

# NRQCD Factorization and Quarkonium Production at Hadron-Hadron and ep Colliders

Geoffrey Bodwin  
Argonne National Lab

- Factorization of the Inclusive Production Cross Section
  - Status of a Proof of Factorization
- Comparisons of NRQCD Factorization with Experiment
  - Quarkonium Production and Polarization at the Tevatron
  - Inelastic  $J/\psi$  Photoproduction Cross Section at HERA
  - Polarization in Inelastic  $J/\psi$  Photoproduction at HERA
  - $J/\psi$  Production in DIS at HERA
  - $J/\psi$  Production at RHIC
  - $J/\psi$  Production at the LHC
- Summary

# Factorization of the Inclusive Quarkonium Production Cross Section

- In heavy-quarkonium hard-scattering production, high-momentum scales appear:  $m$  and  $p_T$ .
- We would like to use NRQCD to separate the perturbative physics at these high-momentum scales from the low-momentum, nonperturbative effects in the heavy-quarkonium dynamics.
- The probability for a  $Q\bar{Q}$  pair to evolve into a heavy quarkonium can be calculated as a vacuum-matrix element in NRQCD:

$$\mathcal{O}_n^H(\Lambda) = \langle 0 | \chi^\dagger \kappa_n \psi \left( \sum_X |H + X\rangle \langle H + X| \right) \psi^\dagger \kappa'_n \chi | 0 \rangle.$$

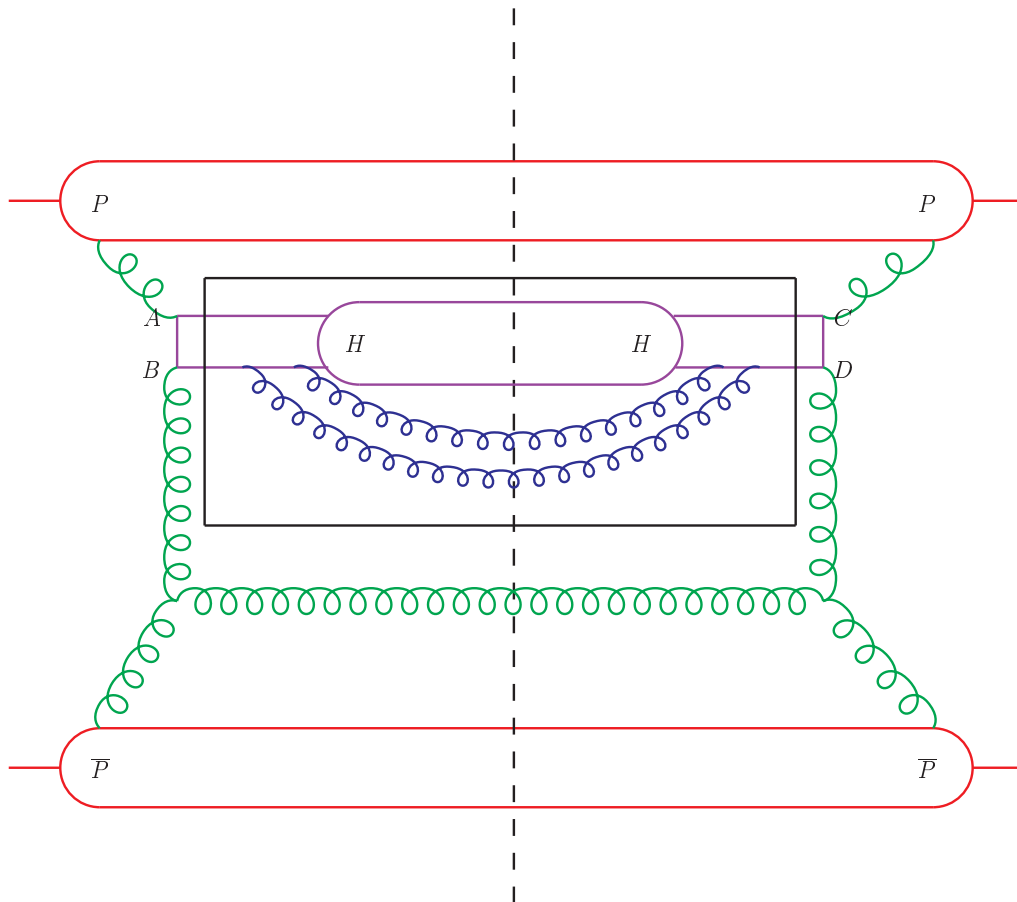
- This is the matrix element of a four-fermion operator, but with a projection onto an intermediate state of the quarkonium  $H$  plus anything.
  - $\kappa_n$  and  $\kappa'_n$  are combinations of Pauli and Color matrices.
- The production matrix elements are the crossed versions of quarkonium decay matrix elements.
  - Only the color-singlet production and decay matrix elements are simply related.

- Conjecture (GTB, Braaten, Lepage (1995)):

The inclusive cross section for producing a quarkonium at large momentum transfer ( $p_T$ ) can be written as hard-scattering cross section convolved with an NRQCD matrix element.

$$\sigma(H) = \sum_n F_n(\Lambda) \langle 0 | \mathcal{O}_n^H(\Lambda) | 0 \rangle.$$

- The part of the diagram inside the box corresponds to an NRQCD matrix element.



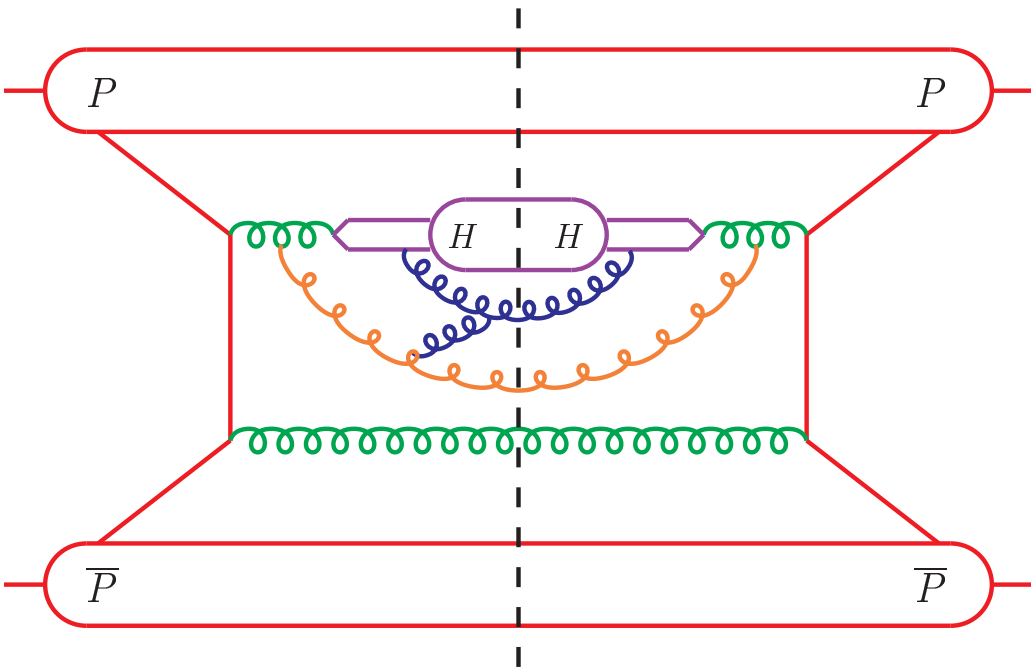
- The points  $A(C)$  and  $B(D)$  are within  $\sim 1/m$  of each other.
  - Kinematics implies that the virtual  $Q$  is off shell by order  $m$ .
- The points  $A(B)$  and  $C(D)$  are within  $1/p_T$  of each other.
  - The part of the diagram outside the box is insensitive to changes of momentum flow from  $A(B)$  to  $C(D)$  of order  $p_T$ .

- The “short-distance” coefficients  $F_n(\Lambda)$  are essentially the process-dependent partonic cross sections to make a  $Q\bar{Q}$  pair convolved with the parton distributions.
  - They have an expansion in powers of  $\alpha_s$ .
- The operator matrix elements are universal (process independent).
  - Only the color-singlet production matrix elements are simply related to the decay matrix elements.
  - The matrix elements have a known scaling with  $v$ .
- The NRQCD factorization formula for production is a double expansion in powers of  $\alpha_s$  and  $v$ .
- A key feature of NRQCD factorization:
  - Quarkonium production can occur through color-octet, as well as color-singlet,  $Q\bar{Q}$  states.
- If we drop all of the color-octet contributions and retain only the leading color-singlet contribution, then we have the color-singlet model (CSM).
  - Inconsistent for  $P$ -wave production: IR divergent.

## Status of a Proof of Factorization

- A proof is complicated because individual gluons can dress the basic production process in ways that apparently violate factorization.
- A proof of factorization would involve a demonstration that diagrams in each order in  $\alpha_s$  can be re-organized so that
  - All soft singularities cancel or can be absorbed into NRQCD matrix elements,
  - All collinear singularities and spectator interactions can be absorbed into parton distributions.
- Nayak, Qiu, Sterman (2005, 2006): The NRQCD matrix elements must be modified by the inclusion of eikonal lines to make them gauge invariant.
  - The eikonal lines are path integrals of the gauge field running from the creation and annihilation points to infinity.
  - Essential at two-loop order to allow certain soft contributions to be absorbed into the matrix elements.
  - Does not affect existing phenomenology, which is at tree order or one-loop order.
- Ma and Si (2005): In  $e^+e^- \rightarrow \text{quarkonium} + X$  at one-loop order, all uncanceled IR divergences can be absorbed into NRQCD matrix elements without eikonal lines, as expected.

- Nayak, Qiu, Sterman (2005, 2006): A key difficulty in proving factorization to all orders is the treatment of gluons with momenta of order  $m_c$  in the quarkonium rest frame.



- If the orange gluon has momentum of order  $m_c$ , it can't be absorbed into the NRQCD matrix element as a quarkonium constituent.
- But the orange gluon can have non-vanishing soft exchanges with the quarkonium constituents.
- The orange gluon can be treated as the eikonal-line part of the NRQCD matrix element, provided that the answer does not depend on the direction of the eikonal line (universality of the matrix elements).

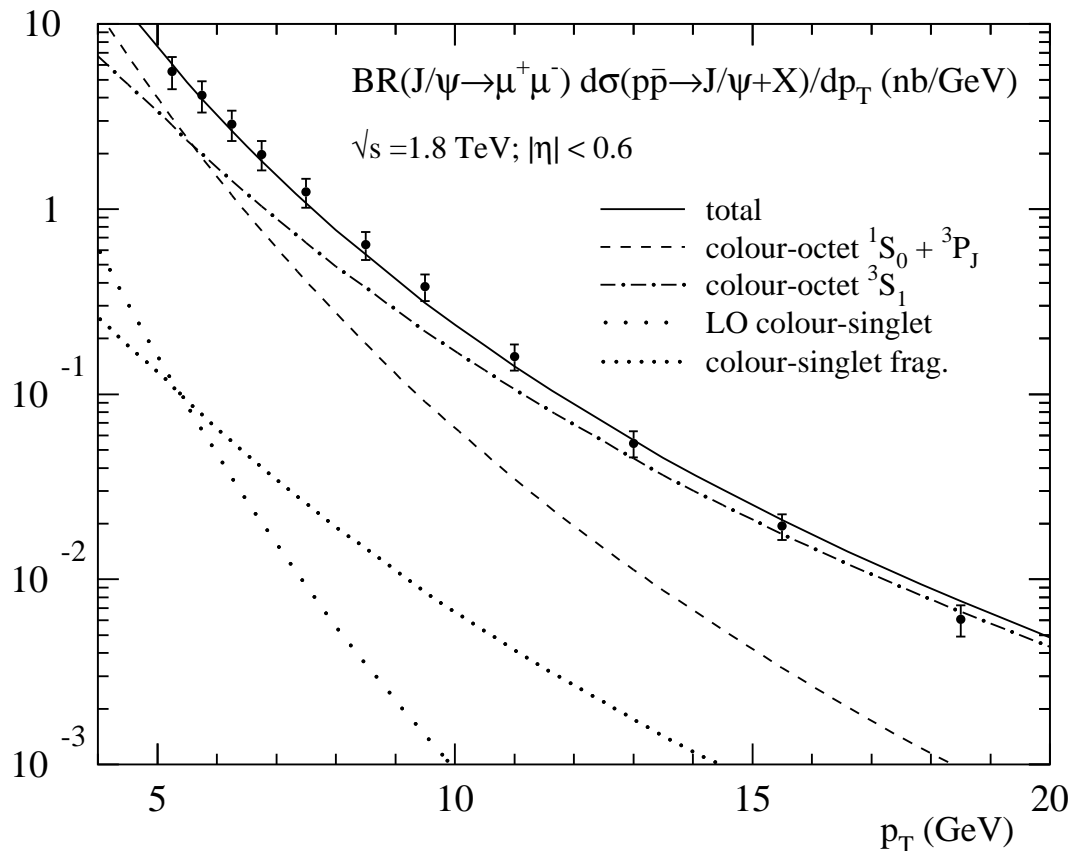
- Nayak, Qiu, Sterman (2005, 2006): At two-loop order, the eikonal lines contribute but a “miracle” occurs: The dependence on the direction of the eikonal line cancels.
- In general, factorization of the inclusive cross section beyond two-loop order is still an open question.
- An all-orders proof is essential because the  $\alpha_s$  associated with soft gluons is not small.

- Nayak, Qiu, Sterman (2007, 2008): If an additional heavy quark is approximately co-moving with the  $Q\bar{Q}$  pair that forms the quarkonium, there are soft color exchanges between the heavy quark and the  $Q\bar{Q}$  pair.
  - This process does not fit into the NRQCD factorization picture. It requires production matrix elements that contain additional heavy quarks beyond the  $Q\bar{Q}$  pair.
  - The process is nonperturbative: It can't be calculated reliably.
  - Can search for the process experimentally:  
The signature is additional heavy-meson production in a narrow cone ( $\sim mv/p_T$ ) around the quarkonium.
  - This effect might be eliminated from the measured cross section through the use of an isolation cut.

# Comparisons of NRQCD Factorization with Experiment

## Quarkonium Production and Polarization at the Tevatron

### Production Cross Section



- The CDF (1997) data are more than an order of magnitude larger than the predictions of the color-singlet model.
- $p_T$  distributions are consistent with NRQCD prediction (Krämer (2001)), but not with the LO color-singlet model.
- Color-octet matrix elements are determined from fits to the data.
- Good fits for  $J/\psi$ ,  $\psi(2S)$ ,  $\chi_c$ ,  $\Upsilon(1S)$  production, as well.
- Use color-octet matrix elements from these fits to predict quarkonium production in other processes (test universality).

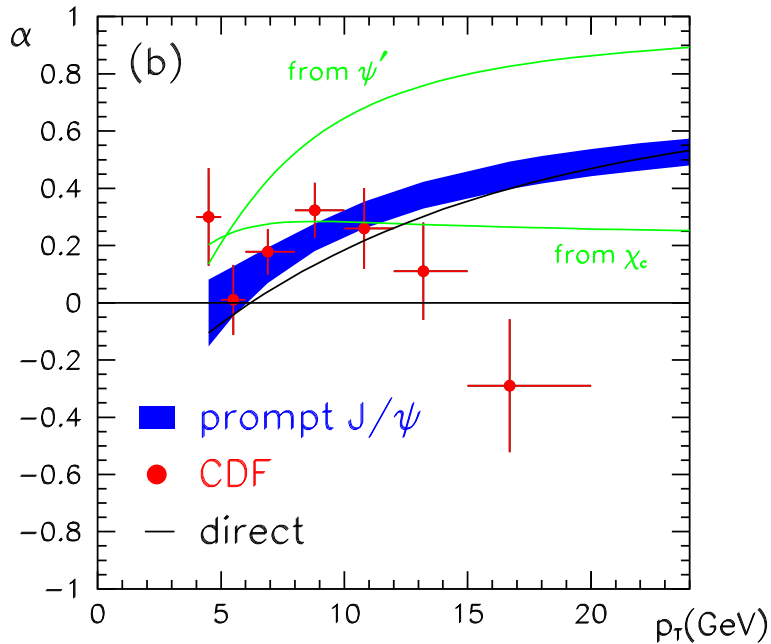


## Polarization

- Touted as a “smoking gun” for the color-octet mechanism.
- In LO quarkonium production at large  $p_T$  ( $p_T \gtrsim 4m_c$  for  $J/\psi$ ), gluon fragmentation via the color-octet mechanism dominates ( $\langle \mathcal{O}_8(^3S_1) \rangle$ ).
- At large  $p_T$ , the gluon is nearly on mass shell, and, so, is transversely polarized.
- In color-octet gluon fragmentation, most of the gluon’s polarization is transferred to the quarkonium (Cho, Wise (1994)).
  - Spin-flip interactions are suppressed as  $v^2$ .
  - Verified in a lattice calculation of decay matrix elements (GTB, Lee, Sinclair (2005)).
- Radiative corrections dilute this (Beneke, Rothstein (1995); Beneke, Krämer (1996)).

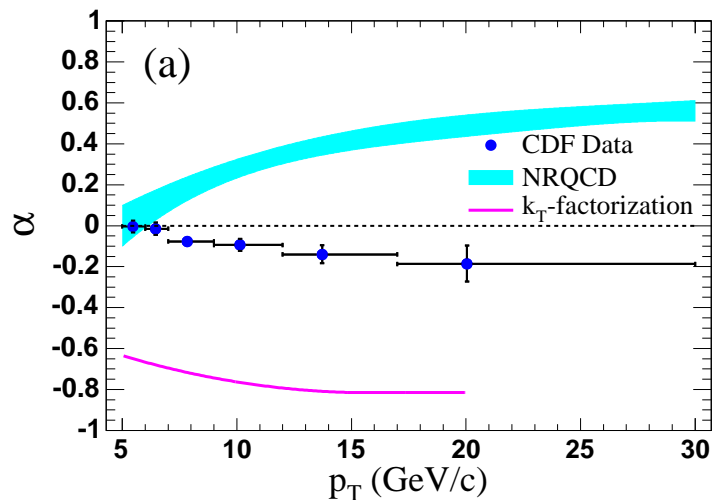
## J/ψ Polarization

### Run I:



- $d\sigma/d(\cos\theta) \propto 1 + \alpha \cos^2\theta$ .
  - $\alpha = 1$  is completely transverse;
  - $\alpha = -1$  is completely longitudinal.
- NRQCD prediction from Braaten, Kniehl, Lee (1999).
  - Feeddown from  $\chi_c$  states is about 30% of the  $J/\psi$  sample and dilutes the polarization.
  - Feeddown from  $\psi(2S)$  is about 10% of the  $J/\psi$  sample and is largely transversely polarized.

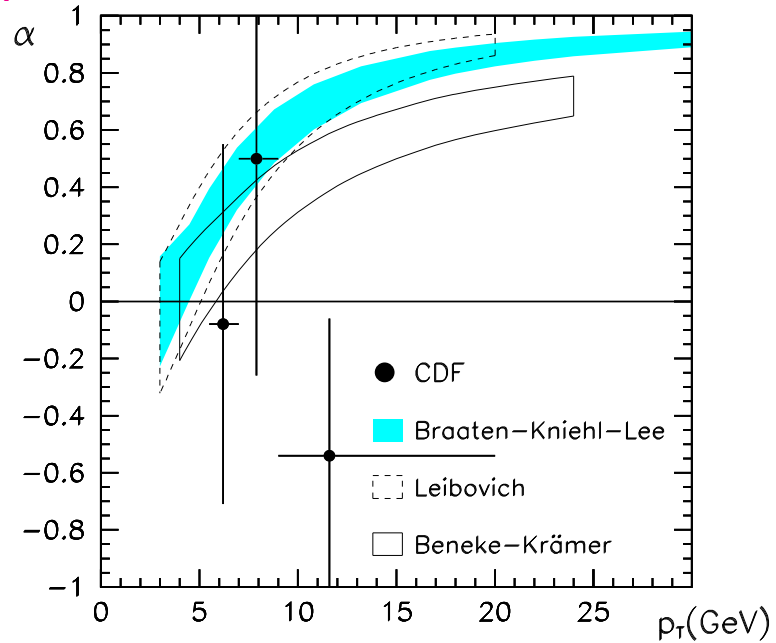
### Run II:



- Run I results are marginally compatible with the NRQCD prediction.
  - Run II results are inconsistent with the NRQCD prediction.
  - Also inconsistent with the Run I results.
- CDF was unable to track down the source of the Run I-Run II discrepancy.

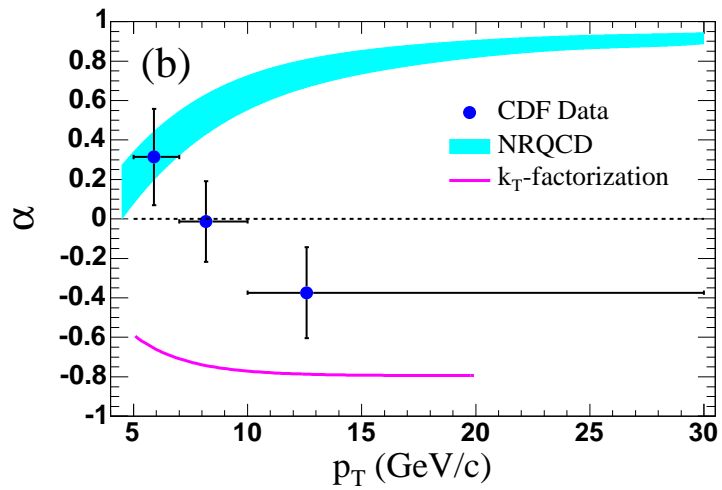
## $\psi(2S)$ Polarization

Run: I



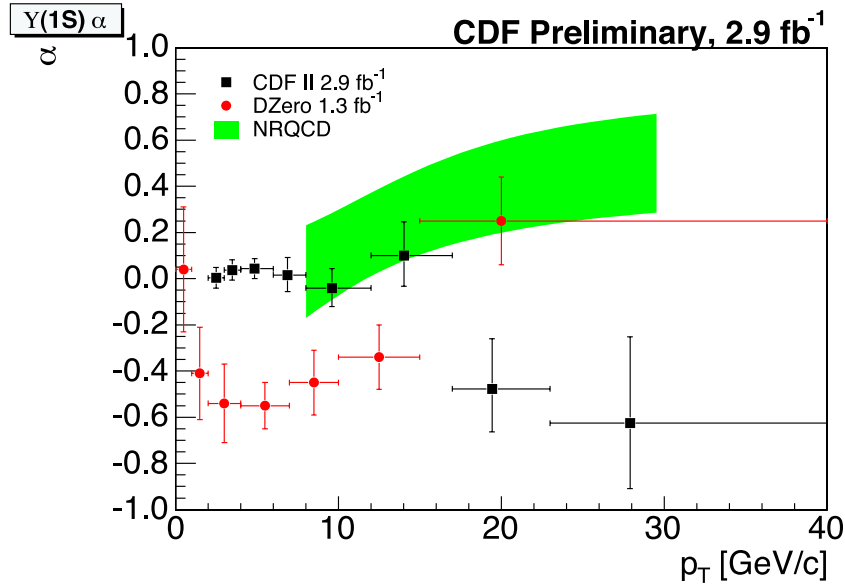
- The Run II data are incompatible with the NRQCD prediction.

Run: II

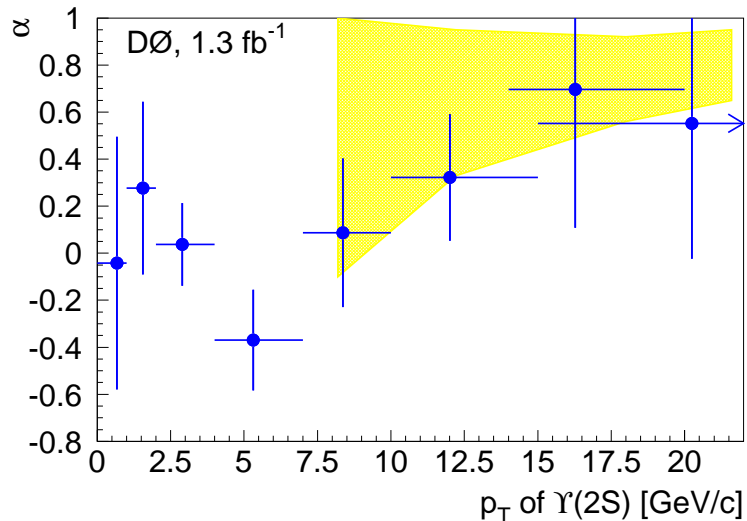


## $\Upsilon$ Polarization

### $\Upsilon(1S)$ Polarization:



### $\Upsilon(2S)$ Polarization:

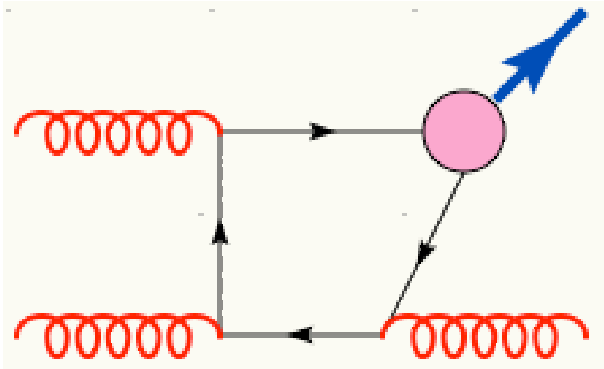


- In the  $\Upsilon(1S)$  case, the D0 results (red) are incompatible with the CDF results (black).
- Both the CDF and D0 results are incompatible with the NRQCD prediction of Braaten and Lee (2000) (green), but in different regions of  $p_T$ .
- In the  $\Upsilon(2S)$  case, the theoretical and experimental error bars are too large to make a stringent test.

## Recent Theoretical Developments

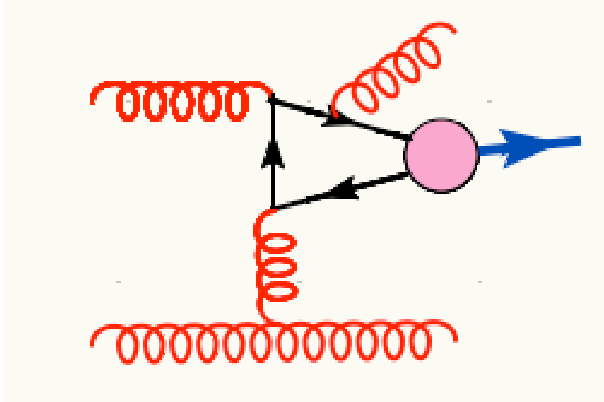
- Campbell, Maltoni, Tramontano(2007); Artoisenet, Lansberg, Maltoni (2007): Higher-order corrections to color-singlet quarkonium production at the Tevatron are unexpectedly large.
- At high  $p_T$ , higher powers of  $\alpha_s$  can be offset by a less rapid fall-off with  $p_T$ .

LO:

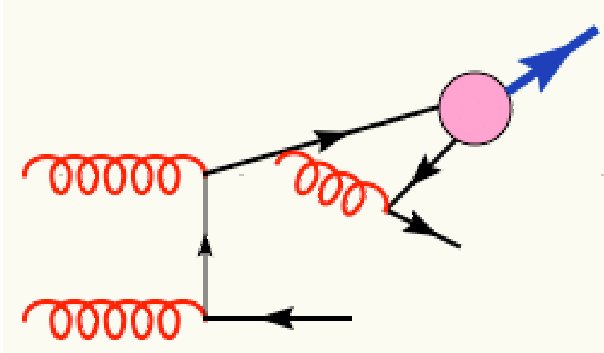


$$\sim \alpha_s^3 \frac{(2m_c)^4}{p_T^8}$$

NLO:

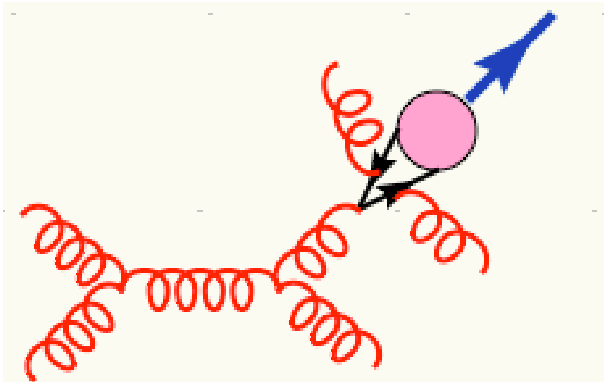


$$\sim \alpha_s^4 \frac{(2m_c)^2}{p_T^6}$$



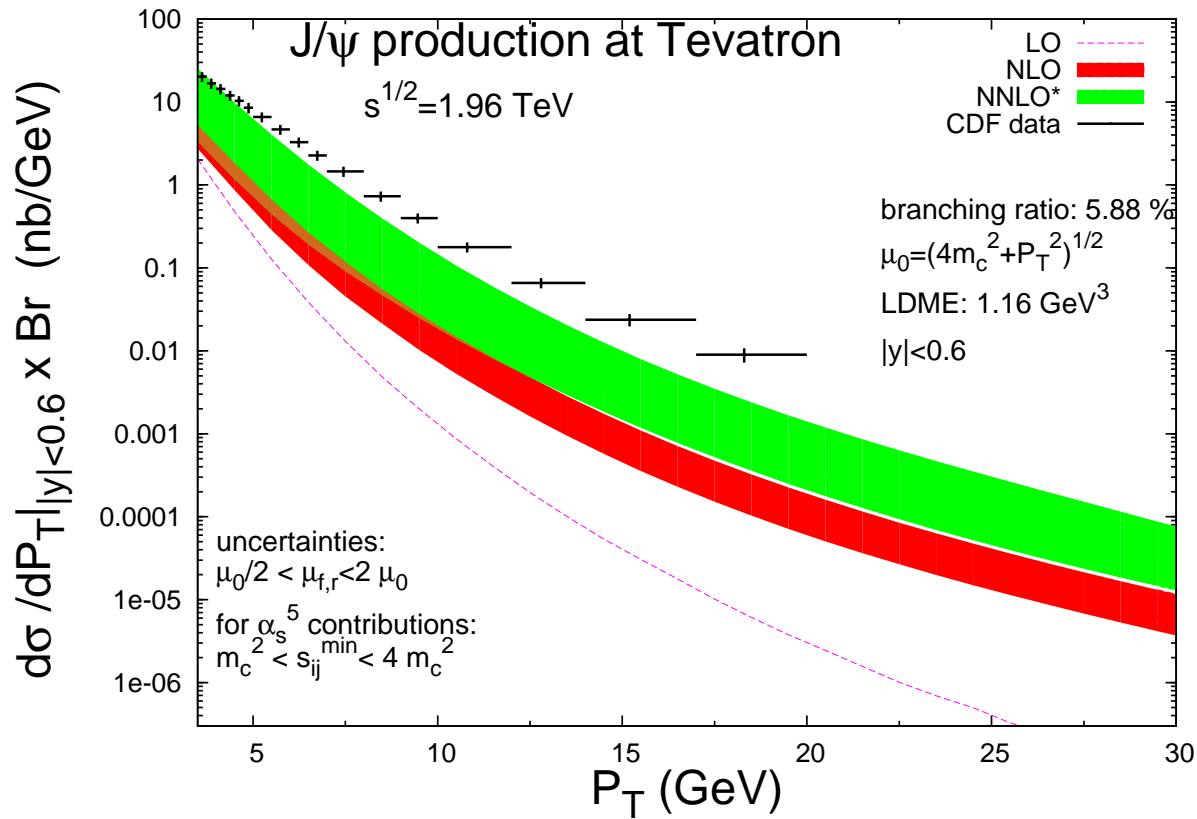
$$\sim \alpha_s^4 \frac{1}{p_T^4}$$

NNLO:



$$\sim \alpha_s^5 \frac{1}{p_T^4}$$

## New Results for color-singlet $J/\psi$ Production



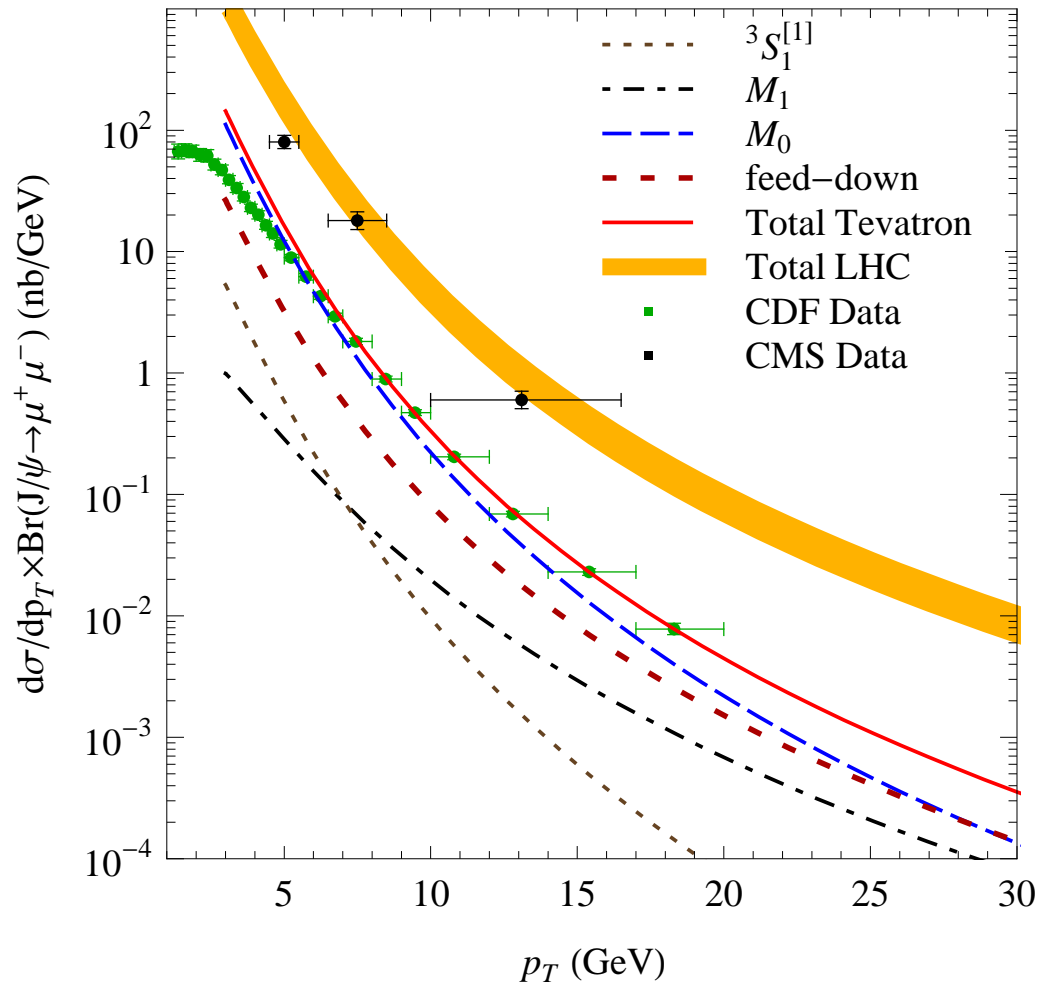
- Plot from Pierre Artoisenet, based on work by Artoisenet, Campbell, Lansberg, Maltoni, Tramontano (in progress)
- The NNLO\* calculation is an estimate based on real-emission contributions only.
- The data still seem to require a color-octet contribution, but its size may be reduced from previous estimates. Affects the matrix elements used to compute all other processes.

## New Results for Color-Octet $J/\psi$ and $\psi(2S)$ Production

- Gong, Li, and Wang (2008, 2010):  
NLO corrections to the  $S$ -wave channels are small.
  - $K$  factors at the Tevatron are about 1.235 for the  $^1S_0$  channel and 1.139 for the  $^3S_1$  channel.
- **First Complete NLO Calculations:** Ma, Wang, and Chao (2010); Butenschön and Kniehl (2010)
  - NLO corrections for all of the color-octet (and color-singlet) channels of leading order in  $v$ .  
Color-octet:  $^1S_0, ^3S_1, ^3P_J$ .
  - Confirm that the NLO corrections to the  $S$ -wave channels are small.
  - **Very large  $K$  factor  $\sim -10$  for the  $^3P_J$  channel.**  
A  $1/p_T^4$  contribution appears for the first time in NLO.
  - **The results of Ma, Wang, and Chao and Butenschön and Kniehl for the short-distance cross sections agree.**



Ma, Wang, and Chao (2010):



- Matrix elements were fit to the CDF (2005, 2009) Run II data.
- Feeddown from the  $\psi(2s)$  was taken into account by using the CDF (2005, 2009) Run II data.
- Feeddown from the  $\chi_{cJ}$  states was taken into account by using the NLO prediction of Ma, Wang, and Chao (2010) for  $\chi_{cJ}$  production.
  - Uses a color-octet matrix element that is obtained by fitting to the CDF (2007) measurements of  $R_{\chi_c} = \sigma_{\chi_{c2}}/\sigma_{\chi_{c1}}$ .
  - The predicted  $\chi_{cJ}$  fraction increases with increasing  $p_T$ , while the  $\chi_{cJ}$  fraction measured by CDF (1997) in Run I decreases with increasing  $p_T$ .
- The fits were used to predict the CMS (2010) data.

- Only the linear combinations

$$M_{0,r_0} = \langle O^\psi(^1S_0^{[8]}) \rangle + (r_0/m_c^2) \langle O^\psi(^3P_0^{[8]}) \rangle = (7.4 \pm 1.9) \times 10^{-2} \text{ GeV}^3$$

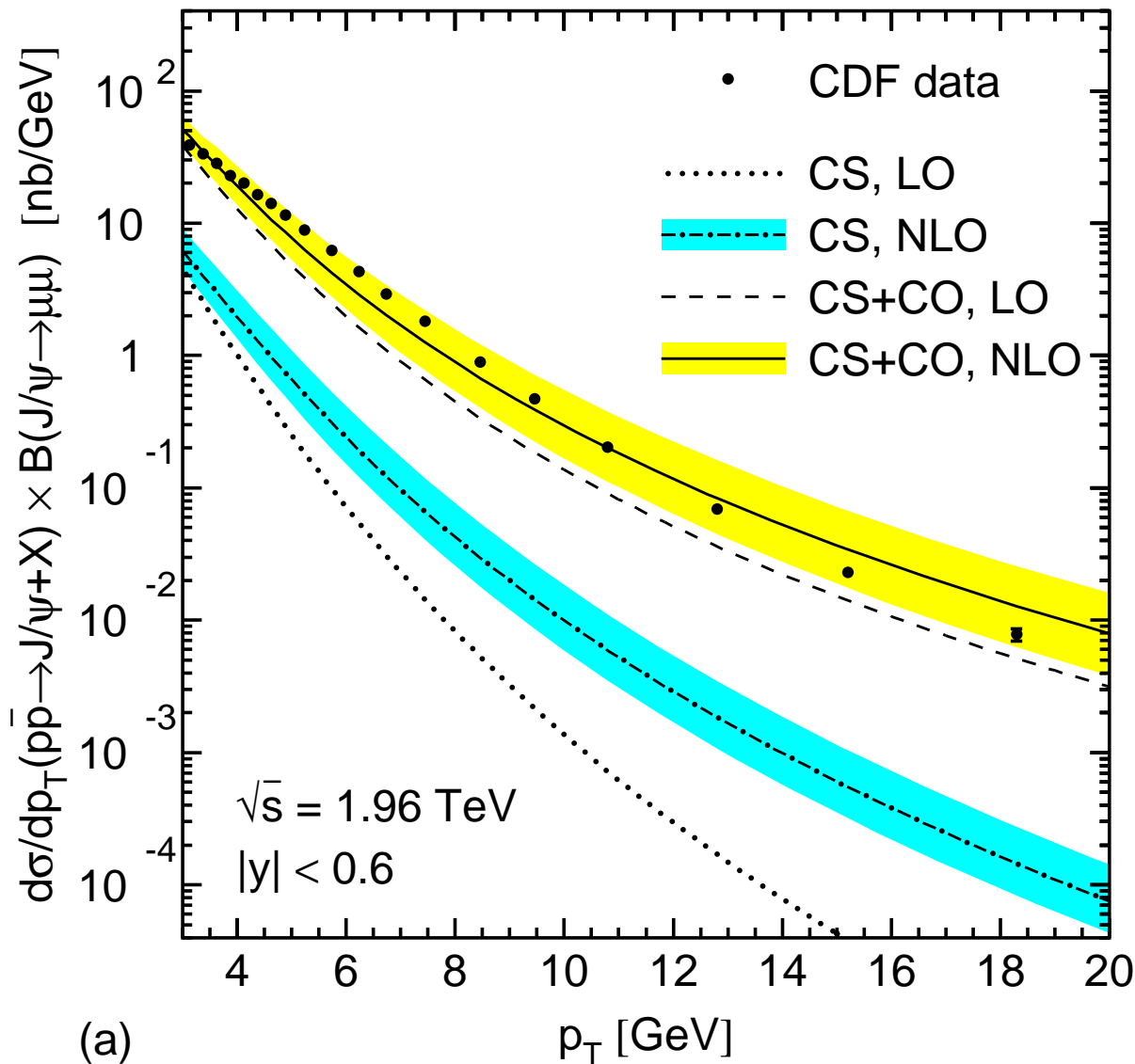
$$M_{1,r_1} = \langle O^\psi(^3S_1^{[8]}) \rangle + (r_1/m_c^2) \langle O^\psi(^3P_0^{[8]}) \rangle = (0.05 \pm 0.02) \times 10^{-2} \text{ GeV}^3$$

could be fit unambiguously.

$r_0 = 3.9$  and  $r_1 = -0.56$  for  $J/\psi$  production at the Tevatron.

- The small size of  $M_{1,r_1}$  suggests that  $\langle O^\psi(^3S_1^{[8]}) \rangle$  is small.
  - Assumes that there is not an accidental cancellation between the  $\langle O^\psi(^3S_1^{[8]}) \rangle$  and  $\langle O^\psi(^3P_0^{[8]}) \rangle$ .
  - Might explain the absence of transverse  $J/\psi$  polarization in the Tevatron data.

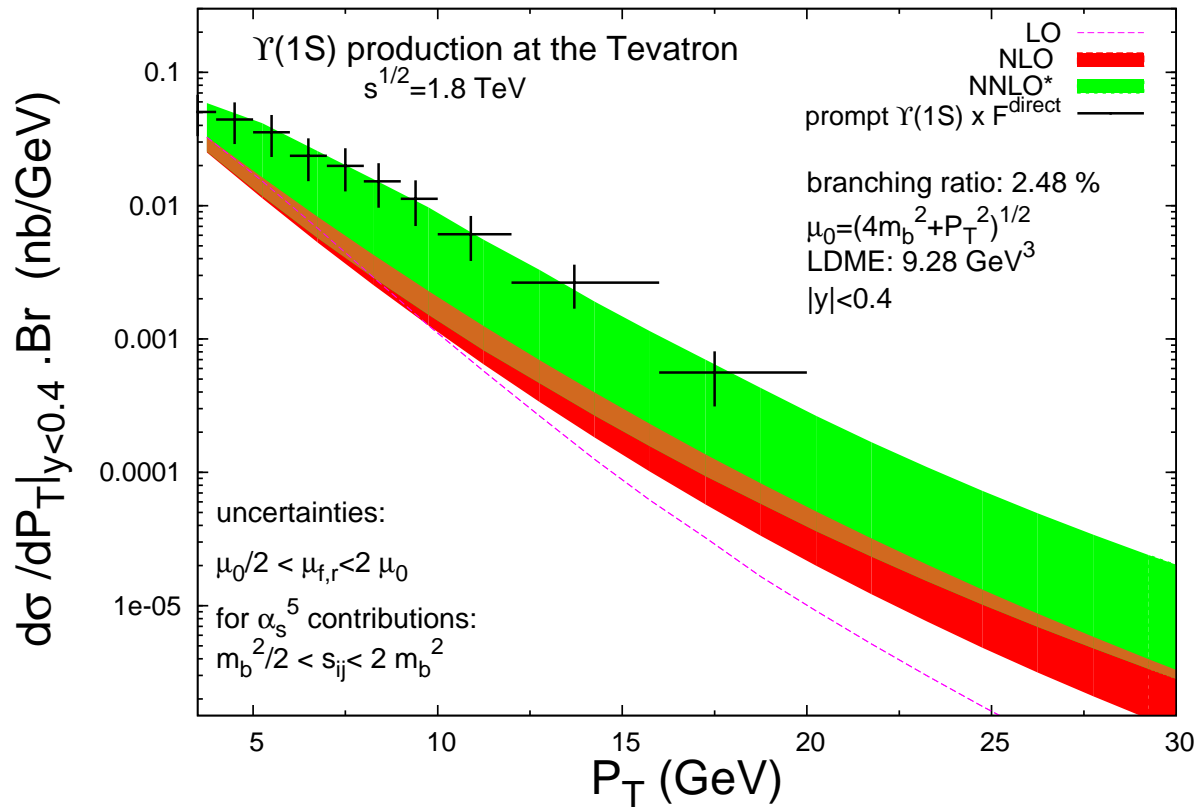
Butenschön and Kniehl (2010):



- NRQCD matrix elements were extracted in a fit that made use of both the CDF (2005) Run II data and the H1 (2002, 2005) HERA I and HERA II data.
- All three NRQCD matrix elements were determined in the fit.
- A cut  $p_T > 3$  was applied to the CDF data.
- No corrections were made for feed-down.
- This fit describes shape of the CDF data less well than the fit of Ma, Wang, and Chao.
  - There may be some tension between the theory and the combined CDF and H1 data.
- The results were used to predict cross sections at PHENIX and CMS.

- The Kniehl and Butenschön matrix elements are not very different from those from LO extractions.
- In comparison to the values in the Ma, Wang, and Chao fit
  - $M_{0,r_0}$  is about a factor 4 smaller,
  - $M_{1,r_1}$  is about a factor 11 larger.
- Since the short-distance cross section agree, the differences between the matrix elements must arise from the differences in the fitting procedures.
- Discussions are underway to resolve this issue.
- The importance of the  $\langle O^\psi(^3S_1^{[8]}) \rangle$  contribution may be decided by the outcome.

## New Results for color-singlet $\Upsilon$ Production



- Plot from Pierre Artoisenet, based on work by Artoisenet, Campbell, Lansberg, Maltoni, Tramontano (2008)
- NLO results confirmed by Gong and Wang (2007).

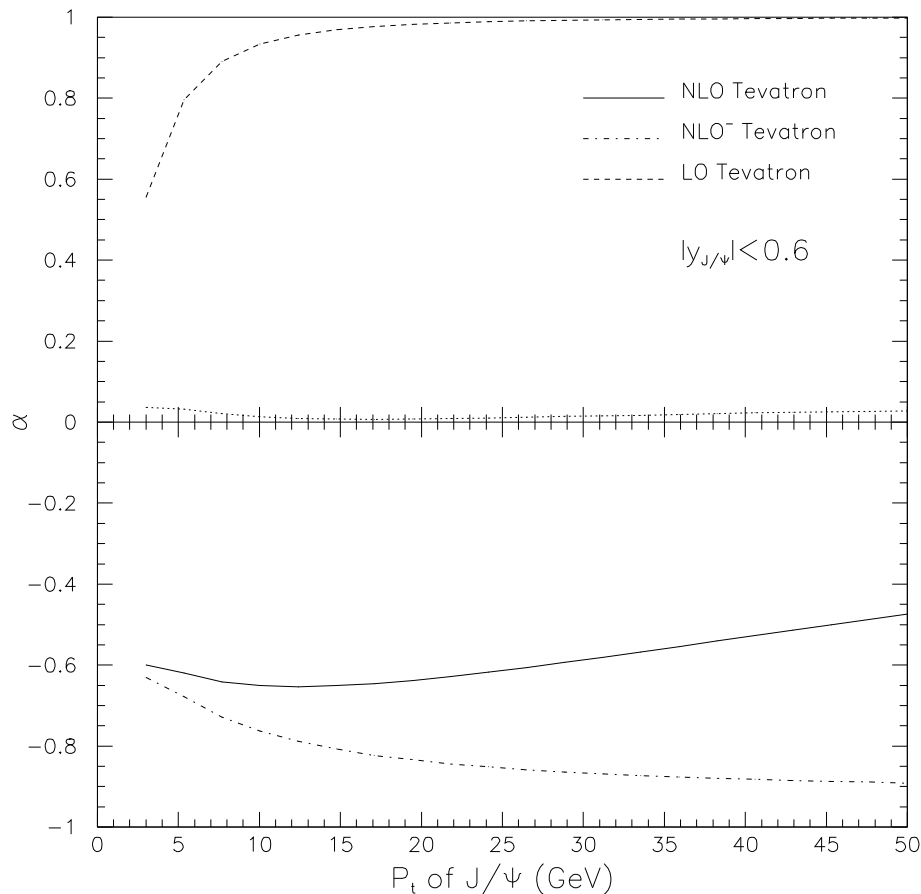
- The data could be explained by color-singlet production alone.
- There is still room for a substantial amount of color-octet production.
- Color-octet production is suppressed as  $v^4$ .  
 Should be smaller for  $\Upsilon$  ( $v^2 \approx 0.1$ ) than for  $J/\psi$  ( $v^2 \approx 0.3$ ).

## New Result for Color-Octet $\Upsilon$ Production

- (Gong, Wang, Zhang (2008, 2010)):  
NLO corrections to the  $S$ -wave channels are small.
  - $K$  factors at the Tevatron are about 1.313 for the  $^1S_0$  channel and 1.379 for the  $^3S_1$  channel.

## New Results for Polarization

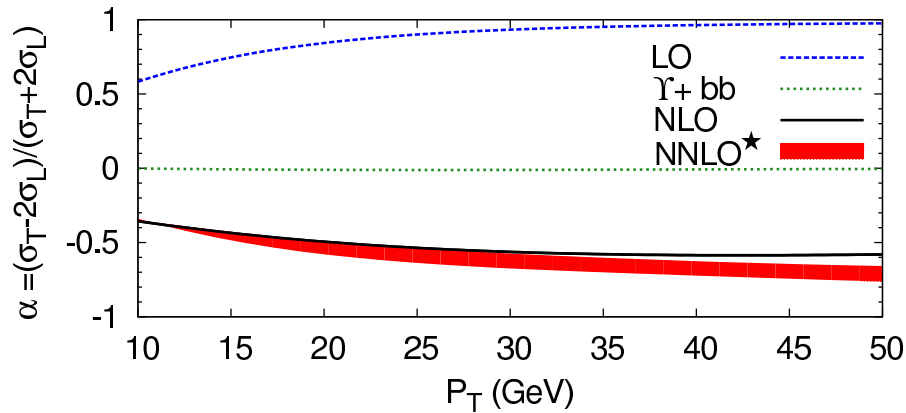
- Gong and Wang (2008) find that color-singlet  $J/\psi$  polarization at the Tevatron changes from transverse to longitudinal when NLO corrections are included.



- NLO<sup>-</sup> excludes  $gg \rightarrow J/\psi c\bar{c}$ .
- Unlabeled line is contribution of  $gg \rightarrow J/\psi c\bar{c}$ .

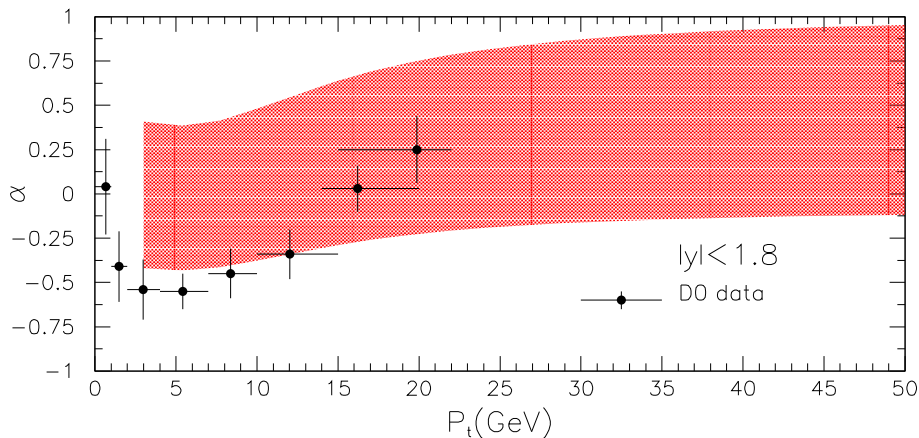
- Gong, Li, and Wang (2008): NLO corrections to the color-octet contribution to the  $J/\psi$  polarization have very little effect.

- Artoisenet, Campbell, Lansberg, Maltoni, Tramontano (2008) find that color-singlet  $\Upsilon$  polarization at the Tevatron changes from transverse to longitudinal when NLO and NNLO\* corrections are included.



- NLO result confirmed by Gong and Wang (2008).

- The NLO calculation of Gong, Wang, and Zhang (2010) for the color-octet  $^1S_0$  and  $^3S_1$  channels does not shift the theoretical prediction significantly.



- There are large uncertainties because of the feeddown from the  $\chi_{bJ}$  states.



## Fragmentation-Function Approach to Quarkonium Production

- The large renormalization-scale uncertainties in the higher-order calculations are a major obstacle to meaningful comparisons with experiment.
- Kang, Qiu, and Sterman have proposed a method for bringing the higher-order corrections under better control.
- Based on writing the cross section in terms of partonic production processes convolved with fragmentation functions in which a single parton or a  $Q\bar{Q}$  pair fragment into a quarkonium.
- The leading and first subleading behaviors in  $p_T$  already appear in the Born-level diagrams for
  - $A + B \rightarrow$  fragmenting parton +  $X$ ,
  - $A + B \rightarrow Q\bar{Q} + X$ .
- In the fragmentation functions, an important class of higher-order corrections is resummed by making use of evolution equations.
- If NRQCD factorization holds, then the fragmentation functions can be written as a sum of NRQCD matrix elements times perturbatively calculable short-distance coefficients.
- See Qiu's talk at QWG2010 ([conferences.fnal.gov/QWG2010](http://conferences.fnal.gov/QWG2010)).

## Discussion

- The NNLO\* corrections greatly increase the color-singlet contributions to the  $J/\psi$  and  $\Upsilon$  cross sections, but the uncertainties are very large.
- A reduced color-octet contribution plus significant longitudinal polarization from the color-singlet contribution might help to bring theory into agreement with the CDF data for  $J/\psi$  polarization.
- The  $J/\psi$  production data still seem to require a color-octet contribution.
- NLO calculations might change our ideas about the relative proportions of the color-octet channels.
- NLO corrections to the color-octet  $^3P_J$  channels might also have a substantial effect on polarization.
- Interpretation of the Tevatron  $J/\psi$  data, both polarized and unpolarized, is complicated by feed-down from the  $\psi(2S)$  and  $\chi_{cJ}$  states.
- High-statistics, high- $p_T$  measurements of the cross section and polarization for direct production of the  $J/\psi$ ,  $\chi_{cJ}$ , and  $\psi(2S)$  states would be of great help.
- A color-octet contribution is not required or excluded by the  $\Upsilon$  production data.
- The discrepancies between the CDF and D0  $\Upsilon$  polarization data must be resolved before any meaningful comparisons can be made with theory.

## $\chi_{cJ}$ Production

- 

$$R_{\chi_c} = \sigma_{\chi_{c2}} / \sigma_{\chi_{c1}}.$$

- In NRQCD factorization in LO,  $R_{\chi_c}$  is dominated by color-octet contributions at large  $p_T$ . It is predicted large  $p_T$  to be

$$R_{\chi_c} = 5/3.$$

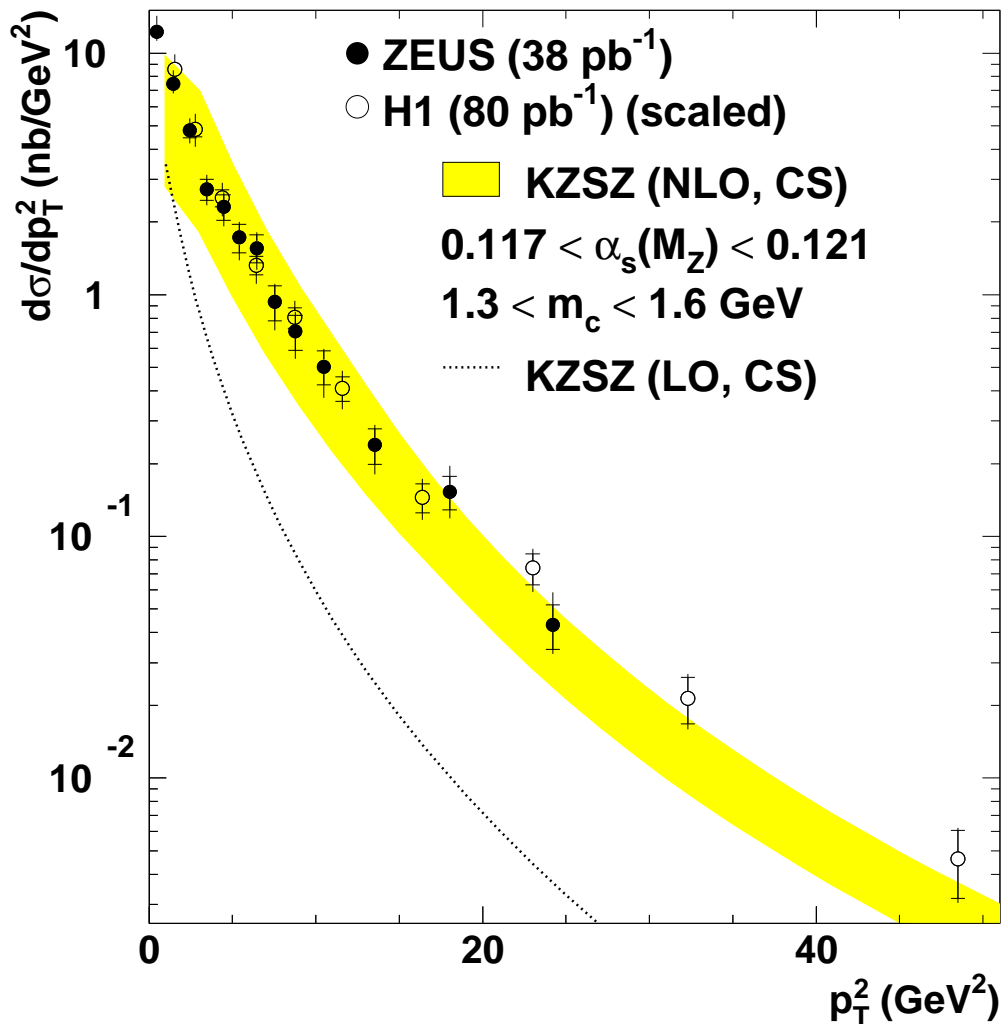
- CDF (2007): At large  $p_T$

$$R_{\chi_c} \approx 0.75.$$

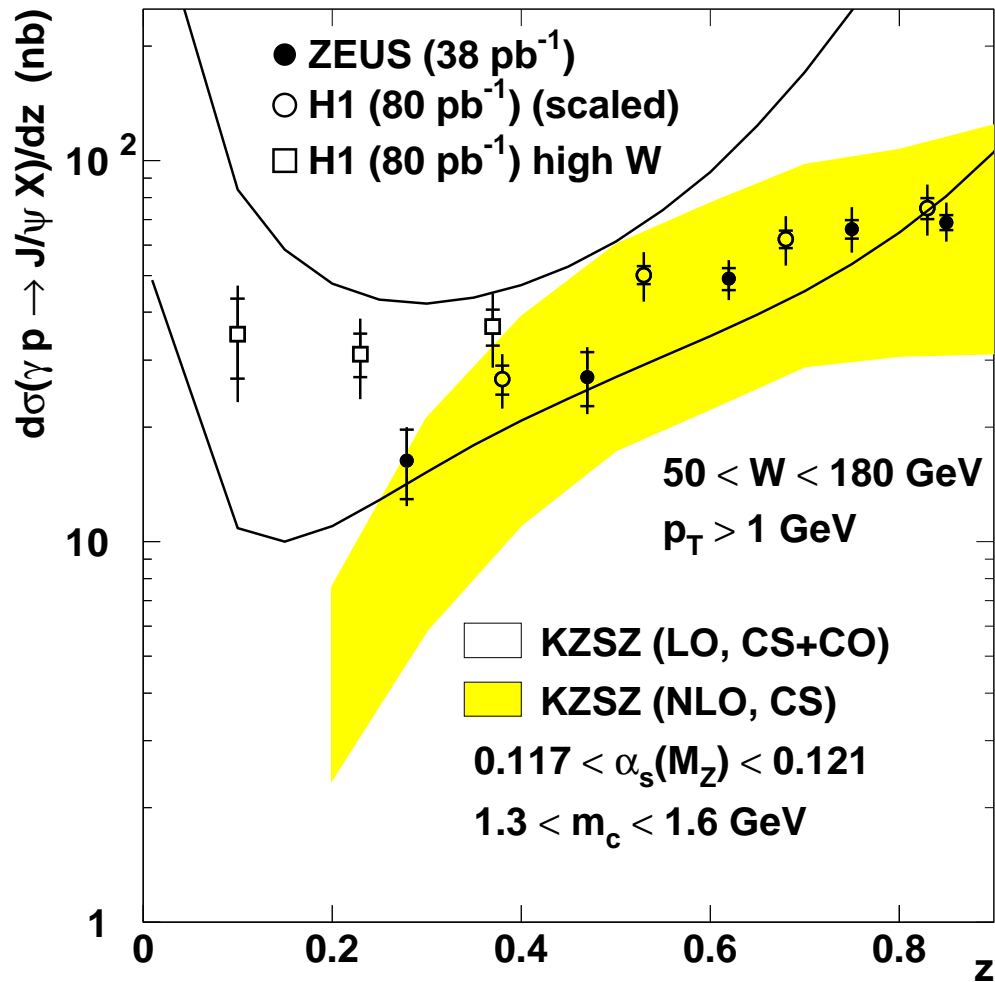
- Ma, Wang, Chao (2010): NLO corrections to  $R_{\chi_c}$  are large at large  $p_T$ .
  - Using the NLO results, they are able to fit the  $p_T$  distribution of  $R_{\chi_c}$ , using plausible values of the color-octet NRQCD matrix elements.
  - The fit predicts that feeddown from the  $\chi_{cJ}$  states to the  $J/\psi$ , may be as large as 30% of the  $J/\psi$  rate at  $p_T = 20$  GeV.
  - The predicted  $\chi_{cJ}$  fraction increases with increasing  $p_T$ , while the  $\chi_{cJ}$  fraction measured by CDF (1997) in Run I decreases with increasing  $p_T$ .

# Inelastic $J/\psi$ Photoproduction Cross Section at HERA

- It had been believed that NLO color-singlet calculations leave little room for a color-octet contribution.



- NLO corrections increase the color-singlet contribution substantially. (Krämer, Zunft, Steegborn, Zerwas (1994); Krämer (1995))
- NLO corrections include  $\gamma + g \rightarrow (c\bar{c}) + gg$ .
- At large  $p_T$ , this process goes as  $\alpha_s^3 m_c^2 / p_T^6$ , instead of  $\alpha_s^2 m_c^4 / p_T^8$ .
- Are NNLO corrections also important?

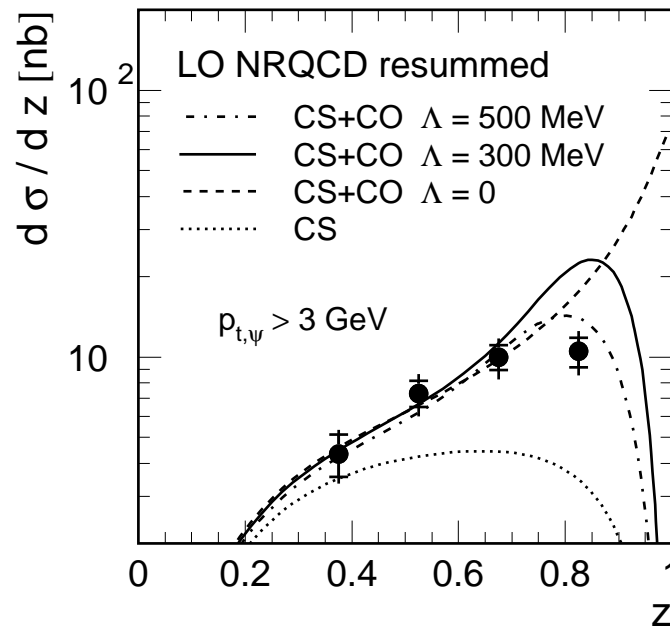
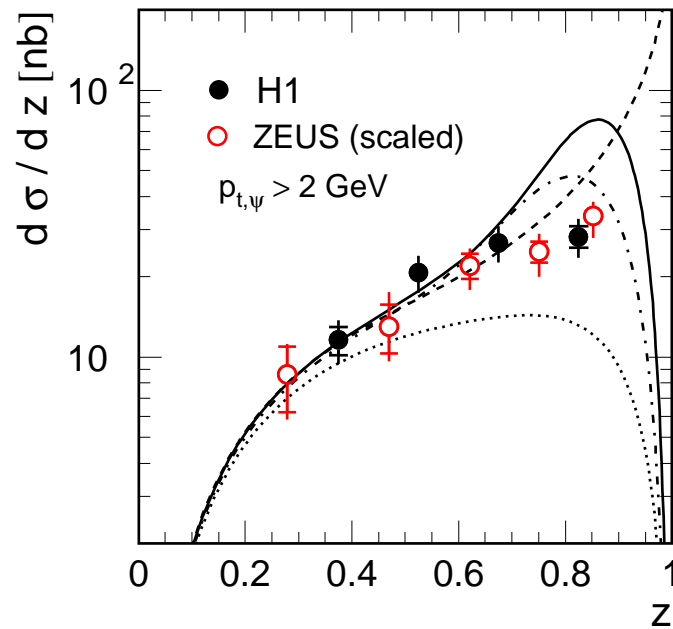


- **LO NRQCD calculations** by Cacciari, Krämer (1996); Amundson, Fleming, Maksymyk (1996); Ko, Lee, Song (1996); Kniehl, Krämer (1997).
- **NLO color-singlet calculations** by (Krämer, Zunft, Steegborn, Zerwas (1994); Krämer (1995))

• The  $\alpha_s$  expansion breaks down near  $z = 1$ .

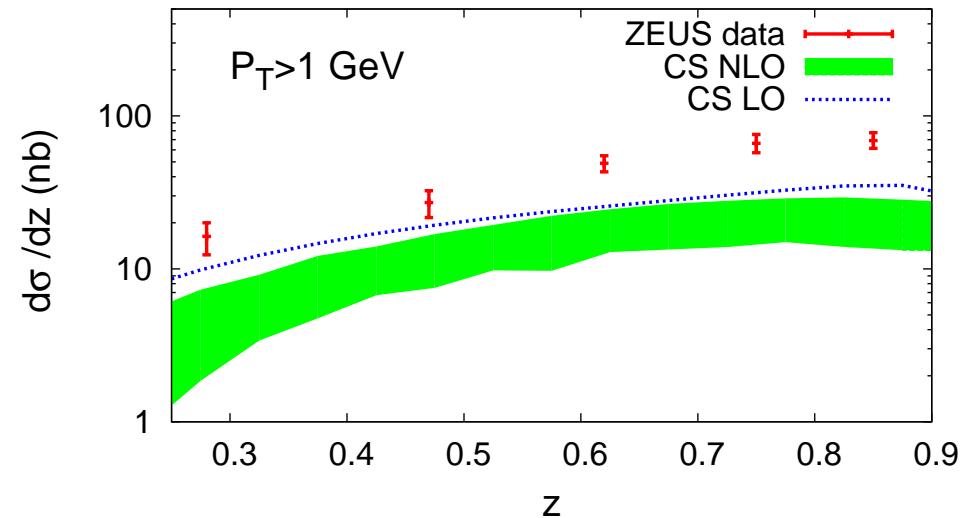
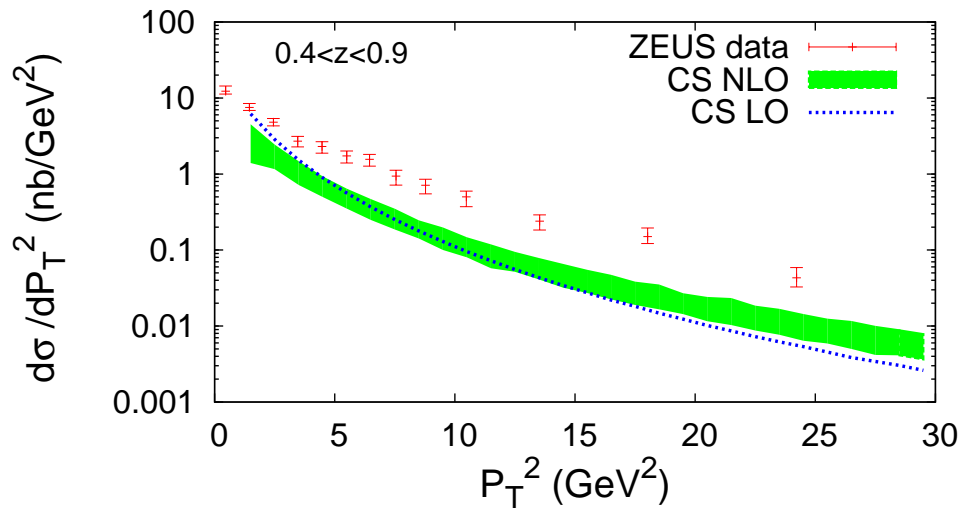
- Resummation of multiple soft-gluon emission is needed.  
(Beneke, Schuler, Wolf (2000))

- The  $v$  expansion breaks down near  $z = 1$ .
  - Resummation of the  $v$  expansion leads to a nonperturbative shape function. (Beneke, Rothstein, Wise (1997))
- Inclusion of a shape function with reasonable choices of parameters leads to an improved fit.



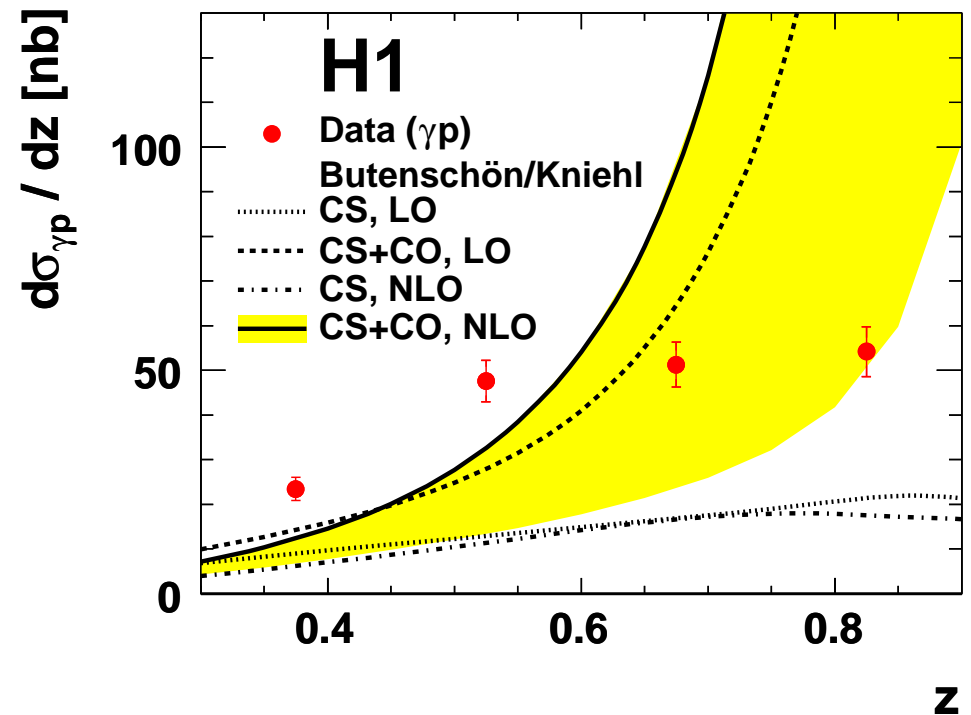
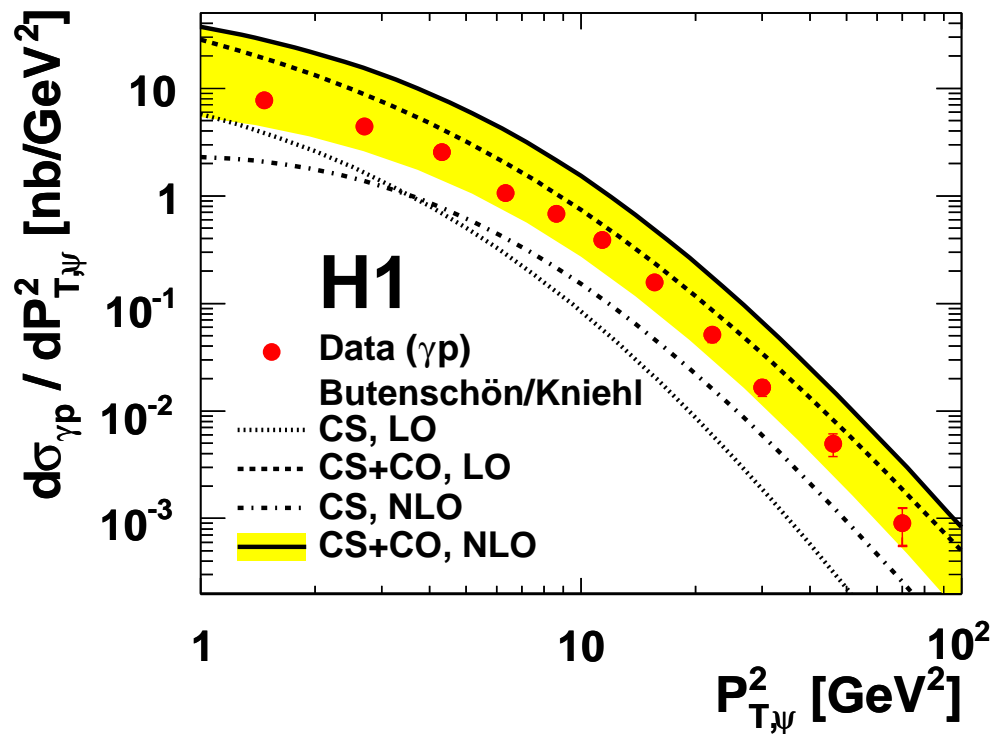
## Recent Theoretical Developments

- Artoisenet, Campbell, Maltoni, Tramontano (2009): A new calculation of NLO color-singlet contribution
  - Confirms the analytic results of previous calculations.
  - But a more reasonable choice of renormalization/factorization scale ( $\sqrt{4m_c^2 + p_T^2}$  instead of  $m_c/\sqrt{2}$ ) yields much smaller numerical results for cross sections.



- Leaves room for a color-octet contribution.

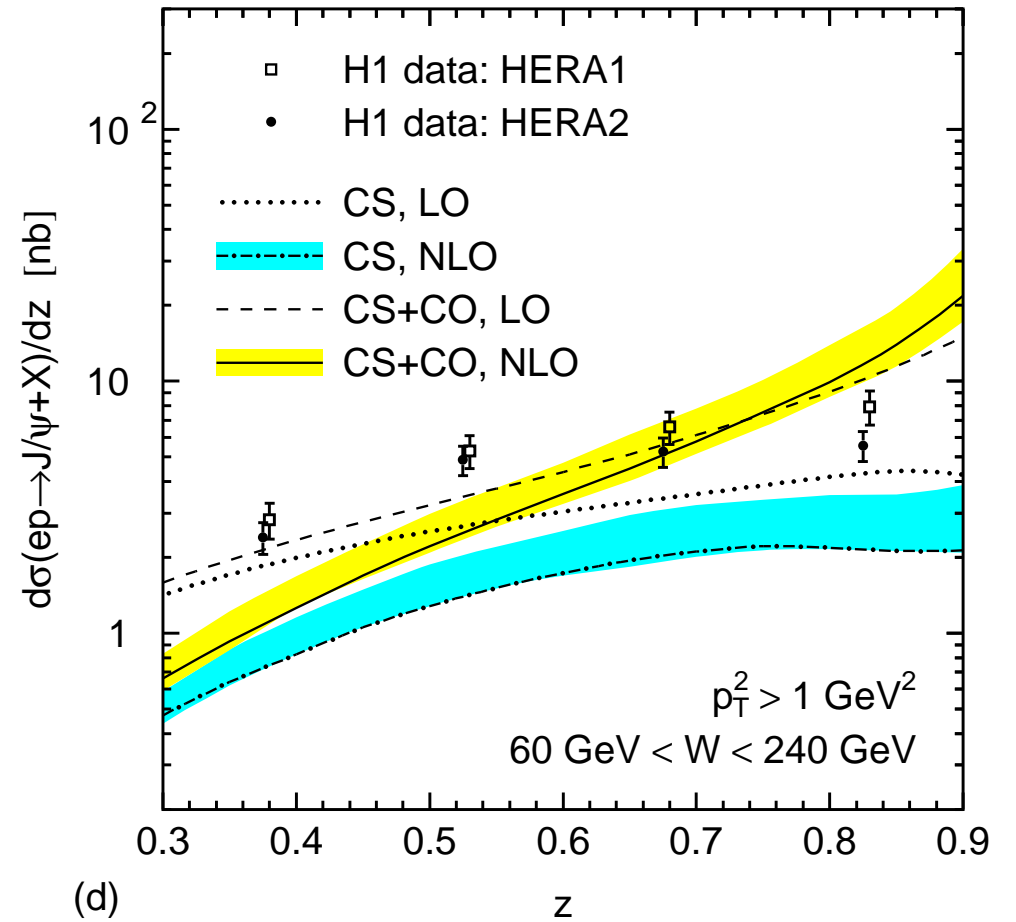
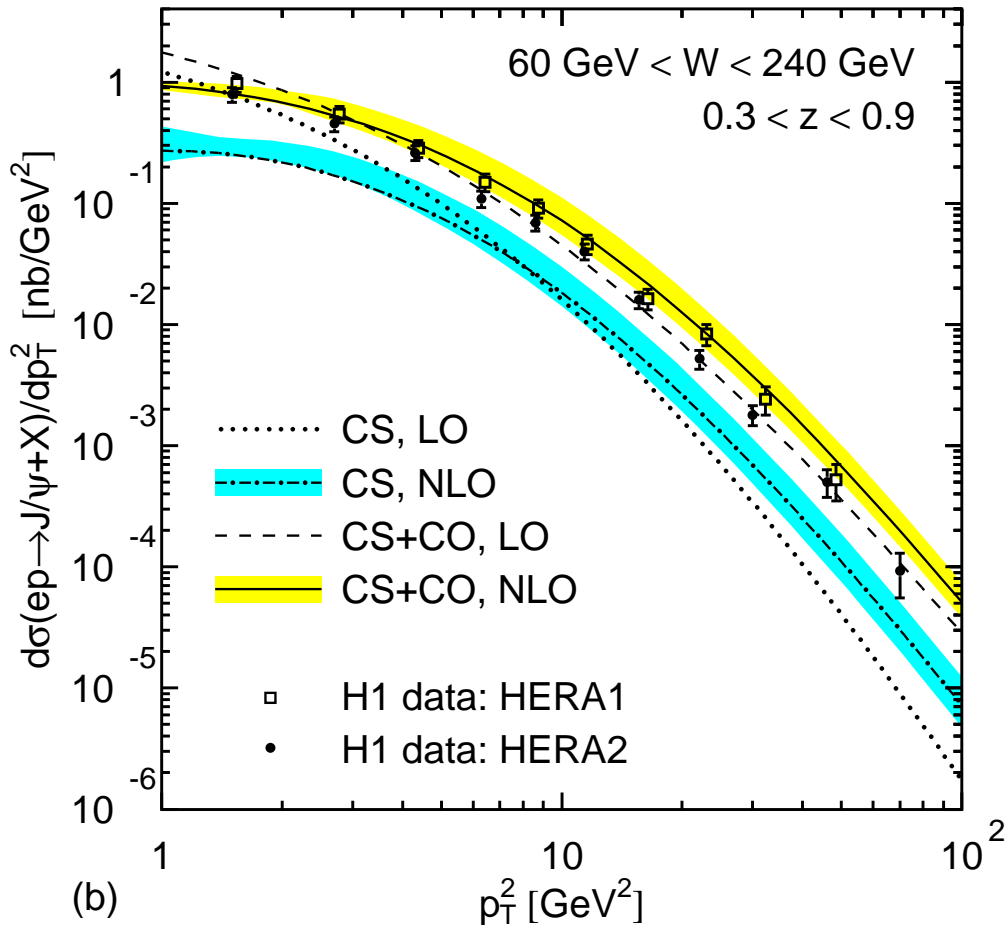
- Butenschön and Kniehl (2009) have carried out a complete NLO calculation of photoproduction in NRQCD factorization, including both color-octet and color-singlet contributions.
- The color-octet matrix elements are from the fit to the Tevatron data by Kniehl and Kramer (1998), which uses LO plus approximate NLO calculations.



- The comparison of the H1 (2009) data with the Butenschön and Kniehl calculation strongly favors NRQCD factorization over the color-singlet model.
- The discrepancy at low  $z$  is probably due to the omission of resolved contributions.
- The discrepancy at high  $z$  would probably be fixed by resummation.

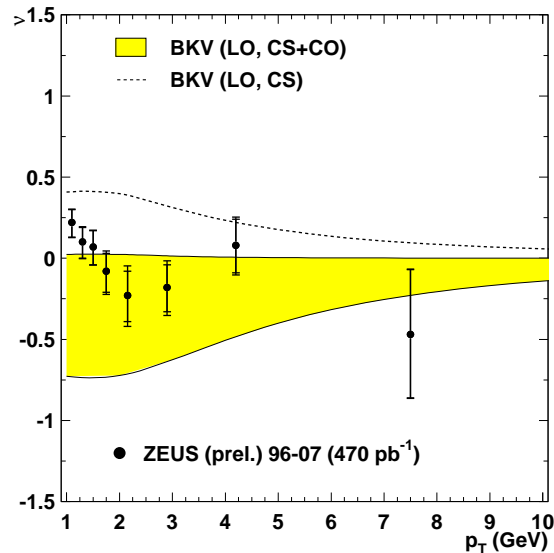
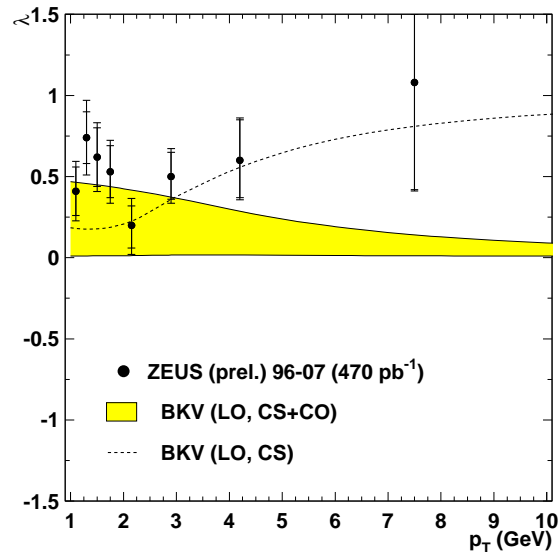


- The simultaneous NLO fit to the CDF (2005) and H1 (2009) data yields slightly higher central values and smaller uncertainties.



# Polarization in Inelastic $J/\psi$ Photoproduction at HERA

$$\frac{d\Gamma(J/\psi \rightarrow l^+l^-)}{d\Omega} \propto 1 + \lambda \cos^2 \theta + \mu \sin(2\theta) \cos \phi + \frac{\nu}{2} \sin^2 \theta \cos(2\phi)$$

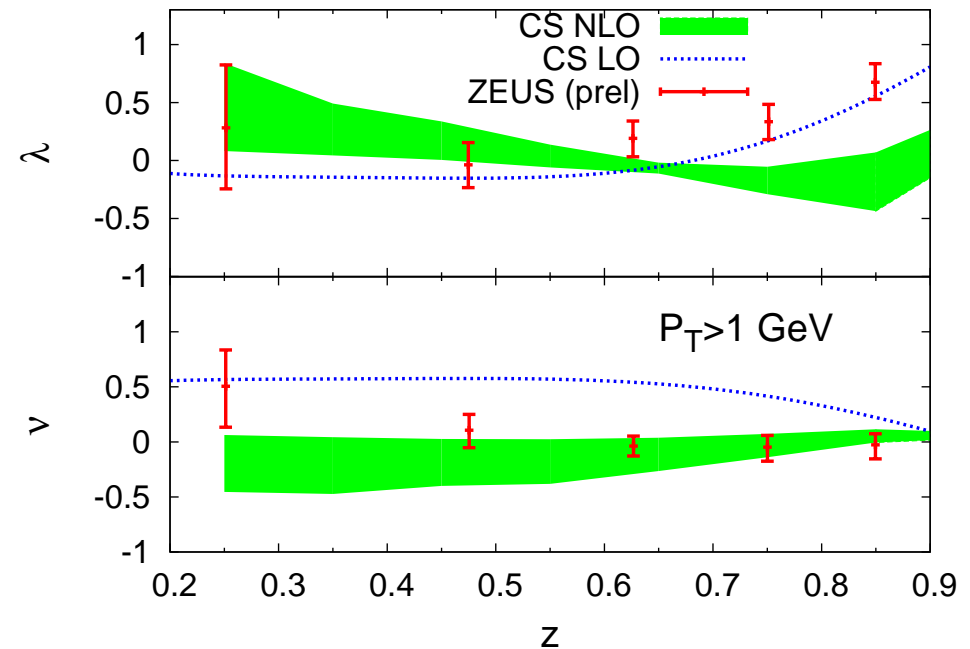
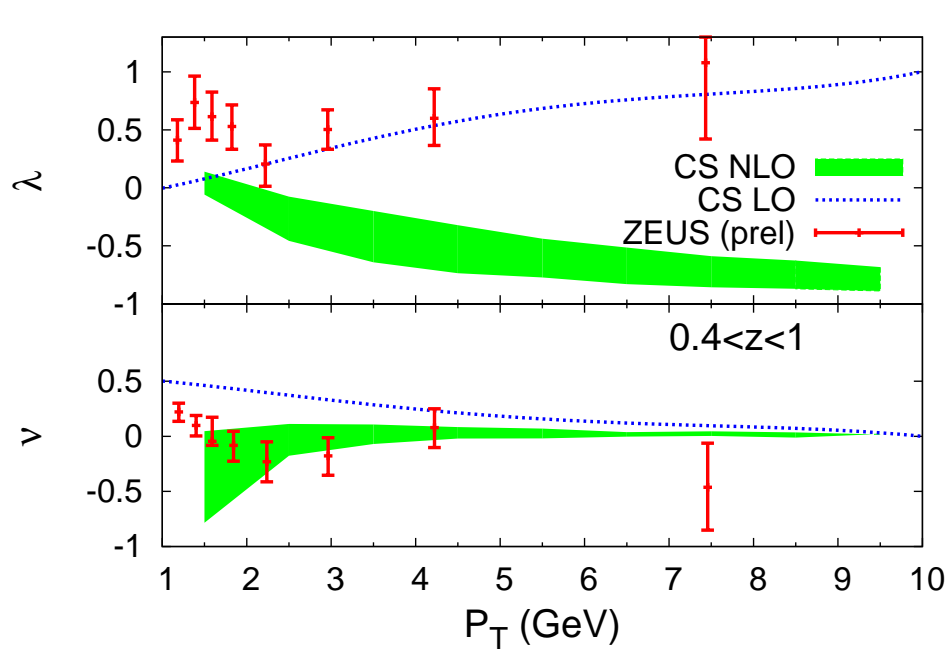


- LO NRQCD calculation by Beneke, Krämer, Vanttinen (1997) using Beneke-Krämer (1996) matrix elements from fits to the Tevatron data.
- $\theta$  and  $\phi$  are the polar and azimuthal angles of the  $l^+$  3-momentum with respect to the helicity frame, which is defined in the  $J/\psi$  rest frame.
  - The  $z$  axis is the direction of the  $J/\psi$  momentum in the lab frame.
  - $\phi$  is defined with respect to the scattering plane.
- The data for  $\lambda$  at high  $p_T$  slightly favor the color-singlet prediction.
- The data for  $\nu$  at high  $p_T$  slightly favor the color-singlet+color-octet prediction.

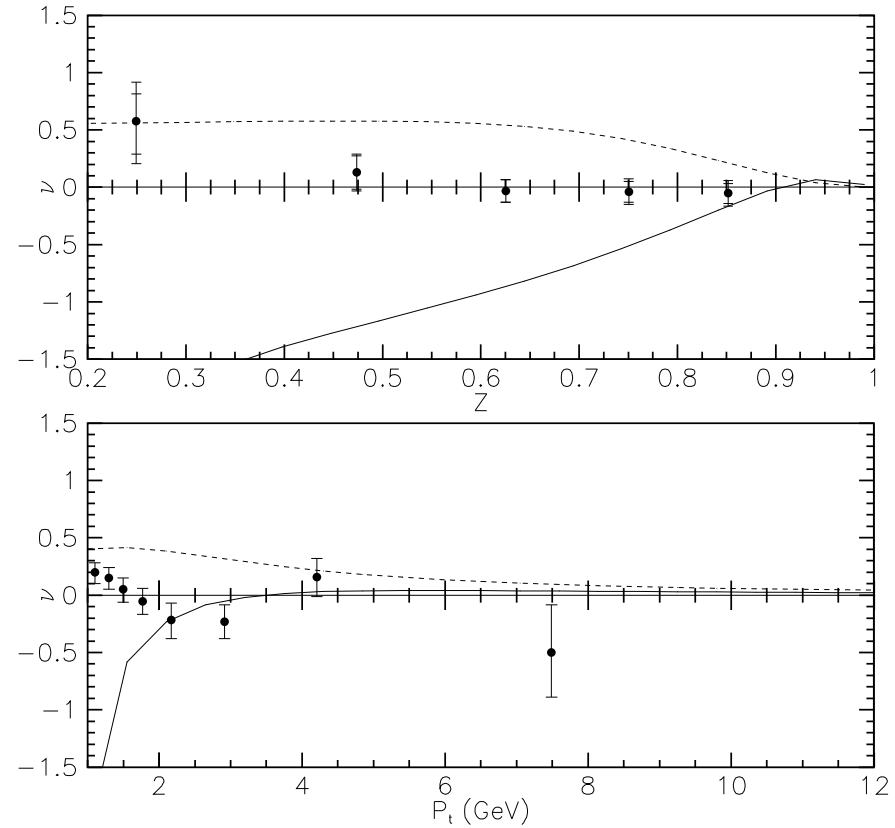
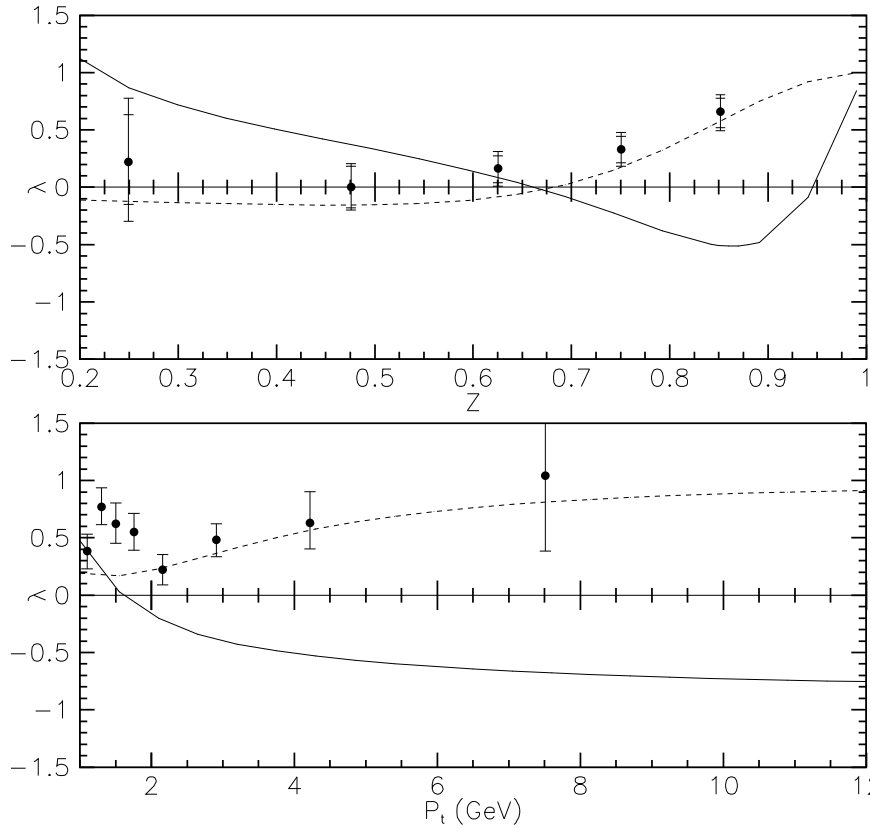
## Recent Theoretical Developments

- NLO calculations have a significant effect on the color-singlet polarization predictions.
  - LO calculation: Beneke, Krämer, Vanttinen (1997).
  - NLO calculations: Artoisenet, Campbell, Maltoni, Tramontano (2009); Chang, Li, Wang (2009).

Artoisenet, Campbell, Maltoni, Tramontano:



Chang, Li, Wang:



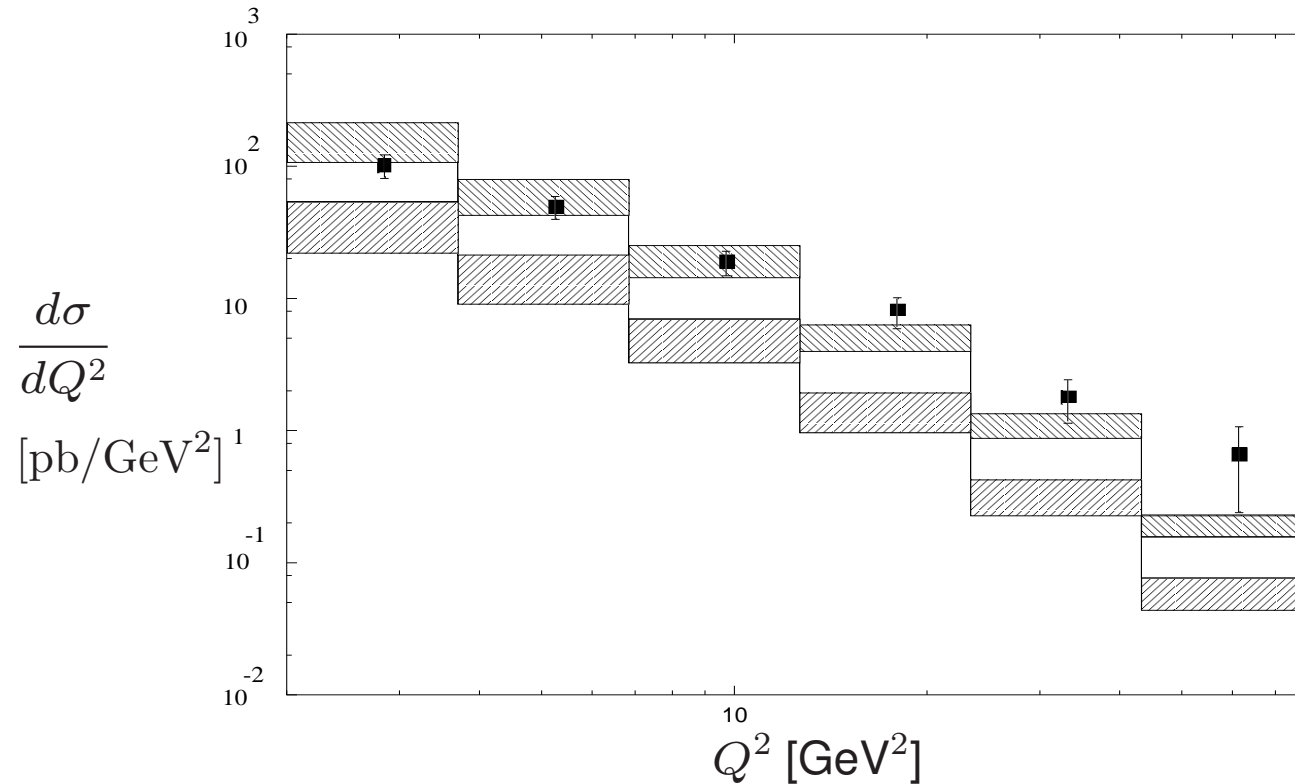
Dotted lines are LO. Solid lines are NLO.

- The NLO calculations agree, except that Chang, Li, and Wang find a more negative result for  $\nu$  vs.  $z$  than Artoisenet, Campbell, Maltoni, Tramontano.
- The NLO color-singlet contribution alone cannot explain the data for  $\lambda$  at large  $p_T$  or large  $z$ .
- Would a color-octet contribution bring theory into agreement with data?

## $J/\psi$ Production in DIS at HERA

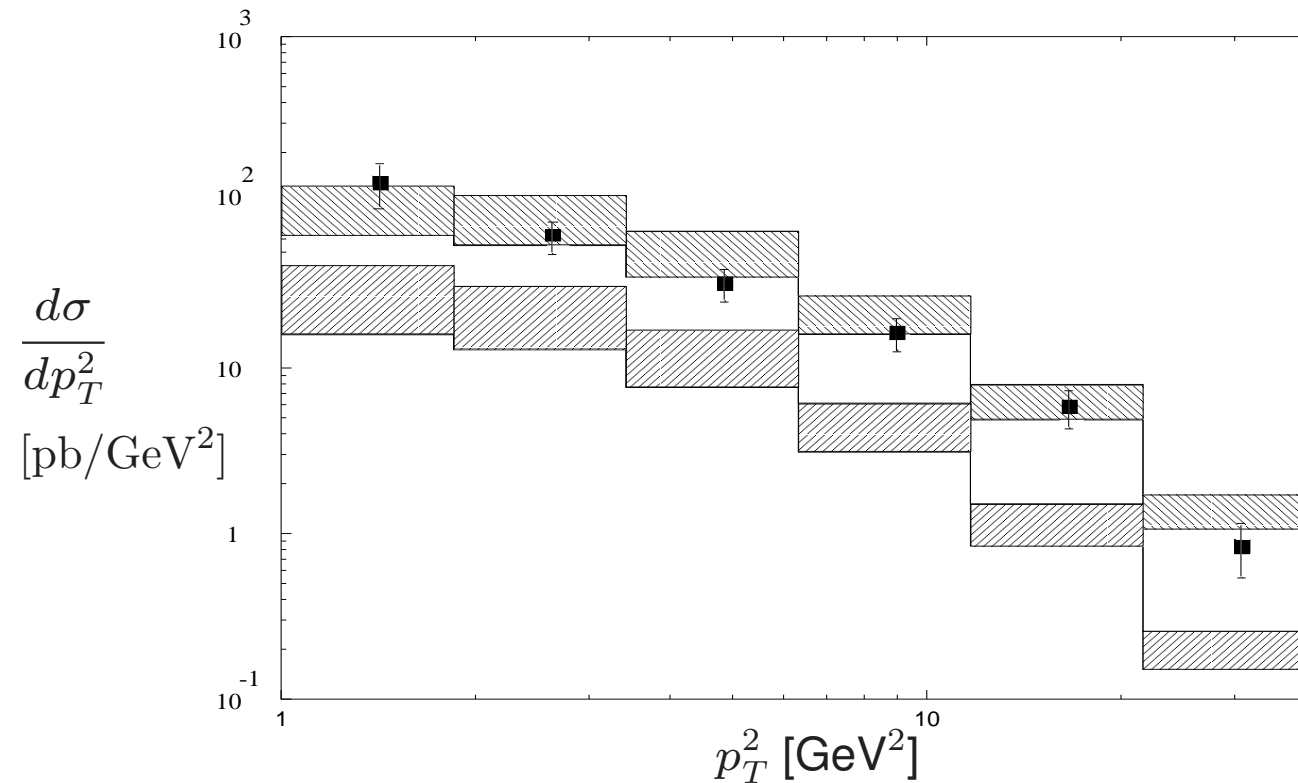
- Note that NLO calculations are not yet available for this process.
- The NRQCD prediction (Kniehl, Zwirner (2001)) uses matrix elements extracted from the Tevatron data (Braaten-Kniehl-Lee (1999)).

- The H1 (1998) data plotted as a function of  $Q^2$  favor the NRQCD prediction over the color-singlet-model prediction. ( $Q$  is the virtual-photon momentum.)



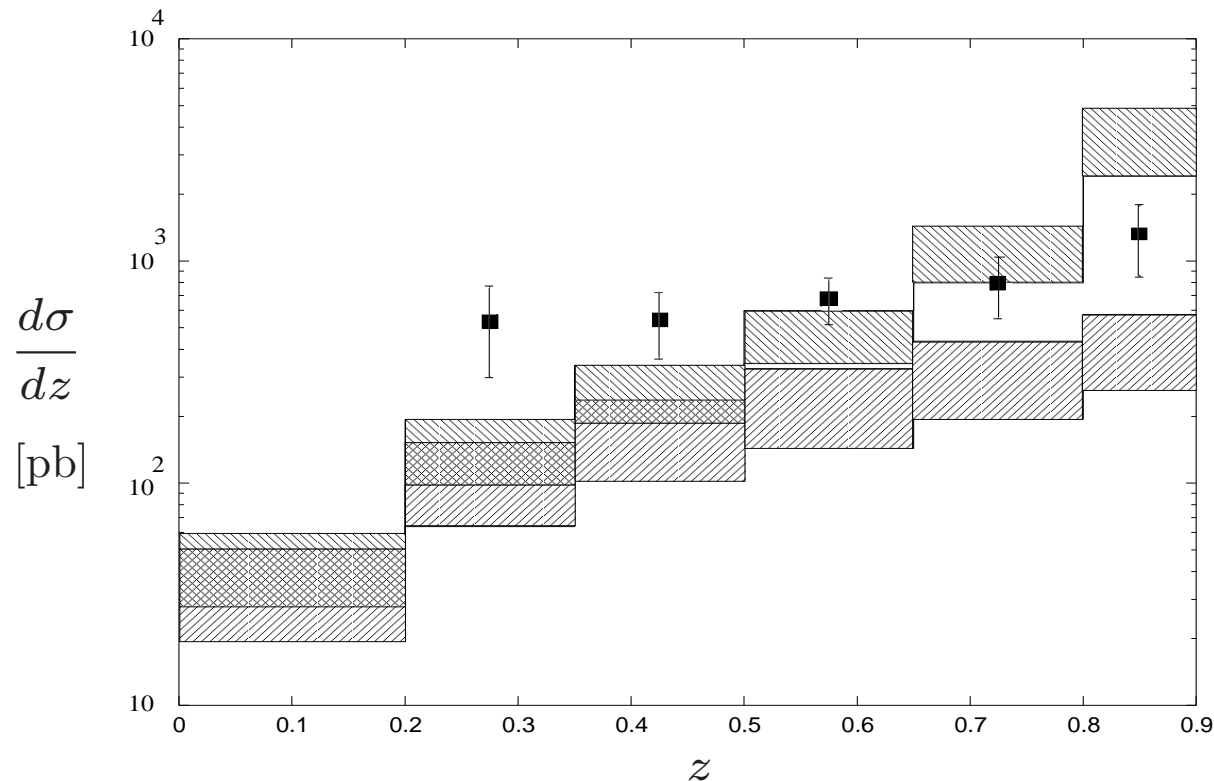
H1 data vs. leading-order NRQCD (upper) and Color-Singlet Model (lower).

- The H1 (1998) data plotted as a function of  $P_T^2$  favor the NRQCD prediction over the color-singlet-model prediction.  $p_T$  is the transverse momentum of the  $J/\psi$ .



H1 data vs. leading-order NRQCD (upper) and Color-Singlet Model (lower).

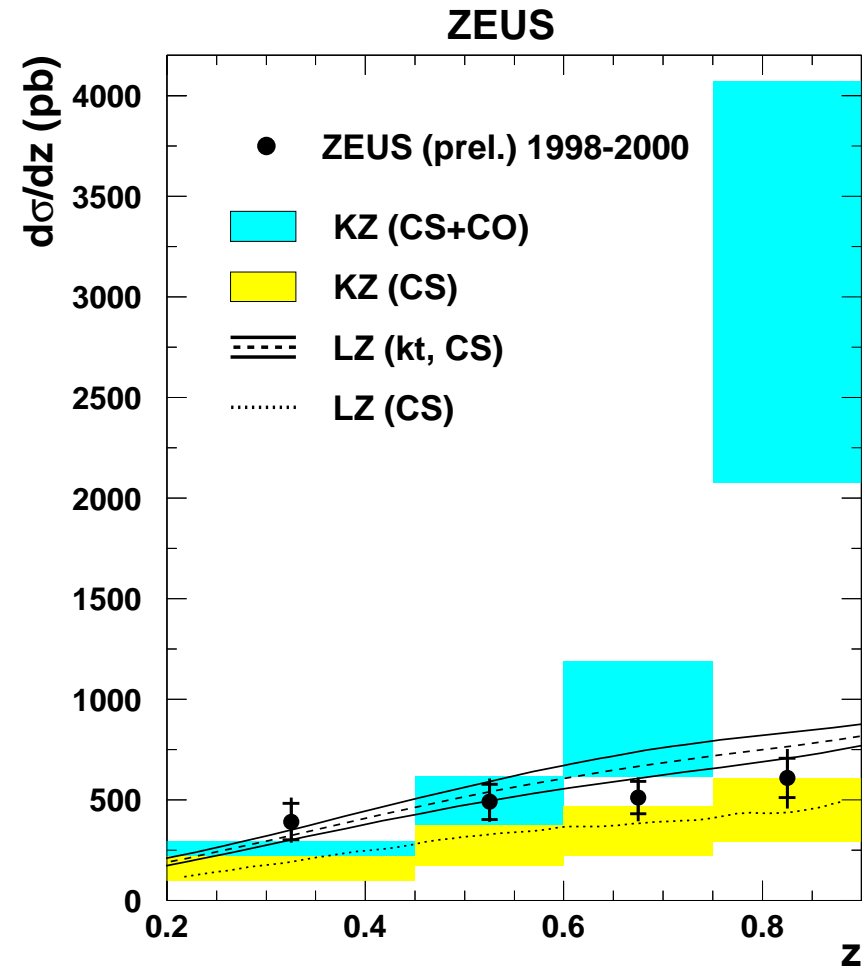
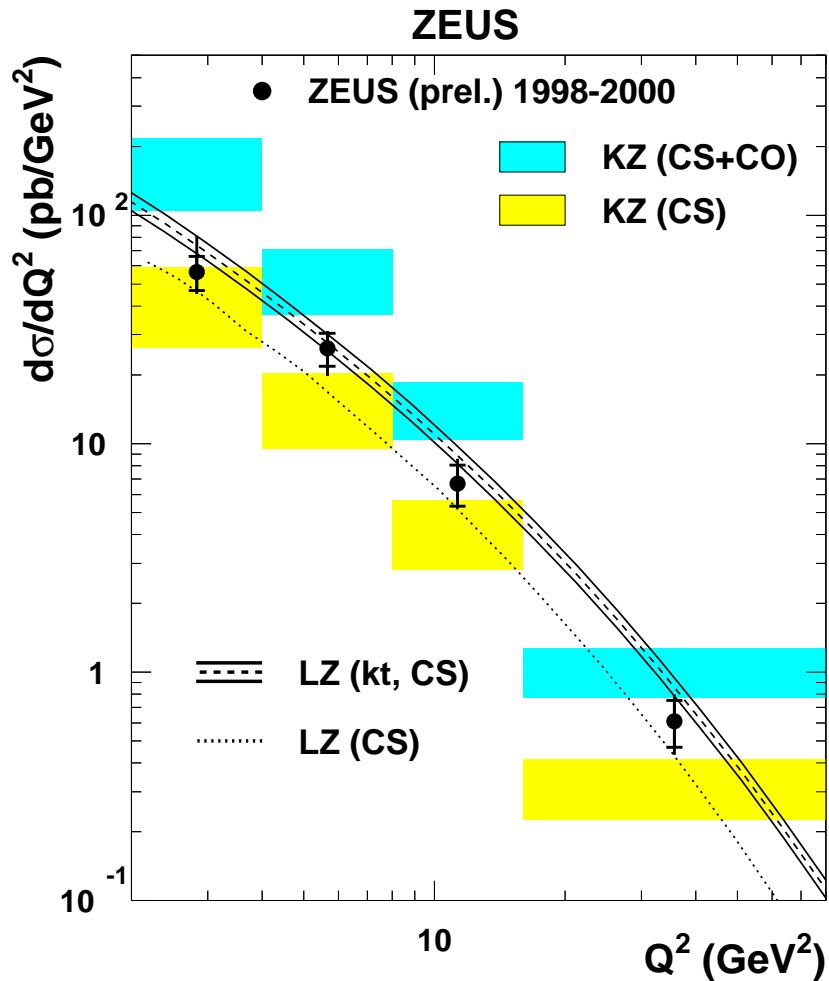
- The H1 (1998) data plotted as a function of  $z$  do not agree well with either the NRQCD prediction or the color-singlet-model prediction. ( $z$  is the energy fraction of the  $J/\psi$ .)
- The data do not show the expected color-octet rise at  $z = 1$ . Resummations of the  $\alpha_s$  and  $v$  expansions are needed.



H1 data vs. leading-order NRQCD (upper) and Color-Singlet Model (lower).



- The ZEUS data are systematically lower than the H1 (1998) data and agree less well with the NRQCD prediction (but have larger error bars).
- The data plotted as a function of  $z$  do not show the expected color-octet rise at  $z = 1$ .

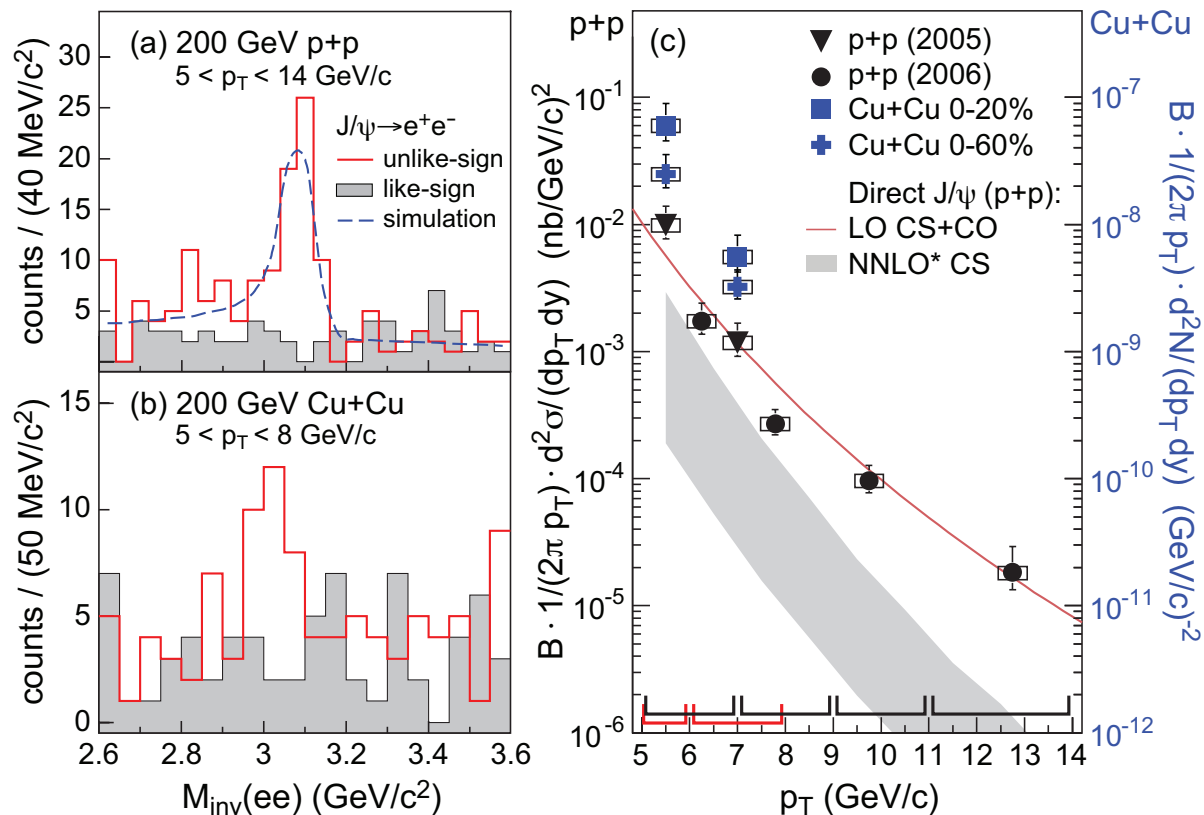


Curves from A.V. Lipatov and N.P. Zotov.

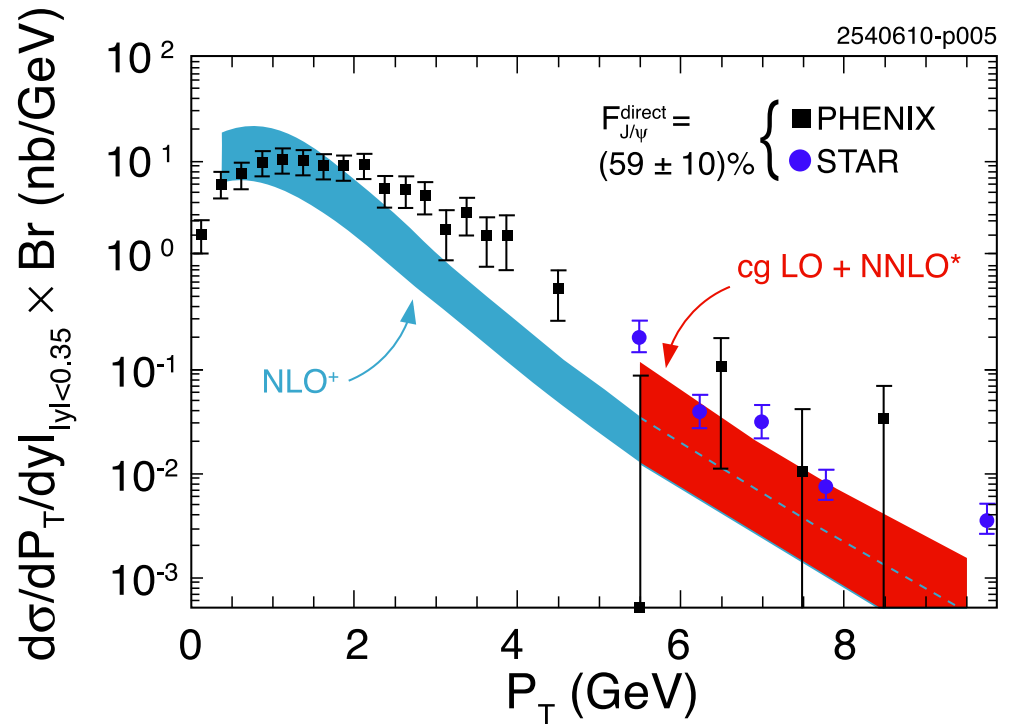
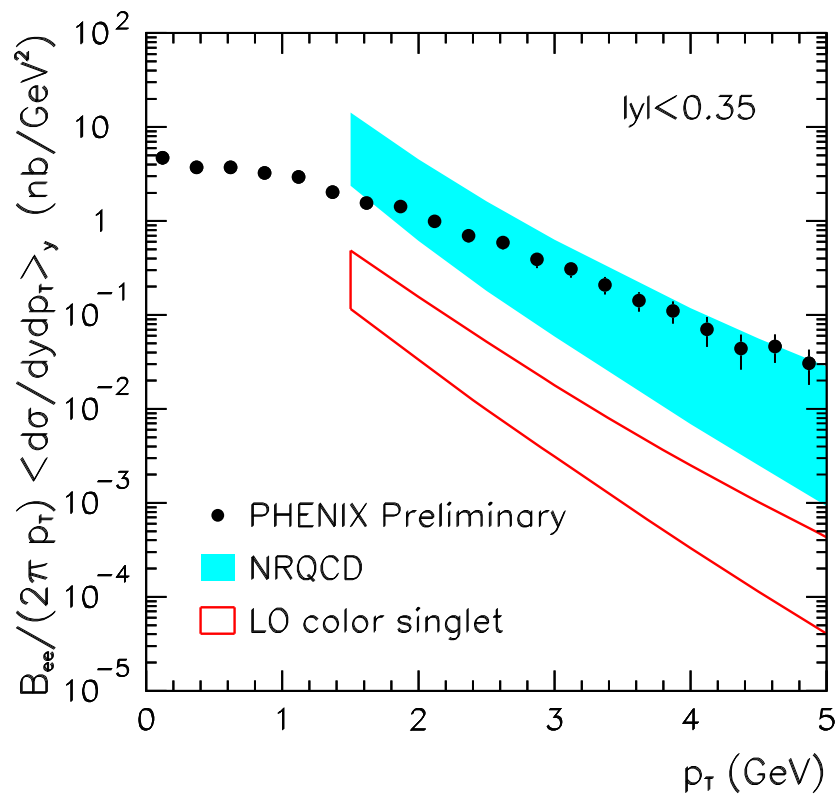
# $J/\psi$ Production at RHIC

## Production Cross Section

- The STAR collaboration has measured the  $J/\psi$   $p_T$  distributions in  $p + p$  and Cu+Cu collisions:



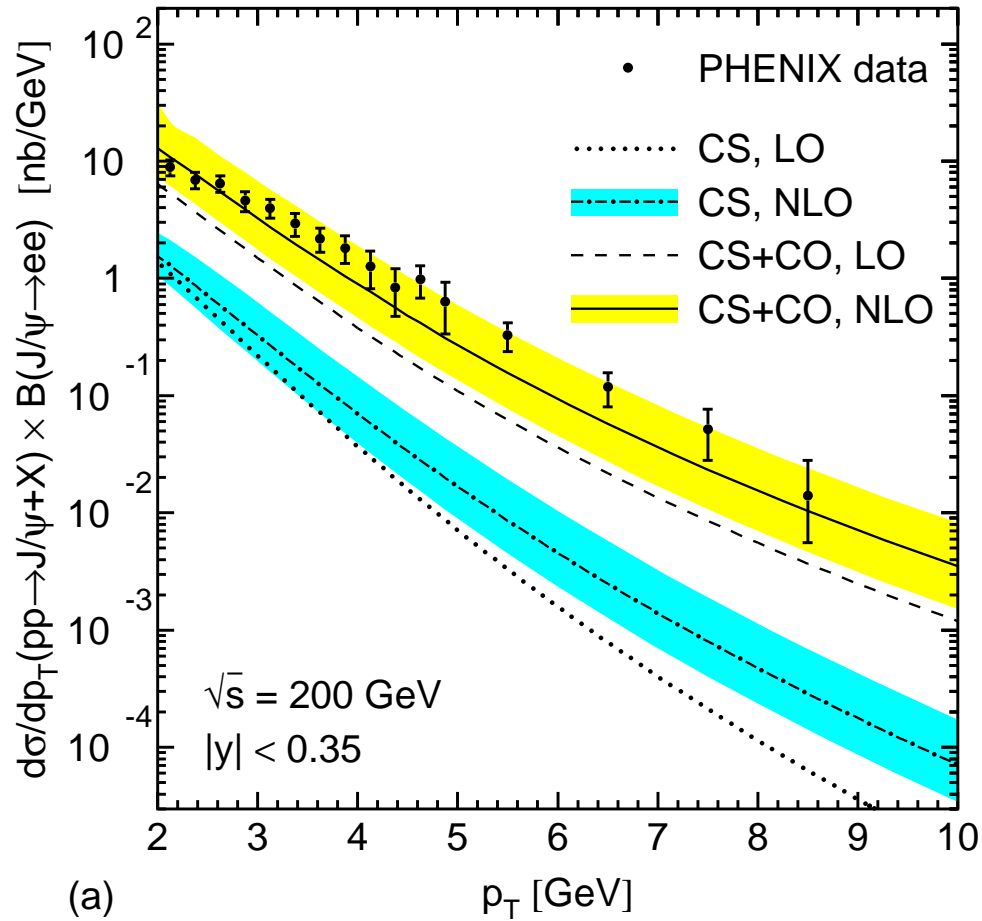
- Nayak, Liu, Cooper (2003): An LO NRQCD calculation (color-singlet plus color-octet contributions) fits the data well.
  - Does not include feeddown from  $\psi(2S)$ ,  $\chi_c$ , or  $B$  decays. (Estimated to be a factor 1.5.)



- Chung, Yu, Kim, Lee (2010): An LO NRQCD calculation, including feeddown, fits the PHENIX (2009) data well.
- The color-singlet contribution is well below the PHENIX (2009) data.

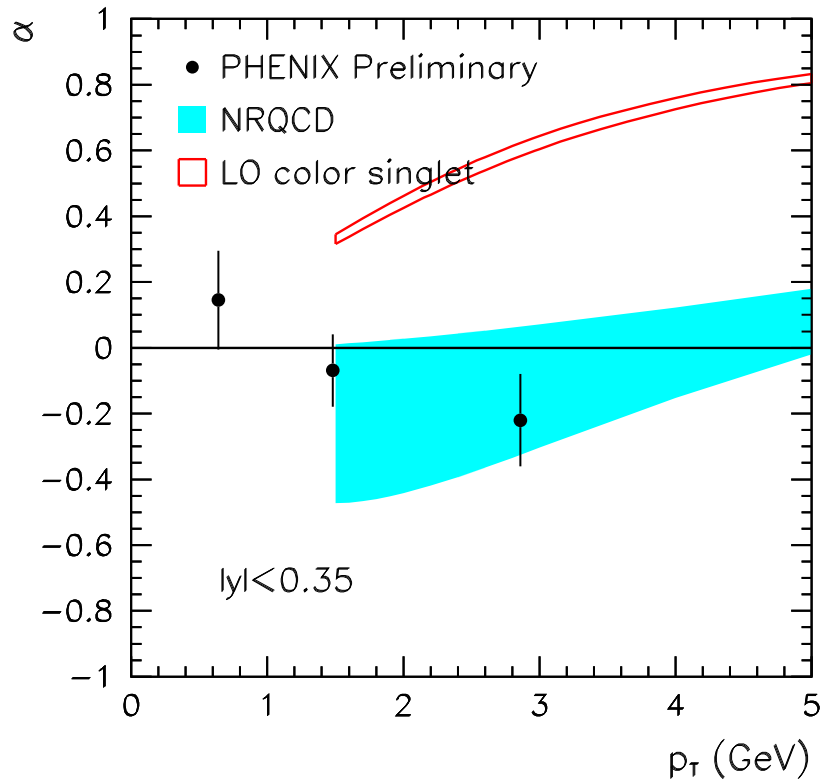
- Lansberg (2010): NLO corrections increase the size of the color-singlet contribution substantially.
- The color-singlet contribution still lies below the PHENIX (2006) and STAR (2009) data at large  $p_T$ .

- The NLO NRQCD calculation of Kniehl and Butenschön (2010), with NRQCD matrix elements fit to the CDF (2005) and H1 (2002, 2010) data, agrees well with the PHENIX data:

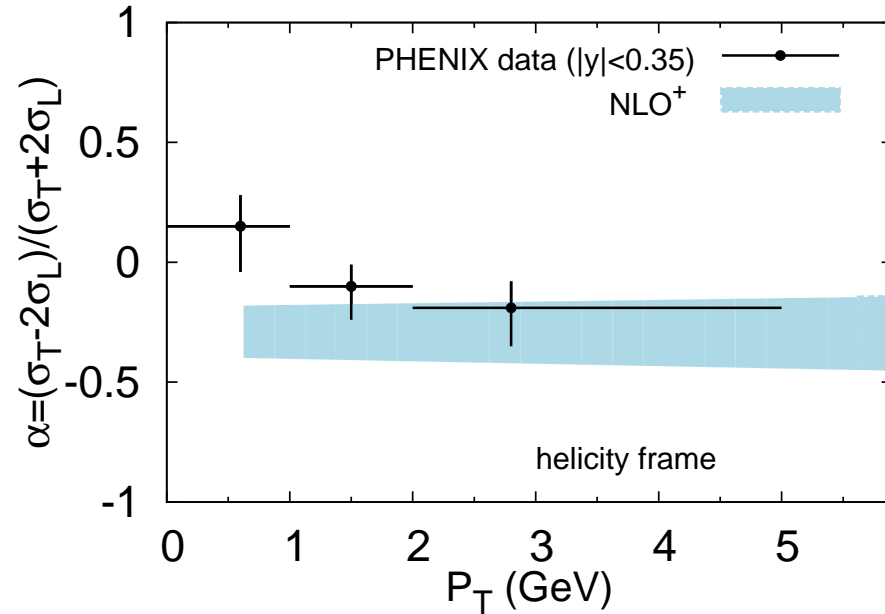


- The NLO color-singlet contribution is well below the PHENIX data.

## Polarization



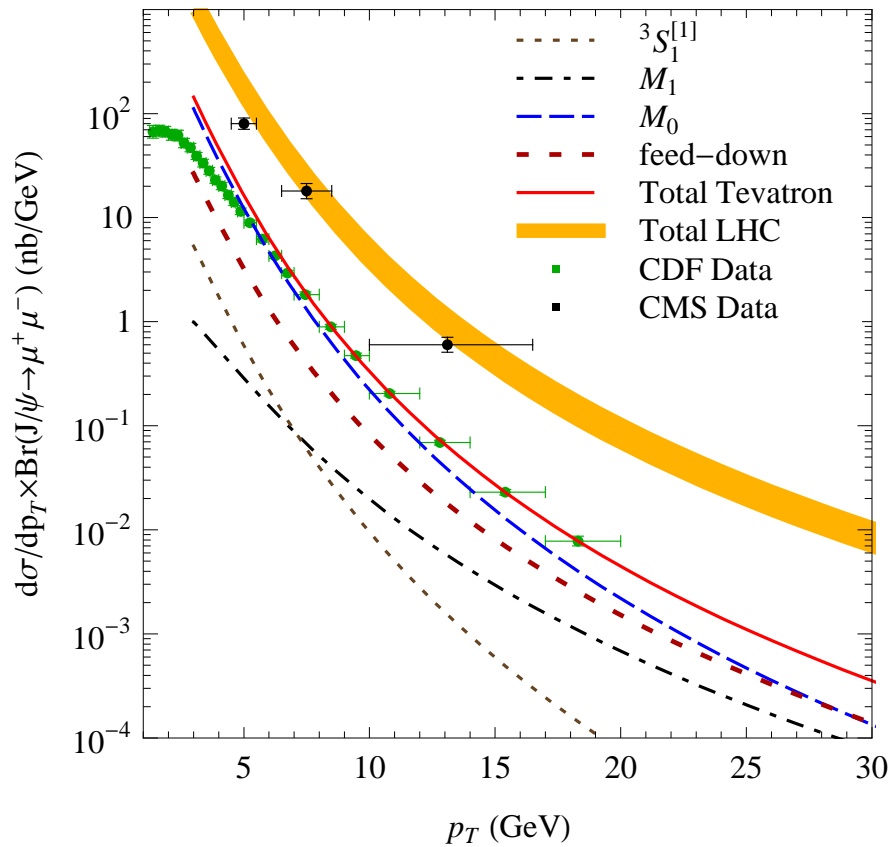
- Chung, Yu, Kim, Lee (2010): An LO NRQCD calculation, including feeddown, fits the PHENIX (2009) data well.
- The color-singlet contribution in LO is in poor agreement with the PHENIX data.



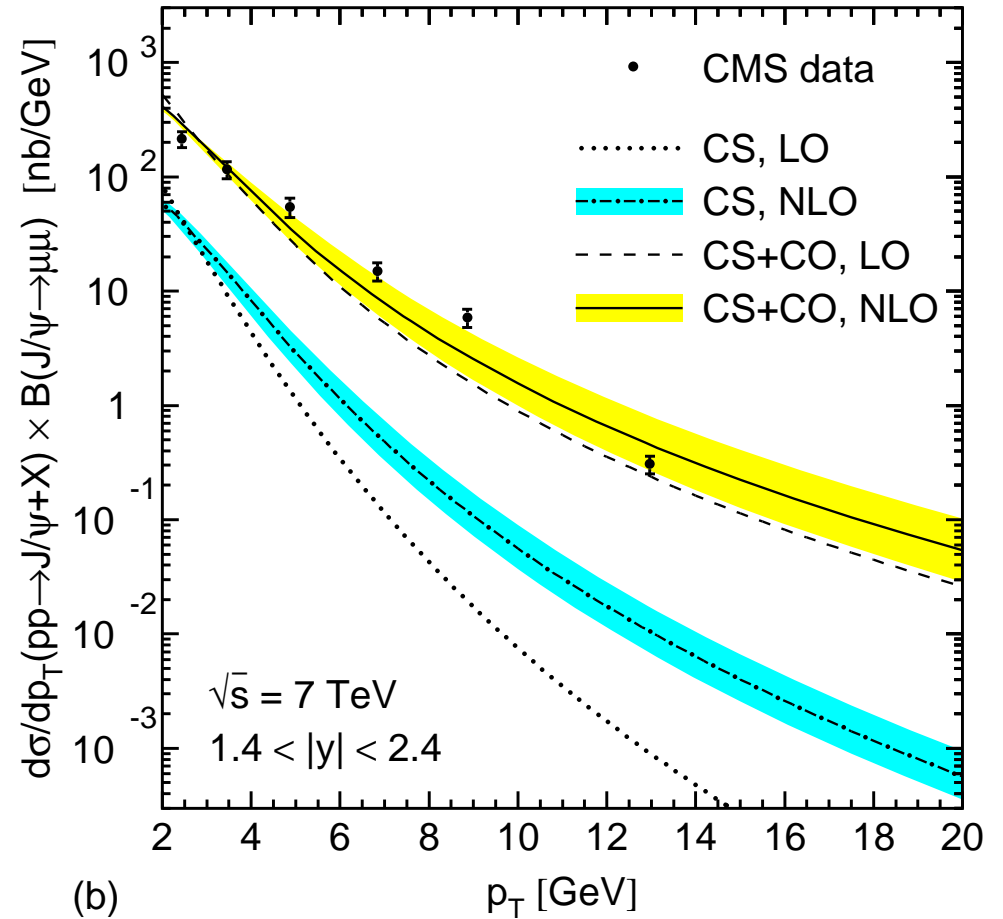
- Lansberg (2010): The NLO corrections to the color-singlet contribution make it virtually indistinguishable from the color-octet contribution.

# $J/\psi$ Production at the LHC

- The NLO predictions of Ma, Wang and Chao (2010) and Kniehl and Butenschön (2010) agree well with the CMS (2010) data:



Ma, Wang, and Chao (2010)  
 $|y| < 2.4$



Kniehl and Butenschön (2010)  
 $1.4 < |y| < 2.4$

- Only the calculation of Ma, Wang, and Chao (2010) includes the effects of feeddown.

- Atlas, LHCb, and ALICE have also measured  $J/\psi$  production, but, so far,  $d\sigma/dp_T$  for prompt production is not available.
- We look forward to comparisons between NLO theory and measurements from these experiments.

## Summary

- The effective field theory NRQCD is a convenient formalism for separating physics at the scale of the heavy-quark mass from physics at the scale of quarkonium bound-state dynamics.
- The NRQCD factorization approach provides a systematic method for calculating quarkonium decay and production rates as double expansions in powers of  $\alpha_s$  and  $v$ .
- NRQCD factorization for inclusive production rates has not yet been established.
- There is now a proof of NRQCD factorization for exclusive charmonium production in  $B$ -meson decays and  $e^+e^-$  annihilation.
- NRQCD factorization has enjoyed a number of successes:
  - inclusive  $P$ -wave quarkonium decays,
  - quarkonium production at the Tevatron,
  - inelastic  $J/\psi$  photoproduction at HERA,
  - exclusive double-charmonium production at Belle and BABAR,
  - quarkonium production in DIS at HERA,
  - $J/\psi$  production at RHIC,
  - $\gamma\gamma \rightarrow J/\psi + X$  at LEP,
  - $J/\psi$  production at the LHC.



- Theory and experiment are no longer in obvious conflict for  $\sigma(e^+e^- \rightarrow J/\psi + c\bar{c} + X)/\sigma(e^+e^- \rightarrow J/\psi + X)$  at Belle, but only if the color-octet matrix elements are much smaller than previously believed.
- The situation is still ambiguous for polarization in inelastic  $J/\psi$  photoproduction at HERA.
- The disagreement between theory and experiment for quarkonium polarization at the Tevatron presents a serious challenge.
- In a number of cases, corrections of higher order in  $\alpha_s$  and  $v$  and resummations near kinematic endpoints have proven to be essential to obtain reliable theoretical predictions.
- NLO calculations are the new state of the art for quarkonium production cross sections and polarizations.
- NNLO calculations of color-singlet quarkonium production at the Tevatron may reduce the importance of color-octet contributions and could possibly resolve some puzzles, but theoretical uncertainties are not yet under good control.
- Measurements of direct-production cross sections and polarizations would be of great help in understanding production mechanisms.