

SMEFTatNLO

SMEFT event generation exercise

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MG5: NLO mode

Similar but different from LO mode

```
MG5_aMC> tutorial aMCatNLO
MG5_aMC> generate p p > t t~ [QCD]
```

- `[QCD]`: requests NLO process generation (compatible models only!)
- Does not automatically compute cross section & generate events

```
MG5_aMC> bin/madevent
```



```
MG5_aMC> bin/aMCatNLO
```

different executable

Fixed Order (FO)

```
MG5_aMC> launch NLO --name=MyRun_FO
```

- Only calculate cross sections & histograms (dedicated `FORTTRAN` code)

NLO + PS

```
MG5_aMC> launch aMC@NLO --name=MyRun_NLOPS
```

- Generate events & match to parton shower via MC@NLO (`hepmc`)
- **WARNING**: NLO generated events are *unphysical* until showered
 - NLO calculation includes real-emission diagrams
 - Parton shower simulates many emissions \Rightarrow double-counting

MG5: NLO mode

Try generating NLO process

```
MG5_aMC> generate p p > t t~ [QCD]
```

- Check `run_card.dat`: not exactly the same
- Compare LO & NLO cross sections (NLO \Leftrightarrow LO in `launch` command)

```
MG5_aMC> launch LO --name=MyRun_FO_LO
```

- Decay syntax not available ✗ `MG5_aMC> generate ... [QCD], z > e+ e-`

Running parton shower: `shower_card.dat`

- `run_card.dat`: set `PYTHIA8 = parton_shower`
- `pythia8_card.dat` (LO) \Rightarrow `shower_card.dat` (NLO)
 - `nsplit_jobs = n`: parallelise shower over n cores (e.g 4)
 - Higgs decay syntax modified
 - Check examples

```
DM_1 = 25:onMode = off  
DM_2 = 25:onIfMatch = 5 -5
```

Results in **Events/** as usual (`summary.txt` for cross section)

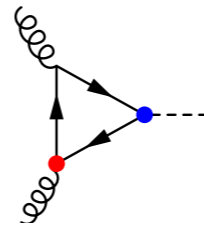
SMEFT@NLO

NLO computations for SMEFT: very active field

- Non-universal K-factors in EFT space \Leftrightarrow new information at NLO

- Loop-induced sensitivity

- Control theoretical uncertainties



- Experimental interest in higher precision for SMEFT analyses/interpretations

Challenge: many processes x many operators

- LO \Rightarrow NLO = more cross-talk/operators/complexity

- Automated tools for fixed-order/NLO+PS are essential to the LHC programme

SMEFT@NLO

[Degrande et al.; arXiv:2008.11743]


<http://feynrules.irmp.ucl.ac.be/wiki/SMEFTatNLO>

- UFO model for MadGraph5_aMC@NLO

- Process-independent implementation: SMEFT in top-specific flavor limit

Céline Degrande, Gauthier Durieux, Fabio Maltoni, Ken Mimasu, Eleni Vryonidou & Cen Zhang, [arXiv:2008.11743](#)

The implementation is based on the Warsaw basis of dimension-six SMEFT operators, after canonical normalization. Electroweak input parameters are taken to be G_F , M_Z , M_W . The CKM matrix is approximated as a unit matrix, and a $U(2)_q \times U(2)_u \times U(3)_d \times (U(1)_l \times U(1)_e)^3$ flavor symmetry is enforced. It forbids all fermion masses and Yukawa couplings except that only of the top quark. The model therefore implements the five-flavor scheme for PDFs.

A new coupling order, `NP=2`, is assigned to SMEFT interactions. The cutoff scale `Lambda` takes a default value of 1 TeV^{-2} and can be modified along with the Wilson coefficients in the `param_card`. Operators definitions, normalisations and coefficient names in the UFO model are specified in [definitions.pdf](#) . The notations and normalizations of top-quark operator coefficients comply with the LHC TOP WG standards of [1802.07237](#). Note however that the flavor symmetry enforced here is slightly more restrictive than the baseline assumption there (see the [dim6top page](#) for more information). This model has been validated at tree level against the `dim6top` implementation (see [1906.12310](#) and the [comparison details](#)).

Current implementation

UFO model: [SMEFTatNLO_v1.0.tar.gz](#) 

The current implementation imposes CP conservation. In the quark sector, it focuses primarily on top-quark interactions. The light-quark current operator, qqHDH, uuHDH, ddHDH, with coefficients `cpq3i`, `cpqMi`, `cpu`, `cpd` are however included. The triple-gluon operator, with coefficient `cG`, is currently not available (see the loop-capable `GGG` implementation). Vertices including more than four scalars or four leptons are not included. Scalar and tensor `QQ11` operators, with coefficients `ct1S3`, `ct1T3`, and `cb1S3`, break our flavor symmetry assumption and are not available for one-loop computations. Top-quark flavor-changing interactions, not compatible with the imposed flavor symmetry, are not included (see the loop-capable [TopFCNC](#) implementation).

Unlike prescribed by the LHC TOP WG, the top quark chromomagnetic-dipole operator coefficient `ctG` is normalized with a factor of the strong coupling, g_s . This normalization factor temporarily ensures compatibility with the 2.X.X series of MadGraph5_aMC@NLO but may be dropped in the future. As with every other appearance of this coupling in MadGraph5_aMC@NLO, its value is renormalisation-group evolved to the QCD renormalization scale (set in the `run_card`).

```
MG5_aMC>import model SMEFTatNLO
MG5_aMC>generate p p > t t~ NP=2 [QCD]
MG5_aMC>output
MG5_aMC>launch
```

‘QCD’ loops

*coloured particles,
strong coupling or
4-fermion couplings*

[support: smeftatnlo-dev@cern.ch](mailto:smeftatnlo-dev@cern.ch)

What's in the box?

'Warsaw' basis

[Grzadkowski et al.; JHEP 1010 (2010) 085]

X^3		φ^6 and $\varphi^4 D^2$		$\psi^2 \varphi^3$		$(\bar{L}L)(\bar{L}L)$		$(\bar{R}R)(\bar{R}R)$		$(\bar{L}L)(\bar{R}R)$	
Q_G	$f^{ABC} G_\mu^{A\nu} G_\nu^{B\rho} G_\rho^{C\mu}$	Q_φ	$(\varphi^\dagger \varphi)^3$	$Q_{e\varphi}$	$(\varphi^\dagger \varphi)(\bar{l}_p e_r \varphi)$	Q_{ll}	$(\bar{l}_p \gamma_\mu l_r)(\bar{l}_s \gamma^\mu l_t)$	Q_{ee}	$(\bar{e}_p \gamma_\mu e_r)(\bar{e}_s \gamma^\mu e_t)$	Q_{le}	$(\bar{l}_p \gamma_\mu l_r)(\bar{e}_s \gamma^\mu e_t)$
$Q_{\tilde{G}}$	$f^{ABC} \tilde{G}_\mu^{A\nu} G_\nu^{B\rho} G_\rho^{C\mu}$	$Q_{\varphi\Box}$	$(\varphi^\dagger \varphi)\Box(\varphi^\dagger \varphi)$	$Q_{u\varphi}$	$(\varphi^\dagger \varphi)(\bar{q}_p u_r \tilde{\varphi})$	$Q_{qq}^{(1)}$	$(\bar{q}_p \gamma_\mu q_r)(\bar{q}_s \gamma^\mu q_t)$	Q_{uu}	$(\bar{u}_p \gamma_\mu u_r)(\bar{u}_s \gamma^\mu u_t)$	Q_{lu}	$(\bar{l}_p \gamma_\mu l_r)(\bar{u}_s \gamma^\mu u_t)$
Q_W	$\varepsilon^{IJK} W_\mu^{I\nu} W_\nu^{J\rho} W_\rho^{K\mu}$	$Q_{\varphi D}$	$(\varphi^\dagger D^\mu \varphi)^* (\varphi^\dagger D_\mu \varphi)$	$Q_{d\varphi}$	$(\varphi^\dagger \varphi)(\bar{q}_p d_r \varphi)$	$Q_{qq}^{(3)}$	$(\bar{q}_p \gamma_\mu \tau^I q_r)(\bar{q}_s \gamma^\mu \tau^I q_t)$	Q_{dd}	$(\bar{d}_p \gamma_\mu d_r)(\bar{d}_s \gamma^\mu d_t)$	Q_{ld}	$(\bar{l}_p \gamma_\mu l_r)(\bar{d}_s \gamma^\mu d_t)$
$Q_{\tilde{W}}$	$\varepsilon^{IJK} \tilde{W}_\mu^{I\nu} W_\nu^{J\rho} W_\rho^{K\mu}$					$Q_{lq}^{(1)}$	$(\bar{l}_p \gamma_\mu l_r)(\bar{q}_s \gamma^\mu q_t)$	Q_{eu}	$(\bar{e}_p \gamma_\mu e_r)(\bar{u}_s \gamma^\mu u_t)$	Q_{qe}	$(\bar{q}_p \gamma_\mu q_r)(\bar{e}_s \gamma^\mu e_t)$
						$Q_{lq}^{(3)}$	$(\bar{l}_p \gamma_\mu \tau^I l_r)(\bar{q}_s \gamma^\mu \tau^I q_t)$	Q_{ed}	$(\bar{e}_p \gamma_\mu e_r)(\bar{d}_s \gamma^\mu d_t)$	$Q_{qu}^{(1)}$	$(\bar{q}_p \gamma_\mu q_r)(\bar{u}_s \gamma^\mu u_t)$
								$Q_{ud}^{(1)}$	$(\bar{u}_p \gamma_\mu u_r)(\bar{d}_s \gamma^\mu d_t)$	$Q_{qu}^{(8)}$	$(\bar{q}_p \gamma_\mu T^A q_r)(\bar{u}_s \gamma^\mu T^A u_t)$
								$Q_{ud}^{(8)}$	$(\bar{u}_p \gamma_\mu T^A u_r)(\bar{d}_s \gamma^\mu T^A d_t)$	$Q_{qd}^{(1)}$	$(\bar{q}_p \gamma_\mu q_r)(\bar{d}_s \gamma^\mu d_t)$
										$Q_{qd}^{(8)}$	$(\bar{q}_p \gamma_\mu T^A q_r)(\bar{d}_s \gamma^\mu T^A d_t)$
$X^2 \varphi^2$		$\psi^2 X \varphi$		$\psi^2 \varphi^2 D$		$(\bar{L}R)(\bar{R}L)$ and $(\bar{L}R)(\bar{L}R)$		B-violating			
$Q_{\varphi G}$	$\varphi^\dagger \varphi G_{\mu\nu}^A G^{A\mu\nu}$	Q_{eW}	$(\bar{l}_p \sigma^{\mu\nu} e_r) \tau^I \varphi W_{\mu\nu}^I$	$Q_{\varphi l}^{(1)}$	$(\varphi^\dagger i \overleftrightarrow{D}_\mu \varphi)(\bar{l}_p \gamma^\mu l_r)$	Q_{ledq}	$(\bar{l}_p^j e_r)(\bar{d}_s q_t^j)$	Q_{duq}	$\varepsilon^{\alpha\beta\gamma} \varepsilon_{jk} [(d_p^\alpha)^T C u_r^\beta] [(q_s^\gamma)^T C l_t^k]$		
$Q_{\varphi \tilde{G}}$	$\varphi^\dagger \varphi \tilde{G}_{\mu\nu}^A G^{A\mu\nu}$	Q_{eB}	$(\bar{l}_p \sigma^{\mu\nu} e_r) \varphi B_{\mu\nu}$	$Q_{\varphi l}^{(3)}$	$(\varphi^\dagger i \overleftrightarrow{D}_\mu^I \varphi)(\bar{l}_p \tau^I \gamma^\mu l_r)$	$Q_{quqd}^{(1)}$	$(\bar{q}_p^j u_r) \varepsilon_{jk} (\bar{q}_s^k d_t)$	Q_{qqu}	$\varepsilon^{\alpha\beta\gamma} \varepsilon_{jk} [(q_p^{\alpha j})^T C q_r^{\beta k}] [(u_s^\gamma)^T C e_t]$		
$Q_{\varphi W}$	$\varphi^\dagger \varphi W_{\mu\nu}^I W^{I\mu\nu}$	Q_{uG}	$(\bar{q}_p \sigma^{\mu\nu} T^A u_r) \tilde{\varphi} G_{\mu\nu}^A$	$Q_{\varphi e}$	$(\varphi^\dagger i \overleftrightarrow{D}_\mu \varphi)(\bar{e}_p \gamma^\mu e_r)$	$Q_{quqd}^{(8)}$	$(\bar{q}_p^j T^A u_r) \varepsilon_{jk} (\bar{q}_s^k T^A d_t)$	$Q_{qqq}^{(1)}$	$\varepsilon^{\alpha\beta\gamma} \varepsilon_{jk} \varepsilon_{mn} [(q_p^{\alpha j})^T C q_r^{\beta k}] [(q_s^\gamma)^T C l_t^m]$		
$Q_{\varphi \tilde{W}}$	$\varphi^\dagger \varphi \tilde{W}_{\mu\nu}^I W^{I\mu\nu}$	Q_{uW}	$(\bar{q}_p \sigma^{\mu\nu} u_r) \tau^I \tilde{\varphi} W_{\mu\nu}^I$	$Q_{\varphi q}^{(1)}$	$(\varphi^\dagger i \overleftrightarrow{D}_\mu \varphi)(\bar{q}_p \gamma^\mu q_r)$	$Q_{lequ}^{(1)}$	$(\bar{l}_p^j e_r) \varepsilon_{jk} (\bar{q}_s^k u_t)$	$Q_{qqq}^{(3)}$	$\varepsilon^{\alpha\beta\gamma} (\tau^I \varepsilon)_{jk} (\tau^I \varepsilon)_{mn} [(q_p^{\alpha j})^T C q_r^{\beta k}] [(q_s^\gamma)^T C l_t^m]$		
$Q_{\varphi B}$	$\varphi^\dagger \varphi B_{\mu\nu} B^{\mu\nu}$	Q_{uB}	$(\bar{q}_p \sigma^{\mu\nu} u_r) \tilde{\varphi} B_{\mu\nu}$	$Q_{\varphi q}^{(3)}$	$(\varphi^\dagger i \overleftrightarrow{D}_\mu^I \varphi)(\bar{q}_p \tau^I \gamma^\mu q_r)$	$Q_{\varphi d}$	$(\varphi^\dagger i \overleftrightarrow{D}_\mu \varphi)(\bar{d}_p \gamma^\mu d_r)$	Q_{dqu}	$\varepsilon^{\alpha\beta\gamma} [(d_p^\alpha)^T C u_r^\beta] [(u_s^\gamma)^T C e_t]$		
$Q_{\varphi \tilde{B}}$	$\varphi^\dagger \varphi \tilde{B}_{\mu\nu} B^{\mu\nu}$	Q_{dG}	$(\bar{q}_p \sigma^{\mu\nu} T^A d_r) \varphi G_{\mu\nu}^A$	$Q_{\varphi u}$	$(\varphi^\dagger i \overleftrightarrow{D}_\mu \varphi)(\bar{u}_p \gamma^\mu u_r)$	$Q_{\varphi d}^{(3)}$	$i(\tilde{\varphi}^\dagger D_\mu \varphi)(\bar{u}_p \gamma^\mu d_r)$				
$Q_{\varphi WB}$	$\varphi^\dagger \tau^I \varphi W_{\mu\nu}^I B^{\mu\nu}$	Q_{dW}	$(\bar{q}_p \sigma^{\mu\nu} d_r) \tau^I \varphi W_{\mu\nu}^I$	$Q_{\varphi d}$	$(\varphi^\dagger i \overleftrightarrow{D}_\mu \varphi)(\bar{d}_p \gamma^\mu d_r)$						
$Q_{\varphi \tilde{W}B}$	$\varphi^\dagger \tau^I \varphi \tilde{W}_{\mu\nu}^I B^{\mu\nu}$	Q_{dB}	$(\bar{q}_p \sigma^{\mu\nu} d_r) \varphi B_{\mu\nu}$	$Q_{\varphi ud}$	$i(\tilde{\varphi}^\dagger D_\mu \varphi)(\bar{u}_p \gamma^\mu d_r)$						

Some symmetries imposed to control parameter space

- CP, B and flavor conservation
- Top-specific flavour structure of 2 & 4 fermion operators

Flavor symmetry

Approximate flavor symmetry in the SM

- SM: broken by Yukawa interactions
- SMEFT: broken by $\psi^2 X \varphi$, $\psi^2 \varphi^3$, $(\bar{L}R)(\bar{L}R)$, $(\bar{L}R)(\bar{R}L)$ & $\mathcal{O}_{\varphi ud}$
- + any off-diagonal or non-universal entries of other 2F operators

SMEFTatNLO: minimal extension to single out top quark

universal $U(3)_L \times U(3)_e \times U(3)_Q \times U(3)_u \times U(3)_d$
 top $U(3)_L \times U(3)_e \times U(2)_Q \times U(2)_u \times U(3)_d$

cf. Minimal flavor violation

[Buras et al.; PLB 500 (2001) 161]

[D'Ambrosio et al.; NPB 645 (2002) 155]

See **dim6top**

[Aguilar-Saavedra et al.; arXiv:1802.07237]

Yukawa	$\psi^2 H^3 : (\varphi^\dagger \varphi)^2 (\bar{Q} t \tilde{\varphi})$
Dipoles	$\psi^2 X H : (\bar{Q} \sigma^{\mu\nu} t \tilde{\varphi}) B_{\mu\nu} [W_{\mu\nu}^I, G_{\mu\nu}^a]$
3rd gen. currents	$\psi^2 H^2 D : (\varphi^\dagger \overleftrightarrow{D}_\mu \varphi) (\bar{Q} \gamma^\mu Q) [(\bar{Q} \gamma^\mu \tau^I Q), (\bar{t} \gamma^\mu t), \dots]$
3rd gen. 4F	$\psi^4 : (\bar{Q} \gamma^\mu Q) (\bar{q} \gamma_\mu q), (\bar{Q} \gamma^\mu Q) (\bar{Q} \gamma_\mu Q), \dots$

$pp \rightarrow Zh$ in SMEFT@NLO

Auto-download SMEFT@NLO

- `python3`: copy `convert model` command
- Copy tutorial restriction card to `models/SMEFTatNLO`

```
MG5_aMC> import model SMEFTatNLO
MG5_aMC> convert model ...
MG5_aMC> quit()
```

```
> cp restrict_cpu_cpBB.dat /home/software/mg5amcnlo/models/SMEFTatNLO
```

```
MG5_aMC> import model SMEFTatNLO-cpu_cpBB
```

Parameter naming conventions `SMEFTsim` \Leftrightarrow `SMEFTatNLO`

- `cHu` \Leftrightarrow `cpu` & `cHB` \Leftrightarrow `cpBB` ($p \equiv \varphi$)

Generate process

```
MG5_aMC> generate p p > h e+ e- QCD=0 QED=3 NP=2 [QCD]
```

- **Important**: specify **all** coupling orders to be safe (& check diagrams!)
- **Important**: `NP` definition is different from `SMEFTsim` (`NP=2` is dim-6)
- Amplitude squared order not available **X**

```
MG5_aMC> generate ... [QCD] NP^2==2
```


Diagrams

Virtual corrections

Real emissions

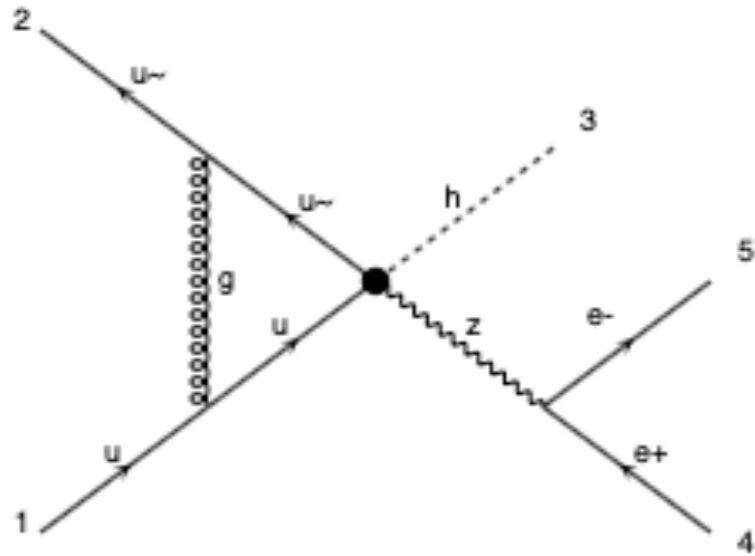


diagram 3 NP=2, QCD=2, QED=3

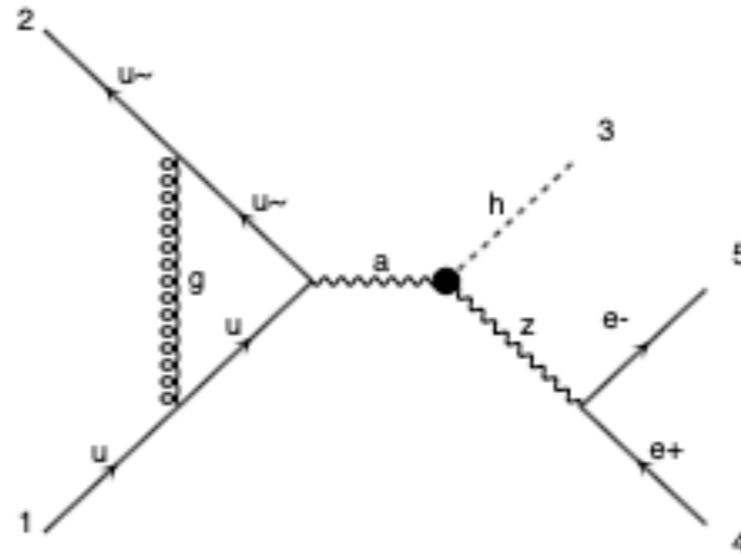
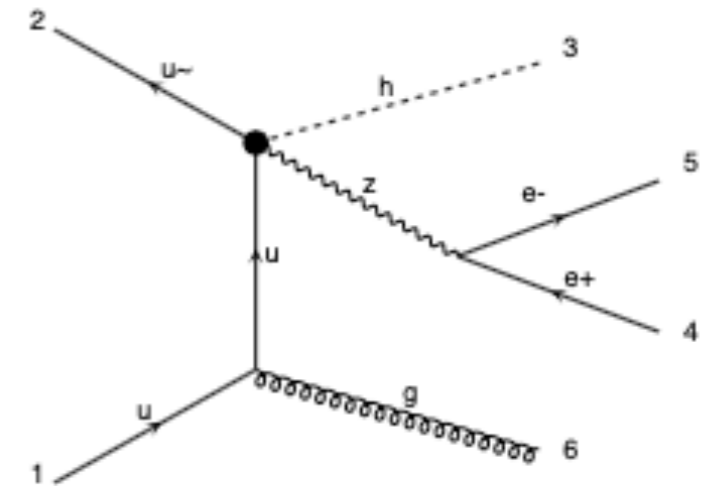


diagram 4 NP=2, QCD=2, QED=3



real diagram 3 NP=2, QCD=1, QED=3

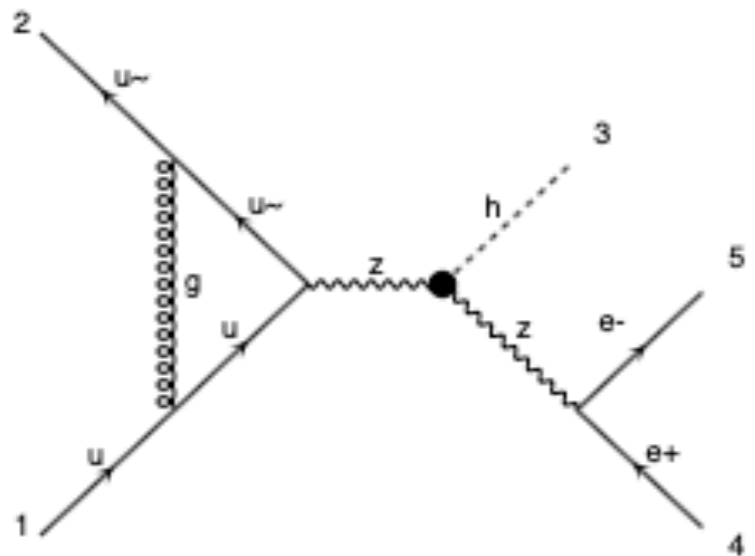


diagram 5 NP=2, QCD=2, QED=3

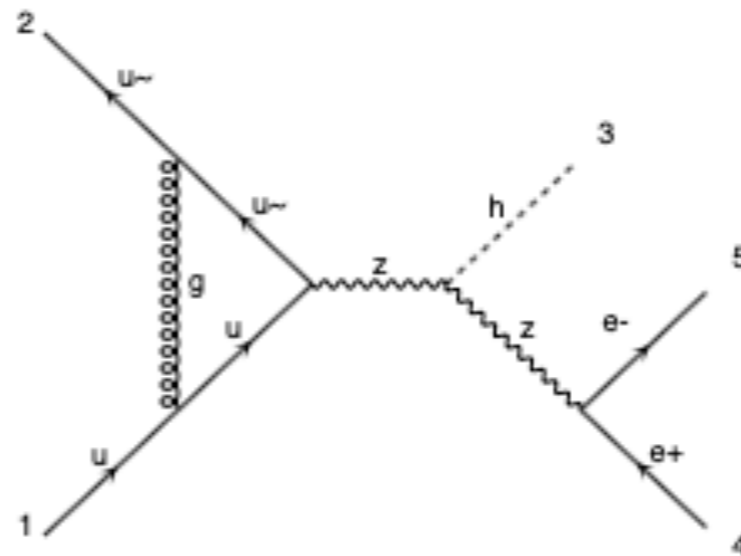
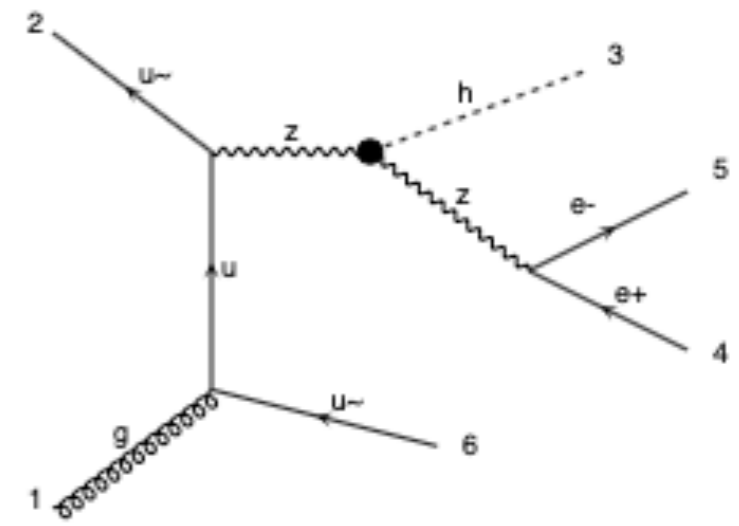


diagram 6 NP=0, QCD=2, QED=3



real diagram 12 NP=2, QCD=1, QED=3

$pp \rightarrow Zh$ in SMEFT@NLO

Sanity-check: validate `SMEFTsim` vs. `SMEFTatNLO`!

- Should get the same LO cross-sections for SM & SMEFT coefficients

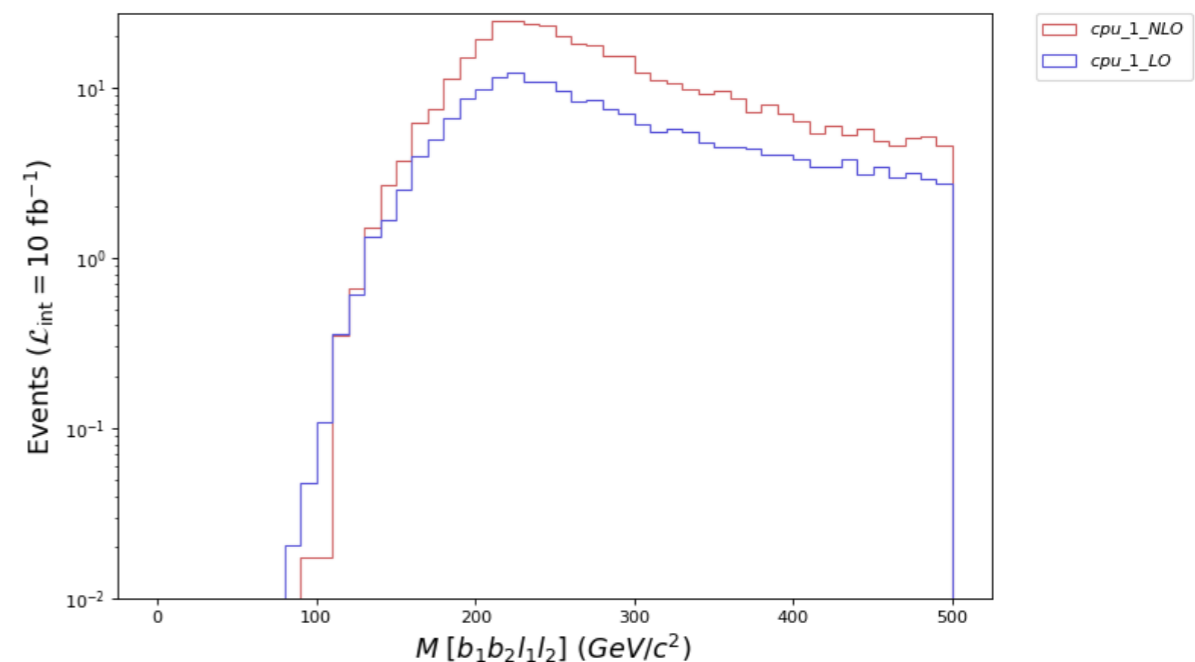
NLO predictions for SM+ $C_{HB} = 1$ & $C_{Hu} = 1$ separately

- **!Warning!** **Do not** set c's to 0 in param_card, use `1e-5` (else crash)
- Compare LO & NLO at differential-level
- Obtain K-factors (`NLO/LO`) for STXS bins
- Extract linear (sq) dependence:

$$\sigma(c) = \sigma_{\text{SM}} + c\sigma_{\text{int.}} + c^2\sigma_{\text{sq.}}$$

$$\sigma_{\text{int.}} = \frac{1}{2} \left(\sigma(c = +1) - \sigma(c = -1) \right)$$

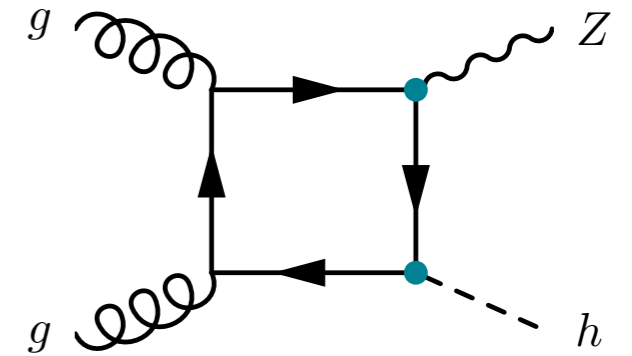
$$\sigma_{\text{sq.}} = \frac{1}{2} \left(\sigma(c = +1) + \sigma(c = -1) \right) - \sigma_{\text{SM}}$$



$qq \rightarrow Zh$ in SMEFT@NLO

Loop-induced process

- No born-level diagrams in the SM (SMEFT)
- $\mathcal{O}(a_s^2)$: Formally NNLO but significant due to gluon PDFs!
- Can be sensitive to modified top quark interactions
- Today: $\mathcal{O}_{Ht} \equiv [\mathcal{O}_{Hu}]_{33} = i(H^\dagger \overleftrightarrow{D}_\mu H)(\bar{t}_R \gamma^\mu t_R)$ (model parameter: **cpt**)



```
> cp restrict_cpt.dat /home/software/mg5amcnlo/models/SMEFTatNLO
```

```
MG5_aMC> import model SMEFTatNLO-cpt  
MG5_aMC> generate g g > h e+ e- QCD=0 QED=3 NP=2 [QCD]
```

Determine importance of $gg \rightarrow Zh$ w.r.t $pp \rightarrow Zh$ in SM

- Extract linear & quadratic dependence on **cpt**
- **!WARNING!**: Can take a long time (parton-level & ~1k events only!)
- Offline: generate showered events & compare distributions to $pp \rightarrow Zh$