



$$VBF + E_T^{miss} + \gamma$$

Han CUI

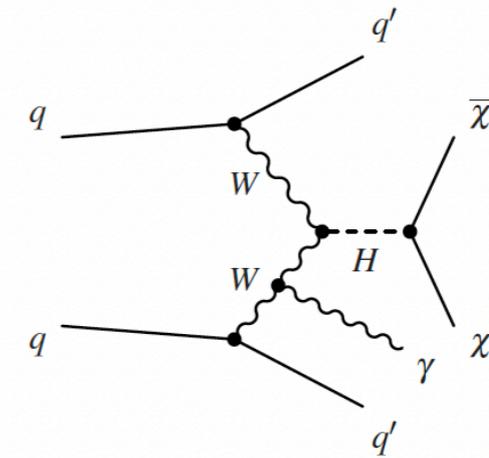
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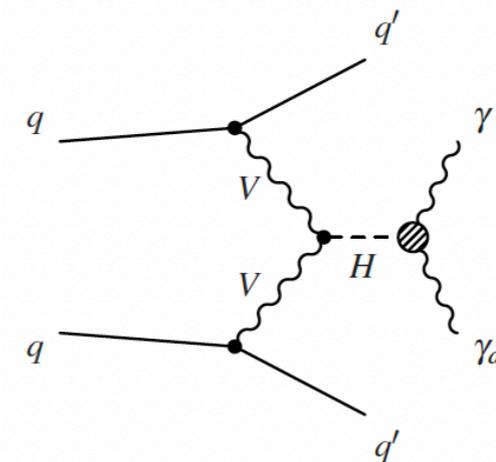
Introduction

- **Moriond Conference** : [ATLAS-CONF-2021-004](#)
- **EPJC (under review)** : [arXiv:2109.00925](#)
- **Three signal process** have the $VBF+E_T^{miss}+\gamma$ final state
- **VBF H(->invisible) γ**
 - Higgs portal of dark matter
 - **First** analysis of the process
- **VBF H($\rightarrow \gamma\gamma_d$)**
 - Higgs portal of dark photon
 - **First** analysis ATLAS search
 - CMS [publication](#)
- **SM EW Z($\rightarrow \nu\nu$) γ**
 - **First** observation $> 5\sigma$
 - One of the major backgrounds for H(->invisible) γ and H($\rightarrow \gamma\gamma_d$)

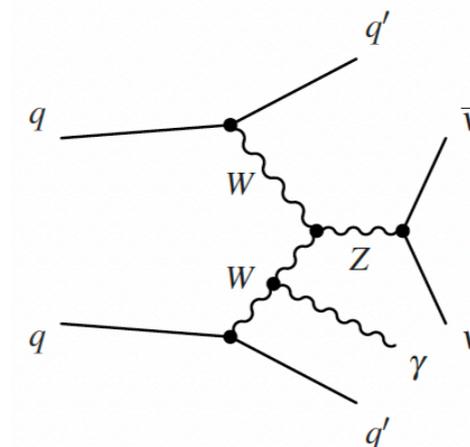
VBF $H(\rightarrow \text{inv.})\gamma$



VBF $H \rightarrow \gamma\gamma_d$

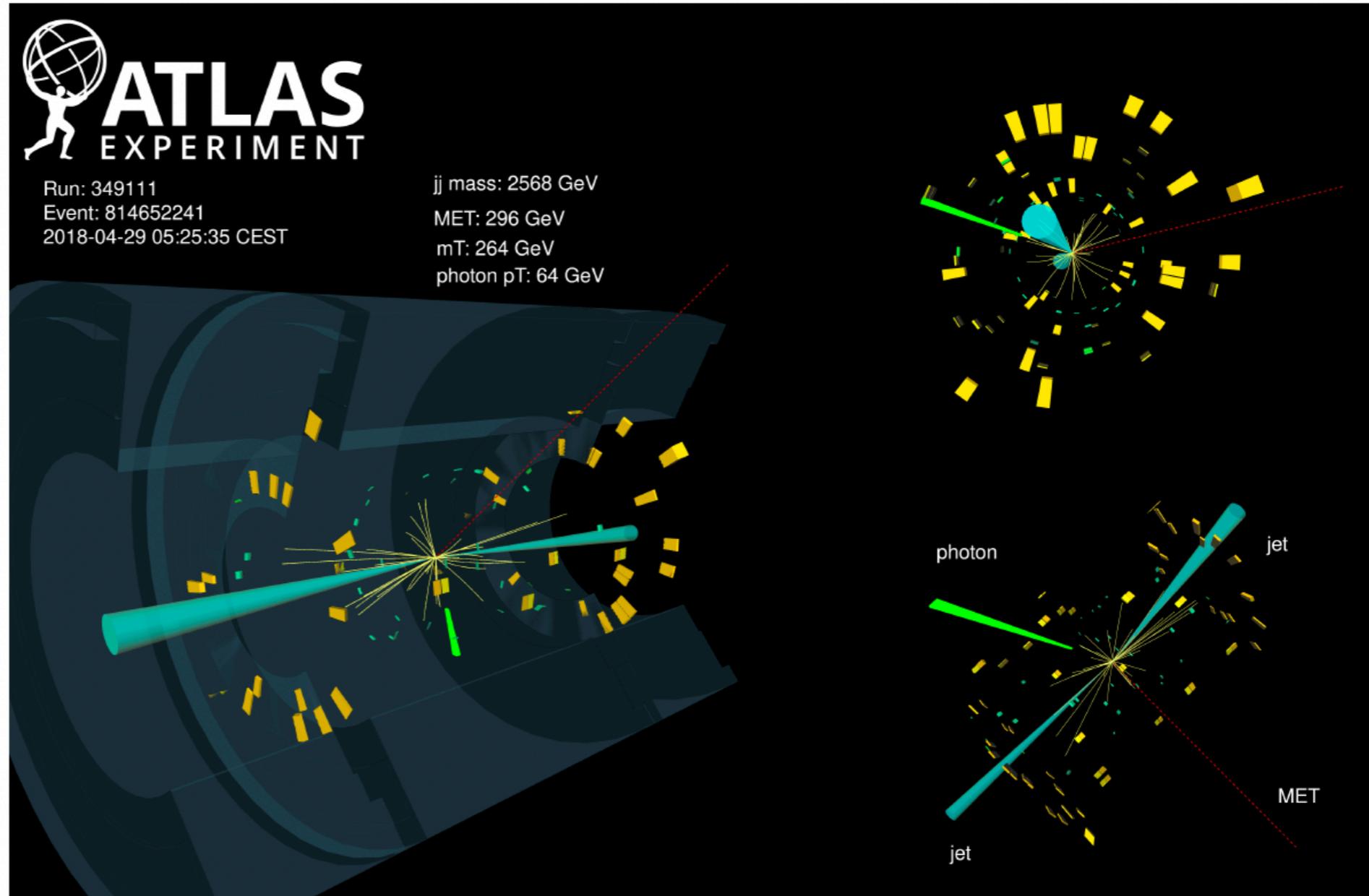


EW $Z(\rightarrow \nu\nu)\gamma$



Signal Signature for $VBF + E_T^{miss} + \gamma$

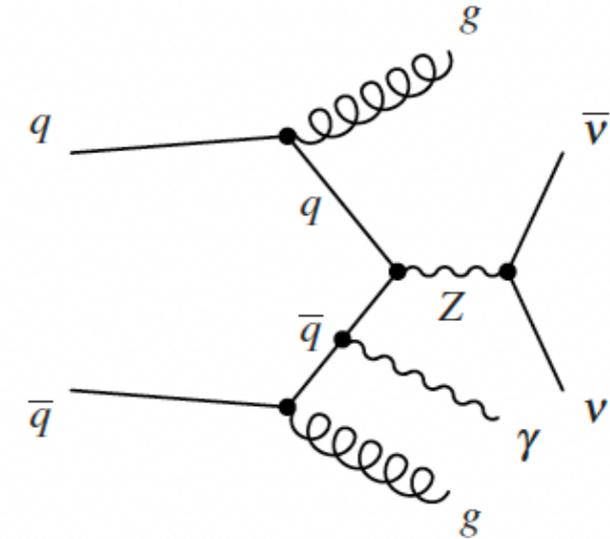
- VBF topologies
 - 2 forward jets
 - high m_{jj} (>0.25 TeV)
 - large separation in η ($\Delta\eta > 3.0$)
 - Not back to back in ϕ ($\Delta\phi < 2.5$)
- Large E_T^{miss} (>150 GeV)
- Single photon
 - high p_T ([15GeV, 110GeV])
 - centered between jets in η ($C_\gamma > 0.4$)



- E_T^{miss} Definition: negative vectorial sum of transverse momenta of all selected objects
- C_γ — photon centrality:
$$C_\gamma = \exp\left[-\frac{4}{(\eta_1 - \eta_2)^2} \left(\eta_\gamma - \frac{\eta_1 + \eta_2}{2}\right)^2\right]$$

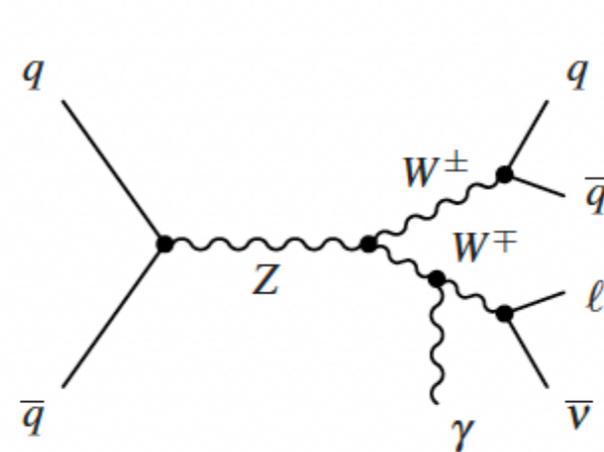
Backgrounds

- $V\gamma$ +jets (dominant)
 - $W(\rightarrow \ell\nu)\gamma$ +jets and $Z(\rightarrow \nu\nu)\gamma$ +jets
 - strong and EW (inc. triboson) contributions

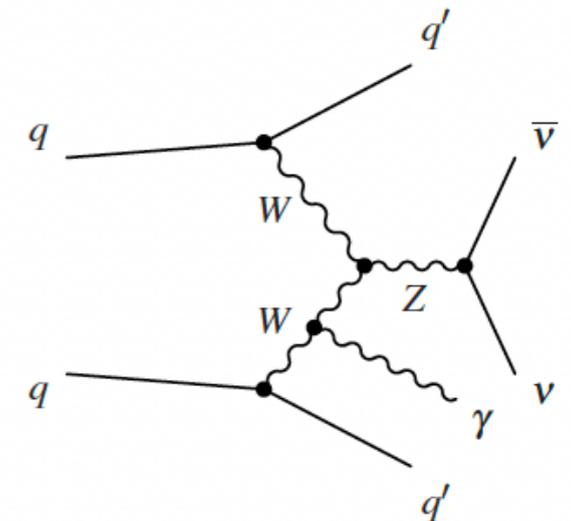


Strong $Z(\rightarrow \nu\nu)\gamma + \text{jets}$

- Other backgrounds
 - Jet faking photon (e.g. V +jets)
 - Electron faking photon (e.g. W +jets)
 - Jet faking electron (in $W_{e\nu}^\gamma$ CR)
 - γ +jet (QCD multijets)
 - ...



Triboson (EW)



EW $Z(\rightarrow \nu\nu)\gamma + \text{jets}$

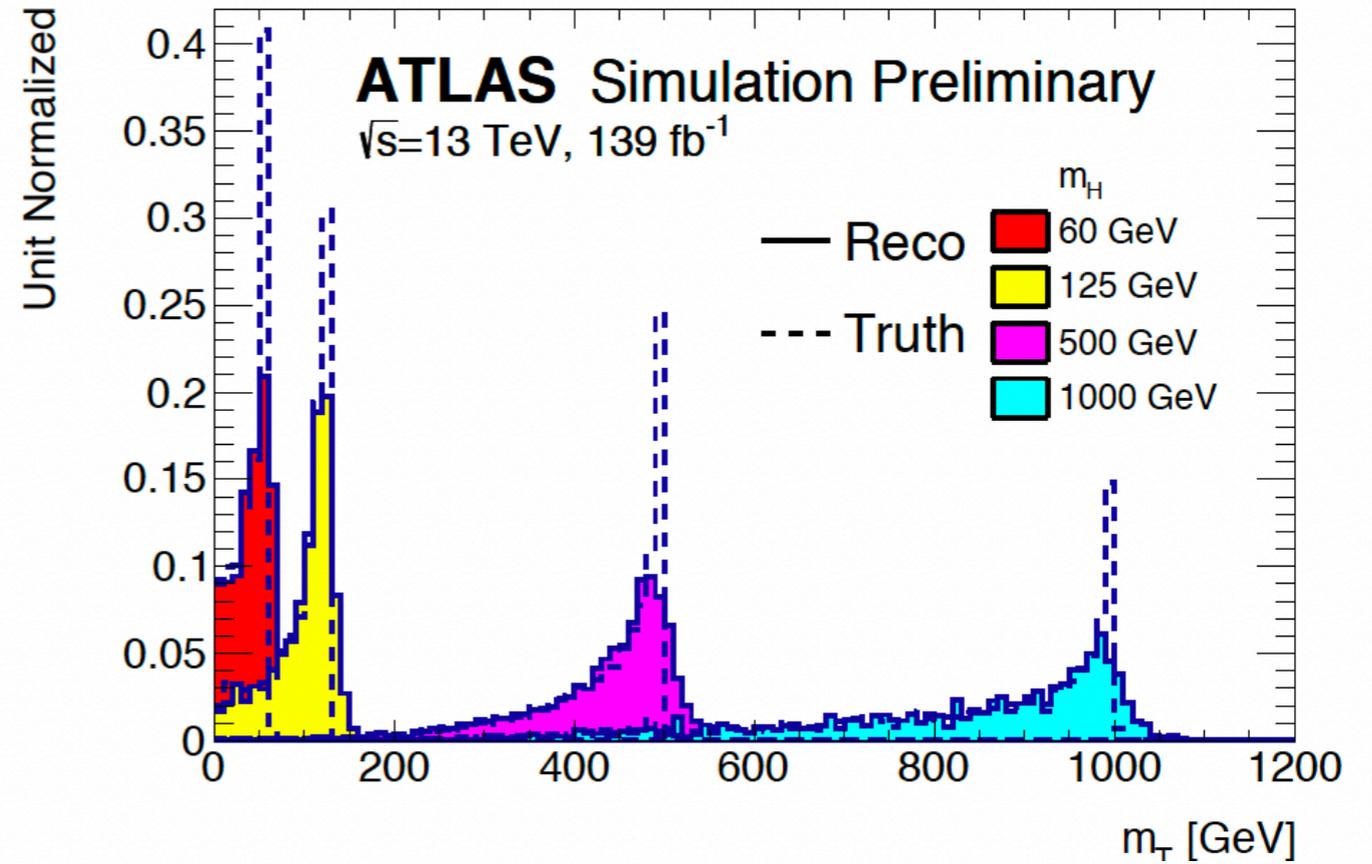
Event Selection

- **Signal region:**

- $H \rightarrow \text{inv.}, Z(\rightarrow \nu\nu)\gamma$
 - $H \rightarrow \text{inv.}$: 4 SR bins in DNN score
 - $Z(\rightarrow \nu\nu)\gamma$: 4 SR bins in m_{jj}
- $H \rightarrow \gamma\gamma_d$
 - Higgs-like mediators with mass in [60GeV, 2TeV]
 - Few differences from $H \rightarrow \text{inv.}$ SR
 - 10 SR bins in $m_T(E_T^{\text{miss}}, \gamma)$

- **Control region:**

- 4 CRs are used to constrain background normalization in the fit
- $W_{\mu\nu}^\gamma$ and $W_{e\nu}^\gamma$ CRs
 - $W(\rightarrow \ell\nu)\gamma$ events in SR have lost lepton
- $Z_{\text{Rev.Cen.}}^\gamma$ CR
 - Strong $Z(\rightarrow \nu\nu)\gamma$ events in SR have central photon
- Fake-e CR
 - jet/ $\gamma \rightarrow e$ background in $W_{e\nu}^\gamma$ CR



Variable	SR	$W_{\mu\nu}^\gamma$ CR	$W_{e\nu}^\gamma$ CR	$Z_{\text{Rev.Cen.}}^\gamma$ CR	Fake-e CR
$p_T(j_1)$ [GeV]				> 60	
$p_T(j_2)$ [GeV]				> 50	
N_{jet}				2,3	
$N_{\text{b-jet}}$				< 2	
$\Delta\phi_{jj}$				< 2.5 [2.0]	
$ \Delta\eta_{jj} $				> 3.0	
$\eta(j_1) \times \eta(j_2)$				< 0	
C_3				< 0.7	
m_{jj} [TeV]				> 0.25	
E_T^{miss} [GeV]	> 150	–	> 80	> 150	< 80
$E_T^{\text{miss,lep-rm}}$ [GeV]	–	> 150	> 150	–	> 150
$E_T^{\text{jets,no-jvt}}$ [GeV]				> 130	
$\Delta\phi(j_i, E_T^{\text{miss,lep-rm}})$				> 1.0	
N_γ				1	
$p_T(\gamma)$ [GeV]		> 15, < 110	> 15, < max(110, 0.733 × m_T)		
C_γ	> 0.4	> 0.4	> 0.4	< 0.4	> 0.4
$\Delta\phi(\gamma, E_T^{\text{miss,lep-rm}})$				> 1.8 [–]	
N_ℓ	0	1 μ	1 e	0	1 e
$p_T(\ell)$ [GeV]				> 30	

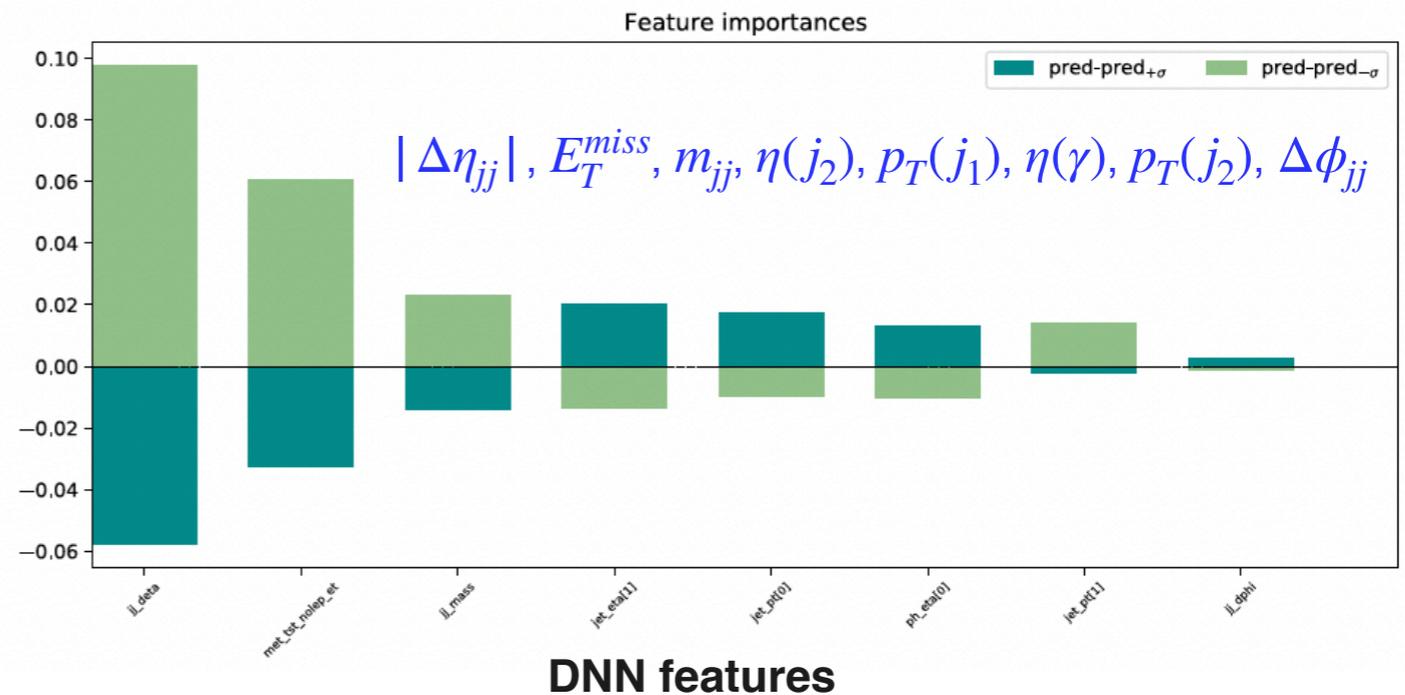
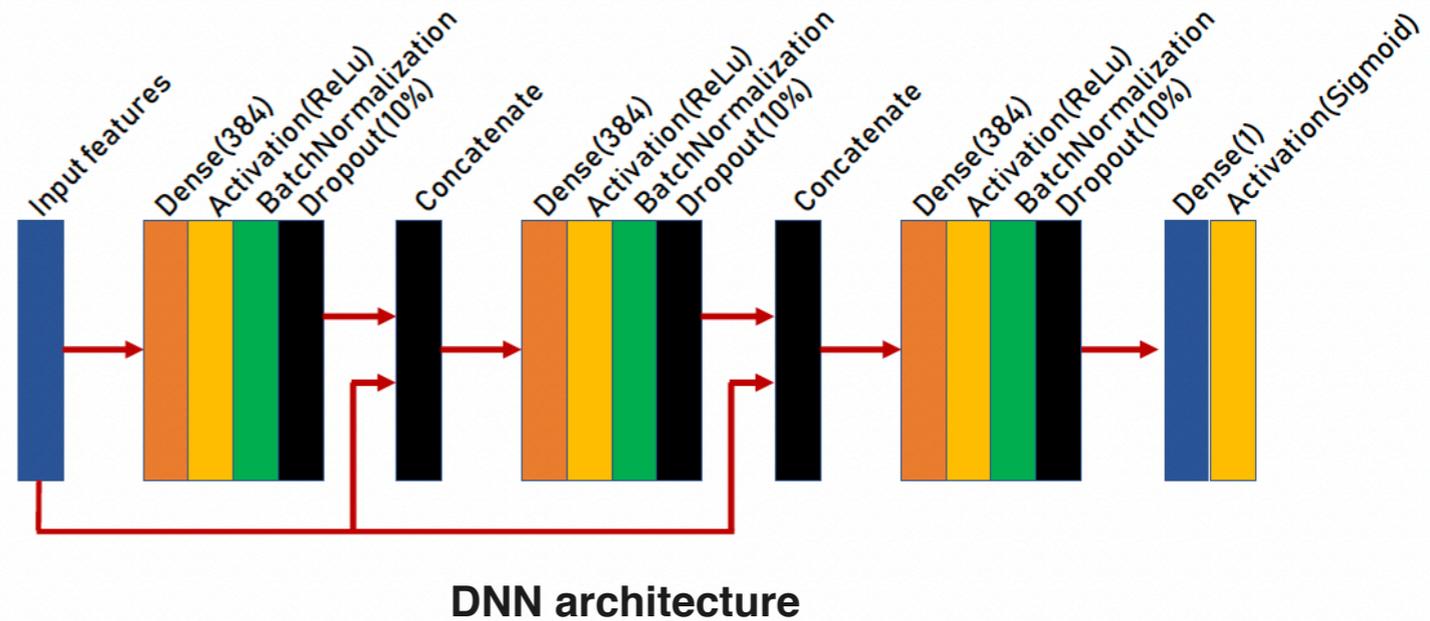
Fiducial Volume

- EW $Z(\rightarrow \nu\nu)\gamma + \text{jets}$ cross section measurement
- Very similar to the SR definition
- Particle-level requirements for stable particles before interaction with the detector but after hadronization
 - Dressed leptons are vetoed
 - Separated photon and Jets/lepton of $\Delta R > 0.4$
 - Truth- E_T^{miss} : vector sum of transverse momentum of all final state neutrinos

Observable	Requirements
N_{jet} with $p_T > 25$ GeV	≥ 2
$ \eta(j_{1,2}) $	< 4.5
$p_T(j_1)$ [GeV]	> 60
$p_T(j_2)$ [GeV]	> 50
$\Delta R(j, \ell)$	> 0.4
$ \Delta\eta_{jj} $	> 3.0
C_3	< 0.7
m_{jj} [TeV]	> 0.5
truth- E_T^{miss} [GeV]	> 150
$\Delta\phi(\text{truth-}\vec{E}_T^{\text{miss}}, j_i)$	> 1.0
$p_T(\gamma)$ [GeV]	$> 15, < 110$
$ \eta(\gamma) $	< 2.37
$E_T^{\text{cone20}}/E_T^\gamma$	< 0.07
$\Delta R(\gamma, \text{jet-or-}\ell)$	> 0.4
C_γ	> 0.4
$\Delta\phi(\text{truth-}\vec{E}_T^{\text{miss}}, \gamma)$	> 1.8
N_ℓ with $p_T > 4$ GeV and $ \eta < 2.47$	0

DNN Classification

- A Dense Neural Network (DNN) is used for the $H \rightarrow$ inv. search
- DNN architecture: 3 blocks, each composed of: 1. dense layer, 2. ReLU activation function, 3. Batch Normalization, and 4. Dropout rate.
- DNN feature selection with **backward elimination procedure**
- 8 features selected
- DNN score ranges from 0 (background) to 1 (signal)
- $\sim 20\%$ improvement in sensitivity over cut-based approach

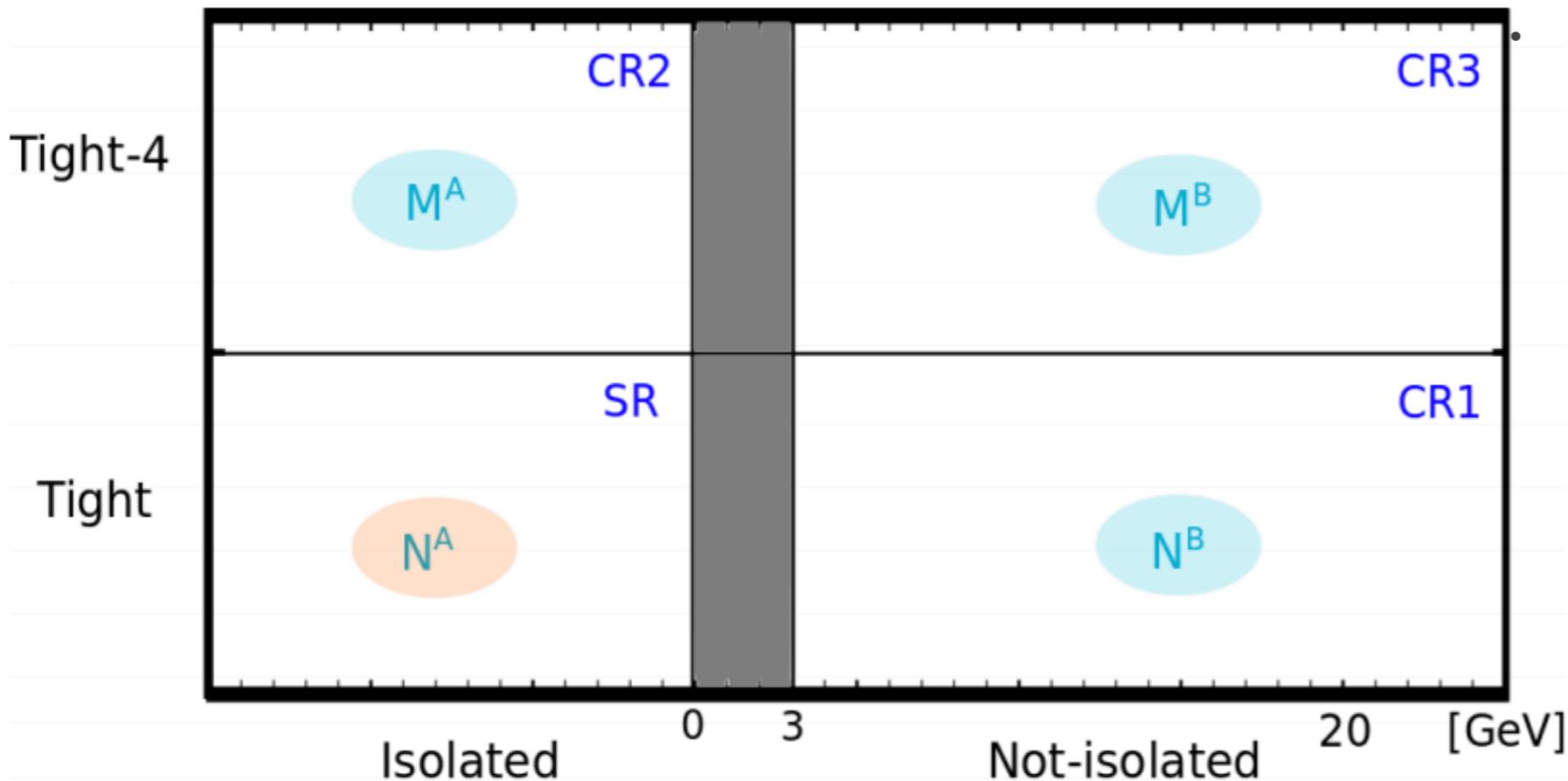


Feature importance is quantified by the average variation in DNN output caused by a 10% upward/downward variation of the feature

Jet faking Photon

- W/Z+jets have contribution may due to the mis-identified of jets as photons.
- Estimated by the **ABCD method**
- Comprises ~1% of SRs

1 SR: isolated-tight photon; **3 CRs:** photon failed isolation or tightness



2 Model assumptions:

- 1). **No correlation** between photon isolation and tightness
- 2). **Negligible** signal photon in CRs
- $N_A/N_B = M_A/M_B$
- **Released** by extracting correlation (R_{MC}) and signal leakage ($c_{1,2,3}$) from MC

Data driven technique applied to get SR photon purity:



$$P = \frac{(M^B + N^A c_3 - N^B c_2 R_{MC} - M^A c_1 R_{MC})}{2N^A(c_1 c_2 R_{MC} - c_3)} \cdot \left(-1 + \sqrt{1 + \frac{4(c_1 c_2 R_{MC} - c_3)(N^A M^B - N^B M^A R_{MC})}{(M^B + N^A c_3 - N^B c_2 R_{MC} - M^A c_1 R_{MC})^2}} \right)$$

Electrons Faking Photons

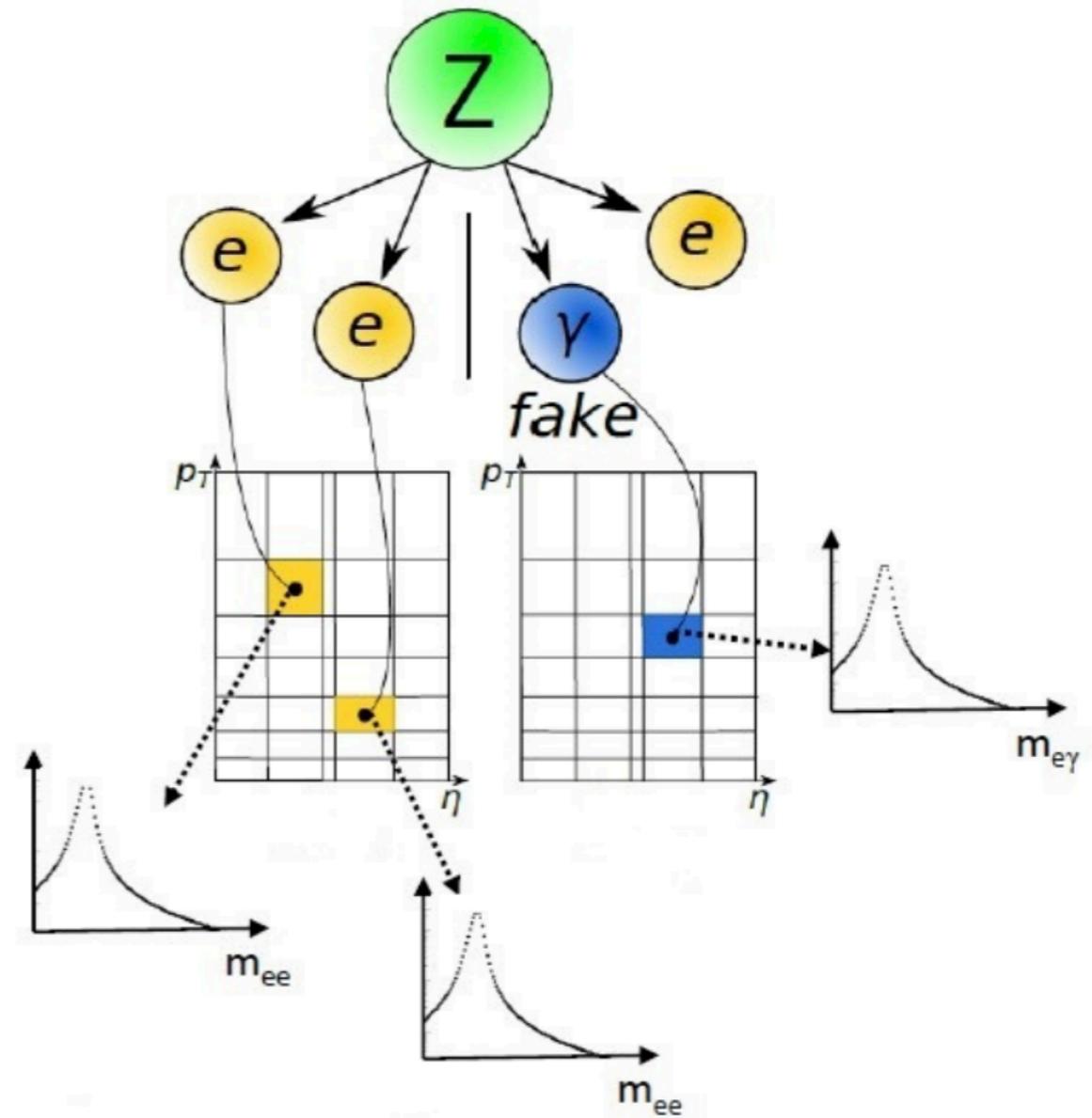
- V+jets gives contribution also due to misidentified electrons as photons.
- Comprised $\sim 6\%$ of $H \rightarrow \text{inv.}$ and $Z(\rightarrow \nu\nu)\gamma$ SRs, $\sim 35\%$ of $H \rightarrow \gamma\gamma_d$ SR
- Measured the fake rate of electrons being reconstructed as photons in the Z mass peak.

$$F_{e \rightarrow \gamma} = \frac{\epsilon(e^{\text{true}} \rightarrow \gamma^{\text{reco}})\epsilon_{\gamma}}{\epsilon(e^{\text{true}} \rightarrow e^{\text{reco}})\epsilon_e}$$

- Measurement in $Z(\rightarrow ee)+\text{jet}$ to determine the mis-construction rate by comparing the rate of Z reconstruction in $e^{\pm}\gamma$ and e^+e^-

$$F_{e \rightarrow \gamma} = \frac{N_{e\gamma}}{2N_{ee}}$$

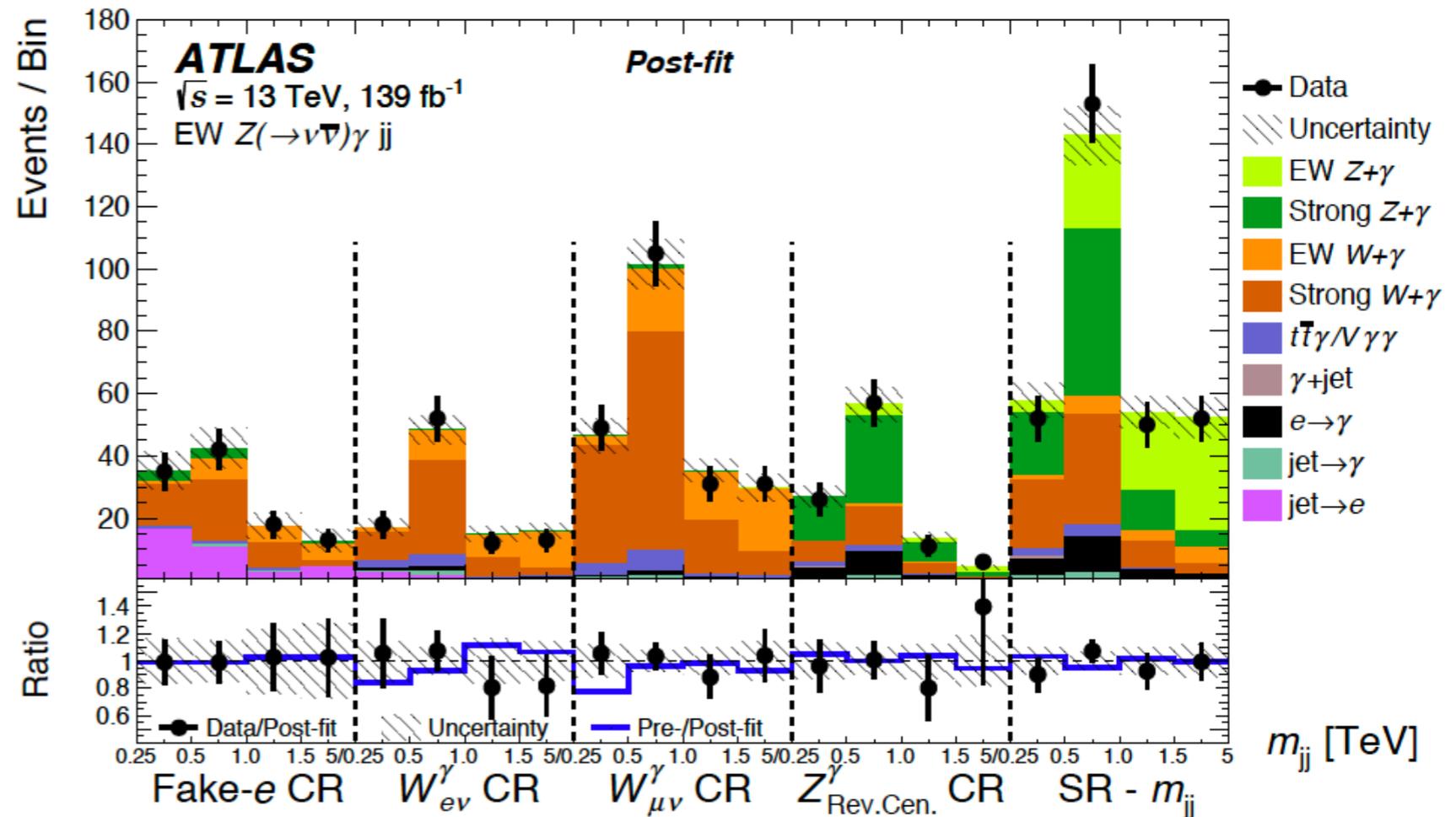
- All e and γ from the $ee/e\gamma/\gamma\gamma$ pairs are classified in η and pT bins and invariant mass spectra are built.



Results

EW $Z(\rightarrow \nu\nu)\gamma + \text{jets}$ Measurement

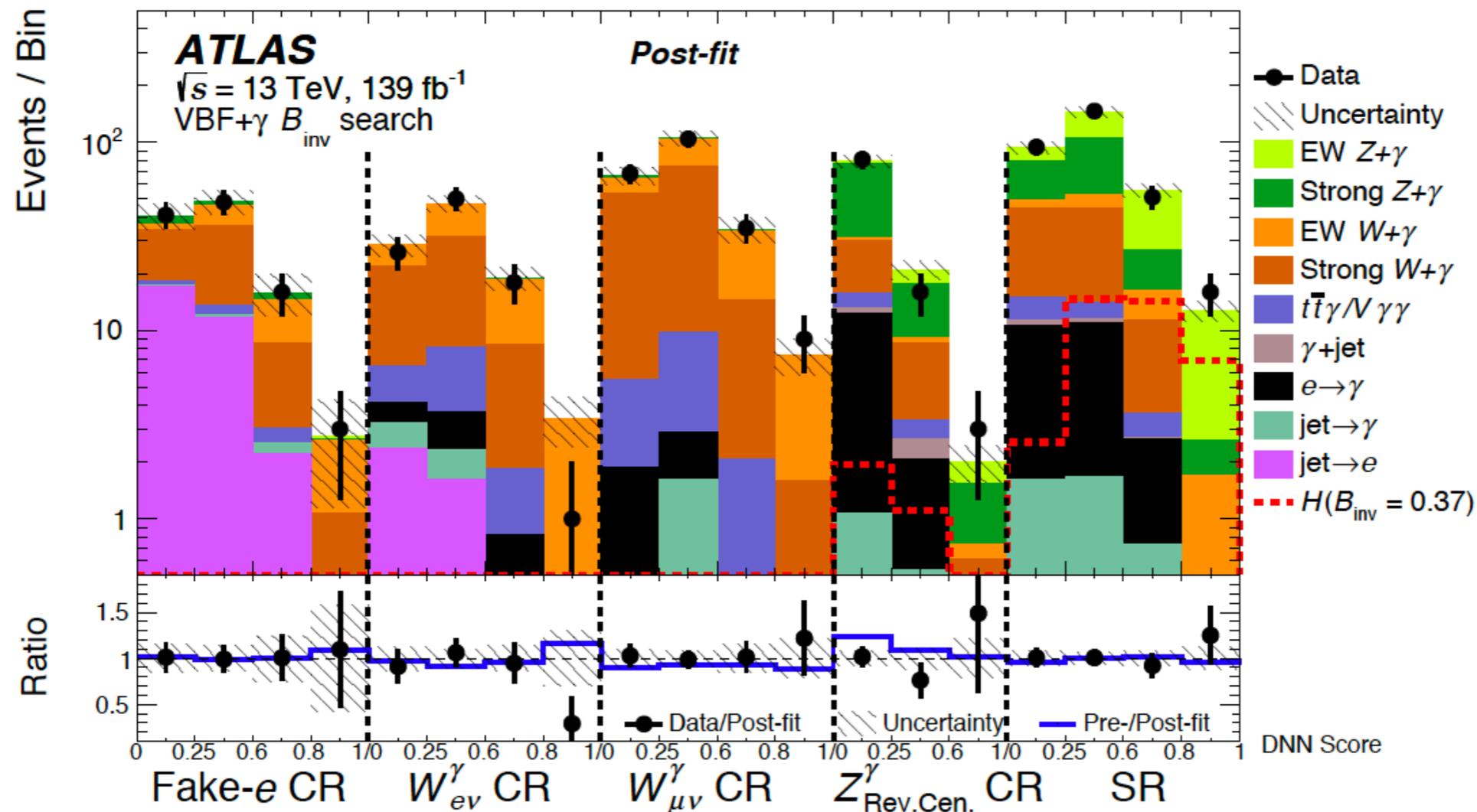
- SR and CRs are binned in m_{jj}
 - 4 SR bins and 16 CR bins
- 5.2σ observation (5.1σ exp.) of EW $Z(\rightarrow \nu\nu)\gamma + \text{jets}$ process
- Signal strength $\mu_{Z\gamma}$ extraction
- Measure fiducial cross section of $1.31 \pm 0.29 \text{ fb}$



$\mu_{Z\gamma\text{EW}}$	$\beta_{Z\gamma\text{strong}}$	$\beta_{W\gamma}$
1.03 ± 0.25	1.02 ± 0.41	1.01 ± 0.20

$H \rightarrow \text{inv.}$ Search

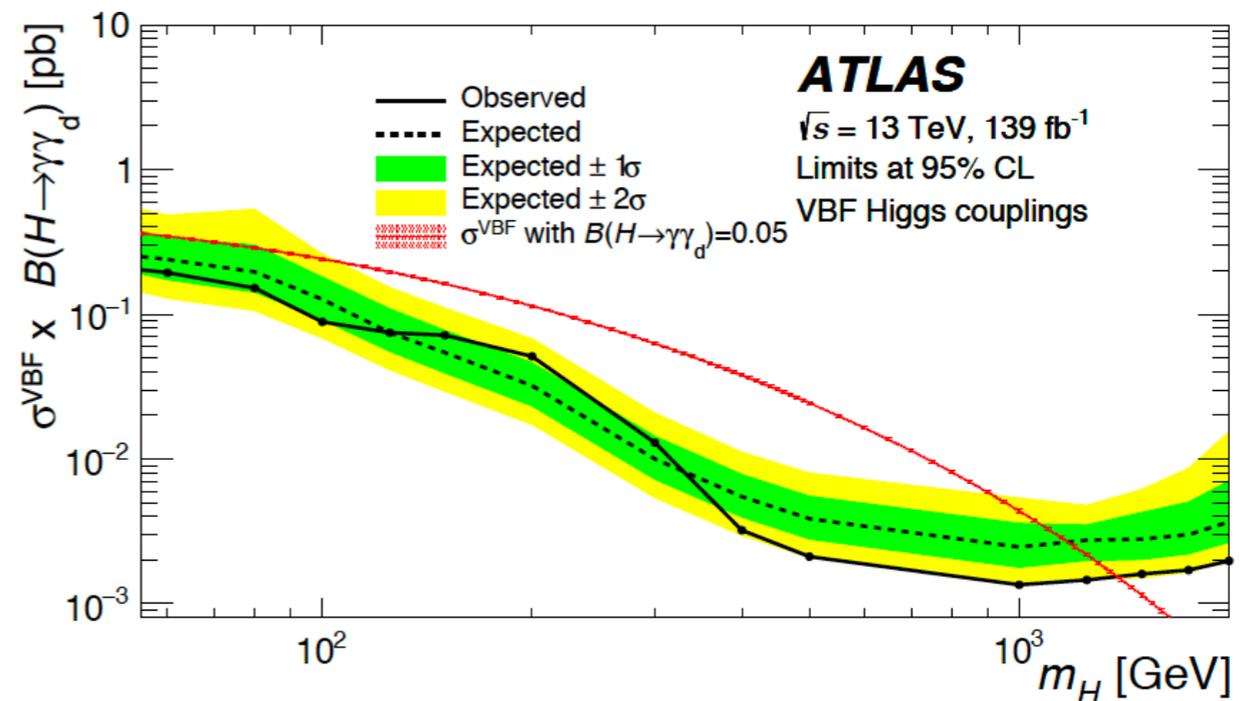
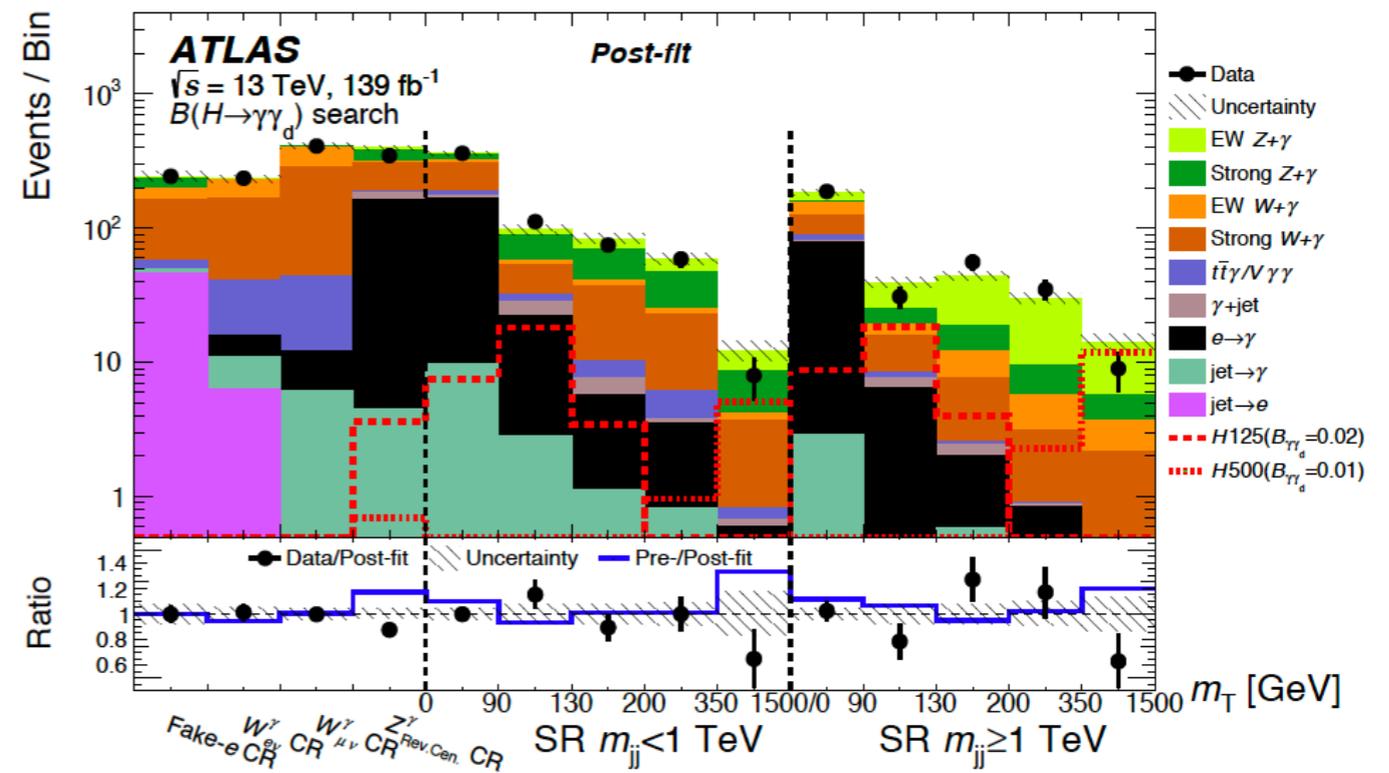
- SR and CRs are binned in DNN score
 - 4 SR bins and 15 CR bins
 - DNN [0, 0.25, 0.6, 0.8, 1]
- No evidence of a new physics contribution is observed
- Set upper limit on B_{inv} of 0.37 (0.35 expected)



$H \rightarrow \gamma\gamma_d$ Search

- 2 SRs: $m_{jj} < 1$ TeV, $m_{jj} > 1$ TeV
 - Different sensitivities to ggF/VBF production
- SRs are binned in $m_T(\gamma, E_T^{\text{miss}})$
 - 10 SR bins and 20 CR bins
- Set upper limit on $B(H \rightarrow \gamma\gamma_d)$ of 0.018 (0.017 expected)

- Set limits on cross section times branching fraction for Higgs-like mediator, which from 0.15 pb to 3fb for $60 \text{ GeV} < m_H < 2 \text{ TeV}$



Summary

- Analysis of VBF $H(\rightarrow \text{inv.})\gamma$, $H \rightarrow \gamma\gamma_d$, and EW $Z(\rightarrow \nu\nu)\gamma$ process in VBF+MET+ γ final state
- First search for VBF $H(\rightarrow \text{inv.})\gamma$
 - DNN classification
 - Set upper limit on $B(H \rightarrow \text{inv.})$ of 0.37 (0.35 expected)
- First ATLAS search for $H \rightarrow \gamma\gamma_d$
 - Improved $B(H \rightarrow \gamma\gamma_d)$ limit compared to CMS combined result (1.8% vs. 2.9%)
- First observation of EW $Z(\rightarrow \nu\nu)\gamma + \text{jets}$ process with 5.2σ significance
 - Fiducial cross section measurement: 1.31 ± 0.29 fb
 - Will combine with SM VBS analysis for $\sim 6.7\sigma$ expected significance

Back Up

Back Up

Data yields and fitted predictions for EWK Z γ

Process	Fake- e CR	$W_{e\nu}^\gamma$ CR	$W_{\mu\nu}^\gamma$ CR	$Z_{\text{Rev.Cen.}}^\gamma$ CR	SR - m_{jj} [TeV]			
					0.25-0.5	0.5-1.0	1.0-1.5	≥ 1.5
Strong $Z\gamma$ + jets	8 ± 8	0 ± 1	3 ± 2	50 ± 12	20 ± 6	54 ± 12	13 ± 5	5 ± 2
EW $Z\gamma$ + jets	0.6 ± 0.2	0.3 ± 0.2	0.4 ± 0.2	7 ± 2	4 ± 1	30 ± 7	25 ± 5	36 ± 7
Strong $W\gamma$ + jets	43 ± 9	47 ± 9	133 ± 21	24 ± 6	22 ± 6	35 ± 10	9 ± 3	3 ± 1
EW $W\gamma$ + jets	19 ± 6	31 ± 7	59 ± 13	1.4 ± 0.5	2 ± 1	6 ± 1	4 ± 1	5 ± 1
jet $\rightarrow \gamma$	1 ± 1	2 ± 2	3 ± 2	2 ± 2	1 ± 1	2 ± 2	1 ± 1	0.4 ± 0.3
jet $\rightarrow e$	34 ± 17	5 ± 3	–	–	–	–	–	–
$e \rightarrow \gamma$	–	2.7 ± 0.4	2.9 ± 0.4	13 ± 1	6 ± 1	11 ± 1	2.6 ± 0.4	1.4 ± 0.3
γ + jet	–	–	–	0.7 ± 0.5	0.7 ± 0.5	0.4 ± 0.3	0.1 ± 0.1	0.1 ± 0.1
$t\bar{t}\gamma/V\gamma\gamma$	3 ± 1	9 ± 2	13 ± 2	3 ± 1	2 ± 1	4 ± 1	0.4 ± 0.2	0.1 ± 0.1
Fitted Yields	108 ± 10	96 ± 8	213 ± 14	102 ± 9	58 ± 6	143 ± 12	54 ± 5	52 ± 6
Data	108	95	216	100	52	153	50	52
Data/Fit	1.00 ± 0.14	0.99 ± 0.12	1.01 ± 0.09	0.98 ± 0.13	0.90 ± 0.15	1.07 ± 0.11	0.93 ± 0.16	0.99 ± 0.18

Back Up

Data yields and fitted predictions for H-> inv.

Process	Fake- e CR	W_{ev}^γ CR	$W_{\mu\nu}^\gamma$ CR	$Z_{\text{Rev.Cen.}}^\gamma$ CR	SR - DNN output score			
					0-0.25	0.25-0.6	0.6-0.8	0.8-1.0
Strong $Z\gamma$ + jets	7 ± 4	0.3 ± 0.1	3 ± 1	55 ± 6	31 ± 6	53 ± 10	10 ± 2	0.9 ± 0.2
EW $Z\gamma$ + jets	0.6 ± 0.1	0.3 ± 0.1	0.4 ± 0.2	7 ± 1	14 ± 2	39 ± 5	29 ± 4	10 ± 2
Strong $W\gamma$ + jets	44 ± 7	46 ± 6.1	127 ± 13	20 ± 2	29 ± 6	31 ± 9	8 ± 2	0.1 ± 0.2
EW $W\gamma$ + jets	21 ± 3	35 ± 4	66 ± 7	1.5 ± 0.2	5 ± 1	8 ± 1	5 ± 1	1.2 ± 0.3
jet $\rightarrow \gamma$	1 ± 1	2 ± 1	2 ± 2	2 ± 1	2 ± 2	2 ± 2	0.7 ± 0.8	–
jet $\rightarrow e$	32 ± 15	4 ± 2	–	–	–	–	–	–
$e \rightarrow \gamma$	–	2.6 ± 0.2	2.9 ± 0.2	13 ± 1	9 ± 1	9 ± 1	1.9 ± 0.2	0.3 ± 0.1
γ + jet	–	–	–	1.4 ± 0.5	0.7 ± 0.5	0.6 ± 0.4	0.04 ± 0.03	–
$t\bar{t}\gamma/V\gamma\gamma$	3 ± 1	8 ± 1	12 ± 2	3 ± 1	4 ± 1	2.4 ± 0.4	0.9 ± 0.2	0.01 ± 0.01
Fitted Bkg	108 ± 10	97 ± 7	214 ± 14	101 ± 7	93 ± 8	145 ± 11	55 ± 5	13 ± 2
H ($\mathcal{B}_{\text{inv}} = 0.37$)	–	–	–	3.3 ± 0.4	2.6 ± 0.3	15 ± 2	14 ± 2	7 ± 1
Data	108	95	216	100	94	146	51	16
Data/Fit	1.01 ± 0.14	0.97 ± 0.12	1.01 ± 0.09	0.98 ± 0.12	1.01 ± 0.13	1.01 ± 0.11	0.92 ± 0.15	1.25 ± 0.35

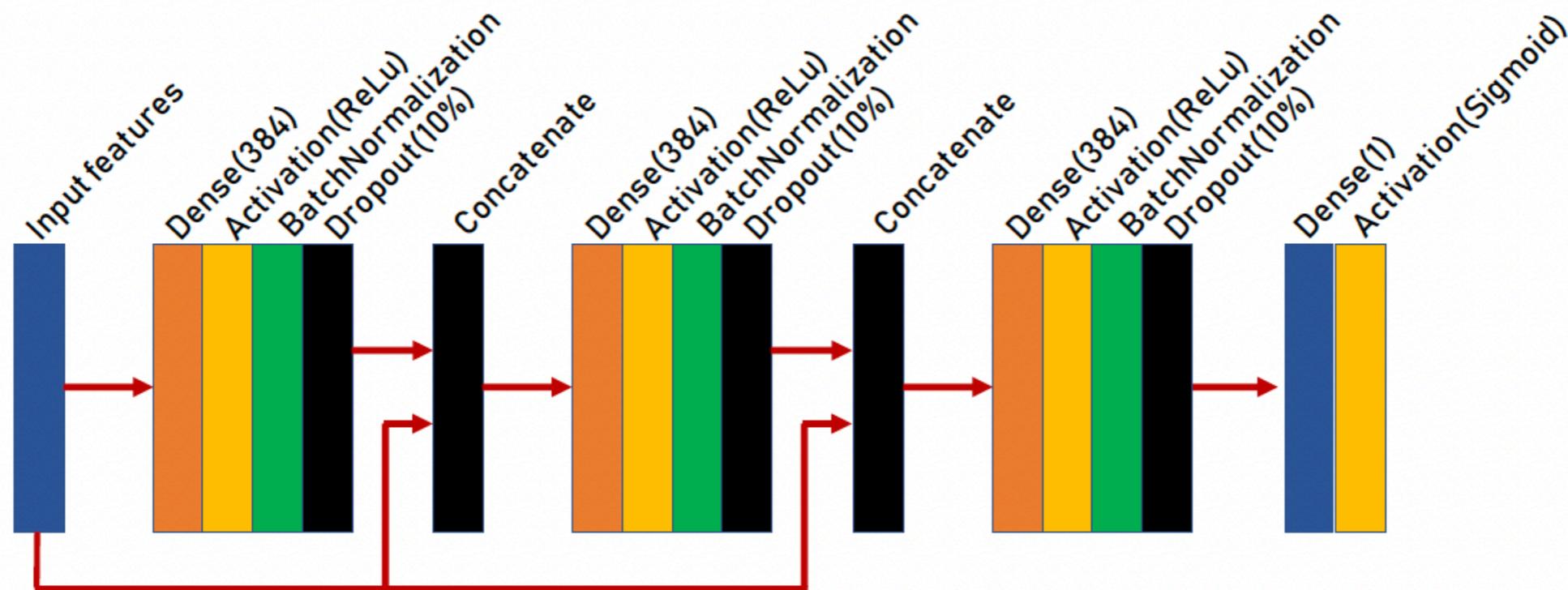
Back Up

The contributions from different groups of systematic uncertainties to the 1 sigma uncertainty bands .The evaluation is performed by fixing a given group of systematic uncertainties to their best-fit values and subtracting the new variance (σ^2) of the best-fit value or the limit from the nominal variance including all systematic uncertainties.

Source	1σ Uncertainty on $\mu_{Z\gamma EW}$	1σ Uncertainty on \mathcal{B}_{inv}	1σ Uncertainty on $\mathcal{B}(H \rightarrow \gamma\gamma_d)$
Jet scale and resolution	0.076	0.045	0.0011
$V\gamma$ + jets theory	0.067	0.044	0.0018
pile-up	0.040	0.021	0.0004
Photon	0.035	0.031	0.0011
$e \rightarrow \gamma, \text{jet} \rightarrow e, \gamma$ Bkg.	0.035	0.034	0.0028
Lepton	0.027	0.003	0.0008
E_T^{miss}	0.023	0.018	0.0003
Signal theory shape	0.020	–	–
Signal theory acceptance	0.12	–	–
Data stats.	0.16	0.11	0.0056
$W\gamma$ + jets/ $Z\gamma$ + jets Norm.	0.073	0.013	0.0004
MC stats.	0.063	0.046	0.0026
Total	0.25	0.15	0.0073

DNN event classification

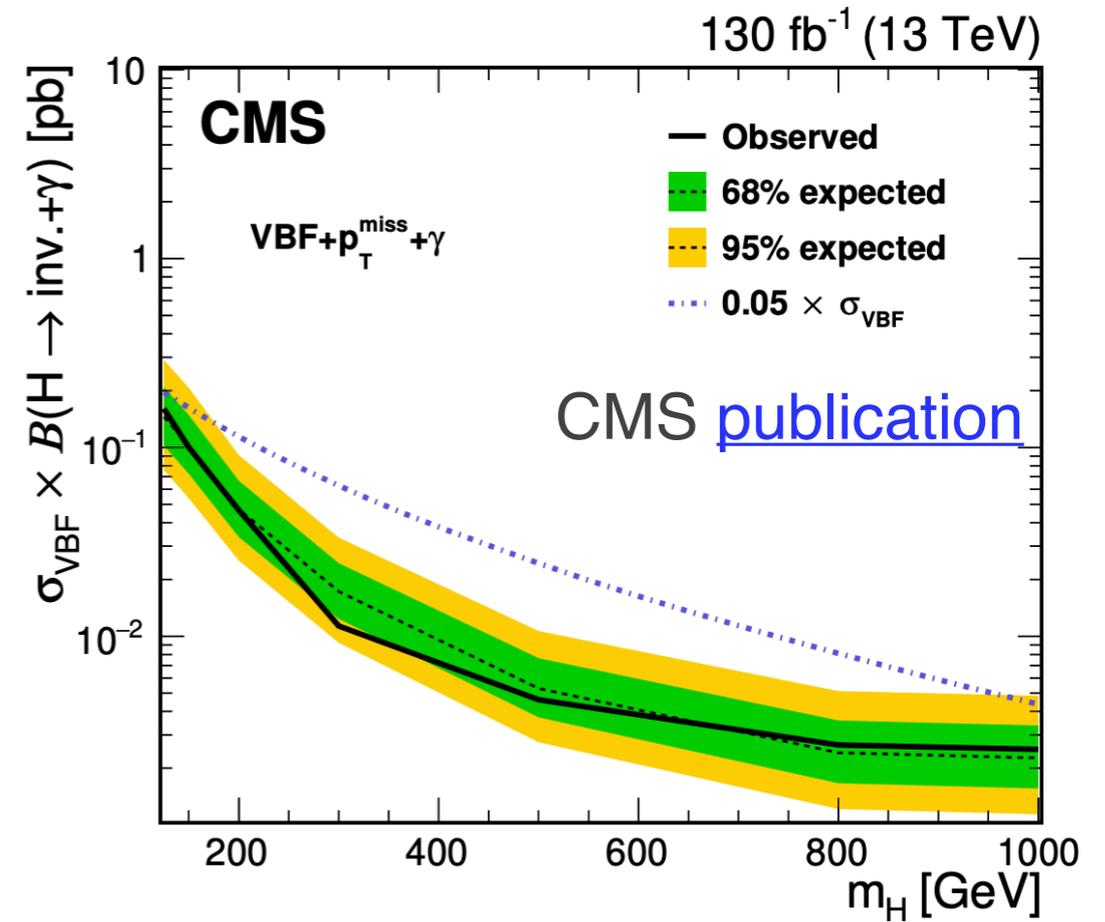
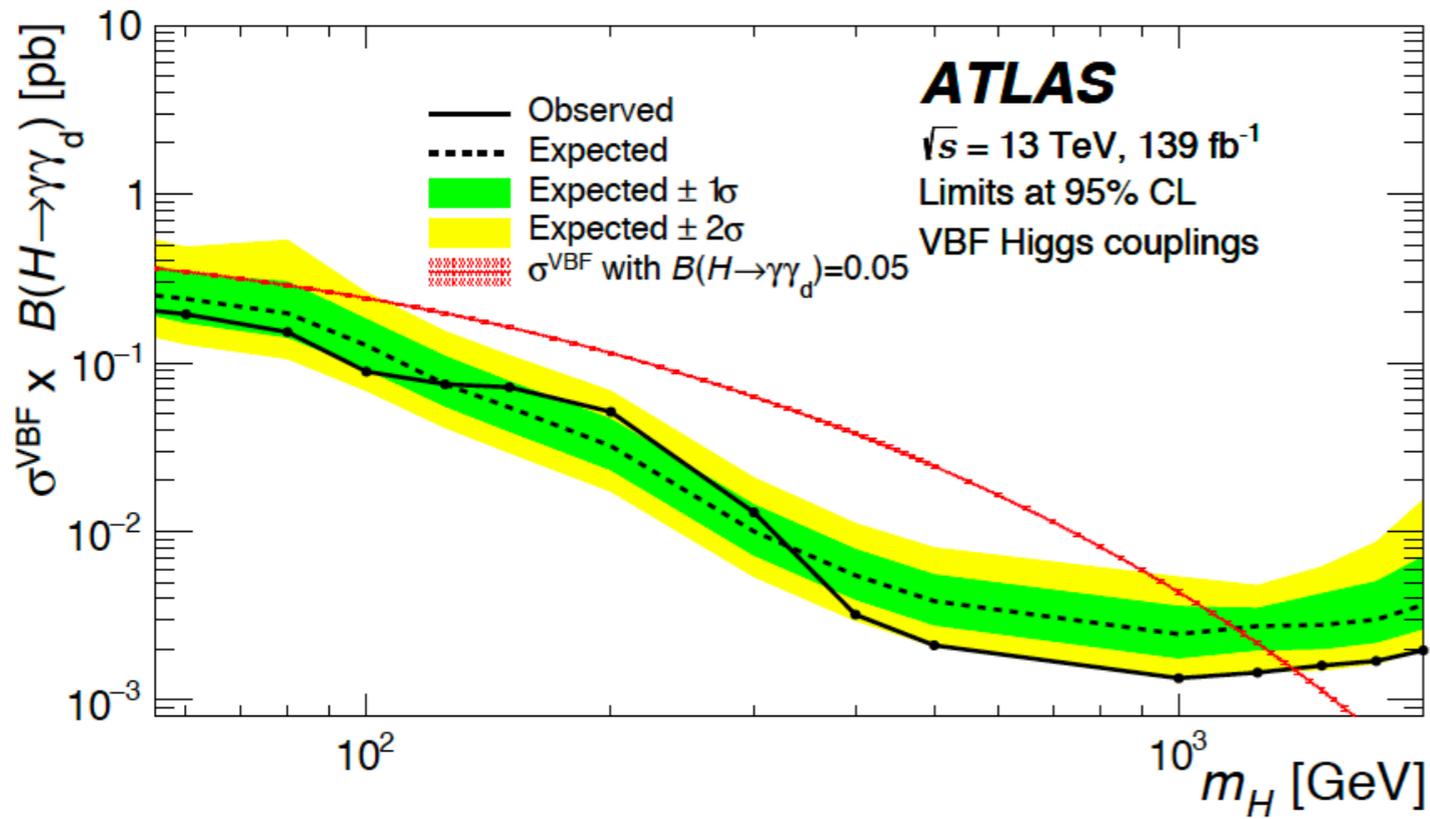
- A Dense Neural Network (DNN) is used to discriminate between signal and background for the $H \rightarrow$ inv. search
- DNN architecture: 3 blocks, each composed of: 1. dense layer, 2. ReLU activation function, 3. Batch Normalization, and 4. Dropout rate.
 - Each block receives output of previous block concatenated with input features
 - Dropout and Batch Normalization layers are included to avoid over-training
 - Weights are updated by minimizing binary cross-entropy loss



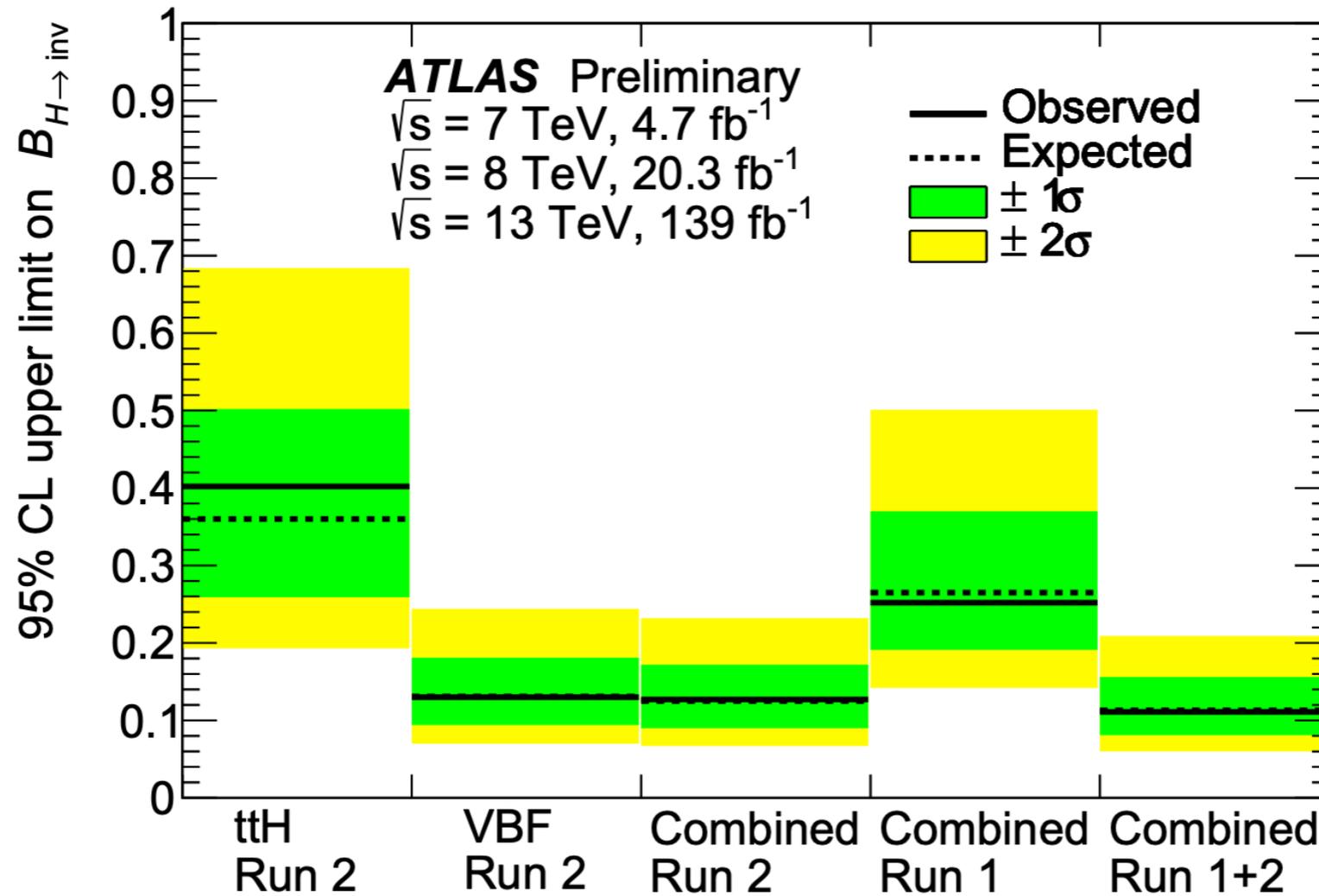
DNN architecture

Back Up

$H \rightarrow \gamma\gamma_d$



Back Up

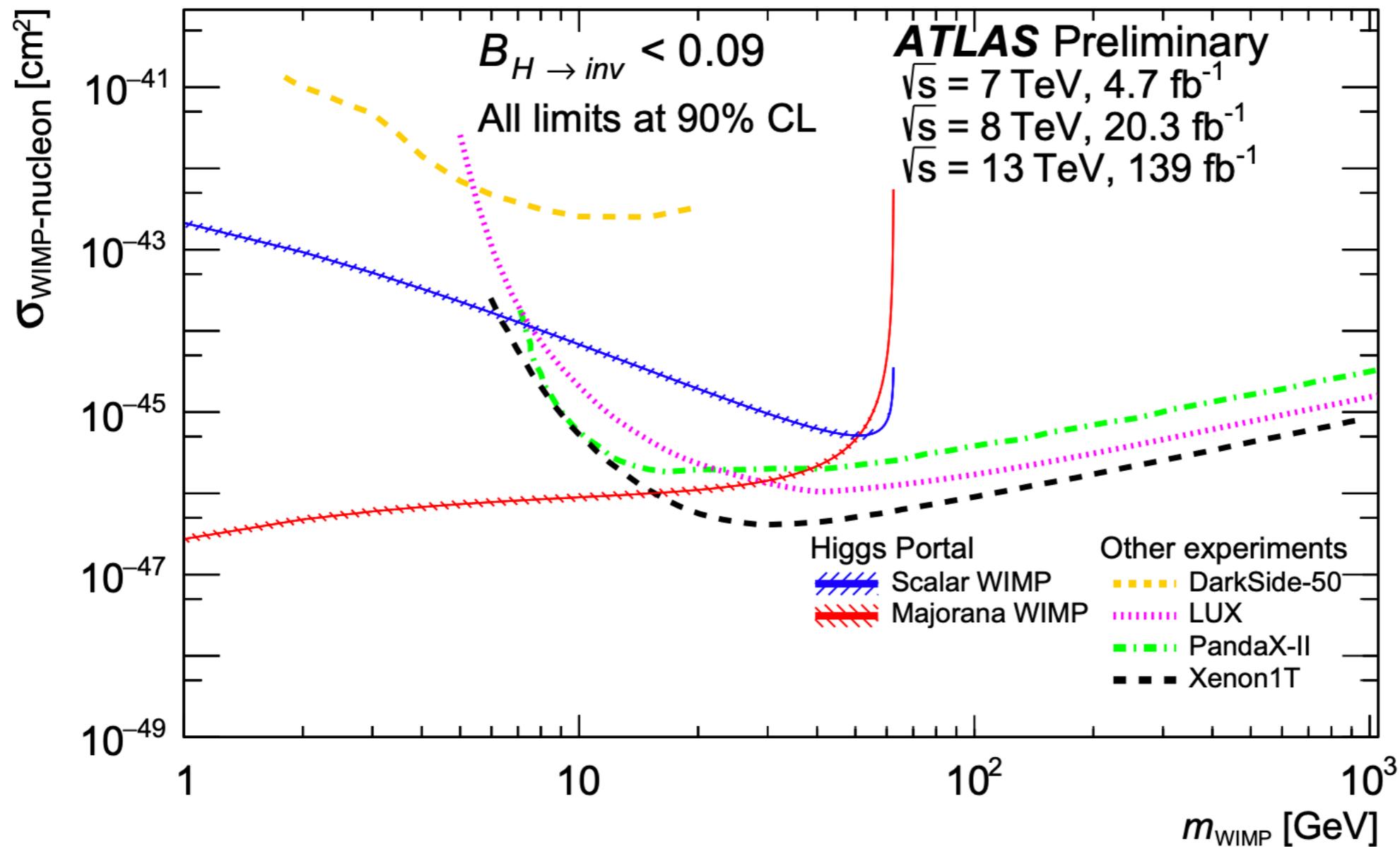


[ATLAS-CONF-2020-052](#)

H \rightarrow inv.

Analysis	\sqrt{s} [TeV]	Int. luminosity [fb^{-1}]	Best fit $B_{H \rightarrow \text{inv}}$	Observed upper limit	Expected upper limit	Reference
Run 2 VBF	13	139	$0.00^{+0.07}_{-0.07}$	0.13	$0.13^{+0.05}_{-0.04}$	[42]
Run 2 $t\bar{t}H$	13	139	$0.04^{+0.20}_{-0.20}$	0.40	$0.36^{+0.15}_{-0.10}$	This document
Run 2 Comb.	13	139	$0.00^{+0.06}_{-0.07}$	0.13	$0.12^{+0.05}_{-0.04}$	This document
Run 1 Comb.	7, 8	4.7, 20.3	$-0.02^{+0.14}_{-0.13}$	0.25	$0.27^{+0.10}_{-0.08}$	[36]
Run 1+2 Comb.	7, 8, 13	4.7, 20.3, 139	$0.00^{+0.06}_{-0.06}$	0.11	$0.11^{+0.04}_{-0.03}$	This document

Back Up



The interpretation of ATLAS results assumes Higgs portal scenarios where the 125 GeV Higgs boson decays to a pair of DM particles that are either scalars or Majorana fermions.