# Measurements of Higgs boson production using decays to two c-quarks with the ATLAS detector

Tao Wang (University of Science and Technology of China)

Higgs potential and BSM opportunity, Aug 27-31, 2021

### Motivation for H→cc



- Coupling between Higgs boson and all 3rd generation quarks has been measured.
- For the 2nd generation quarks, the Yukawa coupling is still not measured, yet not confirmed.
- In the rest coupling constants to be measured,  $Y_c(*)$  is the largest.
- H→cc is the most direct channel to measure κ<sub>c.</sub>
  - $\circ~~\kappa_c$  can be modified in BSM models (2HDM, HVT, ...)

(\*) Charm quark Yukawa coupling constant

### Previous H→cc search results

VH→cc







### Phys. Rev. Lett. 120 (2018) 211802

- Previous ATLAS Z(I+I-)Hcc result with 36.1 fb<sup>-1</sup> $\sqrt{s}$  = 13 TeV data
- Observed (expected) limit of  $\mu_{\rm ZHcc}$  is **110** (150<sup>+80</sup><sub>-40</sub>)

### JHEP 03 (2020) 131

- Previous CMS VHcc result with 35.9 fb<sup>-1</sup> $\sqrt{s} = 13$  TeV data
- O Using 3 channels: W→Iv, Z→II, Z →vv
- Both resolved and boosted (2 charm jets merged into one fat jet due to large boost) regions are used
- Observed (expected) limit of  $\mu_{\rm VHcc}$  is **70** (37<sup>+15.4</sup>)

•  $H \rightarrow J/\psi + \gamma, J/\psi \rightarrow \mu^+\mu^-$ , 36 fb<sup>-1</sup>Run 2, ATLAS **125x** SM <u>Phys. Lett. B 786 (2018) 134</u>, CMS 642x SM <u>Phys.</u> Lett. B 797 (2019) 134811  $\begin{array}{l} \mbox{1 lepton candidate event W(ev)H(cc)} \\ \Delta \phi = -1.37 \\ m_{CC} = 124.29 \ GeV \\ p_{TJ1} = 111.6 \ GeV \\ p_{TJ2} = 81.27 \ GeV \\ \eta_{J1} = 0.83 \\ \eta_{J2} = 0.52 \end{array}$ 



Run: 329964 Event: 500775771 2017-07-18 06:31:13 CEST

### VH(H→cc) analysis syllables



### VH(H→cc) search strategy



- Use 139 fb<sup>-1</sup> full Run 2 data recorded by ATLAS
- Cut-based analysis: final discriminant is m<sub>cc</sub>
- 1 and 2 c-tag (defined using tagging algorithms) categories and several pTV and nJet bins:

	1 c-tag		2 c·	-tag
75 < p <sub>T</sub> <sup>V</sup> < 150 GeV (*)	2 jet	3(+) jet	2 jet	3(+) jet
$p_T^V$ >150 GeV	2 jet	3(+) jet	2 jet	3(+) jet

pTV – transverse momentum of the vector boson (\*) only in 2 lepton channel

# Tagging





### Event selection

Region-specific selections



Common selections



Common Selections				
Central jets	$\geq 2$			
Signal jet $p_{\rm T}$	$\geq$ 1 signal jet with $p_{\rm T}$ > 45 GeV			
<i>c</i> -jets	1 or 2 <i>c</i> -tagged signal jets			
<i>b</i> -jets	No <i>b</i> -tagged non-signal jets			
Jets	2, 3 (0- and 1-lepton), $2, \ge 3$ (2-lepton)			
$p_{\rm T}^V$ regions	75–150 GeV (2-lepton) > 150 GeV			
$\Delta R$ (jet 1, jet 2)	$\begin{array}{l} 75 < p_{\rm T}^V < 150 \; {\rm GeV} \colon \Delta R \le 2.3 \\ 150 < p_{\rm T}^V < 250 \; {\rm GeV} \colon \Delta R \le 1.6 \\ p_{\rm T}^V > 250 \; {\rm GeV} \colon \Delta R \le 1.2 \end{array}$			

0 Lepton			
Trigger	$E_{ m T}^{ m miss}$		
Leptons	0 <i>loose</i> leptons		
$E_{\mathrm{T}}^{\mathrm{miss}}$	> 150 GeV		
$p_{\rm T}^{\rm miss}$	> 30 GeV		
$\dot{H_{ m T}}$	> 120 GeV (2 jets), > 150 GeV (3 jets)		
$\min  \Delta \phi(E_{\rm T}^{\rm miss}, {\rm jet}) $	$> 20^{\circ} (2 \text{ jets}), > 30^{\circ} (3 \text{ jets})$		
$ \Delta \phi(E_{\rm T}^{\rm miss}, H) $	> 120°		
$ \Delta \phi$ (jet1, jet2)	< 140°		
$ \Delta \phi(E_{\mathrm{T}}^{\mathrm{miss}}, p_{\mathrm{T}}^{\mathrm{miss}}) $	< 90°		
1 Lepton			
	<i>e</i> sub-channel: single electron		
Irigger	$\mu$ sub-channel: $E_{\rm T}^{\rm miss}$		
Leptons	1 <i>tight</i> lepton and no additional <i>loose</i> leptons		
$E_{\mathrm{T}}^{\mathrm{miss}}$	> 30  GeV (e  sub-channel)		
$m_{\mathrm{T}}^{\mathrm{W}}$	< 120 GeV		
2 Lepton			
Trigger	single lepton		
Lentons	2 <i>loose</i> leptons		
Leptons	Same flavour, opposite-charge for $\mu\mu$		
m <sub>ll</sub>	$81 < m_{ll} < 101 \text{ GeV}$		

### **Background Control Regions**



top control region

High  $\Delta R$  control region

- 0 c-tag control region
  - None of the two leading jets is c-tagged
- Top control region
  - The third jet is b-tagged
  - Only for 1 c-tag
- Top eµ control region
  - One bin, only for 2 lepton region
- High  $\Delta R$  control region
  - Inverse the  $\Delta R$  cut

### **Background composition**

#### 0 Lepton, $pTV \ge 150 \text{ GeV}$



#### 2 Lepton, $pTV \ge 150 \text{ GeV}$



Z+jets (OL, 2L), W+jets (OL, 1L) and top backgrounds (OL, 1L) are the major backgrounds

### Uncertainties

Experimental uncertainties:

- Luminosity and Pile-up Simulation
- Lepton triggers
- MET trigger
- Lepton and MET Reconstruction
- Jet Energy Scale and Resolution
- Flavour Tagging
- Truth Tagging



### Simultaneous profile likelihood binned fit to all regions



- Control regions are not shown
- 3 parameters of interest (POIs):  $\mu_{VH(cc)}$ ,  $\mu_{VZ(cc)}$ ,  $\mu_{VW(cq)}$
- The free-floating normalization factors are not listed in the formula:
  - $\circ$  top background which decay into one **b quark** and one other quark (or tau lepton) (bc, bl, bb, bt)
  - top background which decay into one **c quark or light flavor quark** and one other quark (cl, l, cc)
  - ttbar (2L)
  - $\odot$  Wmf, Zmf (bc, bl, cl and W(tv)+b, W(tv)+c in OL)
  - Whf, Zhf (cc, bb)
  - $\circ$  WI, ZI (W( $\tau v$ )+I in OL)

### **Best-fit Results**

- Signal strengths from the 3 POI fit:
  - VH(cc): -8.58 +/- 15.14
  - VW(cl): 0.83 +/- 0.24
  - VZ(cc): 1.16 +/- 0.48
- All signal strengths in agreement with SM comb. within 1σ
- Compatibility with SM (μ=1): 84%

H(cc) in combined fit and decorrelated across channels

Diboson POIs in 3 POI fit



### Post-fit plots and normalization factors



### Limits and significances

- Observed VH(cc) limit of 26 x SM (31 x SM expected)
  - Highest sensitivity in 0 lepton channel
- Diboson
  - $\circ$  VW(cl) significance of **3.84 o** (4.60 o expected)
  - VZ(cc) significance of **2.61**  $\sigma$  (2.24  $\sigma$  expected)
- Uncertainties
  - Dominated by statistics
  - As for systematic uncertainty, modelling uncertainty for Z+jets is dominant

	Expected	Observed
VH(cc) limit	$31.1^{+12.2}_{-8.7}$	26.0

First in	VW(cl) significance	4.60 σ	3.84 σ
ATLAS	VZ(cc) significance	2.24 σ	2.61 σ



Expected and observed limit in combined fit and with POI decorrelated into channels

## $\kappa_{\rm c}$ interpretation

• 
$$\mu(\kappa_c) = \frac{\kappa_c^2}{B(H \to c\bar{c})\kappa_c^2 + (1 - B(H \to c\bar{c}))}$$
  
(other coupling modifiers set to 1)

- Expected limit on  $\kappa_c$  at 95% CL in combined fit  $|\kappa_c| < 12.4$
- Observed best fit  $\kappa_c = 0$
- Current best limit on  $\kappa_c @ 95\%$ CL with  $|\kappa_c| < 8.5$

Combined fit	68% CL	95% CL
Expected	[-4.9, 4.9]	[-12.3, 12.4]
Observed	[-3.5, 3.5]	[-8.5, 8.5]



### Summary

- Full Run 2 VH, H→cc search is performed, with all three lepton channels and background control regions compared to previous ZH(cc) 36 fb<sup>-1</sup> analysis
- Diboson measurements:
  - $\circ$  VW(cl): 3.84  $\sigma$  obs (4.60  $\sigma$  exp)
  - $\circ$  VZ(cl): 2.61  $\sigma$  obs (2.24  $\sigma$  exp)
- Observed limit of 26 x SM on VH(cc) (for 31 x SM expected) Current best limit on VH(cc)
- $\kappa_c @ 95\%$  CL with  $|\kappa_c| < 8.5$

# Backup

### Higgs production mechanisms and H→cc search strategies



Main Higgs production processes (Production cross section:  $\sigma_{\rm H}$  = 56 pb at 13 TeV)

(a) Gluon fusion (87% of  $\sigma_{H}$ )

- Large QCD background
- (b) Vector boson fusion (VBF) (7% of σ<sub>H</sub>) • S/B is small
- (c) Associated production with W/Z (4% of σ<sub>H</sub>)
   W/Z leptonic decay can be used to trigger the signal
- (d) Associated production with ttbar (1% of  $\sigma_{H}$ )
  - Semi-leptonic decay product of top can be used to trigger the signal

### Samples

#### • Data

- pp collision data recorded by the ATLAS detector during Run 2 of LHC from 2015 to 2018 at a centre-of-mass energy of 13 TeV
- $\circ~$  Corresponding to integrated luminosity of 139  $\pm$  2.4 fb^{-1}
- Collected with a suite of MET (0L, 1L), single-electron and single-muon triggers (1L, 2L)
- Events are required to be of good quality and recorded while all relevant detector components were in operation

### • MC

Process	ME generator	ME PDF	PS and hadronisation	Tune	Cross-section order
$\begin{array}{c} q q \rightarrow V H \\ (H \rightarrow c \bar{c} / b \bar{b}) \end{array}$	Powheg-Box v2 + GoSam + MiNLO	NNPDF3.0NLO	Рутніа 8.212	AZNLO	NNLO(QCD) +NLO(EW)
$\begin{array}{c} gg \rightarrow ZH \\ (H \rightarrow c \bar{c} / b \bar{b}) \end{array}$	Powheg-Box v2	NNPDF3.0NLO	Рутніа 8.212	AZNLO	NLO+NLL
tī	Powheg-Box v2	NNPDF3.0NLO	Рутніа 8.230	A14	NNLO +NNLL
<i>t/s</i> -channel single top	Powheg-Box v2	NNPDF3.0NLO	Рутніа 8.230	A14	NLO
<i>Wt</i> -channel single top	Powheg-Box v2	NNPDF3.0NLO	Рутніа 8.230	A14	Approx. NNLO
V+jets	Sherpa 2.2.1	NNPDF3.0NNLO	Sherpa 2.2.1	Default	NNLO
$qq \rightarrow VV$	Sherpa 2.2.1	NNPDF3.0NNLO	Sherpa 2.2.1	Default	NLO
$gg \rightarrow VV$	Sherpa 2.2.2	NNPDF3.0NNLO	Sherpa 2.2.2	Default	NLO

All samples of simulated events were passed through the ATLAS detector simulation, based on Geant4 and were reconstructed using standard ATLAS reconstruction software

## Object and event selection – Object selection

• Leptons

#### • Electron

- pT > 7 GeV and |η| < 2.47
  - loose identification (0L, 2L)
  - tight identification (1L)
- Muon
  - pT > 7 GeV and |η| < 2.5
    - loose identification (0L, 2L)
    - medium identification (1L)
- Hadronically decaying tau
  - pT > 20 GeV and |η| < 2.5 except</li>
     1.37 < |η| < 1.52</li>
  - medium identification

- Jets
  - $\circ~$  reconstructed using anti-kt with R=0.4  $\,$ 
    - forward jet
      - pT > 30 GeV and  $2.5 < |\eta| < 4.5$
    - central jet
      - pT > 20 GeV and |η| < 2.5
  - $\circ~$  Overlap removal to avoid double counting
  - $\circ$   $\,$  Tagging applied
- MET
  - $\circ \vec{E}_T^{\text{miss}} = -\sum (\vec{p}_{T,\text{elec}} + \vec{p}_{T,\text{muon}} + \vec{p}_{T,\text{hardronic}-\tau} + \vec{p}_{T,\text{jet}} + \vec{p}_{T,\text{soft term}})$
  - $\circ \vec{p}_T^{
    m miss}$  track-based MET using all ID tracks associated to the primary vertex

# Object and event selection – Tagging



- **DL1** as a c-tagger
- b-veto using MV2c10 70% WP
- Dedicated optimization of WP:
  - o c-jets (27%), b-jets (8.3%), light-jets (1.7%)

Low statistics



Instead of **D**irectly **T**agging (DT) events, weighting events by the probabilities for each jet to be c-tagged, based on its flavor label, doing *Truth Tagging* (TT)



(\*)  $\Delta R$  dependent correction

### Uncertainties

Major uncertainties:

- Data statistics is the largest
- Z+hf mcc shape (related to Z+bb, Z+cc shape)
- Top(bq) TopCR extrapolation (component peak under VH(cc) signal)
- W/Z+cc 2 jet TT dR (related to W/Z+jets dR correction)
- W+hf mCC shape (related to W+bb, W+cc shape)



### Breakdown of uncertainties

- Uncertainty on VH(cc) ~ 15.3
- Stat and systematic uncertainties of the same order
- Largest contributions to systematic uncertainties:
  - o Z+jets
  - Тор
  - Flavour tagging

Set of NPs	Impact	Experimental Syst
Total	± 15.3	(except FTAG)
Data Stat	± 10.0	Lepton
Data stat only	± 7.9	MET
Float. norm	± 5.1	JET
Full Syst	+ 11 5	Pile-up/Lumi
VH(cc) modelling	+ 2 1	FTAG + TT
Background modelling	± 2.1	FTAG (b-jet)
	± 0.0	FTAG (c-jet)
vv+jets	± 2.9	FTAG (I-iet)
Z+jets	± 7.0	
Тор	± 3.9	FTAG (tau-jet)
Diboson	+ 1.00	TT ΔR
Multi-jet	+ 0.98	DT norm
Multi-jet	± 0.90	MC Stat
Hbb	± 0.78	NO Stat

 $\pm 2.96$ 

 $\pm 0.49$ 

± 0.18

 $\pm 2.84$ 

 $\pm 0.29$ 

 $\pm 4.29$ 

± 1.11

± 1.67

± 0.35

 $\pm 0.33$ 

 $\pm 3.33$ 

 $\pm 1.74$ 

± 4.23

### Comparison VHcc 139/fb vs ZHcc 36/fb

	2015+2016 (36 /fb)	Full Run 2
Flavour tagging	c-tagging (MV2 based)	c-tagging + <b>b-tag veto</b> (DL1 vs MV2 based)
Jets categories	2+jets	2 and 3+jets
pTV	Low and high pTV	Low and high pTV
SRs	1 c-tag and 2 c-tag	1 c-tag and 2 c-tag
CRs	Top emu	Top emu, High dR CR, 0 c-tag
VH(bb) treatment	SM bkg SR Overlap	SM bkg Orthogonality in SR
VH(bb) fraction in 2 c-tag	6%	0,7%
Truth tagging	ΔR(jet1,jet2)	Min ΔR(tagged jet, closest jet2)
FTAG calibrations	36/fb	140/fb, 80/fb for c-jets
Modelling	36/fb	140/fb

### Object and event selection – Object Selection – Jets

#### Muon-in-jet correction

- Apply the correction if
  - $\circ$  muon pT > 4 GeV,  $|\eta| < 2.7$
  - pass Medium quality cut
  - $\circ$   $\Delta R(jet, muon) < min(0.4, 0.04+10/p_T^{\mu})$
- Improve VH(cc) signal resolution up to 6%

Add the muon 4-vector to the jet 4-vector, and remove the energy deposited by the muon from the jet 4-vector  $vec_{jet} = vec_{jet} + vec_{muon} - dep_{muon}$ 

### Background subtracted plots



#### Background subtracted mass spectrum from unconditional 3 POI fit to data

Good Data/MC post-fit agreement

### Background subtracted plots

Background subtracted mass spectrum from unconditional 3 POI fit to data



Good Data/MC post-fit agreement

### dR selection



### Overlap removal

• tau-electron: If  $\Delta R(\tau, e) < 0.2$ , the  $\tau$  lepton is removed.

• tau-muon: If  $\Delta R(\tau, \mu) < 0.2$ , the  $\tau$  lepton is removed, with the exception that if the  $\tau$  lepton has  $p_T > 50$  GeV and the muon is not a combined muon, then the  $\tau$  lepton is not removed.

• electron-muon: If a combined muon shares an ID track with an electron, the electron is removed. If a calo-tagged muon shares an ID track with an electron, the muon is removed.

• electron-jet: If  $\Delta R(\text{jet}, e) < 0.2$  the jet is removed. For any surviving jets, if  $\Delta R(\text{jet}, e) < \min(0.4, 0.04 + 10 \text{ GeV}/p_T^e)$ , the electron is removed.

• **muon-jet** If  $\Delta R(jet, \mu) < 0.2$  or the muon ID track is ghost associated to the jet, then the jet is removed if the jet has less than three associated tracks with  $p_T > 500$  MeV (NumTrkPt500PV<sup>jet</sup> < 3) or both of the following conditions are met: the  $p_T$  ratio of the muon and jet is larger than 0.5 ( $p_T^{\mu}/p_T^{jet} > 0.5$ ) and the ratio of the muon  $p_T$  to the sum of  $p_T$  of tracks with  $p_T > 500$  MeV associated to the jet is larger than 0.7 ( $p_T^{muon}/SumPtTrkPt500PV^{jet} > 0.7$ ).

For any surviving jets, if  $\Delta R(\text{jet}, \mu) < \min(0.4, 0.04 + 10 \text{ GeV}/p_T^{\mu})$ , the muon is removed.

• tau-jet: If  $\Delta R(\tau, \text{jet}) < 0.2$ , the jet is removed.

### C-tagging

Variable Name	Description
$L_{\rm xyz}$	Three-dimensional displacement of secondary vertex from the primary vertex
$L_{\mathrm{xy}}$	Transverse displacement of the secondary vertex
$Y_{\text{trk}}^{\min}, Y_{\text{trk}}^{\max}, Y_{\text{trk}}^{\text{avg}}$	Min, Max and Avg. track rapidity of tracks in jet
$Y_{\text{trk}}^{\min}, Y_{\text{trk}}^{\max}, Y_{\text{trk}}^{\text{avg}}$ (2 <sup>nd</sup> vtx)	Min, Max and Avg. track rapidity of tracks at secondary vertex
m	Invariant mass of tracks associated to secondary vertex
E	Energy of charged tracks associated to secondary vertex
$\mathbf{f}_{\mathbf{E}}$	Energy fraction of charged tracks (from all tracks in the jet)
	associated to secondary vertex
N <sub>trk</sub>	Number of tracks associated to the secondary vertex

Table 2: Summary of new input variables, used for charm tagging, in addition to those used by the baseline MV2 *b*-tagging algorithm [4]. The track pseudo-rapidity  $Y_{trk}$  is computed as  $Y_{trk} \equiv -\log\left(\tan\left(\frac{\theta}{2}\right)\right)$ , where  $\theta$  is the angle between the track momentum and the hadron flight path reconstructed by JetFitter.

### Tagging working point

	c-jets	b-jets	I-jets
ZH(cc) 36 /fb	41%	25%	5%
VH(cc) 139 /fb	27%	8%	1.6%
CMS VH(cc) 36 /fb	27%	17%	4%

### Systematics pruning

- Neglect the normalization uncertainty for a given sample in a region if either of the following is true
  - $\circ$  the variation is less than 0.5%
  - $\circ$   $\,$  both up and down variations have the same sign
- Neglect the shape uncertainty for a given sample in a given region if the following is true
  - not one single bin has a deviation over 0.5% after the overall normalization is removed
  - if only the up or the down variation is non-zero and passed the previous pruning steps
- Neglect the shape and normalization uncertainties for a given sample in a given region if the sample is less than 2% of the total background
  - if the signal < 2% of the total background in all bins and the shape and normalization error are each < 0.5% of the total background</li>
  - if at least one bin has a signal contribution > 2% of the total background, only in those bins where the shape and normalization error are each < 2% of the signal yield</li>

## Higgs Lagrangian

• 
$$\mathcal{L}_{GM} = \frac{1}{4}g^2 v^2 W_{\mu}^+ W^{-\mu} + \frac{1}{8}v^2 (g^2 + {g'}^2) Z_{\mu} Z^{\mu} \Rightarrow m_Z = \frac{1}{2}v \sqrt{g^2 + {g'}^2}, \frac{m_W}{m_Z} = \cos \theta$$
  
•  $\mathcal{L}_{Yukawa} = -\left[\frac{m_{li}}{v}\overline{l_i}l_i + \frac{m_{di}}{v}\overline{d_i}d_i + \frac{m_{ui}}{v}\overline{u_i}u_i\right] H - m_{li}\overline{l_i}l_i - m_{di}\overline{d_i}d_i - m_{ui}\overline{u_i}u_i$   
 $m_{li} \equiv \frac{g_i^L}{\sqrt{2}}v, m_{di} \equiv \frac{g_i^d}{\sqrt{2}}v, m_{ui} \equiv \frac{g_i^u}{\sqrt{2}}v$ 

### Anti QCD cuts

- $|\Delta \Phi(\mathbf{E}_{\mathrm{T}}^{\mathrm{miss}}, \mathbf{E}_{\mathrm{T, trk}}^{\mathrm{miss}})| < 90^{\circ}$
- $|\Delta \Phi(jet1, jet2)| < 140^{\circ}$
- $|\Delta \Phi(\mathbf{E}_{\mathrm{T}}^{\mathrm{miss}}, h)| > 120^{\circ}$
- $min[|\Delta \Phi(\mathbf{E}_{\mathrm{T}}^{\mathrm{miss}}, \mathrm{pre-sel. \ jets})|] > 20^{\circ} \text{ for } 2 \text{ jets}, > 30^{\circ} \text{ for } 3 \text{ jets}.$



Run: 309892 Event: 4866214607 2016-07-16 06:20:19 CEST

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Run: 350440 Event: 1105654304 2018-05-16 23:55:11 CEST "c-tag + b-veto" working point calibrated using the same control channels and methods used for official b-tagging calibrations (exactly the same code used)

- ♦ *b*-jets calibrated in  $e^{\pm}\mu^{\mp}$  + 2 jets region pure in di-leptonic events, uncertainty dominated by modelling uncertainties
- ♦ c-jets calibrated in  $\ell^{\pm}$  + 2 jets + 2 *b*-jets pure in semi-leptonic events (*W* → *cs*, *cd*), uncertainty dominated by modelling uncertainties
- light flavour jets calibrated in Z + jets events using "negative tag" method, uncertainty dominated by "extrapolation" uncertainty from negative tag approach
- $\diamond~ au$ -jets share scale factor derived for *c*-jets, with additional uncertainty of 22%

Single top

