

Small- x Physics and EIC

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References:

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- R.D. Field, [Applications of perturbative QCD A lot of detailed examples](#).
- R. K. Ellis, W. J. Stirling and B. R. Webber, [QCD and Collider Physics](#)
- Y. Kovchegov and E. Levin, [Quantum Chromodynamics at High Energy](#)
- “Electron Ion Collider: The Next QCD Frontier: Understanding the glue that binds us all,” *Eur. Phys. J. A* **52**, no.9, 268 (2016) [arXiv:1212.1701 [nucl-ex]].
- “Science Requirements and Detector Concepts for the Electron-Ion Collider: EIC Yellow Report,” [arXiv:2103.05419 [physics.ins-det]].



Outline

- 1** QFT Basics and Theory Backgrounds
 - Infrared Safe Observables
 - Collinear and TMD Factorization
- 2** Introduction to Saturation Physics
 - Weizsäcker-Williams Methods
 - McLerran-Venugopalan Model
 - Small- x evolution equations (BFKL + BK)
- 3** EIC Physics
 - Overview
 - Observables at EIC



Infrared Safety

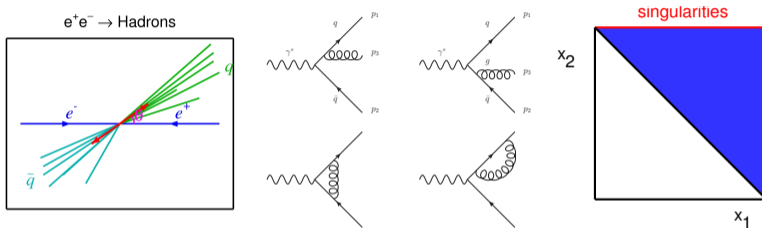
- Two kinds of IR divergences: collinear and soft divergences.
 - According to uncertainty principle, soft \leftrightarrow long distance;
 - Takes a long time to separate two collinear particles.
- For a suitable defined inclusive observable (e.g., $\sigma_{e^+e^- \rightarrow \text{hadrons}}$), there is a cancellation between the soft and collinear singularities occurring in the real and virtual contributions. **Kinoshita-Lee-Nauenberg theorem**
- Any new observables must have a definition which does not distinguish between

$$\text{parton} \leftrightarrow \text{parton} + \text{soft gluon}$$

$$\text{parton} \leftrightarrow \text{two collinear partons}$$
- Observables that respect the above constraint are called infrared safe observables. Infrared safety is a requirement that the observable is calculable in pQCD.
- Other infrared safe observables, for example, Thrust: $T = \max \frac{\sum_i |p_i \cdot n|}{\sum_i |p_i|} \dots$



e^+e^- annihilation



- Born diagram ($\text{wavy line} \leftarrow$) gives $\sigma_0 = \alpha_{em} \sqrt{s} N_c \sum_q e_q^2 \left(\frac{4\pi\mu^2}{s} \right)^\epsilon \frac{\Gamma[2-\epsilon]}{\Gamma[2-2\epsilon]}$
- NLO: real contribution (3 body final state). $x_i \equiv \frac{2E_i}{Q}$ with $Q = \sqrt{s}$

$$\frac{d\sigma_3}{dx_1 dx_2} = C_F \frac{\alpha_s}{2\pi} \sigma_0 \frac{x_1^2 + x_2^2}{(1-x_1)(1-x_2)}$$



e^+e^- annihilation

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$$\text{with } \frac{1}{(1-x_1)(1-x_2)} = \frac{1}{x_3} \left[\frac{1}{(1-x_1)} + \frac{1}{(1-x_2)} \right]$$

- Energy conservation $\Rightarrow x_1 + x_2 + x_3 = 2$.
- $(p_1 + p_3)^2 = 2p_1 \cdot p_3 = (Q - p_2)^2 = Q^2(1 - x_2)$
- $x_2 \rightarrow 1 \Rightarrow \vec{p}_3 \parallel \vec{p}_1 \Rightarrow$ **Collinear Divergence** (Similarly $x_1 \rightarrow 1$)
- $x_3 \rightarrow 0 \Rightarrow$ **Soft Divergence**.



Dimensional Regularization

Dimensional regularization:

- Analytically continue in the number of dimensions from $d = 4$ to $d = 4 - 2\epsilon$.
- Convert the soft and collinear divergence into poles in ϵ .
- To keep g_s dimensionless, substitute $g_s \rightarrow g_s \mu^\epsilon$ with renormalization scale μ .

At the end of the day, one finds

$$\sigma_r = \sigma_0 \frac{\alpha_s(\mu)}{2\pi} C_F \left(\frac{Q^2}{4\pi\mu^2} \right)^{-\epsilon} \frac{\Gamma[1-\epsilon]}{\Gamma[1-2\epsilon]} \left[\frac{2}{\epsilon^2} + \frac{3}{\epsilon} + \frac{19}{2} - \frac{2\pi^2}{3} \right]$$

$$\sigma_v = \sigma_0 \frac{\alpha_s(\mu)}{2\pi} C_F \left(\frac{Q^2}{4\pi\mu^2} \right)^{-\epsilon} \frac{\Gamma[1-\epsilon]}{\Gamma[1-2\epsilon]} \left[-\frac{2}{\epsilon^2} - \frac{3}{\epsilon} - 8 + \frac{2\pi^2}{3} \right]$$

and the sum $\lim_{\epsilon \rightarrow 0} \sigma = \sigma_0 \left(1 + \frac{3}{4} C_F \frac{\alpha_s(\mu)}{\pi} + \mathcal{O}(\alpha_s^2) \right)$.

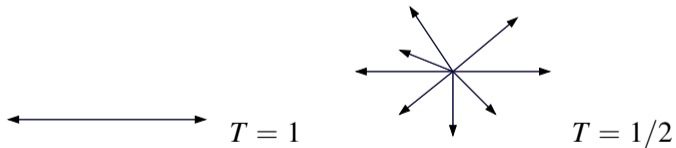
- (Almost) Complete Cancellation between real and virtual.
- For more exclusive observables, the cancellation is not always complete.



Thrust

Global observable reflecting the structure of the hadronic events in e^+e^- :

$$T = \max_{\vec{n}} \frac{\sum_i |p_i \cdot \vec{n}|}{\sum_i |p_i|}$$



Thrust

- For 3-particle events, in terms of x_1 and x_2 , the cross section is

$$\frac{d\sigma_3}{\sigma_0 dx_1 dx_2} = \frac{C_F \alpha_s}{2\pi} \frac{x_1^2 + x_2^2}{(1-x_1)(1-x_2)}$$

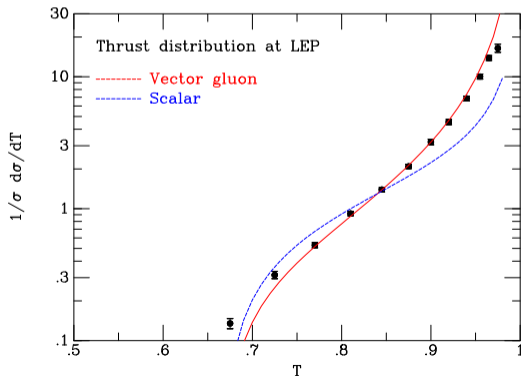
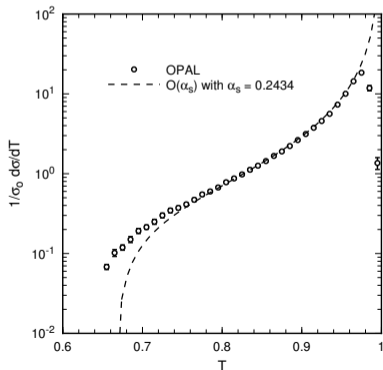
- For 3-particle events, $T = \max[x_1, x_2, x_3]$
- By symmetrizing x_i , and requiring $x_1 > x_2 > x_3$, we get $T = x_1 > 2/3$ and

$$\begin{aligned} \frac{d\sigma_3}{\sigma_0 dT} &= \frac{2C_F \alpha_s}{2\pi} \int_{1-2T}^T dx_2 \left[\frac{x_1^2 + x_2^2}{(1-x_1)(1-x_2)} + (x_1 \rightarrow x_3) + (x_2 \rightarrow x_3) \right] \\ &= \frac{C_F \alpha_s}{2\pi} \left[\frac{2(3T^2 - 3T + 2)}{T(1-T)} \ln \frac{2T-1}{1-T} - \frac{3(3T-2)(2-T)}{1-T} \right] \end{aligned}$$



Thrust

$$\frac{d\sigma_3}{\sigma_0 dT} = \frac{C_F \alpha_s}{2\pi} \left[\frac{2(3T^2 - 3T + 2)}{T(1-T)} \ln \frac{2T-1}{1-T} + \frac{3(2-3T)(2-T)}{1-T} \right]$$



Thrust

- Deficiency at low T due to kinematics. $T > 2/3$ at this order.
- Miss the data when $T \rightarrow 1$ due to divergence. **Sudakov factor!**

$$\frac{d\sigma}{\sigma_0 dT} \Big|_{T \rightarrow 1} \sim \frac{4C_F\alpha_s}{2\pi} \frac{4}{(1-T)} \ln \frac{1}{1-T} \exp \left[-\frac{\alpha_s C_F}{\pi} \ln^2(1-T) \right]$$

- Indication of gluon being a **vector boson** instead of a scalar.



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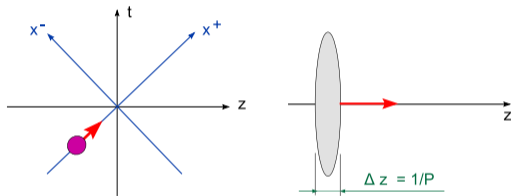
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Light Cone coordinates and gauge

For a relativistic hadron moving in the $+z$ direction



- In this frame, the momenta are defined

$$P^+ = \frac{1}{\sqrt{2}}(P^0 + P^3) \quad \text{and} \quad P^- = \frac{1}{\sqrt{2}}(P^0 - P^3) \rightarrow 0; \quad P^2 = 2P^+P^- - P_{\perp}^2$$

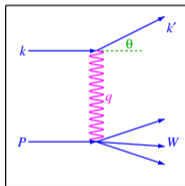
- Light cone gauge for a gluon with $k^\mu = (k^+, k^-, k_{\perp})$, polarization vector

$$k^\mu \epsilon_\mu = 0 \Rightarrow \epsilon = (\epsilon^+ = 0, \epsilon^- = \frac{\epsilon_{\perp} \cdot k_{\perp}}{k^+}, \epsilon_{\perp}^{\pm}) \quad \text{with} \quad \epsilon_{\perp}^{\pm} = \frac{1}{\sqrt{2}}(1, \pm i)$$



Deep inelastic scattering (DIS)

Kinematics of Lepton-Nucleon Scattering



$$\frac{d\sigma}{dE'd\Omega} = \frac{\alpha_{\text{em}}^2}{Q^4} \frac{E'}{E} L_{\mu\nu} W^{\mu\nu}$$

with $L_{\mu\nu}$ the leptonic tensor and $W^{\mu\nu}$ defined as

$$W^{\mu\nu} = \left(-g^{\mu\nu} + \frac{q_\mu q_\nu}{q^2} \right) W_1 + \frac{1}{m_p^2} \left(P^\mu - \frac{P \cdot q}{q^2} q^\mu \right) \left(P^\nu - \frac{P \cdot q}{q^2} q^\nu \right) W_2$$

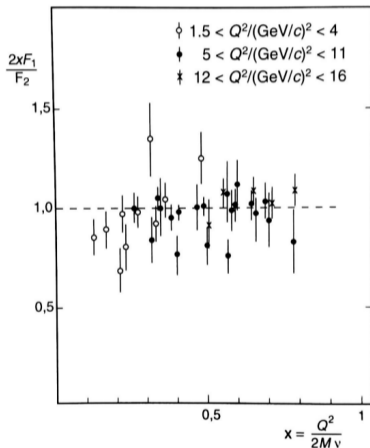
Introduce the dimensionless structure function:

$$F_1 \equiv W_1 \quad \text{and} \quad F_2 \equiv \frac{Q^2}{2m_p x} W_2$$

$$\Rightarrow \frac{d\sigma}{dx dy} = \frac{\alpha_{4\pi \text{sem}}^2}{Q^4} \left[(1-y)F_2 + xy^2 F_1 \right] \quad \text{with} \quad y = \frac{P \cdot q}{P \cdot k}$$



Callan-Gross relation



- **Quark Parton Model:** Callan-Gross relation

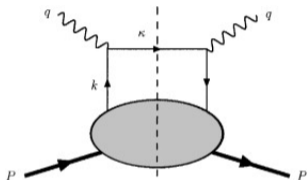
$$F_2(x) = 2xF_1(x) = \sum_q e_q^2 x [f_q(x) + f_{\bar{q}}(x)].$$

- The above relation ($F_2 = 2xF_1$) follows from the fact that a spin- $\frac{1}{2}$ quark cannot absorb a longitudinally polarized vector boson.
- In contrast, spin-0 quark cannot absorb transverse bosons, and thus it would give $F_1 = 0$.



Parton Density

The probabilistic interpretation of the parton density.



$$\Rightarrow f_q(x) = \int \frac{d\zeta^-}{4\pi} e^{ixP^+\zeta^-} \langle P | \bar{\psi}(0) \gamma^+ \psi(0, \zeta^-) | P \rangle$$

Comments:

- Gauge link \mathcal{L} is necessary to make the parton density gauge invariant.

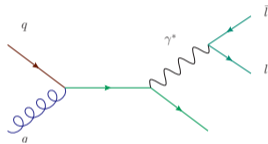
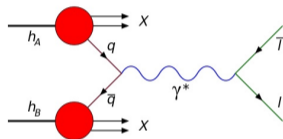
$$\mathcal{L}(0, \zeta^-) = \mathcal{P} \exp \left(\int_0^{\zeta^-} ds_\mu A^\mu \right)$$

- Choose light cone gauge $A^+ = 0$ and B.C., one can eliminate the gauge link.
- Now we can interpret $f_q(x)$ as parton density in the light cone frame.



Drell-Yan process

For lepton pair productions in hadron-hadron collisions:



the cross section is

$$\frac{d\sigma}{dM^2 dY} = \sum_q x_1 f_q(x_1) x_2 f_{\bar{q}}(x_2) \frac{1}{3} e_q^2 \frac{4\pi\alpha^2}{3M^4} \quad \text{with} \quad Y = \frac{1}{2} \ln \frac{x_1}{x_2}.$$

- Collinear factorization: $f_q(x)$ involved in DIS and Drell-Yan process are the same.
- At low- x and high energy, the dominant channel is $qg \rightarrow q\gamma^*(l^+l^-)$.



Fragmentation function

Factorization of single inclusive hadron production in e^+e^- :

$$\frac{1}{\sigma_0} \frac{d\sigma(e^+e^- \rightarrow h + X)}{dx} = \sum_i \int_x^1 C_i(z, \alpha_s(\mu^2), s/\mu^2) D_{h/i}(x/z, \mu^2) + \mathcal{O}(1/s)$$

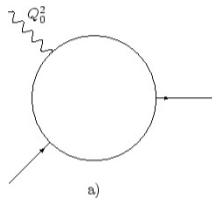
- $D_{h/i}(x/z, \mu^2)$ encodes the probability that the parton i fragments into a hadron h carrying a fraction z of the parton's momentum.
- Energy conservation \Rightarrow

$$\sum_h \int_0^1 dz z D_i^h(z, \mu^2) = 1$$

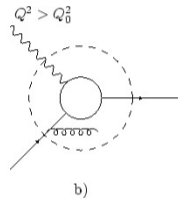
- Heavy quark fragmentation function: Peterson fragmentation function



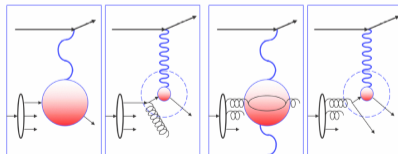
Evolution of parton density: Change of resolution



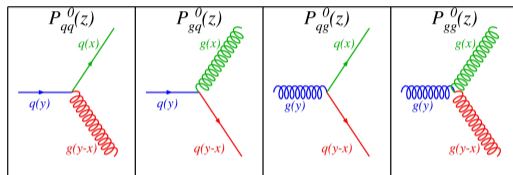
Large x : valence quarks



Small x : Gluons, sea quarks



DGLAP Splitting function



$$\xi = z = \frac{x}{y}$$

$$\mathcal{P}_{qq}^0(\xi) = \frac{1 + \xi^2}{(1 - \xi)_+} + \frac{3}{2}\delta(1 - \xi); \quad \mathcal{P}_{gq}^0(\xi) = \frac{1}{\xi} [1 + (1 - \xi)^2]; \quad \mathcal{P}_{qg}^0(\xi) = [(1 - \xi)^2 + \xi^2];$$

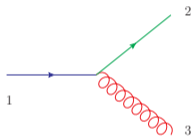
$$\mathcal{P}_{gg}^0(\xi) = 2 \left[\frac{\xi}{(1 - \xi)_+} + \frac{1 - \xi}{\xi} + \xi(1 - \xi) \right] + \left(\frac{11}{6} - \frac{2N_f T_R}{3N_c} \right) \delta(1 - \xi).$$

■ $\int_0^1 \frac{d\xi f(\xi)}{(1 - \xi)_+} = \int_0^1 \frac{d\xi [f(\xi) - f(1)]}{1 - \xi} \Rightarrow \int_0^1 \frac{d\xi}{(1 - \xi)_+} = 0$



Derivation of $\mathcal{P}_{qq}^0(\xi)$

The real contribution:



$$k_1 = (P^+, 0, 0_\perp) \quad ; \quad k_2 = (\xi P^+, \frac{k_\perp^2}{\xi P^+}, k_\perp)$$


$$k_3 = ((1 - \xi)P^+, \frac{k_\perp^2}{(1 - \xi)P^+}, -k_\perp) \quad \epsilon_3 = (0, -\frac{2k_\perp \cdot \epsilon_\perp^{(3)}}{(1 - \xi)P^+}, \epsilon_\perp^{(3)})$$

$$|V_{q \rightarrow qg}|^2 = \frac{1}{2} \text{Tr}(k_2 \gamma_\mu k_1 \gamma_\nu) \sum \epsilon_3^{*\mu} \epsilon_3^\nu = \frac{2k_\perp^2}{\xi(1 - \xi)} \frac{1 + \xi^2}{1 - \xi}$$

$$\Rightarrow \mathcal{P}_{qq}(\xi) = \frac{1 + \xi^2}{1 - \xi} \quad (\xi < 1)$$



Derivation of $\mathcal{P}_{qq}^0(\xi)$

- Including the virtual graph , use $\int_a^1 \frac{d\xi g(\xi)}{(1-\xi)_+} = \int_a^1 \frac{d\xi g(\xi)}{1-\xi} - g(1) \int_0^1 \frac{d\xi}{1-\xi}$

$$\begin{aligned} & \frac{\alpha_s C_F}{2\pi} \left[\int_x^1 \frac{d\xi}{\xi} q(x/\xi) \frac{1+\xi^2}{1-\xi} - q(x) \int_0^1 d\xi \frac{1+\xi^2}{1-\xi} \right] \\ = & \frac{\alpha_s C_F}{2\pi} \left[\int_x^1 \frac{d\xi}{\xi} q(x/\xi) \frac{1+\xi^2}{(1-\xi)_+} - q(x) \underbrace{\int_0^1 d\xi \frac{1+\xi^2}{(1-\xi)_+}}_{=-\frac{3}{2}} \right]. \end{aligned}$$

- Common practice in calculating the virtual graphs. (Also see HW.)



Derivation of $\mathcal{P}_{qq}^0(\xi)$

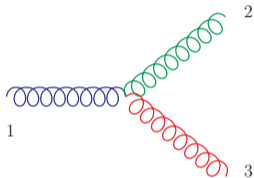
- Regularize $\frac{1}{1-\xi}$ to $\frac{1}{(1-\xi)_+}$ by including the divergence from the virtual graph.
- Probability conservation:

$$P_{qq} + dP_{qq} = \delta(1 - \xi) + \frac{\alpha_s C_F}{2\pi} \mathcal{P}_{qq}^0(\xi) dt \quad \text{and} \quad \int_0^1 d\xi \mathcal{P}_{qq}(\xi) = 0,$$

$$\Rightarrow \mathcal{P}_{qq}(\xi) = \frac{1 + \xi^2}{(1 - \xi)_+} + \frac{3}{2} \delta(1 - \xi) = \left(\frac{1 + \xi^2}{1 - \xi} \right)_+.$$



Derivation of $\mathcal{P}_{gg}^0(\xi)$



$$k_1 = (P^+, 0, 0_\perp) \quad \epsilon_1 = (0, 0, \epsilon_\perp^{(1)}) \quad \text{with} \quad \epsilon_\perp^\pm = \frac{1}{\sqrt{2}}(1, \pm i)$$

$$k_2 = (\xi P^+, \frac{k_\perp^2}{\xi P^+}, k_\perp) \quad \epsilon_2 = (0, \frac{2k_\perp \cdot \epsilon_\perp^{(2)}}{\xi P^+}, \epsilon_\perp^{(2)})$$

$$k_3 = ((1 - \xi)P^+, \frac{k_\perp^2}{(1 - \xi)P^+}, -k_\perp) \quad \epsilon_3 = (0, -\frac{2k_\perp \cdot \epsilon_\perp^{(3)}}{(1 - \xi)P^+}, \epsilon_\perp^{(3)})$$

$$V_{g \rightarrow gg} = (k_1 + k_3) \cdot \epsilon_2 \epsilon_1 \cdot \epsilon_3 + (k_2 - k_3) \cdot \epsilon_1 \epsilon_2 \cdot \epsilon_3 - (k_1 + k_2) \cdot \epsilon_3 \epsilon_1 \cdot \epsilon_2$$

$$\Rightarrow |V_{g \rightarrow gg}|^2 = |V_{+++}|^2 + |V_{+--+}|^2 + |V_{++-}|^2 = 4k_\perp^2 \frac{[1 - \xi(1 - \xi)]^2}{\xi^2(1 - \xi)^2}$$

$$\Rightarrow \mathcal{P}_{gg}(\xi) = 2 \left[\frac{1 - \xi}{\xi} + \frac{\xi}{1 - \xi} + \xi(1 - \xi) \right] \quad (\xi < 1)$$



Derivation of $\mathcal{P}_{gg}^0(\xi)$

$$V_{g \rightarrow gg} = (k_1 + k_3) \cdot \epsilon_2 \epsilon_1 \cdot \epsilon_3 + (k_2 - k_3) \cdot \epsilon_1 \epsilon_2 \cdot \epsilon_3 - (k_1 + k_2) \cdot \epsilon_3 \epsilon_1 \cdot \epsilon_2$$

$$\Rightarrow |V_{g \rightarrow gg}|^2 = |V_{+++}|^2 + |V_{--+}|^2 + |V_{++-}|^2 = 4k_{\perp}^2 \frac{[1 - \xi(1 - \xi)]^2}{\xi^2(1 - \xi)^2}$$

$$\Rightarrow \mathcal{P}_{gg}(\xi) = 2 \left[\frac{1 - \xi}{\xi} + \frac{\xi}{1 - \xi} + \xi(1 - \xi) \right] \quad (\xi < 1)$$

- Regularize $\frac{1}{1-\xi}$ to $\frac{1}{(1-\xi)_+}$
- Momentum conservation:

$$\int_0^1 d\xi \xi [\mathcal{P}_{qq}(\xi) + \mathcal{P}_{gq}(\xi)] = 0 \quad \int_0^1 d\xi \xi [2\mathcal{P}_{qg}(\xi) + \mathcal{P}_{gg}(\xi)] = 0,$$

\Rightarrow the terms which is proportional to $\delta(1 - \xi)$.



DGLAP equation

In the leading logarithmic approximation with $t = \ln \mu^2$, the parton distribution and fragmentation functions follow the DGLAP[Dokshitzer, Gribov, Lipatov, Altarelli, Parisi, 1972-1977] evolution equation as follows:

$$\frac{d}{dt} \begin{bmatrix} q(x, \mu) \\ g(x, \mu) \end{bmatrix} = \frac{\alpha(\mu)}{2\pi} \int_x^1 \frac{d\xi}{\xi} \begin{bmatrix} C_F P_{qq}(\xi) & T_R P_{qg}(\xi) \\ C_F P_{gq}(\xi) & N_c P_{gg}(\xi) \end{bmatrix} \begin{bmatrix} q(x/\xi, \mu) \\ g(x/\xi, \mu) \end{bmatrix},$$

and

$$\frac{d}{dt} \begin{bmatrix} D_{h/q}(z, \mu) \\ D_{h/g}(z, \mu) \end{bmatrix} = \frac{\alpha(\mu)}{2\pi} \int_z^1 \frac{d\xi}{\xi} \begin{bmatrix} C_F P_{qq}(\xi) & C_F P_{gq}(\xi) \\ T_R P_{qg}(\xi) & N_c P_{gg}(\xi) \end{bmatrix} \begin{bmatrix} D_{h/q}(z/\xi, \mu) \\ D_{h/g}(z/\xi, \mu) \end{bmatrix},$$



Collinear Factorization at NLO

$\overline{\text{MS}}$ scheme ($\frac{1}{\hat{\epsilon}} = \frac{1}{\epsilon} + \ln 4\pi - \gamma_E$), DGLAP equation reads

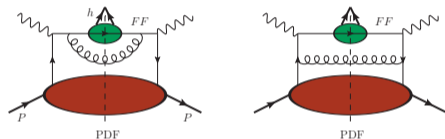
$$\begin{bmatrix} q(x, \mu) \\ g(x, \mu) \end{bmatrix} = \begin{bmatrix} q^{(0)}(x) \\ g^{(0)}(x) \end{bmatrix} - \frac{1}{\hat{\epsilon}} \frac{\alpha(\mu)}{2\pi} \int_x^1 \frac{d\xi}{\xi} \begin{bmatrix} C_F P_{qq}(\xi) & T_R P_{qg}(\xi) \\ C_F P_{gq}(\xi) & N_c P_{gg}(\xi) \end{bmatrix} \begin{bmatrix} q(x/\xi) \\ g(x/\xi) \end{bmatrix},$$

$$\begin{bmatrix} D_{h/q}(z, \mu) \\ D_{h/g}(z, \mu) \end{bmatrix} = \begin{bmatrix} D_{h/q}^{(0)}(z) \\ D_{h/g}^{(0)}(z) \end{bmatrix} - \frac{1}{\hat{\epsilon}} \frac{\alpha(\mu)}{2\pi} \int_z^1 \frac{d\xi}{\xi} \begin{bmatrix} C_F P_{qq}(\xi) & C_F P_{gq}(\xi) \\ T_R P_{qg}(\xi) & N_c P_{gg}(\xi) \end{bmatrix} \begin{bmatrix} D_{h/q}(z/\xi) \\ D_{h/g}(z/\xi) \end{bmatrix}.$$



Factorization

One-loop factorization:



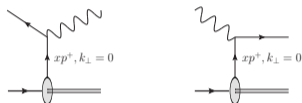
For gluon with momentum k

- Soft (k) divergence cancels between real and virtual diagrams;
- k is collinear to initial quark \Rightarrow **parton distribution function**;
- k is collinear to the final state quark \Rightarrow **fragmentation function**.
- KLN theorem does not apply to PDFs and FFs.
- Other kinematical region \Rightarrow the **NLO ($\mathcal{O}(\alpha_s)$ correction) hard factor**.

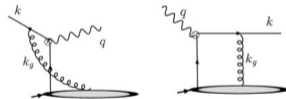


Collinear Factorization vs k_{\perp} Factorization

Collinear Factorization (Treat partons given by the integrated PDFs as having $k_{\perp} = 0$)



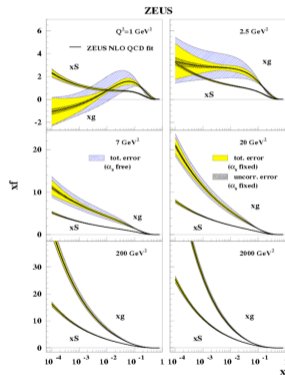
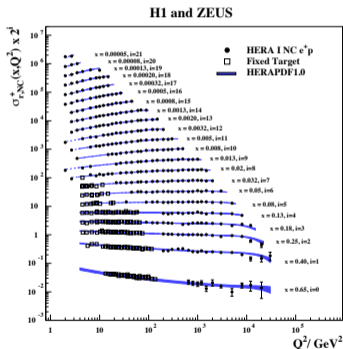
k_{\perp} Factorization (Spin physics and saturation physics)



- The incoming partons carry **no k_{\perp}** in the Collinear Factorization. (Approximation)
- In general, there is intrinsic k_{\perp} , which is sometimes not negligible.
- **k_{\perp} Factorization**: High energy evolution with k_{\perp} fixed.



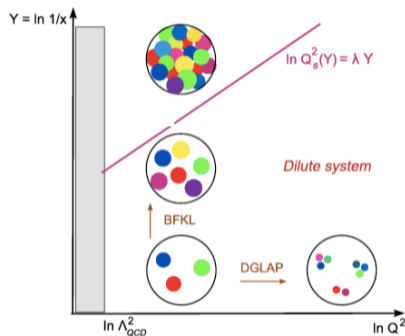
DGLAP evolution



- NLO DGLAP fit yields **negative** gluon distribution at low Q^2 and low x .
- Does this mean there is no gluons in that region? **No**



Phase diagram in QCD



- Low Q^2 and low x region \Rightarrow **saturation region**. (Use **BFKL** and **BK** equations instead)
- **BK equation** is the non-linear equation which describes **the saturation physics**.



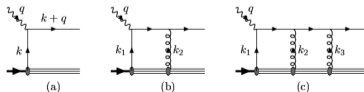
k_t dependent parton distributions

The unintegrated quark distribution

$$f_q(x, k_\perp) = \int \frac{d\xi^- d^2\xi_\perp}{4\pi(2\pi)^2} e^{ixP^+ \xi^- + i\xi_\perp \cdot k_\perp} \langle P | \bar{\psi}(0) \mathcal{L}^\dagger(0) \gamma^+ \mathcal{L}(\xi^-, \xi_\perp) \psi(\xi_\perp, \xi^-) | P \rangle$$

cf. the integrated PDF $f_q(x) = \int \frac{d\xi^-}{4\pi} e^{ixP^+ \xi^-} \langle P | \bar{\psi}(0) \gamma^+ \mathcal{L}(\xi^-) \psi(0, \xi^-) | P \rangle$

- Gauge invariant def: The dependence of ξ_\perp in the definition.
- Light-cone gauge + proper boundary condition \Rightarrow parton density interpretation.
- The gauge links come from the resummation of multiple gluon interactions.
- Gauge links may vary among different processes.

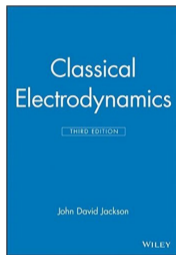
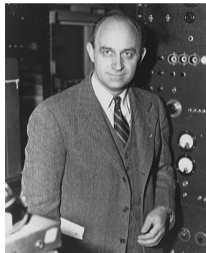


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Weizsäcker-Williams Method of virtual quanta

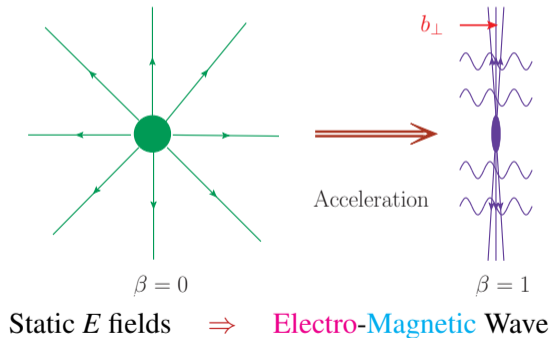


- Following [Fermi](#)[24], [Weizsäcker](#) [34] and [Williams](#) [35] discovered that the EM fields of a fast moving charged particle are almost **transverse**. (Equivalent Photon Approximation)
- A charged particle carries a cloud of **quasi-real photons** ready to be **radiated if perturbed**.
- Application in QCD: WW gluon distribution. [[McLerran, Venugopalan, 94](#); [Kovchegov, 96](#); [Jalilian-Marian, Kovner, McLerran and Weigert, 97](#)]
- Application in Gravitational Wave. [[Aichelburg and Sexl, 71](#); [Dray and 't Hooft, 85](#)]



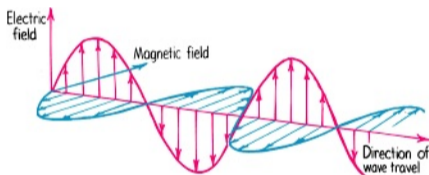
EPA and Weizsäcker-Williams Photon Distribution

Boost static potential to infinite momentum frame [Jackiw, Kabat and Ortiz, 92] and HW



EPA and Weizsäcker-Williams Photon Distribution

Boost static potential to infinite momentum frame [Jackiw, Kabat and Ortiz, 92] and HW



Static E fields \Rightarrow Electro-Magnetic Wave
 \Rightarrow EM pulses are equivalent to a lot of photons

- The gauge potentials A_μ in Covariant gauge and LC gauge are related by a gauge transformation. λ is an irrelevant parameter setting the scale.
- Classical EM: transverse EM fields \Leftrightarrow QM: Co-moving Quasi-real photons.

$$A_{Cov}^+ = -\frac{q}{\pi} \ln(\lambda b_\perp) \delta(t - z),$$

$$\vec{E} = \frac{q}{2\pi} \frac{\vec{b}_\perp}{b_\perp^2} \delta(t - z),$$

$$\vec{B} = \frac{q}{2\pi} \frac{\hat{v} \times \vec{b}_\perp}{b_\perp^2} \delta(t - z),$$

$$\vec{A}_\perp^{LC} = -\frac{q}{2\pi} [\vec{\nabla}_\perp \ln(\lambda b_\perp)] \theta(t - z).$$



EPA and Weizsäcker-Williams Photon Distribution

- Classical EM: transverse EM fields \Leftrightarrow QM: Co-moving Quasi-real photons.

$$\text{CoV gauge} \quad A_{Cov}^t = A_{Cov}^z = -\frac{q}{2\pi} \ln(\lambda b_{\perp}) \delta(t - z),$$

$$\text{LC gauge} \quad \vec{A}_{\perp}^{LC} = -\frac{q}{2\pi} [\vec{\nabla}_{\perp} \ln(\lambda b_{\perp})] \theta(t - z).$$

- The photon distribution in the transverse momentum space of a point particle

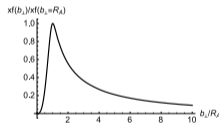
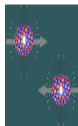
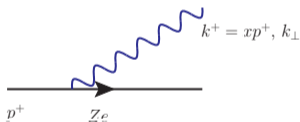
$$\begin{aligned} x f_{\gamma}(x, \vec{k}_{\perp}) &= \int \frac{d\xi^{-} d^2\xi_{\perp}}{(2\pi)^3} e^{-ixP^{+}\xi^{-} - ik_{\perp} \cdot \xi_{\perp}} \left\langle A \left| F^{+i} \left(\frac{\xi}{2} \right) F^{+i} \left(-\frac{\xi}{2} \right) \right| A \right\rangle \\ &= \frac{Z^2 \alpha}{\pi^2} \frac{1}{k_{\perp}^2} \quad \text{with} \quad q = Ze. \end{aligned}$$



Transverse Momentum Dependent (TMD) Photon Distribution

The photon distribution (flux) for nuclei

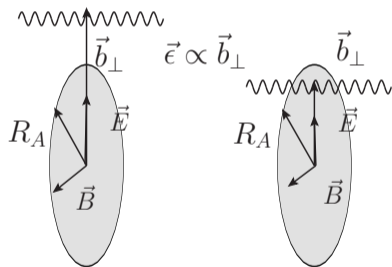
$$xf_{\gamma}(x, k_{\perp}) = \frac{Z^2\alpha}{\pi^2} \frac{k_{\perp}^2}{(k_{\perp}^2 + x^2M^2)^2} F_A(k^2) F_A(k^2)$$



- $F_A(k^2)$ is the charge form factor with $k^2 = k_{\perp}^2 + x^2M^2$. $F_A = 1$ for point charge.
- Wood-Saxon or Gaussian models for realistic nuclei. (*Pb is very bright!*)
- Typical transverse momentum of the photon is $1/R_A$, which is 30MeV for *Pb*.



Linearly Polarized Photon



- E is linearly polarized along the impact parameter b_\perp direction;
- $\vec{B} \perp \vec{E}$;
- The LC gauge potential $A_\perp \propto \vec{b}_\perp$;
- Polarization vector $\vec{\epsilon}_\perp = \vec{b}_\perp / b_\perp$.
- Similar case in momentum space.



Linearly Polarized Photon

- WW photon distribution is maximumly polarized, since $xf_\gamma = xh_\gamma$.

$$xf_\gamma^{ij}(x; b_\perp) = \int \frac{d^2\Delta_\perp}{(2\pi)^2} e^{i\Delta_\perp \cdot b_\perp} \langle A, -\frac{\Delta_\perp}{2} | F^{+i} F^{+j} | A, \frac{\Delta_\perp}{2} \rangle,$$

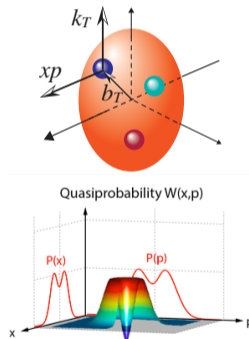
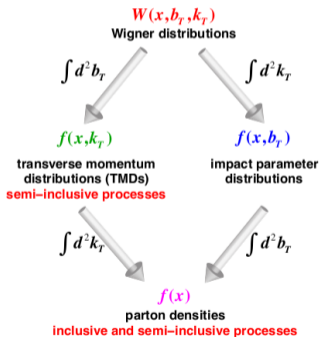
$$xf_\gamma^{ij}(x; b_\perp) = \frac{\delta^{ij}}{2} xf_\gamma(x; b_\perp) + \left(\frac{b_\perp^i b_\perp^j}{b_\perp^2} - \frac{\delta^{ij}}{2} \right) xh_\gamma(x; b_\perp) = \frac{b_\perp^i b_\perp^j}{b_\perp^2} xf_\gamma,$$

$$xh_\gamma(x, b_\perp) = xf_\gamma(x, b_\perp) = 4Z^2\alpha \left| \int \frac{d^2k_\perp}{(2\pi)^2} e^{ik_\perp \cdot b_\perp} \frac{\vec{k}_\perp}{k^2} F_A(k^2) \right|^2$$



Wigner distribution

Wigner distributions [Ji, 03; Belitsky, Ji, Yuan, 2004] encode all quantum information



- Quasi-probability distribution; Not positive definite.



Photon Wigner Distribution and Generalized TMD

Def. of Wigner distribution:

$$xf_{\gamma}(x, \vec{k}_{\perp}; \vec{b}_{\perp}) = \int \frac{d\xi^{-} d^2\xi_{\perp}}{(2\pi)^3 P^{+}} \int \frac{d^2\Delta_{\perp}}{(2\pi)^2} e^{-ixP^{+}\xi^{-} - ik_{\perp} \cdot \xi_{\perp}}$$

$$\times \left\langle A, +\frac{\Delta_{\perp}}{2} \left| F^{+i} \left(\vec{b}_{\perp} + \frac{\xi}{2} \right) F^{+i} \left(\vec{b}_{\perp} - \frac{\xi}{2} \right) \right| A, -\frac{\Delta_{\perp}}{2} \right\rangle ,$$

Def. of GTMD

$$xf_{\gamma}(x, k_{\perp}, \Delta_{\perp}) \equiv \int d^2b_{\perp} e^{-i\Delta \cdot b_{\perp}} xf_{\gamma}(x, \vec{k}_{\perp}; \vec{b}_{\perp}).$$



Photon Wigner Distribution and Generalized TMD

- For a heavy nucleus with charge Ze , the GTMD reads

$$\begin{aligned} xf_\gamma(x, k_\perp; \Delta_\perp) &= xh_\gamma(x, k_\perp; \Delta_\perp) \\ &= \frac{4Z^2\alpha}{(2\pi)^2} \frac{q_\perp \cdot q'_\perp}{q^2 q'^2} F_A(q^2) F_A(q'^2), \end{aligned}$$

$$q_\perp = k_\perp - \frac{\Delta_\perp}{2}, \quad \text{and} \quad q'_\perp = k_\perp + \frac{\Delta_\perp}{2}$$



- $\int d^2b_\perp xf_\gamma(x, k_\perp, b_\perp) \Rightarrow$ TMD; $\int d^2k_\perp xf_\gamma(x, k_\perp, b_\perp) \Rightarrow b_\perp$ distribution.
- WW EPA \rightarrow Generalized WW EPA with Wigner Photon.



Outline

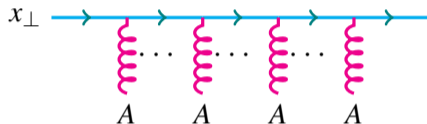
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Wilson Lines in Color Glass Condensate Formalism

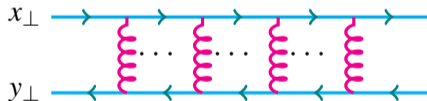
Wilson line \Rightarrow multiple scatterings between fast moving quark and target dense gluons.

$$U(x_{\perp}) = \mathcal{P} \exp \left(-ig \int dz^+ A^-(x_{\perp}, z^+) \right)$$



The Wilson loop (color dipole) in McLerran-Venugopalan (MV) model

$$\frac{1}{N_c} \langle \text{Tr} U(x_{\perp}) U^{\dagger}(y_{\perp}) \rangle = e^{-\frac{Q_s^2(x_{\perp} - y_{\perp})^2}{4}}$$



- Dipole amplitude $S^{(2)}$ then produces the quark k_T spectrum via Fourier transform

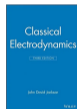
$$\mathcal{F}(k_{\perp}) \equiv \frac{dN}{d^2k_{\perp}} = \int \frac{d^2x_{\perp} d^2y_{\perp}}{(2\pi)^2} e^{-ik_{\perp} \cdot (x_{\perp} - y_{\perp})} \frac{1}{N_c} \langle \text{Tr} U(x_{\perp}) U^{\dagger}(y_{\perp}) \rangle.$$



A Tale of Two Gluon Distributions¹

Two gluon distributions are widely used at small- x : [Kharzeev, Kovchegov, Tuchin; 03] I.
Weizsäcker Williams gluon distribution ([Kovchegov, Mueller, 98] and **MV model**):

$$xG_{\text{WW}}(x, k_{\perp}) = \frac{S_{\perp}}{\pi^2 \alpha_s} \frac{N_c^2 - 1}{N_c} \int \frac{d^2 r_{\perp}}{(2\pi)^2} \frac{e^{-ik_{\perp} \cdot r_{\perp}}}{r_{\perp}^2} \left[1 - e^{-\frac{r_{\perp}^2 q_{sg}^2}{4}} \right]$$



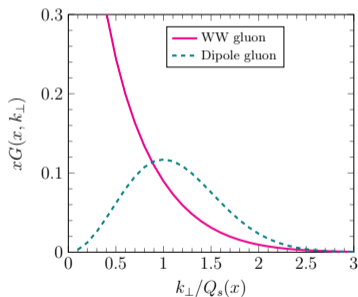
II. **Color Dipole** gluon distributions: (known for many years)

$$xG_{\text{DP}}(x, k_{\perp}) = \frac{S_{\perp} N_c}{2\pi^2 \alpha_s} k_{\perp}^2 \int \frac{d^2 r_{\perp}}{(2\pi)^2} e^{-ik_{\perp} \cdot r_{\perp}} e^{-\frac{r_{\perp}^2 q_{sq}^2}{4}} \Leftrightarrow \frac{1}{N_c} \text{Tr} [U(r_{\perp}) U^{\dagger}(0_{\perp})]$$

¹As far as I know, the title is due to Y. Kovchegov and C. Dickens.



A Tale of Two Gluon Distributions



- In McLerran-Venugopalan model, these two gluon distributions exhibit different k_{\perp} behavior at small k_{\perp} .
- Same tail when $k_{\perp} \gg Q_s$. “A Tale of Two Gluon Distributions” \Rightarrow “A **Tail** of Two Gluon Distributions” [B. Zajt]
- Which distribution is measured in a given process?
- Why are there exactly two gluon distributions?



A Tale of Two Gluon Distributions

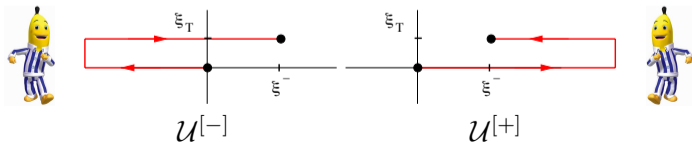
In terms of operators (TMD def. [Bomhof, Mulders and Pijlman, 06]), two **gauge invariant** gluon definitions: [Dominguez, Marquet, Xiao and Yuan, 11]

I. **Weizsäcker Williams** gluon distribution:

$$xG_{\text{WW}}(x, k_{\perp}) = 2 \int \frac{d\xi^{-} d\xi_{\perp}}{(2\pi)^3 P^{+}} e^{ixP^{+}\xi^{-} - ik_{\perp} \cdot \xi_{\perp}} \text{Tr} \langle P | F^{+i}(\xi^{-}, \xi_{\perp}) \mathcal{U}^{[+]\dagger} F^{+i}(0) \mathcal{U}^{[+]} | P \rangle.$$

II. **Color Dipole** gluon distributions:

$$xG_{\text{DP}}(x, k_{\perp}) = 2 \int \frac{d\xi^{-} d\xi_{\perp}}{(2\pi)^3 P^{+}} e^{ixP^{+}\xi^{-} - ik_{\perp} \cdot \xi_{\perp}} \text{Tr} \langle P | F^{+i}(\xi^{-}, \xi_{\perp}) \mathcal{U}^{[-]\dagger} F^{+i}(0) \mathcal{U}^{[+]} | P \rangle.$$



A Tale of Two Gluon Distributions

I. Weizsäcker Williams gluon distribution:

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II. Color Dipole gluon distributions:

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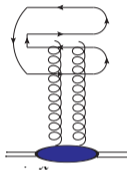
- The WW gluon distribution is the **conventional gluon distributions**.
- The dipole gluon distribution has no such interpretation.
- Two topologically different gauge invariant definitions.
- Same after integrating over k_{\perp} ;



A Tale of Two Gluon Distributions

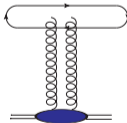
I. Weizsäcker Williams gluon distribution

$$xG_{\text{WW}}(x, k_{\perp}) = \frac{2N_c}{\alpha_s} \int \frac{d^2R_{\perp}}{(2\pi)^2} \frac{d^2R'_{\perp}}{(2\pi)^2} e^{iq_{\perp} \cdot (R_{\perp} - R'_{\perp})} \times \frac{1}{N_c} \left\langle \text{Tr} [i\partial_i U(R_{\perp})] U^{\dagger}(R'_{\perp}) [i\partial_i U(R'_{\perp})] U^{\dagger}(R_{\perp}) \right\rangle$$



II. Color Dipole gluon distribution:

$$xG_{\text{DP}}(x, k_{\perp}) = \frac{2N_c}{\alpha_s} \int \frac{d^2R_{\perp} d^2R'_{\perp}}{(2\pi)^4} e^{iq_{\perp} \cdot (R_{\perp} - R'_{\perp})} \left(\nabla_{R_{\perp}} \cdot \nabla_{R'_{\perp}} \right) \frac{1}{N_c} \left\langle \text{Tr} \left[U(R_{\perp}) U^{\dagger}(R'_{\perp}) \right] \right\rangle_x,$$



- Quadrupole \Rightarrow Weizsäcker Williams gluon distribution;
- Dipole \Rightarrow Color Dipole gluon distribution;



A Tale of Two Gluon Distributions

Measuring the gluon distributions in various processes

I. **Weizsäcker Williams** gluon distribution; II. **Color Dipole** gluon distributions.

- **Modified Universality** for Gluon Distributions:

	Inclusive	Single Inc	DIS dijet	γ +jet	dijet in pA
xG_{WW}	×	×	✓	×	✓
xG_{DP}	✓	✓	×	✓	✓

× \Rightarrow Do Not Appear. ✓ \Rightarrow Appear.

- Measurements in pA collisions and at the EIC are tightly **connected** with **complementary physics missions**.
- At higher order, Sudakov resummation needs to be implemented, but the conclusion remains true. **Soft gluon factorizes**. [Mueller, Xiao, Yuan, 13]

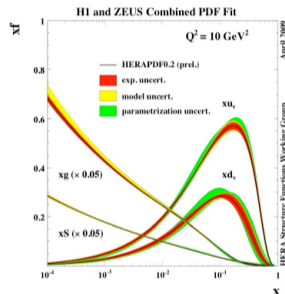
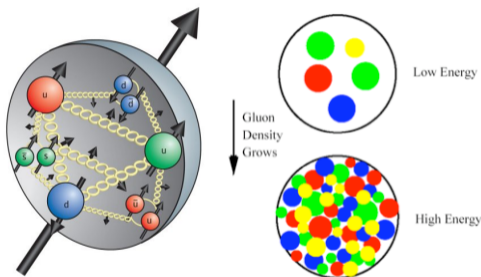


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Deep into low- x region of Protons

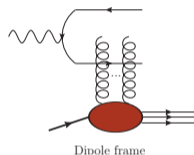
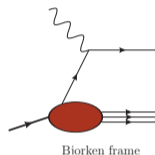


- Gluon splitting functions ($\mathcal{P}_{qq}^0(\xi)$ and $\mathcal{P}_{gg}^0(\xi)$) have $1/(1 - \xi)$ singularities.
- Partons in the low- x region is dominated by gluons.
- Resummation of the $\alpha_s \ln \frac{1}{x}$.



Dual Descriptions of Deep Inelastic Scattering

[A. Mueller, 01; Parton Saturation-An Overview]



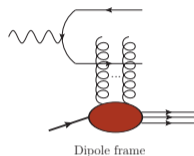
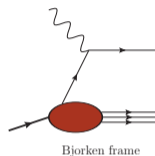
Bjorken frame

$$F_2(x, Q^2) = \sum_q e_q^2 x [f_q(x, Q^2) + f_{\bar{q}}(x, Q^2)] .$$

- **Bjorken**: partonic picture of a hadron is manifest. Saturation shows up as a limit on the occupation number of quarks and gluons.



Dual Descriptions of Deep Inelastic Scattering



Dipole frame

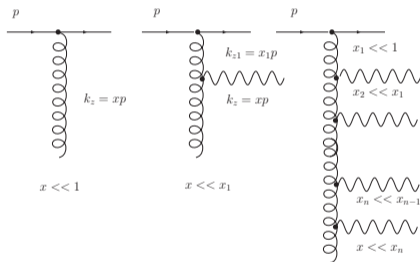
$$F_2(x, Q^2) = \sum_f e_f^2 \frac{Q^2}{4\pi^2 \alpha_{\text{em}}} \int_0^1 dz \int d^2x_\perp d^2y_\perp \left[|\psi_T(z, r_\perp, Q)|^2 + |\psi_L(z, r_\perp, Q)|^2 \right] \\ \times [1 - S(r_\perp)], \quad \text{with} \quad r_\perp = x_\perp - y_\perp.$$

- **Dipole**: partonic picture is no longer manifest. Saturation appears as the unitarity limit for scattering. Easy to resum the multiple gluon interactions.
- Interesting property: **Geometric scaling** if $S(r_\perp) = S(Q_s r_\perp)$.



BFKL evolution

[Balitsky, Fadin, Kuraev, Lipatov;74] **Bremsstrahlung** favors of small- x gluon emissions.



Probability of emission:

$$dp \sim \alpha_s N_c \frac{dk_z}{k_z} = \alpha_s N_c \frac{dx}{x}$$

In small- x limit and Leading log approximation:

$$p \sim \sum_{n=0}^{\infty} \alpha_s^n N_c^n \int_x^1 \frac{dx_n}{x_n} \cdots \int_{x_2}^1 \frac{dx_1}{x_1} \sim \exp \left(\alpha_s N_c \ln \frac{1}{x} \right)$$

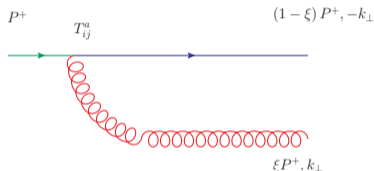
- Cf. DGLAP which resums $\alpha_s C \ln \frac{Q^2}{\mu_0^2}$.

Exponential growth of the amplitude as function of rapidity;



Derivation of BFKL evolution

[Mueller, 94] Dipole model: Consider the emission of soft gluon $z_g \ll 1$,



and use LC gauge $\epsilon = (\epsilon^+ = 0, \epsilon^- = \frac{\epsilon_\perp \cdot k_\perp}{k^+}, \epsilon_\perp^\pm)$

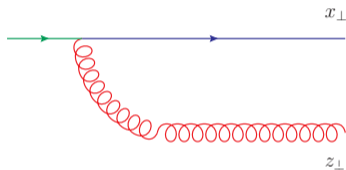
$$\mathcal{M}(k_\perp) = -2igT^a \frac{\epsilon_\perp \cdot k_\perp}{k_\perp^2}$$

- $q \rightarrow qg$ vertex and Energy denominator.
- Similar to the derivation of $\mathcal{P}_{qq}(\xi)$.



The dipole splitting kernel

The Bremsstrahlung amplitude in the coordinate space



$$\mathcal{M}(x_{\perp} - z_{\perp}) = \int d^2k_{\perp} e^{ik_{\perp} \cdot (x_{\perp} - z_{\perp})} \mathcal{M}(k_{\perp})$$

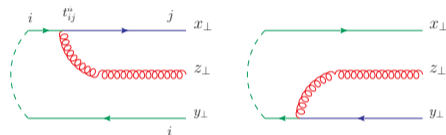
$$\text{Use } \int d^2k_{\perp} \frac{\epsilon_{\perp} \cdot k_{\perp}}{k_{\perp}^2} e^{ik_{\perp} \cdot b_{\perp}} = 2\pi i \frac{\epsilon_{\perp} \cdot b_{\perp}}{b_{\perp}^2},$$

$$\Rightarrow \mathcal{M}(x_{\perp} - z_{\perp}) = 4\pi g T^a \frac{\epsilon_{\perp} \cdot (x_{\perp} - z_{\perp})}{(x_{\perp} - z_{\perp})^2}$$



The dipole splitting kernel

Consider soft gluon emission from a color dipole in the coordinate space (x_\perp, y_\perp)

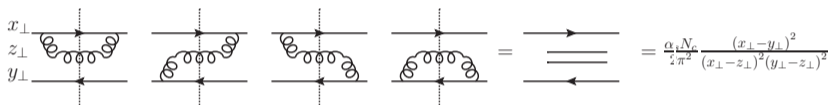


$$\mathcal{M}(x_\perp, z_\perp, y_\perp) = 4\pi g T^a \left[\frac{\epsilon_\perp \cdot (x_\perp - z_\perp)}{(x_\perp - z_\perp)^2} - \frac{\epsilon_\perp \cdot (y_\perp - z_\perp)}{(y_\perp - z_\perp)^2} \right] \Rightarrow$$

The diagrammatic part shows four diagrams of a dipole with a gluon emission vertex. The first diagram has the emission vertex at the top. The second has it at the bottom. The third has it on the left. The fourth has it on the right. These are followed by an equals sign and a simplified representation: a dipole with a triple-gluon vertex. This is followed by another equals sign and the mathematical expression: $\frac{\alpha_s N_c}{2\pi^2} \frac{(x_\perp - y_\perp)^2}{(x_\perp - z_\perp)^2 (y_\perp - z_\perp)^2}$.



The dipole splitting kernel



$$= \frac{\alpha_s N_c}{2\pi^2} \frac{(x_\perp - y_\perp)^2}{(x_\perp - z_\perp)^2 (y_\perp - z_\perp)^2}$$

- The probability of dipole splitting at large N_c limit

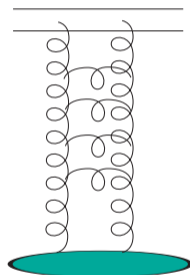
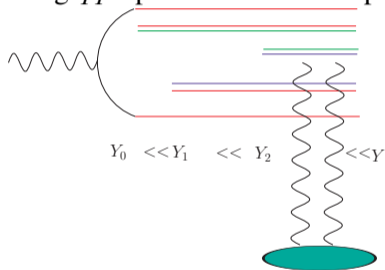
$$dP_{\text{splitting}} = \frac{\alpha_s N_c}{2\pi^2} \frac{(x_\perp - y_\perp)^2}{(x_\perp - z_\perp)^2 (y_\perp - z_\perp)^2} d^2 z_\perp dY \quad \text{with} \quad dY = \frac{dk_g^+}{k_g^+}$$

- Gluon splitting \Leftrightarrow Dipole splitting.



BFKL evolution in Mueller's dipole model

[Mueller; 94] In large N_c limit, BFKL evolution can be viewed as dipole branching in a fast moving $q\bar{q}$ dipole in coordinate space:



BFKL Pomeron

$n(r, Y)$ dipoles of size r .

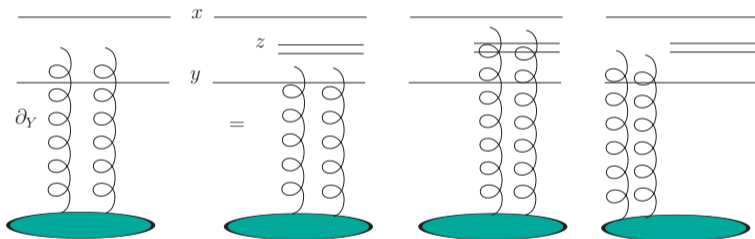
The T matrix ($T \equiv 1 - S$ with S being the scattering matrix) basically just counts the number of dipoles of a given size,

$$T(r, Y) \sim \alpha_s^2 n(r, Y)$$



BFKL equation

Consider a slight change in rapidity and the Bremsstrahlung emission of soft gluon (dipole splitting)

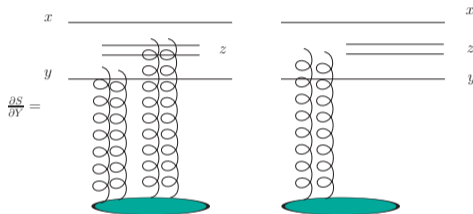


$$\partial_Y T(x, y; Y) = \frac{\bar{\alpha}_s}{2\pi} \int d^2z \frac{(x-y)^2}{(x-z)^2(z-y)^2} [T(x, z; Y) + T(z, y; Y) - T(x, y; Y)]$$



Kovchegov equation

[Kovchegov; 99] [Mueller; 01] Including non-linear effects: ($T \equiv 1 - S$)



$$\partial_Y S(x-y; Y) = \frac{\alpha N_c}{2\pi^2} \int d^2z \frac{(x-y)^2}{(x-z)^2(z-y)^2} [S(x-z; Y)S(z-y; Y) - S(x-y; Y)]$$

- Linear BFKL evolution results in fast energy evolution.
- Allowing multiple scattering \Rightarrow Non-linear term



Kovchegov equation

[Kovchegov; 99] [Mueller; 01] Including non-linear effects: ($T \equiv 1 - S$)

$$\begin{aligned}\partial_Y S(x-y; Y) &= \frac{\alpha N_c}{2\pi^2} \int d^2z \frac{(x-y)^2}{(x-z)^2(z-y)^2} [S(x-z; Y)S(z-y; Y) - S(x-y; Y)] \\ \partial_Y T(x-y; Y) &= \frac{\alpha N_c}{2\pi^2} \int d^2z \frac{(x-y)^2}{(x-z)^2(z-y)^2} \\ &\quad \times \left[T(x-z; Y) + T(z-y; Y) - T(x-y; Y) - \underbrace{T(x-z; Y)T(z-y; Y)}_{\text{saturation}} \right]\end{aligned}$$

- Linear BFKL evolution results in fast energy evolution \Rightarrow **saturation region**
- Non-linear term \Rightarrow fixed point ($T = 1$) and unitarization, and thus describes **the saturation physics**.



Balitsky-Kovchegov equation vs F-KPP equation

[Munier, Peschanski, 03] Consider the case with fixed impact parameter, namely, T_{xy} is only function of $r = x - y$. Then, transforming the B-K equation into momentum space:

BK equation: $\partial_Y T = \bar{\alpha} \chi_{\text{BFKL}}(-\partial_\rho) T - \bar{\alpha} T^2$ with $\bar{\alpha} = \frac{\alpha N_c}{\pi}$

Diffusion approximation \Rightarrow

F-KPP equation: $\partial_t u(x, t) = \partial_x^2 u(x, t) + u(x, t) - u^2(x, t)$

- $u \Rightarrow T$, $\bar{\alpha} Y \Rightarrow t$, $\rho = \log(k^2/k_0^2) \Rightarrow x$, with k_0 being the reference scale;
- B-K equation lies in the same universality class as the F-KPP [Fisher-Kolmogorov-Petrovsky-Piscounov; 1937] equation.
- F-KPP eq admits traveling wave solution $u = u(x - vt)$ with minimum velocity
- The non-linear term saturates the solution in the infrared.

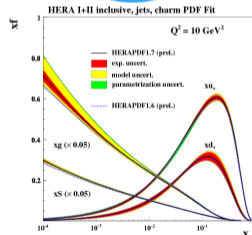
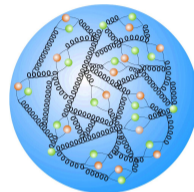


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HERA (Hadron Elektron Ring Anlage)

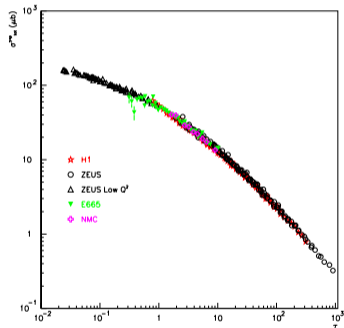
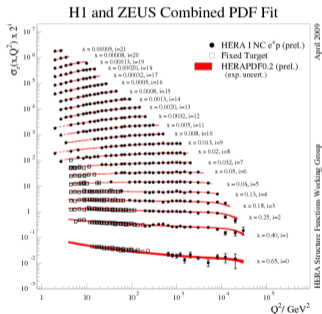


- $e^\pm p$ collisions at $\sqrt{s} = 318 \text{ GeV}$ (1992-2007);
 - Partons in the low- x region is dominated by rapid growing gluons.



Geometrical Scaling in DIS

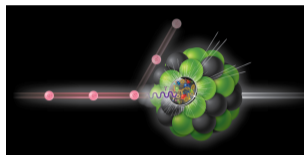
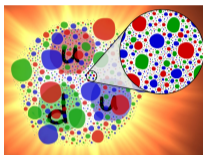
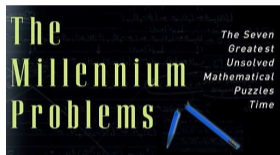
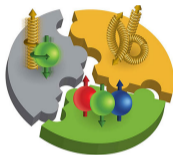
[Golec-Biernat, Stasto, Kwiecinski; 01, Munier, Peschanski, 03]



- Use $Q_s^2(x) = (x_0/x)^\lambda \text{GeV}^2$ with $x_0 = 3.04 \times 10^{-3}$ and $\lambda = 0.288$. All data of $\sigma_{tot}^{\gamma^*P}$ with $x \leq 0.01$ and $Q^2 \leq 450 \text{GeV}^2$ plotting as function of a **single** variable $\tau = Q^2/Q_s^2$.
- This scaling can be naturally explained in small- x formalism.



Ultimate Questions and Challenges in QCD



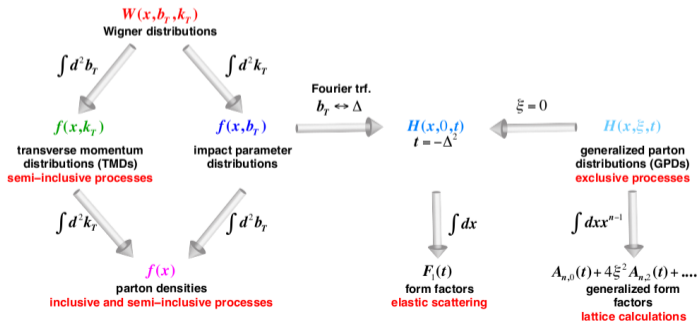
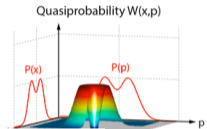
- How does the spin of proton arise? (**Spin puzzle**)
- What are the **emergent properties** of **dense gluon** system?
- How does proton mass arise? **Mass gap**: **million dollar** question.
- How does gluon bind quarks and gluons inside proton?
- Can we map the quark and gluon inside the proton in 3D?

EICs: keys to unlocking these mysteries! **Many opportunities will be in front of us!**



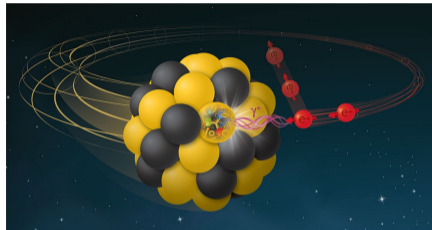
Embedding small- x gluon in 3D Tomography

Wigner distributions [Belitsky, Ji, Yuan, 04] ingeniously encode all quantum information of how partons are distributed inside hadrons.



List of observables at EIC

- CGC is **elusive**.
- Hunt it down via a set of observables
- List it from **Inclusive**
→ **Exclusive**.



- 1 **Inclusive cross-section:** Geometrical scaling in eA and Q_{sA}
- 2 **Single-inclusive $\gamma + p/A \rightarrow h(\text{Jet}) + X$:** Quark TMD
- 3 **Inclusive dijet or dihadron:** WW gluon TMD.
- 4 **Long range correlation:** Origin of collectivity
- 5 **Diffraction vector meson production:** gluon GPD.
- 6 **Diffraction dijet production:** gluon Wigner distribution.



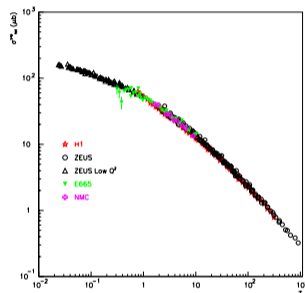
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Inclusive Observables

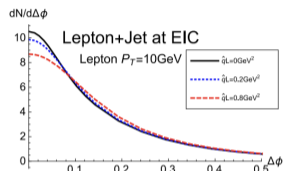
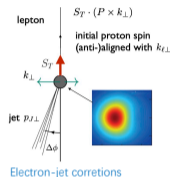
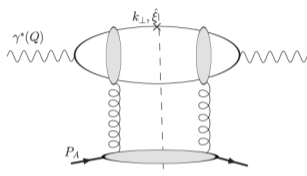
- Geometrical Scaling in DIS:
 All data of $\sigma_{tot}^{\gamma^*p}$ with $x \leq 0.01$
 and $Q^2 \leq 450 \text{ GeV}^2$ plotting
 as function of a **single** variable
 $\tau = Q^2/Q_s^2$ falls on a curve.
- What about eA collisions at
 EIC? $Q_{sA}^2(x)$



- [Golec-Biernat, Stasto, Kwiecinski,01]: $Q_s^2(x) = (x_0/x)^\lambda \text{ GeV}^2$ with
 $x_0 = 3.04 \times 10^{-3}$ and $\lambda = 0.288$.
- [Munier, Peschanski, 03]: explained by traveling wave in small- x framework.
- [Kovchegov, Pitonyak, Sievert, 16, 17] [▶ Link](#) Polarized case:
 g_1 structure function at small- x and $\Delta\Sigma$.



SIDIS and new progress



- [Mueller, 99; Marquet, Xiao, Yuan, 09] SIDIS in Breit frame: \Rightarrow quark k_T TMD.
- [Liu, Ringer, Vogelsang, Yuan, 19] [▶ Link](#) Lepton + jet

New hard probe in the Lab frame: $l + p/A \rightarrow l' + \text{Jet} + X$

- Direct probe of quark TMDs. $\Delta\phi = \phi_J - \phi_l - \pi$
- Sivers: distortion due to proton's transverse spin S_T !
- Also sensitive to cold nuclear medium P_T broadening!

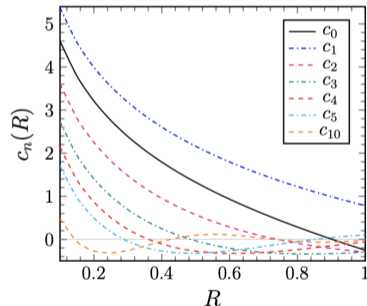


Leton-jet correlations at EIC

[Hatta, Xiao, Yuan, Zhou, 21] [▶ 2106.05307 \[hep-ph\]](#)

$$e(k) + q(p_1) \rightarrow e'(k_\ell) + jet(k_J) + X$$

$$\begin{aligned}
 & g^2 \int \frac{d^3 k_g}{(2\pi)^3 2E_{k_g}} \delta^{(2)}(q_\perp + k_{g\perp}) C_F S_g(k_J, p_1) \\
 &= \frac{\alpha_s C_F}{2\pi^2 q_\perp^2} \left[\ln \frac{Q^2}{q_\perp^2} + \ln \frac{Q^2}{k_{\ell\perp}^2} \right. \\
 & \quad \left. + c_0 + 2c_1 \cos(\phi) + 2c_2 \cos(2\phi) + \dots \right],
 \end{aligned}$$



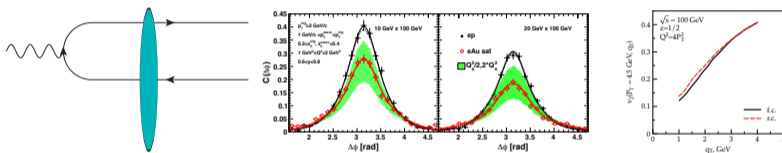
- Asymmetric emission of gluons outside jet cone.
- Eikonal factors $S_g(k_J, p_1) = \frac{2k_J \cdot p_1}{k_J \cdot k_g p_1 \cdot k_g}$; $S_g(k_1, k_2) = \frac{2k_1 \cdot k_2}{k_1 \cdot k_g k_2 \cdot k_g}$.

▶ QCD Master Class 2021



DIS dijet

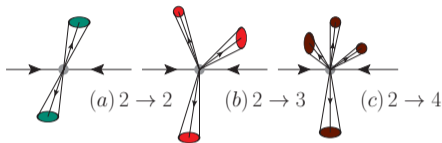
Unique golden channel for the Weizsäcker Williams distribution.



- Back-to-back correlation $C(\Delta\phi)$: [Dominguez, Marquet, Xiao and Yuan, 11] [Zheng, Aschenauer, Lee and BX, 14] [▶ Link](#)
- Due to soft gluon radiations, Sudakov resummation needs to be implemented. [Mueller, Xiao, Yuan, 13] [▶ Link](#)
- Due to linearly polarized gluon [Metz, Zhou, 11] [▶ Link](#): analog of elliptic flow v_2 in DIS. [Dumitru, Lappi, Skokov, 15] [▶ Link](#)



Perturbative expansions in dijet productions



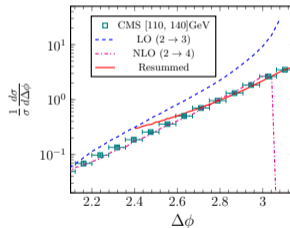
$$\sigma_0 \sum_{i=0}^{\infty} \alpha_s^i (L^i + C^{(i)}) \quad \text{ideal QCD expansion}$$

$$\sigma_0 \sum_{i=0}^{n-1} \alpha_s^i L^i \quad \Bigg| \quad \sigma_0 \sum_{i=0}^{n-1} \alpha_s^i C^{(i)} \quad \leftarrow \text{pQCD}$$

$$\frac{\sigma_0 \sum_{i=n}^{\infty} \alpha_s^i L^i}{\text{resummation}} \quad \Bigg| \quad \sigma_0 \sum_{i=n}^{\infty} \alpha_s^i C^{(i)} \quad \nwarrow \text{negligible}$$

Correlations:

- 2 → 2: 0th order
- 2 → 3: leading order
- 2 → 4: next-to-leading order



- Appearance of **large logarithms** $L \sim \ln^2 \frac{P_{\perp}^2}{q_{\perp}^2}$ (pQCD expansion breaks down)
- Imbalance $\vec{q}_{\perp} \equiv \vec{p}_{1\perp} + \vec{p}_{2\perp}$, jet $P_{\perp} \sim p_{1\perp} \sim p_{2\perp}$.



Sudakov formalism

Dijet productions in the Sudakov formalism (starting from collinear factorization)

$$\frac{d\sigma_{\text{dijet}}}{dy_1 dy_2 d^2p_{1\perp} d^2p_{2\perp}} = \sum_{ab} \sigma_0 \int \frac{d^2b_{\perp}}{(2\pi)^2} e^{-iq_{\perp} \cdot b_{\perp}} W(Q, b_{\perp}),$$

$$\text{with } W(Q, b_{\perp}) = x_1 f_a(x_1, \mu_b) x_2 f_b(x_2, \mu_b) e^{-S(Q, b_{\perp})},$$

$$S(Q, b_{\perp}) = S_{\text{pert}}(Q, b_*) + S_{\text{NP}}(Q, b_{\perp})$$

$$S_{\text{pert}}(Q, b_*) = \int_{\mu_b^2 = c_0^2/b_*^2}^{Q^2} \frac{d\mu^2}{\mu^2} \left[A \ln \frac{Q^2}{\mu^2} + B + (D_1 + D_2) \ln \frac{1}{R^2} \right].$$



Sudakov formalism

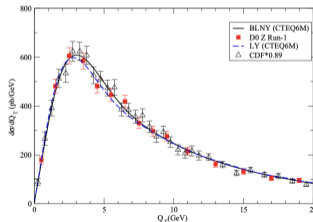
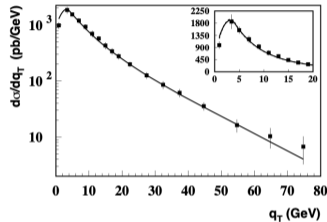
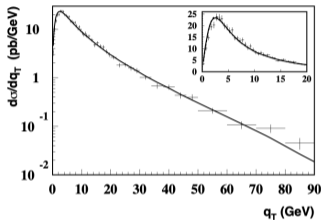
- Soft gluon emissions factorize from the born cross section σ_0 .
- Resummation is performed in the b_\perp space. Use $\delta^{(2)}(k_\perp - q_\perp) = \int \frac{d^2 b_\perp}{(2\pi)^2} e^{i(k_\perp - q_\perp) \cdot b_\perp}$

$$\begin{aligned} \frac{d\sigma}{d^2 q_\perp} &= \sigma_0 \sum_n \frac{(-1)^n}{n!} \int d^2 k_{1\perp} \cdots d^2 k_{n\perp} S(k_{1\perp}) \cdots S(k_{n\perp}) \delta^{(2)}(k_{1\perp} + \cdots k_{n\perp} - q_\perp) \\ &= \sigma_0 \int \frac{d^2 b_\perp}{(2\pi)^2} e^{-iq_\perp \cdot b_\perp} e^{-S(b_\perp)} \end{aligned}$$

- Use $b_* = b/\sqrt{1 + b^2/b_{max}^2}$ prescription to separate perturbative and NP regions.
- All the A, B, C, D coefficients can be computed perturbatively.



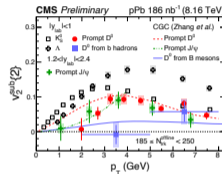
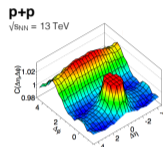
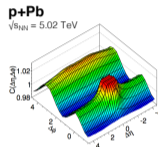
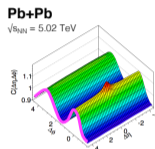
QCD Sudakov (CSS) Resummation for Boson Productions



[J.w. Qiu and X. Zhang, 02] **DY and W** [Landry, Brok, Nadolsky, C. Yuan, 03] **Z boson**



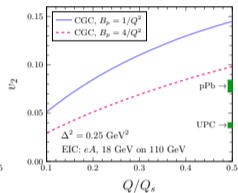
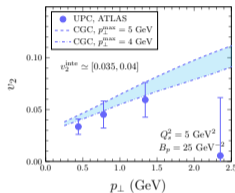
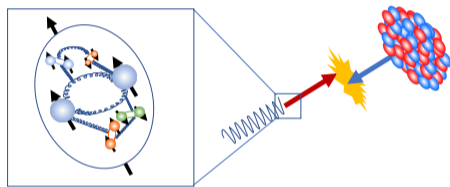
Collectivity at EIC?



- Collectivity is everywhere in systems small and large!
- **Final state** vs **Initial state** interpretation. Not clear yet!
- Anisotropy of **heavy mesons** favors IS effect.
 [Zhang, Marquet, Qin, Wei, Xiao, 19] [▶ Link](#)
- **New results** from **UPC** in PbPb collisions at LHC. (Mini-EIC)
- What about the collectivity at the EIC on the horizon?



v_2 Predictions in γA collisions from CGC



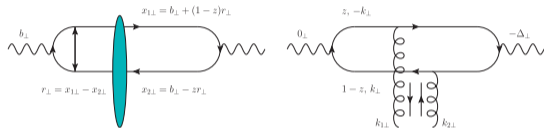
[Shi, Wang, Wei, Xiao, Zheng, 21] [▶ Link](#)

- Photons can have a rich QCD structure due to fluctuation.
- **Similarity between $\gamma^* A$ and pA collisions** at high energy as far as **high multiplicity events** are concerned.



Explicit expressions for gluon GPDs

Small- x GPDs[Hatta, Xiao, Yuan, 17] [▶ Link](#) $F = F_0 + 2 \cos 2\Delta_\perp F_\epsilon$



$$\frac{1}{P^+} \int \frac{d\zeta^-}{2\pi} e^{ixP^+ \zeta^-} \langle p' | F^{+i}(-\zeta/2) F^{+j}(\zeta/2) | p \rangle$$

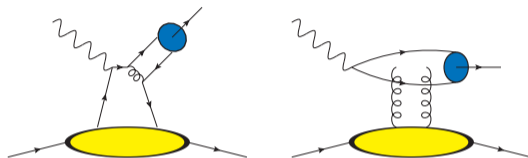
$$= \frac{\delta^{ij}}{2} x H_g(x, \Delta_\perp) + \frac{x E_{Tg}(x, \Delta_\perp)}{2M^2} \left(\Delta_\perp^i \Delta_\perp^j - \frac{\delta^{ij} \Delta_\perp^2}{2} \right) + \dots,$$

Helicity conserved: $x H_g(x, \Delta_\perp) = \frac{2N_c}{\alpha_s} \int d^2 q_\perp q_\perp^2 F_0$

Helicity flipping: $x E_{Tg}(x, \Delta_\perp) = \frac{4N_c M^2}{\alpha_s \Delta_\perp^2} \int d^2 q_\perp q_\perp^2 F_\epsilon$



Gluon GPDs and DVMP $V = J/\Psi, \phi \dots$



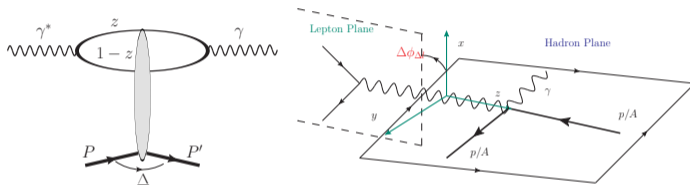
$$\gamma^*(q) + p/A(p) \rightarrow V(q - \Delta) + p/A(p + \Delta)$$

- The latter diagram is dominant at small- x (high energy) limit.
- Widely studied [Brodsky, Frankfurt, Gunion, Mueller, Strikman, 94; Kowalski, Teaney, 03; Kowalski, Motyka, Watt, 06; Kowalski, Caldwell, 10; Berger, Stasto, 13; Rezaeian, Schmidt, 13]...
- **Incoherent** diffractive production for nucleon/nuclear targets [T. Lappi, H. Mantysaari, 11; Toll, Ullrich, 12; Lappi, Mantysaari, R. Venugopalan, 15; Lappi, Mantysaari, Schenke, 16]...;
- NLO [Boussarie, Grabovsky, Ivanov, Szymanowski, Wallon, 16] [▶ Link](#)



Probing gluon GPD at small- x

DVCS and DVMP [Mantysaari, Roy, Salazar, Schenke, 20] [▶ Link](#)

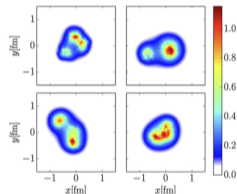
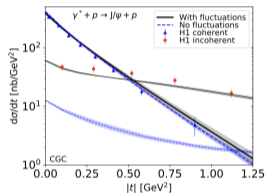
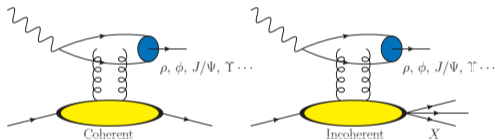


$$\frac{d\sigma_{TT}}{dx_B dQ^2 d^2\Delta_\perp} = \frac{\alpha_{em}^3}{\pi x_B j Q^2} \left\{ \left(1 - y + \frac{y^2}{2}\right) (\mathcal{A}_0^2 + \mathcal{A}_2^2) + (1 - y) 2\mathcal{A}_0 \mathcal{A}_2 \cos(2\phi_{\Delta l}) \right\}$$

- \mathcal{A}_0 : helicity conserved amplitude; \mathcal{A}_2 : helicity-flip amplitude
- Use lepton plane as reference, one can measure angular correlations.
- $\cos 2\phi_{\Delta l}$ correlation is sensitive to the helicity-flip gluon GPD xE_{Tg} .



Diffractive vector meson production



- Sensitive to proton **fluctuating shape**. (**Variance**) [Mantysaari, Schenke, 16; Mantysaari, Roy, Salazar, Schenke, 20]

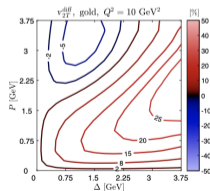
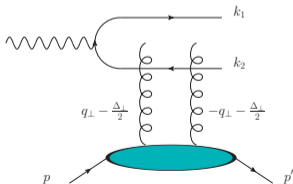
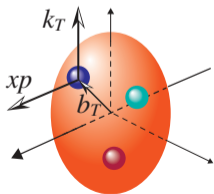
- **Good-Walker**: measure of fluct. $\frac{d\sigma_{\text{incoh}}}{dt} \sim \langle |\mathcal{A}|^2 \rangle - |\langle \mathcal{A} \rangle|^2$



Can we measure Wigner distributions?

- Can we measure Wigner distribution/GTMD? **Yes, we can!**
- Diffractive back-to-back dijets in ep/eA collisions.
 [Hatta, Xiao, Yuan, 16] [▶ Link](#)
- Further predictions of asymmetries due to correlations.

$$xW_g^T(x, \vec{q}_\perp; \vec{b}_\perp) = x\mathcal{W}_g^T \quad \text{Symmetric part} \\
 + 2 \cos(2\phi) x\mathcal{W}_g^\epsilon + \dots \quad \text{Anisotropies}$$

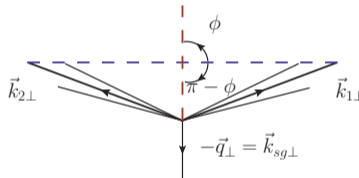
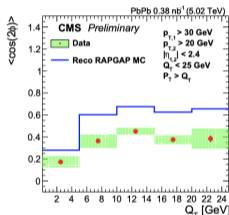
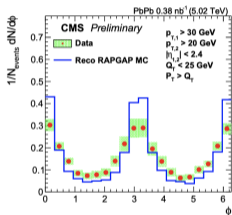


- Study of the elliptic anisotropy. [Mäntysaari, Mueller, Salazar and Schenke, 20] [▶ Link](#)



CMS: Dijet photoproduction in UPC (PbPb)

$$\gamma + \text{Pb} \rightarrow \text{Jet} + \text{Jet} + \text{Pb}$$



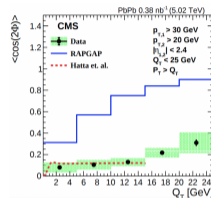
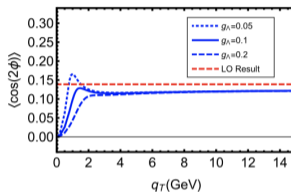
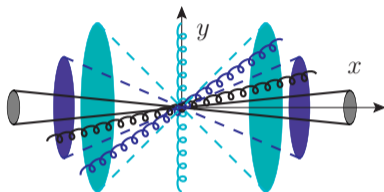
- 1 Preliminary analysis [▶ Link](#) [CMS-PAS-HIN-18-011]
- 2 Large asymmetries observed!
- 3 Indicate additional sources ?

Asymmetries due to **final state gluon radiations** are important.

[Hatta, Xiao, Yuan, Zhou, 21] [▶ Link](#)



Contributions from final state gluon radiations



Consider **soft gluon radiations near jet cone** in $\gamma A/p \rightarrow q\bar{q} + A/p$

$$\begin{aligned}
 & g^2 \int \frac{d^3 k_g}{(2\pi)^3 2E_{k_g}} \delta^{(2)}(q_{\perp} + k_{g\perp}) C_F \frac{2k_1 \cdot k_2}{k_1 \cdot k_g k_2 \cdot k_g} \\
 &= \frac{C_F \alpha_s}{\pi^2 q_{\perp}^2} [c_0^{\text{diff}} + 2 \cos(2\phi) c_2^{\text{diff}} + \dots] . \\
 & \quad c_0^{\text{diff}} = \ln \frac{a_0}{R^2} , \quad c_2^{\text{diff}} = \ln \frac{a_2}{R^2} .
 \end{aligned}$$

Observed asymmetry should include initial and final state contributions!



Summary of the Lectures

- Lecture 1 - Introduction to QCD and Jet
 - Infrared Safe Observable
 - Collinear Factorization and DGLAP equation
- Lecture 2 - Saturation Physics (Color Glass Condensate)
 - McLerran-Venugopalan Model
 - BFKL equation
 - Non-linear small- x evolution equations
- Lecture 3 - EIC observables

