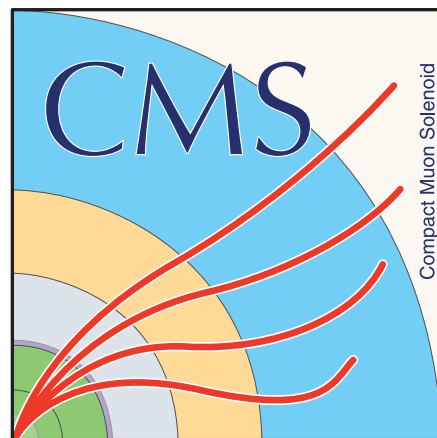
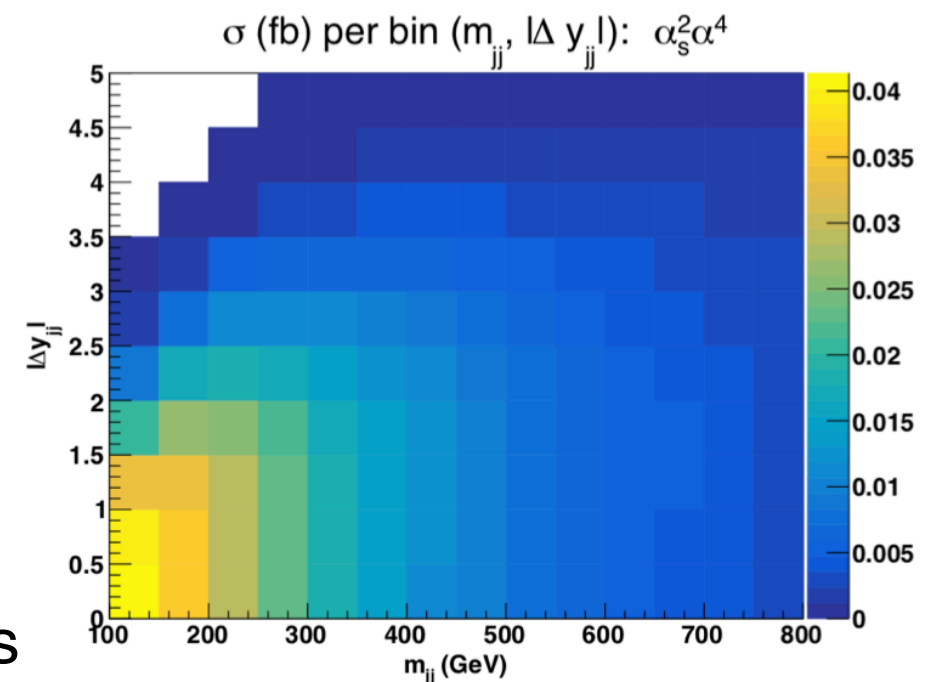
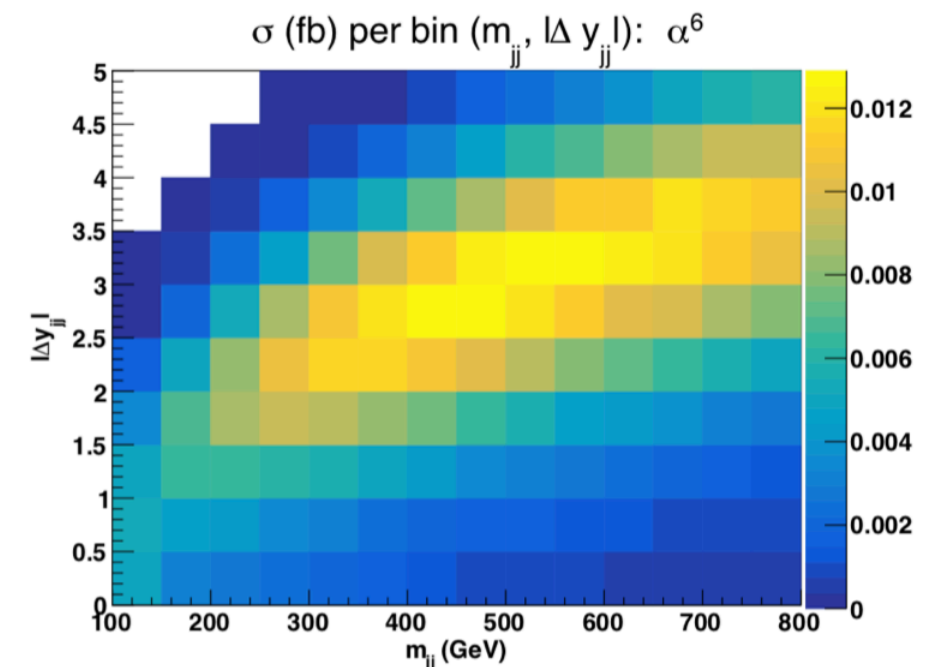
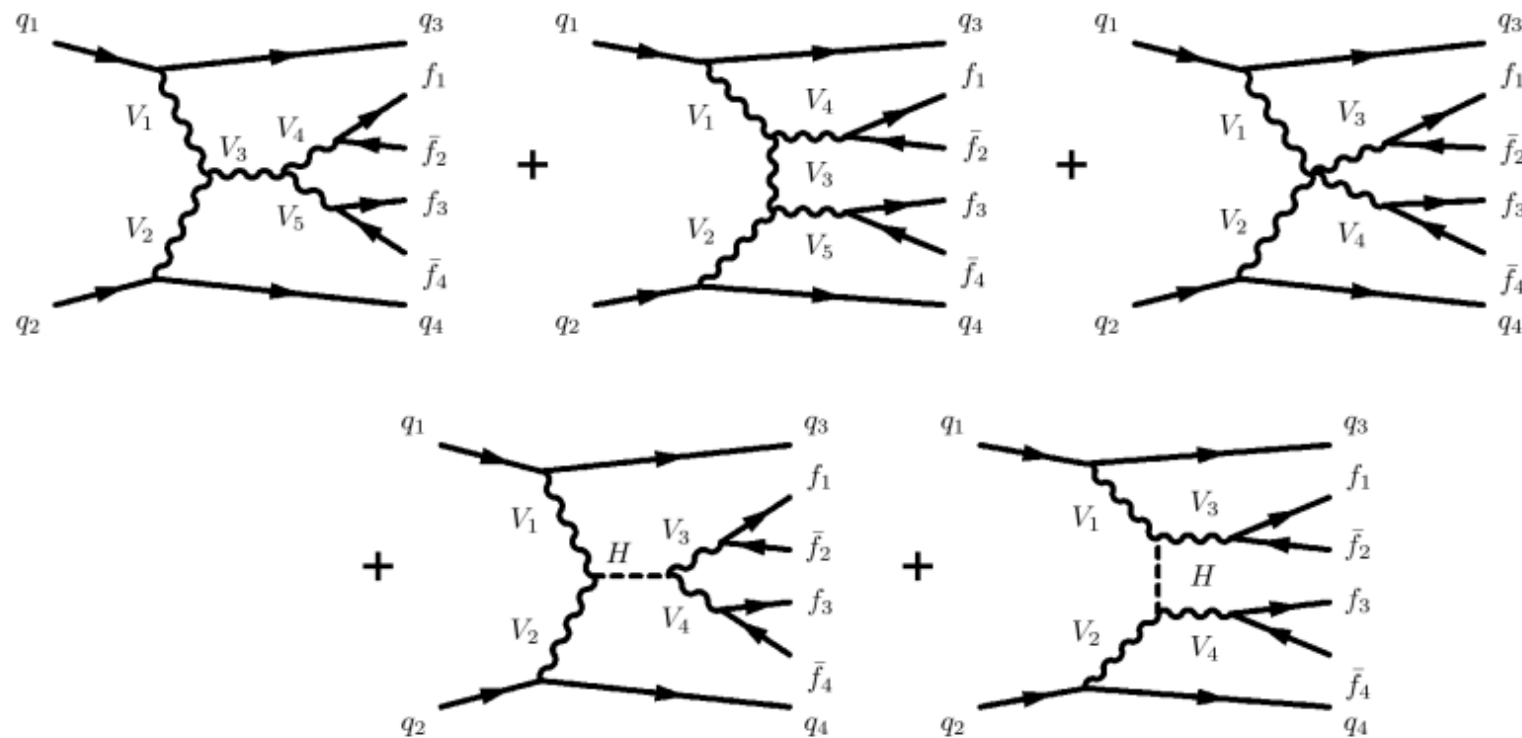


# Observation of the electroweak production of $Z\gamma$ and two jets at 13 TeV and constraints on EFTs

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CLHCP meeting



# Introduction & Motivation



- **Signal:** six fermions final state at leading order  $\mathcal{O}(\alpha^6)$
- **Irreducible background:** QCD-induced  $\mathcal{O}(\alpha^4 \alpha_s^2)$
- Interference: between EW and QCD  $\mathcal{O}(\alpha^5 \alpha_s)$
- **Reducible** background due to mis-ID of final state particles
- Significant systematic uncertainties from jet energy reconstruction and background modeling

# Introduction & Motivation

Important process to investigate electroweak symmetry breaking(EWSB)

- ☑ Probe the nature of EW symmetry breaking
- ☑ Unitarity preservation visible only in VV scattering

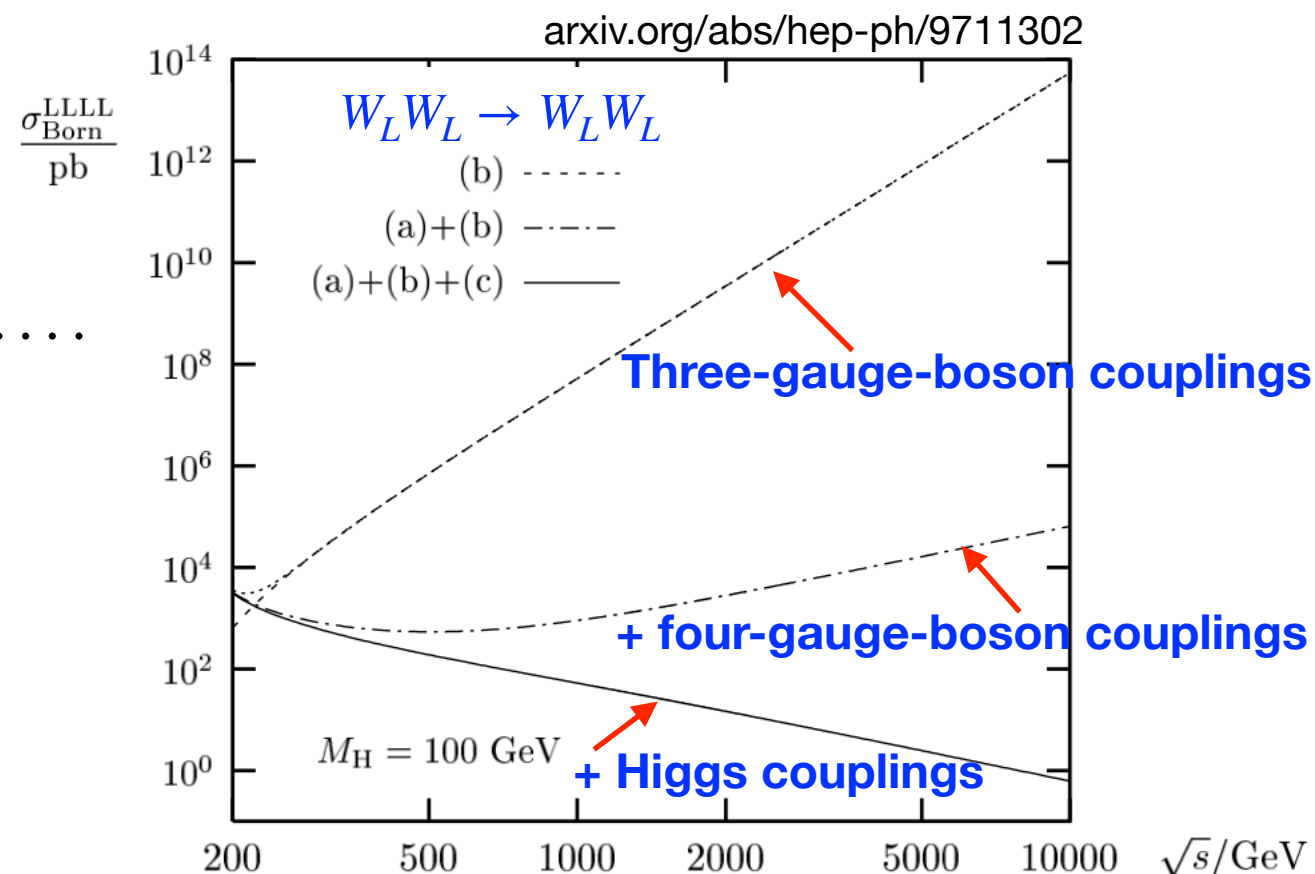
Complimentary to direct Higgs Boson measurement

- ☑ The perturbative cross section of longitudinal VBS ( $V_L V_L \rightarrow V_L V_L$ ) diverges, if there was no Higgs boson or a similar mechanics

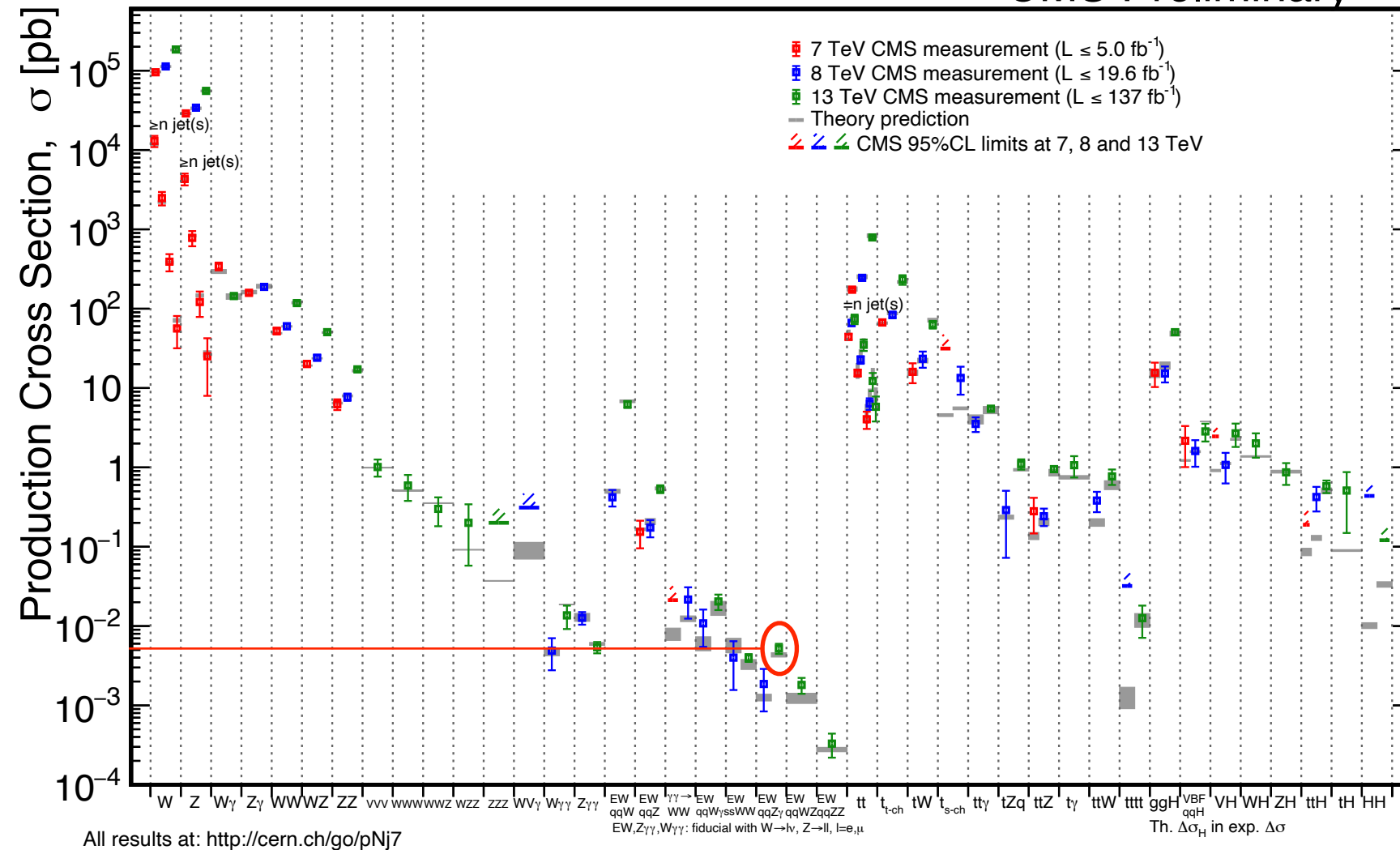
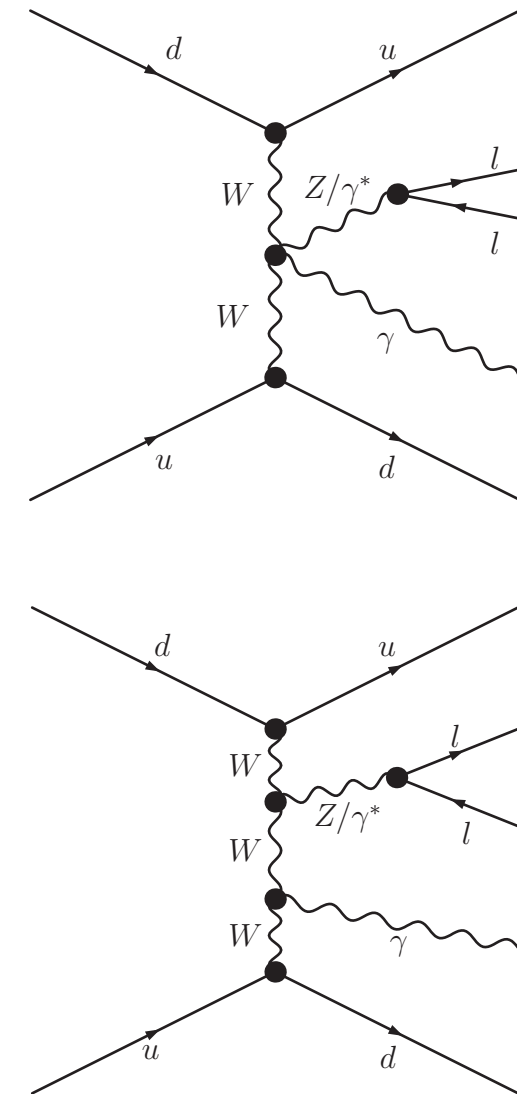
Sensitive to anomalous coupling

- ☑ Triple and quartic gauge coupling

$$\mathcal{L}_{EFT} = \mathcal{L}_{SM} + \sum_i \frac{c_i^{(6)}}{\Lambda^2} \mathcal{O}^{(6)} + \frac{c_i^{(8)}}{\Lambda^2} \mathcal{O}^{(8)} + \dots$$



CMS Preliminary

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**Final states:** Z to  $ee/\mu\mu$  plus a photon with two additional jets.

**Vector boson scattering (VBS) signature:**  
large dijet mass and large  $\eta$  separation  
between the jets.

## ***Main results:***

- ✓ Signal significance
- ✓ Fiducial cross section
- ✓ Unfolded differential cross section
- ✓ Limits on anomalous couplings

# Sample & Selection

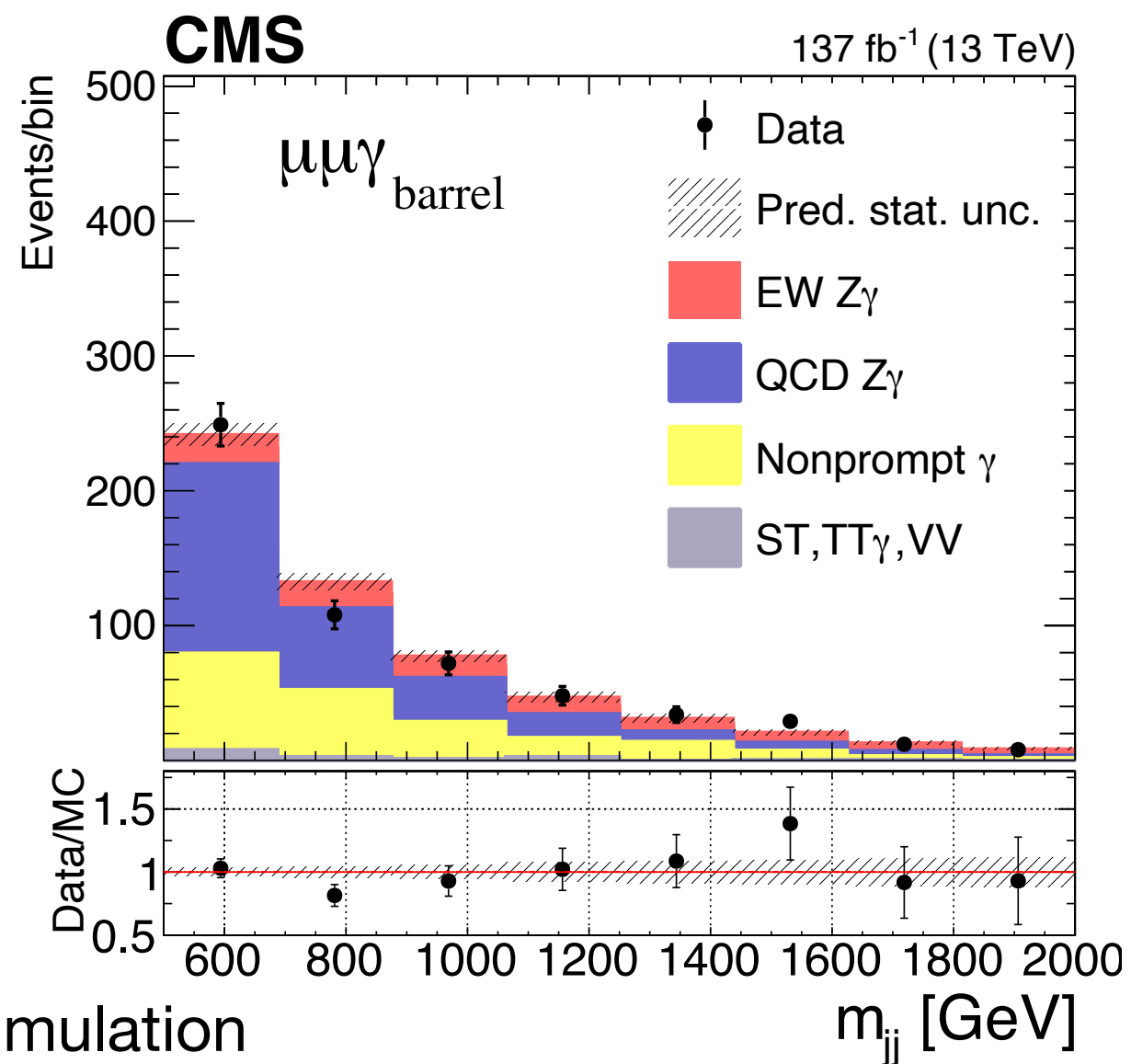
**Data:** collected from 2016 to 2018 with integrated luminosity:  $137 \text{ fb}^{-1}$

**Signal:** EW  $Z\gamma jj$

- MadGraph\_aMC@NLO (MG5) at LO
- Pythia8 with CP5 (CUETP8M1 for 2016)
- NNPDF 3.1(3.0 for 2016)
- $m_{ll} > 50 \text{ GeV}$

**Backgrounds:**

- ❖  **$Z\gamma$  plus QCD jets** from simulation
  - MG5 with FxFx jet merging scheme at NLO
  - Pythia8 with CP5 (CUETP8M1 for 2016)
  - NNPDF 3.1(3.0 for 2016)
- ❖ **Nonprompt photon** from data
- ❖ **EW/QCD Interference** from simulation
- ❖ **Di-boson,  $t\bar{t}\gamma$  and single top** from MG5 simulation
  - di-boson: Pythia8 at LO
  - $t\bar{t}\gamma$ : MG5 at NLO with FxFx jet merging scheme
  - single top: POWHEG at NLO



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# Sample & Selection

**Working points (WP):**

Tight Medium Loose

High quality High efficiency

a series of variables reflecting the properties of the particle are optimized to identify the particle.

## Good Muon

- Tight muon WP
- Relative PF-isolation (0.4 cone)  $< 0.15$
- $p_T > 20 \text{ GeV}$ ,  $|\eta| < 2.4$

## Veto Muon

- Loose muon WP
- Relative PF-isolation (0.4 cone)  $< 0.25$
- $p_T > 20 \text{ GeV}$ ,  $|\eta| < 2.4$

## Veto Electron

- Loose electron WP
- $p_T > 20 \text{ GeV}$ ,  $|\eta| < 2.5$ ,  $|\eta| < 1.4442$  or  $1.566 < |\eta| < 2.5$

**For third lepton veto**

## Good Electron

- Medium electron WP
- $p_T > 25 \text{ GeV}$ ,  $|\eta| < 2.5$

## Good Photon

- Medium photon WP
- Electron veto
- $p_T > 20 \text{ GeV}$  and  $|\eta| < 1.4442$  or  $1.566 < |\eta| < 2.5$

## Jets

- Particle-flow jets and AK4CHS (0.4 cone; charged particles from pileup are removed)
- Tight jet WP and pileup jet WP ( $p_T < 50 \text{ GeV}$ )
- $p_T > 30 \text{ GeV}$
- $|\eta| < 4.7$

# Sample & Selection

- Two same-flavor opposite-sign tight leptons ★
- Double muon/electron HLT paths
- Third lepton veto
- $70 \text{ GeV} < m_{ll} < 110 \text{ GeV}$  ★
- One good photon in barrel/endcap ★
- Two jets with  $p_T > 30 \text{ GeV}$ ,  $|\eta| < 4.7$  ★

Basic event selection

- $m_{ll\gamma} > 100 \text{ GeV}$

Suppress FSR

- $150 \text{ GeV} < m_{jj} < 500 \text{ GeV}$

Low  $m_{jj}$  control region

- $m_{jj} > 500 \text{ GeV}$  ★
- $\Delta\eta_{jj} > 2.5$  ★

VBS Signal region

- $p_T^\gamma > 120 \text{ GeV}$

Special cut added for aQGC

- $z_{\text{epp}} = |\eta_{Z\gamma} - (\eta_{j1} + \eta_{j2})/2| < 2.4$
- $d\phi = |\phi_{Z\gamma} - (\phi_{j1} + \phi_{j2})| > 1.9$

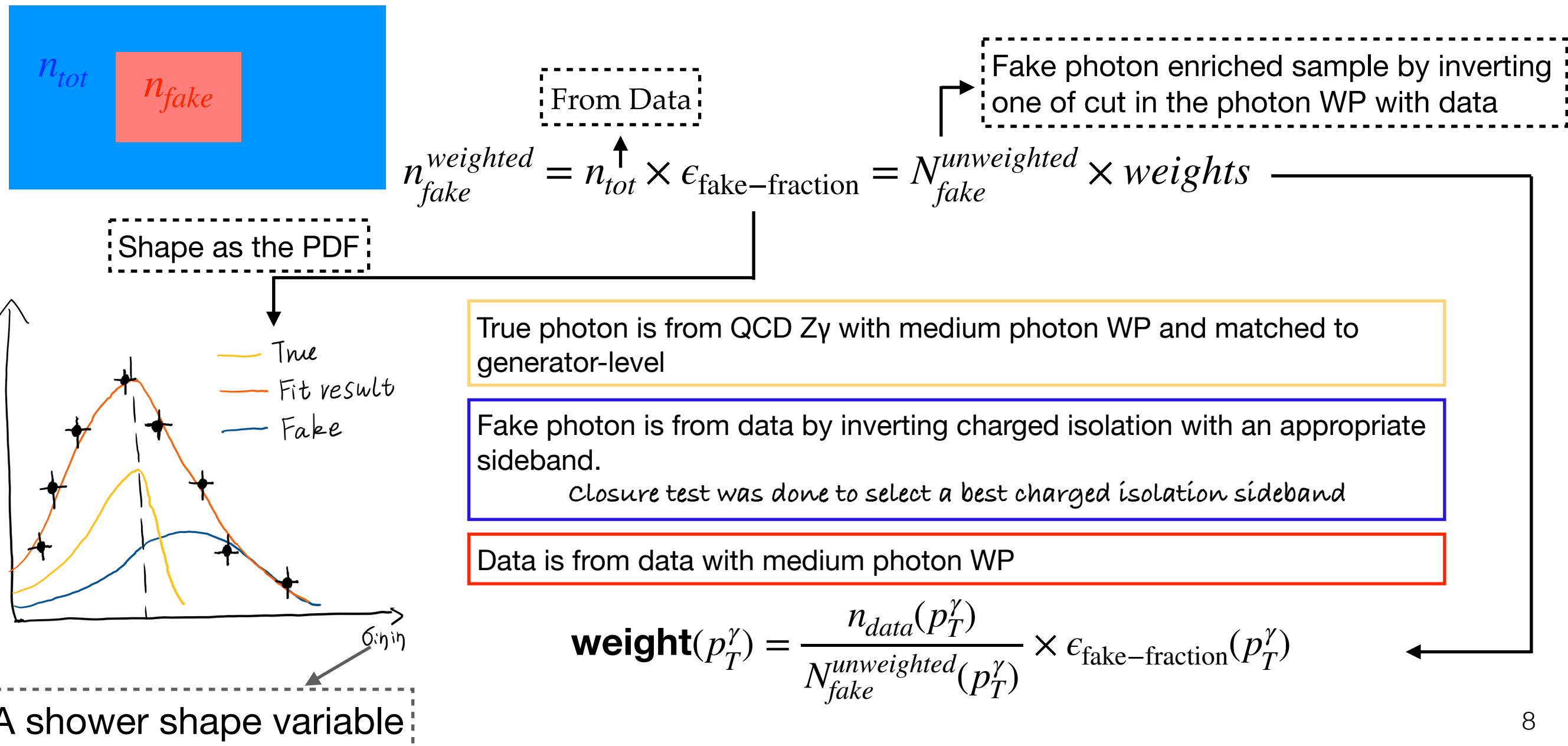
EW signal extraction  
for signal significance

Selection with ★ in the generator-level defines the **fiducial volume**



# Background estimation

- Background processes estimated from simulation are normalized to the best theoretical cross section prediction.
- Irreducible background QCD  $Z\gamma$  normalization is constrained by data in the low  $m_{jj}$  control region.
- A data-driven method is used to estimate nonprompt photon contribution.





# Systematic uncertainties

## QCD Factorization and renormalization scale uncertainty

- Exclude the two variations where  $(2\mu_0, 0.5\mu_0)$  and  $(0.5\mu_0, 2\mu_0)$ .  $\mu_0$  is the nominal scale.
- Nuisance parameter 1:  $\mu_F$  only,  $(2\mu_0, \mu_0)$  and  $(0.5\mu_0, \mu_0)$
- Nuisance parameter 2:  $\mu_R$  only,  $(\mu_0, 2\mu_0)$  and  $(\mu_0, 0.5\mu_0)$
- Nuisance parameter 3:  $\mu_R + \mu_F$  fully correlated,  $(2\mu_0, 2\mu_0)$  and  $(0.5\mu_0, 0.5\mu_0)$
- Calculated bin-by-bin, correlated between bins, categories, and years

Theoretical

## PDF uncertainty

- Standard deviation of the around 100 NNPDF PDF set variations
- Calculated bin-by-bin, correlated between bins, categories, and years

## Jet energy resolution&scale uncertainty

Experimental

- Calculated bin-by-bin, correlated between bins and categories, uncorrelated between years

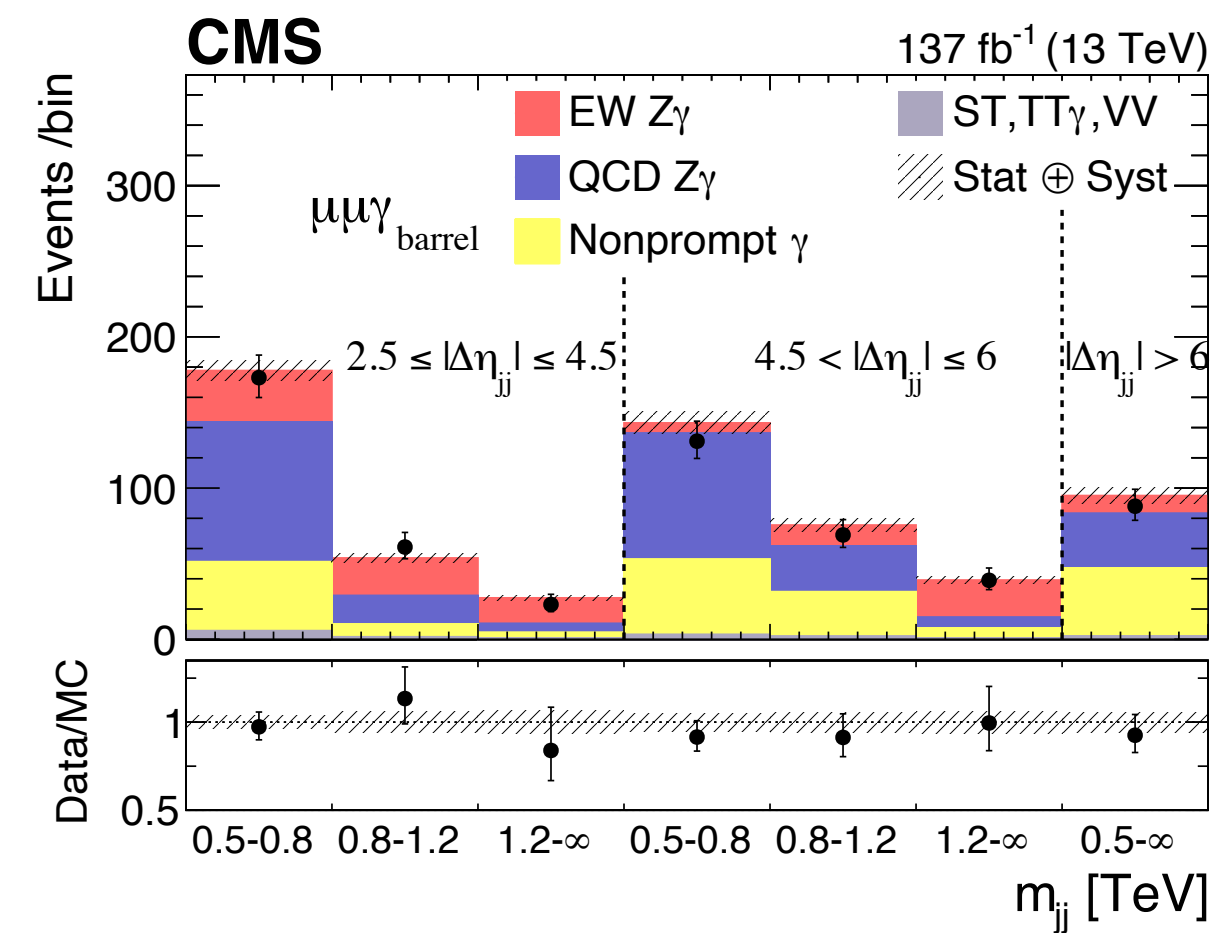
## Nonprompt photon uncertainty

- Closure test + Sideband choice + True template choice
- Calculated bin-by-bin, correlated between bins, uncorrelated between categories and years

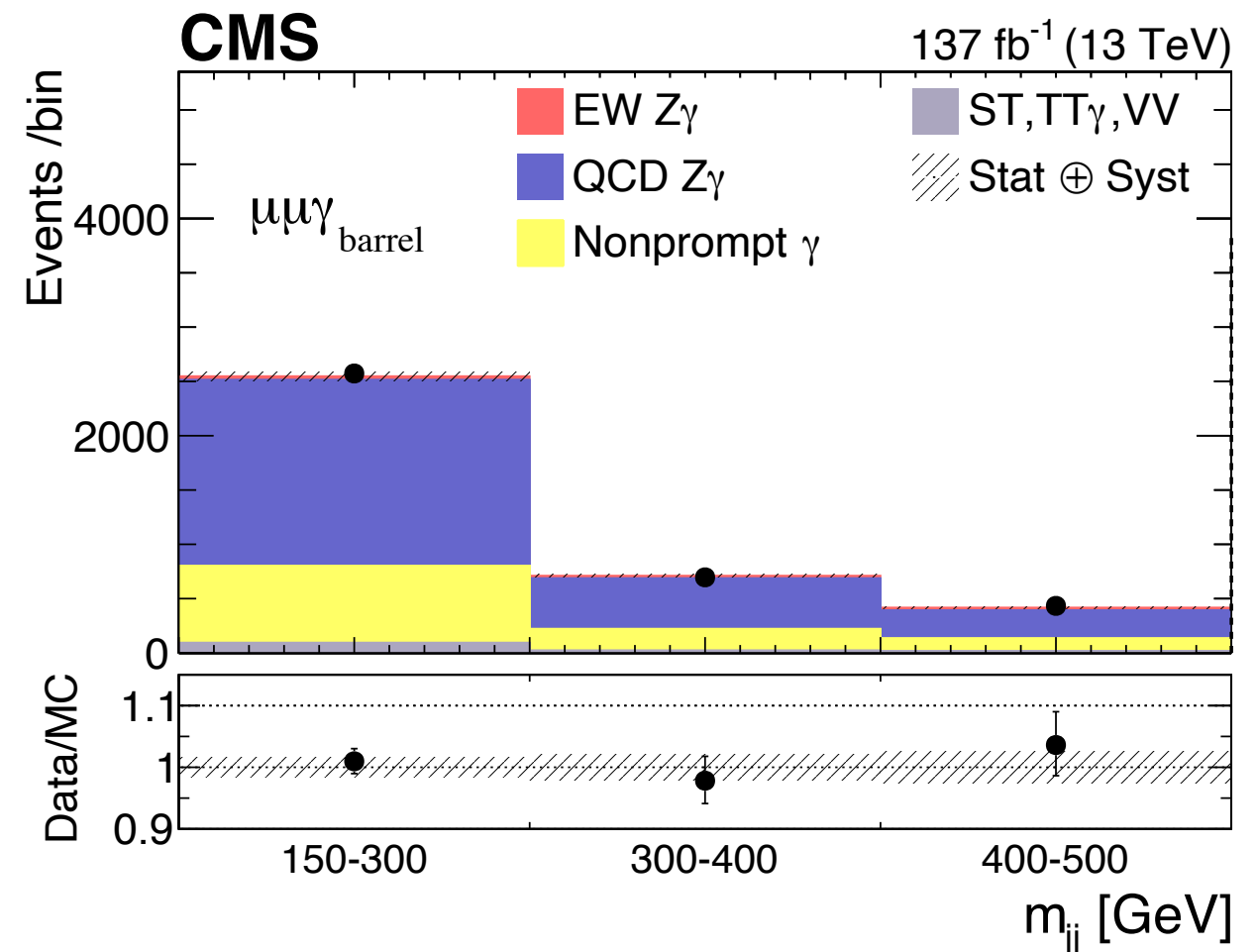
## MC Statistical uncertainty

Efficiencies of lepton/photon ID/ISO/Reco, HLT, pileup, L1prefiring and luminosity.

# Signal significance



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The significance is calculated using a **simultaneous fit** in the signal region with **2D  $m_{jj}$ - $\Delta\eta_{jj}$**  binning and the control region with **1D  $m_{jj}$  binning** in 4 categories for muon/electron choice and barrel photon/endcap photon choice.

- The observed (expected) significance is 9.4  $\sigma$  (8.5  $\sigma$ ).

# Fiducial cross section

$$\sigma_{fiducial-region} = \sigma_{generator} \cdot \mu_{signal-strength}$$

- $\mu_{signal-strength}$  is the best-fit signal strength, representing the ratio of observed to expected signal yields, which is
  - ☑  $\mu = 1.20^{+0.12}_{-0.12} (stat) ^{+0.14}_{-0.12} (syst) = 1.20^{+0.18}_{-0.17}$  for EW
  - ☑  $\mu = 1.11^{+0.06}_{-0.06} (stat) ^{+0.10}_{-0.09} (syst) = 1.11^{+0.12}_{-0.11}$  for EW+QCD.
- $\sigma_{generator}$  is the cross section computed by the generator (MadGraph5\_aMC@NLO) in the fiducial region which is
  - ☑  $\sigma_{generator} = 4.34 \pm 0.26 (scale) \pm 0.06 (PDF)$  fb for EW
  - ☑  $\sigma_{generator} = 13.3 \pm 1.72 (scale) \pm 0.10 (PDF)$  fb for EW+QCD
- $\sigma_{fiducial-region}$  and its uncertainty is the calculated
  - ☑  $\sigma_{fid} = 5.21 \pm 0.52 (stat) \pm 0.56 (syst) = 5.21 \pm 0.76$  fb for EW
  - ☑  $\sigma_{fid} = 14.7 \pm 0.80 (stat) \pm 1.26 (syst) = 14.7 \pm 1.53$  fb for EW+QCD

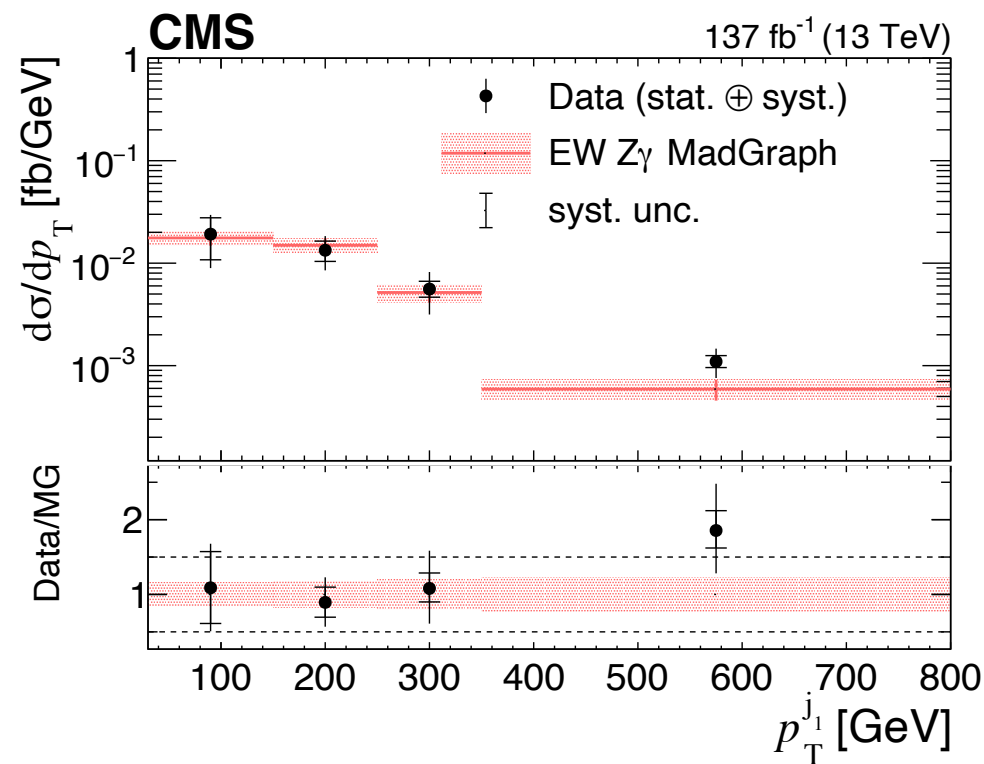
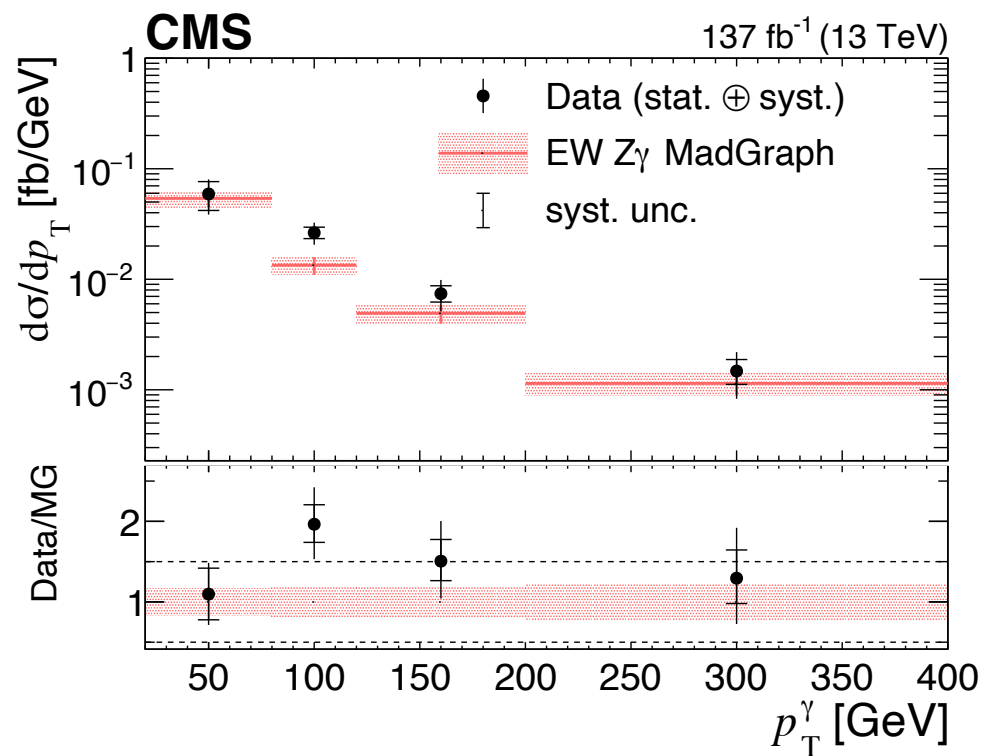
# Unfolded differential cross section

Similar with the fiducial cross section measurement, ‘unfolding’ was performed to revert the ‘detector smearing’ on the data to get the ‘True’ distribution.

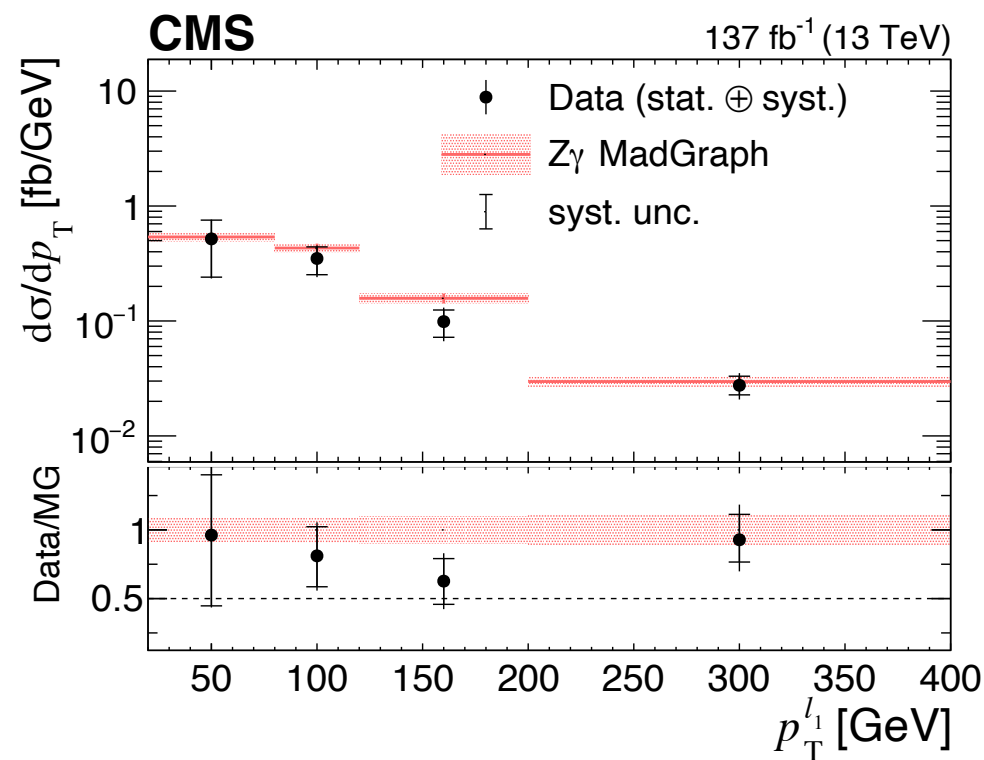
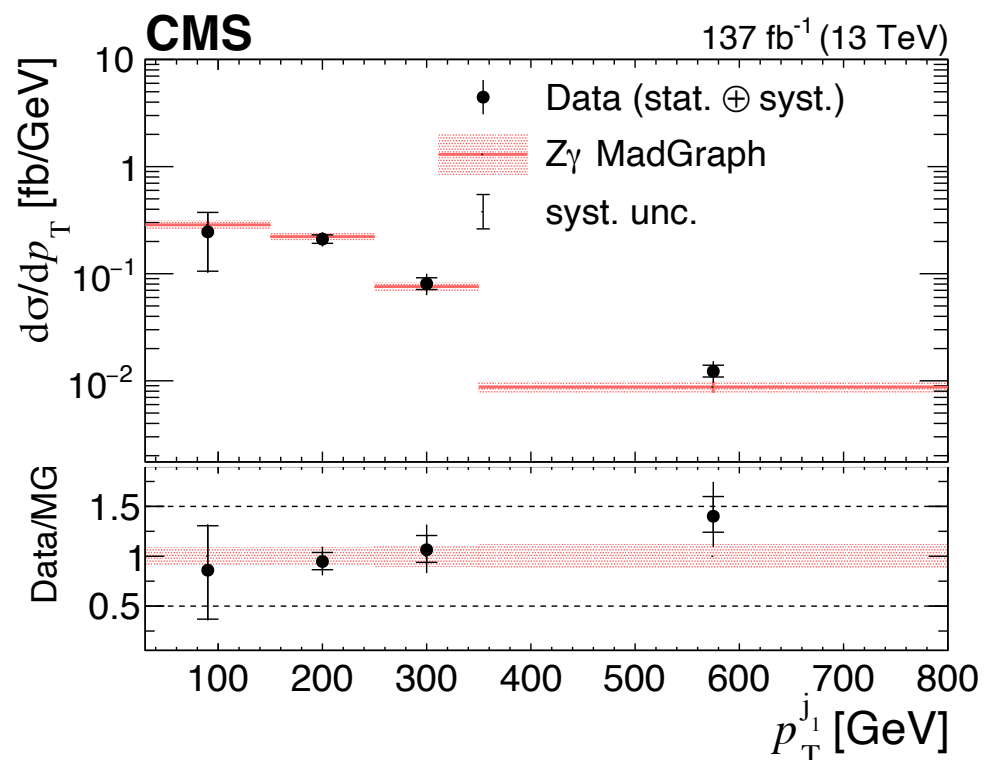
$$\mathcal{L}(\vec{\mu}; \vec{\theta}) = \prod_j \mathbf{Poisson}(n_j; \sum_i R_{ji}(\vec{\theta}) \mu_i s_i(\vec{\theta}) + b_j(\vec{\theta})) \cdot \mathcal{N}(\vec{\theta})$$

- Each reconstructed bin (j) describes the contribution from each truth bin (i) - this is the  $R_{ji}$  (response matrix).
- ✓ Condition number of the  $R$  is smaller than about 10, so the regularization is not needed
- Same uncertainties with significance measurement are applied
- 1D variables of leading lepton, photon and jet, and 2D variable  $m_{jj} - \Delta\eta_{jj}$  are measured

# Unfolded differential cross section



**EW**



**EW+QCD**

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Within the uncertainties, the measurements agree with the SM predictions.

# aQGC limits

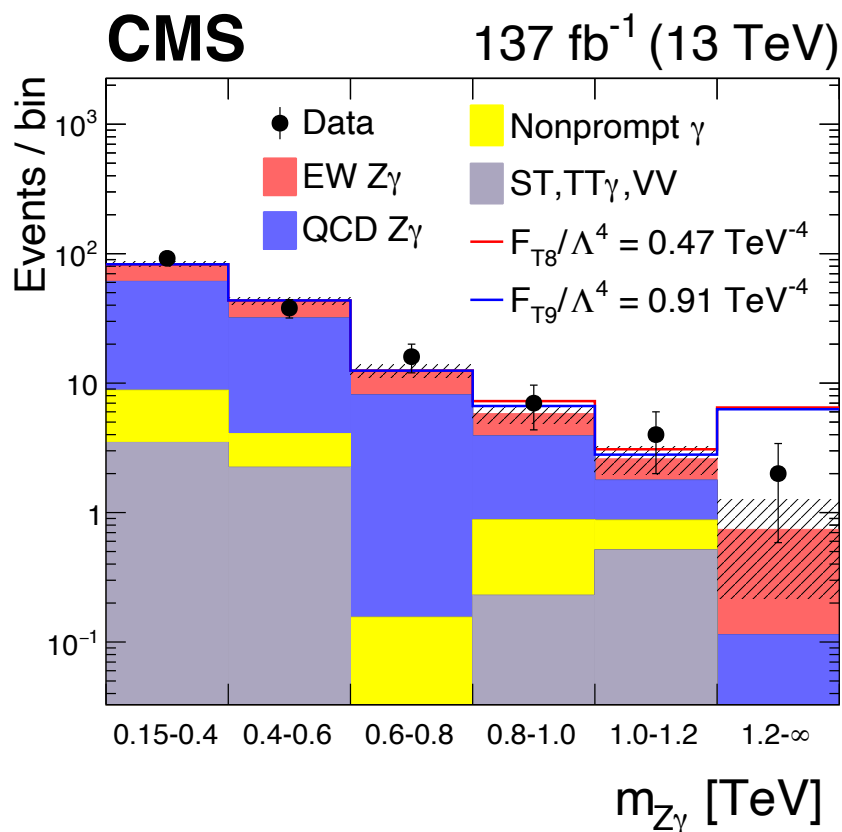
SM Lagrangian can be extended with higher dimensional operators maintaining  $SU(2) \times U(1)$  gauge symmetry:

$$\mathcal{L}_{EFT} = \mathcal{L}_{SM} + \sum_i \frac{c_i^{(6)}}{\Lambda^2} \mathcal{O}^{(6)} + \frac{c_i^{(8)}}{\Lambda^2} \mathcal{O}^{(8)} + \dots$$

Test statistic  $t_{\alpha_{test}} = -2 \ln \frac{\mathcal{L}(\alpha, \hat{\theta})}{\mathcal{L}(\hat{\alpha}, \hat{\theta})}$  : follows  $\chi^2$  distribution;

Extract the limits directly using the profiling log likelihood ratio  $\Delta NLL = t_{\alpha_{test}}/2$ ;

The 95% CL limit corresponds  $2\Delta NLL=3.84$ .



The most stringent limit for operator  $T_9$

# aQGC limits

As the sensitivity on the  $T_i$  operators of VBS  $Z\gamma$ , we show the comparison of the limits of  $T_i$  from recent public VBS results with the full Run2 data

Operator	SMP-20-016 VBS $Z\gamma$	SMP-20-001 VBS $ZZ$	SMP-19-012 VBS $W^\pm W^\pm$
$f_{T0}$	-0.64 , 0.57	-0.24 , 0.22	-0.28 , 0.31
$f_{T1}$	-0.81 , 0.90	-0.31 , 0.31	-0.12 , 0.15
$f_{T2}$	-1.68 , 1.54	-0.63 , 0.59	-0.38 , 0.50
$f_{T5}$	-0.58 , 0.64	—	—
$f_{T6}$	-1.30 , 1.33	—	—
$f_{T7}$	-2.15 , 2.43	—	—
$f_{T8}$	-0.47 , 0.47	-0.43 , 0.43	—
$f_{T9}$	-0.91 , 0.91	-0.92 , 0.92	—

Similar sensitivity on  $T_8$  and  $T_9$  between VBS  $Z\gamma$  and VBS  $ZZ$ , which is expected, as the  $T_8$  and  $T_9$  give rise to QGCs only containing the neutral gauge bosons.



# Summary

- ✓ Overall significance is far more  $5\sigma$ .
- ✓ Fiducial cross section measurement reported
- ✓ Unfolded differential cross section as functions of leading lepton/jet/  
photon  $p_T$  and  $m_{jj}-\Delta\eta_{jj}$
- ✓ AQGC limits for operator  $M_{0-7}$ ,  $T_{0-2}$ , and  $T_{5-9}$  .
  - ✓ Limit for  $T_9$  is the most stringent limit to date

# Backup

variables	2016	2017	2018
$p_T^\gamma$	1.08	1.12	1.21
$p_T^{j_1}$	1.35	1.41	1.44
$p_T^{l_1}$	1.09	1.09	1.11
$m_{jj}-\Delta\eta jj$	1.87	1.97	1.95

Condition Number of R for EW

variables	2016	2017	2018
$p_T^\gamma$	1.16	1.41	1.37
$p_T^{j_1}$	1.33	1.41	1.39
$p_T^{l_1}$	1.10	1.35	1.16
$m_{jj}-\Delta\eta jj$	1.93	2.32	2.09

Condition Number of R for EW+QCD

If the condition number is small ( $\sim 10$ ), then the problem is well-conditioned and can most likely be solved using the unregularized maximum likelihood estimate (MLE). This happens when the resolution effects are small and R is almost diagonal. If on the other hand, the condition number is large ( $\sim 10^5$ ) then the problem is ill-conditioned and the unfolded estimator needs to be regularized.

# Backup

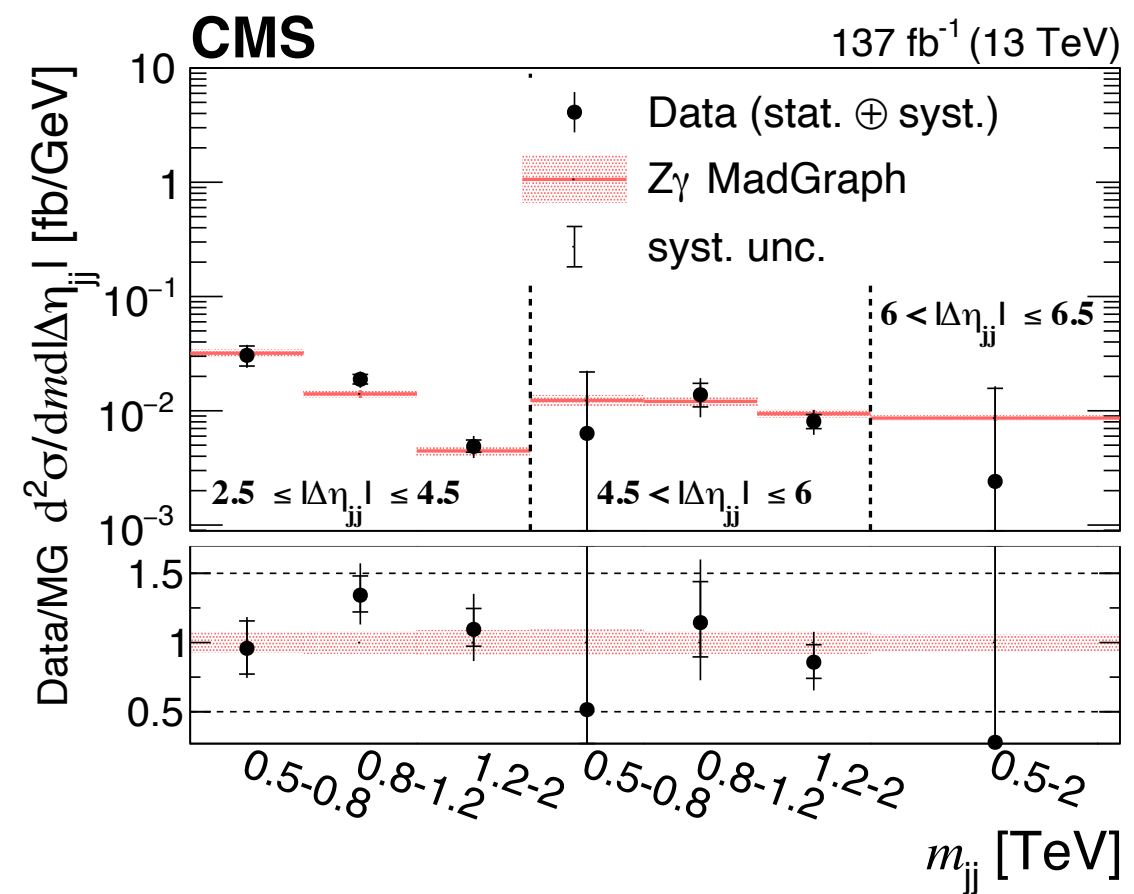
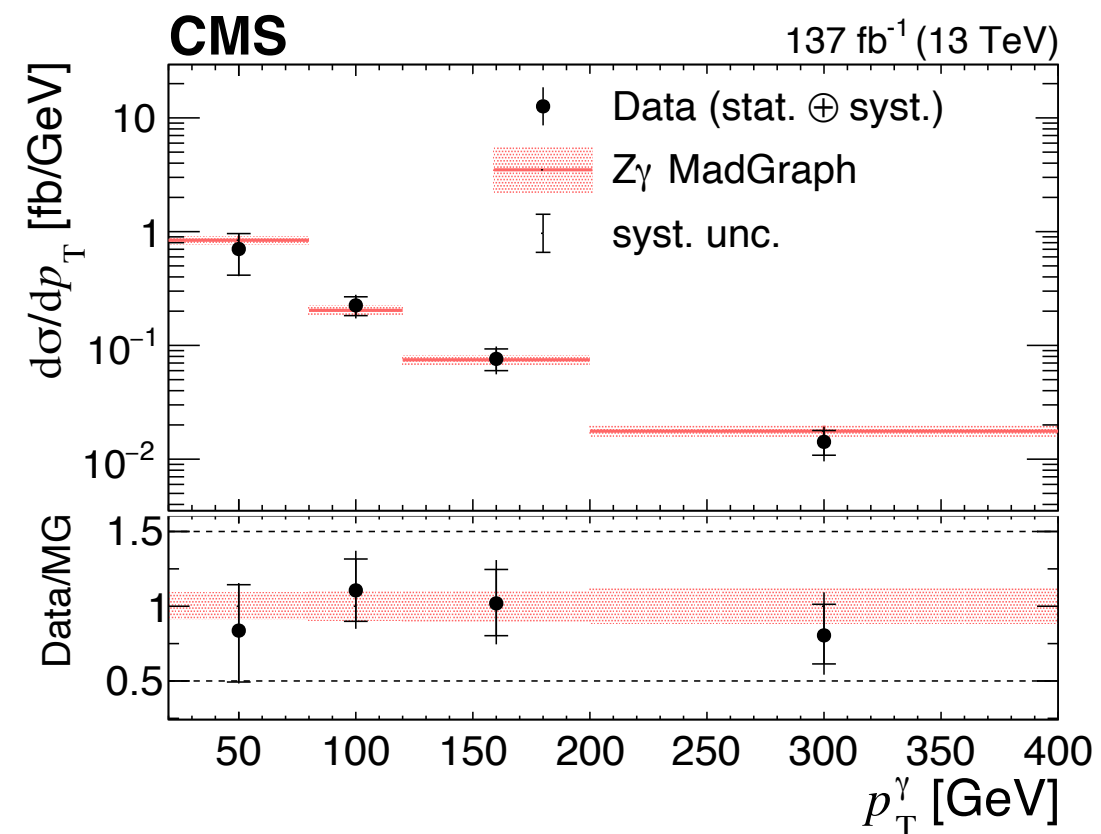
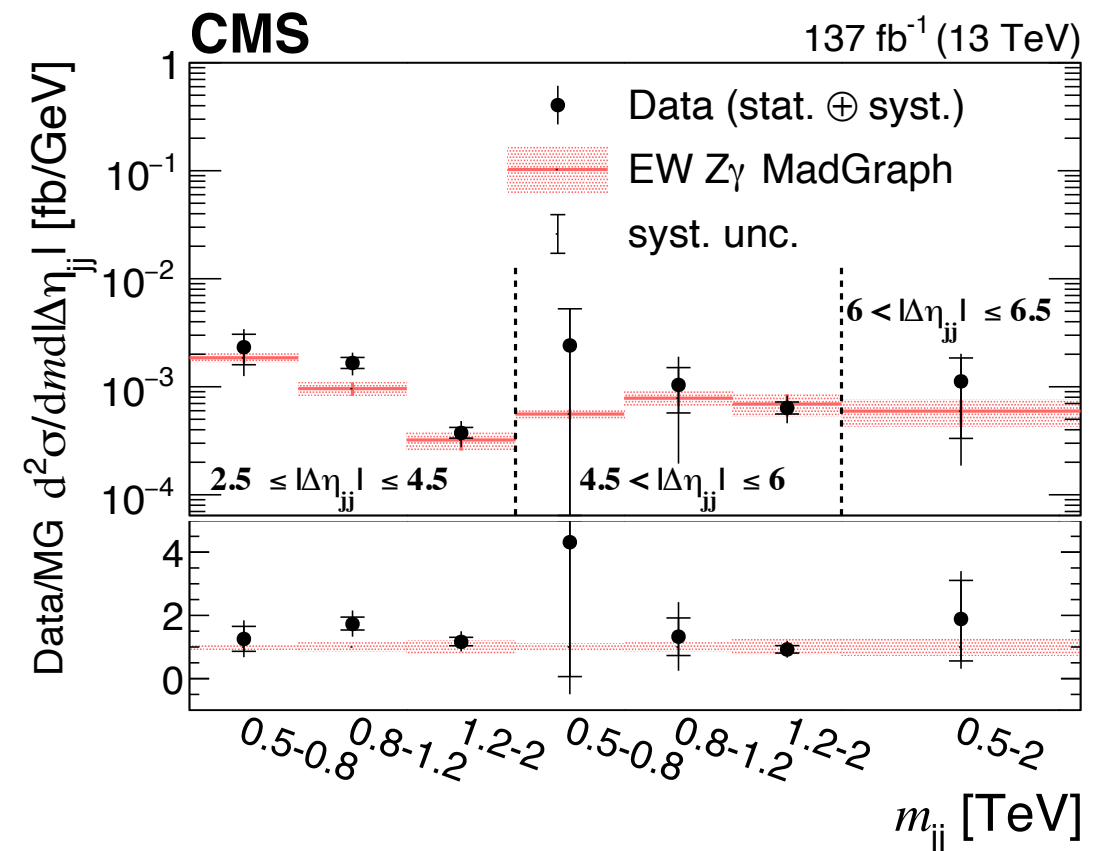
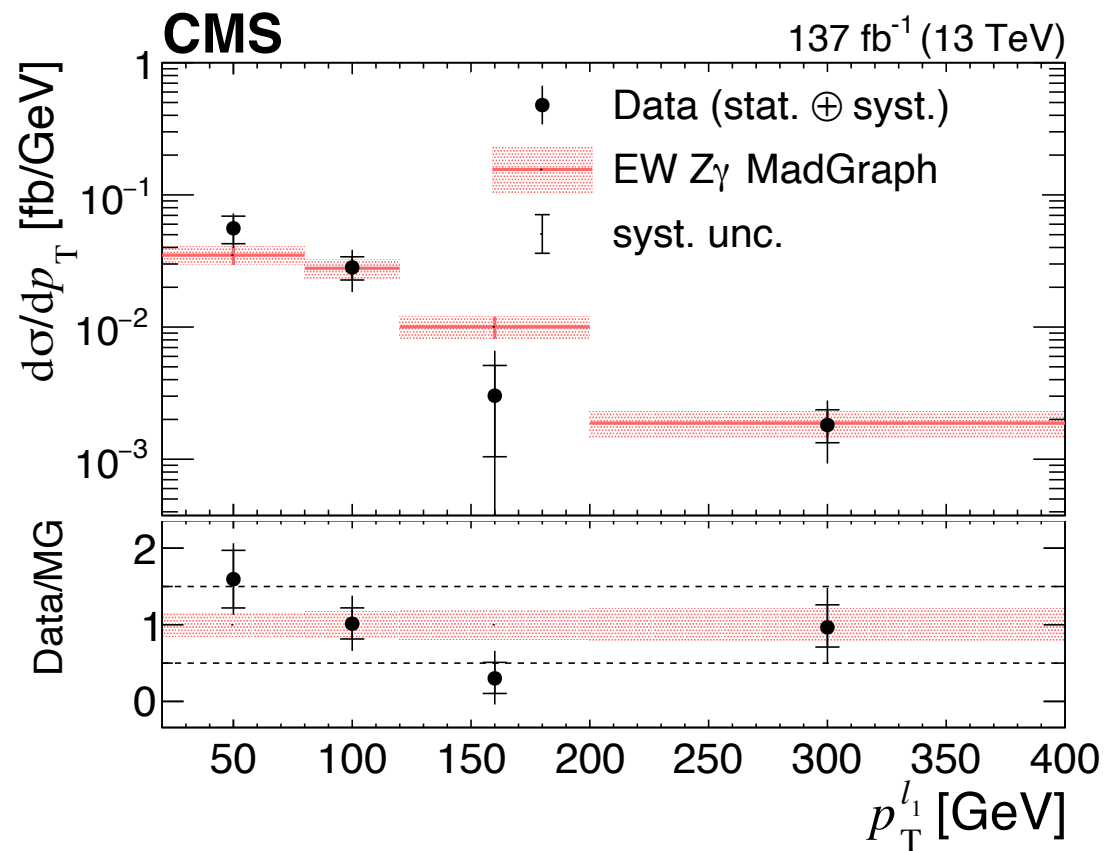
Building blocks:

- $D_\mu \Phi$ : Higgs doublet field, affects the coupling of longitudinal modes of the gauge bosons.
- $\hat{W}_{\mu\nu}$  ,  $\hat{B}_{\mu\nu}$ : Field strength tensors

Dimension-8 operators (only field strength/mixed)

$$\begin{aligned}
 \mathcal{O}_{T,0} &= \text{Tr} [W_{\mu\nu} W^{\mu\nu}] \cdot \text{Tr} [W_{\alpha\beta} W^{\alpha\beta}] , & \mathcal{O}_{M,0} &= \text{Tr} [W_{\mu\nu} W^{\mu\nu}] \cdot [(D_\beta \Phi)^\dagger D^\beta \Phi] \\
 \mathcal{O}_{T,1} &= \text{Tr} [W_{\alpha\nu} W^{\mu\beta}] \cdot \text{Tr} [W_{\mu\beta} W^{\alpha\nu}] , & \mathcal{O}_{M,1} &= \text{Tr} [W_{\mu\nu} W^{\nu\beta}] \cdot [(D_\beta \Phi)^\dagger D^\mu \Phi] \\
 \mathcal{O}_{T,2} &= \text{Tr} [W_{\alpha\mu} W^{\mu\beta}] \cdot \text{Tr} [W_{\beta\nu} W^{\nu\alpha}] , & \mathcal{O}_{M,2} &= [B_{\mu\nu} B^{\mu\nu}] \cdot [(D_\beta \Phi)^\dagger D^\beta \Phi] , \\
 \mathcal{O}_{T,5} &= \text{Tr} [W_{\mu\nu} W^{\mu\nu}] \cdot B_{\alpha\beta} B^{\alpha\beta} , & \mathcal{O}_{M,3} &= [B_{\mu\nu} B^{\nu\beta}] \cdot [(D_\beta \Phi)^\dagger D^\mu \Phi] , \\
 \mathcal{O}_{T,6} &= \text{Tr} [W_{\alpha\nu} W^{\mu\beta}] \cdot B_{\mu\beta} B^{\alpha\nu} , & \mathcal{O}_{M,4} &= [(D_\mu \Phi)^\dagger W_{\beta\nu} D^\mu \Phi] \cdot B^{\beta\nu} , \\
 \mathcal{O}_{T,7} &= \text{Tr} [W_{\alpha\mu} W^{\mu\beta}] \cdot B_{\beta\nu} B^{\nu\alpha} , & \mathcal{O}_{M,5} &= [(D_\mu \Phi)^\dagger W_{\beta\nu} D^\nu \Phi] \cdot B^{\beta\mu} , \\
 \mathcal{O}_{T,8} &= B_{\mu\nu} B^{\mu\nu} B_{\alpha\beta} B^{\alpha\beta} , & \mathcal{O}_{M,6} &= [(D_\mu \Phi)^\dagger W_{\beta\nu} W^{\beta\nu} D^\mu \Phi] , \\
 \mathcal{O}_{T,9} &= B_{\alpha\mu} B^{\mu\beta} B_{\beta\nu} B^{\nu\alpha} . & \mathcal{O}_{M,7} &= [(D_\mu \Phi)^\dagger W_{\beta\nu} W^{\beta\mu} D^\nu \Phi] ,
 \end{aligned}$$

# Backup – Unfolding for EW+QCD



# Backup

- signalEtaEta is the log energy weighted RMS of the shower in units of crystals

$$- \sigma_{\eta\eta} = \sqrt{\left( \frac{\sum_i^{5 \times 5} w_i (\eta_i - \bar{\eta}_{5 \times 5})^2}{\sum_i^{5 \times 5} w_i} \right)}$$

$$- w_i = 4.7 + \ln \frac{E_i}{E_{5 \times 5}}$$

- this is effectively a noise cut, each crystal needs to have > 0.9% of 5x5 energy
- means that very low energy electrons are sensitive to noise as 0.9% of a small number brings it below noise threshold
- $E_i$  = energy of crystal,  $E_{5 \times 5}$  energy of 5x5
  - likewise for  $\eta$
- $\eta$  is in units of crystals, not absolute  $\eta$ 
  - endcap uses  $(ix^2 + iy^2)^{1/2}$  to get  $\eta$  in terms of crystals
- normalised to 0.01745 in barrel and 0.0447 in endcap
- cut effectively means that all the energy is within two crystals