# Perturbative and non-perturbative aspects of renormalization and power counting in nucleon-nucleon interaction within chiral effective field theory

A. M. Gasparyan, Ruhr-Universität Bochum

in collaboration with E. Epelbaum

February 17, 2022, B3 Workshop

# **Outline**

- → LO interaction contains long- and short-range parts LO interaction is (close to) non-perturbative
- → Power counting at LO: perturbative case
- → NLO: perturbative renormalization
- → Cutoff dependence
- → Power counting at LO: non-perturbative case
- → NLO: non-perturbative renormalization
- → Summary

# **Chiral EFT**

$$\mathcal{L}_{\text{eff}} = \mathcal{L}_{\pi}^{(2)} + \mathcal{L}_{\pi N}^{(1)} + \mathcal{L}_{NN}^{(0)} + \mathcal{L}_{NN}^{(2)} + \dots$$

#### Chiral EFT

$$\mathcal{L}_{\text{eff}} = \mathcal{L}_{\pi}^{(2)} + \mathcal{L}_{\pi N}^{(1)} + \mathcal{L}_{NN}^{(0)} + \mathcal{L}_{NN}^{(2)} + \dots$$

"Perturbative" calculation of the S-matrix, spectrum, etc.

Expansion parameter: (soft scale)/(hard scale) 
$$Q=rac{q}{\Lambda_b}$$
  $q\in\{|ec{p}|\,,M_\pi\}\,,\qquad \Lambda_b\sim M_
ho$ 

## Weinberg power counting for NN chiral EFT

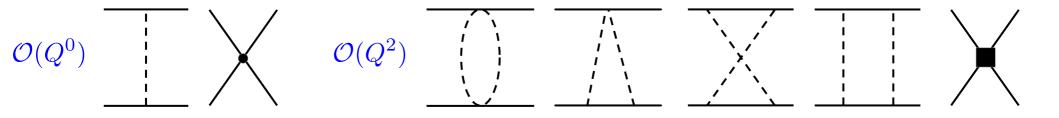
Weinberg, S., NPB363, 3 (1991)

For potential (2N-irreducible) contributions:

$$D = 2L + \sum_{i=\text{vertices}} \left( d_i + \frac{n_i}{2} - 2 \right)$$

 $d_i$  – number of derivatives and quark masses

 $n_i$  – number of nucleon fields, L – number of loops



## Weinberg power counting for NN chiral EFT

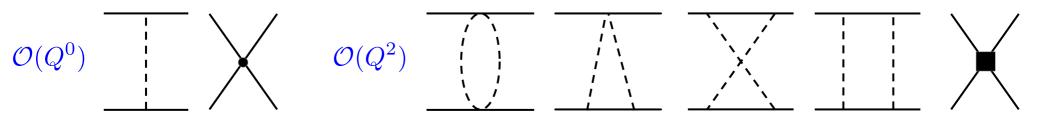
Weinberg, S., NPB363, 3 (1991)

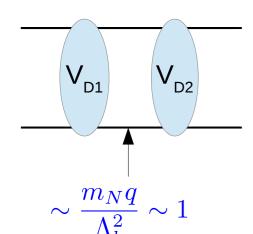
For potential (2N-irreducible) contributions:

$$D = 2L + \sum_{i=\text{vertices}} \left( d_i + \frac{n_i}{2} - 2 \right)$$

 $d_i$  – number of derivatives and quark masses

 $n_i$  – number of nucleon fields, L – number of loops





Enhancement due to the infrared singularity: Vo must be iterated

$$T_0 = V_0 + V_0 G V_0 + V_0 G V_0 G V_0 + \dots$$
$$T_2 = V_2 + V_2 G V_0 + V_0 G V_2 + V_2 G V_0 G V_0 + \dots$$

# Regularization

#### Divergent:

$$T_0 = V_0 + V_0 G V_0 + V_0 G V_0 G V_0 + \dots = \sum_{n=0}^{\infty} T_0^{[n]}, \qquad T_0^{[n]} \sim p^n$$

$$T_2 = \sum_{m,n=0}^{\infty} (V_0 G)^m V_2 (G V_0)^n = \sum_{m,n=0}^{\infty} T_2^{[m,n]}, \qquad T_2^{[m,n]} \sim p^{m+n+2}$$

# Regularization

Divergent:

$$T_0 = V_0 + V_0 G V_0 + V_0 G V_0 G V_0 + \dots = \sum_{n=0}^{\infty} T_0^{[n]}, \qquad T_0^{[n]} \sim p^n$$

$$T_2 = \sum_{m,n=0}^{\infty} (V_0 G)^m V_2 (G V_0)^n = \sum_{m,n=0}^{\infty} T_2^{[m,n]}, \qquad T_2^{[m,n]} \sim p^{m+n+2}$$

Regulator: cutoff \( \Lambda \)

# Regularization

Divergent:

$$T_0 = V_0 + V_0 G V_0 + V_0 G V_0 G V_0 + \dots = \sum_{n=0}^{\infty} T_0^{[n]}, \qquad T_0^{[n]} \sim p^n$$

$$T_2 = \sum_{m,n=0}^{\infty} (V_0 G)^m V_2 (GV_0)^n = \sum_{m,n=0}^{\infty} T_2^{[m,n]}, \qquad T_2^{[m,n]} \sim p^{m+n+2}$$

Regulator: cutoff \( \Lambda \)

Infinite number of counter terms to absorb positive powers of  $\Lambda$ 

# Infinite cutoff ( $\land >> \land_b$ )

All positive powers of  $\Lambda$  cancel

$$\left(Tpprox 1+\Lambda+\Lambda^2+\cdots=rac{1}{1-\Lambda}
ight)$$

- A. Nogga, R. Timmermans,
- U. van Kolck, **PRC72**, 054006 (2005)
- B. Long, C. Yang, **PRC85**, 034002 (2012)
- B. Long, C. J. Yang, **PRC84**, 057001 (2011)

# Infinite cutoff ( $\land >> \land_b$ )

All positive powers of  $\Lambda$  cancel

$$\left(Tpprox 1+\Lambda+\Lambda^2+\cdots=rac{1}{1-\Lambda}
ight)$$

A. Nogga, R. Timmermans,

U. van Kolck, **PRC72**, 054006 (2005)

B. Long, C. Yang, **PRC85**, 034002 (2012)

B. Long, C. J. Yang, **PRC84**, 057001 (2011)

Motivation: singular potentials

W. Frank, D. J. Land and R. M. Spector, **Rev. Mod. Phys. 43**, 36 (1971)

# Infinite cutoff ( $\land >> \land$ <sub>b</sub>)

All positive powers of  $\Lambda$  cancel

$$T pprox 1 + \Lambda + \Lambda^2 + \dots = rac{1}{1 - \Lambda}$$

A. Nogga, R. Timmermans,

U. van Kolck, **PRC72**, 054006 (2005)

B. Long, C. Yang, **PRC85**, 034002 (2012)

B. Long, C. J. Yang, **PRC84**, 057001 (2011)

Motivation: singular potentials

W. Frank, D. J. Land and R. M. Spector, **Rev. Mod. Phys. 43**, 36 (1971)

No justification beyond simplest applications

E. Epelbaum, J. Gegelia, **EPJA41**, 341 (2009)

E. Epelbaum, AM, J. Gegelia,

U.-G. Meißner, **EPJA54**, 186 (2018)

#### Finite cutoff

 $\Lambda pprox \Lambda_b$ 

Phenomenological success (NN): ≥N<sup>4</sup>LO

P. Reinert, H. Krebs, and E. Epelbaum, **EPJA54**, 86 (2018) D. R. Entem, R. Machleidt, and Y. Nosyk, **PRC96**, 024004 (2017)

#### Finite cutoff

 $\Lambda \approx \Lambda_b$ 

Phenomenological success (NN): ≥N<sup>4</sup>LO

P. Reinert, H. Krebs, and E. Epelbaum, **EPJA54**, 86 (2018)
D. R. Entem, R. Machleidt, and Y. Nosyk, **PRC96**, 024004 (2017)

Consistent with power counting?

# Power counting. Leading order.

$$T_0^{[n]} = V_0(GV_0)^n \sim \mathcal{O}(Q^0)$$

$$\Lambda \approx \Lambda_b : \int \frac{p^{n-1}dp}{(\Lambda_V)^n} \sim \left(\frac{\Lambda}{\Lambda_V}\right)^n \sim \left(\frac{\Lambda_b}{\Lambda_b}\right)^n \sim \mathcal{O}(Q^0)$$

G. P. Lepage, nucl-th/9706029

J. Gegelia, **JPG25**, 1681 (1999)

# Power counting. Leading order.

$$T_0^{[n]} = V_0 (GV_0)^n \sim \mathcal{O}(Q^0)$$

$$\Lambda \approx \Lambda_b : \int \frac{p^{n-1}dp}{(\Lambda_V)^n} \sim \left(\frac{\Lambda}{\Lambda_V}\right)^n \sim \left(\frac{\Lambda_b}{\Lambda_b}\right)^n \sim \mathcal{O}(Q^0)$$

G. P. Lepage, nucl-th/9706029 J. Gegelia, **JPG25**, 1681 (1999)

Rigorously proved under rather general conditions on V<sub>0</sub> if T<sub>0</sub> is perturbative (P-waves and higher except for  $^3P_0$ ):  $T_0 = \sum_{n=0}^{\infty} T_0^{[n]}$ 

$$T_0 = \sum_{n=0}^{\infty} T_0^{[n]}$$

$$T_0^{[n]} \leq \mathcal{M}_1 \left( \mathcal{M}_2 \frac{\Lambda}{\Lambda_V} \right)^n \qquad \qquad \mathcal{M}_1, \mathcal{M}_2 \sim 1$$

AG, E.Epelbaum, PRC 105, 024001 (2022)

#### Renormalization. NLO

Renormalization: power counting in terms of renormalized quantities

$$T_2^{[m,n]} = (V_0 G)^m V_2 (GV_0)^n \sim \mathcal{O}(Q^0) \neq \mathcal{O}(Q^2)$$

Power-counting violating contributions from momenta:  $p \sim \Lambda, p' \sim \Lambda \text{ in } V_2(p',p)$ 

$$p \sim \Lambda, p' \sim \Lambda \text{ in } V_2(p', p)$$

#### Renormalization, NLO

Renormalization: power counting in terms of renormalized quantities

$$T_2^{[m,n]} = (V_0 G)^m V_2 (GV_0)^n \sim \mathcal{O}(Q^0) \neq \mathcal{O}(Q^2)$$

Power-counting violating contributions from momenta:  $p \sim \Lambda$ ,  $p' \sim \Lambda$  in  $V_2(p', p)$ 

$$p \sim \Lambda, p' \sim \Lambda \text{ in } V_2(p', p)$$

Can be absorbed by LO contact interactions?

$$\mathbb{R}\left(T_2^{[m,n]}\right) \sim \frac{q^2}{\Lambda_b^2} \left(\frac{\Lambda}{\Lambda_V}\right)^{m+n}$$

# Power counting violation. Examples in $\chi$ PT

Covariant  $\chi$ PT, new scale:  $m_N$ 

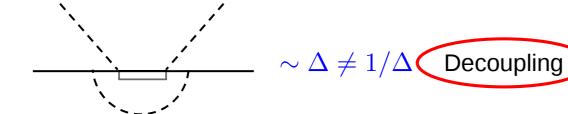
T. Becher, H. Leutwyler, **EPJC9**, 643 (1999)

T. Fuchs, et al., **PRD68**, 056005 (2003)



$$\sim m_N^3 \neq Q^3$$

 $\Delta$ -ful HB $\chi$ PT, new scale:  $\Delta = m_{\Delta} - m_{N}$ 



H. Krebs, AG, E. Epelbaum PRC98(1), 014003 (2018)

# Power counting violation. Examples in $\chi$ PT

Covariant  $\chi$ PT, new scale:  $m_N$ 

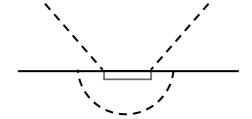
T. Becher, H. Leutwyler, **EPJC9**, 643 (1999) T. Fuchs, et al., **PRD68**, 056005 (2003)



$$\sim m_N^3 \neq Q^3$$

 $\Delta$ -ful HB $\chi$ PT, new scale:  $\Delta = m_{\Delta} - m_{N}$ 

$$\Delta = m_{\Delta} - m_{N}$$



$$\sim \Delta \neq 1/\Delta$$
 Decoupling

H. Krebs, AG, E. Epelbaum PRC98(1), 014003 (2018)

Power counting and decoupling can be restored by renormalizing lower order LECs

What is different from the standard renormalization procedure in QFT (BPHZ etc.)?

What is different from the standard renormalization procedure in QFT (BPHZ etc.)?

We do not subtract all positive powers of the cutoff Only those that are not compensated by the hard scale

What is different from the standard renormalization procedure in QFT (BPHZ etc.)?

We do not subtract all positive powers of the cutoff Only those that are not compensated by the hard scale

3-dimensional (p<sub>0</sub> is integrated out)+various forms of a regulator

What is different from the standard renormalization procedure in QFT (BPHZ etc.)?

We do not subtract all positive powers of the cutoff Only those that are not compensated by the hard scale

3-dimensional (p<sub>0</sub> is integrated out)+various forms of a regulator

Infinite number of terms. How do prefactors depend on n? Exp(n) or n!?

What is different from the standard renormalization procedure in QFT (BPHZ etc.)?

We do not subtract all positive powers of the cutoff Only those that are not compensated by the hard scale

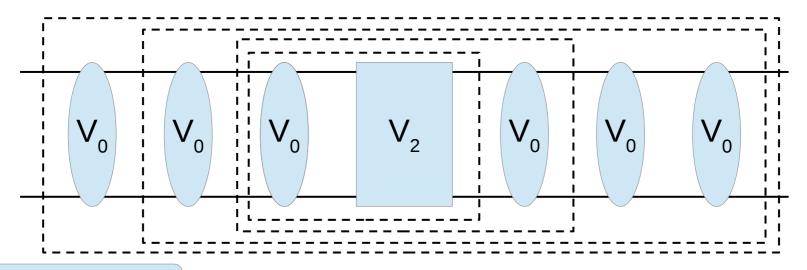
3-dimensional (p<sub>0</sub> is integrated out)+various forms of a regulator

Infinite number of terms. How do prefactors depend on n? Exp(n) or n!?

Non-perturbative effects?

# Renormalization of NLO amplitude to arbitrary order in V<sub>0</sub>. BPHZ subtraction scheme

N. N. Bogoliubov, O. S. Parasiuk, AM97, 227 (1957); K. Hepp, CMP2, 301 (1966); W. Zimmermann, CMP15, 208 (1969)



Subtraction operation:

$$\mathbb{T}(X)(p', p, p_{\text{on}}) = X(p' = 0, p = 0, p_{\text{on}} = 0)$$

Renormallized amplitude (forest formula):

(forest formula): 
$$\mathbb{R}(T_2^{[m,n]}) = T_2^{[m,n]} + \sum_{U_k \in \mathcal{F}^{m,n}} \prod_{(m_i,n_i) \in U_k} (-\mathbb{T}^{m_i,n_i}) T_2^{[m,n]}$$

$$U_k = ((m_{k,1}, n_{k,1}), (m_{k,2}, n_{k,2}), \dots), \quad m \ge m_{k,i+1} \ge m_{k,i} \ge 0, \ n \ge n_{k,i+1} \ge n_{k,i} \ge 0.$$

# Power counting in the perturbative case

AG, E.Epelbaum, PRC 105, 024001 (2022)

Convergent series in V<sub>0</sub>:

$$\mathbb{R}\left(T_{2}\right) = \sum_{m,n=0}^{\infty} \mathbb{R}\left(T_{2}^{[m,n]}\right)$$

$$\left| \mathbb{R}(T_2^{[m,n]})(p) \right| \le \mathcal{M}_1 \left( \mathcal{M}_2 \frac{\Lambda}{\Lambda_V} \right)^{m+n} \frac{p^2}{\Lambda_b^2} \log \Lambda / M_\pi$$

$$\mathcal{M}_1, \mathcal{M}_2 \sim 1$$

# Power counting in the perturbative case

AG, E.Epelbaum, PRC 105, 024001 (2022)

Convergent series in V<sub>0</sub>:

$$\mathbb{R}\left(T_{2}\right) = \sum_{m,n=0}^{\infty} \mathbb{R}\left(T_{2}^{[m,n]}\right)$$

# Cutoff dependence. Systematic study.

Regulated potential:

$$V_0 \equiv V_{\Lambda} = V_{\Lambda=\infty} + \delta V_{\Lambda}$$

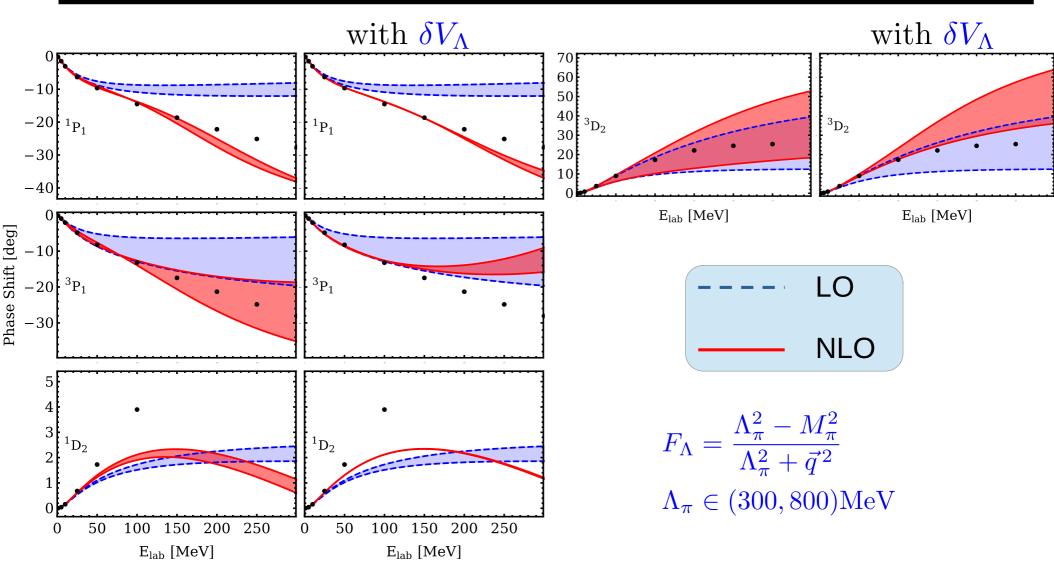
Perturbative inclusion of 
$$\delta V_\Lambda$$
:  $\delta T_2^\Lambda = (1+T_0G)\delta V_0^\Lambda (1+GT_0) \sim \mathcal{O}(Q^0)$ 

After renormalization:

$$\mathbb{R}\left(\delta T_2^{\Lambda}\right) \sim \mathcal{O}(Q^2)$$

Removing A-dependence perturbatively

# Cutoff dependence: P and D-waves. Uncoupled perturbative channels AG, E.Epelbaum, PRC 105, 024001 (2022)



Cutoff dependence with  $\delta V_{\Lambda}$  is weaker

# S-waves. Non-perturbative LO. Fredholm formula

$$T_0 = V_0 R = \bar{R} V_0$$
  $R = \frac{1}{1 - GV_0} = \frac{N}{D}, \ \bar{R} = \frac{1}{1 - V_0 G} = \frac{N}{D}$ 

Convergent series in 
$$V_0$$
:  $N = \sum_{i=0}^{\infty} N_i \, , \, \, D = \sum_{i=0}^{\infty} D_i$ 

(Quasi-) bound state:

$$D(p) \sim \frac{p}{M_{\pi}}$$

Enhancement at threshold:

$$T_0(p) = \frac{N_0(p)}{D(p)} \sim \mathcal{O}(M_{\pi}/p)$$

For 
$$p > M_{\pi}$$
:  $T_0(p) \sim \mathcal{O}(Q^0)$ 

# NLO. Using Fredholm formula.

$$T_2(p) = (1 + T_0G)V_2(1 + GT_0) = \frac{N_2(p)}{D(p)^2}$$

Convergent series in V<sub>0</sub>:

$$N = \sum_{i=0}^{\infty} N_i, \ D = \sum_{i=0}^{\infty} D_i$$

The same for the counter terms:

$$\delta T_2 = (1 + T_0 G) \delta V_0^{ct} (1 + G T_0)$$

# S-waves. NLO. Subtractions in the non-perturbative case

The series for  $R(T_2^{[m,n]})$  can be summed explicitly

$$\mathbb{R}(T_2)(p) = \sum_{m,n=0}^{\infty} \mathbb{R}\left(T_2^{[m,n]}\right)(p) = T_2(p) - T_2(p=0) \left[\frac{\psi_p(0)}{\psi_{p=0}(0)}\right]^2$$

$$\psi_p(0) = 1 + \sqrt{T_0} = 1 + \sqrt{V_0} + \sqrt{V_0} + ...$$

# S-waves. NLO. Subtractions in the non-perturbative case

The series for  $R(T_2^{[m,n]})$  can be summed explicitly

$$\mathbb{R}(T_2)(p) = \sum_{m,n=0}^{\infty} \mathbb{R}\left(T_2^{[m,n]}\right)(p) = T_2(p) - T_2(p=0) \left[\frac{\psi_p(0)}{\psi_{p=0}(0)}\right]^2$$

$$\psi_p(0) = 1 + \sqrt{T_0} = 1 + \sqrt{V_0} + \sqrt{V_0} + \dots$$

$$\mathbb{R}\left(T_2\right)\left(p=0\right)=0$$

# Non-perturbative NLO. Renormalizability. Constraints on V₀

$$\mathbb{R}(T_2)(p) = \frac{1}{D(p)^2} \left[ N_2(p) - N_2(0) \frac{N_{\psi}(p)}{N_{\psi}(0)} \right]$$

$$\psi_p(0) = N_{\psi}(p)/D(p)$$

Convergent series in V<sub>0</sub>:

$$N_2 = \sum_{i=0}^{\infty} N_{2;i} , \ N_{\psi} = \sum_{i=0}^{\infty} N_{\psi;i}$$

# Non-perturbative NLO. Renormalizability. Constraints on V₀

$$\mathbb{R}(T_2)(p) = \frac{1}{D(p)^2} \left[ N_2(p) - N_2(0) \frac{N_{\psi}(p)}{N_{\psi}(0)} \right]$$

$$\psi_p(0) = N_{\psi}(p)/D(p)$$

Convergent series in V<sub>0</sub>:

$$N_2 = \sum_{i=0}^{\infty} N_{2;i} , \ N_{\psi} = \sum_{i=0}^{\infty} N_{\psi;i}$$

# Non-perturbative NLO. Renormalizability. Constraints on V₀

$$\mathbb{R}(T_2)(p) = \frac{1}{D(p)^2} \left[ N_2(p) - N_2(0) \frac{N_{\psi}(p)}{N_{\psi}(0)} \right]$$

$$\psi_p(0) = N_{\psi}(p)/D(p)$$

Convergent series in V<sub>0</sub>:

$$N_2 = \sum_{i=0}^{\infty} N_{2;i} \,, \ N_{\psi} = \sum_{i=0}^{\infty} N_{\psi;i}$$

Power counting is restored if

$$N_{\psi}(0) \neq 0$$

Inside the region:  $V_0 o \lambda V_0 \,, \,\, |\lambda| < 1$ 

# Non-perturbative NLO. Renormalizability. Constraints on Vo

$$\mathbb{R}(T_2)(p) = \frac{1}{D(p)^2} \left[ N_2(p) - N_2(0) \frac{N_{\psi}(p)}{N_{\psi}(0)} \right] \qquad \psi_p(0) = N_{\psi}(p) / D(p)$$

$$\psi_p(0) = N_{\psi}(p)/D(p)$$

Convergent series in V
$$_0$$
:  $N_2 = \sum_{i=0}^\infty N_{2;i} \,, \,\, N_\psi = \sum_{i=0}^\infty N_{\psi;i}$ 

Power counting is restored if

$$N_{\psi}(0) \neq 0$$

Inside the region:  $V_0 \rightarrow \lambda V_0 \,, \ |\lambda| < 1$ 

$$V_0 \to \lambda V_0 \,, \ |\lambda| < 1$$

Subtraction at p=0: 
$$\mathbb{R}\left(T_2\right)(p)\sim \frac{p^2/\Lambda_b^2}{D(p)^2}\sim \mathcal{O}(Q^2)$$
 even if  $D(p)\sim \frac{p}{M_\pi}$ 

$$D(p) \sim \frac{p}{M_{\pi}}$$

# Non-perturbative NLO. Renormalizability. Constraints on Vo

$$\mathbb{R}(T_2)(p) = \frac{1}{D(p)^2} \left[ N_2(p) - N_2(0) \frac{N_{\psi}(p)}{N_{\psi}(0)} \right] \qquad \psi_p(0) = N_{\psi}(p) / D(p)$$

$$\psi_p(0) = N_{\psi}(p)/D(p)$$

Convergent series in Vo: 
$$N_2 = \sum_{i=0}^{\infty} N_{2;i} \,, \,\, N_{\psi} = \sum_{i=0}^{\infty} N_{\psi;i}$$

Power counting is restored if

$$N_{\psi}(0) \neq 0$$

Inside the region:  $V_0 \rightarrow \lambda V_0 \,, \ |\lambda| < 1$ 

Subtraction at p=0: 
$$\mathbb{R}\left(T_2\right)(p)\sim \frac{p^2/\Lambda_b^2}{D(p)^2}\sim \mathcal{O}(Q^2)$$
 even if  $D(p)\sim \frac{p}{M_\pi}$ 

$$D(p) \sim \frac{p}{M_{\pi}}$$

More constraints at higher orders!

# Non-perturbative NLO. Renormalizability. Constraints on Vo

$$\mathbb{R}(T_2)(p) = \frac{1}{D(p)^2} \left[ N_2(p) - N_2(0) \frac{N_{\psi}(p)}{N_{\psi}(0)} \right] \qquad \psi_p(0) = N_{\psi}(p) / D(p)$$

$$\psi_p(0) = N_{\psi}(p)/D(p)$$

Convergent series in V
$$_0$$
:  $N_2 = \sum_{i=0}^\infty N_{2;i}\,,\; N_\psi = \sum_{i=0}^\infty N_{\psi;i}$ 

Power counting is restored if

$$N_{\psi}(0) \neq 0$$

Inside the region:  $V_0 \rightarrow \lambda V_0 \,, \ |\lambda| < 1$ 

Subtraction at p=0: 
$$\mathbb{R}\left(T_2\right)(p)\sim \frac{p^2/\Lambda_b^2}{D(p)^2}\sim \mathcal{O}(Q^2)$$
 even if  $D(p)\sim \frac{p}{M_\pi}$ 

$$D(p) \sim rac{p}{M_{\pi}}$$

More constraints at higher orders!

The same constraints apply to the cutoff dependence

# Summary

- ✓ We considered NN Chiral EFT (LO is nonperturbative and contains longand short-range contributions) with a finite cutoff at NLO
- Power-counting breaking contributions at NLO can be absorbed by the renormalization of the LO contact interactions for perturbative LO under rather general conditions
- In the case of non-perturbative LO, the requirement of renormalizability imposes certain constraints on the LO potential