



中国科学院大学  
University of Chinese Academy of Sciences



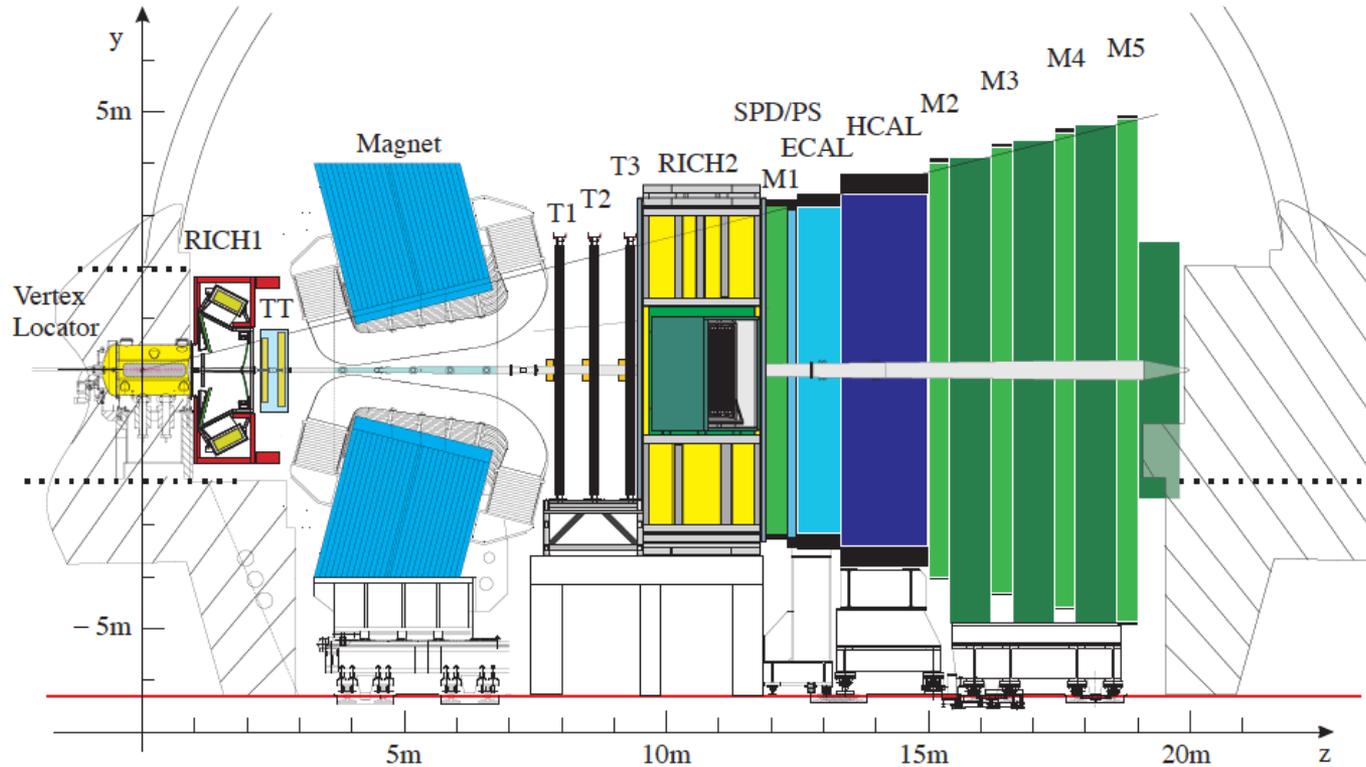
# Flavour anomalies at LHCb

何吉波 (Jibo HE)

第四届重味物理与量子色动力学研讨会

2022年7月27-30日

# The LHCb experiment



[JINST 3 (2008) S080005]

**Vertex Locator**

$$\sigma_{PV,x/y} \sim 10 \mu\text{m}, \sigma_{PV,z} \sim 60 \mu\text{m}$$

**Tracking (TT, T1-T3)**

$$\Delta p/p: 0.4\% \text{ at } 5 \text{ GeV}/c, \text{ to } 0.6\% \text{ at } 100 \text{ GeV}/c$$

**RICHs**

$$\varepsilon(K \rightarrow K) \sim 95\%, \text{ mis-ID rate } (\pi \rightarrow K) \sim 5\%$$

**Muon system (M1-M5)**

$$\varepsilon(\mu \rightarrow \mu) \sim 97\%, \text{ mis-ID rate } (\pi \rightarrow \mu) = 1 - 3\%$$

**ECAL**

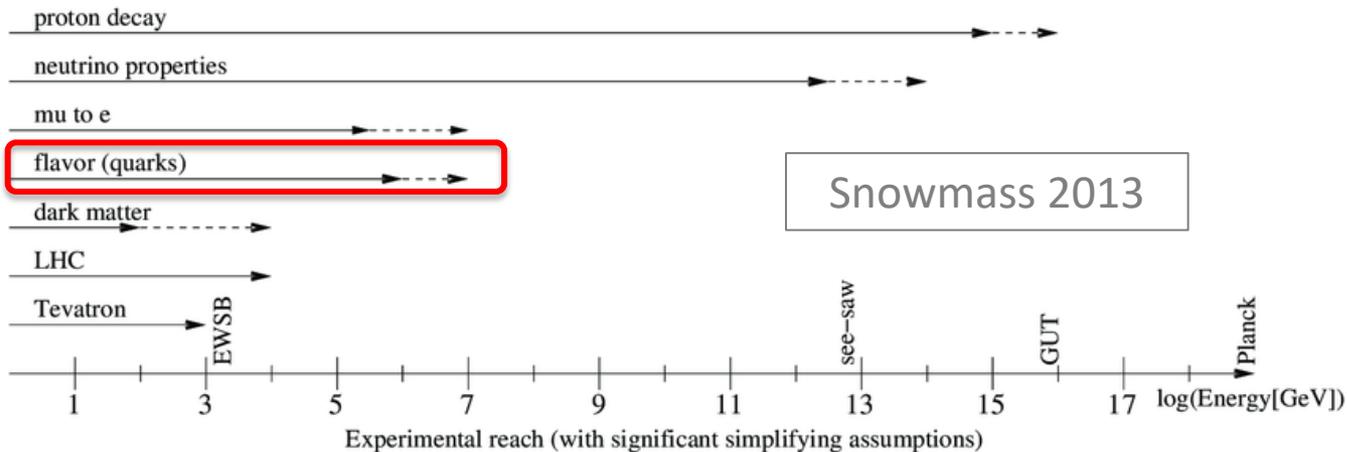
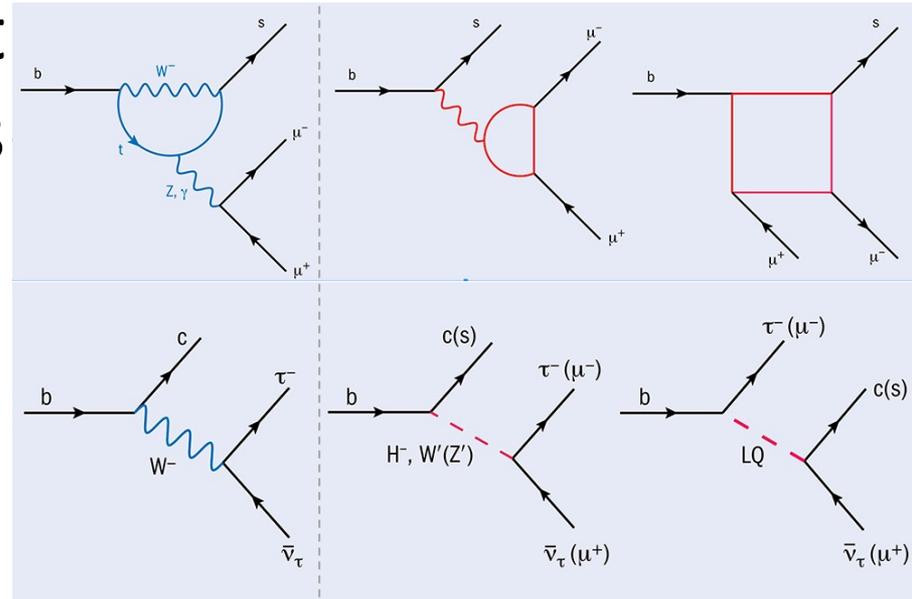
$$\sigma_E/E \sim 10\%/\sqrt{E} \oplus 1\% \text{ (} E \text{ in GeV)}$$

**HCAL**

$$\sigma_E/E \sim 70\%/\sqrt{E} \oplus 10\% \text{ (} E \text{ in GeV)}$$

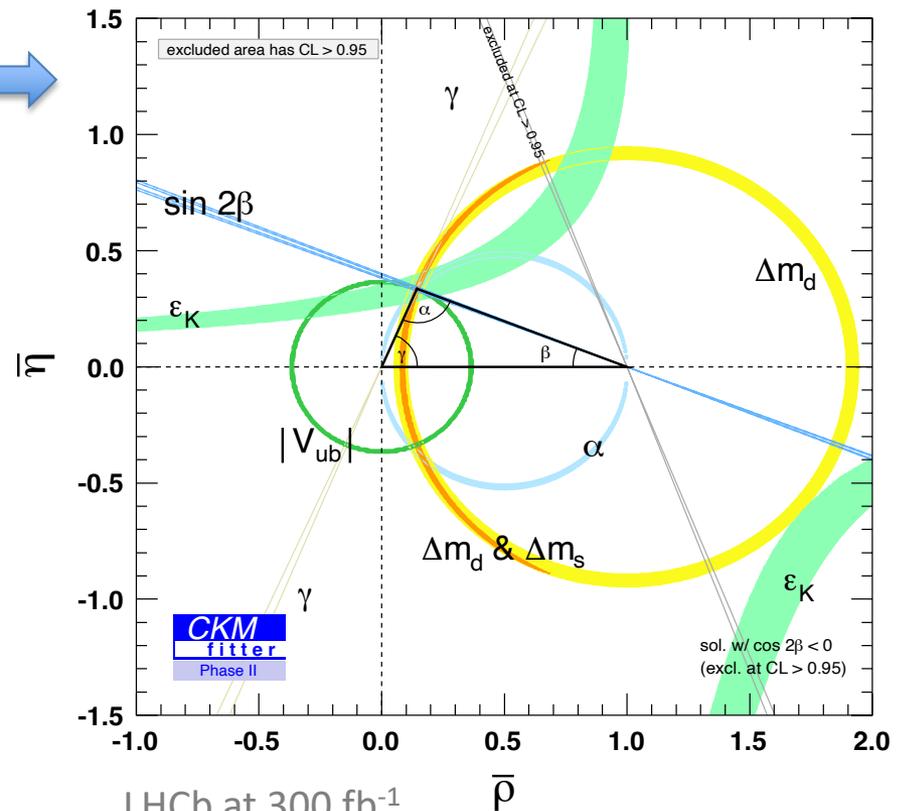
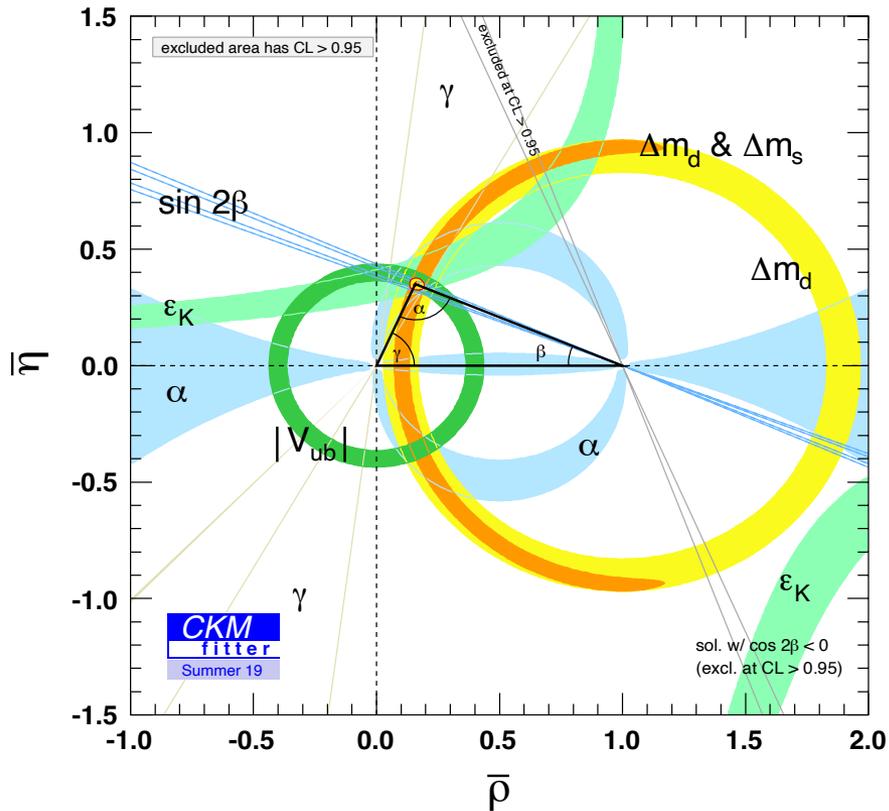
# Indirect search for New Physics

- Precision measurement of heavy hadron decays
  - Flavour-Changing NC
  - Flavour-Changing CC
- Probe New Physics at high energy scale



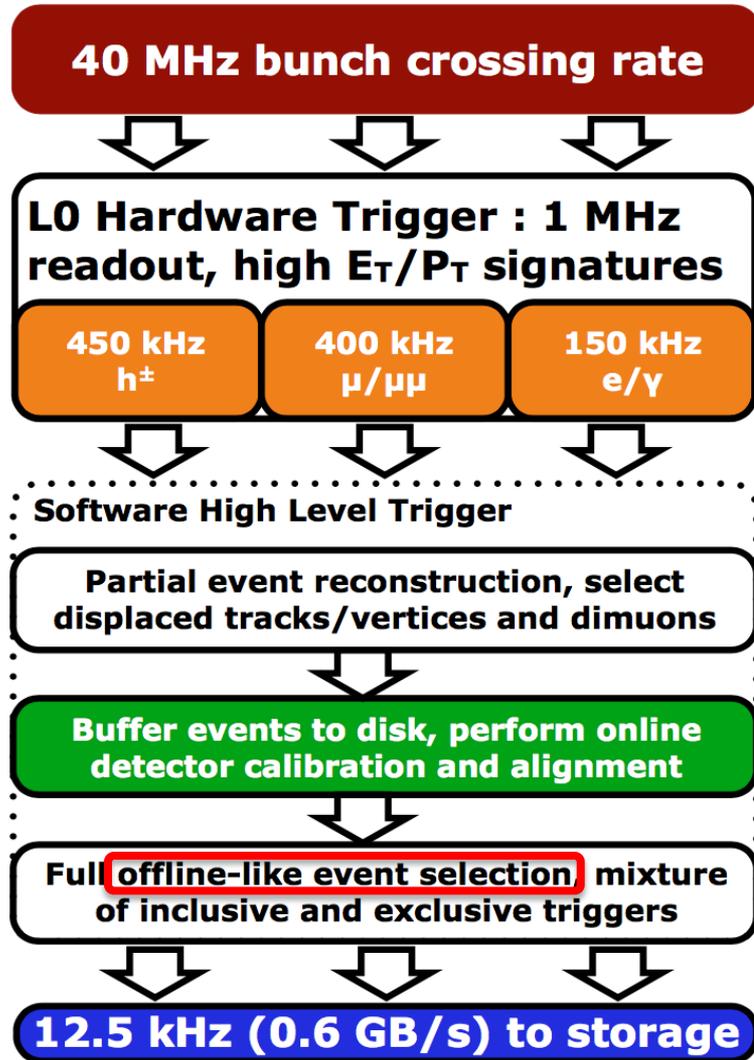
# Indirect search for NP (cont.)

- Overconstrain the CKM triangle



LHCb at  $300 \text{ fb}^{-1}$ ,  
CMS/ATLAS at  $3000 \text{ fb}^{-1}$ , Belle II at  $50 \text{ ab}^{-1}$ .

# The LHCb trigger (2018)



- L0, Hardware

- $p_T(\mu_1) \times p_T(\mu_2) > (1.5 \text{ GeV})^2$

- $p_T(\mu) > 1.8 \text{ GeV}$

- $E_T(e) > 2.4 \text{ GeV}$

- $E_T(\gamma) > 3.0 \text{ GeV}$

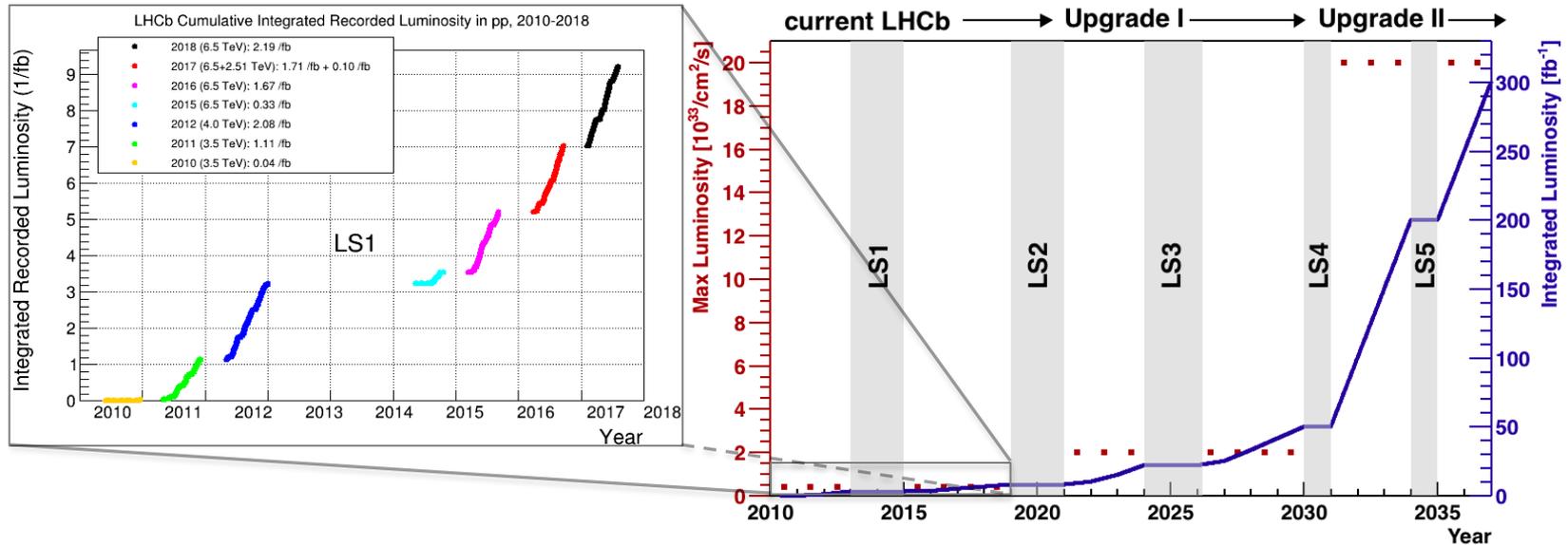
- $E_T(h) > 3.7 \text{ GeV}$

- High Level Trigger

- Stage1,  $p_T$ , IP

- Stage2, full selection

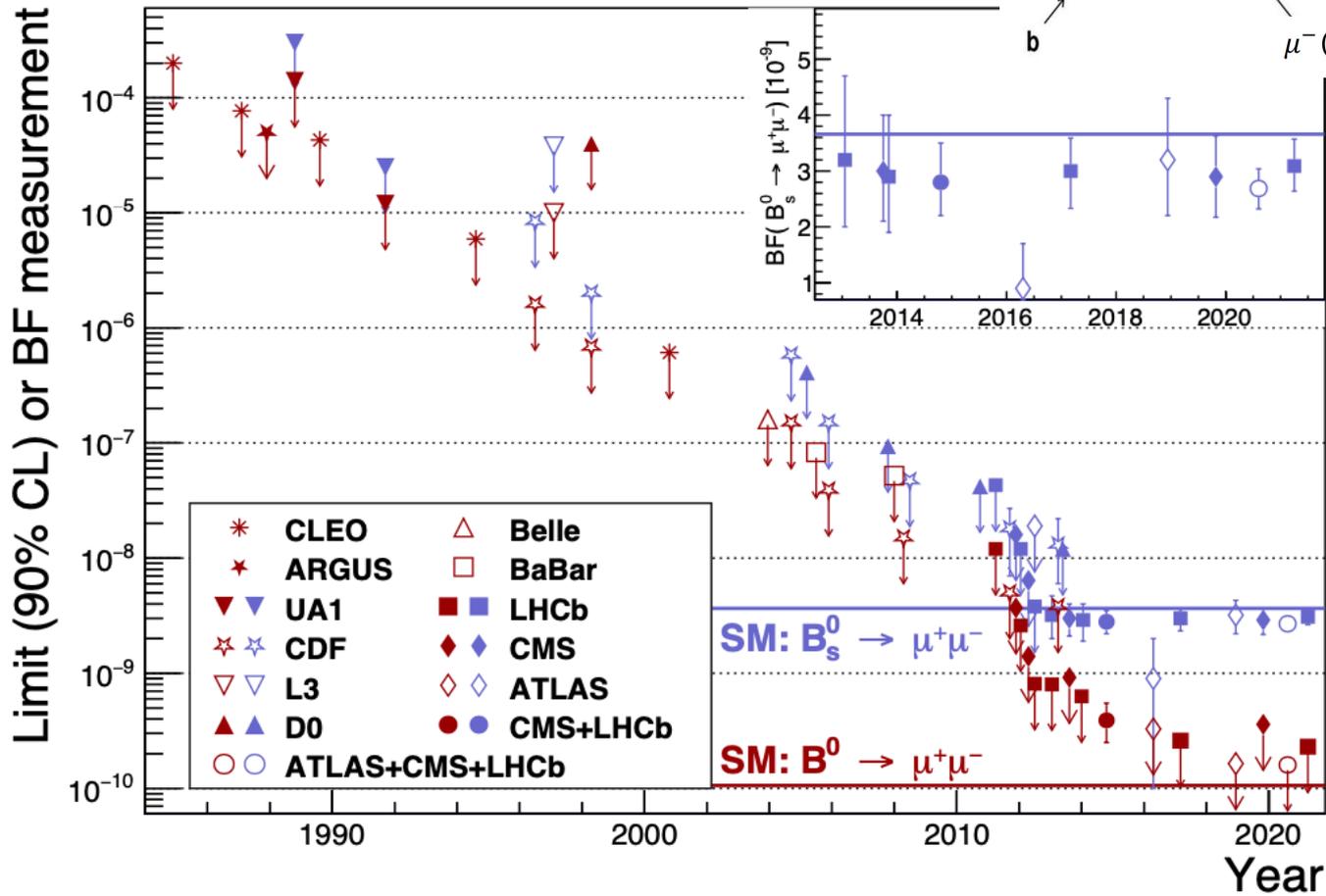
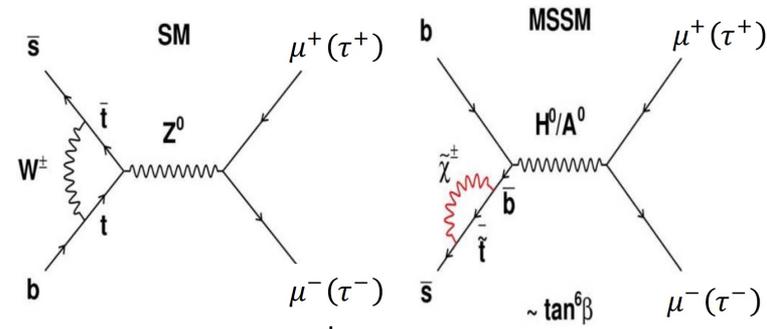
# LHCb luminosity prospects



LHC era		HL-LHC era		
Run 1 (2010-12)	Run 2 (2015-18)	Run 3 (2022-24)	Run 4 (2027-30)	Run 5+ (2031+)
3 fb <sup>-1</sup>	6 fb <sup>-1</sup>	23 fb <sup>-1</sup>	46 fb <sup>-1</sup>	>300 fb <sup>-1</sup> ??
		<b>Phase-1 Upgrade!!</b>	<b>Phase-1b Upgrade!?</b>	<b>Phase-2 Upgrade??</b>

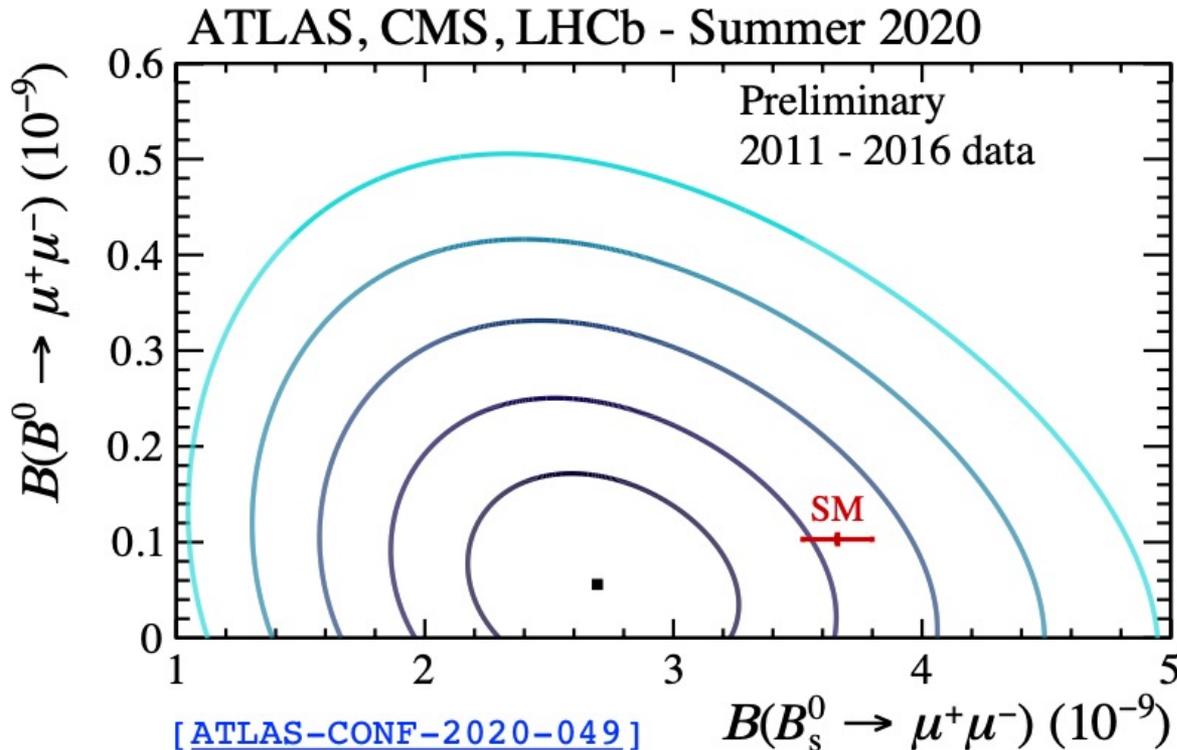
$$B_{(s)}^0 \rightarrow \mu^+ \mu^-$$

- Road to  $B_{(s)}^0 \rightarrow \mu^+ \mu^-$



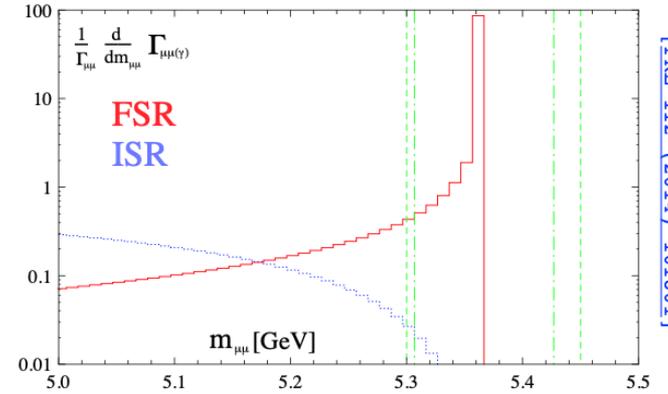
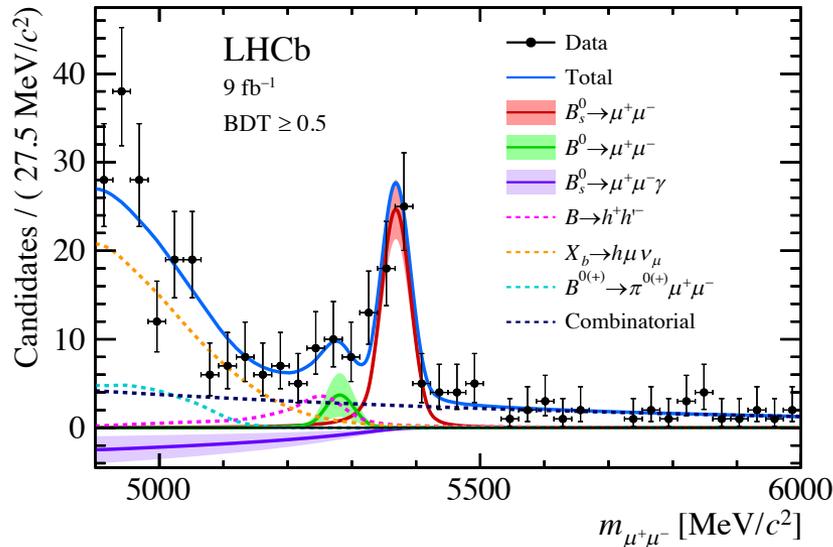
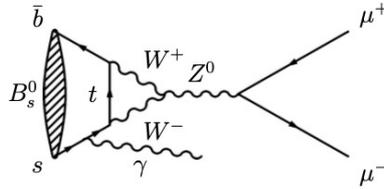
# $B_{(s)}^0 \rightarrow \mu^+ \mu^-$ , 2020 combination

- $B_S^0 \rightarrow \mu^+ \mu^-$  observed in single experiment(s)  
LHCb ( $4.6 \text{ fb}^{-1}$ ):  $7.8\sigma$ , [ATLAS \( \$26 \text{ fb}^{-1}\$ \):  \$4.6\sigma\$](#) , CMS ( $61 \text{ fb}^{-1}$ ):  $5.6\sigma$
- Still compatible with SM, room for NP?

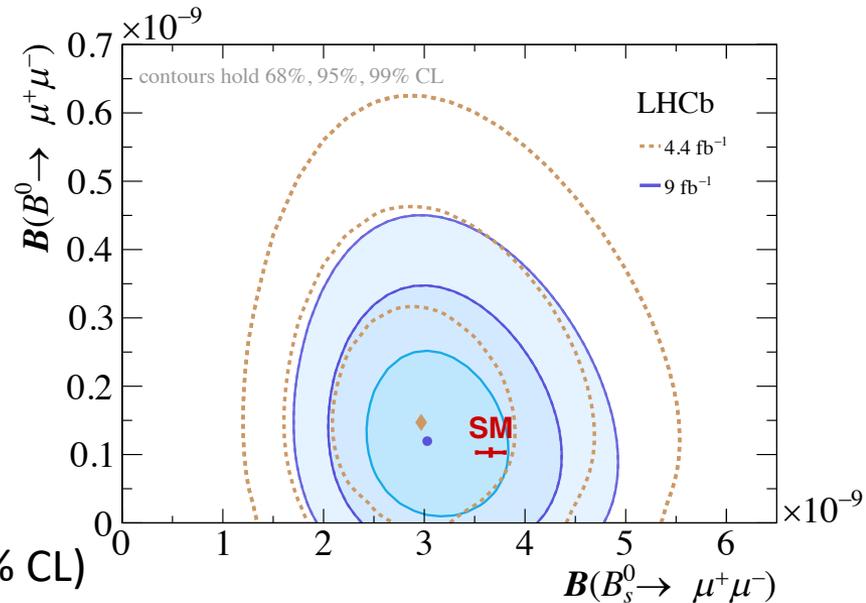


# $B_{(s)}^0 \rightarrow \mu^+ \mu^-$ at LHCb

- Using all data, first limit on  $B_s^0 \rightarrow \mu^+ \mu^- \gamma$



[PRL 112 (2014) 101801]



$$\mathcal{B}(B_s^0 \rightarrow \mu^+ \mu^-) = (3.09^{+0.46}_{-0.43} \text{ } ^{+0.15}_{-0.11}) \times 10^{-9}$$

$$\mathcal{B}(B^0 \rightarrow \mu^+ \mu^-) < 2.6 \times 10^{-10} \text{ (95\% CL)}$$

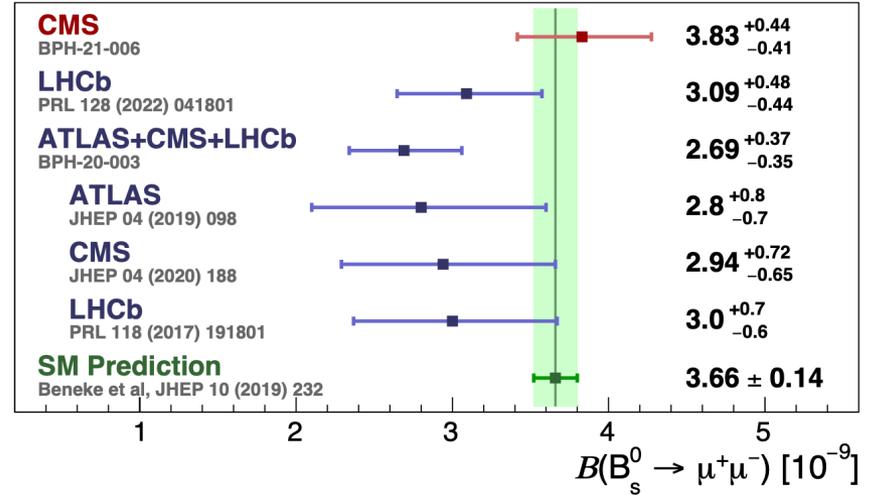
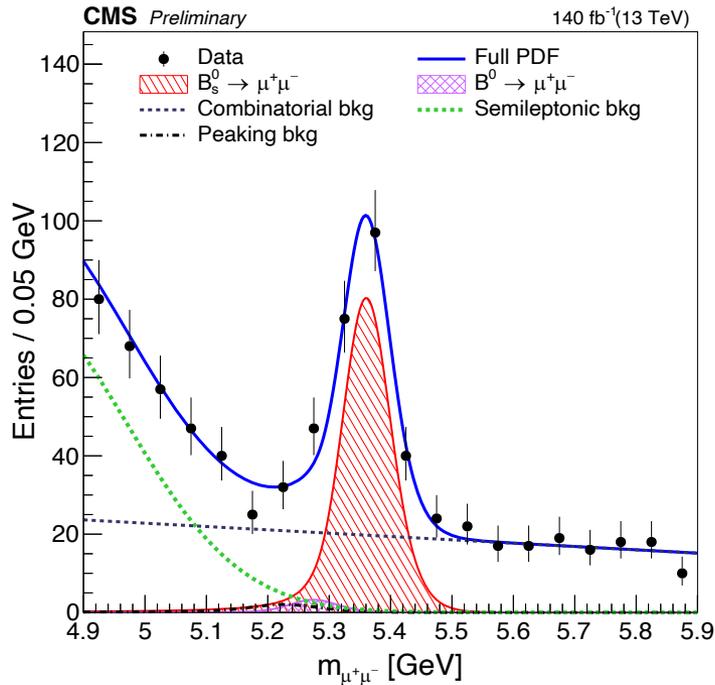
$$\mathcal{B}(B_s^0 \rightarrow \mu^+ \mu^- \gamma)_{m_{\mu^+ \mu^-} > 4.9 \text{ GeV}} < 2.0 \times 10^{-9} \text{ (95\% CL)}$$

[PRL 128 (2022) 041801]

# $B_{(s)}^0 \rightarrow \mu^+ \mu^-$ at CMS

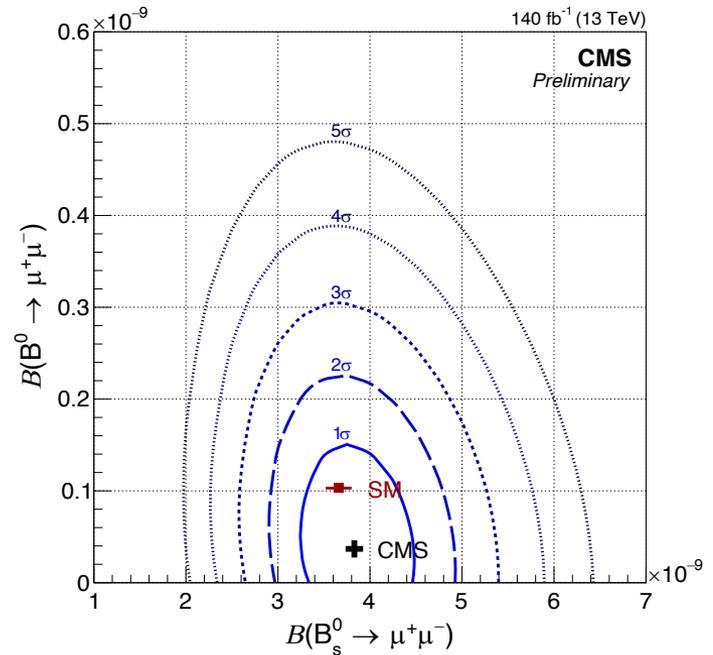
- Using 2016-2018 data

[CMS PAS BPH-21-006]



$$B(B_s^0 \rightarrow \mu^+ \mu^-) = (3.95^{+0.39}_{-0.37} \text{ } ^{+0.27}_{-0.22} \text{ } ^{+0.21}_{-0.19}) \times 10^{-9}$$

$$B(B^0 \rightarrow \mu^+ \mu^-) < 1.9 \times 10^{-10} \text{ (95\% CL)}$$



# $B_s^0 \rightarrow \mu^+ \mu^-$ effective lifetime

- $B_s^0$  mixing  $\Rightarrow$  effective  $\tau$

$$\tau_{\mu^+\mu^-} = \frac{\tau_{B_s}}{1 - y_s^2} \left[ \frac{1 + 2A_{\Delta\Gamma}^{\mu^+\mu^-} y_s + y_s^2}{1 + A_{\Delta\Gamma}^{\mu^+\mu^-} y_s} \right]$$

$$A_{\Delta\Gamma}^{\mu^+\mu^-} \equiv \frac{R_H^{\mu^+\mu^-} - R_L^{\mu^+\mu^-}}{R_H^{\mu^+\mu^-} + R_L^{\mu^+\mu^-}} \quad A_{\Delta\Gamma} = 1 \text{ in SM}$$

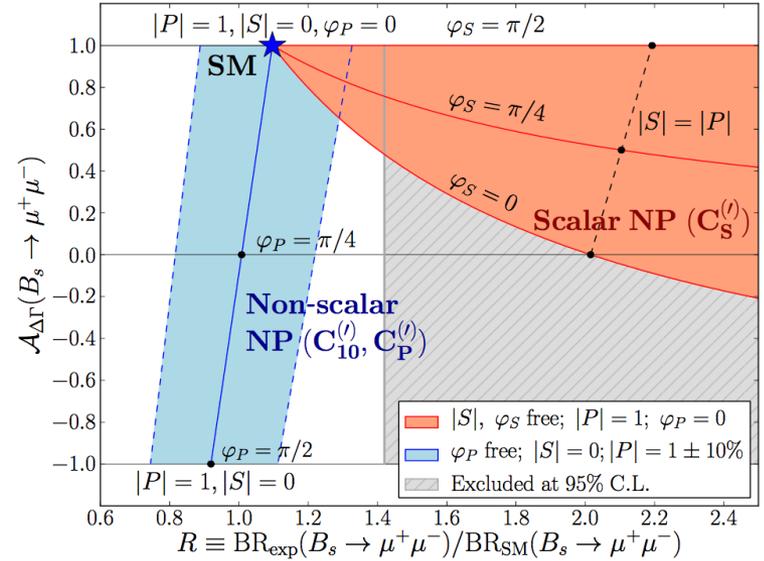
$$y_s = \frac{\Delta\Gamma_s}{2\Gamma_s}$$

- Measured by LHCb/CMS, not yet sensitive to  $A_{\Delta\Gamma}$

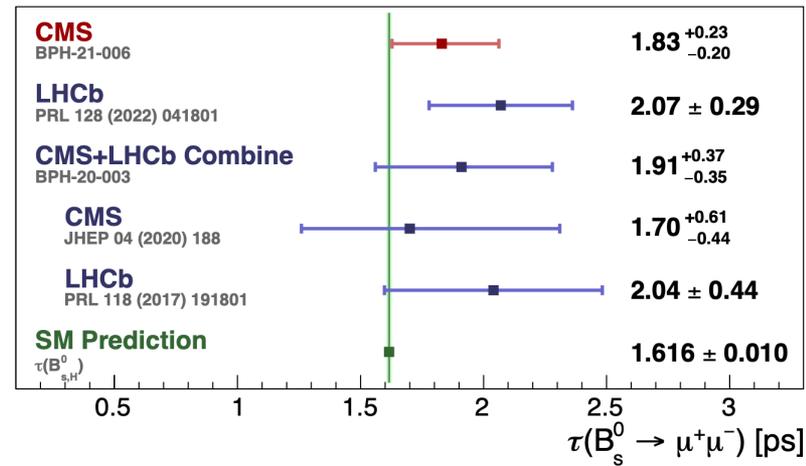
$$\tau_{\mu\mu} = 2.07 \pm 0.29 \pm 0.03 \text{ ps}$$

$$1.83^{+0.23}_{-0.20} {}^{+0.04}_{-0.04} \text{ ps}$$

[CMS-PAS-BPH-21-006]

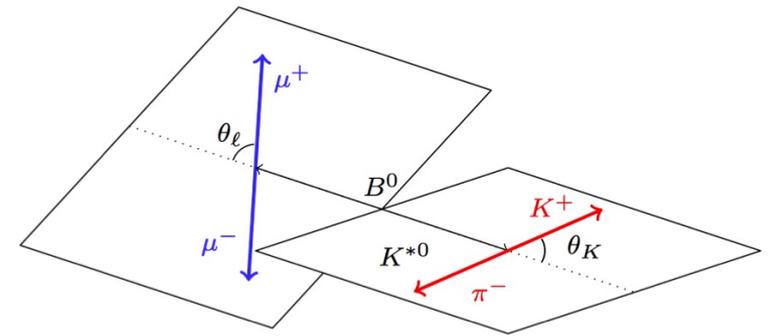
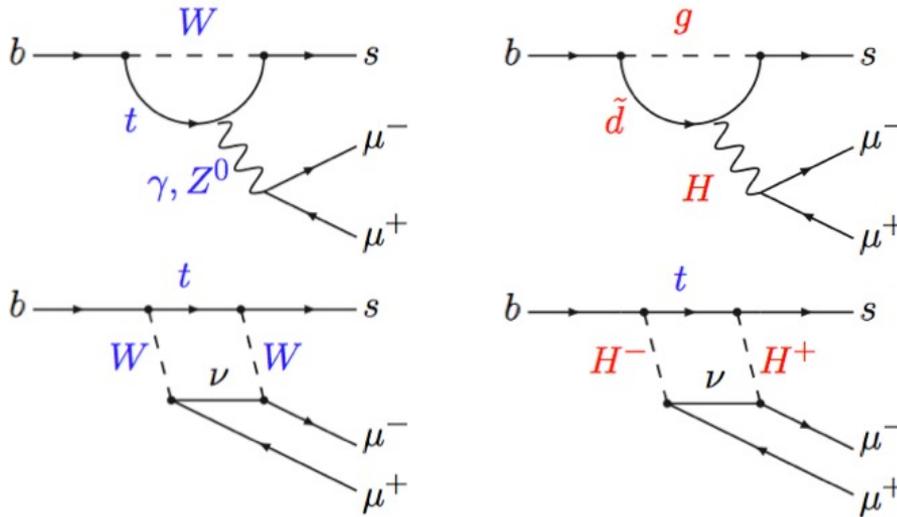


[De Bruyn *et al.*, PRL 109 (2012) 041801]



$$B^0 \rightarrow K^{*0} \mu^+ \mu^-$$

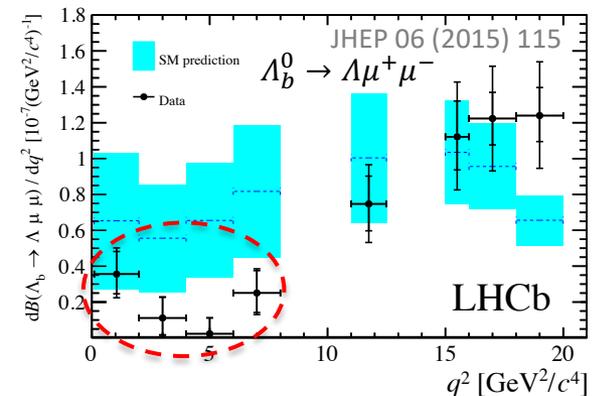
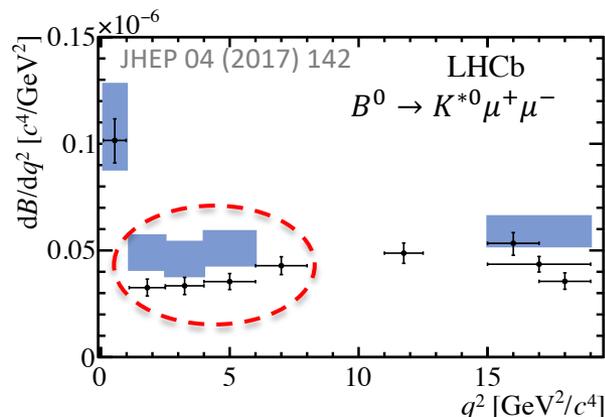
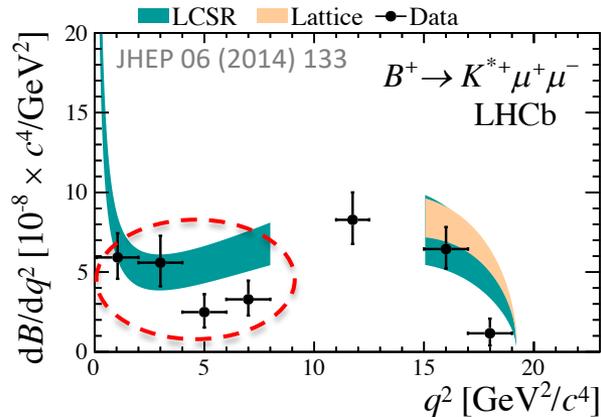
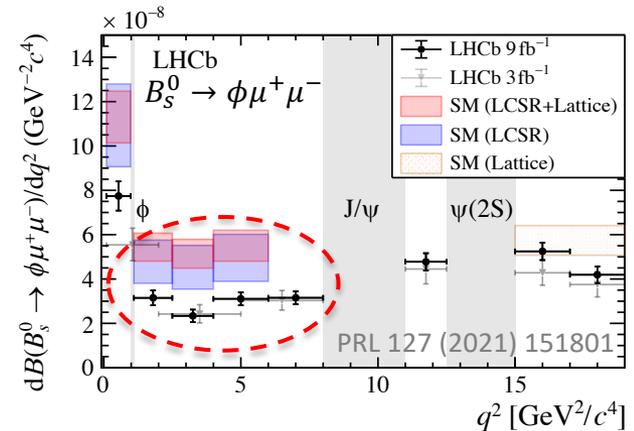
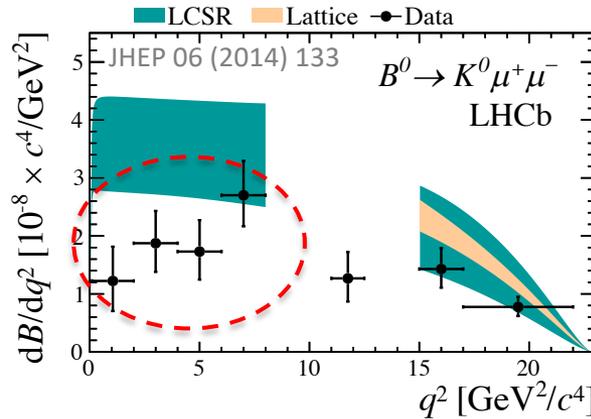
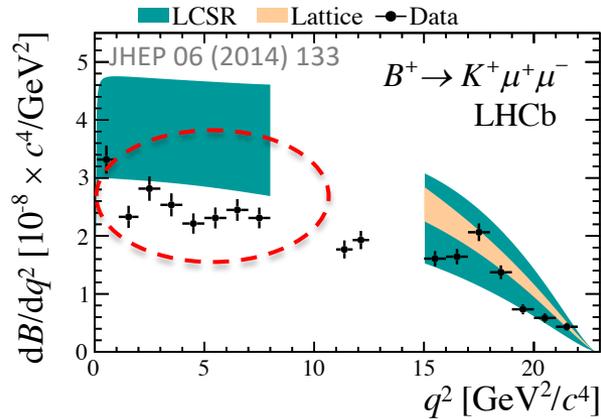
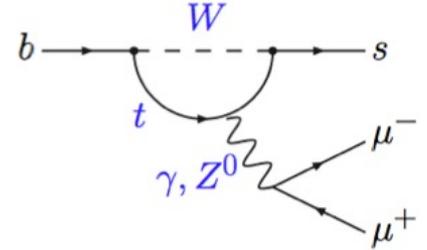
- Rates and angular distributions sensitive to NP



$$\frac{1}{d(\Gamma + \bar{\Gamma})/dq^2} \frac{d^3(\Gamma + \bar{\Gamma})}{d\bar{\Omega}} = \frac{9}{32\pi} \left[ \frac{3}{4}(1 - F_L) \sin^2 \theta_K + F_L \cos^2 \theta_K + \frac{1}{4}(1 - F_L) \sin^2 \theta_K \cos 2\theta_\ell \right. \\ \left. - F_L \cos^2 \theta_K \cos 2\theta_\ell + S_3 \sin^2 \theta_K \sin^2 \theta_\ell \cos 2\phi \right. \\ \left. + S_4 \sin 2\theta_K \sin 2\theta_\ell \cos \phi + S_5 \sin 2\theta_K \sin \theta_\ell \cos \phi \right. \\ \left. + \frac{4}{3} A_{FB} \sin^2 \theta_K \cos \theta_\ell + S_7 \sin 2\theta_K \sin \theta_\ell \sin \phi \right. \\ \left. + S_8 \sin 2\theta_K \sin 2\theta_\ell \sin \phi + S_9 \sin^2 \theta_K \sin^2 \theta_\ell \sin 2\phi \right]$$

# Branching fraction of $b \rightarrow s \mu^+ \mu^-$

- Pattern of tensions seen, theoretical uncertainty?

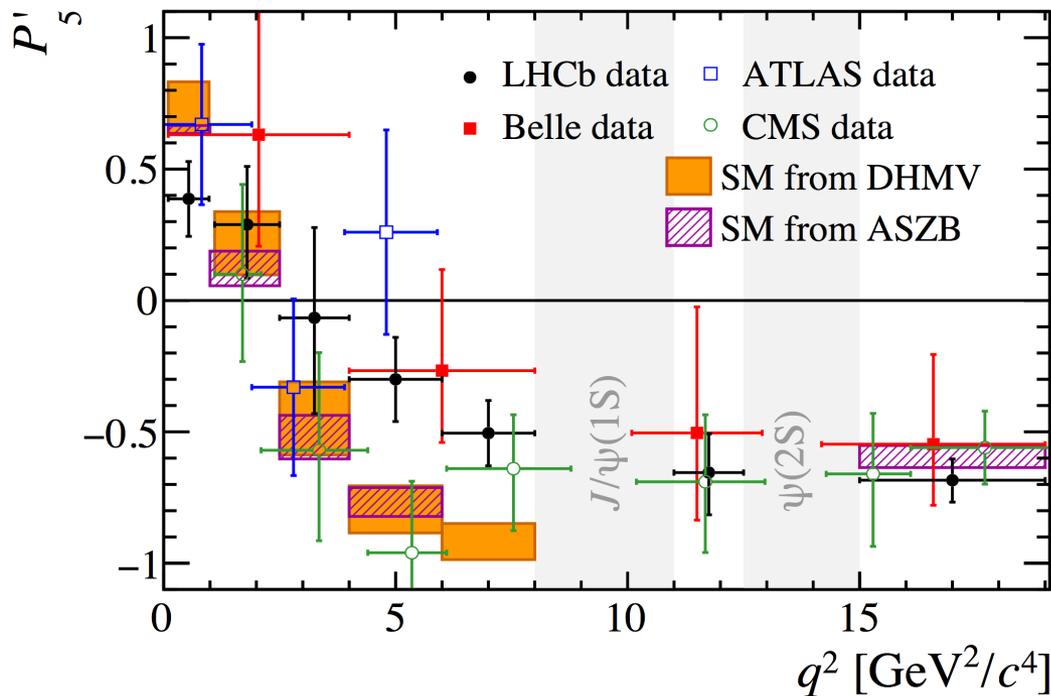


# $P'_5$ with $B^0 \rightarrow K^{*0} \mu^+ \mu^-$

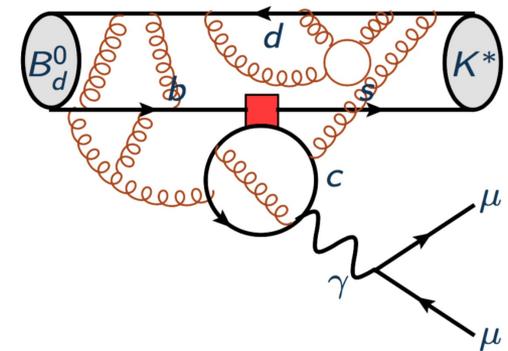
- $P'_5 = \frac{S_5}{\sqrt{F_L(1-F_L)}}$ , less form-factor dependent

[S. Descotes-Genon, *et al.*, JHEP 01 (2013) 048]

- Also measured by Belle, ATLAS, CMS



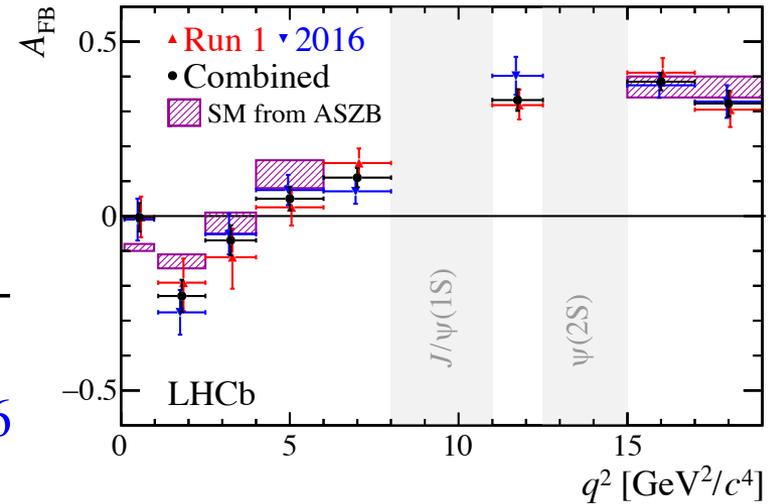
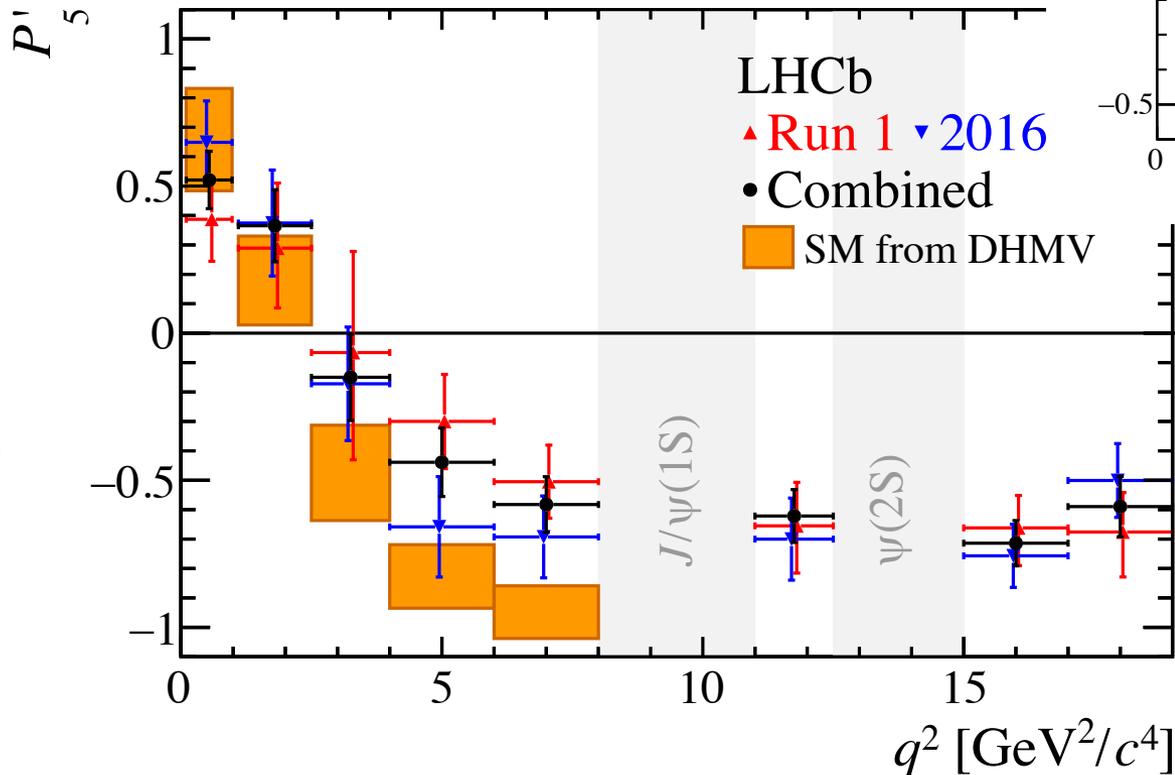
[LHCb, JHEP 02 (2016) 104]  
 [Belle, PRL 118 (2017) 111801]  
 [ATLAS, JHEP 10 (2018) 047]  
 [CMS, PLB 781 (2018) 517]



# $B^0 \rightarrow K^{*0} \mu^+ \mu^-$ , latest results

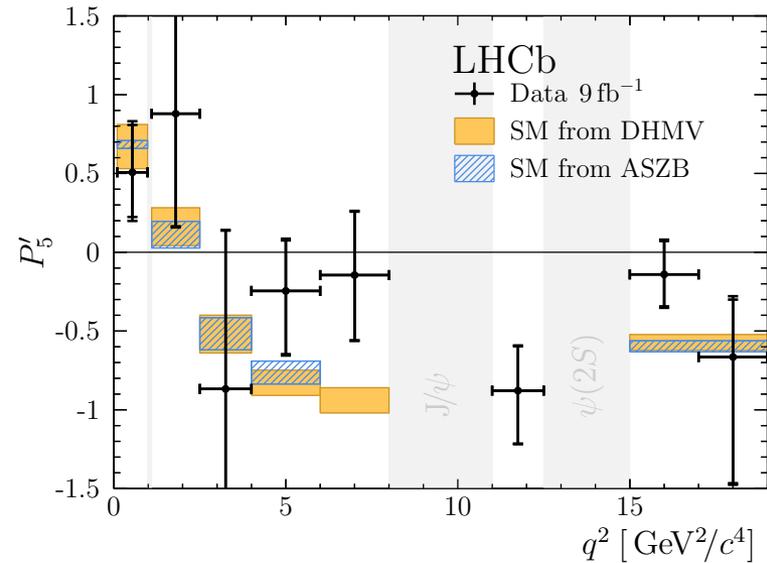
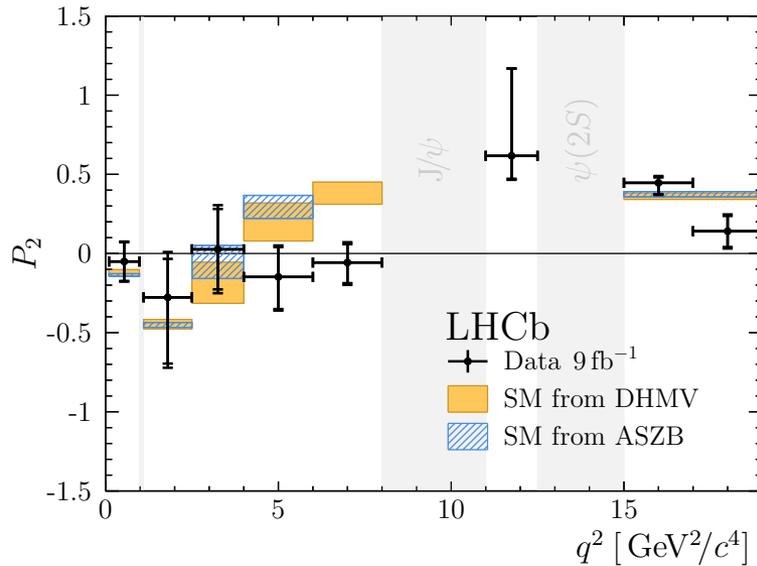
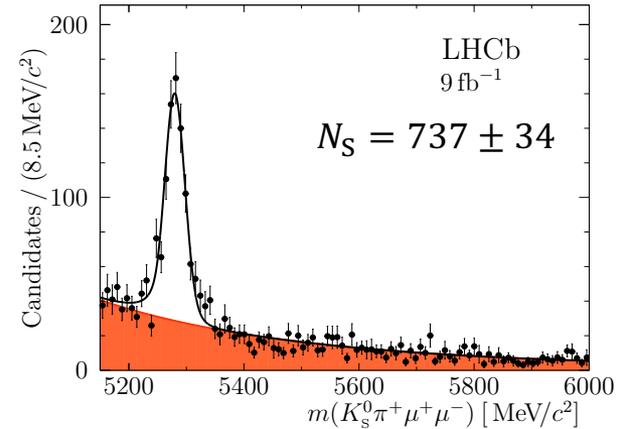
- Updated with 2016 data

[PRL 125 (2020) 011802]



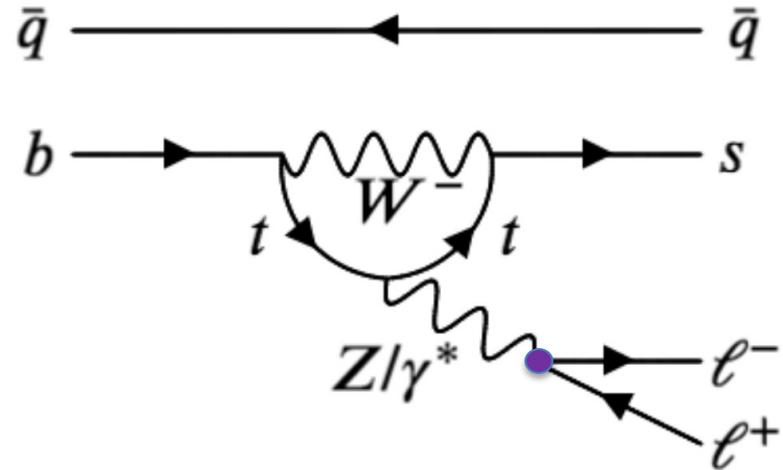
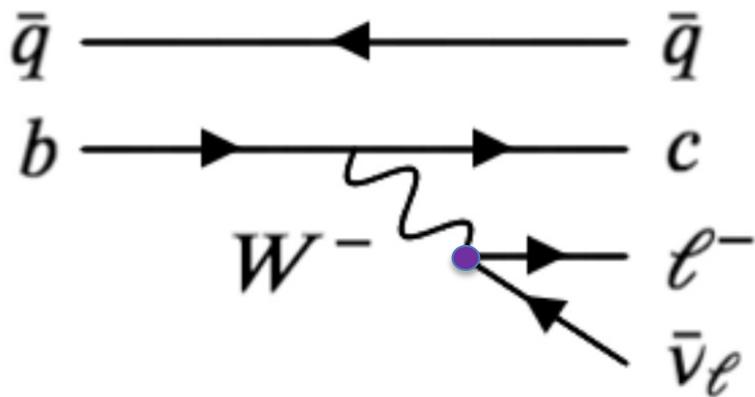
# $P'_{5,2}$ with $B^+ \rightarrow K^{*+} \mu^+ \mu^-$

- All data,  $K^{*+} \rightarrow K_S^0 \pi^+$
- Local deviation from SM,  $3\sigma$  in  $P'_2 = \frac{2}{3} A_{\text{FB}} / (1 - F_L)$



# Lepton flavour universality

- In SM, three lepton families ( $e, \mu, \tau$ ) have identical couplings to the gauge bosons



– which means, e.g.,

$$R_K = \frac{\mathcal{B}(B^+ \rightarrow K^+ \mu^+ \mu^-)}{\mathcal{B}(B^+ \rightarrow K^+ e^+ e^-)} \cong 1$$

$\mathcal{O}(10^{-4})$  uncertainty

[C. Bobeth *et al.*, JHEP 12 (2007) 040]

$\mathcal{O}(1\%)$  QED correction

[M. Bordone *et al.*, EJP 76 (2016) 440]

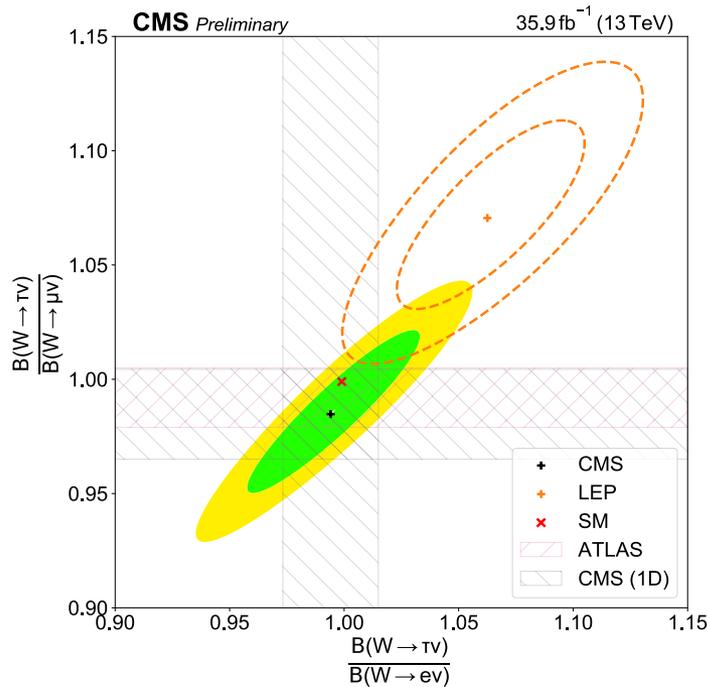
- Lepton flavor universality violation? **New Physics!**

# Experimental test of LFU

- Well established in SM, e.g.  $W \rightarrow \ell \nu$ 
  - Some tension at LEP,

addressed by ATLAS/CMS

[arXiv:2007.14040, CMS PAS SMP-18-011]



## W Leptonic Branching Ratios

ALEPH	$10.78 \pm 0.29$
DELPHI	$10.55 \pm 0.34$
L3	$10.78 \pm 0.32$
OPAL	$10.71 \pm 0.27$

LEP  $W \rightarrow e \nu$   $10.71 \pm 0.16$

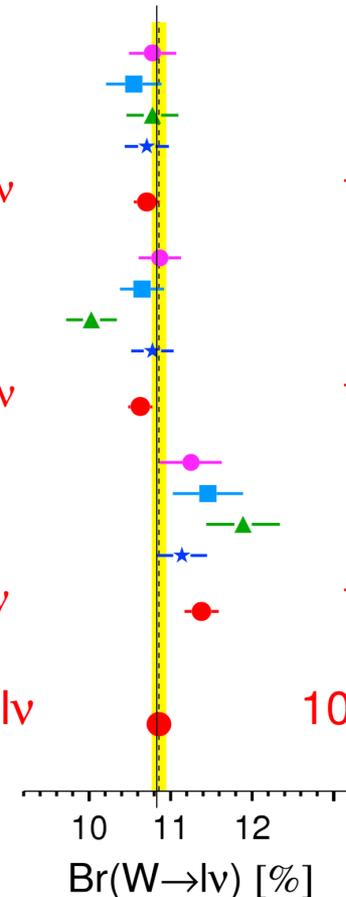
ALEPH	$10.87 \pm 0.26$
DELPHI	$10.65 \pm 0.27$
L3	$10.03 \pm 0.31$
OPAL	$10.78 \pm 0.26$

LEP  $W \rightarrow \mu \nu$   $10.63 \pm 0.15$

ALEPH	$11.25 \pm 0.38$
DELPHI	$11.46 \pm 0.43$
L3	$11.89 \pm 0.45$
OPAL	$11.14 \pm 0.31$

LEP  $W \rightarrow \tau \nu$   $11.38 \pm 0.21$   
 $\chi^2/\text{ndf} = 6.3 / 9$

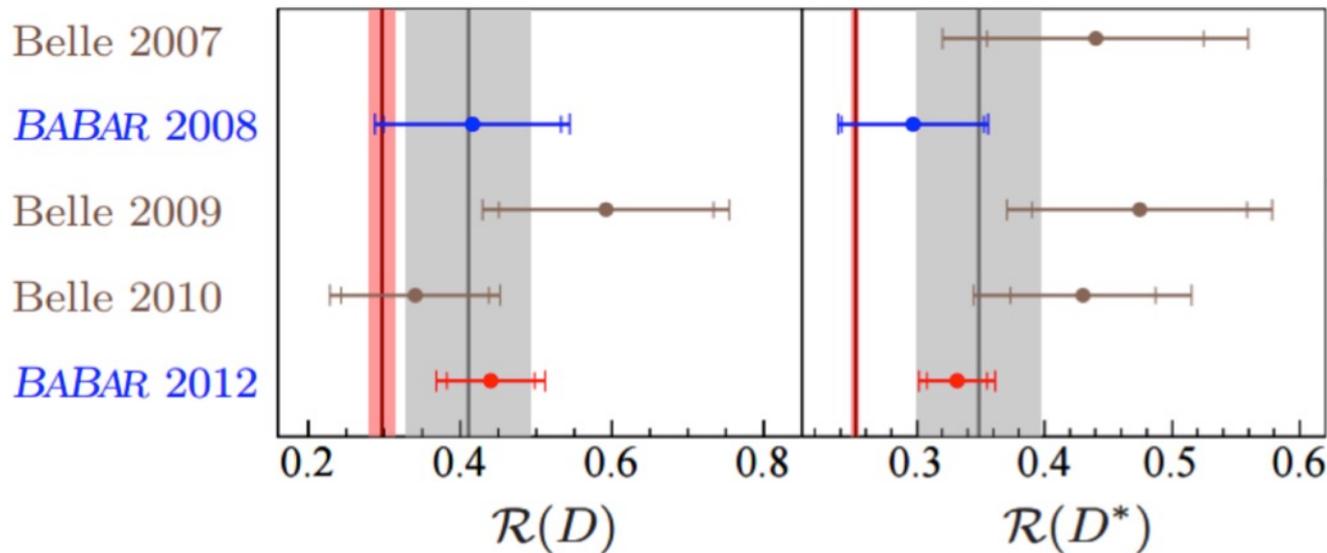
LEP  $W \rightarrow \ell \nu$   $10.86 \pm 0.09$   
 $\chi^2/\text{ndf} = 15.4 / 11$



# LFU in B system, pre-LHCb

- $\mathcal{R}(D^{(*)})$ , Babar reported deviation of  $\sim 3.2\sigma$

$$\mathcal{R}(D^{(*)}) \equiv \frac{\mathcal{B}(B \rightarrow D^{(*)} \tau \nu)}{\mathcal{B}(B \rightarrow D^{(*)} \ell \nu)} \quad [\text{Babar, PRD 88 (2013) 072012}]$$

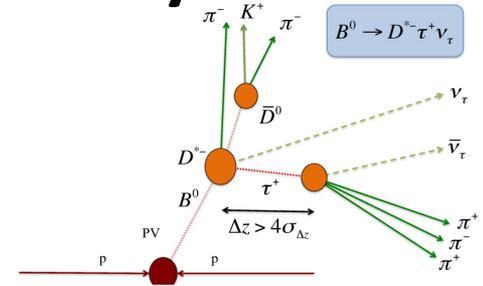


- No deviation seen in FCNC  $b \rightarrow s \ell^+ \ell^-$  decays



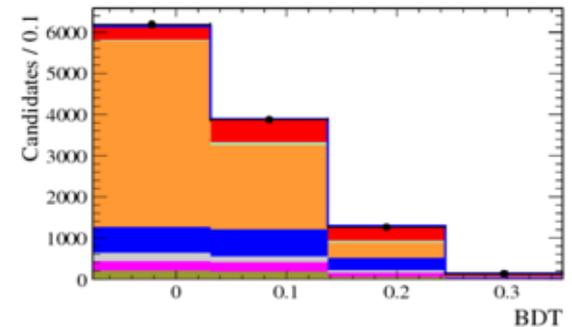
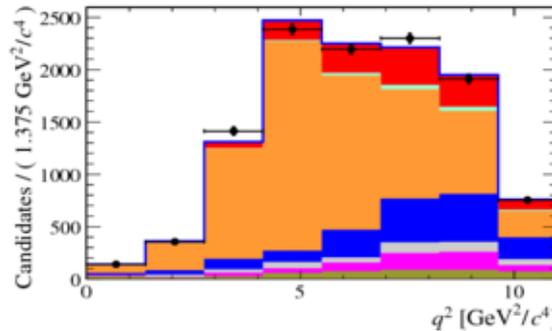
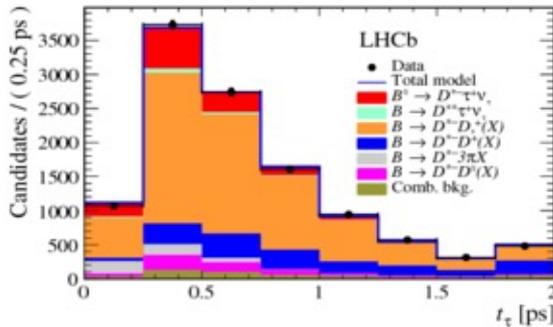
# R(D\*) using 3-prong $\tau$ decays

- $\mathcal{B}(\tau \rightarrow 3\pi^\pm X) \sim 9\% + 4\% (\geq 1\pi^0)$
- Normalized to  $B^0 \rightarrow D^{*-} 3\pi$



$$R_{had}(D^*) = \frac{\mathcal{B}(B^0 \rightarrow D^{*-} \tau^+ \nu_\tau)}{\mathcal{B}(B^0 \rightarrow D^{*-} \pi^+ \pi^- \pi^+)} \quad R(D^*) = R_{had}(D^*) \times \frac{\mathcal{B}(B^0 \rightarrow D^{*-} \pi^+ \pi^- \pi^+)}{\mathcal{B}(B^0 \rightarrow D^{*-} \mu^- \nu_\mu)}$$

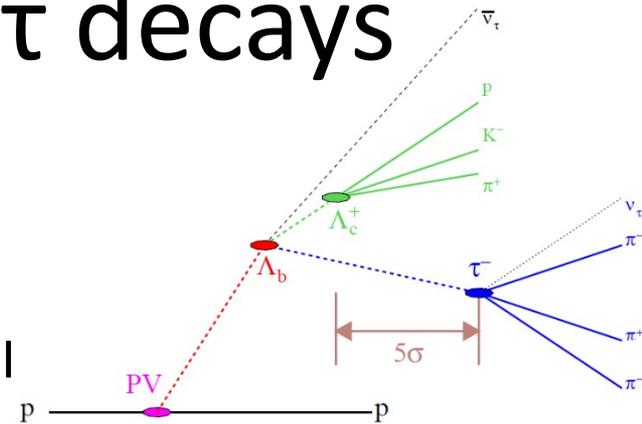
- 3D fits,  $R(D^*) = 0.286 \pm 0.019 \pm 0.025 \pm 0.021$ 
  - Signal yields:  $1273 \pm 85$
  - Systematics: Simulation size,  $D \rightarrow 3\pi X$  template, ...



# R( $\Lambda_c^+$ ) using 3-prong $\tau$ decays

- Normalized to  $\Lambda_b^0 \rightarrow \Lambda_c^+ 3\pi$

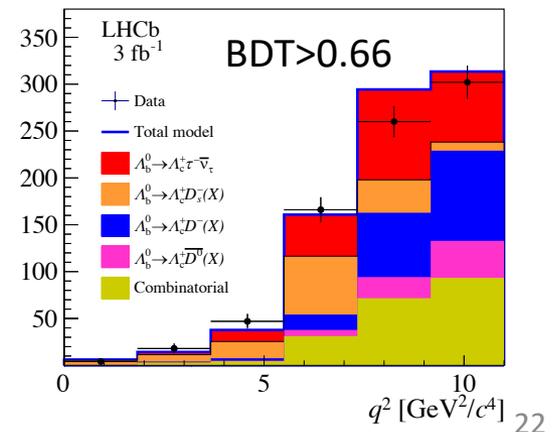
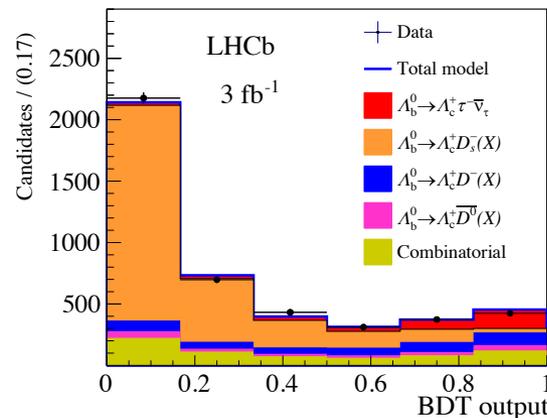
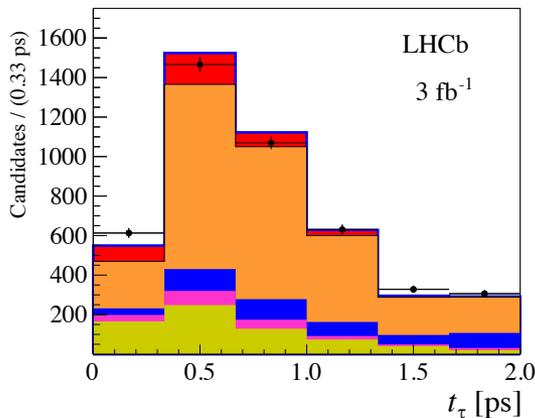
$$\mathcal{B}(\Lambda_b^0 \rightarrow \Lambda_c^+ \mu^- \bar{\nu}_\mu) = (6.2 \pm 1.4)\% \text{ by DELPHI}$$



- 3D fits,  $R(\Lambda_c^+) = 0.242 \pm 0.026 \pm 0.040 \pm 0.059$

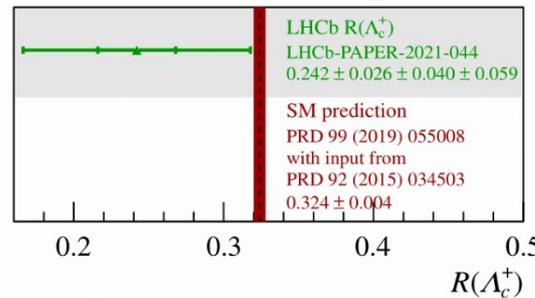
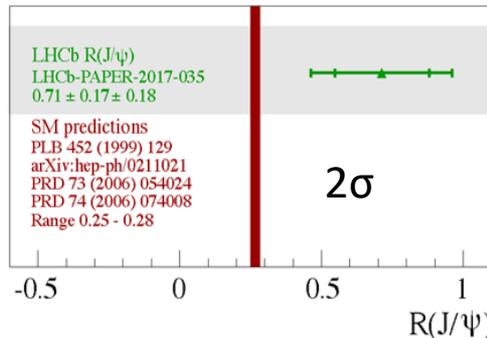
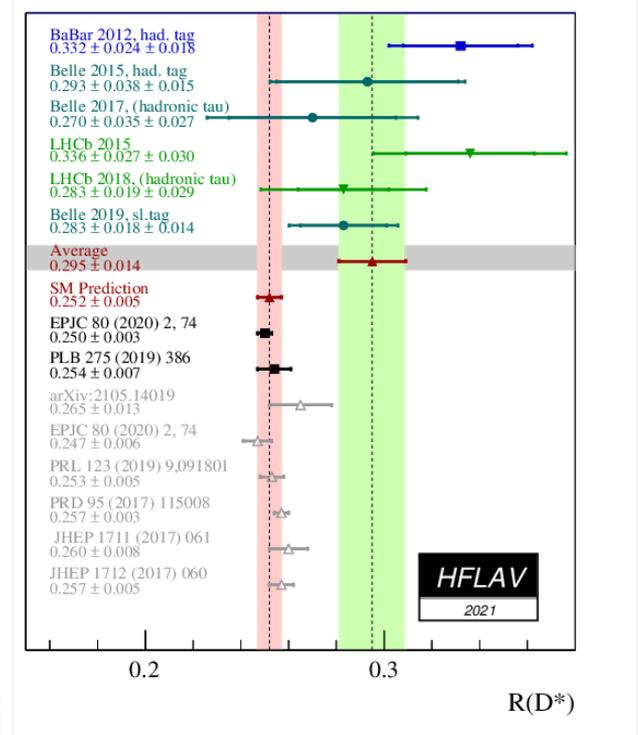
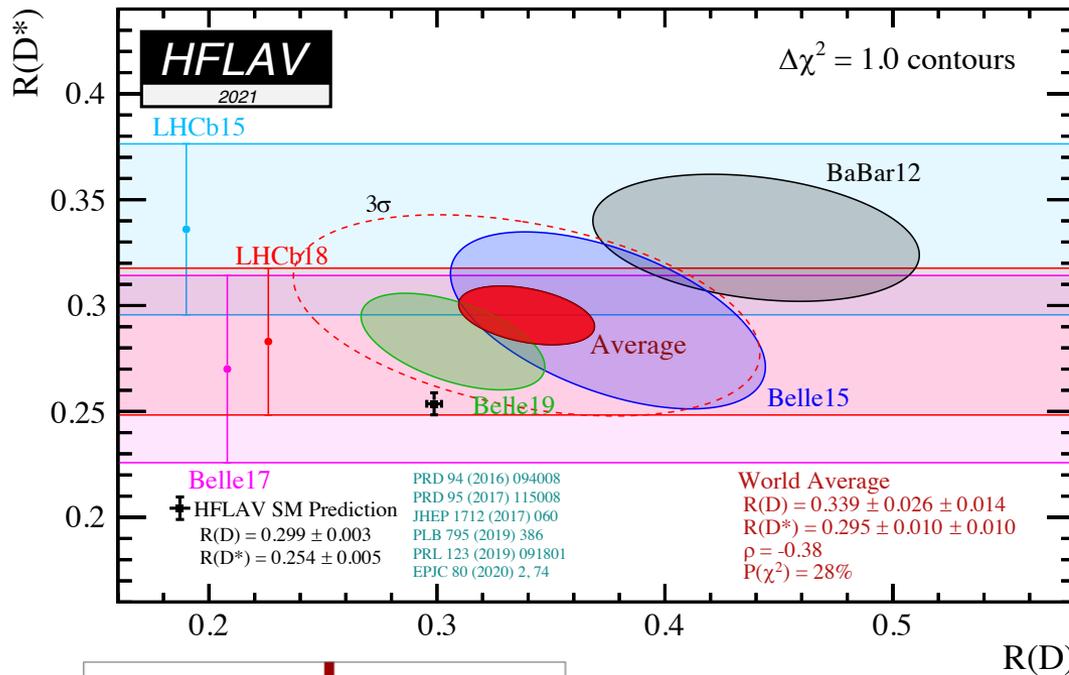
– Signal yields:  $349 \pm 40$

– Systematics:  $D \rightarrow 3\pi X$  template,  $\Lambda_b^0 \rightarrow \Lambda_c^+ DX$



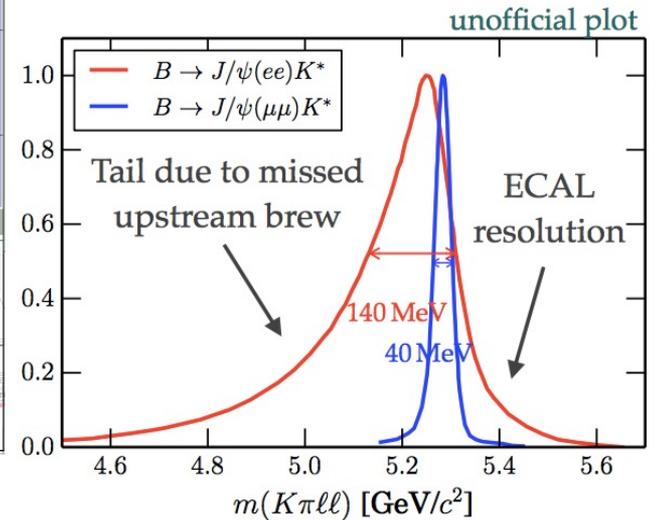
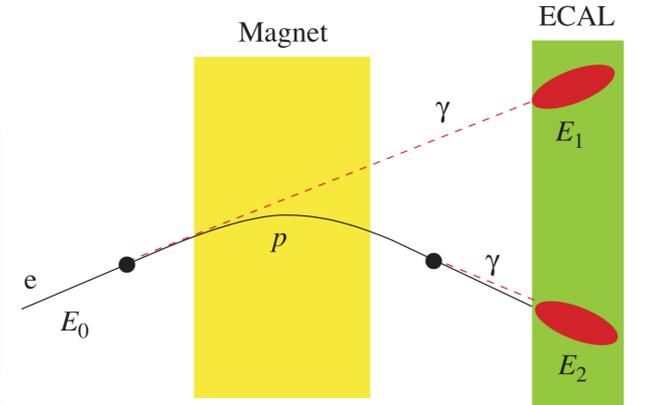
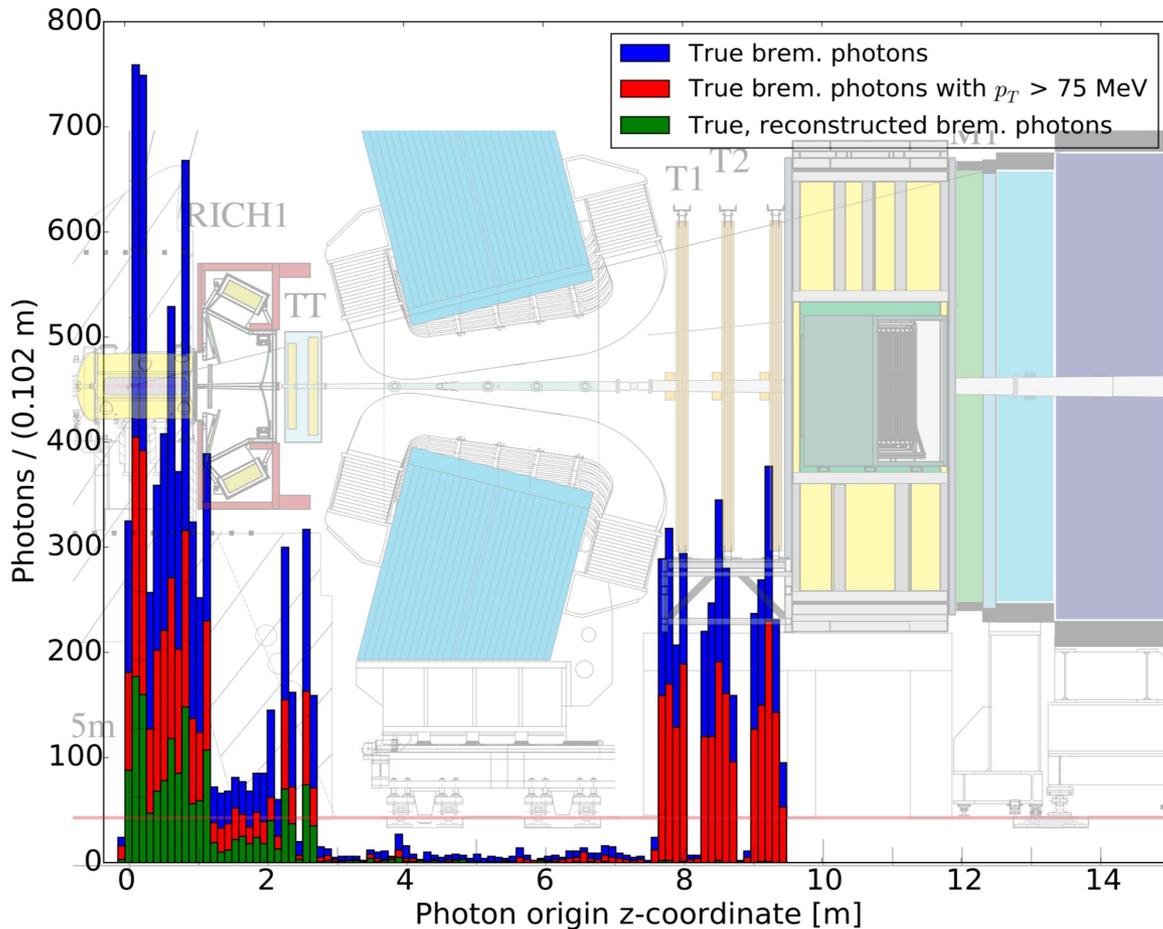
# Summary of LFU in $b \rightarrow c \ell \nu$ decays

- Deviations from SM seen by Babar/Belle/LHCb



$$R(H_c) = \frac{\mathcal{B}(H_b \rightarrow H_c \tau^- \bar{\nu}_\tau)}{\mathcal{B}(H_b \rightarrow H_c \mu^- \bar{\nu}_\mu)}$$

# Bremsstrahlung corrections

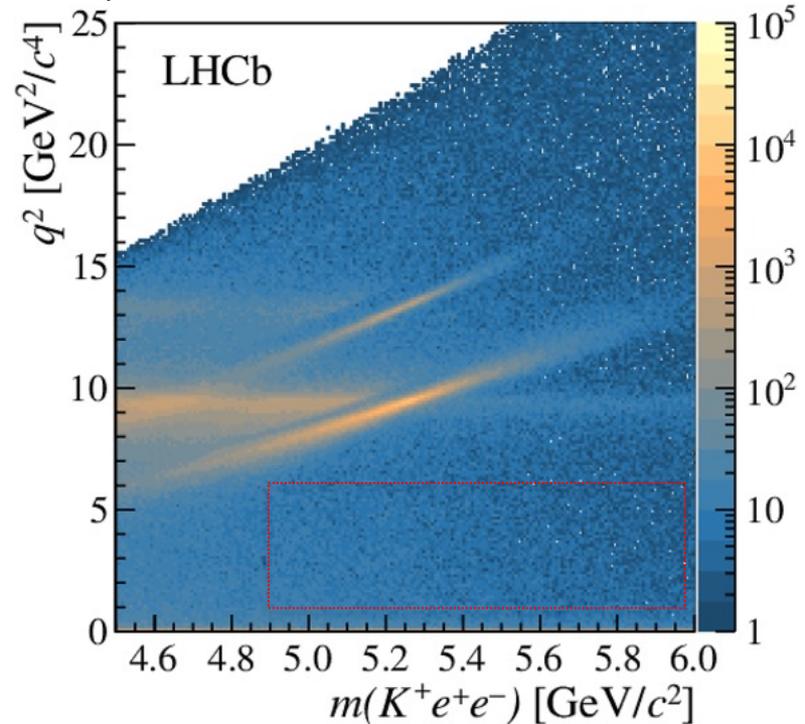
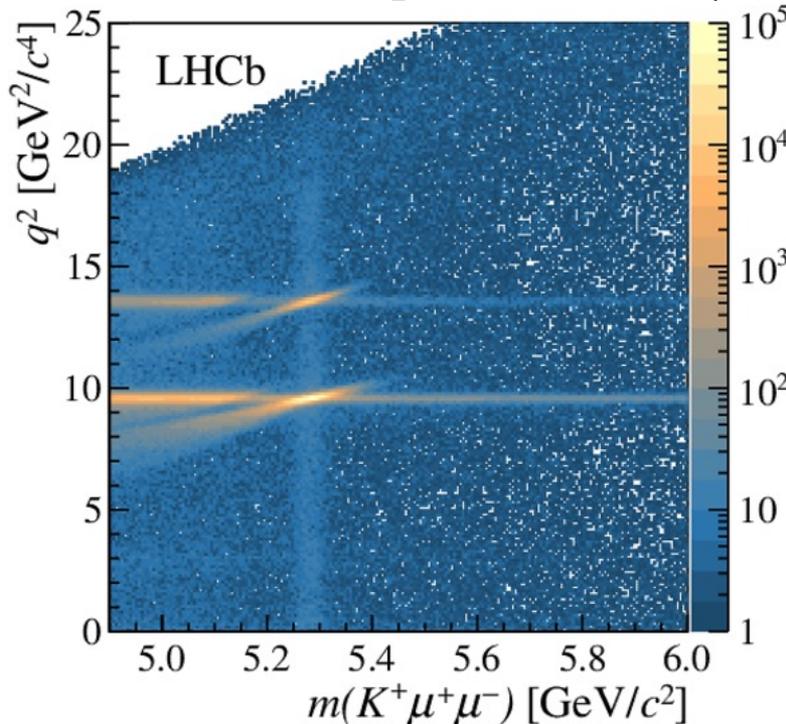


# R(K), introduction

- Double ratio to control systematics

$$\mathcal{R}_K = \left( \frac{\mathcal{N}_{K^+\mu^+\mu^-}}{\mathcal{N}_{K^+e^+e^-}} \right) \left( \frac{\mathcal{N}_{J/\psi(e^+e^-)K^+}}{\mathcal{N}_{J/\psi(\mu^+\mu^-)K^+}} \right) \left( \frac{\varepsilon_{K^+e^+e^-}}{\varepsilon_{K^+\mu^+\mu^-}} \right) \left( \frac{\varepsilon_{J/\psi(\mu^+\mu^-)K^+}}{\varepsilon_{J/\psi(e^+e^-)K^+}} \right)$$

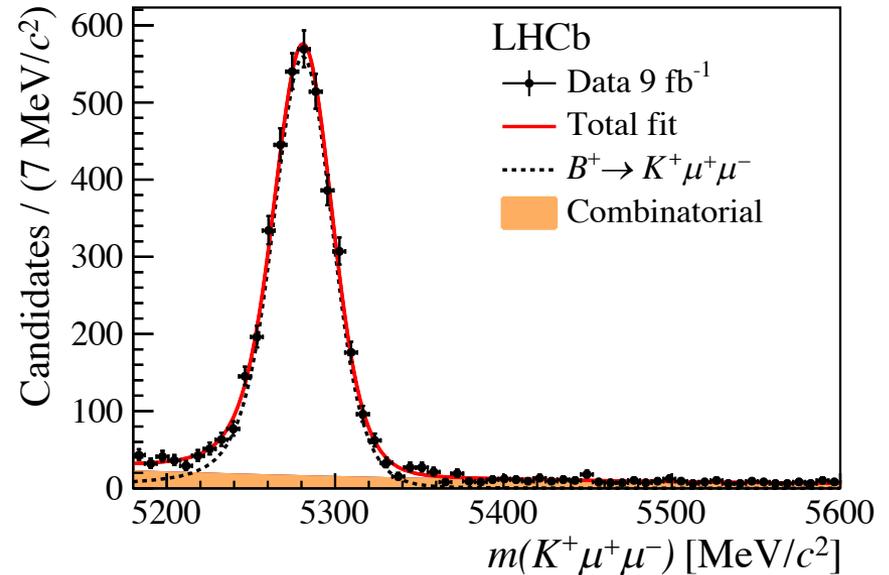
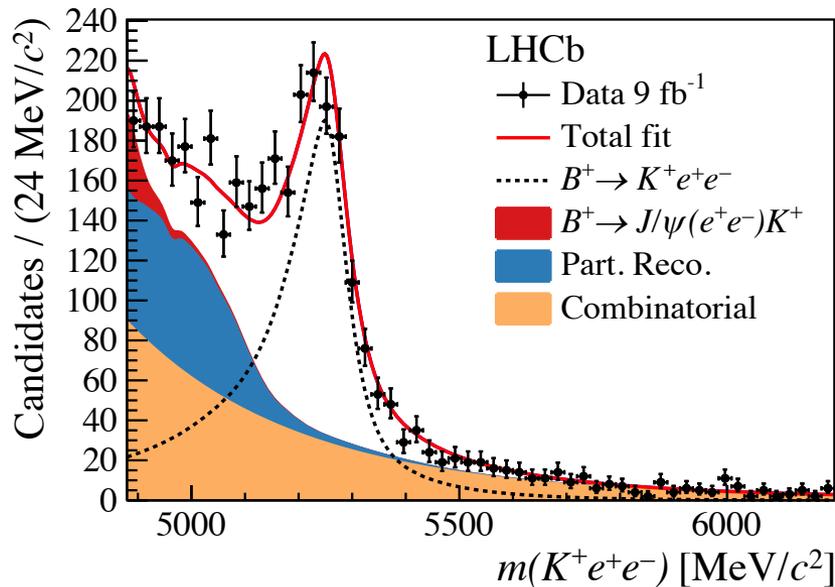
- Choice of  $q^2 = m^2(\ell^+\ell^-)$



# Signal yields with all data

- $9 \text{ fb}^{-1}$  of data,  $1.1 < q^2 < 6.0 \text{ GeV}^2/c^4$ 
  - $N(B^+ \rightarrow K^+ e^+ e^-) = 1640 \pm 70$
  - $N(B^+ \rightarrow K^+ \mu^+ \mu^-) = 3850 \pm 70$

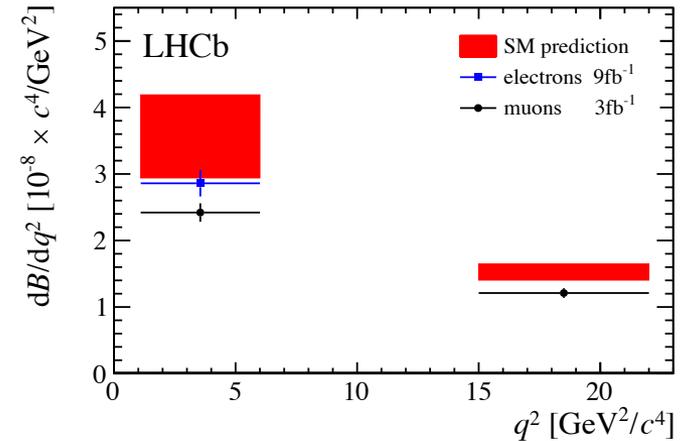
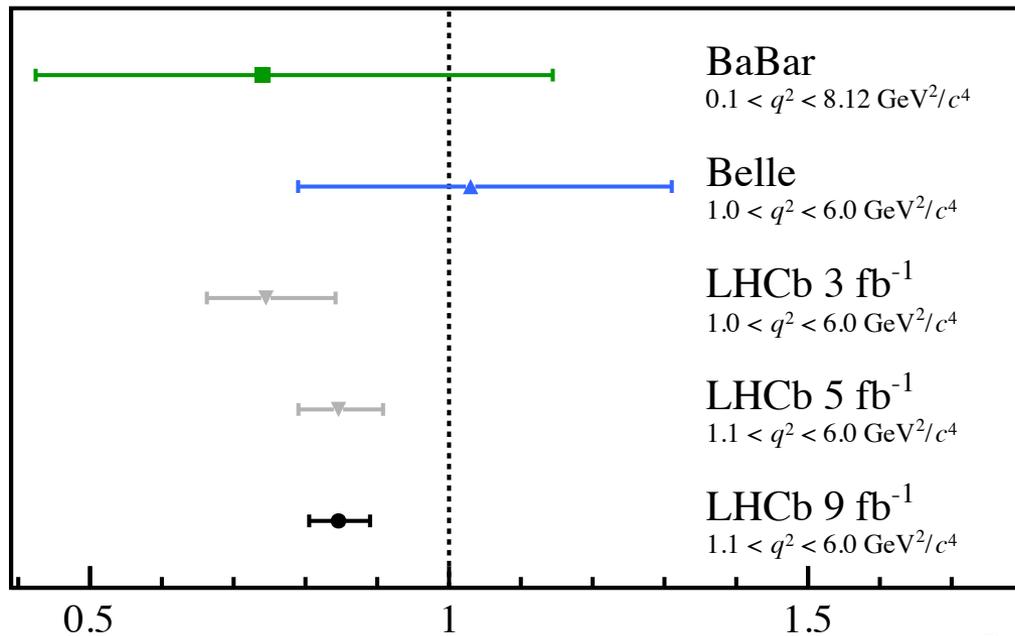
[NP 18 (2022) 277]



# R(K), latest results

- Deviation from SM,  $3.1\sigma$  by LHCb
- Electron mode more close to SM prediction?

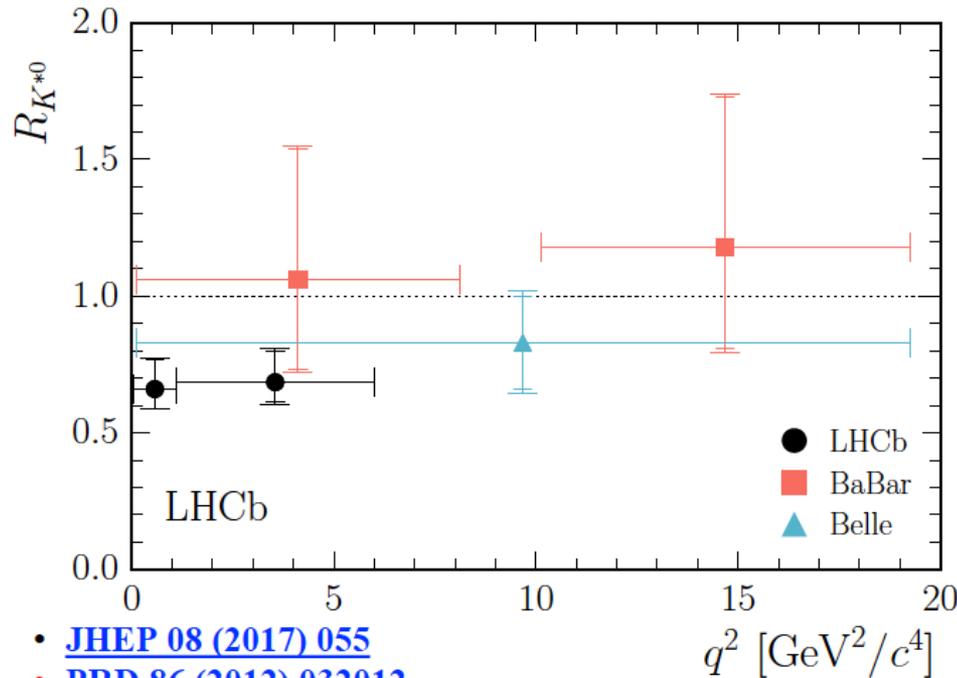
[NP 18 (2022) 277]



$$R_K = \frac{\mathcal{B}(B^+ \rightarrow K^+ \mu^+ \mu^-)}{\mathcal{B}(B^+ \rightarrow K^+ e^+ e^-)} = 0.846_{-0.039}^{+0.042} {}_{-0.012}^{+0.013}$$

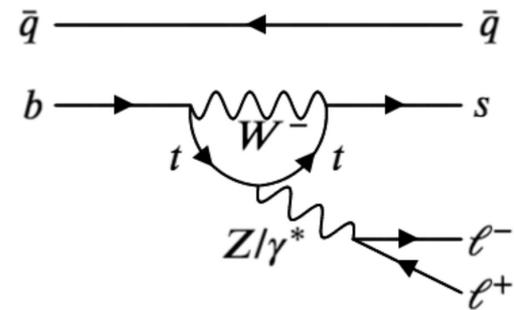
# $R_{K^{*0}}$ , results with Run-I data

- Deviations from SM seen by LHCb ( $\sim 2.4\sigma$ )



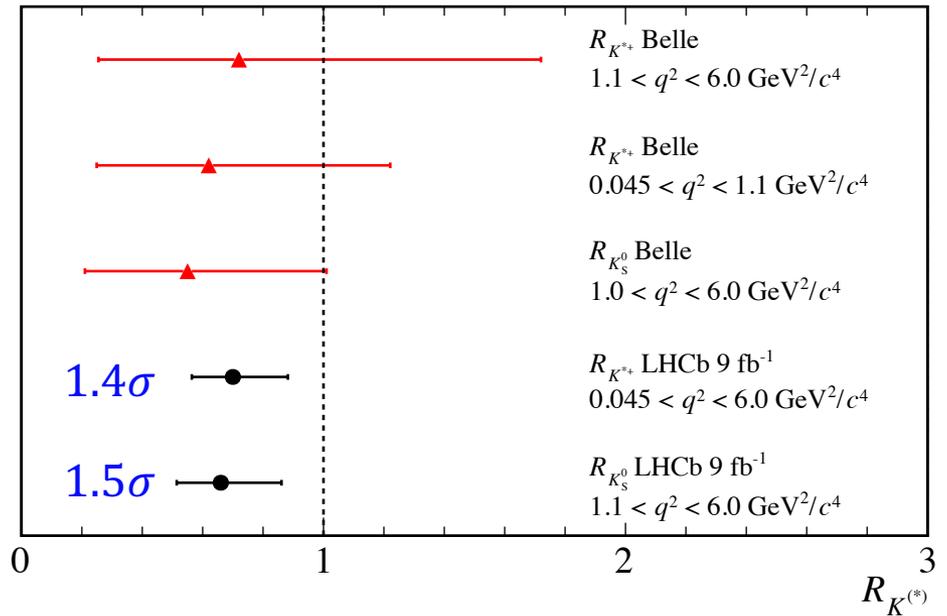
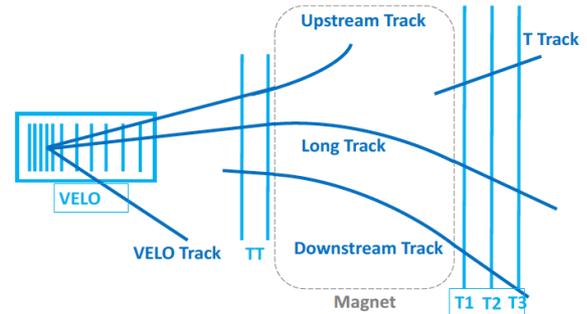
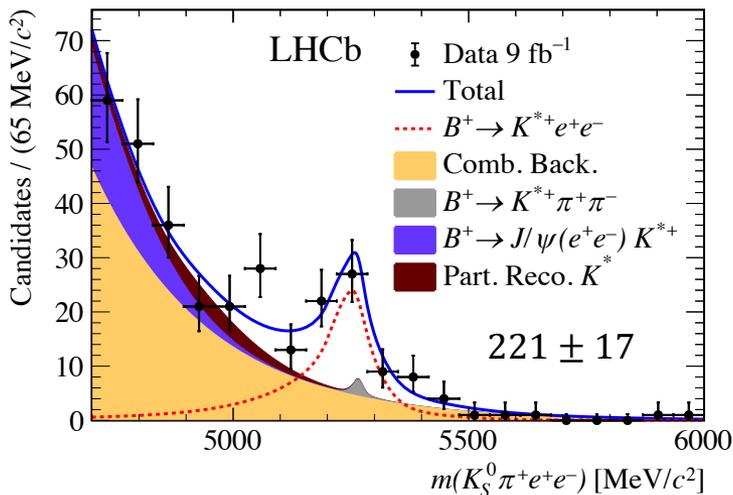
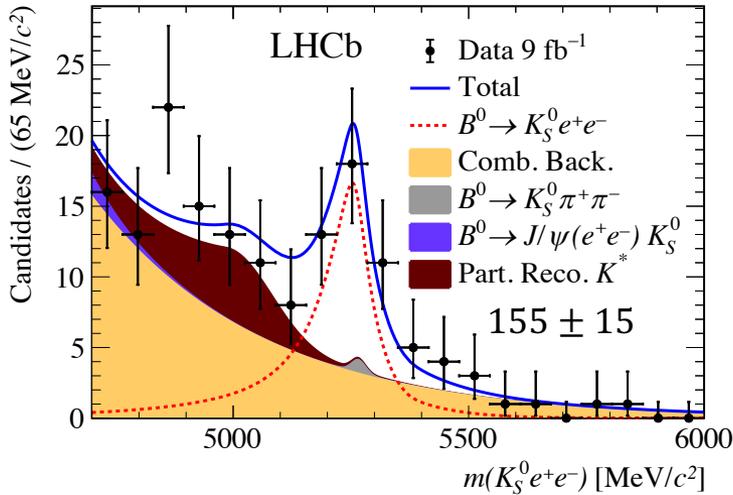
- [JHEP 08 \(2017\) 055](#)
- [PRD 86 \(2012\) 032012](#)
- [PRL 103 \(2009\) 171801](#)

$$R_{K^{*0}} = \frac{\mathcal{B}(B^0 \rightarrow K^{*0} \mu^+ \mu^-)}{\mathcal{B}(B^0 \rightarrow K^{*0} e^+ e^-)}$$



# $R(K_S^0)$ & $R(K^{*+})$

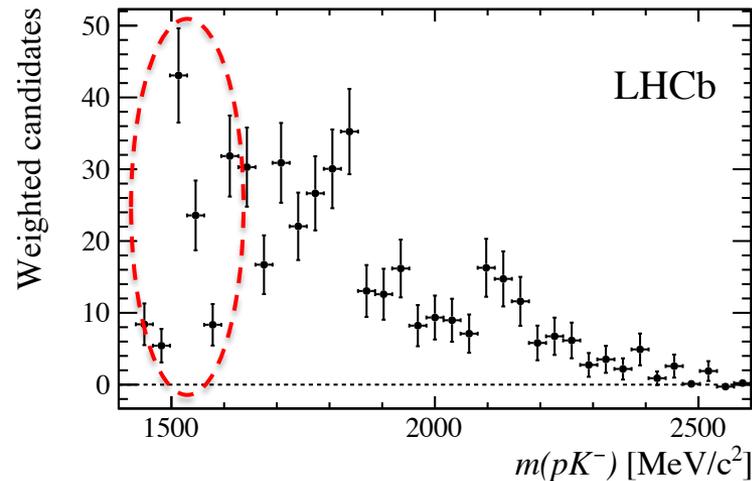
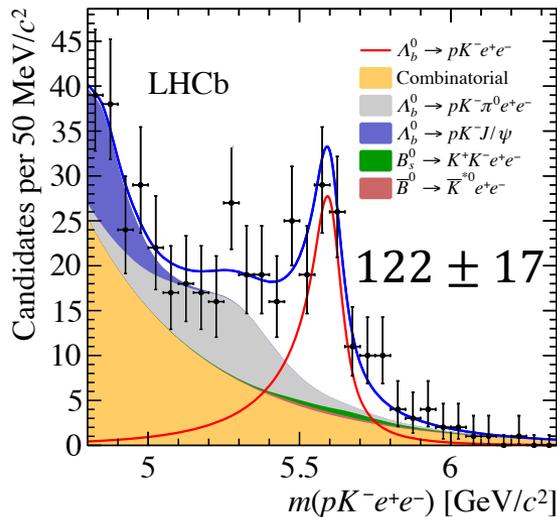
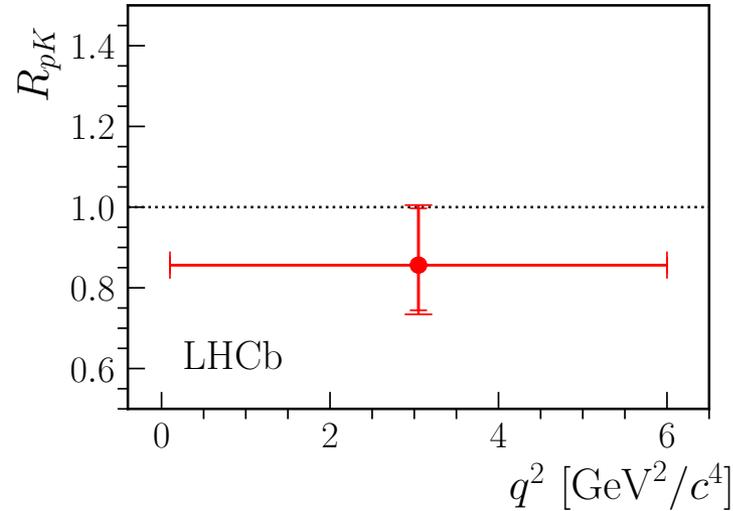
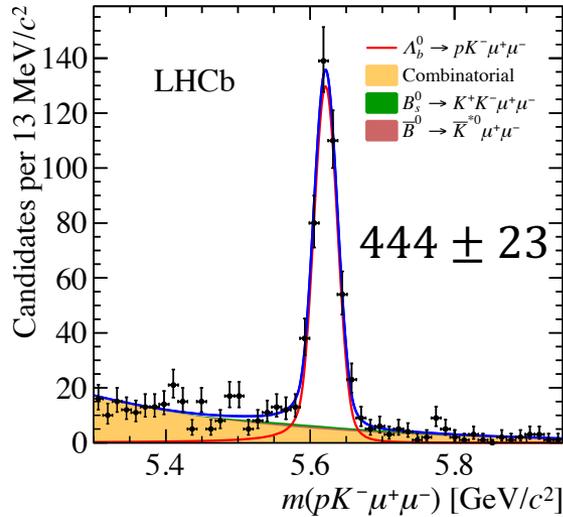
- Tests of LFU using  $K_S^0$



LHCb combined significance w.r.t. SM:  $2\sigma$

# R(pK), results with Run-I+2016

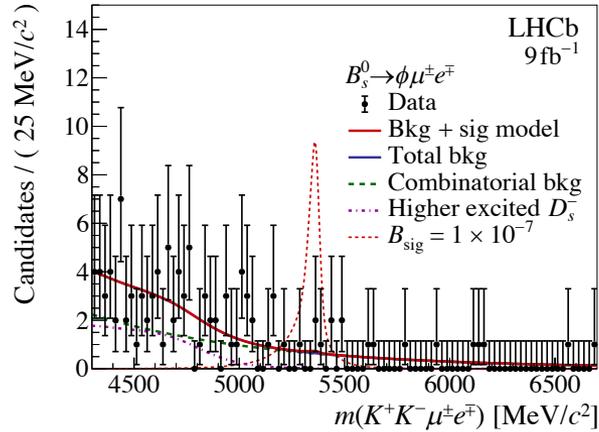
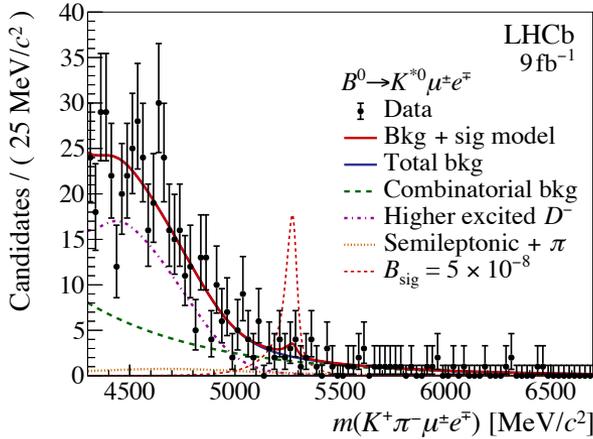
- Compatible with 1, difficult to predict R(pK)?



[JHEP 05 (2020) 040]

# Search for LFV decays

- $B^0 \rightarrow K^{*0} \mu^\pm e^\mp$  and  $B_s^0 \rightarrow \phi \mu^\pm e^\pm$



$$\mathcal{B}(B^0 \rightarrow K^{*0} \mu^+ e^-) < 5.7 \times 10^{-9}$$

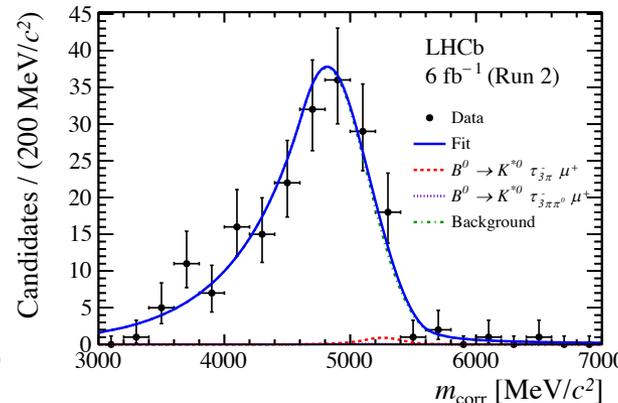
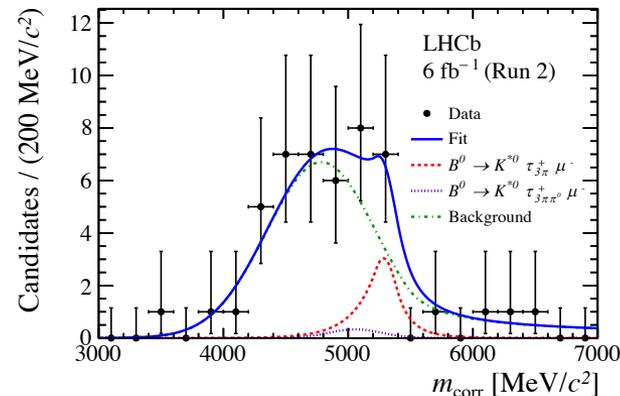
$$\mathcal{B}(B^0 \rightarrow K^{*0} \mu^- e^+) < 6.8 \times 10^{-9}$$

$$\mathcal{B}(B^0 \rightarrow K^{*0} \mu^\pm e^\mp) < 10.1 \times 10^{-9}$$

$$\mathcal{B}(B_s^0 \rightarrow \phi \mu^\pm e^\mp) < 16.0 \times 10^{-9}$$

@ 90% CL

- $B^0 \rightarrow K^{*0} \tau^\pm \mu^\mp$



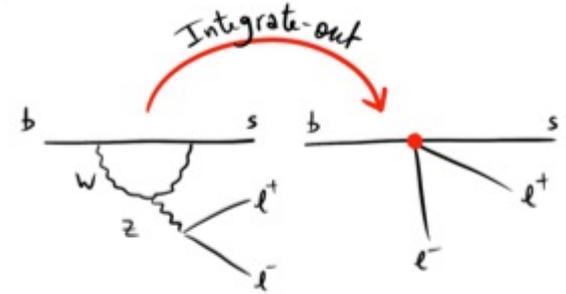
$$\mathcal{B}(B^0 \rightarrow K^{*0} \tau^+ \mu^-) < 1.0 (1.2) \times 10^{-5}$$

$$\mathcal{B}(B^0 \rightarrow K^{*0} \tau^- \mu^+) < 8.2 (9.8) \times 10^{-6}$$

@ 90% (95%) CL

# Effective Field Theory of $b \rightarrow sll$

- Integrate out short-distance (high energy) interactions



- Operator production expansion

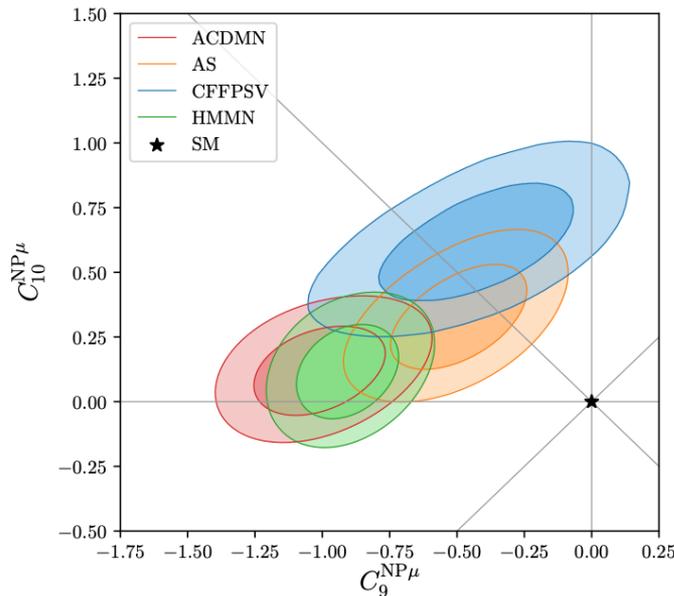
$$\mathcal{H}_{\text{eff}} = -\frac{4G_F}{\sqrt{2}} V_{tb} V_{ts}^* \frac{e^2}{16\pi^2} \sum_i (C_i O_i + C_i' O_i') + h.c.$$

- Wilson coefficients  $C_i^{(')}$  encode short-distance physics
- Operators  $O_i^{(')}$  describe low-energy QCD (using form factors), which have large theory uncertainties

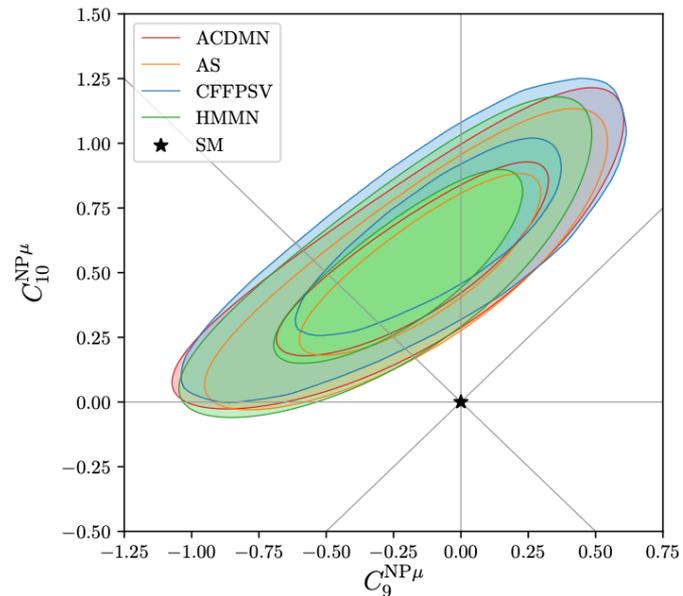
# Global fit

- Different experimental inputs, form factors, assumptions about non-local matrix elements, statistical frameworks

B. Capdevila, M. Fedele, S. Neshatpour, P. Stangl @ LHCb implications 2021 [\[slides\]](#)



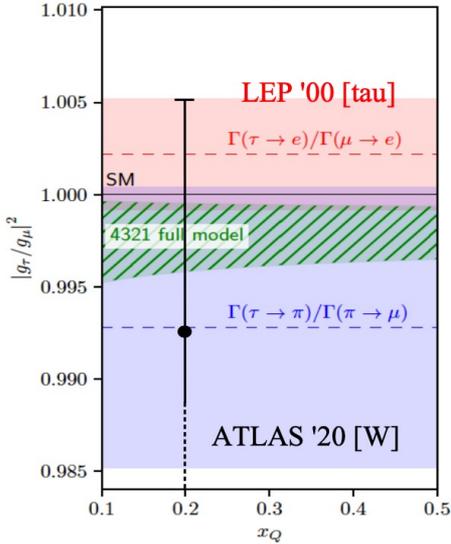
global fit



fit to LFU observables +  $B_s \rightarrow \mu\mu$

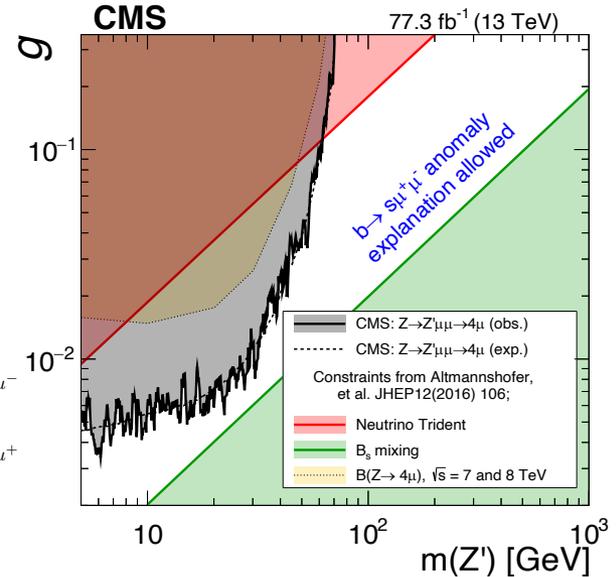
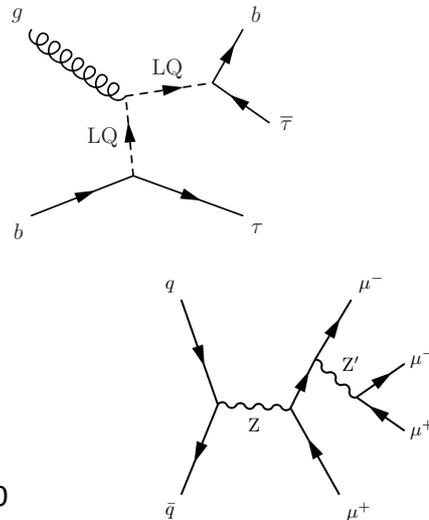
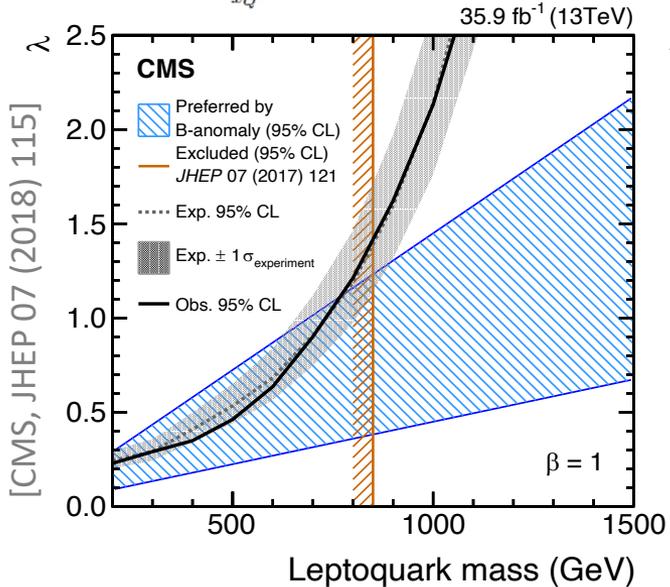
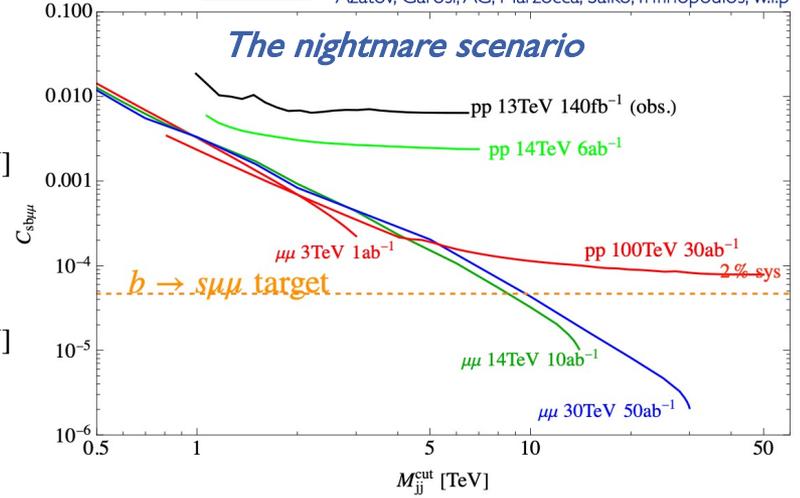
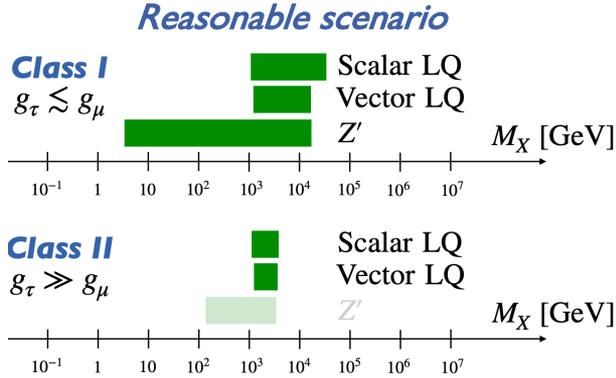
# Implications?

G. Isidori @ NJNU



A. Greljo @ LHCb implication 2021 [\[slides\]](#)

Azatov, Garosi, AG, Marzocca, Salko, Trifinopoulos; w.i.p



[CMS, PLB 792 (2019) 345]

# Prospects

- LHCb upgrades (2025: 23 fb<sup>-1</sup>, Upgrade-II: 300 fb<sup>-1</sup>)

Observable	Current LHCb	LHCb 2025	Belle-II	LHCb Upgrade-II	ATLAS & CMS
$R_K(1 < q^2 < 6 \text{ GeV})$	0.05	0.025	0.036	0.007	
$R_{K^*}(1 < q^2 < 6 \text{ GeV})$	0.1	0.031	0.032	0.008	
$R_\phi, R_{pK}$		0.08, 0.06		0.02, 0.02	
$\frac{\mathcal{B}(B^0 \rightarrow \mu^+ \mu^-)}{\mathcal{B}(B_s^0 \rightarrow \mu^+ \mu^-)}$	90%	34%		10%	21%
$\tau_{B_s^0 \rightarrow \mu^+ \mu^-}$	14%	8%		2%	4%?
$R(D^*)$	0.026	0.0072	0.005	0.002	
$R(J/\psi)$	0.24	0.071		0.02	

# Summary

- Some anomalies seen at LHCb
  - Electroweak penguin, differential branching fraction,  $P'_5$  in  $B \rightarrow K^* \mu^+ \mu^-$ ,  $\mathcal{R}_{K^{(*)0}}$
  - LFU in semi-leptonic decay,  $\mathcal{R}_{D^*}$   
to be confirmed or refuted with more data
- Your suggestions are always appreciated!

