

Primordial black holes from a cosmic phase transition

The collapse of Fermi-balls

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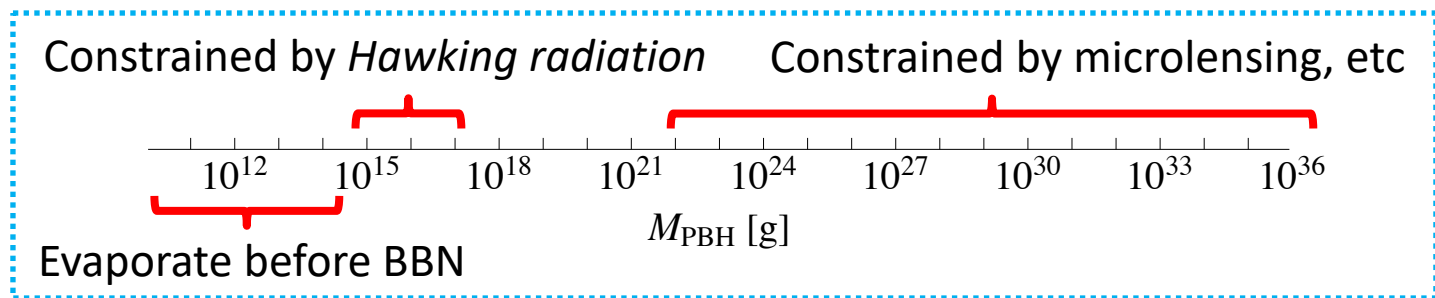
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In collaboration with Kiyoharu Kawana [[arXiv: 2106.00111](https://arxiv.org/abs/2106.00111)]

• Introduction

What are primordial black holes?

- They are **Hypothetical** black holes form in the early Universe; [Zel'dovitch *et al*, 1966]
- NOT from the collapse of stars, and hence **mass** lies in a vast region, not related to the stellar mass.

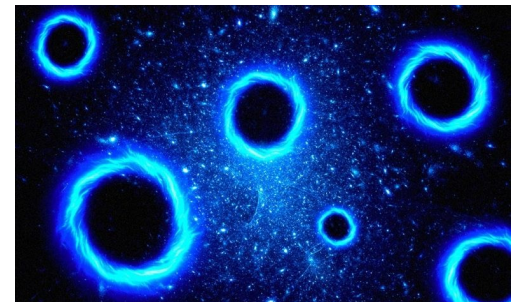


What can primordial black holes do?

- Naturally be the **dark matter** candidate;
- Seed supermassive black holes;
- Generate the matter-antimatter asymmetry; ...

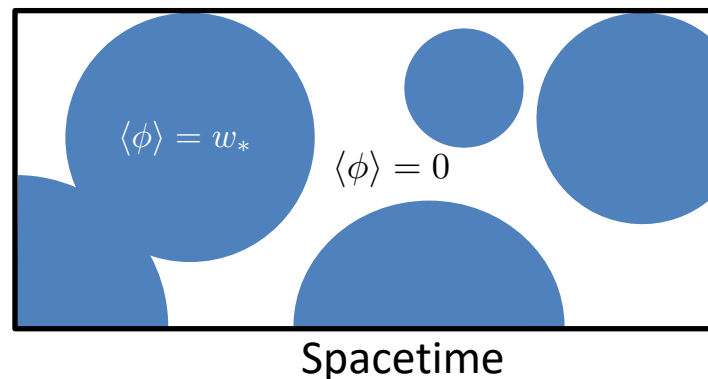
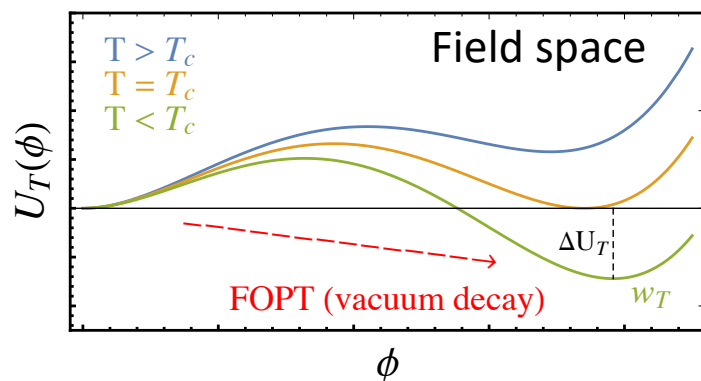
How do primordial black holes form?

- Collapse of the overdense region from primordial perturbations of inflation;
- Scalar field fragmentation; [Cotner *et al*, PRL2017] [Carr *et al*, MNRAS1974]
- First-order cosmic phase transition [**this talk**]; ...



- How does the 1st-order PT form PBHs?

What is a 1st-order phase transition?



What is the feature of a 1st-order phase transition?

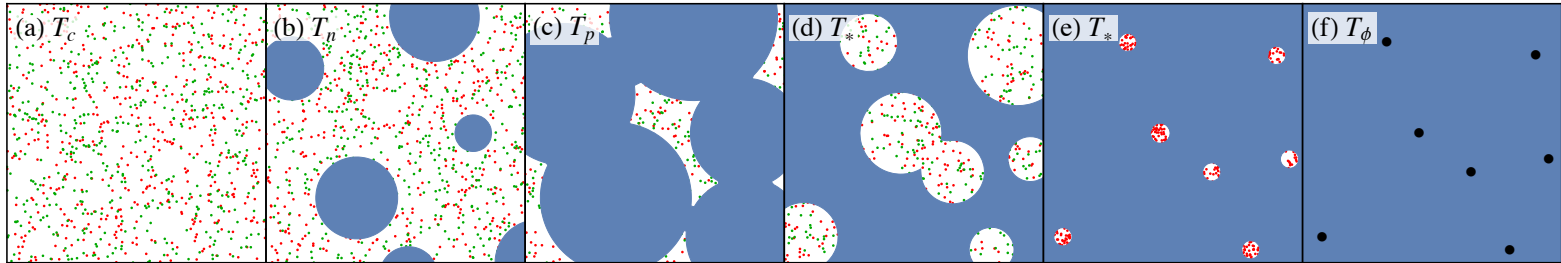
- Vacuum bubble nucleation;
- Particle mass discontinuous when crossing the bubble wall;

How does the 1st-order phase transition form PBHs?

- Compressing the fermions into the critical volume; [Baker *et al*, 2105.07481]
- By the postponed vacuum decay; [Liu, Bian, Cai, Guo and Wang, 2106.05637]
- ...
- Forming non-topological solitons which then collapse to primordial black holes [this talk: 2106.00111].

- Our novel mechanism: collapse of Fermi-balls

The sketch



- Phase transition (a-c) traps fermions (red and green dots) in the old vacuum (d);
- The trapped fermions form *non-topological solitons* called **Fermi-balls** (e);
- **Fermi-balls** collapse to **primordial black holes** (f).

The features

- It is a rather generic mechanism that can apply to a vast number of new physics models;
- Especially it can be linked to the Higgs field on the electroweak phase transition;

Outline of this talk

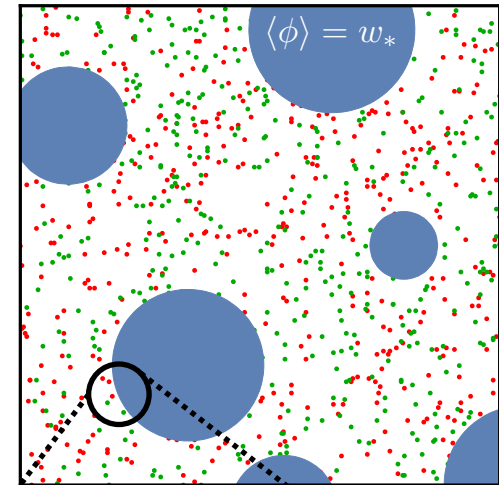
- I will first introduce the generic feature and then discuss relation with the electroweak phase transition;

What is happening for a fermion during a FOPT?

Assume a simple Lagrangian

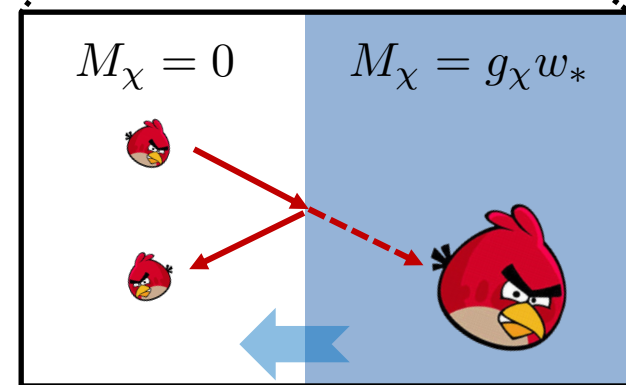
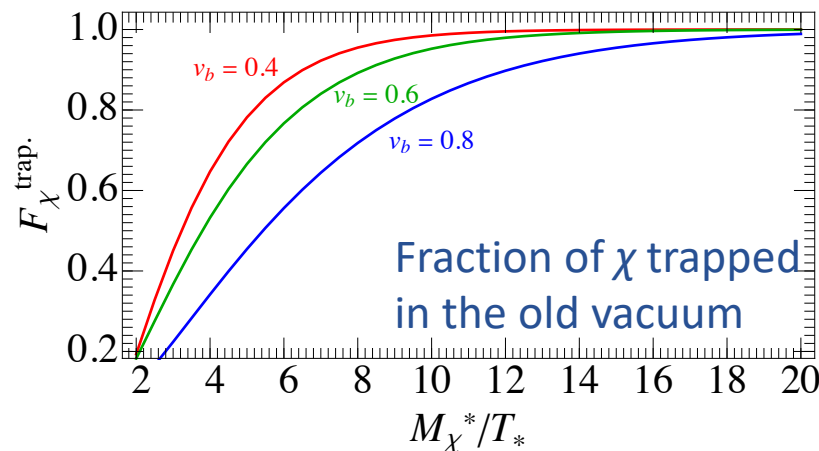
$$\mathcal{L} = -\frac{1}{2}\partial_\mu\overset{\text{Scalar}}{\phi}\partial^\mu\phi - \underset{\text{Potential for phase transition}}{U(\phi)} + \bar{\chi}\overset{\text{Fermion}}{i}\gamma^\mu\partial_\mu\chi - \underset{\text{Yukawa vertex}}{g_\chi\phi\bar{\chi}\chi},$$

The interaction between bubble and fermion!



Mass changes across the bubble wall

- If **mass gap** \gg **temperature** T_* , the fermions cannot penetrate the wall!



Wall velocity v_b

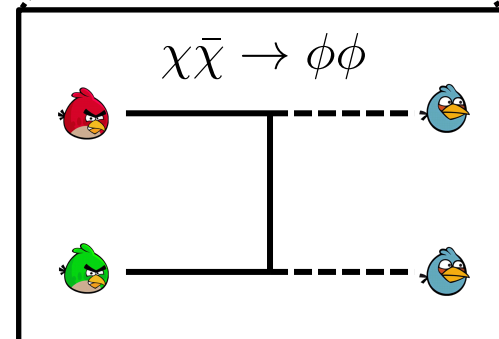
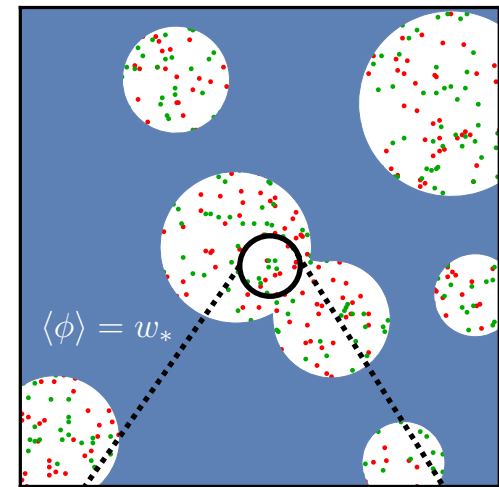
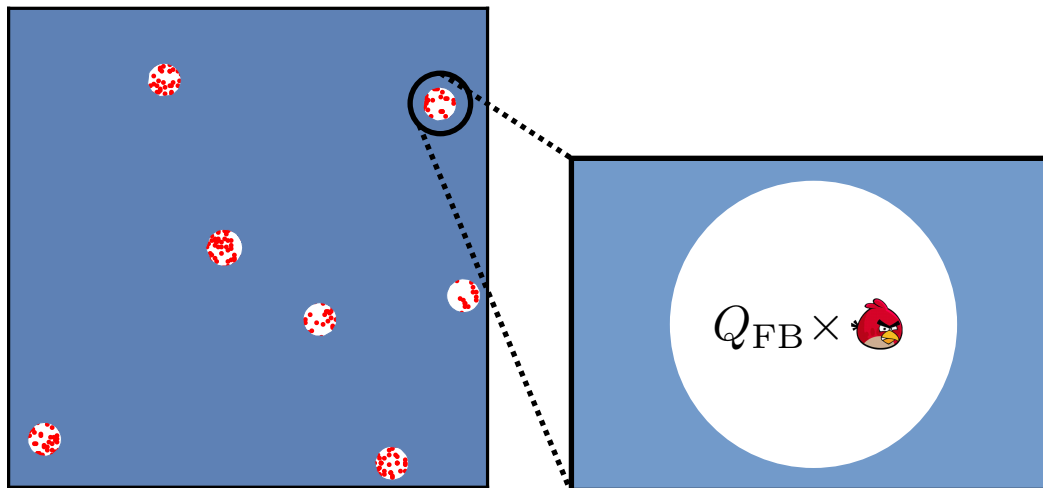
• What is happening for a fermion during a FOPT?

Fermions are trapped in the old vacuum!

- They begin to annihilate with the antiparticles;
- If there is a **pre-existing asymmetry** between the fermions & antifermions (like *baryon asymmetry*), only fermions survive.

After that, what will happen?

- Residual fermions develop a **degeneracy pressure**;
- Stable **Fermi-balls** form when the pressure is able to balance the vacuum pressure.



For details --

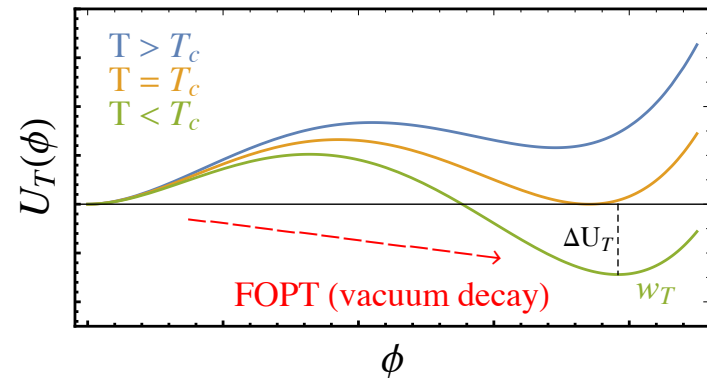
Formation of Fermi-balls

Phase transition

- The decay rate of vacuum [Linde, NPB1983]

$$\Gamma(T) \sim T^4 \exp \{ -S_3(T)/T \}$$

Classical action [model-dependent]

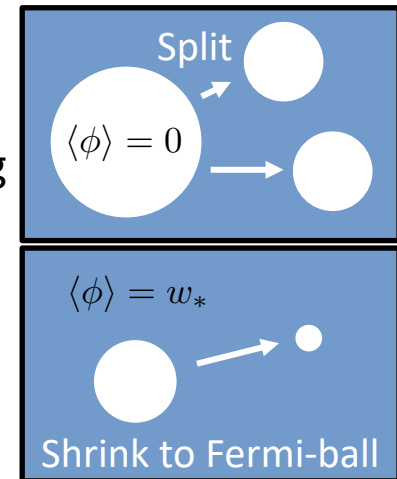


The fate of old vacuum remnants

- Remnants: first split, then shrink to be Fermi-balls
- The critical size of the end of splitting (and the beginning of shrinking):

$$\Gamma(T_*)V_*\Delta t \sim 1, \quad V_* = \frac{4\pi}{3}R_*^3, \quad \Delta t = \frac{R_*}{v_b}$$

- Such a critical size remnant is **the seed** of a Fermi-ball.



- Number density** at formation $n_{\text{FB}}^* \approx 0.29 \times V_*^{-1}$

The χ -asymmetry

- The number of fermions in a Fermi-ball

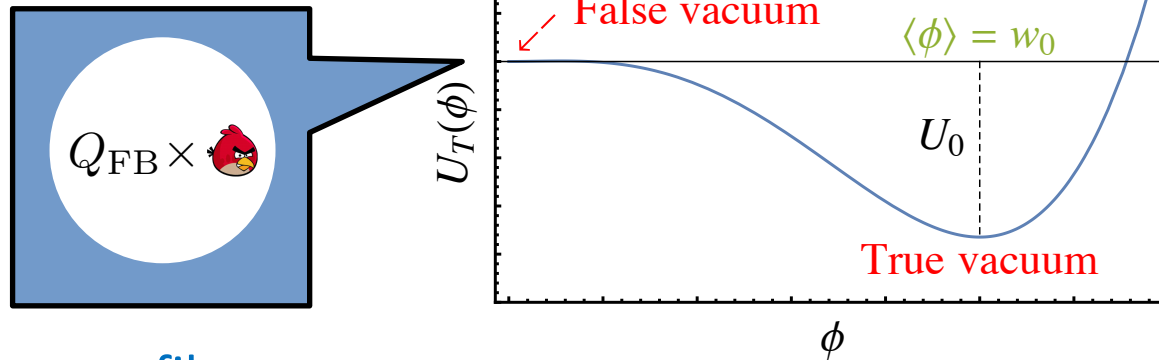
$$Q_{\text{FB}} = F_{\chi}^{\text{trap.}} \frac{n_{\chi} - n_{\bar{\chi}}}{n_{\text{FB}}^*} \approx F_{\chi}^{\text{trap.}} \eta_{\chi} s_* V_*$$

• The Fermi-ball profile

The physical picture

- A Fermi-ball is a collection of Fermions & false vacuum

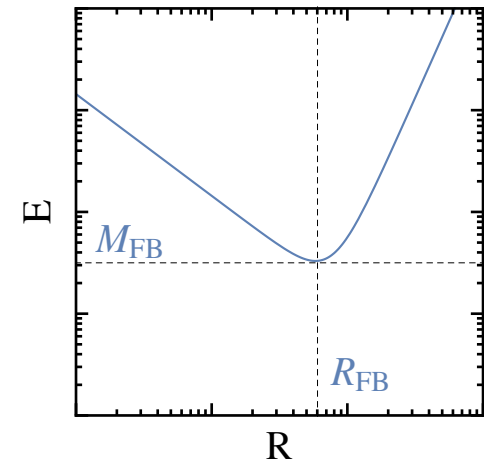
A single Fermi-ball



The energy profile

$$E = \underbrace{\frac{3\pi}{4} \left(\frac{3}{2\pi} \right)^{2/3} \frac{Q_{\text{FB}}^{4/3}}{R}}_{\text{Fermi-gas kinetic energy}} + \underbrace{4\pi\sigma_0 R^2}_{\text{Surface tension (negligible)}} + \underbrace{\frac{4\pi}{3} U_0 R^3}_{\text{Volume energy}}$$

- Thermal corrections minorly change the expression;
- The Fermi-ball mass M_{FB} is determined by $dE/dR = 0$.

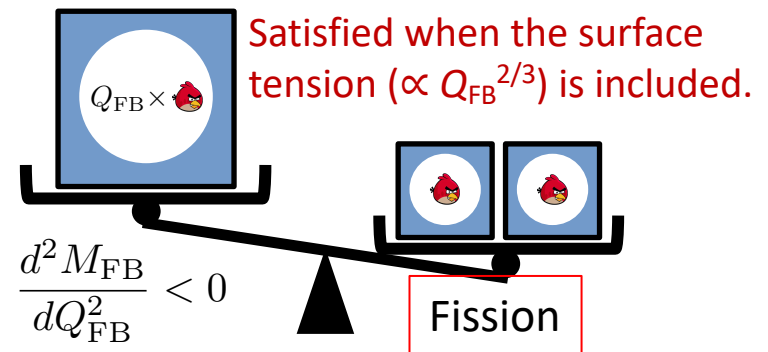
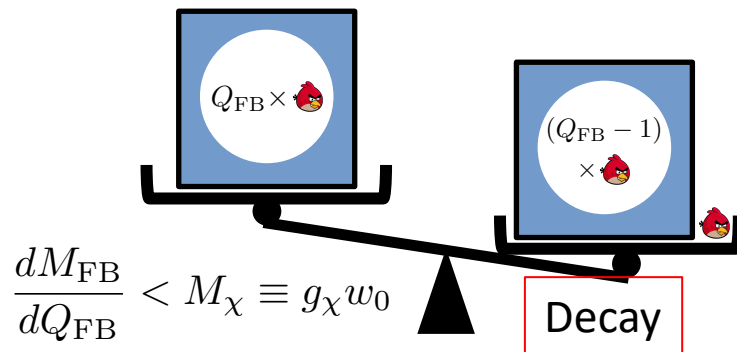


• The Fermi-ball profile

The mass and radius

$$M_{\text{FB}} = Q_{\text{FB}} (12\pi^2 U_0)^{1/4}, \quad R_{\text{FB}} = Q_{\text{FB}}^{1/3} \left[\frac{3}{16} \left(\frac{3}{2\pi} \right)^{2/3} \frac{1}{U_0} \right]^{1/4}$$

The stability conditions



Then we have the stable Fermi-balls! [J.P.Hong, S.Jung and K.P.Xie, PRD2020]

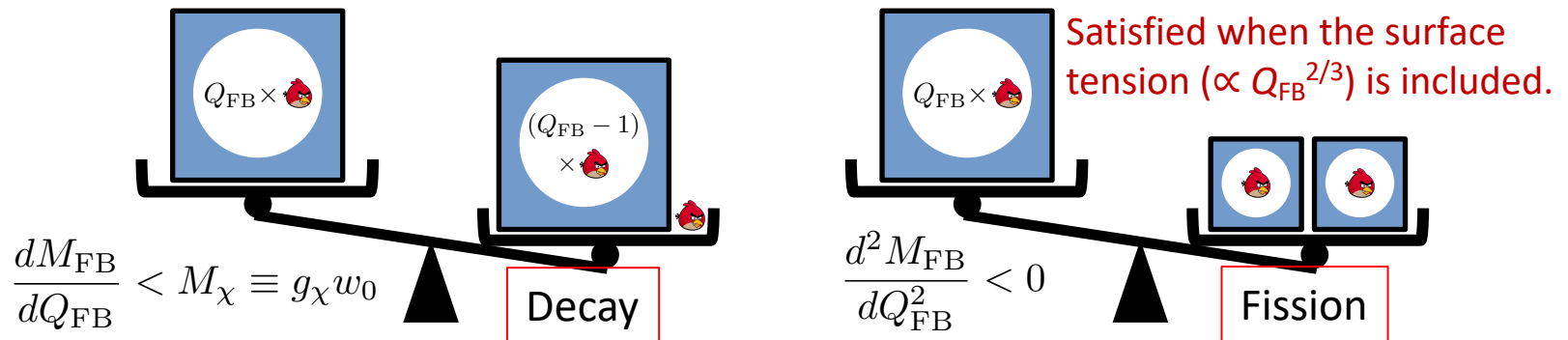
- Non-topological solitons
- Dark matter candidate

• The Fermi-ball profile

The mass and radius

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The stability conditions



Then we have the stable Fermi-balls! [J.P.Hong, S.Jung and K.P.Xie, PRD2020]

- Non-topological solitons
- Dark matter candidate

... Is that the whole story?



Something is missed!

- Recall the Fermi-ball profile

The improved energy profile

- We missed the **Yukawa energy** caused by $\mathcal{L} \supset -g_\chi \bar{\chi} \chi \phi$ of the fermions!

$$E = \underbrace{\frac{3\pi}{4} \left(\frac{3}{2\pi}\right)^{2/3} \frac{Q_{\text{FB}}^{4/3}}{R}}_{\text{Fermi-gas kinetic energy}} + \underbrace{4\pi\sigma_0 R^2}_{\text{Surface tension (negligible)}} + \underbrace{\frac{4\pi}{3} U_0 R^3}_{\text{Volume energy}}$$

$$-\frac{15g_\chi^2}{40\pi} \frac{Q_{\text{FB}}^2}{R} \left(\frac{L_\phi}{R}\right)^2$$

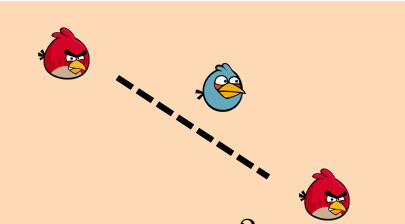
- Negative** contribution due to the attractive feature of Yukawa;
- Range of force $L_\phi = 1/M_\phi$, where M_ϕ is the ϕ mass **inside** the Fermi-ball;

The features of the Yukawa interaction:

- Enhanced by Q_{FB}^2 , but suppressed by L_ϕ^2 ;
- It dominates the energy when

$$L_\phi \sim R_{\text{FB}} Q_{\text{FB}}^{-1/3}$$

- Which means the range of force reaches the mean separation of fermions in the Fermi-ball!



$$V(r) = -\frac{g_\chi^2}{4\pi r} e^{-M_\phi r}$$

- After including the (negative) Yukawa energy...

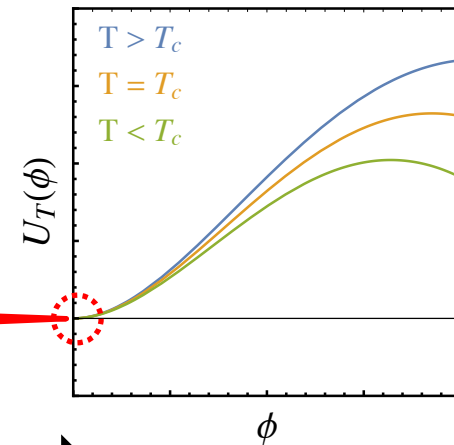
Two important facts

1. A Fermi-ball is a very dense object

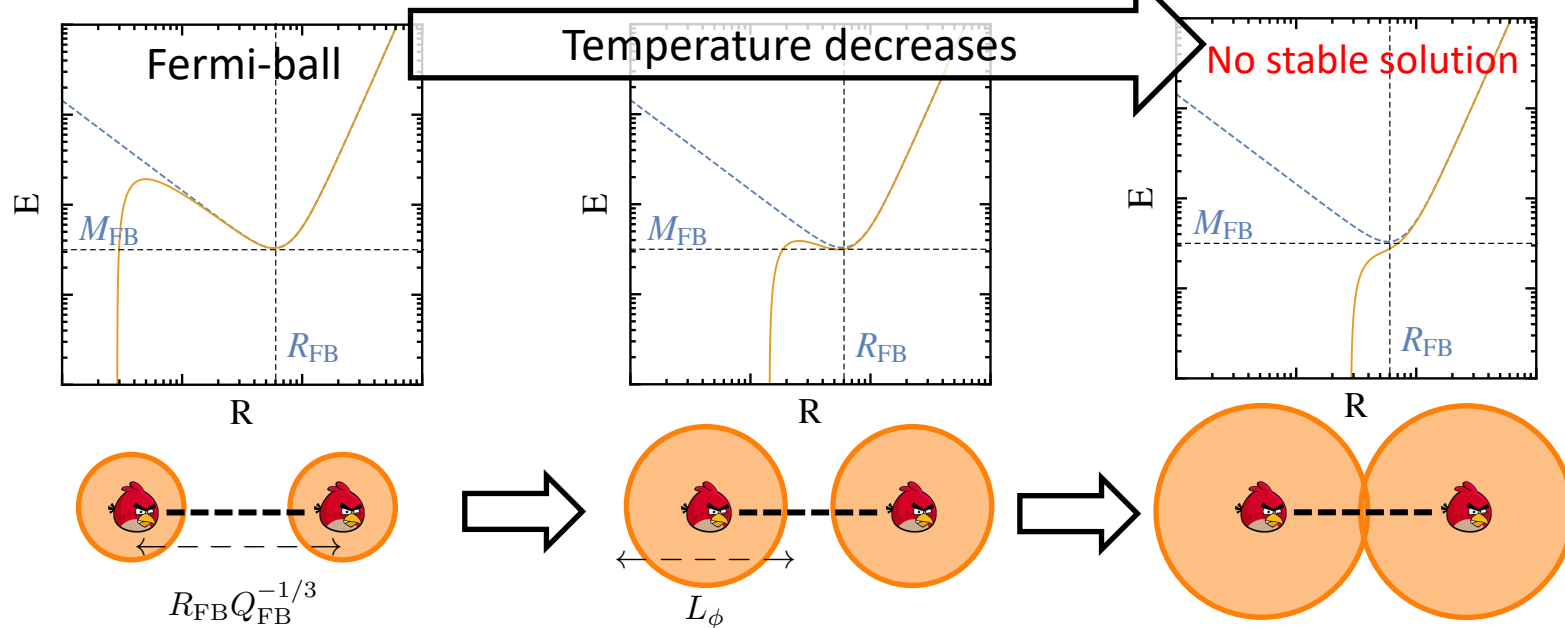
$$M_{\text{FB}}/V_{\text{FB}} = 9.15 \times 10^{28} \text{ kg/m}^3 \left(\frac{U_0^{1/4}}{100 \text{ GeV}} \right)^4$$

2. The range of force **increases** as T drops!

$$M_\phi = \sqrt{\mu^2 + cT^2}$$



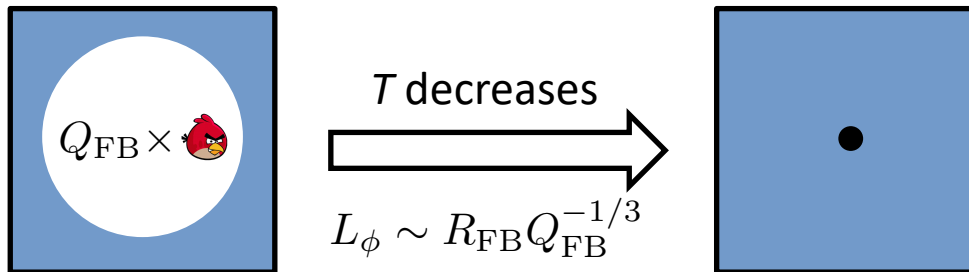
The energy profile of a Fermi-ball changes



- From Fermi-balls to primordial black holes

The meaning of “no stable solution”

- The Fermi-ball **collapses** to a **primordial black hole** because of the Yukawa interaction!



- Collapse temperature defined as T_ϕ .

The resultant primordial black hole

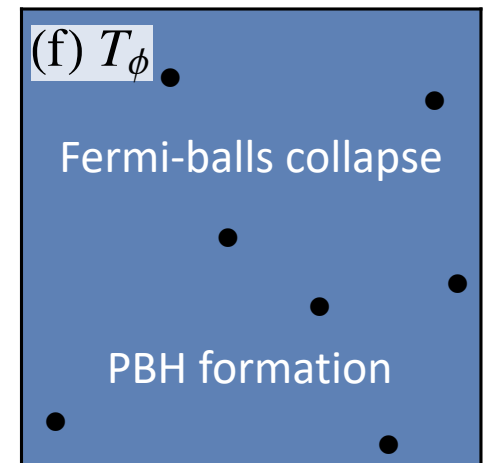
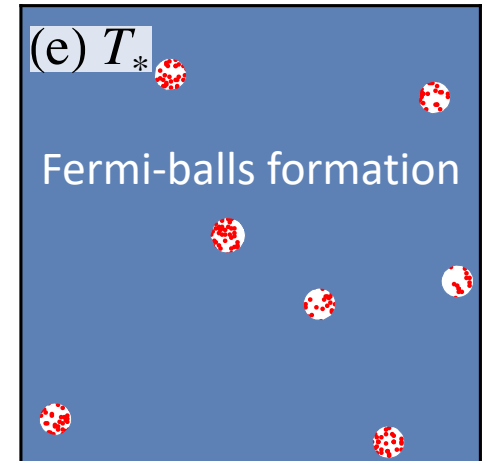
- Inherits the mass from the mother Fermi-ball

$$M_{\text{PBH}} \approx M_{\text{FB}} = Q_{\text{FB}} (12\pi^2 U_0)^{1/4}$$

- The number density scales as

$$n_{\text{PBH}} = s \times \frac{n_{\text{FB}}^*}{s_*}$$

- That is our PBH formation mechanism!



Fermi-ball/Primordial black hole profile

The rigorous calculation

- Calculate the vacuum decay rate (by action S_3), the critical size of the old vacuum remnant, the mass & number density of the Fermi-balls, etc

A quick estimate

- The action is approximately ^[Huber et al JCAP2008] The ratio of Hubble time to phase transition duration

$$\frac{S_3(T_*)}{T_*} \approx 131 - 4 \ln \left(\frac{T_*}{100 \text{ GeV}} \right) - 4 \ln \left(\frac{\beta/H}{100} \right) + 3 \ln v_b - 2 \ln \left(\frac{g_*}{100} \right),$$

- And assume the energy difference between new & old vacuums $U_0(T_*) \approx \alpha \times \frac{\pi^2}{30} g_* T_*^4$
- Now we are able to drive

$$\begin{aligned} Q_{\text{FB}} &\approx 1.0 \times 10^{42} \times v_b^3 \left(\frac{\eta_\chi}{10^{-3}} \right) \times \left(\frac{100}{g_*} \right)^{1/2} \left(\frac{100 \text{ GeV}}{T_*} \right)^3 \left(\frac{100}{\beta/H} \right)^3, \\ R_{\text{FB}} &\approx 4.8 \times 10^{-3} \text{ cm} \times v_b \left(\frac{\eta_\chi}{10^{-3}} \right)^{1/3} \times \left(\frac{100}{g_*} \right)^{5/12} \left(\frac{100 \text{ GeV}}{T_*} \right)^2 \left(\frac{100}{\beta/H} \right) \alpha^{-1/4}, \\ M_{\text{FB}} &\approx M_{\text{PBH}} \approx 1.4 \times 10^{21} \text{ g} \times v_b^3 \left(\frac{\eta_\chi}{10^{-3}} \right) \times \left(\frac{100}{g_*} \right)^{1/4} \left(\frac{100 \text{ GeV}}{T_*} \right)^2 \left(\frac{100}{\beta/H} \right)^3 \alpha^{1/4}, \\ f_{\text{PBH}} &\approx 1.3 \times 10^3 \times v_b^{-3} \left(\frac{g_*}{100} \right)^{1/2} \left(\frac{T_*}{100 \text{ GeV}} \right)^3 \times \left(\frac{\beta/H}{100} \right)^3 \left(\frac{M_{\text{PBH}}}{10^{15} \text{ g}} \right); \text{ DM fraction} \end{aligned}$$

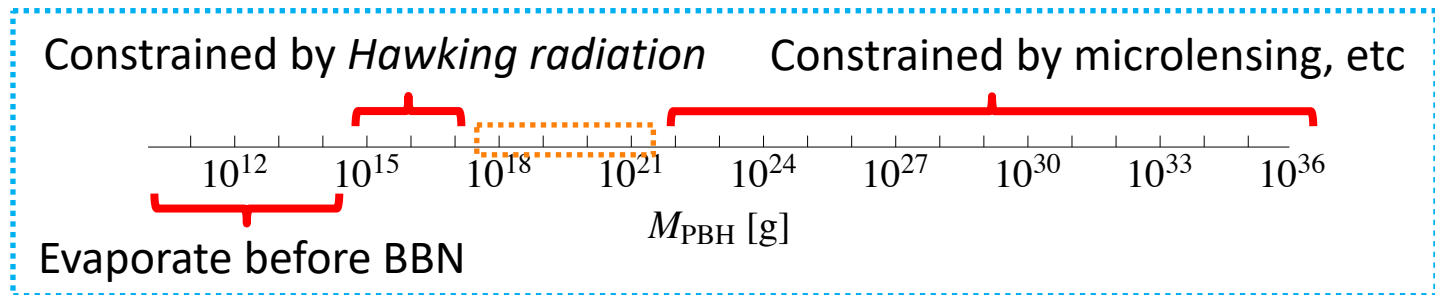
• Can the electroweak phase transition form PBHs?

Extending the SM with a singlet scalar and fermion

- The scalar potential is able to trigger a 1st-order EW phase transition

$$V = -\mu^2 |H|^2 + \lambda |H|^4 + \frac{a_1}{2} |H|^2 \phi + \frac{a_2}{2} |H|^2 \phi^2 + b_1 \phi + \frac{b_2}{2} \phi^2 + \frac{b_3}{3} \phi^3 + \frac{b_4}{4} \phi^4,$$

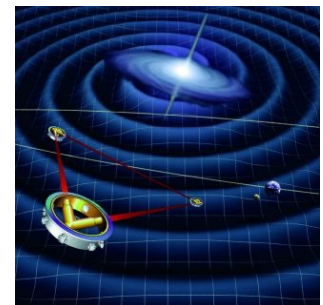
- The resultant PBH mass around 10^{21} g, which can explain all dark matter;



- But $f_{\text{PBH}} \approx 10^3$, implying that an appropriate entropy production mechanism is necessary to dilute the PBH density (entire DM means $f_{\text{DM}} = 1$);

Rich phenomenology

- The phase transition gravitational waves at future interferometers, e.g. LISA, TianQin or Taiji;
- Phenomenology at colliders, i.g. di-Higgs production.
- **Work in progress!**



- **Conclusion**

We propose a novel mechanism for **primordial black holes** formation

- ❑ Phase transition first forms a kind of no—topological solitons called **Fermi-balls**;
- ❑ **Fermi-balls** then **collapse** into **black holes**, due to the **Yukawa attractive force**;
- ❑ Such collapse could happen, because the range of Yukawa force increases as the Fermi-balls cool down;
- ❑ This mechanism can be applied to the **electroweak phase transition** (**in progress**).

Thank you!



铜缸灯
西汉
舒城大云山出土
Bronze lamp
Western Han
Unearthed from the Dayushan Site, Xuyi County

• Backup

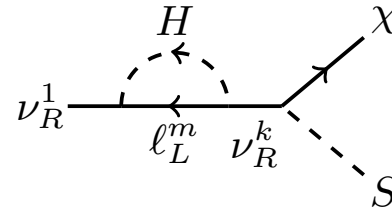
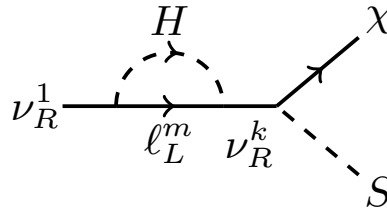
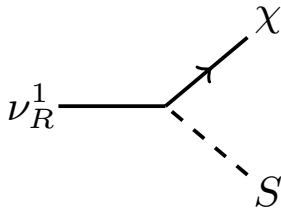
The rigorous expression for the Yukawa energy

$$E_{\text{Yuk.}} = -\frac{3g_\chi^2}{20\pi} \frac{Q_{\text{FB}}^2}{R} f\left(\frac{L_\phi}{R}\right); \quad f(\xi) = \frac{5}{2}\xi^2 \left[1 + \frac{3}{2}\xi(\xi^2 - 1) - \frac{3}{2}\xi(\xi + 1)^2 e^{-2/\xi} \right]$$

- $f(0) = 0$ and $f(\infty) = 1$.

Generating the fermion asymmetry

- A leptogenesis-like mechanism



$$\eta_\chi \equiv \frac{n_\chi - n_{\bar{\chi}}}{s} \approx \frac{1}{6} \left(1 - \frac{M_S^2}{M_1^2} \right)^2 \quad \eta_B \equiv c_\chi \eta_B$$

$$\eta_B \equiv \frac{n_B - n_{\bar{B}}}{s} \approx 10^{-10}$$