



中国科学院高能物理研究所  
Institute of High Energy Physics  
Chinese Academy of Sciences

# Top quark measurements at the LHC

**Hongbo Liao**

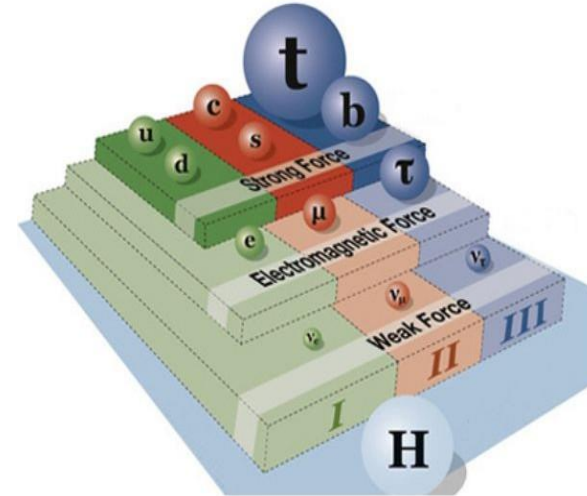
**Institute of High Energy Physics, CAS**

**8<sup>th</sup> CLHCP, 23-27 November, 2022, Nanjing**

# top-quark

## The top has several features that make it a very interesting particle:

- ✓ Heaviest particle discovered till now
  - $m^t = 173.34 \pm 0.27(\text{stat}) \pm 0.71(\text{syst}) \text{ GeV}$
- ✓ Decays before hadronization
  - Give access to the physics of a “free” quark
- ✓ Intensively couples to the Higgs boson



## The LHC is a top factory and allows:

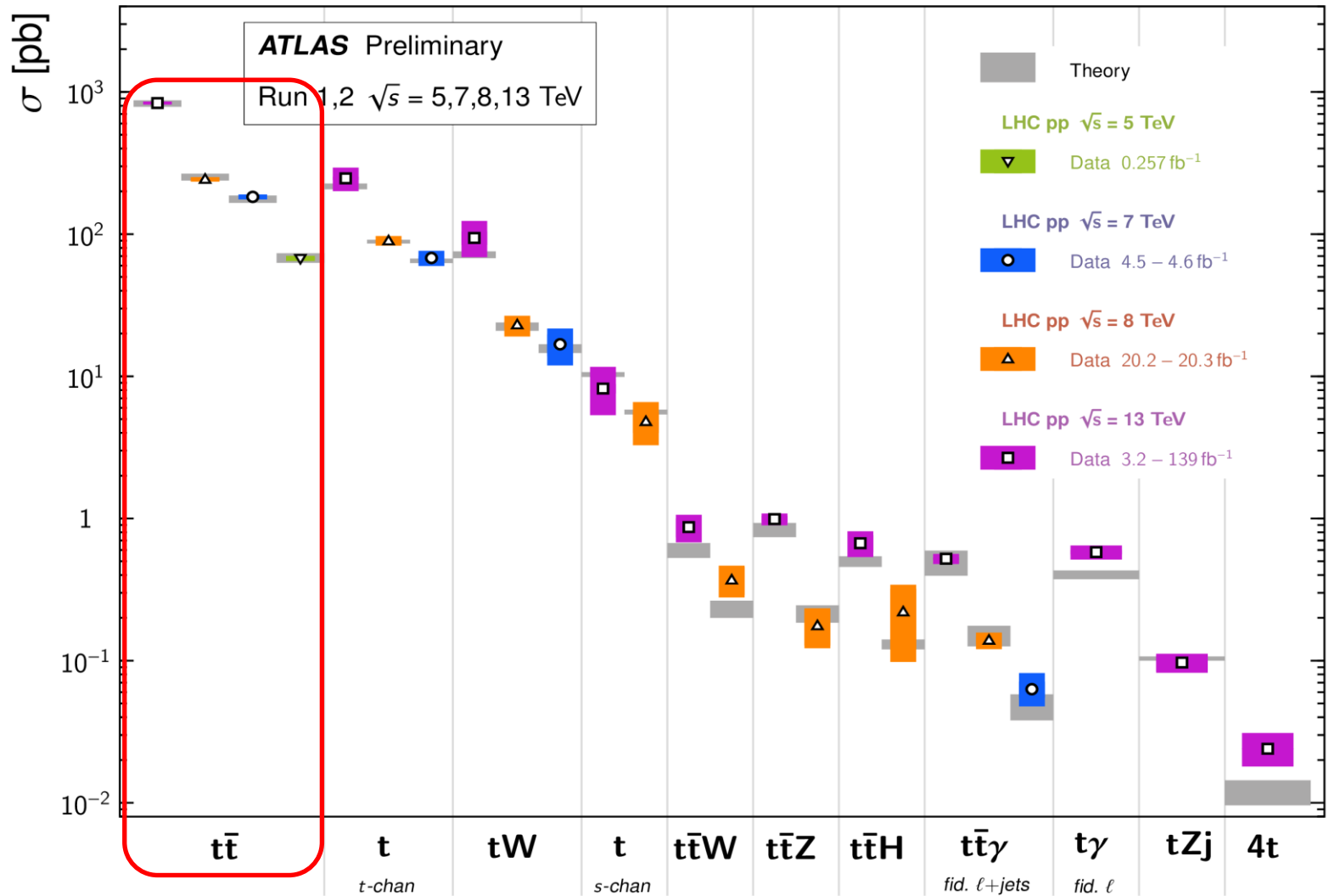
Over 200M top quark pairs in LHC Run2 13 TeV data  
More coming with Run3 data taking

- ✓ Precise measurements of top pairs and single top production
- ✓ Observation of rare processes involving top
- ✓ Use the top quark as a “tool” to study the SM

# Top pair production

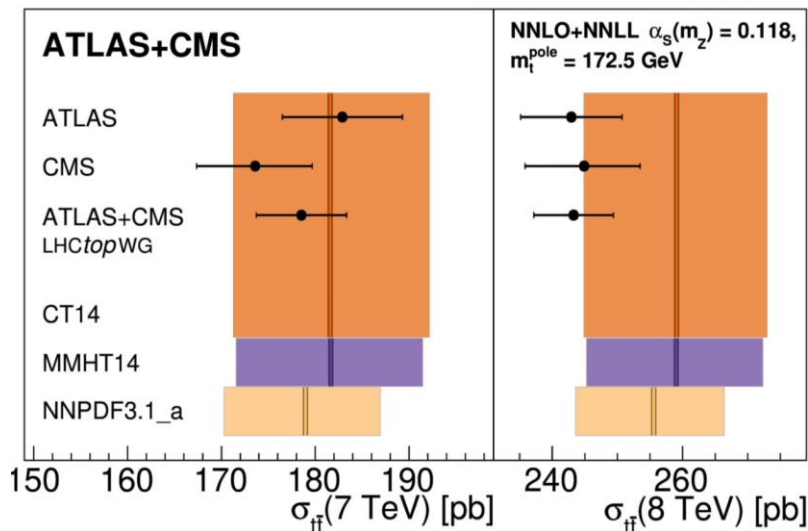
## Top Quark Production Cross Section Measurements

Status: November 2022



## ATLAS+CMS combination 7/8 TeV

- inputs:  $e\mu$  channel with best precision
- CONVINO tool to combine counting and PL fit



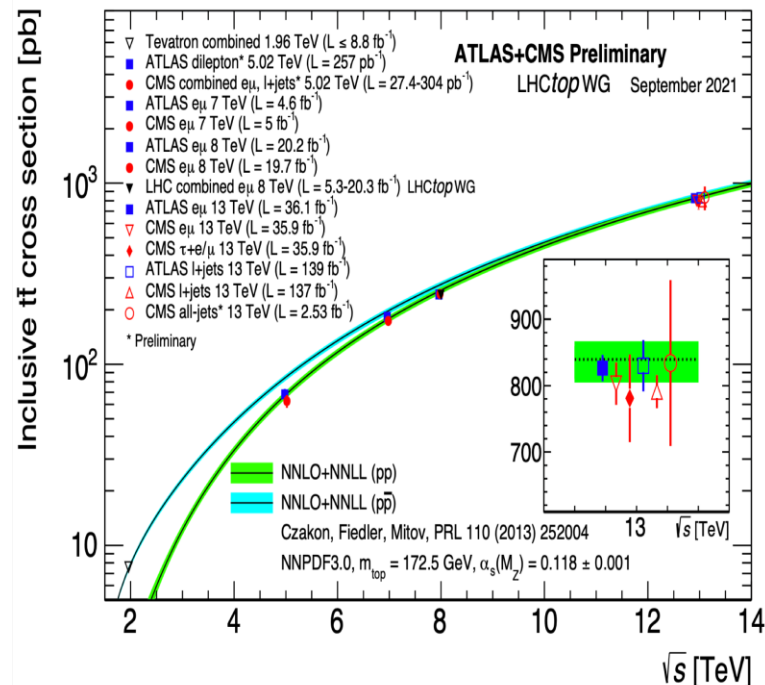
$$\sigma_{t\bar{t}}(\sqrt{s} = 7 \text{ TeV}) = 178.5 \pm 4.7 \text{ pb}$$

$$\sigma_{t\bar{t}}(\sqrt{s} = 8 \text{ TeV}) = 243.3_{-5.9}^{+6.0} \text{ pb},$$

- 25% reduction of uncertainties

$$\alpha_s(m_Z) = 0.1170_{-0.0018}^{+0.0021}$$

## Measurements at 5.02 TeV



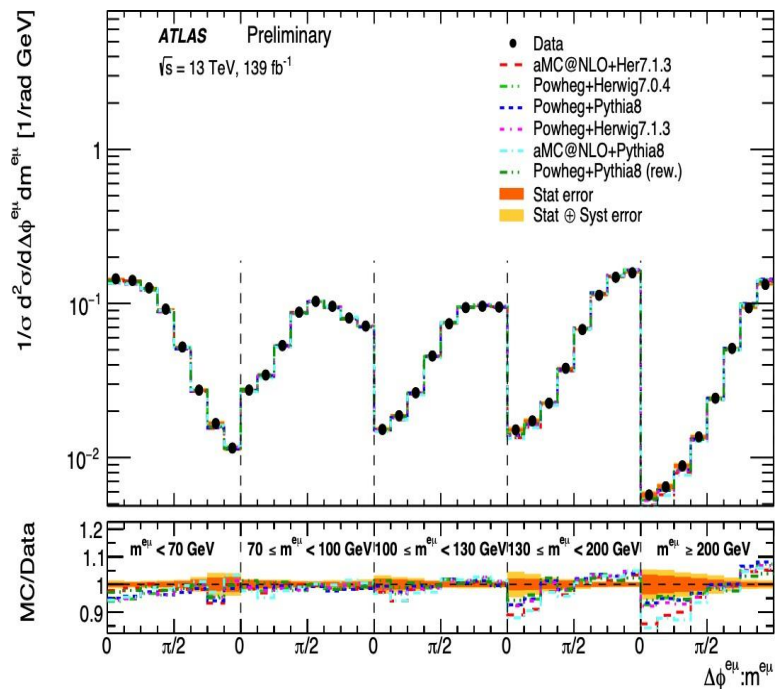
✓ Impressive agreement with QCD predictions from 5.02 to 13 TeV and a magnitude of cross section

# Measurements in lepton channels

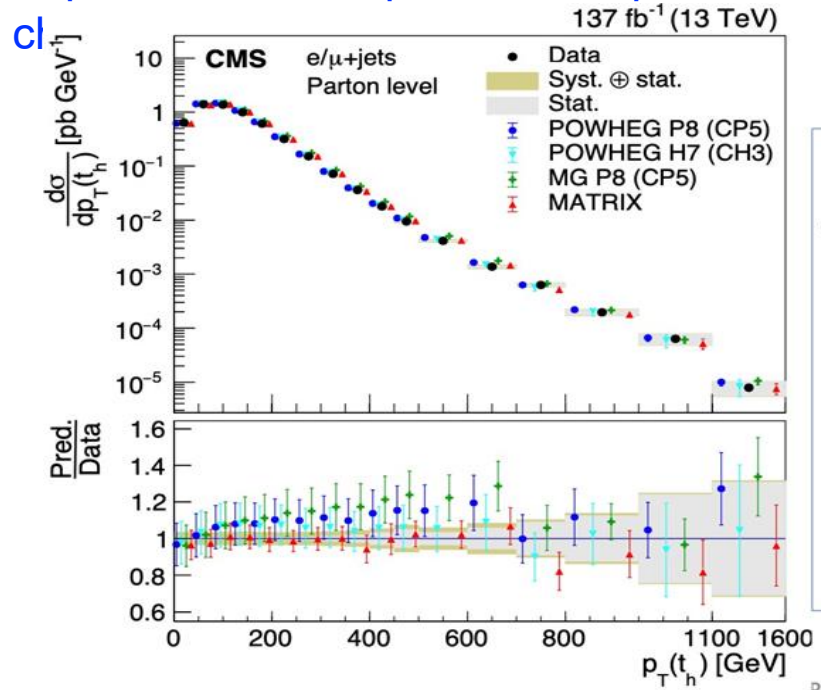
## eu channel

Single lepton PRD 104 (2021) 092013

- ✓ Inclusive and 8 2D distributions
- ✓ For differential applied in each bin



- ✓ included resolved and boosted topologies
- ✓ Inclusive, parton and particle level
- ✓ Expanded PS compared to dilepton



$$\sigma_{t\bar{t}} = 836 \pm 1(\text{stat}) \pm 12(\text{syst}) \pm 16(\text{lum} + E_{cms})$$

2.4% uncertainty

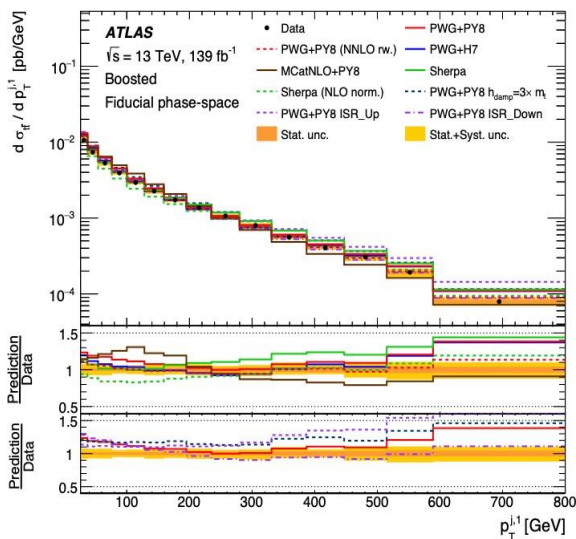
$$\sigma_{t\bar{t}} = 791 \pm 1(\text{stat}) \pm 21(\text{syst}) \pm 14(\text{lumi}) \text{ pb}$$

3.2% uncertainty  
most precise in this channel

- Largest uncertainties from luminosity and  $W_t$
- No improvement in precision compared to 36/fb result

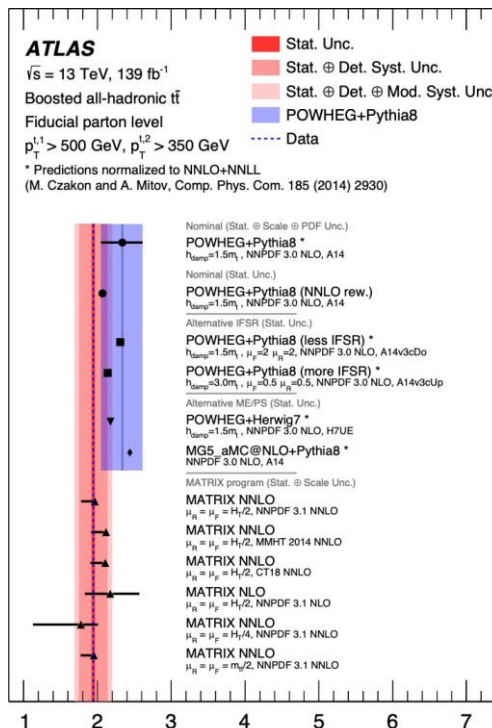
## Single lepton channel

- Significant reduction of JES uncertainty due to in-situ JES calibration
- Problems with modelling additional jets and 2D distributions and azimuthal distances to hadronic top



$p_T$  of leading additional jet

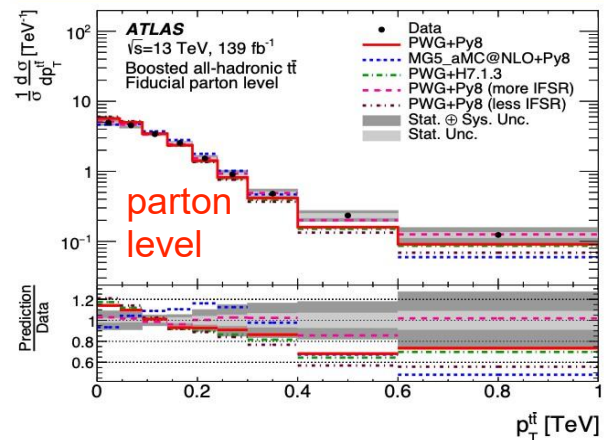
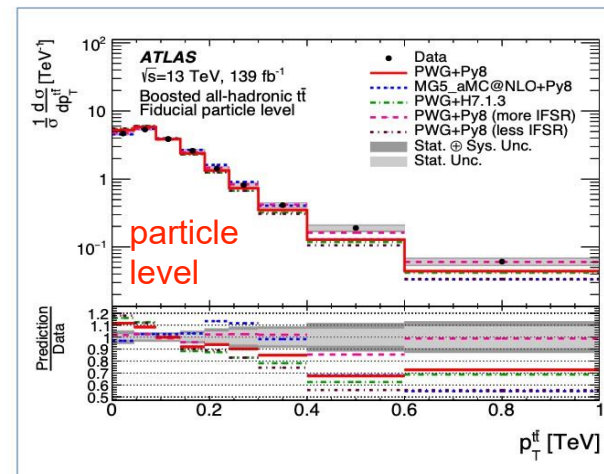
## All-hadronic channel



MATRIX reproduces the fiducial cross-section better than the NLO models.

Reweighting the NLO to NNLO top  $p_T$  helps to reproduce data

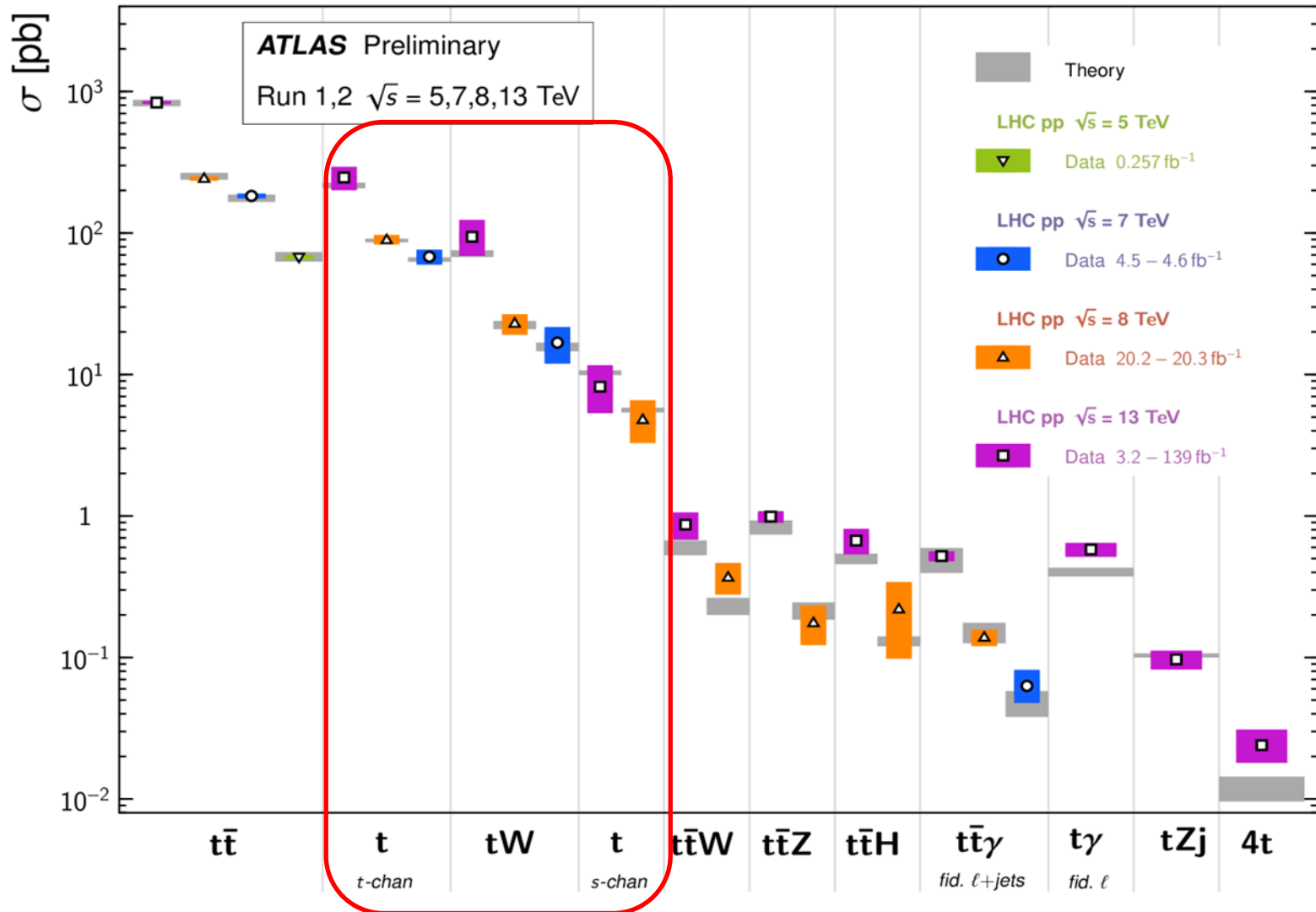
## tt system $p_T$



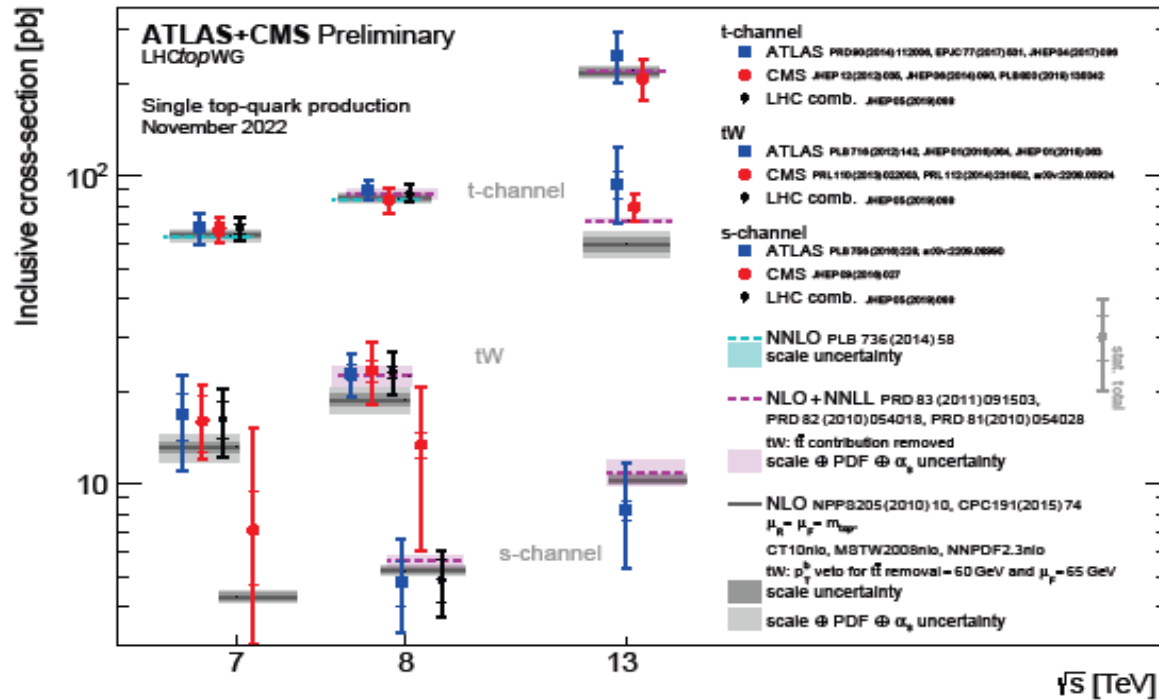
# Single top production

## Top Quark Production Cross Section Measurements

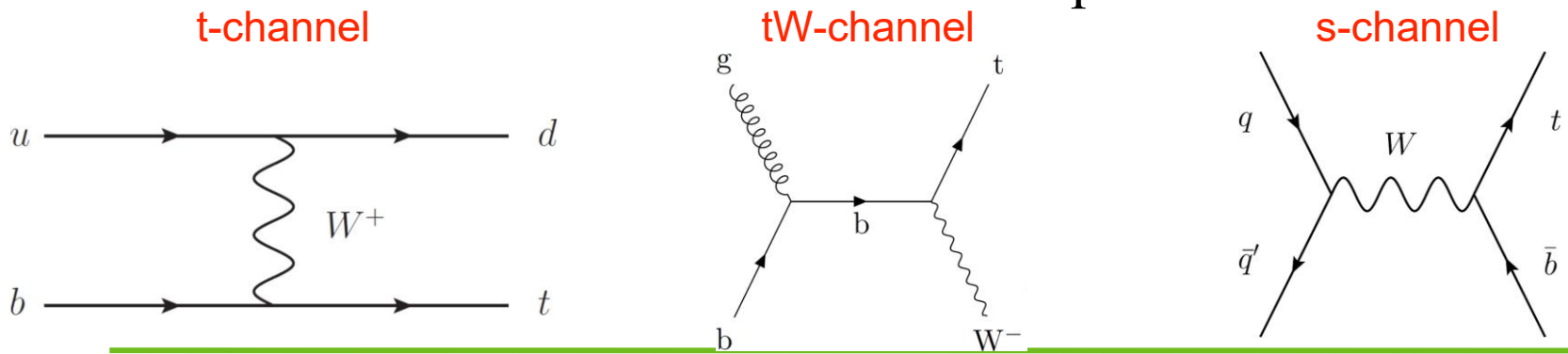
Status: November 2022



# Single top production



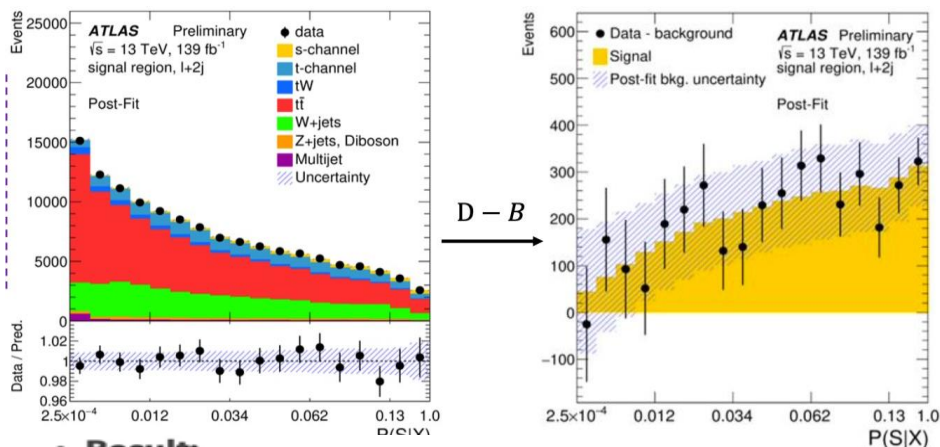
Inclusive xsec measurements are in good agreement w/ NLO+NNLL & NNLO prediction.





## s-channel

- ✓ Observed at Tevaton
- ✓ Very complicated at LHC:
  - small cross section, large backgrounds
- ✓ Matrix Element technique to separate S/B



Result:

$$\sigma_{\text{meas.}} = 8.2 \pm 0.6 \text{ (stat.) }^{+3.4}_{-2.8} \text{ (syst.) pb}$$

Compatible with SM prediction:

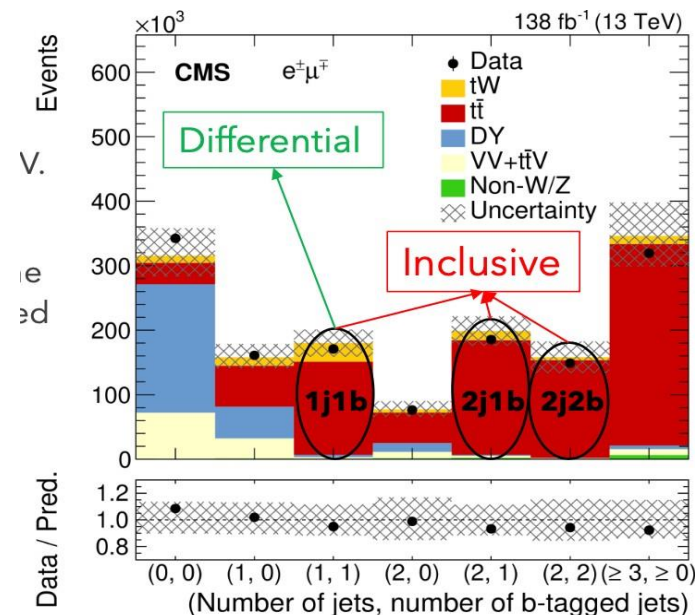
Significance 3.3 (3.9) obs.(exp)

dominated by modelling and JES

Source	$\Delta\sigma/\sigma$ [%]
$t\bar{t}$ normalisation	+24/ - 17
Jet energy resolution	+18/ - 12
Jet energy scale	+18/ - 13
Other s-channel modelling sources	+18/ - 8

## tW channel

- ✓ Inclusive and differential XS in  $e\mu$  channel



$$\sigma_{tW} = 79.2 \pm 0.8 \text{ (stat.) } \pm_{7.2}^{7.0} \text{ (syst.) } \pm 1.1 \text{ (lumi) pb}$$

10% uncertainty

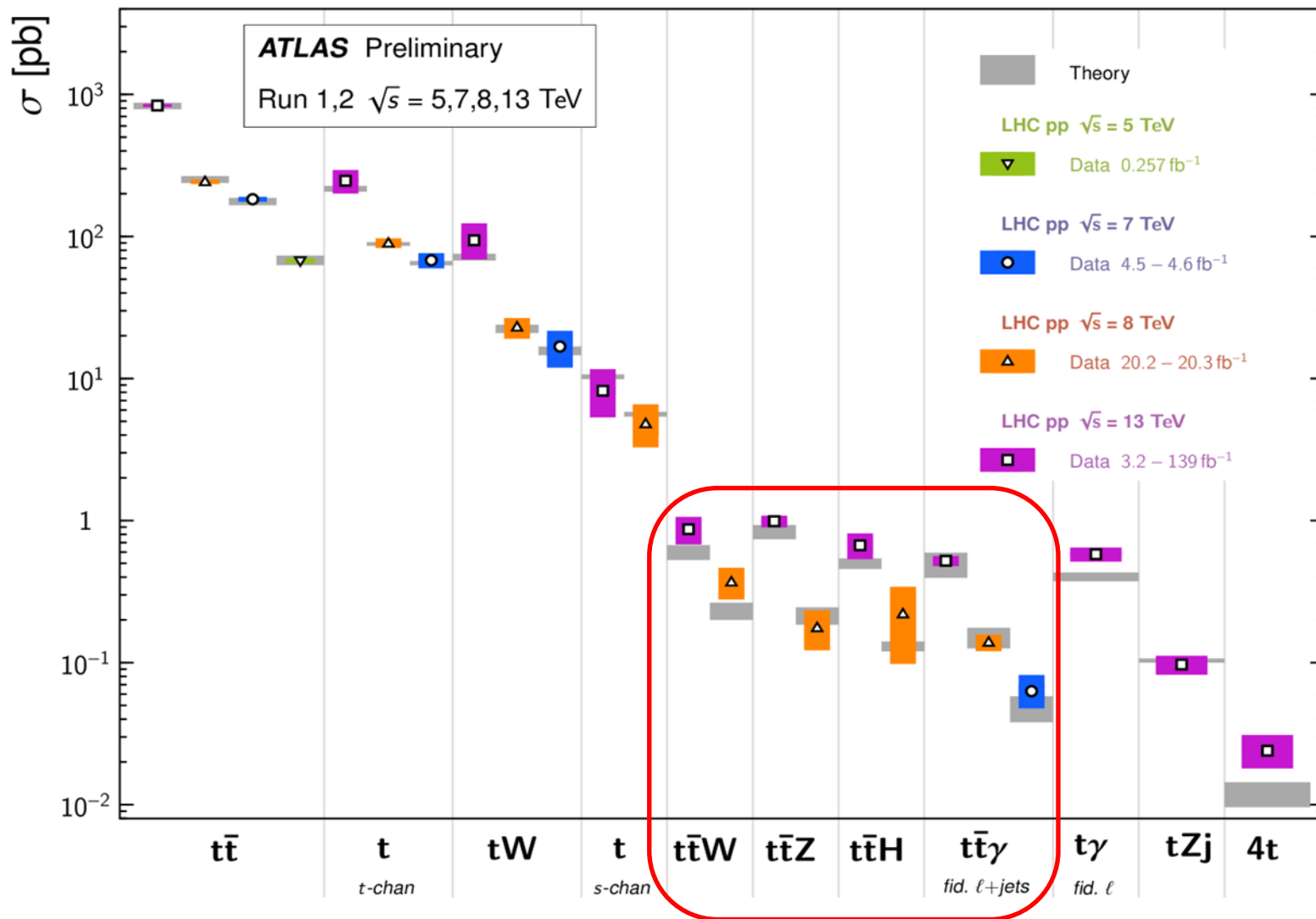
In agreement with predictions

- tW is also measured in single lepton channel by ATLAS (8 TeV) and CMS (13 TeV)
- Less precise than dilepton

# tt + X production

## Top Quark Production Cross Section Measurements

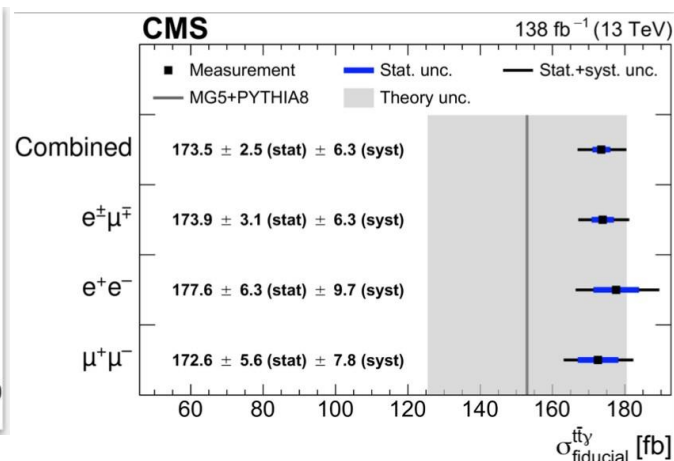
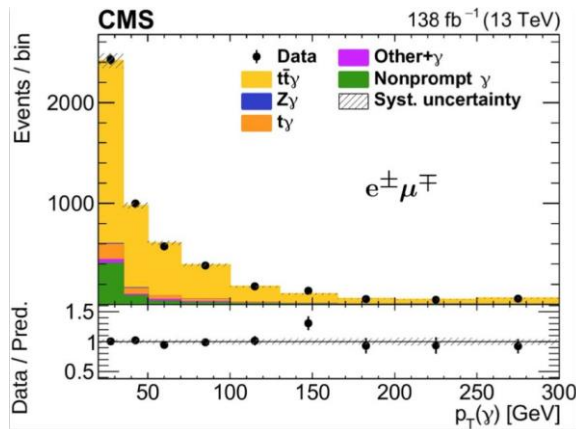
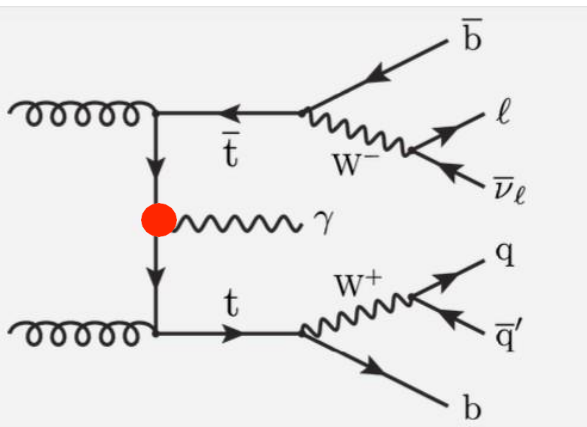
Status: November 2022



# tty production

JHEP 05 (2022) 091

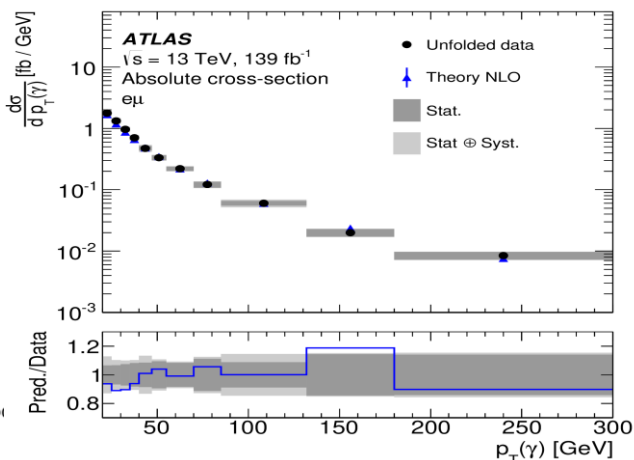
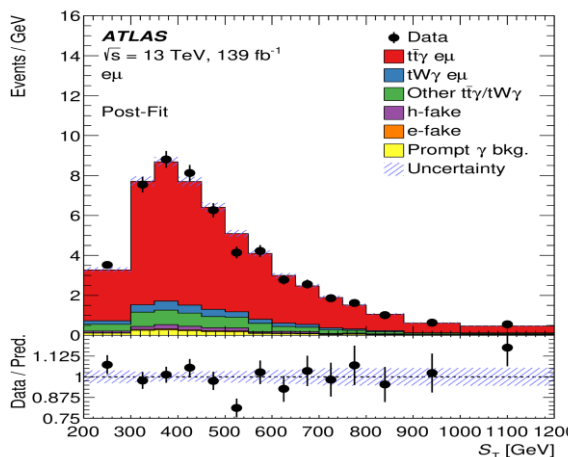
## □ New CMS measurement in dilepton channel



□ Precision 4%

□ Prediction from MG5aMC (LO+NLO k-factor) is lower

JHEP 09 (2020) 049

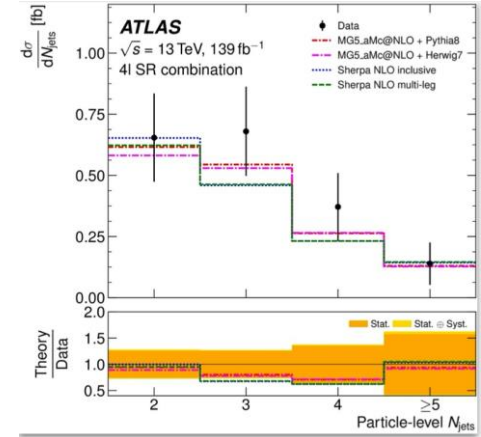


In agreement with the predictions from the SM

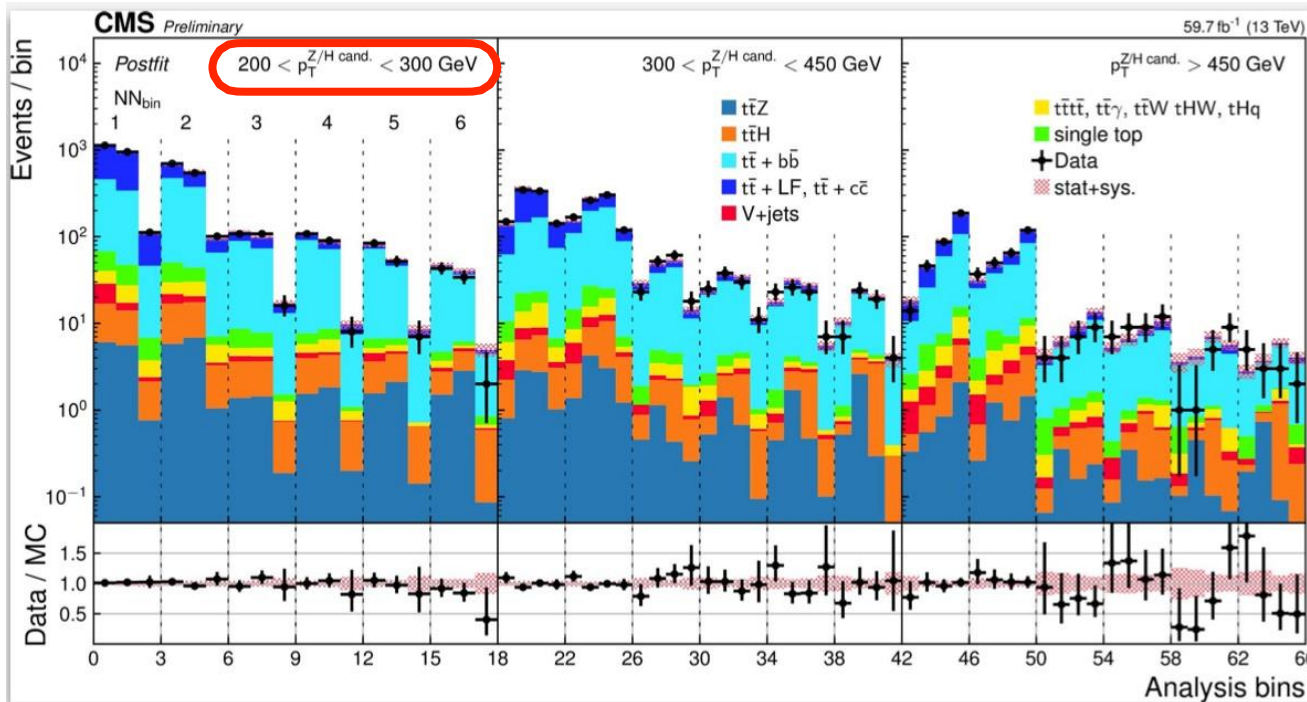
# ttZ measurements

Channel	$\mu_{t\bar{t}Z}$
Trilepton	$1.17 \pm 0.07$ (stat.) $^{+0.12}_{-0.11}$ (syst.)
Tetralepton	$1.21 \pm 0.15$ (stat.) $^{+0.11}_{-0.10}$ (syst.)
Combination ( $3\ell + 4\ell$ )	$1.19 \pm 0.06$ (stat.) $\pm 0.10$ (syst.)

- ✓ Precision 10%
- ✓ Slightly higher than prediction

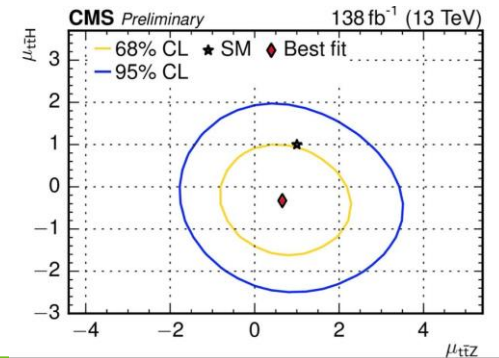


➤ Measurement of ttZ(bb) and ttH(bb) in boosted regime [arXiv:2208.12837](https://arxiv.org/abs/2208.12837)



Signal strength	Observed	Stat.
$\mu_{t\bar{t}Z}$	$0.65^{+1.04}_{-0.98}$	$^{+0.80}_{-0.75}$
$\mu_{t\bar{t}H}$	$-0.27^{+0.86}_{-0.83}$	$^{+0.72}_{-0.65}$

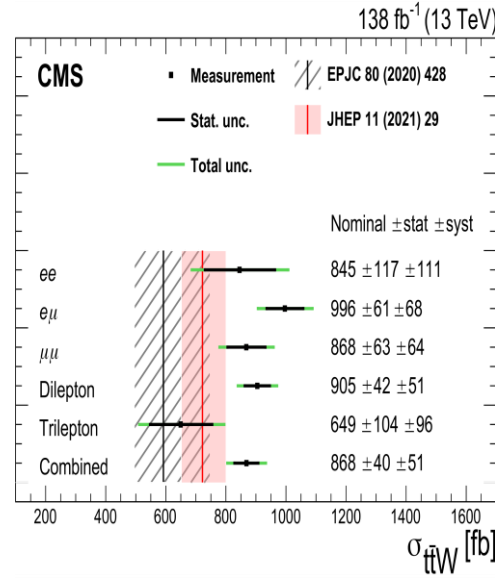
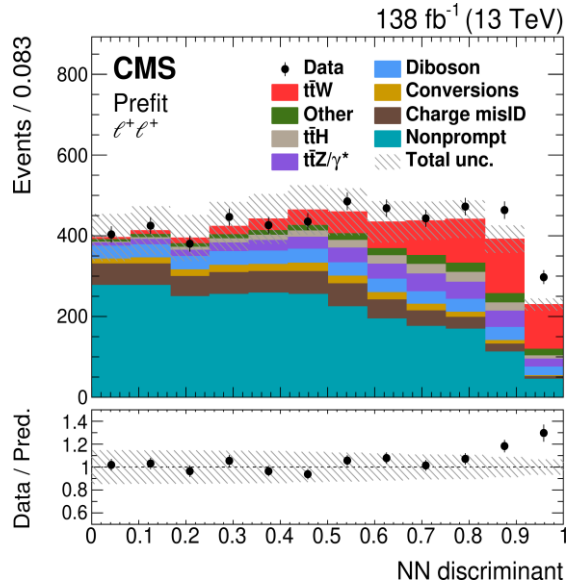
Limited by statistics



# ttW measurement

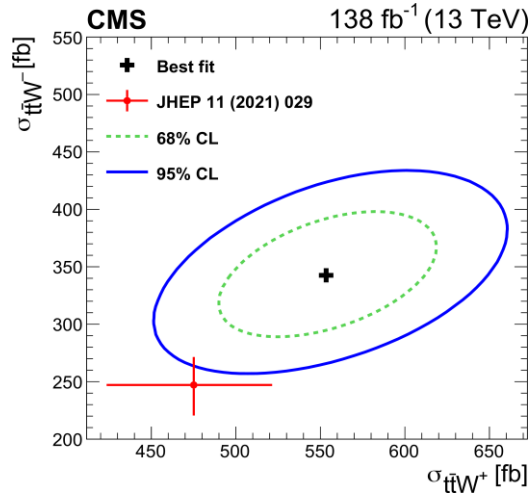
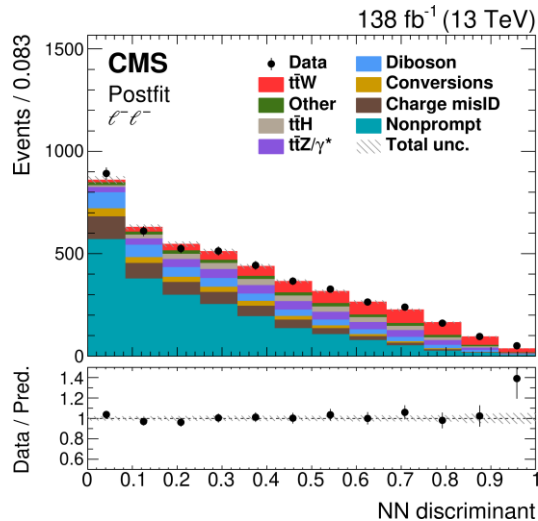
## 2-lepton Same Sign and tri-lepton final states

arXiv:2208.06485



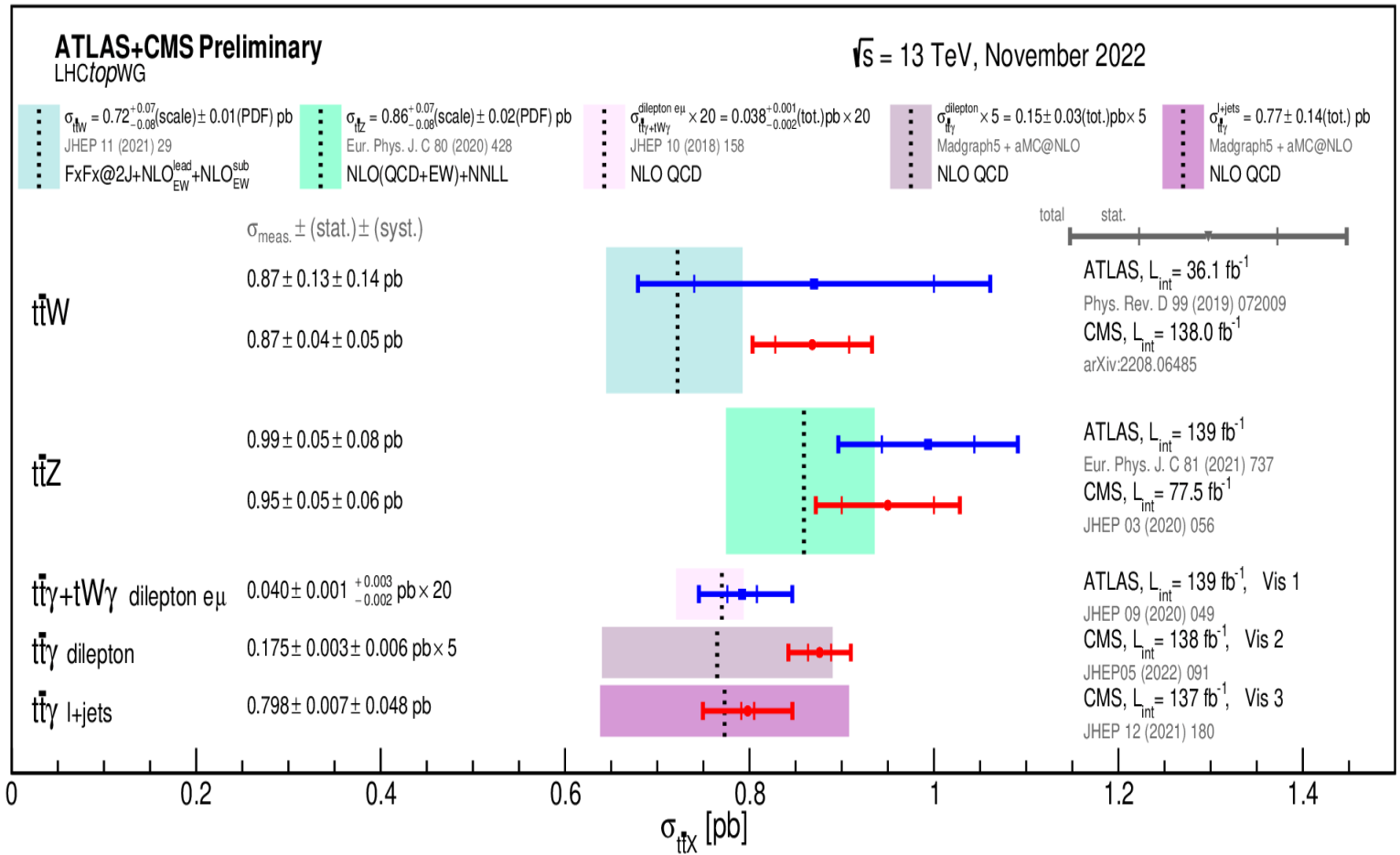
Combined cross section corresponds to  $\mu_{ttW} = 1.47$

$R(ttW+/ttW-) = 1.61 \pm 0.15$  (stat)  $^{+0.07}_{-0.05}$  (syst)



Significant deviation from prediction for  $ttW+/ttW-$  ratio =  $1.94+0.37-0.24$

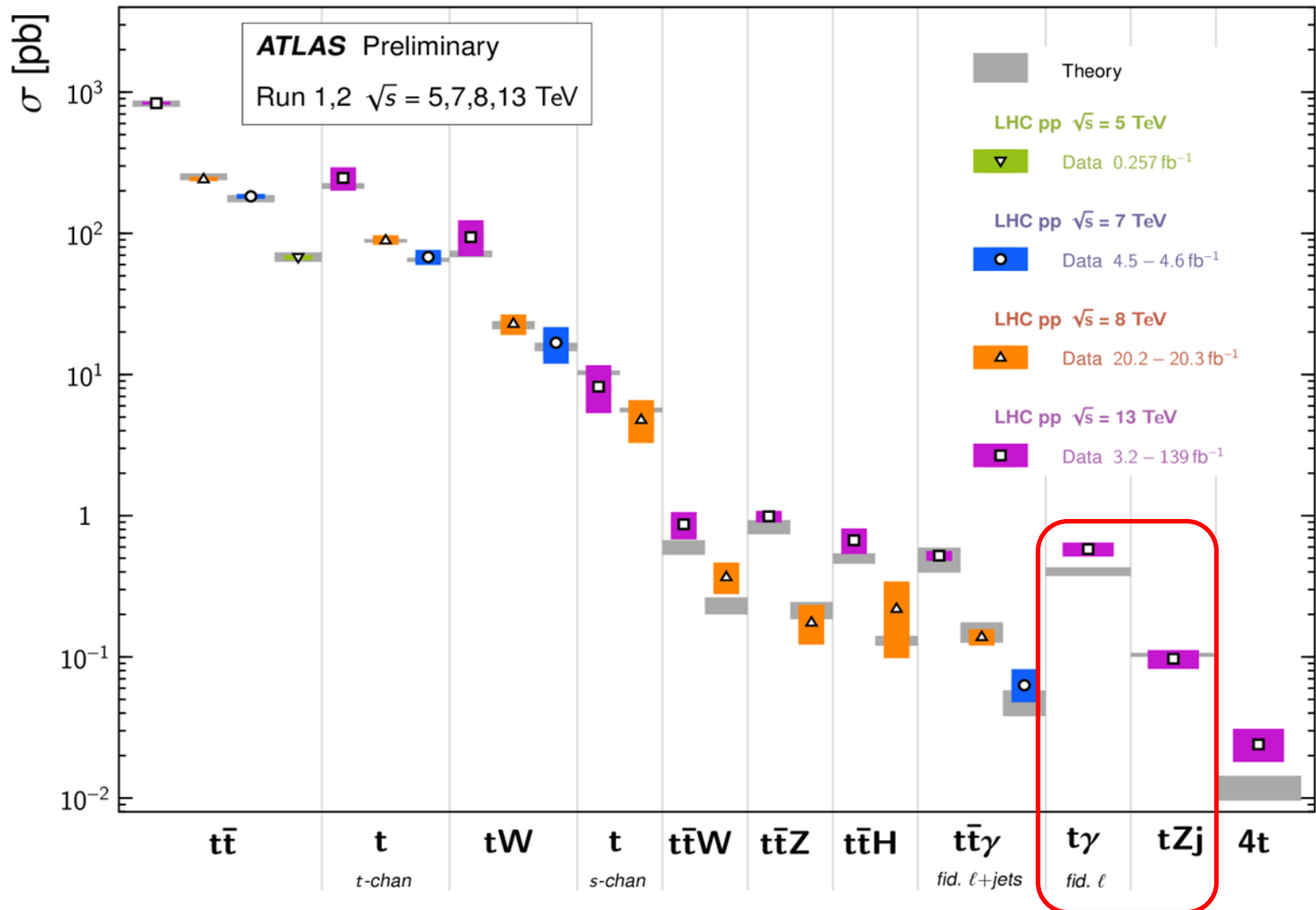
# tt+X summary



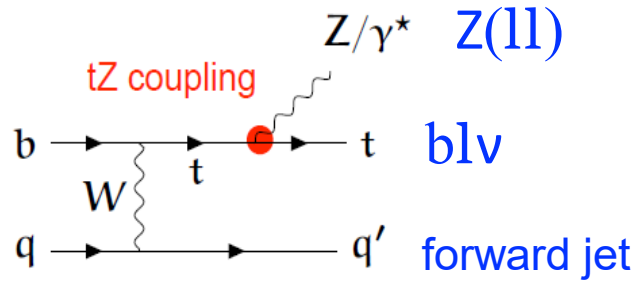
# t + X production

## Top Quark Production Cross Section Measurements

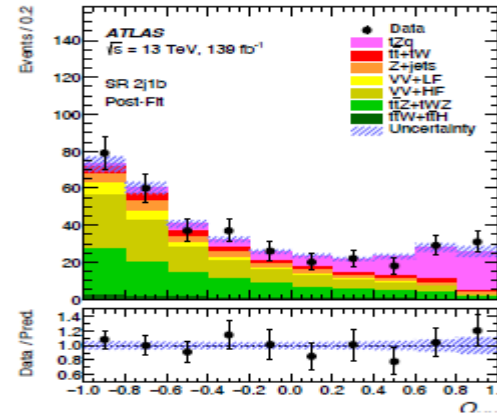
Status: November 2022



# tZq production



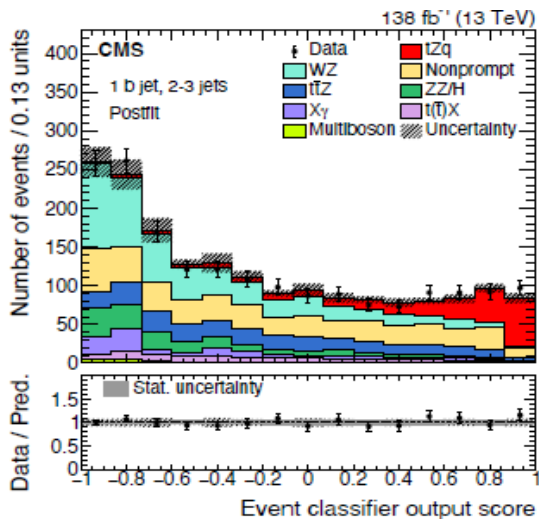
- ✓ Observed by ATLAS and CMS
- ✓ New CMS analysis with full run2 data set



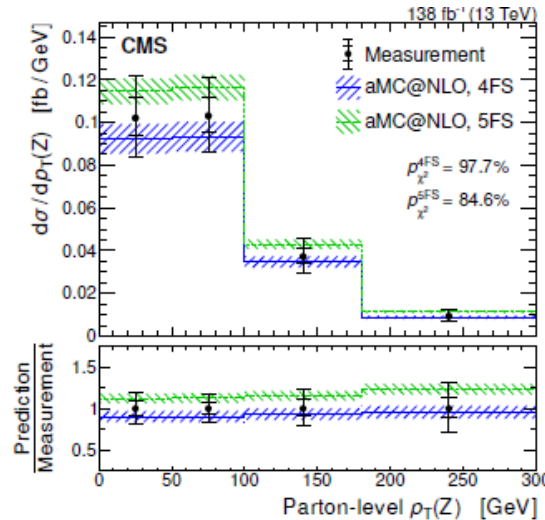
JHEP 07 (2020) 124

cross section  
measured with 14%  
uncertainty

JHEP 02 (2022) 107



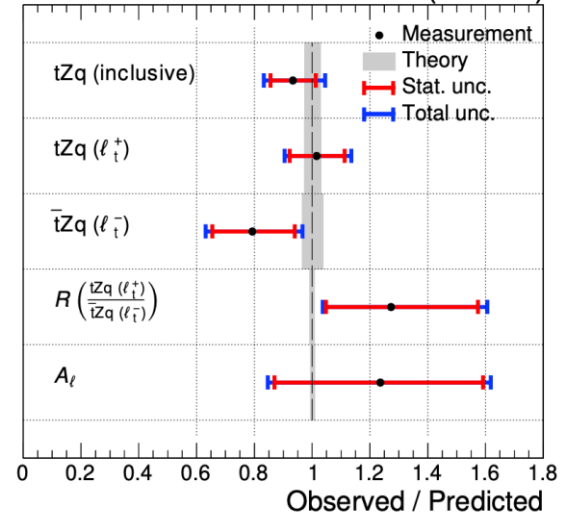
11% cross section  
uncertainty



first parton and particle level  
differential measurements

CMS

$138 \text{ fb}^{-1}$  (13 TeV)

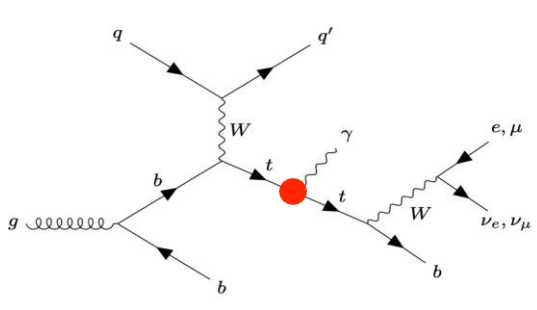


first measurement of ratio

Precision is expected to improve with more statistics in Run 3

$$R \left( \frac{tZq(\ell^+)}{\bar{t}Zq(\ell^-)} \right)$$

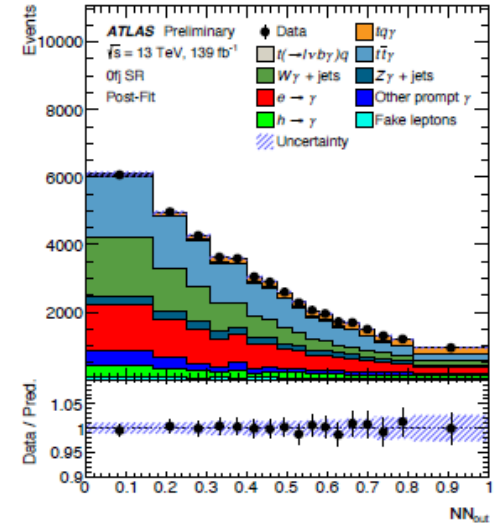
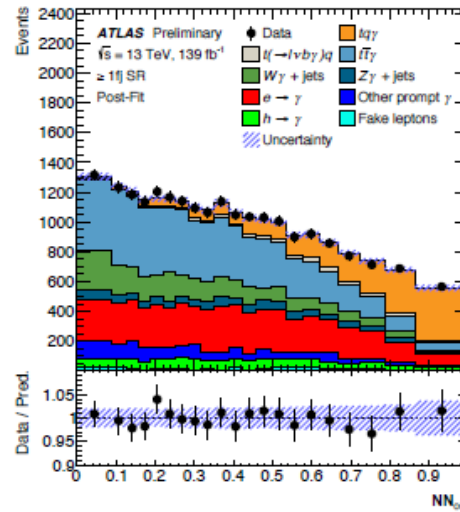
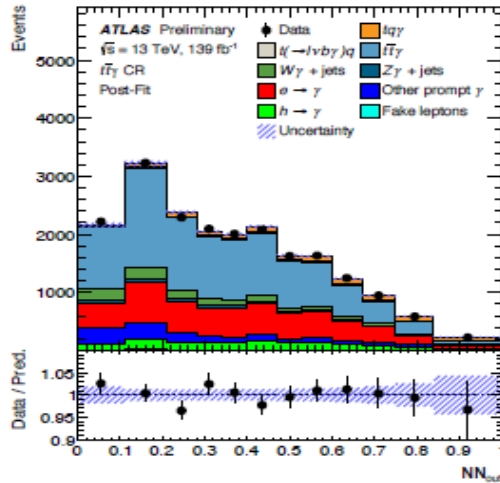




- ✓ First evidence from CMS using ~36/fb of data
- ✓ New ATLAS analysis with full run 2 data

## Signal regions (NN)

Largest background from ttγ



Observed (expected) significance is  $9.1\sigma$  ( $6.7\sigma$ )

~40% higher than prediction

Parton level cross section:

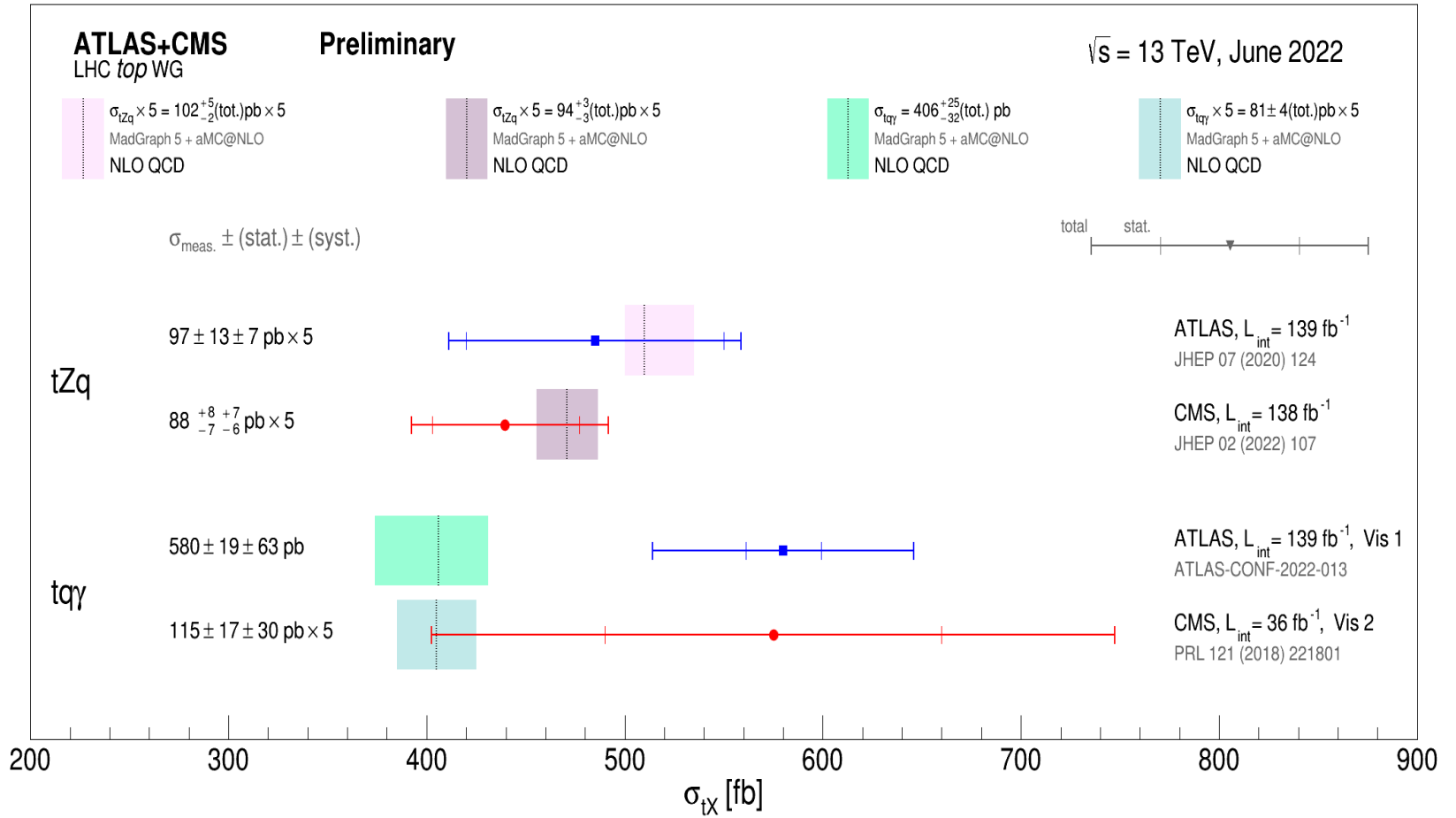
Particle level cross section

$$\sigma(tq\gamma) \mathcal{B}(t \rightarrow l\nu b) = 580 \pm 19(\text{stat.}) \pm 63(\text{syst.})\text{fb}$$

$$\sigma(tq\gamma) \mathcal{B}(t \rightarrow l\nu b) + \sigma(t \rightarrow l\nu b\gamma)q = 287 \pm 8(\text{stat.})_{-31}^{+32}(\text{syst.})\text{fb}$$

Compatible with the SM within  $2.5(1.9)\sigma$  at parton(particle) level

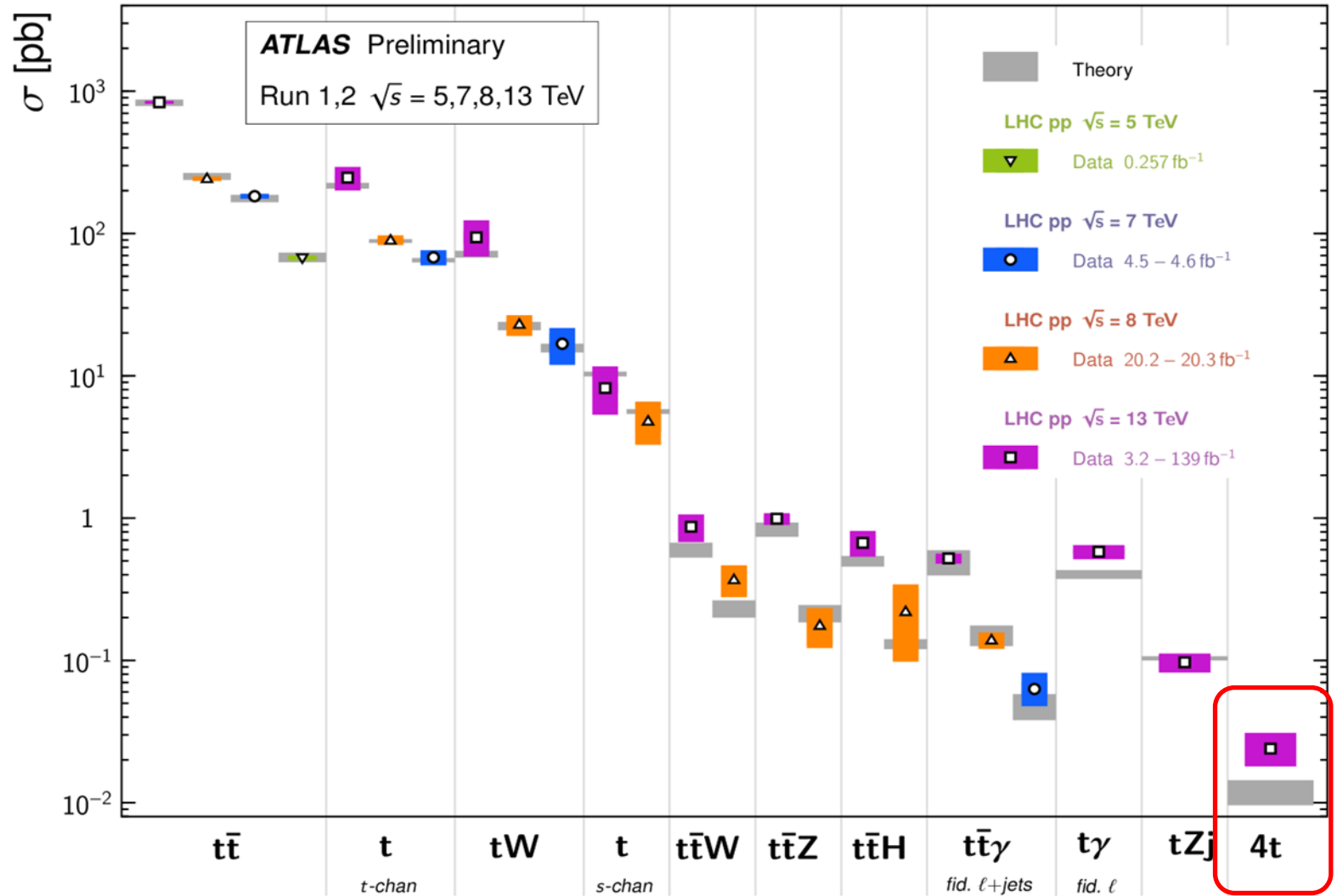
# t+X summary



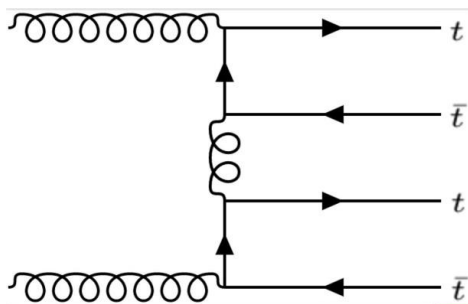
# 4-top production

## Top Quark Production Cross Section Measurements

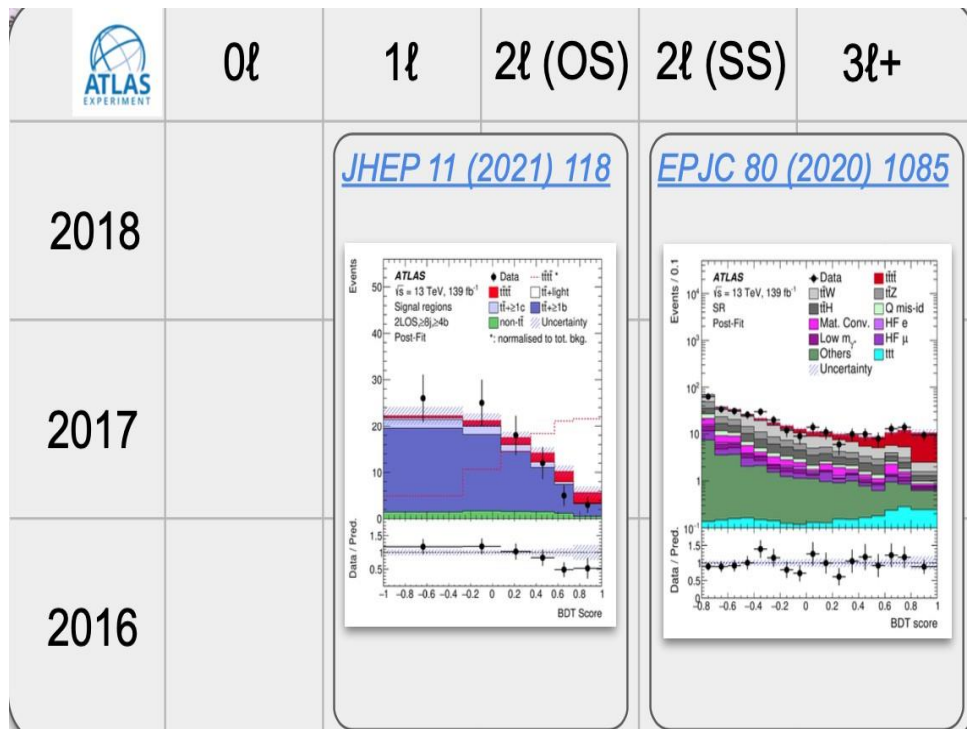
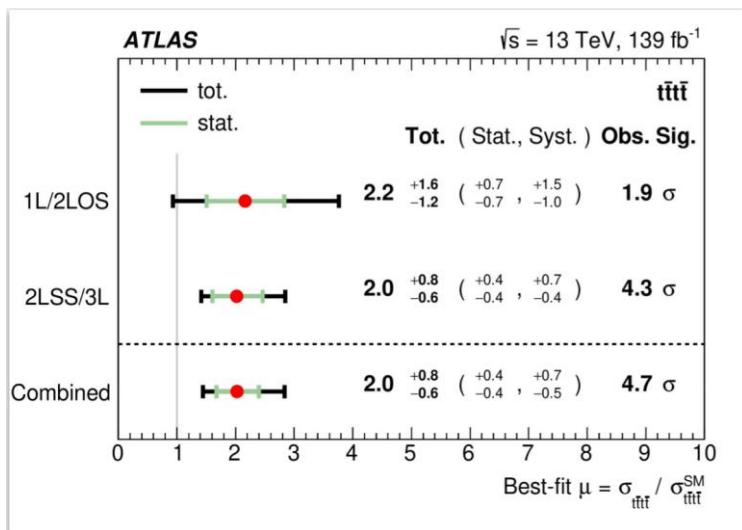
Status: November 2022



# 4-top searches



Heaviest particle final state  
Many different final states



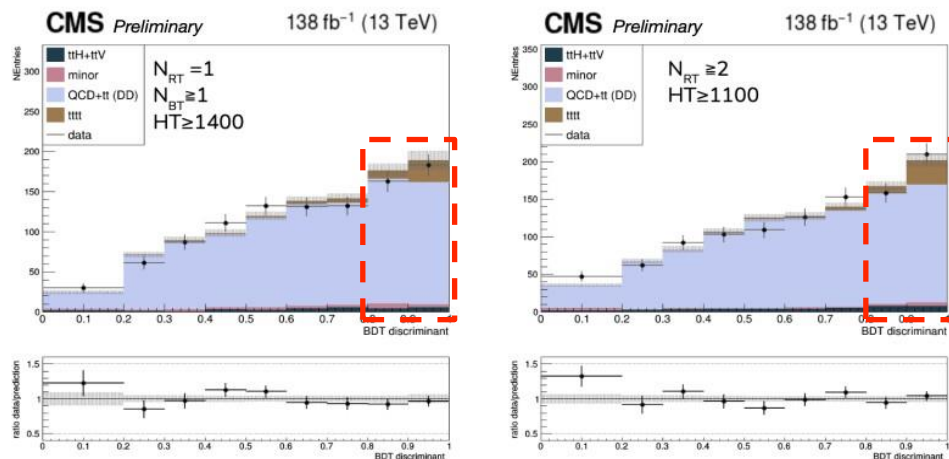
- ✓ Measured cross-section:  $\sigma(\text{tttt}) = 24^{+7}_{-6} \text{ fb}$  ( $4.7\sigma$ )
- ✓ Predicted NLO QCD+EW:  $\sigma(\text{tttt}) = 12.0^{+2.2}_{-2.5} \text{ fb}$
- ✓ Compatible within  $2\sigma$

significance:  $4.7\sigma$

# 4-top searches

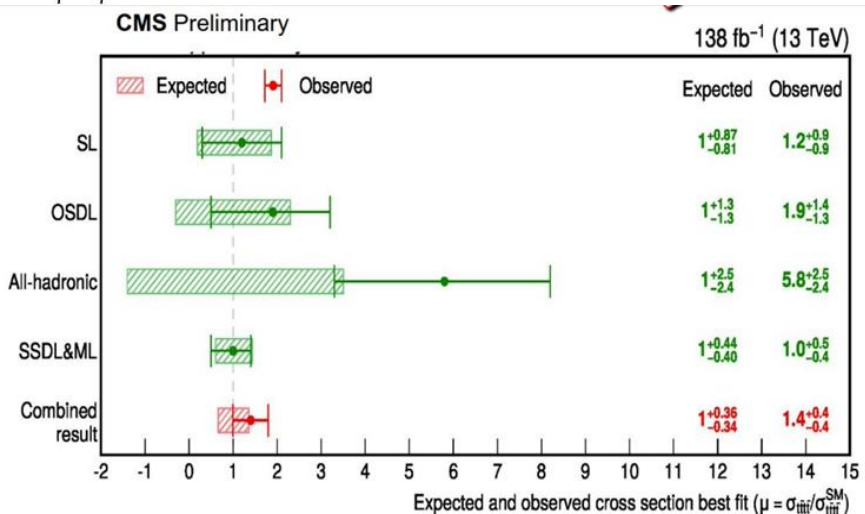
## 1-lepton, 2-lepton OS, all-hadronic channels

Channels with large tt+bb and multi jet (all-hadronic) backgrounds



Large excess in data in most sensitive regions in all-hadronic channel

Example post-fit S+B BDT discriminant distributions in most sensitive SR bins in Run II



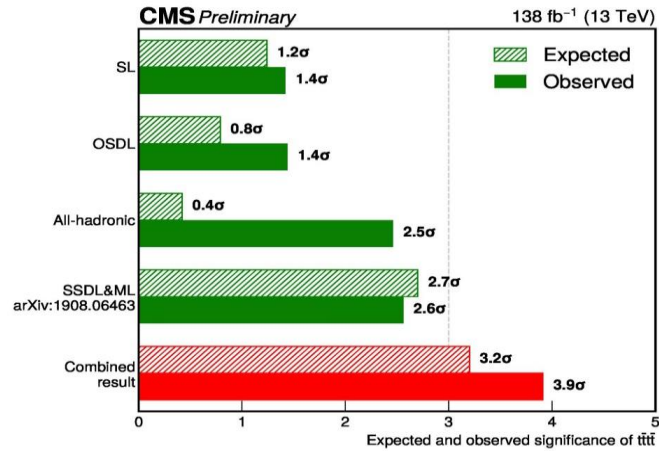
✓ Combined signal-strength:  
 $\mu(\text{tttt}) = 1.4 \pm 0.4$

✓ significance:  $3.9\sigma$

✓ Limited by data statistics and ttbb background modelling

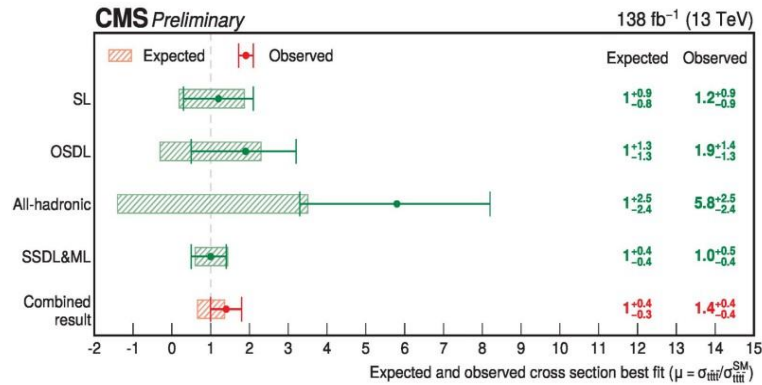
# 4-top summary

## Significance

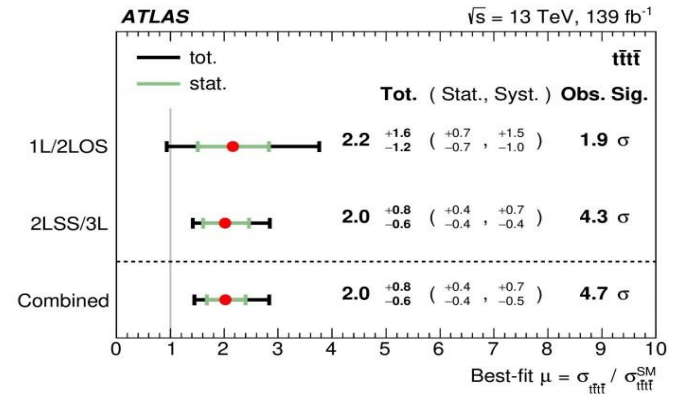


CMS-PAS-TOP-21-005

[ATLAS JHEP 11 \(2021\) 118](#)



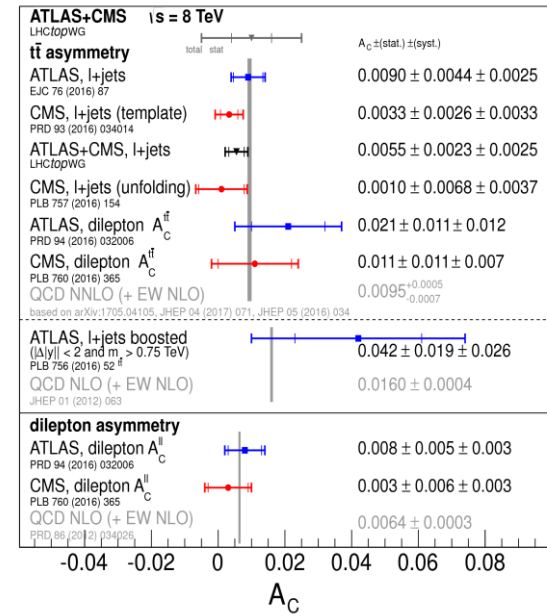
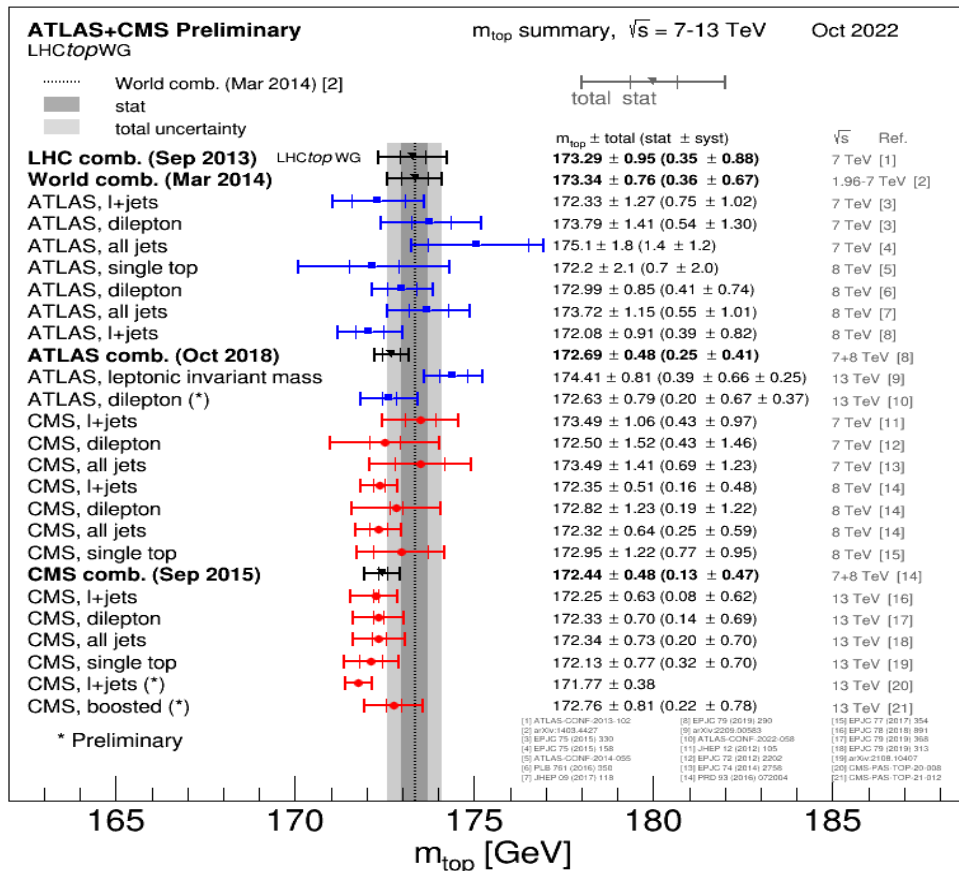
expected significance: 3.2  $\sigma$   
observed significance: 3.9  $\sigma$



expected significance: 2.6  $\sigma$   
observed significance: 4.7  $\sigma$

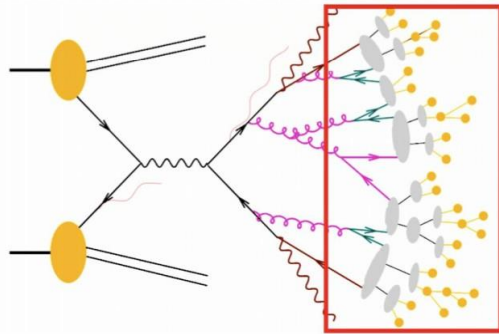
# top quark properties

- ✓ Now at LHC is possible to reach un-precedent precisions for the property measurements
- ✓ Now measured not only in  $t\bar{t}$ bar but also in single top and  $t\bar{t}+X$  events



Top mass  
 Top spin  
 Top polarisation  
 Asymmetries  
 B-fragmentation  
 CP properties.....

# Top mass

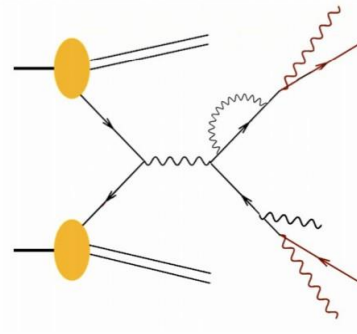


Direct

from reconstruct invariant mass of top quark decay products

- Most precise ( $\sim 0.3$  GeV)
- Depends on the details of the MC simulation

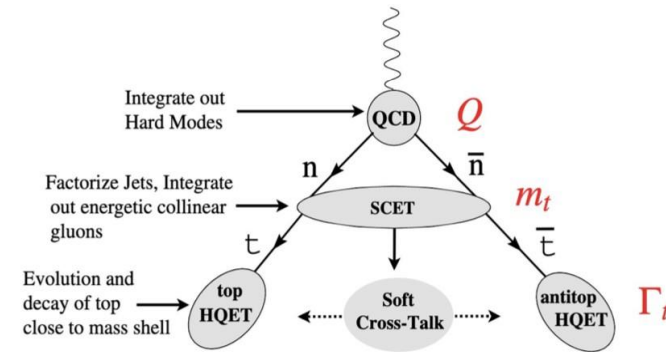
$m_t^{MC}$   $\longleftrightarrow$   $m_t$



Indirect

measure observable directly sensitive to  $m_t$  (e.g.  $\sigma_{tt}$ )

Compare to theory prediction in well-defined renormalisation **scheme** (pole, MS, MSR)



“Third”

jet mass in boosted top decays can be calculated using SC-EFT

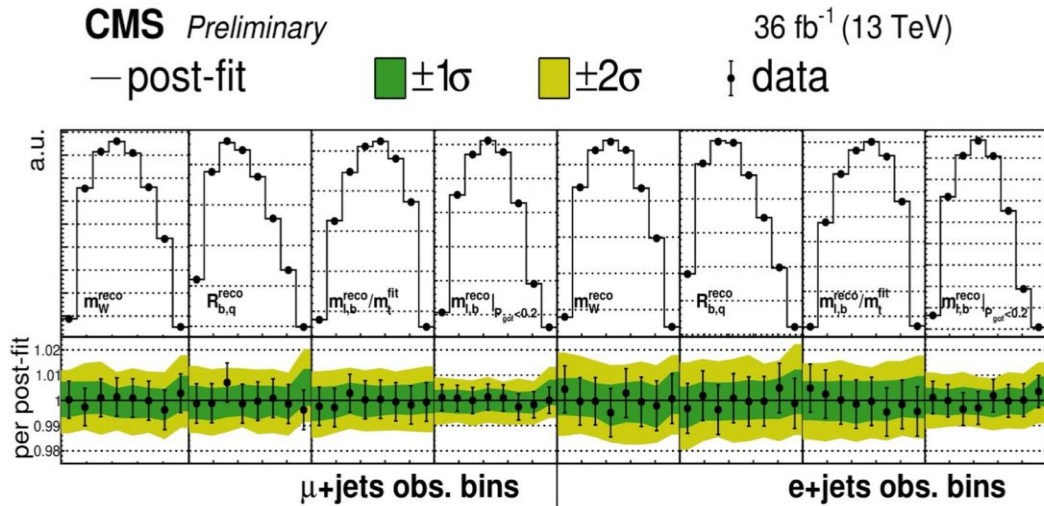
- CMS:  $tt$ +jets (36/fb)
- CMS: single top t-channel
- ATLAS  $t\bar{t}$  soft muon tagging
- ATLAS  $t\bar{t}$  dilepton

- ATLAS+CMS:  $m_t$  pole from combined  $\sigma_{tt}$  7+8 TeV
- CMS: from  $tt+1j$  invariant mass
- CMS:  $m_t$  running @NNLO revisited

- CMS: top mass from boosted jet mass



✓  $t\bar{t}$  l+jets: profile LH fit to 5 observables in different event categories



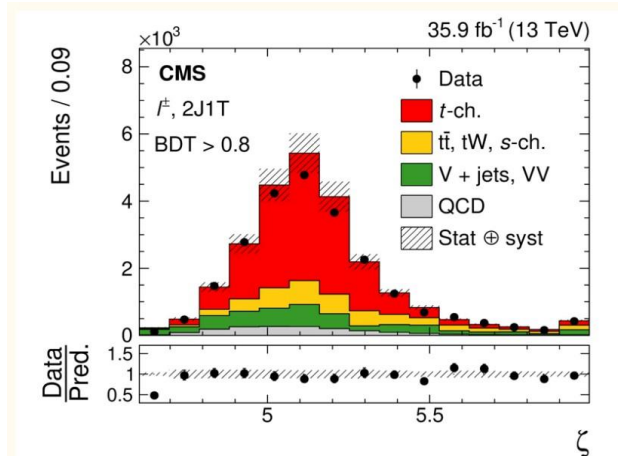
**CMS Preliminary**

<b>l + jets 5D: <math>m_t = 171.77 \pm 0.38</math> GeV</b>		
	pull	
JEC flavor bottom	0.89	
FSR PS scale X→Xg	0.74	
FSR PS scale q→qq	0.46	
CR: gluon move	0.34	
CR: QCD inspired	0.35	
BG W+jets	0.76	
Early resonance decay	0.33	

- Significant pull and constraint of FSR PS scale q→qq due to  $m_W^{\text{reco}}$
- Alternative correlation scheme  $172.14 \pm 0.31$  GeV

✓ Most precise measurement with 0.38 GeV uncertainty

✓ t-channel single top: ML fit to  $\zeta = \ln(m_t/1 \text{ GeV})$



$$m_t = 172.13^{+0.76}_{-0.77} \text{ GeV}$$

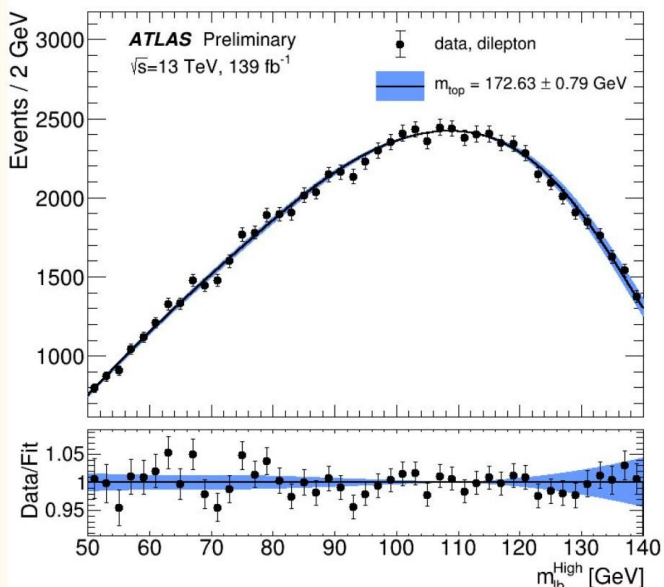
$$R_{m_t} = \frac{m_{\bar{t}}}{m_t} = 0.9952^{+0.0079}_{-0.0104}$$

$$\Delta m_t = m_t - m_{\bar{t}} = 0.83^{+1.79}_{-1.35} \text{ GeV}$$

# ATLAS measurements

## Template method (similar to 8 TeV)

- DNN to select b/lepton pairings
- Select permutation with highest DNN score

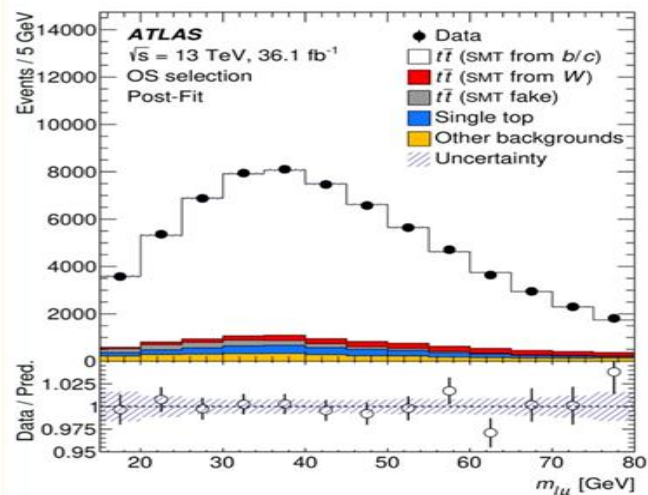


$$m_{\text{top}}^{\text{dilepton}} = 172.63 \pm 0.20 (\text{stat}) \pm 0.67 (\text{syst}) \pm 0.37 (\text{recoil}) \text{ GeV}$$

✓ Dominant by modelling and JES

## Top mass using soft muon tag

- Invariant mass  $m_{l\mu}$  sensitive to  $m_t$
- reduced sensitivity to JES
- sensitive to fragmentation modelling



$$174.41 \pm 0.39 (\text{stat.}) \pm 0.66 (\text{syst.}) \pm 0.25 (\text{recoil}) \text{ GeV}$$

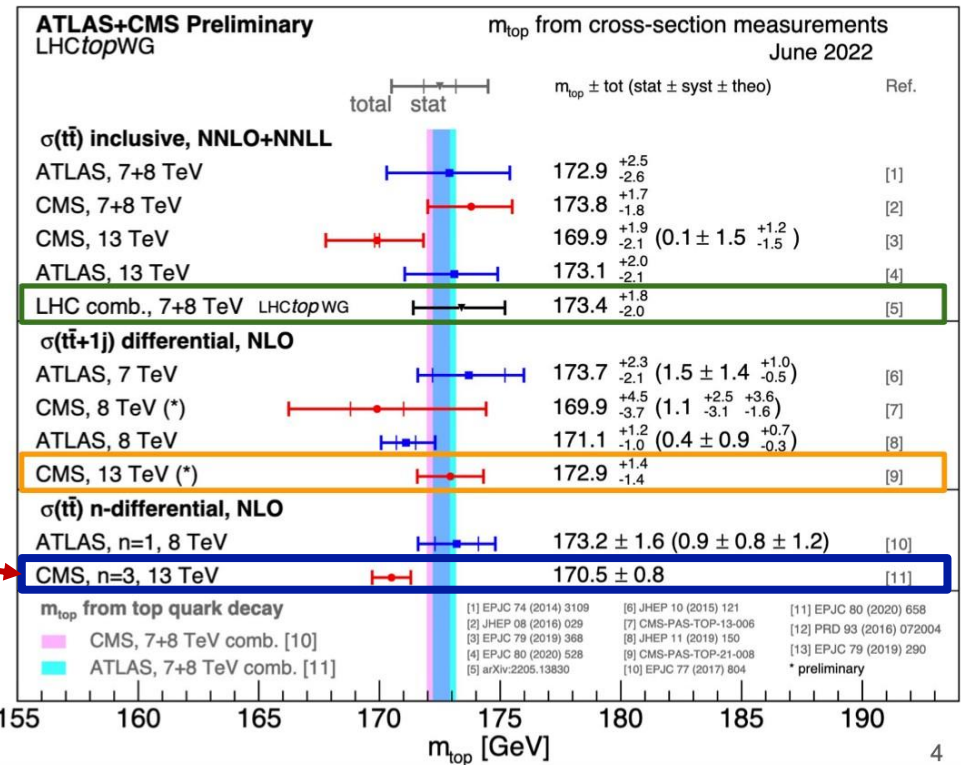
✓ consistent at 2 $\sigma$  level with previous results

- $T\bar{t}$  modelling is the largest challenge for future measurements
- Require input from theory and experiments

# Summary: indirect measurements

Results obtained with different methods overall in good agreement

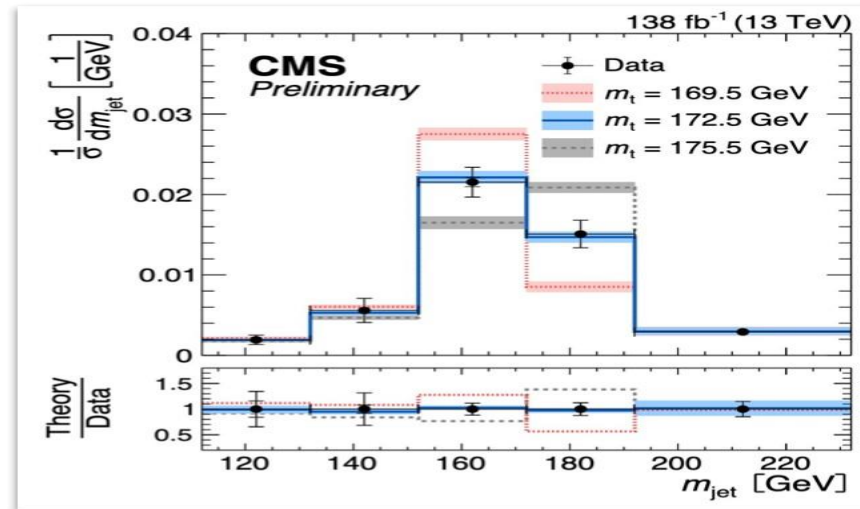
- CMS result from 3D cross section is the most precise result, to date, but may be significantly affected by threshold effects (can be 1.4 GeV).
- No consensus in theory community on the size of the effect



Theoretical advances needed  
in order to obtain accurate and unambiguous results

# Top mass from boosted jet mass

- ✓ XCone exclusive algorithm to reconstruct jets and sub-jets  
→ improved resolution
- ✓ Dedicated calibration of FSR using substructure variables, and dedicated jet mass calibration
- ✓ Comparable precision to direct measurements

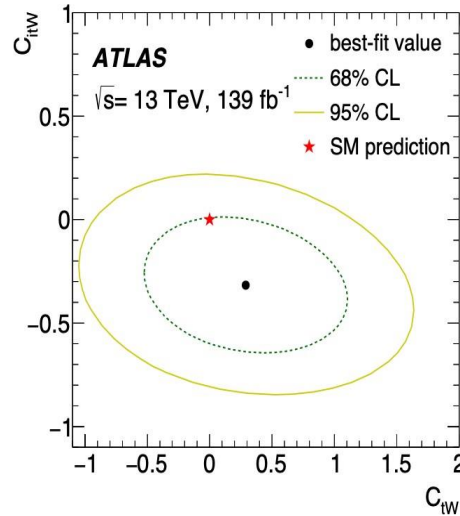
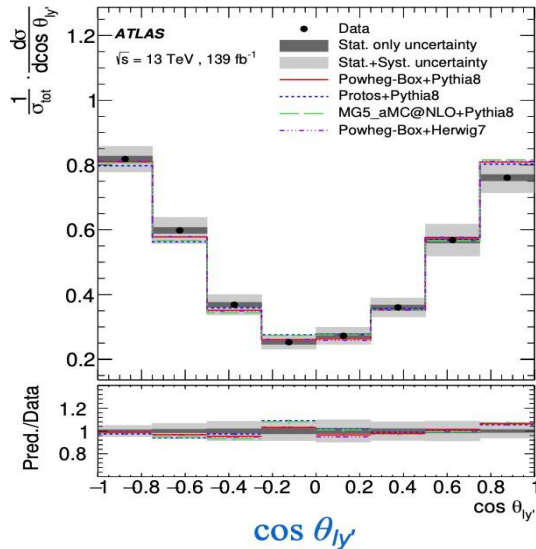


CMS-PAS-TOP-21-012

$$m_t = 172.76 \pm 0.22 \text{ (stat)} \pm 0.57 \text{ (exp)} \pm 0.48 \text{ (model)} \pm 0.24 \text{ (theo)} \text{ GeV}$$
$$= 172.76 \pm 0.81 \text{ GeV.}$$

**First top mass measurement in boosted regime.**

## ➤ Unfolded angular distributions to particle level compared to MC



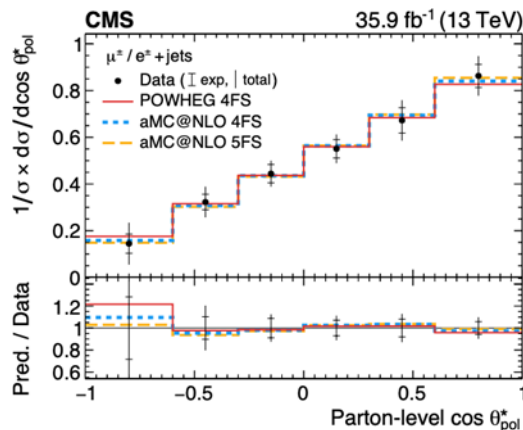
- ✓ Study of BSM effects in  $Wtb$  vertex
- ✓ Unfolded distributions give bounds on Wilson coefficients
- ✓ Consistent with SM prediction

## ✓ Spin asymmetry measurement

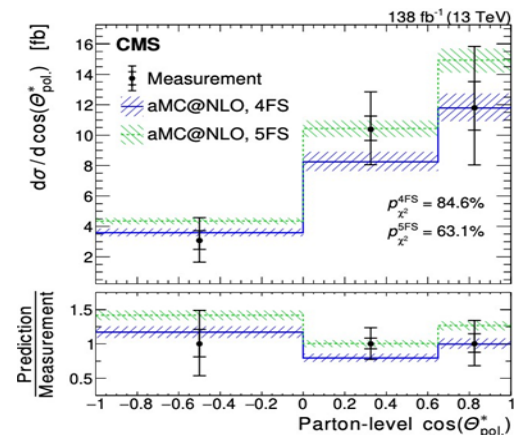
$$A_\ell = 0.440 \pm 0.031(\text{stat+exp}) \pm 0.062(\text{theo})$$

$$A_\ell = 0.54 \pm 0.16(\text{stat}) \pm 0.06(\text{syst})$$

t-ch

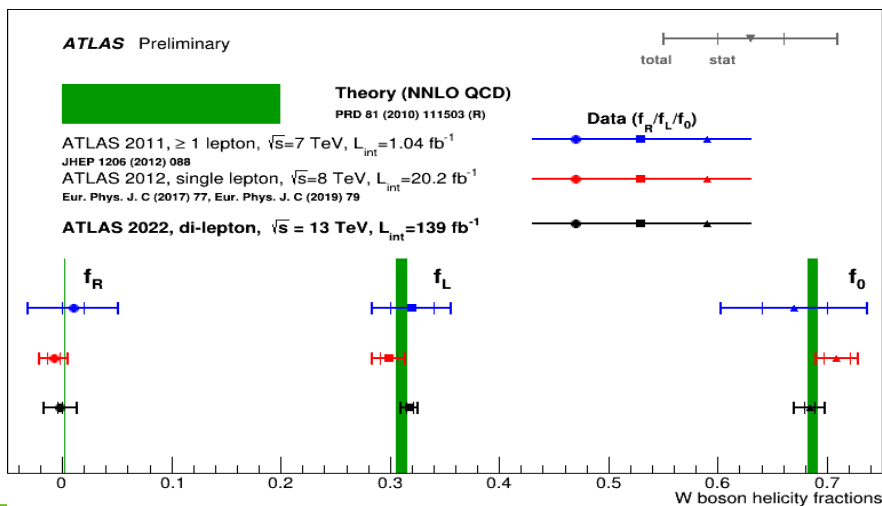
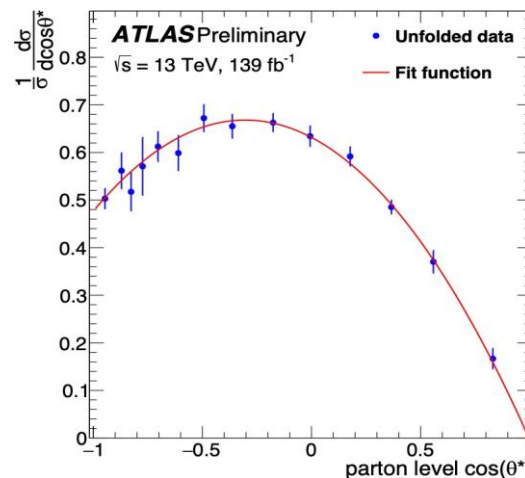
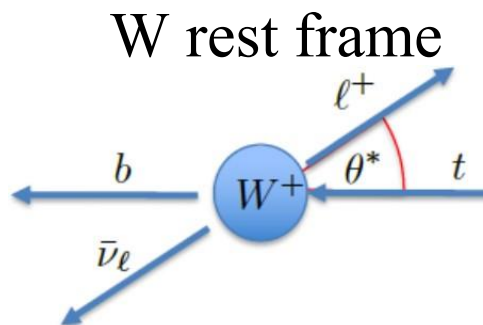
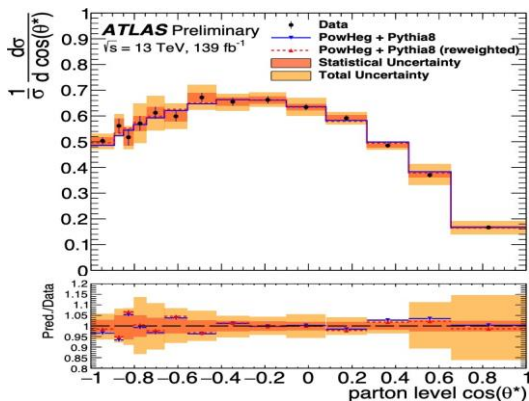
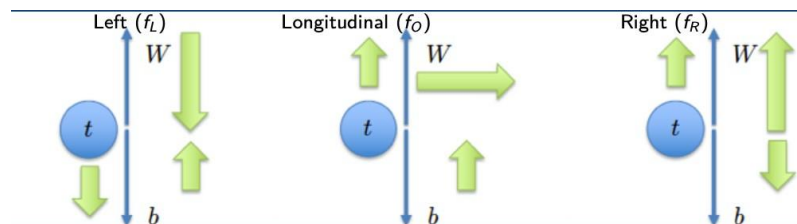


Statistically dominated



tZq

✓ Probe of  $Wtb$  vertex  
 New method in dilepton channel:  
 measure absolute and normalised  
 differential distributions in  $\cos \theta^*$



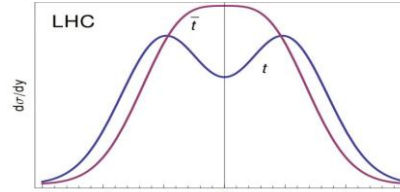
$$f_0 = 0.684 \pm 0.015 \text{ (stat. + syst.)}$$

$$f_L = 0.318 \pm 0.008 \text{ (stat. + syst.)}$$

$$f_R = -0.002 \pm 0.015 \text{ (stat. + syst.)}$$

Systematically dominated

- ✓ Central-forward in ttbar events
- ✓ No asymmetry at LO
- ✓ Higher order effects in qq → tt



$$A_C = \frac{N(\Delta|y| > 0) - N(\Delta|y| < 0)}{N(\Delta|y| > 0) + N(\Delta|y| < 0)}$$

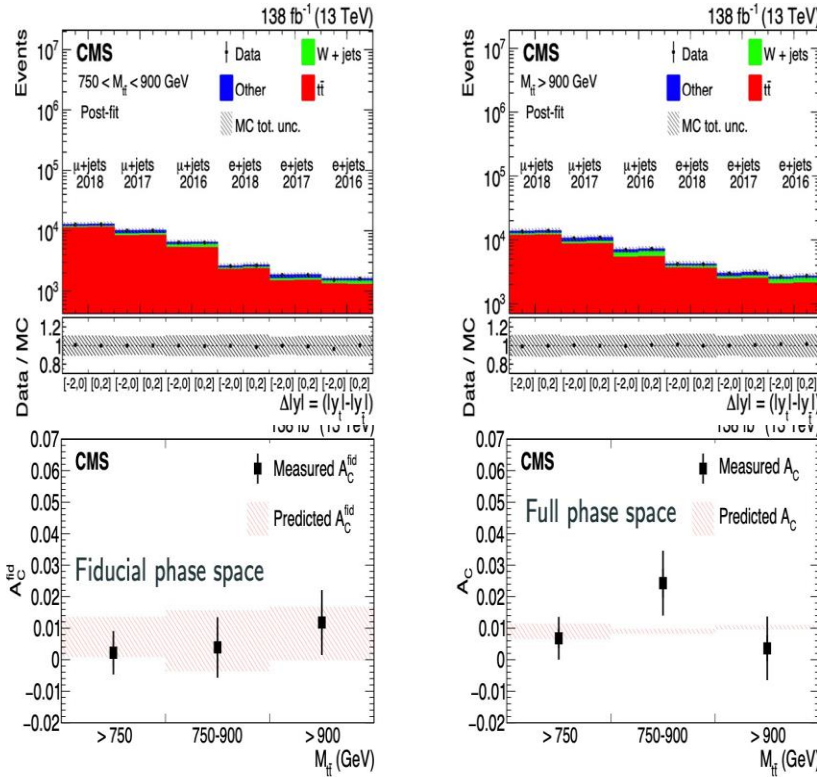
$$(\Delta|y| = |y_t| - |y_{\bar{t}}|)$$

- Boosted regime, single lepton channel
- two M<sub>tt</sub> bins: [750, 900], [900, ∞]

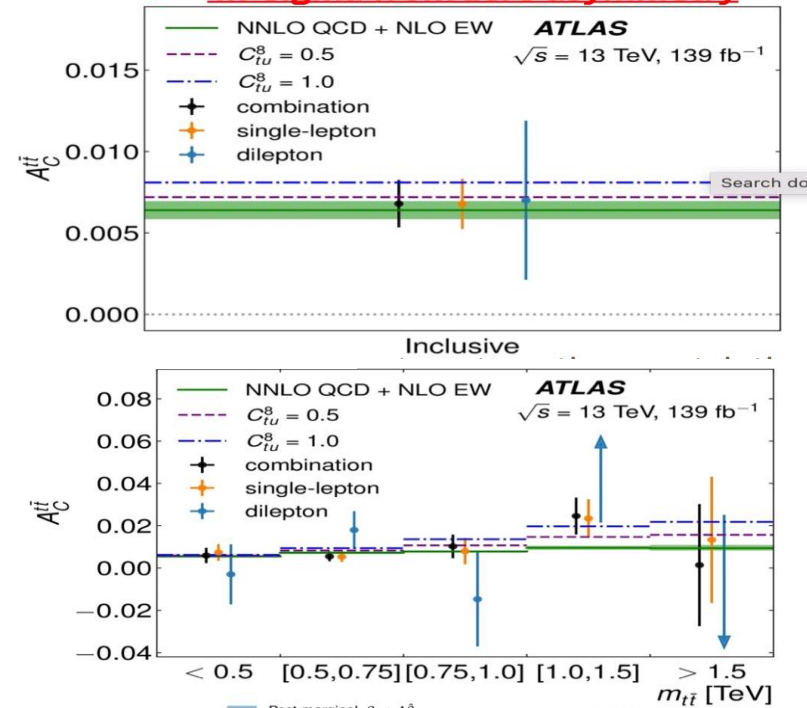
Single and dilepton channels

- Resolved and boosted regime

- $A_{tt} = 0.0068 \pm 0.0015(\text{stat}+\text{syst.})$
- **4.7 sigma from zero asymmetry**



Good agreement with prediction



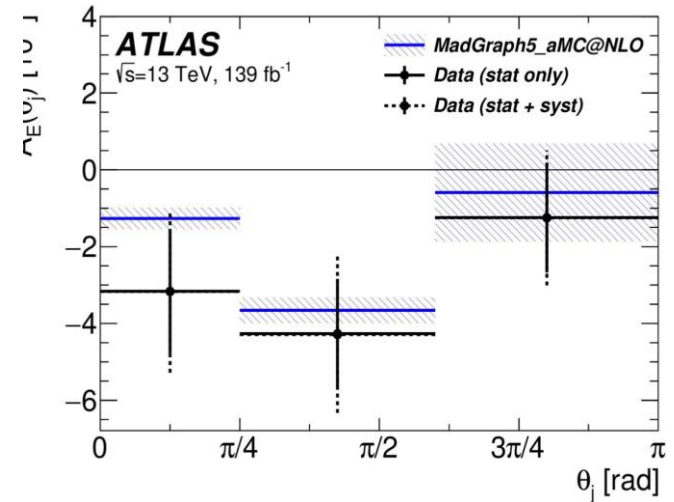
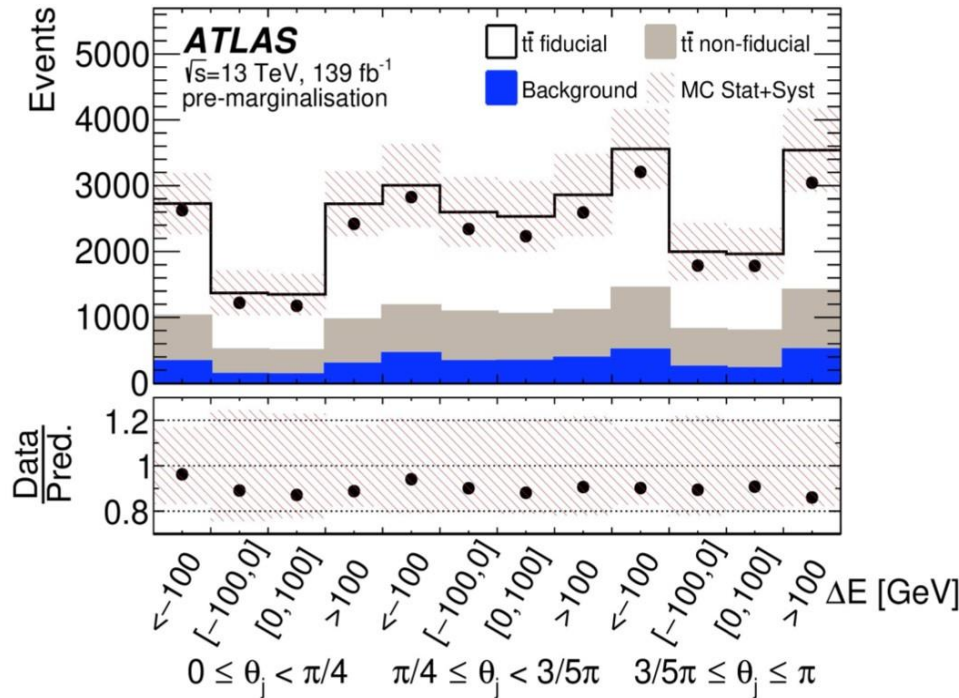
Expect improvement with additional data

- ✓ Asymmetry between the energies of top and anti-top
- ✓ Measured in tt+j events in boosted regime

$$A_E(\theta_j) \equiv \frac{\sigma^{\text{opt}}(\theta_j|\Delta E > 0) - \sigma^{\text{opt}}(\theta_j|\Delta E < 0)}{\sigma^{\text{opt}}(\theta_j|\Delta E > 0) + \sigma^{\text{opt}}(\theta_j|\Delta E < 0)}$$

Angle between the jet and z-axis  
Effect increases with jet pT

$$\sigma^{\text{opt}}(\theta_j) = \sigma(\theta_j|y_{t\bar{t}j} > 0) + \sigma(\pi - \theta_j|y_{t\bar{t}j} < 0)$$



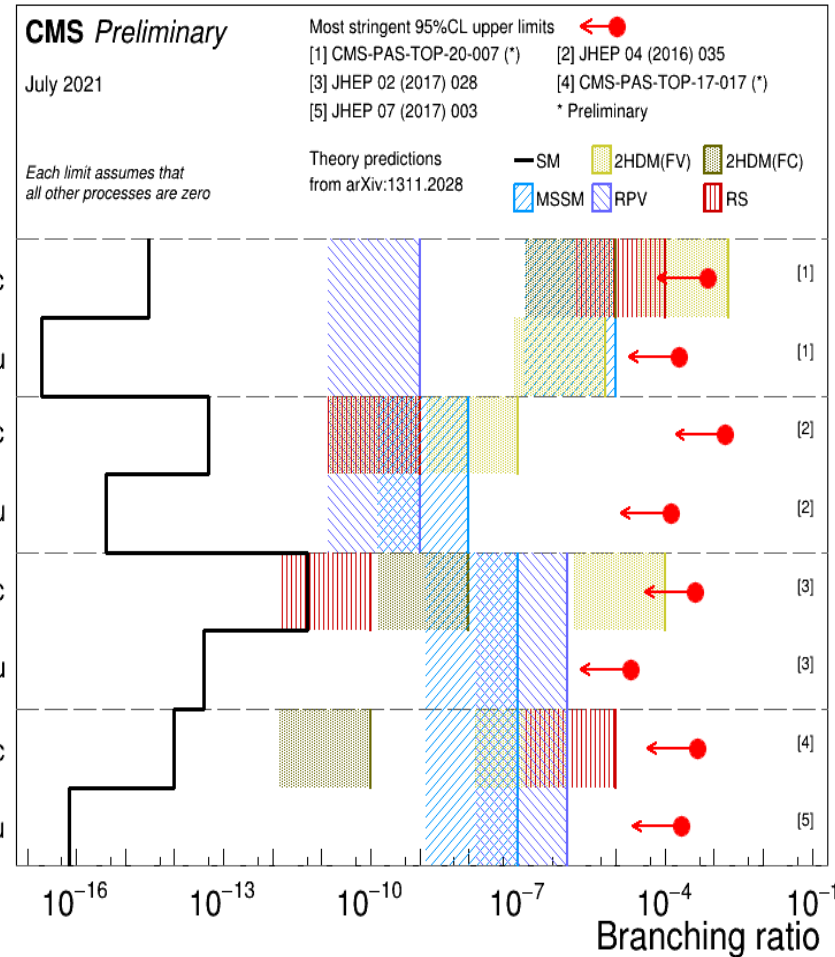
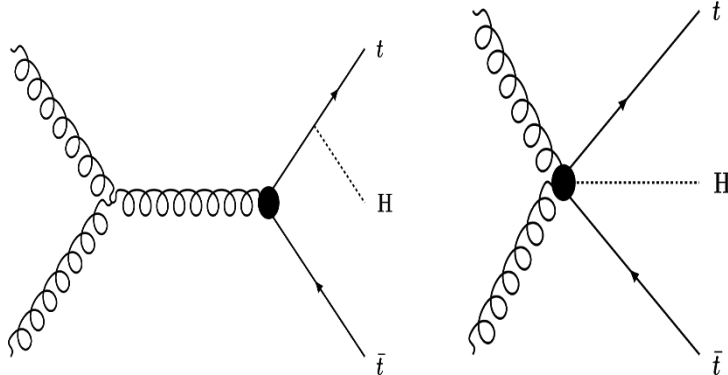
Agrees with prediction  
Statistically limited



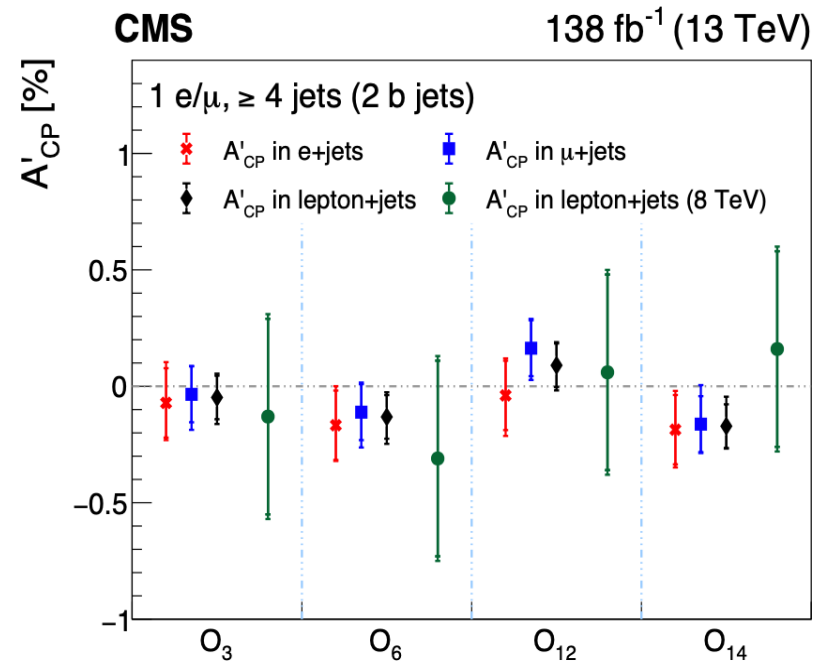
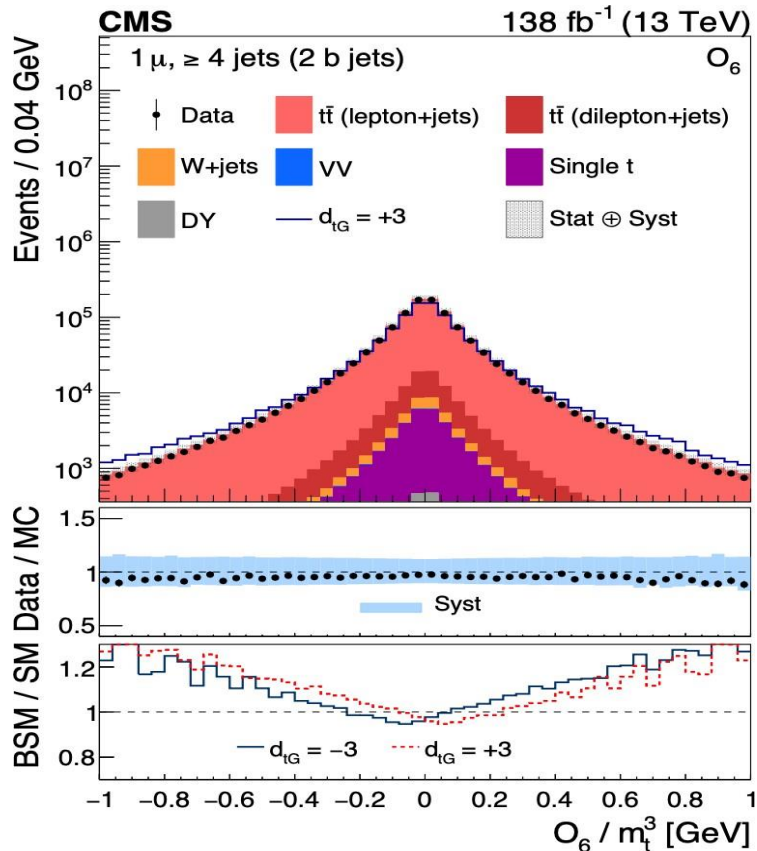
# Search for new physics

Tool to search for new physics:

- ✓ Many BSM models are expected to involve top quarks
  - Possible to perform direct searches for new resonances and FCNC
- ✓ Use the precise measurements to set a limit on new operators in an EFT framework



- ✓ Construct 4 CP-sensitive observables  $A_{CP} = \frac{N(O_i > 0) - N(O_i < 0)}{N(O_i > 0) + N(O_i < 0)}$ ,
- ✓ Define and measure asymmetry  $i = 3, 6, 12, 14$



$0.04 \pm 0.10$  (stat)  $\pm 0.07$  (syst)

In agreement with SM value of zero

- ✓ Improved limit by factors 3.3 to 5.4 from previous analysis

Improved limit by x2 from 8 TeV analysis

Coupling	BR limits [ $10^{-5}$ ]	
	Expected	Observed
$t \rightarrow u\gamma$ LH	$0.88^{+0.37}_{-0.25}$	0.85
$t \rightarrow u\gamma$ RH	$1.20^{+0.50}_{-0.33}$	1.22
$t \rightarrow c\gamma$ LH	$3.40^{+1.35}_{-0.95}$	4.16
$t \rightarrow c\gamma$ RH	$3.70^{+1.47}_{-1.03}$	4.46

$$\mathcal{B}(t \rightarrow u + g) < 0.61 \times 10^{-4}$$

$$\mathcal{B}(t \rightarrow c + g) < 3.7 \times 10^{-4}$$

Large impact from systematics

$$t \rightarrow uZ$$

$$t \rightarrow u\gamma$$

$$t \rightarrow ug$$

$$t \rightarrow uH$$

$$t \rightarrow cZ$$

$$t \rightarrow c\gamma$$

$$t \rightarrow cg$$

$$t \rightarrow cH$$

$\mathcal{B}(t \rightarrow Zq)$ [ $10^{-5}$ ]		
$tZu$	LH	6.2
$tZu$	RH	6.6
$tZc$	LH	13
$tZc$	RH	12

✓ Improved limit by factors 3 to 5 from previous analysis

$$\mathcal{B}(t \rightarrow uH), < 0.94 \times 10^{-3} \quad H \rightarrow \tau\tau$$

$$\mathcal{B}(t \rightarrow cH) < 0.69 \times 10^{-3}$$

$$\mathcal{B}(t \rightarrow uH), < 0.79 \times 10^{-3} \quad H \rightarrow b\bar{b}$$

$$\mathcal{B}(t \rightarrow cH) < 0.94 \times 10^{-3}$$

$$\mathcal{B}(t \rightarrow uH), < 0.19 \times 10^{-3} \quad H \rightarrow \gamma\gamma$$

$$\mathcal{B}(t \rightarrow cH) < 0.73 \times 10^{-3}$$

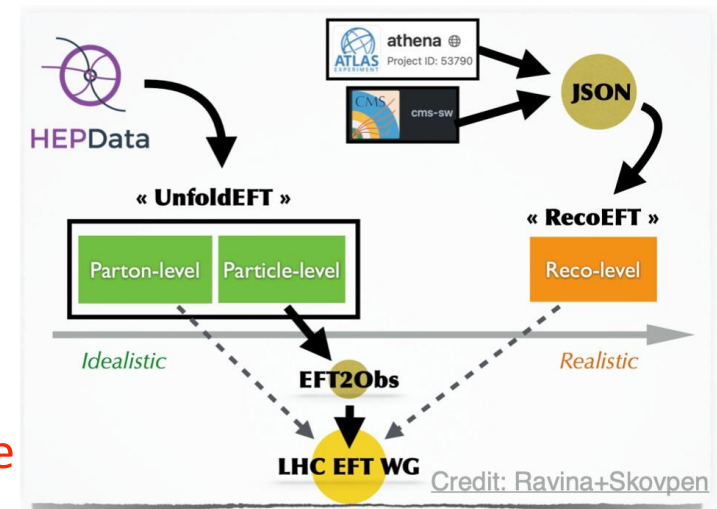
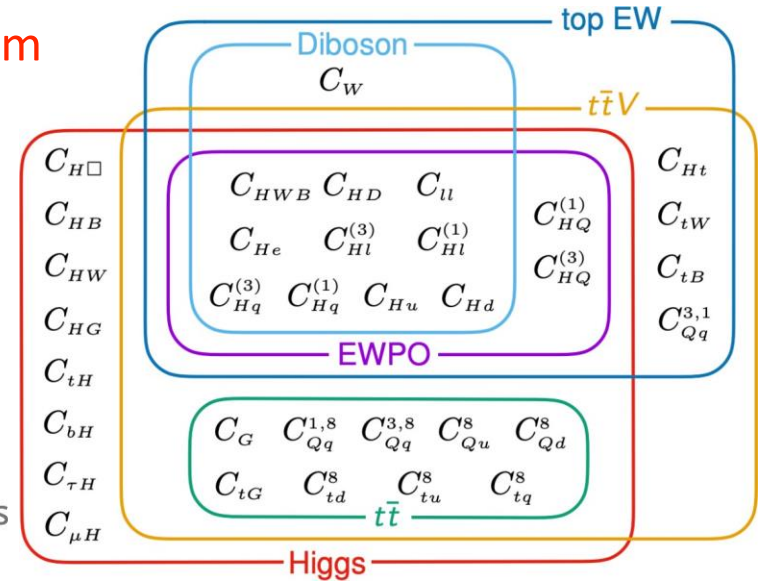
- All searches except  $t\bar{t}g$  are statistically limited

gained sensitivity by including regions sensitive to couplings in top production and decay

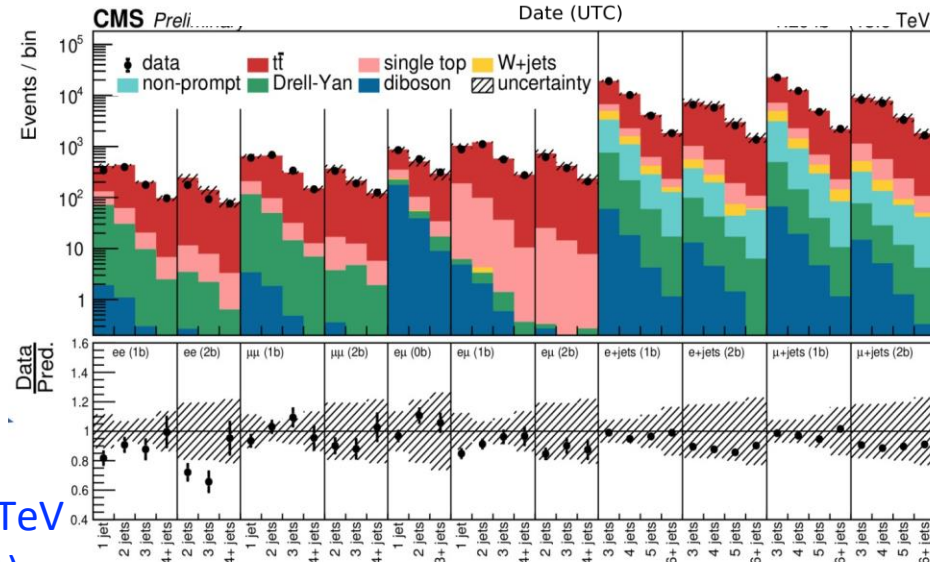
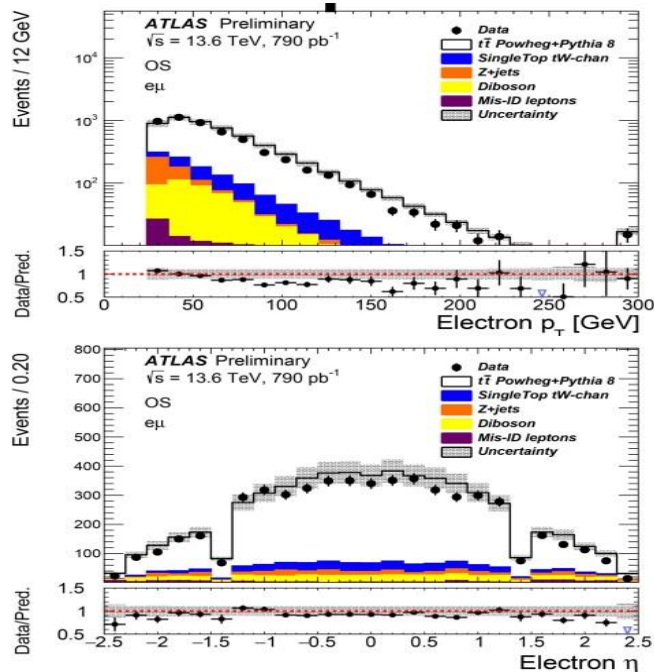
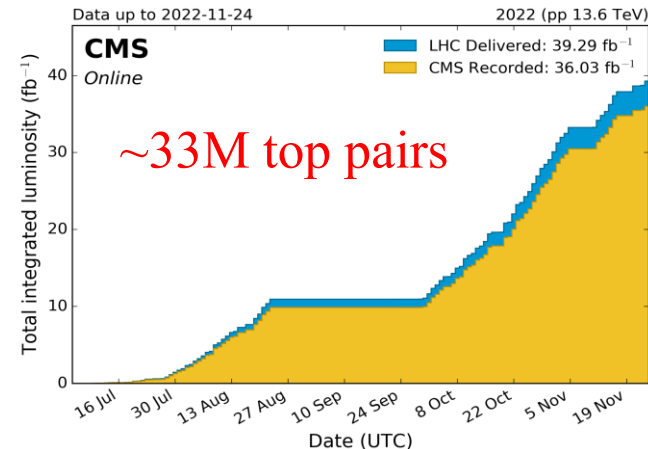
# EFT

## EFT fits: multidimensional management problem

- Many Top analysis include and even designed to provide EFT interpretations
- Global fit is the goal but there are many steps to go and
  - ▶ Practical difficulties
    - ▶ Different statistical methods (IBU vs FBU, PL vs toys, ...)
    - ▶ Proper treatment of statistical and systematic correlations
    - ▶ Measurements delivered on different timelines
    - ▶ Interpretations: different assumptions on “backgrounds”
      - EFT effects - Hard without coordination!
  - Signal model :
    - SMEFT@LO or @NLO?
    - Which operators?
    - Linear/quadratic terms?
    - EFT uncertainties and validity constraints
  - Run 3 is a good opportunity to solve these issues and perform a global fit across different physics groups and experiments



- ✓ Top quark is still there!
- ✓ Allows to exercise the analysis chain and validate the performance of all components



- ✓ Assuming ~250/fb per experiment at 13.6 TeV
- ✓ And cross section ~920 pb (tt) + ~330 pb (t)
- ✓ Run 3 will provide twice more ttbar and single top data sets

$$\sigma_{t\bar{t}} = 887_{-41}^{+43} (\text{stat} + \text{sys}) \pm 53 (\text{lumi}) \text{ pb}$$

# Summary

---

- ✓ CMS and ATLAS provided many results with full Run2 dataset:
  - High precision measurements
  - Searching for very rare processes
  - Measuring the top properties and couplings
  - Setting constraints to new physics
- ✓ So far, all measurements of top quark showed good agreement with SM predictions
- ✓ What can we learn from Run2?
  - Theoretical advancements are still necessary to improve simulation and to understand / reduce uncertainties
  - Machine learning has significant role in top physics!
- ✓ What do we expect for Run3?
  - Measurements in  $t(t)+X$  final states and FCNC searches are statistically limited
  - More data will allow for reaching higher jet  $p_T$  or higher masses sensitive to BSM and EFT parameters

More results with more data are coming.....

---

# References

- ✧ LHCTopWG <https://twiki.cern.ch/twiki/bin/view/LHCPhysics/LHCTopWG>
- ✧ ATLAS: <https://twiki.cern.ch/twiki/bin/view/AtlasPublic/TopPublicResults>
- ✧ CMS: <https://twiki.cern.ch/twiki/bin/view/CMSPublic/PhysicsResultsTOP>

---

# Backup



# OPERATORS AND PHYSICS IMPLICATIONS

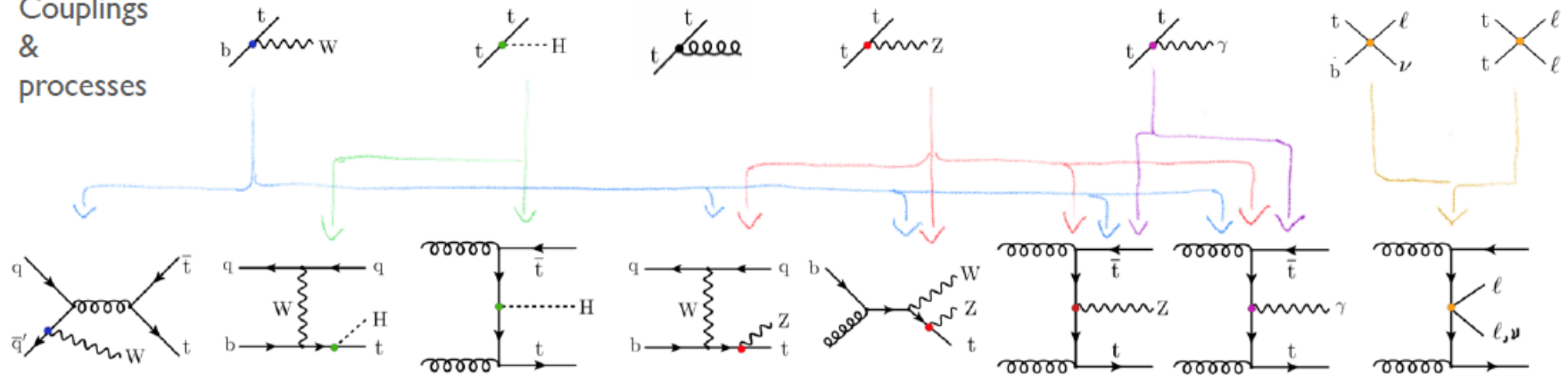
SMEFT  
Lagrangian

$$\mathcal{L} = \mathcal{L}_{4,SM} + \frac{1}{\Lambda_{\delta L \neq 0}} \mathcal{L}_5 + \frac{1}{\Lambda^2} \mathcal{L}_6 + \frac{1}{\Lambda_{\delta B \neq 0}^2} \mathcal{L}'_6 + \frac{1}{\Lambda_{\delta L \neq 0}^3} \mathcal{L}_7 + \frac{1}{\Lambda^4} \mathcal{L}_8 + \dots$$

Operators

$$\begin{array}{llll} \mathcal{O}_{\phi tb} & i(\bar{\phi}^\dagger D_\mu \phi)(\bar{t}_R \gamma^\mu b_R) + \text{h.c.} & \mathcal{O}_{tB} & i(\bar{q}_L \sigma^{\mu\nu} t_R) \bar{\phi} B_{\mu\nu} + \text{h.c.} & \mathcal{O}_{\phi qL}^{(3)} & i(\phi^\dagger \overleftrightarrow{D}_\mu \tau_I \phi)(\bar{q}_L \gamma^\mu \tau^I q_L) & \mathcal{O}_{qq}^1 & (\bar{q}_L \gamma_\mu q_L)(\bar{q}_L \gamma^\mu q_L) \\ \mathcal{O}_{t\phi} & (\phi^\dagger \phi) \bar{q}_L t_R \bar{\phi} + \text{h.c.} & \mathcal{O}_{tG} & i(\bar{q}_L \sigma^{\mu\nu} \lambda^a t_R) \bar{\phi} G_{\mu\nu}^a + \text{h.c.} & \mathcal{O}_{\phi qL}^{(1)} & i(\phi^\dagger \overleftrightarrow{D}_\mu \phi)(\bar{q}_L \gamma^\mu q_L) & \mathcal{O}_{qq}^8 & (\bar{q}_L \gamma_\mu T^A q_L)(\bar{q}_L \gamma^\mu T^A q_L) \end{array}$$

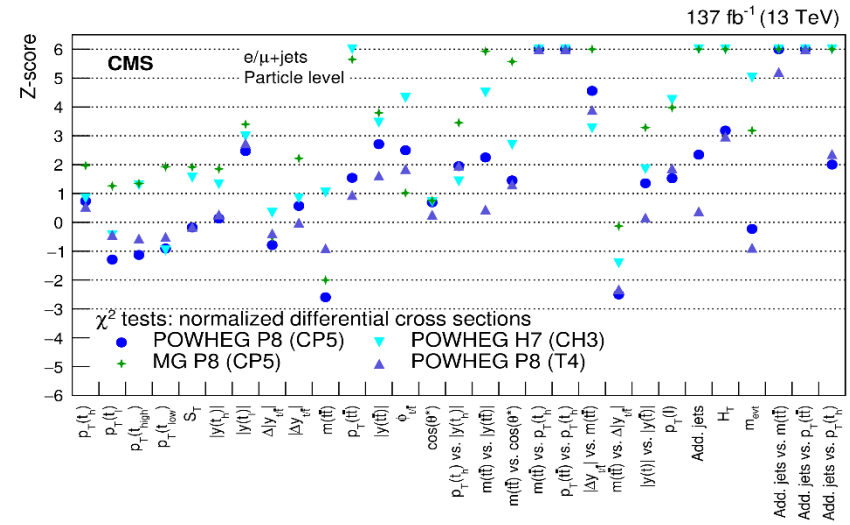
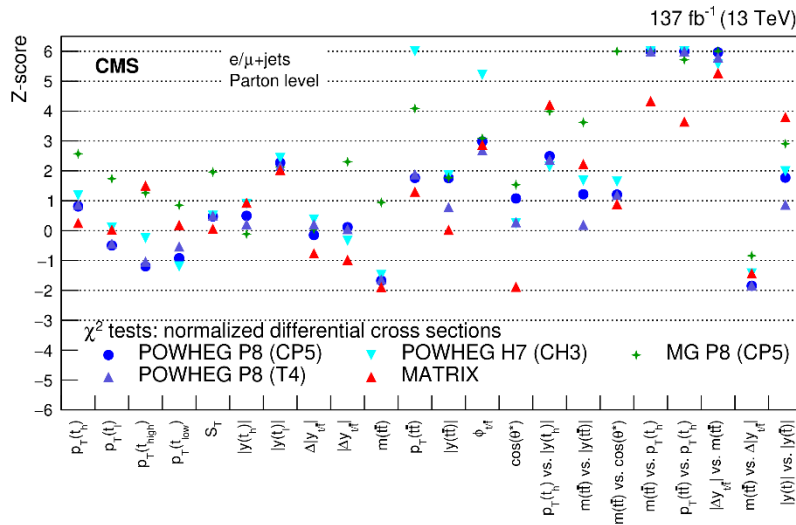
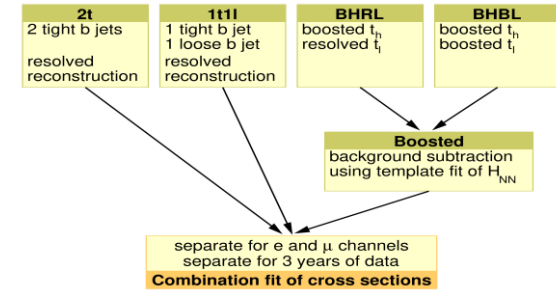
Couplings  
&  
processes



Parametrized  
predictions

$$N\left(\frac{\vec{c}}{\Lambda^2}\right) = S_0 + \sum_j S_{1j} \frac{c_j}{\Lambda^2} + \sum_j S_{2j} \frac{c_j^2}{\Lambda^4} + \sum_{j,k} S_{3jk} \frac{c_j}{\Lambda^2} \frac{c_k}{\Lambda^2}$$

- ✓ High precision measurement of differential and double-differential cross sections
- ✓ For the first time the full spectra of differential cross sections are determined
- combine of resolved and boosted  $t\bar{t}$  topologies



**Most of the predictions are in good agreement with the measurement, except:**

- $M(t\bar{t})$  vs.  $pT(th)$  and  $pT(t\bar{t})$  vs.  $pT(th)$  shows largest disagreements.
- At particle level add. jets vs. kinematic observable are difficult to describe by NLO.

**Inclusive cross section:  $791 \pm 1$  (stat.)  $\pm 21$  (syst.)  $\pm 14$  (lumi.) pb**

- ✓ most precise measurement in lepton + jets channel
- ✓ Dominated by: JES and b-tagging

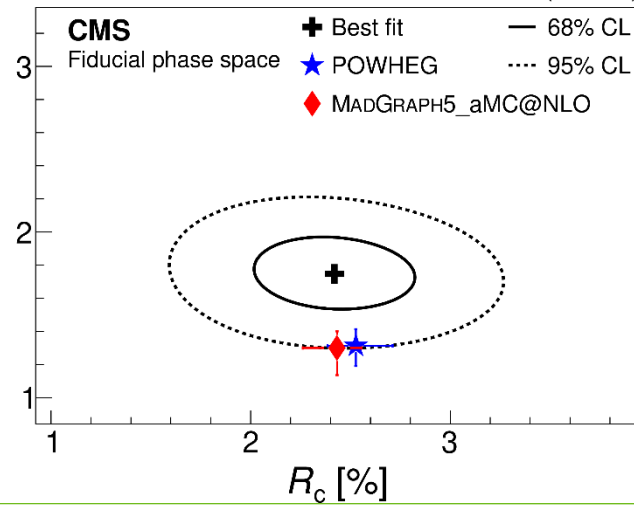
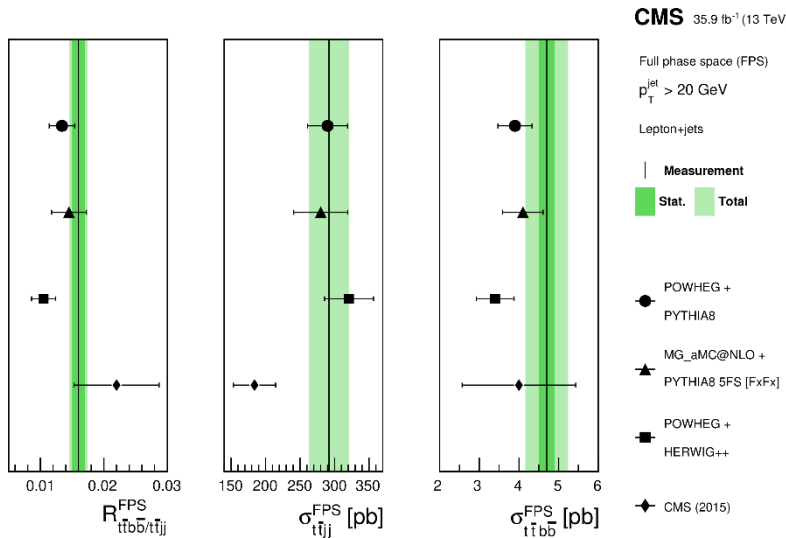
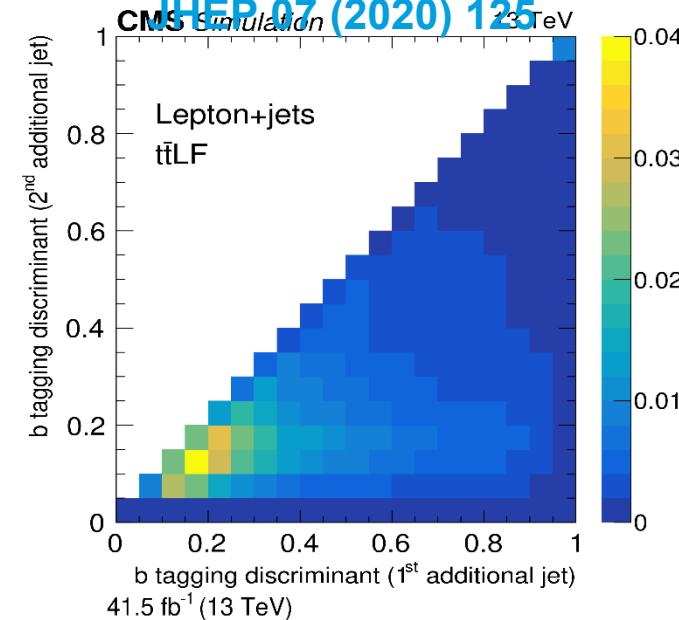
# $t\bar{t}c\bar{c}/b\bar{b}$ and $t\bar{t}j\bar{j}$ production

PLB 820 (2021)

136565

JHEP 07 (2020) 125

- Test the state-of-art predictions at NLO
- Irreducible background to  $t\bar{t}H$ ,  $H \rightarrow b\bar{b}$
- $t\bar{t}b\bar{b}$  and  $t\bar{t}j\bar{j}$  measurement
  - $\sigma_{t\bar{t}b\bar{b}}$  and  $\sigma_{t\bar{t}b\bar{b}}/\sigma_{t\bar{t}j\bar{j}}$  extracted simultaneously from a 2D discriminant
  - PowhegPythia8 and MG\_aMC@NLO+Pythia8 provide the best description
- **First** measurement of  $t\bar{t}c\bar{c}$  production
  - Simultaneous extraction of  $\sigma_{t\bar{t}b\bar{b}}$ ,  $\sigma_{t\bar{t}c\bar{c}}$  and  $\sigma_{t\bar{t}LL}$  using a template fit procedure



Precision dominated by : MC modelling, JES, c-tagging

- Categories based on jet multiplicity and 1 b-tagged jet: 2J1T (W+Jets), **3J1T** (tw Signal region) and 4J1T (ttbar)
- Data-driven background
- ✓ One BDT is trained per lepton flavor in signal (3J1T) region and evaluation in all regions
- ✓ Simultaneous ML fit performed in all categories using BDT discriminants
- ✓ Dominant uncertainty: Background estimation, JES and modeling

## Measured (expected) signal strength:

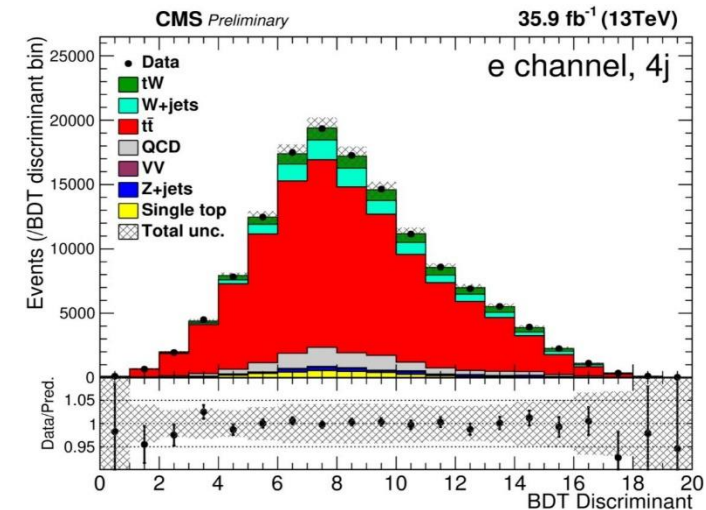
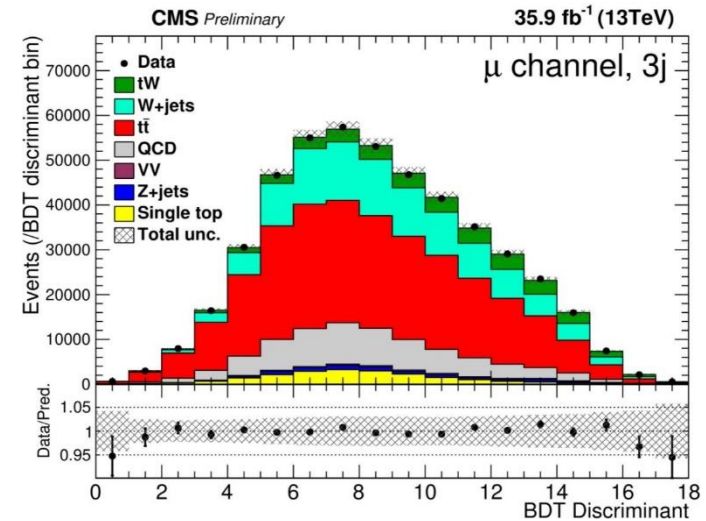
$$\mu = 1.24 \pm 0.18 \text{ (} 1.00 \pm 0.17 \text{)}$$

### Cross section:

$$\sigma_{tW} = 89 \pm 4 \text{ (stat.)} \pm 12 \text{ (syst.) pb}$$

$$\sigma_{SM} = 72 \pm 4 \text{ pb}$$

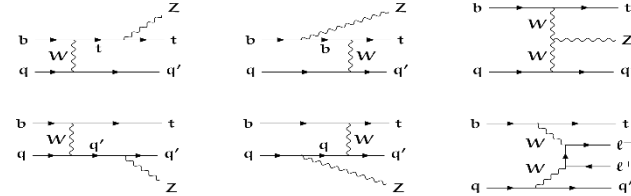
- ✓ Observed (expected) significance is 7.4 (6.8) standard deviations



## First observation of tW production in $\ell$ +jets

# Inclusive and differential tZq

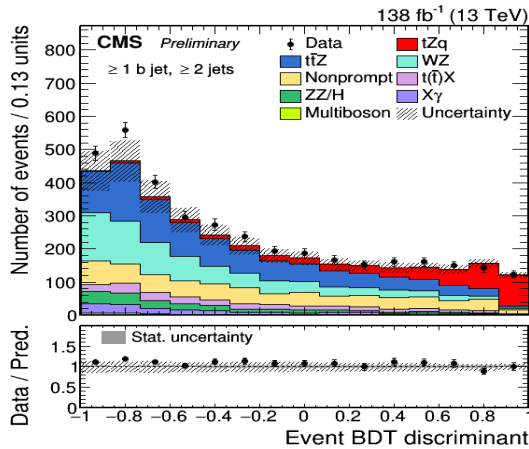
- ✓ Full run2 dataset
- ✓ 3 leptons with improved lepton MVA
- ✓ constraining nonprompt background
- ✓ multiclass NN or BDT



## Inclusive tZq cross-section:

$$\sigma_{tZq} = 87.9^{+7.5}_{-7.3} \text{ (stat)}^{+7.3}_{-6.0} \text{ (syst)} \text{ fb} .$$

Improvement 30%  
w.r.t.earlier measurements



## Partial tZq cross-sections:

$$\sigma_{tZq(\ell_1^+)} = 62.2^{+5.9}_{-5.7} \text{ (stat)}^{+4.4}_{-3.7} \text{ (syst)} \text{ fb} ,$$

$$\sigma_{\bar{t}Zq(\ell_1^-)} = 26.1^{+4.8}_{-4.6} \text{ (stat)}^{+3.0}_{-2.8} \text{ (syst)} \text{ fb} ,$$

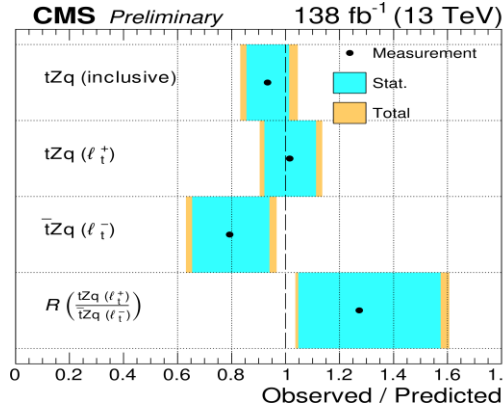
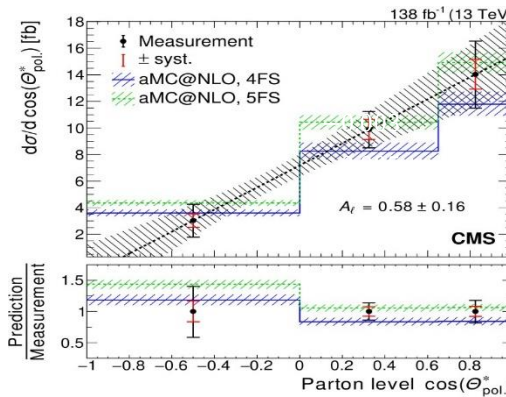
$$R = 2.37^{+0.56}_{-0.42} \text{ (stat)}^{+0.27}_{-0.13} \text{ (syst)} .$$

First time!

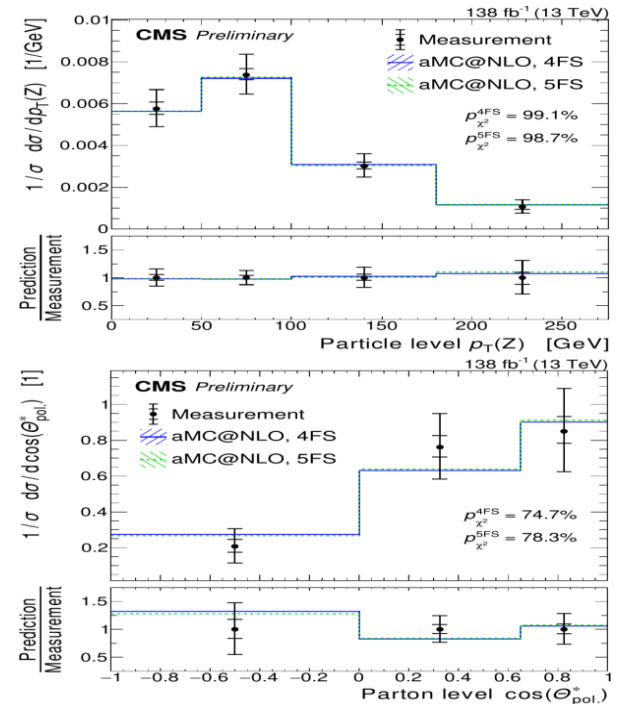
## Spin asymmetry:

$$A_\ell = 0.58^{+0.15}_{-0.16} \text{ (stat)} \pm 0.06 \text{ (syst)} .$$

## Agreement with SM prediction:



## Differential tZq cross-section:

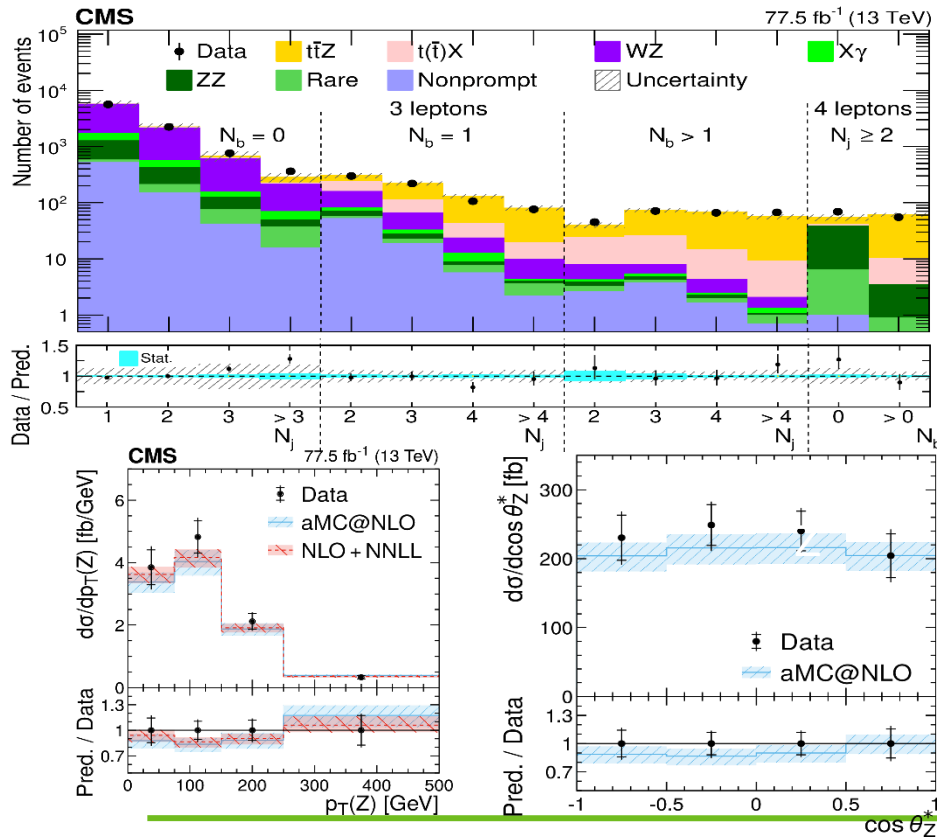


In general, observe good agreement between measurement and prediction.

# Rare top production: $t\bar{t}V$

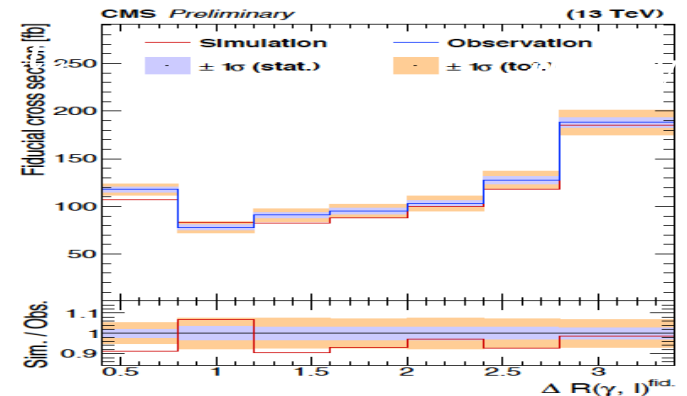
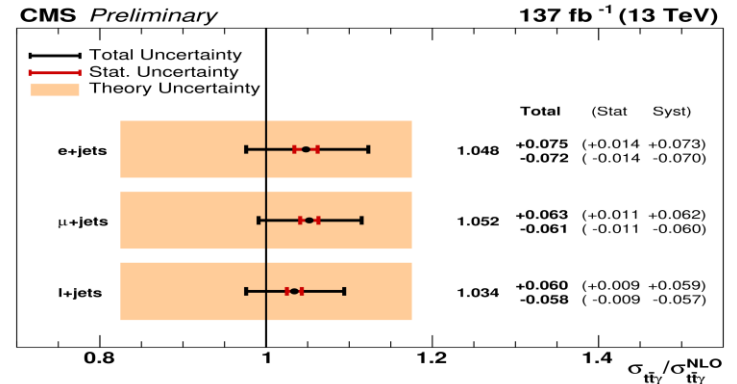
## $t\bar{t}Z$ production JHEP 03 (2020) 056

- Targets 3 or 4 isolated lepton channel with Z to  $l^+l^-$
- Inclusive cross section already systematic limited  
 $\sigma(t\bar{t}Z) = 0.95 \pm 0.05 \text{ (stat)} \pm 0.06 \text{ (syst)} \text{ pb}$
- Dominated by signal/background MC modelling
- Differential cross sections are measured first time



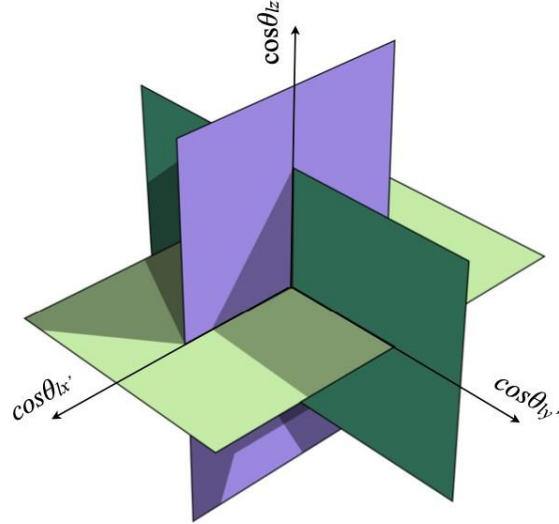
## $t\bar{t}\gamma$ production

- ✓ Measured in lepton+jets channel  
 $800 \pm 46 \text{ (syst)} \pm 7 \text{ (stat)} \text{ fb}$ ,
- ✓ Precision limited by MC modelling
- ✓ Differential cross sections measured in several kinematic observables
- ✓ Good agreement with SM prediction



# Top polarisation

Top quarks in t-channel are strongly polarised

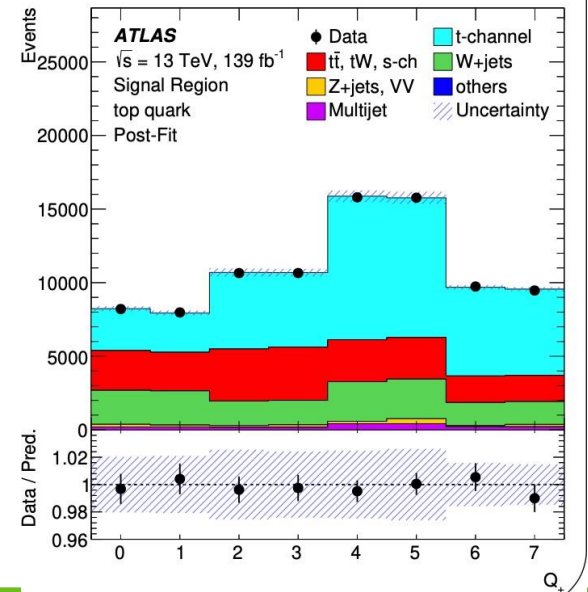
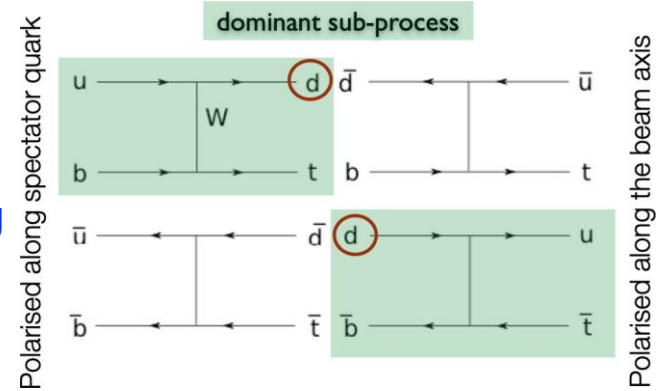


t-quark along spectator  
quark direction  
anti-t opposite incoming  
quark direction

Signal regions defined by sign of  $\cos \theta_{li}$  and lepton charge

$P_{x'}^t$	$+0.01 \pm 0.18$	$(\pm 0.02)$
$P_{x'}^{\bar{t}}$	$-0.02 \pm 0.20$	$(\pm 0.03)$
$P_{y'}^t$	$-0.029 \pm 0.027$	$(\pm 0.011)$
$P_{y'}^{\bar{t}}$	$-0.007 \pm 0.051$	$(\pm 0.017)$
$P_{z'}^t$	$+0.91 \pm 0.10$	$(\pm 0.02)$
$P_{z'}^{\bar{t}}$	$-0.79 \pm 0.16$	$(\pm 0.03)$

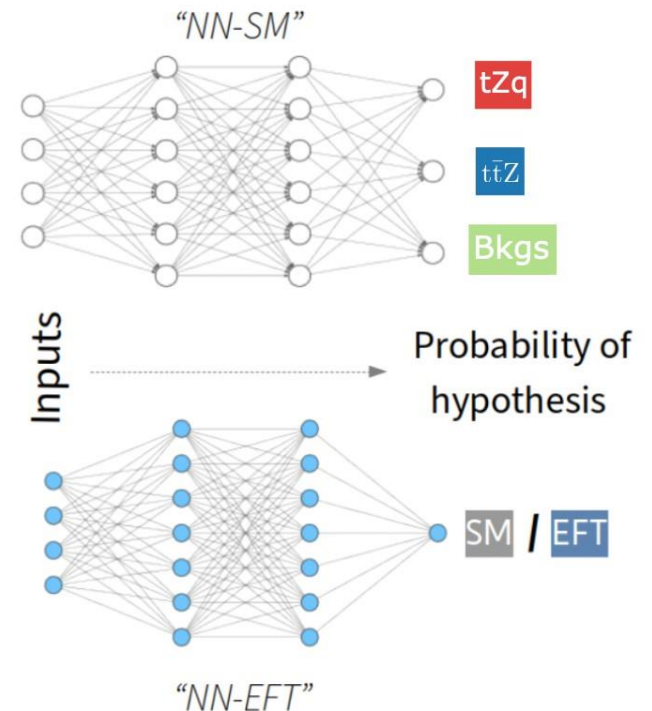
Template fit result: strong polarisation along z-axis



# Machine learning in Top

## Conclusions

- ML has **significant role** in top physics!
- Wide array of strategies and applications, very **active field of research**
  - CMS example [[CMS-TOP-21-001](#)]
  - ATLAS example [[ATLAS-CONF-2022-049](#)]
- Many **new developments** on-going
  - **DCTR** [[PhysRevD.101.091901](#)]
  - But also **much more!** E.g. [[TOP22](#), [M. Fenton](#)]





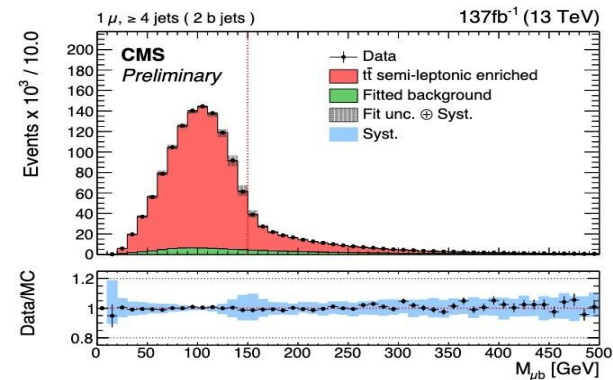
- CP violation in SM is insufficient to describe the matter-antimatter asymmetry of the universe
- In the SM, CPV in the production and decay of top quark pairs is predicted to be very small

- Simple CP odd observables

$$A_i = \frac{N(\mathcal{O}_i > 0) - N(\mathcal{O}_i < 0)}{N(\mathcal{O}_i > 0) + N(\mathcal{O}_i < 0)}$$

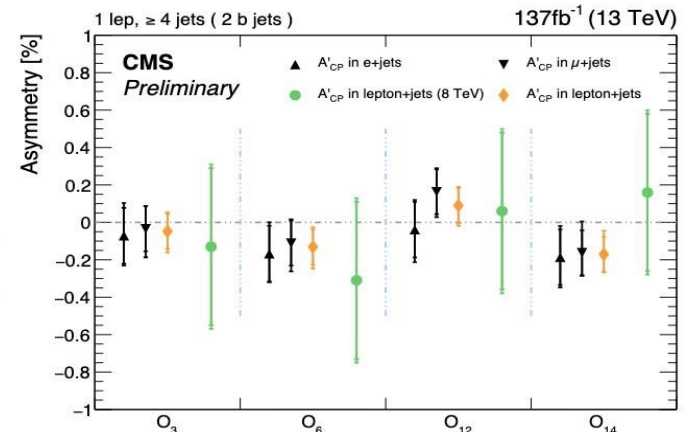
- chromo-electric dipole moment (CEDM) of top quark in top pair production induces CPV

- ✓ Lepton + jets final states [137 fb<sup>-1</sup>]
- ✓ Observables;  $O_3$ ,  $O_6$ ,  $O_{12}$  and  $O_{14}$
- ✓ Top quark and antiquark candidates are reconstructed using a  $\chi^2$  sorting algorithm
- ✓ The background contribution in the signal region is estimated from a fit to the mass distribution



- There is no significant evidence of CPV in each observable

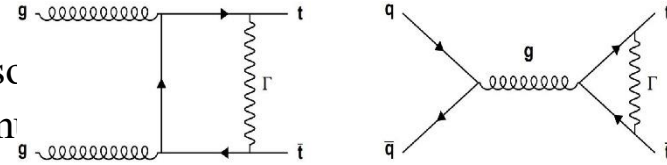
- Consistent with the SM prediction



	$e + jets$	$A'_{CP}(\%)$ $\mu + jets$	Combined
$O_3$	$-0.071 \pm 0.149(\text{stat.})^{+0.092}_{-0.058}(\text{syst.})$	$-0.035 \pm 0.120(\text{stat.})^{+0.022}_{-0.094}(\text{syst.})$	$-0.048 \pm 0.094(\text{stat.})^{+0.041}_{-0.065}(\text{syst.})$
$O_6$	$-0.167 \pm 0.149(\text{stat.})^{+0.077}_{-0.038}(\text{syst.})$	$-0.111 \pm 0.120(\text{stat.})^{+0.042}_{-0.093}(\text{syst.})$	$-0.131 \pm 0.094(\text{stat.})^{+0.049}_{-0.068}(\text{syst.})$
$O_{12}$	$-0.039 \pm 0.149(\text{stat.})^{+0.056}_{-0.090}(\text{syst.})$	$+0.163 \pm 0.120(\text{stat.})^{+0.038}_{-0.065}(\text{syst.})$	$+0.090 \pm 0.094(\text{stat.})^{+0.034}_{-0.053}(\text{syst.})$
$O_{14}$	$-0.186 \pm 0.149(\text{stat.})^{+0.075}_{-0.065}(\text{syst.})$	$-0.162 \pm 0.120(\text{stat.})^{+0.117}_{-0.032}(\text{syst.})$	$-0.171 \pm 0.094(\text{stat.})^{+0.085}_{-0.023}(\text{syst.})$

# Measurement of the $y^t$

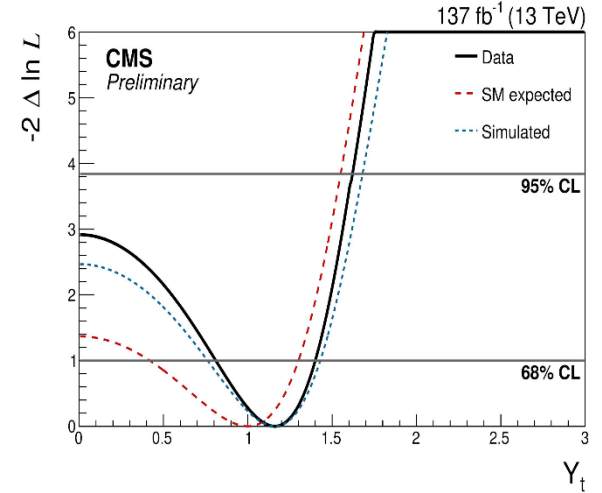
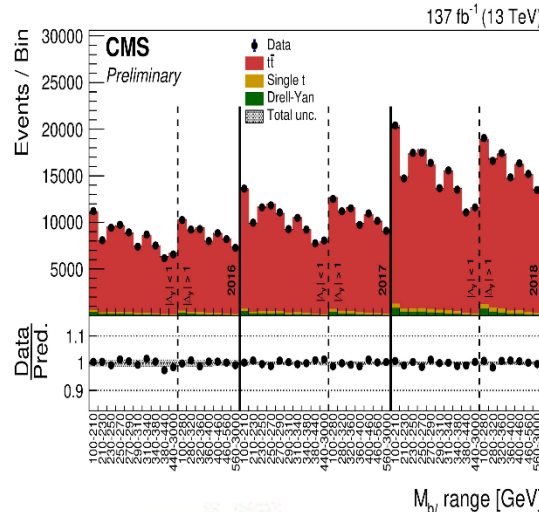
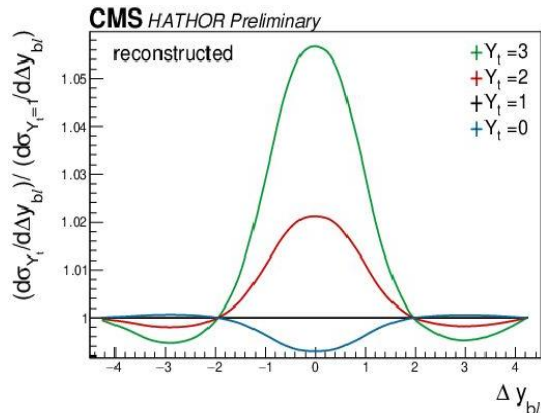
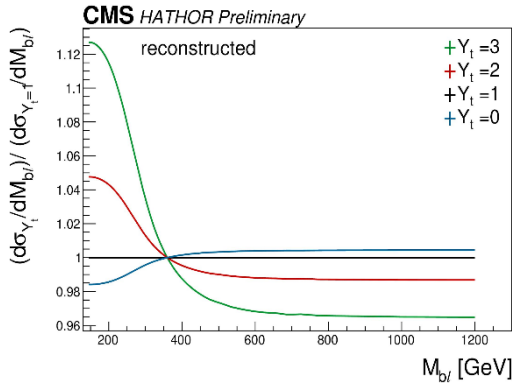
- ✓ Measure the Yukawa ( $y^t$ ) coupling in  $t\bar{t}$  production.
  - ✓ Exploit the large effect that the radiation of a virtual H boson
- ✓  $t\bar{t}$  predictions for different values of  $y^t$  obtained as event-based m HATHOR:
  - ✓ Applied on POWHEG predictions



$$R_{EW}(M_{t\bar{t}}, \Delta y_{t\bar{t}}) = \frac{d^2 \sigma_{\text{HATHOR}}}{dM_{t\bar{t}} d\Delta y_{t\bar{t}}} \bigg/ \frac{d^2 \sigma_{\text{LO QCD}}}{dM_{t\bar{t}} d\Delta y_{t\bar{t}}}$$

- ✓ The comparison with an additive approach is taken as uncertainty

- ✓ Event collected in the dilepton channel,  $\geq 2$ bjets
- ✓ Variables used based on partial system reconstruction:  $M(l^+l^-+2 \text{ b-jets})$  and  $\Delta y_{bl}$  : requires the correct matching of b and l



$$Y_t = 1.16^{+0.24}_{-0.36}$$

Compatible with the result in  $l+l$  jets

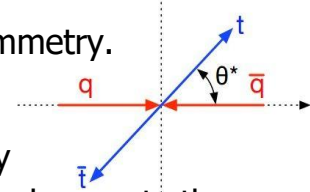
$$Y_t = 1.07^{+0.34}_{-0.43}$$

PRD 102 (2020) 092013

PRD 100 (2019) 072002

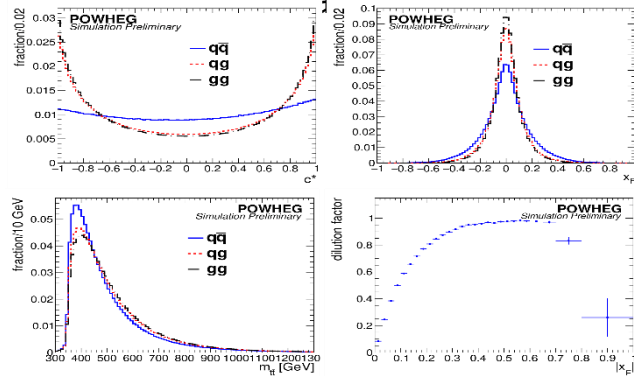
- ✓ NLO interference terms in  $t\bar{t}$  production from qq initial state creates a forward-backward asymmetry.

$$A_{FB} = \frac{\sigma(c^* > 0) - \sigma(c^* < 0)}{\sigma(c^* > 0) + \sigma(c^* < 0)}$$

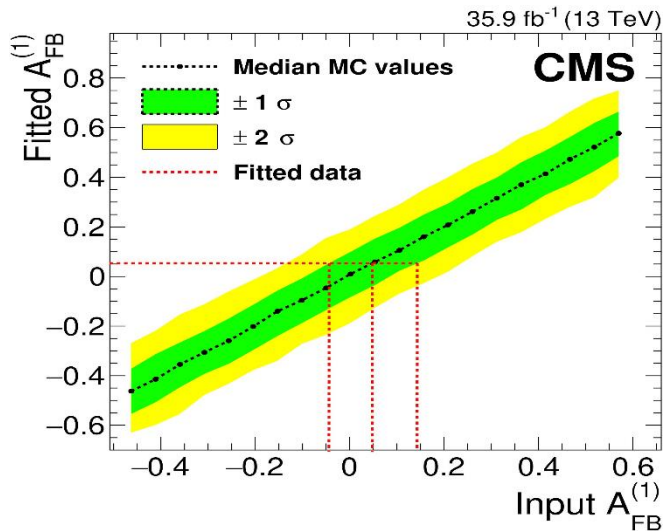
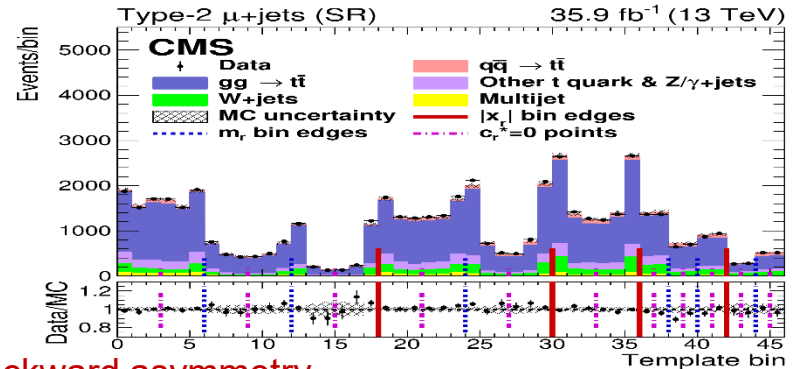


- ✓ Quantity never measured before @LHC, where the charge asymmetry is measured as a proxy
- ✓ Use variables sensitive to the difference between qq, qg and gg initial state to build templates and separate the qq

- ✓ Extract  $A_{FB}$  and anomalous chromoelectric and chromomagnetic dipole moments



- ✓ Events collected in the 1+jets channel
- ✓ Both resolved and boosted topologies.
- ✓ Profile likelihood-fit to the 3D template to extract  $A_{FB}$  and the anomalous moments separately



forward-backward asymmetry

$$A_{FB}^{(1)} = 0.048^{+0.095}_{-0.087}(\text{stat})^{+0.020}_{-0.029}(\text{syst})$$

chromomagnetic moments

$$\hat{\mu}_t = -0.024^{+0.013}_{-0.009}(\text{stat})^{+0.016}_{-0.011}(\text{syst})$$

anomalous chromoelectric

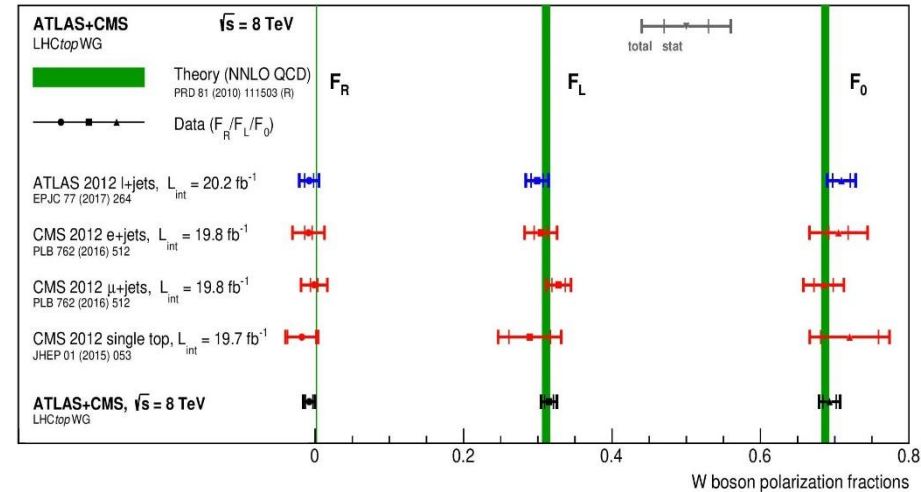
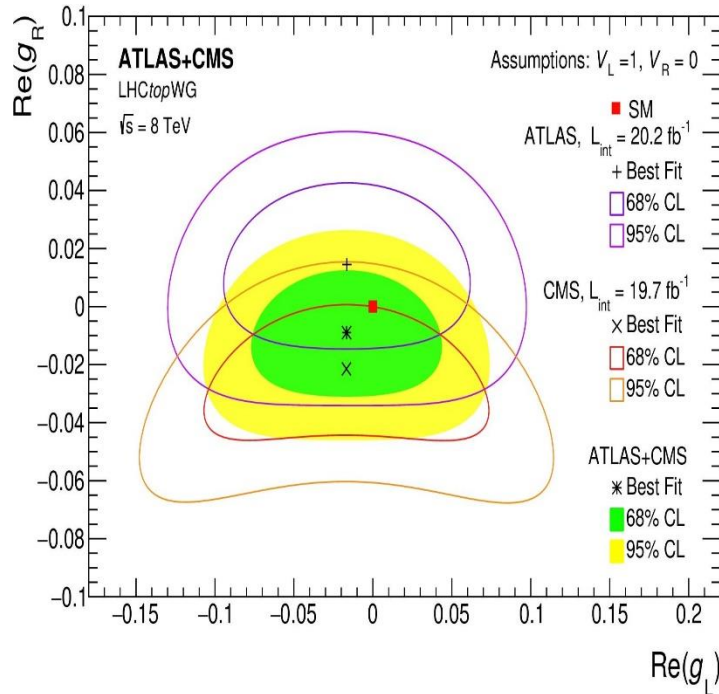
$$|\hat{d}_t| < 0.03 \text{ at 95\% confidence level.}$$

Consistent with the SM and previous CMS results

# W polarization in ATLAS and CMS

- ✓ Combination of the W boson polarization in top quark decays, on Run1(8 TeV, 20fb<sup>-1</sup>) data.
  - ✓ W boson polarization determined by the V-A structure of the tWb vertex

$$\frac{1}{\Gamma} \frac{d\Gamma}{d\cos\theta^*} = \frac{3}{4} (1 - \cos^2\theta^*) F_0 + \frac{3}{8} (1 - \cos\theta^*)^2 F_L + \frac{3}{8} (1 + \cos\theta^*)^2 F_R$$



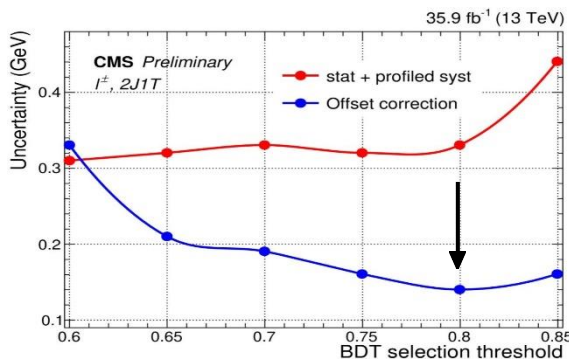
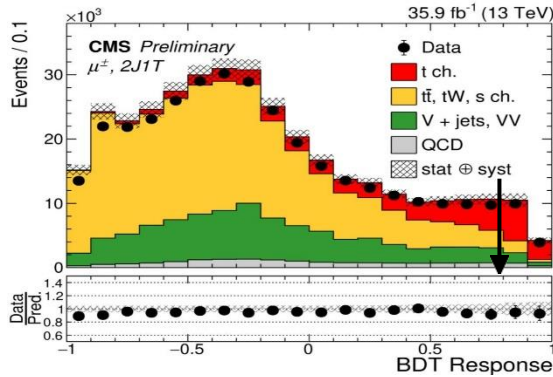
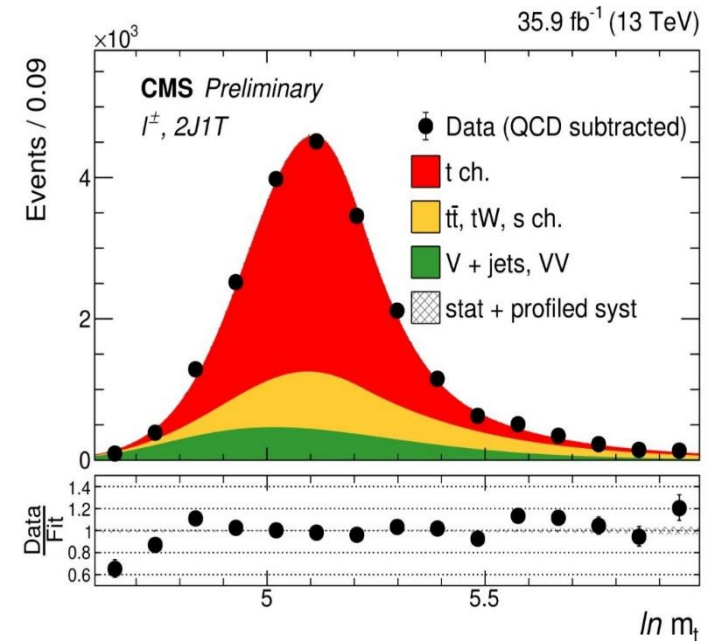
- Combination of the polarization fractions from 4 measurements
- ✓ Combination Improvement > 20% wrt the most precise measurement
- ✓ Measurement used to set limits on the anomalous coupling in the tWb vertex

Coupling	95% CL interval		
	ATLAS	CMS	ATLAS+CMS combination
$\text{Re}(V_R)$	$[-0.17, 0.25]$	$[-0.12, 0.16]$	$[-0.11, 0.16]$
$\text{Re}(g_L)$	$[-0.11, 0.08]$	$[-0.09, 0.06]$	$[-0.08, 0.05]$
$\text{Re}(g_R)$	$[-0.03, 0.06]$	$[-0.06, 0.01]$	$[-0.04, 0.02]$

- ✓ BDT discriminator and cut optimization
- ✓ Data-driven QCD is subtracted from the data
- ✓ Simultaneous ML fit using  $y = \ln(m_t)$  distributions in  $\mu$  and  $e$  final states, validated in control region

$$F(y) = f_{t\text{-ch}} F_{t\text{-ch}}(y; y_0) + f_{\text{Top}} F_{\text{Top}}(y; y_0) + f_{\text{EWK}} F_{\text{EWK}}(y)$$

- ✓  $y_0, f_{t\text{-ch}}, f_{\text{Top}}$  and  $f_{\text{EWK}}$  are allowed to float during the fit



mass results:

Sub GeV precision

$$m_t = 172.13 \pm 0.32 \text{ (stat + prof)}^{+0.69}_{-0.70} \text{ (syst)} \text{ GeV} = 172.13^{+0.76}_{-0.77} \text{ GeV}$$

$$m_{\bar{t}} = 172.62 \pm 0.37 \text{ (stat + prof)}^{+0.97}_{-0.65} \text{ (syst)} \text{ GeV} = 172.62^{+1.04}_{-0.75} \text{ GeV},$$

$$m_{\bar{t}} = 171.79 \pm 0.58 \text{ (stat + prof)}^{+1.32}_{-1.39} \text{ (syst)} \text{ GeV} = 171.79^{+1.44}_{-1.51} \text{ GeV}.$$

Masses ratio and difference (a check for CPT Invariance)

$$R_{m_t} = \frac{m_{\bar{t}}}{m_t} = 0.995 \pm 0.004 \text{ (stat + prof)}^{+0.002}_{-0.004} \text{ (syst)} = 0.995^{+0.005}_{-0.006}$$

$$\Delta m_t = m_t - m_{\bar{t}} = 0.83 \pm 0.69 \text{ (stat + prof)}^{+0.35}_{-0.74} \text{ (syst)} \text{ GeV} = 0.83^{+0.77}_{-1.01} \text{ GeV}$$

Precision limited by : JES and modelling

# Search for FCNC in the top sector

- Flavor changing neutral currents (FCNC) allow for transitions between quarks of different flavor but same electric charge
- FCNC processes are highly suppressed in the SM due to the GIM mechanism
  - Small contributions appear at one loop level
- Many extensions of the SM predict the presence of FCNC and give rise to detectable FCNC amplitude

	SM	QS	2HDM	FC 2HDM	MSSM	$\mathcal{R}$ SUSY
$t \rightarrow uZ$	$8 \times 10^{-17}$	$1.1 \times 10^{-4}$	–	–	$2 \times 10^{-6}$	$3 \times 10^{-5}$
$t \rightarrow u\gamma$	$3.7 \times 10^{-16}$	$7.5 \times 10^{-9}$	–	–	$2 \times 10^{-6}$	$1 \times 10^{-6}$
$t \rightarrow ug$	$3.7 \times 10^{-14}$	$1.5 \times 10^{-7}$	–	–	$8 \times 10^{-5}$	$2 \times 10^{-4}$
$t \rightarrow uH$	$2 \times 10^{-17}$	$4.1 \times 10^{-5}$	$5.5 \times 10^{-6}$	–	$10^{-5}$	$\sim 10^{-6}$
$t \rightarrow cZ$	$1 \times 10^{-14}$	$1.1 \times 10^{-4}$	$\sim 10^{-7}$	$\sim 10^{-10}$	$2 \times 10^{-6}$	$3 \times 10^{-5}$
$t \rightarrow c\gamma$	$4.6 \times 10^{-14}$	$7.5 \times 10^{-9}$	$\sim 10^{-6}$	$\sim 10^{-9}$	$2 \times 10^{-6}$	$1 \times 10^{-6}$
$t \rightarrow cg$	$4.6 \times 10^{-12}$	$1.5 \times 10^{-7}$	$\sim 10^{-4}$	$\sim 10^{-8}$	$8 \times 10^{-5}$	$2 \times 10^{-4}$
$t \rightarrow cH$	$3 \times 10^{-15}$	$4.1 \times 10^{-5}$	$1.5 \times 10^{-3}$	$\sim 10^{-5}$	$10^{-5}$	$\sim 10^{-6}$

Branching ratios for top FCN decays in the SM, models with  $Q = 2/3$  quark singlets (QS), a general 2HDM, a flavour-conserving (FC) 2HDM, in the MSSM and with  $R$  parity violating SUSY.

**Any evidence of FCNC will indicate the existence of new physics**

# Search for FCNC tHq interaction by

- Signal modeling: effective Lagrangian

$$\mathcal{L} = \sum_{q=u,c} \frac{g}{\sqrt{2}} \bar{t} \kappa_{Hqt} (F_{Hq}^L P_L + F_{Hq}^R P_R) q H + \text{h.c.},$$

- Production & decay
- Signal regions: 2 photons,  $100 < m_{\gamma\gamma} < 180$  GeV
  - leptonic:  $\geq 1$  jet,  $\geq 1\ell$
  - hadronic:  $\geq 3$  jet,  $\geq 1$  b-jet

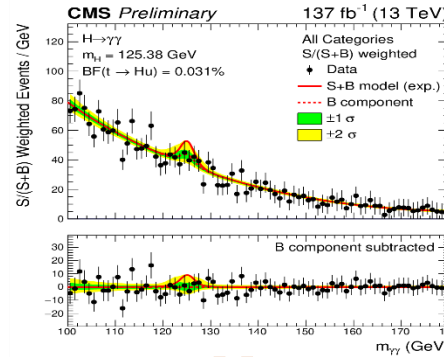
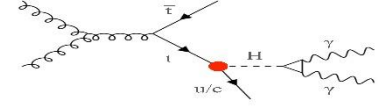
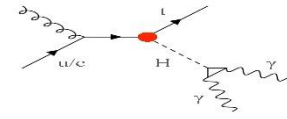
## ➤ Strategy

- 8 BDTs: (u, c)  $\times$  (lep, had)  $\times$  (res, non-res bkg)
- 7 categories defined by BDT score
- 14  $m_{\gamma\gamma}$  distributions to fit

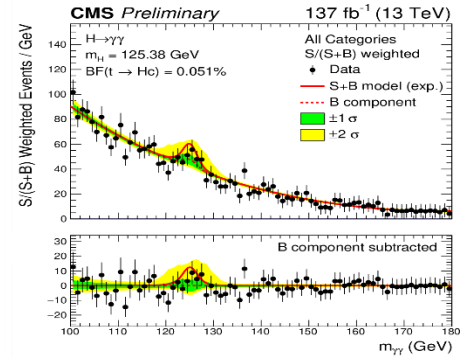
## ➤ Dominant uncertainties:

b-tagging and  $\gamma$  identification

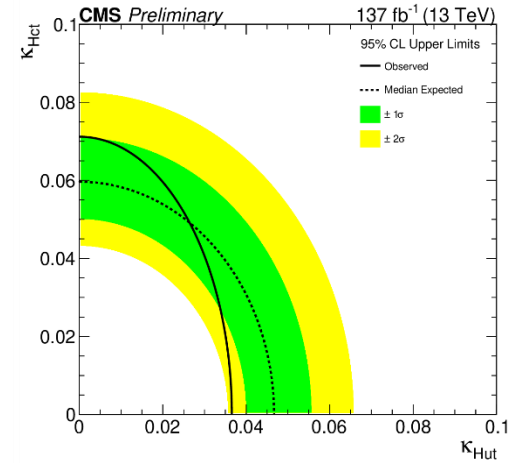
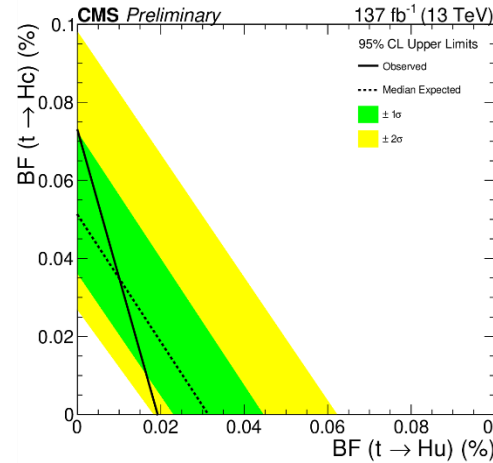
- Data compatible with absence of signal
- Upper limits on the signal cross sections are translated to the strength of the tqH anomalous couplings and related branching fractions



tHu



tHc

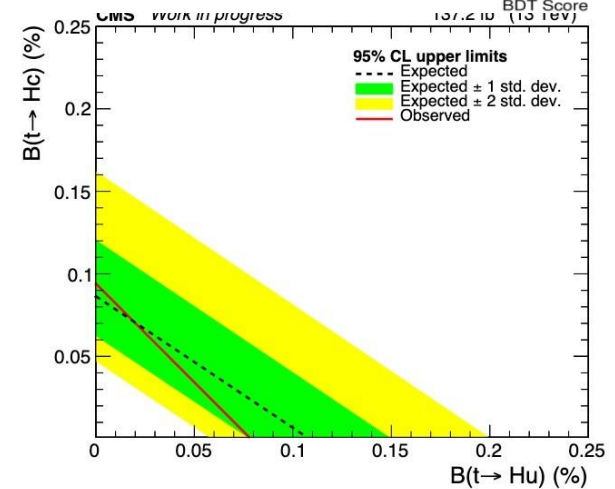
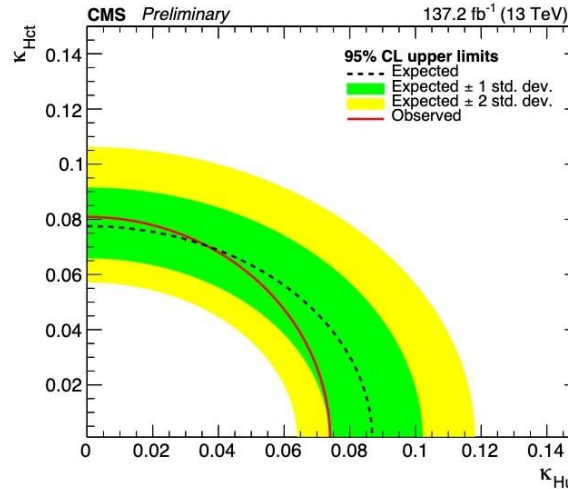
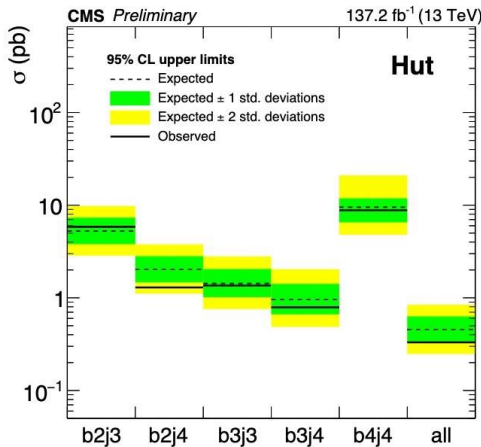
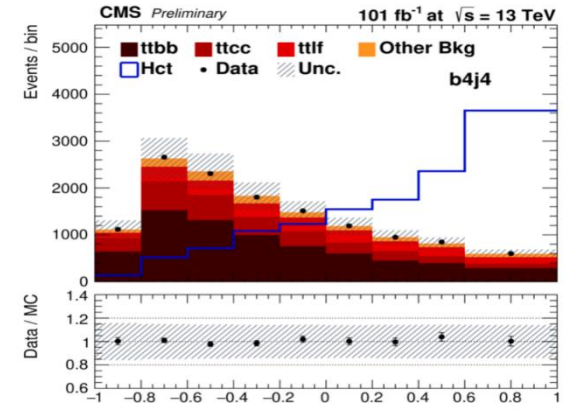
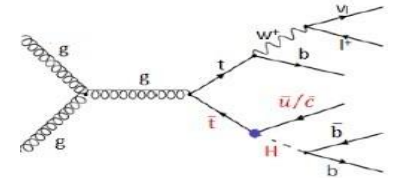


## ➤ Upper limits

- $B(t \rightarrow Hu) < 1.9 \times 10^{-4}$  (exp.  $3.1 \times 10^{-4}$ )
- $B(t \rightarrow Hc) < 7.3 \times 10^{-4}$  (exp.  $5.1 \times 10^{-4}$ )

# Search for FCNC $tHq$ interaction by

- Production & decay  $H \rightarrow b\bar{b}$
- Signal region:  $1\ell, \geq 3 \text{ jet}, \geq 2 \text{ b-jet}$
- A deep neural network is used to associate the reconstructed objects to the matrix-element partonic final state
- BDTs are used to distinguish the signal from the background event
- All bjet-jet categories are combined
- No significant excess with respect to the SM background expectations: 95% CL limits are set on the  $\kappa_s$ , couplings and BRs
- Significant improve with respect to the early run-2 search



## ➤ Upper limits:

- $B(t \rightarrow Hu) < 7.9 \times 10^{-4}$  (exp:  $11 \times 10^{-4}$ )
- $B(t \rightarrow Hc) < 9.4 \times 10^{-4}$  (exp:  $8.6 \times 10^{-4}$ )

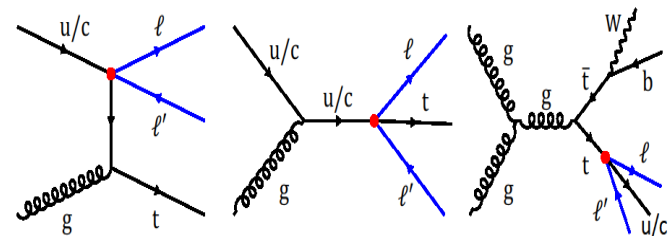


- In the SM, lepton flavor is conserved in all interactions
- Many new physics models predict sizable CLFV (neutrino mass, multi-Higgs doublet models,...)
- If the new physics responsible for the CLFV is at scales beyond what the LHC can directly probe, the SM Lagrangian can be extended by dimension-6 operators

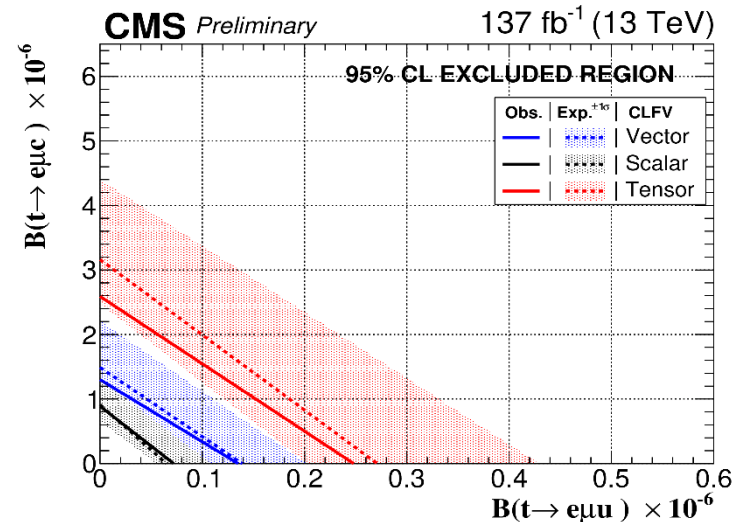
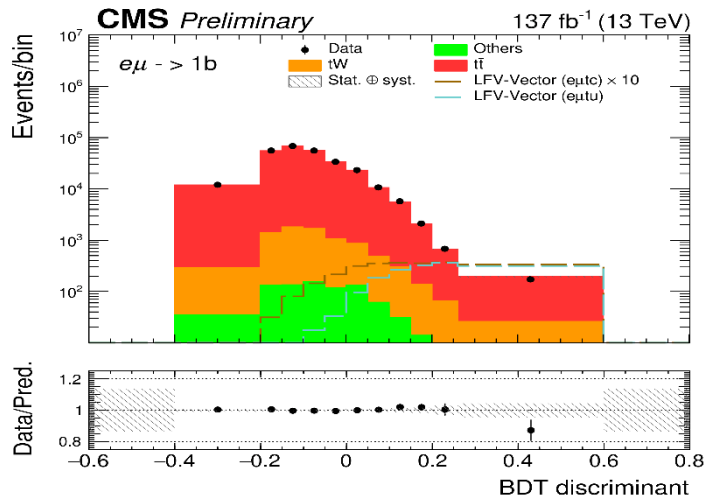
$$\mathcal{L} = \mathcal{L}_{\text{SM}} + \mathcal{L}_{\text{eff}} = \mathcal{L}_{\text{SM}} + \sum_x \frac{C_x}{\Lambda^2} O_x + \dots$$

Production

Decay



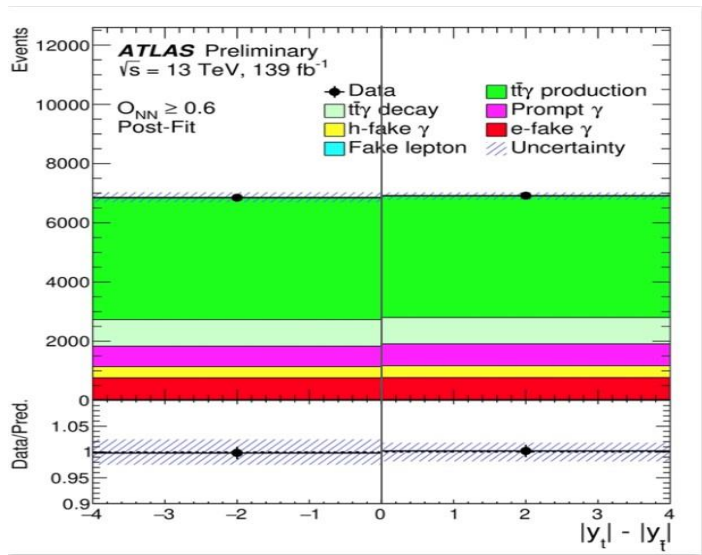
- ✓ Search for CLFV in  $e\mu$  final state [ $137 \text{ fb}^{-1}$ ]
- ✓ Production & decay
- ✓ Signal: CLFV vector, scalar and tensor
- ✓ BDT is used to discriminate signal from BG events
- ✓ Data consistent with SM expectation
  - ✓ Upper limits are set at 95% CL



# Asymmetry in $t\bar{t}\gamma$ and $t\bar{t}W$

$t\bar{t}\gamma$

Asymmetry from ISR/FSR interference  
 Similar definition as in  $t\bar{t}$   
 Much lower statistics, 2 bins



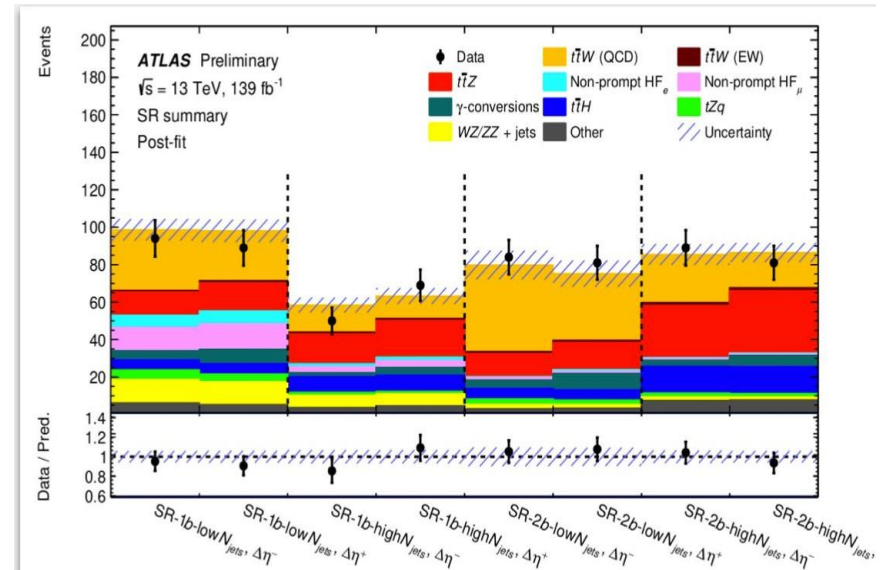
$$A_C = -0.006 \pm 0.024(\text{stat}) \pm 0.018(\text{syst})$$

in agreement with prediction from MG5aMC

$$A_C = -0.014 \pm 0.001(\text{scale})$$

$t\bar{t}W$

Expected to be larger than in  $t\bar{t}$  due to qq initial state  
 3-lepton channel, lepton as proxy for top



Fiducial result unfolded to particle-

$$A_C = -0.112 \pm 0.170(\text{stat}) \pm 0.055(\text{syst})$$

in agreement with Sherpa NLO+EW simulation

Statistically dominated analyses, Run 3 data will help