

Fluctuations and phases in baryonic matter

[Brandes, Kaiser and Weise: Eur. Phys. J. A 57 (2021)]

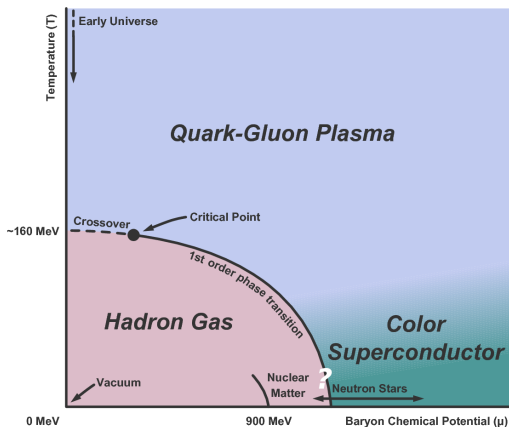
10th international workshop on
chiral dynamics

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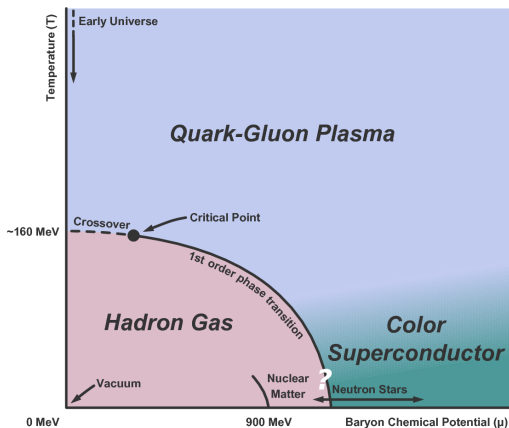
QCD phase diagram



[Kim and Yi: Adv. High Energy Phys. 2011 (2011)]

- ▶ **QCD vacuum:** confinement and spontaneous chiral symmetry breaking
 - Hadron degrees of freedom
- ▶ **Finite temperatures:** lattice QCD and heavy-ion collisions suggest crossover to quark-gluon phase around $T_c \sim 155\text{MeV}$
[Bazavov et al., HotQCD Collaboration, Phys. Rev. D 90 (2014)]
- ▶ **Finite densities:** liquid-gas phase transition at $T = 0\text{MeV}$ and $\mu_c = 923\text{MeV}$
- ▶ At very high densities perturbative QCD results imply quark and gluon d.o.f.
[Fukushima and Hatsuda, Repts. Prog. Phys. 74 (2011)]
 - Transition from nuclear matter to color superconductor still unknown

QCD phase diagram



[Kim and Yi: Adv. High Energy Phys. 2011 (2011)]

- ▶ For $\mu \neq 0$ lattice QCD unavailable because of sign problem
- ▶ Perturbative approaches such as ChEFT only valid up to $n \lesssim 2n_0$
[Holt, Rho and Weise, Phys. Rept. 621 (2016)]
- ▶ Calculations using Nambu & Jona-Lasinio (NJL) and PNJL models suggest **chiral first-order phase transition**
[Röbner et al., Nucl. Phys. A 814 (2008)]
- ▶ But: thermodynamics strongly influenced by fluctuations not included in mean-field analyses
[Drews and Weise, Prog.Part.Nucl.Phys. 93 (2017)]
- ▶ Measurements of heavy neutron stars $M \sim 2M_{\odot}$ make first-order phase transition unlikely
[Cromartie et al., Nature Astron. 4 (2019)]

Chiral nucleon-meson model

- ▶ Effect of fluctuations on the first-order phase transition in dense baryonic matter?
- ▶ $SU(2)_L \times SU(2)_R$ theory of fermion doublet $\Psi = (p, n)$
[Floerchinger and Wetterich, Nucl. Phys. A 890–891 (2012)]
- ▶ Interacting via chiral boson field $\phi = (\sigma, \boldsymbol{\pi})$

$$\mathcal{L} = \bar{\Psi} \left[\gamma_\mu \partial_\mu + g(\sigma + i\gamma_5 \boldsymbol{\tau} \cdot \boldsymbol{\pi}) \right] \Psi + \frac{1}{2} \left(\partial_\mu \sigma \partial_\mu \sigma + \partial_\mu \boldsymbol{\pi} \cdot \partial_\mu \boldsymbol{\pi} \right) + \mathcal{U}(\sigma, \boldsymbol{\pi}) + \Delta\mathcal{L}$$

- ▶ Potential depends on chiral invariant, $\chi \equiv \frac{1}{2} \phi^\dagger \phi = \frac{1}{2} (\sigma^2 + \boldsymbol{\pi}^2)$ (expanded around v.e.v. χ_0), and explicit symmetry breaking

$$\mathcal{U}(\sigma, \boldsymbol{\pi}) = \sum_{n=1}^4 \frac{a_n}{n!} (\chi - \chi_0)^n - m_\pi^2 f_\pi (\sigma - f_\pi)$$

- ▶ Short distance dynamics modeled by massive vector fields v_μ and \mathbf{w}_μ
→ Time-independent, homogeneous background fields

$$\Delta\mathcal{L} = -\Psi^\dagger [g_v v + g_w \tau_3 \mathbf{w}] \Psi - \frac{1}{2} m_v^2 (v^2 + \mathbf{w}^2)$$

Mean-field thermodynamics

- ▶ Introduce T and $\mu_{p/n}$ and determine the grand canonical potential in **mean-field** (MF) approximation

$$\Omega_{MF} = \Omega_F(T, \mu_p, \mu_n; \langle \sigma \rangle, v, w) + \mathcal{U}(\langle \sigma \rangle, \langle \boldsymbol{\pi} \rangle = 0) - \frac{1}{2} m_v^2 (v^2 + w^2)$$

- ▶ Assume no pion condensation $\langle \boldsymbol{\pi} \rangle = 0$
- ▶ Fermionic part with $E = \sqrt{p^2 + M^2(\sigma)}$ and dynamical nucleon mass $M(\sigma) = g\langle \sigma \rangle$

$$\Omega_F = -2 \sum_{i=p,n} \int \frac{d^3p}{(2\pi)^3} \left[E + \frac{p^2}{3E} \sum_{r=\pm 1} n_F(E - r\bar{\mu}_i) \right]$$

with

$$n_F(E \mp \bar{\mu}_i) = \left[\exp\left(\frac{E \mp \bar{\mu}_i}{T}\right) + 1 \right]^{-1} \quad \bar{\mu}_{p/n} = \mu_{p/n} - g_v v \mp g_w w$$

- ▶ Grand canonical potential at minimum yields thermodynamic observables

$$P = -\Omega_{MF} \quad s = -\frac{\partial \Omega_{MF}}{\partial T} \quad n_i = -\frac{\partial \Omega_{MF}}{\partial \mu_i} \quad \varepsilon = -P + \sum_{i=p,n} \mu_i n_i + Ts$$

Vacuum fluctuations

- ▶ Vacuum term in fermionic contribution

$$\delta\Omega_{vac} = -4 \int \frac{d^3p}{(2\pi)^3} E$$

→ Neglected in mean-field analyses

- ▶ Can be computed via dimensional regularisation

[Skokov et al., Phys. Rev. D 82 (2010)]

$$\delta\Omega_{vac} = \frac{M^4}{8\pi^2} \left(\frac{2}{4-d} + \frac{3}{2} - \gamma_E - \ln \frac{M^2}{4\pi\Lambda^2} \right)$$

- ▶ **Extended mean-field theory (EMF)**

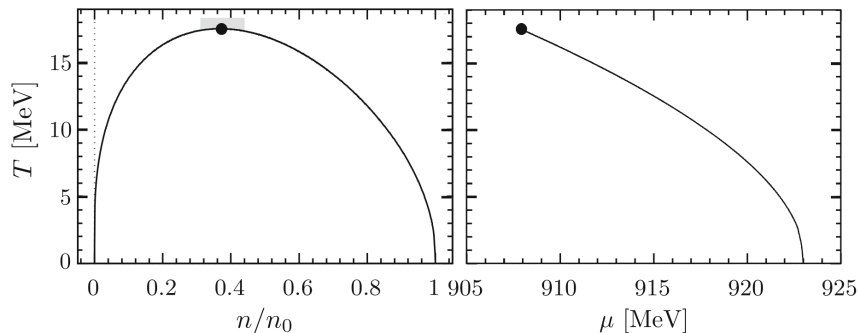
$$\Omega_{EMF} \equiv \Omega_{MF} - \frac{(g\sigma)^4}{4\pi^2} \ln \frac{g\sigma}{\Lambda}$$

- ▶ Minimization of Ω_{EMF}/Ω_{MF} leads to coupled differential equations

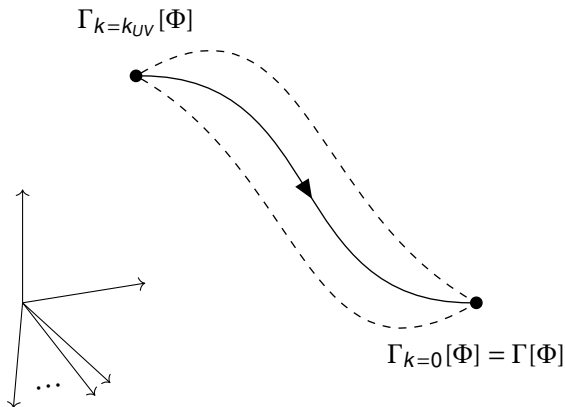
→ Solved at given temperature and chemical potentials

Parameter fixing

- ▶ In vacuum:
 - ▶ Pressure vanishes at its minimum at $\langle\sigma\rangle_{vac} = f_\pi$
 - ▶ $M_N = 939\text{MeV}$
- ▶ Nuclear phenomenology:
 - ▶ $E/A(n_0) = -16\text{MeV}$
 - ▶ $S(n_0) = 32\text{MeV}$
 - ▶ Nuclear **liquid-gas phase transition** with empirical critical parameters [Elliot et al., Phys. Rev. C 87 (2013)]
 - ▶ Nuclear surface tension Σ , Landau mass M_L^* and compression modulus K



Functional renormalisation group



- ▶ Other soft degrees of freedom (such as chiral boson and nucleon loops)

→ Use non-perturbative **functional renormalization group (FRG)** approach

- ▶ Initialize effective action $\Gamma_k[\Phi]$ at $k_{UV} \sim 4\pi f_\pi$
- ▶ Evolution $k \rightarrow 0$ governed by Wetterich's flow equation
[Wetterich, Phys. Lett. B 301 (1993)]

$$k \frac{\partial \Gamma_k[\Phi]}{\partial k} = \frac{1}{2} \text{Tr} \left[k \frac{\partial R_k}{\partial k} \cdot \left(\Gamma_k^{(2)}[\Phi] + R_k \right)^{-1} \right] = \frac{1}{2} \text{Tr} \left[\text{circle with a dot} \right]$$

→ $\Gamma_k[\Phi]$ contains all fluctuations $p^2 \geq k^2$ through regulator $R_k(p)$

- ▶ Need truncation to include only relevant operators

Functional renormalisation group

► Chiral nucleon-meson model

[Drews and Weise: Prog. Part. Nucl. Phys. 93 (2017)]

$$\Gamma_k = \int_0^{1/T} dx_4 \int d^3x \left\{ \bar{\Psi} \left[\gamma_\mu \partial_\mu + g(\sigma + i\gamma_5 \boldsymbol{\tau} \cdot \boldsymbol{\pi}) \right] \Psi + \Psi^\dagger (\boldsymbol{\mu} - g_v \mathbf{v} - g_w \tau_3 \mathbf{w}) \Psi + \frac{1}{2} (\partial_\mu \sigma \partial_\mu \sigma + \partial_\mu \boldsymbol{\pi} \cdot \partial_\mu \boldsymbol{\pi}) + \mathcal{U}_k(T, \mu_p, \mu_n; \sigma, \boldsymbol{\pi}, \mathbf{v}, \mathbf{w}) \right\}$$

► Effective potential

$$\mathcal{U}_k = \mathcal{U}_k^{(0)}(\chi) - m_\pi^2 f_\pi (\sigma - f_\pi) - \frac{1}{2} m_v^2 (v^2 + w^2)$$

► Chirally symmetric potential

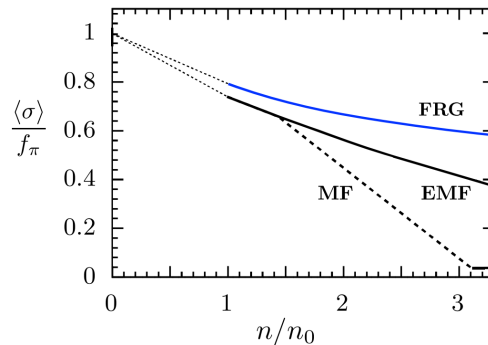
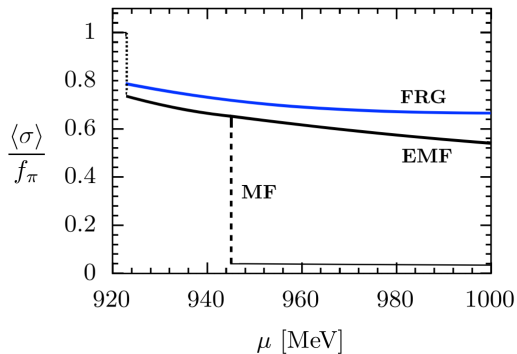
$$\mathcal{U}_k^{(0)}(\chi) = \sum_{n=0}^4 \frac{a_n(k)}{n!} (\chi - \chi_0)^n$$

- Flow equation leads to set of coupled differential equations for the coefficients $a_n(k)$
- Determine grand canonical potential

$$\Omega_{FRG}(T, \mu_p, \mu_n) = \mathcal{U}_{k=0}(T, \mu_p, \mu_n; \bar{\sigma}, \bar{\mathbf{v}}, \bar{\mathbf{w}})$$

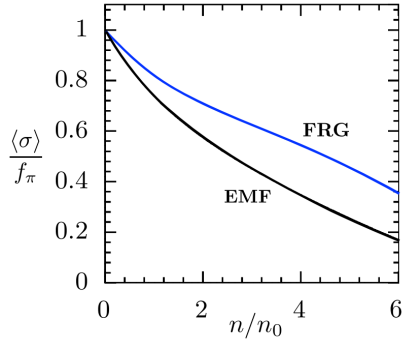
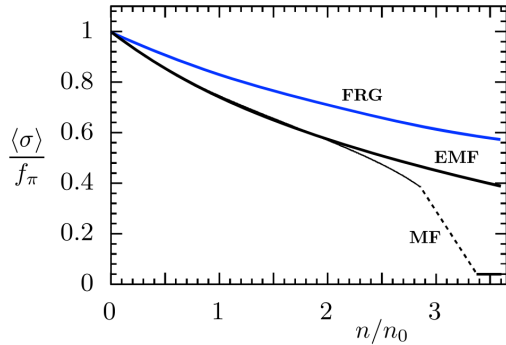
Symmetric nuclear matter

- ▶ $\langle \sigma \rangle(\mu)$ compared to $\langle \sigma \rangle(\mu = 0) = \langle \sigma \rangle_{vac} = f_\pi$ serves as **chiral order parameter**
- ▶ Results for symmetric nuclear matter:
 - ▶ **Mean-field:** unphysical first-order phase transition at $n \simeq 1.5 n_0$
 - ▶ **Extended mean-field:** vacuum contribution stabilizes order parameter
 - ▶ **FRG:** further stabilization through additional fluctuations



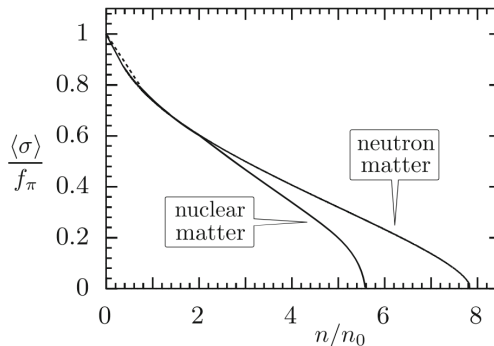
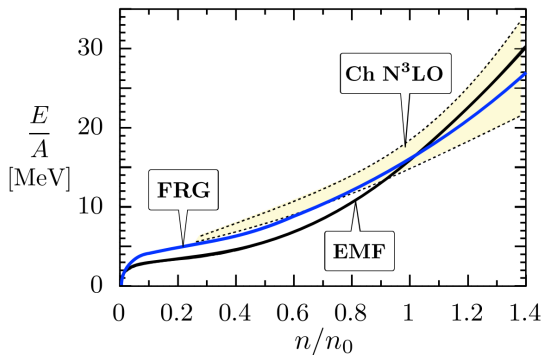
Pure neutron matter

- ▶ Similar results for pure neutron matter
 - Smooth **crossover** at large densities
- ▶ Model adjusted to low-density properties, potential expanded around $\chi_0 = 1/2 f_\pi^2$
 - For small $\langle \sigma \rangle / f_\pi$ model no longer applicable
- ▶ In FRG stays around 40% until $n \sim 6n_0$ (central densities in heavy neutron stars!)



Results

- ▶ Good agreement with pure neutron matter E/A from ChEFT calculations
[Drischler, Hebeler and Schwenk, Phys. Rev. Lett. 122 (2019)]
- ▶ In chiral limit $m_\pi \rightarrow 0$ crossover turns into second-order phase transition
- ▶ Very similar behaviour in chiral quark-meson models (with Polyakov loop)
→ Mean-field chiral restoration avoided by vacuum fluctuations and in FRG
[Zacchi and Schaffner-Bielich, Phys. Rev. D 97 (2018)] [Gupta and Tiwari, Phys. Rev. D 85 (2012)]



Summary

- ▶ Chiral $SU(2)_L \times SU(2)_R$ nucleon-meson model reproduces empirical nuclear properties and liquid-gas phase transition
- ▶ **Mean-field**: chiral first-order phase transition at unphysical low densities
- ▶ **Extended mean-field**: included vacuum contribution
 - Chiral symmetry remains spontaneously broken up to higher densities
- ▶ **Functional renormalisation group**: even stronger stabilization against chiral restoration
- ▶ Similar results for chiral quark-meson models
 - [Fluctuations convert first-order phase transitions to a crossover](#)

Parameters

- ▶ Extended mean-field:

$$m_\sigma = 617.6 \text{ MeV} \quad G_V = 5.88 \text{ fm}^2 \quad G_W = 0.97 \text{ fm}^2 \quad a_3 = 2.16 \cdot 10^{-1} \text{ MeV}^{-2} \quad a_4 = -5.29 \cdot 10^{-5} \text{ MeV}^{-4}$$

- ▶ Functional renormalisation group:

[Drews and Weise, Prog.Part.Nucl.Phys. 93 (2017)]

$$m_\sigma = 770 \text{ MeV} \quad G_V = 4.04 \text{ fm}^2 \quad G_W = 1.12 \text{ fm}^2 \quad a_3 = 5.55 \cdot 10^{-3} \text{ MeV}^{-2} \quad a_4 = 8.38 \cdot 10^{-5} \text{ MeV}^{-4}$$

- ▶ Evolution $k \rightarrow 0$ results in downward shift of sigma mass

$$m_\sigma^{IR} = \sqrt{\mathcal{U}'_{k=0}(\chi_0) + 2\chi_0 \mathcal{U}''_{k=0}(\chi_0)} \simeq 0.6 \text{ GeV}$$

→ Pole in isoscalar s-wave $\pi\pi$ scattering amplitude $m_\sigma \simeq 0.44 \text{ GeV}$

[Caprini, Colangelo and Leutwyler, Phys. Rev. Lett. 96 (2006)]

- ▶ Repulsive short-range nucleon-nucleon interaction G_V larger in EMF

→ Approach does not account for high-momentum fluctuation effects

Phenomenology

- ▶ Empirical critical parameters of liquid-gas phase transition: [Elliot et al., Phys. Rev. C 87 (2013)]

$$T_{\text{crit}} = 17.9 \pm 0.4 \text{ MeV} \quad P_{\text{crit}} = 0.31 \pm 0.07 \text{ MeV/fm}^3 \quad n_{\text{crit}} = 0.06 \pm 0.01 \text{ fm}^{-3}$$

- ▶ EMF:

$$T_{\text{crit}} = 17.5 \text{ MeV} \quad P_{\text{crit}} = 0.33 \text{ MeV/fm}^3 \quad n_{\text{crit}} = 0.06 \text{ fm}^{-3} \quad \mu_{\text{crit}} = 908 \text{ MeV}$$

- ▶ Nuclear surface tension:

$$\Sigma = \int_{\langle \sigma \rangle_0}^{f_\pi} d\sigma \sqrt{2\Omega_{EMF}(\sigma)} = 1.1 \text{ MeV/fm}^2$$

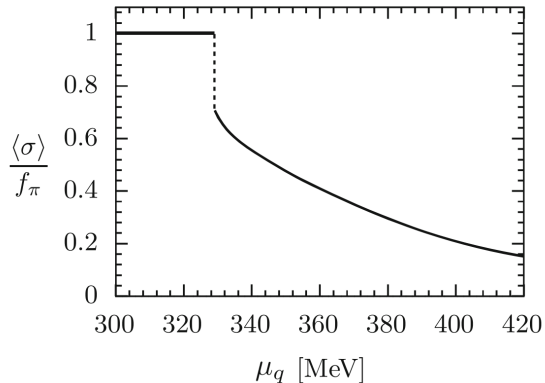
- ▶ Landau effective mass:

$$M_L^* = \sqrt{p_F^2 + (g\langle \sigma \rangle_0)^2} = \mu_0 - G_V n_0 = 0.79 M_N$$

- ▶ Compression modulus:

$$K = 9n \left(\frac{dn}{d\mu} \right)^{-1} \Bigg|_{n=n_0} = 282 \text{ MeV}$$

Chiral quark-meson model



- ▶ Isospin $SU(2)$ doublet quark field, $\psi = (u, d)$ replaces the nucleon field Ψ

- ▶ Effective potential:

$$\mathcal{U}_k = \mathcal{U}_k^{(0)}(\chi) - c\sigma - \frac{1}{2}m_V^2(v^2 + w^2)$$

$$\mathcal{U}_{k=\Lambda}^{(0)} = m_\Lambda^2 \chi + \lambda \chi^2$$

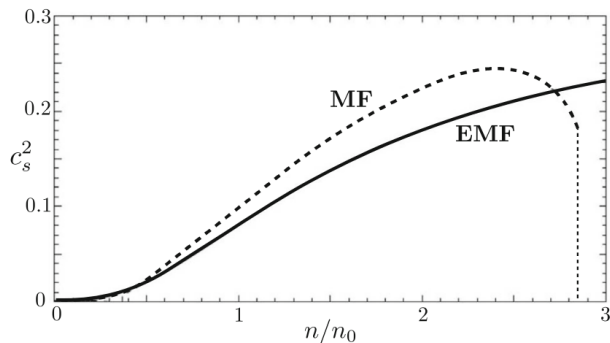
→ Parameters fixed to reproduce m_π and f_π

- ▶ Liquid-gas transition in matter formed by constituent quarks
- ▶ Chiral first-order phase transition converted to smooth crossover by fluctuations

[Tripolt et al. Phys. Rev. D 97 (2018)]

Neutron stars

- ▶ Speed of sound $c_s^2 = \frac{\partial P}{\partial \varepsilon}$



- ▶ Extended mean-field supports only $M \lesssim 1.84 M_\odot$
 - Adding two orders to polynomial expansion of the potential gives $M \lesssim 2.14 M_\odot$
- ▶ FRG can support $M \lesssim 1.97 M_\odot$ (without additional parameters!)
[Drews and Weise, Prog. Part. Nucl. Phys. 93 (2017)]