

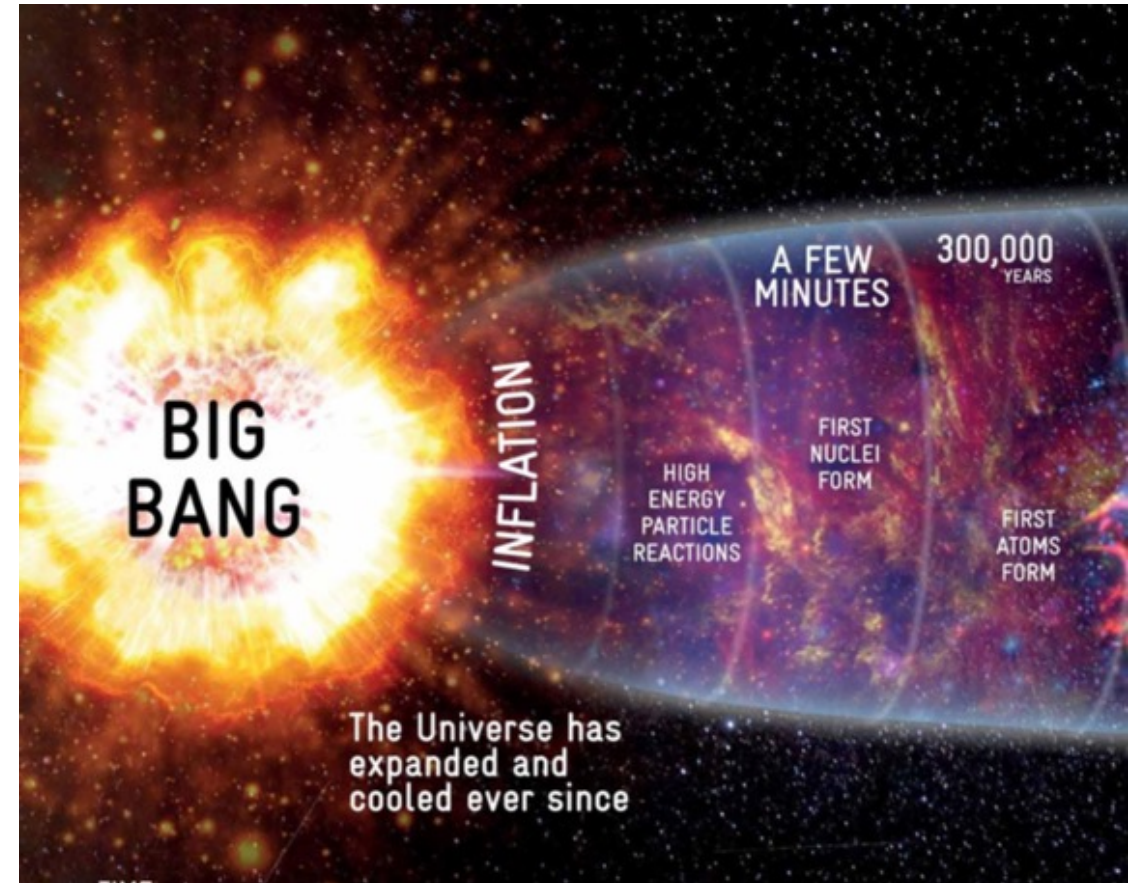
# Physics in the Early Universe

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# Outline

- The Smooth, Expanding Universe
  - General relativity
  - Friedmann Equations
  - Evolution of Energy
- Beyond Equilibrium
  - Boltzmann Equation
  - Big Bang Nucleosynthesis
  - Recombination
- Inflation
  - Motivations
  - Quantum fluctuations



# References

- “Modern Cosmology”, Scott Dodelson
- “Tasi Lectures on Inflation”, Daniel Baumann, 0907.5424

# The Smooth, Expanding Universe

• Einstein Equation:  $R_{\mu\nu} - \frac{1}{2}g_{\mu\nu}R = 8\pi GT_{\mu\nu}$

Ricci tensor                  Ricci scalar                  Energy-momentum tensor

$$R_{\mu\nu} = \Gamma_{\mu\nu,\alpha}^{\alpha} - \Gamma_{\mu\alpha,\nu}^{\alpha} + \Gamma_{\beta\alpha}^{\alpha}\Gamma_{\mu\nu}^{\beta} - \Gamma_{\beta\nu}^{\alpha}\Gamma_{\mu\alpha}^{\beta}$$

Christoffel symbol

$$\Gamma_{\alpha\beta}^{\mu} = -\frac{1}{2} (g_{\alpha\nu,\beta} + g_{\beta\nu,\alpha} - g_{\alpha\beta,\nu})$$

metric



# What is metric?

- The metric defines the distance.

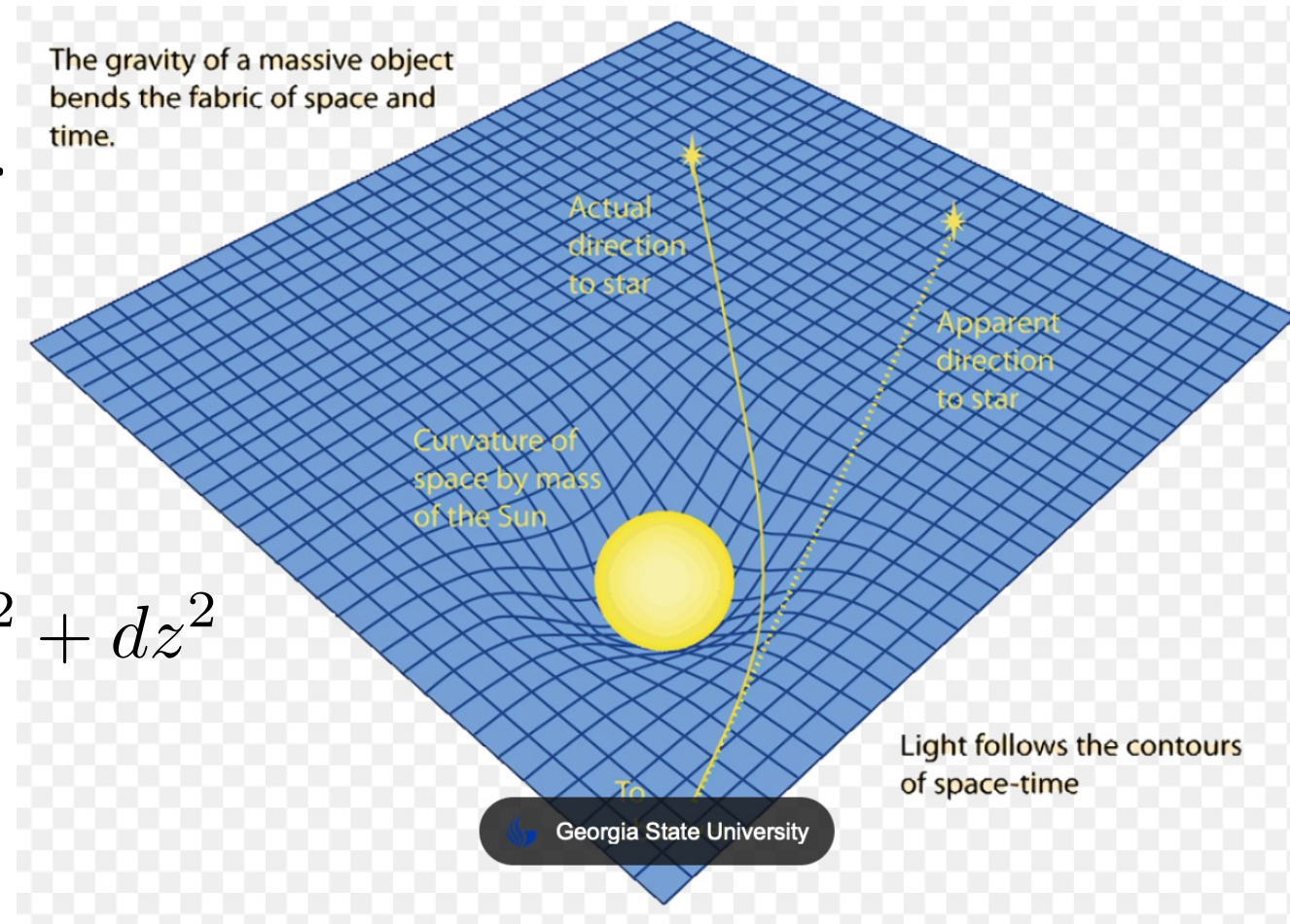
$$ds^2 = g_{\mu\nu} dx^\mu dx^\nu$$

- In Minkowski

$$ds^2 = -dt^2 + dx^2 + dy^2 + dz^2$$

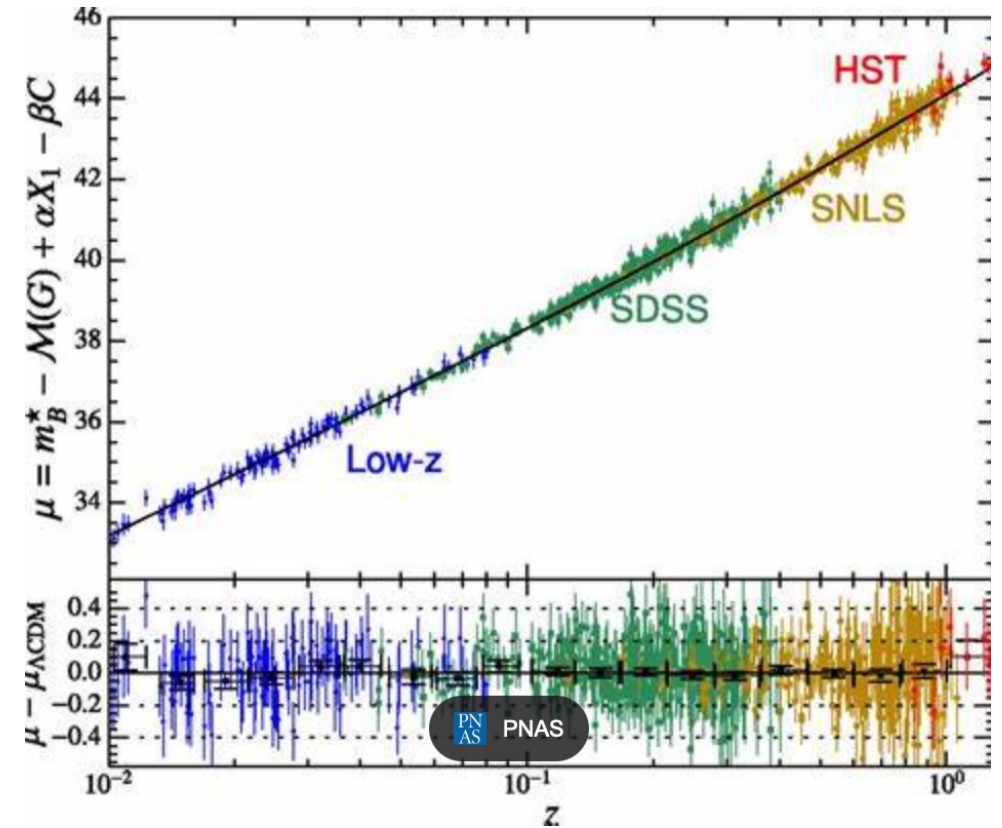
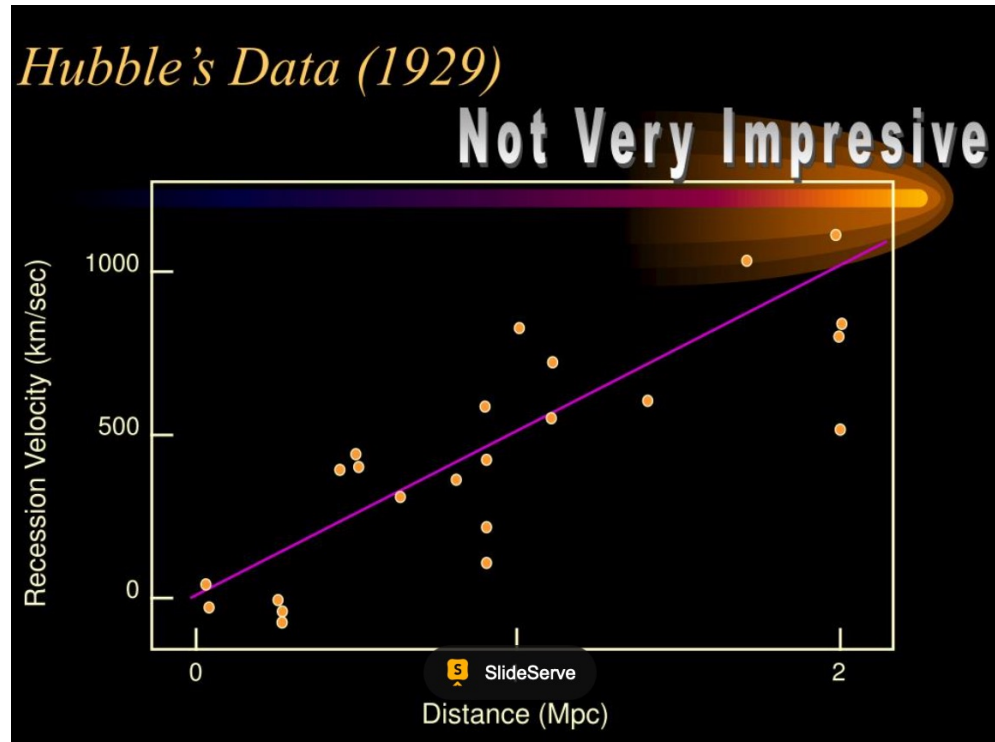
- The geodesic line

$$\frac{d^2 x^\mu}{d\lambda^2} = -\Gamma_{\alpha\beta}^\mu \frac{dx^\alpha}{d\lambda} \frac{dx^\beta}{d\lambda}$$



# Hubble's Law

$$v = Hd$$



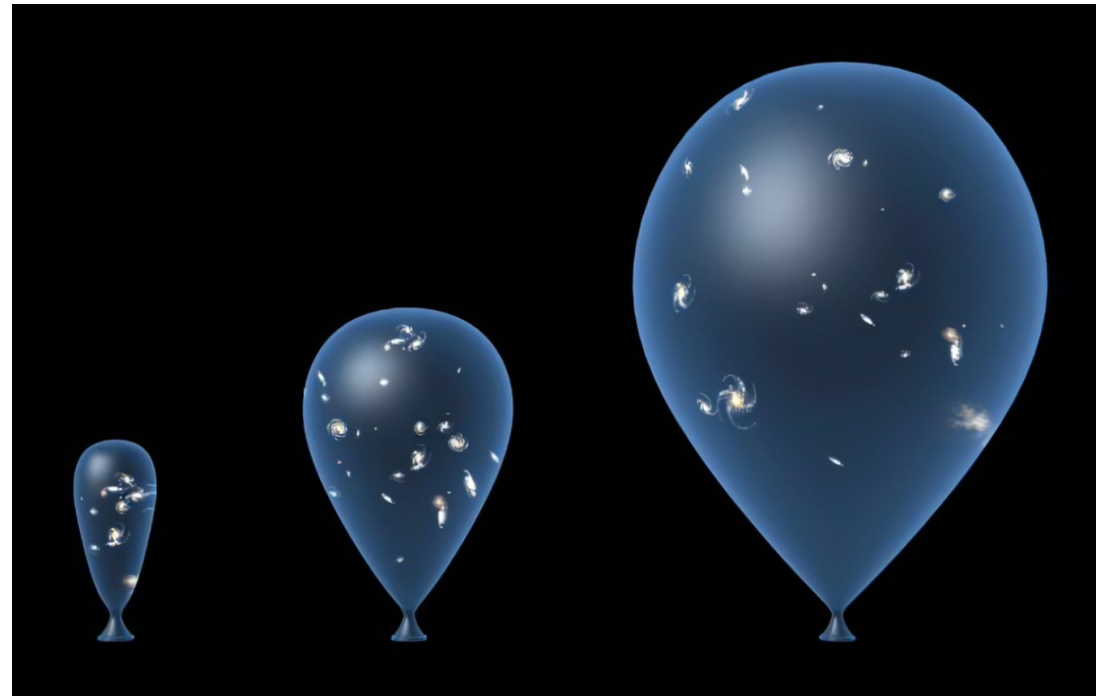
# Homogeneous and Isotropic, expanding Universe

$$ds^2 = g_{\mu\nu} dx^\mu dx^\nu$$

$$ds^2 = -dt^2 + a^2(t) \left[ \frac{dr^2}{1 - kr^2} + r^2 d\theta^2 + r^2 \sin^2 \theta d\phi^2 \right]$$

$$H = \frac{\dot{a}}{a}$$

$$k = \begin{cases} 1 & \text{Closed} \\ 0 & \text{Flat} \\ -1 & \text{Open} \end{cases}$$



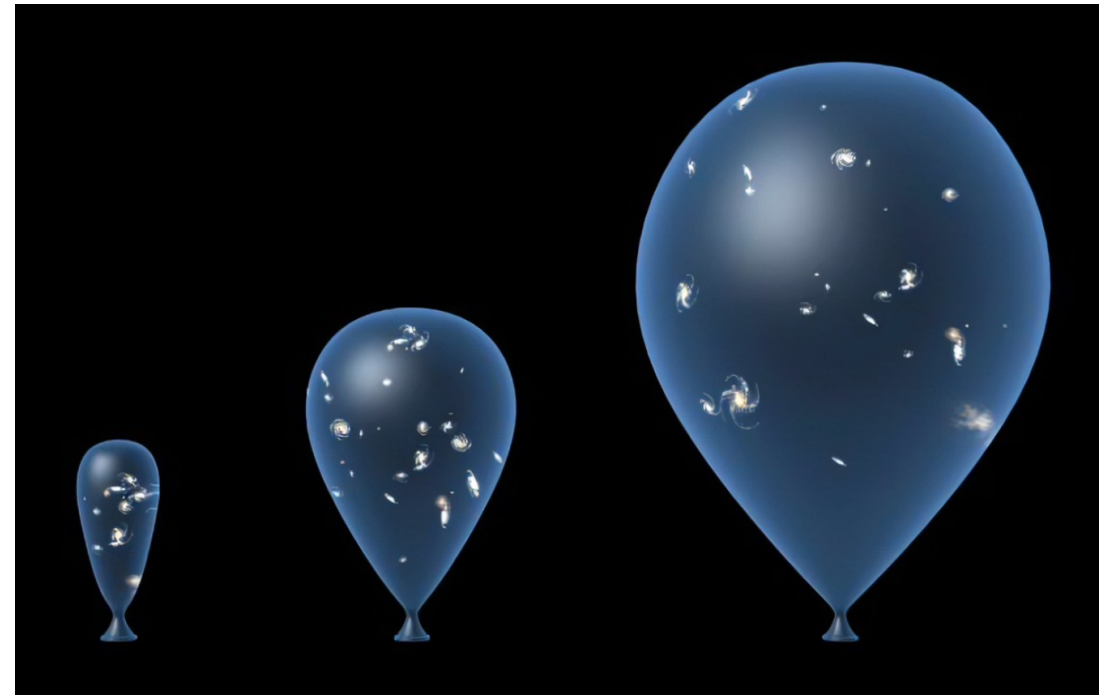
# Homogeneous and Isotropic, expanding Universe

$$ds^2 = g_{\mu\nu} dx^\mu dx^\nu$$

FRW metric

$$ds^2 = -dt^2 + a^2(t) \left[ \frac{dr^2}{1 - kr^2} + r^2 d\theta^2 + r^2 \sin^2 \theta d\phi^2 \right]$$

$k =$	$\begin{cases} 1 \\ 0 \\ -1 \end{cases}$	<table><tr><td>Closed</td></tr><tr><td>Flat</td></tr><tr><td>Open</td></tr></table>	Closed	Flat	Open
Closed					
Flat					
Open					



# Friedmann Equations

$$R_{\mu\nu} - \frac{1}{2}g_{\mu\nu}\mathcal{R} = 8\pi GT_{\mu\nu} \quad ds^2 = -dt^2 + a^2(t)(dx^2 + dy^2 + dz^2)$$

Ideal gas model

$$T_{\nu}^{\mu} = \begin{pmatrix} -\rho & 0 & 0 & 0 \\ 0 & \mathcal{P} & 0 & 0 \\ 0 & 0 & \mathcal{P} & 0 \\ 0 & 0 & 0 & \mathcal{P} \end{pmatrix}$$

00:

$$H^2 = \left(\frac{\dot{a}}{a}\right)^2 = \frac{8\pi G}{3}\rho$$

# Evolution of Energy

$$T_{\nu}^{\mu} = \begin{pmatrix} -\rho & 0 & 0 & 0 \\ 0 & \mathcal{P} & 0 & 0 \\ 0 & 0 & \mathcal{P} & 0 \\ 0 & 0 & 0 & \mathcal{P} \end{pmatrix}$$

Energy momentum conservation:

$$T_{\nu;\mu}^{\mu} = 0 \quad T_{\nu,\mu}^{\mu} + \Gamma_{\alpha\mu}^{\mu} T_{\nu}^{\alpha} - \Gamma_{\nu\mu}^{\alpha} T_{\alpha}^{\mu} = 0$$

$\nu = 0$  component:

$$\frac{\partial \rho}{\partial t} + \frac{\dot{a}}{a} [3\rho + 3\mathcal{P}] = 0$$

# The Evolution of the Universe

- We want to calculate:  $a(t), \rho(t), P(t)$
- The equations we have:

$$H^2 = \left( \frac{\dot{a}}{a} \right)^2 = \frac{8\pi G}{3} \rho \qquad \frac{\partial \rho}{\partial t} + \frac{\dot{a}}{a} [3\rho + 3\mathcal{P}] = 0$$

- We need one more equation.

The relation between  $\rho$  and  $P$ .

# Cosmic Inventory

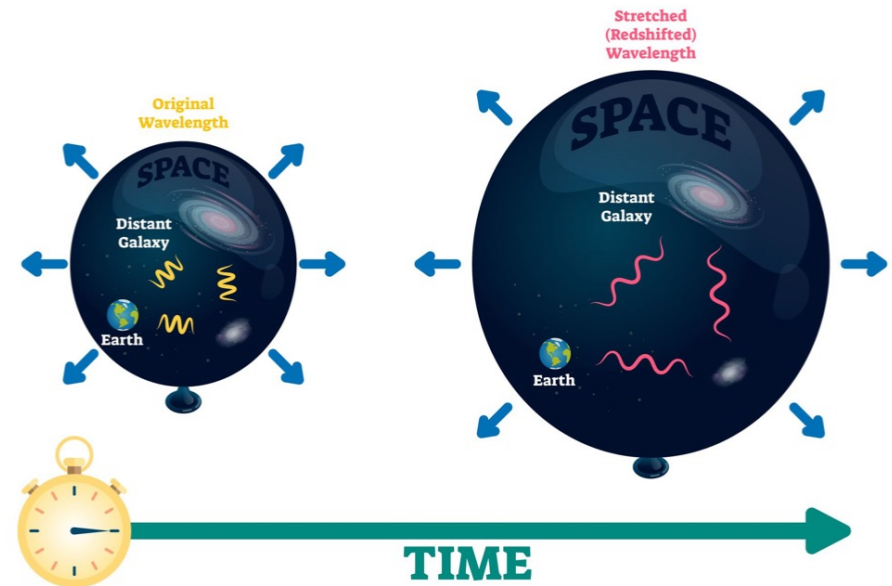
- Relativistic particles (Radiation)
  - Photons & neutrinos

$$\frac{\partial \rho}{\partial t} + \frac{\dot{a}}{a} [3\rho + 3\mathcal{P}] = 0$$

$$\mathcal{P} = \frac{1}{3}\rho \quad \longrightarrow \quad \frac{\dot{\rho}}{\rho} = -\frac{4\dot{a}}{a} = -4H \quad \longrightarrow \quad \rho \sim a^{-4}$$

-3 for particle number conservation,  
-1 for red shift.

$$E_{\gamma} = h\nu = \frac{h}{\lambda}$$





# Cosmic Inventory

- Non-relativistic particles (Matter)
  - Baryon & Dark matter

$$\frac{\partial \rho}{\partial t} + \frac{\dot{a}}{a} [3\rho + 3\mathcal{P}] = 0$$

$$\mathcal{P} = 0 \quad \longrightarrow \quad \frac{\dot{\rho}}{\rho} = -\frac{3\dot{a}}{a} = -3H \quad \longrightarrow \quad \rho \sim a^{-3}$$

-3 for particle number conservation

# Cosmic Inventory

- Vacuum energy

- Dark energy &

The potential energy during inflation

$$\mathcal{P} = -\rho \quad \longrightarrow \quad \rho \sim \text{const.}$$

$$\frac{\partial \rho}{\partial t} + \frac{\dot{a}}{a} [3\rho + 3\mathcal{P}] = 0$$

$$T_{\nu}^{\mu} = \begin{pmatrix} -\rho & 0 & 0 & 0 \\ 0 & \mathcal{P} & 0 & 0 \\ 0 & 0 & \mathcal{P} & 0 \\ 0 & 0 & 0 & \mathcal{P} \end{pmatrix}$$

- Ex: Scalar field

$$\mathcal{L} = -\frac{1}{2} \partial_{\mu} \phi \partial^{\mu} \phi - V(\phi)$$

$$T_{\nu}^{\mu} = -\frac{1}{2} \partial^{\mu} \phi \partial_{\nu} \phi + g_{\nu}^{\mu} \mathcal{L} \quad \longrightarrow \quad -g_{\nu}^{\mu} V(\phi)$$

$$\longrightarrow \quad \rho = -\mathcal{P} = V(\phi)$$

The larger the Universe is, the more potential energy it has.

The expansion is doing negative work.

# The Thermal History of the Universe

$$\rho_{\gamma} \sim a^{-4}$$

$$\rho_{\text{Matter}} \sim a^{-3}$$

$$\rho_{\text{vac}} \sim a^0$$



# Radiation Domination Era

- Evolution of the scale factor

$$H^2 = \left(\frac{\dot{a}}{a}\right)^2 = \frac{8\pi G}{3}\rho \quad \rho = \rho_0 \left(\frac{a}{a_0}\right)^{-4}$$

$$\longrightarrow a(t) = \left[2 \left(\frac{8\pi G}{3}\right)^{1/2}\right] \rho_0^{1/4} a_0 t^{1/2}$$

$$H = \frac{1}{2t}$$

# Radiation Domination Era

- Bosons and Fermions

$$\rho = g \int \frac{d^3 k}{(2\pi)^3} E(\mathbf{k}) f(\mathbf{k}) \quad f(\mathbf{k}) = \frac{1}{e^{E(\mathbf{k})/T} \mp 1}$$

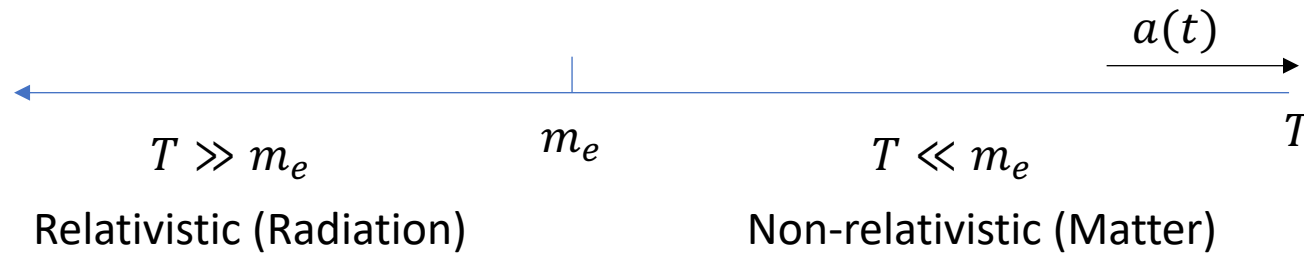
for bosons and fermions

$$\rho = \begin{cases} (\pi^2/30)gT^4 & \text{(boson)} \\ (7/8)(\pi^2/30)gT^4 & \text{(fermion)} \end{cases}$$

- Evolution of temperature  $T \sim a^{-1}$

# Radiation Domination Era

- Threshold Effect (Freeze out of heavy particles)



- $$H^2 = \left( \frac{\dot{a}}{a} \right)^2 = \frac{8\pi G}{3} \rho$$
 
$$H \approx \frac{\rho^{1/2}}{M_{\text{pl}}} \sim \frac{m_e^2}{M_{\text{pl}}} \approx 10^{-22} m_e$$

- Relaxation rate  $R \sim \alpha_{\text{EM}}^n m_e$
- $R \gg H$ , the evolution is quasi-static.

# Entropy

- First Law of Thermal Dynamics

$$\left. \begin{aligned} dU &= -\mathcal{P}dV + TdS \\ U &= \rho(T)V \quad \rightarrow \quad dU = V \frac{d\rho}{dT} dT + \rho dV \end{aligned} \right\}$$

$$\rightarrow dS = \frac{1}{T} \frac{d\rho}{dT} V dT + \left( \frac{\rho + \mathcal{P}}{T} \right) dV$$

$$\rightarrow s = \left( \frac{\partial S}{\partial V} \right)_T = \frac{\rho + \mathcal{P}}{T}$$

# Entropy

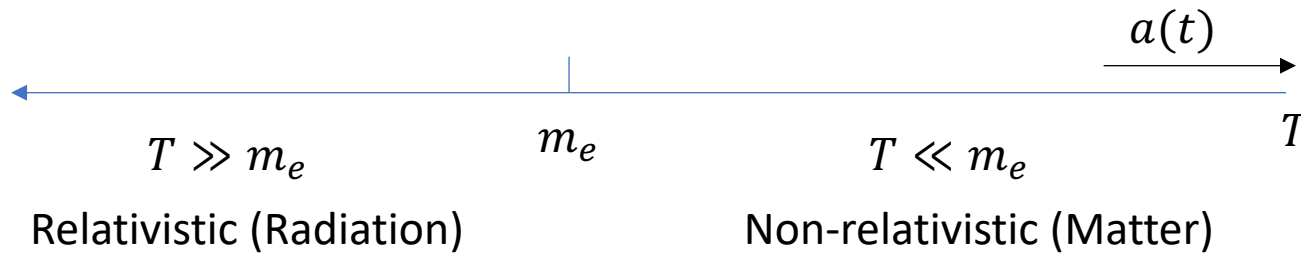
- The entropy density

$$\rho = \frac{\pi^2}{30} g_* T^4 \quad \mathcal{P} = \frac{1}{3} \rho$$
$$g_* = \sum_{\text{bosons}} g_i \left( \frac{T_i}{T} \right)^4 + \frac{7}{8} \sum_{\text{fermions}} g_i \left( \frac{T_i}{T} \right)^4$$
$$s = \frac{\rho + \mathcal{P}}{T} = \frac{2\pi^2}{45} g_* S T^3$$
$$g_* = \sum_{\text{bosons}} g_i \left( \frac{T_i}{T} \right)^3 + \frac{7}{8} \sum_{\text{fermions}} g_i \left( \frac{T_i}{T} \right)^3$$



# Entropy

- Match the entropy before and after integrating out heavy particles

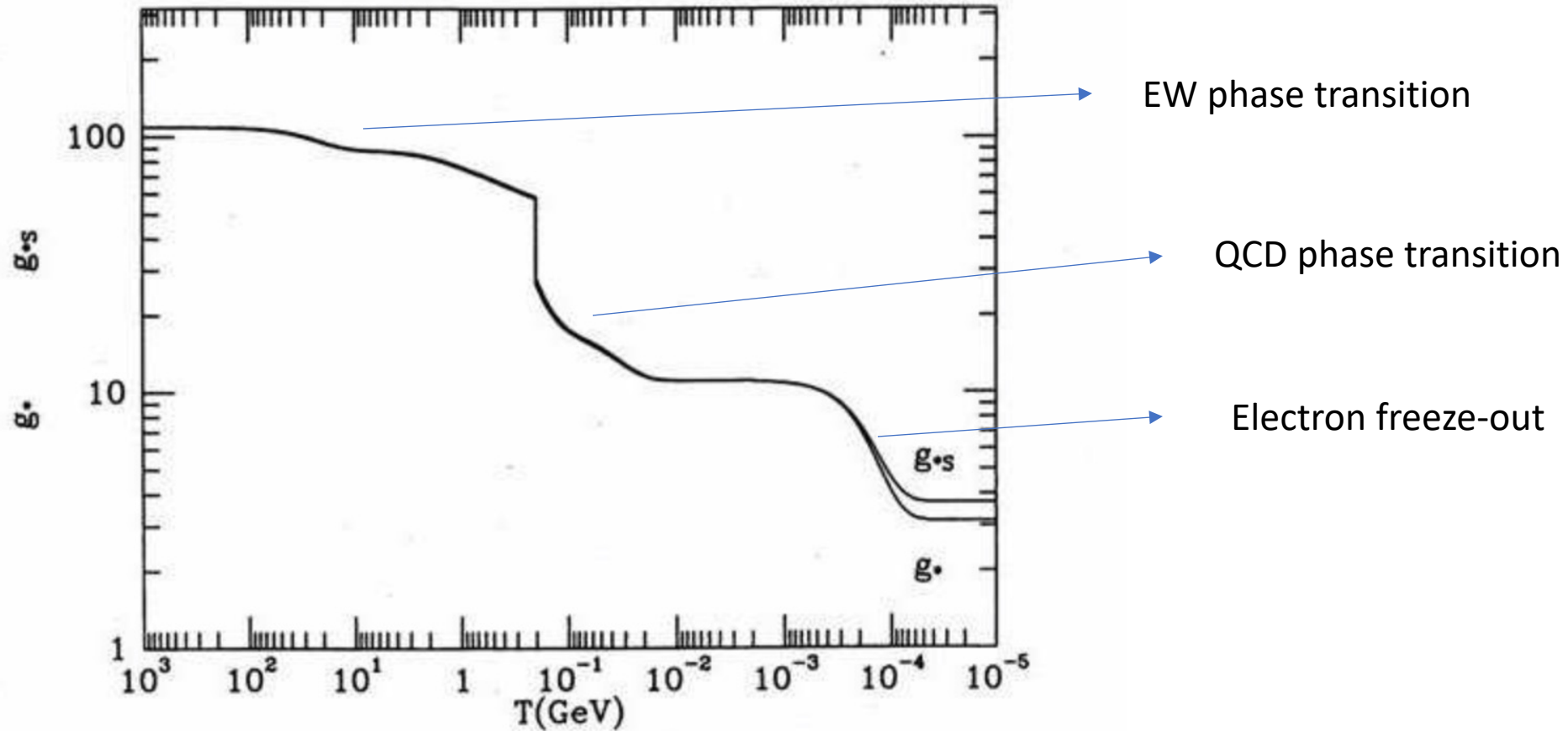


Neutrinos are already decoupled. **Consider only the gamma and electron**

$$g_* = g_{*S} = \frac{11}{2} \quad \gamma \times 2, e^\pm \times 4$$
$$g_* = g_{*S} = 2 \quad \gamma \times 2$$

→  $T_\gamma^{\text{after}} = \left(\frac{11}{4}\right)^{1/3} T_\gamma^{\text{before}}$

# Evolution of Relativistic Degrees of Freedom



Kolb & Turner "The Early Universe"

# Matter Domination Era

- Evolution of the scale factor

$$H^2 = \left(\frac{\dot{a}}{a}\right)^2 = \frac{8\pi G}{3}\rho \quad \rho = \rho_0 \left(\frac{a}{a_0}\right)^{-3}$$


$$\longrightarrow a(t) = \left(\frac{3}{2}H_0 t\right)^{2/3} a_0$$

$$H = \frac{2}{3t}$$

# Vacuum Energy Domination Era

- Evolution of the scale factor

$$H^2 = \left( \frac{\dot{a}}{a} \right)^2 = \frac{8\pi G}{3} \rho \qquad \rho = \rho_0 = \text{const.}$$

  $a(t) = a_0 e^{H(t-t_0)}$

$$H = H_0$$

# A Little Summary

- Evolution of scale factor

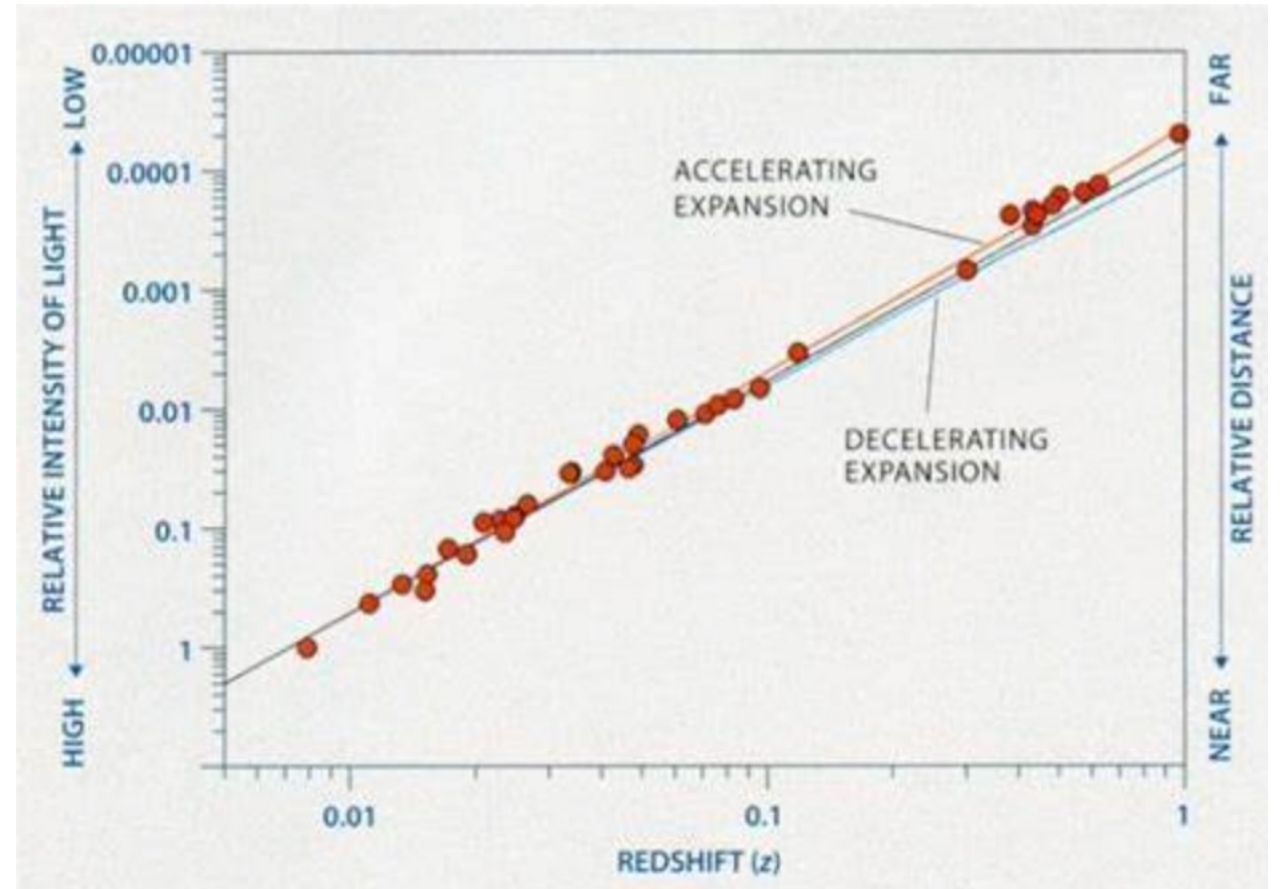
$$a(t) = \begin{cases} a_0(2H_0t)^{1/2} & \text{RD} \\ a_0(3H_0t/2)^{2/3} & \text{MD} \\ a_0e^{H_0(t-t_0)} & \text{CC} \end{cases}$$

- Acceleration

$$\ddot{a} < 0 \quad \text{RD}$$

$$\ddot{a} < 0 \quad \text{DM}$$

$$\ddot{a} > 0 \quad \text{CC}$$



# Today's Universe

$$H^2 = \frac{8\pi G}{3} \rho \quad \longrightarrow$$

$$\Omega_i = \frac{\rho_i^{(0)}}{\rho_{\text{crit}}}$$

$$\Omega_\gamma = 5.38 \times 10^{-5}$$

$$\Omega_b = 0.0493$$

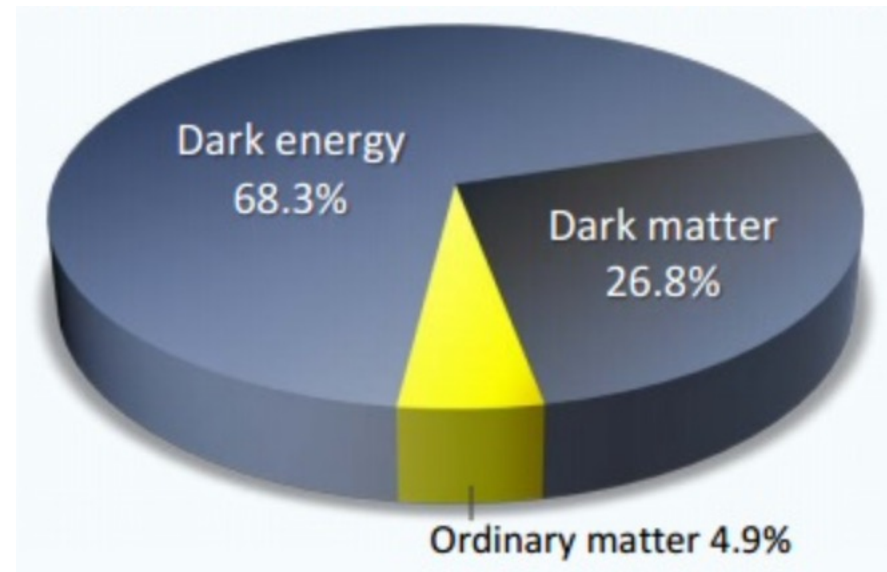
$$\Omega_{DM} = 0.265$$

$$\Omega_\Lambda = 0.685$$

$$\frac{3H_0^2}{8\pi G} = \sum_i \rho_i + \text{curvature term}$$

$\rho_{\text{crit}}$

Hubble parameter today



# Matter-Radiation Equality

- $g_*$  today  $g_* = 2 + 6 \times \frac{7}{8} \times \left(\frac{T_\nu}{T_\gamma}\right)^4 \approx 3.36$

$$2 \times \frac{\pi^2}{30} g_* T_{\text{CMB}}^4 / \rho_{\text{crit}} = \Omega_\gamma = 5.38 \times 10^{-5}$$

$$\Omega_M = \Omega_{DM} + \Omega_b \approx 0.27$$

- Matter-Radiation Equality:

$$\Omega_M \left(\frac{a_{eq}}{a_0}\right)^{-3} = \frac{3.36}{2} \Omega_\gamma \left(\frac{a_{eq}}{a_0}\right)^{-4} \longrightarrow 1 + z_{eq} \equiv \frac{a_0}{a_{eq}} \approx 3000$$

# How large the vacuum energy is?

- $\Omega_\Lambda \approx 0.685$

$$\rho_\Lambda = \Omega_\Lambda \times \rho_{\text{crit}} = \Omega_\Lambda \times \frac{3H_0^2}{8\pi G}$$

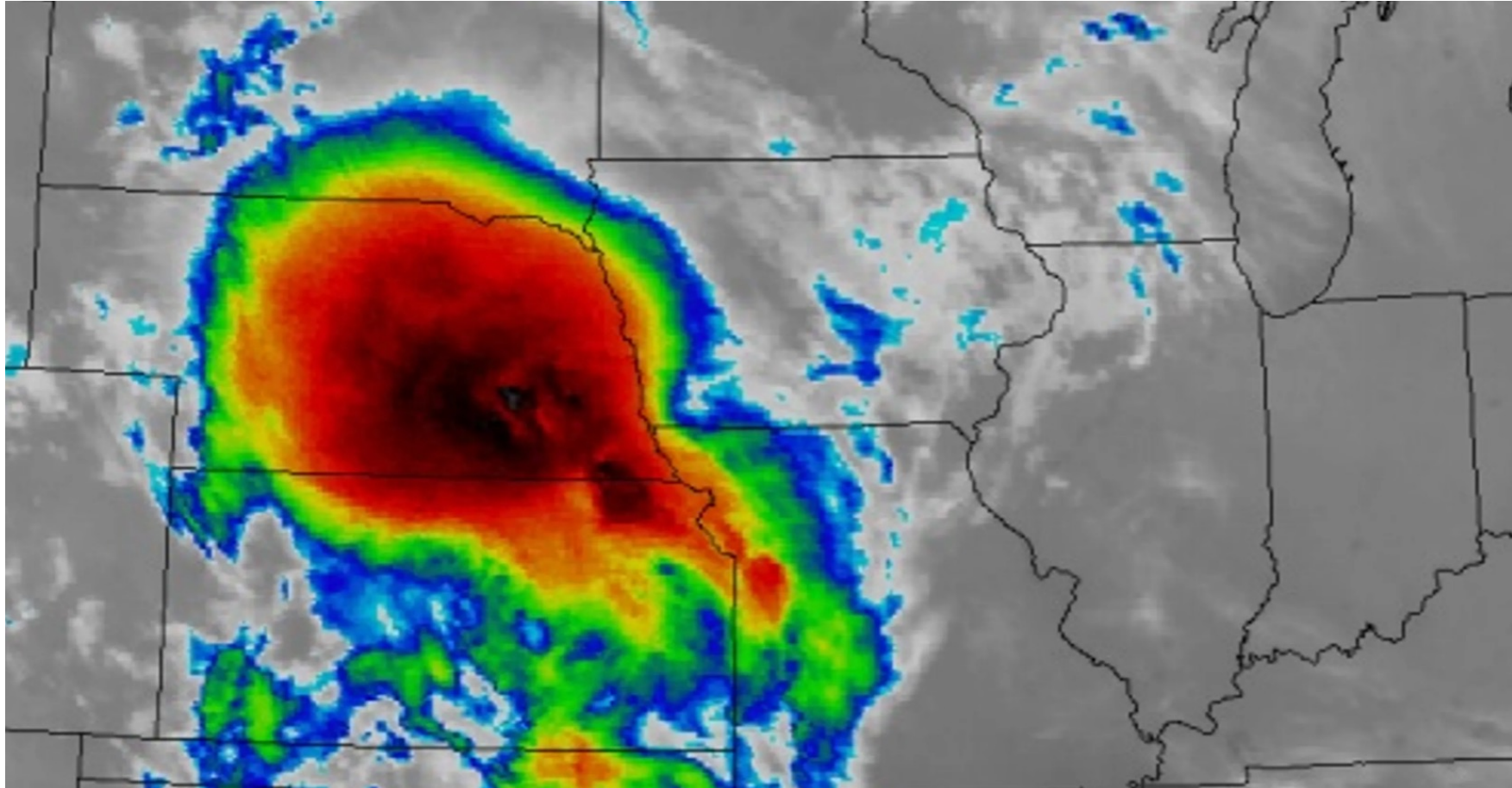
$$H_0 = 67.4 \text{ km/sec/Mpc} = 1.44 \times 10^{-42} \text{ GeV}$$

$$\rho_\Lambda = 2.52 \times 10^{-47} \text{ GeV}^4$$

- Quantum corrections from the Planck scale  $\sim G^{-2} \sim 10^{76} \text{ GeV}^4$
- 120 orders of magnitude fine-tuning. The Cosmological Constant problem

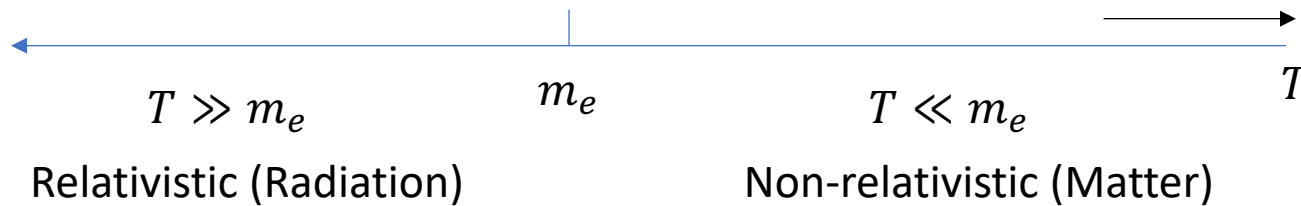


# Beyond the Equilibrium



# Beyond the Equilibrium

- We have been cheating in the case of  $e^\pm$  annihilation.



$$\Gamma_{e^\pm \rightarrow \gamma\gamma} \propto n_e \sim e^{-m_e/T}$$

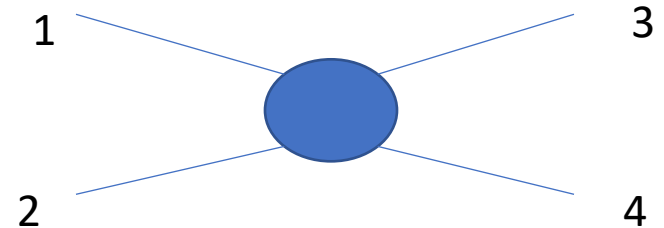
- At some point,  $\Gamma_{e^\pm \rightarrow \gamma\gamma} < H$
- Nonequilibrium processes always happen during the expansion of the Universe.

# The Boltzmann Equation

- What if no interactions?
  - Particle number must be conserved.
  - $n_i$ : **physical** number density of some kind of particle.

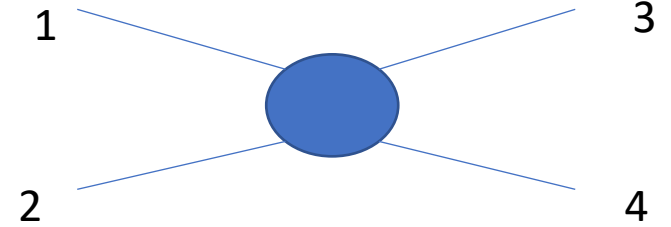
$$\frac{d(a^3 n_i)}{dt} = 0 \quad \longrightarrow \quad \frac{dn_i}{dt} + 3H n_i = 0$$

- With interactions.
  - Particle 1 can disappear through  $12 \rightarrow 34$ .
  - Particle 1 can reappear through  $34 \rightarrow 12$ .



# The Boltzmann Equation

- Number density  $n_1 = \int \frac{d^3 p_1}{(2\pi)^3} f_1(\mathbf{p}_1) .$



$$\begin{aligned}
 a^{-3} \frac{dn_1 a^3}{dt} = & \int \frac{d^3 p_1}{(2\pi)^3 2E_1} \int \frac{d^3 p_2}{(2\pi)^3 2E_2} \int \frac{d^3 p_3}{(2\pi)^3 2E_3} \int \frac{d^3 p_4}{(2\pi)^3 2E_4} \\
 & \times (2\pi)^4 \delta^4(p_1 + p_2 - p_3 - p_4) |\mathcal{M}(12 \rightarrow 34)|^2 \\
 & \times \{ f_3 f_4 [1 \pm f_1][1 \pm f_2] - f_1 f_2 [1 \pm f_3][1 - \pm f_4] \} \\
 & \qquad \qquad \qquad \text{34} \rightarrow \text{12} \qquad \qquad \qquad \text{12} \rightarrow \text{34}
 \end{aligned}$$

# The Boltzmann Equation

$$\begin{aligned} a^{-3} \frac{dn_1 a^3}{dt} = & \int \frac{d^3 p_1}{(2\pi)^3 2E_1} \int \frac{d^3 p_2}{(2\pi)^3 2E_2} \int \frac{d^3 p_3}{(2\pi)^3 2E_3} \int \frac{d^3 p_4}{(2\pi)^3 2E_4} \\ & \times (2\pi)^4 \delta^4(p_1 + p_2 - p_3 - p_4) |\mathcal{M}(12 \rightarrow 34)|^2 \\ & \times \{f_3 f_4 [1 \pm f_1][1 \pm f_2] - f_1 f_2 [1 \pm f_3][1 - \pm f_4]\} \end{aligned}$$

$1 + f$  for bosonic final state, Bosonic condensation.

$1 - f$  for fermionic final state, Pauli exclusion principle.

# The Boltzmann Equation

$$\begin{aligned} a^{-3} \frac{dn_1 a^3}{dt} = & \int \frac{d^3 p_1}{(2\pi)^3 2E_1} \int \frac{d^3 p_2}{(2\pi)^3 2E_2} \int \frac{d^3 p_3}{(2\pi)^3 2E_3} \int \frac{d^3 p_4}{(2\pi)^3 2E_4} \\ & \times (2\pi)^4 \delta^4(p_1 + p_2 - p_3 - p_4) |\mathcal{M}(12 \rightarrow 34)|^2 \\ & \times \{f_3 f_4 [1 \pm f_1][1 \pm f_2] - f_1 f_2 [1 \pm f_3][1 - \pm f_4]\} \end{aligned}$$

For homogeneous distributions:  $f_i$  can only be functions of  $E_i$ .

Thermal equilibrium means Collision term = 0.

$$f_i^{eq} = \frac{1}{e^{(E_i - \mu_i)/T} \mp 1}$$

# Non-equilibrium

- Non-equilibrium usually happens when  $H > \Gamma$ .
  - $H$  is suppressed by Planck scale.
  - We usually need  $\Gamma$  to be suppressed exponentially.
  - Non-equilibrium usually happens when particle number is exponentially suppressed.

$$f_i = \frac{1}{e^{(E_i - \mu_i)/T} \mp 1} \approx e^{\mu_i/T} e^{-E_i/T} \ll 1$$

$$n_i = g_i e^{\mu_i/T} \int \frac{d^3 p}{(2\pi)^3} e^{-E_i/T} = e^{\mu_i/T} n_i^{(0)}$$

# Non-equilibrium

$$a^{-3} \frac{dn_1 a^3}{dt} = n_1^{(0)} n_2^{(0)} \langle \sigma v \rangle \left\{ \frac{n_3 n_4}{n_3^{(0)} n_4^{(0)}} - \frac{n_1 n_2}{n_1^{(0)} n_2^{(0)}} \right\}$$

$$\langle \sigma v \rangle = \frac{1}{n_1^{(0)} n_2^{(0)}} \int \frac{d^3 p_1}{(2\pi)^3 2E_1} \int \frac{d^3 p_2}{(2\pi)^3 2E_2} \int \frac{d^3 p_3}{(2\pi)^3 2E_3} \int \frac{d^3 p_4}{(2\pi)^3 2E_4} \\ \times e^{-(E_1 + E_2)/T} (2\pi)^4 \delta^4(p_1 + p_2 - p_3 - p_4) |\mathcal{M}|^2$$

In case of thermal equilibrium:

$$\frac{n_3 n_4}{n_3^{(0)} n_4^{(0)}} = \frac{n_1 n_2}{n_1^{(0)} n_2^{(0)}}$$



# The Thermal History of the Universe

$$\rho_{\gamma} \sim a^{-4}$$

$$\rho_{\text{Matter}} \sim a^{-3}$$

$$\rho_{\text{vac}} \sim a^0$$



# Big Bang Nucleosynthesis

- Basic facts:

- It started when  $T \sim 1$  MeV.
- Tightly coupled relativistic particles:  $\gamma, e^\pm$ .
- Tightly coupled non-relativistic particles: baryons ( $p, n$ ).
- Decoupled relativistic particles: neutrinos.

- $\eta \equiv n_b/n_\gamma \approx 6.2 \times 10^{-10}$ .

- Nuclear binding energy,  $B \equiv Zm_p + (A - Z)m_n - m$

- Neutrons and protons can interconvert via weak interactions:

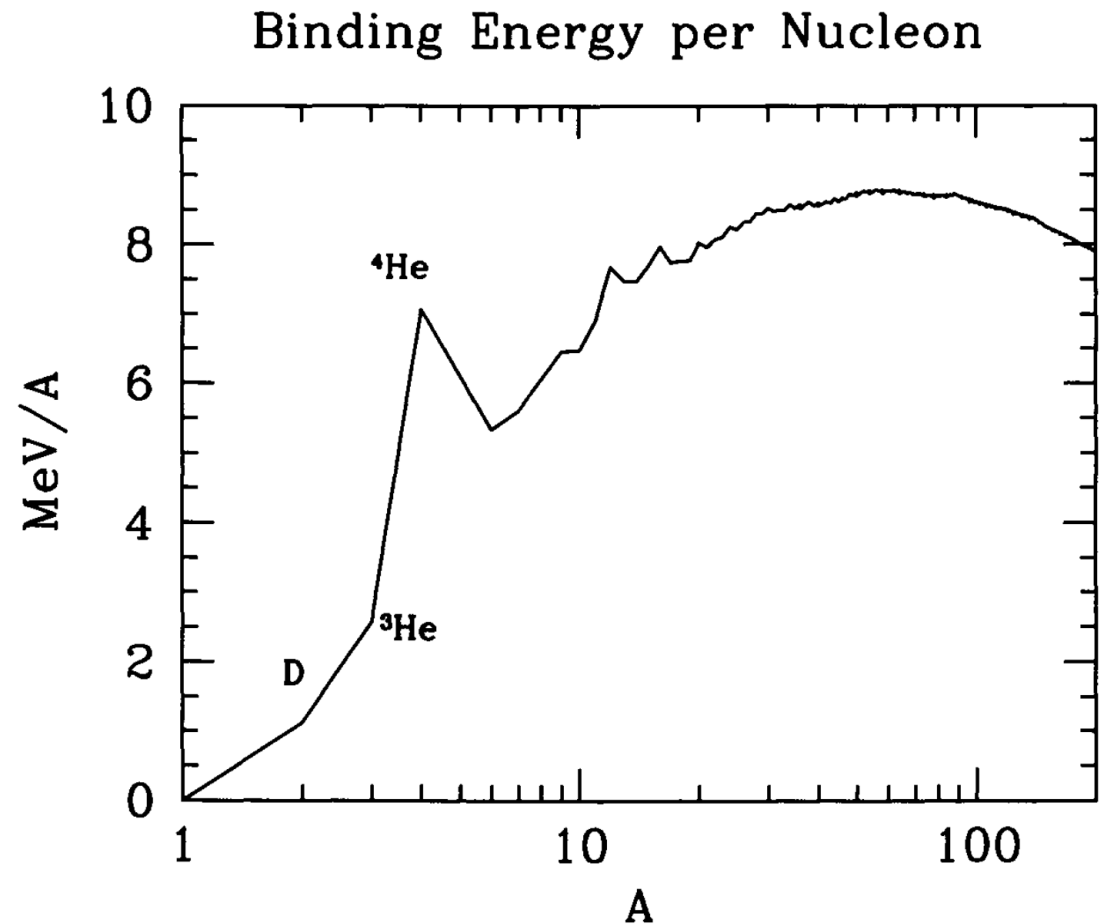
$$p + \bar{\nu} \leftrightarrow n + e^+ \quad p + e^- \leftrightarrow n + \nu \quad n \leftrightarrow p + e^- + \bar{\nu}$$

- Light elements are produced via electromagnetic interactions:

$$p + n \rightarrow D + \gamma \quad D + D \rightarrow n + {}^3\text{He} \quad {}^3\text{He} + D \rightarrow p + {}^4\text{He}$$

# Big Bang Nucleosynthesis (Simplified version)

- We only consider hydrogen and helium and their isotopes. (Deuterium, tritium, and  $^3\text{He}$ )
- For  $T > 0.1$  MeV, we assume no light nuclei are formed, we can consider only proton. and neutrons.
- We first calculate the neutron proton ratio, and then use it to calculate the abundances of other isotopes.



# Big Bang Nucleosynthesis (Simplified version)

- Consider  $n + p \leftrightarrow D + \gamma$

- Equilibrium condition: 
$$\frac{n_D n_\gamma}{n_D^{(0)} n_\gamma^{(0)}} = \frac{n_n n_p}{n_n^{(0)} n_p^{(0)}} \xrightarrow{n_\gamma = n_\gamma^{(0)}} \frac{n_D}{n_n n_p} = \frac{n_D^{(0)}}{n_n^{(0)} n_p^{(0)}}$$

- For NR particles: 
$$n^{(0)} = \int \frac{d^3 p}{(2\pi)^3} e^{-m/T - p^2/2mT} = \left( \frac{mT}{2\pi} \right)^{3/2} e^{-m/T}$$

$$\xrightarrow{\quad} \frac{n_D}{n_p n_n} = \frac{3}{4} \left( \frac{4\pi}{m_p T} \right)^{3/2} e^{B_D/T}$$

# Big Bang Nucleosynthesis (Simplified version)

$$\frac{n_D}{n_p n_n} = \frac{3}{4} \left( \frac{4\pi}{m_p T} \right)^{3/2} e^{B_D/T}$$
$$n_p \sim n_b, \quad n_b = \eta_b n_\gamma \approx \eta_b T^3$$

} →

$$\frac{n_D}{n_n} \sim \underbrace{\eta_b}_{10^{-15}} \left( \frac{T}{m_p} \right)^{3/2} e^{B_D/T}$$

$B_D = 2.2 \text{ MeV}$

→  $n_D/n_n \ll 1$  as long as  $T > 0.1 \text{ MeV}$ .


# Neutron proton ratio

- Leptons (electron, positron and neutrinos are in thermal equilibrium)

$$n_e = n_\nu = n_e^{(0)} = n_\nu^{(0)} = n_l^{(0)}$$

- Boltzmann Equation

$$a^{-3} \frac{dn_n a^3}{dt} = n_n^{(0)} n_l^{(0)} \langle \sigma v \rangle \left\{ \frac{n_p n_l}{n_p^{(0)} n_l^{(0)}} - \frac{n_n n_l}{n_n^{(0)} n_l^{(0)}} \right\}$$


$$a^{-3} \frac{dn_n a^3}{dt} = n_l^{(0)} \langle \sigma v \rangle \left\{ \frac{n_p n_n^{(0)}}{n_p^{(0)}} - n_n \right\}$$

# Neutron proton ratio

- Baryon number is conserved  $n_p + n_n \sim a^{-3}$

$$X_n \equiv \frac{n_n}{n_n + n_p}$$

$$a^{-3} \frac{dn_n a^3}{dt} = n_l^{(0)} \langle \sigma v \rangle \left\{ \frac{n_p n_n^{(0)}}{n_p^{(0)}} - n_n \right\}$$



$$\frac{dX_n}{dt} = \Gamma_{np} \left\{ (1 - X_n) e^{-Q/T} - X_n \right\}$$

$$\langle \sigma v \rangle n_l^{(0)}$$

$$Q = m_n - m_p$$

# Neutron proton ratio

- Convert  $t$  to  $T$        $T \sim a^{-1} \longrightarrow \frac{dT}{Tdt} = -\frac{da}{adt} = -H$        $x \equiv \frac{Q}{T}$

- In RD,  $H \sim \rho^{\frac{1}{2}} \sim T^2 \sim x^{-2} \longrightarrow H = x^{-2} H(x = 1)$

- $\frac{dX_n}{dx} = \frac{x\Gamma_{np}}{H(x = 1)} \{e^{-x} - X_n(1 + e^{-x})\}$

$$\Gamma_{np} = \frac{255}{\tau_n x^5} (12 + 6x + x^2)$$

$$\tau_n = 886.7 \text{ sec} \qquad H(x = 1) = 1.13 \text{ sec}^{-1}$$



# Neutron proton ratio

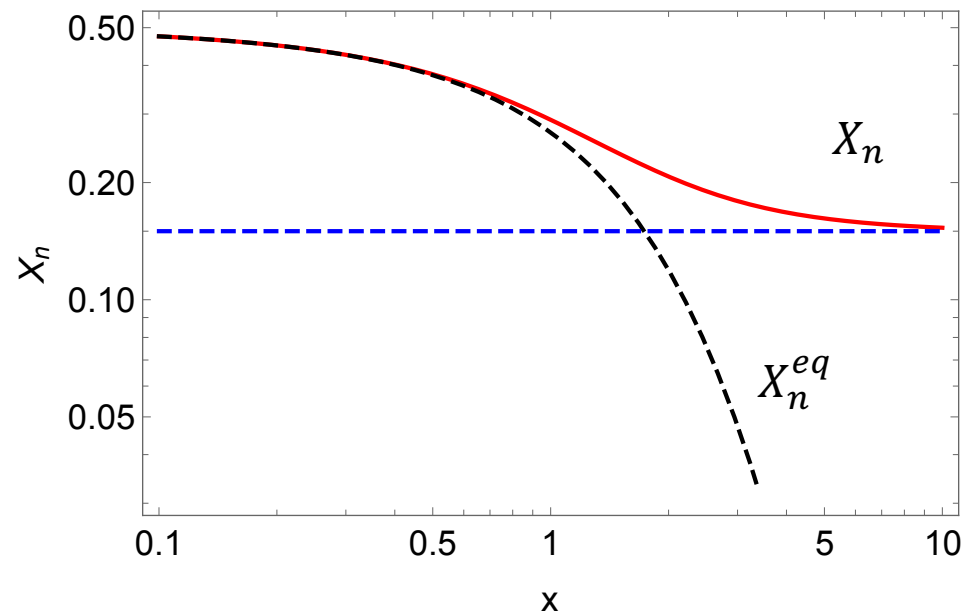
$$\Gamma_{np} = \frac{255}{\tau_n x^5} (12 + 6x + x^2)$$

- $$\frac{dX_n}{dx} = \frac{x\Gamma_{np}}{H(x=1)} \{e^{-x} - X_n(1 + e^{-x})\}$$

- At  $x \ll 1$ , the system is in thermal equilibrium,  $X_n = 1/2$ .

- $X_n$  freezes at 0.15 at  $T \approx 0.5$  MeV


- Neutrons decay after freezing-out, until they are inside stable nucleus.



# Light Element Abundances

- Deuterium revisit  $\frac{n_D}{n_n} \sim \eta_b \left( \frac{T}{m_p} \right)^{3/2} e^{B_D/T}$

- $n_D \approx n_n$ :  $\log(\eta_b) + \frac{3}{2} \log(T_{\text{nuc}}/m_p) \sim -\frac{B_D}{T_{\text{nuc}}}$

  $T_{\text{nuc}} \approx 0.07 \text{ MeV}$

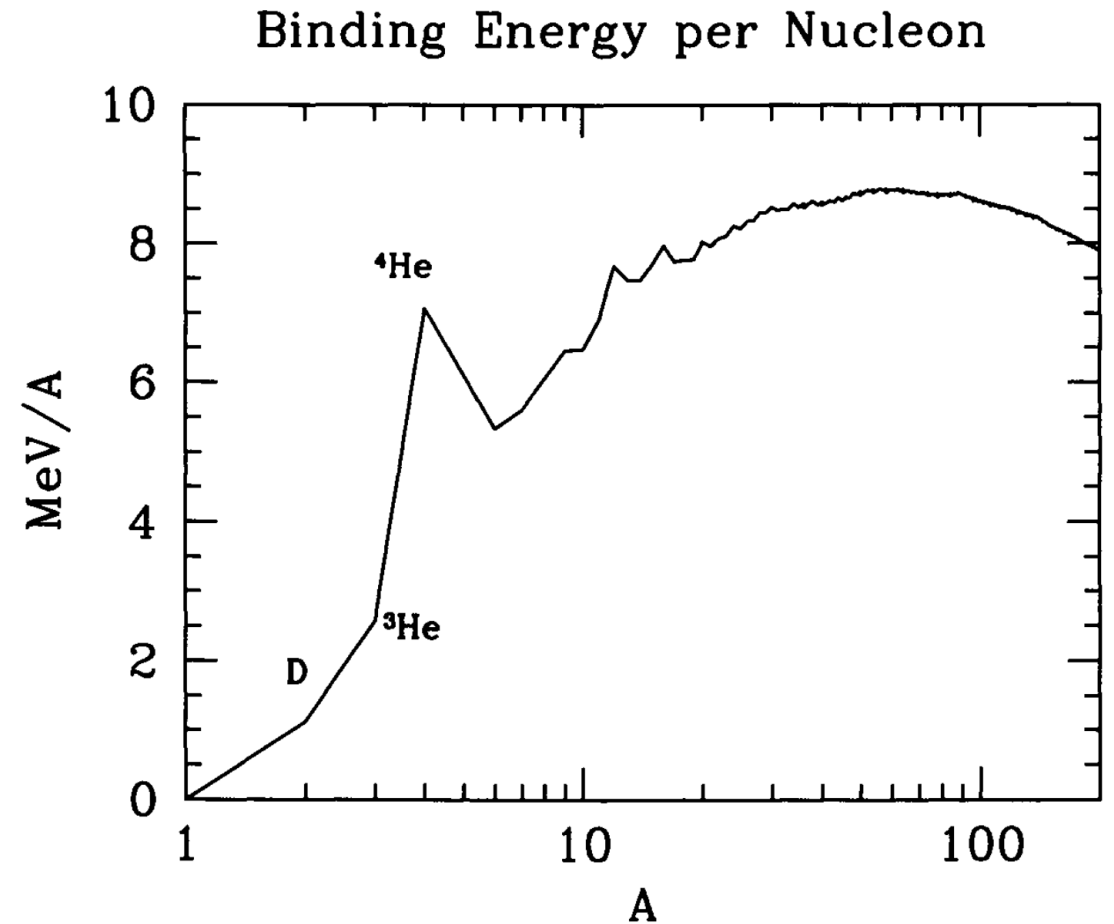
- Neutron decay from  $T_{\text{freeze}} = 0.5 \text{ MeV}$  to  $T_{\text{nuc}} \approx 0.07 \text{ MeV}$ .
- $X_n$  from 0.15 to 0.11.

# Light Element Abundances

- ${}^4\text{He}$  has the largest binding energy per nucleon.
- All the neutrons will go into  ${}^4\text{He}$ .

- Mass fraction:

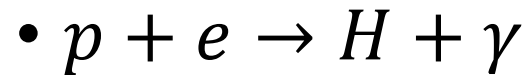
$$X_4 \equiv \frac{4n_{{}^4\text{He}}}{n_b} = 2X_n(T_{\text{nuc}}) \approx 0.22$$



# Recombination



# Recombination



$$\frac{n_p n_e}{n_p^{(0)} n_e^{(0)}} = \frac{n_H n_\gamma}{n_H^{(0)} n_\gamma^{(0)}} \quad n_\gamma = n_\gamma^{(0)} \quad \longrightarrow \quad \frac{n_e n_p}{n_H} = \frac{n_e^{(0)} n_p^{(0)}}{n_H^{(0)}} \quad \text{All non-relativistic}$$

$$X_e \equiv \frac{n_e}{n_e + n_H} = \frac{n_p}{n_p + n_H}$$

$$\frac{X_e^2}{1 - X_e} = \frac{1}{n_e + n_H} \left[ \left( \frac{m_e T}{2\pi} \right)^{3/2} e^{-(m_e + m_p - m_H)/T} \right]$$

# Recombination

- $$\frac{X_e^2}{1 - X_e} = \frac{1}{n_e + n_H} \left[ \left( \frac{m_e T}{2\pi} \right)^{3/2} e^{-(m_e + m_p - m_H)/T} \right]$$

- At  $T \sim 10$  eV: 
$$\frac{X_e^2}{1 - X_e} \approx \eta_b^{-1} \left( \frac{m_e}{T} \right)^{3/2} \sim 10^{18} \gg 1$$

  $X_e \approx 1$       Recombination not start yet.

- $$\frac{X_e^2}{1 - X_e} \sim 1 \quad \text{when} \quad \frac{m_e + m_p - m_H}{T} \sim \log 10^{18} \quad \img alt="blue arrow" data-bbox="675 735 740 780"/> \quad T_{\text{rec}} \approx 0.33 \text{ eV}$$



# Summary





# Basics about Inflationary Universe





# Problems with the Thermal Big Bang Universe

- Horizon Problem
- Flatness Problem

# The FRW metric of the Homogeneous and Isotropic Universe

- FRW metric

$$ds^2 = -dt^2 + a^2(t) \left( \frac{dr^2}{1 - kr^2} + r^2(d\theta^2 + \sin^2 \theta d\phi^2) \right)$$

- Choose a new coordinate

$$ds^2 = -dt^2 + a^2(t) (d\chi^2 + \Phi_k(\chi^2)(d\theta^2 + \sin^2 \theta d\phi^2))$$

$$r^2 = \Phi_k(\chi^2) \equiv \begin{cases} \sinh^2 \chi & k = -1 \\ \chi^2 & k = 0 \\ \sin^2 \chi & k = +1 \end{cases} \quad \underline{H \equiv \frac{\dot{a}}{a}}$$

# Conformal Time and Horizons

- Conformal time:  $\tau = \int \frac{dt}{a(t)}$

$$ds^2 = a(\tau)^2 [-d\tau^2 + (d\chi^2 + \Phi_k(\chi^2)(d\theta^2 + \sin^2 \theta d\phi^2))]$$



Only consider radial motion

$$ds^2 = a(\tau)^2 [-d\tau^2 + d\chi^2]$$

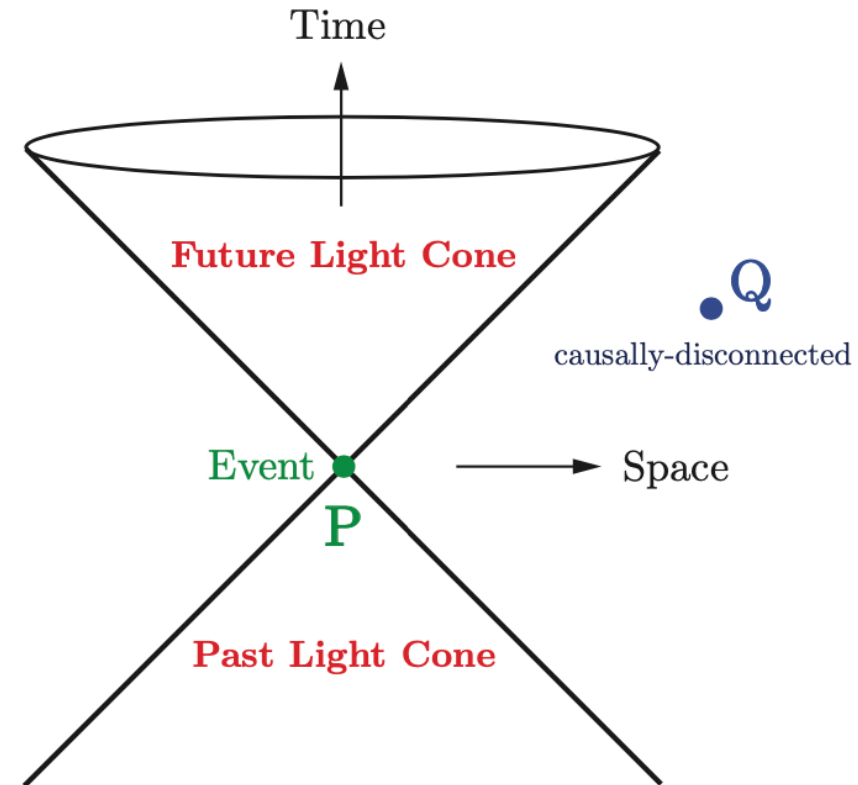
# Conformal Time and Horizons

- Radial null geodesics of light

$$ds^2 = a(\tau)^2 [-d\tau^2 + d\chi^2]$$

$$\chi(\tau) = \pm\tau + \text{const.}$$

P and Q are causally disconnected



# Particle Horizon

- The maximum distance light can propagate between an initial time  $t_i$  and some later time  $t$ :

$$\chi_p(\tau) = \tau - \tau_i = \int_{t_i}^t \frac{dt}{a(t)}$$

- Physical size:  $d_p(t) = a(t)\chi_p$

# Event Horizon

- A event horizon defines the set of points from which signals sent at a given moment  $\tau$  will never be received by an observer in the future.

$$\chi > \chi_e = \int_{\tau}^{\tau_{\max}} d\tau = \tau_{\max} - \tau$$

- Physical size of event horizon

$$d_e(t) = a(t)\chi_e$$

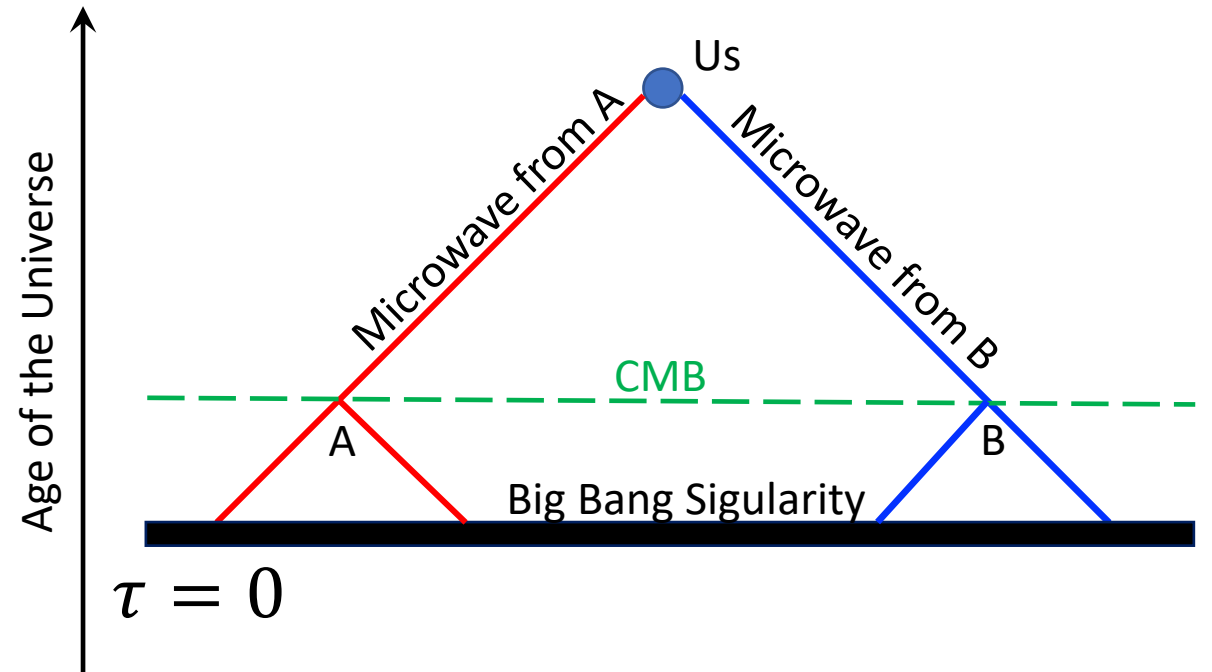
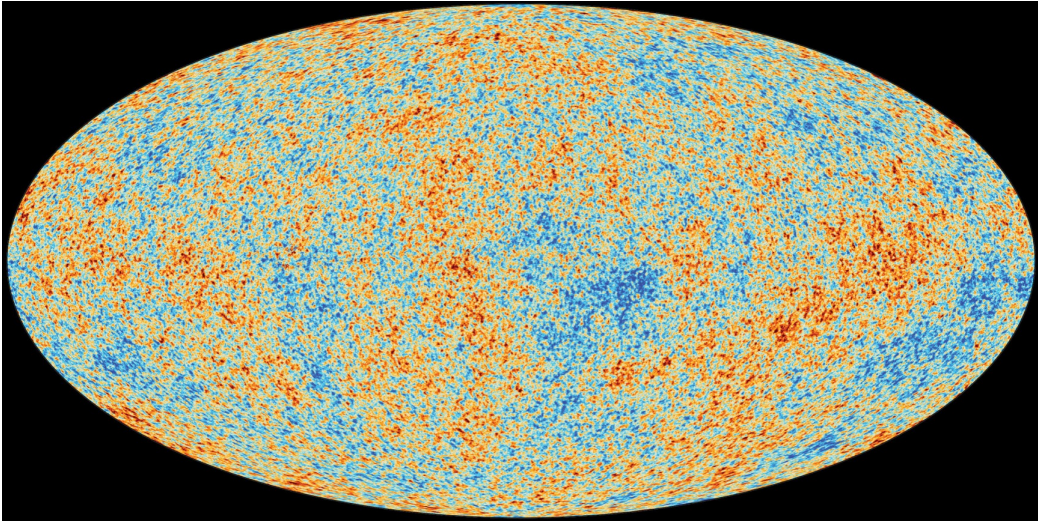
# The Horizon Problem

- In thermal big bang,  $a(t) \sim t^p$ ,
- $p = 1/2$  for RD,  $2/3$  for MD.  $p < 1$ .

$$d\tau = t^{-p} dt = \frac{1}{1-p} dt^{1-p} \quad \longrightarrow \quad \tau = \frac{1}{1-p} t^{1-p} \quad a \sim \tau^{\frac{p}{1-p}}$$
$$\quad \quad \quad \longrightarrow \quad \tau \in (0, \infty)$$

- The event horizon becomes larger and larger.
- We can see farther and farther.
- The comoving horizon today much larger than the horizon at recombination
- The conformal time has a finite beginning.

# The Horizon Problem



The past lightcones of A and B had never met.




# Flatness problem

$$\Omega_k \equiv \Omega - 1 = \frac{\rho - \rho_{\text{crit}}}{\rho_{\text{crit}}}$$

$$H^2 = \frac{1}{3} \rho(a) - \frac{k}{a^2}$$

$a^{-4}$  in radiation domination



Define how flat the Universe is

$$1 - \Omega(a) = \frac{-k}{(aH)^2} \sim a^2$$

The Universe is flatter at earlier time during radiation domination.

$$|\Omega(a_{\text{BBN}}) - 1| \leq \mathcal{O}(10^{-16})$$

$$|\Omega(a_{\text{GUT}}) - 1| \leq \mathcal{O}(10^{-55})$$

$$|\Omega(a_{\text{pl}}) - 1| \leq \mathcal{O}(10^{-61})$$

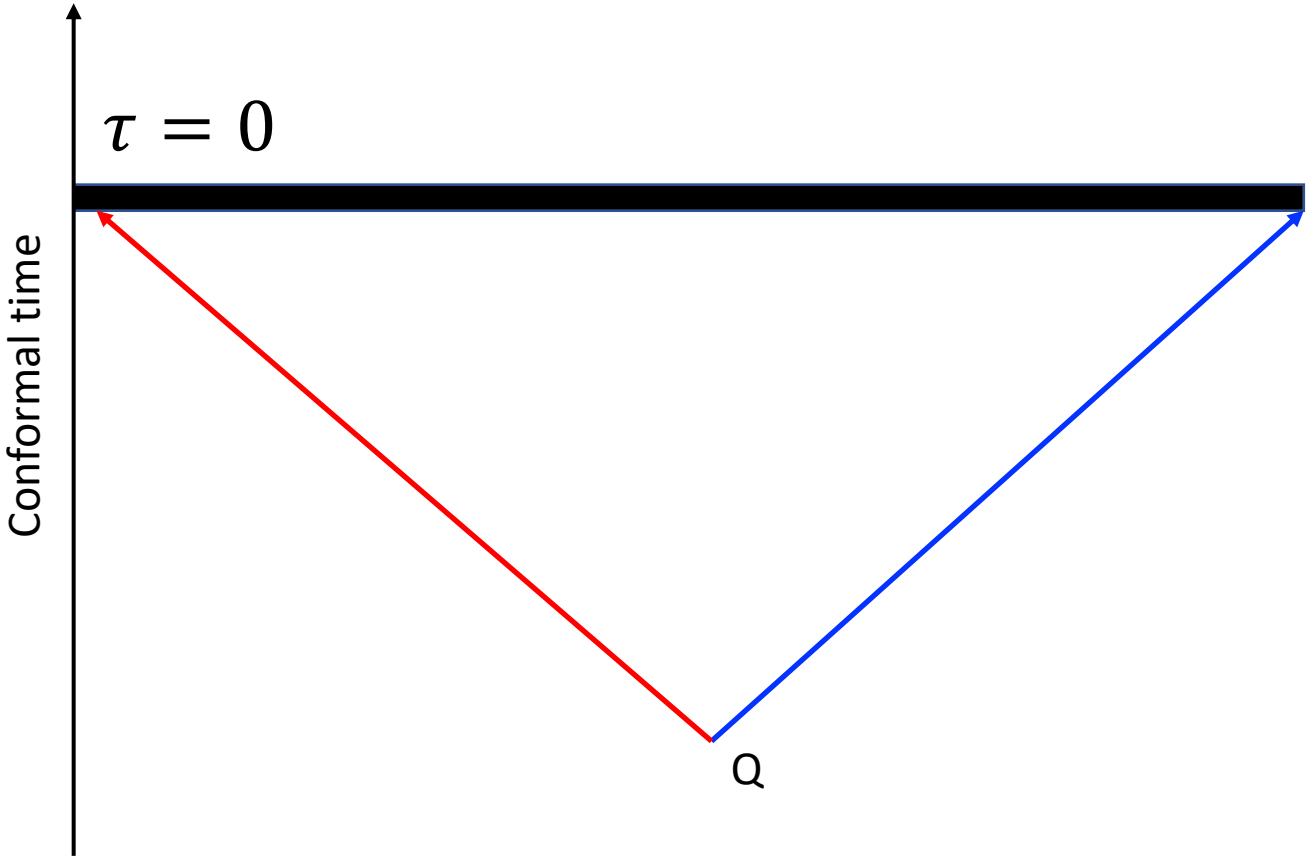
# A first look of Inflation

- To solve the problems, we need accelerating expansion.
- Parameterize the scale factor as  $a(t) \sim t^p$ , we need  $p > 1$ .

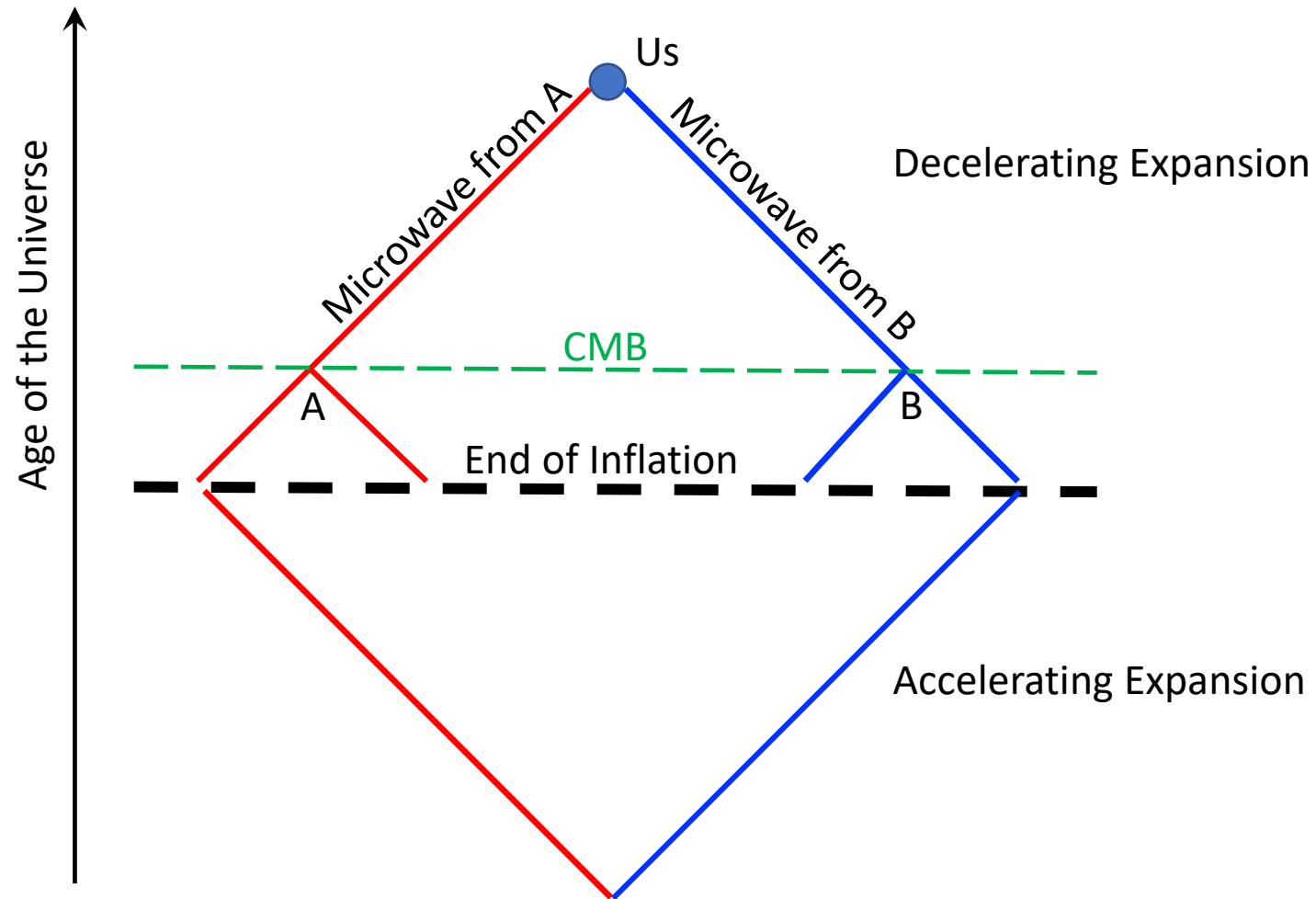
$$d\tau = t^{-p} dt = \frac{1}{1-p} dt^{1-p} \quad \longrightarrow \quad \tau = \frac{1}{1-p} t^{1-p}$$
$$\quad \quad \quad \longrightarrow \quad \tau \in (-\infty, 0)$$

- The event horizon  $|\tau|$ , becomes smaller and smaller.
- The conformal time  $\tau$  has a finite ending.

# The particle during inflation



# How inflation solves the horizon problem?



# Physics of Inflation

- Scalar field dynamics

$$S = \int d^4x \sqrt{-g} \left[ \frac{1}{2} R + \frac{1}{2} g^{\mu\nu} \partial_\mu \phi \partial_\nu \phi - V(\phi) \right]$$

$$T_{\mu\nu}^{(\phi)} \equiv -\frac{2}{\sqrt{-g}} \frac{\delta S_\phi}{\delta g^{\mu\nu}} = \partial_\mu \phi \partial_\nu \phi - g_{\mu\nu} \left( \frac{1}{2} \partial^\sigma \phi \partial_\sigma \phi + V(\phi) \right)$$

$$\rho_\phi = \frac{1}{2} \dot{\phi}^2 + V(\phi)$$

$$\rho_\phi \approx -p_\phi \quad \text{if} \quad \dot{\phi}^2 \ll V(\phi)$$

$$p_\phi = \frac{1}{2} \dot{\phi}^2 - V(\phi)$$

We need to keep a large potential for a long time.

# Physics of Inflation

- Evolution of  $\phi$

$$\frac{\delta S_\phi}{\delta \phi} = \frac{1}{\sqrt{-g}} \partial_\mu (\sqrt{-g} \partial^\mu \phi) + V_{,\phi} = 0$$

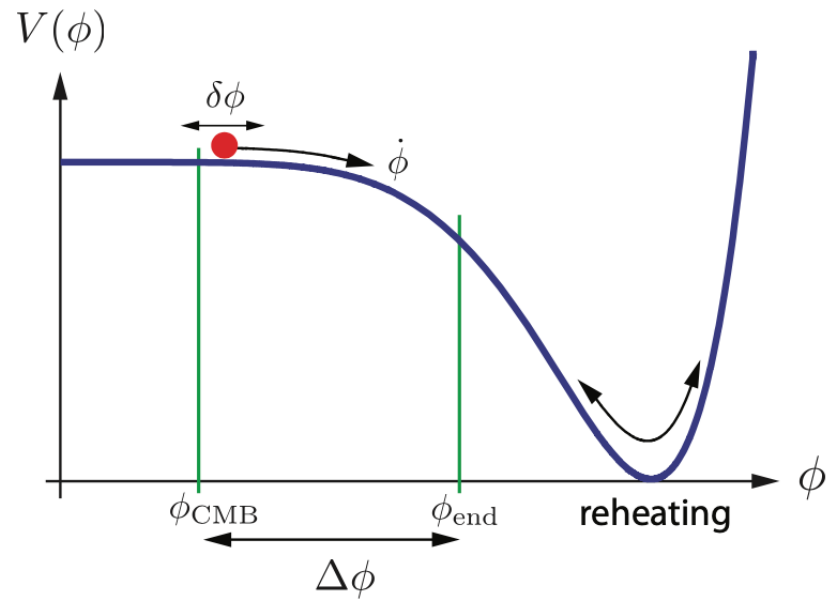


$$\ddot{\phi} + 3H\dot{\phi} + V_{,\phi} = 0$$

Hubble friction

Drives the scalar field down.

$$H^2 \gg V_{\phi\phi}$$



# Slow-roll Inflation

- The evolution of  $\phi$  must be significantly slower than the evolution of the Universe.

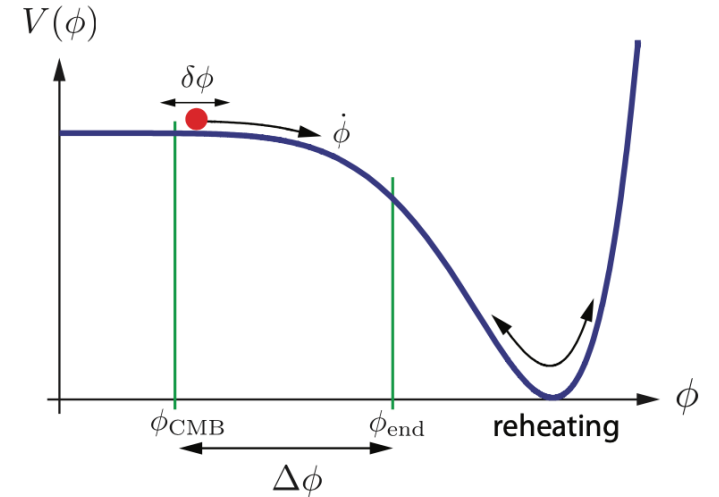
$$\varepsilon \equiv \frac{3}{2}(w_\phi + 1) = \frac{1}{2} \frac{\dot{\phi}^2}{H^2} \ll 1 \qquad \varepsilon = -\frac{\dot{H}}{H^2}$$

- Accelerated expansion will only be sustained for a sufficiently long period if the second derivative of  $\phi$  is small enough.

$$|\ddot{\phi}| \ll |3H\dot{\phi}|, |V_{,\phi}| \qquad \eta = -\frac{\ddot{\phi}}{H\dot{\phi}} \ll 1$$

# Slow-roll Inflation

- $H^2 \approx \frac{1}{3}V(\phi) \approx \text{const.}$



- $a(t) \sim e^{Ht}$  The space-time is approximately de Sitter.

- Inflation ends when  $\varepsilon(\phi_{\text{end}}) \equiv 1$

- $$N(\phi) \equiv \ln \frac{a_{\text{end}}}{a}$$

$$= \int_t^{t_{\text{end}}} H dt = \int_{\phi}^{\phi_{\text{end}}} \frac{H}{\dot{\phi}} d\phi \approx \int_{\phi_{\text{end}}}^{\phi} \frac{V}{V_{,\phi}} d\phi$$

- $$N(\phi) = \int_{\phi_{\text{end}}}^{\phi} \frac{d\phi}{\sqrt{2\varepsilon}} \approx \int_{\phi_{\text{end}}}^{\phi} \frac{d\phi}{\sqrt{2\varepsilon_{\text{v}}}}$$

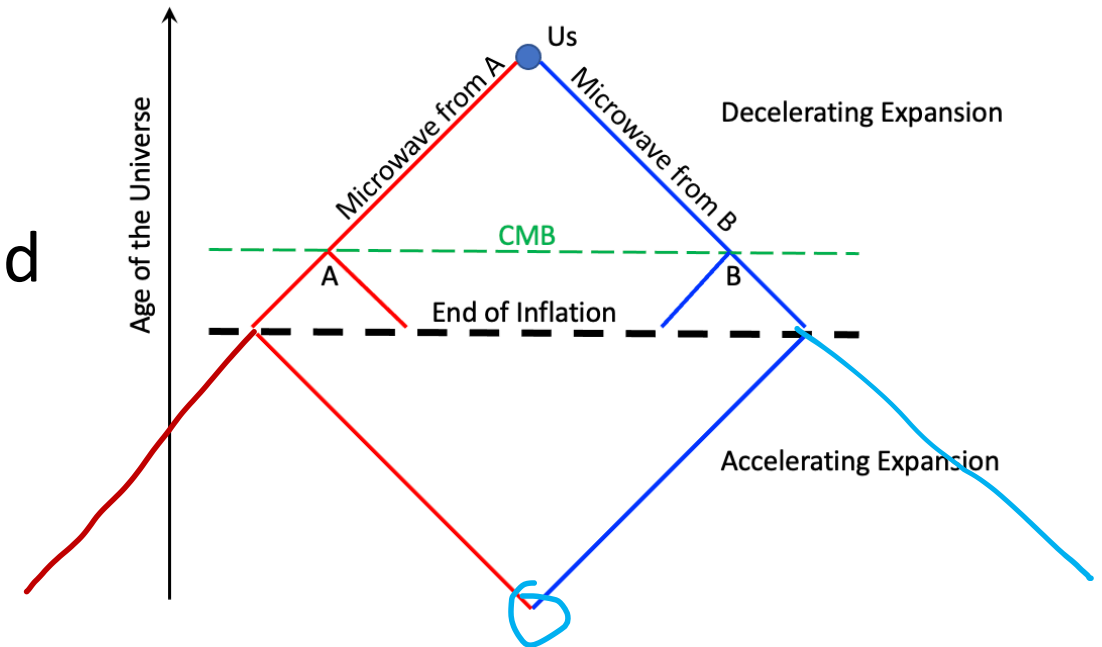


# Slow-roll Inflation

- To solve the horizon problem we need

$$N_{\text{tot}} \equiv \ln \frac{a_{\text{end}}}{a_{\text{start}}} \gtrsim 60 \quad (\text{HW})$$

$$\int_{\phi_{\text{end}}}^{\phi_{\text{cmb}}} \frac{d\phi}{\sqrt{2\varepsilon}} = N_{\text{cmb}} \approx 40 - 60$$



# Example: $m^2 \phi^2$ Inflation

- $V(\phi) = \frac{1}{2} m^2 \phi^2$

$$\epsilon \approx 2 \left( \frac{M_{\text{pl}}}{\phi} \right)^2 \quad \eta \approx 0$$

$$\int_{\phi_{\text{end}}}^{\phi_{\text{cmb}}} \frac{d\phi}{\sqrt{2\epsilon}} = N_{\text{cmb}} \approx 40 - 60 \quad \rightarrow \quad N(\phi) = \frac{\phi^2}{4M_{\text{pl}}^2} - \frac{1}{2}$$

$$\rightarrow \quad \phi_{\text{cmb}} = 2\sqrt{N_{\text{cmb}}} M_{\text{pl}} \sim 15M_{\text{pl}}$$

Transplanckian problem

# Single field inflation models

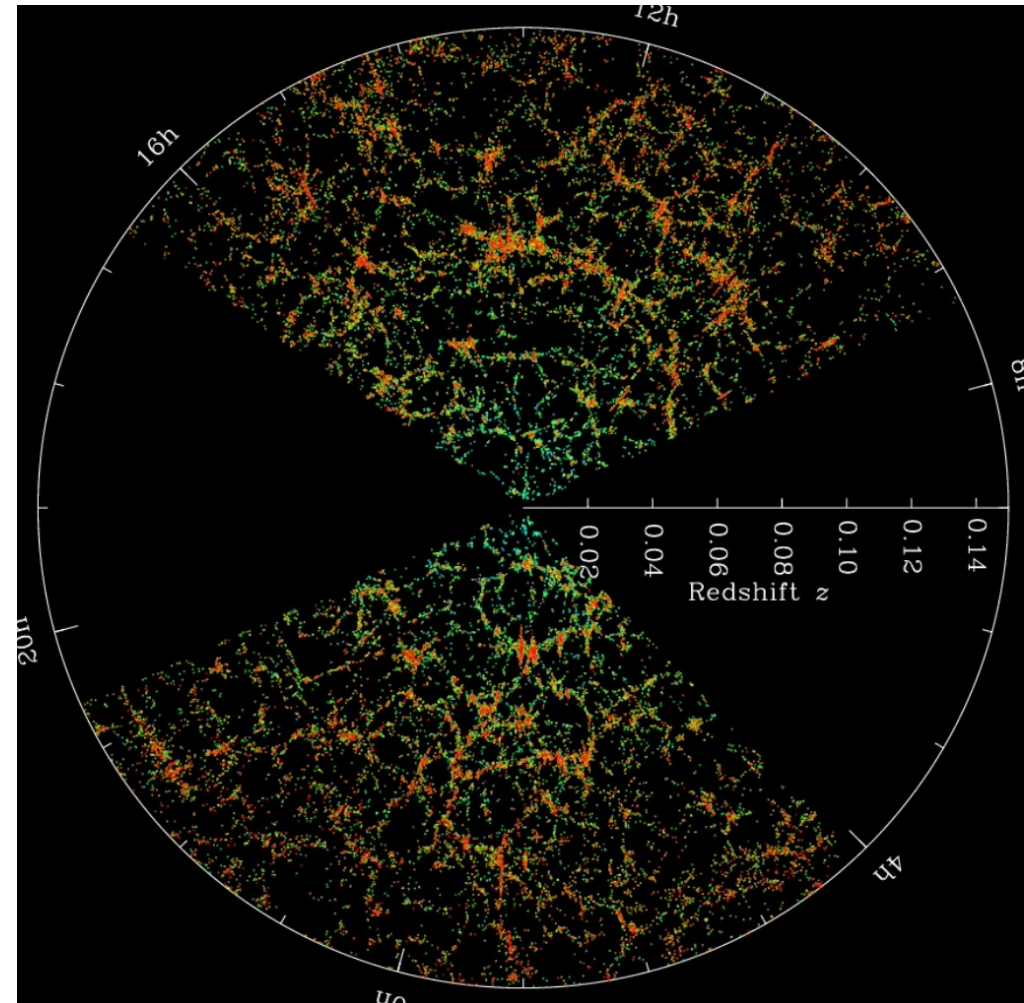
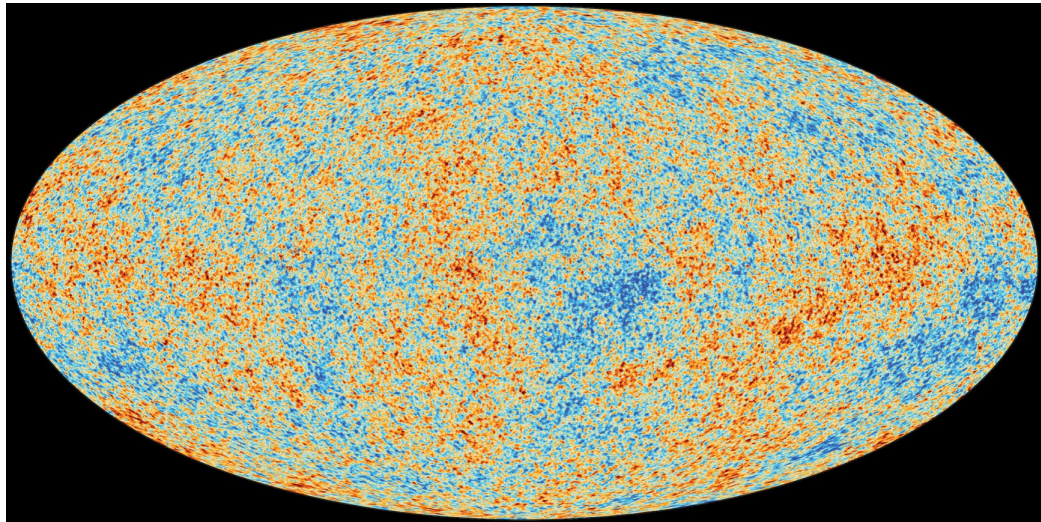
- Small field model  $V(\phi) = V_0 \left[ 1 - \left( \frac{\phi}{\mu} \right)^2 \right]^2$

$$V(\phi) = V_0 \left[ \left( \frac{\phi}{\mu} \right)^4 \left( \ln \left( \frac{\phi}{\mu} \right) - \frac{1}{4} \right) + \frac{1}{4} \right]$$

- Large field model  $V(\phi) = \lambda_p \phi^p$

$$V(\phi) = V_0 \left[ \cos \left( \frac{\phi}{f} \right) + 1 \right]$$

# Quantum fluctuations during Inflation



# Quantum fluctuations during Inflation

- Both the metric and the matter fluctuations.
- Gauge choice is important.
- We need to find gauge invariant quantities.

# The Inhomogeneous Universe

- Perturbations

$$\phi(t, \mathbf{x}) = \bar{\phi}(t) + \delta\phi(t, \mathbf{x}), \quad g_{\mu\nu}(t, \mathbf{x}) = \bar{g}_{\mu\nu}(t) + \delta g_{\mu\nu}(t, \mathbf{x})$$

$$\begin{aligned} ds^2 &= g_{\mu\nu} dx^\mu dx^\nu \\ &= -(1 + 2\Phi)dt^2 + 2aB_i dx^i dt + a^2[(1 - 2\Psi)\delta_{ij} + E_{ij}]dx^i dx^j. \end{aligned}$$

- SVT decomposition

$$B_i \equiv \partial_i B - S_i, \quad \text{where} \quad \partial^i S_i = 0$$

$$E_{ij} \equiv 2\partial_{ij} E + 2\partial_{(i} F_{j)} + h_{ij}, \quad \text{where} \quad \partial^i F_i = 0, \quad h_i^i = \partial^i h_{ij} = 0$$

# Gauge transformation (coordinate transformation)

- Gauge transformation of the metric:

$$t \rightarrow t + \alpha$$

$$x^i \rightarrow x^i + \delta^{ij} \beta_{,j}$$



$$\Phi \rightarrow \Phi - \dot{\alpha}$$

$$B \rightarrow B + a^{-1} \alpha - a \dot{\beta}$$

$$E \rightarrow E - \beta$$

$$\Psi \rightarrow \Psi + H \alpha .$$

- Tensor part is gauge invariant

# Gauge transformation (coordinate transformation)

- Gauge transformation of the energy momentum tensor:

$$T_0^0 = -(\bar{\rho} + \delta\rho)$$

$$T_i^0 = (\bar{\rho} + \bar{p}) a v_i$$

$$T_0^i = -(\bar{\rho} + \bar{p})(v^i - B^i)/a$$

$$T_j^i = \delta_j^i (\bar{p} + \delta p) + \Sigma_j^i.$$

$$(\delta q)_{,i} \equiv (\bar{\rho} + \bar{p}) v_i$$

$$\delta\rho \rightarrow \delta\rho - \dot{\bar{\rho}} \alpha$$

$$\delta p \rightarrow \delta p - \dot{\bar{p}} \alpha$$

$$\delta q \rightarrow \delta q + (\bar{\rho} + \bar{p}) \alpha$$

- Tensor part is gauge invariant.



# Gauge Invariant Quantities

- Tensors  $h_{ij}$  and  $\Sigma_{ij}$  are gauge invariant.

- Gauge invariant scalar quantities

$$-\zeta \equiv \Psi + \frac{H}{\dot{\rho}} \delta\rho$$

- $\zeta$  remains constant outside the horizon for adiabatic matter perturbations.

$$\boxed{\delta p_{en} \equiv \delta p - \frac{\dot{p}}{\dot{\rho}} \delta\rho = 0}$$

$$\delta\rho \rightarrow \delta\rho - \dot{\rho}\alpha$$

$$\delta p \rightarrow \delta p - \dot{p}\alpha$$

$$\delta q \rightarrow \delta q + (\bar{\rho} + \bar{p})\alpha$$

$$\Phi \rightarrow \Phi - \dot{\alpha}$$

$$B \rightarrow B + a^{-1}\alpha - a\dot{\beta}$$

$$E \rightarrow E - \beta$$

$$\Psi \rightarrow \Psi + H\alpha.$$

# Gauge Invariant Quantities

- Curvature perturbation on uniform-density hypersurfaces.

$$-\zeta \equiv \Psi + \frac{H}{\dot{\rho}} \delta\rho \qquad R^{(3)} = 4\nabla^2\Psi/a^2$$

- During slow-roll Inflation:  $\delta\rho \approx V' \delta\phi$ ,  $\dot{\rho} = V' \dot{\phi}$

$$-\zeta \approx \Psi + \frac{H}{\dot{\phi}} \delta\phi$$

- Another gauge invariant quantity: comoving curvature perturbation

$$\mathcal{R} \equiv \Psi - \frac{H}{\bar{\rho} + \bar{p}} \delta q$$

# Gauge Invariant Quantities

- Comoving Curvature Perturbation

$$\mathcal{R} \equiv \Psi - \frac{H}{\bar{\rho} + \bar{p}} \delta q$$

$\delta q$ : scalar part of the three momentum density.

$$T_i^0 = \partial_i \delta q.$$

- During slow-roll inflation:  $T_i^0 = -\dot{\phi} \partial_i \delta \phi$

$$\mathcal{R} = \Psi + \frac{H}{\dot{\phi}} \delta \phi$$

- During slow-roll,  $\mathcal{R} = -\zeta$  (This relation holds after inflation for superhorizon scales)

# Statistics of Cosmological Perturbations

- We are going to calculate the power spectrum of  $R_k$

$$\langle \mathcal{R}_{\mathbf{k}} \mathcal{R}_{\mathbf{k}'} \rangle = (2\pi)^3 \delta(\mathbf{k} + \mathbf{k}') P_{\mathcal{R}}(k)$$

Spatial homogeneity

$$\Delta_s^2 \equiv \Delta_{\mathcal{R}}^2 = \frac{k^3}{2\pi^2} P_{\mathcal{R}}(k)$$

$$n_s - 1 \equiv \frac{d \ln \Delta_s^2}{d \ln k}$$

$$\alpha_s \equiv \frac{dn_s}{d \ln k}$$

$$\Delta_s^2(k) = A_s(k_*) \left( \frac{k}{k_*} \right)^{n_s(k_*) - 1 + \frac{1}{2} \alpha_s(k_*) \ln(k/k_*)}$$

- If  $R$  is Gaussian, the statistics is fully determined by the two-point function.

# Statistics of Cosmological Perturbations

- Tensor modes:  $h \equiv h^+, h^\times$

$$\langle h_{\mathbf{k}} h_{\mathbf{k}'} \rangle = (2\pi)^3 \delta(\mathbf{k} + \mathbf{k}') P_h(k), \quad \Delta_h^2 = \frac{k^3}{2\pi^2} P_h(k)$$

$$\Delta_t^2 \equiv 2\Delta_h^2 \quad n_t \equiv \frac{d \ln \Delta_t^2}{d \ln k}$$

$$\Delta_t^2(k) = A_t(k_*) \left( \frac{k}{k_*} \right)^{n_t(k_*)}$$

# The Quantum Origin of Structure

- Quantum Mechanics of Harmonic Oscillator
- Quantum Fluctuations in de Sitter Space

# Quantum Mechanics of Harmonic Oscillator

- Action  $S = \int dt \left( \frac{1}{2} \dot{x}^2 - \frac{1}{2} \omega^2(t) x^2 \right) \equiv \int dt L$

- EOM:  $\frac{\delta S}{\delta x} = 0 \Rightarrow \boxed{\ddot{x} + \omega^2(t) x = 0}$

- Canonical quantization:

$$p \equiv \frac{dL}{d\dot{x}} = \dot{x} \quad [\hat{x}, \hat{p}] = i\hbar$$

# Quantum Mechanics of Harmonic Oscillator

- In Heisenberg Picture:  $\hat{x} = v(t) \hat{a} + v^*(t) \hat{a}^\dagger$   
Wave functions

- The wave function  $v$  satisfies:

$$\ddot{v} + \omega^2(t)v = 0$$

- The commutation relation gives:

$$\langle v, v \rangle [\hat{a}, \hat{a}^\dagger] = 1 \qquad \langle v, w \rangle \equiv \frac{i}{\hbar} (v^* \partial_t w - (\partial_t v^*) w)$$

- Imposing  $[\hat{a}, \hat{a}^\dagger] = 1$   $\langle v, v \rangle \equiv 1$



# Quantum Mechanics of Harmonic Oscillator

- Define the vacuum  $|0\rangle$ , annihilated by  $a$ .  $\hat{a}|0\rangle = 0$

$$|n\rangle \equiv \frac{1}{\sqrt{n!}}(\hat{a}^\dagger)^n|0\rangle \quad \hat{N} = \hat{a}^\dagger\hat{a}$$

- $\omega(t)$  is time-dependent! This is different from the harmonic oscillator in the textbook. How to define the vacuum??
- We assume that  $\omega(t) \rightarrow \omega$  when  $t \rightarrow -\infty$ , and define the vacuum there.

# Quantum Mechanics of Harmonic Oscillator

$$\begin{aligned}\hat{H} &= \frac{1}{2}\hat{p}^2 + \frac{1}{2}\omega^2\hat{x}^2 \\ &= \frac{1}{2} \left[ (\dot{v}^2 + \omega^2 v^2)\hat{a}\hat{a} + (\dot{v}^2 + \omega^2 v^2)^* \hat{a}^\dagger\hat{a}^\dagger + (|\dot{v}|^2 + \omega^2|v|^2)(\hat{a}\hat{a}^\dagger + \hat{a}^\dagger\hat{a}) \right]\end{aligned}$$

$$\hat{H}|0\rangle = \frac{1}{2}(\dot{v}^2 + \omega^2 v^2)^* \hat{a}^\dagger\hat{a}^\dagger|0\rangle + \frac{1}{2}(|\dot{v}|^2 + \omega^2|v|^2)|0\rangle$$

Should vanish



$$\dot{v} = \pm i\omega v$$

We choose the standard positive frequency mode  $v(t) = \sqrt{\frac{\hbar}{2\omega}} e^{-i\omega t}$

# Quantum Mechanics of Harmonic Oscillator

- Zero point fluctuations in ground state

$$\begin{aligned}\langle |\hat{x}|^2 \rangle &\equiv \langle 0 | \hat{x}^\dagger \hat{x} | 0 \rangle \\ &= \langle 0 | (v^* \hat{a}^\dagger + v \hat{a})(v \hat{a} + v^* \hat{a}^\dagger) | 0 \rangle \\ &= |v(\omega, t)|^2 \langle 0 | \hat{a} \hat{a}^\dagger | 0 \rangle \\ &= |v(\omega, t)|^2 \langle 0 | [\hat{a}, \hat{a}^\dagger] | 0 \rangle \\ &= |v(\omega, t)|^2.\end{aligned}$$

$$\boxed{\langle |\hat{x}|^2 \rangle = |v(\omega, t)|^2} = \frac{\hbar}{2\omega}$$

# Quantum Fluctuations in de Sitter

- Scalar perturbation:

$$S = \frac{1}{2} \int d^4x \sqrt{-g} [R - (\nabla\phi)^2 - 2V(\phi)]$$

- Using the gauge:

$$\delta\phi = 0, \quad g_{ij} = a^2[(1 - 2\mathcal{R})\delta_{ij} + h_{ij}], \quad \partial_i h_{ij} = h_i^i = 0.$$

- The free field action of R

$$S_{(2)} = \frac{1}{2} \int d^4x a^3 \frac{\dot{\phi}^2}{H^2} \left[ \dot{\mathcal{R}}^2 - a^{-2}(\partial_i \mathcal{R})^2 \right]$$

# Quantum Fluctuations in de Sitter

- Define the Mukhanov variable

$$v \equiv z\mathcal{R}, \quad \text{where} \quad z^2 \equiv a^2 \frac{\dot{\phi}^2}{H^2} = 2a^2 \varepsilon$$

- Using the conformal time

$$S_{(2)} = \frac{1}{2} \int d\tau d^3x \left[ (v')^2 + (\partial_i v)^2 + \frac{z''}{z} v^2 \right] \quad (\dots)' \equiv \partial_\tau(\dots)$$

# Quantum Fluctuations in de Sitter

- Fourier modes: 
$$v(\tau, \mathbf{x}) = \int \frac{d^3k}{(2\pi)^3} v_{\mathbf{k}}(\tau) e^{i\mathbf{k}\cdot\mathbf{x}}$$

- EOM: 
$$v_k'' + \left( k^2 - \frac{z''}{z} \right) v_k = 0$$

- Canonical quantization:

$$v \rightarrow \hat{v} = \int \frac{d\mathbf{k}^3}{(2\pi)^3} \left[ v_k(\tau) \hat{a}_{\mathbf{k}} e^{i\mathbf{k}\cdot\mathbf{x}} + v_k^*(\tau) \hat{a}_{\mathbf{k}}^\dagger e^{-i\mathbf{k}\cdot\mathbf{x}} \right]$$

$$v_{\mathbf{k}} \rightarrow \hat{v}_{\mathbf{k}} = v_k(\tau) \hat{a}_{\mathbf{k}} + v_{-k}^*(\tau) \hat{a}_{-\mathbf{k}}^\dagger$$

# Quantum Fluctuations in de Sitter

- Impose canonical quantization condition for creation and annihilation operators:

$$[\hat{a}_{\mathbf{k}}, \hat{a}_{\mathbf{k}'}^\dagger] = (2\pi)^3 \delta(\mathbf{k} - \mathbf{k}') \quad \langle v_k, v_k \rangle \equiv \frac{i}{\hbar} (v_k^* v_k' - v_k^{*'} v_k) = 1$$

- We need to specify the vacuum. We need a boundary condition.

# Quantum Fluctuations in de Sitter

- The vacuum condition  $\hat{a}_{\mathbf{k}}|0\rangle = 0$ .
- We need to specify the  $v_k$  to define the vacuum.
- Reexamine the EOM:

$$v_k'' + \left( k^2 - \frac{z''}{z} \right) v_k = 0 \quad v \equiv z\mathcal{R}, \quad \text{where} \quad z^2 \equiv a^2 \frac{\dot{\phi}^2}{H^2} = 2a^2 \varepsilon$$

- $\varepsilon$  changes very slowly.  $\frac{z''}{z} \sim \frac{2}{\tau^2}$



# Quantum Fluctuations in de Sitter

- At  $\tau \rightarrow -\infty$ , EOM  $\rightarrow v_k'' + k^2 v_k = 0$

- We impose the boundary condition:  $\lim_{\tau \rightarrow -\infty} v_k = \frac{e^{-ik\tau}}{\sqrt{2k}}$

- In de Sitter  $v_k'' + \left(k^2 - \frac{2}{\tau^2}\right) v_k = 0$

- With the boundary condition,  $v_k = \frac{e^{-ik\tau}}{\sqrt{2k}} \left(1 - \frac{i}{k\tau}\right)$

# Quantum Fluctuations in de Sitter

- Define a field  $\hat{\psi}_{\mathbf{k}} \equiv a^{-1}\hat{v}_{\mathbf{k}}$ ,

$$\begin{aligned}\langle \hat{\psi}_{\mathbf{k}}(\tau)\hat{\psi}_{\mathbf{k}'}(\tau) \rangle &= (2\pi)^3\delta(\mathbf{k} + \mathbf{k}')\frac{|v_k(\tau)|^2}{a^2} \\ &= (2\pi)^3\delta(\mathbf{k} + \mathbf{k}')\frac{H^2}{2k^3}(1 + k^2\tau^2)\end{aligned}$$

- Superhorizon modes,  $|k\tau| \ll 1$

$$\langle \hat{\psi}_{\mathbf{k}}(\tau)\hat{\psi}_{\mathbf{k}'}(\tau) \rangle \rightarrow (2\pi)^3\delta(\mathbf{k} + \mathbf{k}')\frac{H^2}{2k^3}$$

# Quantum Fluctuations in de Sitter

$$\langle \mathcal{R}_{\mathbf{k}}(t) \mathcal{R}_{\mathbf{k}'}(t) \rangle = (2\pi)^3 \delta(\mathbf{k} + \mathbf{k}') \frac{H_\star^2}{2k^3} \frac{H_\star^2}{\dot{\phi}_\star^2} \quad \Delta_{\mathcal{R}}^2(k) = \frac{H_\star^2}{(2\pi)^2} \frac{H_\star^2}{\dot{\phi}_\star^2}$$

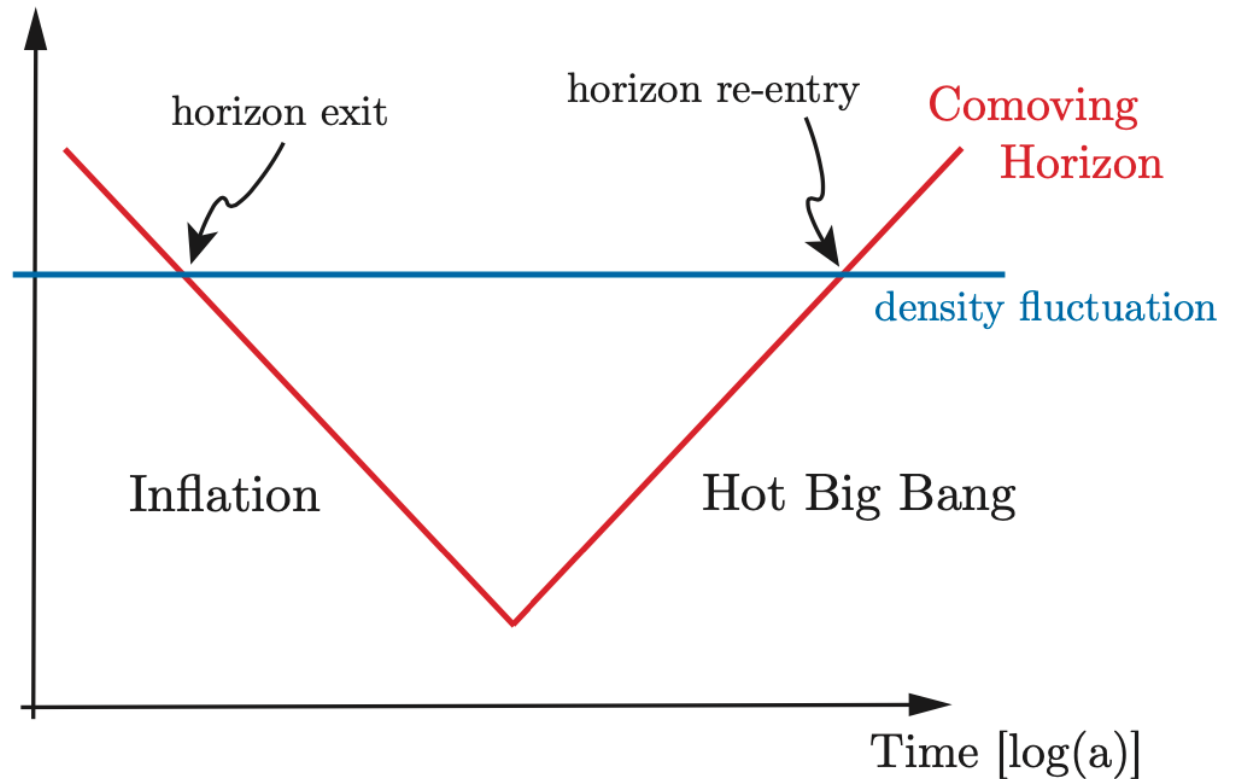
# From quantum to classical

$$v_k = \frac{e^{-ik\tau}}{\sqrt{2k}} \left( 1 - \frac{i}{k\tau} \right)$$

$$v \equiv z\mathcal{R},$$

$$z^2 \equiv a^2 \frac{\dot{\phi}^2}{H^2} = 2a^2 \varepsilon$$

Comoving Scales



# Contact with Observations

$$Q_{\mathbf{k}}(\tau) = T_{\mathcal{Q}}(k, \tau, \tau_{\star}) \mathcal{R}_{\mathbf{k}}(\tau_{\star})$$

