

Two-particle scattering in the finite volume using plane wave basis

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17th Nov., 2021

Based on JHEP10(2021)051
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Lüscher's formula and beyond

- LQCD: formulated on a lattice of points in spacetime in a finite volume (FV)

- LQCD results: energy levels in FV

- Lüscher's formula: $\det \left[M_{ln,l'n'}^{(\Gamma,P)}(E) - \delta_{ll'} \delta_{nn'} \cot \delta_l \right] = 0$

⇒ Derivation: LSE in FV + Partial wave expansion

- **Model-independent, One-to-one:** $E^{FV} \sim \delta^l$

⇒ $L \gg R$, neglect $e^{-L/R}$ effect; Caveat: E.g. $1-\pi$ exchange

⇒ No partial wave (PW) mixture; Caveat: ~~rotational symmetry~~ in FV

- To improve:

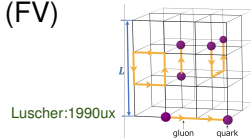
⇒ Parameterize T -matrix within theoretical frameworks, e.g. effective range expansion

⇒ Alternative approaches: HAL QCD, UChPT in FV, Hamiltonian EFT... Ishii:2006ec,Doring:2011vk,Wu:2014vma,...

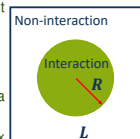
- Our approach

⇒ Plane wave expansion $|\frac{2\pi}{L}n\rangle \rightarrow$ LSE to get quantization conditions

⇒ Long range interaction: effective field theory (long-range:known, short-range:← LQCD)



Lüscher:1990ux,Polejaeva:2012ut



Theoretical formalism

- LSE become matrix equation $\mathbb{T} = \mathbb{V} + \mathbb{V}\mathbb{G}\mathbb{T}$

$$\mathbb{T}_{\mathbf{n}', \mathbf{n}} = T\left(\frac{2\pi}{L}\mathbf{n}', \frac{2\pi}{L}\mathbf{n}; E\right), \quad \mathbb{G}_{\mathbf{n}, \mathbf{n}'} = \frac{1}{L^3} \frac{1}{E - \frac{q_{\mathbf{n}}^2}{m_N}} \delta_{\mathbf{n}', \mathbf{n}}, \quad \text{truncation at } n^2 < n_{max}^2$$

- If the potential is energy-independent $\Rightarrow$ Eigenvalue problem

$$\det(\mathbb{G}^{-1} - \mathbb{V}) = 0 \rightarrow \det(\mathbb{H} - E\mathbb{I}) = 0, \quad \text{with} \quad \mathbb{H}_{\mathbf{m}, \mathbf{n}} = \frac{1}{L^3} \mathbb{V}_{\mathbf{m}, \mathbf{n}} + \frac{q_{\mathbf{n}}^2}{m_N} \delta_{\mathbf{m}, \mathbf{n}}$$

- Reduce the $\mathbb{H}$ according to irreducible representations (irreps) of the point group

$$\mathbb{H} \xrightarrow{\text{reduction}} \left(\begin{array}{ccc} \mathbb{H}_{\Gamma_i} & & \\ & \mathbb{H}_{\Gamma_j} & \\ & & \ddots \end{array} \right)_{\text{block-diagonal}}, \quad \det(\mathbb{H}_{\Gamma} - E_{\Gamma}\mathbb{I}) = 0$$

- If the potential is E -dependent, $\det[\mathbb{M}_{\Gamma}(E)] = 0$, root-finding algorithm

- The representation of cubic group (O_h)

$$\{n_1, n_2, n_3\} \equiv \{|n_1, n_2, n_3\rangle + \text{perm. } n_1, n_2, n_3 + \text{change signs}\}$$

$$\langle \mathbf{n}' | \hat{D}(g) | \mathbf{n} \rangle = \delta_{\mathbf{n}', g\mathbf{n}}$$

- Seven patterns** of representation space $\{n_1, n_2, n_3\}_{dim}$

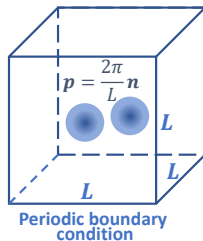
$$\Rightarrow \{0, 0, 0\}_1, \{0, 0, a\}_6, \{0, a, a\}_{12}, \{0, a, b\}_{24}, \{a, a, a\}_8, \{a, a, b\}_{24}, \{a, b, c\}_{48}$$

- Reduce to irreducible representations (irreps): projection operator

$$\hat{P}_{\alpha\beta}^{\Gamma_a} \equiv \sum_{g_i \in G} \frac{N(\Gamma_a)}{n_G} R_{\alpha\beta}^{\Gamma_a}(g_i)^* \hat{D}(g_i), \quad \hat{P}_{\alpha\alpha'}^{\Gamma_a} |\psi\rangle = a_{\alpha'}^{\Gamma_a} |\Gamma_a, \alpha\rangle.$$

- An example: $\{0, 0, a\}_6 = A_1^+ \oplus E^+ \oplus T_1^-$

- For moving systems, elongated boxes, particles with arbitrary spin...



e.g. textbook by M. Dresselhaus et al

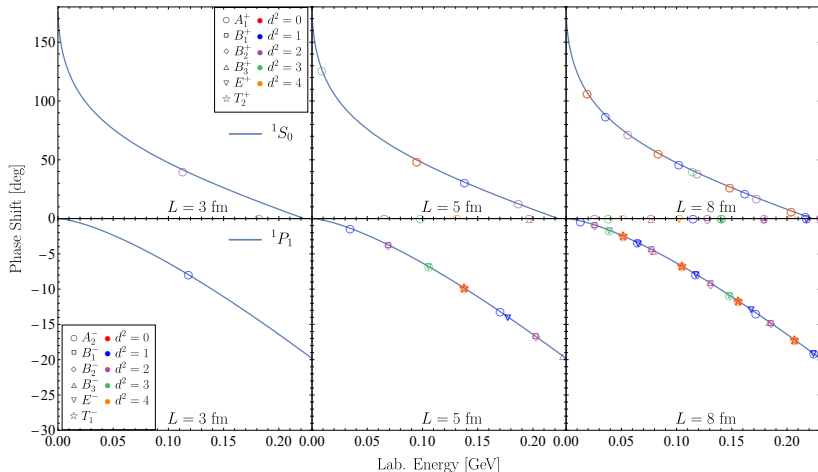
Symmetric group (character table) $\xrightarrow{\hat{P}^\Gamma}$ unitary irrep matrices $\xrightarrow{\hat{P}_{\alpha\beta}^\Gamma}$ rep space $|p_n\rangle \rightarrow$ irreps

Application I: spin-singlet NN scattering

Benchmark: contact interaction

- Interaction: spin singlet, ONLY contribute to S- and P-wave

$$V_{\text{cont}}^{(0)}(\mathbf{p}, \mathbf{p}') = C_S, \quad V_{\text{cont}}^{(2)}(\mathbf{p}, \mathbf{p}') = C_1 \mathbf{q}^2 + C_2 \mathbf{k}^2$$



$L = 3, 5, 8 \text{ fm}$

Solid line: δ^l in IFV

Markers: $E^{FV} - \delta^{LF}$

$l_{\min}$ Lüscher formula (LF)

Larger L , denser E^{FV} s

Vanishing δ : D, F...waves

- The single-channel Lüscher formula works accurately: short range + w/o PW mixing

- LF: even parity

⇒ Large deviation for $L = 3$ fm

⇒ Good for $L \geq 5$ fm

- LF: odd parity

⇒ Near-thresh.: $\delta \rightarrow$ exact ones

⇒ Deviation $\uparrow$ with $E \uparrow$

⇒ $L \uparrow$ cannot improve the LF results at higher E

⇒ Large deviation for $L = 8$ fm

⇒ $L \uparrow$ improves the LF results for single state

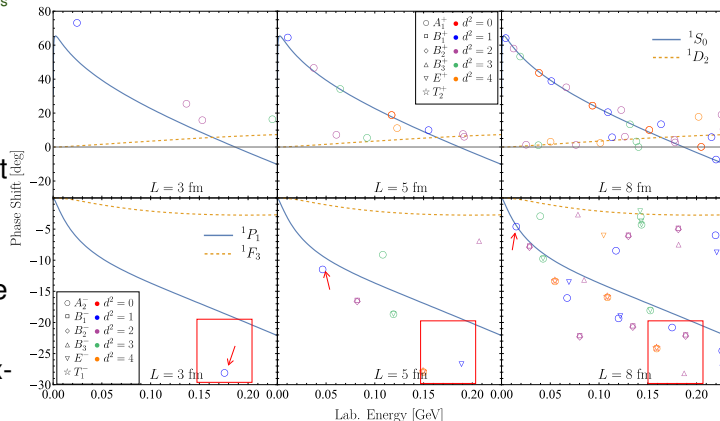
⇒ The reason of deviation? PW mixing??

Interaction

Epelbaum:2003xx

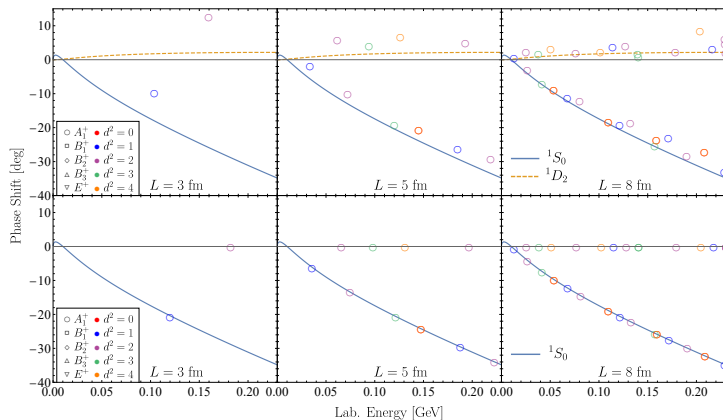
$$V = V_{\text{cont}}^{(0)} + V_{1\pi}^{(0)} + V_{\text{cont}}^{(2)} + V_{2\pi}^{(2)} + V_{1\pi}^{(2)} + V_{2\pi}^{(3)}$$

Sato:2007ms



One-pion exchange: even-parity

$$V(\mathbf{p}, \mathbf{p}') = \sum_l \frac{2l+1}{4\pi} V_l(p, p') P_l(z), \quad V_{\text{S-wave}}(\mathbf{p}, \mathbf{p}') = (4\pi)^{-1} V_0(p, p') P_0(z)$$



- Upper: full OPE
 - ⇒ Deviations are qualitatively similar to NNLO results
 - ⇒ Large deviation for $L = 3 \text{ fm}$
 - ⇒ Good for $L \geq 5 \text{ fm}$
- Lower: S-wave-projected OPE
 - ⇒ Switch off higher PW $V_{l>0}$
 - ⇒ The deviation disappear

- The upper:full OPE

⇒ Deviations are qualitatively similar to NNLO results

⇒ Deviations are large regardless of L

- The middle: P-wave OPE

⇒ Switch off higher PW $V_{l>1}$

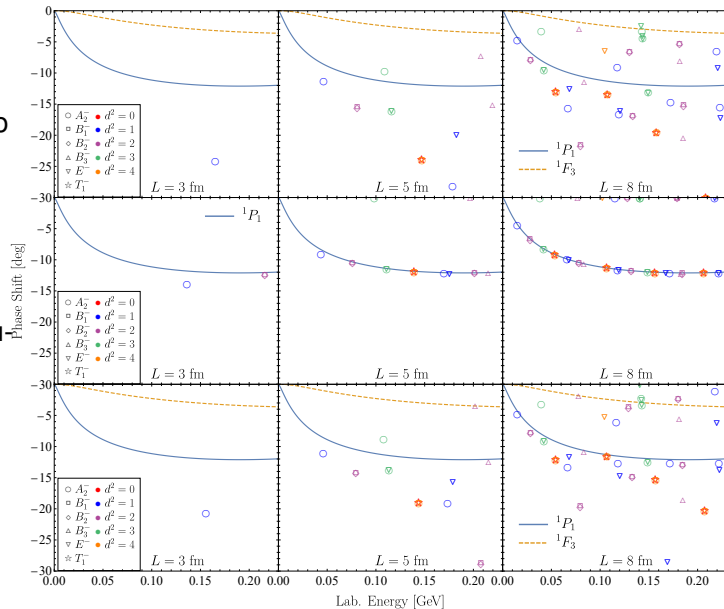
⇒ LF reproduces the P-wave δ accurately

- The lower: P-wave + F-wave OPE

⇒ Mixing effect from F-wave

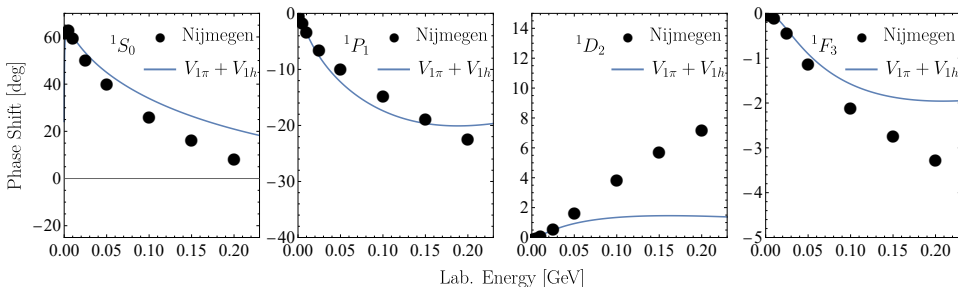
⇒ Sensitive to the 2ed lowest PW:

2107.04430



- Long-range (OPE) interaction $\Rightarrow$ one-to-one LF fails due to PW mixing
- Known OPE $\Rightarrow$ EFT-inspired approach to fitting E^{FV}
- Mimic LQCD data: Toy model to generate FV energies, $m_h=0.5$ GeV

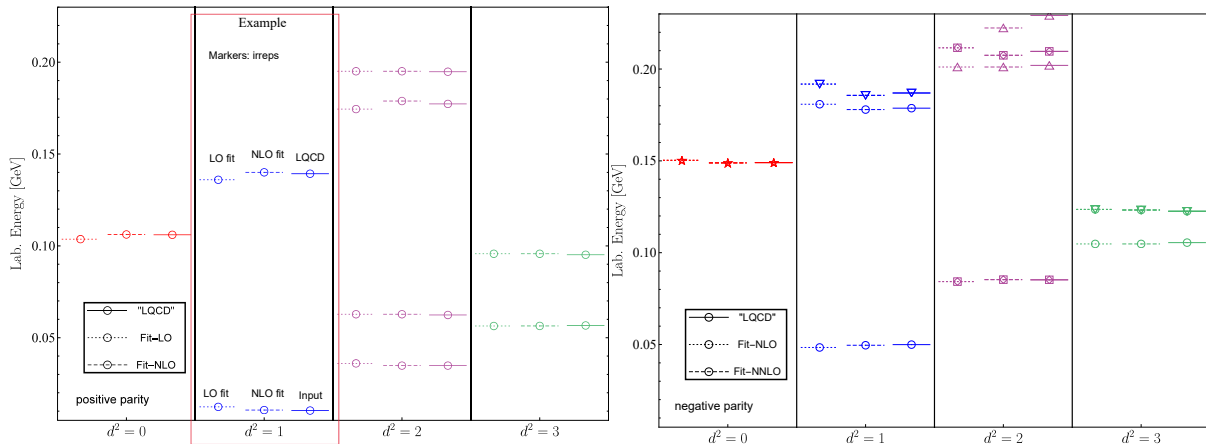
$$V_{\text{toy}} = V_{1\pi} + V_{1h} = - \left(\frac{g_A}{2F_\pi} \right)^2 \frac{M_\pi^2}{q^2 + M_\pi^2} \tau_1 \cdot \tau_2 + (c_{h1} + c_{h2} \tau_1 \cdot \tau_2) \frac{1}{q^2 + m_h^2}$$



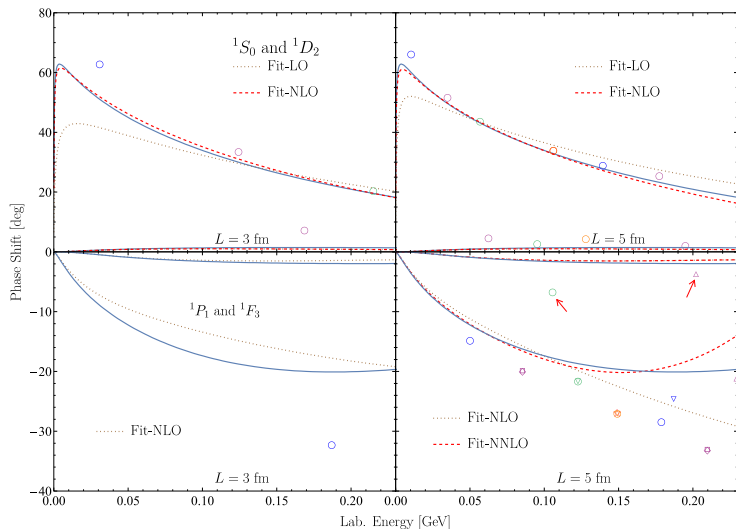
- Fit the FV energy levels with $V_{\text{EFT}} = V_{\text{OPE}}^{(0)} + V_{\text{cont}}^{(0)} + V_{\text{cont}}^{(2)} + V_{\text{cont}}^{(4)} + \dots$

- Fitting: Determinant residual method
- The ground state for each irrep is input
- Good agreement and improved with orders

Morningstar:2017spu



EFT-inspired fitting VS Lüscher formula



- Improved with orders
⇒ 1-para.: rough
⇒ 2-para: improved
- Uncover “underlying” theory
- Good fit for small box
⇒ e.g. S-wave, $L = 3$ fm
- Higher PW dominant energy will NOT fail the fit

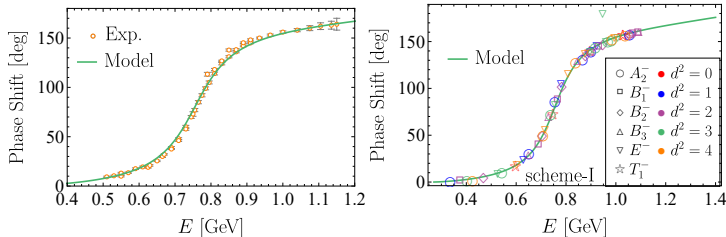
Application II: ρ -channel $\pi\pi$ scattering

- Reduced Bethe-Salpeter equation
- Phenomenological model: 3 para. (f , G_V and M_0) depict the δ in infinite volume

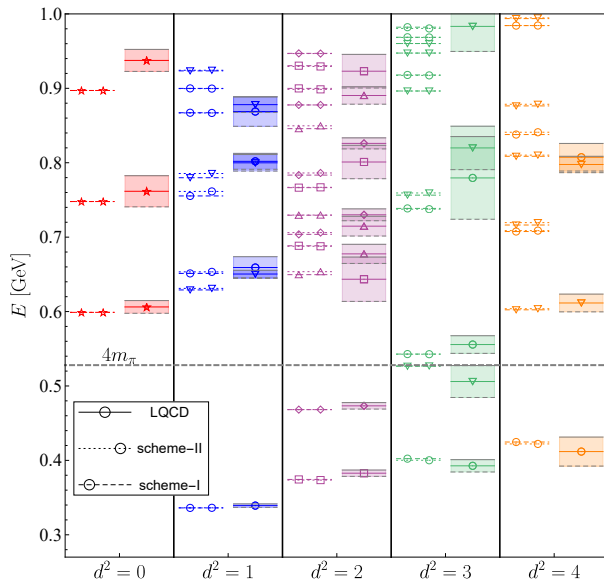
Chen:2012rp

$$V(\mathbf{p}, \mathbf{p}'; E) = -\frac{2\mathbf{p}\cdot\mathbf{p}'}{f^2} \left(1 + \frac{2G_V^2}{f^2} \frac{E^2}{M_0^2 - E^2} \right) \quad \text{only P-wave}$$

- Plane wave expansion of BSE in FV ($L = 4.3872$ fm)
- Energy-dependent potential $\Rightarrow$ root-finding algorithm $\Rightarrow$ energy level
- Lüscher formula works well (short range interaction without PW mixing)



Compare with and fit the lattice QCD results



• Lattice QCD results from ETMC

Fischer:2020fvl

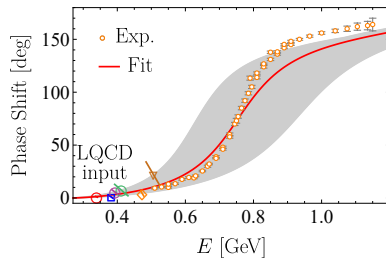
$\Rightarrow L = 4.3872, m_\pi = 132 \text{ MeV}$

• Compare our E^{FV} with LQCD results

• Fit the E^{LQCD} below $4m_\pi$

• Agree with Exp. results well

• Large uncertainty due to simple model

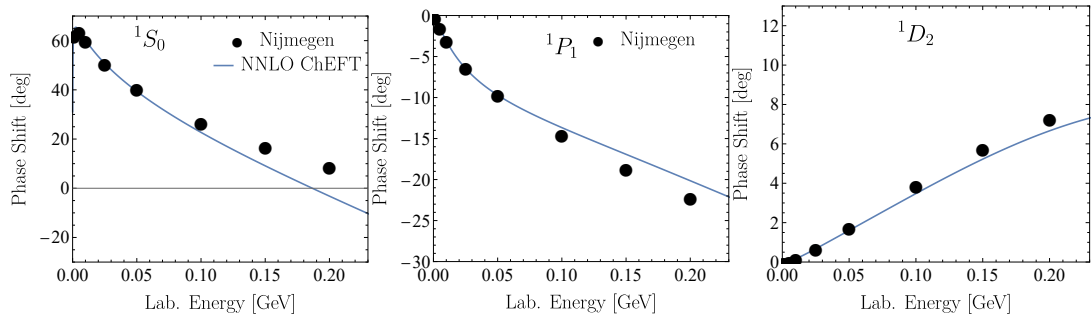


Summary

- A proof-of-principle of an alternative method of Lüscher's formula
- LSE or BSE in **plane wave** expansion+projection operator technique reduction to irreps
 - ⇒ Including partial wave mixing effect naturally, avoid complications of PW expansion
 - ⇒ Rest and moving two-particle systems
- Non-relativistic example: spin-singlet NN
 - ⇒ S-wave dominant states: LF works well for $L \gtrsim 5$ fm
 - ⇒ P-wave dominant states: OPE→large PW mixing effect regardless the box size
 - ⇒ **EFT-based approach in the plane wave basis**: $V_{1\pi} + V_{1h} \xrightleftharpoons[\text{data}]{\text{fit}} V_{1\pi} + V_{\text{cont}}^{(0)} + V_{\text{cont}}^{(2)} \dots$
 - ⇒ Advantages: 1) **insensitive to PW mixing artifact**; 2) **small box (long-range interaction)**
- Relativistic example: ρ -channel $\pi\pi$: compare and fit with LQCD results from ETM
- On-going: SMS NN force, $S = 1$, LF with PW mixing VS plane wave +EFT, Real LQCD NN data

Thanks for your attention!

Backup



Projection operator technique

- Identify the symmetric group and its elements and character table

$n \in \mathbb{Z}$	$n - d/2$	$\gamma^{-1} \left(n_1 - \frac{d}{2} \right) + n_\perp$
$d = (0,0,1)$		
$d = (1,1,0)$		

- Construct the unitary irrep matrices with character projection operation

$$\hat{P}^{\Gamma_a} \equiv \sum_{\alpha} \hat{P}_{\alpha\alpha}^{\Gamma_a} = \sum_{g_i \in G} \frac{N(\Gamma_a)}{n_G} \chi^{\Gamma_a}(g_i) \hat{D}(g_i), \quad \hat{P}^{\Gamma_a} |\psi\rangle = \sum_{\alpha} a_{\alpha}^{\Gamma_a} |\Gamma_a, \alpha\rangle$$

- Reduce the representation to the direct sum of irreps.

$$\hat{P}_{\alpha\beta}^{\Gamma_a} \equiv \sum_{g_i \in G} \frac{N(\Gamma_a)}{n_G} R_{\alpha\beta}^{\Gamma_a}(g_i)^* \hat{D}(g_i), \quad \hat{P}_{\alpha\alpha'}^{\Gamma_a} |\psi\rangle = a_{\alpha'}^{\Gamma_a} |\Gamma_a, \alpha\rangle.$$

$$\mathbf{p}^* = \mathbf{n}^* \frac{2\pi}{L}, \quad \mathbf{n}^* \in P_d$$
$$P_d = \{\gamma^{-1}(\mathbf{n}_{\parallel} - \frac{1}{2}\mathbf{d}) + \mathbf{n}_{\perp}\}, \quad \mathbf{n} \in Z^3$$

For $\mathbf{d} = (a, a, a)$: at most seven patterns

$$\{n_1, n_2, n_3\} = \{|n_1, n_2, n_3\rangle \text{ with permutations of } n_1, n_2, n_3 \text{ and changing signs}\}$$

For $\mathbf{d} = (0, 0, a)$ and $\mathbf{d} = (a, a, 0)$: at most eight pattern

$$\{n_1, n_2; n_3\} = \{|n_1, n_2, n_3\rangle \text{ with permutations of } n_1 \text{ and } n_2 \text{ and changing signs}\}.$$

Hamiltonian EFT:	PW LSE	→	discretize $ \mathbf{p} = \frac{2\pi}{L}n$	Hall:2013qba,Wu:2014vma,Liu:2015ktc
Our work:	3D LSE	→	discretize $\mathbf{p} = \frac{2\pi}{L}\mathbf{n}$	→ Reduce to irrep. Γ

$$V(\mathbf{p}, \mathbf{p}') = \sum_l \frac{2l+1}{4\pi} V_l(p, p') P_l(z)$$

$$T(\mathbf{p}, \mathbf{p}') = V(\mathbf{p}, \mathbf{p}') + \int \frac{d^3\mathbf{q}}{(2\pi)^3} V(\mathbf{p}, \mathbf{q}) G(q) T(\mathbf{q}, \mathbf{p}')$$

$$T^l(p, p') = V^l(p, p') + \int \frac{q^2 dq}{(2\pi)^3} V^l(p, q) G(q) T^l(q, p')$$

- For S-wave: $V_0(p, p') \neq 0$ and $V_l(p, p') = 0$ for $l > 0$; two approaches are the same
- For the higher PW, e.g.: $V(\mathbf{p}, \mathbf{p}') = \frac{3}{4\pi} V_1(p, p') P_1(z)$

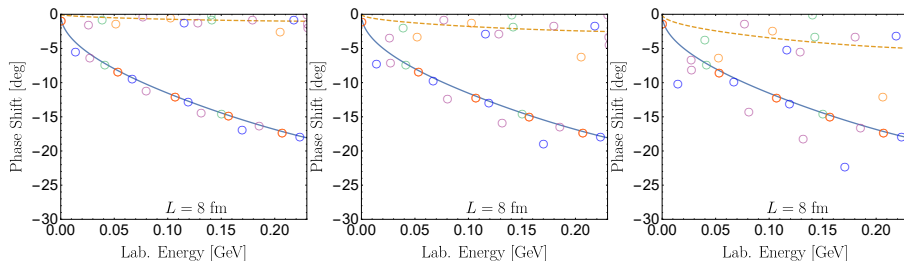
⇒ The Adelaide group in fact assume a

$$V(\boldsymbol{p}, \boldsymbol{p}') = \frac{1}{4\pi} \tilde{V}_0(p, p') = \frac{1}{4\pi} V_1(p, p')$$

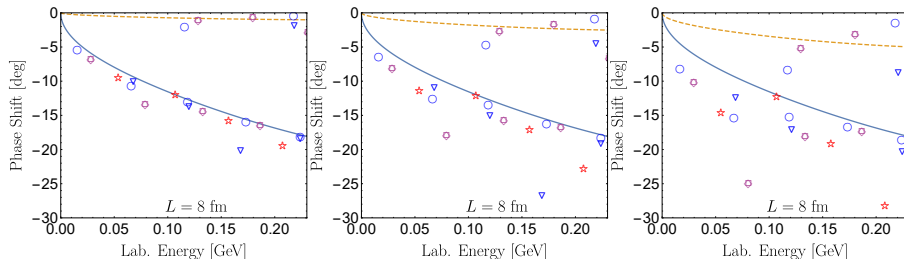
convergence of partial wave expansion

- Near-threshold behavior: $\delta_l \sim p_{\text{on}}^{2l}$
- Only valid below the t -channel singularity: $E_{\text{lab}} \sim \frac{2M_\pi^2}{m_N} \sim 10 \text{ MeV}$
- For higher energy, the convergence of partial wave expansion become slow
- E.g. To calculate differential cross section at $E_{\text{lab}} = 300 \text{ MeV}$ to 1% accuracy, $j_{\text{max}} = 16$ is needed

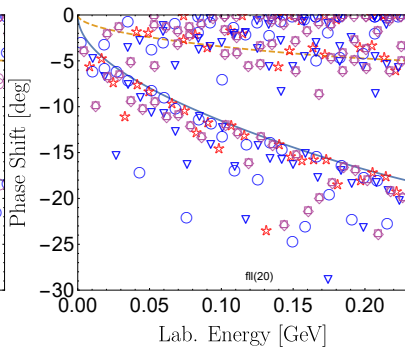
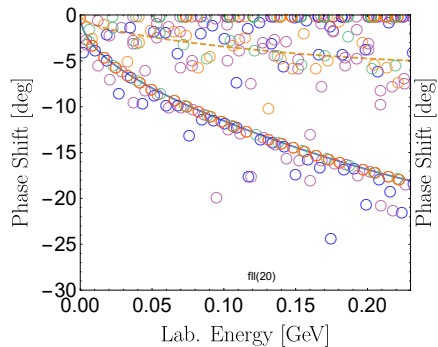
$$V(p, p', z) = \sum_l \frac{l+1}{4\pi} V_l P_l(z), \quad V_{l=0} = V_{l=1} = C_1, \quad V_{l=2} = V_{l=3} = C_2, \quad C_2 = 10c_2, 15c_2, 50c_2$$



S-D mixing



P-F mixing



$$\det[\mathbb{M}_\Gamma(E)] = 0$$

$$\Omega_\Gamma(E; \mu) \equiv \prod \frac{\lambda_{\Gamma,i}(E)}{\sqrt{\lambda_{\Gamma,i}(E)^2 + \mu^2}}, \quad \det [\mathbb{M}_\Gamma(E)] = \prod_i \lambda_{\Gamma,i}(E)$$

- root-finding: $\Omega_\Gamma(E; \mu) = 0$
- determinate residual method

$$\chi^2 = \sum_{\Gamma,i} \frac{\Omega_\Gamma(E_{\Gamma,i})^2}{\sigma[\Omega_\Gamma(E_{\Gamma,i})]^2},$$

Computational cost- an estimation

- For NN: $n^2 \leq 100$, $\dim \approx \frac{4}{3}\pi n^3 \approx 4000$, $\dim_\Gamma \approx 4000/12$
- For $\pi\pi$: $n^2 \leq 50$, $\dim \approx \frac{4}{3}\pi n^3 \approx 1500$, $\dim_\Gamma \approx 1500/12$
- Accelerating the calculation: eigenvector continuation??

Frame:2017fah

- Fit the FV energies with $V_{\text{EFT}} = V_{\text{OPE}}^{(0)} + V_{\text{cont}}^{(0)} + V_{\text{cont}}^{(2)} + V_{\text{cont}}^{(4)} + \dots$

$$V_{\text{cont}}^{(0)} = \frac{1}{4\pi} \tilde{C}_{1S_0}, \quad V_{\text{cont}}^{(2)} = \frac{1}{4\pi} C_{1S_0} (p^2 + p'^2)$$

$$V_{\text{cont}}^{(2)}(p, p', z) = \frac{3}{4\pi} C_{1P_1} pp' z, \quad V_{\text{cont}}^{(4)}(p, p', z) = \frac{3}{4\pi} D_{1P_1} pp' (p^2 + p'^2) z$$