

# TMCInstability influence on SuperKEKB luminosity

K. Ohmi (KEK)

The 2021 International Workshop on the High Energy Circular Electron Positron  
Collider

Thanks to H. Fukuma, T. Ishibashi, G. Mitsuka, S. Terui, D. Zhou

# Observations in SuperKEKB-LER

- Vertical Beam size blowup has been observed near the tune operating point of physics run  $\nu_y < 0.6$  in SuperKEKB-LER.
- It is a single bunch effect. The threshold is lower than that of the ordinary TMCI. The blowup is related to a resonance  $\nu_x - \nu_y + 2\nu_s$ .
- Increasing the bunch intensity and/or transverse impedance (collimator aperture) enhanced the blowup.
- The beam size blow-up limits choice of the operating point in Physics run.

# Phenomena caused by Transverse wake force

- Tune shift

- Dipole mode tune shift  $\rho_x(z) = x\rho(z)$

- Transverse mode coupling

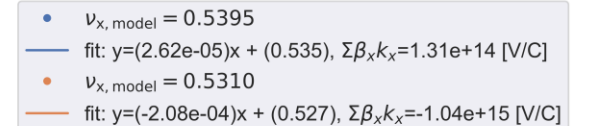
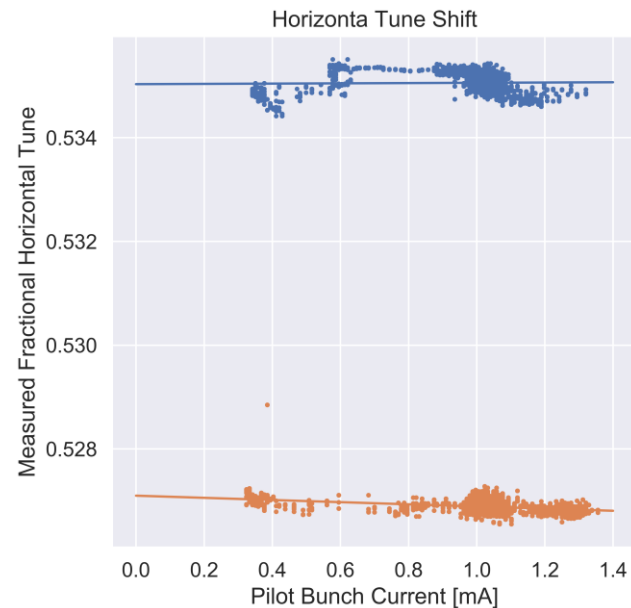
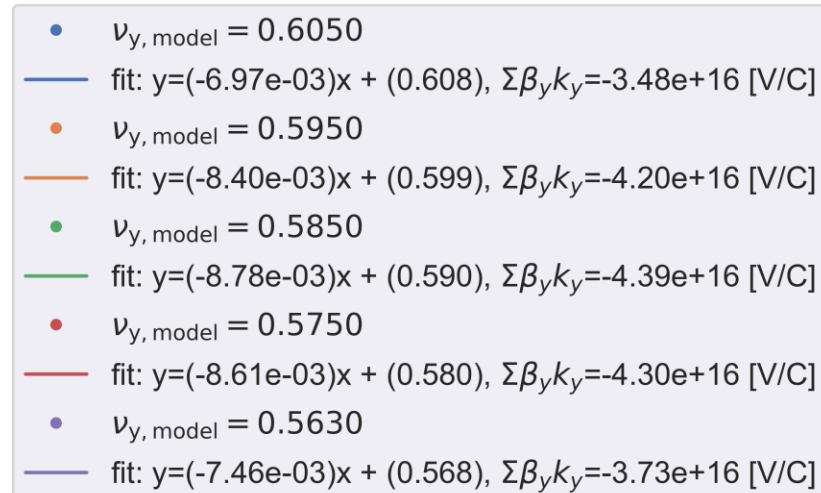
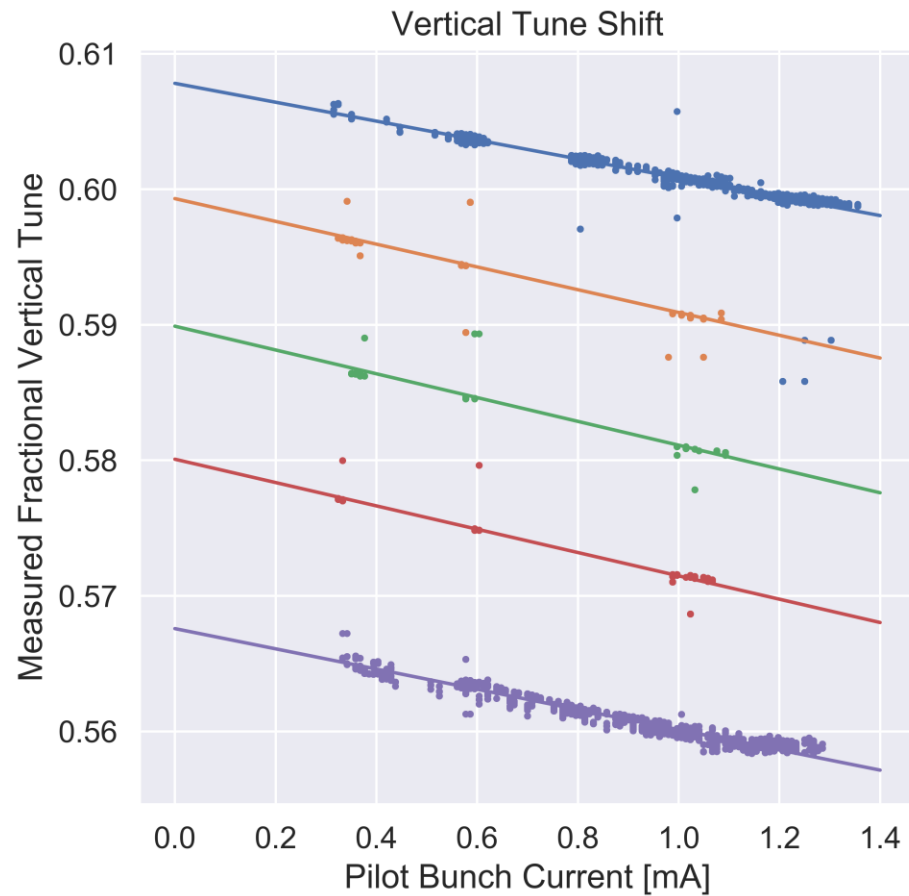
- Mode coupling between  $\nu_y \pm n\nu_s$  and  $\nu_y \pm (n+1)\nu_s$ . Typically  $\nu_y$  and  $\nu_y - \nu_s$ . The threshold depends on  $\nu_s$  but does not depend on  $\nu_y$ .
- For localized wake, mode tune is wrapped at 0.5. Complex condition for the mode coupling  $\nu_y \pm n\nu_s \pm \nu_y \pm m\nu_s = \text{integer}$ . **The condition is the same as half integer resonances for synchro-beta mode.**
- Bunch current dependent phenomena.

# Tune shift Measurements

	Vertical					Horizontal					
date	$\beta K(V/C, \text{calc})$	$\beta K(dv/dI)$	$dv/dI (\text{mA}^{-1})$	$v_0$	$\Delta\beta K$	$\beta K(V/C, \text{calc})$	$\beta K(dv/dI)$	$dv/dI (\text{mA}^{-1})$	$v_0$	$\Delta\beta K$	$\Delta\xi_y$
2021/2/25	8.90E+15	1.81E+16	-0.00363	0.587	9.24E+15	3.90E+15	6.49E+15	-0.0013	0.528	2.59E+15	
2021/2/25	2.66E+16	3.08E+16	-0.00617	0.586	4.23E+15	3.90E+15	-6.29E+15	0.00126	0.528	-1.02E+16	
2021/2/25	3.71E+16	3.63E+16	-0.00727	0.586	-7.79E+14	3.90E+15	5.95E+15	-0.00119	0.528	2.05E+15	
2021/2/25	5.99E+16	5.70E+16	-0.0114	0.6	-2.95E+15	3.90E+15	5.75E+15	-0.00115	0.527	1.85E+15	+2
2021/3/1	4.83E+16	4.94E+16	-0.00989	0.587	1.11E+15	3.90E+15	6.59E+15	-0.00132	0.527	2.69E+15	
2021/3/1	4.83E+16	4.99E+16	-0.00999	0.587	1.61E+15	3.90E+15	6.79E+15	-0.00136	0.527	2.89E+15	+1
2021/3/1	4.83E+16	5.50E+16	-0.011	0.565	6.66E+15	3.90E+15	8.84E+15	-0.00177	0.527	4.94E+15	+1

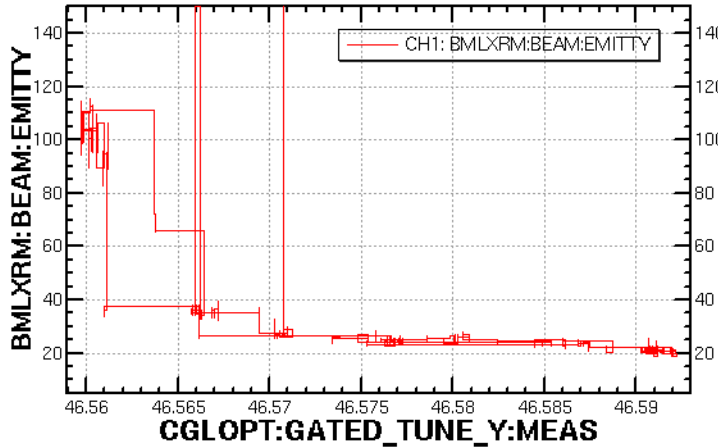
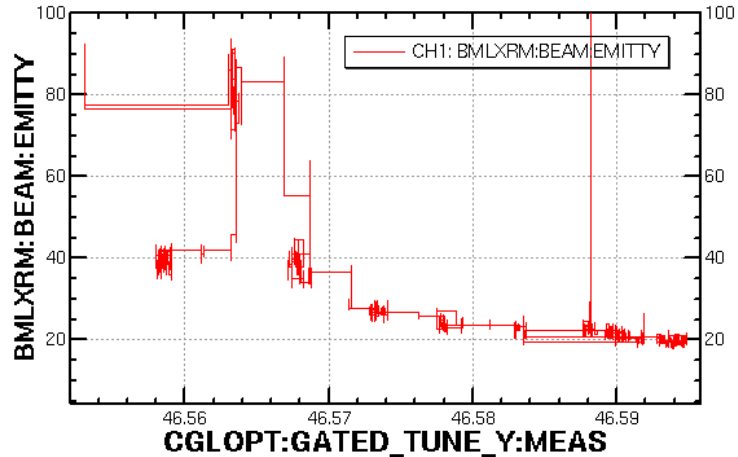
Base chromaticity  $\xi_y=1.6$

# Tune shift measurement with pilot bunch



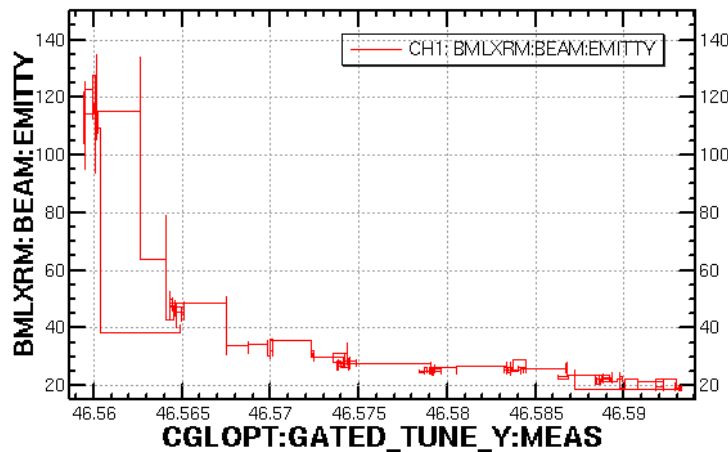
# $\epsilon_y$ vs $v_y$ at $K\beta=36 \times 10^{15}$ V/C (June 29), $\beta_y^*=1$ mm

- 0.5mA/b (16:10-16:30) 0.7mA(16:55-17:05)

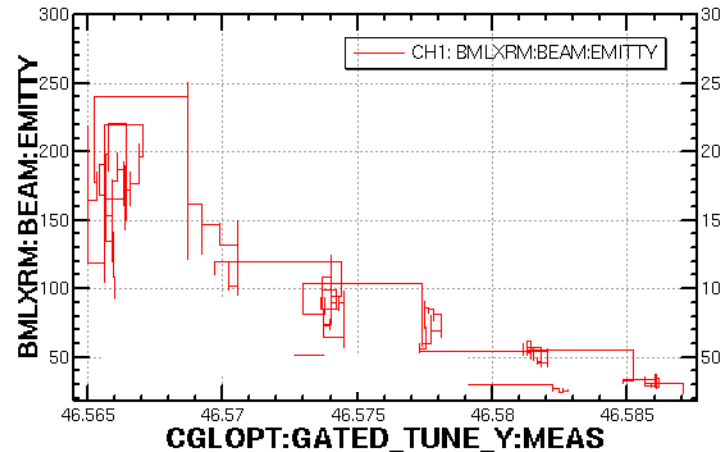


- Emittance growth due to current independent resonance ( $n_x-n_y-2n_s$  or  $2n_y-6n_s=n$ ) for  $I < 0.8$ mA/bunch.
- Emittance growth due to tune dependent TMCI (localized wake) was seen  $I \geq 0.9$ mA
- $\epsilon_y=150$ pm at  $I=1$ mA  $v_y=0.588$
- $I_{th}=0.95$ mA at  $K\beta=36 \times 10^{15}$  V/C.

- 0.8mA (17:05-17:15)



- 0.9mA (17:20-17:28)

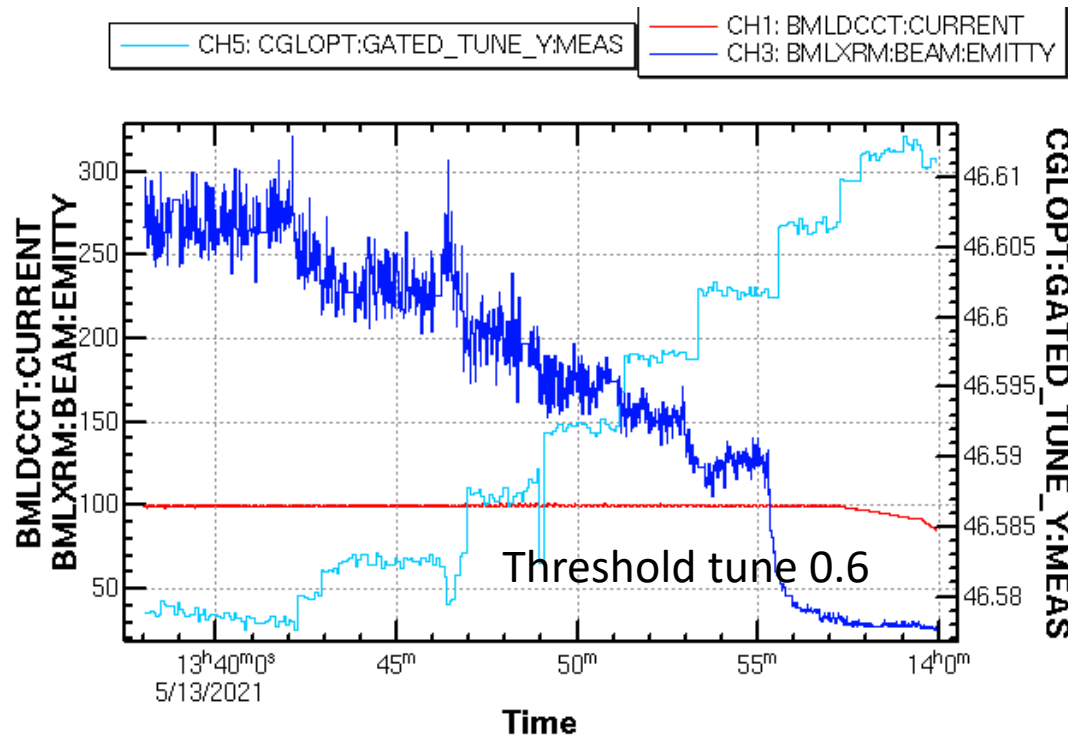


# LER measurement at May 13, $\beta_y^* = 1\text{mm}$

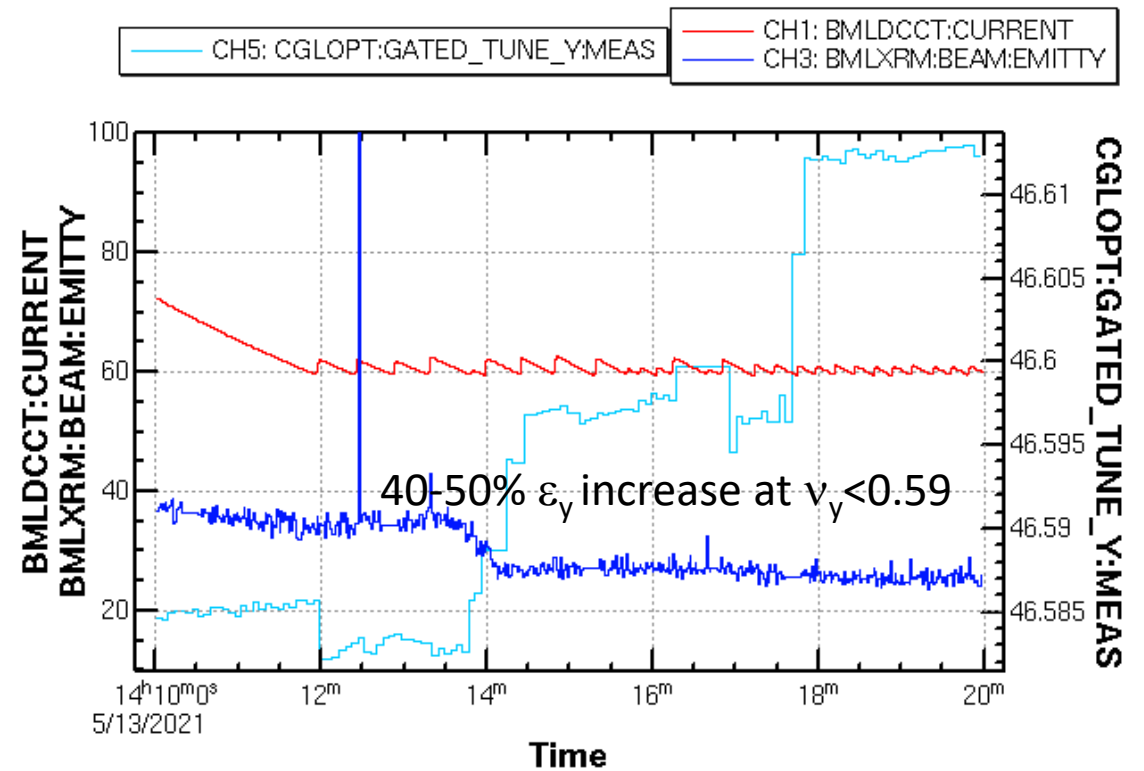
$dv_y/dI = 0.008/\text{mA}$

- Keep current scan V-tune 0.570-0.610

1mA/bunch



0.6mA



BOR data taking

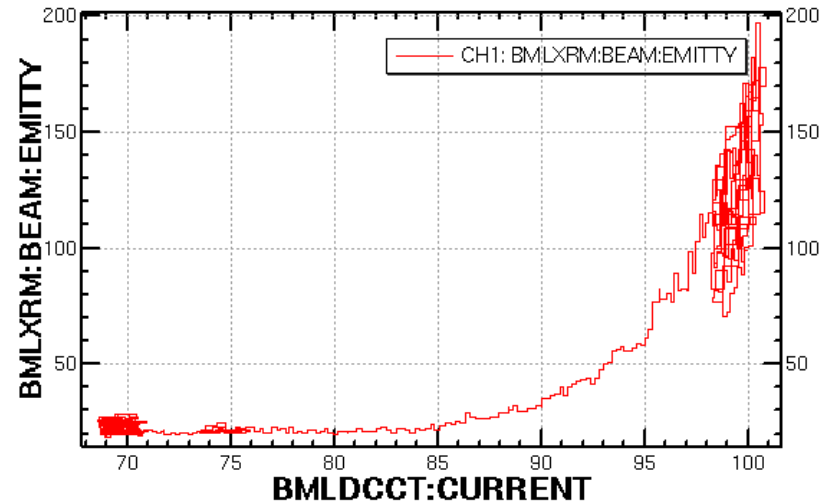
Emittance blow up was seen at 1mA/bunch.

# Threshold change for Collimator gap

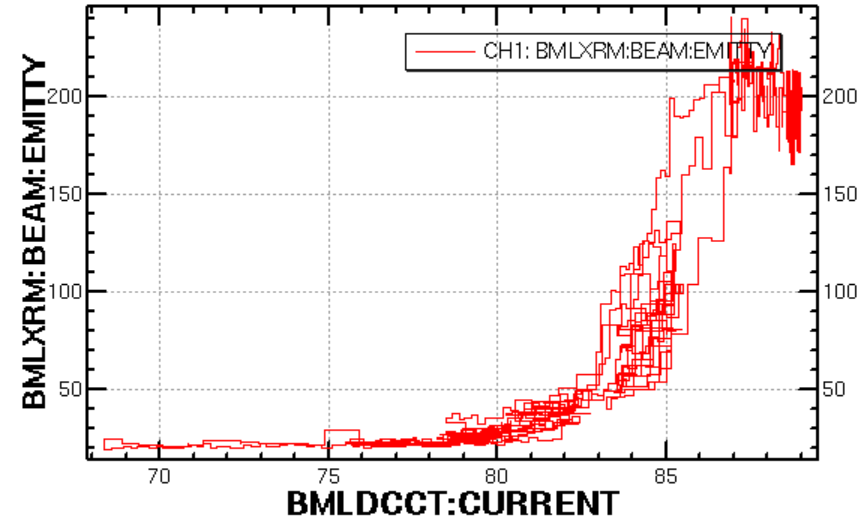
$$\beta_y^* = 1\text{mm}$$

$$v_y = 0.59$$

$$K\beta = 36 \times 10^{15} \text{ V/c}$$



$$K\beta = 41.6 \times 10^{15} \text{ V/c}$$



97bunch

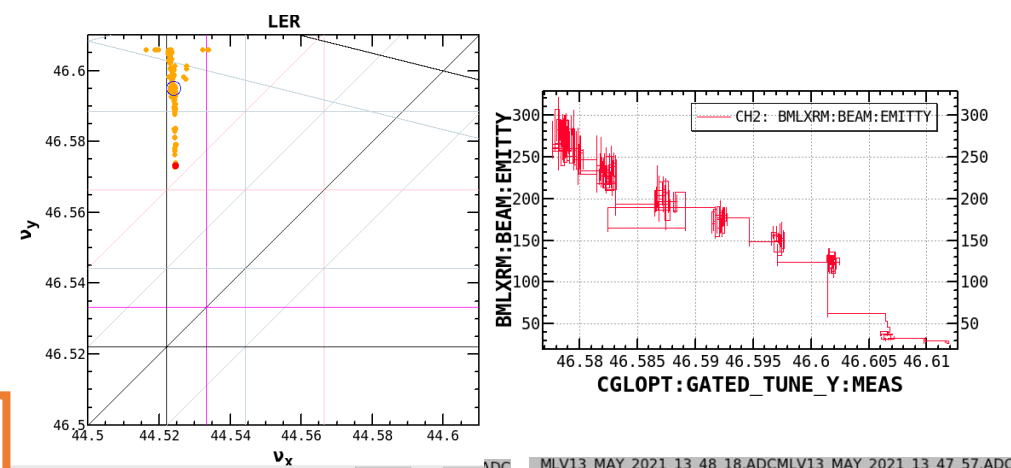
- The threshold almost scales to  $K\beta I$ .
- The emittance growth seems to be due to TMCI, though not ordinary TMCI.



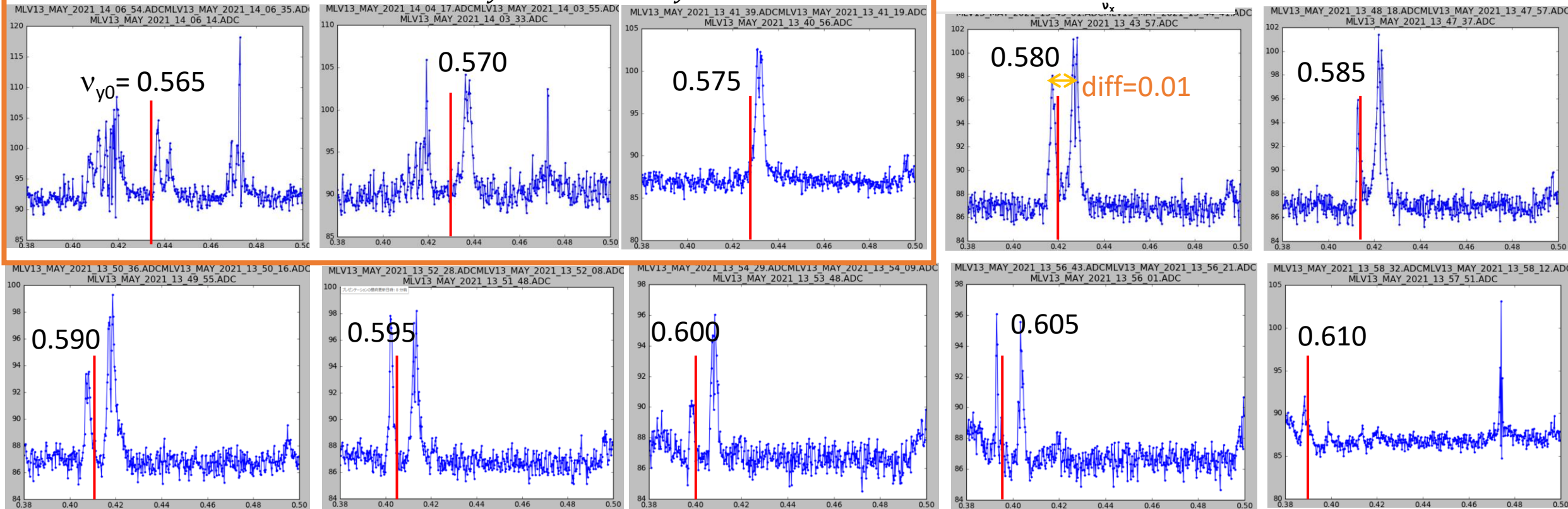
# FFT spectra of BOR data $\beta_y^*=1\text{mm}$

- Take FFT all 5120x4096 data.
- Averaging revolution periods with the weakest 300 (in 5120) FFT power.

$I=100\text{mA}$ ,  $N_b=97$   
 $v_s(\text{LER})=0.0225$   
 $dv_y/dI=0.008/\text{mA}$

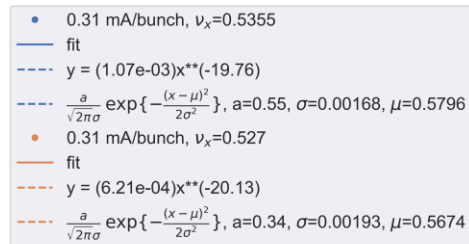
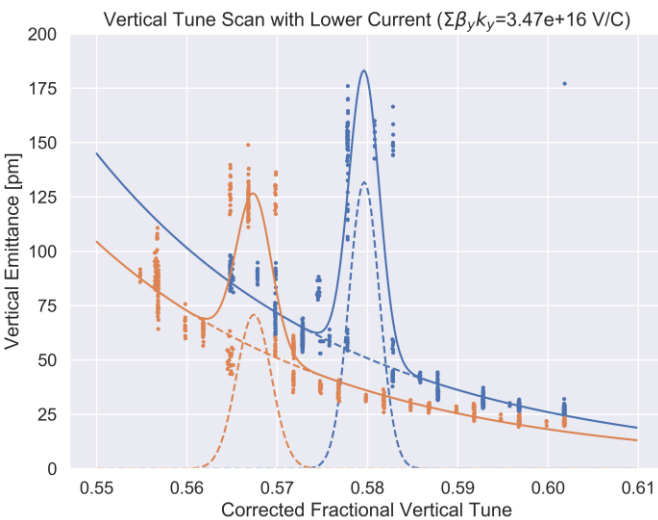
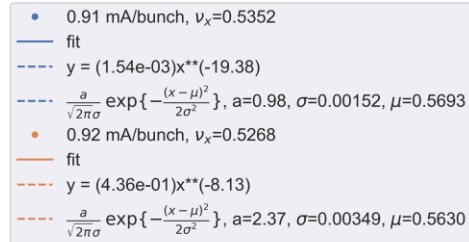
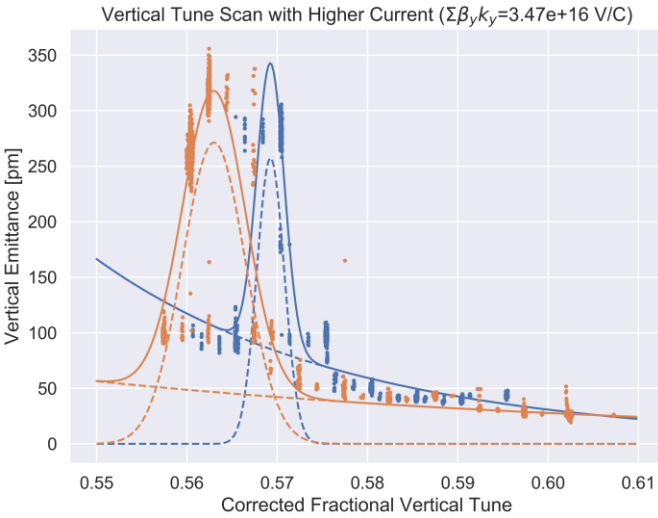


Near resonance lines  $v_x - v_y + 2v_s$  and  $2v_y - 6v_s$



Spectra  $v_y \geq 0.58$  seems ordinary behavior, still the tune separation remains 0.01 for the mode coupling.  
 Spectra  $v_y < 0.58$  is complex, perhaps coupling with  $v_x - v_y + 2v_s$  resonance.

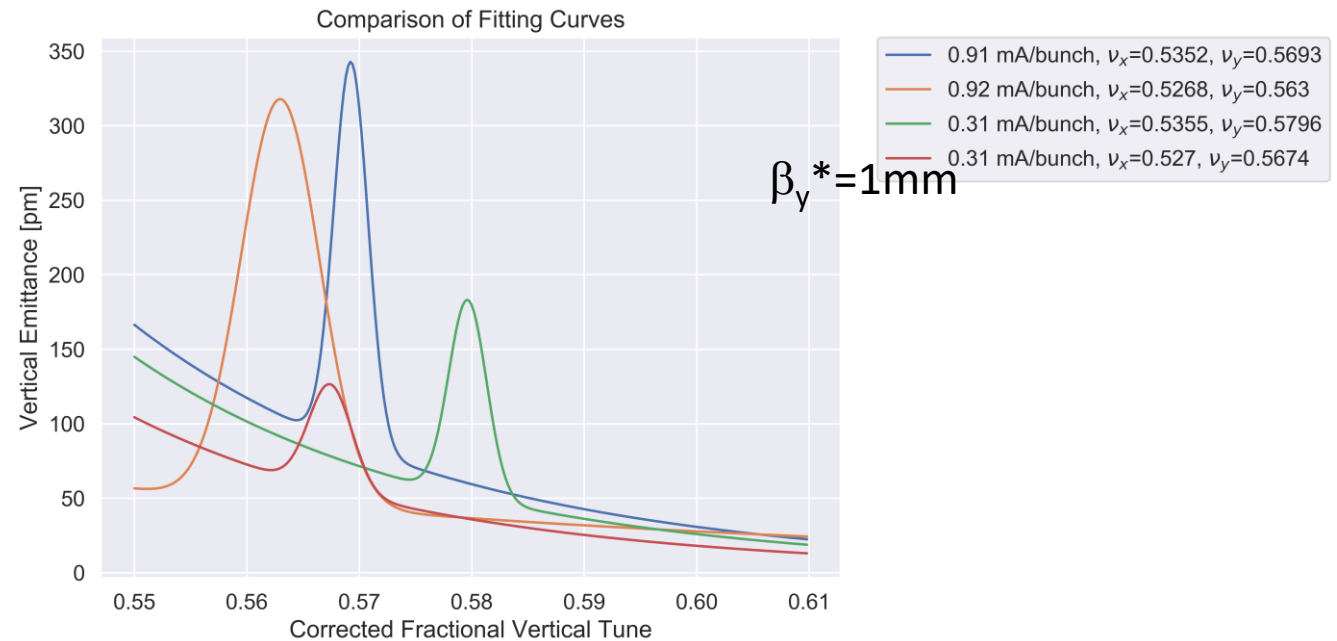
# Tune scan (corrected tune) at $\beta_y^*=8\text{mm}$



- The corrected tunes mean evaluated tunes using results of the tune shift measurement and averaged bunch current because the bunch current between the pilot bunch and the other bunches is different.

$$\nu_y = -7.21\text{e}-3 \times I_{b,ave} + (\nu_{y,model} + 0.004)$$

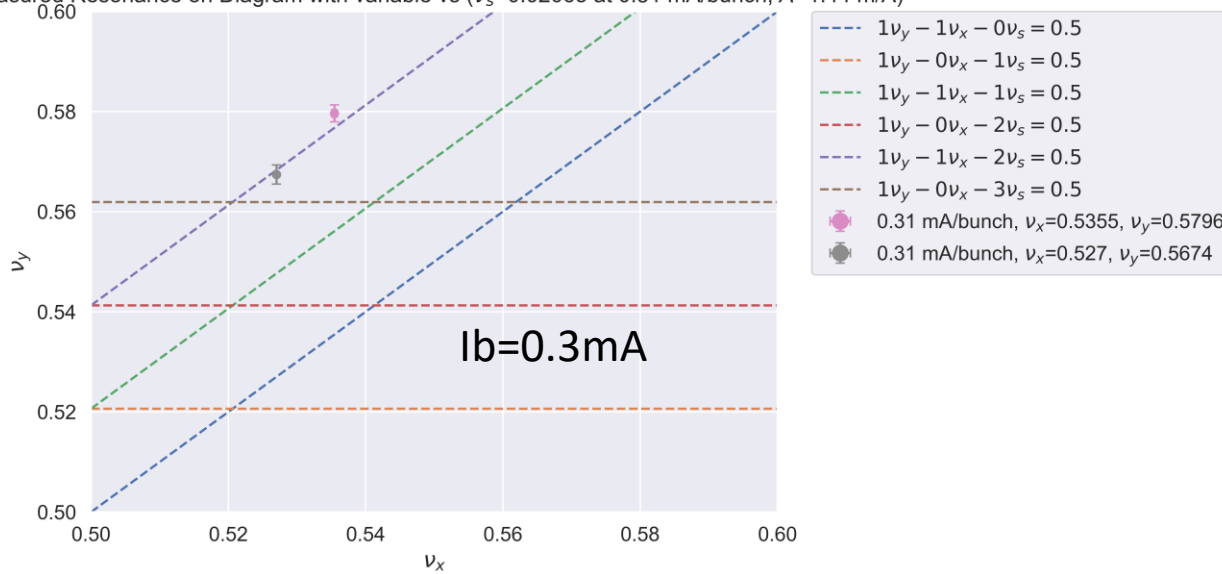
$I_{b,ave}$ : averaged bunch current



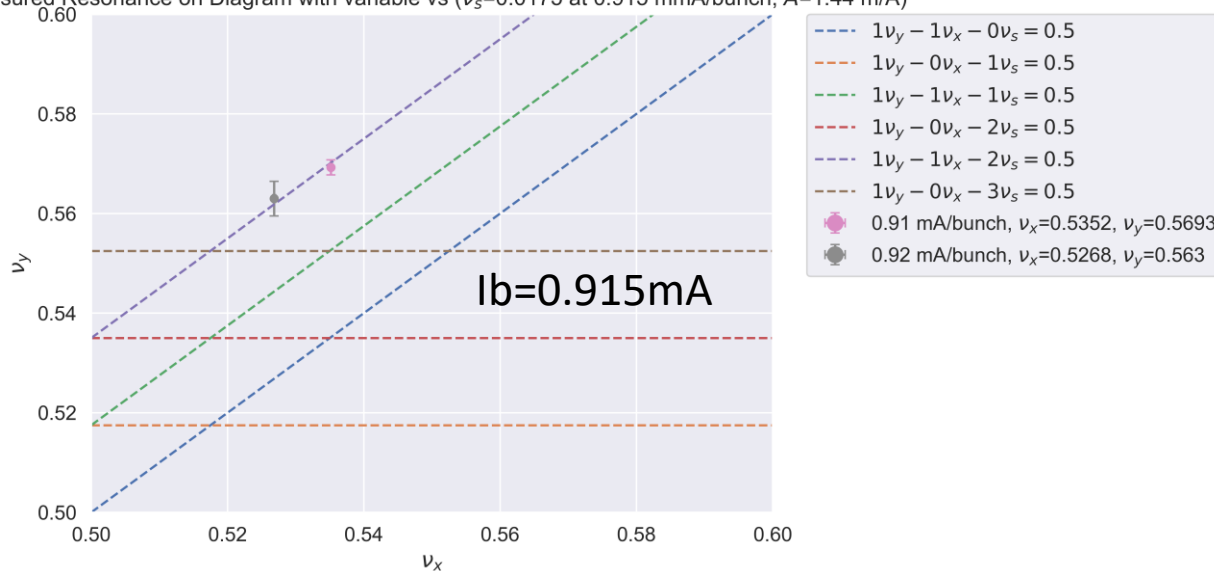
# Resonance on tune diagram (variable $\nu_s$ )

$\beta_y^*=8\text{mm}$

Measured Resonance on Diagram with variable  $\nu_s$  ( $\nu_s=0.02065$  at 0.31 mA/bunch,  $A=1.44$  m/A)



Measured Resonance on Diagram with variable  $\nu_s$  ( $\nu_s=0.0175$  at 0.915 mA/bunch,  $A=1.44$  m/A)



- Estimate the synchrotron tune shift by the bunch lengthening using parameters of the model lattice.

$$\nu_s = \frac{C \alpha_c \sigma_\delta}{2\pi \sigma_z}$$

$$\sigma_z = \sigma_{z0} + A I_b$$

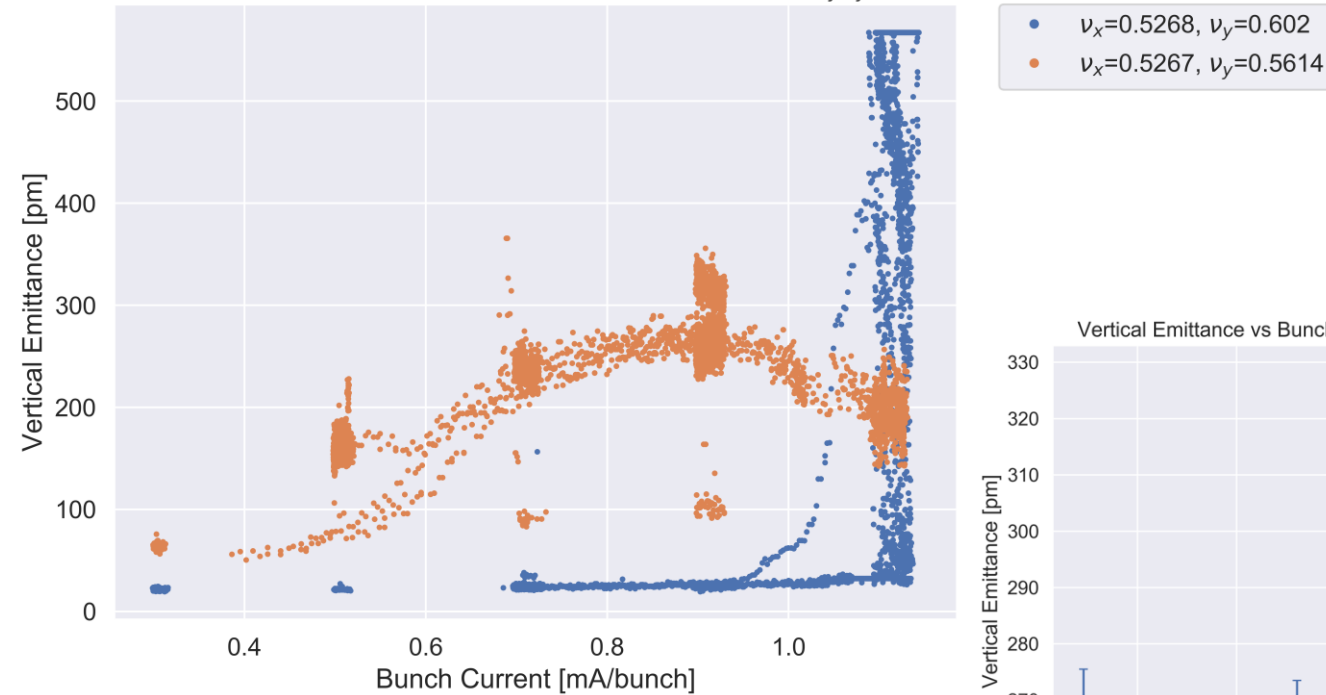
- $\rightarrow A \sim 1$  in D. Zhou's simulations.
- $\rightarrow A > 2$  In measurements using streak camera.

- We can explain that the measured resonance is caused by  $\nu_y - \nu_x - 2\nu_s$  assuming the bunch lengthening with  $A \sim 1.44$  m/A in the model lattice.

# Emittance depending on $\nu_y$ and wake strength

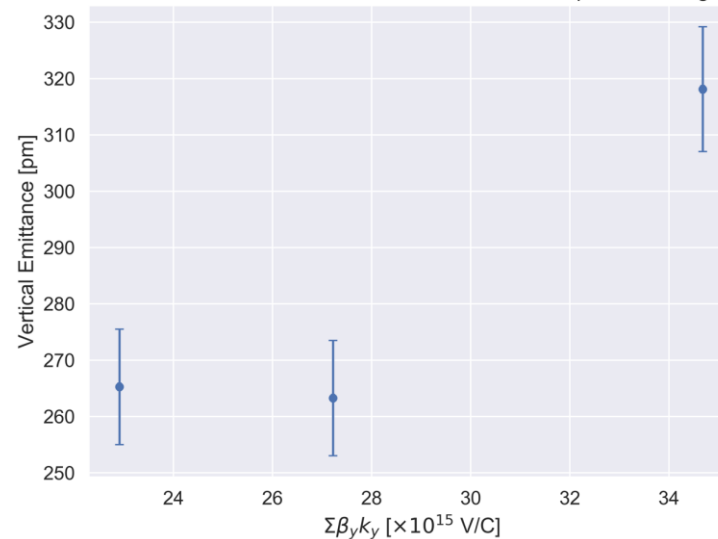
$$\beta_y^* = 8\text{mm}$$

Vertical Emittance vs Bunch Current with different vertical tunes ( $\Sigma\beta_y k_y = 3.47\text{e+16 V/C}$ )



- The vertical emittance around  $\nu_y = 0.5614$  is large even if the bunch current is low.
- When  $\nu_y > 0.6$ , the vertical emittance increases instantaneously for bunch current of  $\sim 1.1$  mA. This seems ordinary mode coupling.

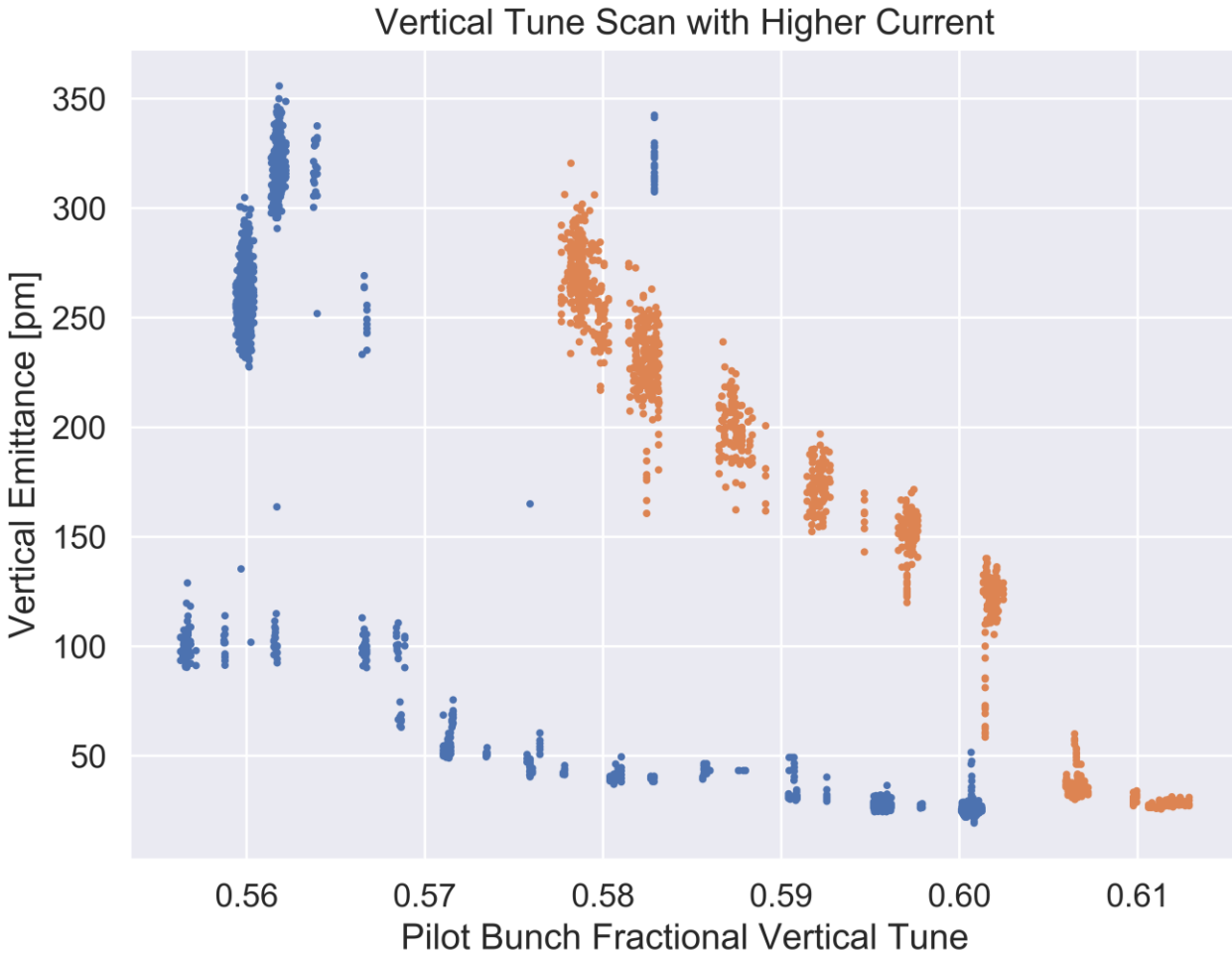
Vertical Emittance vs Bunch Current with D06V1 aperture setting



- The vertical emittance depends on the aperture of D06V1 collimator. The wake in D06V1 enhances the emittance increase.
- This indicates the wake contains x-y coupling component.

Operating point has to be chosen  $\nu_y \sim 0.6$ .

# Tune scan ( $\beta_y^*=1$ mm vs 8 mm)



- $\beta_y^* = 8$  mm, 0.92 mA/bunch,  $v_x=0.5268$ ,  $\Sigma\beta_y k_y = 3.47e+16$  [V/C], 2021-10-26
- $\beta_y^* = 1$  mm, 1.0 mA/bunch,  $v_x=0.5254$ ,  $\Sigma\beta_y k_y = 4.22e+16$  [V/C], 2021-05-13

- In  $\beta_y^*=1$  mm, the stop-band remarkably spreads.

# Synchro-beta resonances

- Transfer matrix

$$M(\delta) = M_0 M_\xi(\delta)$$

$$M_\xi(\delta) = \prod_i^{n_\delta} M_i^{-1} K_i(\delta) M_i$$

$K_i(\delta)$ : Skew Q, S ...

$M_i$ : transfer matrix to i-th element

- Normal form, chromatic coupling

$$M(\delta) = R_\xi(\delta) M_0 R_\xi^{-1}(\delta)$$

- $R_\xi(\delta)$  is observable.

$$R_\xi(\delta) = \begin{pmatrix} r_0(\delta) & 0 & & \\ 0 & r_0(\delta) & & \\ -r_1(\delta) & -r_2(\delta) & r_0 & 0 \\ -r_3(\delta) & -r_4(\delta) & 0 & r_0 \end{pmatrix}$$

- Relation of the two expression

1099

Progress of Theoretical Physics, Vol. 127, No. 6, June 2012

Symplectic Expression for Chromatic Aberrations

Yuji SEIMIYA, Kazuhito OHMI, Demin ZHOU,  
John W. FLANAGAN and Yuki Yoshi OHNISHI

$$M_\xi(\delta) = \exp(-:H:)$$

# Chromatic coupling near D6V1 ( $s=1869.97\text{m}$ ) collimator

- Up and downstream of D6V1

$\beta_y^*=1\text{mm}$

-1.42809 239.171 -1533.81

6.76406 -980.588 7090.7

8.49025 347.503 -4032.6

-9.01979 -3522.6 11672.7

MQTAFOP1  $s=1856.27\text{m}$

0-th 1st 2nd

r1 1.385e-02 4.622e+00 3.311e+02

r2 2.413e-02 6.325e+01 1.356e+02

r3 5.809e-03 1.702e+00 -1.021e+03

r4 3.335e-02 1.030e+01 7.765e+02

MQTAFOP2  $s=1905.51$

r1 -2.397e-02 3.010e-01 6.559e+02

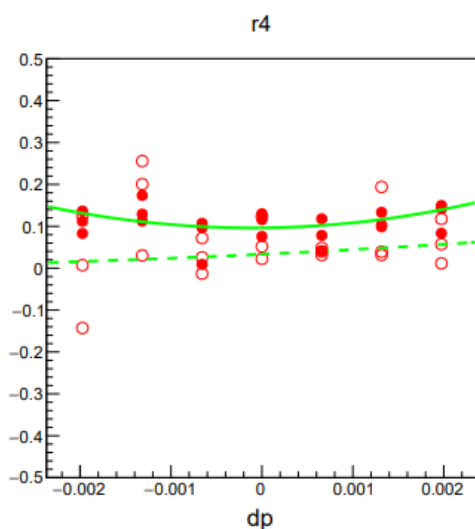
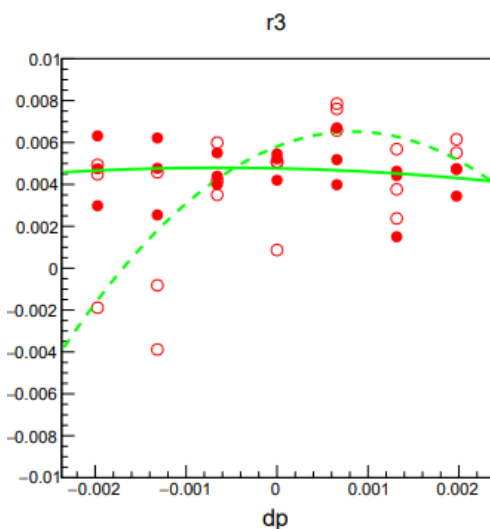
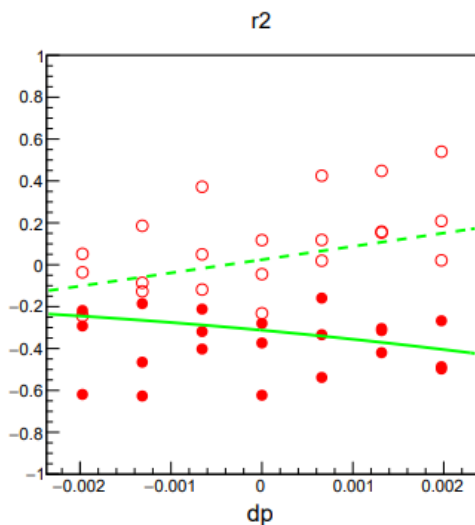
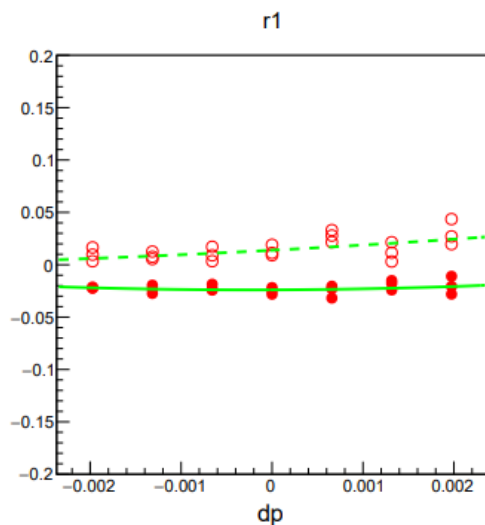
r2 -3.127e-01 -4.007e+01 -3.035e+03

r3 4.764e-03 -9.001e-02 -7.185e+01

r4 9.628e-02 2.076e+00 1.006e+04

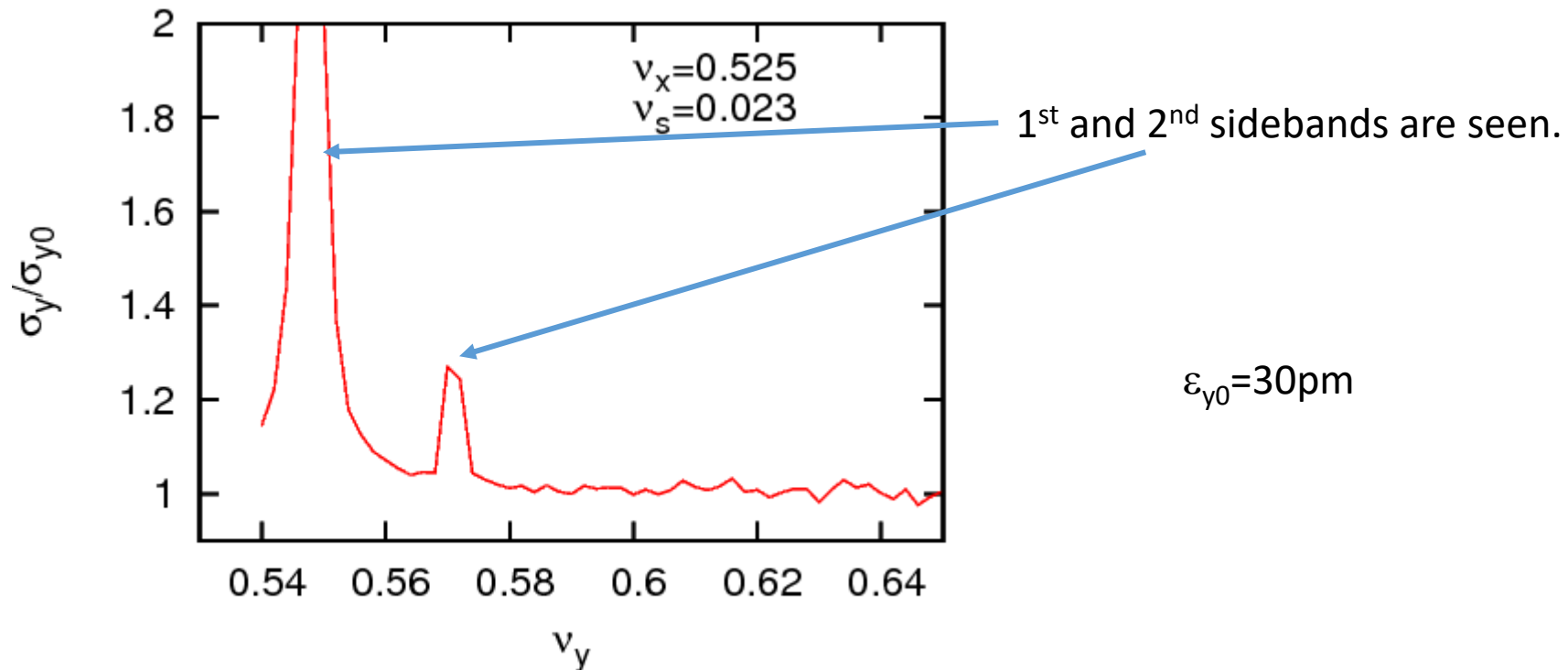
by G. Mitsuka

More accuracy is expected  
in the future.



# Beam size for tune scan

- Tune scan is performed by  $M_0 M_\xi(\delta)$ . (4x4 formalism)
  - Measure chromatic coupling.
  - Calculate  $M_\xi(\delta) = M_0^{-1} R_\xi(\delta) M_0 R_\xi^{-1}(\delta)$ .
  - Tune scan is performed by changing  $M_0$ .
  - $R_\xi(\delta)$  is changed but  $M_\xi(\delta)$  is kept in the tune scan (assumption).
  - Tracking simulation using  $M_0 M_\xi(\delta)$  with keeping  $M_\xi(\delta)$  in the tune scan.

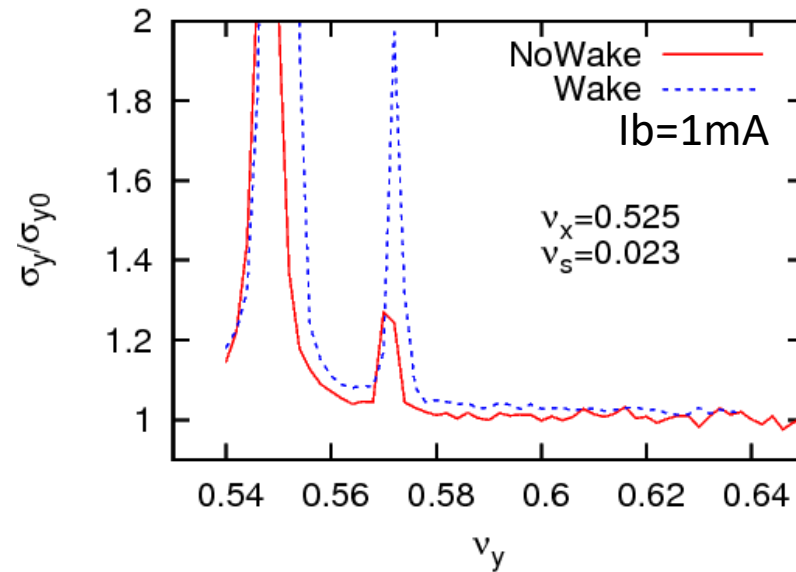
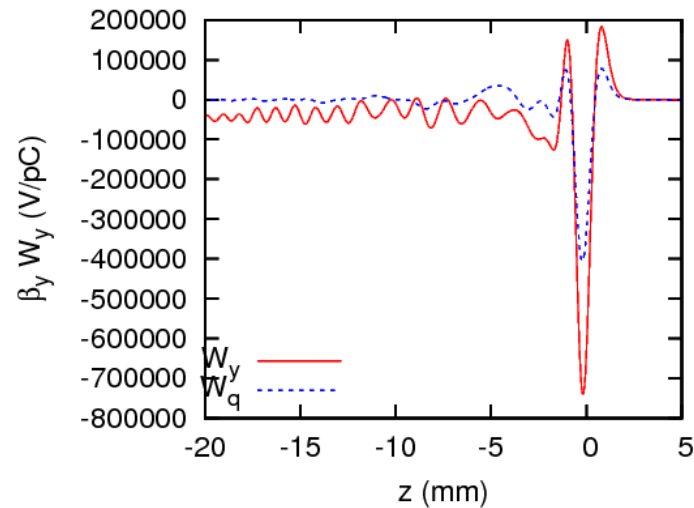




# Simulation with Wake force and chromatic coupling

- Transverse wake is considered.

## Preliminary

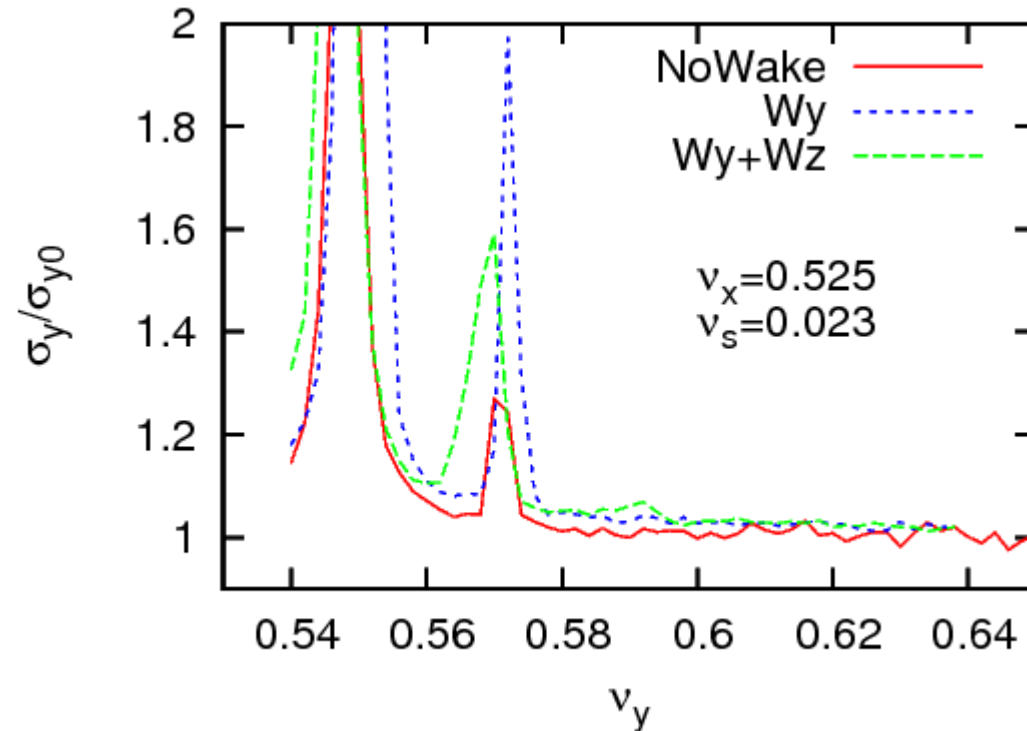
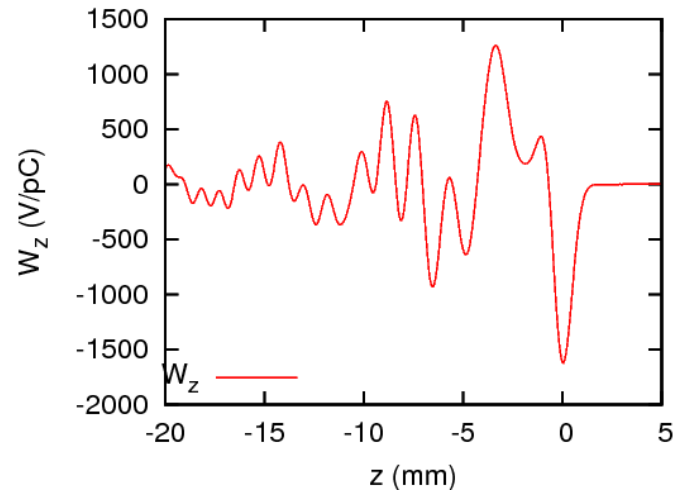


- Synchro-beta sidebands seems to be enhanced by the wake force.
- Beam is unstable at  $I_b = 1.2\text{mA}$  in all tunes. This is threshold of the ordinary TMCI. Agreement with the measurement.

# Simulation with Wake force(y+z) and chromatic coupling

- Longitudinal wake is added.

## Preliminary

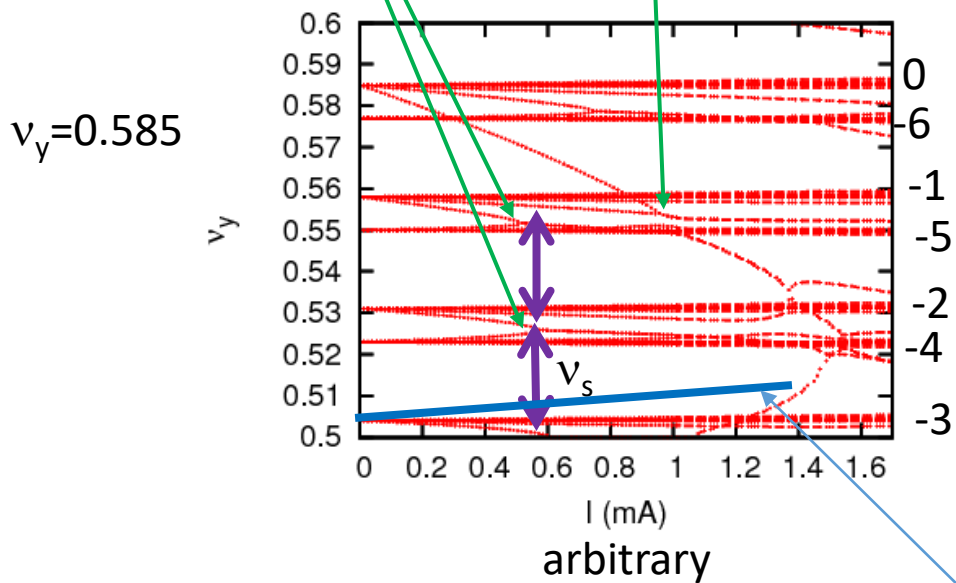


- Peaks of the synchro-beta resonances shift slightly lower.

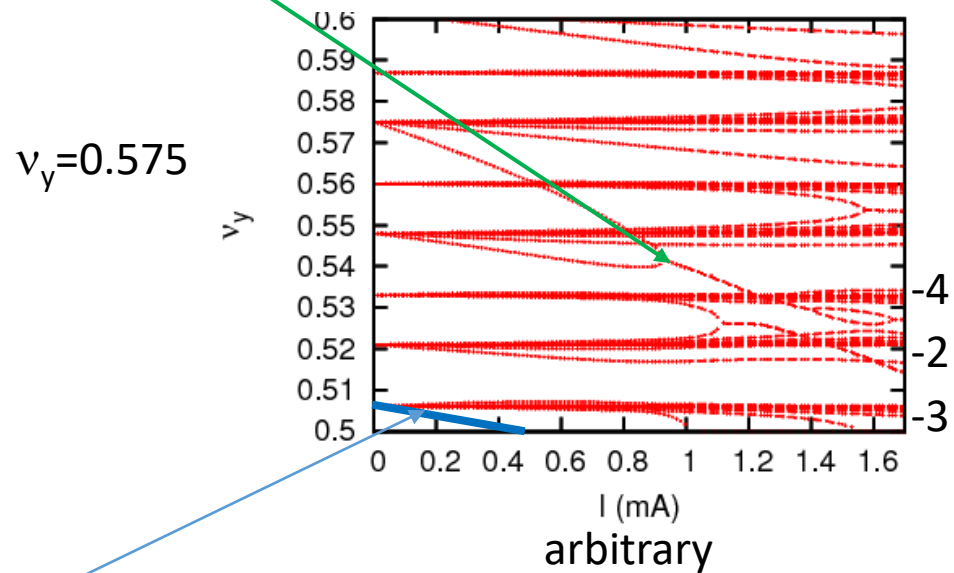
# Study for Mode coupling for localized wake

- In ordinary mode coupling, the betatron tune does not have meaning, but only tune difference between sidebands,  $\nu_s$  has meaning.
- In mode coupling due to a localized wake, the betatron tune has meaning. Sideband modes wrapped at 0.5.
- Threshold can be lower than that of ordinary TMCI.

Broad band resonator wake 50GHz, Q=1, no x-y coupling



$2\nu_y - 6\nu_s$  resonance



Experiments showed lower  $\nu_y$  was worse.

This wake induces negative tune shift for -3 mode. Positive tune shift is preferred to explain experimental results

# Study for Mode coupling for x-y-z mode

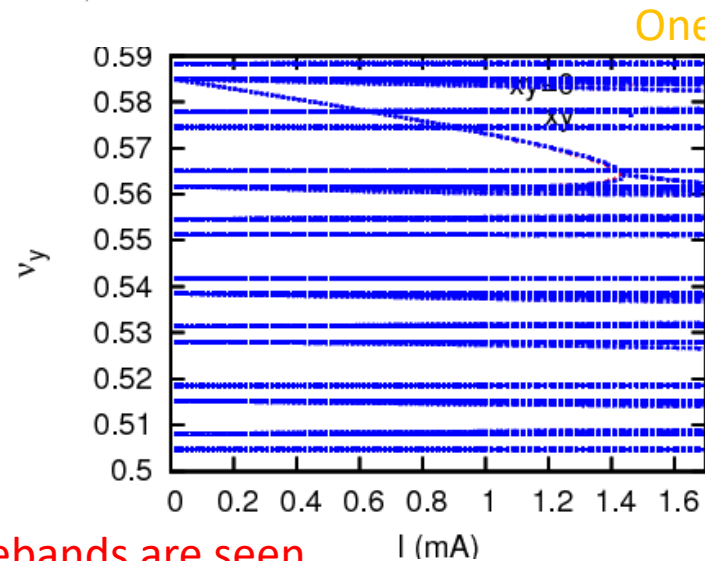
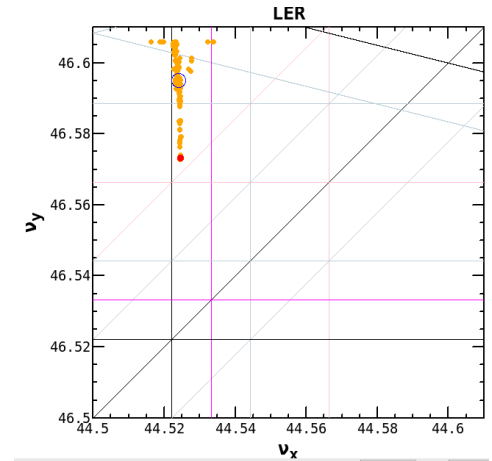
- For  $\nu_x - \nu_y - 2\nu_s$  resonance, x-y-z mode coupling

$$M_0 = e^{-2\pi i l \nu_s} R \begin{pmatrix} \cos \mu_x & \sin \mu_x & 0 & 0 \\ -\sin \mu_x & \cos \mu_x & 0 & 0 \\ 0 & 0 & \cos \mu_y & \sin \mu_y \\ 0 & 0 & -\sin \mu_y & \cos \mu_y \end{pmatrix} R^{-1}$$

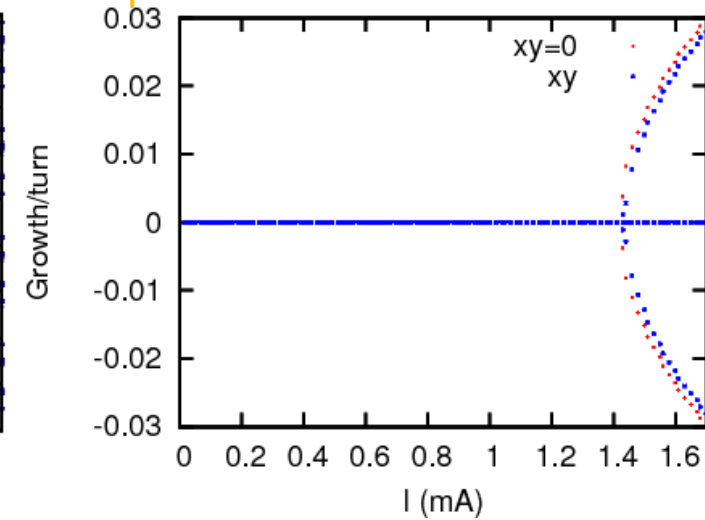
$$M_W = \begin{pmatrix} 1 & 0 & 0 & 0 \\ -W_{l,l'}^{(x)}(J, J')\psi(J')dJ' & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & -W_{l,l'}^{(y)}(J, J')\psi(J')dJ' & 1 \end{pmatrix}$$

- Eigenvalue for  $M = M_0 M_W$

Only vertical wake “d2\_s0.5\_dy0.5\_250”  
 Coupling measured by TbT data  
 $R1=0.0230$ ,  $R2=0.426$ ,  $R3=-0.00165$ ,  
 $R4=0.05457$  at D6V1 (interpolated).



One example



Both of  $\nu_x$  and  $\nu_y$  and their sidebands are seen.

# Summary

- Vertical Beam size blowup has been observed in SuperKEKB-LER.
- The threshold is lower than that of the ordinary TMCI at  $\nu_y < 0.6$ . The blowup is related to a resonance  $\nu_x - \nu_y + 2\nu_s$ .
- The threshold at  $\nu_y > 0.6$  is around  $I_b \sim 1.2\text{mA}$ , while the design is  $I_b = 1.4\text{mA}$ . This seems to be the ordinary TMCI.
- The blowup for  $\nu_x - \nu_y + 2\nu_s$  is caused by nonlinear chromatic coupling as a incoherent single particle effect.
- Increasing the bunch intensity and/or transverse impedance (collimator aperture) enhanced the blowup. It also couples to the transverse impedance.
- Squeezing  $\beta_y^*$  enhanced.
- Preliminary simulations were shown to explain the phenomena at  $\nu_y < 0.6$ .
- Accuracy of chromatic coupling measurement is expected to be improved.
- The phenomena can be explained by x-y coupling at collimator, or even only  $W_y$ .
- Measurement of the beam frequency spectrum is key to solve the TMCI (generalized) issues.

Thank you for your attention

# Equation for dipole and quadrupole wake

- Transverse motion

Quadrupole wake induced by the monopole component

- Longitudinal motion is assumed to be solved independently.

$$H = H_0 + \frac{Nr_e}{\gamma L} \left[ xF_x(z) + yF_y(z) - \frac{x^2 - y^2}{2} F_Q(z) \right]$$

$$H_0 = \frac{1}{2} \left[ p_x^2 + K_x(\delta, s)x^2 + p_y^2 + K_y(\delta, s)y^2 \right]$$

$$F_{x,y}(z) = \int_{-\infty}^{\infty} W_{x,y}(z - z') \rho_{x,y}(z') dz$$

$$F_Q(z) = \int_{-\infty}^{\infty} W_Q(z - z') \rho(z') dz$$

$$\Delta p_x(z) = -\frac{Nr_e}{\gamma} (F_x(z) - F_Q(z)x(z))$$

$$\Delta p_y(z) = -\frac{Nr_e}{\gamma} (F_y(z) + F_Q(z)y(z))$$