

Particle Flow for Dual Read-Out Calorimeter

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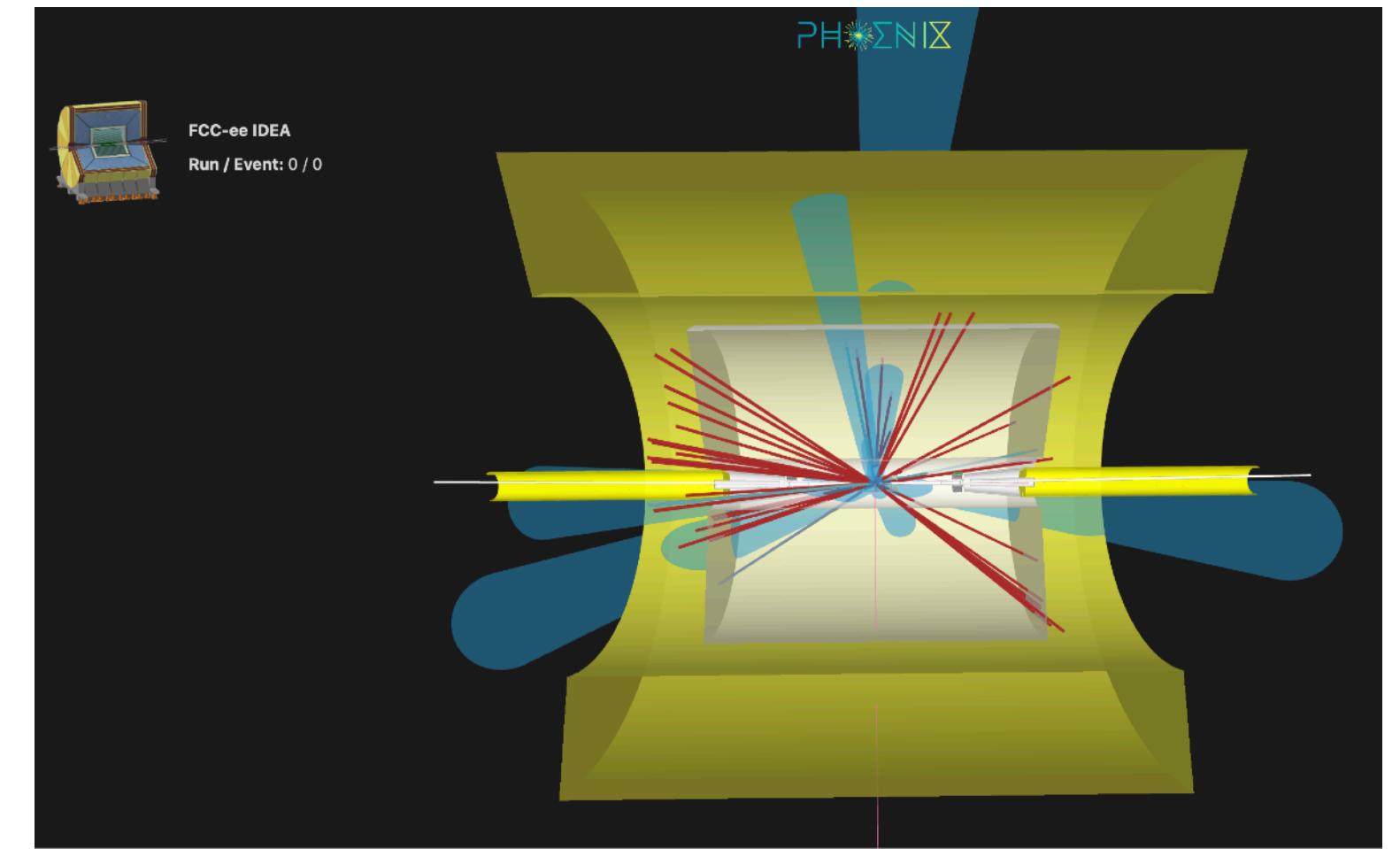
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Istituto Nazionale di Fisica Nucleare
SEZIONE DI ROMA TRE

Outline

- ◆ Highlights about dual read-out calorimeter for the IDEA detector (for a detailed overview see the previous talk)
 - ◆ Single, dual-readout calorimeter for EM and **HAD** calorimetry
 - ◆ But option to have a **crystal, dual-readout** EM section
- ◆ Detector layout
- ◆ Energy measurement
- ◆ Particle Flow algorithm for dual-read-out calorimeters
 - ◆ Software implementation
 - ◆ Neural Network approach used for particle identification and jet energy reconstruction
 - ◆ Preliminary results

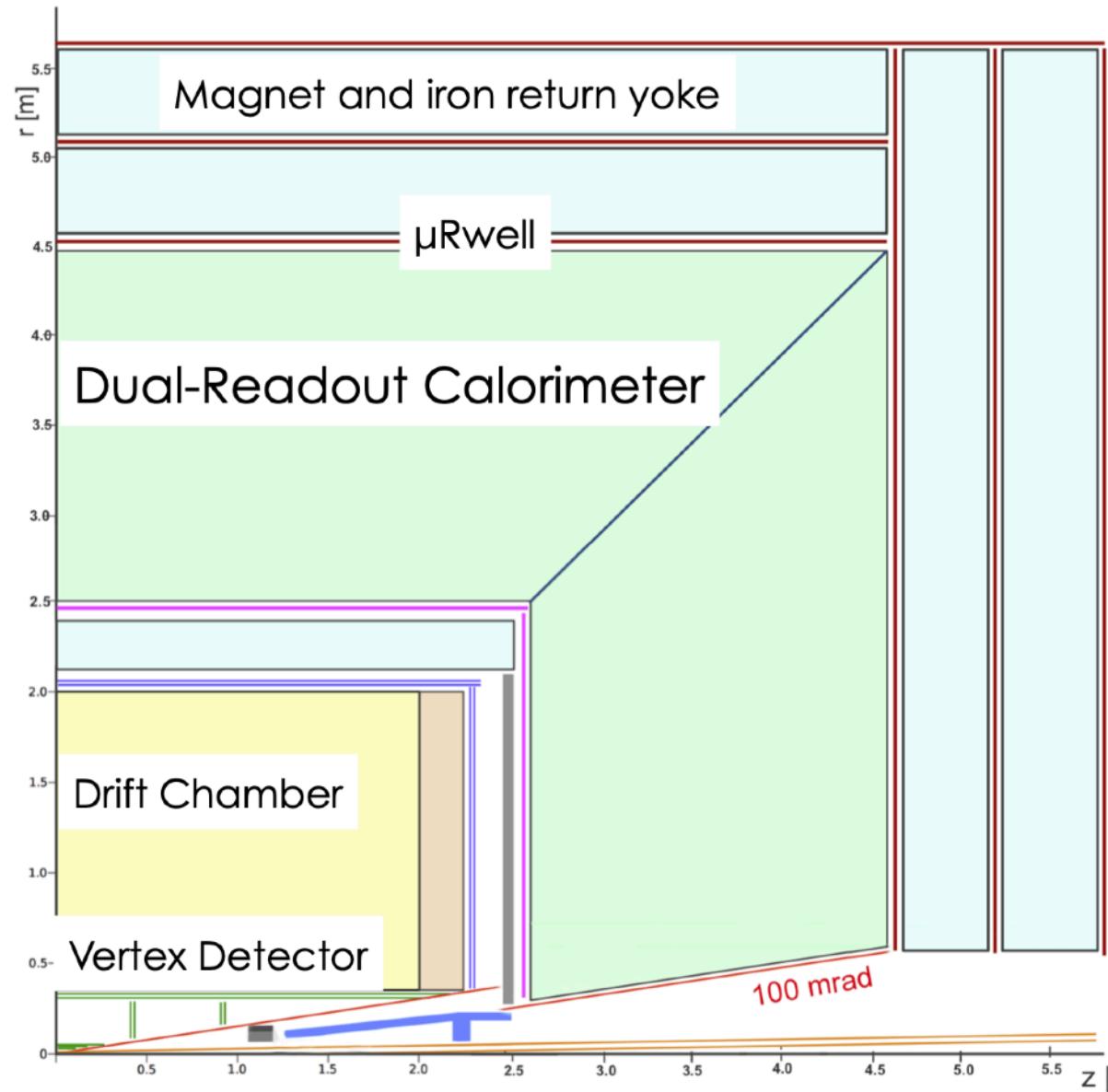


Physics Process	Measured Quantity	Critical Detector	Required Performance
$ZH \rightarrow \ell^+ \ell^- X$	Higgs mass, cross section	Tracker	$\Delta(1/p_T) \sim 2 \times 10^{-5}$
$H \rightarrow \mu^+ \mu^-$	$\text{BR}(H \rightarrow \mu^+ \mu^-)$		$\oplus 1 \times 10^{-3} / (p_T \sin \theta)$
$H \rightarrow b\bar{b}, c\bar{c}, gg$	$\text{BR}(H \rightarrow b\bar{b}, c\bar{c}, gg)$	Vertex	$\sigma_{r\phi} \sim 5 \oplus 10 / (p \sin^{3/2} \theta) \mu\text{m}$
$H \rightarrow q\bar{q}, VV$	$\text{BR}(H \rightarrow q\bar{q}, VV)$	ECAL, HCAL	$\sigma_E^{\text{jet}} / E \sim 3 - 4\%$
$H \rightarrow \gamma\gamma$	$\text{BR}(H \rightarrow \gamma\gamma)$	ECAL	$\sigma_E \sim 16\% / \sqrt{E} \oplus 1\% (\text{GeV})$

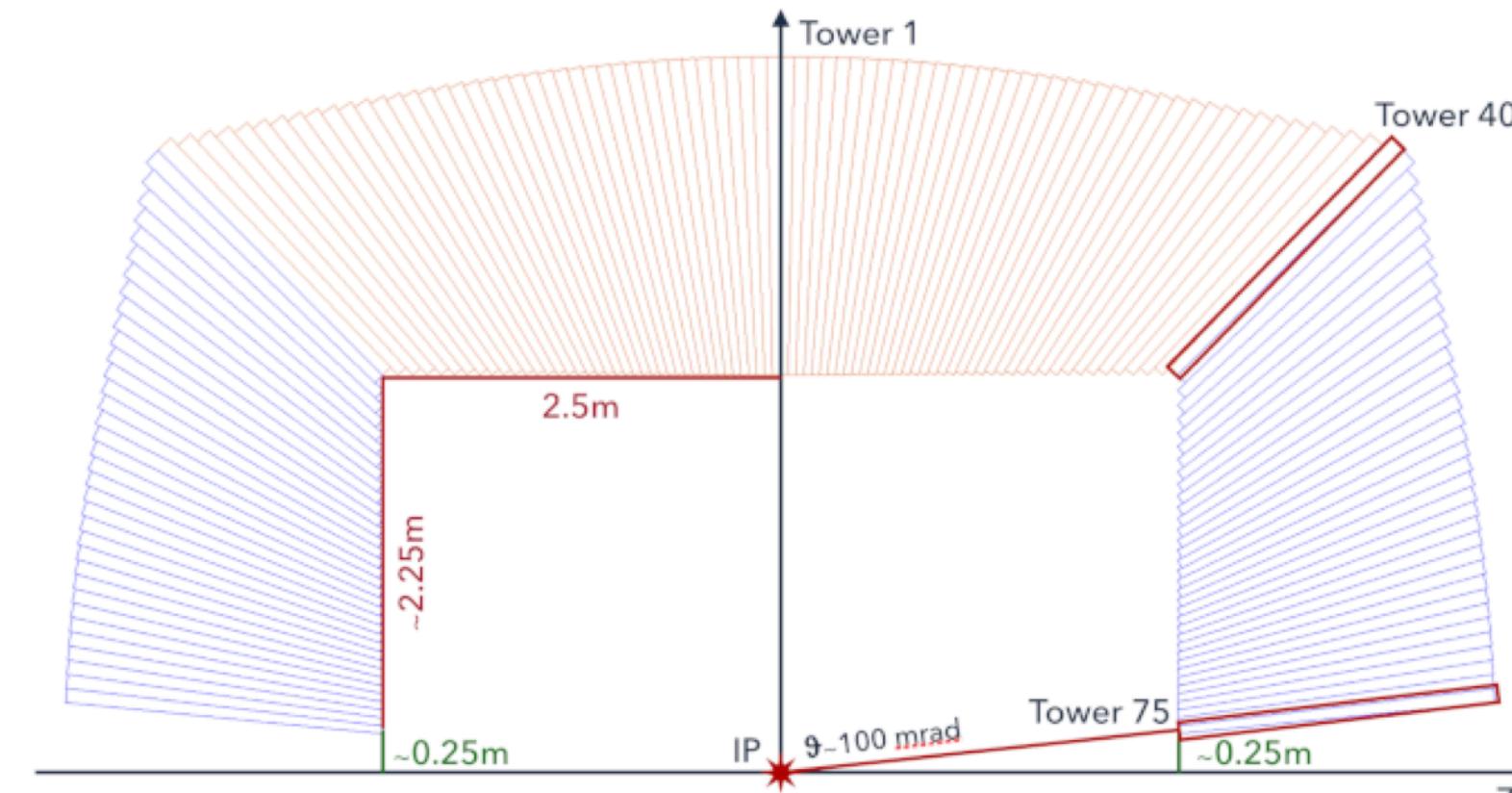
Desired jet energy resolution

Dual Read-out Calorimeter for the IDEA detector

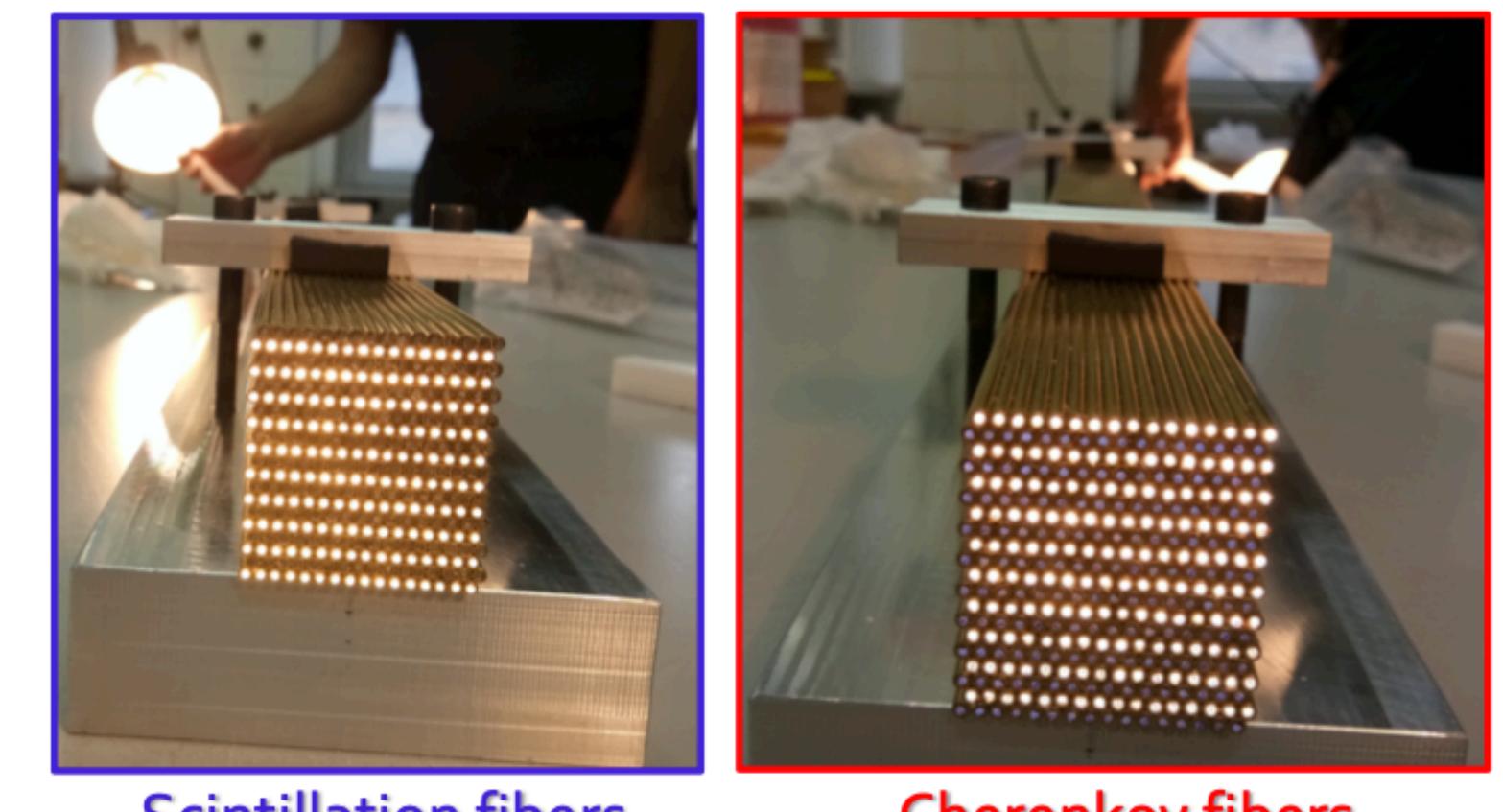
- ◆ Dual readout calorimeters aim at improving the energy resolution of hadronic calorimeters
 - ◆ Generally driven by the fluctuations between the electromagnetic and the hadronic component of showers
- ◆ Measure the hadronic component and the electromagnetic component (dual readout) of the showers separately, to derive proper correction factors to be applied to each component to reconstruct the energy of the impinging hadrons
- ◆ Exploit a passive/material - fibre layout where two type of fibres, one sensitive to the usual scintillation process, a second type of fibre producing Cherenkov light when ultra-relativistic particles cross with a speed higher than the speed of light in that fibre



A. IDEA detector transversal view



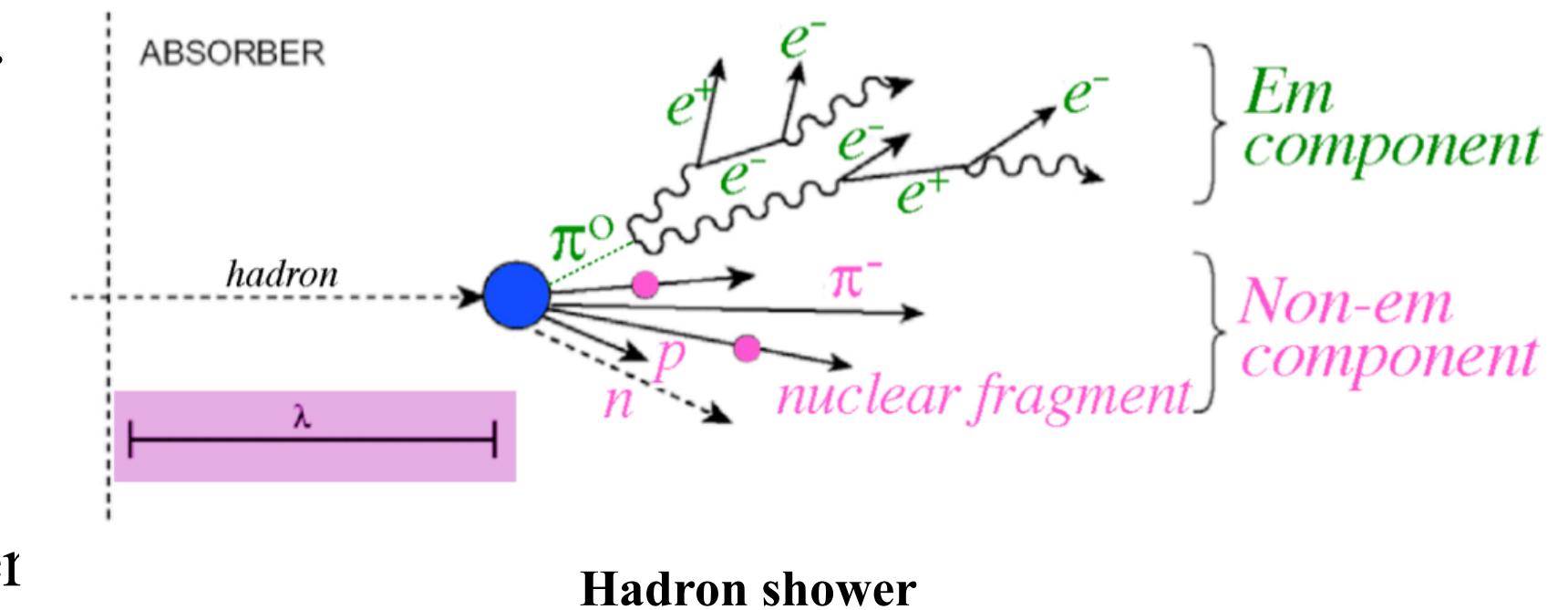
B. Sketch of a single slice of the IDEA calorimeter



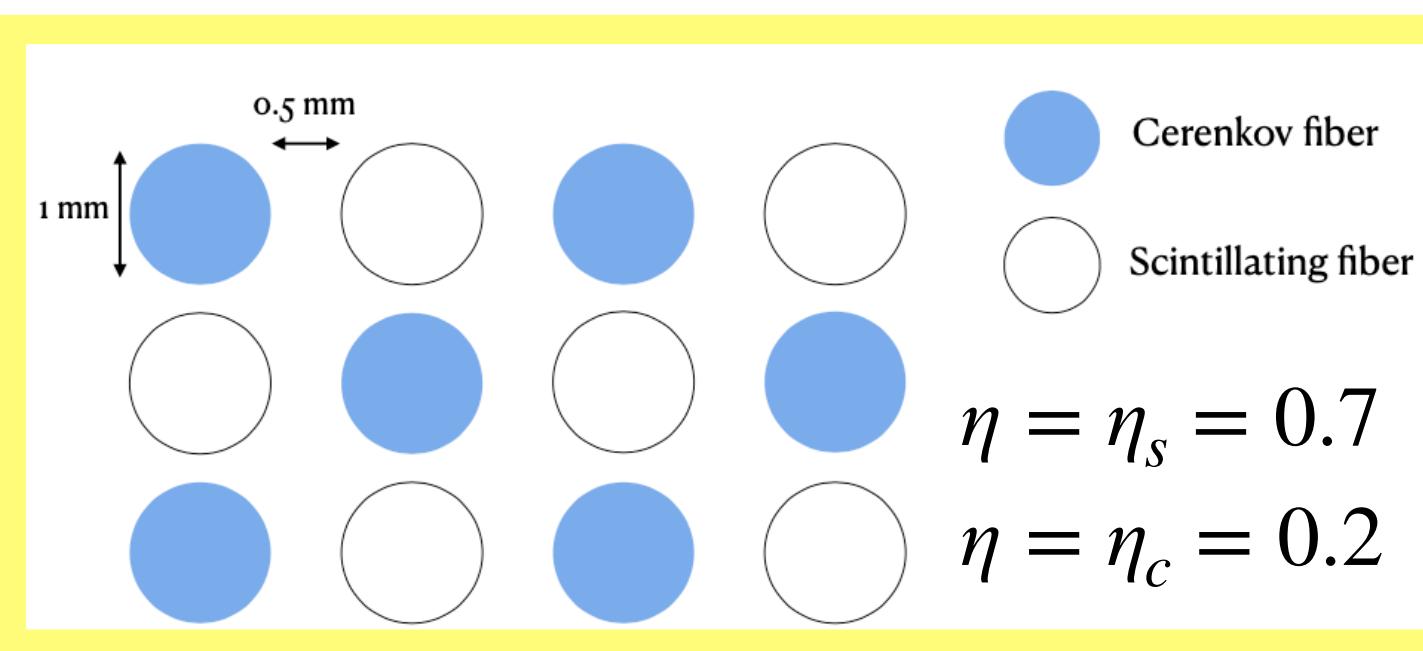
C. Current R&Ds

Energy Measurement

- Non-compensating calorimeters: response to EM part different from that to non-EM part.
- The response ratio for electrons and charged hadrons is: $\frac{h}{e} = \eta < 1$
- The EM fraction of the shower, $\langle f_{em} \rangle$, is energy dependent \Rightarrow Non-linear calorimeter response to hadrons
- $\langle f_{em} \rangle$ fluctuations largely determine energy resolution \Rightarrow sampling the hadronic shower with two calorimeters with different e/h boosts energy resolution

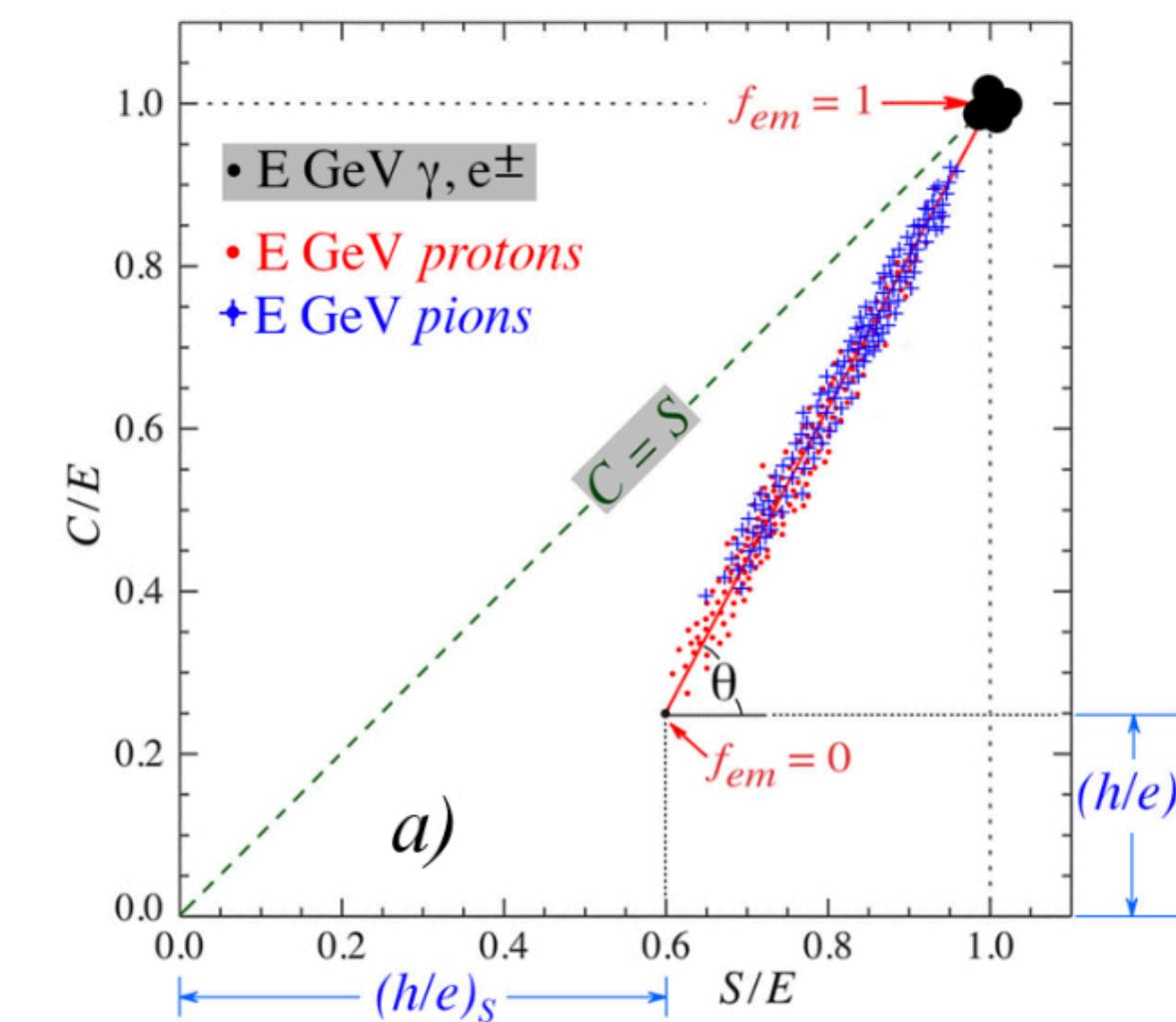


$$S = E \left[f_{em} + \eta_s \cdot (1 - f_{em}) \right] \quad \Rightarrow \quad \frac{C}{S} = \frac{\left[f_{em} + \eta_c \cdot (1 - f_{em}) \right]}{\left[f_{em} + \eta_s \cdot (1 - f_{em}) \right]}$$

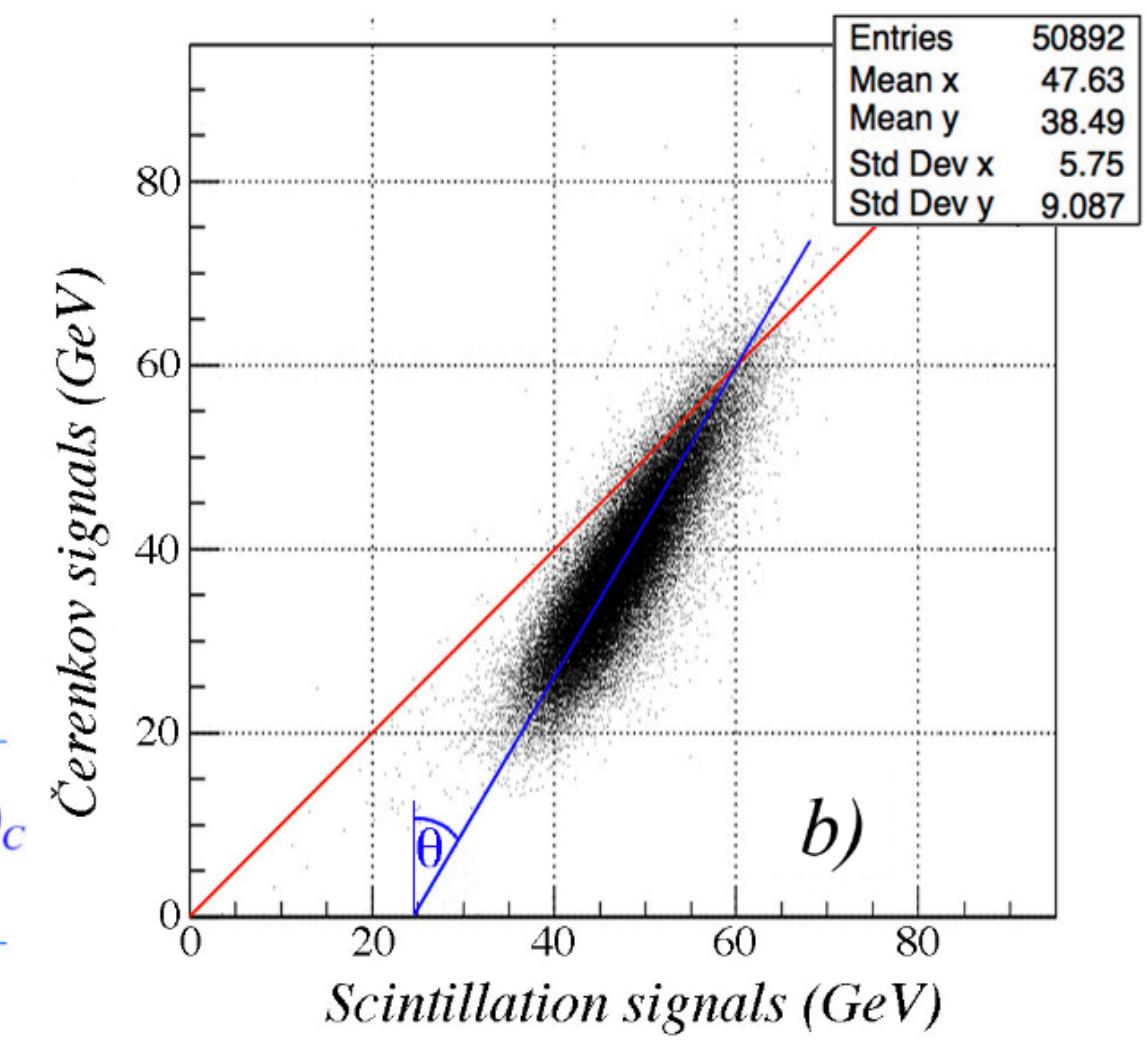


$$\chi = \frac{1 - \eta_s}{1 - \eta_c} = \cot(\theta)$$

$$E = \frac{S - \chi C}{1 - \chi}$$



a) Scatter plot of C/E versus S/E in a dual-readout calorimeter for p and π

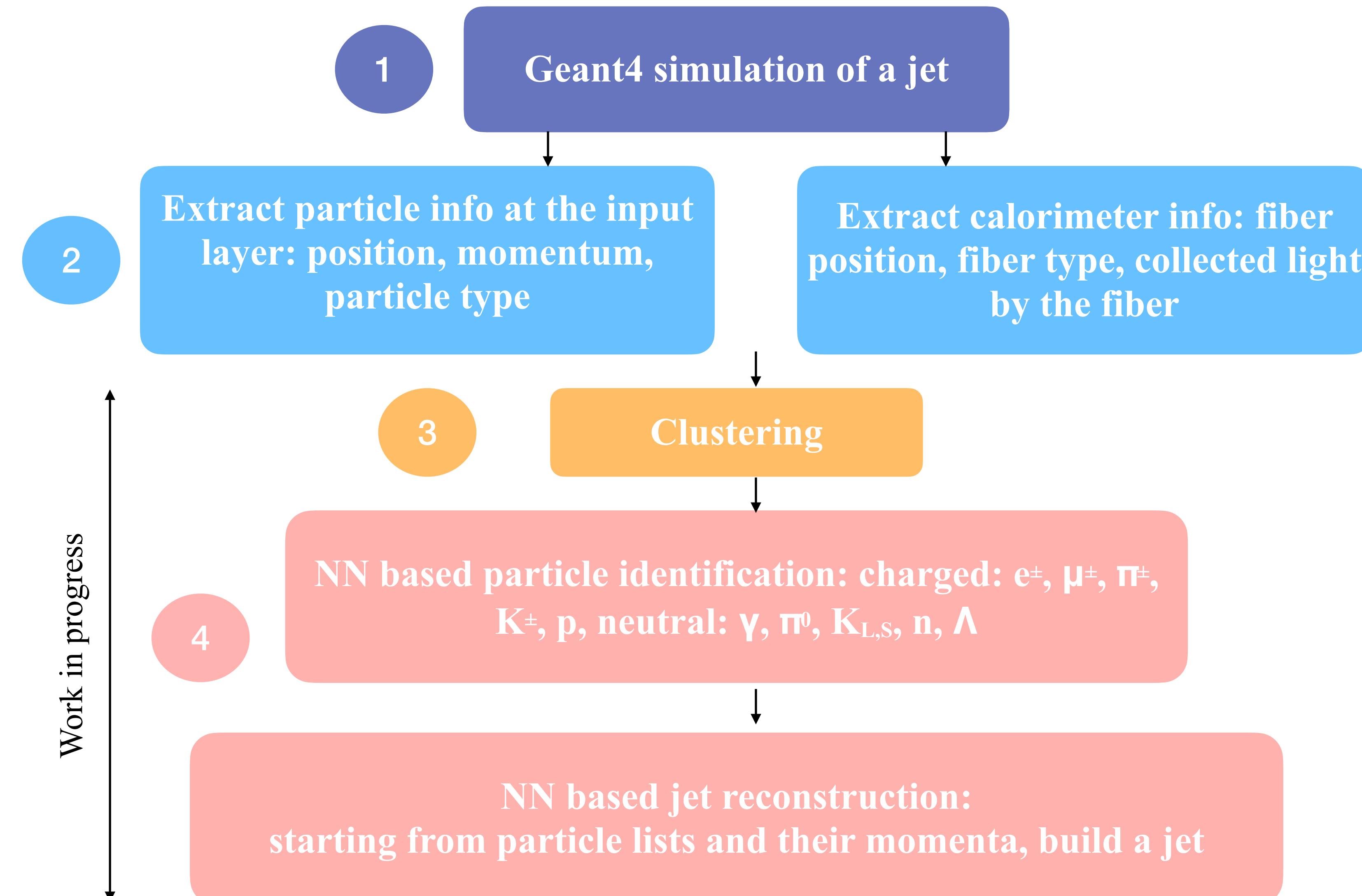


b) Scatter plot of C and S signals for 60 GeV pions in the RD52 lead-fiber calorimeter

The Particle Flow Project

- ◆ In general, particle flow algorithms applied to dual read-out calorimeters provide limited performance on the energy resolution of the electromagnetic component of the jets
 - ➊ Scenarios with EM calorimeter added in front of the IDEA dual read-out calorimeter —> Cons: calorimeter non-compensation
 - ➋ Ongoing R&D for crystal dual read-out calorimeters to fix the compensation issue
- ◆ The aim of the project is to build a Neural Network based algorithm that, from a given collection of energy deposits in the calorimeter, is able to completely reconstruct a jet in the detector
- ◆ Our goal: maximise the energy resolution of the dual read-out calorimeter exploiting NNs and taking as input all the available kinematic variables
 - ➊ NN based particle identification: use as basis a particle flow approach, which aims at identifying each single particle inside a jet
 - ➋ NN based jet reconstruction: construct a regression algorithm for particle-jet assignment and jet energy reconstruction

Overview of the Project



Software Implementation

Input from detector simulation
(EDM4HEP) format



Reading using KEY4HEP code



Dumping algorithm, input variables for NN training



NN training using Tensorflow on CPU/GPU

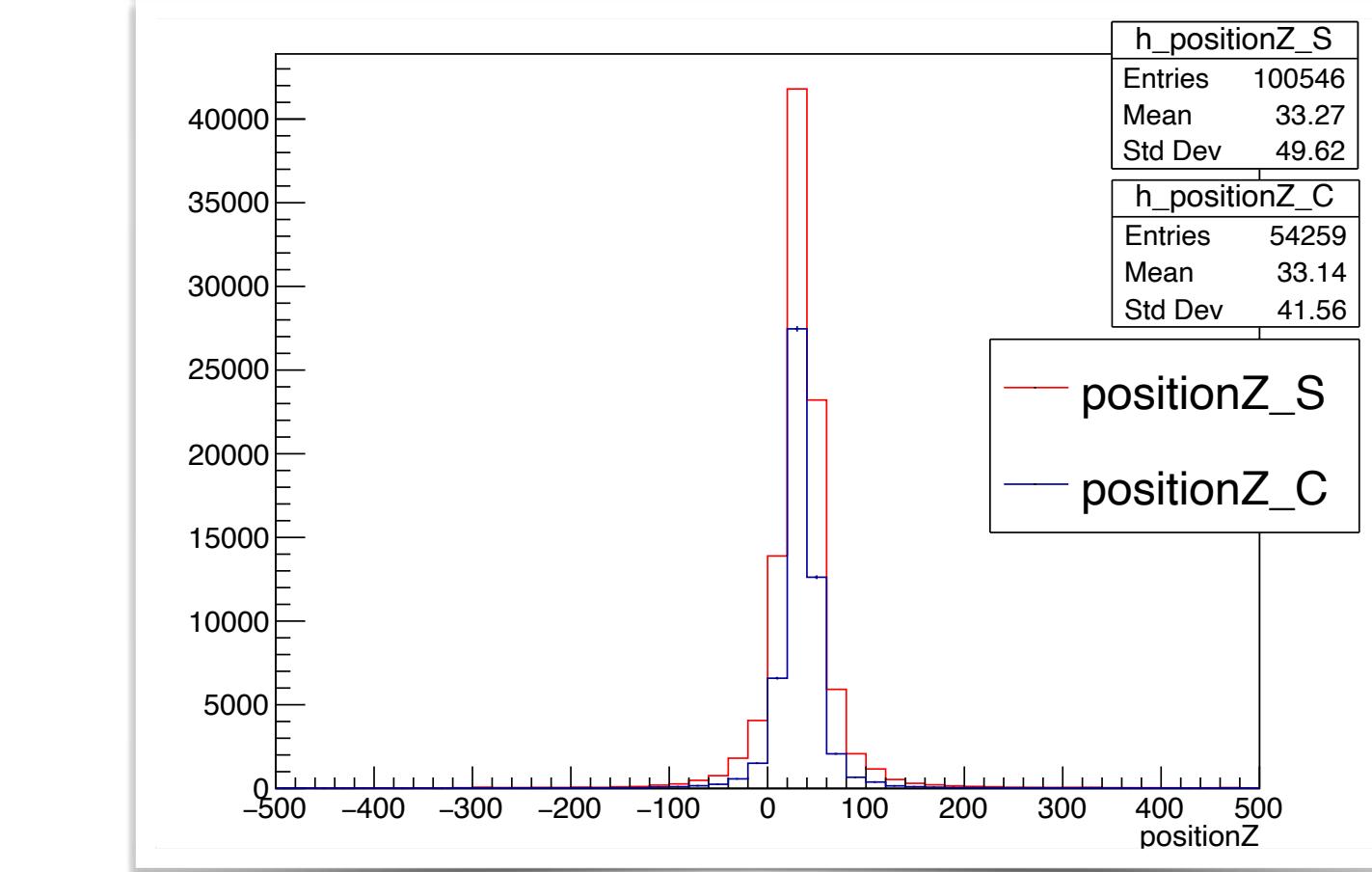
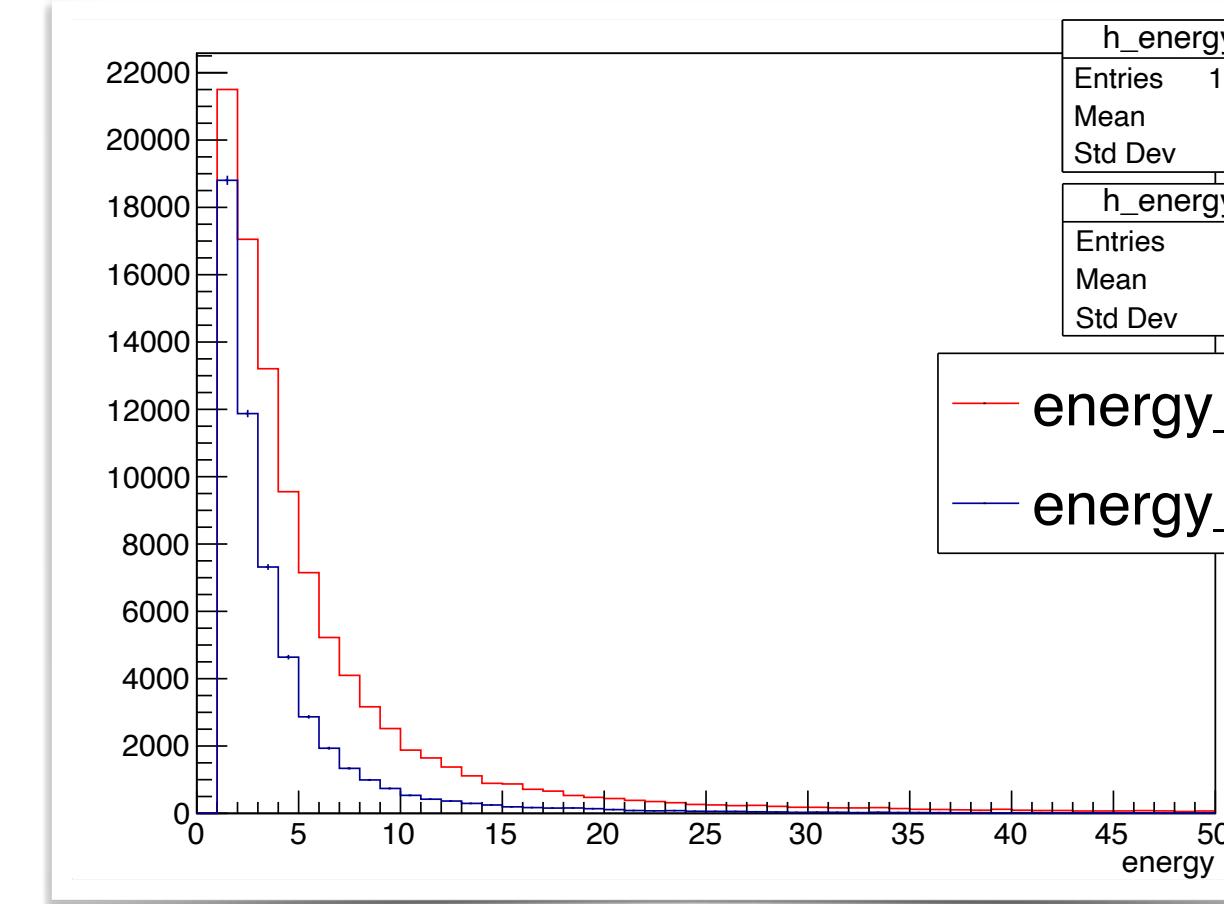
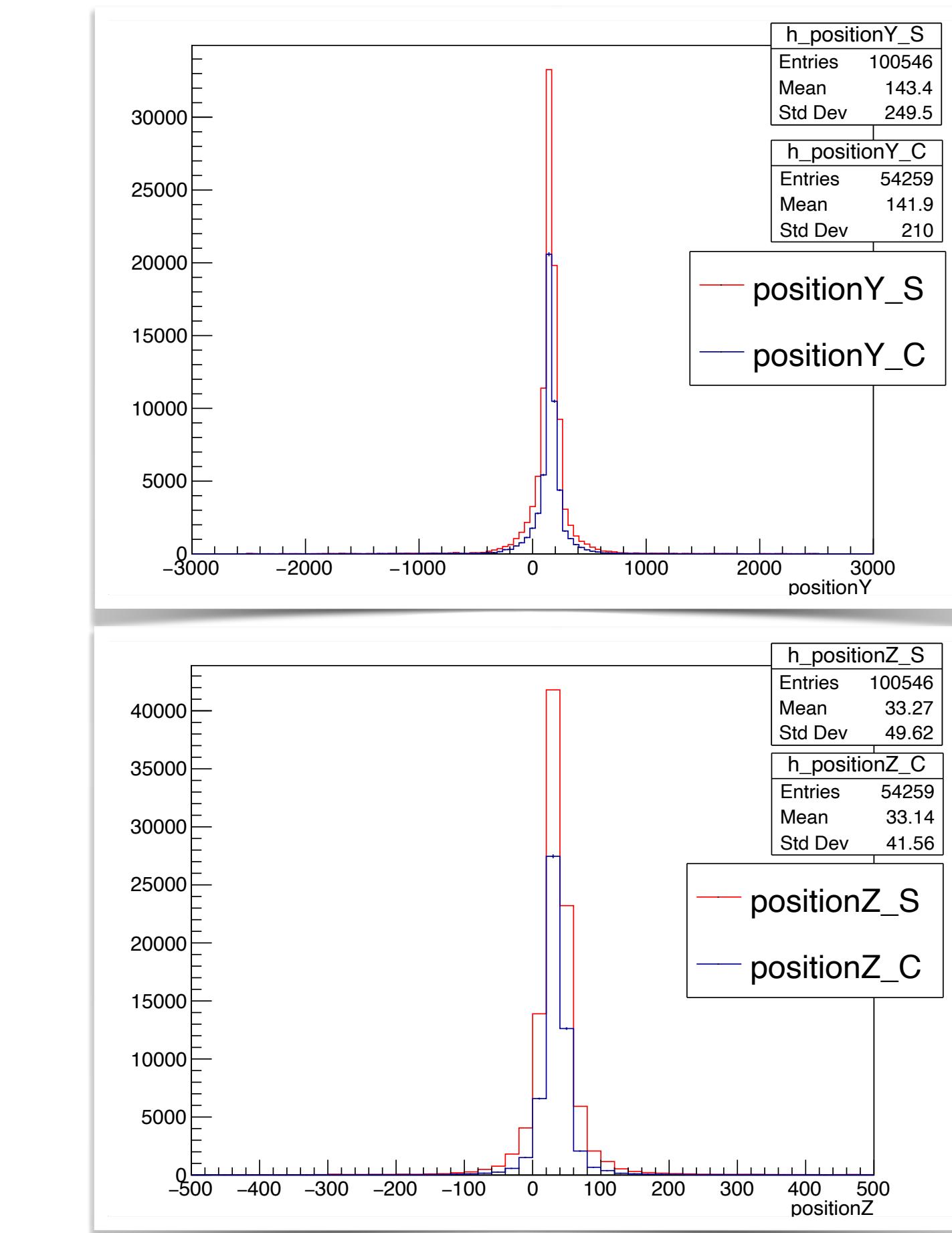
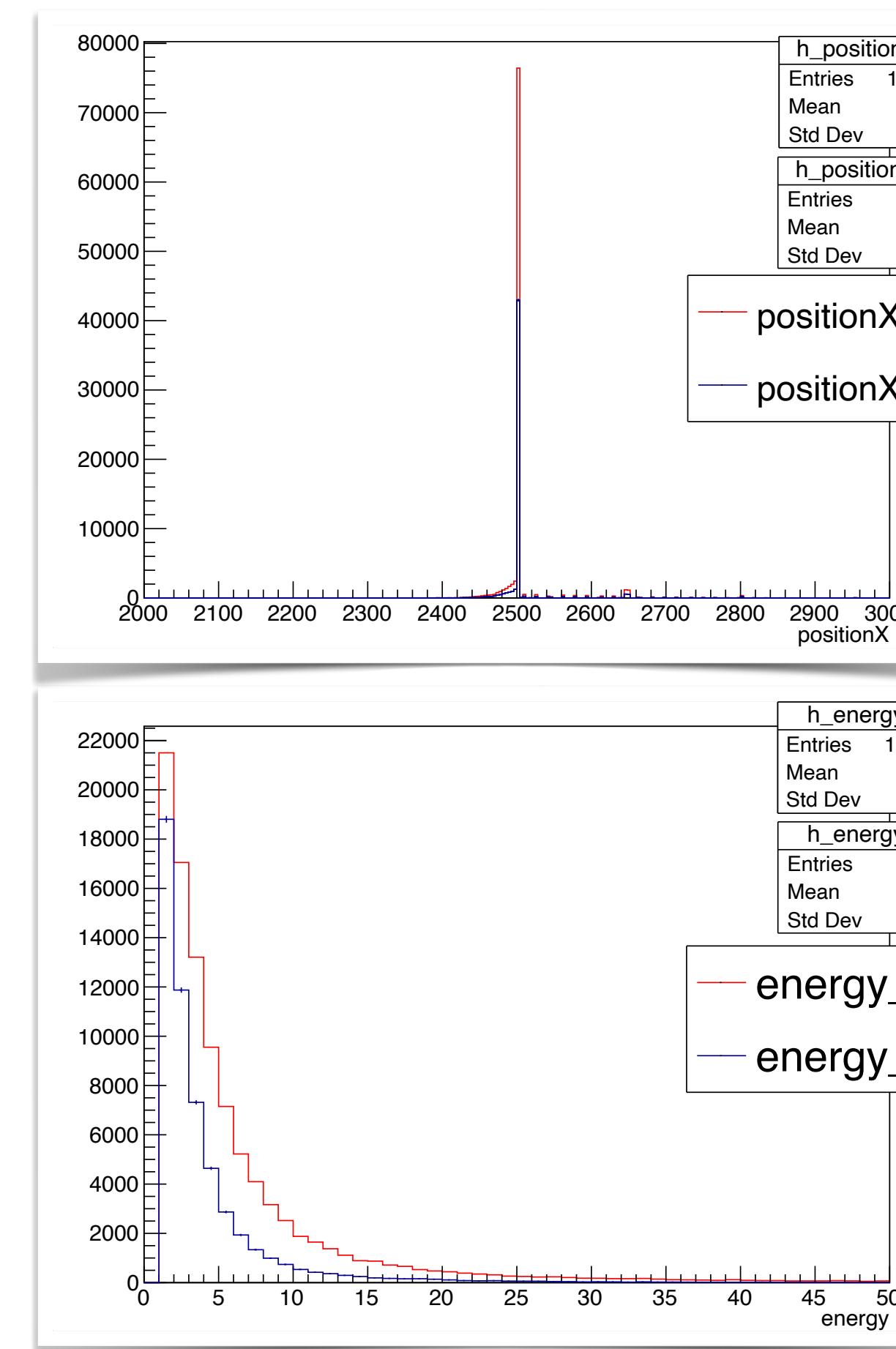
- ◆ Geant4-based simulations of the IDEA detector for e , π , K with energy and angular uniform distribution (thanks for the inputs!)
- ◆ An algorithm was developed that reads KEY4HEP format and produces an output to perform a Neural Network training
 - Interface between KEY4HEP and Pandora
- ◆ Target: build a NN able to reconstruct the energy and the position of the impinging particles and identify them
 - Regression and classification (to discriminate e , π , K) algorithms implemented in a single NN
- ◆ State of the art:
 - NN studies performed on an input sample containing 20 GeV electrons, training performed for energy regression

Kinematic distributions - 20 GeV electrons

All events used
(#102)

- Position and energy collected in the scintillating (S) and Cerenkov (C) fibres in 100 events **simulating** impinging electrons of 20 GeV

Dumper Algorithm output

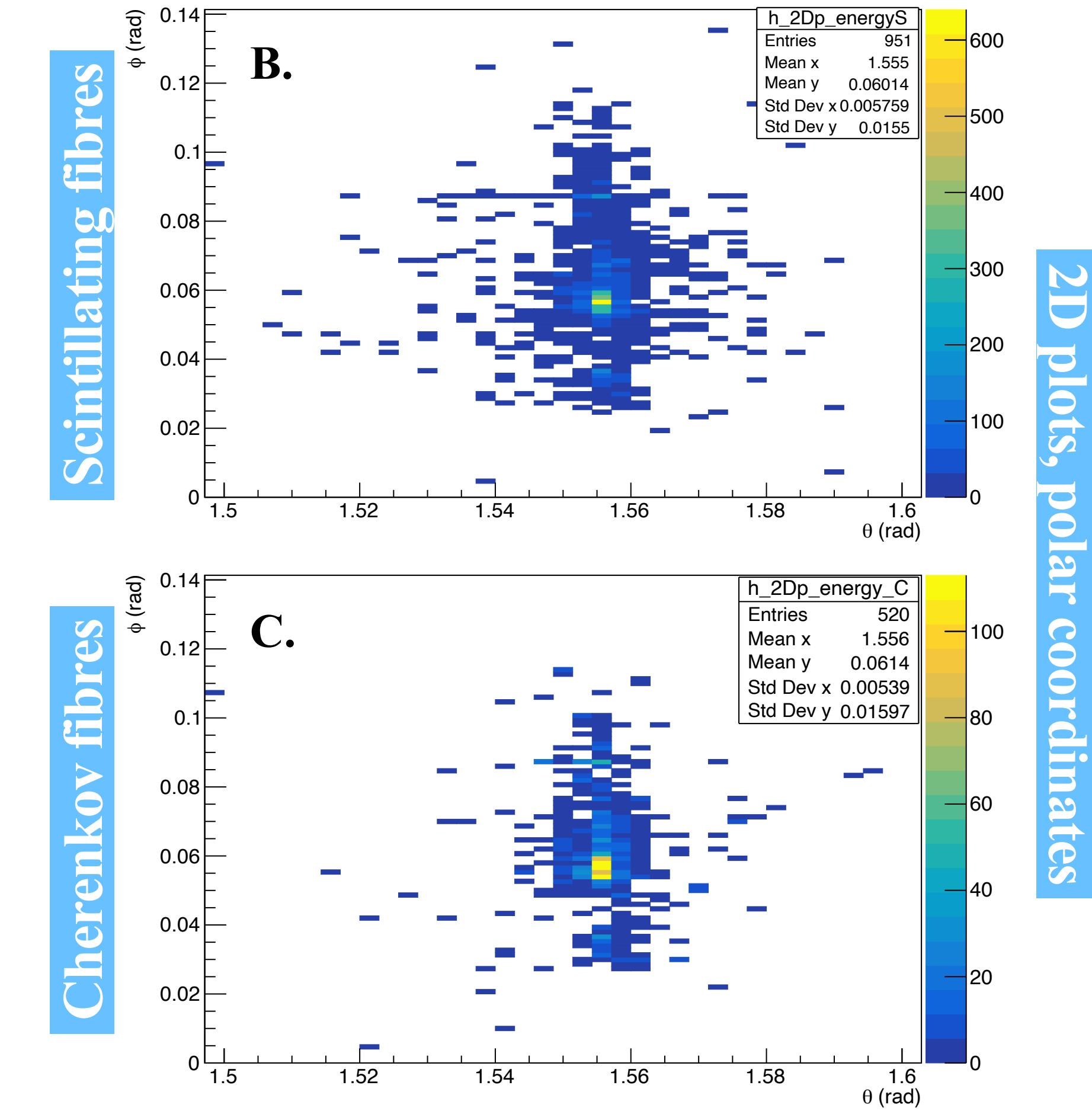
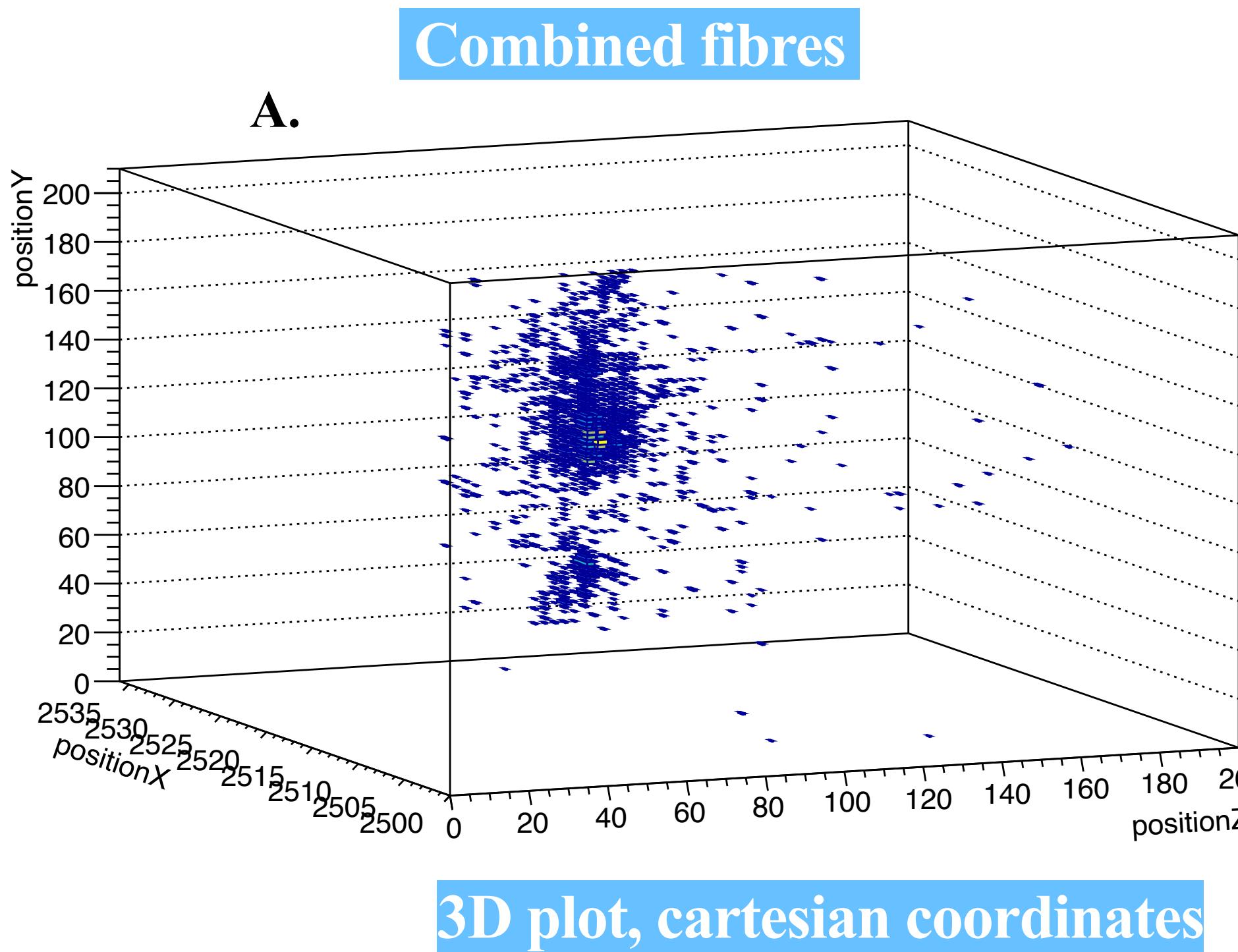


Energy deposits - 20 GeV electrons

Dumper Algorithm output

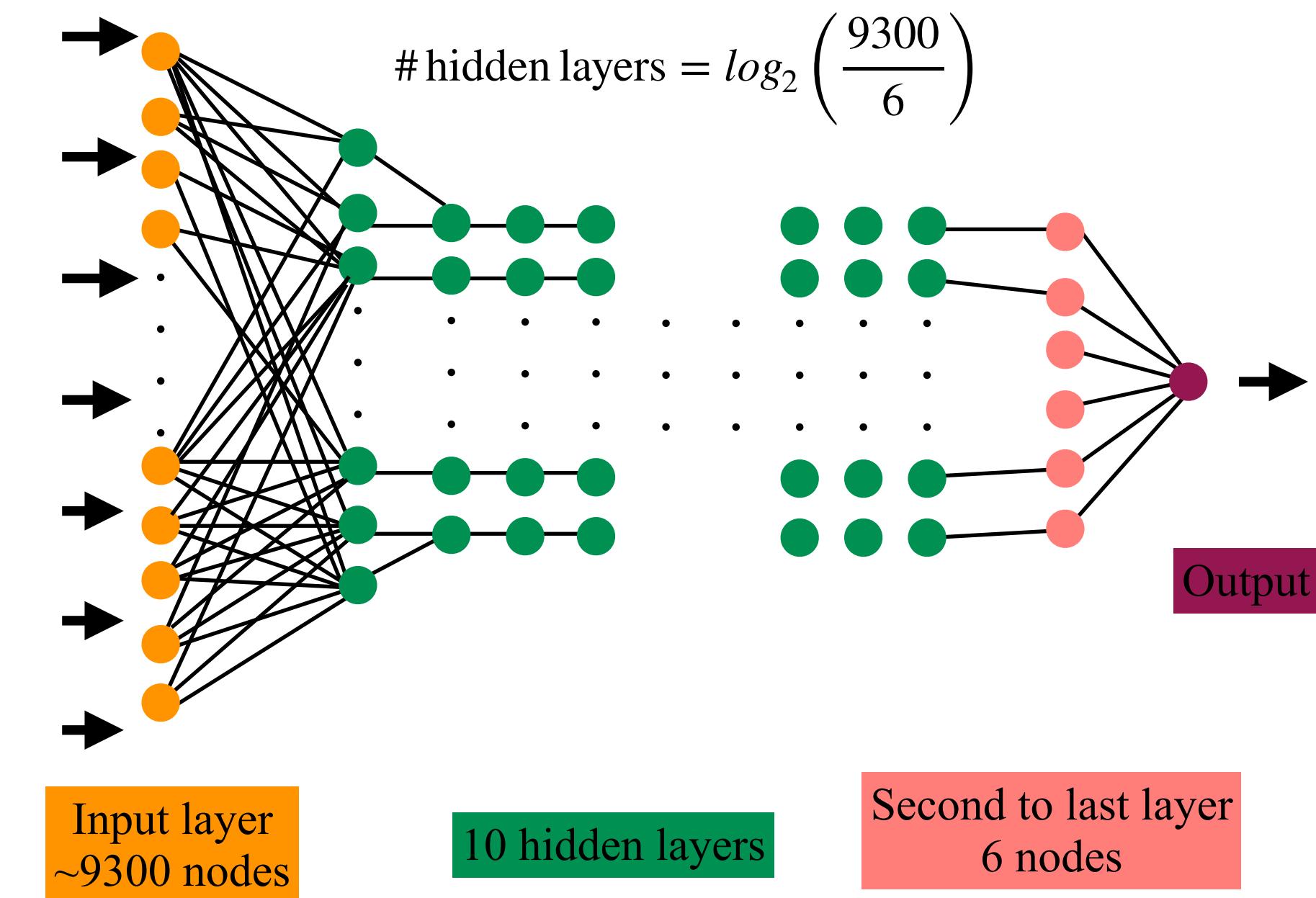
Electron deposits

- ◆ Energy collected in the scintillating (S) and Cherenkov (C) fibres in 100 events simulating impinging electrons of 20 GeV
 - A. Energy deposited in the detector, projected in the (x,y,z) space —> combined fibres
 - B. Energy deposited in the scintillating fibres, polar coordinates
 - C. Energy deposited in the Cherenkov fibres, polar coordinates



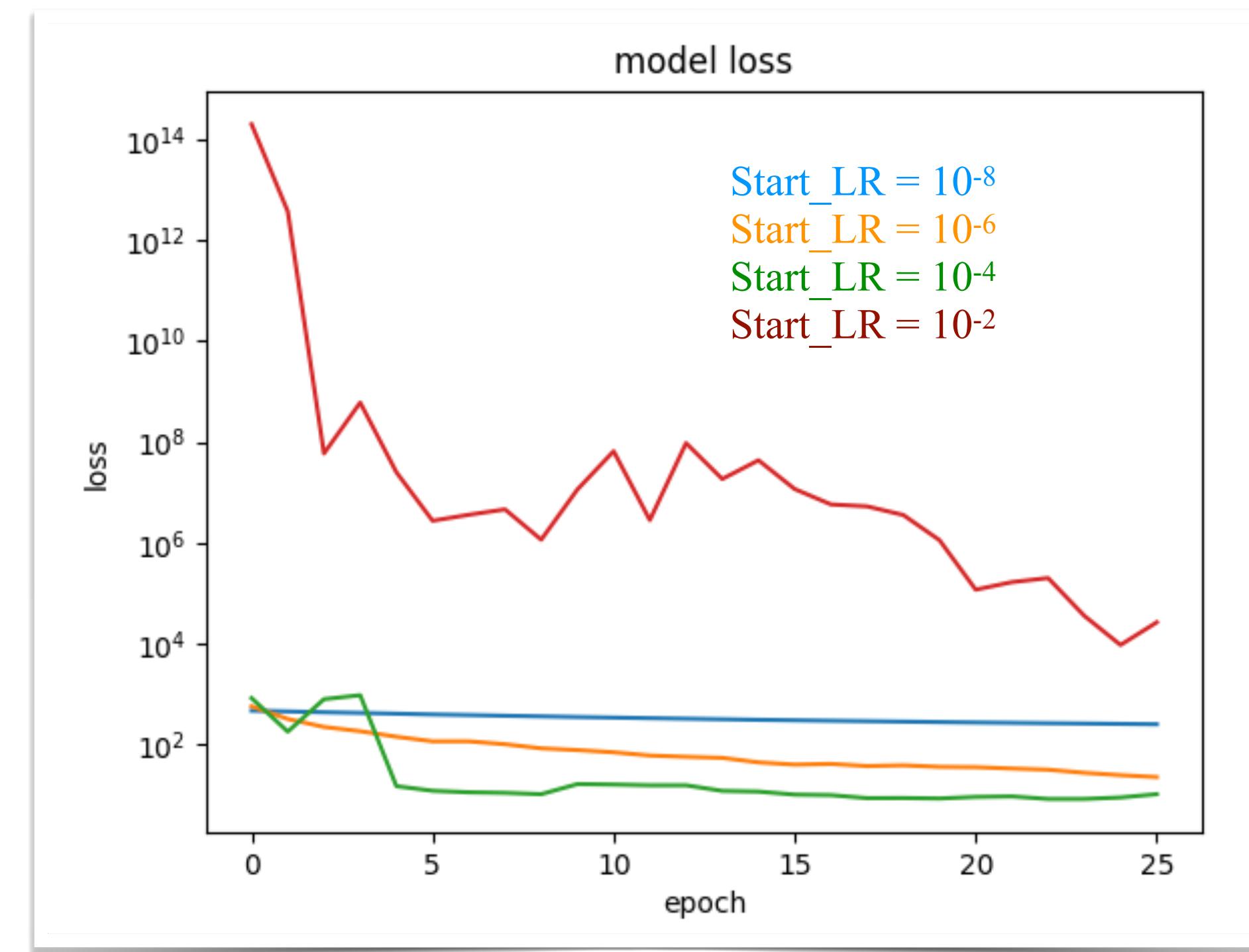
NN training using Tensorflow on GPUs

- Tensorflow, interfaced with Keras, is used to build and train a NN on GPUs
- Inputs: energy and position of each hit in the shower generated by the impinging electron and recorded in both S&C fibres →
NN input: 6 kinematic variables ($E, x, y, z, t, \text{flag}$) times hit multiplicity (~ 9300 info per event, 100 simulated events used)
 - Maximum hit multiplicity: ~1500 per event
 - Zero padding approach: if the number of hits in the event is less than the max hit multiplicity, set to zero the remaining positions in the array
- # initial nodes = # input info
 - Exploit the average hit multiplicity * 6 kinematic variables as #initial nodes to reduce the complexity of the problem
- # hidden layers = 10
- At each layer the number of nodes halves



NN training using Tensorflow - Model

- Model loss: MeanSquaredError(), $\frac{1}{n} \sum_{i=1}^n (y_{\text{true}} - y_{\text{pred}})^2$, optimised with respect to the simulated energy of the incoming electrons
- Adam, a stochastic optimiser, is used as optimiser to minimise the loss [Reference](#)
- Testing different START learning rate



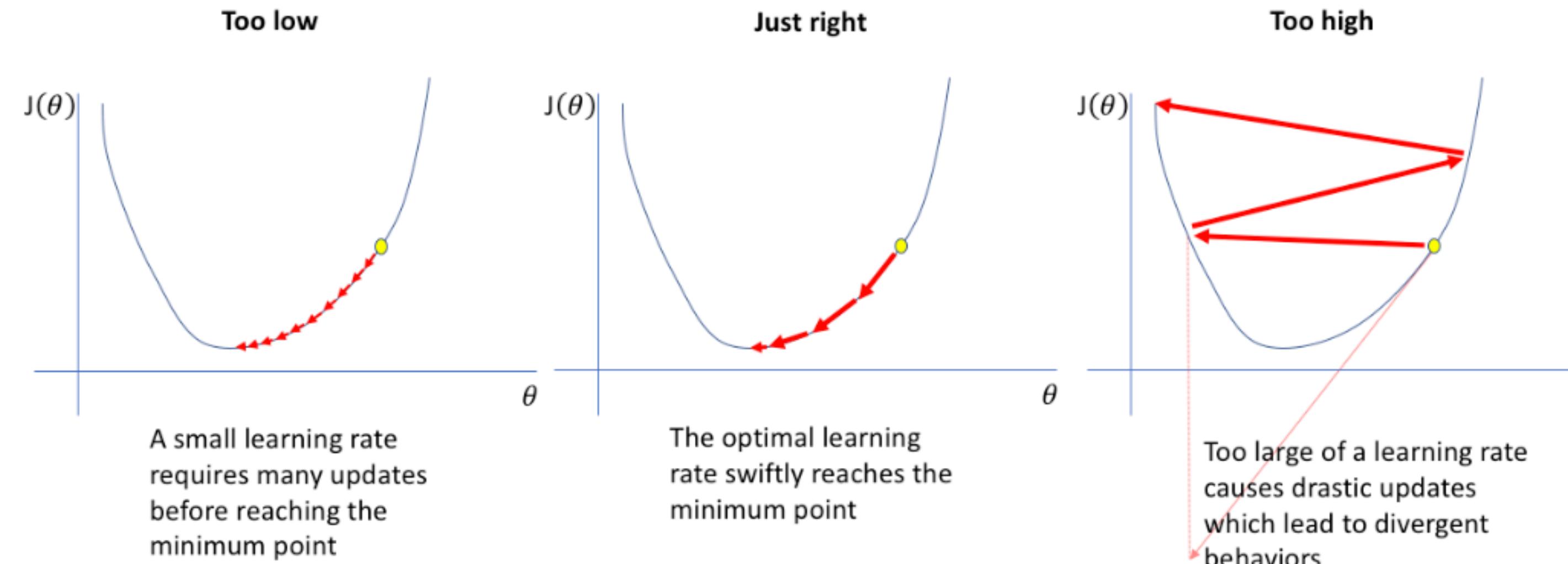
Conclusions and Next steps

- ◆ To do: Update NN plugging in angular variables
- ◆ To do: Train the NN on a newly simulated sample containing electrons with uniform energy (up to 125 GeV) and angular distributions, and info about the initial spatial coordinates of the impinging electrons at truth level —> **Simulation done**
 - Perform the hyper parameter optimisation (*i.e.*: #layers, #epochs)—> **Work in progress**
- ◆ Determine the energy and position resolution from NN, for electrons
- ◆ Repeat the above procedure also for π , K , μ , γ
- ◆ Plan to move to Pytorch for better optimisation with Pandora
- ◆ Long term goal: NN-based particle identification and jets reconstruction

Thanks a lot for listening!

Back-Up Slides

Learning rate



Simulated events:

$$e^+ e^- \rightarrow Z(\nu\nu) H(\gamma\gamma)$$

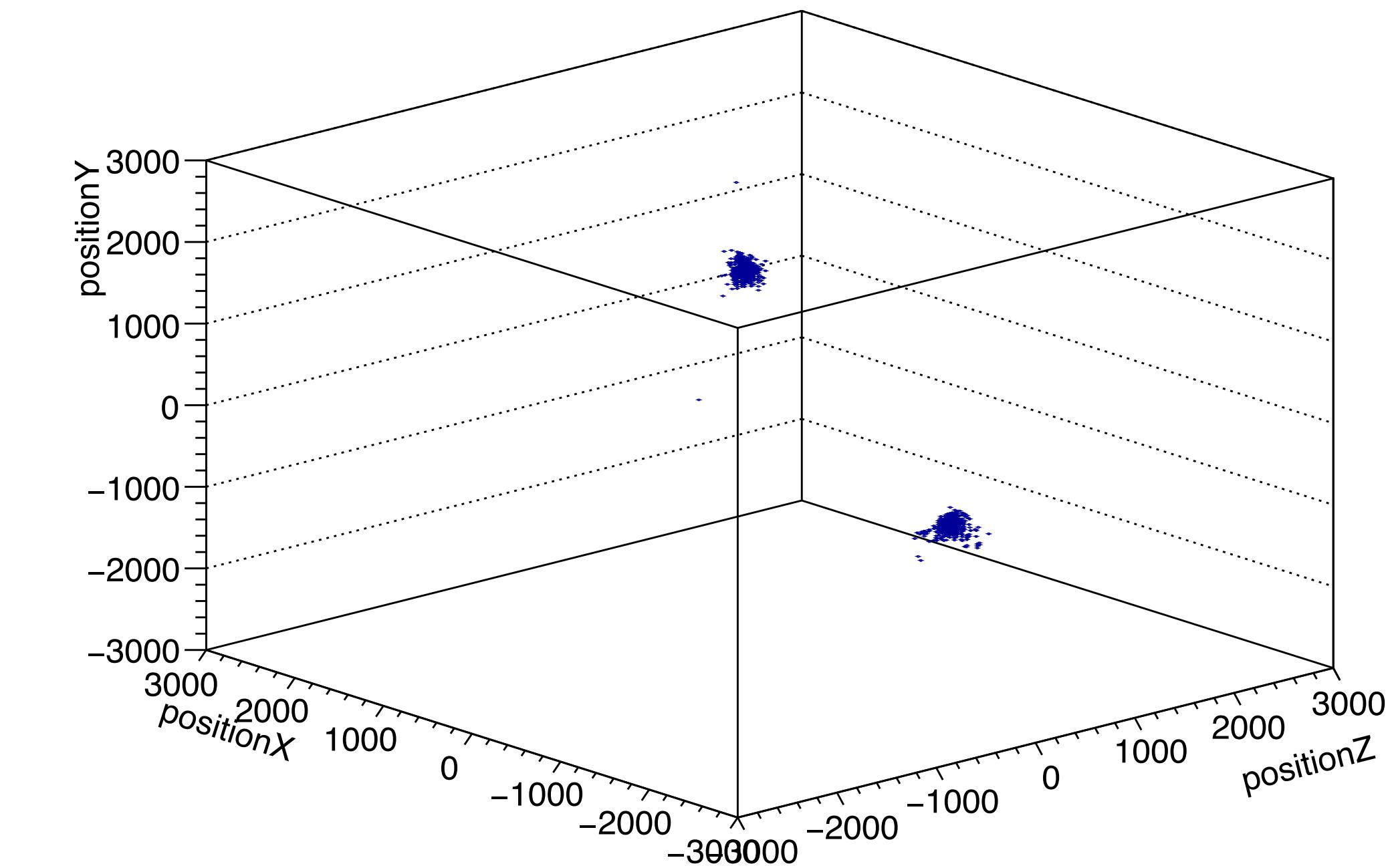
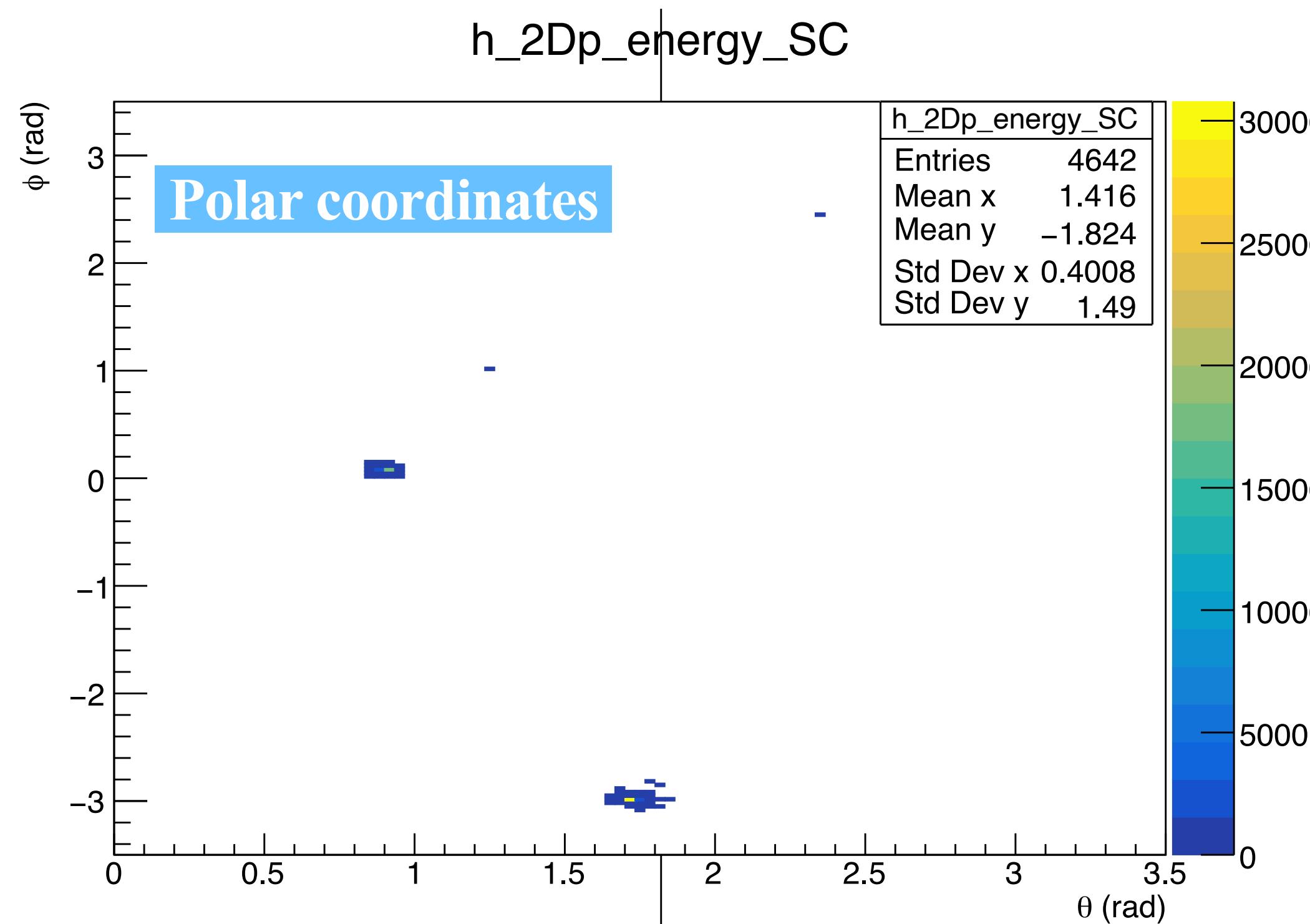
Energy deposits - $e^+e^- \rightarrow Z(v\bar{v})H(\gamma\gamma)$

Dumper Algorithm output

Combined fibres

Photon deposits

Input file:
EDMOutput_Higgs.root



3D plot, cartesian coordinates

Energy deposits - $e^+e^- \rightarrow Z(v\bar{v})H(\gamma\gamma)$

Dumper Algorithm output

