





RICH detectors at LHCb

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Outline

- rationale and challenges of hadron identification at LHC
- the LHCb RICH detectors
- evolutions of the RICH system: past, current and future R&D

The LHCb detector during Run 1 and 2

[JINST 3 (2008) S08005]

- the LHCb detector covers the forward region in the 2 $<\eta<$ 5 range
- $\sim 25\%$ of the $bar{b}$ pairs are produced inside the LHCb acceptance
- LHCb ran with an instantaneuos luminosity of ${\cal L}=4\times 10^{32}\,{\rm cm}^{-2}{\rm s}^{-1}$, pile-up ~ 1
- CPV, rare b-hadron decays, spectroscopy, EW, pQCD, heavy ions



A typical *b*-event in LHCb during Run 1 and 2

Hundreds of photons, $\pi^0 \rightarrow \gamma\gamma$ and π^{\pm} are produced in average at the primary vertex (PV) in the full solid angle; tens of K_L^0 and K^{\pm} are produced as well \Rightarrow Large combinatorial background emerging from hadronisation, initial and final state radiation, and from the underlying event



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Why hadron identification?





Input to flavour tagging (through the detection of the $b \rightarrow c \rightarrow s$ decay chain) and to the second level of software trigger (HLT2)

Distinguish final states of identical topology



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LHCb requirements and hadron identification techniques

- *p*, *p̄*, *K*[±] and π[±] can be distinguished by determining their mass:
 p = mγβ ⇒ equivalent to measure their velocity β since the momentum is known from the tracking system
- different mechanisms can in principle be exploited to measure β: difference in the time of flight, energy loss via ionisation, transition radiation and Cherenkov radiation
- need to adopt a technique to allow precise (at a per-mille relative precision level) flavour physics measurements, in a harsh hadronic environment with large backgrounds, studying decays involving final state particles of momenta up to 100 GeV
- Ring-Imaging Cherenkov (RICH) detectors with gas radiators are suitable to measure β in a wide range of momenta



LHCb RICH detectors

- RICH1 (C4F10): 3 GeV–40 GeV, 25–300 mrad, $2\times3\times1\,\mathrm{m}^3$
- RICH2 (CF₄): $30 \,\mathrm{GeV}$ -100 GeV, 15–120 mrad, $100 \,\mathrm{m}^3$



The main ingredients driving the performance are **radiators**, **optics and mirrors**, **photon detectors** and **electronics**: any evolution of a RICH system passes through R&D on at least one of these aspects!

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RICH performance = Cherenkov angle resolution

 $\Delta \beta / \beta = \Delta \theta_C \tan \theta_C$, where $\Delta \theta_C = \sigma_c / \sqrt{N_{ph}} + C_{\text{tracking,alignment,...}}$

- σ_c is the resolution per single photon in a ring. The main contributions to keep under control (disk \rightarrow ring) are:
 - emission point error due to the unknown emission point of the Cherenkov light: optimise the optics of the mirror system to focus the Cherenkov light
 - **pixel size error** due to the finite size of the photon detectors: choose photon detectors with optimal spatial granularity
 - chromatic error due to the radiator dispersion (different Cherenkov angles from the same track): appropriate choice of the radiator material to avoid large variations of the refractive index with the Cherenkov photons energy



- photon yield (N_{ph}) as large as possible
- background counts as low as possible
- efficient pattern recognition keeping the peak occupancy under control (around 30%)

Excellent performance in Run 1 and 2 thanks to an overall single photon Cherenkov angle resolution $\sigma_c^{\rm RICH1} \sim 1.7$ mrad and $\sigma_c^{\rm RICH2} \sim 0.6$ mrad

LHCb upgrades

Observable	Current LHCb	Upgi	Upgrade I	
	$({ m up to } 9{ m fb}^{-1})$	$(23{ m fb}^{-1})$	$(50{ m fb}^{-1})$	$(300{ m fb}^{-1})$
CKM tests				
$\gamma ~(B ightarrow DK, ~etc.)$	4° [9, 10]	1.5°	1°	0.35°
$\phi_s \; \left(B^0_s ightarrow J\!/\!\psi \phi ight)$	$49 \mathrm{mrad}$ [8]	$14\mathrm{mrad}$	$10\mathrm{mrad}$	$4\mathrm{mrad}$
$ V_{ub} / V_{cb} \ (\Lambda_b^0 \to p\mu^-\overline{\nu}_\mu, \ etc.)$	6% [29,30]	3%	_	1%
$a^d_{ m sl}~(B^0 o D^- \mu^+ u_\mu)$	$36 imes 10^{-4} [34]$	8×10^{-4}	$5 imes 10^{-4}$	$2 imes 10^{-4}$
$a_{ m sl}^{s}~(B_{s}^{0} ightarrow D_{s}^{-}\mu^{+} u_{\mu})$	$33 imes 10^{-4}$ [35]	$10 imes 10^{-4}$	$7 imes 10^{-4}$	$3 imes 10^{-4}$
Charm				
$\Delta A_{CP} \ (D^0 \rightarrow K^+ K^-, \pi^+ \pi^-)$	$29 imes 10^{-5}$ [5]	17×10^{-5}	—	$3.0 imes10^{-5}$
$A_{\Gamma} \left(D^0 ightarrow K^+ K^-, \pi^+ \pi^- ight)$	$13 imes 10^{-5}$ [38]	$4.3 imes 10^{-5}$	—	$1.0 imes 10^{-5}$
$\Delta x \ (D^0 \rightarrow K^0_{ m s} \pi^+ \pi^-)$	18×10^{-5} [37]	$6.3 imes 10^{-5}$	$4.1 imes 10^{-5}$	$1.6 imes10^{-5}$
Rare Decays				
$\mathcal{B}(B^0 \to \mu^+ \mu^-) / \mathcal{B}(B^0_s \to \mu^+ \mu^-)$	$^{-}$) 71% [40, 41]	34%	—	10%
$S_{\mu\mu} \left(B^0_s ightarrow \mu^+ \mu^- ight)$		—	—	0.2
$A_{ m T}^{(2)}~(B^0 o K^{*0} e^+ e^-)$	0.10 [52]	0.060	0.043	0.016
$A_{\mathrm{T}}^{\mathrm{Im}}~(B^0 ightarrow K^{*0} e^+ e^-)$	0.10 [52]	0.060	0.043	0.016
${\cal A}^{ar \Delta \Gamma}_{\phi \gamma}(B^0_s o \phi \gamma)$	$^{+0.41}_{-0.44}$ [51]	0.124	0.083	0.033
$S_{\phi\gamma}(B^0_s o \phi\gamma)$	0.32 [51]	0.093	0.062	0.025
$\alpha_{\gamma}(\Lambda_b^0 \to \Lambda \gamma)$	$^{+0.17}_{-0.29}$ [53]	0.148	0.097	0.038
Lepton Universality Tests				
$R_K (B^+ \to K^+ \ell^+ \ell^-)$	0.044 [12]	0.025	0.017	0.007
$R_{K^*} \ (B^0 o K^{*0} \ell^+ \ell^-)$	0.10 [61]	0.031	0.021	0.008
$R(D^*) \ (B^0 o D^{*-} \ell^+ u_\ell)$	$0.026 \ [62, 64]$	0.007		0.002

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LHCb upgrades

- in order to achieve the required statistical uncertainties, the instantaneous luminosity (*i.e.* the pile-up) needs to be increased
- starting from Run 3 we have a shift of paradigm, removing the hardware level trigger and running the LHCb experiment with a triggerless readout system at 40 MHz



Requirements for the evolution of the LHCb RICH system

Keep the excellent Run 1 and 2 performance while increasing the instantaneous luminosity up to a pile-up of \sim 40!



RICH1 rings with 1 PV

RICH1 rings with 5 PVs

RICH1 rings with 10 PVs

Introduction of timing concept more and more relevant! (rings in blue matching search window for the input tracks)

https://doi.org/10.17863/CAM.78867

Radiators



LHCb RICH radiators

- fluorocarbon gases were chosen because of the relatively low chromatic dispersion
- C₄F₁₀: n = 1.0014 at 400 nm, gas vessel: $2 \times 3 \times 1 \text{ m}^3$
- CF₄: *n* = 1.0005 at 400 nm, gas vessel: 100 m³



Aerogel (n = 1.03 at 540 nm) was used for $p < 10 \,\mathrm{GeV}$: not optimal discrimination due to lower than expected signal to noise ratio (Rayleigh scattering producing backgrounds), absorption of C₄F₁₀ degrading the overall RICH1 performance \Rightarrow **abandoned after Run 1**

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Improvements related to radiators

The chromatic error depends on the convolution between the dispersion and the photon detector quantum efficiency (QE)



Radiators R&D

- fluorocarbon gases have large Global Warming Potential $GWP(C_4F_{10}) = 8500 \text{ CO}_2$, $GWP(CF_4) = 7000 \text{ CO}_2$ G. Hallewell's talk at RICH2022
- could replace CF₄ (n=1.0005) with CO₂ (n=1.0004): photon yield $(\propto 1 1/n^2)$ marginally lower, but worse chromaticity (from 0.34 to 0.53 mrad for MaPMT QE)
- intense R&D and studies at CERN to find alternatives to C_4F_{10} , matching its refractive index and allowing operations in the LHCb environment

Radiators R&D

- R&D on aerogel with improved clarity to extend the coverage to low momenta at hadron colliders
- very interesting early stages R&D on metamaterials: layers of dielectrics resulting in an effective and tunable refractive index
- possibility to tune emission angle as well to completely rethink the geometry of RICH detectors and make them compact



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Optical system

LHCb RICH mirrors

- high reflectivity (> 90% in the wavelengths of interest) to maximise the number of Cherenkov photons in the photon detector planes
- carbon fibre reinforced polymer substrates are used in RICH1 spherical mirrors to minimise the material budget in the acceptance: $\sim 1\%~X_0$ RICH1





26x2 (20x2) spherical (flat) mirror segments

Evolution of RICH1 optical system in the current Run 3 system



- curvature radius R increased of a factor $\sim \sqrt{2} \Rightarrow$ peak occupancy \sim halved, reduced $\sigma_{\rm pixel} \propto 1/R$
- emission point error from 0.61 mrad to 0.36 mrad
- extend overall gas volume of 100 mm $\Rightarrow +14\%$ Cherenkov photons

New mirrors, gas enclosure, quartz window

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Possible further evolutions of the optical system



- move the flat mirror in the acceptance: requires R&D on carbon fibre flat mirrors, light-weight supports and with good resistance to radiation
- emission point error can go down to \sim 0.1 mrad in RICH1 and from 0.32 to 0.05 mrad in RICH2
- further increase in spherical mirror curvature radius ⇒ reduced occupancy and decreased pixel error

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Photon detectors, electronics and DAQ

Photon detectors during Run 1 and 2

- Cherenkov radiation focused on Hybrid Photon Detectors (HPDs) plane
- $\bullet\,$ HPDs equipped with embedded frontend electronics working at $1\,\mathrm{MHz}$ readout
- photoelectron accelerated by a $-20\,\rm kV$ potential towards a silicon matrix of 1024 pixels
- band gap in Si is $3.6 \,\mathrm{eV} \Rightarrow \sim 5000 \, e$ -h pairs/photoelectron





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Photon detectors in Run 3

- removing the hardware level trigger in LHCb means that we have to readout the RICH detectors at 40 MHz ⇒ replace HPDs with Hamamatsu Multi-anode Photo-Multiplier Tubes with external readout
- R11265 and R12699 types, commercial candidates chosen given the excellent active area ($\sim 80\%$), good spatial granularity $O(10 \text{mm}^2)$, and excellent response to detection rates up to $O(100 \text{ MHz/cm}^2)$
- impressive quantum efficiency of 40% at 300 nm in average!





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Photon detection planes in Run 3





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First rings in Run 3 at 13.6 TeV



Photon detectors and electronics for further upgrades

- in order to run with a further increase in the pile-up (from 5 to 40), the reduction of the pixel size and the introduction of the time coordinate is necessary: fast ($\mathcal{O}(100)$ ps) photon detectors and fast electronics
- detection rates up to ${\cal O}(1~GHz/cm^2),$ corresponding to a neutron fluence (10y) of $6\cdot10^{13}~n_{eq}~cm^{-2}$
- can exploit the prompt production of Cherenkov radiation doi:10.17863/CAM.45822



- need for very precise clock distribution across tens of km from LHC machine and accurate length+quality of data distribution optical fibres
- need for operationally feasible calibration strategies to achieve a stable sub-ns time alignment across an area of $\sim 4~m^2$

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Candidate photon detectors for future upgrades: SiPMs

- SiPMs have several advantages: extremely fine granularity, resiliance to magnetic fields, high photon detection efficiency, green-enhanced quantum efficiency, good timing
- but important drawbacks: dark count rates after irradiation at $10^{13} n_{eq} \text{ cm}^{-2}$ (~ 1y = 6e + 6 s ofdata taking) are larger than expected signal rate
- could be compensated by annealing and cryogenics operations: but very challenging from the operational point of view and requiring R&D on a possible interface between the photon detectors environment and the gas radiator envelope



Hamamatsu S14161-3050HS-08



Candidate photon detectors for future upgrades: MCP-based

Extremely good time resolution < 100 ps, custom pixelisation tailored for individual applications, **but important drawbacks** related to lifetime and rate capability: R&D ongoing

Conventional MCPs



Large Area Picosecond PhotoDetector



MCP-HPD [JINST 13 C12005 2018]



Performances in a nutshell

[CERN-LHCC-2021-012]

Radiator	C ₄ F ₁₀			CF ₄		
Detector version	RICH1 HPD	RICH1 MaPMT	RICH1 SiPM+optics	RICH2 HPD	RICH2 MaPMT	RICH2 SiPM+optics
Photon yield	30	60	40-30	18	30	30-20
Single photon errors [mrad]						
Chromatic Pixel Emission point	0.84 0.99 0.61	0.52 0.50 0.36	0.11 0.15 0.12	0.48 0.35 0.32	0.34 0.22 0.32	0.10 0.07 0.05
Overall	1.66	0.81	0.22	0.62	0.52	0.13





29/30

Conclusions

- the LHCb RICH detectors have been upgraded to keep the excellent Run 1 and 2 performance with a five-fold increase in luminosity, a first introduction of the timing concept and with improvements in the overall Chrenkov angle resolution: **now operational**
- a first enhancement of the RICH system is foreseen between Run 3 and Run 4 with fast frontend electronics F. Keizer talk at RICH2022
- R&D ongoing on optics, radiators, photon detectors, fast electronics, mechanics to achieve the ultimate performance of a RICH detector in a hadronic enviroment, to allow operations at the High-Lumi LHC with a pile-up in LHCb of 40 interactions per bunch crossing

