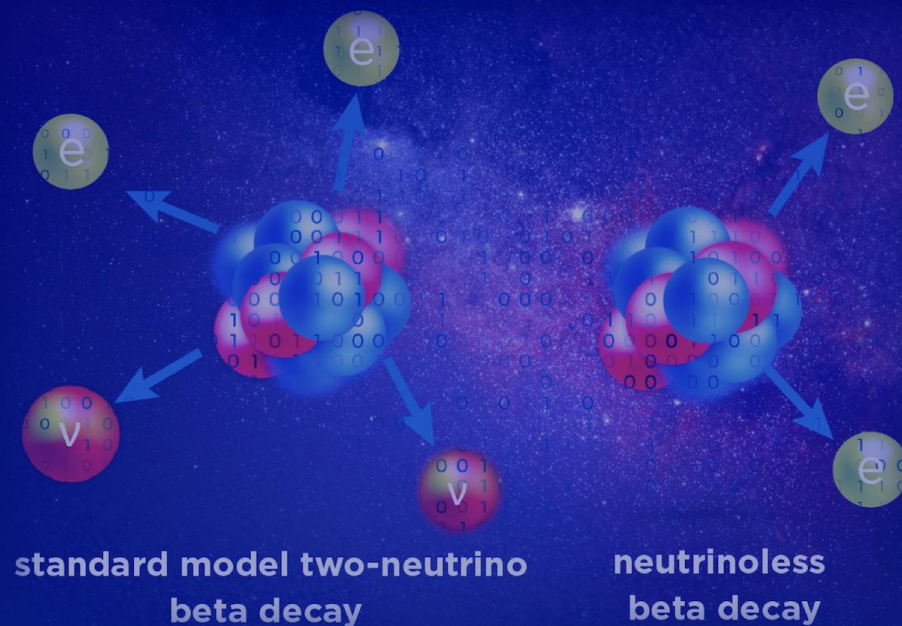


Nuclear-structure aspects of the neutrinoless double-beta decay



焦长峰

中山大学 物理与天文学院

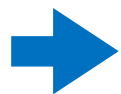


2022年10月17日 第七届手征有效场论研讨会 @ 东南大学

Is neutrino a majorana fermion?



中微子振荡实验



中微子有质量



无法自然给出左右手粒子场产生的狄拉克质量项



只测到左手性中微子

为了优雅自然地解决中微子质量问题

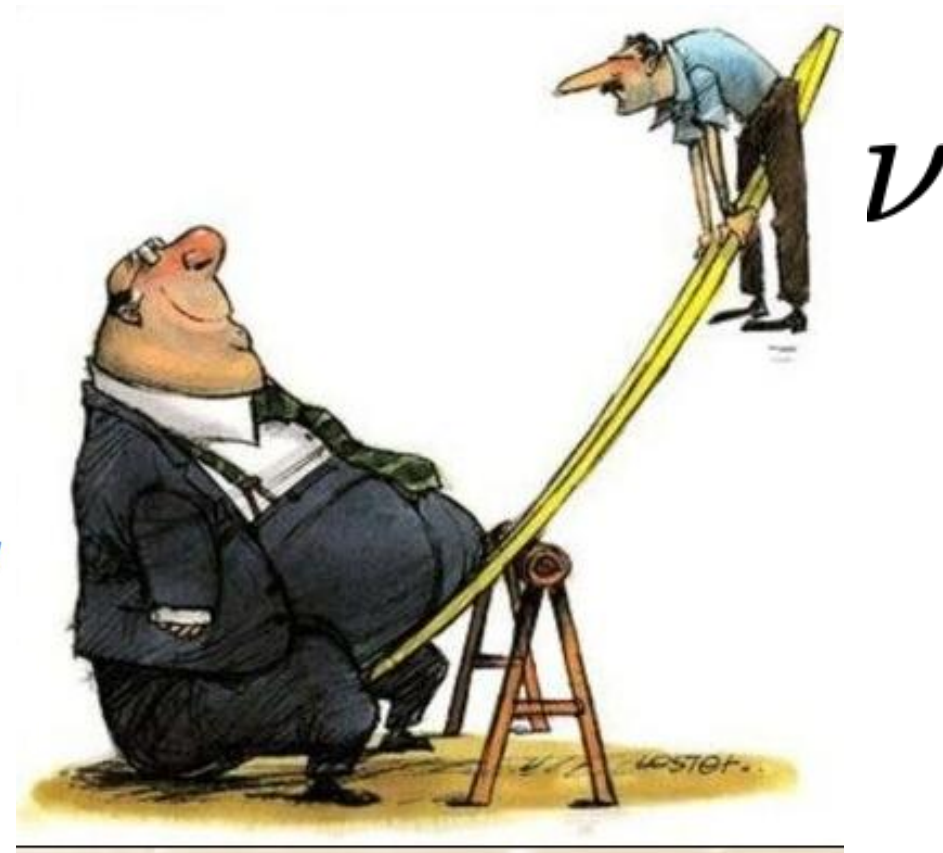
跷跷板机制: 存在极重的右手中微子
右手越重, 左手越轻。

这基于一个假设: 中微子是马约拉纳费米子。

If it is true, then

- 解释中微子质量起源
- 解释物质-反物质不对称
- 超越粒子物理标准模型的新物理

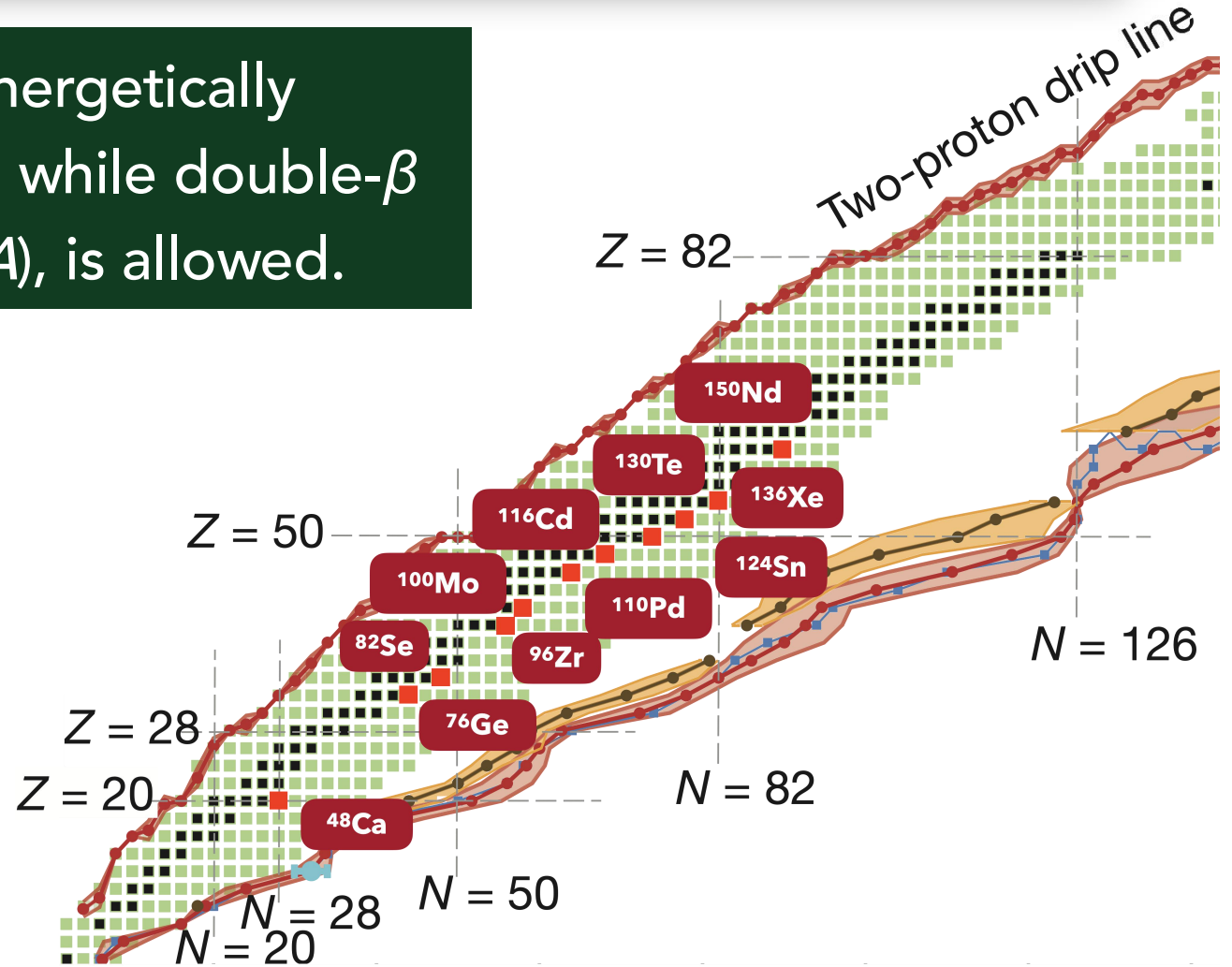
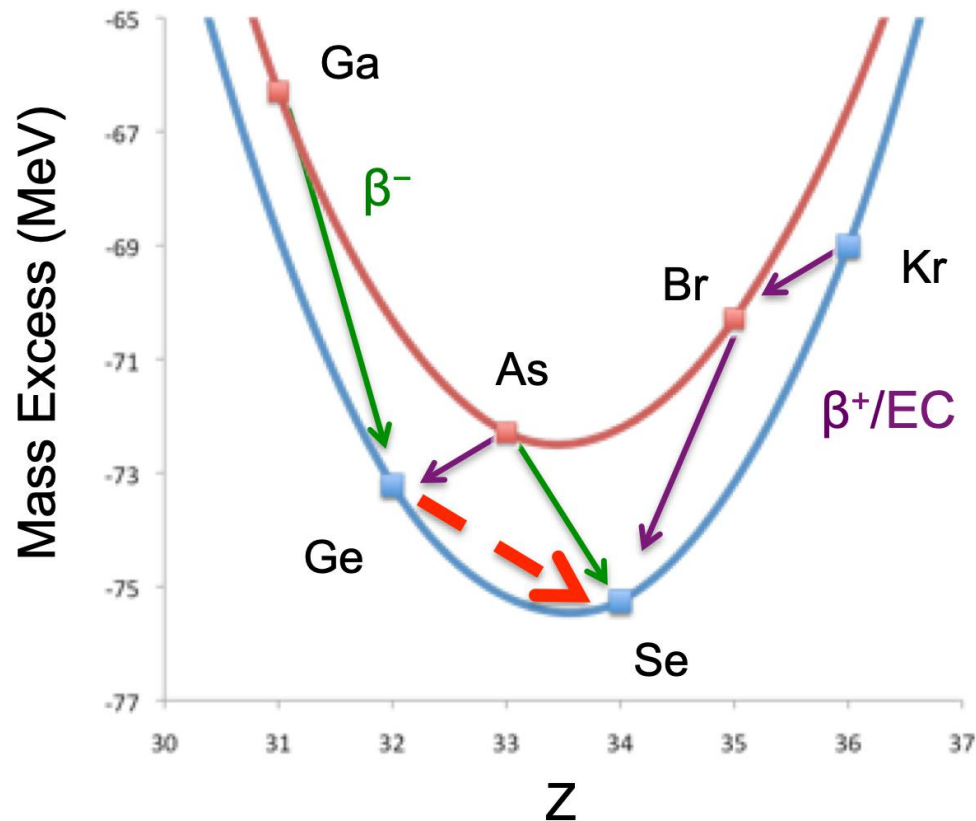
N



Probes: Neutrinoless double- β decay ($0\nu\beta\beta$ decay)



In certain even-even nuclei, β decay is energetically forbidden, because $m(Z, A) < m(Z+1, A)$, while double- β decay, from a nucleus of (Z, A) to $(Z+2, A)$, is allowed.



May be the only feasible way to determine whether neutrino is a Majorana Fermion.

The importance of NME in $0\nu\beta\beta$ decay

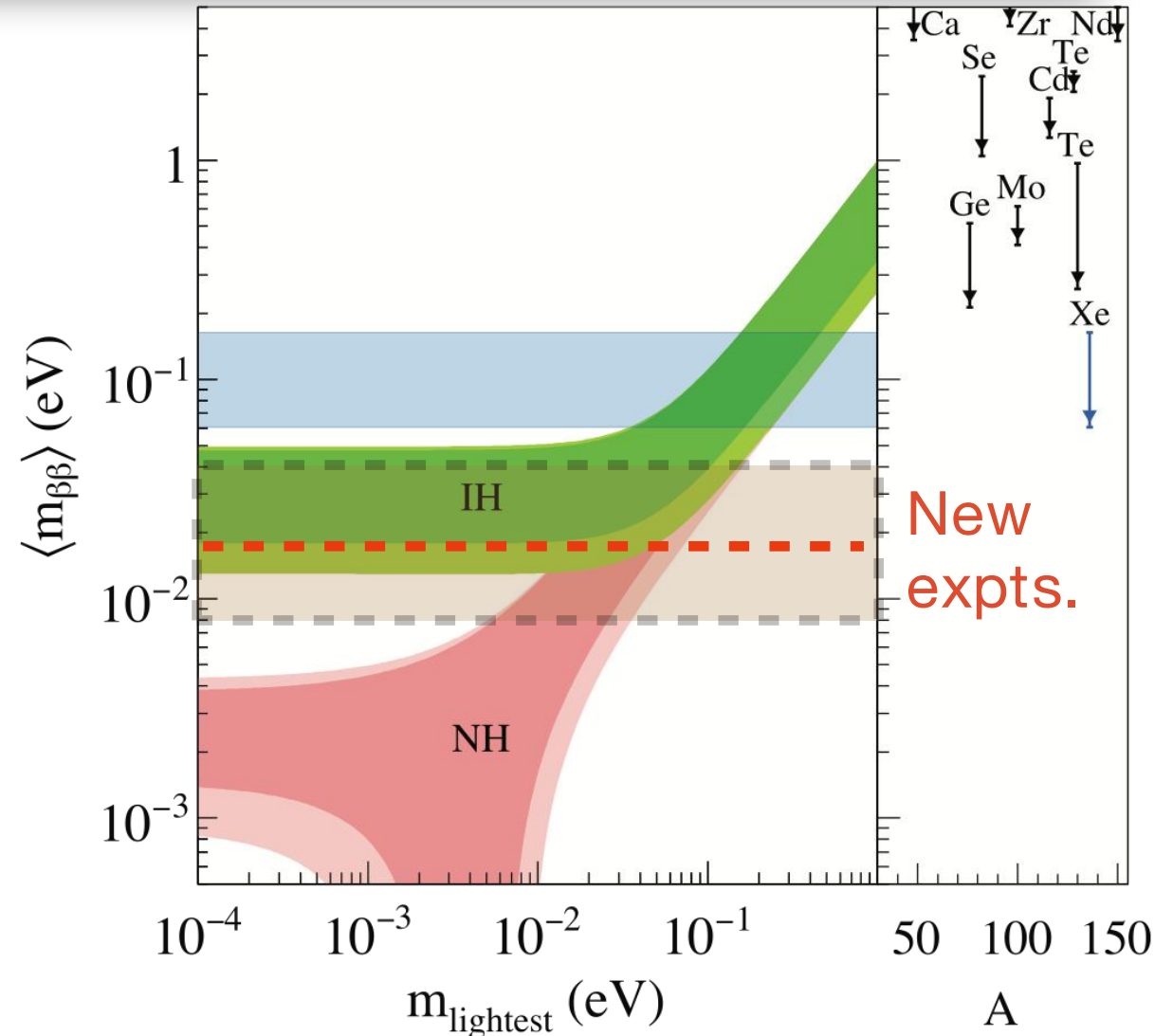
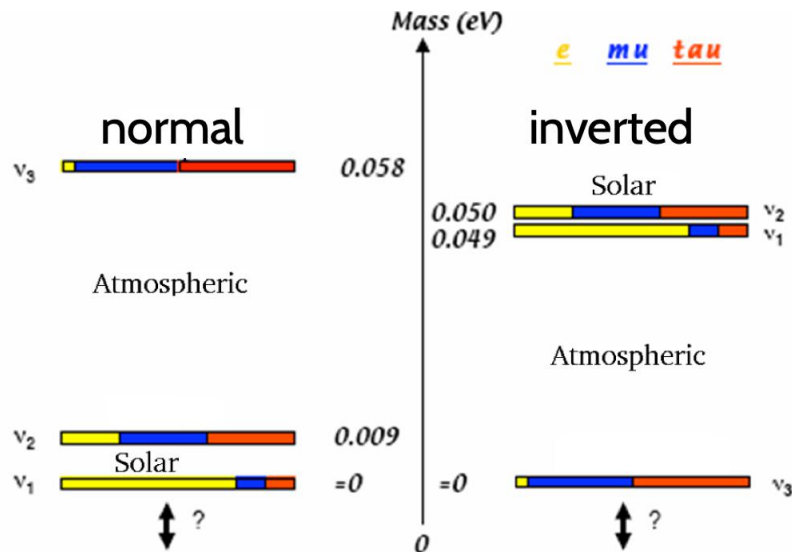
From neutrino oscillations we know

$$\Delta m_{\text{sun}}^2 \simeq 75 \text{ meV}^2 \quad \Delta m_{\text{atm}}^2 \simeq 2400 \text{ meV}^2$$

We also know the mixing angles that specify the linear combinations of flavor eigenstates

$$m_{\beta\beta} \equiv \left| \sum_k m_k U_{ek}^2 \right|$$

But we **don't** know the mass hierarchy.



The large uncertainty comes from NMEs.

Probes: Neutrinoless double- β decay ($0\nu\beta\beta$ decay)

$$[T_{1/2}^{0\nu}]^{-1} = G_{0\nu}(Q, Z) |M_{0\nu}|^2 \langle m_{\beta\beta} \rangle^2$$

$$M^{0\nu} = M_{\text{GT}}^{0\nu} - \frac{g_V^2}{g_A^2} M_{\text{F}}^{0\nu} + M_{\text{T}}^{0\nu} \quad \text{with}$$

$$M_{\text{GT}}^{0\nu} = \frac{2R}{\pi g_A^2} \int_0^\infty |q| d|q| \langle f | \sum_{a,b} \frac{j_0(|q|r_{ab}) h_{\text{GT}}(|q|) \vec{\sigma}_a \cdot \vec{\sigma}_b}{|q| + \bar{E} - (E_i + E_f)/2} \tau_a^+ \tau_b^+ | i \rangle$$

$$M_{\text{F}}^{0\nu} = \frac{2R}{\pi g_A^2} \int_0^\infty |q| d|q| \langle f | \sum_{a,b} \frac{j_0(|q|r_{ab}) h_{\text{F}}(|q|)}{|q| + \bar{E} - (E_i + E_f)/2} \tau_a^+ \tau_b^+ | i \rangle$$

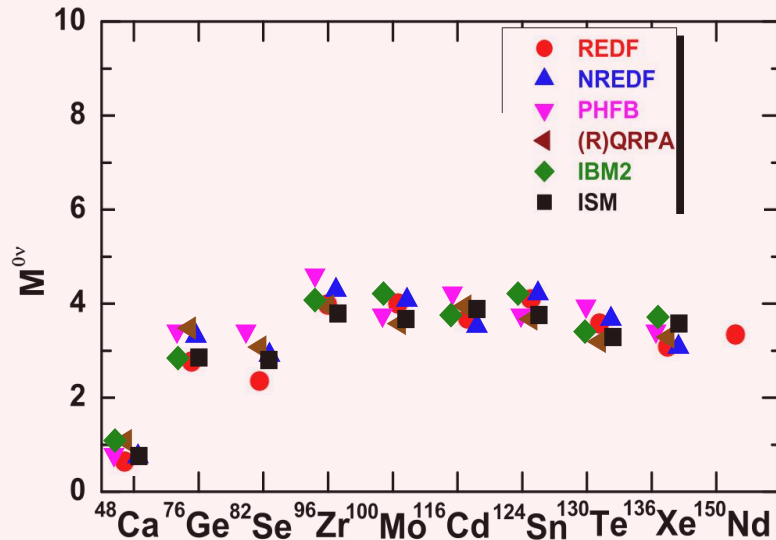
$$M_{\text{T}}^{0\nu} = \frac{2R}{\pi g_A^2} \int_0^\infty |q| d|q| \langle f | \sum_{a,b} \frac{j_2(|q|r_{ab}) h_{\text{T}}(|q|) [3\vec{\sigma}_j \cdot \hat{r}_{ab} \vec{\sigma}_k \cdot \hat{r}_{ab} - \vec{\sigma}_a \cdot \vec{\sigma}_b]}{|q| + \bar{E} - (E_i + E_f)/2} \tau_a^+ \tau_b^+ | i \rangle$$

Lines of attack:

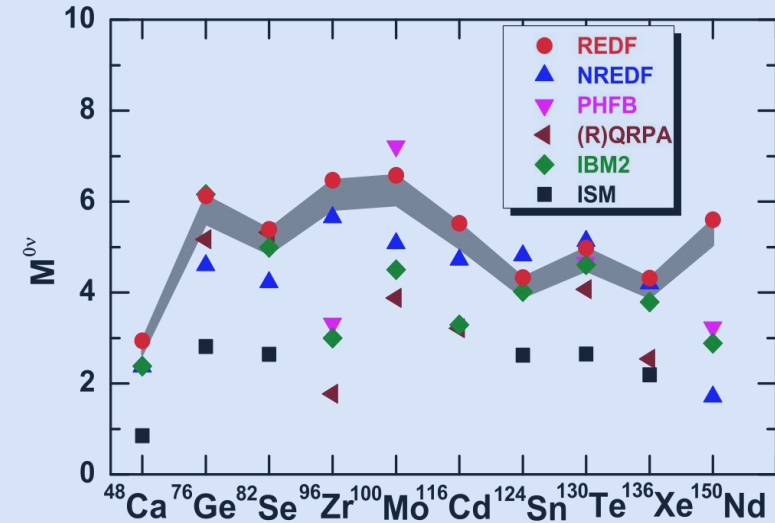
- ❖ Construct effective operator.
- ❖ *Good initial and final ground-state wave functions: nuclear structure.*

Current status of calculated NMEs in $0\nu\beta\beta$ decay

What we hope:



What we had got:



- Some omits the correlations underlying nuclear structure aspects.
 - Some limits the correlations in a small model space.
- effect from nuclear structure on NMEs need to be evaluate!

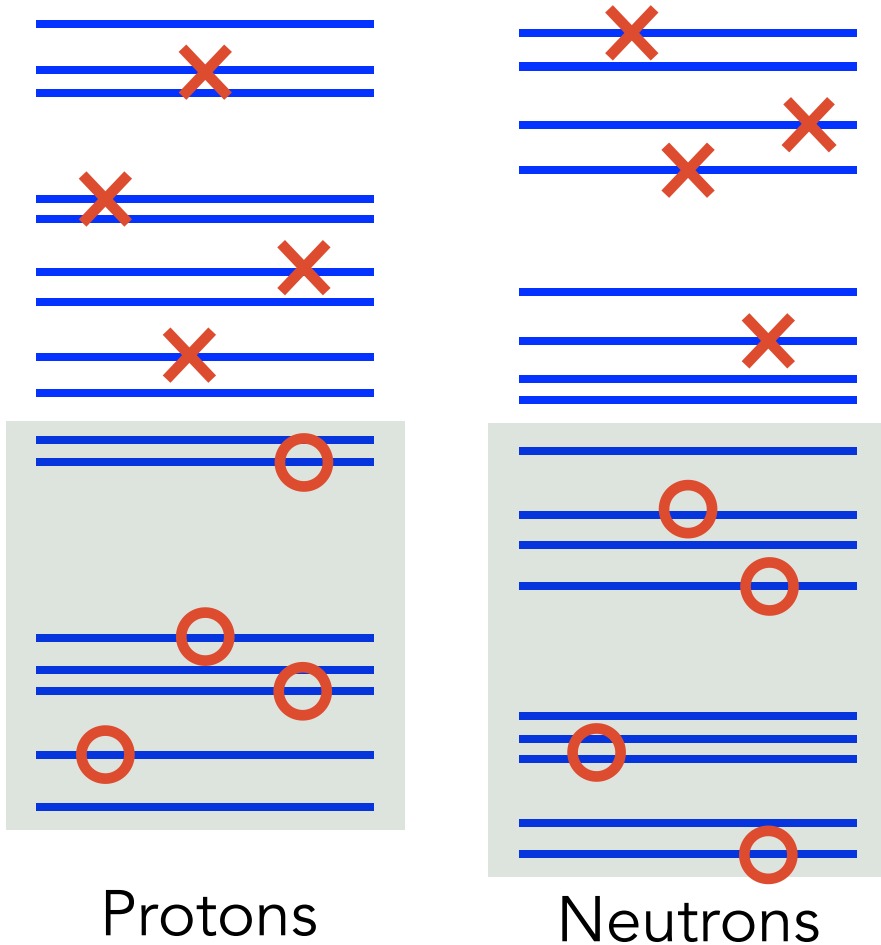
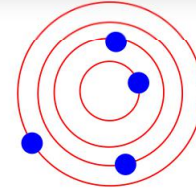


Does the discrepancy come from methods, or the interactions they use?

Review of Different Nuclear Models



Some models are built on single independent-particle state.



Starting from one Slater determinant, e.g., the HF state $|\psi_0\rangle$, the ground state

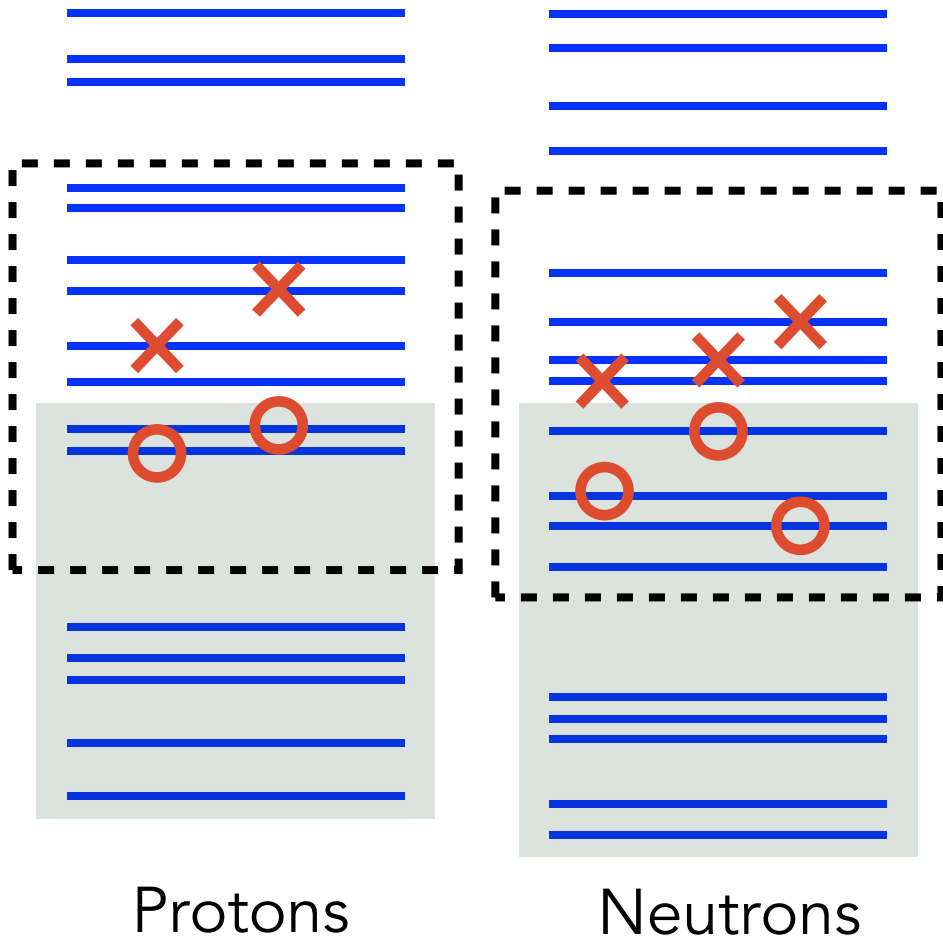
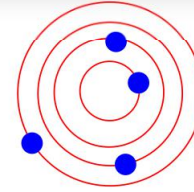
$$|0\rangle = |\psi_0\rangle + \sum_{mi} C_{mi}^0 a_m^\dagger a_i |\psi_0\rangle + \frac{1}{4} \sum_{mnij} C_{mn,ij}^0 a_m^\dagger a_n^\dagger a_i a_j |\psi_0\rangle + \dots$$

But exact diagonalization in the complete Hilbert space is not solvable.

Review of Different Nuclear Models



Some models are built on single independent-particle state.



Interacting shell model (ISM)

- ❖ Same starting point $|0\rangle$.
- ❖ Instead of solving Schrödinger equation in complete Hilbert space, one restricts the dynamics in a configuration space.

$$H|\Phi_i\rangle = E_i|\Phi_i\rangle \rightarrow H_{\text{eff}}|\bar{\Phi}_i\rangle = E_i|\bar{\Phi}_i\rangle$$

Configuration interaction of orthonormal Slater determinants:

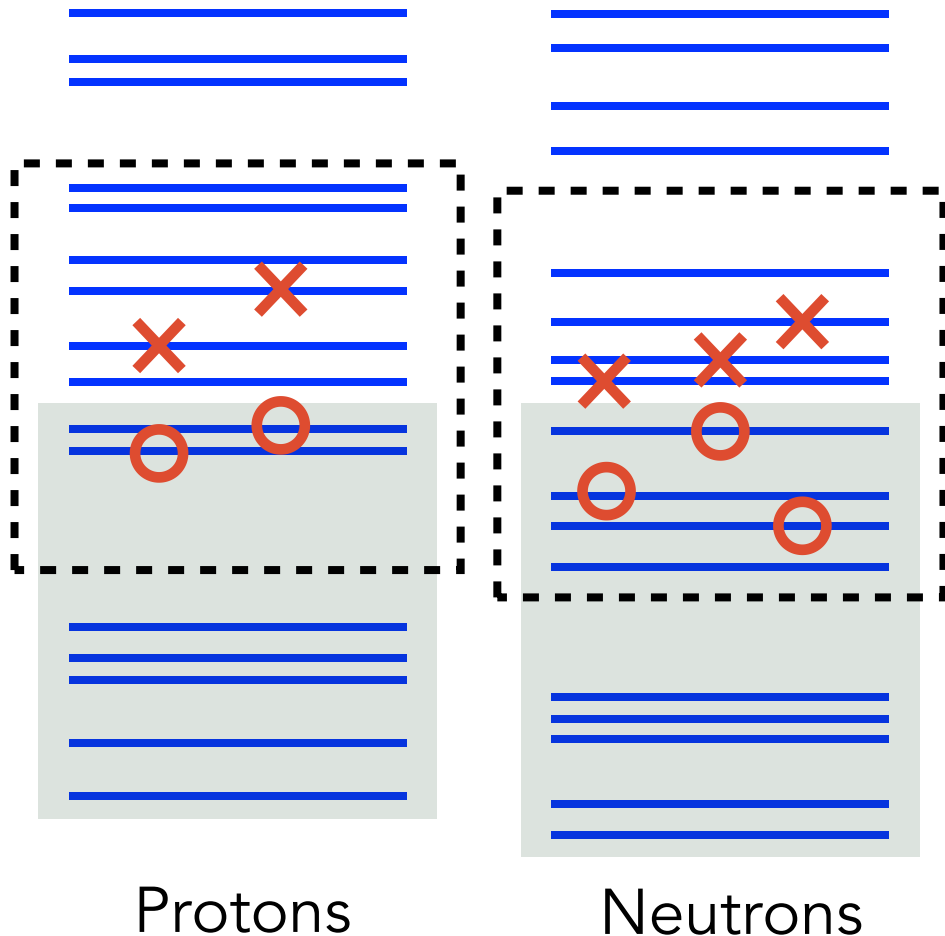
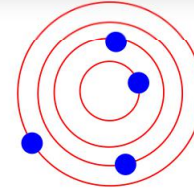
$$|\bar{\Phi}_i\rangle = \sum_j c_{ij} |\psi_j\rangle, \quad \langle \psi_j | \psi_k \rangle = \delta_{jk}$$

Diagonalizing the H_{eff} in the orthonormal basis.

Review of Different Nuclear Models



Some models are built on single independent-particle state.



Interacting shell model (ISM)

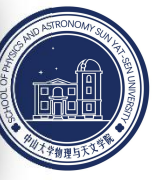
Pros:

- ❖ Arbitrarily complex correlations within the model space.

Cons:

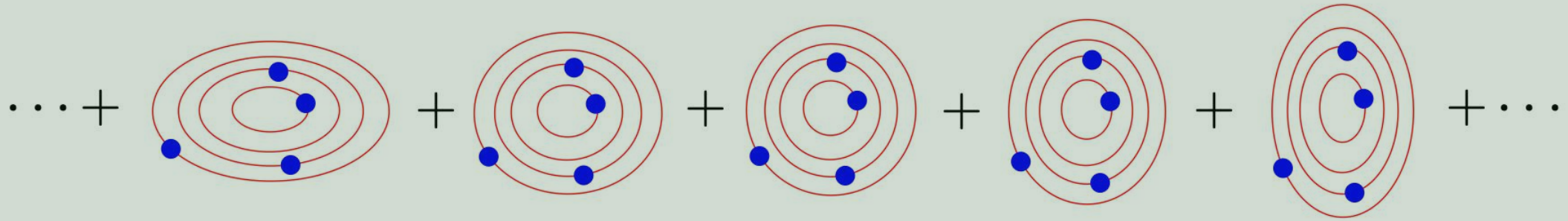
- ❖ Relatively small configuration spaces.
 - ◆ *At present most of the $0\nu\beta\beta$ decay NME calculations carried out by ISM are limited in one single shell.*

The Other Way Around...



Generator-coordinate method (GCM)

Instead of configuration interaction with orthogonal states, one can diagonalize the Hamiltonian in a set of *non-orthogonal* basis.



$$|\Phi\rangle = \sum_j c_j |\psi_j\rangle, H_{jk} = \langle j|H|k\rangle$$

$$\sum_k H_{jk} c_k = E \sum_k N_{jk} c_k, N_{jk} = \langle j|k\rangle$$

The non-orthogonal states can be generated to give different quantities of many-body correlations as collective coordinates (**deformation, pairing...**).



Hamiltonian-based projected generator-coordinate method

- ❖ Using a realistic effective Hamiltonian.
- ❖ Trying to include all possible correlations. (For now, we pick the most important ones)
 - $\mathcal{O}_1 = Q_{20}, \quad \mathcal{O}_2 = Q_{22}, \quad \text{quadrupole correlations}$
 - $\mathcal{O}_3 = \frac{1}{2}(P_0 + P_0^\dagger), \quad \mathcal{O}_4 = \frac{1}{2}(S_0 + S_0^\dagger), \quad \text{proton-neutron pairing correlations}$
- ❖ HFB states with multipole constraints

$$\langle H' \rangle = \langle H_{\text{eff}} \rangle - \lambda_Z(\langle N_Z \rangle - Z) - \lambda_N(\langle N_N \rangle - N) - \sum_i \lambda_i(\langle \mathcal{O}_i \rangle - q_i),$$
- ❖ Angular momentum and particle number projection $|JMK; NZ; q\rangle = \hat{P}_{MK}^J \hat{P}^N \hat{P}^Z |\Phi(q)\rangle$
- ❖ Configuration mixing within generator-coordinate method (GCM)

GCM wavefunction: $|\Psi_{NZ\sigma}^J\rangle = \sum_{K,q} f_{\sigma}^{JK}(q) |JMK; NZ; q\rangle$

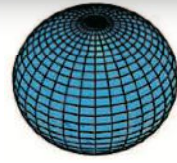
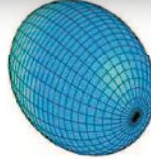
Hill-Wheeler equation: $\sum_{K',q'} \{\mathcal{H}_{KK'}^J(q; q') - E_{\sigma}^J \mathcal{N}_{KK'}^J(q; q')\} f_{\sigma}^{JK'}(q') = 0$

$0\nu\beta\beta$ NME: $M_{\xi}^{0\nu\beta\beta} = \langle \Psi_{N_f Z_f}^{J=0} | \hat{O}_{\xi}^{0\nu\beta\beta} | \Psi_{N_i Z_i}^{J=0} \rangle$

Which nuclear-structure aspects are the most relevant to NMEs?

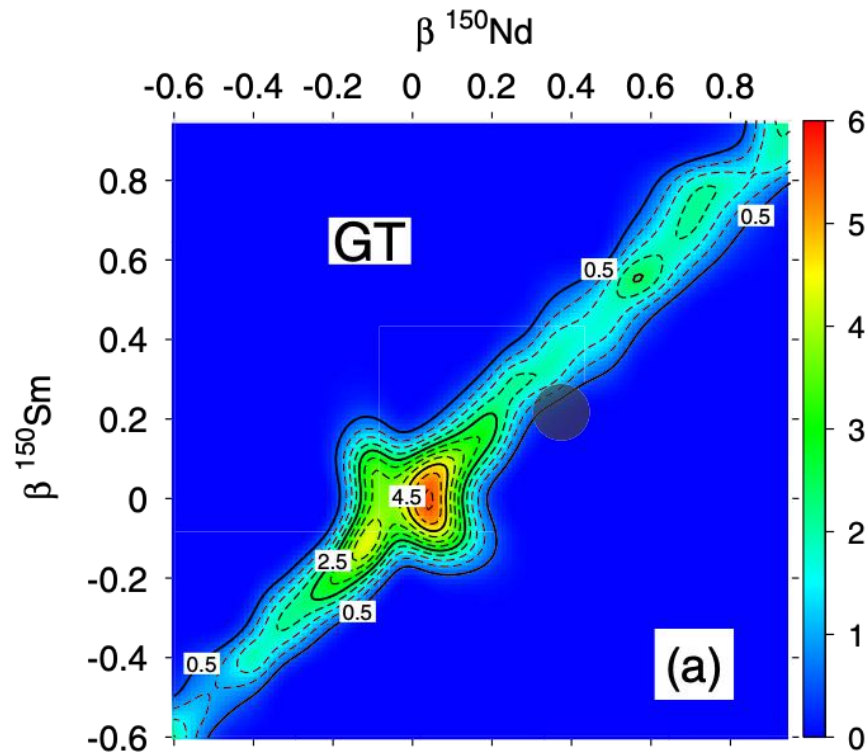
Axial deformation

prolate

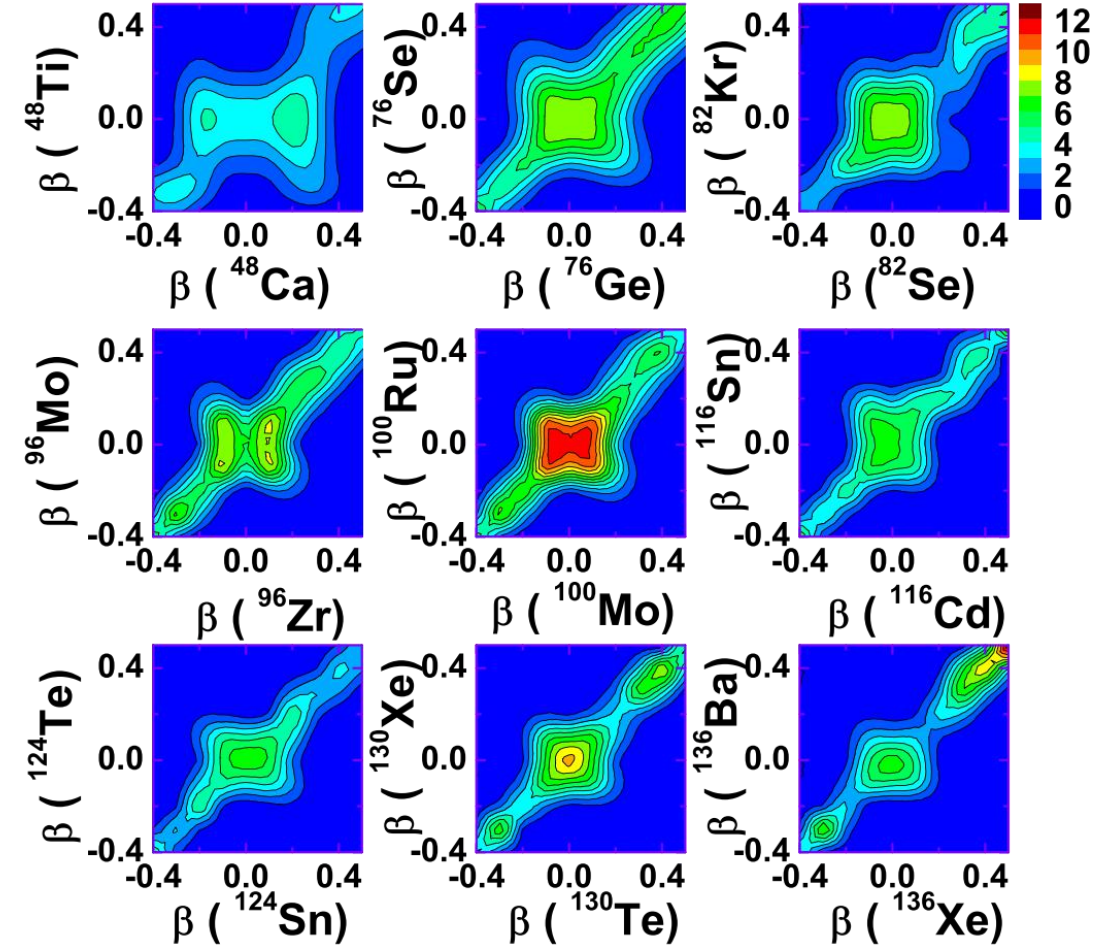


oblate

If parent and daughter nuclei have different axial deformation, **NMEs are suppressed.**



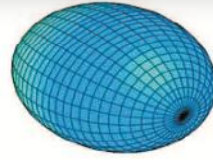
T. Rodriguez et. al., PRL 105, 252503 (2010)



J. Yao, et. al., PRC 91, 024316 (2015).

Which nuclear-structure aspects are the most relevant to NMEs?

Triaxial deformation



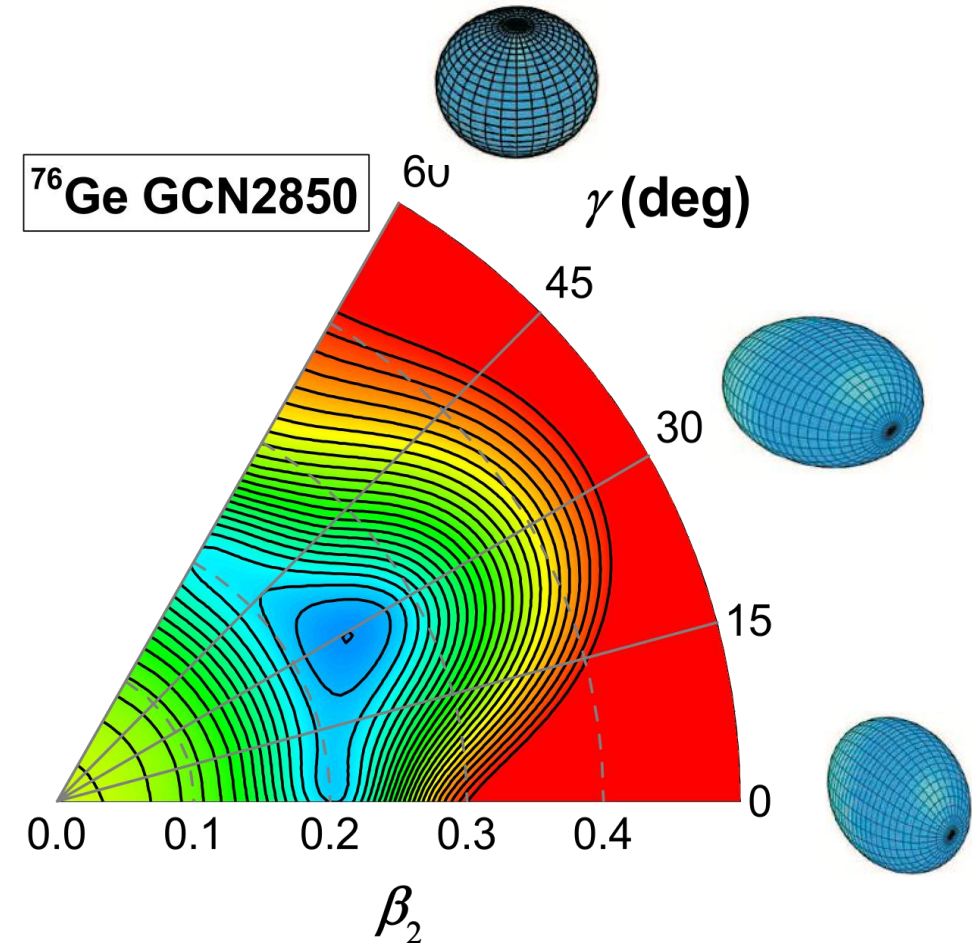
triaxial shape

Both theory and experiment indicate that ^{76}Ge and ^{76}Se are triaxially deformed, but the effect on $0\nu\beta\beta$ NMEs has never been investigated.

TABLE I. Matrix elements $M^{0\nu}$ produced in the GCM by GCN2850 and JUN45 for the decay of ^{76}Ge , with and without triaxial deformation as a generator coordinate, and by those same interactions with exact diagonalization.

	GCN2850	JUN45
Axial GCM	2.93	3.51
Triaxial GCM	2.56	3.16

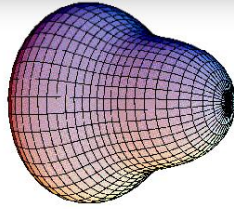
If triaxial deformation is included,
NMEs are slightly suppressed.



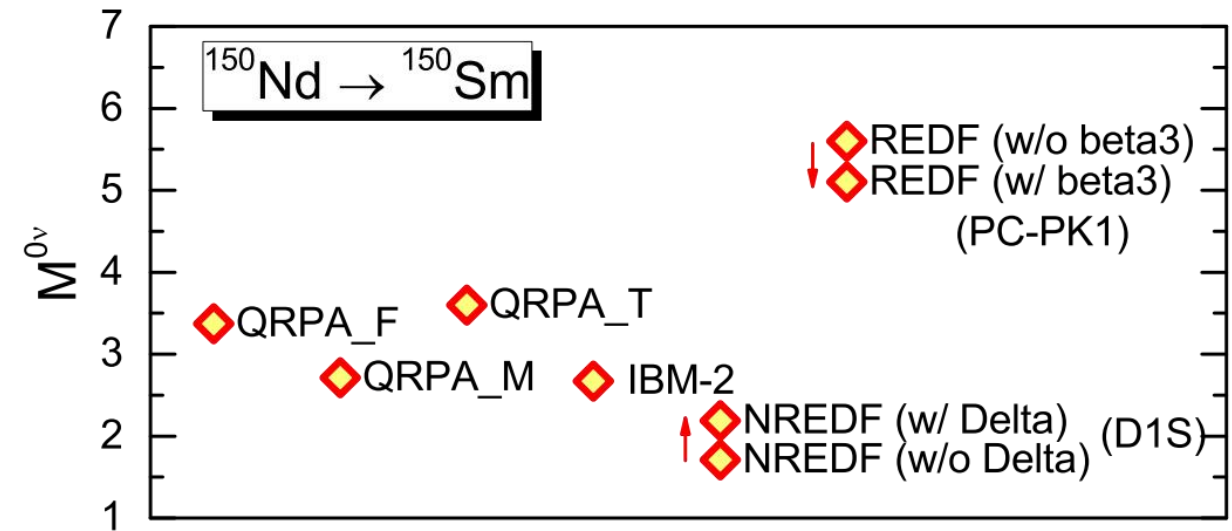
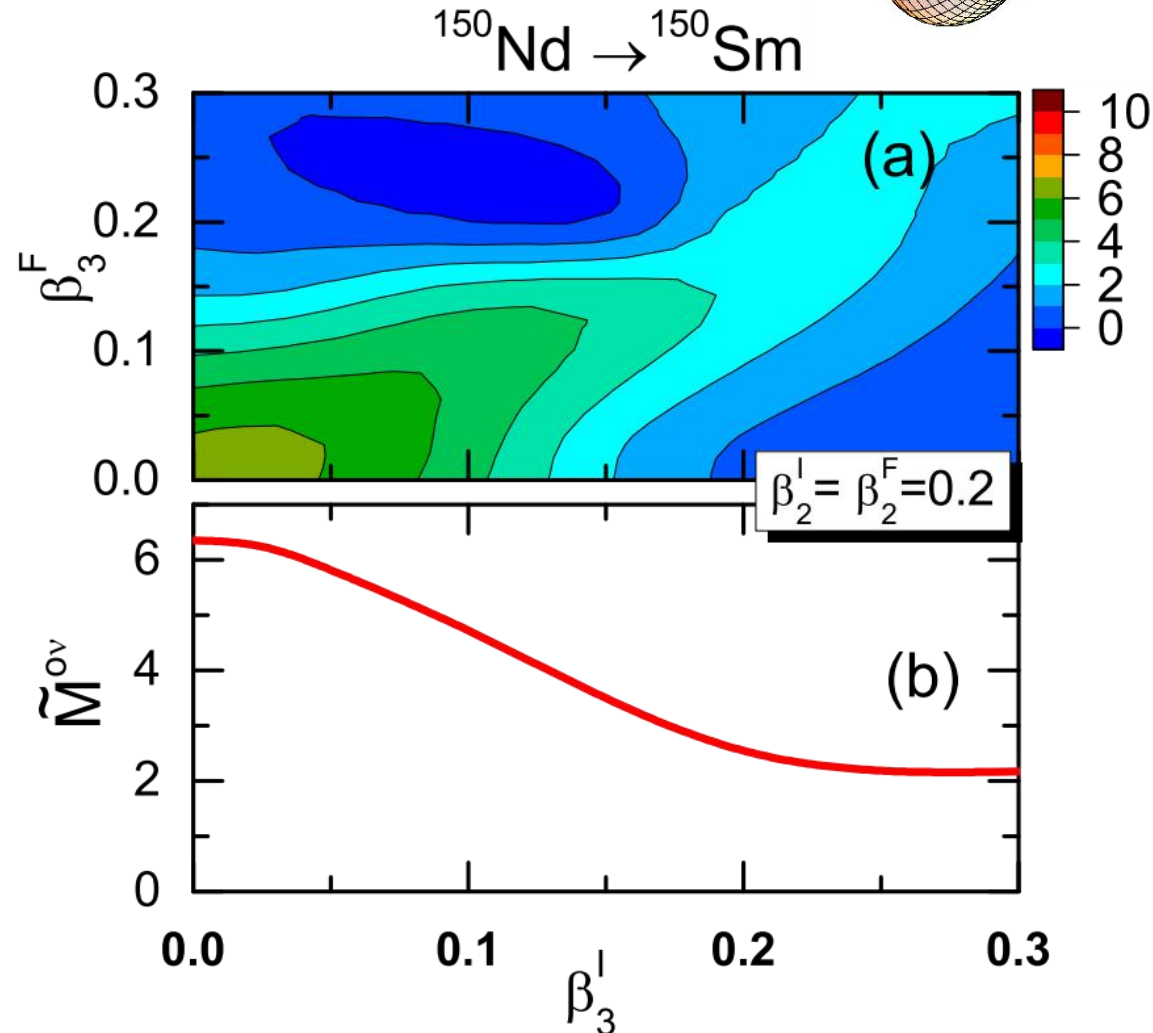
CFJ, J. Engel, J. D. Holt, PRC 96, 054310 (2017).

Which nuclear-structure aspects are the most relevant to NMEs?

Octupole deformation



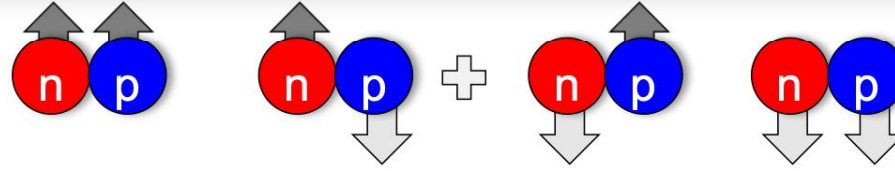
octupole "pear-like" deformation



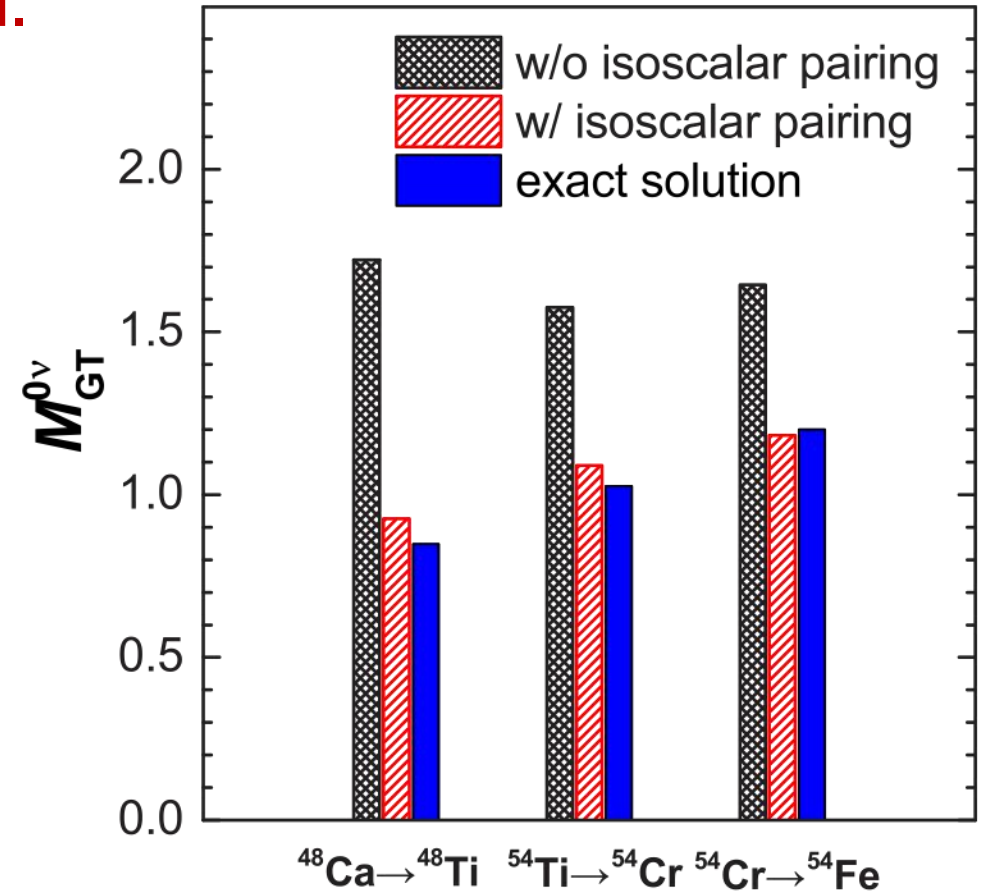
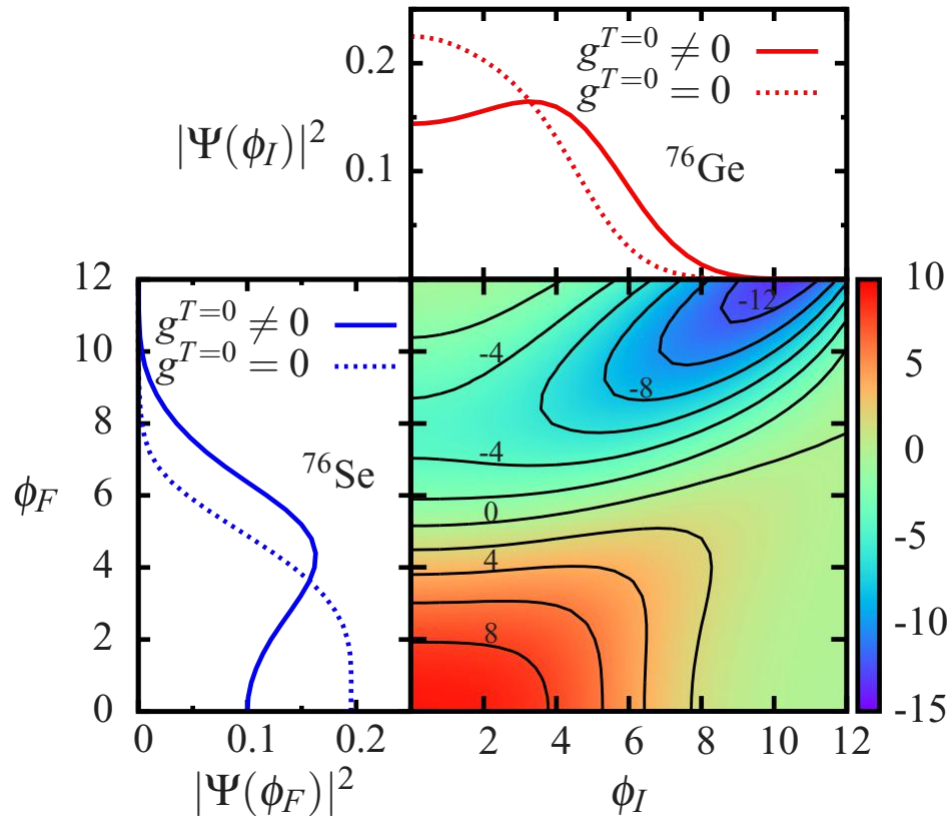
The inclusion of octupole shape fluctuations reduces the NME of ^{150}Nd - ^{150}Sm by about 7%.

Which nuclear-structure aspects are the most relevant to NMEs?

Proton-neutron pairing



If pn pairing is included, **NMEs are suppressed.**





Which nuclear-structure aspects are the most relevant to NMEs?

We consider axial deformation, triaxial deformation, proton-neutron pairing in the full *fp*-*sdg* two-shell space, which is unreachable by the shell model.

- There is no *a priori* effective Hamiltonian in this model space.

We use EKK method of many-body perturbation theory to derive an effective Hamiltonian from the Chiral interaction.

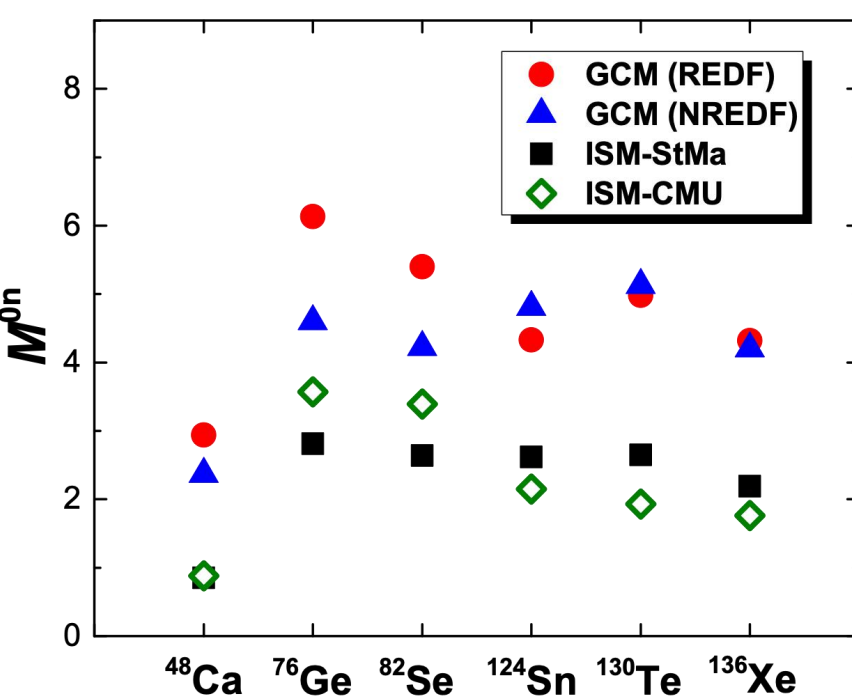
TABLE II. GCM results for the Gamow-Teller ($M_{GT}^{0\nu}$), Fermi ($M_F^{0\nu}$), and tensor ($M_T^{0\nu}$) $0\nu\beta\beta$ matrix elements for the decay of ^{76}Ge in two shells, without and with triaxial deformation.

	Axial	Triaxial
$M_{GT}^{0\nu}$	3.18	1.99
$-\frac{g_V^2}{g_A^2} M_F^{0\nu}$	0.55	0.38
$M_T^{0\nu}$	-0.01	-0.02
Total $M^{0\nu}$	3.72	2.35

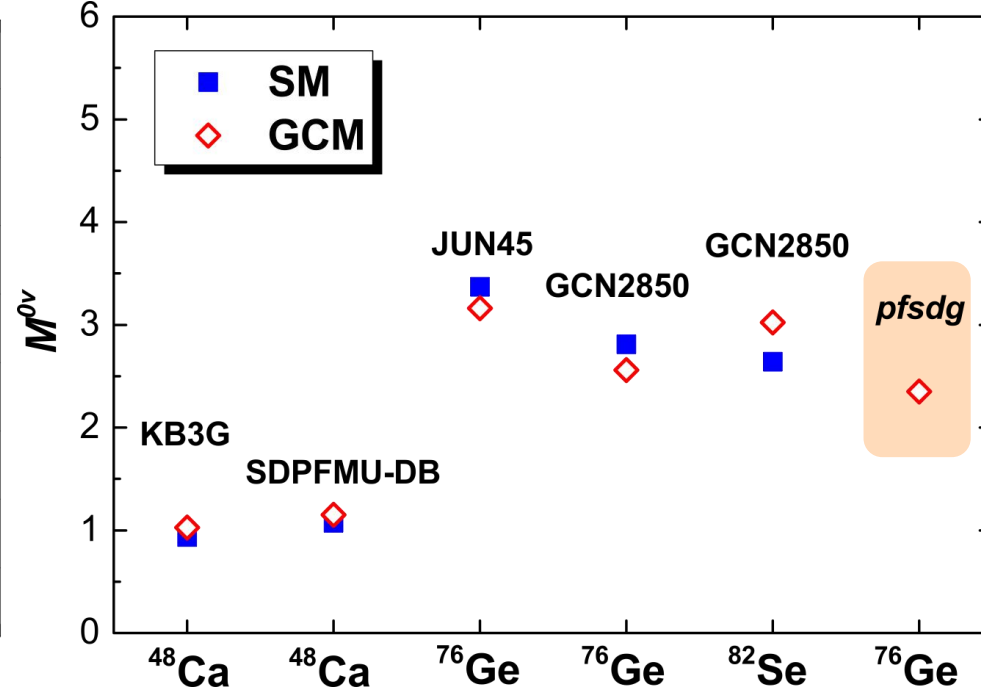
Axially-deformed result is enhanced:
Larger space captures more like-particle pairing

Triaxially-deformed result is suppressed:
Larger space captures more effect from triaxial deformation.

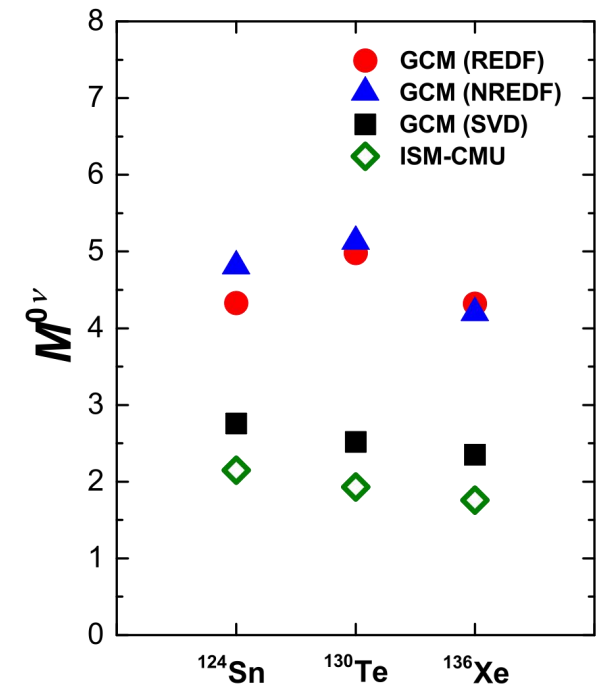
What is the effect from the enlargement of the model space?



axial deformation only



axial deformation + triaxial deformation + pn pairing

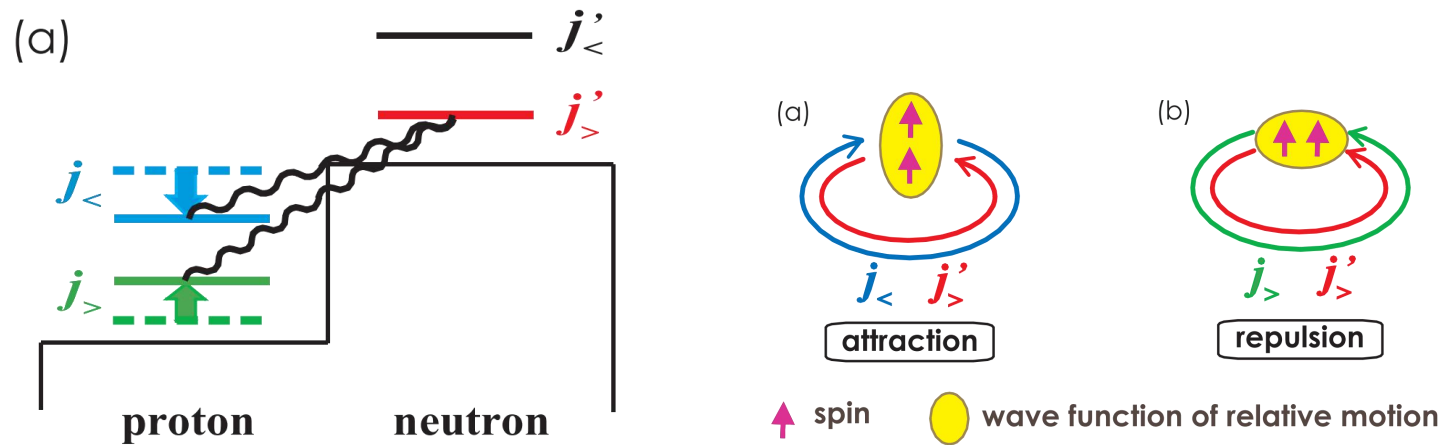


CFJ, J. Engel, J. D. Holt, PRC 96, 054310 (2017).
CFJ, M. Horoi, A. Neacsu, PRC 98, 064324 (2018).

How about the effect from the tensor force to NMEs?

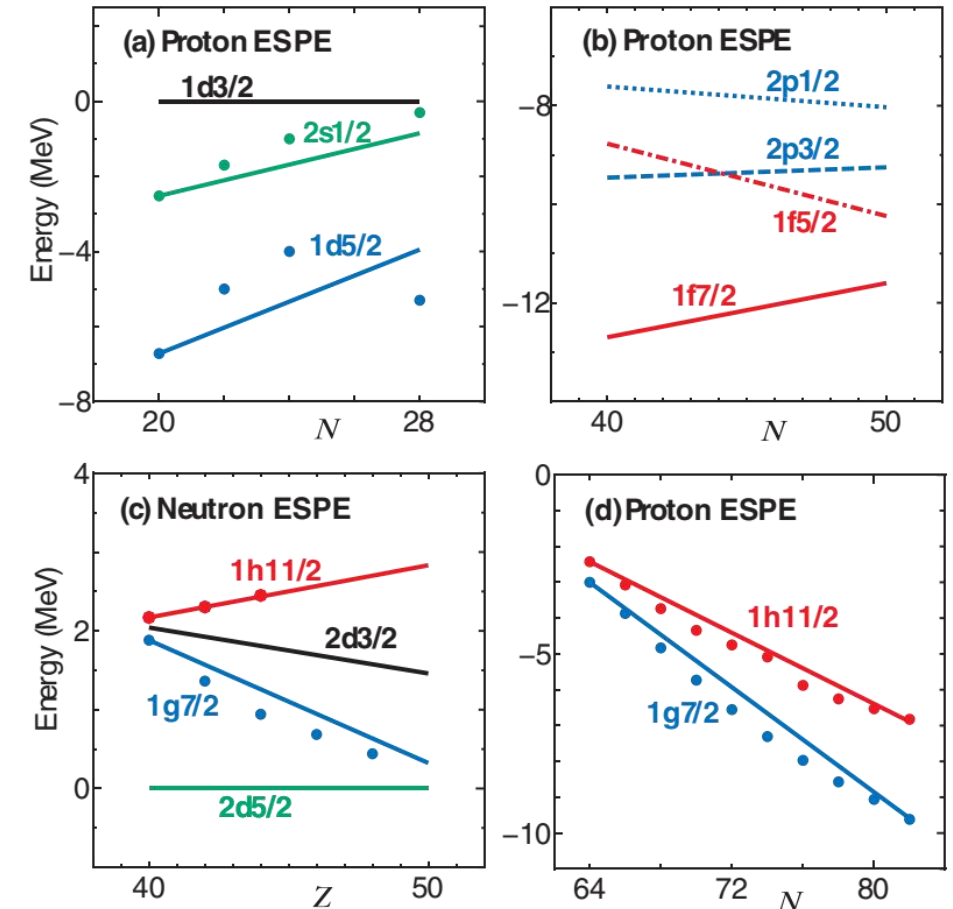
Considering that tensor force has a robust effect on the nuclear structure.

The tensor force $V_T = (\vec{\tau}_1 \cdot \vec{\tau}_2)([\vec{s}_1 \vec{s}_2]^{(2)} \cdot Y^{(2)})f(r)$



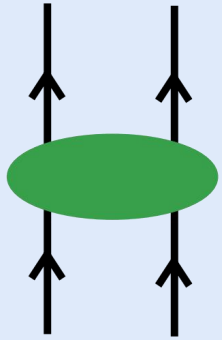
The monopole interaction produced by the tensor force.

T. Otsuka et al., PRL 95, 232502 (2005)
T. Otsuka et al., PRL 105, 012501 (2010)

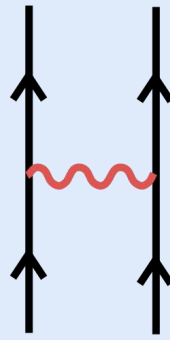


Explicit form of the tensor force in effective interactions

(a) central force :
Gaussian
(strongly renormalized)



(b) tensor force :
 $\pi + \rho$ meson
exchange



$V_{MU} =$

+

Diagrams for the V_{MU} interaction

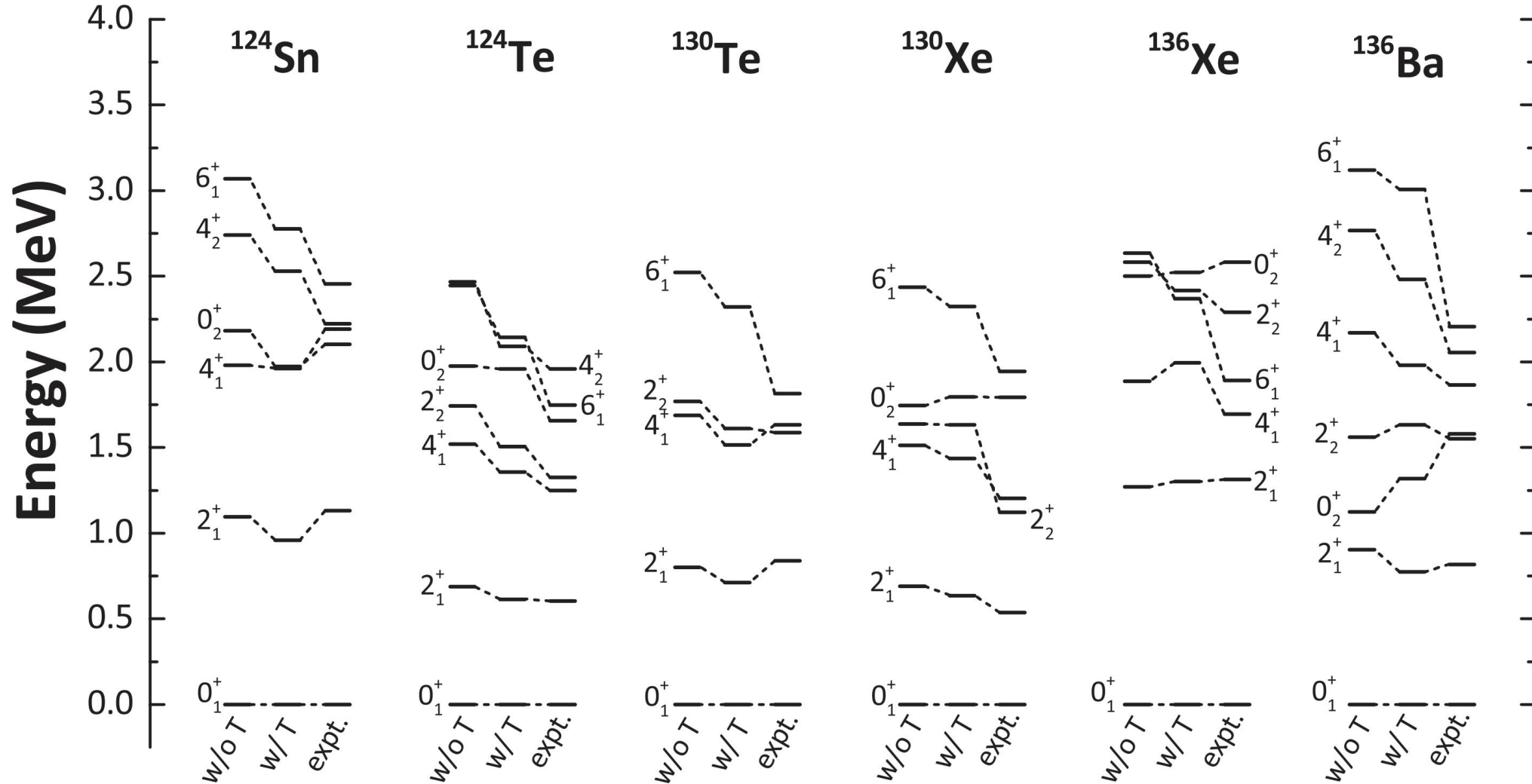
$$V_C(\text{Gauss}) = \sum_{T=0,1, S=0,1} f_{T,S} P_{T,S} \exp\left(-\left(\frac{r}{\mu}\right)^2\right)$$

$$V_{MU} = V_C(\text{Gauss}) + V_T(\pi + \rho)$$

$$V_{MUC} = V_{MU} + V_{LS}(\text{M3Y})$$

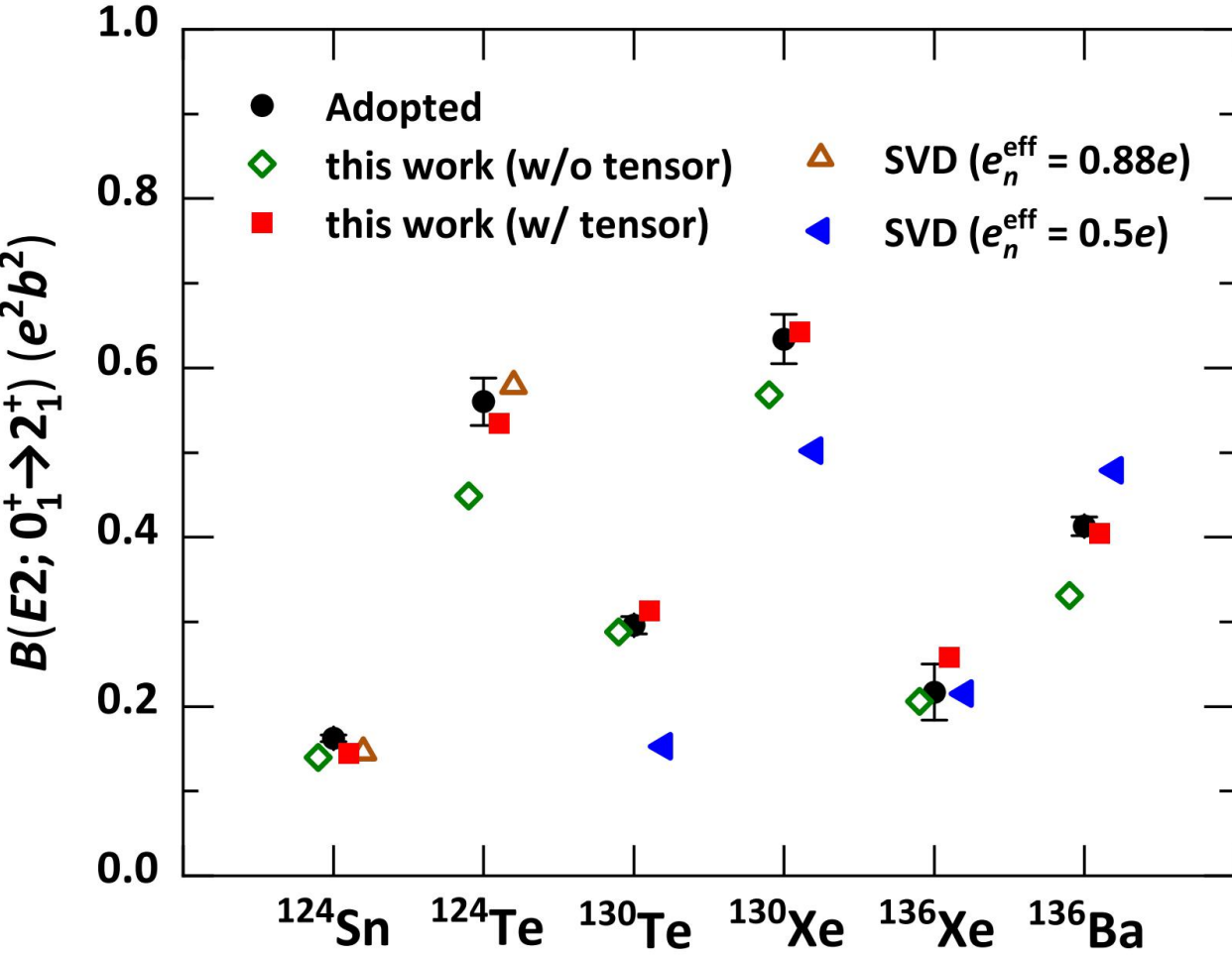
We can investigate the effect by including or excluding the tensor term V_T in V_{MU}

Low-lying spectra given by PGCM





Nuclear structure properties and calculated $0\nu\beta\beta$ NMEs



V_{MU} provides a better description of nuclear structure properties of $^{124}\text{Sn}/^{124}\text{Te}$, $^{130}\text{Te}/^{130}\text{Xe}$, and $^{136}\text{Xe}/^{136}\text{Ba}$

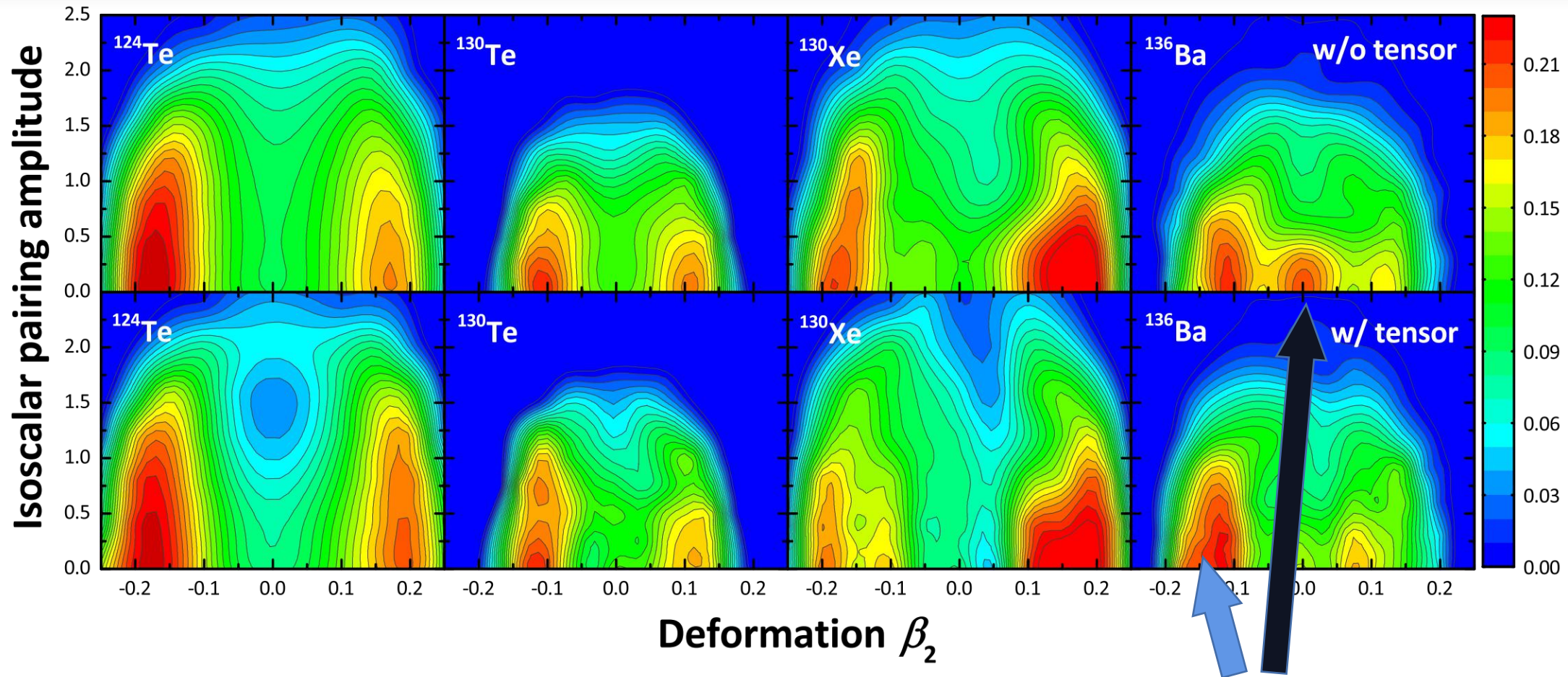
How about $0\nu\beta\beta$ NMEs?

		$M_{\text{GT}}^{0\nu}$	$M_{\text{F}}^{0\nu}$	$M_{\text{T}}^{0\nu}$	$M^{0\nu}$
^{124}Sn	w/o tensor	3.56	-0.64	-0.061	3.91
	w/ tensor	2.65	-0.64	-0.020	3.04
^{130}Te	w/o tensor	4.29	-0.75	-0.064	4.70
	w/ tensor	3.33	-0.65	-0.015	3.73
^{136}Xe	w/o tensor	3.26	-0.44	-0.046	3.49
	w/ tensor	2.17	-0.50	-0.009	2.48

CFJ and C. X. Yuan, in preparation.

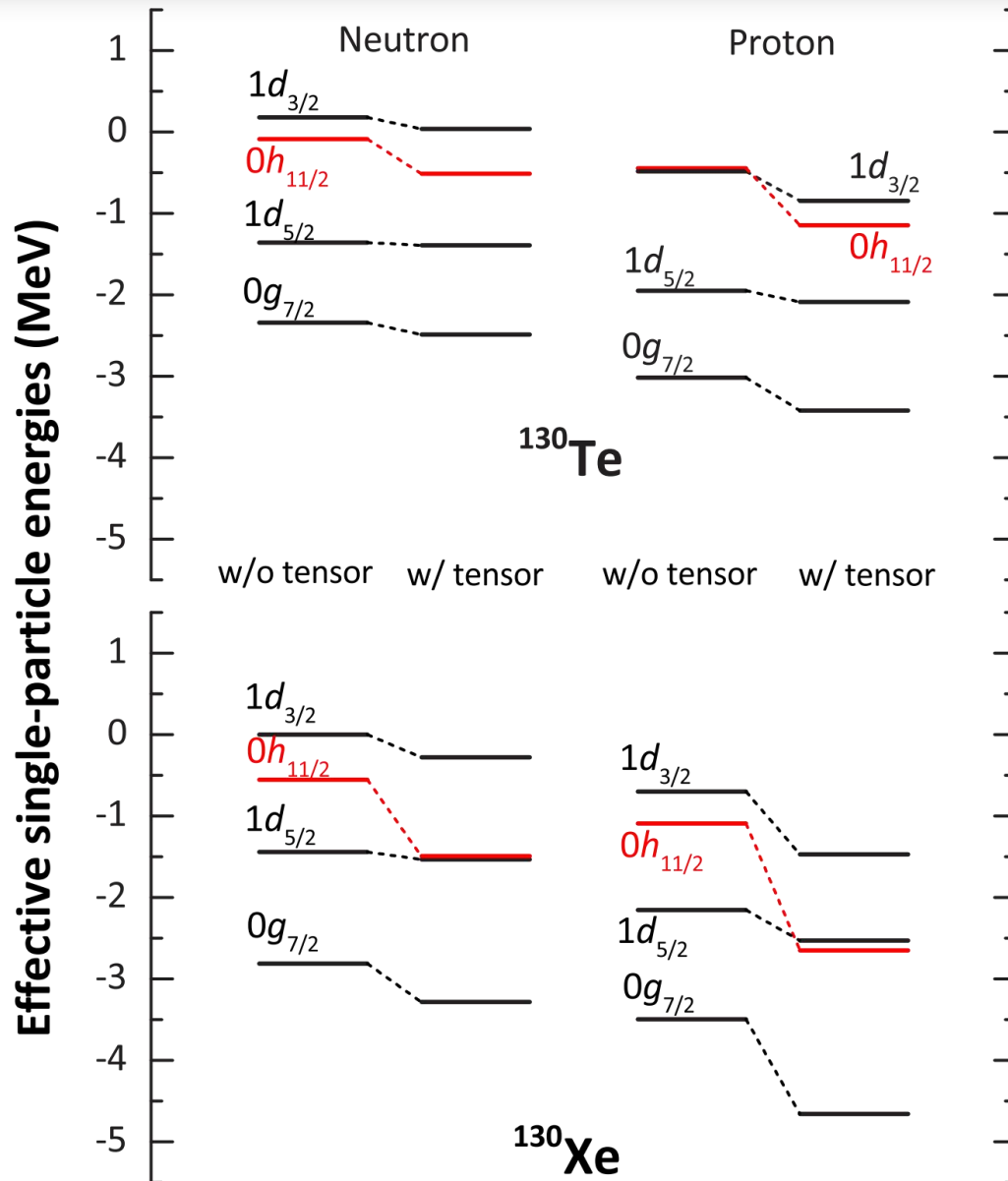
NMEs are suppressed, why?

Effect from tensor force on axial deformation



- Enhanced quadrupole deformation, especially in daughter nuclei.
- Enhanced isoscalar pairing: suppression of NMEs.

Effective single-particle energies: *change of shell structure*



- The neutron and proton $0h_{11/2}$ orbits are shifted most significantly.
- Suppressions are more drastically in daughter nuclei.
 - Attraction between $\pi 0g_{7/2}$ and $\nu 0h_{11/2}$
More $0g_{7/2}$ protons in daughter nuclei.
 - Repulsion between $\pi 0h_{11/2}$ and $\nu 0h_{11/2}$
Repulsion between $\pi 0h_{11/2}$ and $\nu 1d_{5/2}$
Less $1d_{5/2}$ and $0h_{11/2}$ neutrons in daughter nuclei.
- Consequences:
Both proton and neutron Fermi surface get close to $0h_{11/2}$, more deformation-driving effects occur in daughter nuclei

Change of the g.s. nucleon occupancies: a constraint on the NMEs

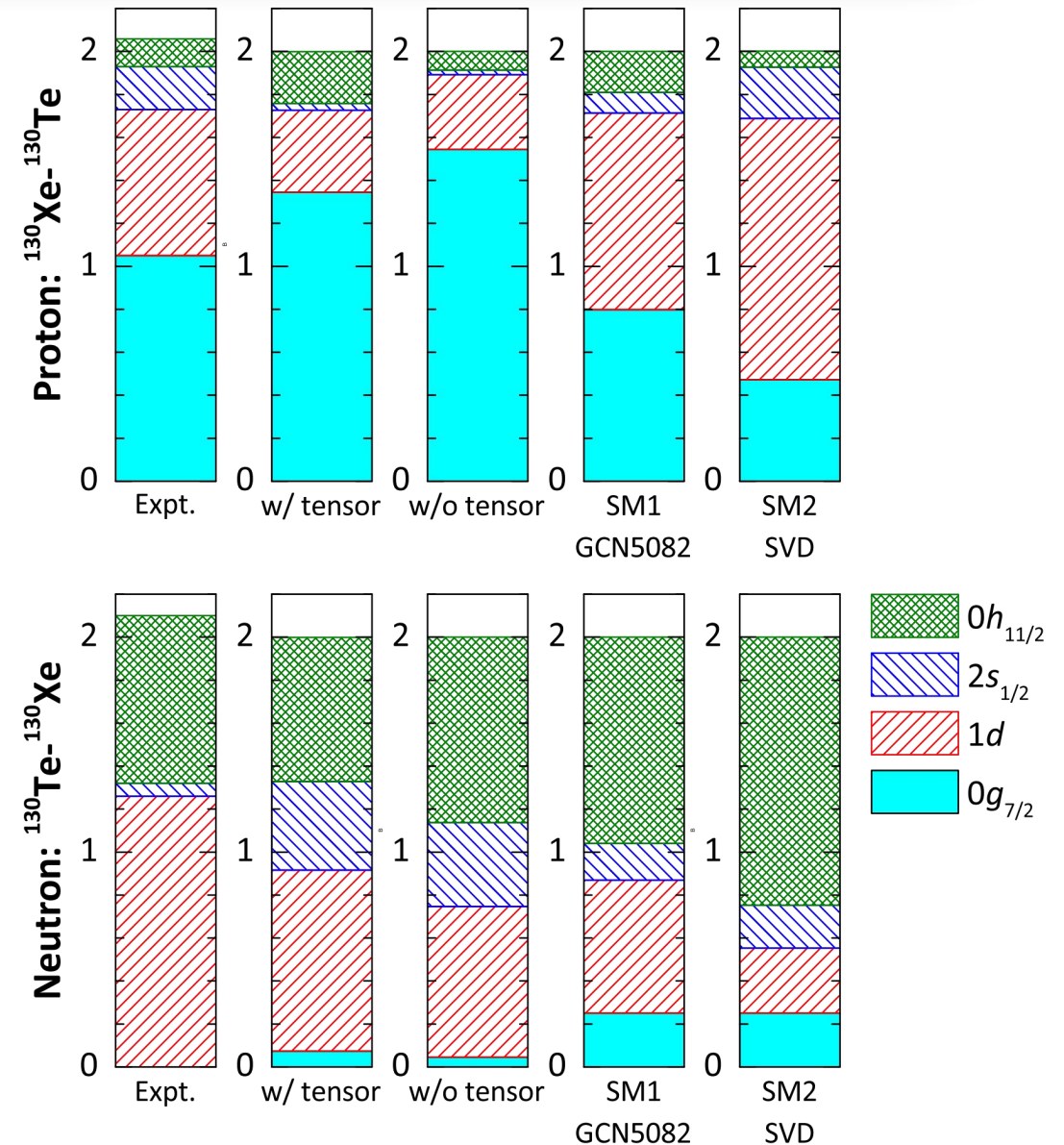
Why?

It directly determines which neutrons decay and which protons are created in the decay, and how their configurations are rearranged

Our calculation reproduces qualitatively the two most important contributions.

Inclusion of tensor force improves the description of the change of the nucleon occupancies.

CFJ and C. X. Yuan, in preparation.



Summary



- ❖ $0\nu\beta\beta$ decay is crucial for determining whether neutrinos are Majorana fermion.
- ❖ Hamiltonian-based GCM enables treatment of systems currently **unreachable** by other methods. It can be used to evaluate the effect from aspects of nuclear structure on $0\nu\beta\beta$ NME calculations.
- ❖ The tensor force may change the shell structure, enhancing the deformation difference between parent and daughter nuclei and isoscalar pairing, and hence suppress the $0\nu\beta\beta$ NMEs.

Next Steps from Here...

- ❖ Improvement of GCM: more correlations, QRPA-evolved basis.
- ❖ Effective Hamiltonian in larger space, and from ab initio non-perturbative method.
 - ◆ **Target nuclei:** ^{96}Zr , ^{100}Mo , ^{116}Cd , ^{150}Nd ...

In collaboration with:



- ❖ Jiangming Yao, SYSU
- ❖ Ning Li, SYSU
- ❖ Cenxi Yuan, SYSU
- ❖ Jonathan Engel, UNC
- ❖ Calvin W. Johnson, SDSU
- ❖ Jason D. Holt, TRIUMF
- ❖ Mihai Horoi, CMU
- ❖ Nobuo Hinohara, U of Tsukuba
- ❖ Javier Menendez, U of Barcelona



Thanks for your attention!