



高能自旋物理基础 Basics for High Energy Spin Physics

第二部分：部分子分布函数和碎裂函数
Parton Distribution Functions (PDFs) and Fragmentation Functions (FFs)

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Based on a short review by K.B. Chen, S.Y. Wei and ZTL, *Front. Phys.* 10, 101204 (2015)



Parton distribution functions (PDFs)

$$f_1(x) = \int \frac{dz^-}{2\pi} e^{ixp^+z^-} \langle p | \bar{\psi}(0) \mathcal{L}(0, z^-) \frac{\gamma^+}{2} \psi(0, z^-, \vec{0}_\perp) | p \rangle$$

$$\mathcal{L}(0, z) = \mathcal{L}^\dagger(-\infty, 0) \mathcal{L}(-\infty, z),$$

$$\mathcal{L}(-\infty, z) = Pe^{-ig \int_{-\infty}^{z^-} dy^- A^+(0, y^-, \vec{0}_\perp)}$$

gauge link

$$= 1 + ig \int_{-\infty}^{z^-} dy^- A^+(0, y^-, \vec{0}_\perp) + \frac{1}{2} (ig)^2 \int_{-\infty}^{z^-} dy^- \int_{-\infty}^{y^-} dy'^- A^+(0, y^-, \vec{0}_\perp) A^+(0, y'^-, \vec{0}_\perp) + \dots$$

Why? Where does it come from?

How does it look like in the three dimensional case ?

I. Introduction: Inclusive DIS and parton model without QCD interaction

II. Gauge invariant parton distribution functions (PDFs) and collinear expansion for inclusive DIS

- Leading order pQCD & leading twist (leading power)
- Leading order pQCD & higher twists (higher powers/power suppressed)

III. TMDs (transverse momentum dependent PDFs and FFs) defined via quark-quark correlator

IV. Accessing TMDs via semi-inclusive high energy reactions

- Kinematical analysis
- Leading order pQCD & leading twist (leading power)
- **Collinear expansion** & higher twists (higher powers/power suppressed)

V. Summary and outlook

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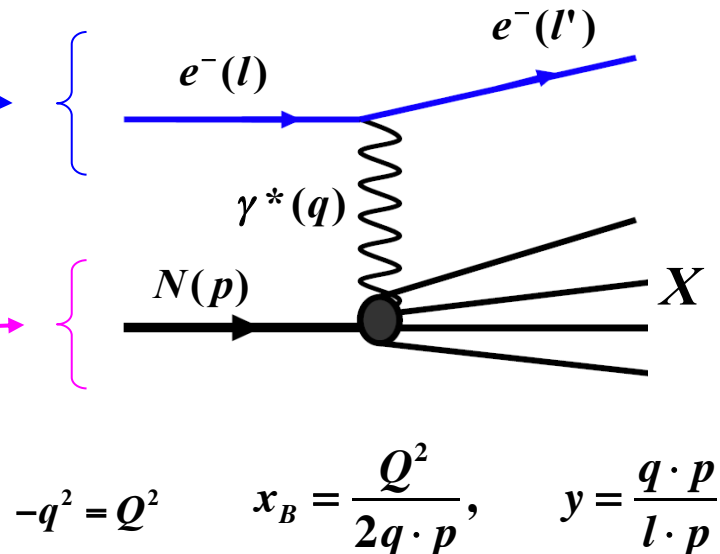
Inclusive deep inelastic scattering (DIS) $e^- + N \rightarrow e^- + X$

The differential cross section

$$d\sigma = \frac{\alpha_{em}^2}{sQ^4} L^{\mu\nu}(l, \lambda_l, l', \lambda_{l'}) W_{\mu\nu}(q, p, S) \frac{d^3 l'}{2E'}$$

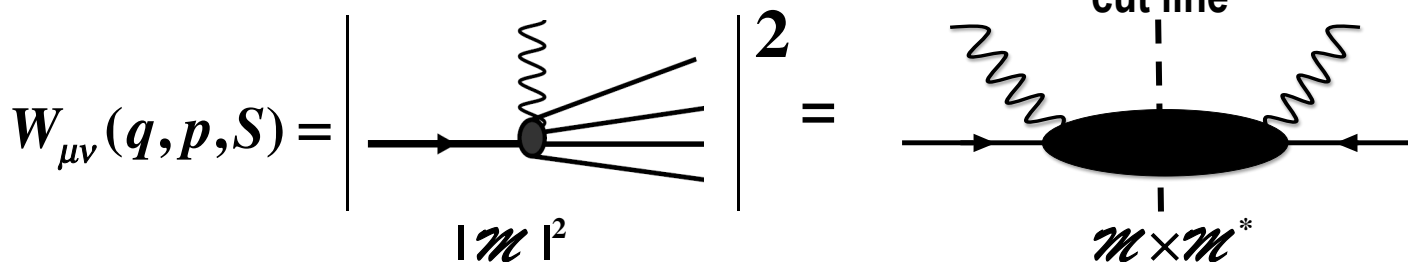
leptonic tensor

hadronic tensor



The hadronic tensor:

$$W_{\mu\nu}(q, p, S) = \sum_X \langle p, S | J_\mu(0) | X \rangle \langle X | J_\nu(0) | p, S \rangle (2\pi)^4 \delta^4(p + q - p_X)$$



Inclusive deep inelastic scattering (DIS) $e^- + N \rightarrow e^- + X$



The derivation of the differential cross section

$$d\sigma = \frac{1}{4s} \frac{|\mathcal{M}|^2}{TV} \frac{d^3l'}{(2\pi)^3(2E')} \quad \mathcal{M} = \langle f | \hat{S} | i \rangle = \langle e_f^- X | \hat{S} | e_i^- N \rangle$$

$$\hat{S} = T e^{i \int d^4x \mathcal{H}_I(x)} = 1 + i \int d^4x \mathcal{H}_I(x) + \frac{i^2}{2} T \int d^4x d^4y \mathcal{H}_I(x) \mathcal{H}_I(y) + \dots$$

$$\mathcal{H}_I(x) = e J_\mu(x) A^\mu(x) \quad J_\mu(x) = \bar{\psi}(x) \gamma_\mu \psi(x)$$

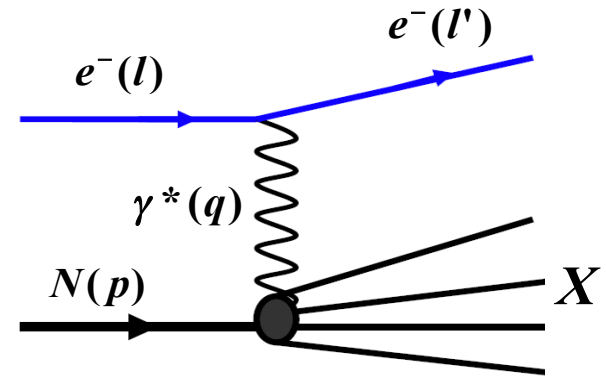
$$\mathcal{M} \approx \frac{i^2}{2} \langle e_f^- X | T \int d^4x d^4y \mathcal{H}_I(x) \mathcal{H}_I(y) | e_i^- N \rangle = \frac{i^2}{2} \langle e_f^- X | T \int d^4x d^4y J_\mu(x) A^\mu(x) J_\nu(y) A^\nu(y) | e_i^- N \rangle$$

$$= i^2 \int \frac{d^4q}{(2\pi)^4} \frac{-i}{q^2} \langle e_f^- X | \int d^4x d^4y e^{iq(x-y)} J^\mu(x) J_\mu(y) | e_i^- N \rangle$$

$$= i^2 \int \frac{d^4q}{(2\pi)^4} \frac{-i}{q^2} \int d^4x d^4y e^{iq(x-y)} \langle e_f^- | J^\mu(x) | e_i^- \rangle \langle X | J_\mu(y) | N \rangle$$

$$= i^2 \int \frac{d^4q}{(2\pi)^4} \frac{-i}{q^2} \int d^4x d^4y e^{-i(l-l'-q)x} e^{-i(p+q-p_X)y} \langle e_f^- | J^\mu(0) | e_i^- \rangle \langle X | J_\mu(0) | N \rangle$$

$$= \frac{i}{q^2} \langle e_f^- | J^\mu(0) | e_i^- \rangle \langle X | J_\mu(0) | N \rangle (2\pi)^4 \delta^4(l + p - l' - p_X)$$



$$\langle 0 | T A^\mu(x) A^\nu(y) | 0 \rangle = \int \frac{d^4q}{(2\pi)^4} D_F^{\mu\nu}(q) e^{iq(x-y)}$$

$$D_F^{\mu\nu}(q) = \frac{-ig^{\mu\nu}}{q^2}$$

$$\langle 0 | \psi(0) | l \rangle = u(l)$$



Kinematics (Lorentz invariance, symmetries, conservation laws....):

Gauge invariance: $q^\mu W_{\mu\nu}(q, p, S) = 0$

Parity invariance: $W_{\mu\nu}(\tilde{q}, \tilde{p}, -\tilde{S}) = W^{\mu\nu}(q, p, S)$

Hermiticity: $W_{\mu\nu}^*(q, p, S) = W_{\nu\mu}(q, p, S)$

$\implies W_{\mu\nu}(q, p, S) = W_{\mu\nu}^{(S)}(q, p) + iW_{\mu\nu}^{(A)}(q, p, S)$

$$W_{\mu\nu}^{(S)}(q, p) = 2 \left(g_{\mu\nu} - \frac{q_\mu q_\nu}{q^2} \right) F_1(x, Q^2) + \frac{1}{xQ^2} (q + 2xp)_\mu (q + 2xp)_\nu F_2(x, Q^2)$$

$$W_{\mu\nu}^{(A)}(q, p, S) = \frac{2M \epsilon_{\mu\nu\rho\sigma} q^\sigma}{p \cdot q} \left\{ S^\sigma g_1(x, Q^2) + \left(S^\sigma - \frac{S \cdot q}{p \cdot q} p^\sigma \right) g_2(x, Q^2) \right\}$$

$$\frac{d\sigma^{unp}}{dxdy} = \frac{4\pi\alpha^2 s}{Q^4} \left\{ xy^2 F_1(x, Q^2) + \left(1 - y - \frac{xyM^2}{s} \right) F_2(x, Q^2) \right\}$$

$$\frac{d\Delta\sigma}{dxdy} = \frac{4\pi\alpha^2 s}{Q^4} \left\{ xy \left(2 - y - \frac{2xyM^2}{s} \right) g_1(x, Q^2) + 8 \frac{x^2 y M^2}{s} g_2(x, Q^2) \right\}$$

运动学分析

Find the complete set of the “basic Lorentz tensor” and general form of the hadronic tensor

4 independent structure functions

$F_1(x, Q^2), F_2(x, Q^2);$
 $g_1(x, Q^2), g_2(x, Q^2)$

“Original / Intuitive” Parton Model



PHOTON-HADRON
INTERACTIONS

RICHARD P. FEYNMAN

ABP

ADVANCED BOOK

Classics

Our knowledge of one-dimensional imaging of the nucleon learned from DIS experiments started with the “intuitive parton model” formulated e.g. in this book.

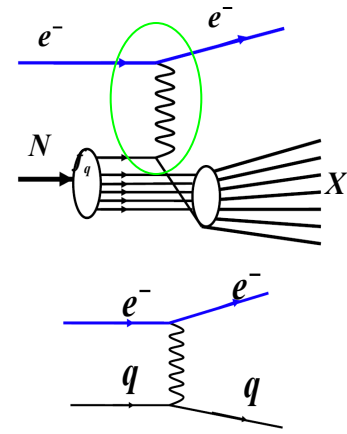
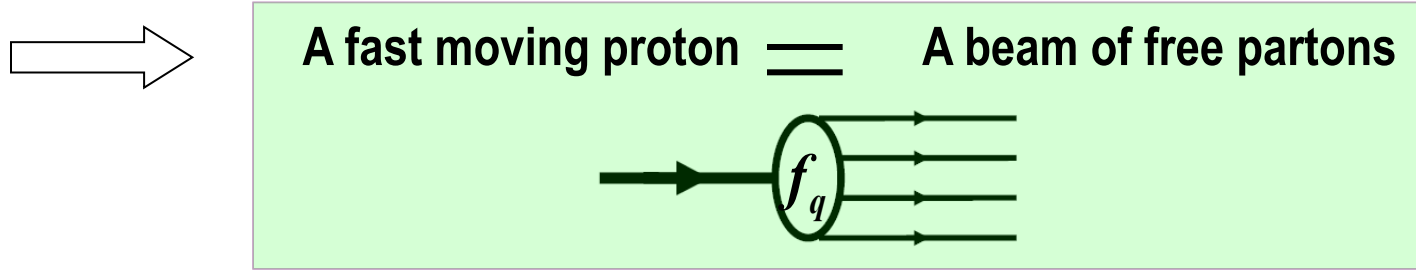
“Original / Intuitive” Parton Model

The model:

Feynman (1969);
Bjorken & Paschos (1969)

Virtual processes such as 

Because of time dilatation, in the **infinite momentum frame**, they exist forever.



→ $|\mathcal{M}(eN \rightarrow eX)|^2 = \sum_q \int dx f_q(x) |\hat{\mathcal{M}}(eq \rightarrow eq)|^2$

scattering amplitude **squared**

$f_q(x)$: parton number density, known as Parton Distribution Function (PDF)
 $x = \frac{k}{p}$: momentum fraction carried by the parton

→ $F_1(x) = \sum e_q^2 f_q(x)$ $g_1(x) = \sum e_q^2 \Delta f_q(x)$ $g_1(x) + g_2(x) = \sum_q e_q^2 \delta f_q(x)$
 $F_2(x) = 2xF_1(x)$

“Original / Intuitive” Parton Model

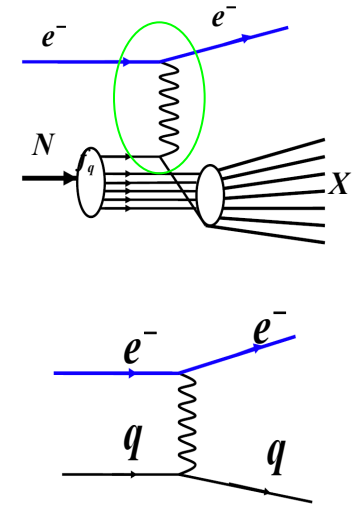
It is just the impulse approximation!

$$W_{\mu\nu}(q, p, S) = \left| \text{Diagram: lepton line with wavy photon line and multiple parton lines} \right|^2 = \text{数密度} \otimes \left| \text{Diagram: wavy photon line with two parton lines} \right|^2$$

“几率”

Impulse Approximation (冲量/脉冲近似):

- (1) during the interaction of lepton with parton, interaction between partons is neglected;
- (2) lepton interacts only with one single parton;
- (3) interaction with different partons adds **incoherently**.



Approximation: What is neglected? Controllable?

Parton distribution function (PDF): A proper (quantum field theoretical) definition?

➡ A quantum field theoretical formulation ?

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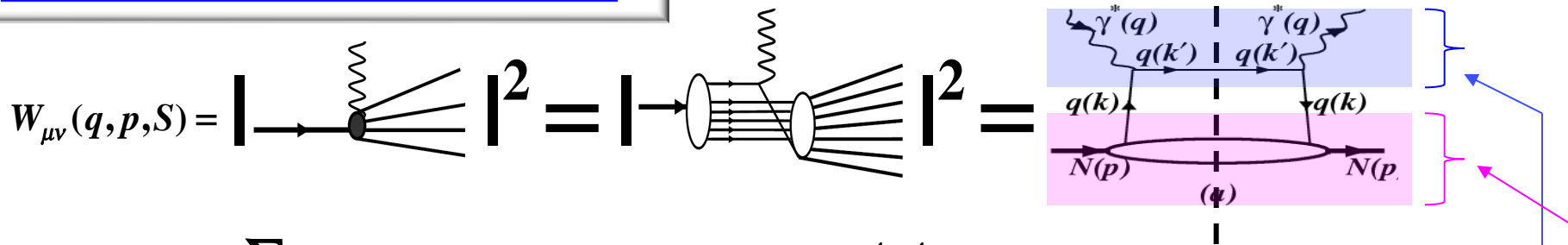
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Parton model without QCD:



$$W_{\mu\nu}(q,p,S) = \sum_X \langle p,S | J_\mu(0) | X \rangle \langle X | J_\nu(0) | p,S \rangle (2\pi)^4 \delta^4(p+q-p_X)$$

$$= \sum_X \int d^4z \langle p,S | J_\mu(0) | X \rangle \langle X | J_\nu(z) | p,S \rangle e^{-iqz}$$

$$= \int \frac{d^4k'}{(2\pi)^4} (2\pi) \delta_+(k'^2) \sum_{X'} \int d^4z e^{-iqz} \langle p,S | \bar{\psi}(0) | X' \rangle \gamma_\mu u(k') \bar{u}(k') \gamma_\nu e^{ik'z} \langle X' | \psi(z) | p,S \rangle$$

$$= \int \frac{d^4k}{(2\pi)^4} \text{Tr} \left[\hat{H}_{\mu\nu}(k,q) \hat{\phi}(k,p,S) \right]$$

$$\left\{ \begin{aligned} |X\rangle &= |X'\rangle |k'\rangle, \\ J_\mu(x) &= \bar{\psi}(x) \gamma_\mu \psi(x), \\ \psi(x) |X'\rangle |k'\rangle &= u(k') e^{-ik'x} |X'\rangle \end{aligned} \right.$$

the calculable hard part $\hat{H}_{\mu\nu}(k,q) = \gamma_\mu (\not{k} + \not{q}) \gamma_\nu (2\pi) \delta_+((k+q)^2)$

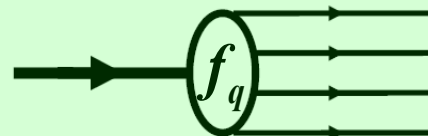
the quark-quark correlator $\hat{\phi}(k,p,S) = \int d^4z e^{ikz} \langle p,S | \bar{\psi}(0) \psi(z) | p,S \rangle$

no local (color) gauge invariance!

Quantum field theoretical formulation of parton model



部分子模型: 一个高速运动的质子 \equiv 一束部分子



$$|X\rangle = |X', k'\rangle, \quad \sum_X = \sum_{X'} \int \frac{d^3 k'}{(2\pi)^3 2E_k'} \quad \int \frac{d^3 k'}{(2\pi)^3 2E_k'} = \int \frac{d^4 k'}{(2\pi)^4} \delta_+(k'^2)$$

$$W_{\mu\nu}(q, p) = \sum_X \langle p | J_\mu(0) | X \rangle \langle X | J_\nu(0) | p \rangle (2\pi)^4 \delta^4(p + q - p_X)$$

$$= \sum_{X'} \int \frac{d^3 k'}{(2\pi)^3 2E_k'} \langle p | \bar{\psi}(0) \gamma_\mu \psi(0) | X', k' \rangle \langle X', k' | \bar{\psi}(0) \gamma_\nu \psi(0) | p \rangle (2\pi)^4 \delta^4(p + q - p_{X'} - k')$$

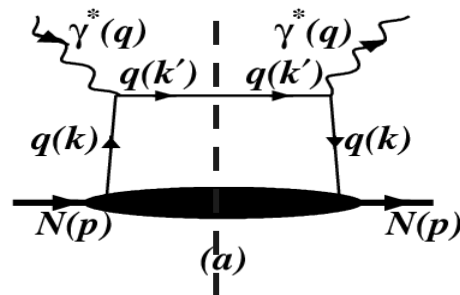
$$= \int d^4 z \sum_{X'} \int \frac{d^3 k'}{(2\pi)^3 2E_k'} e^{i(p+q-p_{X'}-k')z} \langle p | \bar{\psi}(0) \gamma_\mu | X' \rangle k' \langle X' | \gamma_\nu \psi(0) | p \rangle$$

$$= \int d^4 z \frac{d^4 k}{(2\pi)^4} e^{ikz} \langle p | \bar{\psi}(0) \gamma_\mu (\not{k} + \not{q}) \gamma_\nu \psi(z) | p \rangle (2\pi) \delta_+(k + q)^2$$

$$= \int \frac{d^4 k}{(2\pi)^4} \text{Tr} \left[\hat{H}_{\mu\nu}(k, q) \hat{\phi}(k, p, S) \right]$$

$$\hat{H}_{\mu\nu}(k, q) = \gamma_\mu (\not{k} + \not{q}) \gamma_\nu (2\pi) \delta_+(k + q)^2$$

$$\hat{\phi}_{\alpha\beta}(k, p, S) = \int d^4 z e^{ikz} \langle p, S | \bar{\psi}_\beta(0) \psi_\alpha(z) | p, S \rangle$$



$$\left\{ \begin{array}{l} J_\mu(x) = \bar{\psi}(x) \gamma_\mu \psi(x), \\ \psi(x) | X', k' \rangle = u(k') e^{-ik'x} | X' \rangle \\ u(k') \bar{u}(k') = \not{k}' \end{array} \right.$$



Parton model without QCD (continued):

Collinear approximation: $p \approx p^+ \bar{n}, \quad k \approx xp$

$$x = k^+ / p^+$$

$$k^\pm = \frac{1}{\sqrt{2}} (k_0 \pm k_3)$$

$$n = (0, 1, \vec{0}_\perp)$$

$$\bar{n} = (1, 0, \vec{0}_\perp)$$

$$\hat{H}_{\mu\nu}(k, q) \approx \hat{H}_{\mu\nu}(x) \equiv \hat{H}_{\mu\nu}(k = xp, q) = \gamma_\mu \not{n} \gamma_\nu \delta(x - x_B)$$

$$W_{\mu\nu}(q, p) = \int \frac{d^4 k}{(2\pi)^4} \text{Tr} [\hat{H}_{\mu\nu}(k, q) \hat{\phi}(k, p)] \approx \int \frac{d^4 k}{(2\pi)^4} \text{Tr} [\hat{H}_{\mu\nu}(x) \hat{\phi}(k, p)] = \int dx \text{Tr} [\hat{H}_{\mu\nu}(x) \hat{\phi}(x, p)]$$

$$\hat{\phi}(x; p) \equiv \int \frac{d^4 k}{(2\pi)^4} \delta(x - k^+ / p^+) \hat{\phi}(k, p) = \frac{1}{2} p^+ \bar{n} f_1(x) + \dots$$

$$\Rightarrow W_{\mu\nu}(q, p) \approx \left[(-g_{\mu\nu} + \frac{q_\mu q_\nu}{q^2}) + \frac{1}{2xq \cdot p} (q + 2xp)_\mu (q + 2xp)_\nu \right] f_1(x)$$

operator expression of the number density : $f_1(x) = \int \frac{dz^-}{2\pi} e^{ixp^+z^-} \langle p | \bar{\psi}(0) \frac{\gamma^+}{2} \psi(z) | p \rangle$

no local (color) gauge invariance!

Inclusive DIS with “multiple gluon scattering”



To get the gauge invariance, we need to take the “multiple gluon scattering” into account

$$W_{\mu\nu}(q, p, S) = \text{Diagram (a)} + \text{Diagram (b)} + \text{Diagram (c)} + \dots$$

Diagram (a) shows a quark line with momentum \$N(p)\$ entering and exiting, and a photon line with momentum \$q\$ and \$q'\$ interacting with it. Diagram (b) shows a quark line with momentum \$N(p)\$ entering and exiting, and a photon line with momentum \$q\$ and \$q'\$ interacting with it, with a gluon line (momentum \$g\$) connecting the quark line to itself. Diagram (c) shows a quark line with momentum \$N(p)\$ entering and exiting, and a photon line with momentum \$q\$ and \$q'\$ interacting with it, with two gluon lines (momenta \$k_3\$ and \$k_4\$) connecting the quark line to itself.

$$W_{\mu\nu}(q, p, S) = W_{\mu\nu}^{(0)}(q, p, S) + W_{\mu\nu}^{(1)}(q, p, S) + W_{\mu\nu}^{(2)}(q, p, S) + \dots$$

$$W_{\mu\nu}^{(0)}(q, p, S) = \int \frac{d^4 k}{(2\pi)^4} \text{Tr} \left[\hat{H}_{\mu\nu}^{(0)}(k, q) \hat{\phi}^{(0)}(k, p, S) \right]$$

$$W_{\mu\nu}^{(1)}(q, p, S) = \int \frac{d^4 k_1}{(2\pi)^4} \frac{d^4 k_2}{(2\pi)^4} \text{Tr} \left[\hat{H}_{\mu\nu}^{(1)\rho}(k_1, k_2, q) \hat{\phi}_\rho^{(1)}(k_1, k_2, p, S) \right]$$

$$\hat{H}_{\mu\nu}^{(1)\rho} = \hat{H}_{\mu\nu}^{(1,L)\rho} + \hat{H}_{\mu\nu}^{(1,R)\rho}$$

the quark-quark correlator: $\hat{\phi}^{(0)}(k; p, S) = \int d^4 z e^{ikz} \langle p, S | \bar{\psi}(0) \psi(z) | p, S \rangle$

the quark-gluon-quark correlator: $\hat{\phi}^{(1)}(k_1, k_2; p, S) = \int d^4 y d^4 z e^{ik_1 z + ik_2 (y-z)} \langle p, S | \bar{\psi}(0) A_\rho(y) \psi(z) | p, S \rangle$

no (local) gauge invariance!

Inclusive DIS with QCD interaction



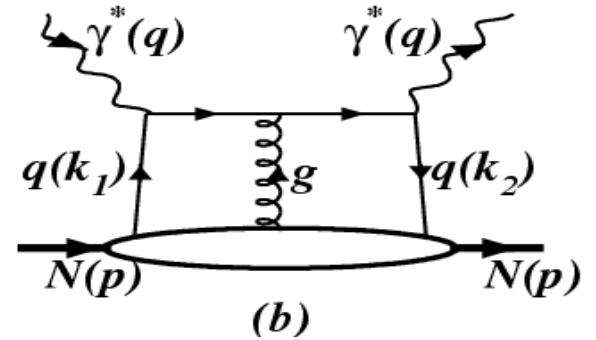
Consider QCD interaction: first order

$$\mathcal{H}_I(y) = \mathcal{H}_I^{QED}(y) + \mathcal{H}_I^{QCD}(y)$$

$$\mathcal{H}_I^{QED}(y) = e\bar{\psi}(y)\gamma_\mu\psi(y)A_{em}^\mu(y)$$

$$\mathcal{H}_I^{QCD}(y) = g\bar{\psi}(y)\gamma^\rho\psi(y)A_\rho(y) + \dots$$

$$J_\mu(x) \rightarrow T \int d^4y \mathcal{H}_I^{QCD}(y)\bar{\psi}(x)\gamma_\mu\psi(x),$$



$$\begin{aligned} W_{\mu\nu}^{(1,R)}(q,p) &= T \int d^4y \sum_{X',k'} \frac{d^3k'}{(2\pi)^3 2E_k} \langle p | \bar{\psi}(0)\gamma_\mu\psi(0) | X',k' \rangle \langle X',k' | \mathcal{H}_I^{QCD}(y)\bar{\psi}(0)\gamma_\nu\psi(0) | p \rangle (2\pi)^4 \delta^4(p+q-p_{X'}-k') \\ &= g \int d^4y \sum_{X',k'} \frac{d^3k'}{(2\pi)^3 2E_k} \langle p | \bar{\psi}(0)\gamma_\mu\psi(0) | X',k' \rangle \langle X',k' | T\bar{\psi}(y)\gamma^\rho\psi(y)A_\rho(y)\bar{\psi}(0)\gamma_\nu\psi(0) | p \rangle (2\pi)^4 \delta^4(p+q-p_{X'}-k') \\ &= g \int d^4y \sum_{X',k'} \frac{d^3k'}{(2\pi)^3 2E_k} \langle p | \bar{\psi}(0)\gamma_\mu\psi(0) | X',k' \rangle \underbrace{\langle X',k' | \bar{\psi}(y)\gamma^\rho\psi(y)A_\rho(y)\bar{\psi}(0)\gamma_\nu\psi(0) | p \rangle}_{\text{Diagram (b)}} (2\pi)^4 \delta^4(p+q-p_{X'}-k') \\ &= g \int d^4y d^4z \frac{d^4k'}{(2\pi)^4} \frac{d^4k}{(2\pi)^4} e^{-i(q-k)z} e^{-i(k-k')y} \langle p | \bar{\psi}(0)\gamma_\mu k' \gamma^\rho S_F(k) A_\rho(y+z) \gamma_\nu \psi(z) | p \rangle \end{aligned}$$

Consider QCD interaction: first order

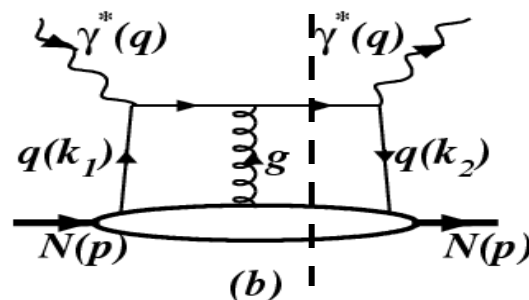
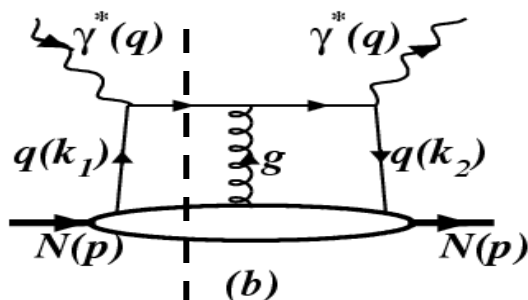
$$\longrightarrow W_{\mu\nu}^{(1,R)}(q, p, S) = \int \frac{d^4 k_1}{(2\pi)^4} \frac{d^4 k_2}{(2\pi)^4} \text{Tr}[\hat{\phi}_\rho^{(1)}(k_1, k_2; p, S) \hat{H}_{\mu\nu}^{(1,R)\rho}(k_1, k_2, q)]$$

$$\hat{H}_{\mu\nu}^{(1,R)\rho}(k, q) = \gamma_\mu \frac{(k_2 + q)\gamma^\rho (k_1 + q)}{(k_1 + q)^2 + i\epsilon} \gamma_\nu (2\pi)\delta_+((k_2 + q)^2)$$

$$\hat{\phi}_\rho^{(1)}(k_1, k_2; p, S) = \int d^4 z d^4 y e^{ik_1 y + ik_2(z-y)} \langle p, S | \bar{\psi}(0) g A_\rho(y) \psi(z) | p, S \rangle$$

Similarly:
$$W_{\mu\nu}^{(1,L)}(q, p, S) = \int \frac{d^4 k_1}{(2\pi)^4} \frac{d^4 k_2}{(2\pi)^4} \text{Tr}[\hat{\phi}_\rho^{(1)}(k_1, k_2; p, S) \hat{H}_{\mu\nu}^{(1,L)\rho}(k_1, k_2, q)]$$

$$\hat{H}_{\mu\nu}^{(1,L)\rho}(k, q) = \gamma_\mu \frac{(k_2 + q)\gamma^\rho (k_1 + q)}{(k_2 + q)^2 - i\epsilon} \gamma_\nu (2\pi)\delta_+((k_1 + q)^2)$$





Collinear approximation:

- Approximating the **hard part** as equal to that at $k = xp$:

$$\hat{H}_{\mu\nu}^{(0)}(k, q) \approx \hat{H}_{\mu\nu}^{(0)}(x)$$

$$\hat{H}_{\mu\nu}^{(0)}(x) \equiv \hat{H}_{\mu\nu}^{(0)}(k = xp, q)$$

$$\hat{H}_{\mu\nu}^{(1)\rho}(k_1, k_2, q) \approx \hat{H}_{\mu\nu}^{(1)\rho}(x_1, x_2)$$

$$\hat{H}_{\mu\nu}^{(1)}(x_1, x_2) \equiv \hat{H}_{\mu\nu}^{(1)}(k_1 = x_1 p, k_2 = x_2 p, q)$$

- Keep only the longitudinal component of the gluon field:

$$A_\rho(y) \approx n \cdot A(y) \frac{p_\rho}{n \cdot p} = A^+(y) \frac{p_\rho}{p^+}$$

$$x = k^+ / p^+$$

$$k^\pm = \frac{1}{\sqrt{2}} (k_0 \pm k_3)$$

$$n = (0, 1, \vec{0}_\perp)$$

$$\bar{n} = (1, 0, \vec{0}_\perp)$$

- Using the Ward identities such as,

$$p_\rho \hat{H}_{\mu\nu}^{(1,L)\rho}(x_1, x_2) = \frac{\hat{H}_{\mu\nu}^{(0)}(x_1)}{x_2 - x_1 - i\epsilon}$$

to replace hard parts for diagrams with multiple gluon scatterings by $\hat{H}_{\mu\nu}^{(0)}(x)$.

- Adding all terms together \implies

$$\Rightarrow W_{\mu\nu}(q, p, S) \approx \tilde{W}_{\mu\nu}^{(0)}(q, p, S) = \int \frac{d^4k}{(2\pi)^4} \text{Tr} \left[\hat{\Phi}^{(0)}(k; p, S) \hat{H}_{\mu\nu}^{(0)}(x) \right] \quad \text{LO \& leading twist}$$

$$\hat{\Phi}^{(0)}(k; p, S) = \int d^4z e^{ikz} \langle p, S | \bar{\psi}(0) \mathcal{L}(0, z) \psi(z) | p, S \rangle$$

The gauge invariant un-integrated quark-quark correlator: contain QCD interaction!

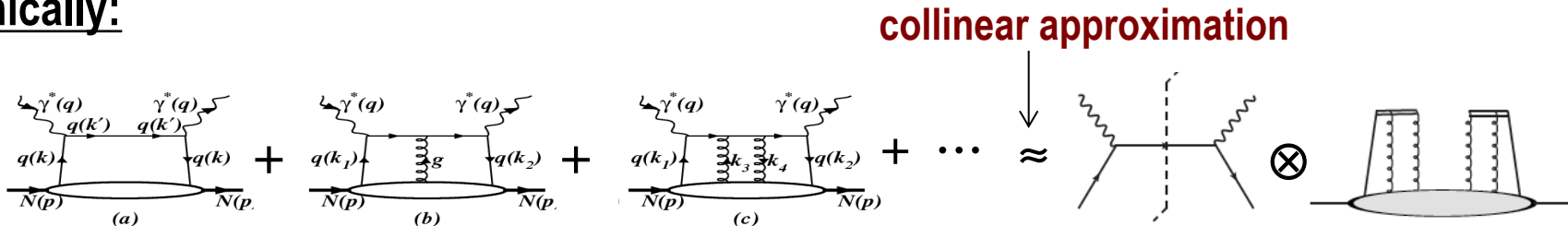
$$\mathcal{L}(0, z) = \mathcal{L}^\dagger(-\infty, 0) \mathcal{L}(-\infty, z),$$

$$\begin{aligned} \mathcal{L}(-\infty, z) &= P e^{-ig \int_{-\infty}^{z^-} dy^- A^+(0, y^-, \vec{0}_\perp)} \\ &= 1 + ig \int_{-\infty}^{z^-} dy^- A^+(0, y^-, \vec{0}_\perp) + \frac{1}{2} (ig)^2 \int_{-\infty}^{z^-} dy^- \int_{-\infty}^{y^-} dy'^- A^+(0, y^-, \vec{0}_\perp) A^+(0, y'^-, \vec{0}_\perp) + \dots \end{aligned}$$

gauge link

Gauge link comes from the multiple gluon scattering.

Graphically:



Collinear expansion:

Ellis, Furmanski, Petronzio (1982,1983); Qiu, Sterman (1990,1991)

★ Expanding the **hard part** at $k = xp$:

$$\hat{H}_{\mu\nu}^{(0)}(k, q) = \hat{H}_{\mu\nu}^{(0)}(x) + \frac{\partial \hat{H}_{\mu\nu}^{(0)}(x)}{\partial k^\rho} \omega_\rho^{\rho'} k_{\rho'} + \dots$$

$$\hat{H}_{\mu\nu}^{(1)\rho}(k_1, k_2, q) = \hat{H}_{\mu\nu}^{(1)\rho}(x_1, x_2) + \frac{\partial \hat{H}_{\mu\nu}^{(1)\rho}(x_1, x_2)}{\partial k_1^\sigma} \omega_\sigma^{\sigma'} k_{1\sigma'} + \dots$$

$$\hat{H}_{\mu\nu}^{(0)}(x) \equiv \hat{H}_{\mu\nu}^{(0)}(k = xp, q)$$

$$\frac{\partial \hat{H}_{\mu\nu}^{(0)}(x)}{\partial k^\rho} \equiv \left. \frac{\partial \hat{H}_{\mu\nu}^{(0)}(k, q)}{\partial k^\rho} \right|_{k=xp}$$

★ Decomposition of the gluon field:

$$A_\rho(y) = n \cdot A(y) \frac{p_\rho}{n \cdot p} + \omega_\rho^{\rho'} A_{\rho'}(y)$$

★ Using the Ward identities such as,

$$\frac{\partial \hat{H}_{\mu\nu}^{(0)}(x)}{\partial k^\rho} = -\hat{H}_{\mu\nu}^{(1)\rho}(x, x), \quad p_\rho \hat{H}_{\mu\nu}^{(1,L)\rho}(x_1, x_2) = \frac{\hat{H}_{\mu\nu}^{(0)}(x_1)}{x_2 - x_1 - i\varepsilon}$$

to replace the derivatives etc.

$$x = k^+ / p^+$$

$$\omega_\rho^{\rho'} \equiv g_\rho^{\rho'} - \bar{n}_\rho n^{\rho'}$$

$$\omega_\rho^{\rho'} k_{\rho'} = (k - xp)_\rho$$

$$k^\pm = \frac{1}{\sqrt{2}} (k_0 \pm k_3)$$

$$n = (0, 1, \vec{0}_\perp)$$

$$\bar{n} = (1, 0, \vec{0}_\perp)$$

★ Adding all terms with the same hard part together \implies

Inclusive DIS: LO pQCD, leading & higher twists



$$W_{\mu\nu}(q, p, S) = \tilde{W}_{\mu\nu}^{(0)}(q, p, S) + \tilde{W}_{\mu\nu}^{(1)}(q, p, S) + \tilde{W}_{\mu\nu}^{(2)}(q, p, S) + \dots$$

$$\tilde{W}_{\mu\nu}^{(0)}(q, p, S) = \int \frac{d^4 k}{(2\pi)^4} \text{Tr} \left[\hat{\Phi}^{(0)}(k, p, S) \hat{H}_{\mu\nu}^{(0)}(x) \right]$$

twist-2, 3 and 4 contributions

$$\hat{\Phi}^{(0)}(k; p, S) = \int d^4 z e^{ikz} \langle p, S | \bar{\psi}(0) \mathcal{L}(0, z) \psi(z) | p, S \rangle$$

gauge invariant quark-quark correlator

$$\tilde{W}_{\mu\nu}^{(1)}(q, p, S) = \int \frac{d^4 k_1}{(2\pi)^4} \frac{d^4 k_2}{(2\pi)^4} \text{Tr} \left[\hat{\Phi}_{\rho'}^{(1)}(k_1, k_2, p, S) \hat{H}_{\mu\nu}^{(1)\rho}(x_1, x_2) \omega_{\rho}^{\rho'} \right]$$

twist-3, 4 and 5 contributions

$$\hat{\Phi}^{(1)}(k_1, k_2; p, S) = \int d^4 y d^4 z e^{ik_1 z + ik_2 (y-z)} \langle p, S | \bar{\psi}(0) \mathcal{L}(0, y) D_{\rho}(y) \mathcal{L}(y, z) \psi(z) | p, S \rangle$$

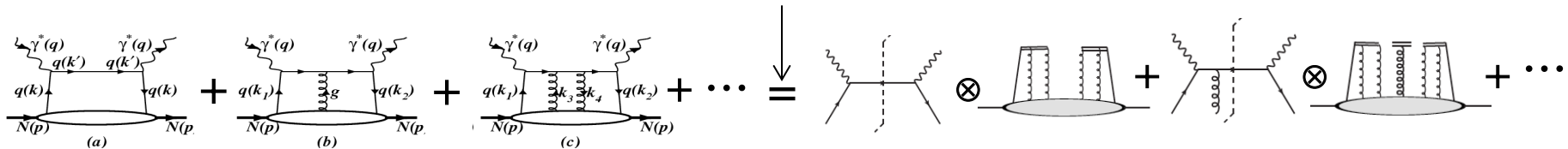
$$D_{\rho}(y) = -i\partial_{\rho} + gA_{\rho}(y)$$

gauge invariant quark-gluon-quark correlator

➡ A consistent framework for inclusive DIS $e^- N \rightarrow e^- X$ including leading & higher twists

Graphically

collinear expansion



Simplified expressions for hadronic tensors

The “collinearly expanded hard parts” take the simple forms such as:

$$\hat{H}_{\mu\nu}^{(0)}(x) = \hat{h}_{\mu\nu}^{(0)} \delta(x - x_B), \quad \hat{h}_{\mu\nu}^{(0)} = \gamma_\mu \not{n} \gamma_\nu$$

$$\hat{H}_{\mu\nu}^{(1,L)\rho}(x_1, x_2) \omega_{\rho'}^{\rho} = \frac{\pi}{2q \cdot p} \hat{h}_{\mu\nu}^{(1)\rho} \omega_{\rho'}^{\rho} \delta(x_1 - x_B), \quad \hat{h}_{\mu\nu}^{(1)\rho} = \gamma_\mu \bar{n} \gamma^\rho \not{n} \gamma_\nu$$

$$\tilde{W}_{\mu\nu}^{(0)}(q, p, S) = \int dx \text{Tr} \left[\hat{\Phi}^{(0)}(x; p, S) h_{\mu\nu}^{(0)} \right] \delta(x - x_B) \quad \text{twist-2, 3 and 4 contributions}$$

$$\hat{\Phi}^{(0)}(x; p, S) \equiv \int \frac{d^4 k}{(2\pi)^4} \delta(x - \frac{k^+}{p^+}) \hat{\Phi}^{(0)}(k; p, S) = \int \frac{p^+ dz^-}{2\pi} e^{ixp^+ z^-} \langle p, S | \bar{\psi}(0) \mathcal{L}(0, z^-) \psi(z^-) | p, S \rangle$$

one-dimensional gauge invariant **quark-quark** correlator

$$\tilde{W}_{\mu\nu}^{(1)}(q, p, S) = \frac{\pi}{2q \cdot p} \text{Re} \int dx \text{Tr} \left[\hat{\phi}_{\rho'}^{(1)}(x; p, S) h_{\mu\nu}^{(1)\rho} \omega_{\rho'}^{\rho} \right] \delta(x - x_B) \quad \text{twist-3, 4 and 5 contributions}$$

$$\hat{\phi}_{\rho}^{(1)}(x; p, S) \equiv \int \frac{d^4 k_1}{(2\pi)^4} \frac{d^4 k_2}{(2\pi)^4} \delta(x - \frac{k_1^+}{p^+}) \hat{\Phi}_{\rho}^{(1)}(k_1, k_2; p, S) = \int \frac{p^+ dz^-}{2\pi} e^{ixp^+ z^-} \langle p, S | \bar{\psi}(0) D_{\rho}(0) \mathcal{L}(0, z^-) \psi(z^-) | p, S \rangle$$

the **involved** one-dimensional gauge invariant **quark-gluon-quark** correlator

➡ Only **ONE**-dimensional imaging of the nucleon is involved in inclusive DIS.

PDFs defined via quark-quark correlator

- Expand the quark-quark correlator in terms of the Γ -matrices:

$$\hat{\Phi}^{(0)}(x;p,S) = \frac{1}{2} \left[\underbrace{\Phi^{(0)}(x;p,S)}_{\text{(scalar)}} + i\gamma_5 \underbrace{\tilde{\Phi}^{(0)}(x;p,S)}_{\text{(pseudo-scalar)}} + \gamma^\alpha \underbrace{\Phi_\alpha^{(0)}(x;p,S)}_{\text{(vector)}} + \gamma_5 \gamma^\alpha \underbrace{\tilde{\Phi}_\alpha^{(0)}(x;p,S)}_{\text{(axial vector)}} + i\gamma_5^\alpha \sigma^{\alpha\beta} \underbrace{\Phi_{\alpha\beta}^{(0)}(x;p,S)}_{\text{(tensor)}} \right]$$

- Make Lorentz decompositions

$$p = p^+ \bar{n} + \frac{M^2}{2p^+} n, \quad S = \lambda \frac{p^+}{M} \bar{n} + S_T - \lambda \frac{M^2}{2p^+} n$$

$$\Phi^{(0)}(x;p,S) = M e(x) \quad \text{3+6+3}$$

$$\tilde{\Phi}^{(0)}(x;p,S) = \lambda M e_L(x) \quad \text{blue: twist-2}$$

$$\Phi_\alpha^{(0)}(x;p,S) = p^+ \bar{n}_\alpha f_1(x) + M \varepsilon_{\perp\alpha\rho} S_T^\rho f_T(x) + \frac{M^2}{p^+} n_\alpha f_3(x) \quad \text{black: twist-3, M/Q suppressed}$$

$$\tilde{\Phi}_\alpha^{(0)}(x;p,S) = \lambda p^+ \bar{n}_\alpha g_{1L}(x) + M S_{T\alpha} g_T(x) + \lambda \frac{M^2}{p^+} n_\alpha g_{3L}(x) \quad \text{brown: twist-4, (M/Q)^2 suppressed}$$

$$\Phi_{\rho\alpha}^{(0)}(x;p,S) = p^+ \bar{n}_{[\rho} S_{T\alpha]} h_{1T}(x) - M \varepsilon_{T\rho\alpha} h_T(x) + \lambda M \bar{n}_{[\rho} n_{\alpha]} h_L(x) + \frac{M^2}{p^+} n_{[\rho} S_{T\alpha]} h_{3T}(x)$$

$$A_{[\alpha} B_{\beta]} \equiv A_\alpha B_\beta - A_\beta B_\alpha$$

$$\varepsilon_{\perp\alpha\beta} \equiv \varepsilon_{\rho\sigma\alpha\beta} \bar{n}^\rho n^\sigma$$

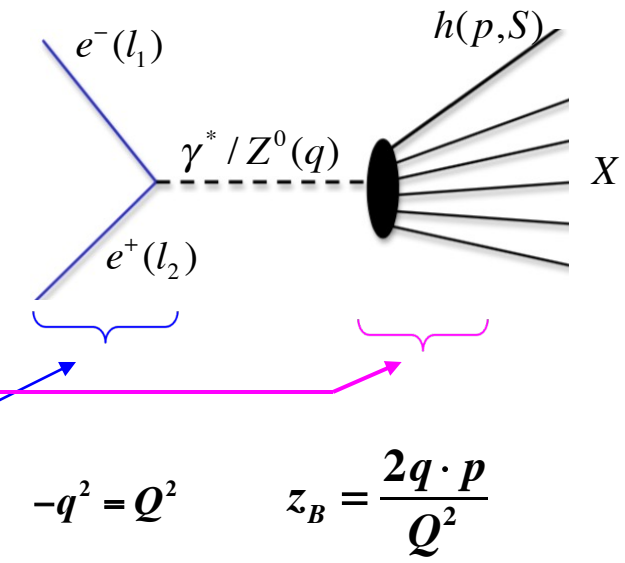
the scalar functions are the one-dimensional PDFs, e.g.,

$$f_1(x) = \frac{1}{p^+} n^\alpha \Phi_\alpha^{(0)}(x;p,S) = \int \frac{dz^-}{2\pi} e^{ixp^+z^-} \langle p,S | \bar{\psi}(0) \not{n}(\mathbf{0},z^-) \frac{\gamma^+}{2} \psi(z^-) | p,S \rangle$$

Inclusive hadron production in e^+e^- -annihilation $e^- + e^+ \rightarrow h + X$

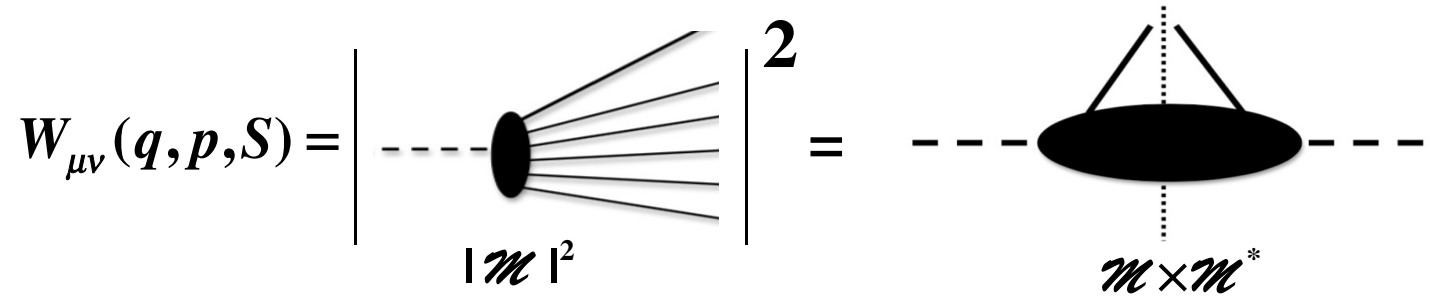
The differential cross section

$$d\sigma = \chi \frac{\alpha_{em}^2}{sQ^4} L^{\mu\nu}(l_1, \lambda_1, l_2, \lambda_2) W_{\mu\nu}(q, p, S) \frac{d^3 p}{2E}$$



The hadronic tensor:

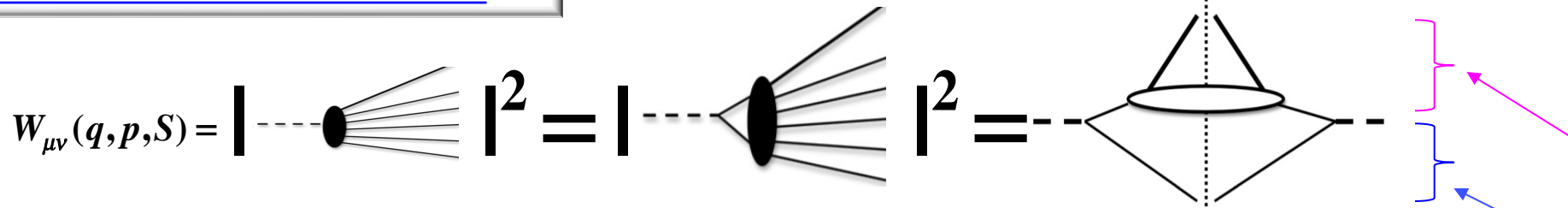
$$W_{\mu\nu}(q, p, S) = \sum_X \langle p, S; X | J_\mu(0) | 0 \rangle \langle 0 | J_\nu(0) | p, S; X \rangle (2\pi)^4 \delta^4(q - p - p_X)$$



Quantum field theoretical formulation $e^- + e^+ \rightarrow h + X$



Parton model without QCD:



$$W_{\mu\nu}(q, p, S) = \sum_X \langle p, S; X | J_\mu(0) | 0 \rangle \langle 0 | J_\nu(0) | p, S; X \rangle (2\pi)^4 \delta^4(q - p - p_X)$$

$$= \sum_X \int d^4z \langle p, S; X | J_\mu(0) | X \rangle \langle 0 | J_\nu(z) | p, S; X \rangle e^{-iqz}$$

$$= \int \frac{d^4k'}{(2\pi)^4} (2\pi) \delta_+(k'^2) \sum_{X'} \int d^4z e^{-iqz} \langle p, S; X' | \bar{\psi}(0) | 0 \rangle \Gamma_\mu \nu(k') \bar{v}(k') \Gamma_\nu \langle 0 | \psi(z) | p, S; X' \rangle e^{-ik'z}$$

$$= \int \frac{d^4k}{(2\pi)^4} \text{Tr} \left[\hat{H}_{\mu\nu}(k, q) \hat{\Pi}(k, p, S) \right]$$

$$\left\{ \begin{array}{l} J_\mu(x) = \bar{\psi}(x) \Gamma_\mu \psi(x), \\ |X\rangle = |X'\rangle |k'\rangle, \\ \bar{\psi}(x) |X'\rangle |k'\rangle = \bar{v}(k') e^{ik'x} |X'\rangle \end{array} \right.$$

$$\Gamma_\mu = \begin{cases} \gamma_\mu \\ \gamma_\mu (c_V - c_A \gamma_5) \end{cases}$$

the calculable hard part $\hat{H}_{\mu\nu}(k, q) = \Gamma_\mu(q - k) \Gamma_\nu (2\pi) \delta_+((q - k)^2)$

the quark-quark correlator $\hat{\Pi}(k; p, S) = \sum_X \int d^4z e^{-ikz} \langle 0 | \psi(z) | p, S; X \rangle \langle p, S; X | \bar{\psi}(0) | 0 \rangle$

no local (color) gauge invariance!

Inclusive e^+e^- -annihilation with “multiple gluon scattering”



To get the gauge invariance, we need to take the “multiple gluon scattering” into account

$$W_{\mu\nu}(q, p, S) = \text{---} \text{---} \text{---} + \text{---} \text{---} \text{---} + \text{---} \text{---} \text{---} + \dots$$

$$W_{\mu\nu}(q, p, S) = W_{\mu\nu}^{(0)}(q, p, S) + W_{\mu\nu}^{(1,L)}(q, p, S) + W_{\mu\nu}^{(1,R)}(q, p, S) + \dots$$

$$W_{\mu\nu}^{(0)}(q, p, S) = \int \frac{d^4k}{(2\pi)^4} \text{Tr} [\hat{H}_{\mu\nu}^{(0)}(k, q) \Pi^{(0)}(k, p, S)]$$

$$W_{\mu\nu}^{(1,L)}(q, p, S) = \int \frac{d^4k_1}{(2\pi)^4} \frac{d^4k_2}{(2\pi)^4} \text{Tr} [\hat{H}_{\mu\nu}^{(1,L)\rho}(k_1, k_2, q) \Pi_{\rho}^{(1,L)}(k_1, k_2, p, S)]$$

the quark-quark correlator: $\hat{\Pi}^{(0)}(k; p, S) = \sum_X \int d^4z e^{-ikz} \langle 0 | \psi(z) | hX \rangle \langle hX | \bar{\psi}(0) | 0 \rangle$

the quark-gluon-quark correlator:

$$\hat{\Pi}_{\rho}^{(1,L)}(k_1, k_2; p, S) = \sum_X g \int d^4\xi d^4\eta e^{-ik_1\xi} e^{-i(k_2-k_1)\eta} \langle 0 | A_{\rho}(\eta) \psi(0) | hX \rangle \langle hX | \bar{\psi}(0) | 0 \rangle$$

no (local) gauge invariance!

Collinear expansion:

S.Y. Wei, Y.K. Song and ZTL, PRD89, 014024 (2014).

✪ Expanding the **hard part** at $k = p/z$:

$$\hat{H}_{\mu\nu}^{(0)}(k, q) = \hat{H}_{\mu\nu}^{(0)}(z) + \frac{\partial \hat{H}_{\mu\nu}^{(0)}(z)}{\partial k^\rho} \omega_\rho^{\rho'} k_{\rho'} + \dots$$

$$\hat{H}_{\mu\nu}^{(1,L)\rho}(k_1, k_2, q) = \hat{H}_{\mu\nu}^{(1,L)\rho}(z_1, z_2) + \frac{\partial \hat{H}_{\mu\nu}^{(1)\rho}(z_1, z_2)}{\partial k_1^\sigma} \omega_\sigma^{\sigma'} k_{1\sigma'} + \dots$$

$$\hat{H}_{\mu\nu}^{(0)}(z) \equiv \hat{H}_{\mu\nu}^{(0)}(k = p/z, q)$$

$$\frac{\partial \hat{H}_{\mu\nu}^{(0)}(z)}{\partial k^\rho} \equiv \left. \frac{\partial \hat{H}_{\mu\nu}^{(0)}(k, q)}{\partial k^\rho} \right|_{k=p/z}$$

$$z = p^+ / k^+$$

✪ Decomposition of the gluon field:

$$A_\rho(y) = n \cdot A(y) \frac{p_\rho}{n \cdot p} + \omega_\rho^{\rho'} A_{\rho'}(y)$$

✪ Using the Ward identities such as,

$$p_\rho \hat{H}_{\mu\nu}^{(1,L)\rho}(z_1, z_2) = -\frac{z_1 z_2}{z_2 - z_1 - i\epsilon} \hat{H}_{\mu\nu}^{(0)}(z_1) \quad p_\rho \hat{H}_{\mu\nu}^{(1,R)\rho}(z_1, z_2) = -\frac{z_1 z_2}{z_2 - z_1 + i\epsilon} \hat{H}_{\mu\nu}^{(0)}(z_2)$$

to replace the derivatives etc.

✪ Adding all terms with the same hard part together \implies

Inclusive e^+e^- : LO pQCD, leading & higher twists



$$W_{\mu\nu}(q,p,S) = \tilde{W}_{\mu\nu}^{(0)}(q,p,S) + \tilde{W}_{\mu\nu}^{(1,L)}(q,p,S) + \tilde{W}_{\mu\nu}^{(1,R)}(q,p,S) + \dots$$

$$\tilde{W}_{\mu\nu}^{(0)}(q,p,S) = \int \frac{d^4k}{(2\pi)^4} \text{Tr} \left[\hat{\Xi}^{(0)}(k,p,S) \hat{H}_{\mu\nu}^{(0)}(z) \right]$$

twist-2, 3 and 4 contributions

$$\hat{\Xi}^{(0)}(k;p,S) = \sum_X \int d^4\xi e^{ik\xi} \langle hX | \bar{\psi}(0) \mathcal{L}(0,\infty) | 0 \rangle \langle 0 | \mathcal{L}^\dagger(\xi,\infty) \psi(\xi) | hX \rangle$$

gauge invariant quark-quark correlator

twist-3, 4 and 5 contributions

$$\tilde{W}_{\mu\nu}^{(1,L)}(q,p,S) = \int \frac{d^4k_1}{(2\pi)^4} \frac{d^4k_2}{(2\pi)^4} \text{Tr} \left[\hat{\Xi}_\rho^{(1,L)}(k_1k_2;p,S) \omega_{\rho'} \hat{H}_{\mu\nu}^{(1,L)\rho'}(z_1,z_2) \right]$$

$$\hat{\Xi}_\rho^{(1,L)}(k_1,k_2;p,S) = \sum_X \int d^4\xi d^4\eta e^{-ik_1\xi} e^{-i(k_2-k_1)\eta} \langle 0 | \mathcal{L}^\dagger(\eta,\infty) D_\rho(\eta) \mathcal{L}^\dagger(0,\eta) \psi(0) | hX \rangle \langle hX | \bar{\psi}(\xi) \mathcal{L}(\xi,\infty) | 0 \rangle$$

$$D_\rho(\eta) = -i\partial_\rho + gA_\rho(\eta)$$

gauge invariant quark-gluon-quark correlator

➡ A consistent framework for $e^+e^- \rightarrow hX$ including leading & higher twists



Simplified expressions for hadronic tensors

The “collinearly expanded hard parts” take the simple forms such as:

$$\hat{H}_{\mu\nu}^{(0)}(z) = z_B^2 \hat{h}_{\mu\nu}^{(0)} \delta(z - z_B), \quad \hat{h}_{\mu\nu}^{(0)} = \Gamma_\mu \not{n} \Gamma_\nu / p^+$$

$$\hat{H}_{\mu\nu}^{(1,L)\rho}(z_1, z_2) \omega_\rho^{\rho'} = -\frac{\pi}{2q \cdot p} z_B^2 \hat{h}_{\mu\nu}^{(1)\rho} \omega_\rho^{\rho'} \delta(z_1 - z_B), \quad \hat{h}_{\mu\nu}^{(1)\rho} = \Gamma_\mu \not{n} \gamma^\rho \bar{n} \Gamma_\nu$$

$$\tilde{W}_{\mu\nu}^{(0)}(q, p, S) = \frac{1}{2} \int dz \text{Tr} \left[\hat{\Xi}^{(0)}(z; p, S) \hat{h}_{\mu\nu}^{(0)} \right] \delta(z - z_B) \quad \text{twist-2, 3 and 4 contributions}$$

$$\hat{\Xi}^{(0)}(z; p, S) \equiv \int \frac{d^4 k}{(2\pi)^4} \delta(z - \frac{p^+}{k^+}) \hat{\Xi}^{(0)}(k; p, S) = \sum_X \int \frac{p^+ d\xi^-}{2\pi} e^{-ip^+ \xi^- / z} \langle 0 | \mathcal{L}^\dagger(\mathbf{0}, \infty) \psi(0) | hX \rangle \langle hX | \bar{\psi}(\xi^-) \mathcal{L}(\xi^-, \infty) | 0 \rangle$$

one-dimensional gauge invariant **quark-quark** correlator

$$\tilde{W}_{\mu\nu}^{(1,L)}(q, p, S) = -\frac{\pi}{4q \cdot p} \text{Re} \int dz \text{Tr} \left[\hat{\Xi}_\rho^{(1)}(z; p, S) h_{\mu\nu}^{(1)\rho} \omega_\rho^{\rho'} \right] \delta(z - z_B) \quad \text{twist-3, 4 and 5 contributions}$$

$$\hat{\Xi}_\rho^{(1)}(z; p, S) \equiv \int \frac{d^4 k_1}{(2\pi)^4} \frac{d^4 k_2}{(2\pi)^4} \delta(z - \frac{p^+}{k_1^+}) \hat{\Xi}_\rho^{(1)}(k_1, k_2; p, S)$$

$$= \int \frac{p^+ d\xi^-}{2\pi} e^{-ip^+ \xi^- / z} \langle 0 | \mathcal{L}^\dagger(\mathbf{0}, \infty) [D_\rho(0) \psi(0)] | hX \rangle \langle hX | \bar{\psi}(\xi^-) \mathcal{L}(\xi^-, \infty) \psi(\xi^-) | 0 \rangle$$

the **involved** one-dimensional gauge invariant **quark-gluon-quark** correlator

➡ Only one-dimensional fragmentation functions are involved in inclusive e^+e^- annihilations



Description of polarization of particles with **different spins**

Spin 1/2 hadrons:

The spin density matrix is 2x2: $\rho = \begin{pmatrix} \rho_{++} & \rho_{+-} \\ \rho_{-+} & \rho_{--} \end{pmatrix} = \frac{1}{2}(1 + \vec{S} \cdot \vec{\sigma})$

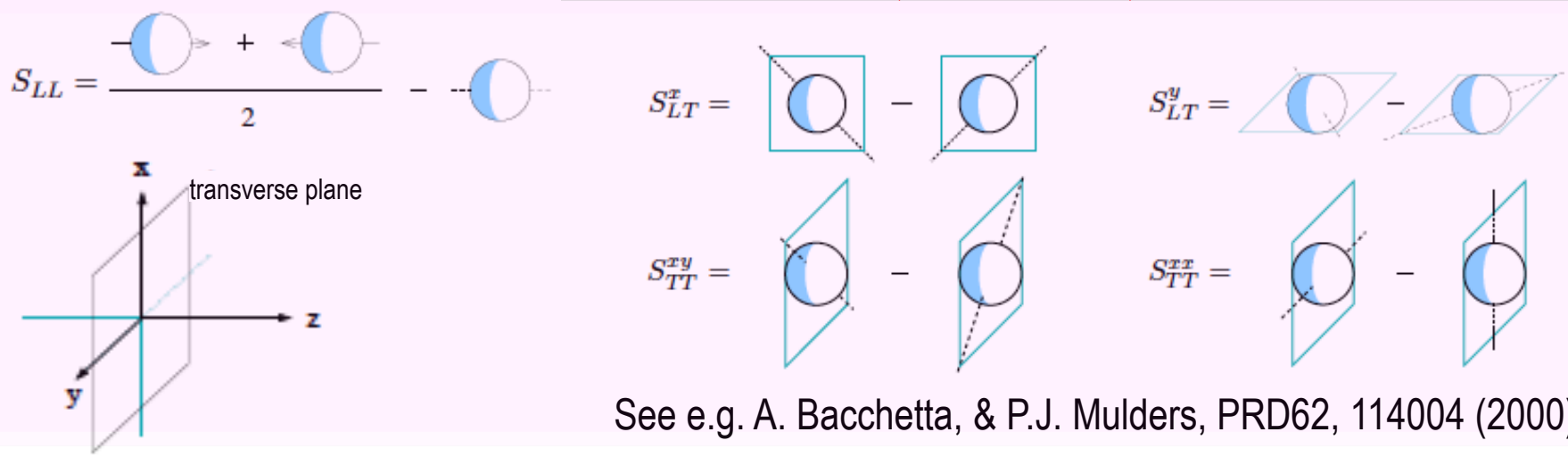
Vector polarization: $S^\mu = (0, \vec{S}_T, \lambda)$

Spin 1 hadrons:

The spin density matrix is 3x3: $\rho = \begin{pmatrix} \rho_{11} & \rho_{10} & \rho_{1-1} \\ \rho_{01} & \rho_{00} & \rho_{0-1} \\ \rho_{-11} & \rho_{-10} & \rho_{-1-1} \end{pmatrix} = \frac{1}{3}(1 + \frac{3}{2}\vec{S} \cdot \vec{\Sigma} + 3T^{ij}\Sigma^{ij})$

Vector polarization: $S^\mu = (0, \vec{S}_T, \lambda)$

Tensor polarization: $S_{LL}, S_{LT}^\mu = (0, S_{LT}^x, S_{LT}^y, 0), S_{TT}^{\mu\nu} = \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & S_{TT}^{xx} & S_{TT}^{xy} & 0 \\ 0 & S_{TT}^{xy} & -S_{TT}^{xx} & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix}$ } 8 independent components.



See e.g. A. Bacchetta, & P.J. Mulders, PRD62, 114004 (2000).

One dimensional FFs defined via quark-quark correlator



- Expand the quark-quark correlator in terms of the Γ -matrices:

$$\hat{\Xi}^{(0)}(z; p, \mathbf{S}) = \frac{1}{2} \left[\underset{\text{(scalar)}}{\Xi^{(0)}(z; p, \mathbf{S})} + i\gamma_5 \underset{\text{(pseudo-scalar)}}{\tilde{\Xi}^{(0)}(z; p, \mathbf{S})} + \gamma^\alpha \underset{\text{(vector)}}{\Xi_\alpha^{(0)}(z; p, \mathbf{S})} + \gamma_5 \gamma^\alpha \tilde{\Xi}_\alpha^{(0)}(z; p, \mathbf{S}) + i\gamma_5^\alpha \sigma^{\alpha\beta} \underset{\text{(tensor)}}{\Xi_{\alpha\beta}^{(0)}(z; p, \mathbf{S})} \right]$$

- Make Lorentz decompositions

5+10+5

blue: twist-2

black: twist-3, M/Q suppressed

brown: twist-4, (M/Q)² suppressed

$$z\Xi^{(0)}(z; p, \mathbf{S}) = ME(z) + MS_{LL}E_{LL}(z)$$

$$z\tilde{\Xi}^{(0)}(z; p, \mathbf{S}) = \lambda ME_L(z)$$

$$z\Xi_\alpha^{(0)}(z; p, \mathbf{S}) = p^+ \bar{n}_\alpha D_1(z) + p^+ \bar{n}_\alpha S_{LL} D_{1LL}(z) - M\tilde{S}_{T\alpha} D_T(z) + MS_{LT\alpha} D_{LT}(z) + \frac{M^2}{p^+} n_\alpha D_3(z) + \frac{M^2}{p^+} n_\alpha S_{LL} D_{3LL}(z)$$

$$z\tilde{\Xi}_\alpha^{(0)}(z; p, \mathbf{S}) = \lambda p^+ \bar{n}_\alpha G_{1L}(z) - MS_{T\alpha} G_T(z) - M\tilde{S}_{LT\alpha} G_{LT}(z) + \lambda \frac{M^2}{p^+} n_\alpha G_{3L}(z)$$

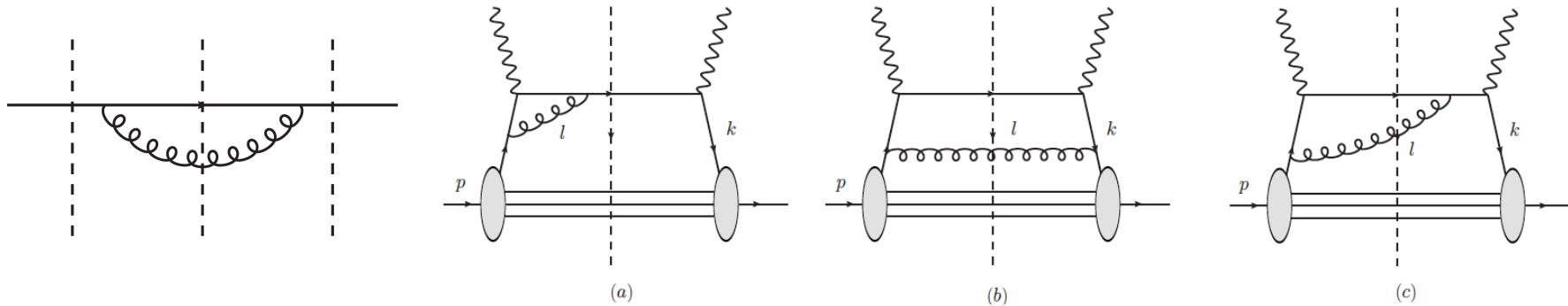
$$z\Xi_{\rho\alpha}^{(0)}(z; p, \mathbf{S}) = p^+ \bar{n}_{[\rho} S_{T\alpha]} H_{1T}(z) - p^+ \bar{n}_{[\rho} \tilde{S}_{LT\alpha]} H_{1LT}(z) - M\varepsilon_{T\rho\alpha} H_T(z) + \lambda M \bar{n}_{[\rho} n_{\alpha]} H_L(z) + MS_{LL} \varepsilon_{T\rho\alpha} H_{LL}(z) + \frac{M^2}{p^+} n_{[\rho} S_{T\alpha]} H_{3T}(z) - \frac{M^2}{p^+} n_{[\rho} \tilde{S}_{LT\alpha]} H_{3LT}(z)$$

$$A_{[\alpha} B_{\beta]} \equiv A_\alpha B_\beta - A_\beta B_\alpha$$

$$\varepsilon_{\perp\alpha\beta} \equiv \varepsilon_{\rho\sigma\alpha\beta} \bar{n}^\rho n^\sigma \quad \tilde{A}_{T\alpha} \equiv \varepsilon_{\perp\alpha\beta} A_T^\beta$$

Factorization theorem and QCD evolution of PDFs

“Loop diagram contributions”



factorization & resummation

Higher order pQCD contributions;
Evolution of PDFs.

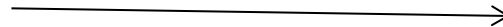
Not covered in these lectures.

Inclusive DIS and parton model: **brief summary**

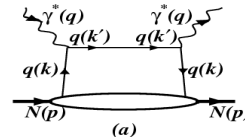
List of to do's --- the recipe



kinematics
(symmetries,)



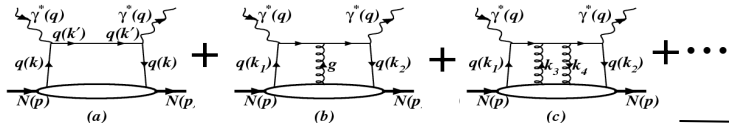
general form of
the cross section



parton model
without QCD
interaction



leading order pQCD,
leading twist,
no evolution, no gauge invariance



parton model +
“multiple gluon scattering”



leading order pQCD,
leading & higher twist,
no evolution, but gauge invariance

parton model +
“multiple gluon scattering” +
“loop diagram contributions”



leading & higher order pQCD,
leading & higher twist,
evolution & gauge invariance

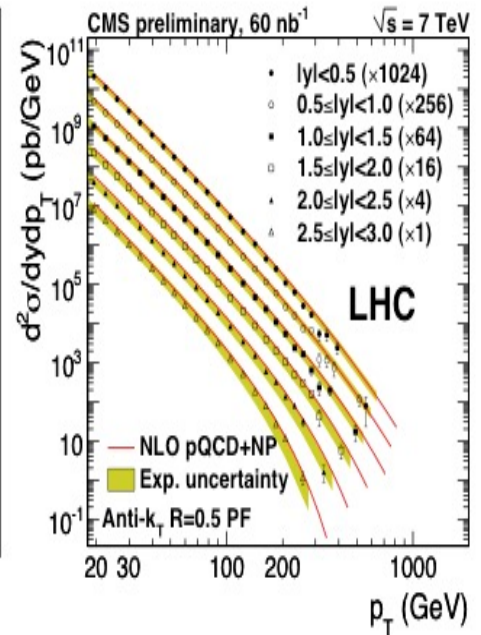
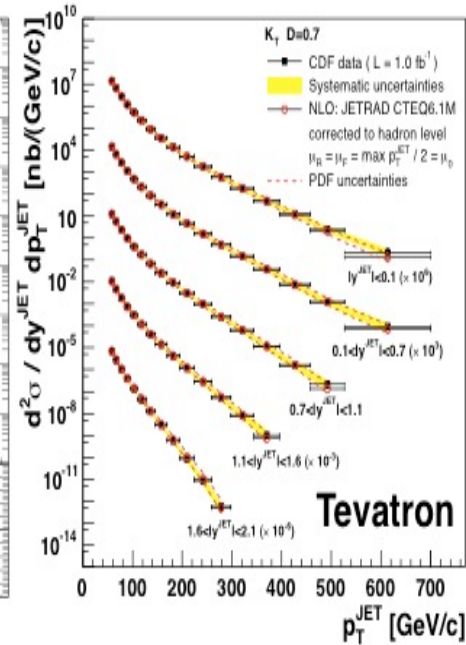
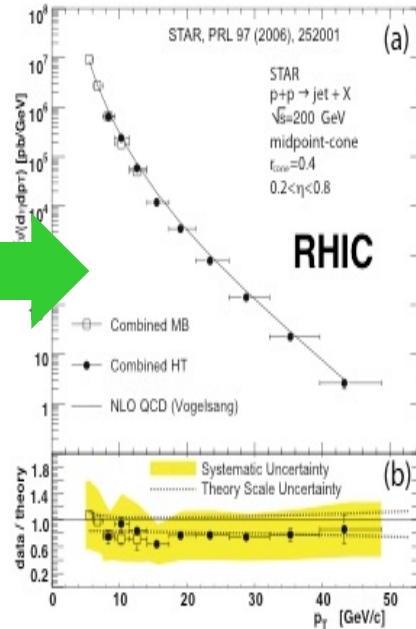
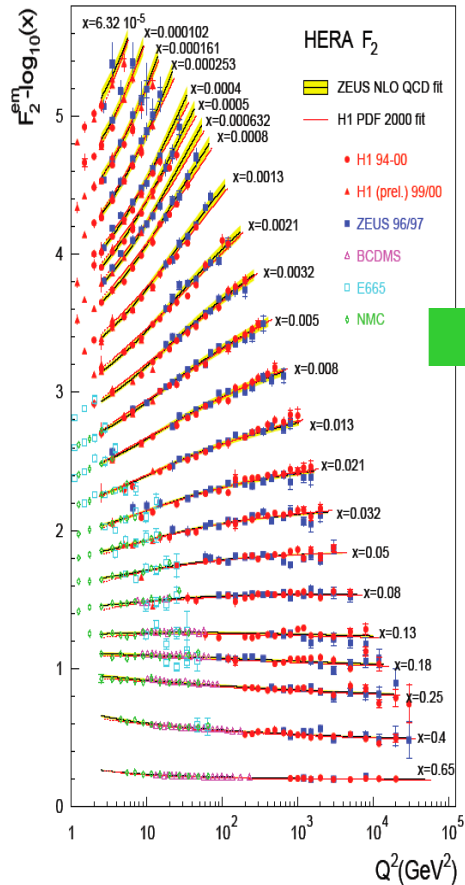
experiments



parameterizations (PDFLib)



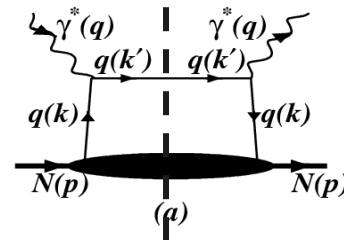
Very successful!



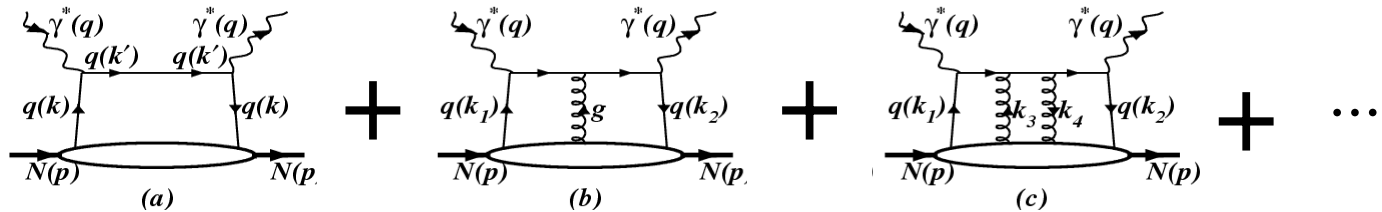
parameterize at 0.3 TeV e-p (HERA), predict p-p and p-p-bar at 0.2, 1.96, and 7 TeV.

J.W. Qiu, lectures at Weihai High Energy Physics Summer School(WHEPS2015), 2015, Weihai, China.

- (Gauge invariant) PDF is not merely



but



i.e., it always contains “intrinsic motion” and “multiple gluon scattering”.

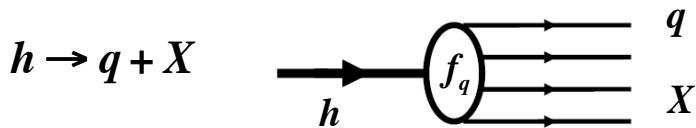
- “Multiple gluon scattering” gives rise to the **gauge link**.
- **Collinear expansion** is the necessary procedure to obtain the correct formulism in terms of gauge invariant parton distribution functions (PDFs).

Fragmentation Function v.s. Parton Distribution Function



$$\text{TMDs} = \text{TMD PDFs} + \text{TMD FFs}$$

Parton distribution functions (PDFs):



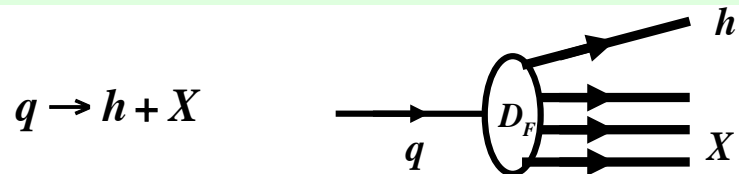
a hadron \longrightarrow a beam of partons
 number density of parton in the beam

$$\hat{\Phi}(k; p, S) = \sum_X \int d^4 z e^{ikz} \times \langle h | \bar{\psi}(0) | X \rangle \langle X | \mathcal{L}(0, z) \psi(z) | h \rangle$$

“conjugate” to each other

Deeply inelastic scattering (DIS)

Fragmentation functions (FFs):



a quark \longrightarrow a jet of hadrons
 number density of hadron in the jet

$$\hat{\Xi}(k_F; p, S) = \sum_X \int d^4 \xi e^{ik_F \xi} \times \langle 0 | \mathcal{L}(0, \xi) \psi(\xi) | hX \rangle \langle hX | \bar{\psi}(0) | 0 \rangle$$

Hadron production in e^+e^- -annihilation

\longrightarrow FFs and PDFs should be studied simultaneously!

I. Introduction: Inclusive DIS and parton model without QCD interaction

II. Gauge invariant parton distribution functions (PDFs) and collinear expansion for inclusive DIS

- Leading order pQCD & leading twist (leading power)
- Leading order pQCD & higher twists (higher powers/power suppressed)

III. TMDs (transverse momentum dependent PDFs and FFs) defined via quark-quark correlator

IV. Accessing TMDs via semi-inclusive high energy reactions

- Kinematical analysis
- Leading order pQCD & leading twist (leading power)
- **Collinear expansion** & higher twists (higher powers/power suppressed)

V. Summary and outlook

TMD PDFs defined via quark-quark correlator



The quark-quark correlator $\hat{\Phi}^{(0)}(k; p, S) = \int d^4z e^{ikz} \langle p, S | \bar{\psi}(0) \mathcal{L}(0, z) \psi(z) | p, S \rangle$

integrate over k^- : $\hat{\Phi}^{(0)}(x, k_\perp; p, S) = \int dz^- d^2z_\perp e^{i(xp^+z^- - \vec{k}_\perp \cdot \vec{z}_\perp)} \langle p, S | \bar{\psi}(0) \mathcal{L}(0, z) \psi(z) | p, S \rangle$

Expansion in terms of the Γ -matrices

$$\hat{\Phi}^{(0)}(x, k_\perp; p, S) = \frac{1}{2} \left[\begin{array}{ll} \Phi^{(0)}(x, k_\perp; p, S) & \text{scalar} \\ + i\gamma_5 \tilde{\Phi}^{(0)}(x, k_\perp; p, S) & \text{pseudo-scalar} \\ + \lambda^\alpha \Phi_\alpha^{(0)}(x, k_\perp; p, S) & \text{vector} \\ + \gamma_5 \lambda^\alpha \tilde{\Phi}_\alpha^{(0)}(x, k_\perp; p, S) & \text{axial vector} \\ + i\gamma_5 \sigma^{\alpha\beta} \Phi_{\alpha\beta}^{(0)}(x, k_\perp; p, S) & \text{tensor} \end{array} \right]$$

e.g.: $\Phi_\alpha^{(0)}(x, k_\perp; p, S) = \frac{1}{2} \text{Tr} \left[\gamma_\alpha \hat{\Phi}^{(0)}(x, k_\perp; p, S) \right]$

$$= \int d^4z e^{ikz} \langle p, S | \bar{\psi}(0) \mathcal{L}(0, z) \frac{\gamma_\alpha}{2} \psi(z) | p, S \rangle$$

TMD PDFs defined via quark-quark correlator



The Lorentz decomposition

totally 8(twist 2)+16(twist 3)+8(twist 4) components

$$\begin{aligned}
 \Phi_S^{(0)}(x, k_\perp; p, S) &= M \left[e(x, k_\perp) + \frac{\varepsilon_{\perp\rho\sigma} k_\perp^\rho S_T^\sigma}{M} e_T^\perp(x, k_\perp) \right] \quad \leftarrow \text{twist-3} \\
 \Phi_\alpha^{(0)}(x, k_\perp; p, S) &= p^+ \bar{n}_\alpha \left[f_1(x, k_\perp) + \frac{\varepsilon_{\perp\rho\sigma} k_\perp^\rho S_T^\sigma}{M} f_{1T}^\perp(x, k_\perp) \right] \quad \leftarrow \text{twist-2} \\
 &+ k_{\perp\alpha} f^\perp(x, k_\perp) + M \varepsilon_{\perp\alpha\sigma} S_T^\sigma f_T(x, k_\perp) + \varepsilon_{\perp\alpha\rho} k_\perp^\rho \left[\lambda f_L^\perp(x, k_\perp) + \frac{k_\perp \cdot S_T}{M} f_T^\perp(x, k_\perp) \right] \\
 &+ \frac{M^2}{p^+} n_\alpha \left[f_3(x, k_\perp) + \frac{\varepsilon_{\perp\rho\sigma} k_\perp^\rho S_T^\sigma}{M} f_{3T}^\perp(x, k_\perp) \right] \quad \leftarrow \text{twist-4}
 \end{aligned}$$

$$p = p^+ \bar{n} + \frac{M^2}{2p^+} n, \quad S = \lambda \frac{p^+}{M} \bar{n} + S_T - \lambda \frac{M^2}{2p^+} n$$

See e.g., K. Goeke, A. Metz, M. Schlegel, PLB 618, 90 (2005);

P. J. Mulders, lectures in 17th Taiwan nuclear physics summer school, August, 2014.

TMD PDFs defined via quark-quark correlator



The Lorentz decomposition

totally 8(twist 2)+16(twist 3)+8(twist 4) components

$$\tilde{\Phi}^{(0)}(x, k_{\perp}; p, S) = M \left[\lambda e_L(x, k_{\perp}) + \frac{k_{\perp} \cdot S_T}{M} e_T(x, k_{\perp}) \right]$$

twist-3

$$\tilde{\Phi}_{\alpha}^{(0)}(x, k_{\perp}; p, S) = p^+ \bar{n}_{\alpha} \left[\lambda g_{1L}(x, k_{\perp}) + \frac{k_{\perp} \cdot S_T}{M} g_{1T}^{\perp}(x, k_{\perp}) \right]$$

twist-2

$$- M S_{T\alpha} g_T(x, k_{\perp}) - k_{\perp\alpha} \left[\lambda g_L^{\perp}(x, k_{\perp}) + \frac{k_{\perp} \cdot S_T}{M} g_T^{\perp}(x, k_{\perp}) \right] + \varepsilon_{\perp\alpha\beta} k_{\perp}^{\beta} g^{\perp}(x, k_{\perp})$$

$$+ \frac{M^2}{p^+} n_{\alpha} \left[\lambda g_{3L}(x, k_{\perp}) + \frac{k_{\perp} \cdot S_T}{M} g_{3T}^{\perp}(x, k_{\perp}) \right]$$

twist-4

$$\Phi_{\rho\alpha}^{(0)}(x, k_{\perp}; p, S) = p^+ \bar{n}_{[\rho} S_{T\alpha]} h_{1T}(x, k_{\perp}) + \frac{p^+ \bar{n}_{[\rho} k_{\perp\alpha]}}{M} \left[\lambda h_{1L}^{\perp}(x, k_{\perp}) + \frac{k_{\perp} \cdot S_T}{M} h_{1T}^{\perp}(x, k_{\perp}) \right] + \frac{p^+ \bar{n}_{[\rho} \varepsilon_{\perp\alpha]\beta} k_{\perp}^{\beta}}{M} h_1^{\perp}(x, k_{\perp})$$

$$+ S_{T[\rho} k_{\perp\alpha]} h_T^{\perp}(x, k_{\perp}) + M \varepsilon_{\perp\rho\alpha} h(x, k_{\perp}) - \bar{n}_{[\rho} n_{\alpha]} \left[M \lambda h_L(x, k_{\perp}) - (k_{\perp} \cdot S_T) h_T^{\perp}(x, k_{\perp}) \right]$$

$$+ \frac{M^2}{p^+} \left\{ n_{[\rho} S_{T\alpha]} h_{3T}(x, k_{\perp}) + \frac{n_{[\rho} k_{\perp\alpha]}}{M} \left[\lambda h_{3L}^{\perp}(x, k_{\perp}) + \frac{k_{\perp} \cdot S_T}{M} h_{3T}^{\perp}(x, k_{\perp}) \right] + \frac{n_{[\rho} \varepsilon_{\perp\alpha]\beta} k_{\perp}^{\beta}}{M} h_3^{\perp}(x, k_{\perp}) \right\}$$

Twist-2 TMD PDFs defined via **quark-quark correlator**



Leading twist (twist 2)

f, g, h : quark un-, longitudinally, transversely polarized

quark	polarization nucleon	pictorially	TMD PDFs (8)	if no gauge link	integrated over k_{\perp}	name
U	U		$f_1(x, k_{\perp})$		$q(x)$	number density
	T		$f_{1T}^{\perp}(x, k_{\perp})$	$\mathbf{0}$	\times	Sivers function
L	L		$g_{1L}(x, k_{\perp})$		$\Delta q(x)$	helicity distribution
	T		$g_{1T}^{\perp}(x, k_{\perp})$		\times	worm gear/trans-helicity
T	U		$h_1^{\perp}(x, k_{\perp})$	$\mathbf{0}$	\times	Boer-Mulders function
	$T(\parallel)$		$h_{1T}(x, k_{\perp})$		$\delta q(x)$	transversity distribution
	$T(\perp)$		$h_{1T}^{\perp}(x, k_{\perp})$			pretzelosity
	L		$h_{1L}^{\perp}(x, k_{\perp})$		\times	worm gear/ longi-transversity

Twist-3 TMD PDFs defined via quark-quark correlator



Next to the leading twist (twist-3)

they are **NOT** probability distributions but contribute in different polarization.

quark	polarization nucleon	pictorially	TMD PDFs (16)	if no gauge link	integrated over k_{\perp}	name
U	U		$e(x, k_{\perp}), f^{\perp}(x, k_{\perp})$	0	$e(x), \times$	number density
	L		$f_L^{\perp}(x, k_{\perp})$	0	\times	Sivers function
	T		$e_T^{\perp}(x, k_{\perp}), f_T(x, k_{\perp}), f_T^{\perp}(x, k_{\perp})$	0 0	$f_T(x)$	
L	U		$g^{\perp}(x, k_{\perp})$	0	\times	helicity distribution
	L		$e_L(x, k_{\perp}), g_L^{\perp}(x, k_{\perp})$	0 $\frac{g_{1L}(x, k_{\perp})}{x}$	$e_L(x), \times$	
	T		$e_T^{\perp}(x, k_{\perp}), g_T(x, k_{\perp}), g_T^{\perp}(x, k_{\perp})$	0 $\frac{g_{1T}(x, k_{\perp})}{x}$	$g'_T(x)$	worm gear/trans-helicity
T	U		$h(x, k_{\perp})$	0	$h(x)$	Boer-Mulders function
	T(//)		$h_T^{\perp}(x, k_{\perp})$	$\frac{h_{1T}^{\perp}(x, k_{\perp})}{x}$	\times	transversity distribution
	T(⊥)		$h_T^{\perp\prime}(x, k_{\perp})$	$\frac{k_{\perp}^2 h_{1T}^{\perp\prime}(x, k_{\perp})}{M^2 x}$	\times	pretzelosity
	L		$h_L(x, k_{\perp})$	$\frac{k_{\perp}^2 h_{1L}^{\perp}(x, k_{\perp})}{M^2 x}$	$h_L(x)$	worm gear/ longi-transversity

TMD PDFs defined via quark-quark correlator



quark polarization →

Twist-2 TMD PDFs

		U	L	T
nucleon polarization ↑	U	$f_1(x, k_\perp)$ number density		- $h_1^\perp(x, k_\perp)$ Boer-Mulders function
	L		- $g_{1L}(x, k_\perp)$ helicity distribution	- $h_{1L}^\perp(x, k_\perp)$ Worm-gear/longi-transversity
	T	- $f_{1T}^\perp(x, k_\perp)$ Sivers function	- $g_{1T}^\perp(x, k_\perp)$ Worm-gear/trans-helicity	- $h_{1T}^\perp(x, k_\perp)$ transversity distribution - $h_{1T}^\perp(x, k_\perp)$ pretzelosity

Twist-3 TMD PDFs

		U	L	T
nucleon polarization ↑	U	$e(x, k_\perp), f^\perp(x, k_\perp)$ number density	- $g^\perp(x, k_\perp)$	- $h(x, k_\perp)$ Boer-Mulders function
	L	- $f_L^\perp(x, k_\perp)$	- $e_L(x, k_\perp), g_L^\perp(x, k_\perp)$ helicity distribution	- $h_L(x, k_\perp)$ Worm gear/ longi-transversity
	T	- $e_T^\perp(x, k_\perp), f_T^{\perp 11}(x, k_\perp), f_T^{\perp 12}(x, k_\perp)$ Sivers function	- $e_T(x, k_\perp), g_T(x, k_\perp), g_T^\perp(x, k_\perp)$ Worm gear/ trans-helicity	- $h_T^\perp(x, k_\perp)$ transversity distribution - $h_T(x, k_\perp)$ pretzelosity

TMD PDFs defined intuitively (equivalent to twist-2)



In the 1-dimensional case:

$$f_q(x, \mathbf{S}_q; p, \mathbf{S}) = f_q(x) + \lambda_q \lambda \Delta f_q(x) + (\vec{\mathbf{S}}_{\perp q} \cdot \vec{\mathbf{S}}_T) \delta f_q(x)$$

In the 3-dimensional case:

$$\begin{aligned} f_q(x, \mathbf{k}_{\perp}, \mathbf{S}_q; p, \mathbf{S}) &= f_q(x, \mathbf{k}_{\perp}) + \lambda_q \lambda \Delta f_q(x, \mathbf{k}_{\perp}) + (\vec{\mathbf{S}}_{\perp q} \cdot \vec{\mathbf{S}}_T) \delta f_q(x, \mathbf{k}_{\perp}) \\ &\quad + \vec{\mathbf{S}}_T \cdot (\hat{\mathbf{p}} \times \hat{\mathbf{k}}_{\perp}) \Delta^N f(x, \mathbf{k}_{\perp}) + \frac{1}{M} \vec{\mathbf{S}}_{\perp q} \cdot (\hat{\mathbf{p}} \times \vec{\mathbf{k}}_{\perp}) h_{1T}^{\perp}(x, \mathbf{k}_{\perp}) \\ &\quad + \frac{1}{M^2} (\vec{\mathbf{S}}_{\perp q} \cdot \vec{\mathbf{k}}_{\perp}) (\vec{\mathbf{S}}_T \cdot \vec{\mathbf{k}}_{\perp}) h_{1T}^{\perp}(x, \mathbf{k}_{\perp}) + \frac{1}{M} (\vec{\mathbf{S}}_{\perp q} \cdot \vec{\mathbf{k}}_{\perp}) \lambda h_{1L}^{\perp}(x, \mathbf{k}_{\perp}) \\ &\quad + \lambda_q \frac{1}{M} (\vec{\mathbf{S}}_T \cdot \vec{\mathbf{k}}_{\perp}) g_{1T}^{\perp}(x, \mathbf{k}_{\perp}) \end{aligned}$$

$$\delta f_q(x, \mathbf{k}_{\perp}) = h_{1T}^{\perp}(x, \mathbf{k}_{\perp}), \quad \Delta^N f(x, \mathbf{k}_{\perp}) = -\frac{|\vec{\mathbf{k}}_{\perp}|}{M} f_{1T}^{\perp}(x, \mathbf{k}_{\perp})$$

Twist-2 TMD FFs defined via quark-quark correlator



Leading twist (twist 2)

D, G, H : quark un-, longitudinally, transversely polarized

quark	polarization hadron pictorially	TMD FFs (8)	integrated over $k_{F\perp}$	name
U	U	$D_1(z, k_{F\perp})$	$D_1(z)$	number density
	T	$D_{1T}^\perp(z, k_{F\perp})$	\times	Sivers-type function
L	L	$G_{1L}(z, k_{F\perp})$	$G_{1L}(z)$	spin transfer (longitudinal)
	T	$G_{1T}^\perp(x, k_\perp)$	\times	
T	U	$H_1^\perp(z, k_{F\perp})$	\times	Collins function
	$T(\parallel)$	$H_{1T}(z, k_{F\perp})$	$H_{1T}(z)$	spin transfer (transverse)
	$T(\perp)$	$H_{1T}^\perp(z, k_{F\perp})$		
	L	$H_{1L}^\perp(z, k_{F\perp})$	\times	

Twist-2 TMD FFs defined via quark-quark correlator (spin-1)



Quark pol	Hadron pol	TMD FFs (2+6+10=18)	integrated over $k_{F\perp}$	name
U	U	$D_1(z, k_{F\perp})$	$D_1(z)$	number density
	T	$D_{1T}^\perp(z, k_{F\perp})$	\times	Sivers-type function
	LL	$D_{1LL}(z, k_{F\perp})$	$D_{1LL}(z)$	spin alignment
	LT	$D_{1LT}^\perp(z, k_{F\perp})$	\times	
	TT	$D_{1TT}^\perp(z, k_{F\perp})$	\times	
L	L	$G_{1L}(z, k_{F\perp})$	$G_{1L}(z)$	spin transfer (longitudinal)
	T	$G_{1T}^\perp(z, k_{F\perp})$	\times	
	LT	$G_{1LT}^\perp(z, k_{F\perp})$	\times	
	TT	$G_{1TT}^\perp(z, k_{F\perp})$	\times	
T	U	$H_1^\perp(z, k_{F\perp})$	\times	Collins function
	$T(\parallel)$	$H_{1T}(z, k_{F\perp})$	$H_{1T}(z)$	spin transfer (transverse)
	$T(\perp)$	$H_{1T}^\perp(z, k_{F\perp})$		
	L	$H_{1L}^\perp(z, k_{F\perp})$	\times	
	LL	$H_{1LL}^\perp(z, k_{F\perp})$	\times	
	LT	$H_{1LT}(z, k_{F\perp}), H_{1LT}^\perp(z, k_{F\perp})$	$H_{1LT}(z)$	
TT	$H_{1TT}^\perp(z, k_{F\perp}), H_{1TT}'^\perp(z, k_{F\perp})$	\times, \times		

See e.g., K.B. Chen, S.Y. Wei, W.H. Yang, & ZTL, PRD94, 034003 (2016).

I. Introduction: Inclusive DIS and parton model without QCD interaction

II. Gauge invariant parton distribution functions (PDFs) and collinear expansion for inclusive DIS

- Leading order pQCD & leading twist (leading power)
- Leading order pQCD & higher twists (higher powers/power suppressed)

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IV. Accessing TMDs via semi-inclusive high energy reactions

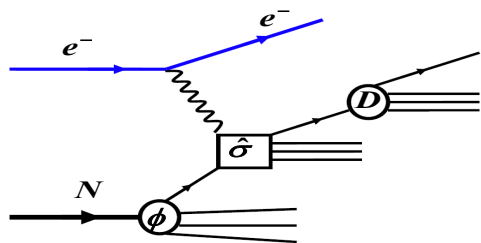
- Kinematical analysis
- Leading order pQCD & leading twist (leading power)
- **Collinear expansion** & higher twists (higher powers/power suppressed)

V. Summary and outlook

Access TMDs via semi-inclusive high energy reactions



Semi-inclusive reactions



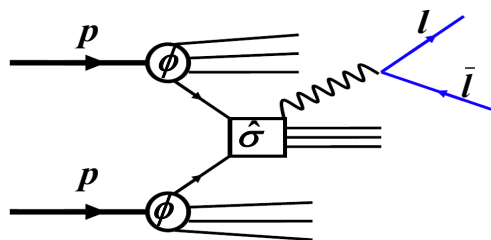
DIS: $e + N \rightarrow e + h + X$



TMD PDFs:

$f_1, f_{1T}^\perp, g_{1L}, g_{1T}, h_1, h_1^\perp, h_{1L}^\perp, h_{1T}^\perp, \dots$

TMD FFs: D_1, H_1^\perp, \dots

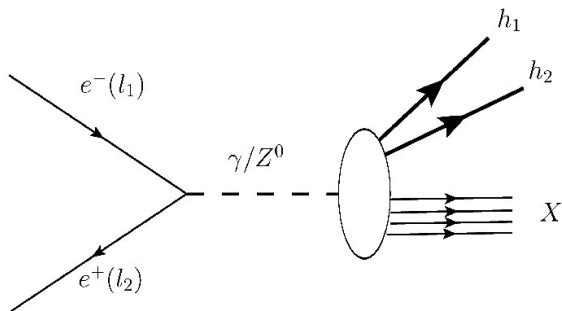


Drell-Yan: $p + p \rightarrow l + \bar{l} + X$



TMD PDFs:

$f_1, f_{1T}^\perp, g_{1L}, g_{1T}, h_1, h_1^\perp, h_{1L}^\perp, h_{1T}^\perp, \dots$



$e^- + e^+ \rightarrow h_1 + h_2 + X$



TMD FFs: D_1, H_1^\perp, \dots

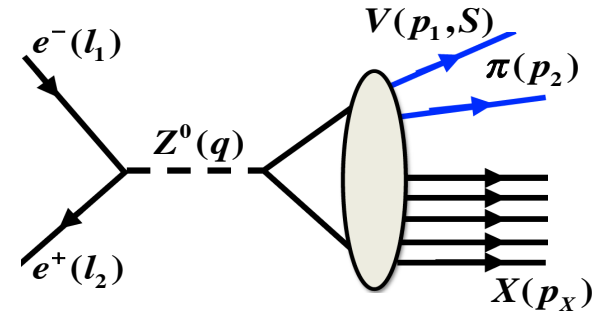
Kinematic analysis for $e^+ e^- \rightarrow Z \rightarrow V\pi X$

$e^- e^+ \rightarrow Z \rightarrow V\pi X$: the best place to study tensor polarization dependent FFs

The differential cross section:

$$\frac{2E_1 E_2}{d^3 p_1 d^3 p_2} = \frac{\alpha^2}{s Q^4} \chi L_{\mu\nu}(l_1, l_2) W^{\mu\nu}(q, p_1, S, p_2)$$

$$L_{\mu\nu}(l_1, l_2) = c_1^e [l_{1\mu} l_{2\nu} + l_{1\nu} l_{2\mu} - (l_1 \cdot l_2) g_{\mu\nu}] + i c_2^e \epsilon_{\mu\nu\rho\sigma} l_1^\rho l_2^\sigma$$



The hadronic tensor:

$$W_{\mu\nu}(q, p_1, S, p_2) = W^{S\mu\nu} \text{ (the Symmetric part)} + i W^{A\mu\nu} \text{ (the Anti-symmetric part)}$$

$$= \sum_{\sigma,i} W_{\sigma i}^S h_{\sigma i}^{S\mu\nu} + \sum_{\sigma,j} \tilde{W}_{\sigma j}^S \tilde{h}_{\sigma j}^{S\mu\nu} + i \sum_{\sigma,i} W_{\sigma i}^A h_{\sigma i}^{A\mu\nu} + i \sum_{\sigma,j} \tilde{W}_{\sigma j}^A \tilde{h}_{\sigma j}^{A\mu\nu} \quad \sigma = U, V, S_{LL}, S_{LT}, S_{TT} \text{ polarization}$$

the basic Lorentz tensors:

$$h_{\sigma i}^{S\mu\nu} = h_{\sigma i}^{S\nu\mu}, \quad h_{\sigma i}^{A\mu\nu} = -h_{\sigma i}^{A\nu\mu} \quad \text{space reflection P-even: } \hat{p} h^{\mu\nu} = h_{\mu\nu}$$

$$\tilde{h}_{\sigma i}^{S\mu\nu} = \tilde{h}_{\sigma i}^{S\nu\mu}, \quad \tilde{h}_{\sigma i}^{A\mu\nu} = -\tilde{h}_{\sigma i}^{A\nu\mu} \quad \text{space reflection P-odd: } \hat{p} \tilde{h}^{\mu\nu} = -\tilde{h}_{\mu\nu}$$

Constraints: $W^{\mu\nu*} = W^{\nu\mu}$ (hermiticity), $q_\mu W^{\mu\nu} = q_\nu W^{\mu\nu} = 0$ (current conservation)

See: D. Pitonyak, M. Schlegel, and A. Metz, PRD 89, 054032 (2014) (spin-1/2);

K.B. Chen, S.Y. Wei, W.H. Yang, & ZTL, PRD95, 034003 (2016) (spin-1).

Kinematic analysis for $e^+ e^- \rightarrow Z \rightarrow V\pi X$



The basic Lorentz tensor sets for the hadronic tensor

unpolarized part: $5+4=9$

$$h_{Ui}^{S\mu\nu} = \left\{ g^{\mu\nu} - \frac{q^\mu q^\nu}{q^2}, p_{1q}^\mu p_{1q}^\nu, p_{2q}^\mu p_{2q}^\nu, p_{1q}^{\{\mu} p_{2q}^{\nu\}} \right\}$$

$$\tilde{h}_{Ui}^{S\mu\nu} = \left\{ \varepsilon^{\{\mu q p_1 p_2\}} (p_{1q}^{\nu\}}, p_{2q}^{\nu\}} \right\}$$

$$h_U^{A\mu\nu} = p_{1q}^{[\mu} p_{2q}^{\nu]}$$

$$\tilde{h}_{Ui}^{A\mu\nu} = \left\{ \varepsilon^{\mu\nu q p_1}, \varepsilon^{\mu\nu q p_2} \right\}$$

$$a^{[\alpha b\beta]} \equiv a^\alpha b^\beta - a^\beta b^\alpha$$

$$a^{\{\alpha b\beta\}} \equiv a^\alpha b^\beta + a^\beta b^\alpha$$

$$\varepsilon^{\mu\nu\alpha p} \equiv \varepsilon^{\mu\nu\alpha\beta} p_\beta, \quad \varepsilon_\perp^{\mu\nu} \equiv \varepsilon^{\mu\nu\alpha\beta} \bar{n}_\alpha n_\beta$$

$$p_q \equiv p - \frac{p \cdot q}{q^2} q \quad (p_q \cdot q = 0)$$

Vector polarization S -dependent part: $13+14=27$

$$h_{Vi}^{S\mu\nu} = \left\{ [(q \cdot S), (p_2 \cdot S)] \tilde{h}_{Ui}^{S\mu\nu}, \varepsilon^{Sq p_1 p_2} h_{Uj}^{S\mu\nu} \right\}$$

$$\tilde{h}_{Vi}^{S\mu\nu} = \left\{ [(q \cdot S), (p_2 \cdot S)] h_{Ui}^{S\mu\nu}, \varepsilon^{Sq p_1 p_2} \tilde{h}_{Uj}^{S\mu\nu} \right\}$$

$$h_{Vi}^{A\mu\nu} = \left\{ [(q \cdot S), (p_2 \cdot S)] \tilde{h}_{Ui}^{A\mu\nu}, \varepsilon^{Sq p_1 p_2} h_U^{A\mu\nu} \right\}$$

$$\tilde{h}_{Vi}^{A\mu\nu} = \left\{ [(q \cdot S), (p_2 \cdot S)] h_U^{A\mu\nu}, \varepsilon^{Sq p_1 p_2} \tilde{h}_{Uj}^{A\mu\nu} \right\}$$

A regularity: $\left(\begin{array}{c} \text{spin dependent} \\ \text{Lorentz tensor set} \end{array} \right) = \left(\begin{array}{c} \text{spin dependent} \\ \text{Lorentz (pseudo)scalar} \end{array} \right) \times \left(\begin{array}{c} \text{the unpolarized set} \end{array} \right)$

unpolarized

longitudinal polarization

transverse polarization

$$\left(\begin{array}{c} h_{Ui}^{S\mu\nu} \\ \tilde{h}_{Ui}^{S\mu\nu} \\ h_{Ui}^{A\mu\nu} \\ \tilde{h}_{Ui}^{A\mu\nu} \end{array} \right) \left(\begin{array}{c} h_{Li}^{S\mu\nu} \\ \tilde{h}_{Li}^{S\mu\nu} \\ h_{Li}^{A\mu\nu} \\ \tilde{h}_{Li}^{A\mu\nu} \end{array} \right) = \lambda \left(\begin{array}{c} \tilde{h}_{Ui}^{S\mu\nu} \\ h_{Ui}^{S\mu\nu} \\ \tilde{h}_{Ui}^{A\mu\nu} \\ h_U^{A\mu\nu} \end{array} \right)$$

$$\left(\begin{array}{c} h_{Ti}^{S\mu\nu} \\ \tilde{h}_{Ti}^{S\mu\nu} \\ h_{Ti}^{A\mu\nu} \\ \tilde{h}_{Ti}^{A\mu\nu} \end{array} \right) = \left\{ (p_2 \cdot S) \left(\begin{array}{c} \tilde{h}_{Ui}^{S\mu\nu} \\ h_{Ui}^{S\mu\nu} \\ \tilde{h}_{Ui}^{A\mu\nu} \\ h_U^{A\mu\nu} \end{array} \right), \varepsilon^{Sq p_1 p_2} \left(\begin{array}{c} h_{Ui}^{S\mu\nu} \\ \tilde{h}_{Ui}^{S\mu\nu} \\ h_U^{A\mu\nu} \\ \tilde{h}_{Ui}^{A\mu\nu} \end{array} \right) \right\}$$

Kinematic analysis for $e^+ e^- \rightarrow Z \rightarrow V\pi X$



The basic Lorentz tensor sets for the hadronic tensor (continued)

S_{LL} -dependent part: 5+4=9

$$S_{LL}^{\mathcal{P}} = S_{LL}$$

$$\begin{pmatrix} h_{LLi}^{S\mu\nu} \\ \tilde{h}_{LLi}^{S\mu\nu} \\ h_{LLi}^{A\mu\nu} \\ \tilde{h}_{LLi}^{A\mu\nu} \end{pmatrix} = S_{LL} \begin{pmatrix} h_{Ui}^{S\mu\nu} \\ \tilde{h}_{Ui}^{S\mu\nu} \\ h_U^{A\mu\nu} \\ \tilde{h}_{Ui}^{A\mu\nu} \end{pmatrix}$$

$$S_{TT}^{p\beta} \equiv p_\alpha S_{TT}^{\alpha\beta}$$

$$\epsilon^{abcd} \equiv \epsilon^{\alpha\beta\gamma\delta} a_\alpha b_\beta c_\gamma d_\delta$$

S_{LT} -dependent part: 9+9=18

$$S_{LT} = (0, S_{LT}^x, S_{LT}^y, 0)$$

$$p_1 \cdot S_{LT} = 0, \quad q \cdot S_{LT} = 0 \quad S_{LT\mu}^{\mathcal{P}} = S_{LT}^\mu$$

$$\begin{pmatrix} h_{LTi}^{S\mu\nu} \\ \tilde{h}_{LTi}^{S\mu\nu} \\ h_{LTi}^{A\mu\nu} \\ \tilde{h}_{LTi}^{A\mu\nu} \end{pmatrix} = \left\{ (p_2 \cdot S_{LT}) \begin{pmatrix} h_{Ui}^{S\mu\nu} \\ \tilde{h}_{Ui}^{S\mu\nu} \\ h_U^{A\mu\nu} \\ \tilde{h}_{Ui}^{A\mu\nu} \end{pmatrix}, \epsilon^{S_{LT}q p_1 p_2} \begin{pmatrix} \tilde{h}_{Ui}^{S\mu\nu} \\ h_{Ui}^{S\mu\nu} \\ \tilde{h}_{Ui}^{A\mu\nu} \\ h_U^{A\mu\nu} \end{pmatrix} \right\}$$

S_{TT} -dependent part: 9+9=18

$$S_{TT} = \begin{pmatrix} 0 & 0 & 0 & 0 \\ 0 & S_{TT}^{xx} & S_{TT}^{xy} & 0 \\ 0 & S_{TT}^{xy} & -S_{TT}^{xx} & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix} \quad \begin{aligned} S_{TT\mu\nu}^{\mathcal{P}} &= S_{TT}^{\mu\nu} \\ S_{TT}^{p_1\beta} &= S_{TT}^{\alpha p_1} = 0 \\ S_{TT}^{q\beta} &= S_{TT}^{\alpha q} = 0 \end{aligned}$$

$$\begin{pmatrix} h_{TTi}^{S\mu\nu} \\ \tilde{h}_{TTi}^{S\mu\nu} \\ h_{TTi}^{A\mu\nu} \\ \tilde{h}_{TTi}^{A\mu\nu} \end{pmatrix} = \left\{ S_{TT}^{p_2 p_2} \begin{pmatrix} h_{Ui}^{S\mu\nu} \\ \tilde{h}_{Ui}^{S\mu\nu} \\ h_U^{A\mu\nu} \\ \tilde{h}_{Ui}^{A\mu\nu} \end{pmatrix}, \epsilon^{S_{TT}^2 q p_1 p_2} \begin{pmatrix} \tilde{h}_{Ui}^{S\mu\nu} \\ h_{Ui}^{S\mu\nu} \\ \tilde{h}_{Ui}^{A\mu\nu} \\ h_U^{A\mu\nu} \end{pmatrix} \right\}$$

See K.B. Chen, S.Y. Wei, W.H. Yang, & ZTL, PRD95, 034003 (2016).

Kinematic analysis for $e^+ e^- \rightarrow Z \rightarrow V\pi X$



The cross section in Helicity-GJ-frame: unpolarized and longitudinally polarized parts

$$\frac{2E_1 E_2 d\sigma^U}{d^3 p_1 d^3 p_2} = \frac{\alpha^2}{s^2} \chi(\mathcal{F}_U + \tilde{\mathcal{F}}_U)$$

$$\begin{aligned} \mathcal{F}_U &= (1 + \cos^2 \theta) F_{1U} + \sin^2 \theta F_{2U} + \cos \theta F_{3U} \\ &+ \cos \varphi [\sin \theta F_{1U}^{\cos \varphi} + \sin 2\theta F_{2U}^{\cos \varphi}] \\ &+ \cos 2\varphi \sin^2 \theta F_U^{\cos 2\varphi} \end{aligned}$$

The structure functions: $F_{jxx}^{yy} = F_{jxx}^{yy}(s, \xi_1, \xi_2, p_{2T})$
 $\tilde{F}_{jxx}^{yy} = \tilde{F}_{jxx}^{yy}(s, \xi_1, \xi_2, p_{2T})$

$$\begin{aligned} \tilde{\mathcal{F}}_U &= \sin \varphi [\sin \theta \tilde{F}_{1U}^{\sin \varphi} + \sin 2\theta \tilde{F}_{2U}^{\sin \varphi}] && \sin \varphi \\ &+ \sin 2\varphi \sin^2 \theta \tilde{F}_U^{\sin 2\varphi} && \sin 2\varphi \end{aligned}$$

$$\frac{2E_1 E_2 d\sigma^L}{d^3 p_1 d^3 p_2} = \frac{\alpha^2}{s^2} \chi^\lambda(\mathcal{F}_L + \tilde{\mathcal{F}}_L)$$

$$\begin{aligned} \mathcal{F}_L &= \sin \varphi [\sin \theta F_{1L}^{\sin \varphi} + \sin 2\theta F_{2L}^{\sin \varphi}] \\ &+ \sin 2\varphi \sin^2 \theta F_L^{\sin 2\varphi} \end{aligned}$$

$$\begin{aligned} \tilde{\mathcal{F}}_L &= (1 + \cos^2 \theta) \tilde{F}_{1L} + \sin^2 \theta \tilde{F}_{2L} + \cos \theta \tilde{F}_{3L} \\ &+ \cos \varphi [\sin \theta \tilde{F}_{1L}^{\cos \varphi} + \sin 2\theta \tilde{F}_{2L}^{\cos \varphi}] \\ &+ \cos 2\varphi \sin^2 \theta \tilde{F}_L^{\cos 2\varphi} \end{aligned}$$

$$\begin{aligned} \mathcal{F}_L &\leftrightarrow \tilde{\mathcal{F}}_U, & \tilde{\mathcal{F}}_L &\leftrightarrow \mathcal{F}_U \\ F_{jL}^{xxx} &\leftrightarrow \tilde{F}_{jU}^{xxx}, & \tilde{F}_{jL}^{xxx} &\leftrightarrow F_{jU}^{xxx} \end{aligned}$$

$$\frac{2E_1 E_2 d\sigma^{LL}}{d^3 p_1 d^3 p_2} = \frac{\alpha^2}{s^2} \chi_{S_{LL}}(\mathcal{F}_{LL} + \tilde{\mathcal{F}}_{LL})$$

$$\begin{aligned} \mathcal{F}_{LL} &= (1 + \cos^2 \theta) F_{1LL} + \sin^2 \theta F_{2LL} + \cos \theta F_{3LL} \\ &+ \cos \varphi [\sin \theta F_{1LL}^{\cos \varphi} + \sin 2\theta F_{2LL}^{\cos \varphi}] \\ &+ \cos 2\varphi \sin^2 \theta F_{LL}^{\cos 2\varphi} \end{aligned}$$

$$\begin{aligned} \tilde{\mathcal{F}}_{LL} &= \sin \varphi [\sin \theta \tilde{F}_{1LL}^{\sin \varphi} + \sin 2\theta \tilde{F}_{2LL}^{\sin \varphi}] \\ &+ \sin 2\varphi \sin^2 \theta \tilde{F}_{LL}^{\sin 2\varphi} \end{aligned}$$

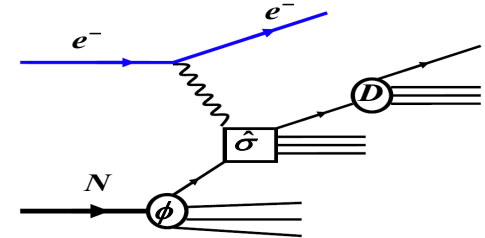
$$\begin{aligned} \mathcal{F}_{LL} &\leftrightarrow \mathcal{F}_U, & \tilde{\mathcal{F}}_{LL} &\leftrightarrow \tilde{\mathcal{F}}_U \\ F_{jLL}^{xxx} &\leftrightarrow F_{jU}^{xxx}, & \tilde{F}_{jLL}^{xxx} &\leftrightarrow \tilde{F}_{jU}^{xxx} \end{aligned}$$

Semi-inclusive DIS $e^-(\lambda_l) + N(\lambda, S_T) \rightarrow e^- + h + X$: Kinematics



The differential cross section:

$$d\sigma = \frac{\alpha^2}{sQ^4} L_{\mu\nu}(l, \lambda_e, l') W^{\mu\nu}(q, p, S, p') \frac{d^3 l'}{2E_{l'}(2\pi)^3} \frac{d^3 p'}{2E_h(2\pi)^3}$$



$$W_{\mu\nu}(q, p, S, p') = \sum_{\sigma, i} W_{\sigma i}^S h_{\sigma i}^{S\mu\nu} + \sum_{\sigma, j} \tilde{W}_{\sigma j}^S \tilde{h}_{\sigma j}^{S\mu\nu} + i \sum_{\sigma, i} W_{\sigma i}^A h_{\sigma i}^{A\mu\nu} + i \sum_{\sigma, j} \tilde{W}_{\sigma j}^A \tilde{h}_{\sigma j}^{A\mu\nu}$$

$\sigma = U, V$: polarization

basic Lorentz tensors

The basic Lorentz sets

unpolarized part: 5+4=9

$$h_{Ui}^{S\mu\nu} = \left\{ g^{\mu\nu} - \frac{q^\mu q^\nu}{q^2}, \tilde{p}^\mu \tilde{p}^\nu, \tilde{p}^{\{\mu} \tilde{p}^{\nu\}}, \tilde{p}'^\mu \tilde{p}'^\nu \right\}$$

$$\tilde{h}_{Ui}^{S\mu\nu} = \left\{ \varepsilon^{\{\mu q p p'}(\tilde{p}^{\nu\}}, \tilde{p}'^{\nu\}) \right\}$$

$$h_U^{A\mu\nu} = \tilde{p}^{[\mu} \tilde{p}'^{\nu]}$$

$$\tilde{h}_{Ui}^{A\mu\nu} = \left\{ \varepsilon^{\mu\nu q p}, \varepsilon^{\mu\nu q p'} \right\}$$

$$\tilde{p} = p - \frac{p \cdot q}{q^2} q$$

spin dependent part: 13+5=18

$$h_{Vi}^{S\mu\nu} = \left\{ [(q \cdot S), (p' \cdot S)] \tilde{h}_{Ui}^{S\mu\nu}, \varepsilon^{S q p p'} h_{Uj}^{S\mu\nu} \right\}$$

$$\tilde{h}_{Vi}^{S\mu\nu} = \left\{ [(q \cdot S), (p' \cdot S)] h_{Ui}^{S\mu\nu}, \varepsilon^{S q p p'} \tilde{h}_{Uj}^{S\mu\nu} \right\}$$

$$h_{Vi}^{A\mu\nu} = \left\{ [(q \cdot S), (p' \cdot S)] \tilde{h}_{Ui}^{A\mu\nu}, \varepsilon^{S q p p'} h_U^{A\mu\nu} \right\}$$

$$\tilde{h}_{Vi}^{A\mu\nu} = \left\{ [(q \cdot S), (p' \cdot S)] h_U^{A\mu\nu}, \varepsilon^{S q p p'} \tilde{h}_{Uj}^{A\mu\nu} \right\}$$

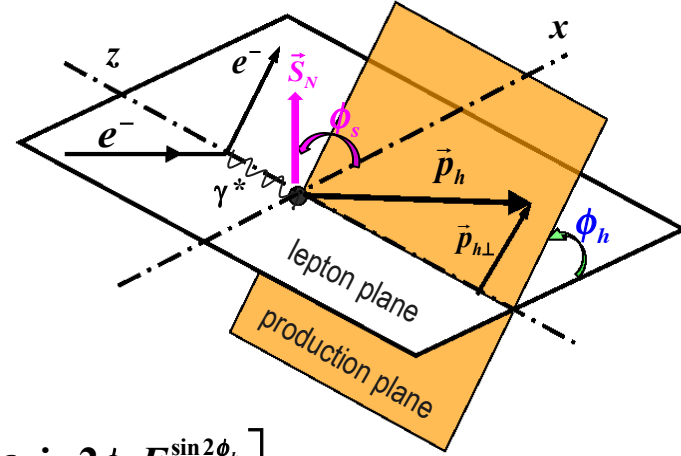
Semi-inclusive DIS $e^-(\lambda_l) + N(\lambda, S_T) \rightarrow e^- + h + X$: Kinematics



General form of the differential cross section

$$\frac{d\sigma}{dx dy dz d\phi_S d^2 p_{h\perp}} = \frac{\alpha^2}{xyQ^2} \frac{y^2}{2(1-\varepsilon)} \left(1 + \frac{\gamma^2}{2x}\right) \times$$

- ● $\left\{ F_{UU,T} + \varepsilon F_{UU,L} + \sqrt{2\varepsilon(1+\varepsilon)} \cos\phi_h F_{UU}^{\cos\phi_h} + \varepsilon \cos 2\phi_h F_{UU}^{\cos 2\phi_h} \right.$
- → ● $+ \lambda_l \sqrt{2\varepsilon(1-\varepsilon)} \sin\phi_h F_{LU}^{\sin\phi_h} + \lambda \left[\sqrt{2\varepsilon(1+\varepsilon)} \sin\phi_h F_{UL}^{\sin\phi_h} + \varepsilon \sin 2\phi_h F_{UL}^{\sin 2\phi_h} \right]$
- ● →
- → ● → $+ \lambda_l \lambda \left[\sqrt{1-\varepsilon^2} F_{LL} + \sqrt{2\varepsilon(1-\varepsilon)} \cos\phi_h F_{LL}^{\cos\phi_h} \right]$
- ● ↑ $+ |\vec{S}_T| \left[\sin(\phi_h - \phi_S) \left(F_{UT,T}^{\sin(\phi_h - \phi_S)} + \varepsilon F_{UT,L}^{\sin(\phi_h - \phi_S)} \right) + \varepsilon \sin(\phi_h + \phi_S) F_{UT,T}^{\sin(\phi_h + \phi_S)} + \varepsilon \sin(3\phi_h - \phi_S) F_{UT,T}^{\sin(3\phi_h - \phi_S)} \right.$
- $\left. + \sqrt{2\varepsilon(1+\varepsilon)} \sin\phi_S F_{UT}^{\sin\phi_S} + \sqrt{2\varepsilon(1+\varepsilon)} \sin(2\phi_h - \phi_S) F_{UT}^{\sin(2\phi_h - \phi_S)} \right]$
- → ● ↑ $+ \lambda_l |\vec{S}_T| \left[\sqrt{1-\varepsilon^2} \cos(\phi_h - \phi_S) F_{LT}^{\cos(\phi_h - \phi_S)} + \sqrt{2\varepsilon(1-\varepsilon)} \left(\cos\phi_S F_{LT}^{\cos\phi_S} + \cos(2\phi_h - \phi_S) F_{LT}^{\cos(2\phi_h - \phi_S)} \right) \right] \left. \right\}$



nucleon
electron

2x3=6 combinations

$$\varepsilon = (1 - y - \frac{1}{4}\gamma^2 y^2) / (1 - y + \frac{1}{2}y^2 + \frac{1}{4}\gamma^2 y^2), \quad \gamma = \frac{2Mx}{Q}$$

Semi-inclusive DIS: LO & Leading twist parton model results

for the structure functions (8 non-zero F 's)

$$e(\lambda_e) + N(\lambda, S_T) \rightarrow e + h + X$$

	$F_{UU,T} = e [f_1 D_1]$	$F_{UU,L} = 0$	$F_{UU}^{\cos\phi_h} = 0$	$F_{UU}^{\cos 2\phi_h} = e [w_1 h_1^\perp H_1^\perp]$
	$F_{LU}^{\sin\phi_h} = 0$		$F_{UL}^{\sin\phi_h} = 0$	$F_{UL}^{\sin 2\phi_h} = e [w_1 h_{1L}^\perp H_1^\perp]$
	$F_{LL} = e [g_{1L} D_1]$		$F_{LL}^{\cos\phi_h} = 0$	
	$F_{UT,T}^{\sin(\phi_h - \phi_S)} = -2e [w_2 f_{1T}^\perp D_1]$		$F_{UT,L}^{\sin(\phi_h - \phi_S)} = 0$	$F_{UT}^{\sin(\phi_h + \phi_S)} = -2e [w_3 h_{1T} H_1^\perp]$
	$F_{UT}^{\sin\phi_S} = 0$		$F_{UT}^{\sin(2\phi_h - \phi_S)} = 0$	$F_{UT}^{\sin(3\phi_h - \phi_S)} = e [w_4 h_{1T}^\perp H_1^\perp]$
	$F_{LT}^{\cos(\phi_h - \phi_S)} = e [w_2 g_{1T} D_1]$		$F_{LT}^{\cos\phi_S} = 0$	$F_{LT}^{\cos(2\phi_h - \phi_S)} = 0$

electron
nucleon

$$e [w_i f D] \equiv x \sum_q e_q^2 \int d^2 k_\perp d^2 k_{F\perp} \delta^{(2)}(\vec{k}_\perp - \vec{k}_{F\perp} - \vec{p}_{h\perp} / z) w_i f_q(x, k_\perp) D_q(z, k_{F\perp})$$


$$w_1 = -\left[2(\hat{p}_{h\perp} \cdot \vec{k}_{F\perp})(\hat{p}_{h\perp} \cdot \vec{k}_\perp) - \vec{k}_\perp \cdot \vec{k}_{F\perp} \right] / MM_h, \quad w_2 = \hat{p}_{h\perp} \cdot \vec{k}_\perp / M, \quad w_3 = \hat{p}_{h\perp} \cdot \vec{k}_{F\perp} / M_h$$

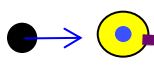
See e.g., Bacchetta, Diehl, Goeke, Metz, Mulders, Schlegel, JHEP 0702, 093 (2007);


for the cross section


$$e(\lambda_e) + N(\lambda, S_T) \rightarrow e + h + X$$

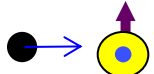
$$\frac{d\sigma}{dx dy dz d^2 p_{h\perp}} = \frac{\alpha^2}{xyQ^2} \times$$

- 
 $\left\{ (1-y + \frac{1}{2}y^2) \mathcal{E} [f_1 D_1] + (1-y) \cos 2\phi_h \mathcal{E} [w_1 h_1^\perp H_1^\perp] \right.$

Boer-Mulders \otimes Collins
- 
 $+ \lambda_e \lambda y (1 - \frac{1}{2}y) \mathcal{E} [g_{1L} D_1]$

longi-transversity \otimes Collins
- 
 $+ \lambda (1-y) \sin 2\phi_h \mathcal{E} [w_1 h_{1L}^\perp H_1^\perp]$

Sivers \otimes unpolarized FF
- 
 $+ |\vec{S}_T| \left((1-y + \frac{1}{2}y^2) \sin(\phi_h - \phi_S) \mathcal{E} [w_2 f_{1T}^\perp D_1] \right.$
 $\left. + 2(1-y) \sin(\phi_h + \phi_S) \mathcal{E} [w_3 h_{1T}^\perp H_1^\perp] + 2(1-y) \sin(3\phi_h - \phi_S) \mathcal{E} [w_4 h_{1T}^\perp H_1^\perp] \right)$

transversity \otimes Collins
- 
 $+ \lambda_e |\vec{S}_T| y (1 - \frac{1}{2}y) \cos(\phi_h - \phi_S) \mathcal{E} [w_2 g_{1T} D_1] \left. \right\}$


pretzelosity \otimes Collins


trans-helicity \otimes unpolarized FF

Semi-inclusive DIS: LO & Leading twist parton model results




for the azimuthal asymmetries (6 leading twist asymmetries)

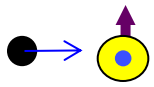
●  $A_{UU}^{\cos 2\phi_h} = \langle \cos 2\phi_h \rangle_{UU} = \frac{(1-y)}{A(y)} \frac{e[w_1 h_1^\perp H_1^\perp]}{e[f_1 D_1]}$ Boer-Mulders \otimes Collins

●  $A_{UL}^{\sin 2\phi_h} = \langle \sin 2\phi_h \rangle_{UL} = \frac{(1-y)}{A(y)} \frac{e[w_1 h_{1L}^\perp H_1^\perp]}{e[f_1 D_1]}$ longi-transversity \otimes Collins

$A_{UT}^{\sin(\phi_h - \phi_S)} = \langle \sin(\phi_h - \phi_S) \rangle_{UT} = \frac{1}{2} \frac{e[w_2 f_{1T}^\perp D_1]}{e[f_1 D_1]} \equiv A_{Sivers}$ Sivers \otimes unpolarized FF

●  $A_{UT}^{\sin(\phi_h + \phi_S)} = \langle \sin(\phi_h + \phi_S) \rangle_{UT} = \frac{(1-y)}{A(y)} \frac{e[w_3 h_{1T}^\perp H_1^\perp]}{e[f_1 D_1]} \equiv A_{Collins}$ transversity \otimes Collins

$A_{UT}^{\sin(3\phi_h - \phi_S)} = \langle \sin(3\phi_h - \phi_S) \rangle_{UT} = \frac{(1-y)}{A(y)} \frac{e[w_4 h_{1T}^\perp H_1^\perp]}{e[f_1 D_1]}$ pretzelosity \otimes Collins

 $A_{LT}^{\cos(\phi_h - \phi_S)} = \langle \cos(\phi_h - \phi_S) \rangle_{LT} = \frac{y(2-y)}{2A(y)} \frac{e[-w_2 g_{1T} D_1]}{e[f_1 D_1]}$ trans-helicity \otimes unpolarized FF

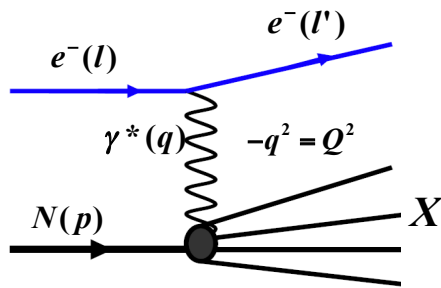
$$A(y) \equiv 1 + (1-y)^2$$

electron
nucleon

Collinear expansion in high energy reactions



Inclusive DIS $e^- N \rightarrow e^- X$



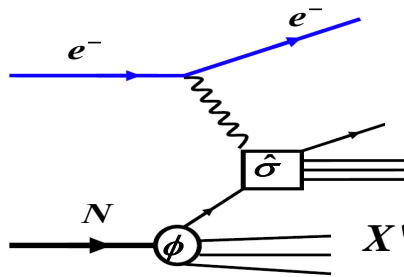
Yes!

where collinear expansion was first formulated.

R. K. Ellis, W. Furmanski and R. Petronzio,
Nucl. Phys. B207,1 (1982); B212, 29 (1983).

Semi-Inclusive DIS

$$e + N \rightarrow e + q(\text{jet}) + X$$

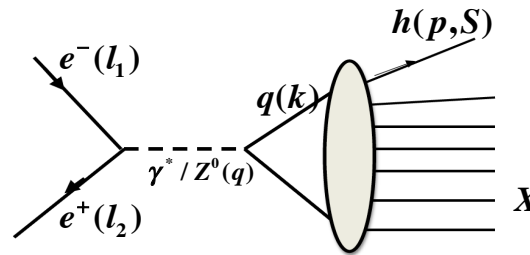


Yes!

ZTL & X.N. Wang,
PRD (2007);

Inclusive

$$e^- + e^+ \rightarrow h + X$$

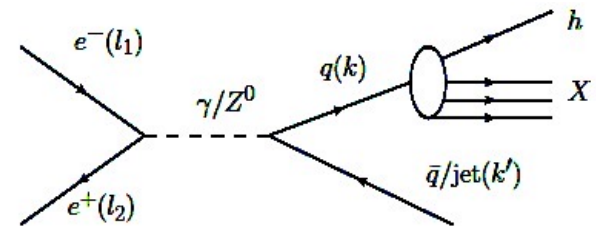


Yes!

S.Y. Wei, Y.K. Song, ZTL,
PRD (2014);

Semi-Inclusive

$$e^- + e^+ \rightarrow h + \bar{q}(\text{jet}) + X$$



Yes!

S.Y. Wei, K.B. Chen, Y.K. Song,
ZTL, PRD (2015).

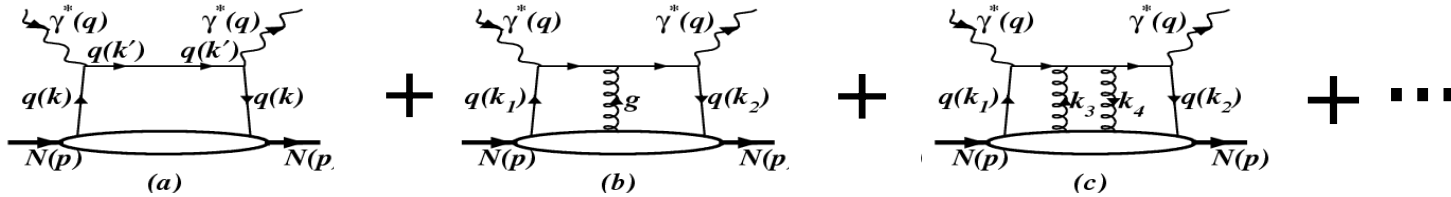
Successfully to all processes where only ONE hadron is explicitly involved.

Collinear expansion in semi-Inclusive DIS $e^- + N \rightarrow e^- + q(\text{jet}) + X$

Semi-Inclusive DIS $e^- + N \rightarrow e^- + q(\text{jet}) + X$ with QCD interaction:

$$W_{\mu\nu}^{(si)}(q, p, S, k') = \sum_X \langle p, S | J_\mu(0) | k', X \rangle \langle k', X | J_\nu(0) | p, S \rangle (2\pi)^4 \delta^4(p + q - k' - p_X)$$

$$= W_{\mu\nu}^{(0,si)}(q, p, S, k') + W_{\mu\nu}^{(1,si)}(q, p, S, k') + W_{\mu\nu}^{(2,si)}(q, p, S, k') + \dots$$



$$W_{\mu\nu}^{(0,si)}(q, p, S, k') = \int \frac{d^4 k}{(2\pi)^4} \text{Tr} \left[\hat{H}_{\mu\nu}^{(0,si)}(k, k', q) \hat{\phi}^{(0)}(k, p, S) \right]$$

$$\hat{H}_{\mu\nu}^{(0,si)}(k, k', q) = \gamma_\mu (\not{k} + \not{q}) \gamma_\nu (2\pi)^4 \delta^4(k' - k - q)$$

c.f.:

$$W_{\mu\nu}^{(0)}(q, p, S) = \int \frac{d^4 k}{(2\pi)^4} \text{Tr} \left[\hat{H}_{\mu\nu}^{(0)}(k, q) \hat{\phi}^{(0)}(k, p, S) \right]$$

$$\hat{H}_{\mu\nu}^{(0)}(k, q) = \gamma_\mu (\not{k} + \not{q}) \gamma_\nu (2\pi) \delta_+((k + q)^2)$$

$$W_{\mu\nu}^{(0)}(q, p, S) = \int \frac{d^3 k'}{(2\pi)^3 (2E_{k'})} W_{\mu\nu}^{(0,si)}(q, p, S, k')$$



Collinear expansion in semi-Inclusive DIS $e^- + N \rightarrow e^- + q(\text{jet}) + X$

An identity: $(2\pi)^4 \delta^4(k' - k - q) = (2\pi) \delta_+(k - q)^2 (2\pi)^3 (2E_{k'}) \delta^3(\vec{k}' - \vec{k} - \vec{q})$

We obtain: $\hat{H}_{\mu\nu}^{(0,si)}(k, k', q) = \hat{H}_{\mu\nu}^{(0)}(k, q) (2\pi)^3 (2E_{k'}) \delta^3(\vec{k}' - \vec{k} - \vec{q})$

$$\hat{H}_{\mu\nu}^{(1,c,si)\rho}(k_1, k_2, k', q) = \hat{H}_{\mu\nu}^{(1,c)\rho}(k_1, k_2, q) (2\pi)^3 (2E_{k'}) \delta^3(\vec{k}' - \vec{k}_c - \vec{q})$$

Hence:

$$W_{\mu\nu}^{(0,si)}(q, p, S, k') = \underbrace{\int \frac{d^4 k}{(2\pi)^4} \text{Tr} \left[\hat{H}_{\mu\nu}^{(0)}(k, q) \hat{\phi}^{(0)}(k, p, S) \right]}_{W_{\mu\nu}^{(0)}(q, p, S)} (2\pi)^3 (2E_{k'}) \delta^3(\vec{k}' - \vec{k} - \vec{q})$$

a common factor!

$$W_{\mu\nu}^{(1,si)}(q, p, S, k') = \underbrace{\int \frac{d^4 k_1}{(2\pi)^4} \frac{d^4 k_2}{(2\pi)^4} \sum_{c=L,R} \text{Tr} \left[\hat{H}_{\mu\nu}^{(1,c)\rho}(k_1, k_2, q) \hat{\phi}_\rho^{(1)}(k_1, k_2, p, S) \right]}_{W_{\mu\nu}^{(1)}(q, p, S)} (2\pi)^3 (2E_{k'}) \delta^3(\vec{k}' - \vec{k}_c - \vec{q})$$

Semi-Inclusive DIS $e^- + N \rightarrow e^- + q(\text{jet}) + X$



$$W_{\mu\nu}^{(si)}(q, p, S, k') = \tilde{W}_{\mu\nu}^{(0,si)}(q, p, S, k') + \tilde{W}_{\mu\nu}^{(1,si)}(q, p, S, k') + \tilde{W}_{\mu\nu}^{(2,si)}(q, p, S, k') + \dots$$

twist-2, 3 and 4 contributions

$$\tilde{W}_{\mu\nu}^{(0,si)}(q, p, S, k') = \int \frac{d^4k}{(2\pi)^4} \text{Tr}[\hat{\Phi}^{(0)}(k, p, S) \hat{H}_{\mu\nu}^{(0)}(x)] (2E_{k'}) (2\pi)^3 \delta^3(\vec{k}' - \vec{k} - \vec{q})$$

$$\hat{\Phi}^{(0)}(k, p, S) = \int d^4z e^{ikz} \langle p, S | \bar{\psi}(0) \mathcal{L}(0, z) \psi(z) | p, S \rangle$$

depends on x only!

twist-3, 4 and 5 contributions

$$\tilde{W}_{\mu\nu}^{(1,si)}(q, p, S, k') = \int \frac{d^4k_1}{(2\pi)^4} \frac{d^4k_2}{(2\pi)^4} \sum_{c=L,R} \text{Tr}[\hat{\Phi}_\rho^{(1)}(k_1, k_2, p, S) \hat{H}_{\mu\nu}^{(1,c)\rho}(x_1, x_2) \omega_\rho^{p'}] (2E_{k'}) (2\pi)^3 \delta^3(\vec{k}' - \vec{k}_c - \vec{q})$$

$$\hat{\Phi}_\rho^{(1)}(k_1, k_2, p, S) = \int d^4z d^4y e^{ik_1 y + ik_2(z-y)} \langle p, S | \bar{\psi}(0) \mathcal{L}(0, y) D_\rho(y) \mathcal{L}(y, z) \psi(z) | p, S \rangle$$

➡ A consistent framework for $e^- N \rightarrow e^- + q(\text{jet}) + X$ at LO pQCD including higher twists

ZTL & X.N. Wang, PRD (2007); Y.K. Song, J.H. Gao, ZTL & X.N. Wang, PRD (2011) & PRD (2014).



Simplified expressions for hadronic tensors

The “collinearly expanded hard parts” take the simple forms such as:

$$\hat{H}_{\mu\nu}^{(0)}(x) = \hat{h}_{\mu\nu}^{(0)} \delta(x - x_B), \quad \hat{h}_{\mu\nu}^{(0)} = \gamma_\mu \not{n} \gamma_\nu$$

$$\hat{H}_{\mu\nu}^{(1,L)\rho}(x_1, x_2) \omega_\rho^{\rho'} = \frac{\pi}{2q \cdot p} \hat{h}_{\mu\nu}^{(1)\rho} \omega_\rho^{\rho'} \delta(x_1 - x_B), \quad \text{where } \hat{h}_{\mu\nu}^{(1)\rho} = \gamma_\mu \not{n} \gamma^\rho \not{n} \gamma_\nu, \text{ depends only on } x_1!$$

$$\tilde{W}_{\mu\nu}^{(0,si)}(q, p, S; \mathbf{k}_\perp) = \text{Tr} \left[\hat{\Phi}^{(0)}(x_B, \mathbf{k}_\perp) h_{\mu\nu}^{(0)} \right] \quad \text{twist-2, 3 and 4}$$

$$\hat{\Phi}^{(0)}(x, \mathbf{k}_\perp) = \int \frac{p^+ dz^-}{2\pi} d^2 z_\perp e^{ixp^+ z^- - ik_\perp \cdot z_\perp} \langle N | \bar{\psi}(0) \mathcal{L}(0, \mathbf{z}) \psi(\mathbf{z}) | N \rangle$$

three-dimensional gauge invariant quark-quark correlator

$$\tilde{W}_{\mu\nu}^{(1,si)}(q, p, S; \mathbf{k}_\perp) = \frac{\pi}{2q \cdot p} \text{Tr} \left[\hat{\Phi}_\rho^{(1)}(x_B, \mathbf{k}_\perp) h_{\mu\nu}^{(1)\rho} \omega_\rho^{\rho'} \right] \quad \text{twist-3, 4 and 5}$$

$$\hat{\Phi}_\rho^{(1)}(x, \mathbf{k}_\perp) \equiv \int \frac{d^4 k_1}{(2\pi)^4} \frac{d^4 k_2}{(2\pi)^4} \delta(x - \frac{k_1^+}{p^+}) \delta^2(\mathbf{k}_{1\perp} - \mathbf{k}_\perp) \hat{\Phi}_\rho^{(1)}(k_1, k_2)$$

$$= \int \frac{p^+ dz^-}{2\pi} d^2 z_\perp e^{ixp^+ z^- - i\vec{k}_\perp \cdot \vec{z}_\perp} \langle N | \bar{\psi}(0) D_\rho(0) \mathcal{L}(0, \mathbf{z}) \psi(\mathbf{z}) | N \rangle$$

the involved three-dimensional gauge invariant quark-gluon-quark correlator

THREE dimensional, depend only on ONE parton momentum!

Semi-Inclusive e^+e^- annihilation: $e^+ + e^- \rightarrow h + \bar{q}(\text{jet}) + X$



$$W_{\mu\nu}^{(si)}(q, p, S, k') = \tilde{W}_{\mu\nu}^{(0,si)}(q, p, S, k') + \tilde{W}_{\mu\nu}^{(1,si)}(q, p, S, k') + \tilde{W}_{\mu\nu}^{(2,si)}(q, p, S, k') + \dots$$

twist-2, 3 and 4 contributions

$$\tilde{W}_{\mu\nu}^{(0,si)}(q, p, S, k') = \int \frac{d^4 k}{(2\pi)^4} \text{Tr} \left[\hat{\Xi}^{(0)}(k, p, S) \hat{H}_{\mu\nu}^{(0)}(z) \right] (2E_{k'}) (2\pi)^3 \delta^3(\vec{k}' - \vec{k} - \vec{q})$$

$$\hat{\Xi}^{(0)}(k, p, S) = \frac{1}{2\pi} \sum_X \int d^4 \xi e^{-ik\xi} \langle 0 | \mathcal{L}^\dagger(\mathbf{0}, \infty) \psi(\mathbf{0}) | hX \rangle \langle hX | \bar{\psi}(\xi) \mathcal{L}(\xi, \mathbf{0}) | 0 \rangle$$

twist-3, 4 and 5 contributions

$$\tilde{W}_{\mu\nu}^{(1,L,si)}(q, p, S, k') = \int \frac{d^4 k_1}{(2\pi)^4} \frac{d^4 k_2}{(2\pi)^4} \text{Tr} \left[\hat{\Xi}^{(1,L)}(k_1, k_2; p, S) \hat{H}_{\mu\nu}^{(1,L)\rho}(z_1, z_2) \omega_\rho^{\rho'} \right] (2E_{k'}) (2\pi)^3 \delta^3(\vec{k}' - \vec{k}_c - \vec{q})$$

$$\hat{\Xi}_\rho^{(1,L)}(k_1, k_2, p, S) = \frac{1}{2\pi} \sum_X \int d^4 \xi d^4 \eta e^{-ik_1 \xi - i(k_2 - k_1) \eta} \langle 0 | \mathcal{L}(\mathbf{0}, \mathbf{y}) D_\rho(\eta) \mathcal{L}^\dagger(\mathbf{y}, \mathbf{z}) \psi(\mathbf{0}) | hX \rangle \langle hX | \bar{\psi}(\xi) \mathcal{L}(\xi, \infty) | 0 \rangle$$

$$D_\rho(y) = -i\partial_\rho + gA_\rho(y)$$

➡ A consistent framework for $e^-e^+ \rightarrow h + \bar{q}(\text{jet}) + X$ at LO pQCD including higher twists.

S.Y. Wei, K.B. Chen, Y.K. Song, & ZTL, PRD (2015).

Semi-Inclusive DIS: $e^- + N \rightarrow e^- + q(\text{jet}) + X$



Complete results for structure functions up to twist-4

$$\kappa_M \equiv \frac{M}{Q}, \quad \bar{k}_\perp \equiv \frac{|\vec{k}_\perp|}{M}$$

$$W_{UU,T} = x f_1 + 4x^2 \kappa_M^2 f_{+3dd}, \quad W_{UU,L} = 8x^3 \kappa_M^2 f_3$$

$$W_{UU}^{\cos 2\phi} = -2x^2 \kappa_M^2 \bar{k}_\perp^2 f_{-3d}^\perp$$

$$W_{UL}^{\sin 2\phi} = 2x^2 \kappa_M^2 \bar{k}_\perp^2 f_{+3dL}^\perp$$

$$W_{LL} = x g_{1L} + 4x^2 \kappa_M^2 f_{+3ddL}$$

$$W_{UT,T}^{\sin(\phi-\phi_s)} = \bar{k}_\perp (x f_{1T}^\perp + 4x^2 \kappa_M^2 f_{+3ddT}), \quad W_{UT,L}^{\sin(\phi-\phi_s)} = 8x^3 \kappa_M^2 \bar{k}_\perp f_{3T}^\perp$$

$$W_{UT}^{\sin(\phi+\phi_s)} = -x^2 \kappa_M^2 \bar{k}_\perp^3 (f_{+3dT}^{\perp 4} + f_{-3dT}^{\perp 2})$$

$$W_{UT}^{\sin(3\phi-\phi_s)} = -x^2 \kappa_M^2 \bar{k}_\perp^3 (f_{+3dT}^{\perp 4} - f_{-3dT}^{\perp 2})$$

$$W_{LT}^{\cos(\phi-\phi_s)} = \bar{k}_\perp (x g_{1T}^\perp + 4x^2 \kappa_M^2 f_{+3ddT}^{\perp 3})$$

$$W_{UU}^{\cos \phi} = -2x^2 \kappa_M \bar{k}_\perp f^\perp$$

$$W_{UL}^{\sin \phi} = -2x^2 \kappa_M \bar{k}_\perp f_L^\perp$$

$$W_{LU}^{\sin \phi} = 2x^2 \kappa_M \bar{k}_\perp g^\perp$$

$$W_{LL}^{\cos \phi} = -2x^2 \kappa_M \bar{k}_\perp g_L^\perp$$

$$W_{UT}^{\sin \phi_s} = -2x^2 \kappa_M f_T$$

$$W_{UT}^{\sin(2\phi-\phi_s)} = -x^2 \kappa_M \bar{k}_\perp^2 f_T^\perp$$

$$W_{LT}^{\cos \phi_s} = -2x^2 \kappa_M g_T$$

$$W_{LT}^{\cos(2\phi-\phi_s)} = -x^2 \kappa_M \bar{k}_\perp^2 g_T^\perp$$

(1) twist 2 and 4 \longleftrightarrow even number of ϕ and ϕ_s

twist-3 \longleftrightarrow odd number of ϕ and ϕ_s

(2) Wherever there is twist-2 contribution, there is a twist-4 addendum to it.

S.Y. Wei, Y.K. Song, K.B. Chen, & ZTL, PRD95, 074017 (2017).

I. Introduction: Inclusive DIS and parton model without QCD interaction

II. Gauge invariant parton distribution functions (PDFs) and collinear expansion for inclusive DIS

- Leading order pQCD & leading twist (leading power)
- Leading order pQCD & higher twists (higher powers/power suppressed)

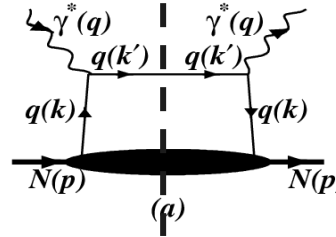
III. TMDs (transverse momentum dependent PDFs and FFs) defined via quark-quark correlator

IV. Accessing TMDs via semi-inclusive high energy reactions

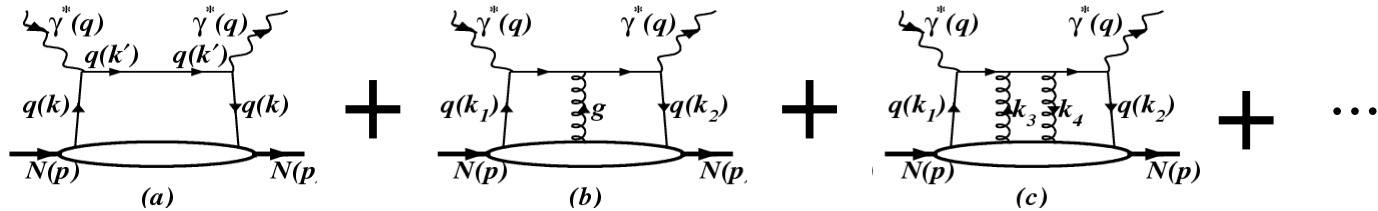
- Kinematical analysis
- Leading order pQCD & leading twist (leading power)
- **Collinear expansion** & higher twists (higher powers/power suppressed)

V. Summary and outlook

- (Gauge invariant) PDF is not merely



but



i.e., it always contains “intrinsic motion” and “multiple gluon scattering”.

- “Multiple gluon scattering” gives rise to the gauge link.
- Collinear expansion is the necessary procedure to obtain the correct formulism in terms of gauge invariant parton distribution functions (PDFs).
- Collinear expansion has been proven to be applicable to all processes where one hadron is explicitly involved.