

Enhancing flavor tagging and R_b measurement at the CEPC

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Outline

- Introduction
- Deep learning architectures & Datasets
- Evaluation metrics
- Tagging
 - Jet tagging
 - Event tagging
- R_b & R_c measurements
- Conclusion

Introduction

- Relative decay width plays a key role in SM testing and experiment of precision measurement of Z boson

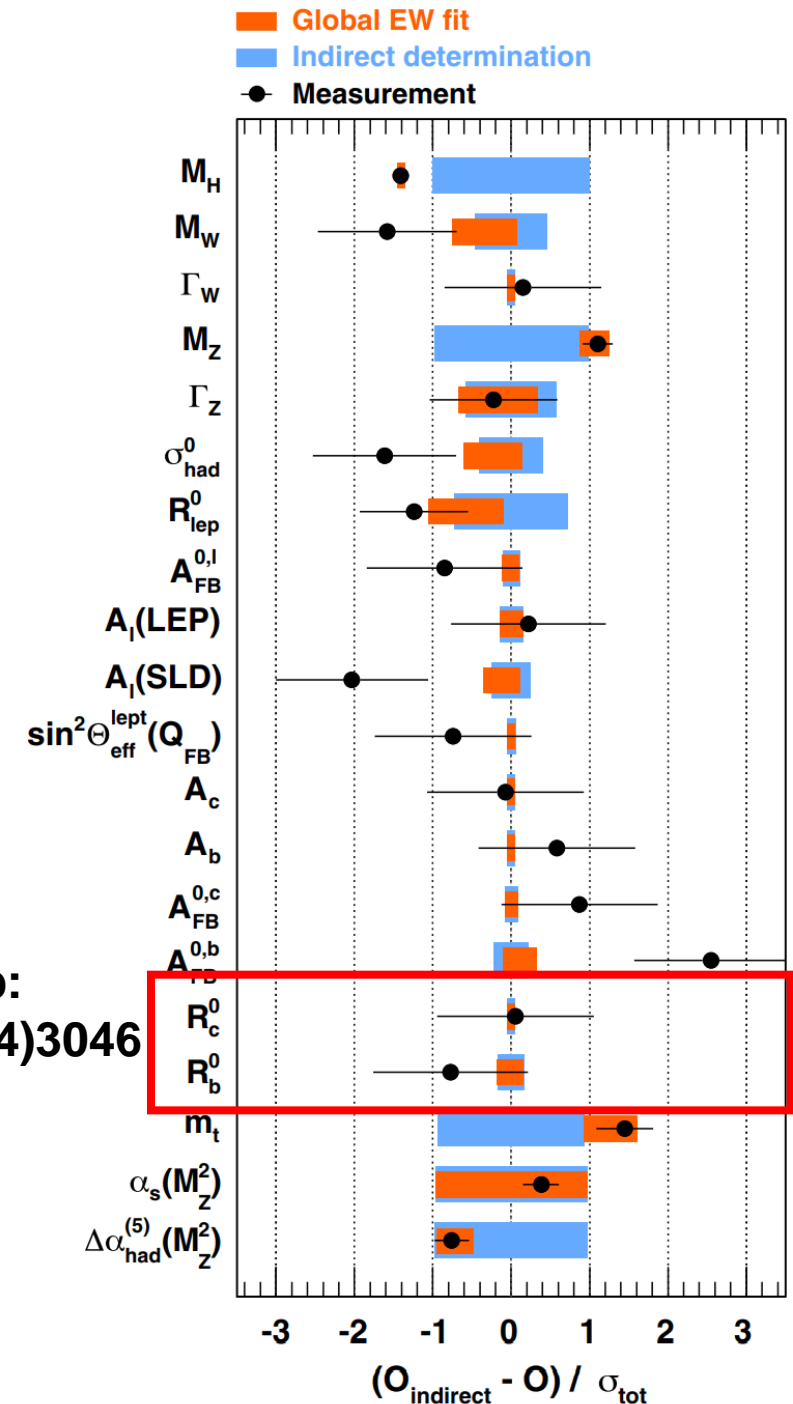
$$R_q = \Gamma_q / \Gamma_h$$

$$\Gamma(Z \rightarrow q\bar{q}) = 12\Gamma_0 [g_{Aq}^2 R_{Aq} + g_{Vq}^2 R_{Vq}]$$

- Status of R_b and R_c measurements in experiment and theory
 - 1.3σ deviations in R_b

	Experiment	Theory
R_b	0.21629 ± 0.00066	0.21578 ± 0.00011
R_c	0.1721 ± 0.0030	$0.17226^{+0.00009}_{-0.00008}$

Gfitter Group:
EPJC74 (2014)3046



Introduction

- Key object: Jet
 - Vertex finding
 - Clustering
 - Tagging
- Methods for tagging
 - Technique: Cut-based->TMVA->Deep Learning
- CEPC baseline detector
 - CDR: 80% effi. & 90% purity in b -tagging
 - 60% effi. & 60% purity in c -tagging
- A new attempt: Event tagging

Deep learning architectures

[Jet tagging via particle clouds]

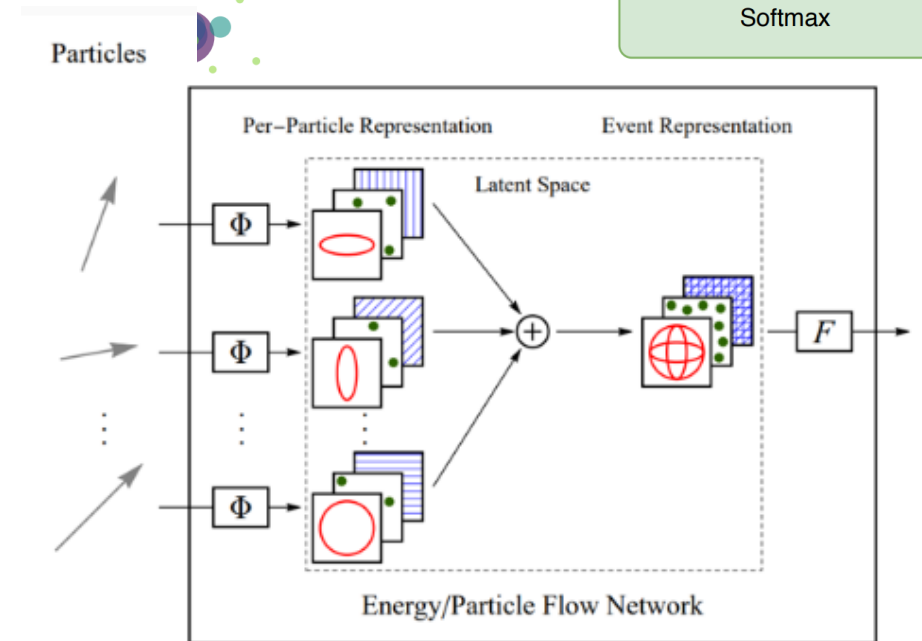
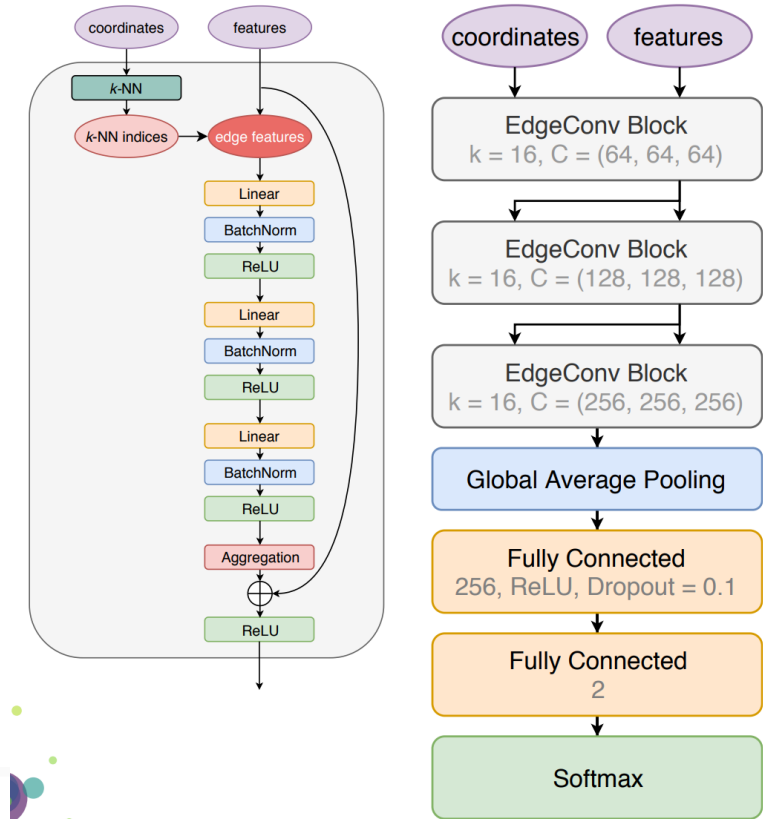
➤ ParticleNet

- Treating a jet as an unordered set of particles in space
- Using permutation-invariant graph neural networks

[Energy flow networks: deep sets for particle jets]

➤ Particle Flow Network (PFN)

- Based on “point clouds”
- As a cross check



Datasets

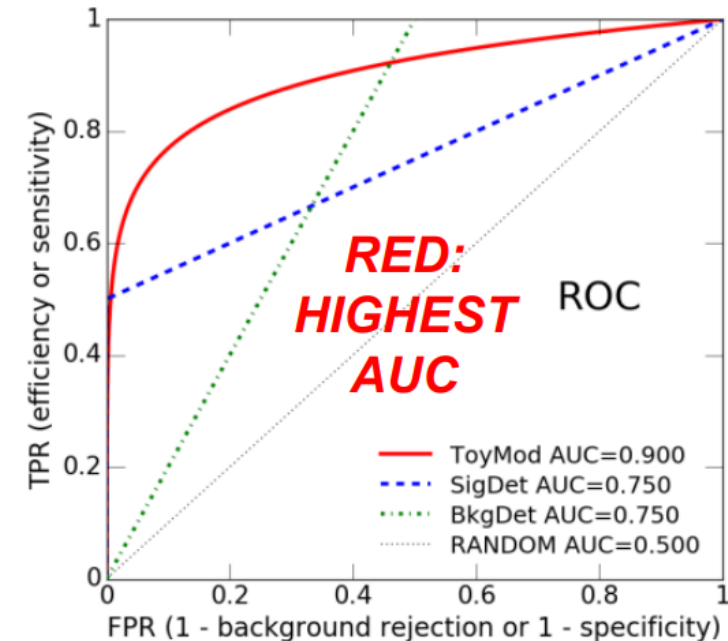
- Full simulation with CEPC baseline detector at Z-pole
- PID used as a feature by matching reconstruction and MC truth
- In flavor tagging
 - 900k jets for each flavor($b, c, o = uds$);
 - Clustered by $ee - kt$ into 2 jets
- In event tagging(same samples are used)
 - $Z \rightarrow b\bar{b}, c\bar{c}, o\bar{o}$, 450k events for each channel
 - The main background $Z \rightarrow \tau\bar{\tau}$ considered
 - No jet clustering (directly classify events into different category)
- Train:validation:test = 7:1.5:1.5

Evaluation metrics

- Efficiency $\epsilon_s = TP/(TP+FN)$
- Purity $\rho_s = TP/(TP+FP)$
- Accuracy = $(TP+TN)/ALL$
- ROC/AUC
- $\epsilon_s \times \rho_s$: between 0 and 1
 - The higher, the better
 - Proportional to $1/error^2$

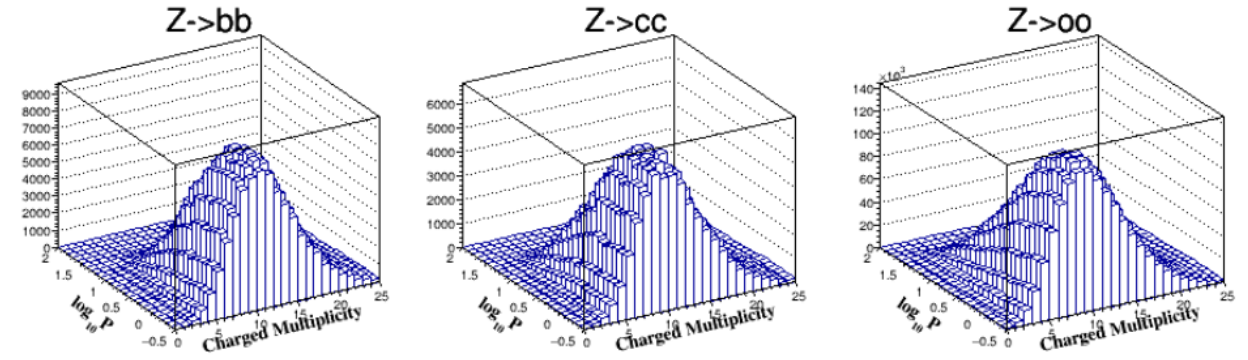
$$(\Delta R_i)^2 = \frac{R_i}{\mathcal{L}\sigma_h\epsilon_i\rho_i} \propto \frac{1}{\epsilon_i\rho_i},$$

<table><tr><td>TP (S_{sel})</td><td>FP (B_{sel})</td></tr><tr><td>FN (S_{rej})</td><td>TN (B_{rej})</td></tr></table>	TP (S_{sel})	FP (B_{sel})	FN (S_{rej})	TN (B_{rej})	<table><tr><td>TP (S_{sel})</td><td>FP (B_{sel})</td></tr><tr><td>FN (S_{rej})</td><td>TN (B_{rej})</td></tr></table>	TP (S_{sel})	FP (B_{sel})	FN (S_{rej})	TN (B_{rej})	<table><tr><td>TP (S_{sel})</td><td>FP (B_{sel})</td></tr><tr><td>FN (S_{rej})</td><td>TN (B_{rej})</td></tr></table>	TP (S_{sel})	FP (B_{sel})	FN (S_{rej})	TN (B_{rej})
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FN (S_{rej})	TN (B_{rej})													
$\text{TPR} = \frac{\text{TP}}{\text{TP} + \text{FN}}$	$\text{PPV} = \frac{\text{TP}}{\text{TP} + \text{FP}}$	$\text{TNR} = \frac{\text{TN}}{\text{TN} + \text{FP}} = 1 - \text{FPR}$												
HEP: “efficiency” $\epsilon_s = \frac{S_{\text{sel}}}{S_{\text{tot}}}$	HEP: “purity” $\rho = \frac{S_{\text{sel}}}{S_{\text{sel}} + B_{\text{sel}}}$	HEP: “background rejection” $1 - \epsilon_b = 1 - \frac{B_{\text{sel}}}{B_{\text{tot}}}$												

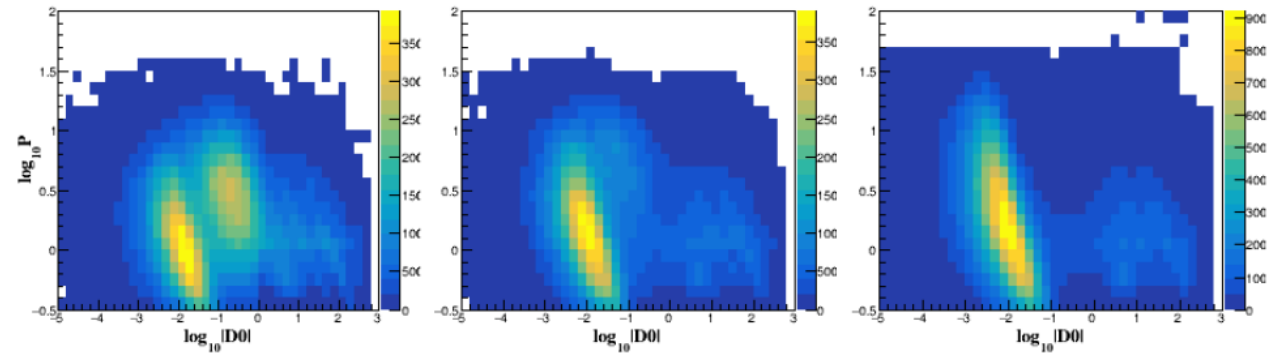


Jet tagging Jet features

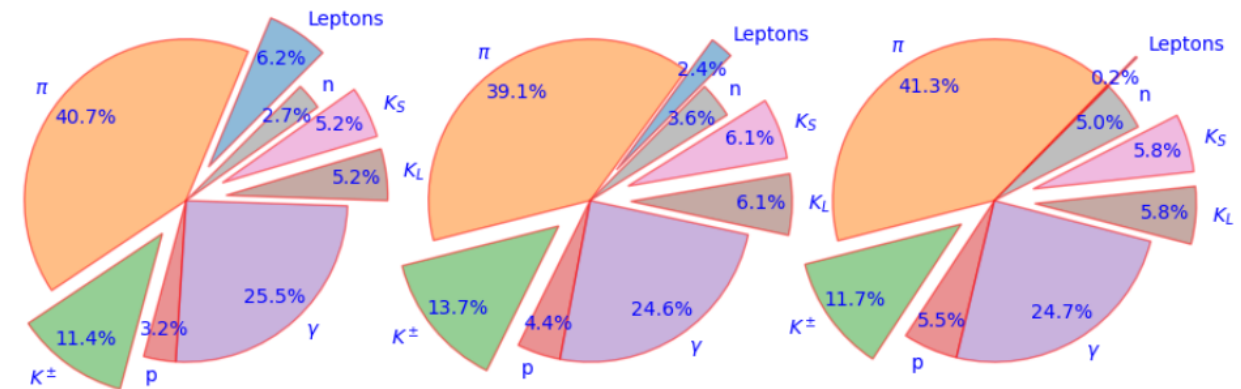
- (a) The multiplicity versus momenta of tracks
 - The number of tracks: $b > c > o$
- (b) The distribution of impact parameters versus momenta
 - Larger impact parameters and energetic tracks in b
- (c) The weighted fractions of different particle type
 - Far more energetic leptons in b
 - Slightly more energetic K in c



(a) Log(P) vs. Ntrks



(b) Log(P) vs. log(D0)

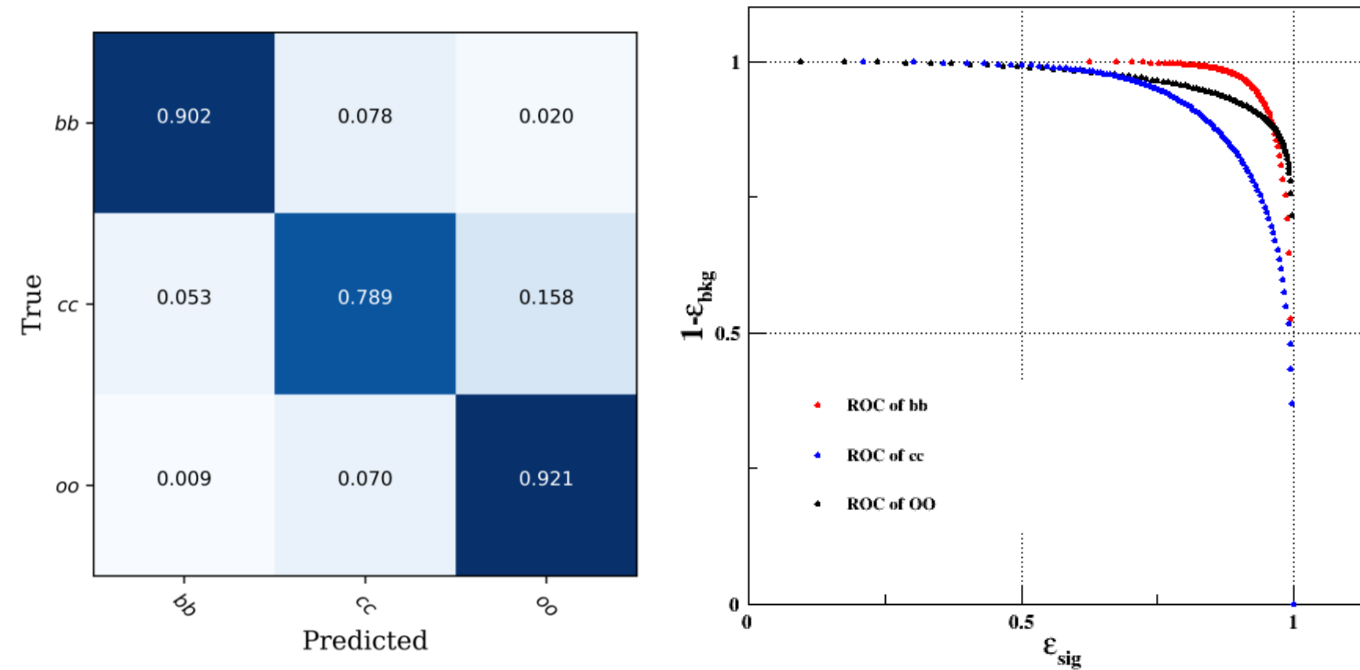


(c)

Jet tagging

Algorithm	ParticleNet	PFN	DNN	BDT	GBDT	gcforest	XGBoost
Accuracy	0.872	0.850	0.788	0.776	0.794	0.785	0.801

- At least 9% improvement in ParticleNet at global accuracy
- The performance of b -tagging and o -tagging are much better than c -tagging
- ParticleNet is better than the PFN
 - Consistent with the study by Qu, et al



tag	ParticleNet		PFN	
	Efficiency	AUC	Efficiency	AUC
b	0.902	0.984	0.870	0.979
c	0.789	0.948	0.765	0.930
o	0.921	0.972	0.911	0.966

Physics impacts of jet tagging

- Several working points, LCFIPlus & XGBoost as reference
 - ParticleNet & PFN are better than the other two, especially in c
- Improved statistical uncertainty
 - roughly 30% in counting c jets(compare to CDR)

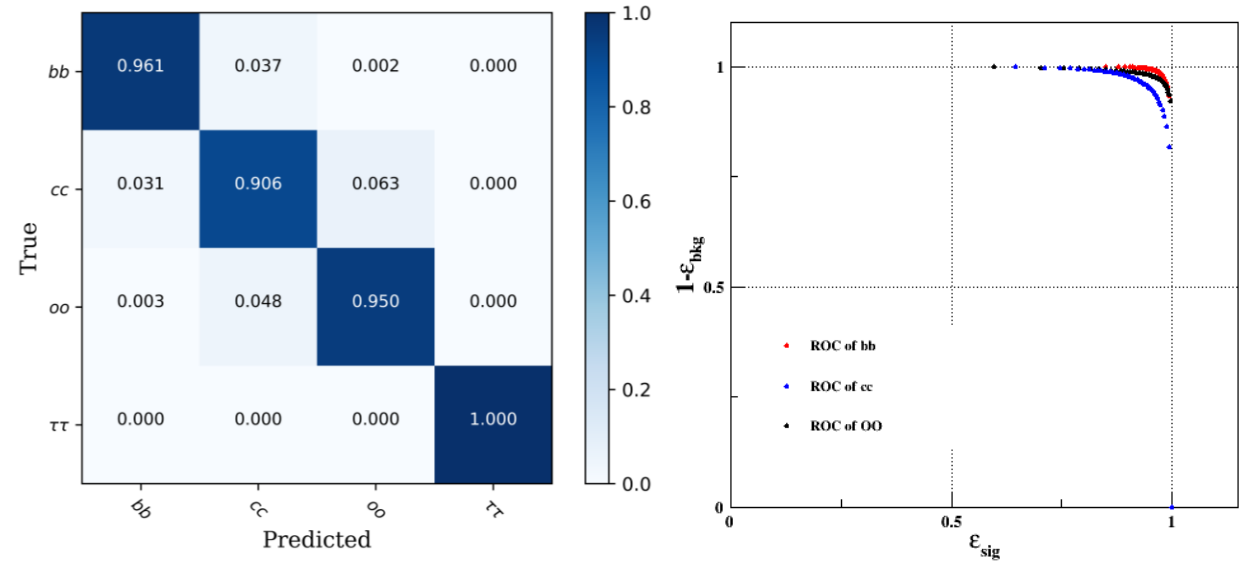
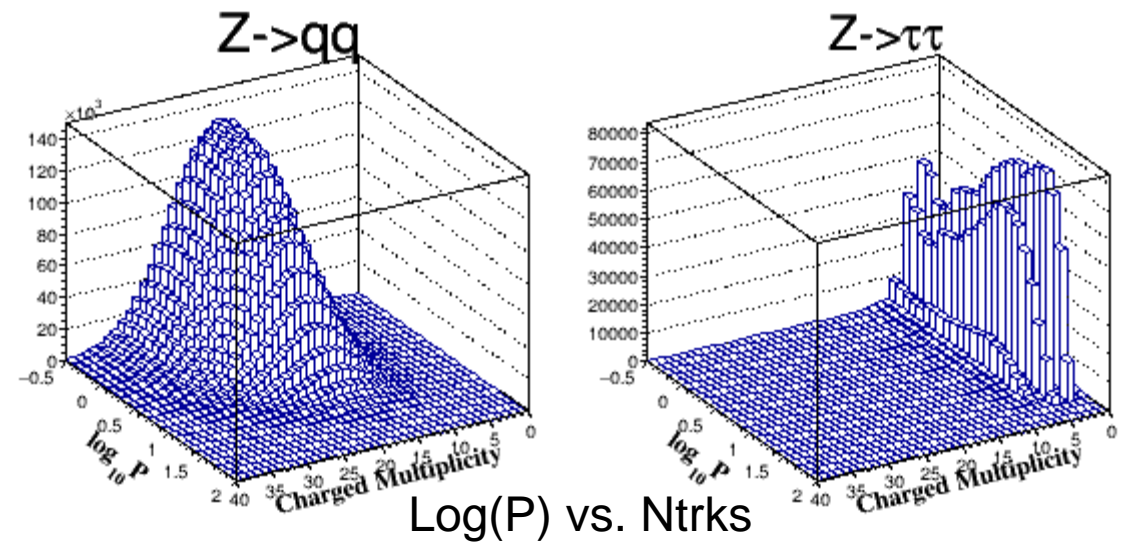
tag	$\epsilon_S(\%)$	$\epsilon \times \rho$			
		LCFIPlus	XGBoost	ParticleNet	PFN
b	80	-	0.747	0.780	0.763
	90	0.72	0.713	0.810	0.752
c	60	0.36	-	0.548	0.485
	70	-	-	0.589	0.497
	80	-	0.345	0.584	0.467
	90	-	0.292	0.516	0.402

Applied in R_q
measurement

Event tagging

- The multiplicity versus momenta of tracks
 - Few tracks and more energetic in $\tau\bar{\tau}$
 - Easy to discriminate $q\bar{q}$ & $\tau\bar{\tau}$
- Approximate to diagonal matrix
- Good performance

tag	ParticleNet		PFN	
	Efficiency	AUC	Efficiency	AUC
b	0.961	0.997	0.930	0.993
c	0.905	0.989	0.832	0.976
o	0.950	0.995	0.945	0.992



Next: R_q measurement with two methods

R_b & R_c measurement

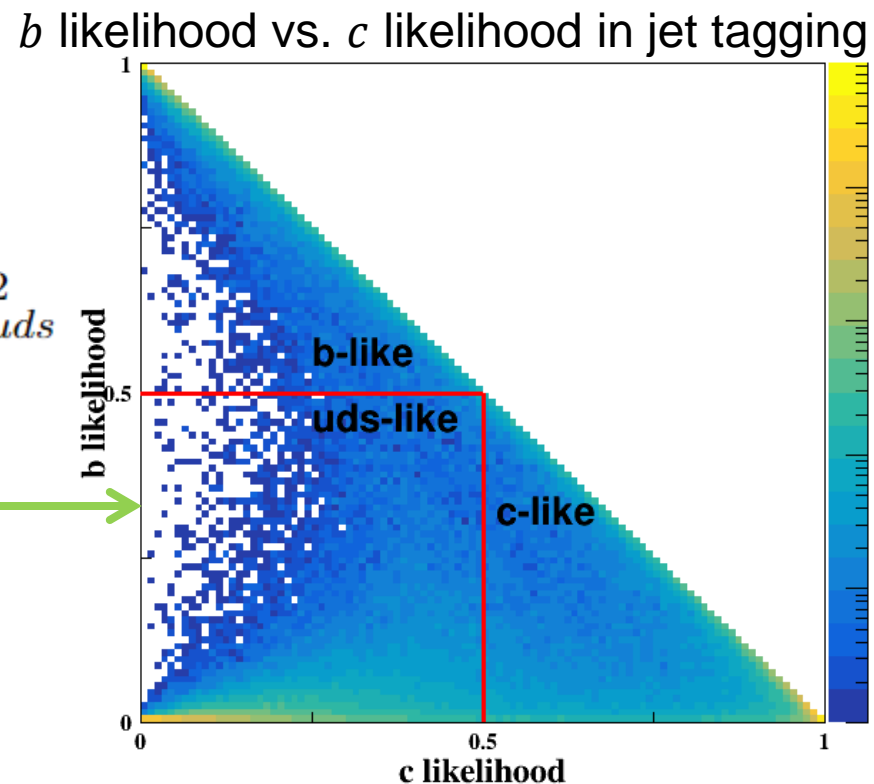
$$f_s = \varepsilon_b R_b + \varepsilon_c R_c + \varepsilon_{uds} R_{uds}$$

➤ Double tagging: $f_d = C_b R_b \varepsilon_b^2 + C_c R_c \varepsilon_c^2 + C_{uds} R_{uds} \varepsilon_{uds}^2$

- Neglect the correlation of jets
- Choose the working point
- Solved 6 equations by the least square method

➤ Confusion matrix:

- To solve the right equations
- Calculate $R_q = \frac{N_q}{\sum_i N_i}$



$$\begin{pmatrix} n_1 \\ n_2 \\ n_3 \end{pmatrix} = \begin{pmatrix} \epsilon_{11} & \epsilon_{12} & \epsilon_{13} \\ \epsilon_{21} & \epsilon_{22} & \epsilon_{23} \\ \epsilon_{31} & \epsilon_{32} & \epsilon_{33} \end{pmatrix} \begin{pmatrix} N_1 \\ N_2 \\ N_3 \end{pmatrix}$$

R_b & R_c measurement

	σ_{R_b}/R_b	σ_{R_c}/R_c	σ_{R_o}/R_o
<u>LEP/SLD</u>	3051.46	17431.73	-
<u>Template fit</u>	5.38	13.37	3.41
<u>Double tag</u>	6.06	6.88	-
<u>Confusion matrix</u>	6.35	8.32	-

➤ References

- LEP/SLD:
 - a) Limited by statistics & flavor tagging
- Template fit:
 - a) Much larger statistics & flavor tagging in CEPC CDR

➤ results

- Double tag & Confusion matrix:
 - a) Statistic of 10^{11} Z bosons, same as template fit
 - b) Comparable in R_b
 - c) Improved more than 60% in R_c measurement

R_b & R_c measurement

➤ Systematic uncertainty

- Dominant in future colliders
- Efficiency
 - a) Arise by MC models
 - b) Reduced by orders of magnitudes, since much improved knowledge on the production and decay of B & D mesons
- Correlation between jets
 - a) Reduced by improved tagging efficiency
 - b) Cancel in confusion matrix since there is no jet clustering

Conclusion

- Two novel deep learning methods are used to enhance the performance of jet flavor tagging
 - Significant improvement in jet tagging, especially for c tagging
 - Maximize the usage of information in a jet/event
- R_q measurement is taken to demonstrate the physics impacts
 - Improved more than 60% in R_c measurement
 - Cancel an important systematic uncertainty by confusion matrix method

Thank you!

Backup

$$N_{bo} = L\sigma_h R_b \epsilon \rho$$

$$R_b = \frac{N_{bo}}{L\sigma_h \epsilon \rho}$$

$$\Delta R_b = \frac{\sqrt{N_{bo}}}{L\sigma_h \epsilon \rho}$$

$$\Delta R_b = \frac{\sqrt{L\sigma_h R_b \epsilon \rho}}{L\sigma_h \epsilon \rho} = \sqrt{R_b / (L\sigma_h \epsilon \rho)}$$

$$(\Delta R_b)^2 = \frac{R_b}{L\sigma_h \epsilon \rho} \propto \frac{1}{\epsilon \rho}$$