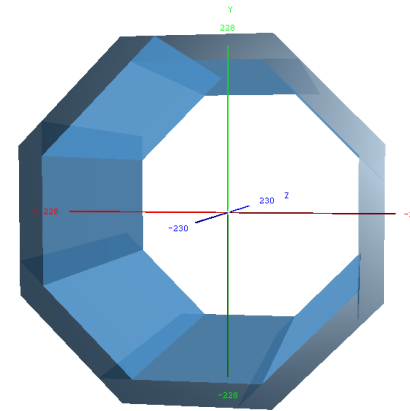
- Detector geometry implementation (done)
- Simulation and digitization (done)
- Reconstruction (under development)
 - Clustering of crystal bars and cluster splitting
 - Time/energy matching to veto ghost hits.
- Performance check (under development)



Geometry Construction in CEPCSW

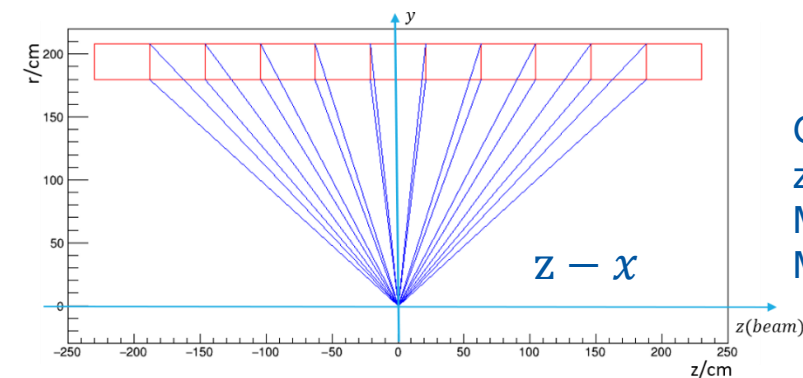
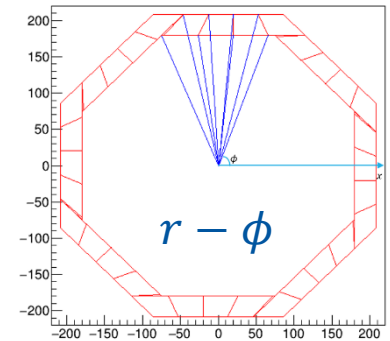
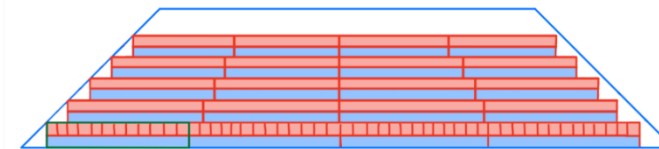
Fangyi Guo (IHEP)

- A complete barrel ECAL implemented
 - BGO crystal bars: $1\text{cm} \times 1\text{cm} \times \sim 40\text{cm}$
 - Every 2 layers of vertically intersected bars
 - Readout at two ends
- General information
 - 8 identical staves (trapezoids)
 - Avoid projectile cracks pointing to the IP
 - Ideal layout: excluding electronics and mechanics
 - Gaps identified
- DD4Hep used for geometry construction



Inner radius $R = 1.8\text{m}$
Length along beam line $L = 4.6\text{m}$
Total depth $H = 28\text{cm}$

BGO: $X_0 = 1.12\text{cm}$, $R_M = 2.23\text{cm}$



Gaps in $r - \phi$ and $z - x$ planes
Minimum $\Delta\phi = 0.85^\circ$
Minimum $\Delta\theta = 0.89^\circ$



- Simulation performed with Geant4 in CEPCSW
 - Electromagnetic interactions (current focus)

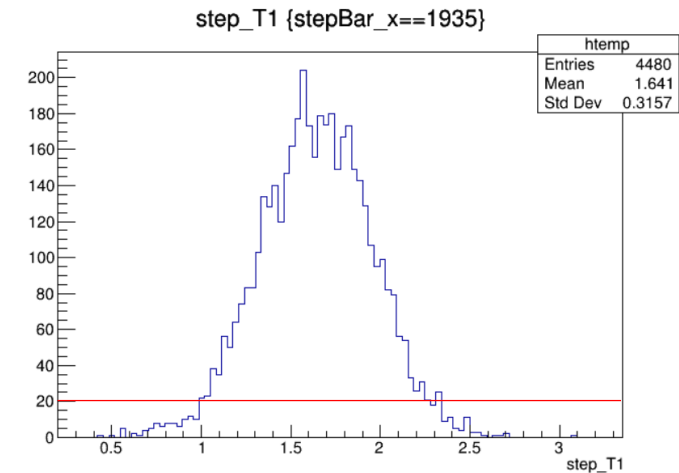
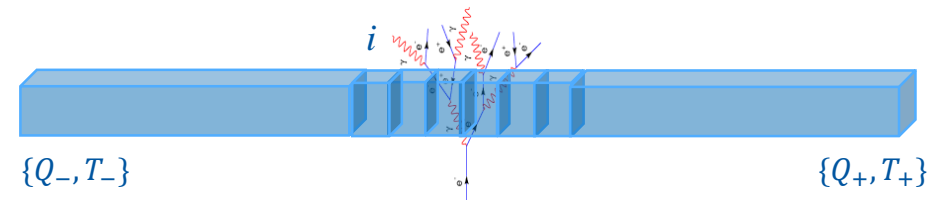
- Digitization for each long crystal bar

- Readout at two ends: charge Q and time T
- Contribution from the i-th G4step

- $Q_{\pm}^i = E_0 \cdot \exp\left(-\frac{L_{\pm} z_i}{L_{Att}}\right); T_{\pm}^i = T_0 + \text{Gaus}(z_{\pm}^i/v, \sigma_T)$

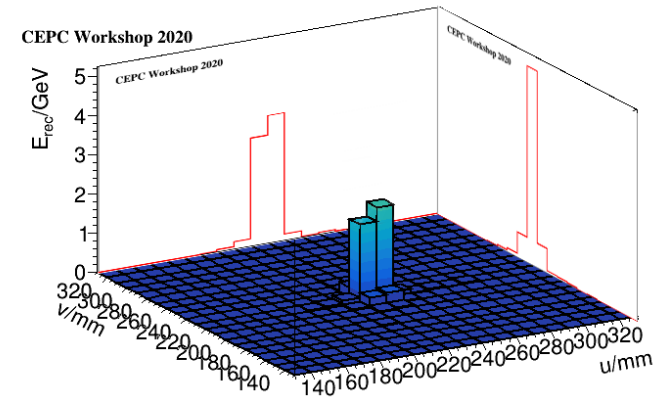
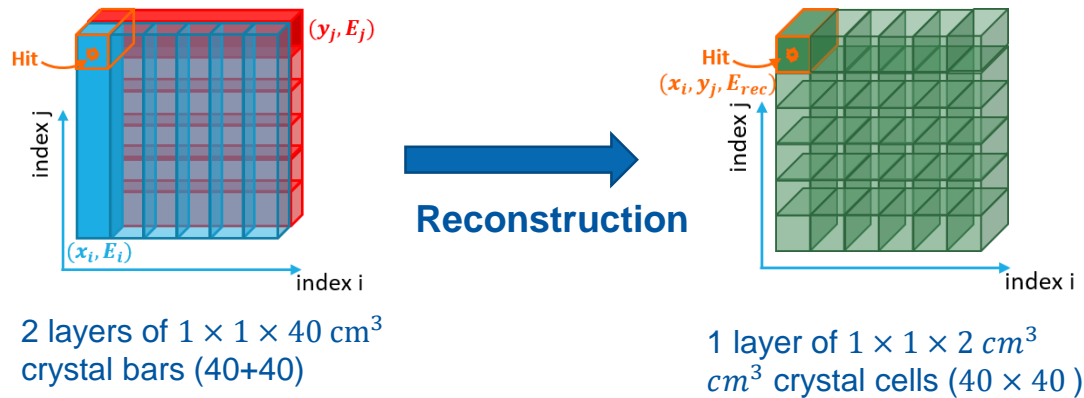
- For a full bar:

- $Q_{\pm} = \sum_{\text{step}} Q_{\pm}^i$
- $T_{\pm} = T_{\pm}^k | (\sum_{i=1}^k Q_{\pm}^i > \epsilon Q_{\pm}^{\text{tot}}), \epsilon = 5\%$.
- Considering a simplified scenario: no light attenuation along bars ($L_{Att} = \infty$), $Q_{\pm} \propto E_{\text{tot}}$



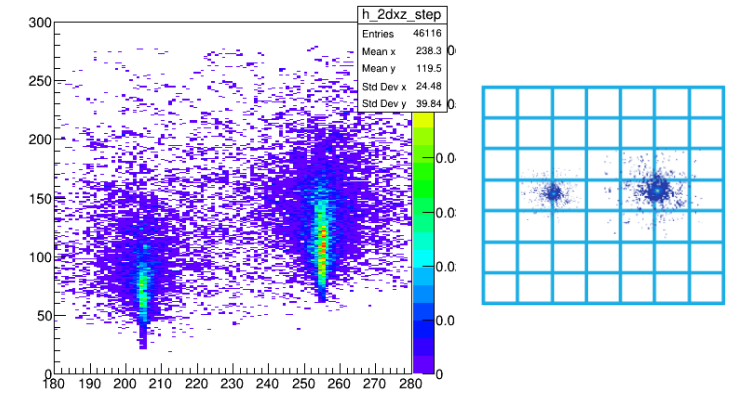
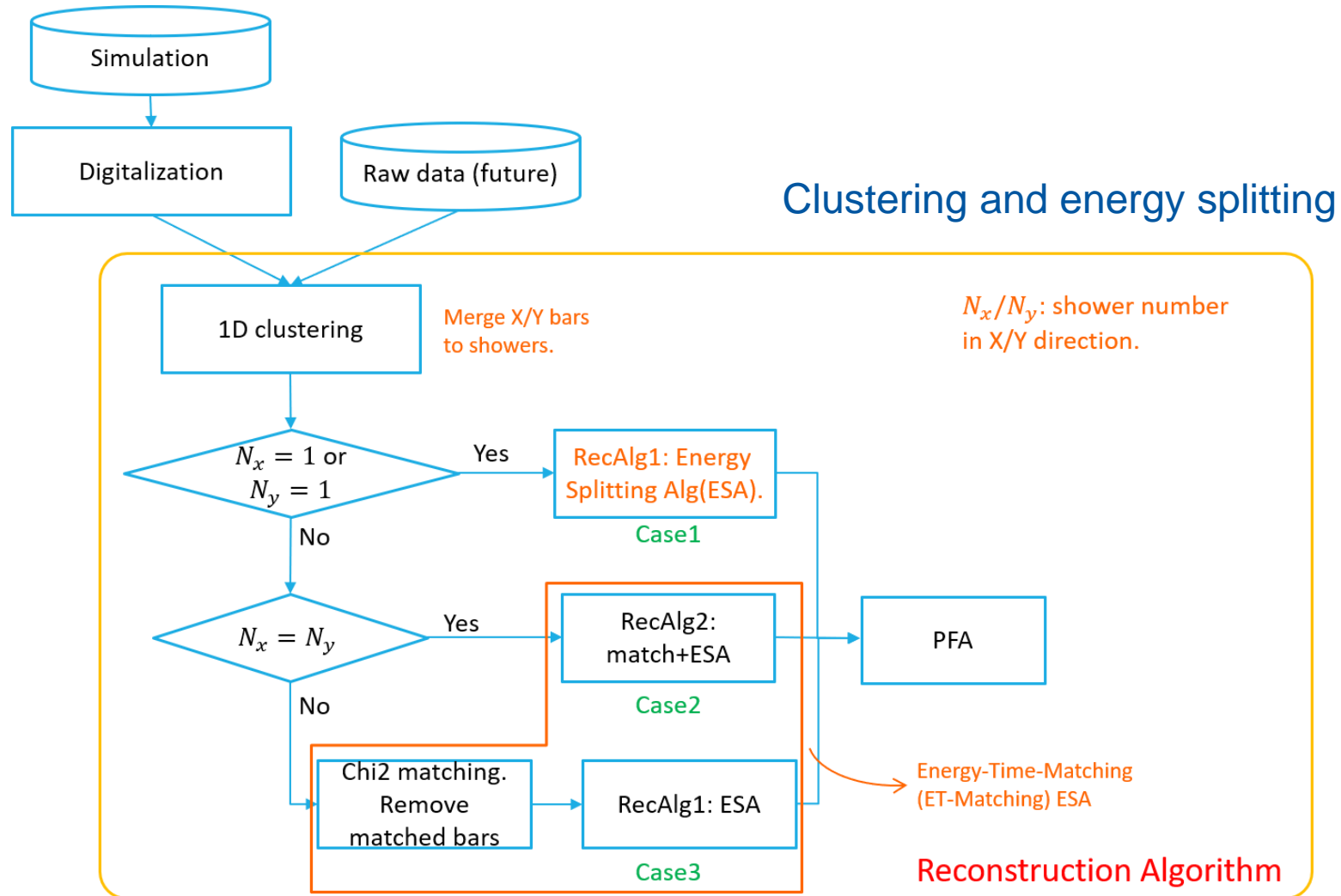
Hit reconstruction in CEPCSW

- Hit reconstruction: locating hits in 2 crossed bars.
 - Position: $(x_i, y_j, \frac{(z_i+z_j)}{2})$
 - Energy: use energy distributed in crossed bars as a energy fraction:
 - $E_{rec} = E_i \times f_i + E_j \times f_j$, where $f_i = \frac{E_j}{\Sigma E_j}$, $f_j = \frac{E_i}{\Sigma E_i}$
- MC-Truth level hits: merge G4steps in each $1 \times 1 \times 1 \text{ cm}^3$ cube as a truth hit.
- Time information: $x_T = x_{bar} + \frac{T1-T2}{2} v$.
 - Match x_T with x_i to veto ghost hits.



Reconstruction algorithm in CEPCSW

Fangyi Guo (IHEP)

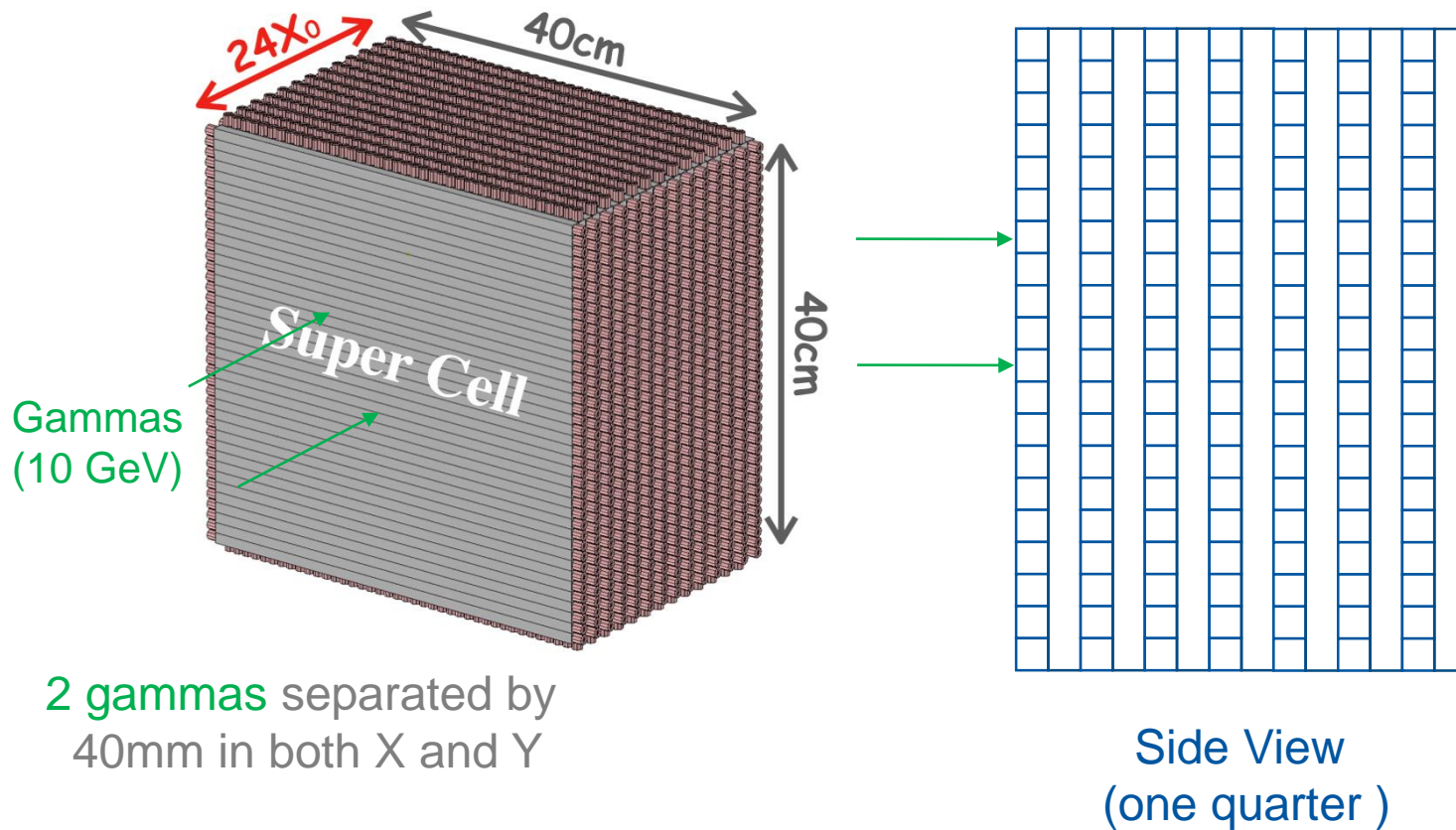


- Sort out information to feed PFA
- Used the knowledge on the traditional crystal calorimeter (e.g. BESIII EMC)
- Working on the implementation in CEPCSW
- Performance check to be done



EM shower studies with crystal bars in Geant4

- Two sets of reconstructed positions
 - Hits (energy weights) and timing difference

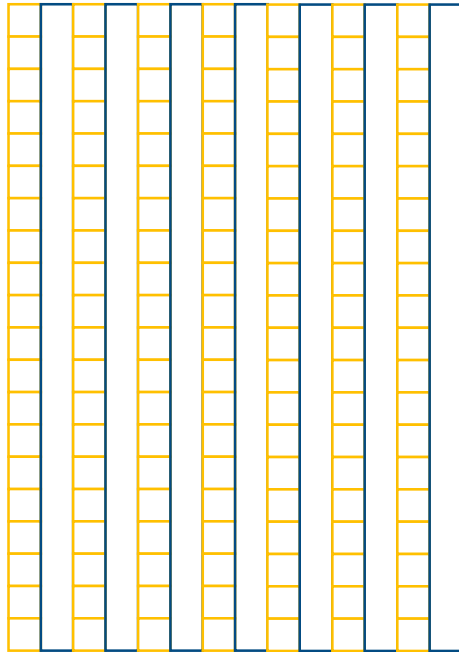


- Reconstructed positions from hits
 - Fine granularity: 10mm
 - Even layers: Y
 - Odd layers: X
- Reconstructed positions from timing
 - Complementary to hit positions
 - Even layers: X
 - Odd layers: Y
 - Constraints from timing resolution



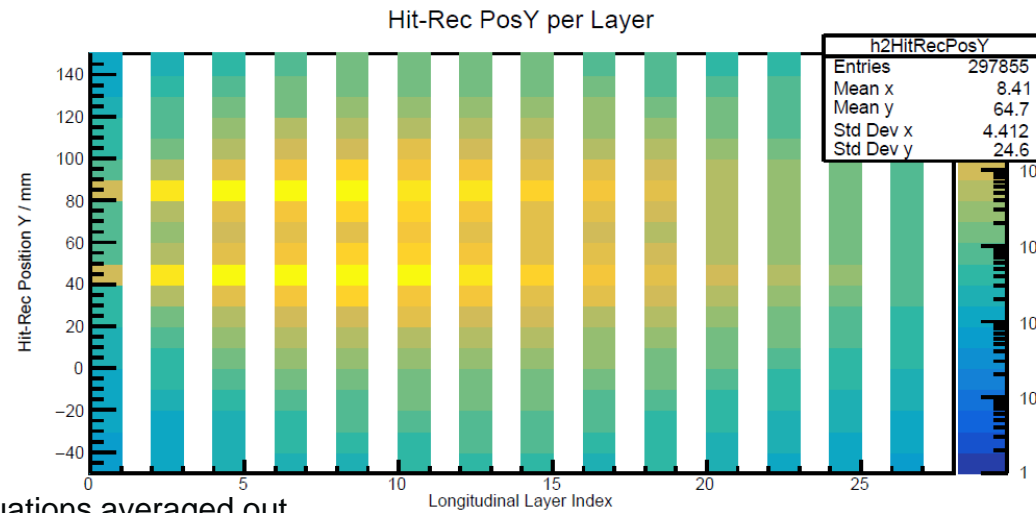
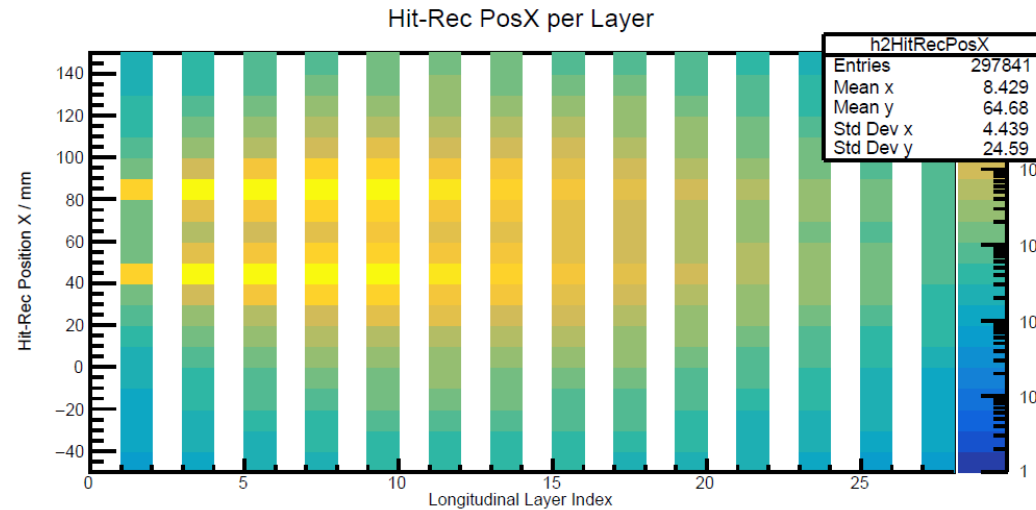
Reconstructed positions from hits

2 gammas separated by 40mm in both X and Y



(Side View)

Fine granularity: hit positions



• Reconstructed positions from hits

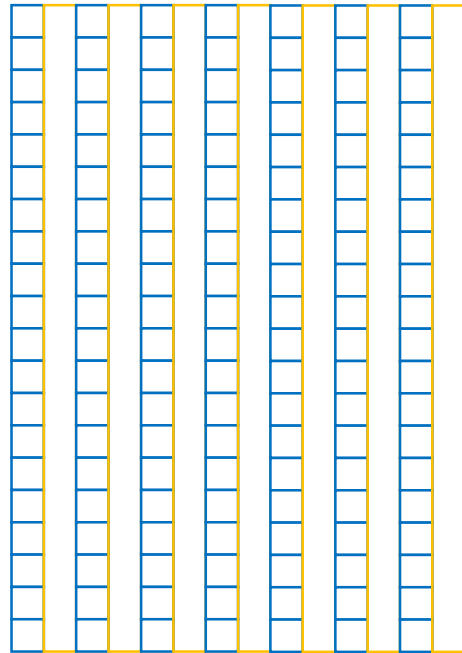
- Fine granularity: 10mm
- Even layers: pos. Y
- Odd layers: pos. X
- Energy-weights applied

Note that all events summed up; event-by-event fluctuations averaged out



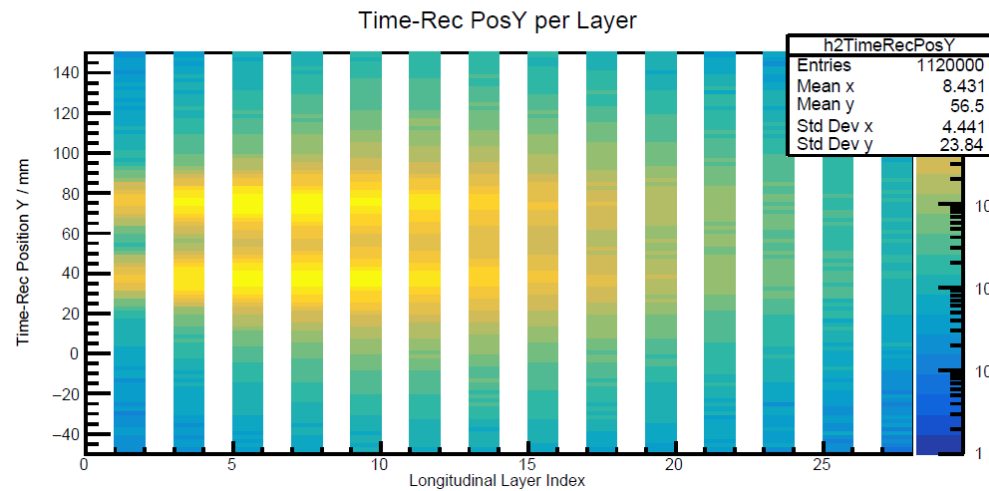
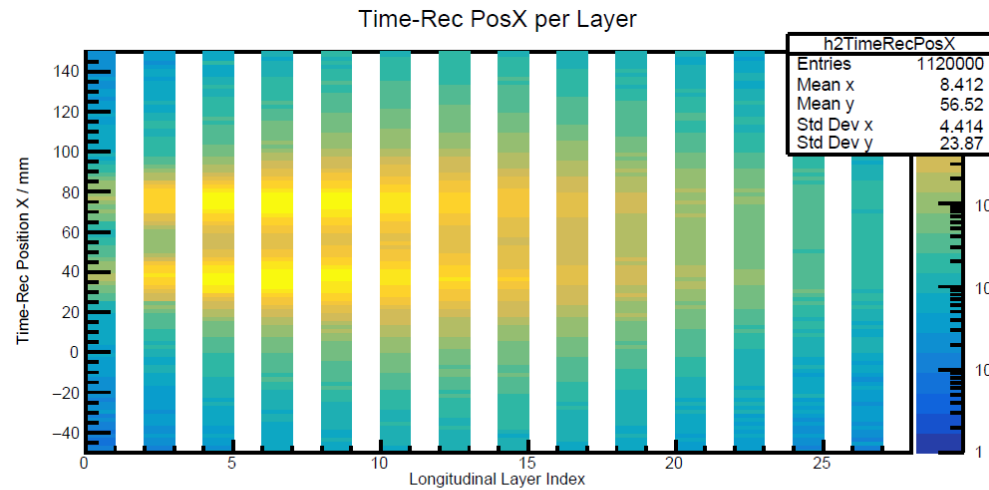
Reconstructed positions from timing

2 gammas separated by 40mm in both X and Y



2 gammas (10 GeV)

(Side View)
Positions from timing differences at two ends



- Reconstructed positions from timing
 - Complementary to hit positions
 - Even layers: X
 - Odd layers: Y
 - Constraints from timing resolution: to be studied

$$\text{PosX, Y} = \frac{(t1-t2)}{2v};$$

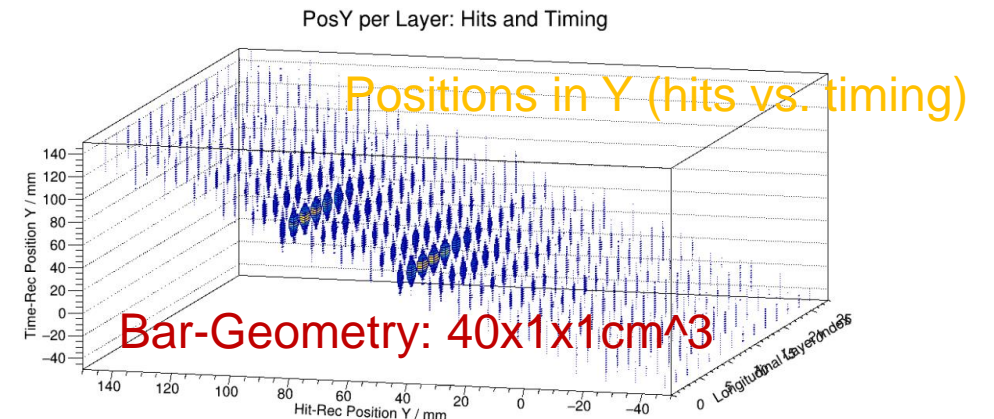
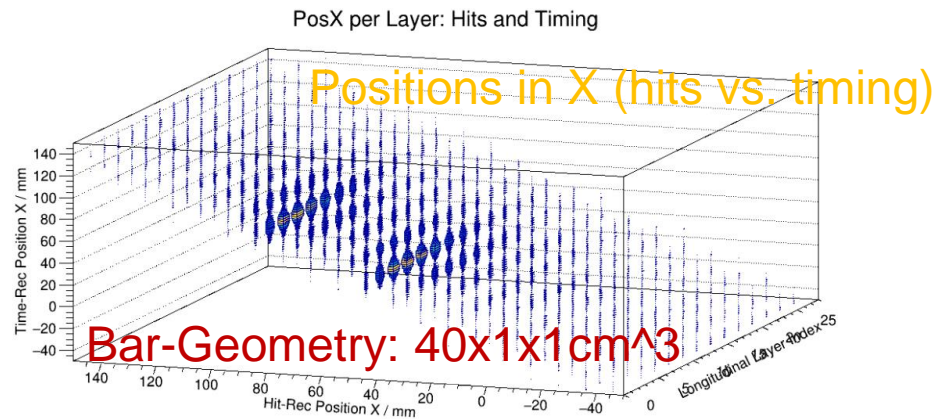
t1, t2 are the timing at two ends;
v is the effective velocity

Note that all events summed up; event-by-event fluctuations averaged out

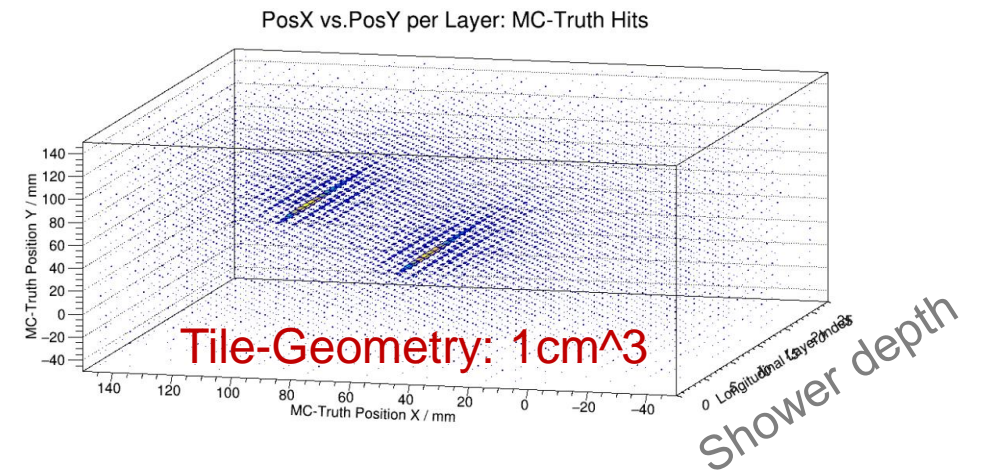
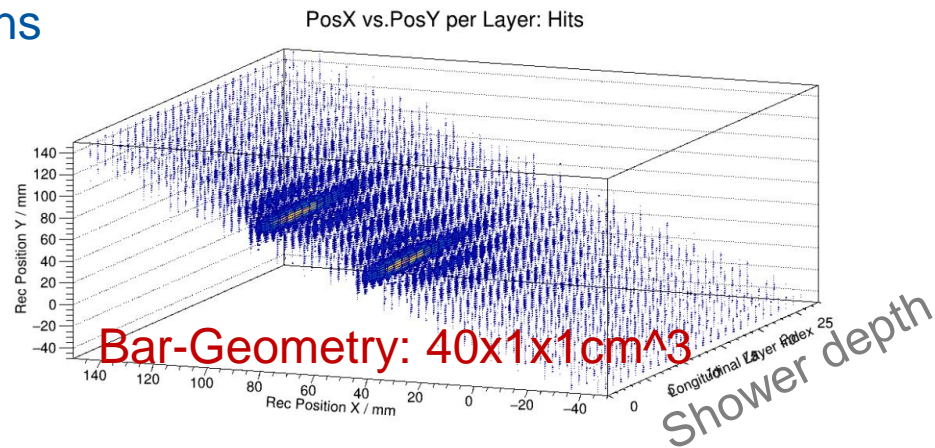


EM shower studies with crystal bars in Geant4

- Reconstructed positions: combined hits and timing of crystal bars
 - Comparison with MC-Truth (cubic-cm crystals), to understand the impact from geometry



Reconstructed positions
(X, Y) in each layer

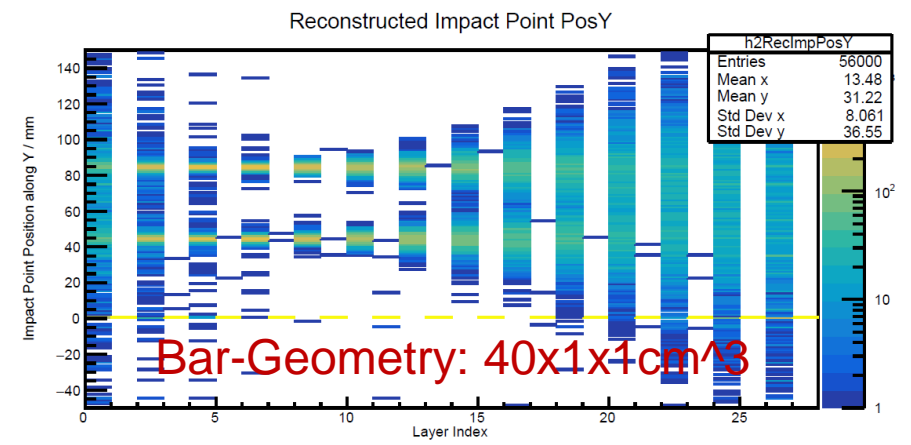
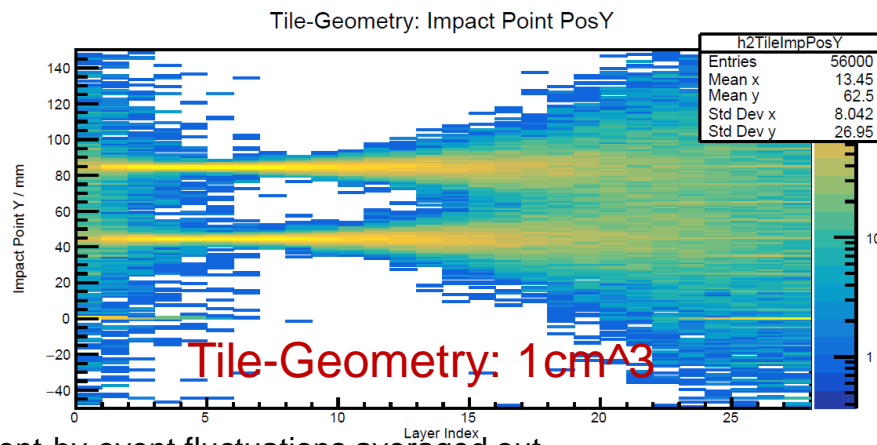
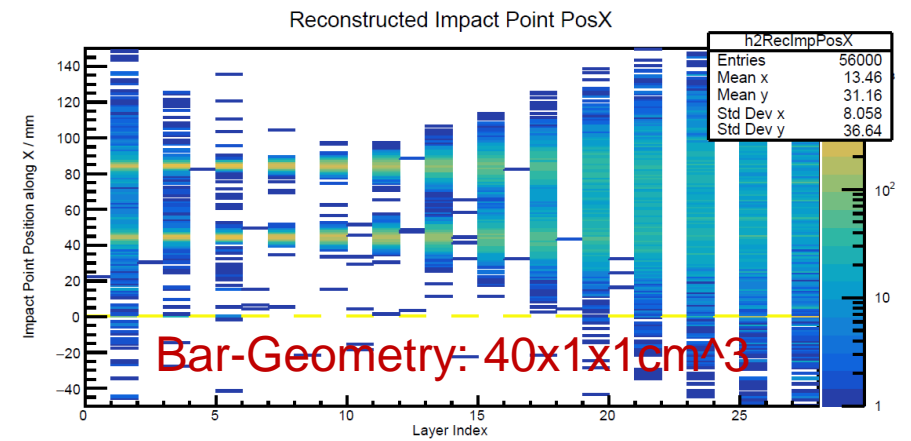
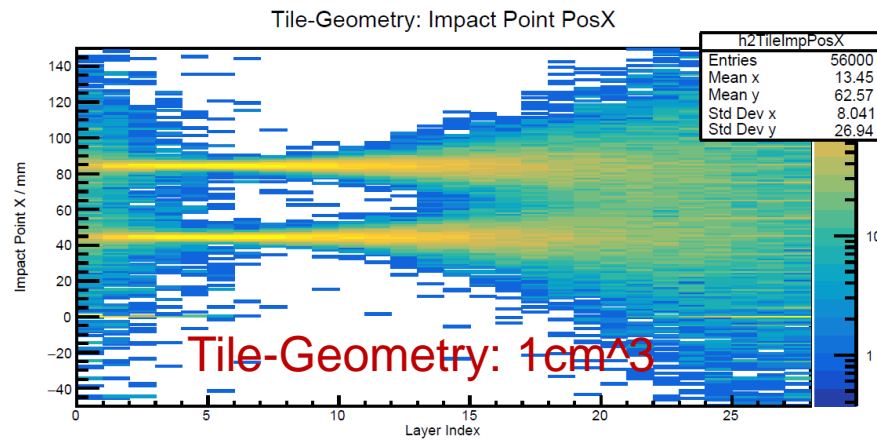


Note that all events summed up; event-by-event fluctuations averaged out



EM shower studies: to understand impacts from geometry

- Center-of-Gravity (COG): layer-wise
 - Important information to determine each shower axis (before energy splitting)



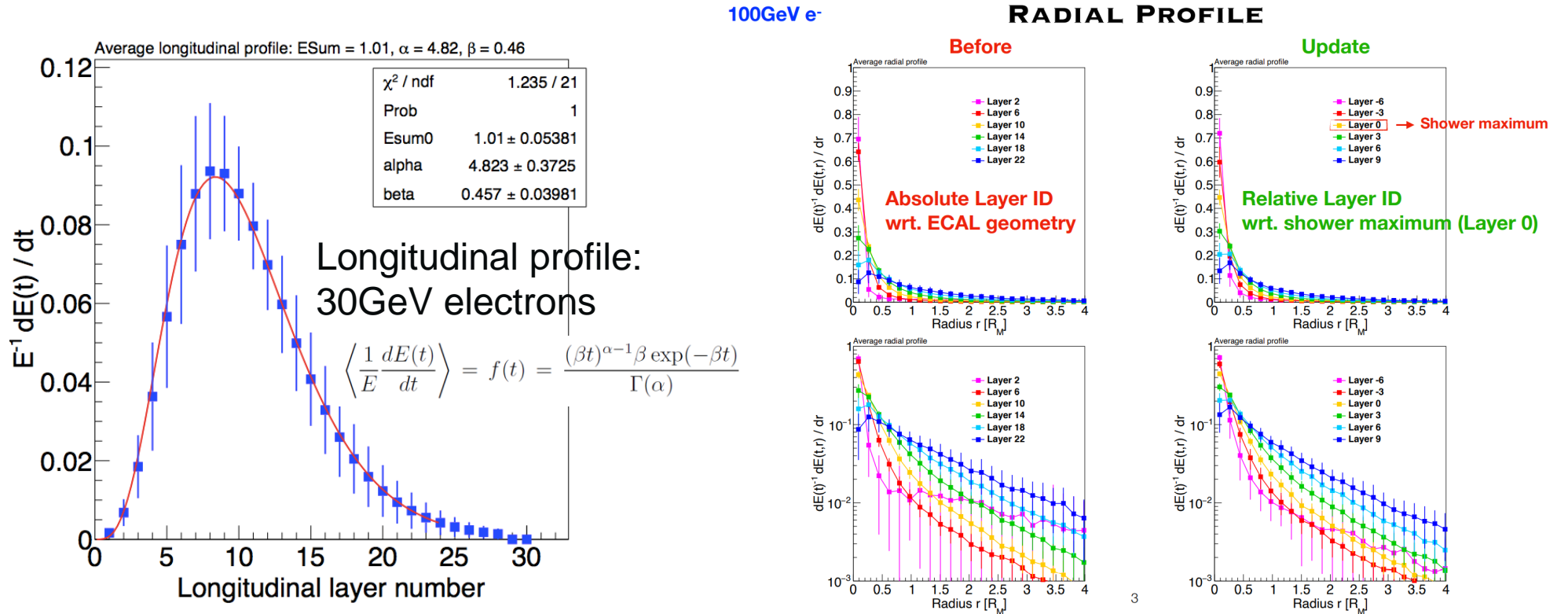
Reconstructed COG (X, Y) in each layer

Note that all events summed up; event-by-event fluctuations averaged out



Shower profiles

- EM shower profiles in 3D
 - Information obtained and stored in ROOT files
 - Input to the weights for energy splitting of close-by showers



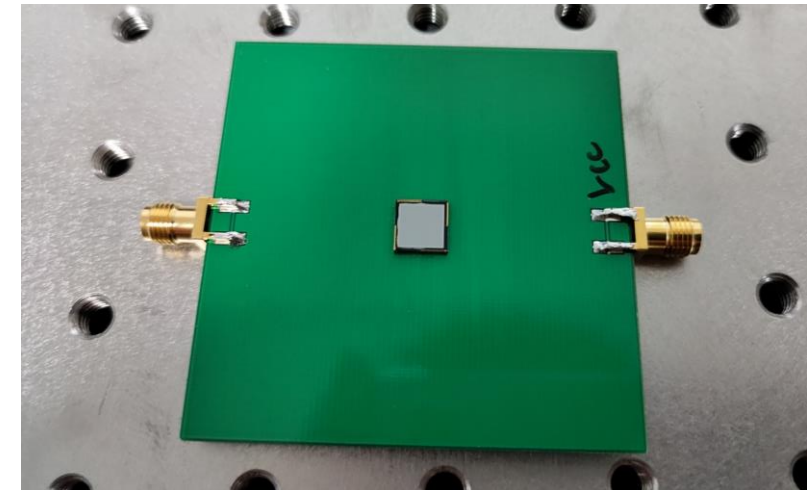
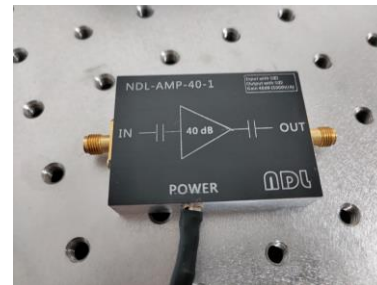
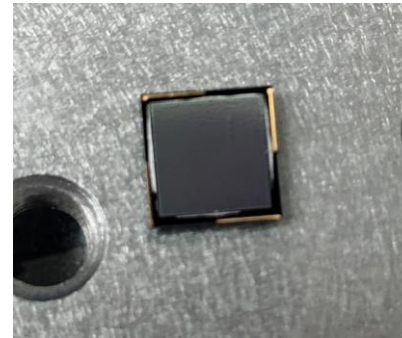
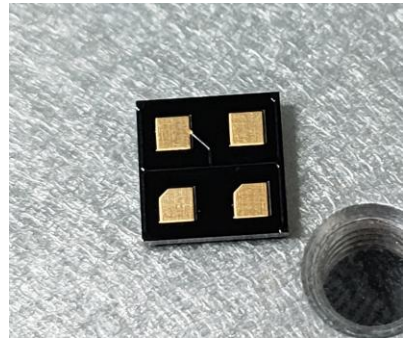
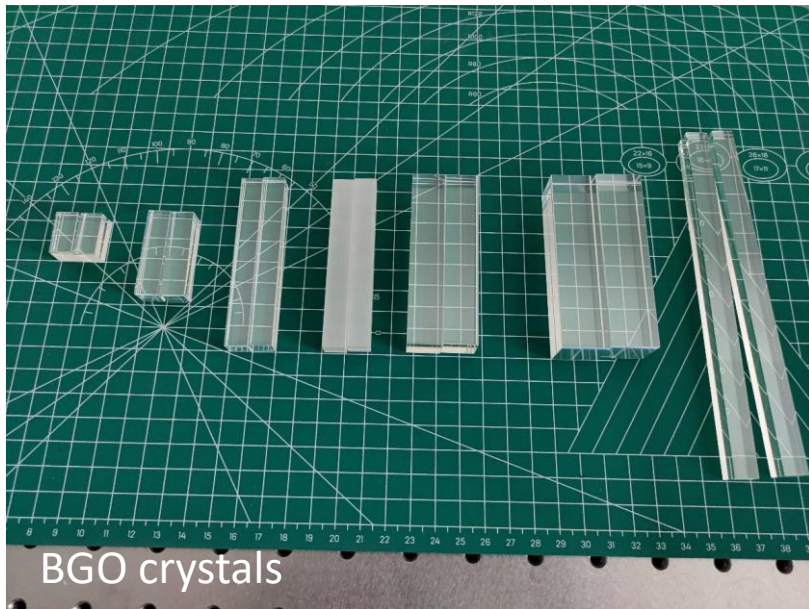
Test stand for crystal-SiPM

- Key questions
 - To quantify timing resolution of crystal bars and SiPMs
 - Validation of Geant4 full simulation, which is used for digitization
- Infrastructure
 - Ready: optical table, dark box, oscilloscope
 - To be tested/commissioned: UV LEDs, XYZ stage, digitizer (PXI crate/module)



Scintillating crystals and SiPMs

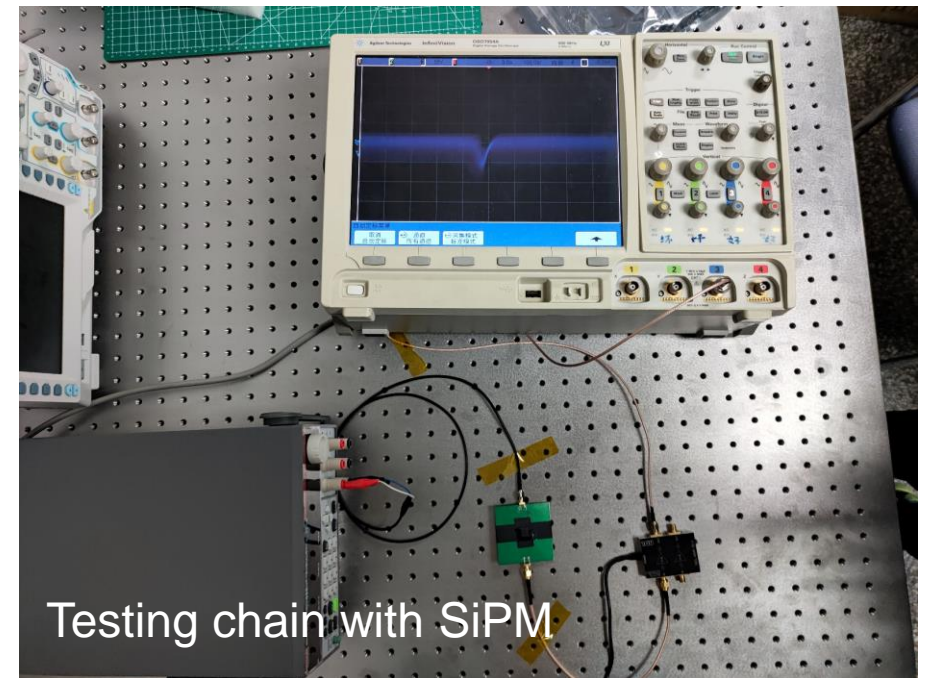
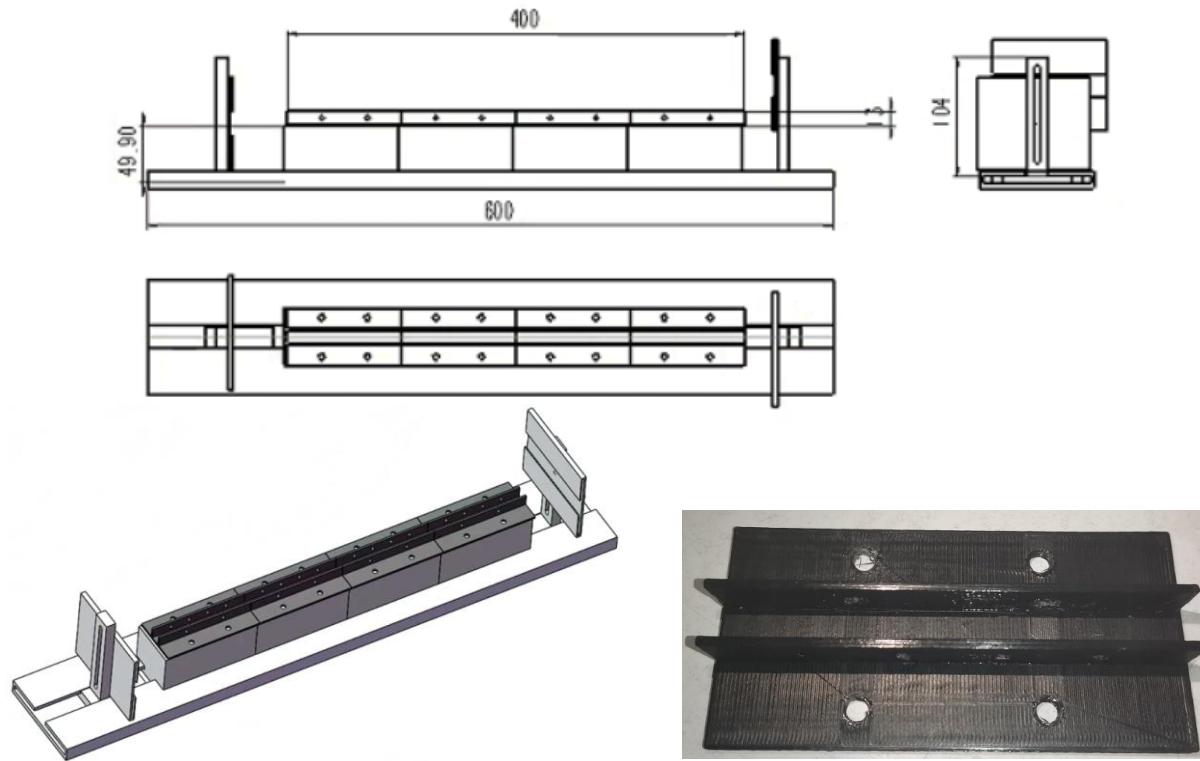
- Crystal samples from SIC: ready
 - Various: lengths, transverse sizes, surface treatments
- Wrapping foil: specular (ESR), diffuse (Teflon, Tyvek), to be done
- Silicon photomultipliers
 - From 2 major vendors: NDL (China) and HPK (Japan)
 - Adapter PCB and preamplifier



Test stand: mechanics for crystal bars

Jiechen Jiang, Baohua Qi

- Custom (flexible) design to support crystal bars with different lengths
 - Parts from 3D printing (tested) + aluminum from machining (to be done)



Summary

- Progress on the 3D crystal calorimeter
 - Geometry and digitization implemented in CEPCSW
 - Working on the reconstruction algorithm in CEPCSW
 - EM shower studies in Geant4
 - To understand impacts from geometry
 - Information for energy splitting: ready
 - Test stand for crystal-SiPM: under development
- Plans
 - Reconstruction algorithm in CEPCSW
 - Performance check for close-by EM showers
 - Hadronic showers in crystal ECAL (guided by event display with jets)
 - Timing performance of crystal-SiPM



Backup slides



Studies on physics requirements

Yuexin Wang (IHEP)

- Estimate the multiplicity level of jets: fast simulation
 - Mean ~ 4 particles within the hottest tower

Multi-jet events at generator level:

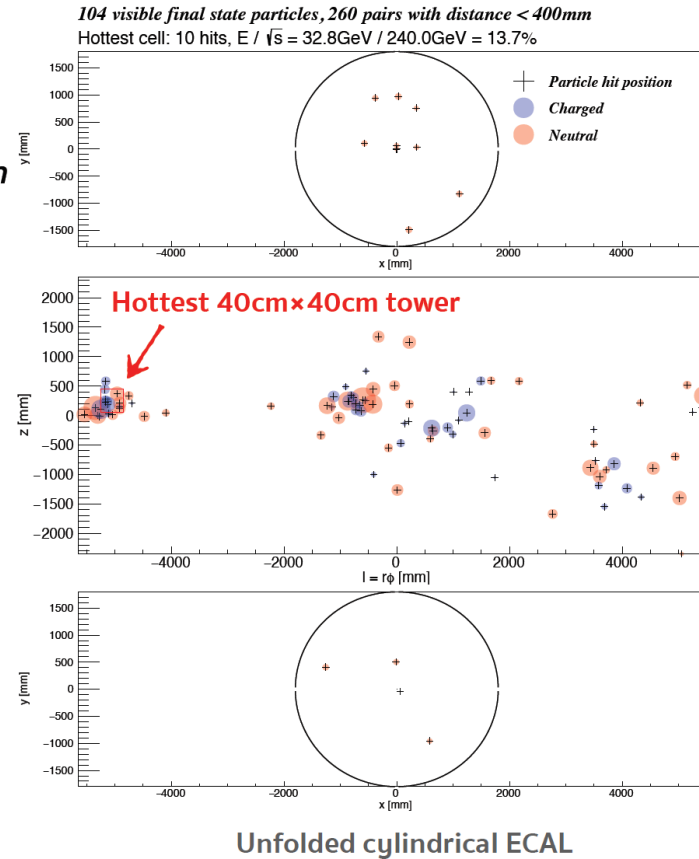
- Calculate the impact point of visible final states on the inner surface of ECAL
- 240GeV, ZH ($Z \rightarrow qq$, $H \rightarrow gg$) (4-jet event) as an example

Parameters in calculation:

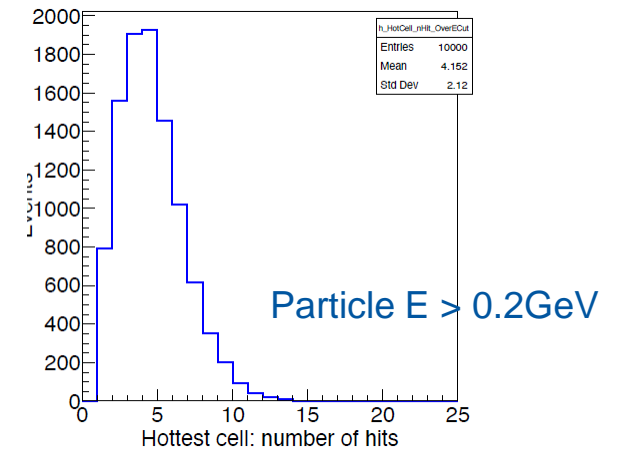
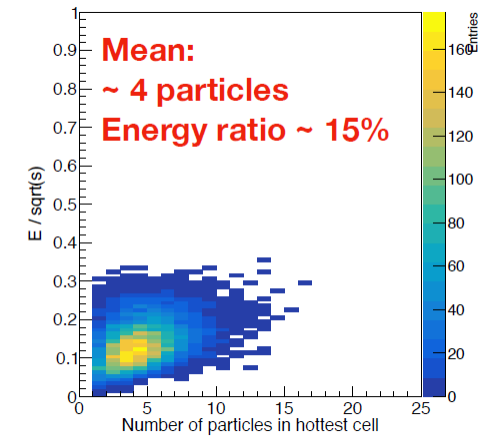
- A simple cylinder ECAL
- Inner Radius, $R=1800\text{mm}$
- Barrel Length, $L=4700\text{mm}$
- Magnetic Field, $B=3\text{T}$

Analysis level:

- Hottest tower (with maximum energy)
 - multiplicity and energy ratio to \sqrt{s}
- Average proportion of towers with multi-particle



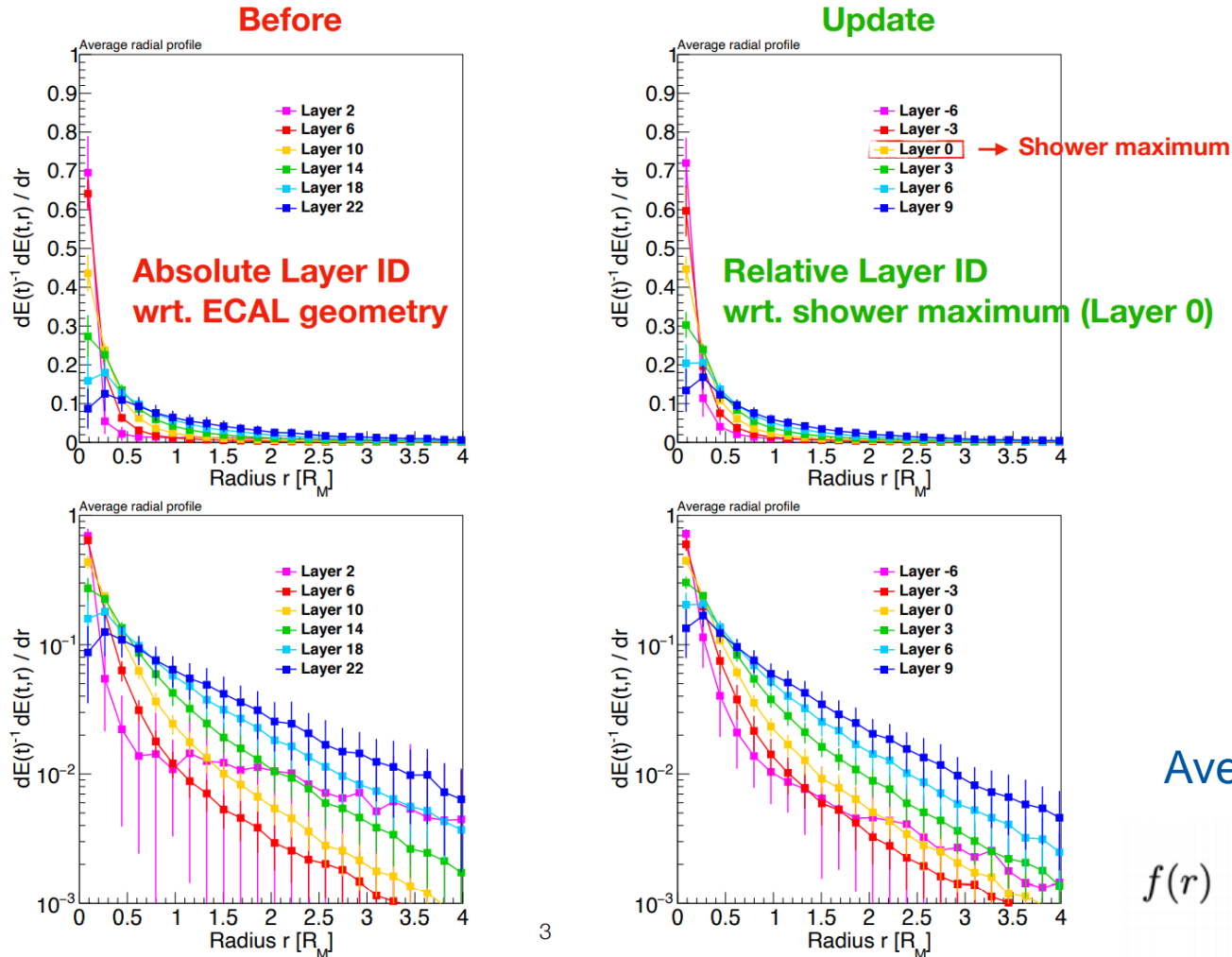
Hottest 40cm x 40cm tower



Shower lateral profile: layer-wise

100GeV e⁻

RADIAL PROFILE



EM shower lateral profiles

- Histograms stored for each longitudinal layer
- “Normalised” to the shower maximum
- Need to locate the shower axis beforehand
- Assign weights for energy splitting in the same bar

Other trials

- Tried to use models to fit the curves

Average radial energy profiles

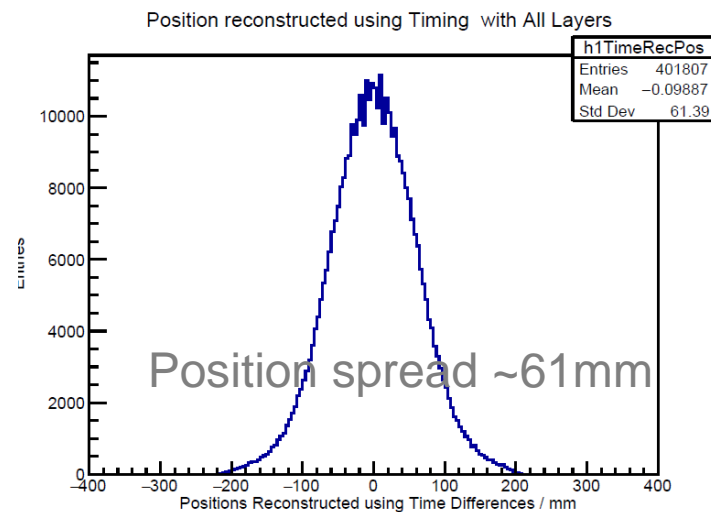
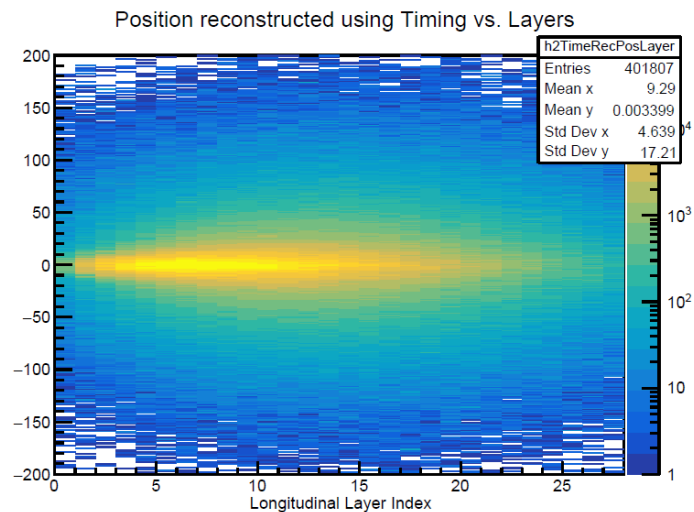
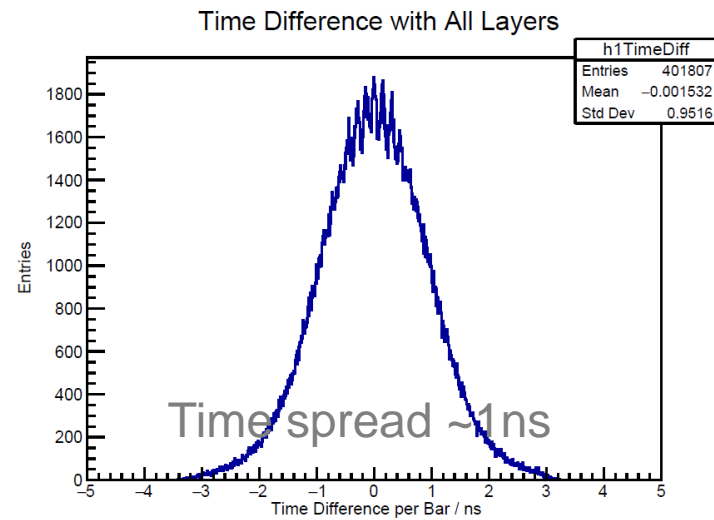
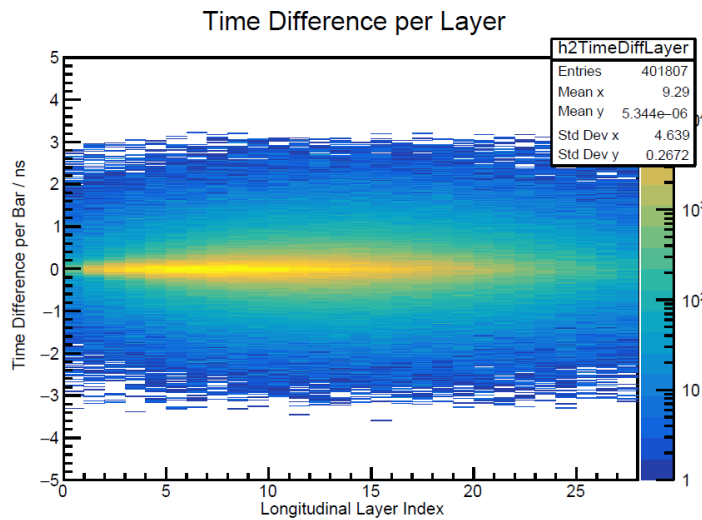
$$f(r) = \frac{1}{dE(t)} \frac{dE(t,r)}{dr} \quad f(r) = pf_C(r) + (1-p)f_T(r)$$

$$= p \frac{2rR_C^2}{(r^2 + R_C^2)^2} + (1-p) \frac{2rR_T^2}{(r^2 + R_T^2)^2}$$



Reconstructed positions from timing

10 GeV gamma



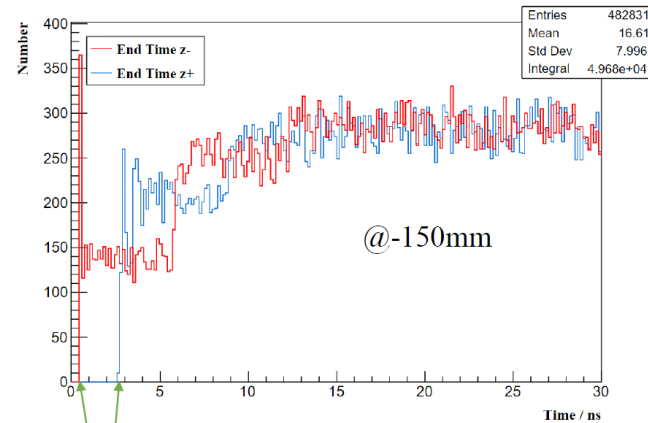
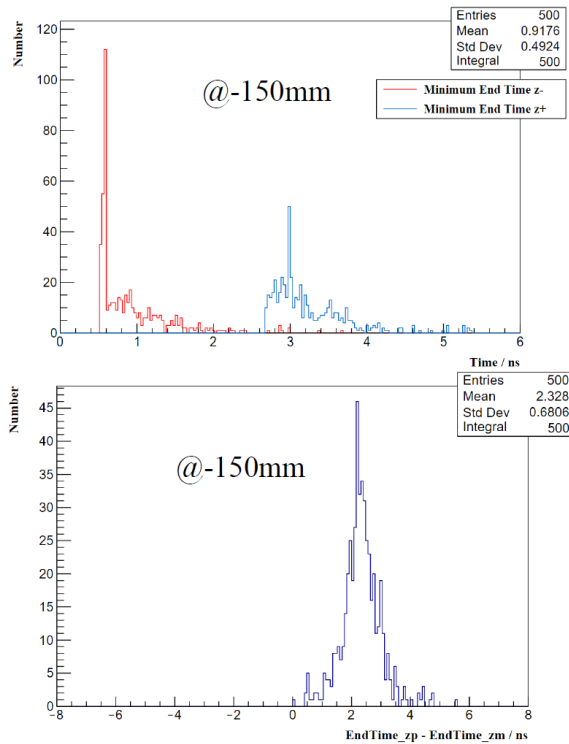
- Timing spread per bar: ~1ns
- Uncertainty of rec. positions from timing: ~60mm
- With energy weights, narrow down positioning precision ~10mm (preliminary)
 - Need to set energy cuts to quantify this precision



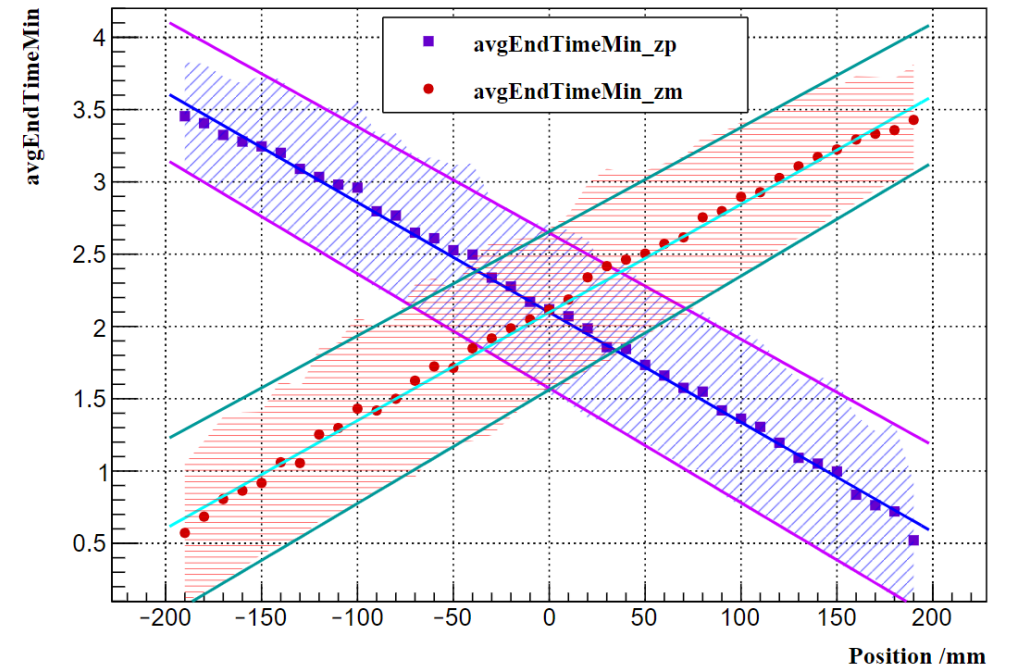
Geant4 full simulation for a single crystal bar

Baohua Qi (IHEP)

- To quantify the timing resolution at different hitting positions
- To provide input for the digitization model in CEPCSW



1st photon, percentage of Cherenkov photon $\sim 30\%$



Geant4 full simulation for a single crystal bar

Baohua Qi (IHEP)



- Information extracted from G4
 - Energy deposition: mean ~ 10 MeV/MIP, determined by crystal thickness
 - #scintillation photons
 - #detected photons at either SiPM
 - Time stamp of each detected photon
 - T0: shooting of the primary particle (muon)
 - Included: scintillation time (\sim hundreds ns), propagation time (a few ns) within the crystal bar
 - **Excluded: timing uncertainties from SiPMs and electronics**
- Digitization
 - Timing: Choose the time stamp of the 1st photon detected at each SiPM
 - #detected photons : proportional to energy deposition

