

# Experimental Status for Leptonic and Semileptonic Charm Decays

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**Institute of High energy Physics**

**Topic Seminar on Frontier of Particle Physics:  
Charm and Charmonium Physics, 2010,  
Aug. 27-31, Beijing China**

# Outline of the lectures

- ❖ Introduction
- ❖ Leptonic  $D/D_s$  decays and decay constants
- ❖ Semileptonic  $D$  decay and form factor
- ❖ Rare and forbidden Charm decays

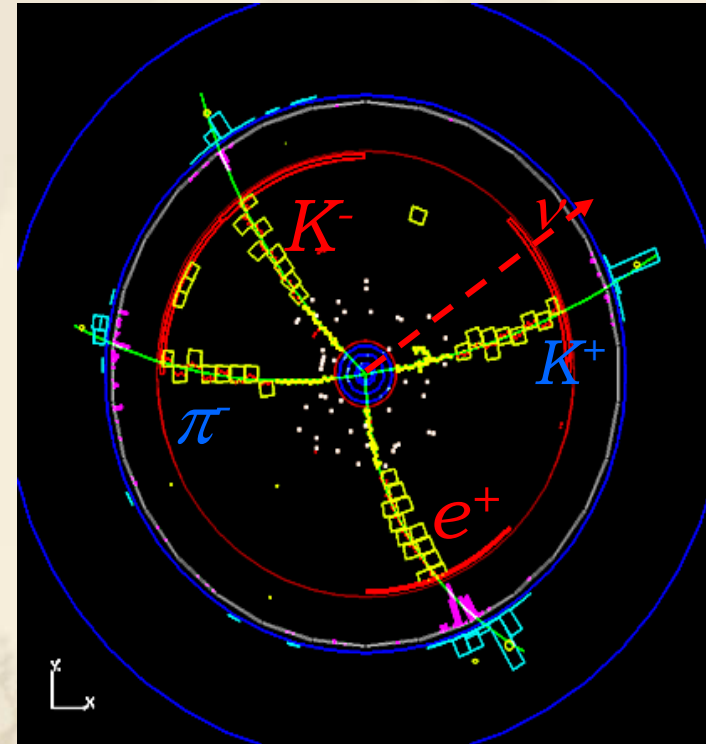
Thanks to my colleagues from CLEO, BABAR and Belle:

R. Breire, S. T'Jampens, Ian Shipsey, D.M.Asner,  
D. Cronin-Hennessy, R. Poling, Peter Zweber, K.M. Ecklund, S.Stone,  
M. Pappagallo, D. G. Cassel and many others.

Theorists: D.N. Gao, J. F. Kamenik, Z.z.Xing, M.Z.Yang

# Why Charm?

- Why Charm is unique to test QCD in low energy?
- Why Charm allows us to overconstrain CKM in B decays?
- Why Charm can be used to probe New Physics beyond Standard Model?

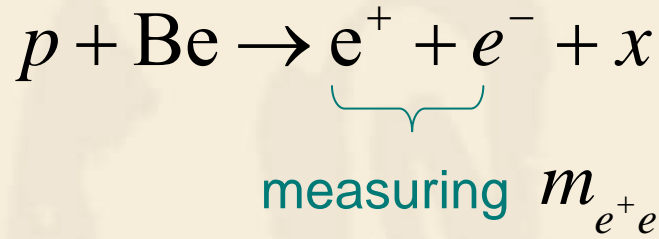


In this lecture, I will mention the experimental techniques and systematic analysis in detail. Challenging BESIII data to reach high precision.

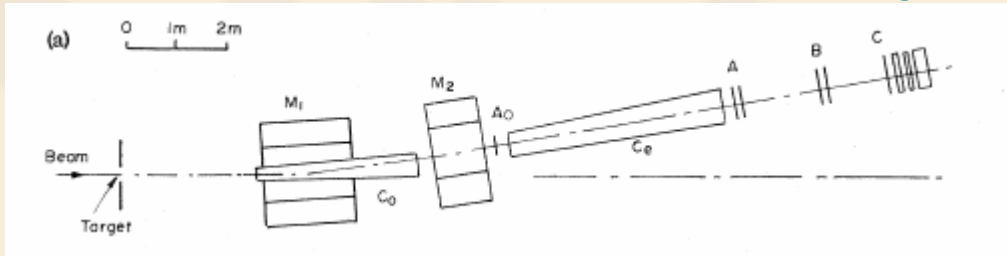
$$\psi(3770) \rightarrow D^0 \bar{D}^0$$
$$\bar{D}^0 \rightarrow K^+ \pi^-, D^0 \rightarrow K^- e^+ \nu$$

# Charm in 1974:

Charm discovery at BNL in 1974



Ting



$J/\psi$ :  $M=3.1\text{GeV}$ ,  $\Gamma \leq 1.3\text{MeV}$

Broad band probe, clean final state

EW LETTERS 2 DECEMBER 1974

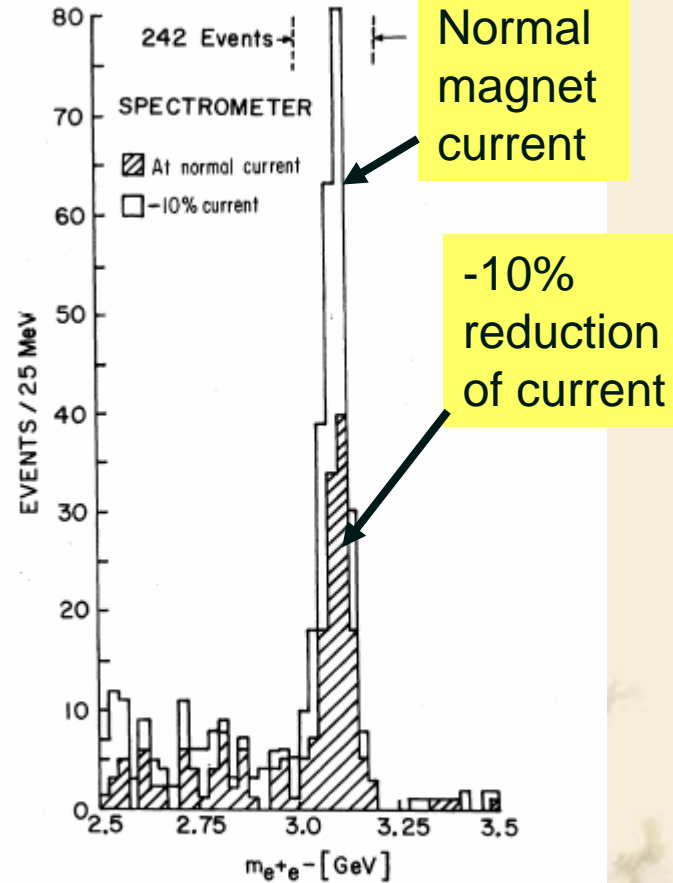
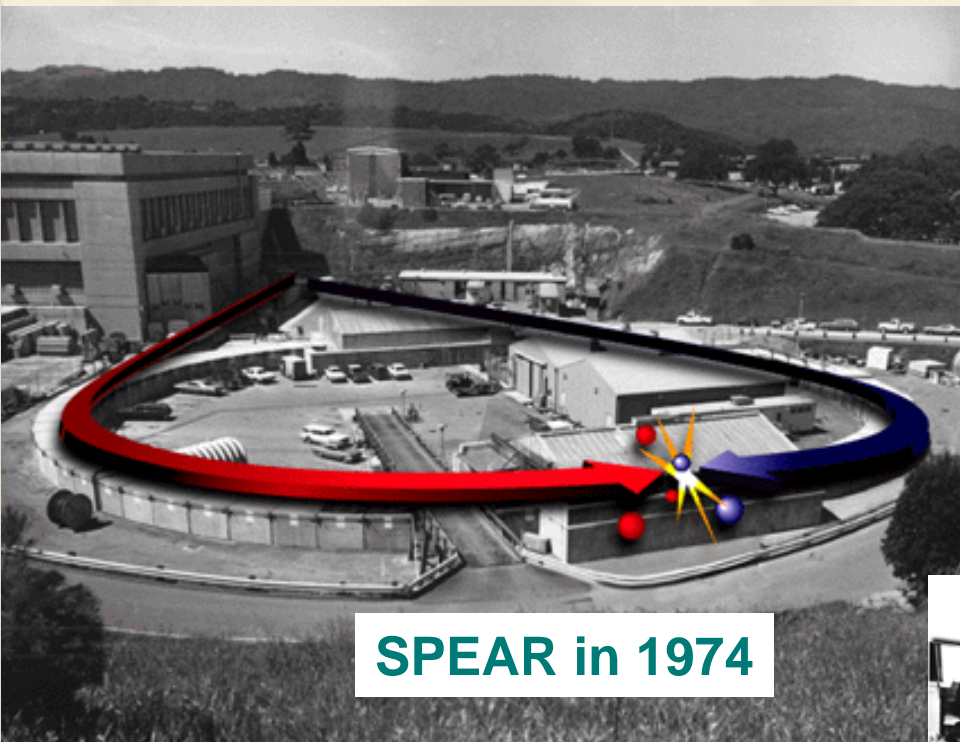


FIG. 2. Mass spectrum showing the existence of  $J/\psi$ . Results from two spectrometer settings are plotted showing that the peak is independent of spectrometer currents. The run at reduced current was taken two months later than the normal run.

# Charm in November 1974

## Charm discovery at SLAC in November 1974

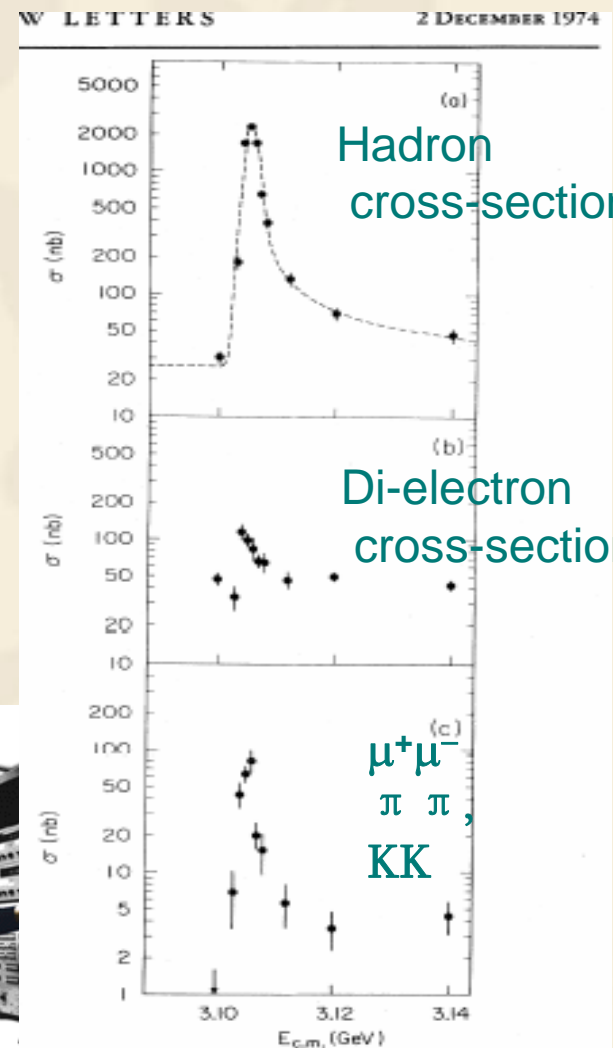


$$e^+ + e^- \rightarrow \text{hadrons}, e^+e^-, \mu^+\mu^-, \dots$$

$J/\psi$  width  $\ll 2$  MeV (beam width)



**Goldhaber, Perl, Richter 1974**



# Charm in November 1974

The ADONE e+e- collider at Frascati in Italy was designed at a maximum center-of-mass energy 3.0GeV.

That was badly unfortunate, the energy was just below the edge of discovering this particle.

Immediately after receiving the news of  $J/\psi$  observation, they boosted the energy beyond design limits, ...

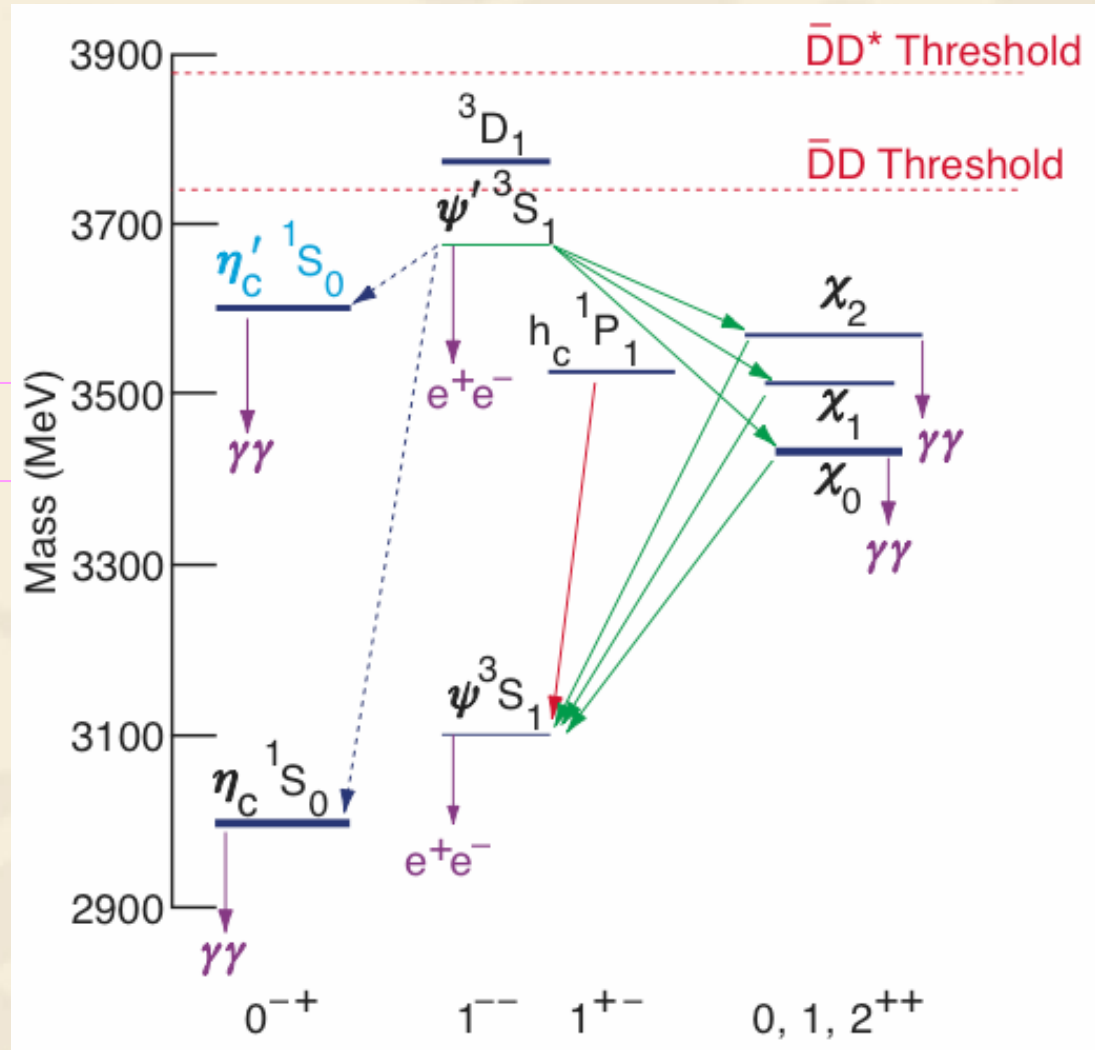
Phys. Rev. Lett. 33 (1974) 1404;  
Phys. Rev. Lett. 33 (1974) 1406;  
Phys. Rev. Lett. 33 (1974) 1408;

**November Revolution!**

# Charmonium family

Open Charm

$\Psi(2S)$  -- 3.686 GeV



$L=0$



$L=1$

# D meson in 1976

$D^0 (c\bar{u})$   
 $D^+ (c\bar{d})$

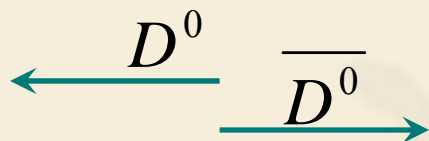
D meson discovered 1976 Goldhaber/Trilling

At  $\Psi'' = \Psi(3S) = \Psi(3770)$

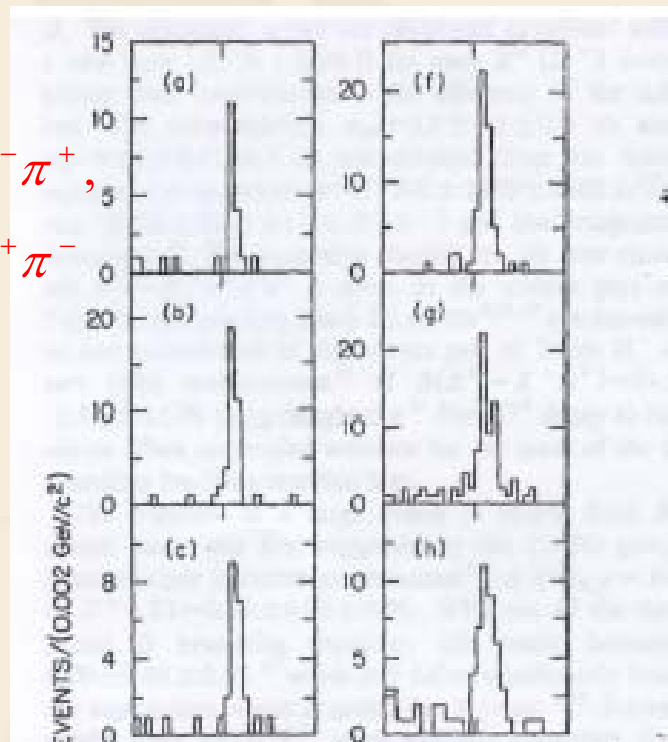
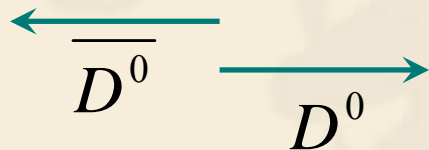
$$\Psi'' \rightarrow D^0 \bar{D}^0, D^+ D^- \quad D^0 \rightarrow K^- \pi^+, \bar{D}^0 \rightarrow K^+ \pi^-$$



$$\Psi'' \rightarrow L = 1$$



$$+(-1)^L$$



PRL37(1976)255

$$m_{D^0} = 1864.84 \pm 0.17 \text{ MeV}; m_{D^+} = 1869.62 \pm 0.20 \text{ MeV}$$



# Theoretical side

From Mao-zhi Yang

Originally there are three quarks in the quark model, a theory based on the SU(3) symmetry of hadrons, in 1960s.

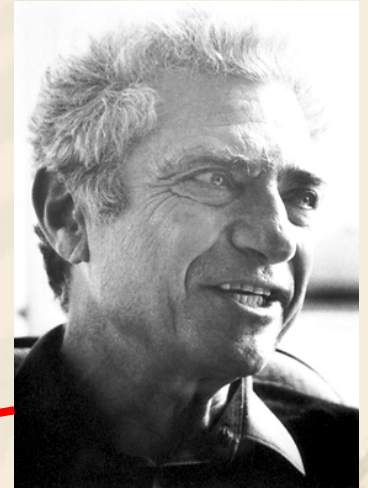
$$\begin{pmatrix} u \\ d \end{pmatrix} \quad S$$

But there are at least two leptons and two neutrinos since 1962 when people knew  $\nu_e$  and  $\nu_\mu$  are not the same.

$$\begin{pmatrix} \nu_e \\ e \end{pmatrix} \quad \begin{pmatrix} \nu_\mu \\ \mu \end{pmatrix}$$

The number of quarks and leptons are unsymmetrical.

Jack Steinberger



# Predicted charm quark

From Mao-zhi Yang

A theory of weak interaction with four quarks was proposed by Bjorken, Glashow, Iliopoulos and Maiani in the mid-1960 and early 1970s

**Phys. Lett. 11 (1964) 255;**

**Phys. Rev. D2 (1970) 1285**

PHYSICAL REVIEW D

VOLUME 2, NUMBER 7

1 OCTOBER

## Weak Interactions with Lepton-Hadron Symmetry\*

S. L. GLASHOW, J. ILIOPOULOS, AND L. MAIANI†

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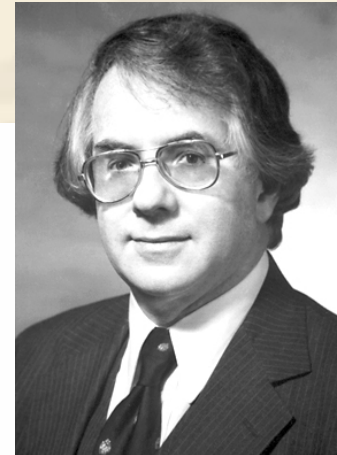
(Received 5 March 1970)

We propose a model of weak interactions in which the currents are constructed out of four basic quark fields and interact with a charged massive vector boson. We show, to all orders in perturbation theory, that the leading divergences do not violate any strong-interaction symmetry and the next to the leading divergences respect all observed weak-interaction selection rules. The model features a remarkable symmetry between leptons and quarks. The extension of our model to a complete Yang-Mills theory is discussed.

### INTRODUCTION

**W**EAKE-INTERACTION phenomena are well described by a simple phenomenological model

may readily be extended to a massive Yang-Mills model, which may be amenable to renormalization with modern techniques. The second problem concerns the selection rules and the relationships among coupling



Sheldon Glashow

# The fourth quark

From Mao-zhi Yang

The fourth quark charm was introduced.

Then two families are formed due to the structure of the charged current of weak interaction of quarks.

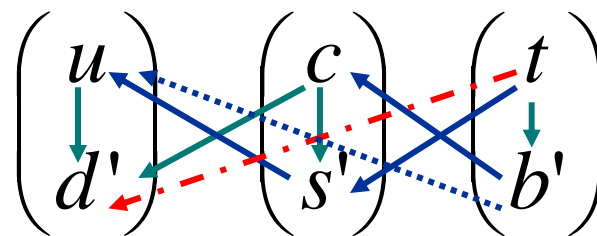
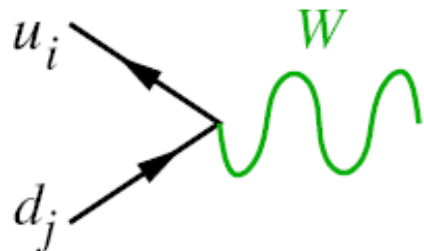
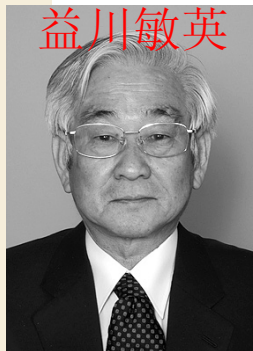
$$\begin{array}{l} \text{Charge: } +2/3 \\ -1/3 \end{array} \begin{array}{c} \left( \begin{array}{c} u \\ d' \end{array} \right) \\ \left( \begin{array}{c} \nu_e \\ e \end{array} \right) \end{array} \quad \begin{array}{c} \left( \begin{array}{c} c \\ s' \end{array} \right) \\ \left( \begin{array}{c} \nu_\mu \\ \mu \end{array} \right) \end{array}$$

The properties of charm quark are the same as up, except for the mass.

The weak interactions of quarks and leptons are highly symmetric in this theory.

# Weak interaction and CKM in Standard Model

In the quark sector of the SM, weak eigenstates  $\neq$  Mass eigenstates



with the unitary Cabibbo-Kobayashi-Maskawa matrix:



$$V_{CKM} = \begin{bmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{bmatrix} \quad \begin{pmatrix} d' \\ s' \\ b' \end{pmatrix} = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix} \begin{pmatrix} d \\ s \\ b \end{pmatrix}$$

$$= \begin{bmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta} \\ -s_{12}c_{23} - c_{12}s_{23}s_{13}e^{i\delta} & c_{12}c_{23} - s_{12}s_{23}s_{13}e^{i\delta} & s_{23}c_{13} \\ s_{12}s_{23} - c_{12}c_{23}s_{13}e^{i\delta} & -c_{12}s_{23} - s_{12}c_{23}s_{13}e^{i\delta} & c_{23}c_{13} \end{bmatrix}$$

3 generations  $\implies$  complex phase, source of  $CP$ -violation in SM

# The Wolfenstein parameterizations

L. Wolfenstein, Phys. Rev. Lett. 51, 1945 (1983)

$$V = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix}$$

$$\begin{aligned} s_{12} &\equiv \lambda, \\ s_{23} &\equiv A\lambda^2, \\ s_{13}e^{-i\delta} &\equiv A\lambda^3(\rho - i\eta) \end{aligned}$$

$$= \begin{pmatrix} 1 - \frac{1}{2}\lambda^2 & \lambda & A\lambda^3(\rho - i\eta) \\ -\lambda & 1 - \frac{1}{2}\lambda^2 & A\lambda^2 \\ A\lambda^3(1 - \rho - i\eta) & -A\lambda^2 & 1 \end{pmatrix}^{\lambda=0.23}$$

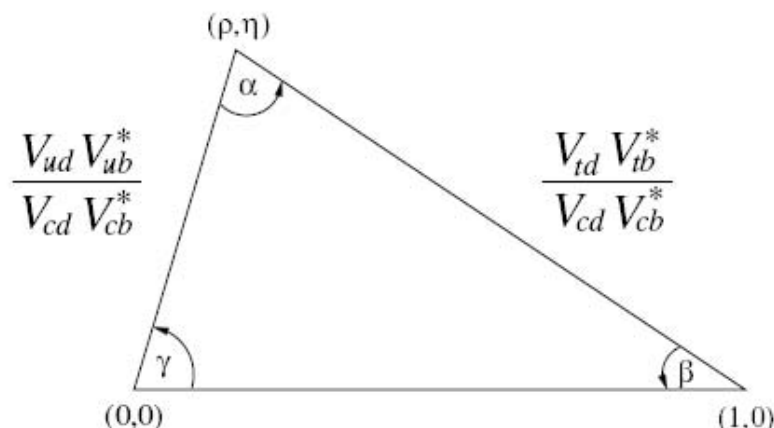
+  $\mathcal{O}(\lambda^4)$ .

$$\lambda^2 = \frac{|V_{us}|^2}{|V_{ud}|^2 + |V_{us}|^2}, \quad A^2\lambda^4 = \frac{|V_{cb}|^2}{|V_{ud}|^2 + |V_{us}|^2}, \quad \bar{\rho} + i\bar{\eta} = -\frac{V_{ud}V_{ub}^*}{V_{cd}V_{cb}^*}$$

# CKM unitarity

Unitarity of the CKM matrix

$$V = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix}$$



*B<sub>d</sub> triangle*

Many unitarity relations, related to four hadrons (top excluded)

- $B_d$  meson :  $V_{ud} V_{ub}^* + V_{cd} V_{cb}^* + V_{td} V_{tb}^* = 0 \quad (\lambda^3, \lambda^3, \lambda^3)$
- $B_s$  meson :  $V_{us} V_{ub}^* + V_{cs} V_{cb}^* + V_{ts} V_{tb}^* = 0 \quad (\lambda^4, \lambda^2, \lambda^2)$
- $K$  meson :  $V_{ud} V_{us}^* + V_{cd} V_{cs}^* + V_{td} V_{ts}^* = 0 \quad (\lambda, \lambda, \lambda^5)$
- $D$  meson :  $V_{ud} V_{cd}^* + V_{us} V_{cs}^* + V_{ub} V_{cb}^* = 0 \quad (\lambda, \lambda, \lambda^5)$

# CKM determination

In SM, weak-charged transitions mix quarks of different generations

↳ CKM matrix: free parameters determined experimentally

- Once we assume unitarity, the CKM matrix can be completely determined using only tree-level CC amplitudes:  $\Gamma \propto |V_{ij}|^2$
- The only CKM elements we cannot access via tree-level processes are  $V_{ts}$  and  $V_{td}$ .

$$V_{\text{CKM}} = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix} = \begin{pmatrix} n \begin{array}{c} e^- \\ \bar{\nu} \\ p \end{array} & K \begin{array}{c} \ell^- \\ \bar{\nu} \\ \pi \end{array} & B \begin{array}{c} \ell^- \\ \bar{\nu} \\ \pi \end{array} \\ D \begin{array}{c} \ell^- \\ \bar{\nu} \\ \pi \end{array} & D \begin{array}{c} \ell^- \\ \bar{\nu} \\ K \end{array} & B \begin{array}{c} \ell^- \\ \bar{\nu} \\ D \end{array} \\ B^0 \begin{array}{c} \bar{B}^0 \end{array} & B_s \begin{array}{c} \bar{B}_s \end{array} & t \begin{array}{c} W \\ b \end{array} \end{pmatrix}$$

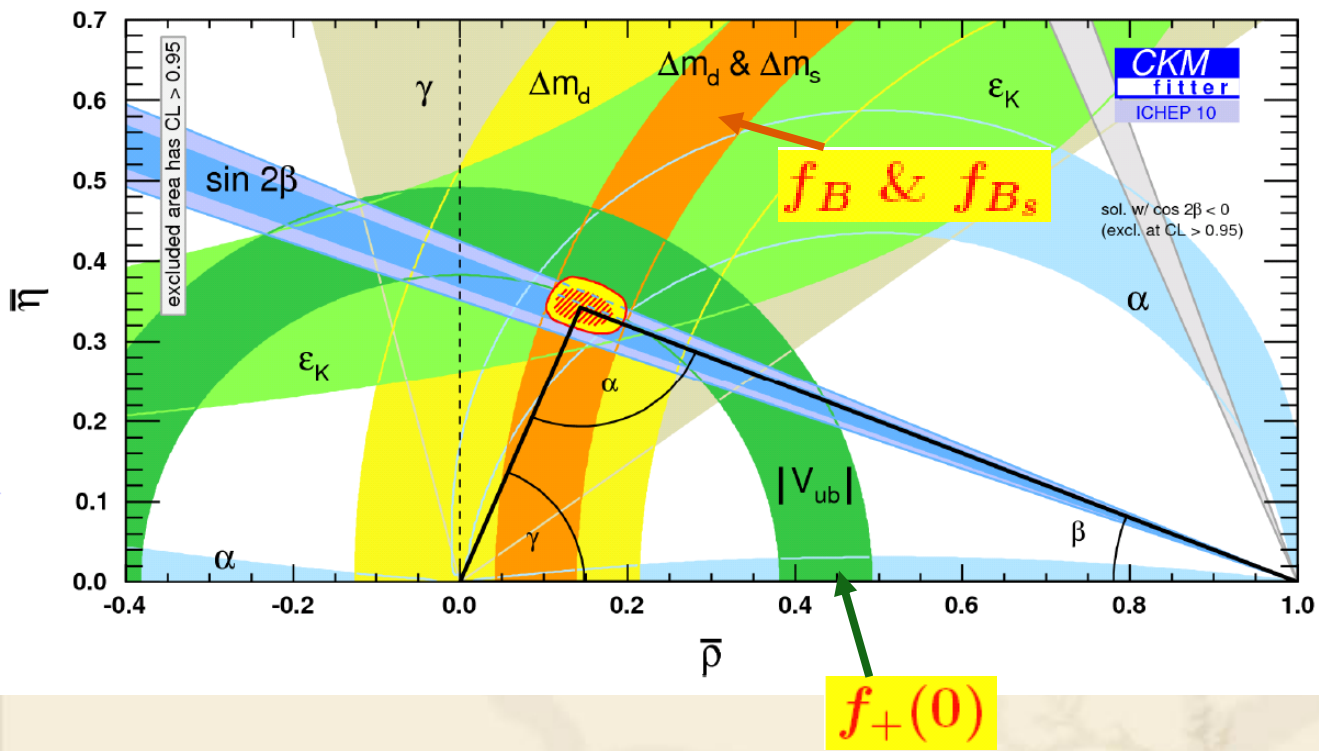
From S. T'Jampens ICHEP2010



Kobayashi & Maskawa,  
Prog.Theor.Phys.49 (1973) 652  
Cited 6032 times (SPIRES)

# Precision theory + charm

From S. T'Jampens ICHEP2010  
For CKM fitter group



**Theoretical errors  
dominate width of  
bands**



*precision* QCD calculations tested with *precision* charm data at threshold

→ theory errors of a few % on B system decay constants & semileptonic form factors

New Physics

2017?  
year



# Charm Physics: The Context

This  
Decade

Flavor physics is in the “sin  $2\beta$  era’ akin to precision Z.  
Over constrain CKM matrix with precision measurements  
Discovery potential is limited by systematic errors  
from non-pert. QCD

The  
Future

LHC may uncover the physics Beyond the Standard Model.  
an outstanding challenge to theory.  
Critical need: reliable theoretical techniques  
& detailed data to calibrate them

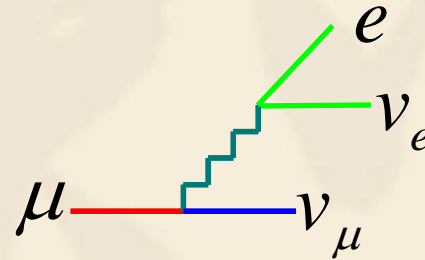
The  
Lattice

Complete definition of pert. and non-pert. QCD  
Calculate B, D, Y,  $\psi$  to a few % in a few years.

Charm can provide the data to test and calibrate non-pert. QCD  
techniques (especially true at charm threshold)

# Lifetimes

Muon decay:  $\Gamma_o = \frac{G_F^2 m_\mu^5}{192\pi^3}$

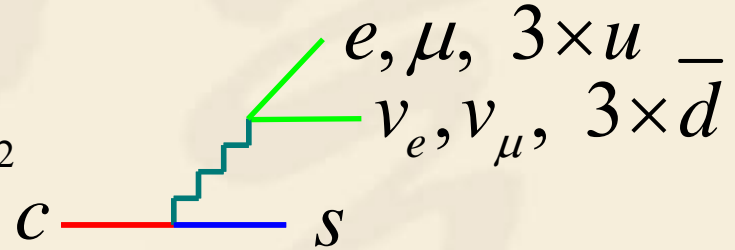


Naïve spectator model for charm

$$\Gamma_c = (2 + 3) \Gamma_0$$

$e, \mu$                        $u\bar{d}$  x 3 colors

$$\Gamma_o = \frac{G_F^2 m_c^5}{192\pi^3} |V_{cs}|^2$$



Scaling from the muon:

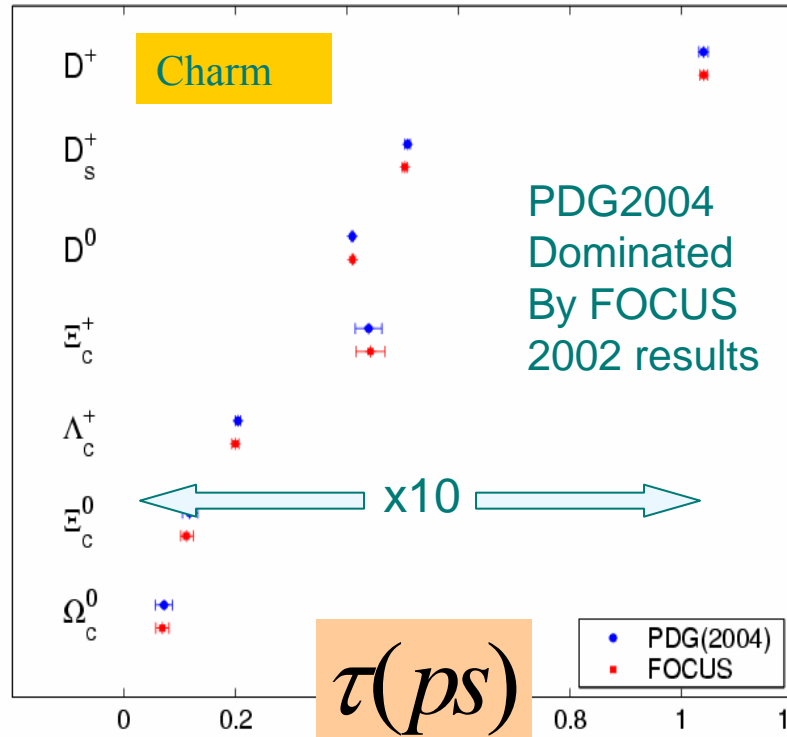
$$\tau_c = \frac{1}{5} \left( \frac{0.105}{1.5} \right)^5 2.2 \times 10^{-6} = 7 \times 10^{-13} \text{ s}$$

(700 fs)

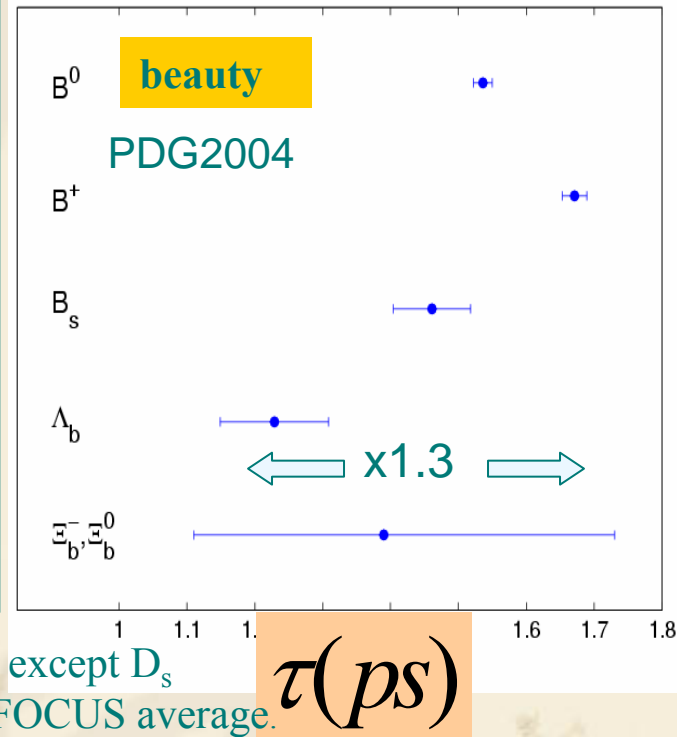
$\tau(D^+) \sim 1,000 \text{ fs}$   $\tau(D^0) \sim 400 \text{ fs}$ . Not too bad. Including baryons lifetimes vary between  $\sim 100$  and  $1000 \text{ fs}$ ,  $\rightarrow$  non-spectator processes and higher order corrections

# Charm Lifetimes

SELEX, FOCUS,  
CLEO E791 E687



$\tau(D^+)$	$1040 \pm 7 \text{ fs}$
$\tau(D_s)$	$501 \pm 6 \text{ fs}$
$\tau(D^0)$	$410.3 \pm 1.5 \text{ fs}$
$\tau(\Xi_c^+)$	$442 \pm 26 \text{ fs}$
$\tau(\Lambda_c)$	$200 \pm 6 \text{ fs}$
$\tau(\Xi_c^0)$	$112^{+13}_{-10} \text{ fs}$
$\tau(\Omega_c)$	$69 \pm 12 \text{ fs}$



Lifetimes are PDG2004 except  $D_s$  which is a PDG2004 + FOCUS average.

$D^+$  7%,  $D^0$  4%,  $D_s$  8%,  $\Lambda_c$  3%,  $\Xi^0$  10%,  $\Xi_c^+$  6%,  $\Omega_c$  17%  
some lifetimes known as precisely as kaon lifetimes.

$$\frac{\tau(D^+)}{\tau(D^0)} \approx 2.5$$

$$\frac{\tau(B^+)}{\tau(B^0)} \approx 1.1$$

PDG2004



Charm quarks more influenced by hadronic environment than beauty quarks.

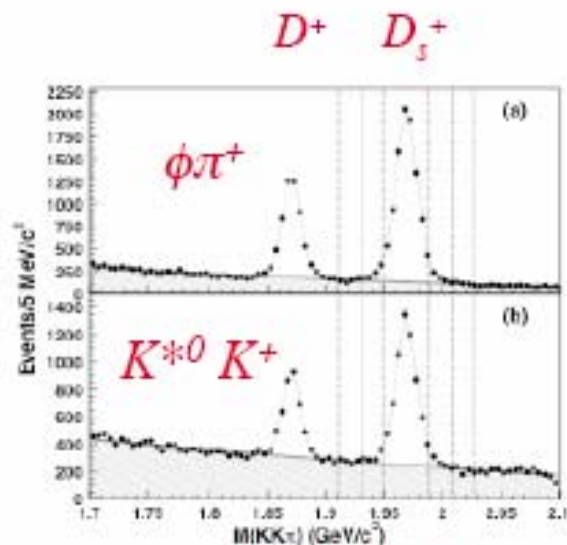
Errors on lifetimes are *not* a limiting factor in the measurement of absolute rates.

Charm meson lifetime measurements are still being improved.

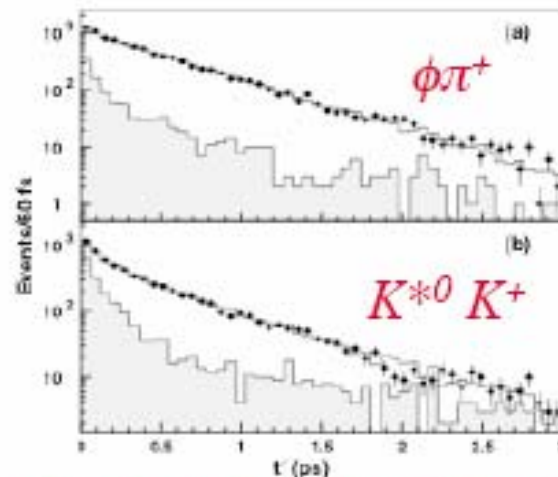
# $D_s^+$ Lifetime



hep-ex/0504056  
(sub. to PRL)



Inv. Mass



Reduced proper time (ps)

*New  $D_s$  lifetime:  $507.4 \pm 5.5 \pm 5.1$  fs*

New average

# events

$D_s^+$	$501 \pm 6$ fs	FOCUS'05 $\pm 8$	8961
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**Charm baryon lifetimes, less well known new measurements from SELEX**  
 $\tau(\Omega_c) = 69.3 \pm 14.4 \pm 8.6$  fs Nucl. Phys. A755 180 (2005)

# D Nonleptonic Decays

Nonleptonic decays dominate the total rate

$$\left. \begin{aligned} D^+(c\bar{d}) : \tau_+ &= 1042.7 \pm 6.9 \text{ fs} \\ D^0(c\bar{u}) : \tau_0 &= 410.5 \pm 1.5 \text{ fs} \end{aligned} \right\} \tau_+ / \tau_0 \approx 2.5$$

Quarks or hadrons? ....in between

Compare to kaons and B-mesons:

$$\left. \begin{aligned} K^+(\bar{s}u) : \tau_+ &= 12390 \pm 20 \text{ ps} \\ K^0(\bar{s}d) : \tau_0 &= 178.7 \pm 0.16 \text{ ps} \end{aligned} \right\} \begin{aligned} \tau_+ / \tau_0 &\approx 70 \\ \text{Hadrons} \end{aligned}$$

$$\left. \begin{aligned} B^+(\bar{b}u) : \tau_+ &= 1643 \pm 10 \text{ fs} \\ B^0(\bar{b}d) : \tau_0 &= 1528 \pm 9 \text{ fs} \end{aligned} \right\} \begin{aligned} \tau_+ / \tau_0 &= 1.08 \pm 0.008 \\ \text{Like free quarks} \end{aligned}$$

# Questions to you

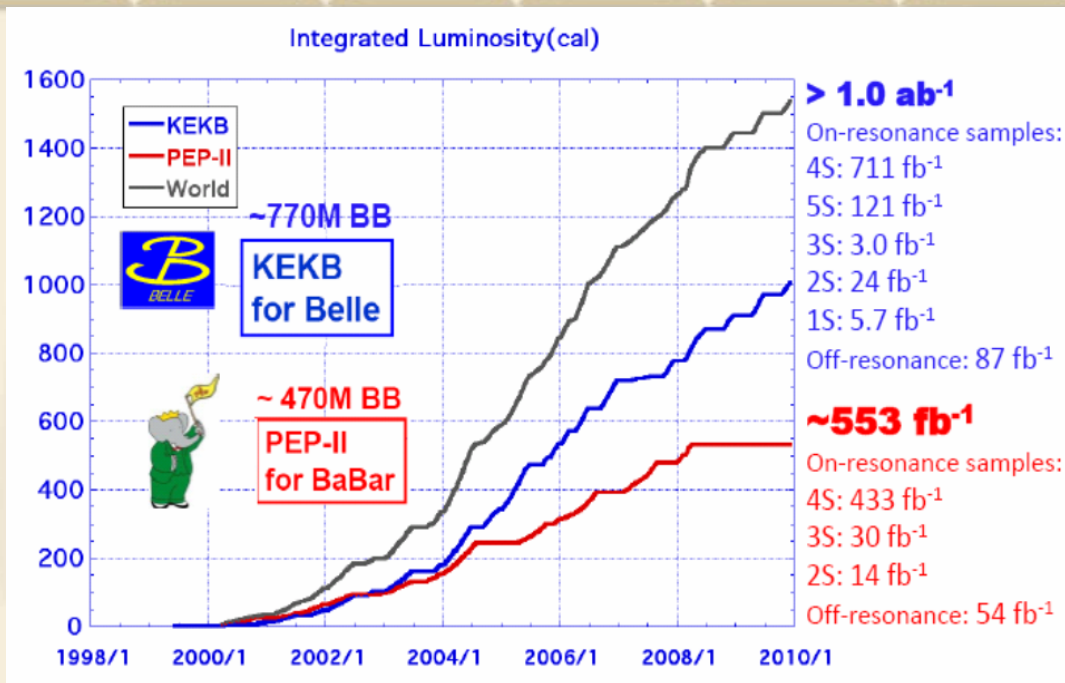
- ❖ Why  $V_{ts}$  and  $V_{td}$  can not be accessed at tree level?
- ❖ Why b quark is less influenced by hadronic environment?
- ❖ If the forth generation of quark exists, what's the unitarity relations?

# The Landscape for open charm

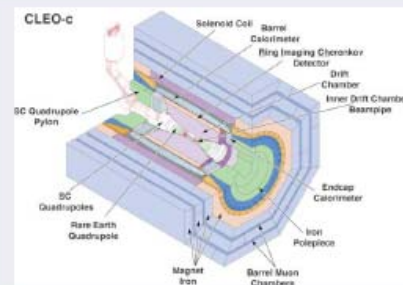
- ❖ **B factories:**
- ❖ --BABAR, Belle
- ❖ -- Super-B factories ?
  
- ❖ **Hadronic Production:**
- ❖ --Fixed target: FOCUS dominates
- ❖ --LHCb: on-going now!
- ❖ --ATLAS and CMS
  
- ❖  **$e^+e^-$  Colliders@threshold:**
- ❖ -- Precision results dominated by CLEO-c
- ❖ -- Quantum correlations and CP-tagging are unique

**The BEPCII machine overcomes the key limit of CLEO-c: luminosity**

# Open charm data

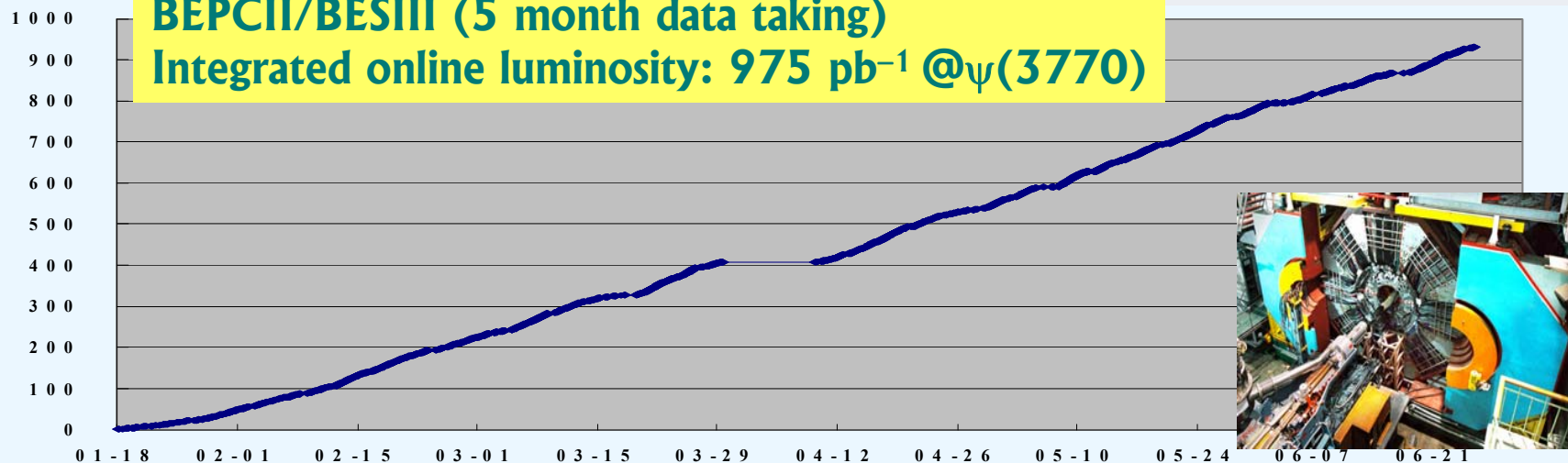


## Cleo-c



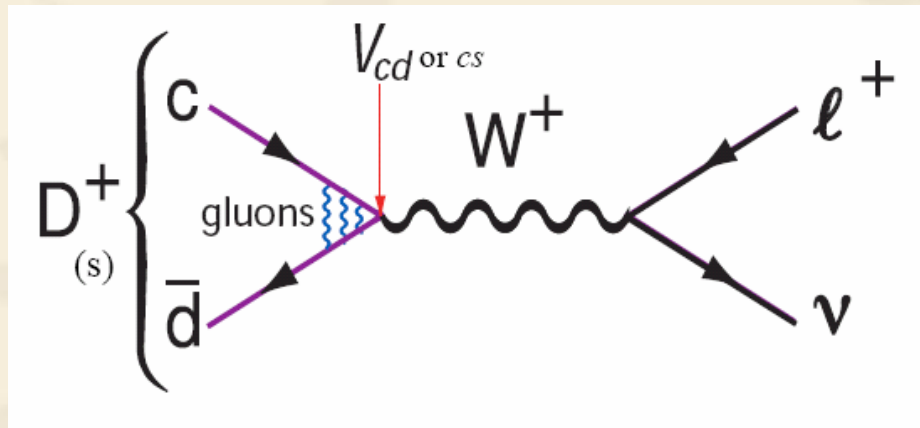
- 0.818/fb at  $\psi(3770)$   
★  $2.4 \times 10^6 D^+ D^-$  pairs
- 0.586/fb at  $\psi(4170)$   
★  $0.54 \times 10^6 D_s^{*\pm} D_s^\mp$  pairs

**BEPCII/BESIII (5 month data taking)**  
**Integrated online luminosity: 975 pb<sup>-1</sup> @ $\psi(3770)$**



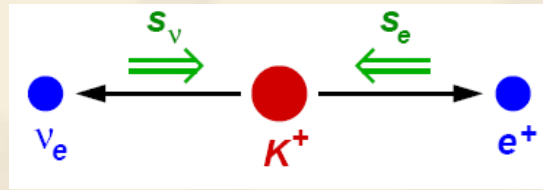
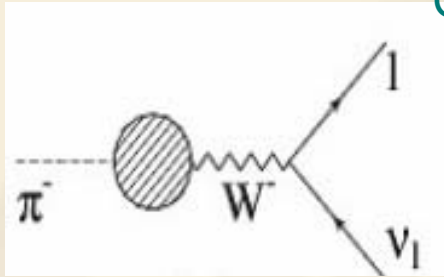


# Leptonic $D/D_s$ decays

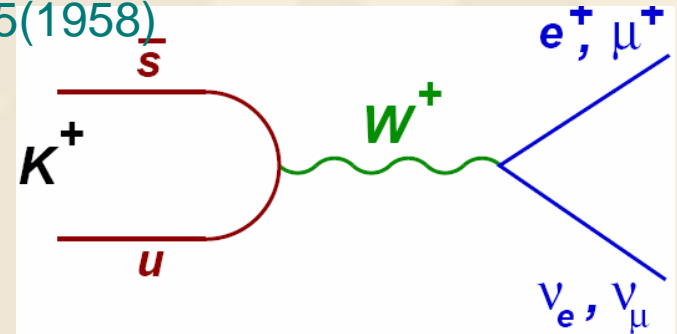


# Charged Pion and Kaon leptonic decays

Gaoldhaber et al PR 109,1015(1958)



Helicity suppression



$$R_{e/\mu}^{th} = \frac{\Gamma(\pi \rightarrow e\nu + \pi \rightarrow e\nu\gamma)}{\Gamma(\pi \rightarrow \mu\nu + \pi \rightarrow \mu\nu\gamma)} = (1.2353 \pm 0.0004) \times 10^{-4}$$

$$R_{e/\mu}^{th} - R_{e/\mu}^{exp} = 43(37) \times 10^{-8}$$

PIEMU @ TRIUMF in Canada

$$R_K = \frac{\Gamma(K^\pm \rightarrow e^\pm \nu)}{\Gamma(K^\pm \rightarrow \mu^\pm \nu)} = \frac{m_e^2}{m_\mu^2} \cdot \left( \frac{m_K^2 - m_e^2}{m_K^2 - m_\mu^2} \right)^2 \cdot (1 + \delta R_K^{rad.corr.})$$

$$= (2.477 \pm 0.001) \times 10^{-5} \quad (\text{V. Cirigliano, I. Rosell, JHEP 0710:005 (2007)})$$

$$R_K = (2.486 \pm 0.011_{stat} \pm 0.007_{syst}) \times 10^{-5}$$

NA46, A. Winhart ICHEP2010

$$= (2.486 \pm 0.013) \times 10^{-5} \quad \text{0.5\% precision}$$

$$R_K^{th} - R_K^{exp} = -9(13) \times 10^{-8}$$

**Precise test of lepton universality  
probe New Physics**

# Test physics beyond SM

## 2HDM (incl. SUSY) - tree level:

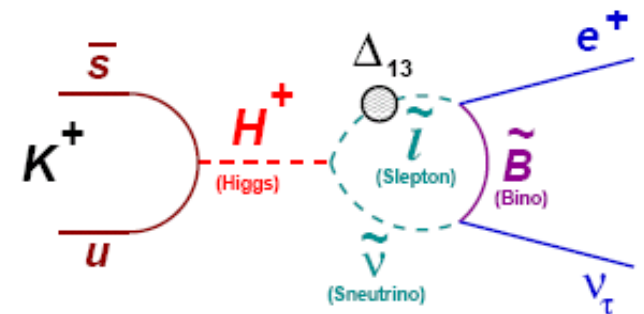
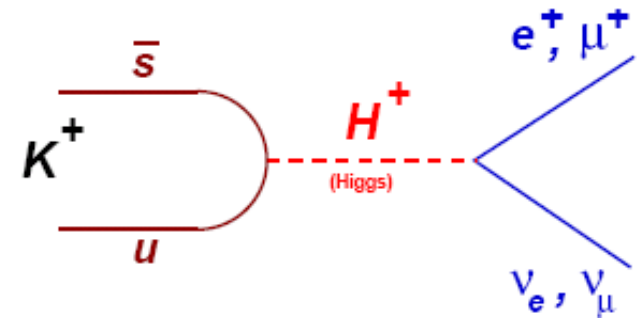
$K^+ \rightarrow l^+ \nu$  can proceed via exchange of **charged Higgs  $H^+$**  instead of  $W^+$

## Possible scenario, one loop level:

(Masiero, Paradisi, Petronzio, PRD 74, 2006)

'Loop effects are predicted to lead to **lepton flavour violating (LFV) couplings  $lH^+ \nu_\tau$**  which give dominant contribution to  $\Delta R_K'$

**Up to  $\sim 1\%$**  effect possible in large (not extreme)  $\tan\beta$  regime with relatively massive charged Higgs  $\rightarrow$  **experimentally accessible!**

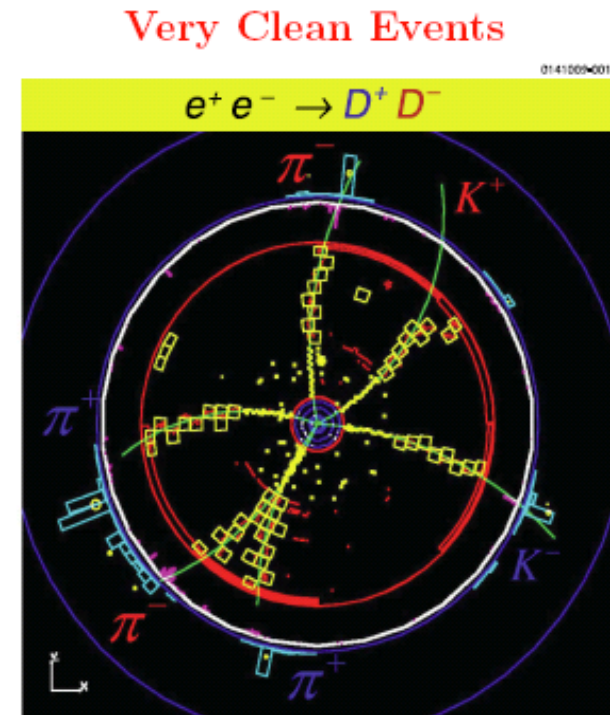
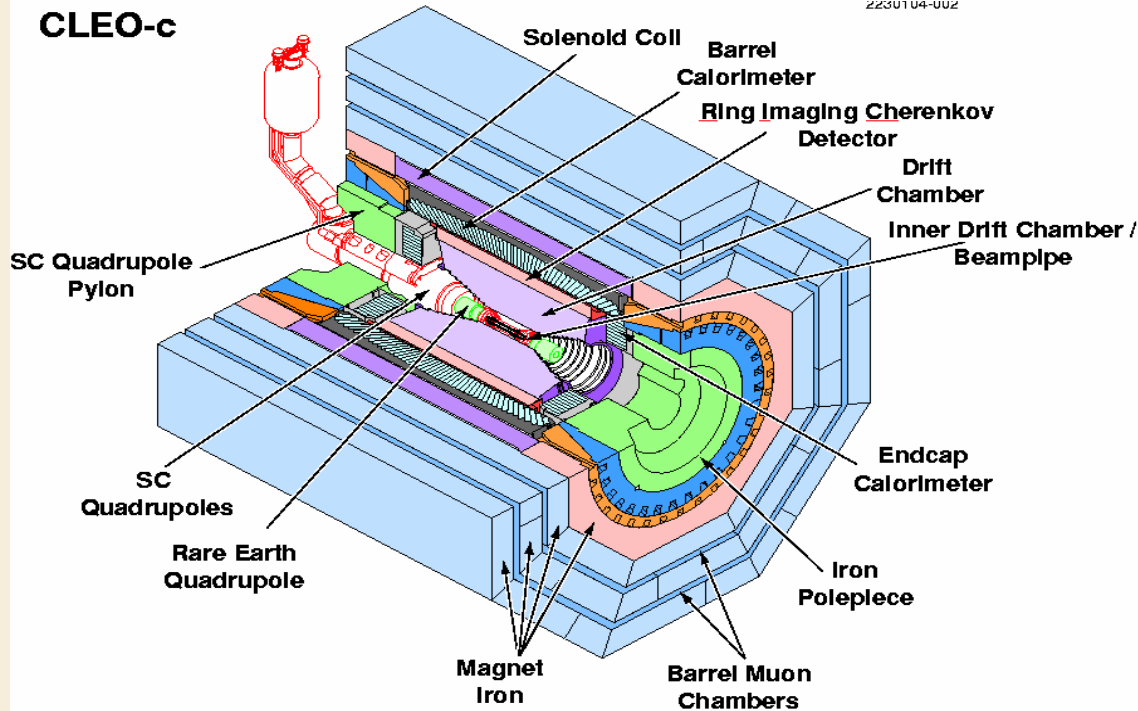


This can be also tested in  $D/D_s/B^+$  leptonic decays

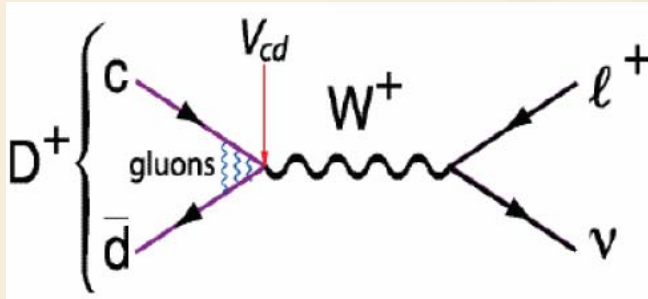
# CLEO-c detector

- Excellent Particle Identification ( $dE/dx$  and RICH):  $0 < p < 1 \text{ GeV}/c$
- Tracking Resolution:  $\sigma_p/p = 0.6\%$  at  $p = 1 \text{ GeV}/c$
- CsI Calorimeter Resolution:  $\sigma_E/E = 5\%$  at  $E_\gamma = 100 \text{ MeV}$  and  $2.2\%$  at  $1 \text{ GeV}$
- Hermetic Tracking and Calorimetry:  $93\%$  of  $4\pi$
- Acceptance, Resolution, and Particle Identification: Well-Understood

These qualities enable accurate reconstruction of missing  $\nu$ s in semileptonic decays!



# $D^+$ leptonic decays and decay constant $f_{D^+}$



$$\mathcal{B}(D^+ \rightarrow l^+ \nu) = \frac{G_F^2 m_{D^+} \tau_{D^+}}{8\pi} m_l^2 \left(1 - \frac{m_l^2}{m_{D^+}^2}\right) f_{D^+}^2 |V_{cd}|^2$$

SM predicts :  $(D^+ \rightarrow l^+ \nu) = 2.35 \times 10^{-5} : 1 : 2.65$  ( $l = e : \mu : \tau$ )

**CLEO-c [PRD 78, 052003 (2008)]:**

$$\mathcal{B}(D^+ \rightarrow \mu^+ \nu) = (3.82 \pm 0.32 \pm 0.09) \times 10^{-4}$$

$$\mathcal{B}(D^+ \rightarrow \tau^+ \nu) < 1.2 \times 10^{-3} \quad (\tau^+ \rightarrow \pi^+ \nu \text{ only})$$

$$\mathcal{B}(D^+ \rightarrow e^+ \nu) < 8.8 \times 10^{-6}$$

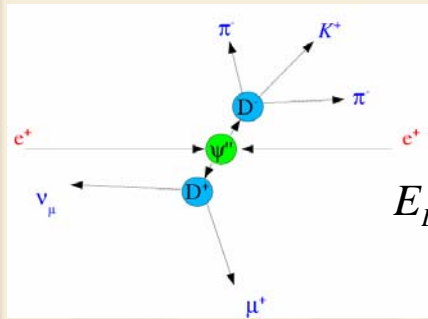
**Standard Model:  $\mathcal{B}(D^+ \rightarrow \tau^+ \nu) = (1.01 \pm 0.33) \times 10^{-3}$**

$$\mathcal{B}(D^+ \rightarrow e^+ \nu) = 1 \times 10^{-8}$$

**In the SM, decay constant can be extracted as:**

$$f_{D^+} = \frac{1}{G_F |V_{cd}| m_l \left(1 - \frac{m_l^2}{m_{D^+}^2}\right)} \sqrt{\frac{8\pi \mathcal{B}(D^+ \rightarrow l^+ \nu)}{m_{D^+} \tau_{D^+}}}$$

# Tagging techniques at $\psi(3770)$

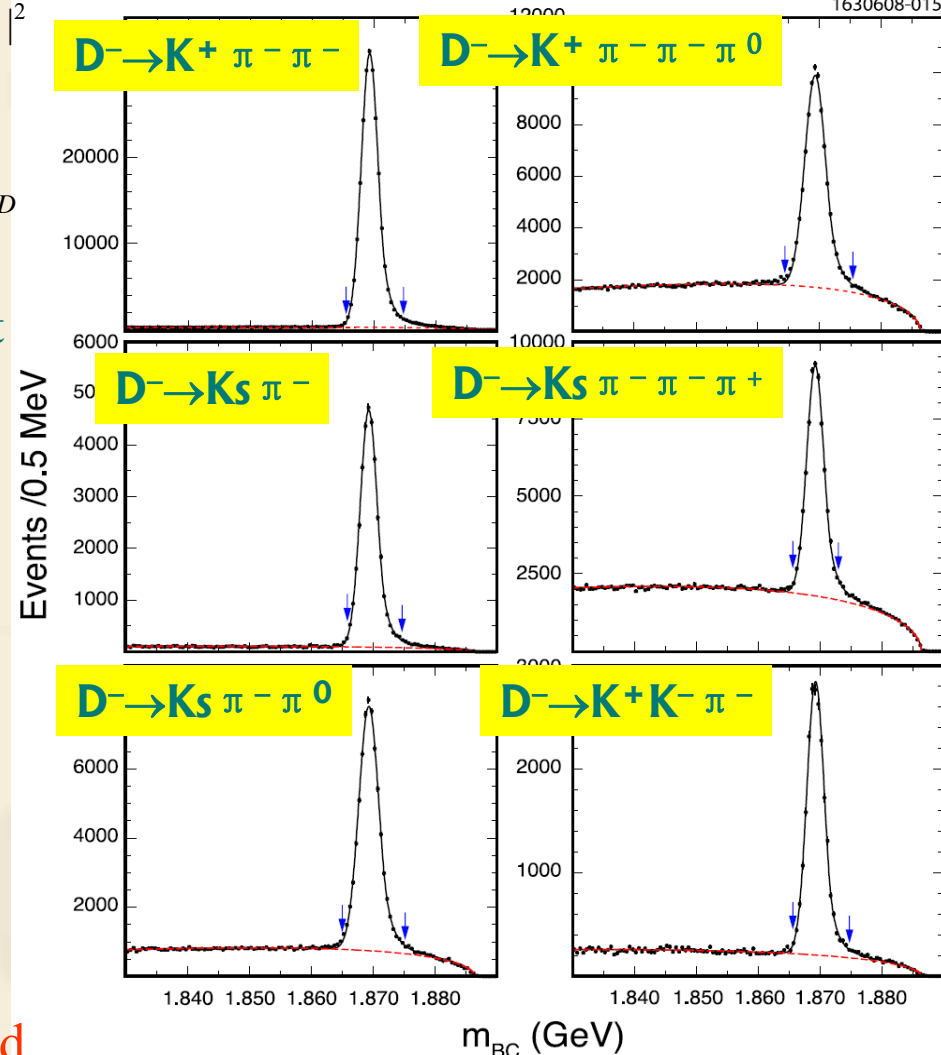


$$E_D \Rightarrow E_{beam}$$

$$M_{BC} = \sqrt{E_{beam}^2 - |P_D|^2}$$

$$\Delta E = E_{beam} - E_D$$

CLEO-c [PRD 78, 052003 (2008)]:



- ❑ Pure DD, no additional particles ( $E_D = E_{beam}$ ).
- ❑ Low multiplicity  $\sim 5$ -6 charged particles/event
- ❑ Good coverage:  $\nu$  reconstruction
- ❑ Pure  $J^{PC} = 1^{--}$  (mixing, CP, strong phase)

• Common to all analyses, fully reconstruct one D as “the tag” then analyze decay of 2<sup>nd</sup> D to extract exclusive or inclusive properties

Tagging creates a single D beam of known 4-momentum

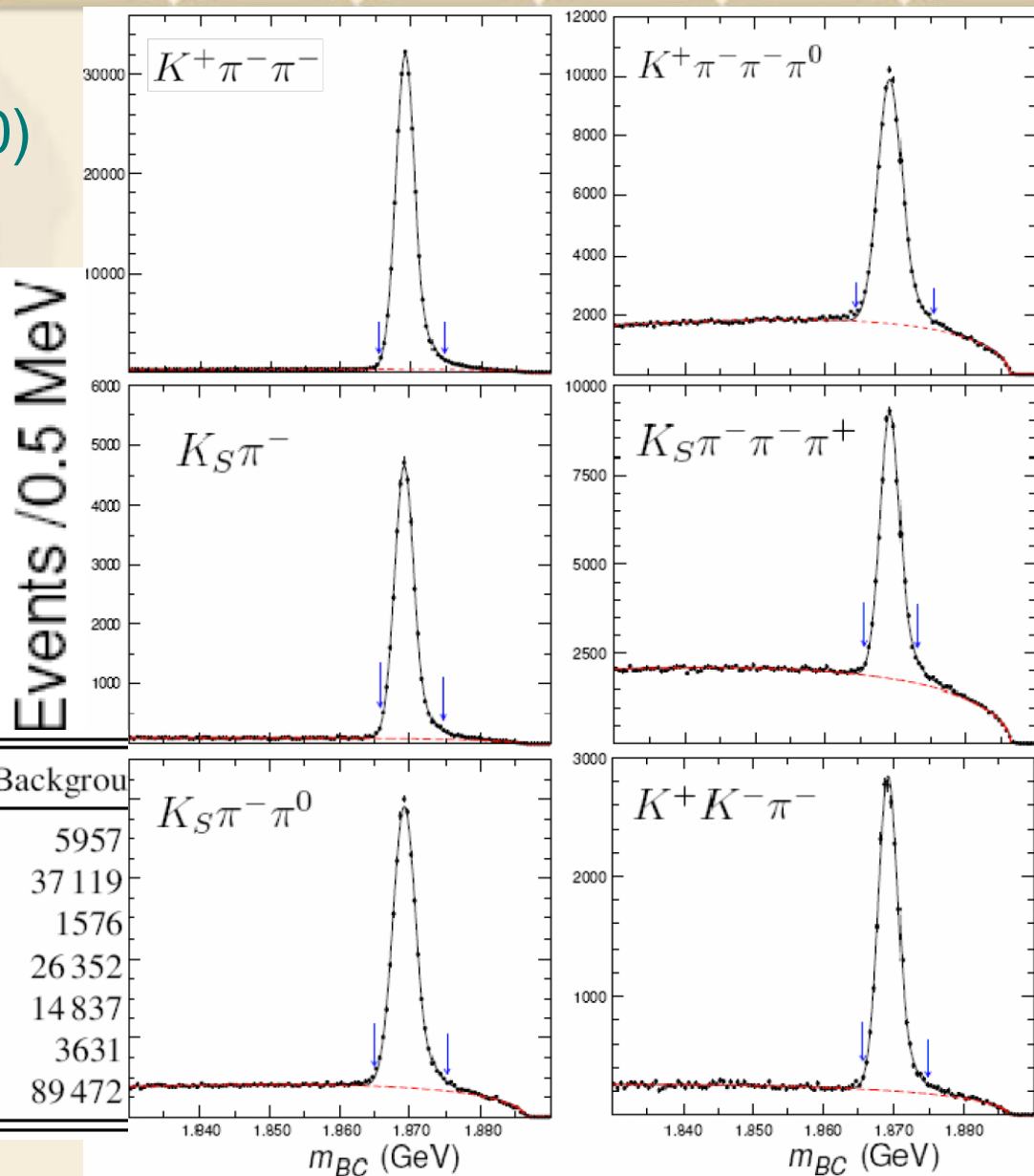
Unique to charm: high tagging efficiency:

$\sim 22\%$  of all D's produced are reconstructed.

# D<sup>-</sup> tags

- From CLEO-c at  $\psi(3770)$
  - Total of 460,000
  - Background 89,400
- Typical tag rate per D:  
15% / 10% / 5%  
D<sup>0</sup> / D<sup>-</sup> / D<sub>s</sub>

**CLEO-c [PRD 78, 052003 (2008)]:**



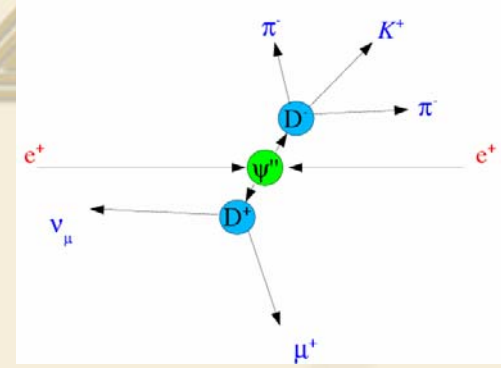
Mode	Signal	Background
$K^+ \pi^- \pi^-$	$24778 \pm 497$	5957
$K^+ \pi^- \pi^- \pi^0$	$71605 \pm 359$	37119
$K_S \pi^-$	$32696 \pm 189$	1576
$K_S \pi^- \pi^- \pi^+$	$52554 \pm 315$	26352
$K_S \pi^- \pi^0$	$59298 \pm 289$	14837
$K^+ K^- \pi^-$	$19124 \pm 159$	3631
Sum	$460055 \pm 787$	89472

# Missing mass squared

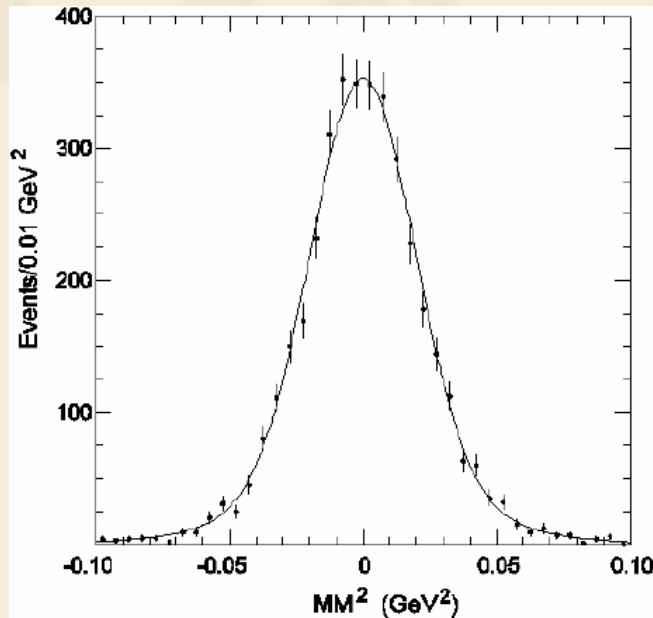
CLEO-c [PRD 78, 052003 (2008)]:

For  $D^+ \rightarrow \mu^+ \nu$  1 additional track (consistent with a muon) is used to compute missing mass<sup>2</sup>:

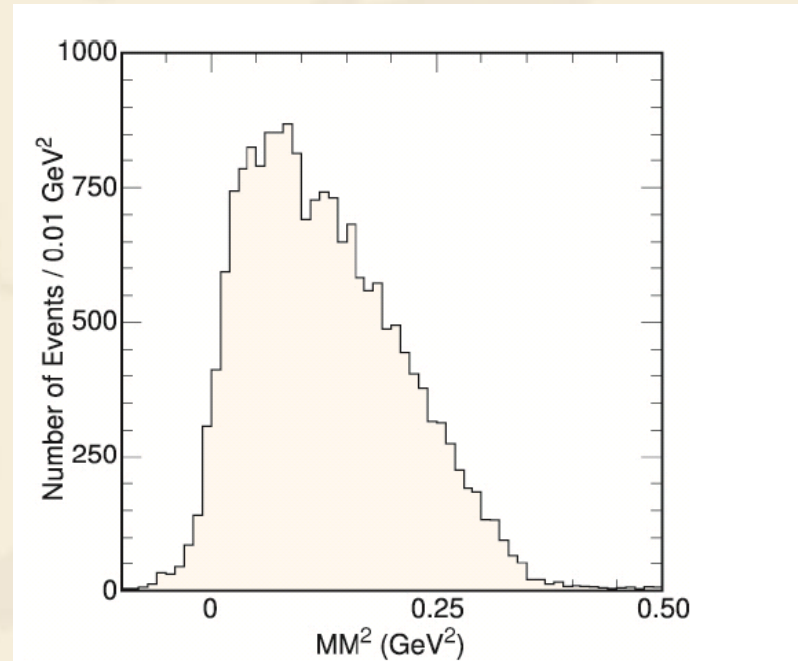
$$MM^2 = (E_{beam} - E_{\mu})^2 - (-\vec{P}_{D_{tag}^+} - \vec{P}_{\mu})^2$$



If close to zero then almost certainly we have a missing  $\nu$



Monte Carlo Signal  $\mu\nu$



Monte Carlo Signal  $\tau\nu, \tau \rightarrow \pi\nu$



# The resolution for the $MM^2$

Signal lineshape from MC, and fit with double Gaussian.

The average resolution is defined as

$$\sigma = f_1\sigma_1 + (1 - f_1)\sigma_2,$$

- Among different of tag modes, the resolution is:

$$0.0266 \pm 0.0006 \text{ GeV}^2$$

in the fitting range:

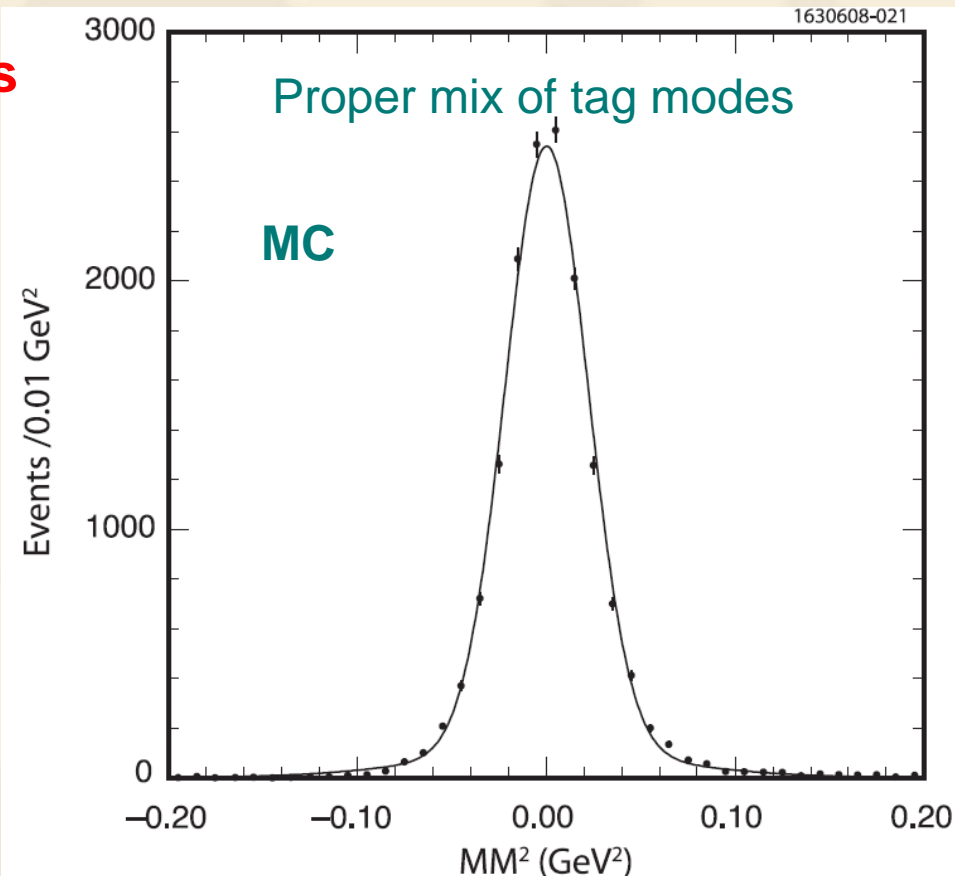
$$-0.2 < MM^2 < 0.2 \text{ GeV}^2$$

- While in the fitting range of

$$-0.1 < MM^2 < 0.1 \text{ GeV}^2$$

the resolution is:

$$0.0248 \pm 0.0006 \text{ GeV}^2$$



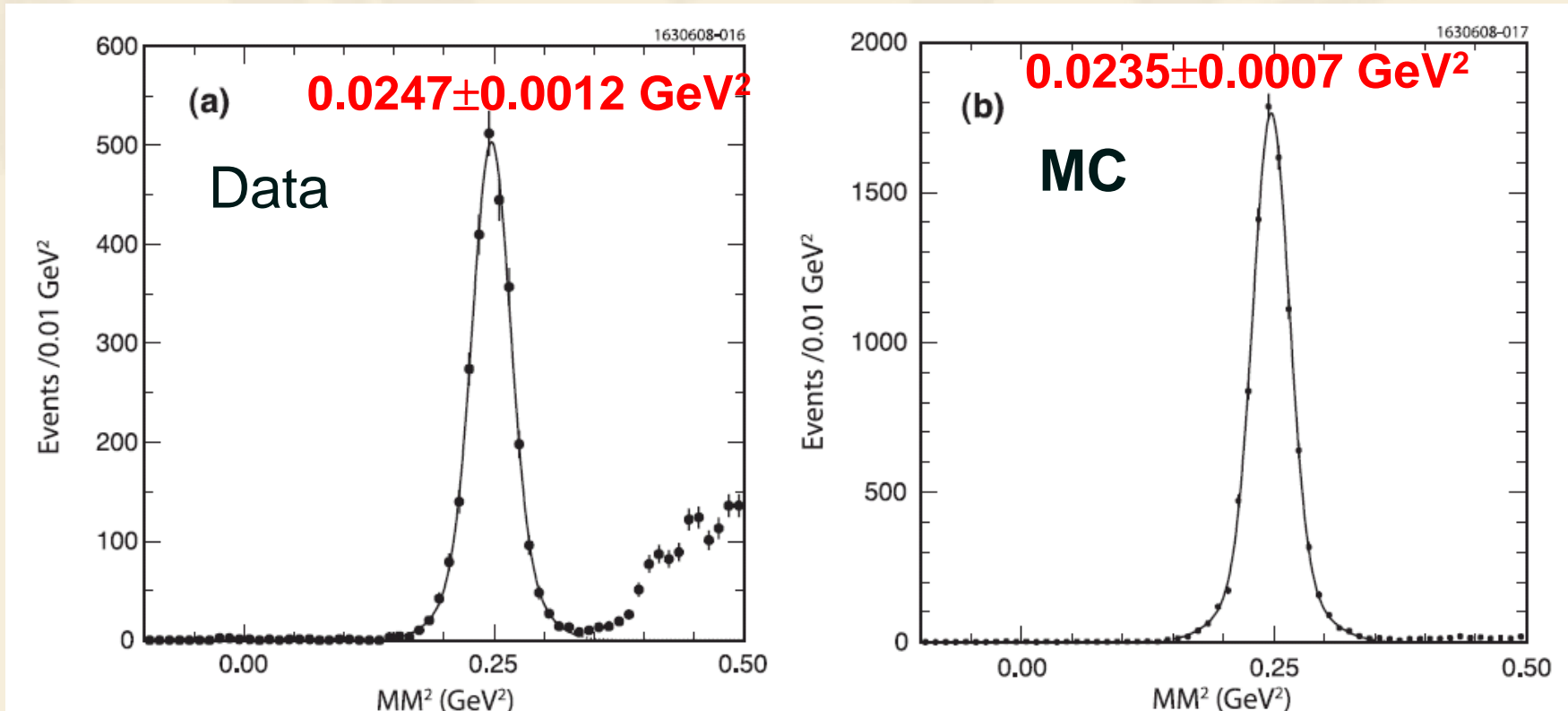
**CLEO-c [PRD 78, 052003 (2008)]:**

# Validate of signal lineshape $MM^2$

**Can we trust the MC simulation?** CLEO-c [PRD 78, 052003 (2008)]

From the same tagged sample as  $D^+ \rightarrow \mu^+ \nu$ ,  $D^+ \rightarrow K_s \pi^+$  are selected, with requirement of on additional  $K_s$ , and then ignore the  $K_s$ , looking at the  $MM^2$  (peak at  $0.25 \text{ GeV}^2$ ):

**Consistent value of resolution!**



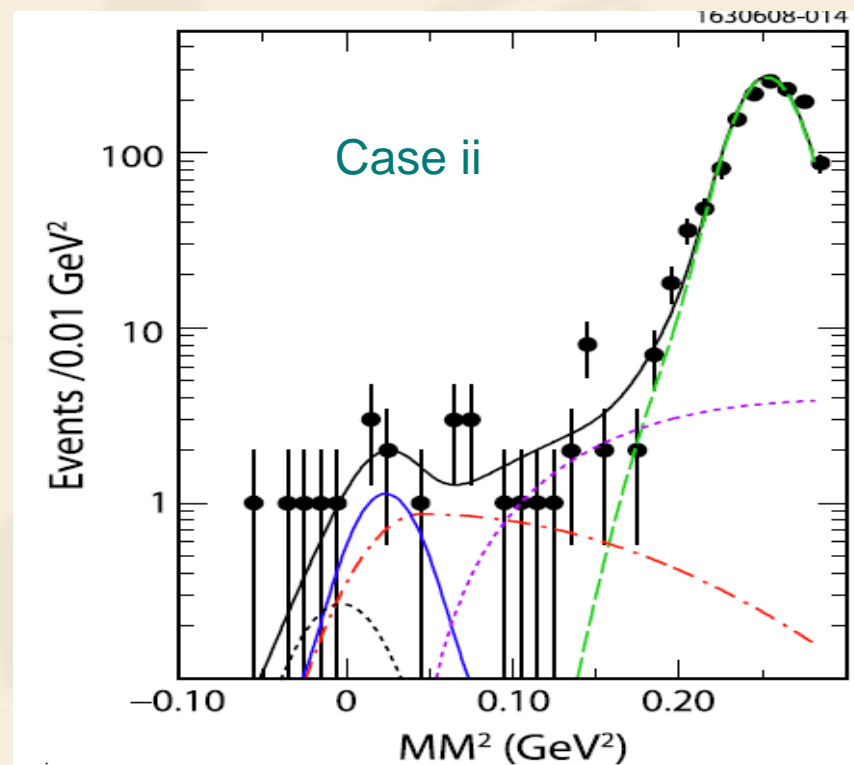
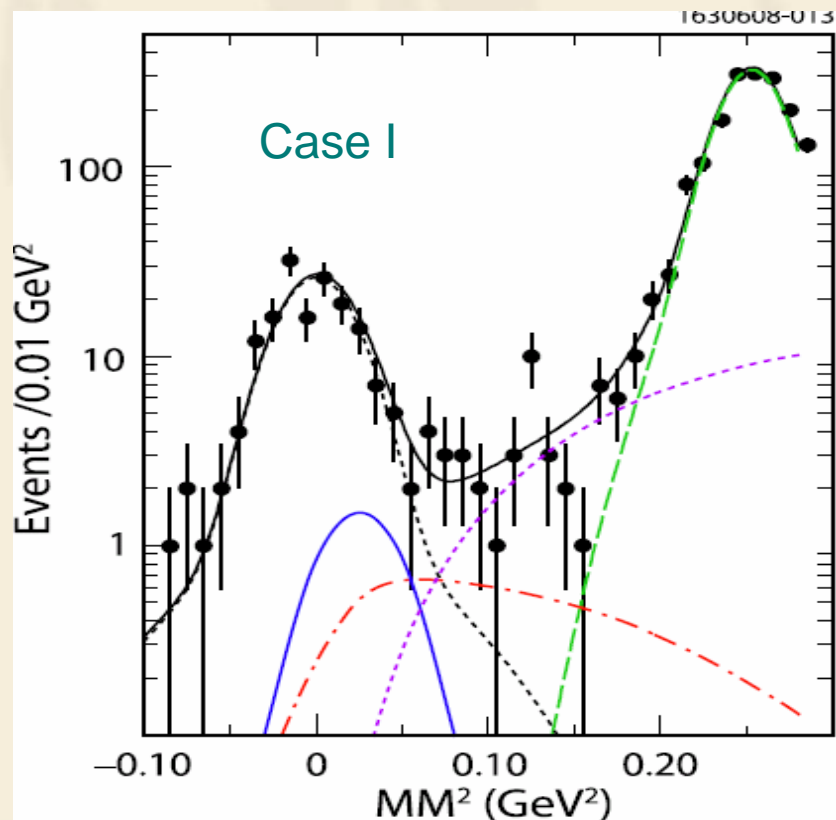
# Final data

**Case i:**  $E(\mu) < 300 \text{ MeV}$ , reject 45% of  $D^+ \rightarrow \pi^+ \pi^0$ , and  $D^+ \rightarrow \tau \nu$ ,  $\tau \rightarrow \pi^+ \nu$   
98.8%  $D^+ \rightarrow \mu \nu$  left.

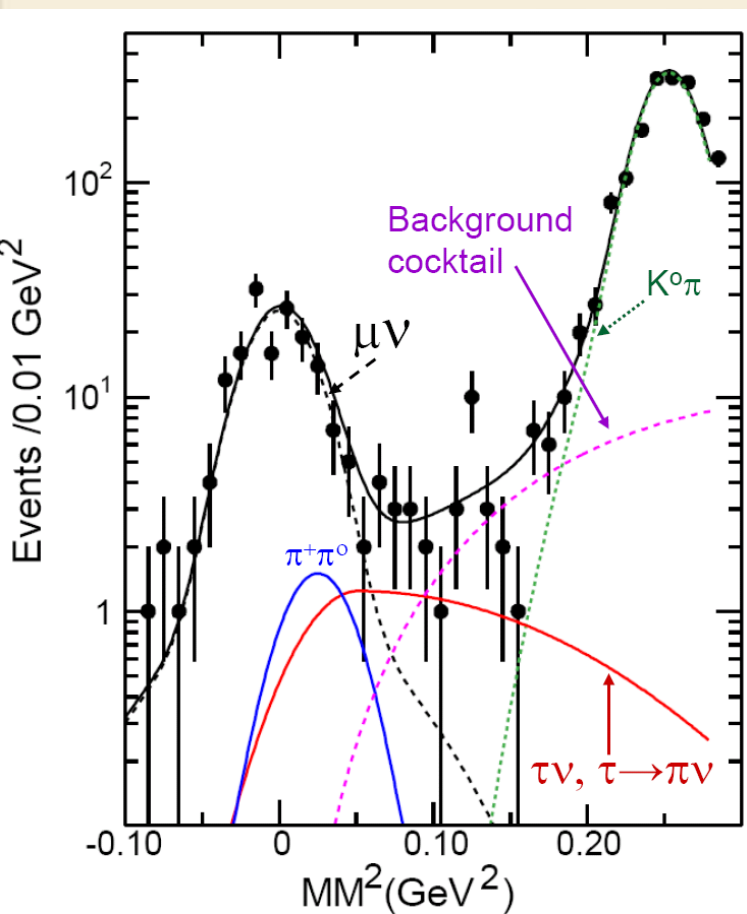
**Case ii:**  $E(\mu) > 300 \text{ MeV}$

1.2%  $D^+ \rightarrow \mu \nu$ , 45%  $D^+ \rightarrow \pi^+ \pi^0$  and  $D^+ \rightarrow \tau \nu$ ,  $\tau \rightarrow \pi^+ \nu$

For both cases, no extra photon with energy  $> 250 \text{ MeV}$  to reject  $D^+ \rightarrow \pi^+ \pi^0$



# Source of backgrounds



In the fit, signal shapes, shapes for  $D^+ \rightarrow \pi^+ \pi^0$ ,  $D^+ \rightarrow \tau^+ \nu$ ,  $\tau^+ \rightarrow \pi^+ \nu \nu$   $D^+ \rightarrow K^0 \pi^+$  are considered.

## Sources of background:

### 1) background from $D^+$ decays:

$$D^+ \rightarrow \pi^+ \pi^0, D^+ \rightarrow \tau^+ \nu, \tau^+ \rightarrow \pi^+ \nu \nu$$

$$D^+ \rightarrow K^0 \pi^+ \text{ (far away from signal)}$$

$E(\mu) < 300 \text{ MeV}$ , reject 45% of  $D^+ \rightarrow \pi^+ \pi^0$   
no extra  $\gamma > 250 \text{ MeV}$ , to veto  $\pi^0$

$D^+ \rightarrow \pi^+ \pi^0$ : expected 9.5 events

### 2) background $D^0 D^0 \text{bar}$ : 0.3

### 3) Background from continuum and “radiative return” $e^+ e^- \rightarrow \gamma \psi(2S)$ : 0.8

**CLEO-c [PRD 78, 052003 (2008)]:**

TABLE II. Backgrounds from additional sources, not contained in the fitting functions.

Mode	# of Events
Continuum	$0.8 \pm 0.4$
$\bar{K}^0 \pi^+$	$1.3 \pm 0.9$
$D^0$ modes	$0.3 \pm 0.3$
Sum	$2.4 \pm 1.0$

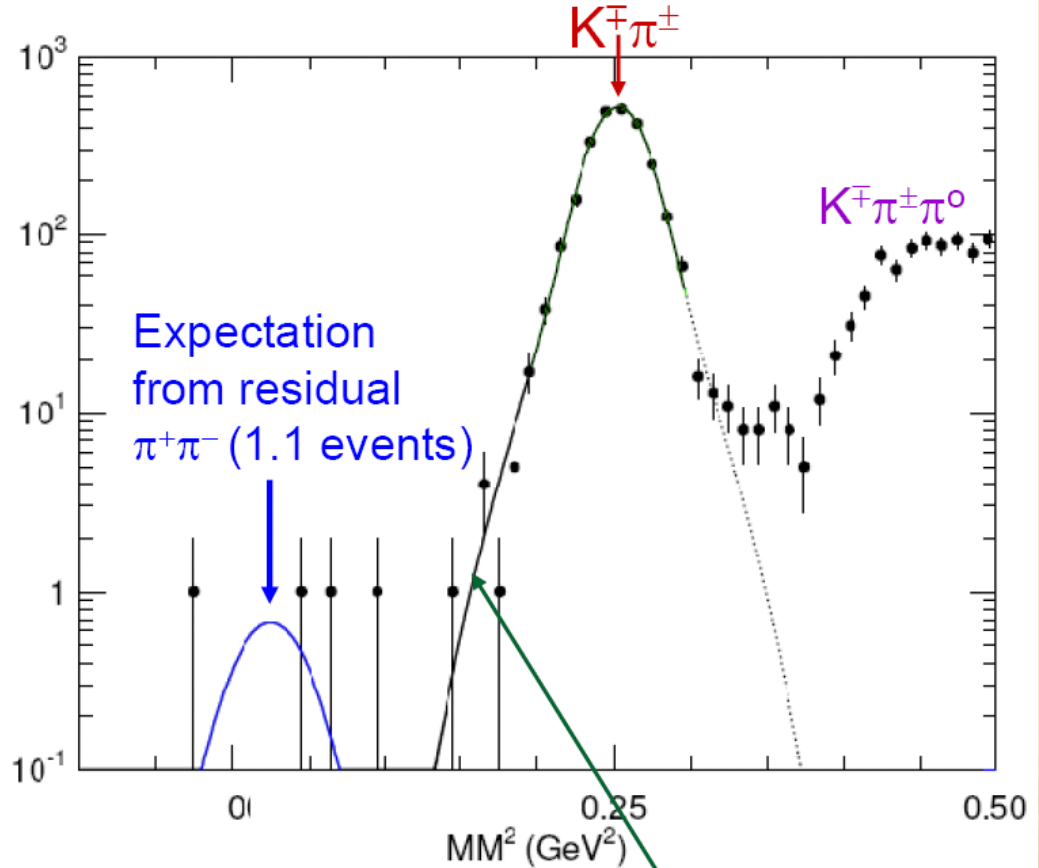
# Model of $K^0\pi^+$ Tail

Use double tag  $D^0$   
 $\bar{D}^0$  events, where  
both  $D^0 \rightarrow K^{\mp}\pi^{\pm}$

Make loose cuts  
on 2<sup>nd</sup>  $D^0$  so as not  
to bias distribution:  
require only 4  
charged tracks in  
the event

**CLEO-c [PRD 78, 052003 (2008)]:**

Computed ignoring charged kaon  
Gives an excellent description of shape of low mass tail  
“Extra” 1.3 event background in signal region

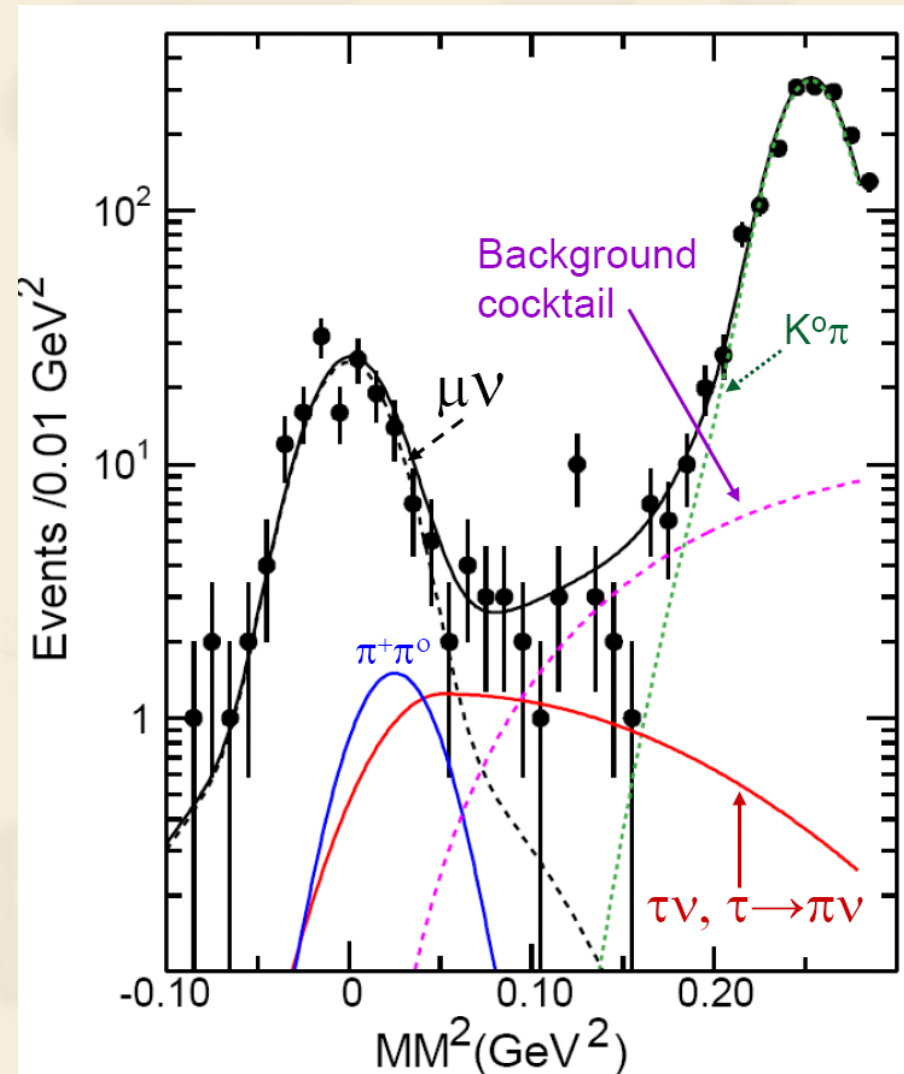


# Measurement of $D^+ \rightarrow \mu^+ \nu$

CLEO-c [PRD 78, 052003 (2008)]:

818fb<sup>-1</sup> from CLEO-c

- Require  $E_{\text{cal}} < 300$  MeV for candidate; no extra  $\gamma > 250$  MeV
- $\tau^+ \nu / \mu^+ \nu$  is **fixed** to SM ratio
  - $149.7 \pm 12.0 \mu \nu$
  - $28.5 \tau \nu$
- $\tau^+ \nu / \mu^+ \nu$  is allowed to **float**
  - $153.9 \pm 13.5 \mu \nu$
  - $13.5 \pm 15.3 \tau \nu$



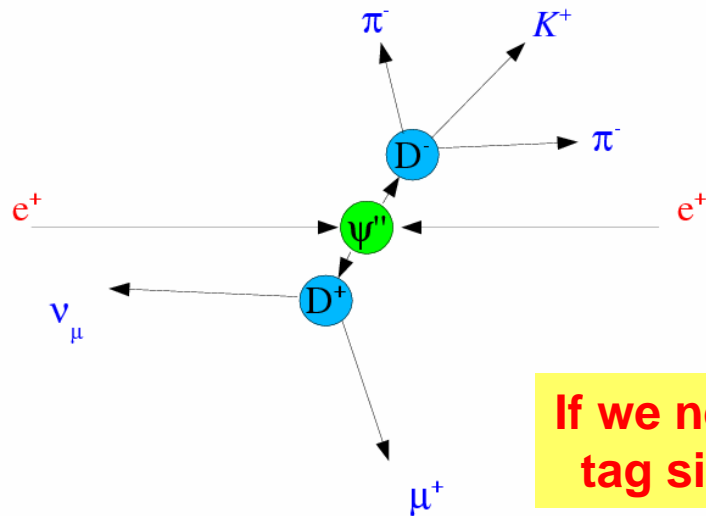
# Absolute branching fraction

Absolute branching ratio:

$$Br(D^+ \rightarrow \mu^+ \nu_\mu) = \frac{N_{D \rightarrow \mu\nu}^{observed}}{\mathcal{E}_{D \rightarrow \mu\nu} \times N_{tagged-D}}$$

- $N_{tagged-D}$  : the number of single tag candidates,
- $\mathcal{E}_{D \rightarrow \mu\nu}$  : the selection efficiency for signal D decay to  $\mu\nu$ .
- $N^{observed}$  : the number of signal observed from tagged D.

This branching ratio does not depend on the total number of D mesons.



$$n_i = 2 N_{D\bar{D}} B_i \mathcal{E}_i$$

$$n_{ij} = 2 N_{D\bar{D}} B_i B_j \mathcal{E}_{ij}, i \neq j$$

$$B_i = \frac{n_{ij} \mathcal{E}_j}{n_i \mathcal{E}_{ij}} = \frac{n_{ij}}{n_i \mathcal{E}_j}, i \neq j$$

If we neglect the correlation between tag side and signal side

$$\mathcal{E}_{ij} \approx \mathcal{E}_i \mathcal{E}_j \text{ to first order}$$

# BR( $D^+ \rightarrow \mu^+ \nu$ ) and $f_{D^+}$ from CLEO-c

$|V_{cd}|=|V_{cs}|=0.2245(12)$  and  $\tau_{D^+}=1.040(7)$ ps 818fb<sup>-1</sup> from CLEO-c

- Fix  $\tau_{\nu}/\mu_{\nu}$  at SM ratio of 2.65

$$\mathcal{B}(D^+ \rightarrow \mu^+ \nu) = (3.82 \pm 0.32 \pm 0.09) \times 10^{-4}$$

$$f_{D^+} = (205.8 \pm 8.5 \pm 2.5) \text{ MeV}$$

**This is best number in context of SM.**

- Float  $\tau_{\nu}/\mu_{\nu}$

CLEO-c [PRD 78, 052003 (2008)]

$$\mathcal{B}(D^+ \rightarrow \mu^+ \nu) = (3.93 \pm 0.35 \pm 0.10) \times 10^{-4}$$

$$f_{D^+} = (207.6 \pm 9.3 \pm 2.5) \text{ MeV}$$

**These are final number from CLEO-c with 818 fb<sup>-1</sup>  
The error is still dominated by statistical error (4.3%).**



# Measurement of $D^+ \rightarrow \tau^+ \nu, e^+ \nu$

$D^+ \rightarrow \tau^+ \nu, \tau^+ \rightarrow \pi^+ \nu$  :

Fit to samples of case 1 and 2:

$27.8 \pm 16.4$  signal events.

give branching fraction

@90% C.L.:

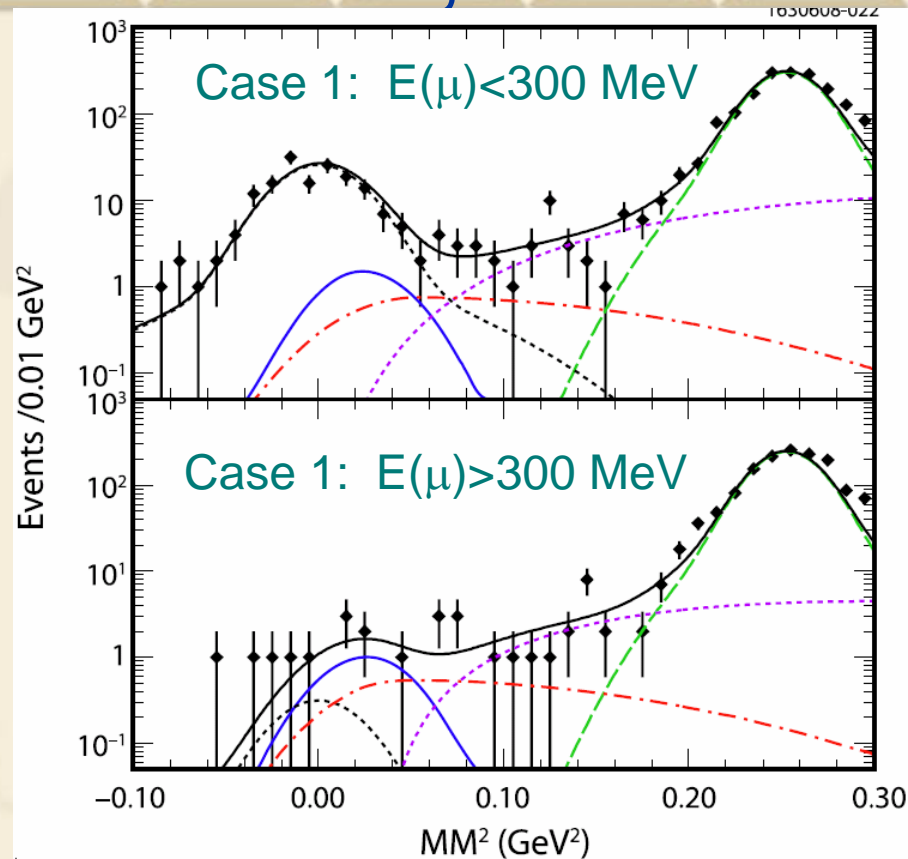
$$\mathcal{B}(D^+ \rightarrow \tau^+ \nu) < 1.2 \times 10^{-3}$$

$$\frac{\Gamma(D^+ \rightarrow \tau^+ \nu)}{2.65 \cdot \Gamma(D^+ \rightarrow \mu^+ \nu)} < 1.2$$

Requiring the track as electron using energy deposit in EMC and dE/dx information:

$$\mathcal{B}(D^+ \rightarrow e^+ \nu) < 8.8 \times 10^{-6} \quad \text{at 90\%c.l.,}$$

CLEO-c [PRD 78, 052003 (2008)]



818fb<sup>-1</sup> from CLEO-c

Standard Model predictions:

$$\mathcal{B}(D^+ \rightarrow \tau^+ \nu) = (1.01 \pm 0.33) \times 10^{-3}$$

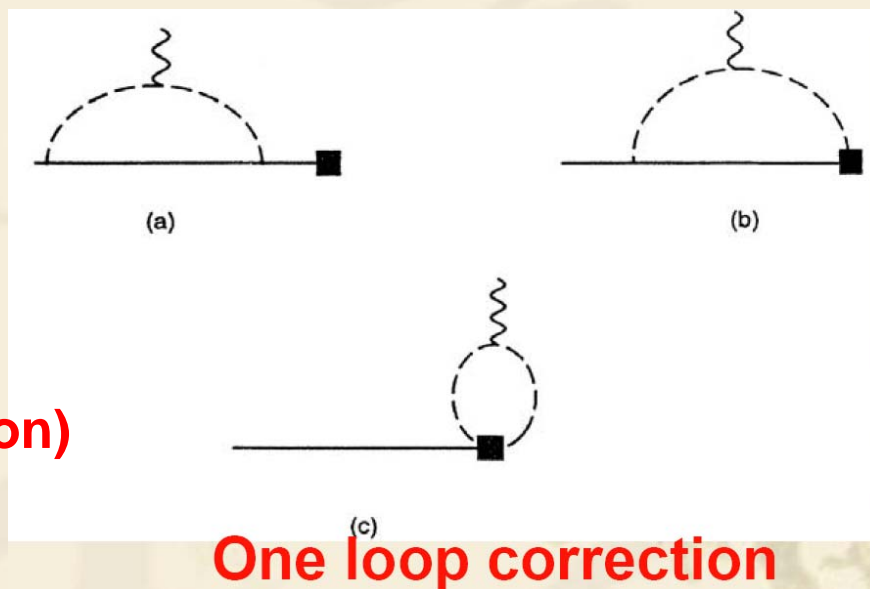
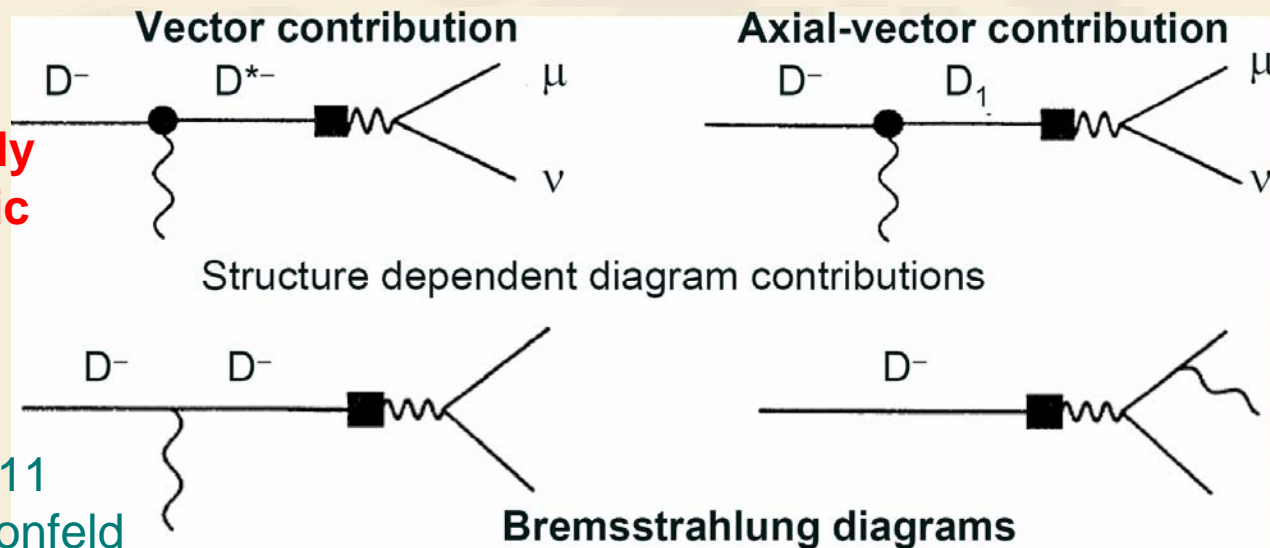
$$\mathcal{B}(D^+ \rightarrow e^+ \nu) = 1 \times 10^{-8}$$

# Radiative correction – $D^- \rightarrow \mu^- \nu \gamma$

For  $D^+ \rightarrow \tau^+ \nu$ , no sizable suppression since tau only acquires 9.3 MeV of kinetic energy. So the radiative correction is too small.

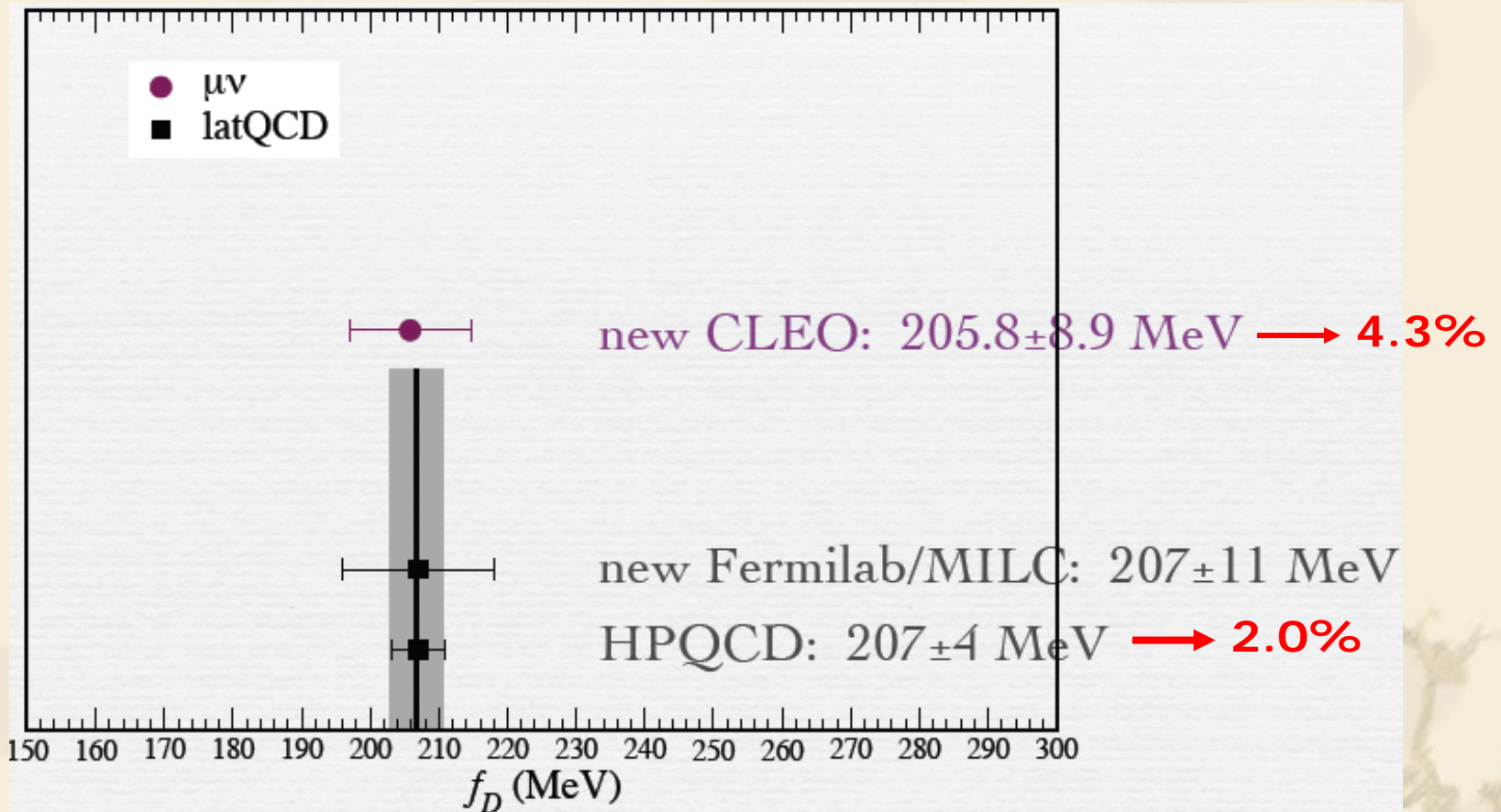
G.Burdman et al PRD51,111  
 B.A. Dobrescu and A.S. Kronfeld  
 PRL100, 241802

For  $D^+ \rightarrow \mu^+ \nu$ , radiative correction could play a role due to the process of  $D^+ \rightarrow \gamma D^{*+} \rightarrow \gamma \mu^+ \nu$ . The transition  $D^{*+} \rightarrow \mu^+ \nu$  is not helicity-suppressed: this indicates a 1% correction (reduction)



# A precision test of QCD

The  $f_D^+$  can be precisely calculated in the Lattice QCD!



**It is BESIII's job to reduce the statistical and systematic errors,  $1.0 \text{ fb}^{-1}$  @  $\psi(3770)$  is just a start point.**

# CP Violation

818fb<sup>-1</sup> from CLEO-c

CLEO-c [PRD 78, 052003 (2008)]:

- D<sup>+</sup> tags 228,945±551
- D<sup>-</sup> tags 231,107±552
- μ<sup>-</sup>ν events 64.8±8.1
- μ<sup>+</sup>ν events 76.0±8.6

$$A_{CP} \equiv \frac{\Gamma(D^+ \rightarrow \mu^+ \nu) - \Gamma(D^- \rightarrow \mu^- \nu)}{\Gamma(D^+ \rightarrow \mu^+ \nu) + \Gamma(D^- \rightarrow \mu^- \nu)} = 0.08 \pm 0.08$$

- -0.05 < A<sub>CP</sub> < 0.21 @ 90% c. l.

# Prospect for $f_{D^+}$ at BESIII

- ❖  $D^+$  decay constant can be only measured at  $\psi(3770)$  peak with high precision
- ❖ The final number from CLEO-c with statistical error 4.3% **818fb<sup>-1</sup> from CLEO-c**
- ❖ With 3 fb<sup>-1</sup> to 5fb<sup>-1</sup> at BESIII, the error can be reached 2.3% or less
- ❖ The accuracy of best LQCD prediction is 2.0% now.

# Prospect for $D^+ \rightarrow \tau^+ \nu$ at BESIII

$$\mathcal{B}(D^+ \rightarrow l^+ \nu) = \frac{G_F^2 m_{D^+} \tau_{D^+}}{8\pi} m_l^2 \left(1 - \frac{m_l^2}{m_{D^+}^2}\right) f_{D^+}^2 |V_{cd}|^2$$

SM predicts :  $(D^+ \rightarrow l^+ \nu) = 2.35 \times 10^{-5} : 1 : 2.65$  ( $l = e : \mu : \tau$ )

**CLEO-c [PRD 78, 052003 (2008)]:**

**$\mathcal{B}(D^+ \rightarrow \mu^+ \nu) = (3.82 \pm 0.32 \pm 0.09) \times 10^{-4}$**

**$\mathcal{B}(D^+ \rightarrow \tau^+ \nu) < 1.2 \times 10^{-3}$  ( $\tau^+ \rightarrow \pi^+ \nu$  only)**

**SM:  $\mathcal{B}(D^+ \rightarrow \tau^+ \nu) = (1.01 \pm 0.33) \times 10^{-3}$**

$\tau^+ \rightarrow X$	$\mathcal{B}(\tau^+ \rightarrow X)$	$N_{prod}/fb^{-1}$
$\pi^+ \bar{\nu}$	0.1091	61
$\pi^+ \pi^0 \bar{\nu}$	0.2552	143
$\pi^+ \pi^- \pi^+ \bar{\nu}$	0.0932	52
Sum	0.4575	256

\* Sensitive to measuring radiative lepton decays

	$\mathcal{B}(\text{Predicted}) [10^{-6}]$
$D^+ \rightarrow \mu^+ \bar{\nu} \gamma$	1 - 25
$D^+ \rightarrow e^+ \bar{\nu} \gamma$	1 - 82

# $f_{D_s}$ measurements

CLEO: Use  $e^+e^- \rightarrow D_s D_s^*$  at 4170 MeV

Belle & BaBar:  $e^+e^- \rightarrow c \bar{c}$  at  $Y(4S)$

$$f_{D_s^+} = \frac{1}{G_F |V_{cs}| m_l \left(1 - \frac{m_l^2}{m_{D_s^+}^2}\right)} \sqrt{\frac{8\pi B(D_s^+ \rightarrow l^+ \nu)}{m_{D_s^+} \tau_{D_s^+}}}$$

# Production cross sections for $D_s D_s^*$

Maximum production rates:

$$\sigma(D_s D_s) = 0.269 \pm 0.030 \pm 0.015 \text{ nb} \quad @ 4010 \text{ MeV}$$

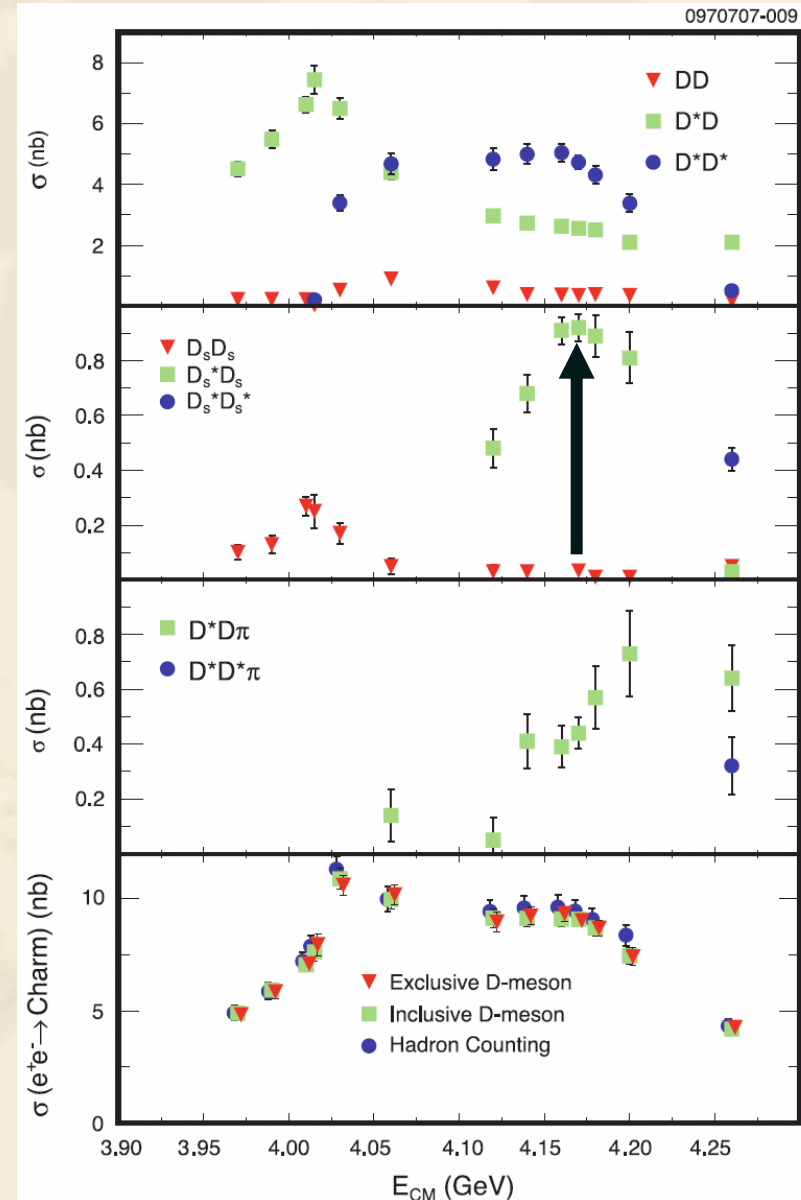
$$\sigma(D_s D_s^*) = 0.916 \pm 0.011 \pm 0.049 \text{ nb} \quad @ 4170 \text{ MeV}$$

CLEO-c took 600 pb<sup>-1</sup> data @ 4170 MeV

Data @ 4170 MeV

$e^+e^- \rightarrow D_s^+ D_s^{*-}$ ,  $D_s^{*-} \rightarrow \gamma D_s^+$   
on top of uds \*plus\* other\* charm  
continuum (DDbar, DD\*bar, D\*D\*bar)

CLEO-c PRD 80, 072001 (2009)



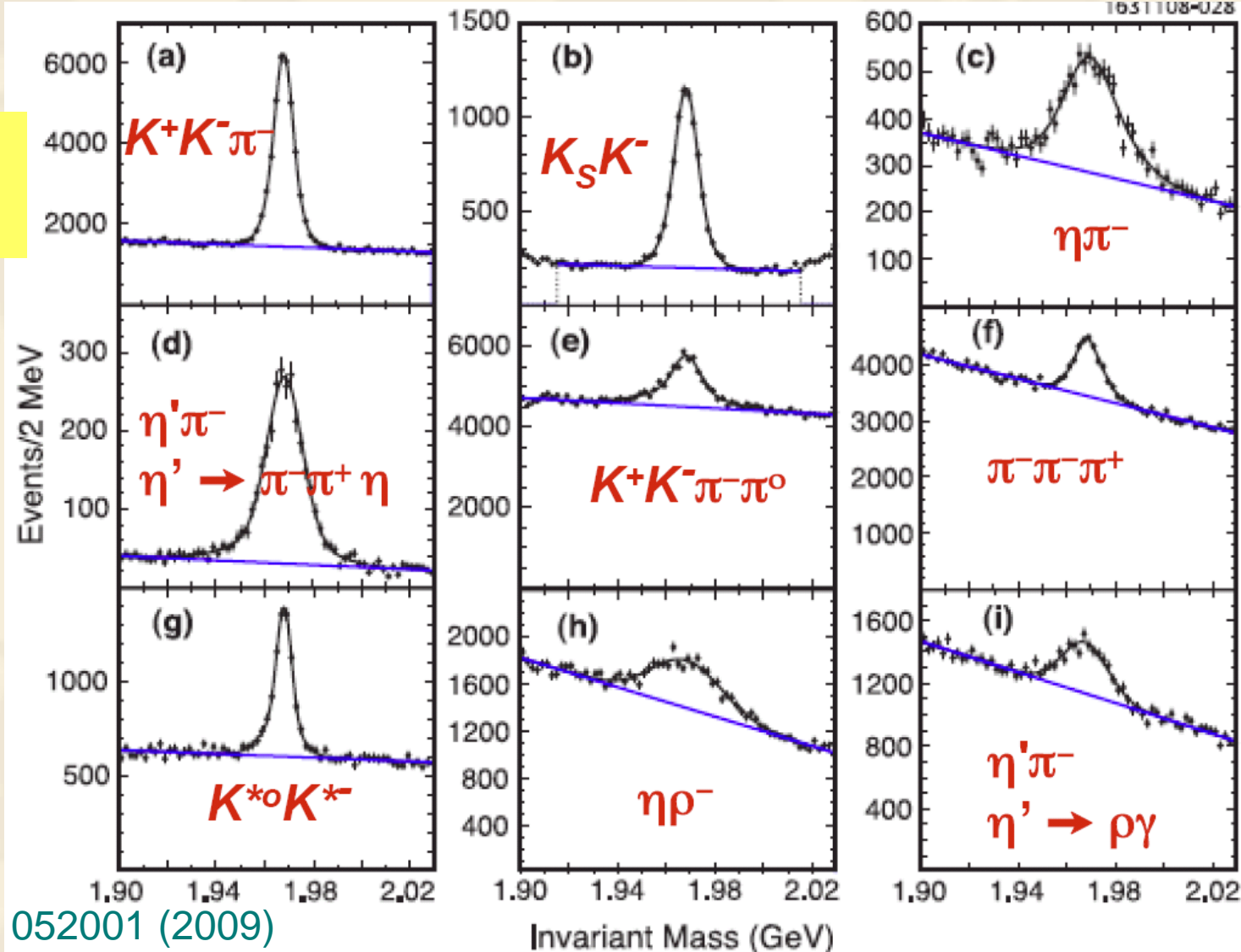


# Ds tag modes

Fully reconstruct Ds  $\gamma$ , to look for another Ds.

Invariant mass  
of 9 tag modes

In the fit:  
Signal: Double  
Gaussian center  
at zero;  
Bakgrd:  
linear Poly.



CLEO: PRD 79, 052001 (2009)

# Combined Ds tag

CLEO: PRD 79, 052001 (2009)

1631108-029

In the fit:  
Signal: Double  
Gaussian center  
at zero;  
Bakgrd:  
linear Poly.

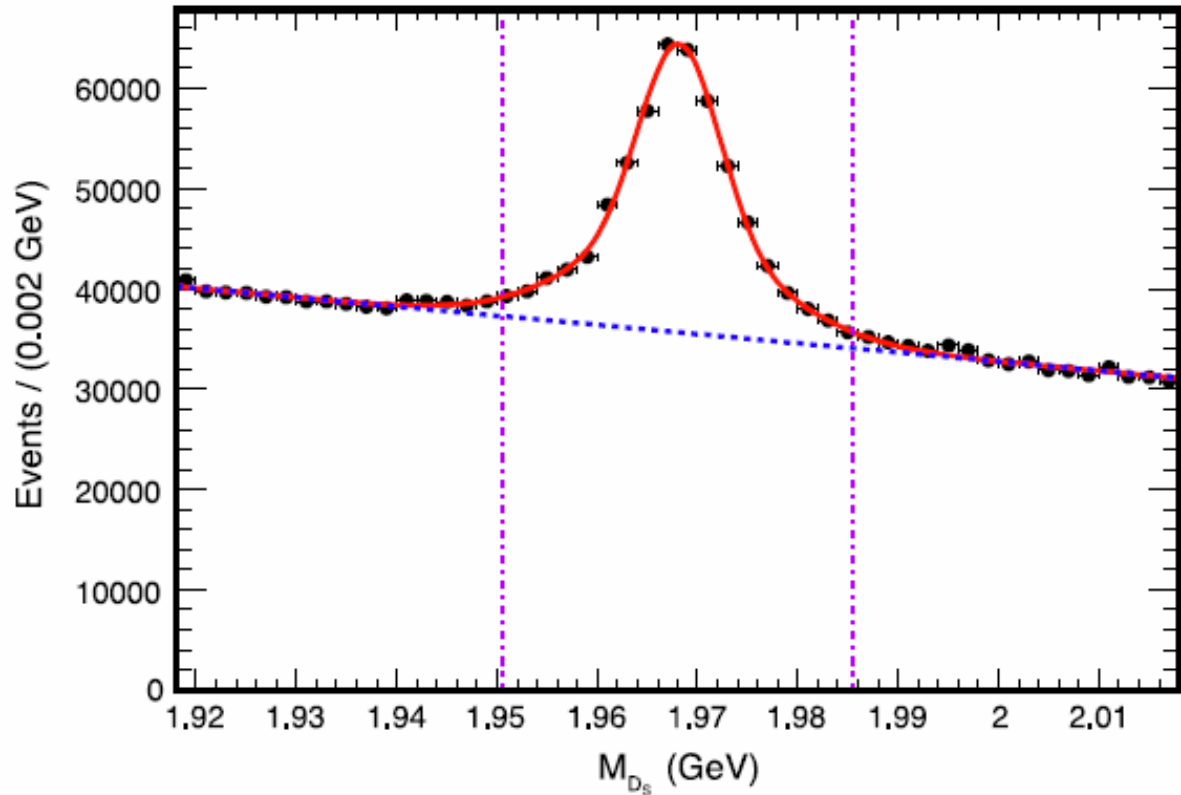


FIG. 4 (color online). Invariant mass of  $D_s^-$  candidates summed over all decay modes and fit to a two-Gaussian signal shape plus a straight line for the background. The vertical dot-dashed lines indicate the  $\pm 17.5$  MeV definition of the signal region.

# Missing-mass<sup>\*2</sup> against Ds+ $\gamma$ system – Ds $\gamma$ tag

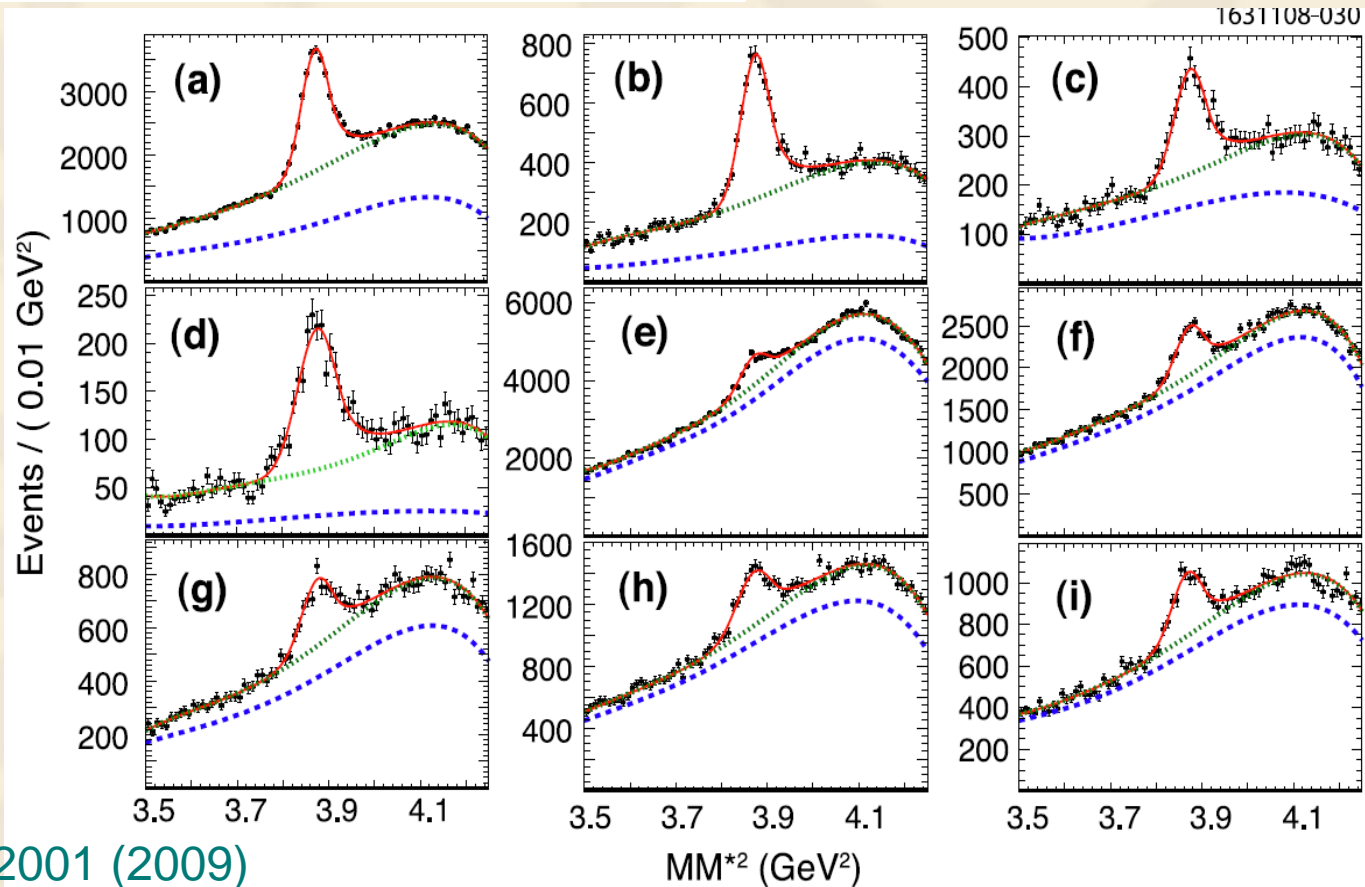
Look at missing mass after adding photon (from Ds\* $\rightarrow$ Ds $\gamma$ )  
Plot missing-mass<sup>2</sup> against Ds  $\gamma$  system.

$$MM^{*2} = (E_{CM} - E_{D_s} - E_{\gamma})^2 - (\mathbf{p}_{CM} - \mathbf{p}_{D_s} - \mathbf{p}_{\gamma})^2$$

Peak at  $(M_{D_s})^2$

Need photon to  
fully constrain the  
other Ds....

Signal: CB function  
Backg: Chebychev  
Poly.;

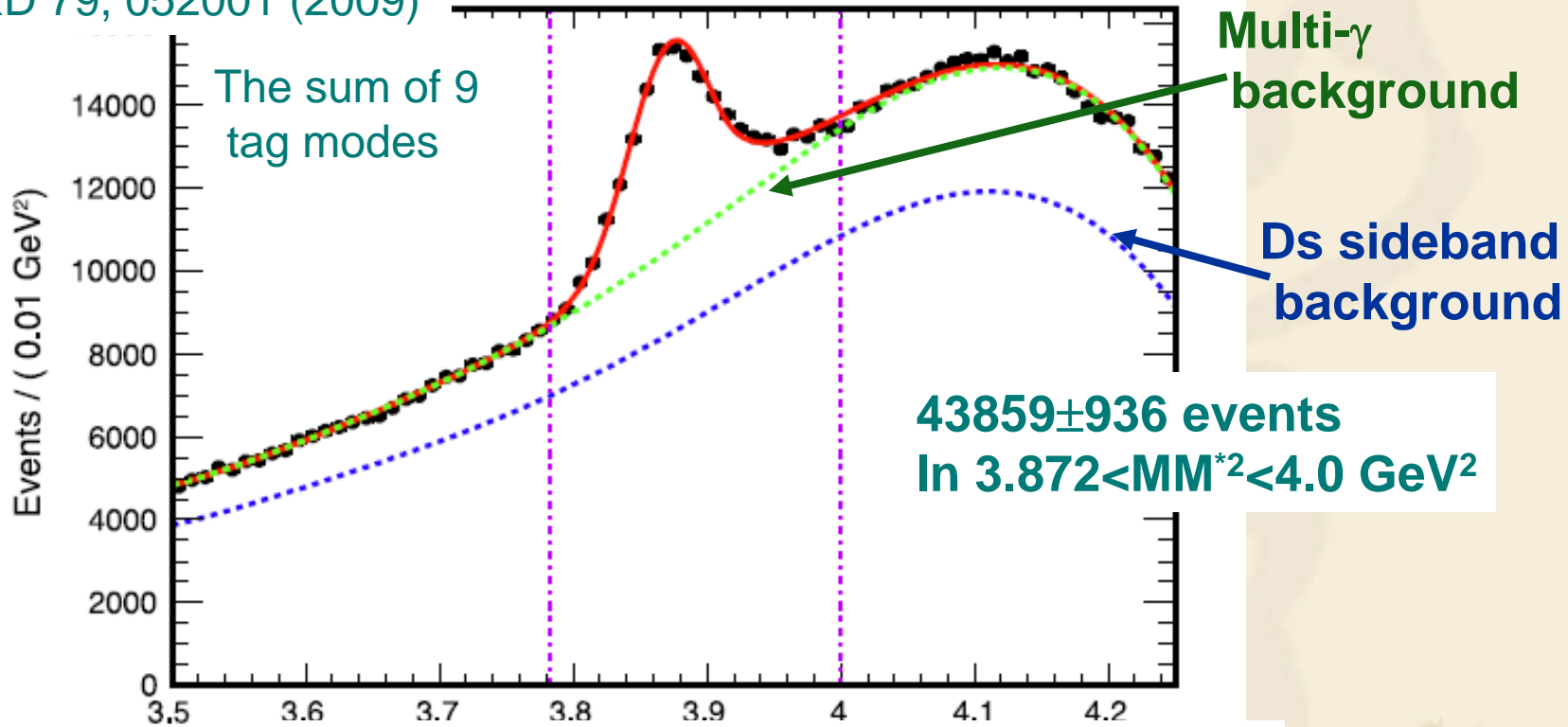


CLEO: PRD 79, 052001 (2009)

# Combined $D_s^* \text{ plus } \gamma$ tag

CLEO: PRD 79, 052001 (2009)

1631108-032



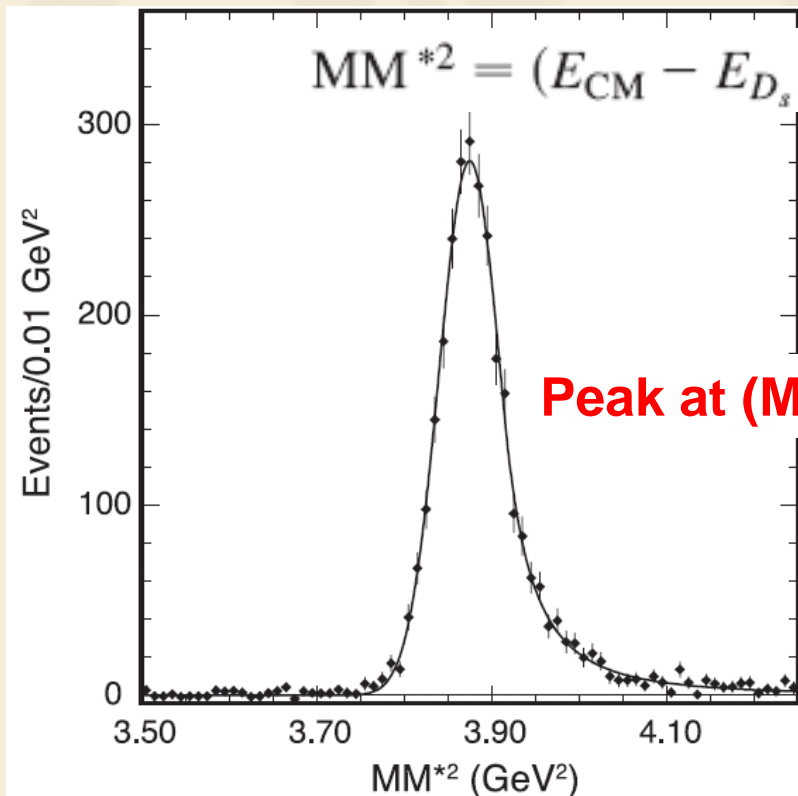
Peak at  $(M_{D_s})^2$   $MM^2 = (E_{CM} - E_{D_s} - E_\gamma)^2 - (\mathbf{p}_{CM} - \mathbf{p}_{D_s} - \mathbf{p}_\gamma)^2$

FIG. 7 (color online). The  $MM^2$  distribution summed over all modes. The curves are fits to the number of signal events using the Crystal-Ball function and two 5th order Chebychev background functions (see text). The vertical lines show the region of events selected for further analysis.

# Clean double tag – control sample

From data:

- Fully reconstruct both sides for “zero” background
- Ignore one  $D_s$  to see resolution of  $MM^{*2}$
- To see the signal lineshape in the fit to  $MM^{*2}$  in previous slides
- Tail is mostly independent of tag mode  
(depends on ISR, photon detection, beam spread)



$e^+e^- \rightarrow D_s^{*-} D_s^+ + \text{c.c.} \rightarrow D_s^+ D_s^- \gamma$   
both  $D_s$ ' are fully reconstructed.

Crystal ball function for signal;  
Resolution from data:  
 $0.035 \pm 0.001 \text{ GeV}^2$

# Ds tag samples

Mode	Invariant Mass		MM* <sup>2</sup>	
	Signal	Background	Signal	Background
$K^+ K^- \pi^-$	$26\,534 \pm 274$	25 122	$16\,087 \pm 373$	39 563
$K_S K^-$	$6\,383 \pm 121$	3 501	$4\,215 \pm 228$	6 297
$\eta \pi^-; \eta \rightarrow \gamma\gamma$	$2\,993 \pm 156$	5 050	$2\,005 \pm 145$	5 016
$\eta' \pi^-; \eta' \rightarrow \pi^+ \pi^- \eta, \eta \rightarrow \gamma\gamma$	$2\,293 \pm 82$	531	$1\,647 \pm 131$	1 565
$K^+ K^- \pi^- \pi^0$	$11\,649 \pm 754$	78 588	$6\,441 \pm 471$	89 284
$\pi^+ \pi^- \pi^-$	$7\,374 \pm 303$	60 321	$5\,014 \pm 402$	43 286
$K^{*-} K^{*0}; K^{*-} \rightarrow K_S^0 \pi^-, K^{*0} \rightarrow K^+ \pi^-$	$4\,037 \pm 160$	10 568	$2\,352 \pm 176$	12 088
$\eta \rho^-; \eta \rightarrow \gamma\gamma, \rho^- \rightarrow \pi^- \pi^0$	$5\,700 \pm 281$	24 444	$3\,295 \pm 425$	24 114
$\eta' \pi^-; \eta' \rightarrow \rho^0 \gamma,$	$3\,551 \pm 202$	19 841	$2\,802 \pm 227$	17 006
Sum	$70\,514 \pm 963$	227 966	$43\,859 \pm 936$	238 218

CLEO: PRD 79, 052001 (2009)

# Reconstruction of signal $D_s \rightarrow \mu \nu$

One additional charged track,  $\mu$ , is reconstructed and the missing mass is calculated by consider the  $D_s$ ,  $\gamma$ , and  $\mu$ , **the signal should be peak at zero for the single missing neutrino:**

$$MM^2 = (E_{\text{CM}} - E_{D_s} - E_{\gamma} - E_{\mu})^2 - (\mathbf{p}_{\text{CM}} - \mathbf{p}_{D_s} - \mathbf{p}_{\gamma} - \mathbf{p}_{\mu})^2,$$

CLEO: PRD 79, 052001 (2009)

Kinematical constraint fits:

$$\begin{aligned} \mathbf{p}_{D_s} + \mathbf{p}_{D_s^*} &= 0, & E_{\text{CM}} &= E_{D_s} + E_{D_s^*}, \\ E_{D_s^*} &= \frac{E_{\text{CM}}}{2} + \frac{M_{D_s^*}^2 - M_{D_s}^2}{2E_{\text{CM}}} & \text{or } E_{D_s} &= \frac{E_{\text{CM}}}{2} - \frac{M_{D_s^*}^2 - M_{D_s}^2}{2E_{\text{CM}}}, \\ M_{D_s^*} - M_{D_s} &= 143.8 \text{ MeV}. \end{aligned} \quad (7)$$

Double Gaussian center at zero for signal:

$$\sigma = f_1 \sigma_1 + (1 - f_1) \sigma_2,$$

Resolution of  $MM^2$  from MC:

$$\sigma = 0.0346 \pm 0.0002 \text{ GeV}^2$$

PHYSICAL REVIEW D 79, 052001 (2009)

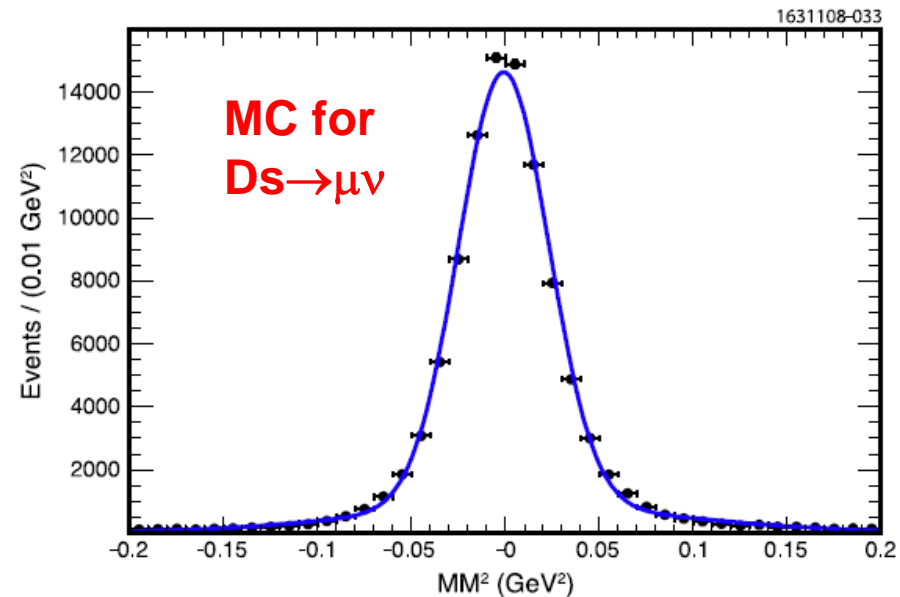


FIG. 8 (color online). The  $MM^2$  resolution from Monte Carlo simulation for  $D_s^+ \rightarrow \mu^+ \nu$ . The curve is the sum of two-Gaussians with means constrained to be the same.



# Signal $MM^2$ Line Shape

PRD 79, 052001  
(2009) 600 pb<sup>-1</sup>

Can we trust MC ?  
Check with data !

Mostly  $D_s \rightarrow K^0 K^-$   
Find track,  
ignore neutral  $K$

Resolution from data:

$$\sigma = 0.0338 \pm 0.0014 \text{ GeV}^2$$

From MC:

$$\sigma = 0.0344 \pm 0.0003 \text{ GeV}^2$$

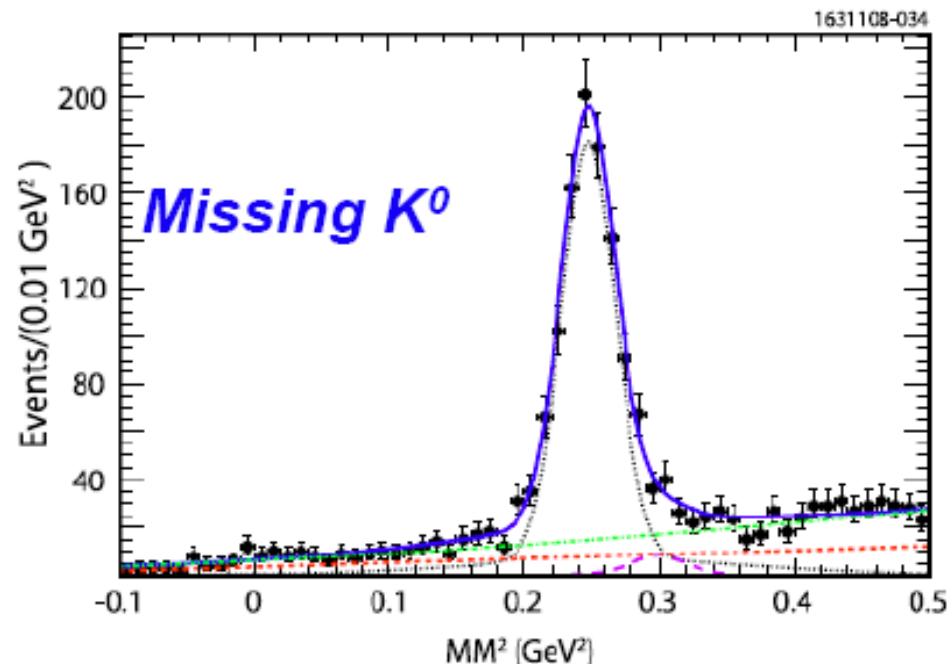


FIG. 9 (color online). The  $MM^2$  distribution for events with an identified  $K^+$  track. The kinematic fit has been applied. The data are shown as points with error bars. The long-dashed curve shows the calculated yield of  $\eta K^+$  events. The solid curve shows the results of a fit to the data, where the dotted curve is the sum of two-Gaussians centered at the square of the  $K^0$  mass, and the dashed and dot-dashed lines refer to the sideband, and combinatoric backgrounds, respectively.



# Final dataset

Three cases datasets:

For the signal track deposits in CsI:

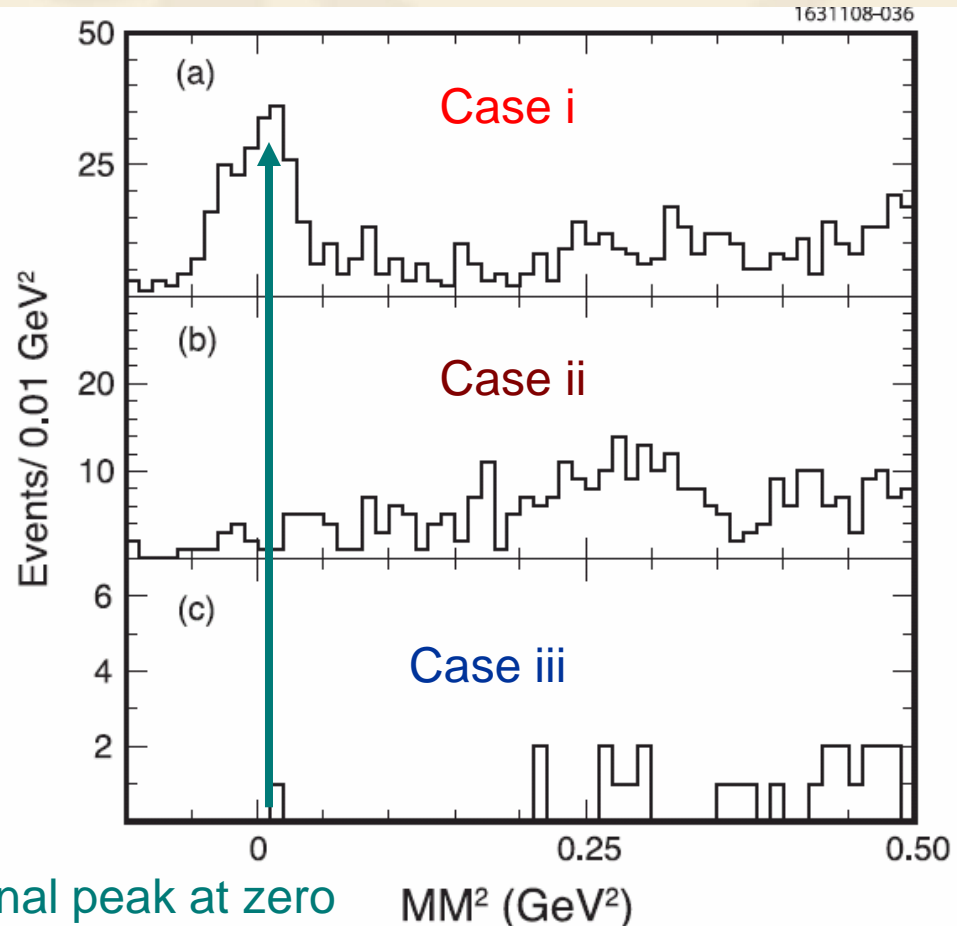
**case i:  $E < 300$  MeV;**  
**dominated by  $D_s \rightarrow \mu \nu$**   
**98.8% muons,**  
**55% pions ( $D_s \rightarrow \pi^+ X$ )**  
**but  $\frac{1}{2} D_s \rightarrow \tau \nu$  ( $\tau \rightarrow \pi \nu$ )**

**case ii:  $E > 300$  MeV;**  
**1.2% muons, 45% pions**  
**dominated by another  $\frac{1}{2}$**   
 **$D_s \rightarrow \tau \nu$  ( $\tau \rightarrow \pi \nu$ )**  
**(very little  $D_s \rightarrow \mu \nu$ )**

If the signal track is identified as  
electron:

**case iii:  $D_s \rightarrow e \nu$  measurement.**

CLEO: PRD 79, 052001 (2009)





$$D_s \rightarrow \mu^+ \nu \text{ \& \ } \tau^+ \nu$$

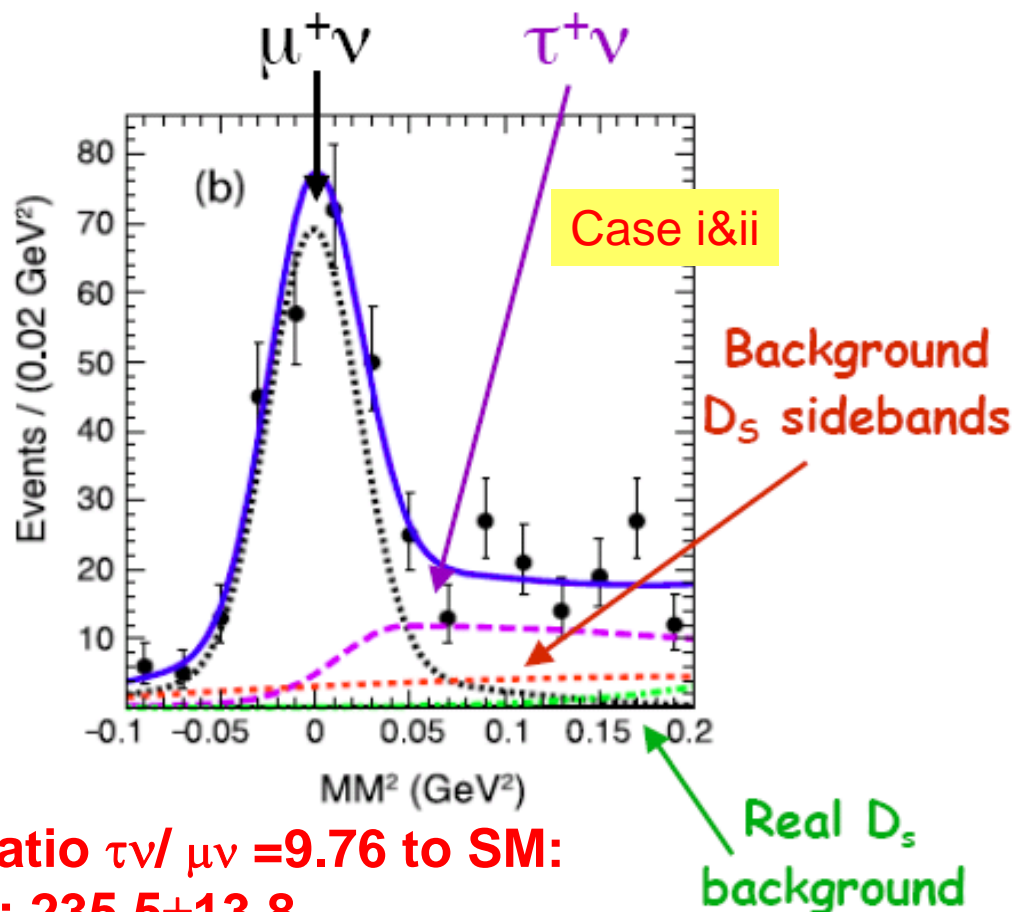
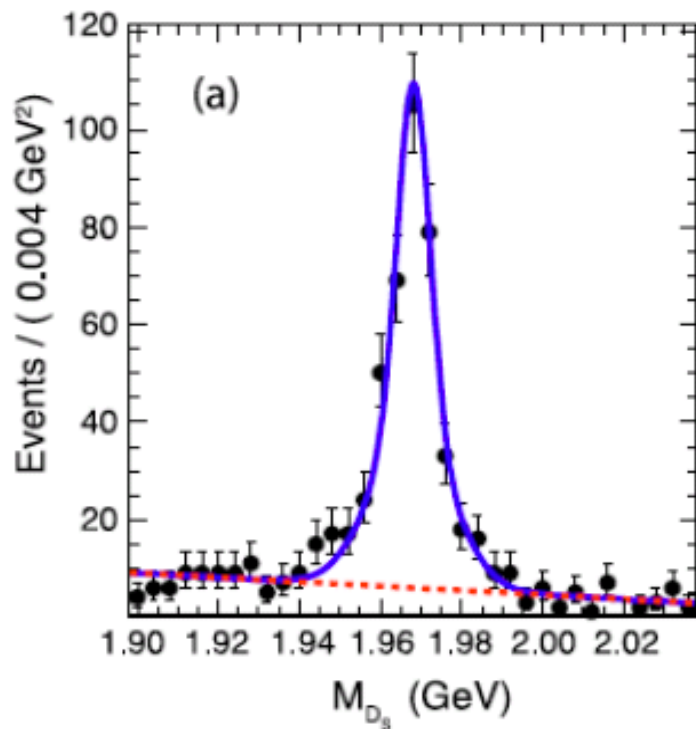
$$(\tau^+ \rightarrow \pi \nu)$$

PRD 79, 052001  
(2009) 600 pb<sup>-1</sup>

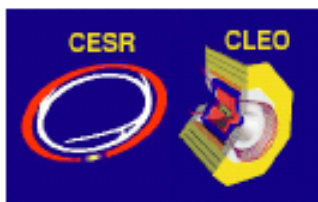
Combined case i and case ii data:

2-dimensional fit to  $D_s$  Tag mass and missing-mass-squared

Two signal modes



Fix the ratio  $\tau \nu / \mu \nu = 9.76$  to SM:  
 $D_s \rightarrow \mu \nu: 235.5 \pm 13.8$



# Backgrounds

PRD 79, 052001  
(2009) 600 pb<sup>-1</sup>

TABLE II. Background estimates for the data in the signal region  $-0.1 < MM^2 < 0.2 \text{ GeV}^2$ . (We assume  $\mathcal{B}(D_s^+ \rightarrow \tau^+ \nu) = 6.2 \pm 0.7\%$ .)

Final State	$\mathcal{B}$ (%)	# of events case(i)	# of events case (ii)
$\tau^+ \rightarrow \pi^+ \pi^0 \bar{\nu}$	$1.6 \pm 0.2$	$2.06 \pm 0.34$	$1.43 \pm 0.36$
$\tau^+ \rightarrow \mu^+ \nu \bar{\nu}$	$1.1 \pm 0.1$	$1.60 \pm 0.24$	0
$D_s^+ \rightarrow \pi^+ \pi^0 \pi^0$	1.1 (estimate)	0.12	0.12
$D_s^+ \rightarrow K^0 \pi^+$	$0.24 \pm 0.03$	$1.3 \pm 0.3$	$1.1 \pm 0.3$
$D_s^+ \rightarrow \eta \pi^+$	$1.5 \pm 0.2$	$1.1 \pm 0.3$	$0.9 \pm 0.3$
Sum		$6.2 \pm 0.7$	$3.5 \pm 0.6$

Rates are for  
full range of  
signal plots  
I've shown...

For reference,  $\mu^+ \nu$  signal is  $235.5 \pm 13.8$  events

# $D_s \rightarrow \mu \nu$ & $D_s \rightarrow \tau \nu$ ( $\pi \nu$ ): case i&ii

CLEO: PRD 79, 052001 (2009)

Absolute branching fraction:

$$Br(D_S \rightarrow l^+ \nu_\mu) = \frac{N_{D_S \rightarrow l\nu}^{observed}}{\mathcal{E}_{D_S \rightarrow l\nu} \times N_{tagged-D_S}}$$

Fix  $\tau \nu / \mu \nu$  at SM ratio of 9.76 :

$$\mathcal{B}^{eff}(D_s^+ \rightarrow \mu^+ \nu) = (0.591 \pm 0.037 \pm 0.018)\%$$

Float  $\tau \nu / \mu \nu$  :

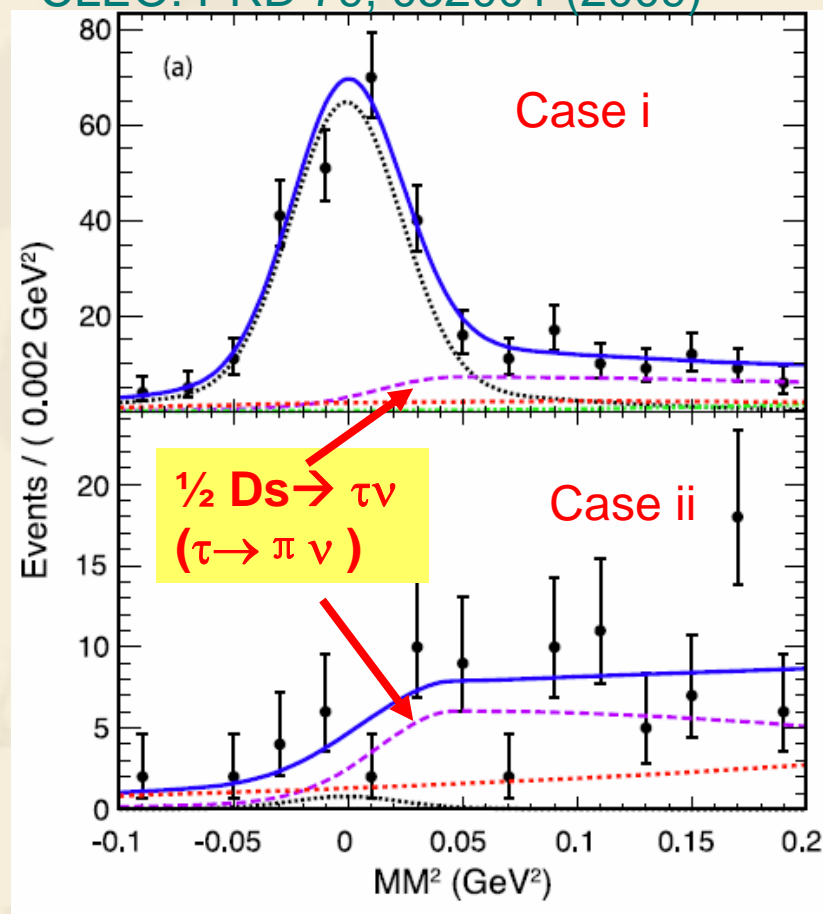
$$\mathcal{B}(D_s^+ \rightarrow \mu^+ \nu) = (0.565 \pm 0.045 \pm 0.017)\%$$

$$\mathcal{B}(D_s^+ \rightarrow \tau^+ \nu) = (6.42 \pm 0.81 \pm 0.18)\%$$

$$\mathcal{B}(D_s^+ \rightarrow e^+ \nu) < 1.2 \times 10^{-4} \quad @90\% \text{ C.L.}$$

$$R \equiv \frac{\Gamma(D_s^+ \rightarrow \tau^+ \nu)}{\Gamma(D_s^+ \rightarrow \mu^+ \nu)} = 11.4 \pm 1.7 \pm 0.2$$

SM prediction: 9.76





# Systematic Errors

PRD 79, 052001  
(2009) 600 pb<sup>-1</sup>

Error on  $f_{D_s}$  is 1/2 on this

TABLE III. Systematic errors on determination of the  $D_s^+ \rightarrow \mu^+ \nu$  branching fraction.

Error Source	Size (%)
Track finding	0.7
Particle identification of $\mu^+$	1.0
MM <sup>2</sup> width	0.2
Photon veto	0.4
Background	1.0
Number of tags	2.0
Tag bias	1.0
Radiative Correction	1.0
Total	3.0

Largest single error  
is # tags:  
might be better at  
4030 MeV, with no  $D_s^*$   
( but only 30%  
of cross-section! )

# CP violation

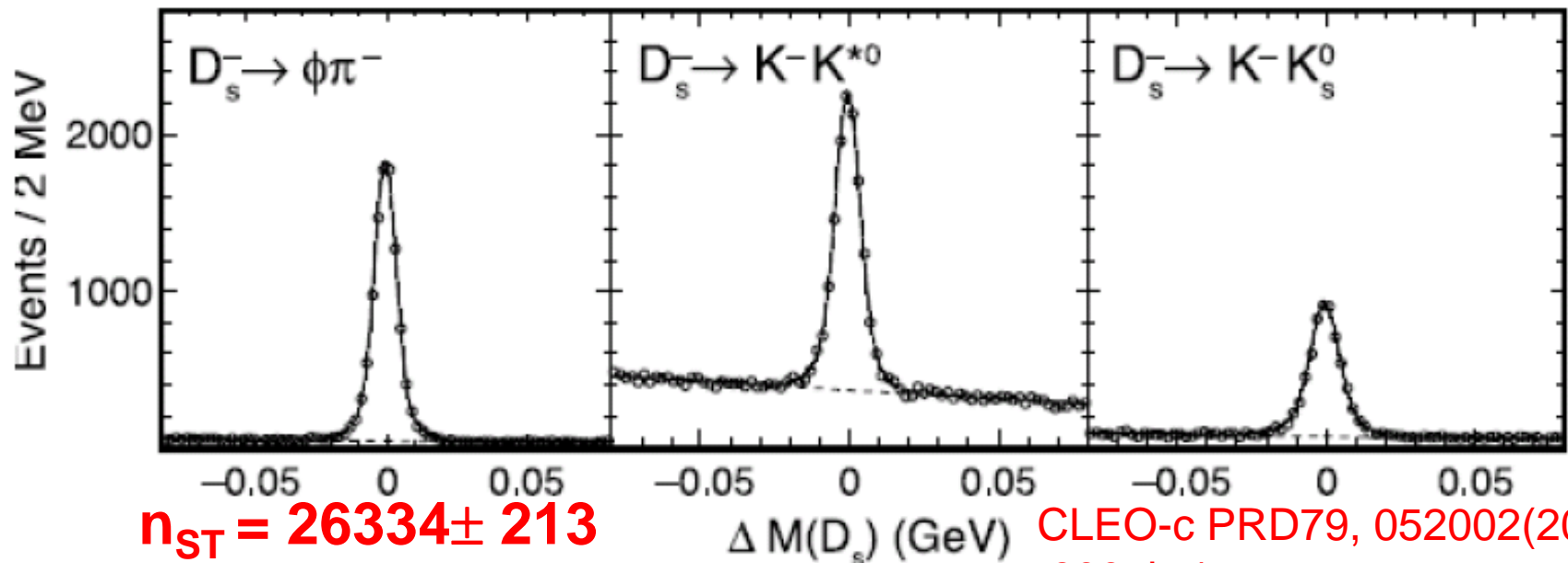
- **Ds<sup>-</sup> tag: 21807 ± 581**
- **Ds<sup>+</sup> tag: 21370 ± 581**
- **μ<sup>-</sup>ν events: 124 ± 9.9**
- **μ<sup>+</sup>ν events: 110.8 ± 9.6**

$$\frac{\Gamma(D_s^+ \rightarrow \mu^+ \nu) - \Gamma(D_s^- \rightarrow \mu^- \bar{\nu})}{\Gamma(D_s^+ \rightarrow \mu^+ \nu) + \Gamma(D_s^- \rightarrow \mu^- \bar{\nu})} = 0.048 \pm 0.061,$$

CLEO: PRD 79, 052001 (2009)

# CLEO: $D_s^+ \rightarrow \tau^+ \nu$ , $\tau^+ \rightarrow e^+ \nu \nu$

- $\mathcal{B}(D_s^+ \rightarrow \tau^+ \nu) \cdot \mathcal{B}(\tau^+ \rightarrow e^+ \nu \nu) \sim 1.3\%$  is “large” compared with expected  $\mathcal{B}(D_s^+ \rightarrow X e^+ \nu) \sim 8\%$
- We will be searching for events opposite a tag with one electron and not much other energy
- Opt to use only a subset of the cleanest tags



$n_{ST} = 26334 \pm 213$

CLEO-c PRD79, 052002(2009)  
600pb-1

# Another method $E_{\text{extra}} \text{ D}s \rightarrow \tau \nu, \tau \rightarrow e \nu_e \nu_\tau$

Always have  $>1$  neutrino! Abandon use of  $MM^2$

Technique is to find single tag candidates with an electron with momentum  $>200$  MeV & no other tracks, with extra energy in calorimeter:  $E_{\text{extra}} < 400$  MeV (we get our DT sample).

Extra energy is sum of neutral shower energy ( $>30$  MeV) in EMC, these showers must not be associated with any of the ST decay tracks, or the signal electron.

Semileptonic events tend to have hadronic Energy in Csl (but careful re:  $K_L$ !)

No need to find  $\gamma$  from  $Ds^*$  decays:

$e^+e^- \rightarrow D^*sDs \rightarrow Ds^+Ds^- \gamma$  : only one Ds is fully reconstructed and look at the other Ds in signal side.

CLEO-c PRD79, 052002(2009)  
600pb $^{-1}$



# Plot of $E_{\text{extra}}$

CLEO-c PRD79, 052002(2009)  
600pb<sup>-1</sup>

Signal region: <400 MeV

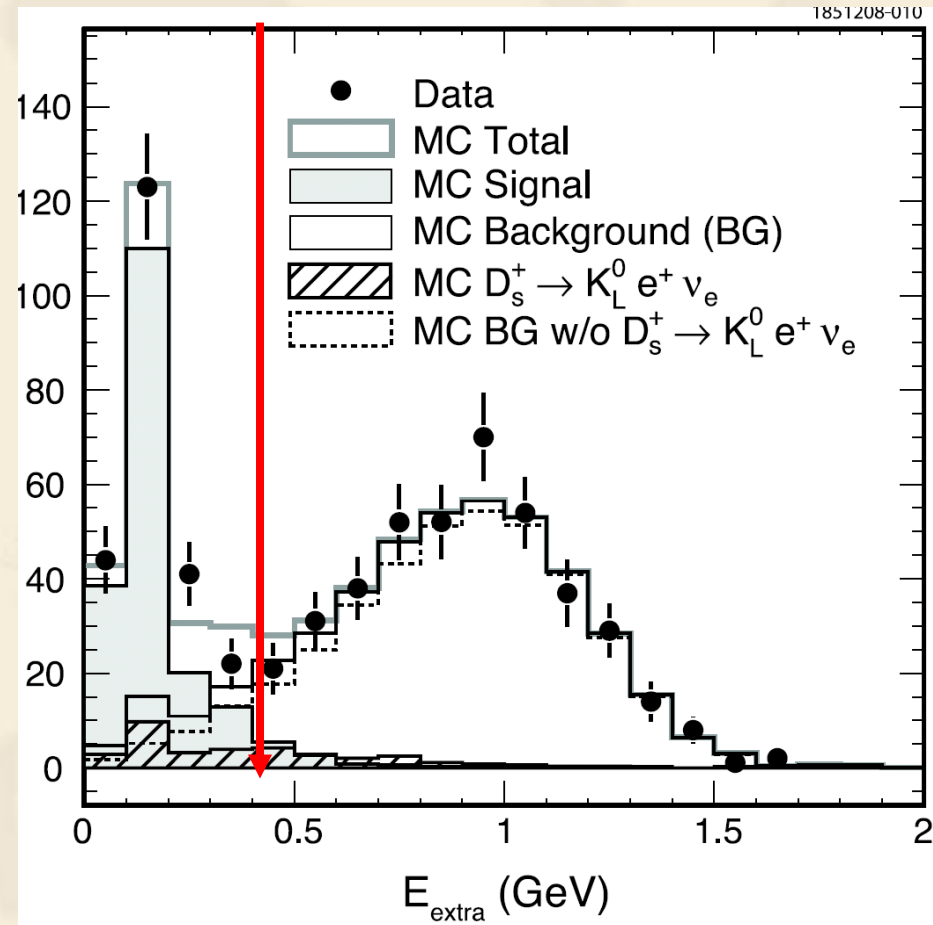
Extra energy peaks away from zero  
 $E_{\text{extra}}$  can include  $\gamma$  from  $D_s^*$  decay

$D_s \rightarrow \tau \nu \gamma$  is also included as signal  
however, this is expected to be very  
small, as the kinetic energy of  $\tau$  in  
the  $D_s$  rest frame is only 9.3 MeV.

The peaking background  
 $D_s \rightarrow K_L e \nu$  which can be simulated  
and determined by using expected  
number from MC simulation.

Normalized to the measured:

$\text{Br}(D_s \rightarrow K_s e \nu) = (0.19 \pm 0.05 \pm 0.01)\%$



# Measurement of $D_s \rightarrow \tau \nu, \tau \rightarrow e \nu_e \nu_\tau$ @CLEO-c

CLEO-c PRD79, 052002(2009)  
600pb<sup>-1</sup>

Summary of DT yield in each tag mode:

Tag mode	$n_{DT}^S$	$n_{DT}^B$	$s$	$b$	$n_{DT}$
$D_s^- \rightarrow \phi \pi^-$	79	1	0.980	$19.4 \pm 1.1$	$58.6 \pm 9.0$
$D_s^- \rightarrow K^- K^{*0}$	110	6	1.000	$20.9 \pm 1.3$	$83.1 \pm 10.8$
$D_s^- \rightarrow K^- K_S^0$	50	2	0.999	$9.1 \pm 0.7$	$38.9 \pm 7.2$
Total				$49.4 \pm 1.8$	$180.6 \pm 15.9$

Average efficiency  $\epsilon$ , and tag bias,  $b_{tag}$

Tag mode	$w$	$\epsilon \equiv \epsilon_{DT}/\epsilon_{ST}$	$b_{tag} = \epsilon'_{ST}/\epsilon_{ST}$
$D_s^- \rightarrow \phi \pi^-$	0.3671	$0.6964 \pm 0.0046$	$1.0089 \pm 0.0058$
$D_s^- \rightarrow K^- K^{*0}$	0.4154	$0.7337 \pm 0.0049$	$1.0061 \pm 0.0060$
$D_s^- \rightarrow K^- K_S^0$	0.2175	$0.7536 \pm 0.0054$	$1.0032 \pm 0.0065$
Average		$0.7244 \pm 0.0029$	$1.0065 \pm 0.0036$

# A few word on efficiencies in ST and DT

CLEO-c PRD79, 052002(2009)

600pb-1

$$\mathcal{B}_L = \frac{n_{DT}}{n_{ST}} \frac{\epsilon_{ST}}{\epsilon_{DT}} = \frac{n_{DT}/\epsilon}{n_{ST}}, \quad (4)$$

where  $\epsilon (\equiv \epsilon_{DT}/\epsilon_{ST})$  is the effective signal efficiency. Because of the large solid angle acceptance with high segmentation of the CLEO-c detector and the low multiplicity of the events with which we are concerned,  $\epsilon_{DT} \approx \epsilon_{ST}\epsilon_L$ , where  $\epsilon_L$  is the leptonic decay efficiency. Hence, the ratio  $\epsilon_{DT}/\epsilon_{ST}$  is insensitive to most systematic effects associated with the ST, and the signal branching fraction  $\mathcal{B}_L$  obtained using this procedure is nearly independent of the efficiency of the tagging mode.

# Tag bias due to the correlation between tag side and signal side reconstructions

$$\epsilon = \frac{\epsilon_{DT}}{\epsilon_{ST}} = \frac{\epsilon_{DT}}{\epsilon'_{ST}} \frac{\epsilon'_{ST}}{\epsilon_{ST}} = \frac{\epsilon_L \epsilon'_{ST}}{\epsilon'_{ST}} \frac{\epsilon'_{ST}}{\epsilon_{ST}} = \epsilon_L b_{\text{tag}}$$

$\epsilon'_{ST}$

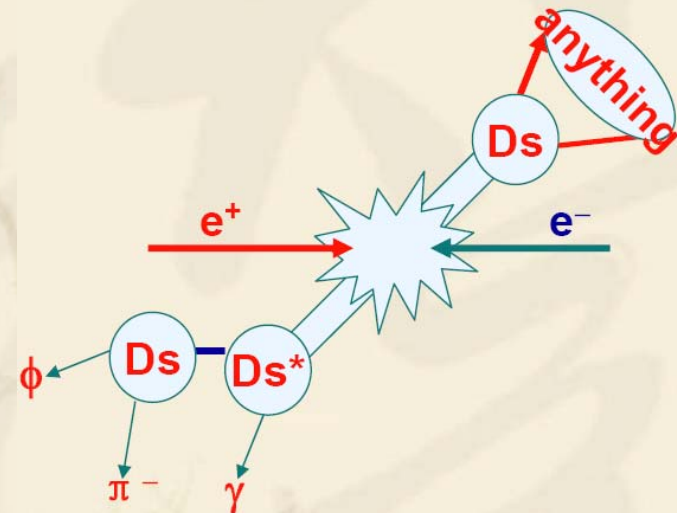
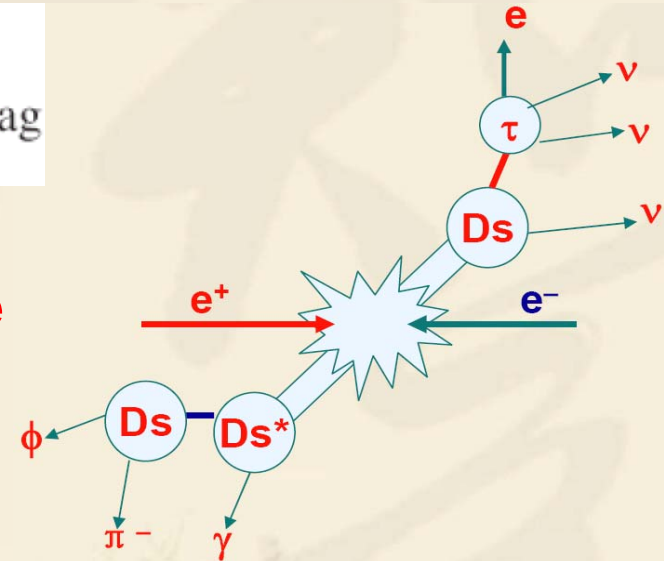
Single tag efficiency: the recoiling system is signal leptonic decay in the other side of tag mode.

$\epsilon_{ST}$

Single tag efficiency: the recoiling system is Ds decay to anything.

Sizable tag bias could be introduced if the multiplicity of the tag mode were high, or tag mode were include neutral particles in the final state.

$$\epsilon'_{ST} < \epsilon_{ST}$$



# Tag bias due to the correction between tag side and signal side reconstruction

TABLE III. Summary of the signal efficiency determined by MC simulation. Average efficiency  $\epsilon$  and the tag bias  $b_{\text{tag}}$  are obtained by using the weighting factor  $w$  determined from single-tag yields in data.

Tag mode	$w$	$\epsilon \equiv \epsilon_{\text{DT}}/\epsilon_{\text{ST}}$	$b_{\text{tag}} = \epsilon'_{\text{ST}}/\epsilon_{\text{ST}}$
$D_s^- \rightarrow \phi \pi^-$	0.3671	$0.6964 \pm 0.0046$	$1.0089 \pm 0.0058$
$D_s^- \rightarrow K^- K^{*0}$	0.4154	$0.7337 \pm 0.0049$	$1.0061 \pm 0.0060$
$D_s^- \rightarrow K^- K_S^0$	0.2175	$0.7536 \pm 0.0054$	$1.0032 \pm 0.0065$
Average		$0.7244 \pm 0.0029$	$1.0065 \pm 0.0036$

$b_{\text{tag}}$  is almost equal to 1.0, and the tag mode selected is clean and tag bias is small.

CLEO-c PRD79, 052002(2009)  
600pb-1

# Branching fraction from $D_s \rightarrow \tau \nu$ , $\tau \rightarrow e \nu \nu$

The decay constant  $f_{D_s}$  can be computed using Eq. (1) with known values [3]  $G_F = 1.16637(1) \times 10^{-5} \text{ GeV}^{-2}$ ,  $m_{D_s} = 1968.49(34) \text{ MeV}$ ,  $m_\tau = 1776.84(17) \text{ MeV}$ , and  $\tau_{D_s} = 500(7) \times 10^{-15} \text{ s}$ . We assume  $|V_{cs}| = |V_{ud}|$  and use the value  $0.97418(26)$  given in Ref. [27]. We obtain

$$\Gamma(P_{Q\bar{q}} \rightarrow \ell^+ \nu_\ell) = \frac{G_F^2 |V_{Qq}|^2 f_P^2}{8\pi} m_P m_\ell^2 \left(1 - \frac{m_\ell^2}{m_P^2}\right)^2, \quad (1)$$

$$f_{D_s} = (252.5 \pm 11.1 \pm 5.2) \text{ MeV}. \quad (9)$$

Note: rad. corr. is small,

since tau has only 9 MeV kin. E

$$\mathcal{B}(D_s^+ \rightarrow \tau^+ \nu_\tau) = (5.30 \pm 0.47 \pm 0.22)\%$$

Source	Effect on $\mathcal{B}$ (%)
Background (nonpeaking)	0.7
$D_s^+ \rightarrow K_L^0 e^+ \nu_e$ (peaking)	3.2
Extra shower	1.1
Extra track	1.1
$Q_{\text{net}} = 0$	1.1
Non electron	0.1
Secondary electron	0.3
Number of tag	0.4
Tag bias	0.2
Tracking	0.3
Electron identification	1.0
FSR	1.0
Total	4.1

Source of systematic error

CLEO-c PRD79, 052002(2009)  
600pb-1

$$D_S^+ \rightarrow \tau^+ \nu, \tau^+ \rightarrow \rho^+ \nu$$

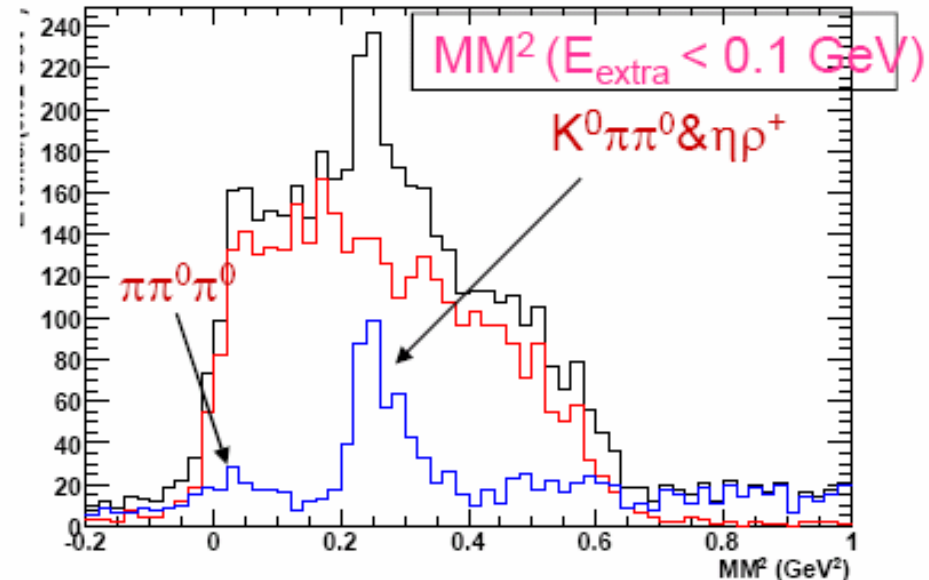
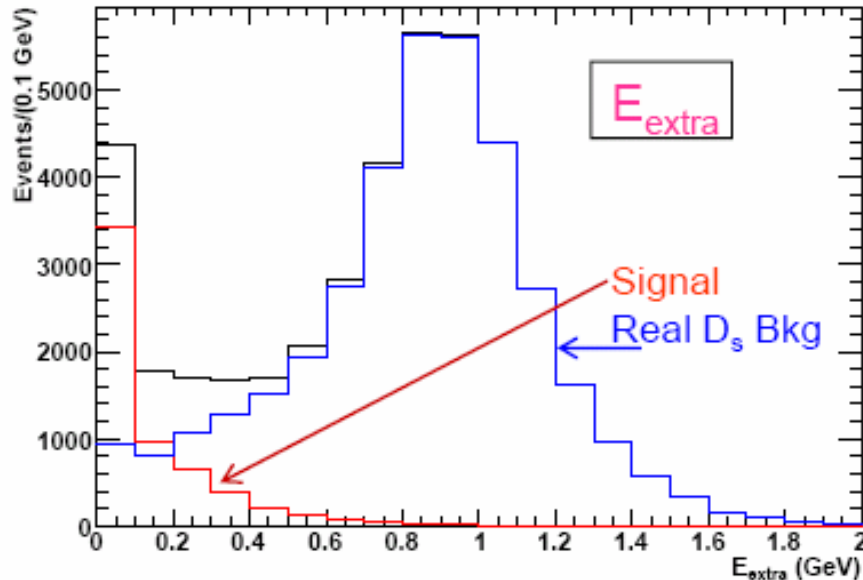
CLEO, PRD 80, 112004(2009)

- Because of the two neutrinos, the signal does not peak in  $MM^2$ , but the most important backgrounds do
- Use  $E_{\text{extra}}$  as an important discriminant

# Analysis for $D_s \rightarrow \tau\nu$ , $\tau \rightarrow \rho + \nu$

CLEO, PRD 80, 112004(2009)

## ■ Signal and MC predicted backgrounds



## ■ Measure the $\mathcal{B}$ of the 3 indicated peaking modes. Use same set of $D_s^-$ tags. Find:

$$\mathcal{B}(D_s^+ \rightarrow K^0 \pi^+ \pi^0) = (1.00 \pm 0.18 \pm 0.04)\%$$

$$\mathcal{B}(D_s^+ \rightarrow \pi^+ \pi^0 \pi^0) = (0.65 \pm 0.13 \pm 0.03)\%$$

$$\mathcal{B}(D_s^+ \rightarrow \eta \rho^+) = (8.9 \pm 0.6 \pm 0.5)\%$$



# Analysis for $D_s \rightarrow \tau \nu$ , $\tau \rightarrow \rho \nu$

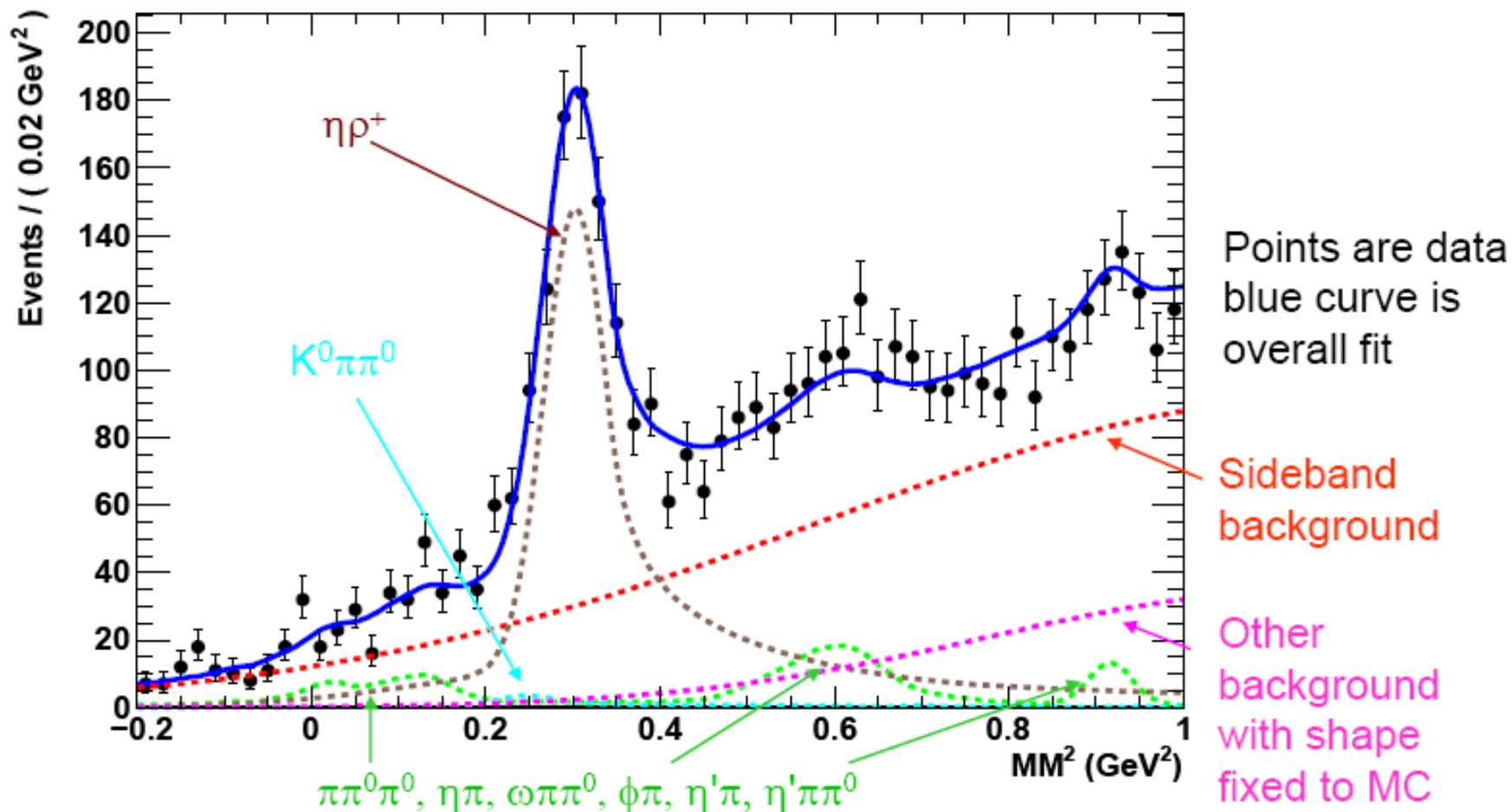
CLEO, PRD 80, 112004(2009)

- We will fit simultaneously the invariant tag mass & the  $MM^2$  distributions, separately in three  $E_{\text{extra}}$  intervals,  $<0.1$  GeV where signal dominates,  $(0.1, 0.2)$  GeV where S & B are equivalent, and  $>0.8$  GeV for checking of understanding background, where signal is absent.
- In the fits, we put Gaussian constraints on the bkgnd yields using known branching fractions and their errors. For the remaining sum of small modes we use the MC estimated rate with a rather large error. Thus the uncertainties in the background will be taken care of in the statistical error.

# Fit to $E_{\text{extra}} > 0.8 \text{ GeV}$

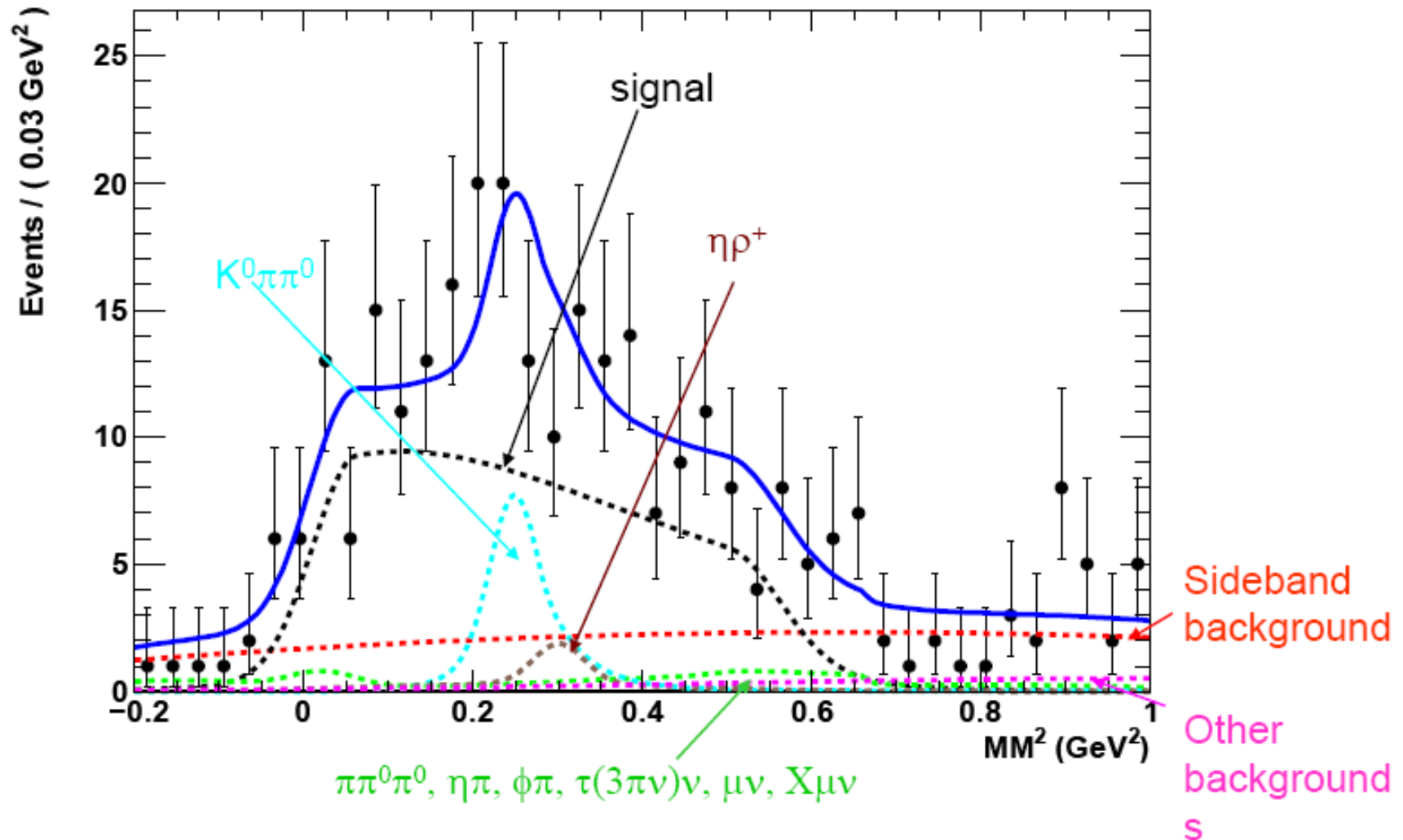
CLEO, PRD 80, 112004(2009)

No signal, fit consistent with bkgrnd expectations



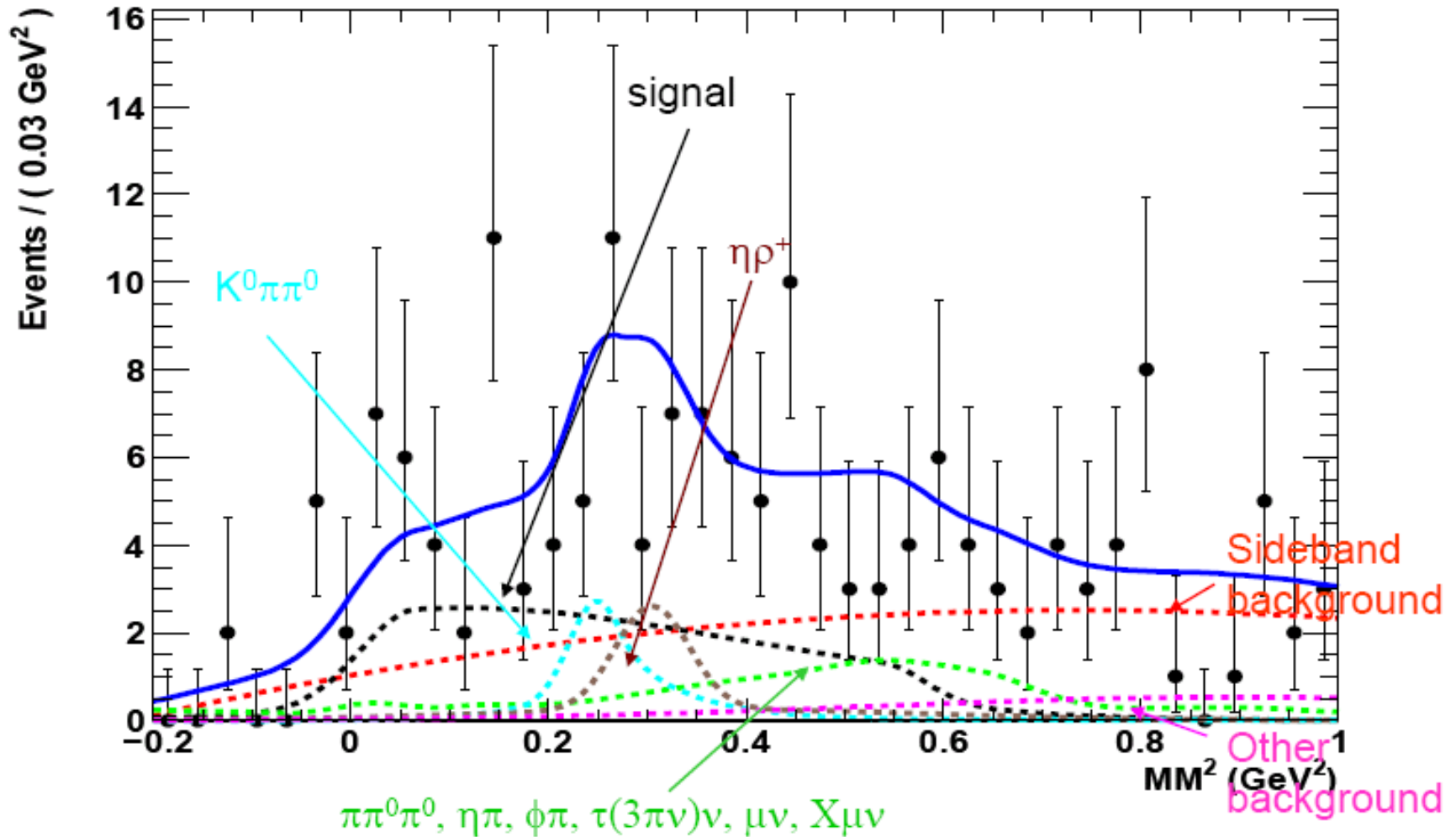
# Signal Region I: $E_{\text{extra}} < 0.1 \text{ GeV}$

CLEO, PRD 80, 112004(2009)



# Signal Region II: $0.1 < E_{\text{extra}} < 0.2 \text{ GeV}$

CLEO, PRD 80, 112004(2009)



# Branching fraction from $D_s \rightarrow \tau\nu$ , $\tau \rightarrow \rho+\nu$

CLEO, PRD 80, 112004(2009)

$E_{\text{extra}} \in$	Signal yields	Efficiency	$\mathcal{B}(D_s^+ \rightarrow \tau^+\nu)$
[0,100] MeV	$155.2 \pm 16.5$	25.3%	$(5.48 \pm 0.59)\%$
[100,200] MeV	$43.7 \pm 11.3$	6.9%	$(5.65 \pm 1.47)\%$
[0,200] MeV	$198.8 \pm 20.0^*$	32.2%	$(5.52 \pm 0.57 \pm 0.21)\%$

•Sum of the above two

$$\bullet f_{D_s} = (257.8 \pm 13.3 \pm 5.2) \text{ MeV}$$

# Summery on $f_{D_s}$ from CLEO-c

CLEO, PRD 80, 112004(2009)

600 pb-1 @4170MeV

TABLE IV. Recent absolute measurements of  $f_{D_s}$  from CLEO-c.

Experiment	Mode	$\mathcal{B}$ (%)	$f_{D_s}$ (MeV)
	$\tau^+ \nu (\rho^+ \bar{\nu})$	$(5.52 \pm 0.57 \pm 0.21)$	$257.8 \pm 13.3 \pm 5.2$
	$\tau^+ \nu (\pi^+ \bar{\nu})$	$(6.42 \pm 0.81 \pm 0.18)$	$278.0 \pm 17.5 \pm 4.4$
	$\tau^+ \nu (e^+ \nu \bar{\nu})$	$(5.30 \pm 0.47 \pm 0.22)$	$252.6 \pm 11.2 \pm 5.6$
Average	$\tau^+ \nu$	$(5.58 \pm 0.33 \pm 0.13)$	$259.7 \pm 7.8 \pm 3.4$
CLEO-c [9]	$\mu^+ \nu$	$(0.565 \pm 0.045 \pm 0.017)$	$257.6 \pm 10.3 \pm 4.3$
Average	$\tau^+ \nu + \mu^+ \nu$		$259.0 \pm 6.2 \pm 3.0$

**(3.4%±1.2%)**

**HPQCD+UKQCD:  $241 \pm 3$  MeV,  
2.4 sigma deviation from CLEO-c measurements**

$$\frac{f_{D_s}(D_s^+ \rightarrow \tau^+ \nu)}{f_{D_s}(D_s^+ \rightarrow \mu^+ \nu)} = 1.01 \pm 0.05,$$

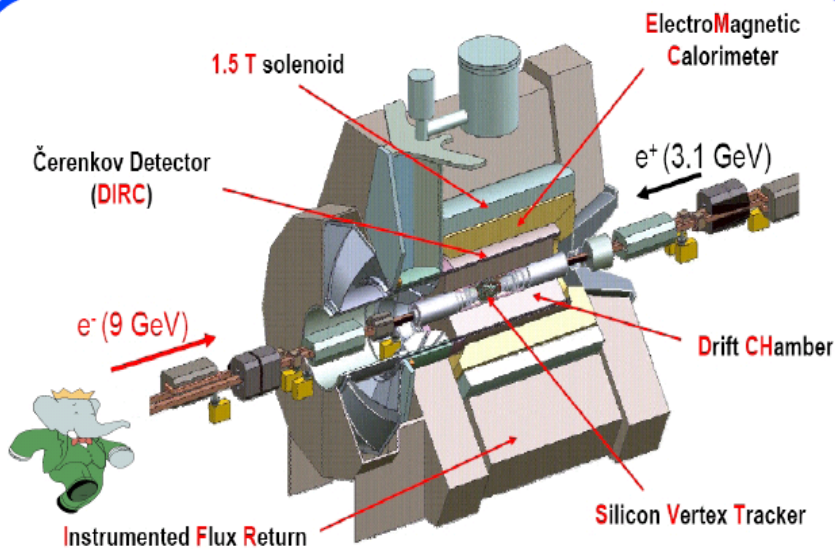
**Consistent with the lepton universality**

# Theoretical prediction for $f_{D_s}$ and $f_{D^+}$

TABLE VI. Theoretical predictions of  $f_{D_s^+}$ ,  $f_{D^+}$ , and  $f_{D_s^+}/f_{D^+}$ . QL indicates quenched lattice calculations. (This table adopted from Table II of ref. [7].)

Model	$f_{D_s^+}$ (MeV)	$f_{D^+}$ (MeV)	$f_{D_s^+}/f_{D^+}$
Lattice (HPQCD+UKQCD) [6]	$241 \pm 3$	$208 \pm 4$	$1.162 \pm 0.009$
Lattice (FNAL+MILC+HPQCD) [5]	$249 \pm 3 \pm 16$	$201 \pm 3 \pm 17$	$1.24 \pm 0.01 \pm 0.07$
QL (QCDSF) [36]	$220 \pm 6 \pm 5 \pm 11$	$206 \pm 6 \pm 3 \pm 22$	$1.07 \pm 0.02 \pm 0.02$
QL (Taiwan) [37]	$266 \pm 10 \pm 18$	$235 \pm 8 \pm 14$	$1.13 \pm 0.03 \pm 0.05$
QL (UKQCD) [38]	$236 \pm 8_{-14}^{+17}$	$210 \pm 10_{-16}^{+17}$	$1.13 \pm 0.02_{-0.02}^{+0.04}$
QL [39]	$231 \pm 12_{-1}^{+6}$	$211 \pm 14_{-12}^{+2}$	$1.10 \pm 0.02$
QCD Sum Rules [40]	$205 \pm 22$	$177 \pm 21$	$1.16 \pm 0.01 \pm 0.03$
QCD Sum Rules [41]	$235 \pm 24$	$203 \pm 20$	$1.15 \pm 0.04$
Field Correlators [42]	$210 \pm 10$	$260 \pm 10$	$1.24 \pm 0.03$
Quark Model [43]	268	234	1.15
Quark Model [44]	$248 \pm 27$	$230 \pm 25$	$1.08 \pm 0.01$
LFQM (Linear) [45]	211	248	1.18
LFQM (HO) [45]	194	233	1.20
LF-QCD [46]	253	241	1.05
Potential Model [47]	241	238	1.01
Isospin Splittings [48]		$262 \pm 29$	

# B factories experiments



BaBar operated at PEP-II at SLAC National Accelerator Laboratory until April 2008.

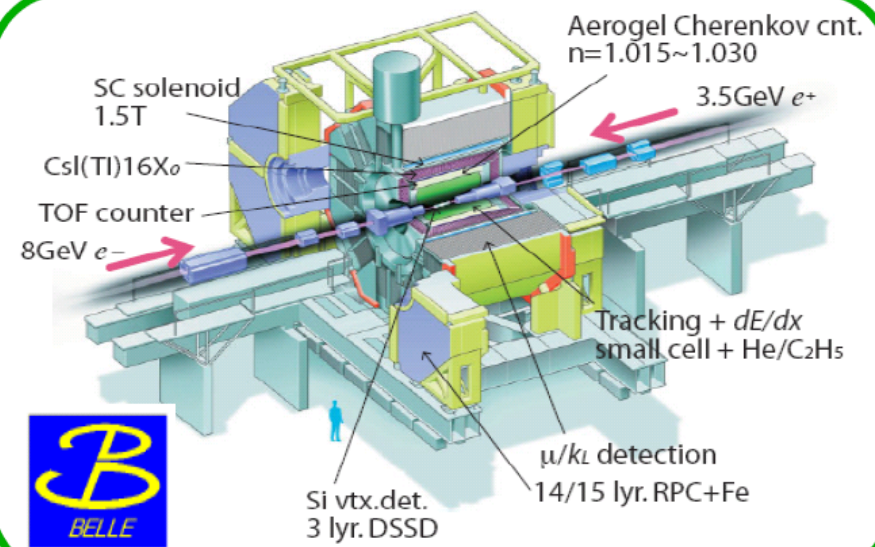
Belle operated at KEKB at Tsukuba Asymmetric  $e^+e^-$  colliders with  $\sqrt{s} \sim 10.6$  GeV

Integrated luminosity:

BaBar  $\sim 530 \text{ fb}^{-1}$  Belle  $\sim 1000 \text{ fb}^{-1}$

BaBar also collected world-largest samples at Y(2S) and Y(3S)

Belle also collected samples at Y(1S) and Y(5S)





Use "Continuum tagging":

$$e^+e^- \rightarrow D^{\pm,0} K^{\pm,0} X D_s^*,$$

"X" =  $n\pi$  -or-  $n\pi \gamma$  (fragmentation)

about 25% of D BF used

Use recoil mass:

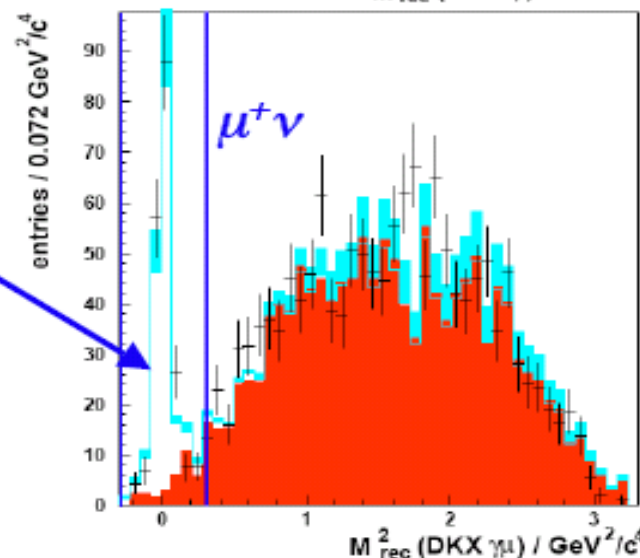
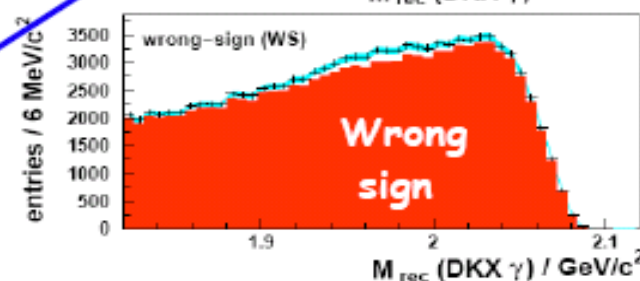
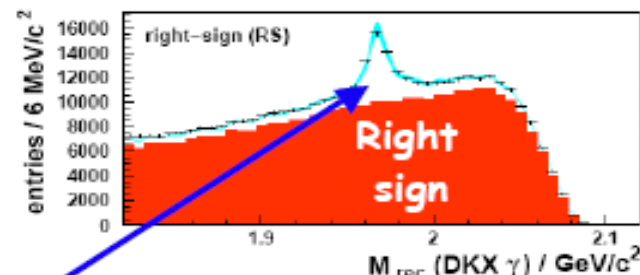
against  $DKX\gamma$  counts total  $D_s$

against  $DKX\gamma\mu$  counts  $D_s \rightarrow \mu^+ \nu$

$$\mathcal{B}(D_s^+ \rightarrow \mu^+ \nu) = (0.644 \pm 0.076 \pm 0.057)\%$$

$$f_{D_s} = 274 \pm 16 \pm 12 \text{ MeV}^* \\ [\pm 5.8\% \pm 4.4\%]$$

\* including radiative correction of -1% in BR





*Belle:  $D_s \rightarrow \mu^+ \nu$*

PRL 100, 241801  
(2008) 548 fb<sup>-1</sup>

**Systematic error totals 4.4% on  $f_D$**

3.2% MC statistics

2.2% background

1.5% Tag simulation

1.4% Muon ID

Many checks with careful attention paid to tags:  
simulation accuracy, stability of result, ...

**Super B Factory: 3.2 ab<sup>-1</sup> = final CLEO-c stat error**

Need to control systematics 3x better to match CLEO-c

That may not be crazy, but may be hard to push far beyond ?

Still would be a nice independent cross-check

# Analysis strategy from BABAR

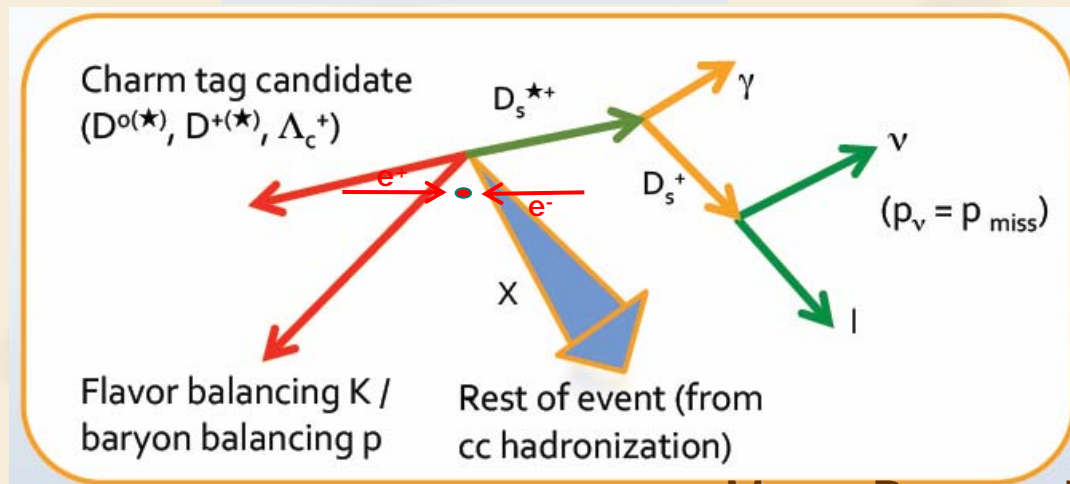


$$e^+e^- \rightarrow c\bar{c} \rightarrow DKX D_s^{*-}, \text{ where } D_s^{*-} \rightarrow D_s^- \gamma$$

- **Inclusive  $D_s$  candidates**
  - The signal consists of  $D_s^*$  candidates decaying to  $D_s \gamma$
  - The  $D_s$  candidate is reconstructed from the four-momentum recoiling against the  $DKX \gamma$  ( $D = D^{0(*)}, D^{+(*)}, \Lambda_c^+$ ;  $K = K_s, K^+, (p)$ ;  $X = \pi^+, \pi^0$ )
- Within this sample, the  $D_s^+ \rightarrow \ell^+ \nu_\ell$  ( $\ell = e, \mu, \tau$ ) events are selected
- One more track, identified as  $e/\mu$ , is required

Yields corrected by efficiency to obtain the branching fractions:

$$B(D_s^+ \rightarrow l\nu) = \frac{N(D_s^+ \rightarrow l\nu)}{N(D_s^+) \epsilon_{lv}}$$



# D candidate reconstruction

We reconstruct  $D$  candidates using the following 15 modes:  $D^0 \rightarrow K^- \pi^+ (\pi^0)$ ,  $K^- \pi^+ \pi^- \pi^+ (\pi^0)$ , or  $K_S^0 \pi^+ \pi^- (\pi^0)$ ;  $D^+ \rightarrow K^- \pi^+ \pi^+ (\pi^0)$ ,  $K_S^0 \pi^+ (\pi^0)$ , or  $K_S^0 \pi^+ \pi^- \pi^+$ ; and  $\Lambda_c^+ \rightarrow p K^- \pi^+ (\pi^0)$ ,  $p K_S^0$ , or  $p K_S^0 \pi^- \pi^+$ .

**If the D candidate is  $\Lambda_c$  additional proton is required in X list, to ensure the baryon balance**

To identify  $D$  mesons originating from  $D^*$  decays we reconstruct the following decays:  $D^{*+} \rightarrow D^0 \pi^+$ ,  $D^{*0} \rightarrow D^0 \pi^0$ ,  $D^{*+} \rightarrow D^+ \pi^0$ , and  $D^{*0} \rightarrow D^0 \gamma$ .

For all  $D^*$  decays, the mass difference  $m(D^*) - m(D)$  is required to be within 2.5 sigma of the peak value.

# Fully Inclusive $D_s$ Sample



Marco Pappagallo ICHEP2010

521 fb<sup>-1</sup>

## Most Relevant Selection criteria:

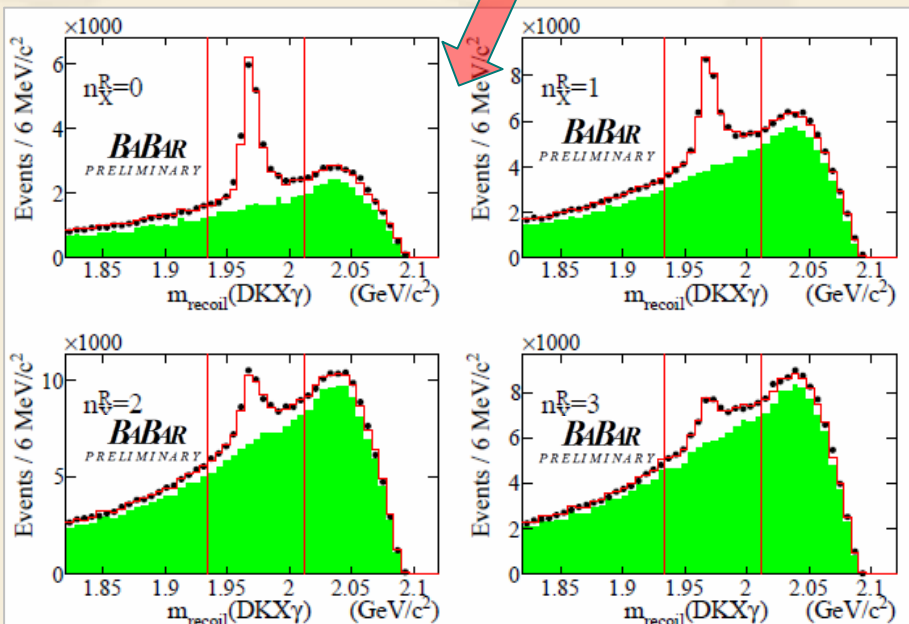
- $p^*(D_s) > 3.0 \text{ GeV}/c$
- $m_{\text{recoil}}(\text{DKX})$  within  $\sim 2.5 \sigma$  of the  $D_s^*$  PDG mass value
- $E_\gamma > 120 \text{ MeV} + \pi^0$  and  $\eta$  vetoes

**N.B.**

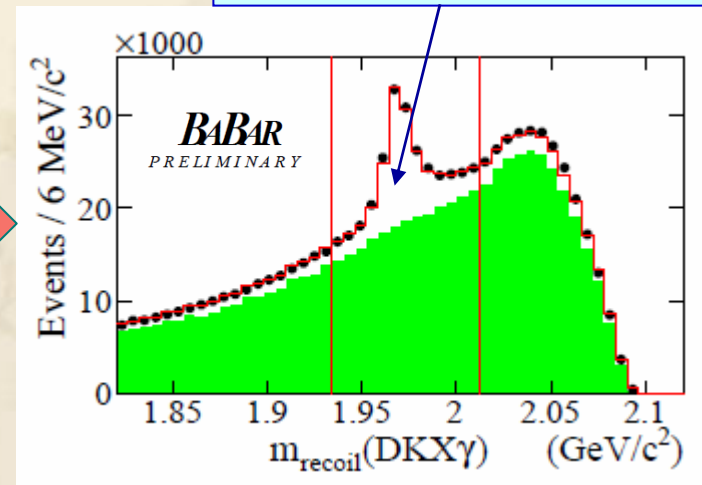
$$m_{\text{recoil}}(\text{DKX}) \equiv m(D_s^*)$$

$$m_{\text{recoil}}(\text{DKX} \gamma) \equiv m(D_s)$$

Result of 2D fit  $m_{\text{recoil}}(\text{DKX} \gamma)$  vs.  $n_X^R$  ( $n_X^R =$  Number of reconstructed pions in X system)



Total



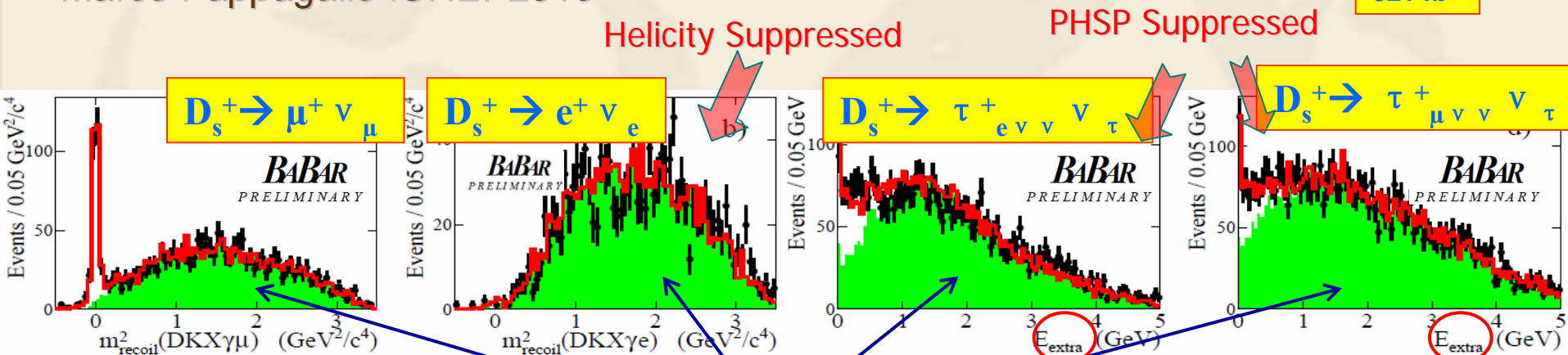
# Results from BABAR



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arXiv:1008.4080[hep-ex]

521 fb<sup>-1</sup>



Background

Extra neutral energy in the event

Decay	Signal Yield	$\mathcal{B}(D_s^+ \rightarrow \ell^+ \nu_\ell)$	$f_{D_s}$ (MeV)
$D_s^+ \rightarrow e^+ \nu_e$	$6.1 \pm 2.2 \pm 5.2$	$< 2.3 \times 10^{-4}$ at 90% C.L.	
$D_s^+ \rightarrow \mu^+ \nu_\mu$	$275 \pm 17$	$(6.02 \pm 0.38 \pm 0.34) \times 10^{-3}$	$265.7 \pm 8.4 \pm 7.7$
$D_s^+ \rightarrow \tau_{e\nu\nu\nu}^+$	$408 \pm 42$	$(5.07 \pm 0.52 \pm 0.68) \times 10^{-2}$	$247 \pm 13 \pm 17$
$D_s^+ \rightarrow \tau_{\mu\nu\nu\nu}^+$	$340 \pm 32$	$(4.91 \pm 0.47 \pm 0.54) \times 10^{-2}$	$243 \pm 12 \pm 14$

Normalization mode of many  $D_s$  decays!

The hadronic  $D_s^+ \rightarrow K^+ K^- \pi^+$  used to cross-check the method

$$\mathcal{B}(D_s^+ \rightarrow K^+ K^- \pi^+) = (5.78 \pm 0.20(\text{stat}) \pm 0.30(\text{syst}))\%$$

$$f_{D_S} = (258.6 \pm 6.4(\text{stat}) \pm 7.5(\text{syst})) \text{ MeV}$$

Very Competitive Measurements!

(2.5% ± 3.0%)

# Most recent summary of $f_{D_s}$

Experiment	Mode	$\mathcal{B}$	$f_{D_s^+}$ (MeV)
CLEO-c [12]	$\mu^+\nu$	$(5.65 \pm 0.45 \pm 0.17) \times 10^{-3}$	$257.6 \pm 10.3 \pm 4.3$
Belle [13]	$\mu^+\nu$	$(6.38 \pm 0.76 \pm 0.57) \times 10^{-3}$	$274 \pm 16 \pm 12$
Average	$\mu^+\nu$	$(5.80 \pm 0.43) \times 10^{-3}$	$261.5 \pm 9.7$
CLEO-c [12]	$\tau^+\nu (\pi^+\bar{\nu})$	$(6.42 \pm 0.81 \pm 0.18) \times 10^{-2}$	$278.0 \pm 17.5 \pm 3.8$
CLEO-c [14]	$\tau^+\nu (\rho^+\bar{\nu})$	$(5.52 \pm 0.57 \pm 0.21) \times 10^{-2}$	$257.8 \pm 13.3 \pm 5.2$
CLEO-c [15]	$\tau^+\nu (e^+\nu\bar{\nu})$	$(5.30 \pm 0.47 \pm 0.22) \times 10^{-2}$	$252.6 \pm 11.2 \pm 5.6$
BaBar [16]	$\tau^+\nu (e^+\nu\bar{\nu})$	$(4.54 \pm 0.53 \pm 0.40 \pm 0.28) \times 10^{-2}$	$233.8 \pm 13.7 \pm 12.6$
Average	$\tau^+\nu$	$(5.58 \pm 0.35) \times 10^{-2}$	$255.5 \pm 7.5$
Average	$\mu^+\nu + \tau^+\nu$		$257.5 \pm 6.1$

From Rosner & Stone, use  $|V_{cs}|=|V_{ud}|-|V_{cb}|^2/2=0.97345$ ,  
 $\tau_{D_s}=0.500(7)$  ps [[arXiv:1002.1655](https://arxiv.org/abs/1002.1655)]

$$f_{D_s}(\tau\nu)/f_{D_s}(\mu\nu) = 0.98 \pm 0.05$$

$$f_{D_s}/f_{D^+} = 1.25 \pm 0.06$$

These results are very important to guide theory, useful to calculate  $f_{B^+}/f_{B_s^+}$ !

# Theoretical predictions & postdictions

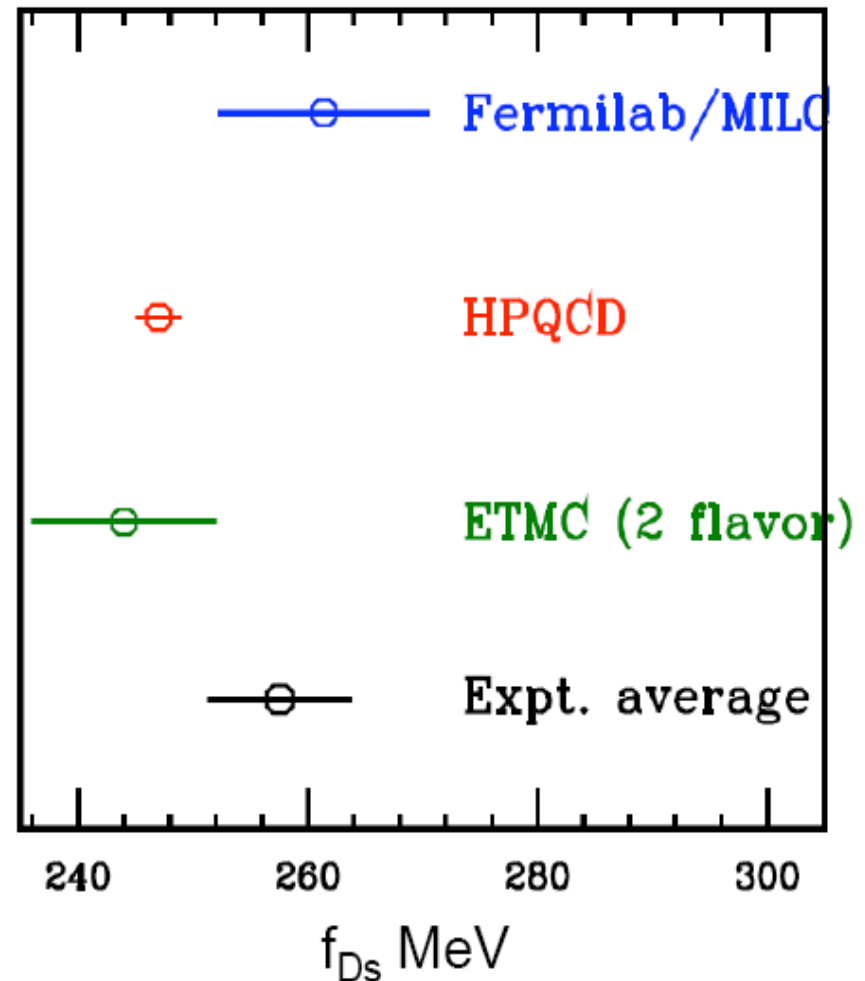
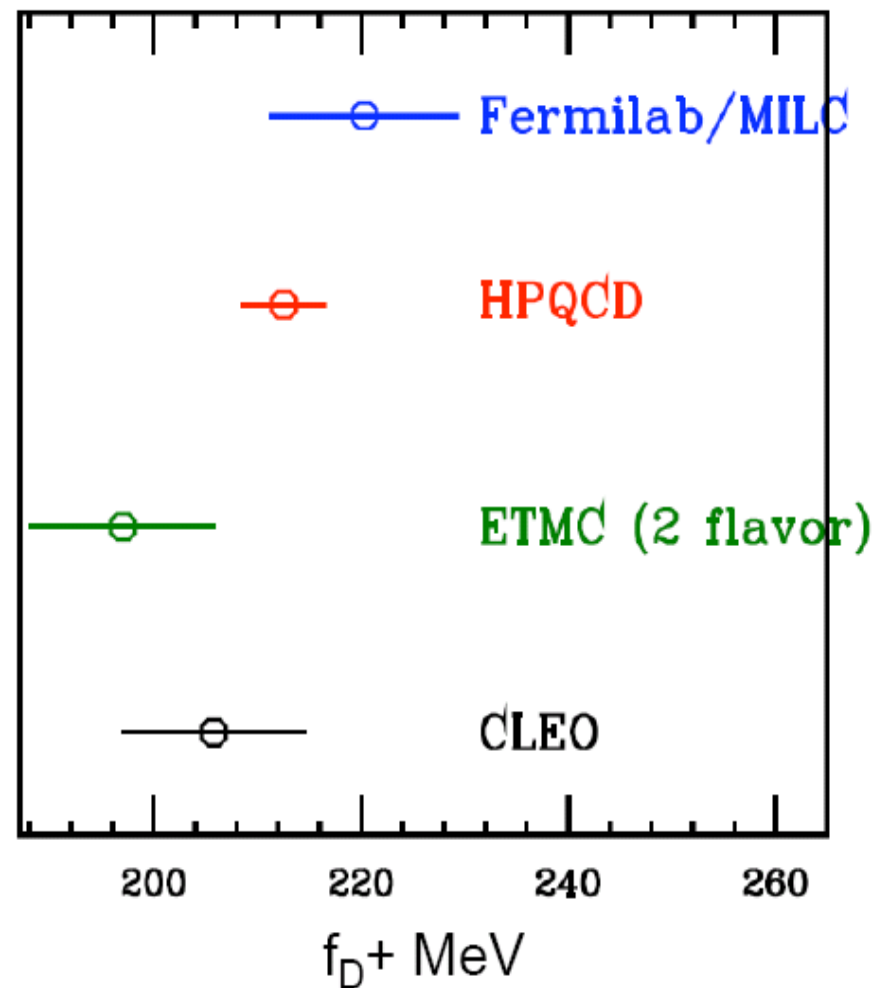
- Quote only unquenched Lattice results (MeV)

	Data	Fermi-Milc (2005)	Fermi-Milc (2010)	HPQCD (2007)	HPQCD (2010)	ETMC (2 flavors of sea q)
$f_{D^+}$	$206.7 \pm 8.9$	$207 \pm 3 \pm 17$	$220.3 \pm 8.0 \pm 4.8$	$207 \pm 4$		$197 \pm 9$
$f_{D_s}$	$257.5 \pm 6.1$	$249 \pm 3 \pm 16$	$261.4 \pm 7.7 \pm 5.0$	$241 \pm 3$	$247 \pm 2$	$244 \pm 8$

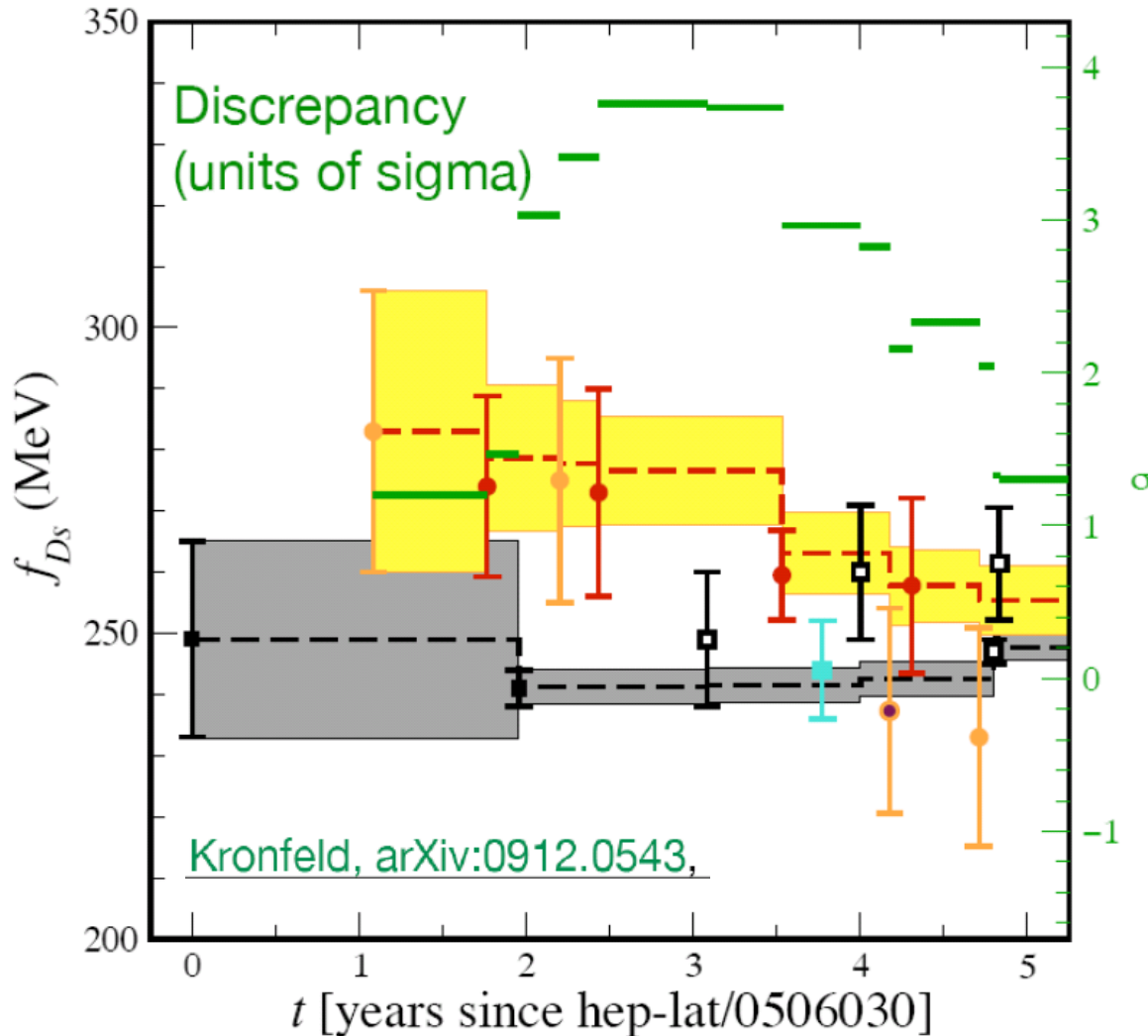
- HPQCD extrapolation from C. Bernard at Lattice QCD Meets Experiment Workshop, Fermilab April 26-27, 2010



# Latest LQCD



# From Kronfeld and his updates



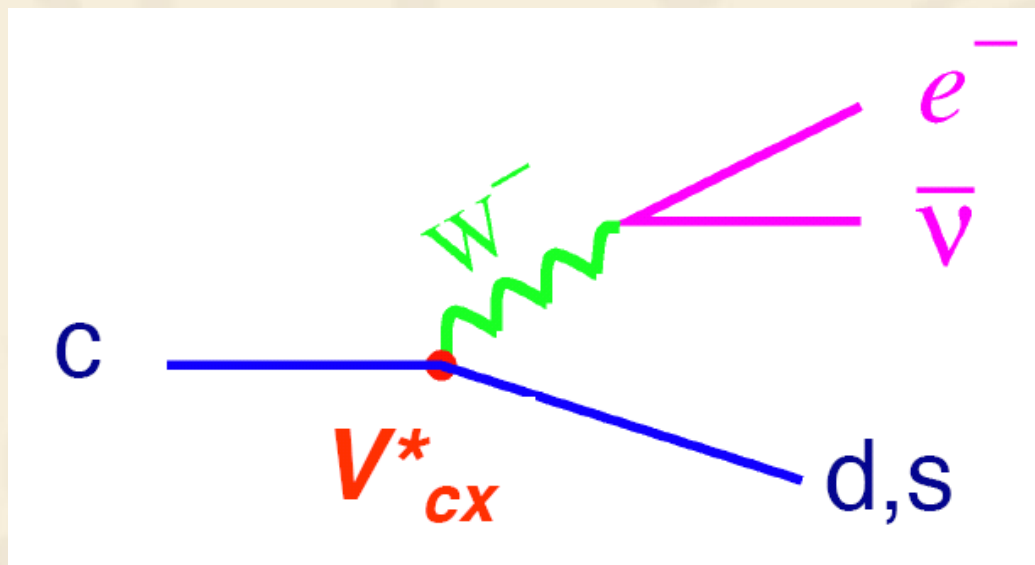
- **Yellow:** expt. average
- **Gray:** lattice average
- **Circles:** expts.:
  - orange:  $\Upsilon(4S)$
  - red:  $D_s^{(*)} D_s^{(*)}$  threshold
- **Squares:** lattice
  - filled: published
  - open: prelim or conference proc.
  - cyan: 2 flavors

**The “puzzle” disappeared, no exciting!**

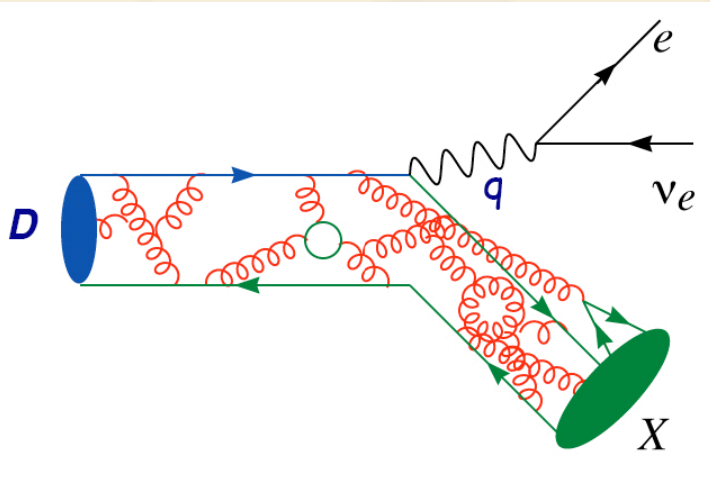
# Questions for you

- ❖ At  $\psi(3770)$ , the D mass can be constrained by beam energy, why this technique will provide us clean single tag sample without peaking background?
- ❖ At 4030 MeV, the  $D_s^+D_s^-$  can be produced in pair, while at 4170 MeV,  $D_s^+D_s^{*-}$  will be produced, for the measurement of  $f_{D_s}$ , in which energy point, the systematic error can be controlled?
- ❖ Can  $f_{D^+}$  be measured at B factories by using data at  $Y(4S)$ ?
- ❖ Why  $f_{D_s}$  can be made at B factories?
- ❖ Why  $\tau(B^+) \cong \tau(B^0)$ , while it is not true for  $D^0$  and  $D^+$ ?

# Semileptonic decays



# Semileptonic D decay



- Decay rate depends on kinematics and  $V_{CKM}$
- Form factor encapsulates QCD bound-state effects
- Consider Pseudoscalar final states:  $K, \pi$

$$\frac{d\Gamma}{dq^2} = \frac{G_F^2}{24\pi^3} |V_{cx}|^2 p_X^3 |f_+(q^2)|^2$$

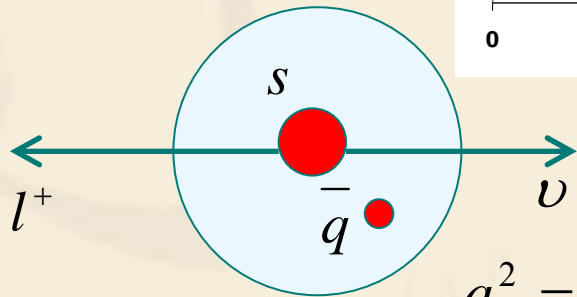
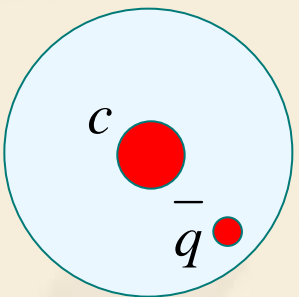
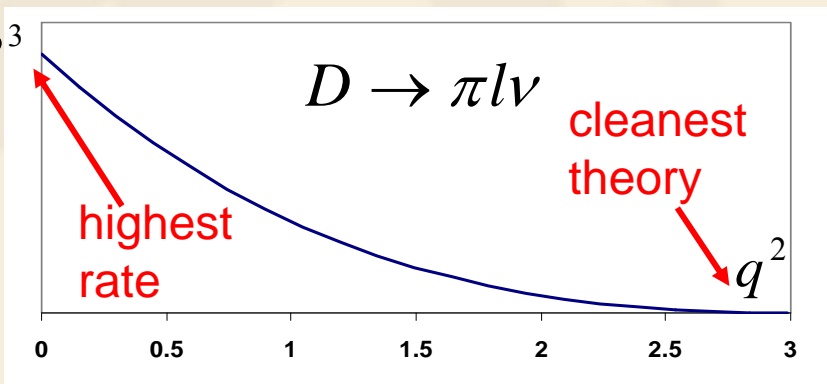
$$\begin{aligned} q^2 &= (p_D - p_X)^2 \\ &= M_D^2 + M_X^2 - 2E_X M_D + 2\vec{p}_D \cdot \vec{p}_X \end{aligned}$$

Precise measurements of  $D \rightarrow \pi / K \ell \nu$  can calibrate Lattice QCD and allow a precise extraction of CKM elements in B/D meson decays.

# Two Key Kinematic Configurations

J. Richman, Rev. of Mod. Phys. 67, 893(1995)

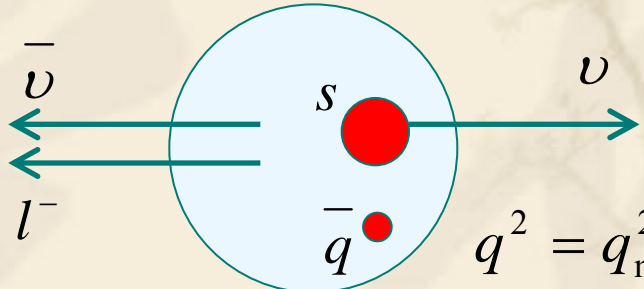
$$\frac{d\Gamma}{dq^2}(D \rightarrow Pl^+\nu) = \frac{G_F^2}{24\pi^3} |V_{cq}|^2 P_X^3 |F_+(q^2)|^2$$



**Zero recoil**

$$q^2 = q_{\max}^2$$

Form factor maximum, easy to form meson

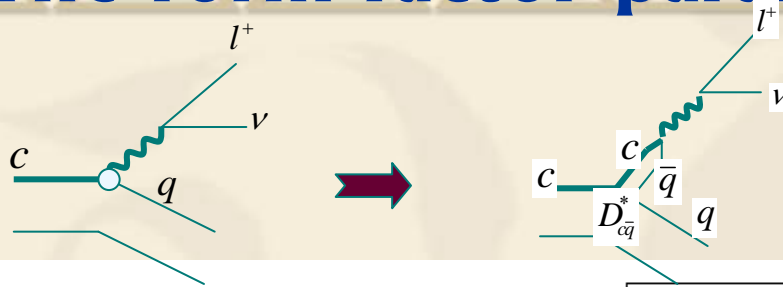


$$q^2 = q_{\min}^2$$

Form factor minimum, favor nonresonant

**Form factor describes the probability to form a meson in the final state.**

# The form factor parameterizations



- Pole ansatz:
  - dominated by lowest lying vector meson  $H^*$  with correct flavor
  - e.g.  $D^*$  for  $D \rightarrow \pi$
  - $D_s^*$  for  $D \rightarrow K$
- Modified pole:

$$f_+(q^2) = \frac{f_+(0)}{\left(1 - \frac{q^2}{m_{H^*}^2}\right)}$$

$$f_+(q^2) = \frac{f_+(0)}{\left(1 - \frac{q^2}{m_{H^*}^2}\right)\left(1 - \alpha \frac{q^2}{m_{H^*}^2}\right)}$$

Becirevic & Kaidalov PLB 478, 417 (2000)

- Analyticity expansions:

Becher & Hill PLB 633, 61 (2006)

$$f_+(q^2) = \frac{1}{P(q^2)\phi(q^2, t_0)} \sum_{k=0}^{\infty} a_k(t_0) [z(q^2, t_0)]^k$$

- expand in  $z$  around  $t_0$
- better convergence
- 2 or 3 parameters

$$z(q^2, t_0) = \frac{\sqrt{t_+ - q^2} - \sqrt{t_+ - t_0}}{\sqrt{t_+ - q^2} + \sqrt{t_+ - t_0}}$$

$$t_{\pm} = (m_D \pm m_X)^2$$

# Analysis Overview

- Tag hadronic  $\bar{D}$  decay in "golden" modes :

$$\bar{D}^0 \rightarrow K^+ \pi^-$$

$$\bar{D}^0 \rightarrow K^+ \pi^- \pi^0$$

$$\bar{D}^0 \rightarrow K^+ \pi^- \pi^- \pi^+$$

$$D^- \rightarrow K^+ \pi^- \pi^-$$

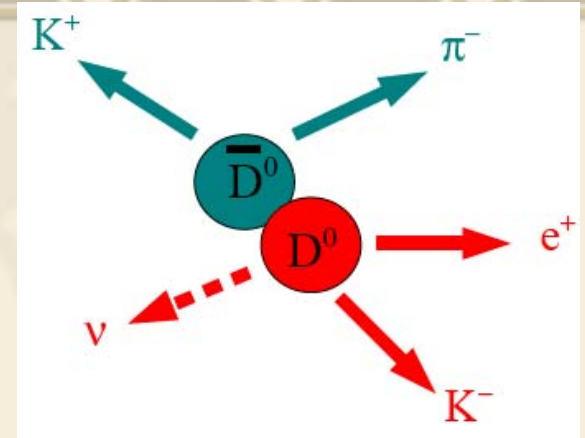
$$D^- \rightarrow K^+ \pi^- \pi^- \pi^0$$

$$D^- \rightarrow K_S^0 \pi^-$$

$$D^- \rightarrow K_S^0 \pi^- \pi^0$$

$$D^- \rightarrow K_S^0 \pi^- \pi^- \pi^+$$

$$D^- \rightarrow K^+ K^- \pi^-$$



$$\Delta E = E_{\text{tag}} - E_{\text{beam}}$$

$$M_{\text{bc}} = \sqrt{E_{\text{beam}}^2 - p_{\text{tag}}^2}$$

- ❖ Cut on  $\Delta E$  and fit  $M_{\text{bc}}$  to extract tag yield  $N_{\text{tag}}$

- ❖ Identify semileptonic  $D$  decay with

$$U = E_{\text{miss}} - \left| \vec{P}_{\text{miss}} \right|$$

- ❖ Fit  $U$  to extract signal yield  $N$

$$E_{\text{miss}} = E_{\text{beam}} - E_K - E_e$$

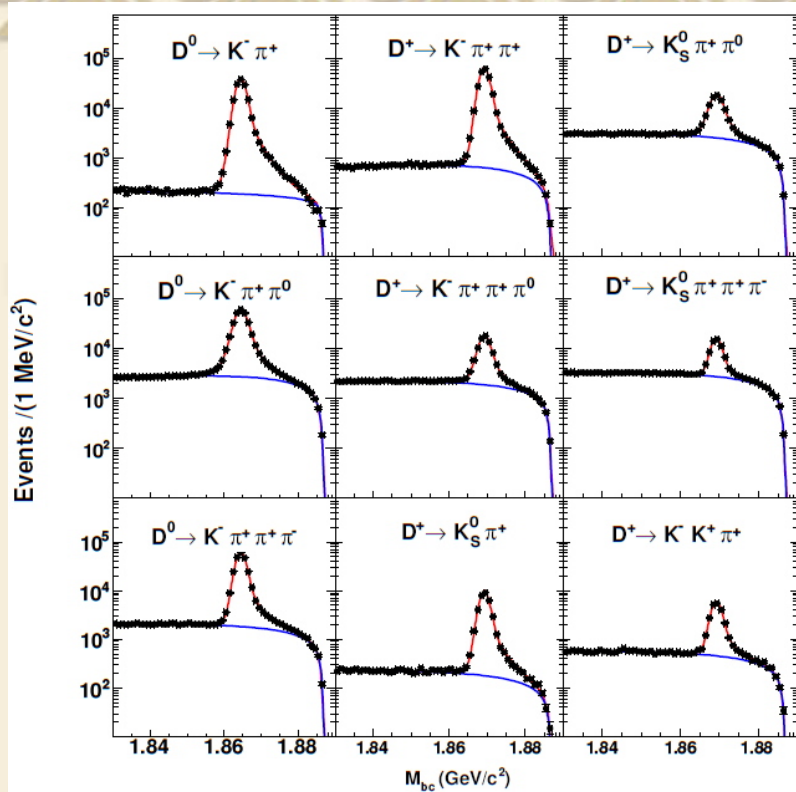
$$\vec{p}_{\text{miss}} = -\sqrt{E_{\text{beam}}^2 - m_D^2} \hat{p}_D - \vec{p}_K - \vec{p}_e$$



- ❖ Standard selection criteria implemented in  $D$  tag code
  - ↻ Track quality (good fit, fiducial, vtx cuts, hit fraction)
  - ↻ Hadron identification
    - ❖ Positively ID'ed  $\pi^\pm$  and  $K^\pm$  by  $dE/dx$  and RICH (if available); accept as  $\pi$  if PID info not available
    - ❖  $\pi^0$  kinematically fitted from good, photon-like, showers, with  $3\sigma$  mass cut; resolve multiple candidates based on mass
    - ❖  $K_S$  reconstructed from vertex-constrained tracks,  $3\sigma$  mass cut; resolve multiple candidates based on mass
- ❖  $D$  tags selected with standard cuts, then subjected to tighter  $M_{bc}$  and  $\Delta E$  cuts, optimized mode by mode
  - ↻ Multiple tag candidates in an event: choose one per tag mode per flavor based on  $\Delta E$

# Counting $D$ Tags

- ❖ Unbinned likelihood fit
    - ⌘ Signal line shape developed for and parameters fixed by  $D$  hadronic (double-tag vs. single-tag) BF analysis
      - ❖  $\psi(3770)$  natural shape, beam-energy resolution, ISR,  $p$  resolution
  - ❖ Fit data for yields and MC for tagging efficiency
    - ⌘ Lots of MC!
- CLEO PRD80, 032005(2009)  
818 pb<sup>-1</sup>



Mode	Yield	Efficiency(%)
$\bar{D}^0 \rightarrow K^+ \pi^-$	$149616 \pm 392$	65.32
$\bar{D}^0 \rightarrow K^+ \pi^- \pi^0$	$284617 \pm 589$	35.15
$\bar{D}^0 \rightarrow K^+ \pi^- \pi^- \pi^+$	$227536 \pm 517$	45.55
$D^- \rightarrow K^+ \pi^- \pi^-$	$233670 \pm 497$	55.42
$D^- \rightarrow K^+ \pi^- \pi^- \pi^0$	$69798 \pm 330$	27.39
$D^- \rightarrow K_S^0 \pi^-$	$33870 \pm 194$	51.10
$D^- \rightarrow K_S^0 \pi^- \pi^0$	$74842 \pm 357$	28.74
$D^- \rightarrow K_S^0 \pi^- \pi^- \pi^+$	$49117 \pm 323$	43.58
$D^- \rightarrow K^+ K^- \pi^-$	$19926 \pm 171$	42.07

# Selecting Semileptonic Decays

CLEO PRD80, 032005(2009)

818 pb-1

## ❖ Standard electron ID requirements

↻  $E/p + dE/dx + \text{RICH} \rightarrow$  combined likelihood

$p > 200 \text{ MeV}$  and  $|\cos \theta| < 0.9$

## ❖ Bremsstrahlung recovery

↻ Add good non-track-matched showers with  $E > 30 \text{ MeV}$  if they are within  $5^\circ$  of an electron track – reduces systematic uncertainty due to FSR simulation

## ❖ Meson selection: same track and PID cuts as $D$ tags. Demand proper charge correlation

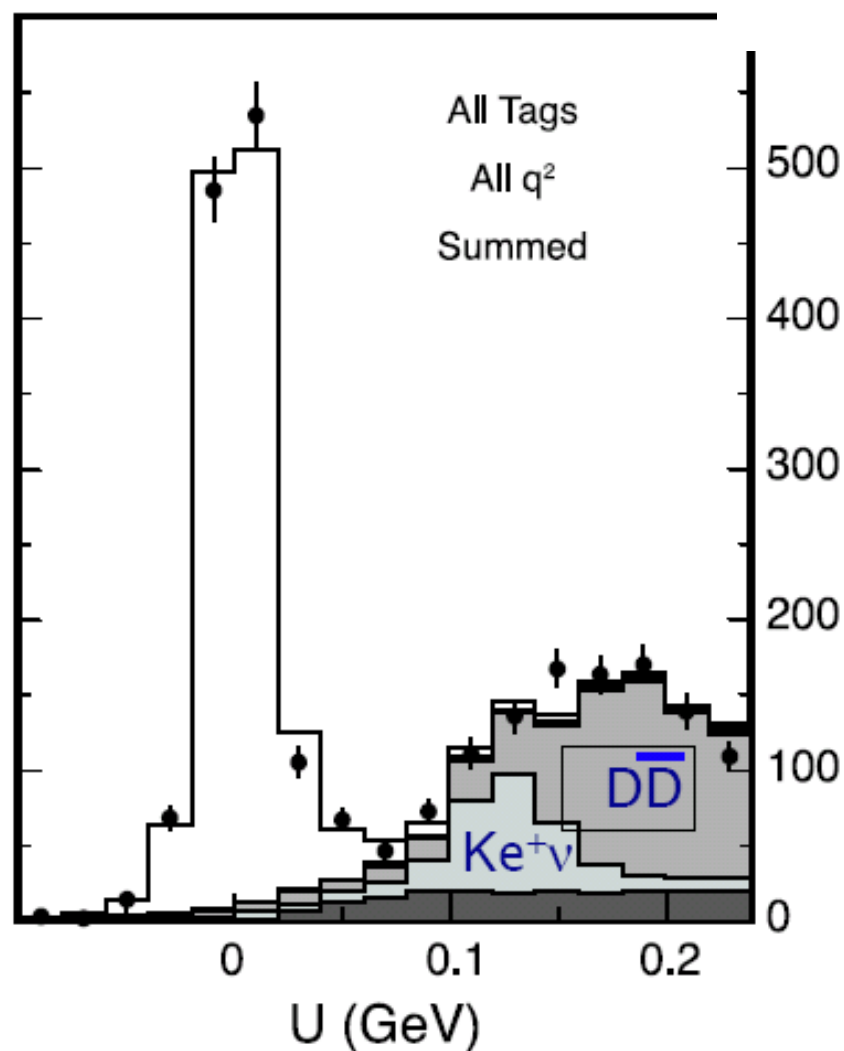
↻  $D^0$  – electron and meson oppositely charged

↻  $D^+$  – electron and tag  $D$  oppositely charged

# Signal Side Reconstruction

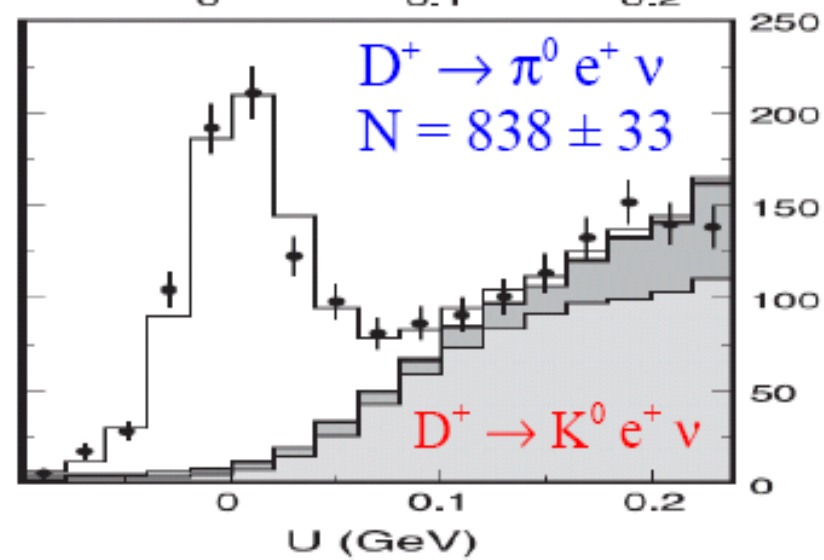
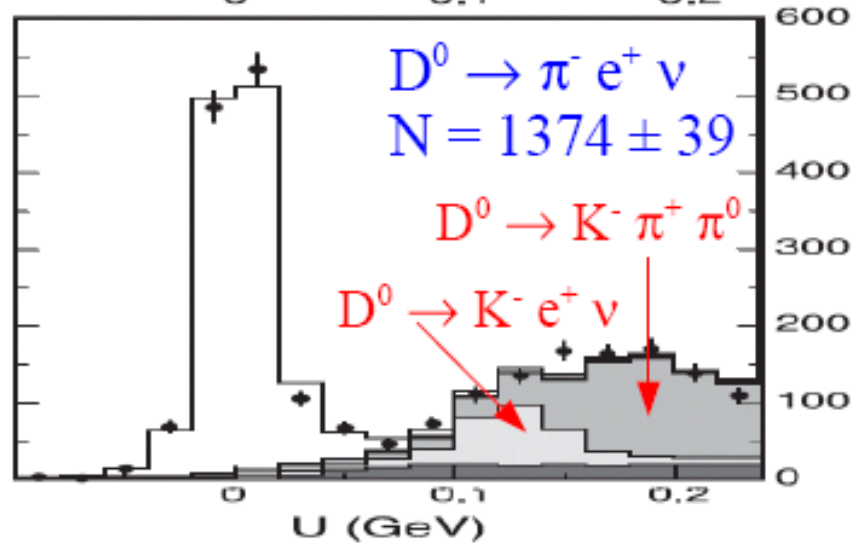
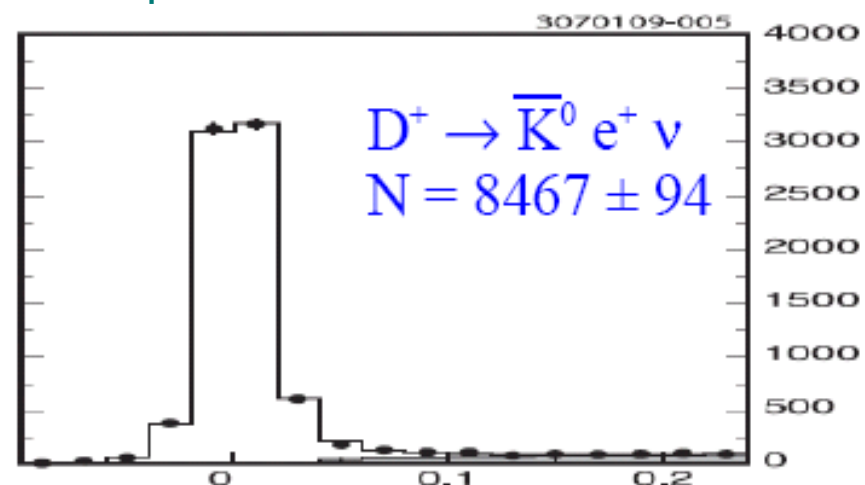
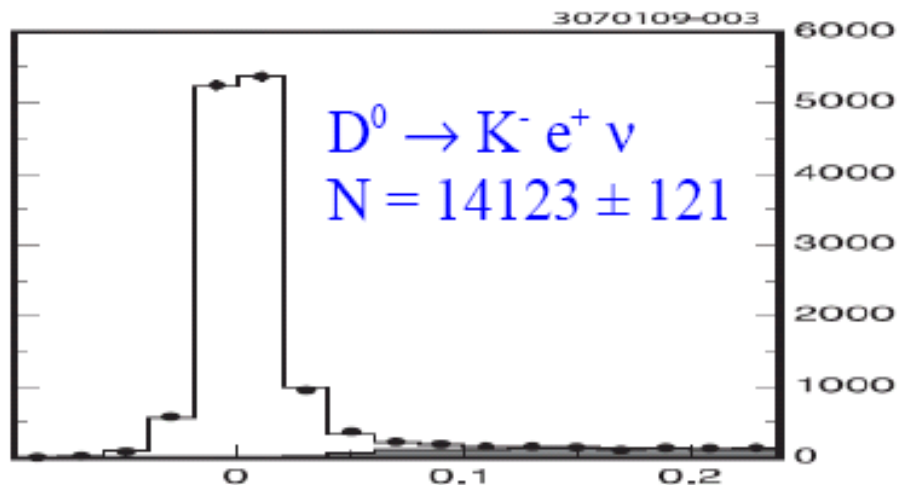
- Against the tagged D
  - pion candidate
    - with dE/dx and RICH
  - positron candidate
- Fit U for signal
$$U \equiv E_{\text{miss}} - |\mathbf{p}_{\text{miss}}|$$
- Peaks at 0 for signal
  - kinematic separation for backgrounds
    - Ke<sup>+</sup>v cross feed to πe<sup>+</sup>v
    - ρe<sup>+</sup>v from known BF
- Fit four modes in q<sup>2</sup> bins

D<sup>0</sup> → π<sup>-</sup>e<sup>+</sup>ν 3070109-00



# Signal yields from Double tag

CLEO PRD80, 032005(2009)  
818 pb<sup>-1</sup>



$$U = E_{miss} - c|\mathbf{P}_{miss}|$$

$$\mathcal{B}(D^0 \rightarrow \pi^- e^+ \nu_e) = (0.288 \pm 0.008 \pm 0.003)\%,$$

$$\mathcal{B}(D^0 \rightarrow K^- e^+ \nu_e) = (3.50 \pm 0.03 \pm 0.04)\%,$$

$$\mathcal{B}(D^+ \rightarrow \pi^0 e^+ \nu_e) = (0.405 \pm 0.016 \pm 0.009)\%,$$

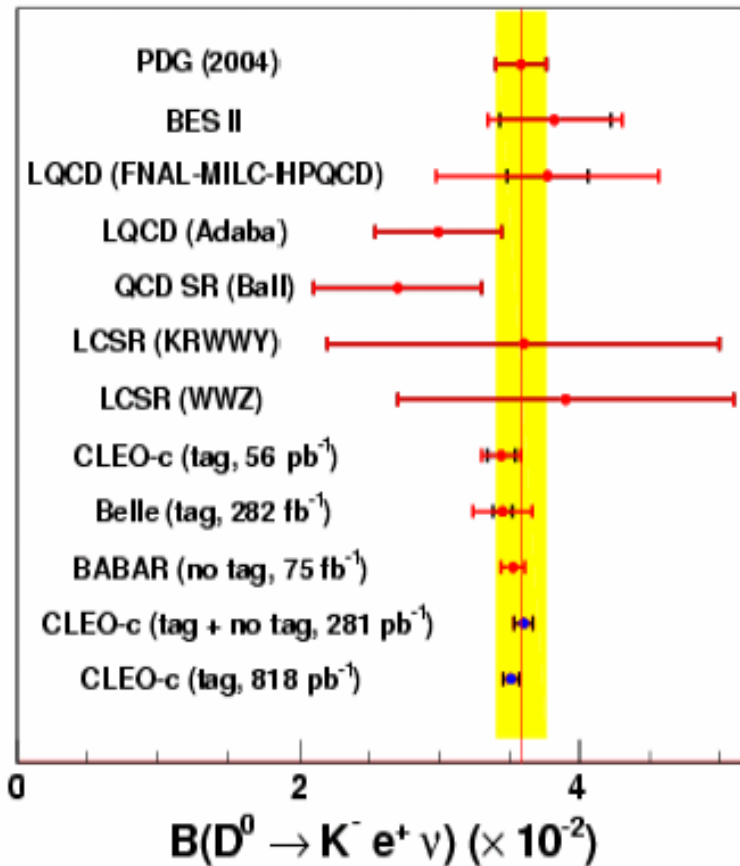
$$\mathcal{B}(D^+ \rightarrow \bar{K}^0 e^+ \nu_e) = (8.83 \pm 0.10 \pm 0.20)\%.$$

Kaon modes are systematics limited

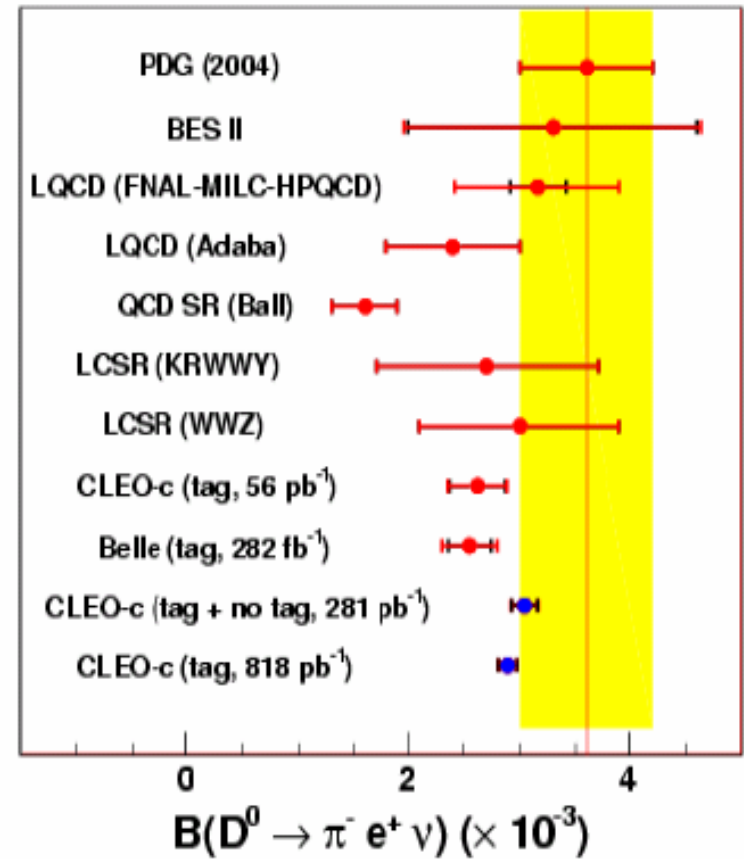
Pion modes are statistics-limited. Without improvement, they become systematics limited at  $\sim 6 \text{ fb}^{-1}$

But, this is the integral and we'll need more statistics to nail the detailed shape of the distribution

# Branching Fractions of $D^0 \rightarrow K^-/\pi^- e^+ \nu$



$(3.50 \pm 0.03 \pm 0.04)\%$



$(2.88 \pm 0.08 \pm 0.03) \times 10^{-3}$

Most precise measurements of branching fractions

- ❖ Make these yield measurements and determine partial widths  $\Delta\Gamma_i$  in bins of  $q^2 = (E_\nu + E_e)^2 - |\mathbf{p}_\nu + \mathbf{p}_e|^2$ 
  - ∞ 7  $q^2$  bins for  $D \rightarrow \pi e \nu$  and 9 for  $D \rightarrow K e \nu$

$$\Delta\Gamma_i = \int_{q_{low,i}^2}^{q_{high,i}^2} \frac{d\Gamma(D \rightarrow Pe\nu)}{dq^2} dq^2 = \frac{\sum_j \epsilon_{ij}^{-1} N_j}{\tau_D N_{tag} / \epsilon_{tag}}$$

Inverted Signal Efficiency Matrix
Signal Yields

Tag Yield
Tagging Efficiency

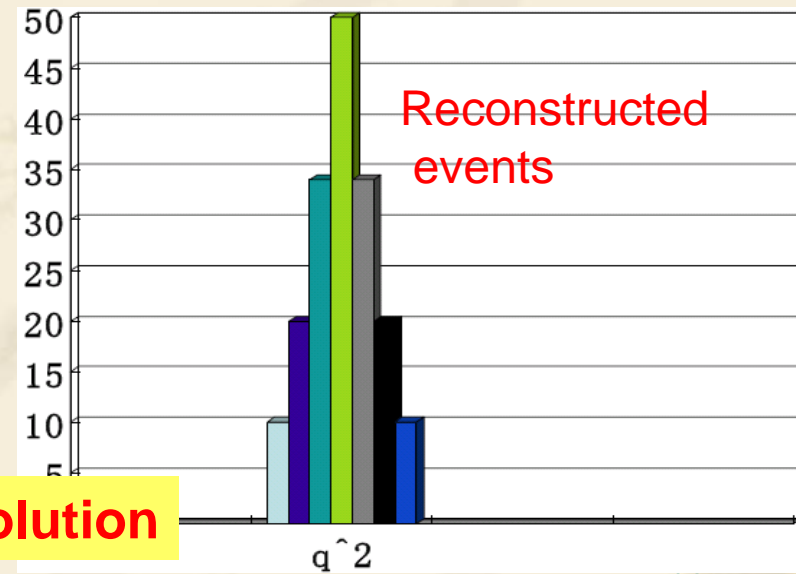
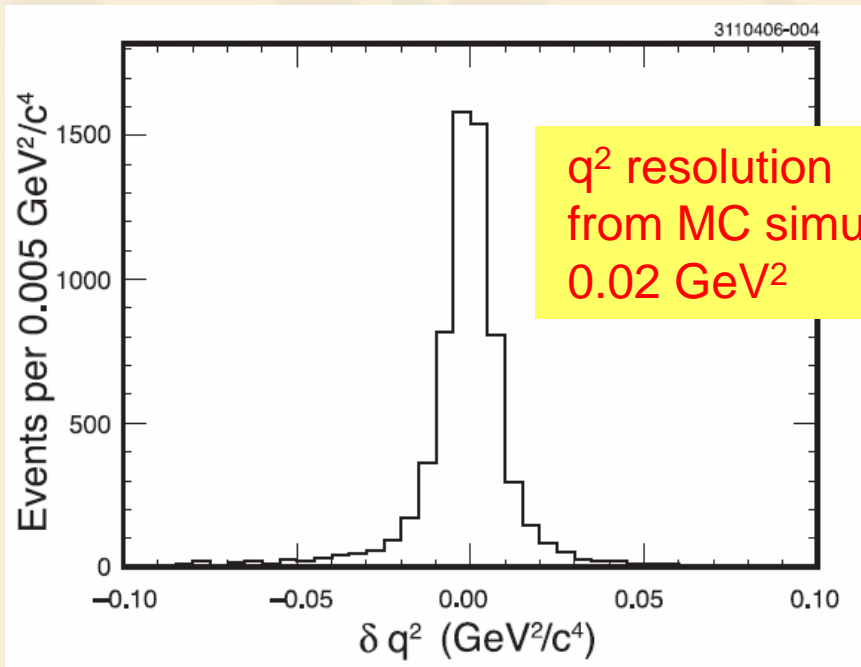
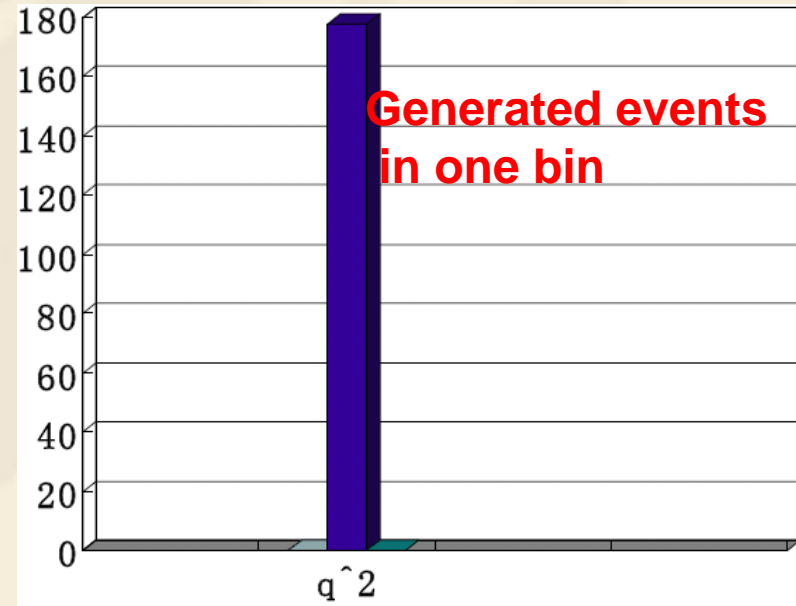
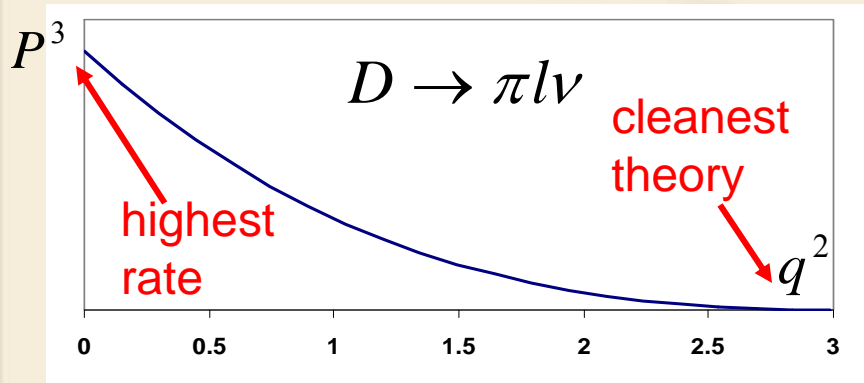
CLEO PRD80, 032005(2009)  
818 pb-1

- ❖ Integrate for total width (BF) and fit for FF parameters

$$\frac{d\Gamma(D \rightarrow Pe\nu)}{dq^2} = \frac{G_F^2 p^3}{24\pi^3} |V_{cq}|^2 |f_+(q^2)|^2$$



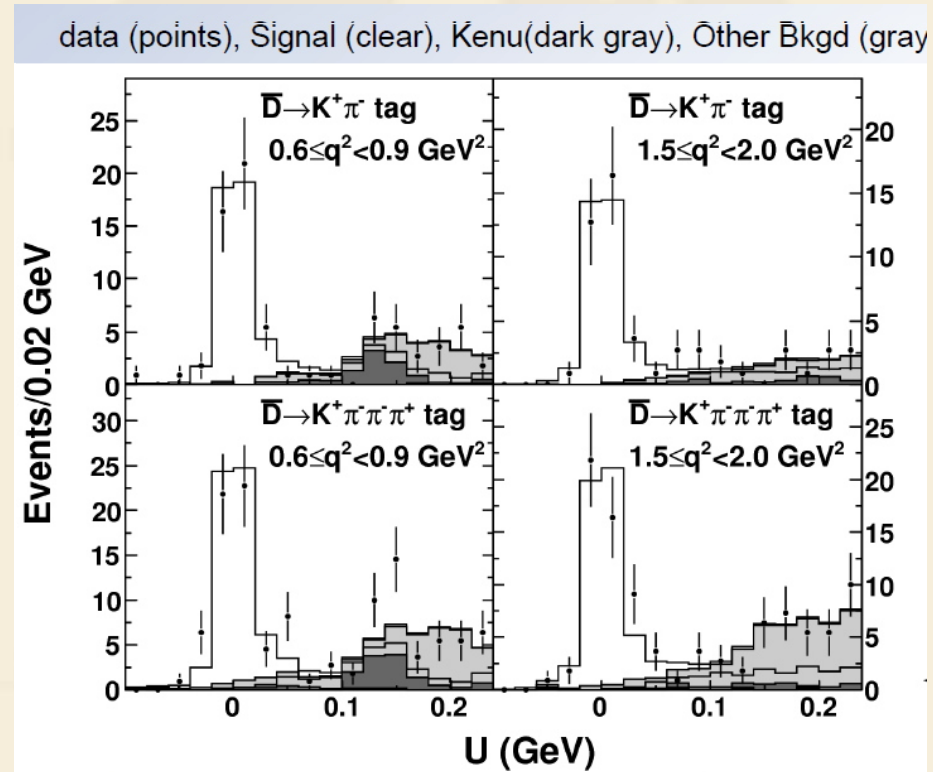
# $q^2$ resolution



The selected bin size is larger than the resolution

# Counting Semileptonic Decays in each $q^2$ bin

- ❖ Unbinned likelihood fit of  $U$  distributions separately by  $q^2$  bin and tag mode.
  - ⌘ Signal (smeared by 5-13 MeV Gaussian to match data) and BG shapes from MC
  - ⌘ Free parameters for signal normalization and  $DD$  BG. Non- $DD$  fixed by luminosity



$D^0 \rightarrow \pi^+ e \nu$  - 4 of 21 fits

CLEO PRD80, 032005(2009)  
818 pb-1

# Signal Efficiencies

$$\Delta\Gamma_i = \int_{q_{low,i}^2}^{q_{high,i}^2} \frac{d\Gamma(D \rightarrow Pe\nu)}{dq^2} dq^2 = \frac{\sum_j \epsilon_{ij}^{-1} N_j}{\tau_D N_{tag} / \epsilon_{tag}}$$

Inverted Signal Efficiency Matrix
Signal Yields
 $e_{ij} = \frac{N(\text{Reconstructed in } i, \text{Generated in } j)}{N(\text{Generated in } j)}$

Tag Yield
Tagging Efficiency

CLEO PRD80, 032005(2009)  
818 pb<sup>-1</sup>

Reconstructed Bin	Generated Bin						
	0.420	0.012	0.000	0.000	0.000	0.000	0.000
0.007	0.430	0.015	0.000	0.000	0.000	0.000	
0.001	0.008	0.448	0.014	0.000	0.000	0.000	
0.000	0.001	0.012	0.457	0.014	0.000	0.000	
0.000	0.001	0.001	0.012	0.464	0.009	0.000	
0.000	0.000	0.001	0.001	0.011	0.469	0.007	
0.000	0.000	0.000	0.000	0.000	0.007	0.469	

πeν/Kπ Signal Efficiency Matrix

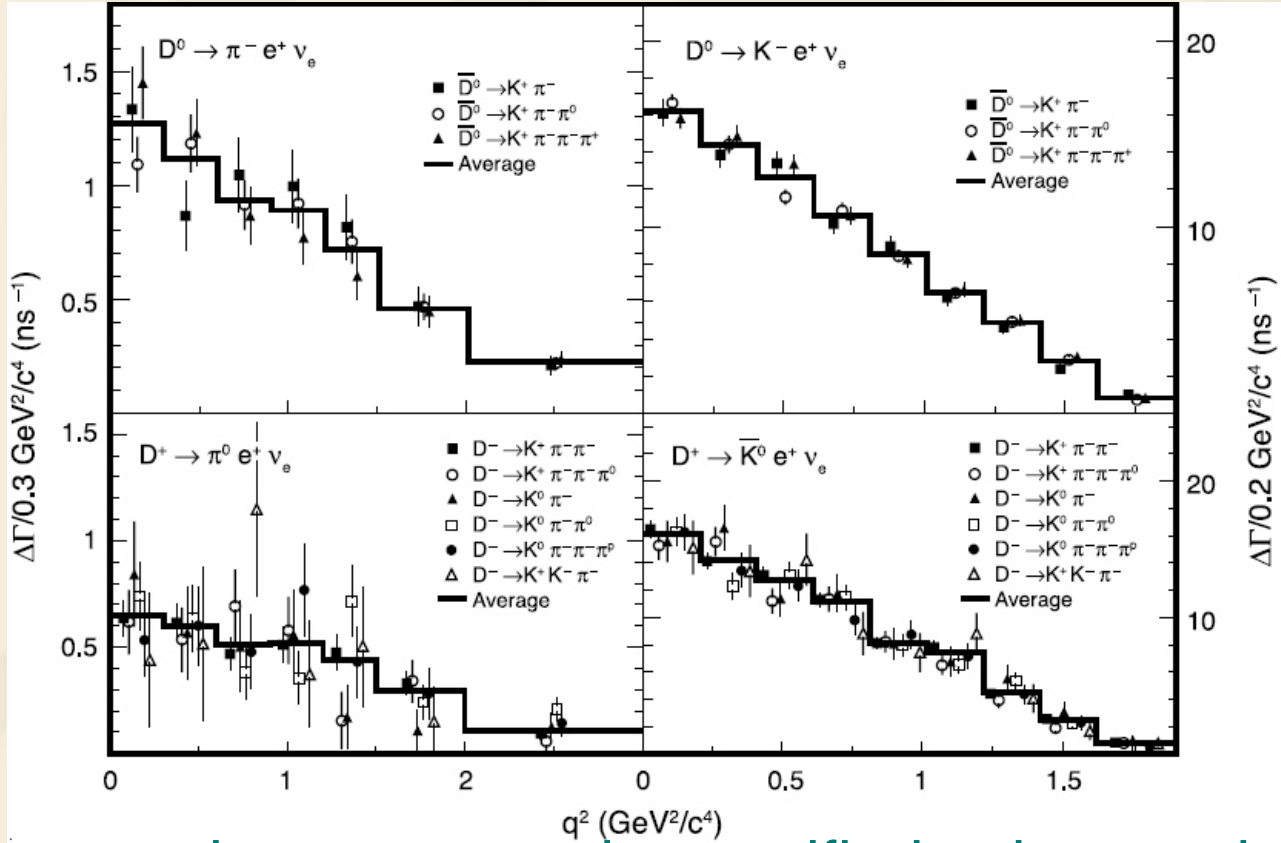
- ❖ Nonzero off-diagonal elements ⇒ correlation across  $q^2$  in  $\Delta\Gamma_i$  measurements

# Partial Rate Results

CLEO PRD80, 032005(2009)  
818 pb<sup>-1</sup>

$$\Delta\Gamma_i = \int_{q_{low,i}^2}^{q_{high,i}^2} \frac{d\Gamma(D \rightarrow Pe\nu)}{dq^2} dq^2 = \frac{\sum_j \epsilon_{ij}^{-1} N_j}{\tau_D N_{tag} / \epsilon_{tag}}$$

Inverted Signal Efficiency Matrix      Signal Yields  
 Tag Yield      Tagging Efficiency

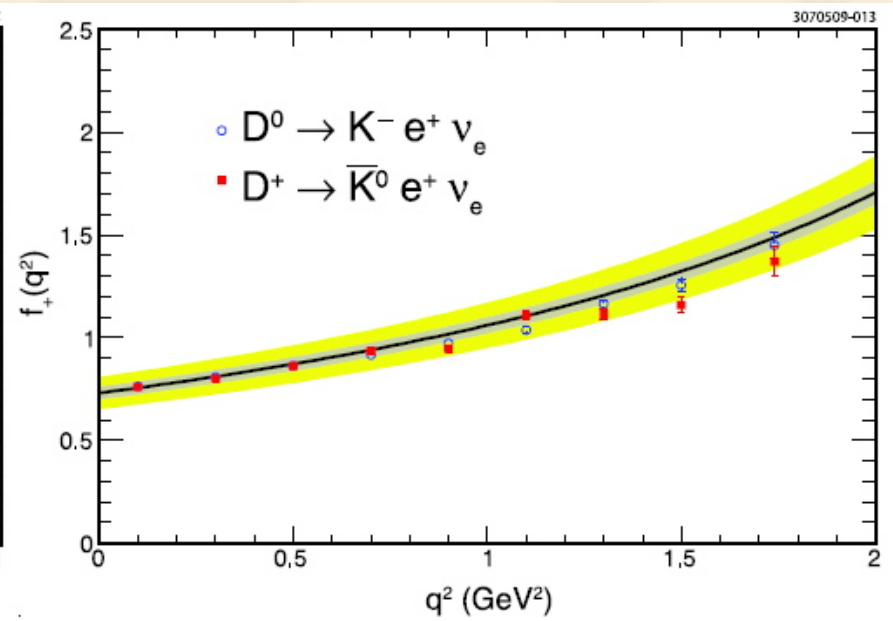
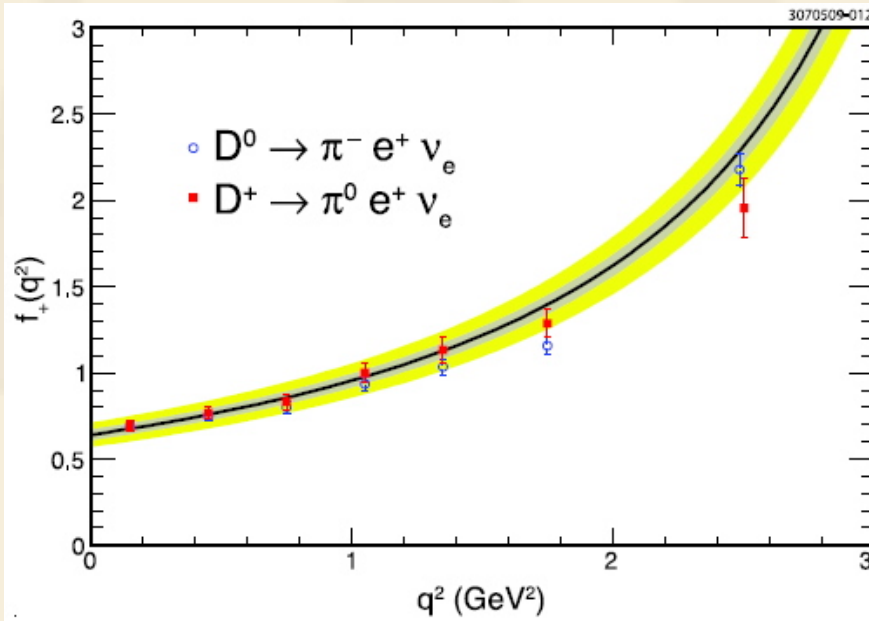


- ❖ Averaged over tag modes; verified to be consistent
- ❖ Consistent with isospin symmetry

# Form Factor Tests

CLEO PRD80, 032005(2009)  
818 pb<sup>-1</sup>

$$\frac{d\Gamma(D \rightarrow P e \nu)}{dq^2} = \frac{G_F^2 p^3}{24\pi^3} |V_{cq}|^2 |f_+(q^2)|^2$$



- ❖ More data, better understood data, can have significant impact on these tests, especially at high  $q^2$
- ❖ At BESIII, 2 fb<sup>-1</sup> is just the start (but a good start)

	$\sigma(\Delta\Gamma_1)$	$\sigma(\Delta\Gamma_2)$	$\sigma(\Delta\Gamma_3)$	$\sigma(\Delta\Gamma_4)$	$\sigma(\Delta\Gamma_5)$	$\sigma(\Delta\Gamma_6)$	$\sigma(\Delta\Gamma_7)$	$\sigma(\Delta\Gamma_8)$	$\sigma(\Delta\Gamma_9)$
$D^+ \rightarrow \bar{K}^0 e^+ \nu_e$									
Tag line shape	0.40	0.40	0.40	0.40	0.40	0.40	0.40	0.40	0.40
Tag fakes	0.70	0.70	0.70	0.70	0.70	0.70	0.70	0.70	0.70
Tracking efficiency	0.76	0.77	0.78	0.79	0.81	0.83	0.87	0.91	0.96
$K^0$ ID	2.00	1.96	1.90	1.83	1.71	1.51	1.25	1.35	1.89
$e^\pm$ ID	0.42	0.43	0.43	0.45	0.48	0.48	0.44	0.33	0.20
FSR	0.17	0.13	0.08	0.01	-0.11	-0.16	-0.23	-0.24	-0.28
Signal shape	0.20	0.22	0.17	0.20	0.23	0.26	0.38	0.26	0.47
Backgrounds	0.13	0.13	0.11	0.11	0.14	0.15	0.27	0.23	1.46
MC form factor	0.03	-0.02	-0.02	-0.02	-0.02	-0.01	0.01	0.02	0.08
$q^2$ smearing	0.63	-0.24	-0.02	0.29	-1.06	0.75	-0.67	-0.78	-1.11
D Lifetime	0.67	0.67	0.67	0.67	0.67	0.67	0.67	0.67	0.67
All systematic	2.52	2.42	2.36	2.33	2.47	2.23	2.08	2.16	3.03
Statistical	2.63	2.90	3.04	3.23	3.82	3.98	5.04	6.88	10.63

Evaluation of systematic uncertainties includes determination of systematic correlations across  $q^2$  construction of the full covariance matrix for incorporation into FF fits

Note that contributions from tracking and charged hadron/ $\pi^0/K_S$  ID are significant – and reducible with large data sets

# Comments on Systematic Studies

## ❖ Tracking efficiency

↻ Missing mass technique: fully reconstruct

$$\psi(3770) \rightarrow D\bar{D}, \psi(2S) \rightarrow \pi^+\pi^-J/\psi \text{ or } \psi(2S) \rightarrow \pi^0\pi^0J/\psi$$

leaving out one particle. When MM says we should find  $\pi^\pm$  ( $e^\pm$ ) or  $K^\pm$ , determine how often we do as function of momentum. Data and MC agree well – no correction

↻ Alternative procedure to evaluate uncertainty based on understanding of tracking failures (dominated by decays in flight)

0.2% - 0.3% for  $\pi^\pm$

0.4% - 1.4% for  $K^\pm$

## ❖ $\pi^0$ detection efficiency

↻ 6% lower in data than MC – apply correction. Systematic 1.0%-2.1%

## ❖ $K_S$ detection efficiency

↻ Data/MC consistent, no correction. Systematic 0.8%

# Comments on Systematic Studies

## ❖ $\pi^\pm/K^\pm$ PID efficiency

↻ Use  $D^0 \rightarrow K_S^0 \pi^+ \pi^-$     $D^+ \rightarrow K^- \pi^+ \pi^+$

or exclusive  $\psi(2S)$  decays

↻ Select w/o PID on one track and determine efficiency –  
correction required

$\pi^\pm$  - shift  $0.34\% \pm 0.11\%$

$K^\pm$  - shift  $0.83\% \pm 0.15\%$

## ❖ Electron ID

↻ Two sources of uncertainty: physics/understanding of  $e$  detection  
and complexity of real event environment

↻ Study as function of momentum with  $ee\gamma$  and  $eeee$  events

↻ Embed these into hadronic events using the same tools as MC  
noise embedding. Do it both in data and MC.

↻ Data 1.5% below MC – corrected. Get covariance matrices by  
varying correction by its uncertainty and remeasuring partial widths

❖ Mismodeling of  $q^2$  resolution estimated based on data/MC  
differences in  $U$  resolution – smear MC and remeasure



# D → K/π ev results from CLEO-c

PRD 80, 032005 (2009)

With 818 pb<sup>-1</sup> ψ(3770) data, CLEO has measured

$$f_+^\pi(0) |V_{cd}| = 0.150 \pm 0.004 \text{ (stat)} \pm 0.001 \text{ (syst)}$$

$$f_+^K(0) |V_{cs}| = 0.719 \pm 0.006 \text{ (stat)} \pm 0.005 \text{ (syst)}$$

using the series parameterization form factor model with three parameters

Using LQCD:  $f_+^\pi(0) = 0.64(3)(6)$      $f_+^K(0) = 0.73(3)(7)$

$$|V_{cd}| = 0.234 \pm 0.007 \pm 0.002 \pm 0.025$$

$$|V_{cs}| = 0.985 \pm 0.009 \pm 0.006 \pm 0.103$$

stat                      syst                      LQCD

---

PDG:  $|V_{cd}| = 0.230 \pm 0.011$  (neutrino beam)

$$|V_{cs}| = 1.04 \pm 0.06 \quad (D_s^+ \rightarrow \mu^+, \tau^+ \nu; D \rightarrow K \ell^+ \nu)$$

Most precise measurements of  $|V_{cd}|$  &  $|V_{cs}|$  using semileptonic decays



# $D^0 \rightarrow \pi l \nu, K l \nu$

PRL 97, 061804  
(2006) 282 fb<sup>-1</sup>

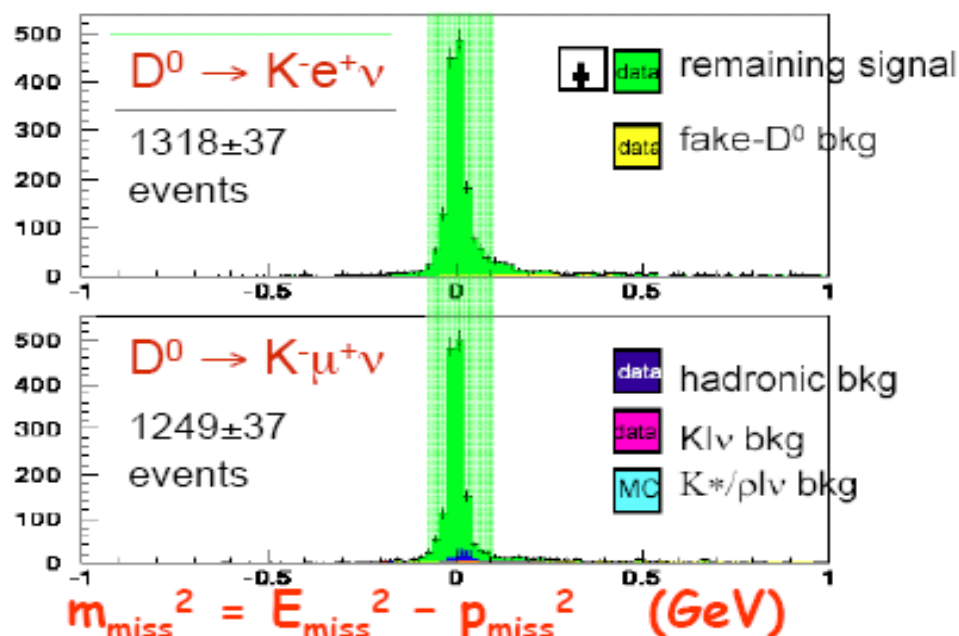
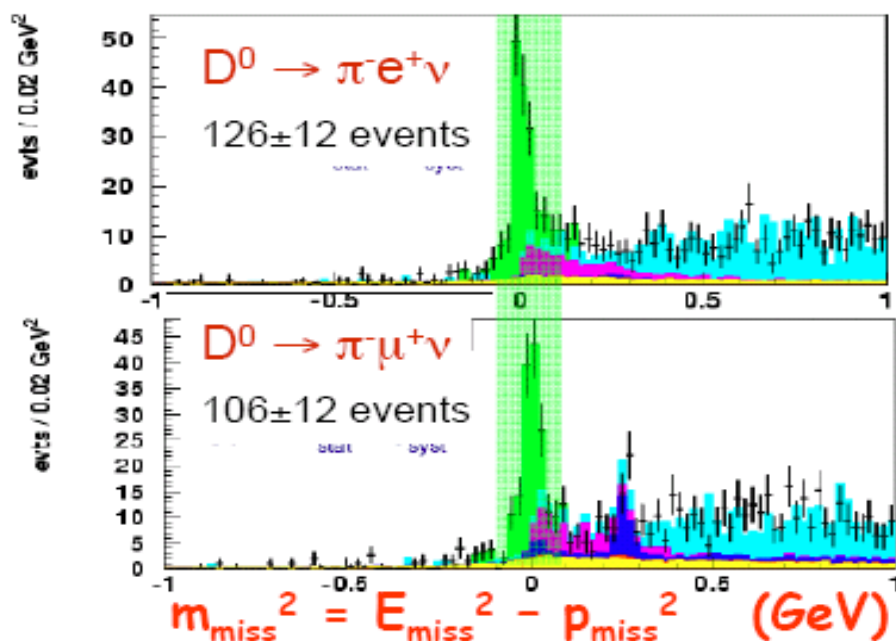
Use "Continuum tagging" again:  $e^+e^- \rightarrow D^{(*)}_{tag} D^{*}_{signal} X$ .

Reconstruct all particles (except for neutrino)

Tagging provides absolute normalization  $\sim 56,000$  tagged  $D^0$

Cabibbo suppressed

Cabibbo favored



Impressive results in difficult production environment

Both e and  $\mu$  measured, but only  $D^0$

vs. CLEO-c: 1000x lumi, but  $\sim 3x$  less signal events &  $\sim 10x$  worse signal/noise

# Belle $D^0 \rightarrow \pi^- \ell^+ \nu$ & $D^0 \rightarrow K^- \ell^+ \nu$

Excellent  $q^2$  resolution:

$$\sigma(q^2) = 0.017 \text{ GeV}^2$$

Measure rate directly in  $q^2$  bins

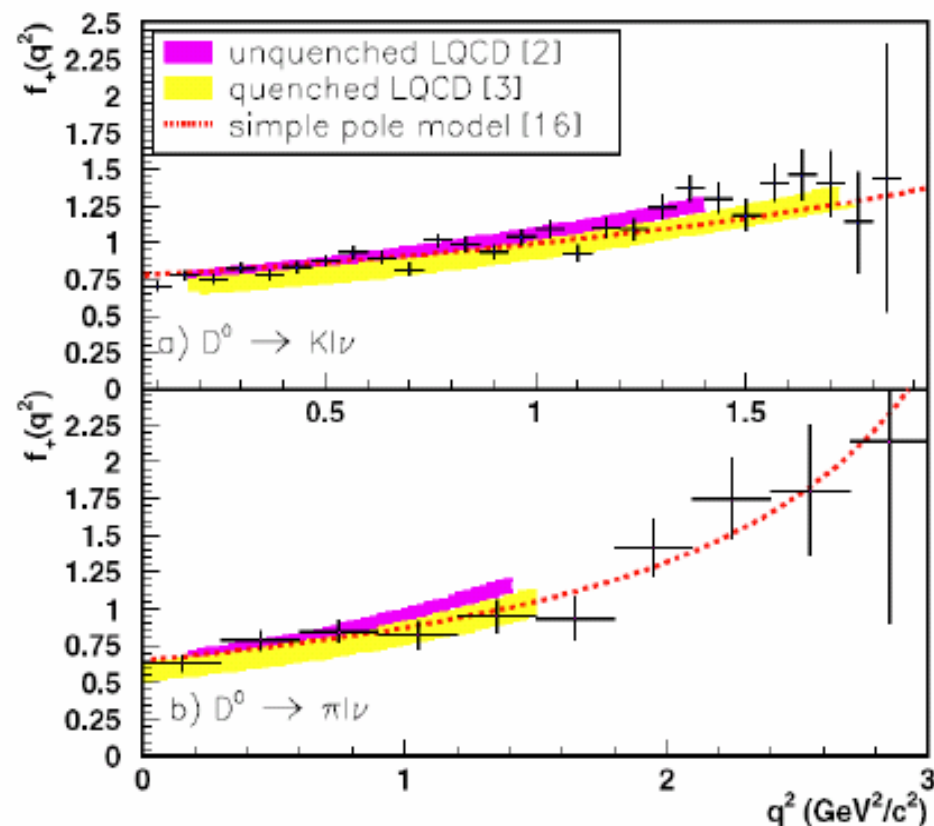
$$\frac{d\Gamma}{dq^2} = \frac{G_F^2}{24\pi^3} |V_{cx}|^2 p_X^3 |f_+(q^2)|^2$$

Compare to

LQCD Form Factor

Simple Pole parameterization

Modified Pole Model



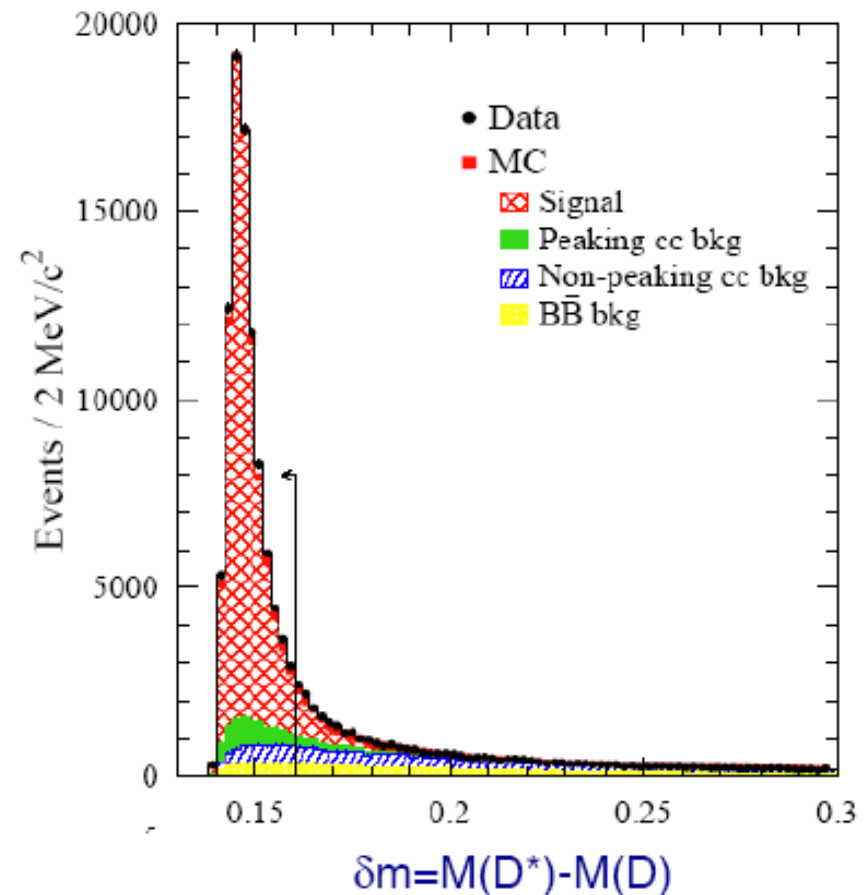
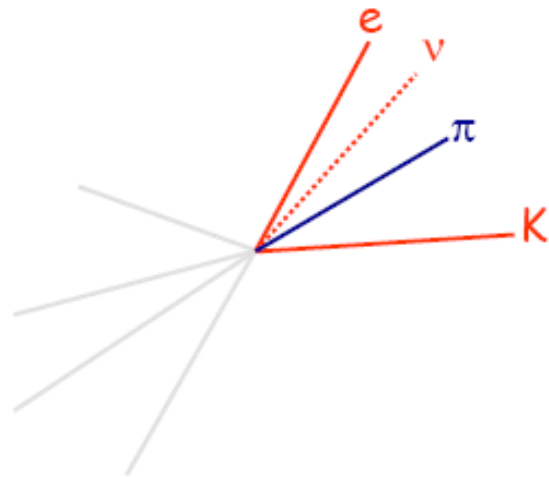
Lattice Form Factors

<sup>2</sup>Aubin et al. PRL 94, 011601 (2005)

<sup>3</sup>Abada et al. Nucl. Phys. B619, 565 (2001)

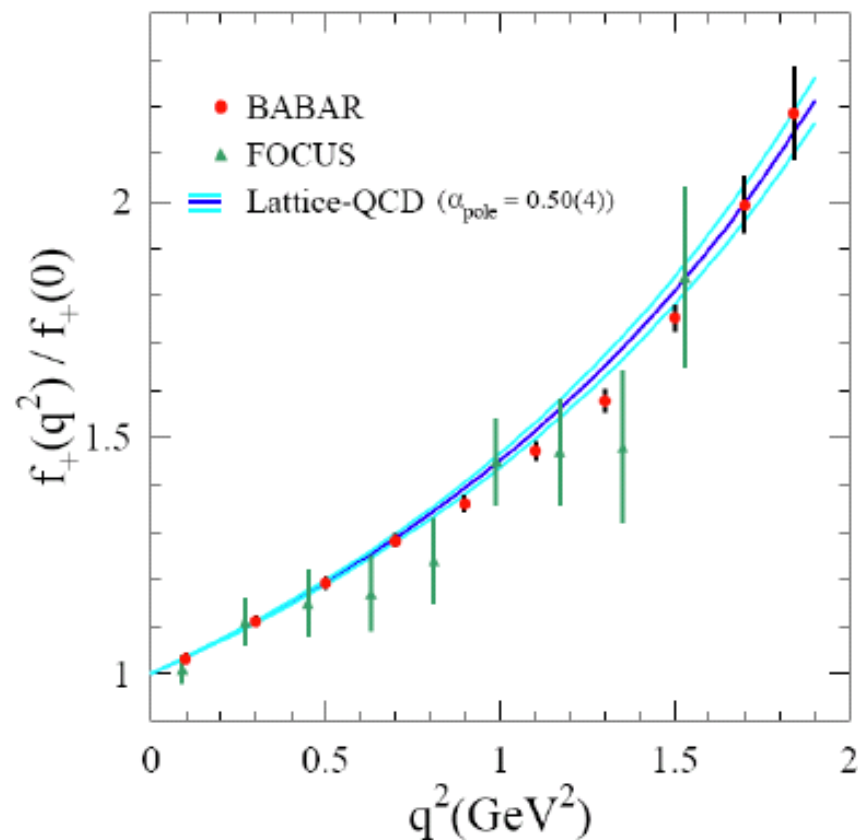
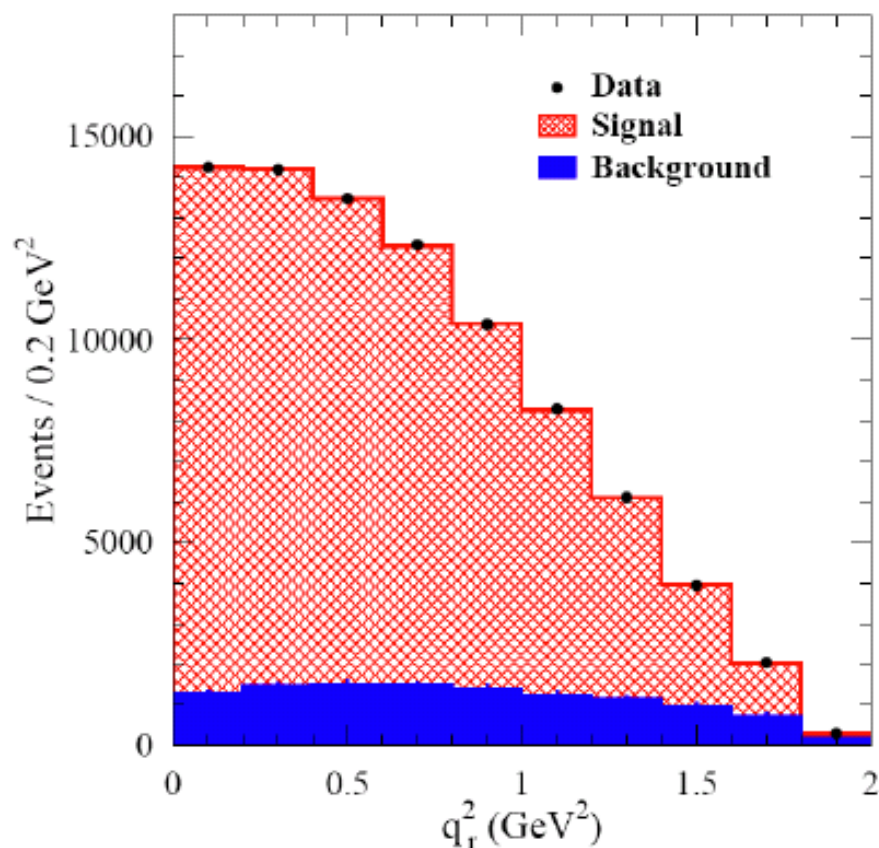
$e^+e^- \rightarrow c\bar{c}$  at  $\sqrt{s}=10.6$  GeV

- Reconstruct  $D^{*+} \rightarrow \pi^+ D^0$  and signal  $D^0 \rightarrow K^- e^+ \nu$
- Estimate  $p_D$  and  $E_\nu$  with remaining event & kinematic fits
- Use Neural Nets to suppress backgrounds



- high statistics:  $\sim 74,000$
- good S/N

# BaBar $f_+(q^2)$ $D^0 \rightarrow K^- e^+ \nu$



$$q^2 = (p_D - p_X)^2$$

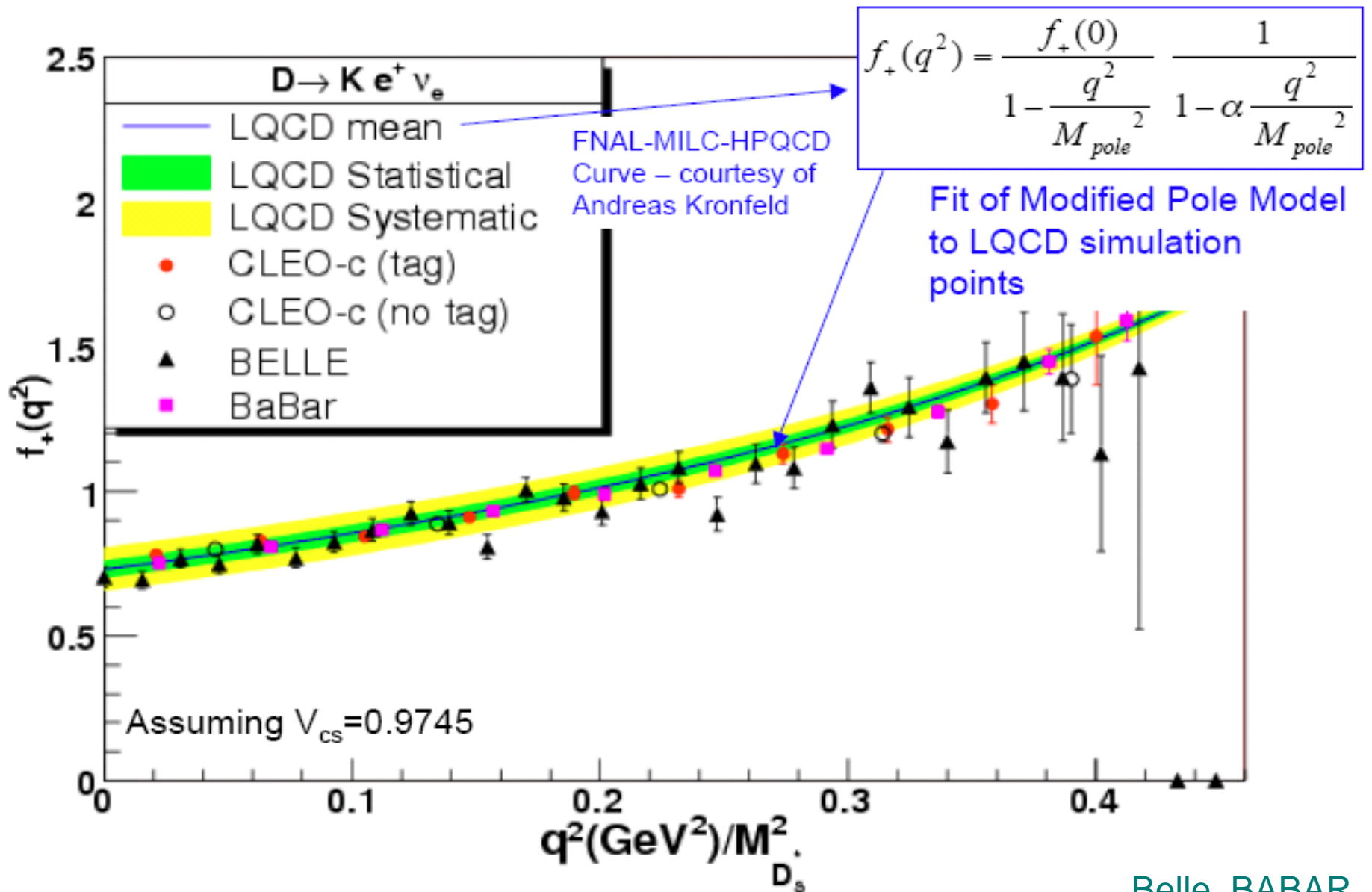
85k signal/11k background

- Corrected spectrum compared to LQCD<sup>1</sup>, FOCUS<sup>2</sup>

<sup>1</sup> Aubin et al. PRL 94, 011601 (2005)

<sup>2</sup> PLB607, 233 (2005)

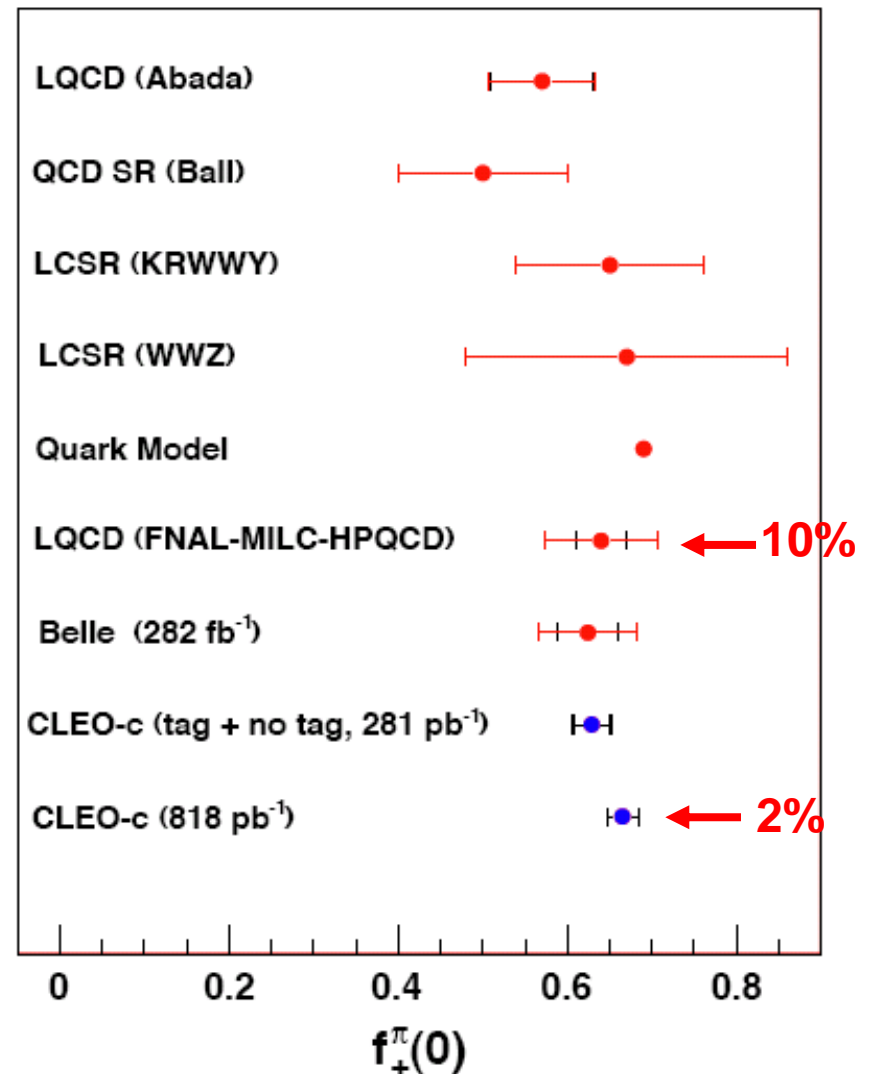
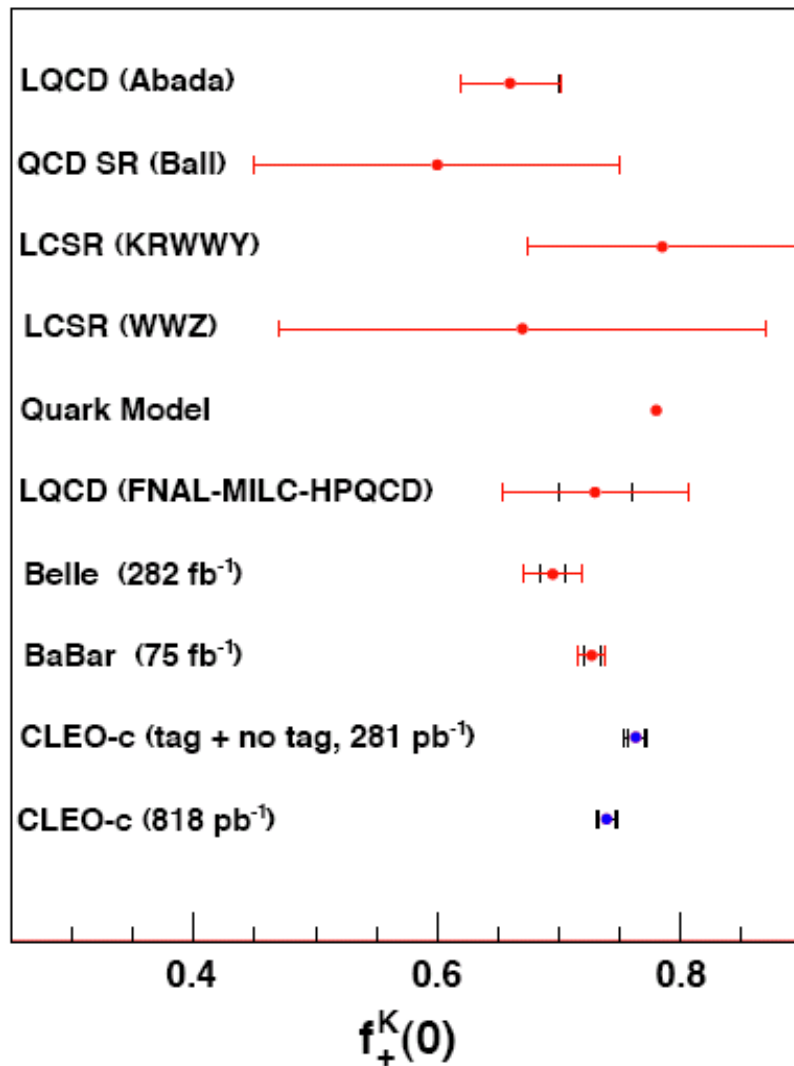
# $D \rightarrow K e \nu$ Form Factor vs. LQCD



Belle, BABAR

# $f_+(0)$ for $D^0$ and $D^+$ Semileptonic Decays

Results averaged over isospins



# $D^+ \rightarrow \eta e^+ \nu$

CLEO, PRL 102,  
081801(2009)

Alternative technique:  
General Reconstruction (GR)

Reconstruct signal mode  
(e.g.,  $\eta$  and  $e^+$ )

Look for  $\pi^\pm$ ;  $K^\pm$ ;  $K_S^0$ ;

$\pi^0$ ,  $\eta \rightarrow \gamma\gamma$  in other side D

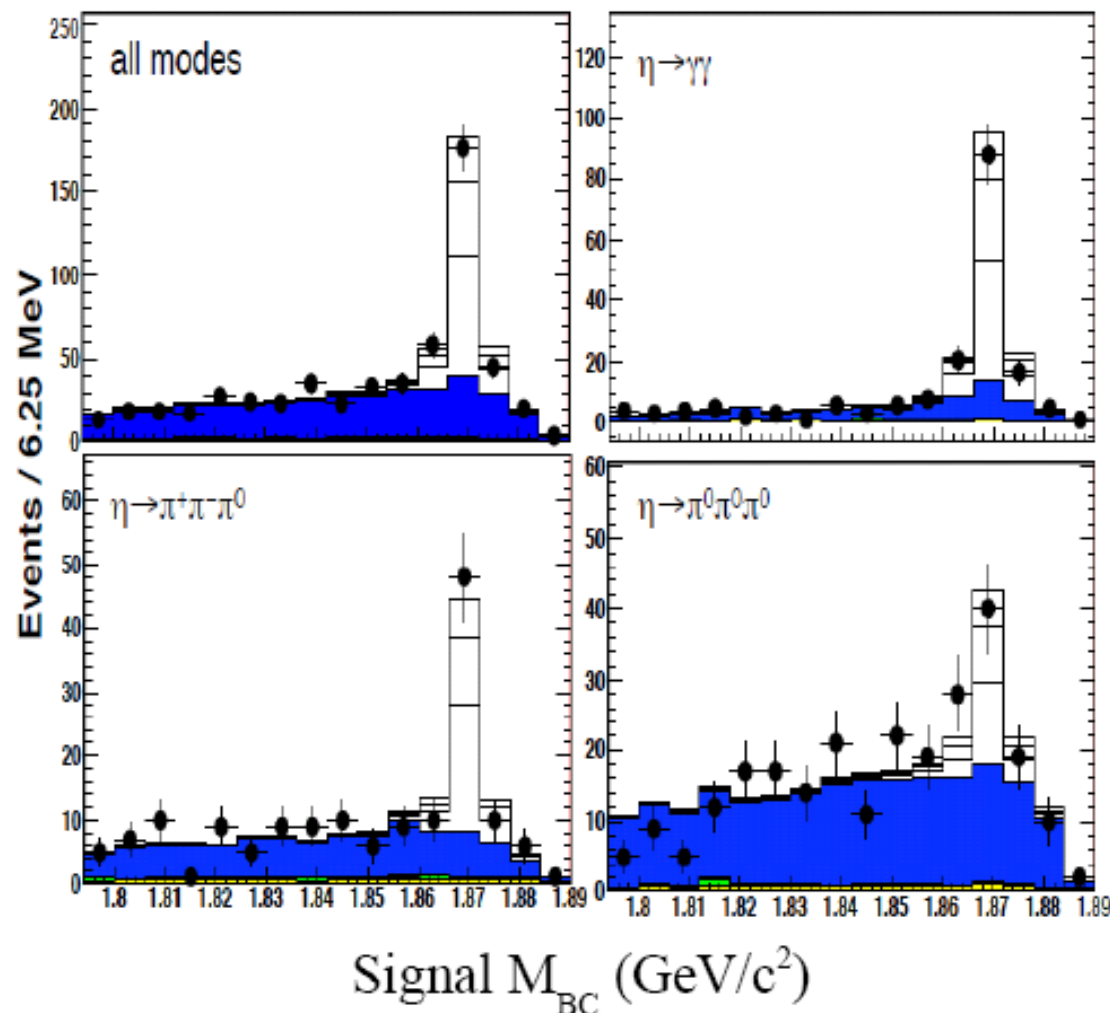
Infer neutrino from all  
observed particles in event,  
results normalized to  $K^-\pi^+\pi^+$

**Byproduct:** Observed 28  
other side  $D^+$  hadronic decays  
(13 not reported in PDG),

**Tagged:** 6  $D^+$  hadronic decays

2010-08-30

Full  $\psi(3770)$  sample



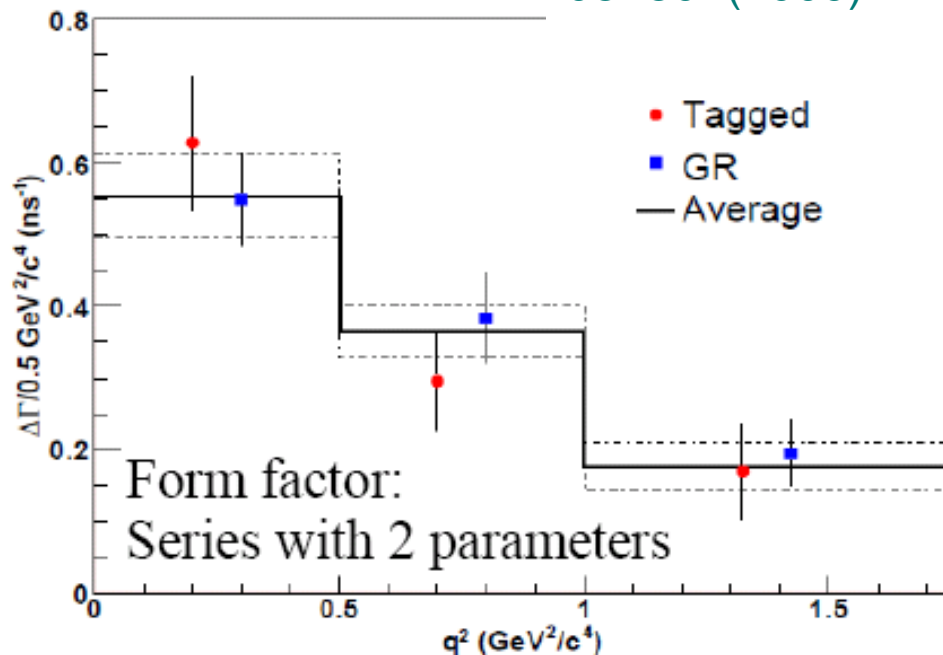
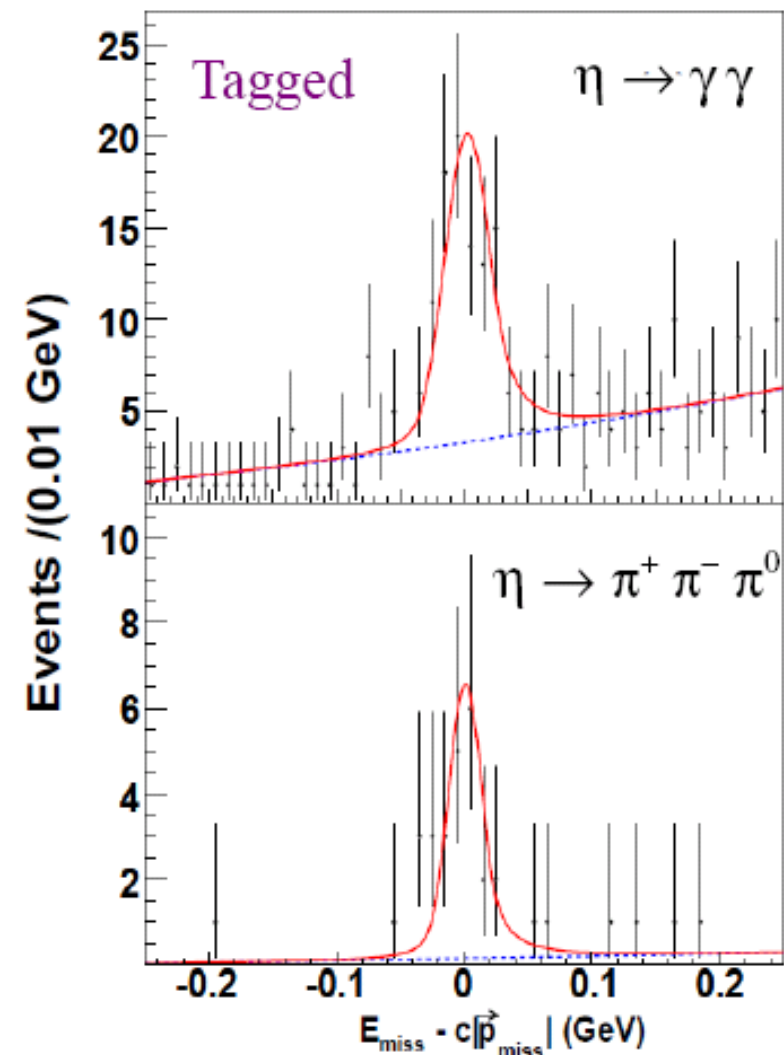
Hai-Bo Li (IHEP)

120



# $D^+ \rightarrow \eta e^+ \nu$

CLEO, PRL 102,  
081801(2009)



First form factor measurement of  $D^+ \rightarrow \eta e^+ \nu$

$$B(D^+ \rightarrow \eta e^+ \nu) = (11.4 \pm 0.9 \pm 0.4) \times 10^{-4}$$

[average of both methods]

Full  $\psi(3770)$  sample

# $D^+ \rightarrow \eta', \phi e^+ \nu$

From Peter Zweber  
phpsi 2009

PRELIMINARY

Full  $\psi(3770)$  sample

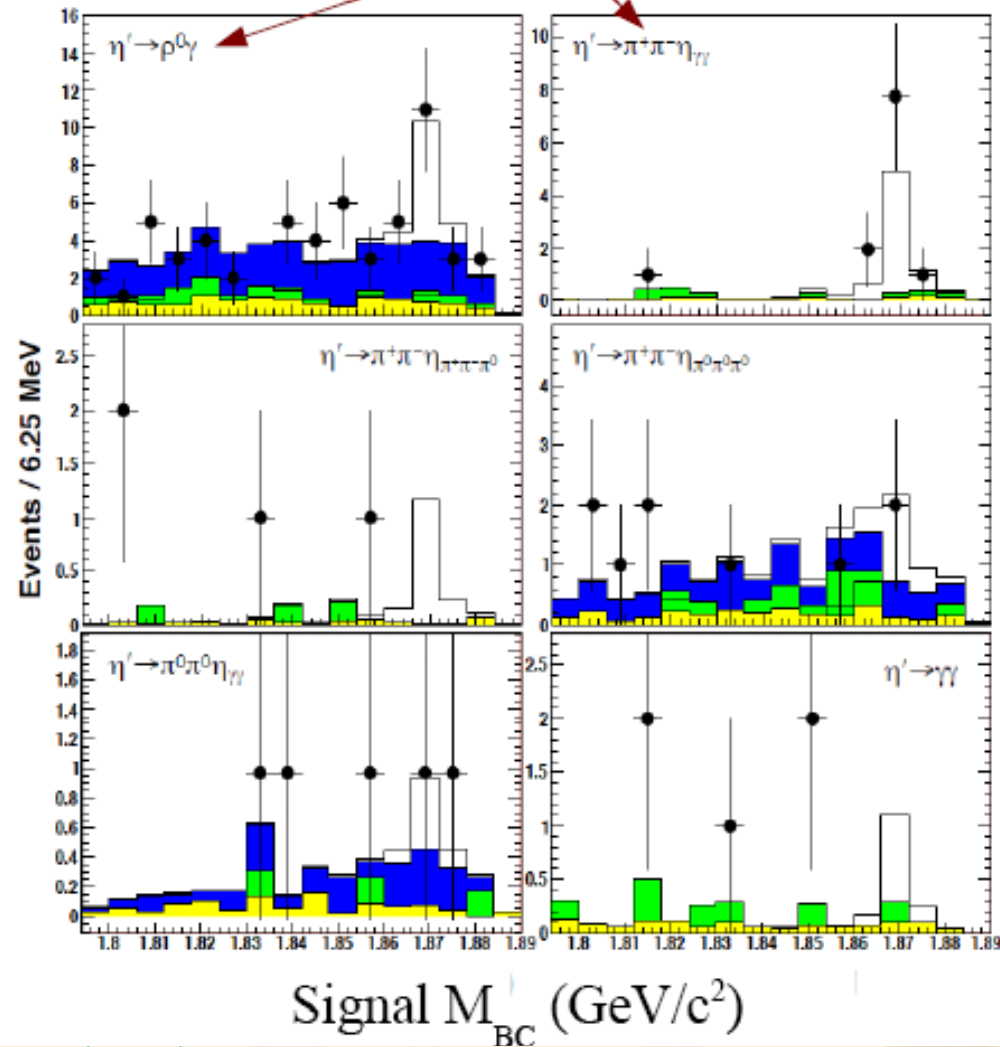
$$\text{GR: } B(D^+ \rightarrow \eta' e^+ \nu) \\ = (2.16 \pm 0.53 \pm 0.05 \pm 0.05) \times 10^{-4} \\ \text{stat} \quad \text{syst} \quad \text{K}\pi\pi$$

Tagged: 5 observed events with  
 $0.04 \pm 0.03$  background events  
 $\Rightarrow$  5.6 statistical significance

First observation of  $D^+ \rightarrow \eta' e^+ \nu$

$$\text{Tagged: } B(D^+ \rightarrow \phi e^+ \nu) < 0.9 \times 10^{-4} \\ (90\% \text{ C.L.})$$

Dominant modes



# Exclusive $D_s$ decays

From Peter Zweber  
phipsi 2009

PRD 80, 052007 (2009)

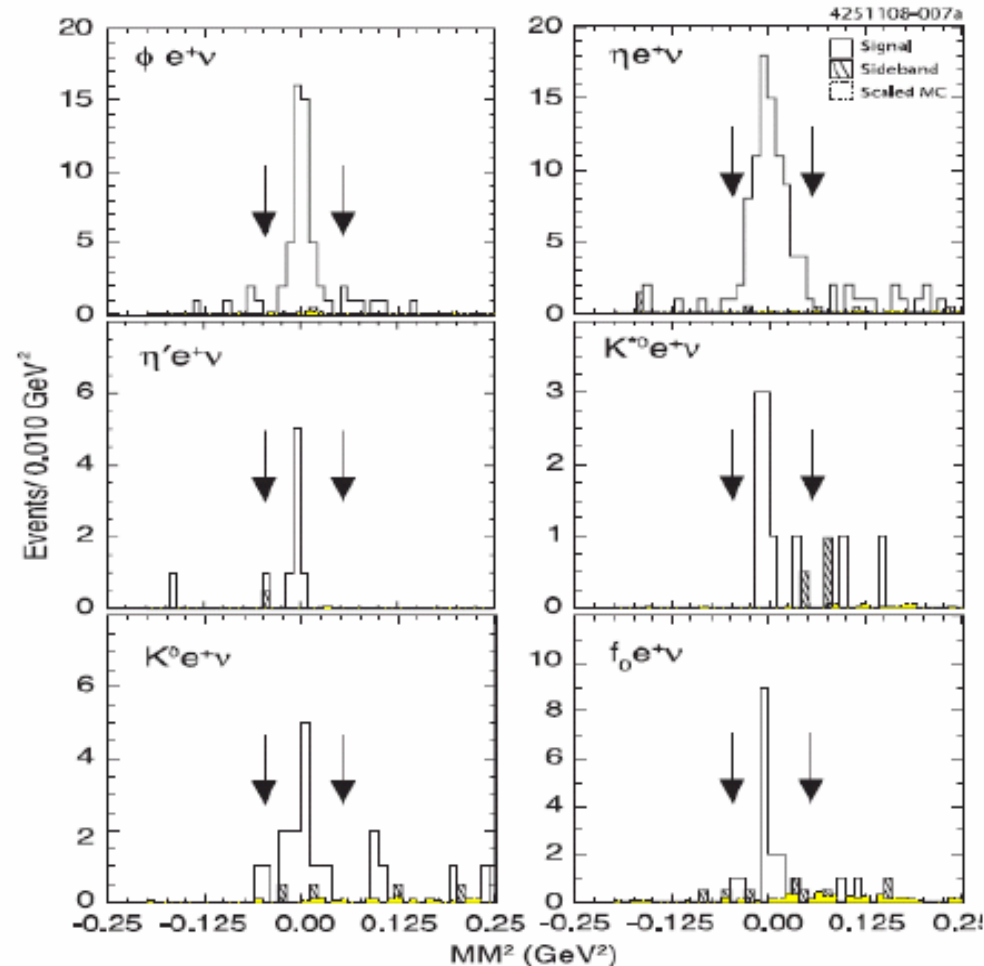
300 pb<sup>-1</sup> @ 4170 MeV  
(half of full sample)

Mode	$B(D_s^+ \rightarrow X)$ (%)
$\phi e^+ \nu_e$	$2.29 \pm 0.37 \pm 0.11$
$\eta e^+ \nu_e$	$2.48 \pm 0.29 \pm 0.13$
$\eta' e^+ \nu_e$	$0.91 \pm 0.33 \pm 0.05$
$K^0 e^+ \nu_e$	$0.37 \pm 0.10 \pm 0.02$
$K^{*0} e^+ \nu_e$	$0.18 \pm 0.07 \pm 0.01$
$f_0(\pi^+ \pi^-) e^+ \nu_e$	$0.13 \pm 0.04 \pm 0.01$

$$B(D_s^+ \rightarrow f_0 e^+ \nu) B(f_0 \rightarrow \pi^+ \pi^-)$$

First absolute branching fraction measurements of these decay modes.

Analysis on full 600 pb<sup>-1</sup> data sample in progress.



$$MM^2 = (E_{CM} - E_{D_S} - E_\gamma - E_e - E_{had})^2 - (P_{CM} - P_{D_S} - P_\gamma - P_e - P_{had})^2$$

# $D_s \rightarrow \phi, f_0(980) e \nu$

From Peter Zweber  
phipsi 2009

PRD 80, 052009 (2009)

600 pb<sup>-1</sup> @ 4170 MeV  
(full data sample)

Suggestion that  $B_s \rightarrow J/\psi f_0$  can be an alternative to  $B_s \rightarrow J/\psi \phi$  to measure CP violation in the  $B_s$  system

( $J/\psi f_0$  is CP-state, no angular analysis)

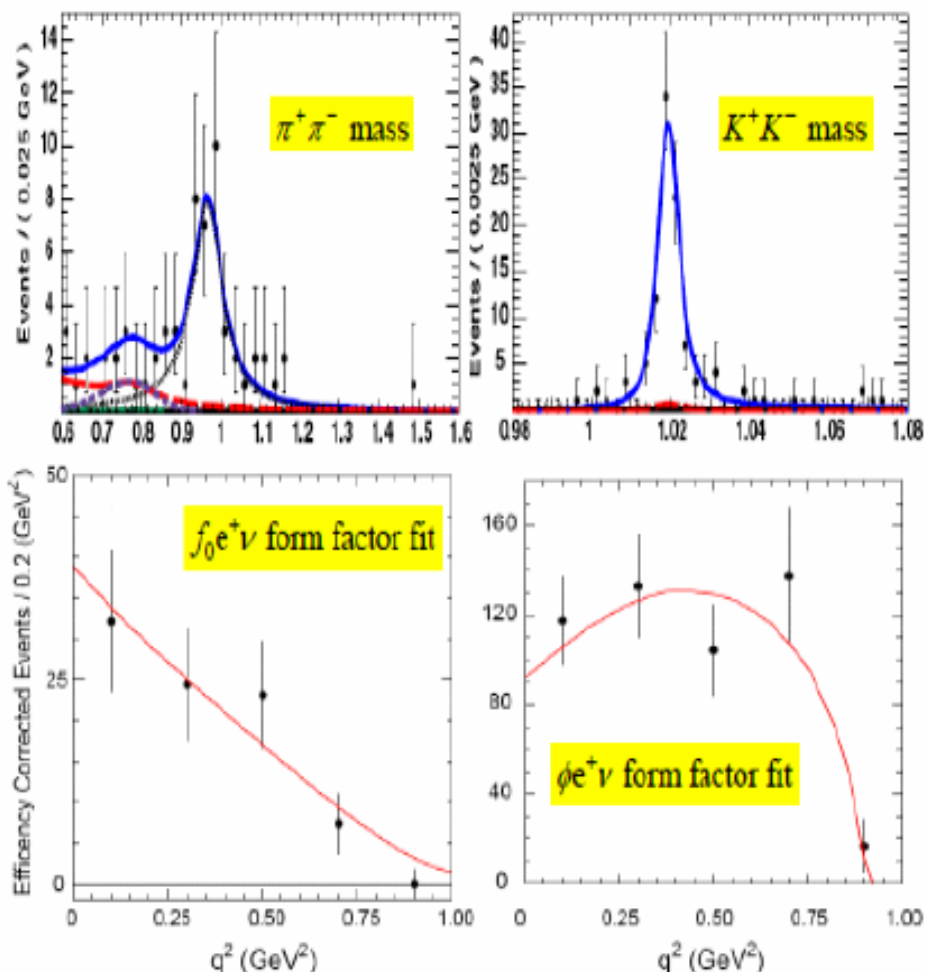
Stone & Zhang [PRD 79, 074024 (2008)]

$$\left. \frac{\Gamma(D_s^+ \rightarrow f_0(980)e^+\nu, f_0 \rightarrow \pi^+\pi^-)}{\Gamma(D_s^+ \rightarrow \phi e^+\nu, \phi \rightarrow K^+K^-)} \right|_{q^2=0} = (42 \pm 11)\%$$

$$\left[ \text{Predicted to equal } \frac{\Gamma(B_s \rightarrow J/\psi f_0(980), f_0 \rightarrow \pi^+\pi^-)}{\Gamma(B_s \rightarrow J/\psi \phi, \phi \rightarrow K^+K^-)} \right]$$

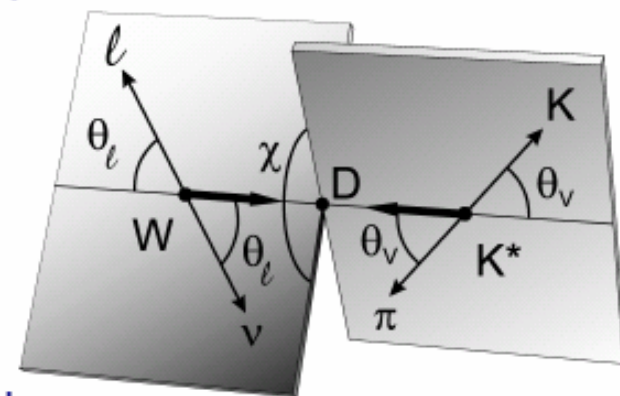
$$B(D_s^+ \rightarrow f_0(\pi^+\pi^-) e^+ \nu) = [0.20(3)(1)]\%$$

$$B(D_s^+ \rightarrow \phi e^+ \nu) = [2.36(23)(13)]\%$$



# Study of $D^+ \rightarrow K^- \pi^+ \ell^+ \nu$

- Full CLEO-c  $\psi(3770)$  dataset
  - Using hadronic D decay tags to fully reconstruct  $D\bar{D}$  event
    - excellent resolution on  $\nu$  from E, p conservation
- Detailed study including resonant  $K^*$  and non-resonant  $K\pi$ 
  - All 5 kinematic variables used to study 4 helicity amplitudes completely free of model dependence
    - invariant masses:  $m(K\pi)$ ,  $q^2=m^2(\ell\nu)$
    - $\theta_\nu$  &  $\theta_\ell$  helicity angles in  $K^*$  & W rest frames
    - angle  $\chi$  between decay planes
  - projective weighting technique
    - model independent measurement of form factors
    - pioneered by FOCUS: PLB 633, 183 (2006)
    - exploit the fine kinematic resolution possible at charm threshold



# BF(D<sup>+</sup> → K<sup>-</sup>π<sup>+</sup>ℓ<sup>+</sup>ν)

- Six hadronic tag modes:
  - D<sup>-</sup> → K<sup>+</sup>π<sup>-</sup>π<sup>-</sup>, K<sup>+</sup>π<sup>-</sup>π<sup>-</sup>π<sup>0</sup>, K<sub>S</sub>π<sup>-</sup>, K<sub>S</sub>π<sup>-</sup>π<sup>0</sup>, K<sub>S</sub>π<sup>-</sup>π<sup>-</sup>π<sup>+</sup>, K<sup>+</sup>K<sup>-</sup>π<sup>-</sup>
- Signal selected with cut on  $|E_{\text{miss}} - |\mathbf{P}_{\text{miss}}|| < 20\text{MeV}$
- Both muons and electrons used (novel for CLEO-c)
  - μ's give sensitivity to mass-suppressed form factors

## Branching Fraction Results

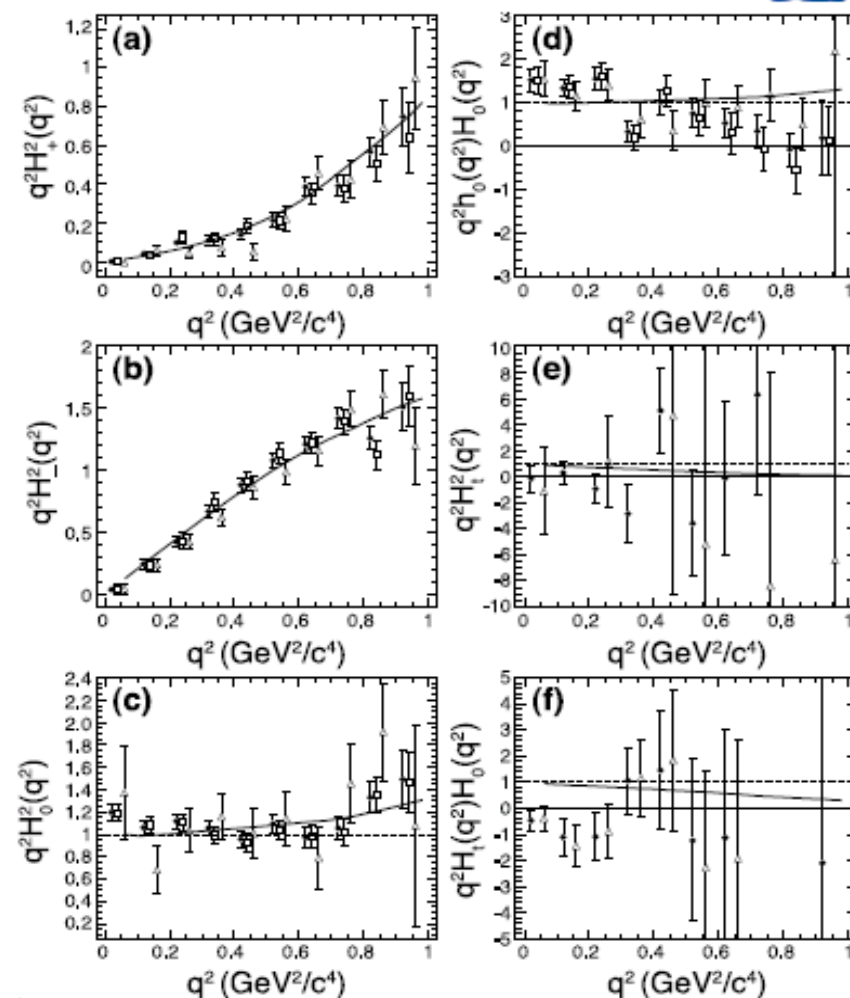
- full range of m(Kπ)

	$\mathcal{L}_{\text{int}}$ (pb <sup>-1</sup> )	$B_e$ (%)
CLEO-c	818	5.52±0.07±0.13
CLEO-c	56	5.56±0.27±0.23
World Average	PDG 2008	5.49±0.31
		$B_\mu$ (%)
CLEO-c	818	5.27±0.07±0.14
World Average	PDG 2008	5.40±0.40

- BF reduced by phase space factor  $B_\mu/B_e = 0.9598 \pm 0.0193 \pm 0.0130$
- Most precise results, consistent with previous measurements

# $D^+ \rightarrow K^- \pi^+ \ell^+ \nu$ Form Factors

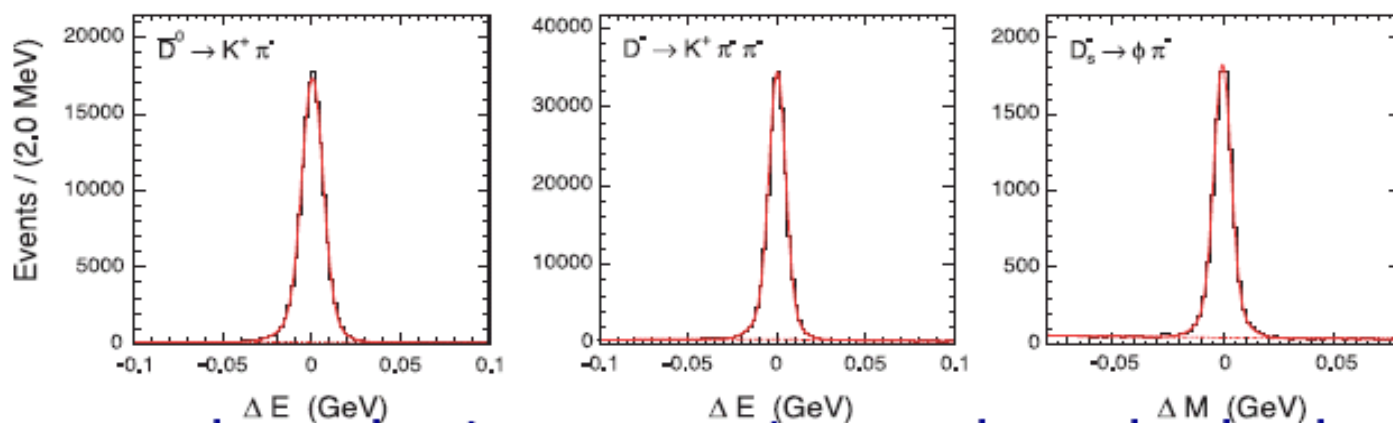
- Analyze 6 helicity FF
- Plot products vs  $q^2$ :
  - $H_+^2(q^2)$ ,  $H_-^2(q^2)$ ,  $H_0^2(q^2)$
  - $H_t^2(q^2)$ ,  $H_t H_0(q^2)$ ,  $h_0 H_0(q^2)$
- General agreement with spectroscopic pole-dominated model (curve)
  - (a)-(c) dominant  $H_0$   $H_+$   $H_-$
- Confirmed NR s-wave interference with  $K^*$  (d)
  - no evidence for d- or f-wave
- (e),(f) suggest smaller  $H_t$  than expected from LQCD



Curves: pole-dominance model  
points: data ( $\square$ ,  $\triangle$ ,  $\bullet$  combined)

# Inclusive D and D<sub>s</sub> SL decays

- Full CLEO-c open charm samples:
  - 818 pb<sup>-1</sup>  $\psi(3770)$  for D<sup>0</sup> $\bar{D}^0$  and D<sup>+</sup>D<sup>-</sup>
  - 602 pb<sup>-1</sup>  $\sqrt{s}=4.17$  GeV for D<sub>s</sub> $\bar{D}_s^*$
- Use hadronic D<sub>(s)</sub> decay on one side as a tag
  - 3 cleanest tags: D<sup>0</sup> → K<sup>-</sup>π<sup>+</sup>, D<sup>+</sup> → K<sup>-</sup>π<sup>+</sup>π<sup>+</sup>, D<sub>s</sub><sup>+</sup> → φ π<sup>+</sup>

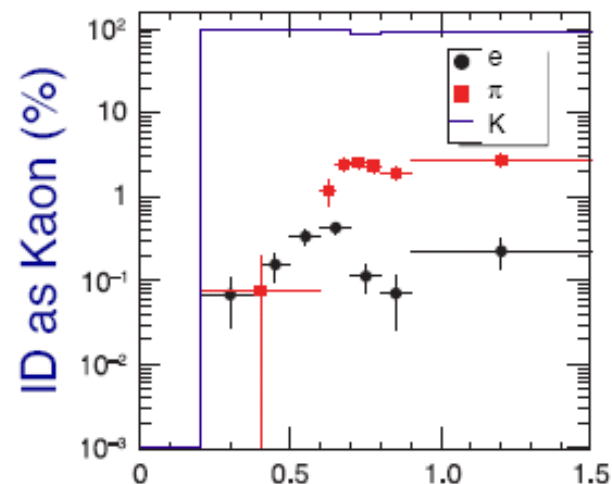
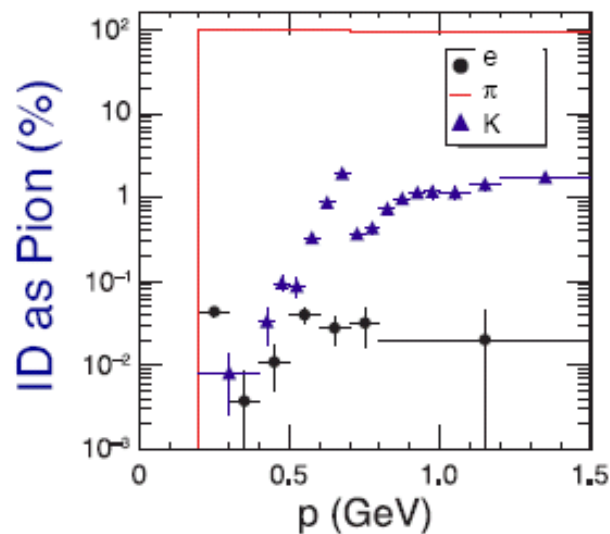
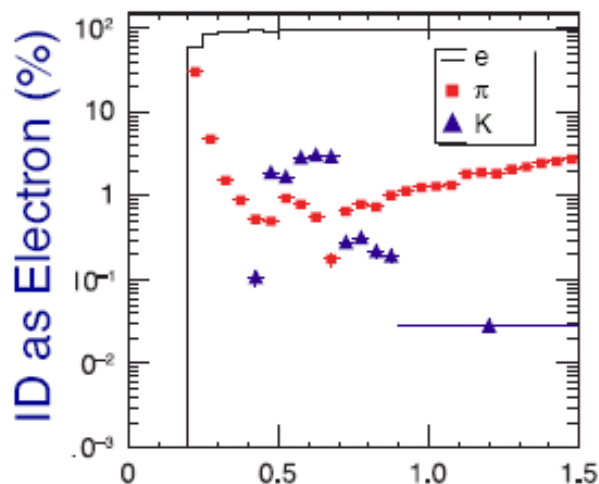


- Accompanying electron spectrum gives inclusive S.L. branching fraction
  - fit spectrum to extrapolate below  $p = 200$  MeV/c



# Electron Identification

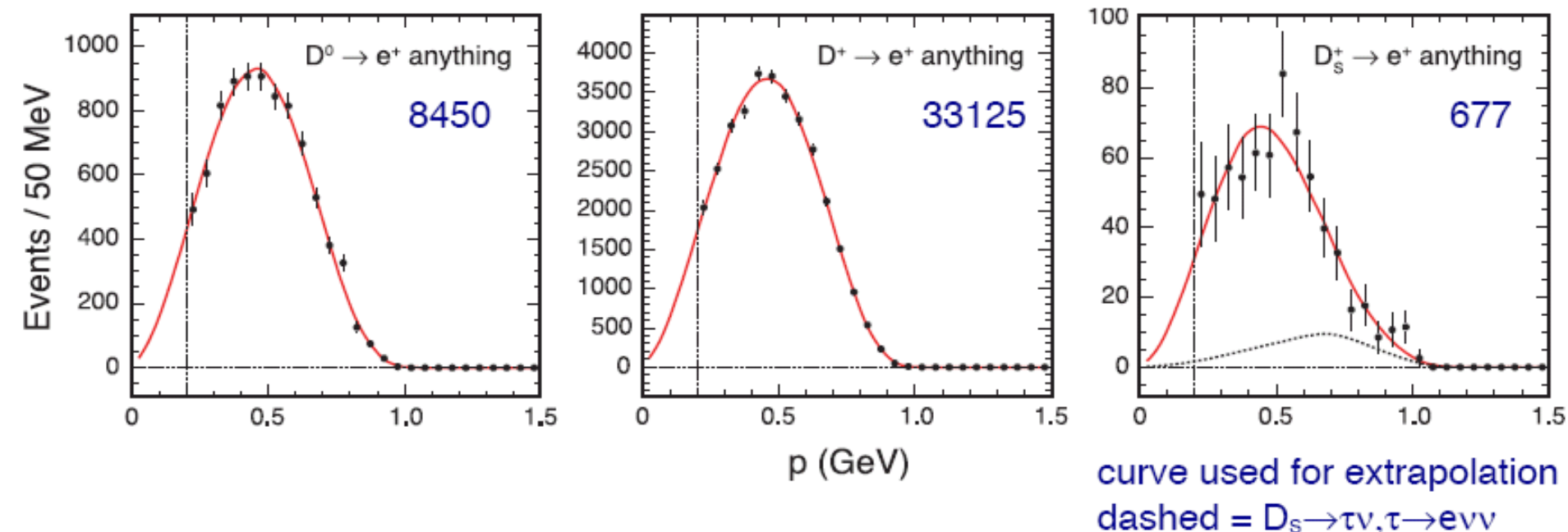
- Tracks above 200 MeV/c and  $|\cos \theta| < 0.8$ 
  - electrons identified with  $dE/dx$ ,  $E/p$  and RICH
  - efficiency and fake rates measured using data
    - $\pi$  from  $K_S \rightarrow \pi\pi$ ;
    - K from reconstructed  $D^+ \rightarrow K^-\pi^+\pi^+$  decays
    - electrons from radiative Bhabha events embedded in data



- High efficiency and low fake rates

# Electron Spectra

- Unfold true electron spectrum from  $\pi, K$  &  $e$  spectra using PID efficiency matrix binned in momentum
  - Matrix accounts for smearing from finite momentum resolution
- Subtract backgrounds ( $\gamma \rightarrow ee, \pi^0 \rightarrow \gamma ee$ ) using wrong sign candidates (9% correction for  $D^0$ )



# BRs for Inclusive D and Ds

	$\mathcal{B}(D^0 \rightarrow Xe^+\nu)$	$\mathcal{B}(D^+ \rightarrow Xe^+\nu)$	$\mathcal{B}(D_s^+ \rightarrow Xe^+\nu)$	
Inclusive (%)	$6.55 \pm 0.10 \pm 0.09$	$16.36 \pm 0.11 \pm 0.29$	$6.49 \pm 0.40 \pm 0.18$	CLEO
$\Sigma$ Exclusive (%)	$6.34 \pm 0.18$	$14.8 \pm 0.4$	$6.5 \pm 0.6$	PDG

$$\frac{\Gamma(D^+ \rightarrow Xe^+\nu)}{\Gamma(D^0 \rightarrow Xe^+\nu)} = 0.985 \pm 0.016 \pm 0.024$$

(Consistent with isospin)

$$\frac{\Gamma(D_s^+ \rightarrow Xe^+\nu)}{\Gamma(D^0 \rightarrow Xe^+\nu)} = 0.813 \pm 0.052 \pm 0.028$$

(SU(3): Ds  $\leftrightarrow$  D)

Voloshin suggests difference between  $D^0$  and  $D_s$  may be non-factorizable terms,  
 similar effect in  $B^0, B^\pm \rightarrow X_l \nu$  and determination of  $V_{ub}$  [PLB 515, 74 (2001)]

# Interpretation

- Compare decay rates under

- Isospin  $D^0 \leftrightarrow D^+$ 

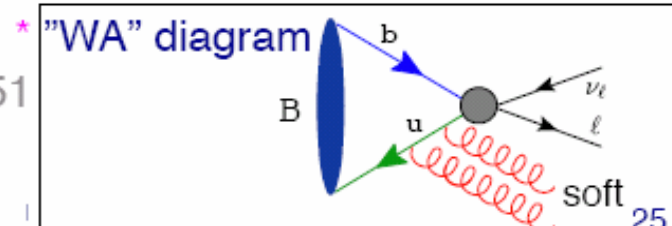
$$\frac{\Gamma(D^+ \rightarrow Xe^+\nu)}{\Gamma(D^0 \rightarrow Xe^+\nu)} = 0.985 \pm 0.015 \pm 0.024$$

- consistent with unity as expected

- SU(3) ( $D_s \leftrightarrow D^+$ )
 
$$\frac{\Gamma(D_s^+ \rightarrow Xe^+\nu)}{\Gamma(D^0 \rightarrow Xe^+\nu)} = 0.828 \pm 0.051 \pm 0.025$$

- Rate not expected to be equal under SU(3)
- May shed light on heavy quark SL decays in Heavy Quark expansion
- Weak Annihilation\* contributions impact extraction of  $V_{ub}$  from inclusive  $b \rightarrow u\ell\nu$ , estimated  $\sim 3\%$  of the total rate concentrated at  $q^2_{\max}$
- Voloshin suggested  $\frac{\Gamma(D_s^+ \rightarrow Xe^+\nu)}{\Gamma(D^0 \rightarrow Xe^+\nu)}$  can constrain  $B^+$  &  $B^0$  differences in the kinematic regions used to measure  $b \rightarrow u\ell\nu$  and  $V_{ub}$
- Expectations for WA in  $D_s$  are larger by  $\sim (m_b/m_c)^3$
- CLEO-c measurement suggests the WA contribution to B decays is smaller than 3%, perhaps  $< 1\%$

- Recent analysis in Ligeti et al. arXiv:1003.1351
    - Gambino & Kamenik arXiv:1004.0114
- presentation tomorrow



Voloshin PLB 515, 74 (2001)

# Summary & Outlook for Charm SL

- Full CLEO-c statistics are partially analyzed
  - Form factors and BF in  $D \rightarrow K e \nu$ ,  $D \rightarrow \pi e \nu$ ,  $D^+ \rightarrow K^- \pi^+ \ell^+ \nu$ 
    - $D \rightarrow \pi e \nu$  gives a challenge for LQCD FF needed for  $V_{ub}$  from  $B \rightarrow \pi e \nu$
    - $V_{cs}$  and  $V_{cd}$  consistent with CKM unitarity (leading uncertainty from LQCD FF)
  - Inclusive  $D^0$ ,  $D^+$ ,  $D_s$  branching fraction & electron spectrum
    - provides some constraints on weak annihilation in  $V_{ub}$  extraction
- Additional analysis underway at CLEO-c
  - $D \rightarrow \rho e \nu, \eta e \nu, \omega e \nu$  with full statistics
  - $D_s$  exclusive decays:  $\phi e \nu, \eta e \nu, \eta' e \nu, K_S e \nu, K^{*0} e \nu, f_0 e \nu$ 
    - (not shown: 310 pb<sup>-1</sup> published PRD 80, 052007 (2009); 600 pb<sup>-1</sup> on tape)
- B factories have potential to add here
  - not shown: preliminary  $D^+ \rightarrow K^- \pi^+ \ell^+ \nu$  form factors from BaBar
- BES III is now running at  $\psi(3770)$  with upgraded detector

# Precise test of CKM and QCD in D decays at BESIII

Observable	CKM	QCD	Lattice	Exp meas	Exp err
$Br(D \rightarrow \ell\nu)$	$ V_{cd} $	$f_D$	2%	$f_D V_{cd} $	1.1%
$Br(D_s \rightarrow \ell\nu)$	$ V_{cs} $	$f_{D_s}$	1.5%	$f_{D_s} V_{cs} $	0.7%
$\frac{Br(D_s \rightarrow \ell\nu)}{Br(D \rightarrow \ell\nu)}$	$\frac{ V_{cs} }{ V_{cd} }$	$\frac{f_{D_s}}{f_D}$	1%	$\left  \frac{V_{cs}f_{D_s}}{V_{cd}f_D} \right $	0.8%
$d\Gamma(D^0 \rightarrow \pi^-)$	$ V_{cd} $	$F_{D \rightarrow \pi}(0)$	4%	$ V_{cd} F_{D \rightarrow \pi}(0)$	0.6%
$d\Gamma(D^0 \rightarrow K^-)$	$ V_{cs} $	$F_{D \rightarrow K}(0)$	3%	$ V_{cs} F_{D \rightarrow K}(0)$	0.5%
$d\Gamma(D_s \rightarrow K)$	$ V_{cd} $	$F_{D_s \rightarrow K}(0)$	2%	$ V_{cd} F_{D_s \rightarrow K}(0)$	1.2%
$d\Gamma(D_s \rightarrow \phi)$	$ V_{cs} $	$F_{D_s \rightarrow \phi}(0)$	1%	$ V_{cs} F_{D_s \rightarrow \phi}(0)$	0.8%

The LQCD impact (in per cent) on the precision of CKM matrix elements. 20fb<sup>-1</sup> at BES-III.

In reality, about 5pb<sup>-1</sup> data will be collected @ BESIII in the next four years. the sensitivity will be 2% or less.

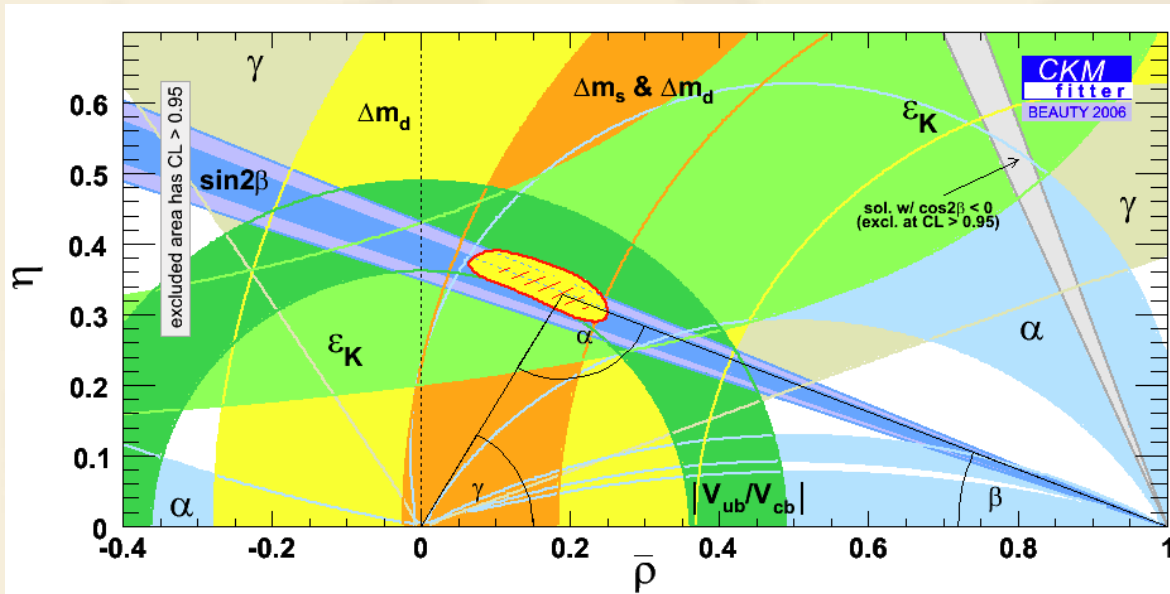
# Impact on CKM from LQCD and charm data

$$\left( \begin{array}{ccc} V_{ud} & V_{us} & V_{ub} \\ \pi \rightarrow l\nu & K \rightarrow l\nu & B \rightarrow \pi l\nu \\ & K \rightarrow \pi l\nu & \\ V_{cd} & V_{cs} & V_{cb} \\ D \rightarrow l\nu & D_s \rightarrow l\nu & B \rightarrow D l\nu \\ D \rightarrow \pi l\nu & D \rightarrow K l\nu & \\ V_{td} & V_{ts} & V_{tb} \\ \langle B_d | \bar{B}_d \rangle & \langle B_s | \bar{B}_s \rangle & \end{array} \right)$$

Gold-plated LQCD processes that bear on CKM matrix elements: HPQCD, UKQCD, MILC Collaboration:  
PRL, 92, 022001 (2004)  
PRL, 95, 122002 (2005)  
PRL, 94, 011601 (2005)

**I. Shipsey International J. of Mod. Phys A V27 5381(2006)**

# Charm role in flavor physics



$|V_{ub}|$  from  $B \rightarrow \pi \ell \nu$ :

$$\frac{d\Gamma}{dq^2} = \frac{G_F^2}{24\pi^3} |V_{ub}|^2 p_\pi^3 |f_+(q^2)|^2$$

Form factor  $f(q^2)$ :

- Hard to calculate
- Limits  $|V_{ub}|$  precision
- Lattice QCD can do from first principles

## Heavy flavor physics:

- **over-constrain  $V_{CKM}$** ;
  - **Inconsistency  $\rightarrow$  New Physics**
- ### Unitarity Triangle Constraints
- $\sin(2\beta)$  is clean
  - $V_{ub}$  is “dirty”
  - B mixing is diluted by hadronic uncertainties.

## Charm decay measurements

- decay constants
- form factors
- $V_{CKM}$  clean extraction
- validate QCD
- extract clean weak physics parameters



# Questions for you

- ❖  $D^0 \rightarrow X e^- \nu$  (or  $\bar{D}^0 \rightarrow X e^+ \nu$ ) is allowed? Why the form factors only depend on  $q^2$ ?
- ❖ What's the physical meaning of the FF?
- ❖ Can all of semileptonic decays of D/B be used to extract CKM matrix elements?
- ❖ **Why the weak annihilation processes dilute the extraction of  $V_{ub}$  in  $B \rightarrow X_u e \nu$  inclusive decay.**

Z. Ligeti, M.Luke and A.V.Manohar arXiv:1003.1351[hep-ph]

# Rare & forbidden charm decays

# What's the rare?

- Suppressed or Forbidden by SM
  - FCNC ( $c \rightarrow u$ ) GIM suppressed:
    - $D^+ \rightarrow \pi^+ e^+ e^-$ ,  $D \rightarrow X \gamma$
  - LNV/LFV:
    - $D^+ \rightarrow \pi^+ e^+ e^+$ ,  $D^+ \rightarrow \pi^+ e^+ \mu^-$
  - BNV:
    - $D \rightarrow X p$
- Not so exotic but interesting (BESIII targets)
  - $D \rightarrow \tau \nu$  (SM consistency)
  - $D \rightarrow l \nu \gamma$  (Validate radiative contributions,  $\times 10^{-5}$ )
- Small Deviations rather than small rates (BESIII target)
  - Lepton Universality ( $D \rightarrow X e \nu$  versus  $D \rightarrow X \mu \nu$ )
- D-mixing and CPV
  - Covered in other talks today.

# Expected rate

- Standard Model SD contributions

- Range

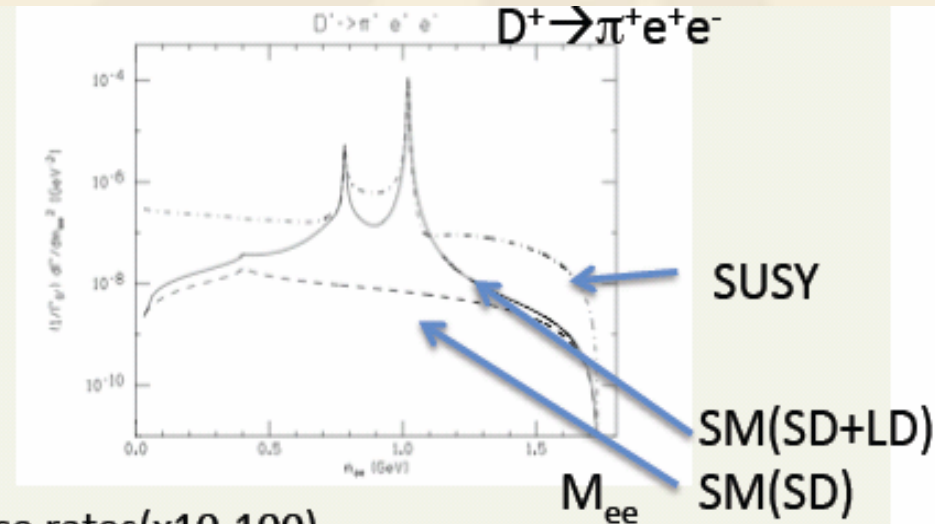
- $D^+ \rightarrow X_u e^+ e^-: 10^{-8}$
- $D^0 \rightarrow e^+ e^-: 10^{-24}$
- $D^0 \rightarrow e \mu: =0$

- Exotic contributions

- NSM contributions can significantly enhance rates(x10-100).
- $D^+ \rightarrow \pi^+ e^+ e^-$  (at high  $M_{ee}$ )

- SM LD contributions:

- Enhancement in rate due to vector resonances (x100).



**G. Burdman, I. Shipsey,**  
**Ann.Rev.Nucl.Part.Sci.53:431-499,2003**

# Expected Rate in SM

Decay Mode	$Br_{S.D.}$	$Br_{L.D.}$
$D^+ \rightarrow X_u^+ e^+ e^-$	$2 \times 10^{-8}$	
$D^+ \rightarrow \pi^+ e^+ e^-$		$2 \times 10^{-6}$
$D^+ \rightarrow \pi^+ \mu^+ \mu^-$		$1.9 \times 10^{-6}$
$D^+ \rightarrow \rho^+ e^+ e^-$		$4.5 \times 10^{-6}$
$D^0 \rightarrow X_u^0 + e^+ e^-$	$0.8 \times 10^{-8}$	
$D^0 \rightarrow \pi^0 e^+ e^-$		$0.8 \times 10^{-6}$
$D^0 \rightarrow \rho^0 e^+ e^-$		$1.8 \times 10^{-6}$
$D^0 \rightarrow \rho^0 \mu^+ \mu^-$		$1.8 \times 10^{-6}$
$D^+ \rightarrow X_u^+ \nu \bar{\nu}$	$1.2 \times 10^{-15}$	
$D^+ \rightarrow \pi^+ \nu \bar{\nu}$		$5 \times 10^{-16}$
$D^0 \rightarrow \bar{K}^0 \nu \bar{\nu}$		$2.4 \times 10^{-16}$
$D_s \rightarrow \pi^+ \nu \bar{\nu}$		$8 \times 10^{-15}$
$D^0 \rightarrow \gamma\gamma$	$4 \times 10^{-10}$	$\text{few} \times 10^{-8}$
$D^0 \rightarrow \mu^+ \mu^-$	$1.3 \times 10^{-19}$	$\text{few} \times 10^{-13}$
$D^0 \rightarrow e^+ e^-$	$(2.3 - 4.7) \times 10^{-24}$	
$D^0 \rightarrow \mu^\pm e^\mp$	0	0
$D^+ \rightarrow \pi^+ \mu^\pm e^\mp$	0	0
$D^0 \rightarrow \rho^0 \mu^\pm e^\mp$	0	0

Burdman et. al.,  
PRD66(2002)  
014009

Physics at BESIII  
Int. J. Of  
Mod. Phys. A 24  
Supp. 1  
Page 679  
Edited by  
K.T.Chao  
Y.F.Wang

# Experimental limits

Mode	Reference Experiment	Best Upper limits( $10^{-6}$ )
$\pi^+e^+e^-$	CLEO-c [436]	7.4
$\pi^+\mu^+\mu^-$	FOCUS [437]	8.8
$\pi^+\mu^+e^-$	E791 [438]	34
$\pi^-e^+e^+$	CLEO-c [436]	3.6
$\pi^-\mu^+\mu^+$	FOCUS [437]	4.8
$\pi^-\mu^+e^+$	E791 [438]	50
$K^+e^+e^-$	CLEO-c [436]	6.2
$K^+\mu^+\mu^-$	FOCUS [437]	9.2
$K^+\mu^+e^-$	E791 [438]	68
$K^-e^+e^+$	CLEO-c [436]	4.5
$K^-\mu^+\mu^+$	FOCUS [437]	13
$K^-\mu^+e^+$	E687 [439]	130

Mode	Reference Experiment	Best Upper limits( $10^{-6}$ )
$\gamma\gamma$	CLEO [442]	28
$\mu^+\mu^-$	D0 [444]	2.4
$\mu^+e^-$	E791 [438]	8.1
$e^+e^-$	E791 [438]	6.2
$\pi^0\mu^+\mu^-$	E653 [445]	180
$\pi^0\mu^+e^+$	CLEO [443]	86
$\pi^0e^+e^-$	CLEO [443]	45
$K_S\mu^+\mu^-$	E653 [445]	260
$K_S\mu^+e^-$	CLEO [443]	100
$K_Se^+e^-$	CLEO [443]	110
$\eta\mu^+\mu^-$	CLEO [443]	530
$\eta\mu^+e^-$	CLEO [443]	100
$\eta e^+e^-$	CLEO [443]	110

# What's the rare program?

I

Current Exp Limits

BESIII Reach

SM (LD+SD)



NP

BR

$D \rightarrow XI^+I^-$

$D \rightarrow \gamma\gamma$

II

Current Exp Limits

BESIII Reach



SM

LD

BR

$D \rightarrow V\gamma$

III

Current Exp Limits

BESIII Reach



SM

SD

BR

$D \rightarrow \tau\nu$

## IV: Forbidden( $Xe\mu, XI^+I^+$ )

# CLEO-c for $D \rightarrow X e^+ e^-$ ( $281 \text{ pb}^{-1}$ )

Branching-fraction UL values are all at 90% C.L.

Mode	$\epsilon$ (%)	$N$	$n$	$\sigma_{\text{syst}}$ (%)	$\mathcal{B}$ ( $10^{-6}$ )
$\pi^+ e^+ e^-$	36.41	1.99	2	8.7	$< 7.4$
$\pi^- e^+ e^+$	43.85	0.48	0	7.1	$< 3.6$
$K^+ e^+ e^-$	26.18	1.47	0	10.0	$< 6.2$
$K^- e^+ e^+$	35.44	0.50	0	7.2	$< 4.5$
$\pi^+ \phi(e^+ e^-)$	46.22	0.04	2	7.4	$2.7_{-1.8}^{+3.6} \pm 0.2$

CLEO

PRL 95 (2005) 221802

First observation of  $D \rightarrow X e e$  type decay.

Rate consistent with expectations.

Efficiency and resolution are very good:

$$\sigma_{\Delta E} \sim 6 \text{ MeV}$$

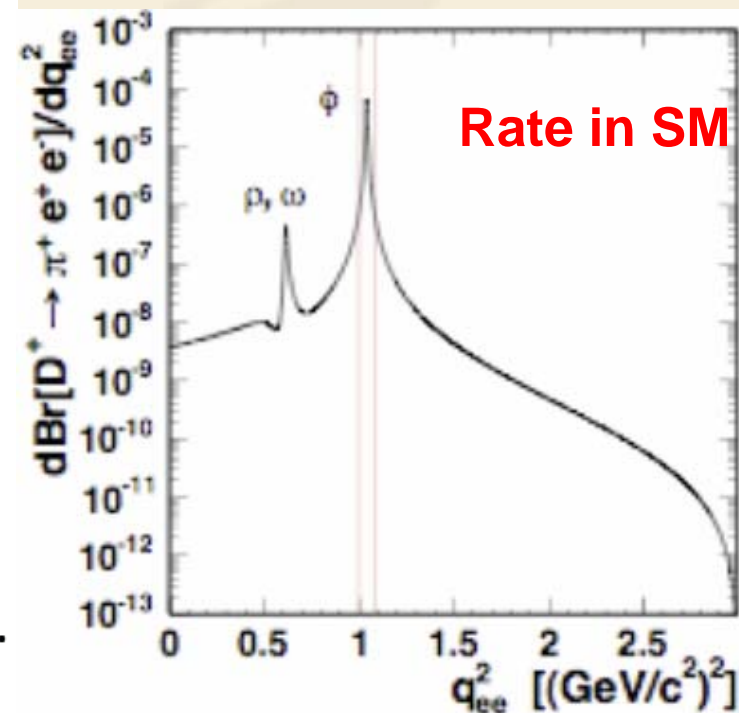
$$\sigma_{\text{Mbc}} \sim 1.5 \text{ MeV}$$

Backgrounds:

double-semileptonic, conversions, Dalitz, QED

\*Suppressed with other side energy requirement.

\*Reject low  $M_{ee}$





# What is special about doing a rare analysis

## Experimental perspective

- Blind analyses should be the rule.
- Unusual backgrounds require extensive care.
  - Anomalous detector problems must be anticipated.
  - MC may not reproduce detector anomalies.
  - May required nonstandard background samples from MC.
  - Control samples from data can help identify and quantify MC performance.
- Extensive statistical optimization required.
  - Systematics are often not driving the data selection criteria.
  - Creativity in developing novel selection should be encouraged.
- The time scale for receiving a meaningful data sample is long.
  - Preparation should still start now for BESIII.
  - Mock data challenges should be planned.
- $D \rightarrow V\gamma$  and  $\gamma\gamma$  provide excellent examples.
  - First observations are possible at BESIII.
  - Will provide next level of precision on observed modes.
  - Photon resolution and broad resonances are handled well in our clean environment.
  - Novel analysis techniques can enhance reach significantly.
  - Provides a reality check on our “hopes”.

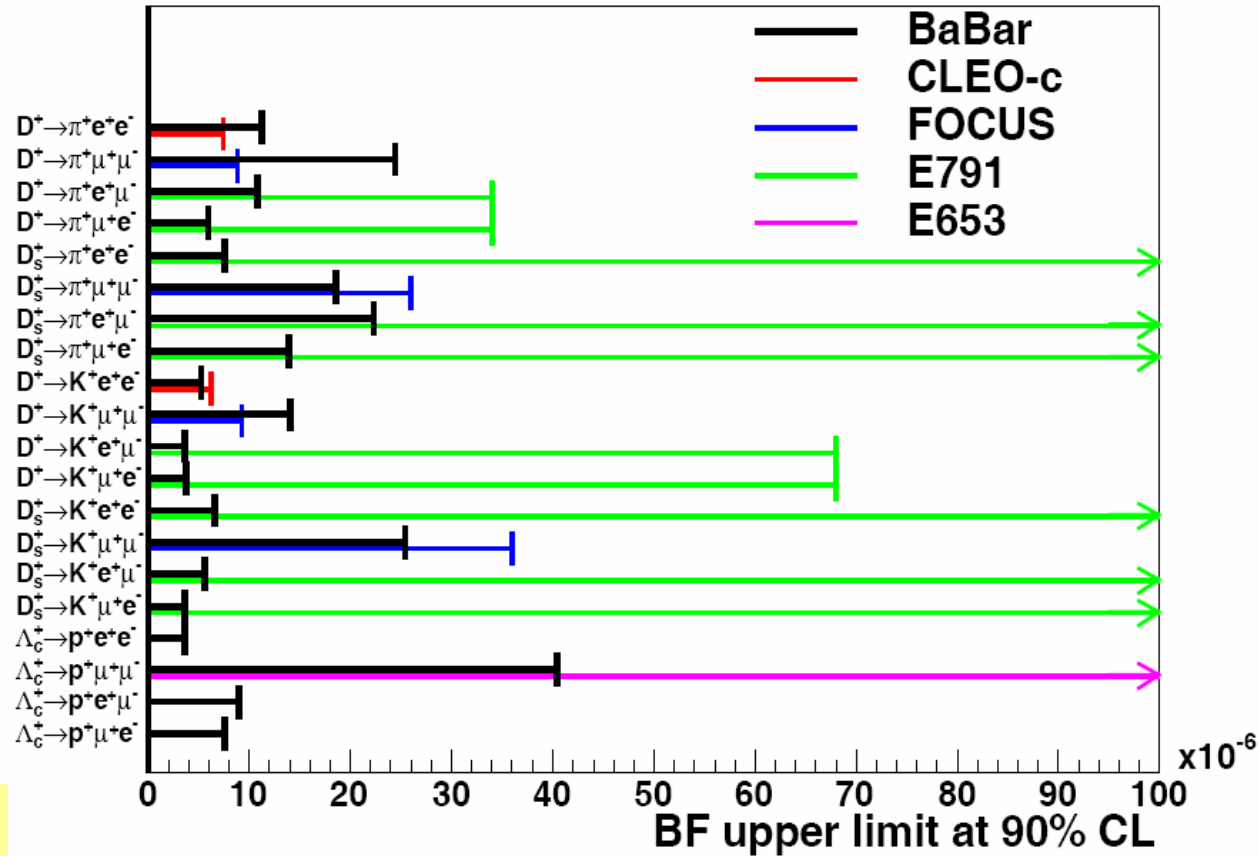
# D → h l<sup>+</sup> l<sup>-</sup> Like Rare Decays

BaBar Input  
ICHEP06  
288 fb<sup>-1</sup> @Y(4S)

CLEO-c  
0.8 M (0.281 fb<sup>-1</sup>)

$$\frac{L_{BaBar}}{L_{CLEO-c}} = \frac{288}{0.3} = 960$$

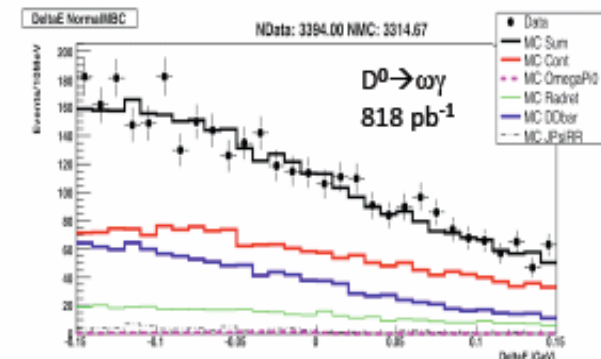
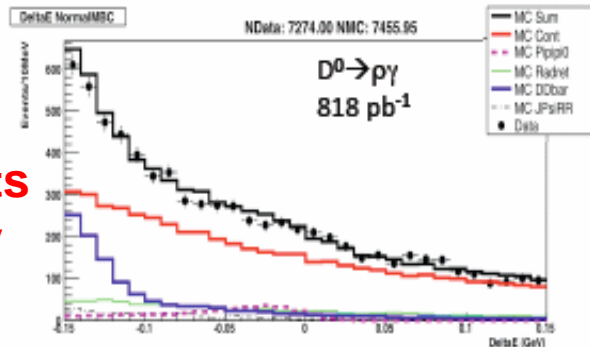
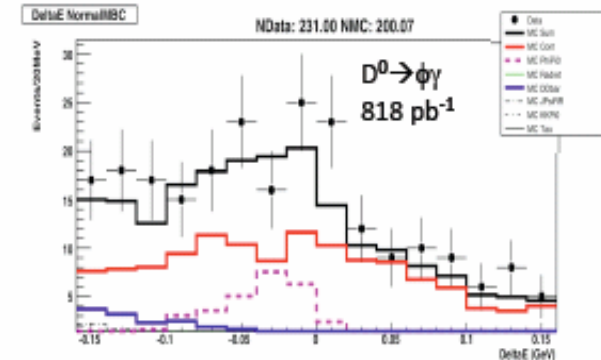
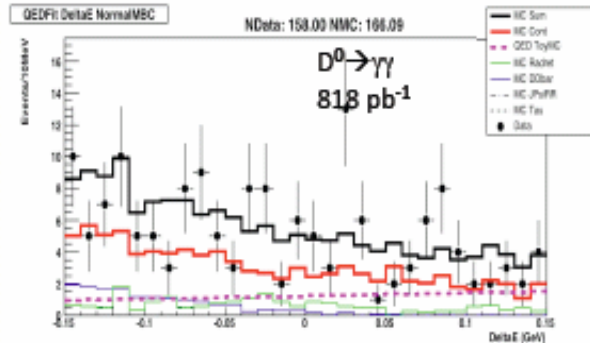
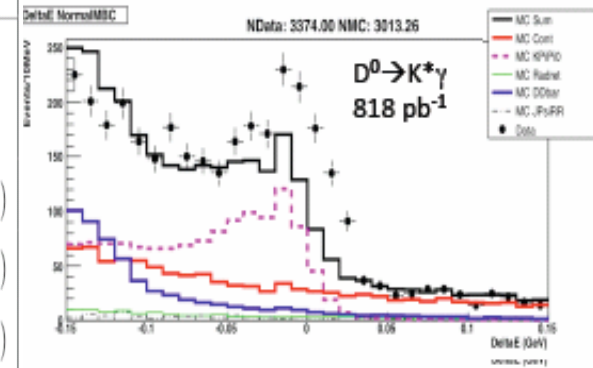
Background free at a tau  
charm factory @3770 peak!



# D → Vγ with CLEO-c full dataset

- Modes:  $D^0 \rightarrow K^* \gamma$ ,  $D^0 \rightarrow \phi \gamma$ ,  
 $D^0 \rightarrow \rho \gamma$ ,  $D^0 \rightarrow \omega \gamma$ ,  $D^0 \rightarrow \gamma \gamma$
- Luminosity:  $818 \text{ pb}^{-1}$
- Comprehensive: Modes with low measurement resolution and broad resonances are not measured by B-factories.
- Easy to compare ratios (remove LD physics) and look for NP contributions
- Improved upper limits
- Confirm:  $K^*$ ,  $\phi$  observations

Channel	$\epsilon$ [%]	$N_{Bknd}$	$N_{observed}$	$B$
$D \rightarrow \bar{K}^* \gamma$	12.29	$355.79 \pm 2.55$	677	$[4.37 \pm 0.37 \pm 0.52] \times 10^{-4}$
$D \rightarrow \phi \gamma$	11.61	$28.66 \pm 1.08$	44	$[2.21 \pm 0.95 \pm 0.29] \times 10^{-5}$
$D \rightarrow \gamma \gamma$	19.90	$15.79 \pm 0.81$	17	$< 8.63 \times 10^{-6}$ (UL @90% CL)
$D \rightarrow \rho \gamma$	23.41	$615.4 \pm 4.9$	625	$< 3.63 \times 10^{-5}$ (UL @90% CL)
$D \rightarrow \omega \gamma$	11.37	$247.8 \pm 4.5$	235	$< 3.00 \times 10^{-5}$ (UL @90% CL)



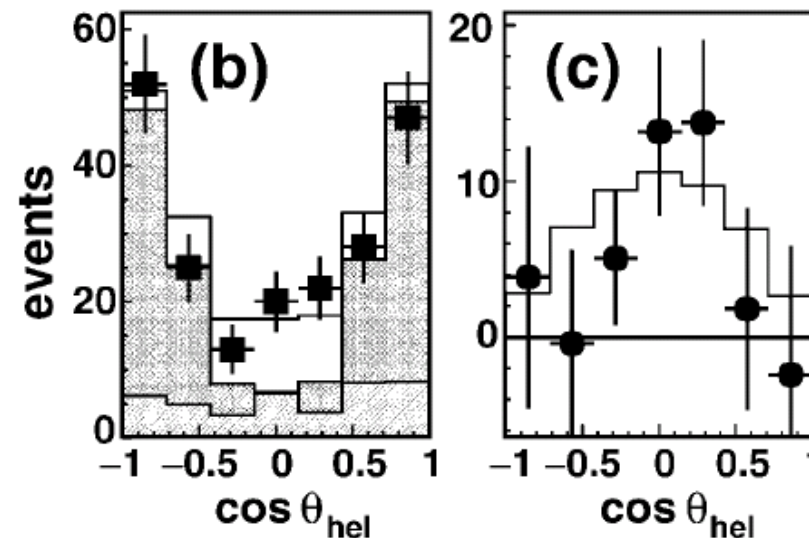
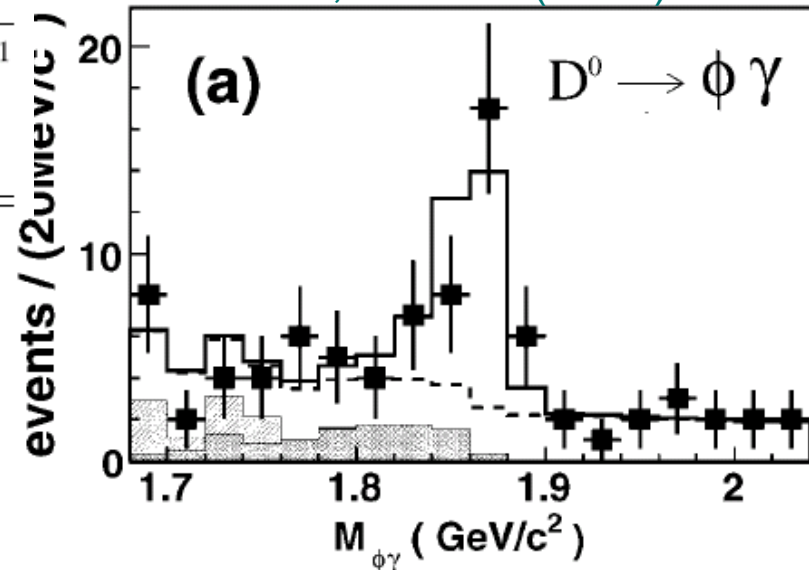
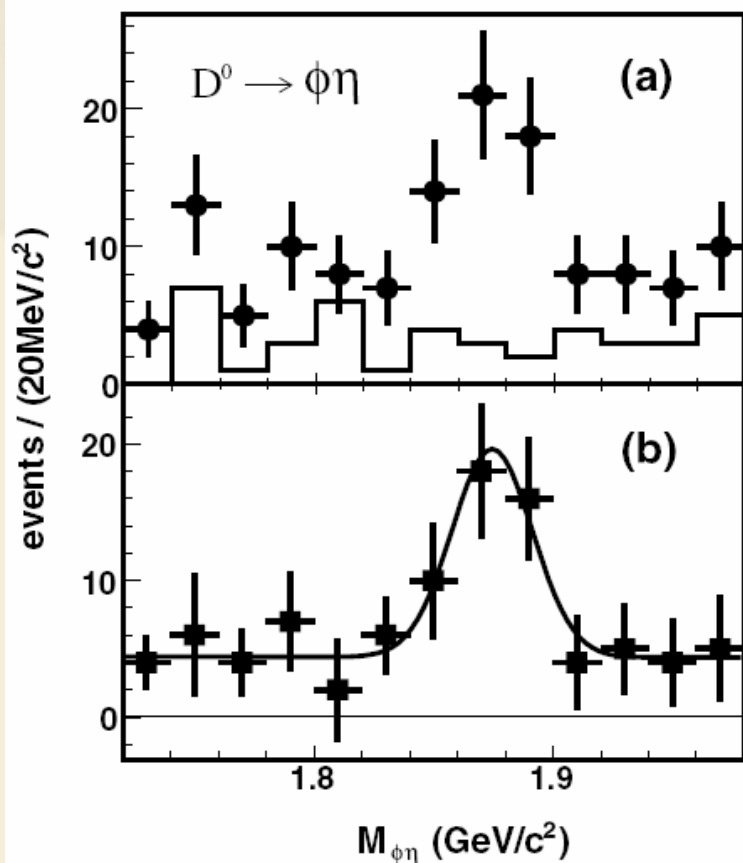
CLEO-c preliminary results from D. Cronin-Hennessy

# D → φγ from Belle 78fb<sup>-1</sup>

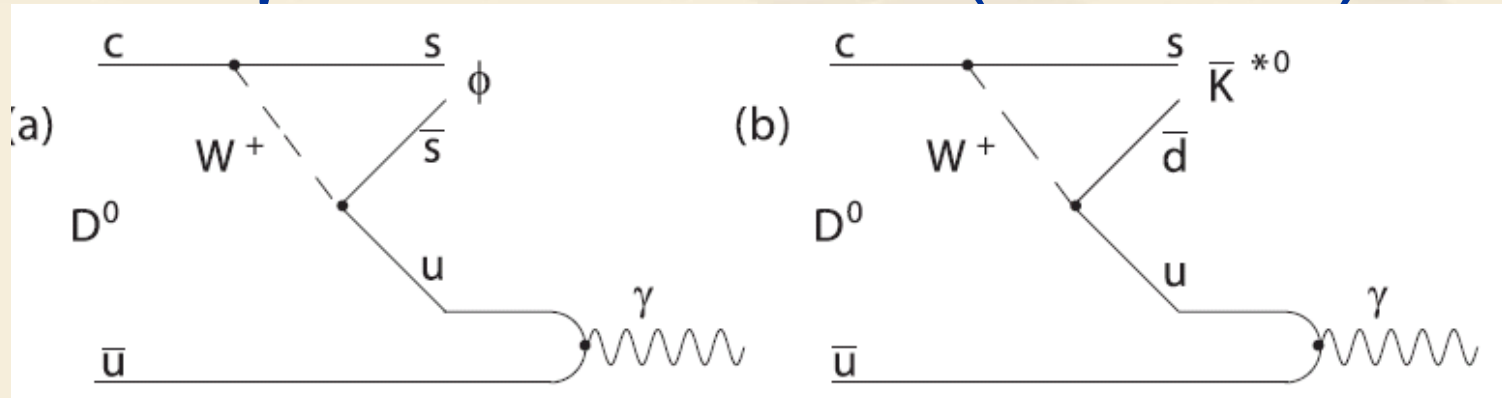
Mode	$\mathcal{B}_f/\mathcal{B}_{K^+K^-}$	$\mathcal{B}_f (\times 10^{-4})$
$\phi\gamma$	$[6.31^{+170}_{-1.48} \text{ } ^{+0.30}_{-0.36}] \times 10^{-3}$	$[2.60^{+0.70}_{-0.61} \text{ } ^{+0.15}_{-0.17}] \times 10^{-1}$
$\phi\pi^0$	$[1.94 \pm 0.06 \pm 0.09] \times 10^{-1}$	$8.01 \pm 0.26 \pm 0.47$
$\phi\eta$	$[3.59 \pm 1.14 \pm 0.18] \times 10^{-2}$	$1.48 \pm 0.47 \pm 0.09$

PRL92, 101803 (2004)

**Belle observed the D → φγ for the first time!**



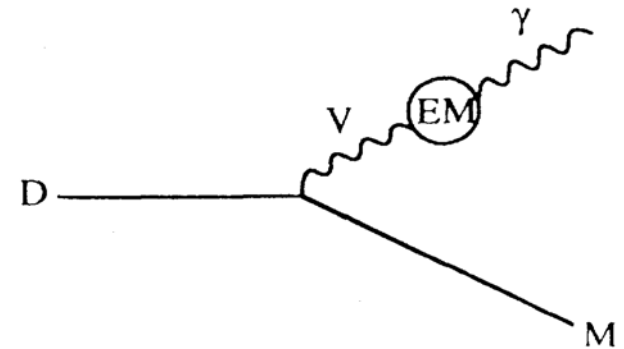
# D → Vγ from BABAR (387fb<sup>-1</sup>)



$$\mathcal{B}(D^0 \rightarrow \phi \gamma) = (2.78 \pm 0.30 \pm 0.27) \times 10^{-5},$$

BABAR, PRD78,071101(R)(2008)

$$\mathcal{B}(D^0 \rightarrow \bar{K}^{*0} \gamma) = (3.28 \pm 0.20 \pm 0.27) \times 10^{-4}.$$



**In VDM, the largest contribution to radiative D decays is expected to come from a virtual  $\rho$  coupling to single photon [PRD52, 6383(1995)]**

$$\frac{\mathcal{B}(D^0 \rightarrow \phi \gamma)}{\mathcal{B}(D^0 \rightarrow \bar{K}^{*0} \gamma)} = (8.48 \pm 1.07 \pm 1.08) \times 10^{-2},$$

$$\frac{\mathcal{B}(D^0 \rightarrow \phi \rho^0)}{\mathcal{B}(D^0 \rightarrow \bar{K}^{*0} \rho^0)} = (9.15 \pm 2.17) \times 10^{-2}$$

# D Dileptonic decay from Belle

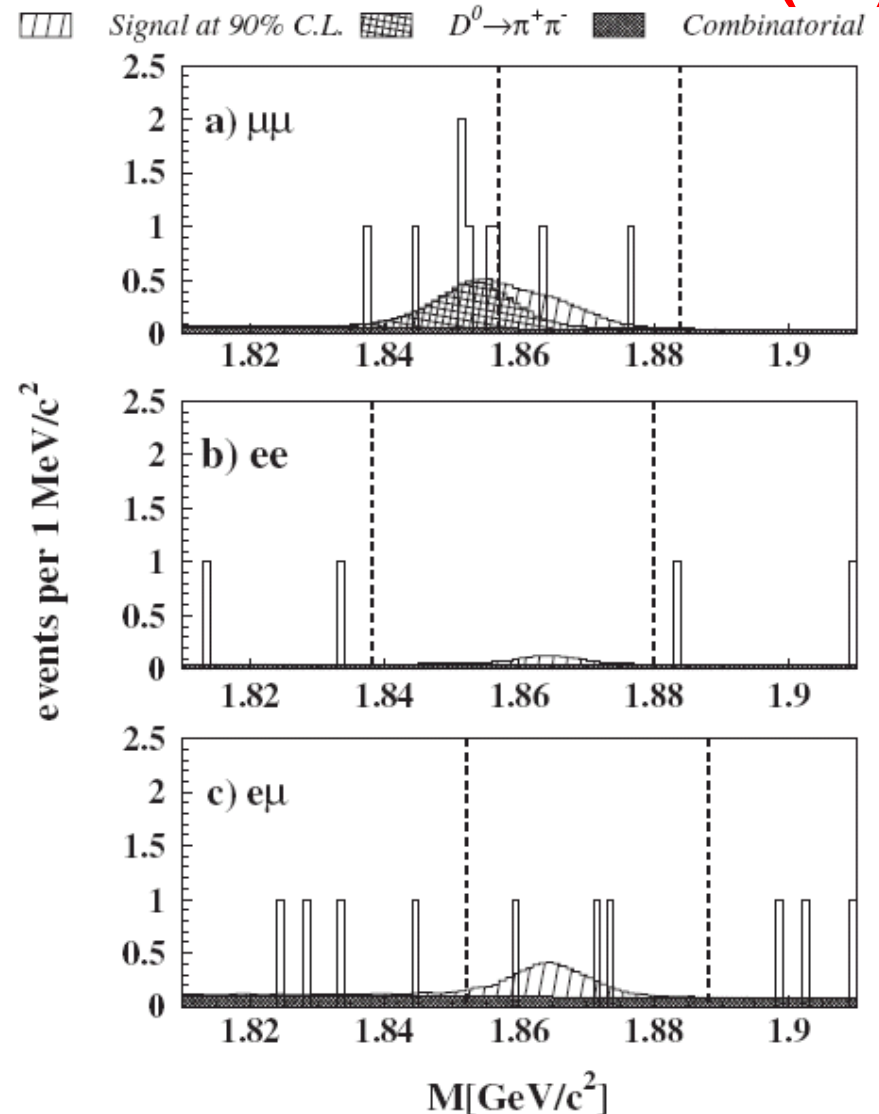
Belle PRD81, 091102 (R ) (2010)

660 fb<sup>-1</sup> @Y(4S)

$$\mathcal{B}(D^0 \rightarrow \mu^+ \mu^-) < 1.4 \times 10^{-7},$$

$$\mathcal{B}(D^0 \rightarrow e^+ e^-) < 7.9 \times 10^{-8},$$

$$\mathcal{B}(D^0 \rightarrow e^\pm \mu^\mp) < 2.6 \times 10^{-7}.$$



# Outlook for rare decays at BESIII

- Assuming  $10 \text{ fb}^{-1}$  we have a viable rare decay program
  - **NP**: Will improve UL on FCNC and Forbidden decays.
  - **LD**: May have first observations in  $V\gamma$  modes.
    - Will improve precision of observed modes with better control of systematics
  - **SD**:  $D \rightarrow \tau \nu$  may be established.
- Some lessons from CLEO-c
  - Backgrounds are indeed low for lepton analyses (untagged analysis is optimal).
  - Photon modes are hard. Innovative techniques are required to exploit the full potential of the data.
  - Well designed control samples of data are useful in uncovering deficiencies of MC.
  - MC physics needs to be checked.
  - We should encourage creative approaches to data selection (we are not required to use standard selection).
  - Expect the unexpected.

# Sensitivities for rare charm decay at BESIII

- $D \rightarrow V\gamma$  will be reached at  $10^{-7}$   
 $D^0 \rightarrow \phi\gamma, K^*\gamma$  will be confirmed and improved  
 $D^0 \rightarrow \rho\gamma, \omega\gamma$  will be improved or found
- $D^0 \rightarrow \gamma\gamma$  can be measured with tag or without tag  
the sensitivity will be  $10^{-7}$
- $D \rightarrow Xl^+l^-$  can be reached at  $10^{-7}$   
BESIII will reach contribution from long distance
- $D^0 \rightarrow l^+l^-$  will be reached at  $10^{-7}$

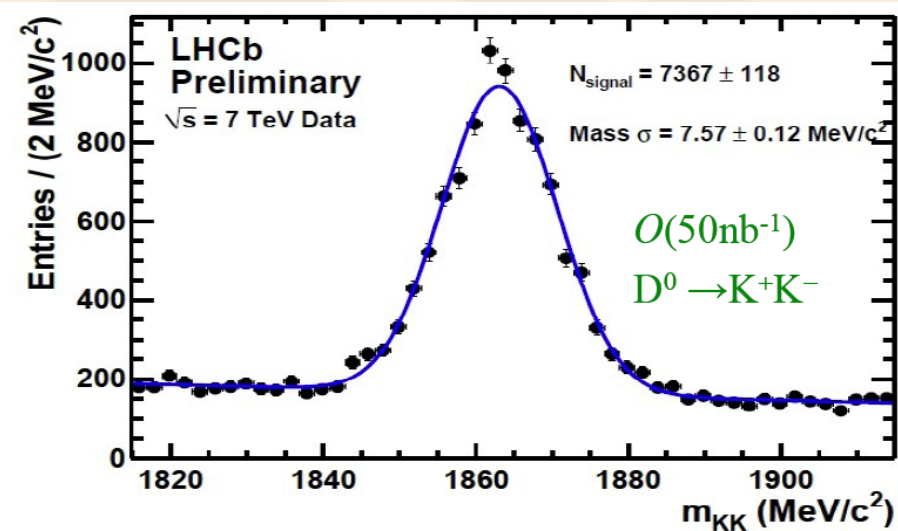
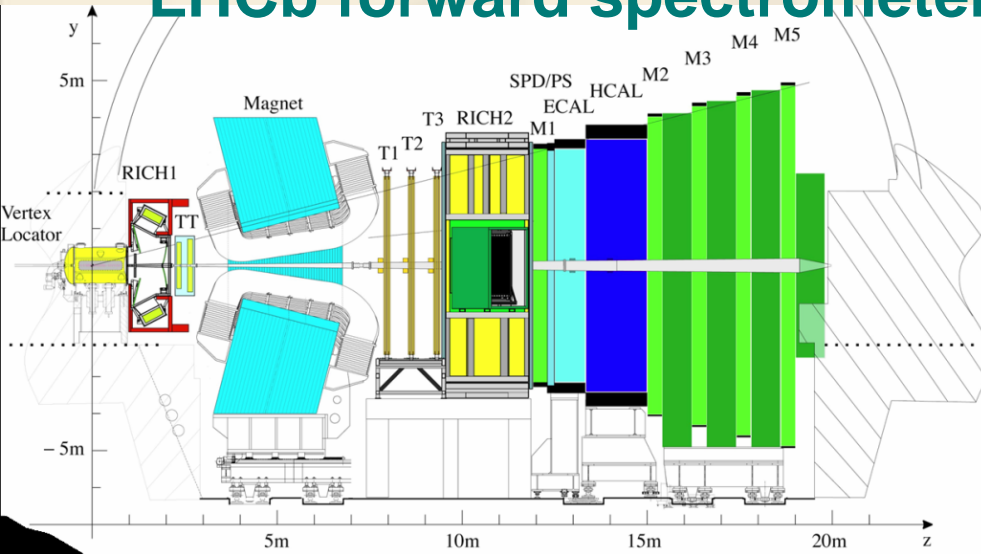


# Questions for you

- ❖ Why  $D^+ \rightarrow \mu\nu$ ,  $e\nu$  are helicity suppressed, while  $D^+ \rightarrow \gamma\mu\nu$ ,  $\gamma e\nu$  are not?
- ❖ To measure  $D^0 \rightarrow \gamma\gamma$ , double or single tag, which method is better at  $\psi(3770)$  peak?
- ❖ Can we measure  $D^0 \rightarrow \nu\nu$ , or  $\gamma\nu\nu$ , the sensitivity with  $10\text{fb}^{-1}$  @  $\psi(3770)$ ? What's the main backgrounds?
- ❖ Can we measure  $D^0 \rightarrow K/\pi \nu\nu$ ? What's the main backgrounds? @BESIII!
- ❖ What's GIM mechanism? How it works in charm rare decays?

# Charm flavor physics in the future

## LHCb forward spectrometer



Rare charm decays, CPV, D mixing.

For example:  $D^0 \rightarrow \mu\mu$ : the reach is  $10^{-9}$  with  $1\text{fb}^{-1}$

# Charm flavor physics in the future

## Upgraded E835 at Fermilab for charm physics

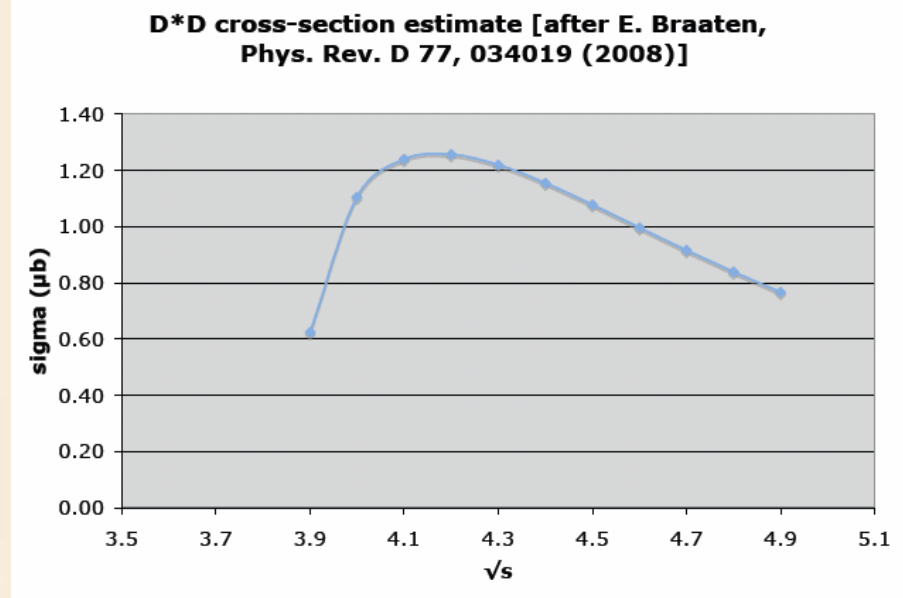
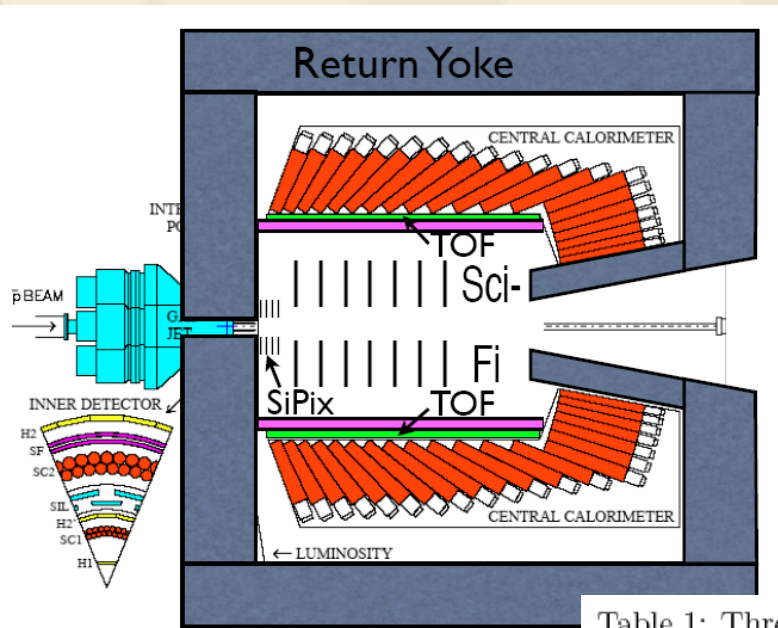


Table 1: Thresholds for some processes of interest and lab-frame  $\bar{p}$  momentum for  $\bar{p}p$  fixed-target.

**10<sup>9</sup> charm meson /year**

**Rare charm decays,  
New charmonium states  
Charmed baryons**

Hyperon pairs	Threshold		"Charmonium"	Threshold	
	$\sqrt{s}$ (GeV)	$p_{\bar{p}}$ (GeV/c)		$\sqrt{s}$ (GeV)	$p_{\bar{p}}$ (GeV/c)
$\bar{p}p \rightarrow \bar{\Lambda}\Lambda$	2.231	1.437	$\bar{p}p \rightarrow \eta_c$	2.980	3.678
$\bar{p}p \rightarrow \bar{\Sigma}^-\Sigma^+$	2.379	1.854	$\bar{p}p \rightarrow \psi(3770)$	3.771	6.572
$\bar{p}p \rightarrow \bar{\Xi}^+\Xi^-$	2.642	2.620	$\bar{p}p \rightarrow X(3872)$	3.871	6.991
$\bar{p}p \rightarrow \bar{\Omega}^+\Omega^-$	3.345	4.938	$\bar{p}p \rightarrow X \text{ or } Y(3940)$	3.940	7.277
			$\bar{p}p \rightarrow Y(4260)$	4.260	8.685

# Charm at Super-flavor factories

Machine project	Cms Energy (GeV)	Mode	Polarization of e <sup>-</sup> beam >80% for $\tau$	Lumi. (cm <sup>-2</sup> s <sup>-1</sup> )
Super c- $\tau$ BINP (Russia)	3.0 ÷ 4.5	Symmetric	Yes	1 ÷ 2 10 <sup>35</sup>
SuperKEKB (Japan)	10.58	Asymmetric	No	2 ÷ 8 10 <sup>35</sup>
SuperB-Roma	10.58 4.0	Asymmetric	Yes	1 ÷ 4 10 <sup>36</sup> 1 10 <sup>35</sup>

**500-1000 fb<sup>-1</sup> /year at  $\psi(3770)$  from SuperB-Roma**

**500--1000 times larger data than the designed Lumi. @BEPCII**

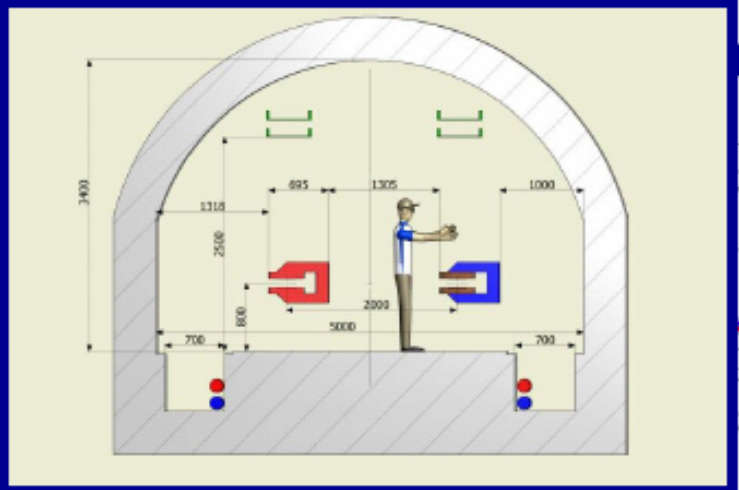
**Marcello A. Giorgi @ICHEP2010**

# Layout including reusable buildings

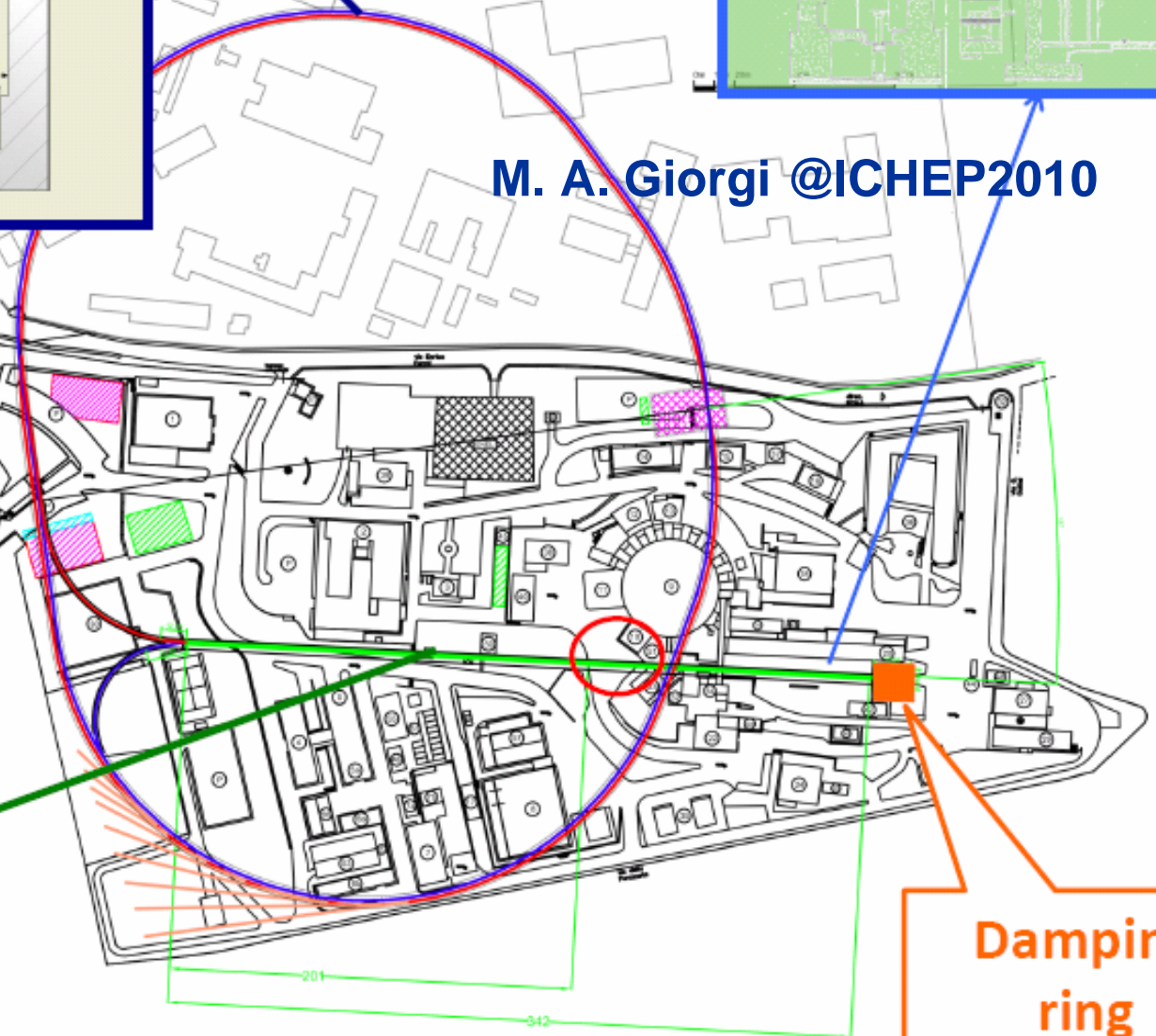
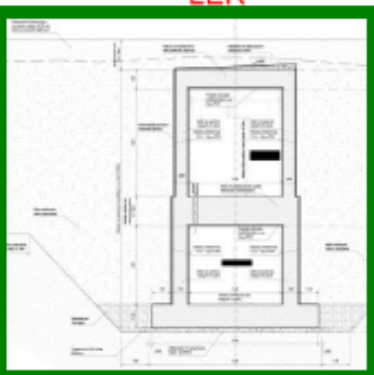
## SuperB-Roma

E.N.E.A.

M. A. Giorgi @ICHEP2010



- RF buildings
- Cooling Towers
- Klystron PS
- Collider hall
- HER
- LER

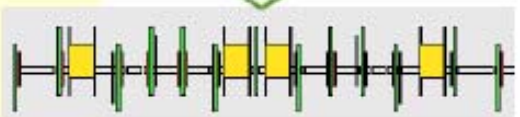


Damping ring

# KEKB to SuperKEKB How to upgrade

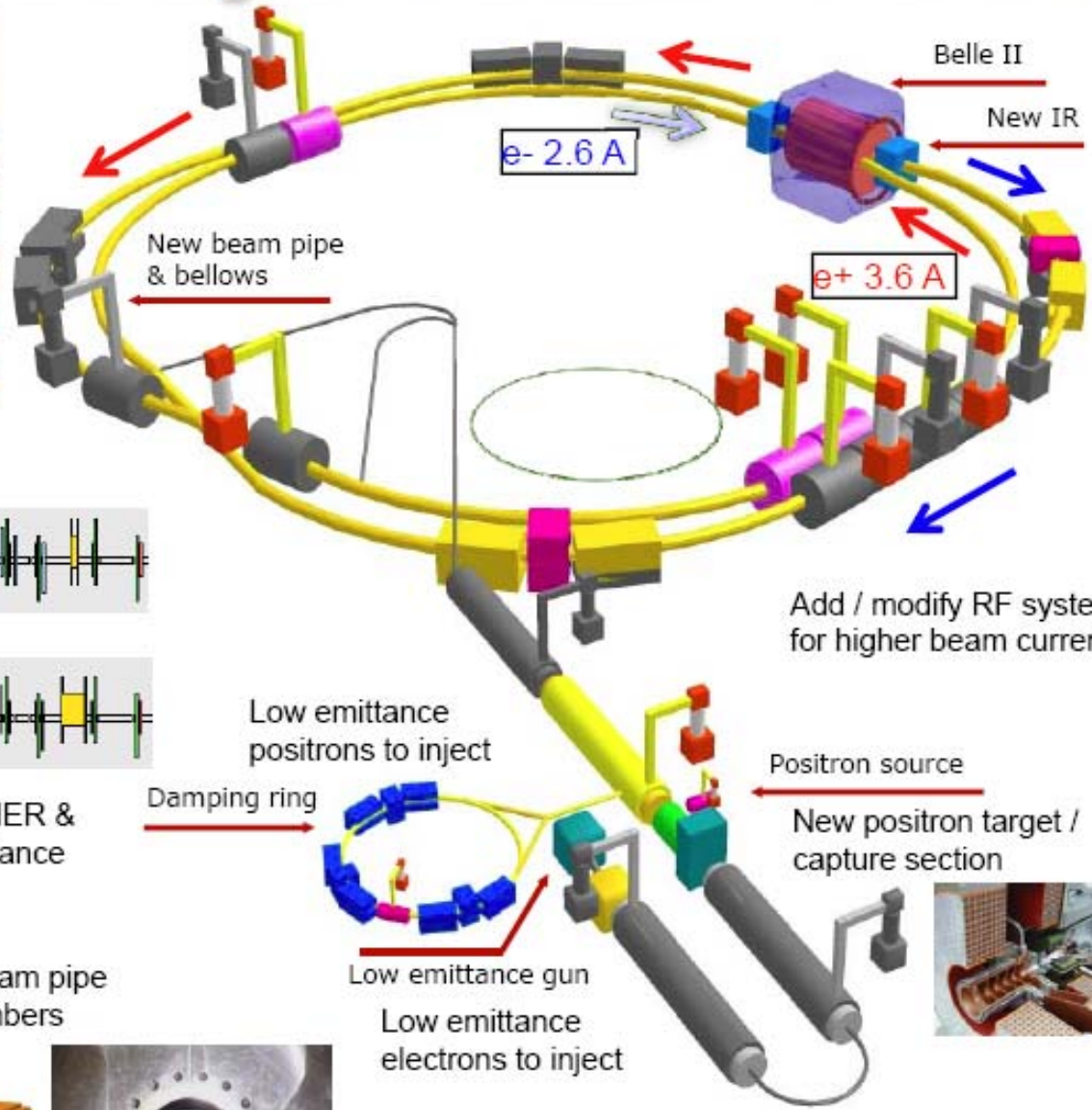
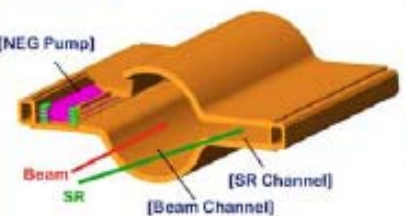


Replace short dipoles with longer ones (LER)



Redesign the lattices of HER & LER to squeeze the emittance

TiN-coated beam pipe with antechambers



$e^- 2.6 A$

$e^+ 3.6 A$

Belle II

New IR

New beam pipe & bellows

Add / modify RF systems for higher beam current

Low emittance positrons to inject

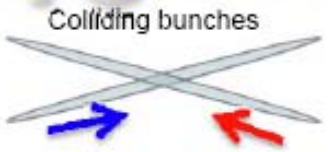
Damping ring

Positron source

New positron target / capture section

Low emittance gun

Low emittance electrons to inject



New superconducting / permanent final focusing quads near the IP

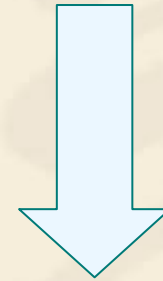


**To get x40 higher luminosity**

# What's the next in China?



**BESIII: successful  
Charmonium and charm  
program in the next 5 years**



**What's our next?**

**You are our future!**

# Summary

In charm's role as a natural and clean testing ground for QCD techniques there has been solid progress.

The precision with which the charm decay constant  $f_{D^+}$  ( $f_{D_s}$ ) is known has already improved to  $\sim 4.3\%$  ( $2.4\%$ ). And the  $D \rightarrow K$  semileptonic form factor has been checked to 2-3%. A reduction in errors for decay constants and form factors to the 1% level is promised.

Recent breakthroughs in precision lattice QCD need detailed data to test against. Charm provides that data. If the lattice passes the charm test it can be used with increased confidence by:

SuperB/LHC-b/ATLAS/CMS to achieve precision determinations of the CKM matrix elements  $V_{ub}$ ,  $V_{cb}$ ,  $V_{ts}$ , and  $V_{td}$  thereby maximizing the sensitivity of heavy quark flavor physics to physics beyond the Standard Model.

New Physics searches in  $D$  mixing,  $D$  CP violation and in rare decays by CLEO-c, BABAR, Belle and CDF have become considerably more sensitive in the past year, BES III will undertake complementary studies.

**BESIII maximizes the sensitivity of the worldwide heavy quark flavor physics program to new physics.**



“人是人的未来”。



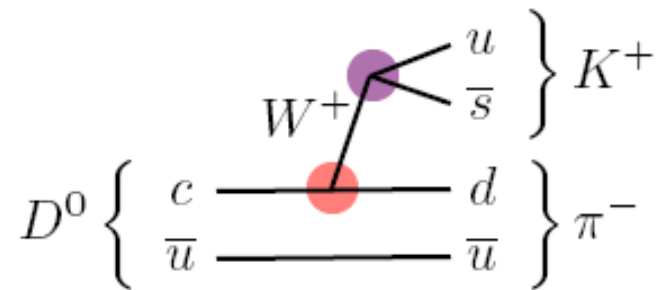
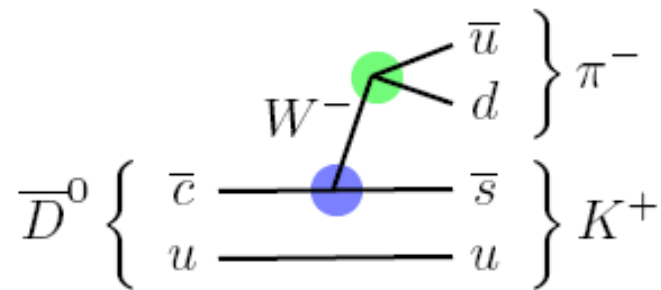
谢谢！

Jean Paul Sartre  
(1905—1980)  
French philosopher.  
哲学家、作家，  
他曾拒绝1964年  
诺贝尔文学奖。



# Back up slides

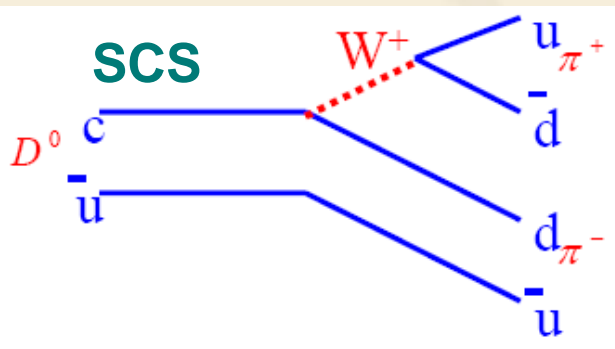
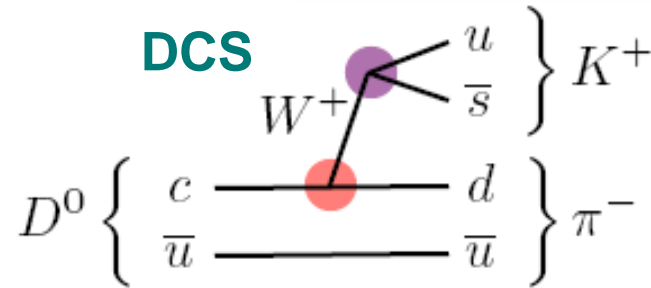
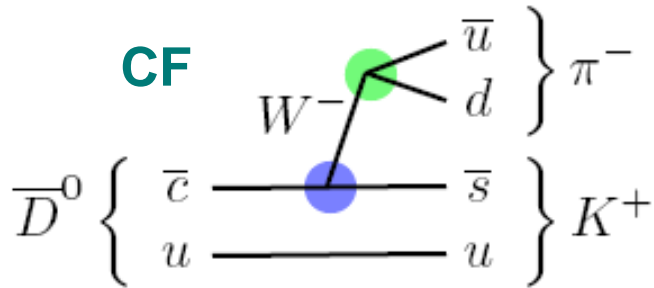
# Hadronic D decays



# D hadronic decays

D hadronic decay can occur by through  
 Cabibbo favored (CF),  
 Doubly Cabibbo suppressed( DCS) and  
 Singly Cabibbo suppressed (SCS) :

$$\begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix}$$



$$CF:SCS:DCS = 1: \lambda: \lambda^2$$

$$\lambda = \tan(\theta_c) = 0.2317$$

$\theta_c$  is Cabibbo angle

# Absolute branching fractions

$$n_i = 2N_{D\bar{D}} B_i \varepsilon_i$$

$$n_{ij} = 2N_{D\bar{D}} B_i B_j \varepsilon_{ij}, i \neq j$$

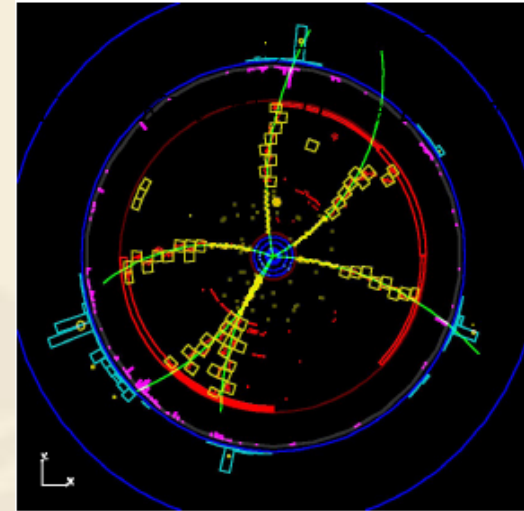


$$B_i = \frac{n_{ij} \varepsilon_j}{n_i \varepsilon_{ij}}, i \neq j$$

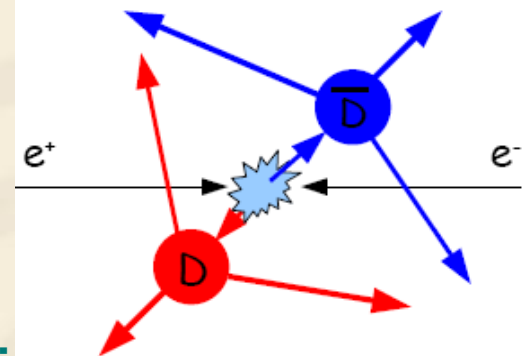
$$N_{D\bar{D}} = \frac{1}{2} \times \frac{n_i n_j}{n_{ij}} \times \frac{\varepsilon_{ij}}{\varepsilon_i \times \varepsilon_j}, i \neq j$$

**Branching fraction is independent of the luminosity**

$$e^+e^- \rightarrow \psi(3770) \rightarrow D\bar{D}$$



$$D^+ \rightarrow K^- \pi^+ \pi^+ \quad D^- \rightarrow K^+ \pi^- \pi^-$$



The signal can be extracted by fitting the following variables:

$$\Delta E = E_D - E_{\text{beam}}$$

$$M_{\text{BC}} = \sqrt{E_{\text{beam}}^2 - p_D^2}$$

$$\sigma(\Delta E) \sim 7-10 \text{ MeV}, \times 2 \text{ with } \pi^0$$

$$\sigma(M_{\text{BC}}) \sim 1.3 \text{ MeV}, \times 2 \text{ with } \pi^0$$

$$\delta M_{\text{bc}} = \frac{E_{\text{beam}}}{M_{\text{bc}}} \delta E_{\text{beam}} \oplus \frac{p_D}{M_{\text{bc}}} \delta p_D$$

# CLEO-c single tag

- Single Tag yields

- Fit to:

➤ ARGUS for bkg.

➤ “First principles”  
for signal:

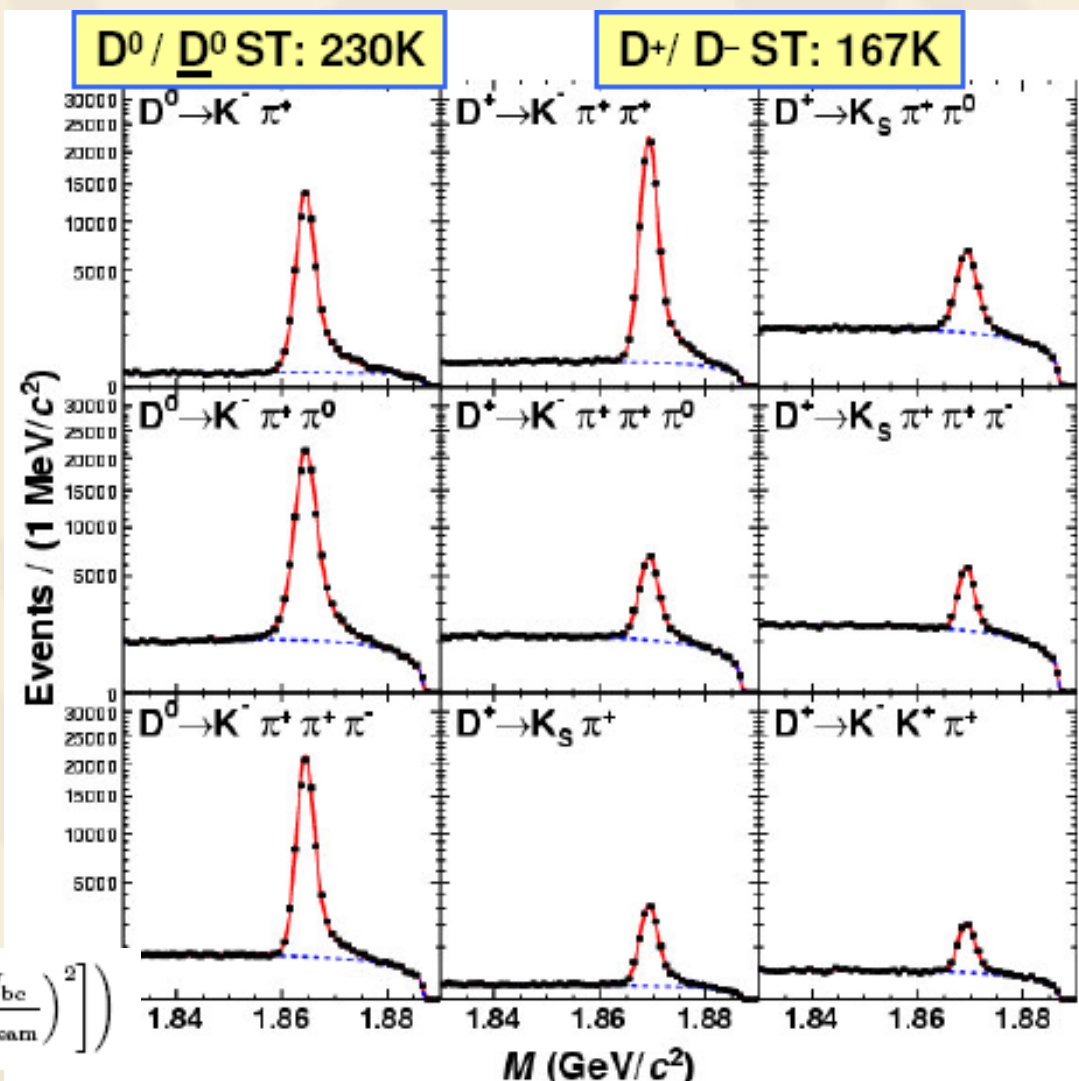
$M_{BC}$  resolution

ISR

$\psi(3770)$  BW

$$M_{BC} = \sqrt{E_{\text{beam}}^2 - p_D^2}$$

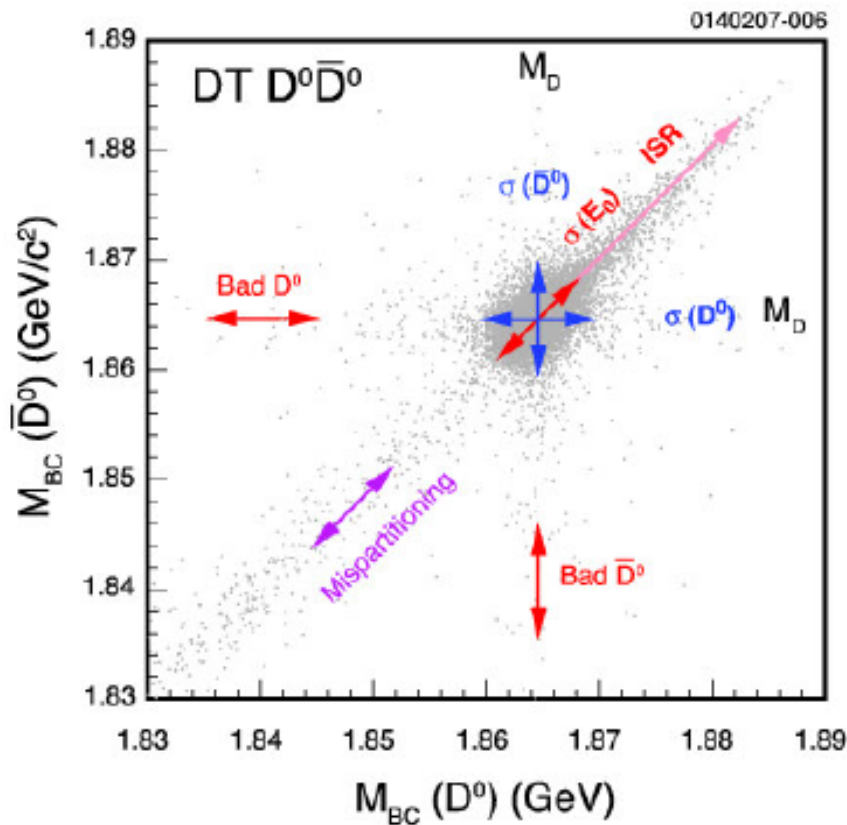
$$B(M_{bc}) = M_{bc} \left[ 1 - \left( \frac{M_{bc}}{E_{\text{beam}}} \right)^2 \right]^P \cdot \exp \left( c \left[ 1 - \left( \frac{M_{bc}}{E_{\text{beam}}} \right)^2 \right] \right)$$



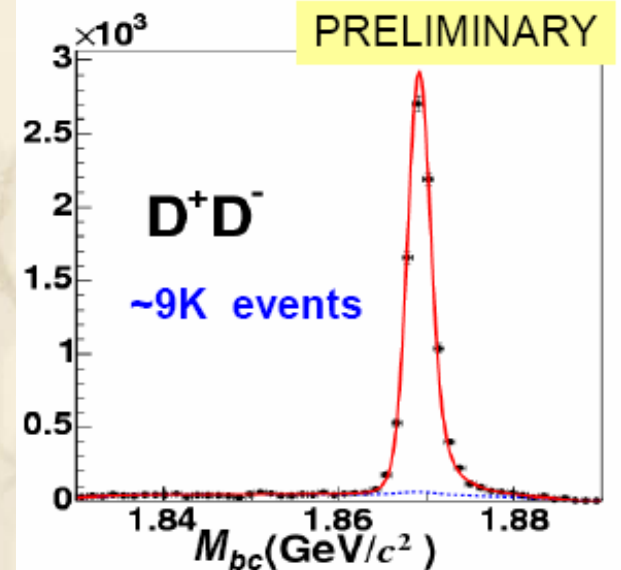
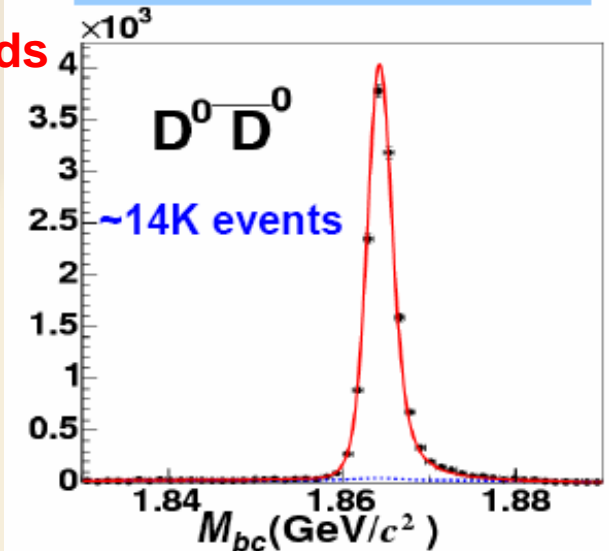
# Absolute BRs

Zero backgrounds

- Double Tag yields from 2D,  $M_{BC}(D)$  vs  $M_{BC}(\underline{D})$  fit:



Double tags in 281 pb<sup>-1</sup>



# Absolute BRs for $D/D_s \rightarrow PP$

CLEO-c, full data set

PhysRevD.81.052013 (2009)

TABLE II: Ratios of branching fractions to the corresponding normalization modes  $D^0 \rightarrow K^- \pi^+$ ,  $D^+ \rightarrow K^- \pi^+ \pi^+$ , and  $D_s^+ \rightarrow K_S^0 K^+$ , branching fractions results from this analysis, and charge asymmetries  $\mathcal{A}_{CP}$ . Uncertainties are statistical error, systematic error, and the error from the input branching fractions of normalization modes.

Mode	$\mathcal{B}_{\text{mode}}/\mathcal{B}_{\text{Normalization}}$ (%)	This result $\mathcal{B}$ (%)	$\mathcal{A}_{CP}$ (%)
$D^0 \rightarrow K^+ K^-$	$10.4138 \pm 0.1064 \pm 0.1128$	$0.4052 \pm 0.0041 \pm 0.0044 \pm 0.0080$	
$D^0 \rightarrow K_S^0 K_S^0$	$0.4095 \pm 0.0432 \pm 0.0214$	$0.0159 \pm 0.0017 \pm 0.0008 \pm 0.0003$	
$D^0 \rightarrow \pi^+ \pi^-$	$3.7023 \pm 0.0561 \pm 0.0893$	$0.1441 \pm 0.0022 \pm 0.0035 \pm 0.0029$	
$D^0 \rightarrow \pi^0 \pi^0$	$2.1491 \pm 0.0740 \pm 0.0758$	$0.0836 \pm 0.0029 \pm 0.0030 \pm 0.0017$	
$D^0 \rightarrow K^- \pi^+$	100	3.8910 external input [2]	$0.5 \pm 0.4 \pm 0.9$
$D^0 \rightarrow K_S^0 \pi^0$	$31.0495 \pm 0.2964 \pm 0.7467$	$1.2081 \pm 0.0115 \pm 0.0291 \pm 0.0239$	
$D^0 \rightarrow K_S^0 \eta$	$12.2575 \pm 0.2872 \pm 0.6677$	$0.4769 \pm 0.0112 \pm 0.0260 \pm 0.0094$	
$D^0 \rightarrow \pi^0 \eta$	$1.7714 \pm 0.1481 \pm 0.1047$	$0.0689 \pm 0.0058 \pm 0.0041 \pm 0.0014$	
$D^0 \rightarrow K_S^0 \eta'$	$24.7307 \pm 0.8154 \pm 1.1433$	$0.9623 \pm 0.0317 \pm 0.0445 \pm 0.0190$	
$D^0 \rightarrow \pi^0 \eta'$	$2.4084 \pm 0.2874 \pm 0.1519$	$0.0937 \pm 0.0112 \pm 0.0059 \pm 0.0019$	
$D^0 \rightarrow \eta \eta$	$4.2495 \pm 0.2838 \pm 0.3522$	$0.1653 \pm 0.0110 \pm 0.0137 \pm 0.0033$	
$D^0 \rightarrow \eta \eta'$	$2.7318 \pm 0.6235 \pm 0.2500$	$0.1063 \pm 0.0243 \pm 0.0097 \pm 0.0021$	
$D^+ \rightarrow K^- \pi^+ \pi^+$	100	9.1400 external input [2]	$-0.1 \pm 0.4 \pm 0.9$
$D^+ \rightarrow K_S^0 K^+$	$3.3502 \pm 0.0573 \pm 0.0720$	$0.3062 \pm 0.0052 \pm 0.0066 \pm 0.0066$	$-0.2 \pm 1.5 \pm 0.9$
$D^+ \rightarrow \pi^+ \pi^0$	$1.3208 \pm 0.0382 \pm 0.0443$	$0.1207 \pm 0.0035 \pm 0.0041 \pm 0.0026$	$2.9 \pm 2.9 \pm 0.3$
$D^+ \rightarrow K_S^0 \pi^+$	$16.8160 \pm 0.1239 \pm 0.3679$	$1.5370 \pm 0.0113 \pm 0.0336 \pm 0.0331$	$-1.3 \pm 0.7 \pm 0.3$
$D^+ \rightarrow K^+ \pi^0$	$0.1923 \pm 0.0206 \pm 0.0063$	$0.0176 \pm 0.0019 \pm 0.0006 \pm 0.0004$	$-3.5 \pm 10.7 \pm 0.9$
$D^+ \rightarrow K^+ \eta$	$< 0.1442$ (90% C.L.)	$< 0.0132$ (90% C.L.)	
$D^+ \rightarrow \pi^+ \eta$	$3.8538 \pm 0.0895 \pm 0.1916$	$0.3522 \pm 0.0082 \pm 0.0175 \pm 0.0076$	$-2.0 \pm 2.3 \pm 0.3$
$D^+ \rightarrow K^+ \eta'$	$< 0.2032$ (90% C.L.)	$< 0.0187$ (90% C.L.)	
$D^+ \rightarrow \pi^+ \eta'$	$5.2061 \pm 0.1762 \pm 0.2565$	$0.4758 \pm 0.0161 \pm 0.0234 \pm 0.0103$	$-4.0 \pm 3.4 \pm 0.6$
$D_s^+ \rightarrow K_S^0 K^+$	100	1.4900 external input [3]	$4.7 \pm 1.8 \pm 0.9$
$D_s^+ \rightarrow \pi^+ \pi^0$	$< 2.3492$ (90% C.L.)	$< 0.0376$ (90% C.L.)	
$D_s^+ \rightarrow K_S^0 \pi^+$	$8.4766 \pm 0.7147 \pm 0.1778$	$0.1263 \pm 0.0106 \pm 0.0026 \pm 0.0073$	$16.3 \pm 7.3 \pm 0.3$
$D_s^+ \rightarrow K^+ \pi^0$	$4.2383 \pm 1.4756 \pm 0.2304$	$0.0632 \pm 0.0220 \pm 0.0034 \pm 0.0036$	$-26.6 \pm 23.8 \pm 0.9$
$D_s^+ \rightarrow K^+ \eta$	$11.7933 \pm 2.1753 \pm 0.5888$	$0.1757 \pm 0.0324 \pm 0.0088 \pm 0.0101$	$9.3 \pm 15.2 \pm 0.9$
$D_s^+ \rightarrow \pi^+ \eta$	$123.1123 \pm 4.2907 \pm 6.2133$	$1.8344 \pm 0.0639 \pm 0.0926 \pm 0.1059$	$-4.6 \pm 2.9 \pm 0.3$
$D_s^+ \rightarrow K^+ \eta'$	$11.9866 \pm 3.6840 \pm 0.6158$	$0.1786 \pm 0.0549 \pm 0.0092 \pm 0.0103$	$6.0 \pm 18.9 \pm 0.9$
$D_s^+ \rightarrow \pi^+ \eta'$	$269.8080 \pm 8.9375 \pm 14.0957$	$4.0201 \pm 0.1332 \pm 0.2100 \pm 0.2320$	$-6.1 \pm 3.0 \pm 0.6$

818/pb at  $\psi(3770)$

$3 \cdot 10^6$   $D^0 D^0$

$2.4 \cdot 10^6$   $D^+ D^-$

586/pb at

$\sqrt{s}=4170$  MeV

$5.4 \cdot 10^5$   $D_s^+ D_s^-$

Some results I'll show later use only part the data set.

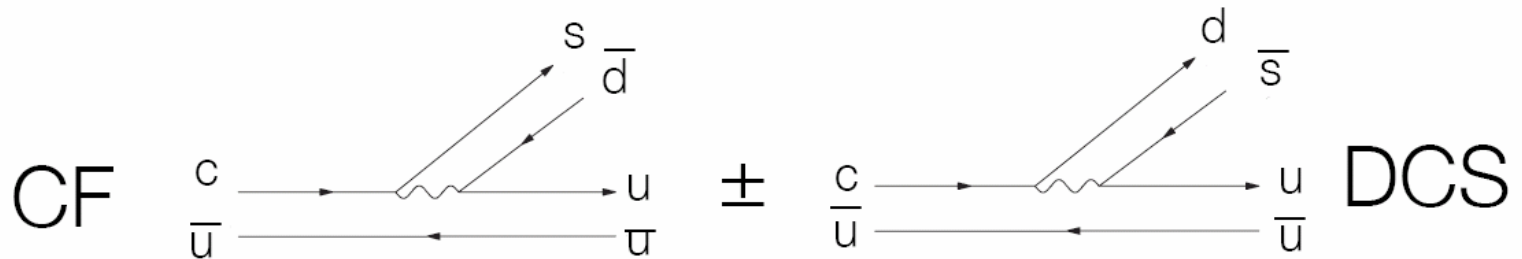


# U-spin test in $D^0 \rightarrow K_{S,L} \pi^0$

\*U-spin: swap  $d \leftrightarrow s$  quarks, important e.g. for extracting  $\gamma$  from  $B_s \rightarrow KK$ ,  $B_d \rightarrow \pi\pi$

- $\Gamma(D^0 \rightarrow K_S \pi^0) \neq \Gamma(D^0 \rightarrow K_L \pi^0)$

- $\sqrt{2} A(D^0 \rightarrow K_{S,L} \pi^0) = A(D \rightarrow K^0 \pi^0) \pm A(D \rightarrow \bar{K}^0 \pi^0)$



U-spin\* prediction

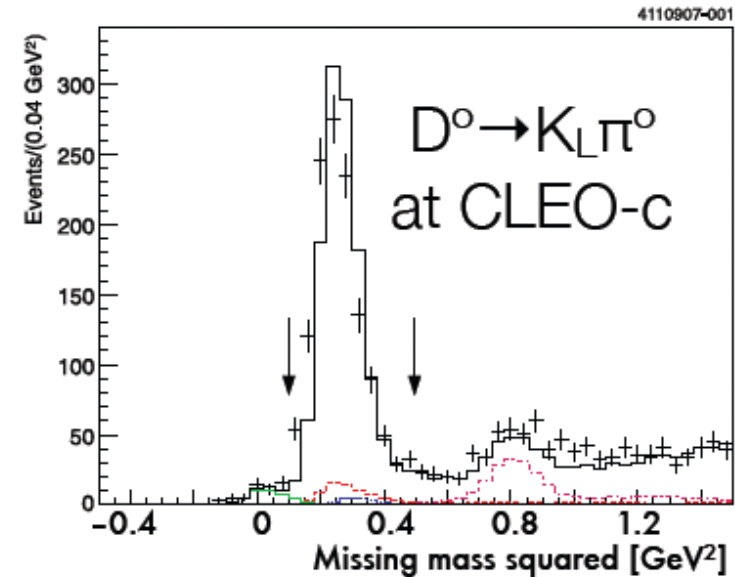


$$\frac{\Gamma(D^0 \rightarrow K_S \pi^0) - \Gamma(D^0 \rightarrow K_L \pi^0)}{\Gamma(D^0 \rightarrow K_S \pi^0) + \Gamma(D^0 \rightarrow K_L \pi^0)} = -2 \frac{A_{DCS}}{A_{CF}} = 2 \tan^2 \theta_C = 0.109$$

I. Bigi and H. Yamamoto, Physics Letters 349 (1995) 363-366

# $D^0 \rightarrow K_{L,S}\pi^0$ , at CLEO-c

- Clean missing mass-squared peak at  $m^2_{K^0} = 0.28\text{GeV}^2$
- Lines: MC simulation. Crosses: Data.
- Result

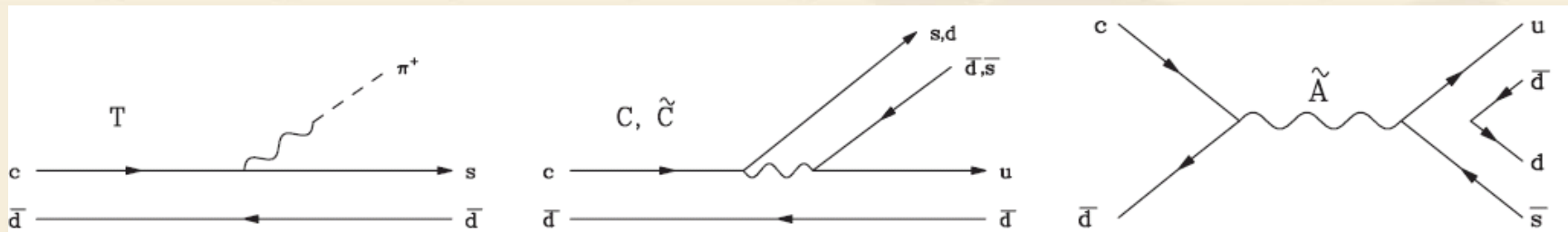


$$\frac{\Gamma(D^0 \rightarrow K_S \pi^0) - \Gamma(D^0 \rightarrow K_L \pi^0)}{\Gamma(D^0 \rightarrow K_S \pi^0) + \Gamma(D^0 \rightarrow K_L \pi^0)} = 0.108 \pm 0.025 \pm 0.024$$

In good agreement with U-spin prediction of  $2\tan^2\theta=0.109$

281/pb at CLEO: PRL **100**, 091801 (2008)

# SU(3) breaking test in $D^+ \rightarrow K_{S,L} \pi^+$



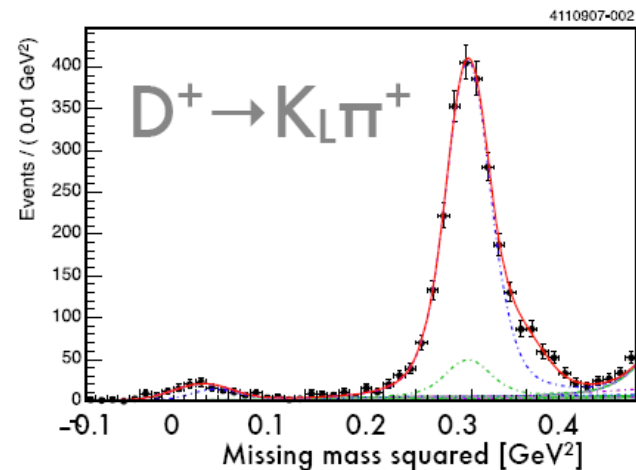
- Similar logic as for  $D^0$ , but no U-spin symmetry.
- Still, possible to estimate effect, expect

$$\frac{\Gamma(D^+ \rightarrow K_S \pi^+) - \Gamma(D^+ \rightarrow K_L \pi^+)}{\Gamma(D^+ \rightarrow K_S \pi^+) + \Gamma(D^+ \rightarrow K_L \pi^+)} \approx 0.04$$

D.-N. Gao, Phys. Lett. B **645**, 59 (2007)

- Result:

$$\frac{\Gamma(D^+ \rightarrow K_S \pi^+) - \Gamma(D^+ \rightarrow K_L \pi^+)}{\Gamma(D^+ \rightarrow K_S \pi^+) + \Gamma(D^+ \rightarrow K_L \pi^+)} = 0.022 \pm 0.016 \pm 0.018$$

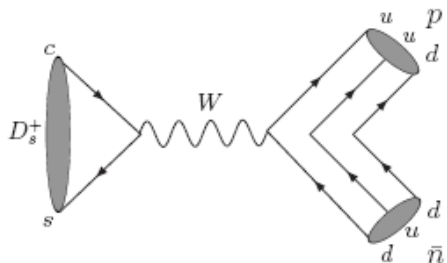


281/pb at CLEO: PRL **100**, 091801 (2008)

# $D_s \rightarrow p\bar{n}$ only mode to baryon pairs

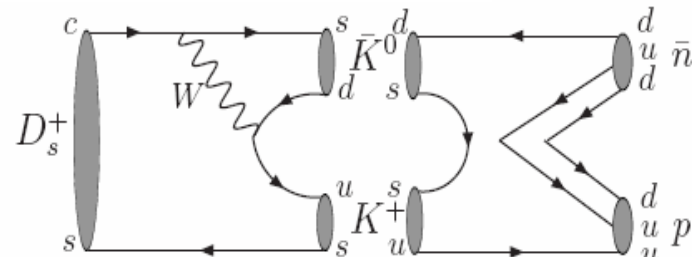
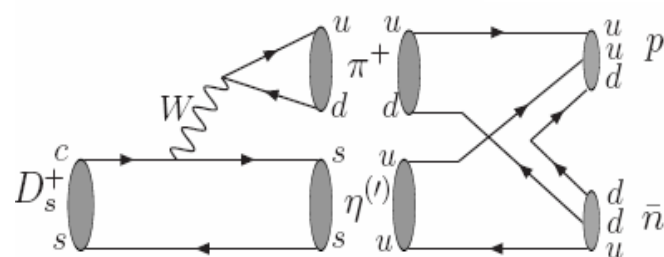
Short distance:

$$\mathcal{B}(D_s^+ \rightarrow p\bar{n})_{SD} = (0.4_{-0.3}^{+1.1}) \times 10^{-6}$$

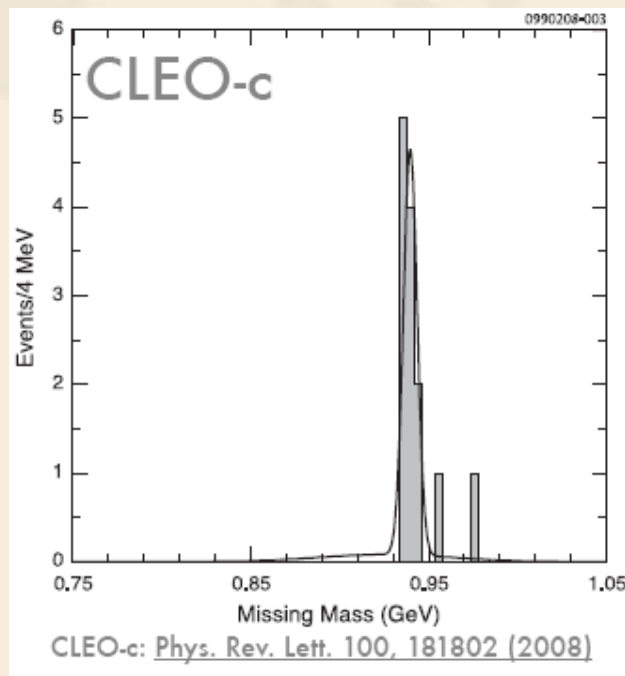


Long distance:

$$\mathcal{B}(D_s^+ \rightarrow p\bar{n}) \approx (0.8_{-0.6}^{+2.4}) \times 10^{-3}$$



Chen, Cheng, Hsiao: Phys.Lett.B663:326-329,2008

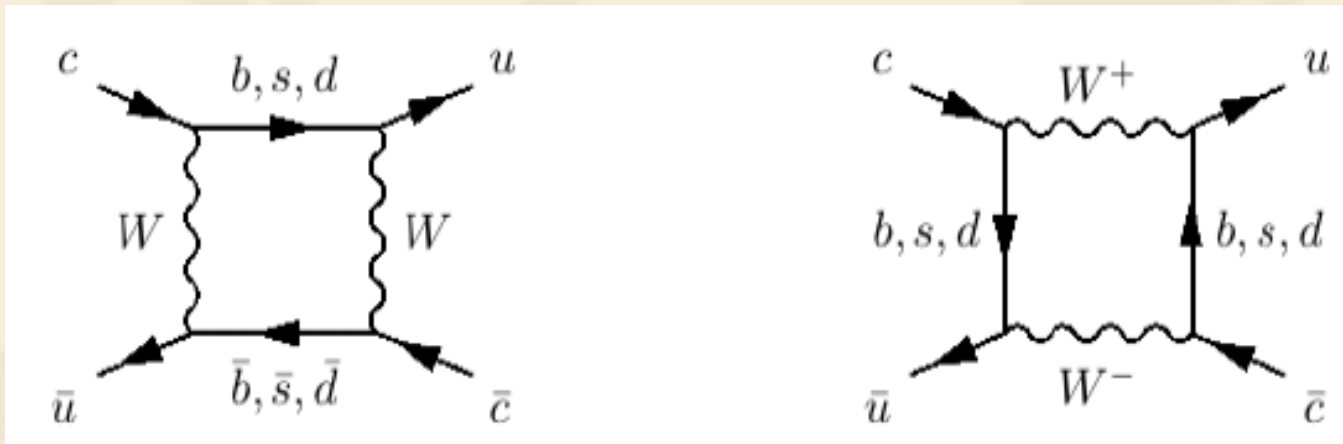


$$m_{D_s} = 1.968 \text{ GeV}, \quad m_{D_s^+} = 1.869 \text{ GeV}$$

$$m_p + m_{n\text{bar}} = 1.878 \text{ GeV}$$

$$Br(D_s^+ \rightarrow p\bar{n}) = (1.30 \pm 0.36_{-0.16}^{+0.12}) \times 10^{-3}$$

# Neutral D mixing



# D<sup>0</sup> mixing notations

- Flavor mixing occurs when **flavor eigenstates** differ from **mass eigenstates**: well established phenomenon in neutral K, B<sub>d</sub>, B<sub>s</sub> systems.

$$|D_{1,2}\rangle = p|D^0\rangle \pm q|\bar{D}^0\rangle \quad |q|^2 + |p|^2 = 1$$

- Mixing parameters are expressed in terms of  $x, y$  functions of the mass and decay width differences:

$$x = \frac{m_1 - m_2}{\Gamma} \quad y = \frac{\Gamma_1 - \Gamma_2}{2\Gamma} \quad \text{where } \Gamma = \frac{\Gamma_1 + \Gamma_2}{2}$$

- Three types of CP violation:

$$\langle f|H|D^0\rangle = A_f \quad \langle f|H|\bar{D}^0\rangle = \bar{A}_f$$

- in the decay (direct):

$$\left| \frac{\bar{A}_f}{A_f} \right| \neq 1$$

- in mixing (indirect):

$$r_m = \left| \frac{q}{p} \right| \neq 1$$

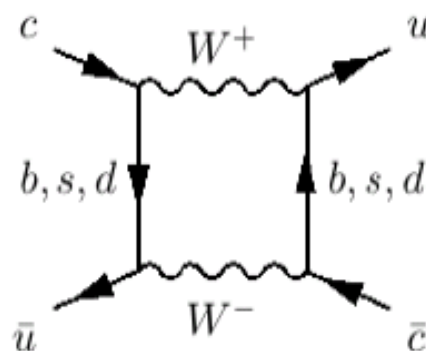
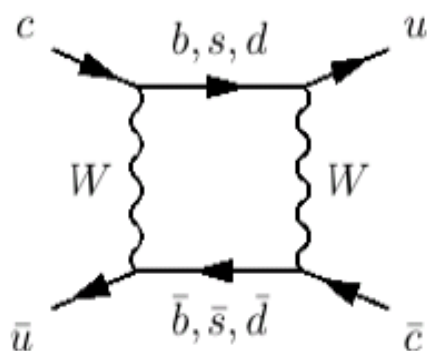
- in the interference between mixing and decay:

$$\varphi_f \neq 0$$

$$\lambda_f = \frac{q}{p} \frac{\bar{A}_f}{A_f} = r_m \left| \frac{\bar{A}_f}{A_f} \right| e^{i(\delta_f + \varphi_f)} \quad \begin{array}{l} \varphi_f = \text{weak phase} \\ \delta_f = \text{strong phase} \end{array}$$

# Standard Model predictions

SM mixing loops has down type quarks in the loops:

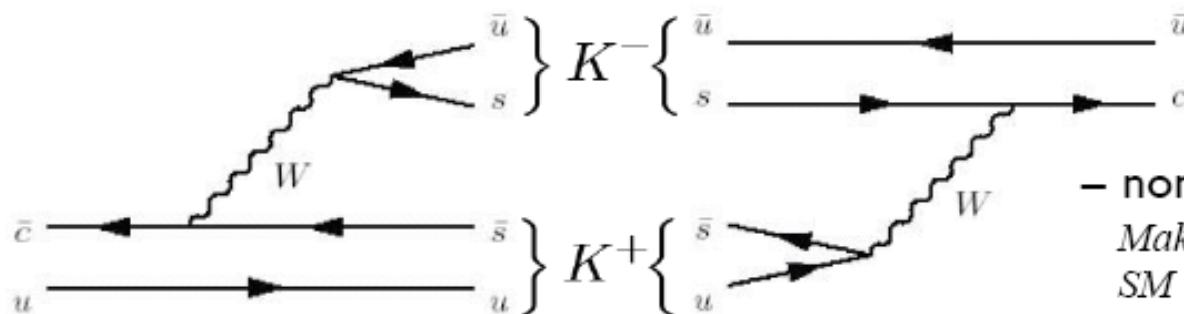


- b quark is CKM-suppressed
- s, d quark GIM suppressed

$$\Delta m \propto G_F^2 \frac{(m_s^2 - m_d^2)^2}{m_c^2}$$

→  $\Delta m_{\text{box}} \sim 10^{-5} \text{ps}^{-1}$  **Tiny!**

Expect hadronic intermediate states to dominate:



- non-perturbative contributions
- Makes it difficult to precisely predict SM expectations*

*In SM expected  $|x| < 10^{-2}$ ,  $|y| < 10^{-2}$  and CP violation below the per mil level. New Physics contributions could enhance mixing rate and/or generate CP violation up to percent level.*

# CP violation in Charm decays

- Standard Model: CP violation from KM phase in CKM quark mixing matrix:

$$\begin{bmatrix} 1 - \frac{\lambda^2}{2} & \lambda & A\lambda^3(\rho - i\eta + \frac{i}{2}\eta\lambda^2) \\ -\lambda & 1 - \frac{\lambda^2}{2} - \boxed{i\eta A^2 \lambda^4} & A\lambda^2(1 + i\eta\lambda^2) \\ A\lambda^3(1 - \rho - i\eta) & -A\lambda^2 & 1 \end{bmatrix}$$

- Charmed Mesons:

- CP violation is CKM suppressed  $\mathcal{O}(10^{-3})$
- Experimental Sensitivity  $\mathcal{O}(10^{-2})$

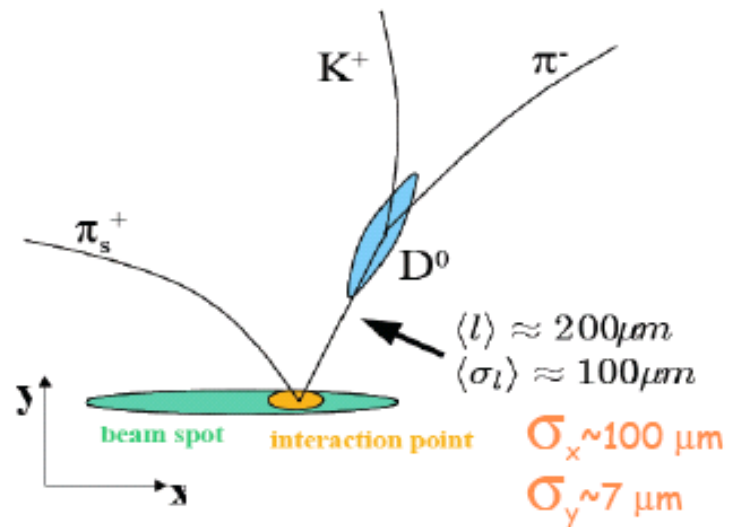
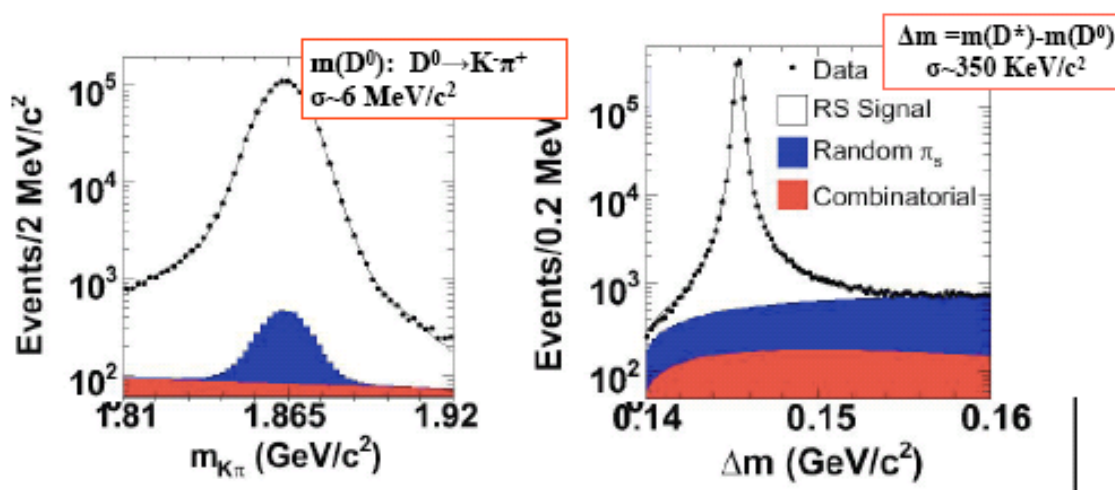
1% Signal = New Physics





# **Time-dependent results from B factories : BABAR and Belle as well as CDF & D0**

# Selection of $D^0$ mesons



Select  $D^0$  mesons via  $D^{*+} \rightarrow D^0 \pi^+$  decay:

- charge of slow pion identifies the flavor of  $D^0$  at production;
- exploit  $m(D^0)$ ,  $D^0$  reco invariant mass and  $\Delta m = m(D^{*+}) - m(D^0)$ ,  $D^{*+} - D^0$  mass difference for bkg rejection;

Cut on  $D^0$  momentum in center of mass frame,  $p^* > 2.5 - 3.0 \text{ GeV}/c$  rejects  $D^0$  from B decays and combinatorial bkg.

3D flight path reconstruction

$$\text{proper time } t = \frac{\vec{L} \cdot \vec{p}}{p} \frac{m_{D^0}}{p}$$

- $D^0$  vertex with beam spot (interaction region size) constraint applied. Determining decay time,  $t$ , and decay time error,  $\sigma_t$ , for each event.

Typical resolution on proper-time:  $\langle \sigma_t \rangle \simeq 0.5 \tau_D = 0.2 \text{ ps}$   
 thanks to the excellent performance of the Silicon Vertex Tracker.

# Mixing analyses at the B factories

$$D^0 \rightarrow K^+ \pi^-$$



$$D^0 \rightarrow K^+ K^-, \pi^+ \pi^-$$



$$D^0 \rightarrow \phi K_S^0$$



$$D^0 \rightarrow K^+ \pi^- \pi^0$$



$$D^0 \rightarrow K_S^0 \pi^+ \pi^-$$



$$D^0 \rightarrow K_S^0 K^+ K^-$$



$$D^0 \rightarrow K^{(*)} l \nu$$



Note:

*study of the time dependence*  
See backup slides.

*lifetime ratio wrt  $D^0 \rightarrow K^- \pi^+$*

*lifetime difference between CP-even and CP-odd eigenstates*

*time-dependent Dalitz plot analysis*


*time-dependent Dalitz plot analysis*

*time-dependent Dalitz plot analysis*

*time-integrated analysis*

Not covered in this talk

Legend: ★ = mixing evidence  $> 3\sigma$

 = new result

At B factories events are selected from

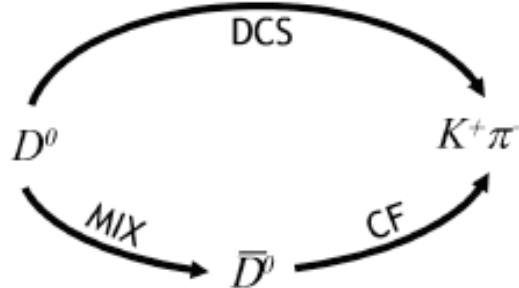
$e^+ e^- \rightarrow c\bar{c}$  annihilations:

$\sigma(e^+ e^- \rightarrow c\bar{c}) \simeq 1.3 \text{ nb}$

# Wrong sign $D^0 \rightarrow K^+ \pi^-$ decays

- Wrong Sign (WS) final states from 2 sources: via double-Cabibbo-suppressed (DCS) decays or via mixing followed by Cabibbo-favored (CF) decays.

Time evolution ( $|x| \ll 1, |y| \ll 1$ ):

$$\frac{dN_{WS}}{dt} \propto e^{-\Gamma t} \left( \underbrace{R_D}_{\text{DCS}} + \underbrace{y' \sqrt{R_D} (\Gamma t)}_{\text{Interference}} + \underbrace{\frac{x'^2 + y'^2}{4} (\Gamma t)^2}_{\text{Mixing}} \right)$$


$$R_D = \frac{B(D^0 \rightarrow K^+ \pi^-)}{B(D^0 \rightarrow K^- \pi^+)} \simeq 3 \cdot 10^{-3}$$

phase between DCS and CF decays not directly measurable at B Factories

$$x' = x \cos \delta_{K\pi} + y \sin \delta_{K\pi}$$

$$y' = -x \sin \delta_{K\pi} + y \cos \delta_{K\pi}$$

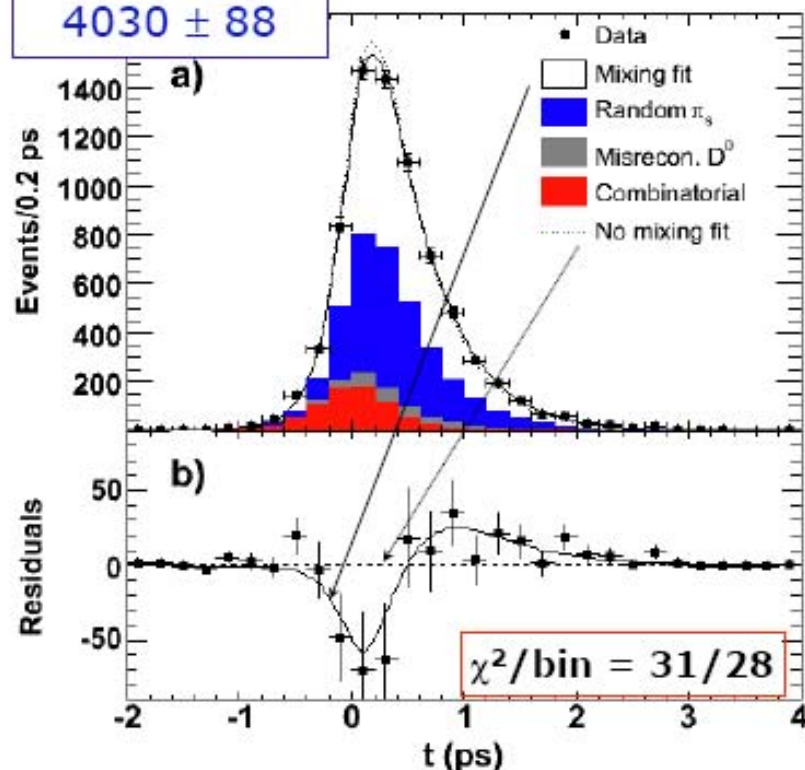
Analysis of the proper time distribution of WS events permits extraction of  $D^0$  mixing parameters  $y', x'^2$



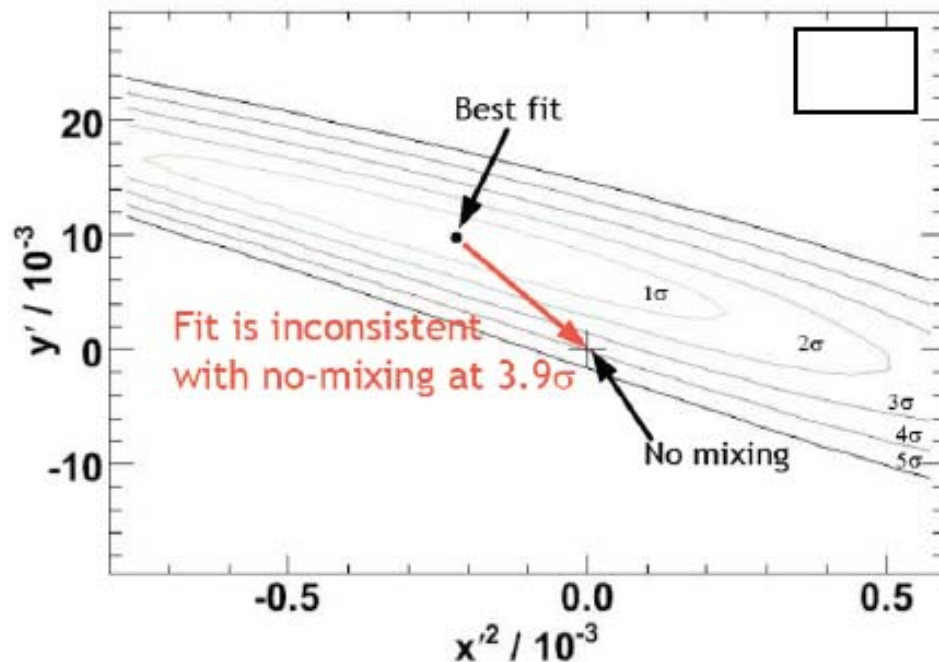
# WS time fit: evidence of mixing at $3.9\sigma$

PRL 98:211802,2007 ( $384 \text{ fb}^{-1}$ )

Fitted signal  
 $4030 \pm 88$



WS mixing fit projection in signal region  
 $1.843 \text{ GeV}/c^2 < m_D < 1.883 \text{ GeV}/c^2$   
 $0.1445 \text{ GeV}/c^2 < \Delta m < 0.1465 \text{ GeV}/c^2$

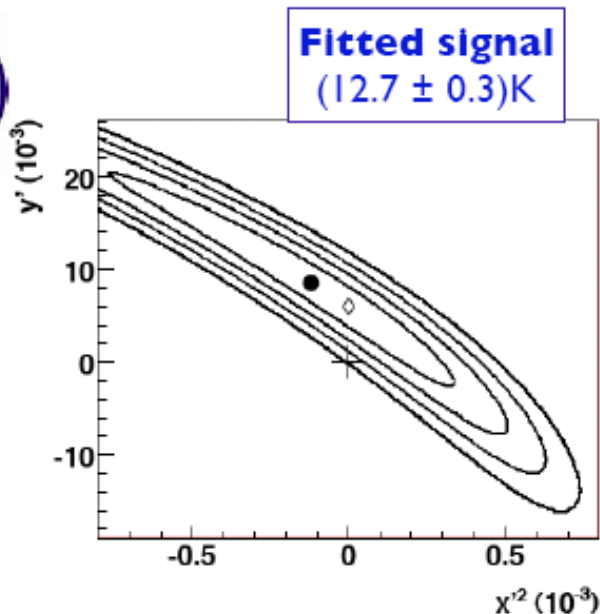


$R_D: (3.03 \pm 0.16 \pm 0.10) \times 10^{-3}$   
 $x'^2: (-0.22 \pm 0.30 \pm 0.21) \times 10^{-3}$   
 $y': (9.7 \pm 4.4 \pm 3.1) \times 10^{-3}$

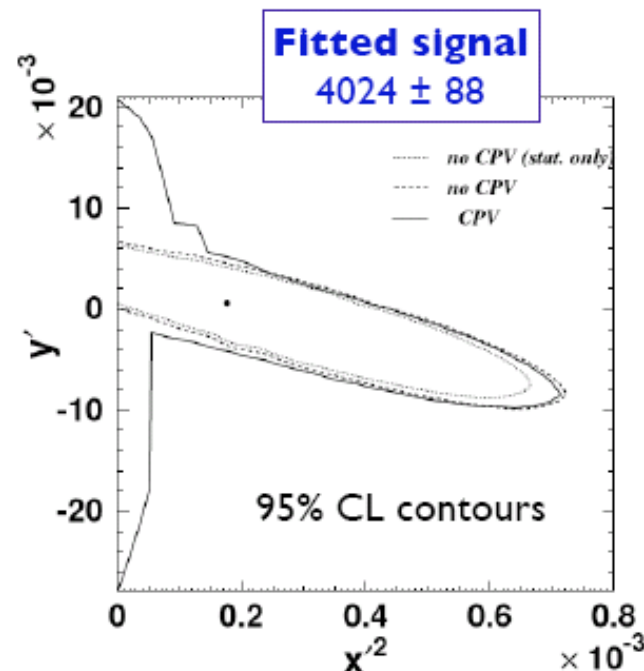
No evidence for CP violation fitting separately  $D^0$  and  $\bar{D}^0$

# Belle & CDF measurements

CDF: PRL 100:121802,2008 ( $1.5\text{fb}^{-1}$ )



Belle: PRL 96:151801,2006 ( $400\text{fb}^{-1}$ )



Experiment	$R_D(10^{-3})$	$y'(10^{-3})$	$x'^2(10^{-3})$	Mixing Signif.
CDF	$3.04 \pm 0.55$	$8.5 \pm 7.6$	$-0.12 \pm 0.35$	3.8
BABAR [8]	$3.03 \pm 0.19$	$9.7 \pm 5.4$	$-0.22 \pm 0.37$	3.9
Belle [9]	$3.64 \pm 0.17$	$0.6^{+4.0}_{-3.9}$	$0.18^{+0.21}_{-0.23}$	2.0

$$x'^2 = (0.18^{+0.21}_{-0.23}) \times 10^{-3}$$

$$y' = (0.6^{+4.0}_{-3.9}) \times 10^{-3}$$

Evidence of mixing at  $3.8\sigma$

No mixing point at  $2\sigma$

# Study of event distribution as a function of Dalitz plot position and time

The time dependent decay amplitudes at  $t=0$  for charm meson tagged as  $D^0$  or  $D^0$ bar:

$$\begin{aligned}\mathcal{M}(s_+, s_-, t) &= \mathcal{A}(s_+, s_-)g_+(t) + \frac{q}{p}\mathcal{A}(s_-, s_+)g_-(t), \\ \overline{\mathcal{M}}(s_+, s_-, t) &= \frac{q}{p}\overline{\mathcal{A}}(s_+, s_-)g_+(t) + \overline{\mathcal{A}}(s_-, s_+)g_-(t),\end{aligned}$$

$$\frac{dN_f(s_{12}, s_{13}, t)}{ds_{12}ds_{13}dt} \propto e^{-\Gamma t} \left\{ |A_f|^2 + \left[ y \underbrace{\text{Re}(A_f^* \overline{A}_f)} - x \underbrace{\text{Im}(A_f^* \overline{A}_f)} \right] (\Gamma t) + \frac{x^2 + y^2}{4} (\Gamma t)^2 |\overline{A}_f|^2 \right\}$$

larger sensitivity in regions populated by Doubly Cabibbo Suppressed and CP eigenstates.

$A_f = A(s_{12}, s_{13})$     $\overline{A}_f = \overline{A}(s_{12}, s_{13})$  and  $(s_{12}, s_{13}) =$  Dalitz plot location

- if  $f$  and  $\overline{f}$  belong to the same Dalitz plot (e.g.  $K_S^0 \pi^+ \pi^-$ ) by assuming CP conservation in decay ( $\overline{A}_f = A_f$ ) is possible to extract directly  $x, y$  mixing parameters, without  $D^0 - \overline{D}^0$  relative strong phase uncertainty.

Method pioneered by CLEO Collaboration: D.Asner *et. al. Phys.Rev.D72:012001,2005.*



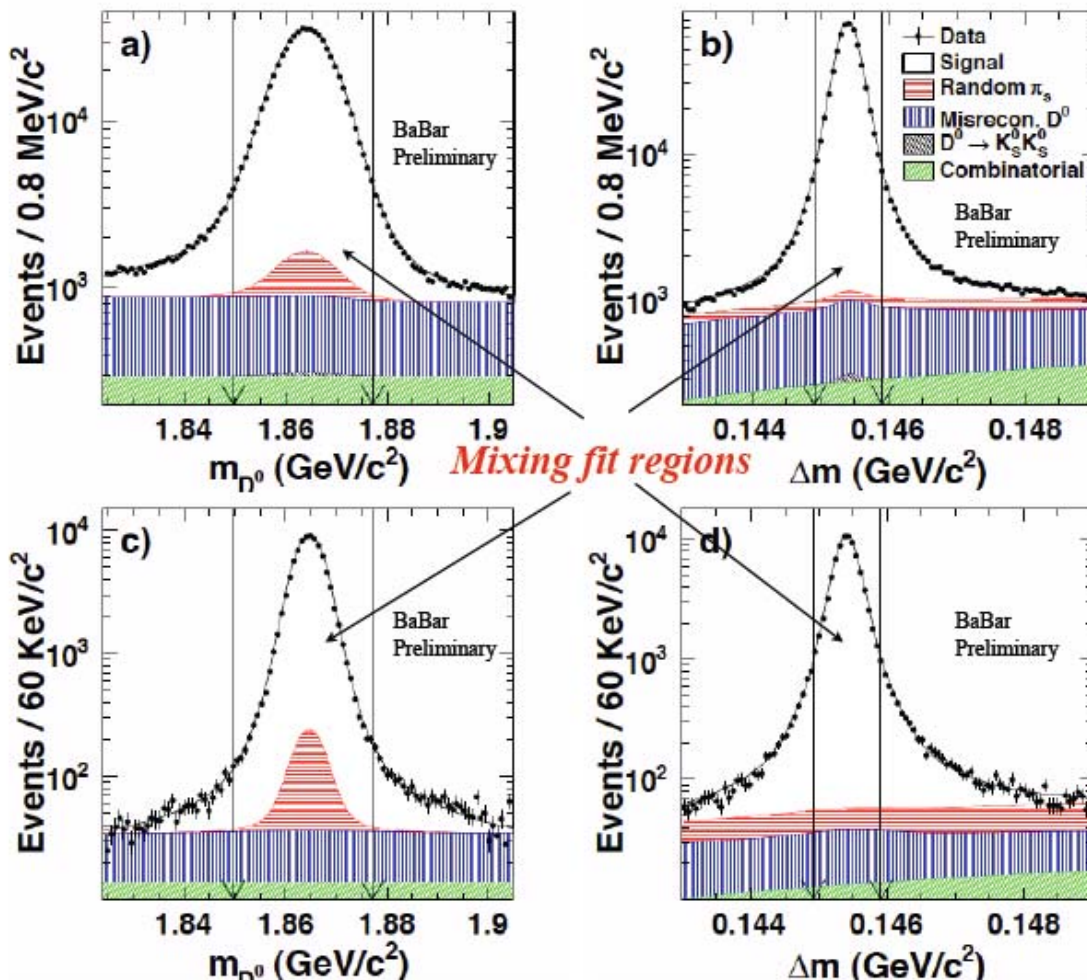
# $D^0(t) \rightarrow K_S \pi^+ \pi^- + K_S K^+ K^-$ analysis

e-Print: arXiv:1004.5053 [hep-ex]



468.5 fb<sup>-1</sup> data

- Select  $D^{*+} \rightarrow D^0 \pi^+$  events with high purity



## $K_S \pi^+ \pi^-$

$N_{\text{sig}} = (540.8 \pm 0.8) \times 10^3$

Purity = 98.5%

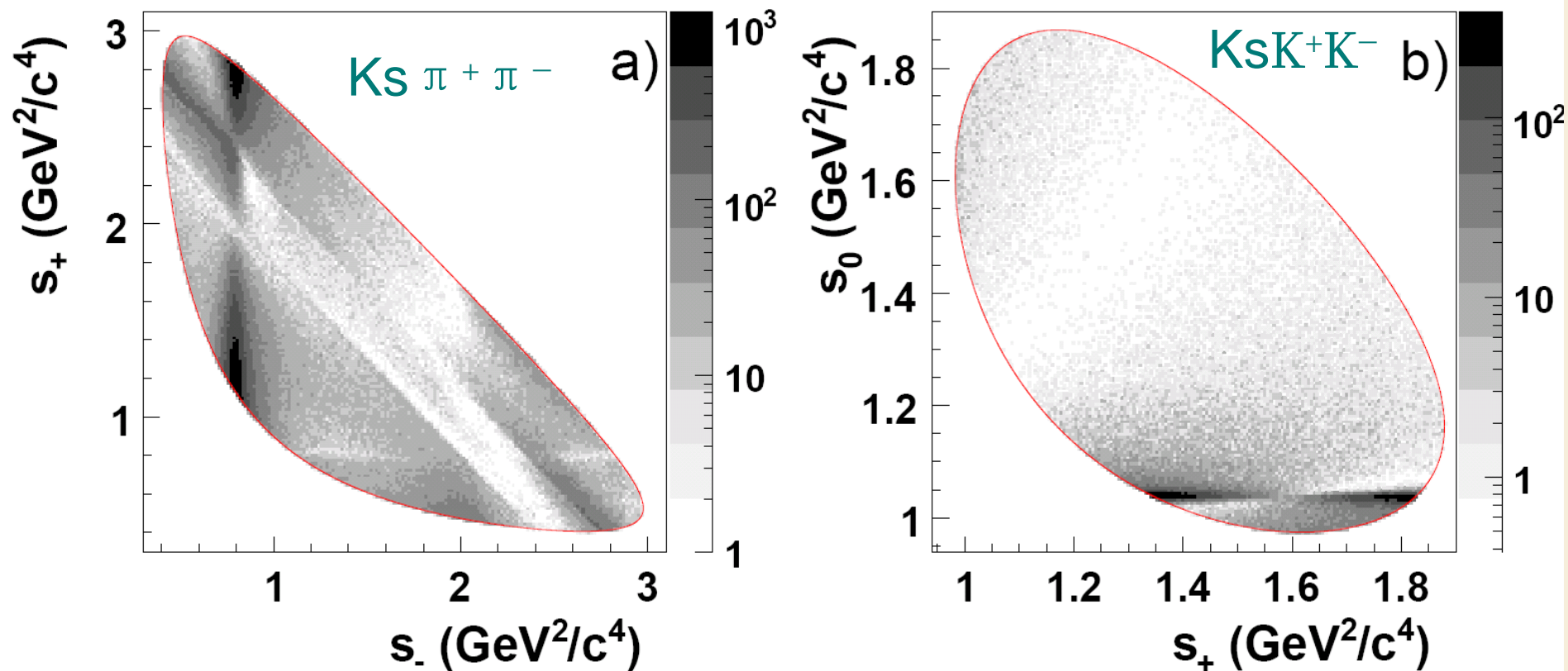
## $K_S K^+ K^-$

$N_{\text{sig}} = (79.9 \pm 0.3) \times 10^3$

Purity = 99.2%



# Parameterization of DP



DCS decays:  $K^*(892)^+$ ,  $K^*(1430)^+$ ,  $K^*(1430)^+$

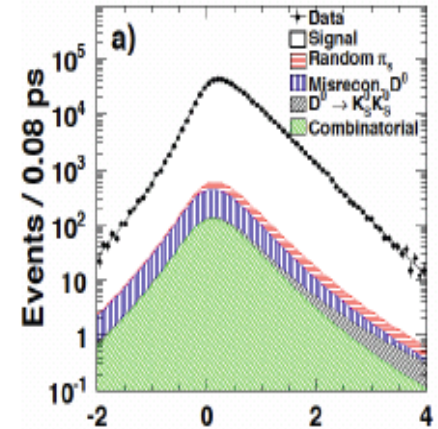
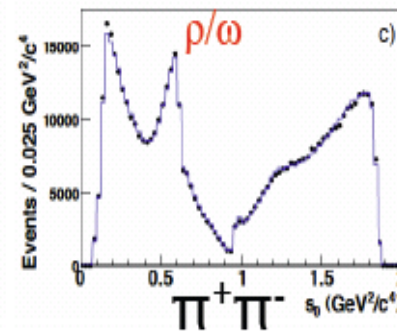
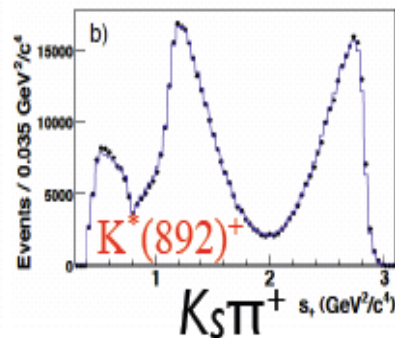
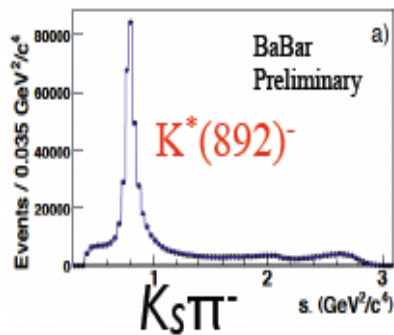
CP=-1 eigenstate :  $K_S \rho_0$

CP=+1:  $K_s \phi$

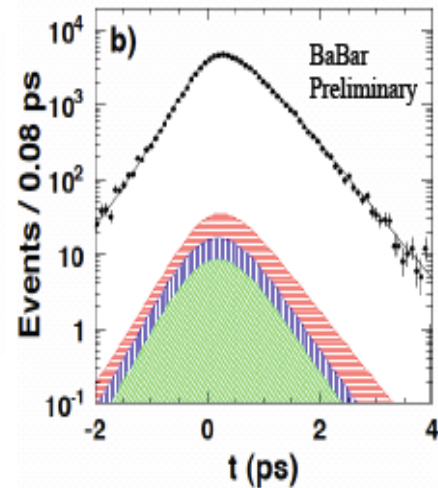
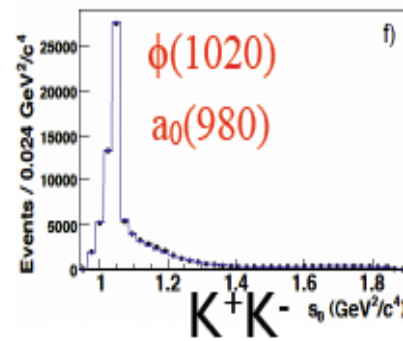
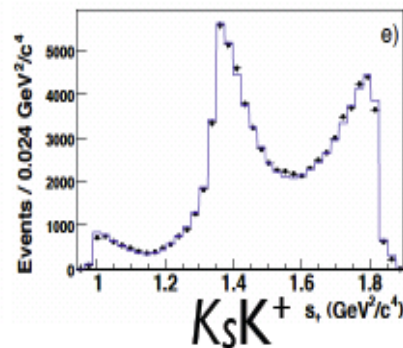
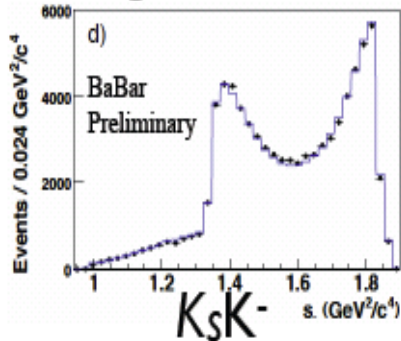
CP=-1:  $K_s a_0(980)$

# Mixing fit on the Dalitz plot

$K_S \pi^+ \pi^-$   $\chi^2/ndof = 1.21$  with (8626-41) ndof



$K_S K^+ K^-$   $\chi^2/ndof = 1.28$  with (1195-17) ndof



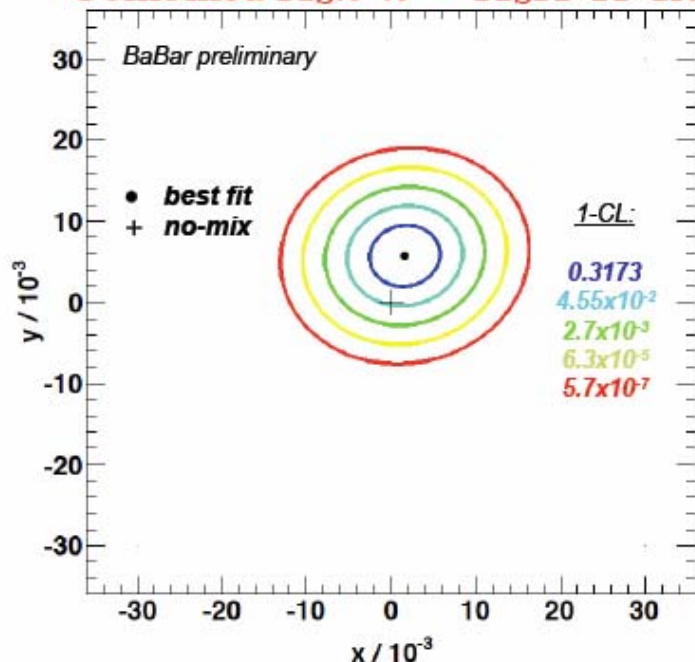


# Mixing fit results



e-Print: arXiv:1004.5053 [hep-ex]

## Combined $K_S\pi^+\pi^- + K_SK^+K^-$ fit



No mixing disfavored at  $1.9\sigma$  level

## Experimental systematics

Source	$x$ [%]	$y$ [%]
SVT misalignment	0.0279	0.0826
Fit bias	0.0745	0.0662
Charge-flavor correlation (mistagging)	0.0487	0.0398
Event selection	0.0395	0.0508
Efficiency map	0.0367	0.0175
Background Dalitz-plot distribution	0.0331	0.0142
$D^0$ mass window	0.0250	0.0250
Proper lifetime PDF	0.0134	0.0128
Signal and background yields	0.0109	0.0069
Mixing in background	0.0103	0.0082
Dalitz-plot normalization	0.0106	0.0053
Proper lifetime error PDF	0.0058	0.0087
Experimental systematics	0.1177	0.1302

## $D^0$ decay amplitude model systematics

Dominated by uncertainty on $K^*(892)$ , K-matrix, $K\pi$ LASS parameters	0.0678	0.0532
Total	0.0830	0.0685

Combined  $K_S\pi^+\pi^- + K_SK^+K^-$  fit results assuming CP conservation:

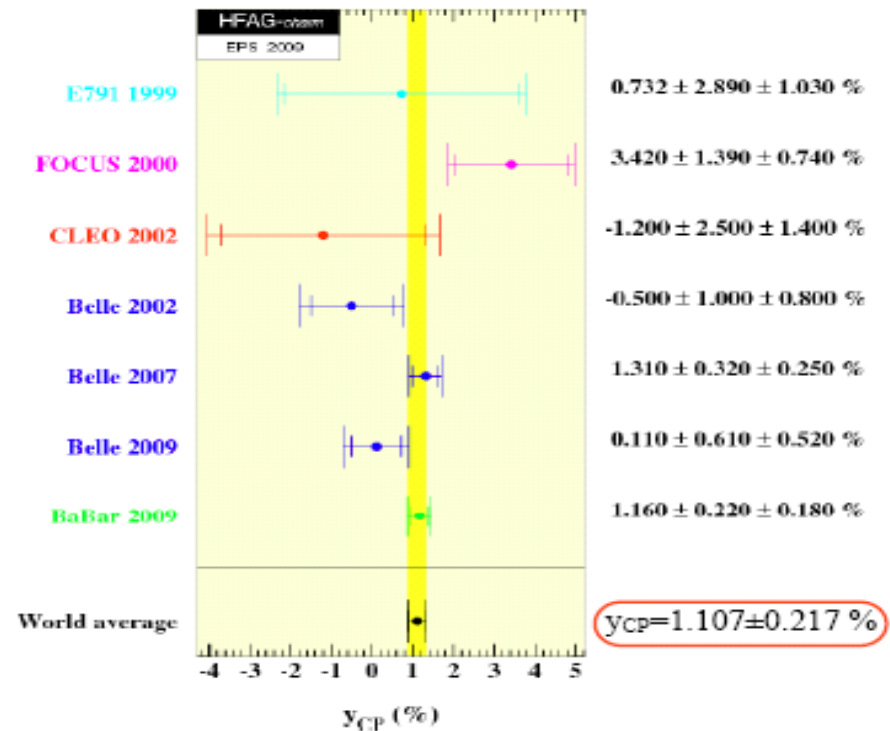
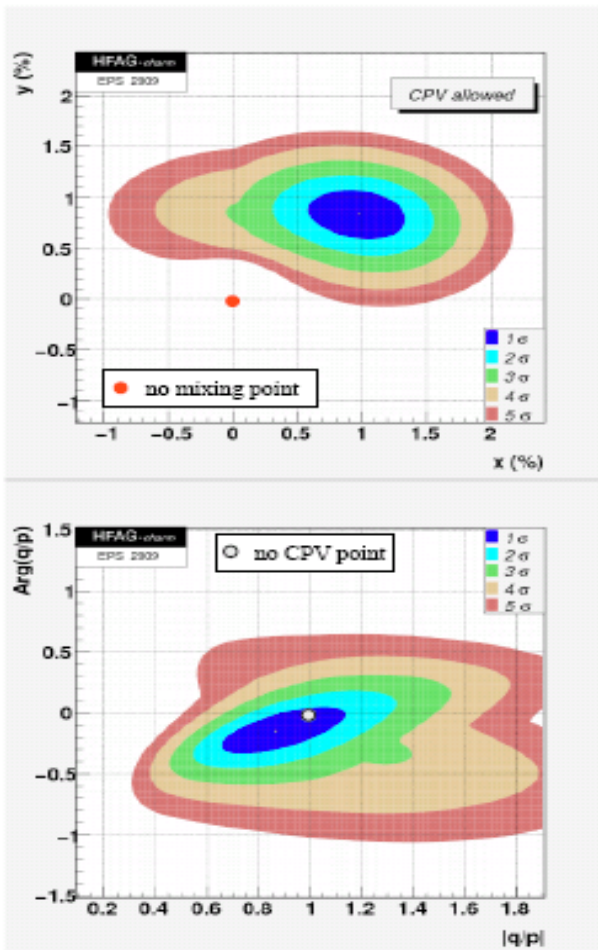
$$x = [0.16 \pm 0.23(\text{stat.}) \pm 0.12(\text{syst.}) \pm 0.08(\text{model})] \%$$

$$y = [0.57 \pm 0.20(\text{stat.}) \pm 0.13(\text{syst.}) \pm 0.07(\text{model})] \%$$

Best measurement of  $x$  parameter so far.

# HFAG EPS 2009 results

<http://www.slac.stanford.edu/xorg/hfag/charm/index.html>



$$x = (0.976 \pm 0.249)\% \quad |q/p| = 0.866 \pm 0.160$$

$$y = (0.833 \pm 0.160)\% \quad \phi = -0.148 \pm 0.126 \text{ rad}$$

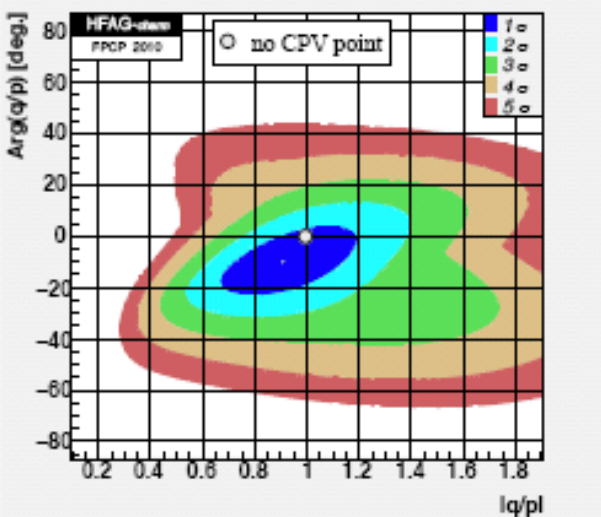
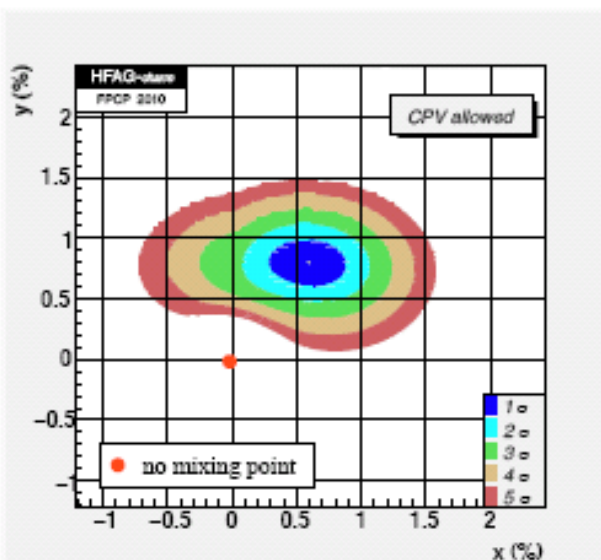
*Mixing significance exceeds  $10.2\sigma$*

*No CPV point is within  $1\sigma$  contour*



# HFAG preliminary FPCP2010 results

courtesy of Alan Schwartz on behalf of HFAG



note different vertical axis scale

HFAG averages including new BaBar  $K_S\pi^+\pi^- + K_S K^+K^-$  results:

- sizable improvement in mixing contours
- noticeable effect on  $x$  parameter value

EPS 2009

$$x = (0.976 \pm 0.249)\%$$

$$y = (0.833 \pm 0.160)\%$$

$$|q/p| = 0.866 \pm 0.160$$

$$\varphi = -0.148 \pm 0.126 \text{ rad}$$

FPCP 2010

$$x = (0.59 \pm 0.20)\%$$

$$y = (0.80 \pm 0.13)\%$$

$$|q/p| = 0.91^{+0.19}_{-0.16}$$

$$\varphi = -10^{+9.3}_{-8.7} \text{ deg}$$

$$(\varphi = -0.175^{+0.162}_{-0.152} \text{ rad})$$

Mixing significance still exceeding  $10.2\sigma$

No CPV point is within  $1\sigma$  contour

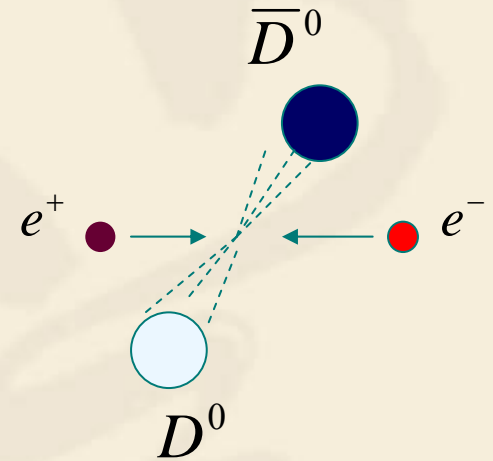
# Mixing and CP at BESIII

# Measure $D^0$ mixing and quantum correlation

$$e^+e^- \rightarrow \psi(3770) \rightarrow D^0\bar{D}^0 \rightarrow (K^\pm\pi^\mp)(K^\pm\pi^\mp)$$

$(K^\pm\pi^\mp)(K^\pm\pi^\mp)$  is in P wave and C odd since  $\psi(3770)$  is  $1^-$  state; Bose-Einstein statistics does not allow both  $D^0$ s decay into identical final states. However if mixing happened: ( $D_H$  is not identical to  $D_L$ )

$$e^+e^- \rightarrow \psi(3770) \rightarrow D_H^0 D_L^0 \rightarrow (K^\pm\pi^\mp)_H (K^\pm\pi^\mp)_L$$



**At BESIII, one can look at D mixing by using the correlation in the threshold.**

# Quantum Correlation

At BES-III:

$D\bar{D}$  pair with  $L=1$  must be in anti-symmetric state

$$|D^0\bar{D}^0\rangle^{C=-1} = \frac{1}{\sqrt{2}} [ |D^0\rangle|\bar{D}^0\rangle - |\bar{D}^0\rangle|D^0\rangle ]$$

the interference comes for free:

$$M_{ij}^2 = \left| \langle i|D^0\rangle\langle j|\bar{D}^0\rangle - \langle j|D^0\rangle\langle i|\bar{D}^0\rangle \right|^2$$

PRD 73, 034024 (2006)  
Asner and Sun  
I.I. Bigi SLAC report-33,  
1989 page 169

(C=-1)	$e^+e^- \rightarrow \psi(3770) \rightarrow$	D	$\bar{D}$
Forbidden if no mixing		$K^-\pi^+$	$K^-\pi^+$
Forbidden if no mixing		$K^-\ell^+\nu$	$K^-\ell^+\nu$
Forbidden by CP conservation		CP+	CP+
Forbidden by CP Conservation		CP-	CP-
Interference of CF with DCS		$K^-\pi^+$	CP±

The mixing rate  $R_M$  can be measured at the first order  
Strong phase  $\delta_{K\pi}$  is from CP tagged  $D \rightarrow K\pi$   
CP violation is measured in a production rate.



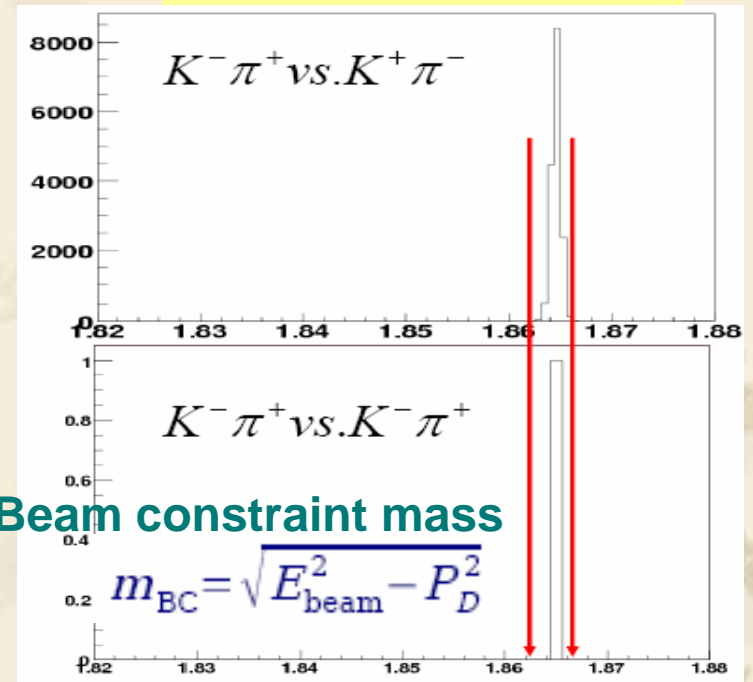
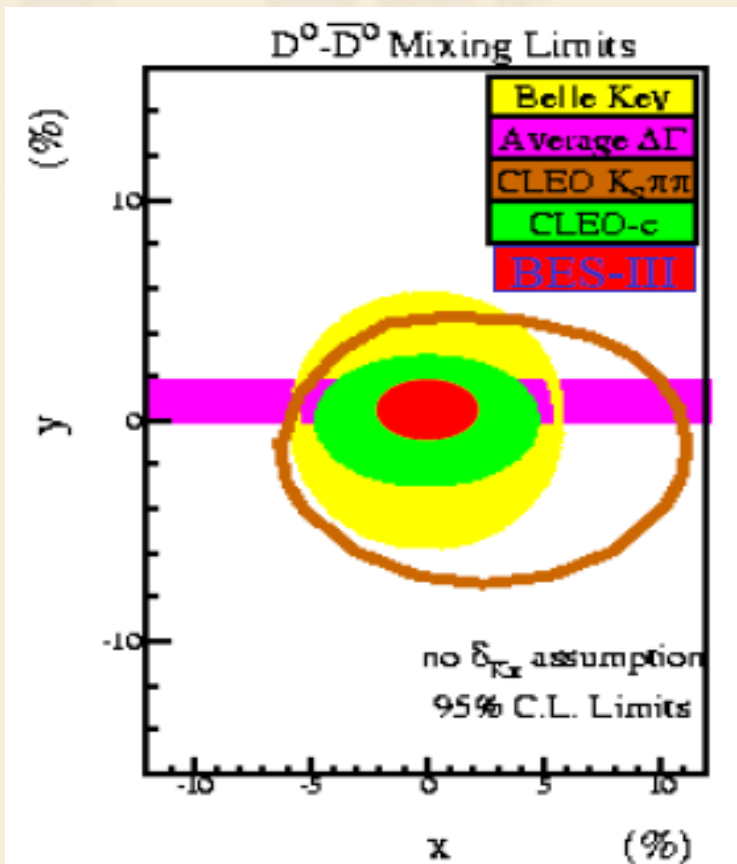
# Mixing rate $R_M$ from

$$R_M = \frac{x^2 + y^2}{2} = \frac{N[(K^\pm \pi^\mp)(K^\pm \pi^\mp)]}{N[(K^\pm \pi^\mp)(K^\mp \pi^\pm)]}$$

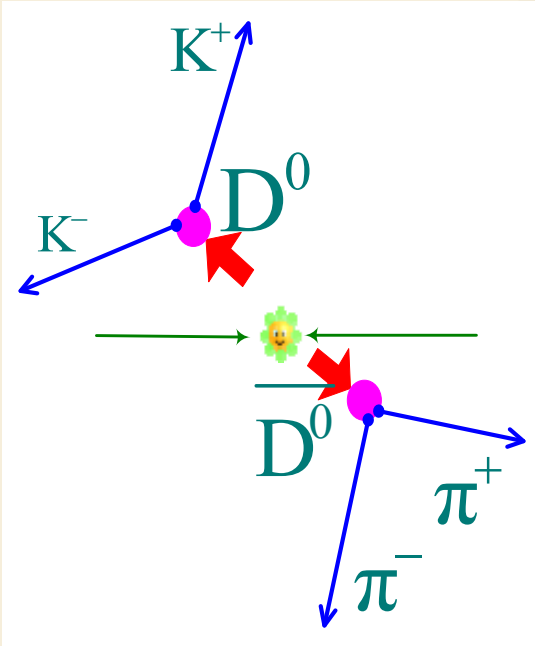


Sensitivity in 20 fb<sup>-1</sup> data  
at BES-III:  $R_M < 1.5 \times 10^{-4}$

2 events in the signal region due to mis-ID.  
(the mis-ID rate for pi as a Kaon is 1%).



# CP Violation at $\psi(3770)$ at BESIII



CP violating asymmetries can be measured by searching for events with two CP odd or two CP even final states:

$\pi^+\pi^-$ ,  $K^+K^-$ ,  $\pi^0\pi^0$ ,  $K_S\pi^0$ ,

for the decay of  $\psi'' \rightarrow f_1 f_2$

$$\text{CP}(f_1 f_2) = \text{CP}(f_1) \cdot \text{CP}(f_2) \cdot (-1)^L = -$$

$$\text{CP}(\psi'') = +$$

**$A_{CP}$  sensitivity :  $\Delta A \sim 10^{-3}$**

# Sensitivities ( $20 \text{ fb}^{-1}$ at $\psi(3770)$ peak )

## ❖ Mixing parameters

$\propto R_M = (x^2 + y^2)/2 < 10^{-4}$  in  $K\pi$   
and  $K\eta$  channels

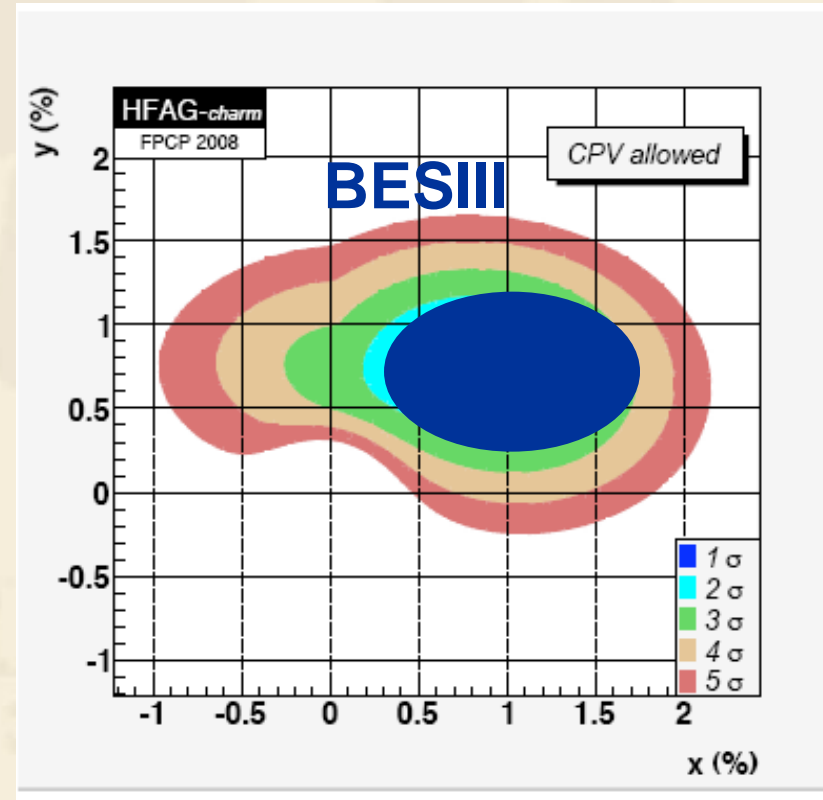
$\propto$  Probe  $y$ :  $\Delta y_{CP} < 0.7\%$ ,

$\propto \Delta \cos \delta_{K\pi} < 0.06$

## ❖ CP Violation

$\propto \Delta A_{CP} \sim 10^{-3}$  in  $D^+$  decays  
(direct CPV),

❖ Improvement to  $\phi_3/\gamma$   
measurement in  $B \rightarrow D^{(*)}K < 2^\circ$   
(CLEO-c:  $\sim 5^\circ$ )



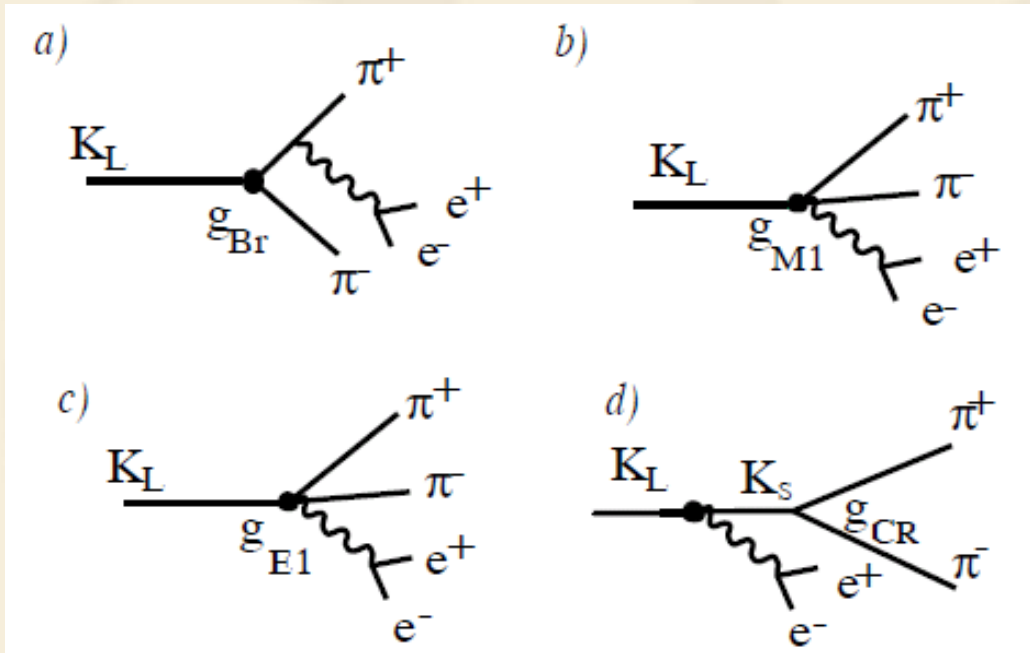
# Outline for CP measurement for D-VV

- ❖ Motivation
- ❖ T violation in T odd triple-products in D decays
- ❖ CP violation in coherent process at BESIII
- ❖ Probes of New Physics at BESIII
- ❖ Summary

X.W.Kang and Hai-Bo Li, Phys. Lett.B684, 137(2010)

J. Charles, S. Descotes-Genon, X.W.Kang, Hai-Bo Li and G.R.Lu  
Phys. Rev.D 81, 054032(2010)[arXiv:0912.0899 (hep-ph)]

# Large CP violation in $K_L \rightarrow \pi^+ \pi^- e^+ e^-$



- a) Bremsstrahlung
- b) CP-conserving M1  $\gamma$  emission
- c) CP-violating E1  $\gamma$  emission
- d) Charge radius process

$$\mathcal{M}(K_L \rightarrow \pi^+ \pi^- e^+ e^-) = e |f_S| \left[ \frac{g_P}{m_K^2} [k^2 \mathcal{P}_\mu - (\mathcal{P} \cdot k) k_\mu] \frac{1}{k^2 - 2\mathcal{P} \cdot k} + \frac{g_{E1}}{m_K^4} [(\mathcal{P} \cdot k) p_{+\mu} - (p_+ \cdot k) \mathcal{P}_\mu] \right. \\ \left. + \frac{g_{M1}}{m_K^4} \epsilon_{\mu\nu\rho\sigma} k^\nu p_+^\rho p_-^\sigma + g_{BR} \left[ \frac{p_{+\mu}}{p_+ \cdot k} - \frac{p_{-\mu}}{p_- \cdot k} \right] \right] \frac{e}{k^2} \bar{u}(k_-) \gamma^\mu v(k_+)$$

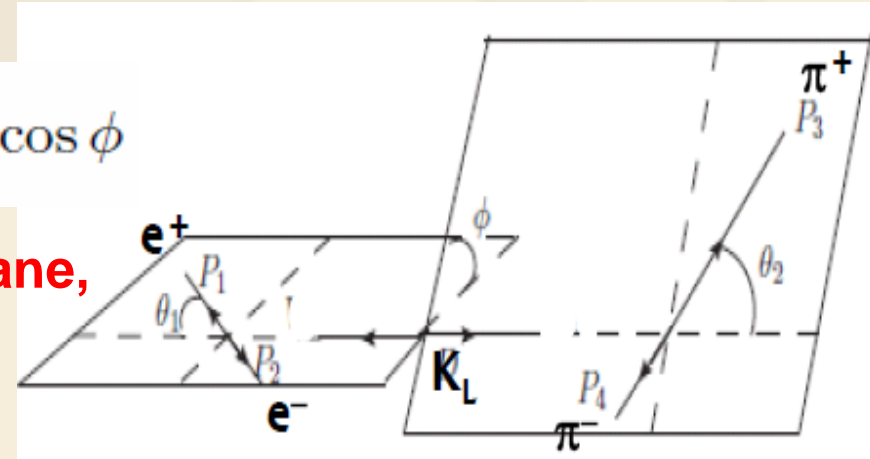
**L.M.Sehgal and M.Wanninger PRD46, 1035(1992)**

# CP observable in $K_L \rightarrow \pi^+ \pi^- e^+ e^-$

**T odd observable in  $K_L \rightarrow \pi^+ \pi^- e^+ e^-$ :**

$$\frac{d\Gamma}{d\phi} = \Gamma_1 \cos^2 \phi + \Gamma_2 \sin^2 \phi + \Gamma_3 \sin \phi \cos \phi$$

$\phi$  is the angle between  $\pi^+ \pi^-$  and  $e^+ e^-$  plane, and T-odd term  $\Gamma_3 \sin \phi \cos \phi$  contains the interference between M1 and bremsstrahlung amplitudes.



**CP observable in  $K_L \rightarrow \pi^+ \pi^- e^+ e^-$ :**

$$A = \frac{N_{\sin \phi \cos \phi > 0.0} - N_{\sin \phi \cos \phi < 0.0}}{N_{\sin \phi \cos \phi > 0.0} + N_{\sin \phi \cos \phi < 0.0}}$$

**Theoretical predication:**

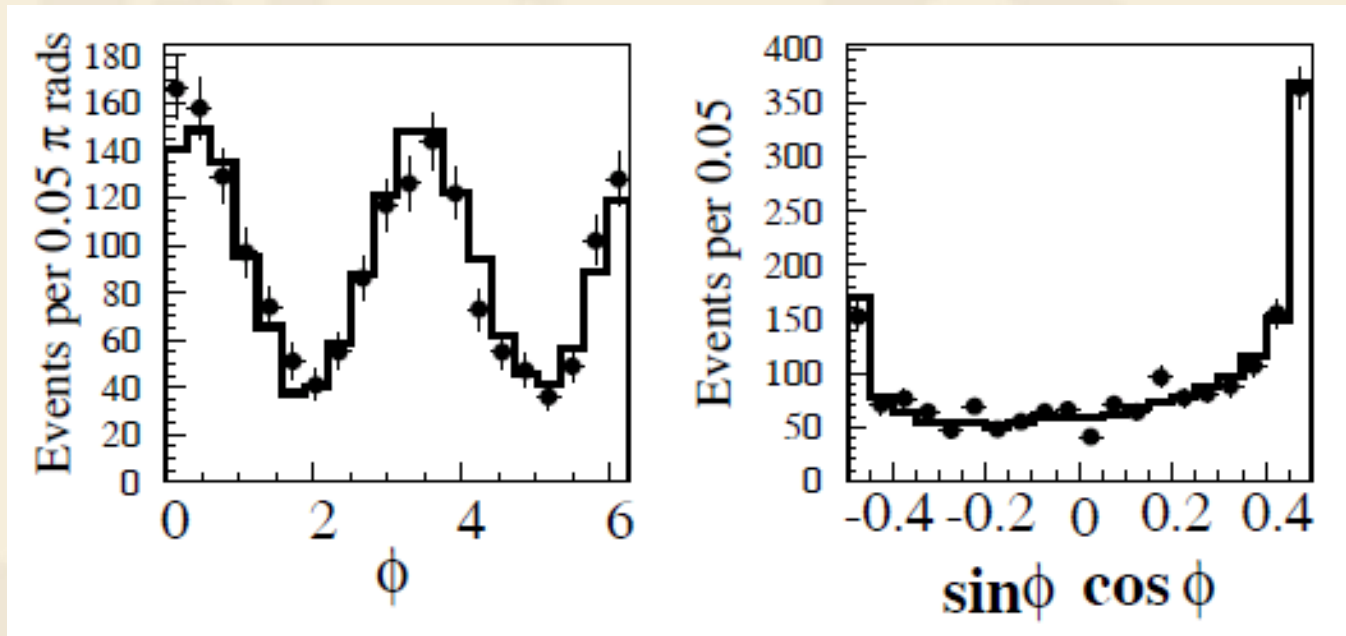
$$A = (14.3 \pm 1.3)\%$$

[L.M.Sehgal and M.Wanninger PRD46, 1035(1992)]

with  $BR(K_L \rightarrow \pi^+ \pi^- e^+ e^-) = (3.32 \pm 0.14 \pm 0.28) \times 10^{-7}$ .

# Measurement of CP in $K_L \rightarrow \pi^+ \pi^- e^+ e^-$

$$A = \frac{N_{\sin \phi \cos \phi > 0.0} - N_{\sin \phi \cos \phi < 0.0}}{N_{\sin \phi \cos \phi > 0.0} + N_{\sin \phi \cos \phi < 0.0}}$$



$A = (13.6 \pm 1.4 \pm 1.5)\%$  [KTeV: arXiv:hep-ex/050801]

which agrees well with theoretical prediction:

$A = (14.3 \pm 1.3)\%$

[L.M.Sehgal and M.Wanninger PRD46, 1035(1992)]

# CP violation in $D \rightarrow VV$



# How about in D case?

Bigi suggested that one can look at the T-odd moment in  $D \rightarrow K^+ K^- \pi^+ \pi^-$ :  
[hep-ph/070127]

$$\frac{d\Gamma}{d\phi}(D \rightarrow K \bar{K} \pi^+ \pi^-) = \Gamma_1 \cos^2 \phi + \Gamma_2 \sin^2 \phi + \Gamma_3 \cos \phi \sin \phi$$
$$\frac{d\Gamma}{d\phi}(\bar{D} \rightarrow K \bar{K} \pi^+ \pi^-) = \bar{\Gamma}_1 \cos^2 \phi + \bar{\Gamma}_2 \sin^2 \phi + \bar{\Gamma}_3 \cos \phi \sin \phi$$

$$\Gamma_3 \neq \bar{\Gamma}_3 \implies \text{CP violation!}$$

**Advantage: large branching fraction for D into 4-body final states (10%).**

**We expect to see difference in  $\Gamma_3$  vs.  $\bar{\Gamma}_3$ .**

**T violating asymmetry measured by FOCUS experiment**

Decay mode	[PLB622, 239(2005)]: $\mathcal{A}$ (%)
$D^0 \rightarrow K^+ K^- \pi^+ \pi^-$	$1.0 \pm 5.7 \pm 3.7$
$D^+ \rightarrow K_S K^+ \pi^+ \pi^-$	$2.3 \pm 6.2 \pm 2.2$
$D_S^+ \rightarrow K_S K^+ \pi^+ \pi^-$	$-3.6 \pm 6.7 \pm 2.3$

# Large decay branching fractions in D system

## D<sup>0</sup> decay

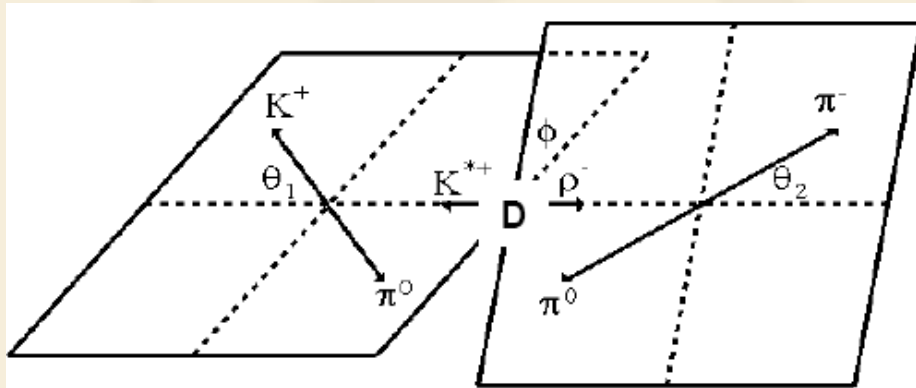
Poor data in PDG !

$\bar{K}^*(892)^0 \rho^0$	( 1.50 ± 0.33 ) %	
$\bar{K}^*(892)^0 \rho^0$ transverse	( 1.6 ± 0.5 ) %	
$\bar{K}^*(892)^0 \rho^0$ S-wave	( 2.9 ± 0.6 ) %	
$\bar{K}^*(892)^0 \rho^0$ S-wave long.	< 3	× 10 <sup>-3</sup> CL=90%
$\bar{K}^*(892)^0 \rho^0$ P-wave	< 3	× 10 <sup>-3</sup> CL=90%
$\bar{K}^*(892)^0 \rho^0$ D-wave	( 2.0 ± 0.6 ) %	
$K^*(892)^- \rho^+$	( 6.4 ± 2.5 ) %	
$K^*(892)^- \rho^+$ longitudinal	( 3.1 ± 1.2 ) %	
$K^*(892)^- \rho^+$ transverse	( 3.4 ± 2.0 ) %	
$K^*(892)^- \rho^+$ P-wave	< 1.5	% CL=90%

## D<sup>+</sup> decay

$\bar{K}^*(892)^0 \rho^+$ total	[ss] ( 1.8 ± 1.4 ) %	
$\bar{K}^*(892)^0 \rho^+$ S-wave	[ss] ( 1.4 ± 1.5 ) %	
$\bar{K}^*(892)^0 \rho^+$ P-wave	< 1	× 10 <sup>-3</sup> CL=90%
$\bar{K}^*(892)^0 \rho^+$ D-wave	( 8 ± 7 ) × 10 <sup>-3</sup>	
$\bar{K}^*(892)^0 \rho^+$ D-wave longitudinal	< 7	× 10 <sup>-3</sup> CL=90%
$K^*(892)^+ \bar{K}^*(892)^0$	( 2.6 ± 1.1 ) %	

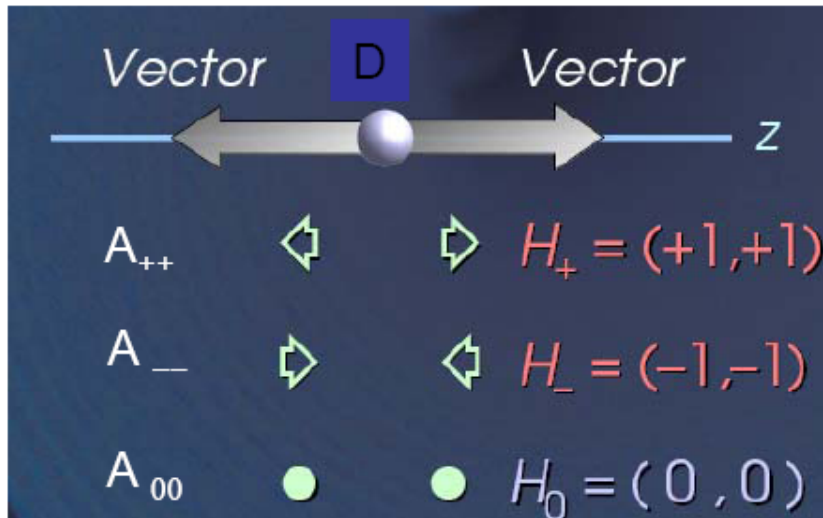
# Partial waves in $D \rightarrow VV$ decays



$$\begin{aligned}
 CP\text{-even longitudinal} & : A_0 = -\frac{1}{\sqrt{3}}S + \sqrt{\frac{2}{3}}D \\
 CP\text{-even transverse} & : A_{\parallel} = \sqrt{\frac{2}{3}}S + \frac{1}{\sqrt{3}}D \\
 CP\text{-odd transverse} & : A_{\perp} = P
 \end{aligned}$$

Conservation of angular momentum: D meson:  $J=M=0$ .

The constraint  $|\lambda_1 - \lambda_2| \leq M$  implies that  $\lambda_1 = \lambda_2 = \lambda$ :



$$A = \langle f | \mathcal{H} | i \rangle = A_{00} + A_{++} + A_{--}$$

Transverse Polarization

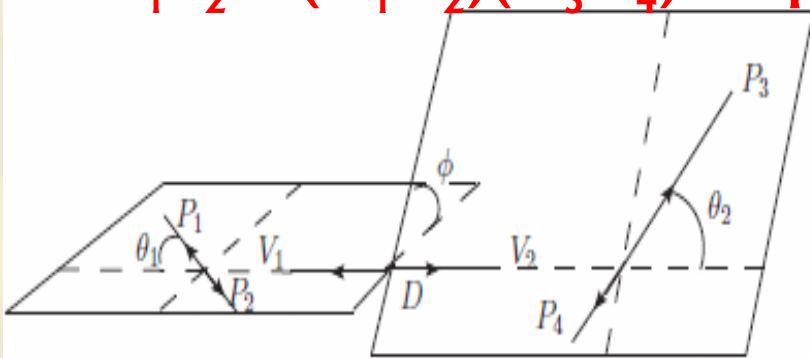
$$\begin{aligned}
 A_{\parallel} &= \frac{(H_+ + H_-)}{\sqrt{(2)}} & A_{\perp} &= \frac{(H_+ - H_-)}{\sqrt{(2)}} \\
 &\text{(Parallel)} & &\text{(Perpendicular)}
 \end{aligned}$$

Longitudinal Polarization

$$A_0 = H_0$$

# Angular dependence partial waves in $D \rightarrow VV$

Rich final state interaction may induce relatively large CP violation in  $D \rightarrow V_1 V_2 \rightarrow (M_1 M_2)(M_3 M_4)$  ----- provide T-odd observables:



$$A_0 = A_0;$$

$$A_{||} = \frac{1}{\sqrt{2}}(A_{11} + A_{-1-1});$$

$$A_{\perp} = \frac{1}{\sqrt{2}}(A_{11} - A_{-1-1})$$

$$\frac{d\Gamma}{d \cos \theta_1 d \cos \theta_2 d \phi} \propto \frac{1}{2} \sin^2 \theta_1 \sin^2 \theta_2 \cos^2 \phi |A_{||}|^2 + \frac{1}{2} \sin^2 \theta_1 \sin^2 \theta_2 \sin^2 \phi |A_{\perp}|^2 + \cos^2 \theta_1 \cos^2 \theta_2 |A_0|^2$$

$$- \frac{1}{2} \sin^2 \theta_1 \sin^2 \theta_2 \sin 2\phi \text{Im}(A_{\perp} A_{||}^*) - \frac{\sqrt{2}}{4} \sin 2\theta_1 \sin 2\theta_2 \cos \phi \text{Re}(A_{||} A_0^*) + \frac{\sqrt{2}}{4} \sin 2\theta_1 \sin 2\theta_2 \sin \phi \text{Im}(A_{\perp} A_0^*)$$

$$\mathcal{A}_{\parallel}^T \equiv \frac{\text{Im}(A_{\perp} A_{||}^*)}{|A_0|^2 + |A_{\perp}|^2 + |A_{||}|^2},$$

$$\mathcal{A}_T^0 \equiv \frac{\text{Im}(A_{\perp} A_0^*)}{|A_0|^2 + |A_{\perp}|^2 + |A_{||}|^2},$$

The triple-product is connected with angular dependence. Note that non-zero T-odd correlation doesn't necessarily imply CP violation since the strong phase may fake it.

# Source of CP in $D \rightarrow VV$

Let us first consider the process  $D(p) \rightarrow V_1(k, \epsilon_1)V_2(q, \epsilon_2)$ , where the two vectors  $V_1, V_2$  are characterized as their four-momenta and polarizations  $(k, \epsilon_1)$  and  $(q, \epsilon_2)$ , respectively. We can write the most general invariant amplitude as a sum of three terms that we will call  $s, d, p$  [14–17],

$$\mathcal{M} \equiv as + bd + icp = a\epsilon_1^* \cdot \epsilon_2^* + \frac{b}{m_1 m_2} (p \cdot \epsilon_1^*)(p \cdot \epsilon_2^*) + i \frac{c}{m_1 m_2} \epsilon^{\alpha\beta\gamma\delta} \epsilon_{1\alpha}^* \epsilon_{2\beta}^* k_\gamma p_\delta,$$

T-odd

$$\bar{\mathcal{M}} = \bar{a}\epsilon_1^* \cdot \epsilon_2^* + \frac{\bar{b}}{m_1 m_2} (p \cdot \epsilon_1^*)(p \cdot \epsilon_2^*) - i \frac{\bar{c}}{m_1 m_2} \epsilon^{\alpha\beta\gamma\delta} \epsilon_{1\alpha}^* \epsilon_{2\beta}^* k_\gamma p_\delta,$$

$$a = \sum_j a_j e^{i\delta_{sj}} e^{i\phi_{sj}},$$

$$b = \sum_j b_j e^{i\delta_{dj}} e^{i\phi_{dj}},$$

$$c = \sum_j c_j e^{i\delta_{pj}} e^{i\phi_{pj}},$$

where  $m_1$  ( $m_2$ ) is the mass of  $V_1$  ( $V_2$ ), and the scalar coefficients  $a, b$  and  $c$  are generally complex and can receive contributions from several amplitudes with different phases. Thus, one can parameterize the coefficients as [14]

# Amplitudes $D/\bar{D} \rightarrow VV$

$$\begin{aligned}
 |\mathcal{M}|^2 &= |a|^2 |\epsilon_1^* \cdot \epsilon_2^*|^2 + \frac{|b|^2}{m_1^2 m_2^2} |(k \cdot \epsilon_2^*)(q \cdot \epsilon_1^*)|^2 \\
 &+ \frac{|c|^2}{m_1^2 m_2^2} |\epsilon^{\alpha\beta\gamma\delta} \epsilon_{1\alpha}^* \epsilon_{2\beta}^* k_\gamma p_\delta|^2 \\
 &+ 2 \frac{\text{Re}(ab^*)}{m_1 m_2} (\epsilon_1^* \cdot \epsilon_2^*) (k \cdot \epsilon_2^*) (q \cdot \epsilon_1^*) \\
 &+ 2 \frac{\text{Im}(ac^*)}{m_1 m_2} (\epsilon_1^* \cdot \epsilon_2^*) \epsilon^{\alpha\beta\gamma\delta} \epsilon_{1\alpha}^* \epsilon_{2\beta}^* k_\gamma p_\delta \\
 &+ 2 \frac{\text{Im}(bc^*)}{m_1^2 m_2^2} (k \cdot \epsilon_2^*) (q \cdot \epsilon_1^*) \epsilon^{\alpha\beta\gamma\delta} \epsilon_{1\alpha}^* \epsilon_{2\beta}^* k_\gamma p_\delta.
 \end{aligned}$$

**T-odd observable, but not : CP observable:**

$$\mathcal{A}_T \propto \text{Im}(ac^*) = \sum_{i,j} a_i c_j \sin[(\phi_{si} - \phi_{pj}) + (\delta_{si} - \delta_{pj})].$$

$$\begin{aligned}
 |\bar{\mathcal{M}}|^2 &= |\bar{a}|^2 |\epsilon_1^* \cdot \epsilon_2^*|^2 + \frac{|\bar{b}|^2}{m_1^2 m_2^2} |(k \cdot \epsilon_2^*)(q \cdot \epsilon_1^*)|^2 \\
 &+ \frac{|\bar{c}|^2}{m_1^2 m_2^2} |\epsilon^{\alpha\beta\gamma\delta} \epsilon_{1\alpha}^* \epsilon_{2\beta}^* k_\gamma p_\delta|^2 \\
 &+ 2 \frac{\text{Re}(\bar{a}\bar{b}^*)}{m_1 m_2} (\epsilon_1^* \cdot \epsilon_2^*) (k \cdot \epsilon_2^*) (q \cdot \epsilon_1^*) \\
 &- 2 \frac{\text{Im}(\bar{a}\bar{c}^*)}{m_1 m_2} (\epsilon_1^* \cdot \epsilon_2^*) \epsilon^{\alpha\beta\gamma\delta} \epsilon_{1\alpha}^* \epsilon_{2\beta}^* k_\gamma p_\delta \\
 &- 2 \frac{\text{Im}(\bar{b}\bar{c}^*)}{m_1^2 m_2^2} (k \cdot \epsilon_2^*) (q \cdot \epsilon_1^*) \epsilon^{\alpha\beta\gamma\delta} \epsilon_{1\alpha}^* \epsilon_{2\beta}^* k_\gamma p_\delta.
 \end{aligned}$$

# T violating triple-product

Comparing a triple correlation for CP conjugate transitions allows to distinguish CP violation from final state interaction effects,

$$\begin{aligned} \frac{1}{2}(\mathcal{A}_T + \bar{\mathcal{A}}_T) &\propto \frac{1}{2}[\text{Im}(ac^*) - \text{Im}(\bar{a}\bar{c}^*)] \\ &= \sum_{i,j} a_i c_j \sin(\phi_{si} - \phi_{pj}) \cos(\delta_{si} - \delta_{pj}), \end{aligned}$$

**For longitudinal component:**

$$\begin{aligned} \mathcal{A} &= \frac{1}{2}(\mathcal{A}_T^0 + \bar{\mathcal{A}}_T^0) \\ &= \frac{1}{2} \left( \frac{\text{Im}(A_{\perp} A_0^*)}{|A_0|^2 + |A_{\perp}|^2 + |A_{\parallel}|^2} + \frac{\text{Im}(\bar{A}_{\perp} \bar{A}_0^*)}{|\bar{A}_0|^2 + |\bar{A}_{\perp}|^2 + |\bar{A}_{\parallel}|^2} \right) \end{aligned}$$

**For parallel component:**

$$\begin{aligned} \mathcal{A}' &= \frac{1}{2}(\mathcal{A}'_{\parallel} + \bar{\mathcal{A}}'_{\parallel}) \\ &= \frac{1}{2} \left( \frac{\text{Im}(A_{\perp} A'_{\parallel})}{|A_0|^2 + |A_{\perp}|^2 + |A'_{\parallel}|^2} + \frac{\text{Im}(\bar{A}_{\perp} \bar{A}'_{\parallel})}{|\bar{A}_0|^2 + |\bar{A}_{\perp}|^2 + |\bar{A}'_{\parallel}|^2} \right) \end{aligned}$$

# Sensitivity of T violating triple product at BESIII

The expected errors on T-odd asymmetry at BESIII with  $20 \text{ fb}^{-1}$  luminosity on  $\psi(3770)$  peak ( $72 \times 10^6$  DDbar pairs).

$W$	Br (%)	Eff. ( $\epsilon$ )	Expected errors
$\rho^0 \rho^0 \rightarrow (\pi^+ \pi^-)(\pi^+ \pi^-)$	0.18	0.74	0.004
$\bar{K}^{*0} \rho^0 \rightarrow (K^- \pi^+)(\pi^+ \pi^-)$	1.08	0.68	0.002
$\rho^0 \phi \rightarrow (\pi^+ \pi^-)(K^+ K^-)$	0.14	0.26	0.006
$\rho^+ \rho^- \rightarrow (\pi^+ \pi^0)(\pi^- \pi^0)$	0.6*	0.55	0.002
$K^{*+} K^{*-} \rightarrow (K^+ \pi^0)(K^- \pi^0)$	0.08*	0.55	0.006
$K^{*0} \bar{K}^{*0} \rightarrow (K^+ \pi^-)(K^- \pi^+)$	0.048	0.62	0.002
$\bar{K}^{*0} \rho^+ \rightarrow (K^- \pi^+)(\pi^+ \pi^0)$	1.33	0.59	0.001

X.W.Kang and Hai-Bo Li, Phys. Lett.B684, 137(2010)



Extracting CP violation and strong phase in  $D$  decays

by using quantum correlations in

$$\psi(3770) \rightarrow D^0 \bar{D}^0 \rightarrow (V_1 V_2)(V_3 V_4) \text{ and } \psi(3770) \rightarrow D^0 \bar{D}^0 \rightarrow (V_1 V_2)(K\pi)$$

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[Phys. Rev.D 81, 054032\(2010\)\[arXiv:0912.0899 \(hep-ph\)\]](#)

# CP in $\psi(3770) \rightarrow D^0 \bar{D}^0 \rightarrow (V_1 V_2)(V_3 V_4)$

## CP violation in D decays

- challenging in Standard Model but clear New physics signal
- BEPCII will provide intricate DDbar pairs in definite quantum state (L=1):

$$|(D\bar{D})_{L=1}\rangle = \frac{-|D_1\rangle|D_2\rangle + |D_2\rangle|D_1\rangle}{\sqrt{2}}$$

Neglecting CP violation in mixing, the CP eigenstates can be defined as

$$|D_1\rangle = \frac{|D^0\rangle + |\bar{D}^0\rangle}{\sqrt{2}}, \quad |D_2\rangle = \frac{|D^0\rangle - |\bar{D}^0\rangle}{\sqrt{2}}$$

**D→VV high branching ratio (a few % for  $\rho K^*$ )**

**$\psi(3770) \rightarrow D^0 \bar{D}^0 \rightarrow (V_1 V_2)(V_3 V_4)$  well reconstructed at BESIII**

# Quantum correlation

For the following process,  $D^0\bar{D}^0$  in antisymmetric state ( $L=1$ ):

$$e^+e^- \rightarrow \psi \rightarrow D^0\bar{D}^0 \rightarrow f_a f_b$$

with  $f_a$  and  $f_b$  CP eigenstates of same CP-parity, we have **CP violation**:

$$CP|\psi\rangle = |\psi\rangle, \quad CP|f_a f_b\rangle = \eta_a \eta_b (-1)^\ell |f_a f_b\rangle = -|f_a f_b\rangle$$

**For  $\psi(3770) \rightarrow D^0\bar{D}^0 \rightarrow (V_1 V_2)(V_3 V_4)$  observables for CP violation can be reconstructed (polarization fractions, interference between partial waves) if angular analysis is available.**

# Large branching fraction and reconstruction efficiency

$$\psi \rightarrow D_1 D_2, \quad D_1 \rightarrow V_1 V_2, \quad D_2 \rightarrow V_3 V_4,$$

$$V_1 \rightarrow M_1 M'_1, V_2 \rightarrow M_2 M'_2, V_3 \rightarrow M_3 M'_3, V_4 \rightarrow M_4 M'_4.$$

The transversity amplitudes  $A$  for  $D_{1,2} \rightarrow VV'$  have simple transformation laws under  $CP$ :

$$A_0^{D \rightarrow VV'} \rightarrow +\eta_{CP}(V)\eta_{CP}(V')\eta_{CP}(D)A_0^{D \rightarrow \bar{V}\bar{V}'}, \text{ **Longitudinal CP+}**$$

$$A_{\parallel}^{D \rightarrow VV'} \rightarrow +\eta_{CP}(V)\eta_{CP}(V')\eta_{CP}(D)A_{\parallel}^{D \rightarrow \bar{V}\bar{V}'}, \text{ **Parallel CP+}**$$

$$A_{\perp}^{D \rightarrow VV'} \rightarrow -\eta_{CP}(V)\eta_{CP}(V')\eta_{CP}(D)A_{\perp}^{D \rightarrow \bar{V}\bar{V}'}. \text{ **Perpendicular CP-}**$$

$VV$	$\eta_{CP}(V)\eta_{CP}(V')$	Br (%)	Eff. ( $\epsilon$ )
$\rho^0 \rho^0$	1	0.18	0.24
$\bar{K}^{*0} \rho^0 \rightarrow (K_S \pi^0)(\pi^+ \pi^-)$	1	0.27	0.12
$\rho^0 \phi \rightarrow (\pi^+ \pi^-)(K^+ K^-)$	1	0.14	0.07
$\bar{K}^{*0} \omega \rightarrow (K_S \pi^0)(\pi^+ \pi^- \pi^0)$	1	0.33	0.09
$\rho^+ \rho^-$	1	[0.6]	0.18
$\rho^0 \omega \rightarrow (\pi^+ \pi^-)(\pi^+ \pi^- \pi^0)$	1	[ $\simeq 0$ ]	0.18
$K^{*+} K^{*-} \rightarrow (K_S \pi^+)(K_S \pi^-)$	1	[0.08]	0.07
$K^{*0} \bar{K}^{*0} \rightarrow (K_S \pi^0)(K_S \pi^0)$	1	0.003	0.09

**Efficiencies  
At BESIII**

# CP observables in the correlation

One obtains, neglecting CP-violation in  $DD$  mixing, the following result for the combined branching ratio, which can be recovered from [16]

$$\mathcal{BR}((D^0\bar{D}^0)_{C=-1} \rightarrow f_a f_b) = 2\mathcal{BR}(D^0 \rightarrow f_a)\mathcal{BR}(D^0 \rightarrow f_b)(|\rho_a - \rho_b|^2 + r_D|1 - \rho_a\rho_b|^2), \quad (17)$$

with the ratio of CP-conjugate amplitudes and the combination of  $D$ -mixing parameters

$$\rho_f = \eta_f (1 + \delta_f) e^{i\alpha_f} \quad \rho_f = \frac{A(\bar{D}^0 \rightarrow f)}{A(D^0 \rightarrow f)}, \quad r_D = (x^2 + y^2)/2 < 10^{-4}. \quad (18)$$

where  $x = \Delta m/\Gamma$  and  $y = \Delta\Gamma/(2\Gamma)$  are the difference of masses and widths of the mass eigenstates in the  $D\bar{D}$  system, normalised by their average width [6].

**If CP is conserved in D decays:  $\rho_f = \eta_f$ , is the CP eigenvalue (+1 or -1), for both D decay into eigenstates with same CP-parity, we have:**

$$\mathcal{BR}((D^0\bar{D}^0)_{C=-1} \rightarrow f_a f_b) = 0$$

**Thus,**

$$\mathcal{BR}((D^0\bar{D}^0)_{C=-1} \rightarrow f_a f_b) \neq 0$$

**will indicate CP violation in the correlated process. For  $D \rightarrow VV$ , we can construct observables for CP violation by considering different partial waves with the same CP parities.**

# CP observables in combined rates

$$\psi(3770) \rightarrow D^0 \bar{D}^0 \rightarrow (V_1 V_2)(V_3 V_4)$$

$$V_i \rightarrow M_i M_i' \quad (i = 1, 2, 3, 4)$$

We have the CP violating amplitudes :

$$(A_0^{D_1 \rightarrow V_1 V_2})(A_0^{D_2 \rightarrow V_3 V_4}), \quad (A_0^{D_1 \rightarrow V_1 V_2})(A_{\text{II}}^{D_2 \rightarrow V_3 V_4})$$

$$(A_{\text{II}}^{D_1 \rightarrow V_1 V_2})(A_0^{D_2 \rightarrow V_3 V_4}), \quad (A_{\perp}^{D_1 \rightarrow V_1 V_2})(A_{\perp}^{D_2 \rightarrow V_3 V_4}),$$

$$(A_{\text{II}}^{D_1 \rightarrow V_1 V_2})(A_{\text{II}}^{D_2 \rightarrow V_3 V_4}),$$

**For example, both  $D \rightarrow VV$  in the longitudinal polarizations, we construct the angular dependence CP observable:**

$$\int d\Gamma_{4V} \frac{1}{128} (5 \cos^2 \theta_{V_1} - 1)(5 \cos^2 \theta_{V_2} - 1)(5 \cos^2 \theta_{V_3} - 1)(5 \cos^2 \theta_{V_4} - 1) \\ = |A^{\psi V_1 V_2 V_3 V_4}|^2 |A_0^{D^0 \rightarrow V_1 V_2}|^2 |A_0^{D^0 \rightarrow V_3 V_4}|^2 \times |\rho_{V_1, V_2}^0 - \rho_{V_3, V_4}^0|^2.$$

# Sensitivity at BESIII with $20\text{fb}^{-1}$ luminosity

Reaction	Efficiency	Upper limits at BES-III( $\times 10^{-7}$ )
$D^0\bar{D}^0 \rightarrow (\rho^+\rho^-)(\bar{K}^{*0}\omega)$	0.13	2.46
$D^0\bar{D}^0 \rightarrow (\rho^0\rho^0)(\bar{K}^{*0}\rho^0)$	0.17	1.88
$D^0\bar{D}^0 \rightarrow (\bar{K}^{*0}\rho^0)(K^{*0}\omega)$	0.10	3.19
$D^0\bar{D}^0 \rightarrow (\bar{K}^{*0}\rho^0)(\rho^0\phi)$	0.09	3.55
$D^0\bar{D}^0 \rightarrow (\bar{K}^{*0}\omega)(\rho^0\phi)$	0.08	3.99
$D^0\bar{D}^0 \rightarrow (\rho^0\rho^0)(\bar{K}^{*0}\omega)$	0.15	2.13
$D^0\bar{D}^0 \rightarrow (\rho^0\rho^0)(\rho^0\phi)$	0.13	2.46
$D^0\bar{D}^0 \rightarrow (\rho^+\rho^-)(\rho^0\phi)$	0.11	2.90
$D^0\bar{D}^0 \rightarrow (\rho^+\rho^-)(K^{*+}K^{*-})$	0.11	2.90

Table 5: The projected 90%-C.L. upper limits on CP violating branching fraction of some most interesting (VV)(VV) modes from correlated  $D^0\bar{D}^0$  pairs with  $20\text{fb}^{-1}$  data taken at  $\psi(3770)$  peak at BES-III.

# CP violating rate and phase difference

From the following combined CP violating decay rate

$$\mathcal{BR}((D^0 \bar{D}^0)_{C=-1} \rightarrow f_a f_b) = 2\mathcal{BR}(D^0 \rightarrow f_a)\mathcal{BR}(D^0 \rightarrow f_b)(|\rho_a - \rho_b|^2 + r_D|1 - \rho_a \rho_b|^2),$$

with the parameterization:

$$\rho_f = \eta_f (1 + \delta_f) e^{i\alpha_f}$$

$\eta_f$  : value of CP parity

$\delta_f$  : term of CP violation in decay

$\alpha_f$  : phase difference between D and  $\bar{D}$

As an example for  $D^0 \bar{D}^0 \rightarrow (\rho^0 \rho^0)(\bar{K}^{*0} \rho^0)$ , the above formula can be written as:

$$\mathcal{BR}((D^0 \bar{D}^0)_{C=-1} \rightarrow \rho^0 \rho^0, \bar{K}^{*0} \rho^0) \Big|_{(0,||)}^{CPV} \simeq 8 \times \mathcal{BR}^0(D^0 \rightarrow \rho^0 \rho^0) \cdot \mathcal{BR}^{\parallel}(D^0 \rightarrow \bar{K}^{*0} \rho^0) \sin^2 \frac{\alpha_a - \alpha_b}{2}$$

Combining the CP violating rate and polarization fractions, one can extract the phase difference ( $\alpha_a - \alpha_b$ ).



# Extracting phase difference

From the following formula, if the CP violating rate on the left and polarization fractions on the right side are measured, the strong phase difference can be determined:

$$\mathcal{BR}((D^0\bar{D}^0)_{C=-1} \rightarrow \rho^0\rho^0, \bar{K}^{*0}\rho^0) \Big|_{(0,\parallel)}^{CPV} \simeq 8 \times \mathcal{BR}^0(D^0 \rightarrow \rho^0\rho^0) \cdot \mathcal{BR}^{\parallel}(D^0 \rightarrow \bar{K}^{*0}\rho^0) \sin^2 \frac{\alpha_a - \alpha_b}{2}$$

For example, the current values for the polarized fractions in  $\rho\rho$  and  $\rho K^*$  yields the upper limit  $|\alpha_a - \alpha_b| < 4.4^\circ$  at 90% confidence level from the channel  $(D^0\bar{D}^0)_{C=-1} \rightarrow \rho^0\rho^0, \bar{K}^{*0}\rho^0 \Big|_{(0,\parallel)}$ . At a future Super  $\tau$ -charm factory, with a data set of  $2 \text{ ab}^{-1}$ , the constraint would be more severe,  $|\alpha_a - \alpha_b| < 0.5^\circ$  at 90% confidence level.